



# High-redshift long GRBs

**Their rate and production efficiency from  
modelling and observations**

In collaboration with:  
Susanna D. Vergani  
Frédéric Daigne  
Ruben Salvaterra  
Jure Japelj

High-redshift Gamma-Ray Bursts in the *JWST* era  
9th-13th of January 2023, Sexten, Italy



# Outline

1. Long GRBs: a most promising probe of our Universe up to the highest redshifts
2. The issue of their progenitors and their production efficiency from stars
3. Deriving the rate and production efficiency of LGRBs by modelling their intrinsic population
4. Studying the factors driving this production efficiency through observations (at  $z < 2$ )



# Outline

1. Long GRBs: a most promising probe of our Universe up to the highest redshifts
2. The issue of their progenitors and their production efficiency from stars
3. Deriving the rate and production efficiency of LGRBs by modelling their intrinsic population
4. Studying the factors driving this production efficiency through observations (at  $z < 2$ )



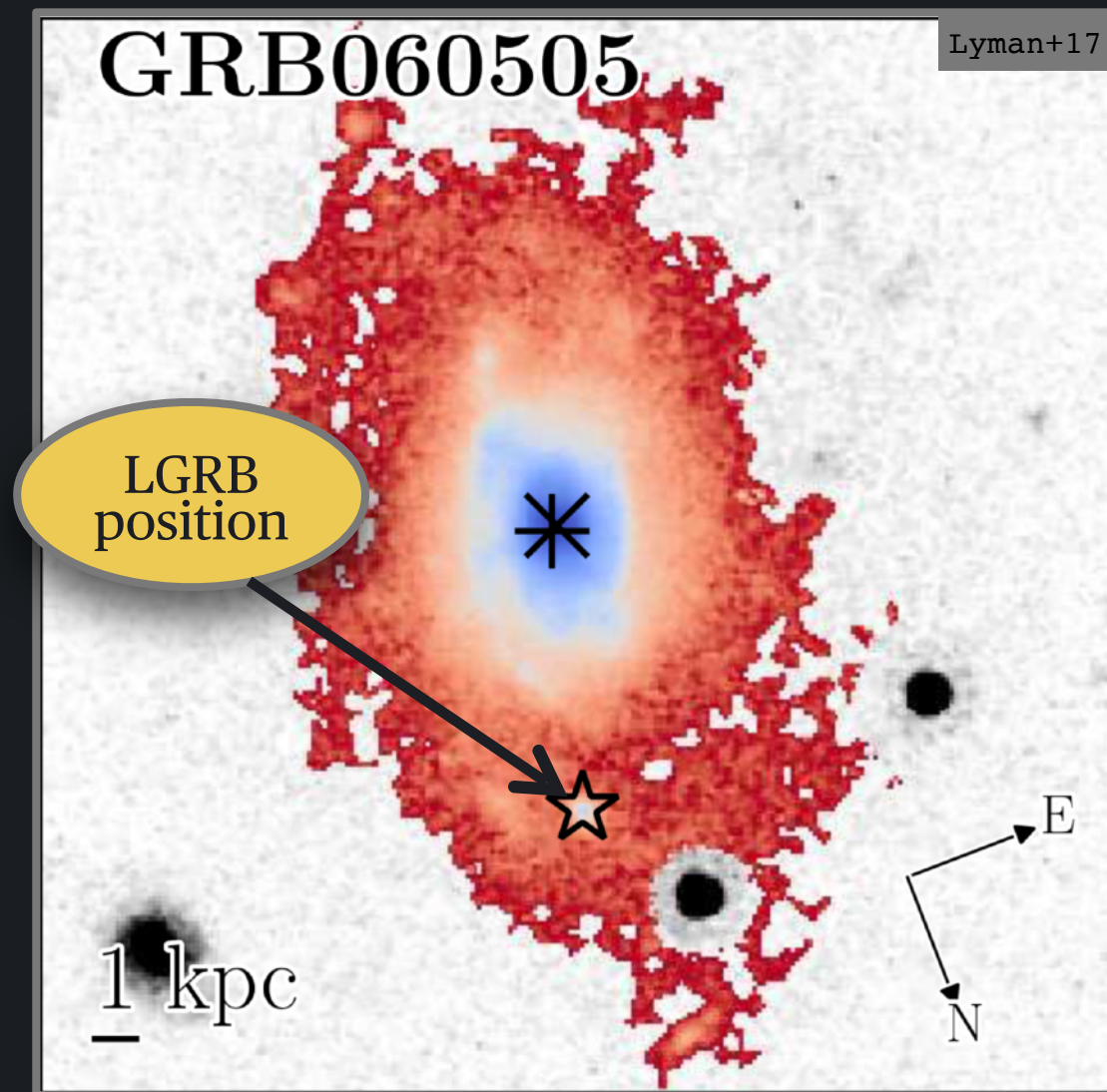
# GRBs: unique probes

- Produced up to **highest redshift**  
 $z = 8.2$  confirmed spectroscopically, predicted up to first stars at  $z > 15$
- Detected in hard X-rays / soft  $\gamma$ -rays  
→ prompt is largely **unaffected** by **dust**/hydrogen
- **Bright, transient**, fading afterglow  
→ benefit from **time dilation** at high redshift



# LGRBs and massive stars

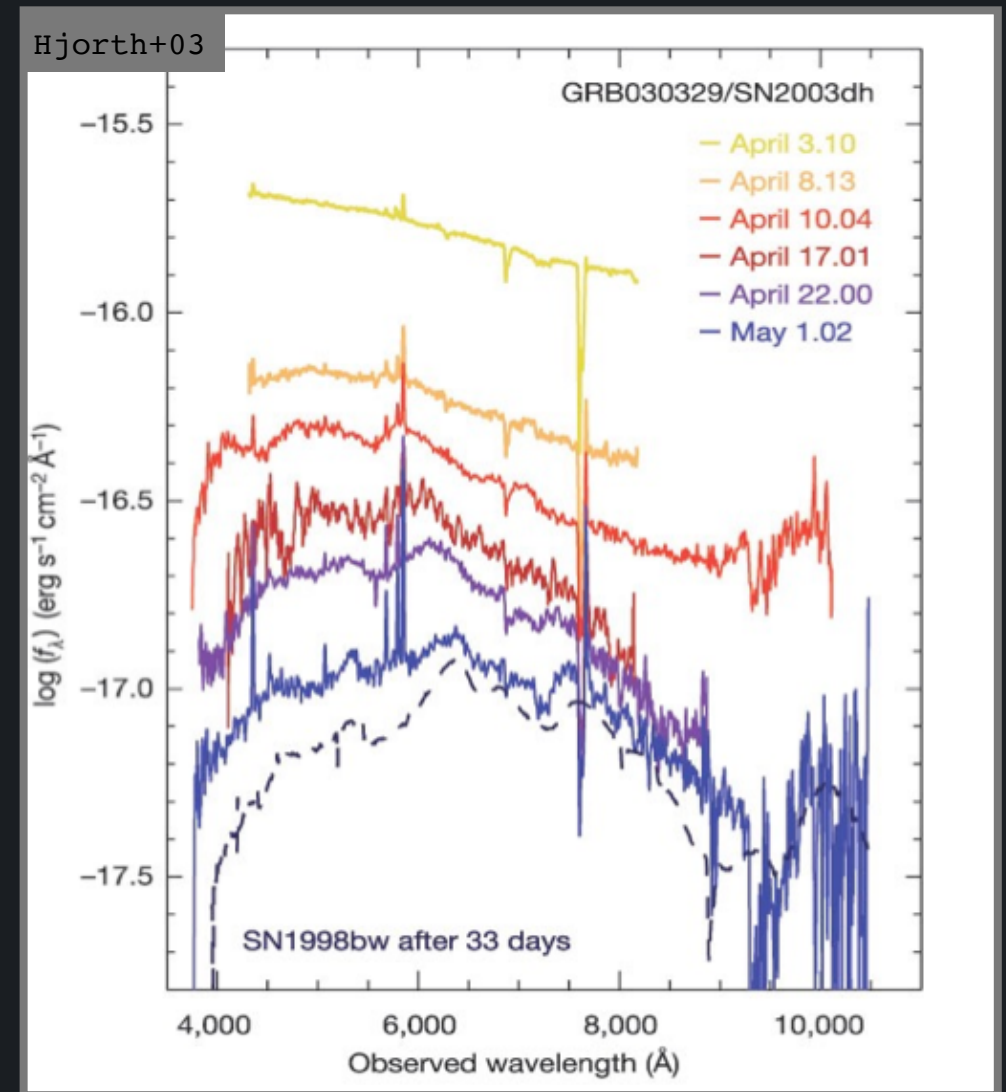
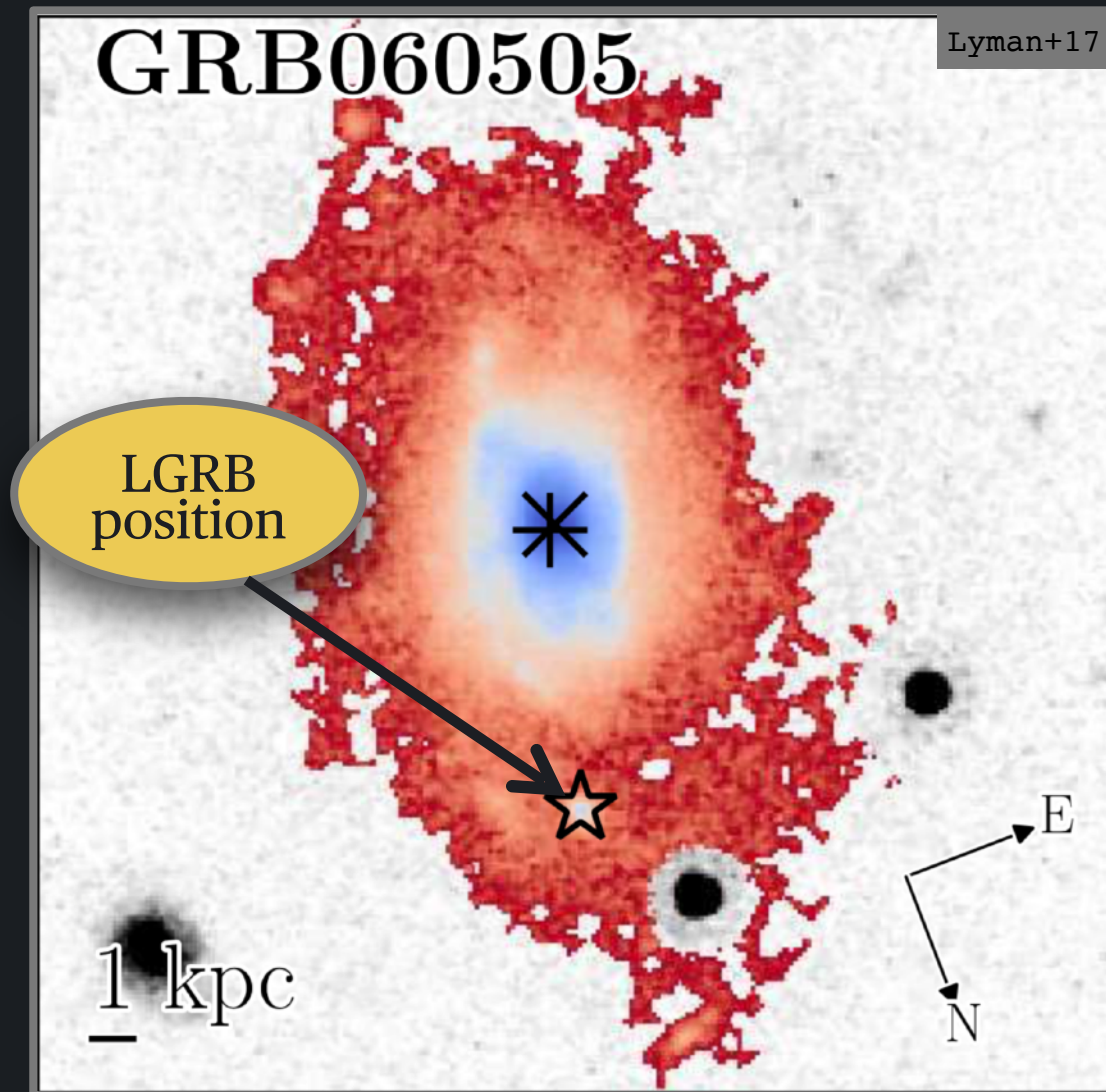
- Long GRBs are associated to the deaths of (certain) massive stars: collapsar model





# LGRBs and massive stars

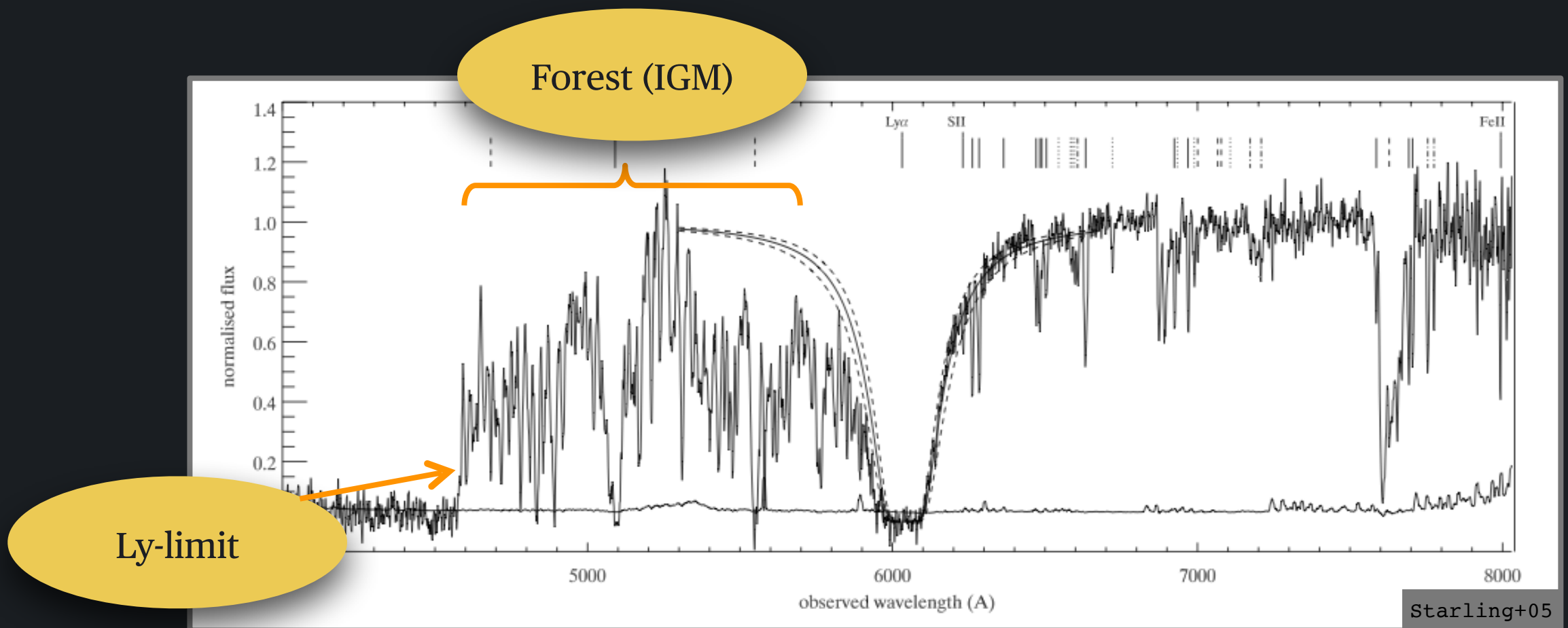
- Long GRBs are associated to the deaths of (certain) massive stars: collapsar model





# GRBs: case-by-case probes

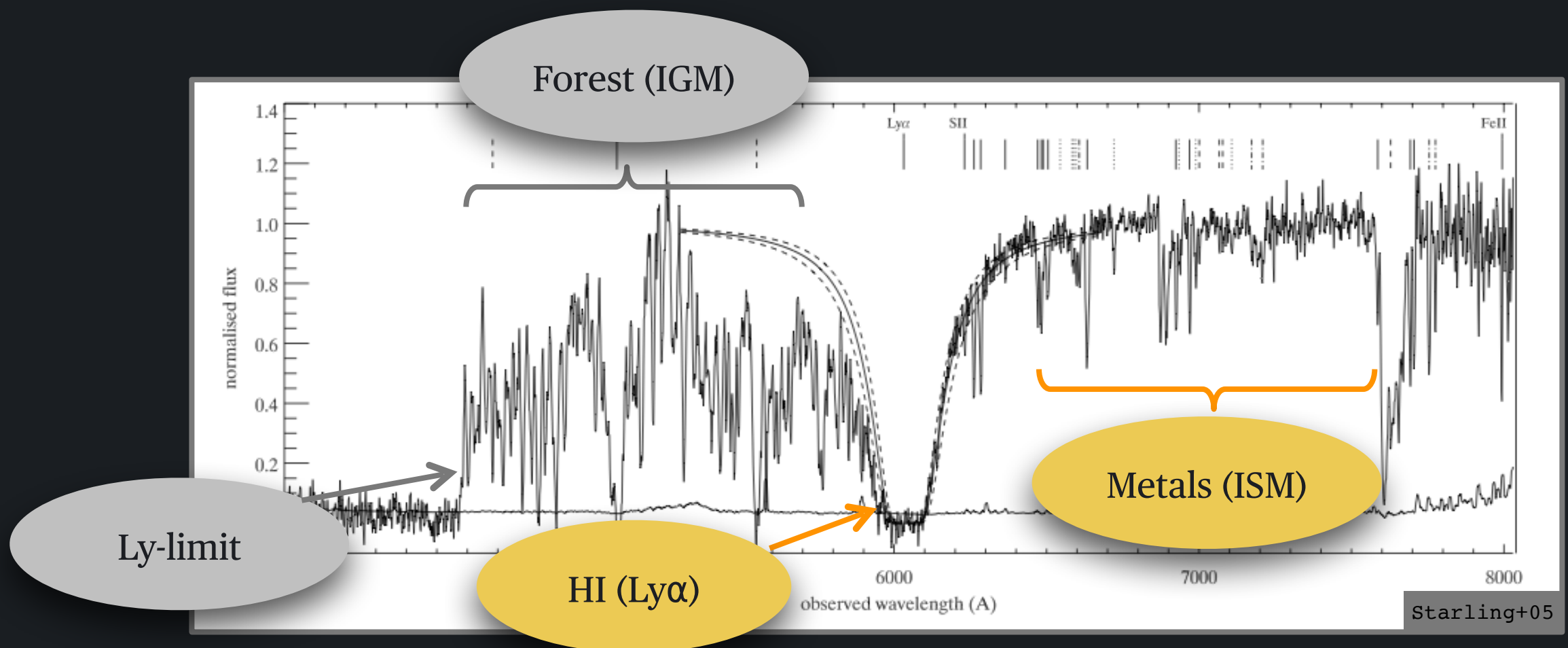
- GRB afterglows allow to **exquisitely study individual sightlines** (like quasars)





# GRBs: case-by-case probes

- GRB afterglows allow to **exquisitely study individual sightlines** (like quasars)
- But also uniquely the **gas inside star forming regions** at high redshift (see talk by A. Saccardi)





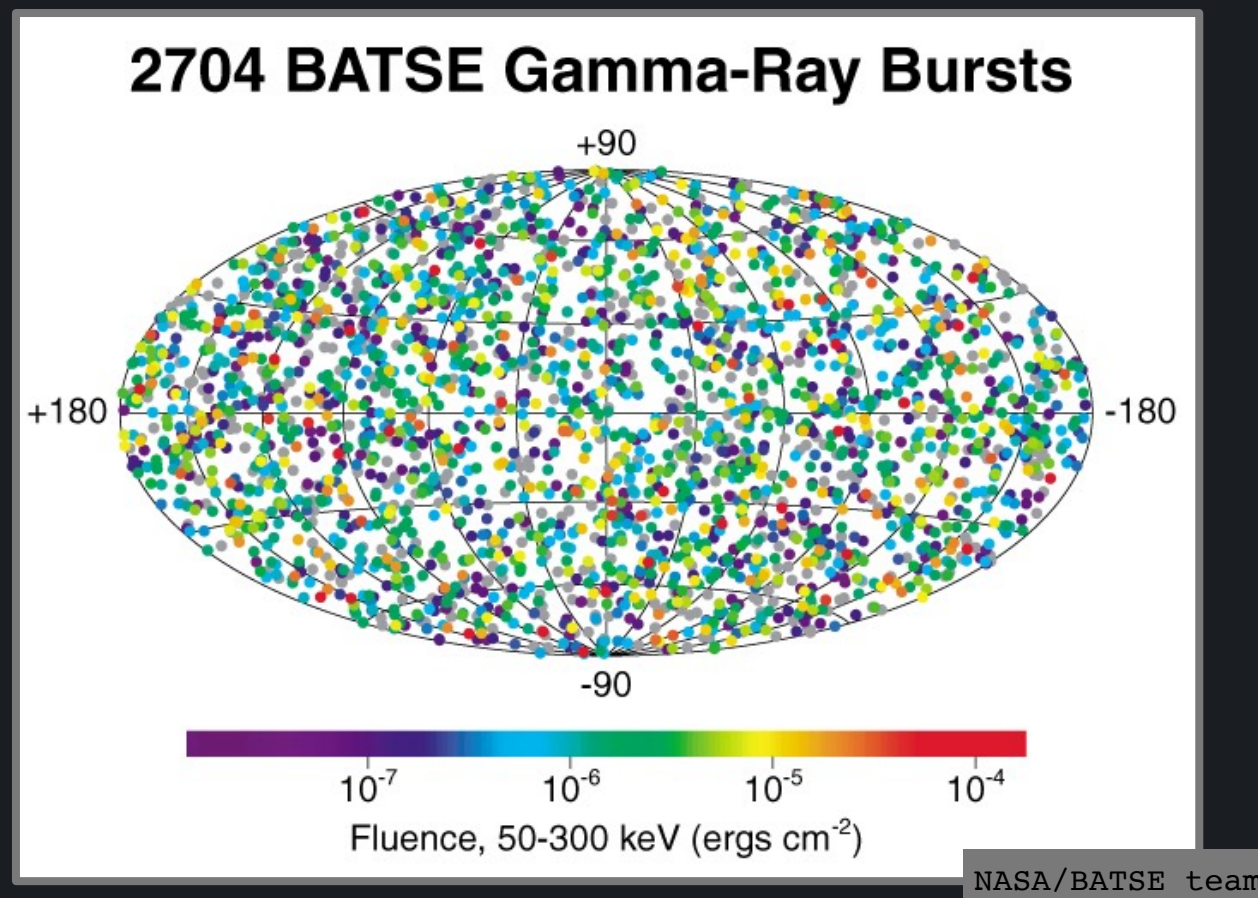
# GRBs: statistical probes

- Populations/samples of GRBs can be used to study the Universe statistically
- Basic data: rate, sky position, duration, brightness/spectrum (in  $\gamma$ -rays)



# GRBs: statistical probes

- Populations/samples of GRBs can be used to study the Universe statistically
- Basic data: rate, sky position, duration, brightness/spectrum (in  $\gamma$ -rays)





# GRBs: statistical probes

- Populations/samples of GRBs can be used to study the Universe **statistically**
- Basic data: rate, sky position, duration, brightness/spectrum (in  $\gamma$ -rays)
- If successful optical/NIR follow-up: **redshift/distance**
  - ➔ **Crucial** for full scientific potential of GRBs to be exploited



# GRBs: statistical probes

- Populations/samples of GRBs can be used to study the Universe **statistically**
- Basic data: rate, sky position, duration, brightness/spectrum (in  $\gamma$ -rays)
- If successful optical/NIR follow-up: **redshift/distance**
  - ➔ **Crucial** for full scientific potential of GRBs to be exploited
- Statistically very powerful but **require unbiasedness, completeness**  
(See talks by G. Ghirlanda or N. Tanvir for good examples)
- Can be **limited** by **sample size**



# Outline

1. Long GRBs: a most promising probe of our Universe up to the highest redshifts
2. The issue of their progenitors and their production efficiency from stars
3. Deriving the rate and production efficiency of LGRBs by modelling their intrinsic population
4. Studying the factors driving this production efficiency through observations (at  $z < 2$ )



# LGRBs and star formation

- Long GRBs are associated to the deaths of (certain) massive stars: **collapsar** model
- Their **rate is linked to the star-formation rate (SFR)** since massive stars die rapidly ( $\sim 1\text{-}10$  Myr)
- By **studying statistically the population of LGRBs** and estimating their cosmic formation rate we could, in theory **estimate** the **SFR**
- But in order to do this we **must understand this link** (not trivial!)



