

Lecture 4  
Statistical Hadronization  
and its Origin

basic observation in all high energy multihadron production

statistical production pattern

Fermi, Landau, Pomeranchuk, Hagedorn

- species abundances  $\sim$  ideal resonance gas at  $T_H$
- universal  $T_H \simeq 150 - 200$  MeV for all (large)  $\sqrt{s}$
- thermal transverse momentum spectra with same  $T_H$

caveats: baryon density, strangeness, heavy flavors, flow

begin by recalling what is “statistical” and what are the experimental features to be described

# 1. Statistical Hadron Production

what is “statistical”?

- equal *a priori* probabilities for all states in accord with a given overall average energy  $\Rightarrow$  temperature  $T$ ;
- partition function of ideal resonance gas

$$\ln Z(T) = V \sum_i \frac{d_i}{(2\pi)^3} \phi(m_i, T)$$

Boltzmann factor  $\phi(m_i, T) = 4\pi m_i^2 T K_2(m_i/T)$

- relative abundances  $\frac{N_i}{N_j} = \frac{d_i \phi(m_i, T)}{d_j \phi(m_j, T)}$
- transverse momenta  $\frac{dN}{dp_T^2} \sim \exp -\frac{1}{T} \sqrt{m_i^2 + p_T^2}$ .

## Hadronization features in elementary & nuclear collisions

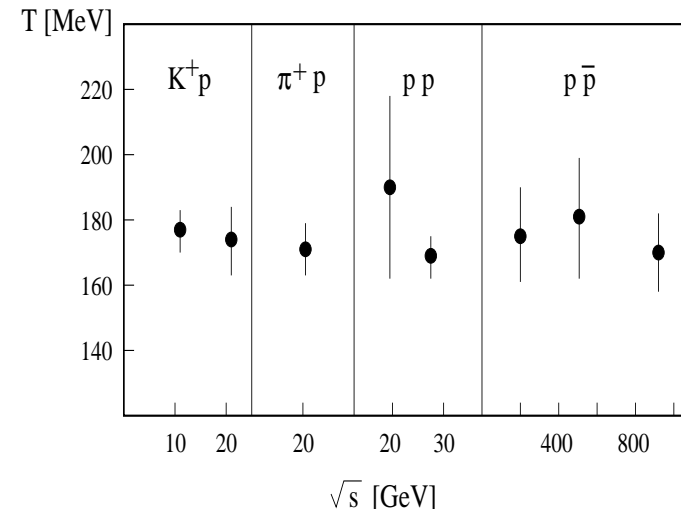
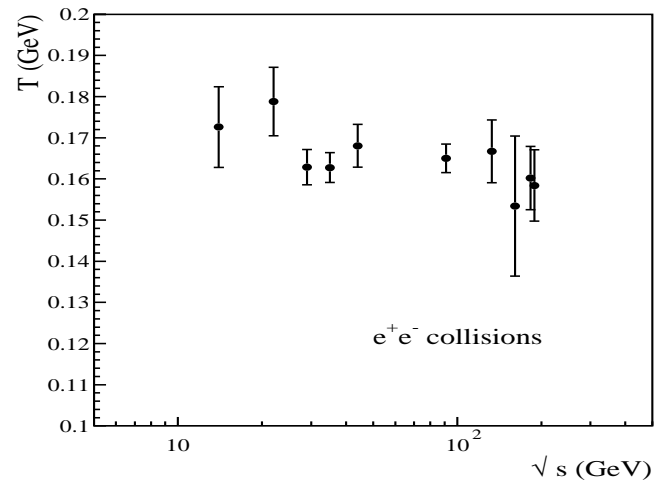
- jet structure
- multiplicity per jet  $\ln \sqrt{s}$   
(caveat multi-jets, evolution)
- universal hadronization temperature
  - from species abundances  
(caveat: strangeness, heavy flavors)
  - from transverse mass spectra  
(caveat: flow in nuclear collisions)
- initial state quantum number structure
  - baryon number, heavy flavor

∃ a universal scenario (including the caveats)?

summarize experimental situation on abundances &  $p_T$

# Species abundances in elementary collisions

[Becattini et al. 1996 - 2008]



Conclude:

$$T_H = 170 \pm (10 - 20) \text{ MeV}; \gamma_s \simeq 0.5 - 0.7$$

independent of  $\sqrt{s}$ , incident production configuration

## Transverse momentum spectra in elementary collisions

requires resonance decay code; model dependence?

[Becattini & Passaleva 2001]

$pp$  at  $\sqrt{s} = 27.4$  GeV:

average  $T = 163$  MeV

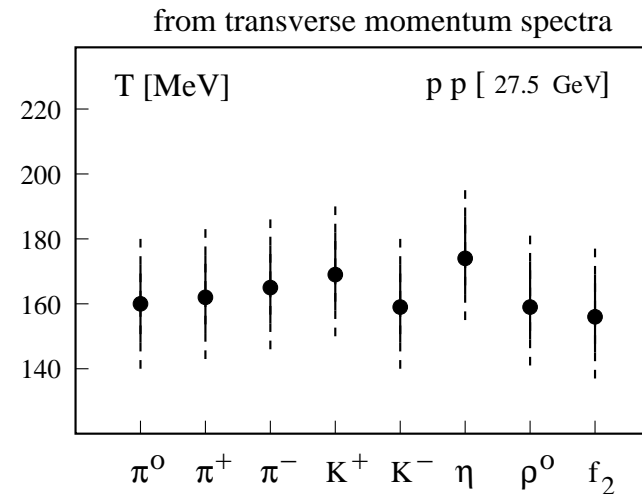
similar analyses for

$K^+p$  at  $\sqrt{s} = 21.7$  GeV:

average  $T = 165$  MeV

$\pi^+p$  at  $\sqrt{s} = 21.7$  GeV:

average  $T = 160$  MeV



Conclude:

$$T_H = 163 \pm ? \text{ MeV}$$

independent of species

## Heavy ion collisions

- temperature  $T$ , baryochem. pot.  $\mu_B$ ;  $\mu_B \downarrow$  for  $\sqrt{s} \uparrow$
- elementary high energy collisions low baryon content
- compare to species abundances for RHIC, peak SPS

