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The HALHF concept:

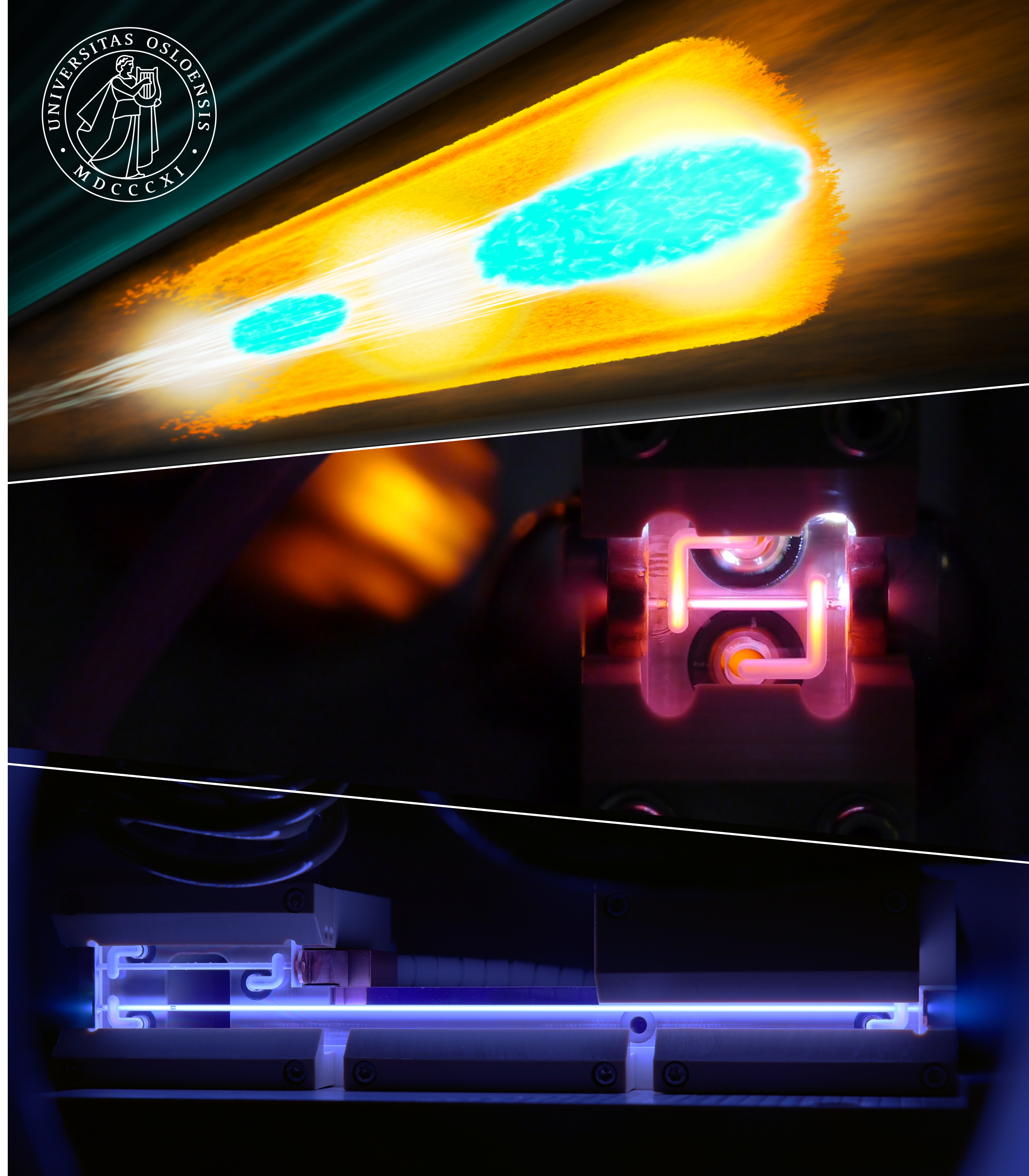
A Hybrid, Asymmetric, Linear Higgs Factory

Combining the strengths of RF
and plasma-based accelerators

Dr. Carl A. Lindstrøm

Department of Physics, University of Oslo

12 July 2023 | Community Report on Accelerators Roadmap | Frascati, Italy



Toward a credible plasma-based e⁺e⁻ collider

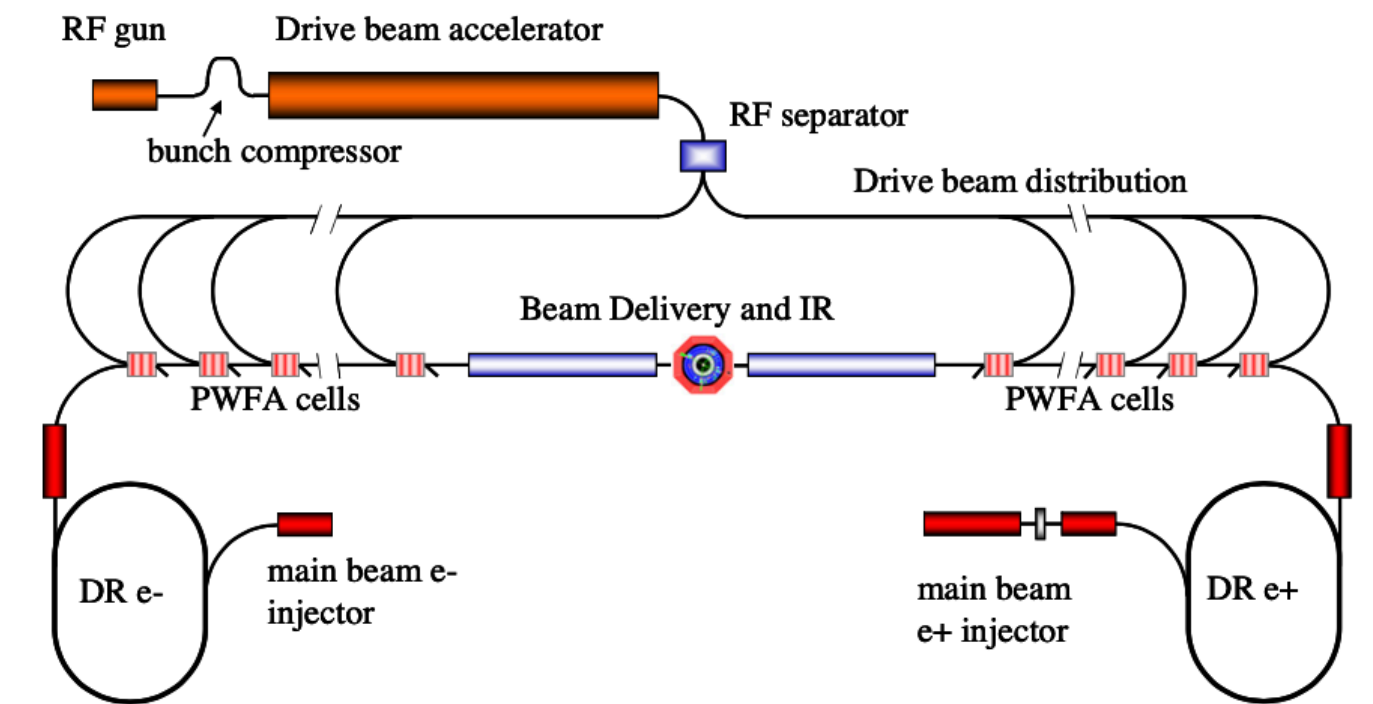
> Several proposals over the past decades:

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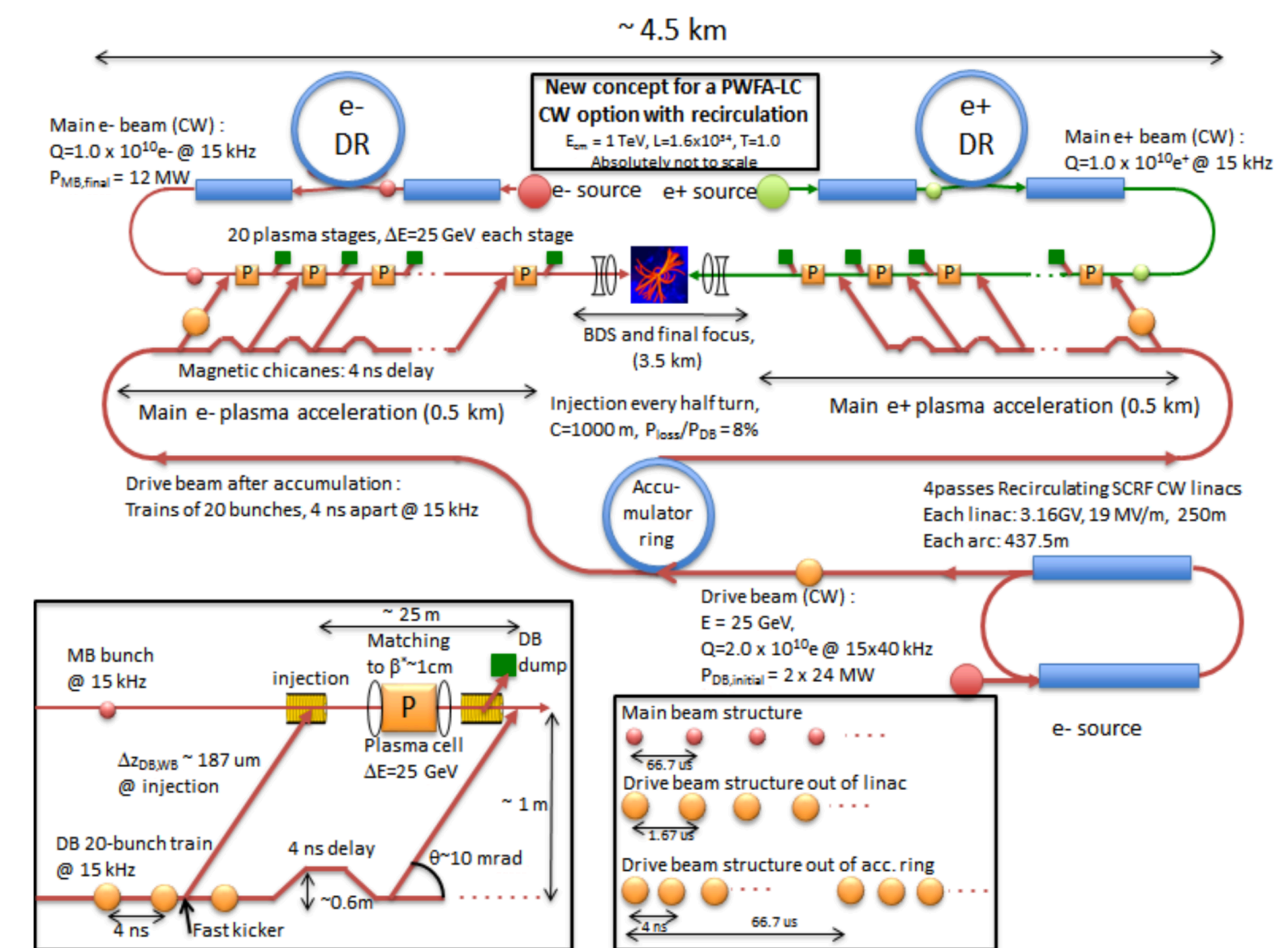
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Source: Pei et al., Proc. PAC (2009)



Source: Adli et al., Proc. Snowmass (2013)

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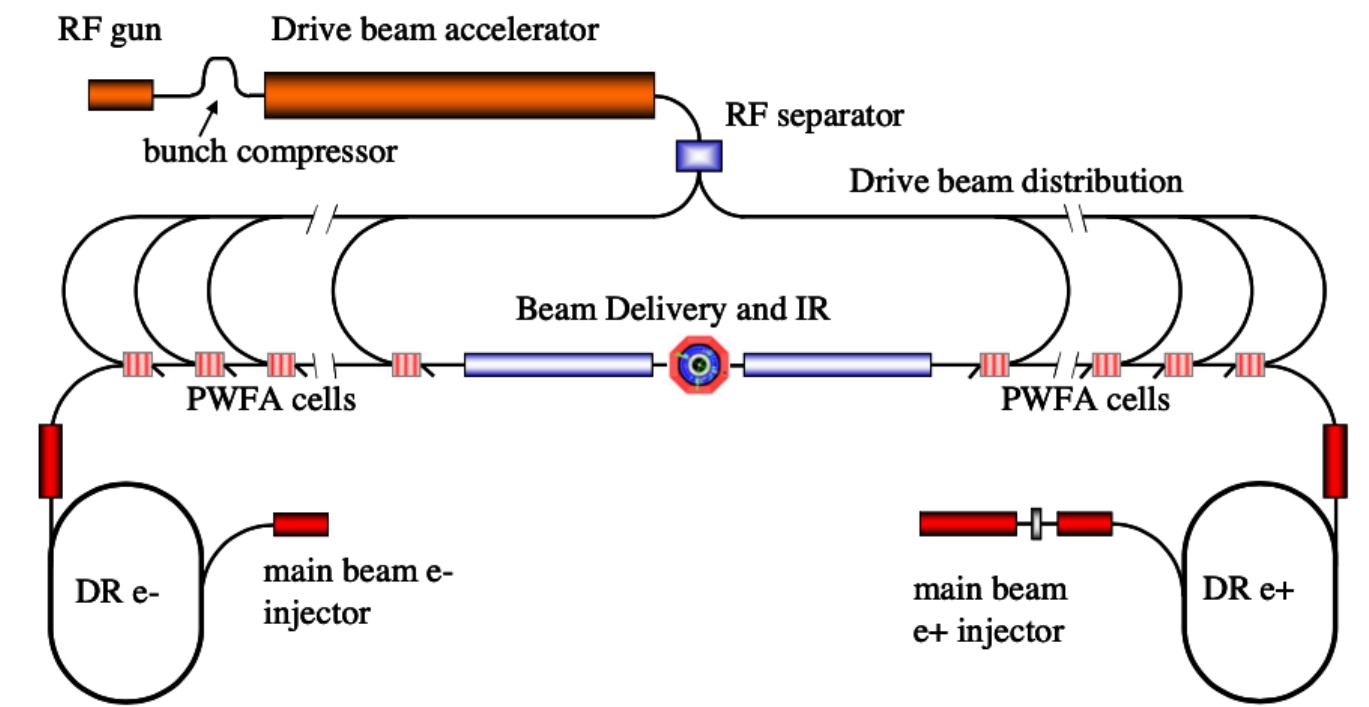
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> **Very useful exercises to focus the R&D**

