

Nuclear-structure theory in connection with neutrino physics

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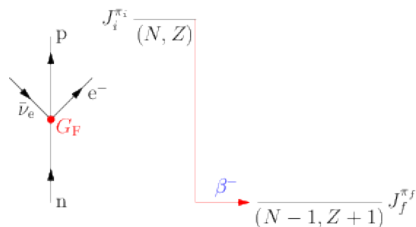
MAYORANA International School, Modica, Sicily, July 4-11, 2023



Contents:

- Intro: Basics about weak decays
- Double beta decays and the g_A problem
- Effective value of g_A
- Electron spectral shapes and reactor $\bar{\nu}$
- Low Q values for $\nu/\bar{\nu}$ mass measurements
- Nuclear muon capture

Basics of neutrino-nucleus processes



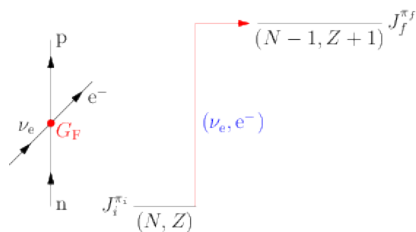
β^- decay: $n \rightarrow p + e^- + \bar{\nu}_e$

Decay rate

Driven by Q -value (= decay energy)

$$Q = E_{\text{kin}}(e^-) + E_{\text{kin}}(\bar{\nu})$$

Hindered strongly by $\Delta J = |J_i - J_f|$ and very strongly by G_F^2



Neutrino-nucleus (charged-current) scattering at low energies (< 100 MeV):

$$\nu_e + n \rightarrow p + e^-$$

Cross section

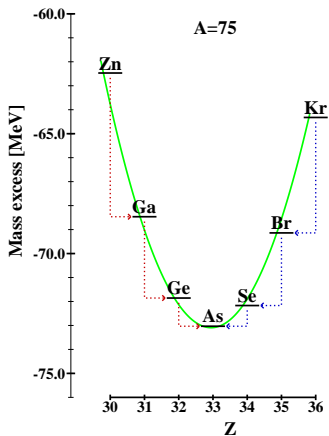
Driven by $E_\nu > E_{\text{threshold}}$

Diminished moderately by $\Delta J = |J_i - J_f|$

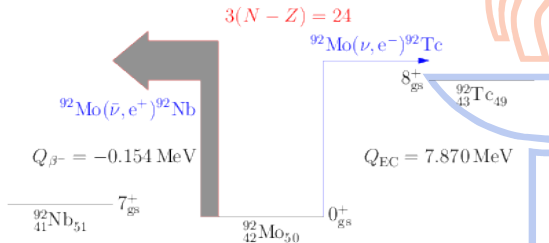
and very strongly by G_F^2

Examples:

β decays for isobars $A = 75$



Charged-current scattering off ^{92}Mo



INTRO: Rare weak decays (of interest for determination of ν properties)

What causes the rare weak decays to be so rare?

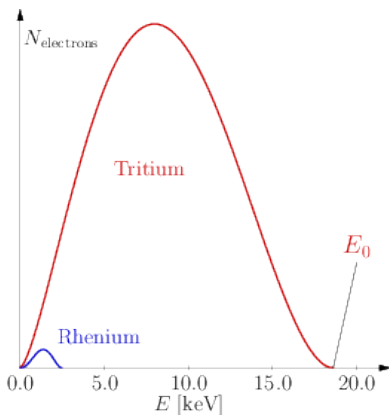
- Very low decay energies (Q values) of β decays
- Weak-interaction processes of higher order ($\beta\beta$ decays)
- Large difference in the angular momenta of the initial and final states (forbidden β decays)

See the recent reviews:

H. Ejiri, J. S., K. Zuber: [Neutrino-nuclear responses for astro-neutrinos, single beta decays and double beta decays](#), Physics Reports 797 (2019) 1–102

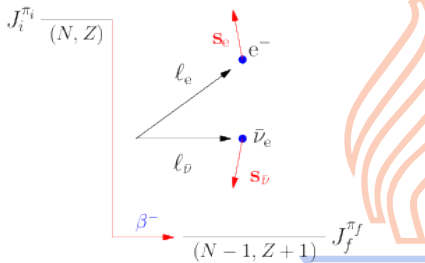
K. Blaum, S. Eliseev, F. A. Danevich, V. I. Tretyak, S. Kovalenko, M. I. Krivoruchenko, Yu. N. Novikov and J. S., [Neutrinoless double-electron capture](#), Reviews of Modern Physics 92 (2020) 1–61.

Electron spectra and forbidden beta transitions



E = kinetic energy of the emitted electron

E_0 = endpoint energy



$$\mathbf{S} = \mathbf{s}_e + \mathbf{s}_{\bar{\nu}}; \mathbf{S} \leftrightarrow s = 0(\text{F}), 1(\text{GT})$$

$$\mathbf{L} = \mathbf{l}_e + \mathbf{l}_{\bar{\nu}}; \mathbf{L} \leftrightarrow \ell$$

$$\mathbf{J} = \mathbf{L} + \mathbf{S}; \Delta(J_i, J, J_f); \pi_i(-1)^{\ell} \pi_f = 1$$

$$\text{Decay rate} \sim (\langle qr \rangle^2)^{\ell} \sim (10^{-4})^{\ell},$$

$$\mathbf{q} = \mathbf{p}_e + \mathbf{p}_{\bar{\nu}}$$

$\ell = 0 \leftrightarrow$ allowed transition

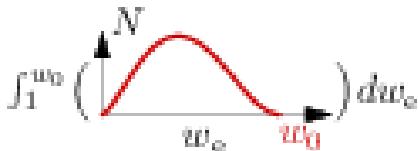
$\ell \geq 1 \leftrightarrow \ell\text{:th forbidden transition}$

$|J_i - J_f| = \ell + 1 \leftrightarrow$ only one NME involved

\leftrightarrow unique $\ell\text{:th forbidden transition}$

Evaluation of the decay rates: allowed decays

$$\beta^- \text{ decay rate} = \frac{\ln 2}{T_{1/2}} = G_F \frac{m_e^5 c^4}{2\pi^3 \hbar^7} \int_1^{w_0} C(w_e) p_e w_e (w_0 - w_e)^2 F_0(Z, w_e) dw_e =$$



$$C(w_e) = g_V^2 M_F^2 + g_A^2 M_{GT}^2 \leftrightarrow \text{shape factor for allowed decays}$$

$$w_e = (E + m_e c^2) / m_e c^2 \leftrightarrow \text{electron energy}$$

$$w_0 = (E_0 + m_e c^2) / m_e c^2 \leftrightarrow \text{electron endpoint energy}$$

$$p_e \leftrightarrow \text{electron momentum}$$

$$F_0(Z, w_e) \leftrightarrow \text{Fermi function for final-state Coulomb effects}$$

$g_V = 1$ is the vector coupling (CVC value!)

g_A is the axial-vector coupling

The PCVC hypothesis $\Rightarrow g_A = 1.27$

⇓ Non-nucleonic degrees of freedom (delta resonances)

⇓ Nuclear many-body effects (two-body currents)

⇓ Nuclear-model effects (configuration-space truncations, neglect of three-nucleon forces,...)

Effective value: g_A^{eff}

Effective value of g_A affects everything

Motivation:

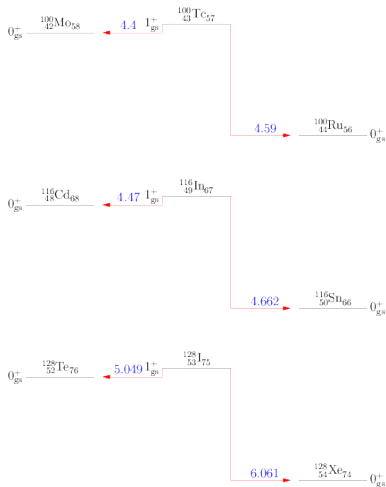
Effective value of the weak coupling g_A is involved in all weak processes, and thus have impact on

- studies of rare β decays
- processes in neutrino physics ($\beta\beta$ decay, low-energy (anti)neutrino-nucleus scattering, nuclear muon capture, ...)
- processes in astrophysics (allowed and forbidden β decays, (anti)neutrino-nucleus scattering cross sections, ...)



Affects (strongly) the determination of neutrino properties!

Allowed Gamow-Teller decays



Try to determine g_A^{eff} by reproducing experimental comparative half-lives (= $\log ft$ values) by the **random-phase approximation theory**

$$\log ft = \log(f_0 t_{1/2}[\text{s}]) = \log \left(\frac{6289}{g_V^2 M_F^2 + g_A^2 M_{GT}^2} \right)$$

QUESTION: Can this be done?

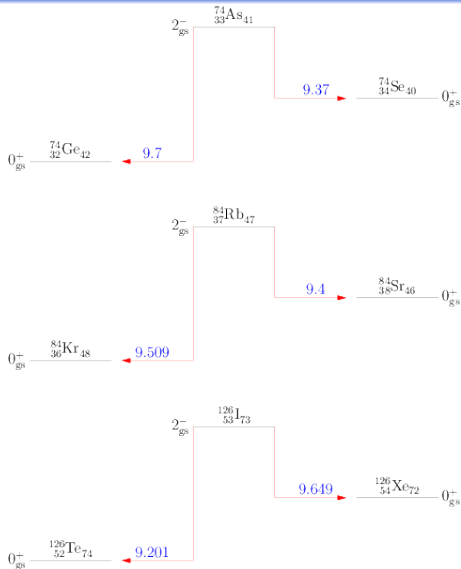
Yes: Recent work by O. Civitarese, F. Depisch, H. Ejiri, P. Pirinen, J. S.: **quenched** g_A^{eff}

Evaluation of the decay rates: **unique forbidden decays** (with universal spectral shape)

$$\begin{aligned} C_{lu}(w_e) &= (6.71 \times 10^{-6})^l \frac{(2l)!!}{(2l+1)!!} \\ &\times \left\{ \sum_{k_e+k_\nu=l+2} \frac{1}{\sqrt{(2k_e-1)!(k_\nu-1)!}} \frac{F_{k_e-1}(Z, w_e)}{F_0(Z, w_e)} \right. \\ &\times \left. p_e^{2(k_e-1)} (w_0 - w_e)^{2(k_\nu-1)} \right\} \times g_A^2 M_{lu}^2 \end{aligned}$$

↔ **shape function** for l :th **forbidden unique decays**

First-forbidden unique beta decays



Extract g_A^{eff} by reproducing the $\log ft$ values of the beta decays.

QUESTION: Anything sensible coming out?

ANSWER: H. Ejiri, N. Soukouti and J. S., Phys. Lett. B 729 (2014) 27:
quenched g_A^{eff}

Decay rates, non-unique forbidden decays: $C(w_e)$

$$\begin{aligned}
 &= \frac{1}{\sqrt{2}} (6.71 \times 10^{-6})^l \sqrt{\frac{(2l)!!}{(2l+1)!!}} \sum_{k_e+k_\nu=l+1} \frac{1}{\sqrt{(2k_e-1)!(2k_\nu-1)!}} \frac{F_{k_e-1}(Z, w_e)}{F_0(Z, w_e)} p_e^{2(k_e-1)} (w_0 - w_e)^{2(k_\nu-1)} \\
 &\times \left[\frac{2l+1}{l} \left(\frac{\hbar c}{m_e c^2} g_A M_1 \right)^2 + \frac{1}{(2k_e+1)^2} \left\{ \left(\frac{\alpha \hbar c}{R m_e c^2} Z \right)^2 [g_V M_2^{(k_e)} - \sqrt{\frac{l+1}{l}} g_A M_3^{(k_e)}]^2 \right. \right. \\
 &+ \left. \left. 2 \left(\frac{\alpha \hbar c}{R m_e c^2} Z \right) w_e [g_V M_2 - \sqrt{\frac{l+1}{l}} g_A M_3] [g_V M_2^{(k_e)} - \sqrt{\frac{l+1}{l}} g_A M_3^{(k_e)}] + (1+w_e^2) [g_V M_2 - \sqrt{\frac{l+1}{l}} g_A M_3]^2 \right\} \right. \\
 &- \left. \frac{2\sqrt{k_e^2 - (\alpha Z)^2}}{k_e w_e (2k_e+1)^2} \left\{ \frac{\alpha \hbar c}{R m_e c^2} Z [g_V M_2 - \sqrt{\frac{l+1}{l}} g_A M_3] [g_V M_2^{(k_e)} - \sqrt{\frac{l+1}{l}} g_A M_3^{(k_e)}] \right. \right. \\
 &+ \left. \left. w_e [g_V M_2 - \sqrt{\frac{l+1}{l}} g_A M_3]^2 \right\} + \frac{1}{(2k_\nu+1)^2} (w_0 - w_e)^2 [g_V M_2 + \sqrt{\frac{l+1}{l}} g_A M_3]^2 - \frac{2}{2k_e+1} \sqrt{\frac{2l+1}{l}} \right. \\
 &\times \left. \left\{ \left(\frac{\alpha \hbar c}{R m_e c^2} Z \right) \left(\frac{\hbar c}{m_e c^2} g_A M_1 \right) [g_V M_2^{(k_e)} - \sqrt{\frac{l+1}{l}} g_A M_3^{(k_e)}] + w_e \left(\frac{\hbar c}{m_e c^2} g_A M_1 \right) [g_V M_2 - \sqrt{\frac{l+1}{l}} g_A M_3] \right\} \right. \\
 &+ \frac{2}{2k_e+1} \sqrt{\frac{2l+1}{l}} \frac{\sqrt{k_e^2 - (\alpha Z)^2}}{k_e w_e} \left(\frac{\hbar c}{m_e c^2} g_A M_1 \right) [g_V M_2 - \sqrt{\frac{l+1}{l}} g_A M_3] - \frac{2}{2k_\nu+1} \sqrt{\frac{2l+1}{l}} (w_0 - w_e) \\
 &\times \left(\frac{\hbar c}{m_e c^2} g_A M_1 \right) [g_V M_2 + \sqrt{\frac{l+1}{l}} g_A M_3] + \frac{2}{(2k_e+1)(2k_\nu+1)} (w_0 - w_e) \left\{ \left(\frac{\alpha \hbar c}{R m_e c^2} Z \right) \right. \\
 &\times \left. [g_V M_2^{(k_e)} - \sqrt{\frac{l+1}{l}} g_A M_3^{(k_e)}] + w_e [g_V M_2 - \sqrt{\frac{l+1}{l}} g_A M_3] \right\} [g_V M_2 + \sqrt{\frac{l+1}{l}} g_A M_3]
 \end{aligned}$$

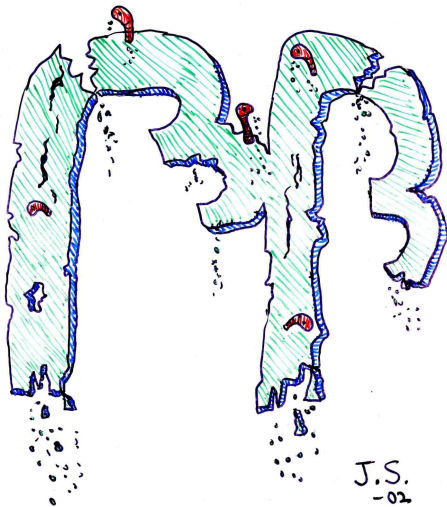
non-unique forbidden decays continues...

$$\begin{aligned}
 & - \frac{2}{(2k_e + 1)(2k_\nu + 1)} \frac{\sqrt{k_e^2 - (\alpha Z)^2}}{k_e w_e} (w_0 - w_e) \left[g_V M_2 - \sqrt{\frac{l+1}{l}} g_A M_3 \right] \left[g_V M_2 + \sqrt{\frac{l+1}{l}} g_A M_3 \right] \\
 & + (6.71 \times 10^{-6})^l \sqrt{\frac{(2l)!!}{(2l+1)!!}} \sum_{k_e+k_\nu=l+2} \frac{1}{\sqrt{(2k_e-1)!(2k_\nu-1)!}} \frac{F_{k_e-1}(Z, w_e)}{F_0(Z, w_e)} p_e^{2(k_e-1)} (w_0 - w_e)^{2(k_\nu-1)} \\
 & \times \left[\frac{(l+1)}{(2k_e-1)(2k_\nu-1)} \left\{ g_V^2 M_2^2 + 2g_V g_A \frac{k_e - k_\nu}{\sqrt{l(l+1)}} M_2 M_3 + \frac{(k_e - k_\nu)^2}{l(l+1)} g_A^2 M_3^2 \right\} + g_A^2 M_4^2 \right]
 \end{aligned}$$

↔ **shape function** for **forbidden non-unique decays**

Extension to include also the next-order terms: M. Haaranen, J. Kotila and J. S., Phys. Rev. C 95 (2017) 024327.

Motivation for the Work: Double Beta Decay



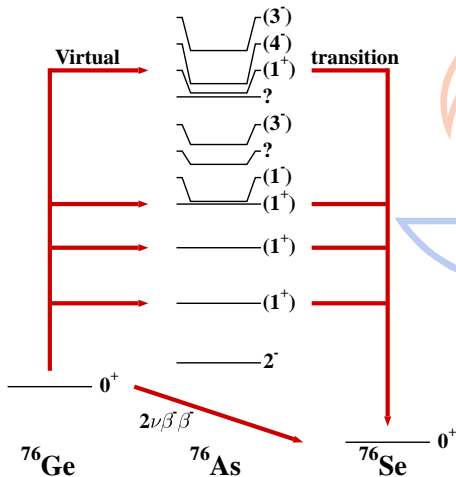
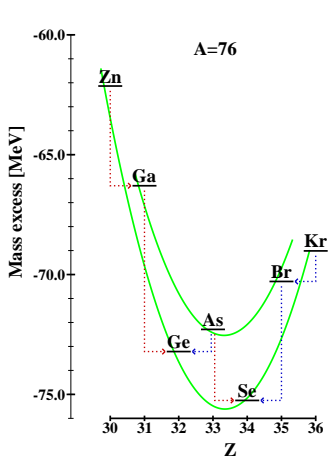
Highly-suppressed weak decays

Nuclear decays of higher order:

Double beta decays:

Two-neutrino $\beta\beta$ decay
and
NEUTRINOLESS $\beta\beta$ decay

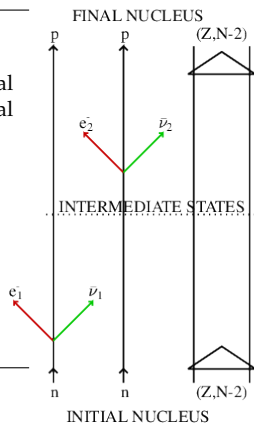
$2\nu\beta\beta$ decay from nuclear-structure point of view



Two-neutrino double beta decay

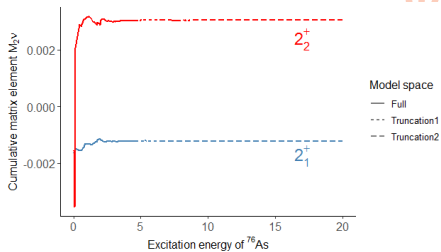
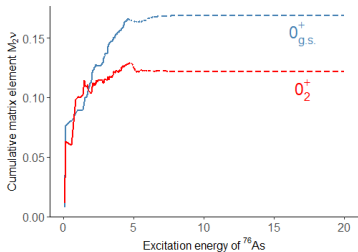
Nucleus	half-life (years)	experiments
^{48}Ca	$4.4^{+0.6}_{-0.5} \cdot 10^{19}$	laboratory
^{76}Ge	$1.60^{+0.13}_{-0.10} \cdot 10^{21}$	laboratory
^{82}Se	$(0.92 \pm 0.07) \cdot 10^{19}$	laboratory, geochemical
^{96}Zr	$(2.3 \pm 0.2) \cdot 10^{19}$	laboratory, geochemical
^{100}Mo	$(7.1 \pm 0.4) \cdot 10^{18}$	laboratory
$^{100}\text{Mo}(0_1^+)$	$6.2^{+0.7}_{-0.5} \cdot 10^{20}$	laboratory
^{116}Cd	$(2.85 \pm 0.15) \cdot 10^{19}$	laboratory
^{128}Te	$(2.0 \pm 0.3) \cdot 10^{24}$	geochemical
^{130}Te	$(6.9 \pm 1.3) \cdot 10^{20}$	geochemical
^{136}Xe	$(2.20 \pm 0.06) \cdot 10^{21}$	laboratory
^{150}Nd	$(8.2 \pm 0.9) \cdot 10^{18}$	laboratory
$^{150}\text{Nd}(0_1^+)$	$1.33^{+0.45}_{-0.26} \cdot 10^{20}$	laboratory
^{238}U	$(2.0 \pm 0.6) \cdot 10^{21}$	radio-chemical

10^{20} years =
10000000000 \times age of the UNIVERSE



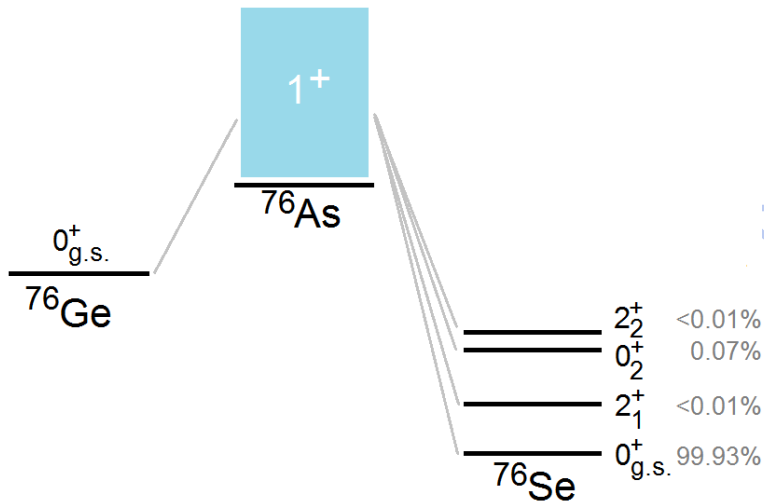
EXAMPLE: $2\nu\beta\beta$ decay of ^{76}Ge to states in ^{76}Se

