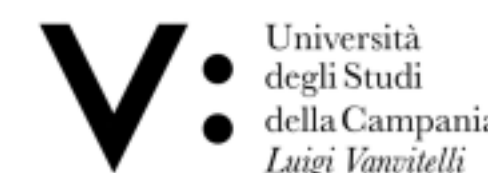


Addressing the challenge of neutrino interaction uncertainties in Hyper-Kamiokande



Claire Dalmazzone, 13th October 2023

On behalf of Hyper-Kamiokande Collaboration



Contents



- Hyper-Kamiokande experiment
- HK long baseline program
- Neutrino interaction in HK
- HK plan to reduce systematic uncertainties

Hyper-Kamiokande experiment



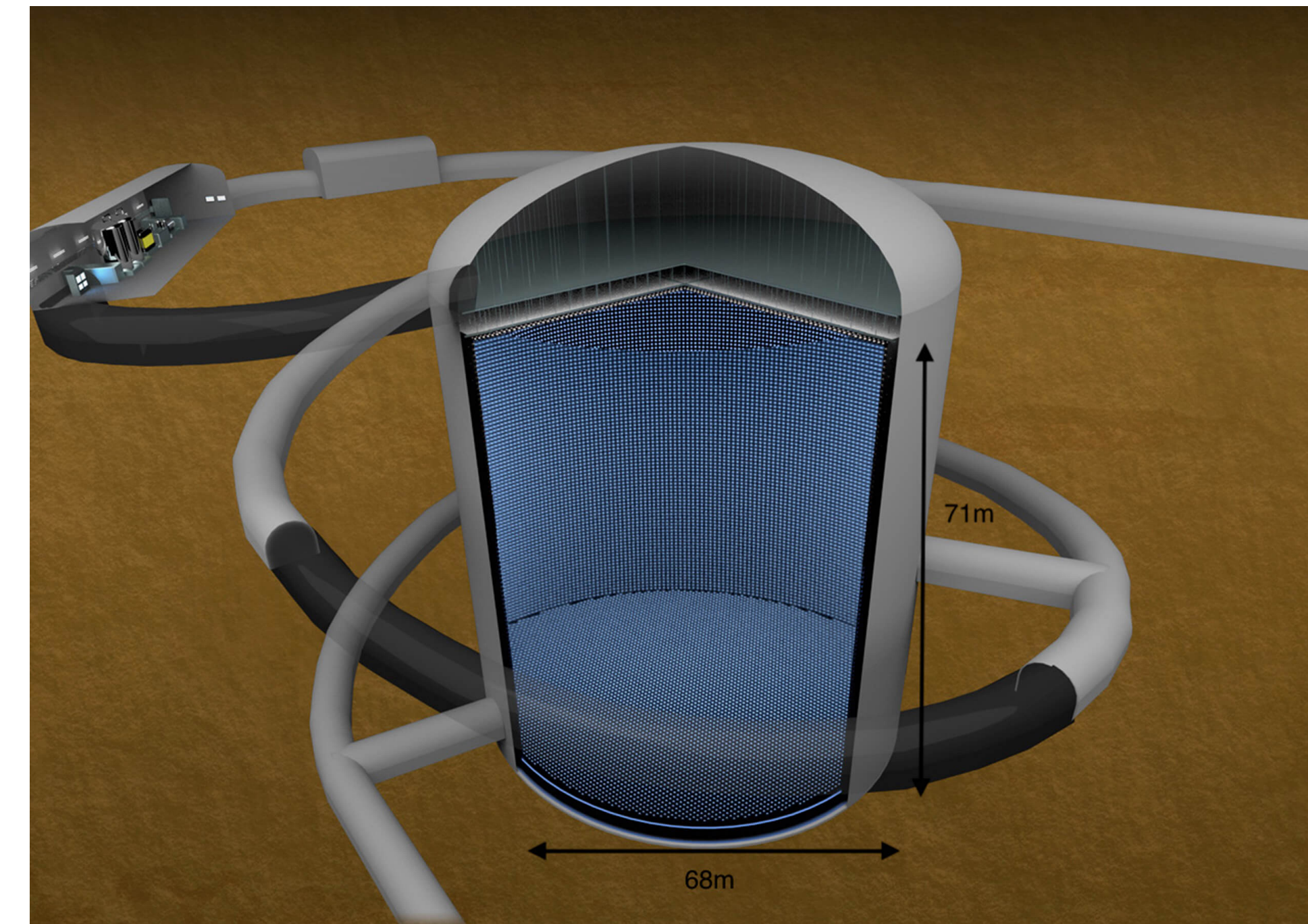
Hyper-Kamiokande

The detector

- Next generation water Cherenkov neutrino detector in Japan.
In construction, **start data-taking in 2027**
- **260kt of water**: fiducial volume ~ 8 times SK!



Excavation reached center of cavern dome in July



The Hyper-Kamiokande detector

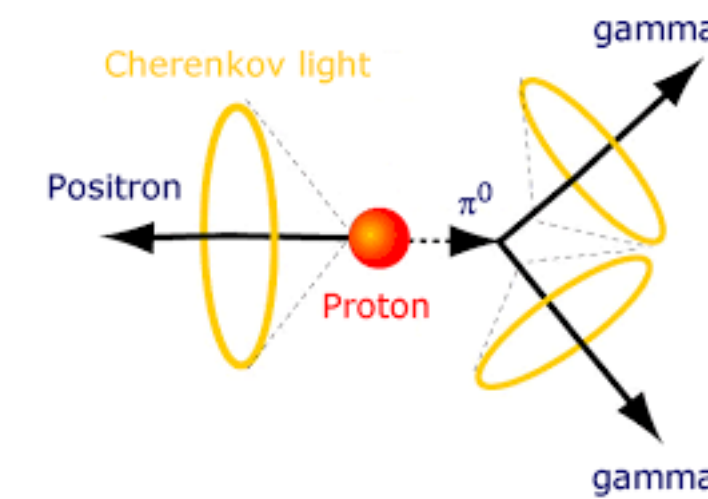
Hyper-Kamiokande experiment



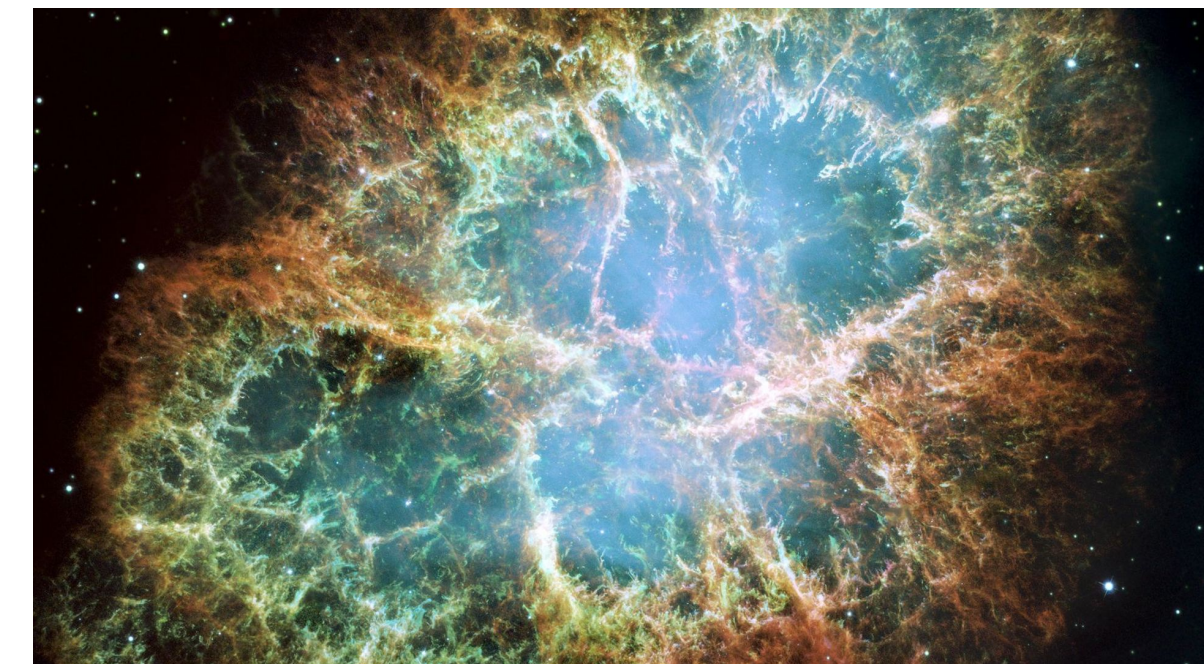
Hyper-Kamiokande

A vast physics program

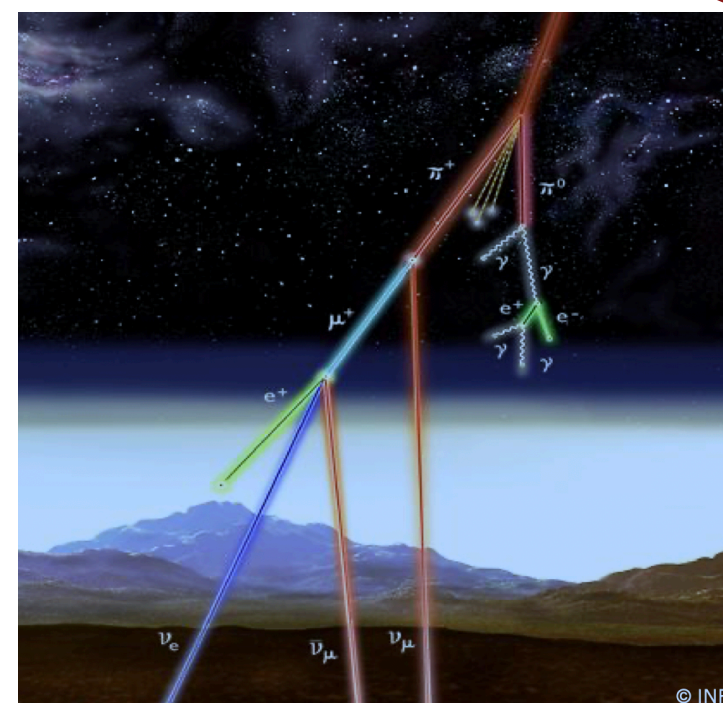
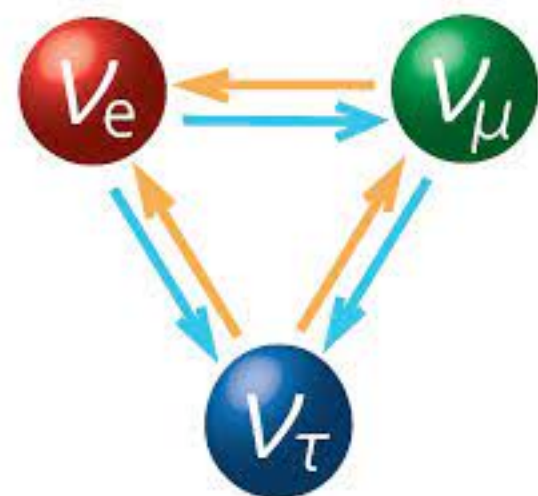
- **Nucleon decay:** evidence for a Grand Unified Theory
- **Neutrino astrophysics:** Supernovae and Diffuse Supernovae Background



