# Above Ground Biomass Estimation Using L-band SAR Measurements at different polarizations for DESDynI Mission

Supervisors: Paul Rosen Sassan Saatchi



Federica Polverari Sapienza University of Rome-Italy









- Overview of DESDynI Mission
- Objective and Methods
- Forward Model (FM)
  - > Results for the FM
  - Sigma0 sensitivity to dielectric constant
  - > Sigma0 sensitivity to roughness
- Biomass Estimation: FM Inversion
- Final Goal
- Conclusions

[Donnellan, A.; Rosen, P.; Ranson, J.; Zebker, H., "Deformation, Ecosystem Structure, and Dynamics of Ice (DESDynI)," Geoscience and Remote Sensing Symposium, 2008. IGARSS 2008. IEEE International, vol.3, no., pp.III - 5, III - 8, 7-11 July 2008]

Synthetic Aperture Radar

satellite mission with the aim to study land surface and climate changes.

### Instruments

Institute of Technolog

L-band Synthetic Aperture Radar (SAR) with multiple polarizations able to operate as an interferometric SAR Multi-beam Light Detection and Ranging (Lidar)

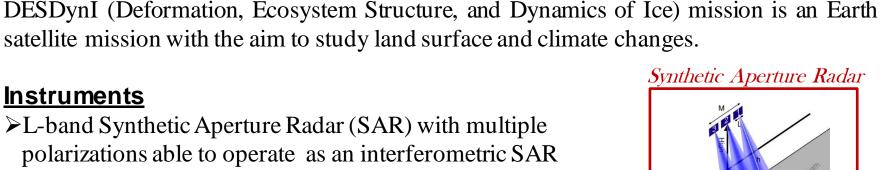
## **Objectives**

- 1. Determine the likelihood of earthquakes, volcanic eruptions, and landslides
- 2. Predict the response of ice masses to climate change and impact on sea level
- 3. Ecosystem objectives
  - Characterize global distribution of aboveground vegetation biomass
  - >Quantify changes in terrestrial sources and sinks of carbon
  - Characterize habitat structure for biodiversity

#### assessments

To achieve these goals, biomass and vegetation structure estimates will be used







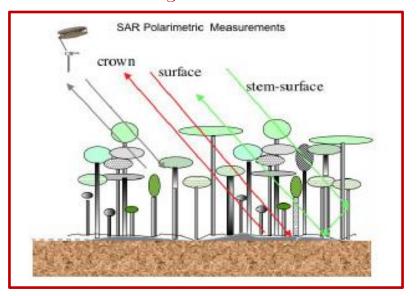


3





Implementation of a code able to estimate the **Above Ground Biomass** (AGB) from the backscattering coefficients ( $\sigma^{\circ}$ ) obtained by biomass scattering mechanism of the transmitted radiation. Such radiation is related to an L-band SAR at different polarizations, HH, HV and VV (H-Horizontal; V-Vertical) in order to respect the DESDynI mission requirements. *Scattering from Biomass* 



The work has been carried out as follow:

- 1) Implementation of the forward model and study of the results
- 1.1) Test for the surface scattering model: Oh Model [Oh et all, 1992] (results not shown in this presentation)
- 2) Inversion of the forward model using the Levenberg-Marquardt algorithm





The complex behavior of the scattering from biomass is semplified considering three different components:

- Volume scattering
- Double-bounce scattering;
- Surface scattering

Such components determine the radar backscattering coefficients for the three polarizations HH, HV and VV:

$$\sigma_{HH}^{0} = A_{HH}W^{\alpha_{HH}}\cos\theta \left(1 - e^{-B_{HH}W^{\beta_{HH}/\cos\theta}}\right) + C_{HH}\Gamma_{HH}W^{\delta_{HH}}\sin\theta e^{-B_{HH}W^{\beta_{HH}/\cos\theta}} + S_{HH}e^{-B_{HH}W^{\beta_{HH}/\cos\theta}}$$
$$\sigma_{HV}^{0} = A_{HV}W^{\alpha_{HV}}\cos\theta \left(1 - e^{-B_{HV}W^{\beta_{HV}/\cos\theta}}\right) + C_{HV}\Gamma_{HV}W^{\delta_{HV}}\sin\theta e^{-B_{HV}W^{\beta_{HV}/\cos\theta}} + S_{HV}e^{-B_{HV}W^{\beta_{HV}/\cos\theta}}$$

$$\sigma_{VV}^{0} = A_{VV} W^{\alpha_{VV}} cos\theta \left(1 - e^{-B_{VV} W^{\beta_{VV}}/cos\theta}\right) + C_{VV} \Gamma_{VV} W^{\delta_{VV}} sin\theta e^{-B_{VV} W^{\beta_{VV}}/cos\theta} + S_{VV} e^{-B_{VV} W^{\beta_{VV}}/cos\theta}$$