Convergence of the large-scale shell-model calculation in the single-particle model space $0f_{5/5} - 1p - 0g_{9/2}$ using the effective Hamiltonian JUN45 (M. Honma *et al.*, Phys. Rev. C 80 (2009) 064323)



$2\nu\beta\beta$ decay of ^{76}Ge : Relative feeding of final states

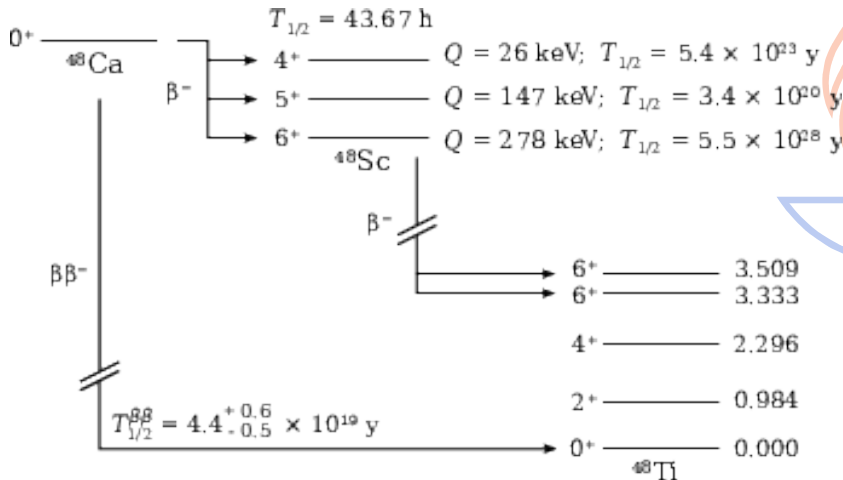
J. Kostensalo, J.S, K. Zuber, Phys. Lett. B 831 (2022) 137170



^{96}Zr and ^{48}Ca : Competition of beta and double beta decays

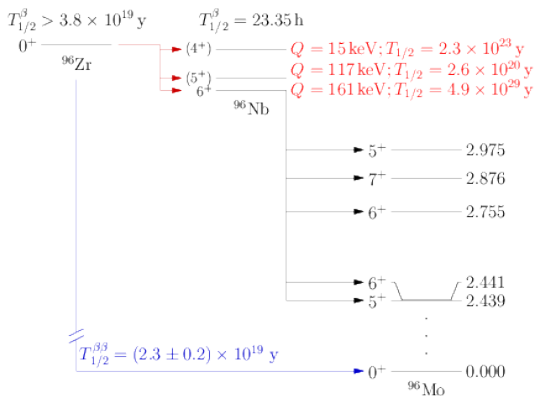
^{48}Ca decay channels calculated by the SHELL MODEL

M. Haaranen, M. Horoi, J.S, Phys. Rev. C 89 (2014) 034315



^{96}Zr decay channels calculated by the pnQRPA

H. Heiskanen, M. T. Mustonen, J.S, J. Phys. G 34 (2007) 837



$0^+ \rightarrow 4^+ \leftrightarrow$ 4th forbidden non-unique transition

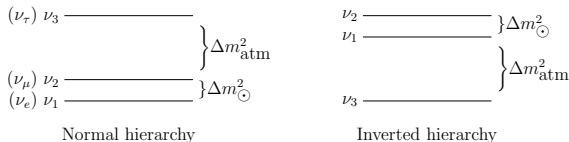
$0^+ \rightarrow 5^+ \leftrightarrow$ 4th forbidden unique transition

$0^+ \rightarrow 6^+ \leftrightarrow$ 6th forbidden non-unique transition

Neutrinoless double β^- decay

$0\nu\beta\beta$ Decay is Able to:

- Reveal if the neutrino is a **Majorana particle**
- Probe the neutrino **effective mass**
 $\langle m_\nu \rangle = \sum_{j=\text{light}} \lambda_j^{\text{CP}} |U_{ej}|^2 m_j$
- Probe the **degenerate** or **inverted** mass hierarchies (next-generation experiments!)
- Probe possibly the **CP phases** (nuclear matrix elements are critical!)



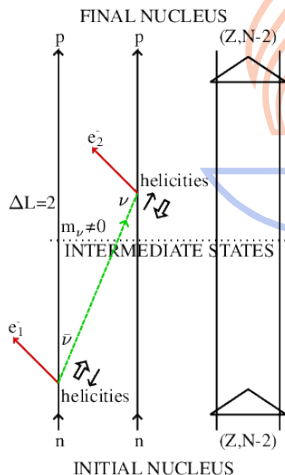
$$\Delta m_{\odot}^2 = 7.67_{-0.19}^{+0.16} \times 10^{-5} \text{ eV}^2$$

$$\Delta m_{\text{atm}}^2 = 2.39_{-0.08}^{+0.11} \times 10^{-3} \text{ eV}^2$$

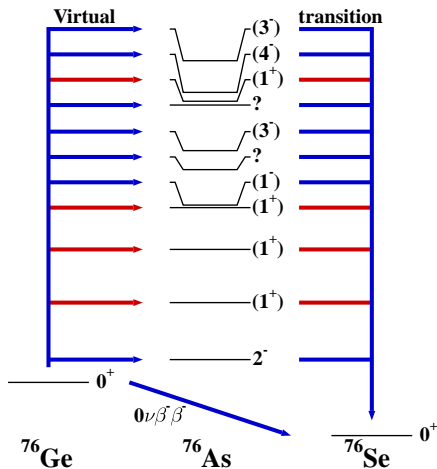
[Global 3ν oscillation analysis (2008)]

MASS MODE:

$$T_{1/2}^{-1} \propto \langle m_\nu \rangle^2$$



$0\nu\beta\beta$ decay from nuclear-structure point of view



Decay rate:

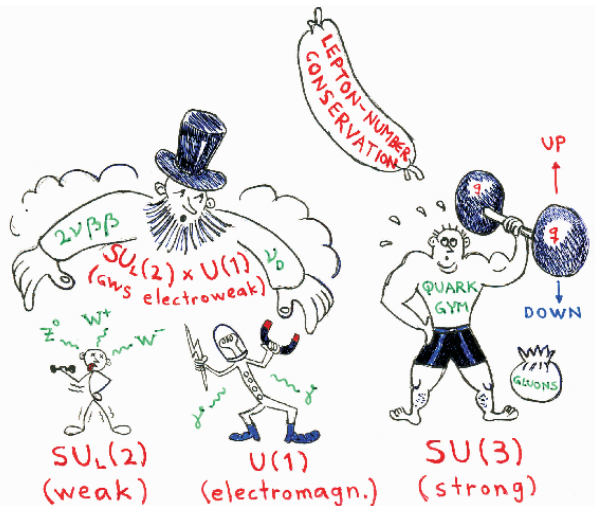
$$\frac{\ln 2}{T_{1/2}} = (g_A)^4 g^{(0\nu)}(Q) [M^{(0\nu)}]^2 \langle m_\nu \rangle^2$$

- $g^{(0\nu)}(Q) \propto Q^5$ is the phase-space factor
- $M^{(0\nu)}$ = NUCLEAR MATRIX ELEMENT
- Effective neutrino mass:

$$\langle m_\nu \rangle = \sum_{j=\text{light}} \lambda_j^{\text{CP}} |U_{ej}|^2 m_j$$

- Light and heavy Majorana-neutrino exchange: J. Hyvärinen and J.S., Phys. Rev. C 91 (2015) 024613

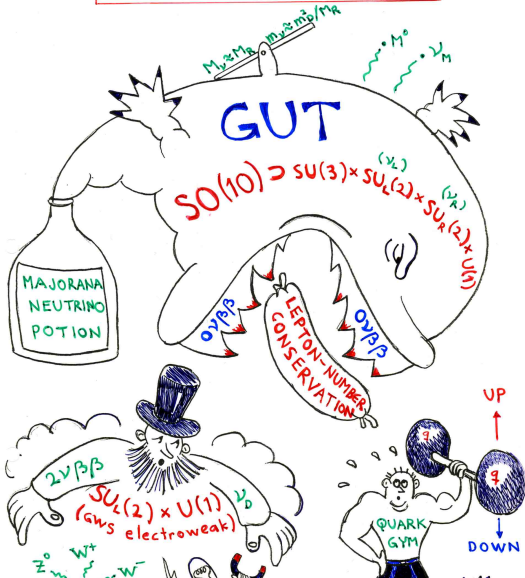
INTRO: The Standard Model



- Only massless neutrinos
- Only Dirac neutrinos
- Lepton number is conserved

Example of a Theory Containing Massive Neutrinos

INTRODUCING THE GUT (MOTIVATION)



SPECIFIC FEATURES
OF
 $0\nu\beta^-\beta^-$ DECAYS

Matrix Elements of the $0\nu\beta^-\beta^-$ Decay

MASS MODE:

$$\left[T_{1/2}^{(0\nu)}\right]^{-1} = (g_A)^4 G^{(0\nu)} \left(\frac{\langle m_\nu \rangle}{m_e}\right)^2 |M^{(0\nu)}|^2,$$

$$M^{(0\nu)} = M_{\text{GT}}^{(0\nu)} - \left(\frac{g_V}{g_A}\right)^2 M_{\text{F}}^{(0\nu)} + M_{\text{T}}^{(0\nu)},$$

$G_1^{(0\nu)}(Q) \propto Q^5$ is the phase-space factor ,

$$\langle m_\nu \rangle = \sum_j \lambda_j^{\text{CP}} m_j |U_{ej}|^2 \text{ (Effective neutrino mass) ,}$$

$$M_{\text{F}}^{(0\nu)} = \sum_a (0_f^+ || \sum_{mn} h_+(r_{mn}, E_a) || 0_i^+),$$

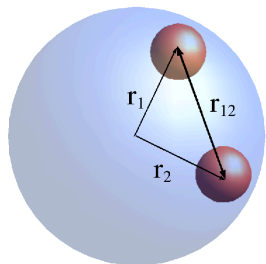
$$M_{\text{GT}}^{(0\nu)} = \sum_a (0_f^+ || \sum_{mn} h_+(r_{mn}, E_a) (\boldsymbol{\sigma}_m \cdot \boldsymbol{\sigma}_n) || 0_i^+).$$

Specific Properties of $0\nu\beta^-\beta^-$ NMEs

Nucleon-nucleon short-range correlations:

one has to prevent the two
decaying nucleons to overlap

→ Use a short-range correlator, like **Jastrow** (traditional) or **UCOM** (applied already in 2007 by the Jyväskylä group)



Other improvements (Tübingen, 1999):

finite nucleon size (form factors)
and
higher-order terms in nucleonic weak current

Nucleonic Weak Current and Nucleon Form Factors

Lepton-nucleon weak current:

$$H_W = \frac{G_F}{\sqrt{2}} \left(j_{L\mu} J_L^{\mu\dagger} \right) + \text{H.c.} \quad ; \quad j_{L\mu} = \bar{e} \gamma_\mu (1 - \gamma_5) \nu_{eL} ,$$

$$J_L^{\mu\dagger} \approx \bar{\Psi} \tau^+ \left[g_V(\mathbf{q}^2) \gamma^\mu - i g_M(\mathbf{q}^2) \frac{\sigma^{\mu\nu}}{2M_N} q_\nu - g_A(\mathbf{q}^2) \gamma^\mu \gamma_5 + g_P(\mathbf{q}^2) q^\mu \gamma_5 \right] \Psi$$

Ψ = nucleon fields, M_N = nucleon mass, $\sigma^{\mu\nu} = \frac{i}{2} [\gamma^\mu, \gamma^\nu]$

The dipole form factors read:

$$g_V(\mathbf{q}^2) = \frac{g_V}{(1 + \mathbf{q}^2/M_V^2)^2} \quad ; \quad g_A(\mathbf{q}^2) = \frac{g_A}{(1 + \mathbf{q}^2/M_A^2)^2} ,$$

$$g_V = 1.00, \quad g_A = ???, \quad M_V = 850 \text{ MeV}, \quad M_A = 1086 \text{ MeV} ,$$

$$g_M(\mathbf{q}^2) = (\mu_p - \mu_n) g_V(\mathbf{q}^2) \text{ [Weak magnetism]}$$

$$g_P(\mathbf{q}^2) = 2M_N g_A(\mathbf{q}^2) (\mathbf{q}^2 + m_\pi^2)^{-1} \text{ [Goldberger-Treiman relation]}$$

Nuclear Models:

HOW CAN WE DESCRIBE THE VIRTUAL TRANSITIONS?

Single-particle space

particle creation: c_p^\dagger, c_n^\dagger
 ($p = \text{proton}, n = \text{neutron}$)

↓ BCS

Quasiparticle mean field

quasiparticle creation: a_p^\dagger, a_n^\dagger

↓ pnQRPA

Basic excitation:

$$\Gamma_{kj}^\dagger = \sum_{pn} \left(X_{pn}^k [a_p^\dagger a_n^\dagger]_j - Y_{pn}^k [a_p^\dagger a_n^\dagger]_j^\dagger \right)$$

↓

We bosonize

$$[H, \Gamma_{kj}^\dagger] |0\rangle = E_k \Gamma_{kj}^\dagger |0\rangle$$

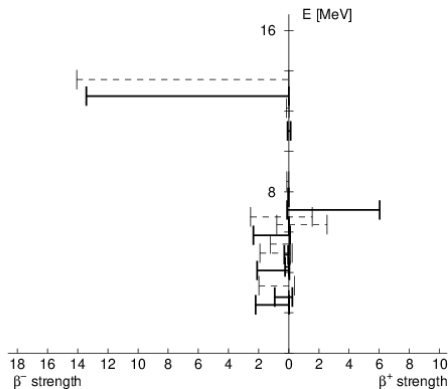
↓

Equations of motion

$$\begin{pmatrix} A(\mathcal{G}_{ph}, \mathcal{G}_{pp}) & B(\mathcal{G}_{ph}, \mathcal{G}_{pp}) \\ -B(\mathcal{G}_{ph}, \mathcal{G}_{pp})^* & -A(\mathcal{G}_{ph}, \mathcal{G}_{pp})^* \end{pmatrix} \begin{pmatrix} X^k \\ Y^k \end{pmatrix} = E_k \begin{pmatrix} X^k \\ Y^k \end{pmatrix}$$

Determination of the Values of the Scaling Parameters

- Value of $g_{ph} \longleftrightarrow$ Energy centroid of the GTGR (Gamow–Teller giant resonance)
- g_{pp} remains a free parameter \longleftrightarrow The “ g_{pp} problem”



Calculated β^- and β^+ strengths for Gamow–Teller transitions from the pnQRPA ground state of ^{66}Zn to the 1^+ pnQRPA states in ^{66}Ga and ^{66}Cu .

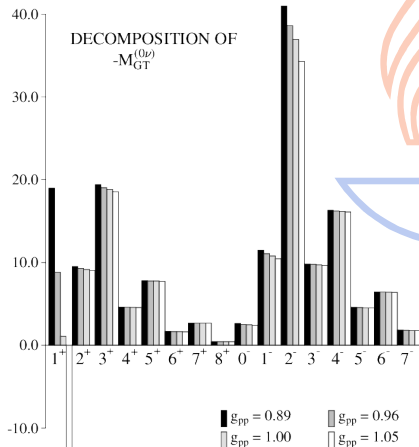
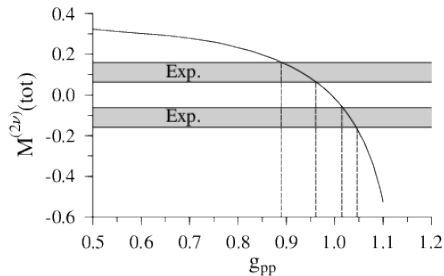
The dashed bars represent a $0f-1p$ calculation and the solid bars a $0f-1p-0g_{9/2}$ calculation. (Jouni Suhonen, *From Nucleons to Nucleus*,

Springer 2007)

Determination of the Value of the g_{pp} Parameter

$$M_{GT}^{(0\nu)} = \sum_{J^\pi} M_{GT}^{(0\nu)}(J^\pi),$$

$$M_{GT}^{(0\nu)}(J^\pi) = \sum_{n\lambda} (0_f^+ \parallel \sum_j [\sigma_j F_\lambda(\mathbf{r}_j)]_J t_j^- \parallel J^\pi_n) \\ \times (J^\pi_n \parallel \sum_j [\sigma_j F_\lambda(\mathbf{r}_j)]_J t_j^- \parallel 0_i^+)$$



Interacting Shell Model (ISM) vs. pnQRPA

ISM

- **Advantage:** All configurations taken into account in a given single-particle space, e.g. the $0f_{5/2}-1p_{3/2}-1p_{1/2}$ space:

$$(\pi 0f_{5/2})^{x_p} (\pi 1p_{3/2})^{y_p} (\pi 1p_{1/2})^{Z_{\text{act}} - x_p - y_p} \\ \times (\nu 0f_{5/2})^{x_n} (\nu 1p_{3/2})^{y_n} (\nu 1p_{1/2})^{N_{\text{act}} - x_n - y_n}$$

- **Disadvantage:** Only small single-particle spaces possible due to computational reasons

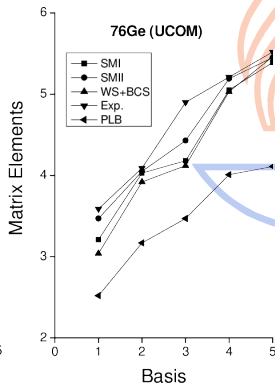
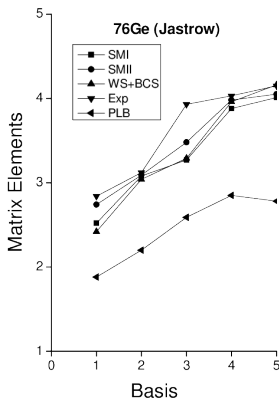
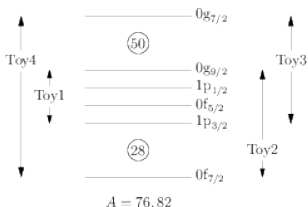
pnQRPA

- **Advantage:** Large single-particle spaces computationally possible
- **Disadvantage:** Contains only a limited class of (quasiparticle) configurations in a given single-particle space, e.g.

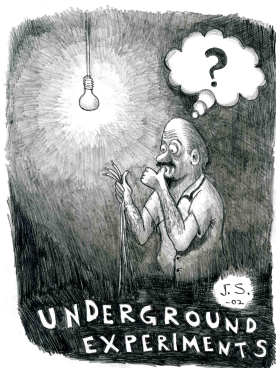
$$A \times 2\text{qp} + B \times 6\text{qp} + C \times 10\text{qp} + \dots ,$$

where A, B, C, \dots are fixed by the form of the (correlated) pnQRPA ground state

Relevance of the Spin-Orbit-Partner Orbitals



About $0\nu\beta\beta$ -decay experiments



UNDERGROUND
LABORATORIES

protect from

COSMIC RAYS

and their secondary
particles

Canfranc (Spain)

Kamioka (Japan)

Boulby (England)

Gran Sasso (Italy)

Pyhäsalmi (Finland)

Baksan (Ukraine)

Modane (France-Italy)

Sudbury (Canada)

Experimental status (outdated)

Table: Presently running experiments with results.

Nucleus	Half-life (yr)	Experiment	$\langle m_\nu \rangle$ (eV)
^{76}Ge	$> 2.1 \cdot 10^{25}$	GERDA	$< 0.21 - 0.38$
^{82}Se	$> 3.6 \cdot 10^{23}$	NEMO-3	$< 0.89 - 1.61$
^{100}Mo	$> 1.1 \cdot 10^{24}$	NEMO-3	$< 0.29 - 0.93$
^{116}Cd	$> 1.7 \cdot 10^{23}$	SOLOTVINO	$< 1.17 - 2.76$
^{130}Te	$> 2.8 \cdot 10^{24}$	CUORICINO	$< 0.28 - 0.59$
^{136}Xe	$> 4.5 \cdot 10^{23}$	DAMA	$< 0.84 - 2.67$

Table: Prospects for the running and planned experiments.