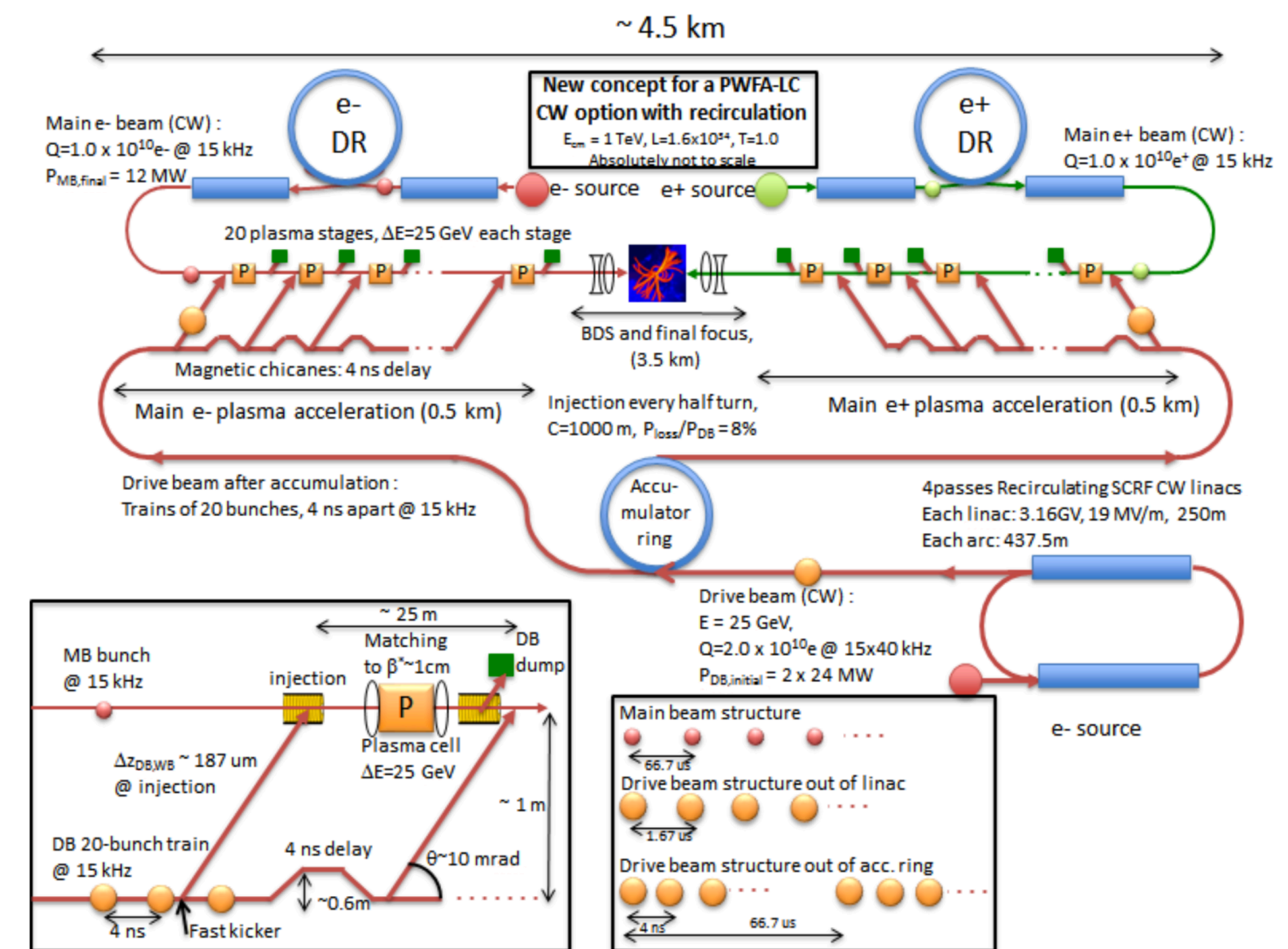
> Some key topics have been identified:

> *Positron acceleration*

> *Energy efficiency*



Source: Pei et al., Proc. PAC (2009)



Source: Adli et al., Proc. Snowmass (2013)

The HALHF strategy: **Design based on current constraints**

- > Design decision #1: **only accelerate electrons in plasma (and positrons using RF)**
 - > Plasmas are charge asymmetric → e^- acceleration does not imply e^+ acceleration.
 - > e^+ acceleration schemes exist, but are not currently both efficient and quality-preserving.

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- > Design decision #2: **use electron bunches to drive the plasma wakefields**
 - > CLIC demonstrates that electrons can be produced efficiently.
 - > PWFA experiments have shown high energy-transfer efficiency.
- > **The basis of these decisions could change in the near future (with continued R&D):**
 - > Promising ideas for positron acceleration (Diederichs *et al.* +++)
 - > Promising developments toward high-efficiency lasers: fibre-lasers, BAT, etc.

Can we use **asymmetric e⁺/e⁻ energies?**

> Minimum centre-of-mass energy required for Higgs factory: **$\sqrt{s} \approx 250 \text{ GeV}$**

> Electron (E_e) and positron energies (E_p) must follow:

$$E_e E_p = s/4$$

> However, the collision products are boosted (γ):

$$\gamma = \frac{1}{2} \left(\frac{2E_p}{\sqrt{s}} + \frac{\sqrt{s}}{2E_p} \right)$$

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> A reasonable choice is:

> Electrons (from PWFA): **$E_e = 500 \text{ GeV}$** (4 times higher)

> Positrons (from RF accelerator): **$E_p = 31 \text{ GeV}$** (4 times lower)

> Boost: **$\gamma = 2.13$**

(HERA had a boost of $\gamma \approx 3$)

Simulating asymmetric e⁺/e⁻ collisions

> GUINEA-PIG beam-beam simulations:

> **Asymmetric energies give similar luminosity**

> However, **more power is required** (to boost the collision products)

E (GeV)	σ_z (μm)	N (10^{10})	ϵ_{nx} (μm)	ϵ_{ny} (nm)	β_x (mm)	β_y (mm)	\mathcal{L} (μb^{-1})	$\mathcal{L}_{0.01}$ (μb^{-1})	P/P_0
125 / 125	300 / 300	2 / 2	10 / 10	35 / 35	13 / 13	0.41 / 0.41	1.12	0.92	1
31.3 / 500	300 / 300	2 / 2	10 / 10	35 / 35	3.3 / 52	0.10 / 1.6	0.93	0.71	2.13
31.3 / 500	75 / 75	2 / 2	10 / 10	35 / 35	3.3 / 52	0.10 / 1.6	1.04	0.71	2.13

(Use shorter bunches to match for smaller IP beta functions)

Mitigating the power efficiency problem: **asymmetric charge**

> The luminosity scales as: $\mathcal{L} \sim N_p N_e$

> Can we use more (low-energy) positrons and less (high-energy) electrons? **Yes**

> Power usage increase:
$$\frac{P}{P_0} = \frac{N_e E_e + N_p E_p}{N \sqrt{s}}$$

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> **Unchanged power usage if $N_e/N_p = E_p/E_e$** (in our case: 4x more e^+ , 4x less e^-)

> However, producing positrons is problematic—instead go for **2 times more e^+**

E (GeV)	σ_z (μm)	N (10^{10})	ϵ_{nx} (μm)	ϵ_{ny} (nm)	β_x (mm)	β_y (mm)	\mathcal{L} (μb^{-1})	$\mathcal{L}_{0.01}$ (μb^{-1})	P/P_0
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31.3 / 500	75 / 75	4 / 1	10 / 10	35 / 35	3.3 / 52	0.10 / 1.6	1.04	0.60	1.25

Going *all-in*: Asymmetric emittances ease beam-quality needs

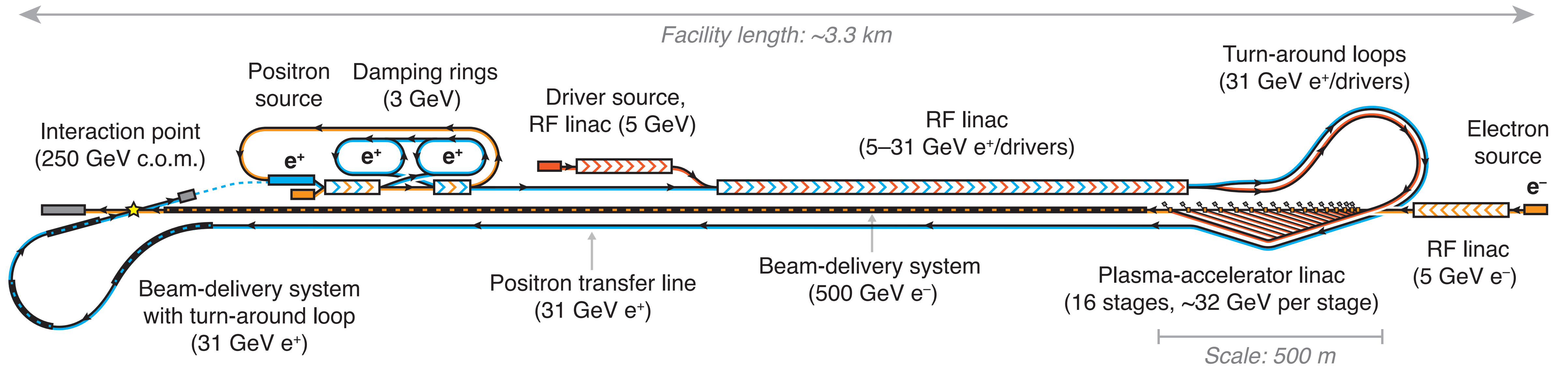
- > Geometric emittance scales inversely with energy.
- > To achieve same beam size at IP:
 - > **Positrons (lower energy) must have smaller IP beta function:**
use 3.3/0.1 mm (similar to CLIC)

Going *all-in*: Asymmetric emittances ease beam-quality needs

- > Geometric emittance scales inversely with energy.
- > To achieve same beam size at IP:
 - > **Positrons (lower energy) must have smaller IP beta function:**
use 3.3/0.1 mm (similar to CLIC)
 - > However, electrons can have a larger IP beta function
 - > **More interestingly, we can increase the e⁻ (normalised) emittance.**
 - > Significantly reduces emittance requirements from PWFAs!

E (GeV)	σ_z (μm)	N (10^{10})	ϵ_{nx} (μm)	ϵ_{ny} (nm)	β_x (mm)	β_y (mm)	\mathcal{L} (μb^{-1})	$\mathcal{L}_{0.01}$ (μb^{-1})	P/P_0
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31.3 / 500	75 / 75	4 / 1	10 / 40	35 / 140	3.3 / 13	0.10 / 0.41	1.01	0.58	1.25
31.3 / 500	75 / 75	4 / 1	10 / 80	35 / 280	3.3 / 6.5	0.10 / 0.20	0.94	0.54	1.25
31.3 / 500	75 / 75	4 / 1	10 / 160	35 / 560	3.3 / 3.3	0.10 / 0.10	0.81	0.46	1.25

Schematic layout of HALHF



Source: Foster, D'Arcy & Lindstrøm, preprint at arXiv:2303.10150 (2023)

> Overall length: ~3.3 km ⇒ **fits in ~any major particle-physics lab**

> Length dominated by e⁻ beam-delivery system

Rough cost estimates for HALHF

- > Scaled from existing collider projects (ILC/CLIC) where possible—not exact.
 - > European accounting (2022 \$): **~\$1.9B** (**~1/4 of ILC TDR cost @ 250 GeV**)
 - > US accounting (“total project cost”): **\$2.3–3.9B**

Subsystem	Original cost (MILCU)	Comment	Scaling factor	HALHF cost (MILCU)	Fraction
Particle sources, damping rings	430	CLIC cost [76], halved for e^+ damping rings only ^a	0.5	215	14%
RF linac with klystrons	548	CLIC cost, as RF power is similar	1	548	35%
PWFA linac	477	ILC cost [46], scaled by length and multiplied by 6 ^b	0.1	48	3%
Transfer lines	477	ILC cost, scaled to the ~4.6 km required ^c	0.15	72	5%
Electron BDS	91	ILC cost, also at 500 GeV	1	91	6%
Positron BDS	91	ILC cost, scaled by length ^d	0.25	23	1%
Beam dumps	67	ILC cost (similar beam power) + drive-beam dumps ^e	1	80	5%
Civil engineering	2,055	ILC cost, scaled to the ~10 km of tunnel required	0.21	476	31%
			Total	1,553	100%

- > Dominated by conventional collider costs (97%) — **PWFA linac only ~3% of the cost**

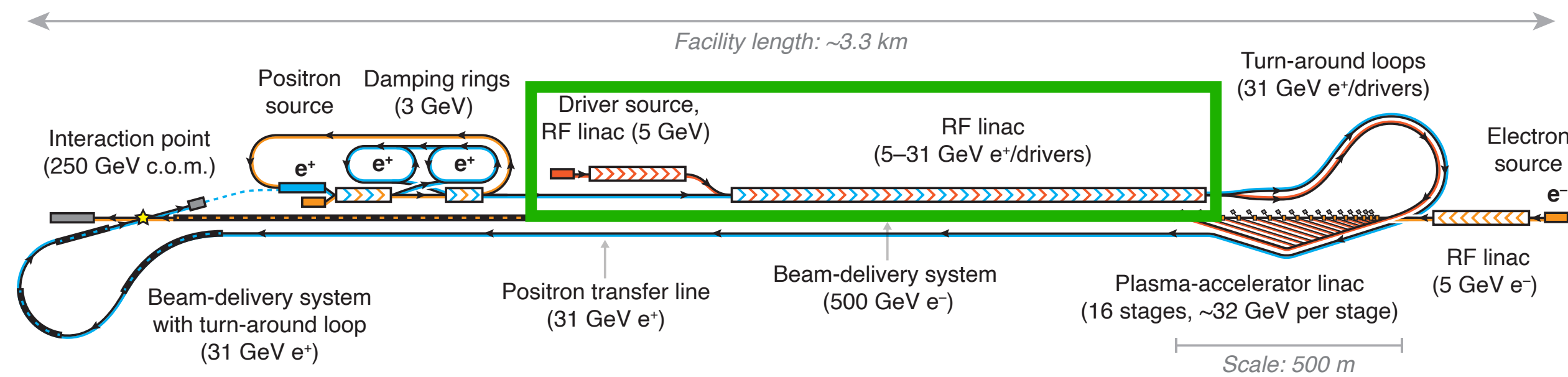
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- > Dominated by conventional collider costs (97%) — **PWFA linac only ~3% of the cost**
- > Estimated **power usage is ~100 MW** (similar to same-energy ILC and CLIC):
 - > *21 MW beam power + 27 MW power loss + 2 x 10 MW damping rings + 50% facility overhead*

