

# Callan-Rubakov effect in a chiral gauge theory

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Based on a work in progress with: Stefano Bolognesi and Bruno Bucciotti

# Fermion-monopole scattering

Take a  $U(1)$  theory, a Dirac monopole (very heavy  $M_{monopole} \rightarrow \infty$ )

$$\vec{B} = \frac{m\hat{r}}{2r^2}, \quad m \in \mathbb{Z}$$

and scatter a charged ( $q \in \mathbb{Z}$ ) left-handed fermion on it.

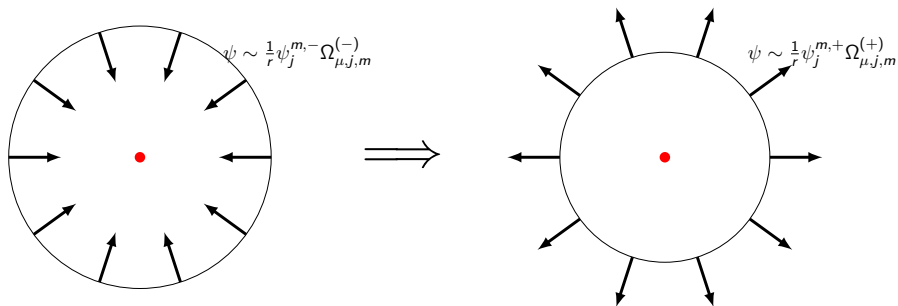
The  $SO(3)_{rot}$  symmetry is unbroken, but the angular momentum is deformed

$$j = \mu - \frac{1}{2}, \mu + \frac{1}{2}, \mu + \frac{3}{2}, \dots \quad \text{where } \mu = \frac{1}{2}|qm|$$

$$j = 0, 1, 2, \dots \quad \text{for } q = m = 1$$

$$j = \frac{1}{2}, \frac{3}{2}, \dots \quad \text{for } q = 2 \quad m = 1$$

The scattering of the  $j = \mu + \frac{1}{2}, \mu + \frac{3}{2}, \dots$  modes is simple. At 0th order



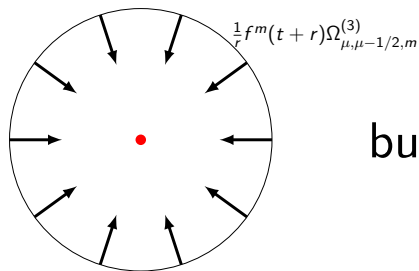
...they bounce on a centrifugal barrier and never reach the core!

Two modes for each  $j, m$ , described by

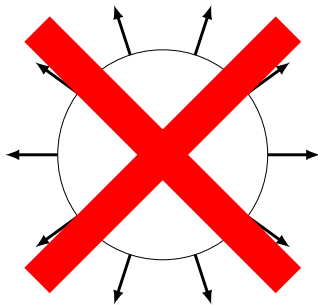
$$\left( i\gamma_{2d}^{\mu} \partial_{\mu} - \frac{\tilde{\ell}}{r} \right) \psi_{\mu,j,m}^{2d} = 0 \quad \tilde{\ell} \geq 1/2$$

automatically a well-defined Hamiltonian (Calogero problem).

The scattering of the  $j = \mu - \frac{1}{2}$  is a bit of a puzzle!



but



The mode is purely in-coming (out-going) depending on the chirality (charges):

$$\psi = \frac{1}{r} f^m(t \pm r) \Omega_{\mu, \mu-1/2, m}^{(3)}$$

But a low-energy fermion cannot be simply absorbed:

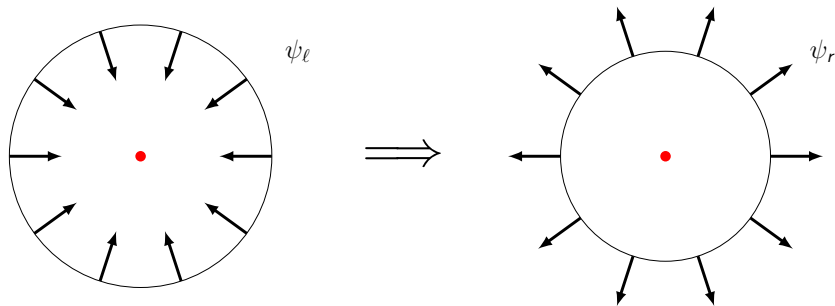
$$M_{\text{dyon}} - M_{\text{monopole}} \gg E$$

So, what happens?

