

VERTEX  
2023

LHCb  
THCP



# The LHCb Upgrade Programme and the VELO

Paula Collins  
CERN

# Contents

- LHCb
  - Global requirements
  - Upgrade schedule
- VELO Upgrade II
  - Requirements - move to timing
  - Key challenges
    - Sensors and ASIC
    - Data Transmission
    - Cooling
    - Mechanics

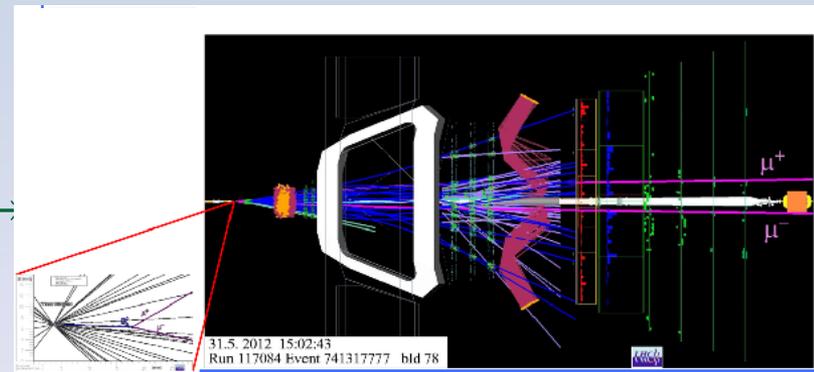
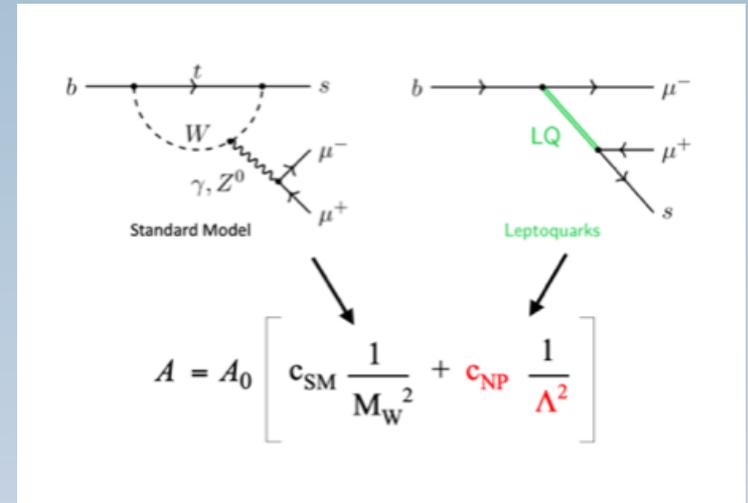
# Flavour Physics at LHCb

**New physics searches with flavour** look for the indirect effects on low energy processes, for instance rare b-hadron decays, probing mass scales not directly accessible at the LHC

The LHC provides huge statistics and access to all c- and b-hadrons - but **event topology is challenging**; need low material, ability to trigger on low  $p_T$ , and particle identification to flavour tag and distinguish topologically similar decays e.g.  $B \rightarrow \pi\pi$ ,  $B \rightarrow K\pi$

LHCb is a **general-purpose forward detector** at the LHC which is **particularly suited** to precision measurements in the beauty and charm sectors

- ✓  $\Delta p / p = 0.5\%$  at  $< 20$  GeV/c,  $1.0\%$  at 200 GeV/c
- ✓ IP resolution =  $15 + 29/p_T$  [GeV/c]  $\mu\text{m}$
- ✓ decay time resolution 45 fs for  $B_s \rightarrow J/\psi\phi$  and  $B_s \rightarrow \dots$
- ✓ Kaon ID  $\sim 95\%$  for  $5\%$   $\pi \rightarrow K$  mis-id probability
- ✓ full real time reconstruction in the high level trigger



# LHCb Detector Performance

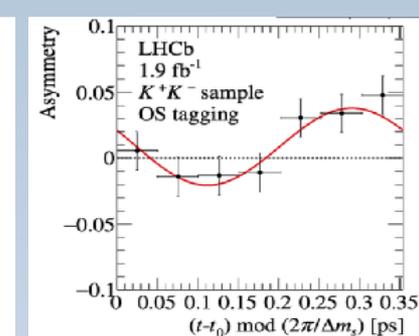
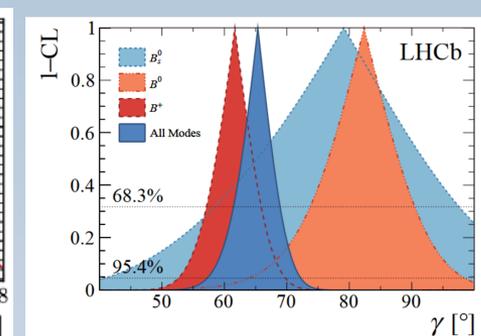
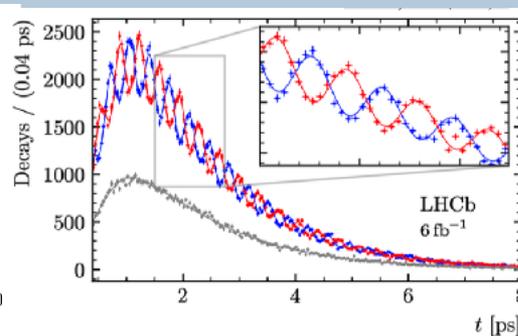
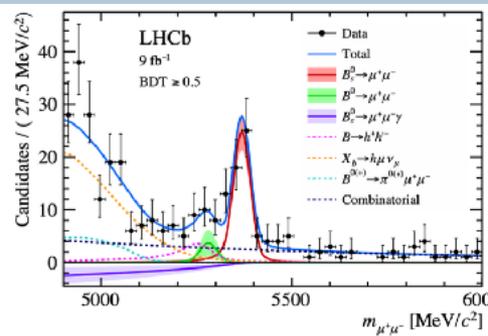
LHCb accumulated  $9 \text{ fb}^{-1}$  of integrated luminosity during LHC Runs 1 & 2 yielding **precision measurements including:**

$$B_s^0 \rightarrow \mu^+ \mu^-$$

$$\Delta m_s$$

CKM angle  $\gamma$

$B_s$  time dependent CPV



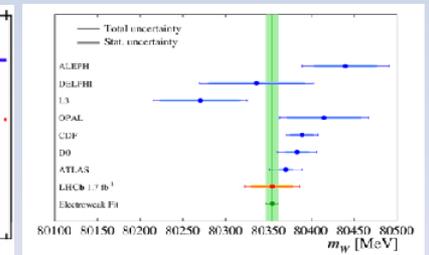
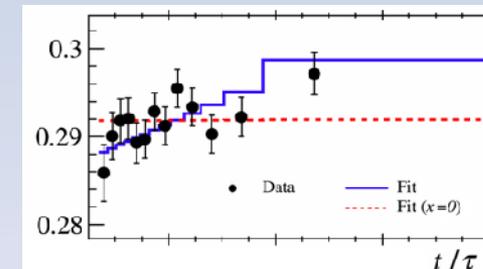
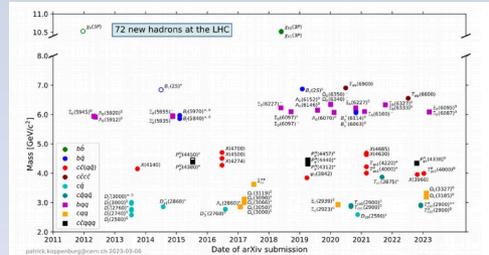
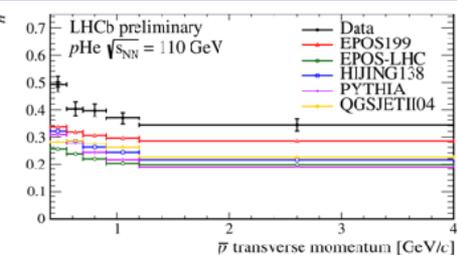
a wealth of further measurements and discoveries including:

pHe physics

>72 new hadrons

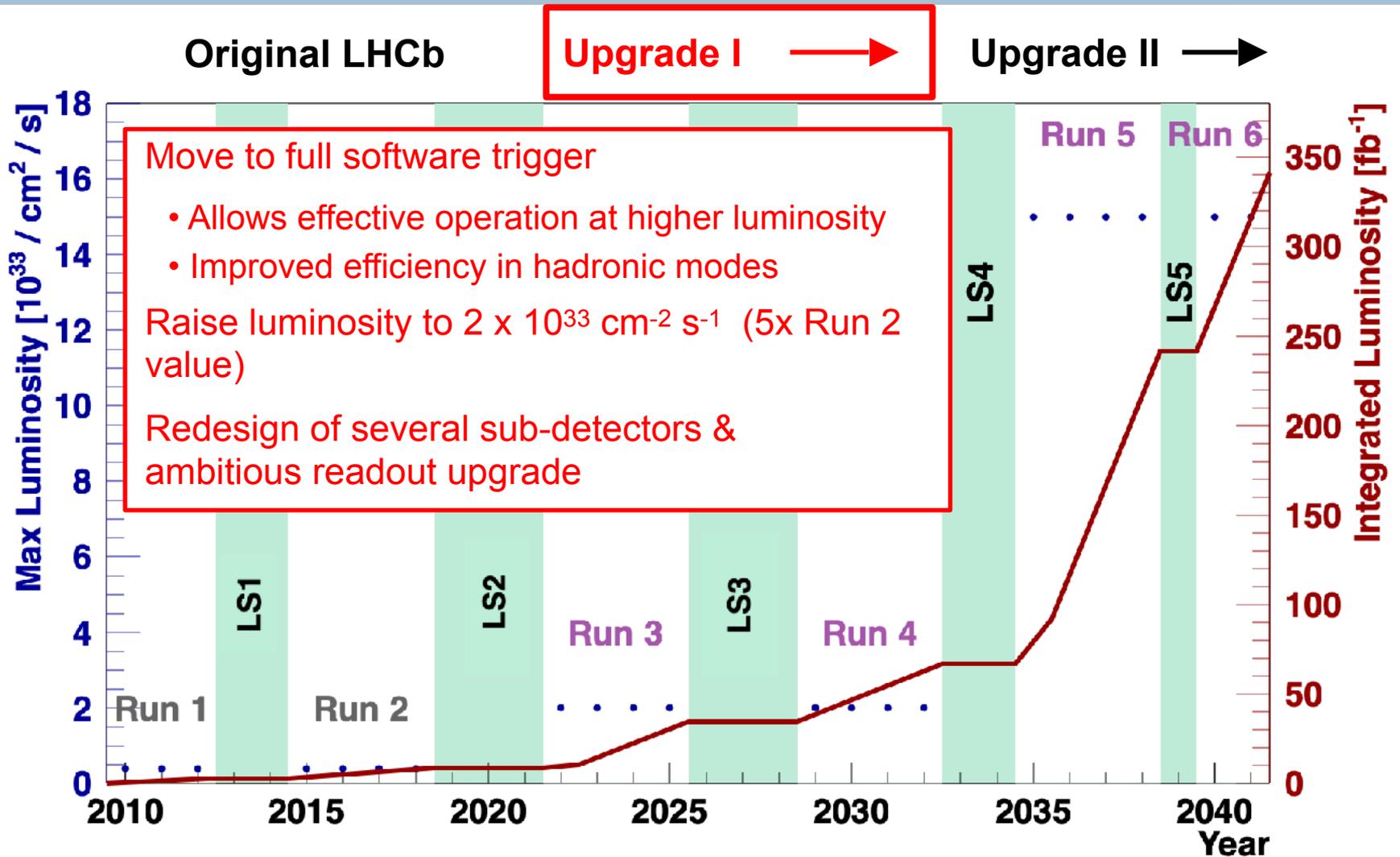
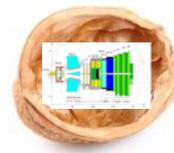
$D^0\bar{D}^0$  mass difference

EW physics



and also intriguing anomalies:  $R(D^*)$ , angular analysis of  $K^*\mu^+\mu^-$  ...

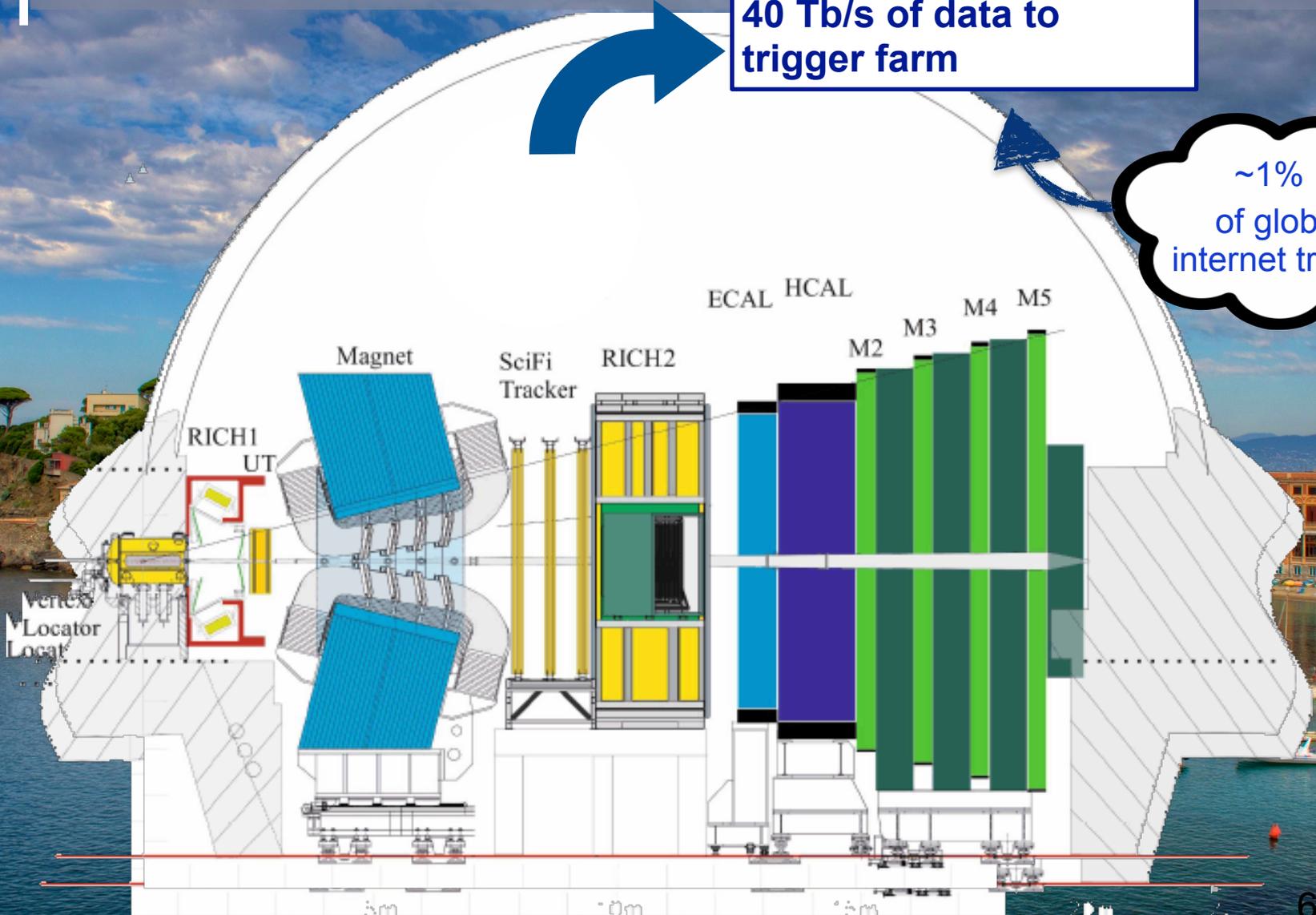
# LHCb Upgrade Programme



# Upgrade I

40 Tb/s of data to trigger farm

~1% of global internet traffic!