The foundation: A main RF linac

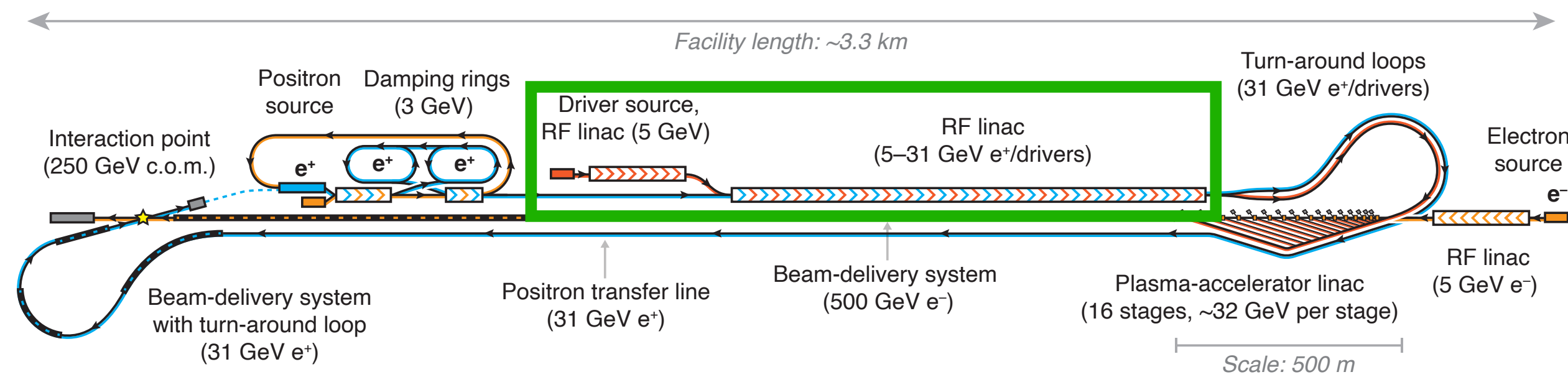


RF linac parameters

Average gradient	MV/m	25
Wall-plug-to-beam efficiency	%	50
RF power usage	MW	47.5
Peak RF power per length	MW/m	21.4
Cooling req. per length	kW/m	20

- > Length = ~1.3 km / gradient = 25 MV/m
- > Assumes 50% efficient acceleration

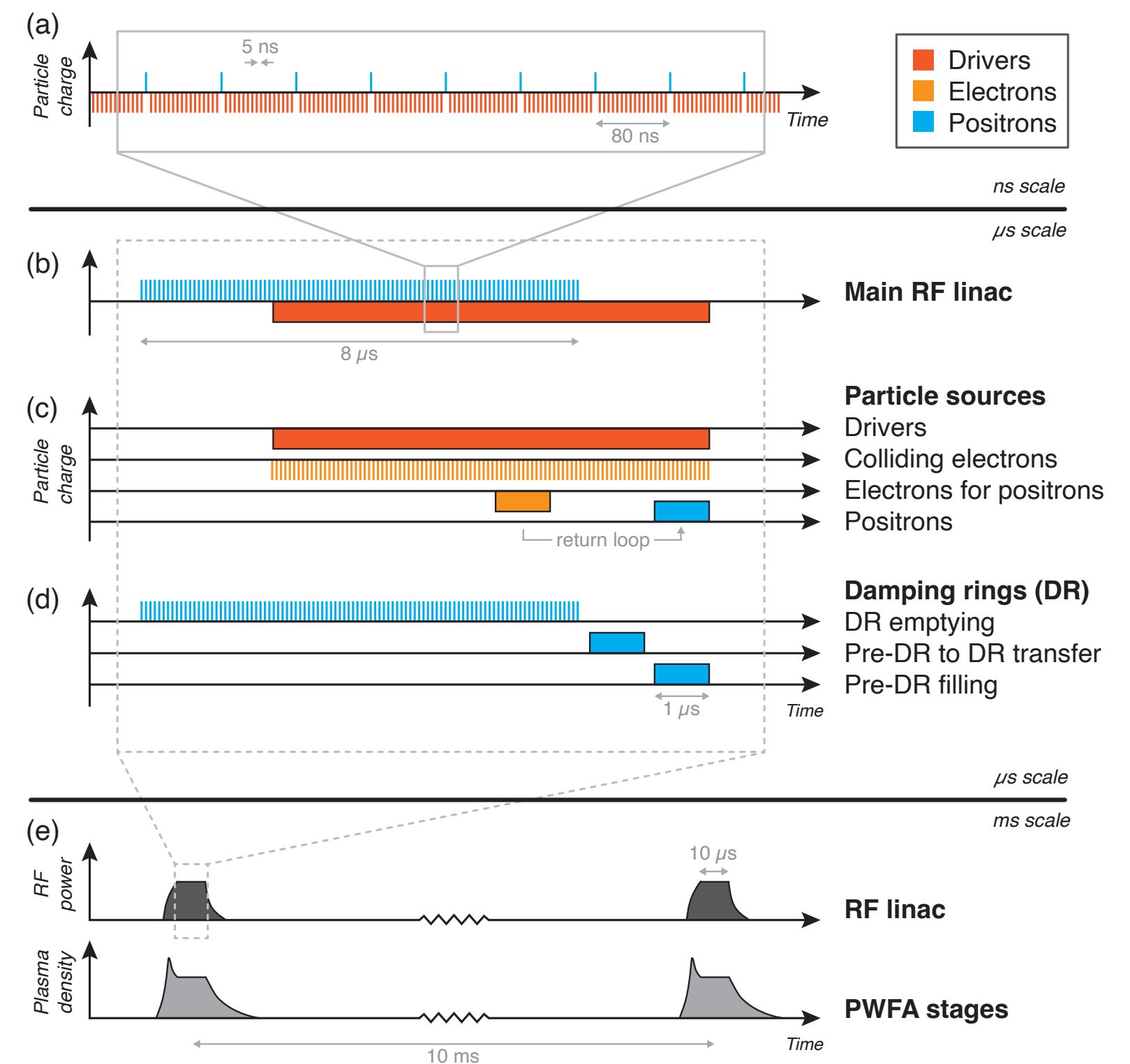
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- > Length = ~ 1.3 km / gradient = 25 MV/m
- > Assumes 50% efficient acceleration
- > Bunch-train pattern must be compatible with PWFA (both NCRF/SCRF possible):
 - > *Burst-mode (100 bunch-train at 100 Hz)*
 - > *Continuous wave (10 kHz)*

RF linac parameters

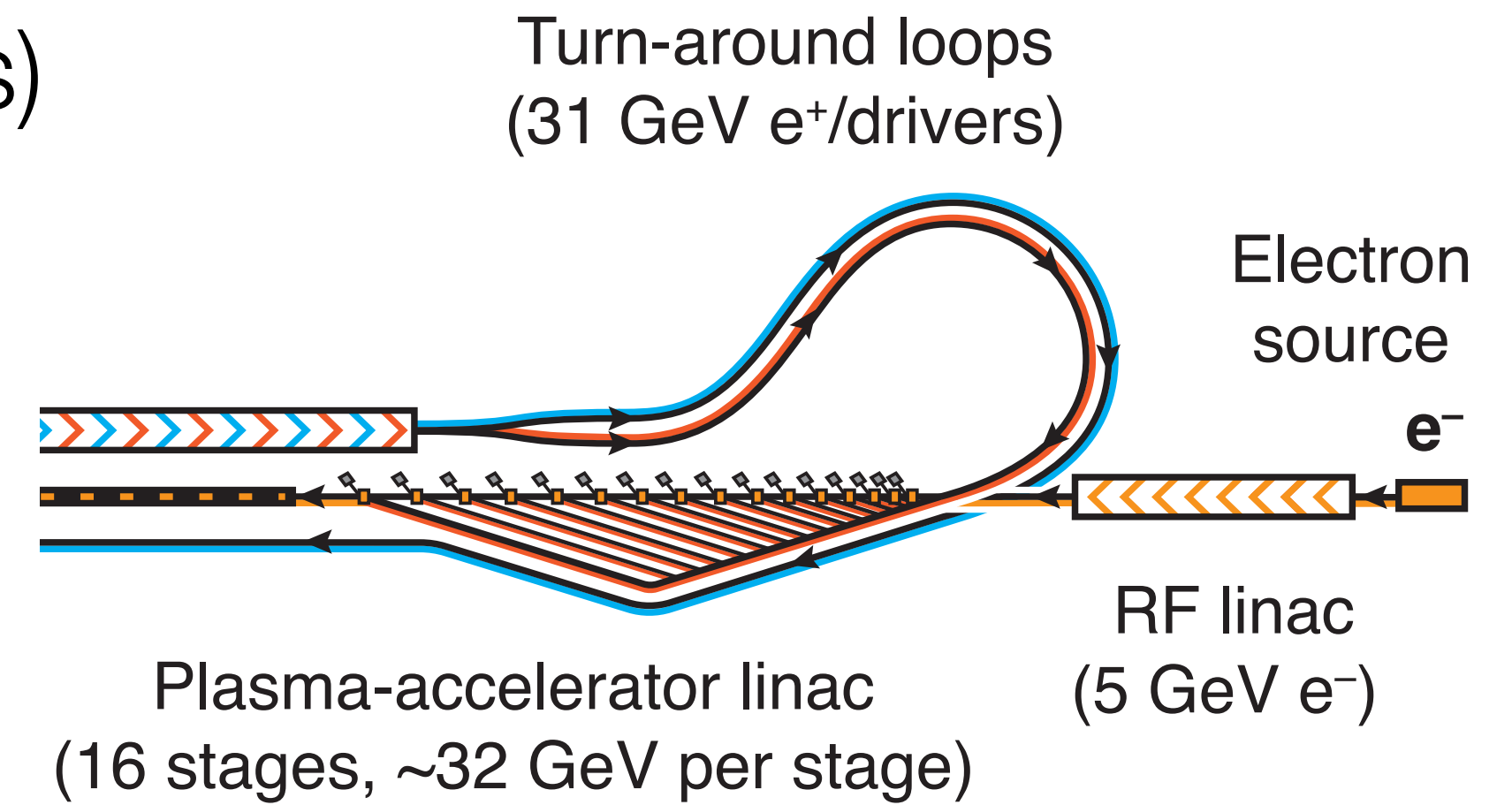
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Possible bunch-train pattern for HALHF.

The novelty: A multistage plasma-based linac

> No damping ring required (due to high-emittance electrons)

