

# On maximizing laser wake field acceleration (LWFA) by tailoring the plasma density. EAAC23, ID357

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**Abstract:** We sketch a preliminary analytical procedure [1,2] in 4 steps to tailor the initial density (upramp+downramp+plateau) of a cold diluted plasma to the laser pulse so as to control wave breakings (WBs) of the plasma wave (PW) and maximize the acceleration of the first electrons ( $e^-$ s) self-injected in the PW by the first WB at the down-ramp; the corresponding plateau density is uniquely determined. We use as long as possible the improved fully relativistic plane hydrodynamic model (HM) of Ref. [3,4,5], modeling the pulse as a plane wave travelling in the  $z$  direction. Our (1+1)-dim results may help also in realistic (3+1)-dim problems.

## I. Introduction and set-up

Nowadays the equations (Maxwell + kinetic theory for electrons and ions) ruling plasma dynamics in LWFA can be solved via more and more powerful particle-in-cell (PIC) codes, but running them has huge costs for each choice of the input data. Hence it is crucial to do after a preliminary data selection based on simpler models. Below we sketch one maximizing the above LWFA.

We regard the plasma as long as possible as a static background of ions and fully relativistic collisionless fluid of  $e^-$ s. Initial conditions for their Eulerian density  $n_e$ , velocity  $\mathbf{v}_e$ :

$$\mathbf{v}_e(0, \mathbf{x}) = \mathbf{0}, \quad n_e(0, \mathbf{x}) = \tilde{n}_0(z); \quad (1)$$

the initial  $e^-$  (and proton) density  $\tilde{n}_0(z)$  satisfies

$$\tilde{n}_0(z) \leq n_b, \quad \tilde{n}_0(z) = \begin{cases} 0 & \text{if } z \leq 0, \\ n_0 & \text{if } z \geq z_s \end{cases} \quad (2)$$

for some  $n_b \geq n_0 > 0$  and  $z_s > 0$  (see Fig. ).

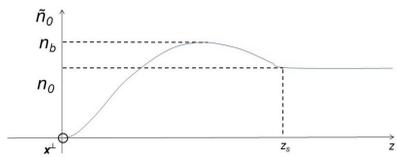


Figure 2: (a) Normalized gaussian pulse of FWHM  $l' = 10.5\lambda$ , linear polarization, peak amplitude  $a_0 \equiv \lambda c E_M^+ / 2\pi m c^2 = 2$ . (b) Corresponding solution of (12) if  $\tilde{n}_0(z) = n_0^j \equiv n_{cr} / 267$  ( $n_{cr} = \pi m c^2 / e^2 \lambda^2$  is the critical density); as a result,  $E / m c^2 \equiv h = 1.28$ . Adopting  $n_0 = n_0^j$  as the plateau density maximizes the maximal  $|E^z|$  in the PW, hence also the LWFA of test electrons with the 'right' phase. If  $\lambda = 0.8\mu\text{m}$ , then peak intensity is  $I = 1.7 \times 10^{19} \text{W/cm}^2$  and  $n_0^j = 6.5 \times 10^{18} \text{cm}^{-3}$ . (c) The corresponding electron phase portrait (at  $\xi > l$ ).

We model the electric and magnetic fields  $\mathbf{E}, \mathbf{B}$  as a plane wave propagating in the  $z$ -direction,

$$\mathbf{E}(t, \mathbf{x}) = \epsilon^\perp(ct - z), \quad \mathbf{B} = \mathbf{k} \times \mathbf{E} \quad (3)$$

( $\mathbf{x} = x\mathbf{i} + y\mathbf{j} + z\mathbf{k}$ ,  $c$  is the speed of light), where the support of  $\epsilon^\perp(\xi) \perp \mathbf{k}$  is an interval  $0 \leq \xi \leq l$  fulfilling  $l \lesssim \sqrt{\pi m c^2 / n_b e^2}$  (neglect depletion).  $\{\tilde{n}_0(z), \epsilon^\perp(\xi)\} \equiv$  **input data** of our problem.

