

Prospects of Angular Analyses of $B \rightarrow D^* \ell \bar{\nu}_{\ell}$ at the B-Factories

LHCb Open Workshop on Semileptonic Decays

ONN

Florian Bernlochner (florian.bernlochner@uni-bonn.de

b

Talk Overview



Talk Overview



3

More than a decade of $B \to D^{(*)} \ell \bar{\nu}_{\ell}$ is "lost" :-(

For $B \rightarrow D^{(*)} \ell \bar{\nu}_{\ell}$ traditionally single form factor parametrization (Caprini-Lellouch-Neubert, CLN) was used. Nucl.Phys. B530 (1998) 153-181

Measurements directly determined the parameters and quoted these with correlations.

Problem: Theory knowledge advances; **today more** general parametrization are preferred (**BGL**, ...)

	Experiment	$\eta_{\rm EW} \mathcal{F}(1) V_{cb} [10^{-3}] \text{ (rescaled)}$	ρ^2 (rescaled)
.		$\eta_{\rm EW} \mathcal{F}(1) V_{cb} [10^{-3}] \text{ (published)}$	ρ^2 (published)
	ALEPH [497]	$31.38 \pm 1.80_{\rm stat} \pm 1.24_{\rm syst}$	$0.488 \pm 0.226_{\rm stat} \pm 0.146_{\rm syst}$
		$31.9 \pm 1.8_{\rm stat} \pm 1.9_{\rm syst}$	$0.37\pm0.26_{\rm stat}\pm0.14_{\rm syst}$
	CLEO [501]	$40.16\pm1.24_{\rm stat}\pm1.54_{\rm syst}$	$1.363 \pm 0.084_{\rm stat} \pm 0.087_{\rm syst}$
		$43.1 \pm 1.3_{\rm stat} \pm 1.8_{\rm syst}$	$1.61\pm0.09_{\rm stat}\pm0.21_{\rm syst}$
	OPAL excl [498]	$36.20 \pm 1.58_{\rm stat} \pm 1.47_{\rm syst}$	$1.198 \pm 0.206_{\rm stat} \pm 0.153_{\rm syst}$
	50	$36.8 \pm 1.6_{\rm stat} \pm 2.0_{\rm syst}$	$1.31\pm0.21_{\rm stat}\pm0.16_{\rm syst}$
1)	OPAL partial reco [498]	$37.44 \pm 1.20_{\rm stat} \pm 2.32_{\rm syst}$	$1.090 \pm 0.137_{\rm stat} \pm 0.297_{\rm syst}$
1) V		$37.5 \pm 1.2_{\mathrm{stat}} \pm 2.5_{\mathrm{syst}}$	$1.12 \pm 0.14_{\rm stat} \pm 0.29_{\rm syst}$
	DELPHI partial reco [499]	$35.52 \pm 1.41_{\rm stat} \pm 2.29_{\rm syst}$	$1.139 \pm 0.123_{\rm stat} \pm 0.382_{\rm syst}$
		$35.5 \pm 1.4_{\rm stat} \stackrel{+2.3}{_{-2.4\rm syst}}$	$1.34 \pm 0.14_{\rm stat} \stackrel{+0.24}{_{-0.22\rm syst}}$
	DELPHI excl [500]	$35.87 \pm 1.69_{\rm stat} \pm 1.95_{\rm syst}$	$1.070 \pm 0.141_{\rm stat} \pm 0.153_{\rm syst}$
		$39.2 \pm 1.8_{\rm stat} \pm 2.3_{\rm syst}$	$1.32 \pm 0.15_{\rm stat} \pm 0.33_{\rm syst}$
	Belle [502]	$34.82 \pm 0.15_{\rm stat} \pm 0.55_{\rm syst}$	$1.106 \pm 0.031_{\rm stat} \pm 0.008_{\rm syst}$
		$35.06 \pm 0.15_{\rm stat} \pm 0.56_{\rm syst}$	$1.106 \pm 0.031_{\rm stat} \pm 0.007_{\rm syst}$
	BABAR excl [503]	$33.37 \pm 0.29_{\rm stat} \pm 0.97_{\rm syst}$	$1.182 \pm 0.048_{\rm stat} \pm 0.029_{\rm syst}$
		$34.7 \pm 0.3_{\rm stat} \pm 1.1_{\rm syst}$	$1.18 \pm 0.05_{\rm stat} \pm 0.03_{\rm syst}$
	BABAR D^{*0} [507]	$34.55 \pm 0.58_{\rm stat} \pm 1.06_{\rm syst}$	$1.124 \pm 0.058_{\rm stat} \pm 0.053_{\rm syst}$
		$35.9 \pm 0.6_{\rm stat} \pm 1.4_{\rm syst}$	$1.16 \pm 0.06_{\rm stat} \pm 0.08_{\rm syst}$
	BABAR global fit [509]	$35.45 \pm 0.20_{\rm stat} \pm 1.08_{\rm syst}$	$1.171 \pm 0.019_{\rm stat} \pm 0.060_{\rm syst}$
		$35.7 \pm 0.2_{\rm stat} \pm 1.2_{\rm syst}$	$1.21 \pm 0.02_{\rm stat} \pm 0.07_{\rm syst}$
	Average	$35.00\pm0.11_{ m stat}\pm0.34_{ m syst}$	$1.121 \pm 0.014_{ m stat} \pm 0.019_{ m syst}$