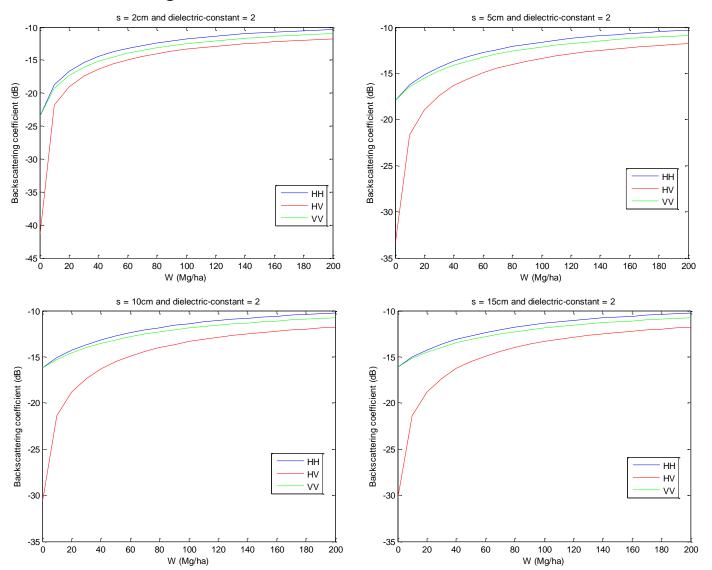
Where:

 $\begin{aligned} A_{pq} B_{pq} C_{pq} & \text{are calibration factors} \\ a_{pq} b_{pq} d_{pq} & \text{are structural parameters} \\ \Gamma_{pq} = r_g |R_p R_q^*| \exp(-4k^2 s^2 \cos^2 \theta) & \begin{cases} s \text{ is the rms of height-takes into account the roughness} \\ R \text{ are the Fresnel reflection coefficients of the ground} \end{cases} \end{aligned}$ 

 $S_{\rm HH}\,S_{\rm VV}\,S_{\rm HV}$  are the backscattering coefficients of bare soil surface

Parameters: frequency = 1.25GHzincidence angle  $\theta = 35^{\circ}$  Behavior of the backscattering coefficient over the biomass (W) for dry soil and different values of roughness

**Results for the Forward Model (1/2)** 

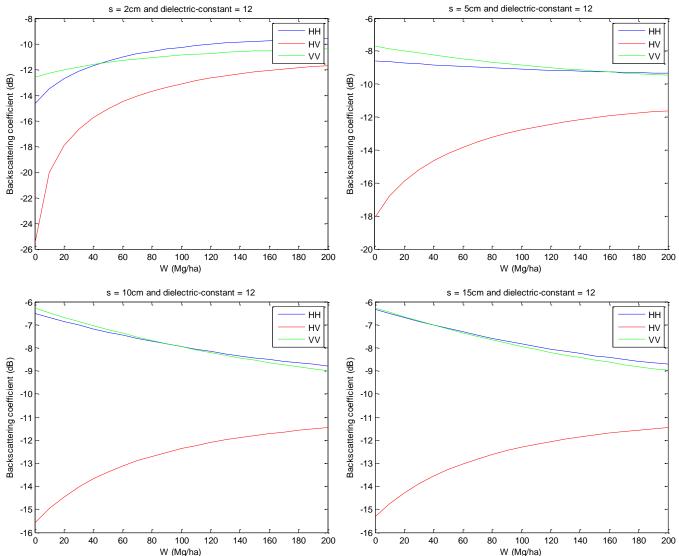


Jet Propulsion Laboratory California Institute of Technology

> Sigma naught increases when the biomass increases. For the HV polarization it has always lower values

Behavior of the backscattering coefficient over the biomass for wet soil and different values of roughness

