

Factorization Restoration Through Glauber Gluons

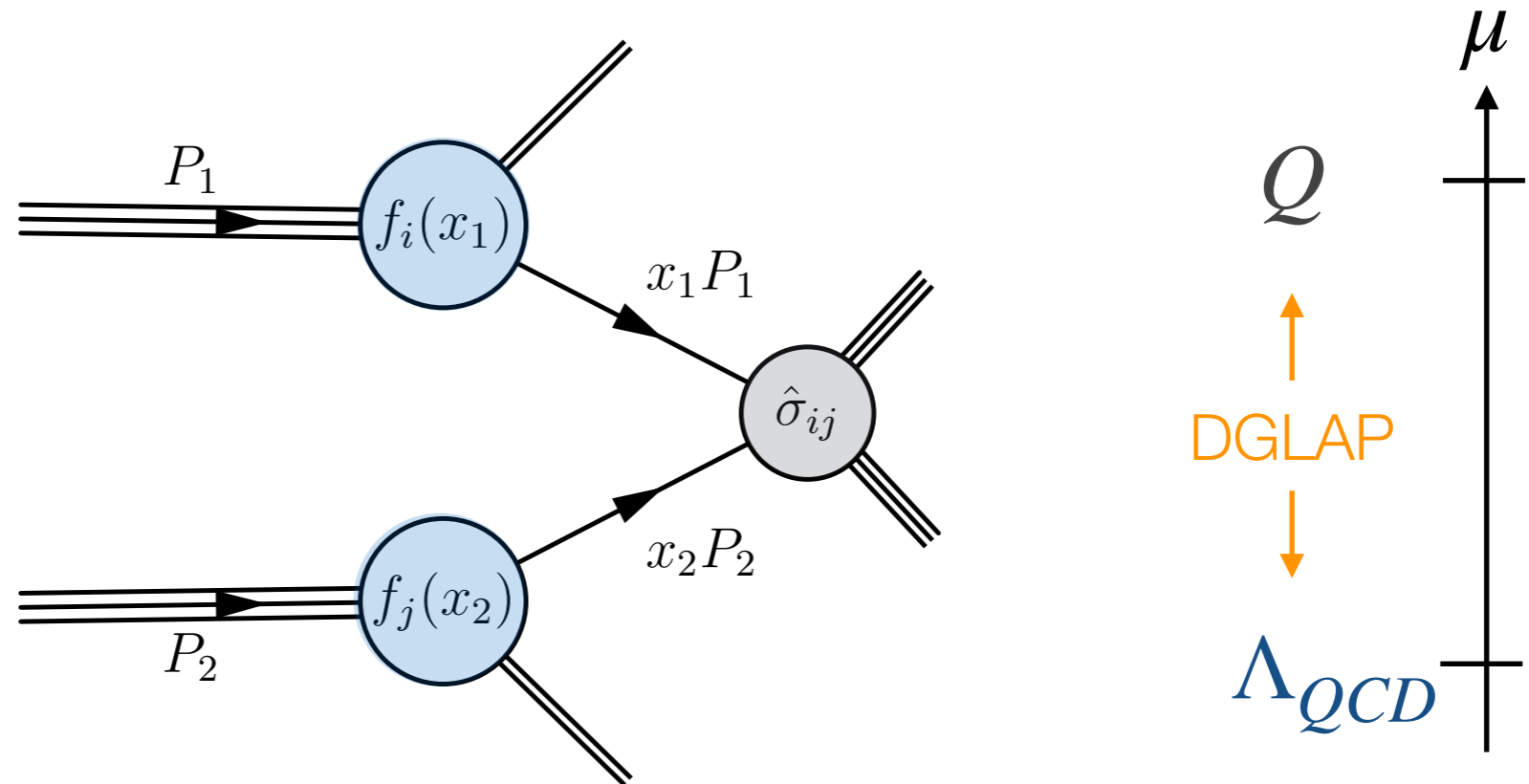
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2408.10308 with Patrick Hager, Sebastian Jaskiewicz,
Matthias Neubert, and Dominik Schwienbacher

High Precision for Hard Processes (HP² 2024)
September 10-13 2024, University of Turin and INFN

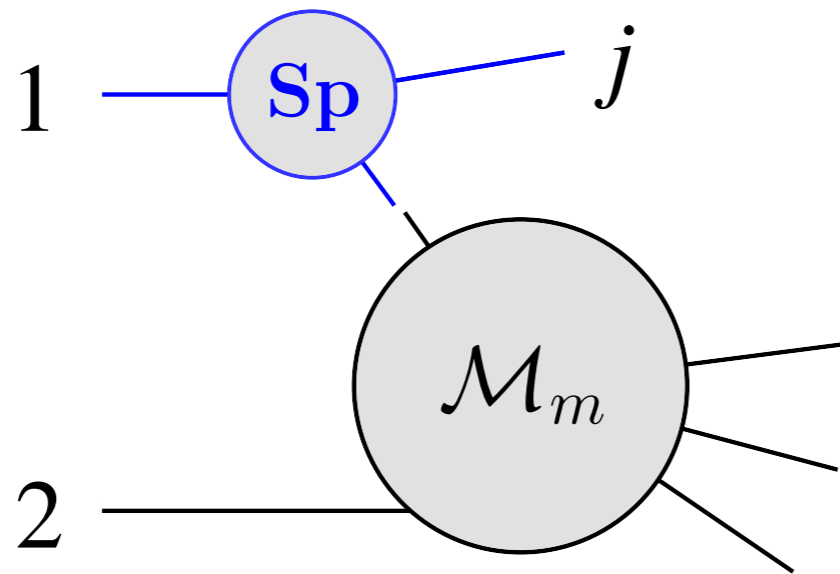
PDF Factorization



- **Scale separation**
 - perturbative hard-scattering $\hat{\sigma}_{ij}$ at scale Q
 - non-perturbative PDFs $f_i(x)$ at scale Λ_{QCD}
- **No low-energy interactions** between incoming hadrons
 - cancellation of soft and Glauber physics **CSS '85** (for DY)
- Purely collinear, **single logarithmic DGLAP evolution**

Collinear Factorization Violation

Catani, de Florian, Rodrigo '11; Forshaw, Seymour, Siodmok '12;
→ talk by Prasanna Kumar Dhani on Friday



New results for \mathbf{Sp}
Henn, Ma, Xu, Yan, Zhang, Zhu '24
Guan, Herzog, Ma, Mistlberger,
Suresh '24

For space-like collinear limit $1 \parallel j$ the **splitting amplitude \mathbf{Sp}** depends on the colors and directions of the partons not involved in the splitting!