# Link between LGRB & SFR

- Properties of **progenitor star**:
  - mass range
  - initial rotation distribution
  - metallicity distribution
  - binarity
- Properties of the **LGRB population**:
  - luminosity evolution
  - spectrum evolution
  - jet opening angle



# LGRB production efficiency

Production efficiency

LGRBs

Core-collapses

$$\dot{n}_{\text{LGRB}}(z) = \eta(z) \dot{n}_{\text{cc}}(z)$$

[yr<sup>-1</sup> Mpc<sup>-3</sup>]

Comoving rate density  
(number of events per year per comoving volume)

➡ Fraction of core-collapses that give rise to an LGRB (pointing in our direction)



# LGRB production efficiency

Production efficiency



$$\dot{n}_{\text{LGRB}}(z) = \eta(z) \dot{n}_{\text{cc}}(z) \quad [\text{yr}^{-1} \text{ Mpc}^{-3}]$$

$$\dot{n}_{\text{cc}}(z) \propto \dot{\rho}_*(z) \quad (\text{see later for details})$$

➡ Core-collapse rate density is proportional to cosmic SFR density



# Outline

1. Long GRBs: a most promising probe of our Universe up to the highest redshifts
2. The issue of their progenitors and their production efficiency from stars
3. Deriving the rate and production efficiency of LGRBs by modelling their intrinsic population
4. Studying the factors driving this production efficiency through observations (at  $z < 2$ )



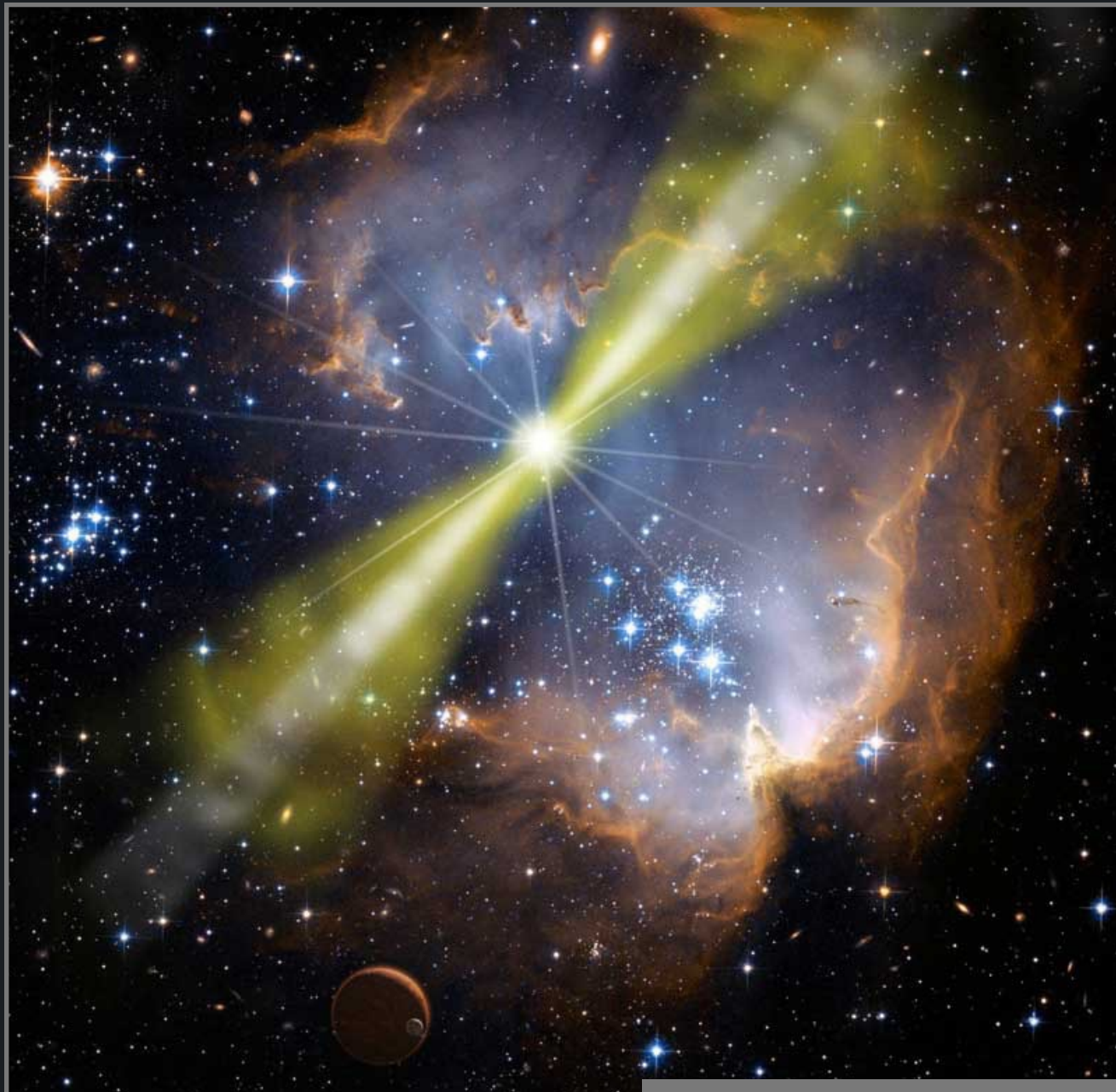
# LGRB population model

Palmerio & Daigne 2021

- Overcome the limitations of biased or incomplete samples by modelling the underlying intrinsic population and fitting it to carefully selected observational samples
- Forward-folding approach, flexible but parametric (limited by parameter space exploration)
- It allows us to address questions such as:
  - What is the intrinsic redshift distribution of LGRBs?
  - What does this imply for the LGRB production efficiency?



# Describing an LGRB



credit : NASA/Swift/Mary Pat Hrybyk-Keith and John Jones



Luminosity:  $L_{iso}$



Spectrum:  $E_{peak}$   
 $\alpha$   $\beta$



Redshift:  $z$



Temporal:  $T_{90}$   $C_{var}$



# Population scheme

## Schechter function

$$\phi(L) \propto \begin{cases} \left(\frac{L}{L_*}\right)^{-p} \times \exp\left(-\frac{L}{L_*}\right) & L > L_{\min} \\ 0 & L \leq L_{\min} \end{cases}$$

Redshift evolution?

$$\propto (1+z)^{k_{\text{evol}}}$$

Luminosity:  $L_{\text{iso}}$

Spectrum:  $E_{\text{peak}}$   
 $\alpha$   $\beta$

Redshift:  $z$

## Log-Normal

$$\text{Log-}\mathcal{N}(E_{p0}, \sigma_{E_p})$$

Intrinsic correlation

$$E_p = E_{p0} \left(\frac{L}{L_0}\right)^{\alpha_A}$$

## Broken exponential

$$\dot{n}_{\text{LGRB}}(z) \propto \begin{cases} e^{az} & z < z_m \\ e^{bz} e^{(a-b)z_m} & z \geq z_m \end{cases}$$



# Population scheme

## Schechter function

$$\phi(L) \propto \begin{cases} \left(\frac{L}{L_*}\right)^{-p} \times \exp\left(-\frac{L}{L_*}\right) & L > L_{\min} \\ 0 & L \leq L_{\min} \end{cases}$$

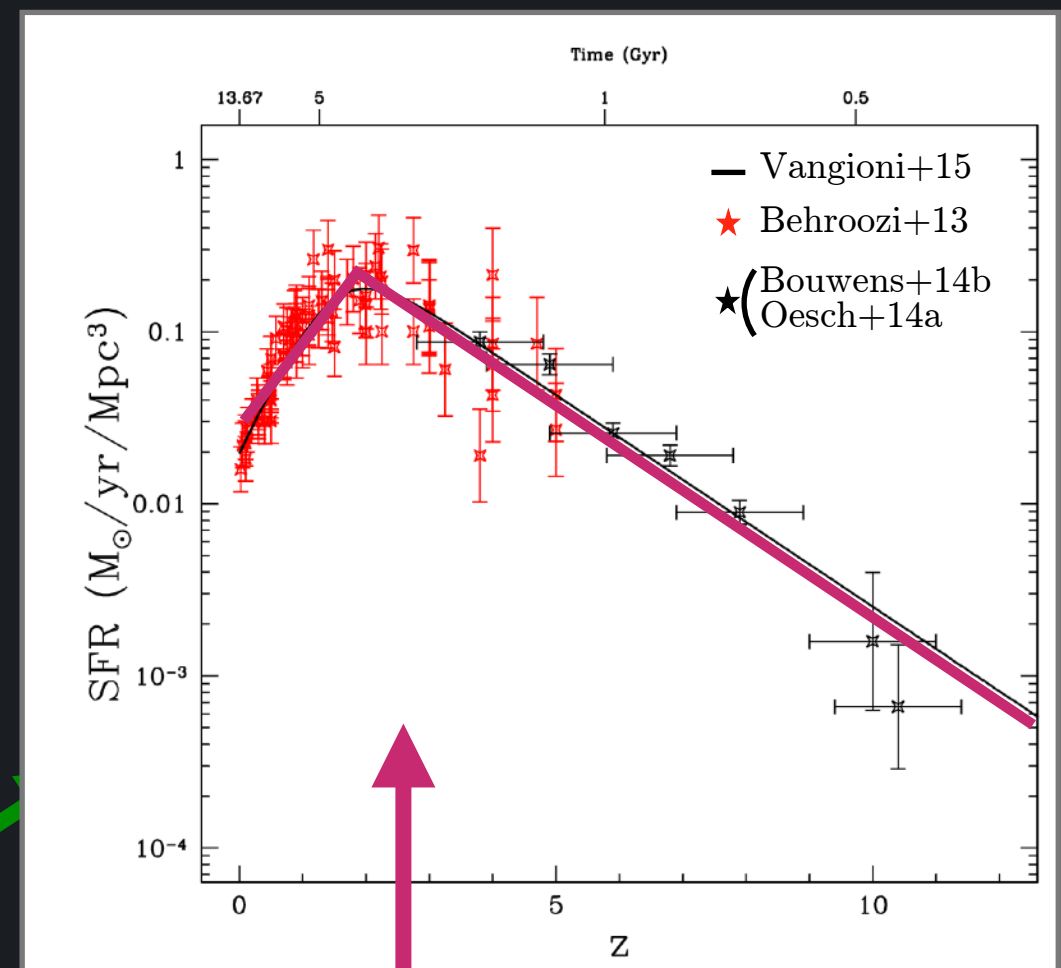
## Redshift evolution?

$$\propto (1+z)^{k_{\text{evol}}}$$

Luminosity:  $L_{\text{iso}}$

Spectrum:  $E_{\text{peak}}$   
 $\alpha$   $\beta$

Redshift:  $z$



## Broken exponential

$$\dot{n}_{\text{LGRB}}(z) \propto \begin{cases} e^{az} & z < z_m \\ e^{bz} e^{(a-b)z_m} & z \geq z_m \end{cases}$$



# Population scheme

Population

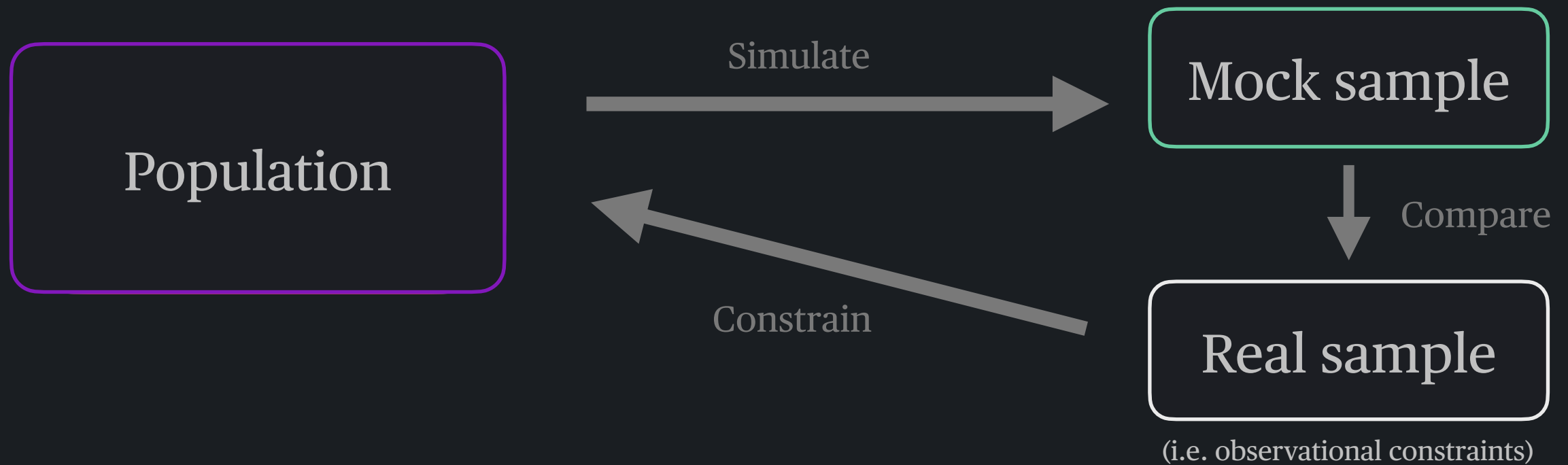
Luminosity:  $L_{iso}$

Spectrum:  $E_{peak}$   
 $\alpha$   $\beta$

Redshift:  $z$



# Population scheme



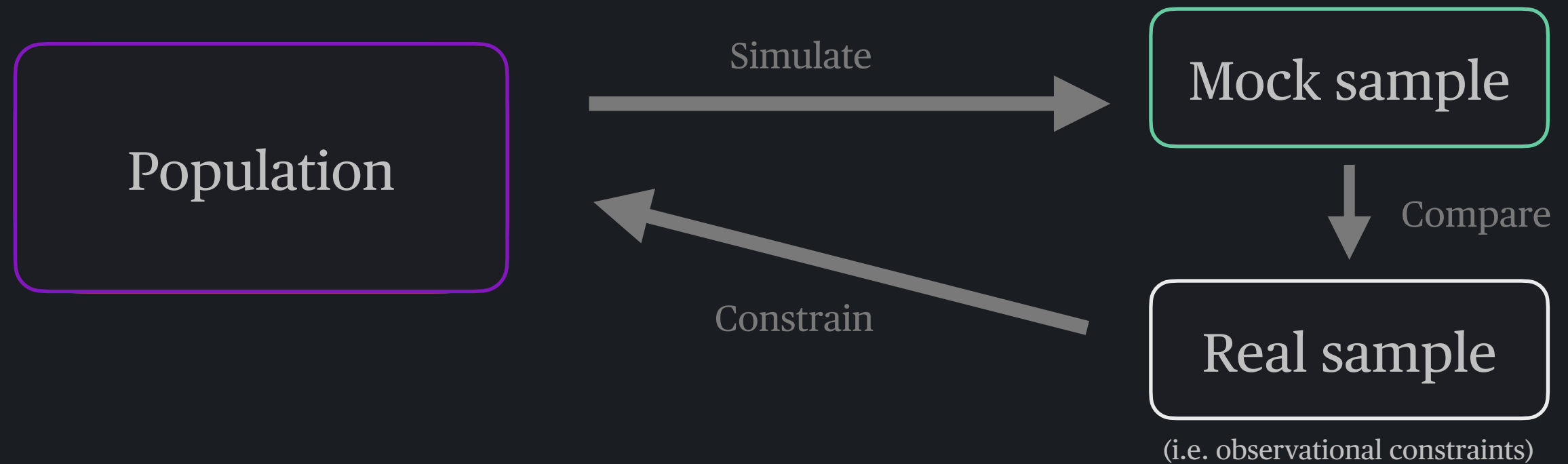
Luminosity:  $L_{iso}$

Spectrum:  $E_{peak}$   
 $\alpha$   $\beta$

Redshift:  $z$



# Population scheme



Luminosity:  $L_{iso}$

Spectrum:  $E_{peak}$   
 $\alpha$   $\beta$

Redshift:  $z$



Intensity



Spectral

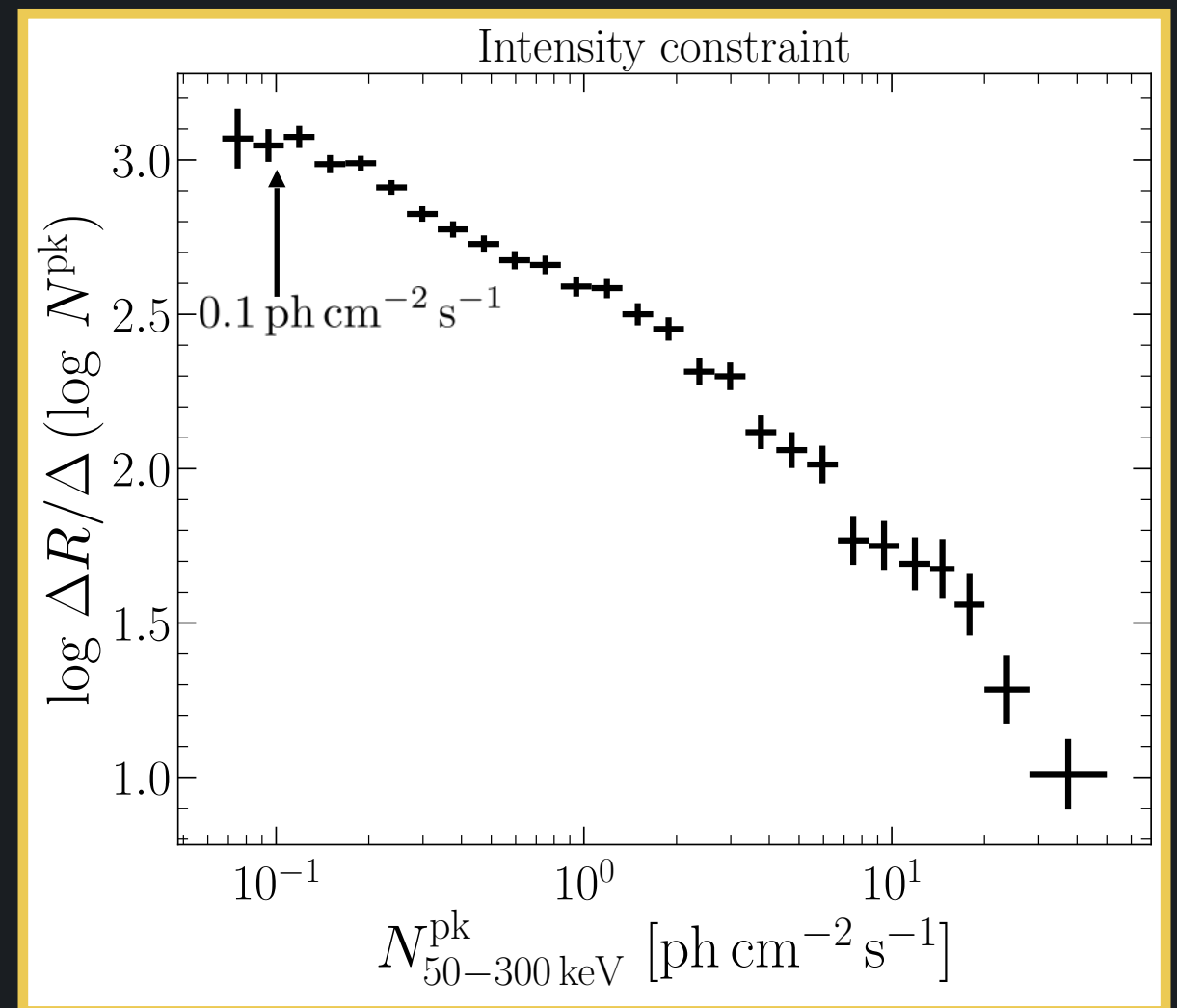


Distance



# Observational constraints

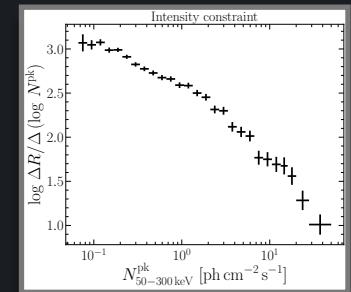
- Intensity constraint:  $\log N - \log P$ 
  - Observed peak flux distribution based on ~3300 LGRBs detected by CGRO/BATSE over 9.1 years (on board trigger + offline search, Stern+01)
  - **Corrected** for fraction of sky observed, live time of the search and detection efficiency