Nucleus	Experiment	Mass (kg)	Sensitivity half-life (yr)	Sensitivity $\langle m_\nu \rangle$ (meV)	Status
^{76}Ge	MAJORANA I	30 – 60	$(1 - 2) \cdot 10^{26}$	70 – 300	In progress
	MAJORANA II	1000	$6 \cdot 10^{27}$	10 – 40	R&D
^{76}Ge	GERDA I	40	$2 \cdot 10^{26}$	70 – 300	In progress
	GERDA II	1000	$6 \cdot 10^{27}$	10 – 40	R&D
^{82}Se	SuperNEMO	100 – 200	$(1 - 2) \cdot 10^{26}$	40 – 110 R&D	
^{130}Te	CUORE	200	$2.1 \cdot 10^{26}$	35 – 90	In progress
^{136}Xe	EXO I	200	$6.4 \cdot 10^{25}$	70 – 220	Started in 2011
	EXO II	1000	$8 \cdot 10^{26}$	20 – 65	R&D
^{136}Xe	KamLAND-Zen I	400	$4.5 \cdot 10^{26}$	30 – 95	Started in 2011
	KamLAND-Zen II	1000	$\approx 10^{27}$	20 – 65	R&D
^{150}Nd	SNO+ I	56	$4.5 \cdot 10^{24}$	130 – 300	In progress
	SNO+ II	500	$3 \cdot 10^{25}$	55 – 120	R&D

Personal reminiscences



Inauguration Day of NEMO3, 12 July 2002



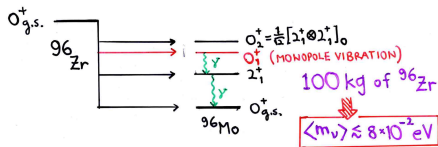
The ZORRO Experiment

ZORRO

Zirconium-ORiented
Rare-events
Observatory



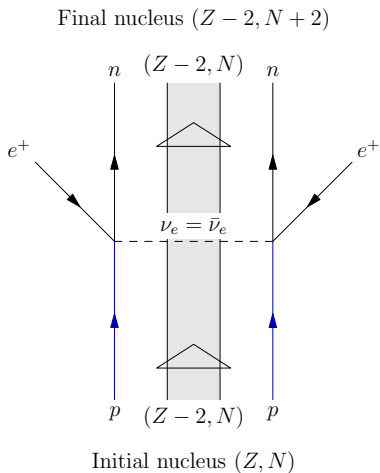
Needs 100 kg of ^{96}Zr , this is a
PROBLEM



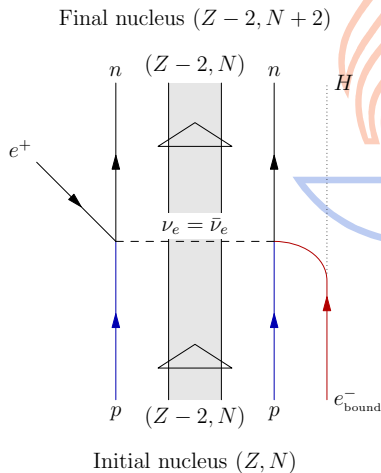
Double beta decays on the positron-emitting/electron-capture side

Double positron/EC decays (2ν decays have two ν_e in final state)

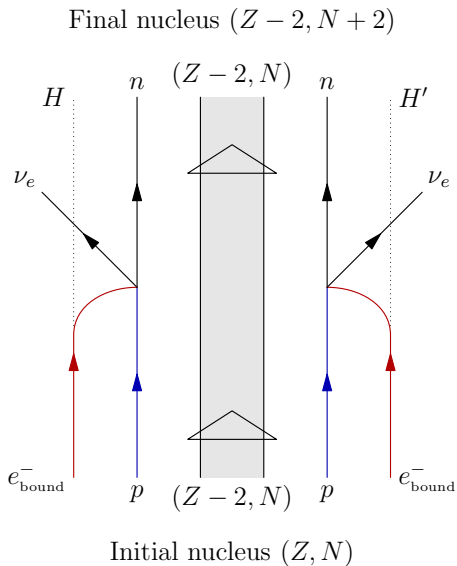
$0\nu\beta^+\beta^+$ Decay



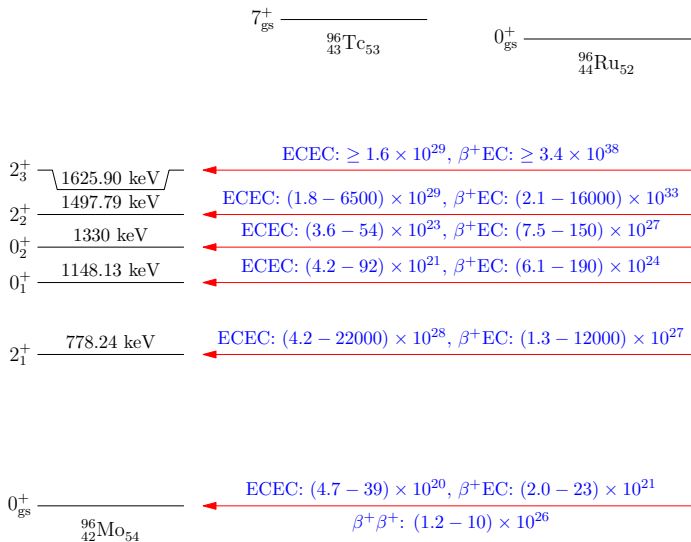
$0\nu\beta^+\text{EC}$ Decay



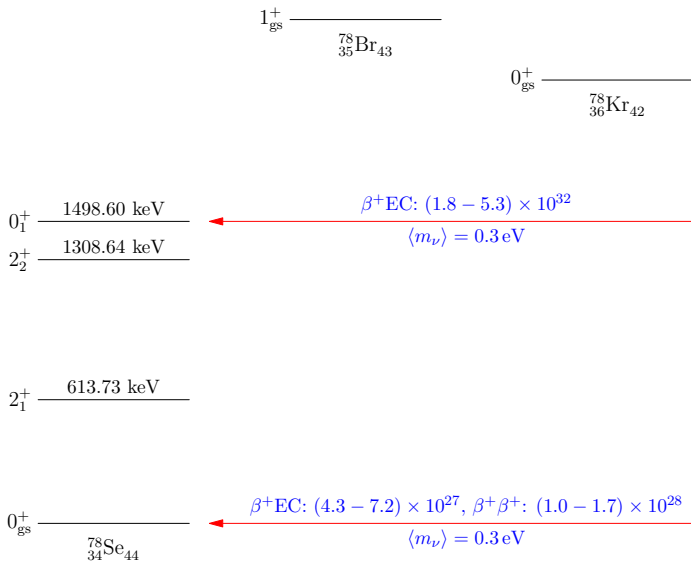
Two-neutrino double electron capture



Example: Various $2\nu 2\beta$ decay modes of ^{96}Ru (calculated by using pnQRPA)

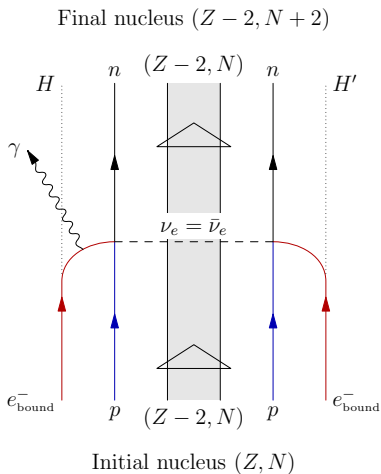


Example: Various $0\nu 2\beta$ decay modes of ^{78}Kr (calculated by using pnQRPA)

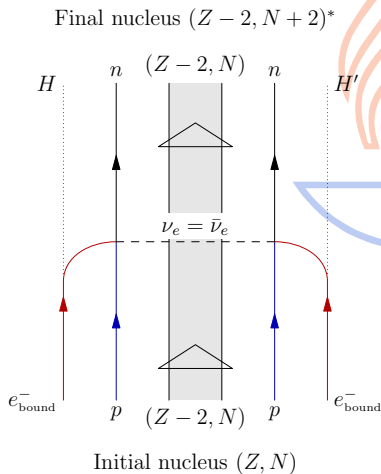


Neutrinoless double electron capture

Radiative $0\nu\text{ECEC}$



Resonant $0\nu\text{ECEC}$



Rate of resonant 0ν ECEC Decay

$$\frac{\ln 2}{T_{1/2}} = g^{\text{ECEC}} [M^{\text{ECEC}}]^2 \frac{\langle m_\nu \rangle^2 \Gamma}{(Q - E)^2 + \Gamma^2/4}, \quad Q - E = \text{degeneracy parameter}$$

- Atomic factor

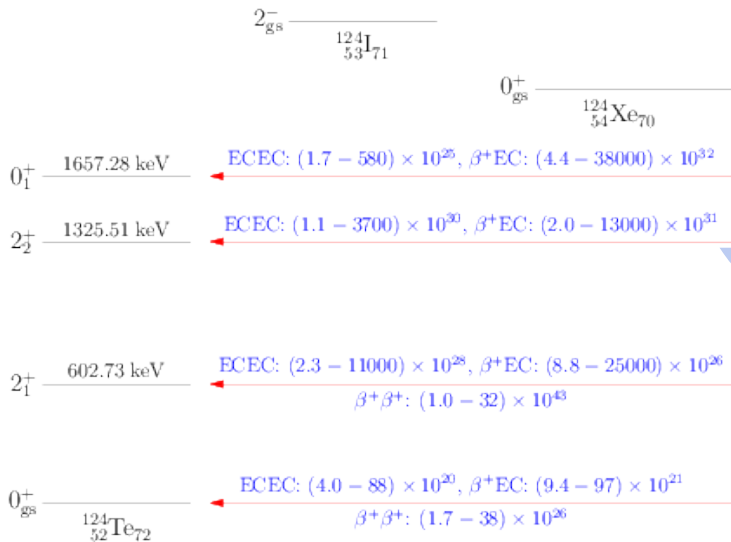
$$g^{\text{ECEC}}(0^+) = \left(\frac{G_F \cos \theta_C}{\sqrt{2}} \right)^4 \frac{(g_A)^4}{4\pi^2} m_e^6 \mathcal{N}_{0,-1}^2,$$

where $\mathcal{N}_{0,-1}$ is the normalization of the relativistic K-shell ($1s_{1/2}$) Dirac wave function for a uniformly charged spherical nucleus

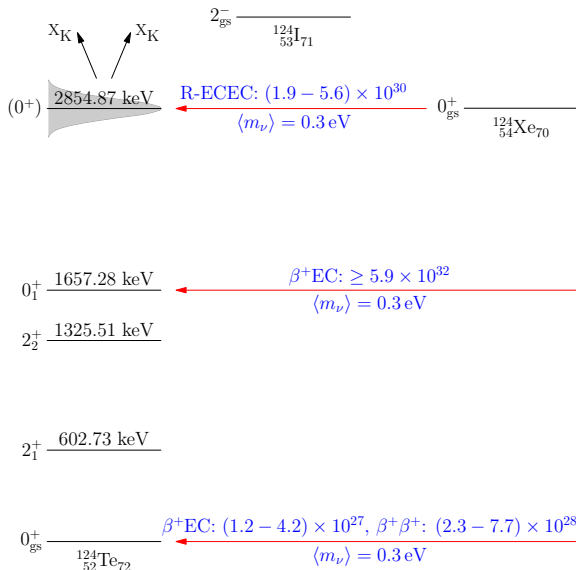
- $Q = M(Z, A) - M(Z - 2, A) =$ difference between the initial and final atomic masses
- $E = E^* + E_H + E_{H'} + E_{HH'} =$ nuclear excitation energy + electron binding
- $\Gamma = \Gamma^* + \Gamma_H + \Gamma_{H'} =$ nuclear and atomic radiative widths
- NUCLEAR MATRIX ELEMENT: $M^{\text{ECEC}} = \frac{1}{R_A} M^{(0\nu)'}$, $R_A = 1.2A^{1/3}$ fm

Enhancement factors of 10^6 possible (J. Bernabeu, A. De Rujula, and C. Jarlskog, Nucl. Phys. B 223 (1983) 15 ; Z. Sujkowski and S. Wycech, Phys. Rev. C 70 (2004) 052501(R))

Example: Various $2\nu 2\beta$ decay modes of ^{124}Xe (calculated by using pnQRPA)



Example: Various $0\nu 2\beta$ decay modes of ^{124}Xe (calculated by using pnQRPA)



Concise List of Other Cases ($\langle m_\nu \rangle = 0.3 \text{ eV}$) Part I

Transition	J_f^π	$Q - E$ [keV]	At. orb.	$T_{1/2}$ [yr]	Ref.
$^{74}\text{Se} \rightarrow ^{74}\text{Ge}$	2^+	2.23	L_2L_3	$(0.2 - 100) \times 10^{44}$	[1]
$^{96}\text{Ru} \rightarrow ^{96}\text{Mo}$	2^+	8.92(13)	L_1L_3		[2]
	$0^+?$	-3.90(13)	L_1L_1	$(4.9 - 22) \times 10^{32}$	[3], Q [2]
$^{102}\text{Pd} \rightarrow ^{102}\text{Ru}$	2^+	75.26(36)	KL_3		[4]
$^{106}\text{Cd} \rightarrow ^{106}\text{Pd}$	$0^+?$	8.39	KK	$(2.3 - 6.3) \times 10^{31}$	[5], Q [4]
	$(2, 3)^-?$	-0.33(41)	KL_3		[4]
$^{112}\text{Sn} \rightarrow ^{112}\text{Cd}$	0^+	-4.5	KK	$> 6.6 \times 10^{30}$	[6]
$^{124}\text{Xe} \rightarrow ^{124}\text{Te}$	$0^+?$	1.86(15)	KK	$(1.9 - 5.6) \times 10^{30}$	[7], Q [8]
$^{130}\text{Ba} \rightarrow ^{130}\text{Xe}$	$0^+?$	10.18(30)	KK		[8]
$^{136}\text{Ce} \rightarrow ^{136}\text{Ba}$	0^+	-11.67	KK	$(3.3 - 26) \times 10^{33}$	[9]

[1] V. Kolhinen *et al.*, PLB 684 (2010) 17 (JYFLTRAP, JYFL) ; [2] S. Eliseev *et al.*, PRC 83 (2011) 038501 (SHIPTRAP, GSI) ; [3] J. Suhonen, PRC 86 (2012) 024301 ; [4] M. Goncharov *et al.*, PRC 84 (2011) 028501 (SHIPTRAP, GSI) ; [5] J. Suhonen, PLB 701 (2011) 490 ; [6] S. Rahaman *et al.*, PRL 103 (2009) 042501 (JYFLTRAP, JYFL) ; [7] J. Suhonen, JPG 40 (2013) 075102 ; [8] D. A. Nesterenko *et al.*, PRC 86 (2012) 044313 (SHIPTRAP, GSI) ; [9] V. Kolhinen *et al.*, PLB 697 (2011) 116 (JYFLTRAP, JYFL)

Concise List of Other Cases ($\langle m_\nu \rangle = 0.3 \text{ eV}$) Part II

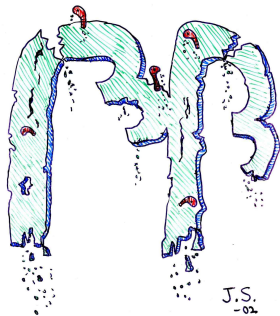
Transition	J_f^π	$Q - E$ [keV]	At. orb.	$T_{1/2}$ [yr]	Ref.
$^{144}\text{Sm} \rightarrow ^{144}\text{Nd}$	2^+	171.89(87)	KL ₃		[1]
$^{152}\text{Gd} \rightarrow ^{152}\text{Sm}$	0_{gs}^+	0.91(18)	KL ₁	$(1.1 - 1.7) \times 10^{28}$	[2] and [3]
$^{156}\text{Dy} \rightarrow ^{156}\text{Gd}$	1^-	0.75(10)	KL ₁		[4]
	0^+	0.54(24)	L ₁ L ₁		[4]
	2^+	0.04(10)	M ₁ N ₃		[4]
	2^+	2.69(30) keV	KL ₃		[5]
$^{162}\text{Er} \rightarrow ^{162}\text{Dy}$	2^+	2.69(30) keV	KL ₃		[5]
$^{164}\text{Er} \rightarrow ^{164}\text{Dy}$	0_{gs}^+	6.81(13)	L ₁ L ₁	$(3.6 - 5.8) \times 10^{32}$	[3] and [6]
$^{168}\text{Yb} \rightarrow ^{168}\text{Er}$	(2^-)	1.52(25) keV	M ₁ M ₃		[5]
$^{180}\text{W} \rightarrow ^{180}\text{Hf}$	0_{gs}^+	11.24(27)	KK	$(4.4 - 11) \times 10^{30}$	[3] and [7]

[1] M. Goncharov *et al.*, PRC 84 (2011) 028501 (SHIPTRAP, GSI) ; [2] S. Eliseev *et al.*, PRL 106 (2011) 052504 (SHIPTRAP, GSI) ; [3] T.R. Rodríguez *et al.*, PRC 85 (2012) 044310 ; [4] S. Eliseev *et al.*, PRC 84 (2011) 012501(R) (SHIPTRAP, GSI) ; [5] S. Eliseev *et al.*, PRC 83 (2011) 038501 (SHIPTRAP, GSI) ; [6] S. Eliseev *et al.*, PRL 107 (2011) 052501 (SHIPTRAP, GSI) ; [7] A. Droese *et al.*, NPA 775 (2012) 1 (SHIPTRAP, GSI)

See the recent review: K. Blaum, S. Eliseev, F. A. Danevich, V. I. Tretyak, S. Kovalenko, M. I. Krivoruchenko, Yu. N. Novikov and J. S., Rev. Mod. Phys. 92 (2020) 045007.

The grand problem of g_A^{eff}

Motivation for the studies of g_A^{eff}



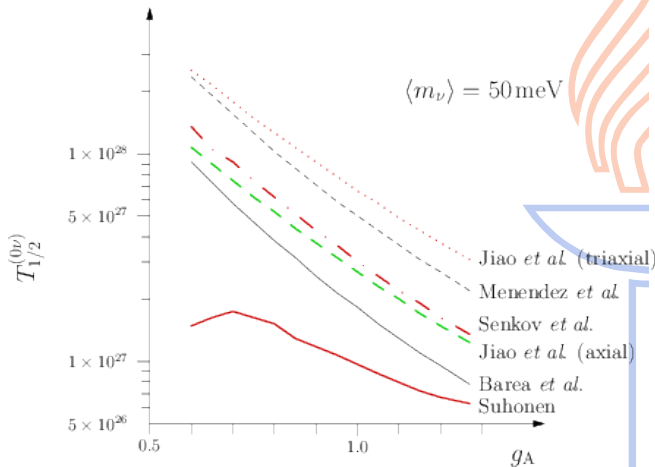
- DECAY:

$$2\nu\beta\beta - \text{rate} \sim \left| M_{\text{GTGT}}^{(2\nu)} \right|^2 = (g_A)^4 \left| \sum_{m,n} \frac{M_L(1_m^+) M_R(1_n^+)}{D_m} \right|^2$$

$$0\nu\beta\beta - \text{rate} \sim \left| M_{\text{GTGT}}^{(0\nu)} \right|^2 = (g_{A,0\nu})^4 \left| \sum_{J^\pi} (0_f^+ \| \mathcal{O}_{\text{GTGT}}^{(0\nu)}(J^\pi) \| 0_i^+) \right|^2$$

Example: $0\nu\beta\beta$ NMEs of ^{76}Ge , effect on the half-life

- **Jiao *et al.*:** Phys. Rev. C 96 (2017) 054310 (GCM+ISM)
- **Menendez *et al.*:** Nucl. Phys. A 818 (2009) 139 (ISM)
- **Senkov *et al.*:** Phys. Rev. C 93 (2016) 044334 (ISM)
- **Barea *et al.*:** Phys. Rev. C 91 (2015) 034304 (IBM-2)
- **Suhonen:** Phys. Rev. C 96 (2017) 055501 (pnQRPA + g_{pp} + isospin restoration + **data on $2\nu\beta\beta$**)



Let us open with the easiest part: Gamow-Teller β decays

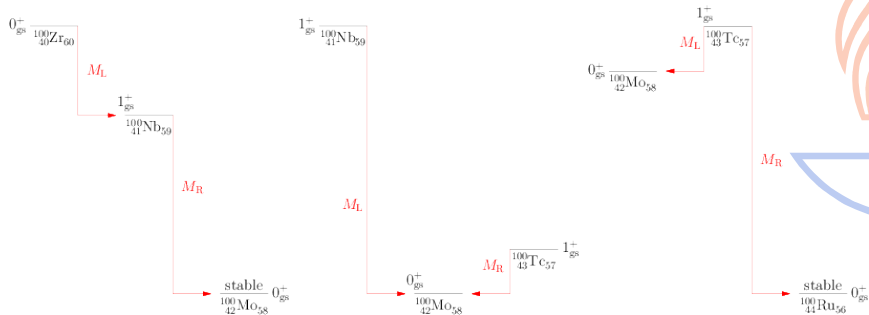
There are data on:

Gamow-Teller β TRANSITIONS

Theoretical approaches:

ISM (Interacting Shell Model)
pnQRPA (proton-neutron QRPA)

Typical Gamow-Teller β transitions



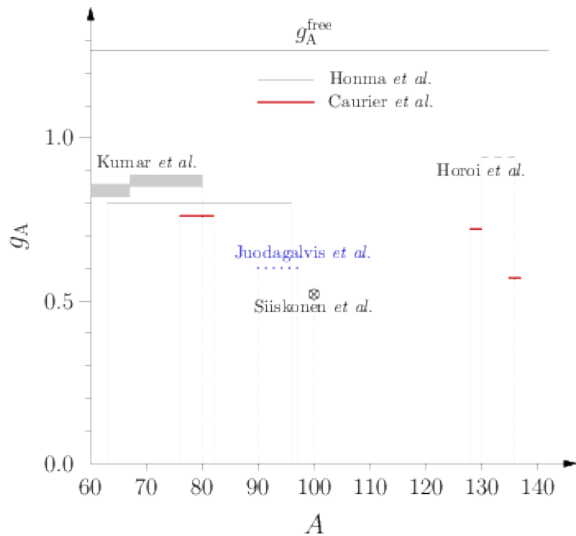
Results from:

Quenching of g_A in the ISM calculations

Results from the ISM

Mass range	g_A^{eff}	Reference
Full $0p$ shell	$1.03^{+0.03}_{-0.02}$	W. T. Chou <i>et al.</i> 1993
$0p - \text{low}1s0d$ shell	$1.12^{+0.05}_{-0.04}$	D. H. Wilkinson <i>et al.</i> 1974
Full $1s0d$ shell	$0.96^{+0.03}_{-0.02}$	B. H. Wildenthal <i>et al.</i> 1983
	1.0	T. Siiskonen <i>et al.</i> 2001
$A = 41 - 50$ ($1p0f$ shell)	$0.937^{+0.019}_{-0.018}$	G. Martínez-Pinedo <i>et al.</i> 1996
$1p0f$ shell	0.98	T. Siiskonen <i>et al.</i> 2001
^{56}Ni	0.71	T. Siiskonen <i>et al.</i> 2001
$A = 52 - 67$ ($1p0f$ shell)	$0.838^{+0.021}_{-0.020}$	V. Kumar <i>et al.</i> 2016
$A = 67 - 80$ ($0f_{5/2}1p0g_{9/2}$ shell)	0.869 ± 0.019	V. Kumar <i>et al.</i> 2016
$A = 63 - 96$ ($1p0f0g1d2s$ shell)	0.8	M. Honma <i>et al.</i> 2006
$A = 76 - 82$ ($1p0f0g_{9/2}$ shell)	0.76	E. Caurier <i>et al.</i> 2012
$A = 90 - 97$ ($1p0f0g1d2s$ shell)	0.60	A. Juodagalvis <i>et al.</i> 2005
^{100}Sn	0.52	T. Siiskonen <i>et al.</i> 2001
$A = 128 - 130$ ($0g_{7/2}1d2s0h_{11/2}$ shell)	0.72	E. Caurier <i>et al.</i> 2012
$A = 130 - 136$ ($0g_{7/2}1d2s0h_{11/2}$ shell)	0.94	M. Horoi <i>et al.</i> 2016
$A = 136$ ($0g_{7/2}1d2s0h_{11/2}$ shell)	0.57	E. Caurier <i>et al.</i> 2012

Results from the ISM (illustration)



- **Kumar et al.:** J. Phys. G 43 (2016) 105104
- **Honma et al.:** J. Phys. Conf. Ser. 49 (2006) 45
- **Caurier et al.:** Phys. Lett. B 711 (2012) 62
- **Horoi et al.:** Phys. Rev. C 93 (2016) 024308
- **Juodagalvis et al.:** Phys. Rev. C 72 (2005) 024306
- **Siiskonen et al.:** Phys. Rev. C 63 (2001) 055501

Proton-neutron Quasiparticle Random-Phase Approximation (pnQRPA)

Results from:

Quenching of g_A in the pnQRPA calculations

Results from the pnQRPA analyses

A	pn Conf.	\bar{g}_A^{eff} [1]
62 – 70	$1p_{3/2} - 1p_{1/2}$	0.81 ± 0.20
78 – 82	$0g_{9/2} - 0g_{9/2}$	0.88 ± 0.12
98 – 116	$0g_{9/2} - 0g_{7/2}$	0.53 ± 0.13
118 – 136	$1d_{5/2} - 1d_{5/2}$	0.65 ± 0.17
138 – 142	$1d_{5/2} - 1d_{3/2}$	1.14 ± 0.10

[1] H. Ejiri, J. S., J. Phys. G 42 (2015)

055201

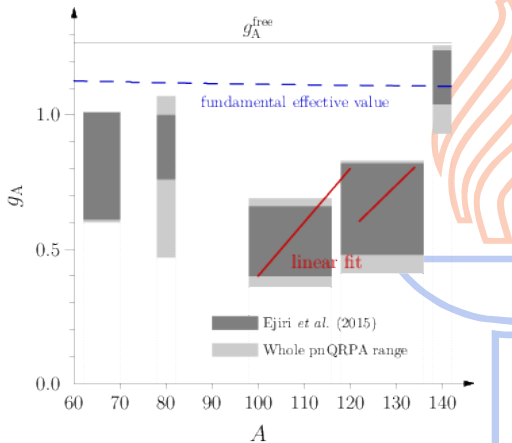
Other analyses in the whole range:

[2] P. Pirinen, J. S., Phys. Rev. C 91

(2015) 054309

[3] F. Deppisch, J. S., Phys. Rev. C 94

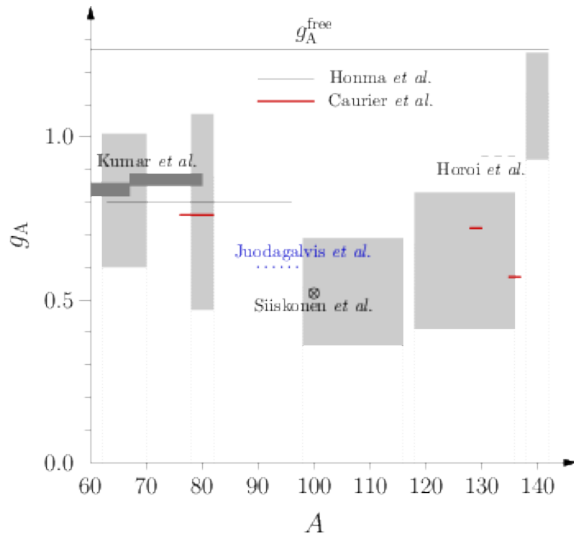
(2016) 055501



Fundamental quenching: M. Ericson (1971); M. Ericson *et al.* (1973); M. Rho (1974); D. H. Wilkinson (1974)

(Meson-exchange currents \rightarrow effective two-body operators)

Results from the ISM on top of the pnQRPA ranges



- Kumar *et al.*: J. Phys. G 43 (2016) 105104
- Honma *et al.*: J. Phys. Conf. Ser. 49 (2006) 45
- Caurier *et al.*: Phys. Lett. B 711 (2012) 62
- Horoi *et al.*: Phys. Rev. C 93 (2016) 024308
- Juodagalvis *et al.*: Phys. Rev. C 72 (2005) 024306
- Siiskonen *et al.*: Phys. Rev. C 63 (2001) 055501

Results from:

Quenching of g_A
in the pnQRPA-based,
ISM-based and
IBM-based calculations
of β decays and $\beta\beta$ decays

Interacting Boson Model (IBM) and its extensions

Collective fermion space

S ($J = 0$) and D ($J = 2$) collective fermion pairs (sub-space of the full ISM space, Pauli principle rules!)

⇓ OAI mapping

IBM

s ($J = 0$) and d ($J = 2$) bosons (no Pauli principle)

$H_F \longrightarrow H_B(s^\dagger, s, d^\dagger, d)$ (Otsuka-Arima-Iachello mapping)

proton and neutron ⇓ degrees of freedom

IBM-2

Microscopic IBM: Proton and neutron s and d bosons: $H_F \longrightarrow H_{IBM-2}$

Add one proton ⇓ or neutron fermion

IBFM-2

microscopic Interacting Boson-Fermion Model for odd-mass nuclei: $H_F \longrightarrow H_{IBFM-2}$

Add one proton⇓ and neutron fermion

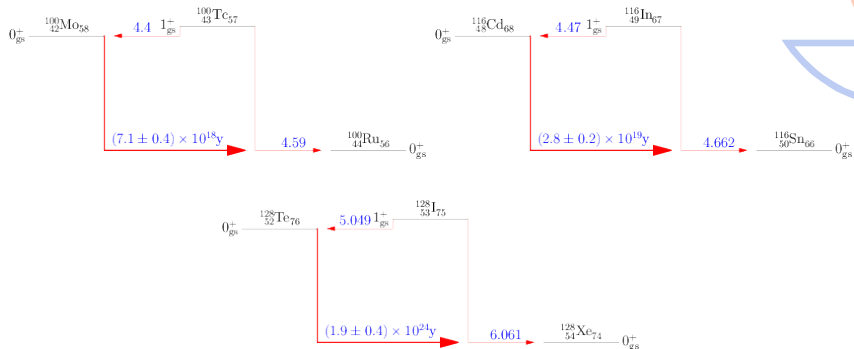
IBFFM-2

microscopic Interacting Boson-Fermion-Fermion Model for odd-odd mass nuclei: $H_F \longrightarrow H_{IBFFM-2}$

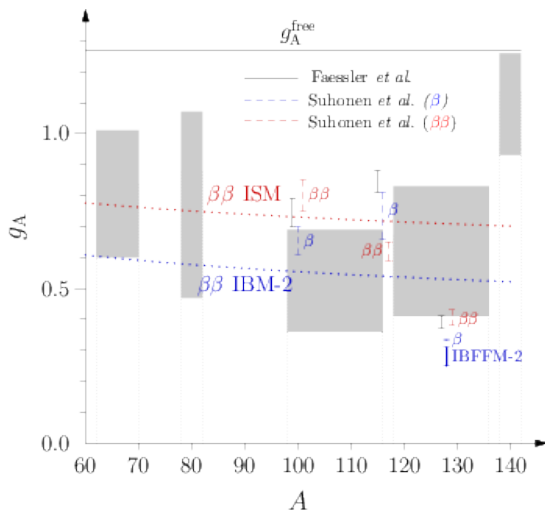
Results from the pnQRPA, IBM-2, and IBFFM-2

A	pnQRPA			IBFFM-2 [1]		IBM-2 [2]
	$g_A(\beta + \beta\beta)$ [3]	$g_A(\beta)$ [4]	$g_A(\beta\beta)$ [4]	$g_A(\beta)$	$g_A(\beta\beta)$	$g_A(\beta\beta)$
100	0.70 – 0.79	0.61 – 0.70	0.75 – 0.85	-	-	0.46(1) [SSD]
116	0.81 – 0.88	0.66 – 0.81	0.59 – 0.65	-	-	0.41(1) [SSD]
128	0.37 – 0.41	0.330 – 0.335	0.38 – 0.43	0.25 – 0.31	0.293	0.55(3) [CA]

[1] N. Yoshida, F. Iachello, Prog. Theor. Exp. Phys. 2013 (2013) 043D01 ; [2] J. Barea, J. Kotila, F. Iachello, Phys. Rev. C 87 (2013) 014315 ; [3] A. Faessler *et al.*, arXiv 0711.3996v1 [Nucl-th] ; [4] J. Suhonen, O. Civitarese, Nucl. Phys. A 924 (2014) 1

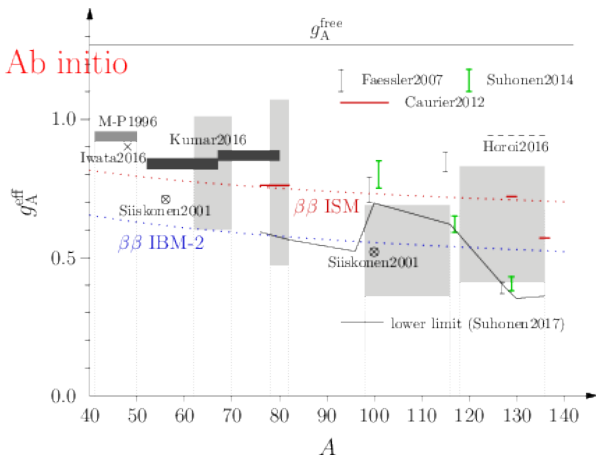


Results from the $\beta+\beta\beta$ calculations against the pnQRPA ranges from Gamow-Teller β decays



- **Faessler *et al.***: A. Faessler, G. L. Fogli, E. Lisi, V. Rodin, A. M. Rotunno, F. Šimkovic, arXiv 0711.3996v1 [Nucl-th]
- **Suhonen *et al.***: J. Suhonen, O. Civitarese, Nucl. Phys. A 924 (2014) 1
- **$\beta\beta$ ISM and IBM-2**: J. Barea, J. Kotila, F. Iachello, Phys. Rev. C 87 (2013) 014315

Collection of results extracted from the GT β^\pm /EC and $2\nu\beta\beta$ calculations



Ab initio: P. Gysbers *et al.*, Nature Physics 15 (2019) 428

- Faessler2007: **pnQRPA** A. Faessler *et al.*, arXiv 0711.3996v1 [Nucl-th]
- Suhonen2014: **pnQRPA** J. Suhonen *et al.*, Nucl. Phys. A 924 (2014) 1
- Suhonen2017: **pnQRPA** J. Suhonen, Phys. Rev. C 96 (2017) 055501
- Caurier2012: **ISM** E. Caurier *et al.*, Phys. Lett. B 711 (2012) 62
- Horoi2016: **ISM** M. Horoi *et al.*, Phys. Rev. C 93 (2016) 024308
- M-P1996: **ISM** G. Martínez-Pinedo *et al.*, Phys. Rev. C 53 (1996) R2602
- Iwata2016: **ISM** Y. Iwata *et al.*, Phys. Rev. Lett. 116 (2016) 112502
- Kumar2016: **ISM** V. Kumar *et al.*, J. Phys. G 43 (2016) 105104 Phys. Lett. B 711 (2012) 62
- Siiskonen2001: **ISM** T. Siiskonen *et al.*, Phys. Rev. C 63 (2001) 055501
- $\beta\beta$ ISM and IBM-2: J. Barea *et al.*, Phys. Rev. C 87 (2013) 014315
- Light hatched regions: **pnQRPA** H. Ejiri *et al.*, J. Phys. G 42 (2015) 055201 ; P. Pirinen *et al.*, Phys. Rev. C 91 (2015) 054309 ; F. Deppisch *et al.*, Phys. Rev. C 94 (2016) 055501

Results from:

Quenching of g_A
as derived from
spin-multipole NMEs
of forbidden unique β decays

Spin-multipole (SM) nuclear matrix elements

General half-life formula for the **allowed** and **unique-forbidden** beta decays

$$t_{1/2}^K(0_{gs}^+ \leftrightarrow J^\pi) = \frac{\text{Constant}}{\frac{g_A^2}{2J_i+1} (M^K(\text{SM}J^\pi))^2 f_K},$$

where

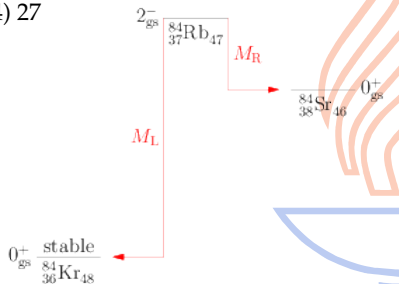
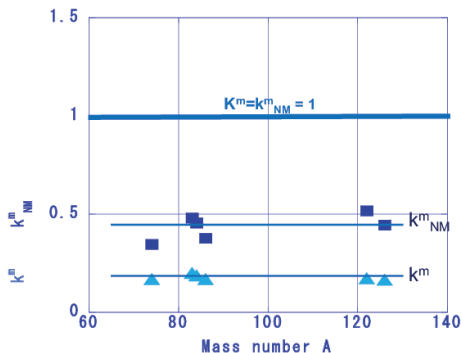
- f_K is the phase-space factor for the K^{th} **forbidden** (allowed $\equiv 0^{\text{th}}$ forbidden) **unique** β -decay transition,
- g_A is the axial-vector coupling constant,
- $J_i = J$ or $J_i = 0$ ($J = K + 1$) is the angular momentum of the decaying state, and
- $M^K(\text{SM}J^\pi)$ is the spin-multipole NME for the K^{th} **forbidden unique** transition.

The unique decays are classified as:

K	0 (allowed)	1	2	3	4	5	6	7
J^π	1^+	2^-	3^+	4^-	5^+	6^-	7^+	8^-

Global study for the first-forbidden ($K = 1$) spin-dipole $2_{gs}^- \rightarrow 0_{gs}^+$ decays

H. Ejiri, N. Soukouti and J. S., Spin-dipole nuclear matrix elements for double beta decays and astro-neutrinos, Phys. Lett. B 729 (2014) 27



$$\bar{M}(\text{SD}2^-) = \sqrt{M_L M_R}$$

$$\langle k \rangle = \left\langle \frac{\bar{M}_{\text{exp}}(\text{SD}2^-)}{M_{\text{qp}}(\text{SD}2^-)} \right\rangle \approx 0.18$$

$$\langle k_{\text{NM}} \rangle = \left\langle \frac{\bar{M}_{\text{exp}}(\text{SD}2^-)}{M_{\text{pnQRPA}}(\text{SD}2^-)} \right\rangle \approx 0.45$$

$$\Rightarrow \bar{g}_A^{\text{eff}} \approx 0.57$$

Decays through higher spin-multipole ($K \geq 2$) operators

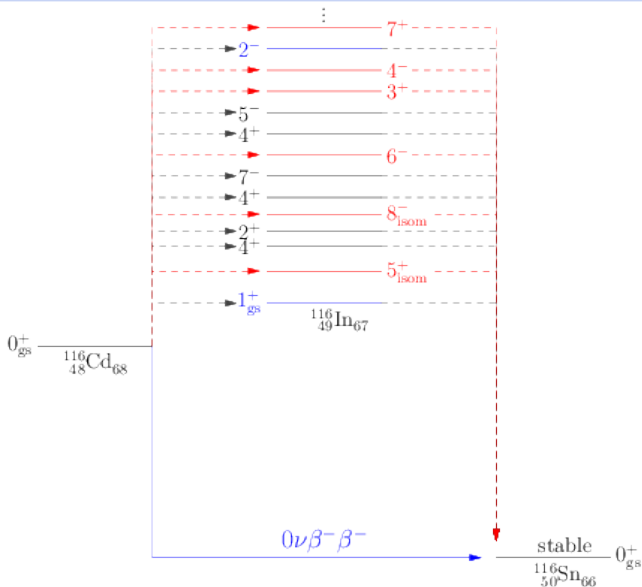
Question:

WHAT CAN WE LEARN
FROM THE UNIQUE HIGHER-FORBIDDEN
 β DECAYS?

Answer:

A LOT!

INCENTIVE: $0\nu\beta\beta$ decay through the higher spin-multipole states



Decays through higher spin-multipole ($K \geq 2$) operators

Task:

STUDY 148 UNIQUE HIGHER-FORBIDDEN

β DECAYS IN ISOTOPIC CHAINS

Problem:

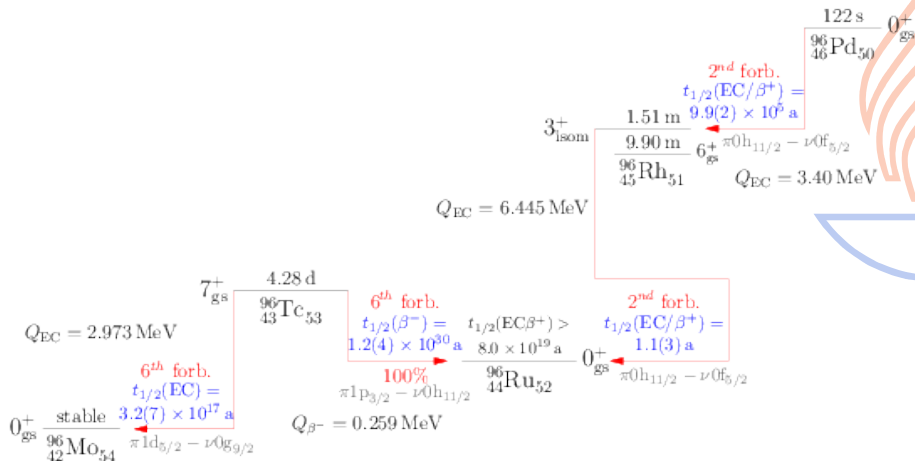
NO EXP. DATA AVAILABLE

Study:

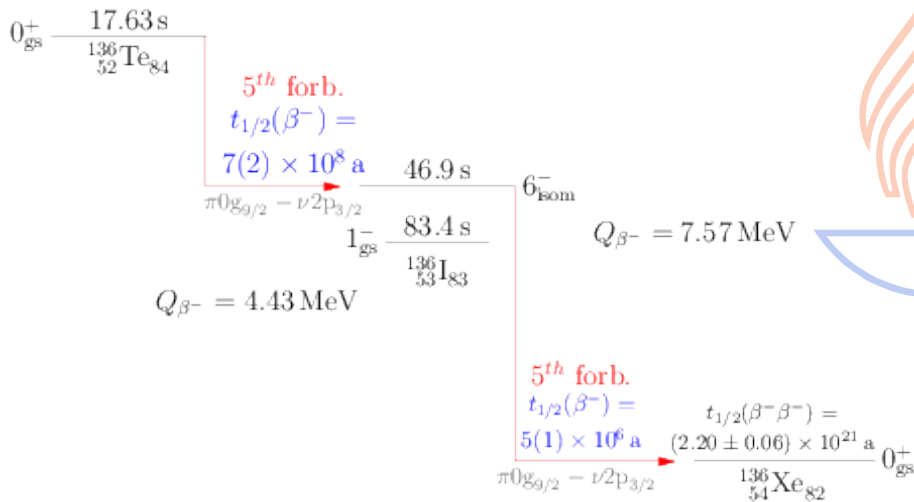
$$k = \frac{M_{\text{pnQRPA}}^K(\text{SMJ}^\pi)}{M_{\text{qp}}^K(\text{SMJ}^\pi)} = ?$$

Dependence on K and mass number A ?