Old measurements **cannot be updated** the underlying distributions were not provided but only the result of the fit.

Obviously we should **avoid** this in the future.



Three groups: One published, One freshly on arxiv, One preliminary :



Tension with measured shapes ...

BGL is much better, model independent

So is it ok to just present results with Boyd Grinstein Lebed (BGL) ?

BGL looks great:

- it removes the relation between slope and curvature on the leading form factor;
 data can pull it.
- Slop and curvature of the form factor ratios $R_{1/2}$ are not constrained, data can pull it.

Beautiful unbinned 4D fit (!) from BaBar [Phys. Rev. Lett. 123, 091801 (2019)]



$a_0^f \times 10^2$	$a_1^f \times 10^2$	$a_1^{F_1} \times 10^2$	$a_0^g \times 10^2$	$a_1^g \times 10^2$	$ V_{cb} \times 10^3$
1.29	1.63	0.03	2.74	8.33	38.36
± 0.03	± 1.00	± 0.11	± 0.11	± 6.67	± 0.90

TABLE I. The N = 1 BGL expansion results of this analysis, including systematic uncertainties.

$- ho_D^2*$	$R_1(1)$	$R_2(1)$	$ V_{cb} \times 10^3$
0.96 ± 0.08	1.29 ± 0.04	0.99 ± 0.04	38.40 ± 0.84

TABLE II. The CLN fit results from this analysis, including systematic uncertainties.

Truncation Order

Model independence is a step forward, but choices have to be made here as well..

$$g(z) = \frac{1}{P_g(z)\phi_g(z)} \sum_{n=0}^N a_n z^n, \qquad f(z) = \frac{1}{P_f(z)\phi_f(z)} \sum_{n=0}^N b_n z^n, \qquad \mathcal{F}_1(z) = \frac{1}{P_{\mathcal{F}_1}(z)\phi_{\mathcal{F}_1}(z)} \sum_{n=0}^N c_n z^n,$$

One Problem you face as an experimentalist: where do you truncate?

Truncate too soon:

- Model dependence in extracted result for $|V_{cb}|$?

Truncate too late:

- Unnecessarily increase variance on $|V_{cb}|$?

Is there an ideal truncation order?

What about additional constraints?

Z. Ligeti, D. Robinson, M. Papucci, FB [arXiv:1902.09553, PRD100,013005 (2019)]

Use a **nested hypothesis test (NHT)** to determine optimal truncation order



Test statistics & Decision boundary $\Delta \chi^2 = \chi_N^2 - \chi_{N+1}^2 \qquad \Delta \chi^2 > 1$

Distributed like a χ^2 -distribution with 1 dof (Wilk's theorem)

Gambino, Jung, Schacht [arXiv:1905.08209, PLB]

Constrain contributions from higher order coefficients using **unitarity bounds**

$$\sum_{n=0}^{N} |a_n|^2 \le 1 \qquad \sum_{n=0}^{N} \left(|b_n|^2 + |c_n|^2 \right) \le 1$$

e.g.