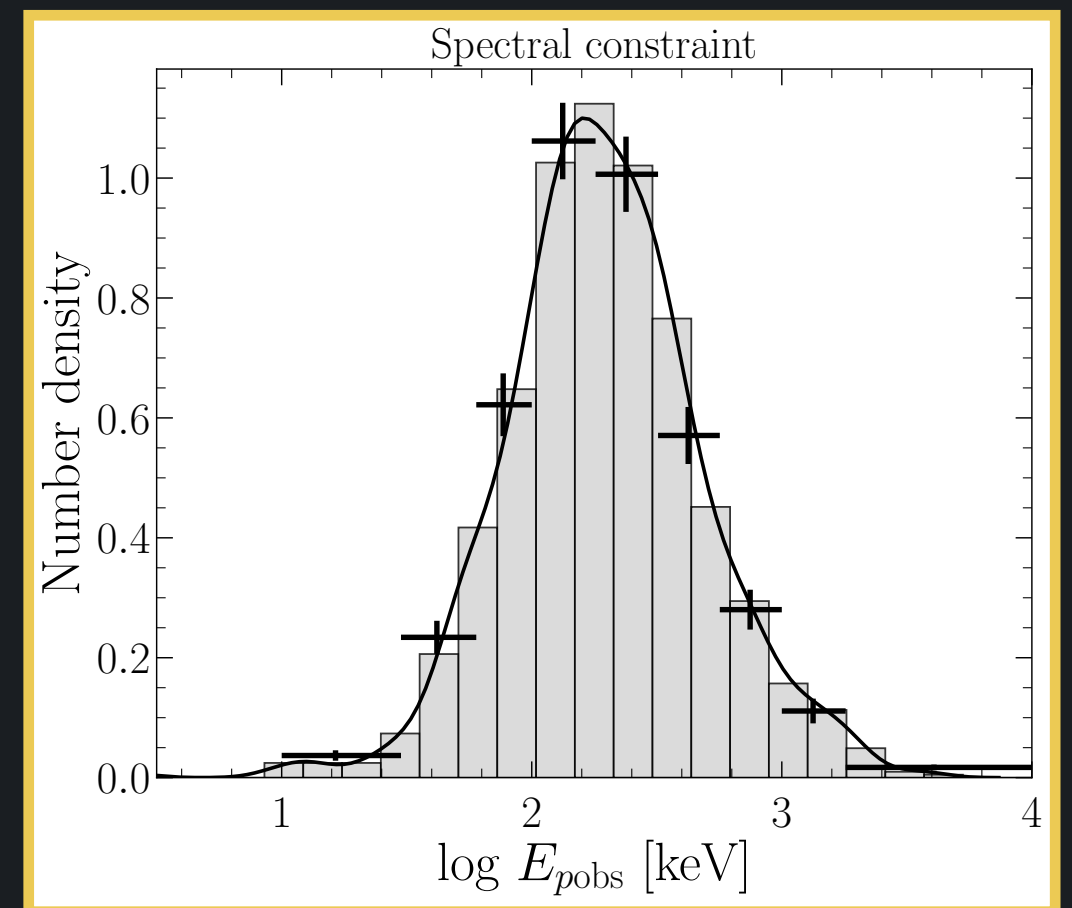


# Observational constraints

- Intensity constraint:  $\log N - \log P$



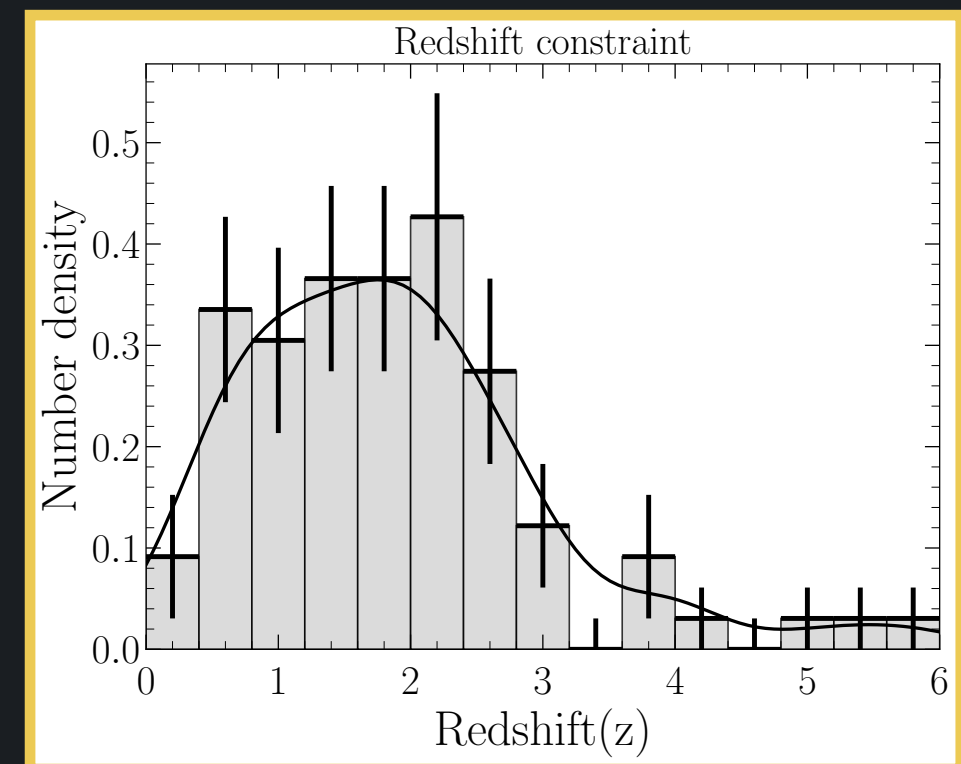
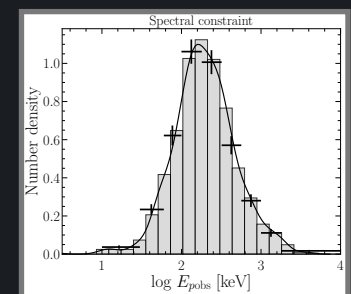
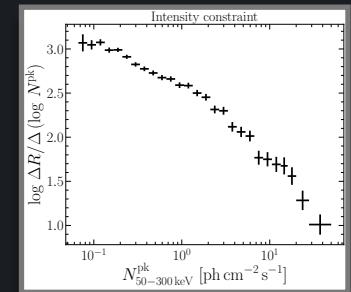
- Spectral constraint:  $E_{\text{pobs}}$ 
  - Observed peak energy distribution of  $\sim 1000$  bright LGRBs  
with  $N_{50-300 \text{ keV}}^{\text{pk}} \geq 0.9 \text{ ph s}^{-1} \text{ cm}^{-2}$   
from *Fermi*/GBM (Gruber+14, Bhat+16)





# Observational constraints

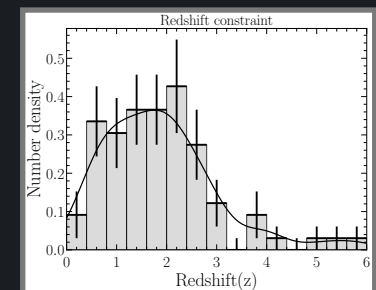
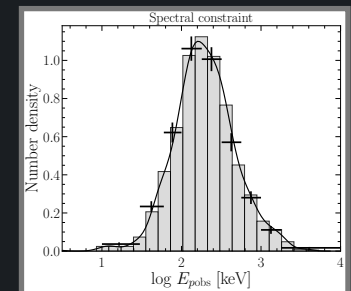
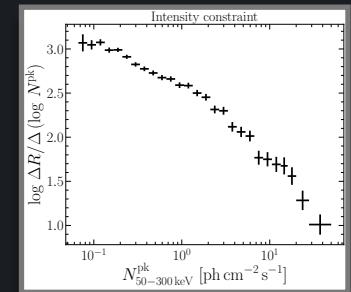
- Intensity constraint:  $\log N - \log P$
- Spectral constraint:  $E_{p\text{obs}}$
- Distance constraint:  $z$ 
  - **Redshift distribution** of extended BAT6 (Pescalli+16)
  - **82** LGRBs (82% completeness) with  $N_{15-150\text{ keV}}^{\text{pk}} \geq 2.6 \text{ ph s}^{-1} \text{ cm}^{-2}$  detected by *Swift*/BAT and favorable observing conditions





# Observational constraints

- Intensity constraint:  $\log N - \log P$
- Spectral constraint:  $E_{pobs}$
- Distance constraint:  $z$

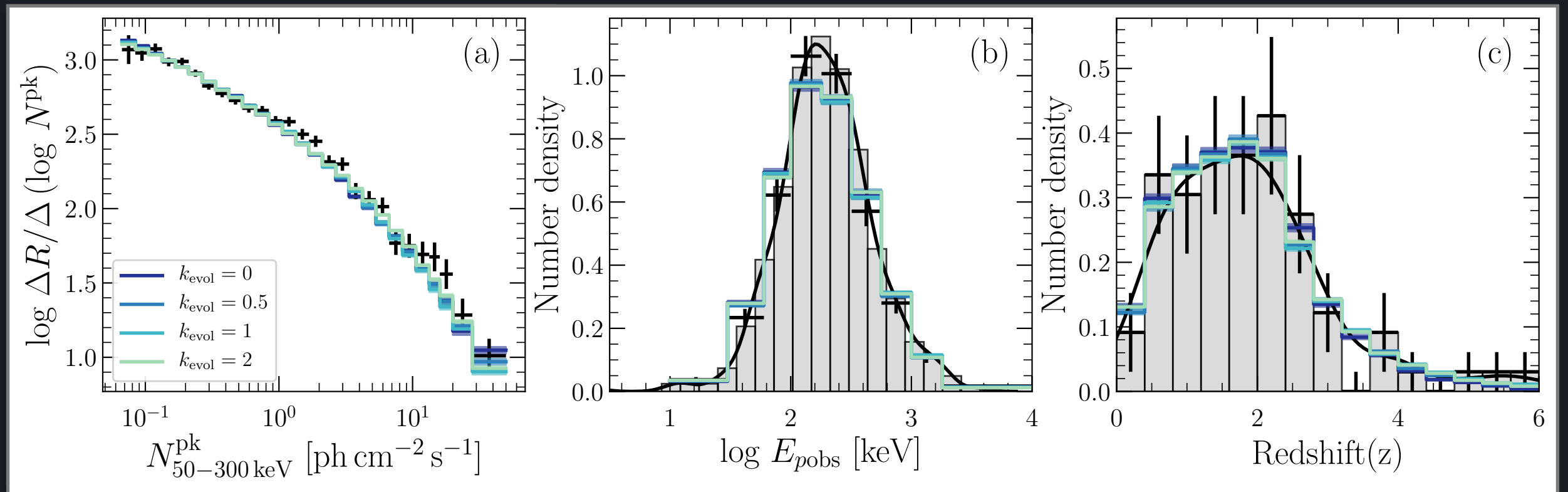


➡ We fit these constraints using **MCMC** and a Bayesian framework for a variety of different scenarios



# Results

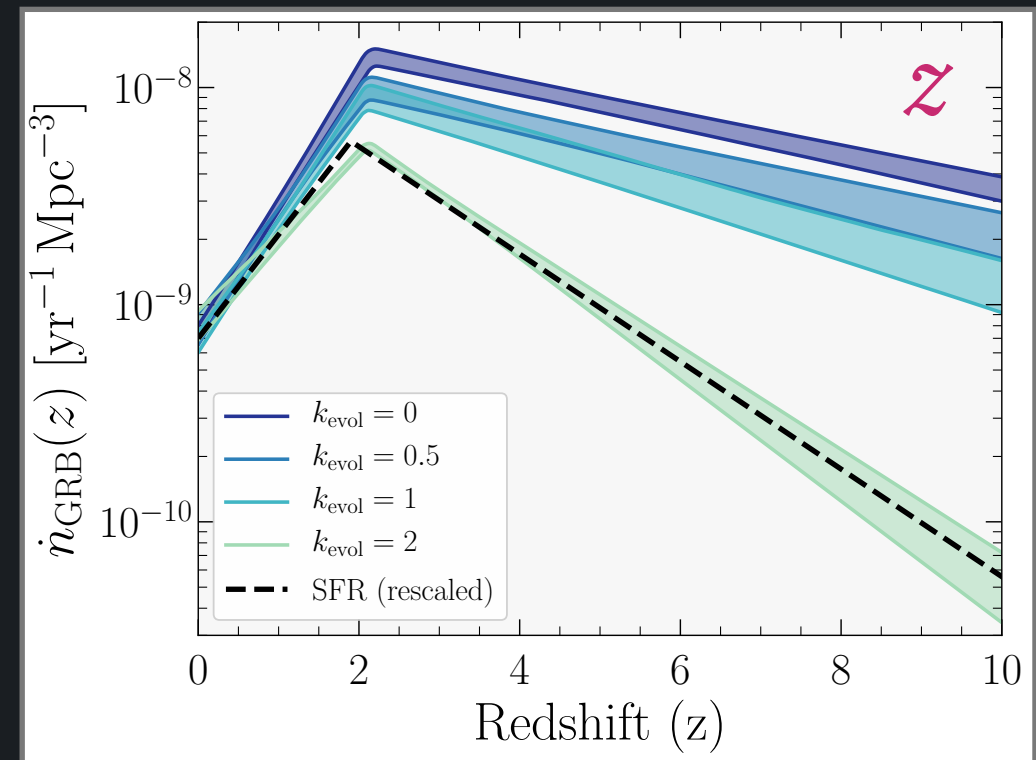
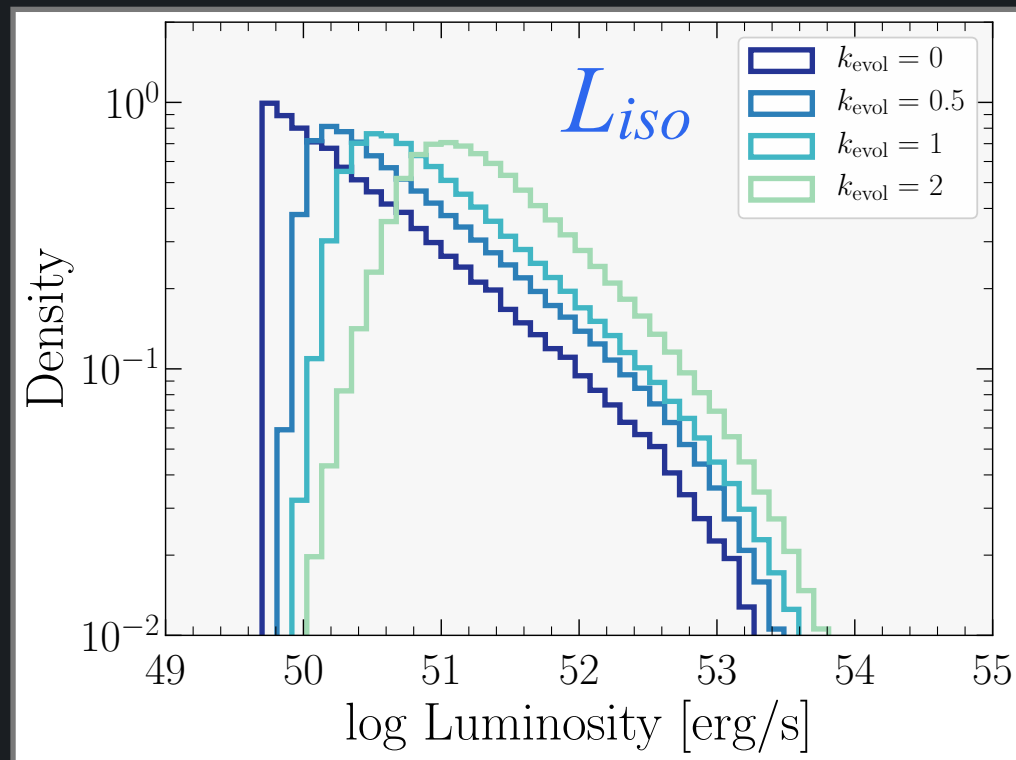
- We tested 4 different “strengths” of redshift evolution of the luminosity function:  $k_{\text{evol}} = 0, 0.5, 1, 2$
- All models can provide good fits to the data





# Results

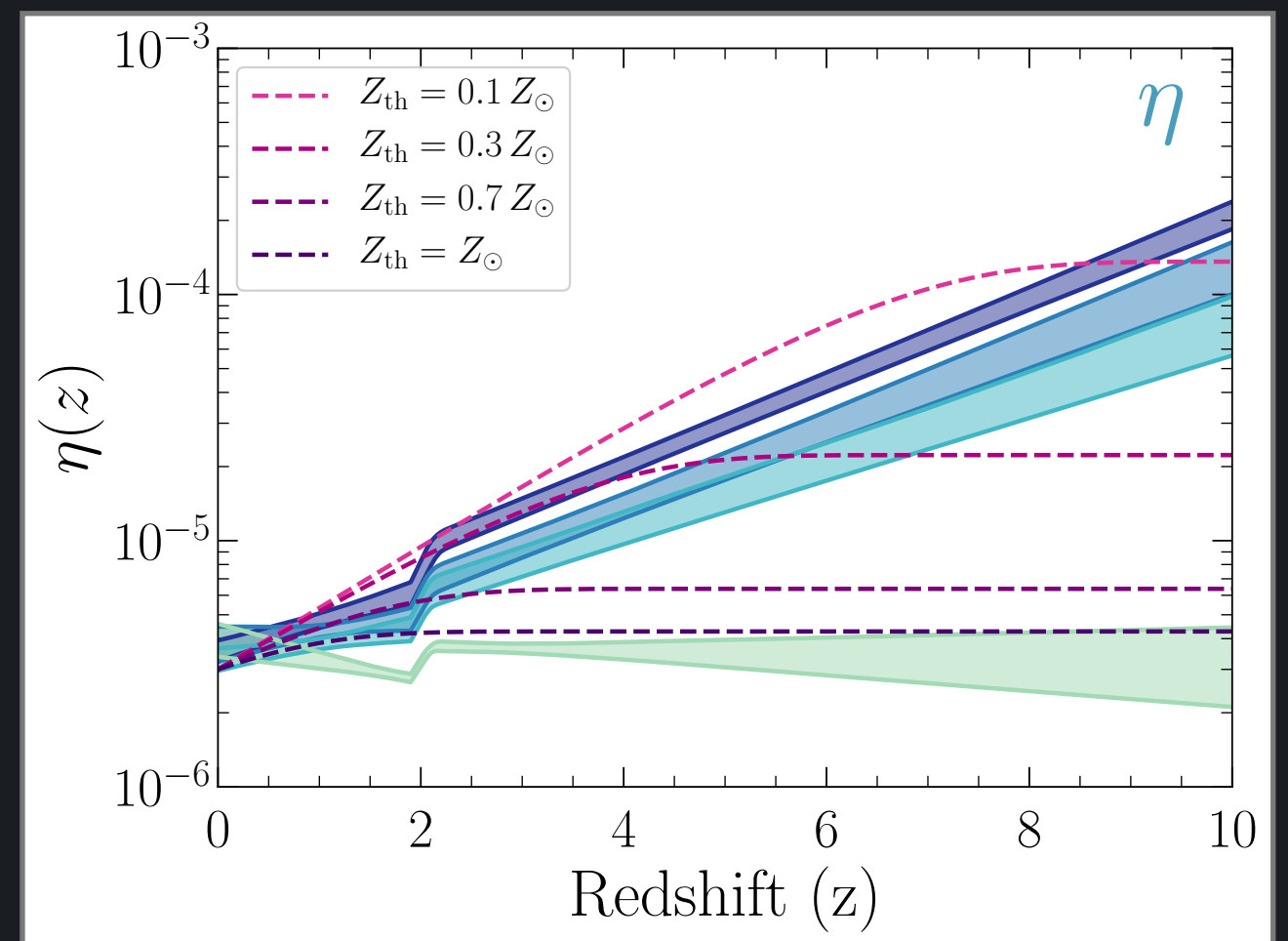
- We tested 4 different “strengths” of redshift evolution of the luminosity function:  $k_{\text{evol}} = 0, 0.5, 1, 2$
  - All models can provide good fits to the data
- Strong degeneracy between cosmic evolution of the LGRB luminosity function and cosmic evolution of the LGRB rate





