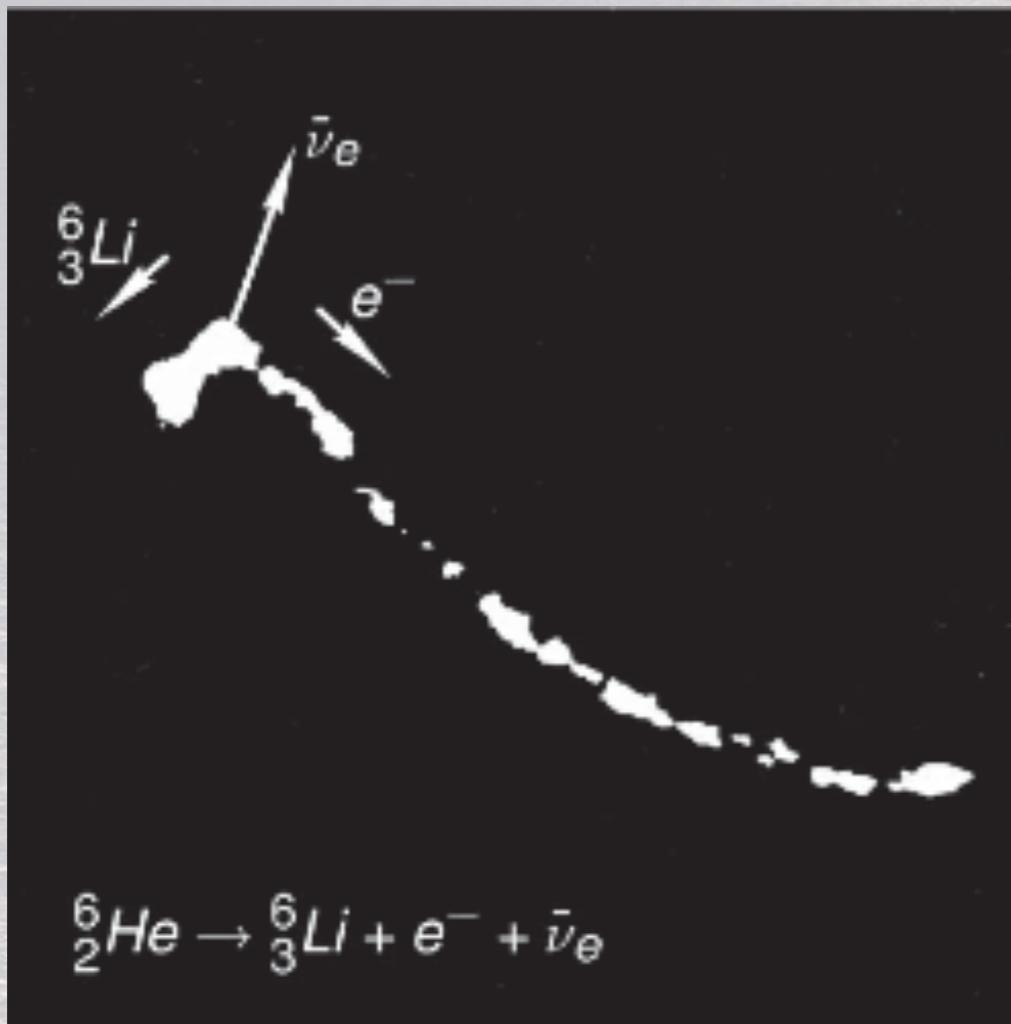


*First cloud chamber image of  $\beta$  decay  
J. Csikai and A. Szalay, Budapest, fall 1956*



## *Introduction to Experimental Neutrino Physics*

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### **Majorana School**

**Modica, 2023**

**Marco Pallavicini**  
**Università di Genova and INFN**



# Outline (I)

- Quick review of relevant neutrino physics
  - Neutrinos in the SM and low energy Fermi effective theory
  - Charged and Neutral currents
  - Interaction with Leptons and Hadrons
  - Mixing and oscillation; neutrino propagation through matter
  - Dirac and Majorana mass terms
- Neutrino phenomenology from 0 eV up to PeV scale
  - Zero threshold processes
  - Low energy nuclear processes
  - Scattering on electrons
  - Elastic, quasi elastic, resonant, deep inelastic scattering on nucleon and nuclei



# Outline (II)

- Experimental techniques

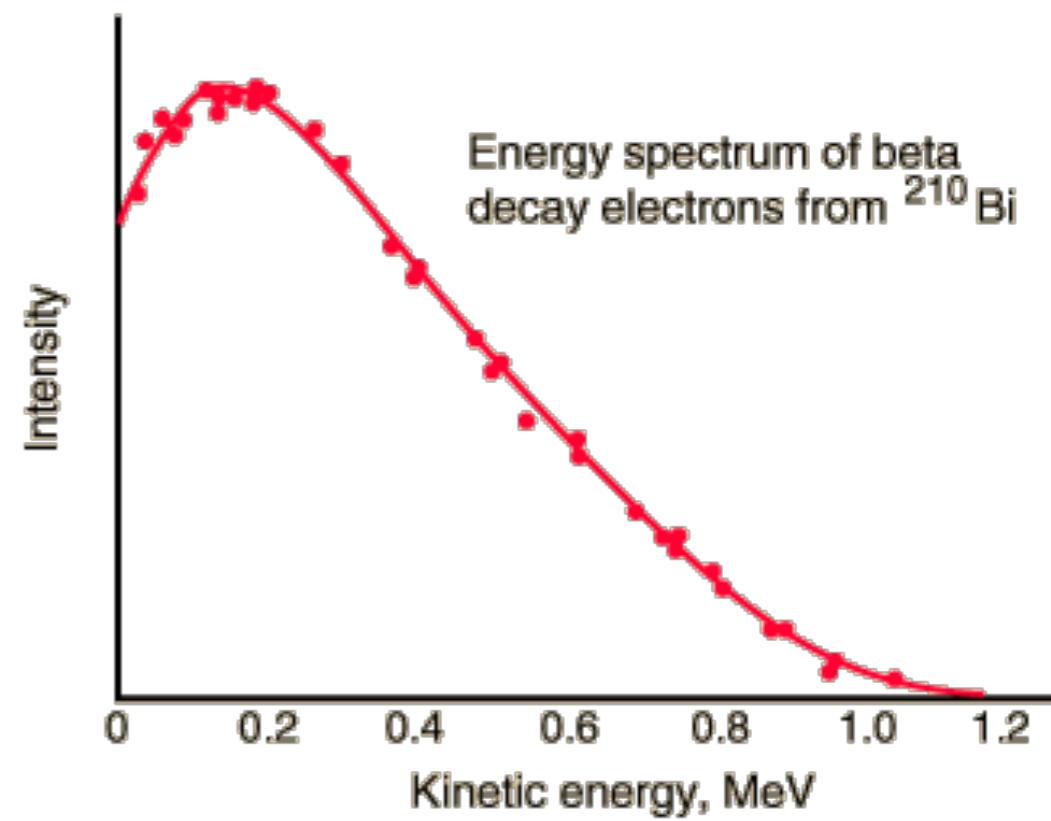
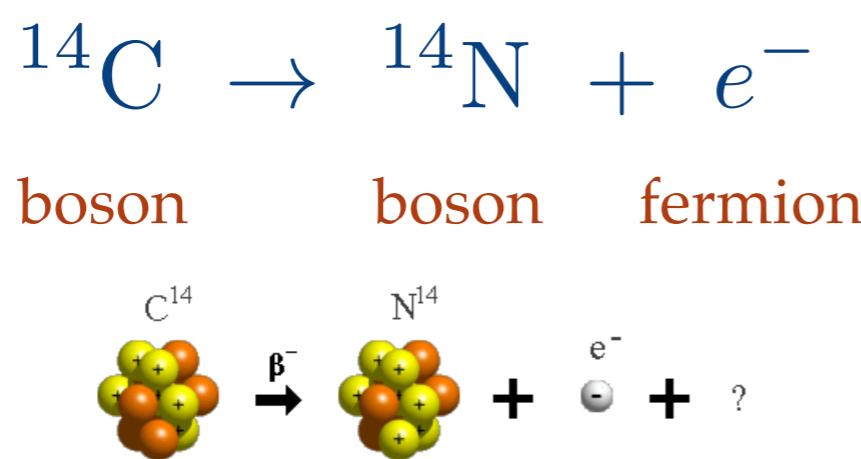
- Radiochemistry
- Water/Ice (and D<sub>2</sub>O) Cherenkov detectors
- Organic scintillators
- Sampling calorimeters
- LAr
- Accelerator experiments

- The list of items shown before clearly exceeds what may be discussed thoroughly in only 5 hours.
- Therefore:
  - I assume you are somewhat familiar with basic neutrino physics and the basics of the Standard Model. I hope the first part is mostly a recall of known things.
    - I will focus on key points or on some points often mis-understood
  - I will fly quickly over some of the slides: they are meant to be just a reference for home work
  - If I go too quick, you complain and we focus on fewer topics

# A touch of history

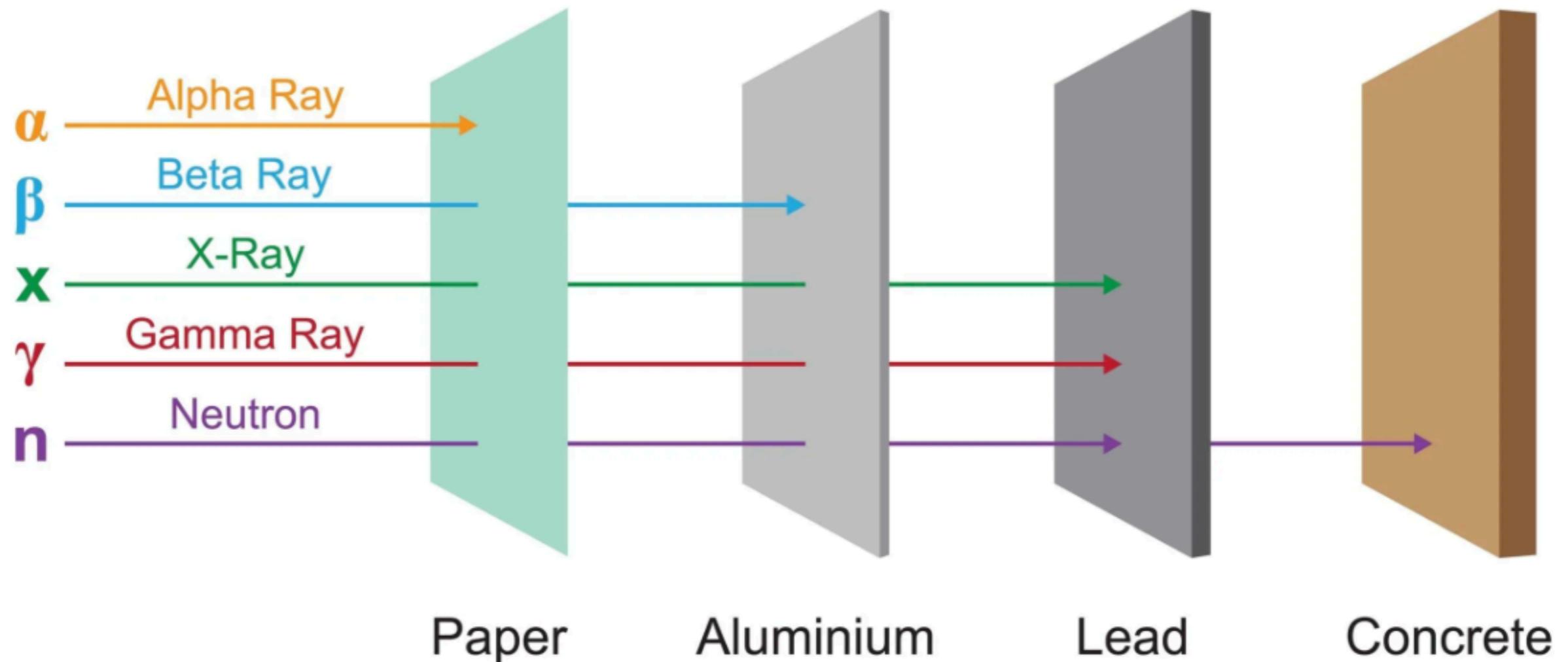
- Indirect evidence of neutrinos dates back to *early discovery of radioactivity*

- Becquerel (1896) discovers  $\beta$  radioactivity, i.e. the spontaneous emission of an electron off an atomic nucleus [Rutherford, 1899].
- Several experiments in the period 1911-1927 [O. Hahn, L. Meitner, Chadwick, Ellis-Wooster] prove that the  $e^-$  spectrum is continuous, contradicting two-body kinematics.
  - N. Bohr dares saying: “*Maybe in  $\beta$  decays energy is conserved only on average*”
- Even worse, the  $\beta$  decay, e.g., of  $^{14}\text{C}$  (and of all nuclei with an even number of nucleons) violates statistics, if the final state is made of a single  $e^-$

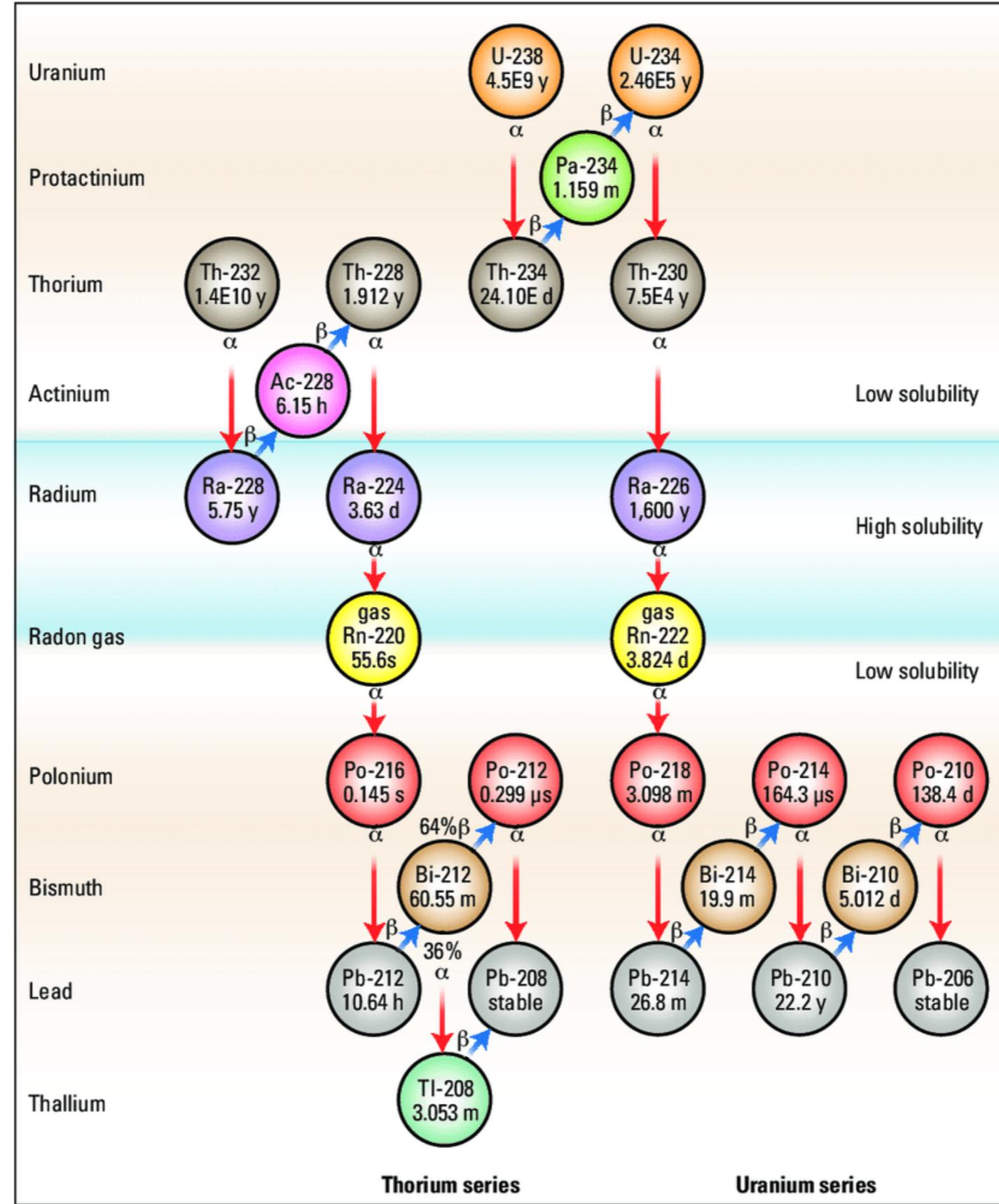


# Avoiding historical miopia

- The problem WAS difficult !



# The problem WAS difficult



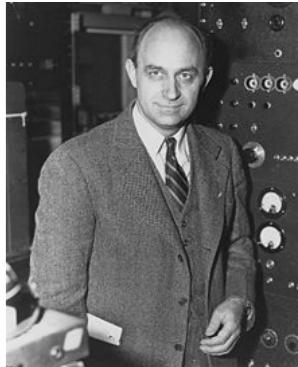
- Both problems are solved by a single idea: **a three-body final state** obtained by adding a **light neutral spin  $\frac{1}{2}$  particle**

- Pauli letter, 1930

W. Pauli



E. Fermi



- The discovery of the **neutron** (1932, Chadwick) clarifies nuclear structure:
  - The nucleus is made of protons and neutrons (Heisenberg model, 1932-1933)
  - No electrons are within the nucleus
  - The neutrons are not the neutrinos (neutrons are heavy and strong interacting)

- These ideas, in the hands of **Enrico Fermi**, bring to the first “attempt” to describe weak interactions:
  - Many breakthroughs in a single paper:
    - It is the first **Quantum Field Theory beyond QED**
    - Neutrinos and electrons **are not in the nucleus**, but are **created** by the interaction
    - Explains the  $Q^5$  behaviour of some  $\beta$  decays life-times

ANNO IV - VOL. II - N. 12

QUINDICINALE

31 DICEMBRE 1933 - XII

## LA RICERCA SCIENTIFICA

ED IL PROGRESSO TECNICO NELL'ECONOMIA NAZIONALE

Tentativo di una teoria dell'emissione  
dei raggi “beta”

Note del prof. ENRICO FERMI

Riassunto: Teoria della emissione dei raggi  $\beta$  delle sostanze radioattive, fondata sull'ipotesi che gli elettroni emessi dai nuclei non esistano prima della disintegrazione ma vengano formati, insieme ad un neutrino, in modo analogo alla formazione di un quanto di luce che accompagna un salto quantico di un atomo. Confronto della teoria con l'esperienza.

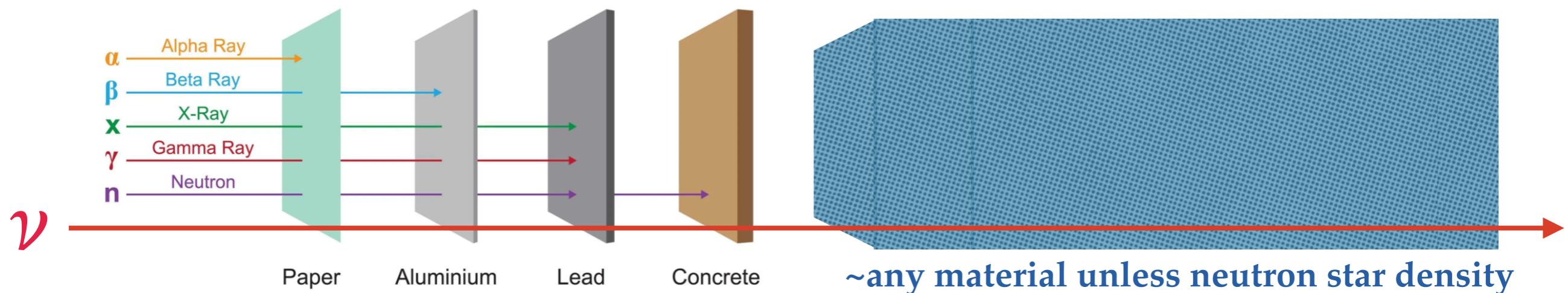
# Fermi theory

- Fermi theory is a blessing which gives the “desperate remedy” a convincing theoretical framework
  - But it almost killed neutrino physics at its infancy
  - Bethe and others compute the neutrino-matter cross sections and the result is despairing
    - $\sim 10^{-42} - 10^{-44} \text{ cm}^2 @ 1 \text{ MeV}$

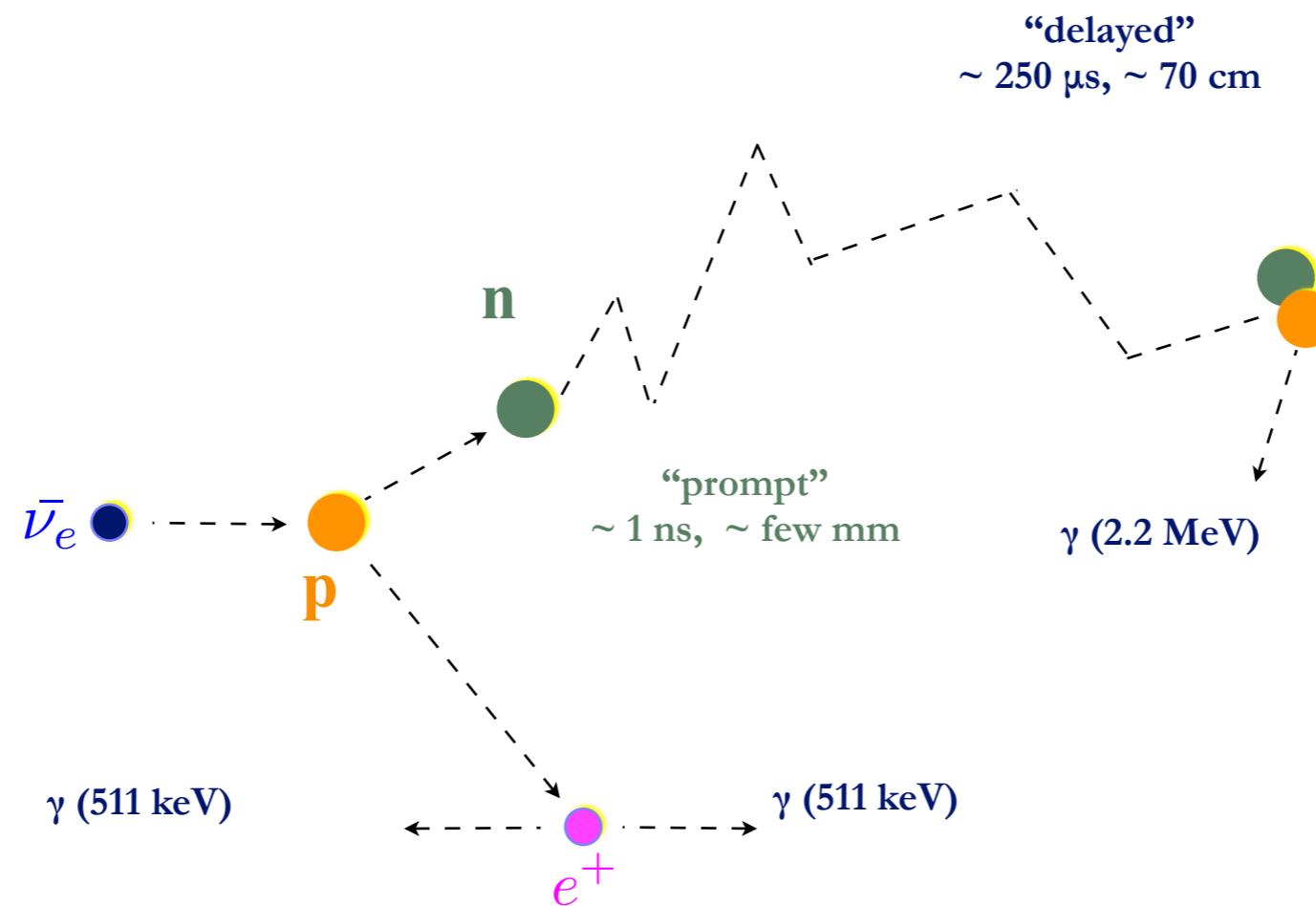
## MEAN FREE PATH IN WATER



$$\lambda = \frac{1}{n\sigma} \simeq \frac{1}{6 \cdot 10^{23} \cdot 10^{-42}} = 1.7 \cdot 10^{18} \text{ cm} = 1.7 \text{ ly}$$



- Historically and physically, is a key process for neutrino physics
  - “The golden channel” for anti-neutrino detection at low energy
    - Large cross section, clean signature of final state
  - First detection by Reines and Cowan was done using this technique





# First detection of (anti)-neutrinos (I)

- Key point:  $\nu$ -matter cross sections are (always) small
  - To detect some  $\nu$ s you need a **huge integrated luminosity**, which is obtained with large **detector masses**, very large  $\nu$  fluxes, and **patience**.
- $\nu$  detection was at first made possible by the development of **fission reactors**
  - **Reines and Cowan, 1956** (after several attempts, including the “idea” to use atomic bombs explosions!)
  - Each U fission yields 200 MeV on average, and 6  $\nu_e$ 
    - Flux:  $\sim 2 \cdot 10^{20} \text{ s}^{-1} \text{ GW}^{-1}$ , isotropic,  $\langle E_\nu \rangle \approx 0.5 \text{ MeV}$
    - About  $\sim 4 \cdot 10^{12} \text{ s}^{-1} \text{ cm}^{-2}$  for 1 GW reactor at **20 m from the core**
    - For comparison **solar neutrinos**:  $\sim 6.5 \cdot 10^{10} \text{ s}^{-1} \text{ cm}^{-2}$  on Earth

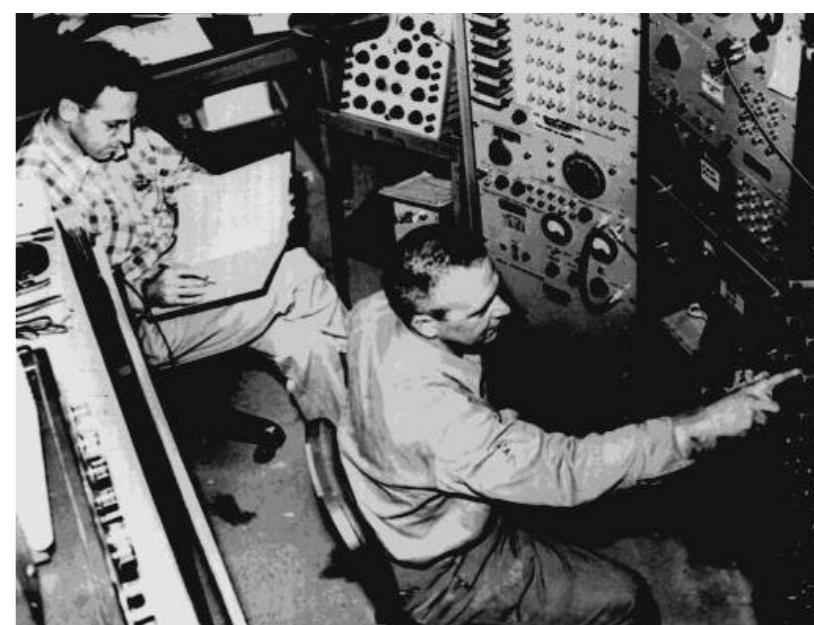
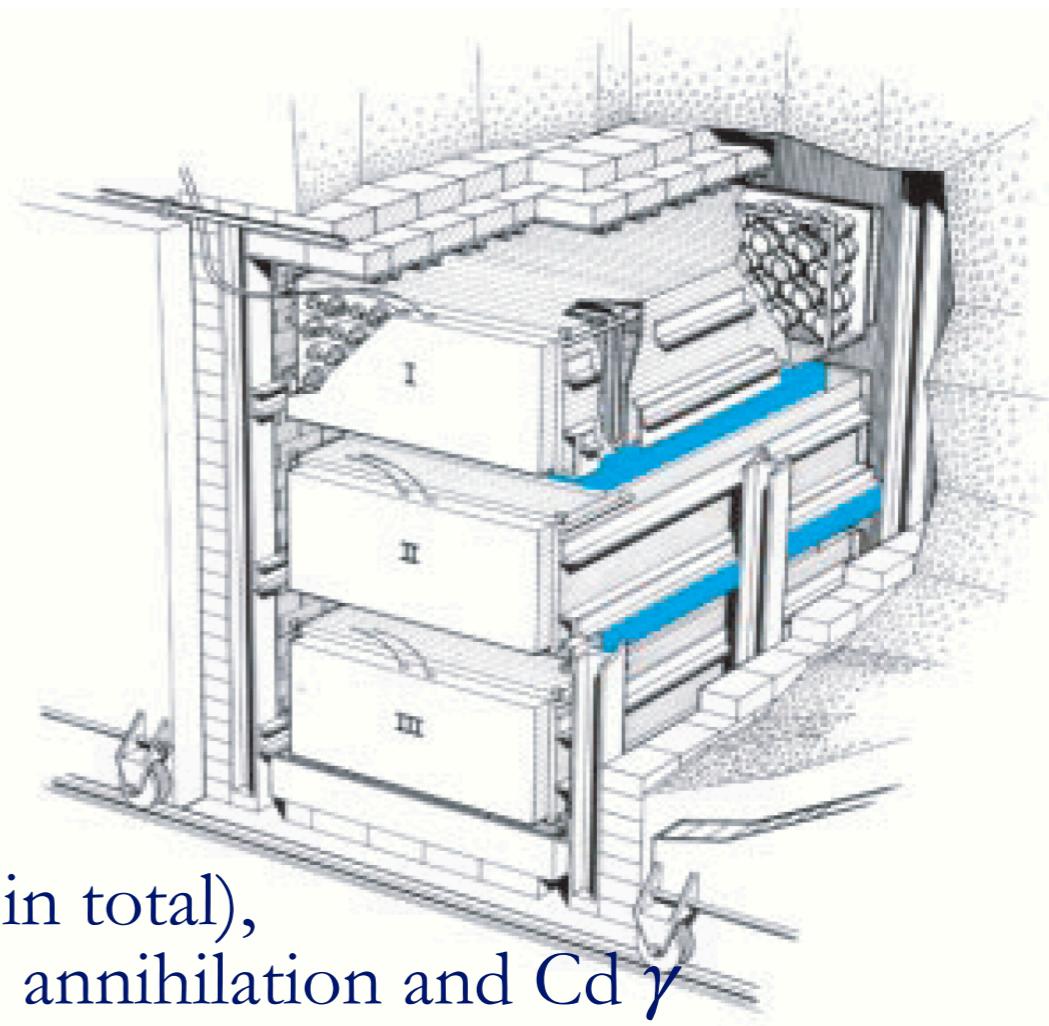


# First detection of (anti)-neutrinos (II)

- A first conceptual drawing to detect  $\nu$  from nuclear explosions in 1952. Never done.
- First detection at Hanford fission reactor in 1953.
  - **300 lit of liquid scintillator observed by photomultipliers**
    - At that time, a record. Largest detector before was about 10 litres.
    - Neutrons and photons from reactor successfully shielded by lead and borated-paraffin
    - **Lesson learned: cosmic rays make a substantial background**, 10 times more than signal.
      - *'The lesson of the work was clear: It is easy to shield out the noise men make, but impossible to shut out the cosmos. Neutrons and gamma rays from the reactor, which we had feared most, were stopped in our thick walls of paraffin, borax and lead, but the cosmic ray mesons penetrated gleefully, generating backgrounds in our equipment as they passed or stopped in it. We did record neutrino-like signals, but the cosmic rays with their neutron secondaries generated in our shields were 10 times more abundant than were the neutrino signals. We felt we had the neutrino by the cottails, but our evidence would not stand up in count.'*
- **No surprise:** today most **low energy  $\nu$  experiments are underground**
  - The group had to develop **technologies** that are still crucial today
    - Improve quality and stability of liquid scintillator and large scale production
    - **Low radioactivity** components, shielding and **tagging of external radiation**
    - **Electronics to detect delayed coincidence**

- Conclusive result at Savannah River in 1956

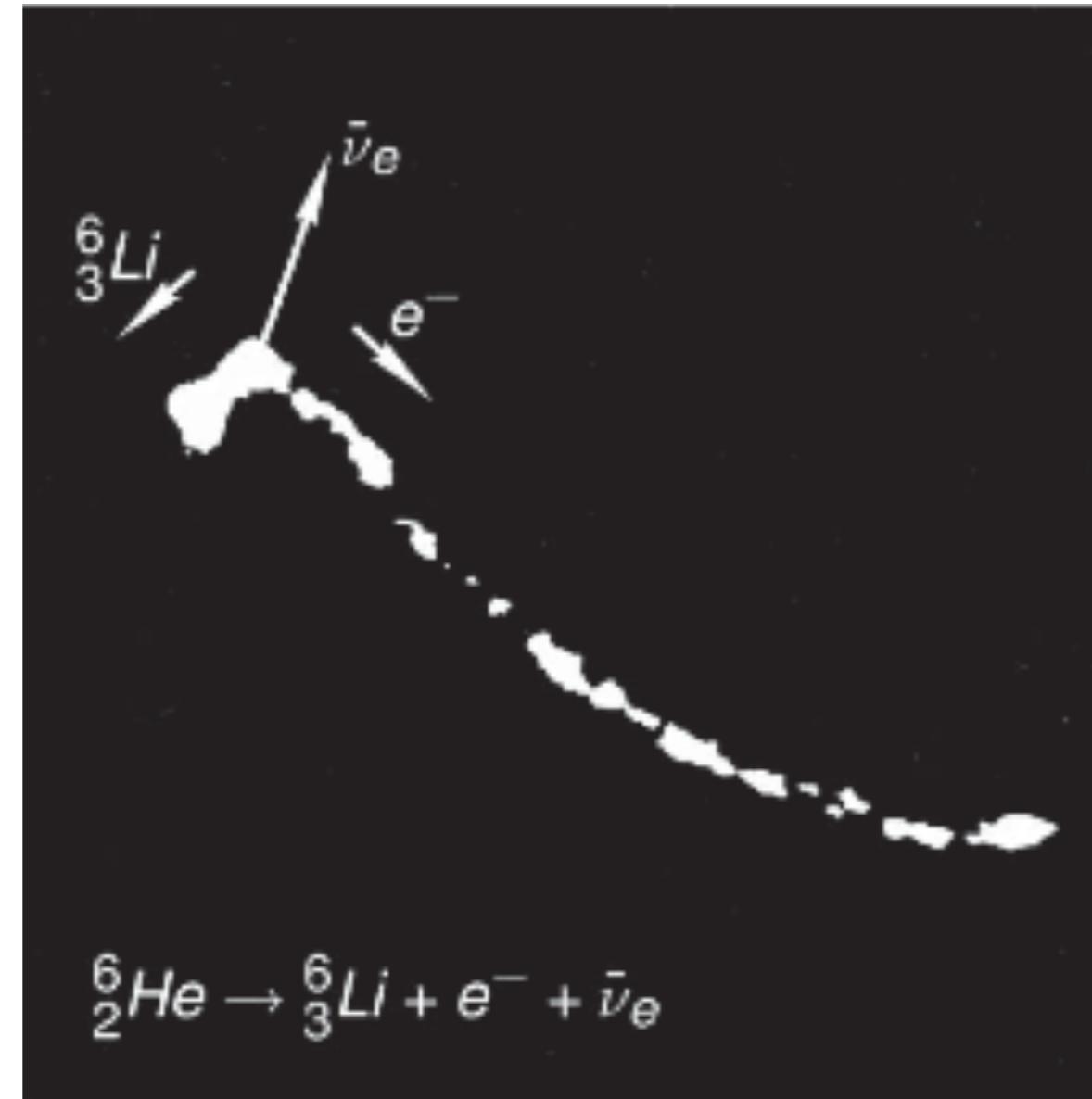
- Two plastic tanks filled with water (blue)
  - ⇒  $\nu$  target (protons)
- Cadmium dissolved in water
  - ⇒ Cd has a **huge neutron capture cross section** and emits high energy  $\gamma$
- Between the water tanks, 3 large liquid scintillators detectors (I, II e III) (4200 litres in total), each equipped with 110 PMTs to detect  $e^+$  annihilation and Cd  $\gamma$
- Each  $\nu$  event in the water produces:
  - A positron, whose annihilations yields two back-to-back  $\gamma$ s ⇒ fast coincidence in tanks I and II.
  - A neutron, captured by Cd ⇒ again signals in tanks I or II, delayed by 3-10  $\mu s$ .
  - No signal in tank III because Tank II is a good shield



- First visual image of a  $\beta$  decay ( ${}^6\text{He}$  in a cloud chamber)
- Clearly showing that it is a 3-body final state
- Obtained in Hungary in 1956, a few weeks before Soviet invasion, which stopped completely this activity

J. Csikai  
A. Szalay

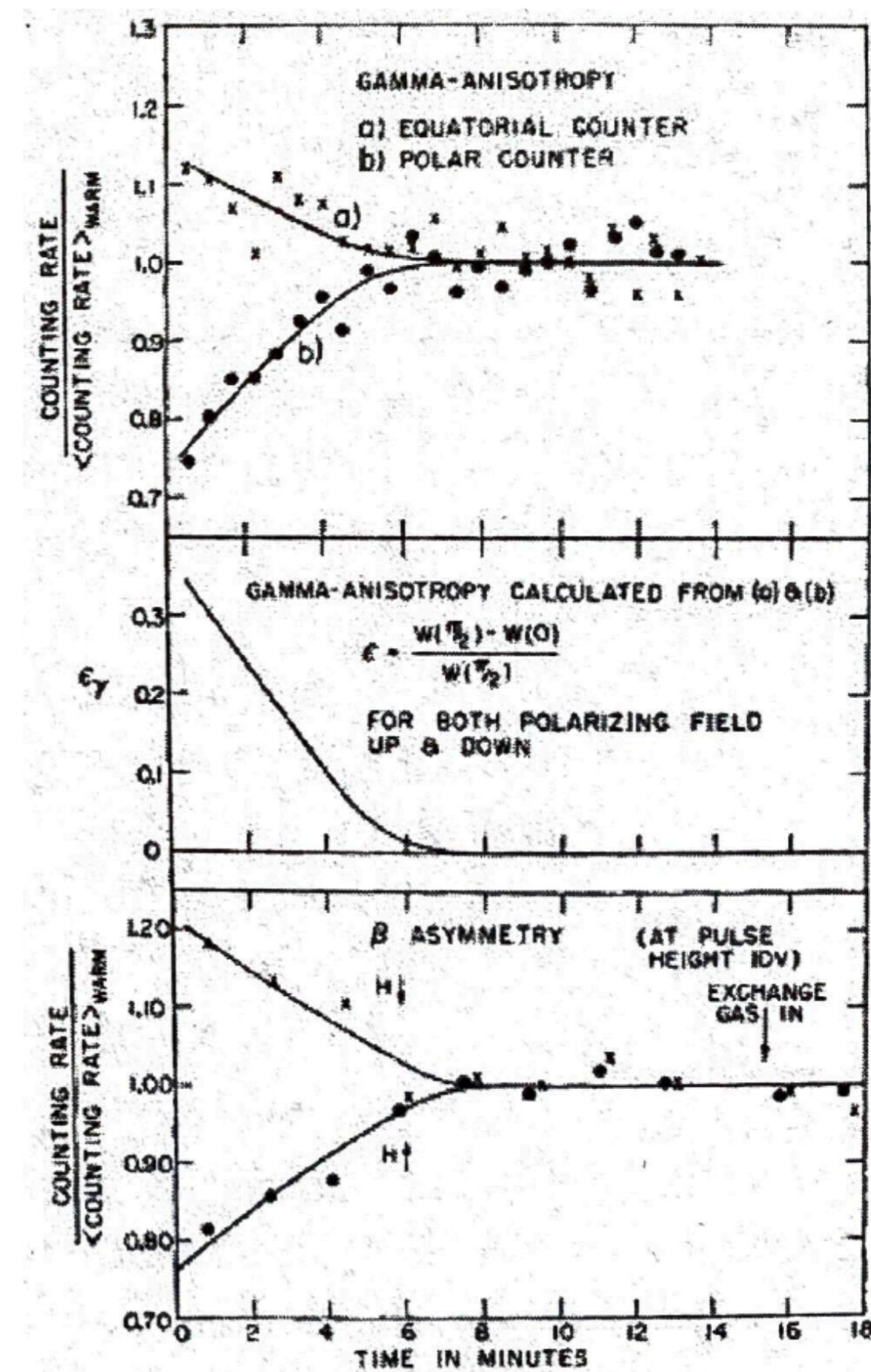
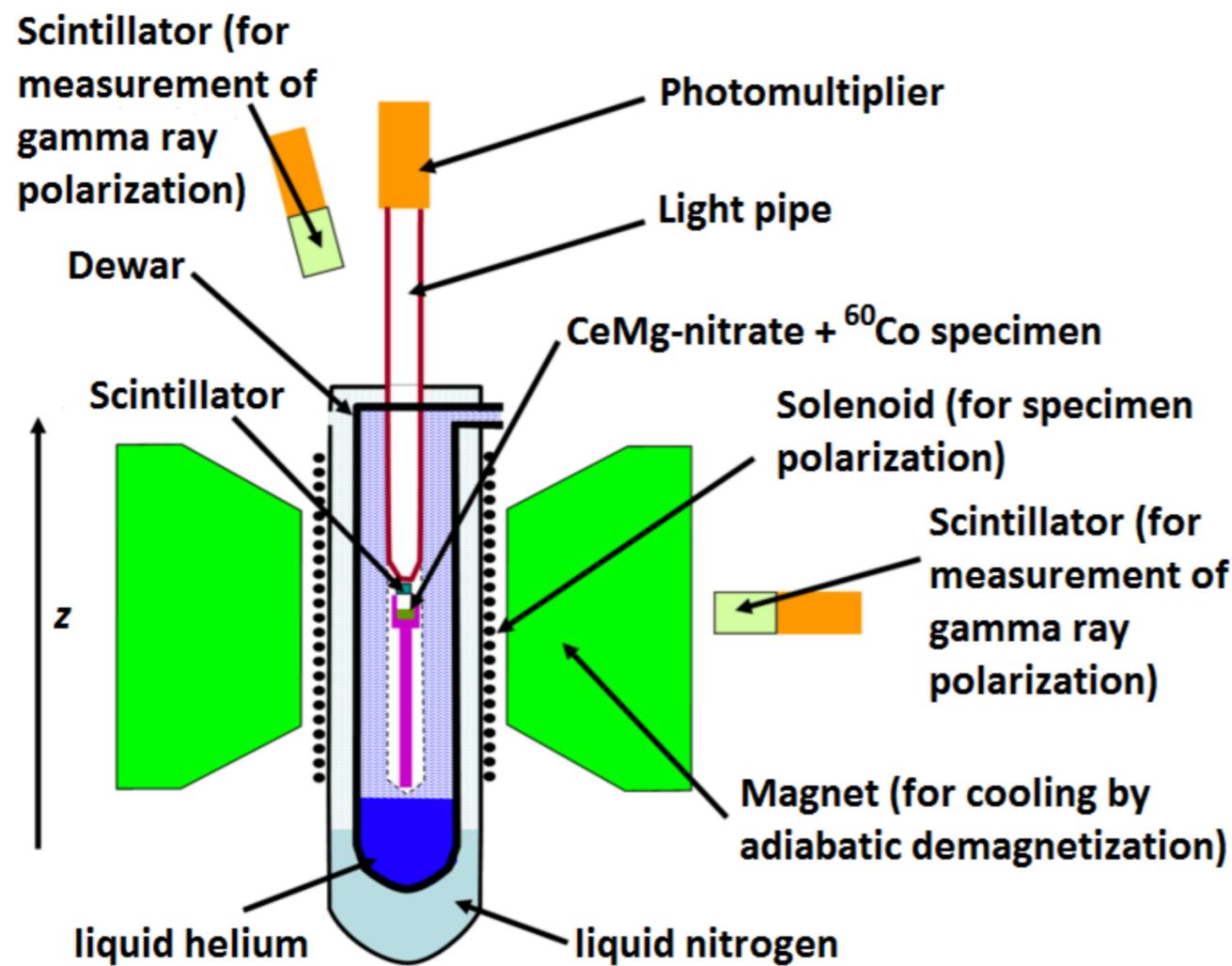
C. Budapest, fall 1956



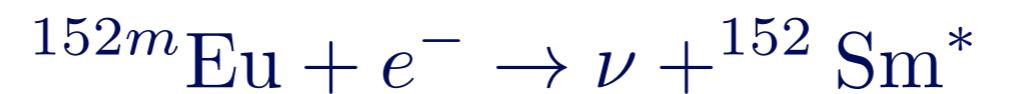
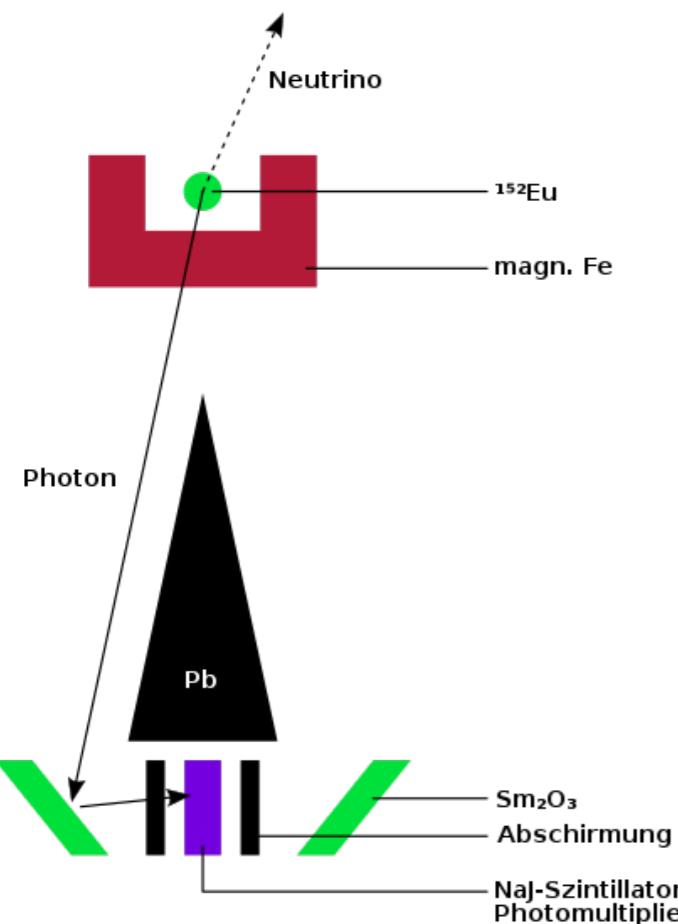
# Discovery of parity violation (1956)

- C.S. Wu (1956)

- She measures the angular distribution of  $e^-$  emitted in ultra-cold polarised  $^{60}\text{Co}$   $\beta$ -decays and discovers **parity violation**
  - She never got the Nobel prize she deserved



- Goldhaber, Grodzinns and Sunyar (1958)
  - $\nu$  emitted in  $\beta$ -decay have **fixed helicity**
  - A beautiful trick transfers helicity to a detectable  $\gamma$



$$0^- + \frac{1}{2} = \frac{1}{2} + 1^-$$

$$\tau = 3 \cdot 10^{-14} \text{ s}$$



$$1^- = 0^+ + 1^-$$

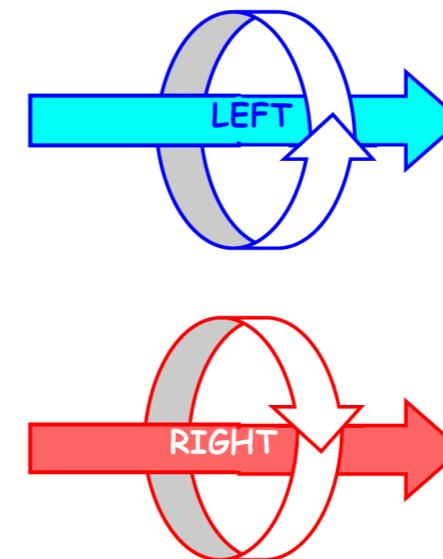
$\text{p } \uparrow\downarrow \quad \uparrow\downarrow \text{ p}$



### 3 crucial points:

- neutrino helicity is transferred to photon helicity
- neutrino recoil is the same as photon recoil
- Sm-152 decays fast, it is not disturbed by crystal

- NEUTRINOS
  - Are (always) created left-handed
- (So called) ANTI-NEUTRINOS
  - Are (always) created right-handed
- If  $m=0$ , helicity is conserved. No problem.
- If  $m \neq 0$ , a question arises: what happens if I run faster?  
[i.e. if I make a Lorentz boost that changes the direction of motion?]
  - Answer connected to nature of mass term and to whether neutrinos are their own anti-particle
  - CASE 1: boosting a left-handed neutrino, I find the same “anti-neutrino” emitted by beta decay
    - The word anti-neutrino is mis-leading. They are just two helicity states of the same particle
  - CASE 2: boosting a left-handed neutrino, I find a right-handed neutrino DIFFERENT from a right-handed anti-neutrino
    - In this case neutrinos and anti-neutrinos are distinguishable and the wrong helicity components are completely “sterile”, i.e. have no interactions with the Standard Model





# Recalling SPINORs properties

- A spinor is a 2-component quantity transforming under Lorentz transformations  $\Lambda$  as:

$$\xi = \begin{pmatrix} \xi_1 \\ \xi_2 \end{pmatrix} \rightarrow L(\Lambda)\xi$$

- Where  $L(\Lambda) \in \text{SL}(2\mathbb{C})$  is a complex 2x2 matrix defined as:

$$\frac{1}{2} \text{Tr} (\bar{\sigma}_\mu L \sigma_\nu L^\dagger) = 2g_{\mu\rho} \Lambda_\nu^\rho \quad \text{2 SOLUTIONS for each } \Lambda$$

With  $\sigma_0 = \bar{\sigma}_0 = I$  and  $\sigma_i = -\bar{\sigma}_i$  are Pauli matrices.

- A Dirac spinor is a 4-component quantity transforming under Lorentz transformations  $\Lambda$  as:

$$\psi(x) = \begin{pmatrix} \varphi_1 \\ \varphi_2 \\ \chi_1 \\ \chi_2 \end{pmatrix} \rightarrow L(\Lambda) \psi(\Lambda^{-1}x)$$

where  $L(\Lambda) = e^{(\frac{1}{2}\omega_{\mu\nu}\sigma^{\mu\nu})}$   $\sigma^{\mu\nu} = \frac{1}{2}[\gamma^\mu, \gamma^\nu]$

- It can be proved that, given a Dirac spinor, the following bilinears have the transformation properties below:

$$\bar{\psi}\psi$$

SCALAR

$$\bar{\psi}\gamma^5\psi$$

PSEUDO SCALAR

$$\bar{\psi}\gamma^\mu\psi$$

VECTOR

$$\bar{\psi}\gamma^5\gamma^\mu\psi$$

PSEUDO VECTOR (AXIAL VECTOR)

$$\bar{\psi}\sigma^{\mu\nu}\psi$$

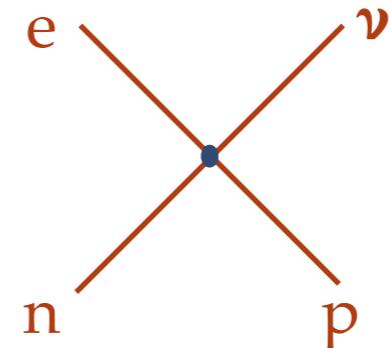
TENSOR

where:

$$\bar{\psi} = \psi^\dagger \gamma^0$$

- Assuming **point-like 4-fermion interaction** the Fermi Hamiltonian reads:

$$H_W = \frac{G_F}{\sqrt{2}} \hat{J}_\mu^\dagger \hat{J}^\mu$$



LOWEST ORDER DIAGRAM  
FOR NEUTRON DECAY IN  
FERMI THEORY

- Original Fermi theory (1934):  $\hat{J}^\mu = \bar{n}\gamma^\mu p + \bar{\nu}\gamma^\mu e$  pure "VECTOR" current
- Gell-Mann - Feynman V-A (1958):  $\hat{J}^\mu = \bar{n}\gamma^\mu(g_V + g_A\gamma^5)p + \bar{\nu}\gamma^\mu(1 - \gamma^5)e$   
*Phys. Rev.* 109 (1958) 193-198  
Developed after discovery of PARITY violation "VECTOR" - "AXIAL" current

- Note 1: the ratio of axial/vector couplings to **leptons** is fixed by the theory
- Note 2: **that of hadrons is NOT**
  - We see later that the coupling to quarks is the same as that of leptons, **but strong interactions have substantial effects**, especially **on the axial coupling**.

