

UNIVERSITÀ DEGLI STUDI DI NAPOLI
FEDERICO II

ANOMALY DETECTION SEARCH IN RUN-3 USING THE VV FULLY HADRONIC CHANNEL: STATUS UPDATE

[ANTONIO D'AVANZO](#), FRANCESCO CONVENTI, ELVIRA ROSSI, FRANCESCO CIROTTO

ATLAS group of Naples meeting, Napoli, 03/03/2023

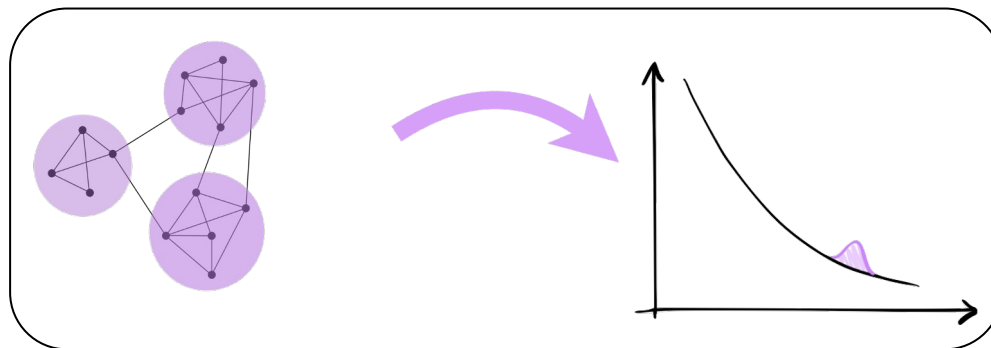
IN THE PREVIOUS EPISODE

➤ **The aim:**

- Graph Anomaly Detection algorithm for the discovery of diboson resonances decaying in fully hadronic final states with the ATLAS detector in run-III.
 - Anomaly Detection: **model-independent** approach, sensitive to more than one signal hypothesis as it only detects «anomalies» w.r.t. background.

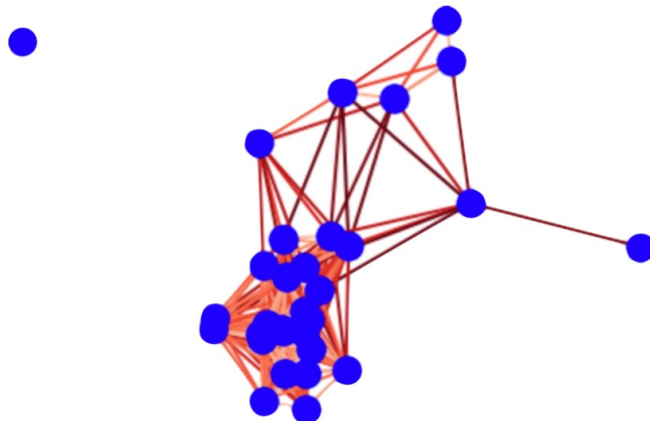
➤ **The strategy:**

- Reconstructed jets at each event are represented as graphs.
- This dataset of graphs is then used to train a Graph Neural Network (GNN) model in order to classify anomalous signal vs background by means of an anomaly score.



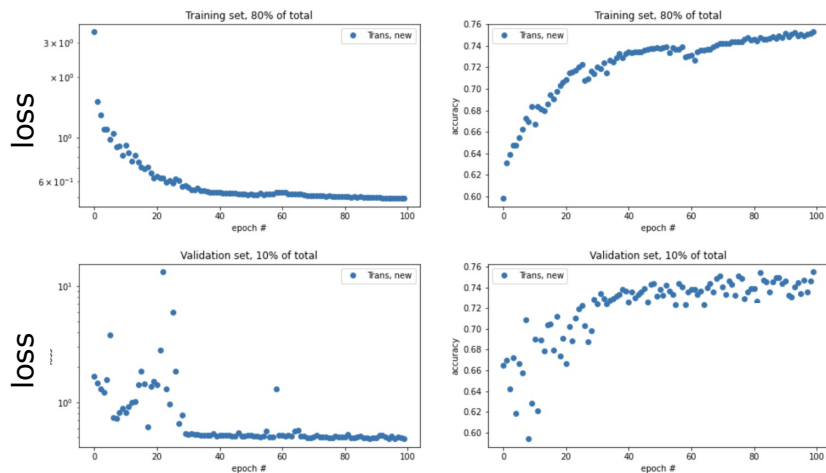
LAST STATE-OF-THE-ART

- Graph: Structured objects composed of entities used to describe and analyze relations and interactions (**edges**) between such entities (**nodes**).
- **Definition of a jet**
 - Entites:
 - ❑ Nodes → topoclusters contained in each jet reconstructed with anti- k_t algorithm
 - ❑ Edges → Created only if $\Delta R < 0.4$ between two topoclusters, no self-loops
 - Features:
 - ❑ Nodes → pT fraction, η , ϕ .
 - ❑ Edges → $1/(\Delta R + \varepsilon)$