- **Solar neutrinos**



- **Neutrino oscillation:** atmospheric + **accelerator neutrinos**





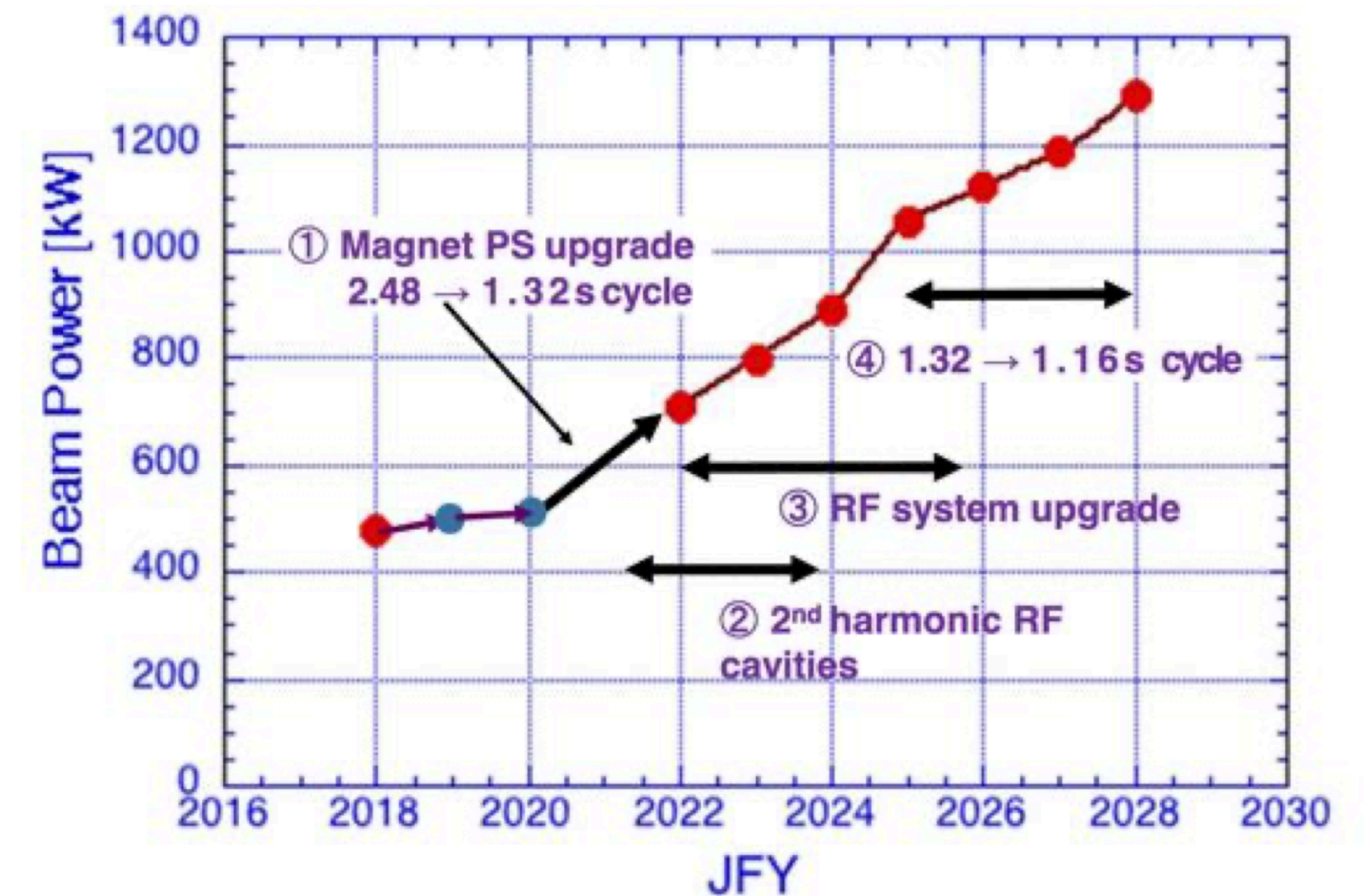
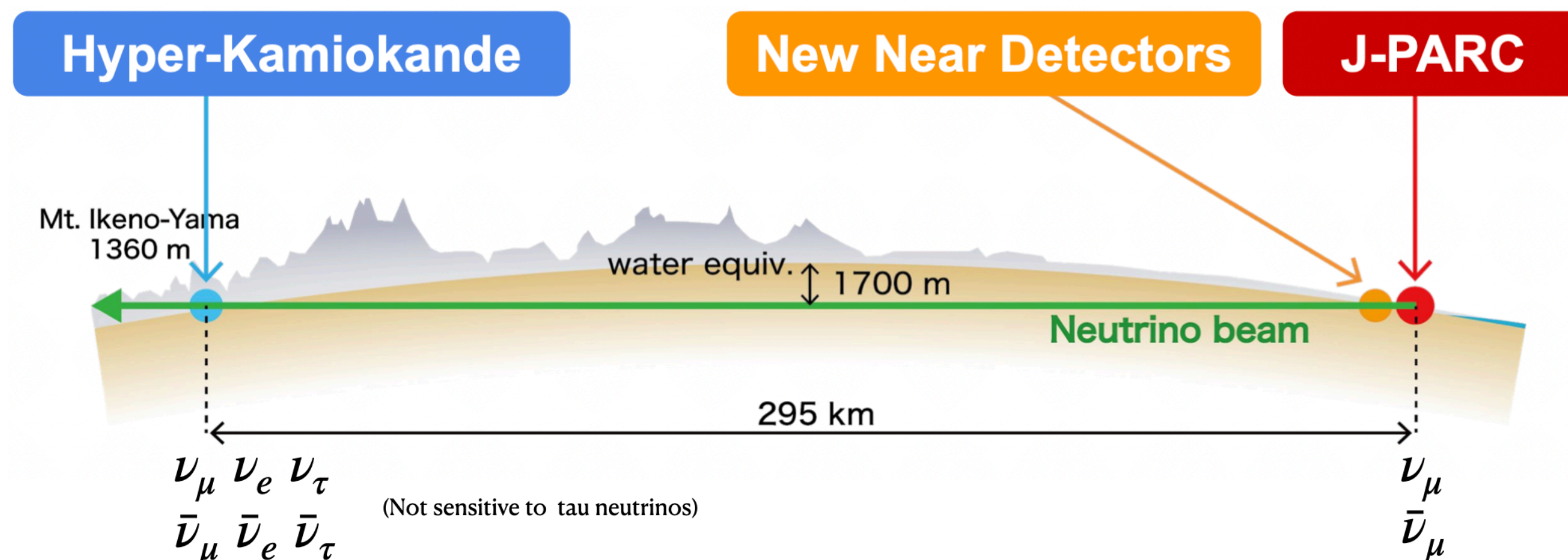
Long baseline program

Overview

Same baseline as T2K with upgrades:

$\sqrt{\nu_\mu (\bar{\nu}_\mu)}$ beam produced at **J-PARC accelerator facility**: flux peaked at 600 MeV in HK/SK directions

More intense beam: 750 kW \rightarrow 1.3MW



Credit: Megan Friend, NuFact 2021

Long baseline program



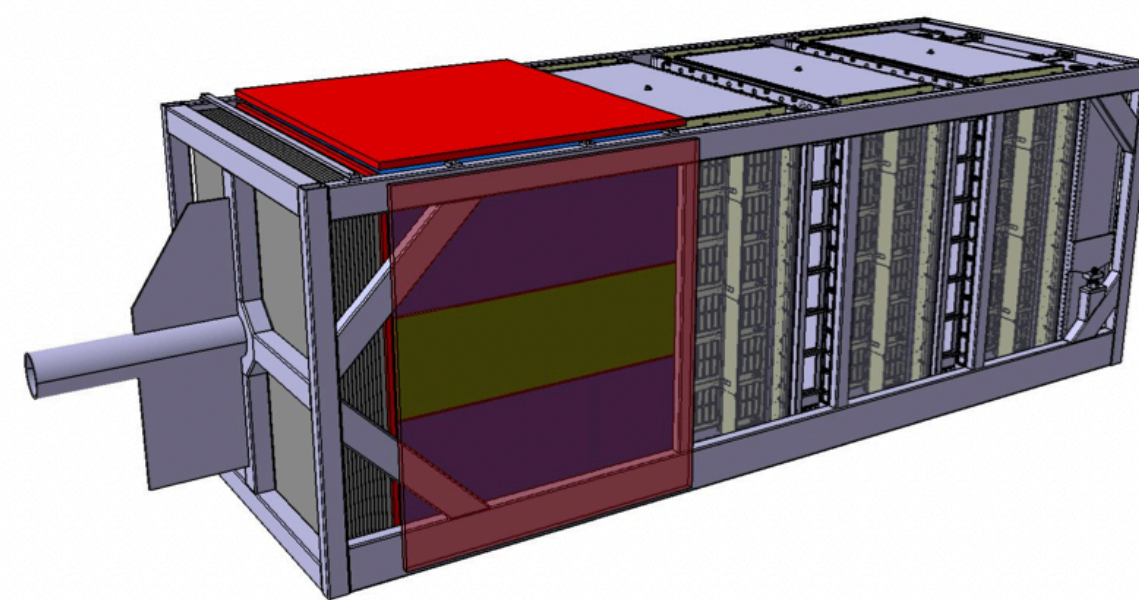
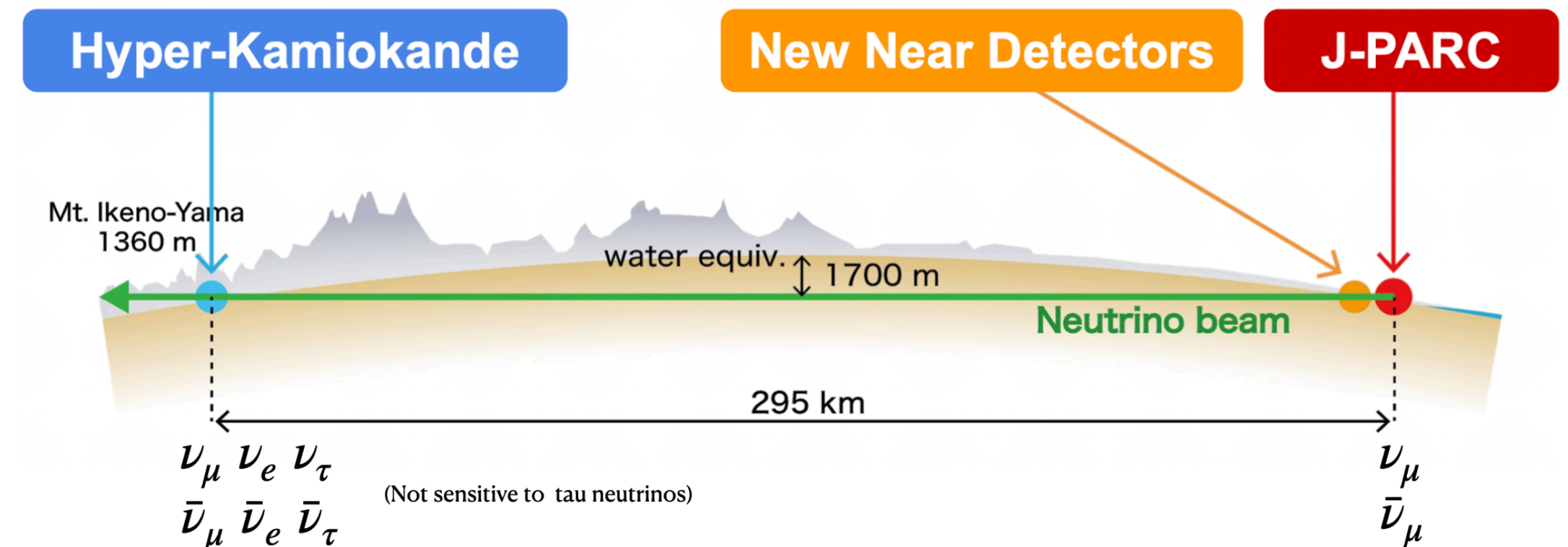
Overview

Same baseline as T2K with upgrades:

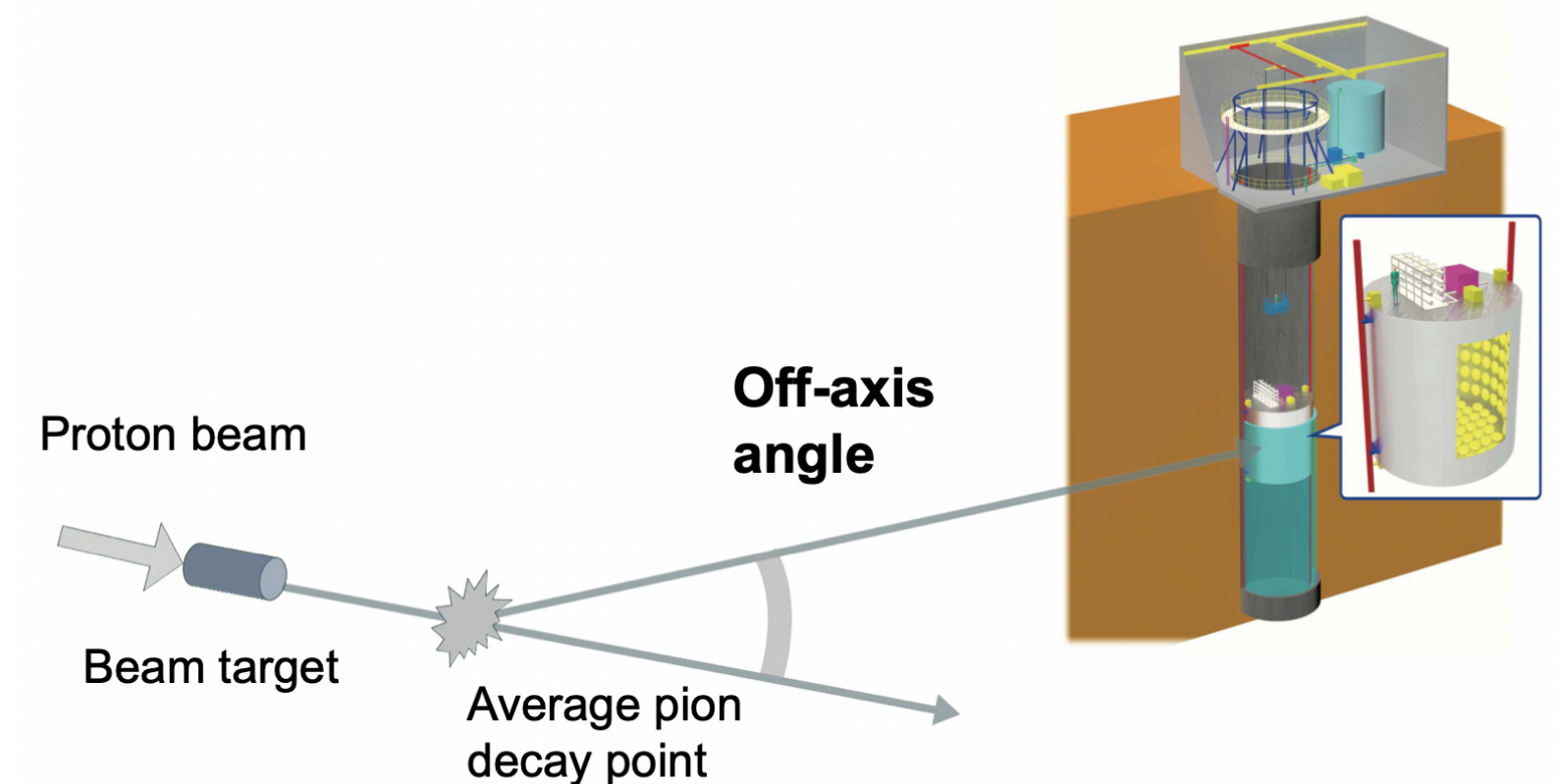
ν_μ ($\bar{\nu}_\mu$) beam produced at J-PARC

✓ **Near detectors** measure flux and interaction cross-section before oscillation

Upgraded ND280 (280m) + new Intermediate Water Cherenkov Detector (IWCD) at ~1km



ND280 after current upgrade:
larger volume + better angular coverage



IWCD: vertically movable (varying off-axis angle)

Long baseline program

Overview

Same baseline as T2K with upgrades:

✓ ν_μ ($\bar{\nu}_\mu$) beam produced at J-PARC

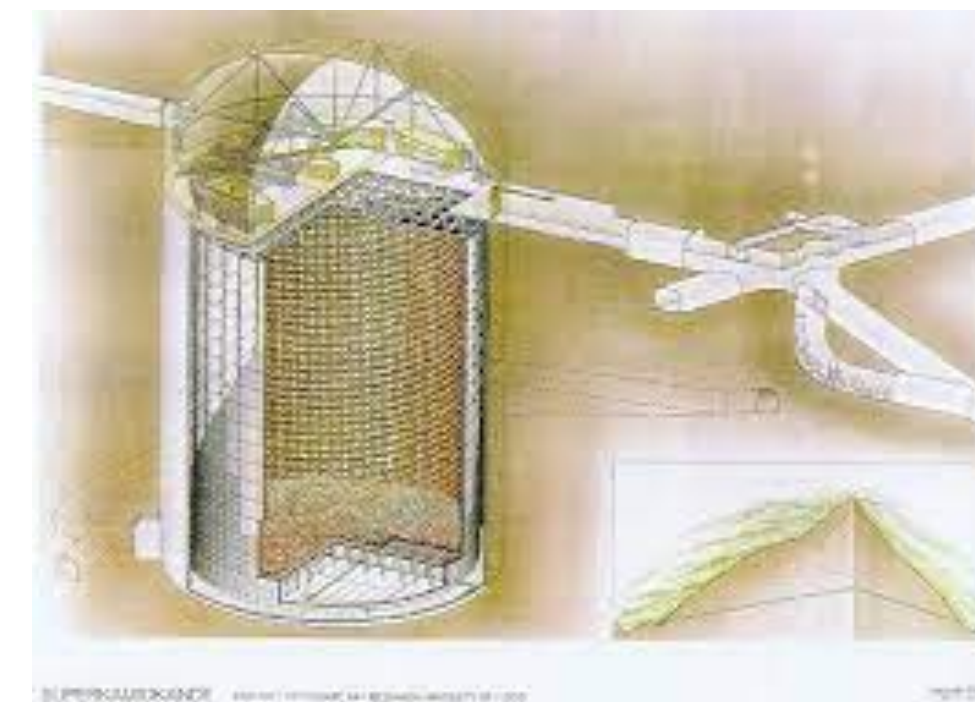
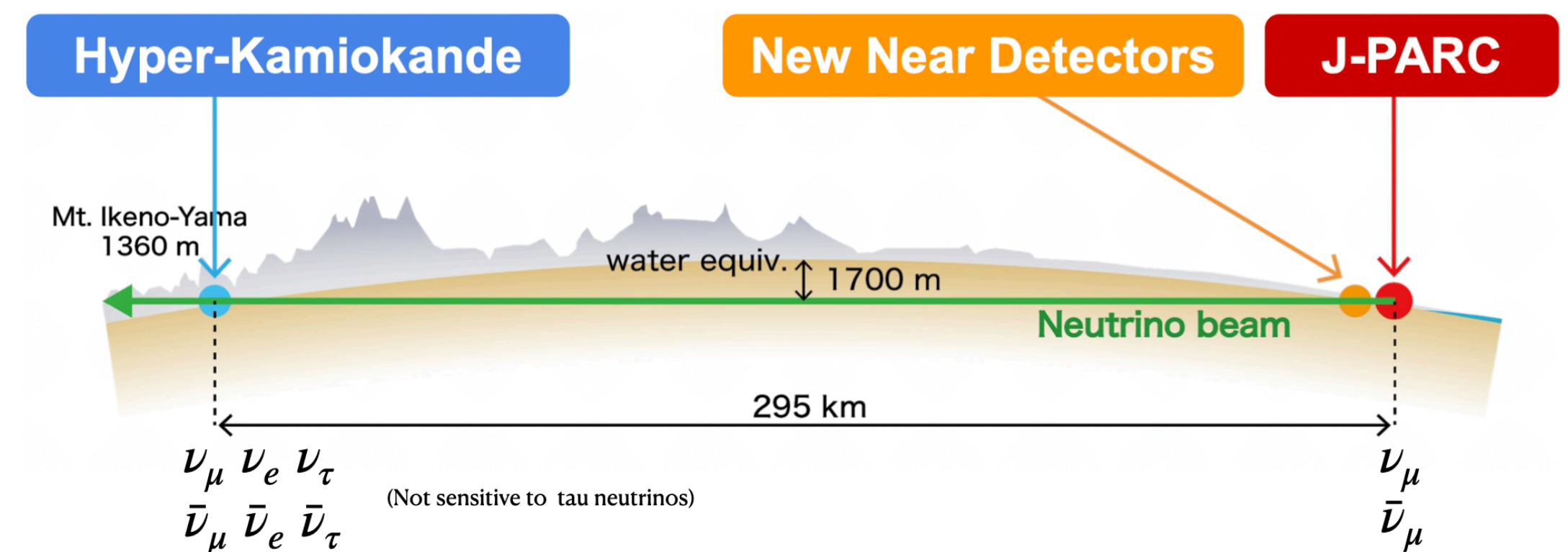
✓ Near detectors measure flux and interaction cross-section before oscillation

✓ Far detector (2.5° off-axis)

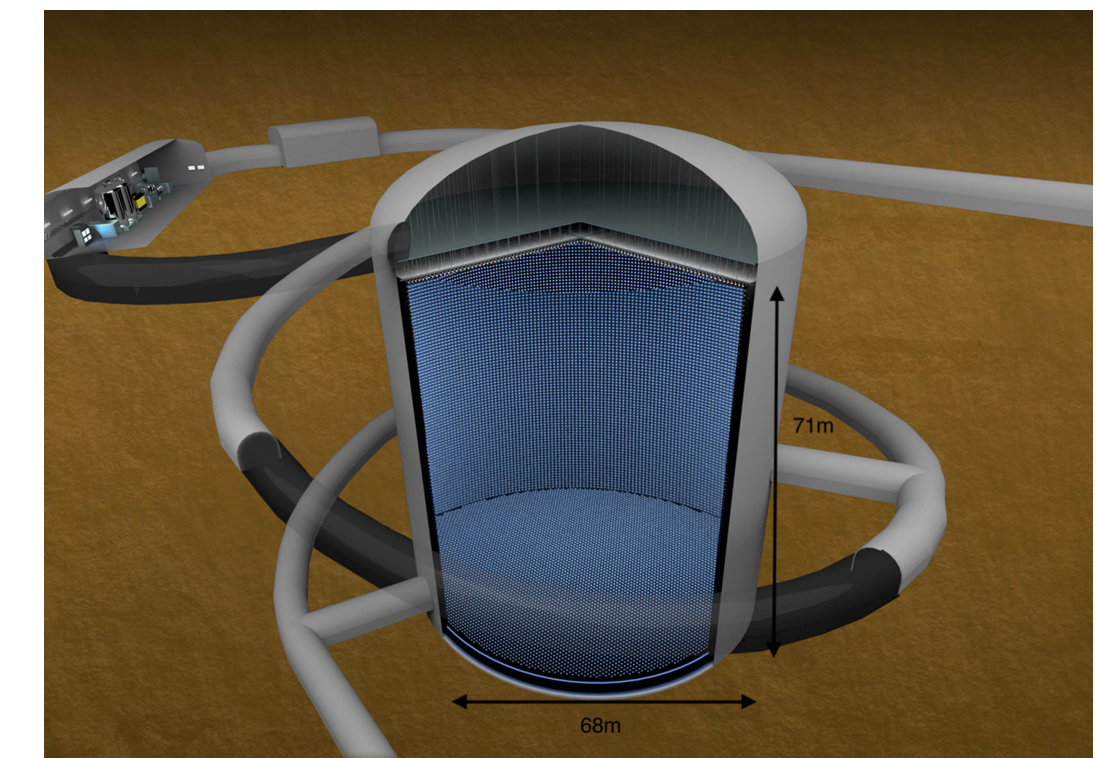
ν_e ($\bar{\nu}_e$) appearance

ν_μ ($\bar{\nu}_\mu$) disappearance

HK fiducial volume 8 times larger than SK



SK: 39mX41m



HK: 68mX71m



Long baseline program

Physics goal

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos \theta_{23} & \sin \theta_{23} \\ 0 & -\sin \theta_{23} & \cos \theta_{23} \end{pmatrix} \begin{pmatrix} \cos \theta_{13} & 0 & \sin \theta_{13} e^{-i\delta_{CP}} \\ 0 & 1 & 0 \\ -\sin \theta_{13} e^{i\delta_{CP}} & 0 & \cos \theta_{13} \end{pmatrix} \begin{pmatrix} \cos \theta_{12} & \sin \theta_{12} & 0 \\ -\sin \theta_{12} & \cos \theta_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

LBL program sensitive to combination of Δm_{32}^2 , θ_{23} , δ_{CP} and θ_{13}

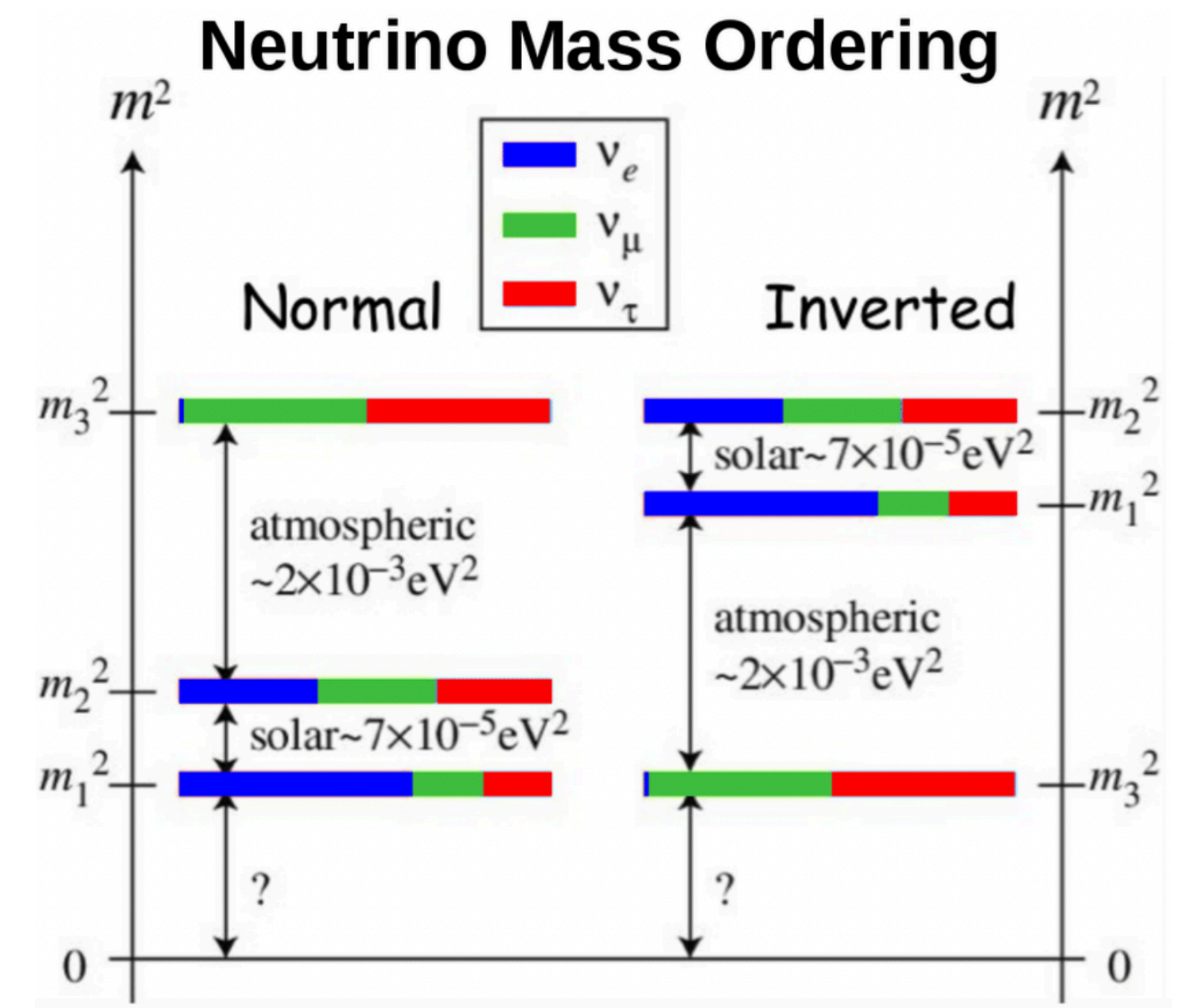
Some questions remain unanswered:

✓ Mass ordering?

✓ θ_{23} octant?

✓ CP violation?

