



# Bent crystal-assisted Beam manipulations

A wide panorama: SHERPA and UA9

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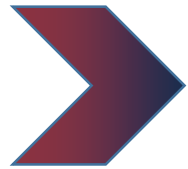
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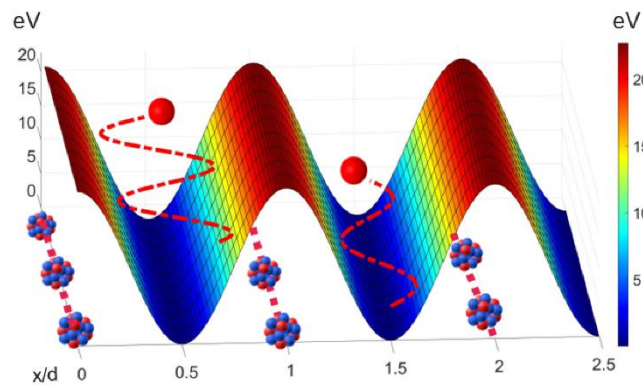
*Ph.D. Seminars series*  
31/05/2023 – Aula Conversi



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# Introduction to Crystal Channeling



# Crystalline vs Amorphous matter

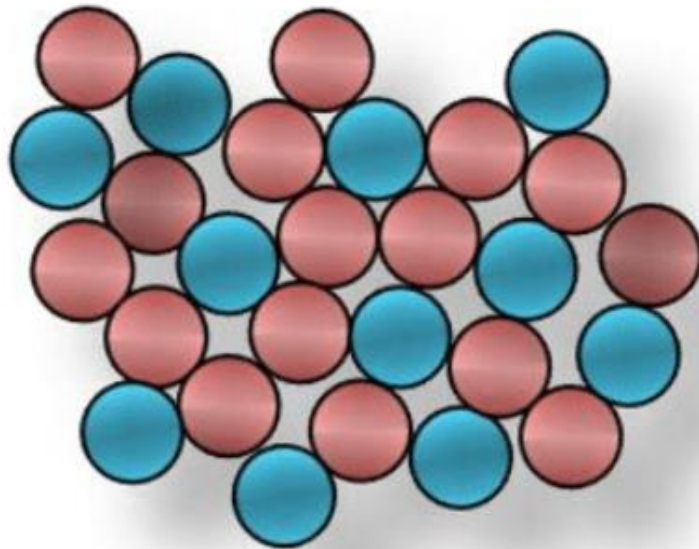
➤ Crystalline solids:

Ordered atomic structure

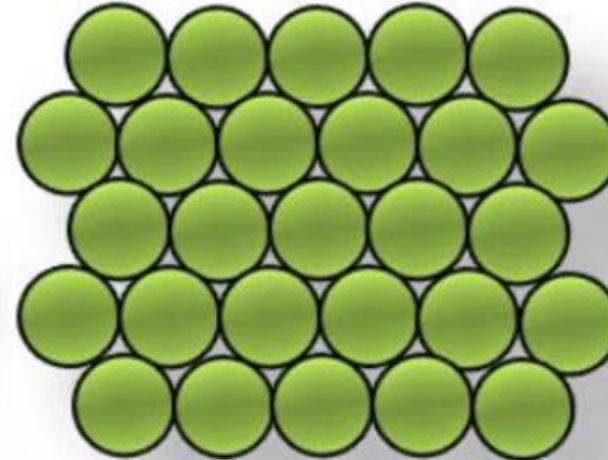
➤ Amorphous solids:

Not-ordered atomic structure

(a) Amorphous

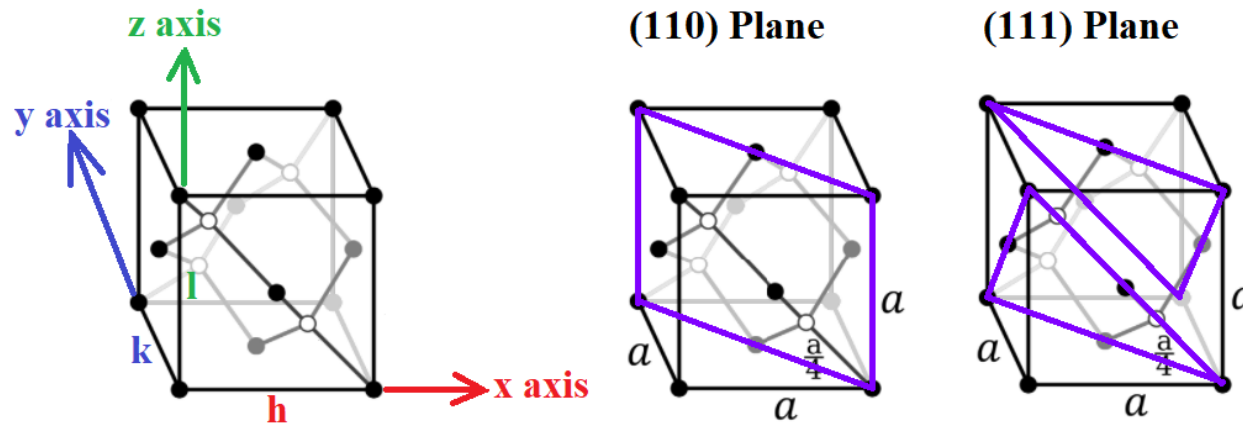


(b) Crystalline



# Crystals characterization

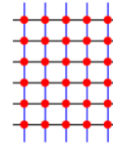
- Ordered disposition of atoms in a crystalline lattice (called also Bravais Lattices)
- Miller Indices  $(h, k, l)$  define a plane family
- In Silicon, the lattice is a Face Centered Cubic with Basis
  - Basis atoms shifted inward by  $\frac{1}{4}$  along the length of the bulk diagonal



# Interplanar Crystal Potential

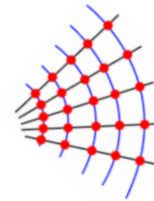
- Periodicity of the structure → Periodicity of the potential

- If the crystal is straight

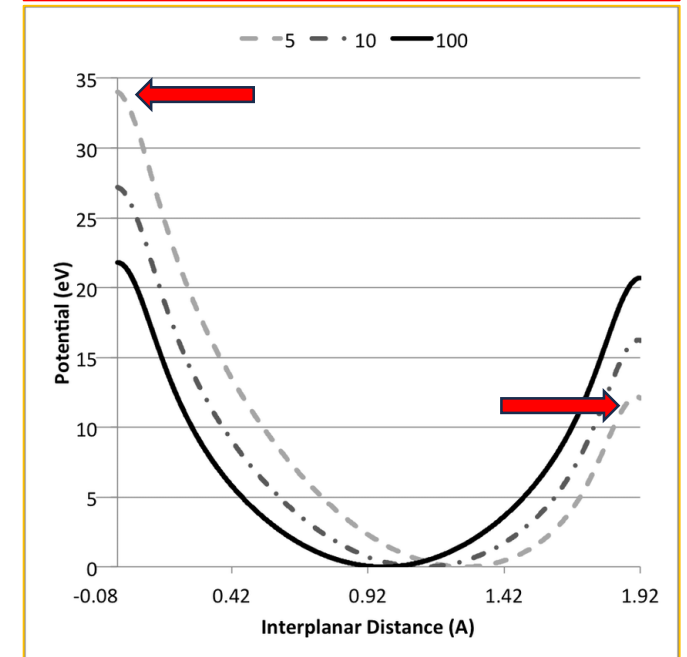
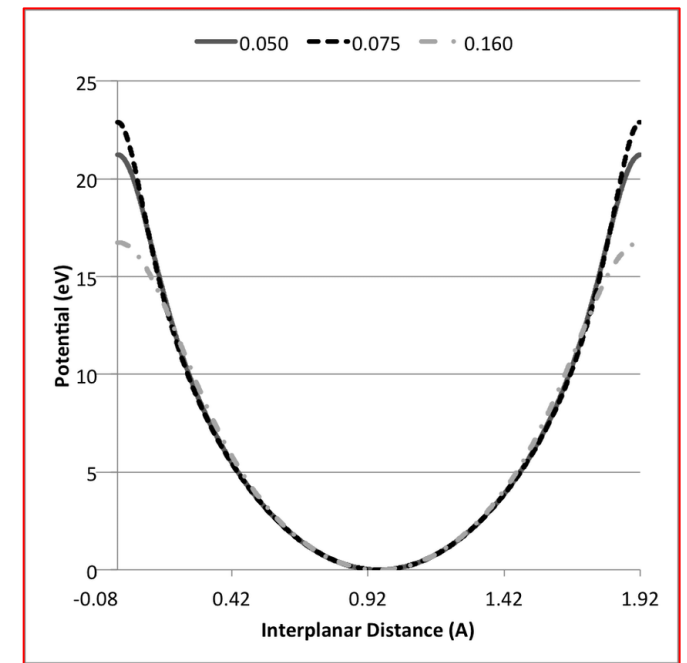


- Periodic potential without perturbations

- If the crystal is bent

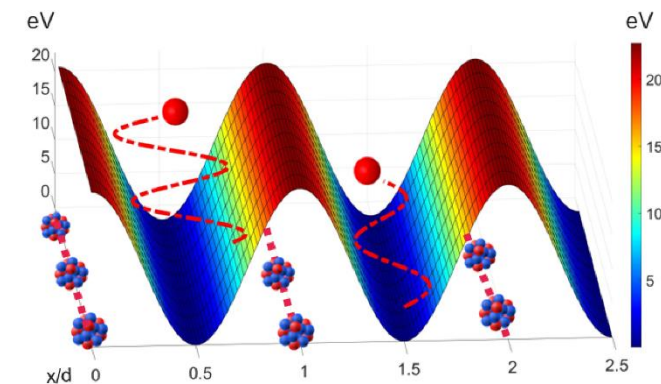
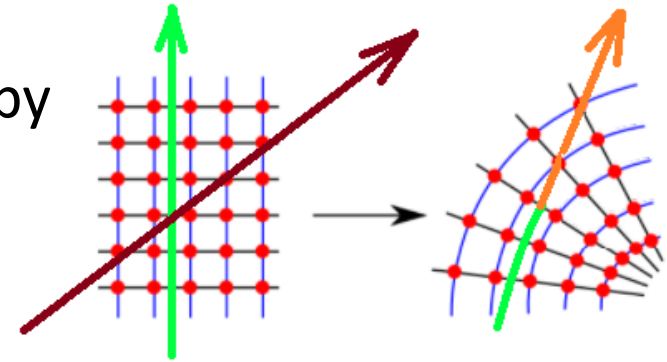
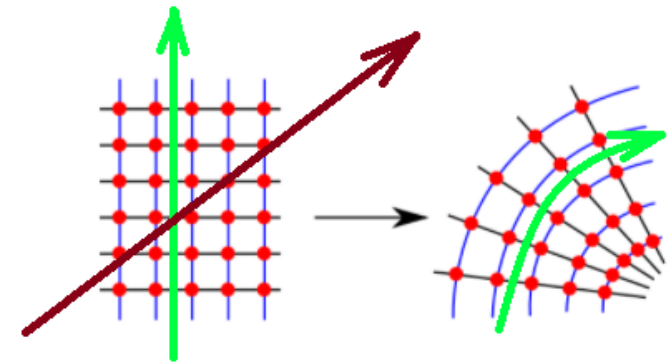


- Stress-Induced deformation in a controlled way
- **Centrifugal Repulsion** effect



# Crystal Channeling

- A particle impinging on a crystal can behave differently depending on its alignment with the crystal.
  - Amorphous/Coherent Behaviour
- If aligned with the crystal planes, the particle can be trapped by the interplanar potential, following a path constrained by the minima of this potential
- This effect is known as **Channeling**
- If the crystal is bent, the particle will follow the crystal plane bending
  - This effect has been used to bend particle beams using bent crystals
- If the particle leaves the Channeling condition, the effect is known as **Dechanneling**