# Upgrade I

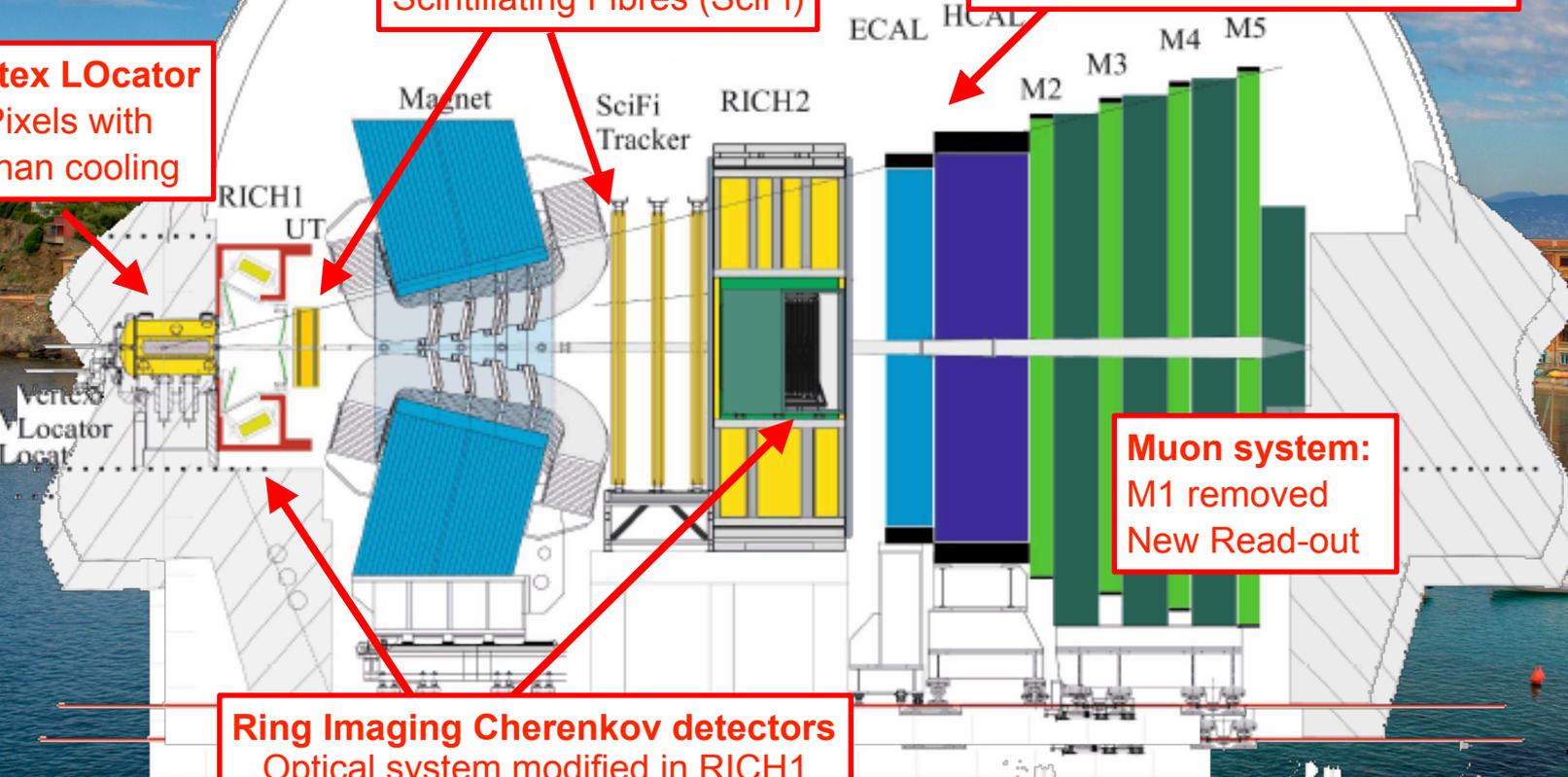
Full s/w trigger →  
Replace read-out boards and DAQ

40 Tb/s of data to  
trigger farm

**New Tracking system**  
Silicon strips (UT)  
Scintillating Fibres (SciFi)

**Calorimeter system:**  
SPD/PS removed - no L0 trigger  
Operate PMTs at lower gain  
New Read-out throughout

**Vertex LOcator**  
Pixels with  
 $\mu$ chan cooling



**Muon system:**  
M1 removed  
New Read-out

**Ring Imaging Cherenkov detectors**  
Optical system modified in RICH1  
New photon detectors and Read-out

# Current VErteX LOcator (U1)

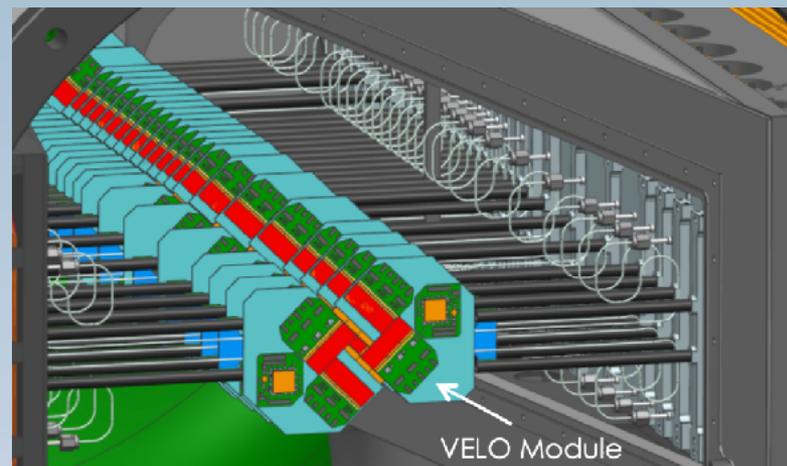
L-shape hybrid pixel silicon detector modules cooled by bi-phase CO<sub>2</sub> which passes under the chips in etched microchannels within a silicon wafer cooler (T~-30°C)

- ~ 3 % X<sub>0</sub> radiation length before second measured point on track

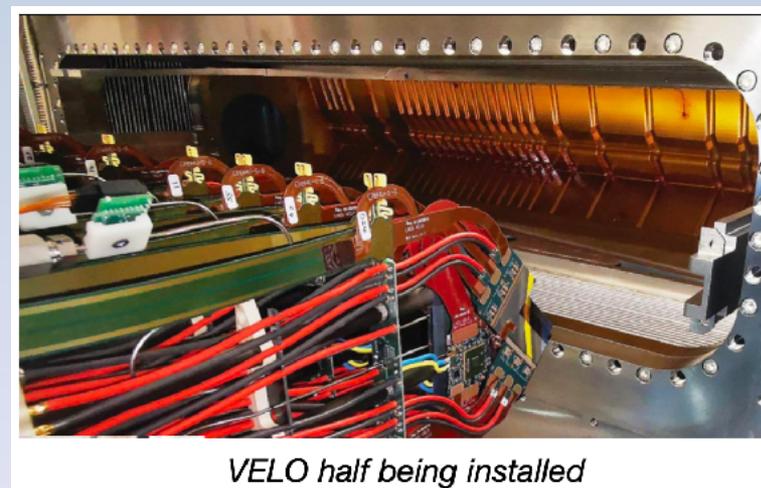
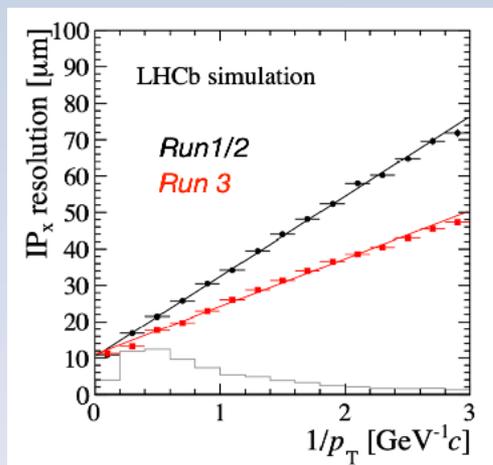
Two moveable halves: closer to beam line (R = 8.2mm → R = 5.1 mm) for improved IP resolution

- 52 modules, 41M pixels, 208 x 200 μm sensor tiles

New ASIC: VeloPix ~ 20 Gb/s in hottest ASIC for a total of 3 Tb/s for whole VELO



*Improved impact parameter and decay time resolution*



# Current VErteX LOcator (U1)

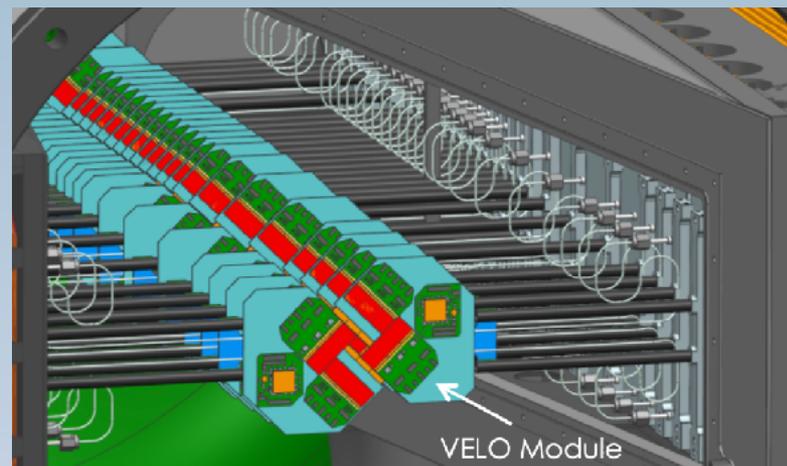
L-shape hybrid pixel silicon detector modules cooled by bi-phase CO<sub>2</sub> which passes under the chips in etched microchannels within a silicon wafer cooler (T~-30°C)

- ~ 3 % X<sub>0</sub> radiation length before second measured point on track

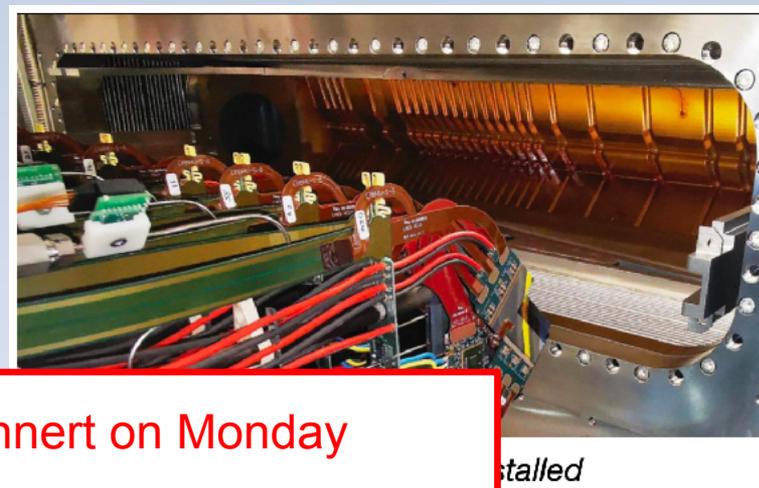
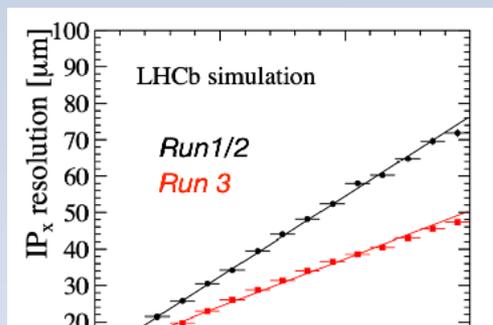
Two moveable halves: closer to beam line (R = 8.2mm → R = 5.1 mm) for improved IP resolution

- 52 modules, 41M pixels, 208 x 200 μm sensor tiles

New ASIC: VeloPix ~ 20 Gb/s in hottest ASIC for a total of 3 Tb/s for whole VELO



*Improved impact parameter and decay time resolution*

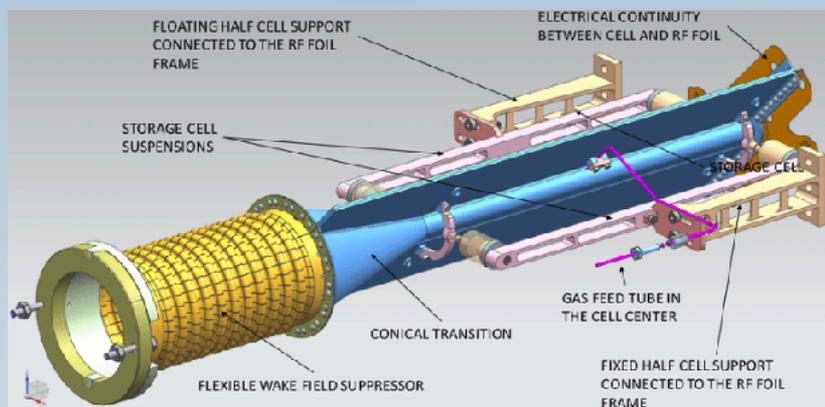


For more details see talk of Kurt Rinnert on Monday

# SMOG2 and Fixed Target Physics

New SMOG2 system installed to inject various gas species in the LHCb interaction region