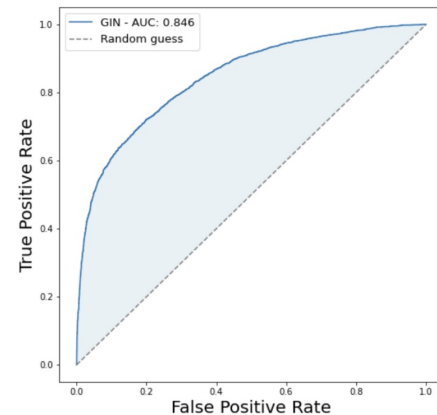


LAST STATE-OF-THE-ART: CLASSIFIER

- Graph Isomorphism Network trained on LHC Olympics 2020 R&D dataset for jet-level classification of anomalous sig vs bkg.
 - Supervised approach to provide baseline and check task doability.
 - **Signal:** $Z' \rightarrow XY \rightarrow qqqq \rightarrow JJ$
 - **Background:** QCD di-jet.



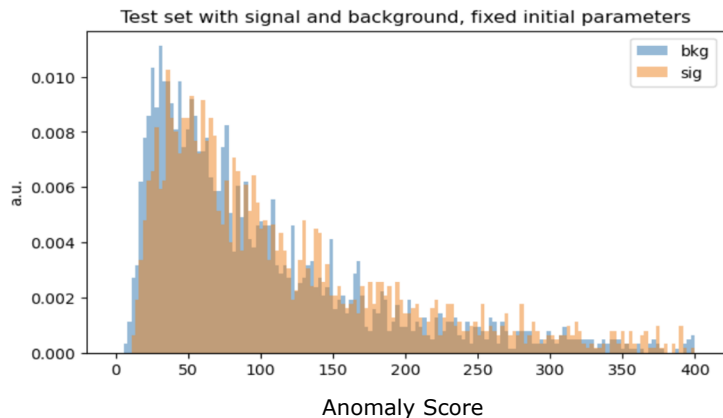
Supervised training



- Accuracy: **0.743**
- AUC: **0.832**

LAST STATE-OF-THE-ART: ANOMALY DETECTION

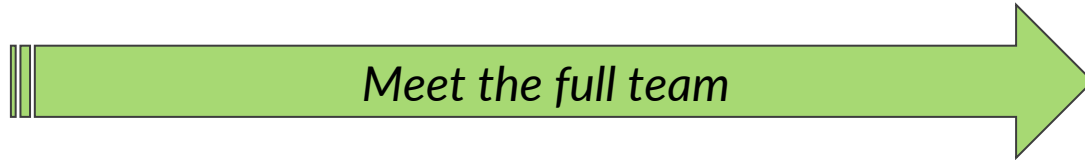
- Graph Isomorphism Network trained on LHC Olympics 2020 R&D dataset for jet-level classification of anomalous **sig** vs **bkg**.
 - Unsupervised way, actual anomaly detection algorithm.
 - Anomaly Score computed to decide whether jet is signal or background.



- AUC: **0.572**

TEAM UPDATE

- Collaborative effort between the Napoli and Roma I ATLAS groups.
 - New addition to the team: master thesis student Antonio Corvino.
 - He will start working on the AD network in the next month.

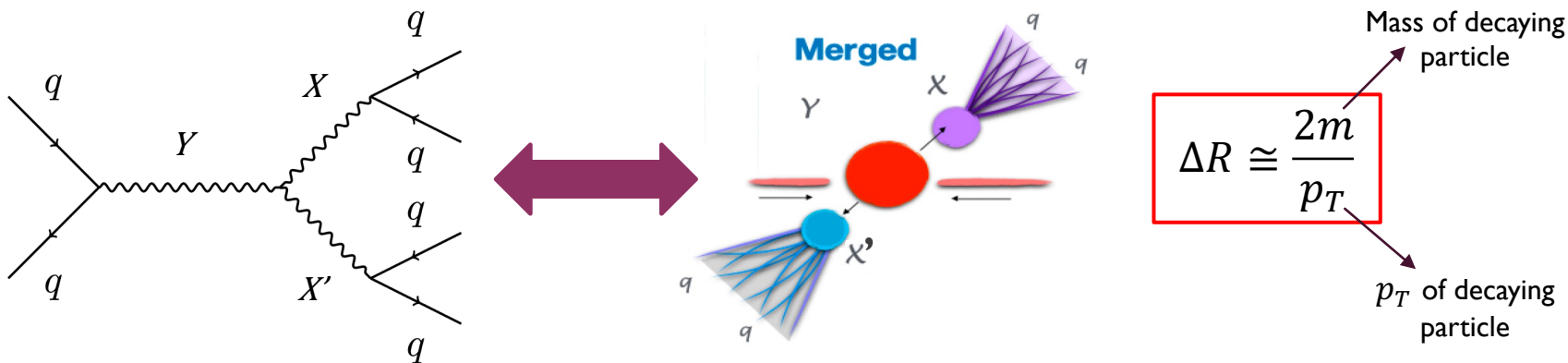


Who	Where	Role	FTE
Valerio Ippolito	Roma 1	Faculty	0.3
Stefano Giagu	Roma 1	Faculty	0.1
Graziella Russo	Roma 1	PhD (expected 2025)	1.0
Francesco Conventi	Napoli	Faculty	0.1
Elvira Rossi	Napoli	Faculty	0.1
Francesco Ciroto	Napoli	Post-doc	0.3
Antonio D'Avanzo	Napoli	Post-master fellow	1.0
Antonio Corvino	Napoli	<u>Master student</u>	1.0



