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# Cluster counting algorithms for PID at future colliders

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on behalf of cluster counting test beam team

Second ECFA Workshop, Paestum  
10-13 Oct 2023



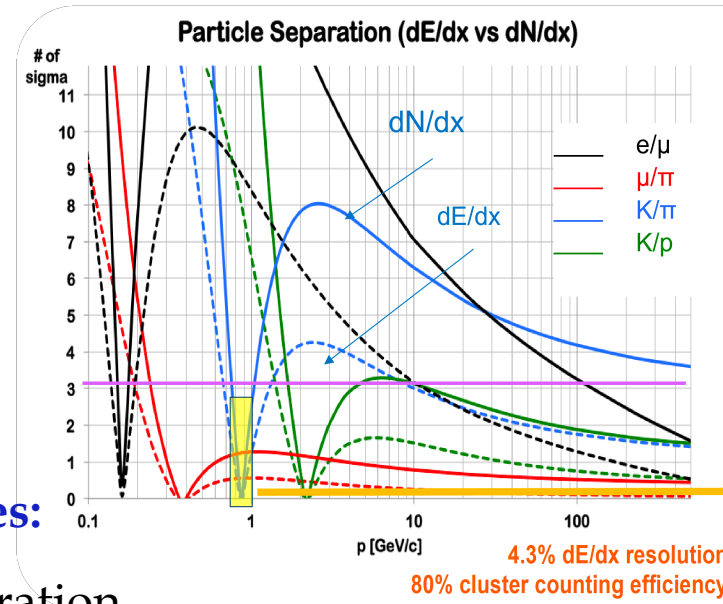
# Why Cluster counting?

## ➤ We deal with a Poissonian physics process:

- independent from cluster size fluctuations
- insensitive to highly ionizing  $\delta$ -rays
- independent from gas gain fluctuations

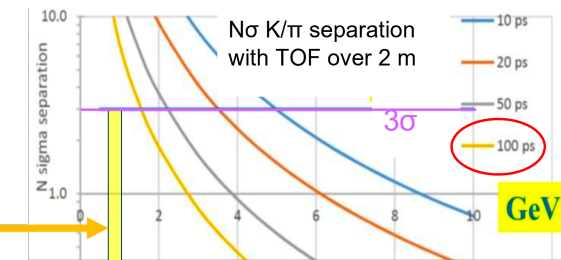
## ➤ The choice of a He-based gas mixture implies:

- low primary ionization density  $\Rightarrow$  large time separation.
- low drift velocity  $\Rightarrow$  even larger time separation ( $v_{\text{drift}} \sim 2.5 \text{ cm}/\mu\text{s}$ )
- low average cluster size ( $\langle N_{\text{electrons}}/\text{cluster} \rangle \sim 1.6$ )
- low single electron diffusion ( $< 110 \mu\text{m}$  for 0.5 cm drift, or  $< 4.5 \text{ ns}$ )



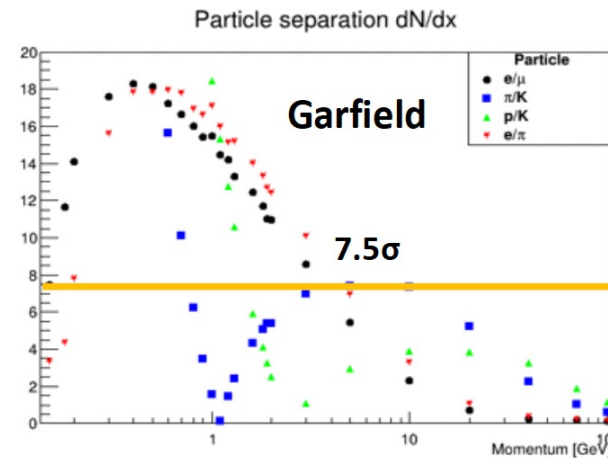
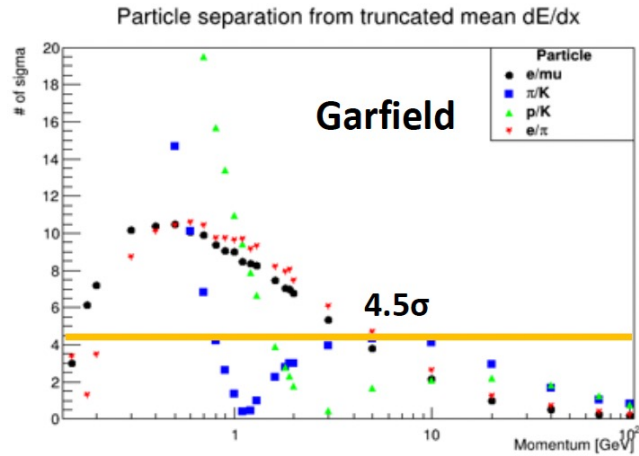
## Analytical Results :

$dN/dx$  performance is 2 times better than  $dE/dx$  in a wide transverse momentum range with He: IsoB 90/10

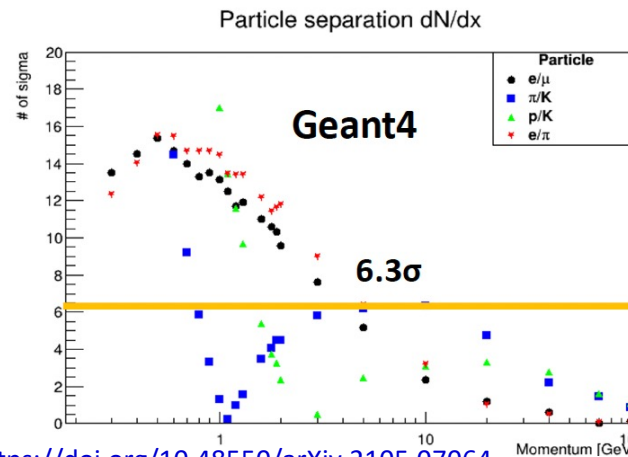
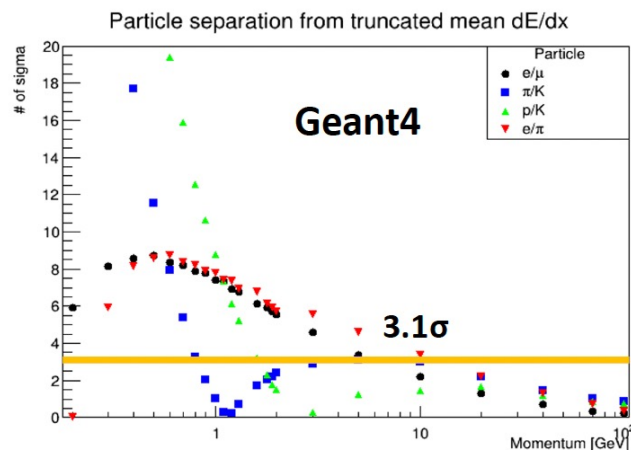


# The simulation of the cluster counting

We have developed an algorithm, which uses the energy deposit information provided by Geant4, to reproduce, in a fast and convenient way, the clusters density and the cluster size distributions predicted by Garfield++.



Garfield++ in reasonable agreement with analytical calculations up to 20 GeV/c momentum, then falls much more rapidly at higher momenta.



Despite Geant4 uses the cluster density and the cluster size distributions from Garfield++, it disagrees from Garfield++ and, therefore, from the analytical calculations also.

See [this talk](#) in the coming session for more information about this algorithm.

<https://doi.org/10.48550/arXiv.2105.07064>

# Beam test motivation

1. **Lack of experimental data** on cluster density and cluster population for He based gas. Particularly in the relativistic rise region to compare predictions.
2. Despite the fact that the Garfield++ model in GEANT4 reproduces reasonably well the Garfield++ predictions, why particle separation, both with  $dE/dx$  and with  $dN_{cl}/dx$ , in **GEANT4** is considerably **worse than in Garfield++?**
3. Despite a higher value of the  $dN_{cl}/dx$  Fermi plateau with respect to  $dE/dx$ , why this is reached at **lower values of  $\beta\gamma$  with a steeper slope?**
  - These questions are crucial for establishing the particle identification performance at FCCee, CEPC and SCTF.
  - **The only way to ascertain these issues is an experimental measurement!**

# Beam test goals

Need to demonstrate the **ability to count clusters** at a fixed  $\beta\gamma$  (e.g. muons at a fixed momentum - 165 GeV, 180 GeV) by changing:

- track length (different cell size - 1 cm, 1.5 cm and 2 cm) and changing the track angle ( $0^\circ$  to  $60^\circ$ );
- gas mixture (He:IsoB 90/10, 80/20, 85/15).

Establish **the limiting parameters** for an efficient cluster counting:

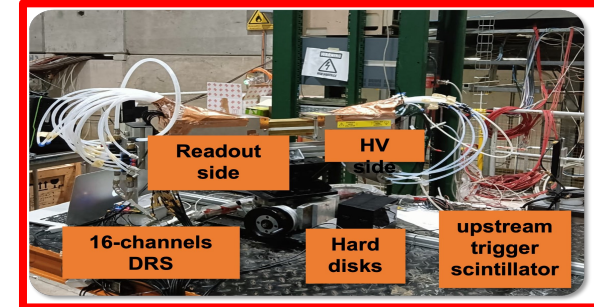
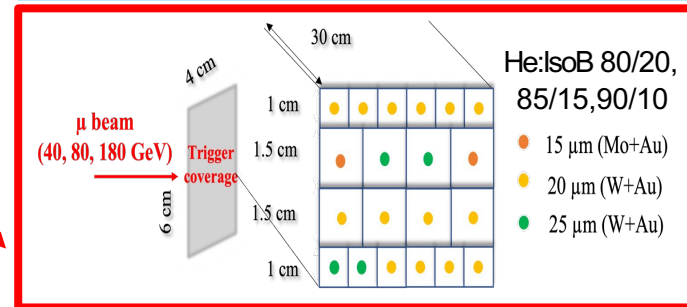
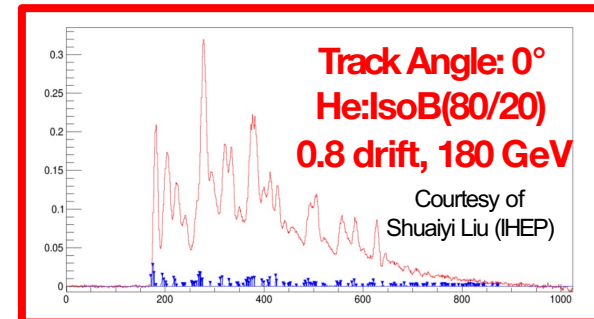
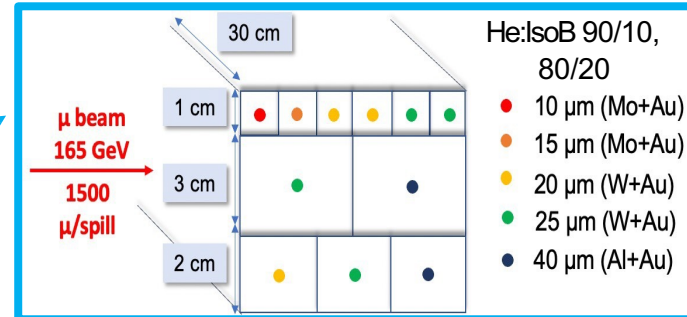
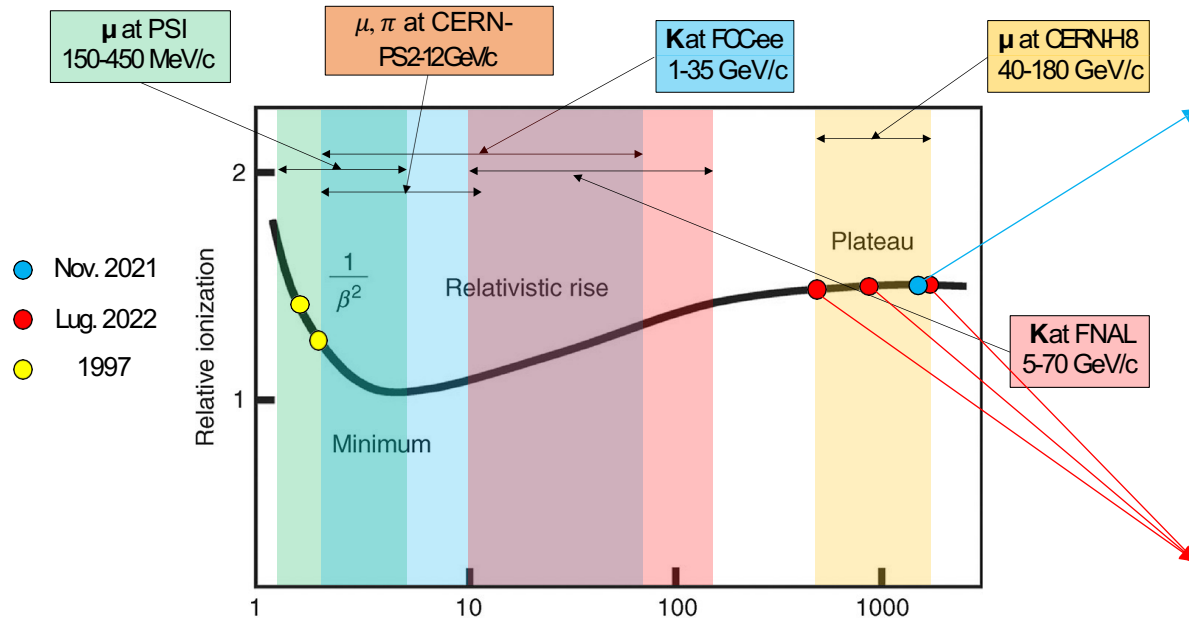
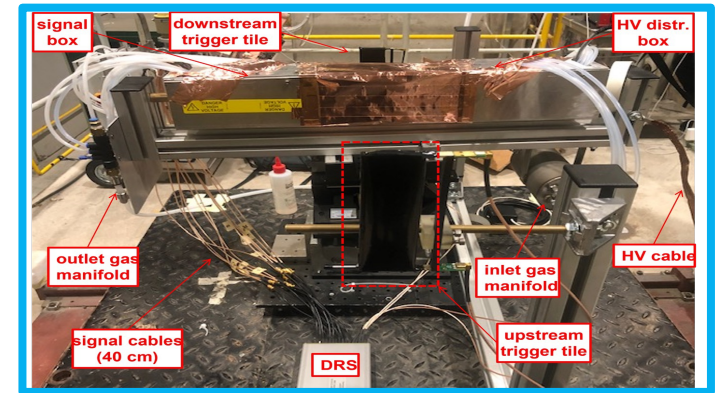
- cluster density (by changing **the gas mixture**).
- space charge (by changing **gas gain, sense wire diameter, track angle**).
- gas gain saturation.