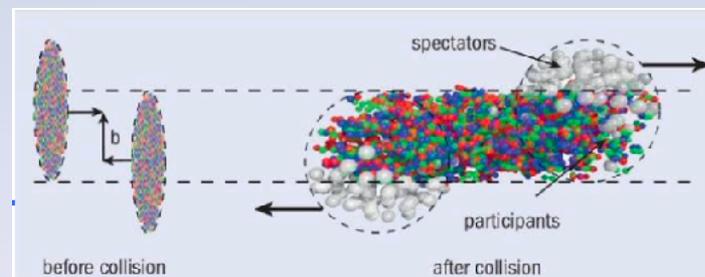
- Fixed Target physics at the LHC, in parallel with pp data taking
- Gas cell attached to the VELO, displaced p-gas IP for easy distinction pp data



Physics programme spans over:

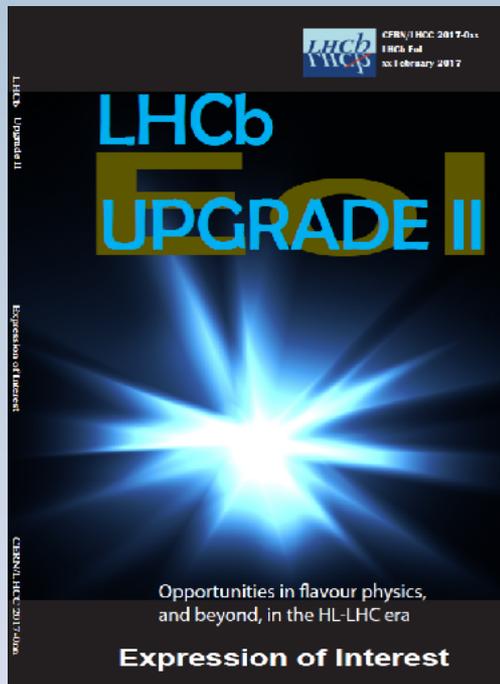
- anti-proton production
- Central exclusive production
- $X(3872)/\psi(2S)$
- $\psi(2S)/J/\psi$
- Strangeness production
- $\Lambda_c \rightarrow pK\pi$

+ LHCb participation in Heavy Ion runs (PbPb and pPb data taking)  
down to 30% centrality in LHCb in Run 3

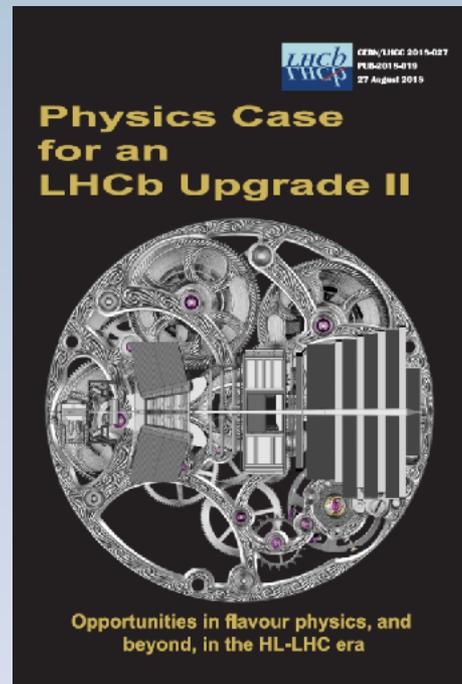


# From Upgrade I to Upgrade II

Upgrade I will not saturate precision in many key observables → a further upgrade is necessary to fully realise the flavour-physics potential of the HL-LHC  
There is steady progress towards plans for an Upgrade II, that will operate in Runs 5 and 6.



[\[CERN-LHCC-2017-003\]](#)



[\[CERN-LHCC-2018-027\]](#)

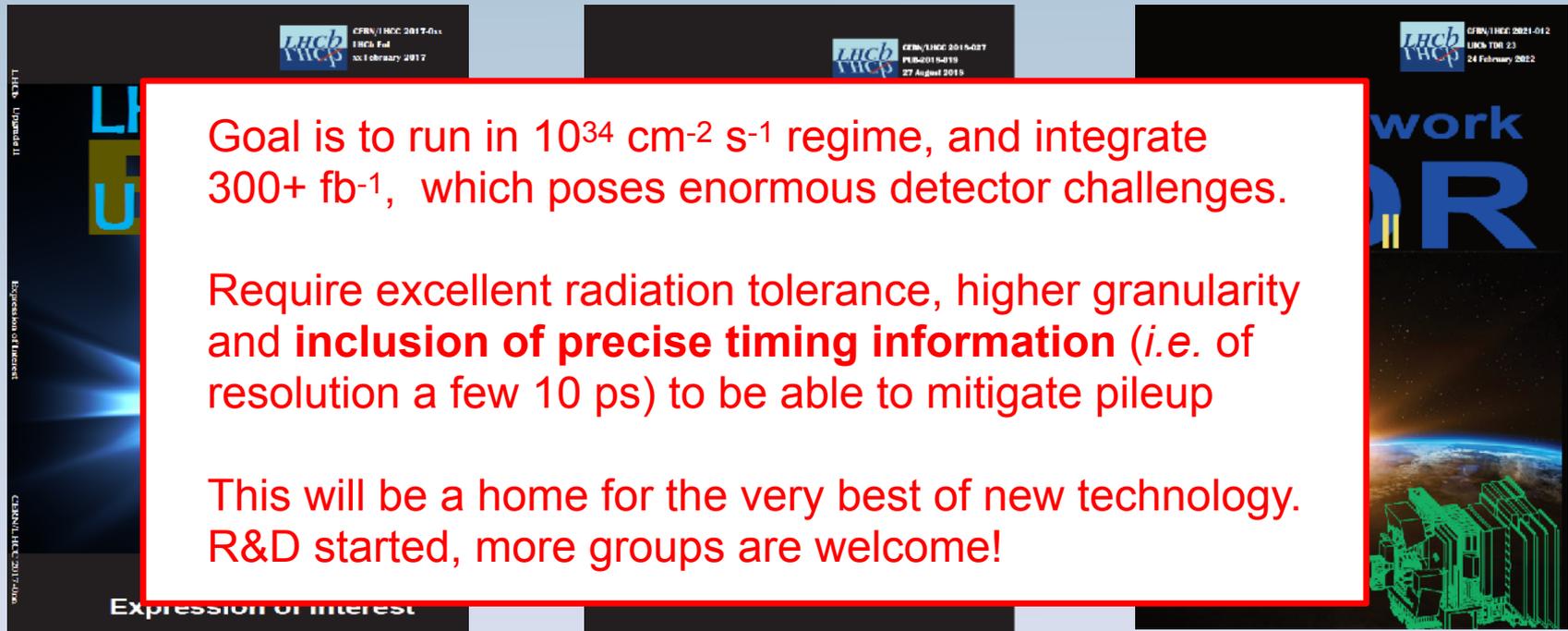


[\[CERN-LHCC-2021-012\]](#)

Part of the CERN baseline plan.

# From Upgrade I to Upgrade II

Upgrade I will not saturate precision in many key observables → a further upgrade is necessary to fully realise the flavour-physics potential of the HL-LHC  
There is steady progress towards plans for an Upgrade II, that will operate in Runs 5 and 6.



Goal is to run in  $10^{34} \text{ cm}^{-2} \text{ s}^{-1}$  regime, and integrate 300+ fb<sup>-1</sup>, which poses enormous detector challenges.

Require excellent radiation tolerance, higher granularity and **inclusion of precise timing information** (*i.e.* of resolution a few 10 ps) to be able to mitigate pileup

This will be a home for the very best of new technology. R&D started, more groups are welcome!

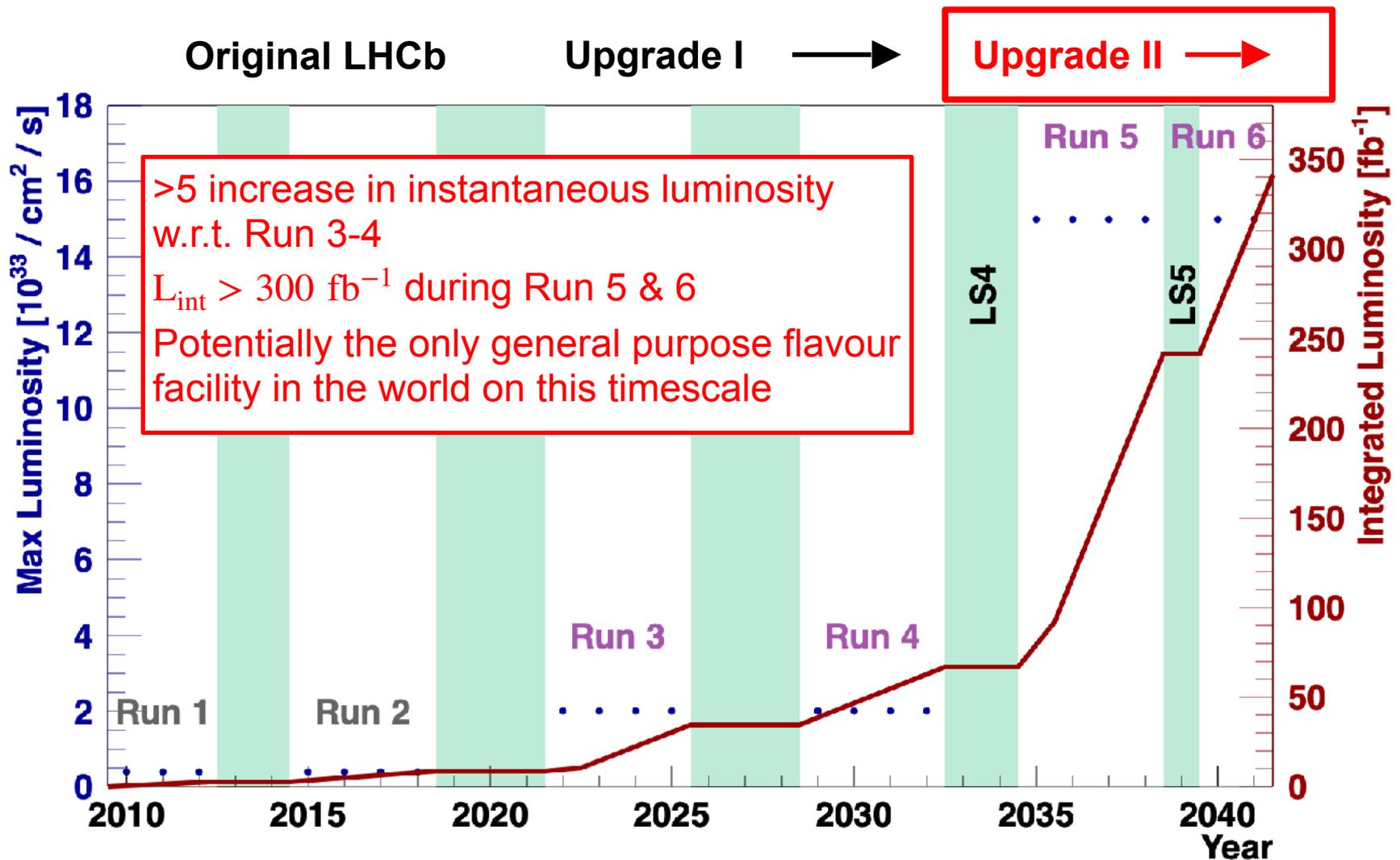
[\[CERN-LHCC-2017-003\]](#)

[\[CERN-LHCC-2018-027\]](#)

[\[CERN-LHCC-2021-012\]](#)

Part of the CERN baseline plan.

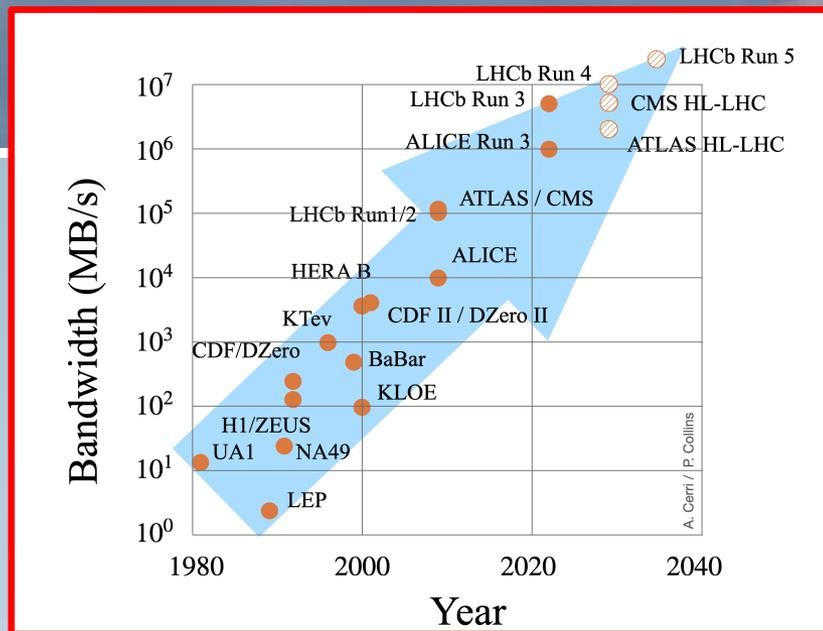
# From Upgrade I to Upgrade II



# Upgrade II

Upgrade II performance must equal or surpass that of Upgrade I, with

- Pile-up reaching values of 40
- 200 Tb/s of produced data
- charged particle densities up to  $1 \times 10^{12}/\text{cm}^2$