# Coherent Processes in Bent Crystals

## ➤ Channeling (CH)

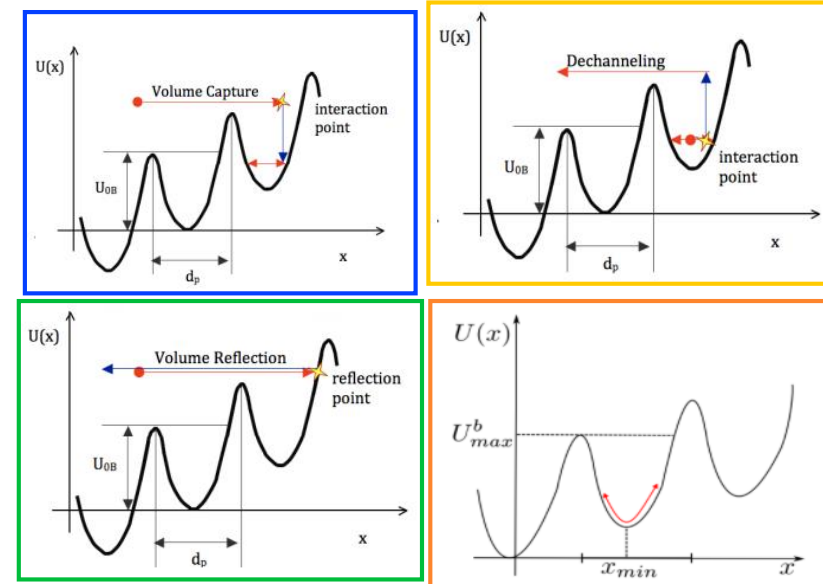
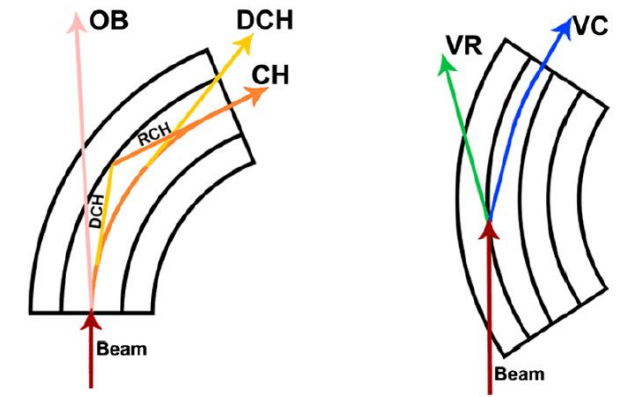
- Critical Angle  $\theta_c = \sqrt{2U/E}$
- Charged particles entering with  $\theta < \theta_c$  can undergo Channeling

## ➤ Dechanneling (DCH) – Volume Capture (VC) – Rechanneling (RCH)

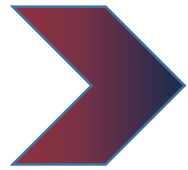
- No more CH condition: DCH can happen (sometimes followed by RCH)
- RCH is observed only with negative particles

## ➤ Volume Reflection (VR)

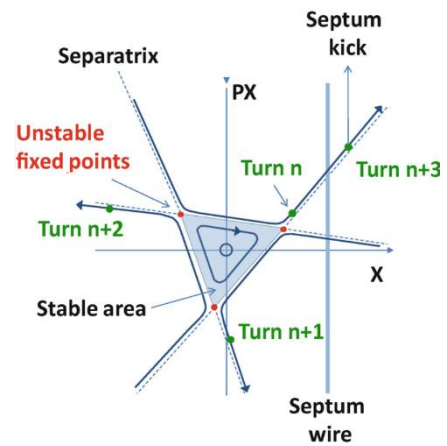
- Charged particles reflected by the crystal potential barrier



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# Beam Extraction

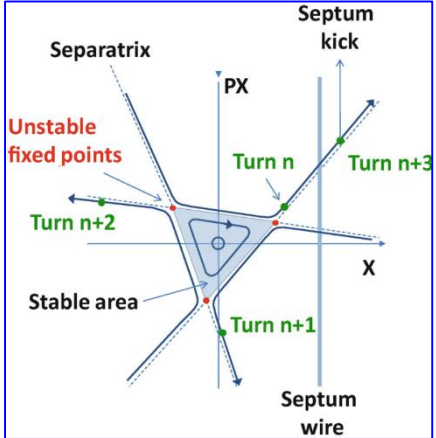




# Resonant Beam Extraction

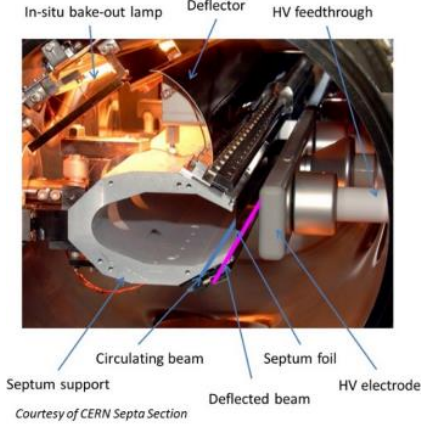
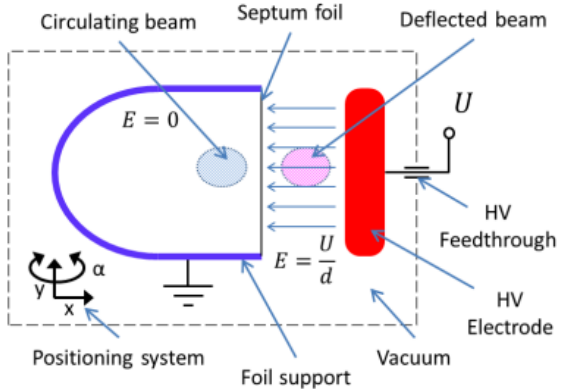
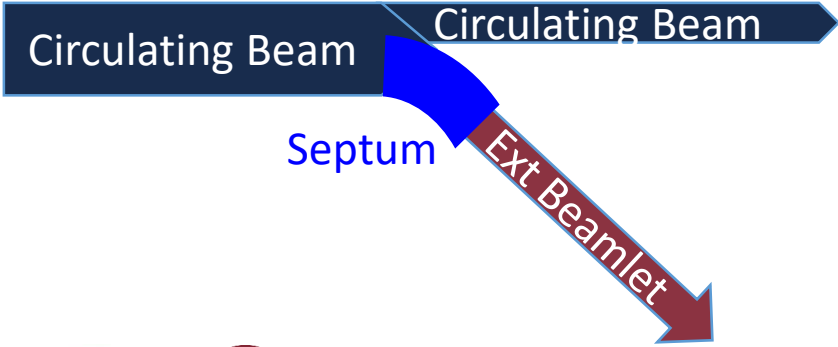
➤ Standard technique consists in the Resonant extraction

- $nQ_x + mQ_y = k$  ( $n, m, k$  integer) → Resonance condition
- The Beam core (particles circulating on the nominal beam trajectory) is not perturbed and particles remain on trajectory
- Part of the beam halo that enters the region where  $E \neq 0$  (electrostatic septum) or  $B \neq 0$  (magnetic septum) is deflected away and thus can be extracted



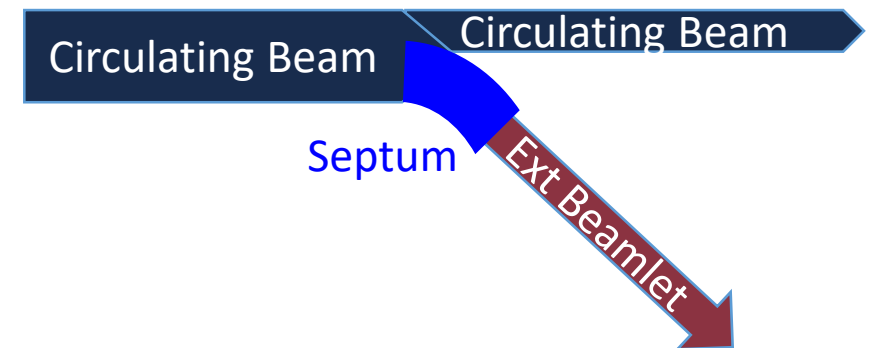
See Backup Slides

**Tune** = Number of Betatron Oscillations performed by a particle in one turn



# Resonant Beam Extraction disadvantages

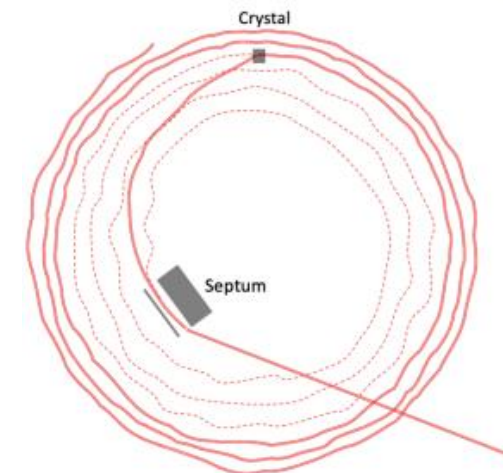
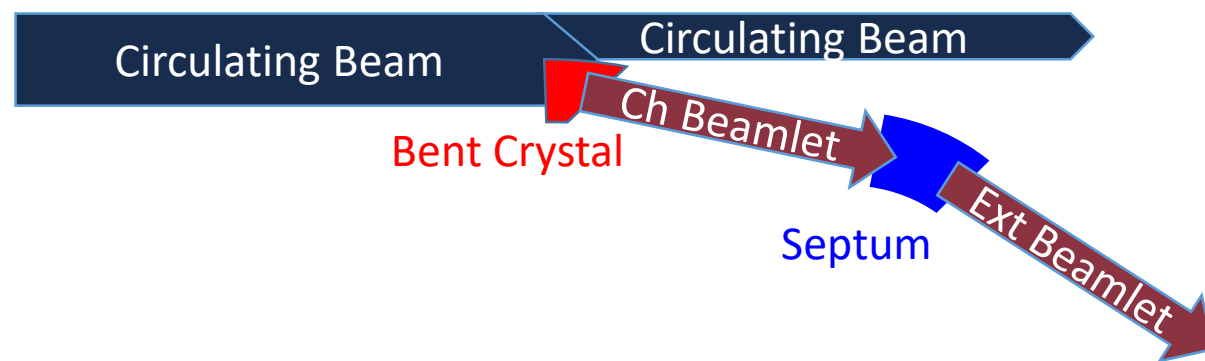
- Operating an accelerator near resonance is a risk if not done properly
  - Resonances can lead to beam instability if not correctly compensated afterward
- Risks: The **septum** is very close to the circulating beam design orbit
  - If a powerful beam (i.e. MW of power) impacts on the septum, it is possible that the septum itself can overheat and/or eventually melt
  - If there is a malfunction on the magnets just before the septum it is possible to have beam loss
  - Require strong pulsed Kicker magnets several meters before the septum



# Non-Resonant Beam Extraction

- Also Non Resonant beam extraction techniques relies on septa magnets but does not require Kicker magnets
  - The beam separation is not induced by a resonance but by an element of the accelerator
  - Crystal-Assisted beam extraction → **Slow non-resonant methodology currently under study**
  - Single-turn or multi-turn extraction

D. Annucci et al  
Phys. Rev. Accel.  
Beams **25**, 033501



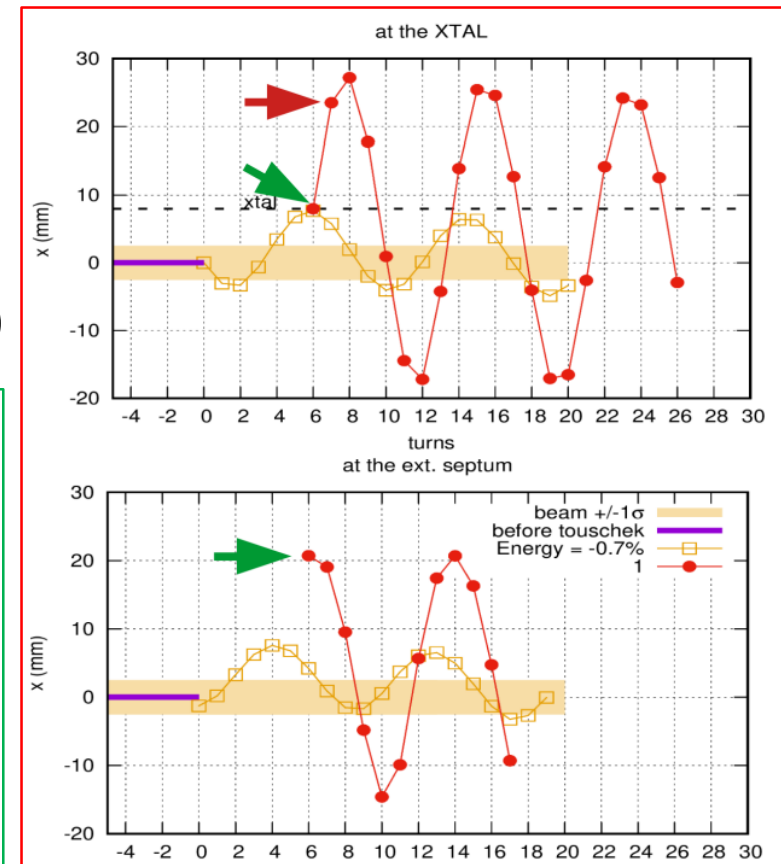
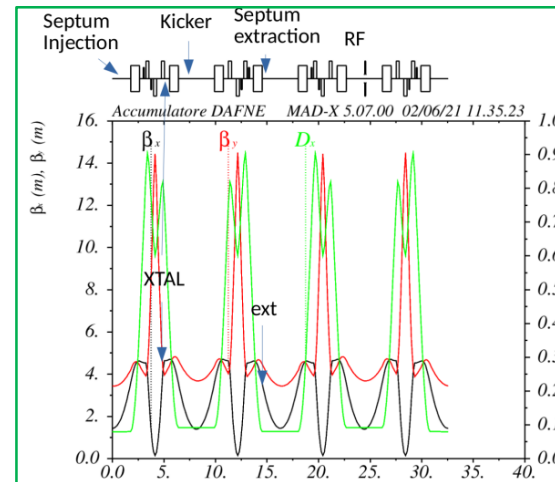
# Extracted beam at INFN LNF