- Gell-Mann and Feynman introduce two key ideas:

- The weak current has a V-A structure
- The interaction is **universal**, i.e. it explains leptons and hadrons weak interactions, assuming that all hadrons are coupled to weak interactions.
- Many results: chiefly
  - $\mu$  lifetime is calculated at % level

$$\tau = \frac{1}{\Gamma} = \frac{G_F^2 m_\mu^5}{192\pi^3}$$

$$H_W = \frac{G_F}{\sqrt{2}} \bar{\nu}_\mu \gamma^\alpha (1 - \gamma^5) \mu^- \bar{e} \gamma_\alpha (1 - \gamma^5) \nu_e$$

$$\tau = \frac{1}{\Gamma} = \frac{G_F^2 m_\mu^5}{192\pi^3} \left[ 1 - \frac{\alpha}{2\pi} \left( \pi^2 - \frac{25}{4} \right) \right]$$

WITH LEADING  
QED  
CORRECTIONS

- Weak interactions are responsible also of processes not involving neutrinos:
  - The fact that  $K^+$  decays both in 2 and 3 pions (violating parity) is explained

$$K^+ \rightarrow \pi^+ \pi^+ \pi^- \quad \text{BR } 5.6\% \quad \text{(Phase space is small)}$$

$$K^+ \rightarrow \pi^+ \pi^0 \quad \text{BR } 20.7\%$$



# V-A structure

- The **V-A structure** of weak interactions is based on solid experimental evidence
  - For example a scalar or pseudo-scalar interaction terms would say:

$$\frac{\Gamma(\pi^+ \rightarrow e^+ \nu_e)}{\Gamma(\pi^+ \rightarrow \mu^+ \nu_\mu)} = 5.5 \quad \text{WRONG!}$$

- **V-A predicts:**

THEORY (tree level)

$$\frac{\Gamma(\pi^+ \rightarrow e^+ \nu_e)}{\Gamma(\pi^+ \rightarrow \mu^+ \nu_\mu)} = \frac{m_e^2(m_\pi^2 - m_e^2)^2}{m_\mu^2(m_\pi^2 - m_\mu^2)^2} = 1.26 \cdot 10^{-4}$$

EXPERIMENT

PDG 2020

$$\frac{\Gamma(\pi^+ \rightarrow e^+ \nu_e)}{\Gamma(\pi^+ \rightarrow \mu^+ \nu_\mu)} = 1.230 \pm 0.004 \cdot 10^{-4}$$

- **TWIST** experiment has made a high precision test with **10<sup>10</sup> polarised muons**

- The Michel parameters parameterise the general combination of the possible S+P+V +A+T interaction terms.
- The Michel parameters  $\varrho$  and  $\delta$ , which for a pure V – A interaction should be 3/4, are measured to be:
  - $\varrho = 0.74977 \pm 0.00012(\text{stat.}) \pm 0.00023(\text{syst.})$
  - $\delta = 0.75049 \pm 0.00021(\text{stat.}) \pm 0.00027(\text{syst.})$

Phys. Rev. D 85, 092013 (2012)



# Hadronic weak decays

- Weak decays of hadrons are affected by strong interactions. We can classify the main hadronic weak matrix elements as:
  - Leptonic decays:**  $\langle 0 | J_\mu^{(h)} | h \rangle$  e.g.  $\pi^+ \rightarrow \mu^+ \nu_\mu$
  - Semi-leptonic decays:**  $\langle h' | J_\mu^{(h)} | h \rangle$  e.g. nuclear  $\beta$  decay,  $\Lambda \rightarrow p e^- \bar{\nu}_e$
  - Semi-leptonic with **two hadrons in FS**:  $\langle h' h'' | J_\mu^{(h)} | h \rangle$  e.g.  $K^- \rightarrow \pi^+ \pi^- e^- \bar{\nu}_e$
  - Meson oscillations:**  $\langle h' | J_\mu^{(h)} J^{(h)\mu\dagger} | h \rangle$  e.g.  $K^0 \leftrightarrow \bar{K}^0, D^0 \leftrightarrow \bar{D}^0, B^0 \leftrightarrow \bar{B}^0$
  - Hadronic with two hadrons in final state:  $\langle h' h'' | J_\mu^{(h)} J^{(h)\mu\dagger} | h \rangle$  e.g.  $\Lambda \rightarrow p \pi^-$
- Generally,  $J_\mu = V_\mu - A_\mu$ , but these **operators cannot be written exactly** because of **strong interactions**



# Standard model (I)

- In the SM weak currents are **Noether currents** of the **gauge group  $SU(2)_L$** , acting on L components of **fermion fields doublets**, e.g.

$$\begin{pmatrix} \nu_{eL} \\ e_L \end{pmatrix} \rightarrow \exp\left(ig\alpha_i \frac{\sigma_i}{2}\right) \begin{pmatrix} \nu_{eL} \\ e_L \end{pmatrix}$$

- Leaving to others the complete construction of the model, we recall some key features:

- The group  $SU(2)$  has **three generators**, one of which is a **neutral current**
  - This neutral current is **NOT the photon**. We must add another  $U(1)$  to the gauge group

$$SU(2)_L \times U_Y(1)$$

- If the two neutral fields are rotated by  $\theta_W$  angle, **electro-weak unification** is obtained:

$$g \sin \theta_W = g' \cos \theta_W = e \quad Y(e_L) = Y(\nu_{eL}) = -1 \quad Y(e_R) = -2 \quad Y(\nu_{eR}) = 0$$

- A weak neutral current is indeed predicted:

$$\bar{\nu}_{eL} \gamma_\mu Q_Z \nu_{eL} Z^\mu + \bar{e}_L \gamma_\mu Q_Z e_L Z^\mu$$

- with strength:

$$Q_Z = \frac{e}{\sin \theta_W \cos \theta_W} (T_3 - Q \sin^2 \theta_W)$$

N.B. !

# Standard Model (II)

- The complete SM Lagrangian (**before symmetry breaking**) reads:

$$\mathcal{L}_{SM} = \mathcal{L}_{YM} + \mathcal{L}_k + \mathcal{L}_{cc} + \mathcal{L}_{nc}$$

- with:

**3 LEPTON families (f=1..3)**

$$\ell_L^f = \begin{pmatrix} \nu_{eL} \\ e_L \end{pmatrix} \quad \begin{pmatrix} \nu_{\mu L} \\ \mu_L \end{pmatrix} \quad \begin{pmatrix} \nu_{\tau L} \\ \tau_L \end{pmatrix}$$

**3 QUARK families (f=1..3)**

$$q_L^f = \begin{pmatrix} u_L \\ d'_L \end{pmatrix} \quad \begin{pmatrix} c_L \\ s'_L \end{pmatrix} \quad \begin{pmatrix} t_L \\ b'_L \end{pmatrix}$$

- $\mathcal{L}_{YM}$  is the Yang-Mills term for gauge fields (not shown)
- $\mathcal{L}_k$  is the kinetic (massless) term for all fermions

$$\mathcal{L}_k = i\bar{\ell}_L^f \not{\partial} \ell_L^f + i\bar{q}_L^f \not{\partial} q_L^f + i\bar{e}_R^f \not{\partial} e_R^f + i\bar{\nu}_R^f \not{\partial} \nu_R^f + i\bar{u}_R^f \not{\partial} u_R^f + i\bar{d}_R^f \not{\partial} d_R^f$$

- is the coupling term of fermions to charged W (**charged current**)

$$\mathcal{L}_{cc} = \frac{g}{\sqrt{2}} \left( \bar{\nu}_L^f \gamma^\mu e_L^f + V_{fg}^{CKM} \bar{u}_L^f \gamma^\mu d^g \right) W_\mu^+ + h.c.$$

- is the coupling term of fermions to photon and Z (**neutral current**)

$$\mathcal{L}_{nc} = eQ \bar{\psi} \gamma_\mu \psi A^\mu + Q_Z \bar{\psi} \gamma_\mu \psi Z^\mu$$

where  $\psi$  is any SM fermion and Q is its electric charge.

- Without Yukawa interaction, the Lagrangian for fermion fields may be written in the compact form:

$$\mathcal{L}_f = \sum_k^5 \bar{\psi}_k i \not{D} \psi_k$$

- Where  $k=1..5$  runs over 5 possible representations of the  $SU(2)_L \times U_Y(1)$  gauge group:

$\psi_1 = e_R$ (1, -2)	$\psi_2 = \ell_L$ (2, -1)	$\psi_3 = u_R$ (1, 4/3)	$\psi_4 = d_R$ (1, -2/3)	$\psi_5 = q_L$ (2, 1/3)
<b>SU(2) singlet, Y=-2</b>	<b>SU(2) doublet, Y=-1</b>	<b>SU(2) singlet, Y=4/3</b>	<b>SU(2) singlet, Y=-2/3</b>	<b>SU(2) doublet, Y=1/3</b>

- Masses are forbidden by gauge symmetry, so there are therefore 5 accidental global U(1) symmetries:

$$\psi_k \rightarrow e^{i\Phi_k} \psi_k$$

- Which correspond to the following Noether currents:

$$J_1^\mu = \bar{e}_R \gamma^\mu e_R$$

$$J_2^\mu = \bar{\nu}_L \gamma^\mu \nu_L + \bar{e}_L \gamma^\mu e_L$$

$$J_3^\mu = \bar{u}_R \gamma^\mu u_R$$

$$J_4^\mu = \bar{d}_R \gamma^\mu d_R$$

$$J_5^\mu = \bar{d}_L \gamma^\mu d_L + \bar{u}_L \gamma^\mu u_L$$

WHICH WE  
MAY  
REGROUP AS

$$J_Y^\mu = \sum_{k=1}^5 \frac{Y_k}{2} J_k^\mu \quad \text{UY(1) gauge symmetry. Not new!}$$

$$J_\ell^\mu = J_1^\mu + J_2^\mu = \bar{\nu} \gamma^\mu \nu + \bar{e} \gamma^\mu e \quad \text{LEPTON NUM.}$$

$$J_b^\mu = \frac{1}{3}(J_3^\mu + J_4^\mu + J_5^\mu) = \frac{1}{3}(\bar{u} \gamma^\mu u + \bar{d} \gamma^\mu d) \quad \text{BARYON NUM.}$$

$$J_{\ell 5}^\mu = J_1^\mu - J_2^\mu = \bar{\nu} \gamma^\mu \gamma_5 \nu + \bar{e} \gamma^\mu \gamma_5 e \quad \text{NOT OBSERVED !}$$

$$J_{b5}^\mu = \frac{1}{3}(J_3^\mu + J_4^\mu - J_5^\mu) = \frac{1}{3}(\bar{u} \gamma^\mu \gamma_5 u + \bar{d} \gamma^\mu \gamma_5 d)$$

# Lepton number

- The **Yukawa interaction** changes the picture:
  - $J_Y^\mu \ J_b^\mu \ J_\ell^\mu$  remain conserved currents, in agreement with observations
  - $J_{b5}^\mu \ J_{\ell 5}^\mu$  are not compatible with mass terms, and disappear
- With **three families**, global baryon number and individual lepton numbers are conserved, while individual are not in case of **mixing**
  - CKM matrix breaks “individual” baryon numbers, preserving global lepton number;
  - Without neutrino mixing, individual lepton numbers are conserved
    - Because of the accidental symmetry, neutrino mass is NOT generated by radiative corrections
  - **PMNS matrix** breaks “individual” lepton number, preserving global lepton number;
- Most relevant test of baryon and lepton number conservation:

$$\tau(p \rightarrow e^+ \pi^0) > 1.6 \cdot 10^{34} \text{ y}$$

$$\tau(^{136}Xe \rightarrow ^{136}Ba + 2e^-) > 1.07 \cdot 10^{26} \text{ y}$$

$$BR(\mu^- \rightarrow e^- \gamma) < 4.2 \cdot 10^{-13}$$



- The Standard Model was built assuming massless neutrinos
  - A choice that was well motivated by the facts that, experimentally:

$$m_{\nu_e} \leq 1.1 \text{ eV}$$

$$m_{\nu_\mu} \leq 0.19 \text{ MeV}$$

$$m_{\nu_\tau} \leq 18.2 \text{ MeV}$$

**All neutrinos are much lighter than W,Z and corresponding charge leptons**

- This creates no problem:
  - W and Z are coupled to  $\nu_L$  and  $\bar{\nu}_R$ , not to  $\nu_R$  and  $\bar{\nu}_L$ 
    - Right-handed components of all fermions are SU(2) singlet (i.e. Y=0);
  - Being neutrinos neutral and colour-less, they do not carry any other gauge charge
    - Their right handed components can be omitted from the theory with no consequence
    - The choice is consistent, i.e. renormalisation does not re-introduce the mass, **because mass-less neutrinos brings an additional accidental symmetry**
  - $\nu_R$  are effectively decoupled and can be ignored



# Neutrino mass (II)

- To build a **mass term**, you must introduce  $\nu_R$  and  $\nu_L$  into the theory:
  - Option 1: do the same as for u-quarks, i.e. add proper Yukawa coupling to Higgs doublet

ELECTRON MASS

$$\mathcal{L}_Y = -y_e (\bar{\nu}_{eL}, \bar{e}_L) \begin{pmatrix} \Phi^+ \\ \Phi^0 \end{pmatrix} e_R^- + h.c.$$

'Dirac' NEUTRINO MASS

$$-y_\nu (\bar{\nu}_{eL}, \bar{e}_L) \begin{pmatrix} \Phi^{0*} \\ -\Phi^- \end{pmatrix} \nu_R^- + h.c.$$

- After spontaneous symmetry breaking:

$$-m (\bar{\nu}_L \nu_R + \bar{\nu}_R \nu_L)$$

$$m = \frac{y_\nu v}{\sqrt{2}}$$

- Option 2: Being  $\nu_R$  not related to SU(2) gauge symmetry, they do not need to have a gauge invariant mass term

- They admit, therefore, with M very large:
- In general, the mass term can be:

$$-\frac{1}{2} M (\bar{\nu}_R^c \nu_R + \bar{\nu}_R \nu_R^c)$$

$$\mathcal{L}_\nu = -\frac{1}{2} (\bar{\nu}_L^c \bar{\nu}_R) \begin{pmatrix} 0 & m \\ m & M \end{pmatrix} \begin{pmatrix} \nu_L \\ \nu_R^c \end{pmatrix} + h.c.$$

- where:  $M \gg m$ . The terms proportional to  $m$  are the same as Dirac mass term [note that  $\bar{\nu}_L^c \nu_R^c = \bar{\nu}_R \nu_L$  ]



# Neutrino mass (III)

- The mass term can be diagonalised:

$$m_1 = \frac{1}{2} \left( M + \sqrt{M^2 + 4m^2} \right) \quad m_2 = \frac{1}{2} \left( M - \sqrt{M^2 + 4m^2} \right)$$

- With  $M \gg m$  [ e.g. **m ~ 200 GeV** and **M ~ 10<sup>16</sup> GeV** ]:

$$m_1 \simeq M \quad m_2 \simeq \frac{m^2}{M} \ll m \quad \text{SEE-SAW mechanism}$$

- One of the two neutrinos is very heavy and not observable, while the other one is very light without assuming very small Yukawa couplings.
  - **m<sub>2</sub> goes “naturally” to meV scale**
- The diagonalised mass term is that of 2 Majorana neutrinos:

- with:
$$-\frac{1}{2}m_1(\bar{\nu}_1^c\nu_1 + \bar{\nu}_1\nu_1^c) - \frac{1}{2}m_2(\bar{\nu}_2^c\nu_2 + \bar{\nu}_2\nu_2^c)$$
$$\nu_1 = \nu_L \sin \theta + \nu_R^c \cos \theta \quad \nu_2 = -i\nu_L \cos \theta + i\nu_R^c \sin \theta \quad \text{with} \quad \tan 2\theta = \frac{2m}{M} \ll 1$$

- with very small  $\theta$   $\nu_1$  is an almost pure very heavy right handed neutrino, and  $\nu_2$  is the standard model one with very small mass.

- With more than one SM neutrino, the model is easily generalised
  - n families (n=3) and k RH components (k is unknown)
    - m** becomes a **k × n matrix**, while **M** becomes a **k × k matrix**
    - CP violating phases come from both matrices**, in general.
- In the simplest case with k=n=3, the SM neutrinos are related to **mass eigenstates** by a **3 × 3** unitary matrix:

$$|\nu_\alpha\rangle = U_{\alpha i} |\nu_i\rangle \quad \text{where } |\nu_i\rangle \text{ are mass eigenstates.}$$

and where U is often parametrised as:

$$U = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos \theta_{23} & \sin \theta_{23} \\ 0 & -\sin \theta_{23} & \cos \theta_{23} \end{pmatrix} \times \begin{pmatrix} \cos \theta_{13} & 0 & \sin \theta_{13} e^{-i\delta_D} \\ 0 & 1 & 0 \\ -\sin \theta_{13} e^{i\delta_D} & 0 & \cos \theta_{13} \end{pmatrix} \times \begin{pmatrix} \cos \theta_{12} & \sin \theta_{12} & 0 \\ -\sin \theta_{12} & \cos \theta_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

- At low energy ( $q^2 \ll M_w$ ), the effective neutrino-electron interaction reads:

$$H_W^{\text{eff}} = \frac{G_F}{\sqrt{2}} \{ [\bar{e} \gamma_\mu (1 - \gamma_5) \nu_e] [\bar{\nu}_e \gamma^\mu (1 - \gamma_5) e] + \rho [\bar{\nu}_\ell \gamma_\mu (1 - \gamma_5) \nu_\ell] [\bar{e} \gamma^\mu (g_V - g_A \gamma_5) e] \}$$

- where:

$$g_V = g_L + g_R = -\frac{1}{2} + 2 \sin^2 \theta_W \quad g_A = g_L - g_R = -\frac{1}{2} \quad \rho = 1$$

- After Fierz transformation of the first term we can write:

$$H_W^{\text{eff}} = \frac{G_F}{\sqrt{2}} \{ [\bar{\nu}_\ell \gamma_\mu (1 - \gamma_5) \nu_\ell] [\bar{e} \gamma^\mu (c_V - c_A \gamma_5) e] \}$$

- where:

- for  $\nu_e e^-$  scattering:  $c_V = 1 + \rho g_V \quad c_A = 1 + \rho g_A$

- for  $\nu_\ell e^-$  scattering:  $c_V = \rho g_V \quad c_A = \rho g_A$



- Differential cross section as a function of e<sup>-</sup> recoil momentum:

$$\frac{d\sigma}{dT'_e} = \frac{2G_F^2 m_e}{\pi} \left[ c_L^2 + c_R^2 \left( \frac{E'_\nu}{E_\nu} \right)^2 - c_L c_R \frac{m_e}{E_\nu} \frac{E_\nu - E'_\nu}{E_\nu} \right]$$

- where  $T'_e = E'_e - m_e = E'_\nu - E_\nu$  is the electron recoil energy.
- The total cross section reads:

$$\sigma = \frac{2G_F^2 m_e E_\nu}{\pi} \left[ c_L^2 + \frac{1}{3} c_R^2 - \frac{1}{2} c_L c_R \frac{m_e}{E_\nu} \right]$$

- For anti-neutrinos the formula is the same with  $c_L$  and  $c_R$  exchanged.

### TREE level cross sections

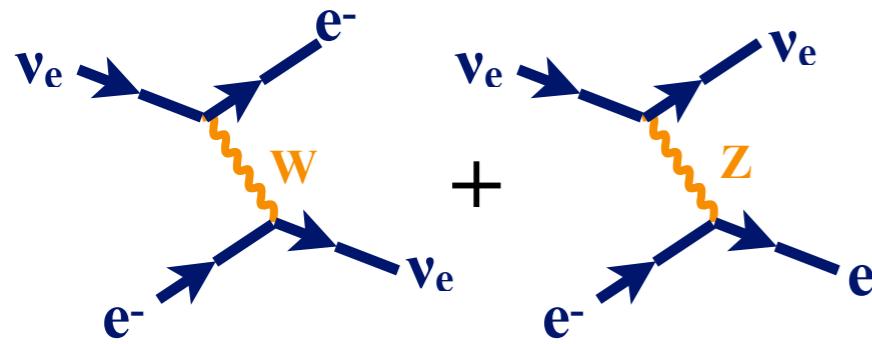
$$\sin^2 \theta_W = 0.2312 \quad \overline{\text{MS}}$$

	$c_L$	$c_R$	$\sigma [10^{-44} \text{ cm}^2]$
$\nu_e e^-$	$\frac{1}{2} + \sin^2 \theta_W$	$\sin^2 \theta_W$	$0.95 E_\nu [\text{MeV}]$
$\nu_\mu e^-$	$-\frac{1}{2} + \sin^2 \theta_W$	$\sin^2 \theta_W$	$0.16 E_\nu [\text{MeV}]$
$\bar{\nu}_e e^-$	$\sin^2 \theta_W$	$\frac{1}{2} + \sin^2 \theta_W$	$0.23 E_\nu [\text{MeV}]$
$\bar{\nu}_\mu e^-$	$\sin^2 \theta_W$	$-\frac{1}{2} + \sin^2 \theta_W$	$0.078 E_\nu [\text{MeV}]$

- QED and EW radiative corrections are at few % level and are relevant for high precision solar neutrino experiments and future experiments.

# Example: neutrino detection in Borexino

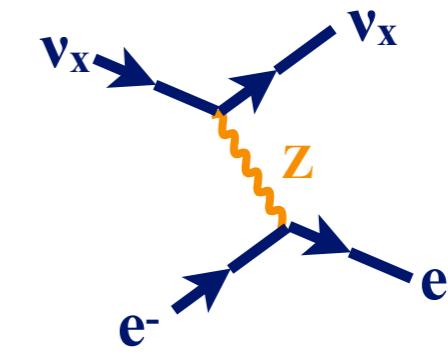
- Elastic scattering on  $e^-$ : detects **all  $\nu$  flavours**, with a **larger cross-section for  $\nu_e$**



$$\sigma(\nu_e e^-) = \frac{G_F^2 s}{\pi} \left[ \left( \frac{1}{2} + \xi \right)^2 + \frac{\xi^2}{3} \right]$$

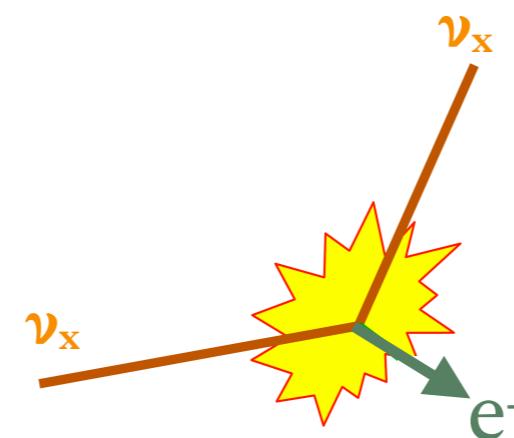
**9.5  $10^{-45}$  cm $^2$  @ 1 MeV**

$$\xi = \sin^2 \theta_W \simeq 0.23$$



$$\sigma(\nu_x e^-) = \frac{G_F^2 s}{\pi} \left[ \left( \frac{1}{2} - \xi \right)^2 + \frac{\xi^2}{3} \right]$$

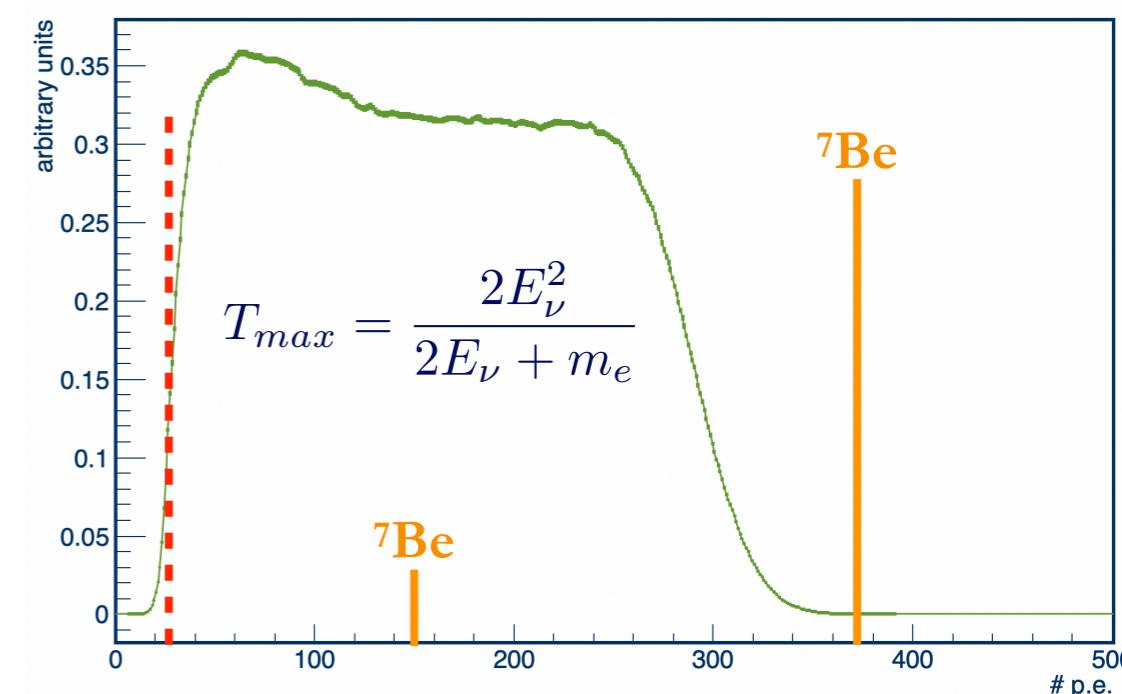
**1.6  $10^{-45}$  cm $^2$  @ 1 MeV**



- The  $e^-$  is scattered in the **liquid scintillator**:

- path: **few mm**
- physics thresh.: **very small**
- triggering thresh.: **~40 keV** (dep.)
- analysis thresh.: **~ 200 keV**

**SIGNATURE: 'Compton' shoulders**

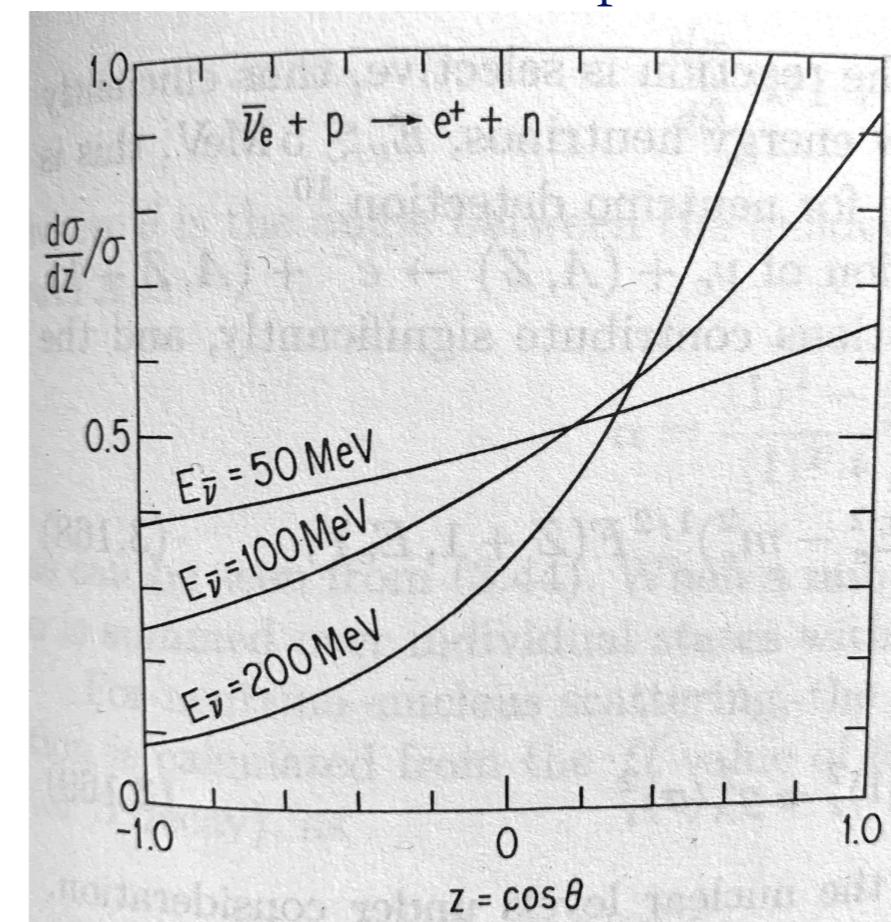
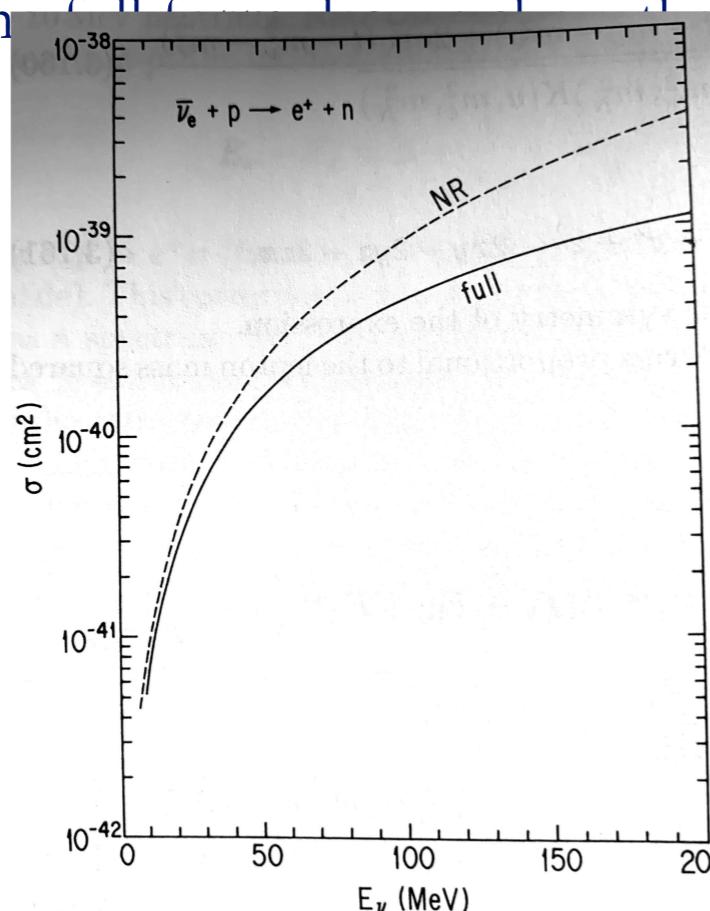


- CC  $\nu$ -nucleon scattering is, for historical reasons, called “inverse  $\beta$  decay” (also, quasi-elastic)
  - At low  $E_\nu$  ( $\lesssim 100$  MeV), only  $\nu_e$  are active, being  $\mu$  and  $\tau$  too heavy  

$$\bar{\nu}_e + p \rightarrow e^+ + n$$

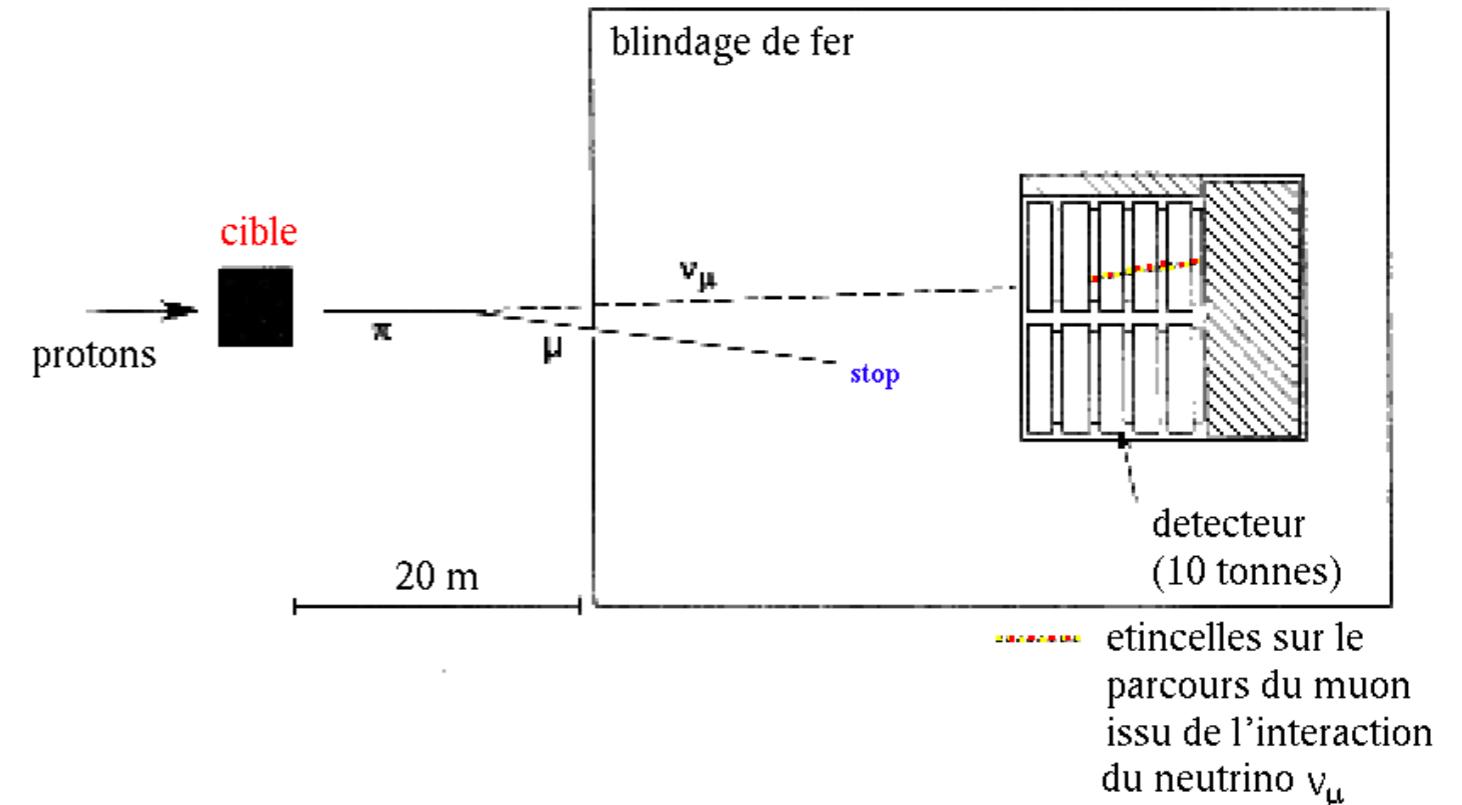
$$\nu_e + n \rightarrow e^- + p$$
  - At very low  $E_\nu$  ( $\lesssim 30$  MeV), the cross section is well reproduced by:  

$$\sigma(\bar{\nu}_e p \rightarrow e^+ n) = \sigma(\nu_e n \rightarrow e^- p) = \frac{G_F^2 E_e p_e}{\pi} |U_{ud}|^2 (1+3g_A^2) \simeq 9.3 \cdot 10^{-42} \left( \frac{E_\nu}{10 \text{ MeV}} \right)^2 \text{ cm}^2$$
  - While in the region  $E_\nu \sim 30 - 100$  MeV the nucleon form factors become important. Without writing full  $f_1, f_2, f_3, f_4$  plots:



# 2 neutrino flavours (I)

- How do we know that the neutrinos emitted in pion decay (accompanying a muon) is the same as in  $\beta$  decay ?
- 1959: M. Schwartz proposes to build a neutrino beam from pion decay
- 1962: L. Lederman, M. Schwartz and J. Steinberger build a large spark chamber (using 10 tons of neon gas) to identify muons in neutrino interactions.
- The idea is still the one we use today to produce neutrino beams with accelerators
- There was no pion momentum selection



# 2 neutrino flavours (II)

## OBSERVATION OF HIGH-ENERGY NEUTRINO REACTIONS AND THE EXISTENCE OF TWO KINDS OF NEUTRINOS\*

G. Danby, J-M. Gaillard, K. Goulian, L. M. Lederman, N. Mistry,  
M. Schwartz,<sup>†</sup> and J. Steinberger<sup>†</sup>

Columbia University, New York, New York and Brookhaven National Laboratory, Upton, New York  
(Received June 15, 1962)

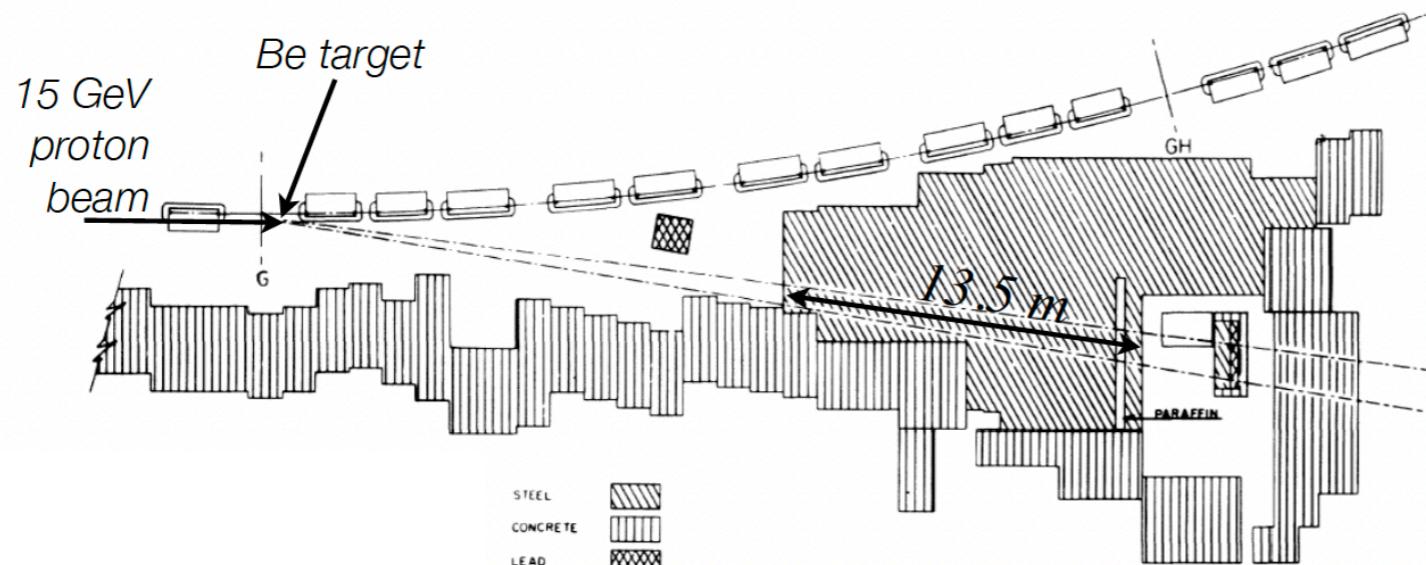


FIG. 1. Plan view of AGS neutrino experiment.

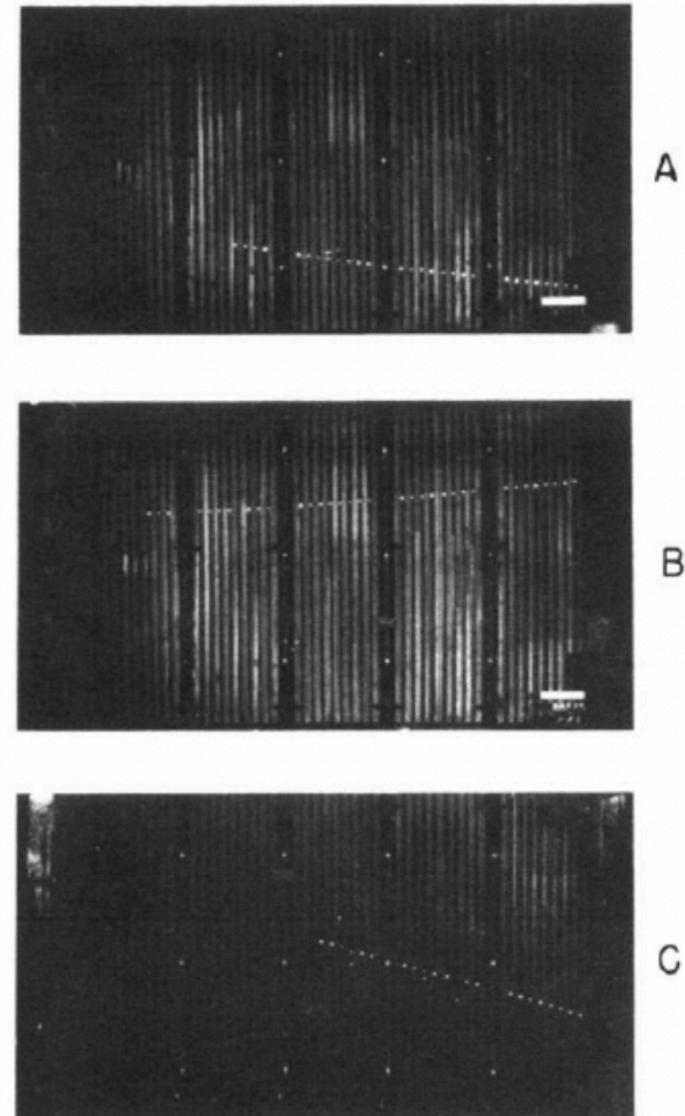
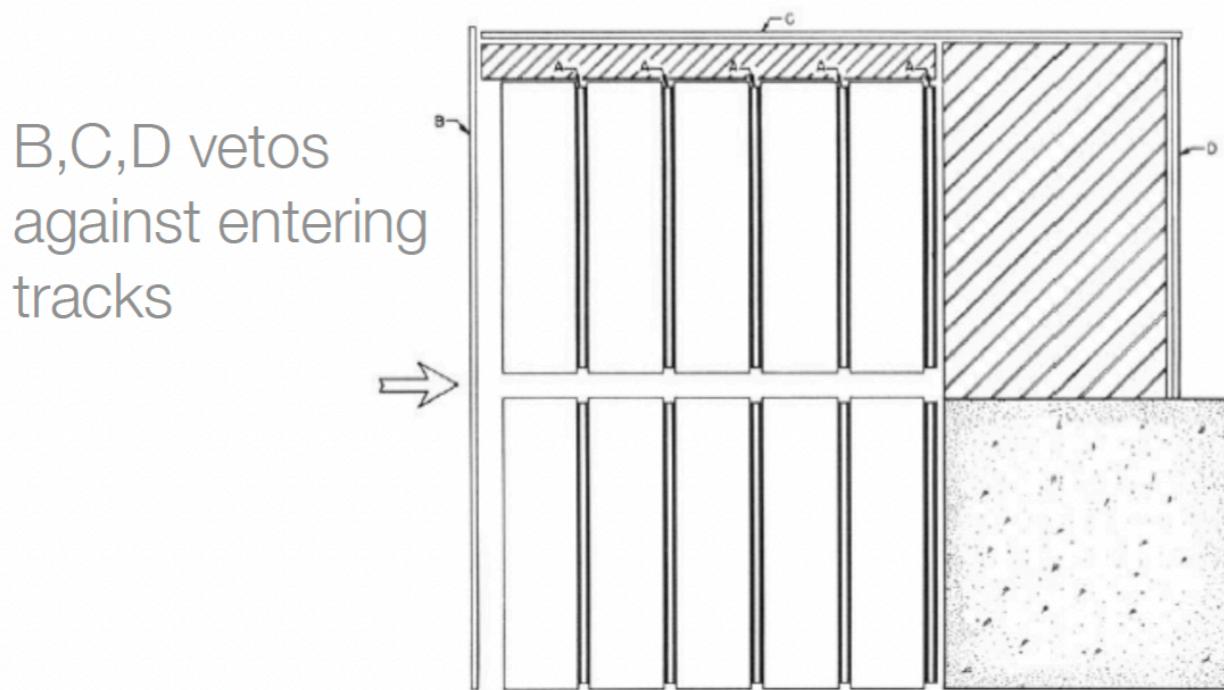
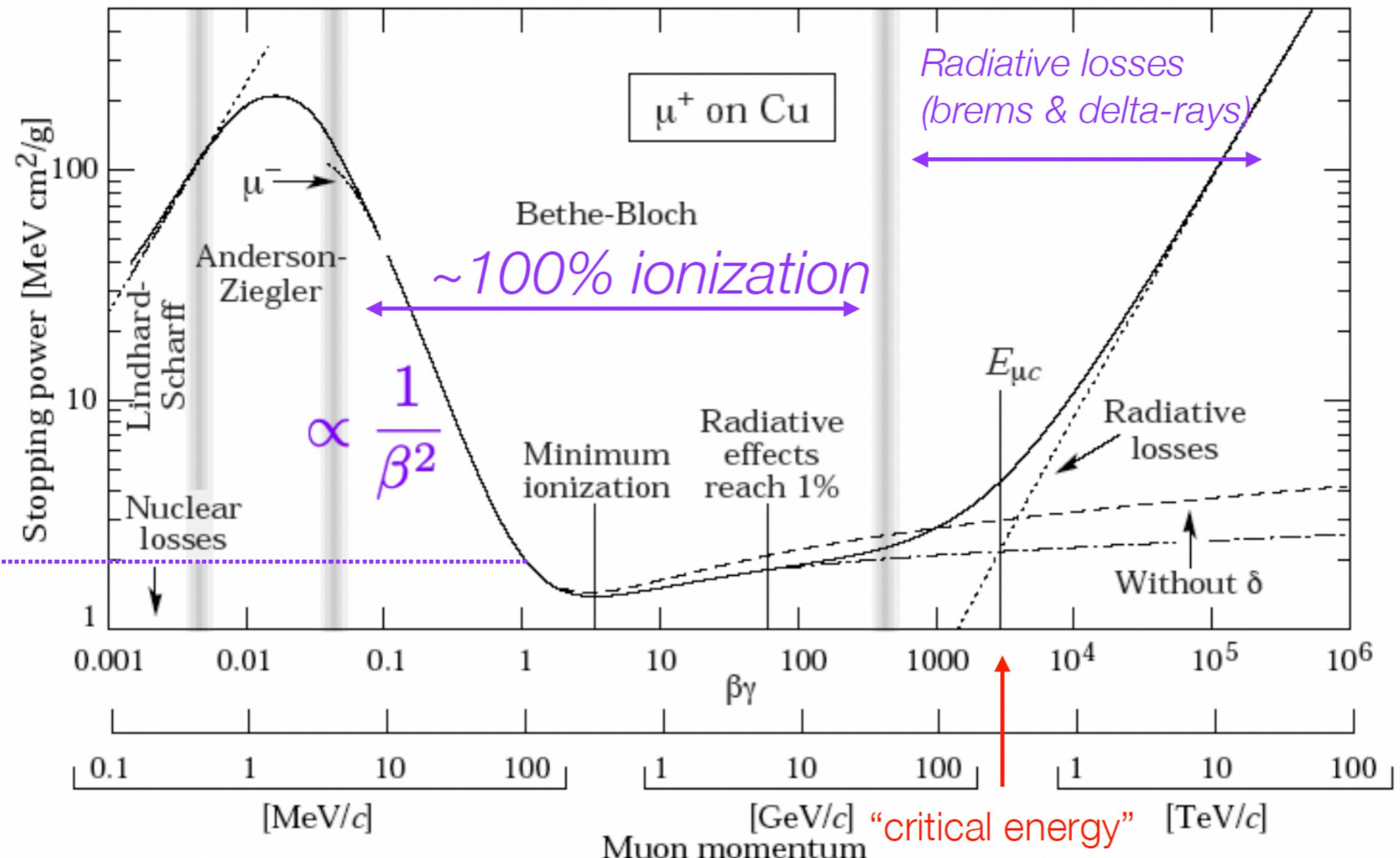


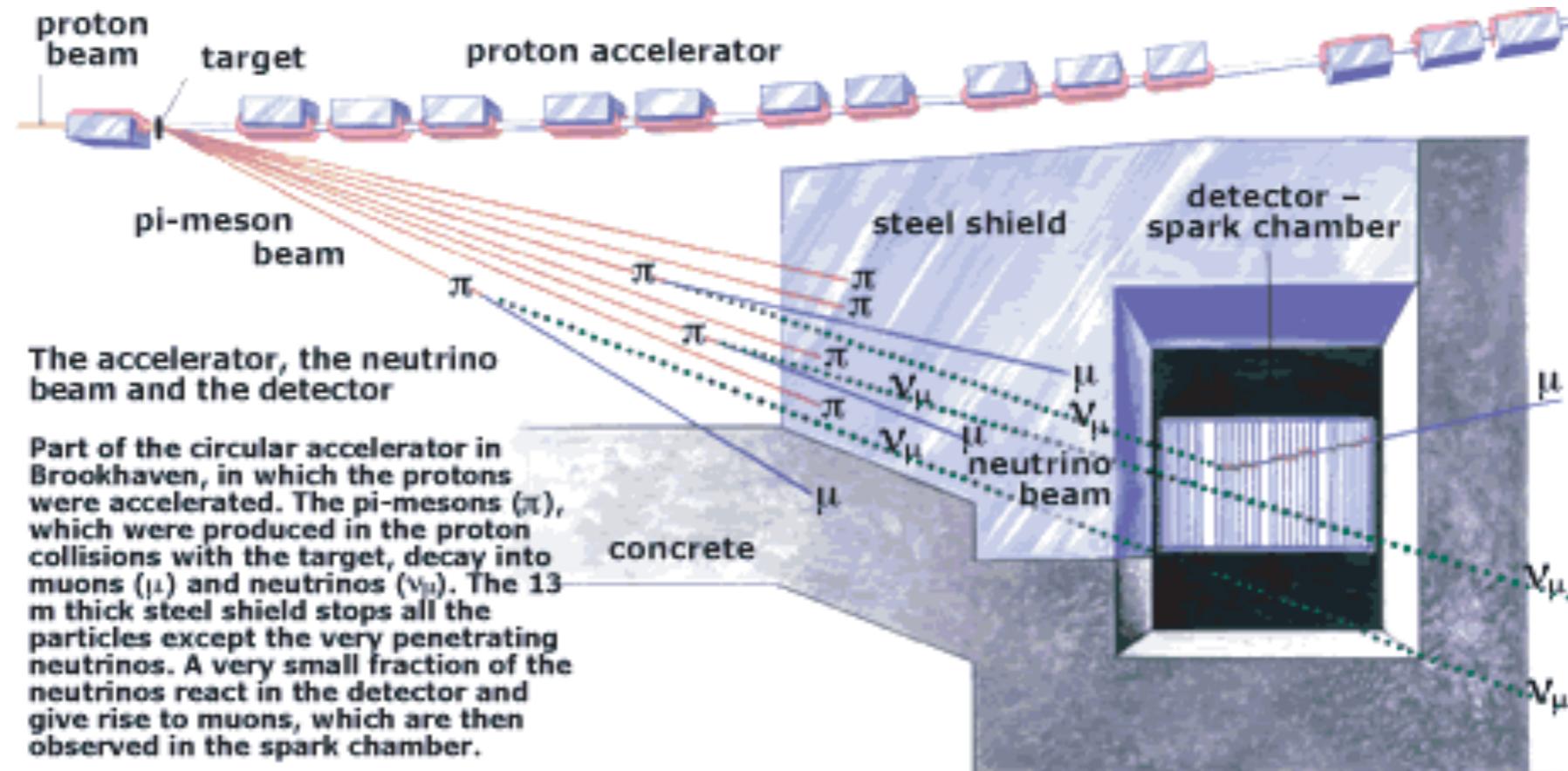
FIG. 5. Single muon events. (A)  $p_\mu > 540$  MeV and  $\delta$  ray indicating direction of motion (neutrino beam incident from left); (B)  $p_\mu > 700$  MeV/c; (C)  $p_\mu > 440$  with  $\delta$  ray.