Sensitivity with matter effects:
use atmospheric neutrinos





Long baseline program

Physics goal

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos \theta_{23} & \sin \theta_{23} \\ 0 & -\sin \theta_{23} & \cos \theta_{23} \end{pmatrix} \begin{pmatrix} \cos \theta_{13} & 0 & \sin \theta_{13} e^{-i\delta_{CP}} \\ 0 & 1 & 0 \\ -\sin \theta_{13} e^{i\delta_{CP}} & 0 & \cos \theta_{13} \end{pmatrix} \begin{pmatrix} \cos \theta_{12} & \sin \theta_{12} & 0 \\ -\sin \theta_{12} & \cos \theta_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

LBL program sensitive to combination of Δm_{32}^2 , θ_{23} , δ_{CP} and θ_{13}

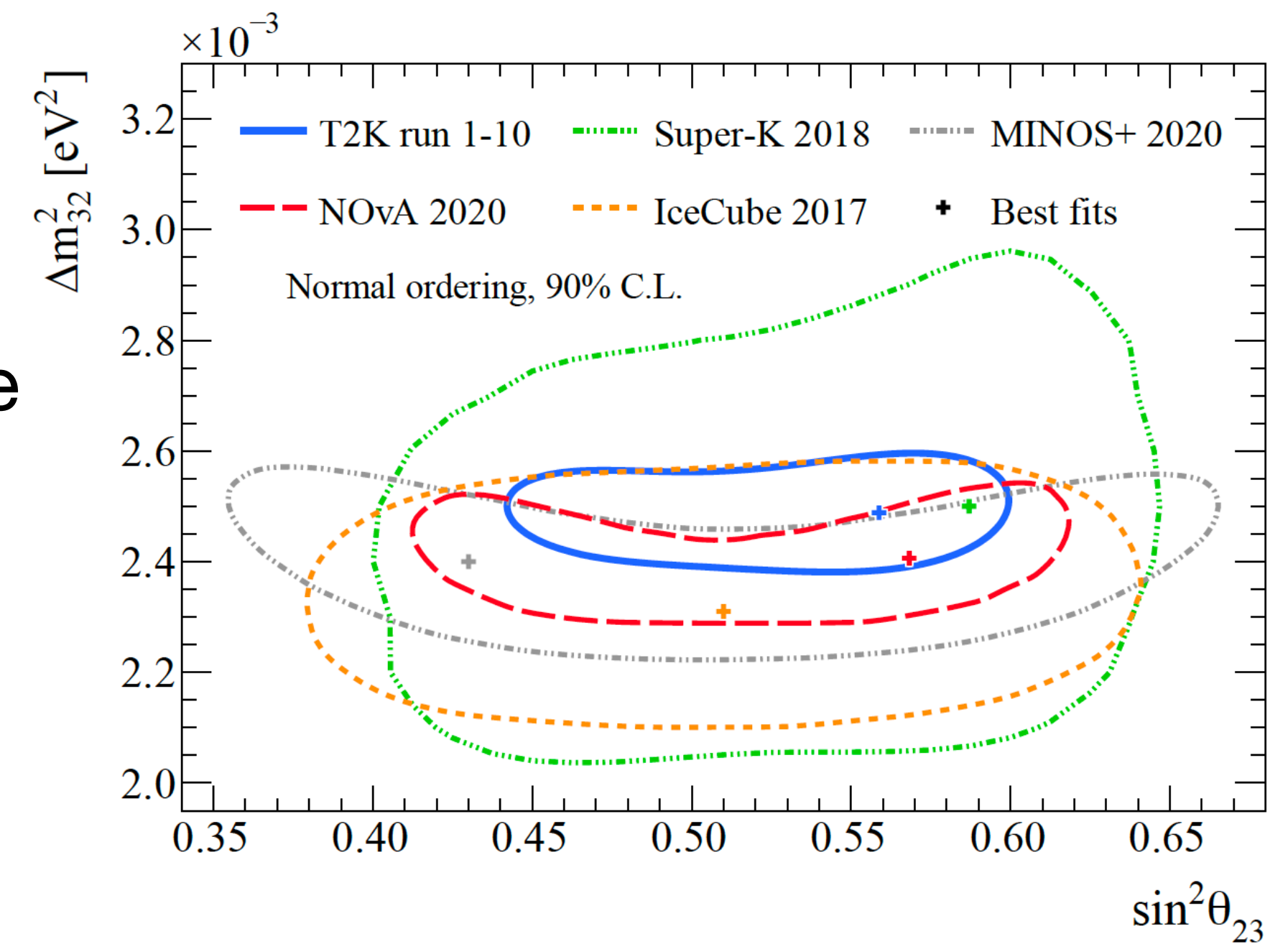
Some questions remain unanswered:

✓ Mass ordering?

✓ θ_{23} octant?

✓ CP violation?

Comparison of 90% confidence regions in $\sin^2 \theta_{23} - \Delta m_{32}^2$ between leading experiments.





Long baseline program

Physics goal

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos \theta_{23} & \sin \theta_{23} \\ 0 & -\sin \theta_{23} & \cos \theta_{23} \end{pmatrix} \begin{pmatrix} \cos \theta_{13} & 0 & \sin \theta_{13} e^{-i\delta_{CP}} \\ 0 & 1 & 0 \\ -\sin \theta_{13} e^{i\delta_{CP}} & 0 & \cos \theta_{13} \end{pmatrix} \begin{pmatrix} \cos \theta_{12} & \sin \theta_{12} & 0 \\ -\sin \theta_{12} & \cos \theta_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

LBL program sensitive to combination of Δm_{32}^2 , θ_{23} , δ_{CP} and θ_{13}

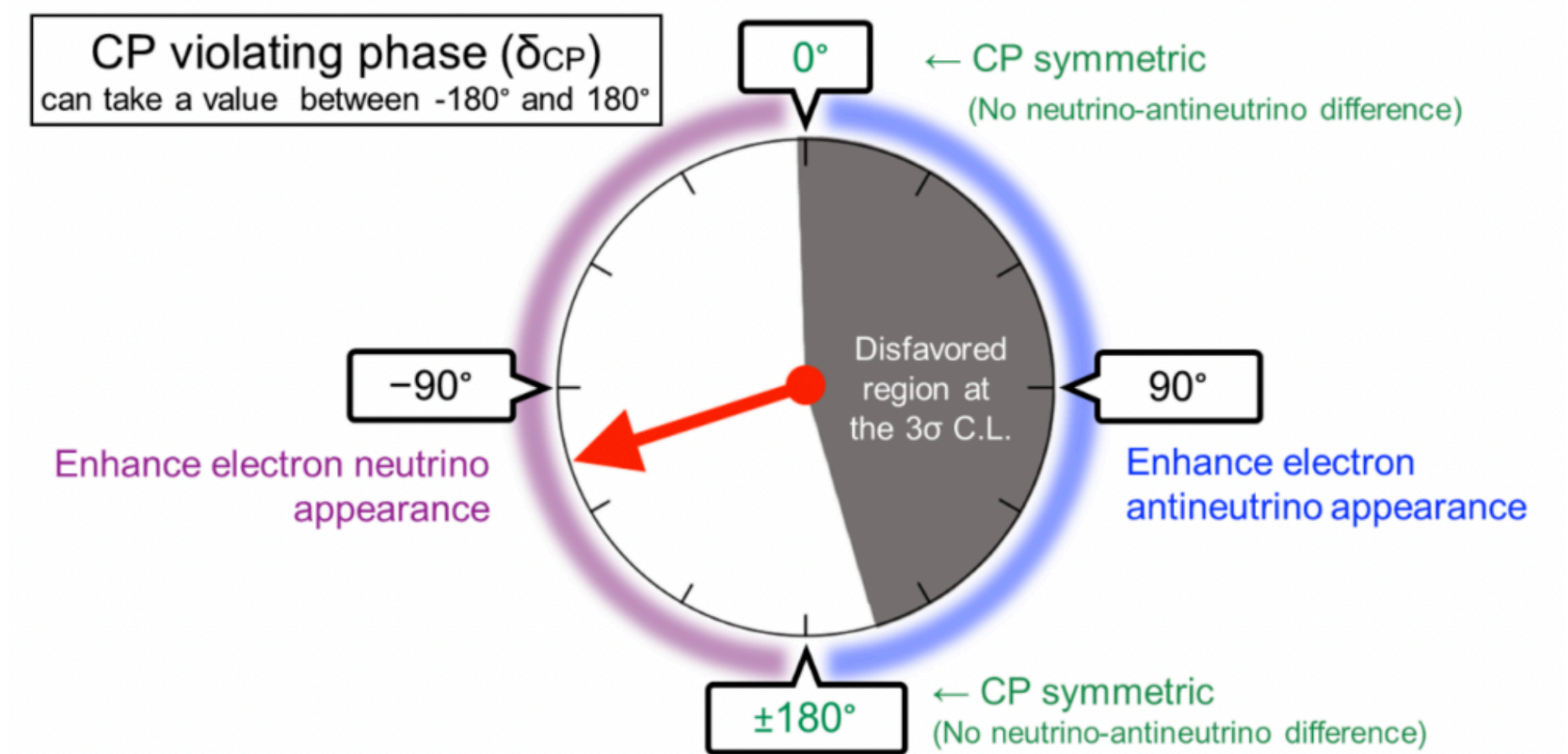
Some questions remain unanswered:

✓ Mass ordering?

✓ θ_{23} octant?

✓ CP violation?

Sensitivity with ν_e and $\bar{\nu}_e$ samples



Hint of maximal CP violation with enhanced ν_e appearance from T2K.

Credit: <https://j-parc.jp/c/en/press-release/2020/04/16000517.html>

Long baseline program

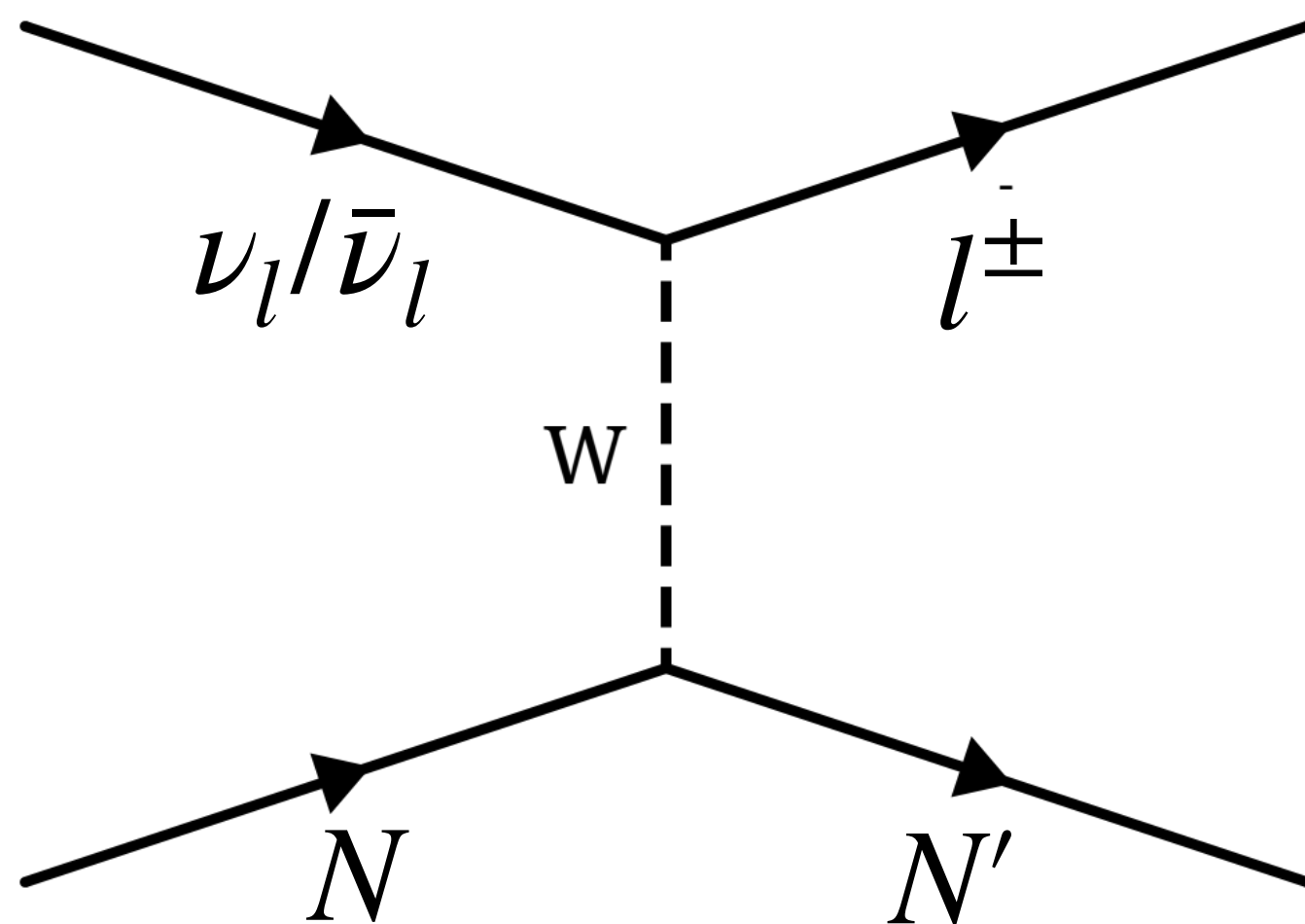
Oscillation parameters measurements

The **oscillation/survival probability** depends on the **energy** and the **oscillation parameters**.

To measure the oscillation parameters, we need to:

- **Count the $\nu_e(\bar{\nu}_e)/\nu_\mu(\bar{\nu}_\mu)$**
- **Measure their energies**

At 600MeV, the most common neutrino interaction is the **Charged Current Quasi-Elastic**



$$E_\nu^{rec} = \frac{(M_n - E_b)E_l - m_l^2/2 + m_n E_b - E_b^2/2 + (m_p^2 - m_n^2)/2}{M_n - E_b - E_l + p \cos \theta}$$

Reconstructed neutrino energy from measured lepton energy based on CCQE interaction with one ejected nucleon.

But the reality is more complex...

See Hayato-san's talk

Long baseline program

Oscillation parameters measurements

The **oscillation/survival probability** depends on the **energy** and the **oscillation parameters**.