**For the Future data taking campaigns:** In optimal configuration, **measure the relativistic rise as a function of  $\beta\gamma$** , both in  $dE/dx$  and in  $dN_{\text{cluster}}/dx$ , by scanning the muon momentum from the lowest to the highest value (from a few GeV/c to about 250 GeV/c).

# Beam Tests in 2021 & 2022

Beam tests to experimentally assess and optimize **the performance of the cluster counting/timing** techniques in strict collaboration with the IHEP Beijing group:

- **Two muon beam tests** performed at **CERNH8** ( $\beta\gamma > 400$ ) in Nov. 2021 and July 2022.
- Another **muon beam test** is done in Jul 2023 at **CERN** using  $\mu$  beam (1-12 GeV).
- Another test is planned to be done at **FNAL-MT6** with  $\pi$  and K ( $\beta\gamma = 10-140$ ) to fully exploit the relativistic rise.



# Find Electron peaks Algorithms (Bari & Lecce)

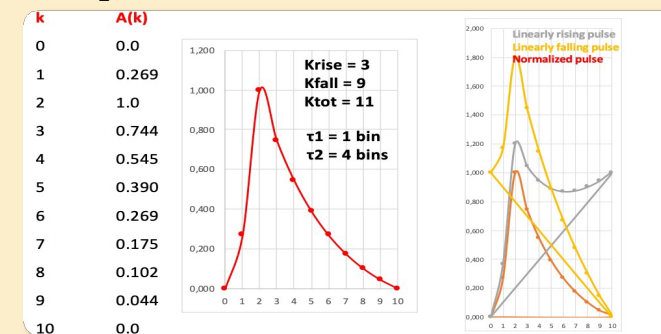
## Derivative Algorithm (DERIV)

Find good electron peak candidates at position bin  $n$  and amplitude  $A_n$  :

- **Compute the first and second derivative from the amplitude average over two times the timing resolution** and require that, at the peak candidate position, they are less than a r.m.s. signal-related small quantity and they increase (decrease) before (after) the peak candidate position of a r.m.s. signal-related small quantity.
- Require that the amplitude at the peak candidate position is greater than a r.m.s. signal-related small quantity and the amplitude difference among the peak candidate and the previous (next) signal amplitude is greater (less) than a r.m.s. signal-related small quantity.
- NOTE: r.m.s. is a measurements of the noise level in the analog signal from first bins.

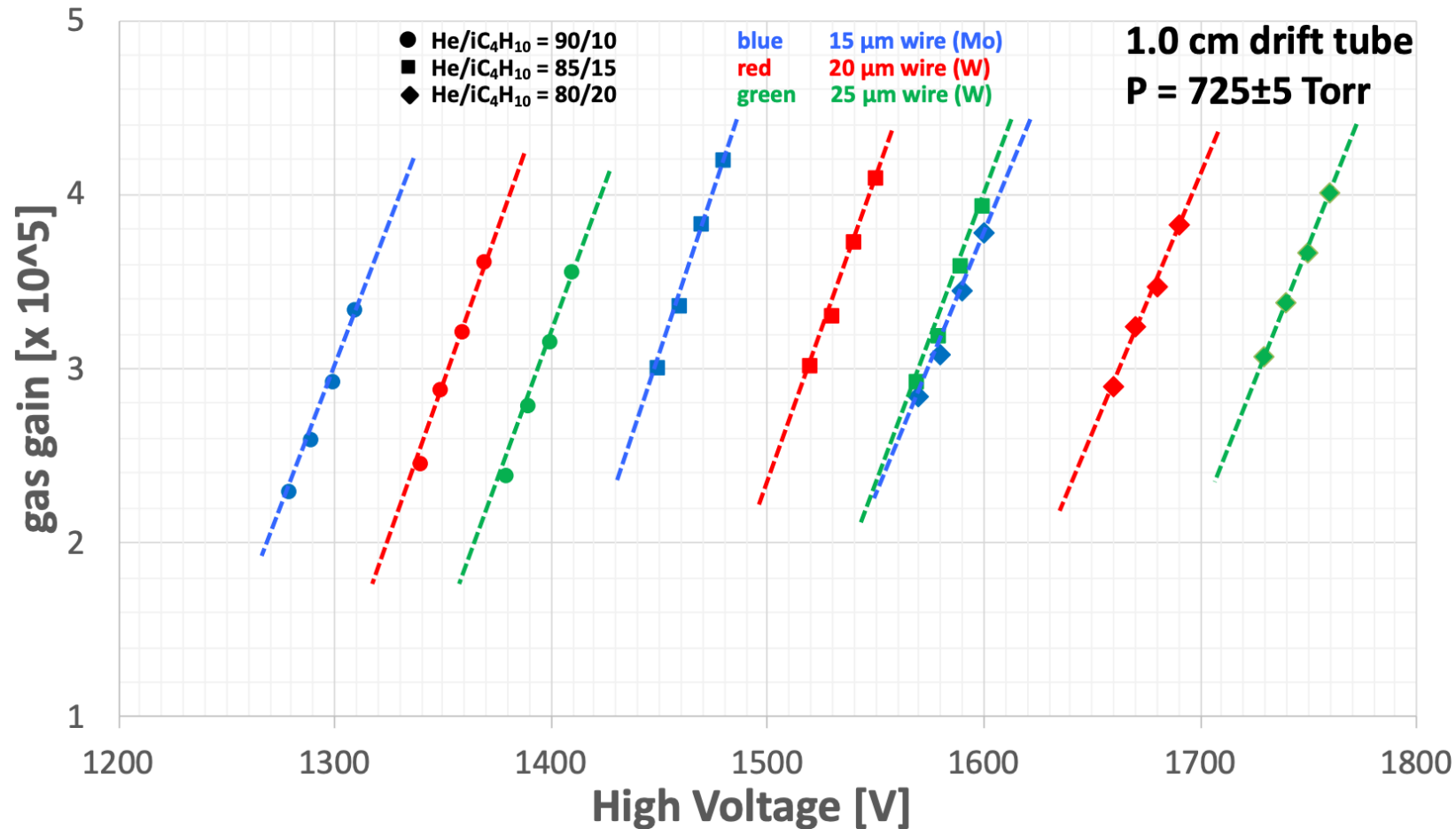
## Running Template Algorithm (RTA)

- Define an electron pulse template based on experimental data.
- Raising and falling exponential over a fixed number of bins ( $K_{tot}$ ).
- Digitize it ( $A(k)$ ) according to the data sampling rate.
- The algorithm scan the wave form and run over  $K_{tot}$  bins by comparing it to the subtracted and normalized data (build a sort of  $\chi^2$ ).
- Define a cut on  $\chi^2$ .
- Subtract the found peak to the signal spectrum.
- Iterate the search.
- Stop when no new peak is found.



# Gas gain (Test Beam Jul 2022)

measured gas gain vs HV (45°)

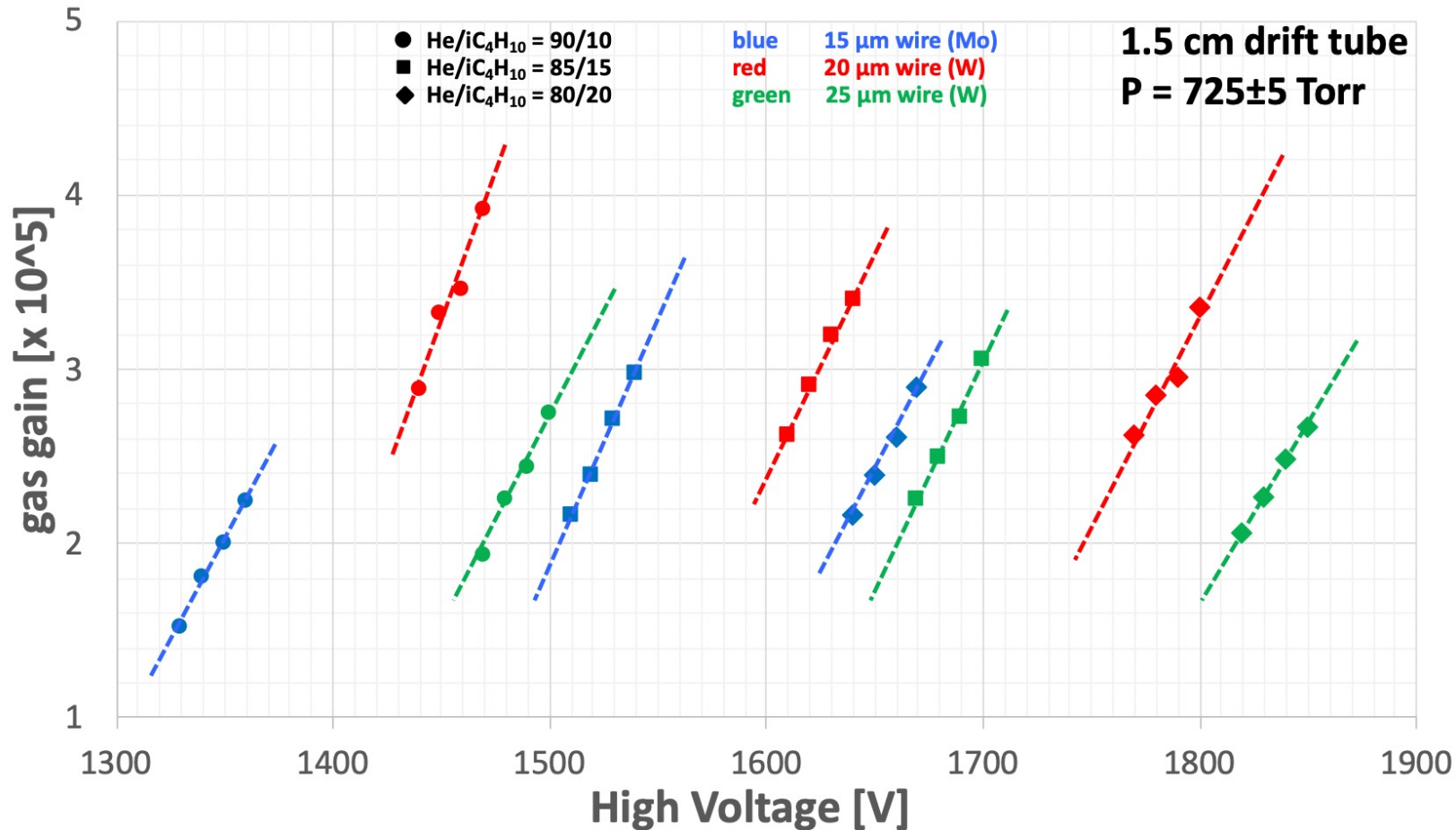


25μm wire He:IsoB  
85/15 has the same  
gain of 15μm wire  
He:IsoB 80/20



# Gas gain (Test Beam Jul 2022)

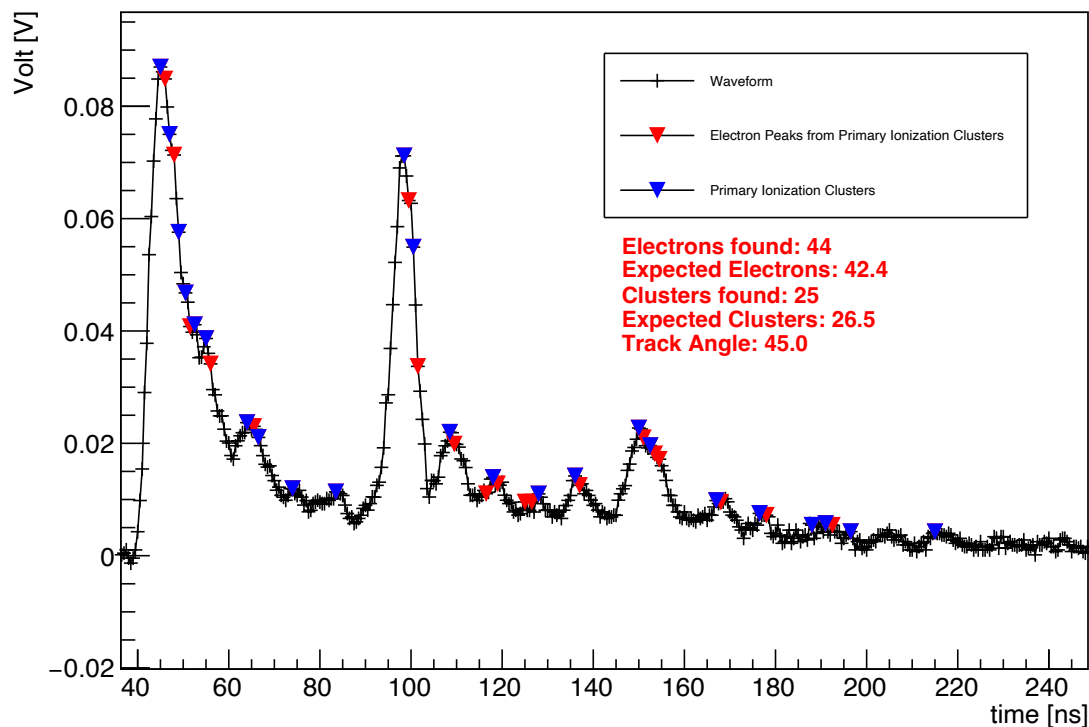
measured gas gain vs HV (45°)