SPS (Pb-Pb),  $\sqrt{s} = 17$  GeV

$$T_H = 157.8 \pm 2.5 \text{ MeV}, \mu_B = 248.9 \pm 9.0 \text{ MeV}$$

RHIC (Au-Au),  $\sqrt{s} = 130, y = 0$  GeV

$$T_H = 163.8 \pm 4.1 \text{ MeV}, \mu_B = 36.3 \pm 10.2 \text{ MeV}$$

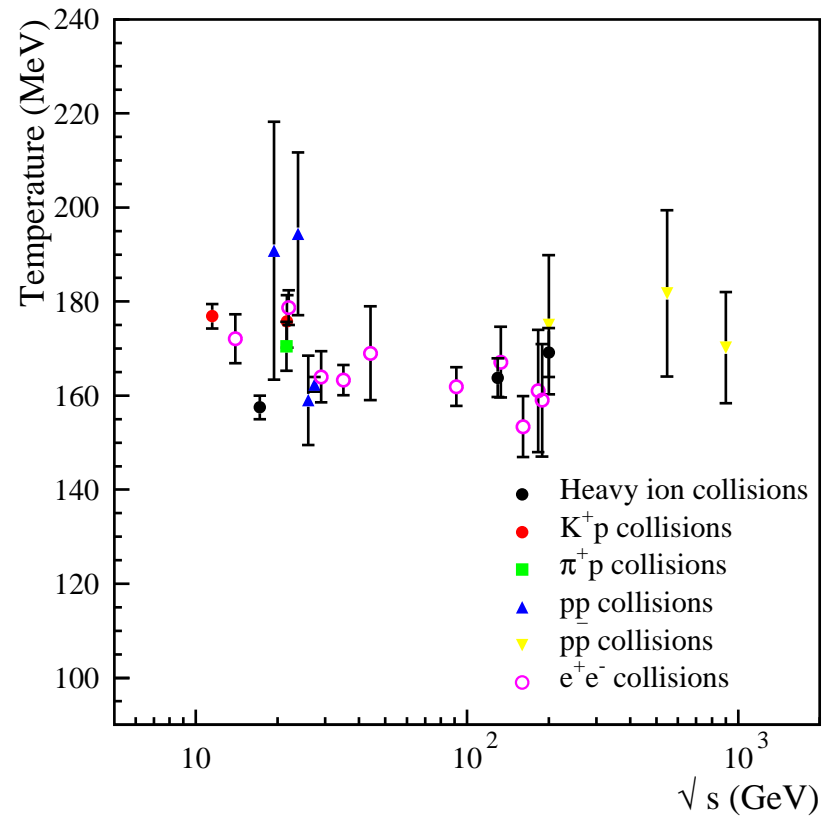
RHIC (Au-Au),  $\sqrt{s} = 200$  GeV

$$T_H = 169.2 \pm 5.2 \text{ MeV}, \mu_B = 29.5 \pm 11.2 \text{ MeV}$$

in general  $\gamma_s \simeq 0.8 - 1.1$

[Andronic, Braun-Munzinger & Stachel 2006, Becattini & Manninen 2008]

# Data Summary





## Conclude:

The hadron abundances in all high energy collisions ( $e^+e^-$  annihilation, hadron-hadron & nuclear collisions) are specified by an ideal resonance gas of a universal temperature

$$T_H \simeq 170 \pm 20 \text{ MeV.}$$

The transverse momentum spectra in elementary collisions are in accord with such “thermal” behavior;  $\exists$  broadening (flow) in nuclear collisions.

Strangeness production in elementary collisions is systematically reduced; strangeness suppression is weakened or removed in nuclear collisions.

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**WHY?**

Why should **high energy collisions** produce statistical behavior?

Multiple parton interactions  $\rightarrow$  kinetic thermalization?

nucleus-nucleus maybe;  $e^+e^-$ , hadron-hadron not

$\exists$  a “non-kinetic” mechanism producing statistical features?

$\exists$  a common origin of statistical hadron production  
in all high energy collisions?

Passing color charge **disturbs vacuum**, vacuum recovers  
by hadron production according to maximum entropy

What does that mean?

*Color Confinement  $\Rightarrow$  Event Horizon  $\Rightarrow$  Hawking-Unruh Radiation*

[Castorina, Kharzeev, HS 2007]

## 2. Event Horizons & Hawking-Unruh Radiation

- Unruh radiation

[Unruh 1976]

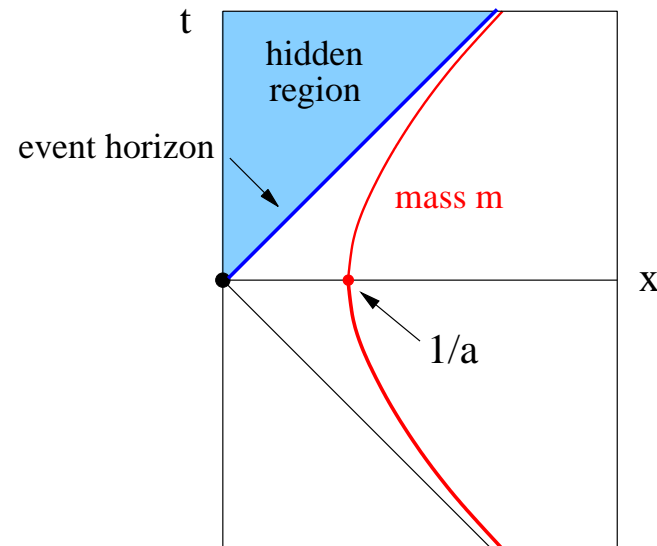
event horizon arises for systems in uniform acceleration  
mass  $m$  in uniform acceleration  $a$

$$\frac{d}{dt} \frac{mv}{\sqrt{1-v^2}} = F$$

$$v = dx/dt, F = ma, c = 1$$

solution: hyperbolic motion

$$x = \frac{1}{a} \cosh a\tau \quad t = \frac{1}{a} \sinh a\tau$$



$\exists$  event horizon:  $m$  cannot reach hidden region  
observer in hidden region cannot communicate with  $m$