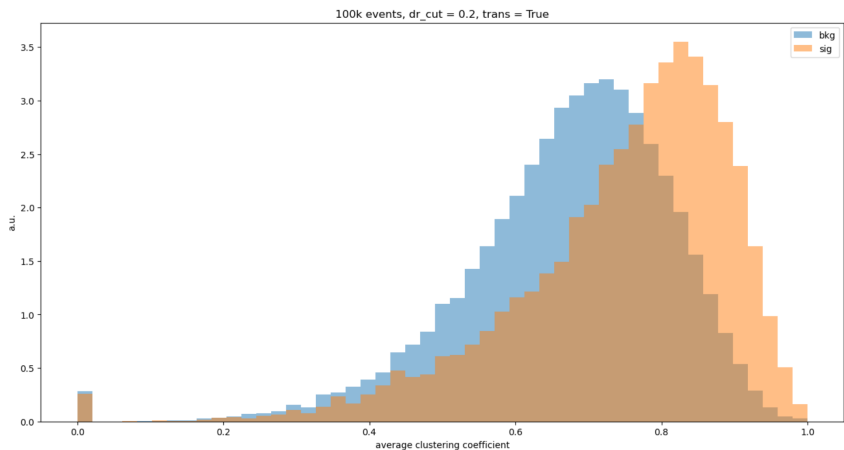
ANALYSIS CHANNEL OF INTEREST

- Analysis received kick-off in recent [DBL meeting!](#) [Glance page](#) also created.
 - Defined physics channel of research, to be conducted in the scope of DBL analyses.
- Events signature
 - **Background:** QCD multi-jet, to be estimated with data-driven approach (typical of unsupervised tasks).
 - **Signal:** $Y \rightarrow XX' \rightarrow qqqq$, where X or X' can be either SM or BSM bosons.
- Aiming at the kinematic region where X and X' are produced with significant Lorentz boost due to large mass difference between parent and daughters particles.
 - Y reconstructed with two large- R jets (fatjets) of radius ΔR , defined as **merged regime**.

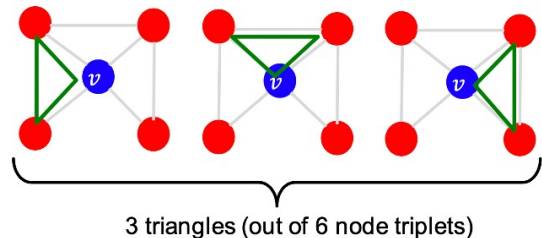
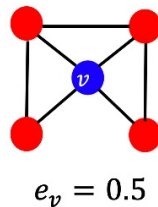


GRAPH REPRESENTATION UPDATE

- Change to the cut on dr between two topoclusters to decide whether they are connected or not.
 - $\Delta R < 0.4 \rightarrow \Delta R < 0.2$, doing so enhances the structural diversities of signal and background.
- On-going studies to find new node features to add as input to the network ($[pTfrac, \eta, \phi]$ at the moment).
 - Main focus on the average clustering coefficient (e_v) computed for each graph, D2 and τ jet variables next.
 - Need to understand how to incorporate global quantities as node features for the GNN.



$$e_v = \frac{\#(\text{edges among neighboring nodes})}{\binom{k_v}{2}} \in [0,1]$$



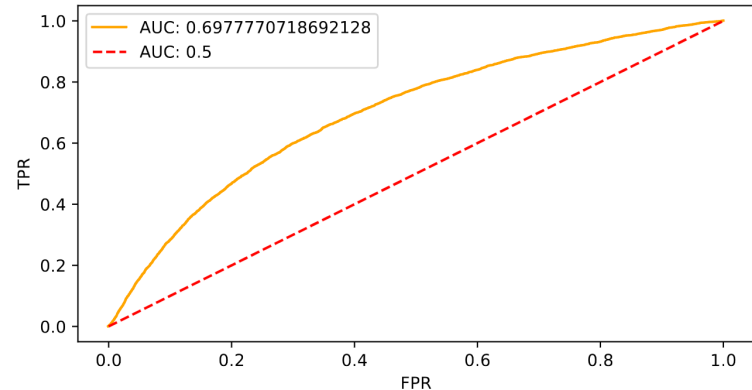
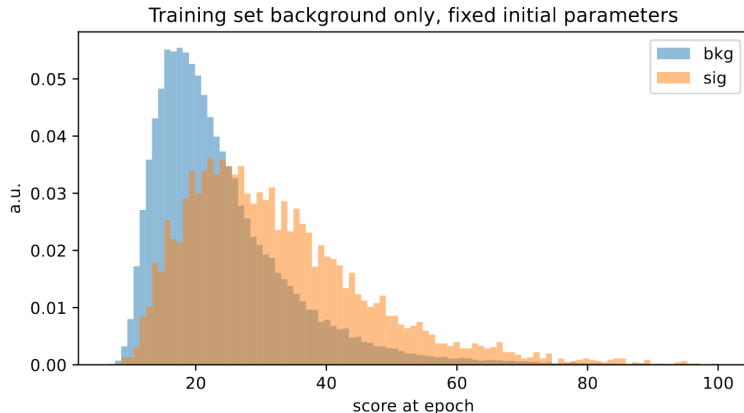
ANOMALY DETECTION GNN ARCHITECTURE UPDATE