- ~60 m long S-Band 2856 MHz LINAC providing 500/800 MeV  $e^+/e^-$ 
    - It provides only 50 Hz bunches of 300 ns maximum length (Duty Cycle  $10^{-5}$ )
  - DAΦNE  $e^+/e^-$  collider length ~100 m.  $E_{cm} \sim 1$  GeV ( $\Phi$ -Factory)
  - Poseydon Project – Continuous extracted beam – [ArXiv:1711.06877](https://arxiv.org/abs/1711.06877)
    - Converting DAΦNE into a pulse-stretcher and a Storage Ring Facility to obtain an almost continuous extracted beam for users
    - Resonant or Crystal-Assisted extraction? Can we use crystals?
  - Sherpa Project (Slow High-Efficiency Extraction From Ring Positron Accelerator)
    - Prove the feasibility of 1 mrad deflection
- With bent crystals

D. Annucci *et al*  
 Phys. Rev. Accel.  
 Beams **25**, 033501

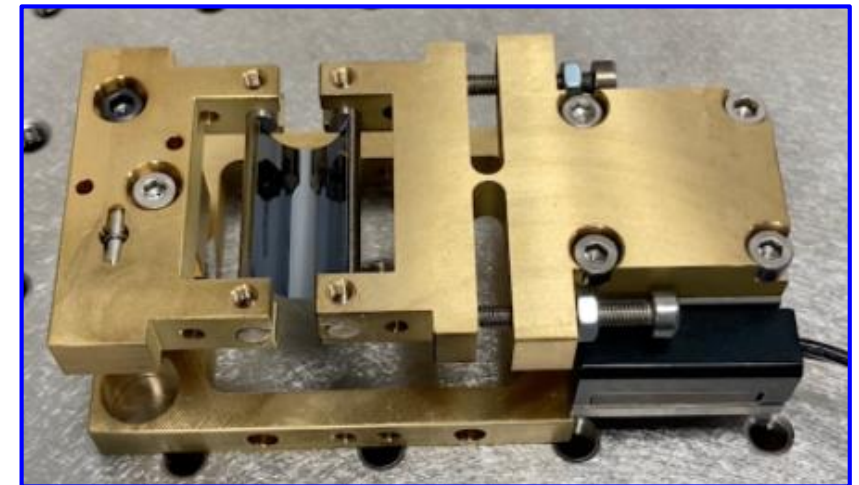
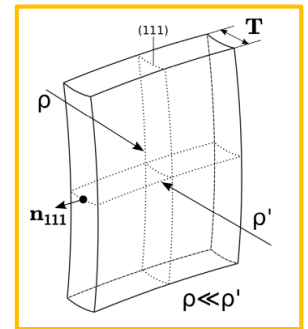
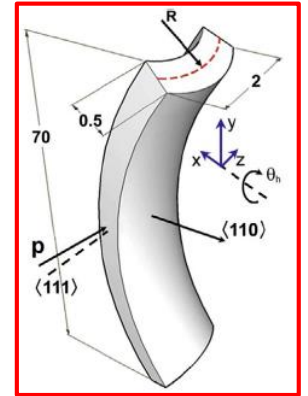


- Damping Ring / DAΦNE extraction under study



# The SHERPA Project: Bent Crystal

- In order to bend the crystal an holder must be used
- **Anticlastic** and **Quasi-Mosaic** deformation
  - (110) plane: Anticlastic bending (induced by Anticlastic deformation)
  - (111) plane: Quasi-Mosaic bending (induced by Quasi-Mosaic deformation)
- Specifically designed in order to achieve  $\sim 1$  *mrاد* channeling angle
  - $\sim 15 \mu m$  Thickness
  - $\sim 1.5 cm$  Bending Radius
- Crystal similar to the one used at MAMI by INFN-Fe group for  $e^-$ 
  - [PhysRevLett.112.135503](#) (Mazzolari et al)

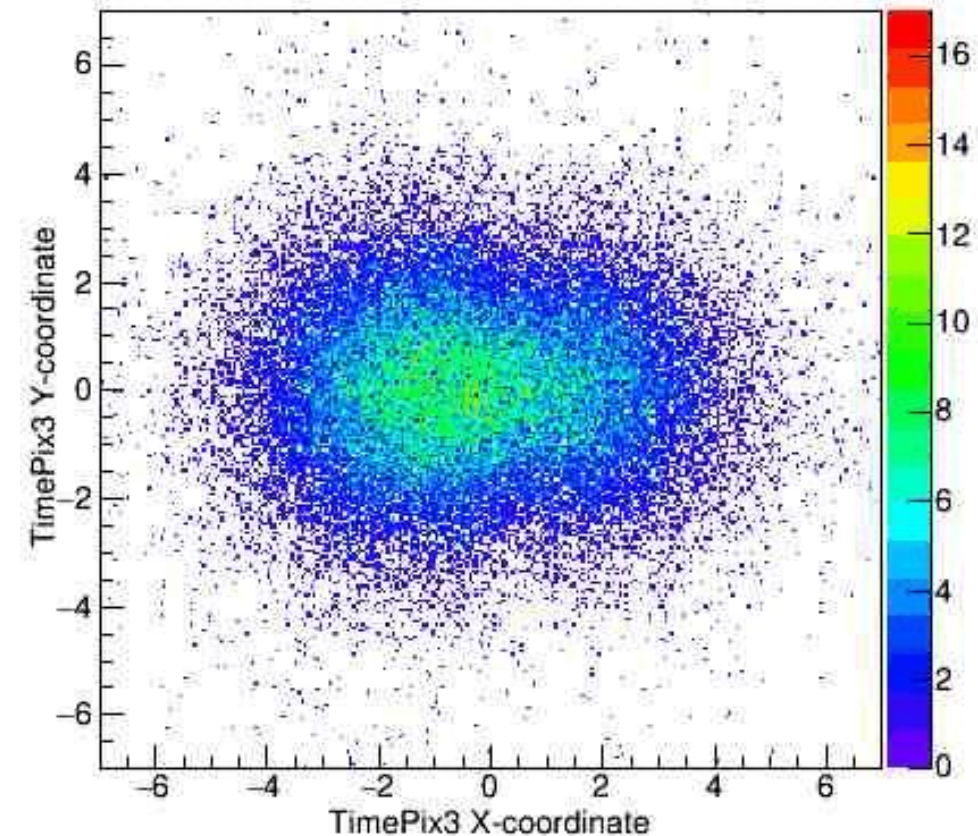


# Crystal Alignment and Angular Scan

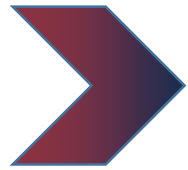
See Backup Slides

- Several simulations performed to study the reliability of the Geant4 Channeling Routine
  - 400 GeV protons at H8
  - MAMI  $e^+$ ,  $e^-$  855 MeV
  - SHERPA  $e^+$ ,  $e^-$  511 MeV
- The beam spot distribution at the Si TPX3 detector has been simulated
  - The spot should be
$$\sigma(x) \times \sigma(y) = (0.5 \times 0.5) \text{ mm}^2$$
  - The divergence should be
$$\sigma'(x) = 500 \mu\text{rad} \text{ and } \sigma'(y) = 300 \mu\text{rad}$$
- Preliminary tests of the SHERPA TPX3 detector imaging at LNF BTF have been carried out

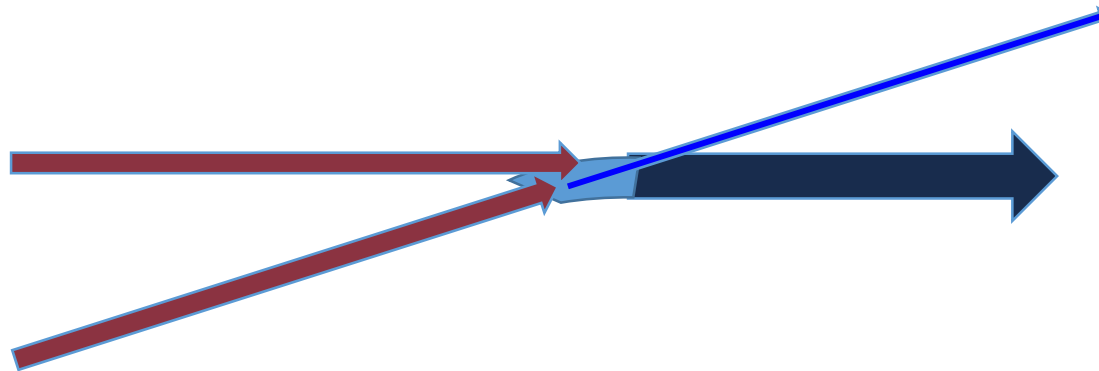
XY Position at the TimePix detector



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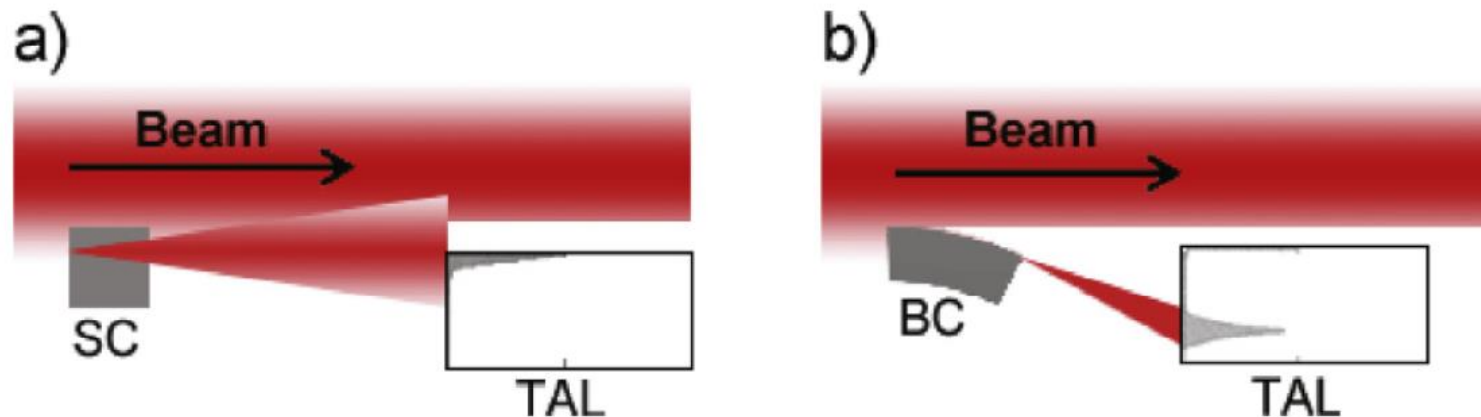


## Beam Collimation and Coalescence

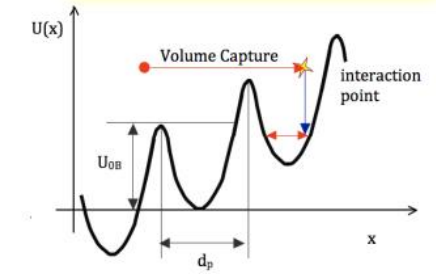


# Crystal Assisted Beam Collimation

- Crystal-Assisted beam collimation is conceptually similar to beam extraction, but the beam is deflected toward a Heavy Metal Absorber (High-Z material like W) using a crystal
- If a collimator (SC) is placed directly on the beam halo → the Secondaries produced after the Hadronic Shower can interact with the circulating beam
- With Crystal-Assisted collimation is possible to avoid most of the On-Axis Secondaries