The position  $\mathbf{x}(t)$  and momentum  $\mathbf{p}(t) = m c \mathbf{u}(t)$  of an  $e^-$  fulfill the Lorentz eqs. Dimensionless variables:  $\beta \equiv \mathbf{v}/c = \dot{\mathbf{x}}/c$ ,  $\gamma \equiv 1/\sqrt{1-\beta^2} = \sqrt{1+u^2}$ , the 4-velocity  $u = (u^0, \mathbf{u}) \equiv (\gamma, \gamma\beta)$ . As  $v < c$ , we can make the change  $t \mapsto \xi = ct - z$  of independent parameter along the worldline (WL) of  $e^-$  (see Fig. 1), so that the term  $\epsilon^\perp[ct - z(t)]$ , where the *unknown*  $z(t)$  is in the argument of the highly nonlinear and rapidly varying  $\epsilon^\perp$ , becomes the *known* forcing term  $\epsilon^\perp(\xi)$ . We denote as  $\hat{\mathbf{x}}(\xi)$  the position of  $e^-$  as a function of  $\xi$ ; it is determined by  $\hat{\mathbf{x}}(\xi) = \mathbf{x}(t)$ . More generally given any  $f(t, \mathbf{x})$  we denote  $\hat{f}(\xi, \hat{\mathbf{x}}) \equiv f[\hat{t}(\xi), \hat{\mathbf{x}}]$  (where  $c\hat{t}(\xi) = \xi + \hat{z}(\xi)$ ), abbreviate  $\hat{f} \equiv df/dt$ ,  $\hat{f}' \equiv d\hat{f}/d\xi$  (total derivatives). Convenient change of dependent variable  $u^z \mapsto s \equiv$  the lightlike component of  $u$  [5]:

$$s \equiv \gamma - u^z = u^- = \gamma(1 - \beta^z) = \frac{\gamma d\xi}{c dt} > 0; \quad (4)$$

$\gamma, \mathbf{u}, \beta$  are the *rational* function of  $\mathbf{u}^z; s$

$$\gamma = \frac{1 + \mathbf{u}^z + s^2}{2s}, \quad u^z = \frac{1 + \mathbf{u}^z - s^2}{2s}, \quad \beta = \frac{\mathbf{u}}{\gamma}; \quad (5)$$

(5) hold also with  $\hat{\cdot}$ . If  $\hat{s}(\xi) \rightarrow 0$  as  $\xi \uparrow \xi_f < \infty$ , then  $\hat{\gamma}, \hat{u}^z, \hat{t} \rightarrow \infty$ . Replacing  $\gamma d/dt \mapsto cs d/d\xi$  and putting  $\hat{\cdot}$  on all variables makes Lorentz eqs *rational* in the unknowns  $\hat{\mathbf{u}}^\perp, \hat{s}$ . Moreover,  $\hat{s}$  is practically insensitive to fast oscillations of  $\epsilon^\perp(\xi)$  (see Fig. 2.b). Let  $\mathbf{x}_e(t, \mathbf{X}) \equiv$  position at time  $t$  of the  $e^-$  fluid element  $d^3X$  initially located at  $\mathbf{X} \equiv (X, Y, Z)$ ,  $\hat{\mathbf{x}}_e(\xi, \mathbf{X}) \equiv$  the same position as a function of  $\xi$ . We dub as ' $Z$  electrons' the  $e^-$ s in the layer  $[Z, Z + dZ]$  for  $t \leq 0$ . In the hydrodynamic regime (HR) the maps  $\mathbf{x}_e(t, \cdot): \mathbf{X} \mapsto \mathbf{x}$ ,  $\hat{\mathbf{x}}_e(\xi, \cdot): \mathbf{X} \mapsto \mathbf{x}$  are one-to-one for all  $t$ , resp.  $\xi$ . The inverses  $\mathbf{X}_e(t, \cdot), \hat{\mathbf{X}}_e(\xi, \cdot)$  fulfill

$$\mathbf{X}_e(t, \mathbf{x}) = \hat{\mathbf{X}}_e(ct - z, \mathbf{x}). \quad (6)$$