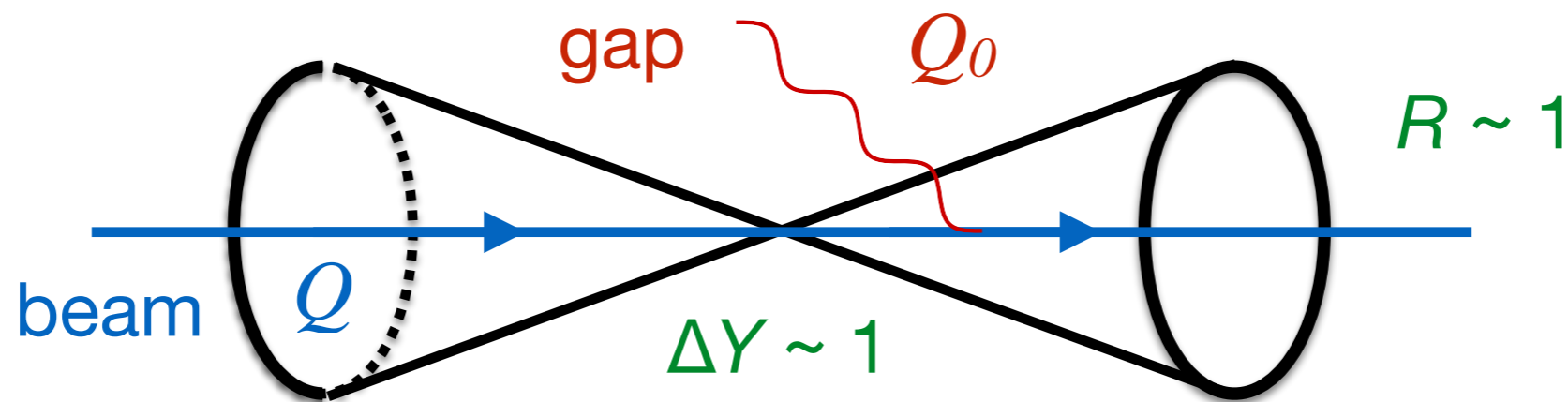
- Related to non-cancellation of soft phases

Implications for PDF factorization?

Super-Leading Logs (SLLs)

Forshaw, Kyrieleis, Seymour '06 '08

Consider **gap between jets** at hadron collider, cone around beam direction



Large logarithms $\alpha_s^n L^m$ with $L = \ln(Q/Q_0)$

- e^+e^- : $m \leq n$, leading logs $m = n$
- pp : $\alpha_s L, \alpha_s^2 L^2, \alpha_s^3 L^3, \alpha_s^4 L^5 \dots, \alpha_s^{3+n} L^{3+2n}$

Super-Leading Logs (SLLs)

Forshaw, Kyrieleis, Seymour '06 '08

Double logarithms due to Glauber phases in amplitudes which spoil cancellations of soft+collinear terms in cross section

- directly related to collinear factorization breaking

Effect first arises at four-loop order; need

- two phase-factors, a collinear emission, and an emission into gap

Soft+collinear double logarithms
vs. single-log evolution of PDFs?

Have **modern EFT framework** to analyze and resum observables with SLLs. TB, Neubert, Shao '21; + Stillger '23; Böer, Hager, Neubert, Stillger, Xu '24 → talk by Philipp Böer

Questions about PDF factorization on previous slides can be formulated concisely and answered using the **RG and the method of regions**.

Will present an analysis of 4-loop SLLs and demonstrate that **Glauber gluon exchanges** at the scale Q_0 restore the single-logarithmic evolution relevant for PDFs TB, Hager, Jaskiewicz, Neubert, Schwienbacher 2408.10308

Upshot of the talk

Collinear factorization
breaking at $\mu = Q$

X

soft-collinear factorization
breaking by Glauber modes
at $\mu = Q_0$

=

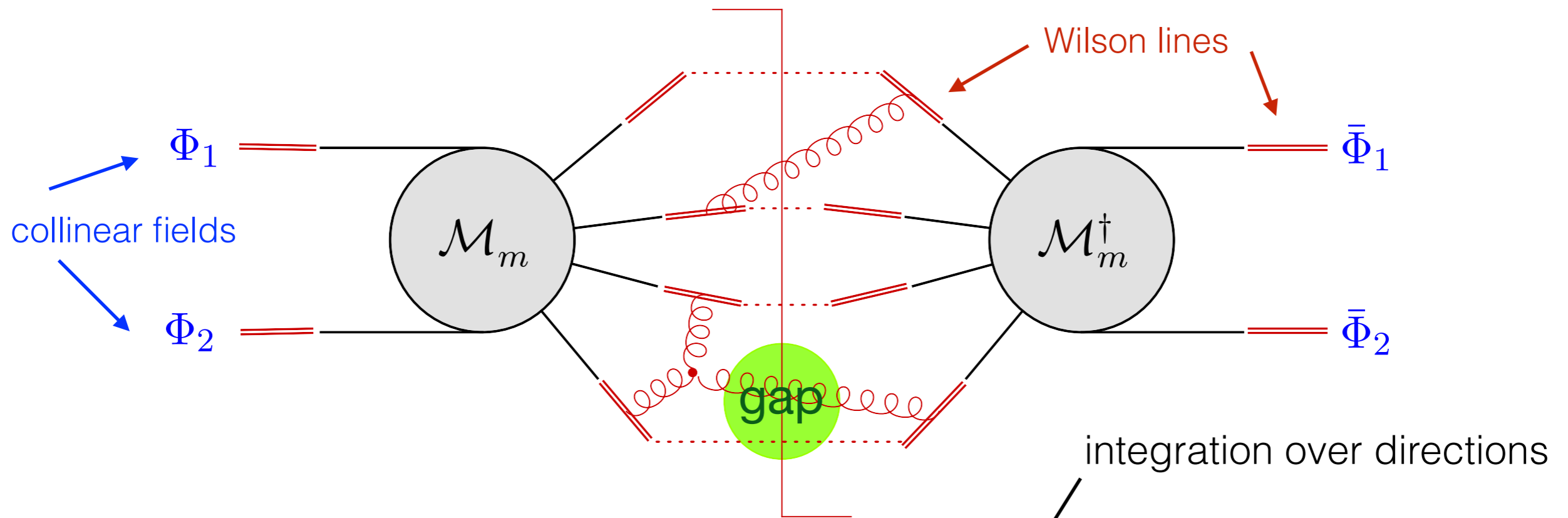
PDF factorization
for $\mu < Q_0$

“factorization restoration”

Outline

- EFT framework for SLLs
 - Factorization theorem
 - SLLs from RG evolution
- Low-energy analysis
 - Renormalization consistency conditions
- Region analysis of pentagon integrals
 - Glauber contribution to low- E matrix elements
- Consistency with PDF factorization

Factorization for gaps between jets



$$\sigma(Q_0) = \sum_{m=m_0}^{\infty} \int d\xi_1 d\xi_2 \langle \mathcal{H}_m(\{\underline{n}\}, Q, \xi_1, \xi_2, \mu) \otimes \mathcal{W}_m(\{\underline{n}\}, Q_0, \xi_1, \xi_2, \mu) \rangle$$

Hard functions
 m hard partons along
 fixed directions $\{n_1, \dots, n_m\}$
 $\mathcal{H}_m \propto |\mathcal{M}_m\rangle \langle \mathcal{M}_m|$

Soft + collinear function
 squared amplitude
 for m Wilson lines
 + collinear fields