$m$  passes through vacuum, can use part of acceleration energy to excite vacuum fluctuations on-shell

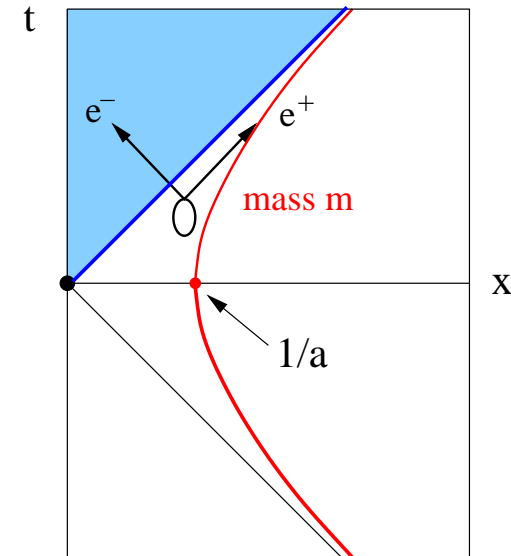
$e^+$  absorbed in detector on  $m$   
 $e^-$  disappears beyond event horizon

equivalent:

$e^-$  tunnels through event horizon

“quantum entanglement”

~ Einstein-Podolsky-Rosen effect



observer on  $m$  as well as observer in hidden region have incomplete information:  $\Rightarrow$  each sees thermal radiation

observer on  $m$ :

physical vacuum  $\sim$  thermal medium of temperature  $T_U$

observer in hidden region:

passage of  $m \rightarrow$  thermal radiation of temperature  $T_U$

Unruh temperature

$$T_U = \frac{\hbar a}{2\pi c}$$

relativistic ( $c$ ) quantum ( $\hbar$ )effect

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### Applications

- Black Holes

event horizon  $R = 2GM$  (Schwarzschild radius)

$$F = ma = G \frac{Mm}{R^2} \Rightarrow a = \frac{GM}{R^2} = \frac{1}{4GM}$$

$$\Rightarrow T_U = \frac{a}{2\pi} = \frac{1}{8\pi GM} = T_{BH}$$

obtain temperature  $T_{BH}$  of Hawking radiation

[Hawking 1975]

- Schwinger Mechanism

in strong electric field  $\mathcal{E}$ , vacuum becomes unstable against pair production

$F = e\mathcal{E} = (m/2)a$  leads to production of pair of charges of mass  $m$

$$T_U = \frac{a}{2\pi} = \frac{e\mathcal{E}}{\pi m}$$

$$P(m, \mathcal{E}) \sim \exp\{-m/T_U\} = \exp\{-\pi m^2/e\mathcal{E}\}$$

obtain Schwinger production probability  $P(m, \mathcal{E})$

[Schwinger 1951]

In general:

[T. D. Lee 1986, Parikh & Wilczek 2000]

event horizon  $\sim$  information transfer forbidden

$\Rightarrow$  quantum tunnelling  $\sim$  thermal radiation



## Caveat

Color event horizon in QCD reasonable, but speculative

Gravitation: space-time metric is given by

$$ds^2 = g_0 dt^2 - g_0^{-1} dr^2 - d^2\Omega$$

for flat space,  $g_0 = 1$ ; solution of Einstein equations gives

$$g_0 = \left( 1 - \frac{2GM}{r} \right)$$

determines Schwarzschild radius  $R = 2GM$  as event horizon

non-linear electrodynamics  $\rightarrow$  space compactification,  
photon trapping

QCD?  $\rightarrow$  million dollar question Nr. 7,

Clay Mathematics Institute

### 3. Pair Production and String Breaking

Basic process:

two-jet  $e^+e^-$  annihilation, cms energy  $\sqrt{s}$ :

$$e^+e^- \rightarrow \gamma^* \rightarrow q\bar{q} \rightarrow \text{hadrons}$$

$q\bar{q}$  separate subject to constant confining force  $F = \sigma$

initial quark velocity  $v_0 = \frac{p}{\sqrt{p^2 + m^2}}$ ,  $p \simeq \sqrt{s}/2$

Solve  $ma = \sigma$  (hyperbolic motion): [Hosoya 1979, Horibe 1979]

$$\tilde{x} = [1 - \sqrt{1 - v_0\tilde{t} + \tilde{t}^2}] , \quad \tilde{x} = x/x_0 , \quad \tilde{t} = t/x_0$$

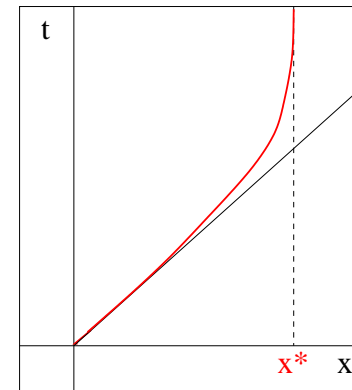
with  $x_0 = \frac{m}{\sigma} \frac{1}{\sqrt{1 - v_0^2}} = \frac{m}{\sigma} \gamma = \frac{1}{a} \gamma$

classical turning point  $v(t^*) = 0$  at

$$x^* = x(t^*) = \frac{m}{\sigma} \gamma [1 - \sqrt{1 - (v_0/2)^2}] \simeq \frac{\sqrt{s}}{2\sigma}$$

$q\bar{q}$  can separate arbitrarily far  
if  $\sqrt{s}$  is large enough

What's wrong?