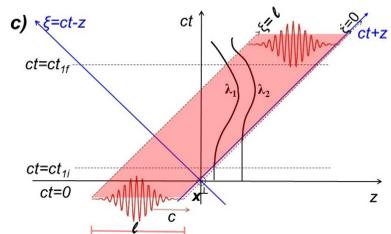


Figure 1: Two particle worldlines (WLs)  $\lambda_1, \lambda_2$  in Minkowski space; they intersect the support (pink) of a plane electromagnetic (EM) wave of total length  $l$  in the positive  $z$  direction. Since each WL intersects once every hyperplane  $\xi = \text{const}$  (beside every hyperplane  $t = \text{const}$ ), we can use  $\xi$  rather than  $t$  as a parameter along it. The front, end of the EM wave intersect different WLs at different  $t$ -instants ( $t_1 \neq t_2, t_1 \neq t_2$ ), but at the same  $\xi$ -instants  $\xi_0 = 0, \xi_f = l$ .

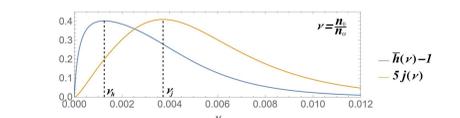


Figure 3:  $\hat{h}-1$  (energy gain per  $e^-$ ),  $j$ , vs. the density  $n_0$ .

$\Delta \mathbf{x}_e \equiv \mathbf{x}_e(t, \mathbf{X}) - \mathbf{X}$  actually depends only on  $t, Z$  [and  $\Delta \hat{\mathbf{x}}_e \equiv \hat{\mathbf{x}}_e(\xi, \mathbf{X}) - \mathbf{X}$  only on  $\xi, Z$ ] and by causality vanishes if  $ct \leq Z$ . We adopt the  $x, y$ -independent physical observable

$$\mathbf{A}^\perp(t, z) \equiv -c \int_{-\infty}^t dt' \mathbf{E}^\perp(t', z); \quad (7)$$

as the transverse component of the EM potential:  $c\mathbf{E}^\perp = -\partial_t \mathbf{A}^\perp$ ,  $\mathbf{B} = \mathbf{k} \wedge \partial_z \mathbf{A}^\perp$ . As usual, (Lorentz eq.) $^\perp$  and  $\mathbf{p}_e^\perp(0, \mathbf{x}) = \mathbf{0} = \alpha^\perp(-z)$  if  $z \geq 0$  imply

$$\mathbf{p}_e^\perp = \frac{e}{c} \mathbf{A}^\perp \quad \text{i.e.} \quad \mathbf{u}_e^\perp = \frac{e}{m c^2} \mathbf{A}^\perp, \quad (8)$$

for the Eulerian  $e^-$  momentum  $\mathbf{p}_e$ , allowing to trade  $\mathbf{u}_e^\perp$  for  $\mathbf{A}^\perp$  as an unknown. By (3), for  $t \leq 0$

$$\mathbf{A}^\perp(t, z) = \alpha^\perp(ct - z), \quad \alpha^\perp(\xi) \equiv \int_{-\infty}^{\xi} d\eta \epsilon^\perp(\eta); \quad (9)$$