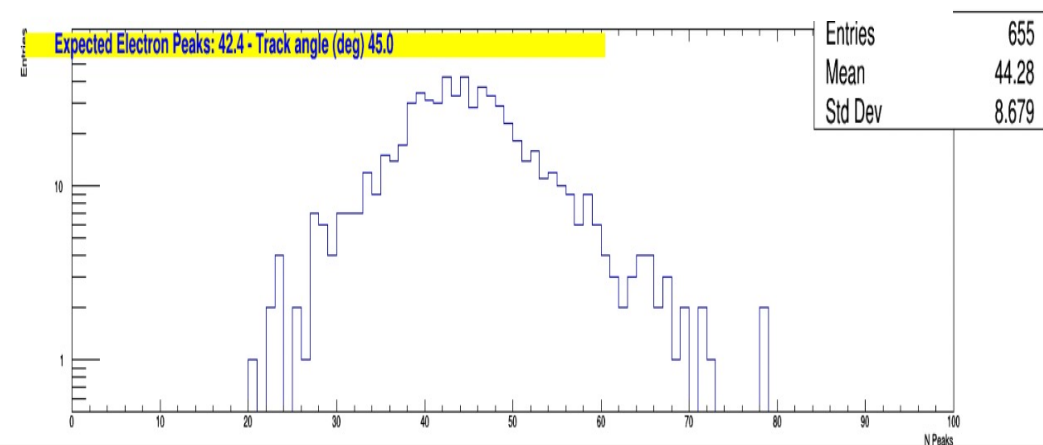
20 μm wire  
excluded from  
physical quantities  
mean computation

# Reconstruction of Electron Peaks (RTA Algorithm)

Sense Wire Diameter  $15\ \mu\text{m}$ ; Cell Size  $1.0\ \text{cm}$ ; Track Angle  $45^\circ$ ; Sampling rate  $2\ \text{GSa/s}$ ; Gas Mixture  $\text{He: IsoB } 80/20$



## Electron Peaks distribution



**Expected number of electron =**  
 $\delta \text{ cluster/cm (M.I.P.)} * \text{drift tube size [cm]} * 1.3 \text{ (relativistic rise)} * 1.6 \text{ electrons/cluster} * 1/\cos(\alpha)$

$\alpha$  = angle of the muon track w.r.t. normal direction to the sense wire.

$\delta \text{ cluster/cm (mip)}$  changes from 12, 15, 18 respectively for He: IsoB 90/10, 85/15 and 80/20 gas mixtures.

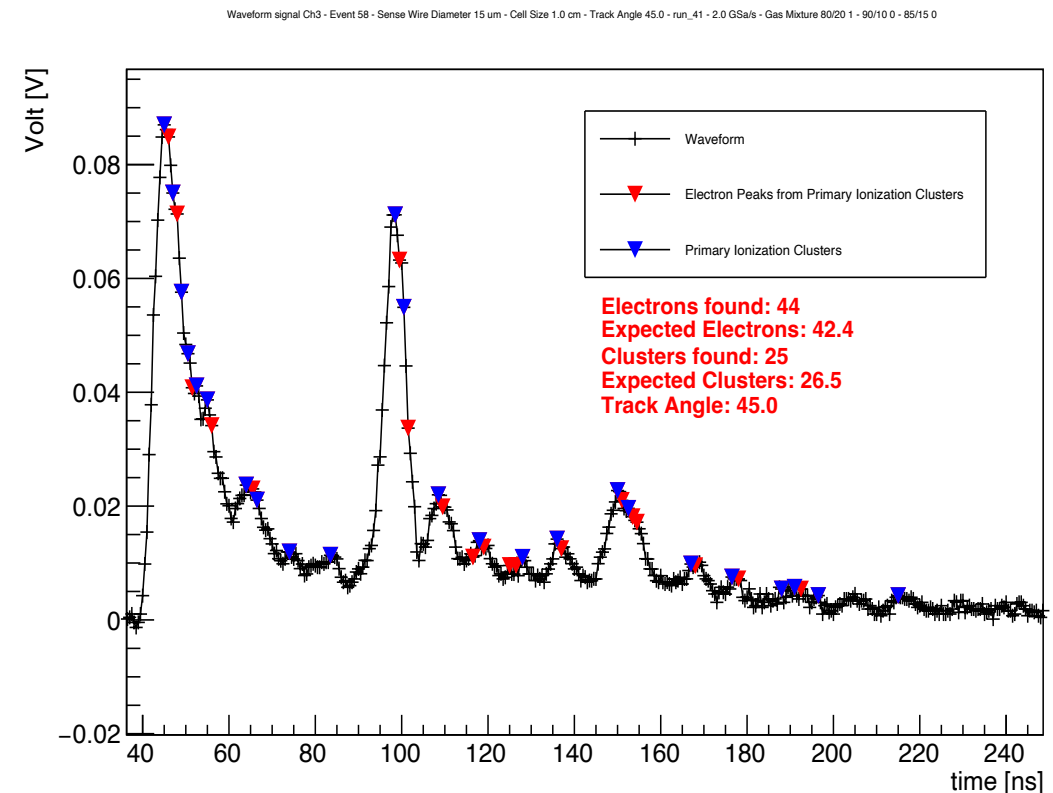
drift tube size are 0.8, 1.2, and 1.8 respectively for 1 cm, 1.5 cm, and 2 cm cell size tubes

[1] H. Fischle, J. Heintze and B. Schmidt, *Experimental determination of ionization cluster size distributions in counting gases*, NIMA 301 (1991)

# Reconstruction of Primary Ionization Clusters

Sense Wire Diameter  $15\ \mu\text{m}$ ; Cell Size  $1.0\ \text{cm}$ ; Track Angle  $45^\circ$ ; Sampling rate  $2\ \text{GSa/s}$ ; Gas Mixture  $\text{He:isoB } 80/20$

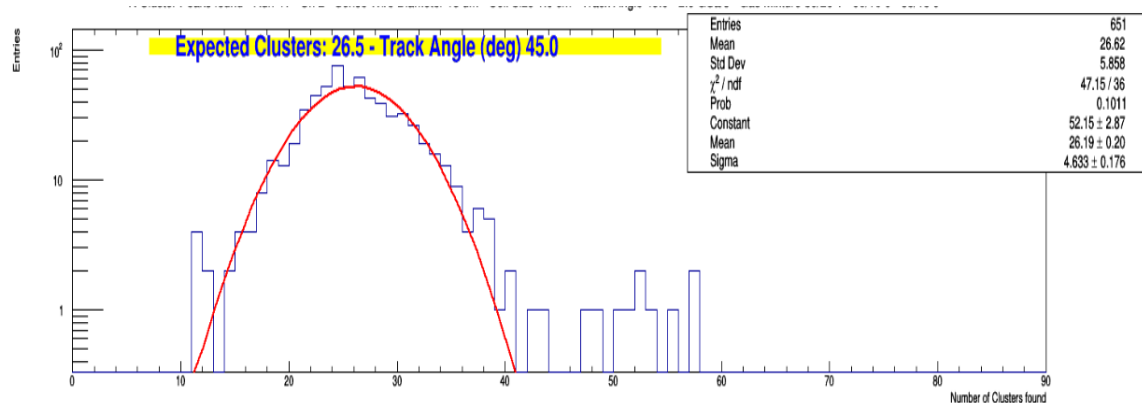
- **Merging of electron peaks** in consecutive bins in a single electron to reduce fake electrons counting.
- **Contiguous electrons peaks** which are compatible with the electrons' diffusion time (it has a  $\sim\sqrt{t_{\text{ElectronPeak}}}$  dependence, different for each gas mixture) must be considered belonging to the **same ionization cluster**. For them, a counter for electrons per each cluster is incremented.
- **Position and amplitude** of the clusters corresponds to the position and height of the electron having the maximum amplitude in the cluster.
- **Poissonian distribution for the number of clusters!**



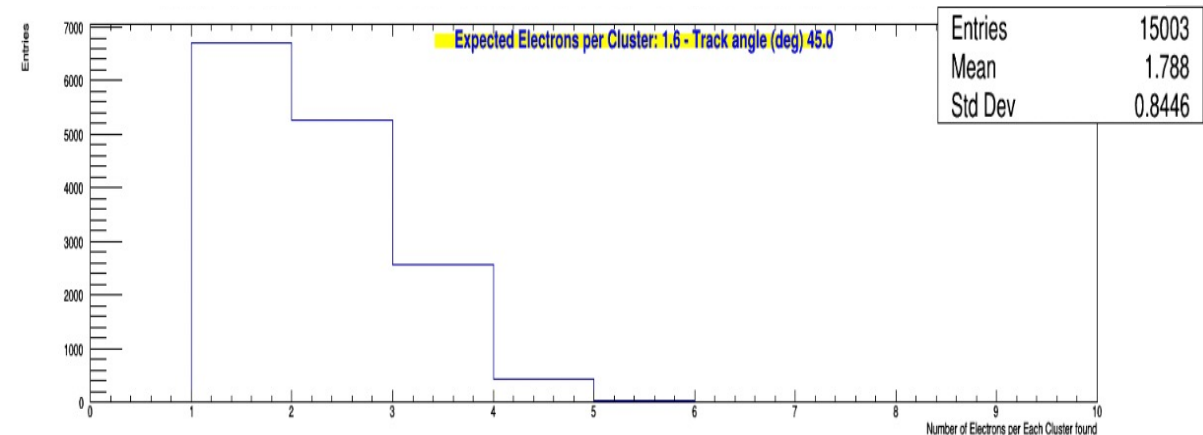
# Reconstruction of Primary Ionization Clusters

Sense Wire Diameter  $15 \mu\text{m}$ ; Cell Size  $1.0 \text{ cm}$ ; Track Angle  $45^\circ$ ; Sampling rate  $2 \text{ GSa/s}$ ; Gas Mixture He: IsoB 80/20

## Poissonian distribution for the number of clusters



## Electrons per cluster distribution



**Expected number of cluster** =  $\delta \text{ cluster/cm (M.I.P.)} * \text{drift tube size [cm]} * 1.3 \text{ (relativistic rise)} * 1/\cos(\alpha)$

$\alpha$  = angle of the muon track w.r.t. normal direction to the sense wire.

$\delta \text{ cluster/cm (mip)}$  changes from 12, 15, 18 respectively for He: IsoB 90/10, 85/15 and 80/20 gas mixtures.

drift tube size are 0.8, 1.2, and 1.8 respectively for 1 cm, 1.5 cm, and 2 cm cell size tubes.