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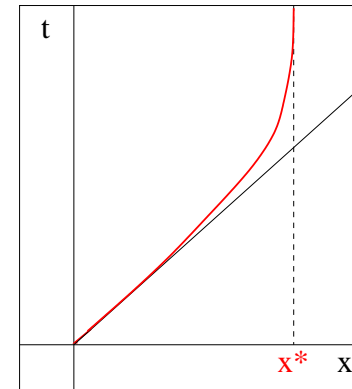
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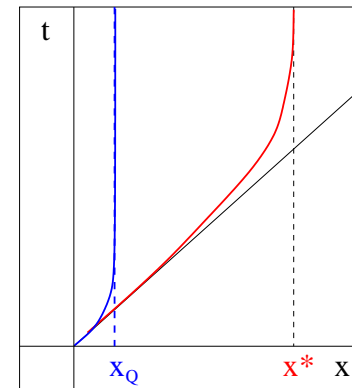
Strong field  $\Rightarrow$  vacuum unstable  
against pair production [Schwinger 1951]

when  $\sigma x > \sigma x_Q \equiv 2m$   
string connecting  $q\bar{q}$  breaks

Result:



classical event horizon

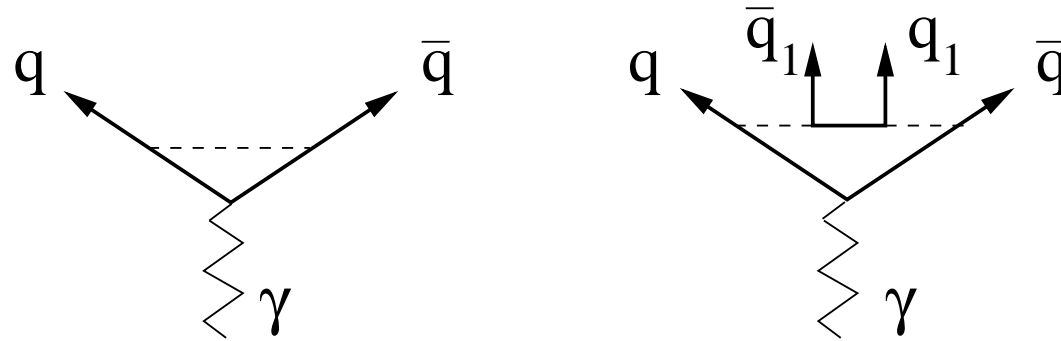


quantum event horizon

# Hadron production in $e^+e^-$ annihilation:

“inside-outside cascade”

[Bjorken 1976]



$q\bar{q}$  flux tube has thickness

$$r_T \simeq \sqrt{\frac{2}{\pi\sigma}}$$

$q_1\bar{q}_1$  at rest in cms, but

$$k_T \simeq \frac{1}{r_T} \simeq \sqrt{\frac{\pi\sigma}{2}}$$

$q\bar{q}$  separation at  $q_1\bar{q}_1$  production

$$\sigma x(q\bar{q}) = 2\sqrt{m^2 + k_T^2}$$

$q_1$  screens  $\bar{q}$  from  $q$ , hence string breaking at

$$x_q \simeq \frac{2}{\sigma} \sqrt{m^2 + (\pi\sigma/2)} \simeq \sqrt{2\pi/\sigma} \simeq 1 \text{ fm}$$

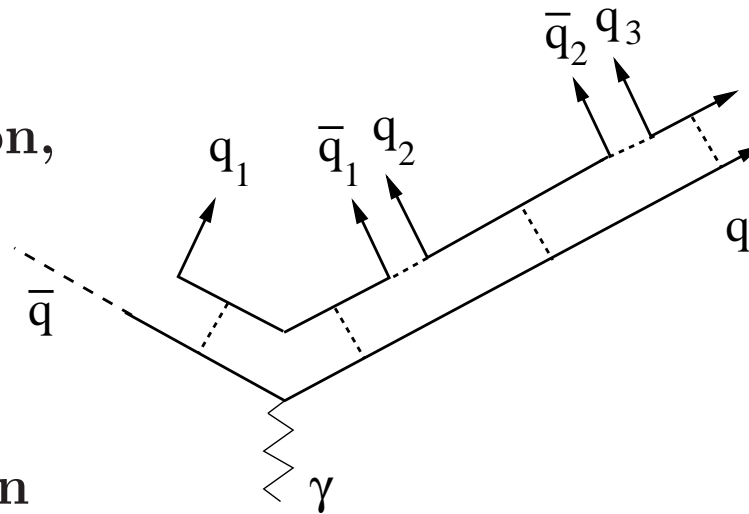
new flux tubes  $q\bar{q}_1$  and  $\bar{q}q_1$   
 stretch  $q_1\bar{q}_1$   
 to form new pair  $q_2\bar{q}_2$

$$\sigma x(q_1\bar{q}_1) = 2\sqrt{m^2 + k_T^2}$$

equivalent:

$\bar{q}_1$  reaches  $q_1\bar{q}_1$  event horizon,  
 tunnels to become  $\bar{q}_2$

emission of hadron  $\bar{q}_1q_2$   
 as Hawking-Unruh radiation



self-similar pattern:

screening

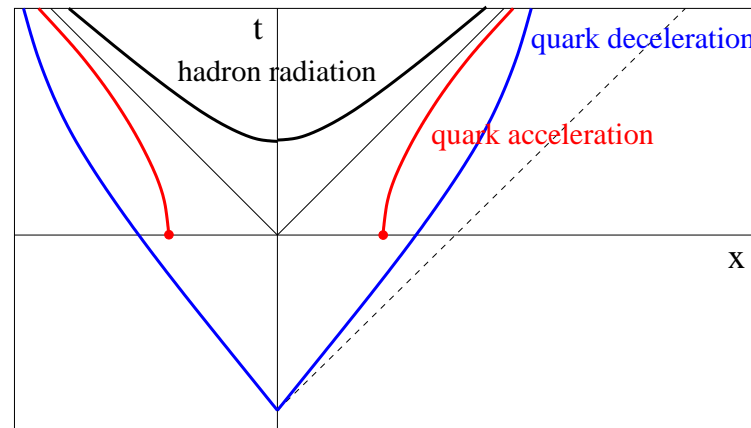
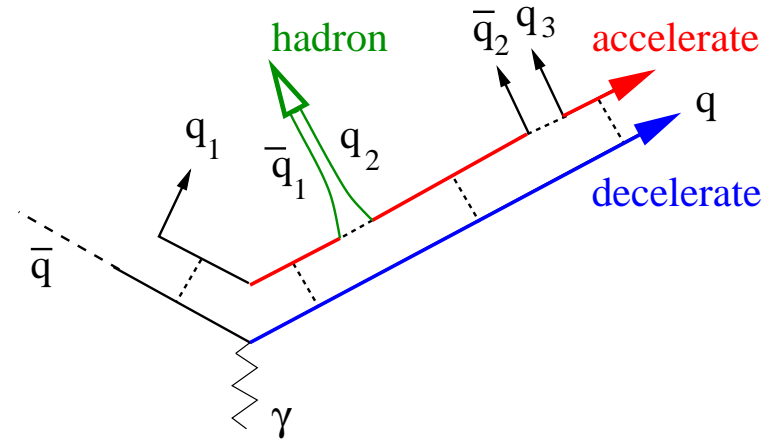
string breaking

tunnelling

quark acceleration

/deceleration

Hawking-Unruh radiation



temperature of H-U radiation: what acceleration?

$(\bar{q}_1 \rightarrow \bar{q}_2 \rightarrow \bar{q}_3 \rightarrow \dots)$

$$a = F/m \Rightarrow a_q = \frac{\sigma}{w_q} = \frac{\sigma}{\sqrt{m_q^2 + k_q^2}}$$

string breaking & thickness determine  $k_q \simeq \sqrt{\pi\sigma/2}$

$$\Rightarrow a_q \simeq \frac{\sigma}{\sqrt{m_q^2 + (\sigma/2\pi)}}$$

for light quarks,  $m_q \ll \sqrt{\sigma} \simeq 420$  MeV, hence

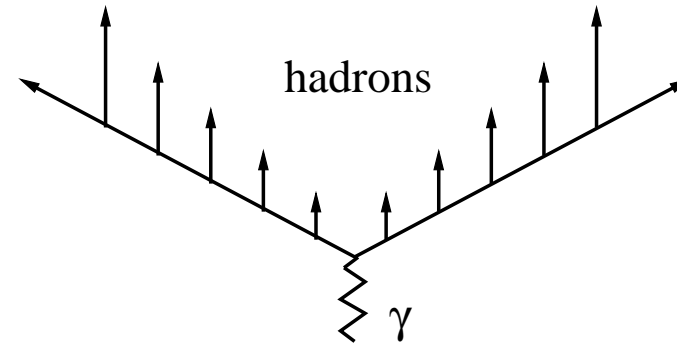
$$T = \frac{a}{2\pi} \simeq \sqrt{\frac{\sigma}{2\pi}} \simeq 170 \text{ MeV}$$

temperature of hadronic Hawking-Unruh radiation



hadronization pattern:

hadron multiplicity?



thickness of classical “overstretched” string:

$$R_T^2 = \frac{2}{\pi\sigma} \sum_{k=0}^K \frac{1}{2k+1} \simeq \frac{2}{\pi\sigma} \ln 2K \simeq \frac{2}{\pi\sigma} \ln \sqrt{s}$$

quantum breaking at  $x_q \sim r_T$ , hence hadron multiplicity

$$\nu(s) \simeq \frac{R_T^2}{r_T^2} \simeq \ln \sqrt{s}$$

NB: parton evolution (minijets), multiple jets lead to stronger increase

## 4. Strangeness Production

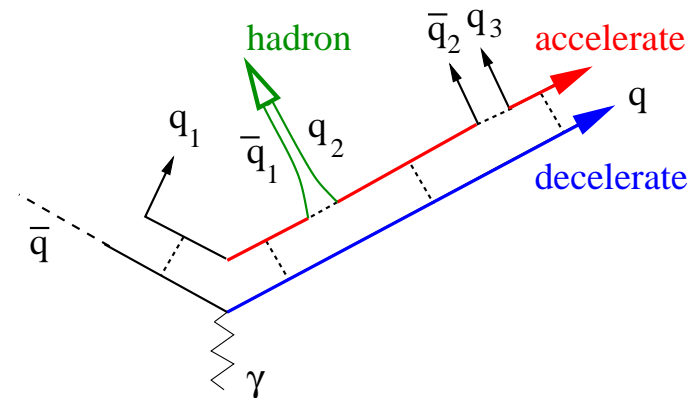
[Becattini, Castorina, Manninen, HS 2008]