$$\chi^2 \rightarrow \chi^2 + \chi^2_{\text{penalty}}$$



Steps:

2

3

5

1 Carry out nested fits with one parameter added

Accept descendant over parent fit, if $\Delta \chi^2 > 1$

Repeat 1 and 2 until you find **stationary** points

4 If multiple **stationary** points remain, choose the one with smallest *N*, then smallest χ^2



Steps:

2

3

5

1 Carry out nested fits with one parameter added

Accept descendant over parent fit, if $\Delta \chi^2 > 1$

Repeat 1 and 2 until you find **stationary** points

4 If multiple **stationary** points remain, choose the one with smallest *N*, then smallest χ^2



Steps:

2

3

5

1 Carry out nested fits with one parameter added

Accept descendant over parent fit, if $\Delta \chi^2 > 1$

Repeat 1 and 2 until you find **stationary** points

4 If multiple **stationary** points remain, choose the one with smallest *N*, then smallest χ^2



Steps:

2

3

5

1 Carry out nested fits with one parameter added

Accept descendant over parent fit, if $\Delta \chi^2 > 1$

Repeat 1 and 2 until you find **stationary** points

4 If multiple **stationary** points remain, choose the one with smallest *N*, then smallest χ^2



Steps:

2

3

1 Carry out nested fits with one parameter added

Accept descendant over parent fit, if $\Delta \chi^2 > 1$

Repeat 1 and 2 until you find **stationary** points

4 If multiple **stationary** points remain, choose the one with smallest *N*, then smallest χ^2





Toy study to illustrate possible bias



Toy study to illustrate possible bias



Bias



16

 \rightarrow Procedure produces **unbiased** $|V_{cb}|$ values, just picking a given hypothesis (BGL₁₂₂) **does not**

Relative Frequency of selected Hypothesis:											
	BGL ₁₂₂	BGL_{212}	BGL_{221}	BGL_{222}	BGL_{223}	BGL_{232}	BGL_{322}	BGL ₂₃₃	BGL ₃₂₃	BGL ₃₃₂	BGL ₃₃₃
1-times	6%	0%	37%	27%	6%	6%	11%	0%	2%	4%	0.4%
10-times	0%	0%	8%	38%	14%	8%	16%	3%	4%	8%	1%

Publishing statistical models: Getting the most out of particle physics experiments

Paradigm shift

Kyle Cranmer, Sabine Kraml, Harrison B. Prosper, Philip Bechtle, Florian U. Bernlochner, Itay M. Bloch, Enzo Canonero, Marcin Chrzaszcz, Andrea Coccaro, Jan Conrad, Glen Cowan, Matthew Feickert, Nahuel Ferreiro Iachellini, Andrew Fowlie, Lukas Heinrich, Alexander Held, Thomas Kuhr, Anders Kvellestad, Maeve Madigan, Farvah Mahmoudi, Knut Dundas Morå, Mark S. Neubauer, Maurizio Pierini, Juan Rojo, Sezen Sekmen, Luca Silvestrini, Veronica Sanz, Giordon Stark, Riccardo Torre, Robert Thorne, Wolfgang Waltenberger, Nicholas Wardle, Jonas Wittbrodt SciPost Phys. 12, 037 (2022) • published 25 January 2022

My three take-away points:

BGL removes the (theory)-model dependent assumptions of **CLN**; great step forward

BUT

still choices have to be made (truncation, unitarity in or out) that influence the outcome of the interpretation of the data

Publishing statistical models: Getting the most out of particle physics experiments

. . .

Paradigm shift

Kyle Cranmer, Sabine Kraml, Harrison B. Prosper, Philip Bechtle, Florian U. Bernlochner, Itay M. Bloch, Enzo Canonero, Marcin Chrzaszcz, Andrea Coccaro, Jan Conrad, Glen Cowan, Matthew Feickert, Nahuel Ferreiro Iachellini, Andrew Fowlie, Lukas Heinrich, Alexander Held, Thomas Kuhr, Anders Kvellestad, Maeve Madigan, Farvah Mahmoudi, Knut Dundas Morå, Mark S. Neubauer, Maurizio Pierini, Juan Rojo, Sezen Sekmen, Luca Silvestrini, Veronica Sanz, Giordon Stark, Riccardo Torre, Robert Thorne, Wolfgang Waltenberger, Nicholas Wardle, Jonas Wittbrodt SciPost Phys. 12, 037 (2022) • published 25 January 2022

My three take-away points:

1.