In QED we know what happens thanks to Callan and Rubakov:

- Around the monopole there is a  $\langle \psi_\ell \psi_r \rangle \propto r^{-3}$  condensate.
- This allows the non-conservation of  $U(1)_A$ :

$$\psi_\ell + M \rightarrow \psi_r + M$$



(For the multi-flavor ( $N_f \geq 4$ ) case the out state has fractional fermion numbers... the interpretation is still debated...)

But what happens in a chiral gauge theory?

Two questions:

- Are some symmetries broken by the monopole?
- What is the outcome of a scattering event?

There are two main approaches:

I) Stick with the IR theory, look at symmetries and self-consistency

😊 It can be applied always.

☹ It does not tell you what actually happens! E.g. some symmetries might be broken dynamically.

Marike van Beest et al [[2306.07318 \[hep-th\]](#)], Philip Boyle Smith et al [[2106.06402 \[hep-th\]](#)]...

II) Embed the theory in a UV-completion and perform a concrete calculation à la Rubakov.

😊 It tells you what happens.

☹ You need a UV completion.

*We will follow the second route!*

## The UV model

Our UV theory is the  $\psi\chi\eta$  model

$$SU(N) \text{ YM} + \psi^{(i,j)} \in \square\square + \chi_{[i,j]} \in \begin{array}{|c|} \hline \bar{\square} \\ \hline \square \\ \hline \end{array} + 8 \cdot \eta_i \in \bar{\square}$$

plus a (real) adjoint scalar  $\Phi$  and a suitable Yukawa coupling and potential

$$\mathcal{L} \subset \Phi_j^i \psi^{(j,k)} \chi_{[ki]} + h.c. , \quad V(\Phi) .$$

The  $\psi\chi\eta$  symmetry is

$$U(1)_{\psi\eta} \times \tilde{U}(1) \times SU(8)_\eta \rightarrow \tilde{U}(1) \times SU(8)_\eta$$

~~$$U(1)_{\psi\eta} : \begin{cases} \psi \rightarrow e^{i(N-2)\alpha} \psi \\ \chi \rightarrow e^{-i(N+2)\alpha} \chi \\ \eta \rightarrow \eta \end{cases}$$~~

$$\tilde{U}(1) : \begin{cases} \psi \rightarrow e^{2i\alpha} \psi \\ \chi \rightarrow e^{-2i\alpha} \chi \\ \eta \rightarrow e^{-i\alpha} \eta \end{cases}$$

As

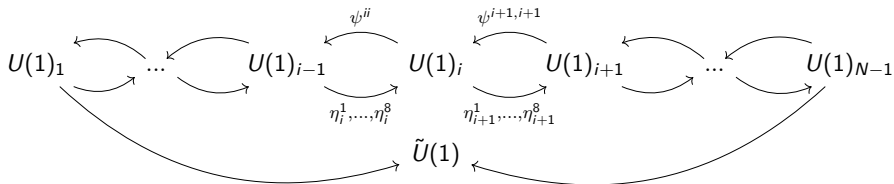
$$\langle \Phi \rangle = \begin{pmatrix} a_1 & & & \\ & a_2 & & \\ & & \dots & \\ & & & a_N \end{pmatrix}, \quad a_i \neq a_j \gg \Lambda_{\psi\chi\eta}$$

$SU(N) \rightarrow U(1)^{N-1}$  and  $\psi^{ij}$  gap with  $\chi_{ij}$  ( $i \neq j$ ).

At energies  $E \ll a_1, \dots, a_N$  remains:

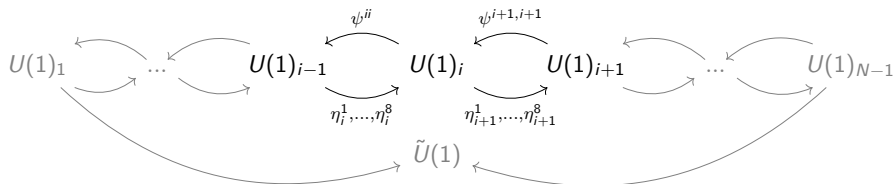
$N - 1$  photons  $\eta_i^A$   $\psi^{ii}$ ,  $A = 1, \dots, 8$ ,  $i = 1, \dots, N$

forming a *mildly* chiral gauge theory





Let's take a Dirac monopole for  $U(1)_i$ :



The relevant degrees of freedom are

	$U(1)_i$	$U(1)'$	$SU(8)$	$SU(2)_{rot}$	IN/OUT
$\psi^{ii}$	2	2	(.)	<b>2</b>	IN
$\psi^{i+1,i+1}$	-2	2	(.)	<b>2</b>	OUT
$\eta_i^A$	-1	-1	<input type="checkbox"/>	<b>1</b>	OUT
$\eta_{i+1}^A$	1	-1	<input type="checkbox"/>	<b>1</b>	IN