To measure the oscillation parameters, we need to:

- Count the $\nu_e(\bar{\nu}_e)/\nu_\mu(\bar{\nu}_\mu)$
- Measure their energies

Five event samples* are considered:

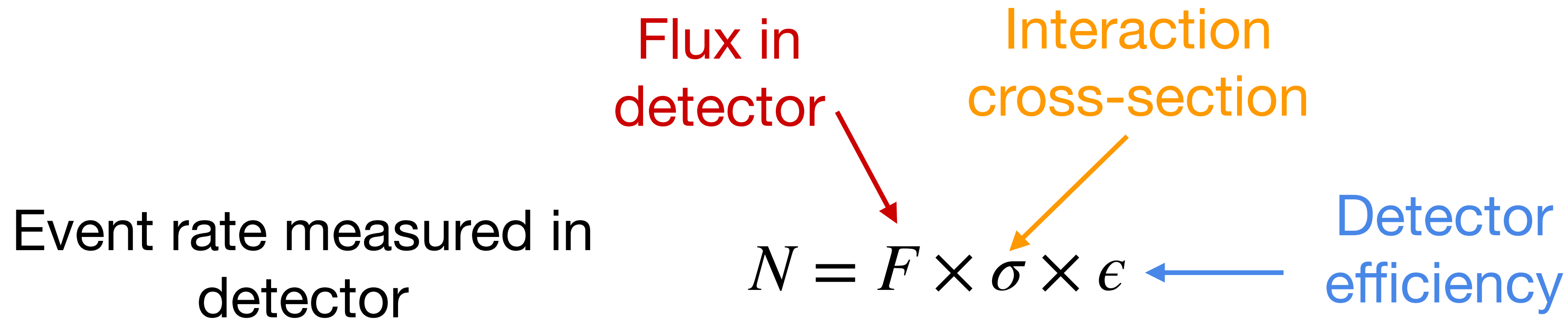
- ν beam, 1-ring μ -like
- $\bar{\nu}$ beam, 1-ring μ -like
- ν beam, 1-ring e-like
- $\bar{\nu}$ beam, 1-ring e-like
- ν beam, 1-ring e-like + 1 decay e

From the decay chain of a pion produced during the neutrino interaction



Long baseline program

Sources of uncertainties



Three sources of systematic effects:

Simultaneously constrained at the near detectors
+ external experimental constraints*

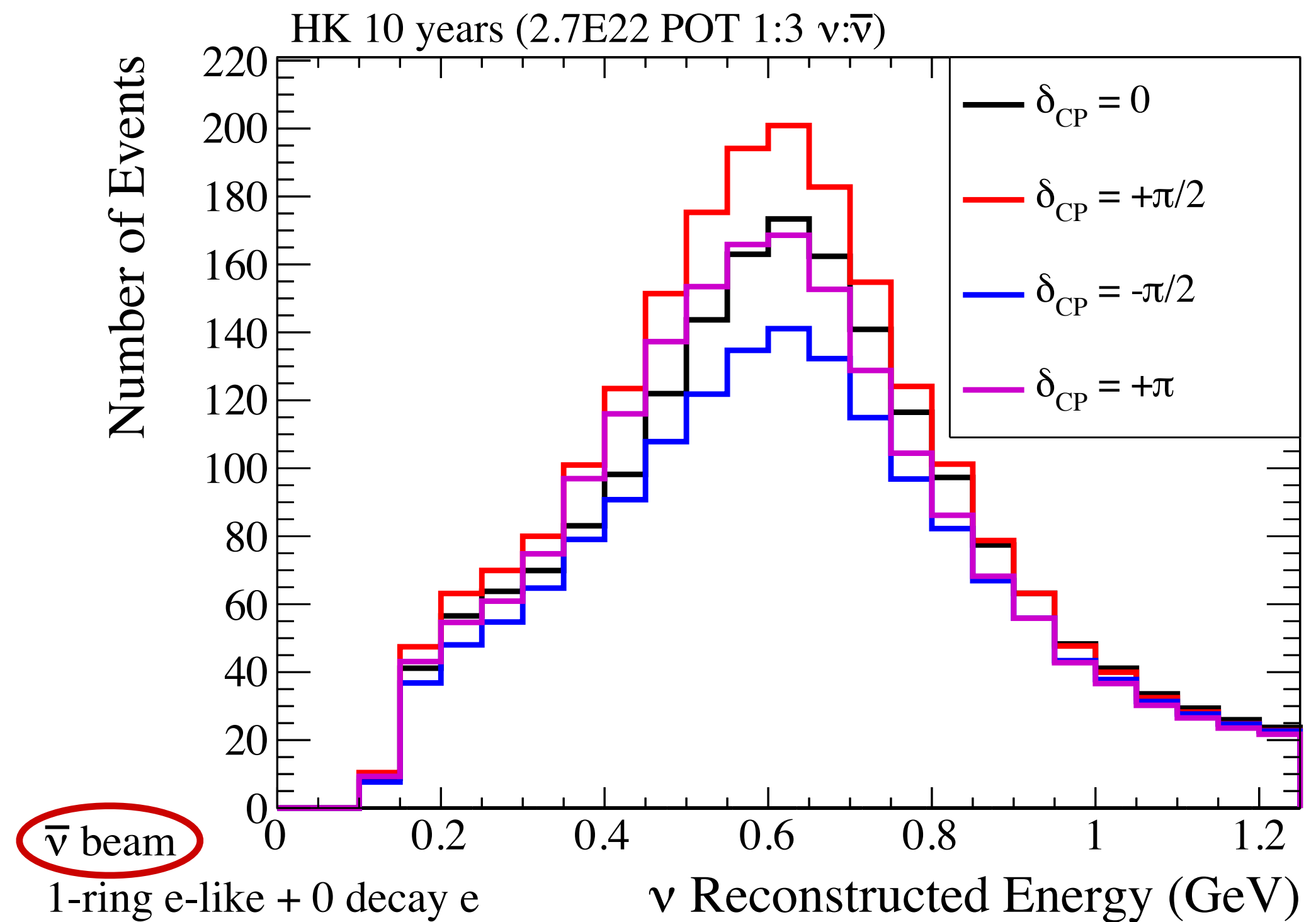
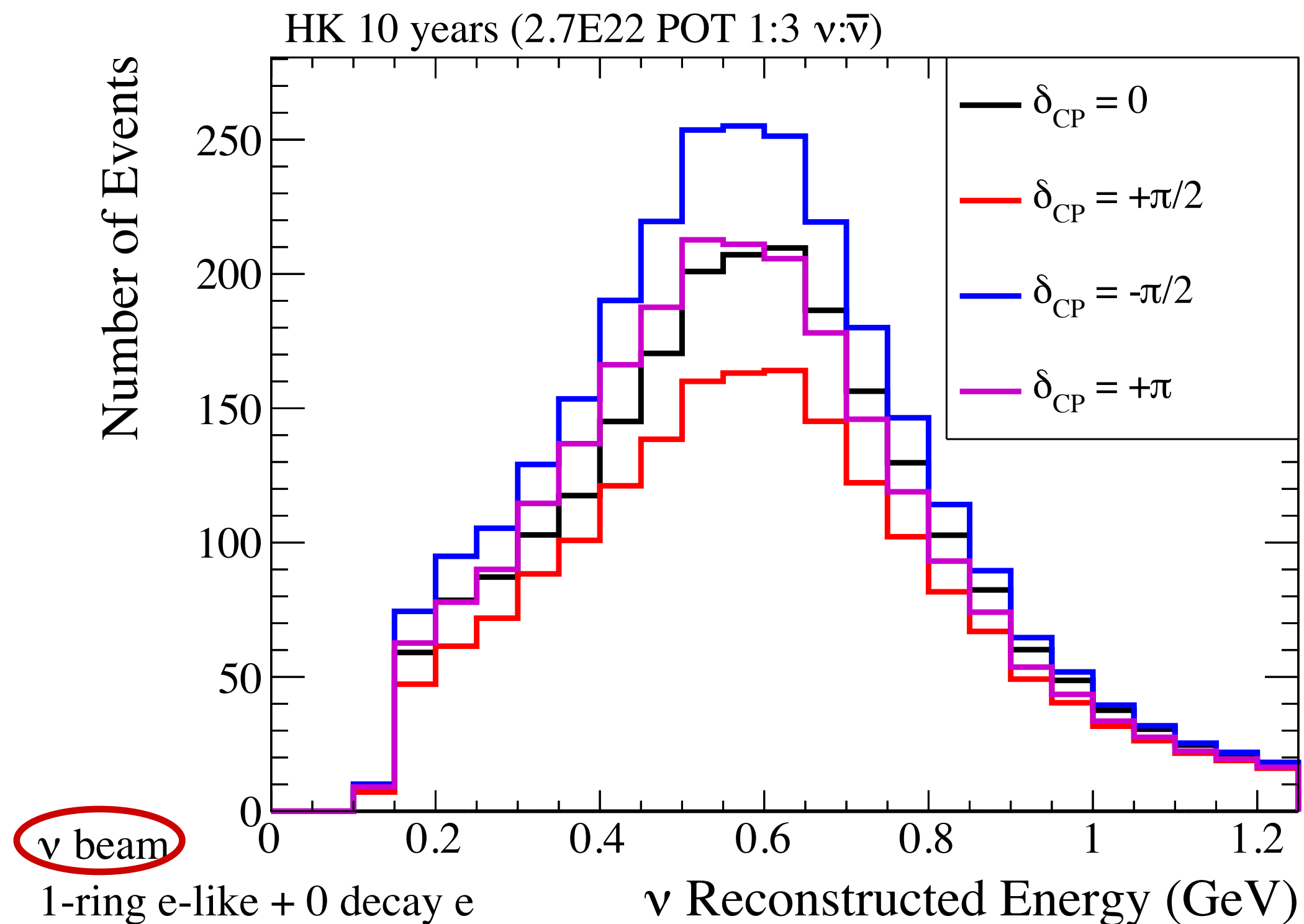
- ▶ Neutrino Flux
- ▶ Neutrino interaction
- ▶ Detector efficiency

* e.g.: see Lu Ren's talk on NA61/SHINE neutrino program to reduce flux uncertainties due to hadron production