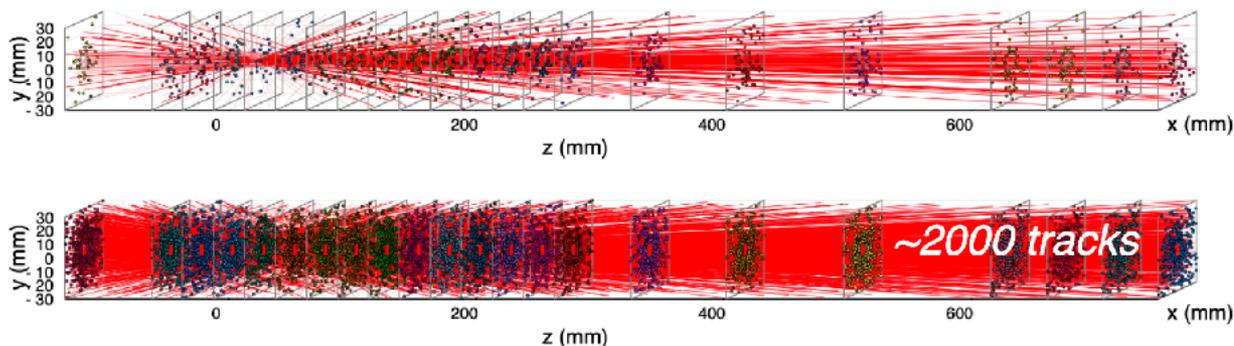
This is the **intensity frontier**! New, lightweight technologies with high granularity, timing, radiation resistance and innovative data processing all necessary to go to  $\mathcal{L} \sim 1 \times 10^{34} \text{ sec}^{-1} \text{ cm}^{-2}$

Image credit: Tim Evans

Run 3: pile-up ~5

Upgrade II: pile-up ~40

## Vertex LOcator (VELO)



# Upgrade II

## Timing to the Rescue

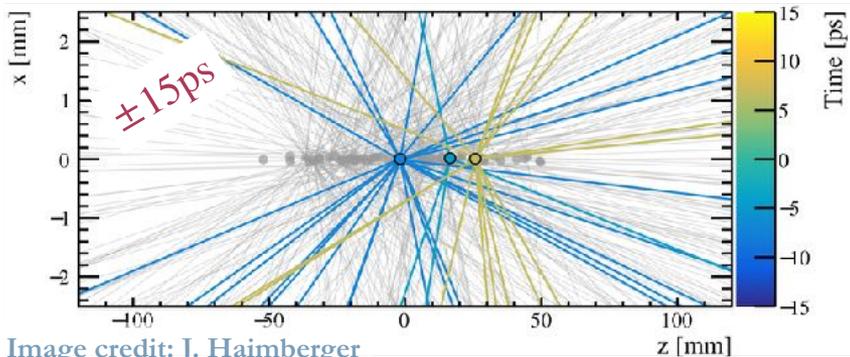
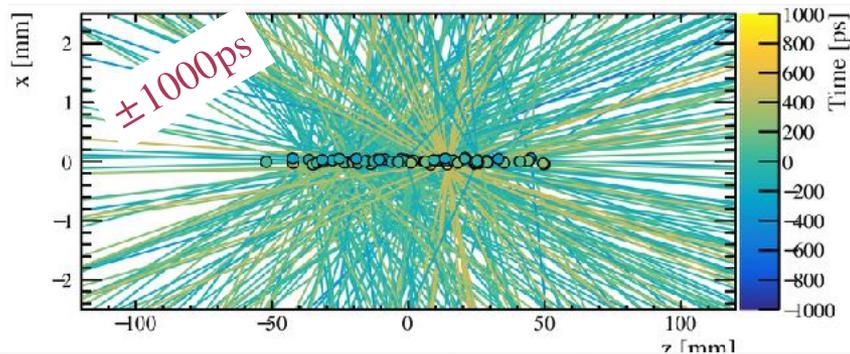
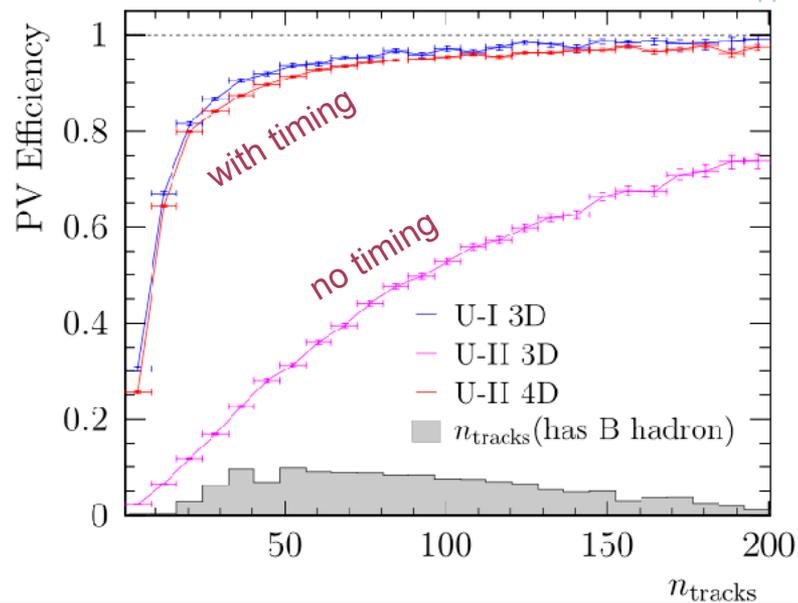


Image credit: J. Haimberger

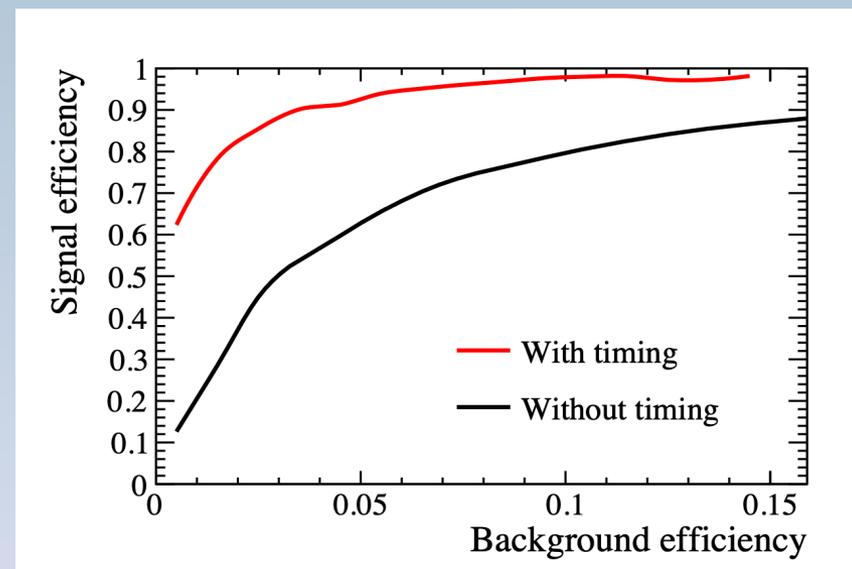
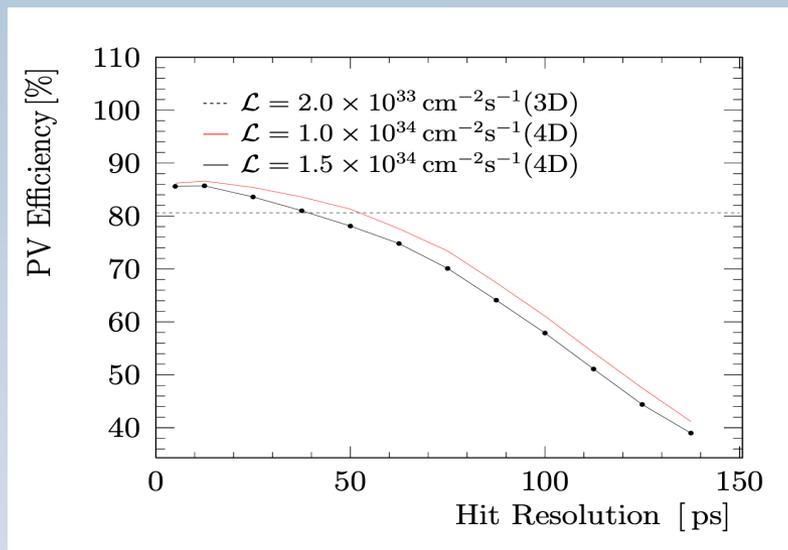


# Timing Requirements - VELO

Target: 20 ps per track

- Key performance requirement for tracking efficiency, ghost rates and background rejection
- Demands  $< 50$  ps resolution from sensor  $\oplus$  ASIC

Configuration	$\varepsilon_{\text{velo}}$ [%]	$\varepsilon_{\text{long}}$ [%]	$P_{\text{ghost}}$ [%]
3D	96.6	98.1	3.2
4D	97.2	98.7	1.1



Recover efficiency even at highest pileup

Provide sufficient discrimination - here shown for 3 prong  $D_s^+$  decays

# Timing Implementation - VELO

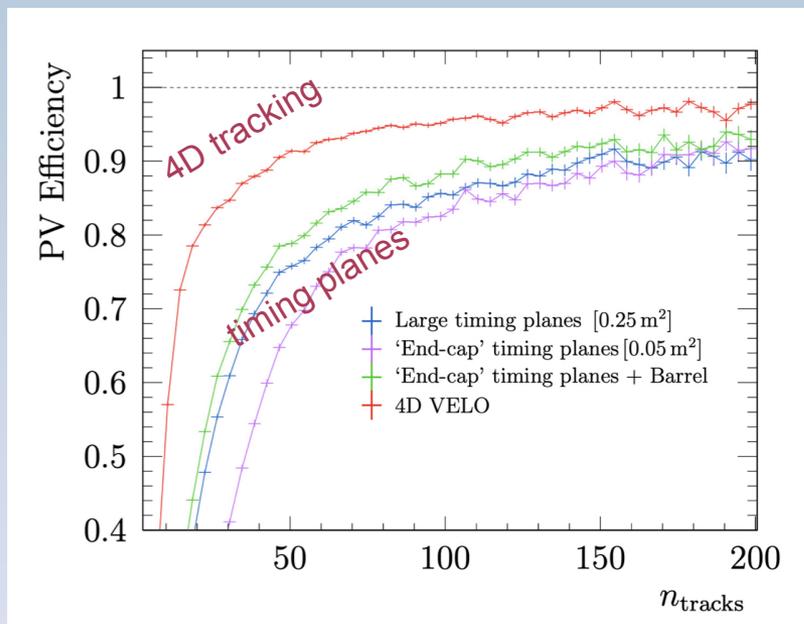
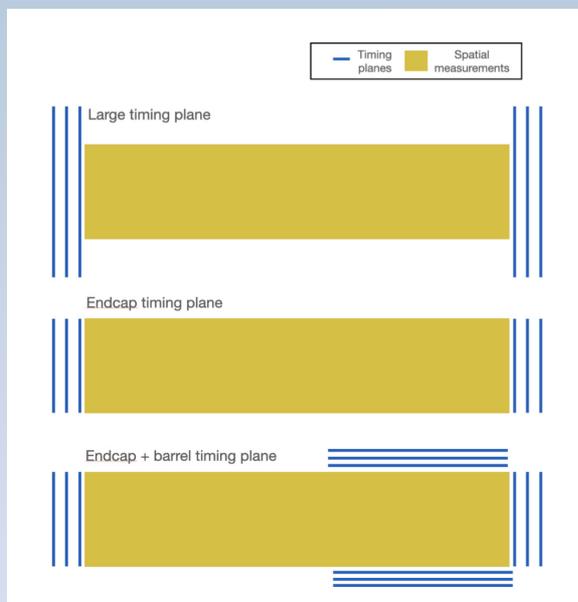
Two global approaches to timing implementation investigated:

Adding time stamp to all hits - “4D tracking”

- Inherently robust and provides maximum coverage, however places highest demands on ASIC

Adding dedicated timing planes for “per track” timing

- Up to 200% more silicon area required in a second technology, with pitch down to  $\sim 150 \mu\text{m}$  pitch and 25 ps resolution, can suffer from time dispersion for lower momentum tracks



# How to run at high lumi?

Vacuum

VELO relies on many technologies

High Speed  
Data  
Transmission



Mechanics

Cooling

Sensors and ASICs

# How to run at high lumi?

Vacuum

High Speed  
Data  
Transmission



Mechanics

Cooling

Sensors and ASICs

# Sensors and ASICs

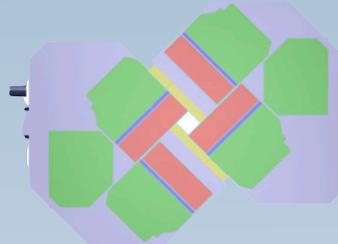
Impact parameter resolution at low  $p_T$  is a crucial driver of the final physics performance of LHCb

Typically  $< 25 \mu\text{m}$  down to 1 GeV/c

Interplay between innermost radius and material

Examples of two scenarios at the extreme limits

- radiation hardness and rate vs material and precision

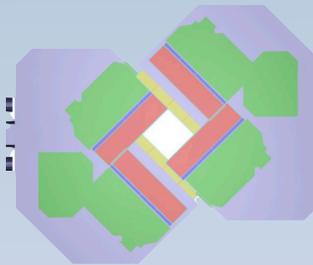


Scenario "A"

- rates up to 0.25 Tb/ASIC
- fluence  $> 6 \times 10^{16} \text{ 1 MeV } n_{\text{eq}}/\text{cm}^2$

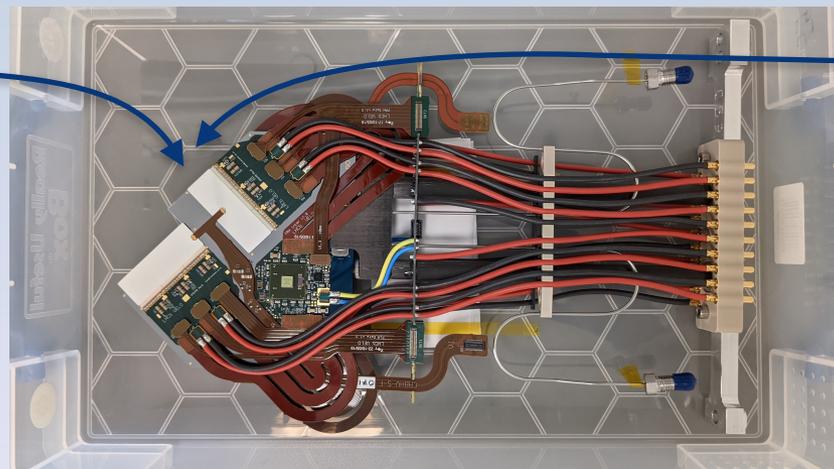
Scenario "B"

- pixel pitch  $< 42 \mu\text{m}$
- "ultra low" material budget



## Sensors

to be replaced with timestamping, radiation hard solution (3d, thin planar...)