Unruh temperature  $\sim 1 / \text{mass of secondary}$

we had for finite quark mass  $m_q$

$$a_q \simeq \frac{\sigma}{\sqrt{m_q^2 + (\sigma/2\pi)}} \Rightarrow T_U = \frac{a_q}{2\pi}$$

produced meson consists  
of quarks  $\bar{q}_1$  and  $q_2$



meson containing two different quark masses  
will have average acceleration

$$\bar{a}_{12} = \frac{w_1 a_1 + w_2 a_2}{w_1 + w_2} = \frac{2\sigma}{w_1 + w_2}; \quad w_i \simeq \sqrt{m_i^2 + (\sigma/2\pi)}$$

leading to

$$T(12) \simeq \frac{a_{12}}{2\pi}$$

easily extended to baryons; result: five temperatures

$$T(00) = T(000); \quad T(s0); \quad T(ss) = T(sss); \quad T(00s); \quad T(0ss)$$

fully determined by  $\sigma$  and  $m_s$

for  $\sigma \simeq 0.17 \text{ GeV}^2$  and  $m_s \simeq 0.08 \text{ GeV}$

obtain temperatures:

does this work?

analyse all high energy  $e^+e^-$  data

$T$	[GeV]
$T(00)$	0.164
$T(0s)$	0.156
$T(ss)$	0.148
$T(000)$	0.164
$T(00s)$	0.158
$T(0ss)$	0.153
$T(sss)$	0.148

hadron production data in  $e^+e^-$  annihilation exist at

$$\sqrt{s} = 14, 22, 29, 35, 43, 91, 180 \text{ GeV}$$

(PETRA, PEP, LEP)

example:

long-lived hadrons produced at LEP for  $\sqrt{s} = 91.25 \text{ GeV}$

fit data in terms  
of  $\sigma$  and  $m_s$

result:

$$\sigma = 0.169 \pm 0.002 \text{ GeV}^2$$

$$m_s = 0.083 \text{ GeV}$$

$$\chi^2/\text{dof} = 23/12$$

standard values:

$$\sigma = 0.195 \pm 0.030 \text{ GeV}^2$$

$$m_s = 0.095 \pm 0.025 \text{ GeV}$$

illustration:

$\phi$  production in H-U vs. standard statistical model

$e^+e^- \sqrt{s} = 91.2 \text{ GeV}$			
species	measured		fit
$\pi^+$	8.50	$\pm 0.10$	8.30
$\pi^0$	9.61	$\pm 0.29$	9.67
$K^+$	1.127	$\pm 0.026$	1.089
$K^0$	1.038	$\pm 0.001$	1.049
$\eta$	1.059	$\pm 0.996$	0.910
$\omega$	1.024	$\pm 0.059$	0.971
$p$	0.519	$\pm 0.018$	0.557
$\eta'$	0.166	$\pm 0.047$	0.096
$\phi$	0.0977	$\pm 0.0058$	0.1060
$\Lambda$	0.1943	$\pm 0.0038$	0.1891
$\Sigma^+$	0.0535	$\pm 0.0052$	0.0437
$\Sigma^0$	0.0389	$\pm 0.0041$	0.0444
$\Sigma^-$	0.0410	$\pm 0.0037$	0.0400
$\Xi^-$	0.01319	$\pm 0.0005$	0.01269
$\Omega$	0.00062	$\pm 0.0001$	0.00077

$\phi$  production density in standard statistical model

$$\langle n \rangle_\phi = 3 \frac{T m^2}{2\pi^2} K_2(m/T) \gamma_S^2$$

with  $T \simeq 165$  MeV,  $\gamma_S \simeq 0.65$ :  $\langle n \rangle_\phi \simeq 1.85$   $\gamma_S^2 \simeq 0.078$

NB:  $\gamma_S^2 \simeq 0.42$  reduces equilibrium rate by more than 2

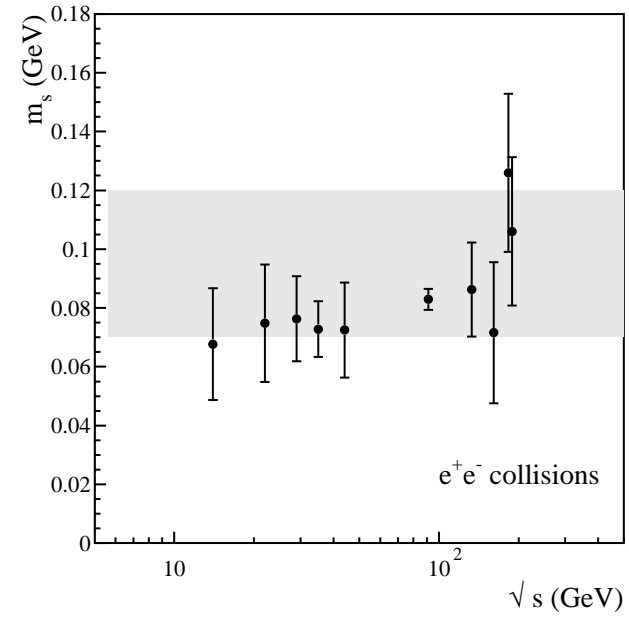
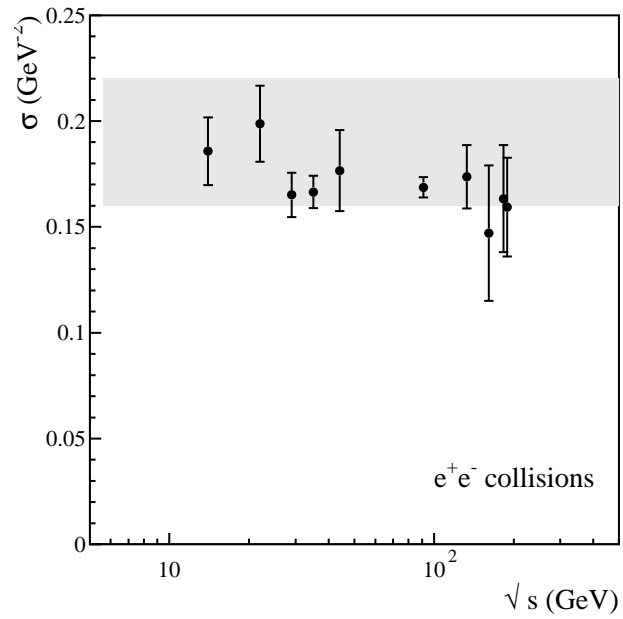
$\phi$  production density in H-U statistical model