- Graph vectorial representation \hat{y}_G is obtained by pooling procedure from output of GNN, which is the vectorial representation h_v for each node of a graph.

$$\hat{y}_G = \text{Sum}(\{\mathbf{h}_v^{(L)} \in \mathbb{R}^d, \forall v \in G\}) \xrightarrow{\text{new!}} \hat{y}_G = \text{Max}(\{\mathbf{h}_v^{(L)} \in \mathbb{R}^d, \forall v \in G\})$$

Pooling function **Pooling function**

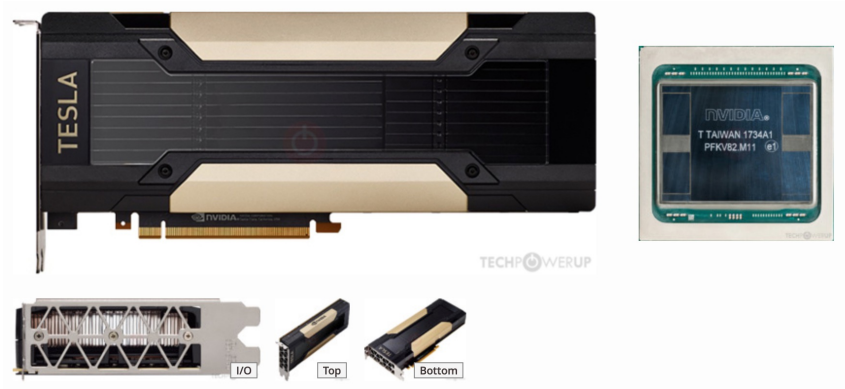
- Consequent increase in performance, also aided by tuning the number of GIN layers and change of ΔR cut.



COMPUTATIONAL ENVIRONMENT UPDATE

- GNN development done on hardware provided with 2 GPUs (INFN I.Bi.S.Co cluster), although last time we were running trainings on CPU.
 - Now parallel training on one GPU, reducing computation time by 1/2 or 1/8 based on the used algorithm.
 - Could still gain from the second GPU, but complex changing in code is needed.
- Currently working on only one node of the cluster
 - Parallelization to all nodes at dispose is possible, work in progress with the help of Bernardino Spisso.

- 2x NVIDIA Tesla V100S PCIe 32 GB, 5120 cores
- Cluster composed of 6 nodes.



CONCLUSION

- Many changings applied w.r.t. last group I meeting.
 - New addition to the team from Naples side (Antonio Corvino).
 - Defined physics channel of interest ($Y \rightarrow XX' \rightarrow JJ$).
 - Changing of the value of graph connection criteria, on-going studies on new node features.
 - Increase in AD algorithm performance by $\sim 12\%$ thanks to max pooling function and fine tuning.
 - Computational time reduced by running GNN training on GPU.

- Next steps:
 - **About the model:**
 1. Testing GNN performance with other supervised (random forest, transformer) and unsupervised (autoencoder) techniques (WIP from Graziella Russo).
 2. Testing new Loss functions for maximising network performances ([OCGTL](#), [GLocalKD](#)).
 3. Use of multiples nodes of I.Bi.S.Co cluster to run training, also both GPUs per nodes.
 - **About the dataset:**
 1. Inclusion of full detector info and event-based anomaly score, studies on new input features.
 2. Migration to real dataset to explore run-III data gathered by the ATLAS detector for new DBL searches or rediscovery of known resonances (WIP from Francesco Cirotto).