# LGRB production efficiency

- Models suggest a **higher production efficiency  $\eta$**  at **higher redshift** (except for the case with strong luminosity evolution)
- Compare to the fraction of **star-formation** that occurs **below** a given **metallicity threshold** (Langer & Norman 2006)

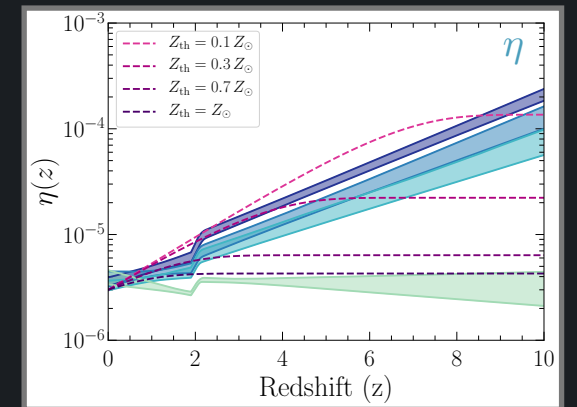




# Limitations

$$\dot{n}_{\text{LGRB}}(z) = \eta(z) \dot{n}_{\text{cc}}(z)$$

$$\dot{n}_{\text{cc}}(z) \propto \dot{\rho}_*(z)$$






# Limitations

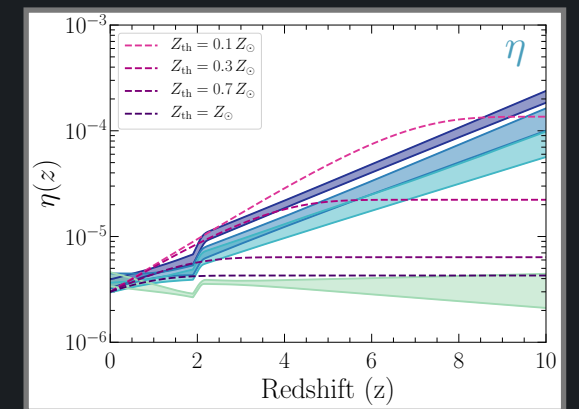
$$\dot{n}_{\text{LGRB}}(z) = \eta(z) \dot{n}_{\text{cc}}(z)$$

$$\dot{n}_{\text{cc}}(z) \propto \dot{\rho}_*(z)$$



$$\dot{n}_{\text{cc}}(z) = \boxed{\frac{p_{\text{cc}}(z)}{\bar{m}(z)}} \dot{\rho}_*(z)$$


Number of core-collapses per  
unit of stellar mass produced





# Limitations

$$\dot{n}_{\text{LGRB}}(z) = \eta(z) \dot{n}_{\text{cc}}(z)$$

$$\dot{n}_{\text{cc}}(z) \propto \dot{\rho}_*(z)$$



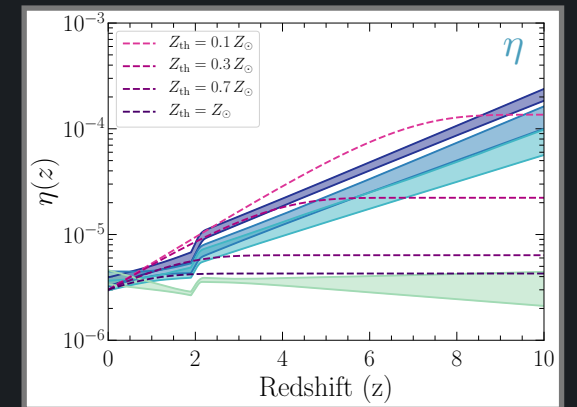
$$\dot{n}_{\text{cc}}(z) = \frac{p_{\text{cc}}(z)}{\bar{m}(z)} \dot{\rho}_*(z)$$

$$p_{\text{cc}}(z) = \int_{m_{\text{cc}}}^{m_{\text{sup}}} I(m, z) dm$$

$\nearrow 100 M_{\odot}$   
 $\searrow 8 M_{\odot}$

$$\bar{m}(z) = \int_{m_{\text{inf}}}^{m_{\text{sup}}} m I(m, z) dm$$

$\nearrow 100 M_{\odot}$   
 $\searrow 0.1 M_{\odot}$





# Limitations

$$\dot{n}_{\text{LGRB}}(z) = \eta(z) \dot{n}_{\text{cc}}(z)$$

$$\dot{n}_{\text{cc}}(z) \propto \dot{\rho}_*(z)$$

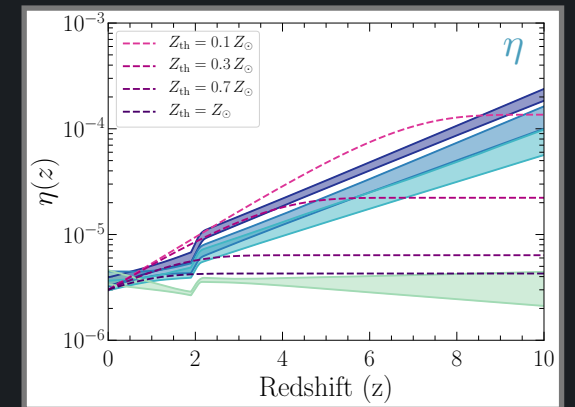


$$\dot{n}_{\text{cc}}(z) = \frac{p_{\text{cc}}(z)}{\bar{m}(z)} \dot{\rho}_*(z)$$

$$p_{\text{cc}}(z) = \int_{m_{\text{cc}}}^{m_{\text{sup}}} I(m, z) dm$$

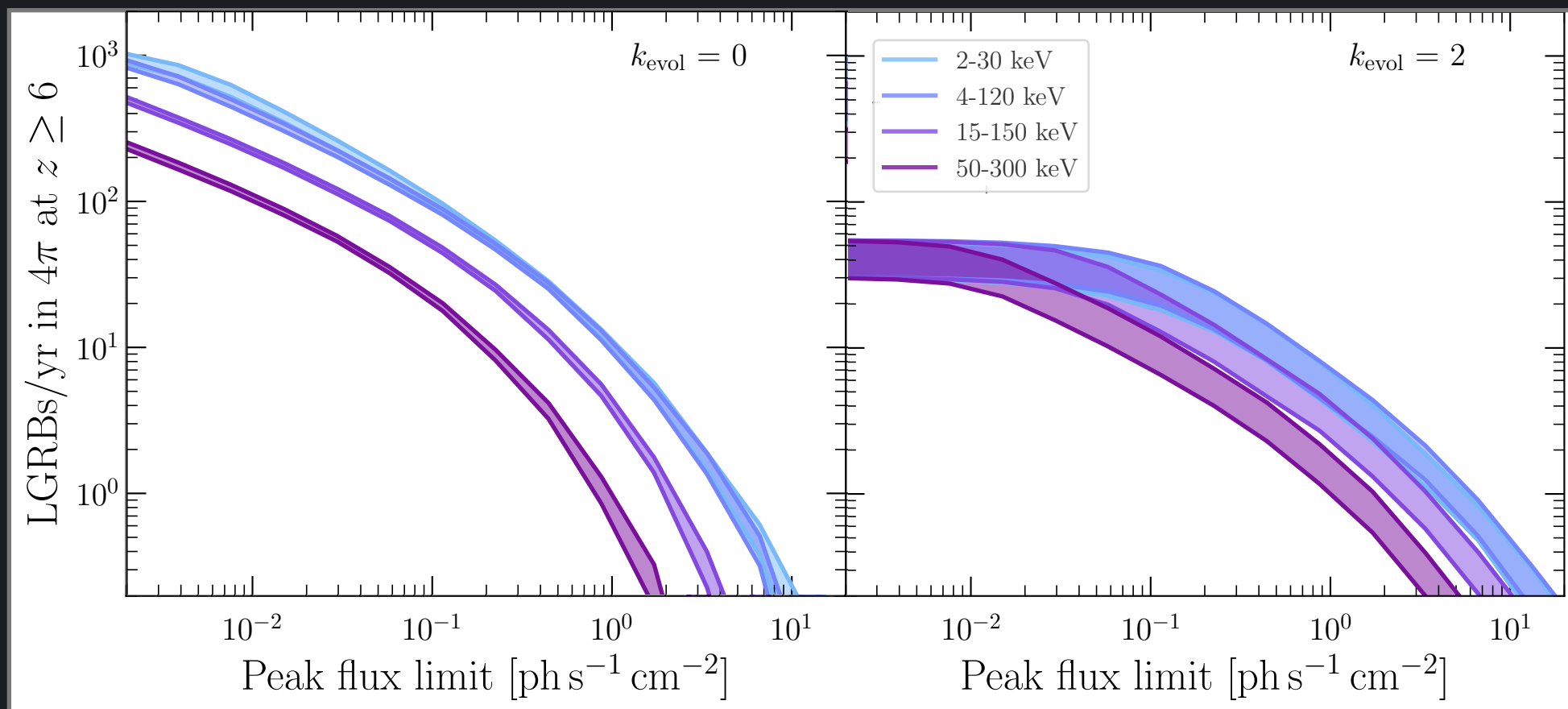
$$\bar{m}(z) = \int_{m_{\text{inf}}}^{m_{\text{sup}}} m I(m, z) dm$$

➡ **Some evolution** (but not all) could be **due to** the **evolution of the IMF**



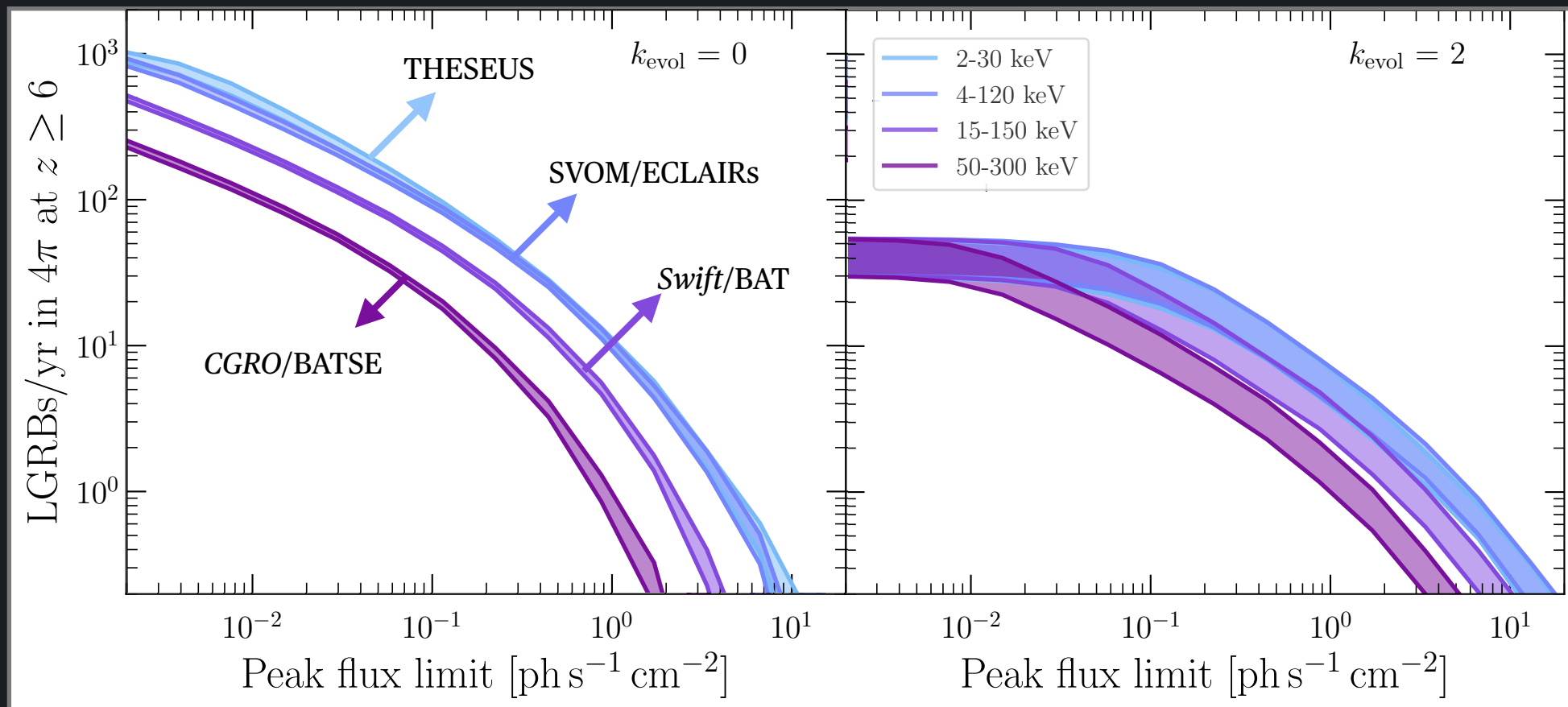


# High $z$ LGRB rate





# High $z$ LGRB rate

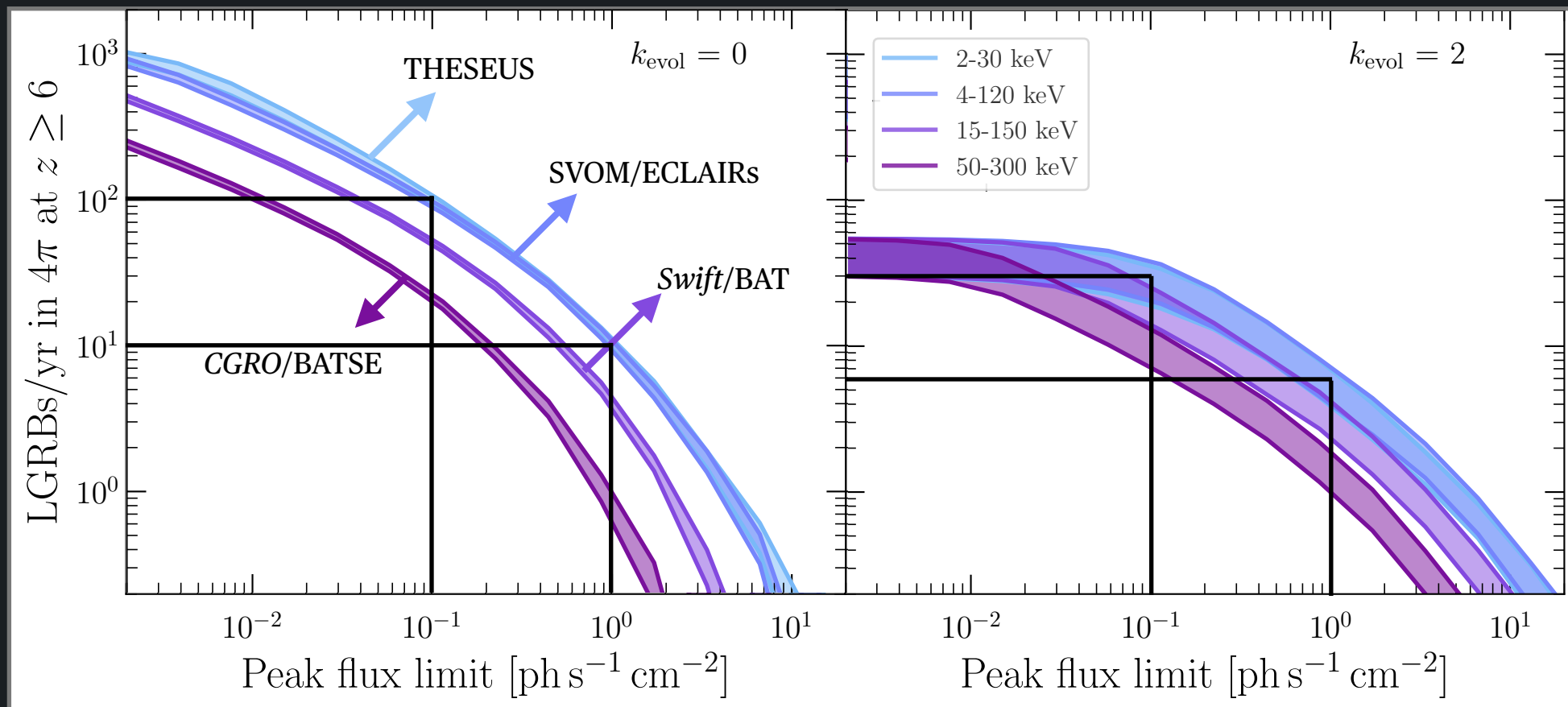




# High $z$ LGRB rate

- Number of LGRB per year in whole sky at  $z > 6$ :

Limiting peak flux (4-120 keV)	1 ph/s/cm <sup>2</sup>	0.1 ph/s/cm <sup>2</sup>
Optimist ( $k_{\text{evol}} = 0$ )	$\sim 10$	$\sim 100$
Pessimist ( $k_{\text{evol}} = 2$ )	$6^{+1}_{-2}$	$30^{+20}_{-10}$





# Outline

1. Long GRBs: a most promising probe of our Universe up to the highest redshifts
2. The issue of their progenitors and their production efficiency from stars
3. Deriving the rate and production efficiency of LGRBs by modelling their intrinsic population
4. Studying the factors driving this production efficiency through observations (at  $z < 2$ )



# Factors driving production efficiency

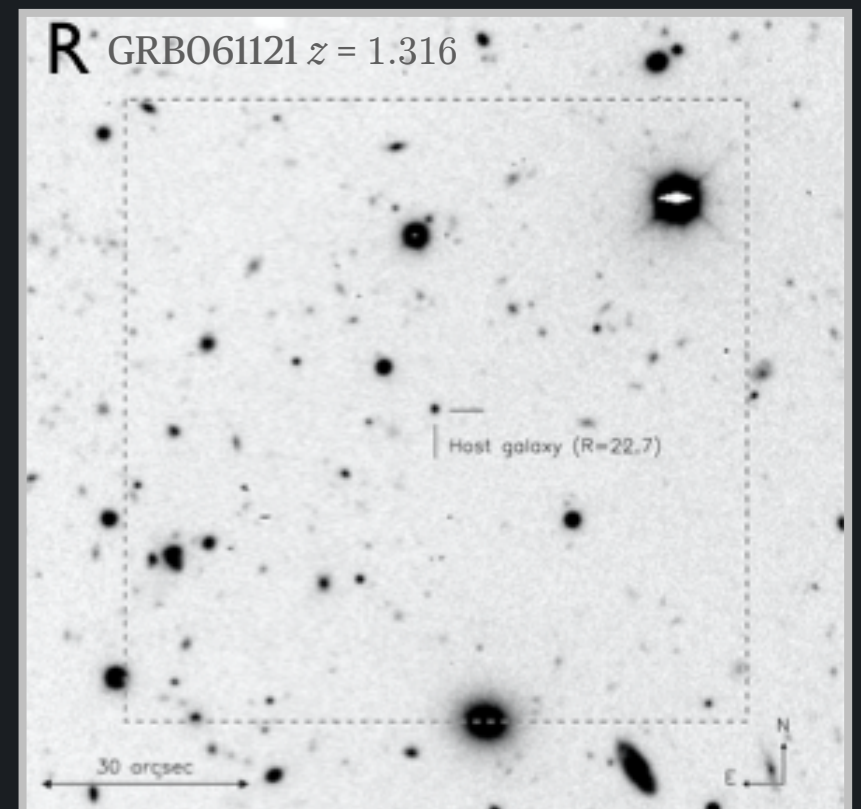
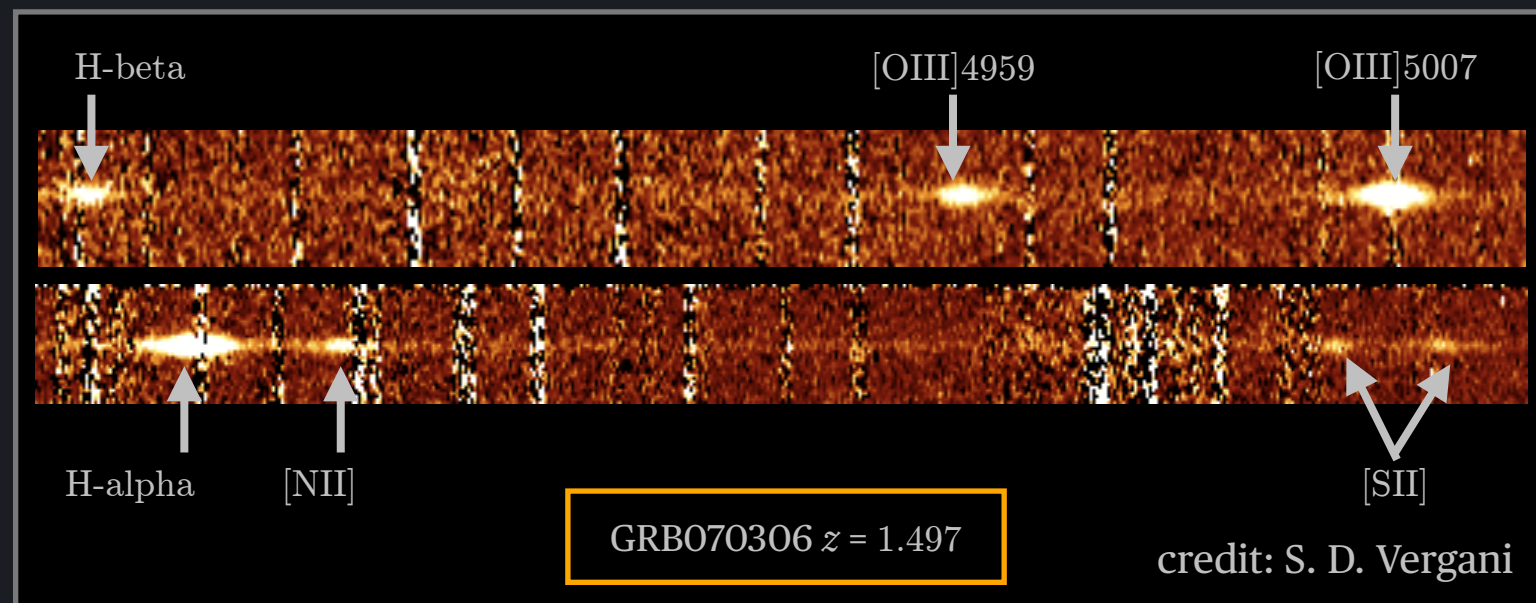
- To determine the main factors driving the LGRB production efficiency we can statistically study their environment (i.e. their host galaxies)
- We must pay careful attention to selection to avoid biases and ensure high completeness
- Our sample: BAT6 (Salvaterra+12) with a selection on peak flux of  $\gamma$ -ray prompt emission and unbiassing favorable observing conditions\* (Jakobsson+06)
  - ➡ 58 LGRBs with 97% redshift completeness extends up to  $z = 6$
  - ➡ We study the host galaxies of these LGRBs up to  $z = 2$  (N=28)
  - ➡ Hosts are not selected according to their flux and thus unbiased