RG evolution

Renormalized hard functions fulfill RG equation

$$\frac{d}{d \ln \mu} \mathcal{H}_m = - \sum_{l=m_0}^m \mathcal{H}_l \mathbf{\Gamma}_{lm}^H$$

matrix in multiplicity and color space

One-loop hard anomalous dimension:

$$\mathbf{\Gamma}^H = \gamma_{\text{cusp}}(\alpha_s) \left(\mathbf{\Gamma}^c \ln \frac{\mu^2}{Q^2} + \mathbf{V}^G \right) + \frac{\alpha_s}{4\pi} \bar{\mathbf{\Gamma}} + \mathbf{\Gamma}^C$$

cusp-piece
soft+collinear
purely soft

↑
generates SLLs
α iπ
Glauber
↑
generates NGLs
→ talk by Jürg Haag
purely
collinear

SLLs from RG evolution

Evolve hard function from $\mu_h \sim Q$ to $\mu_s \sim Q_0$

$$\begin{aligned}
 U(\mu_h, \mu_s) &= \mathbf{P} \exp \left[\int_{\mu_s}^{\mu_h} \frac{d\mu}{\mu} \mathbf{\Gamma}^H(\mu) \right] \\
 &= \mathbf{1} + \int_{\mu_s}^{\mu_h} \frac{d\mu_1}{\mu_1} \mathbf{\Gamma}^H(\mu_1) + \int_{\mu_s}^{\mu_h} \frac{d\mu_1}{\mu_1} \int_{\mu_1}^{\mu_h} \frac{d\mu_2}{\mu_2} \mathbf{\Gamma}^H(\mu_2) \mathbf{\Gamma}^H(\mu_1) + \dots
 \end{aligned}$$

Resummed cross section

$$\sigma(Q_0) = \sum_{m,l=m_0}^{\infty} \int d\xi_1 d\xi_2 \langle \mathcal{H}_m(Q, \mu_h) \mathbf{U}_{ml}(\mu_h, \mu_s) \otimes \mathcal{W}_l(Q_0, \mu_s) \rangle$$

- Independence of $\sigma(Q_0)$ from μ_s leads to consistency conditions for $\mathcal{W}_l(\mu_s)$

Leading SLLs

Anomalous dimension fulfills simple identities

$$[\mathbf{\Gamma}^c, \bar{\mathbf{\Gamma}}] = 0, \quad \langle \dots \mathbf{\Gamma}^c \otimes \mathbf{1} \rangle = 0, \quad \langle \dots \mathbf{V}^G \otimes \mathbf{1} \rangle = 0.$$

Only very specific combinations contribute to leading SLLs. At four loops

$$C_{01} = \langle \mathcal{H}_{m_0}^{(0)} \mathbf{V}^G \mathbf{\Gamma}^c \mathbf{V}^G \bar{\mathbf{\Gamma}} \otimes \mathbf{1} \rangle$$

$$C_{11} = \langle \mathcal{H}_{m_0}^{(0)} \mathbf{\Gamma}^c \mathbf{V}^G \mathbf{V}^G \bar{\mathbf{\Gamma}} \otimes \mathbf{1} \rangle$$

Born-level \mathcal{H}

$$\mathcal{W}_m^{(0)} \propto \mathbf{1}$$

RG invariance imposes a constraint on the form of the bare low-E matrix element $\mathcal{W}_m(\mu_s) = \mathbf{Z} \mathcal{W}_m^{\text{bare}}$

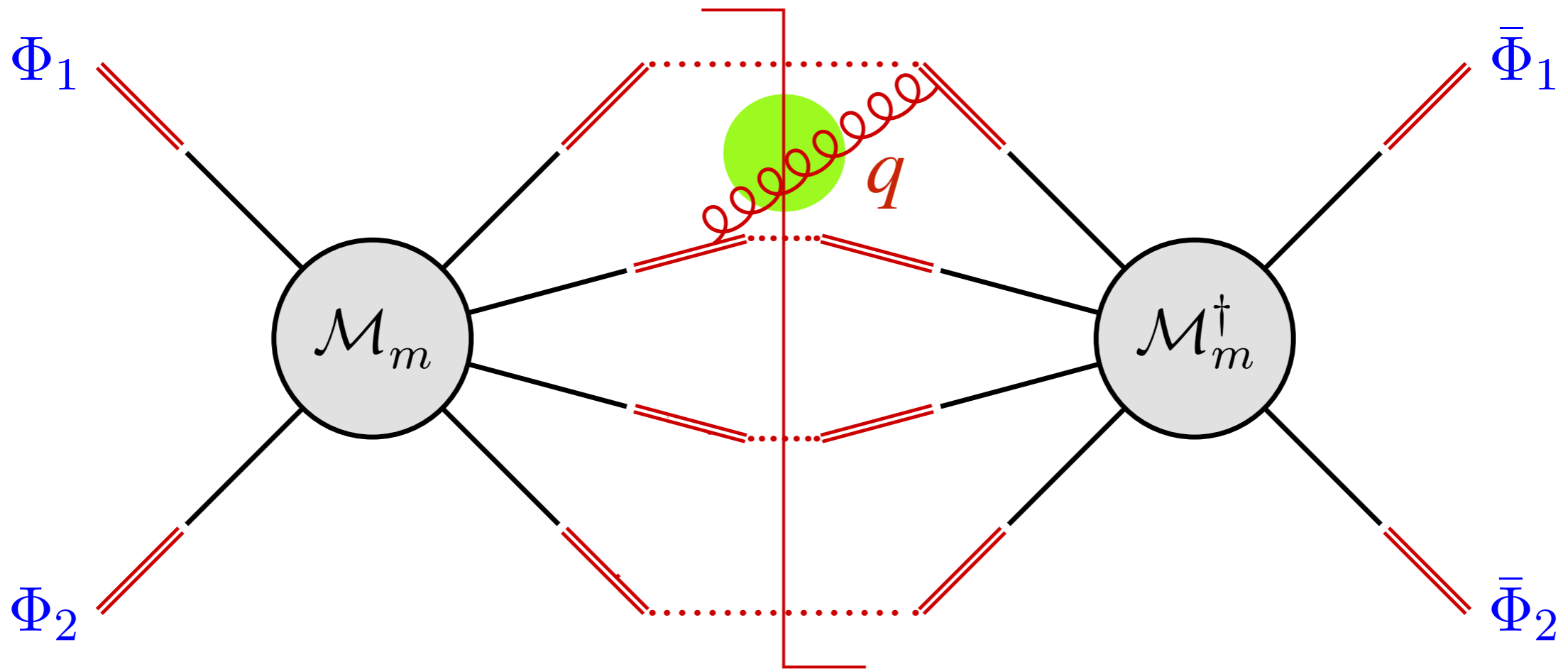
Leading poles in Z -factor from one-loop Γ

$$\mathcal{W}_m^{\text{bare}} = \mathbf{1} + \frac{\alpha_s}{4\pi} \frac{\bar{\Gamma}}{2\epsilon} + \left(\frac{\alpha_s}{4\pi}\right)^2 \left(\frac{\mathbf{V}^G \bar{\Gamma}}{2\epsilon^2} + \dots \right) + \left(\frac{\alpha_s}{4\pi}\right)^3 \left(\frac{\mathbf{V}^G \mathbf{V}^G \bar{\Gamma}}{3\epsilon^3} - \frac{\Gamma^c \mathbf{V}^G \bar{\Gamma}}{3\epsilon^3} \ln \frac{Q^2}{\mu_s^2} + \dots \right) + \mathcal{O}(\alpha_s^4)$$