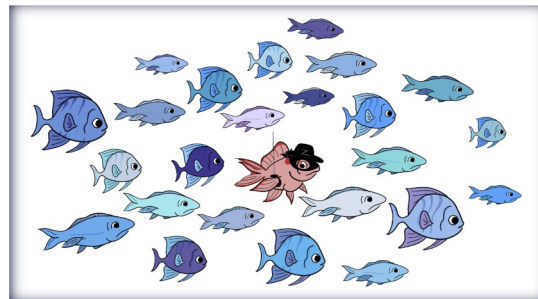
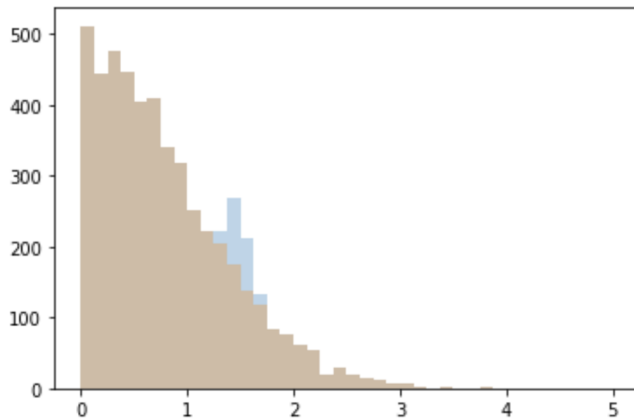
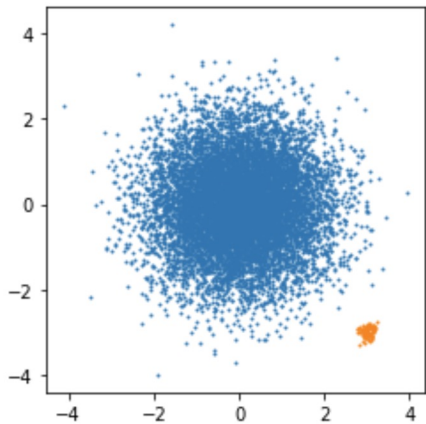
Thank you for your attention!



BACKUP

WHAT IS ANOMALY DETECTION?

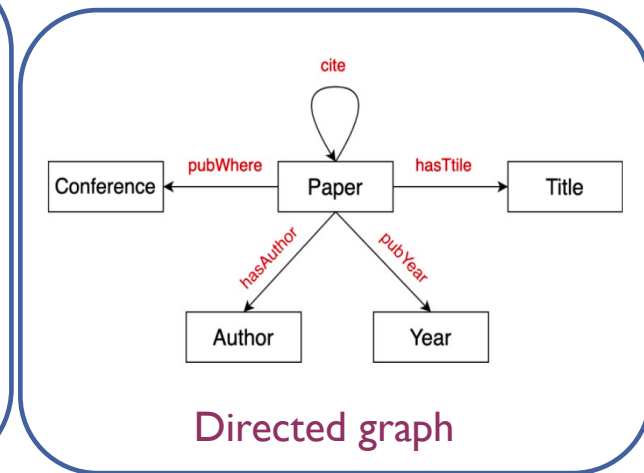
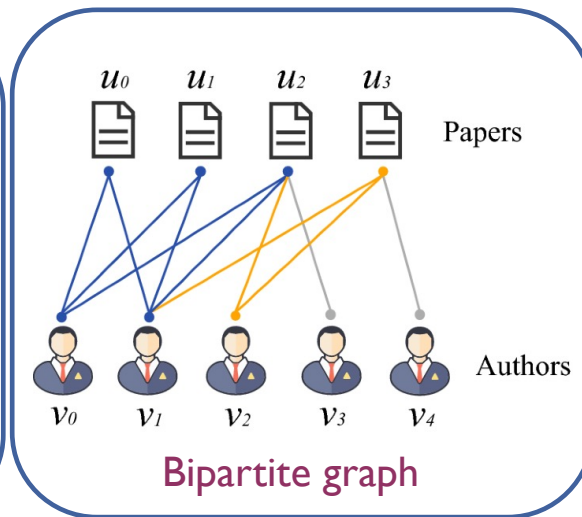
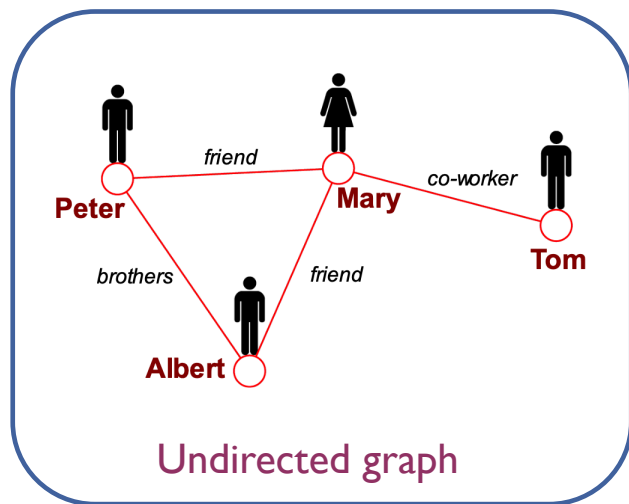
- **Anomalies:** abnormal objects significantly different from **other members** of a sample.
 - Anomaly Detection refers to ML techniques used to spot these outliers.
- Particle physics scenario → Identification of **model-independent** **features** of detector data inconsistent with the expected **background**.
 - Related works: [PRL 125 131801](#), [arXiv:2105.09274](#), [ATLAS-CONF-2022-045](#).



Find the intruder!