**Results for the Forward Model (2/2)** 



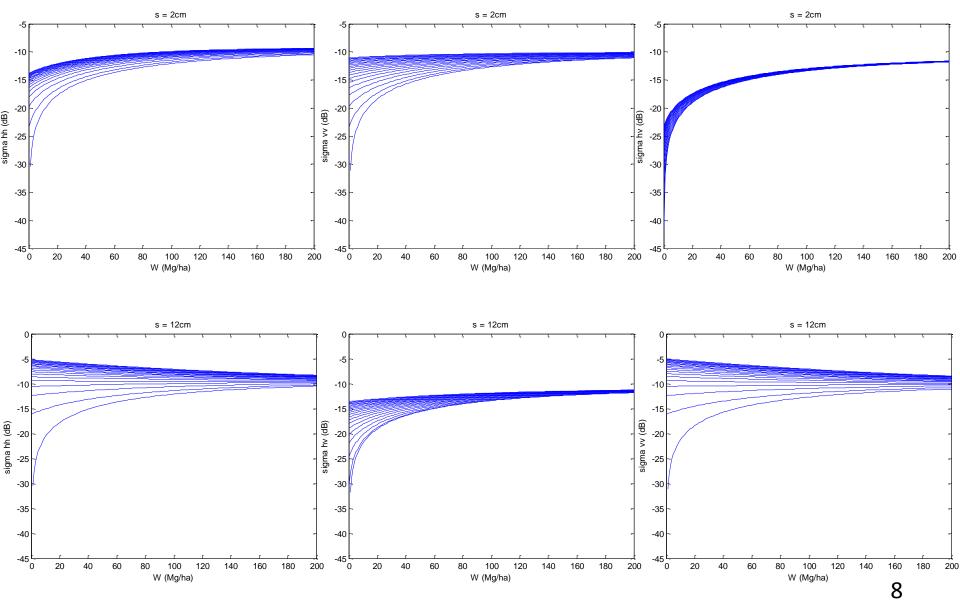
California Institute of Technology

For high values of dielectric constant, the effect of the surface scattering increases, thus the sigma naught increases for low values of biomass especially for rough surface.

#### Fixed roughness - Dielectric constant values from 1 up to 20

Sigma0 sensitivity to dielectric constant

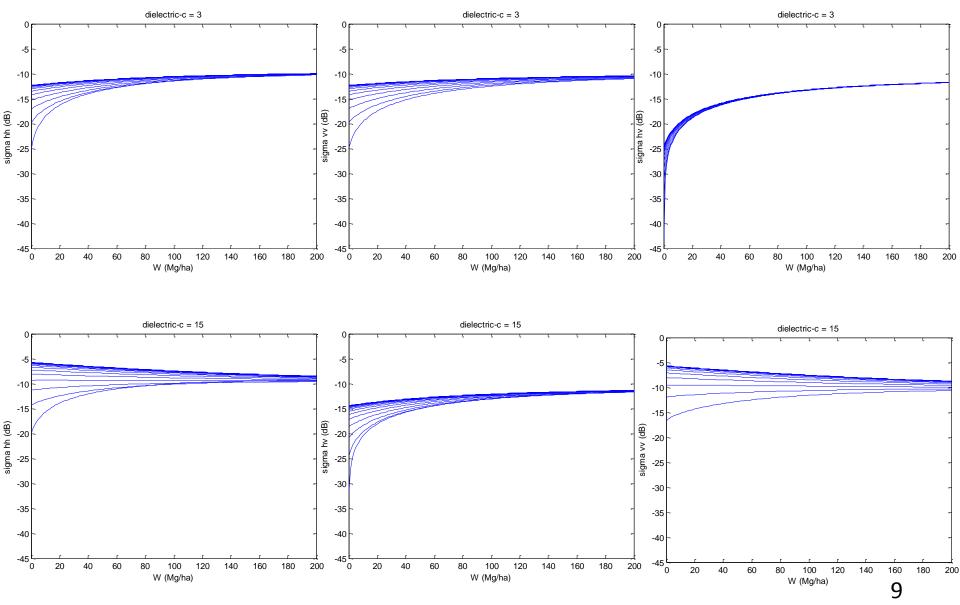
Jet Propulsion Laboratory California Institute of Technology UNIVERSITÀ





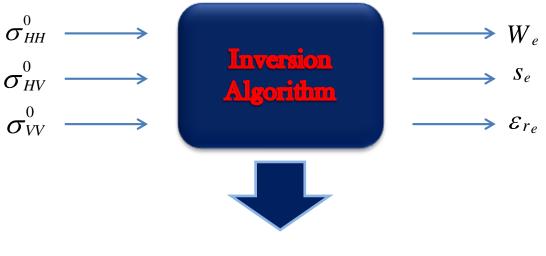


#### Fixed dielectric constant - s values from 1cm up to 20cm





In order to obtain the biomass estimation  $W_e$  (as well as roughness estimation  $S_e$  and dielectric constant estimation  $\mathcal{E}_{r_e}$ ) from remote sensing data, the inversion of the forward model has to be carried out