Long baseline program

Oscillation parameters measurements

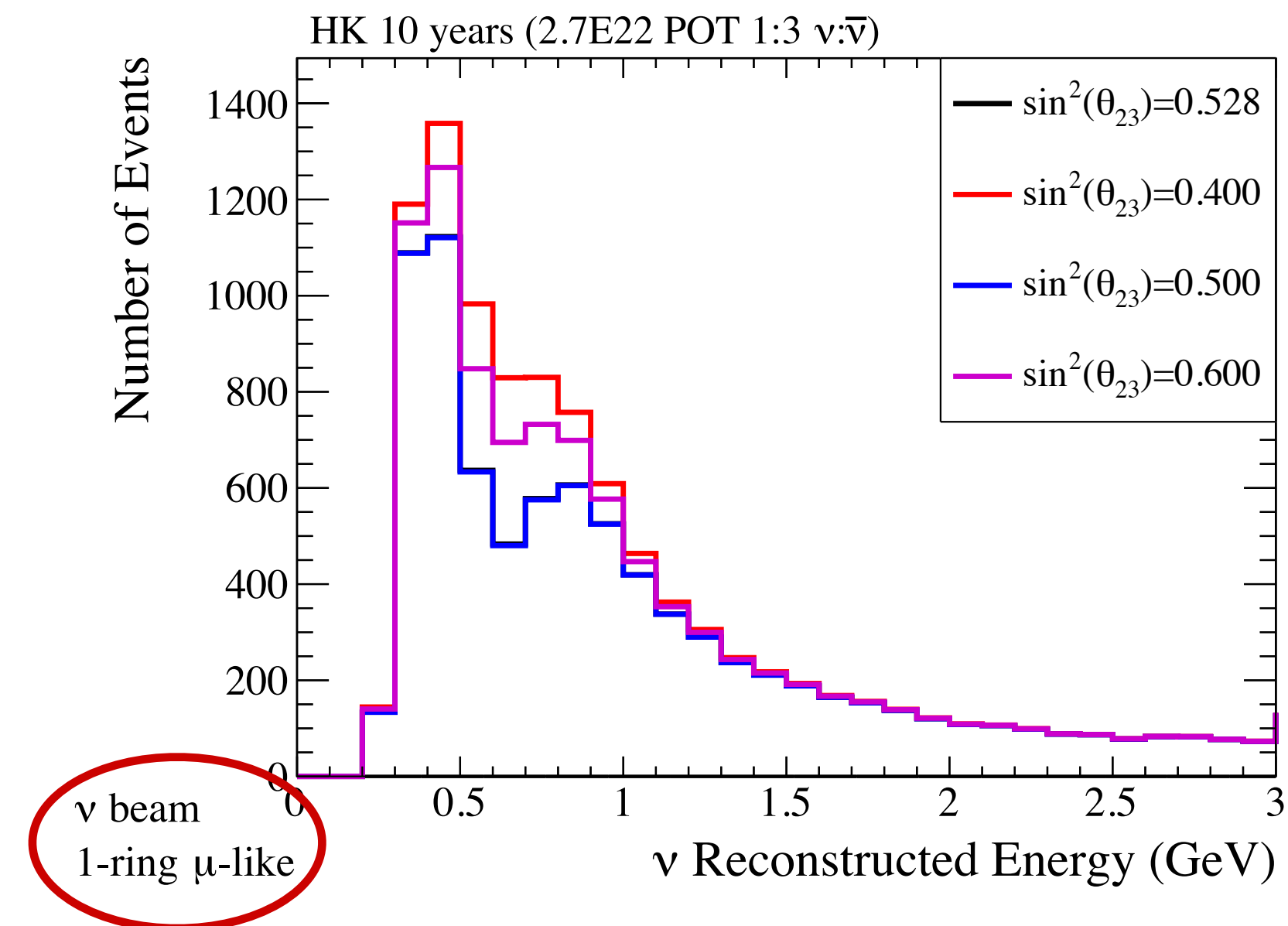
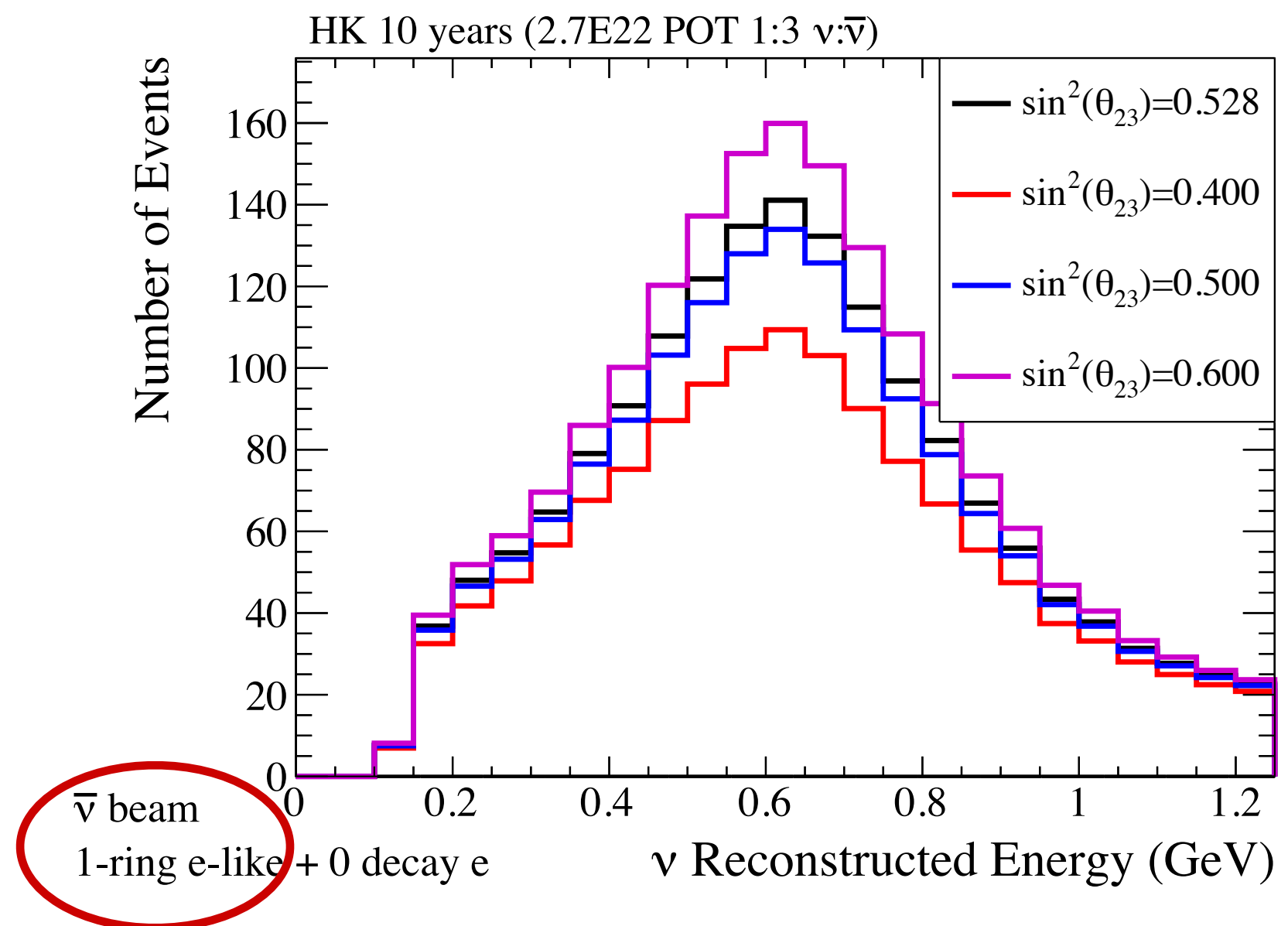
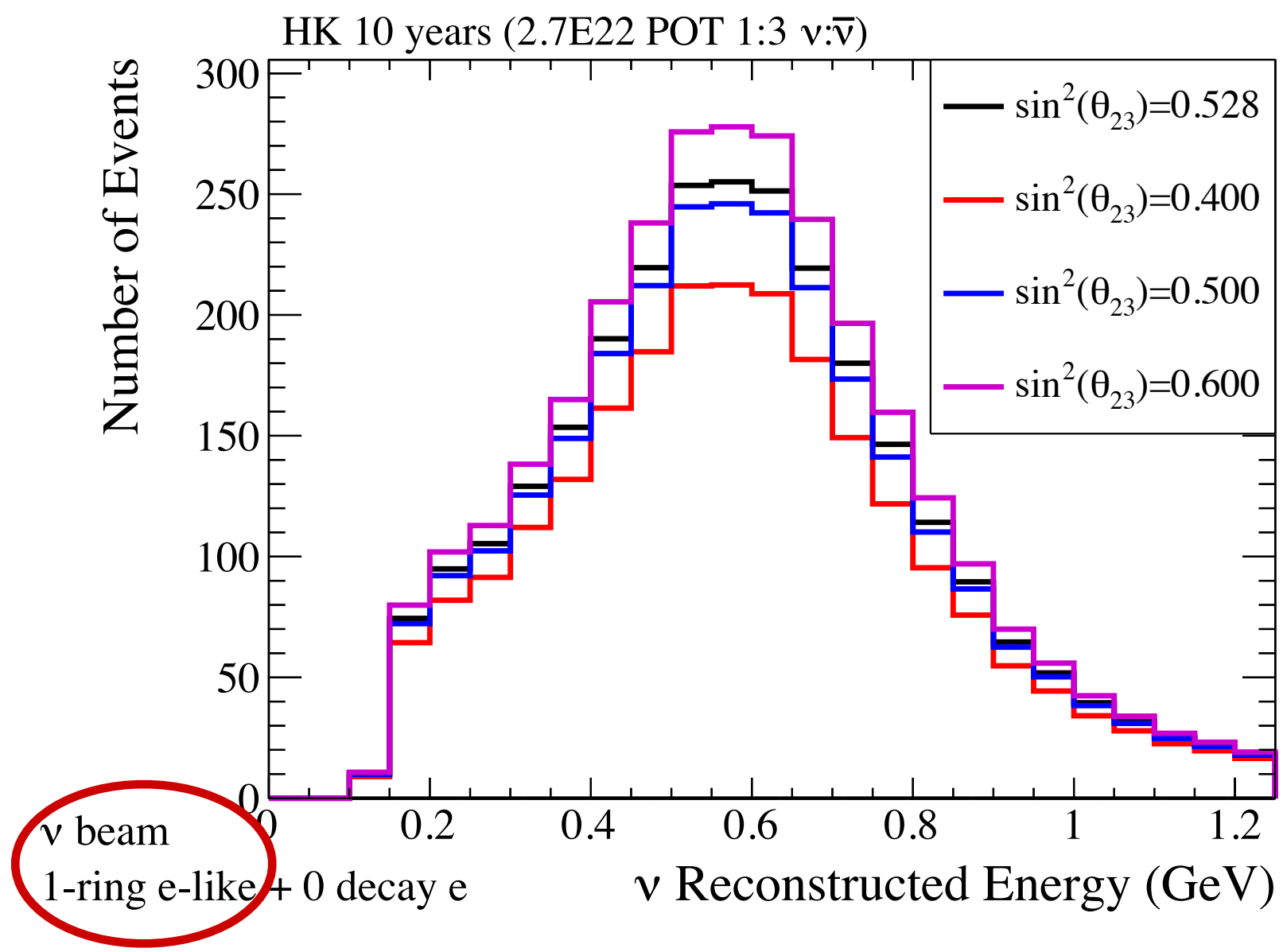
Expected event rates in e-like samples: impact of δ_{CP}



Long baseline program

Oscillation parameters measurements

Expected event rates: impact of $\sin^2 \theta_{23}$

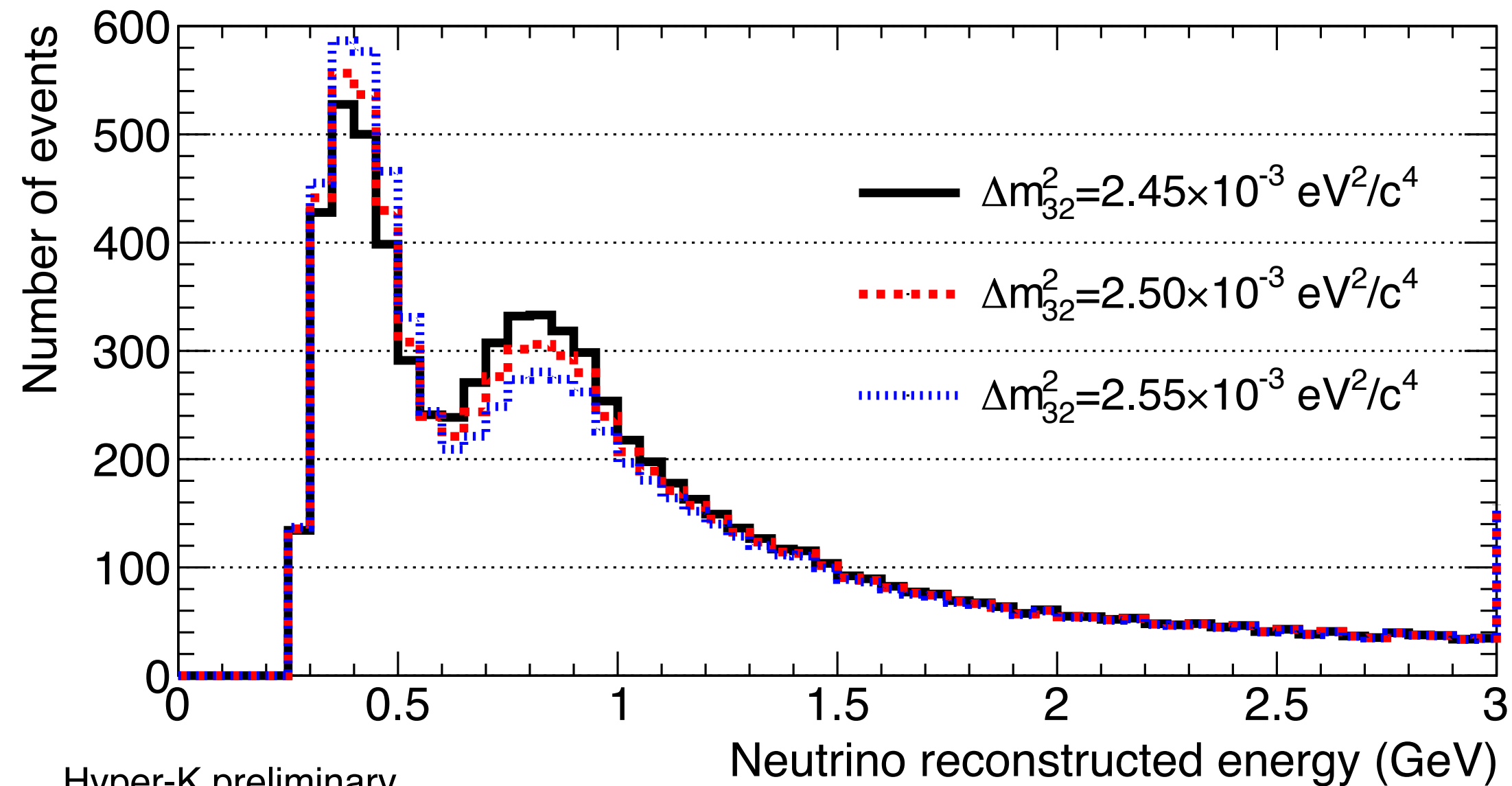


Long baseline program

Oscillation parameters measurements

Expected event rates in μ -like samples: impact of Δm_{32}^2

Far Detector, ν mode, 1-ring μ -like

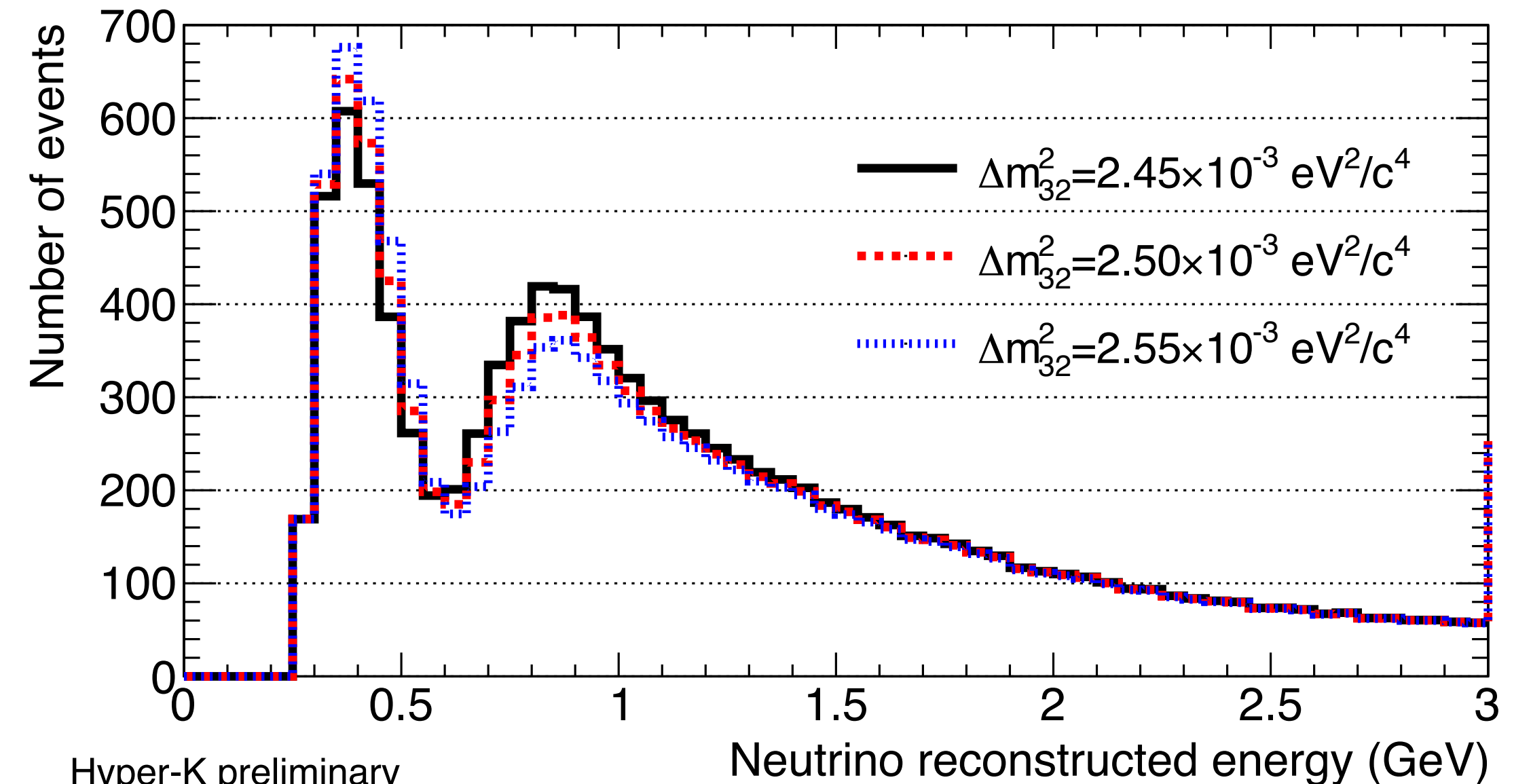


Hyper-K preliminary

10 years (2.7×10^{22} POT 1:3 $\nu:\bar{\nu}$)