# Intermezzo: Bethe-Bloch



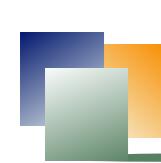
$$-\frac{dE}{dx} = K z^2 \frac{Z}{A} \frac{1}{\beta^2} \left[ \frac{1}{2} \ln \frac{2m_e c^2 \beta^2 \gamma^2 T_{\max}}{I^2} - \beta^2 - \frac{\delta(\beta\gamma)}{2} \right]$$

# 2 neutrino flavours (II)



- Results:

- 64 events detected
  - 34 events with a single long track  $p > 300$  MeV
  - 22 multi-tracks
    - Of which, 8 compatible with electron showers, 6 neutrons, 2 electrons from the beam
- There exists a different neutrino type that produces muons and not electrons in nuclear interactions



# Discovery of neutral currents

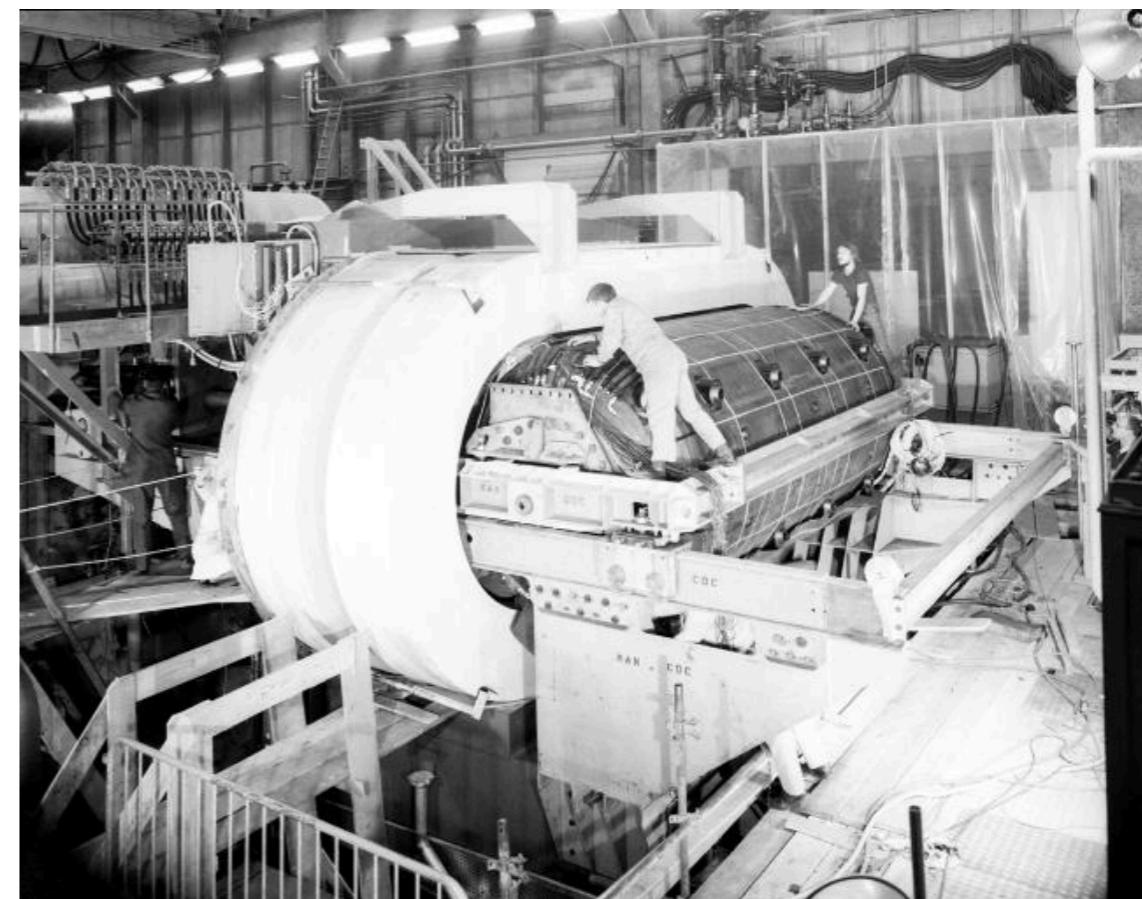
- In 1968 Weinberg completes the Standard Model in the form we know today, adopting also GIM mechanism prescription
- 3 fundamental predictions (plus many many more....)
  - Neutral currents must exists, and their coupling is fixed by theory

$$\bar{\nu}_{eL} \gamma_\mu Q_Z \nu_{eL} Z^\mu + \bar{e}_L \gamma_\mu Q_Z e_L Z^\mu$$

$$Q_Z = \frac{e}{\sin \theta_W \cos \theta_W} (T_3 - Q \sin^2 \theta_W)$$

- Fermions are organised in **doublets**, so at least **charm quark must exist**
  - Additional third family fermions are not mandatory and will come later, although they are actually required if you want CP violation in the SM
- **There exist 2 gauge bosons**, whose mass is fixed by the theory **once neutral current strength is measured (to get the Weinberg angle)**

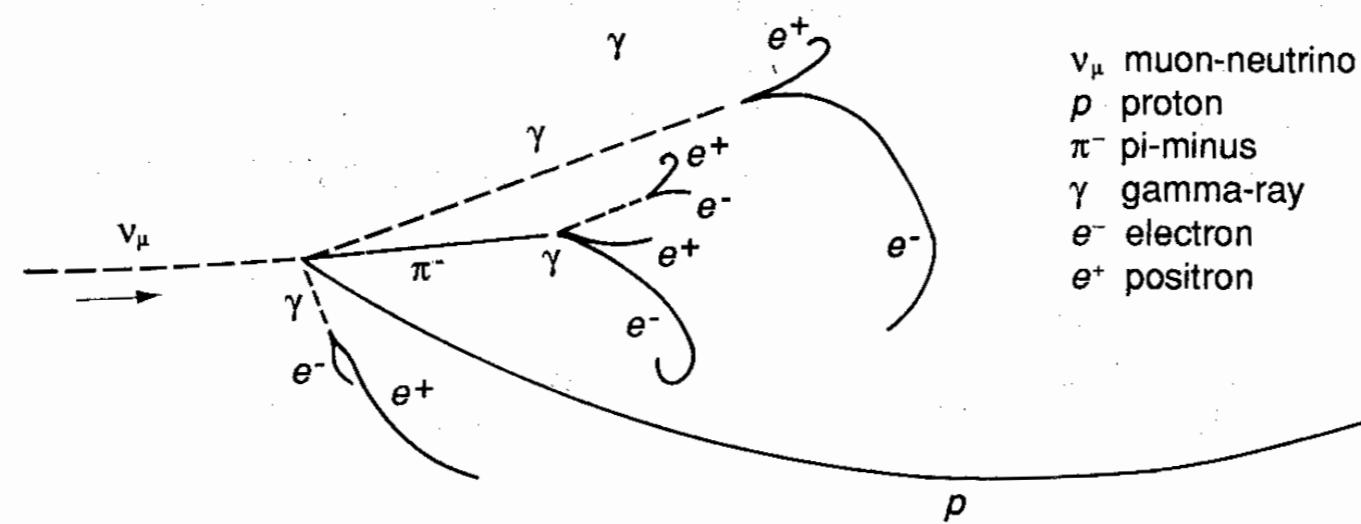
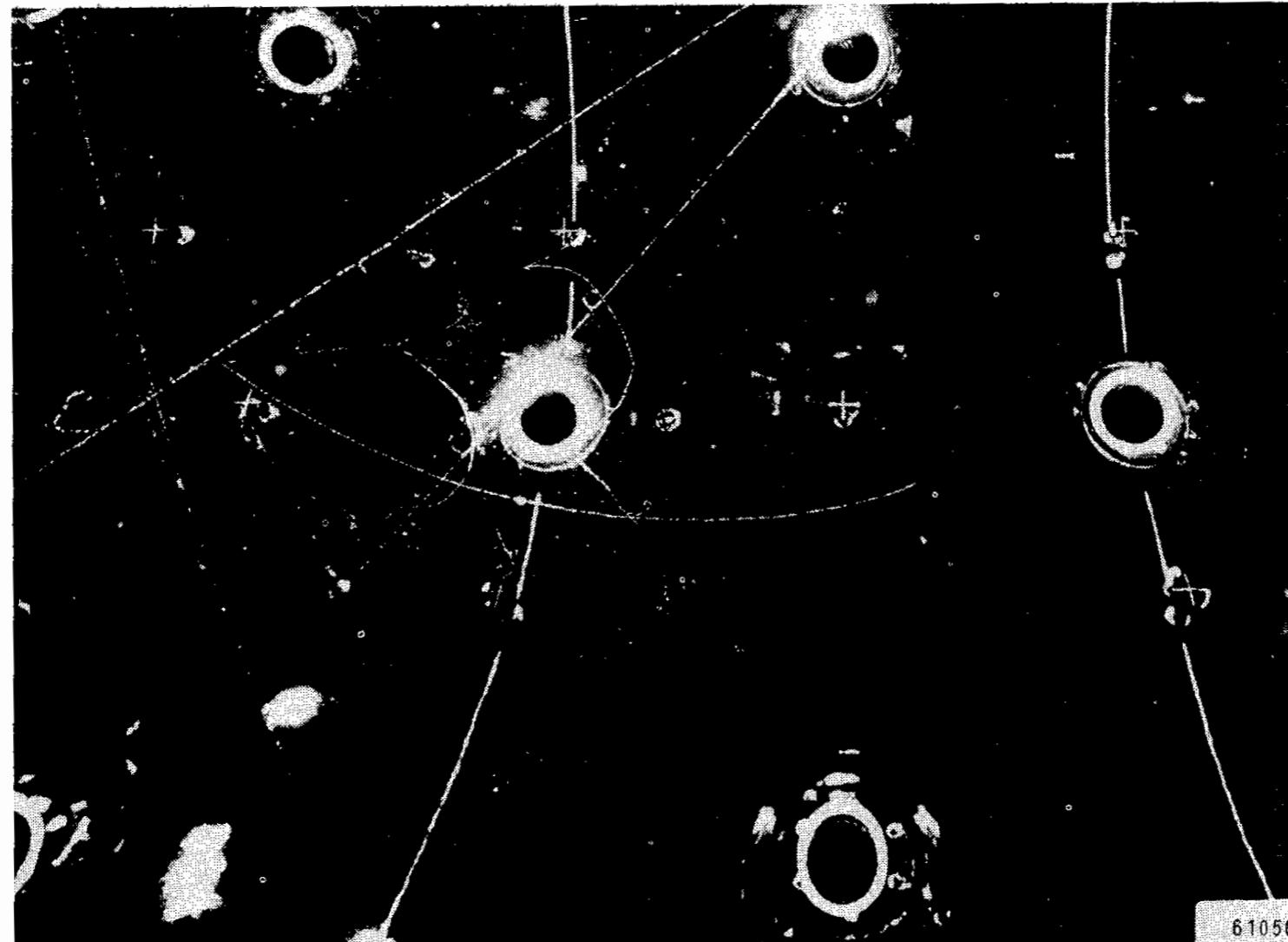
- The successful detection of neutral currents depends on two crucial technological improvements/achievements
  - **The magnetic horn**, which allows more intense and purer beams
    - It focalise mesons of one size and delocalise the other ones
  - The fast, high volume, and high density **bubble chamber**, to visualise events
    - **6.2 m<sup>3</sup>** of liquid freon (**CF<sub>3</sub>Br**) with a **density of 1.5 g/cm<sup>3</sup>**
- Ideas that are **still the key of more modern efforts** such as SBN at Fermilab, DUNE, T2K, HK



# Magnetic horn to focalise the beam



# Neutral current event in the bubble chamber



# Results of Gargamelle

- Run with both neutrinos and anti-neutrinos

- $\nu$  run: 102 NC, 428 CC, 15 neutrons
- $\bar{\nu}$  run: 64 NC, 148 CC, 12 neutrons
- Possible backgrounds
  - Cosmic rays.** Excluded by means of asymmetries
  - CC with lost muon because of low momentum: good agreement with calculations
  - Direct and indirect **neutrons:** significant but much smaller than signal

- ALL these are still a key issue for today's experiments!

- Final result of Gargamelle:

- "We have observed events without secondary muon or electron induced by neutral penetrating particles. We are not able to explain the bulk of the signal by any known background.'*
- $(NC/CC) = 0.21 \pm 0.03$
- $(NC/CC) = 0.45 \pm 0.09$
- $\sin\theta_w$  in range 0.3 - 0.4

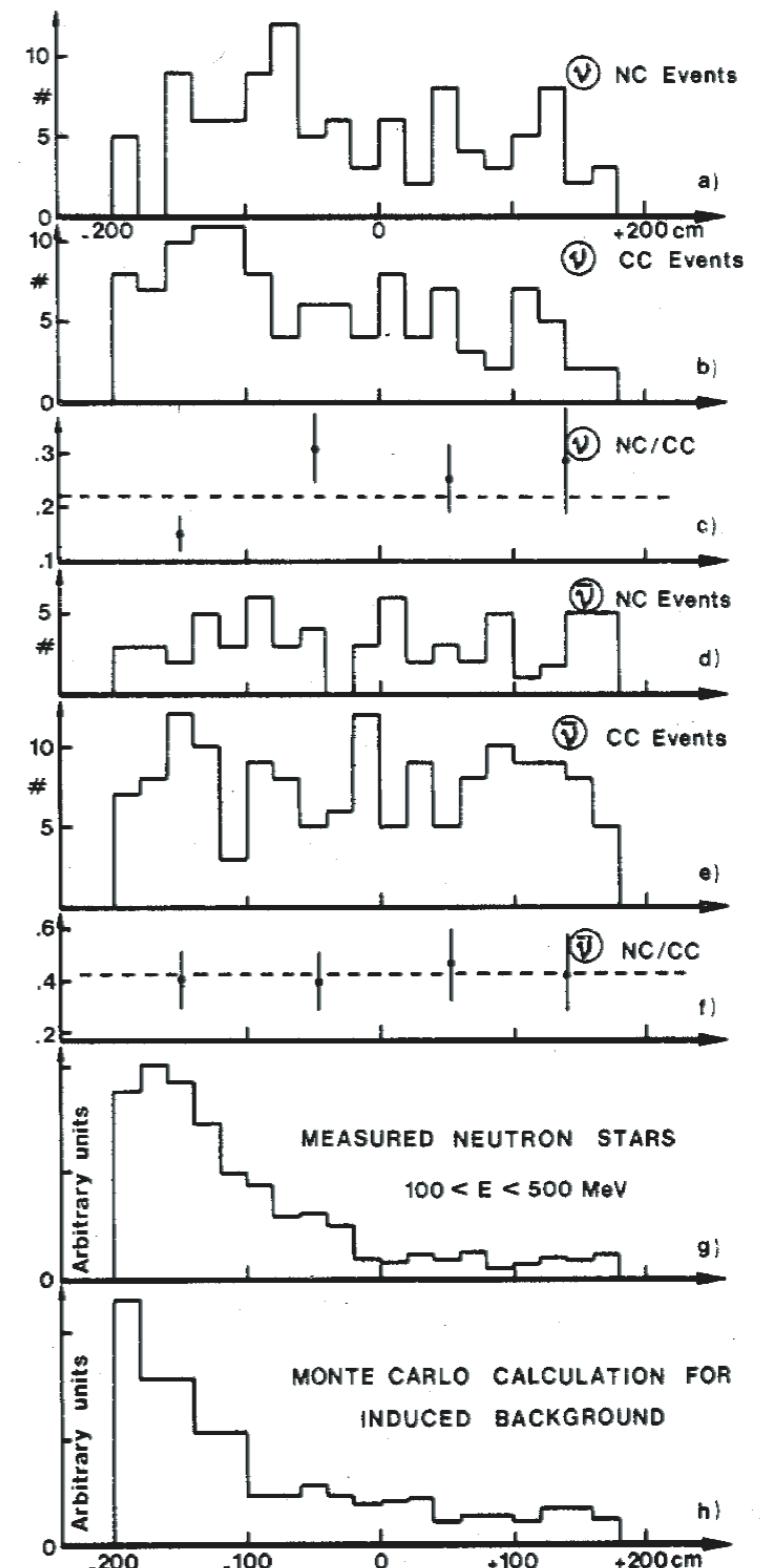
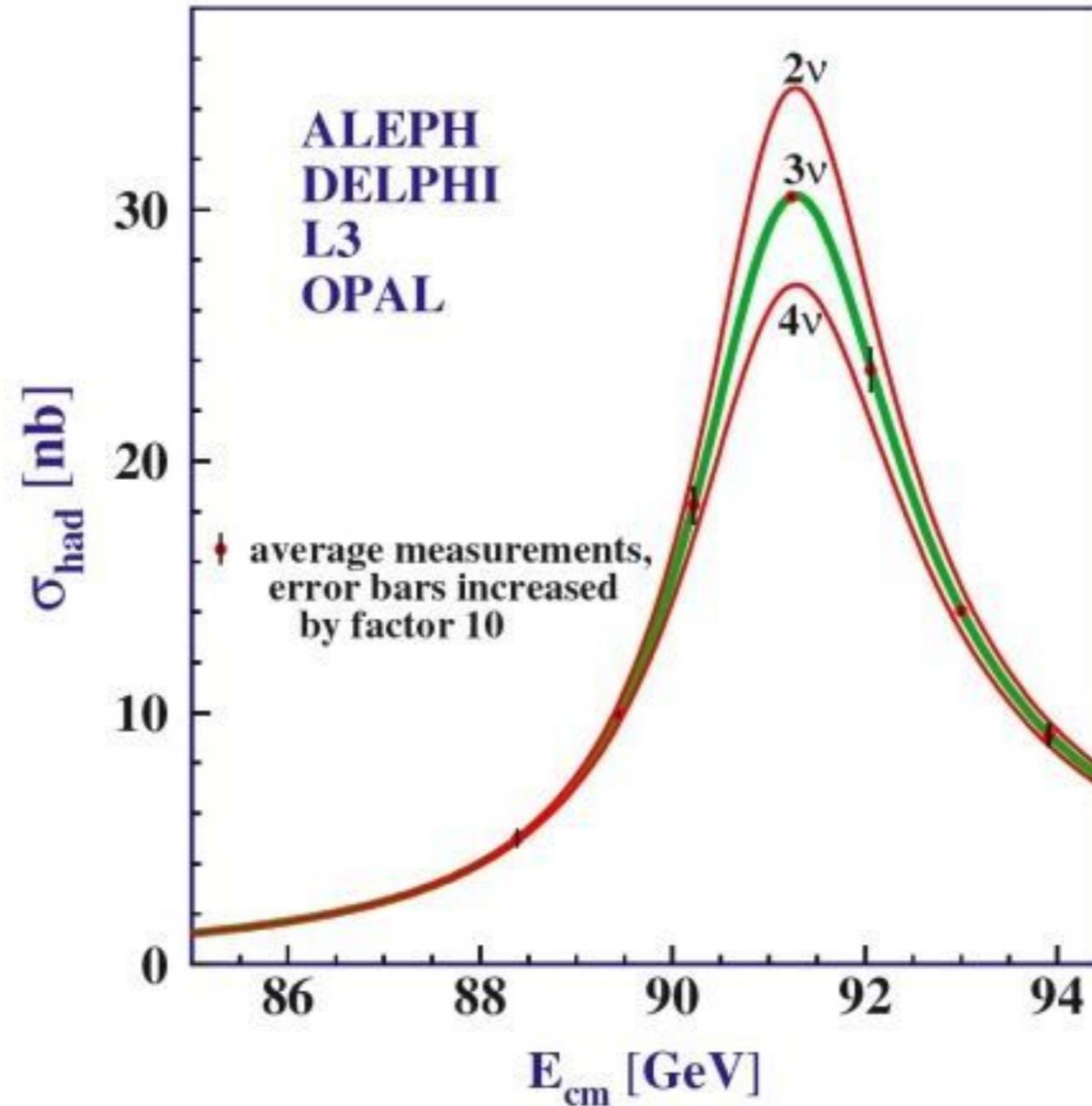


Fig. 1. Distributions along the  $\nu$ -beam axis. a) NC events in  $\nu$ . b) CC events in  $\nu$  (this distribution is based on a reference sample of  $\sim 1/4$  of the total  $\nu$  film). c) Ratio NC/CC in  $\nu$  (normalized). d) NC in  $\bar{\nu}$ . e) CC events in  $\bar{\nu}$ . f) Ratio NC/CC in  $\bar{\nu}$ . g) Measured neutron stars with  $100 < E < 500$  MeV having protons only. h) Computed distribution of the background events from the Monte-Carlo.

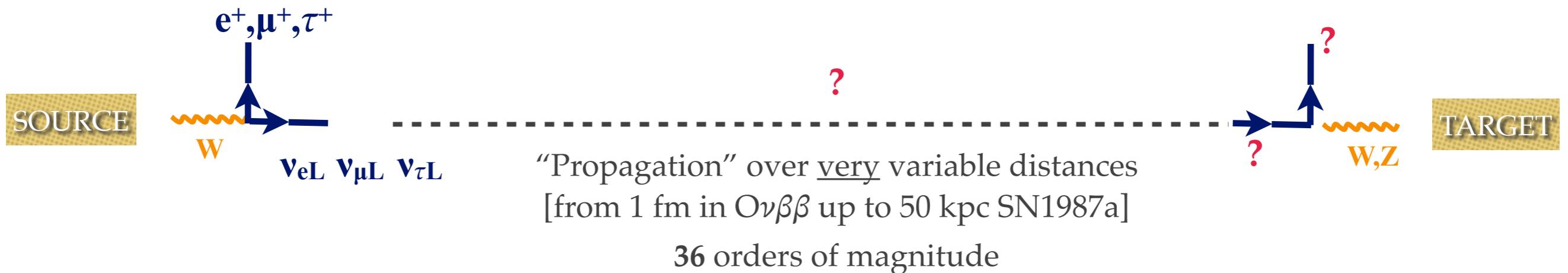
# Three neutrinos

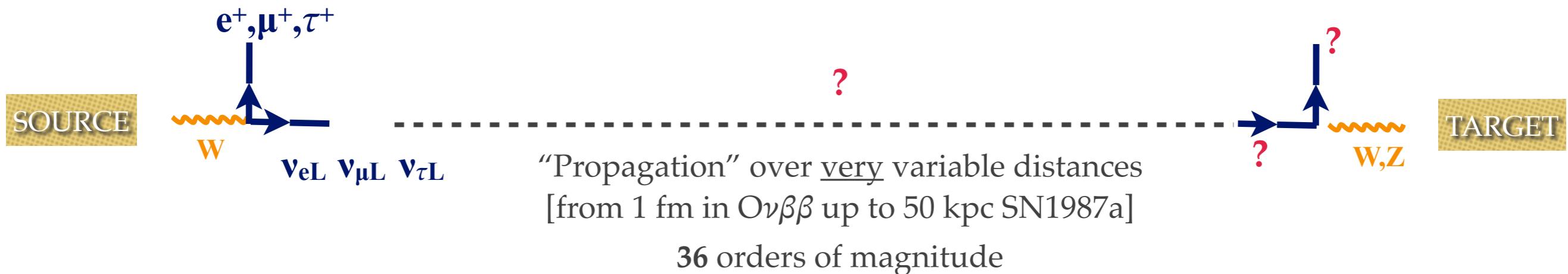


$$\Gamma_{tot} = \Gamma_e + \Gamma_\mu + \Gamma_\tau + \Gamma_{had} + \Gamma_{inv} \quad \text{Measured}$$

$$\Gamma_e \quad \Gamma_\mu \quad \Gamma_\tau \quad \Gamma_{had} \quad \text{Measured (adding up all events with hadrons in FS)}$$

$$\Gamma_{inv} = 3\Gamma_\nu \quad \text{Can be checked}$$

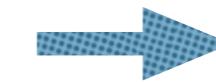




- For **massless neutrinos**, nothing happens
    - Clock is frozen ( $v=c$ ) and helicity is conserved
  - For **massive neutrinos**, many different things may happen to handed-ness and flavour
    - Dirac and Majorana equations couple right-handed and left-handed states
      - Wrong helicity state gets a term of order  $\mathbf{O}(m/E)$
      - Never observed so far because  $m \ll E$
      - The wrong handed-ness component can be observed only for Majorana neutrinos
        - For Dirac neutrinos is sterile
    - MIXING induce flavour transitions  $\mathbf{O}(m^2 L / E)$ 
      - Observed with the right  $L$  and  $m^2/E$  values
    - Propagation in matter (Earth, Sun, neutron star cores) may amplify oscillations

# Neutrino Oscillations

- Massive neutrinos are mixed:
- Mass eigenstates evolve as:



$$|\nu_\alpha\rangle = \sum_{i=1}^n U_{\alpha i}^* |\nu_i\rangle$$

$$|\nu_i(\tau)\rangle = e^{-im_i\tau} |\nu_i(0)\rangle,$$

REST FRAME

$$|\nu_i(t)\rangle = e^{-i(E_i t - p_i L)} |\nu_i(0)\rangle$$

LAB FRAME

- Exploiting the fact that neutrinos are almost massless:

$$L \simeq t; \quad E_i = \sqrt{p_i^2 + m_i^2} \simeq p_i + \frac{m_i^2}{2E}. \quad \rightarrow \quad |\nu_\alpha(L)\rangle \simeq \sum_{i=1}^n U_{\alpha i}^* \exp\left(-i\frac{m_i^2}{2E}L\right) |\nu_i(0)\rangle$$

- The amplitude for observing a state  $\alpha$  at distance  $L$  with initial state  $\beta$  is given by:

$$\langle \nu_\beta | \nu_\alpha(L) \rangle = \sum_{i=1}^n U_{\alpha i}^* \exp\left(-i\frac{m_i^2}{2E}L\right) \sum_{j=1}^n U_{\beta j} \langle \nu_j | \nu_i \rangle$$

- Which yields the probability:

$$\xi_i^{\alpha\beta} = U_{\alpha i}^* U_{\beta i}; \quad \epsilon_i = \frac{m_i^2}{2E}.$$

$$P_{\alpha\beta}(L) = |\langle \nu_\beta | \nu_\alpha(L) \rangle|^2 = \delta_{\alpha\beta} - 4 \sum_{i=1}^n \sum_{j=i+1}^n \text{Re} \left( \xi_i^{\alpha\beta} \xi_j^{*\alpha\beta} \right) \sin^2 \frac{1}{2} (\epsilon_j - \epsilon_i) L - 2 \sum_{i=1}^n \sum_{j=i+1}^n \text{Im} \left( \xi_i^{\alpha\beta} \xi_j^{*\alpha\beta} \right) \sin(\epsilon_j - \epsilon_i)$$

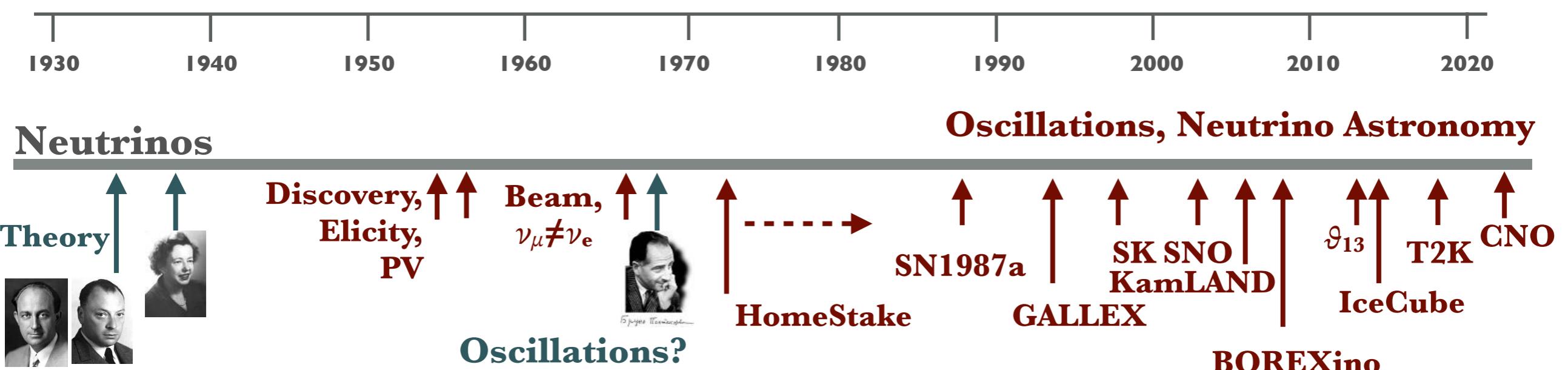
- **DISCLAIMER:** This calculation, reported almost everywhere, is **WRONG**. **Plane waves have exactly defined momentum**, and in that case there can be no oscillation!

- However, the correct calculation with **wave packets** yields the same result, up to the distance at which wave packets cease to overlap. **The formula is RIGHT, until coherence is lost.**

For a correct calculation with wave packets see e.g. Giunti-Kim

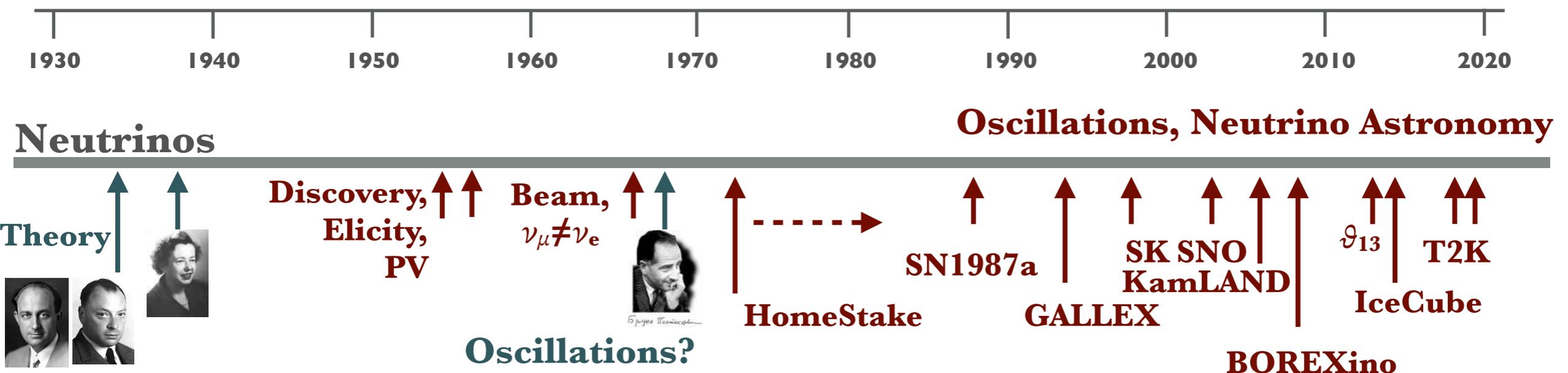


# Experimental neutrino physics: state of the art





# Experimental neutrino physics: state of the art



$$|\Delta m^2| = 2.47 \pm 0.04 \text{ } 10^{-3} \text{ eV}^2$$

$$\theta_{23} = 47.5 \pm 3.2^\circ$$

$$\delta_D = ? \text{ } (-\pi/2 ?)$$

$$\theta_{13} = 8.56 \pm 0.15^\circ$$

$$\delta m^2 = 7.40 \pm 0.21 \text{ } 10^{-3} \text{ eV}^2$$

$$\theta_{12} = 33.6 \pm 0.77^\circ$$

$$\mathbf{U} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos \theta_{23} & \sin \theta_{23} \\ 0 & -\sin \theta_{23} & \cos \theta_{23} \end{pmatrix} \begin{pmatrix} \cos \theta_{13} & 0 & \sin \theta_{13} e^{-i\delta_D} \\ 0 & 1 & 0 \\ -\sin \theta_{13} e^{i\delta_D} & 0 & \cos \theta_{13} \end{pmatrix} \begin{pmatrix} \cos \theta_{12} & \sin \theta_{12} & 0 \\ -\sin \theta_{12} & \cos \theta_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & e^{i\alpha_1} & 0 \\ 0 & 0 & e^{i\alpha_2} \end{pmatrix}$$

**Atmospheric**  
**Accelerators LBL**  
**L ~ 700 km**

**Reactors L ~ 1 km**  
**LBL L ~ 200 km**

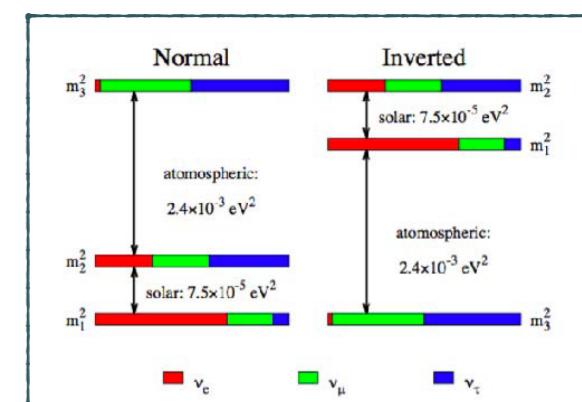
**Solar**  
**Reactors**  
**L ~ 200 km**

$0\nu\beta\beta$

Next generation (JUNO, T2HK, DUNE) has sufficient precision for global fits to almost all parameters

Combined T2K, Nova, etc analysis may yield an early “detection” of CP violation phase  $\delta_D$

$\nabla \neq \overline{\nabla}?$



Dirac vs Majorana ( $\nu \neq \nu$ ?)

$O\nu\beta\beta$

$U_{PMNS}$  unitary?

$\delta_{CP} \neq 0$ ?

$\Delta m^2 > 0$ ?

$\vartheta_{23}$  maximal? Octant?



OSCILLATIONS

Absolute Mass scale

CNO from the Sun

Astrophysics

Spectrometers,  $\mu$ Bolometers, EUCLID

BOREXino. DONE ! 2020

IceCUBE, KM3Net

Multi-messenger (GW, photons)

VIRGO-LIGO + Astronomy

$C\nu B$

R&D for PTolemy, Euclid, CMB fits

SN (pulse and relics)

Borexino, LVD, JUNO, SK, HK, DUNE



# An active and growing field

PAPERS WITH ‘neutrino’ IN TITLE  
1970 - 2020 [inspire.hep]



## ● Artificial

- Nuclear Reactors
- Accelerators
- Radioactive sources (in some special cases)

## ● Natural

- Sun
- Atmospheric
  - secondary from cosmic rays interaction in atmosphere
- Cosmic
  - coming from outside Earth
- Geo-neutrinos
  - from Earth bulk and crust radioactivity
- Diffuse SN (statistical sum of many past SN events)
- SN
  - only once so far, **SN1987a**
- Relic (from big bang)

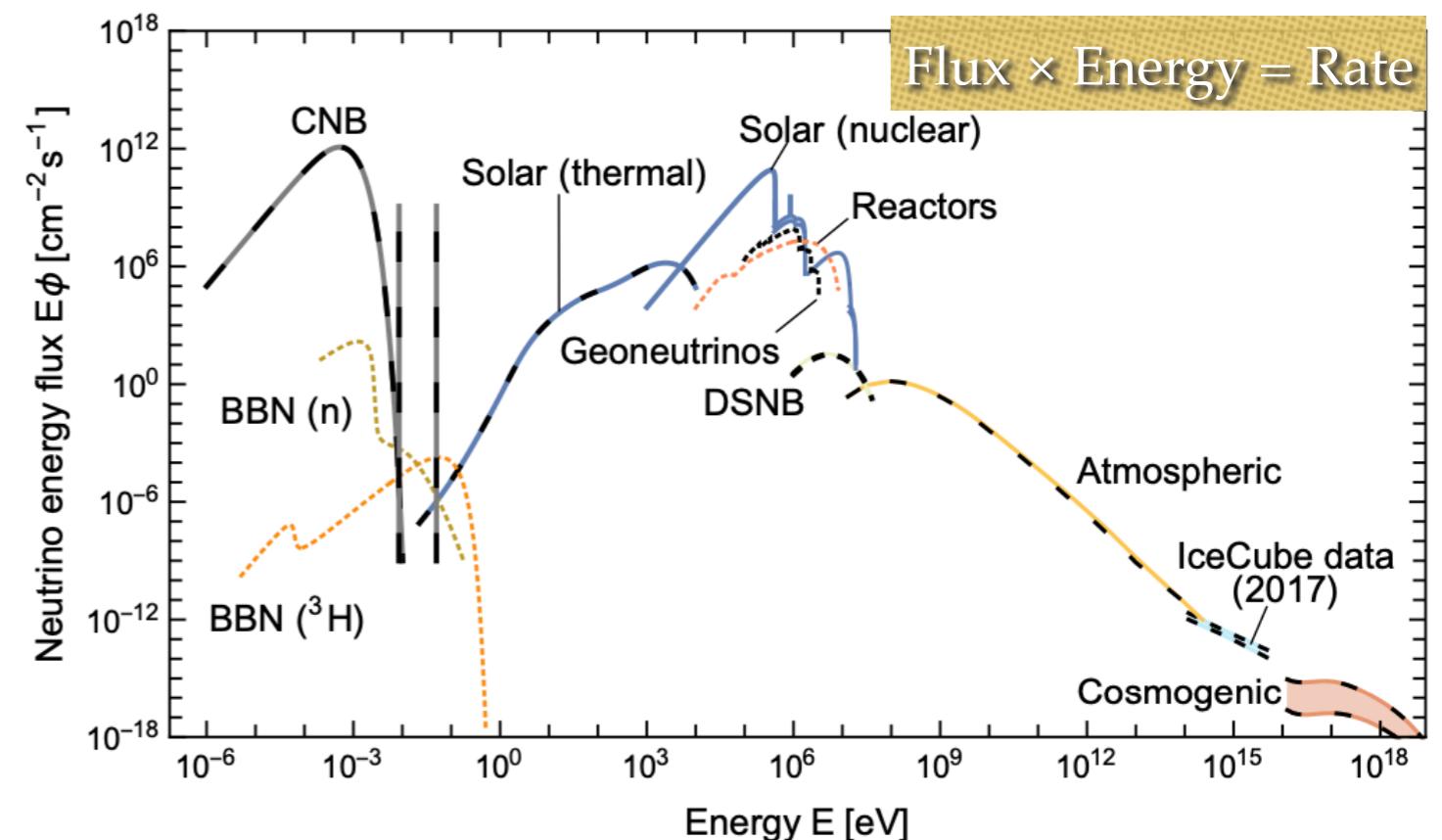
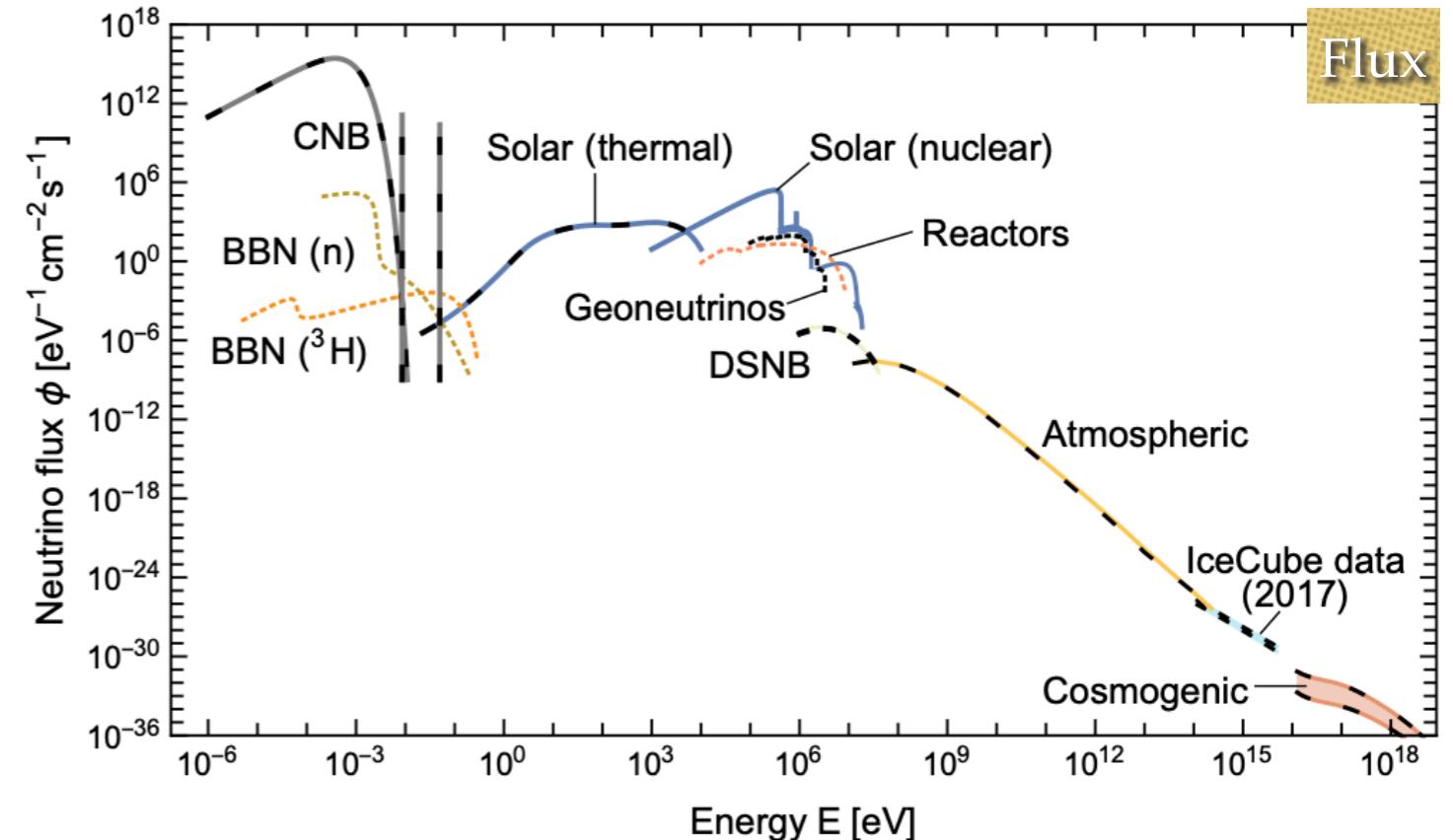
Neutrinos



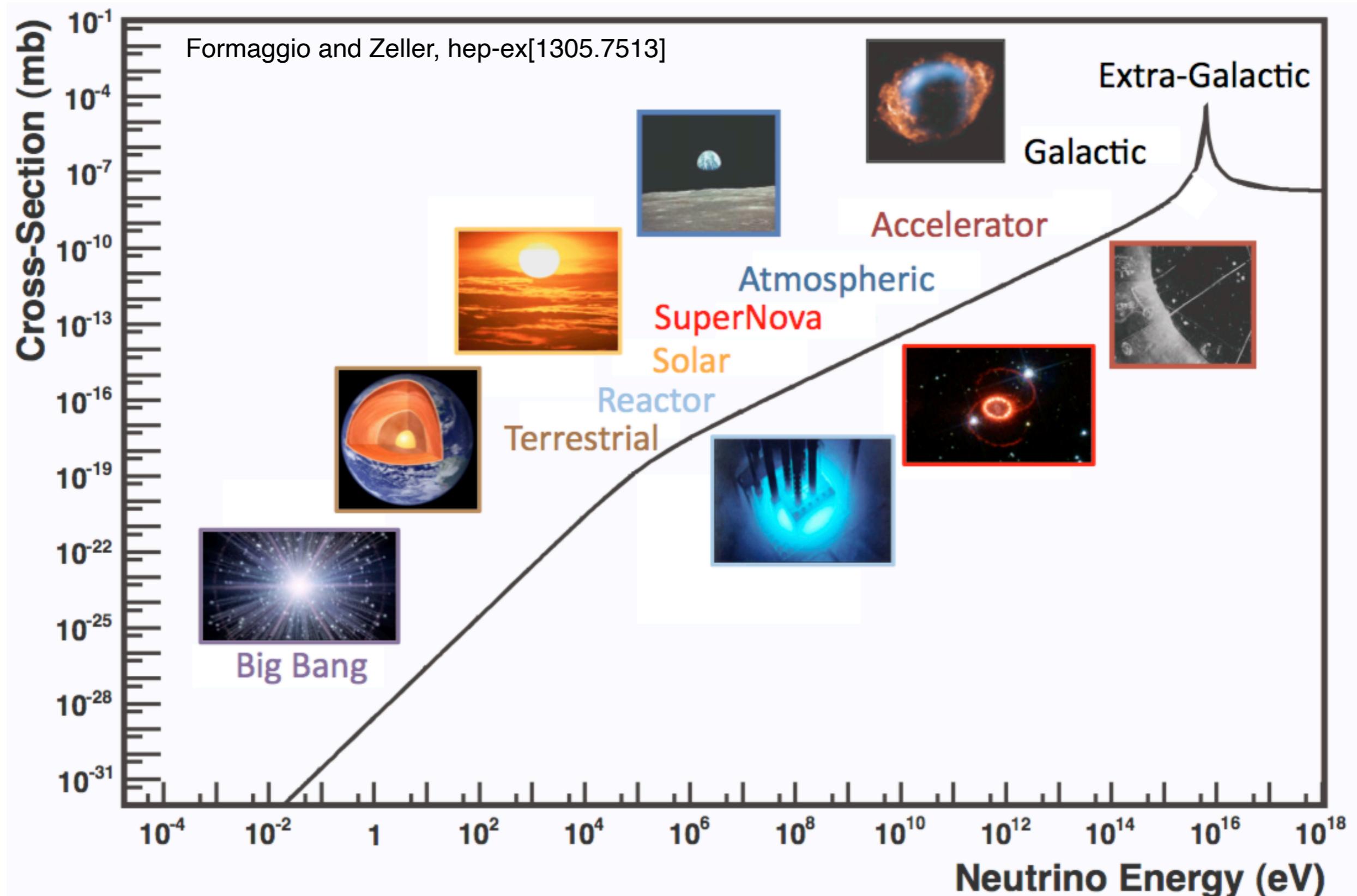
Anti-Neutrinos



From: arXiv: 1910.11878v3  
(Vitagliano, Tamborra, Raffelt)



# Neutrino energy ranges



$$N_{obs} = N_{targ} \cdot T \int_{E_{thr}}^{\infty} \Phi(E_{\nu}) \sigma(E_{\nu}) \epsilon(E_{\nu}) dE_{\nu}$$

- $N_{obs}$ : number of detected events above
- $E_{thr}$ : lower detection threshold (strongly dependent on technology)
- $N_{targ}$ : number of targets (electrons, protons, nuclei)
  - Typical value  $N_{targ} \sim 6 \cdot 10^{26} \text{ kg}^{-1}$  ( $e^-$  or  $p$ )
- $T$ : exposure time ( $2.7 \cdot 10^7 \text{ s}$  / y typical up-time)
- $\phi$ : neutrino flux
  - Sun:  $\sim 10^6 - 10^{10} \text{ cm}^{-2} \text{ s}^{-1}$  at Earth; Reactors:  $\sim 10^{12} \text{ cm}^{-2} \text{ s}^{-1}$  @ 20 m; Accelerators:  $\sim 1 \text{ cm}^{-2} \text{ s}^{-1}$  @ 1000 km
- $\sigma$ : cross section (total for the specific FS)
- $\epsilon$ : efficiency/acceptance; usually large, but not always

**NOTE:** this formula is good for MC simulations.  
Real neutrino energy is usually unknown, so data analysis must be done using **reconstructed energy**. A complex issue, not covered.

## • TWO SIGNIFICANT EXAMPLES:

### • SOLAR (Borexino, elastic scattering on electrons)

$$N_{obs} = \frac{[3 \cdot 10^{31} e^-]}{100 \text{ t}} \times \frac{[86400 \text{ s}]}{1 \text{ day}} \times [6 \cdot 10^9 \text{ cm}^{-2} \text{ s}^{-1}] \left[ \frac{0.7 \cdot 10^{-45} \text{ cm}^2}{(\text{MeV})} \right] \underset{\text{cross section}}{\simeq} 50 \text{ ev/day}$$

### • ACCELERATOR (DUNE, inelastic scattering on Liquid Argon)

$$N_{obs} = \frac{M}{1.67 \cdot 10^{-27} \text{ kg}} \cdot [2 \cdot 10^7 \text{ s}] \cdot [1 \text{ cm}^{-2} \text{ s}^{-1}] \cdot \epsilon \cdot \left[ \frac{0.7 \cdot 10^{-38} E_{\nu} \text{ cm}^2}{\text{GeV}} \right] \underset{\text{cross section}}{\simeq} 40 \cdot 10^{-6} \frac{E_{\nu}}{\text{GeV}} \epsilon \frac{M}{\text{kg}}$$

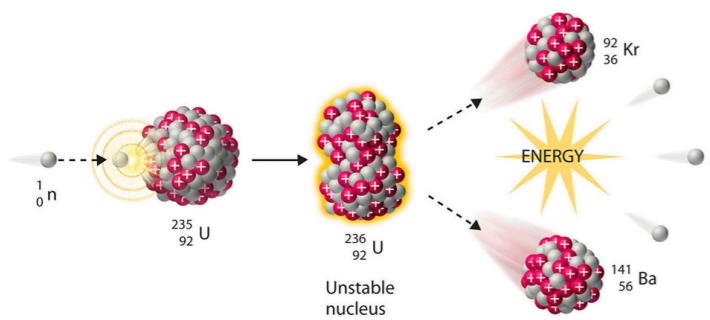
Number of nucleons	Effective year	Strong beam @ 1000 km	kTon required
--------------------	----------------	-----------------------	---------------

- A reactor is a powerful source of **anti-neutrinos**
  - Each U fission yields 200 MeV on average, and  $6 \nu_e$ 
    - Flux:  $\sim 2 \cdot 10^{20} \text{ s}^{-1} \text{ GW}^{-1}$ , isotropic,  $\langle E_\nu \rangle \approx 0.5 \text{ MeV}$
    - About  $\sim 4 \cdot 10^{12} \text{ s}^{-1} \text{ cm}^{-2}$  for 1 GW at **20 m from the core**

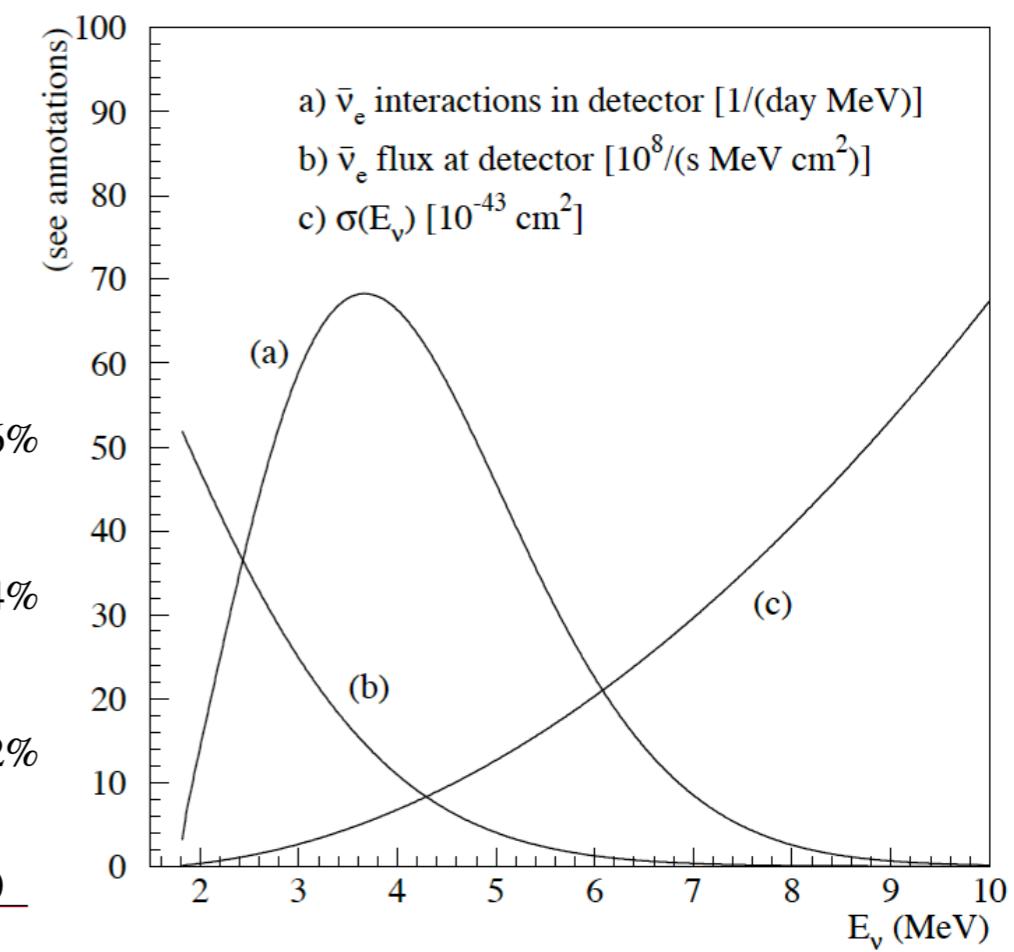
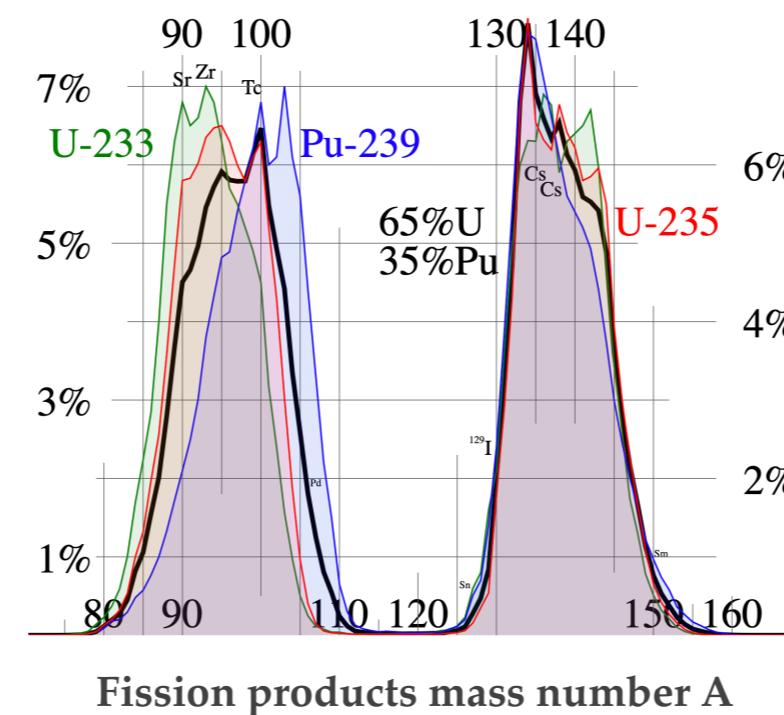


- The details of the anti-neutrino spectrum are **hard to compute**, and still subject of research

- Dominating process:  **$^{235}\text{U}$  fission** and sub-sequent  $\beta$  decays (**6 on average**)

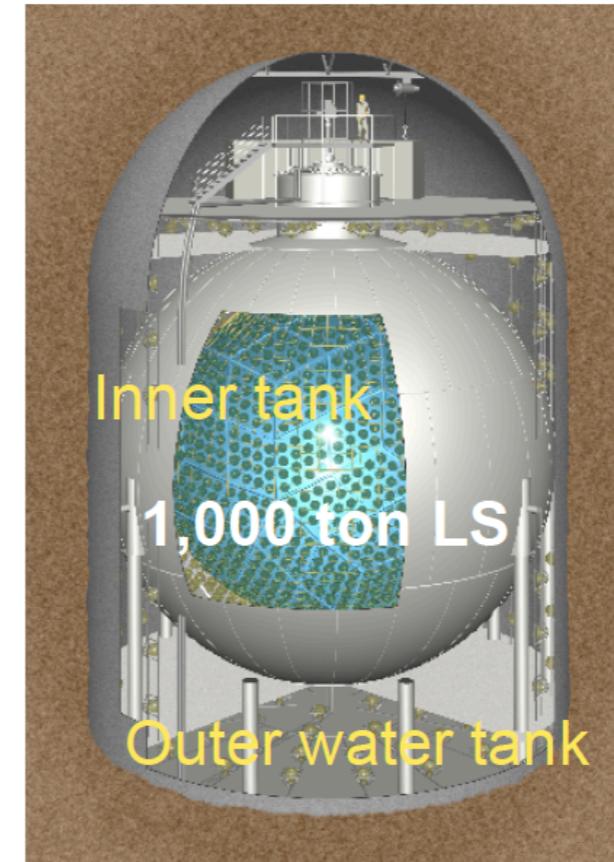
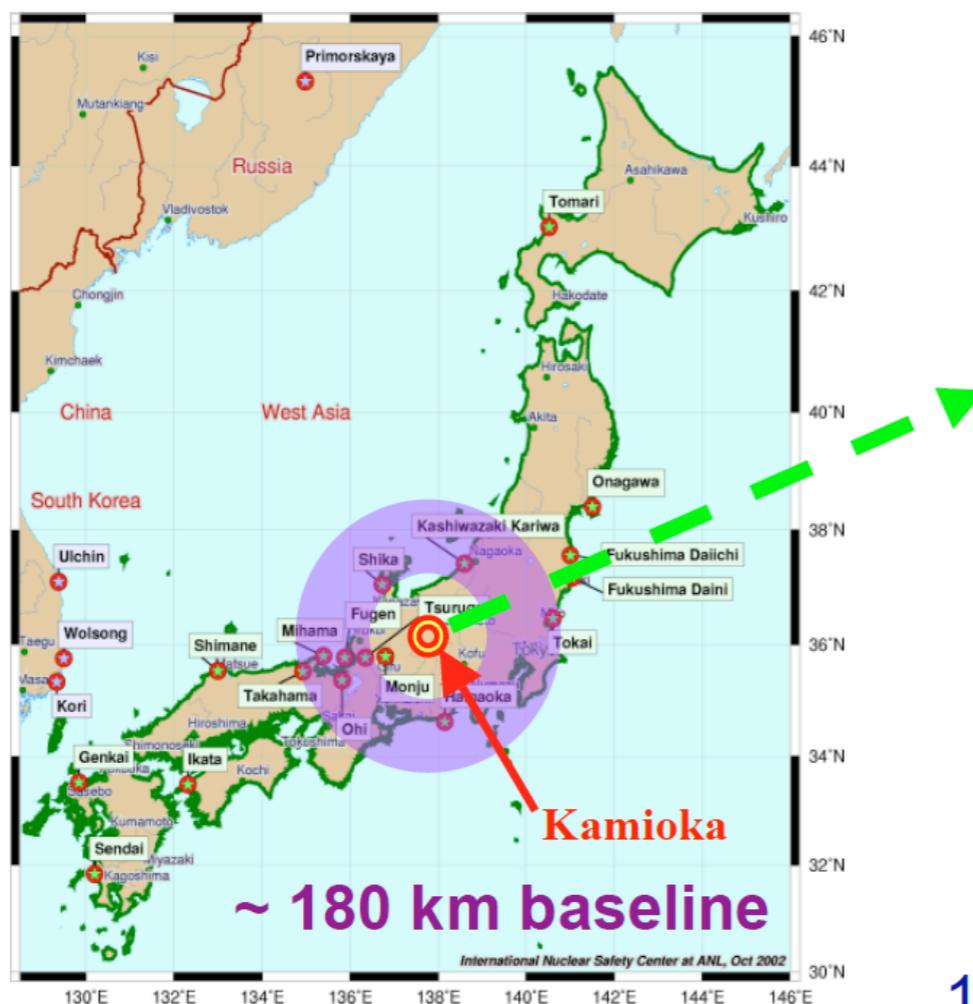


- The flux depends on **reactor type** and also on **time** because **fuel composition evolves**