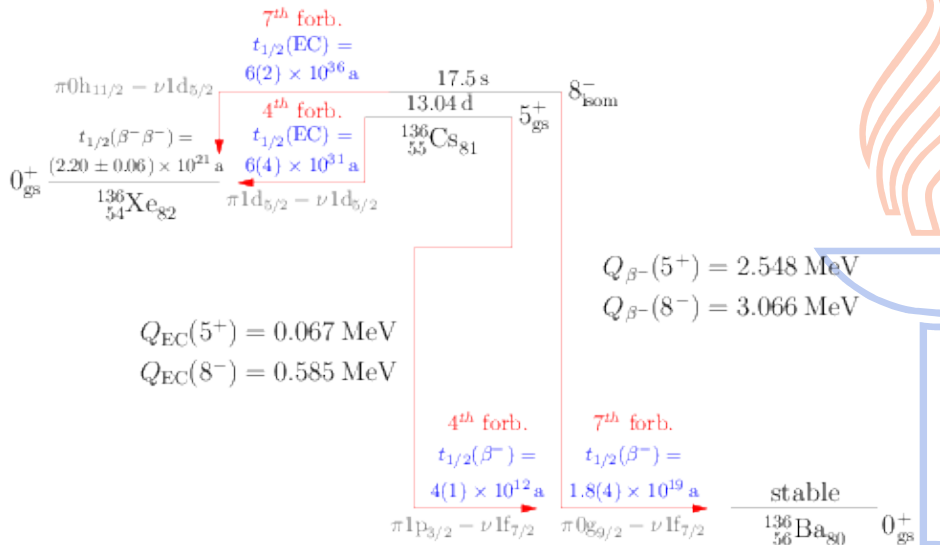
Example: Decays in the $A = 96$ chain



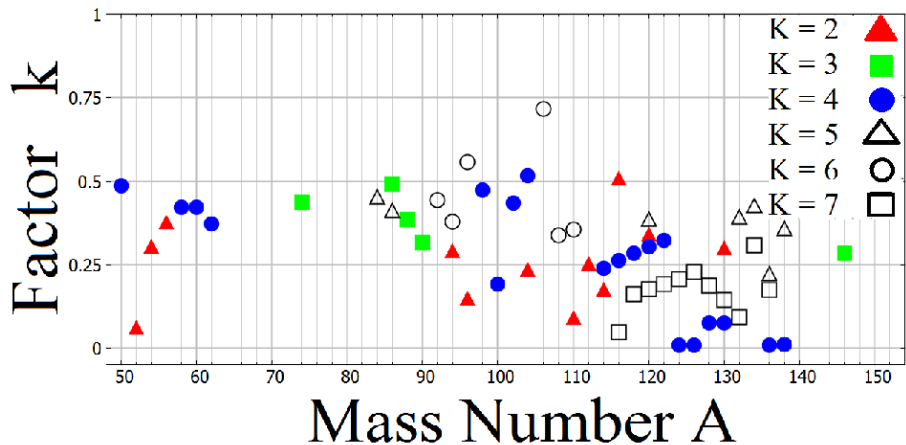
Example: Decays in the $A = 136$ chain



Example: Continuation of the $A = 136$ chain



Ratio k for 74 β decays involving non-magic nuclei



k extracted using the **geometric mean** of the full set of K^{th} ($K = 2 - 7$) forbidden β -decay transitions in an isobaric chain (J. Kostensalo, J. Suhonen, Phys. Rev. C 95 (2017) 014322)

Results for the Ratio $k = M_{\text{pnQRPA}}^K(\text{SMJ}^\pi) / M_{\text{qp}}^K(\text{SMJ}^\pi)$

A	GT [1]	K = 1 [2]	K = 2	K = 3	K = 4	K = 5	K = 6	K = 7	Avg.
50 – 88	0.35	0.40	0.25	0.46	0.43	0.43	-	-	0.39
90 – 122	0.52	0.40	0.25	0.35	0.34	0.38	0.41	0.13	0.31
122 – 146	0.40	0.40	0.30	0.28	0.07	0.35	-	0.19	0.24
Average	0.42	0.40	0.27	0.36	0.28	0.39	0.41	0.16	0.31

[1] H. Ejiri, J. S., J. Phys. G: Nucl. Part. Phys. 42 (2015) 055201

[2] H. Ejiri, N. Soukouti, J. S., Phys. Lett. B 729 (2014) 27

Conclusion: k is roughly independent of $K \Rightarrow$ Low-energy quenching of g_A derivable from the hatched regions of the Gamow-Teller studies in the pnQRPA framework:

Mass range	A = 76 – 82	A = 100 – 116	A = 122 – 136
$g_{A,0\nu}^{\text{eff}}$	0.7 – 0.9	0.5	0.5 – 0.7

Assumption: Also the forbidden non-unique virtual transitions behave like the forbidden unique virtual transitions.

Results from:

Effective value of g_A

as derived from

half-lives of

first-forbidden non-unique β decays

First-forbidden non-unique $J^+ \leftrightarrow J^- \beta$ decays

Enhancement of the time component of the axial current:

Nuclear matrix elements

$$g_A \mathcal{M}_{K+1,K,1} \text{ (unique transitions)} ; g_A \mathcal{M}_{K,K,1} ; g_V \mathcal{M}_{K,K,0} ; g_V \mathcal{M}_{K,K-1,1}$$

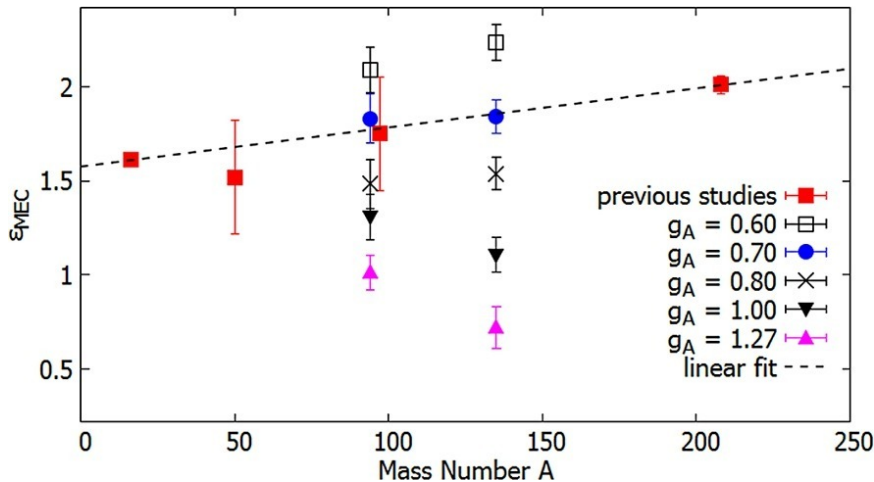
for K -fold forbidden β transitions emerge from the nucleonic current $j_N^\mu = g_V \gamma^\mu - g_A \gamma^\mu \gamma^5$.
Two additional contributions ($g_A \mathcal{M}_{0,1,1} ; g_A \mathcal{M}_{0,0,0}$) for $J^+ \leftrightarrow J^- \beta$ decays:

$$\begin{array}{ll} \text{space components} & g_A \gamma^k \gamma^5 \longrightarrow g_A \mathbf{r} \cdot \boldsymbol{\sigma} \\ \text{time component} & g_A \gamma^0 \gamma^5 \longrightarrow g_A (\gamma^5) \frac{\boldsymbol{\sigma} \cdot \mathbf{p}_e}{M_N c^2} \quad (\text{axial charge}) \end{array}$$

Axial-charge NME $g_A (\gamma^5) \mathcal{M}_{0,0,0}$

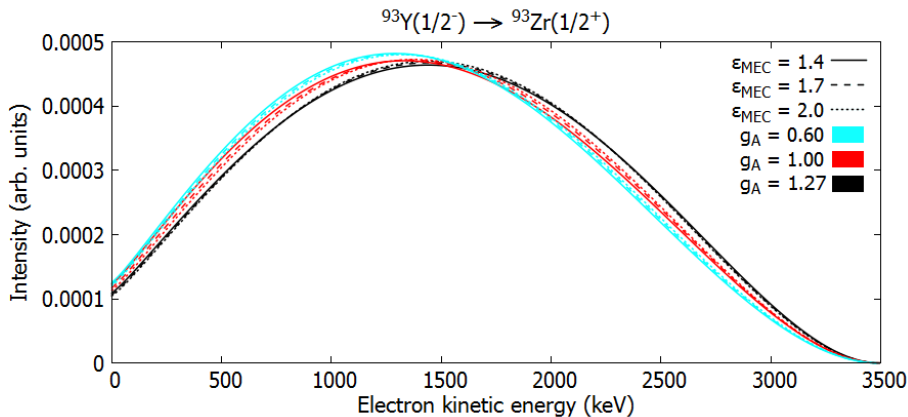
ENHANCED through $g_A (\gamma^5) = (1 + \varepsilon_{\text{MEC}}) g_A$: Predicted 40 years ago by arguments based on soft-pion theorems and chiral symmetry. In the 90's studied from the perspective of exchange of heavy mesons.

Axial-charge strength as function of the mass number



Previous studies: E. K. Warburton, I. S. Towner and B. A. Brown, Phys. Rev. C 49 (1994) 824 ; E. K. Warburton, J. A. Becker, B. A. Brown and D. J. Millener, Annals of Physics 187 (1988) 471 ; E. K. Warburton, Phys. Rev. C 44 (1991) 233.

Effect of axial-charge strength on β spectra



From: J. Kostensalo, J. S., Mesonic enhancement of the weak axial charge and its effect on the half-lives and spectral shapes of first-forbidden $J^+ \leftrightarrow J^-$ decays, Phys. Lett. B 781 (2018) 480 (computed by using the ISM).

Introducing the **SSM**: Spectrum-Shape Method

$$g_{A,0\nu}(J^\pi) \xrightarrow{q \rightarrow 0} g_A(J^\pi)$$

Higher-multipole transitions: **Spectrum-Shape Method (SSM)***:

Effective value of $g_A(J^\pi)$

as derived from

electron spectra of

forbidden non-unique β decays

*First introduced in: M. Haaranen, P. C. Srivastava and J. S., Forbidden nonunique β decays and effective values of weak coupling constants, Phys. Rev. C 93 (2016) 034308

Spectral shape of higher-forbidden non-unique β decays

Half-life:

$$t_{1/2} = \kappa / \tilde{S}.$$

Dimensionless integrated shape function:

$$\tilde{S} = \int_1^{w_0} S(w_e) dw_e, \quad S(w_e) = C(w_e) p w_e (w_0 - w_e)^2 F_0(Z_f, w_e).$$

Shape factor:

$$C(w_e) = \sum_{k_e, k_\nu, K} \lambda_{k_e} \left[M_K(k_e, k_\nu)^2 + m_K(k_e, k_\nu)^2 - \frac{2\gamma_{k_e}}{k_e w_e} M_K(k_e, k_\nu) m_K(k_e, k_\nu) \right],$$

where

$$\lambda_{k_e} = \frac{F_{k_e-1}(Z, w_e)}{F_0(Z, w_e)}; \quad \gamma_{k_e} = \sqrt{k_e^2 - (\alpha Z_f)^2},$$

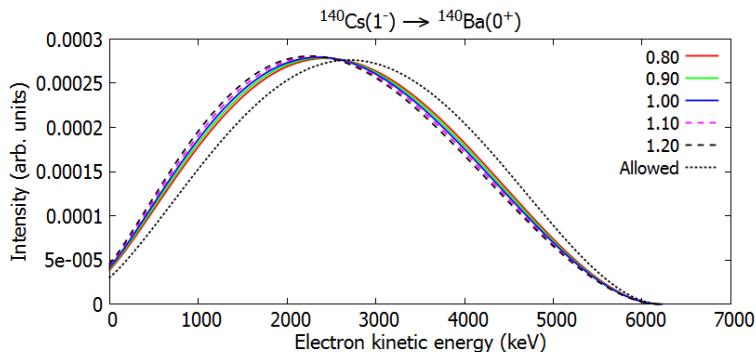
$F_{k-1}(Z, w_e)$ being the generalized Fermi function.

Decomposition of the shape factor:

$$C(w_e) = g_V^2 C_V(w_e) + g_A^2 C_A(w_e) + g_V g_A C_{VA}(w_e).$$

EXAMPLE: 1st-forbidden nonunique decay of ^{140}Cs

First-forbidden nonunique β^- transition $^{140}\text{Cs}(1^-) \rightarrow ^{140}\text{Ba}(0^+)$: a high-yield fission product \rightarrow **Contributes to the reactor-flux anomalies!**

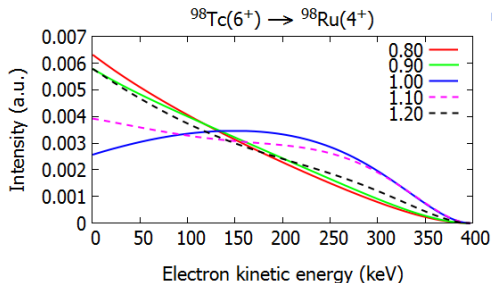
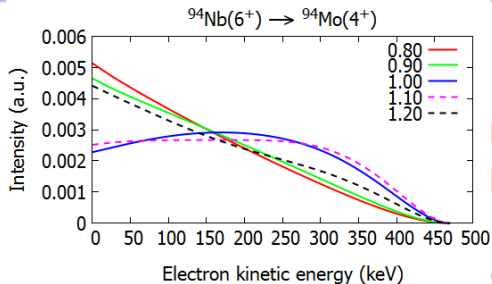


For the **allowed approximation** we have just a multiplicative factor and a **universal spectral shape** (independent of g_A): $C(w_e)_{\text{allowed}} = \frac{1}{2J_i+1} (g_A^2 M_{\text{GT}}^2 + g_V^2 M_{\text{F}}^2) \neq$ function of w_e

ISM-computed β spectra for different values of g_A

Normalized ISM-computed
electron spectra for the
2nd-forbidden nonunique
 β^- decays of ^{94}Nb and ^{98}Tc
($g_V = 1.0$).

From: J. Kostensalo and J. S.,
 g_A -driven shapes of electron
spectra of forbidden β decays in
the nuclear shell model, Phys.
Rev. C 96 (2017) 024317

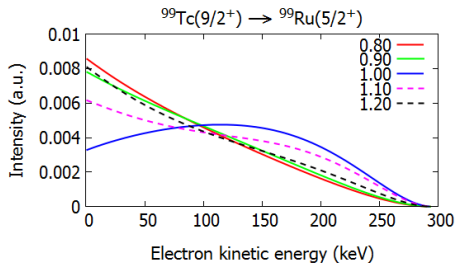


Example: ISM- and MQPM-computed electron spectra

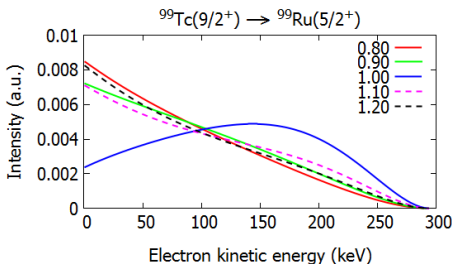
Normalized electron spectra for the **2nd-forbidden nonunique** β^- decay of ^{99}Tc ($g_V = 1.0$) using different values of g_A .

Going to be treated by the **IBS-KNU-KRISS-LUKE-JYFL** group:
gA EXPERIMENT and Theory collaboration = gA-EXPERT
and

the **GSSI-INFN-LNGS-LUKE-JYFL**
Collaboration: **Array of Cryogenic Calorimeters to Evaluate Spectral Shapes = ACCESS**



(ISM)



(MQPM)

Introducing the MQPM (Microscopic Quasiparticle-Phonon Model (MQPM) for odd-mass nuclei (J. Toivanen and J. S., Phys. Rev. C 57 (1998) 1237)

Single-particle space

particle creation: c_a^\dagger
 ($a = \text{proton or } a = \text{neutron}$)

↓ BCS

Quasiparticle mean field

quasiparticle creation: a_a^\dagger

QRPA ↓ (for even-even nuclei)

Basic excitation:

$$\Gamma_{kj}^\dagger = \sum_{a \leq b} \mathcal{N}_{ab}(J) \left(X_{ab}^k [a_a^\dagger a_b^\dagger]_J - Y_{ab}^k [a_a^\dagger a_b^\dagger]_J^\dagger \right)$$

↓ Bosonization leads to EOM

$$\begin{pmatrix} A & B \\ -B^* & -A^* \end{pmatrix} \begin{pmatrix} X^k \\ Y^k \end{pmatrix} = E_k \begin{pmatrix} X^k \\ Y^k \end{pmatrix}$$

↓ MQPM basic excitation

$$\Gamma_{lj}^\dagger = \sum_n C_n^l a_{nj}^\dagger + \sum_{a,kJ} D_{akJ}^l [a_a^\dagger \Gamma_{kJ}^\dagger]_j$$

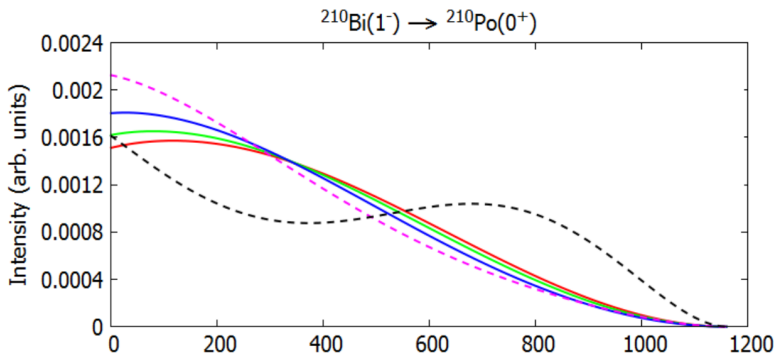
↓ Equations of motion

$$\begin{pmatrix} A & B \\ B^T & A' \end{pmatrix} \begin{pmatrix} C^l \\ D^l \end{pmatrix} = E_l \begin{pmatrix} 1 & 0 \\ 0 & N \end{pmatrix} \begin{pmatrix} C^l \\ D^l \end{pmatrix}$$

EXAMPLE: 1st-forbidden nonunique decay of ^{210}Bi

First-forbidden nonunique β^- transition $^{210}\text{Bi}(1^-) \rightarrow ^{210}\text{Po}(0^+)$

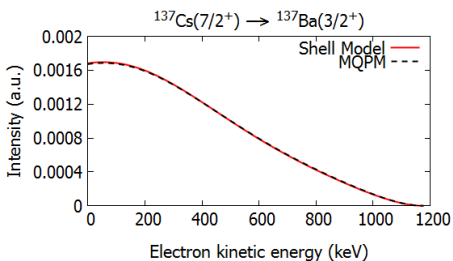
Spectral shapes for different values of $g_A = 0.80$ (solid red), 0.90, 1.00, 1.10, 1.20(dashed black)



Measured and currently analyzed by the **gA-EXPERT**.

β spectral shapes without dependence on g_A

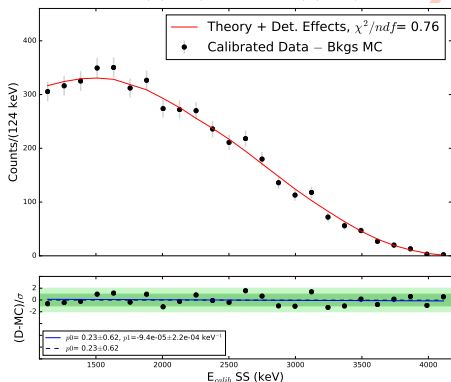
Normalized computed electron spectrum for the 2nd-forbidden nonunique β^- decay of ^{137}Cs



From: J. Kostensalo and J. S., Phys. Rev. C 96 (2017) 024317

First-forbidden nonunique β^- decay

$$^{137}\text{Xe}(7/2^-) \rightarrow ^{137}\text{Cs}(7/2^+).$$



From: S. Al Kharusi *et al.* (EXO-200 Collaboration), Phys. Rev. Lett. 124 (2020) 232502.

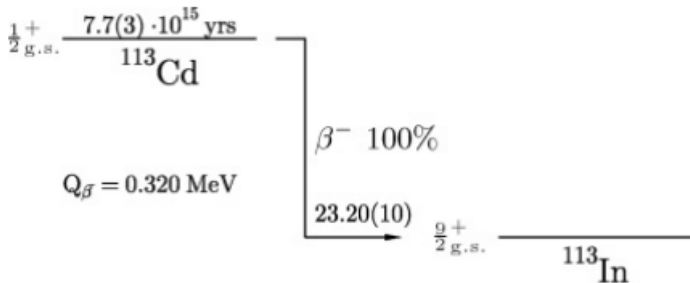
Current list of g_A -dependent β -spectrum shapes

Transition	$J_i^{\pi_i}$ (gs)	$J_f^{\pi_f}$ (n_f)	Branching	K	Sensitivity	Nuclear model
$^{59}\text{Fe} \rightarrow ^{59}\text{Co}$	$3/2^-$	$7/2^-$ (gs)	0.18%	2	Moderate	ISM
$^{60}\text{Fe} \rightarrow ^{60}\text{Co}$	0^+	2^+ (gs)	100%	2	Moderate	ISM
$^{87}\text{Rb} \rightarrow ^{87}\text{Sr}$	$3/2^-$	$9/2^+$ (gs)	100%	3	Moderate	MQPM, ISM
$^{94}\text{Nb} \rightarrow ^{94}\text{Mo}$	6^+	4^+ (2)	100%	2	Strong	ISM
$^{98}\text{Tc} \rightarrow ^{98}\text{Ru}$	6^+	4^+ (3)	100%	2	Strong	ISM
$^{99}\text{Tc} \rightarrow ^{99}\text{Ru}$	$9/2^+$	$5/2^+$ (gs)	100%	2	Strong	MQPM, ISM
$^{113}\text{Cd} \rightarrow ^{113}\text{In}$	$1/2^+$	$9/2^+$ (gs)	100%	4	Strong	MQPM, ISM, IBFM-2
$^{115}\text{In} \rightarrow ^{115}\text{Sn}$	$9/2^+$	$1/2^+$ (gs)	100%	4	Strong	MQPM, ISM, IBFM-2
$^{136}\text{Te} \rightarrow ^{136}\text{I}$	0^+	(1^-) (gs)	8.7%	1	Strong	ISM
$^{137}\text{Xe} \rightarrow ^{137}\text{Cs}$	$7/2^-$	$5/2^+$ (1)	30%	1	Strong	ISM
$^{138}\text{Cs} \rightarrow ^{138}\text{Ba}$	3^-	3^+ (1)	44%	1	Strong	ISM
$^{210}\text{Bi} \rightarrow ^{210}\text{Po}$	1^-	0^+ (gs)	100%	1	Strong	ISM

- Electron spectra of ^{113}Cd (L. Bodenstern-Dresler *et al.*, Phys. Lett. B 800 (2020) 135092) measured by the **COBRA collaboration**.
- Electron spectrum of ^{115}In measured by using LiInSe_2 bolometers (**Experimentalists-Jyvaskylä collaboration**).