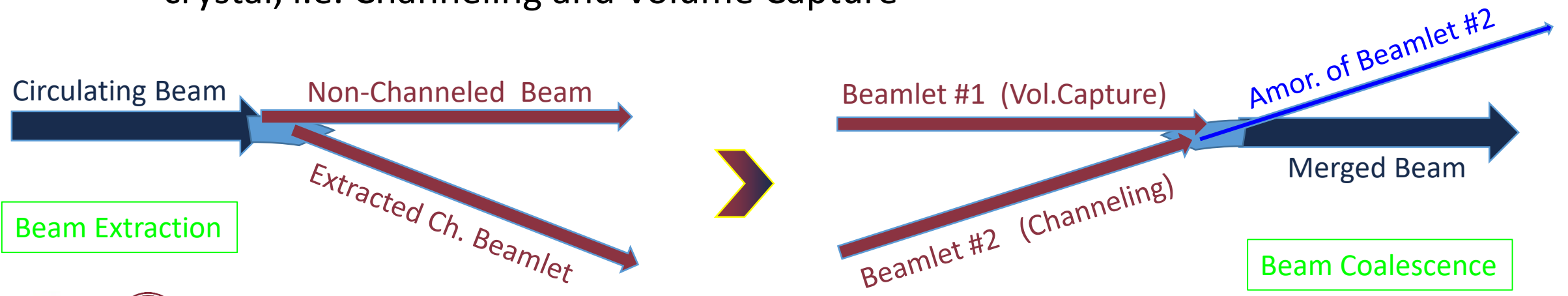






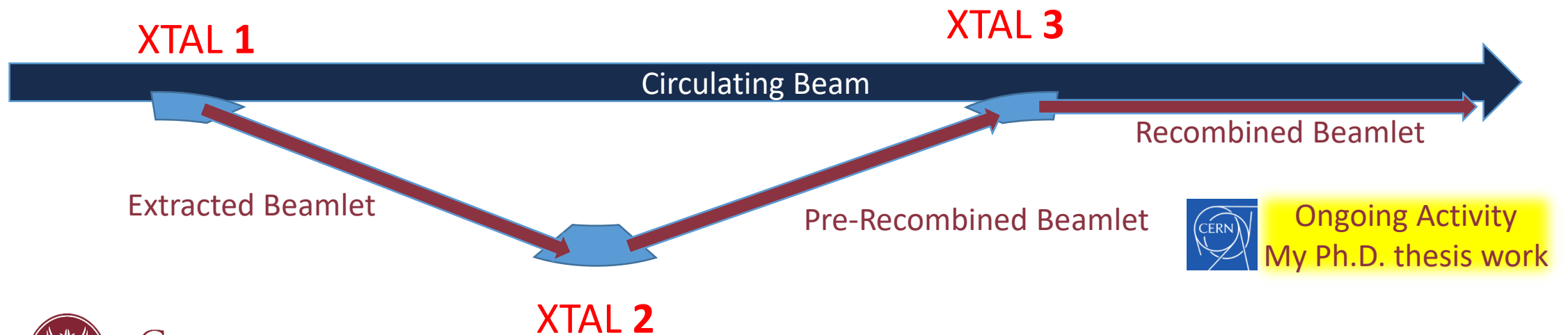
# Beam Coalescence

- It is possible to use the Channeling effect to merge beams coming from different directions
  - This process is the «dual» of the beam extraction
  - Beam Extraction: A beamlet is extracted from the halo of the circulating beam
  - Beam Coalescence: Two beams are merged using coherent processes in a bent crystal, i.e. Channeling and Volume Capture



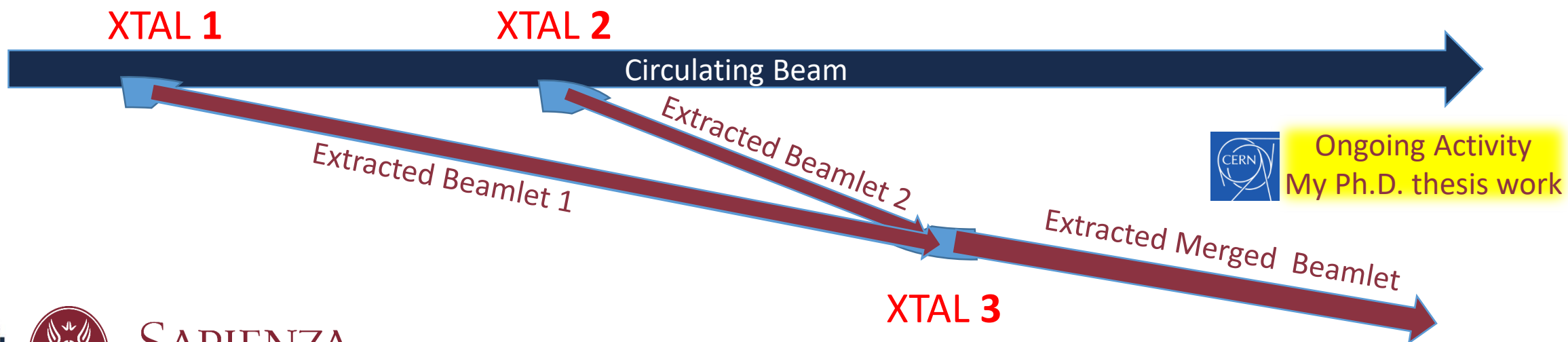
# Beam Coalescence – UA9/Config 1 at CERN

- UA9 Setup under test at CERN – June 2023
  - A Beamlet is extracted from the main circulating beam using a crystal XTAL1 oriented in Channeling
  - The beamlet passes through XTAL2 oriented in Channeling
  - Subsequently, the beamlet is Volume-Captured by XTAL3 and thus is recombined to the circulating beam

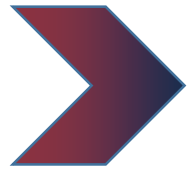


# Beam Coalescence – UA9/Config 2 at CERN

- UA9 Setup under test at CERN – **September 2023**
  - A Beamlet (1) is extracted from the main circulating beam using a crystal XTAL1 oriented in Channeling
  - Another Beamlet (2) is extracted using XTAL2 oriented in Channeling
  - Subsequently, the beamlet (2) is Volume-Captured by XTAL3 and thus is recombined to the beamlet (1). The extracted beam exits in the direction of B1



4



# Conclusions



# Take-Home messages

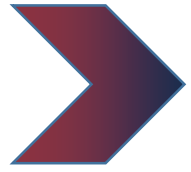
- Bent Crystals-Assisted beam extraction and coalescence are novel techniques currently under study
  - Beam Merging with crystals must be performed in an appropriate way
  - The **Phasespace** of the two impinging beamlets **must be matched** as possible **otherwise the beam will degrade due to filamentation**
- Bent Crystals-Assisted beam collimation is nowadays widely used at CERN
- It will be possible to implement those setups in accelerators such as FCC or Muon Collider
  - *Stay tuned! I will present my further results time by time ;)*

- I sincerely Acknowledge the INFN Roma & INFN LNF that allowed me to perform all the necessary activity to develop this presentation
  - Many Thanks to Paolo Valente, Mauro Raggi, Marco Garattini, Alessandro Variola, Paola Gianotti, Luca Foggetta and the BTF Staff
- I will be involved in Some important Test-Beam at CERN within the UA9 Collaboration
  - Many Thanks to Walter Scandale, the UA9 Collaboration and the CERN Staff

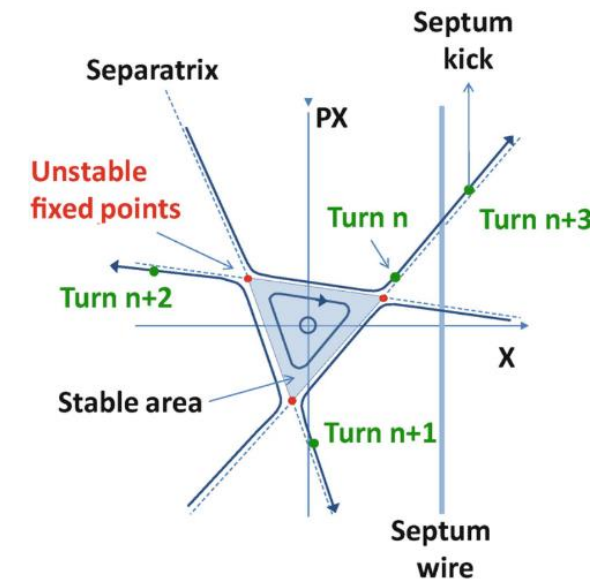
*Now this is not the end*

*It is not even the Beginning of the End*

*But it is, perhaps, the End of the Beginning*



Many Thanks for Your Attention!  
Questions? Comments? Remarks?



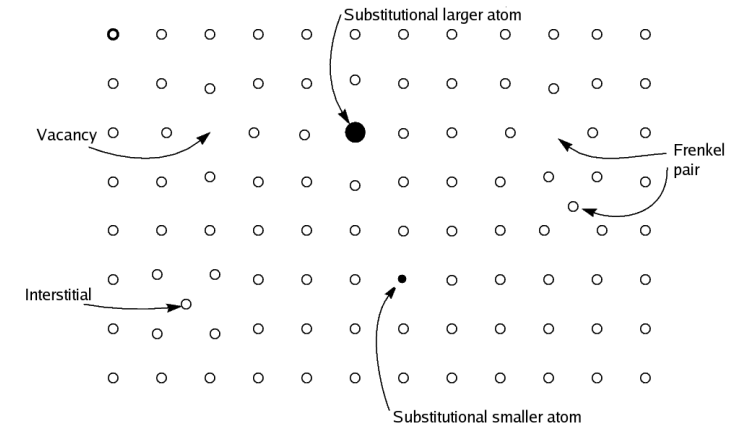
A  Backup Slides





# Crystal Imperfection

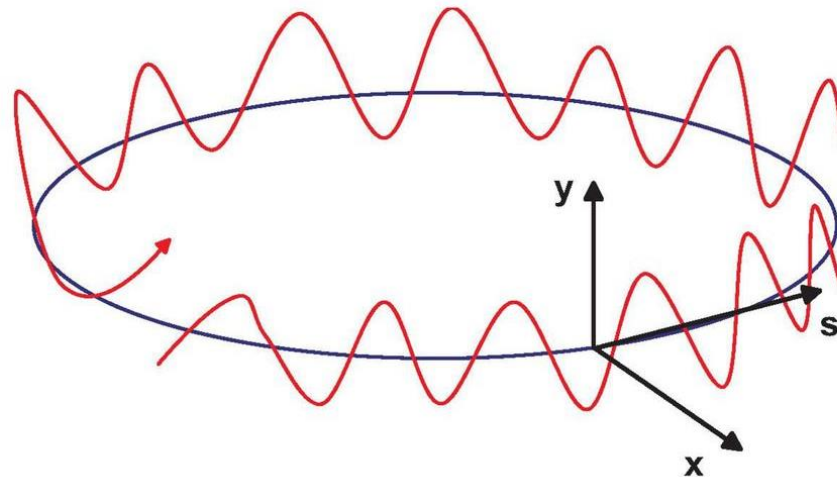
- Assumption: **Crystals are perfect**
  - No Dislocations/Vacancies/Irregularity
  
- But the reality is different
  - Crystal production is not perfect
  - Stress induced during crystal cooldown and further manipulation (cut, machining, ...) can lead to lattice irregularities
  - Irregularities and imperfections lead to an uncontrolled potential well perturbation so it is possible that particles lose channeling conditions, i.e. by inelastic collision on «extra atoms»



# Resonance in Circular Accelerators

- Resonance Condition  $\rightarrow nQ_x + mQ_y = k$  ( $n, m, k$  integer)
- $p = |n| + |m|$  is the resonance order
- Introduce the machine TUNE (horizontal & vertical)
  - Tune = Number of Betatron Oscillations performed by a particle in one turn

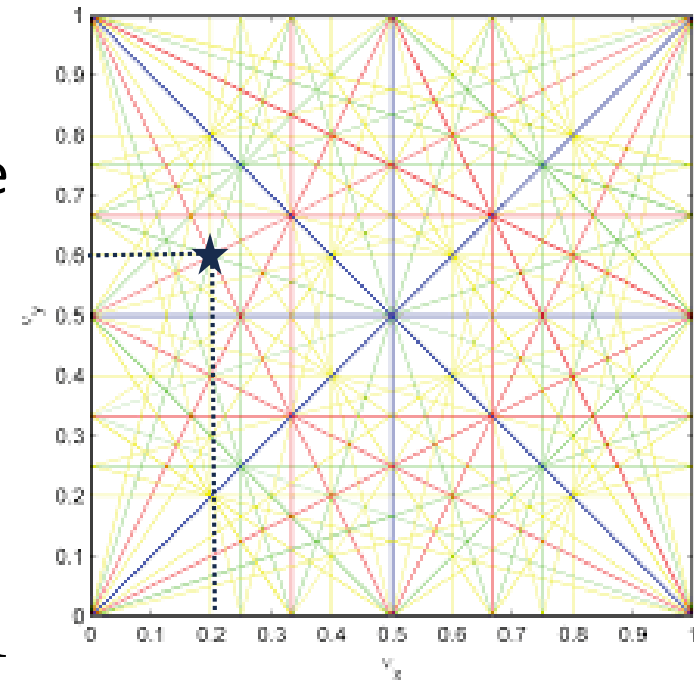
schematic of betatron oscillation around storage ring



# Resonance in Circular Accelerators

- Resonance Condition  $\rightarrow nQ_x + mQ_y = k$  ( $n, m, k$  integer)
- $p = |n| + |m|$  is the resonance order
- Introduce the machine TUNE (horizontal & vertical)
  - Tune = Number of Betatron Oscillations performed by a particle in one turn
  - i.e.  $Q_x = 35.2$  and  $Q_y = 59.6$  means that *in one turn* the particle performs:
    - 35 total oscillation + a fraction of oscillation equal to 0.2 in the X plane
    - 59 total oscillation + a fraction of oscillation equal to 0.6 in the Y plane
    - Let  $n = 2$  and  $m = 1 \rightarrow k = 2 * 35.2 + 1 * 59.6 = 130$
    - Considering the tune non-integer part only  $\rightarrow k = 2 * 0.2 + 1 * 0.6 = 1$
    - $p = |n| + |m| = 3 \rightarrow$  **Third order Resonance**