# Example: KamLAND experiment

- Kamioka Liquid Scintillator Anti-Neutrino Detector



34% photo-coverage with  
1325 17" and 554 20" PMTs

2 flavor neutrino oscillation

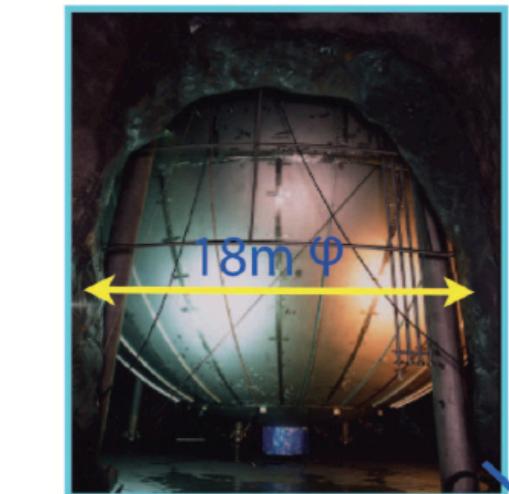
$$P(\nu_e \rightarrow \nu_e) = 1 - \sin^2 2\theta \sin^2 \left( \frac{1.27 \Delta m^2 [\text{eV}^2] l [m]}{E [\text{MeV}]} \right)$$

most sensitive region

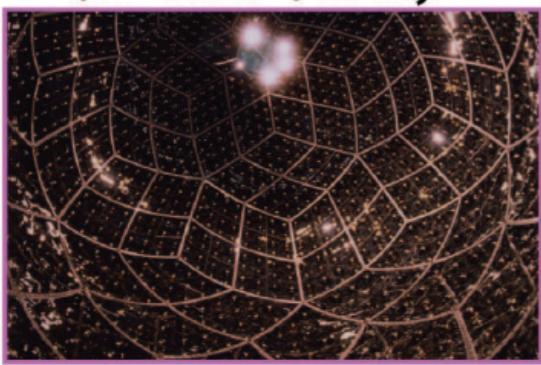
$$\Delta m^2 = (1/1.27) \cdot (E[\text{MeV}] / L[m]) \cdot (\pi/2)$$
$$\sim 3 \times 10^{-5} \text{ eV}^2$$

# KamLAND detector

Stainless steel tank



1879 PMT  
(17" & 20") array



Balloon (Nylon/EVOH)



Calibration system in Rn-free air

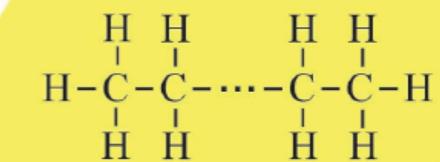
Electronics hut

13m

20m

Outer detector : 3.2kton water shield  
and 225 20"PM<sub>T</sub> s to detect cosmic  $\mu$ 's)

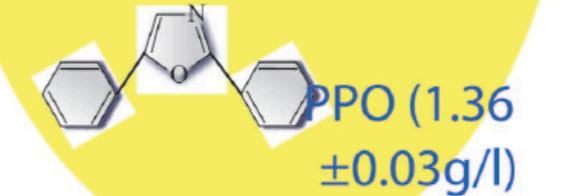
Liquid Scintillator  
~1kton



Normal dodecane ( $\text{C}_{12}\text{H}_{26}$ ) (80%)



Pseudocumene (20%)



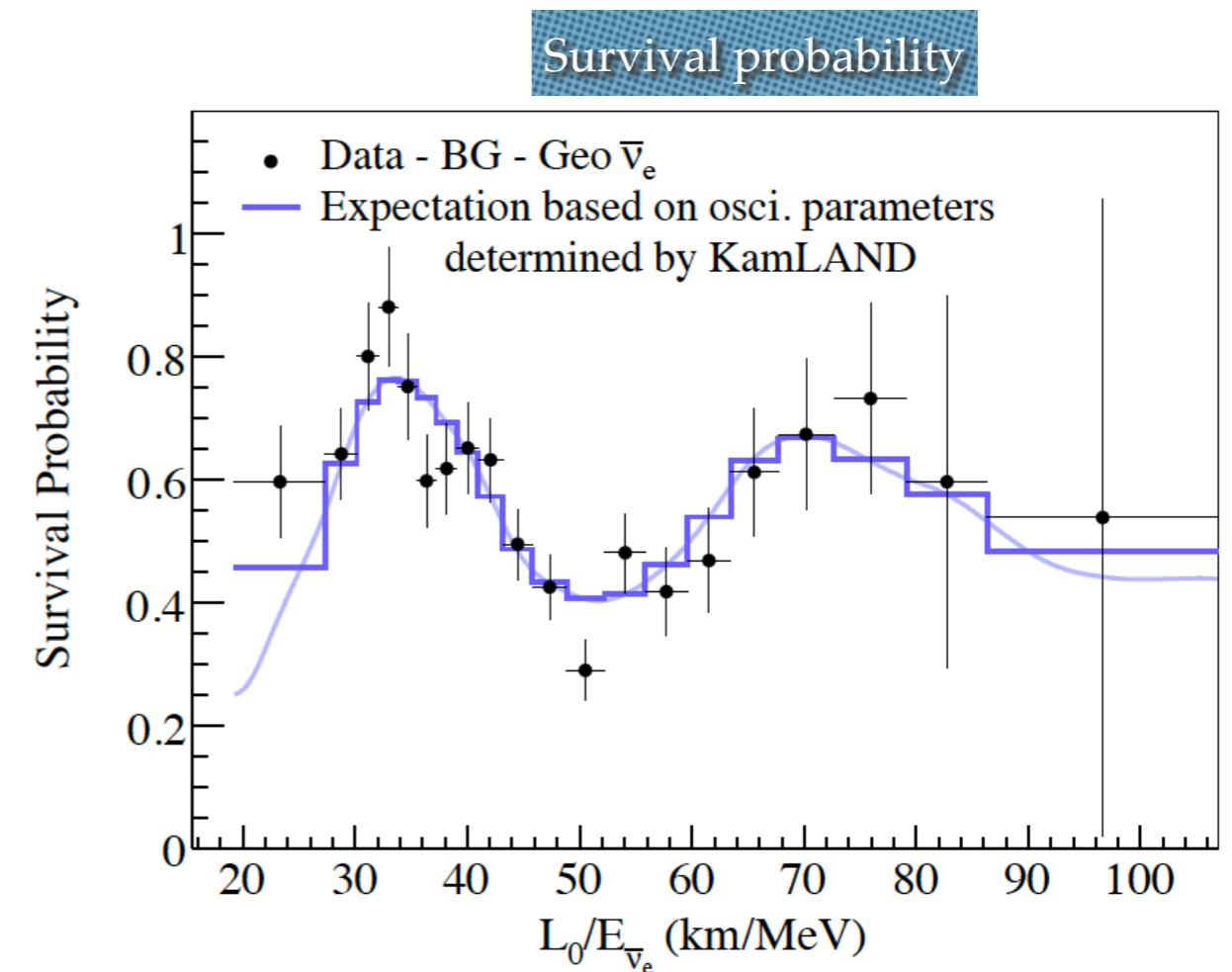
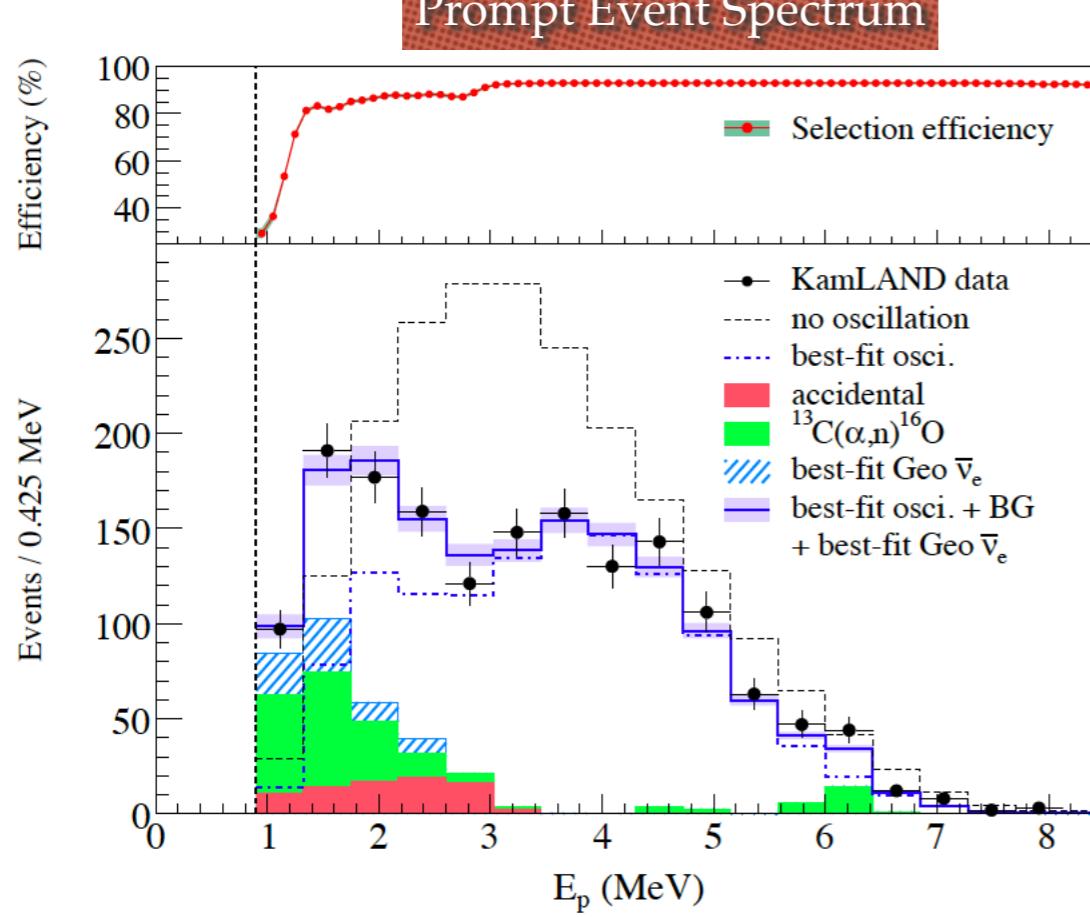
PPO ( $1.36 \pm 0.03 \text{ g/l}$ )

Buffer Oil (dodecane)

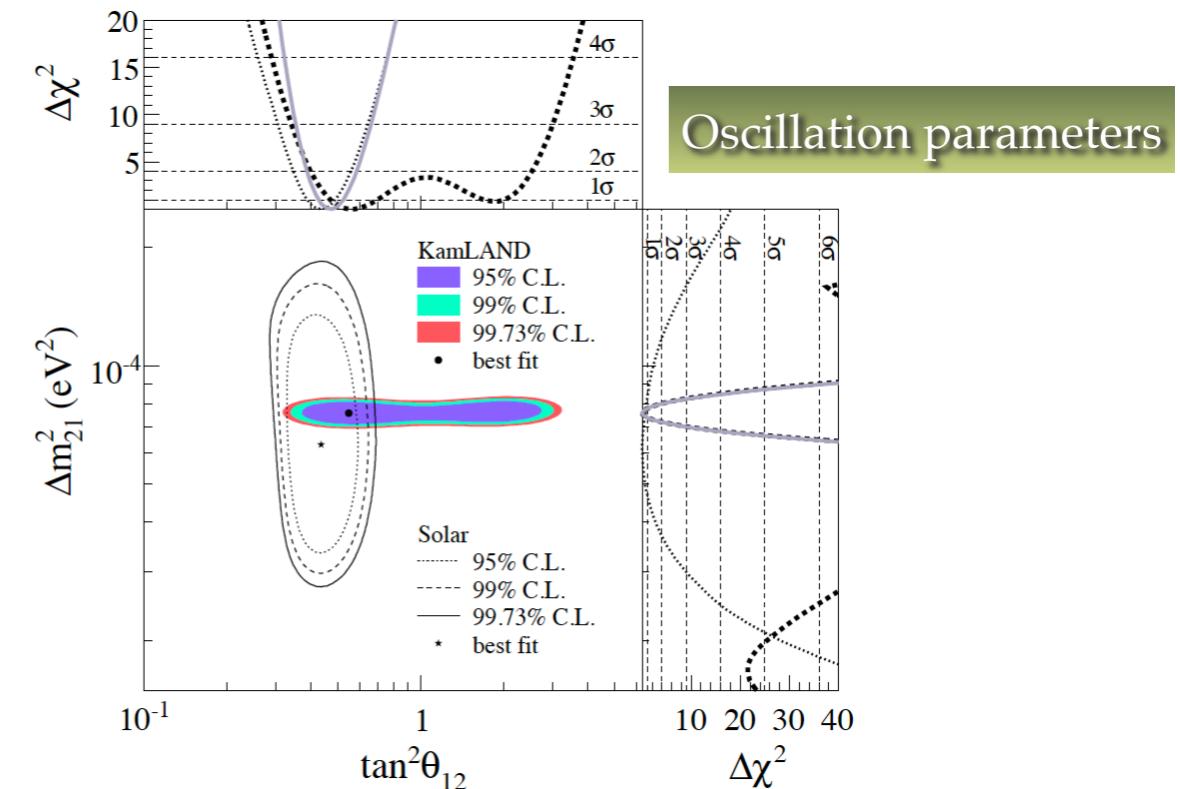
Vertex resolution  
 $\sim 12 \text{ cm} / \sqrt{\text{E} [\text{MeV}]}$

Energy resolution  
 $6.5\% / \sqrt{\text{E} [\text{MeV}]}$

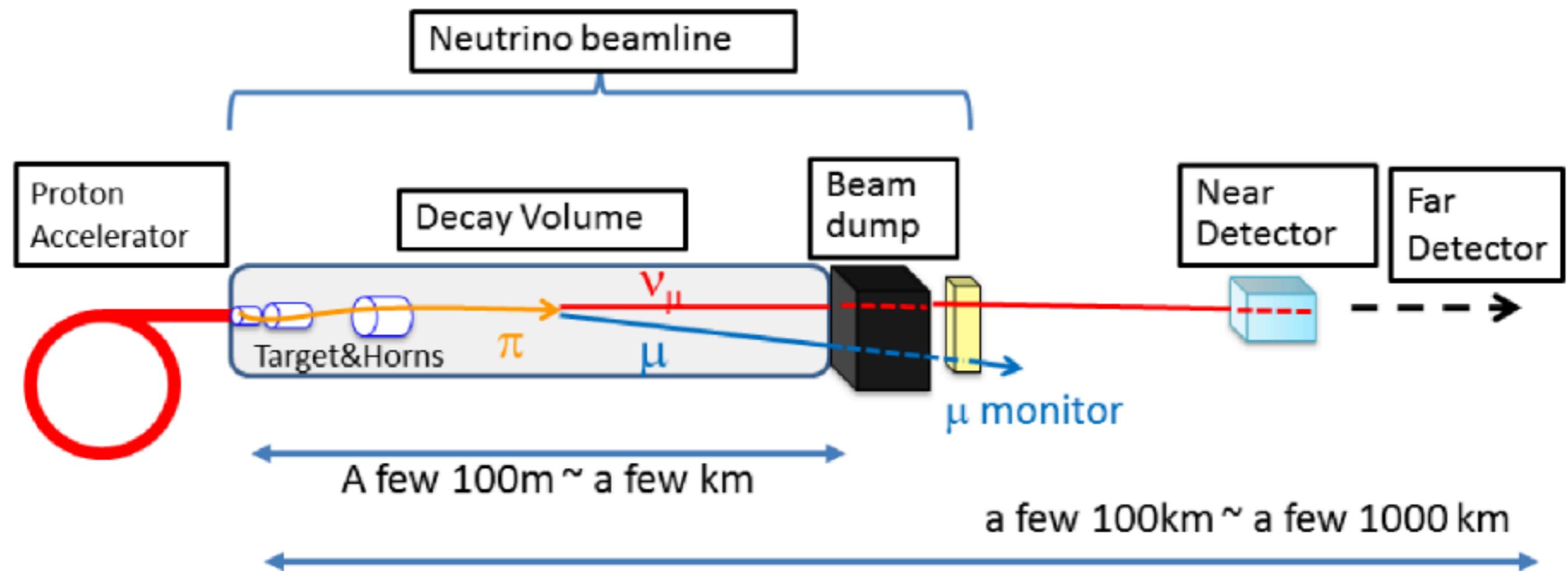
# A neat oscillation experiment



$$P(\nu_e \rightarrow \nu_e) = 1 - \sin^2 2\theta \sin^2 \left( \frac{1.27 \Delta m^2 [\text{eV}^2] l [m]}{E [\text{MeV}]} \right)$$



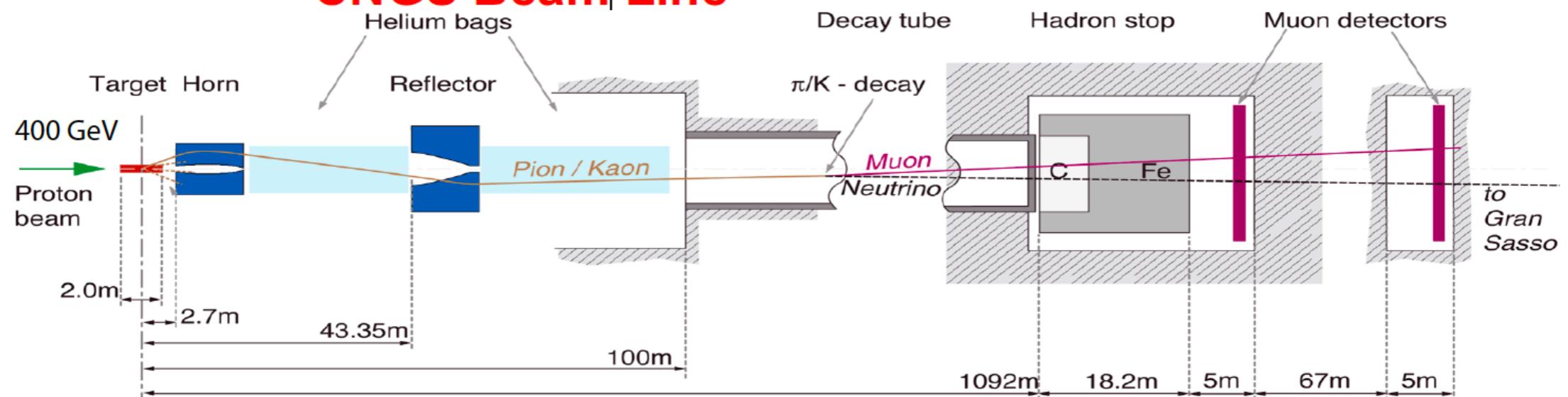
# How to make a neutrino beam



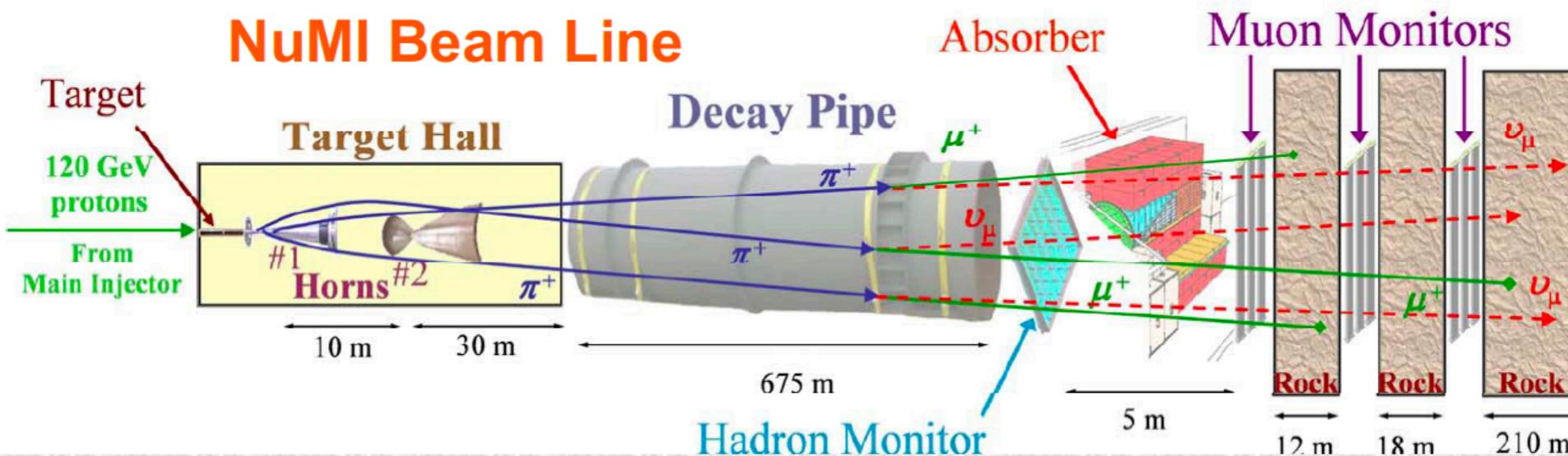
**FIGURE 1.** Components of the accelerator neutrino experiment

# Neutrino beams: examples

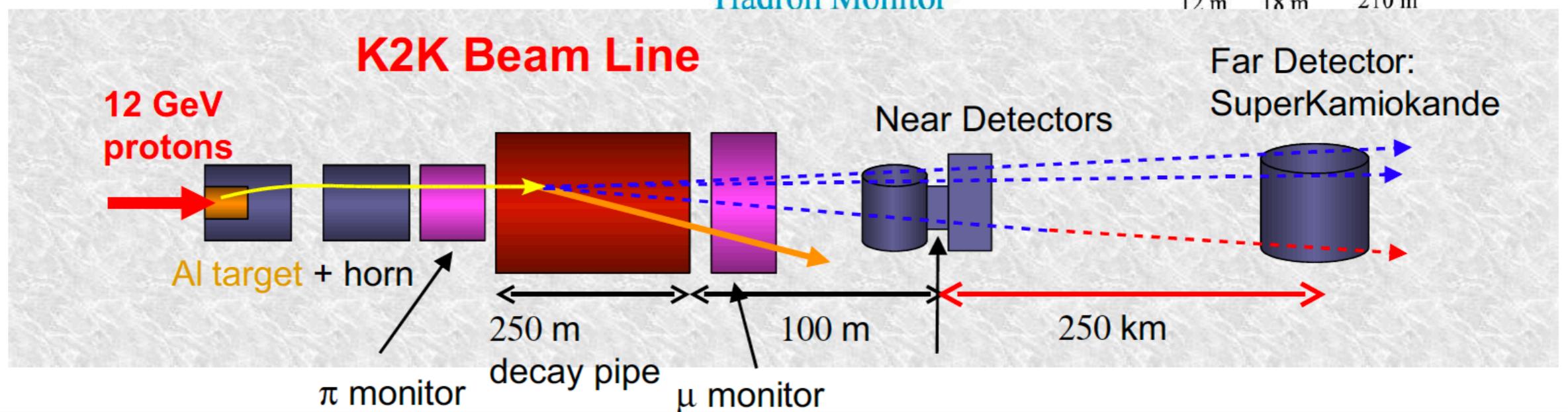
## CNGS Beam Line

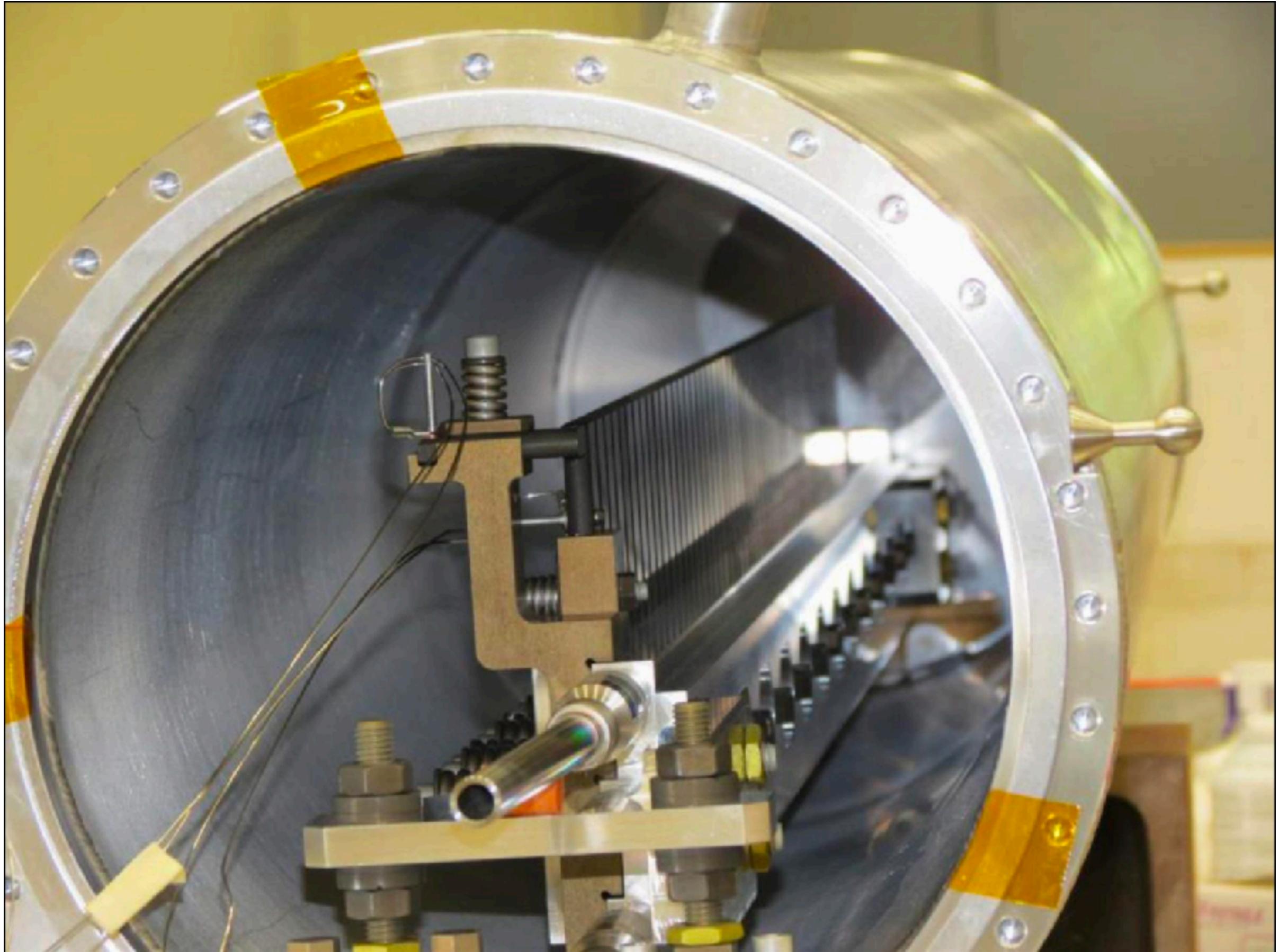


## NuMI Beam Line



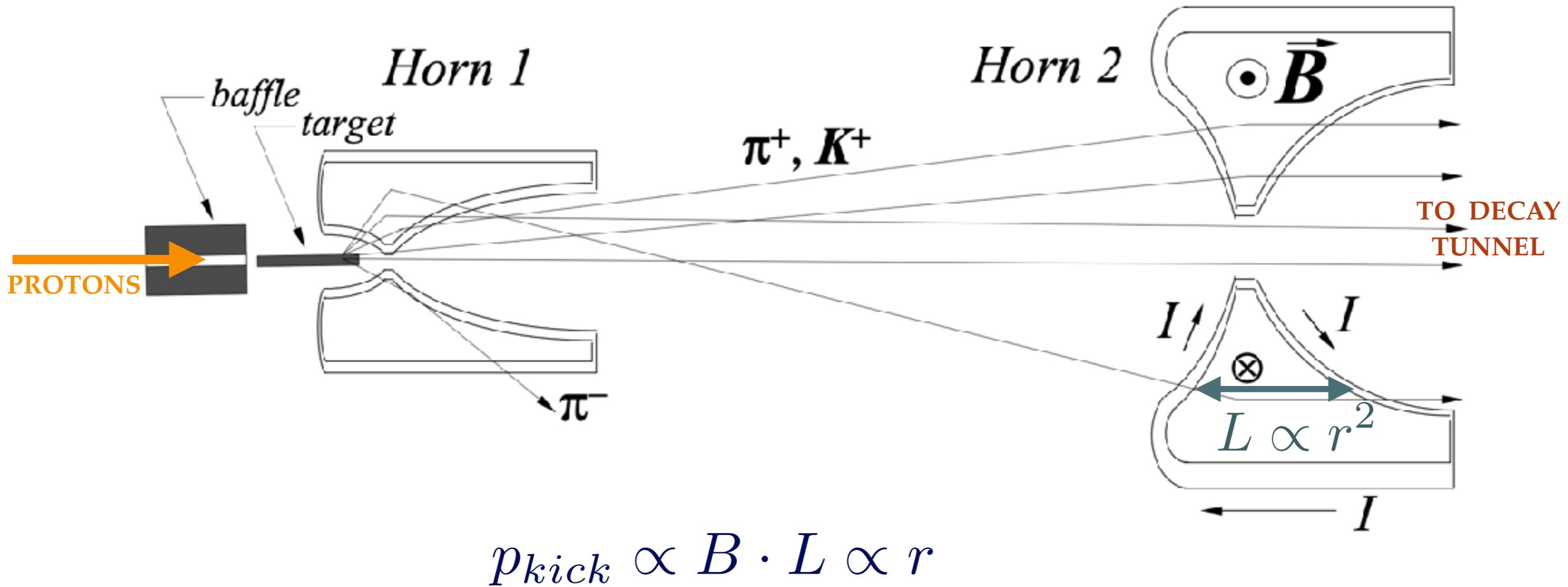
## K2K Beam Line



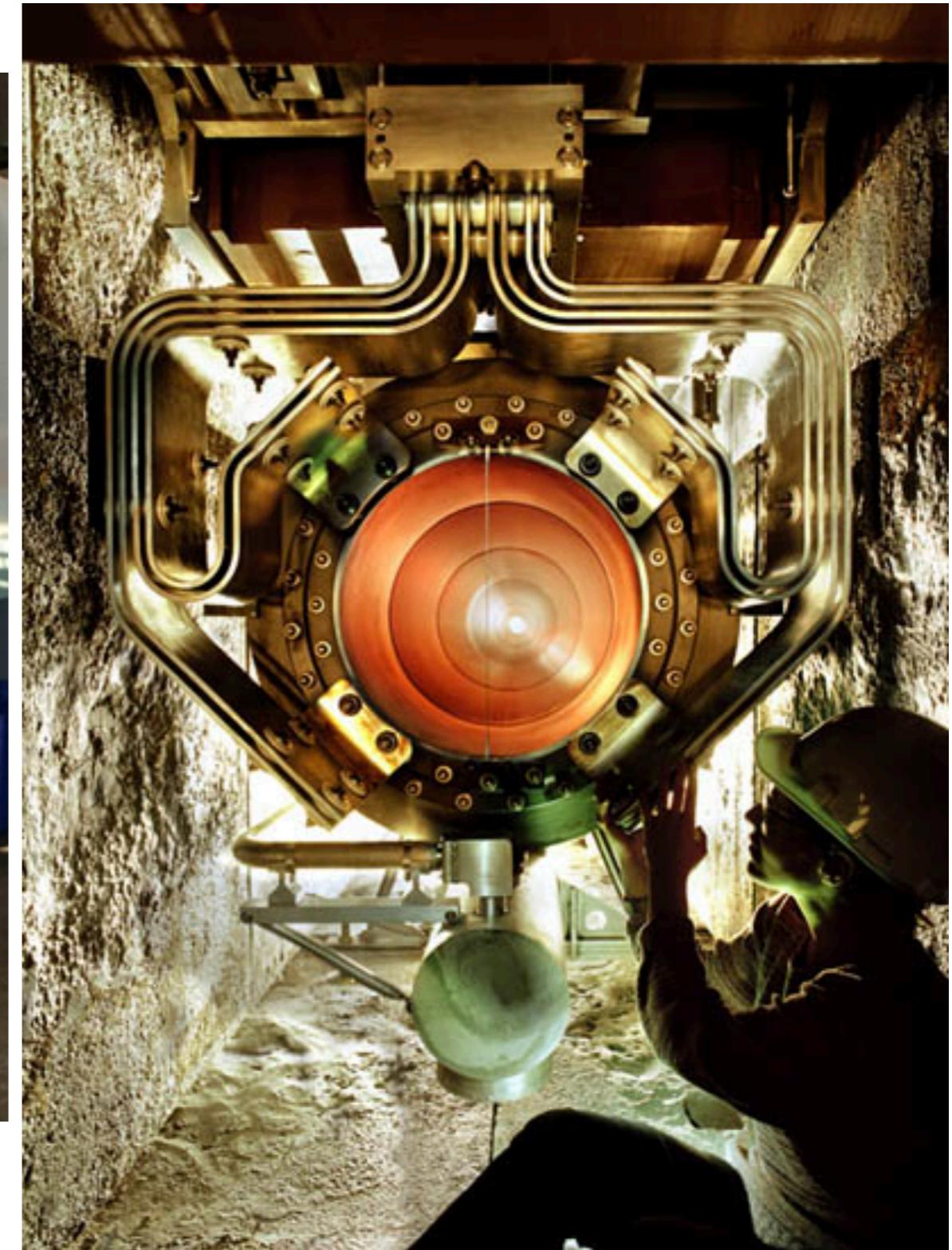


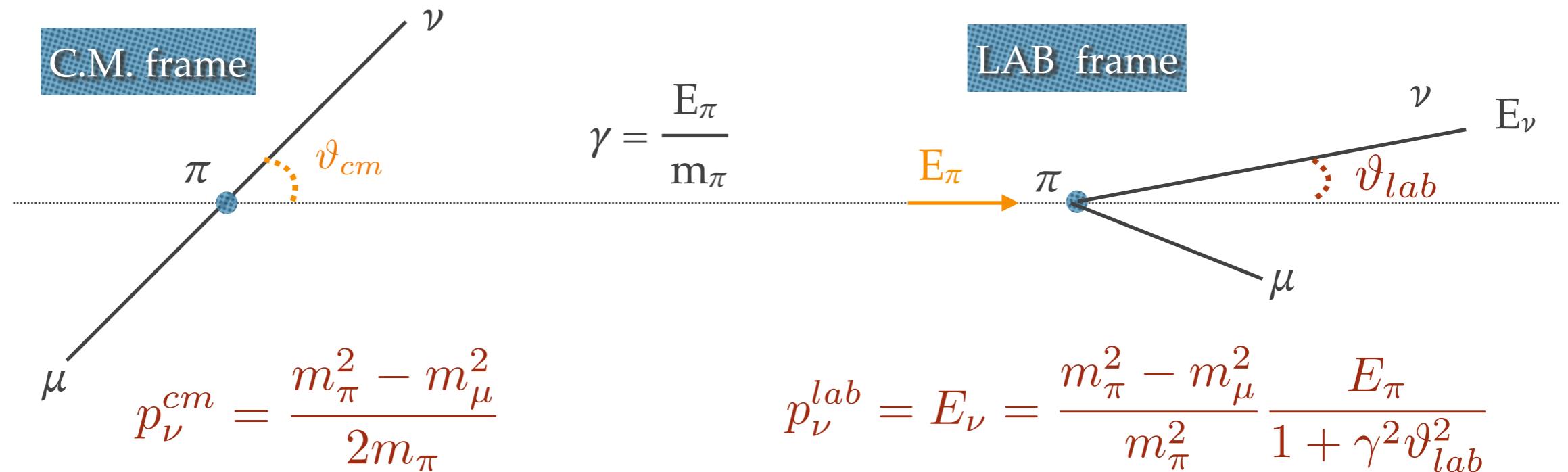
# Focussing

$$|\vec{B}| \propto \frac{1}{r}$$



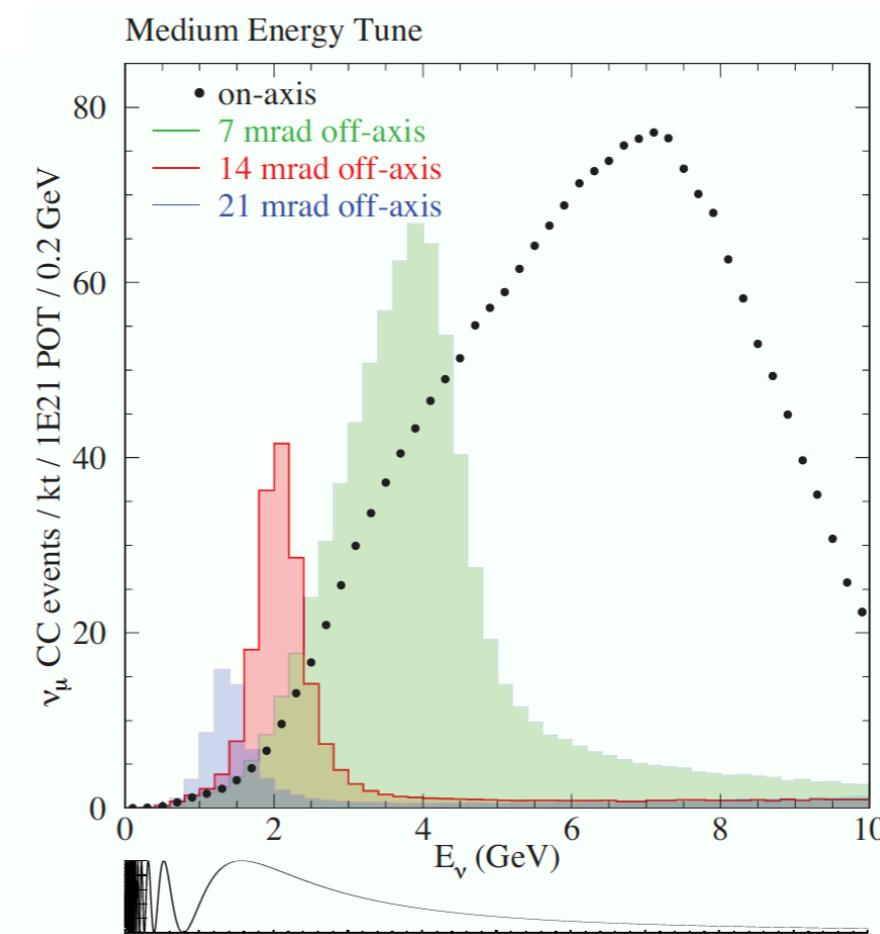
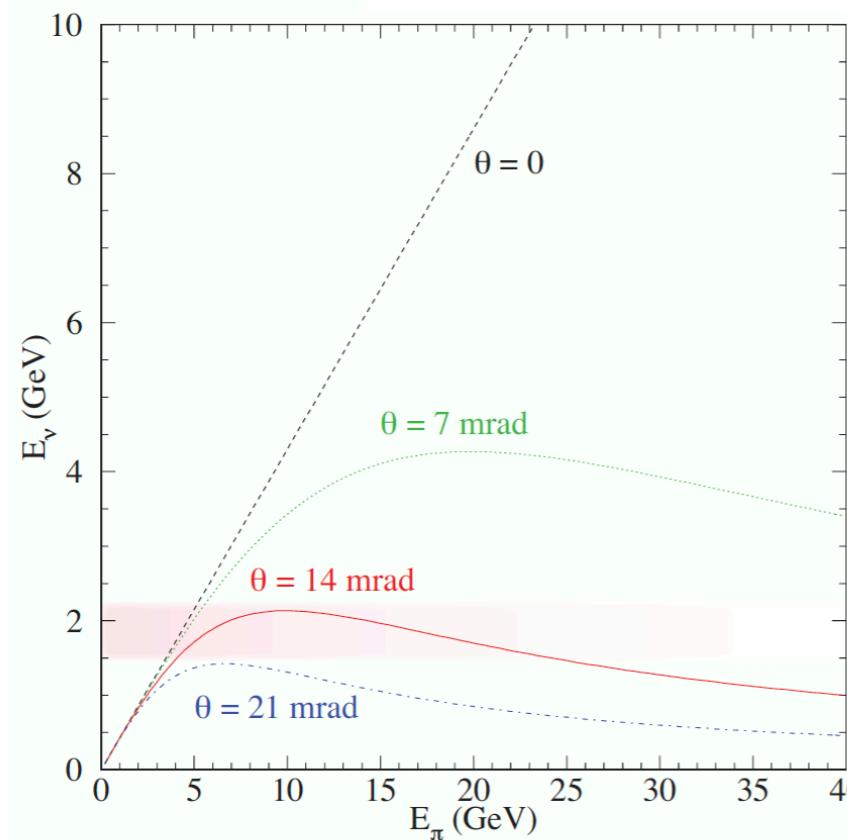
- “Forward” current: select  $\pi^+$ , and get mainly  $\nu_\mu$
- “Reversed” current: select  $\pi^-$ , and get mainly  $\bar{\nu}_\mu$





- OFF-axis there is a **strong correlation** between neutrino **energy and angle**

NuMi  
example

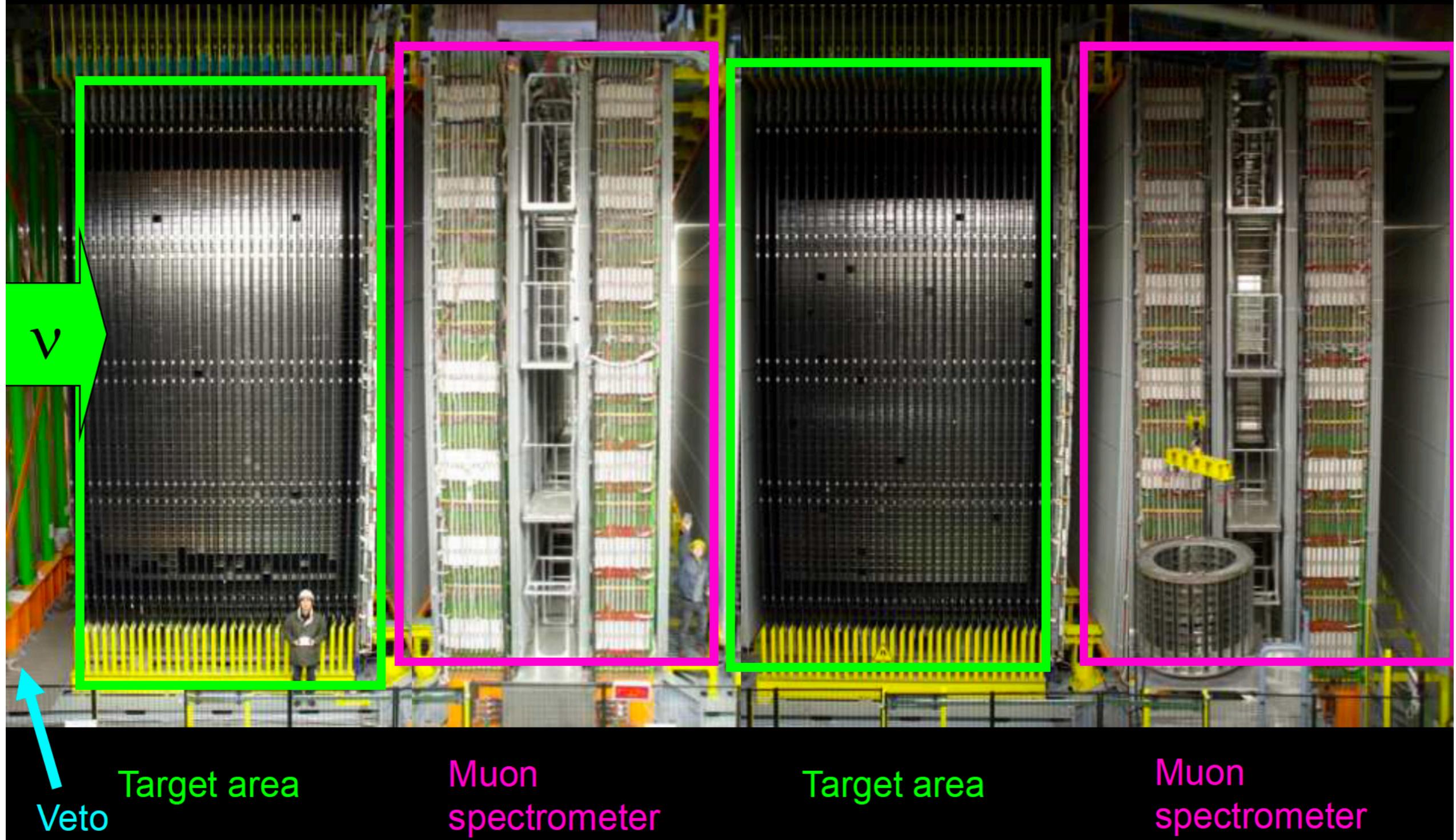


# Example: Opera experiment @ LNGS

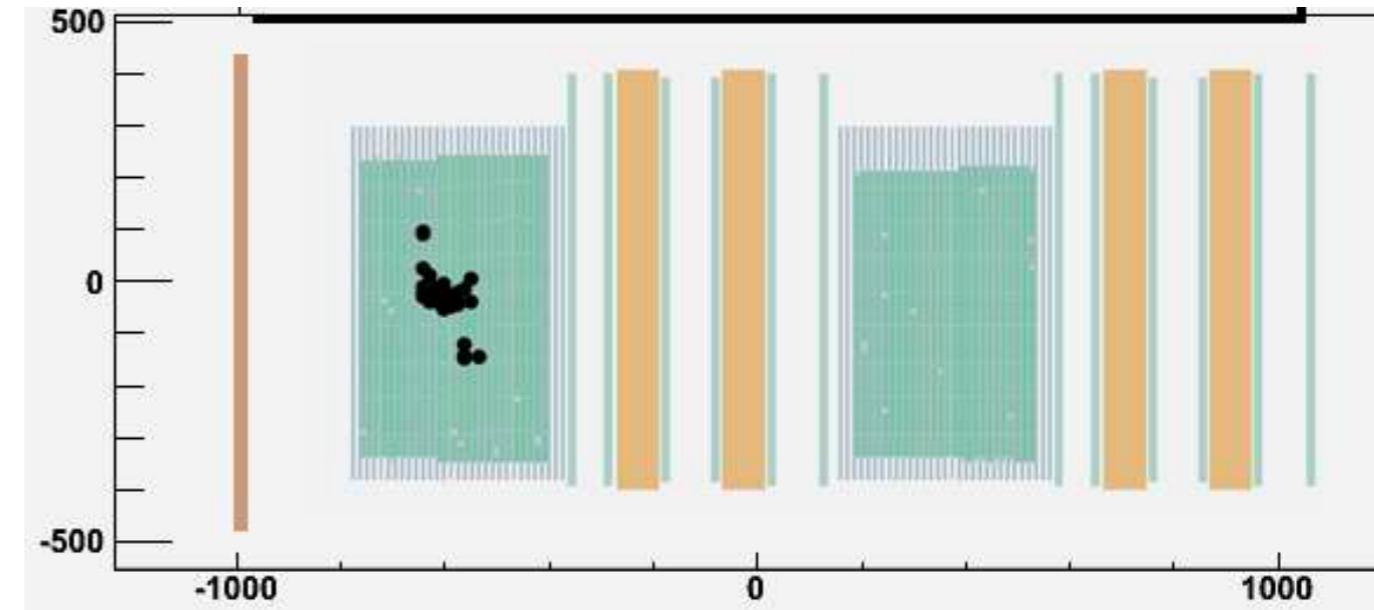
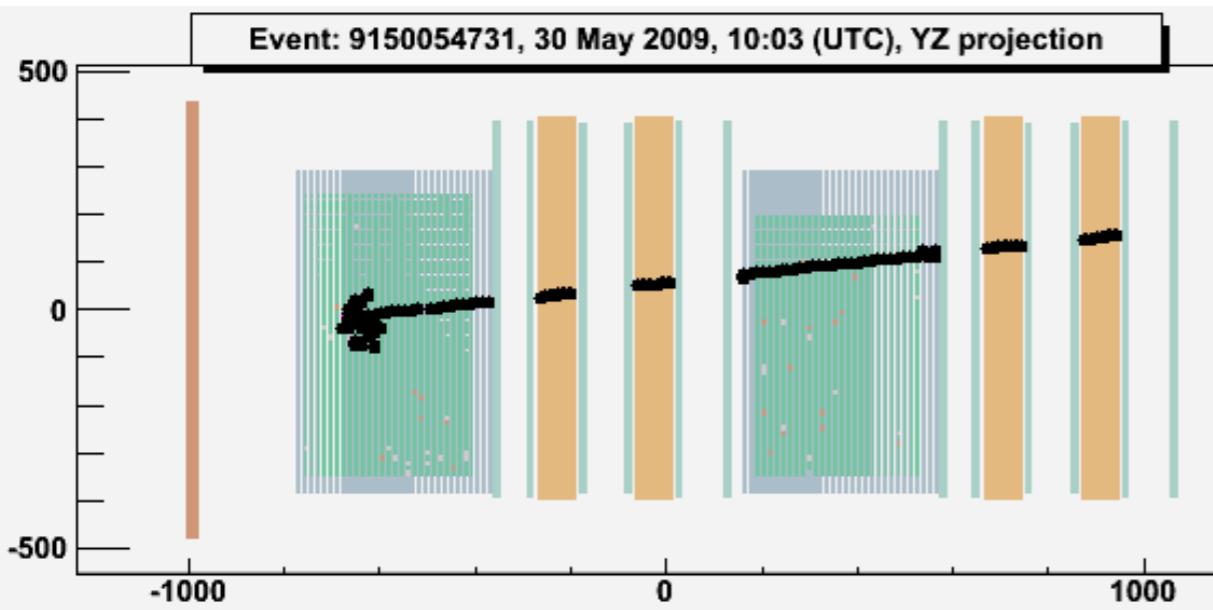
## OPERA Detector

GranSasso Undergroud Lab, Italy

~150000 ECC Bricks = Weight ~1250 ton



# Example: Opera experiment at LNGS

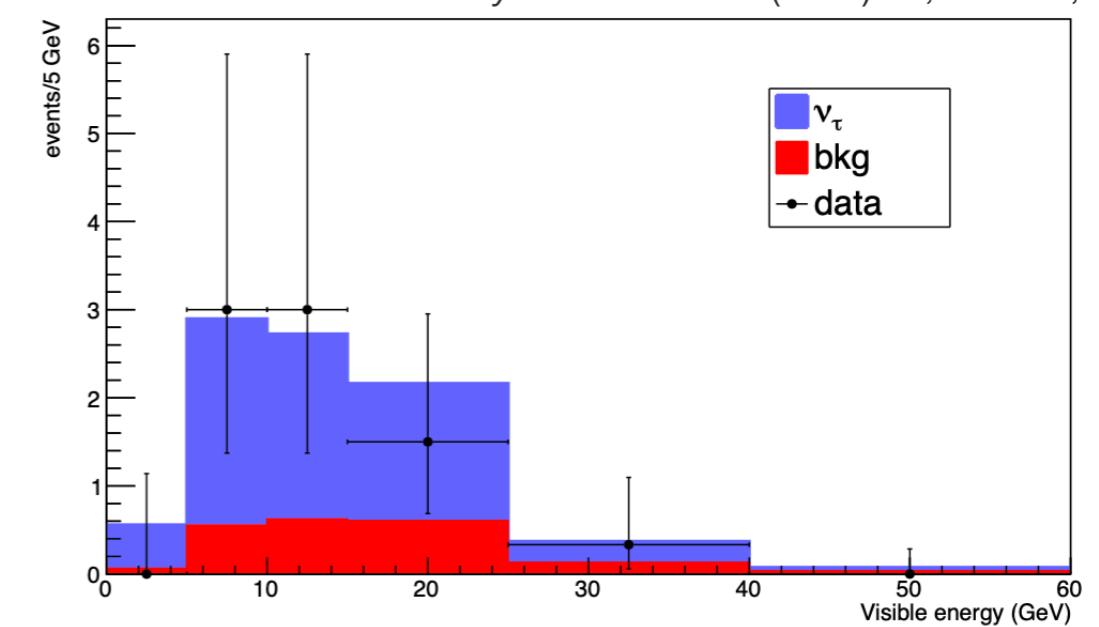
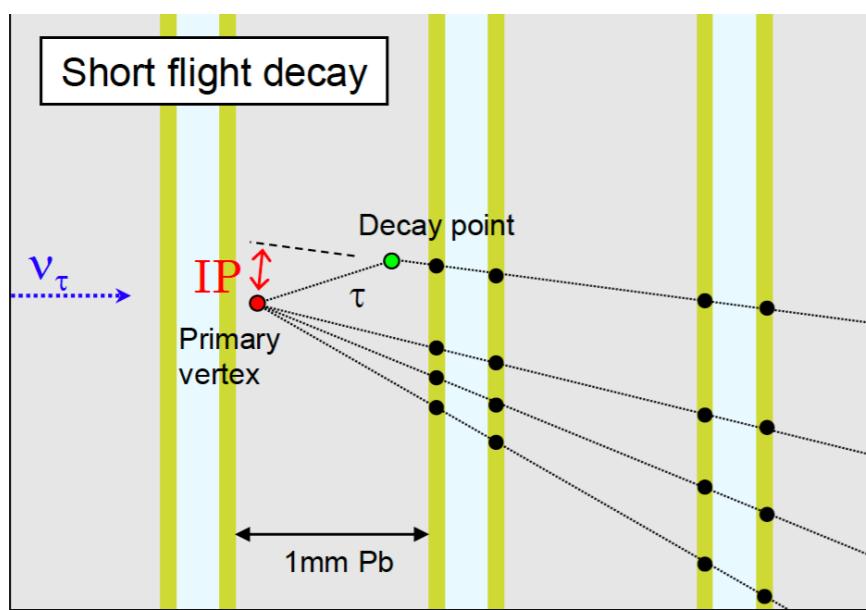


BRICK ID	72693	29570	23543	92217	130577	77152	27972	26670	136759	4838
Channel	$\tau \rightarrow 1h$	$\tau \rightarrow 3h$	$\tau \rightarrow \mu$	$\tau \rightarrow 1h$	$\tau \rightarrow 3h$	$\tau \rightarrow 3h$				
$z_{dec}$ ( $\mu m$ )	435	1446	151	406	630	430	652	303	-648	407
$p_{miss}^T$ (GeV/c)	0.52	0.31	/	0.55	0.30	0.88	1.29	0.46	0.60	> 0.50
$\phi_{IH}$ (degrees)	173	168	/	166	151	152	140	143	82	47
$p_{2ry}^T$ (GeV/c)	0.47	/	0.69	0.82	1.00	0.24	0.25	0.33	/	/
$p_{2ry}$ (GeV/c)	12	8.4	2.8	6.0	11	2.7	2.6	2.2	6.7	> 6.3
$\theta_{kink}$ (mrad)	41	87	245	137	90	90	98	146	231	83
$m$ (GeV/ $c^2$ )	/	0.80	/	1.2	> 0.94	/	/	/	1.2	> 0.94
$\gamma$ at decay vtx	2	0	0	0	0	1	0	0	0	2
charge <sub>2ry</sub>	/	/	-1	/	/	/	/	/	/	/
BDT Response	0.32	-0.05	0.37	0.12	0.35	0.18	-0.25	-0.10	-0.04	-0.03

TABLE IV. Kinematical variables and BDT response for all  $\nu_\tau$  candidates.