$$\langle n \rangle_\phi = 3 \frac{T(ss) m^2}{2\pi^2} K_2(m/T(ss))$$

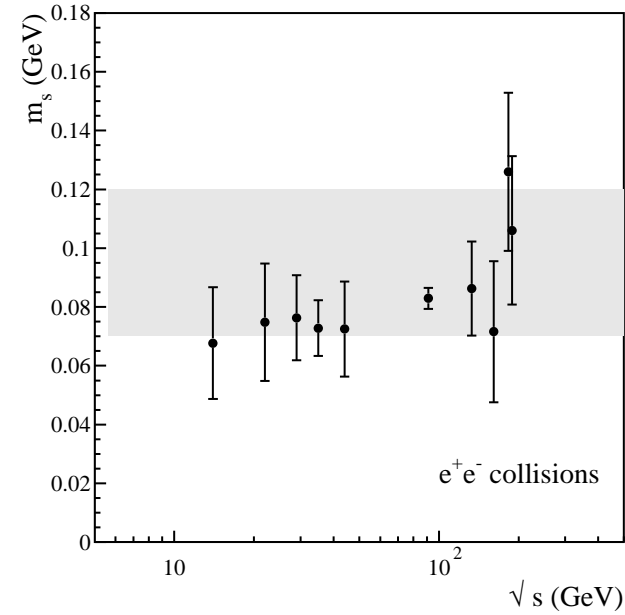
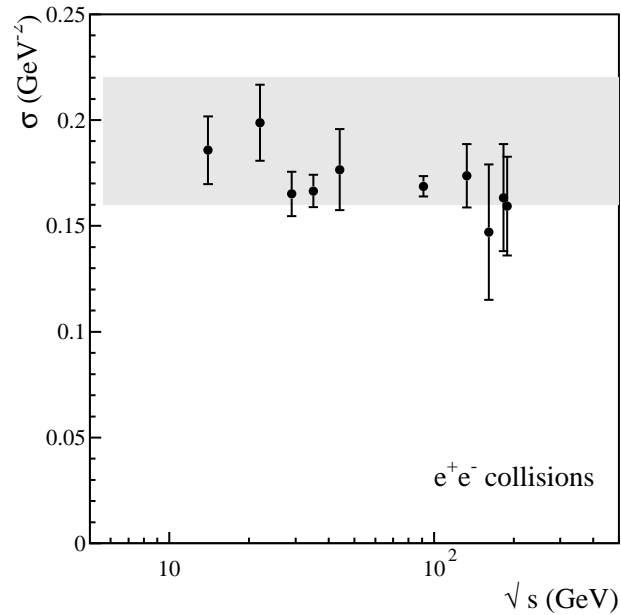
with  $T(ss) \simeq 148$  (*vs.* 164) MeV:  $\langle n \rangle_\phi \simeq 0.077$

[NB: actual production rates  $\sim$  heavy flavor decay]

# results from all data



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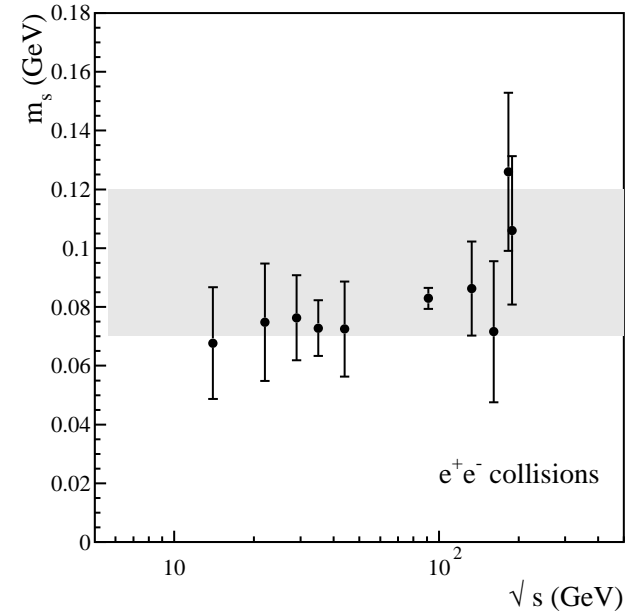
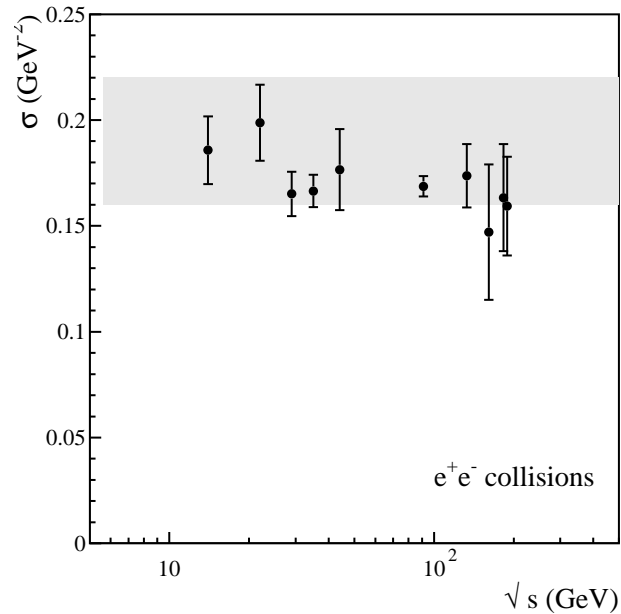
## Conclude

thermal hadron production in  $e^+e^-$  annihilation, includ'g strangeness suppression, is reproduced parameter-free as