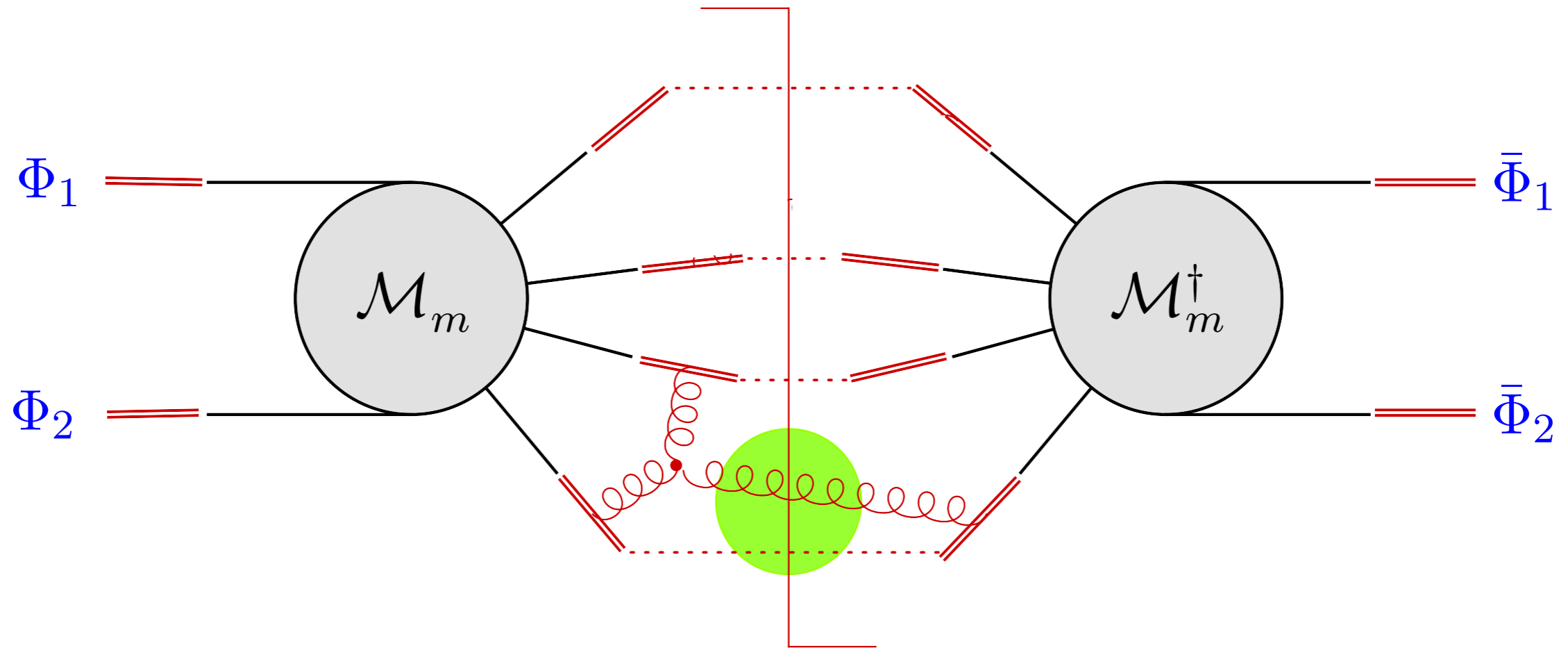
(only show terms for SLLs)

dependence on hard scale !!

Now verify this structure order by order...



\mathcal{W}_m at NLO is obtained by computing soft Wilson line matrix element or, equivalently, from product of soft currents $J_\mu^a(q)$.



- $\mathbf{V}^G \bar{\Gamma}$ in $\mathcal{W}_m^{(2)}$ from real-virtual soft corrections, from imaginary part of one-loop soft current [Catani, Grazzini '00](#).
- $\mathbf{V}^G \mathbf{V}^G \bar{\Gamma}$ in $\mathcal{W}_m^{(3)}$ from two-loop soft current (including tripole terms!) comparing space-like and time-like case [Duhr, Gehrmann '13](#); [Dixon, Herrmann, Yan, Zhu '19](#)

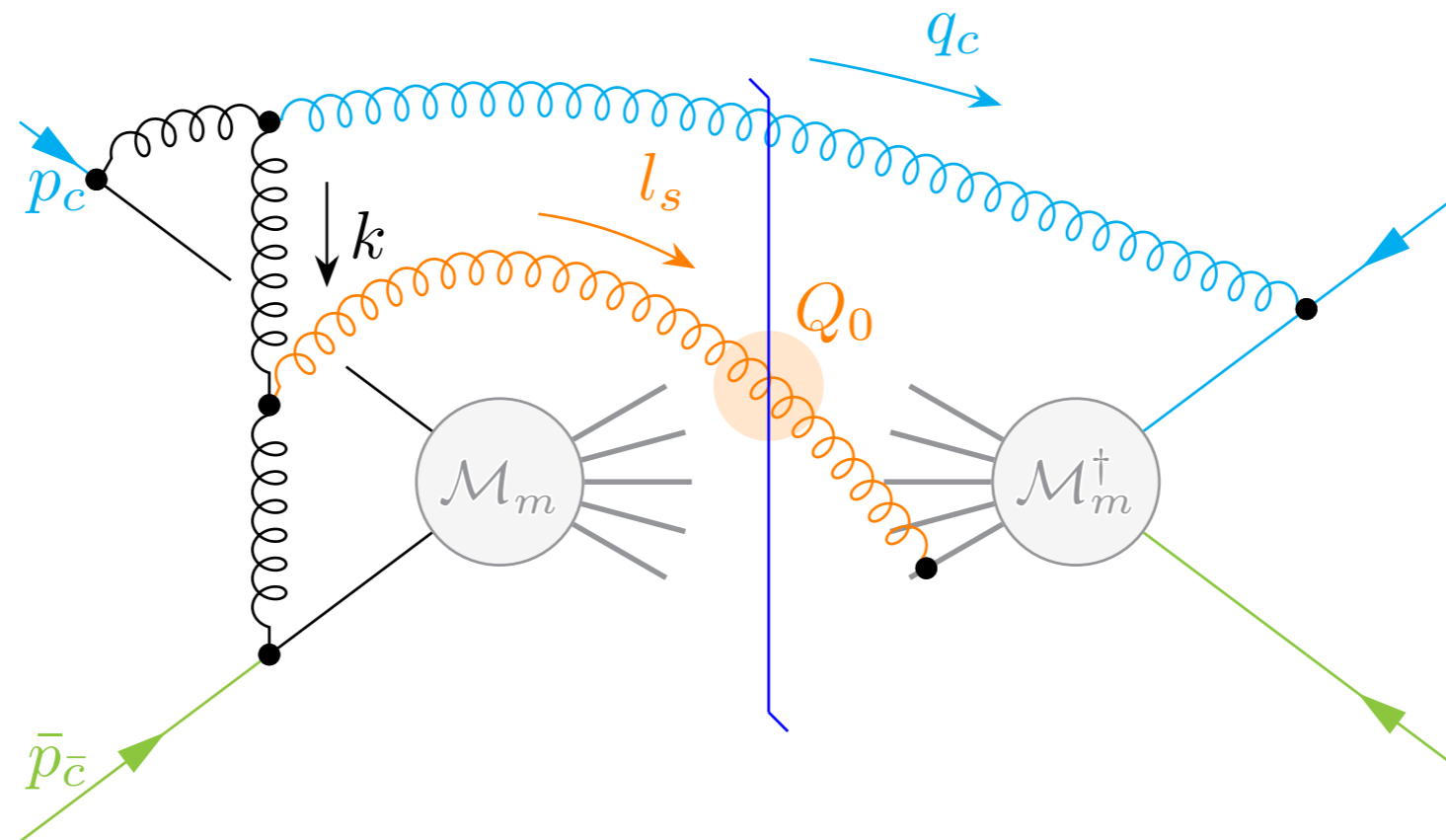
$$\begin{aligned}
\mathcal{W}_m^{\text{bare}} = & \mathbf{1} + \frac{\alpha_s}{4\pi} \frac{\overline{\Gamma}}{2\varepsilon} + \left(\frac{\alpha_s}{4\pi}\right)^2 \left(\frac{\mathbf{V}^G \overline{\Gamma}}{2\varepsilon^2} + \dots \right) \\
& + \left(\frac{\alpha_s}{4\pi}\right)^3 \left(\frac{\mathbf{V}^G \mathbf{V}^G \overline{\Gamma}}{3\varepsilon^3} - \frac{\overline{\Gamma}^c \mathbf{V}^G \overline{\Gamma}}{3\varepsilon^3} \ln \frac{Q^2}{\mu_s^2} + \dots \right) + \mathcal{O}(\alpha_s^4)
\end{aligned}$$