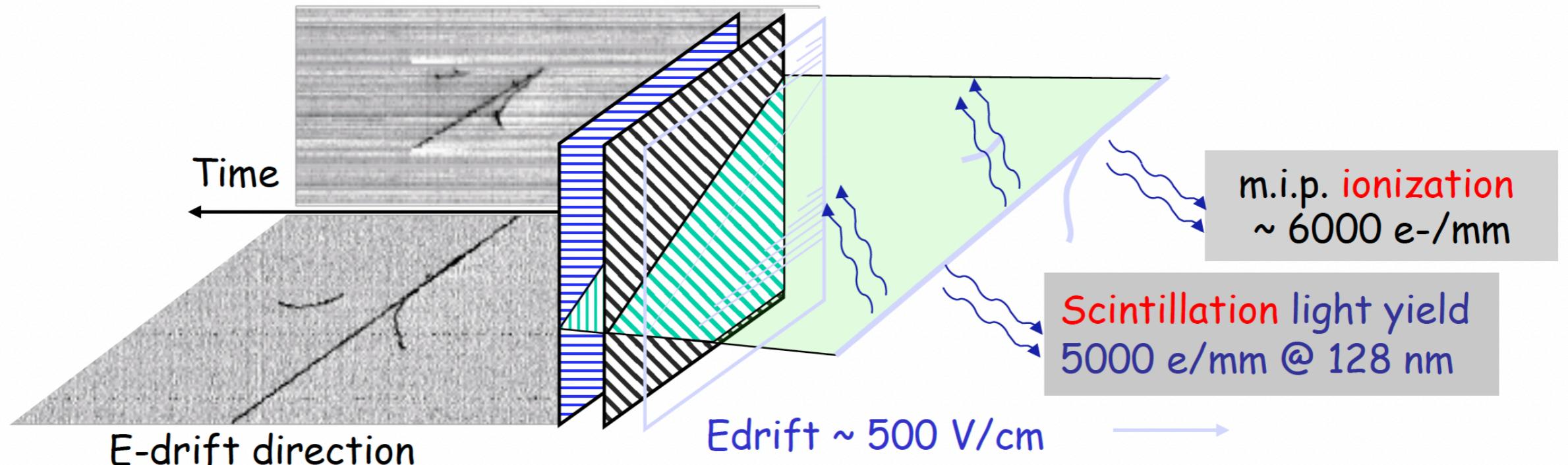
10 candidates

Phys.Rev.Lett. 120 (2018) 21, 211801,

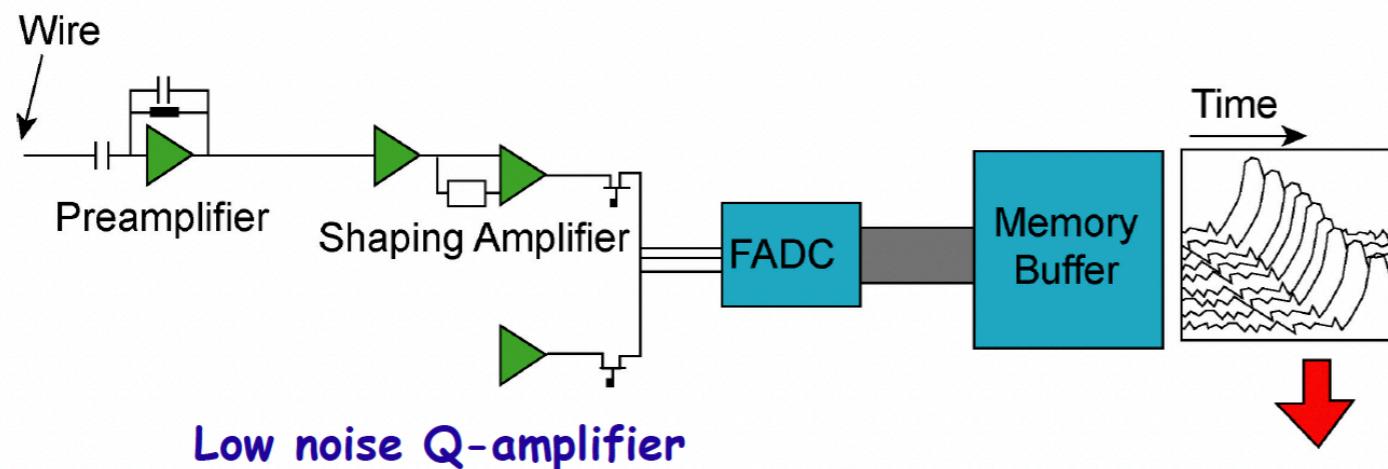


# Example: Noble Liquid Detectors

A new, powerful detection technique initiated at CNGS



Drifting electrons are moving to transparent wire arrays oriented in different directions, where signals are recorded.



**Continuous waveform recording**

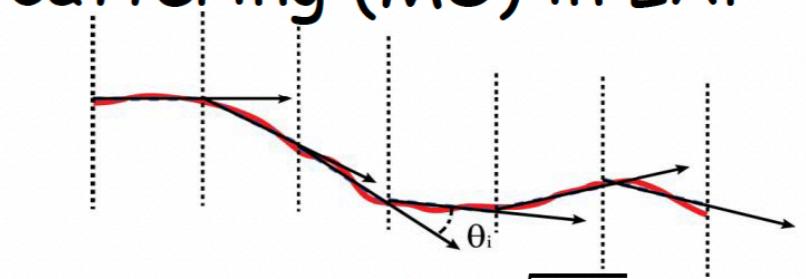
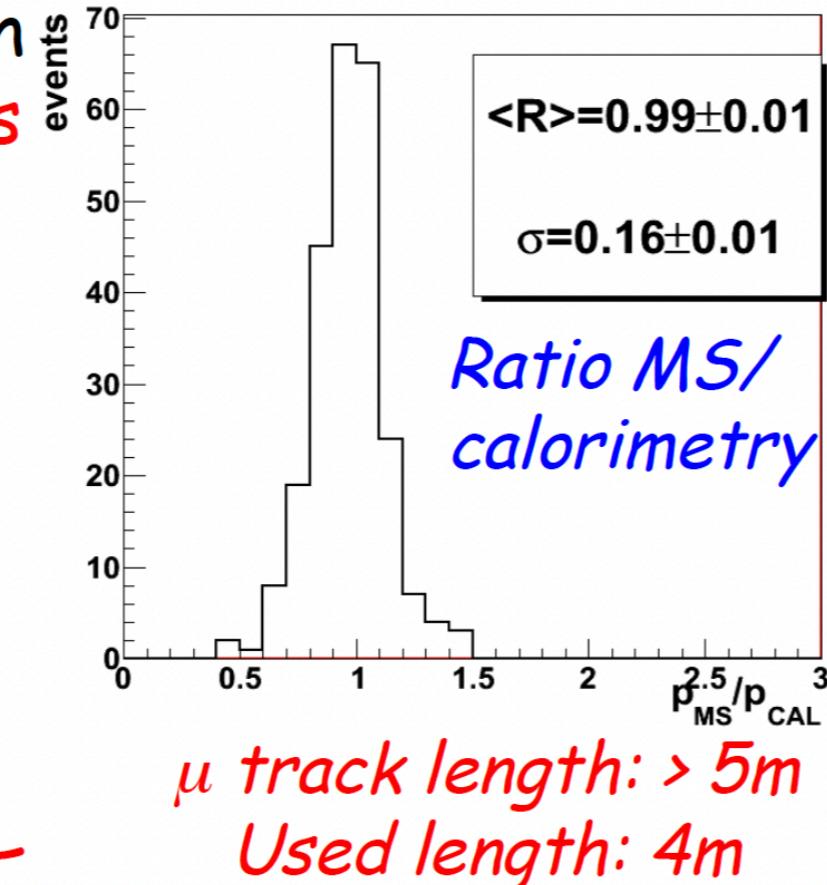
- High density
- Non-destructive readout
- Continuously sensitive
- Self-triggering
- Very good scintillator: T0

# Measurement of muon momentum via multiple scattering

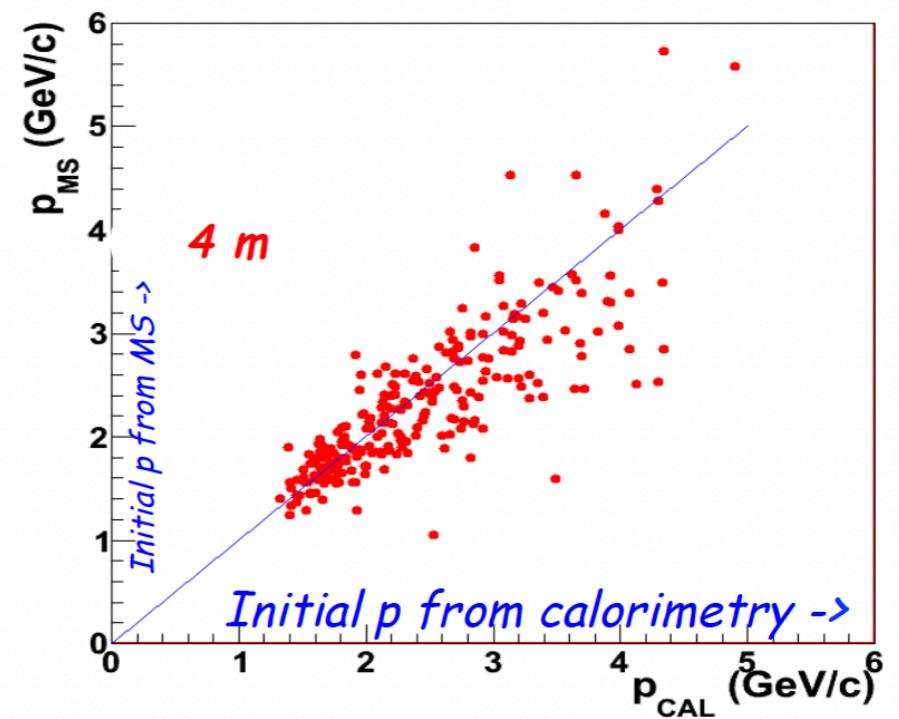
In absence of a magnetic field, the initial  $\mu$  momentum may be determined through the reconstruction of multiple Coulomb Scattering (MS) in LAr

RMS of  $\theta$  deflection of  $\mu$  depends on  $p$ , spatial resolution  $\sigma$  and track segmentation

Method tested on ~103 stopping  $\mu$ 's from CNGS  $\nu$  interactions in upstream rock, comparing PMS measured by MS with the corresponding calorimetric PCAL

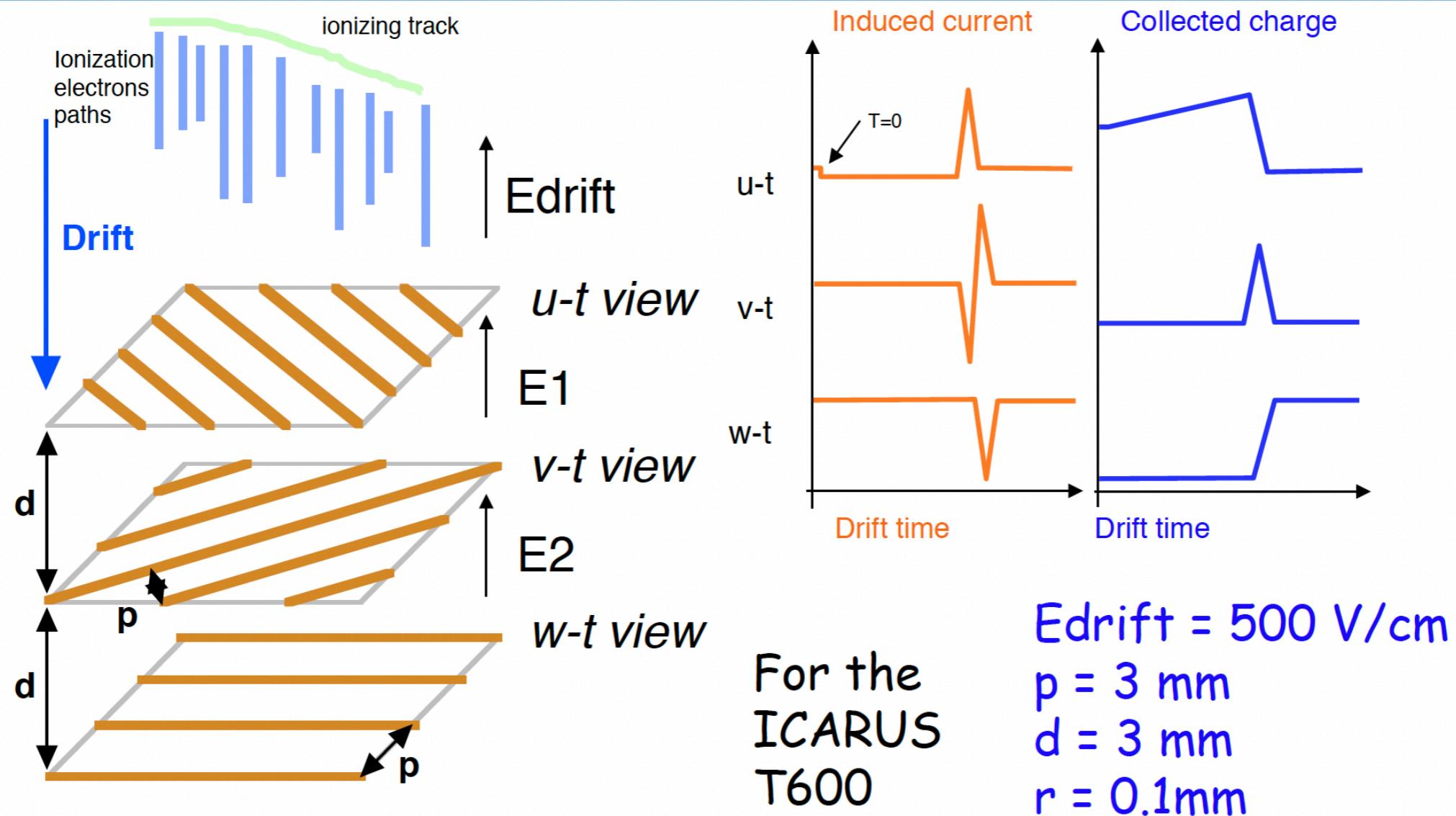


$$\theta_{RMS} \div \frac{13.6 MeV}{p} \sqrt{\frac{l}{X_0}} \oplus \frac{\sigma}{l^{3/2}}$$



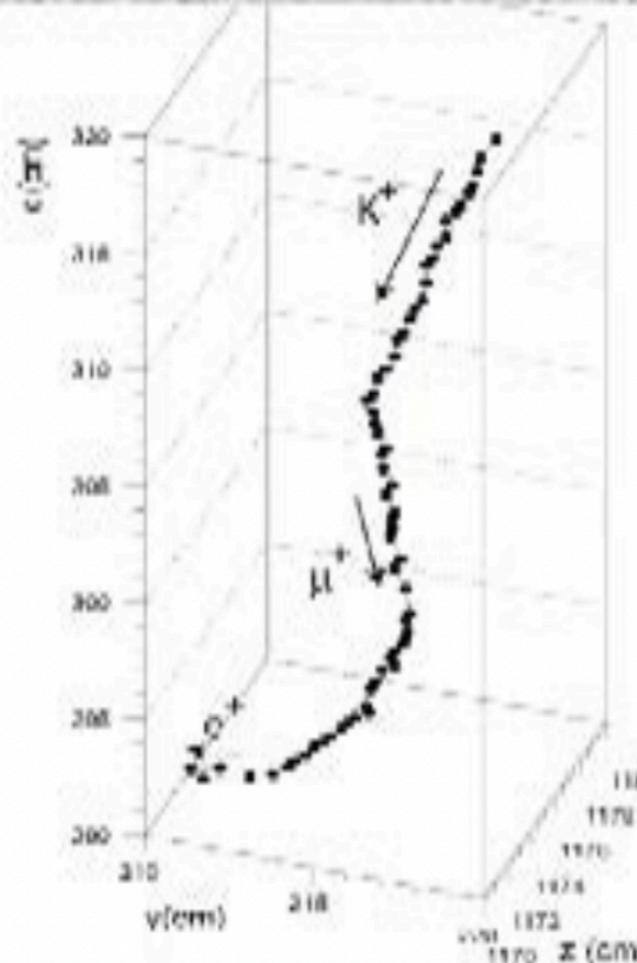
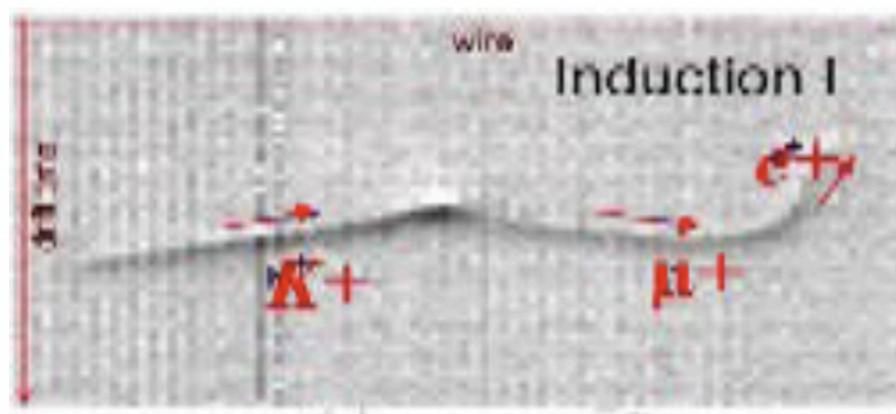
~16% resolution has been obtained in the 0.4-4 GeV /c momentum range of interest for the future short/long base-line experiments

# Non destructive, multiple charge readout

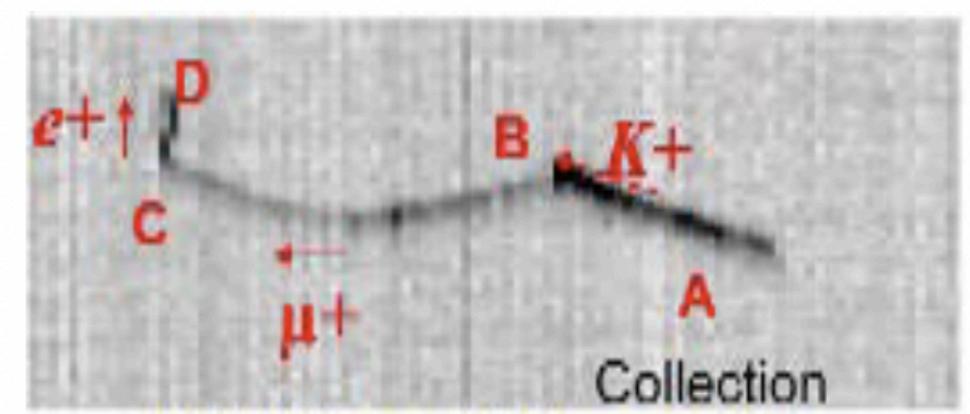


- At FNAL's shallow depth, the T600 will require two additions:
  - 3 m concrete overburden to mitigate the c. rays background,
  - Particles entering the detector must be removed with a Cosmic Rays Tagging (CRT) around the full LAr volume

# 3 D particle Identification ( $K^+ \rightarrow \mu^+ \rightarrow e^+$ ) at CNGS



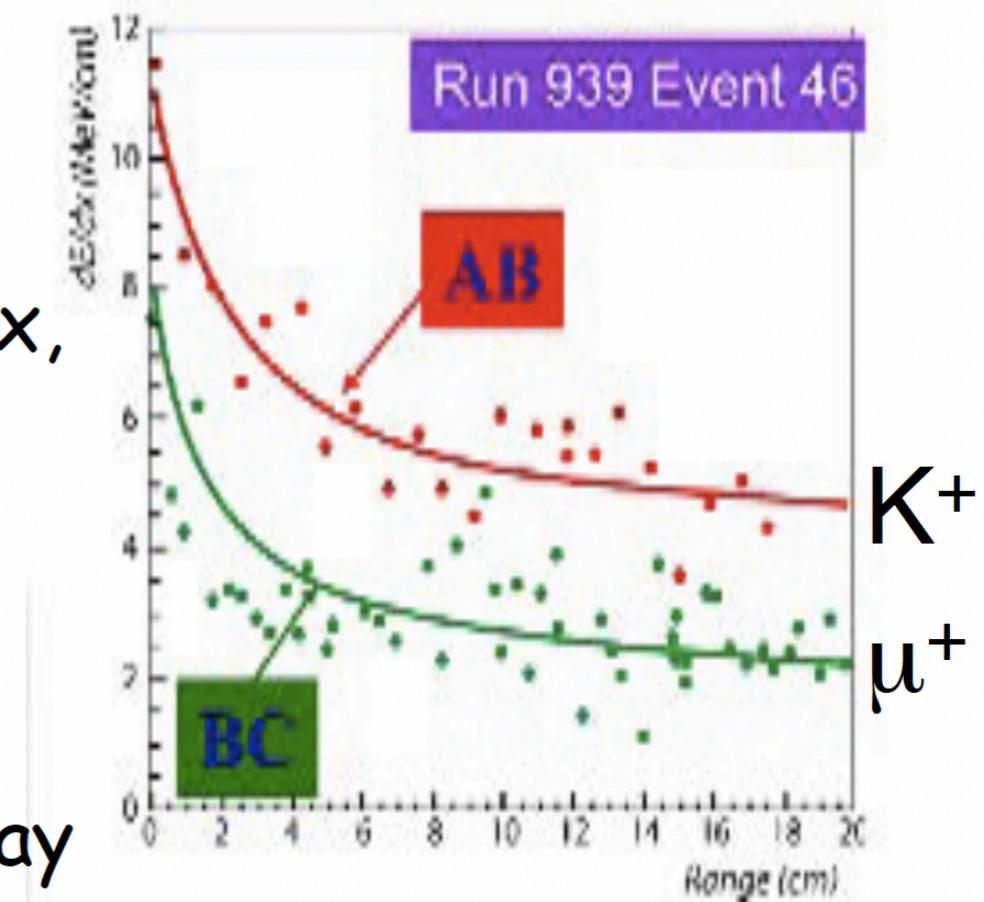
*ICARUS EVENT*



$$K^+ [AB] \rightarrow \mu^+ [BC] \rightarrow e^+ [CD]$$

Efficient, low mis-identification, due to precise 3D reconstruction,  $dE/dx$ , range measurement

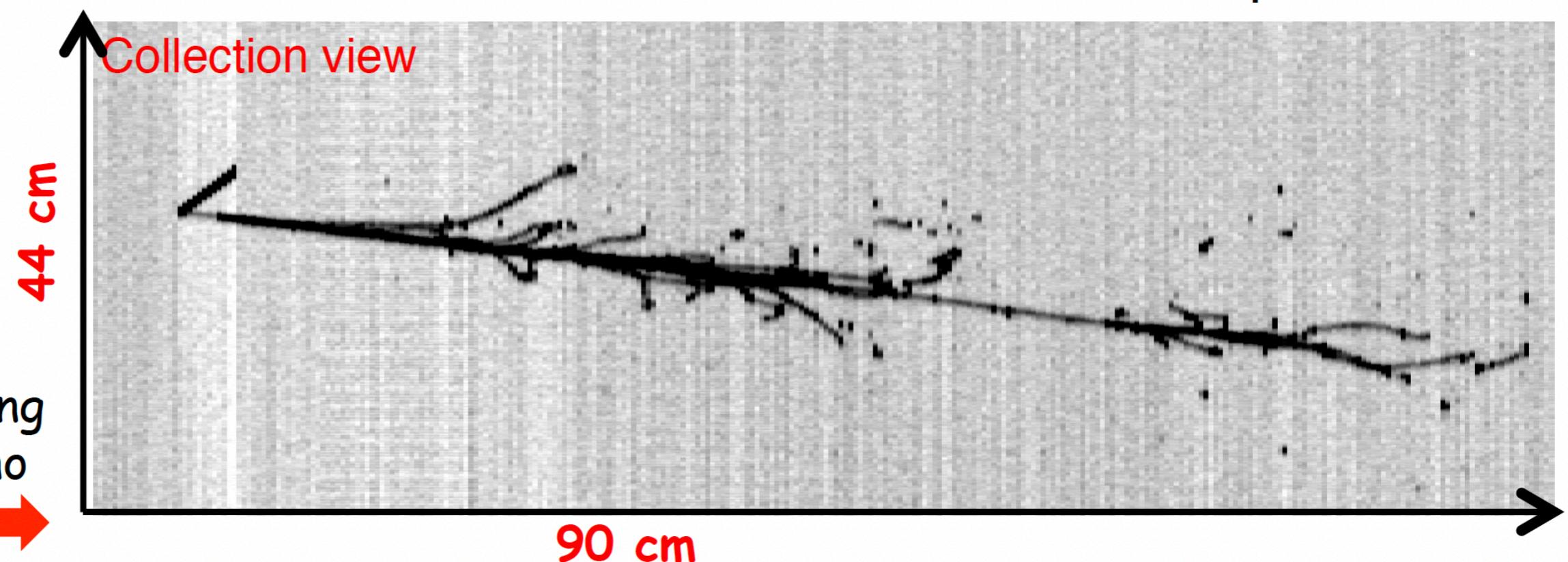
- stopping power
- recognition of secondary particle production after decay interaction



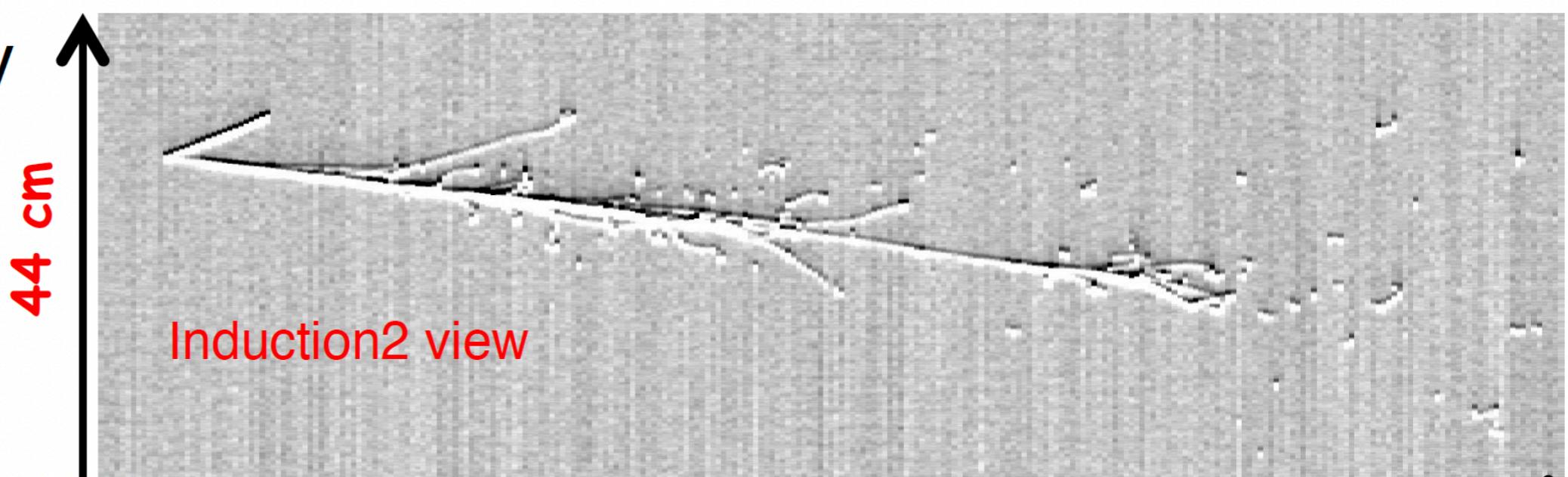
# MC event Run 5 SubRun 44 Event 64

- A clear q.e. ve event:  $p + e$ .

$E\nu = 1.34 \text{ GeV}$     $E_{\text{dep}} = 1.29 \text{ GeV}$

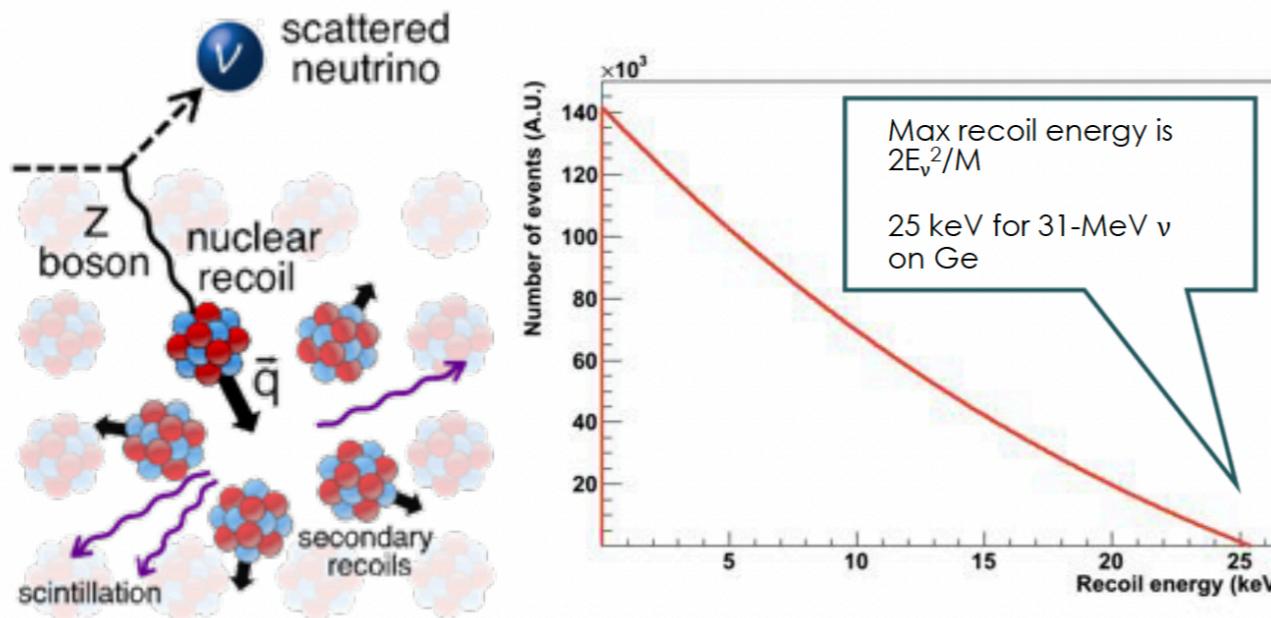


$$\begin{aligned}
 E_e &= 1.21 \text{ GeV} \\
 T_p &= 93 \text{ MeV} \\
 R_p &= 7 \text{ cm} \\
 T_p/R_p &= 13.2 \text{ MeV/cm}
 \end{aligned}$$



# Coherent elastic neutrino-nucleus scattering (CEvNS)

A neutrino scatters on a nucleus via exchange of a Z, and the nucleus recoils as a whole; **coherent** up to  $E_\nu \sim 50$  MeV



CEvNS cross section is well calculable in the Standard Model

$$\frac{d\sigma}{d\Omega} = \frac{G^2}{4\pi^2} k^2 (1 + \cos\theta) \frac{(N - (1 - 4\sin^2\theta_W)Z)^2}{4} F^2(Q^2)$$

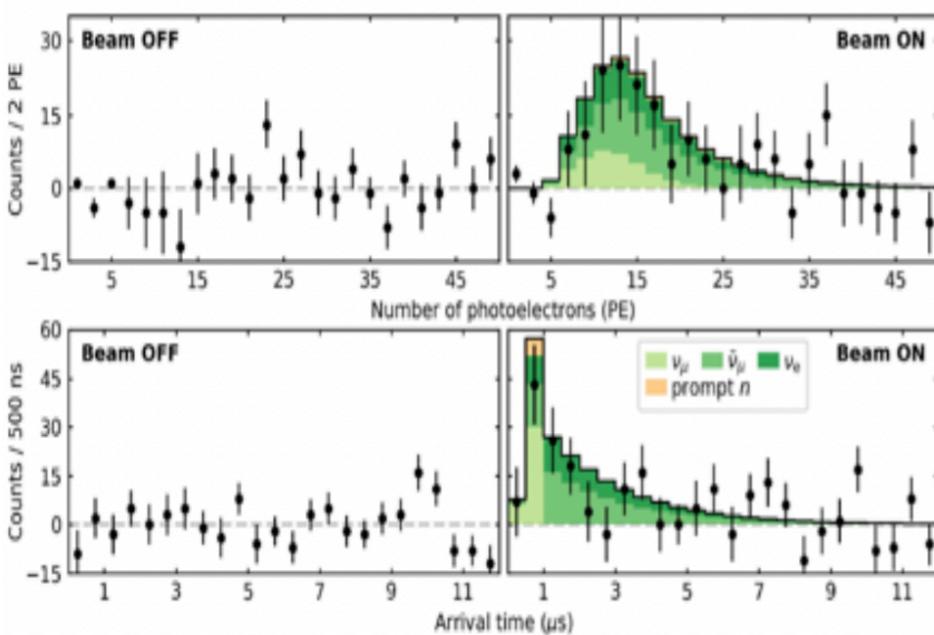
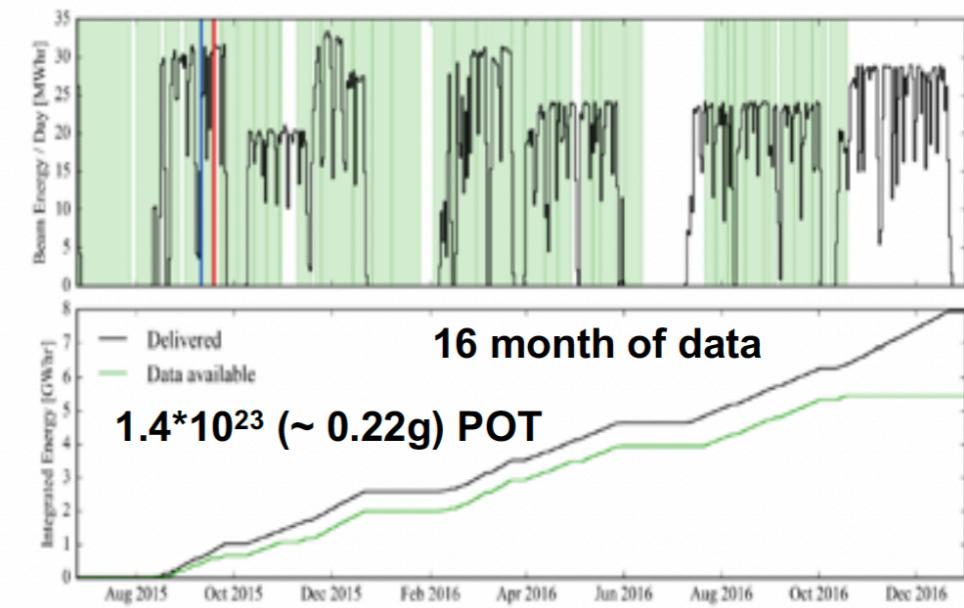
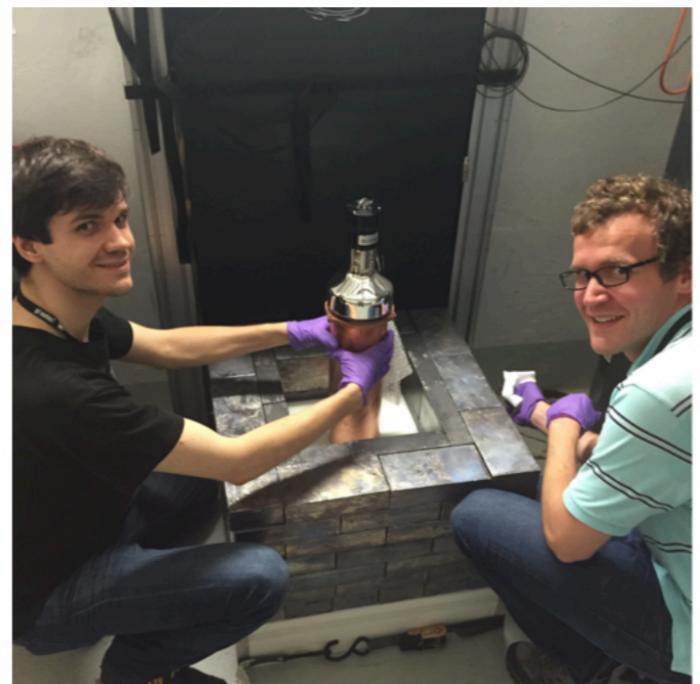
CEvNS cross section is large!

$$\propto N^2$$

- Predicted in 1974 by D. Freedman
- Interesting test of the standard model
- Sensitive to **non-standard interactions**
- Largest cross section in **supernovae** dynamics
- Background for future **dark matter** experiments
- Sensitive to nuclear physics, **neutron skin** (neutron star radius)

- “act of hubris” - D. Freedman
- Need a low threshold detector
- Need an intense neutrino source

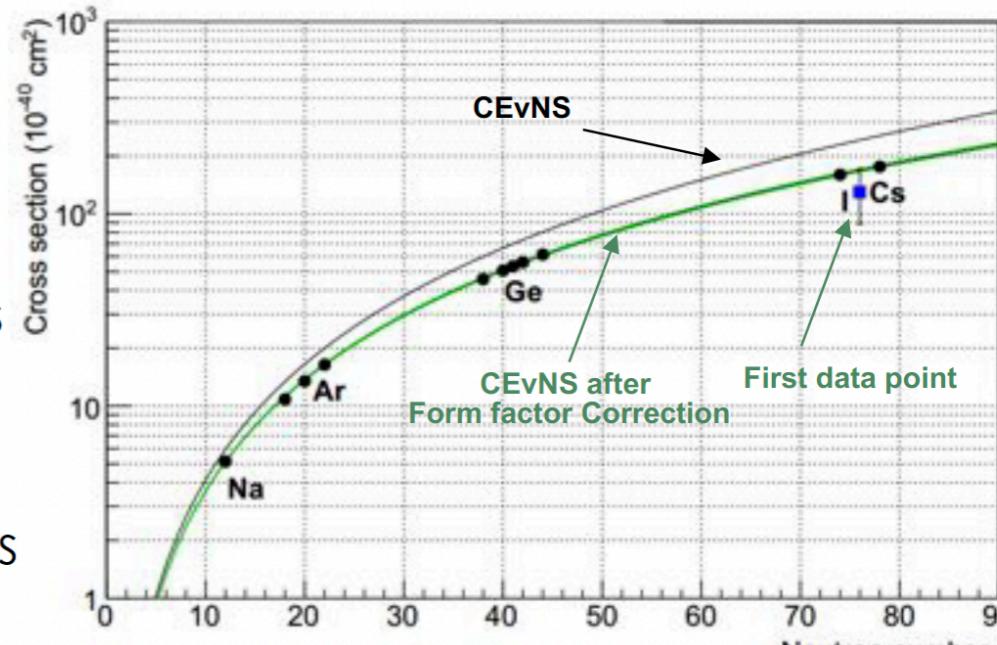
# First Detection of CEvNS with CsI detector



First working, hand held neutrino detector -14kg!!!

**After 40 years, all the pieces have finally come together**

- ✓ Intense Neutrino Source
- ✓ Sensitive Detectors
- ✓ Mitigation of Backgrounds

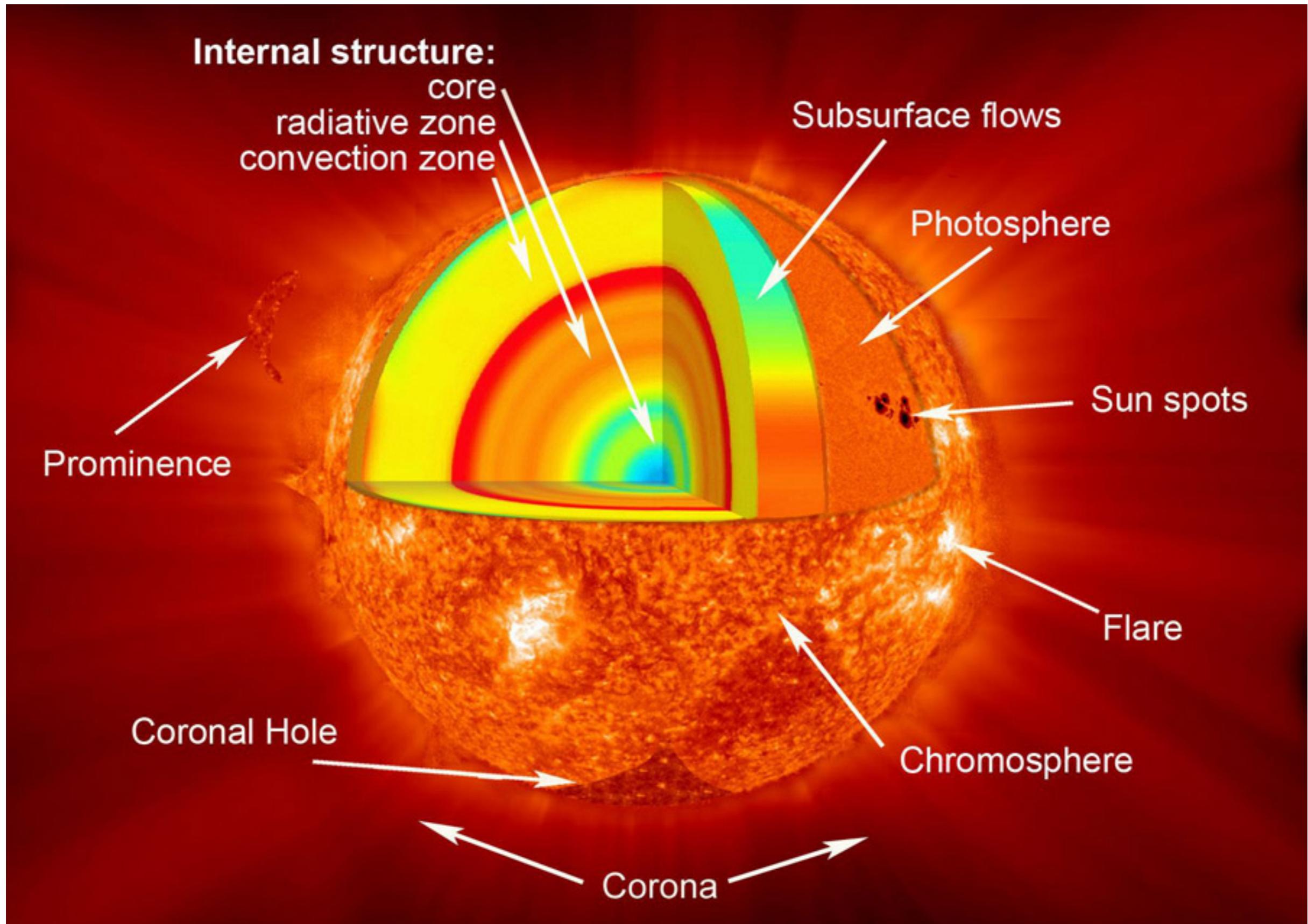


Neutrino 2020 Virtual Meeting

J. Newby

J. Newby, Neutrino 2020

# The structure of the Sun



# The Standard Solar Model

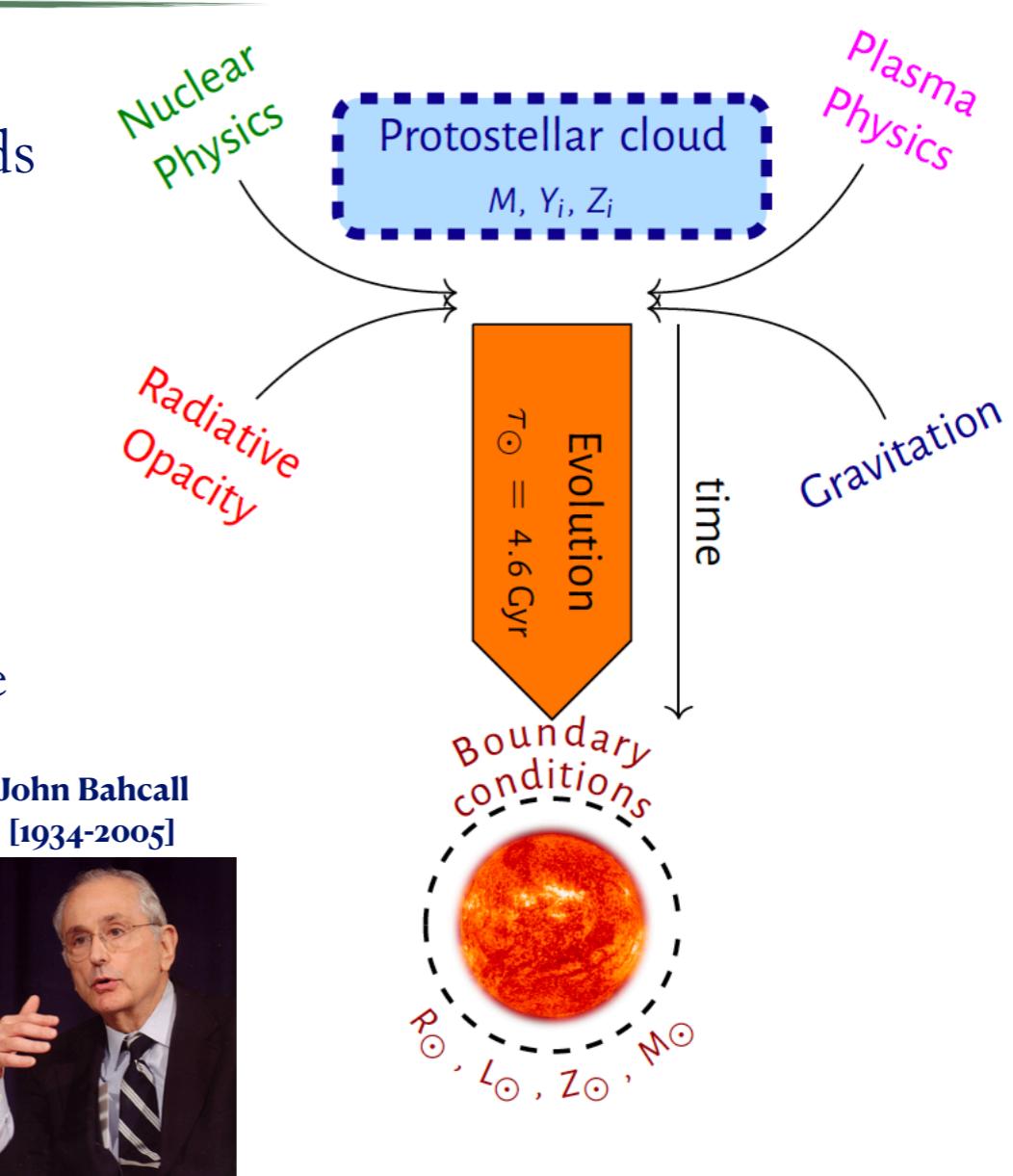
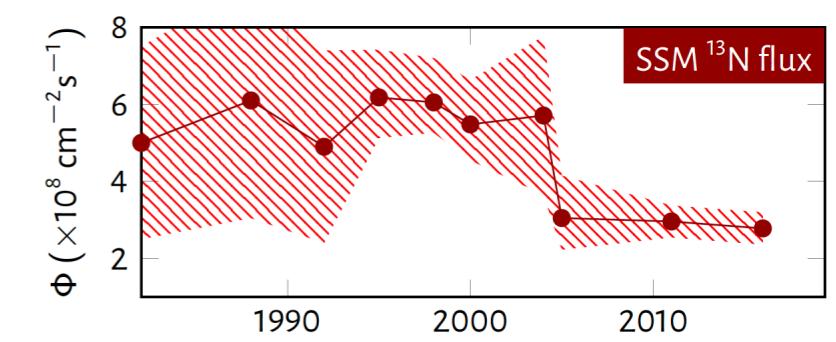
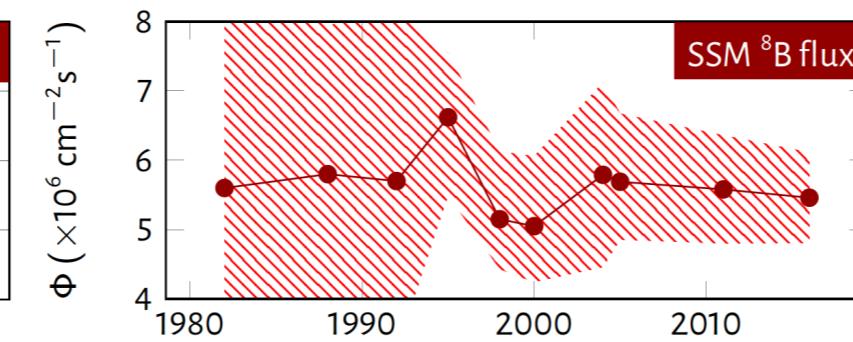
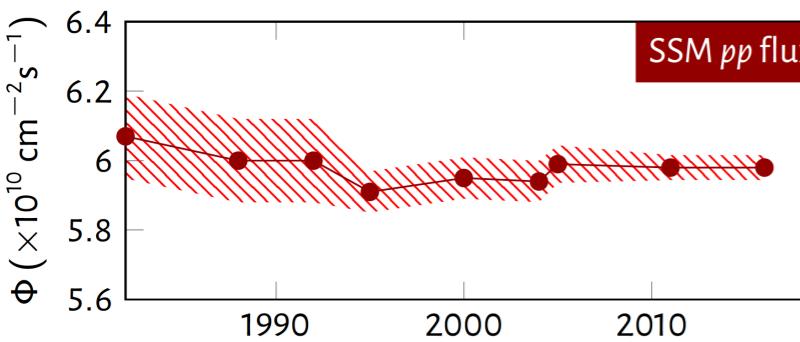
- The observable is the Sun we see now, which depends on a complex evolution process

- Gravity
- Composition: X (hydrogen), Y (helium), , Z (“metals”)
- Radiative opacity and plasma physics
- Temperature and density profiles
- Energy transport: radiative until  $0.71 R_{\odot}$ , then convective

- Today's conditions act as boundary conditions

- Two crucial observables:
  - Elio-seismology
  - Solar neutrinos

- The model as well has evolved (better cross sections, opacity and diffusion models)



John Bahcall  
[1934-2005]

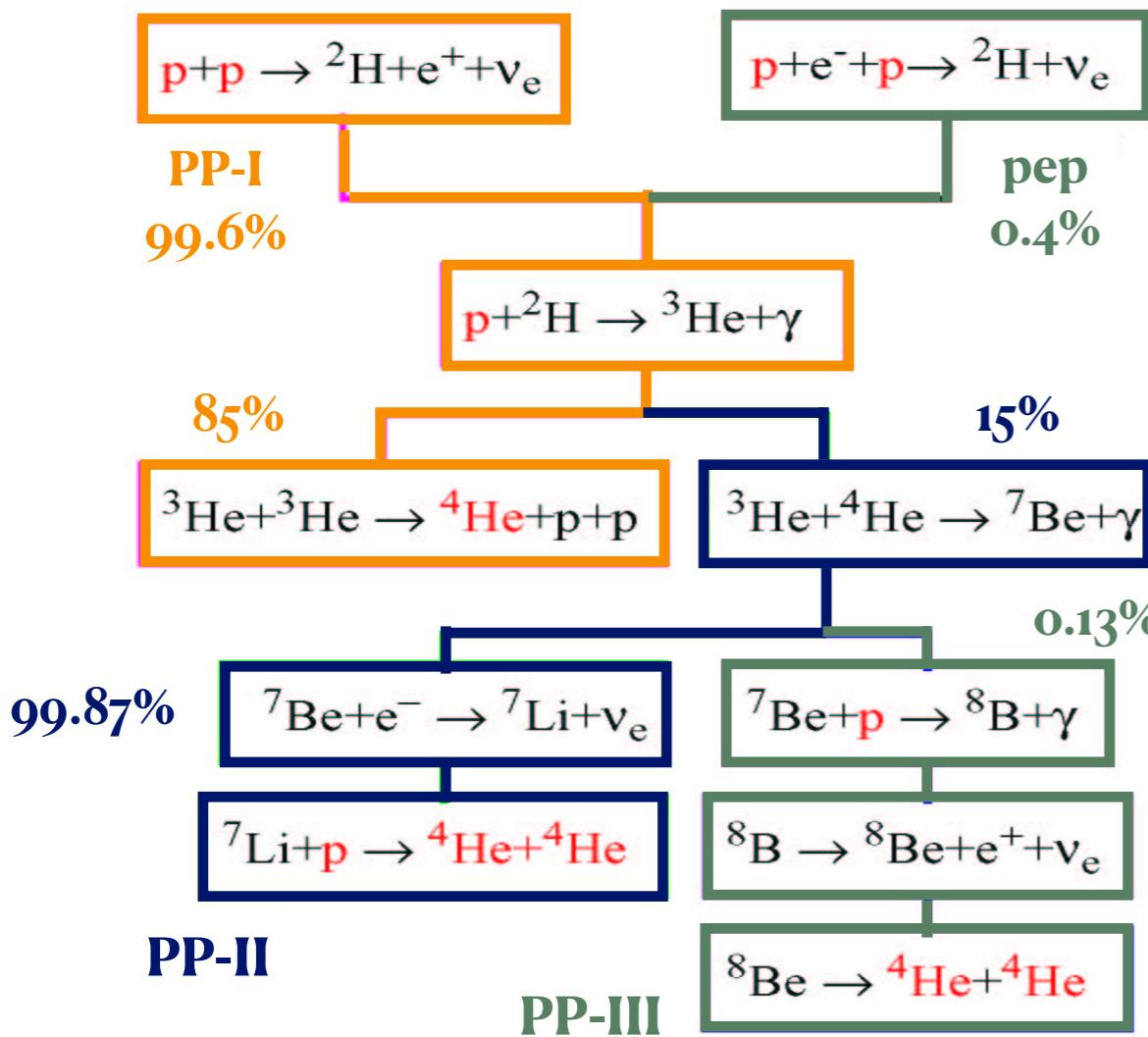


# Solar neutrinos from hydrogen burning

A.S. Eddington Observatory 43 (1920), Nature (1920)