(9) approximately holds also for small  $t > 0$ . The conservation  $n_e dz = \tilde{n}_0 dZ$  of number of  $e^-$  gives:

$$n_e(t, z) = \tilde{n}_0[Z_e(t, z)] \partial_z Z_e(t, z). \quad (10)$$

Maxwell eqs  $\nabla \cdot \mathbf{E} - 4\pi j^0 = \partial_z E^z - 4\pi e(n_p - n_e) = 0$ ,  $\partial_t E^z / c + 4\pi j^z = (\nabla \wedge \mathbf{B})^z = 0$  & in. cond. imply [5]

$$E^z(t, z) = 4\pi e \{ \tilde{N}(z) - \tilde{N}[Z_e(t, z)] \}, \quad (11)$$

$\mathbf{j} = -en_e \beta_e$ ,  $\tilde{N}(z) \equiv \int_0^z d\eta \tilde{n}_0(\eta)$ . Via (10-11) we express  $n_e, E^z$  through  $\tilde{n}_0$  and the still unknown  $Z_e(t, z)$ . (5c) amounts to  $\hat{\mathbf{x}}_e^\perp = \hat{\mathbf{u}}_e^\perp / \hat{s}$ , which integrated yields  $\hat{\mathbf{x}}_e^\perp$  in terms of  $\hat{s} \equiv \hat{s}_e$ . The remaining unknowns  $\hat{\Delta}(\xi, Z) \equiv \hat{z}_e(\xi, Z) - Z$ ,  $\hat{s}$  satisfy

$$\hat{\Delta}' = \frac{1+v}{2\hat{s}^2} - \frac{1}{2}, \quad \hat{s}' = K \{ \tilde{N}[Z + \hat{\Delta}] - \tilde{N}(Z) \}, \quad (12)$$

$$\hat{\Delta}(0, Z) = 0, \quad \hat{s}(0, Z) = 1,$$

$$v \equiv \hat{\mathbf{u}}_e^{\perp 2} = \left[ \frac{e \mathbf{A}^\perp}{m c^2} \right]^2 = \left[ \frac{e \alpha^\perp}{m c^2} \right]^2, \quad K \equiv \frac{4\pi e^2}{m c^2}$$

Eq. (12a) are a  $Z$ -family of *decoupled ODEs*, Hamilton eqs  $\hat{\Delta}' = -\partial \hat{H} / \partial \hat{s}$ ,  $\hat{s}' = \partial \hat{H} / \partial \hat{\Delta}$  of a 1-dim system:  $\xi, \hat{\Delta}, -\hat{s}$  play the role of  $t, q, p$ ,

$$\hat{H}(\hat{\Delta}, \hat{s}, \xi; Z) \equiv \gamma(\hat{s}; \xi) + \mathcal{U}(\hat{\Delta}; Z), \quad (13)$$

$$\gamma(s; \xi) \equiv \frac{s^2 + 1 + v(\xi)}{2s}, \quad \mathcal{U}(\hat{\Delta}; Z) \equiv \int_z^{z+\hat{\Delta}} d\zeta \tilde{N}(\zeta) - \tilde{N}(z)\hat{\Delta};$$

$\gamma-1, \mathcal{U}$  act as kinetic, potential energy ( $m c^2$  units). We can easily solve (12) in the unknown  $\hat{P} \equiv (\hat{\Delta}, \hat{s})$  numerically, or by quadrature for  $\xi \geq l$ .

## Hydrodynamic regime up to WB

The HR holds as long as, for all  $Z$ ,

$$\hat{J} \equiv \left| \frac{\partial \hat{\mathbf{x}}_e}{\partial \mathbf{X}} \right| = \frac{\partial \hat{z}_e}{\partial Z} > 0. \quad (14)$$

The identity  $\hat{z}_e[\xi + i\xi_H(Z), Z] = \hat{z}_e(\xi, Z)$  holds for  $i \in \mathbb{N}$ ,  $\xi > l$ ; differentiating w.r.t.  $Z$  one finds [3]

$$\hat{J}(\xi + i\xi_H, Z) = \hat{J}(\xi, Z) - i \Phi(Z) \Delta'(\xi, Z); \quad (15)$$