BGL removes the (theory)-model dependent assumptions of **CLN**; great step forward

BUT

still choices have to be made (truncation, unitarity in or out) that influence the outcome of the interpretation of the data What if someone comes along and wants to **fit something else** to the data with different assumptions?

BGL with updated pole masses?

DM from MNSL(PoS LATTICE2022 (2023) 298)
BGJD (Eur.Phys.J.C 80 (2020) 4, 347)
BLPR (Phys. Rev. D 95, 115008 (2017))
BLPRXP (Phys. Rev. D 106, 096015 (2022))

Publishing statistical models: Getting the most out of particle physics experiments

Paradigm shift

Kyle Cranmer, Sabine Kraml, Harrison B. Prosper, Philip Bechtle, Florian U. Bernlochner, Itay M. Bloch, Enzo Canonero, Marcin Chrzaszcz, Andrea Coccaro, Jan Conrad, Glen Cowan, Matthew Feickert, Nahuel Ferreiro Iachellini, Andrew Fowlie, Lukas Heinrich, Alexander Held, Thomas Kuhr, Anders Kvellestad, Maeve Madigan, Farvah Mahmoudi, Knut Dundas Morå, Mark S. Neubauer, Maurizio Pierini, Juan Rojo, Sezen Sekmen, Luca Silvestrini, Veronica Sanz, Giordon Stark, Riccardo Torre, Robert Thorne, Wolfgang Waltenberger, Nicholas Wardle, Jonas Wittbrodt SciPost Phys. 12, 037 (2022) • published 25 January 2022

My three take-away points:

1.

BGL removes the (theory)-model dependent assumptions of **CLN**; great step forward

BUT

still choices have to be made (truncation, unitarity in or out) that influence the outcome of the interpretation of the data

What if someone comes along and wants to **fit something else** to the data with different assumptions?

BGL with updated pole masses?

DM from MNSL(PoS LATTICE2022 (2023) 298)
BGJD (Eur.Phys.J.C 80 (2020) 4, 347)
BLPR (Phys. Rev. D 95, 115008 (2017))
BLPRXP (Phys. Rev. D 106, 096015 (2022))

What if someone wants to **do something entirely else** with the **data** we have not thought of today?

E.g. look for a bump for a sterile neutrino at large MM2, search for RH currents in angular distributions

19

Talk Overview

Possible Strategies

Publish either container that allows later reinterpretation

(includes final selected data, MC, etc.)

Very ambitious, but great goal!

- Not everybody agrees and not everybody agrees to what extend Publish ND or unbinned unfolded measurements

Very challenging, binned: curse of dimensionality (5D measurement essentially)

Unbinned unfolding cool new idea, beats high dimensionality

Omnifold: unbinned unfolding Phys. Rev. Lett. 124, 182001 (2020)

Possible Strategies

Publish either container that allows later reinterpretation

(includes final selected data, MC, etc.)

opendata CERN

Very ambitious, but great goal!

- Not everybody agrees and not everybody agrees to what extend

Publish ND or unbinned unfolded measurements

Very challenging, binned: curse of dimensionality (5D measurement essentially)

Unbinned unfolding cool new idea, beats high dimensionality

Omnifold: unbinned unfolding Phys. Rev. Lett. 124, 182001 (2020) Somewhere in between?

Without loosing too much interesting information?