## ASICs

to be replaced with ultra high rate, radiation hard, timestamping, low pitch ++ solution

# Sensors and ASICs

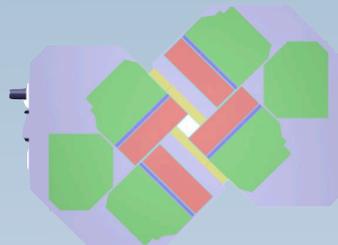
Impact parameter resolution at low  $p_T$  is a crucial driver of the final physics performance of LHCb

Typically  $< 25 \mu\text{m}$  down to  $1 \text{ GeV}/c$

Interplay between innermost radius and material

Examples of two scenarios at the extreme limits

- radiation hardness and rate vs material and precision

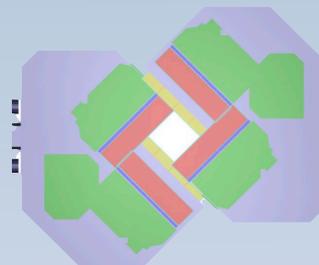


Scenario "A"

- rates up to  $0.25 \text{ Tb/ASIC}$
- fluence  $> 6 \times 10^{16} \text{ 1 MeV } n_{\text{eq}}/\text{cm}^2$

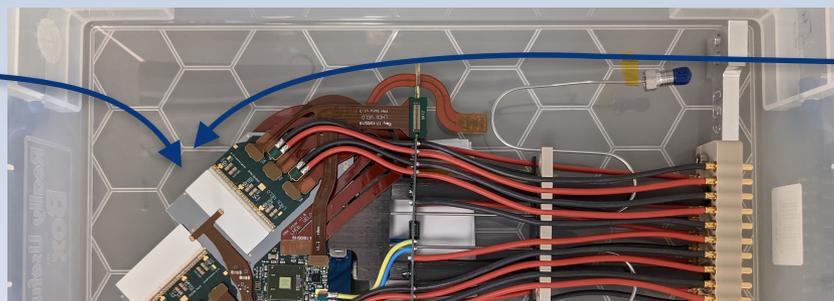
Scenario "B"

- pixel pitch  $< 42 \mu\text{m}$
- "ultra low" material budget



## Sensors

to be replaced with  
timestamping,  
radiation hard  
solution  
planar.



## ASICs

to be replaced with  
ultra high rate,  
radiation hard,  
timestamping, low

For more details see talk of Andrea Lampis  
and talks of Francesco Brambilla and Gian Matteo Cossu on Thursday

# How to run at high lumi?

Vacuum

High Speed  
Data  
Transmission



Mechanics

Cooling

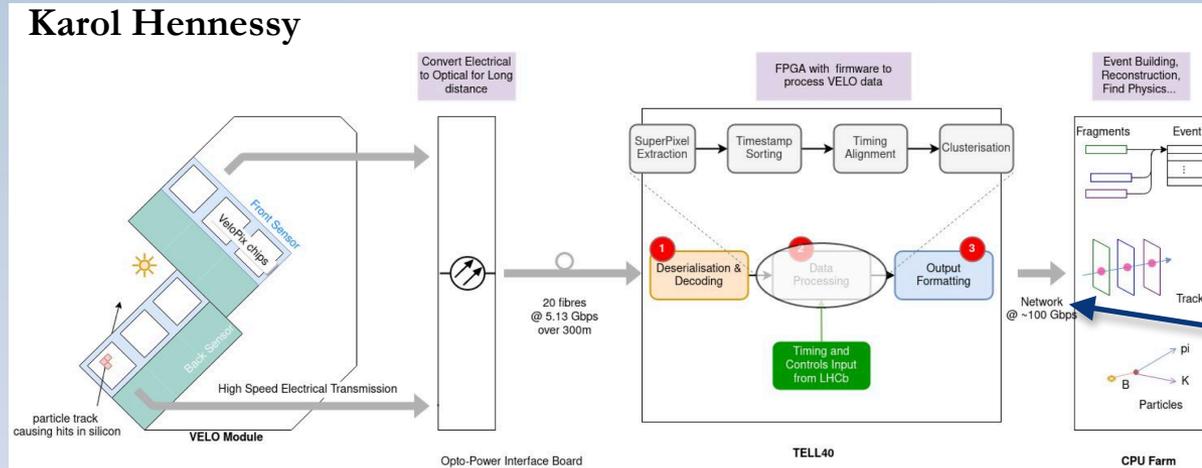
Sensors and ASICs

# Data Transmission and readout

Current VELO transmits up to 20 Gbps per ASIC and up to 3 Tbps from entire VELO , achieved via

- Fast electrical transmission in vacuum over low mass data tapes
- Vacuum feedthroughs capable of fast data transmission to UHV
- optical transmission over 350m to PCIe40 readout cards
- FPGA which can handle in real time processing of data including time ordering, clustering...

## Karol Hennessy



## Upgrade II needs

- Bandwidth increase of a factor  $> 10$  (data rate plus additional functionality e.g. timestamp)
- huge demands on next generation FPGAs and high speed links e.g. photonic integrated circuits
- Operation in vacuum poses significant challenges (optical feedthroughs, access...)
- distribution of tasks between ASIC/FPGA/GPU/CPU crucial and decisions to be taken on system level

# How to run at high lumi?

Vacuum

High Speed  
Data  
Transmission



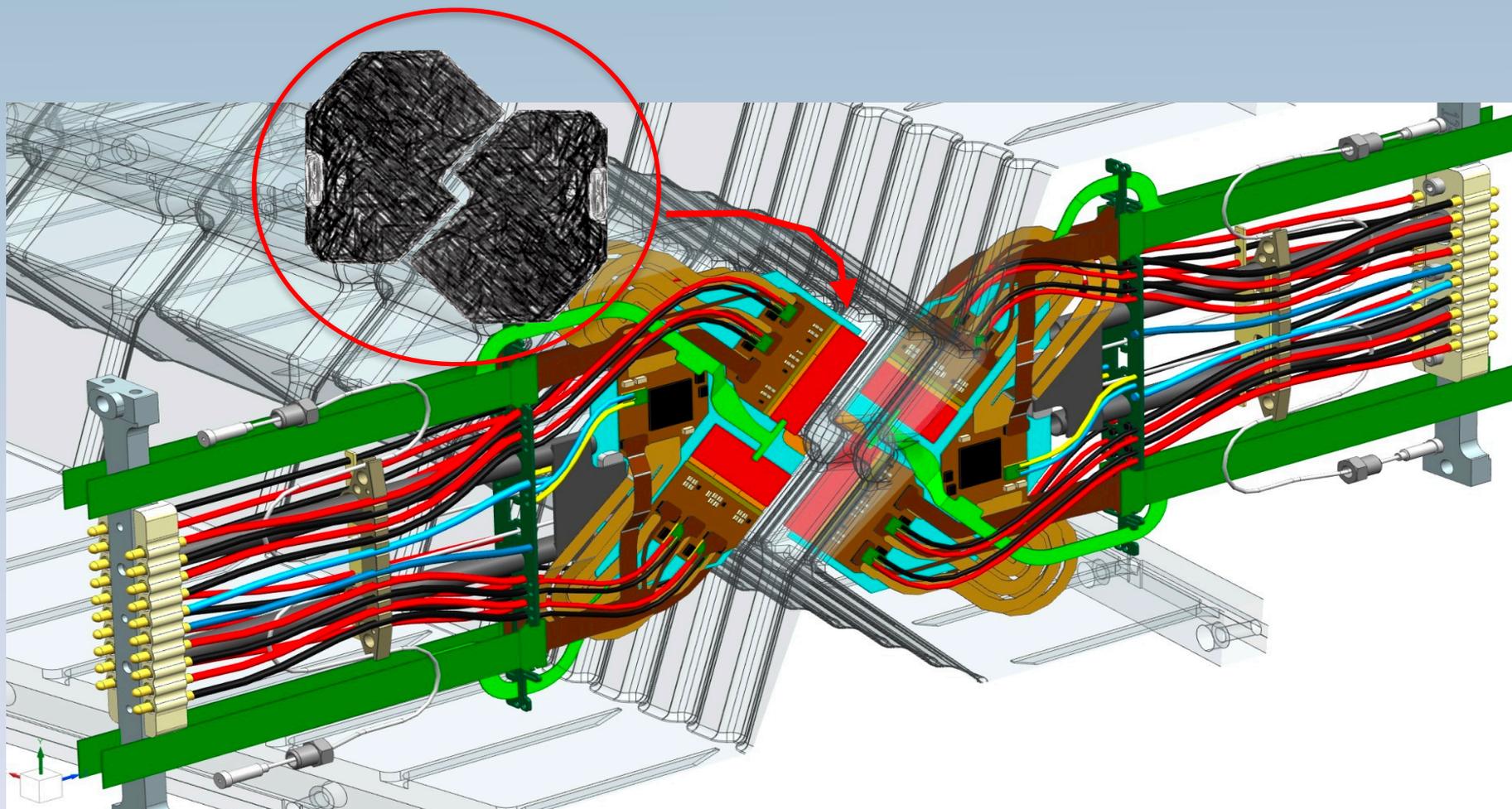
Electronics

Mechanics

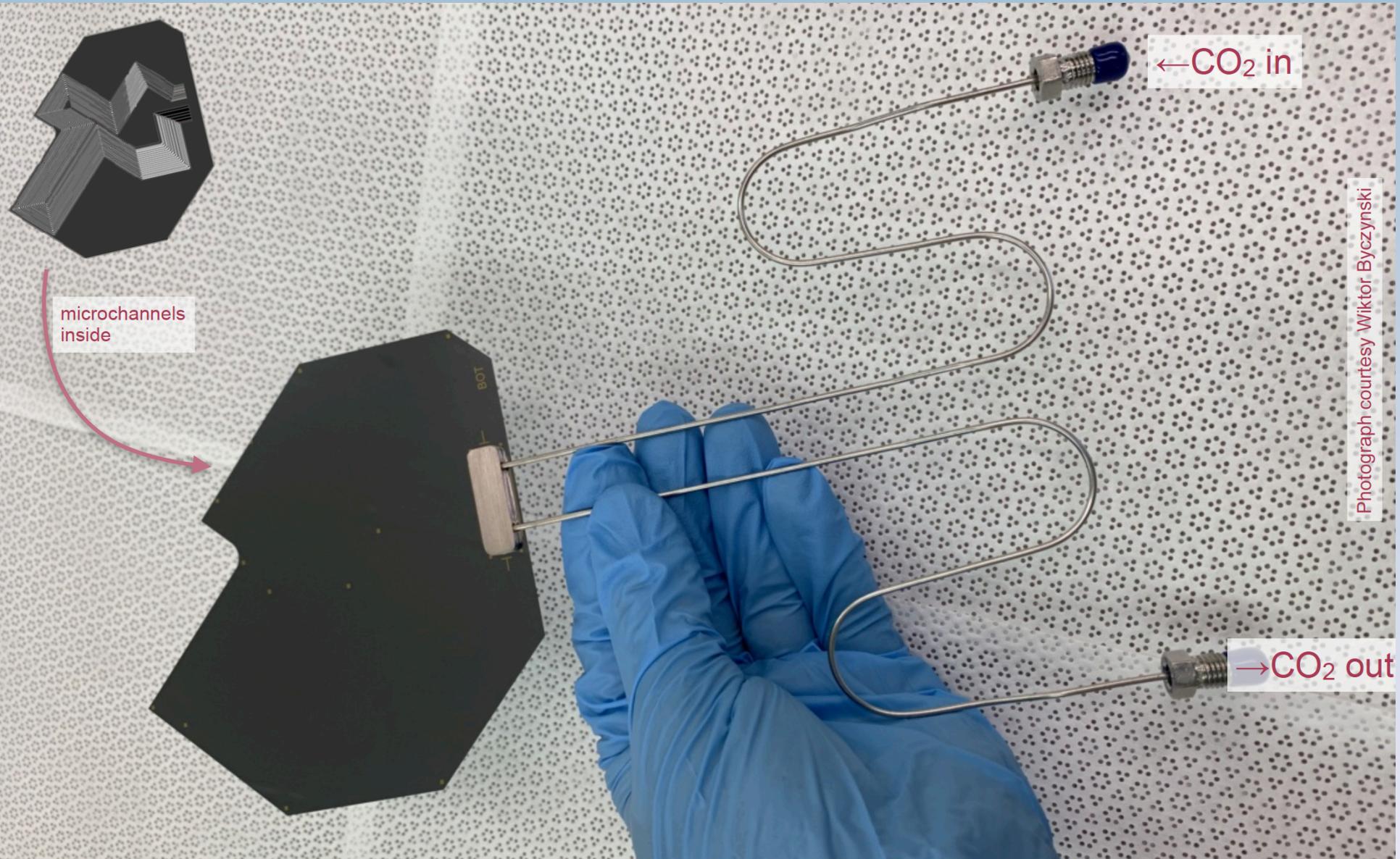
Cooling

Sensors and ASICs

# Cooling for U1 - microchannels



# Cooling for U1 - microchannels



# A Colder, more transparent, future?

## Choice of coolant

Evaporative CO<sub>2</sub> cooling is reaching its limit (triple point at -56°C)

A possible replacement could be N<sub>2</sub>O which has a similar behaviour and would extend to -164°C, however the heat transfer efficiency is gradually dropping

CF<sub>4</sub> could play a role but is disfavoured for environmental reasons

Krypton has very good HTE at lower temperatures - could be conceivably combined with CO<sub>2</sub> in a hybrid system, with similar pressure tolerance requirements (~100 bar), hence compatible for the module design

Super critical (warm) cooling, could be more interesting for linear collider applications where radiation damage is not a consideration. For instance CO<sub>2</sub> (31°C, 74 bar), or “super critical krypton” (-64°C, 54 bar), allowing thin walls and very good HTE\*

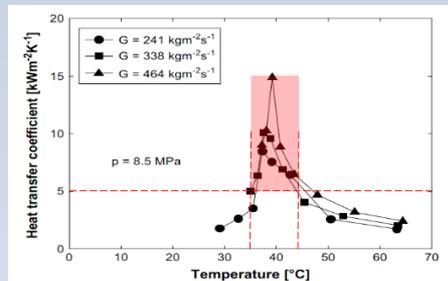
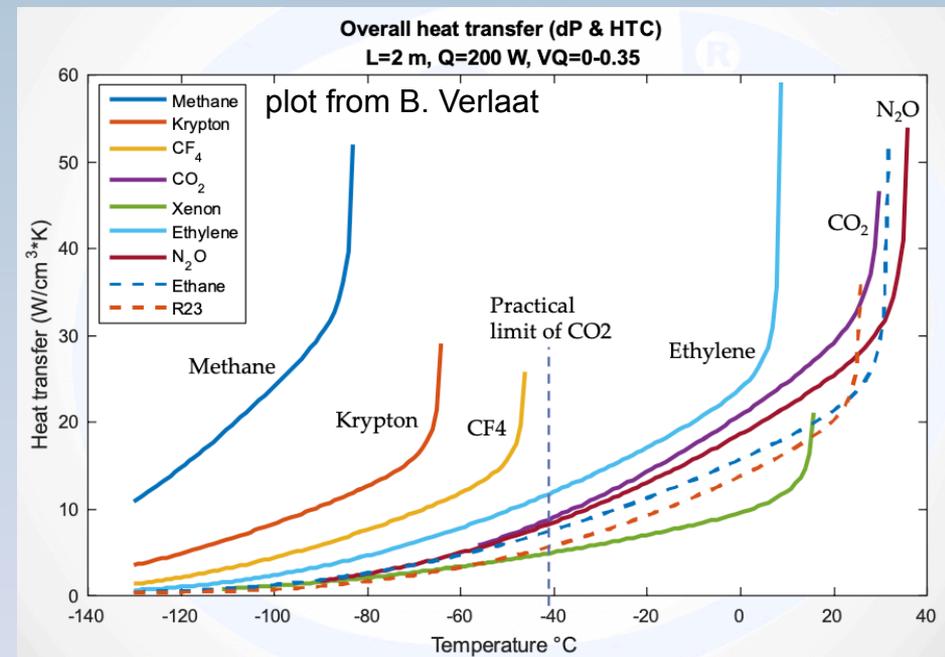


Fig. 3 – Heat transfer coefficient versus bulk temperature for different mass fluxes by Yoon et al. (2003).