Levenberg-Marquardt Algorithm

$$\sum_{i} \left[ \sigma_{meas_{i}}^{0} - \sigma_{FM_{i}}^{0} (W^{*}, s^{*}, \varepsilon_{r}^{*}) \right]^{2} \Longrightarrow \min$$

Necessity of Initial Conditions

**JPL** Biomass Estimation: FM Inversion (2/2)



#### First step:

lifornia Institute of Technology

Implementation of the Inversion Algorithm using a dataset previously created

**Results**: The results show that the selection of the right initial conditions is necessary to find the correct estimates of the geophysical quantities

The scatterplot of the biomass

agreement between the measured

is

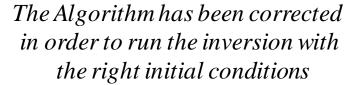
a good

that there

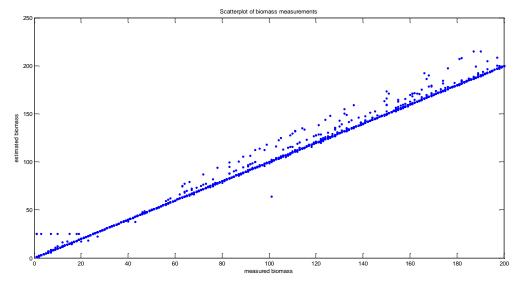
and estimated values

shows

#### Second step:



### **Results:**

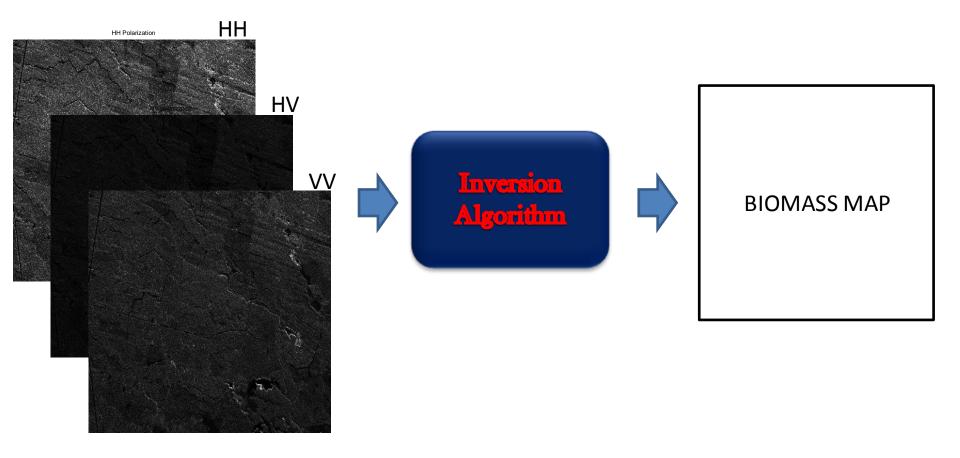


Third step: Make the algorithm not dependent on the accuracy of the initial conditions



**Final Goal** 





The code has to be able to take an image as an input and give the biomass map of that area as output taking into account the effects of the noise over each image pixel





- 1) In order to estimate the above ground biomass using L-band polarimetric SAR data, the Forward Model of the biomass scattering mechanism has been implemented;
- 2) The Forward Model has been used in order to develop the inversion algorithm, based on Levenberg-Marquardt method, necessary to do the estimation. After its correction, the algorithm shows a good agreement between estimated and measured biomass values;
- 3) Now we are working on make the algorithm not dependent on initial conditions;
- 4) The final step will be to adjust the algorithm in order to make it able to estimate biomass from SAR images considering also the effects of noise.





A special thanks goes to:

ASI (Italian Space Agency), ISSNAF (Italian Scientists and Scholars of North America Foundation) and CAIF (Cultural Association of Italians at Fermilab) for giving me the possibility to carry out a training period to JPL, for their support and for organizing very carefully my internship;

Dr. Giorgio Bellettini and Dr. Simone Donati for their guidance, their support and their helpful suggestions during all this period;

James Smith for his availability and for giving me the possibility to join with his group at JPL;

Dr. Paul Rosen and Dr. Sassan Saatchi for giving me the possibility to work with them, sharing their knowledge and helping me to learn new interesting things in a different field of study;

JPL for this experience and for helping me to extend my stay here giving me the chance to improve my work and my knowledge.