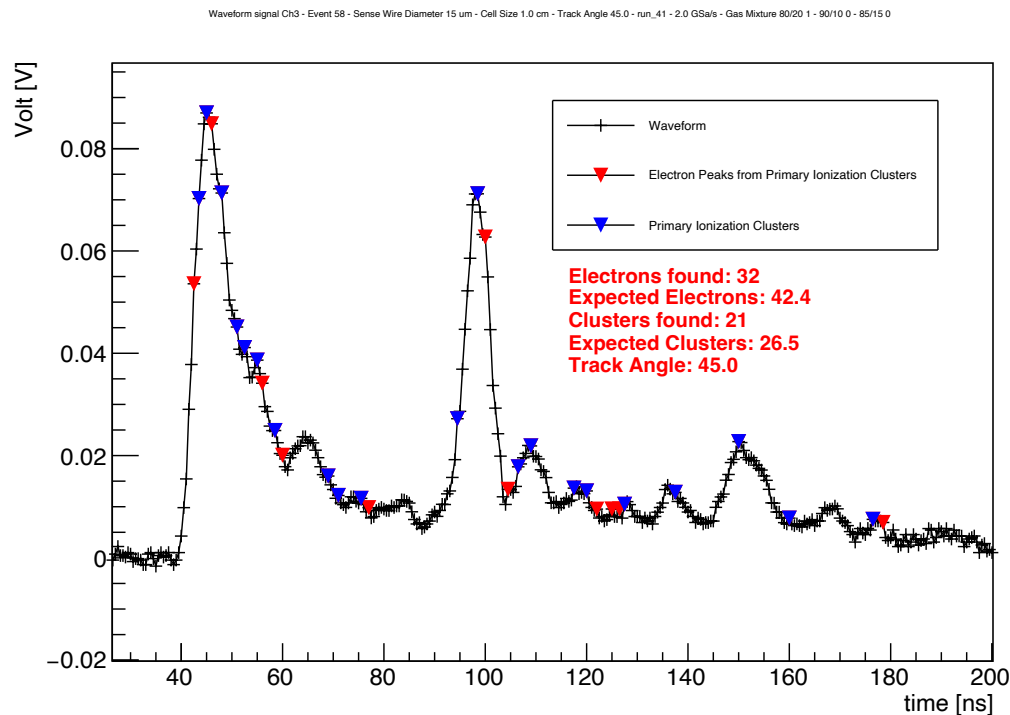
Poissonian distribution of the number of clusters and cluster size in acceptance with the expectation

# Comparison between DERIV and RTA algorithm

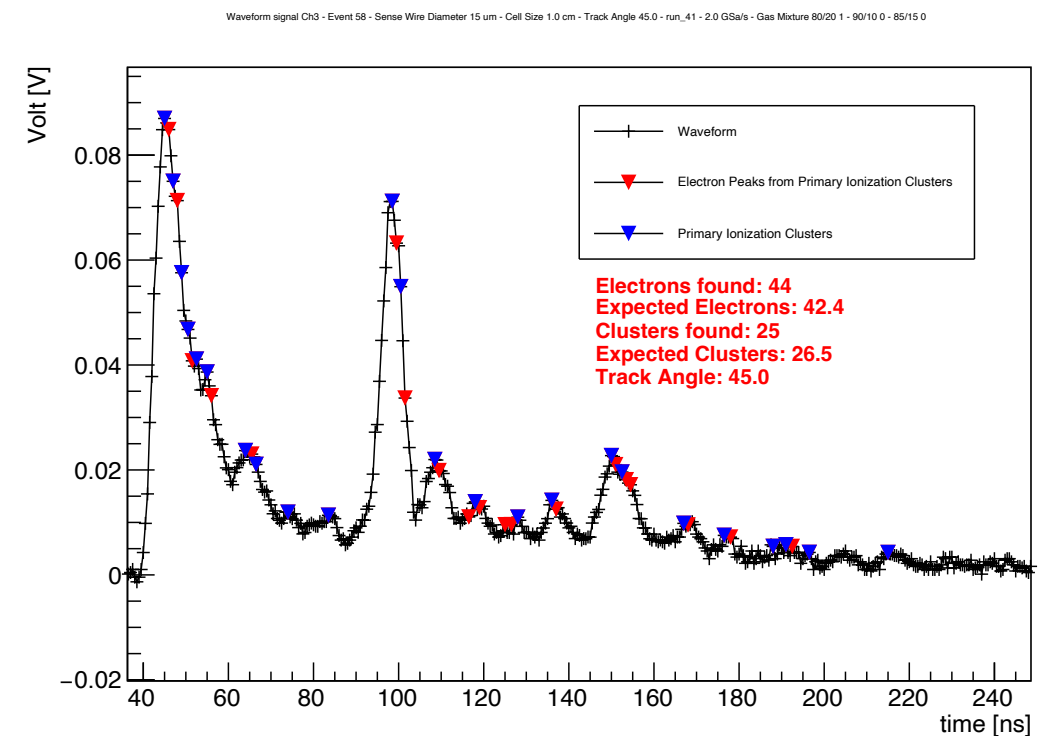
1 cm drift tubes

Run: 41; Track angle:  $45^\circ$ ; Gas mixture: 80% He 20%  $iC_4H_{10}$ ; HV = Nominal Sampling rate = 2 Gsa/s

DERIV Algorithm



RTA Algorithm



# Comparison between DERV and RTA algorithm

1.5 cm drift tubes

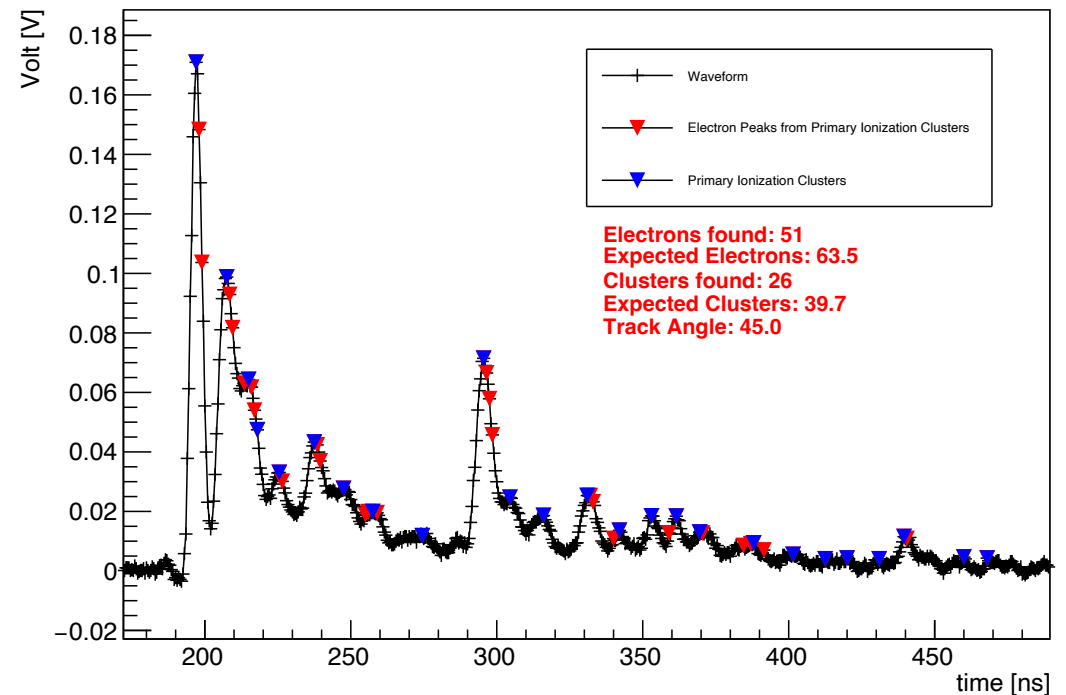
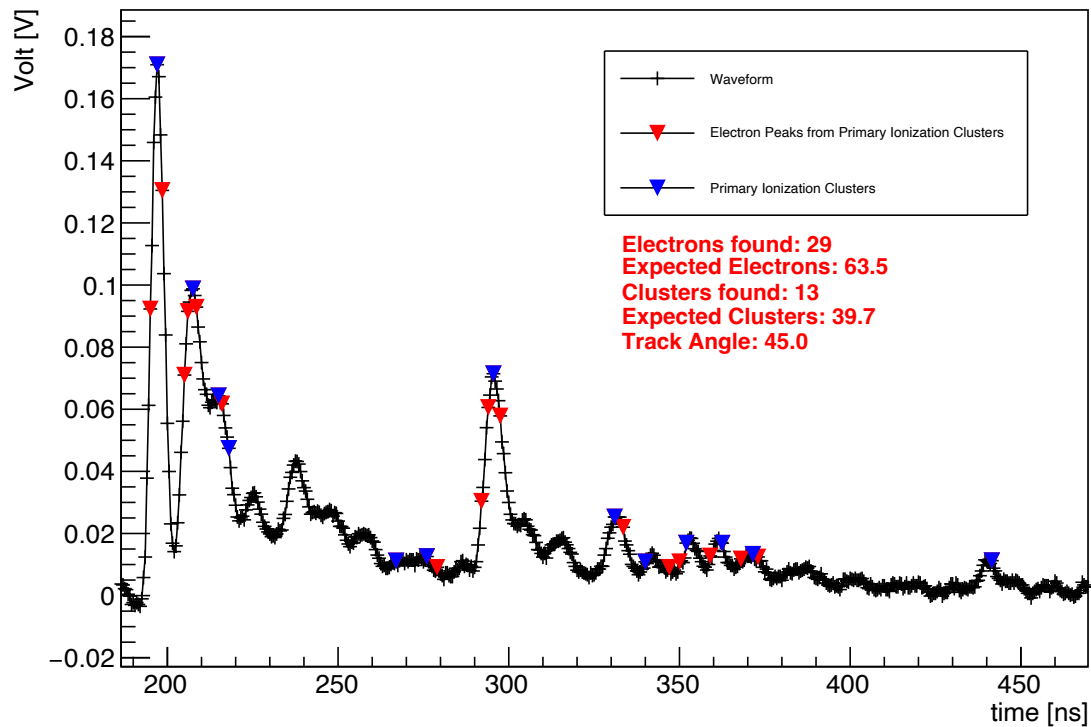
Run: 41; Track angle: 45°; Gas mixture: 80% He 20% iC<sub>4</sub>H<sub>10</sub>; HV = Nominal Sampling rate= 2 Gsa/s

DERIV Algorithm

RTA Algorithm

Waveform signal Ch7 - Event 0 - Sense Wire Diameter 15 um - Cell Size 1.5 cm - Track Angle 45.0 - run\_41 - 2.0 GSa/s - Gas Mixture 80/20 1 - 90/10 0 - 85/15 0

Waveform signal Ch7 - Event 0 - Sense Wire Diameter 15 um - Cell Size 1.5 cm - Track Angle 45.0 - run\_41 - 2.0 GSa/s - Gas Mixture 80/20 1 - 90/10 0 - 85/15 0



# Comparison between DERV and RTA algorithm

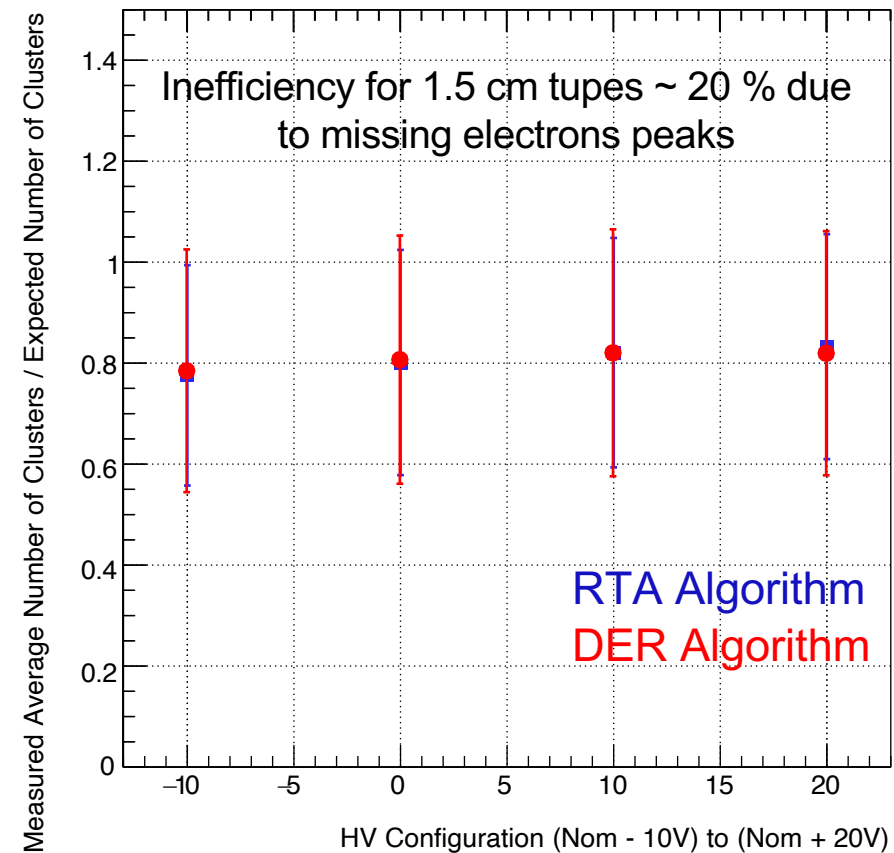
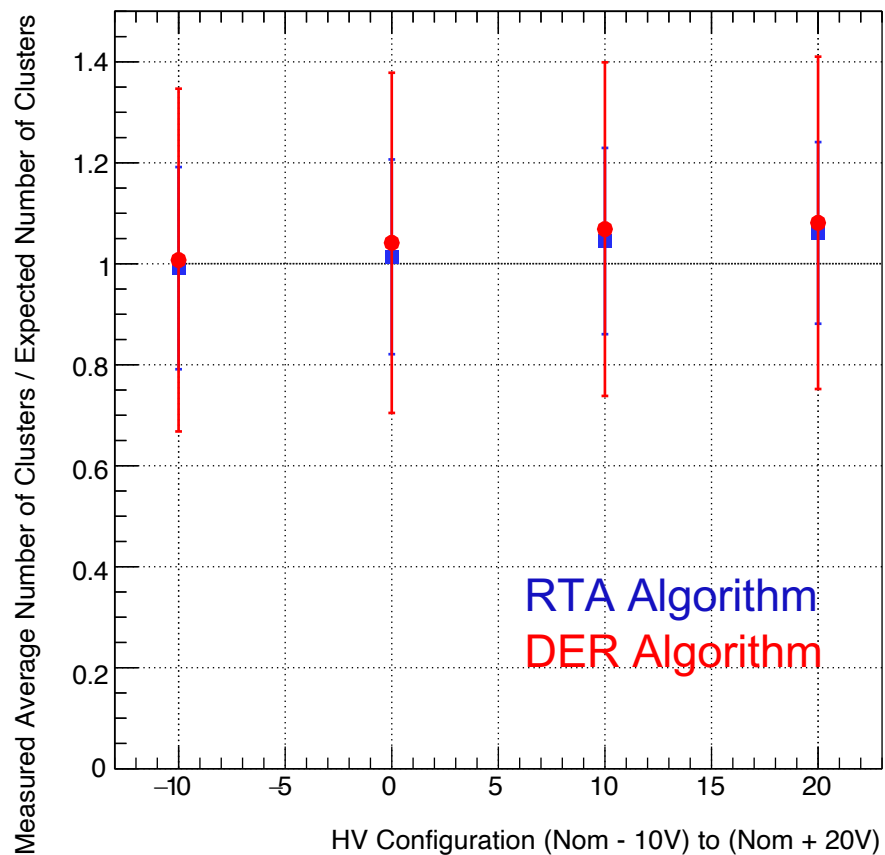
1 cm drift tubes