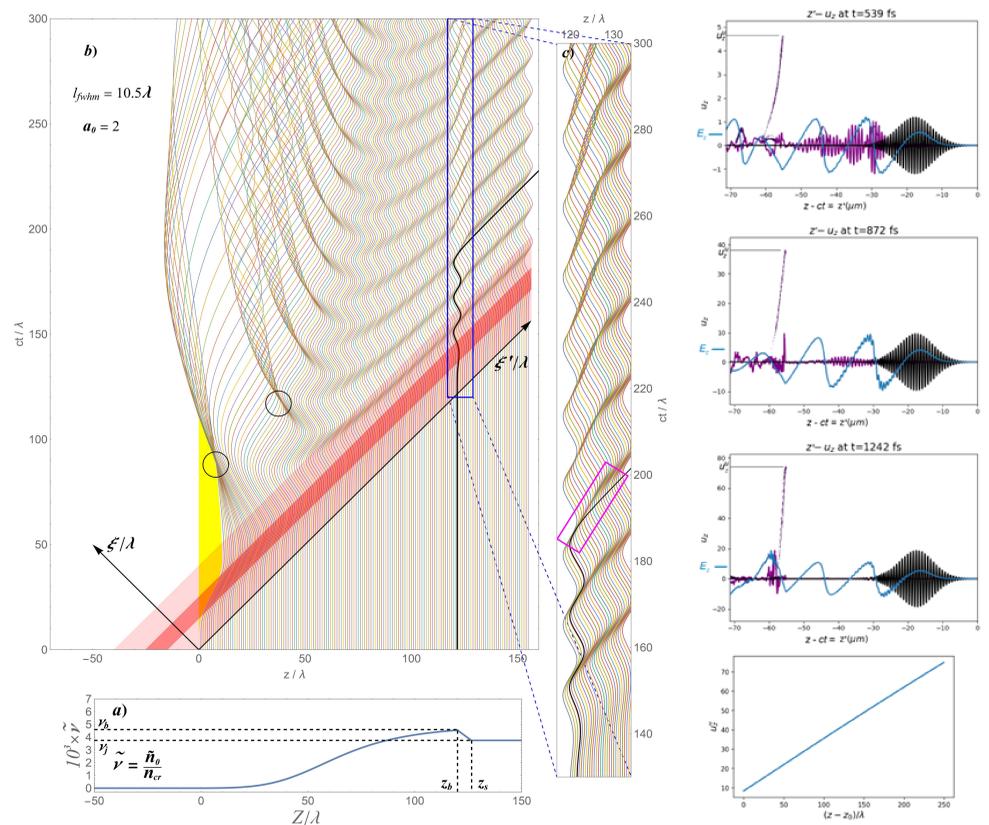


Figure 4: **Left:** a) Optimal initial plasma density  $\tilde{n}_0(Z)$  for the pulse of Fig. 2.a:  $n = n_0^j = n_{cr} / 267$ ,  $n_b = 1.21 \times n_0^j$ ,  $z_b = 120\lambda$ ,  $z_s - z_b = 6.6\lambda$ . b) Projections onto the  $z, ct$  plane of the corresponding WLs (in Minkowski space) of the  $Z$  electrons for  $Z = 0, \lambda, \dots, 156\lambda$ . We have studied the down-ramp  $Z$  electrons more in detail, determining their WLs for  $Z = 120\lambda, 120.1\lambda, \dots, 140\lambda$ : in c) we zoom the blue box of a). Here:  $\xi' \equiv ct + z$ ; in the dark yellow region only ions are present; we have painted pink, red the support of  $\epsilon^\perp(\xi) = 0$  outside  $0 < \xi < 40\lambda$  and the region where the modulating intensity is above half maximum, i.e.  $-l'/2 < \xi - 20\lambda < l'/2$ , with  $l' = 10.5\lambda$ . **Right:** Three longitudinal phase-space plots  $z - u^z$  (of injected  $e^-$ s) obtained via a FB-PIC simulation (courtesy of P. Tomassini);  $u_z^M \equiv$  maximal  $u^z$ . Bottom: plot  $u_z^M \approx \gamma_i^M$  vs.  $z_i$  is linear with growth rate  $F \approx 0.27$ ; agrees well with our prediction (19-20), where  $F = 0.286!$