- All terms except last are reproduced by soft matrix elements.
 - purely soft matrix elements are Q -independent
 - Purely collinear matrix elements are scaleless
 - unrestricted phase-space integrals since collinear emissions never enter gap
- ➔ Term with Q -dependence can only arise through soft-collinear interactions!

Soft-collinear interactions

Three options for $\ln(Q)$ -dependent term

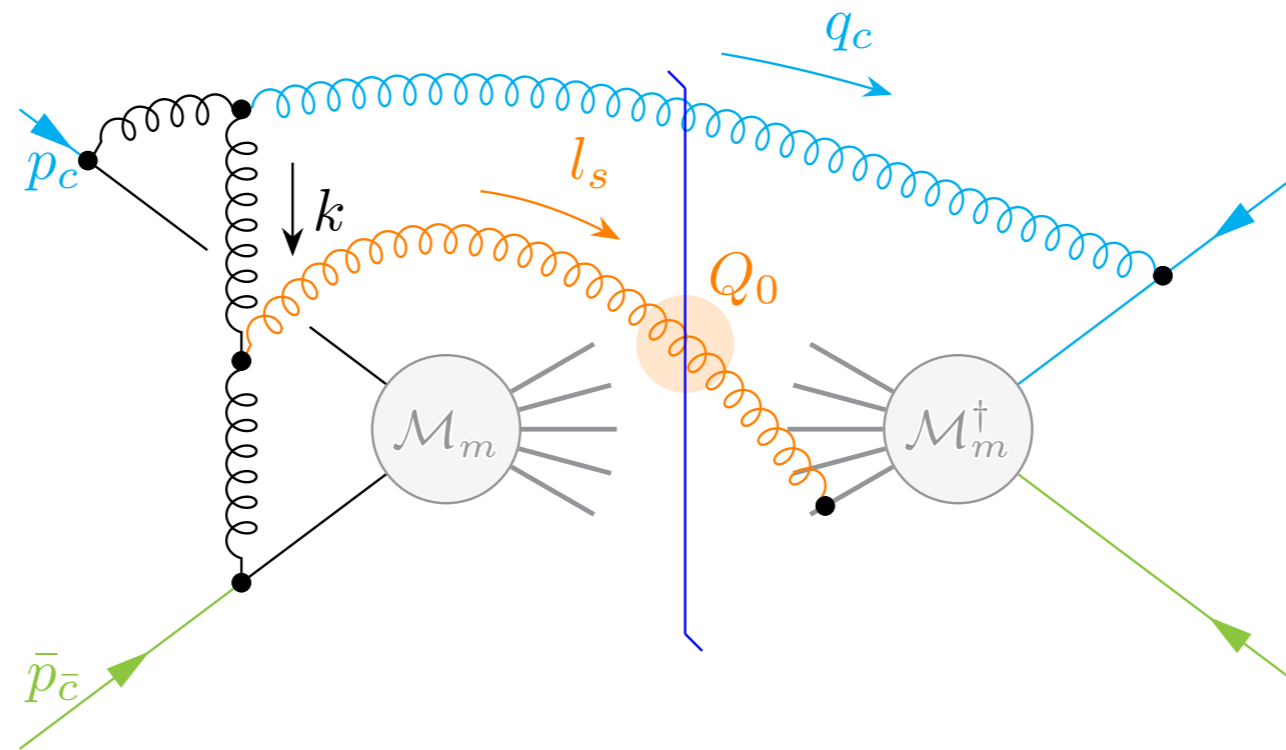
1. Perturbative **on-shell modes with virtuality below soft scale Q_0** mediating soft-collinear interactions
 - e.g. ultra-soft mode in SCET_I, soft-collinear mode in SCET_{II}
2. **Collinear anomaly inducing rapidity logs + off-shell modes** (e.g. Glaubers) at scale Q_0
3. **Non-perturbative low-energy interactions** among incoming hadrons
 - Breaks PDF factorization! Non-perturbative two-nucleon matrix elements.



Consider diagrams with soft and collinear emission and exchange between soft and collinear.

Perform method-of-region analysis to find possible scalings of loop momentum k .

Perturbative analysis can uncover scenarios 1.) and 2.) at scale Q_0 . If it fails, this would imply scenario 3.).