HV scan

1.5 cm drift tubes

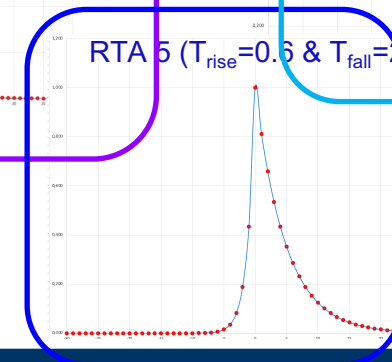
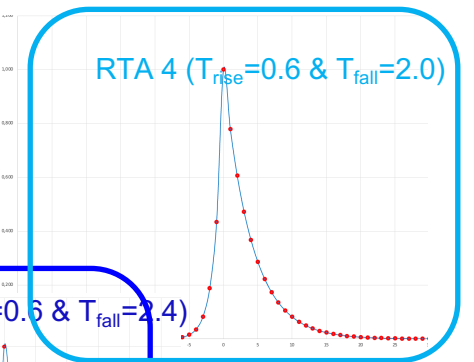
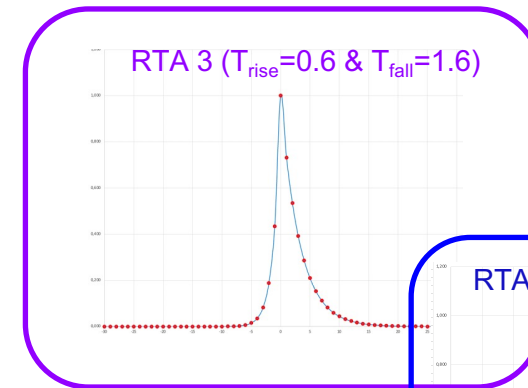
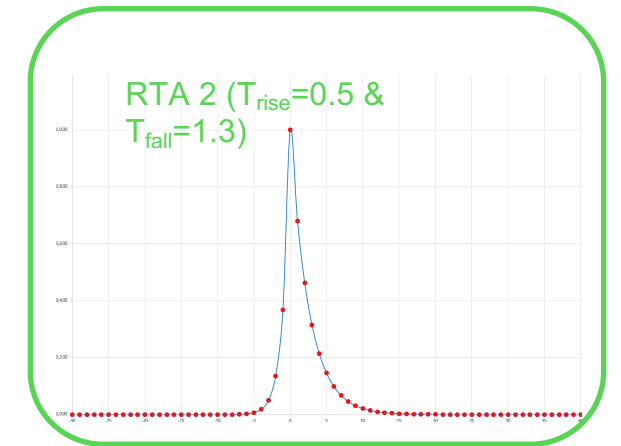
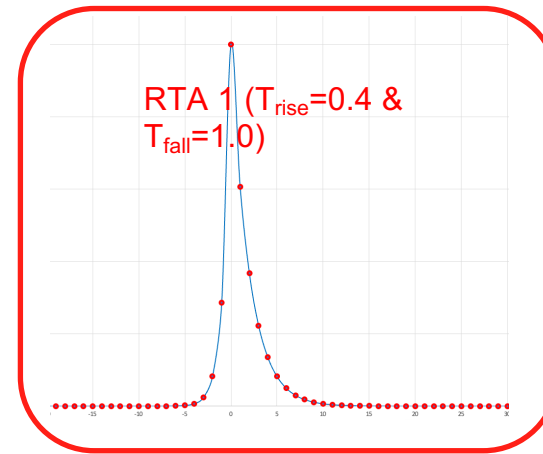
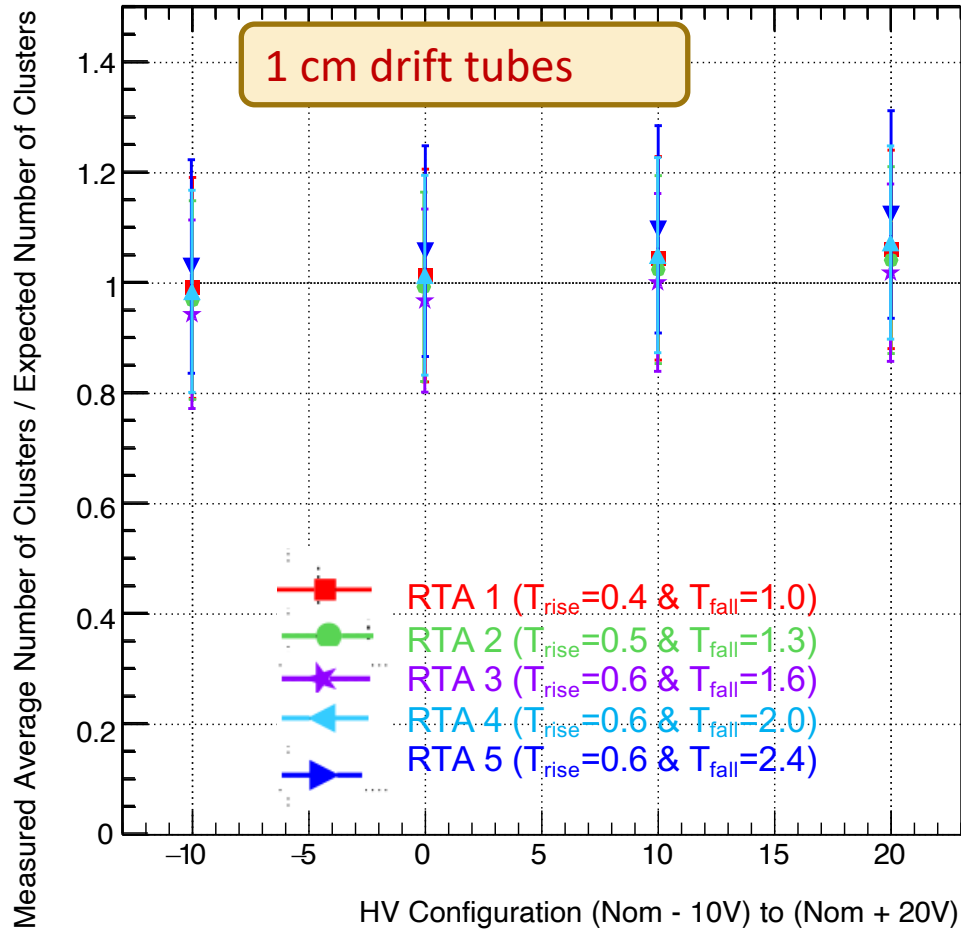
Clusters Finding Efficiency 1 cm cell size Drift Tubes 180 GeV

Clusters Finding Efficiency 1.5 cm cell size Drift Tubes 180 GeV



# RTA Templates scan

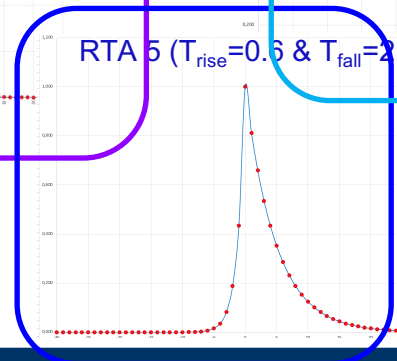
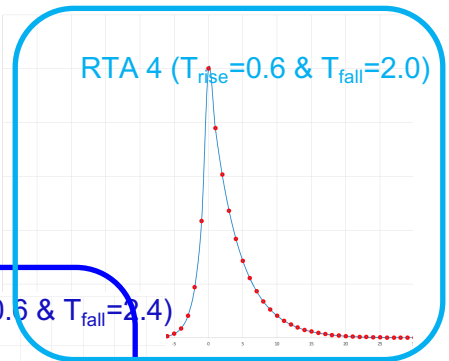
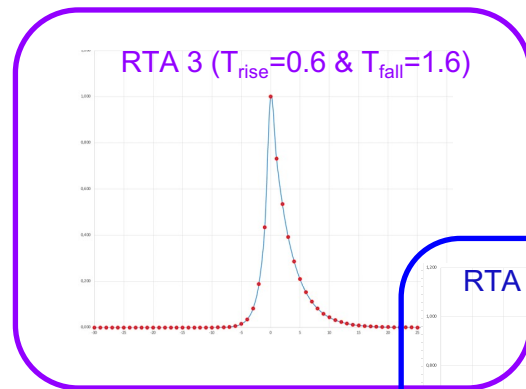
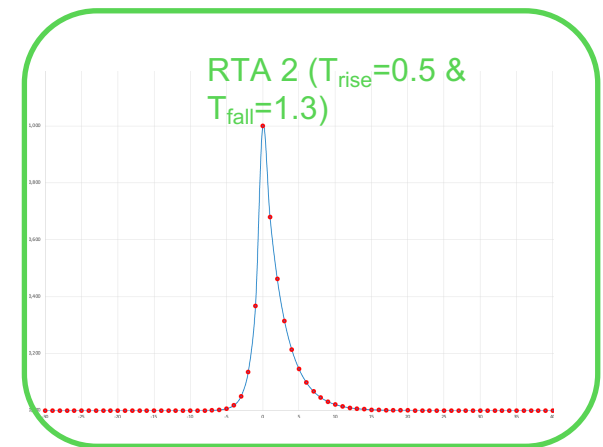
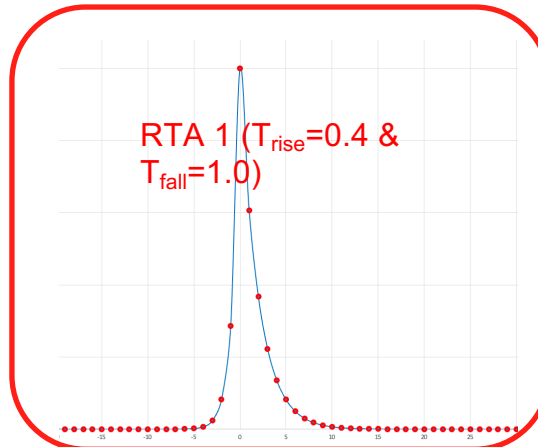
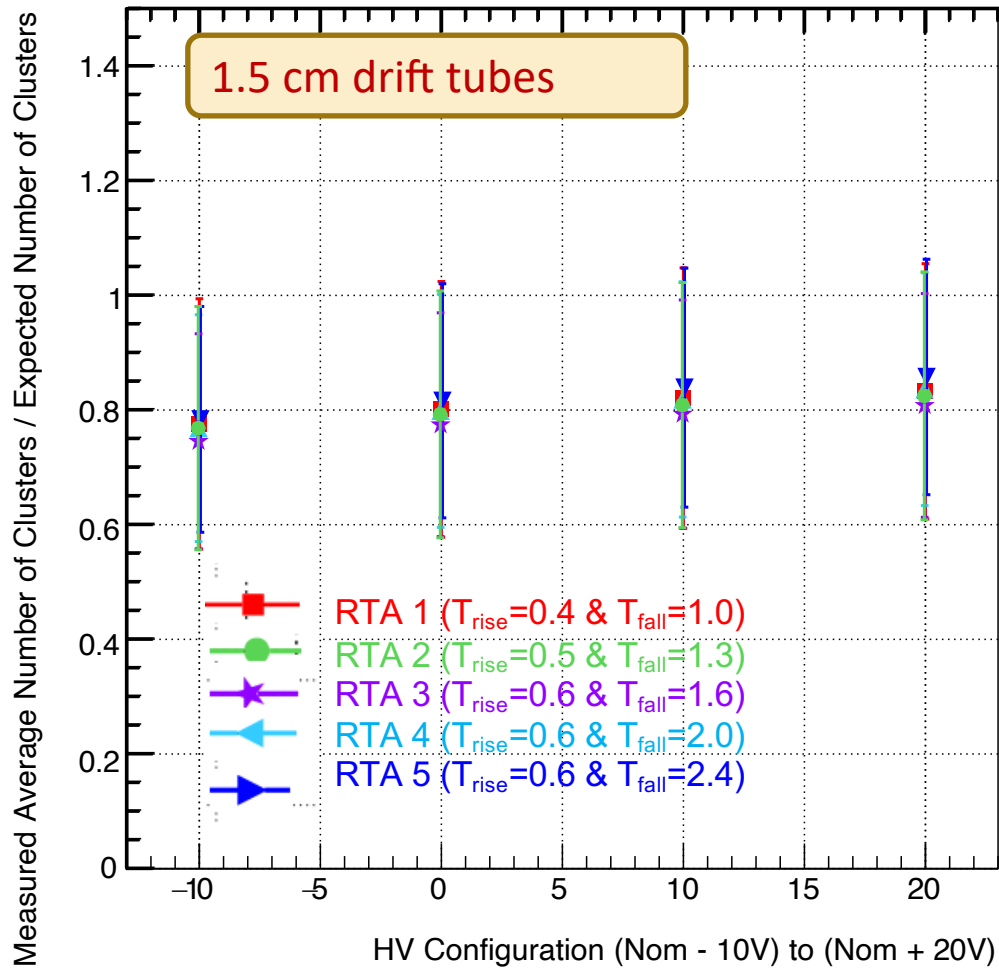
Clusters Finding Efficiency 1 cm cell size Drift Tubes 180 GeV