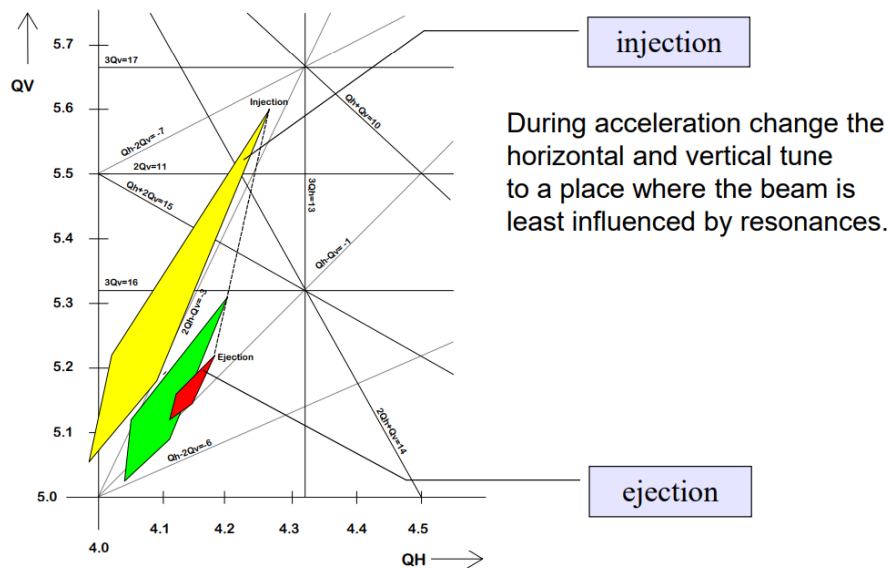
juas  
Joint Universities Accelerator School



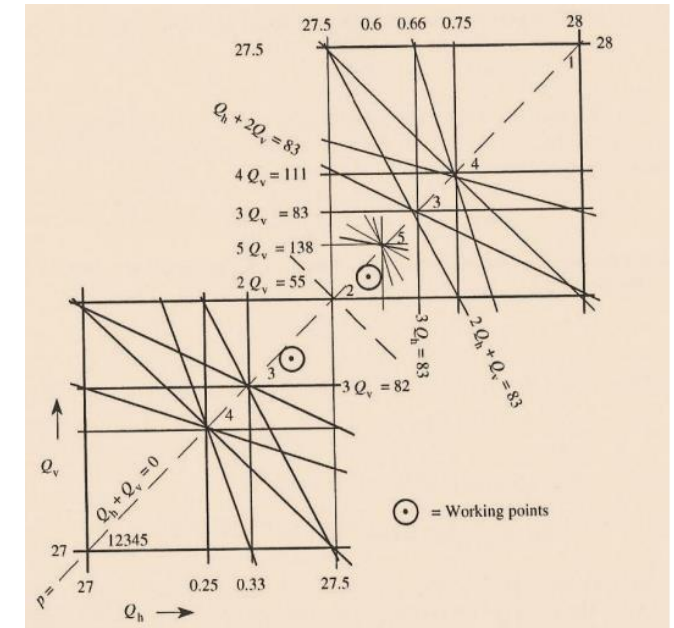
# Resonance in Circular Accelerators

- Operating the accelerator near resonances can lead to beam loss due to uncontrolled and unbalanced amplitude increase
- Have a look to some CERN machines operational ranges

## P.S. Booster Tune Diagramme



*Homework for the operators:  
find a nice place for the tune  
where against all probability  
the beam will survive*

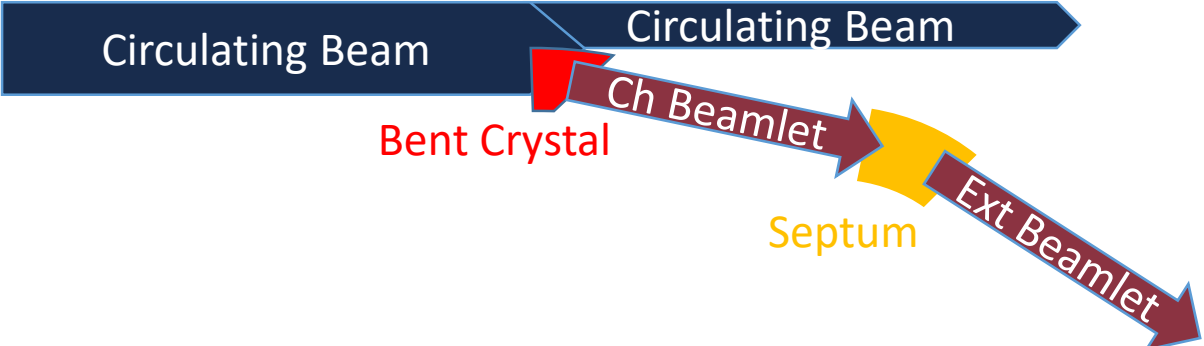


SPS Working Diagramme

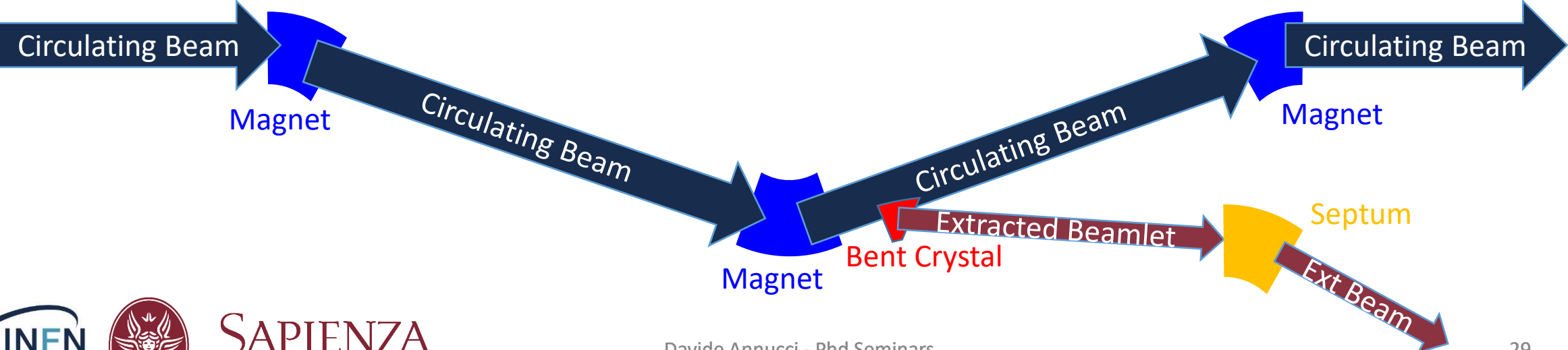
# Non-Resonant Beam Extraction

- Direct Extraction or Closed-Orbit Bump scheme

- Direct extraction



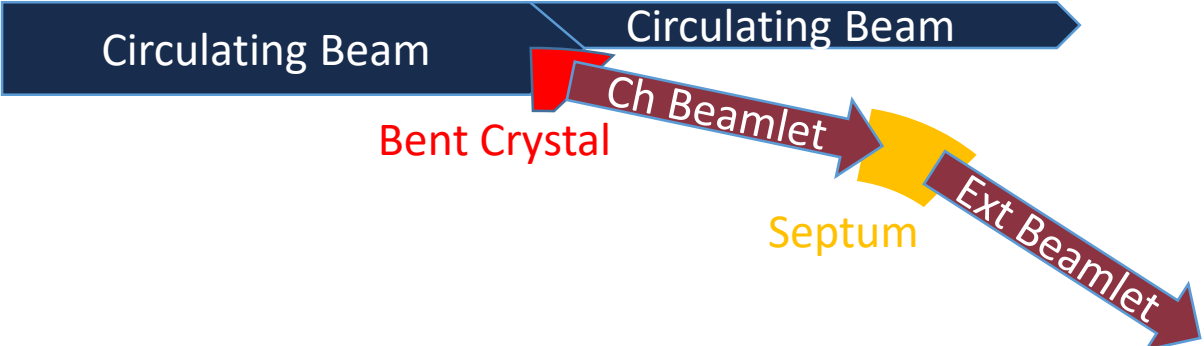
- Closed Orbit Bump



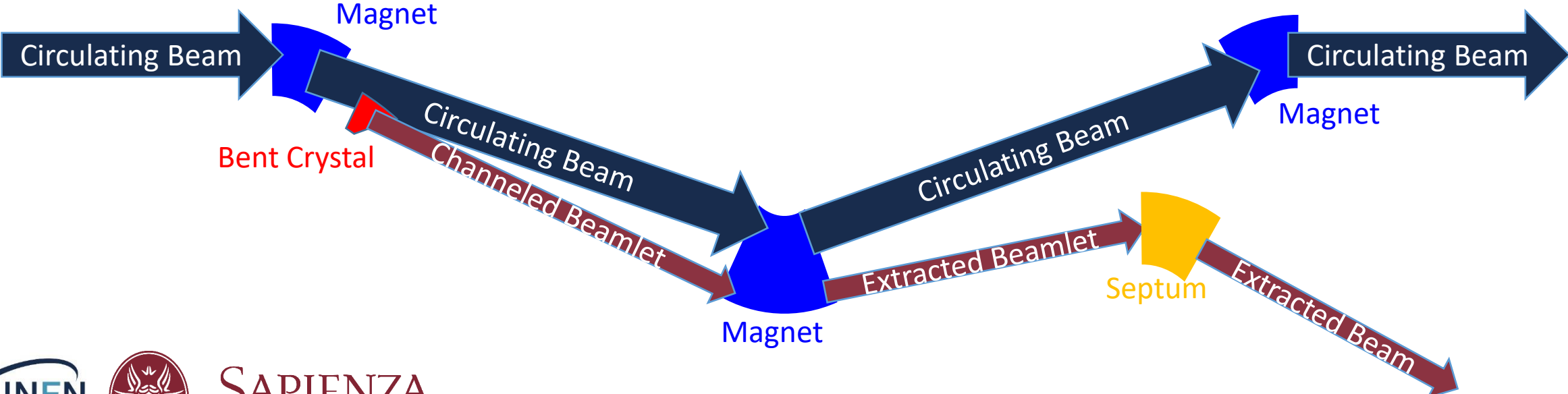
# Non-Resonant Beam Extraction

- Direct Extraction or Closed-Orbit Bump scheme

- Direct extraction



- Closed Orbit Bump



# Non-Resonant Beam Extraction

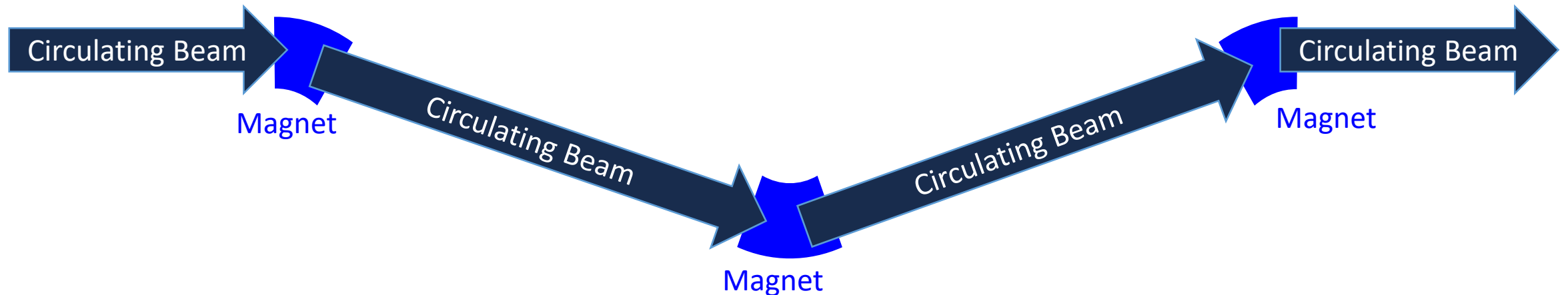
- An alternative to the Direct Extraction scheme is the so called Closed-Orbit bump Scheme
- Let's learn how to build this extraction setup
- All starts with the circulating beam on its unperturbed design orbit