EXAMPLE: 4th-forbidden nonunique decay of ^{113}Cd

4th-forbidden nonunique β^- transition $^{113}\text{Cd}(1/2^+) \rightarrow ^{113}\text{In}(9/2^+)$



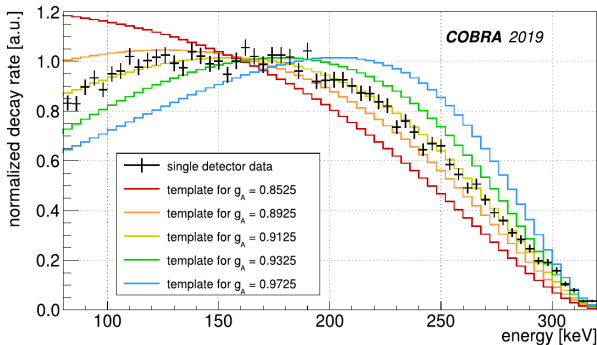
Calculated by using the **Interacting Shell Model (ISM)**, the **Microscopic Quasiparticle-Phonon Model (MQPM)** and the **microscopic Interacting Boson-Fermion Model (IBFM-2)**.

Decay of ^{113}Cd – Comparison with data

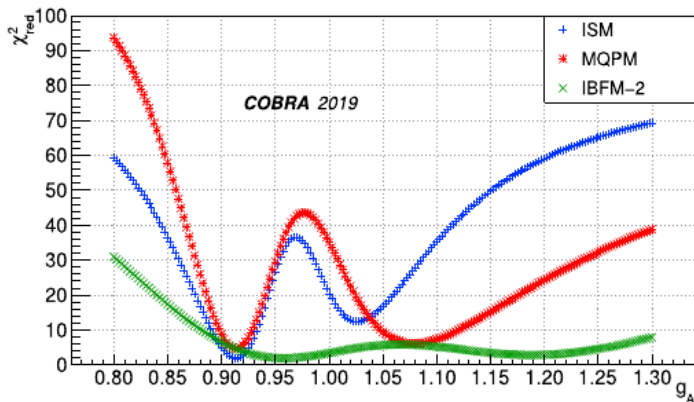
Normalized electron spectra
for the 4th-forbidden
nonunique β^- transition
 $^{113}\text{Cd}(1/2^+) \rightarrow ^{113}\text{In}(9/2^+)$
($g_V = 1.0$).

Experimental data from
The **COBRA** collaboration:
PLB2020: L. Bodenstein-Dresler
et al., Phys. Lett. B 800 (2020)
135092.

Measured spectrum by detector no. 54:



Decay of ^{113}Cd – Comparison with data



PLB2020 : $\bar{g}_A(\text{ISM}) = 0.914 \pm 0.008$; PLB2021 := 0.907 ± 0.064
PLB2020 : $\bar{g}_A(\text{MQPM}) = 0.910 \pm 0.013$; PLB2021 := 0.993 ± 0.063
PLB2020 : $\bar{g}_A(\text{IBFM-2}) = 0.955 \pm 0.035$; PLB2021 := 0.828 ± 0.140

Decay of $^{113}\text{Cd} - g_A^{\text{eff}}$ using spectral moments

SMM = Spectral Moments Method

$$\mu_n = \int_{w_{\text{thr}}}^{w_0} S(w_e) w_e^n dw_e,$$

$n = 0 \leftrightarrow$ area under the spectral curve $\leftrightarrow T_{1/2}$

$n = 1 \leftrightarrow$ mean energy

$n = 2 \leftrightarrow$ variance

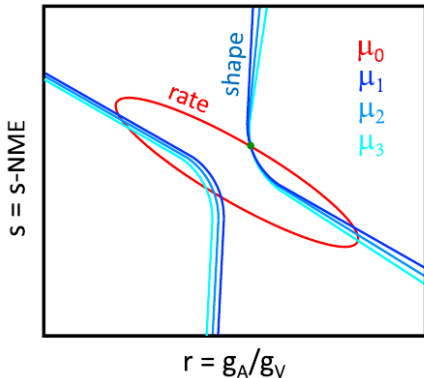
Usually only first few moments μ are enough!

Result from

J. Kostensalo, E. Lisi, A. Marrone and J.

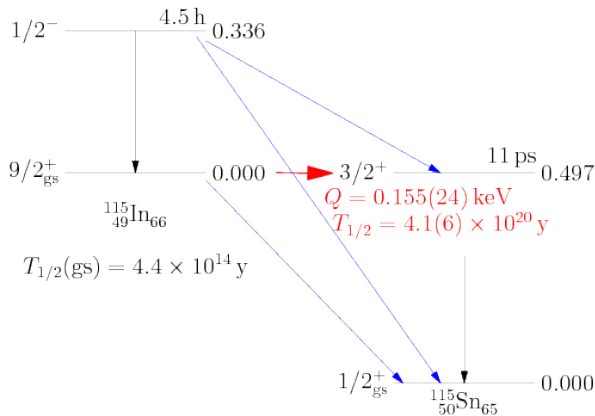
S., ^{113}Cd β -decay spectrum and g_A quenching using spectral moments,

Phys. Rev. C 107 (2023) 055502.



$$\begin{aligned}\bar{g}_A(\text{ISM}) &= 0.96 - 0.99 \\ \bar{g}_A(\text{IBFM-2}) &= 1.03 - 1.13 \\ \bar{g}_A(\text{MQPM}) &= 1.02 - 1.07\end{aligned}$$

EXAMPLE: 4th-forbidden nonunique transition $^{115}\text{In}(9/2^+) \rightarrow ^{115}\text{Sn}(1/2^+)$



Interesting ultra-low Q -value transition: The 2nd-forbidden unique transition

$^{115}\text{In}(9/2^+) \rightarrow ^{115}\text{Sn}(3/2^+)$ has the smallest known Q value of a nuclear transition: J. S. E.

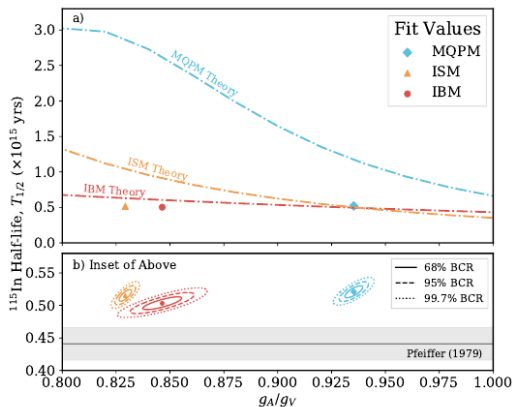
Wieslander *et al.*, Phys. Rev. Lett. 103 (2009) 122501; B. J. Mount *et al.*, Phys. Rev. Lett. 103 (2009) 122502.

Decay of ^{115}In – Comparison with data

Normalized electron spectra
for the 4th-forbidden
nonunique β^- decay
 $^{115}\text{In}(9/2^+) \rightarrow ^{115}\text{Sn}(1/2^+)$
($g_V = 1.0$).

Result from

The CEA-CNRS-CSNSM-
INR-JYFL-MIT-LUKE-UCB
collaboration: A. F. Leder *et al.*, Phys. Rev. Lett. 129 (2022)
232502.



$$\begin{aligned}\bar{g}_A(\text{ISM}) &= 0.830 \pm 0.002 \\ \bar{g}_A(\text{IBFM-2}) &= 0.845 \pm 0.006 \\ \bar{g}_A(\text{MQPM}) &= 0.936 \pm 0.003\end{aligned}$$

Conclusions about the effective g_A

Conclusion 1:

The long chain of ISM calculations and the recent pnQRPA and IBM-2 calculations of Gamow-Teller β decays and $2\nu\beta\beta$ decays are (surprisingly!) **consistent with each other** and clearly point to a **A -dependent quenched g_A**

Conclusion 2:

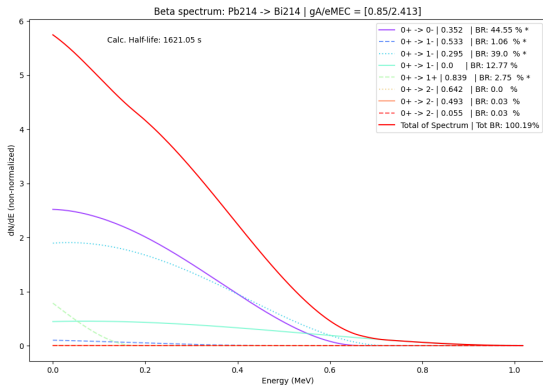
The **spectrum-shape method (SSM)** and the **spectral moments method (SMM)** for forbidden non-unique β decays seem **robust tools** (largely independent of the nuclear model, the assumed Hamiltonian and mean field) to search for the **effective value of g_A** and to try to solve other problems, like those related to the **reactor- $\bar{\nu}_e$ spectra**

Spectral shapes as background: Total β spectrum of ^{214}Pb

^{85}Kr , ^{212}Pb and ^{214}Pb are backgrounds in dark-matter experiments like XENON1T, XENONnT, PandaX, etc. (see S. J. Haselschwardt et al., Phys. Rev. C 102 (2020) 065501).

Beta decay of ^{214}Pb includes several first-forbidden non-unique transitions from 0^+ to 0^- and 1^- states within the decay Q window.

Total spectrum from M. Ramalho *et al.*, in collaboration with the PandaX dark-matter experiment



Investigating

Reactor- $\bar{\nu}$ anomaly and the spectral bump

Neutrino-related anomalies and sterile neutrinos

Sterile neutrinos:

The gallium anomaly

(J. Kostensalo, J. S., C. Giunti and P. C. Srivastava,

[The gallium anomaly revisited](#), Phys. Lett. B 795 (2019) 542)

The reactor antineutrino anomaly

imply oscillations of the “ordinary” neutrinos (ν_e, ν_μ, ν_τ) to

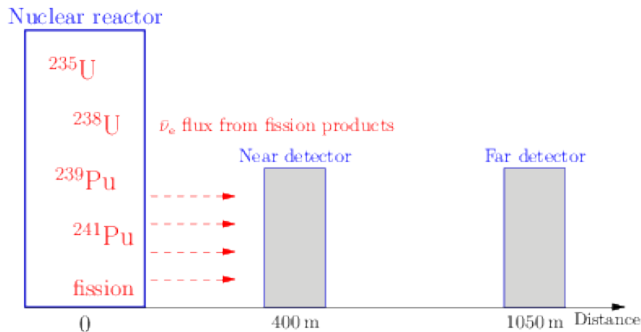
STERILE NEUTRINO

in the mass range of a few eV

But what is the reactor antineutrino anomaly?

The reactor antineutrino anomaly

The $\bar{\nu}_e$ flux from reactors has been measured in **short-baseline neutrino-oscillation experiments**¹: **Daya Bay** (in Daya Bay, China; 6 reactors, 8 detectors), **RENO** (South Korea; 2 detectors 294m and 1383 m from 6 reactors) and **Double Chooz** (Chooz, France, 2 detectors 400m and 1050 m from 2 reactors, schematic figure below).



¹RENO: Phys. Rev. Lett. 108 (2012) 191802; Double Chooz: J. High Energy Phys. 2014 (2014) 86; Daya Bay: Phys. Rev. Lett. 116 (2016) 061801.

The neutrino-flux measurements find:

The reactor $\bar{\nu}_e$ anomaly:

The measured flux is some **5% smaller** than that predicted from the β decays of the fission yields of the reactor fuel

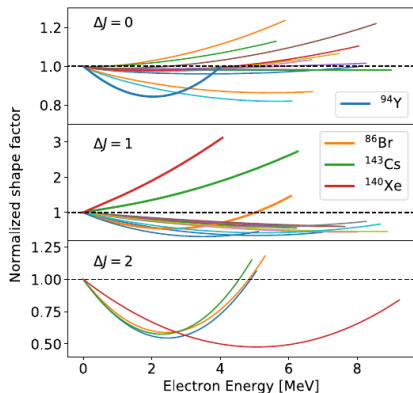
\Rightarrow ?
 \Rightarrow Oscillations to STERILE NEUTRINOS

The bump anomaly:

There is an unexpected **bump at 4 – 6 MeV (spectral shoulder)** in the measured $\bar{\nu}_e$ spectrum.

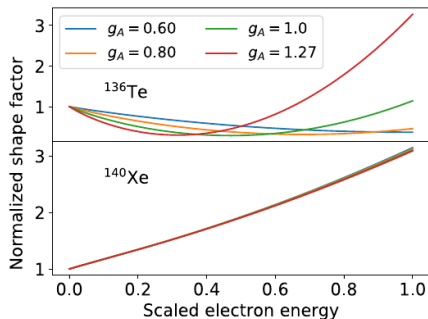
\Rightarrow ???

Important contributions from **first-forbidden** β decays to the reactor antineutrino spectra (deviations from the allowed spectral shape)



pseudoscalar ($\Delta J = 0$, non-unique),
pseudovector ($\Delta J = 1$, non-unique) and
pseudotensor ($\Delta J = 2$, unique) transitions

Pseudovector transitions with (^{136}Te) and without (^{140}Xe) g_A dependence



The transitions $^{137}\text{Xe}(7/2^-) \rightarrow ^{137}\text{Cs}(7/2_{\text{gs}}^+, 5/2_1^+)$ are highly interesting: Measurement of the spectral shapes by EXO-200

Results from the analyses including the β spectra

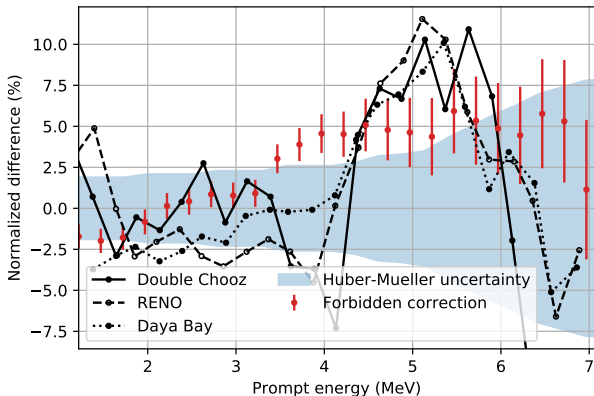
Taking into account the
(first-forbidden) decays of

$^{86}\text{Br}(0^+)$, $^{86}\text{Br}(2^+)$, ^{87}Se , ^{88}Rb ,
 $^{89}\text{Br}(3/2^+)$, $^{89}\text{Br}(5/2^+)$, ^{90}Rb ,
 $^{91}\text{Kr}(5/2^-)$, $^{91}\text{Kr}(3/2^-)$, ^{92}Rb ,
 ^{92}Y , ^{93}Rb , $^{94}\text{Y}(0^+)$, $^{94}\text{Y}(0^+)$,
 $^{95}\text{Rb}(7/2^+)$, $^{95}\text{Rb}(3/2^+)$, ^{95}Sr ,
 ^{96}Y , ^{97}Y , ^{98}Y , ^{133}Sn , $^{134m}\text{Sb}(6^+)$,
 $^{134m}\text{Sb}(6+?)$, ^{135}Te , ^{136m}I , ^{137}I ,
 ^{138}I , ^{139}Xe , ^{140}Cs , ^{142}Cs

changes the $\bar{\nu}$ flux by a few
% !

HKSS flux model:

See: L. Hayen, J. Kostensalo, N. Severijns, J.S., First-forbidden transitions in reactor antineutrino spectra/in the reactor anomaly, Phys. Rev. C 99 (2019) 031301(R) ; Phys. Rev. C 100 (2019) 054323



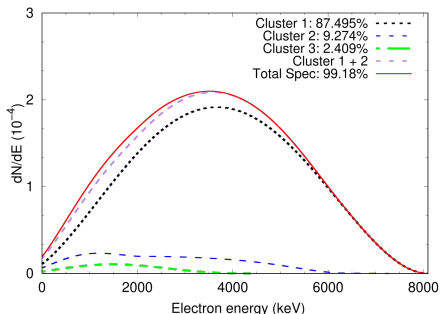
The spectral shoulder appears due to forbidden
spectral corrections !

Clear evidence of a contribution to the spectral "bump": The case of ^{92}Rb

Pioneering calculation of a total β -electron spectrum of a high- Q reactor fission

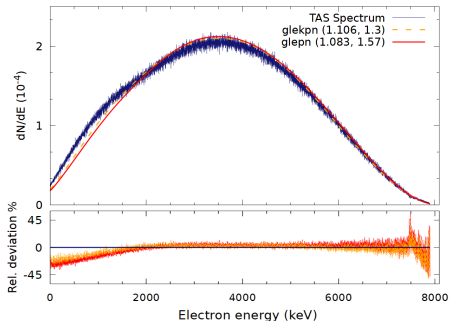
product: The β^- decay of ^{92}Rb with a Q value of 8.095 MeV

Computed cumulative electron spectrum



Cluster 1: gs-to-gs transition (based on TAS-measured branching), **Cluster 2:** known 1st-forbidden transitions (based on TAS-measured branchings), **Cluster 3:** unresolved higher-energy 1st-forbidden and allowed transitions

Comparison of the computed total spectrum with the TAS spectrum. Computations done by using two available shell-model interactions.



TAS spectrum obtained from the TAS-measured (A. Algorta et al.) branchings assuming all transitions to be allowed.

Small sidestep to

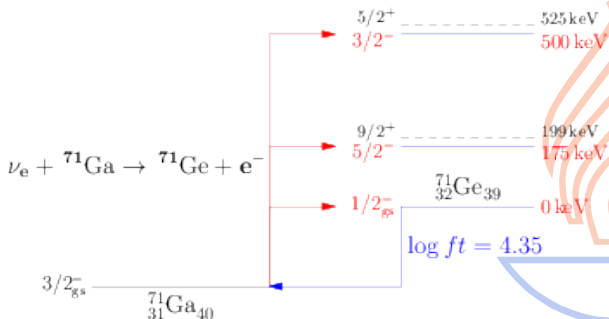
the gallium anomaly and charge-exchange reactions

The ^{71}Ga anomaly (has pestered us for some 20 years!)

Charged-current
neutrino- ^{71}Ga scattering
via Gamow-Teller type of
transitions.

Monoenergetic ν_e from
artificial neutrino sources
via

Electron captures:



$${}^{37}\text{Ar} + e^- \rightarrow {}^{37}\text{Cl} + \nu_e (E_\nu = 814 \text{ keV}) ; \quad {}^{51}\text{Cr} + e^- \rightarrow {}^{51}\text{V} + \nu_e (E_\nu = 751 \text{ keV})$$

The **scattering cross sections** σ have been measured by the **GALLEX experiment** [Phys. Lett. B 342 (1995) 440 ; ibid B 420 (1998) 114 ; ibid B 685 (2010) 47] and the **SAGE experiment** [Phys. Rev. Lett. 77 (1996) 4708 ; Phys. Rev. C 59 (1999) 2246 ; ibid C 73 (2006) 045805 ; ibid C 80 (2009) 015807]

Estimation of the scattering cross section

The cross sections can be deduced from neutrino kinematics, as first done by J. N. Bahcall, Phys. Rev. C 56 (1997) 3391 (verified by our more complete calculations)

$$\sigma(^{37}\text{Ar}) = 6.62 \times 10^{-45} \text{ cm}^2 \left(1 + 0.695 \frac{\text{BGT}_{175}}{\text{BGT}_{\text{gs}}} + 0.263 \frac{\text{BGT}_{500}}{\text{BGT}_{\text{gs}}} \right).$$

$$\sigma(^{51}\text{Cr}) = 5.53 \times 10^{-45} \text{ cm}^2 \left(1 + 0.667 \frac{\text{BGT}_{175}}{\text{BGT}_{\text{gs}}} + 0.218 \frac{\text{BGT}_{500}}{\text{BGT}_{\text{gs}}} \right),$$

where BGT_{gs} can be normalized by the $\log ft$ of the Gamow-Teller EC transition $^{71}\text{Ge}(1/2_{\text{gs}}^-) \rightarrow ^{71}\text{Ga}(3/2_{\text{gs}}^-)$, and $\text{BGT} = (g_{\text{A}})^2 (f \| \mathcal{O}_{\text{GT}} \| i)^2 / (2J_i + 1)$, J_i being the angular momentum of the initial state.