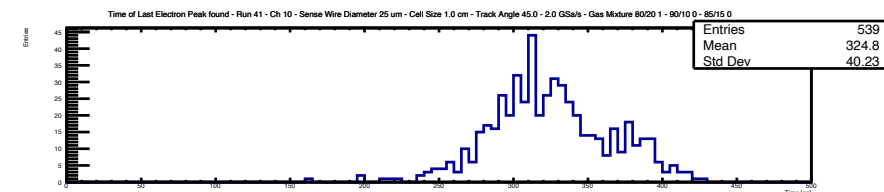
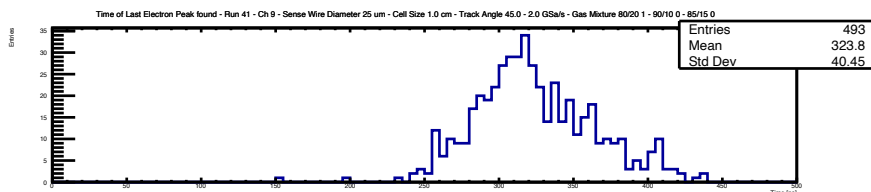
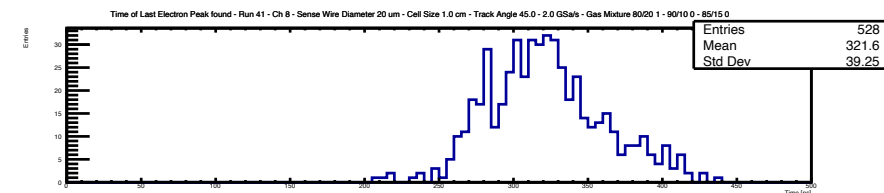
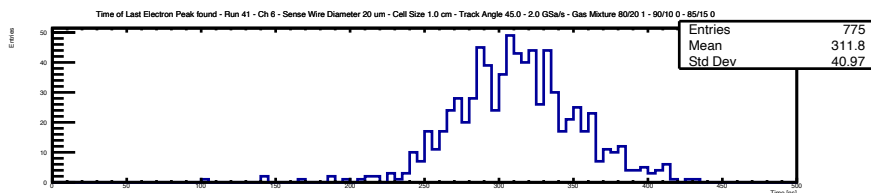
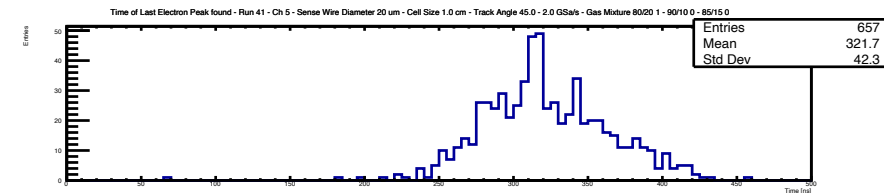
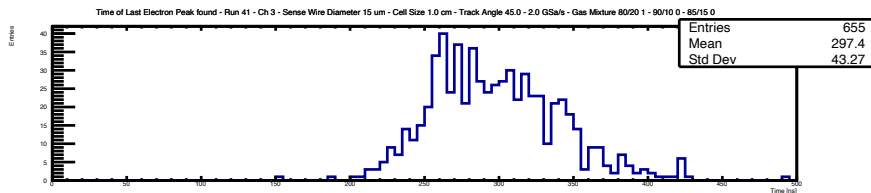
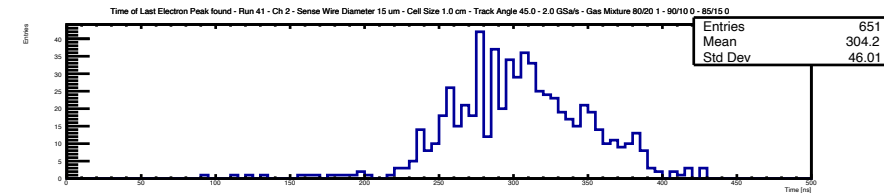
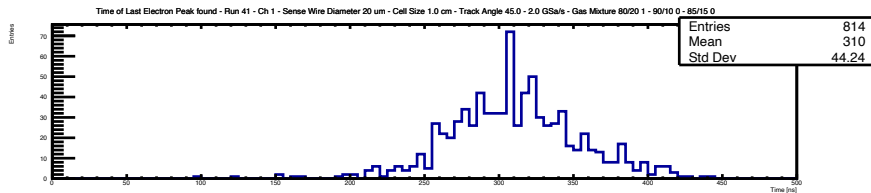
# RTA Templates scan

Clusters Finding Efficiency 1.5 cm cell size Drift Tubes 180 GeV



# Time of the last electron peak

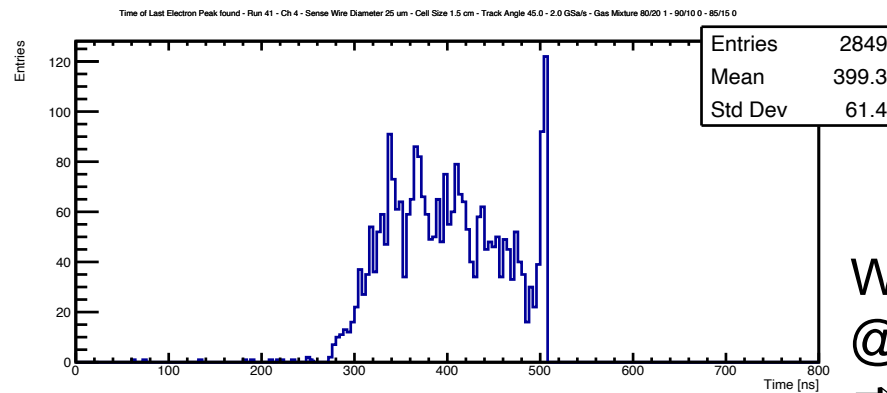
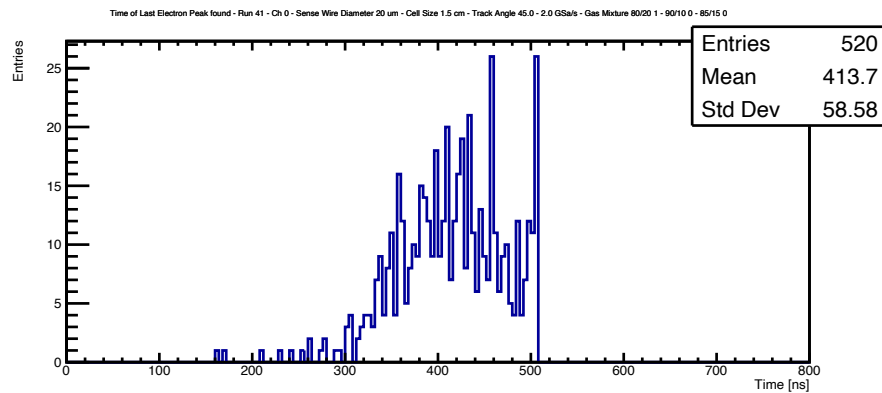
**Time of the last electron peak 1 cm tubes**  
Track Angle 45; Sampling rate 2 GSa/s; Gas Mixture He:IsoB 80/20



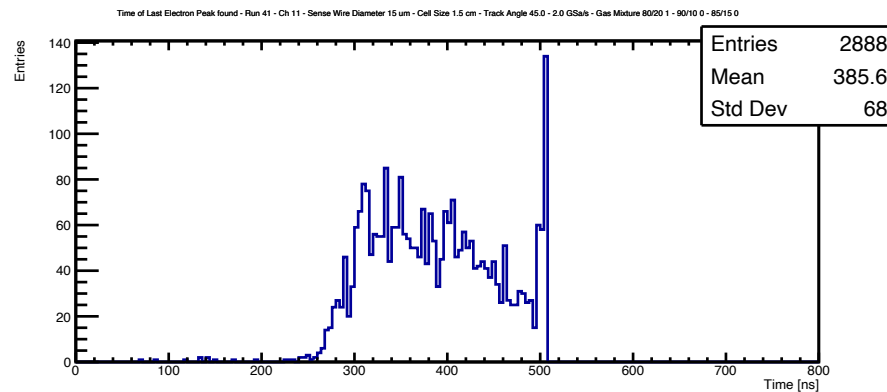
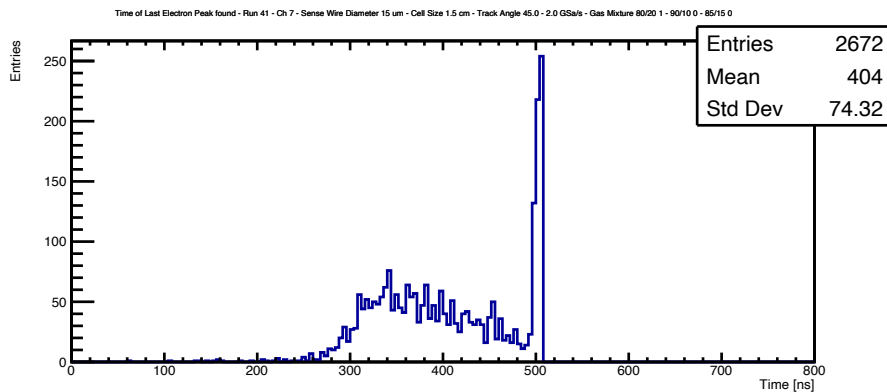
# Time of the last electron peak

**Time of the last electron peak 1.5 cm tubes**  
Track Angle 45; Sampling rate 2 GSa/s; Gas Mixture He:IsoB 80/20

Issue in 1.5 cm tubes due to 512 ns don't cover the full range.



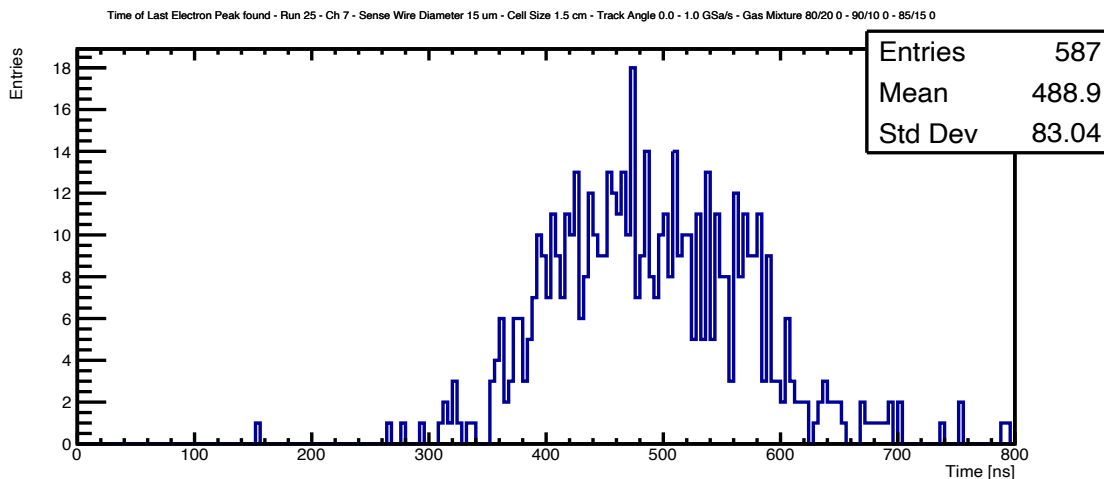
We have 1024 bins,  
@ 2GSa  $\Rightarrow$  1/2 ns/bin  
 $\Rightarrow$  512 ns.



# Time of the last electron peak

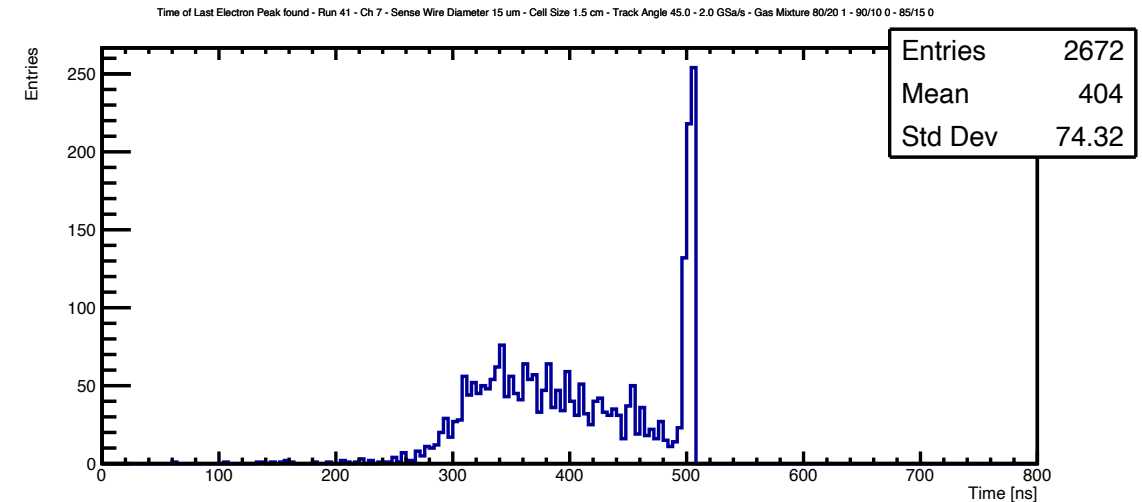
Time of the last electron peak 1.5 cm tubes, Track Angle 45; Gas Mixture He:isoB 80/20

Sampling rate 1 GSa/s;



We have 1024 bins,  
@ 1GSa  $\Rightarrow$  1 ns/bin  $\Rightarrow$  1024 ns.