Circulating Beam

# Non-Resonant Beam Extraction

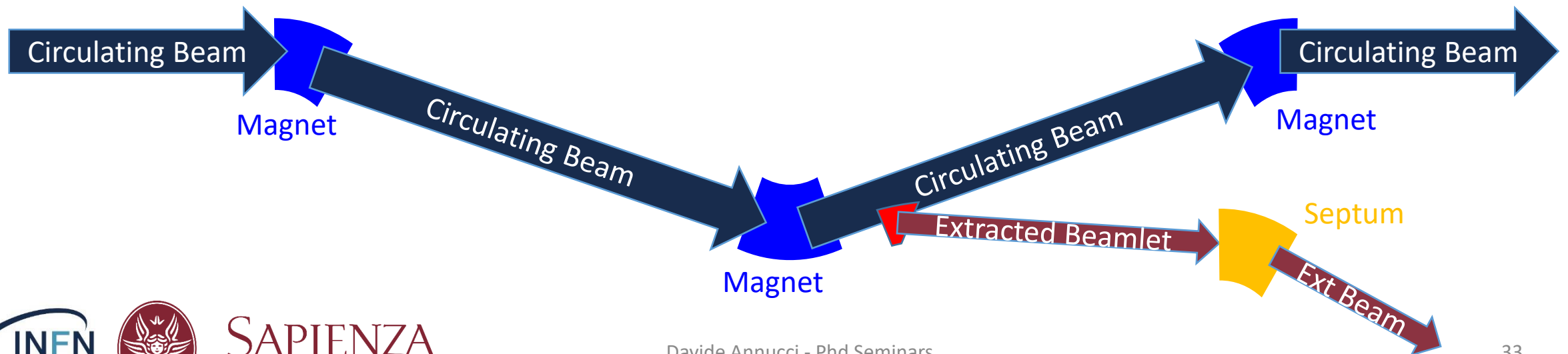
- Add some magnets to induce a zig-zag beam trajectory
- This is called a **3-Magnets closed-orbit bump**
- The beam travels for longer path and the Dispersions increases. This is due to the dipole magnets action that enhance  $\Delta P/P$



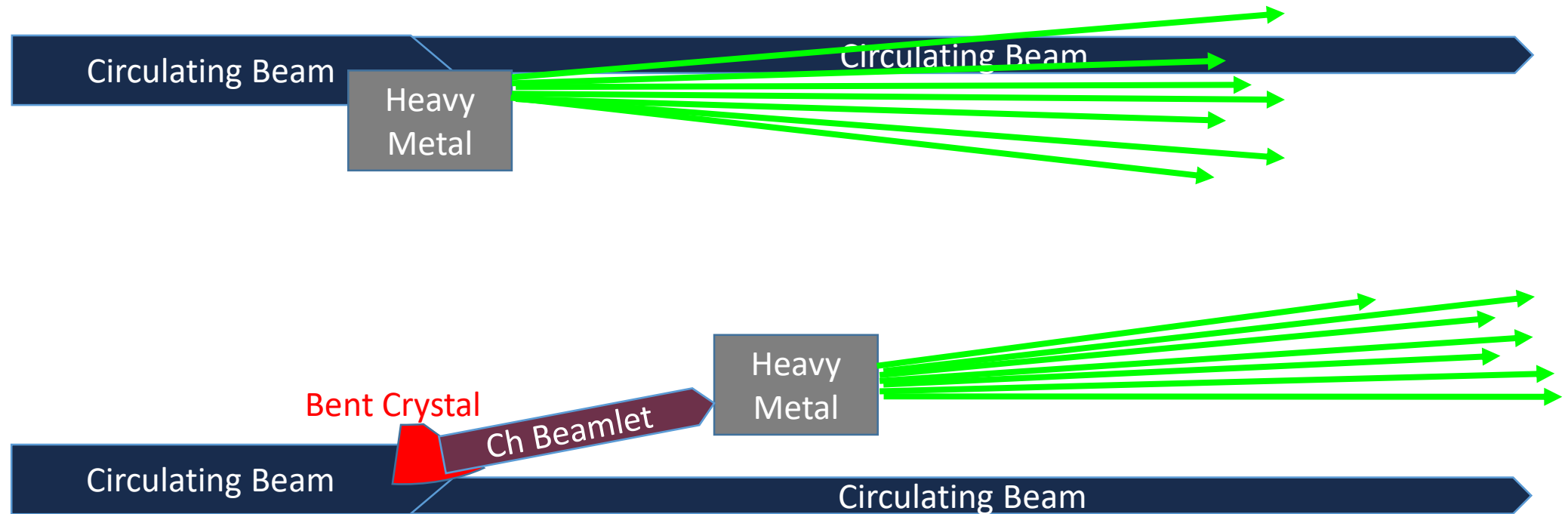


# Non-Resonant Beam Extraction

- Add a Bent **Crystal** just after the second magnet
- If the crystal is placed correctly, the *Halo of the circulating beam* will undergo Channeling and thus the **extracted beamlet** can be further separated using the **Septum Magnet**
- The circulating beam core is untouched



# Crystal Assisted Beam Collimation

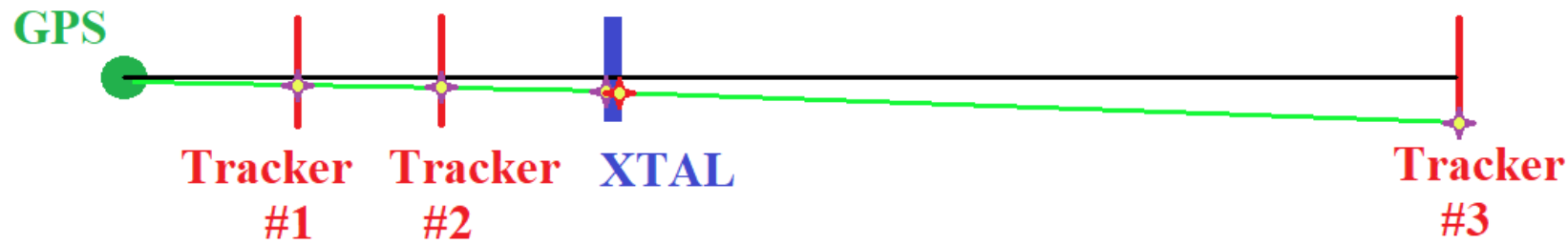


# B SHERPA Simulations / Profiles

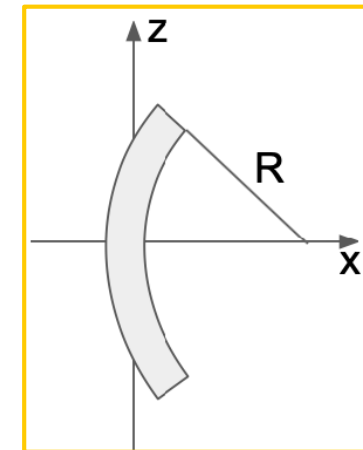
**My Master Thesis**

# Geant4 Simulations structure

- AngXin, AngYin, PosXin, PosYin, AngXout, AngYout, AngCry

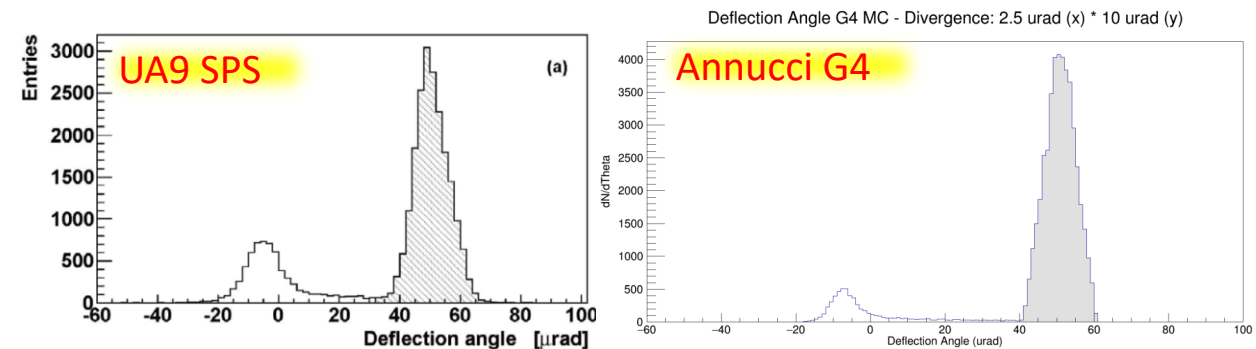


- **T1** and **T2** used to compute AngXin and AngYin because **GPS** has spot and divergence  $\sigma(x), \sigma(y), \sigma'(x), \sigma'(y) \neq 0$
- **Track** impinges on **XTAL**, giving PosXin and PosYin
- Bending is simulated
- Particle outgo **XTAL** at known position and with known direction, then it proceeds straight until **T3**
- **T3** and **XTAL** used to evaluate AngXout, AngYout
- AngCry describes XTAL **orientation**



# Geant4 Simulations

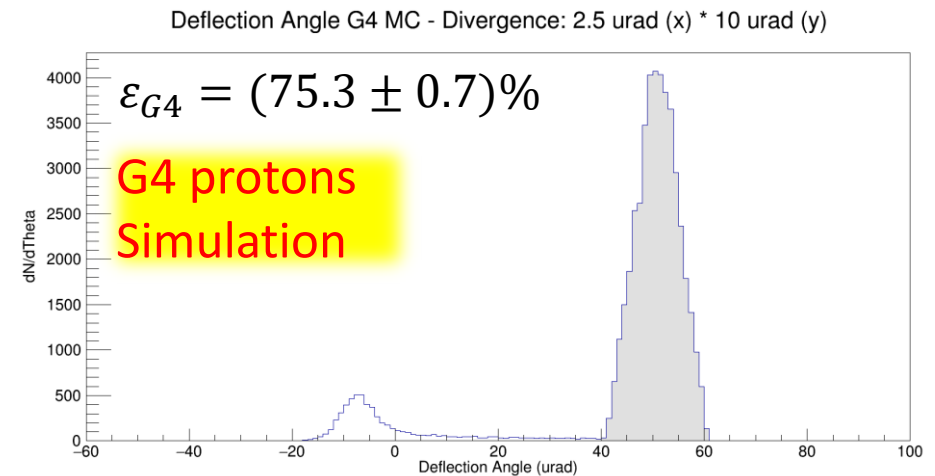
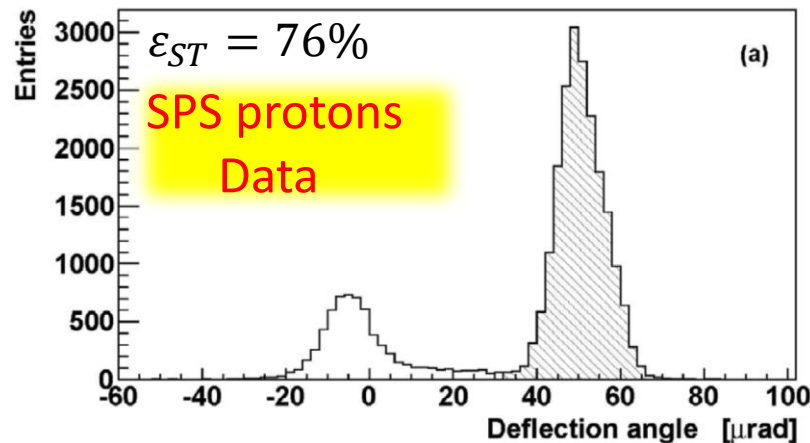
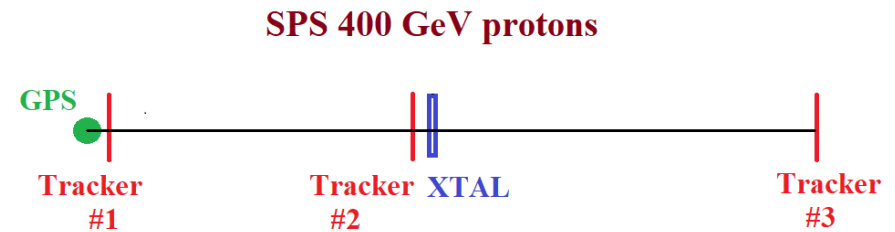
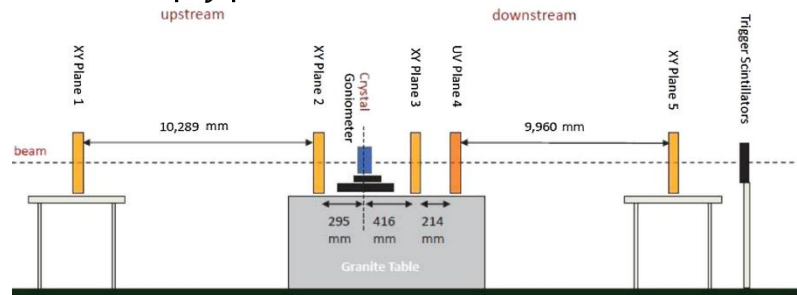
- G4 Cannelling Example implemented only in version 10.05.p01
  - G4 Routine described in <http://arxiv.org/abs/1403.5819> by Enrico Bagli et al.
  - No Rechanneling implemented, but considered «*a posteriori*»
  - Channeling, Dechanneling, Volume Capture and Volume Reflection implemented
  - The only lattice plane available is the (110)
  - Beam and crystal parameter and orientation modified by Data Cards
- G4 output variables distributions are the TRUE variable distributions
  - Impinging/outgoing X/Y-angle and impinging/outgoing X-position
- An intense work has been done in order to validate and cross-check the G4 routine. (reported SPS 400 GeV proton H8 UA9, by Scandale/Taratin)
  - Also MAMI setup with e- gives compatible results with Geant4 simulations