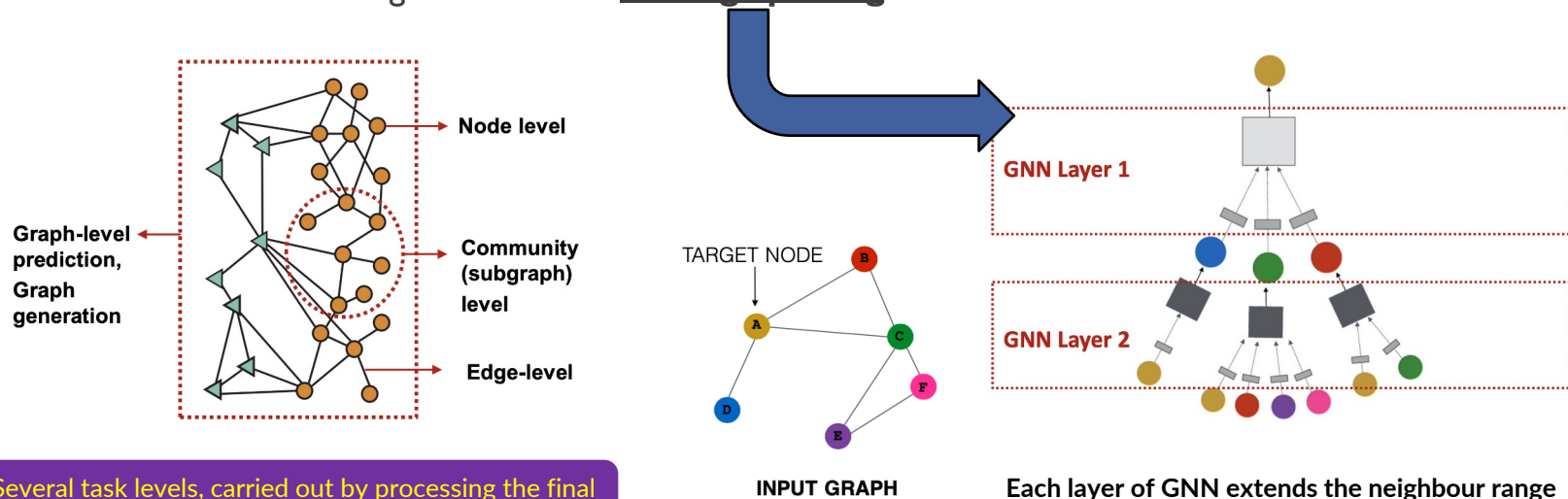
WHAT ARE GRAPHS?

- Structured objects composed of entities used to describe and analyze relations and interactions (**edges**) between such entities (**nodes**).
 - Nodes and edges typically contain features specific to each element and each pair.
 - Many types of graphs based on the relations: directed, heterogeneous, bipartite, weighted ecc.



GRAPH NEURAL NETWORKS

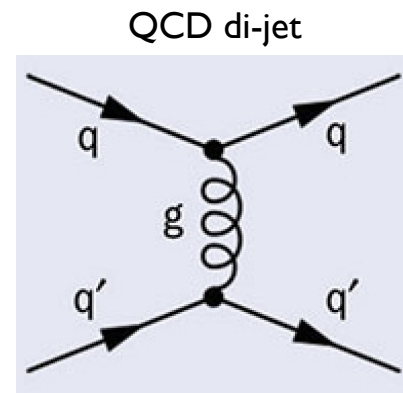
- Graph Neural Networks (GNNs) are ML architectures built specifically to make predictions on graphs, exploiting their relational nature.
 - Based on learnt vector representation (embedding) of each node of the input graphs.
- The embeddings are updated at each layer by aggregating the information passed between the target node and the nodes from its closest neighbourhood → **message passing**



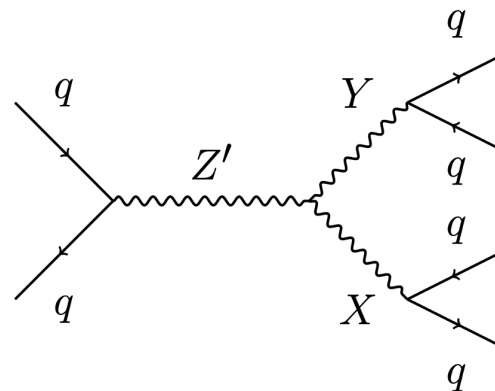
Several task levels, carried out by processing the final node embeddings in certain ways.

CURRENT DATASET

- Benchmark application with [LHC Olympics 2020](#) R&D dataset.
 - MC generated dataset built specifically for anomaly detection.
 - 1.1M total events, 1M background and 100k anomalous signal.
- Events signature
 - **Background:** QCD di-jet.
 - **Signal:** $Z' \rightarrow XY \rightarrow qqqq$, particles reconstructed as fatjets with large radius $R = 1$.