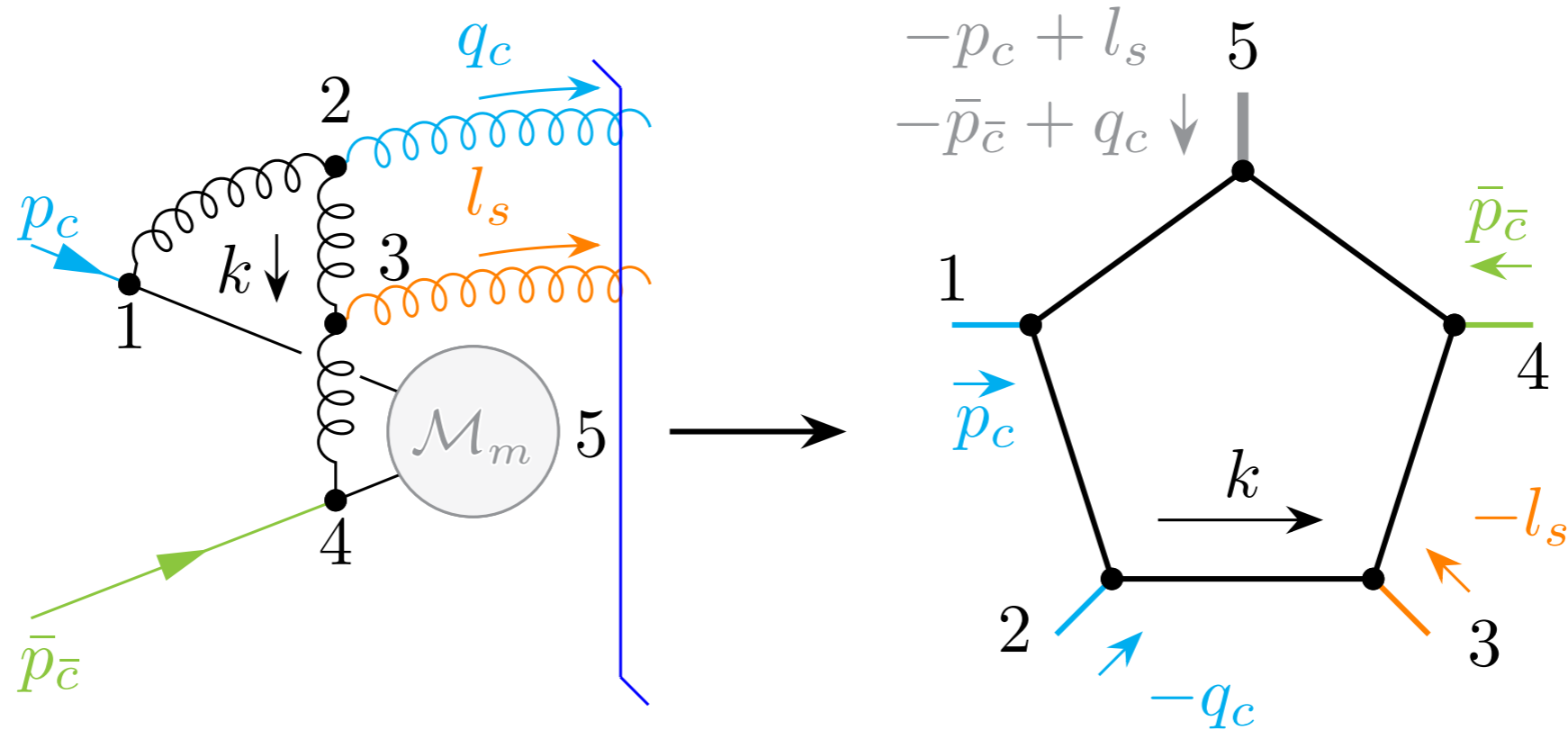


Light cone reference vectors n_μ , \bar{n}_μ and expansion parameter $\lambda = Q_0/Q$. External momenta

$$(n \cdot p_c, \bar{n} \cdot p_c, p_{c\perp}) \equiv (p_c^+, p_c^-, p_{c\perp}) \sim Q(\lambda^2, 1, \lambda)$$

$$q_c \sim Q(\lambda^2, 1, \lambda) \quad \bar{p}_{\bar{c}} \sim Q(1, \lambda^2, \lambda) \quad l_s \sim Q(\lambda, \lambda, \lambda)$$

Consider all possible scalings for loop momentum k



Reduces to analysis of scalar pentagon integrals. Can check against full result [Bern, Dixon, Kosover '93](#).

Region finder in `Asy2.1`, `pySecDec` identify single region:

$$k \sim k_{sc} \sim (\lambda^2, \lambda, \lambda^{3/2}) \quad \text{“soft-collinear”}$$

On-shell mode with small transverse momentum, compatible with soft and collinear scaling (scenario 1).

In Euclidean kinematics $s_{ij} = (p_i + p_j)^2 < 0$, $p_5^2 < 0$
 soft-collinear mode fully reproduces pentagon
 integral, but for physical kinematics

$$s_{12} = -p_c^- q_c^+ , \quad s_{23} = q_c^- l_s^+ , \quad s_{45} = -(p_c^- - q_c^-) l_s^+ ,$$

$$s_{34} = -\bar{p}_{\bar{c}}^+ l_s^- , \quad s_{51} = -q_c^- \bar{p}_{\bar{c}}^+ , \quad p_5^2 = (p_c^- - q_c^-) \bar{p}_{\bar{c}}^+$$

extra terms are present.

Related to cancellation

$$\underbrace{s_{45} s_{51}}_{\lambda} - \underbrace{p_5^2 s_{23}}_{\lambda} = \underbrace{p_c^- \bar{p}_{\bar{c}}^+ (q_{cT} + l_{sT})^2}_{\lambda^2} > 0$$

Extra terms power suppressed in Euclidean kinematics and proportional to a prefactor

$$P = \underbrace{\frac{s_{45} s_{51}}{s_{45} s_{51} - p_5^2 s_{23}}}_{\lambda^{-1}} \left[1 - e^{i\pi\varepsilon \Theta} \left(1 + \underbrace{\frac{p_5^2 s_{23} - s_{45} s_{51}}{s_{45} s_{51}}}_{\lambda} \right)^{-\varepsilon} \right]$$

with $\Theta \equiv \theta(p_5^2) + \theta(s_{23}) - \theta(s_{45}) - \theta(s_{51})$

$$P \sim \begin{cases} 1 & \text{for } \Theta = 0 \\ \lambda^{-1} & \text{for } \Theta \neq 0 \end{cases}$$

Power enhancement due to complex phases!

Glauber contribution

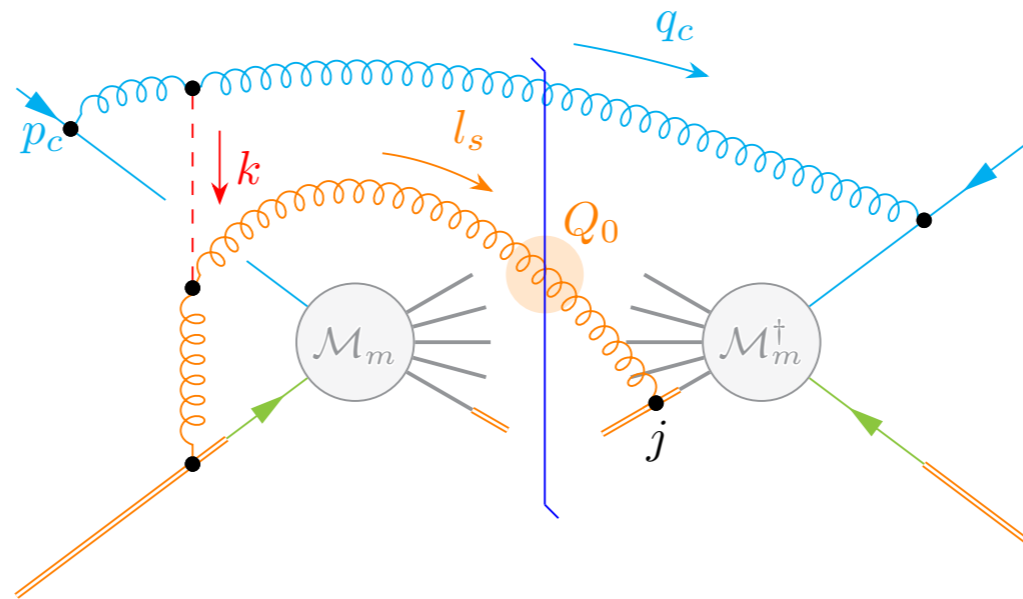
Hidden region (see backup) not present in Euclidean case:

$$k \sim (\lambda^2, \lambda, \lambda) \quad \text{“Glauber”}$$

Expanded loop integral

$$\begin{aligned}
 I^g = & i(4\pi)^{2-\varepsilon} \int \frac{d^d k}{(2\pi)^d} \frac{1}{-k_T^2} \frac{1}{k^+ q_c^- - k_T^2 - 2k_T \cdot q_{cT}} \\
 & \times \frac{1}{[-k^+ (p_c^- - q_c^-) - q_c^+ p_c^- - k_T^2 - 2k_T \cdot q_{cT}]} \\
 & \times \frac{1}{\bar{p}_c^+ (k^- - l_s^-)} \frac{1}{-l_s^+ k^- - k_T^2 + 2k_T \cdot l_{sT}}
 \end{aligned}$$

well-defined in dim.reg. Perform k_+ and k_- integrals using residues. What remains is Euclidean off-shell triangle in $d = 2 - 2\varepsilon$.



- Soft-collinear + Glauber modes correctly reproduce leading power result for scalar pentagon in physical region.
- Soft-collinear part has scaleless integration over collinear emission. No contribution to cross section.
- Glauber contribution only from diagram shown above + mirrored counterparts!
- Result is also obtained using Glauber-SCET [Rothstein, Stewart '16](#) (additional diagrams due to $|k_z|^{\eta'}$ regulator cancel against zero-bin subtractions)

Compute QCD diagram + mirrored counterparts

$$\mathcal{W}_m^{\text{bare}} \ni \frac{i\alpha_s^3}{12\pi^2 \varepsilon^3} f^{abc} f^{ade} \sum_{j>2} J_j \times \left[\mathbf{T}_{1L}^d \mathbf{T}_{1R}^e \mathbf{T}_{2L}^b \mathbf{T}_{jR}^c \left(-\frac{1}{2\eta} - \ln \frac{\nu}{p_c^-} \right) + \mathbf{T}_{2L}^d \mathbf{T}_{2R}^e \mathbf{T}_{1L}^b \mathbf{T}_{jR}^c \left(\frac{1}{2\eta} + \ln \frac{\nu \bar{p}_c^+}{Q_0^2} \right) \right] - (L \leftrightarrow R),$$

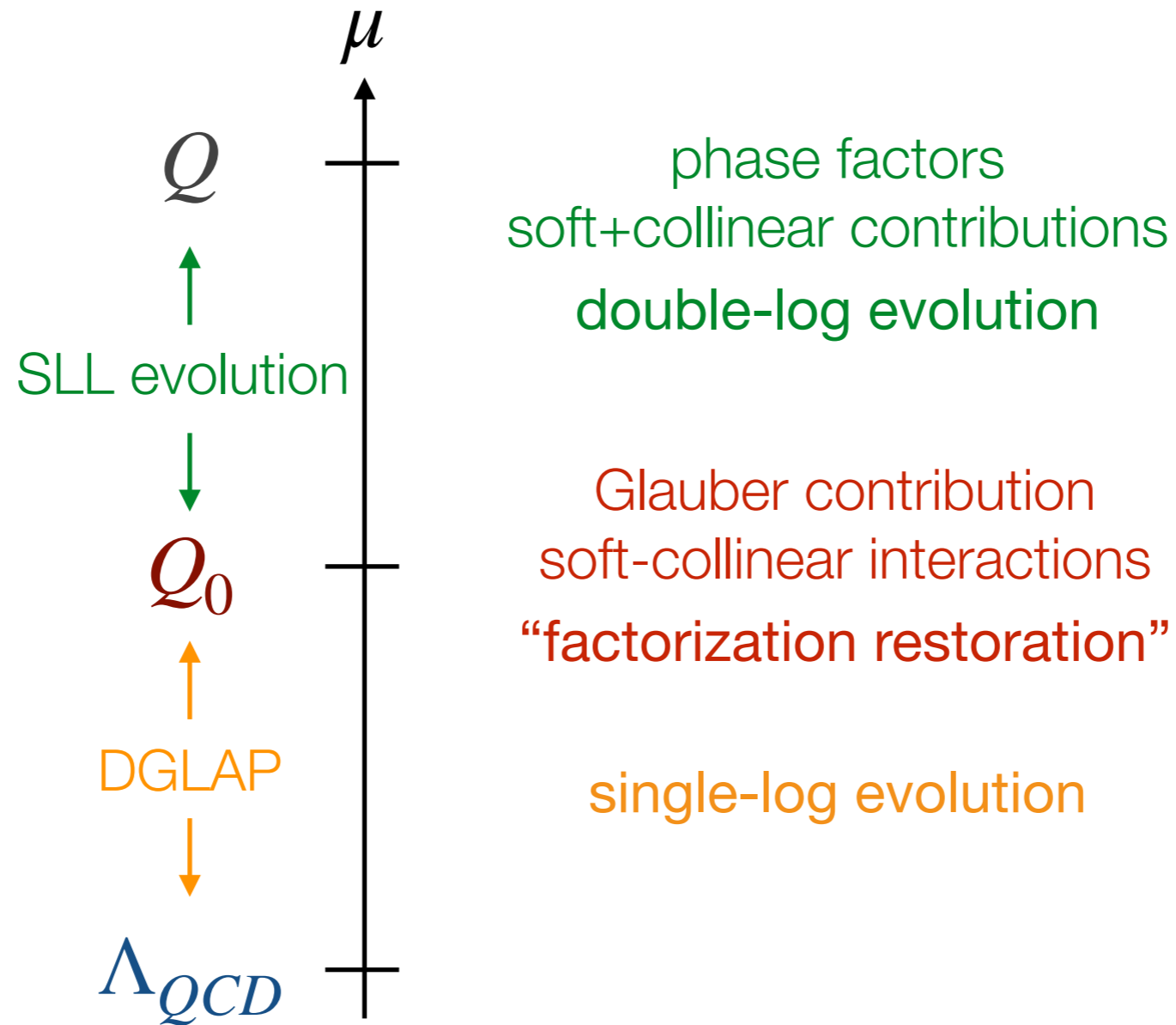
where J_i is angular integral over gap. Need regulator $(k_+/\nu)^\eta$ for collinear phase space integral. Divergences drop out, leave logarithm of $Q^2 = p_c^- \bar{p}_c^+$.

Under color trace expression simplifies to

$$\mathcal{W}_m^{\text{bare}} \ni -\frac{iN_c \alpha_s^3}{12\pi^2 \varepsilon^3} i f^{abc} \sum_{j>2} J_j \mathbf{T}_1^a \mathbf{T}_2^b \mathbf{T}_j^c \ln \frac{p_c^- \bar{p}_c^+}{Q_0^2} = -\frac{\Gamma^c \mathbf{V}^G \bar{\Gamma}}{3\varepsilon^3} \ln \frac{Q^2}{\mu_s^2} + \dots \quad \checkmark$$

Perturbative Glauber contribution yields $\ln(Q)$ term!
Matrix element of $\mathcal{W}_m^{(3)}$ consistent with DGLAP and SLL evolution.