# Geant4 H8 SPS Simulations

➤ SPS 400 GeV proton H8 UA9 setup described in [Physics Reports, Volume 815, 25 June 2019, Pages 1-107](#) (Scandale/Taratin)

➤ Angular cut (SPS)  $|\theta_x|, |\theta_y| < 5 \mu\text{rad}$ . In G4,  $\sigma'(x) = 2.5 \mu\text{rad}$



# Geant4 MAMI Simulations

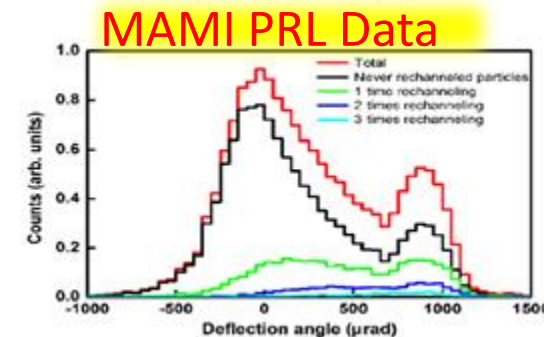
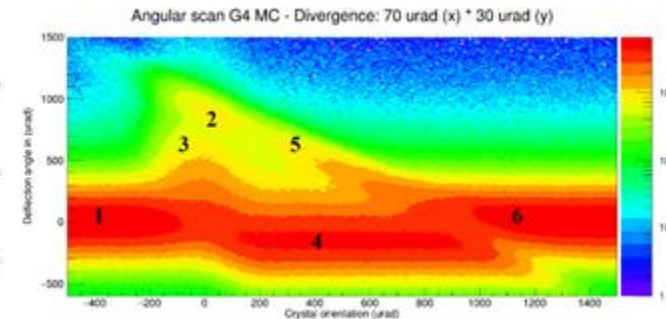
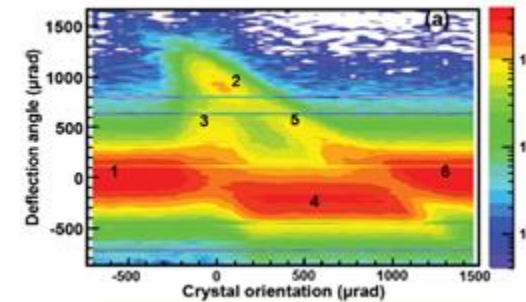
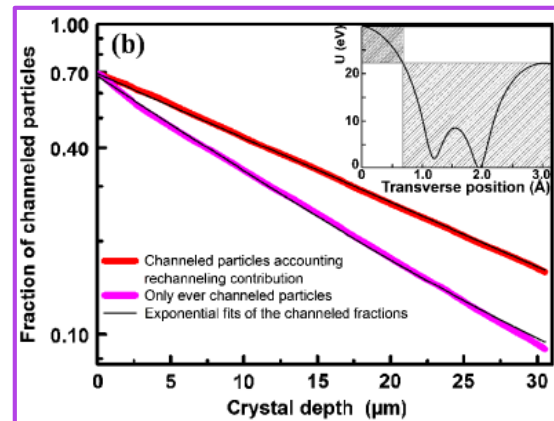
- MAMI setup described in [PhysRevLett.112.135503](https://arxiv.org/abs/1205.1355) (Mazzolari et al)
- Angular Scan: the crystal is rotated wrt impinging beam
  - MAMI data collected with (111) plane; G4 Simulations carried on (110) plane
  - $\varepsilon_{G4} = (14.6 \pm 1.3)\%$ ; without Rech; **but** 50%particles recycled through Rechanneling
  - $\varepsilon'_{G4} = 1.5 \times (14.6 \pm 1.3)\% = (21.9 \pm 1.9)\%$  considering Rechanneling «by hand»
  - Rechanneling is not negligible, if not decisive, only for the negative charges.

$$\varepsilon_{Mami,Exp} = (20.1 \pm 1.2)\%$$

$$\varepsilon_{Mami,MC} = 21.2\%$$

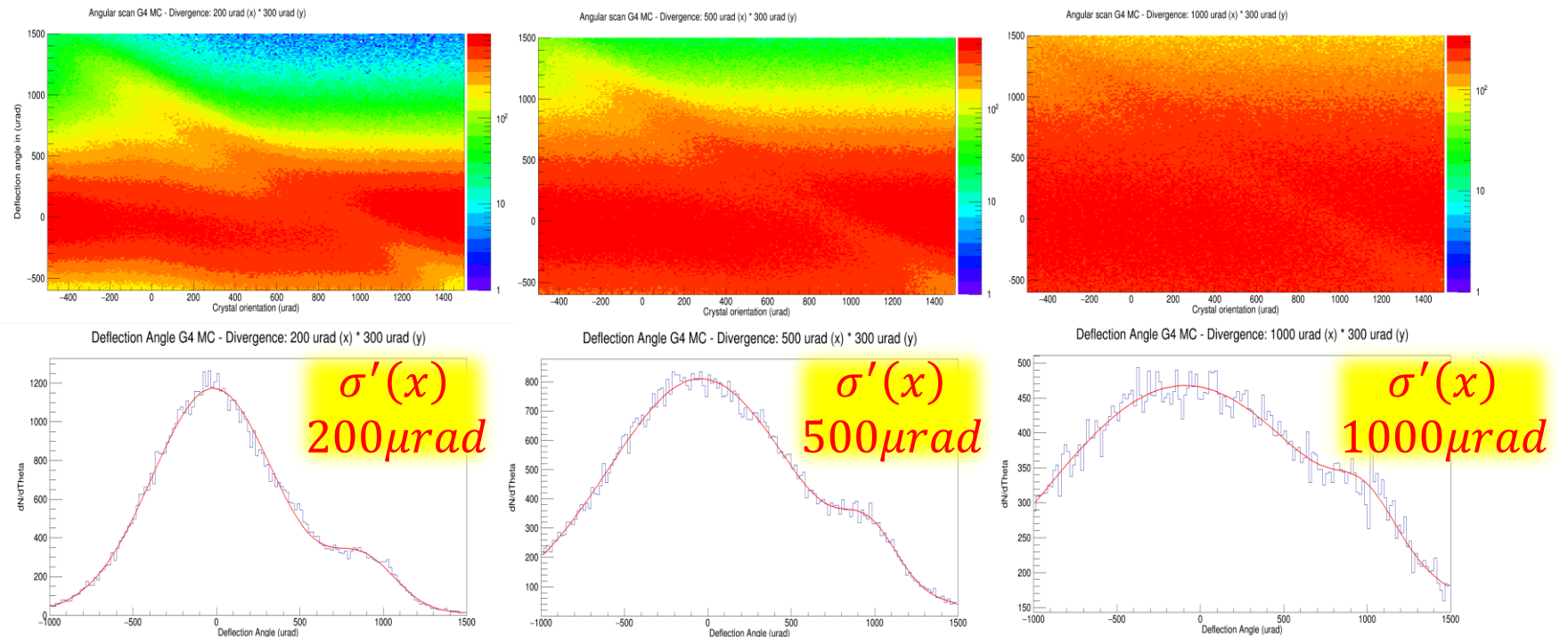
(with Rechanneling)

Fair Agreement with  $\varepsilon'_{G4}$



# Geant4 INFN LNF BTF Simulations

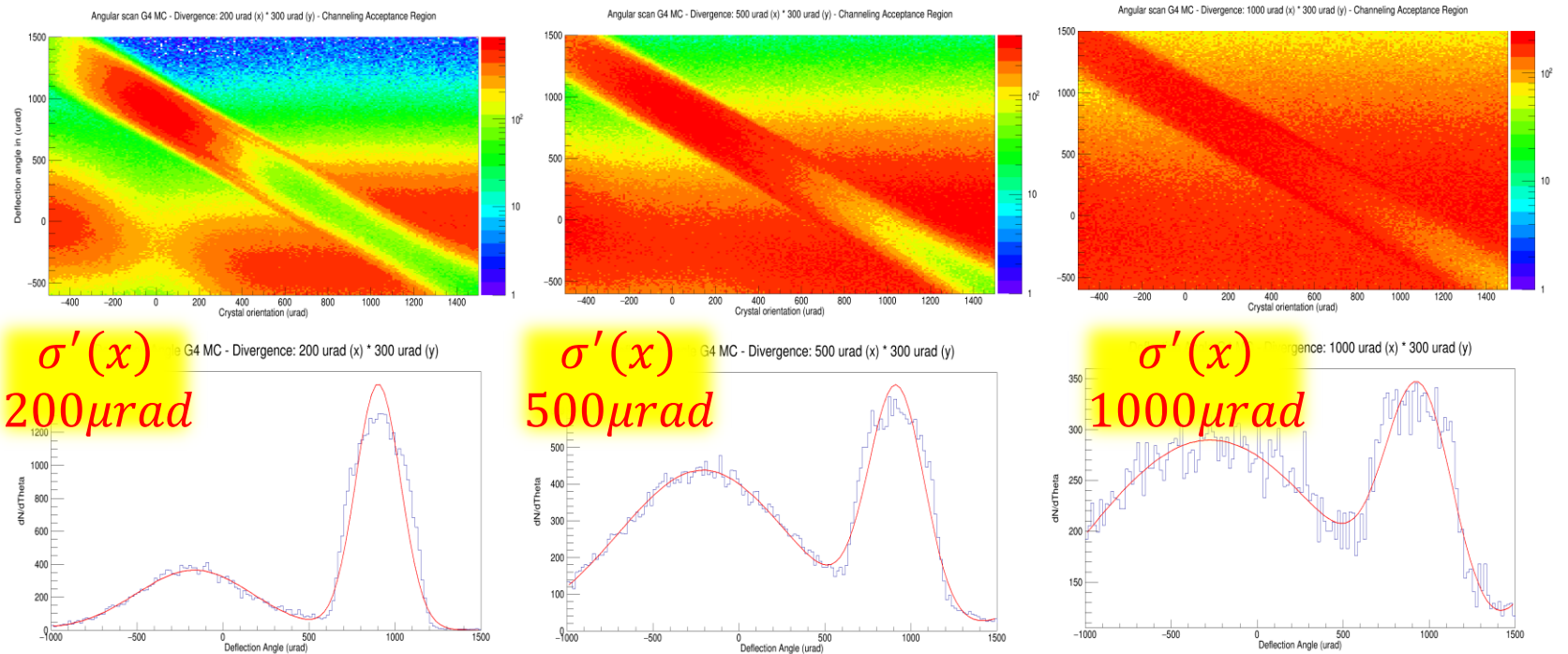
- 511 MeV  $e^-$  simulations in the INFN LNF BTF setup
- It is necessary to disentangle the **CH peak** from the **Background**
  - Studies performed **changing the  $\sigma'(x)$**  mantaining fixed  $\sigma'(y) = 300\mu rad$
  - Angular Scan: MAMI-like procedure. G4 Simulations carried on (110) plane
  - Divergence  $\sigma'(x) = 200, 500, 1000\mu rad$  in this slide





# Geant4 INFN LNF BTF Simulations

- With  $e^-$  is hard to observe Channeling, so we decided to do also 511 MeV  $e^+$  simulations in the same configuration. SHERPA needs  $e^+$  extraction
  - Studies performed **changing the  $\sigma'(x)$**  mantaining fixed  $\sigma'(y) = 300\mu\text{rad}$
  - Angular Scan: MAMI-like procedure. G4 Simulations carried on (110) plane
  - Divergence  $\sigma'(x) = 200, 500, 1000\mu\text{rad}$



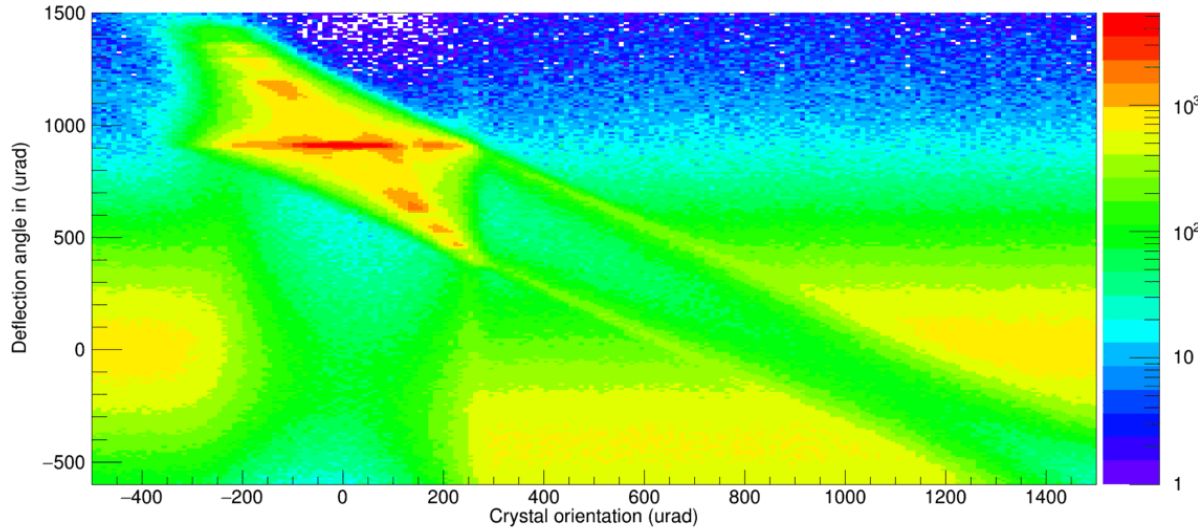
# INFN LNF BTF 511 MeV $e^+ / e^-$ Simulations