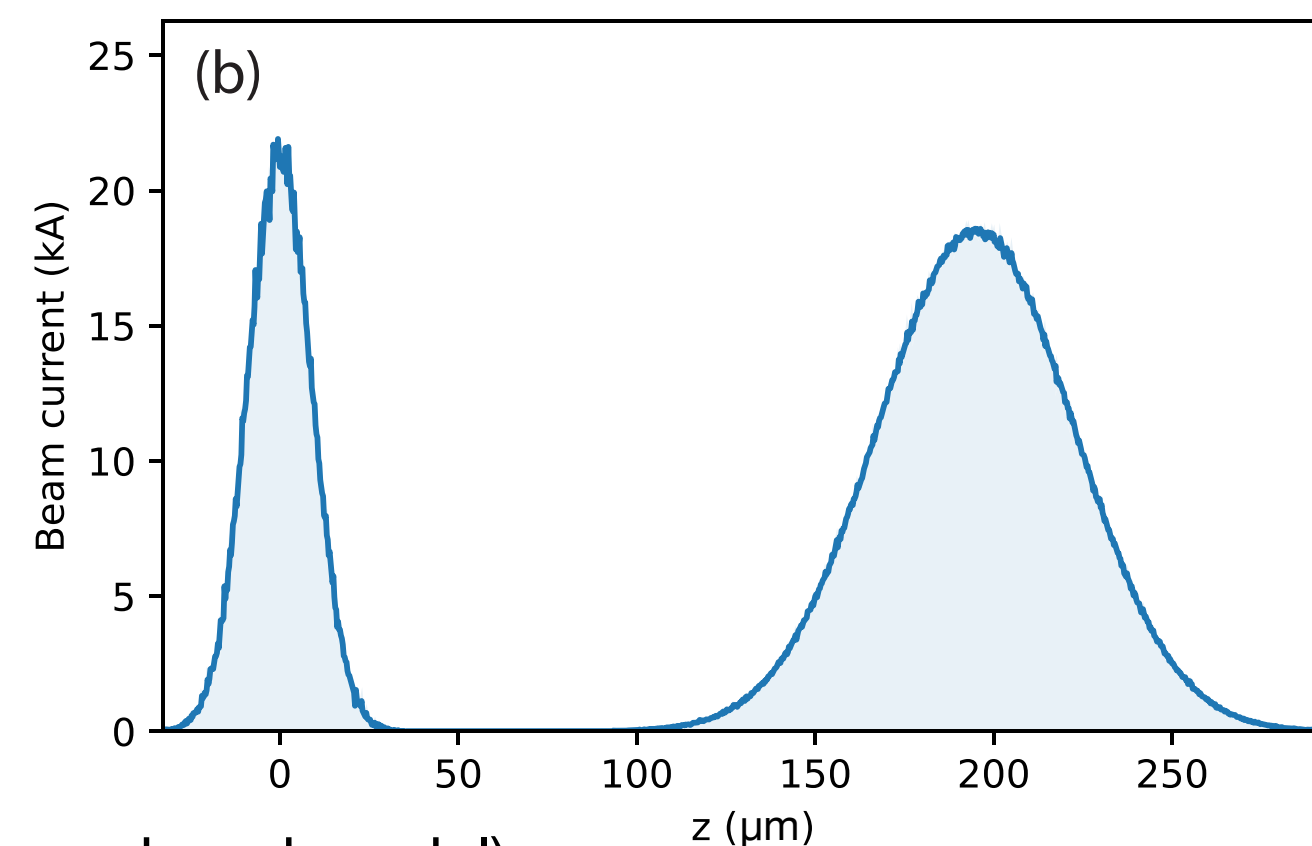
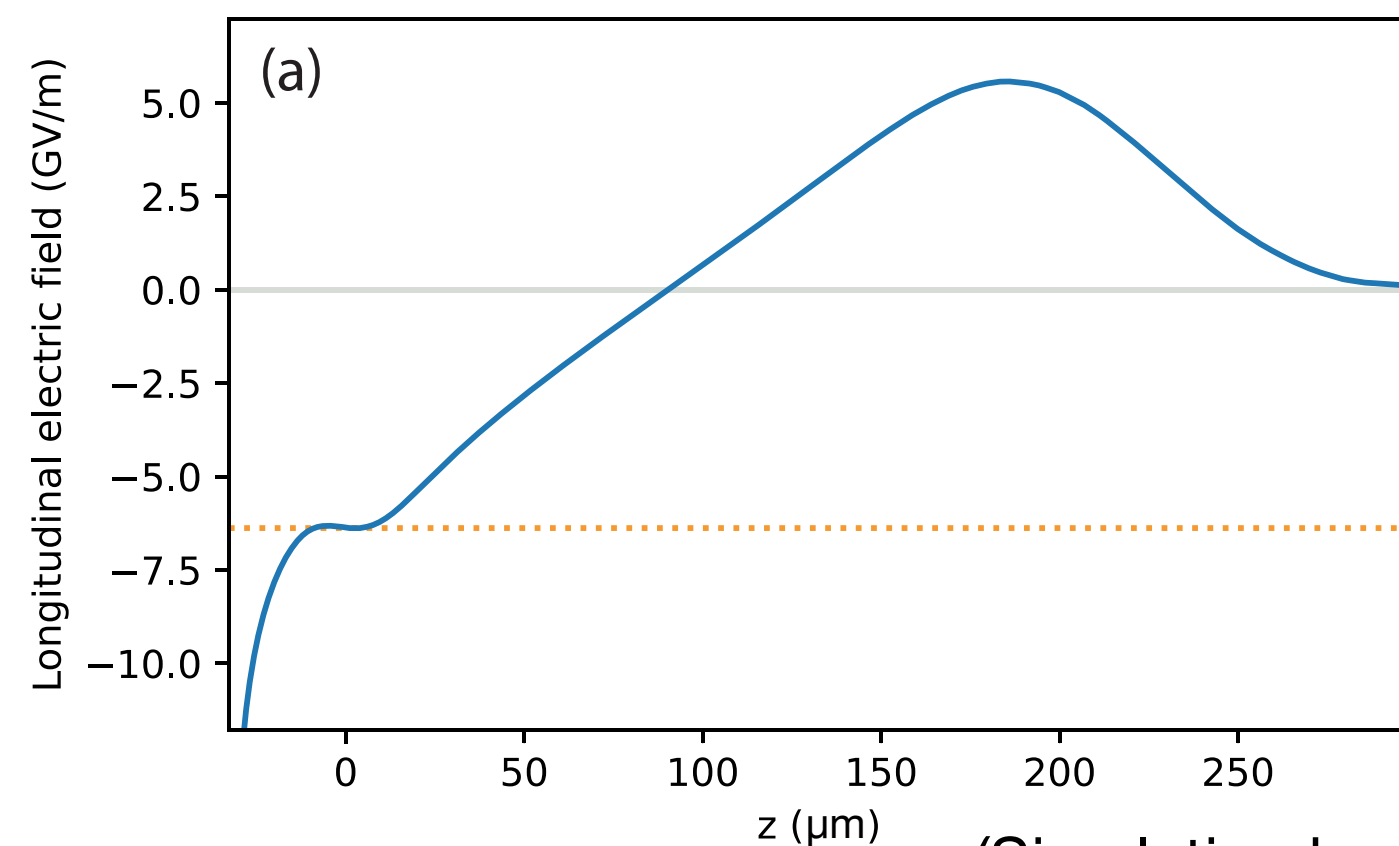
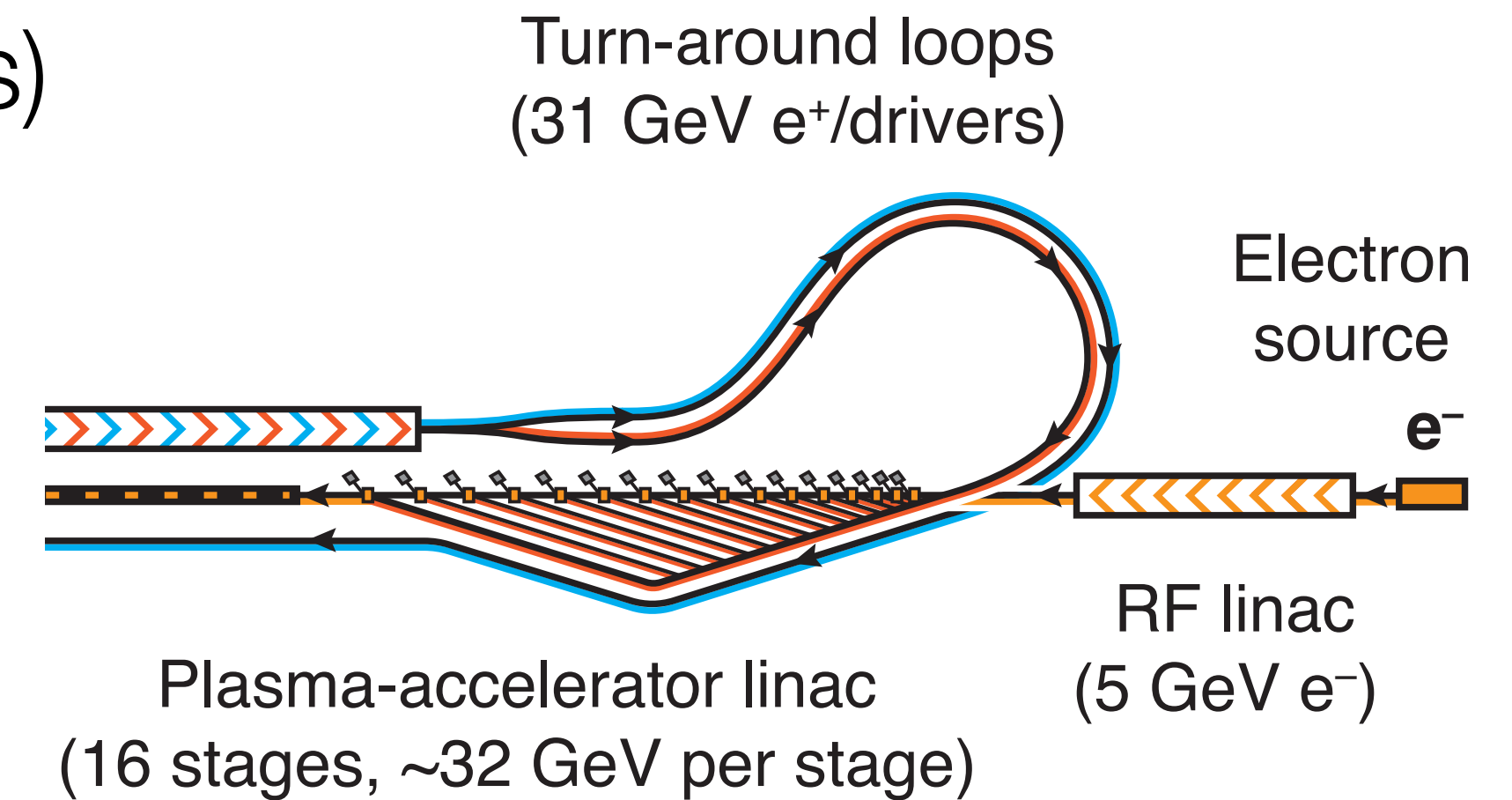


PWFA linac parameters

Number of stages		16
Plasma density	cm ⁻³	1.5 × 10 ¹⁶
In-plasma acceleration gradient	GV/m	6.4
Average gradient (incl. optics)	GV/m	1.2
Length per stage ^a	m	5
Energy gain per stage ^a	GeV	31.9
Initial injection energy	GeV	5
Driver energy	GeV	31.25
Driver bunch population	10 ¹⁰	2.7
Driver bunch length (rms)	μm	27.6
Driver average beam power	MW	21.4
Driver bunch separation	ns	5
Driver-to-wake efficiency	%	74
Wake-to-beam efficiency	%	53
Driver-to-beam efficiency	%	39
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The novelty: A multistage plasma-based linac

- > No damping ring required (due to high-emittance electrons)
- > 16 PWFA stages (each 5 m long)
 - > Length: ~400 m total (80 m of plasma)
 - > Gradient: 6.4 GV/m (in plasma) / 1.2 GV/m (average)
 - > Energy efficiency: 39%
(74% driver-to-plasma, 53% plasma-to-beam)



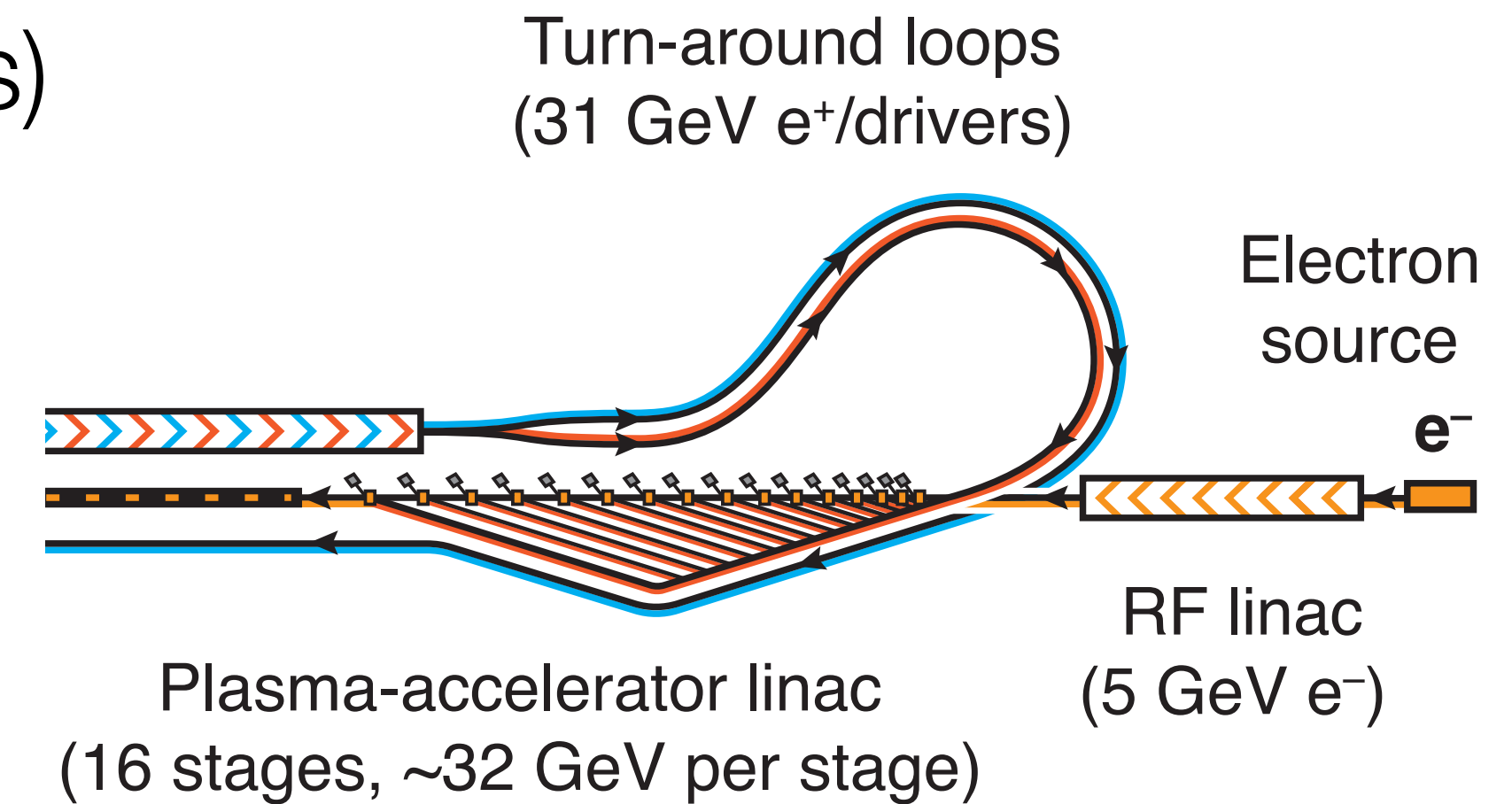
(Simulation based on reduced model)

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Key R&D topic: Energy-efficiency vs. instability

Several promising mitigation strategies exist
(ion motion, quasi-linear regime, etc.)