Sampling rate 2 GSa/s;



We have 1024 bins,  
@ 2GSa  $\Rightarrow$  1/2 ns/bin  $\Rightarrow$  512 ns.

Issue in 1.5 cm tubes when using sampling rate 2GSa/s due to 512 ns don't cover the full range.

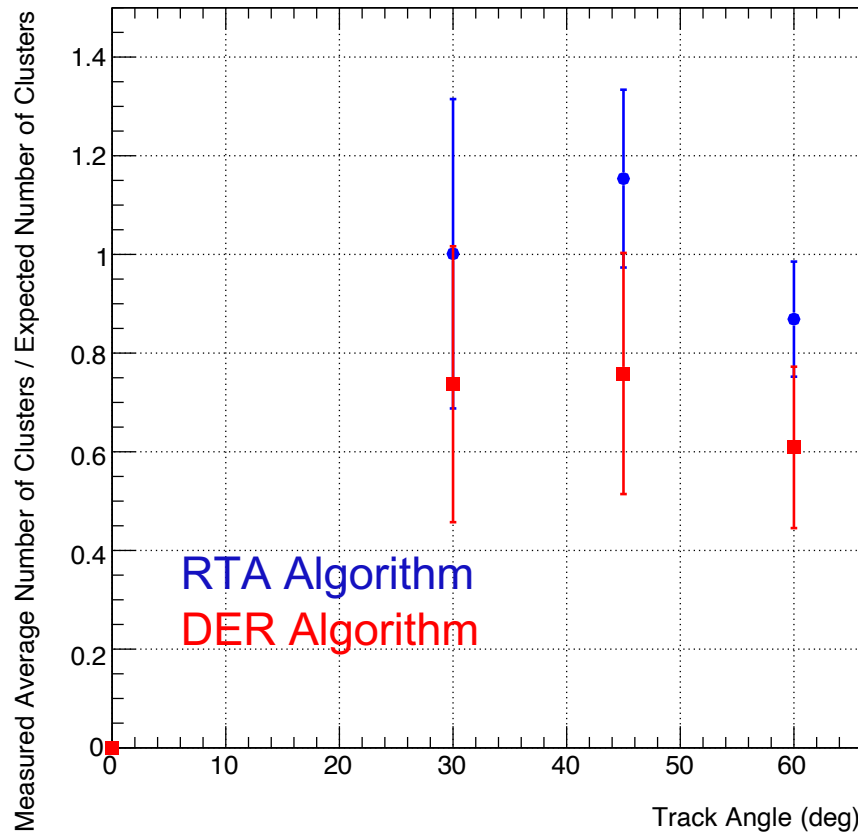
# Comparison between DERV and RTA algorithm

1 cm drift tubes

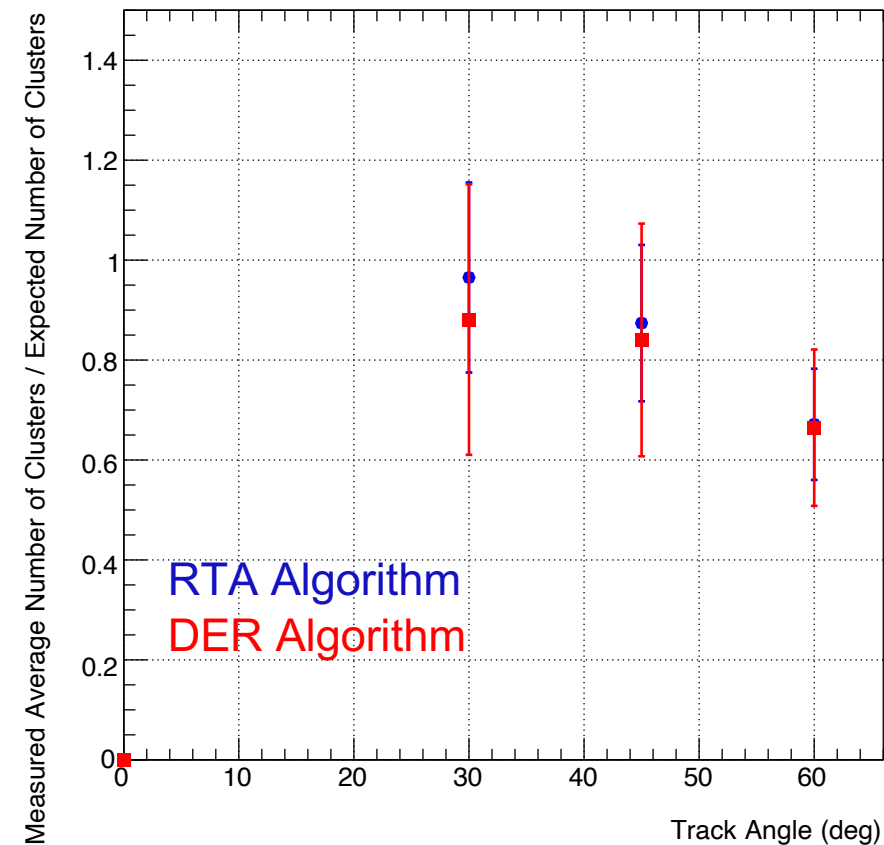
Sampling rate 1 GSa/s;

1.5 cm drift tubes

Clusters Finding Efficiency 1 cm cell size Drift Tubes 180 GeV



Clusters Finding Efficiency 1.5 cm cell size Drift Tubes 180 GeV



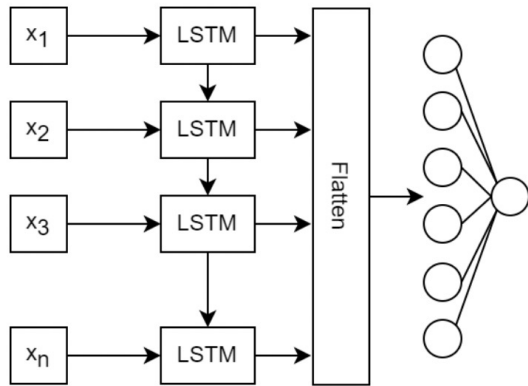
➤ Undercounting at  $\alpha > 45^\circ$  due to high electron peaks density.

# Peak finding algorithm with LSTM

The algorithm is under development in IHEP, for more information see [this talk](#) by Guang ZHAO.

## Peak finding with LSTM

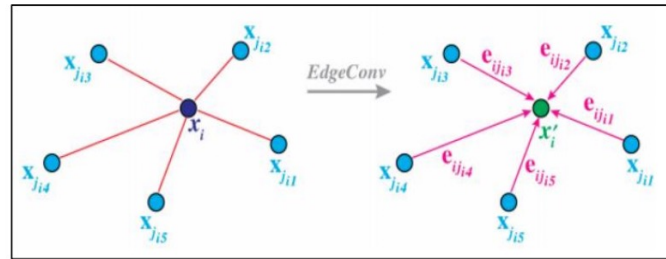
Why LSTM? Waveforms are time series



- Architecture: LSTM (RNN-based)
- Method: Binary classification of signals and noises on slide windows of peak candidates

## Clusterization with DGCNN

Why DGCNN? Locality of the electrons in the same primary cluster, perform message passing through neighbour nodes in GNN

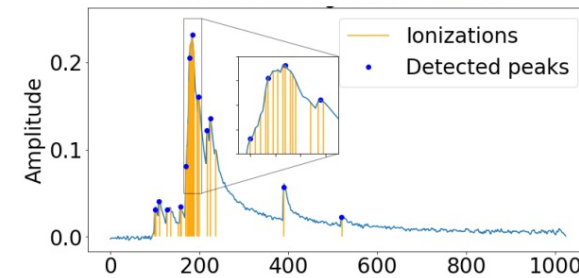


arXiv: 1801.07829

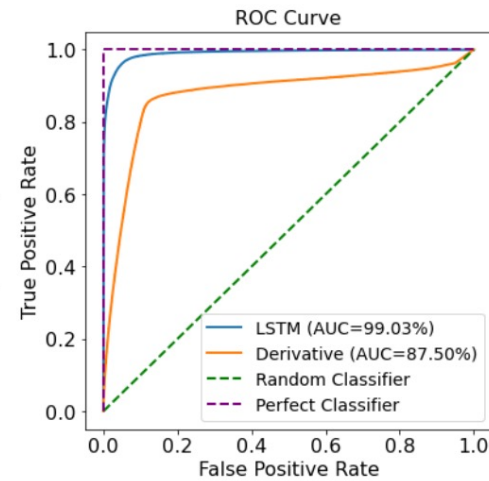
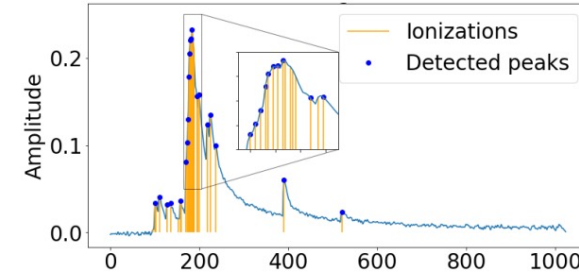
- Architecture: DGCNN (GNN-based)
- Method: Binary classification of primary and secondary electrons

DGCNN: Dynamic Graph Convolutional neural networks

## Derivative-based method



## LSTM



**LSTM model is better classifier compared to derivative-based model**

LSTM: Long Short-Term Memory

# Summary

- The cluster counting technique is a high powerful method to improve the particle identification capabilities: analytic evaluation and simulation confirm its potentials.
- Using the test beam data, we studied the counting efficiency, electron peaks and cluster density as a function of gas mixture, gain, geometrical configuration (cell size, sense wires size), sampling rate, and track angle.
- Two different promising algorithms have been developed and used for finding the electron peaks (DERV & RTA algorithms).
- There is a good agreement between the results from the two algorithms and the expectation.
- The application of the two different algorithms will be very useful for understanding the pathologies of both algorithms, *therefore, it will be extremely useful to have a third algorithm like the one being developed at IHEP with NN.*

Stay tuned for the new results from  
2023 test beam!

# Backup

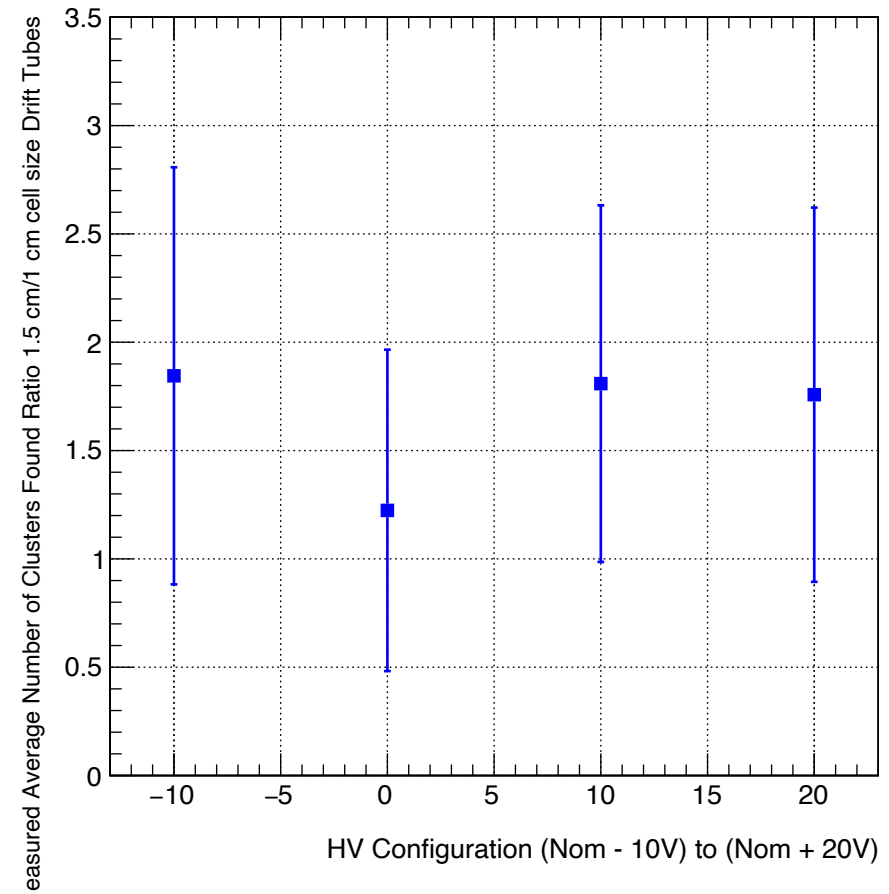
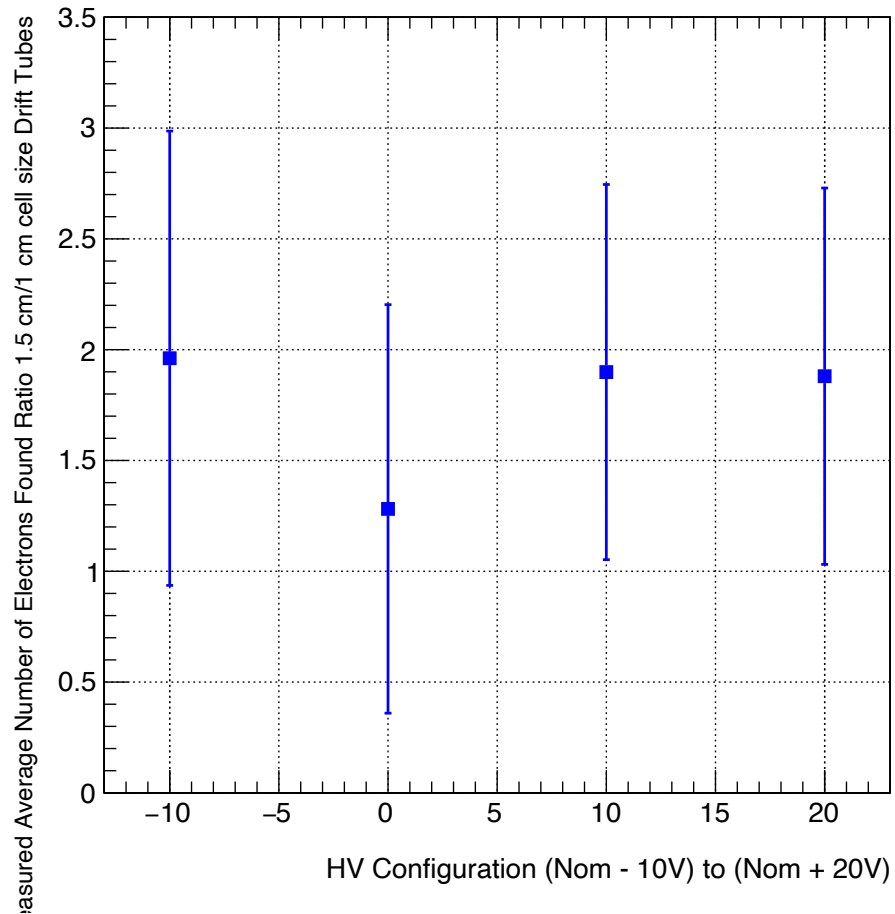