\*see backup slide



# LGRB hosts sample

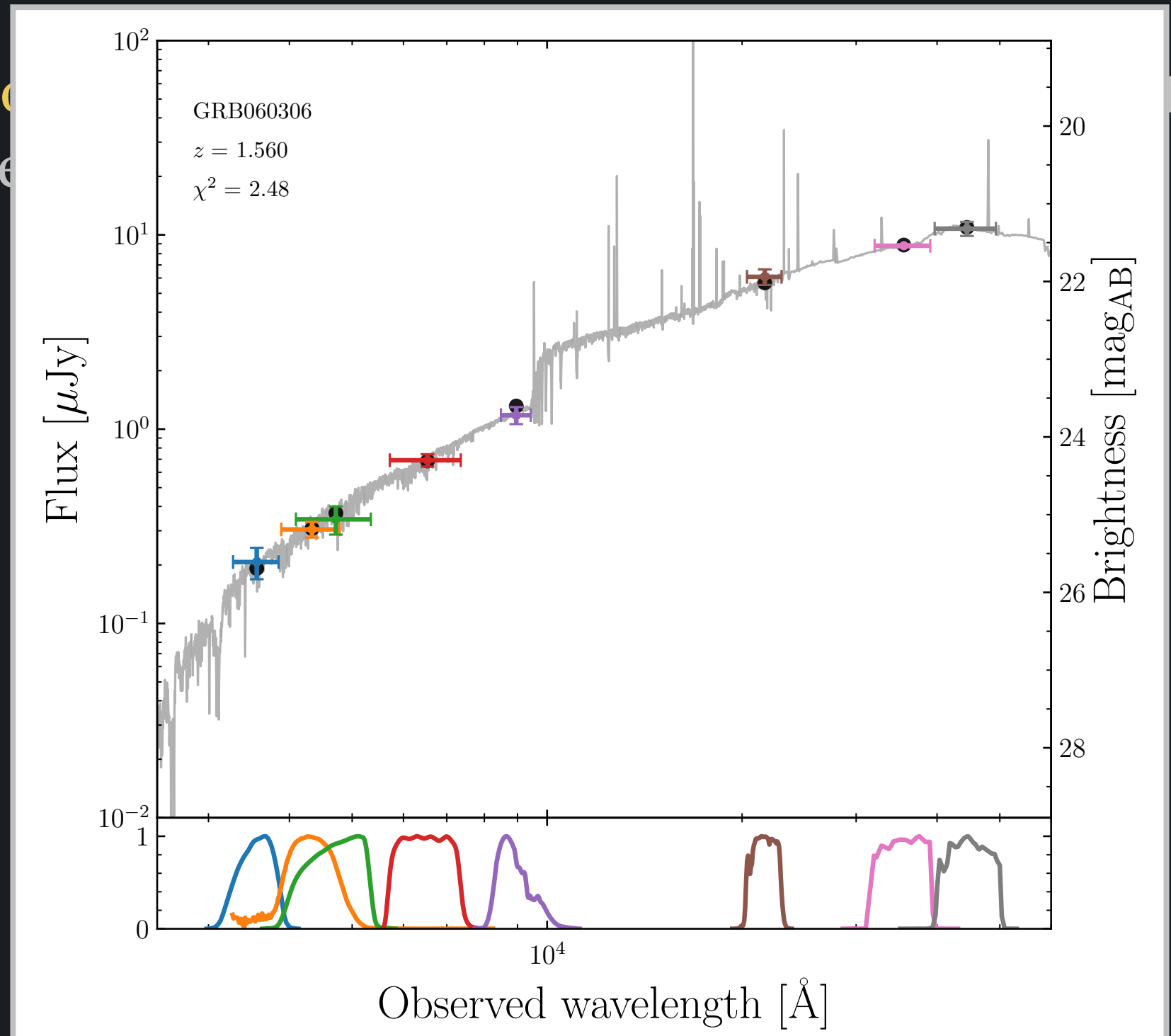
- Deep medium resolution spectra and photometry for all 28 hosts to characterize their properties:





# LGRB hosts sample

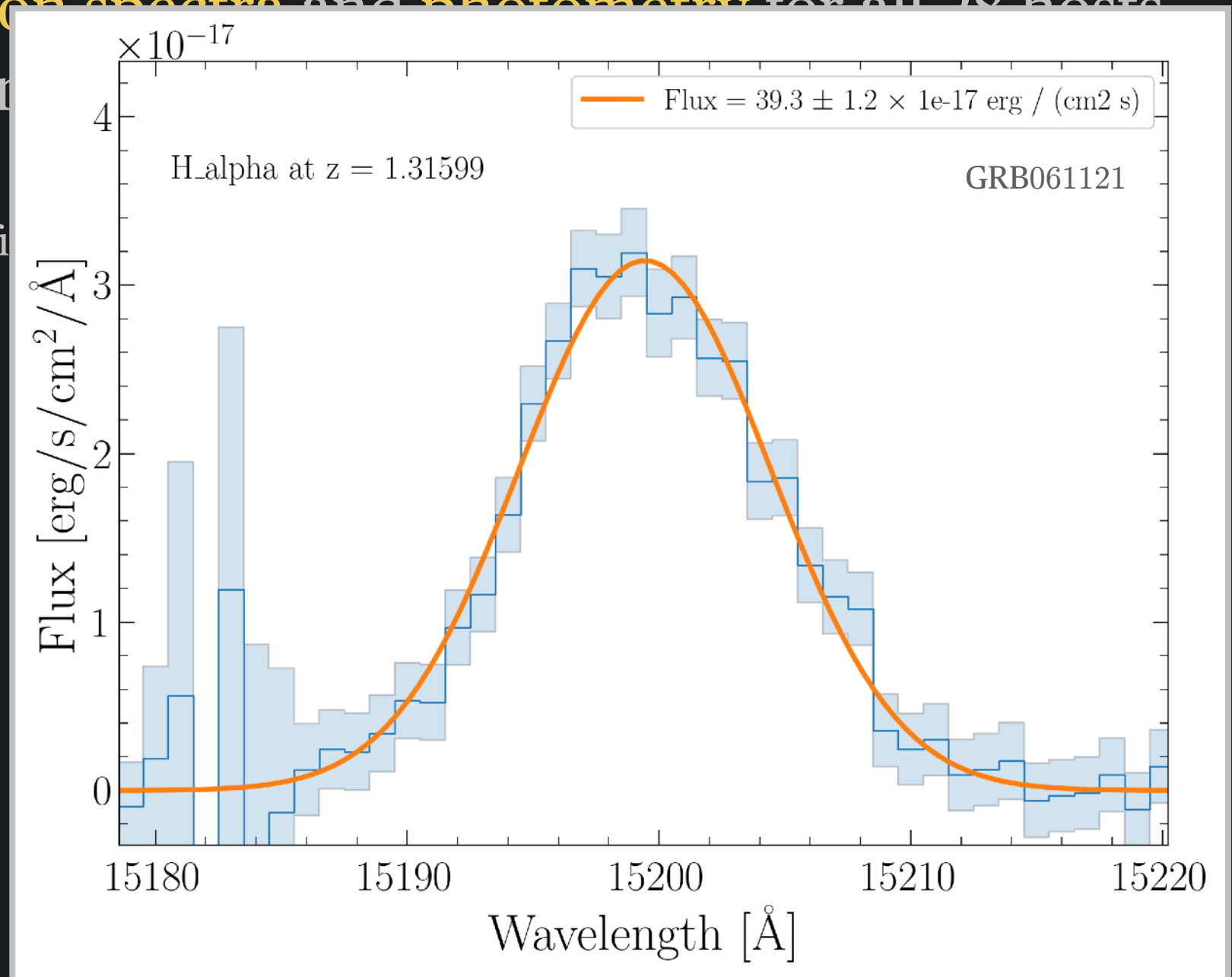
- Deep medium resolution spectroscopy to characterize the hosts
  - Stellar mass





# LGRB hosts sample

- Deep medium resolution spectra and photometry for all 28 hosts to characterize their properties
  - **Stellar mass** (from SED fitting)
  - **Star formation rate**





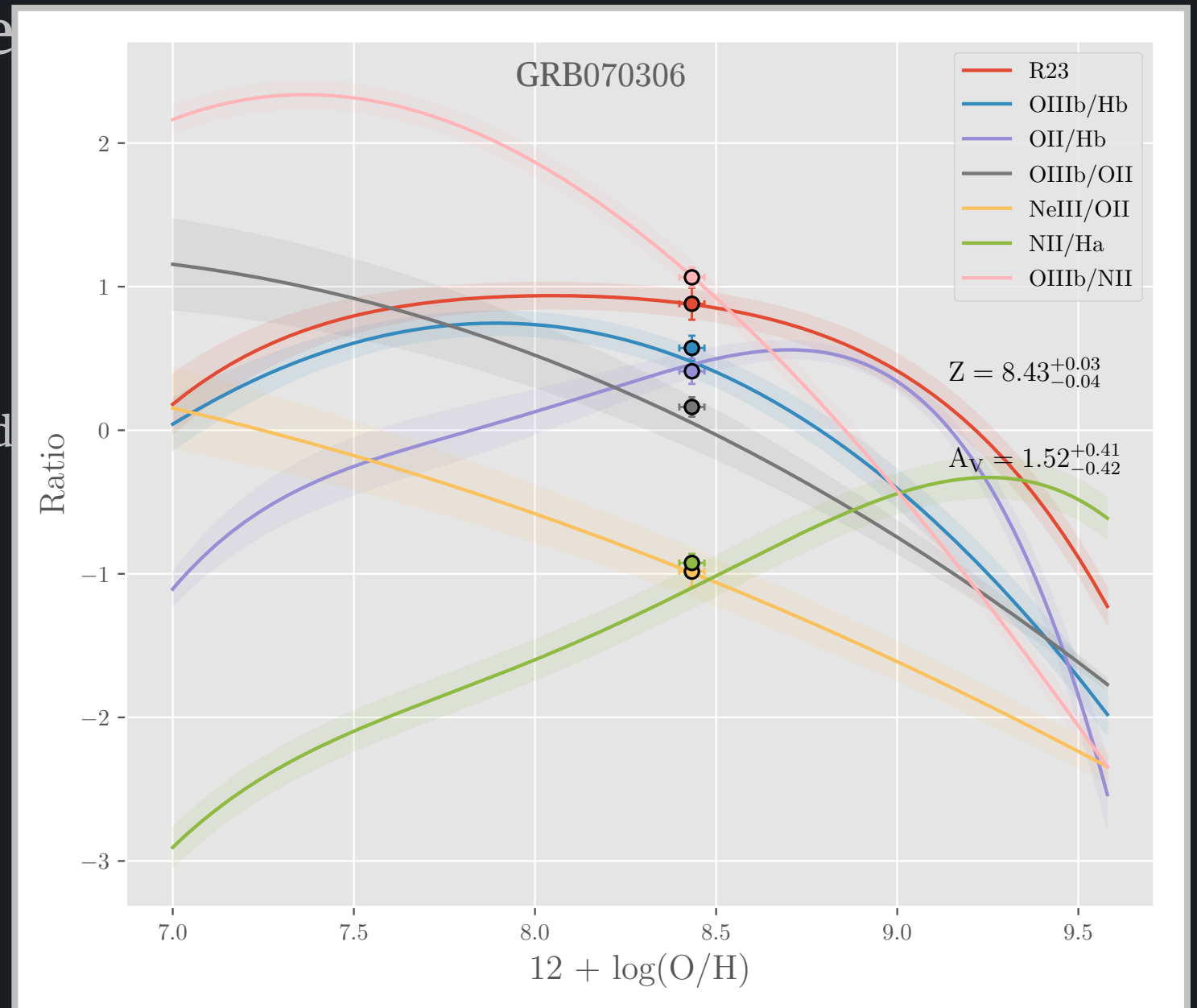
# LGRB hosts sample

- Deep medium resolution spectra and photometry for all 28 hosts to characterize their properties

- **Stellar mass** (from SED fitting)

- **Star formation rate** (from d

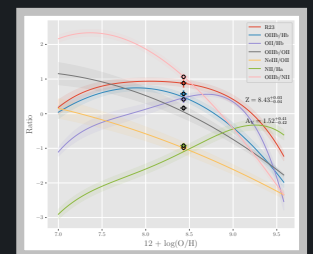
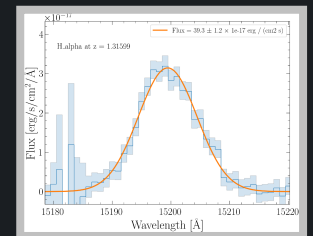
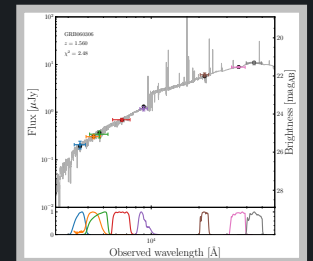
- **Metallicities**





# LGRB hosts sample

- Deep medium resolution spectra and photometry for all 28 hosts to characterize their properties:
  - **Stellar mass** (from SED fitting)
  - **Star formation rate** (from dust-corrected H $\alpha$ )
  - **Metallicities** (from strong-line ratios)





# Comparison sample

- MOSFIRE Deep Evolution Field (**MOSDEF**) is a **deep** ( $H \leq 24$ ) near-infrared **spectroscopic** survey at medium  $z$   
Kriek+15  
Shivaei+15  
Sanders+18
- **133** galaxies at  $1.37 < z < 1.7$ , with rest-frame optical emission lines



Image Credit: Ethan Tweedie



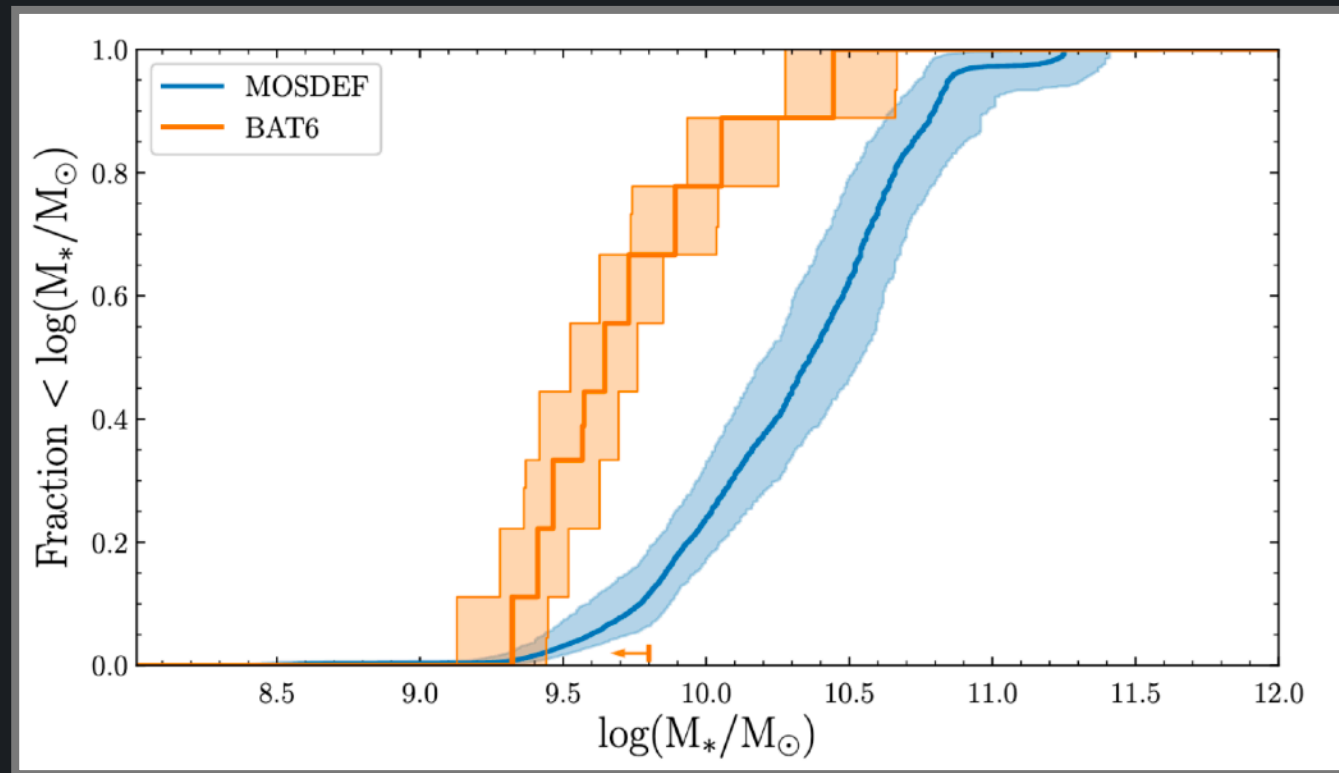
# Methodology

- Similar redshift range
- Same cosmology
- Same stellar mass completeness
- Same stellar Initial Mass Function (IMF) for determining the stellar mass and SFR (Chabrier+03)
- Same SFR diagnostic (dust-corrected  $H\alpha$ )
- Same strong-line ratios to determine the metallicity (Maiolino+08) (using [OII]3727; [OIII]4059,5007; Balmer lines; [NII]6583)



# Results at $1 < z < 2$

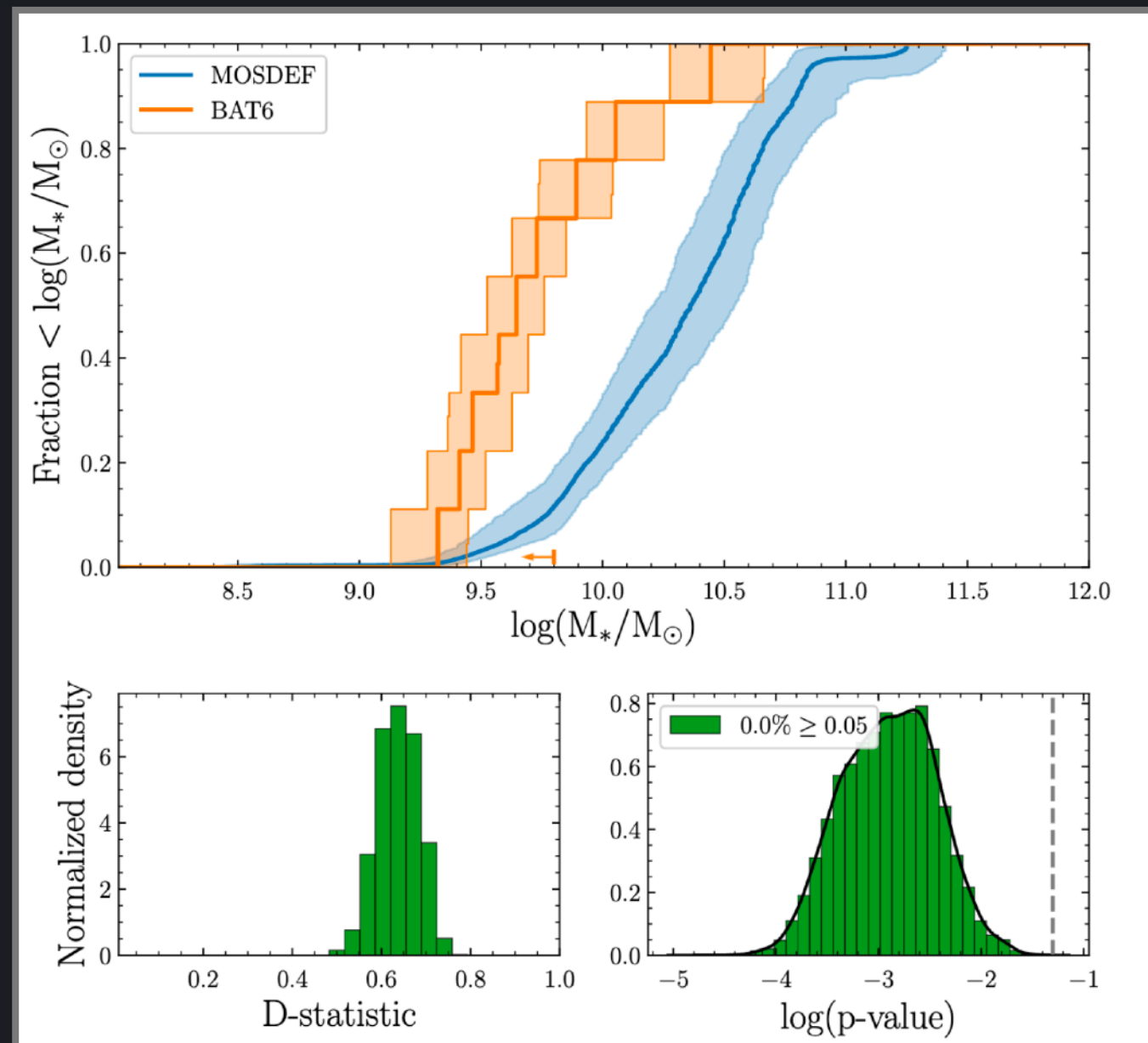
- Compared CDF of LGRB hosts to **SFR-weighted CDF** of typical star-forming galaxies