Curves show best heat transfer, assuming cooling pipe diameter optimised individually for each point, for different coolants

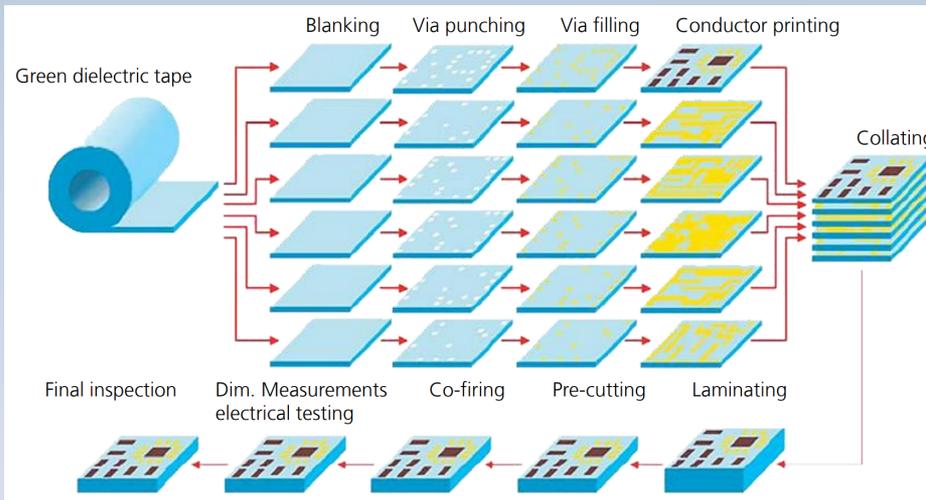


See [presentation](#) by Bart Verlaat, Paolo Petagna, “R&D for a colder future in HEP”, Forum on Tracking Detector Mechanics 2019, and [presentation](#) by Oscar Augusto, 5th Workshop on LHCb Upgrade II, March 2020, “Cooling, Detector Layout, Mechanics”

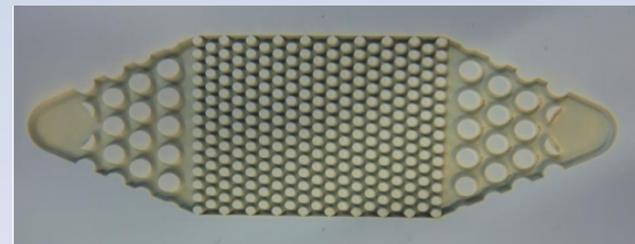
\*note that krypton is more challenging operationally due to need to apply transcritical cooling to avoid thermal shock

# Ceramic

- Different base materials: YSZ,  $\text{Al}_2\text{O}_3$ , AlN, SiC...
- Manufacturing based on several layers of a base material (YSZ,  $\text{Al}_2\text{O}_3$ , AlN, SiC)
  - Possible to embed conductive layers in between ceramics layers and metallize the surface
    - Potential to integrate electronics or high conductivity elements
  - Mechanically robust, stable, and compatible with high ultra vacuum



- First prototype based on alumina:
  - Initial channel with  $70\mu\text{m}$  width (restrictions)
  - Channels height  $100\mu\text{m}$
  - Overall dimensions:  $40 \times 60\text{mm}^2$



# Silicon Microchannels

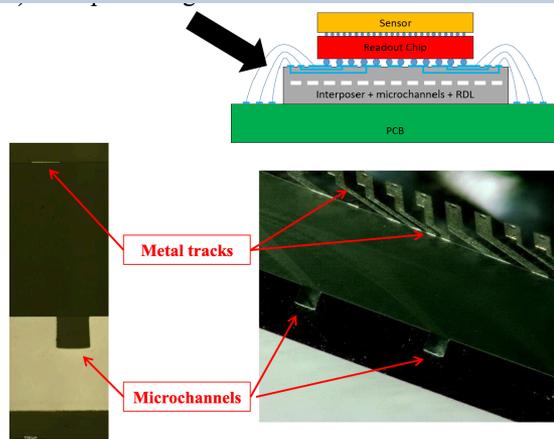
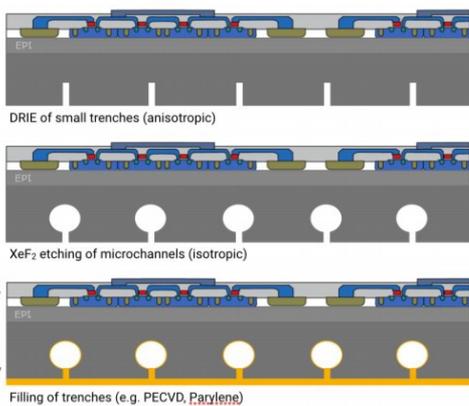
## Address production cost (yield related)

- Alternative Bonding (anodic bonding..)
- Avoid mask based photolithographic techniques
- Smaller cooling plates

- Handle wafer bonded to active silicon (IFIC/MPI-HLL)
- Buried microchannels (CERN/EPFL)

[AIDA-2020-NOTE-2020-003](https://indico.cern.ch/event/1324261)

<https://indico.cern.ch/event/1324261>

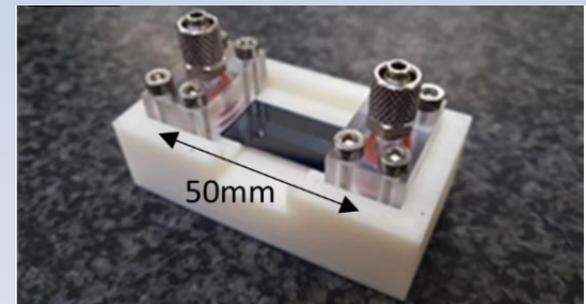
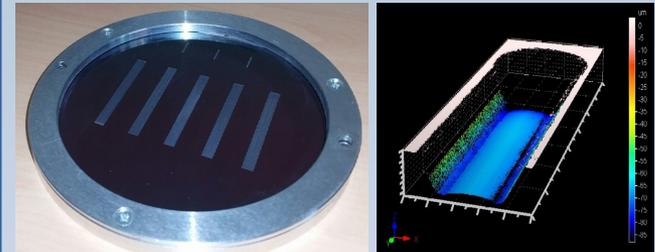


CMOS compatible process  
 potential post processing step  
 Holds 110 bars, leak tight to  
 10<sup>-8</sup> mbar l/s

Most ambitious approach: bring the  
 cooling to the tiles  
 here silicon interposer + RDL

## R&D @ CPPM

- Laser etching and anodic bonding
- 5 x 10 channels per wafer
- 200µm x 70µm x 4.5cm per channel
- Next step: connector with anodic bonding



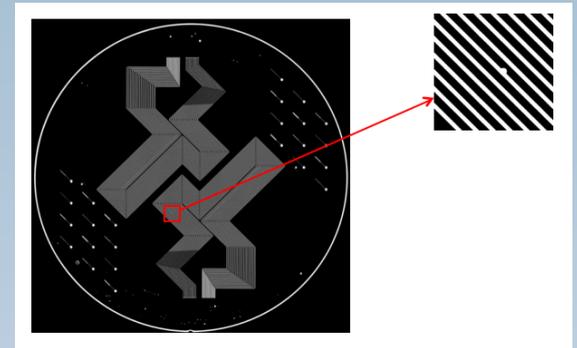
Alexandros Mouskeftaras, Stephan Beurthey, Julien Cogan, Gregory Hallewell, Olivier Leroy, et al. Short-Pulse Laser-Assisted Fabrication of a Si-SiO<sub>2</sub> Microcooling Device. Micromachines, MDPI, 2021, 12 (9), pp.1054. 10.3390/mi12091054. hal-03356892

# Silicon Microchannels

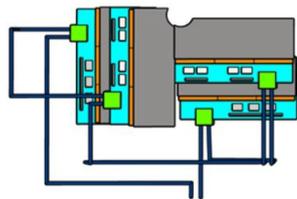
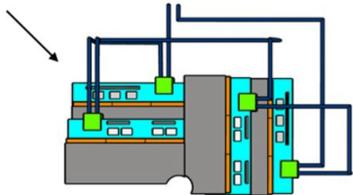
Address production cost (yield related)

- Alternative Bonding (anodic bonding, no bonding)
- Smaller cooling plates

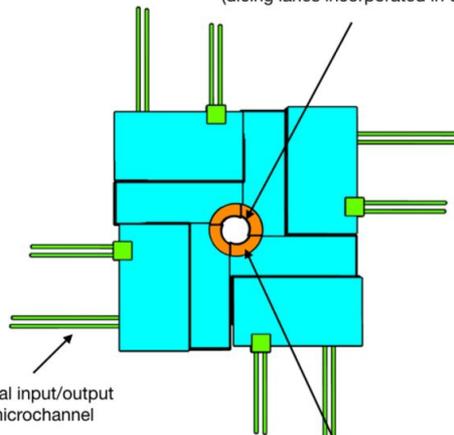
For LHCb the requirements are extremely stringent due to the high pressure and operation in the LHC vacuum. Just one tiny bonding fault on a wafer can result in the loss of an entire cooling plate.



Cooling flowing serially between micro channels



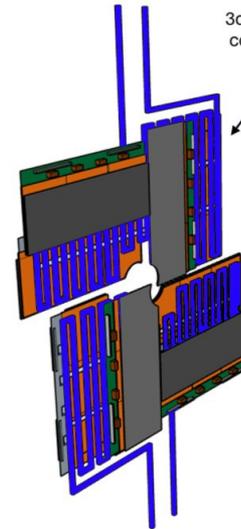
Bespoke dicing on ASIC  
(dicing lanes incorporated in design)



Individual input/output  
Per microchannel

Overhanging sensor

3d printed titanium  
cooling backbone



A choice of smaller plates can give more flexibility for production and mounting, with 3d printed solutions giving more options

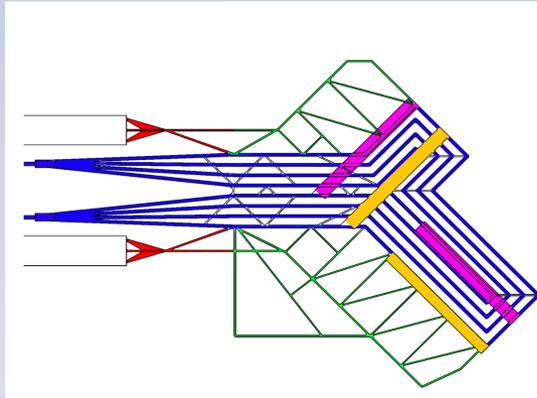
# Additive manufacture

## Cooling plate manufacturing

- Silicon microchannels vs 3D-printing
- Cooling performance, CTE mismatch, tile attachment, reproducibility
- Materials: Titanium, Ceramics, ...