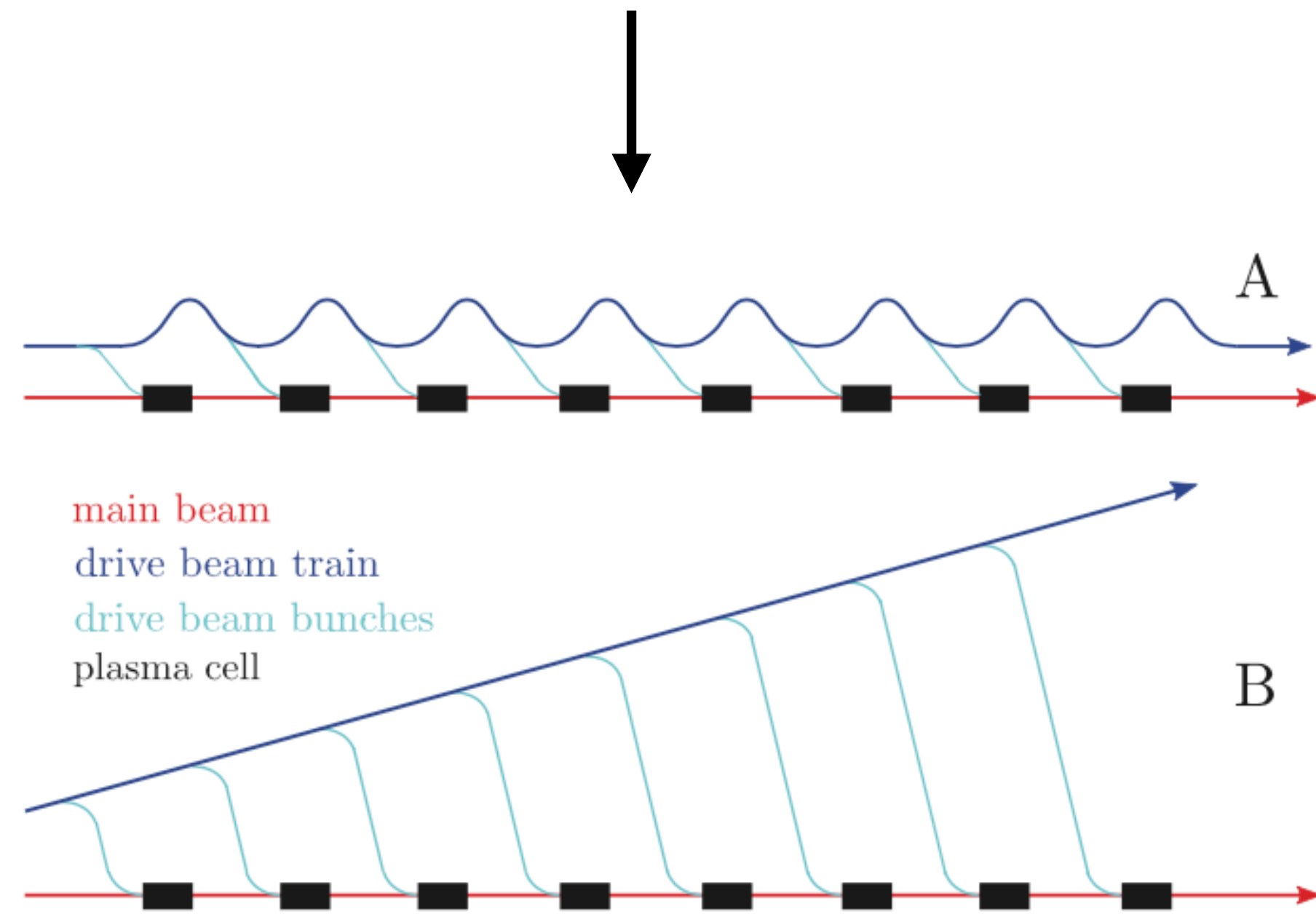
More detailed study required to determine stable and self-consistent parameters → **pre-CDR**

Innovations required: Plasma-accelerator R&D

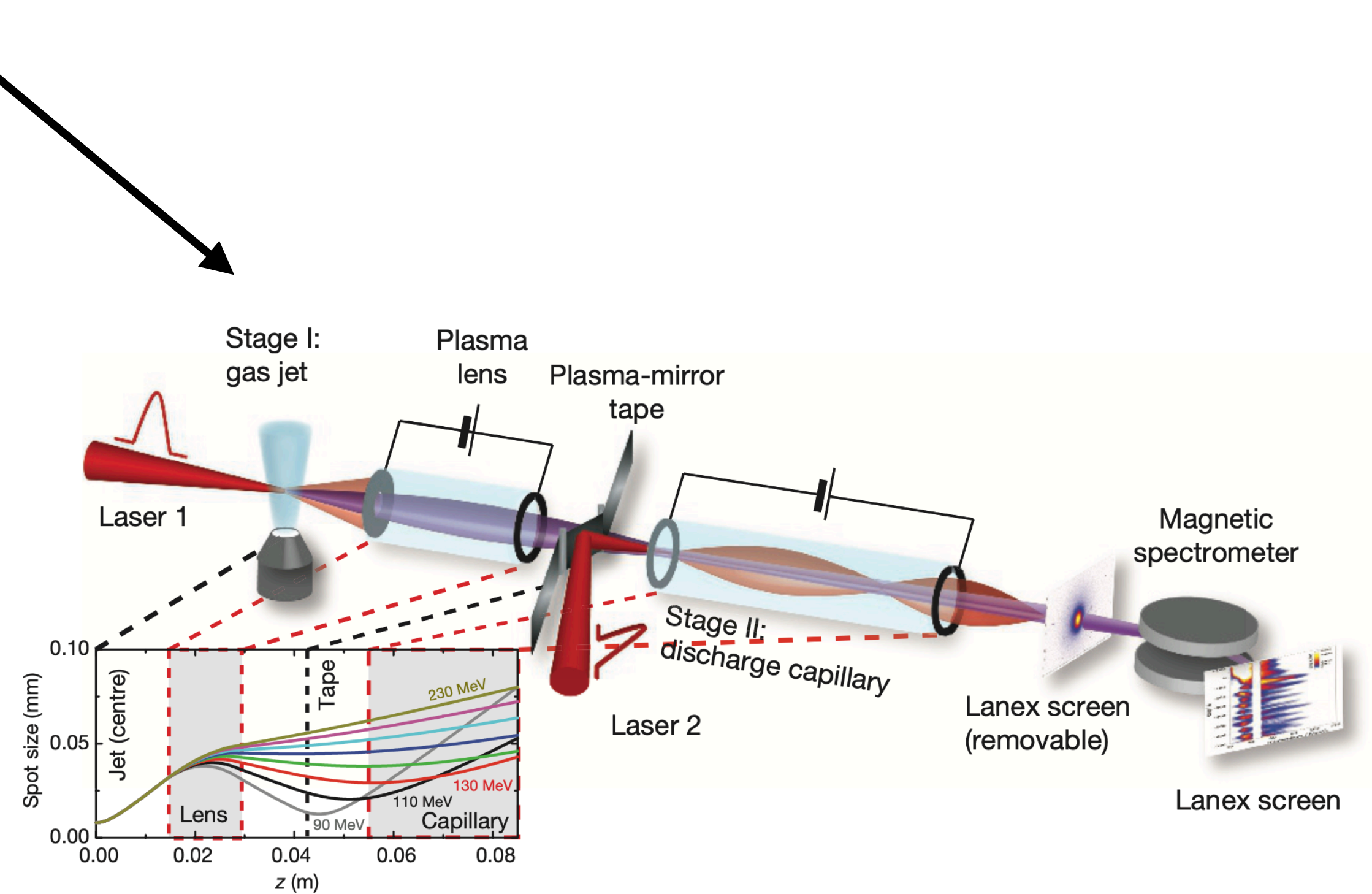
> Toward high energy:

> Compact staging optics with quality preservation

> Multi-stage driver distribution



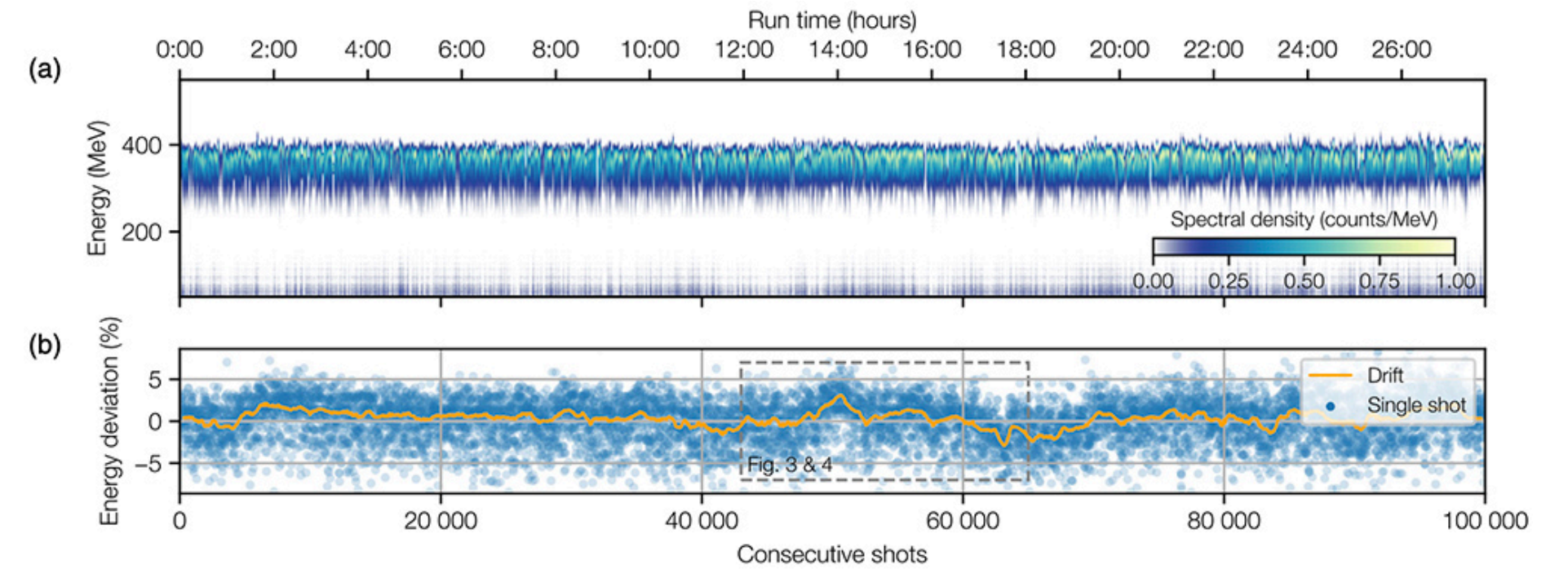
From: Pfingstner et al. (Proc. IPAC 2016)



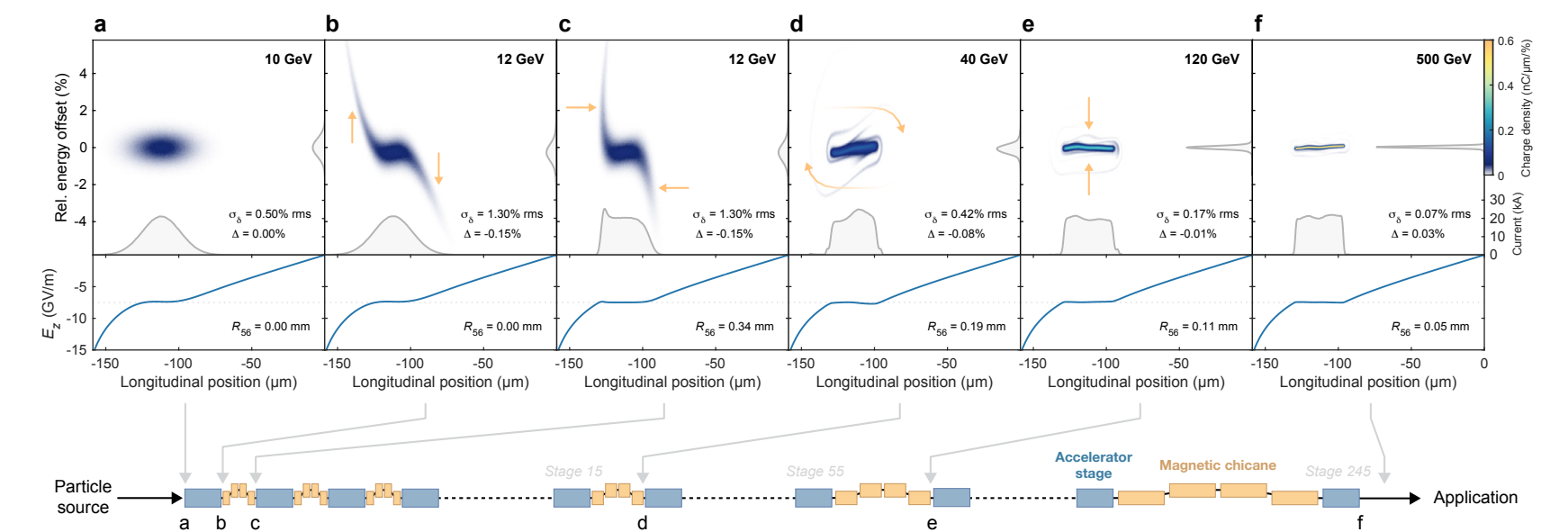
From: Steinke et al., Nature 530, 190 (2016).