# Results at $1 < z < 2$

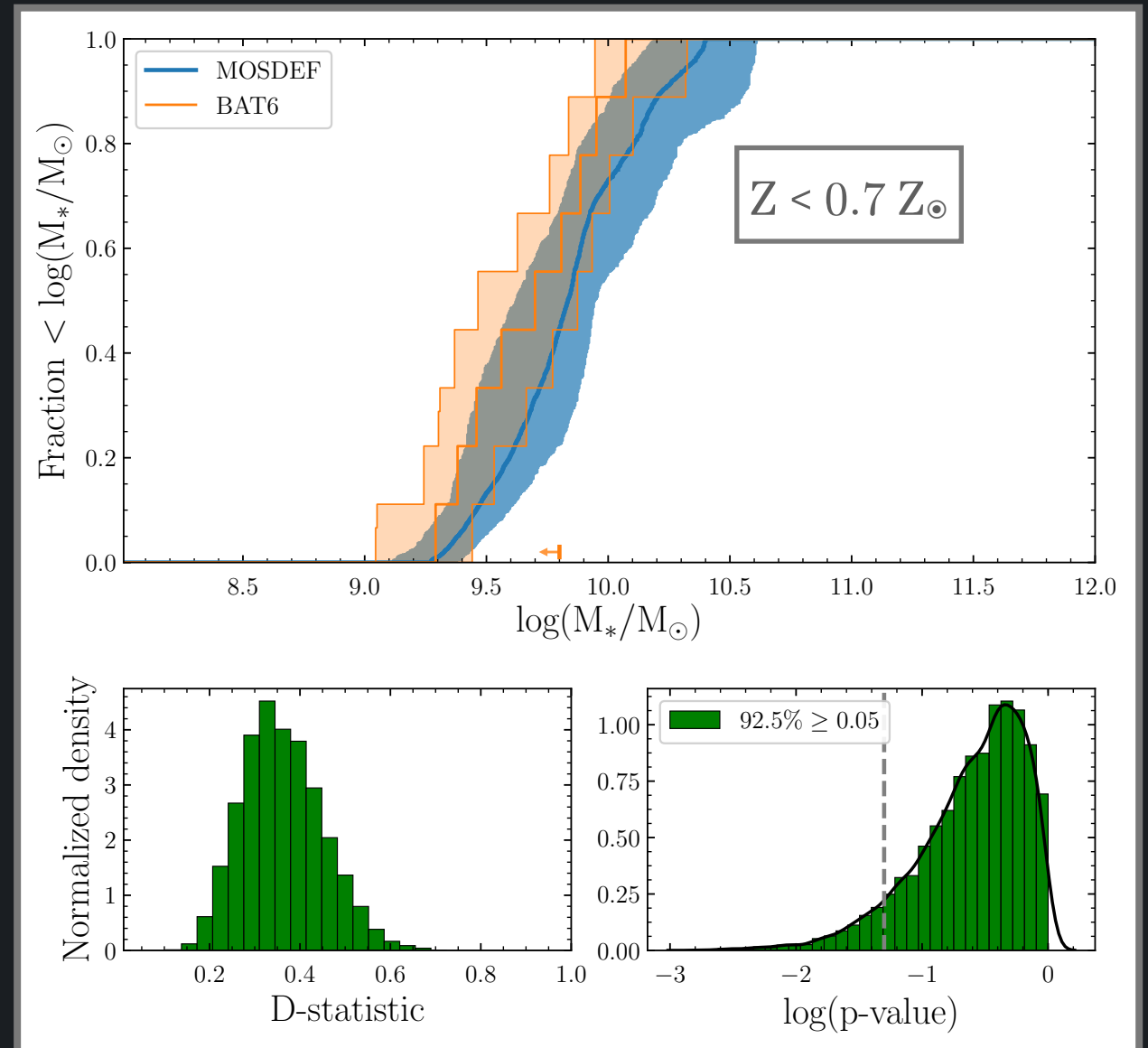
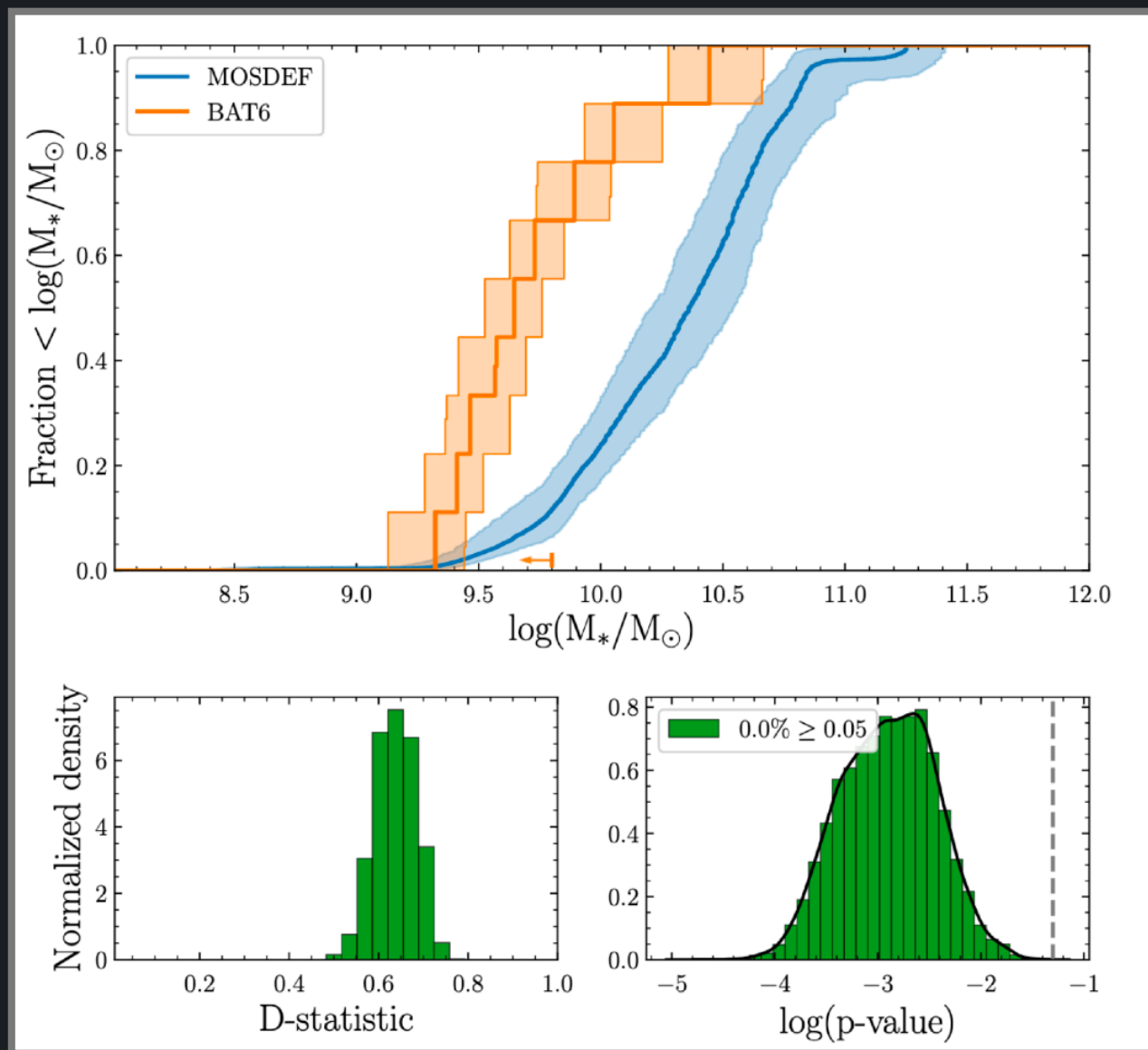
- Bayesian approach coupled with Monte Carlo sampling to take into account measurement uncertainty





# Results at $1 < z < 2$

- **Metallicity** is a **driving factor** of the LGRB production efficiency (Kruehler+15, Japelj+16, Perley+16)





# Conclusions

- LGRBs are **not direct tracers** of star formation at  $z < 2$
- Applying a **metallicity** cut of 70% solar resolves the discrepancy implying metallicity is a **driving factor** behind the **LGRB efficiency**
- We therefore expect LGRBs to trace SF at  $z > 3-4$
- Interpreted in the context of LGRB progenitors, the metallicity threshold is **higher** than expected from **single star models**  
( $Z < 0.7 Z_{\odot}$  vs  $Z < 0.2 Z_{\odot}$ )  
  
➡ Binary star LGRB progenitor? Multiple channels?



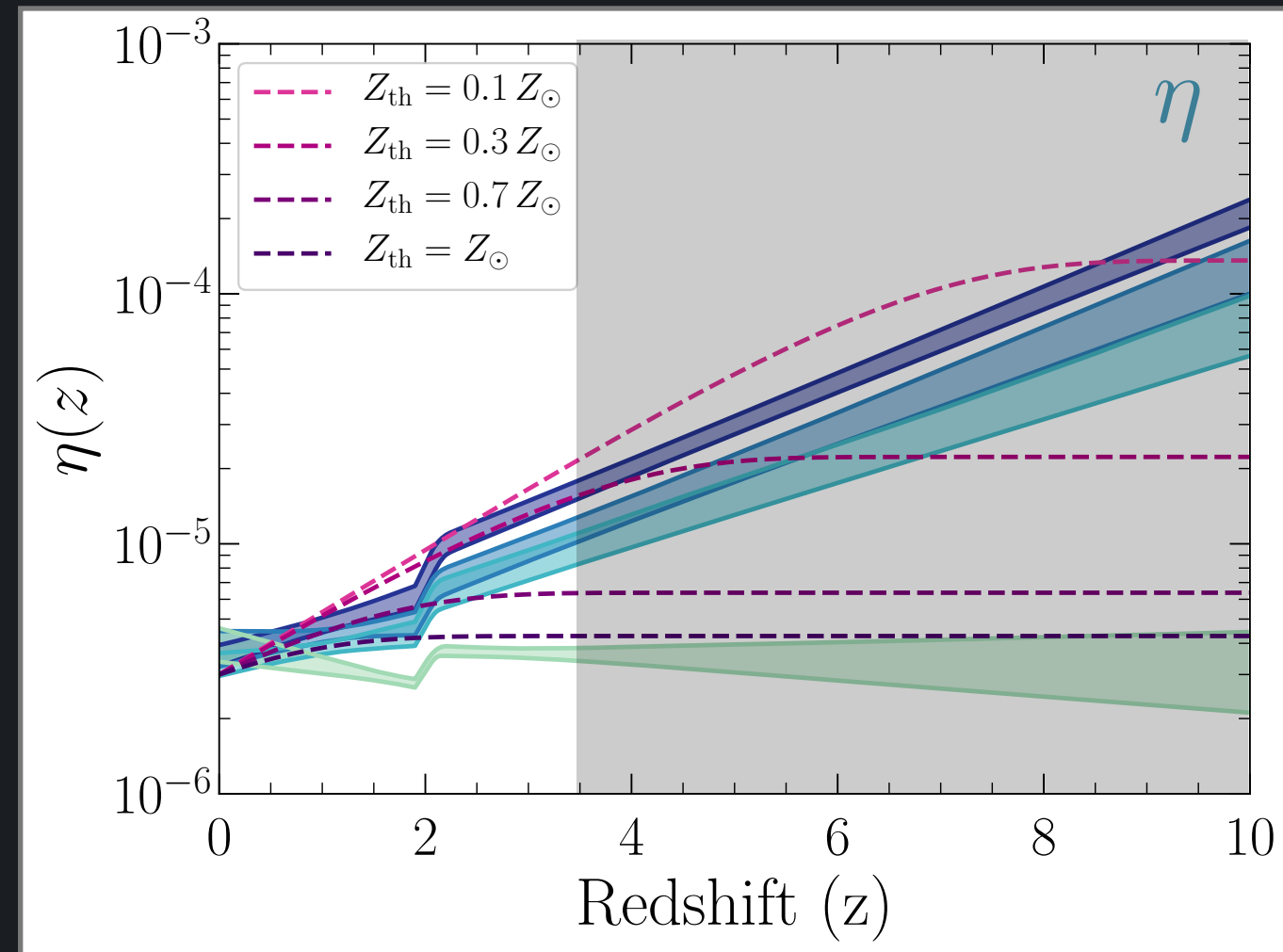
# Discussion

- There is **uncertainty** on **strong line calibrators** absolute metallicity values (dependence on photoionisation models)
- Oxygen measured and  $Z$  obtained by assuming **solar scaling**
- **Fe** is driver of winds for WR in single star progenitor models. Young galaxies with  $[O/Fe] > 0.5$  could reconcile high metallicity threshold  
(Hashimoto+18)
  - ➡ **Absolute metallicity** threshold ( $Z < 0.7 Z_{\odot}$ ) is uncertain
  - ➡ BUT **same methodology** means robust results for **metallicity** being **driving factor** of the **LGRB efficiency**



# Discussion

- Discrepancy at  $z > 3-4$  with **metallicity** as driver of **production efficiency**
- **Redshift distribution** of intrinsic population requires **additional break?**  
(hard to constrain with current samples/datasets)
- **Other factors** become dominant at this redshift?  
(sSFR, binarity, initial rotation...)
- **IMF** evolution?
- Underestimating cosmic **SFRD**?





# Summary

- GRBs are **powerful unique probes** of the Universe, up to **high redshift**
- If we want to go beyond case-by-case studies, we need **large-enough, unbiased, complete** statistical **samples**. This is **hard** and requires well-designed, efficient follow-up (SVOM is expected to help)
- **Rate** of high redshift LGRBs **remains uncertain** because of degeneracies with the evolution of the luminosity function (SVOM, THESEUS?)
- **LGRB production efficiency** seems to **evolve with redshift** and (at least) at  $z < 2$ , **metallicity** is its main driving factor
- If this holds at higher  $z$  and other factors don't play a significant role, **LGRB rate** could be **used to estimate** the **SFR** at these redshifts



# Extra slides



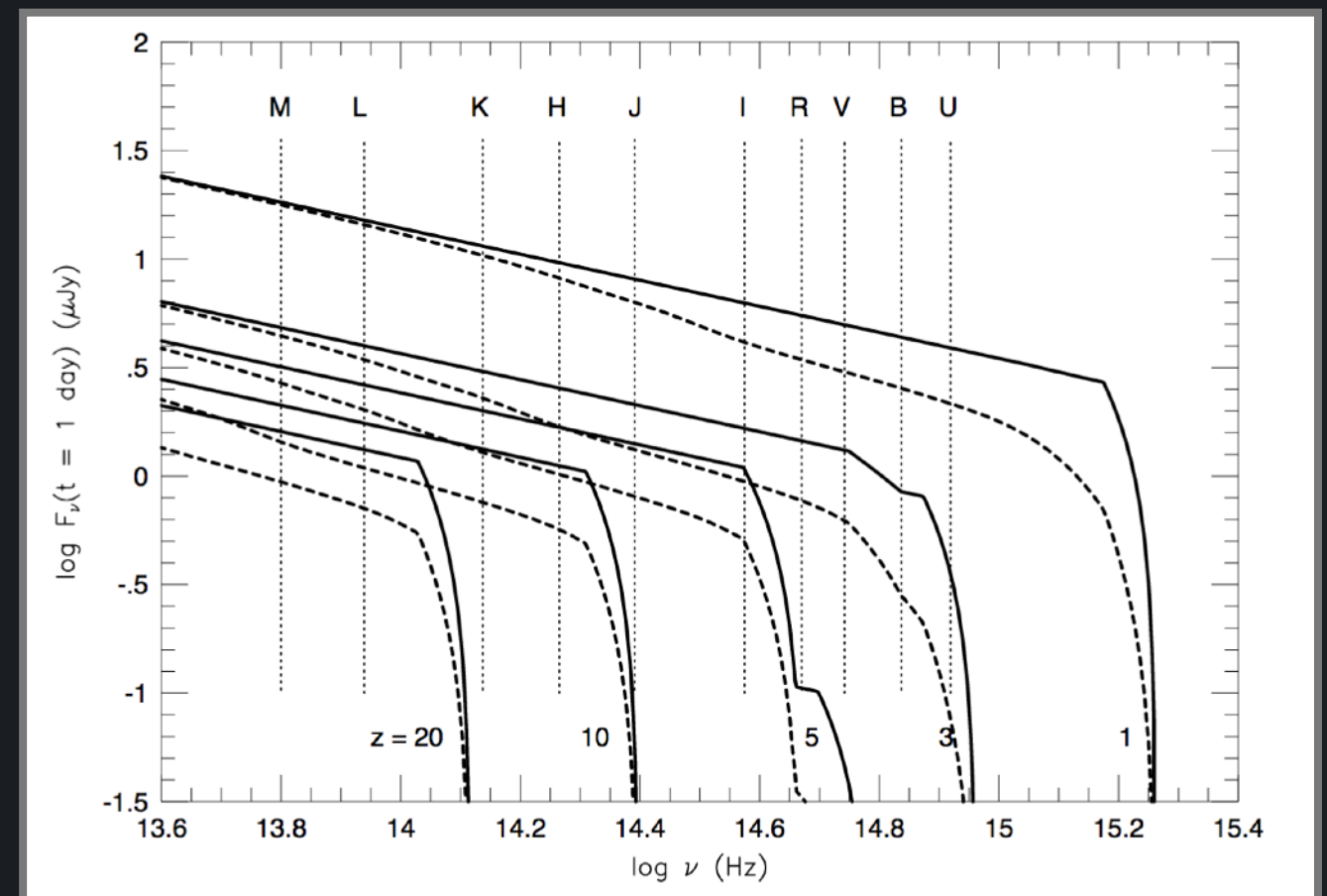
# Benefit of fading at high $z$

Observing **1 day** after the prompt emission on Earth corresponds to, in the source frame:

- **6h** if the source is at  $z = 3$
- **2h** if the source is at  $z = 10$

We are therefore catching the afterglow **earlier** in its light curve (and thus **brighter** since it is fading) as redshift increases