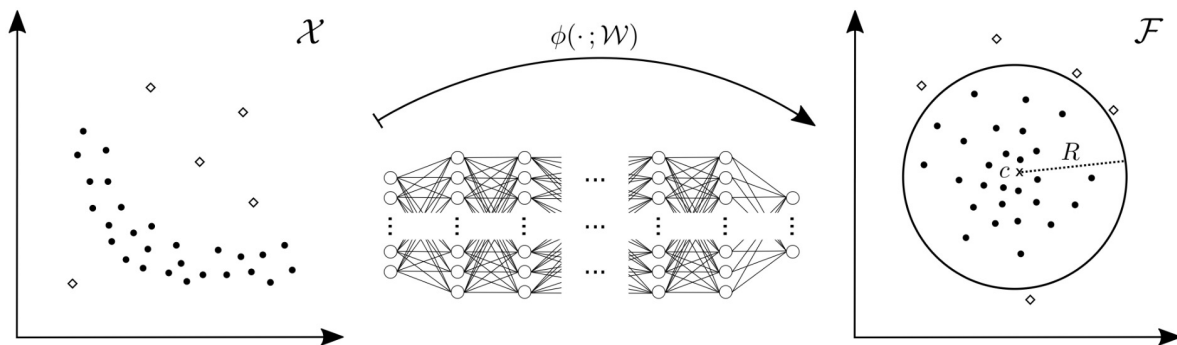


Particle	Mass [GeV]
Z'	3500
X	500
Y	100



DEEP SUPPORT VECTOR DATA DESCRIPTION (DEEP SVDD)

- Deep SVDD works by minimizing an objective in order to learn and optimize the radius R of a hypersphere in the output space \mathcal{F} which only contains outputs from non-anomalous data features X .
- Output space defined by the output of the considered ML architecture (NN, MLP, GNN, ecc.)
- Output from anomalies falls outside of the hypersphere and is identified by its distance from the center c .



objective

$$\min_W \frac{1}{N} \sum_{i=1}^N \|\text{GIN}(G_i; W) - c\|^2 + \frac{\lambda}{2} \sum_{l=1}^L \|W^l\|_F^2 \quad W = \{W^1, \dots, W^L\}$$

$$s(\mathbf{x}) = \|\phi(\mathbf{x}; W^*) - c\|^2$$

Anomaly Score

GRAPH ISOMORPHISM NETWORK (GIN)

- **GIN** formulation employs both message passing and MLPs, making it the most expressive GNN:

$$\text{MLP}_{\Phi} \left((1 + \epsilon) \cdot \text{MLP}_f(c^{(k)}(v)) + \sum_{u \in \mathcal{N}(v)} \text{MLP}_f(c^{(k)}(u)) \right)$$

↓
learnable parameter

$$c^{(k)}(u) \leftrightarrow h_j^{(l)}$$

Embedding of node u at layer (k)

- This expression can be rewritten in a more general way, also allowing for edge weights to be considered in the graph convolution.

$$h_i^{(l+1)} = f_{\Theta} \left((1 + \epsilon)h_i^l + \text{aggregate} \left(\{e_{ji}h_j^l, j \in \mathcal{N}(i)\} \right) \right)$$

- Aggregate can be any permutation invariant function (Sum, Mean, Max ecc.)

GLOCALKD

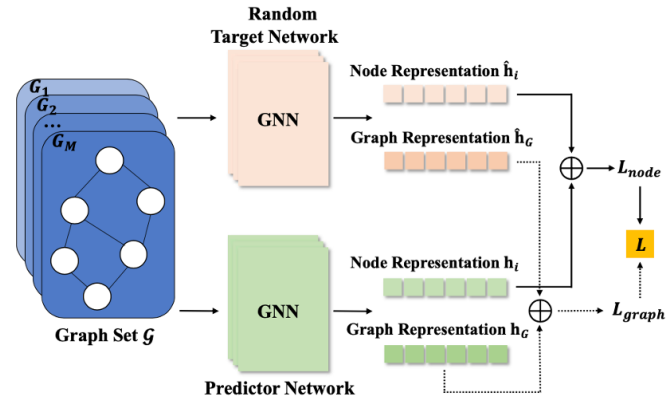
- Article: [Deep Graph-level Anomaly Detection by Glocal Knowledge Distillation](#).
- Employs a variation of Knowledge Distillation (KD) technique, where the initial goal is to train a simple model that synthesize the knowledge of a large model while maintaining similar accuracy as the large model.
 - Random Knowledge Distillation for joint distillation at node-level and graph-level.
- Implements two GNNs:
 - Random Target Network, not-trained and randomly initialized, used as reference to learn the normal patterns of our dataset.
 - Predictor Network, trained by comparing its node and graph representations (h_G, h_i) with the ones from the above network (\hat{h}_G, \hat{h}_i) through a KD function.