Publish 1D Measurements of partial BFs

Full Angular Information without going to 4D

Full angular information can be encoded into **12 coefficients** :

8 Coefficients relevant in massless limit & SM

Step 1: bin up phase-space in $q^2 \sim w$ in however many bins you can afford

Step 1: bin up phase-space in $q^2 \sim w$ in however many bins you can afford

Step 2: Determine the # of signal events in specific phase-space regions

The coefficients are related to a weighted sun of events in a given q^2 bin

$$J_{i} = \frac{1}{N_{i}} \sum_{j=1}^{8} \sum_{k,l=1}^{4} \eta_{ij}^{\chi} \eta_{ik}^{\theta_{\ell}} \eta_{il}^{\theta_{V}} \left[\chi^{i} \otimes \theta_{\ell}^{j} \otimes \theta_{V}^{k} \right]$$

Normalization Factor

Weights

Phase space region

 \tilde{N}_{+}

 \tilde{N}_{-}

E.g. for J_3 : Split χ into 2 Regions

$$'+': \chi \in [0, \pi/4], [3/4\pi, 5/4\pi], [7/4\pi, 2\pi]$$

 $'-': \chi \in [\pi/4, 3/4\pi], [5/4\pi, 7/4\pi]$

 $\eta_i^{\theta_\ell}$ $\eta_i^{\theta_V}$ J_i η_i^{χ} normalization N_i J_{1s} {+} $\{+, a, a, +\}$ $\{-, c, c, -\}$ $2\pi(1)2$ $\{+\}$ $\{+, a, a, +\}$ $\{+, d, d, +\}$ J_{1c} $2\pi(1)(2/5)$ {+} $\{-, b, b, -\}$ $\{-, c, c, -\}$ J_{2s} $2\pi(-2/3)2$ $\{+, d, d, +\}$ {+} $\{-, b, b, -\}$ J_{2c} $2\pi(-2/3)(2/5)$ $4(4/3)^2$ $\{+\}$ J_3 $\{+, -, -, +, +, -, -, +\}$ $\{+\}$ $4(4/3)^2$ $\{+,+,-,-,-,-,+,+\}$ $\{+,+,-,-\}$ $\{+,+,-,-\}$ J_4 $\{+\}$ $4(\pi/2)(4/3)$ $\{+, +, -, -\}$ $\{+, +, -, -, -, -, +, +\}$ J_5 {+} $\{+, +, -, -\}$ $\{-, c, c, -\}$ $2\pi(1)2$ J_{6s} $\{+, +, -, -\}$ {+} $\{+, d, d, +\}$ $2\pi(1)(2/5)$ J_{6c} $\{+, +, +, +, -, -, -, -\}$ {+} $\{+, +, -, -\}$ $4(\pi/2)(4/3)$ J_7 $4(4/3)^2$ $\{+, +, +, +, -, -, -, -\}$ $\{+,+,-,-\}$ $\{+,+,-,-\}$ $4(4/3)^2$ $\{+,+,-,-,+,+,-,-\}$ $\{+\}$ $\{+\}$

$$a = 1 - 1/\sqrt{2}, b = a\sqrt{2}, c = 2\sqrt{2} - 1, d = 1 - 4\sqrt{2}/5$$

Step 3: Reverse Migration and Acceptance Effects

Resolution effects: events with a **given "true"** value of $\{q^2, \cos \theta_{\ell'}, \cos \theta_V, \chi\}$ can fall into different reconstructed bins

(statistical overlap, systematics)

SM: { $J_{1s}^{q_i^2}, J_{1c}^{q_i^2}, J_{2s}^{q_i^2}, J_{2c}^{q_i^2}, J_3^{q_i^2}, J_4^{q_i^2}, J_5^{q_i^2}, J_{6s}^{q_i^2}$ }