Here  $U(1)'$  is generated by

$$Q' = \text{diag}(\underbrace{0, \dots, 0}_{i-2}, -1, 1, 1, -1, \underbrace{0, \dots, 0}_{N-i-2}) = Q_{i+1} - Q_{i-1}$$

Something funny happens. If you look at the far IR (free 2d fermions), bosonize

$$\left( \begin{array}{c} \psi_M^i \\ \psi_M^{i+1} \end{array} \right), \left( \begin{array}{c} \eta_A^{i+1} \\ \eta_A^i \end{array} \right) \xleftrightarrow{\text{bosonization}} \Phi_\psi^M, \Phi_\eta^A$$

and impose  $U(1)_i \times U(1)'$  preserving boundary conditions, you obtain simultaneously:

$$\text{Neumann: } \partial_r \left( 2 \sum_M \Phi_\psi^M - \sum_A \Phi_\eta^A \right) \Big|_{r=0} = 0$$

$$\text{Dirichlet: } \left( 2 \sum_M \Phi_\psi^M - \sum_A \Phi_\eta^A \right) \Big|_{r=0} = 0$$

Something is odd!

Perhaps either  $U(1)_i$  or  $U(1)'$  is broken!

Following the Rubakov insight...

...we regularize the Dirac monopole as a 't Hooft Polyakov one.

$$\Phi_{\text{background}} = h(r) \begin{pmatrix} 1 & & \\ & \hat{r} \cdot \vec{\tau} & \\ & & 1 \end{pmatrix}$$
$$\vec{A}_{\text{background}} = \frac{1 - K(r)}{er} \begin{pmatrix} 0 & & \\ & \hat{r} \times \vec{\tau} & \\ & & 0 \end{pmatrix}$$

and consider the  $U(1)_i$  and  $U(1)'$  electric fluctuations around the background

$$\vec{A} = \vec{A}_{\text{background}} + a_1(t, r) \hat{r} (\hat{r} \cdot \tau) + v_1(r, t) \hat{r} Q'$$
$$A_0 = a_0(r, t) (\hat{r} \cdot \tau) + v_0(r, t) Q'$$

Regularity at  $r \rightarrow 0$  implies

$$a_0|_{r=0} = 0 \quad \partial_r a_1|_{r=0} = 0$$

and

$$\partial_r v_0|_{r=0} = 0 \quad v_1|_{r=0} = 0$$

We can pack together the  $j = \mu - 1/2$  components of

$$\psi^{i,i}, \psi^{i+1,i+1} \rightarrow \psi_{2d}^m, \quad m = 1, 2$$

$$\eta_{i+1}^A, \eta_i^A \rightarrow \eta_{2d}^A, \quad A = 1, \dots, 8$$

where  $\psi_{2d}^m$  and  $\eta_{2d}^A$  are 2d **Dirac** fermions.

The 't Hooft Polyakov regularization fixes (see [Rubakov 82'])

$$\psi_{2d}^m|_{r=0} = \eta_{2d}^A|_{r=0} = 0$$

The fermions couples axially with  $a_i$  and vectorially with  $v_i$ .

$$S_{2d}^{\text{eff}} = \int dr dt \frac{4\pi}{g_a^2} r^2 f_{ij}(a) f^{ij}(a) + \frac{4\pi}{g_v^2} r^2 f_{ij}(v) f^{ij}(v) + \\ \bar{\psi}^m \gamma^i \left( \partial_i - 2i\gamma^5 a_i - 2iv_i \right) \psi^m + \bar{\eta}^A \gamma^i \left( \partial_i + i\gamma^5 a_i + iv_i \right) \eta^A$$

We can compute  $\langle \mathcal{O} \rangle$  by using:

### *Cluster Decomposition*

$$\left| \begin{array}{c} \mathcal{O} \\ \vdots \\ \bar{\mathcal{O}} \end{array} \right| \sim \left| \begin{array}{c} \langle \mathcal{O} \rangle \\ \langle \bar{\mathcal{O}} \rangle \end{array} \right|$$

$$\lim_{\Delta t \rightarrow \infty} \langle \bar{\mathcal{O}}(r, \Delta t) \mathcal{O}(r, 0) \rangle = \langle \bar{\mathcal{O}} \rangle \langle \mathcal{O} \rangle$$

Surprisingly this correlator is exactly calculable:

- Trade  $a_i$  and  $v_i$  with  $\rho^a$  and  $\rho^v$ :

$$a_i = \epsilon_{ij} \partial_j \rho^a + \partial_i \lambda^a, \quad (a \leftrightarrow v)$$