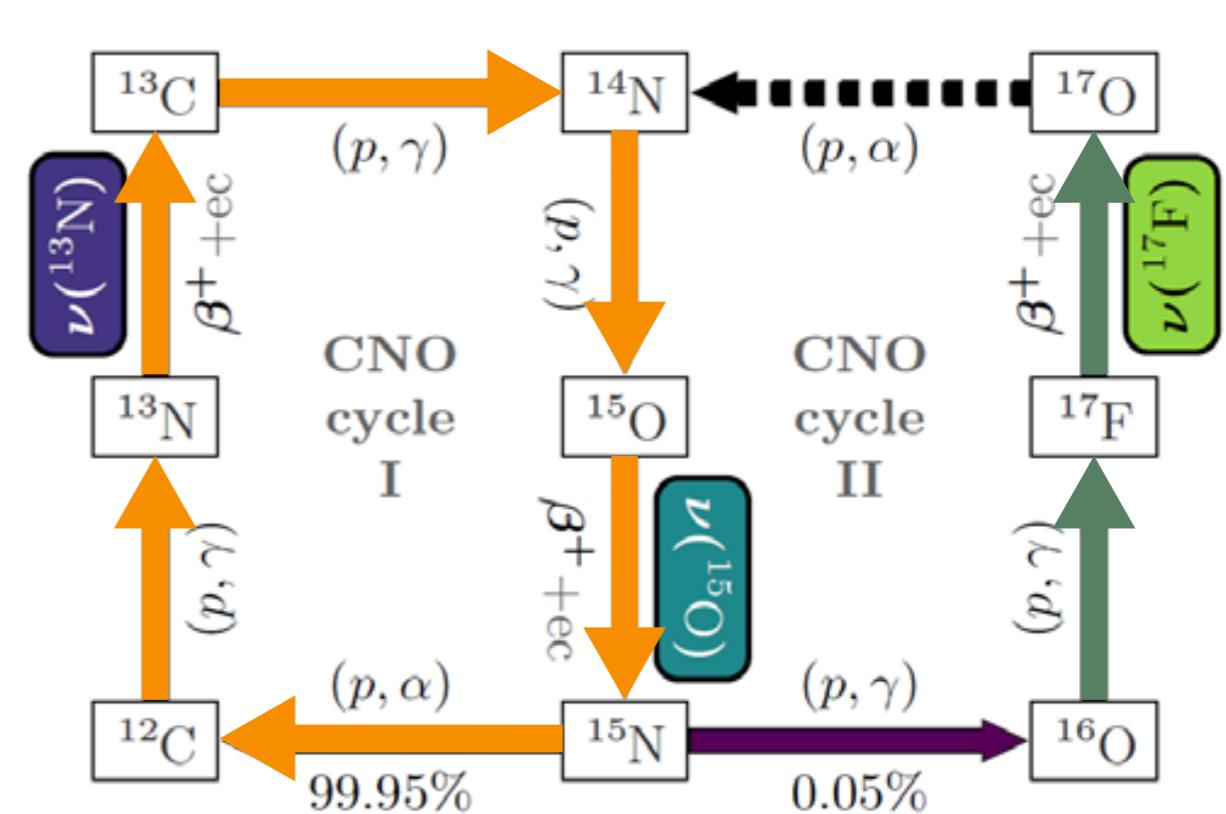
Bethe &  
Critchfield 1938

**pp chain**  
(99% energy)



**CNO cycle**  
(~ 1% energy)

Weizsäcker (1937, 1938),  
Bethe (1939)



$^{12}\text{C}$  is the main catalyst  
CNO-II is suppressed in the Sun

## REACTION

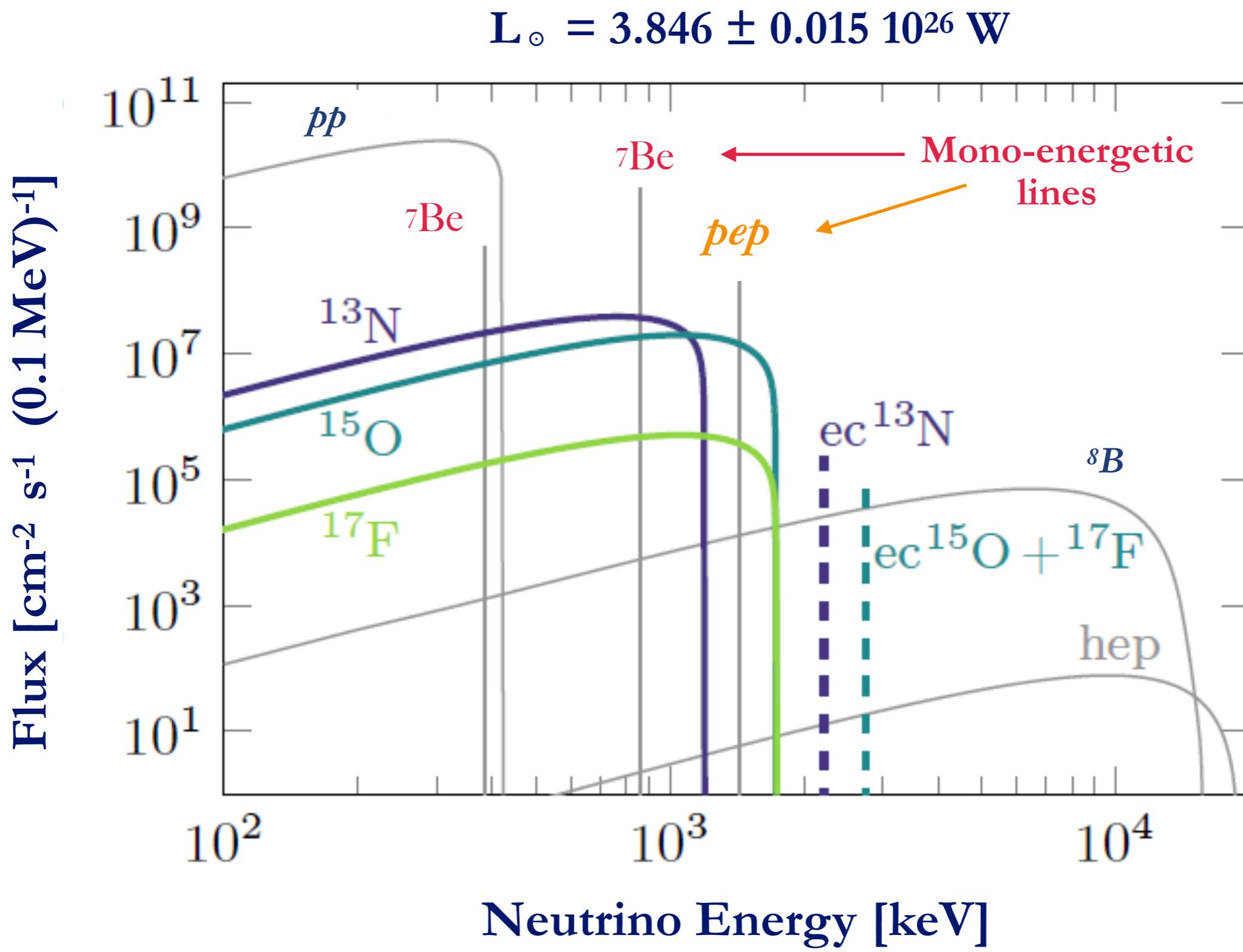


## ENERGY YIELD

$$24.7 \text{ MeV} + 2m_e c^2$$

## 2% of E in NEUTRINOS

$$\langle E_\nu \rangle = 0.53 \text{ MeV}$$



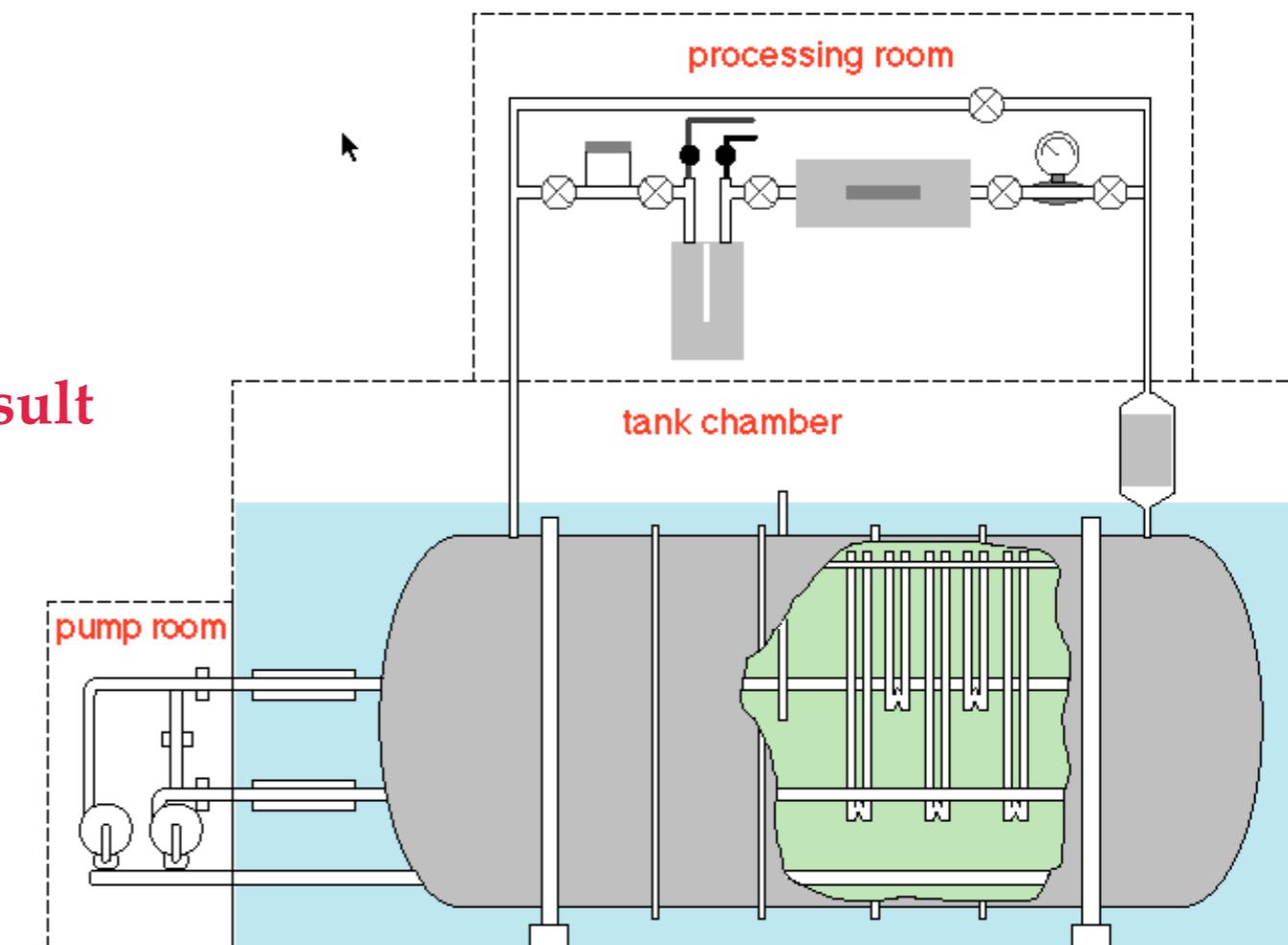
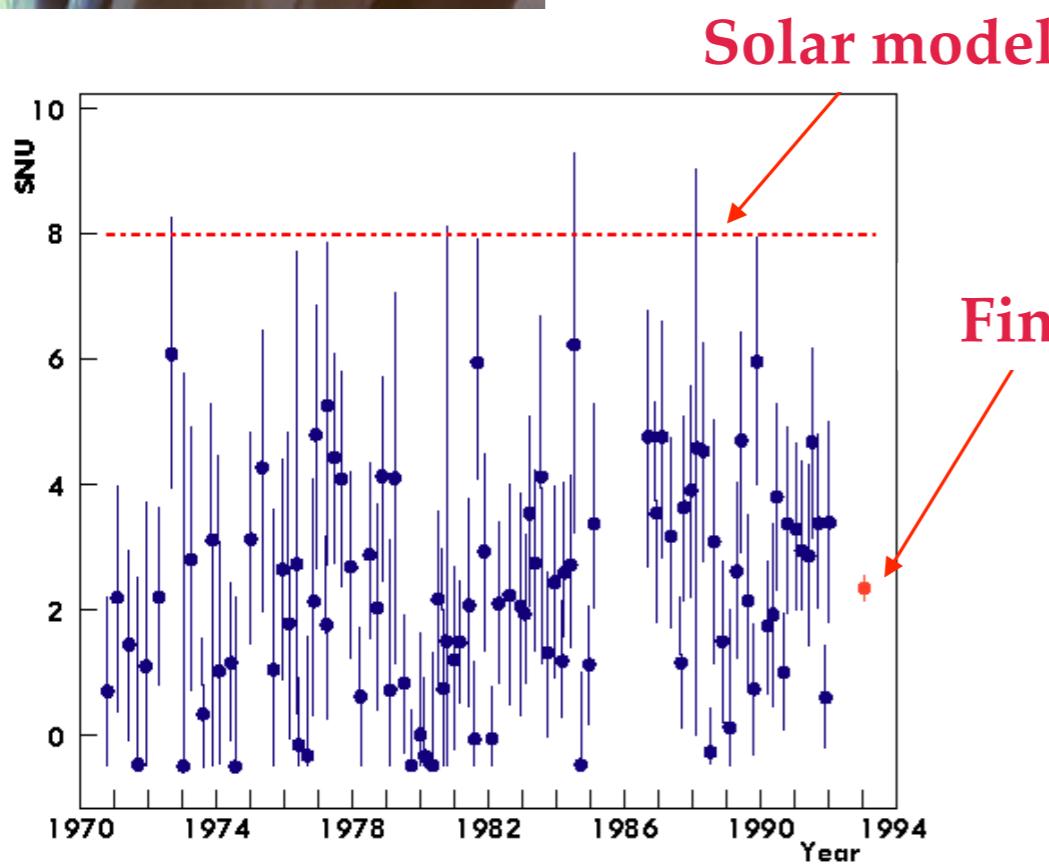
# History: counting an atom a day at Homestake



- Extract a single atom out of  $\sim 10^{31}$



- Target: 614 t of liquid soap
- $^{37}\text{Ar}$  atoms extraction with charcoal filters (every  $\sim$  months)
- Very low background proportional counters to count  $^{37}\text{Ar}$  atoms (which decays by  $e^-$  capture with  $\tau_{1/2} \sim 35$  d)



# History: Gallex/GNO @ LNGS

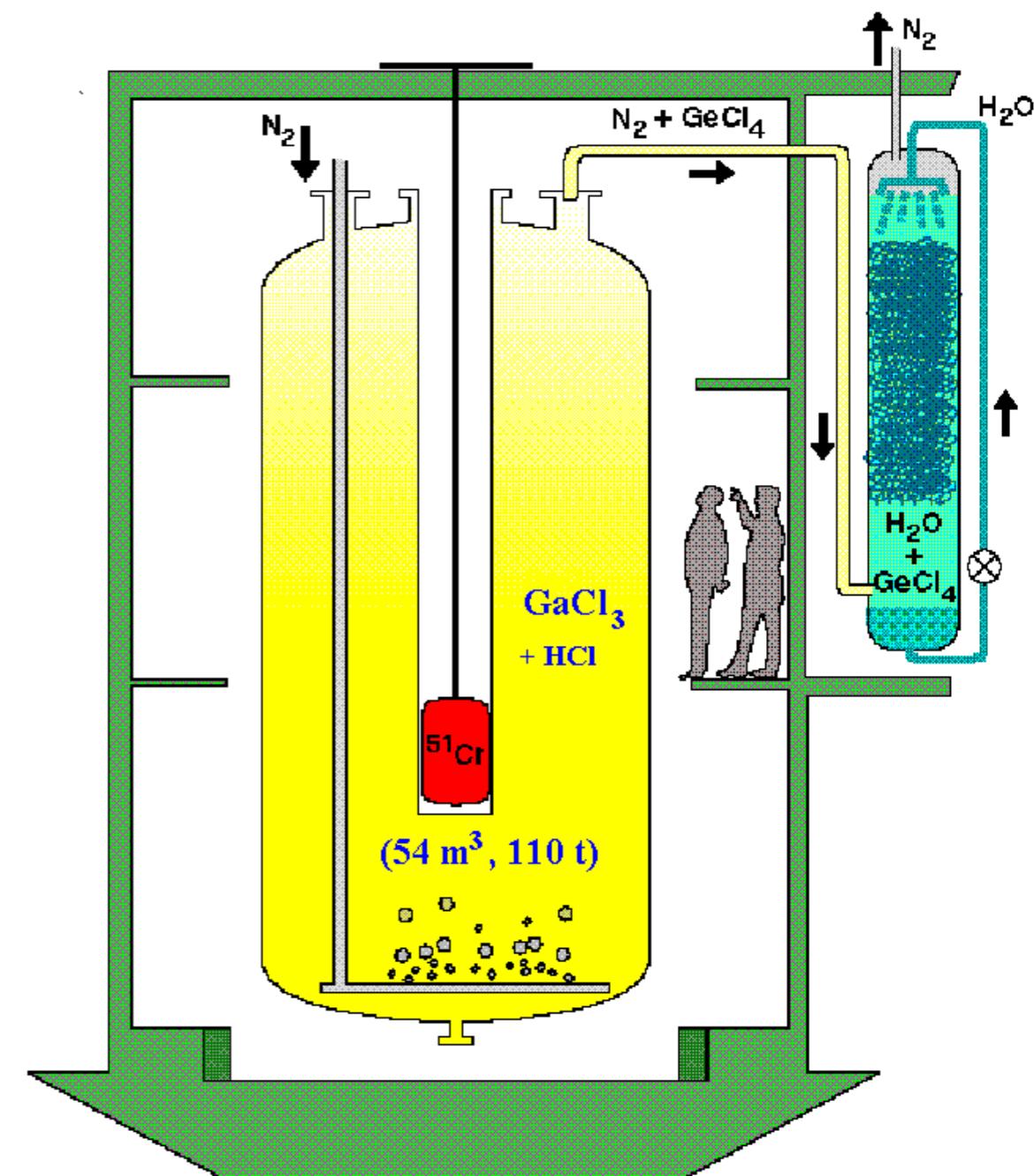
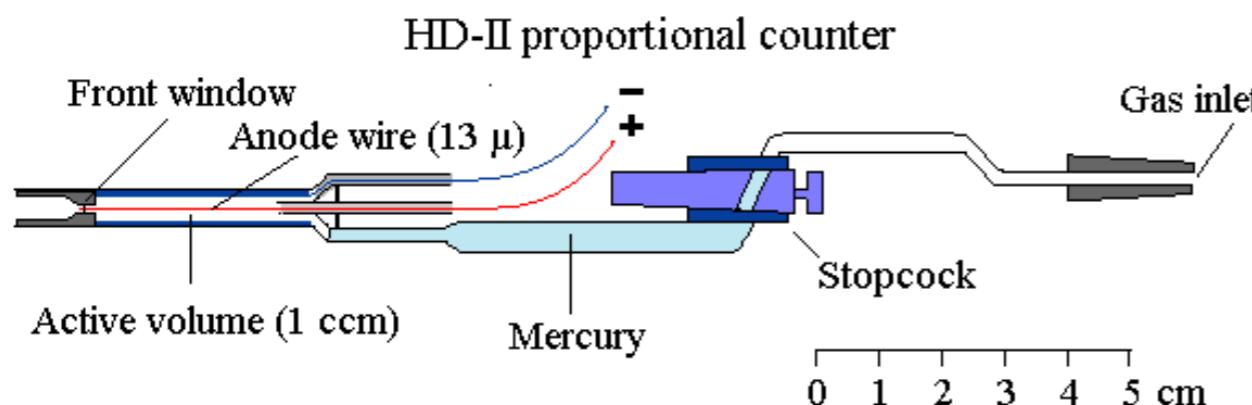
- A key radio-chemical experiment for solar neutrino physics

- The first sensitive to all solar neutrino components (through an integrated, energy-weighted spectrum)

- 30.3 ton of Ga in  $\text{GaCl}_3 - \text{HCl}$  solution.



- Threshold: 233 keV
- Extraction every  $\sim 3$  weeks
- The volatile  $\text{GeCl}_4$  is extracted using  $\text{N}_2$  flow and then inserted into proportional counters [ ${}^{71}\text{Ge}$   $e^-$  capture  $\tau_{1/2} \sim 11.43$  d]



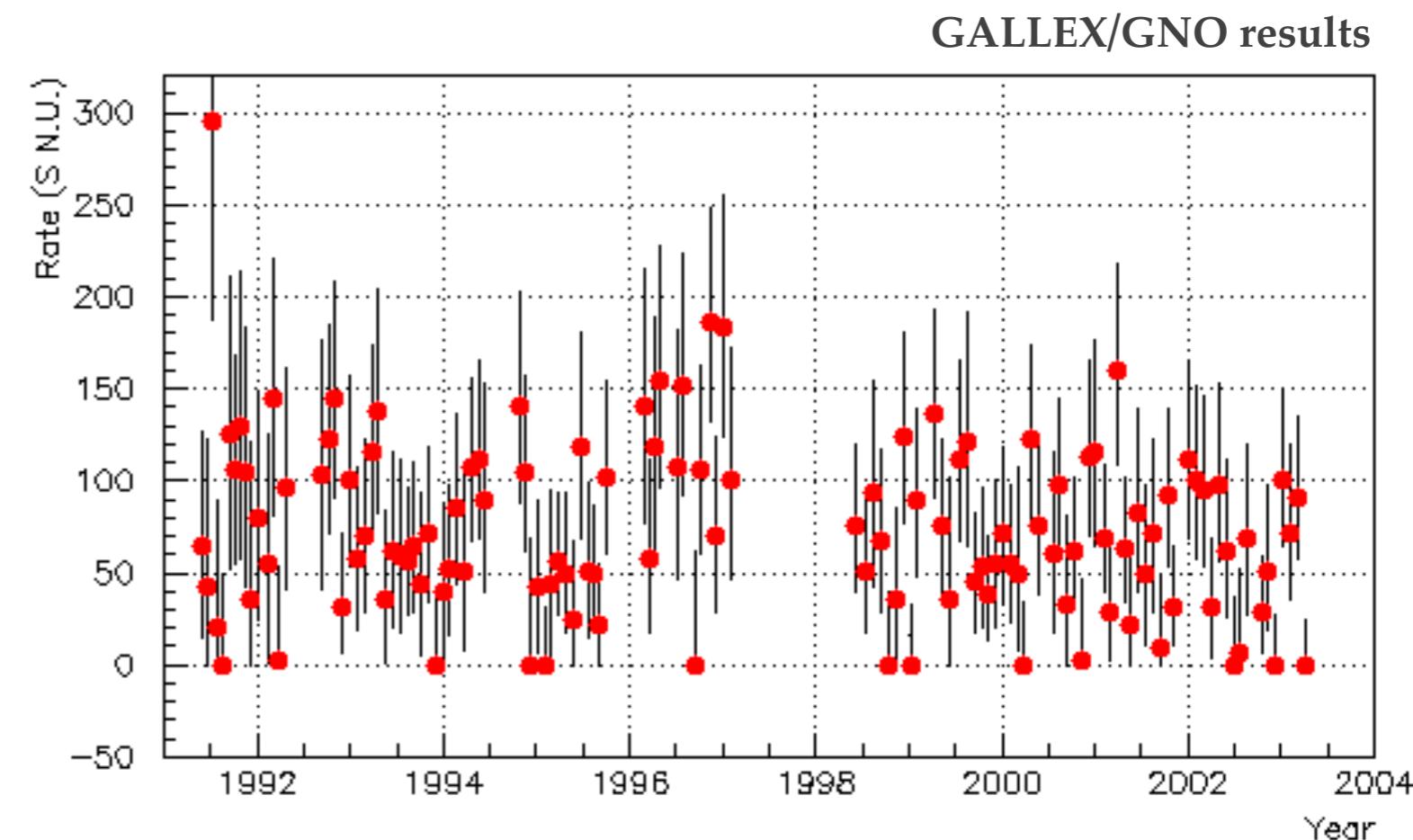
- Extraction efficiency checked with:

- 1.6 MCi (!)  $\nu_e$  source [based on  $^{51}\text{Cr}$  e-capture decay, obtained from irradiated  $^{50}\text{Cr}$  in reactor]

- Initial  $\nu_e$  flux 5 times the Sun
- $\varepsilon = 95 \pm 3 \%$
- Mono-chromatic  $\nu_e$  flux,  
 $E_\nu = 0.75 \text{ MeV}$

- At the end only, insertion of  $^{71}\text{As}$

- $^{71}\text{As} \rightarrow ^{71}\text{Ge} + e^- + \nu_e$
- [ $\tau_{1/2} = 2.72 \text{ d}$ ];
- $\varepsilon = 100 \pm 1 \%$



Experiment	Runs	Result
GALLEX	65	$77.5 \pm 6.2 \text{ (stat)} \pm 6.2 \text{ (sys) SNU}$
GNO	58	$62.9 \pm 5.4 \text{ (stat)} \pm 2.5 \text{ (sys) SNU}$
GALLEX+GNO	123	$69.3 \pm 4.1 \text{ (stat)} \pm 3.6 \text{ (sys) SNU}$

**STANDARD SOLAR MODEL prediction:  $129 \pm 7 \text{ SNU}$**

In a medium with refractive index  $n$  the light speed is  $c/n$ . When a charged particle travel in the medium with a speed higher than light speed, it emits Cerenkov light. The minimum energy to emit Cerenkov light is:

Particle	Cerenkov threshold (Energy (MeV))
$e$	0.768
$\mu$	158.7
$\pi$	209.7

Cerenkov light is emitted in a cone with a  $\theta$  opening in the track direction:

$$\cos\theta = \frac{1}{n\beta}$$

$\theta = 42^\circ$  for  $\beta = 1.0$  in water.

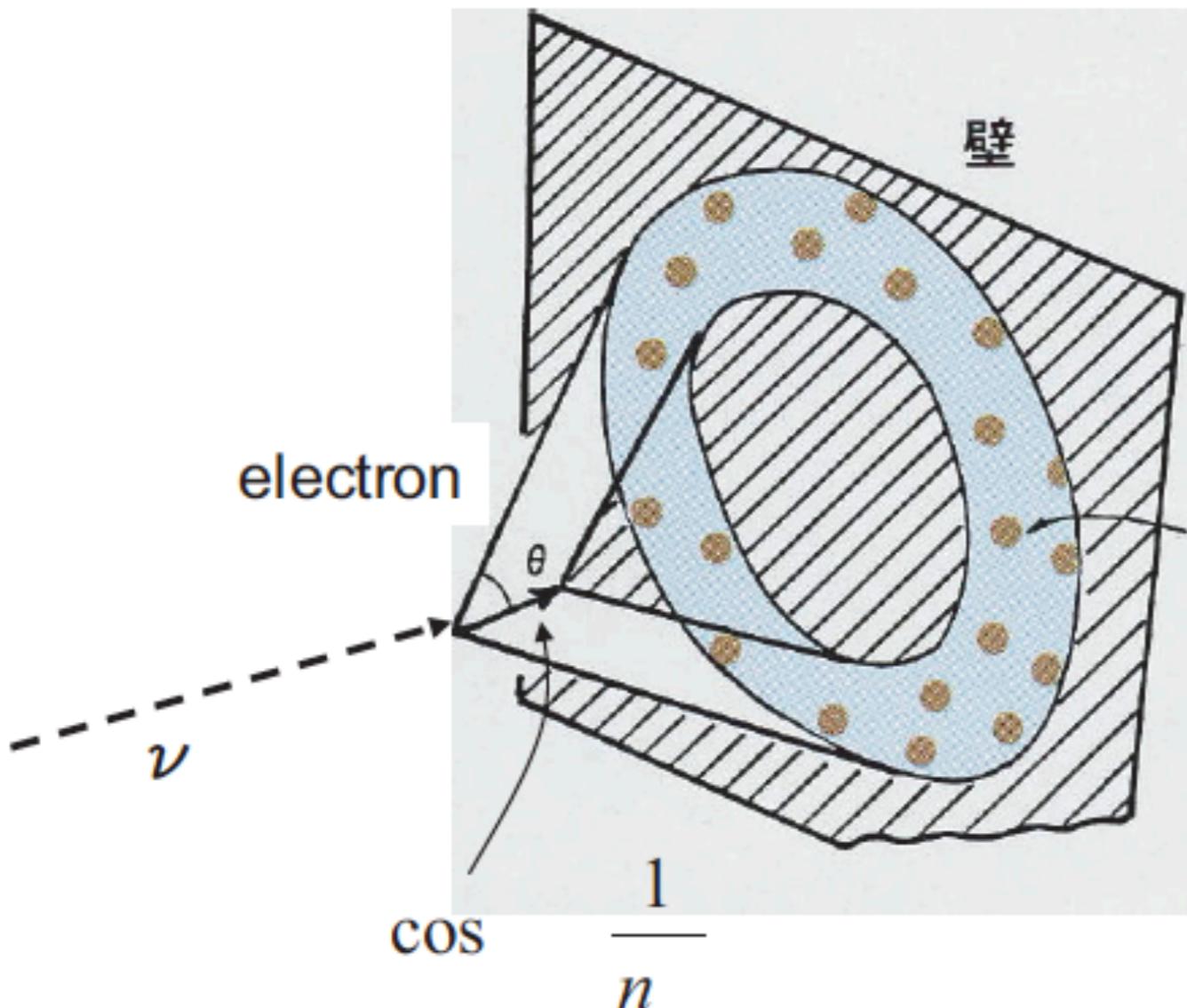
Cerenkov light spectrum as function of wavelength  $\lambda$ :

$$\frac{dN}{d\lambda} = \frac{2\pi\alpha l}{c} \left(1 - \frac{n^2}{\beta^2}\right) \frac{1}{\lambda^2}$$

where  $\alpha$  is the fine structure constant and  $l$  is the track length.

A charged particle emits about 390 photons for 1cm track length in water with  $300\text{ nm} < \lambda < 700\text{nm}$ .

# Detection of light in water

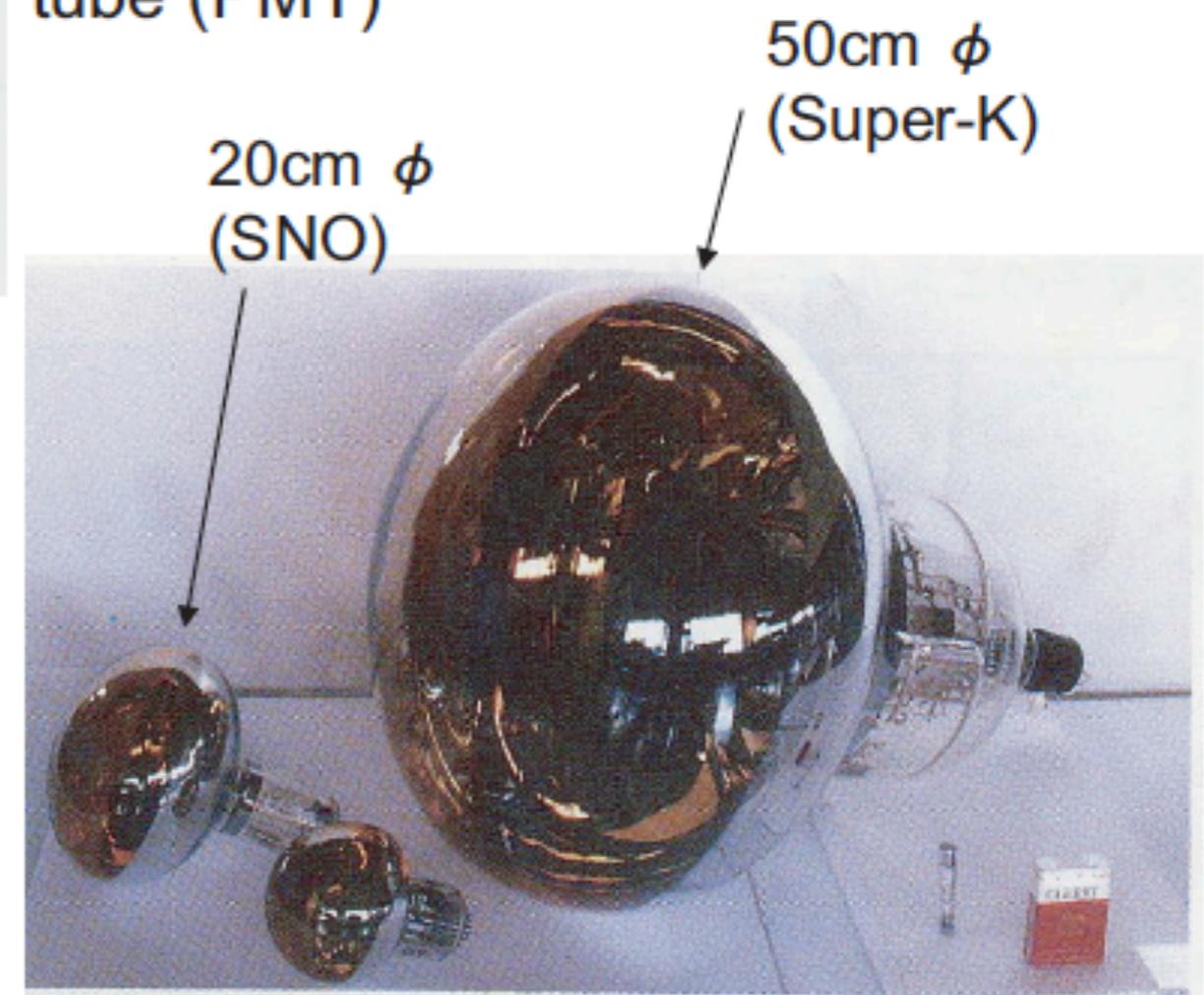


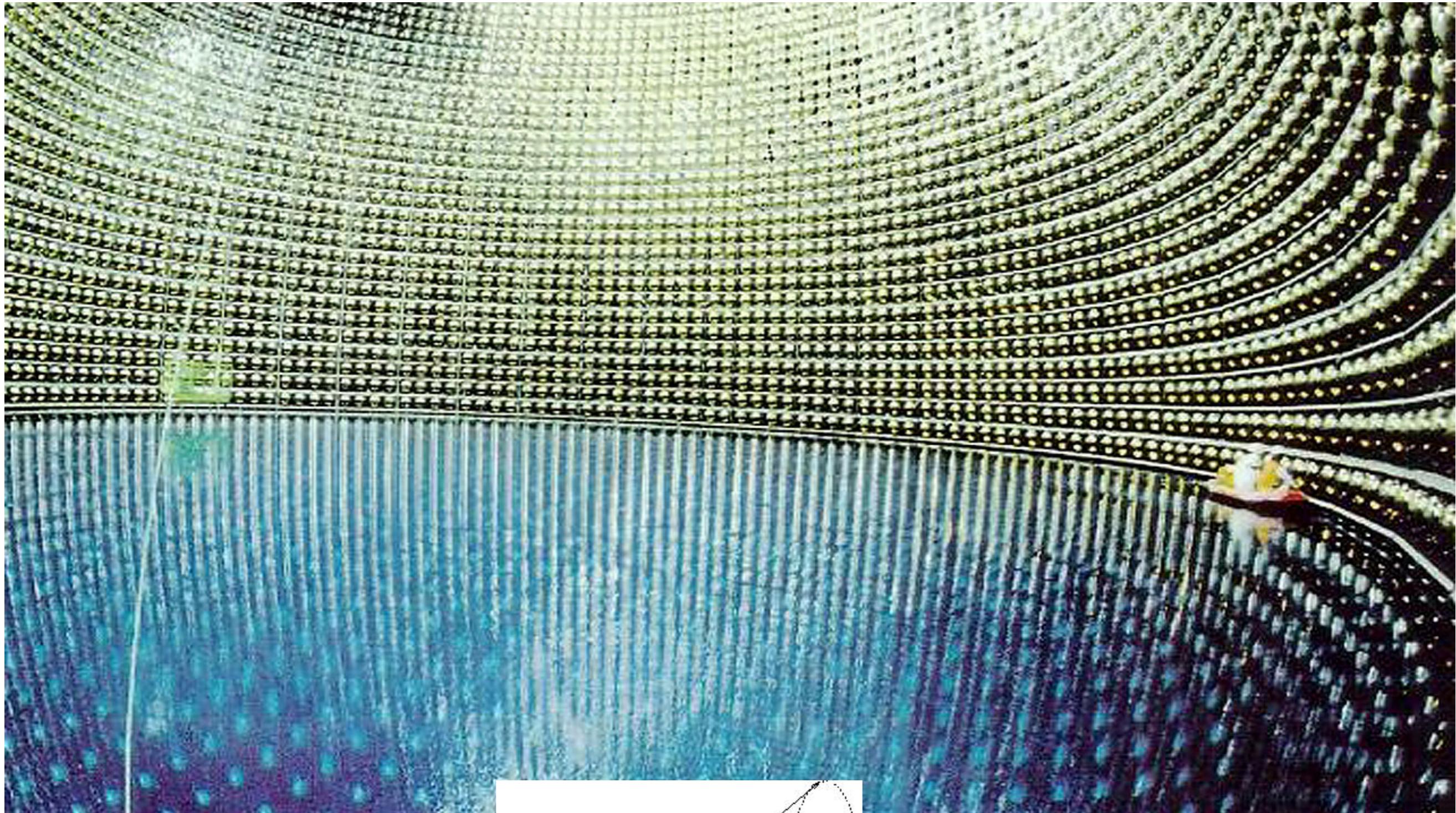
T. Kajita - NuFact 05 School

Number of Ch. photons with  $\lambda = 300\text{-}600 \text{ nm}$  emitted by a relativistic particle per cm = 340.

Need an efficient detection of the photons.  $\longrightarrow$  Large PMTs

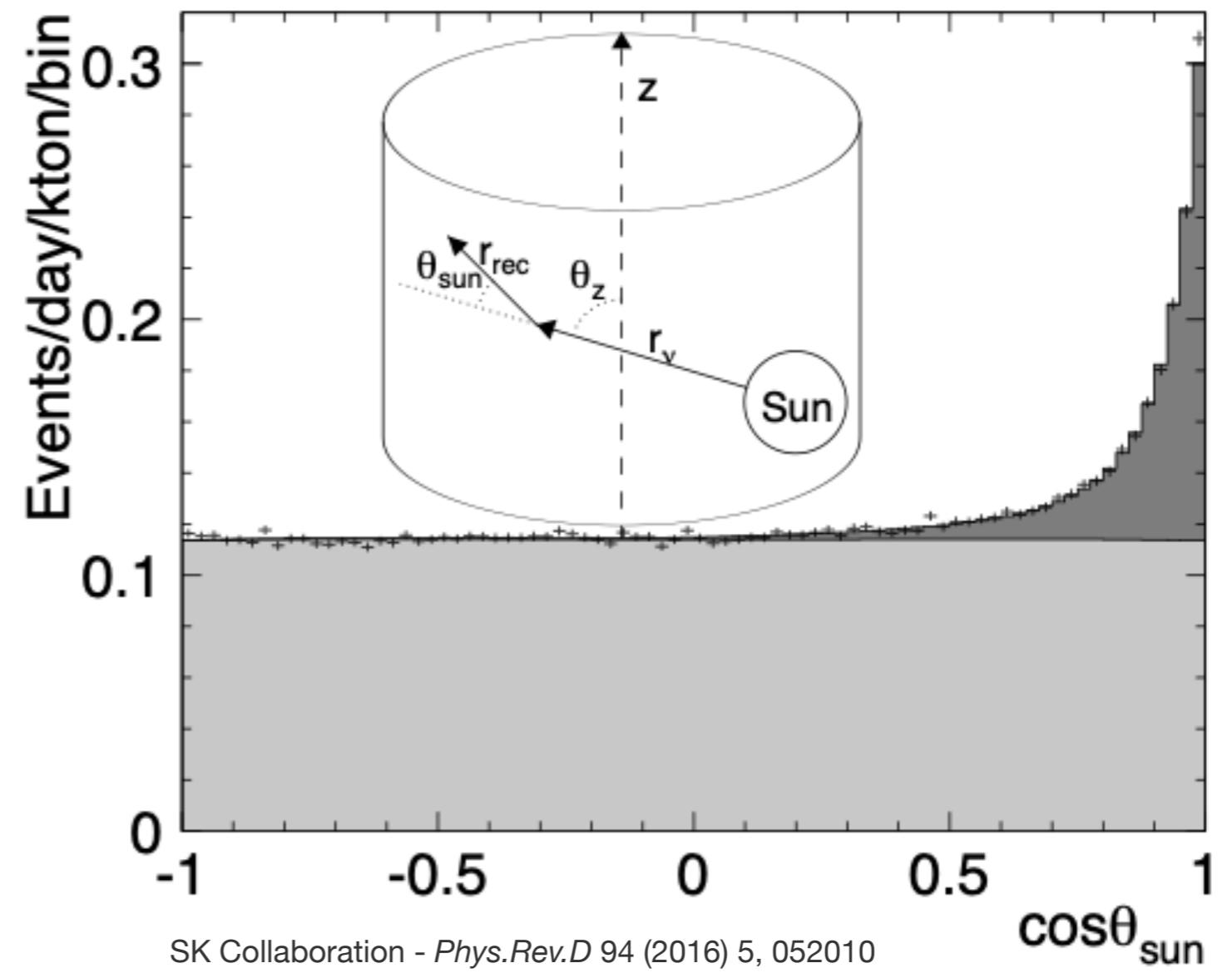
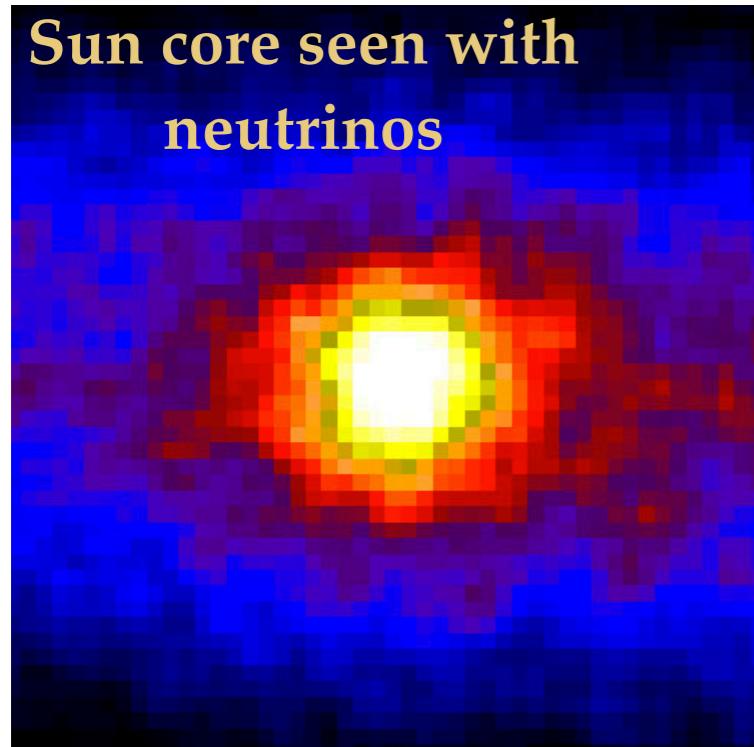
Photomultiplier  
tube (PMT)



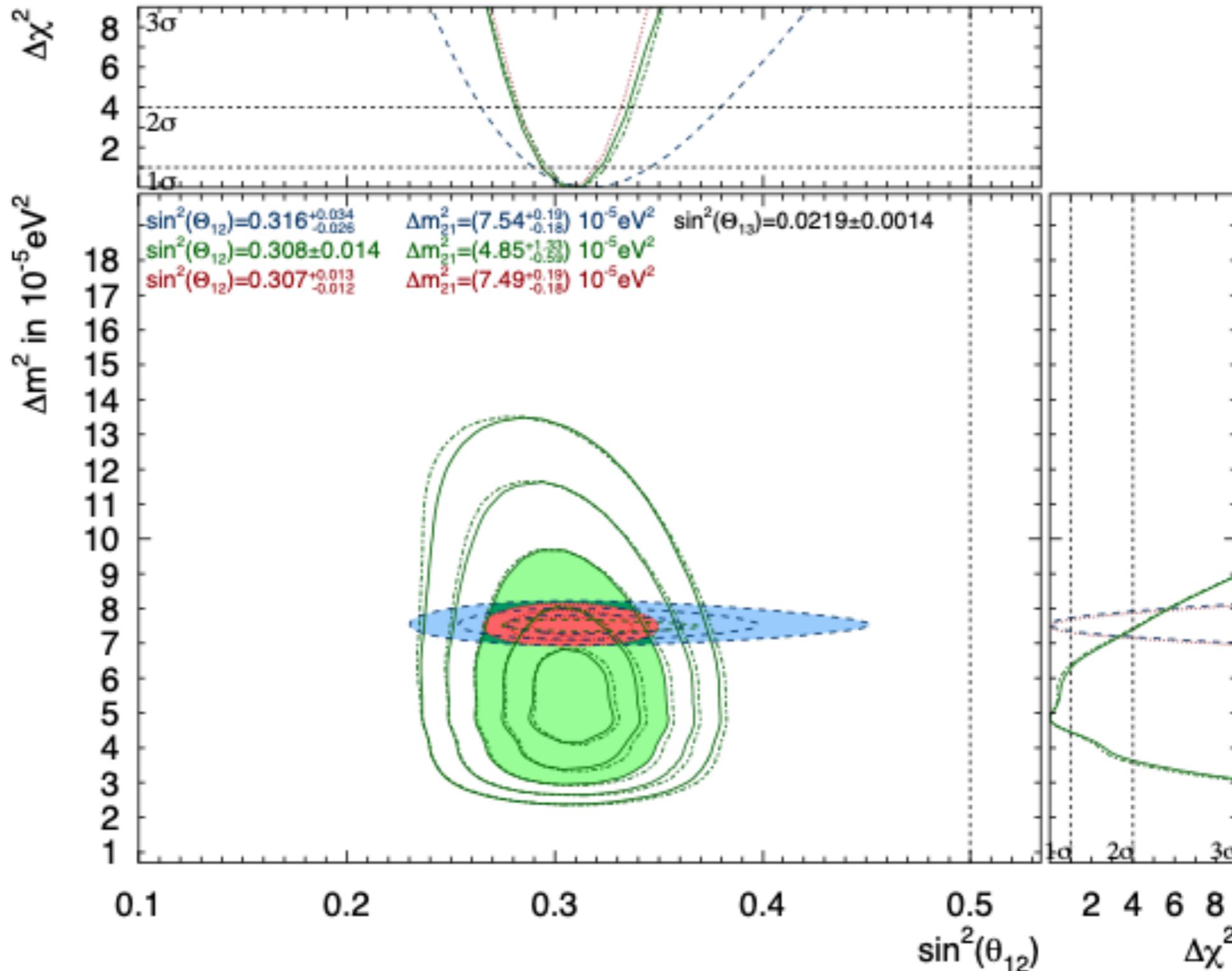


**Cherenkov detector for solar,  
accelerator and atmospheric  
neutrinos**

- Detection technique: elastic scattering on electrons
  - Cherenkov light gives direction of incoming neutrino
  - Threshold  $\sim 3.5 - 5$  MeV (depending on period)

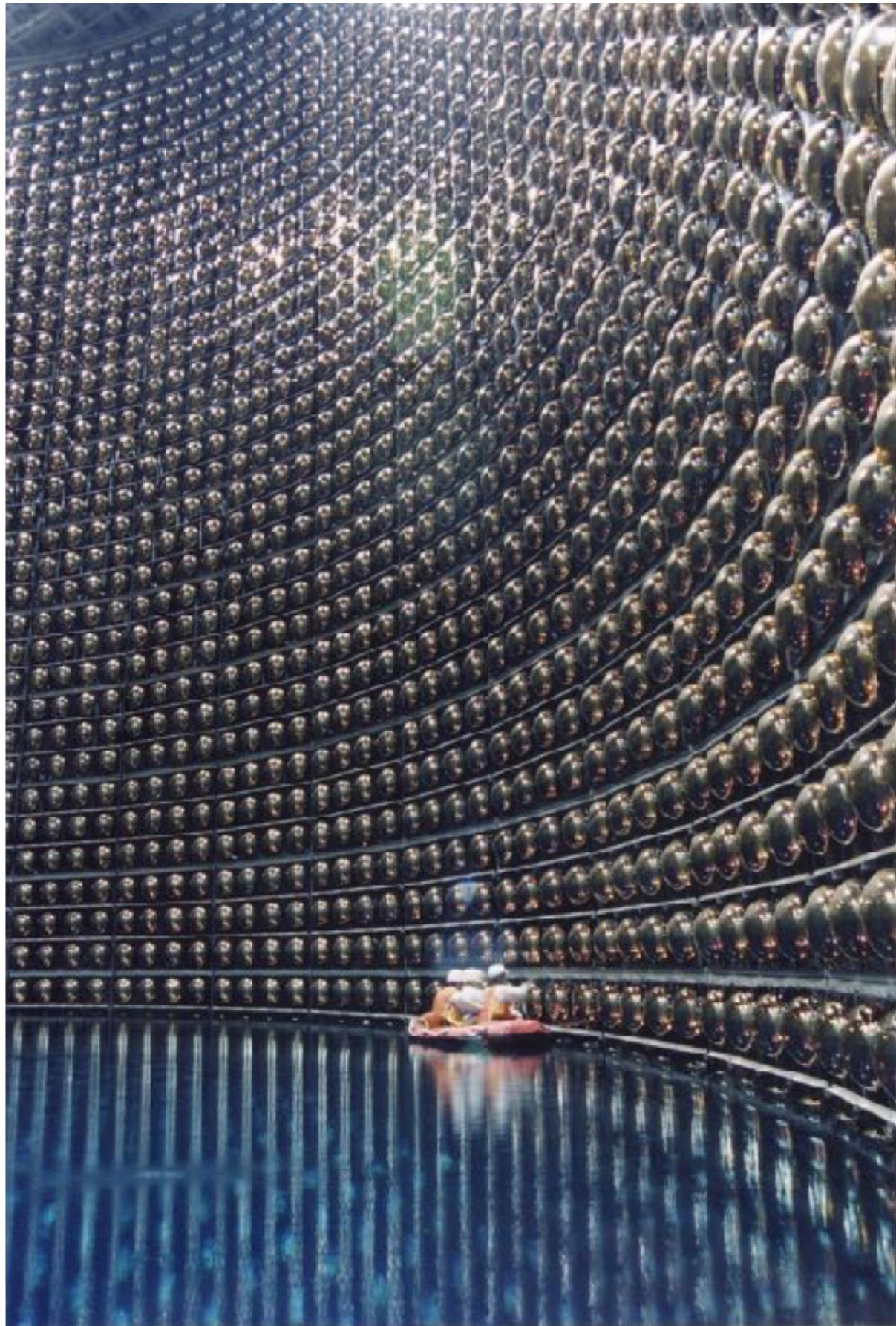


# Recent solar neutrino analysis with SK+KamLAND

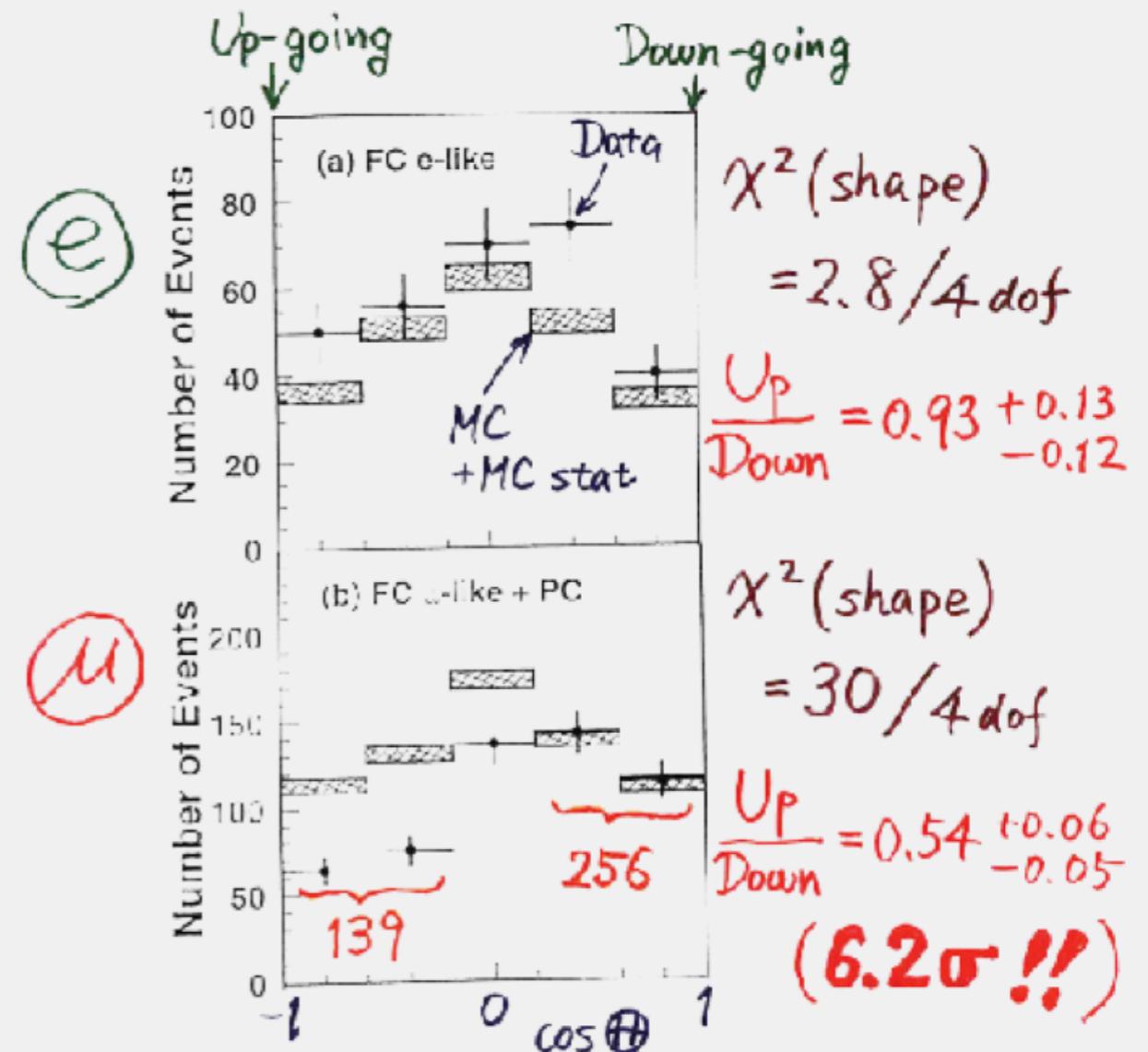


SK Collaboration - *Phys.Rev.D* 94 (2016) 5, 052010

# Discovery of atmospheric neutrinos at SK: 1998



Zenith angle dependence  
(Multi-GeV)