This almost compensates cosmological dimming



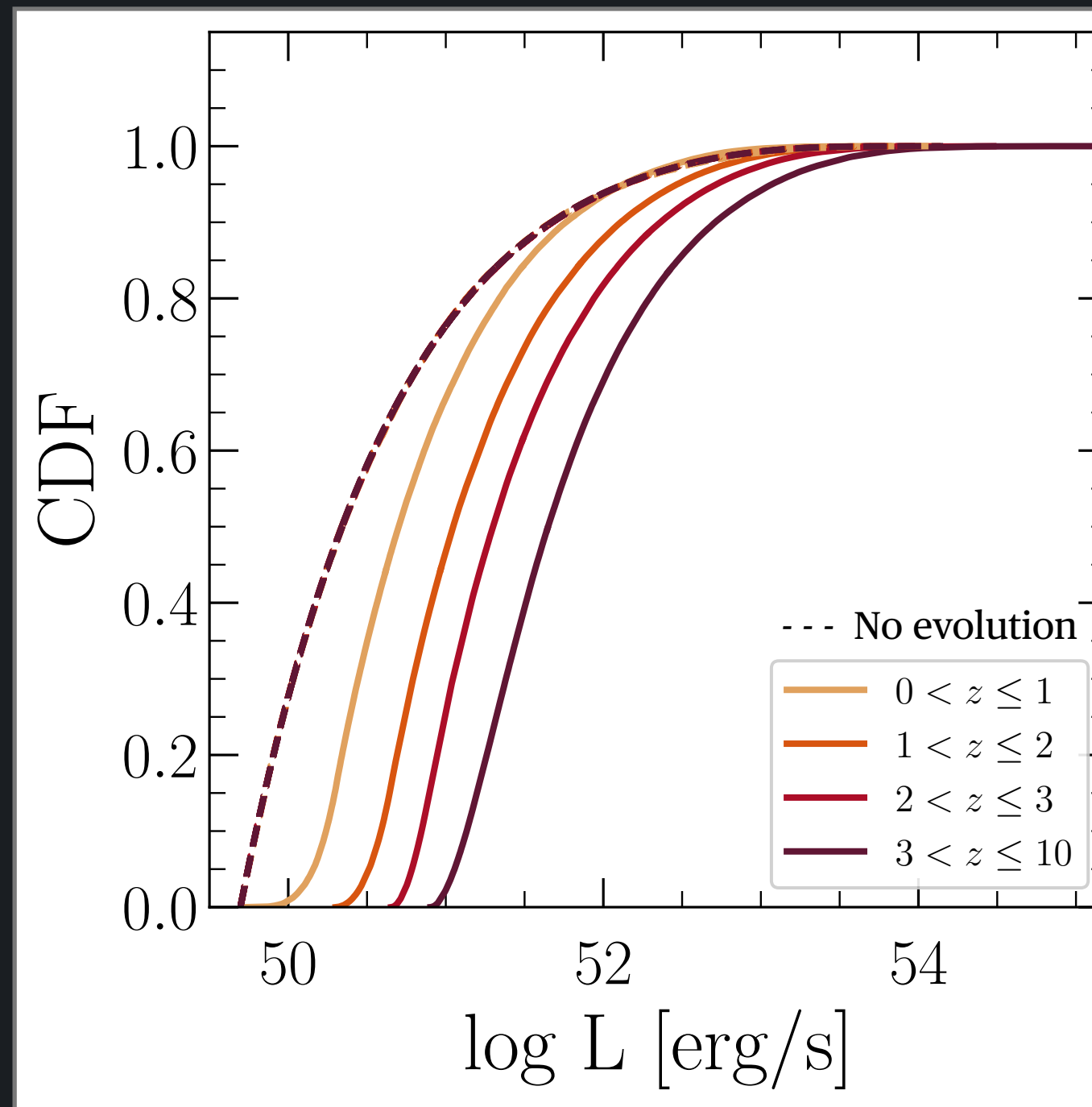
Lamb & Reichart+00



# Population model extras

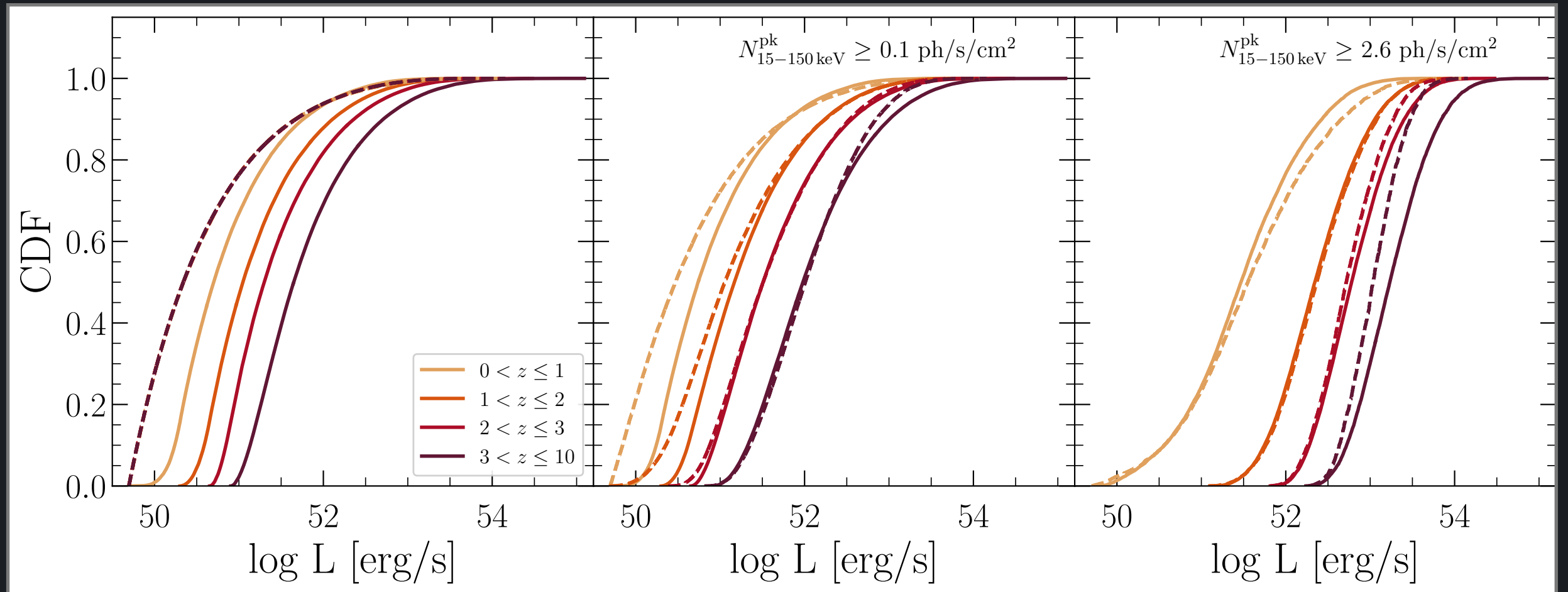


# Separating into $z$ bins





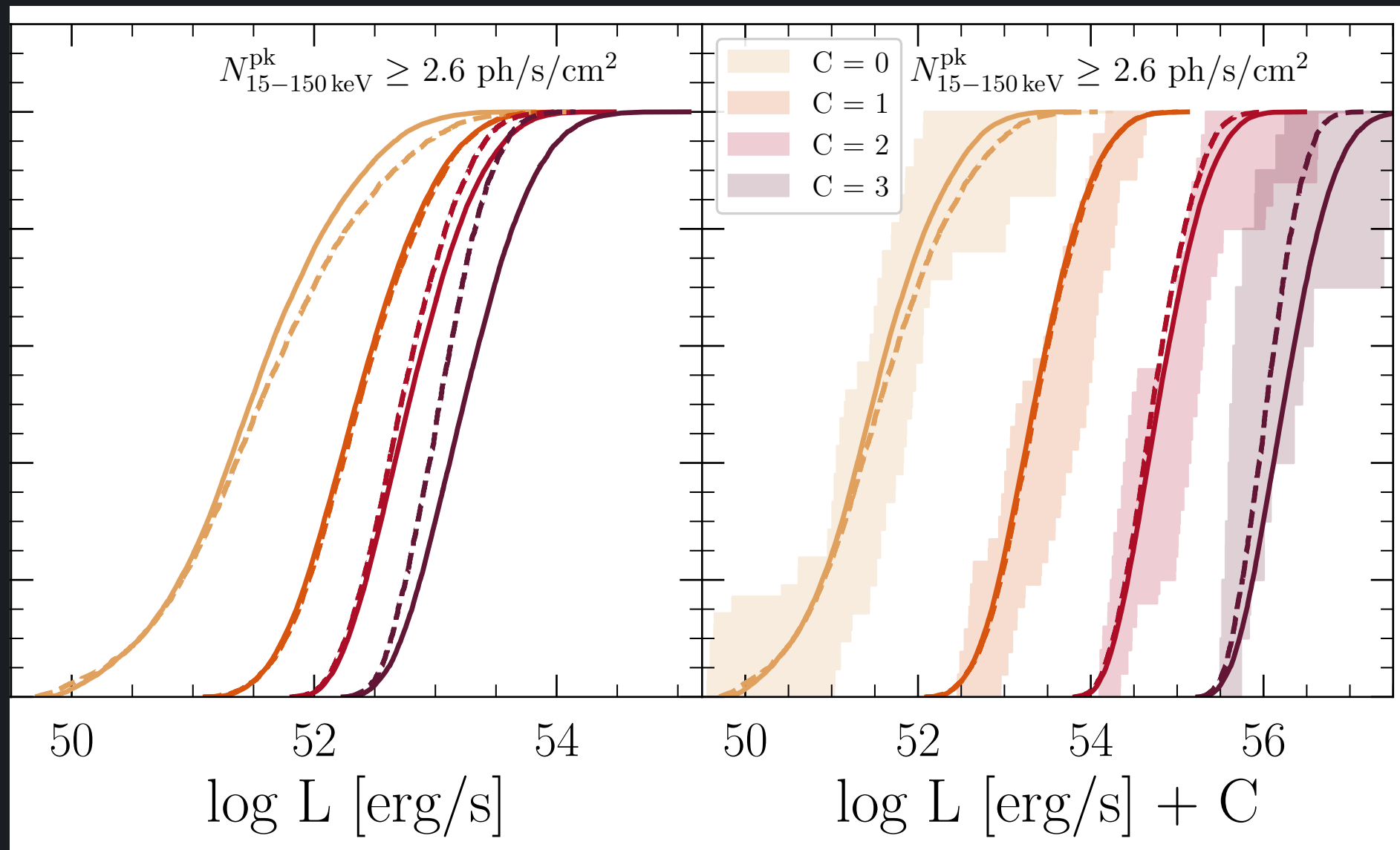
# Separating into $z$ bins



Peak flux/fluence cut **mimics** the effect of luminosity evolution

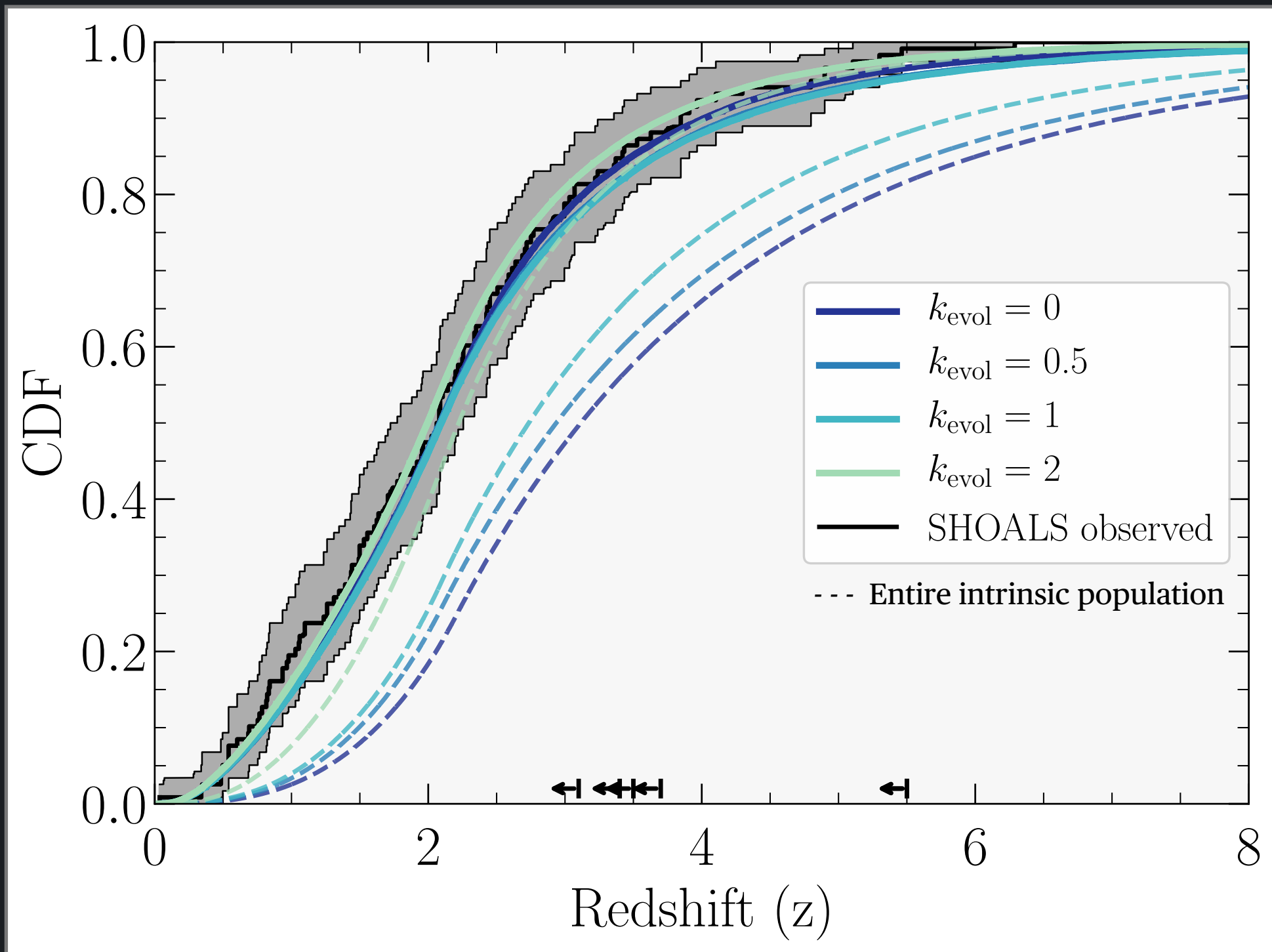


# Separating into $z$ bins



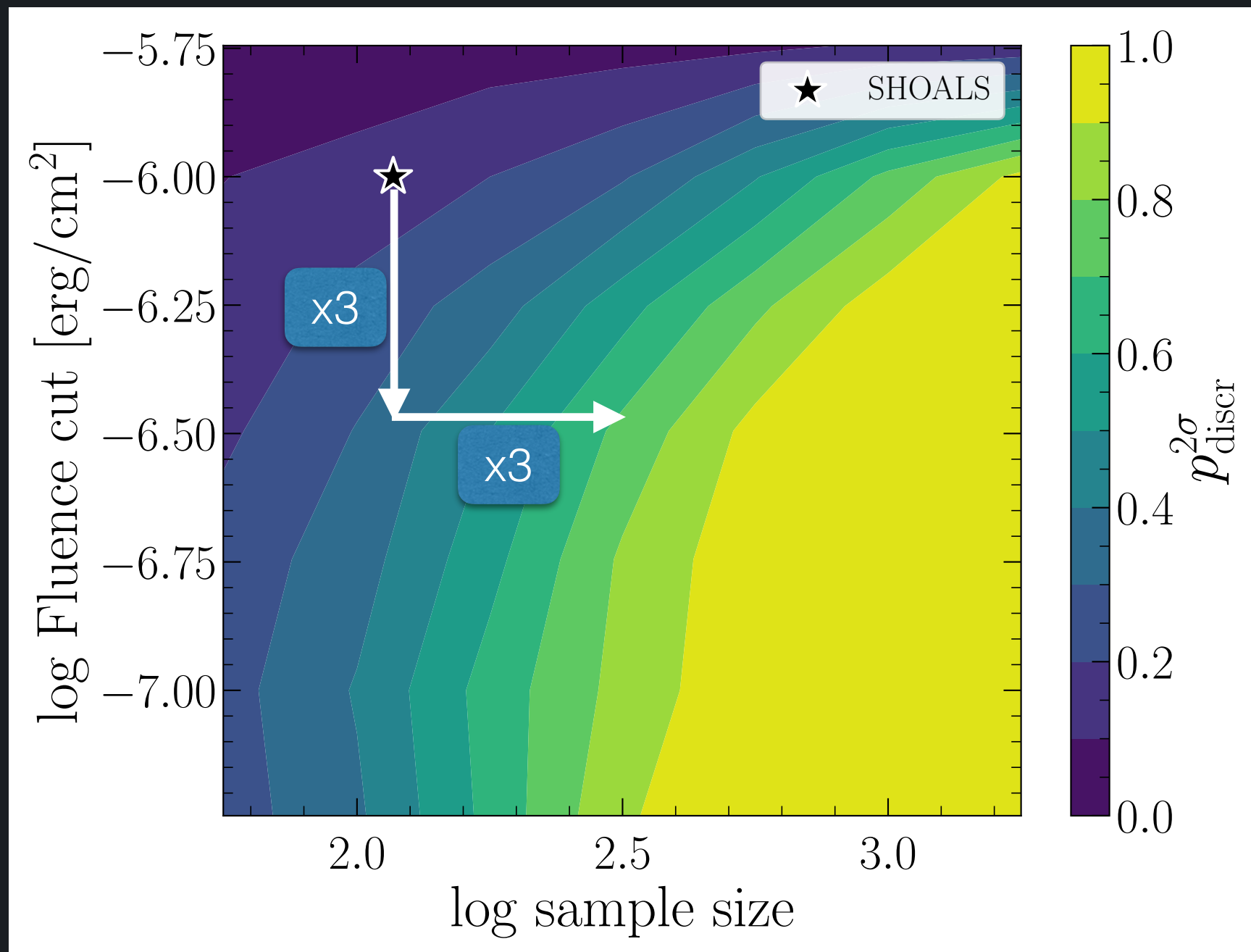


# Using SHOALS





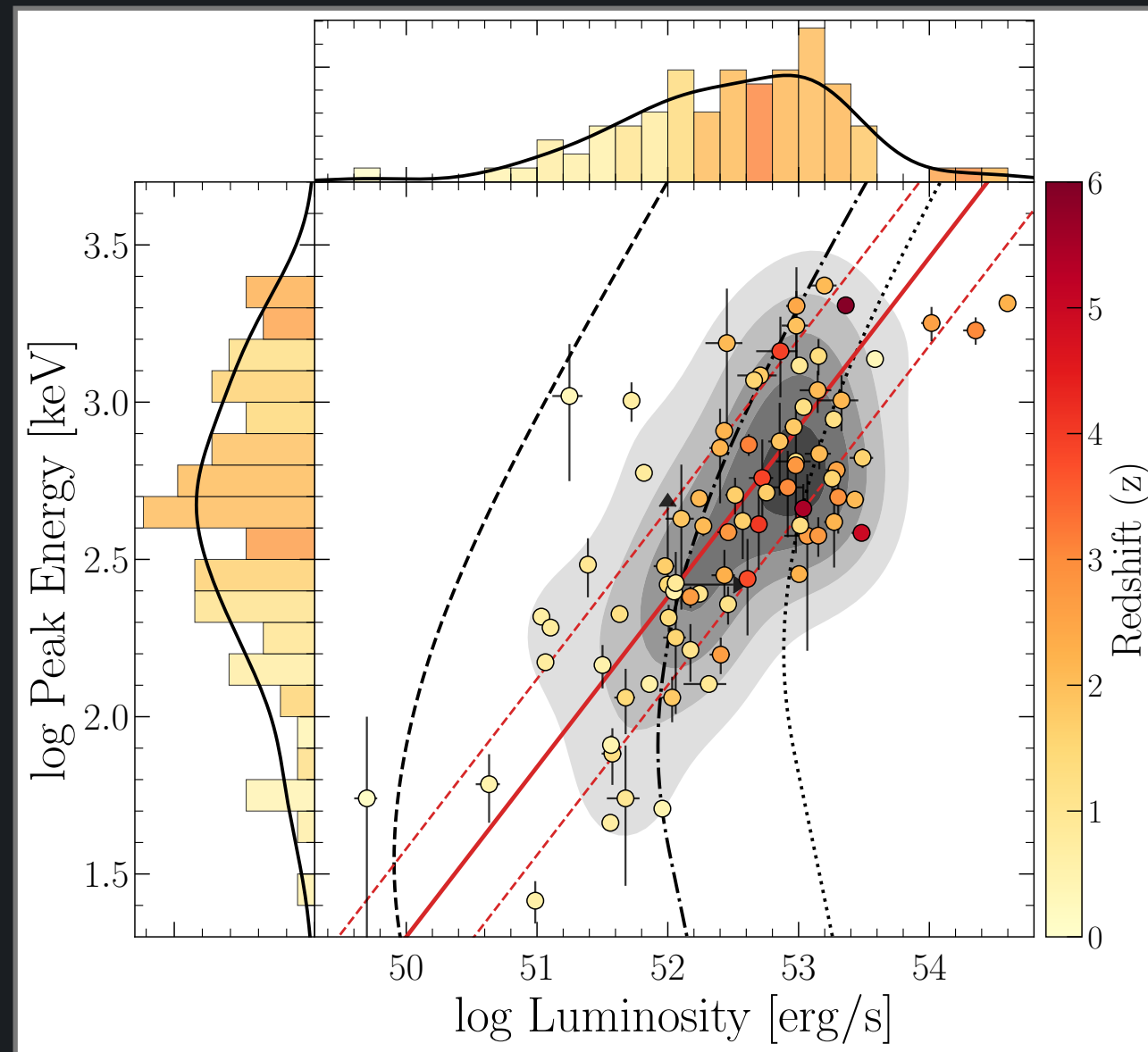
# How to lift the degeneracy?