BGT ratios can be taken from D. Frekers *et al.*, The $^{71}\text{Ga}(^3\text{He}, t)$ reaction and the low-energy neutrino response, Phys. Lett B 706 (2011) 134:

$$\frac{\text{BGT}_{175}}{\text{BGT}_{\text{gs}}} = 0.039 \pm 0.030; \quad \frac{\text{BGT}_{500}}{\text{BGT}_{\text{gs}}} = 0.202 \pm 0.016,$$

or from our shell-model calculations with the JUN45 interaction:

$$\frac{\text{BGT}_{175}}{\text{BGT}_{\text{gs}}} = 0.033; \quad \frac{\text{BGT}_{500}}{\text{BGT}_{\text{gs}}} = 0.016,$$

Quantitative statement of the gallium anomaly

$$R = \frac{\sigma_{\text{measured}}(\text{GALLEX,SAGE})}{\sigma_{\text{estimated}}}$$

It seems that **experiments measure a reduced neutrino flux:**

Estimate	GALLEX 1	GALLEX 2	SAGE 1	SAGE 2
$R(\text{Frekers } et al.)$	0.93 ± 0.11	0.79 ± 0.11	0.93 ± 0.11	0.77 ± 0.08
$R(\text{SM, JUN45})$	0.98 ± 0.11	0.83 ± 0.11	0.97 ± 0.12	0.81 ± 0.09

 **Oscillations to STERILE NEUTRINOS**

Questions raised:

- Are there problems with the cross-section measurements (GALLEX 1 vs. GALLEX 2, SAGE 2)?
- Why the BGT of Frekers *et al.* deviate from our shell-model computed BGTs?

Problems with the analysis of the $^{71}\text{Ga}(^3\text{He}, t)$ reaction?

$$\text{BGT}_{\text{reaction}} = \frac{(g_A)^2}{2j_i+1} [(f\|\mathcal{O}_{\text{GT}}\|i) + \delta(f\|\mathcal{O}_{\text{T}}\|i)]^2,$$

where $\mathcal{O}_{\text{T}} \sim [\sigma Y_2]_1$ is the **tensor part** entering the reaction analysis and $\delta = 0.097$ is the mixing strength (From the analysis of Gamow-Teller transitions in the sd shell: W.C. Haxton, Phys. Lett. B 431 (1998) 110.).

We find **interference of the GT and tensor terms**:

Transition	$(f\ \mathcal{O}_{\text{GT}}\ i)$	$(f\ \mathcal{O}_{\text{T}}\ i)$	$\text{BGT}_{\beta}(\text{SM})$	$\text{BGT}_{\text{reaction}}(\text{SM})$
$3/2^- (\text{Ga}) \rightarrow 1/2^- (\text{Ge}, \text{gs})$	-0.795	0.465	0.158	0.141
$3/2^- (\text{Ga}) \rightarrow 5/2^- (\text{Ge}, 175 \text{ keV})$	0.144	-1.902	0.0052	0.0004
$3/2^- (\text{Ga}) \rightarrow 3/2^- (\text{Ge}, 500 \text{ keV})$	0.100	0.048	0.0025	0.0027

The charge-exchange reactions assume always a **constructive interference of the GT and tensor terms**!

Conclusions about the ^{71}Ga anomaly

Conclusions:

NONE YET!

The work continues...

Low Q -value β^- / EC decays for (anti)neutrino-mass measurements

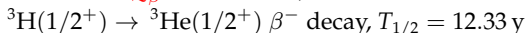
$$\beta^- : (A, Z) \rightarrow (A, Z + 1) + e^- + \bar{\nu}_e$$

$$\text{EC} : (A, Z) + e^- \rightarrow (A, Z - 1) + \nu_e$$

Neutrino Mass Measurements with low Q values

The KArllsruhe TRItium Neutrino experiment = KATRIN

$$Q_{\beta^-} = 18.6 \text{ keV, Allowed}$$



Sensitivity to neutrino mass: $m_\nu \sim 0.2 \text{ eV}$



(The Microcalorimetric Array for a Rhenium Experiment = MARE)

$$Q_{\beta^-} = 2.469(4) \text{ keV, First-forbidden unique } {}^{187}\text{Re}(5/2^+) \rightarrow {}^{187}\text{Os}(1/2^-) \beta^- \text{ decay, } T_{1/2} = 4 \times 10^{10} \text{ y}$$

The Electron Capture in Holmium experiment = ECHo

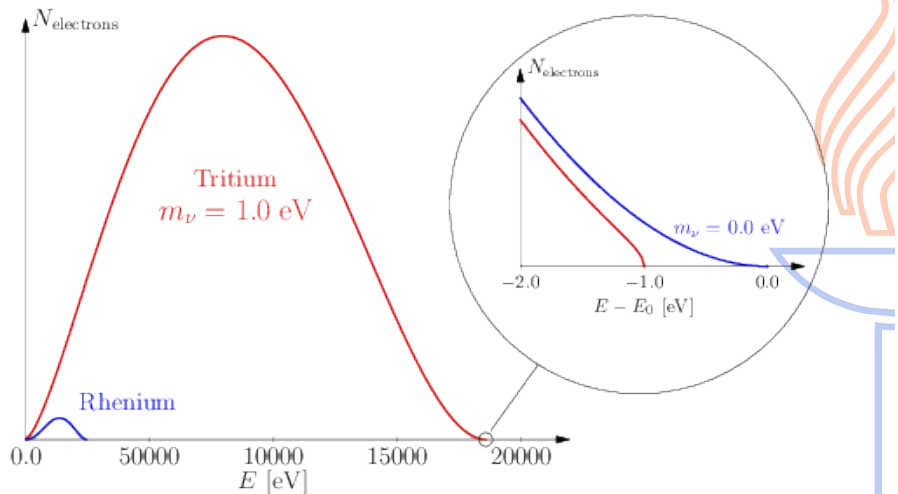
$$Q_{\text{EC}} = 2.833(34) \text{ keV (Penning trap), Allowed } {}^{163}\text{Ho}(7/2^-) \rightarrow {}^{163}\text{Dy}(5/2^-) \text{ EC decay,}$$

$$T_{1/2} = 4570 \text{ y}$$

Sensitivity to neutrino mass: $m_\nu \sim ?$

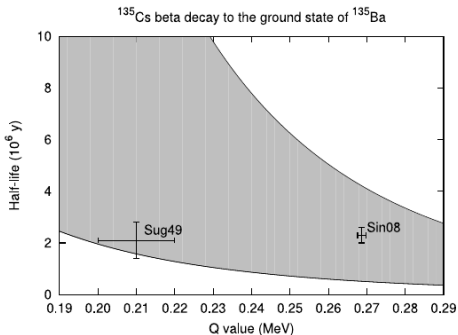
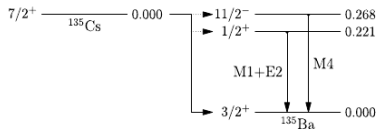


Extraction of the neutrino mass



The fraction of decays in an energy interval ΔE near the endpoint goes as $(\Delta E/Q)^3$

Decays (1st and 2nd forbidden unique) of ^{135}Cs to excited states

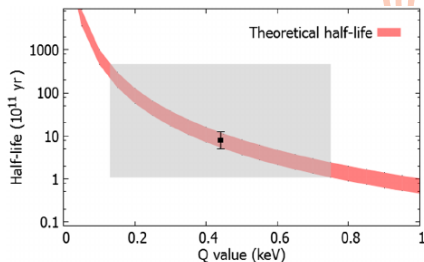


M.T. Mustonen and J. S., PLB 703 (2011) 370:

Important to revisit the Q-value msrmt!

Recent measurement of the Q value by the JYFLTRAP gives $Q = 268.66(30)$ keV, leading to $Q_{\text{exc}} = 0.44(31)$ keV for the **first-forbidden unique** transition $^{135}\text{Cs}(7/2^+) \rightarrow ^{135}\text{Ba}(11/2^-)$.

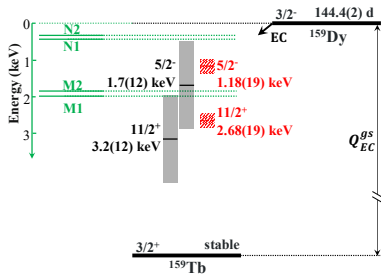
Adopting $g_A^{\text{eff}} = 0.8 - 1.2$ leads to half-life prediction:



A. de Roubin *et al.*, Phys. Rev. Lett. 124 (2020) 222503

Allowed electron-capture decay of ^{159}Dy to an excited state

gs-gs Q value improved over the AME2020 mass evaluation:



A new candidate for neutrino-mass determination!

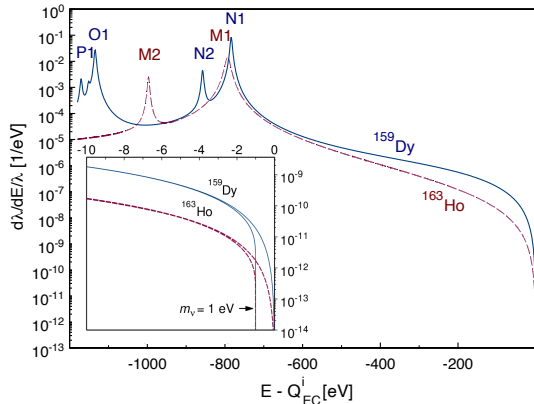
Z. Ge *et al.*, Phys. Rev. Lett. 127 (2021)

272301

Recent measurement of the Q value by the JYFLTRAP gives $Q = 364.73(19)$ keV, leading to $Q_{exc} = 1.18(19)$ keV for the **allowed** β transition $^{159}\text{Dy}(3/2^-) \rightarrow ^{159}\text{Tb}(5/2^-)$.

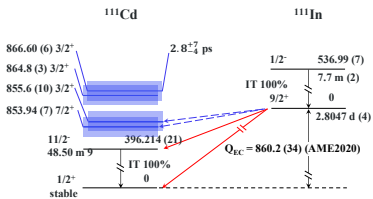
One has the N1, N2, O1, O2 and P1 (and M1 and M2 Breit-Wigner tails!)

atomic-shell contributions at the endpoint:



Allowed electron-capture decay of ^{111}In to an excited state

gs-gs Q value improved over the AME2020 mass evaluation:



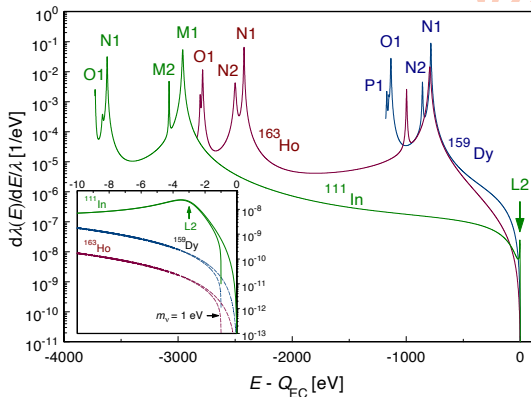
A new candidate for neutrino-mass determination!

Z. Ge *et al.*, Phys. Lett. B 832 (2022)

137226

Recent measurement of the Q value by the JYFLTRAP gives $Q = 857.63(17)$ keV, leading to $Q_{exc} = 3.69(19)$ keV for the **allowed** β transition $^{111}\text{In}(9/2^+) \rightarrow ^{111}\text{Cd}(7/2^+)$.

One has the M1, M2, N1, N2, O1 and O2 (and possibly L2!) atomic-shell contributions at the endpoint:



Still more information on the value of the weak couplings

These methods are now available:

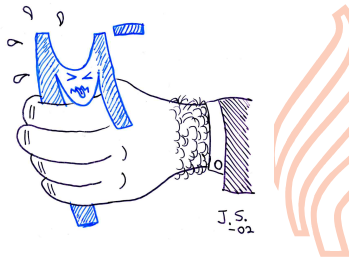
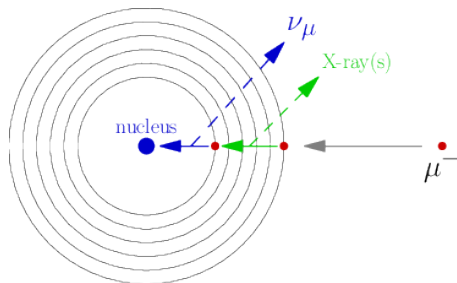
For low momentum exchanges (g_A):

- Study half-lives of β decays (1^+ and 2^- states)
- Study half-lives of $2\nu\beta\beta$ decays (1^+ states)
- Study electron spectral shapes of β decays (J^π states)
- Study charge-exchange reactions
- Study double charge-exchange reactions

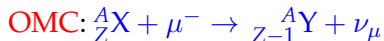
For high momentum exchanges like $0\nu\beta\beta$ decay ($g_{A,0\nu}$):

- Study charge-exchange reactions
- Study double charge-exchange reactions
- Study nuclear muon capture (J^π states)

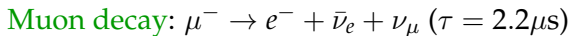
Ordinary Muon Capture (OMC)



Nuclear muon capture:

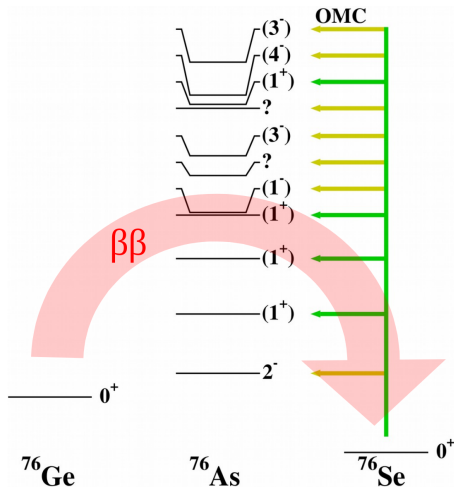
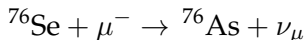


Also:



OMC probability $\sim Z^4$
(in Fe 91% are captured,
breakeven at $Z \sim 11$)

Ordinary muon capture (OMC) on ^{76}Se



$$m_\mu c^2 \approx 105 \text{ MeV}$$



- OMC and $0\nu\beta\beta$ operate in the $q \approx 100 \text{ MeV}$ momentum-exchange region $\Rightarrow g_{A,0\nu}(J^\pi)$
- Induced currents ($g_P!$) are activated

Experiments:

RCNP, Osaka ; J-PARC MLE, Japan ; PSI, Villigen, Switzerland

The capture rate of OMC

The **muon-capture rate** (in units of 1/s) can be written as:

$$W = 2P \frac{2J_f + 1}{2J_i + 1} \left(1 - \frac{q}{m_\mu + AM_N} \right) q^2,$$

where q is OMC Q-value (essentially the magnitude of the muon-neutrino momentum) and M_N the (average) nucleon mass. Here

$$P = \frac{1}{2} \sum_{\kappa u} \left| g_V(q^2) P_{\kappa u}^{(1)} + g_A(q^2) P_{\kappa u}^{(2)} - \frac{g_V(q^2)}{M_N} P_{\kappa u}^{(3)} + \sqrt{3} \frac{q}{2M_N} g_V(q^2) P_{\kappa u}^{(4)} \right. \\ \left. + \sqrt{6} \frac{q}{2M_N} (g_V(q^2) - g_M(q^2)) P_{\kappa u}^{(5)} - \frac{g_A(q^2)}{M_N} P_{\kappa u}^{(6)} + \sqrt{\frac{1}{3}} \frac{q}{2M_N} (g_P(q^2) - g_A(q^2)) P_{\kappa u}^{(7)} \right|^2$$

Compare with the **inverse half-life** of the $0\nu\beta\beta$ decay:

$$\left(T_{1/2}^{(0\nu)} \right)^{-1} = G_{0\nu} \left(\frac{\langle m_\nu \rangle}{m_e} \right)^2 \left| [g_V(q^2)]^2 M_F^{(0\nu)} + [g_A(q^2)]^2 M_{GT}^{(AA)} - \frac{q^2}{3M_N} g_A(q^2) g_P(q^2) M_{GT}^{(AP)} \right. \\ \left. + \frac{q^4}{12M_N^2} [g_P(q^2)]^2 M_{GT}^{(PP)} + \frac{q^2}{6M_N^2} [g_M(q^2)]^2 M_{GT}^{(MM)} - [g_A(q^2)]^2 M_T^{(0\nu)} \right|^2$$

OMC first suggested as an experimental probe for $0\nu\beta\beta$ matrix elements in:

Pioneering works:

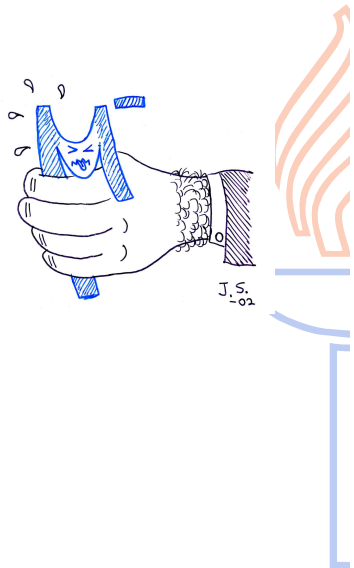
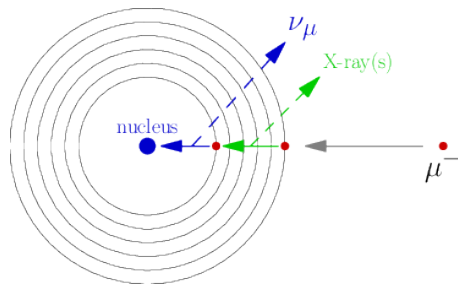
M. Kortelainen and J. S., **Ordinary muon capture as a probe of virtual transitions of $\beta\beta$ decay**, *Europhysics Letters* **58** (2002) 666-672

M. Kortelainen and J. S., Microscopic study of muon-capture transitions in nuclei involved in double-beta-decay processes, *Nuclear Physics A* **713** (2003) 501-521

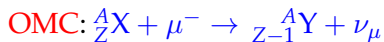
M. Kortelainen and J. S., **Nuclear muon capture as a powerful probe of double-beta decays in light nuclei**, *Journal of Physics G: Nucl. Part. Phys.* **30** (2004) 2003-2018

Original theory from: M. Morita and A. Fujii, Theory of allowed and forbidden transitions in muon capture reactions, *Phys. Rev.* **118** (1960) 606.

OMC in light nuclei calculated by the nuclear shell model

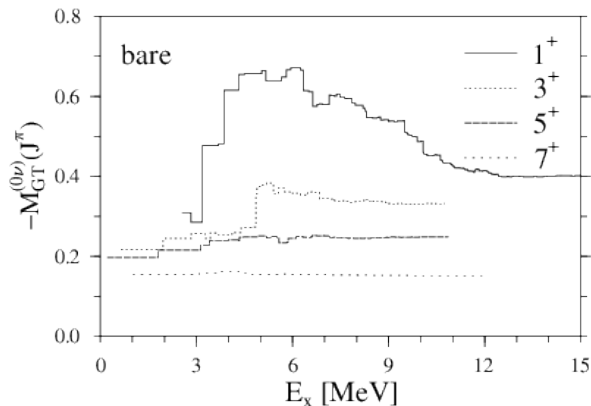


Nuclear muon capture:



Cumulative sums for the $0\nu\beta\beta$ matrix elements

NME calculated for the $0\nu\beta\beta$ decay of ^{48}Ca using the nuclear shell model



$$M^{(0\nu)} = \sum_{J^\pi} M^{(0\nu)}(J^\pi),$$

FPBP interaction
in the pf shell:

$$M(1^+) = -0.402$$

$$M(2^+) = -0.304$$

$$M(3^+) = -0.332$$

$$M(4^+) = -0.183$$

$$M(5^+) = -0.249$$

$$M(6^+) = -0.102$$

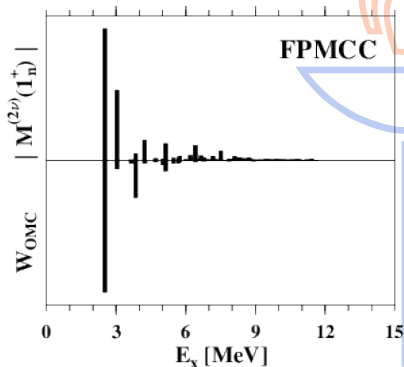
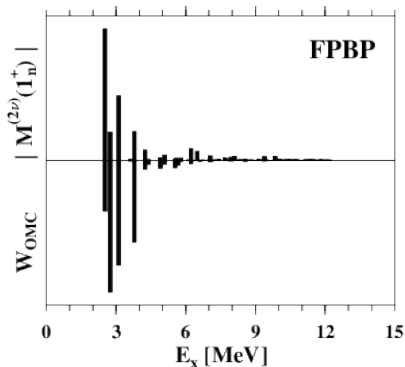
$$M(7^+) = -0.151$$

$$\text{TOTAL} = -1.723$$

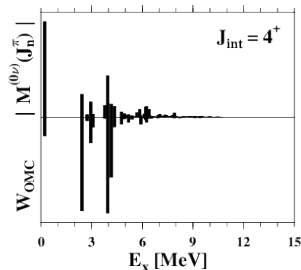
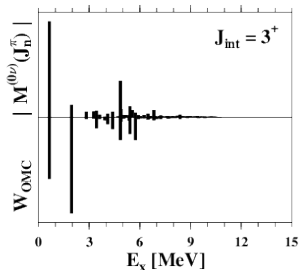
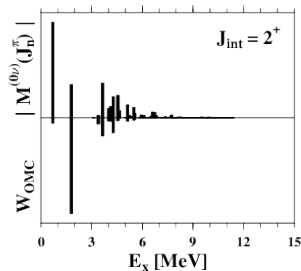
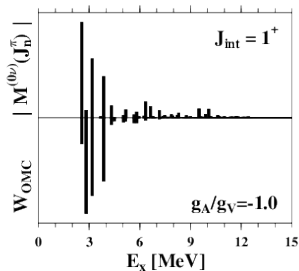
OMC as a powerful tool to probe the $2\nu\beta\beta$ decay

$2\nu\beta\beta$ decay of ^{48}Ca and OMC on ^{48}Ti

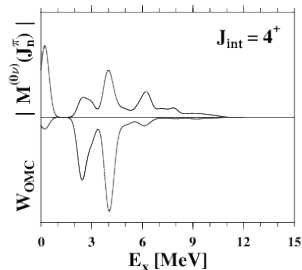
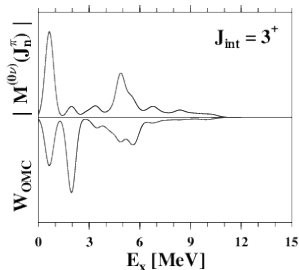
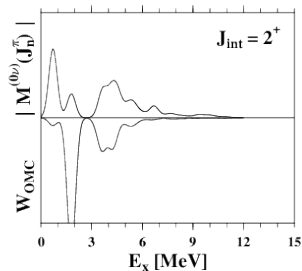
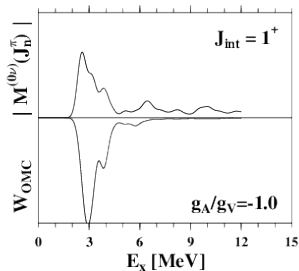
$$M_{\text{GT}}^{(2\nu)} = \sum_n \frac{M_n^{\text{L}}(1_n^+) M_n^{\text{R}}(1_n^+)}{D_n} = \sum_n M^{(2\nu)}(1_n^+),$$



OMC as a tool to probe the $0\nu\beta\beta$ decay (Case of ^{48}Ca)



As before but with distributions of gaussian shape



Recently: OMC in medium-heavy and heavy nuclei

There are and will be more data on:

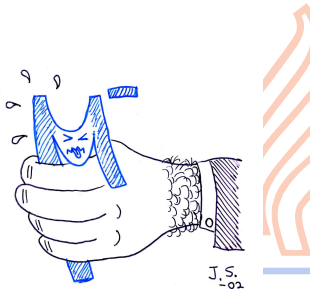
PARTIAL CAPTURE RATES of OMC

and in particular:

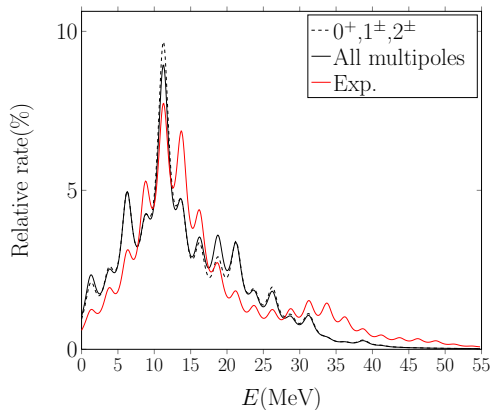
OMC STRENGTH DISTRIBUTIONS

Now we need:

Large-basis (with Wood-Saxon single-particle energies) no-core **pnQRPA** calculations with realistic effective two-nucleon interactions.