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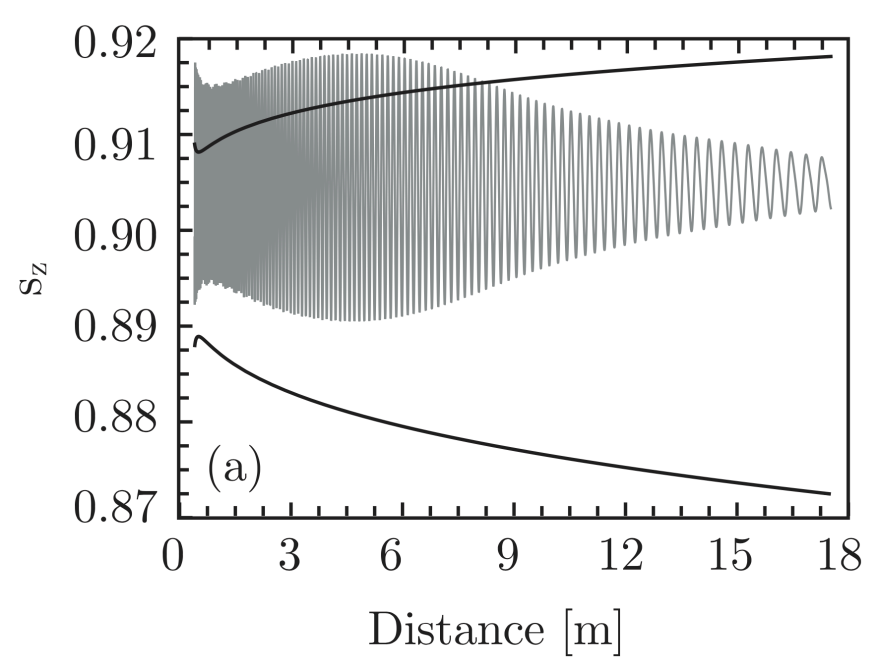
- > Toward high energy:
 - > Compact staging optics with quality preservation
 - > Multi-stage driver distribution
- > Toward high beam quality:
 - > Transverse and longitudinal stability
 - > Emittance and energy-spread preservation
 - > Spin-polarization preservation



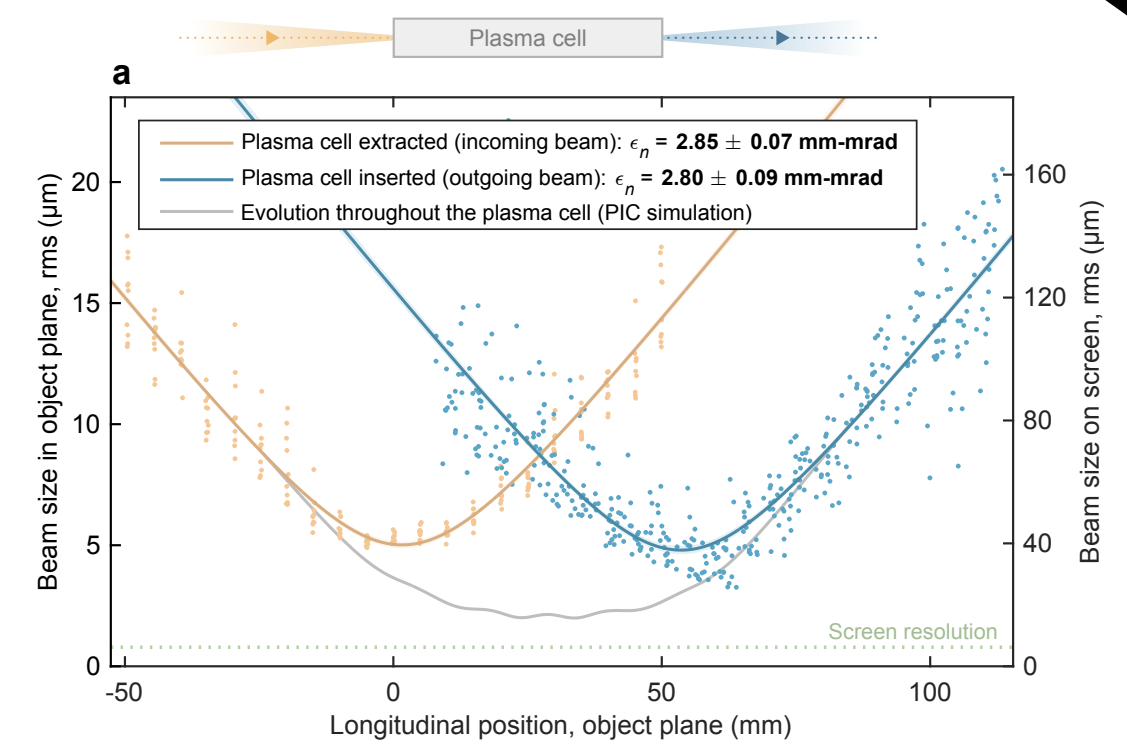
From: Maier et al., Phys. Rev. X 10, 031039 (2020).



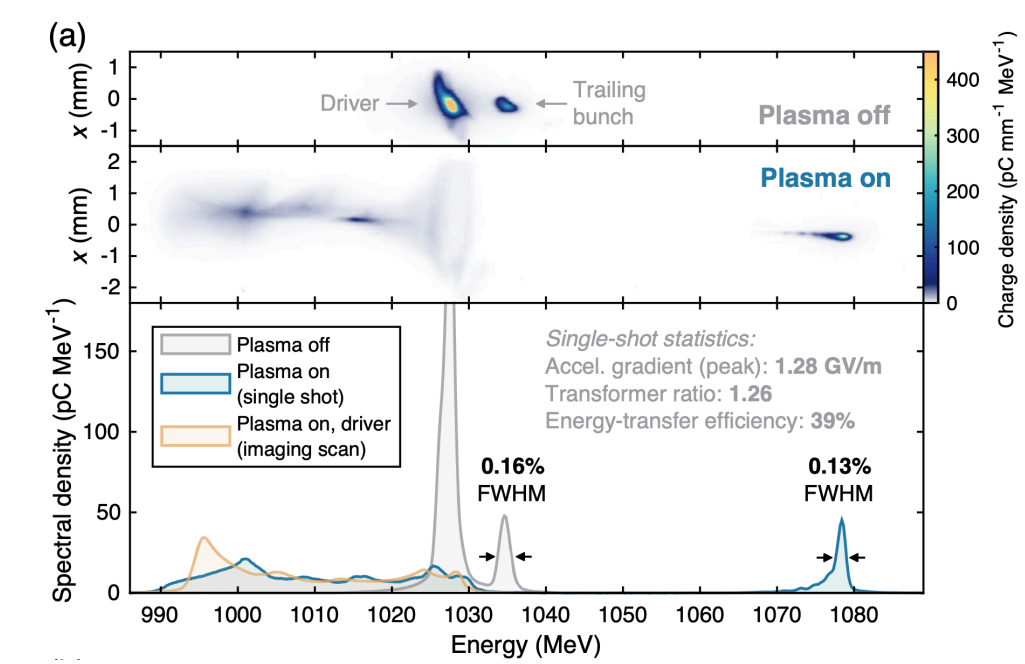
From: Lindstrøm (arXiv: 2104.14460).



From: Vieira et al. PR-STAB 14, 071303 (2011)



From: Lindstrøm et al. (submitted)



From: Lindstrøm et al., PRL 126, 014801 (2021)

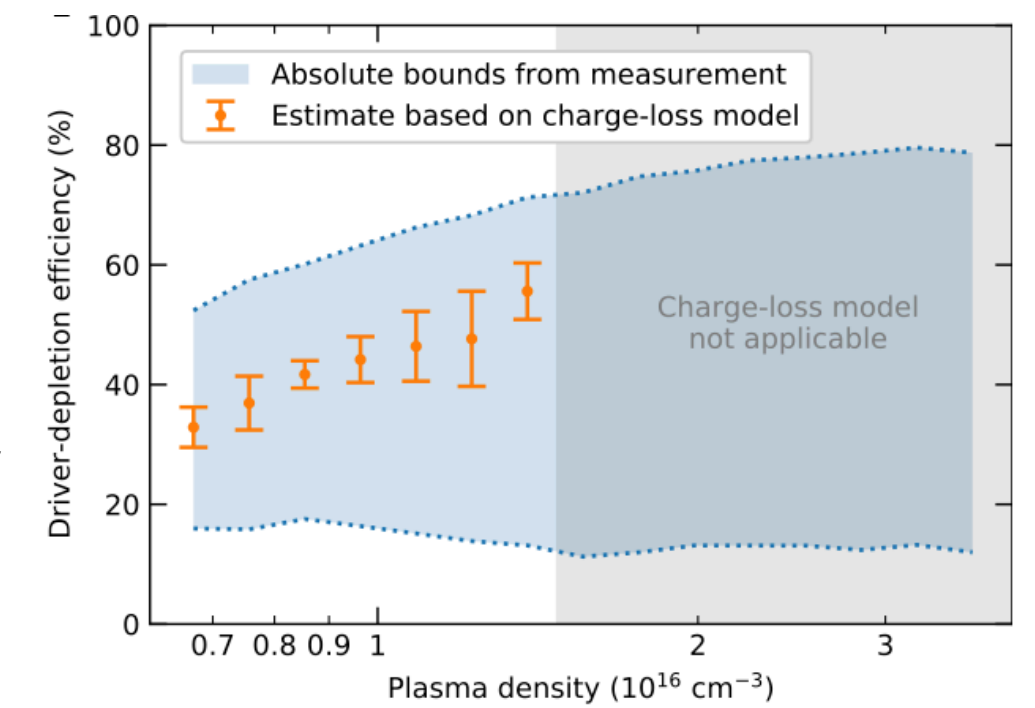
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- > Toward high beam quality:
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 - > Spin-polarization preservation
- > Toward high beam power:
 - > High-overall efficiency (wall-plug to beam)
 - > Repetition rate
 - > Plasma-cell cooling

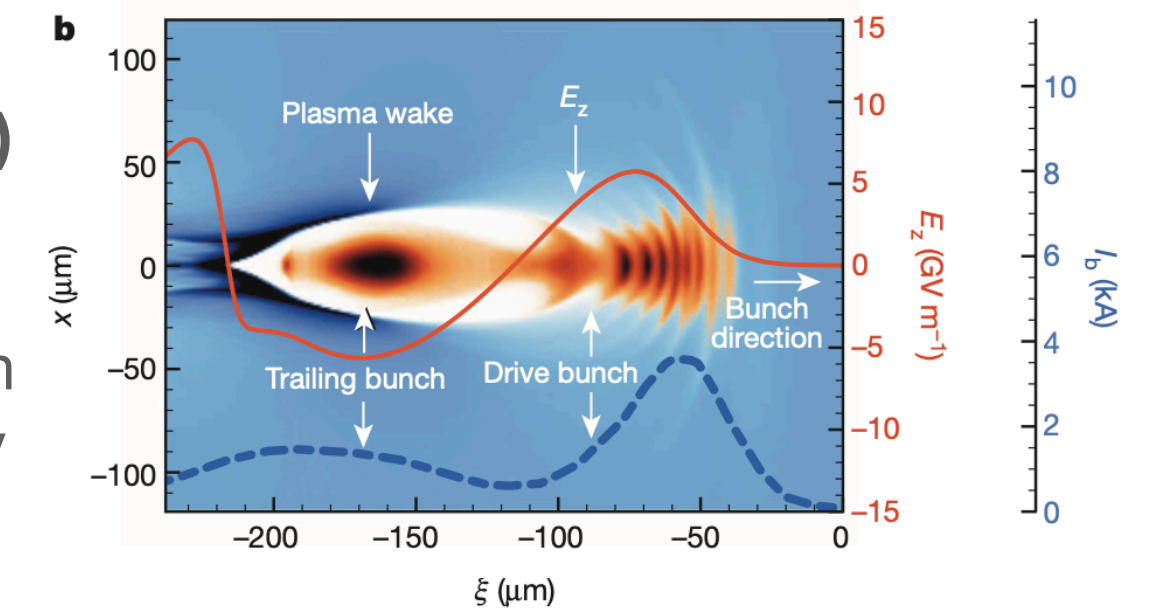
Depletion efficiency

(Must be achieved simultaneously)

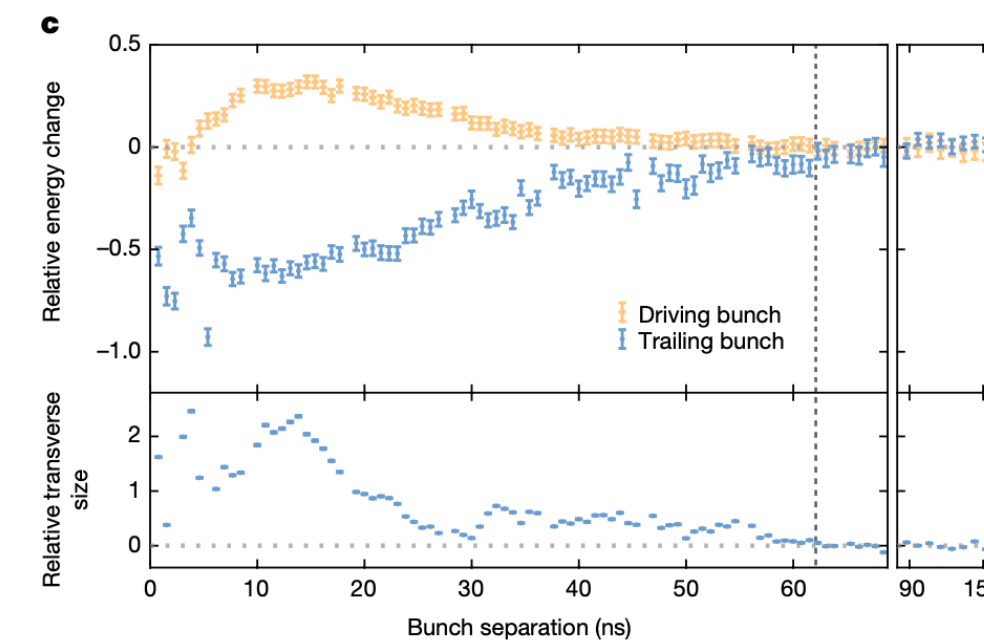
Extraction efficiency



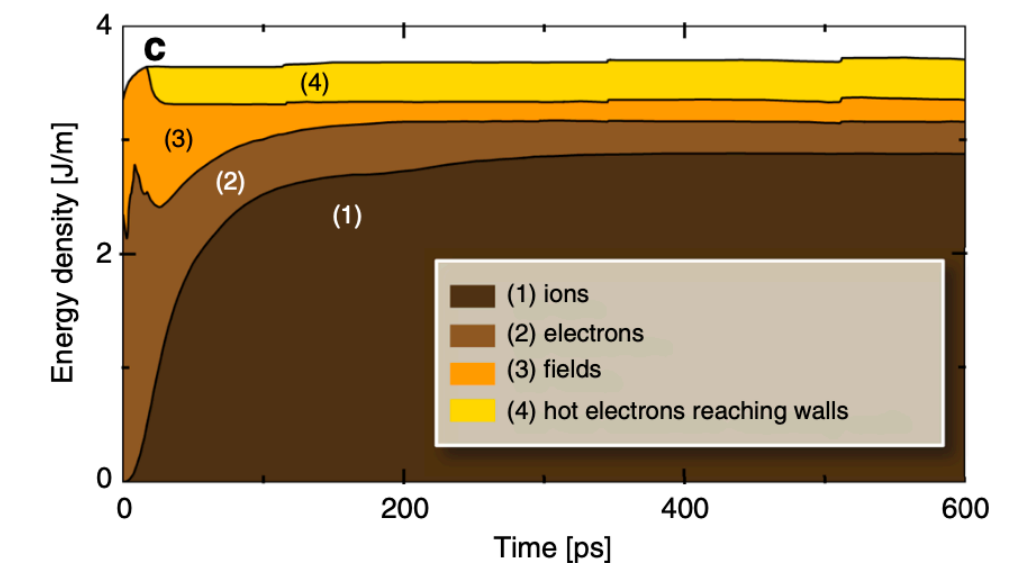
From: Peña et al. (arXiv:2305.09581)



From: Litos et al., Nature 515, 92 (2014).