# Spectral correlations

## eBAT6 observed Ep - L plane

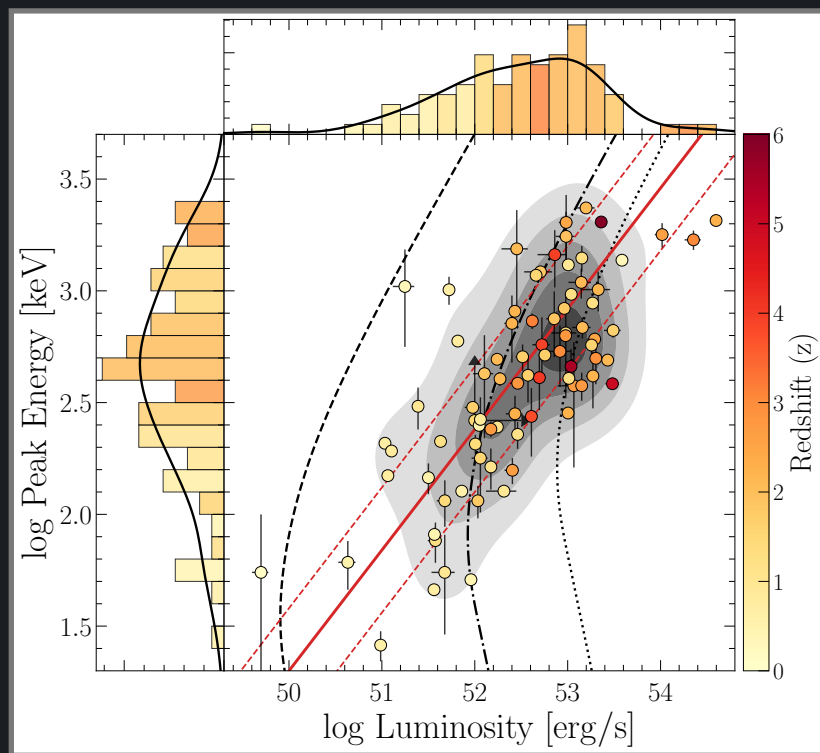




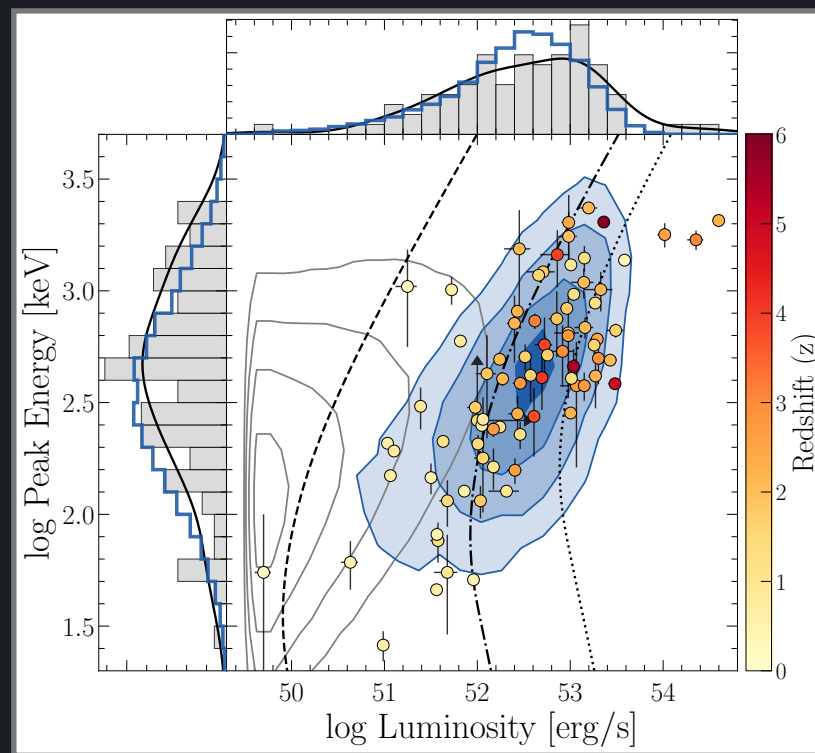
# Spectral correlations

## Mock eBAT6 predicted Ep - L plane

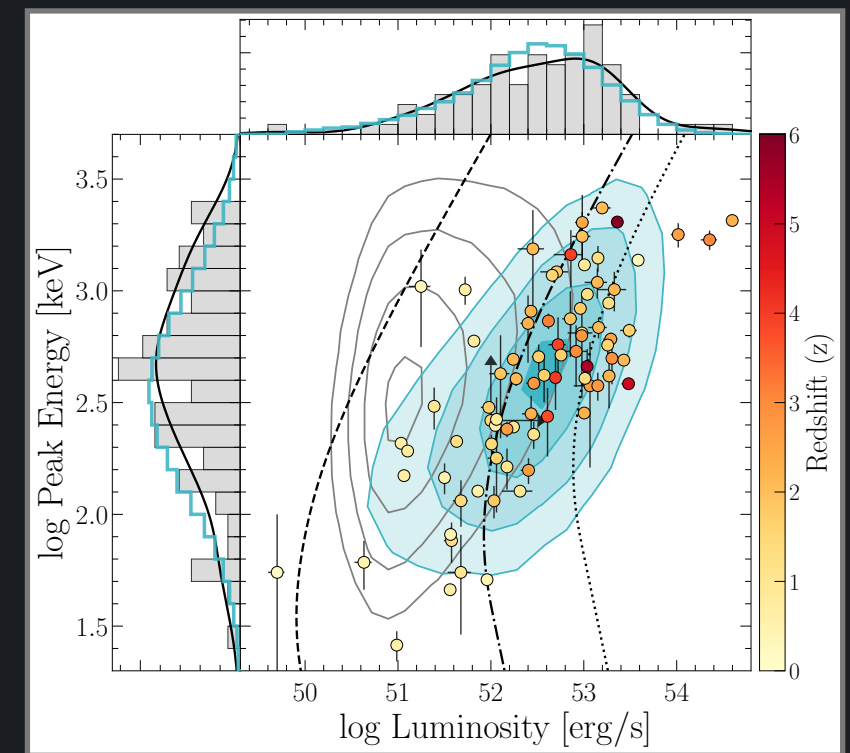
Observed



$k_{\text{evol}} = 0$

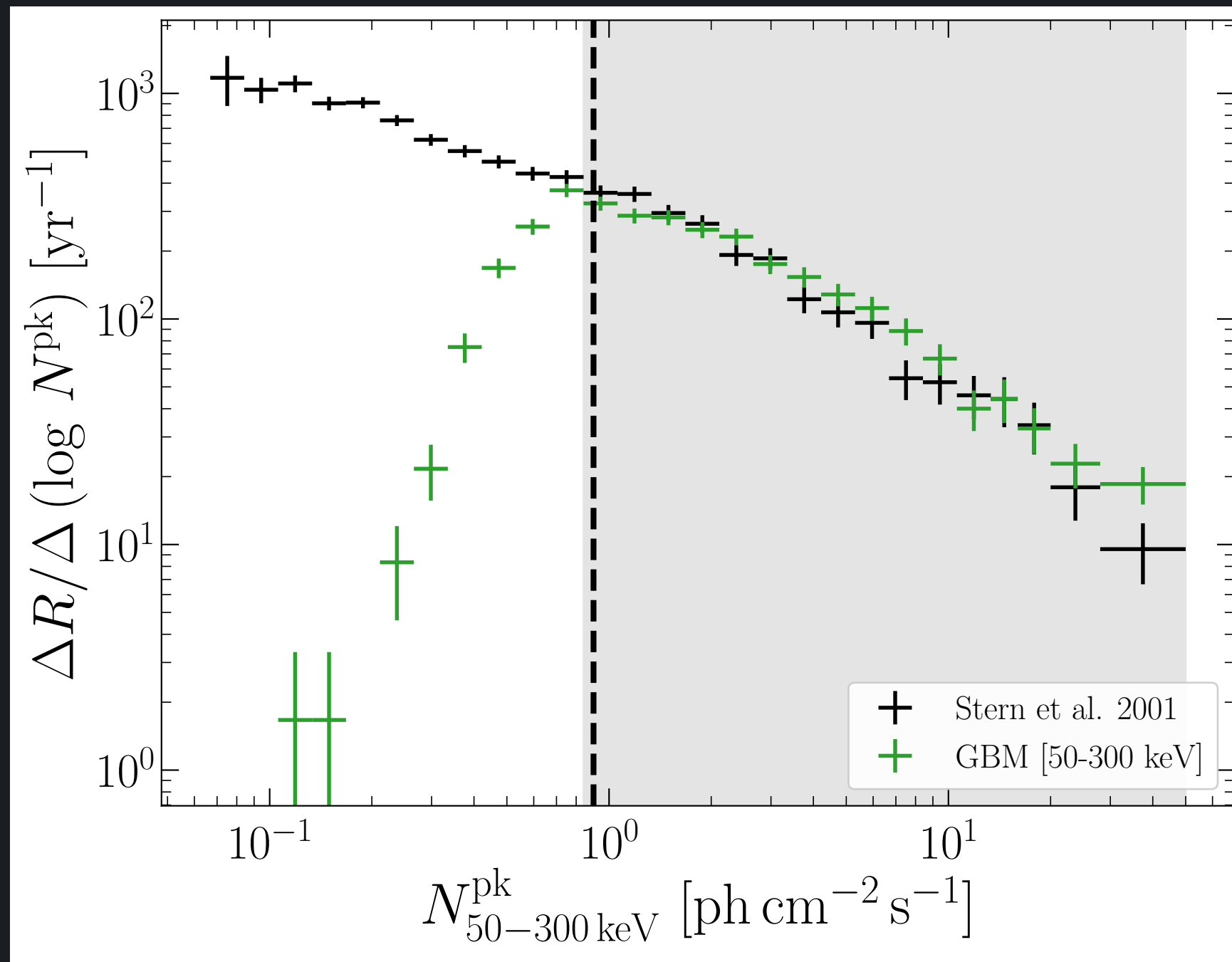


$k_{\text{evol}} = 2$



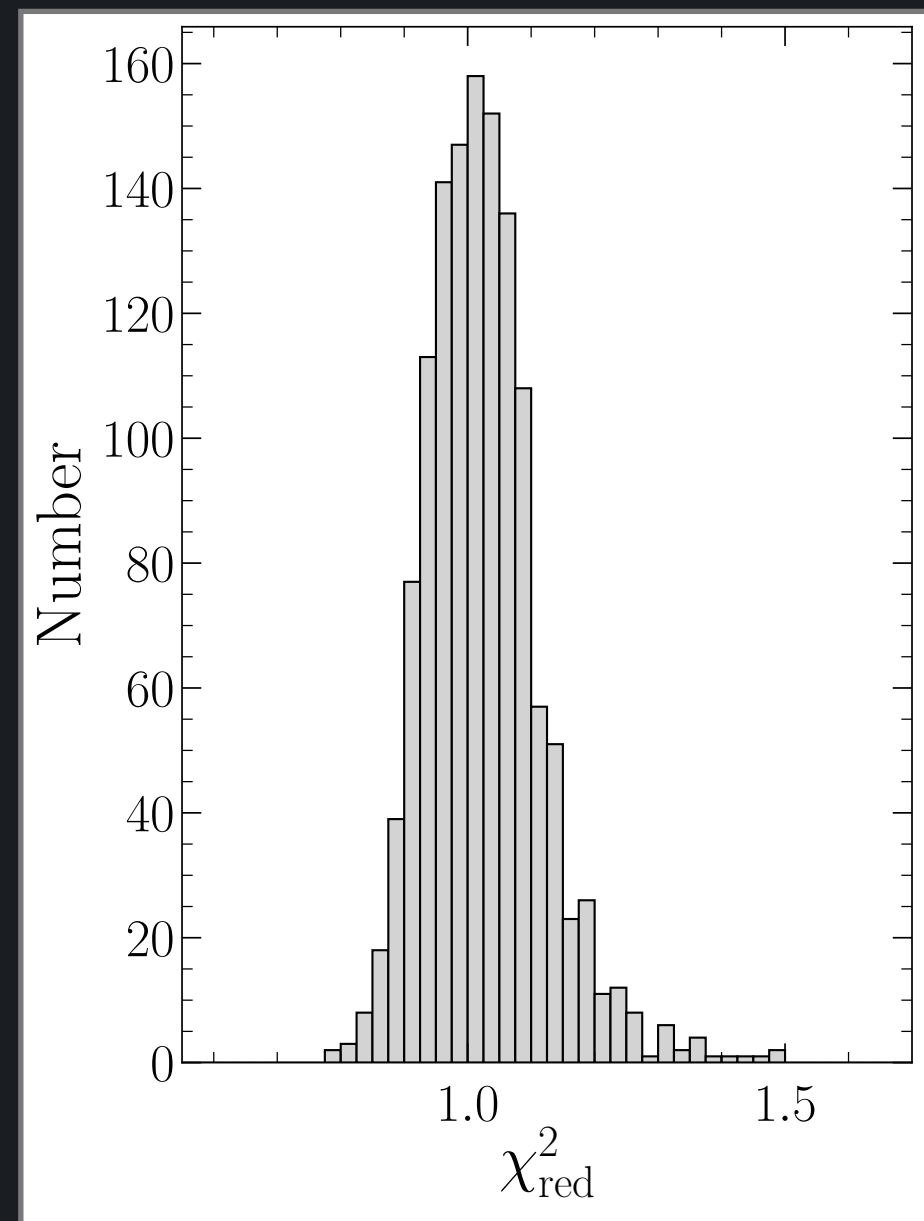
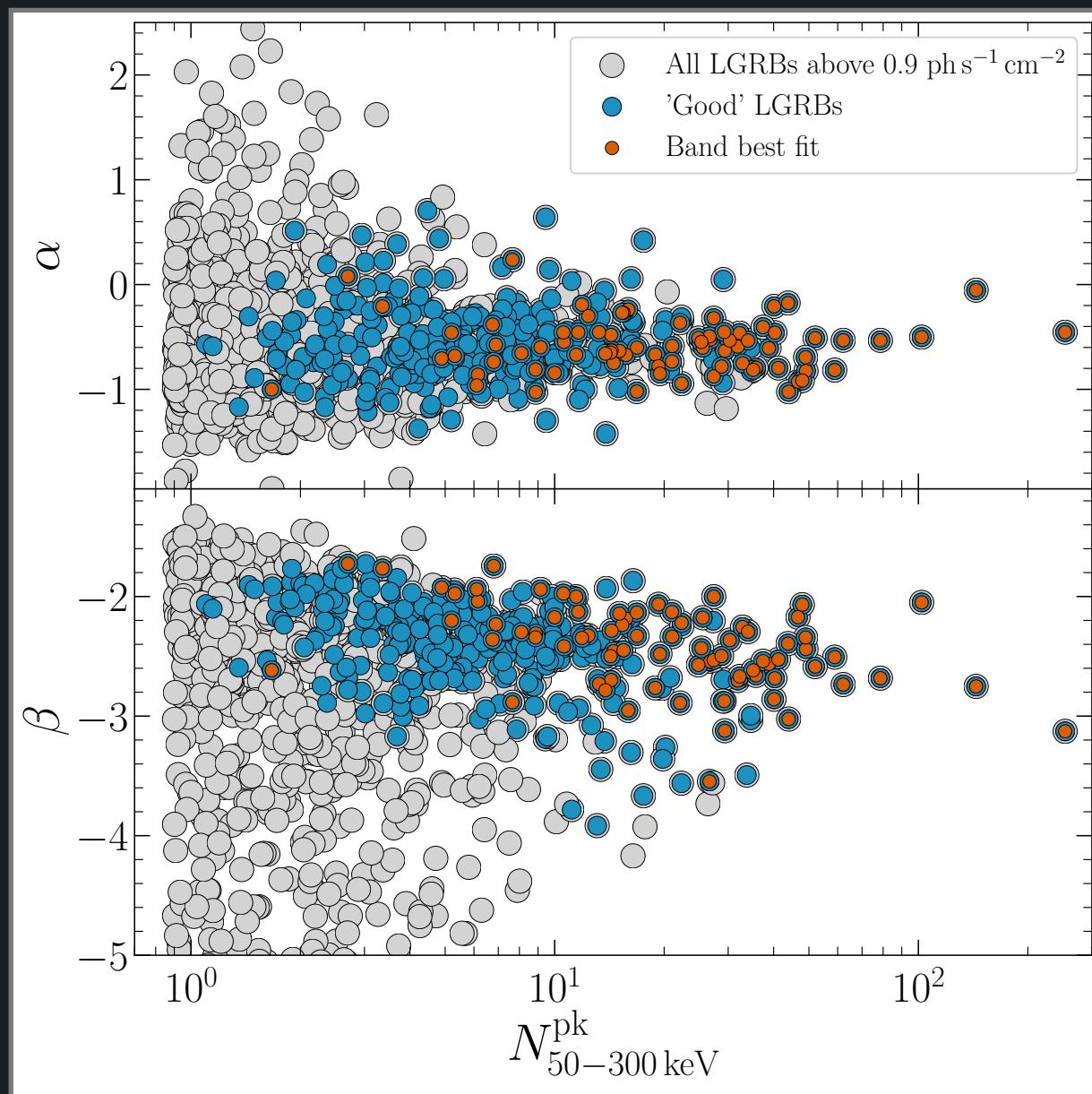


# Peak flux threshold for spectral constraint



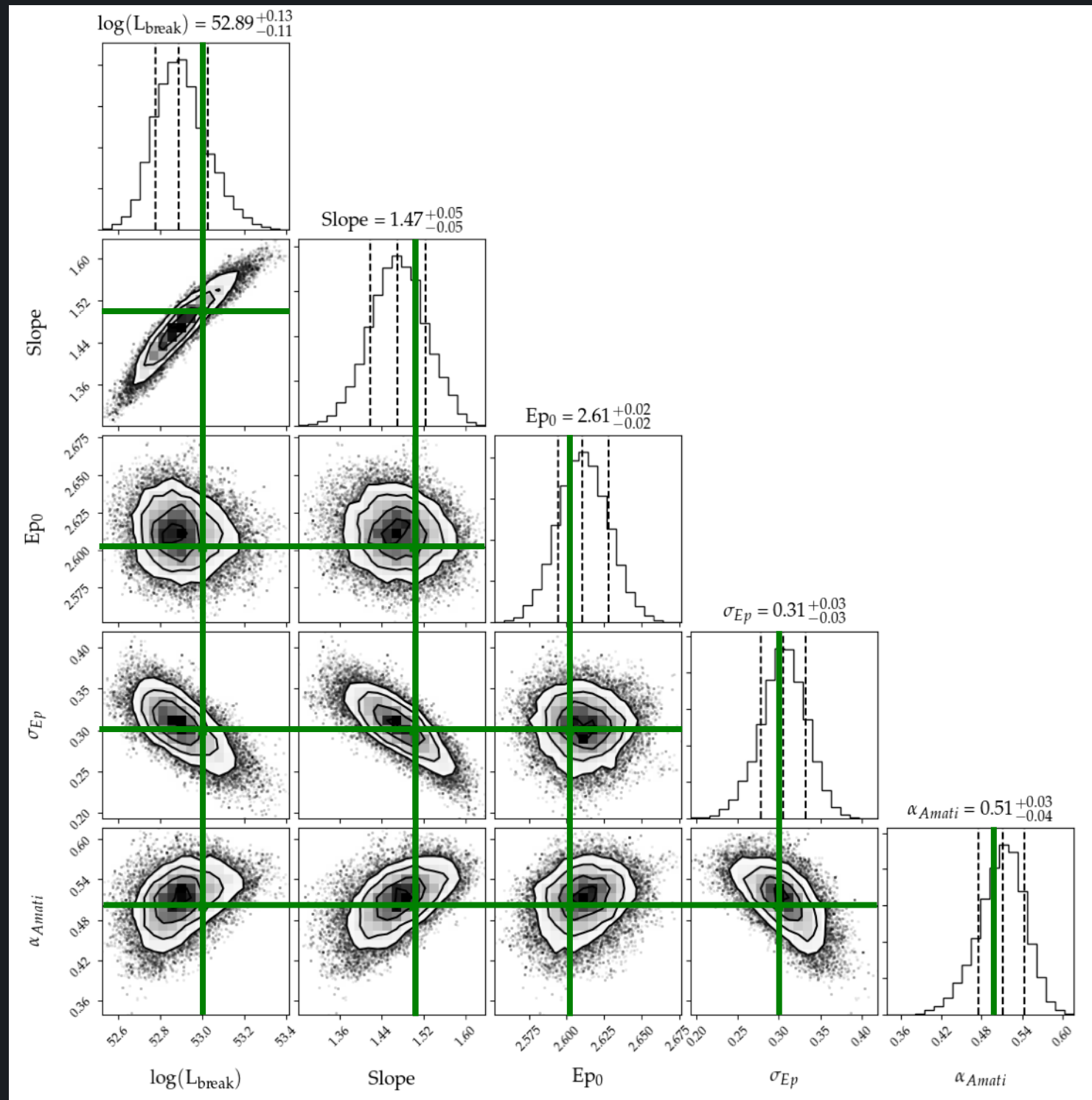


# Band spectral model





# MCMC exploration





# Challenges of statistical studies

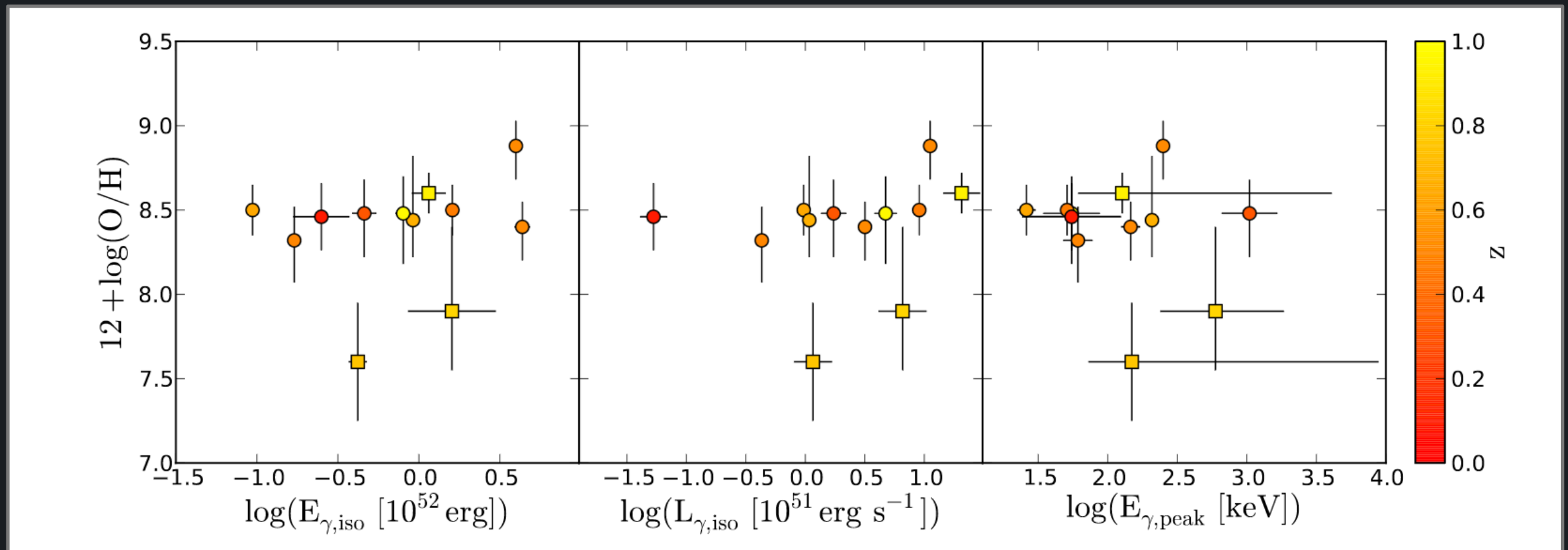
- Selection biases
- Completeness
- Sample size



# LGRB host galaxies extras



# Prompt/host correlation





# Favorable observing conditions

- Burst was well localised by *Swift*/XRT and the information was distributed quickly
- Low galactic extinction ( $A_v < 0.5$  )
- Burst declination is between  $-70^\circ$  and  $+70^\circ$
- Its angular distance to the sun is greater than  $55^\circ$
- No nearby bright stars



# Metallicity gradients in nearby LGRB hosts