e.g. 5 x 8 = 40 coefficients

or full thing (SM + NP) with **5 x 12 = 60 coefficients**

		0	0				
J_i	η_i^{χ}	$\eta_i^{ heta_\ell}$	$\eta_i^{ heta_V}$	normalization N_i			
J_{1s}	$\{+\}$	$\{+,a,a,+\}$	$\{-,c,c,-\}$	$2\pi(1)2$			
J_{1c}	$\{+\}$	$\{+,a,a,+\}$	$\{+,d,d,+\}$	$2\pi(1)(2/5)$			
J_{2s}	$\{+\}$	$\{-,b,b,-\}$	$\{-,c,c,-\}$	$2\pi(-2/3)2$			
J_{2c}	$\{+\}$	$\{-,b,b,-\}$	$\{+,d,d,+\}$	$2\pi(-2/3)(2/5)$			
J_3	$\{+,-,-,+,+,-,-,+\}$	$\{+\}$	$\{+\}$	$4(4/3)^2$			
J_4	$\{+,+,-,-,-,+,+\}$	$\{+,+,-,-\}$	$\{+,+,-,-\}$	$4(4/3)^2$			
J_5	$\{+,+,-,-,-,+,+\}$	$\{+\}$	$\{+,+,-,-\}$	$4(\pi/2)(4/3)$			
J_{6s}	$\{+\}$	$\{+,+,-,-\}$	$\{-,c,c,-\}$	$2\pi(1)2$			
J_{6c}	$\{+\}$	$\{+,+,-,-\}$	$\{+,d,d,+\}$	$2\pi(1)(2/5)$			
J_7	$\{+,+,+,+,-,-,-,-\}$	$\{+\}$	$\{+,+,-,-\}$	$4(\pi/2)(4/3)$			
J_8	$\{+,+,+,+,-,-,-,-\}$	$\{+,+,-,-\}$	$\{+,+,-,-\}$	$4(4/3)^2$			
J_9	$\{+,+,-,-,+,+,-,-\}$	{+}	{+}	$4(4/3)^2$			
a	$a = 1 = 1/\sqrt{2} = b = a = \sqrt{2} = -2 = \sqrt{2} = 1 = \frac{1}{4} = \frac{1}{4} = \sqrt{2}/5$						
a	$a = 1 - 1/\sqrt{2}, b = a\sqrt{2}, c = 2\sqrt{2} - 1, a = 1 - 4\sqrt{2/3}$						

Talk Overview

1D versus Full Angular Sensitivities

Errors and central values from 1D projection fits of arXiv:2301.07529 (Table XVI)

30

1D versus Full Angular Sensitivities

31

Angular Coefficients also will allow us to better investigate what is going on with lattice versus data tensions.

Summary

Angular information is crucial to better study $B \to D^* \ell \bar{\nu}_{\ell}$:

The **future** will hopefully go towards **open data** ... but part of a longer discussion.

Unbinned unfolded data is a great new idea; best preserves the properties of the underlying data; but many details need to be ironed out

In the meantime: **Binned angular coefficients seem a very promising strategy to preserve our measurements.** $\frac{\mathrm{d}\Gamma}{\mathrm{d}q^2 \,\mathrm{d}\cos\theta_V \,\mathrm{d}\cos\theta_\ell \,\mathrm{d}\chi} = \frac{G_F^2 \left|V_{cb}\right|^2 m_B^3}{2\pi^4}$ $\times \left\{ J_{1s} \sin^2\theta_V + J_{1c} \cos^2\theta_V + (J_{2s} \sin^2\theta_V + J_{2c} \cos^2\theta_V) \cos 2\theta_\ell + J_3 \sin^2\theta_V \sin^2\theta_\ell \cos 2\chi + J_4 \sin 2\theta_V \sin^2\theta_\ell \cos \chi + J_5 \sin 2\theta_V \sin \theta_\ell \cos \chi + (J_{6s} \sin^2\theta_V + J_{6c} \cos^2\theta_V) \cos \theta_\ell + J_7 \sin 2\theta_V \sin \theta_\ell \sin \chi + J_8 \sin 2\theta_V \sin 2\theta_\ell \sin \chi + J_9 \sin^2\theta_V \sin^2\theta_\ell \sin 2\chi \right\}.$

Backup

Is it meaningful to combine LQCD and data that do not agree in shape? What does this mean for our $|V_{cb}|$ values? Can we trust $\mathcal{F}(1)$?

		0.0016	
4.0	$B \rightarrow D^* u \bar{\nu}$	0.0010	

Same data / MC disagreement?

$$p_{\text{unfolded}}^{(n)}(t) = \nu_n(t) \, p_{\text{Gen.}}(t).$$

- UNIFOLD: A single observable as input. This is an unbinned version of IBU.
- MULTIFOLD: Many observables as input. Here, we use the six jet substructure observables in Fig. 2 to derive the detector response.
- OMNIFOLD: The full event (or jet) as input, using the full phase space information.