RECENT WORK on OMC strength distributions: OMC on ^{100}Mo



First evidence on OMC giant resonance:

L. Jokiniemi, J. S., H. Ejiri, I.H. Hashim, Pinning down the strength function for ordinary muon capture on ^{100}Mo , Phys. Lett. B 794 (2019) 143.

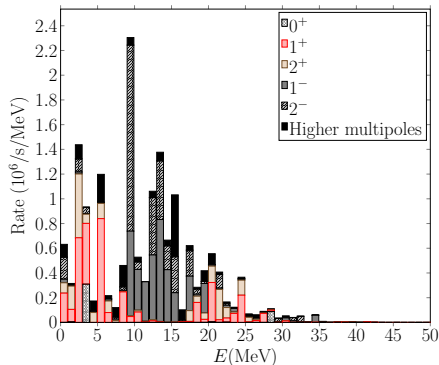
Experiments: MuSIC beam channel at RCNP (Research Center for Nuclear Physics), Osaka, Japan
D2 beam channel in J-PARC (Japan Proton Accelerator Research Complex) MLF, Ibaraki, Japan

Ongoing work: experiments at the μE4 beamline at PSI by The MONUMENT Collaboration

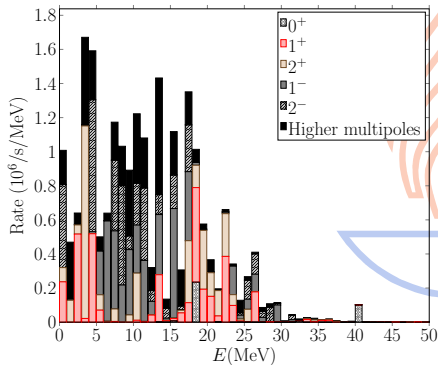


OMC strength functions: Transitions to various J^π states

^{76}Se



^{136}Ba



0^+ , 1^+ and 2^+ strength: low-lying = $0\hbar\omega$ excitations, high-lying (> 20 MeV) = $2\hbar\omega$ excitations

1^- and 2^- strength: low-lying "giant resonance" (5 – 15 MeV) = $1\hbar\omega$ excitations, high-lying

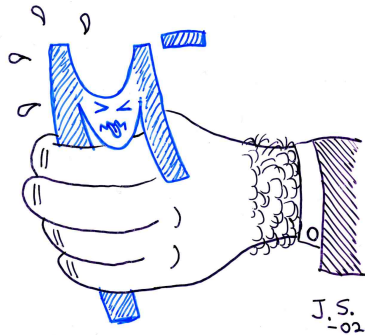
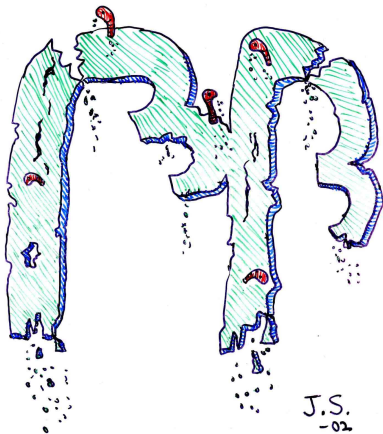
(> 25 MeV) = $3\hbar\omega$ excitations. The shape of the strength functions is not very sensitive to the values of g_A and g_p . (From: L. Jokiniemi and J. S., Muon-capture strength functions in intermediate nuclei of $0\nu\beta\beta$

decays, Phys. Rev. C 100 (2019) 014619)

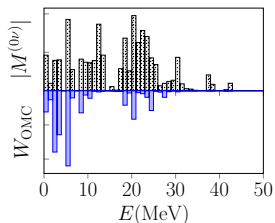
Recent work: OMC vs. $0\nu\beta\beta$ decay

Studied in: L. Jokiniemi and J. S., Comparative analysis of muon-capture and $0\nu\beta\beta$ -decay matrix elements, Phys. Rev. C 102 (2020) 024303

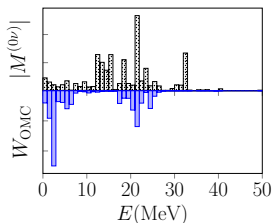
VS.



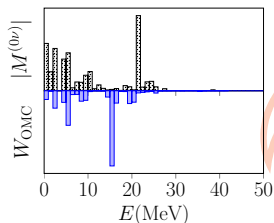
Comparative analysis between OMC rates and $0\nu\beta\beta$ NME for ^{76}Ge



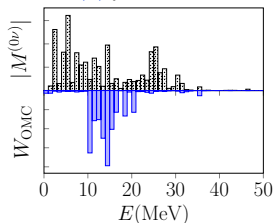
(a) $J^\pi = 1^+$



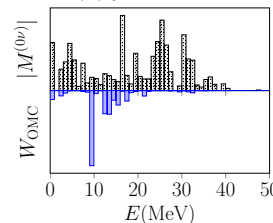
(b) $J^\pi = 2^+$



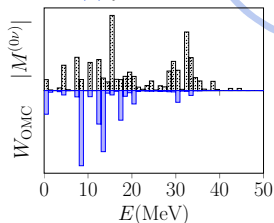
(c) $J^\pi = 3^+$



(d) $J^\pi = 1^-$

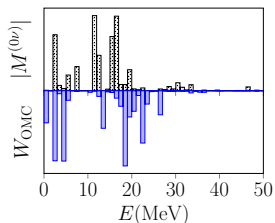


(e) $J^\pi = 2^-$

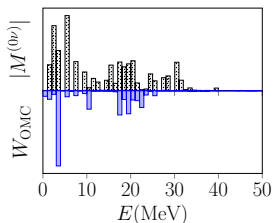


(f) $J^\pi = 3^-$

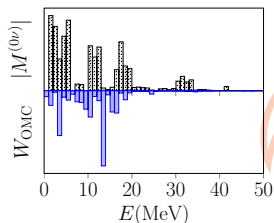
Comparative analysis between OMC rates and $0\nu\beta\beta$ NME for ^{136}Xe



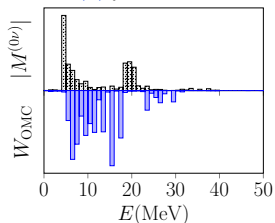
(a) $J^\pi = 1^+$



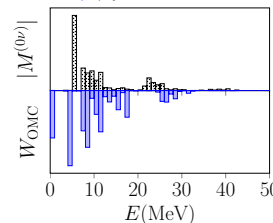
(b) $J^\pi = 2^+$



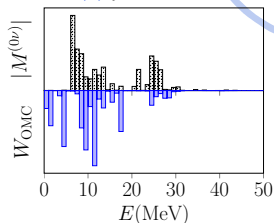
(c) $J^\pi = 3^+$



(d) $J^\pi = 1^-$

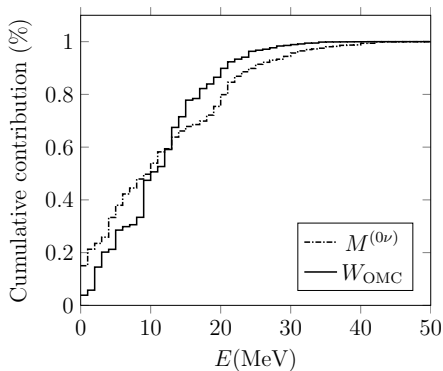


(e) $J^\pi = 2^-$

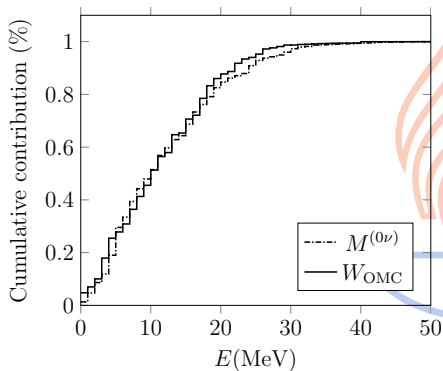


(f) $J^\pi = 3^-$

Cumulative relative (%) OMC rates and $0\nu\beta\beta$ NMEs



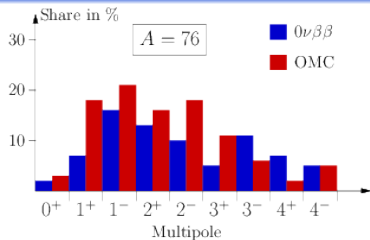
$A = 76$



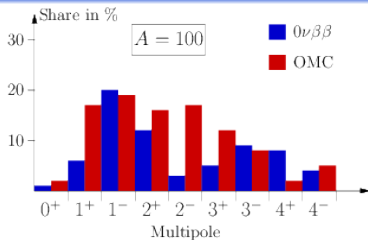
$A = 136$

From: L. Jokiniemi and J. S., Comparative analysis of muon-capture and $0\nu\beta\beta$ -decay matrix elements, Phys. Rev. C 102 (2020) 024303

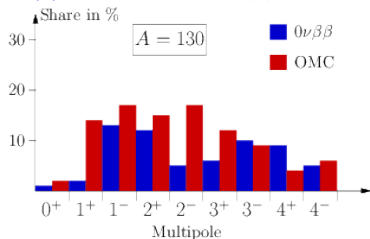
Comparison of the OMC and $0\nu\beta\beta$ multipole decompositions



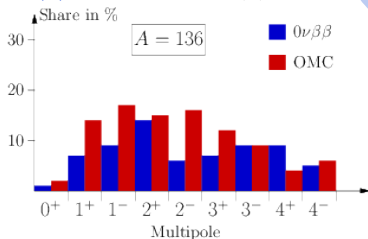
(a) OMC: 100%, $0\nu\beta\beta$: 76%



(b) OMC: 98%, $0\nu\beta\beta$: 68%



(c) OMC: 96%, $0\nu\beta\beta$: 63%



(d) OMC: 95%, $0\nu\beta\beta$: 67%

Recent and very recent work: OMC partial capture rates to individual final states

There are and will be more data on:

OMC CAPTURE RATES

to

INDIVIDUAL FINAL STATES

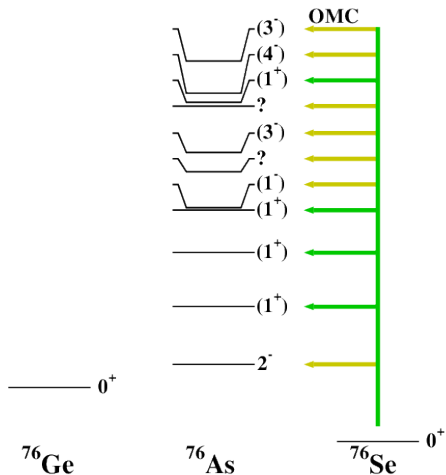
Now we can use:

pnQRPA theory, the **nuclear shell model** and
ab initio methods



OMC to individual J^π states

OMC on ^{76}Se :



OMC on ^{76}Se : Rates to states J^π in ^{76}As

below some 1 MeV: no-core

large-basis **pnQRPA calculation**

($g_V(0) = 1.0$, $g_A(0) = 0.8$, $g_P(0) = 7.0$)

J^π	Exp. (1/s)	Th. (1/s)
0^+	5120	414
1^+	218 240	236 595
1^-	31 360	28 991
2^+	120 960	114 016
2^-	145 920 + g.s.	177 802
3^+	60 160	55 355
3^-	53 120	34 836
4^+	-	2797
4^-	30 080	23 897

Data from: D. Zinatulina *et al.*, Phys. Rev. C 99 (2019) 024327

Calculation from: L. Jokiniemi and J.S., Phys. Rev. C 100 (2019) 014619

NEW: Add two-body meson-exchange currents

Quenching of the weak couplings by 2BC:

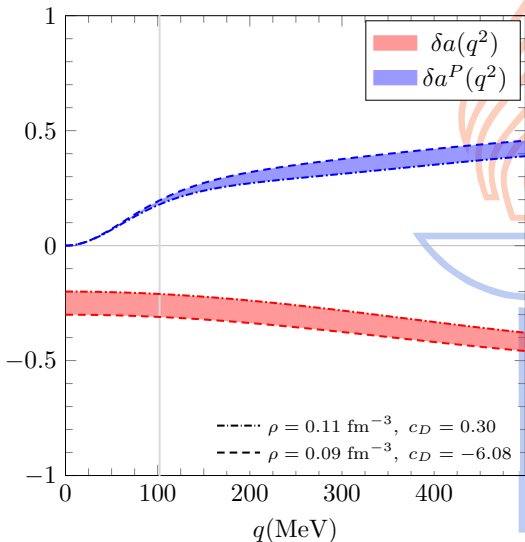
In addition to the **one-body** (weak nucleon) **current** (1BC) one can take into account the *meson exchanges* by adding (normal-ordered one-body part of) the **two-body current** (2BC) through the replacements:

$$g_A(q^2) \rightarrow (1 + \delta_a(q^2))g_A(q^2),$$

$$g_P(q^2) \rightarrow \left(1 - \frac{q^2 + m_\pi^2}{q^2} \delta_a^P(q^2)\right)g_P(q^2)$$

See: M. Hoferichter *et al.*, Phys. Rev. D 102 (2020) 074018

NOTE: Does not account for deficiencies in the many-body framework!



OMC on ^{12}C to individual J^π states in ^{12}B : 2BCs added

Shell-model calculated capture rates in units of 10^3 1/s, with $g_V(0) = 1.0$,
 $g_A(0) = 1.27$, $g_P(0)/g_A(0) = 6.8$ (PCAC, Goldberger-Treiman):

J^π	Exp.	Th.: 1BC	Th.: 1BC+2BC*
1_{gs}^+	$5.68^{+0.14}_{-0.23}$	6.48	3.98 – 4.45
2_1^+	$0.31^{+0.09}_{-0.07}$	0.42	0.30 – 0.32
2_2^+	$0.026^{+0.015}_{-0.011}$	0.011	0.008 – 0.009

* The spread comes from the spread in the assumed values of the EFT low-energy constants

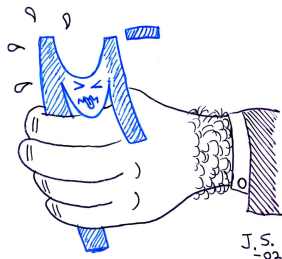
Data from: Y. Abe *et al.*, Phys. Rev. C 93 (2016) 054608

Nuclear shell-model calculation from: L. Jokiniemi, T. Miyagi, S. R. Stroberg, J. D. Holt, J. Kotila and J.S., Phys. Rev. C 107 (2023) 014327

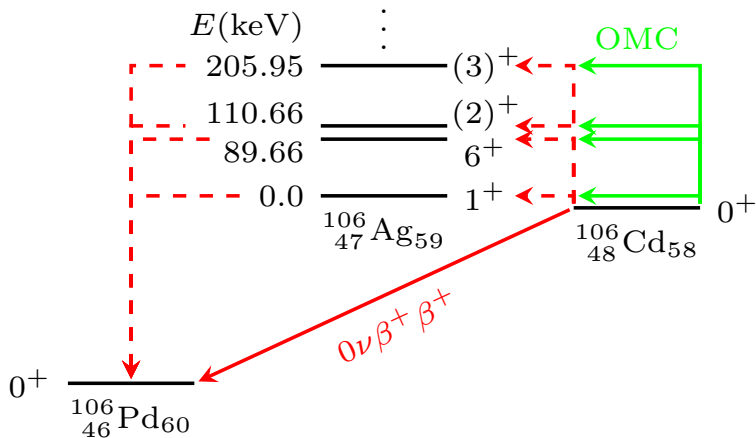
Recent work continues: OMC and double positron decays

NEW:

OMC probing the INITIAL BRANCH of DOUBLE BETA DECAY

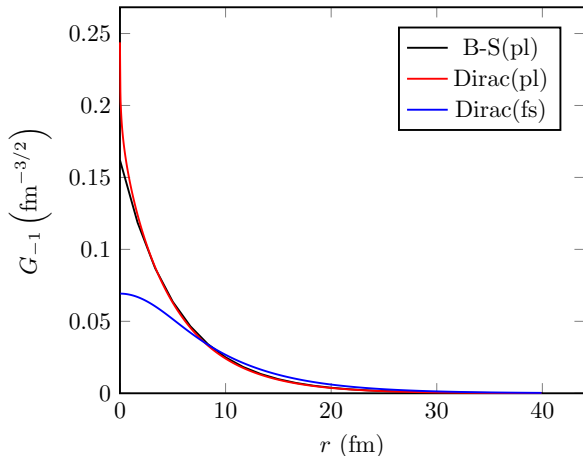


OMC and $0\nu\beta^+\beta^+$ decay of ^{106}Cd



L. Jokiniemi, J.S. and J. Kotila, Comparative Analysis of nuclear matrix elements of $0\nu\beta^+\beta^+$ decay and muon capture in ^{106}Cd , *Front. Phys.* 9 (2021) 652536

OMC on ^{106}Cd : Muon orbital wave function



B-S:
Bethe-Salpeter
point-like
nucleus
approximation;

Dirac:
Numerical
solution of the
Dirac equation;

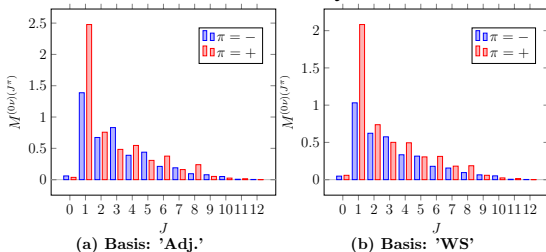
pl: point-like
nucleus;

fs: finite-size
nucleus.

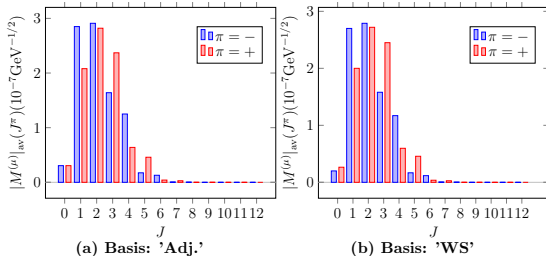
$$\text{B-S} : \psi_{\mu}(\mathbf{r}) = \begin{pmatrix} -iF_{-1}\chi_{\mu} \\ G_{-1}\chi_{\mu} \end{pmatrix}; \quad F_{-1} = -\sqrt{\frac{1-\gamma}{1+\gamma}}G_{-1}; \quad G_{-1} = \left(\frac{2Z}{a_0}\right)^{3/2} \sqrt{\frac{1+\gamma}{2\Gamma(2\gamma+1)}} \left(\frac{2Zr}{a_0}\right)^{\gamma-1} e^{-Zr/a_0}$$

^{106}Cd : OMC and $0\nu\beta\beta$ multipole decompositions

$0\nu\beta\beta$ decay



OMC



Donald Henry Rumsfeld about “atomic effects” in CBS-NEWS:

Direct quotation:

'... there are **known knowns**. These are things we know that we know. There are **known unknowns**, that is to say, there are things that we now know we don't know. But there are also **unknown unknowns**, there are things we do not know we don't know.'