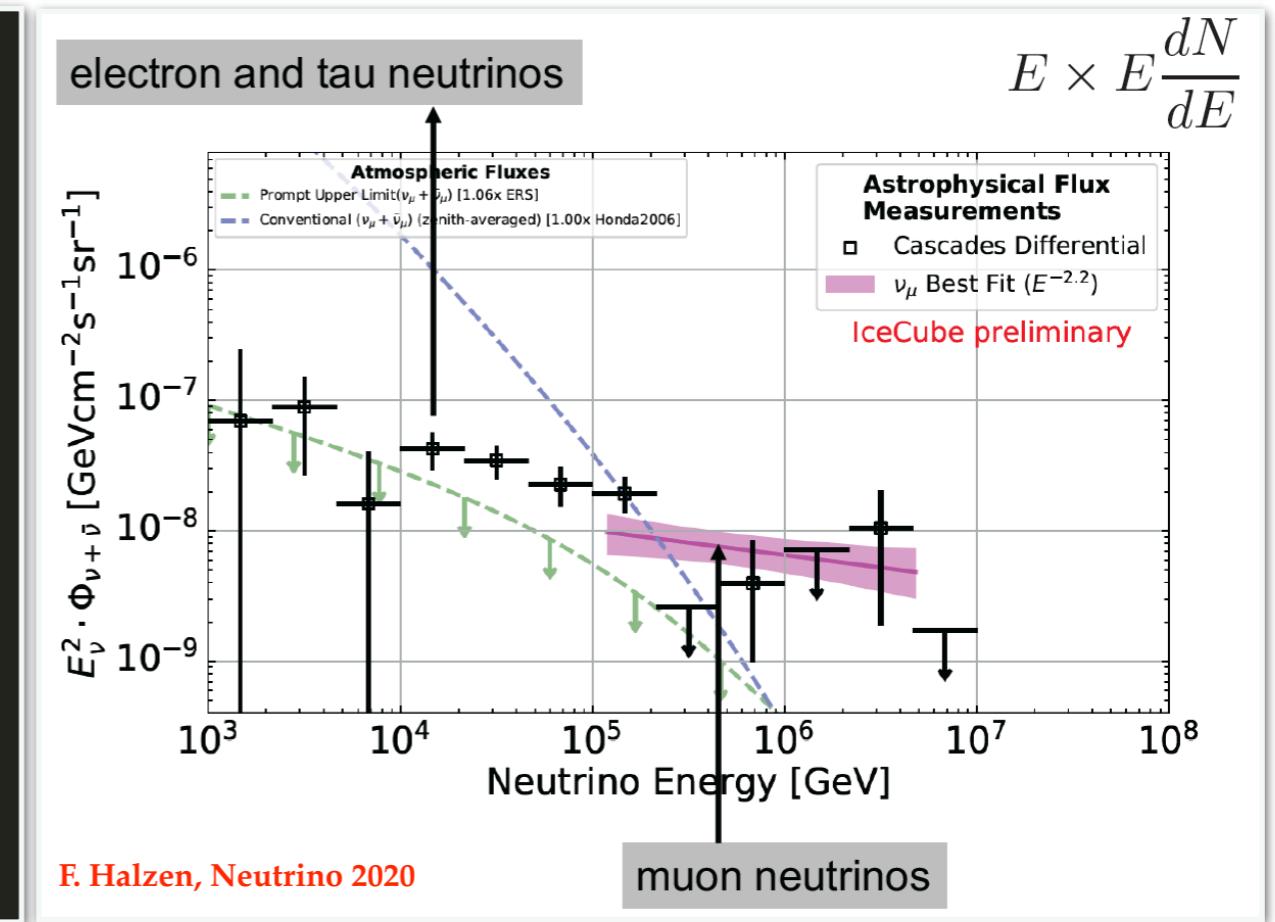
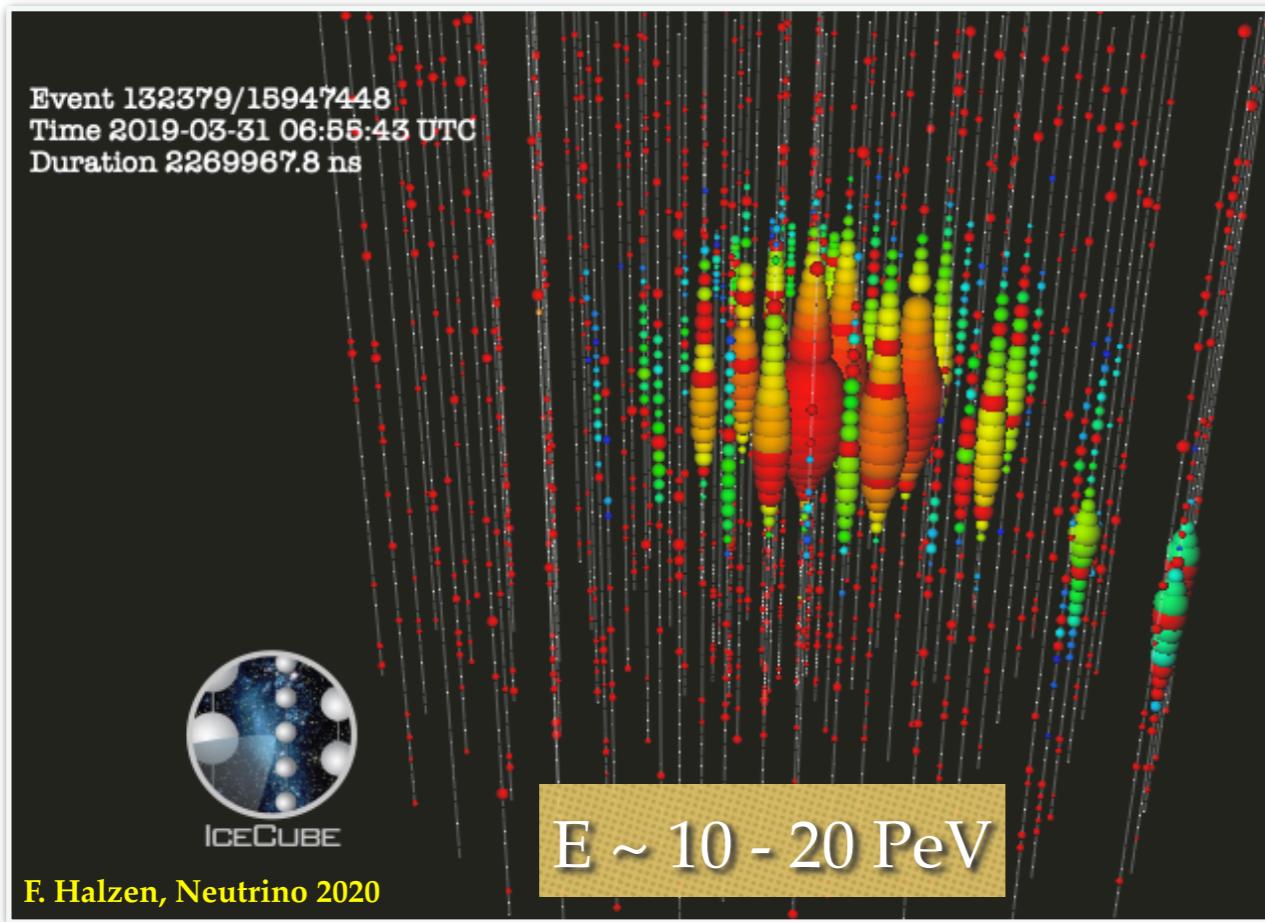
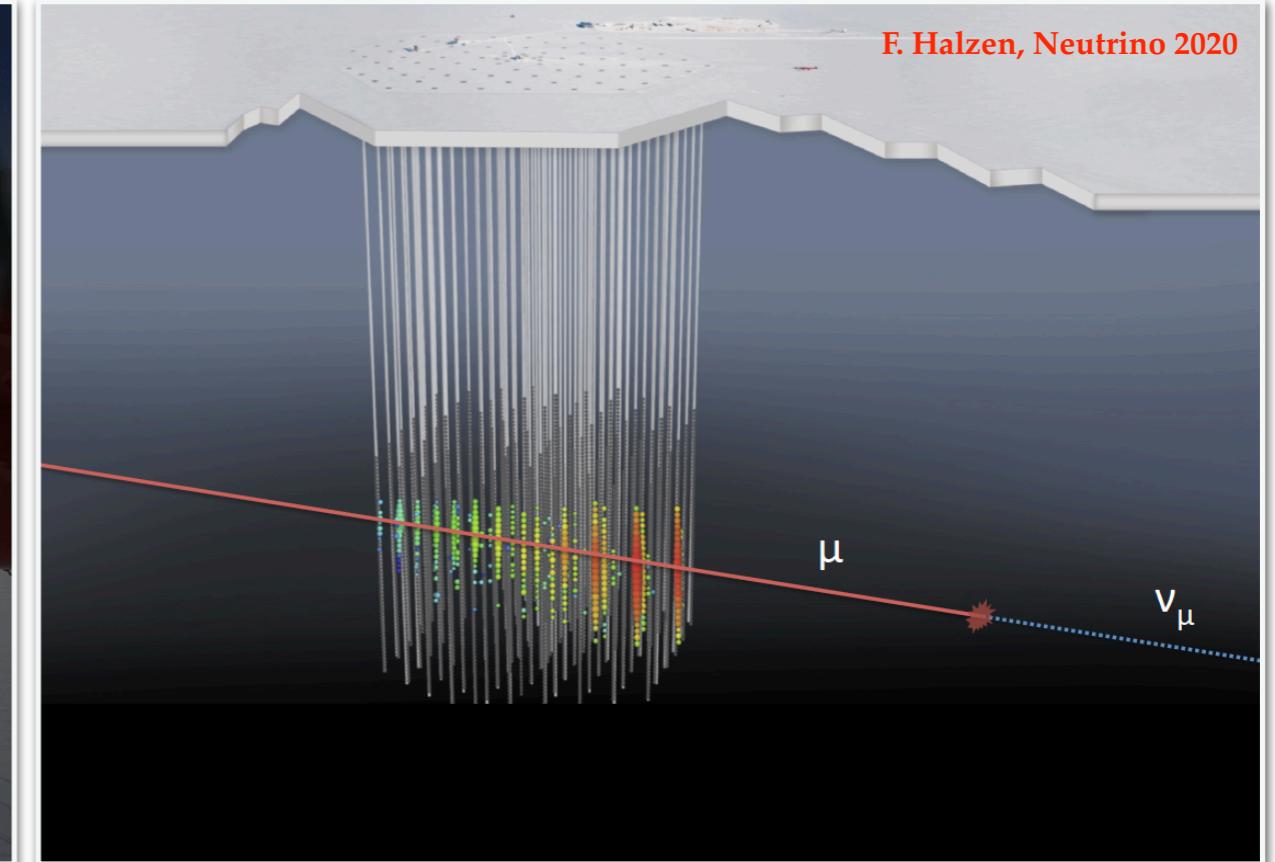
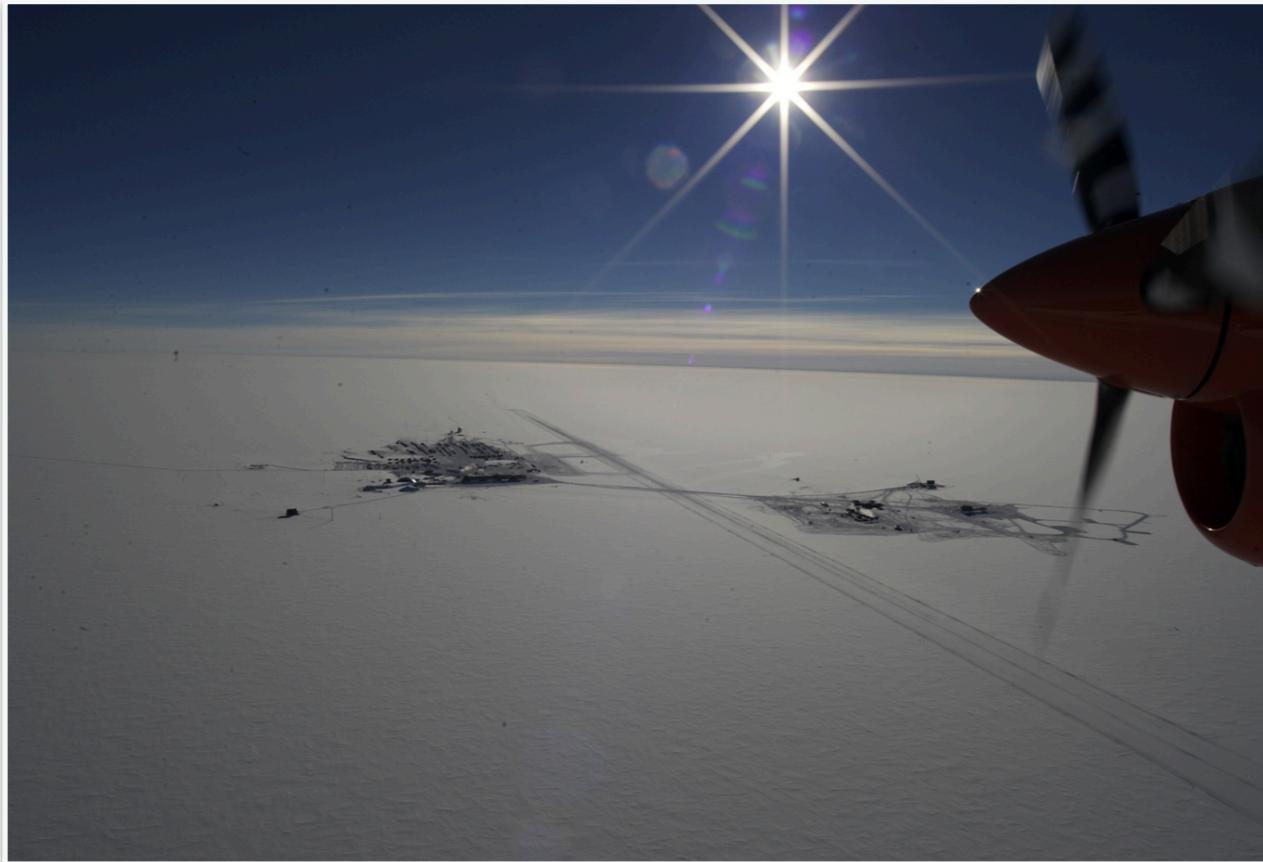


\* Up/Down syst. error for  $\mu$ -like

Prediction (flux calculation .....  $\lesssim 1\%$ ,  
1km rock above SK .....  $1.5\%$ )  $1.8\%$

Data (Energy calib. for  $\uparrow \downarrow$  .....  $0.7\%$ ,  
Non  $\nu$  Background .....  $< 2\%$ )  $2.1\%$

# Intermezzo: neutrino detection in ice (Ice-Cube)



# Solution of Solar Neutrino Problem: SNO

- Sudbury Neutrino Observatory

- Key feature: 1 kt D<sub>2</sub>O

- Ability to identify electron type neutrinos, and measure the others

- Three key reactions:

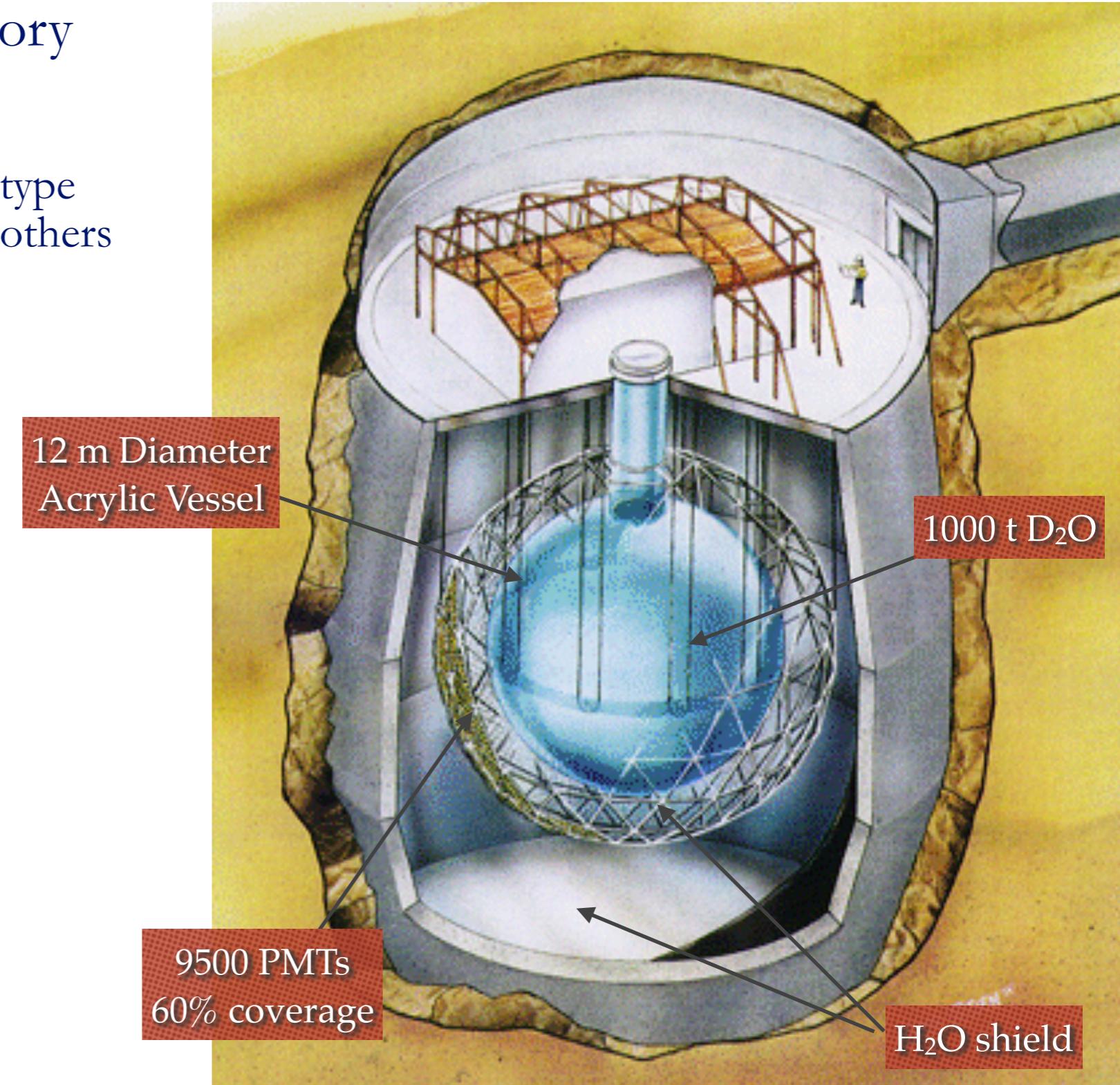
- CC:  $\nu_e$  only



- NC: All types, equal



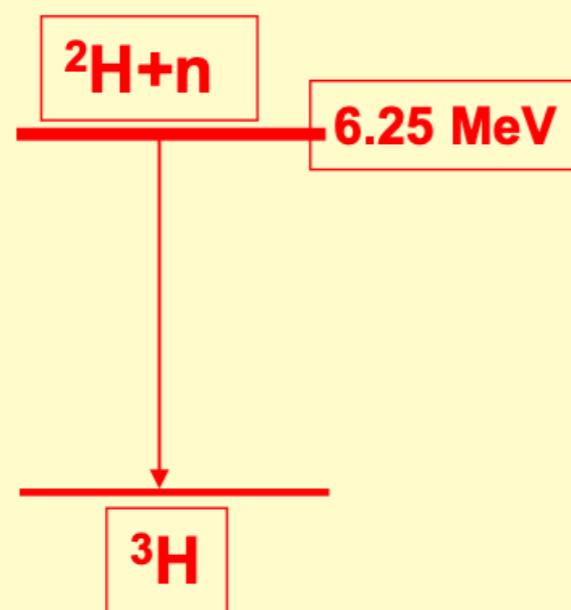
- ES: All types, un-equal



## 3 neutron (NC) detection methods (systematically different)

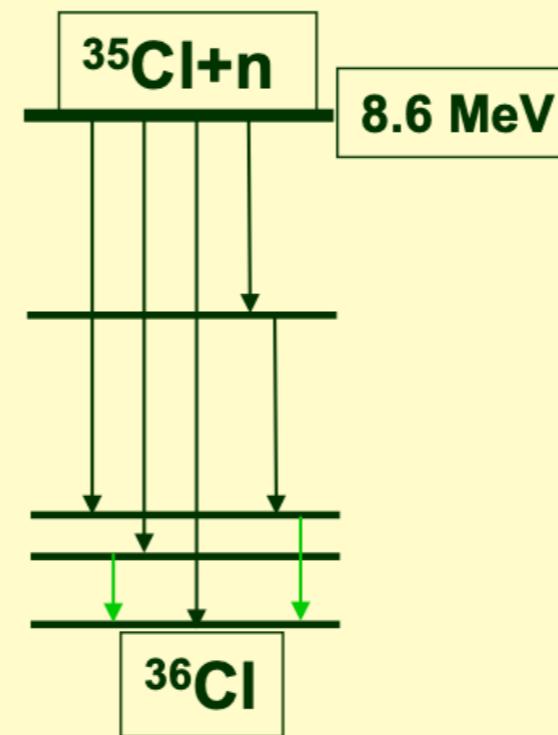
### Phase I ( $D_2O$ ) Nov. 99 - May 01

n captures on  
 $^2H(n, \gamma)^3H$   
 Effc. ~14.4%  
 NC and CC separation  
 by energy, radial, and  
 directional  
 distributions



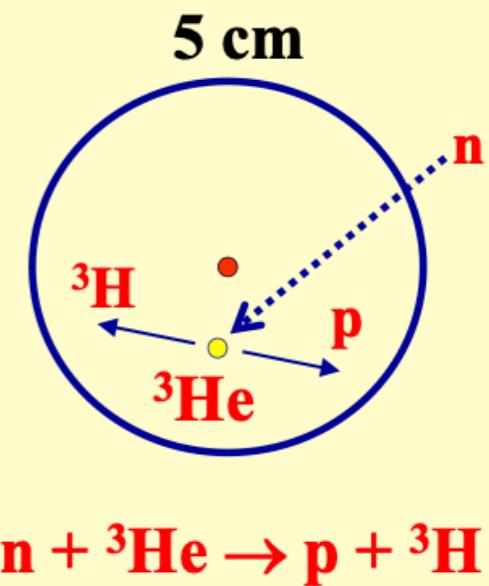
### Phase II (salt) July 01 - Sep. 03

2 tonnes of NaCl  
 n captures on  
 $^{35}Cl(n, \gamma)^{36}Cl$   
 Effc. ~40%  
 NC and CC separation  
 by event isotropy

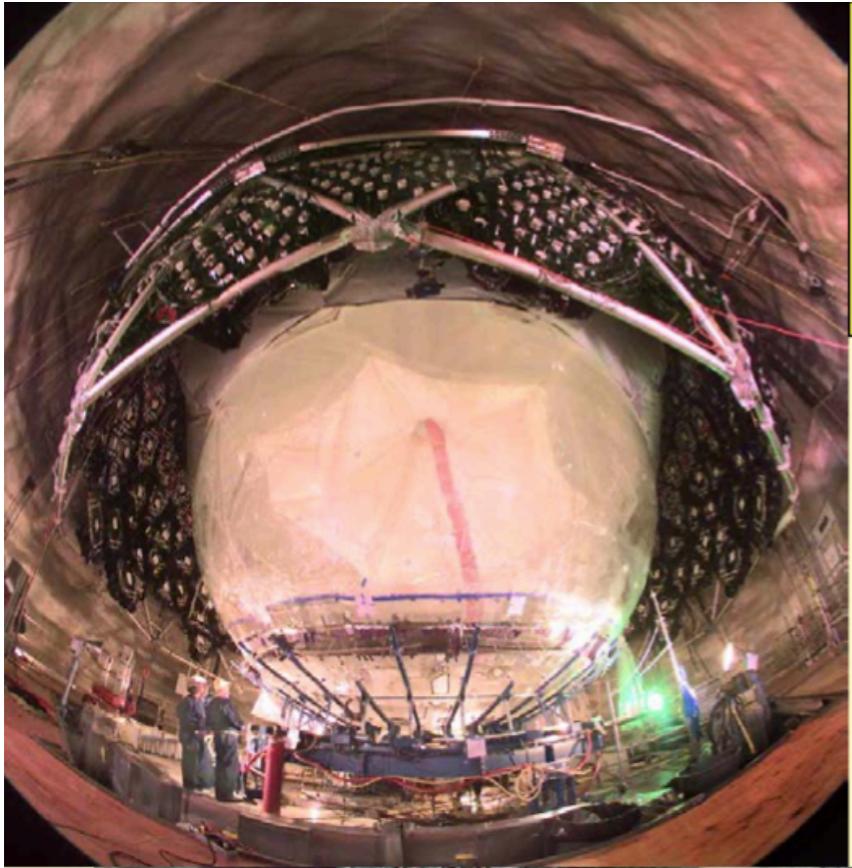


### Phase III ( $^3He$ ) Nov. 04-Dec. 06

400 m of proportional  
 counters  
 $^3He(n, p)^3H$   
 Effc. ~ 30% capture  
 Measure NC rate with  
 entirely separate  
 detection system.

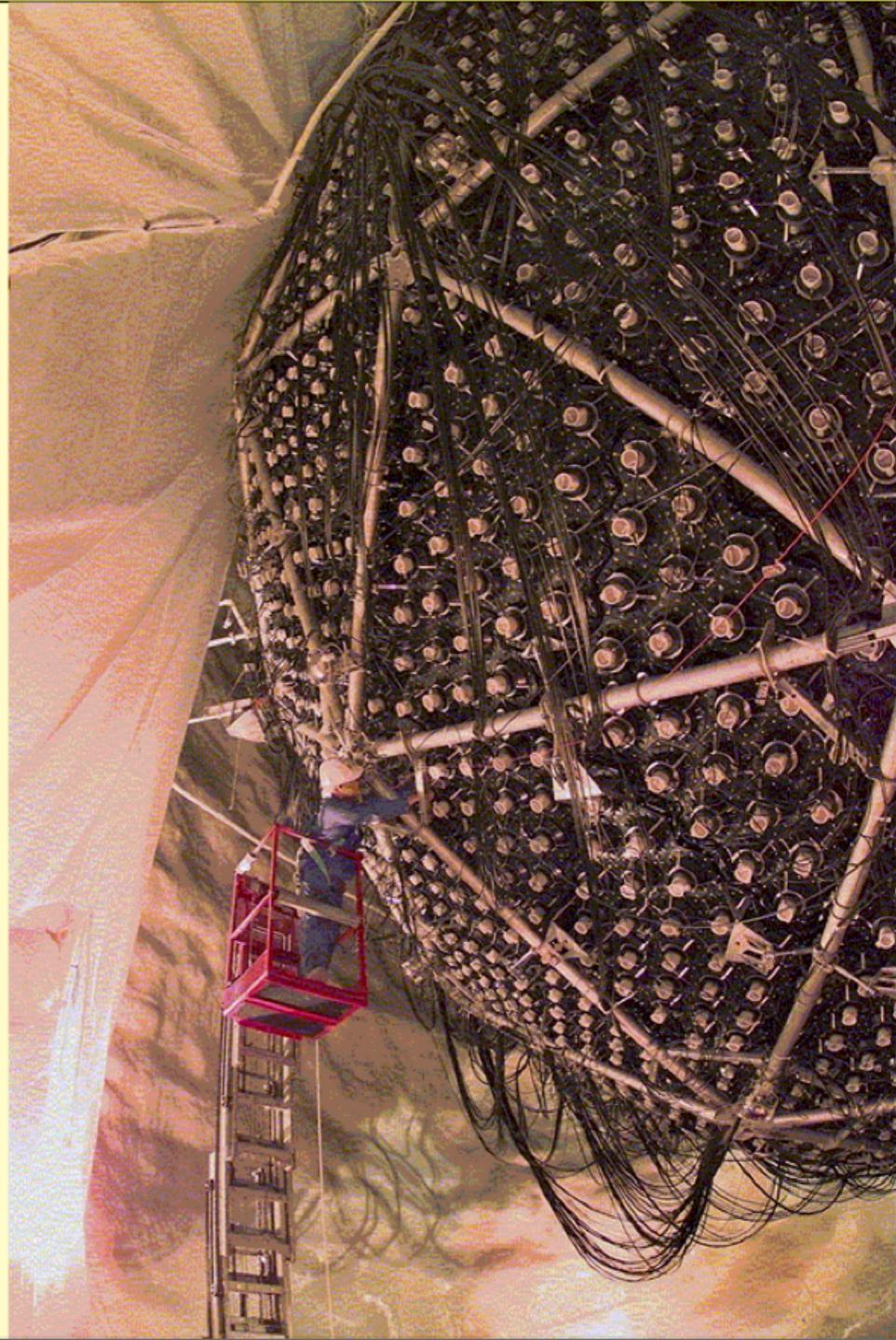


# SNO picture gallery



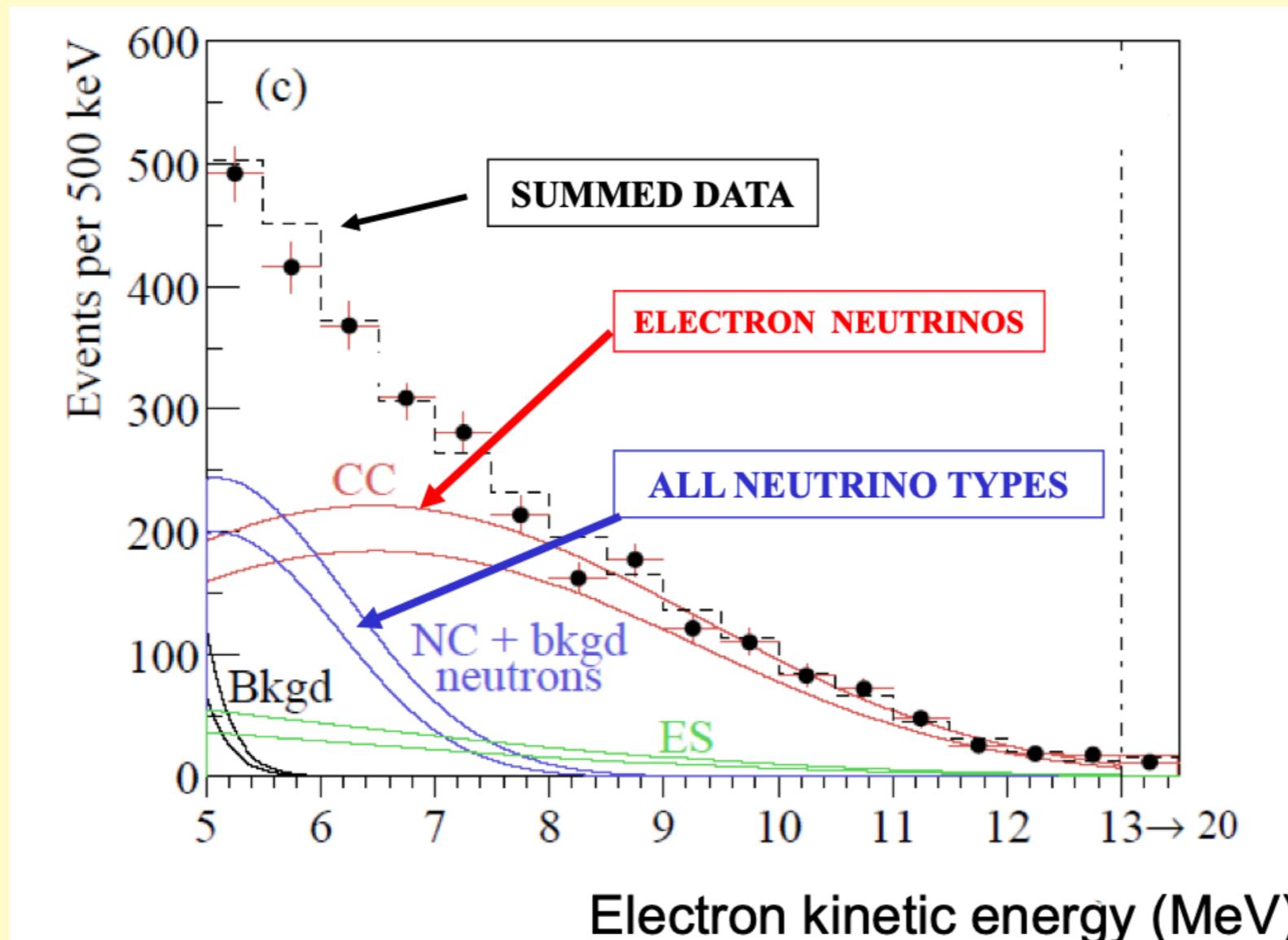
**SNO: One million pieces transported down in the 3 m x 3 m x 4 m mine cage and re-assembled under ultra-clean conditions. Every worker takes a shower and wears clean, lint-free clothing.**

70,000  
showers  
during the  
course of the  
SNO project



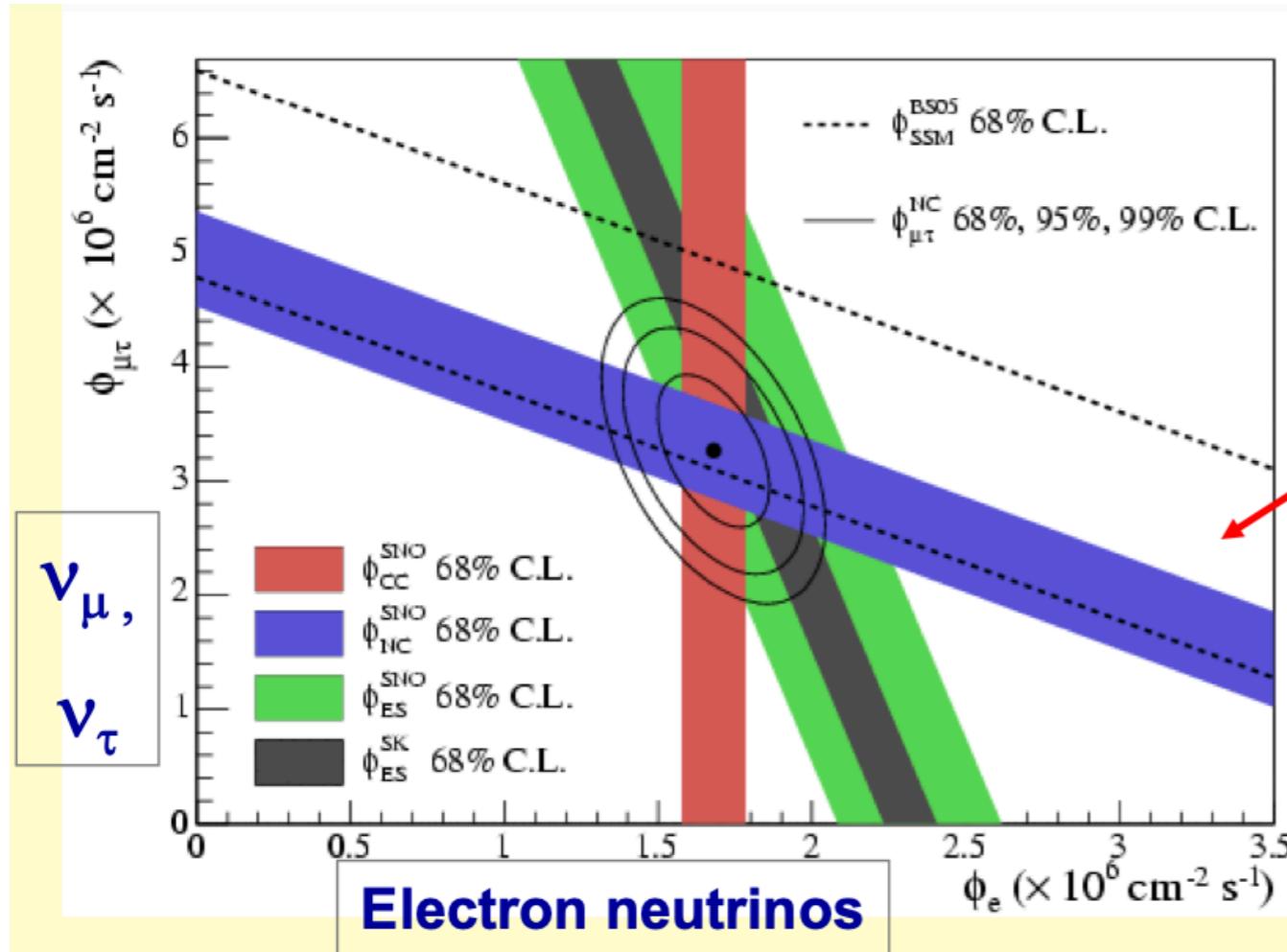
Art McDonald: Neutrino 2016

**WE OBSERVED NEUTRINOS FROM THE SUN  
WITH ALMOST NO RADIOACTIVE BACKGROUND**



**After Calibration:  
ELECTRON  
NEUTRINOS  
AT EARTH ARE  
ONLY 1/3  
OF ALL  
NEUTRINOS**

Data from Pure Heavy Water Phase in 2002



$$\phi_{CC} = 1.68 \quad {}^{+0.06}_{-0.06} \text{(stat.)} \quad {}^{+0.08}_{-0.09} \text{(syst.)}$$

$$\phi_{NC} = 4.94 \quad {}^{+0.21}_{-0.21} \text{(stat.)} \quad {}^{+0.38}_{-0.34} \text{(syst.)}$$

$$\phi_{ES} = 2.35 \quad {}^{+0.22}_{-0.22} \text{(stat.)} \quad {}^{+0.15}_{-0.15} \text{(syst.)}$$

(In units of  $10^6 \text{ cm}^{-2} \text{s}^{-1}$ )

$$\frac{\phi_{CC}}{\phi_{NC}} = 0.34 \pm 0.023 \text{(stat.)} \quad {}^{+0.029}_{-0.031}$$

## SNO Results for Salt Phase

Flavor change determined by  $> 7 \sigma$ .

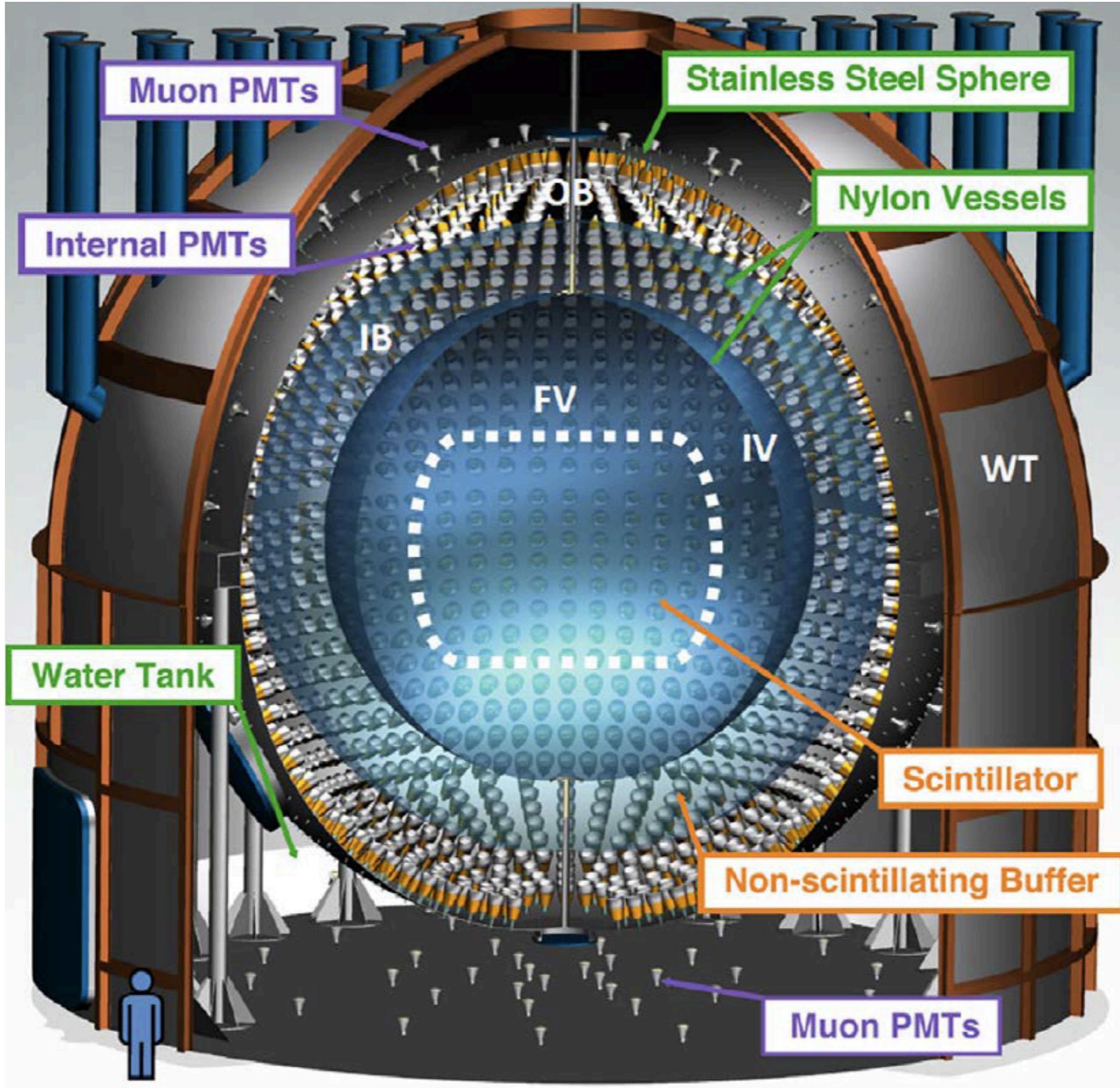
New physics beyond The Standard Model of Elementary Particles!

The Total Flux of Active Neutrinos is measured independently (NC) and agrees well with solar model Calculations:  
 5.82  $\pm$  1.3 (Bahcall et al),  
 5.31  $\pm$  0.6 (Turck-Chieze et al)

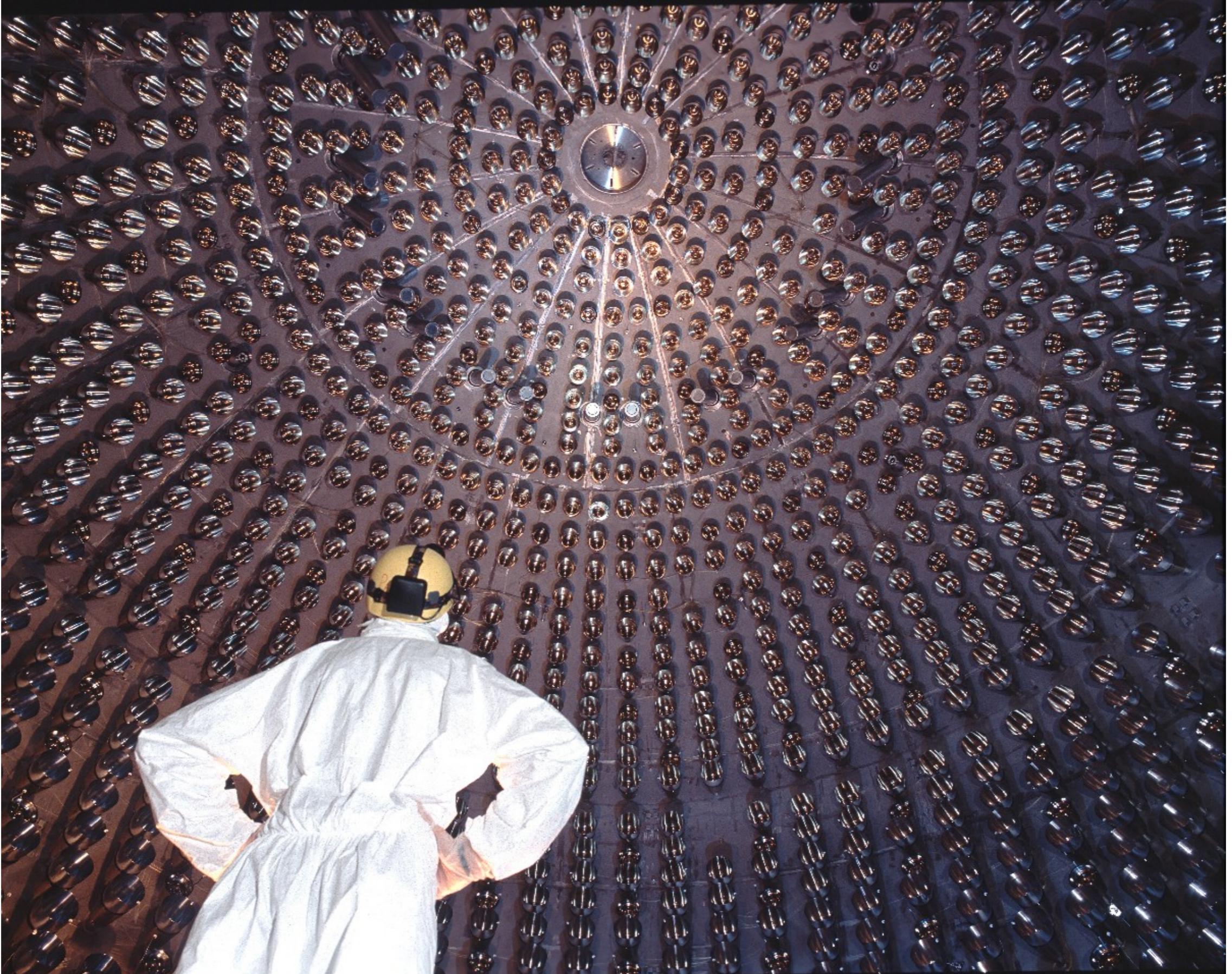
Electron Neutrinos are only 1/3 of Total



# The Borexino detector



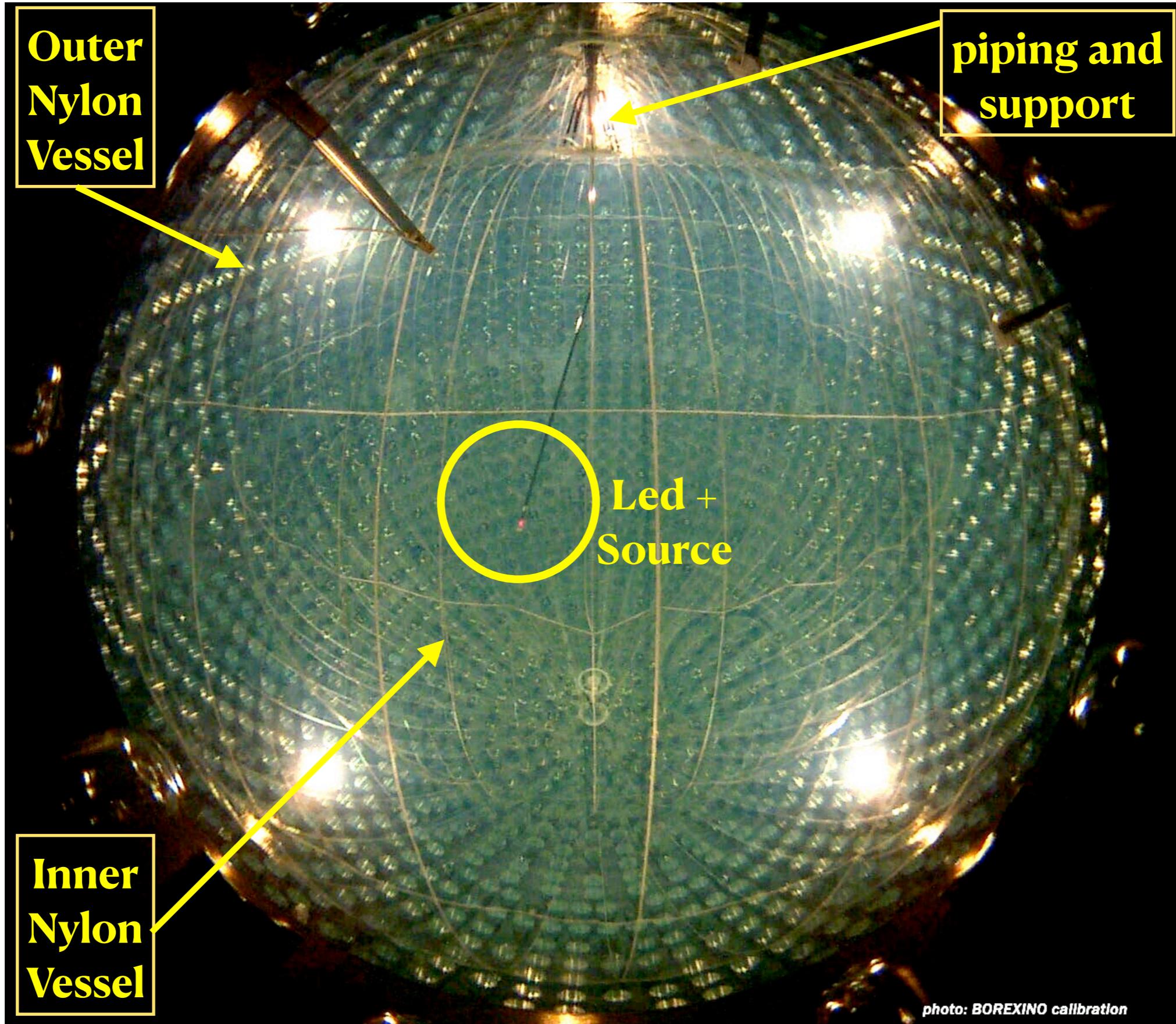
# Internal view, empty

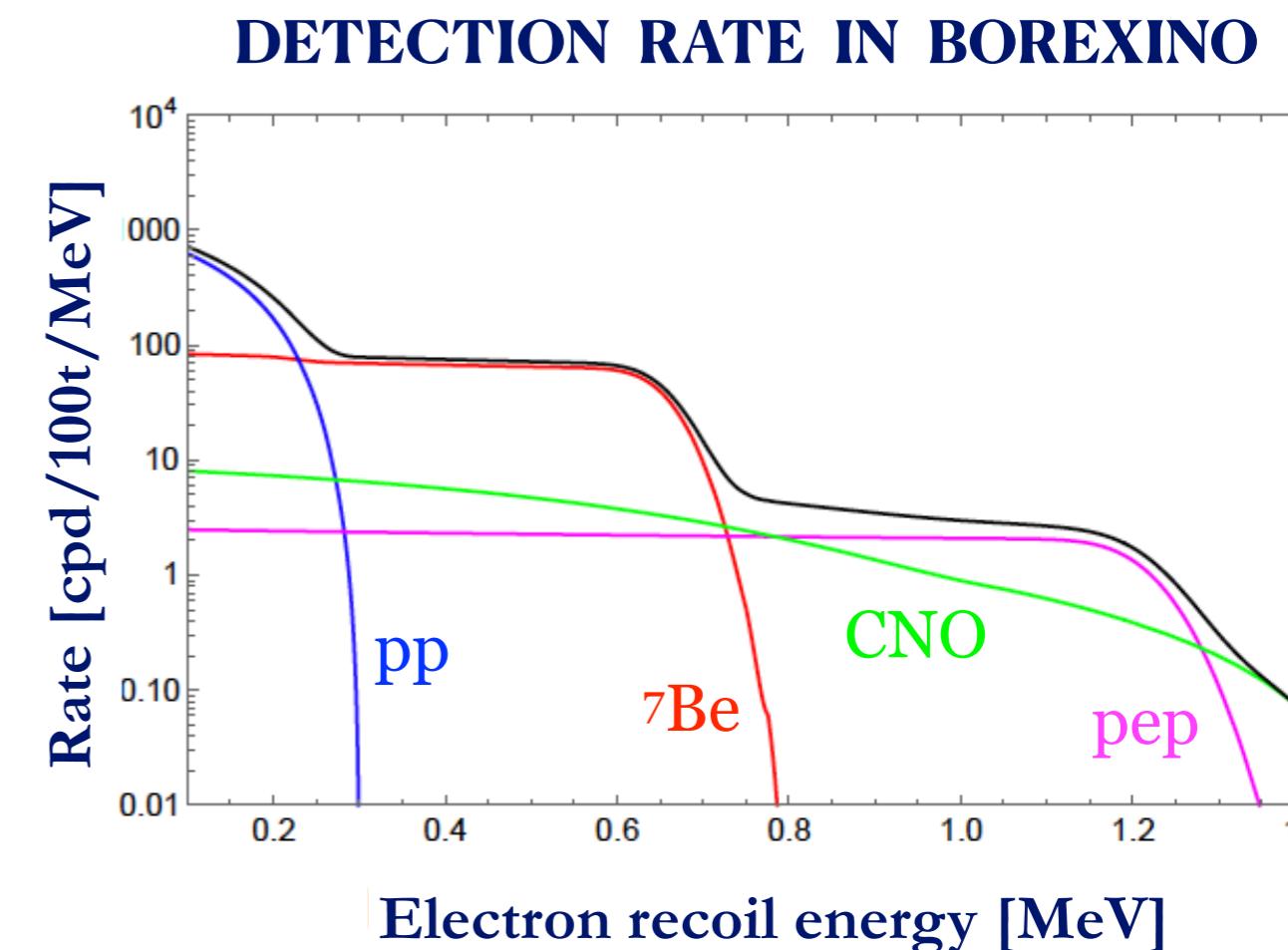
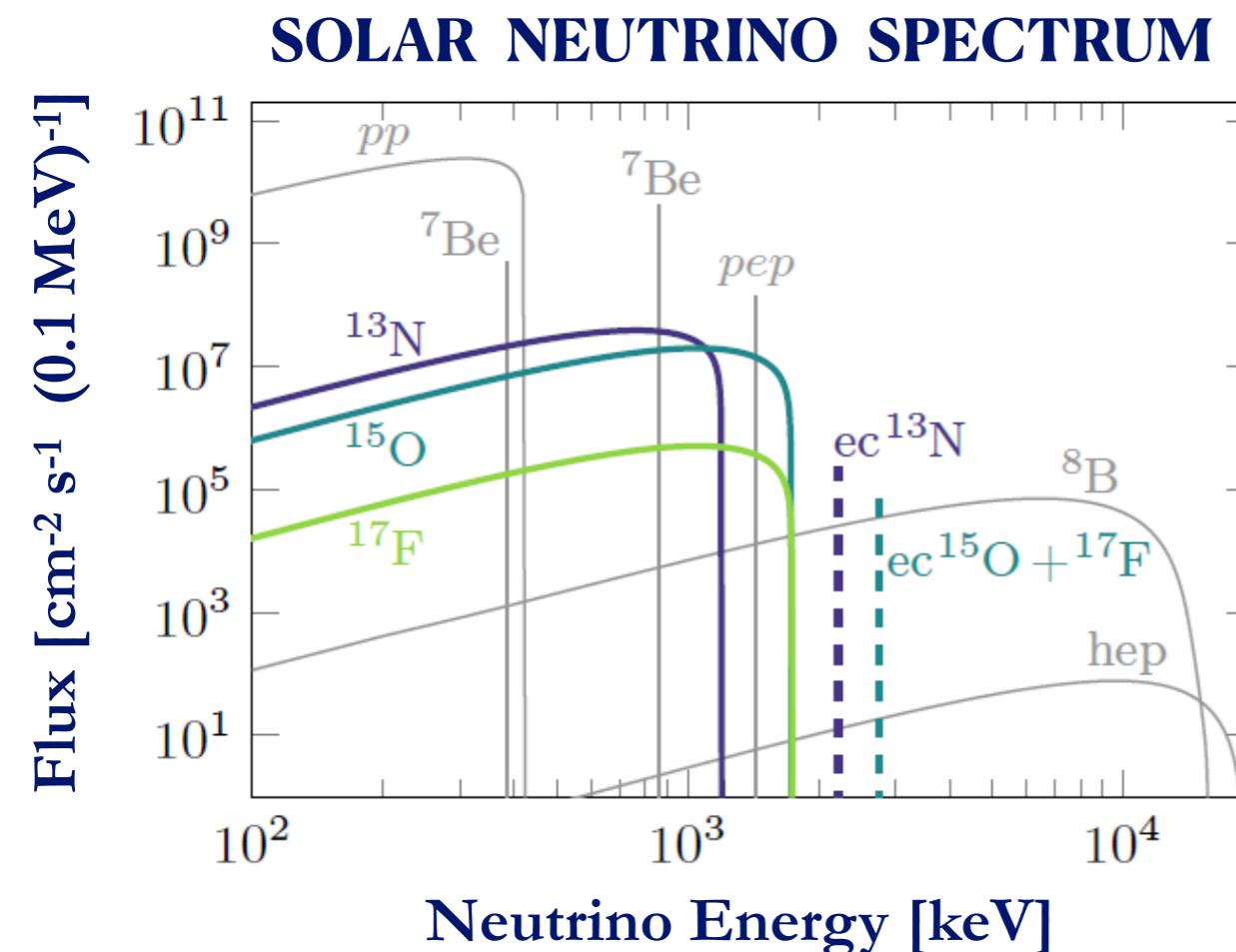