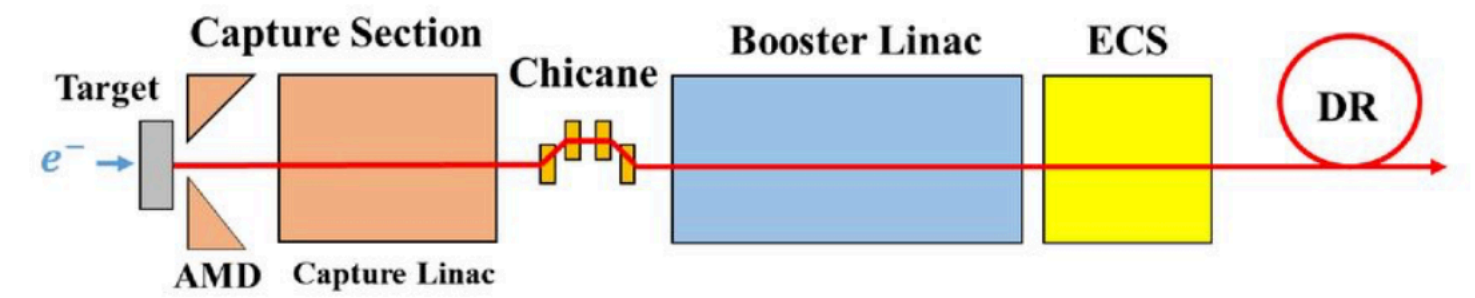
From: D'Arcy et al., Nature 603, 58 (2022).



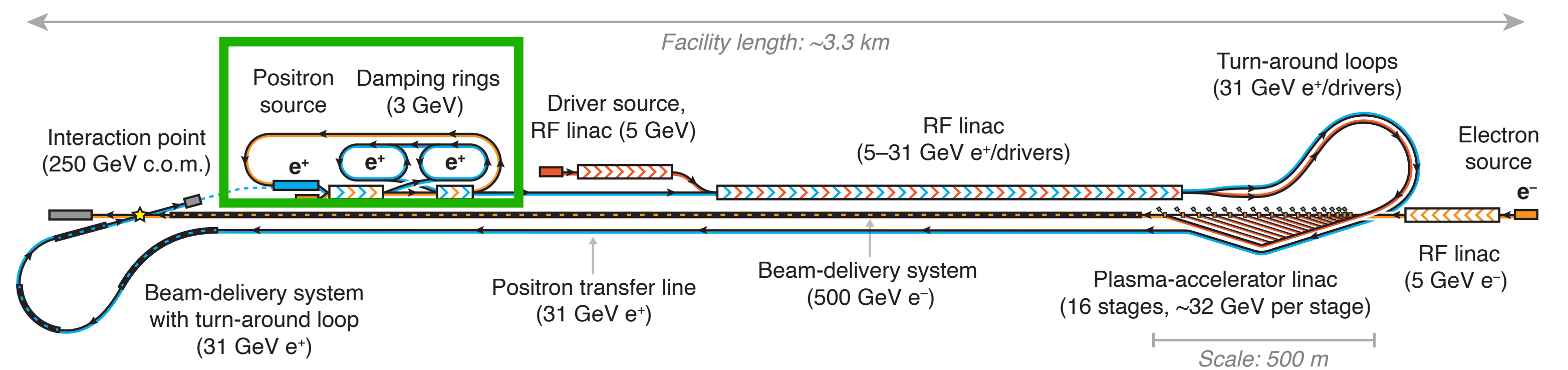
From: Zgadzaj et al., Nat. Commun. 11, 4753 (2020)

Innovations required: Conventional accelerator R&D

> High-charge positron source (2x charge compared to ILC)

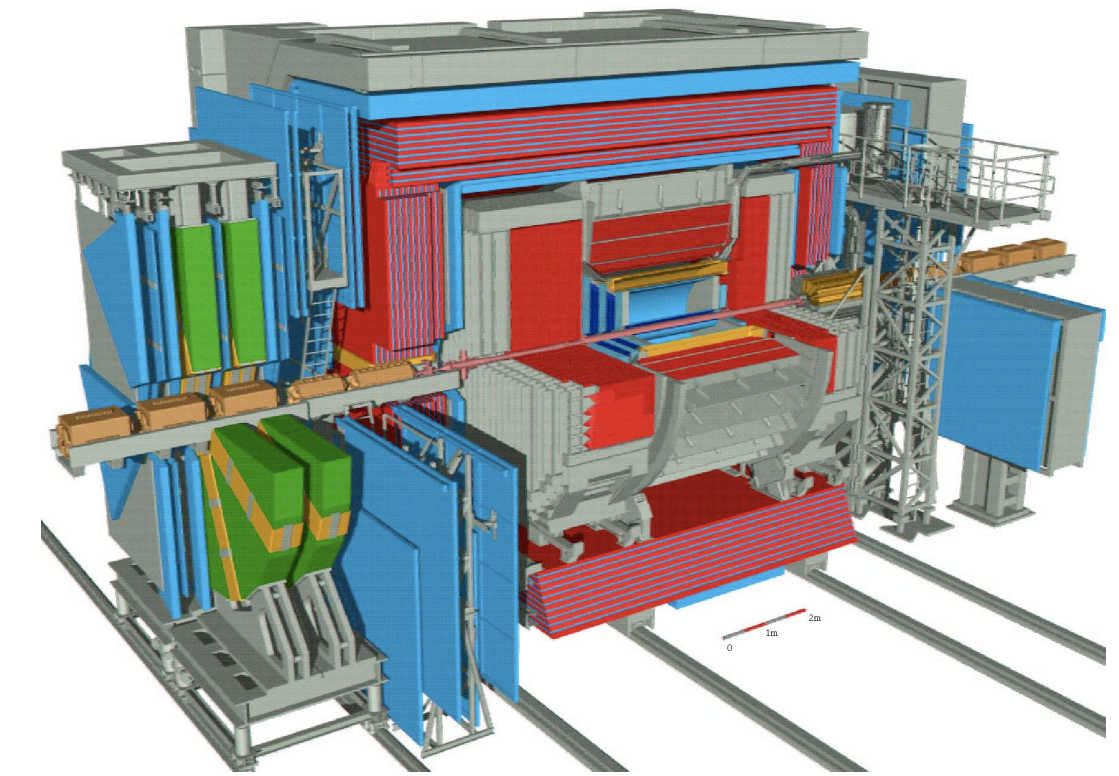


Sketch of ILC positron source

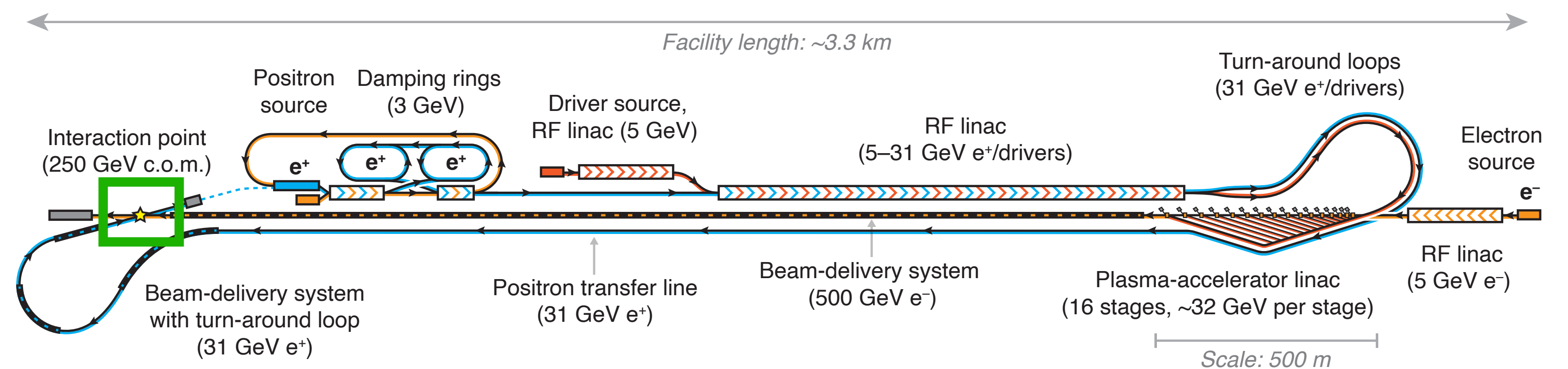


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- > Detector optimised for asymmetric energies (see Brian's talk)

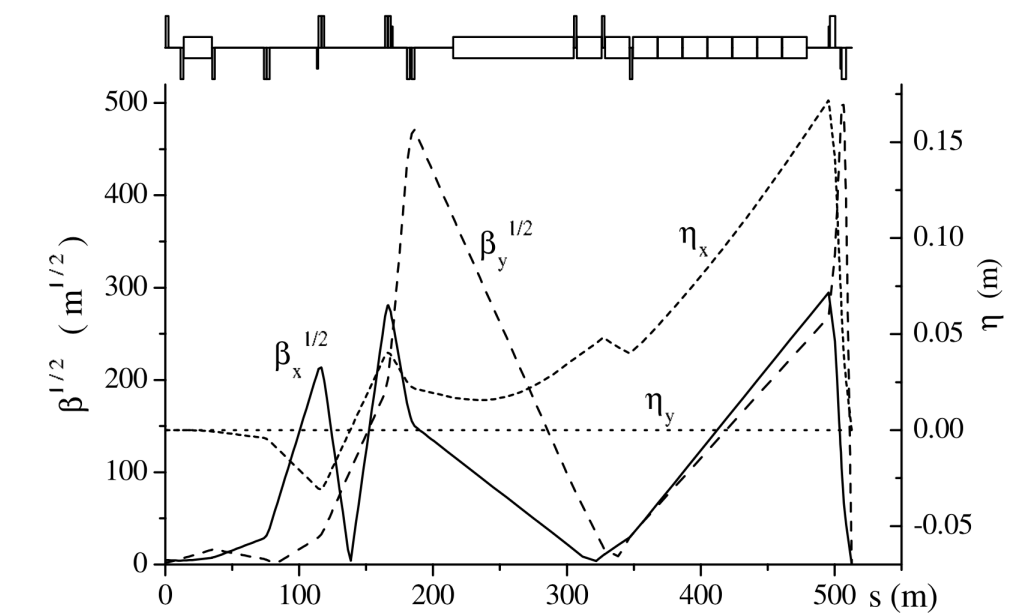


ZEUS detector at HERA

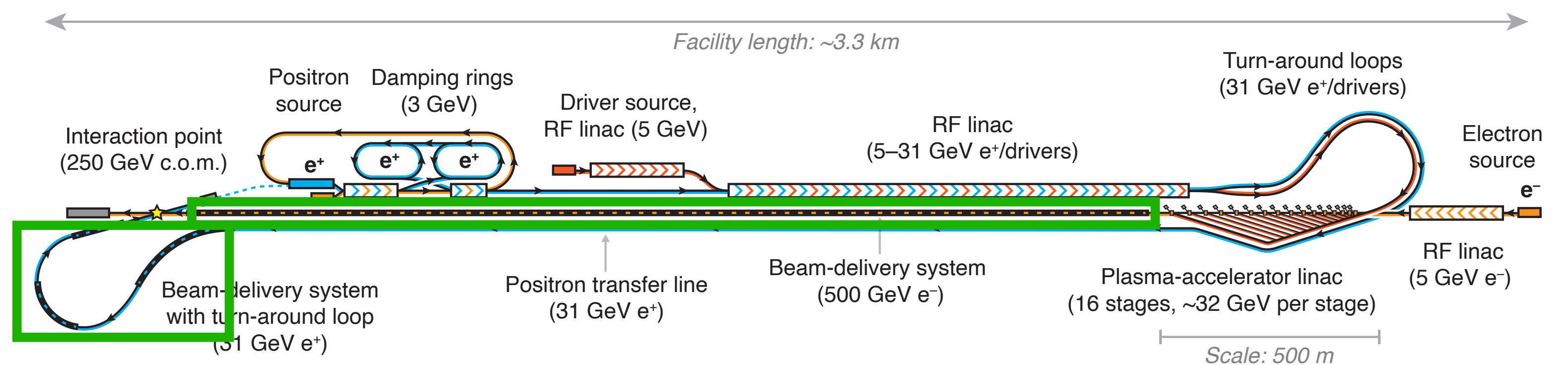


Innovations required: Conventional accelerator R&D

- > High-charge positron source (2x charge compared to ILC)
- > Detector optimised for asymmetric energies (see Brian's talk)
- > Beam-delivery systems:
 - > Small beta functions (3.3 x 0.1 mm)
 - > Can it be made shorter if the emittance is much higher? (Not assumed for HALHF)