Loss function for unsupervised learning:

$$L = L_{graph} + \lambda L_{node}$$

$$\rightarrow L_{graph} = \frac{1}{|\mathcal{G}|} \sum_{G \in \mathcal{G}} KD(h_G, \hat{h}_G),$$

$$\rightarrow L_{node} = \frac{1}{|\mathcal{G}|} \sum_{G \in \mathcal{G}} \left(\frac{1}{|\mathcal{V}_G|} \sum_{v_i \in \mathcal{V}_G} KD(h_i, \hat{h}_i) \right)$$



GLOCALKD PAPER MODEL

- KD function in L chosen as error between the two networks output.

$$L = L_{graph} + L_{node}$$

$\lambda = 1$

$$L_{graph} = \frac{1}{|\mathcal{G}|} \sum_{G \in \mathcal{G}} \|\mathbf{h}_G - \hat{\mathbf{h}}_G\|^2,$$
$$L_{node} = \frac{1}{|\mathcal{G}|} \sum_{G \in \mathcal{G}} \left(\frac{1}{|G|} \sum_{v_i \in \mathcal{V}_G} \|\mathbf{h}_i - \hat{\mathbf{h}}_i\|^2 \right)$$

- Anomaly Score computed for test dataset:

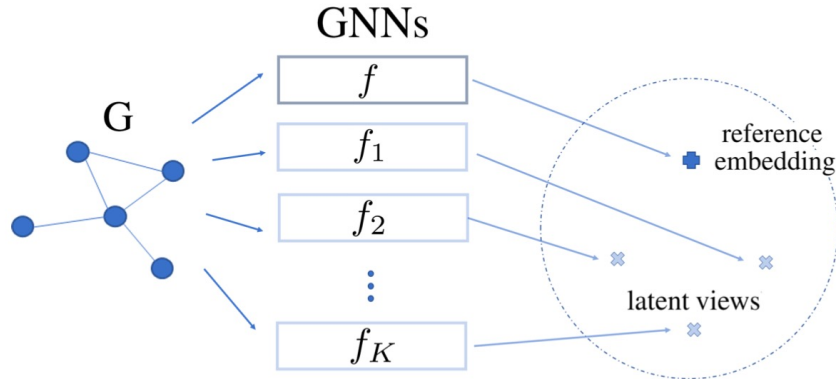
$$f(G; \hat{\Theta}, \Theta^*) = \|\mathbf{h}_G - \hat{\mathbf{h}}_G\|^2 + \frac{1}{|G|} \sum_{v_i \in \mathcal{V}_G} \|\mathbf{h}_i - \hat{\mathbf{h}}_i\|^2$$

$G \rightarrow$ number of nodes for graph \mathcal{G}

- Things to note:
 - Node degree used as node feature for training.
 - Max pooling to obtain graph representation.

OCGTL

- Article: [Raising the Bar in Graph-level Anomaly Detection](#).
- Combines one-class classification of OCGIN and neural transformation learning.
- One reference GNN and K additional GNNs are trained together in order to detect anomalies.
 - Each representation obtained now is used to learn the optimal hypersphere radius of non-anomalies region.
- Advantage w.r.t. DeepSVDD objective:
 - No hypersphere collapse, center can be treated as learnable parameter.
 - More robust training.
 - No performance flip.



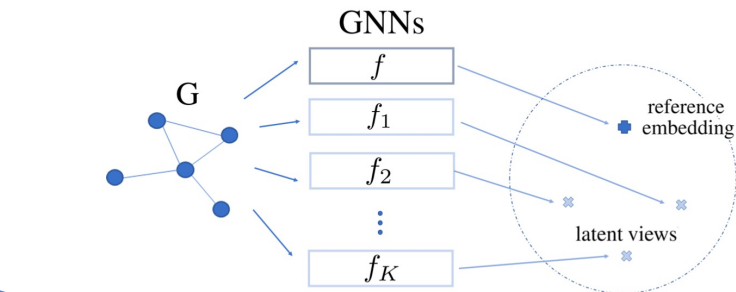
OCGTL LOSS FUNCTION

- Consists of two terms:

$$\mathcal{L}_{\text{OCGTL}}(\mathbf{G}) = \mathcal{L}_{\text{OCC}}(\mathbf{G}) + \mathcal{L}_{\text{GTL}}(\mathbf{G})$$

$$\mathcal{L}_{\text{OCC}}(\mathbf{G}) = \sum_{k=1}^K \|(f_k(\mathbf{G}) - \theta)\|_2$$

One class contribute



$$\mathcal{L}_{\text{GTL}}(\mathbf{G}) = - \sum_{k=1}^K \log \frac{c_k}{C_k}$$

$$c_k = \exp \left(\frac{1}{\tau} \text{sim}(f_k(\mathbf{G}), f(\mathbf{G})) \right),$$

$$C_k = c_k + \sum_{l \neq k} \exp \left(\frac{1}{\tau} \text{sim}(f_k(\mathbf{G}), f_l(\mathbf{G})) \right)$$

Transformation learning contribute

- $\theta \rightarrow$ center of the hypersphere, sim chosen as cosine similarity $\rightarrow z^T z' / \|z\| \|z'\|$
- τ temperature parameter, final loss on training dataset at each epoch computed as $\mathbb{E}_{\mathbf{G}} [\mathcal{L}_{\text{OCGTL}}(\mathbf{G})]$