# Comparison between DERV and RTA algorithm

Electrons ratio (1.5/1 cm)

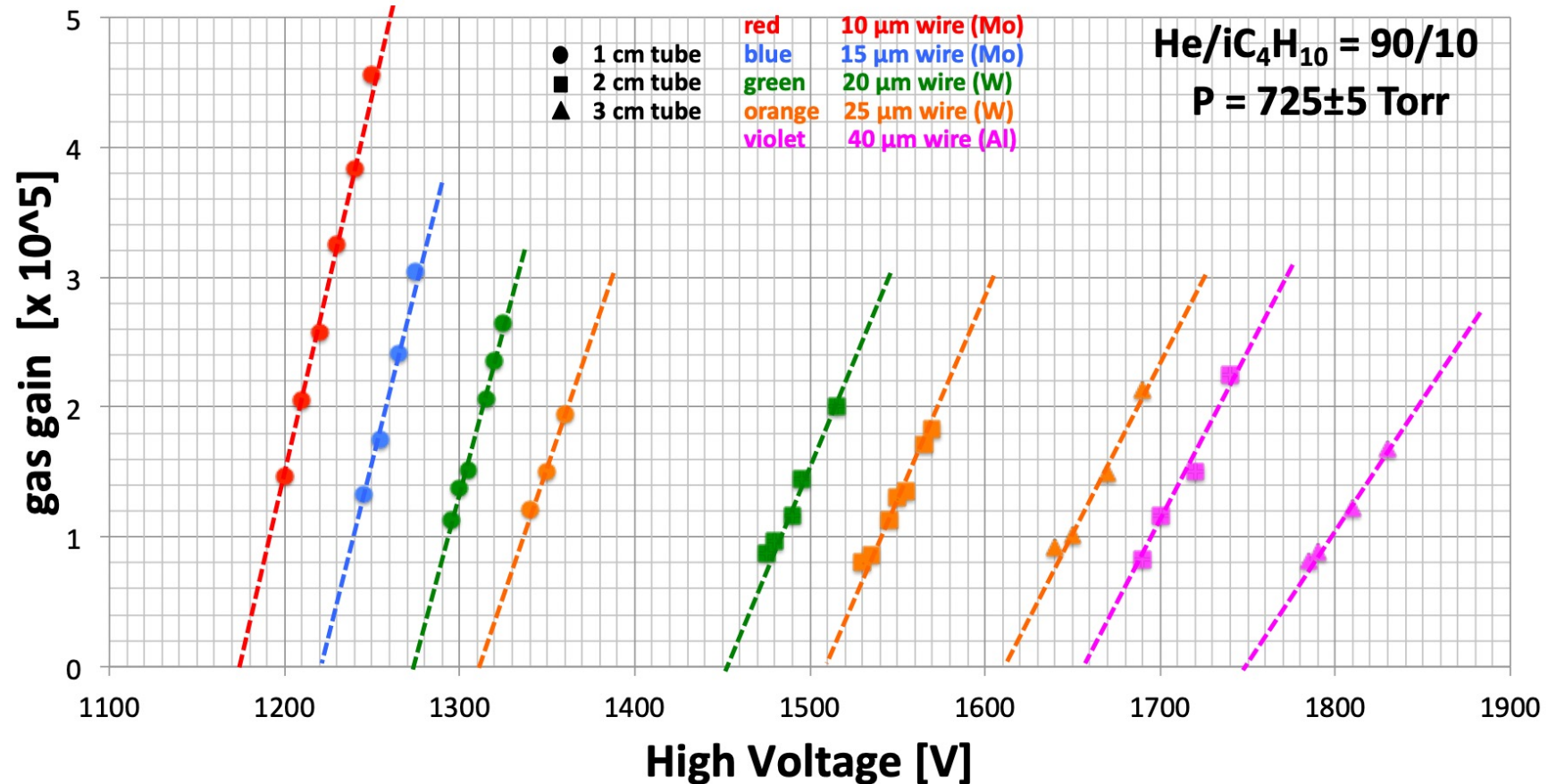
Sampling rate 1 GSa/s;

Clusters ratio (1.5/1 cm)



# Gas gain (Test Beam Nov 2021)

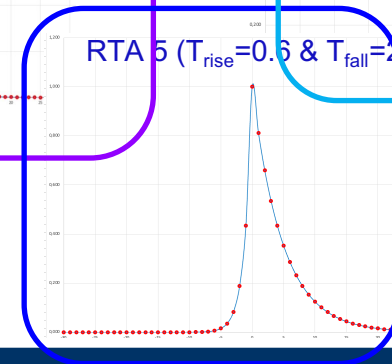
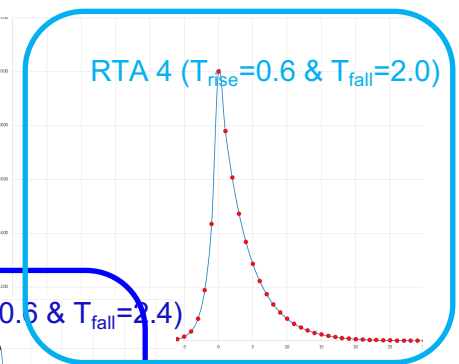
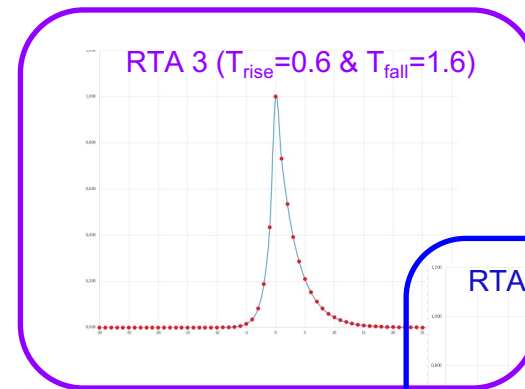
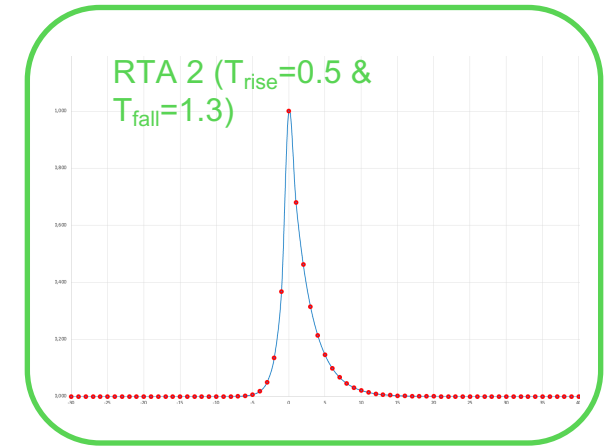
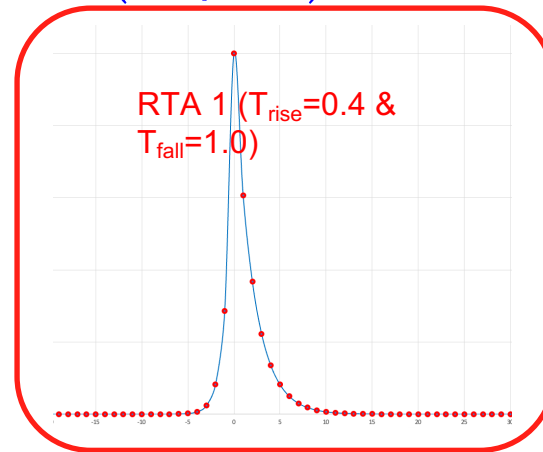
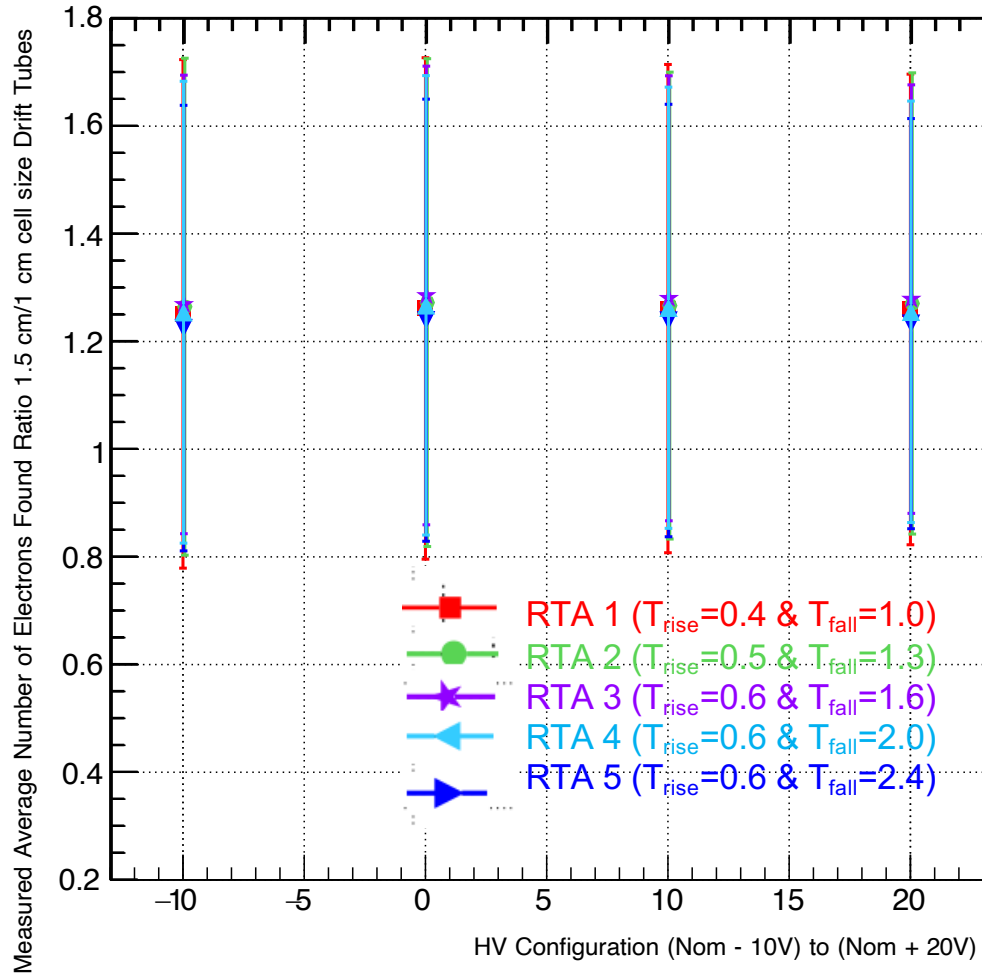
## measured gas gain vs HV (normal incidence)



# RTA scan ratio (1.5/1 cm tubes)

Electrons ratio

Ratio of drift tube size (1.2/0.8)



# RTA scan ratio (1.5/1 cm tubes)

Ratio of drift tube size (1.2/0.8)

Clusters ratio