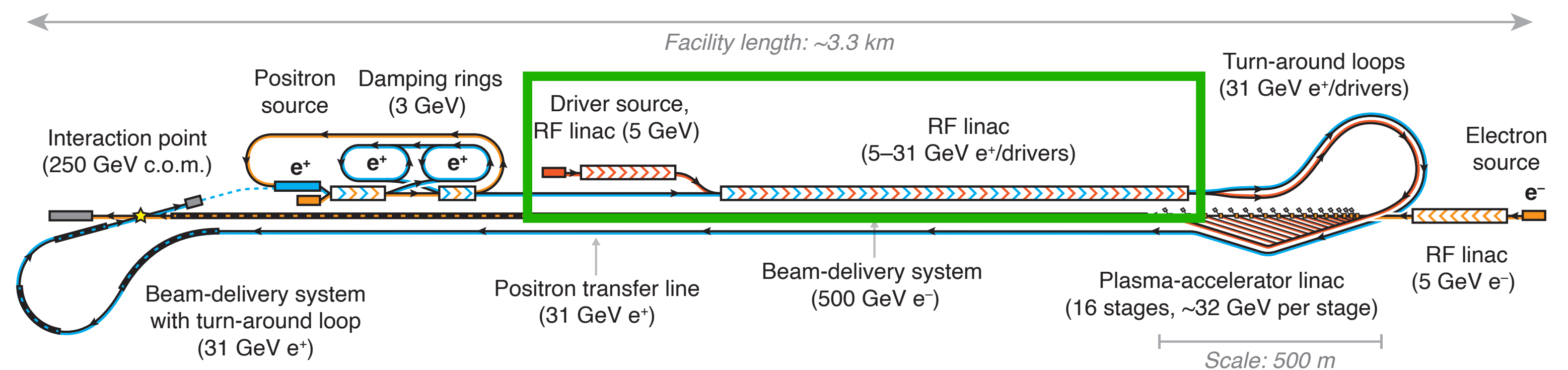
From: Raimondi & Seryi, PRL **86**, 3779 (2001)



Innovations required: Conventional accelerator R&D

- > High-charge positron source (2x charge compared to ILC)
- > Detector optimised for asymmetric energies (see Brian's talk)
- > Beam-delivery systems:
 - > Small beta functions (3.3 x 0.1 mm)
 - > Can it be made shorter if the emittance is much higher? (Not assumed for HALHF)
- > High-efficiency (heavily beam loaded) RF linac with PWFA-compatible beams

> **Conventional accelerator expertise required!**



Rough timeline for HALHF (and beyond)

> A “pre-CDR” (feasibility study) is necessary to find self-consistent parameters

Timeline (approximate/aspirational)				
0–5 years	5–10 years	10–15 years	15–25 years	25+ years
Pre-CDR (HALHF) Simulation study to determine self-consistent parameters (demonstration goals)	Demonstration of: Scalable staging, driver distribution, stabilisation (active and passive)	Multistage tech demonstrator Strong-field QED experiment (25–100 GeV e^-)	(Facility upgrade) ↓	
	Demonstration of: High wall-plug efficiency (e^- drivers), preserved beam quality & spin polarization, high rep. rate, plasma temporal uniformity & cell cooling	Higgs factory (HALHF) Asymmetric, plasma–RF hybrid collider (250–380 GeV c.o.m.)		
	Demonstration of: Energy-efficient positron acceleration in plasma, high wall-plug efficiency (laser drivers), ultra-low emittances, energy recovery schemes, compact beam-delivery systems	Multi-TeV $e^+e^-/\gamma\gamma$ collider Symmetric, all-plasma-based collider (> 2 TeV c.o.m.)		

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- > Need a near-term technology demonstrator (similar to EU-XFEL for ILC): *e.g. strong-field QED*
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- > Upgrade path toward multi-TeV relies on concepts that need **ongoing parallel R&D**
 - > **e⁺ acceleration, high-efficiency lasers, nm-level emittances, more compact BDS**

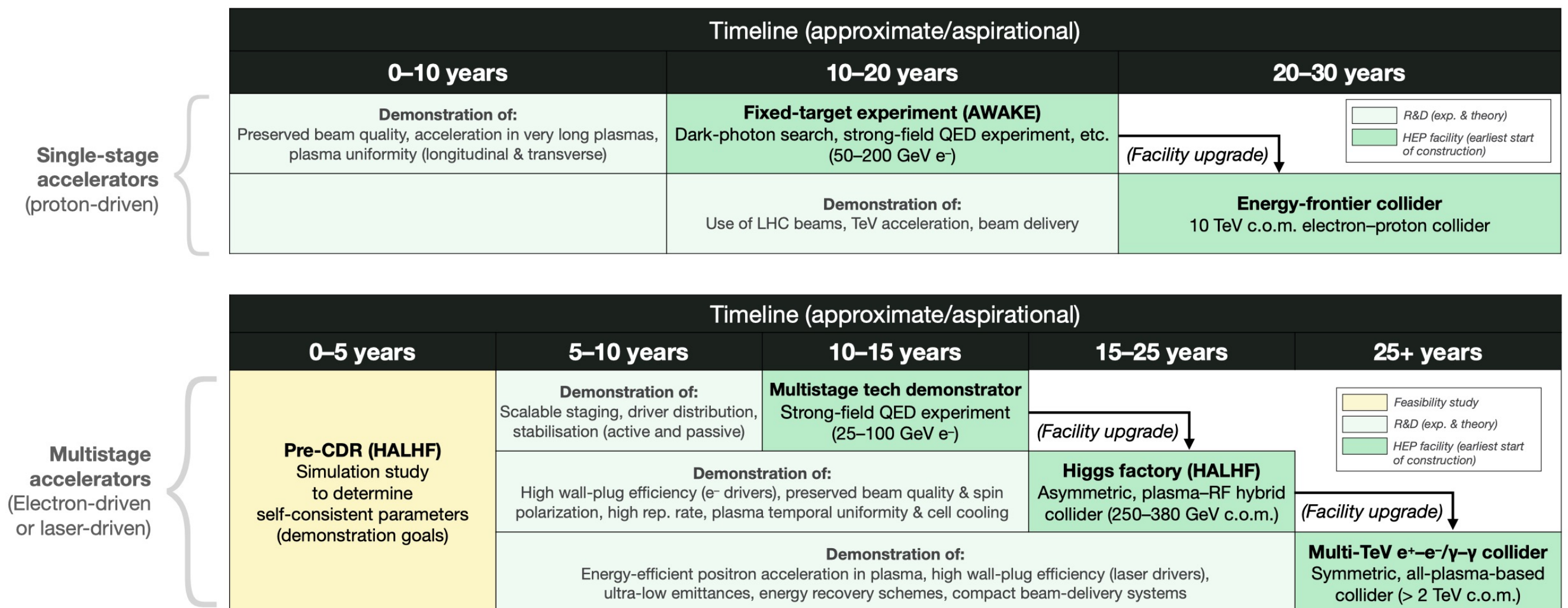
Important note: Most R&D toward HALHF is driver-agnostic

> **Key to continue funding existing plasma-accelerator test facilities (regardless of driver technology)**

> *Most R&D can be performed independent of driver used*

> *Too many R&D topics for one facility to focus on simultaneously*

> If high-efficiency lasers become available, these can be highly relevant to multi-TeV colliders



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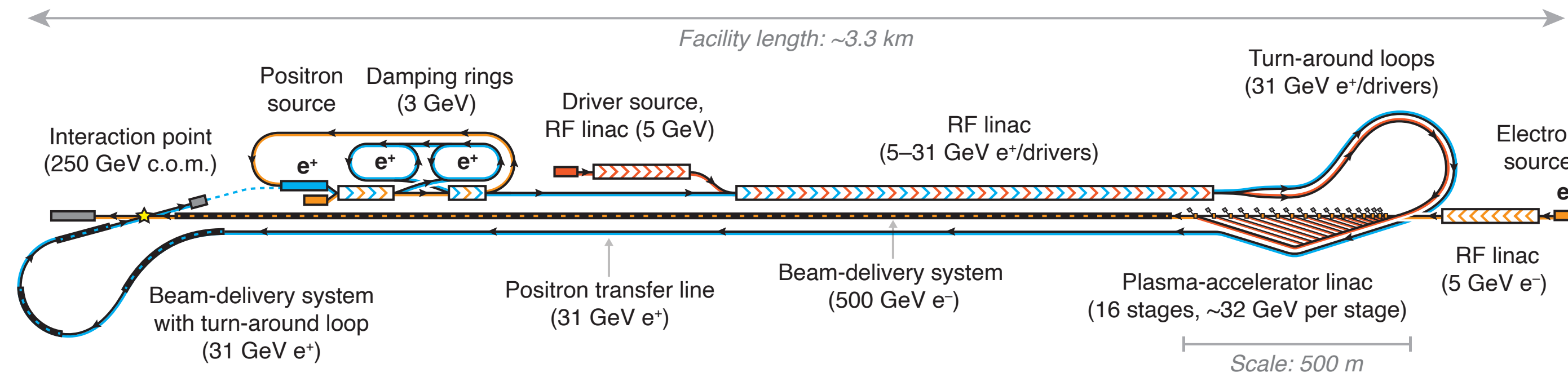
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R&D required for future colliders	Demonstrable in Single Stage			Demonstrable in Multi-stage	
	Proton-driven	Electron-driven	Laser-driven	Electron-driven	Laser-driven
Electron beams with HEP relevant energies	3.2			1.1, 1.2	1.3
Acceleration in very long plasma	3.2				
Plasma uniformity (long. & trans.)	3.2	3.1, 2.3	2.3, 2.4		
Preserving injected beam quality: emittance, charge, energy spread, spin polarisation		3.1	1.5, 2.4	3.1	1.5, 2.4
Stabilisation (active and passive)		3.1	2.4	3.1	2.4
Ultra-low emittance beams					
Advanced beam-delivery systems	1.6	1.6	1.6	1.6	1.6
External injection and timing		3.1	2.4	3.1	2.4
Positron beams for collider	1.4	1.4	1.4		
High rep-rate targetry with heat management		2.3, 3.1	2.1, 2.3, 2.4		
Facility sustainability	1.7	1.7	1.7	1.7	1.7
Temporal plasma uniformity & stability	3.2				
Driver removal		3.1	2.4	3.1	2.4
High rep-rate, high wall plug efficiency drivers			2.1, 2.2		2.1, 2.2
Inter-stage beam coupling and timing				3.1	2.4
Driver coupling and removal (plasma mirrors)				3.1	2.4
Total system design with end-to-end simulations				1.1, 1.2	1.3

Not applicable
 Not feasible
 Not part of the program
 Technically feasible

Conclusions

Preprint: [Foster, D'Arcy & Lindstrøm, arXiv:2303.10150 \(2023\)](#)

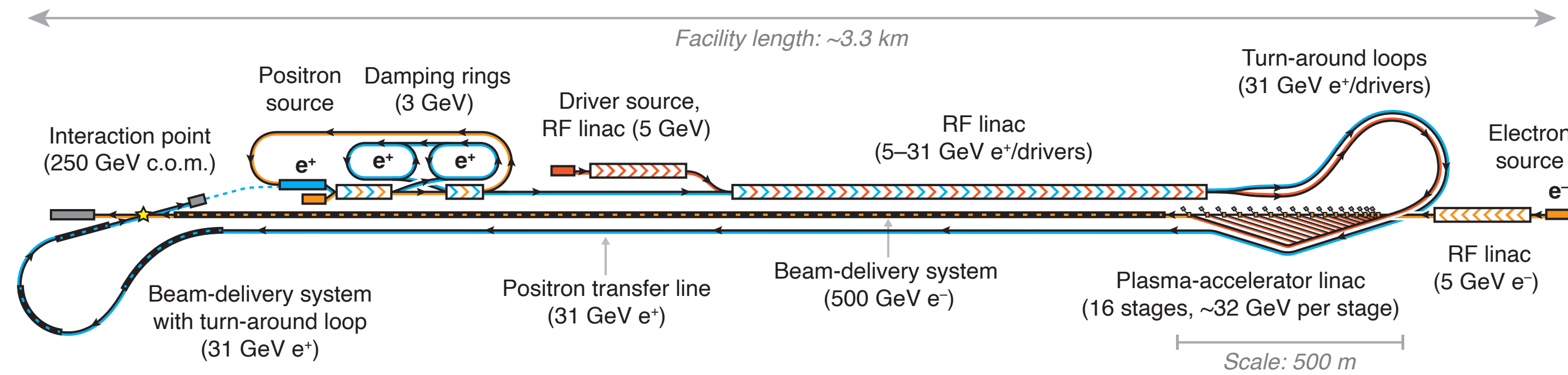


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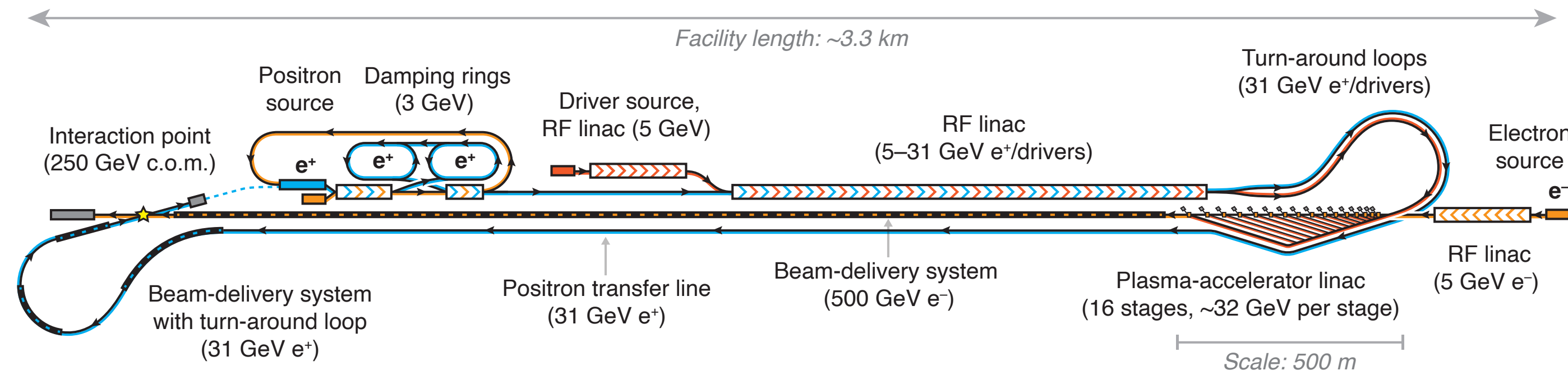
> **Higher risk, but also higher reward (innovative and cost effective):**

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> **Much targeted R&D still required (e.g., staging, beam quality, beam power)**

> Continued funding of existing test facilities (regardless of driver technology) is key