# Internal view: inflated vessels (with N<sub>2</sub>)



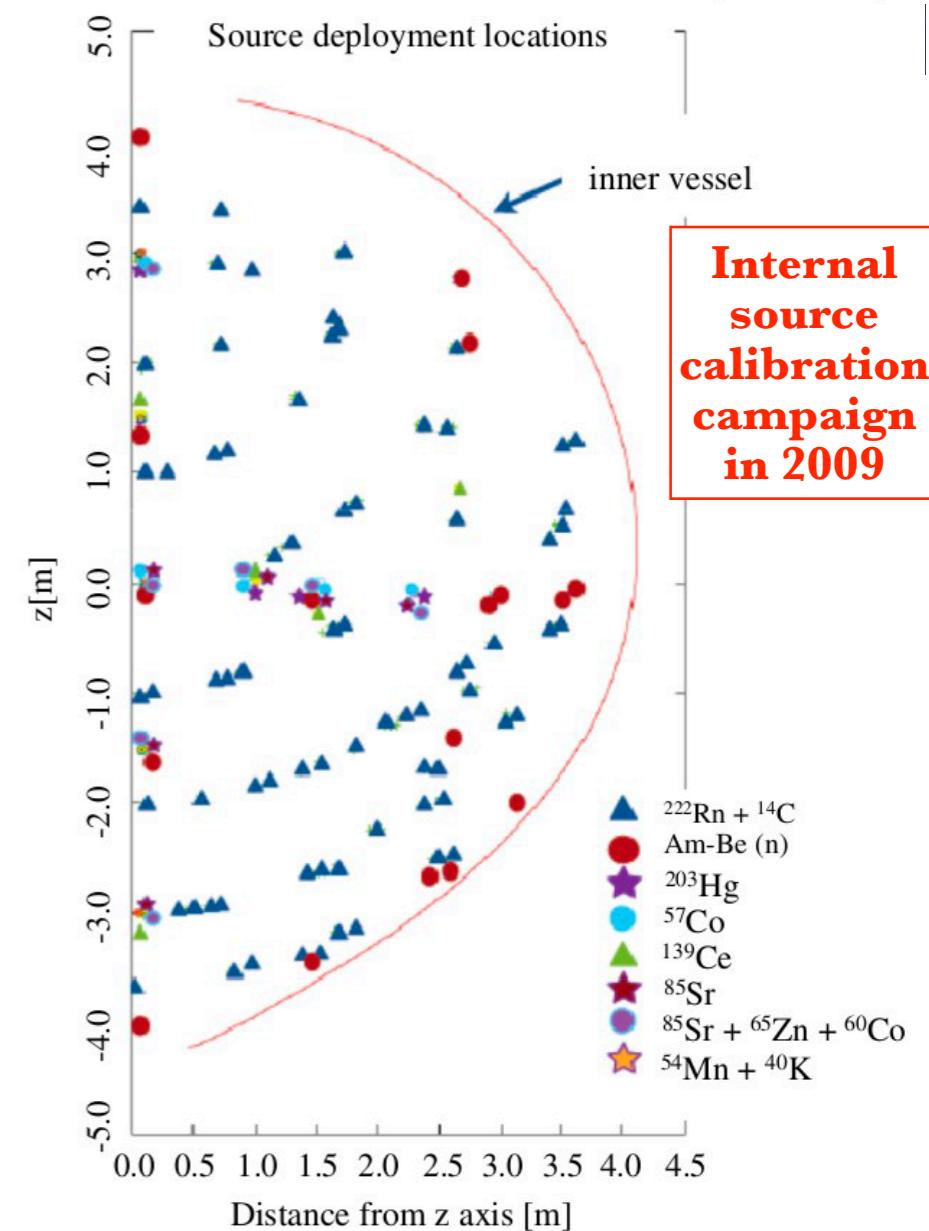
# Internal view, filled, during calibration in 2009



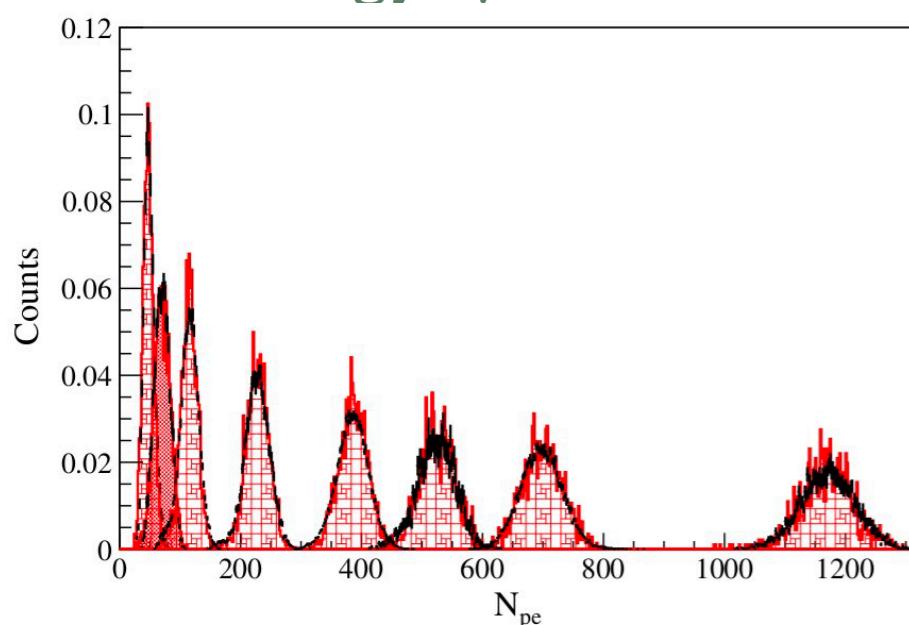


# Detector response

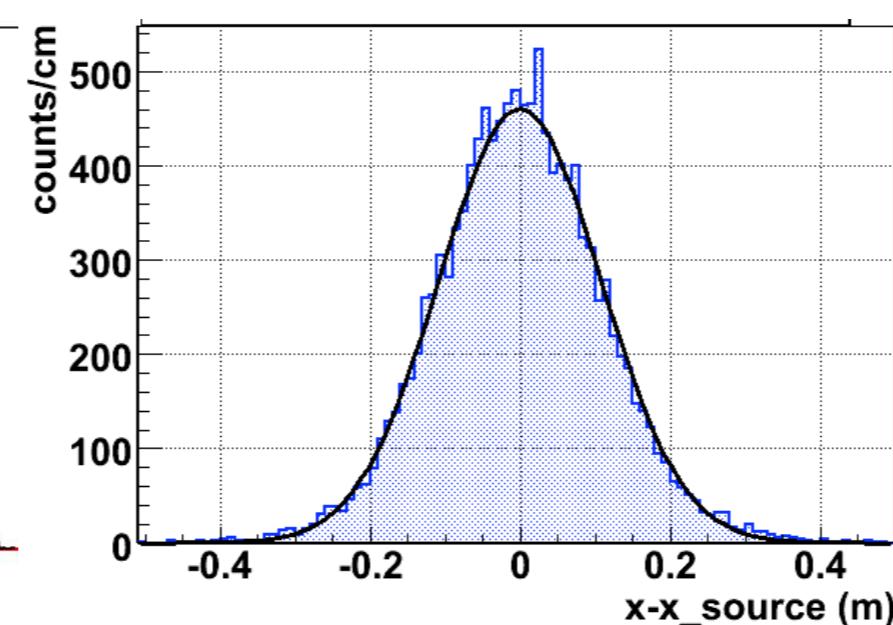
- Large liquid scintillator signal yields:
  - # photo-electrons:
    - energy: **6% @ 1 MeV**
  - time-of-flight:
    - position:  **$\sim 11 \text{ cm} @ 1 \text{ MeV}$**
  - pulse shape:
    - very good  $\alpha/\beta$  and (weak)  $\beta^+/\beta^-$  discrimination



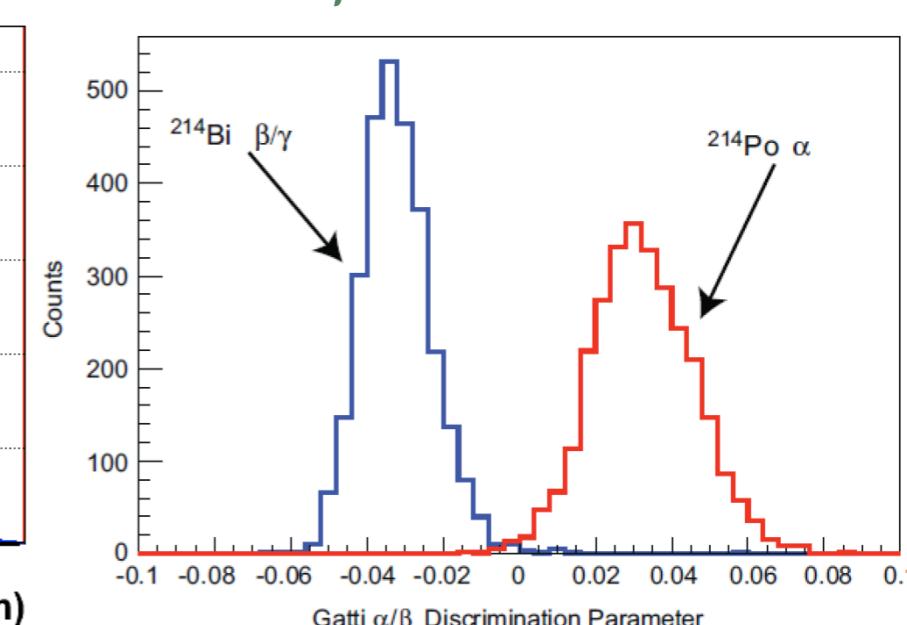
Energy:  $\gamma$  sources



Position:  $^{214}\text{Po}$



$\alpha/\beta$ :  $^{214}\text{Bi} - ^{214}\text{Po}$



- Quasi-point-like energy deposits mimic neutrino events

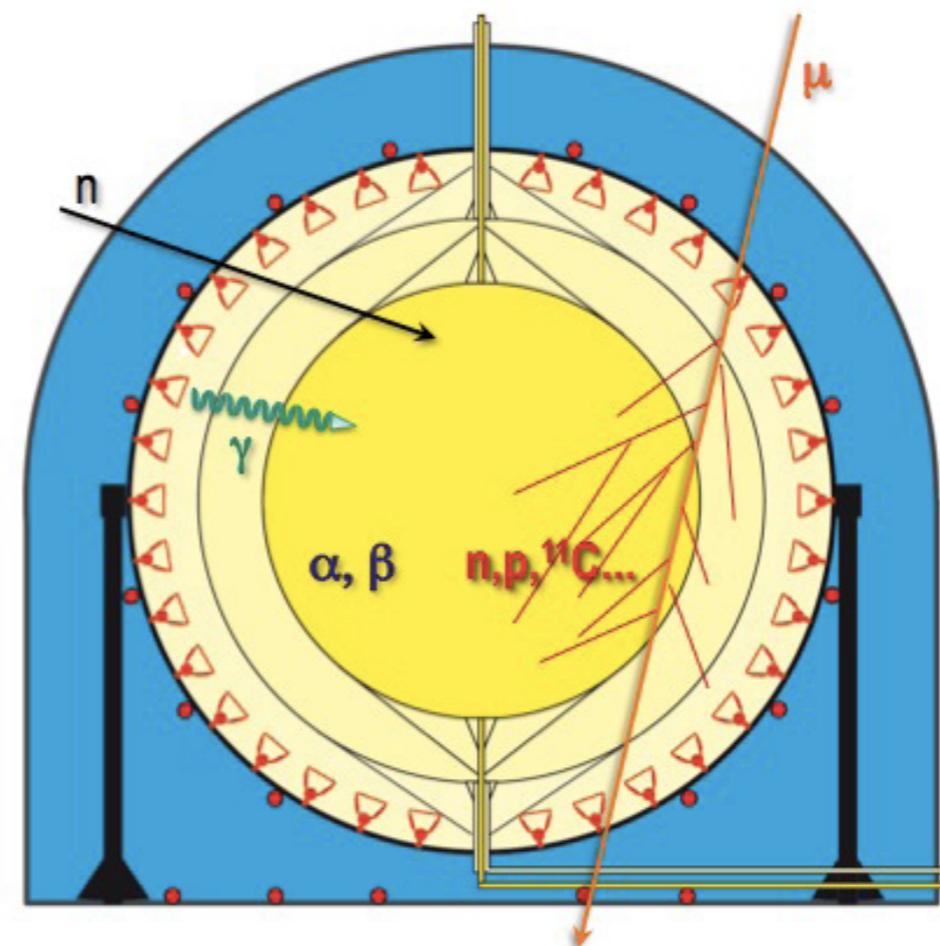
## EXTERNAL

- $\gamma$ s (and n) from environment and detector materials (PMTs and SSS, mostly)

A tiny amount reaches FV

## INTERNAL

- $\alpha$  and  $\beta$  emitters dissolved in the scintillator
- $^{14}\text{C}$ ,  $^{238}\text{U}$ ,  $^{232}\text{Th}$ ,  $^{40}\text{K}$ ,  $^{39}\text{Ar}$ ,  $^{7}\text{Be}$ , ...  
 $^{85}\text{Kr}$ ,  $^{210}\text{Pb}$ ,  $^{210}\text{Po}$



## COSMOGENIC

- Residual muons produce long living isotopes ( $\mu\text{s}$  to days range)

$^{11}\text{C}$ ,  $^8\text{He}$ ,  $^9\text{C}$ ,  $^9\text{Li}$ , ....

## MIGRATING

- Detaching from Nylon Vessel and transported by convection into the FV
- $^{210}\text{Po}$ ,  $^{222}\text{Rn}$

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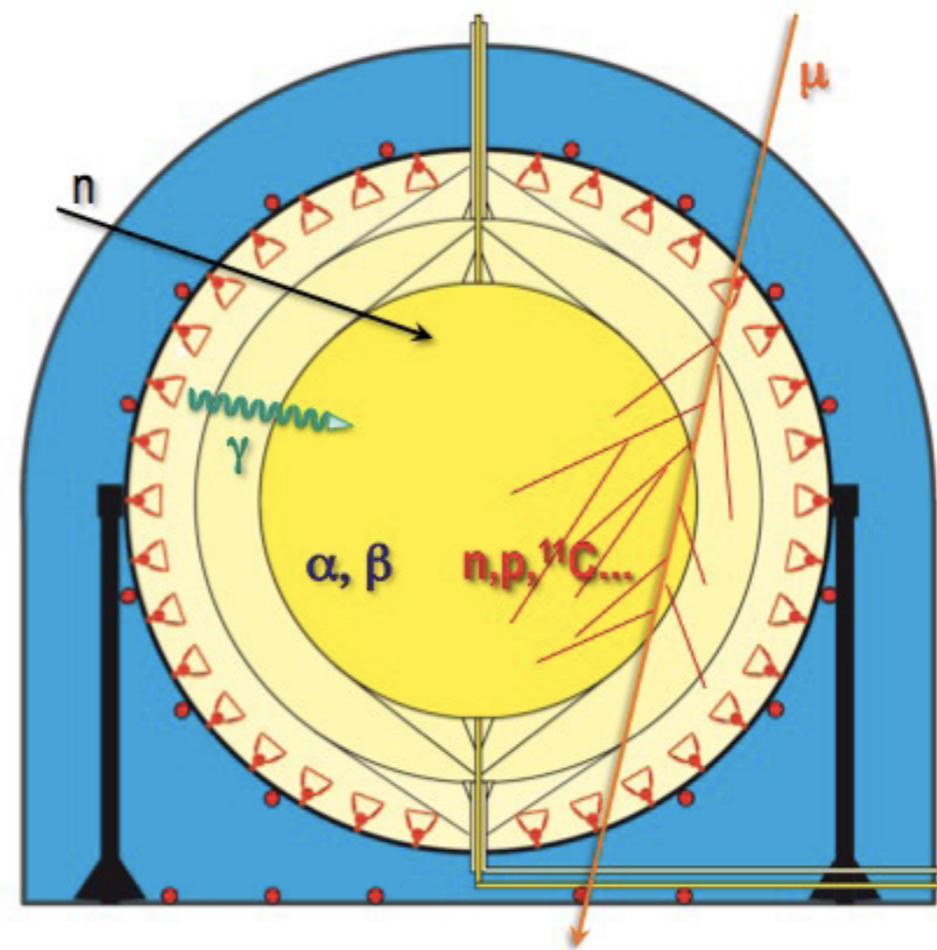
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## FIGHTING STRATEGY

- Shielding, muon tagging and tracking
- Material selection (steel, PMTs, nylon)
- Nylon vessel (material selection, clean construction, no air exposure)

- Quasi-point-like energy deposits mimic neutrino events

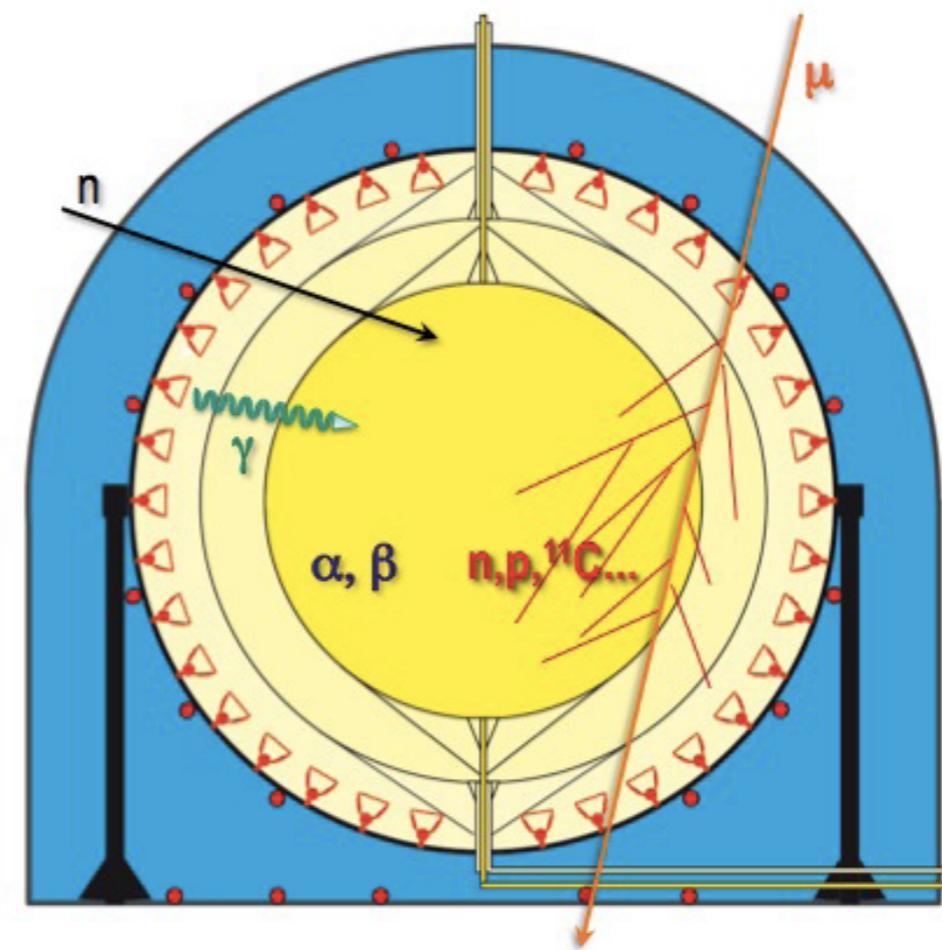
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## FIGHTING STRATEGY

- Selection of PC vendor for low  $^{14}\text{C}$ , dedicated plant, and custom transportation
- Distillation of PC, Water Extraction of PC+PPO solution**
- Development of **low Ar and Kr**  $\text{N}_2$  to remove dissolved contaminants
- Extreme cleanliness of plants, carefully designed filling procedures

**A long story made short!**

- Quasi-point-like energy deposits mimic neutrino events

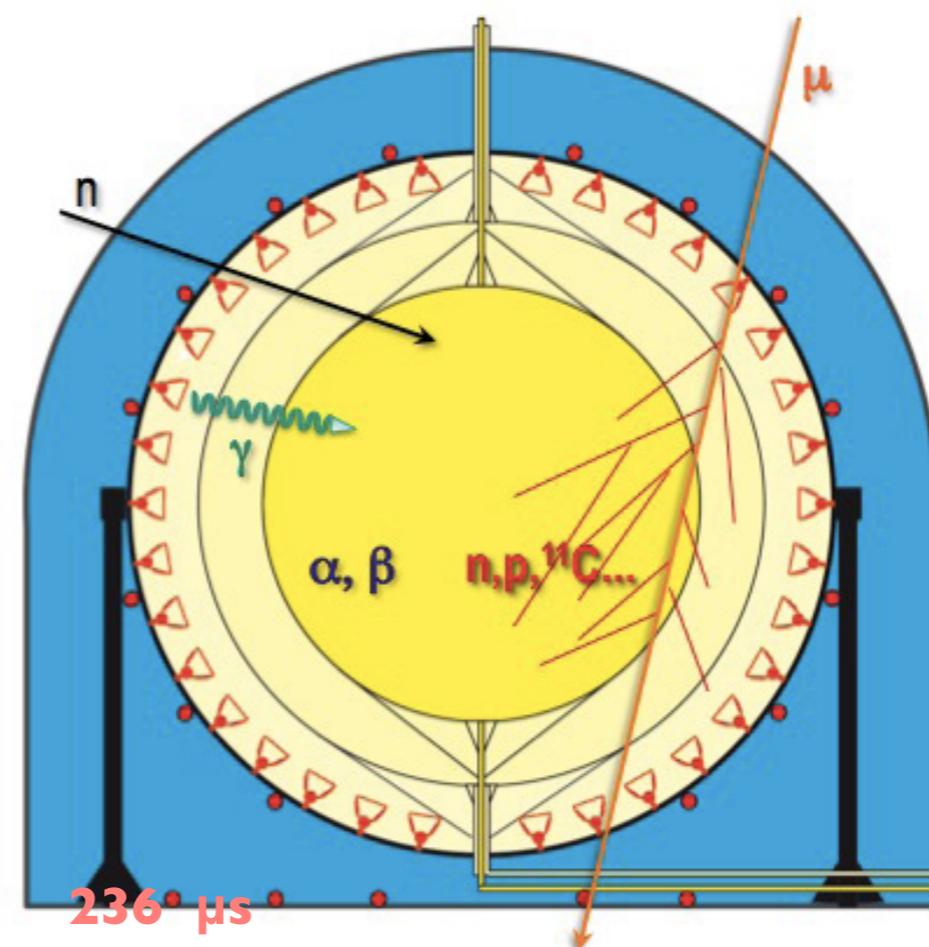
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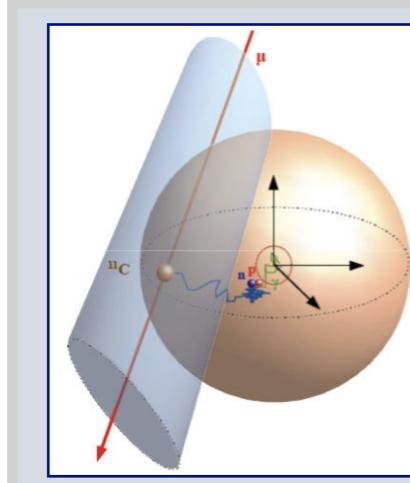
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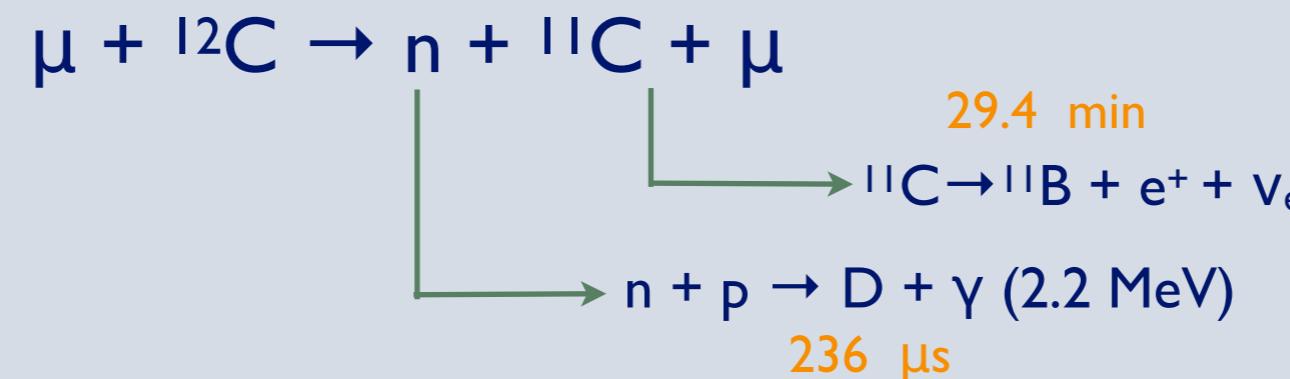
$^{11}\text{C}$ ,  $^8\text{He}$ ,  $^9\text{C}$ ,  $^9\text{Li}$ , ....

## MIGRATING

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## FIGHTING STRATEGY



Other isotopes:  
removed by  
“after muon”  
veto cuts

# Fighting backgrounds

- Quasi-point-like energy deposits mimic neutrino events

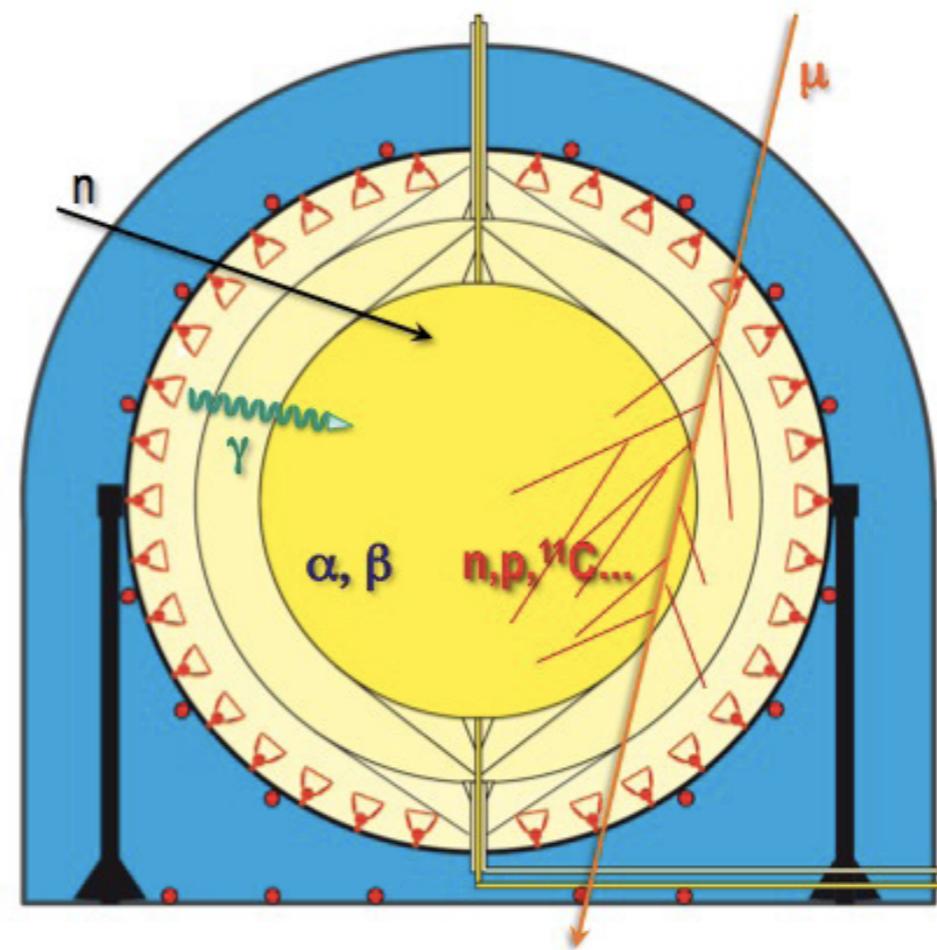
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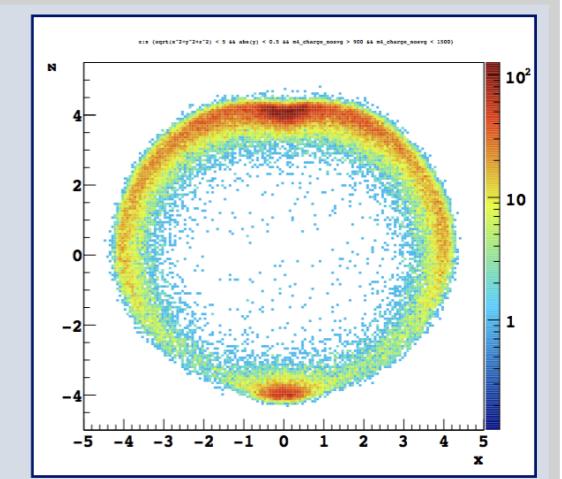
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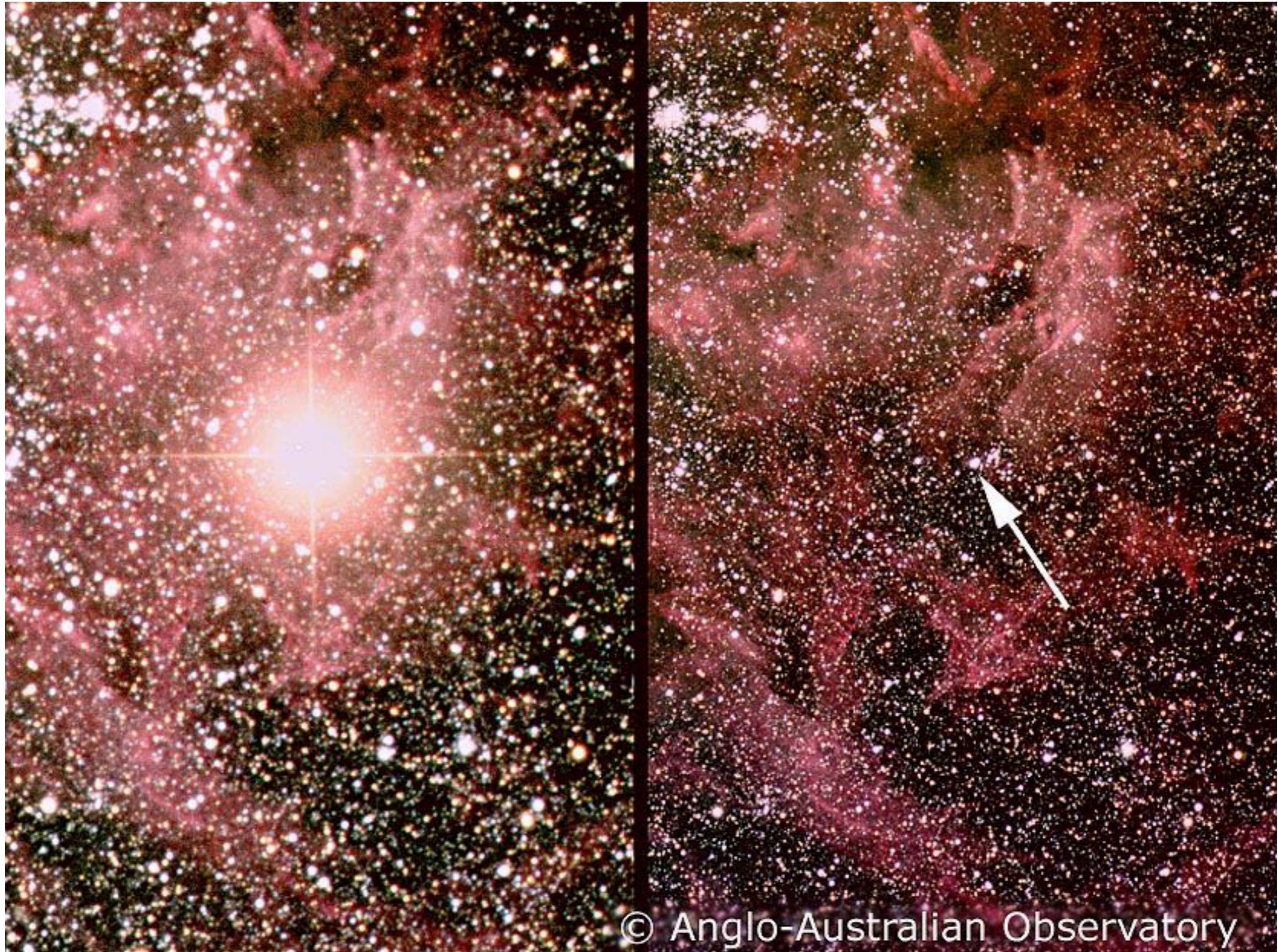
## FIGHTING STRATEGY

- Isotopes detaching from IV may reach the FV
  - $^{210}\text{Po}$  (chiefly) and  $^{222}\text{Rn}$  daughters
- Leaching rate (chemistry) and speed (convection currents)
  - Only if they live long enough!

See later



# SN1987a: optical image before and after



- The first (and so far unique) neutrino detection for a star other than our Sun

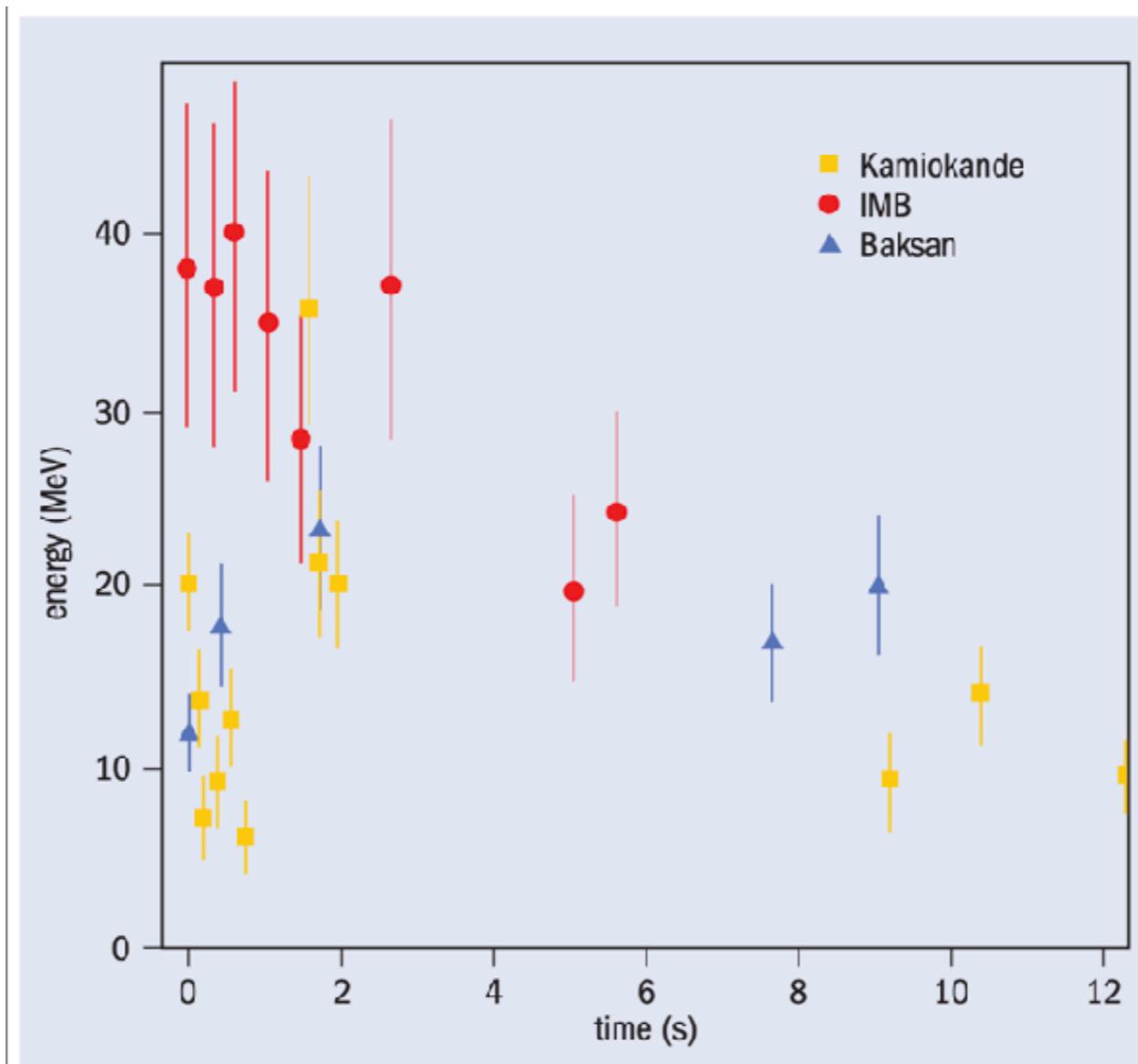


Fig. 3. SN1987A neutrino events observed by Kamiokande, IMB and Baksan showed that the neutrino burst lasted about 13s.



# Open problems

## • Neutrino mass type

- Majorana vs Dirac
  - NEUTRINOLESS DOUBLE BETA DECAY

## • Neutrino mass scale

- What is the value of  $m_1$  ?
  - DIRECT NEUTRINO MEASUREMENTS (not covered)

## • Neutrino mass ordering

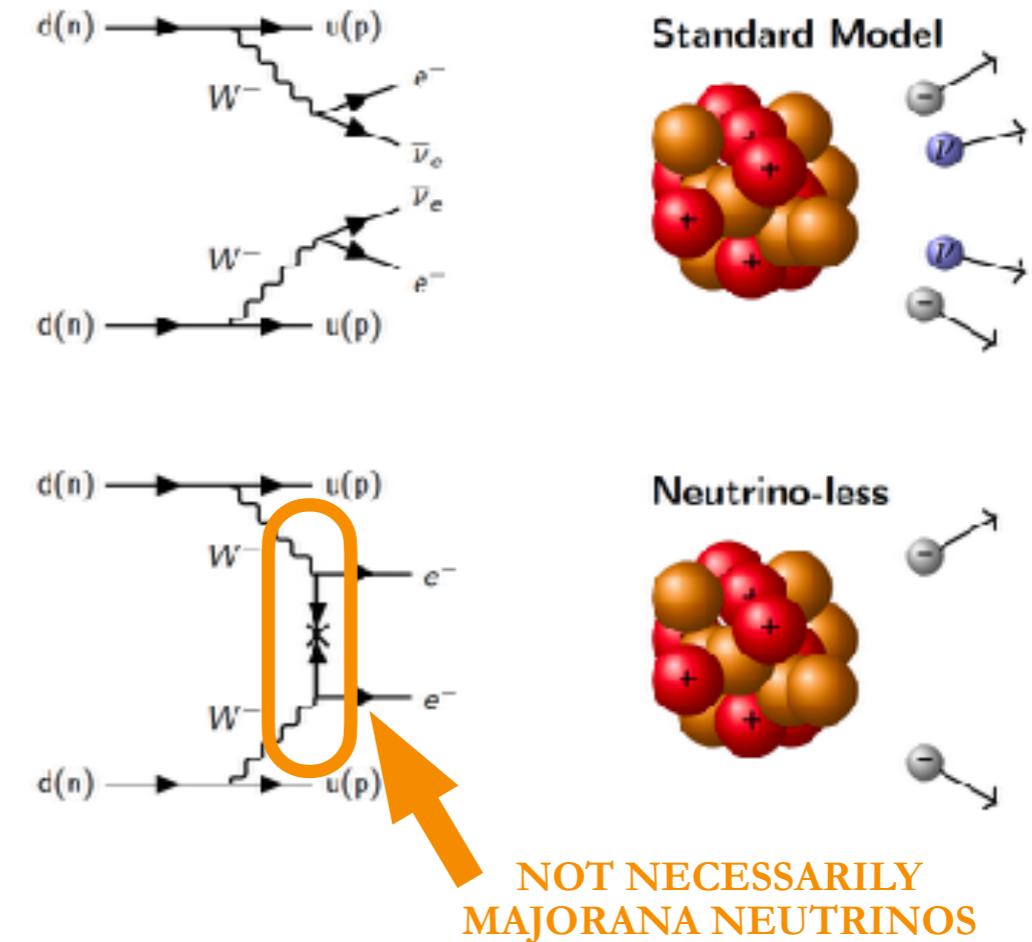
- $m_3 > m_1$  or  $m_3 < m_1$  ?
  - JUNO, ORCA, DUNE

## • CP violation in lepton sector ?

- What is the value of  $\delta_{CP}$  ?
  - T2K and Nova, then (>2028) DUNE and T2HK

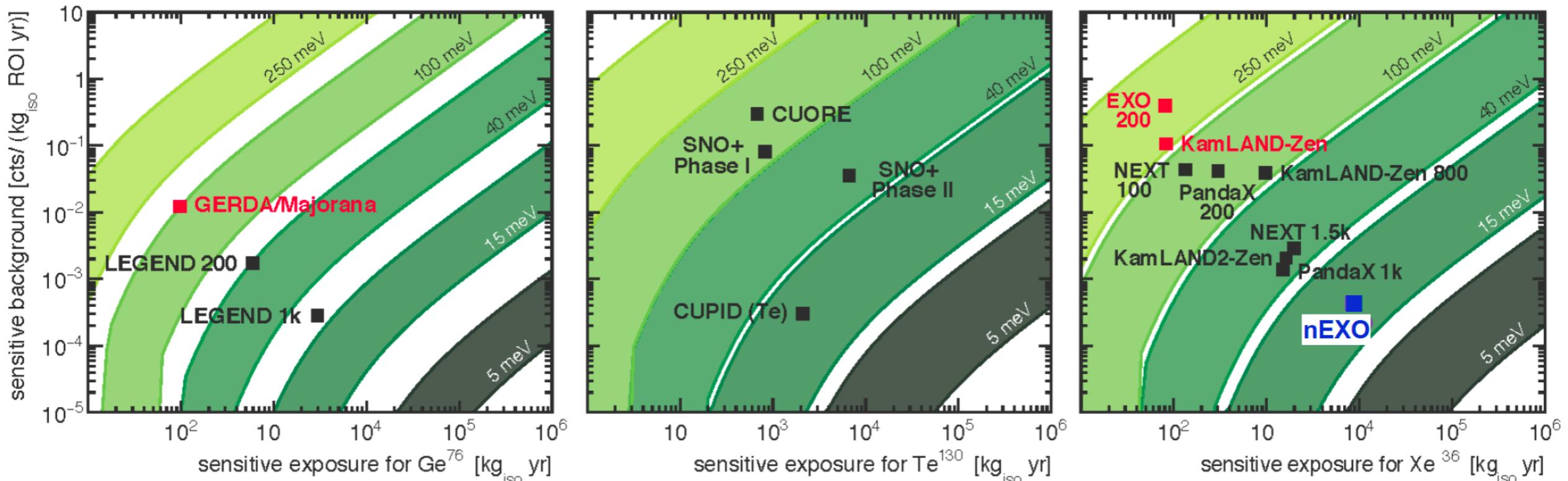
# Why $0\nu\beta\beta$ is important ?

- The only known process that can **distinguish** between **Majorana and Dirac mass terms**
  - i.e.  $0\nu\beta\beta$  can happen only if neutrinos are their own anti-particle (truly neutral)
  - i.e. lepton number is violated
  - In all scenarios  $0\nu\beta\beta$  implies new physics

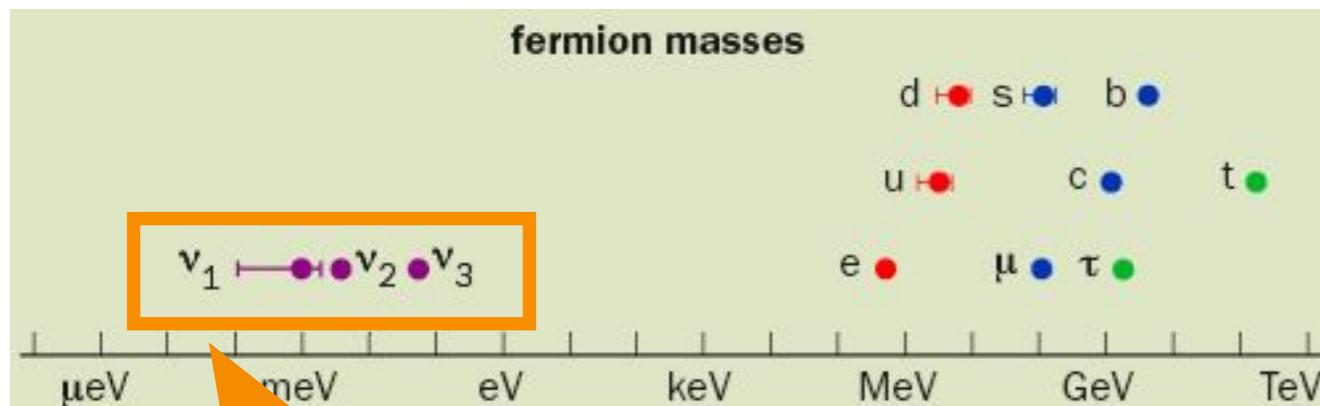


## SENSITIVITY OF NEXT GENERATION EXPERIMENTS

PRD 96 (2017) 053001  
PRD 96 (2017) 073001



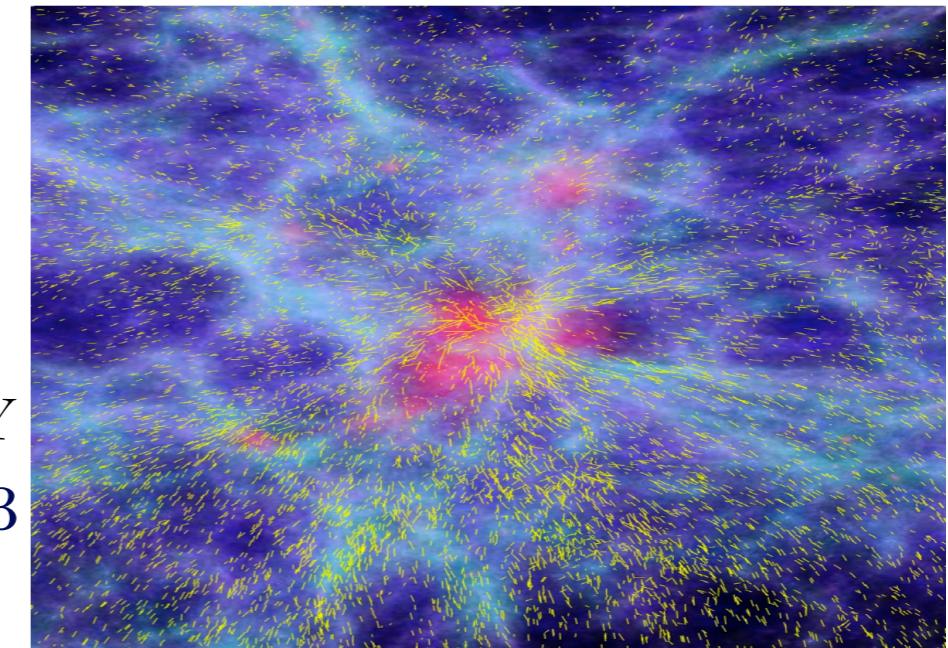
# Why mass is important: three ways, three “masses” !



NEUTRINOS  
ARE DIFFERENT ?

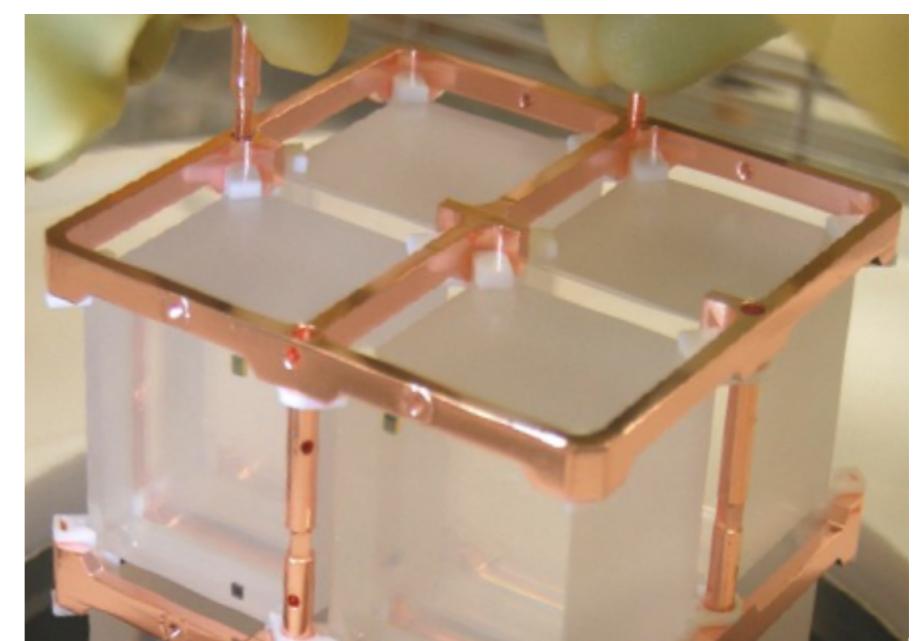


$$\Sigma_\nu = m_1 + m_2 + m_3$$



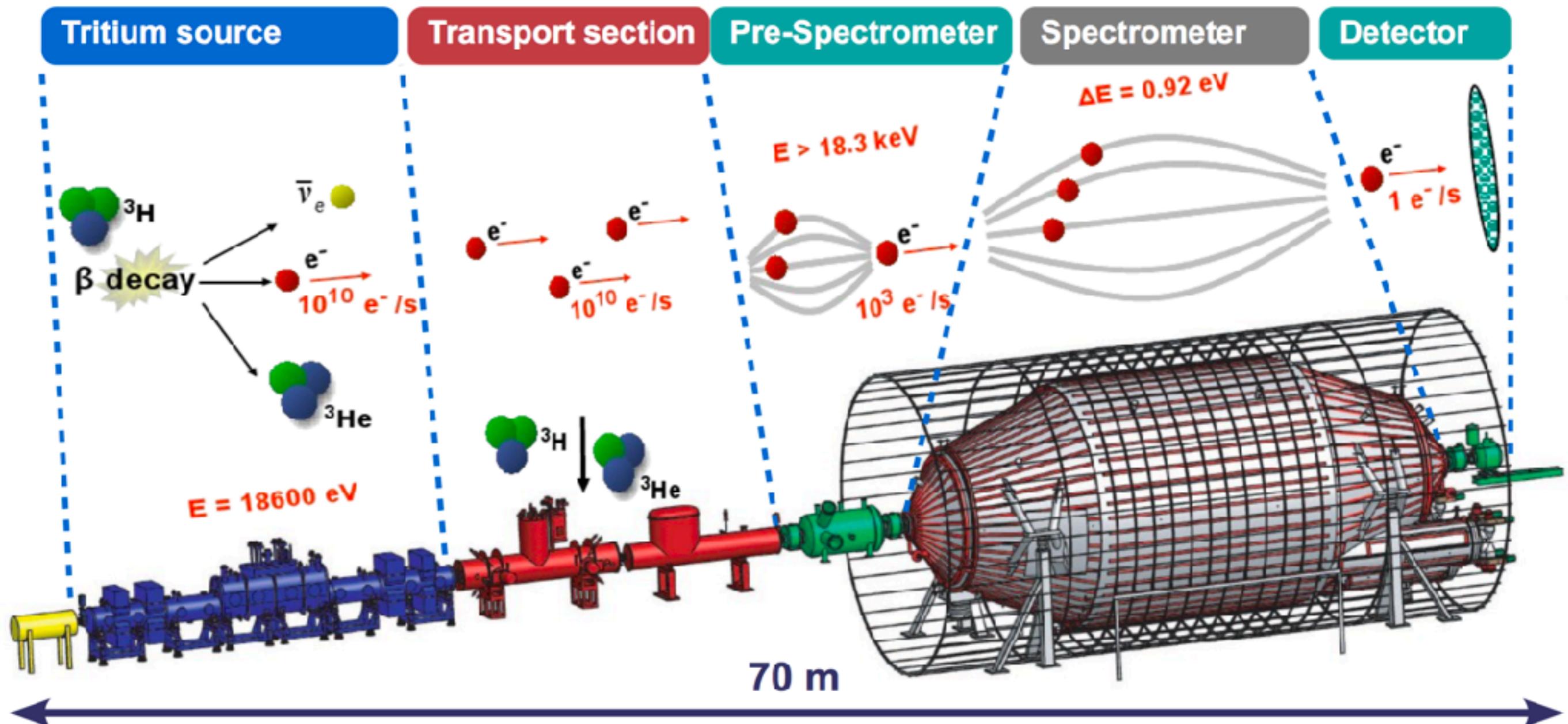
## $\beta$ DECAY KINEMATICS

$$m_\beta = \sqrt{|U_{e1}|^2 m_1^2 + |U_{e2}|^2 m_2^2 + |U_{e3}|^2 m_3^2}$$



## LEPTON NUMBER VIOLATION ( $0\nu\beta\beta$ DECAY)

$$m_{\beta\beta} = |U_{e1}^2 m_1 + U_{e2}^2 m_2 + U_{e3}^2 m_3|$$



Potential sensitivity: 0.35 eV (discovery at  $5\sigma$ , 0.2 upper limit )



# Why measuring $\delta_{CP}$ is important ?

- We do not understand the **origin of matter-antimatter asymmetry in the Universe**
  - To get it you need CP violation (and baryon number violation)
  - Is the CP violation required explained by Standard Model + PMNS ?

- CP violation is proportional to so called Jarlskog invariant

$$J = \sin \vartheta_{12} \cos \vartheta_{12} \sin \vartheta_{23} \cos \vartheta_{23} \sin \vartheta_{13} \cos^2 \vartheta_{13} \sin \delta_{CP} = J_{max} \sin \delta_{CP}$$

$$J_{max}^{quarks} = (3.18 \pm 0.15) \cdot 10^{-5}$$

$$J_{max}^{leptons} = (3.3 \pm 0.06) \cdot 10^{-2}$$

- Quarks are ruled out
  - Leptons, not necessarily. They may play a role, possibly not unique.
- 
- **Be aware:** you need, anyway, a **baryon number violation mechanism**, which cannot be related to SM

# Thank you