- Then decouple fermions and scalars

$$\psi = e^{2\rho^a + 2\rho^v \gamma^5} \psi_{free} \quad \eta = e^{\rho^a + \rho^v \gamma^5} \eta_{free} \quad \mathcal{O} = e^{\tilde{Q}_A \rho^A + \tilde{Q}_V \rho^V} \mathcal{O}_{free}$$

The correlation function of  $\langle \bar{\mathcal{O}} \mathcal{O} \rangle$  scales as

$$\underbrace{(\Delta t)^{\frac{\tilde{Q}_A^2}{16}}}_{\rho^a \text{ propagators}} \underbrace{(\Delta t)^0}_{\rho^v \text{ propagators}} \underbrace{(\Delta t)^{-f}}_{\text{free fermions contribution}} \sim (\Delta t)^{\nu \leq 0}$$

- The effect of  $\rho^a$  and  $\rho^v$  is different because of different boundary conditions!

$$\underbrace{e^{\log(\Delta t^2) + \log(\Delta t^2 + 2\Delta R^2)}}_{\text{Neumann}} \sim \Delta t^2$$

$$\underbrace{e^{\log(\Delta t^2) - \log(\Delta t^2 + 2\Delta R)}}_{\text{Dirichlet}} \sim \Delta t^0$$

thus we have a master equation

$$\nu = \frac{\tilde{Q}_A^2}{16} - f = 0 \iff \langle \mathcal{O} \rangle = 0$$

There is a single solution

$$\mathcal{O} = \bar{\psi}_\ell^1 \bar{\psi}_r^1 \bar{\psi}_\ell^2 \bar{\psi}_r^2 \eta_\ell^{A_1} \dots \eta_\ell^{A_4} \eta_r^{A_5} \dots \eta_r^{A_8} \epsilon_{A_1 \dots A_8} \quad \langle \mathcal{O} \rangle = \frac{\#}{r^6}$$

That leaves unbroken  $U(1)_i \times SU(2)_{rot} \times SU(8)$  but breaks

$$U(1)' \rightarrow \mathbb{Z}_{16}$$

Uplifting to 4d we have different condensates:

$$\begin{aligned} \langle (\psi^i \epsilon \psi^i)(\psi^{i+1} \epsilon \psi^{i+1})(\eta_i^{A_1} \epsilon \eta_{i+1}^{A_2}) \dots (\eta_i^{A_7} \epsilon \eta_{i+1}^{A_8}) \rangle &= \# / r^9 && \text{scalar} \\ \langle (\psi^i \epsilon \psi^i)(\psi^{i+1} \epsilon \psi^{i+1})(\eta_i^{A_1} \bar{\sigma}^{[\mu} \sigma^{\nu]} \eta_{i+1}^{A_2}) \dots (\eta_i^{A_7} \epsilon \eta_{i+1}^{A_8}) \rangle &= \frac{\#}{r^9} \begin{pmatrix} 0 & i\hat{X} \\ -i\hat{X} & \epsilon_{ijk} \hat{X}^k \end{pmatrix} && \text{self-dual tensor} \end{aligned}$$

As  $U(1)'$  is broken scattering can happen. In the bosonized language:

$$\begin{array}{l} \text{Neumann: } \partial_r \left( 2 \sum_M \Phi_\psi^M - \sum_A \Phi_\eta^A \right) \Big|_{r=0} = 0 \\ \text{Dirichlet: } \left( 2 \sum_M \Phi_\psi^M - \sum_A \Phi_\eta^A \right) \Big|_{r=0} = 0 \end{array}$$

The condensate  $\langle \bar{\psi}^4 \eta^8 \rangle$  suggest a scattering like

$$\eta_{i+1} \xrightarrow{\langle \bar{\psi}^4 \eta^8 \rangle} 2\psi_i + 2\psi_{i+1} + 4\bar{\eta}_{i+1} + 3\bar{\eta}_i$$

But in "s-wave"  $\psi_i$  and  $\bar{\eta}_{i+1}$  have only in-going modes.

There are different possible solutions:

- Some results of the scattering lie outside the "s-wave"
- The asymptotic states of the "s-wave" theory ought to be rethought (the theory is 2d and interacting, problem is subtle)

*Work in progress here!*



## Take home messages:

- The  $\psi\chi\eta$  model is a gym to study the Callan-Rubakov effect in a (*mildly*) chiral gauge theory.
- The UV completion is fundamental to pin down the UV boundary conditions, which determine which symmetries are broken.

## TODOs:

- Given a certain abelian theory with monopoles, can we construct an ( $SU(N)$ ) UV completion?
- We can compute all the correlators of "s-wave" fields ( $j = \mu - 1/2$ ). Can we identify also the asymptotic states?

Thanks for listening!