Conclusion



Long live PDF factorization!

Outlook

- Demonstrated consistency of 4-loop SLL with PDF evolution
 - Remarkable since all ingredients for the breaking of PDF factorization are present at this order.
- To do list
 - Compute non- $\log(Q)$ collinear pieces, show that they indeed reproduce DGLAP
 - Consistency for matrix element $\mathcal{W}_m^{(4)}$?
 - All order structure of Glauber terms?
 - Factorization proof?

Extra slides

Glauber region in parameter space

Can perform region analysis in Schwinger or Lee-Pomeransky parameter space (like `Asy` and `PySecDec`)

$$(\overline{x_1}, x_2, x_3, x_4, x_5) \sim (\lambda^{-2}, \lambda^{-2}, \lambda^{-2}, \lambda^{-1}, \lambda^{-2})$$

$$\begin{aligned} \mathcal{F} = & - \underbrace{x_1 x_3 s_{23}}_{\lambda^{-3}} - \underbrace{x_1 x_4 s_{51}}_{\lambda^{-3}} - \underbrace{x_3 x_5 s_{45}}_{\lambda^{-3}} \\ & - \underbrace{x_4 x_5 m^2}_{\lambda^{-3}} - \underbrace{x_2 x_4 s_{34}}_{\lambda^{-2}} - \underbrace{x_2 x_5 s_{12}}_{\lambda^{-2}} \end{aligned}$$

The Glauber region corresponds to a pinch due to cancellations in the \mathcal{F} polynomial

$$\mathcal{F} = \underbrace{(-q_c^- x_1 + (p_c^- - q_c^-) x_5)}_{\lambda^{-2}} \underbrace{(l_s^+ x_3 - \bar{p}_c^+ x_4)}_{\lambda^{-1}}$$

Action of anomalous
dimensions on \mathcal{H}_m

Soft wide-angle emissions $\overline{\Gamma}$

$$\mathcal{H}_m \overline{\mathbf{R}}_m = \sum_{(ij)} \text{Diagram}$$

$$\overline{\mathbf{R}}_m = -4 \sum_{(ij)} \mathbf{T}_{i,L} \circ \mathbf{T}_{j,R} \overline{W}_{ij}^{m+1} \Theta_{\text{hard}}(n_{m+1}) \quad \text{extra hard parton!}$$

$$\mathcal{H}_m \overline{\mathbf{V}}_m = \sum_{(ij)} \text{Diagram 1} + \text{Diagram 2}$$

$$\overline{\mathbf{V}}_m = 2 \sum_{(ij)} (\mathbf{T}_{i,L} \cdot \mathbf{T}_{j,L} + \mathbf{T}_{i,R} \cdot \mathbf{T}_{j,R}) \int \frac{d\Omega(n_k)}{4\pi} \overline{W}_{ij}^k$$

soft dipole

$$W_{ij}^q = \frac{n_i \cdot n_j}{n_i \cdot n_q n_j \cdot n_q}$$

soft dipole with collinear subtraction

$$\overline{W}_{ij}^q = W_{ij}^q - \frac{1}{n_i \cdot n_q} \delta(n_i - n_q) - \frac{1}{n_j \cdot n_q} \delta(n_j - n_q)$$

Glauber term V^G

$$\mathcal{H}_m V^G = \begin{array}{c} 1 \\ \vdots \\ \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \\ 2 \end{array} \mathcal{M} \quad \begin{array}{c} 1 \\ \vdots \\ \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \\ 2 \end{array} \mathcal{M}^\dagger + \begin{array}{c} 1 \\ \vdots \\ \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \\ 2 \end{array} \mathcal{M} \quad \begin{array}{c} 1 \\ \vdots \\ \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \\ 2 \end{array} \mathcal{M}^\dagger$$

$$V^G = -8i\pi (\mathbf{T}_{1,L} \cdot \mathbf{T}_{2,L} - \mathbf{T}_{1,R} \cdot \mathbf{T}_{2,R})$$

Used color conservation $\sum_i \mathbf{T}_i = 0$ to simplify Glauber terms in $1 + 2 \rightarrow 3 + \dots + m$

$\Pi_{ij} = 1$ if both inc./out.

$$\sum_{(ij)} (\mathbf{T}_{i,L} \cdot \mathbf{T}_{j,L} - \mathbf{T}_{i,R} \cdot \mathbf{T}_{j,R}) \Pi_{ij} = 4 (\mathbf{T}_{1,L} \cdot \mathbf{T}_{2,L} - \mathbf{T}_{1,R} \cdot \mathbf{T}_{2,R})$$

$N=4$ SYM space-like Sp

From 2406.14604 by Henn, Ma, Xu, Yan, Zhang, Zhu

for process $\mathcal{A}_5(p_a, p_b, p_i, p_j, p_k) \xrightarrow{a \parallel b} \mathbf{Sp} \times \mathcal{A}_4(P, p_i, p_j, p_k)$

$$\mathbf{Sp}^{(1)} = \left[\frac{\mu^2 z}{s_{ab}(1-z)} \right]^\epsilon \left\{ 2N_c \bar{r}_S^{(1)}(z+i0) + \mathbf{T}_a \cdot \mathbf{T}_{in} (2\pi i) c_1(\epsilon) \frac{1}{\epsilon} \right\} \mathbf{Sp}^{(0)},$$

$$\begin{aligned} \mathbf{Sp}^{(2)} = & \left[\frac{\mu^2 z}{s_{ab}(1-z)} \right]^{2\epsilon} \left\{ 4N_c^2 \bar{r}_S^{(2)}(z+i0) \right. \\ & + N_c \mathbf{T}_a \cdot \mathbf{T}_{in} (2\pi i) \left[c_2(\epsilon) \frac{1}{\epsilon^3} + c_1^2(\epsilon) \left(-\frac{2}{\epsilon^2} \ln z + \frac{2}{\epsilon} \ln z \ln \left(\frac{z}{z-1} \right) - 2 \text{Li}_3 \left(1 - \frac{1}{z} \right) - \ln(z) \ln^2 \left(\frac{z}{z-1} \right) \right) \right] \\ & + \sum_{I \in \text{outgoing}} [\mathbf{T}_a \cdot \mathbf{T}_{in}, \mathbf{T}_a \cdot \mathbf{T}_I] (2\pi i) \left[\left(\frac{1}{2\epsilon^2} - \frac{1}{2} \zeta_2 \right) (\ln |z_I|^2 + i\pi) + \frac{1}{6} \left(\ln^2 \frac{z_I}{\bar{z}_I} + 4\pi^2 \right) \ln \frac{z_I}{\bar{z}_I} + 2\zeta_3 \right] \\ & \left. + \sum_{I \in \text{outgoing}} \{ \mathbf{T}_a \cdot \mathbf{T}_{in}, \mathbf{T}_a \cdot \mathbf{T}_I \} (2\pi^2) \left[\frac{1}{2\epsilon^2} - \frac{1}{2} \zeta_2 \right] \right\} \mathbf{Sp}^{(0)}. \end{aligned}$$

b: incoming, a: outgoing