the period  $\xi_H(Z)$  of the  $Z e^-$  is computed by quadrature,  $\Phi \equiv \frac{\partial \xi_H}{\partial Z}$ . Via (15) we can extend our knowledge of  $\hat{J}$  from  $[l, l + \xi_H]$  to all  $\xi \geq l$  and determine the first WB [3].

## WFA of (self-)injected electrons

If a test  $e^-$  is injected with  $(\hat{z}_i, \hat{s}_i)_{\xi=\xi_0} = (z_{i0}, s_{i0})$ ,  $\xi_0 > l$ ,  $s_{i0} > 0$ ,  $\hat{\mathbf{u}}_i^\perp(\xi_0) = 0$ , its  $\hat{z}_i, \hat{s}_i$  evolve after

$$\hat{z}_i' = \frac{1 - \hat{s}_i^2}{2\hat{s}_i^2}, \quad \hat{s}_i'(\xi) = K \{ \tilde{N}[\hat{z}_i(\xi)] - \tilde{N}[\hat{Z}_e(\xi, \hat{z}_i(\xi))] \}. \quad (16)$$

Along the plateau (16b) is  $\hat{s}_i' = M \Delta$ . Hence

$$\hat{s}_i(\xi) = \delta s + s(\xi), \quad \hat{z}_i(\xi) = z_{i0} + \int_{\xi_0}^{\xi} dy \left[ \frac{1}{\hat{s}_i^2(y)} - 1 \right], \quad (17)$$

if  $z_{i0} \geq z_q \equiv z_s + \Delta_M(n_0)$ . Here  $s = \hat{s}$  when  $\tilde{n}_0(z) = n_0$ , and  $\delta s \equiv s_{i0} - s(\xi_0)$ . If the **trapping condition**  $s_i^m \equiv s_m + \delta s < 0$  is fulfilled, then  $\exists \xi_f > \xi_0$  such that  $\hat{s}_i(\xi_f) = 0$ ,  $\hat{s}'(\xi_f) < 0$ ,  $\hat{t}(\xi_f) = \infty$ ; the  $e^-$  is **trapped in a trough of the PW and accelerated**: for  $\xi \approx \xi_f$  we have  $\hat{s}_i(\xi) \approx |s'(\xi_f)| (\xi_f - \xi) = M |\Delta(\xi_f)| (\xi_f - \xi)$ ,

$$\hat{z}_i(\xi) \approx \frac{1}{2 [M \Delta(\xi_f)]^2} \frac{\xi \rightarrow \xi_f}{(\xi_f - \xi)} \rightarrow \infty. \quad (18)$$

Solving (18) for  $\xi_f - \xi$  we express  $\hat{s}_i, \hat{\gamma}_i$  vs.  $z_i$ :

$$\gamma_i = \frac{1}{2s_i} + \frac{s_i}{2} \approx F \frac{z_i}{\lambda} \xrightarrow{z_i \rightarrow \infty} \infty, \quad (19)$$

$F \equiv M \lambda |\Delta(\xi_f)|$ . In this model the PW phase velocity is  $c$ , trapped test  $e^-$  cannot dephase, **their energy grows  $\propto$  travelled distance**. (19) is reliable where pulse depletion is negligible,  $0 \leq z_i \leq z_{pd}$ . Fixed  $z_i, n_0$ , if  $\xi_0, z_0, s_0$  lead to  $\delta s = -1$ , then  $|\Delta(\xi_f)| = |\Delta_m|$ , and  $\gamma_i$  is maximized:

$$\gamma_i(z_i, n_0) \approx \sqrt{j(\nu)} z_i / \lambda; \quad (20)$$

here  $j(\nu) \equiv 8\pi^2 \nu [\bar{h}(\nu) - 1]$ , and  $\bar{h}(\nu)$  is the final  $e^-$  energy transferred by the pulse if  $\tilde{n}_0(z) = n_0$ , vs.  $\nu \equiv n_0 / n_{cr}$ . Our 4-steps optimization procedure:

**Step A: Computing  $\bar{\xi}_H(\nu), \bar{h}(\nu), j(\nu)$  for the given pulse.** Done in few seconds using *Mathematica*. In Fig. 3 we plot  $\bar{h}(\nu), j(\nu)$  and their maxima  $\nu_h, \nu_j$  for the pulse of Fig. 2.a.

**Step B: Optimal choice for the plateau density  $n_0$ .** If the plasma longitudinal thickness available for WFA is  $z_i \leq z_{pd}(\nu_j)$ , choose  $\nu = \nu_j$ :

$$\gamma_i^M(z_i) \approx \sqrt{j(\nu_j)} z_i / \lambda. \quad (21)$$

**Step C: Optimal linear down-ramp for self-injection, LWFA.** For all  $Z$ , all  $Z e^-$  co-move. We stick to linear downramps

$$\tilde{n}_0(z) = n_0 + \Upsilon(z - z_s), \quad z_b \leq z \leq z_s, \quad (22)$$

$\Upsilon = \frac{n_0 - n_b}{z_s - z_b} < 0$ . Let  $(\xi_{br}, Z_{br})$  be the pair  $(\xi, Z)$  with  $Z \in [z_b, z_s]$  and the smallest  $\xi$  such that  $\hat{J}(\xi, Z) = 0$ . For  $\xi > \xi_{br}$  a bunch of  $Z \sim Z_{br}$  electron layers start breaking the PW locally.  $\hat{P}(\xi, Z_{br})$  fulfills (16). The  $Z_{br}$  layer earliest crosses other ones; at each  $\xi > \xi_{br}$  it overshoots a new layer that up to  $\xi$  has evolved via (12a) and contributed to the PW. It does for ever, helped by their mutual repulsive forces; hence the  $Z_{br}$  are the fastest electrons injected and trapped in a trough of the PW by the first WB. Fixed  $z_{i0} \geq z_q$ , let  $\xi_0 > \xi_{br}$  be the 'instant' when  $\hat{z}_e(\xi_0, Z_{br}) = z_{i0}$ . For  $\xi \geq \xi_0$   $(\hat{z}_i, \hat{s}_i) \equiv (\hat{z}_e(\cdot, Z_{br}), \hat{s}_e(\cdot, Z_{br}))$  is given by (17) and has  $s_i^m < 0$ . We determine parameters  $\Upsilon, z_b$  requiring that:  $P(\xi_0)$  is in the upper part of the cycle of Fig. 2.c, i.e. at  $\xi = \xi_0$  the  $Z_{br}$  layer crosses plateau ones having negative velocity  $\Delta'$ ;  $\delta s$  as close as possible to -1, so that (20) applies. **Step D: choose an up-ramp** growing from 0 to  $n_b$  in a short interval  $0 \leq z \leq z_b$  and preventing WB at  $\xi < \xi_{br}$ ; that  $\tilde{n}_0(z) \approx O(z^2)$  helps [3,4].

Applying our optimization procedure to the pulse of Fig. 2.a we obtain density and results of Fig. 4. If  $\lambda = 0.8\mu\text{m}$ ,  $F = 0.28$  leads to the remarkable energy gain  $0.35 m c^2 \approx 0.1785 \text{MeV per } \mu\text{m}$ .

If the pulse is cylindrically symmetric around  $\vec{z}$  with waist  $R$ , by causality our results hold strictly in the causal cone (of axis  $\vec{z}$ , radius  $R$ ) trailing the pulse, approximately in a neighbourhood thereof.

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## References

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