**Hawking-Unruh radiation of QCD**



## results from all data



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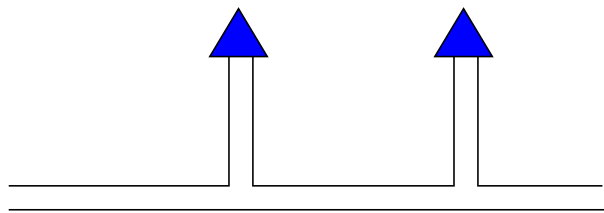
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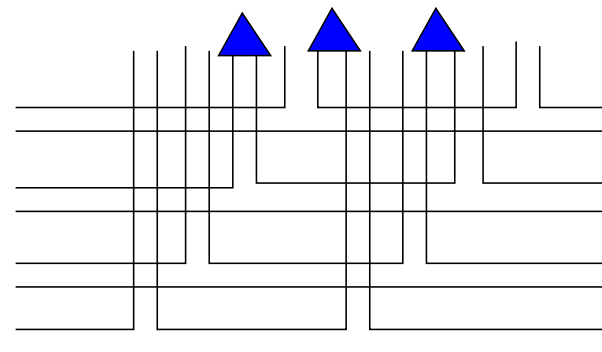
$\Rightarrow pp/p\bar{p}$  (straight-forward); heavy ions (interesting)

## Heavy Ions

- elementary collisions  
sequential  $q\bar{q}$  pair production  $\Rightarrow$  independent hadron emission
- nuclear collisions  
superposition of  $q\bar{q}$  pair production, interference  
exogamous pairing, not hadronic scattering



elementary



nuclear

result: increase in strange hadron temperatures

$$T(0s) \rightarrow [T(00) + T(0s)]/2 \equiv T_r(0s) > T(0s)$$

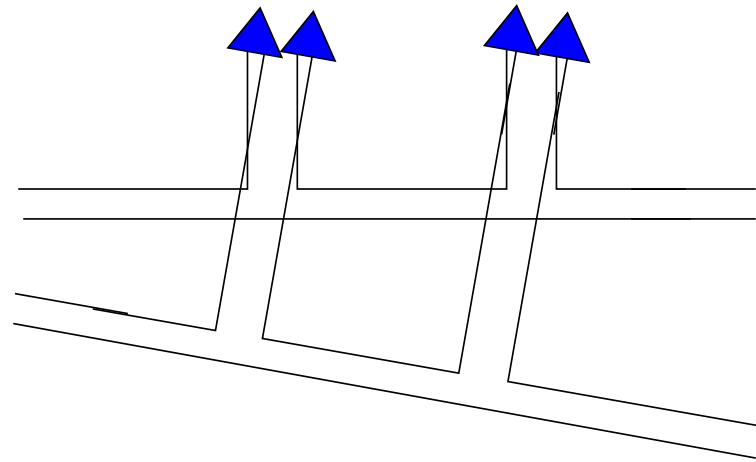
$$T(ss) \rightarrow [T(0s) + T(0s)]/2 \equiv T_r(ss) > T(ss)$$

$T_r$			T
$T_r(00)$	$T(00)$	0.164	0.164
$T_r(0s)$	$[T(00) + T(0, s)]/2$	0.160	0.156
$T_r(ss)$	$T(0s)$	0.156	0.148
$T_r(00s)$	$[2 T(00) + T(0s)]/3$	0.161	0.158
$T_r(0ss)$	$[T(00) + 2 T(0s)]/3$	0.159	0.153
$T_r(sss)$	$T(0s)$	0.156	0.148

corresponds to  $\gamma_s \simeq 0.82$  (vs. 0.65)

strangeness suppression is considerably reduced

Further nuclear effect:  
transverse momentum broadening



- initial state collisions  $\rightarrow$  rotation of emission axes
- quarks from different NN collisions not collinear
- exogamous pairing broadens  $p_T$  distribution
- NB: combination of initial & final state effects

## 5. Kinetic vs. Stochastic Thermalization

Kinetic thermalization:

time evolution of given non-equilibrium configuration  
(two parallel colliding parton beams)

through multiple collisions

to a time-independent equilibrium state

(quark-gluon plasma)

requires

- many constituents
- sufficiently large interaction cross sections
- sufficiently long time

thermal hadron production in  $e^+e^-$ ,  $pp/p\bar{p}$ ?

Hagedorn: *the emitted hadrons are “born into equilibrium”*

## Hawking-Unruh radiation:

- final state produced at random from the set of all states corresponding to temperature  $T_H$  determined by confining field
- this set of all final states is same as that produced by kinetic thermalization
- measurements cannot tell if the equilibrium was reached by thermal evolution or by throwing dice:

⇒ Ergodic Equivalence Principle ⇐

gravitation  $\sim$  acceleration

kinetic  $\sim$  stochastic

## 6. Summary

- Physical vacuum: event horizon for colored quarks & gluons; thermal hadrons: Hawking-Unruh radiation from quark tunnelling through event horizon.

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- Hadron multiplicity:  $\nu(s) \sim \ln s$ .

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- Given string tension  $\sigma$  and strange quark mass  $m_s$ , obtain parameter-free description of thermal hadron production in high energy interactions.

God does play dice, but He sometimes throws them where they can't be seen.

Stephen Hawking