	Si	Al	Ti	SiC
Thermal cond. (W/m.K)	149	237	6.8	120
Thermal Exp. (ppm/K)	2.6	23.1	8.9	2.8
Density (g/cm <sup>3</sup> )	2.3	2.7	4.4	3.2

- 4 cooling channels, with printed restrictions on inlet
- 'light' supports for hybrids
- 300 μm support under bond pads (C-side, N-side)



### 3D printed substrates: Ceramic additive manufacturing process investigation

Samp les	Qt y	3D printed technology	Material	Orientati on
1	6	Xjet's NanoParticle Jetting™ (NPJ)	Zirconia (ZrO)	Flat
2	3	Litho's Lithography-based Ceramic Manufacturing (LCM)	Alumina (Al2O3)	Flat
3	3	Litho's Lithography-based Ceramic Manufacturing (LCM)	Alumina (Al2O3)	Short edge side
4	3	Litho's Lithography-based Ceramic Manufacturing (LCM)	Alumina & photos. resin	Short edge side

Printable ceramic (Al2O3, Zr, SiC, AlN)

Substrate planarity, dimensional features (3D scan)  
Wide-Area 3D measurement  
Keyence VR5200  
Sample 1  
Height magnification 1200%  
Sa (arithmetical mean height) = 6 μm  
Height measurement accuracy of ± 3 μm and a repeatability of 0.4 μm

Substrate defects and channel obstructions (x-ray tomography)  
Zeiss METROTOM 1500  
Tomography resolution 7 μm

Channel roughness measurements  
Sample 2 : LCM, Alumina, D=0.5mm  
R<sub>a</sub>=11.6 μm

On-going

Next Hermeticity (He leak test)

@ CERN EN MME-MA

Massimo Angeletti (CERN)

3d titanium printing successful prototyping for LHCb

Lots of activity in AIDAInnova  
WP10 2021 status report,  
Marcel Vos and Paolo Petagna

# How to run at high lumi?

Vacuum

High Speed  
Data  
Transmission



Electronics

Mechanics

Cooling

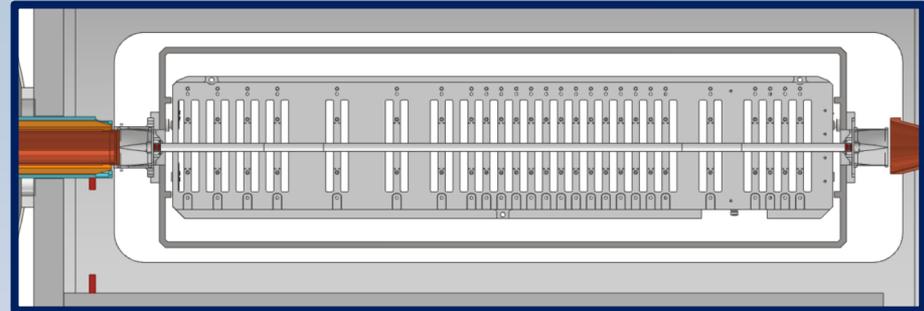
Sensors and ASICs

# Ultra low mass foil

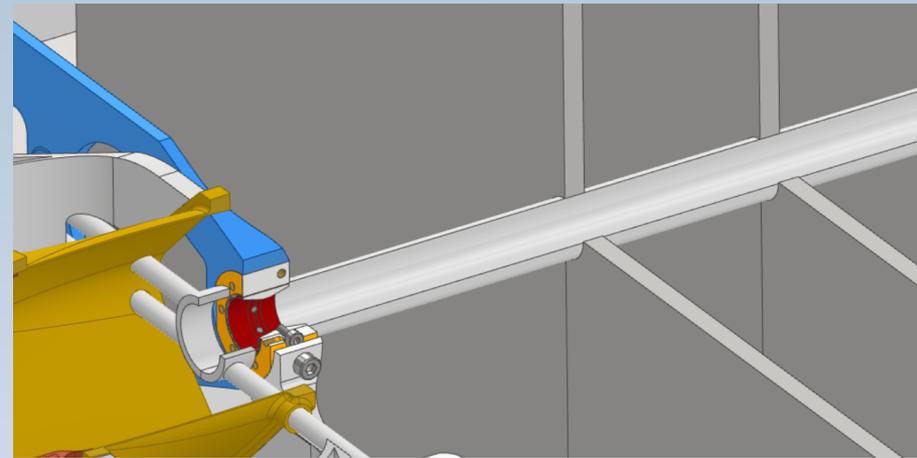
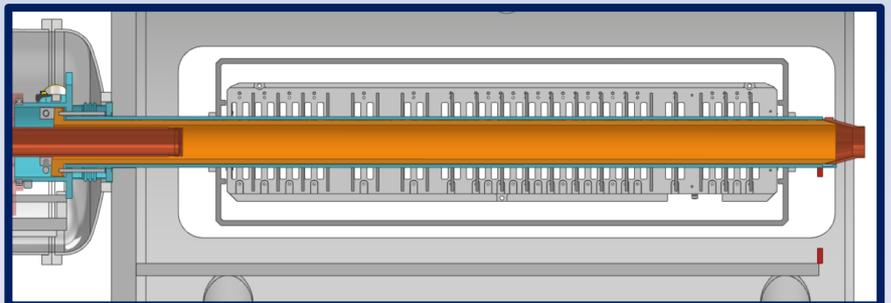
Key piece of R&D which can unlock the way to easing the demands of scenario A

- “Mixed vacuum approach” - no worries about  $\Delta P$  between detector and LHC vacuum - ultra thin geometries are accessible

Operation



Maintenance

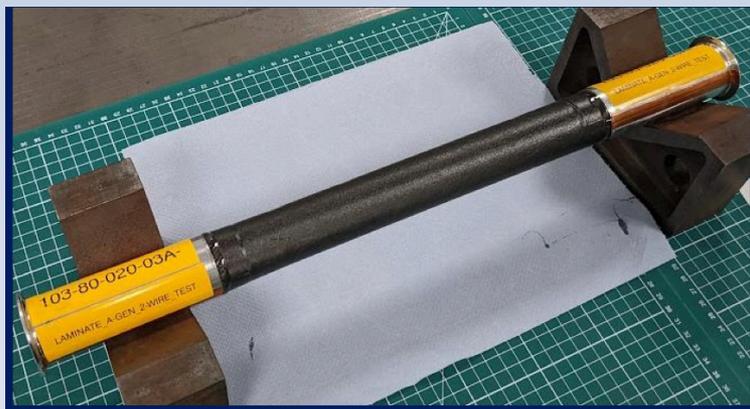
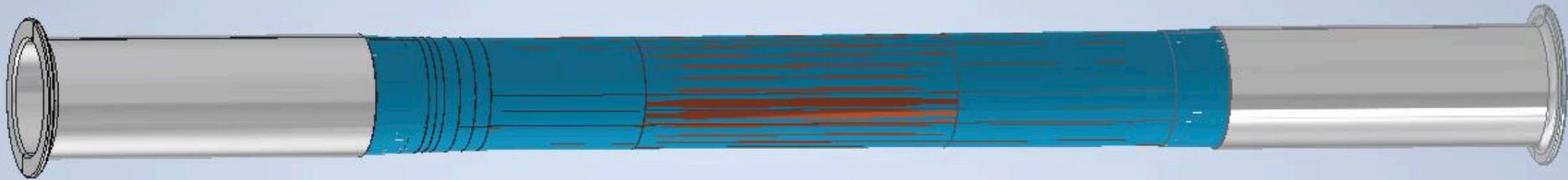


Foil held under tension across full length of VELO, split into two halves to allow open/closed positioning. Alternatives: wires, CNT fibre mesh...

# Ultra low mass foil

Key piece of R&D which can unlock the way to easing the demands of scenario A

- “Composite box approach” -Ultra low Z material potential, new shield with very low and consistent thickness, no need for primary vacuum access. Key challenges include maintaining a thin laminate while successfully coating.



30 um skin depth copper “beam facing”  
(ultimately, may be “split skin”  
120/60/30 um critical section

Tests ongoing with stretched wire characterisation  
and vacuum compatibility checks

Mixed volume solution may also be tried

# Conclusions

LHCb Upgrade I: Datataking NOW!

VELO is fully installed and operational

LHCb Upgrade II: unique opportunity for an ultimate precision flavour physics and general purpose experiment in the forward region.

EOI, Physics Case and FTDR approved by LHCC/RB  
Strong support received in European strategy

Very challenging project  $\Rightarrow$  lots of R&D ongoing on all sub-systems  
New technologies needed, now is a great time to join the collaboration



# Useful References

Cooling options for LHCb Upgrade II, Oscar Francisco

<https://indico.cern.ch/event/1318635/contributions/5551953/>

Microchannel cooling development for LHCb VELO Upgrade I

<https://doi.org/10.1016/j.nima.2022.166874>

Readout firmware for LHCb VELO Upgrade I

<https://cds.cern.ch/record/2789034>

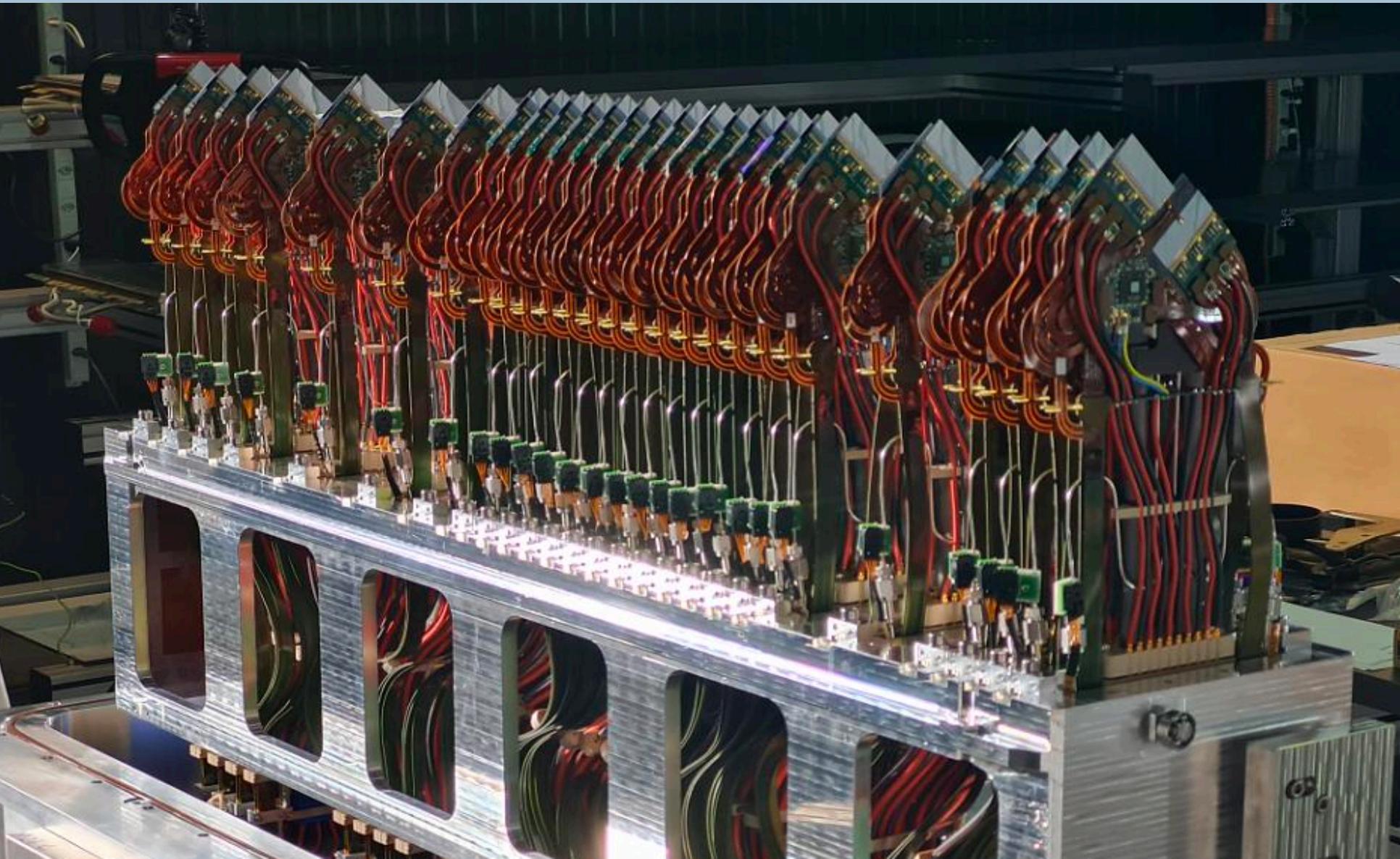
LHCb - Readout system for Phase I of the VELO Upgrade

<https://cds.cern.ch/record/2710548?ln=en>

LHCb - Framework TDR for the LHCb Upgrade II

[\[CERN-LHCC-2021-012\]](#)

# Contents



# Cooling for U1 - microchannels

## Channels integrated in silicon substrate under hybrid pixel tiles

Material budget: 500  $\mu\text{m}$  Si + coolant

Very homogenous material distribution

Cooling delivered directly under heat sources

Thermal contact over flat area

No CTE mismatch wrt ASICs and sensor

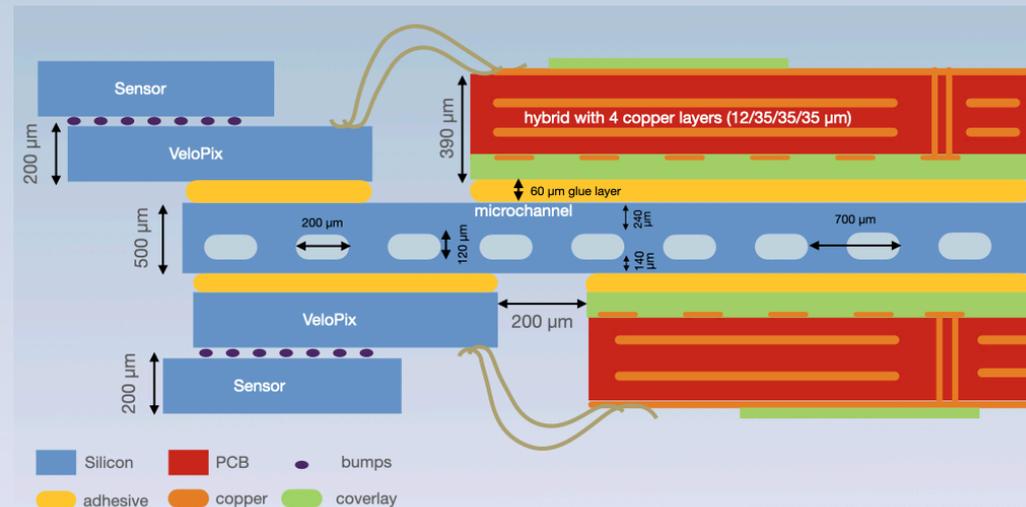
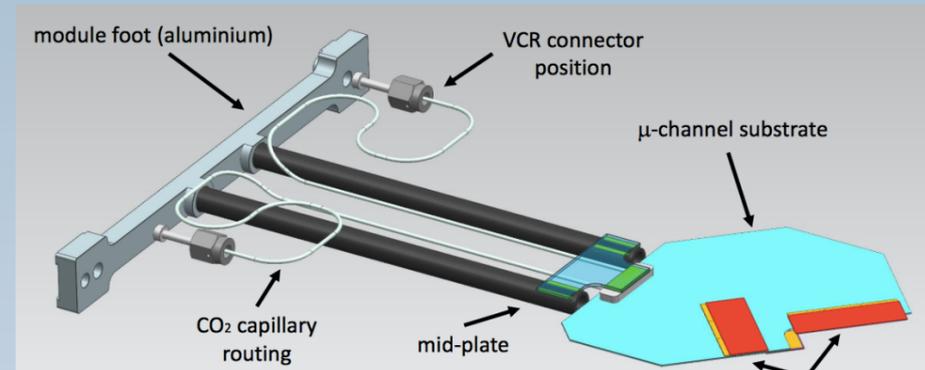
Very efficient cooling performance