## ➤ BTF SHERPA Geometry

- Angular Scan: MAMI-like procedure. G4 Simulations carried on (110) plane
- Spot **RMS  $1 \text{ mm}^2$**
- Divergence  $\sigma'(x) = 0 \rightarrow 1000 \mu\text{rad}$  and  $\sigma'(y) = 300 \mu\text{rad}$

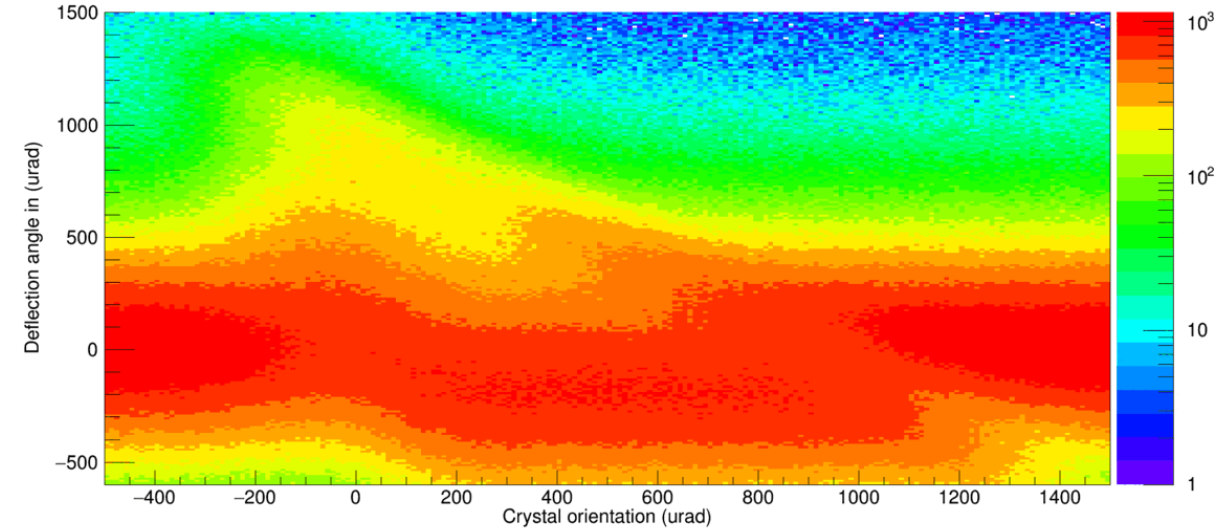
## ➤ Positrons simulations

Angular scan G4 MC - Divergence: 0 urad (x) \* 300 urad (y) - Channeling Acceptance Region



## Electrons simulations

Angular scan G4 MC - Divergence: 0 urad (x) \* 300 urad (y)



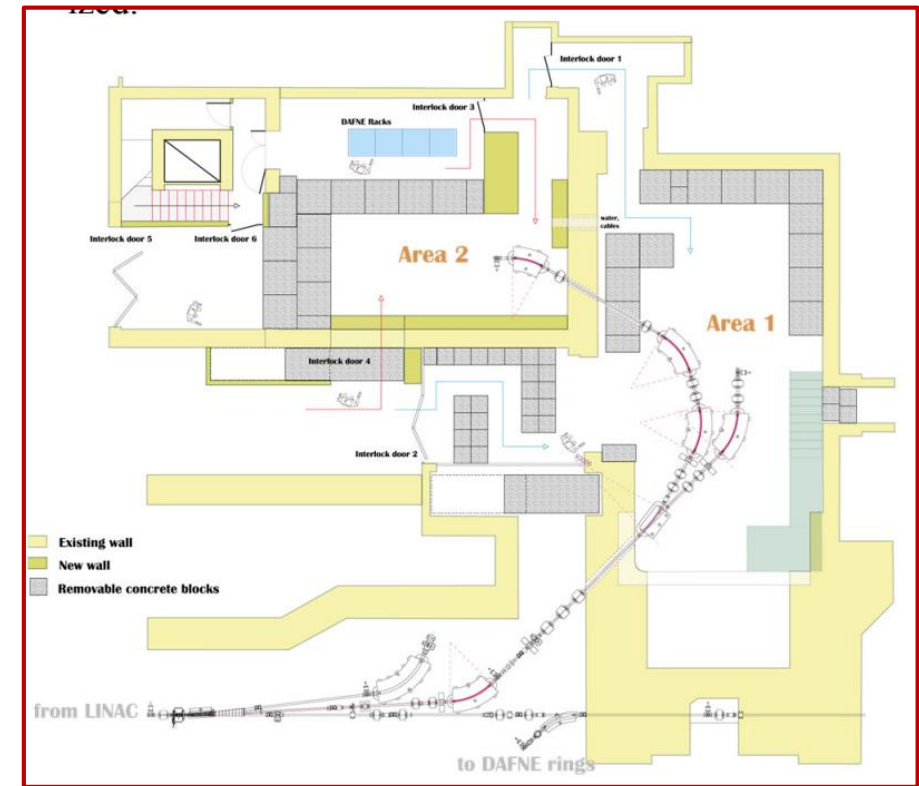
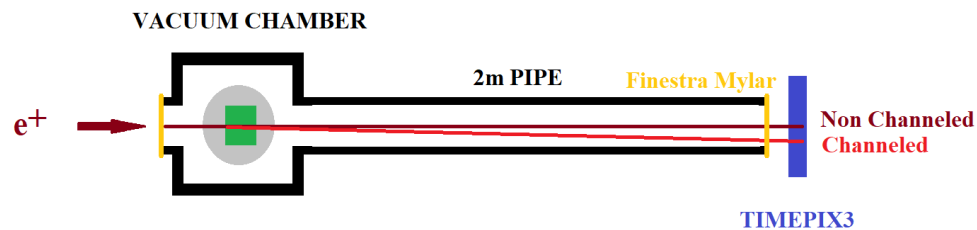
# C SHERPA Simulations / Beam Spot

My Master Thesis

# TimePix3 Beam Imaging Simulations

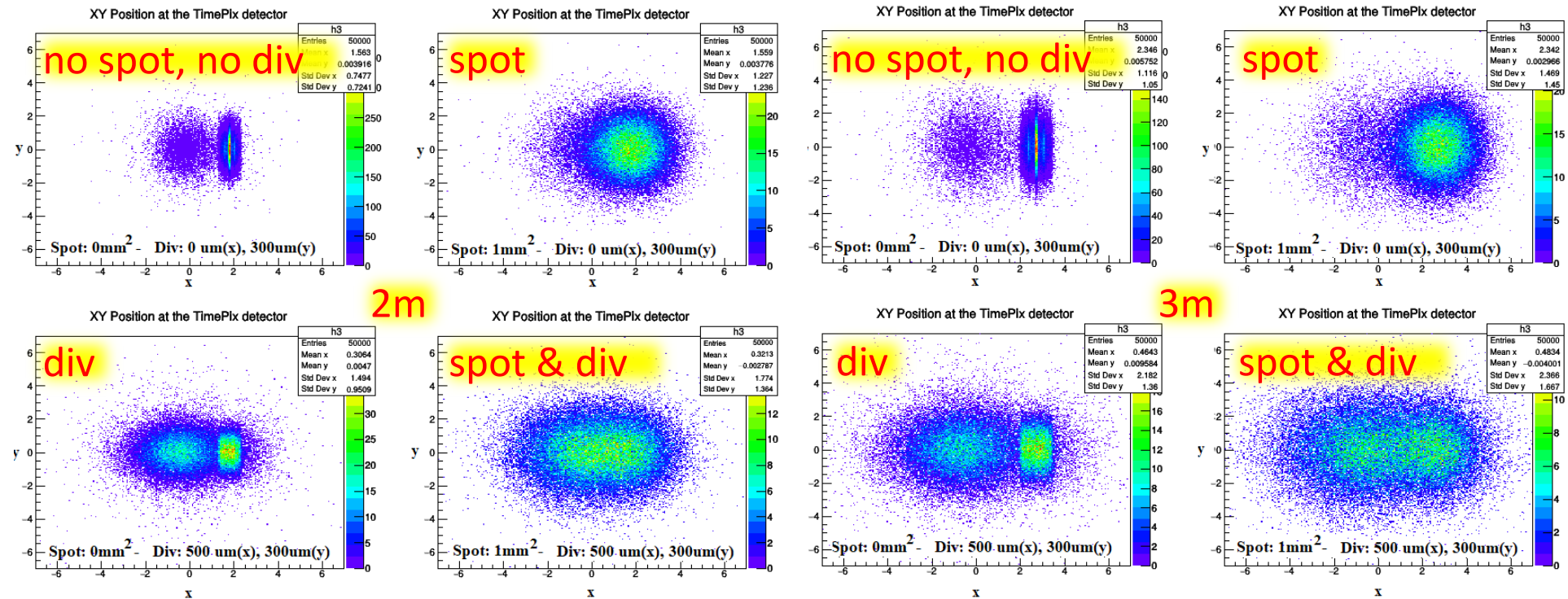


- SHERPA Pixel Si detector measuring the outgoing positions
  - Active surface of  $14 \times 14 \text{ cm}^2$ ;  $256 \times 256$  pixel matrix.  $55 \mu\text{m}$  pixel pitch
  - Thickness  $100 \mu\text{m}$
- Only  $e^+$  impact position available at SHERPA TPX3
  - No information about incoming direction → No Angular distribution
  - We evaluate positions, not angles
- Monte Carlo tailored to reproduce the Beam imaging in BTF-II
  - BTF-II free space of 3 m limits the SHERPA experimental setup
  - TPX3 placed  $2/3 \text{ m}$  downstream the crystal



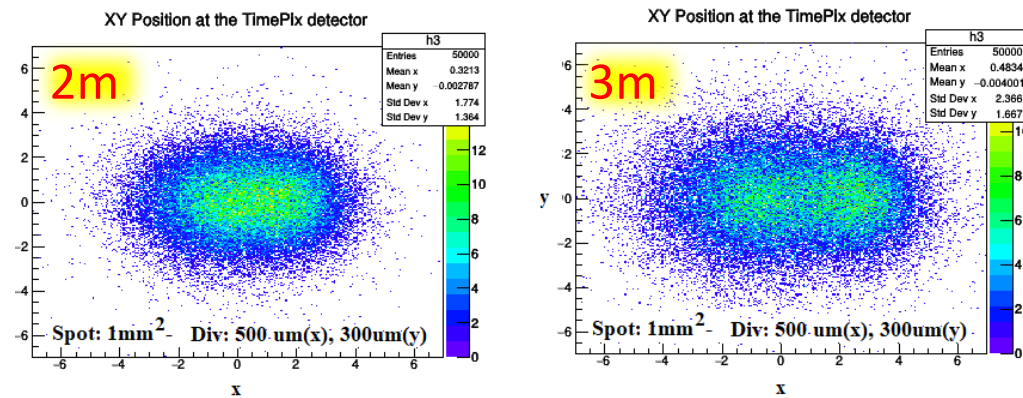
# TimePix3 Beam Imaging Simulations

- Spot  $\sigma(x) \times \sigma(y) = (0 \times 0) \text{ mm}^2$  or  $\sigma(x) \times \sigma(y) = (1 \times 1) \text{ mm}^2$  RMS
- $\sigma'(x) = 0/500 \mu\text{rad}$  (variable) and  $\sigma'(y) = 300 \mu\text{rad}$  (fixed)
- Detector distance:  $2/3 \text{ m}$  from crystal: Spot imaging (2D)
- Histo binning is chosen as the pixel pitch



# TimePix3 Preliminary Beam Indication

- Simulations performed with variable spot and divergence
  - $\sigma(x) \times \sigma(y) = (1 \times 1) \text{ mm}^2$  and  $\sigma'(x) = 500 \mu\text{rad}$  - the Channeling is visible
  - PROBLEM: it isn't guaranteed BTF can reach  $\sigma'(x) = 500 \mu\text{rad}$
  - At 2 m the Channeling is not well separated. At 3 m it is better resolved



- As a first result, we proved that the spots should be sub-mm RMS radius
  - We think that the  $\sigma(x) \times \sigma(y) = (0.5 \times 0.5) \text{ mm}^2$  configuration is still achievable at BTF
  - Relaxing a little bit requirements on  $\sigma'(x)$