- 60  $\mu\text{m}$  glue interface only
- 120/240  $\mu\text{m}$  Si between coolant and electronics
- Very high thermal conductivity (Si 150 W/m.K)
- Very low temperature gradients over substrate

Evaporative cooling  $\rightarrow$  fast response to changes in power dissipation

Cooling is so effective that the microchannel can be withdrawn 5 mm from module tip

Challenges: Production (large size of device), Mastery of full silicon process (DRIE, Direct Wafer Bonding), Fluidic connector attachment, QA for proven long term mechanical stability





*Thank You*

# Contents

Grade 2 printed Ti: a lot of experience in industry (medical, dental)

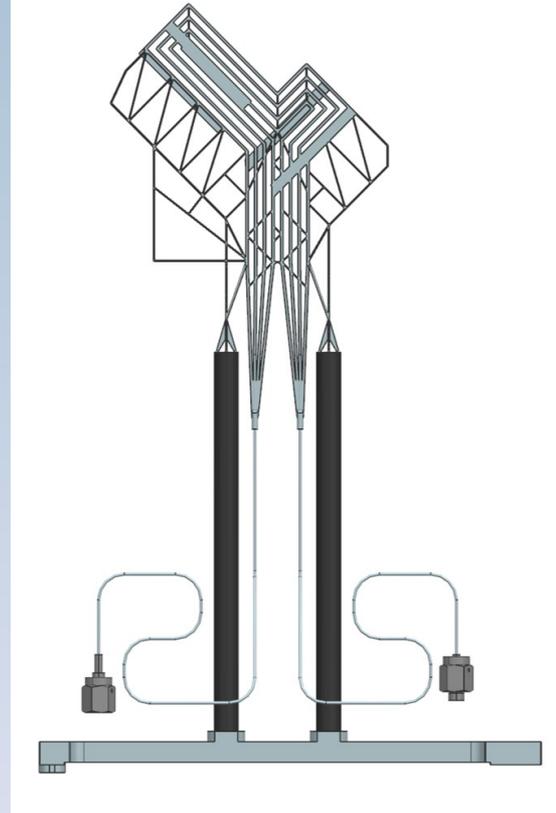
## Advantages

- strong, easy to handle, will not break
- easier to connect CO<sub>2</sub> pipes (welding, brazing)
- Restrictions integrated into inlet
- Fast turnaround for design changes (order of weeks)
- Fast production 25/batch, 1 batch/few days
- cheap (<500 Euro / module, including welding capillaries)

## Challenges

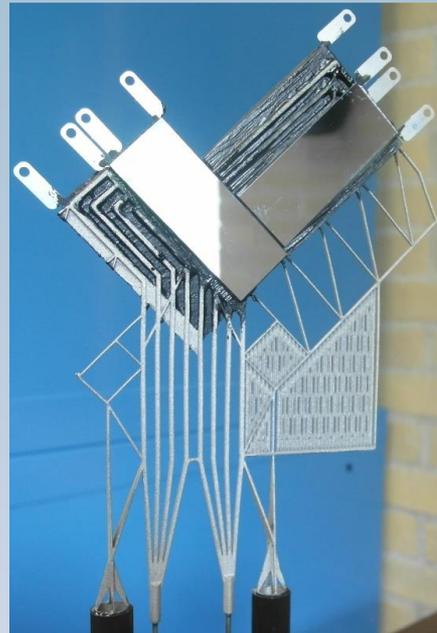
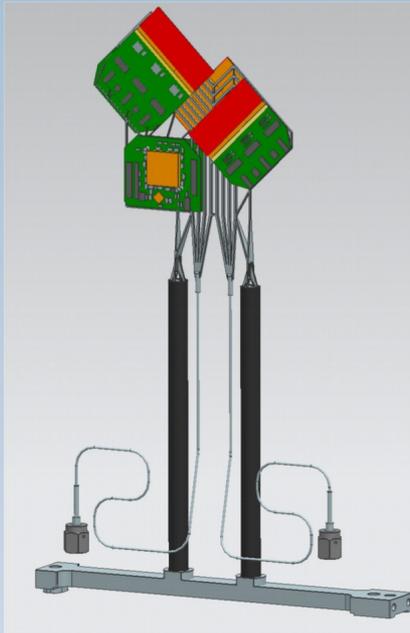
- CTE match with silicon is worse (8.6 vs 2.6 ppm/K)
- smaller thermal conductivity (16 vs 150 W/mK)
- smaller radiation length (3.6 vs 9.4 cm)
- irregularities in printing; less flat surfaces?

3d printed cooling design

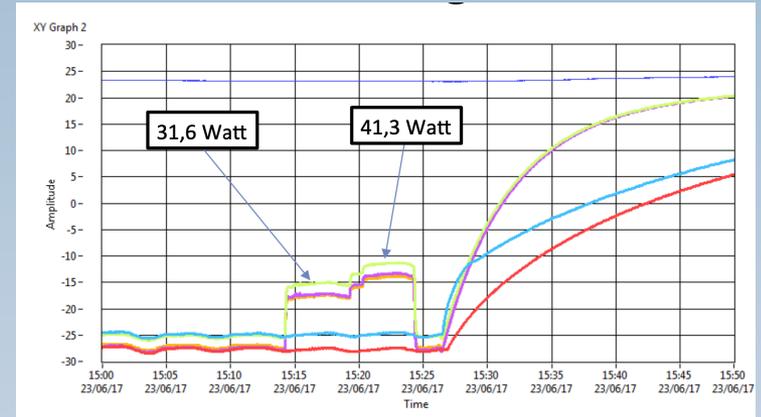


See [presentation](#) by Freek Sanders, “Design and Production challenges for the LHCb VELO Upgrade Modules”, CERN Detector Seminar, February 2019

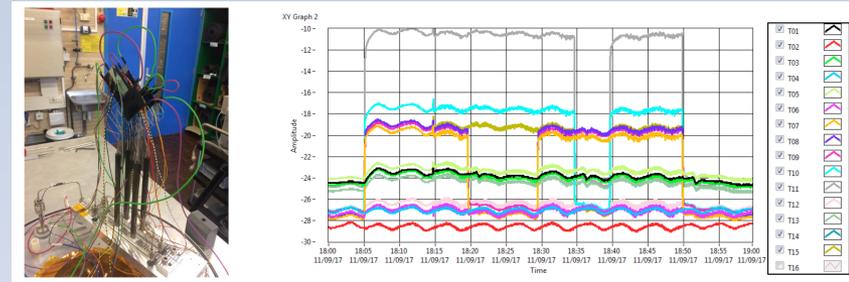
# Contents



- prototype fitted with heaters
- high pressure test to 250 bar
- Leak tight with 250  $\mu\text{m}$  wall



successful cooling test ( $\Delta T \sim 13^\circ\text{C}$ )

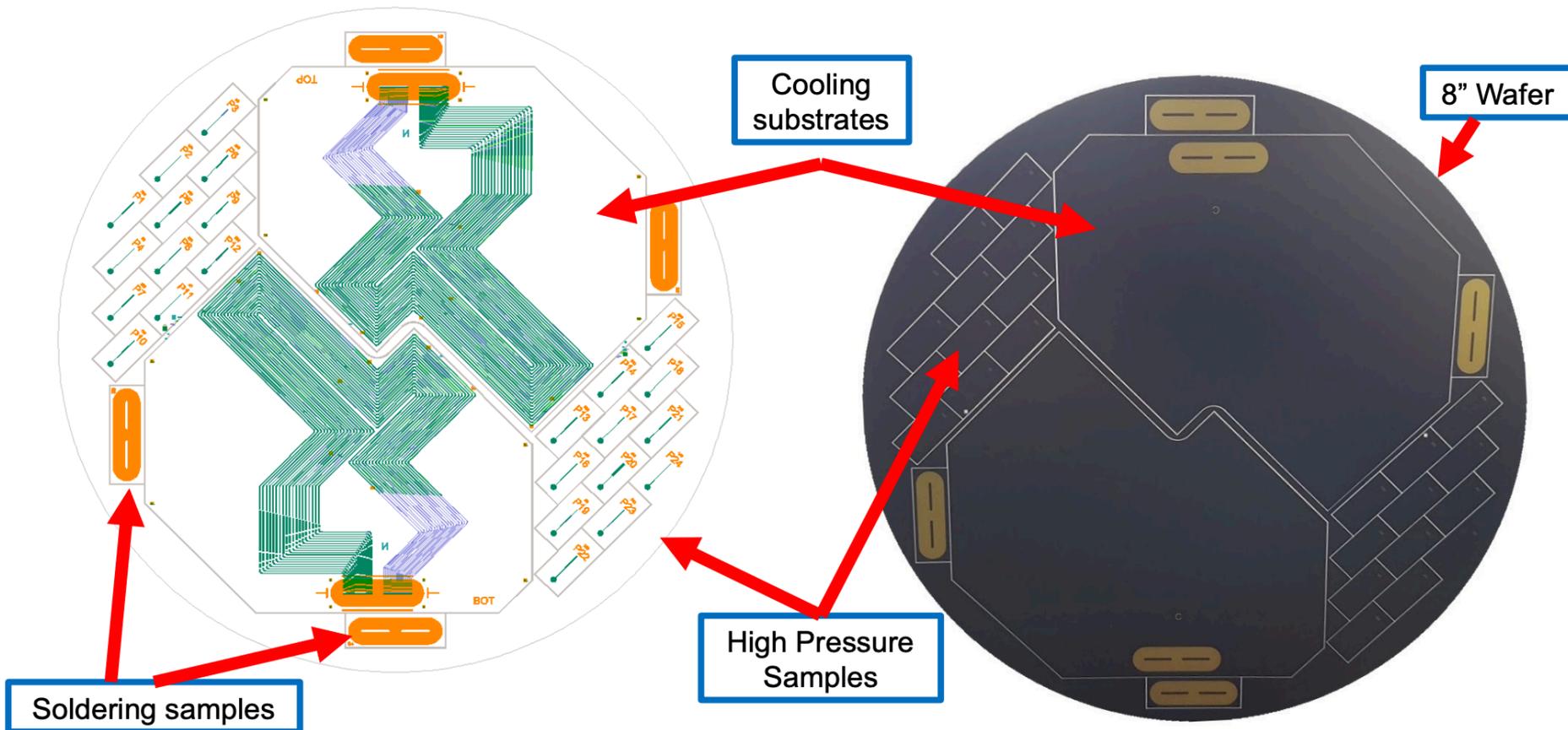


successful flow and stability test ( $\Delta T \sim 13^\circ\text{C}$ )

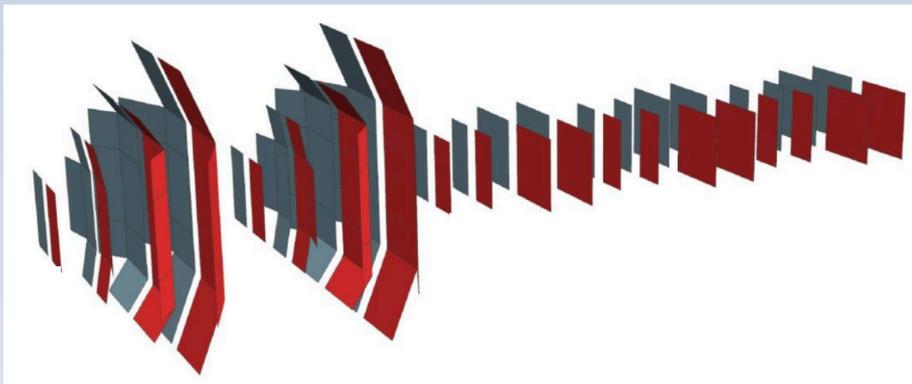
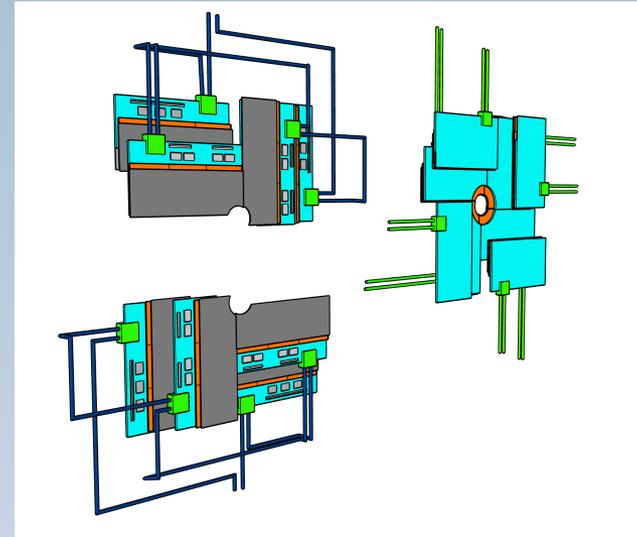
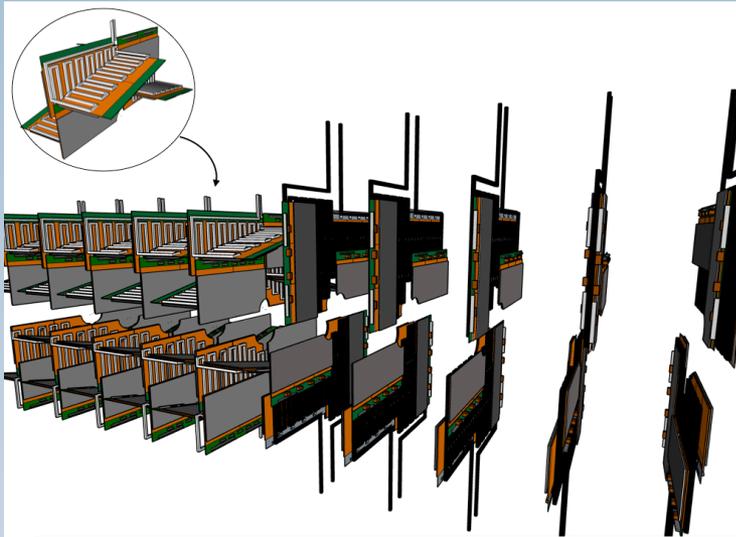
*A bit of history*

R&D 3d printed substrates made extremely rapid progress and were a credible backup alternative for LHCb. At the time of development the microchannels were sufficiently mature to be chosen as the implementation for Run3

# Cooling for U1 - microchannels



# Contents



3d printed technology already in active development for UII  
May give the flexibility required for a cooling “skeleton”  
Many issues of connectivity to be solved