Normal Ordering, $\sin^2\theta_{13}=0.0218$, $\sin^2\theta_{23}=0.528$, $\delta_{CP}=-1.601$

Far Detector, $\bar{\nu}$ mode, 1-ring μ -like



Hyper-K preliminary

10 years (2.7×10^{22} POT 1:3 $\nu:\bar{\nu}$)

Normal Ordering, $\sin^2\theta_{13}=0.0218$, $\sin^2\theta_{23}=0.528$, $\delta_{CP}=-1.601$

Systematic uncertainties

Expected uncertainty on event rates in each sample at HK with T2K ND280 constraints* on flux+cross-section parameters

Error source	1 ring μ -like		1 ring e-like			
	ν -mode	$\bar{\nu}$ -mode	ν -mode CCQE-like	$\bar{\nu}$ -mode CCQE-like	ν -mode CC1 π -like	ν -/ $\bar{\nu}$ -mode CCQE-like
Flux + Cross section	3,27 %	2,95 %	4,33 %	4,37 %	4,99 %	4,52 %
Detector + FSI + SI	3,22 %	2,76 %	4,14 %	4,39 %	17,77 %	2,06 %
All systematics	4,63 %	4,10 %	5,97 %	6,25 %	18,49 %	4,95 %

Systematic uncertainties

Expected uncertainty on event rates in each sample at HK with Improved ND constraints* on flux+cross-section parameters

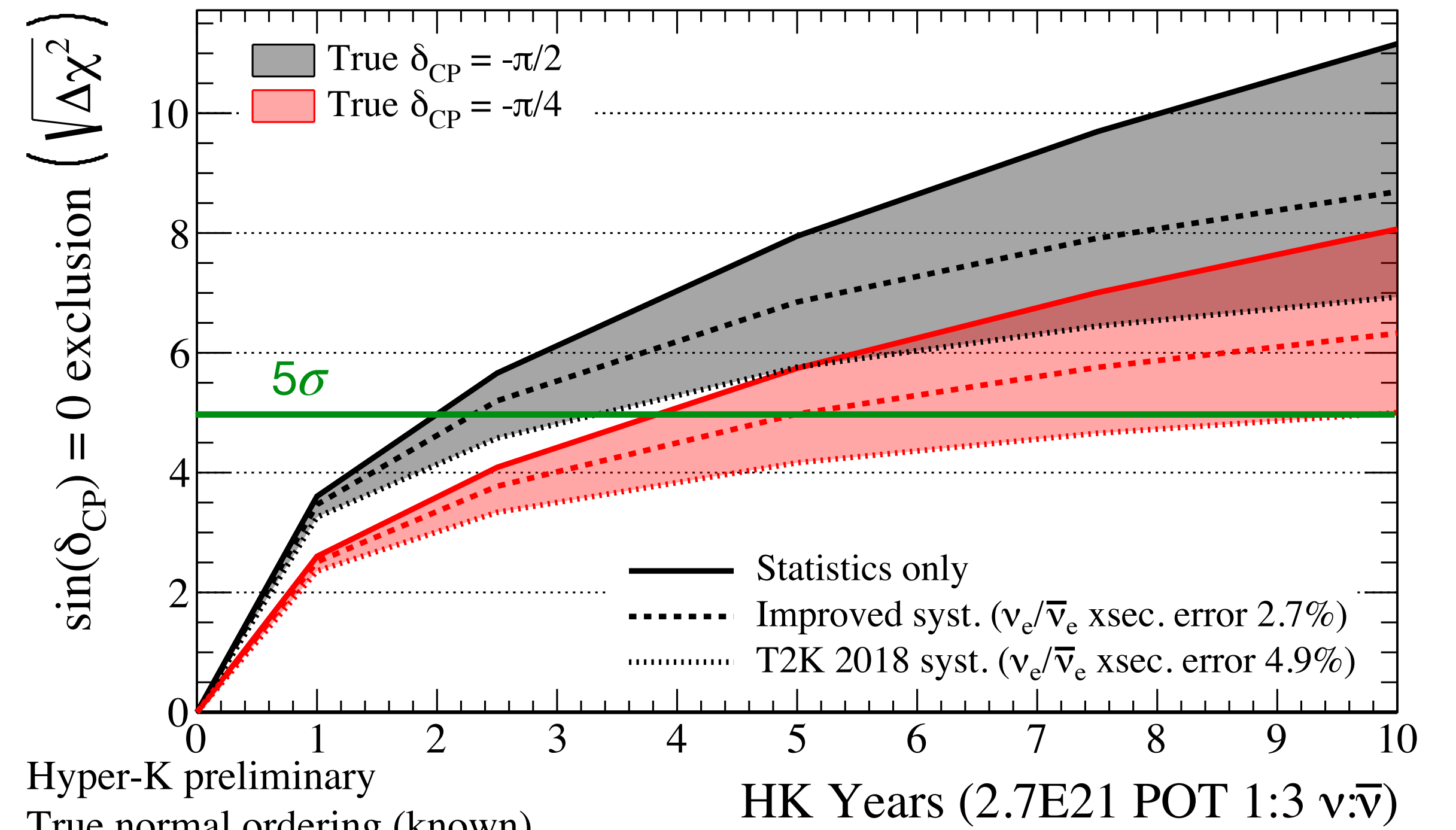
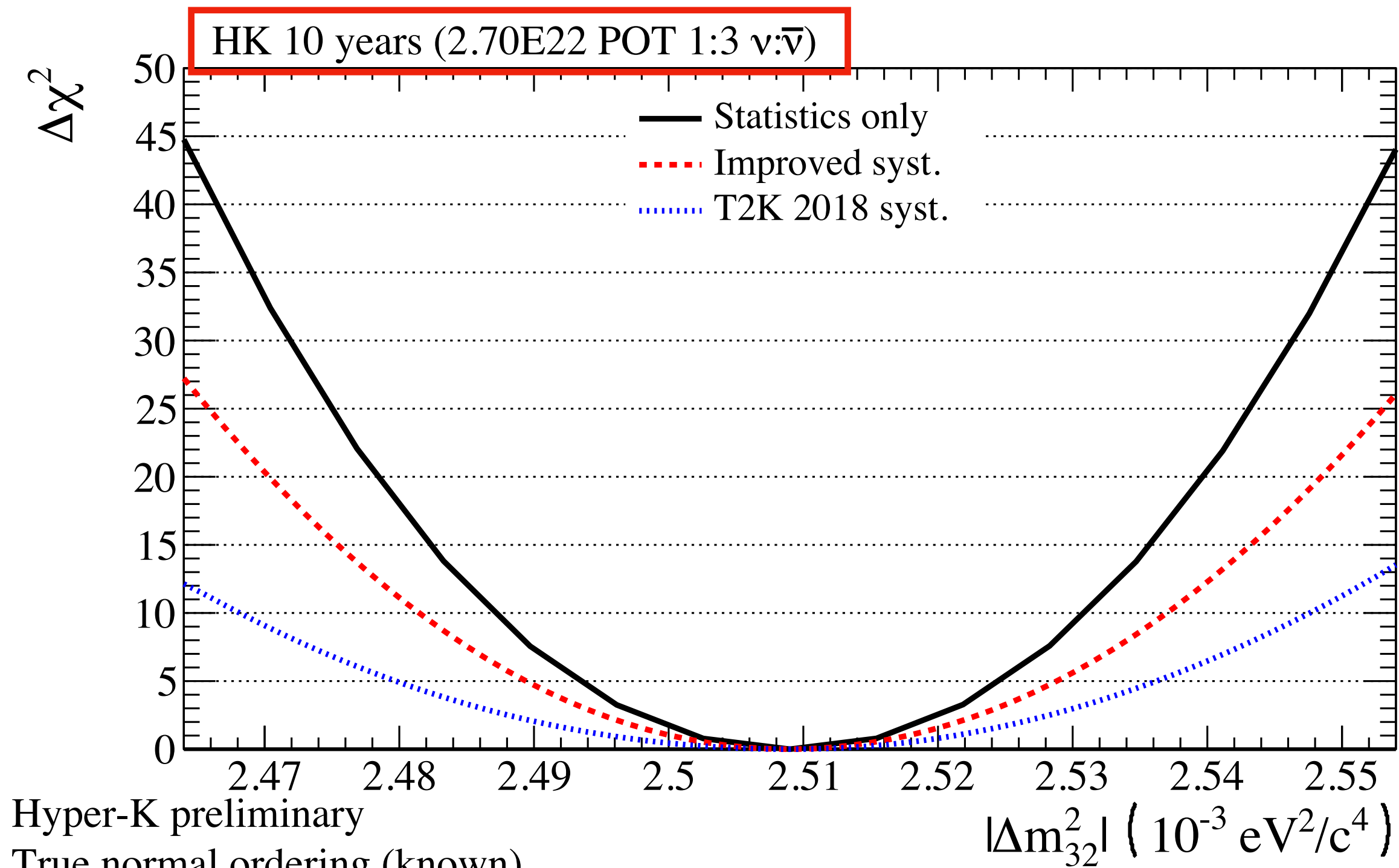
Error source	1 ring μ -like		1 ring e-like			
	ν -mode	$\bar{\nu}$ -mode	ν -mode CCQE-like	$\bar{\nu}$ -mode CCQE-like	ν -mode CC1 π -like	ν -/ $\bar{\nu}$ -mode CCQE-like
Flux + Cross section	0,81 %	0,72 %	2,07 %	1,88 %	2,21 %	2,28 %
Detector + FSI + SI	1,68 %	1,58 %	1,54 %	1,72 %	5,21 %	0,97 %
All systematics	1,89 %	1,74 %	2,56 %	2,53 %	5,63 %	2,45 %

*The improved systematic constraints are obtained from the T2K constraints where we shrink the errors on systematic parameters taking into account:

- The statistics increase
- The expected effects of the upgrades

Systematic uncertainties

Impact on the sensitivity



Systematic uncertainties will impact precision measurements, the potential discovery of CP violation...

Neutrino interactions uncertainties



Hyper-Kamiokande

At 600MeV, the most common neutrino interaction is the **Charged Current Quasi-Elastic**.

But the reality of neutrino interactions is more complex.

Uncertainties on neutrino interactions and cross-sections will impact:

- Expected event rates
- Energy reconstruction
- Model extrapolation from ND to FD

Need a **good modelling of those complex interactions!**

Take advantage of knowledge acquired with T2K

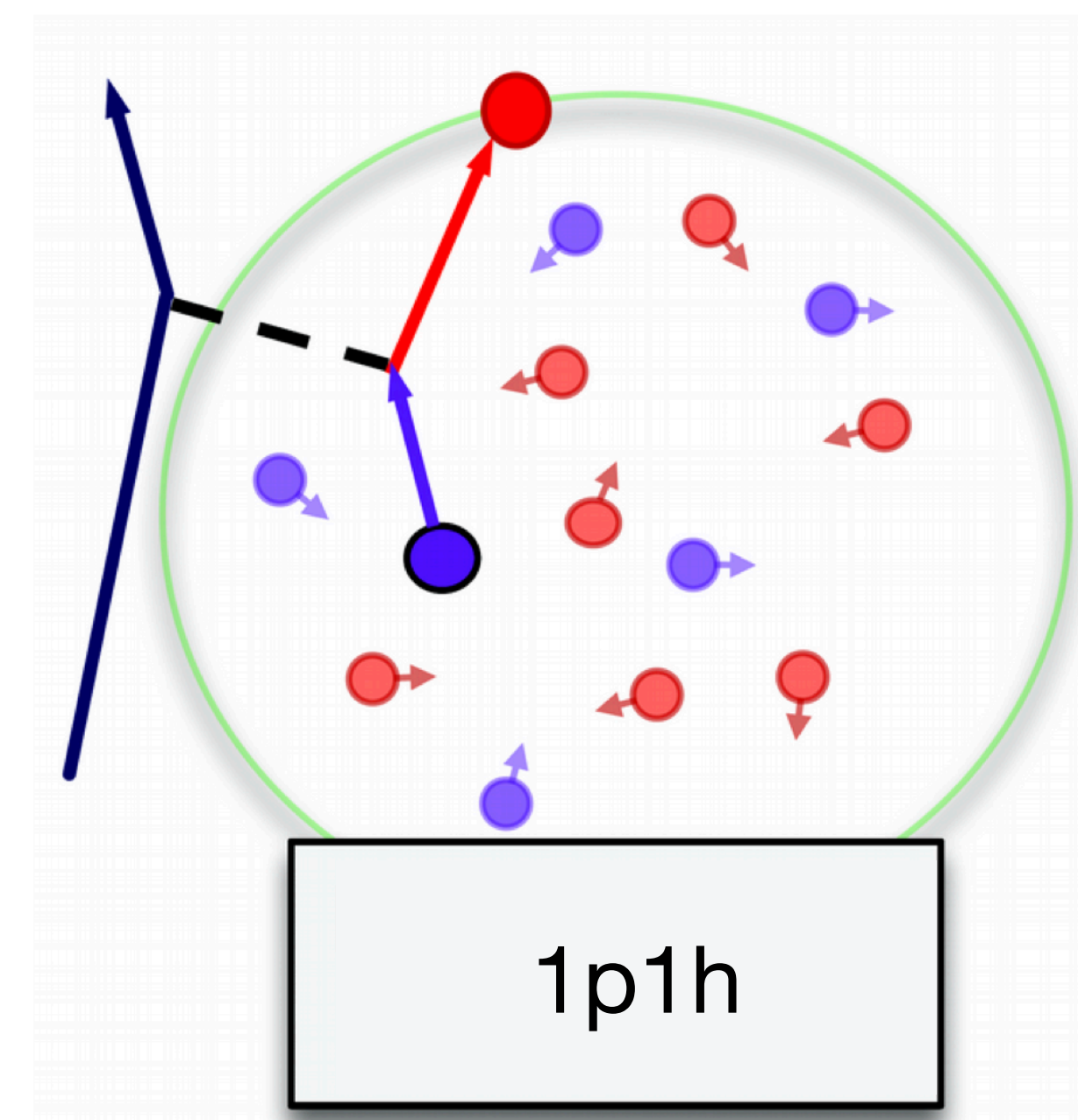
Neutrino interactions uncertainties



Hyper-Kamiokande

Five main sources of uncertainties considered in HK-LBL*:

1. **1p1h** (CCQE): interaction with a nucleon + 1 nucleon ejected
Need to take nuclear effects into account
2. 2p2h
3. CC1 π
4. Deep Inelastic Scattering
5. Final State Interaction



* Based on T2K error model in latest published results (ref. 1)

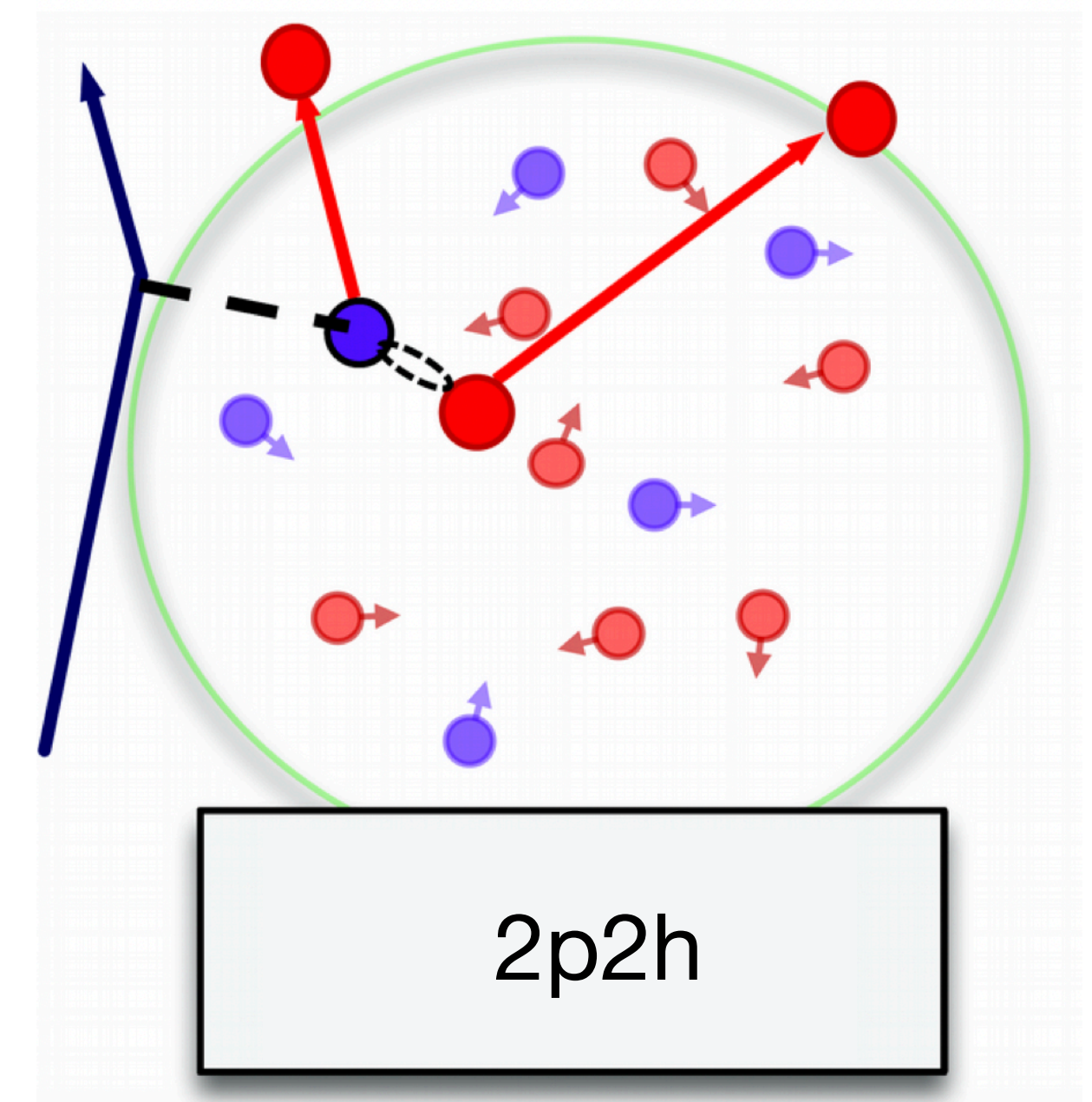
Neutrino interactions uncertainties



Hyper-Kamiokande

Five main sources of uncertainties considered in HK-LBL*:

1. 1p1h
2. **2p2h**: interaction with two nucleons instead of 1.
3. CC1 π
4. Deep Inelastic Scattering
5. Final State Interaction



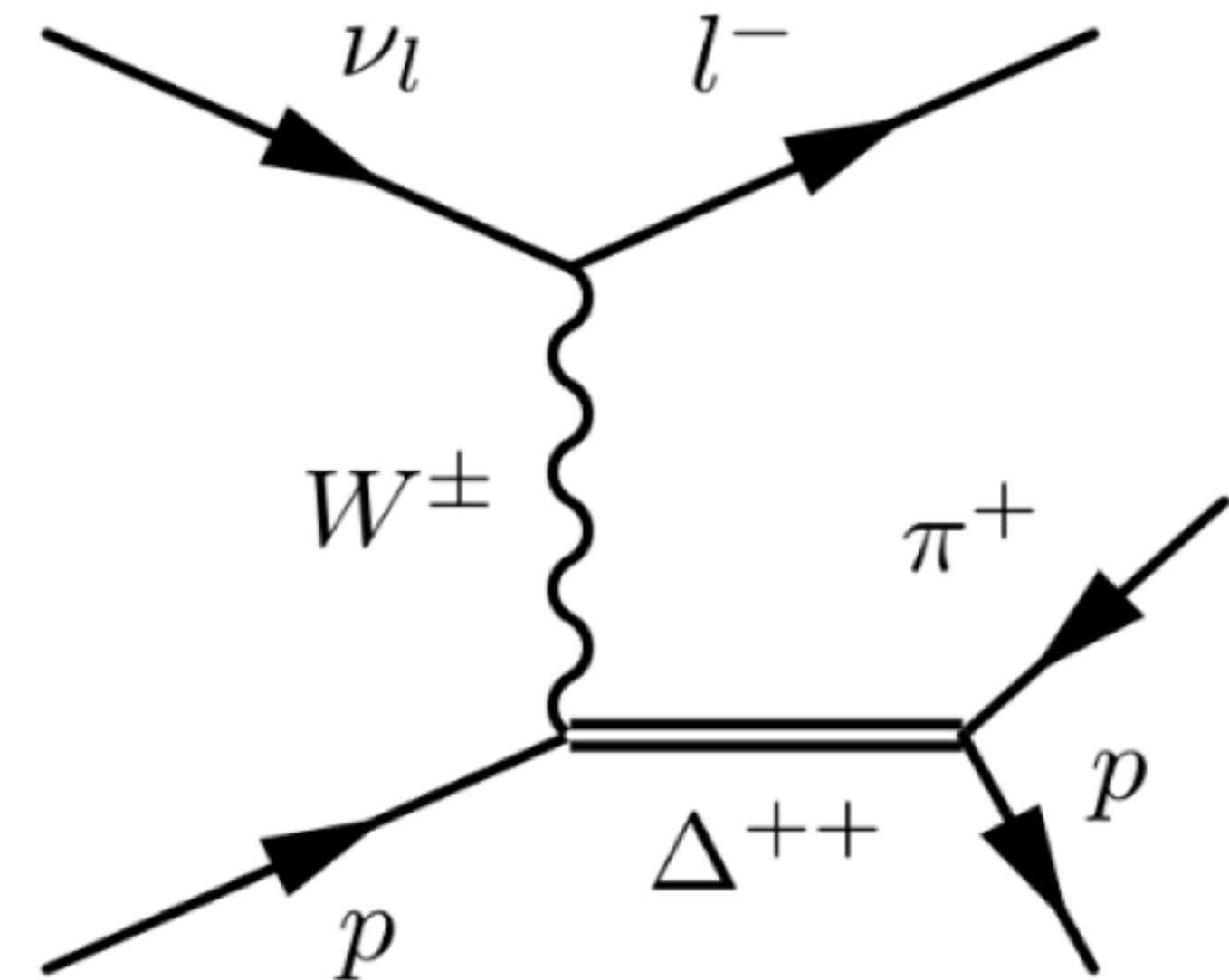
Neutrino interactions uncertainties



Hyper-Kamiokande

Five main sources of uncertainties considered in HK-LBL*:

1. 1p1h
2. 2p2h
3. **CC1 π** : a pion is produced by the neutrino interaction
4. Deep Inelastic Scattering
5. Final State Interaction



Example of CC1 π via a Δ^{++} resonance

Neutrino interactions uncertainties



Hyper-Kamiokande

Five main sources of uncertainties considered in HK-LBL*:

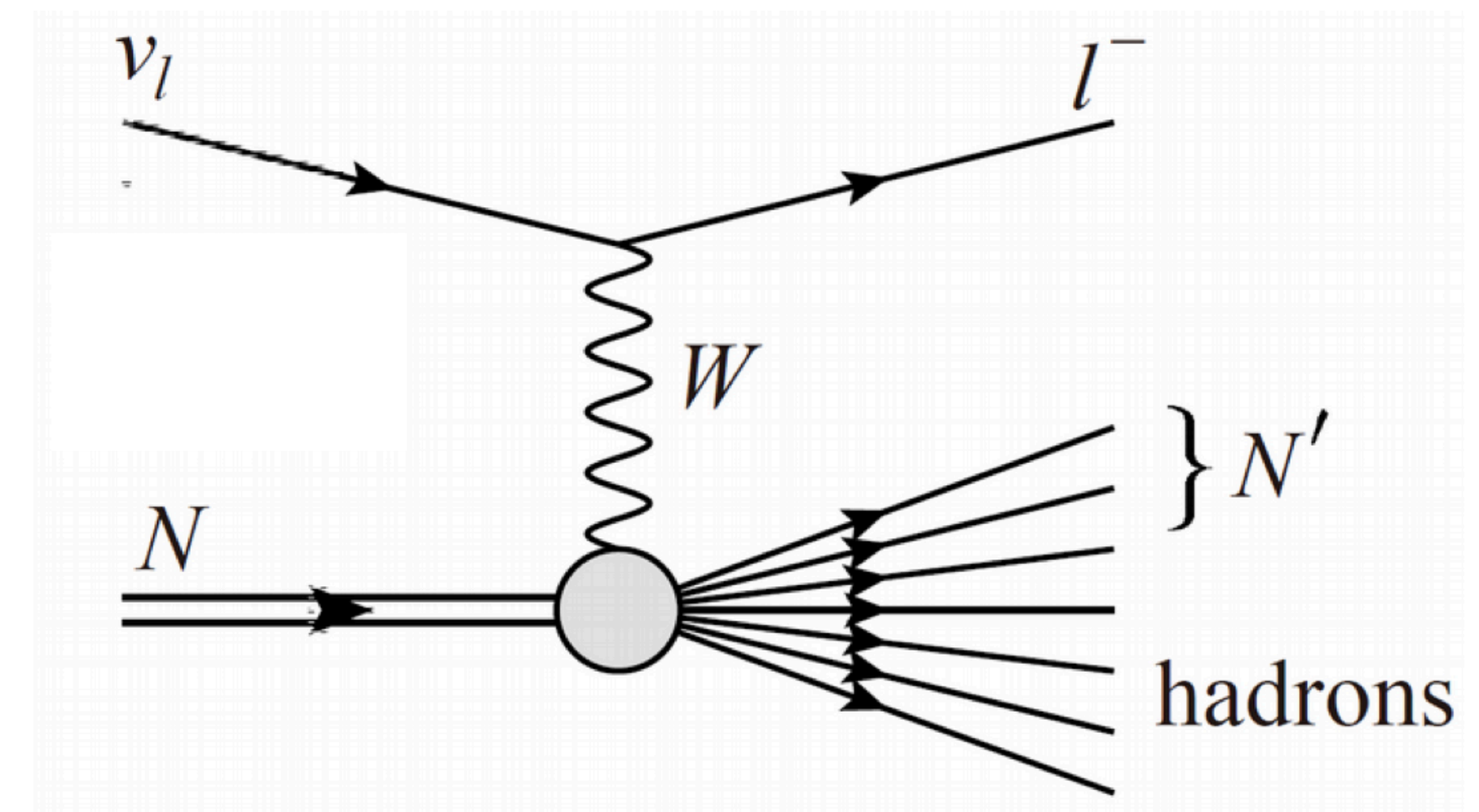
1. 1p1h

2. 2p2h

3. CC1 π

4. **Deep Inelastic Scattering:** very complicated final state

5. Final State Interaction



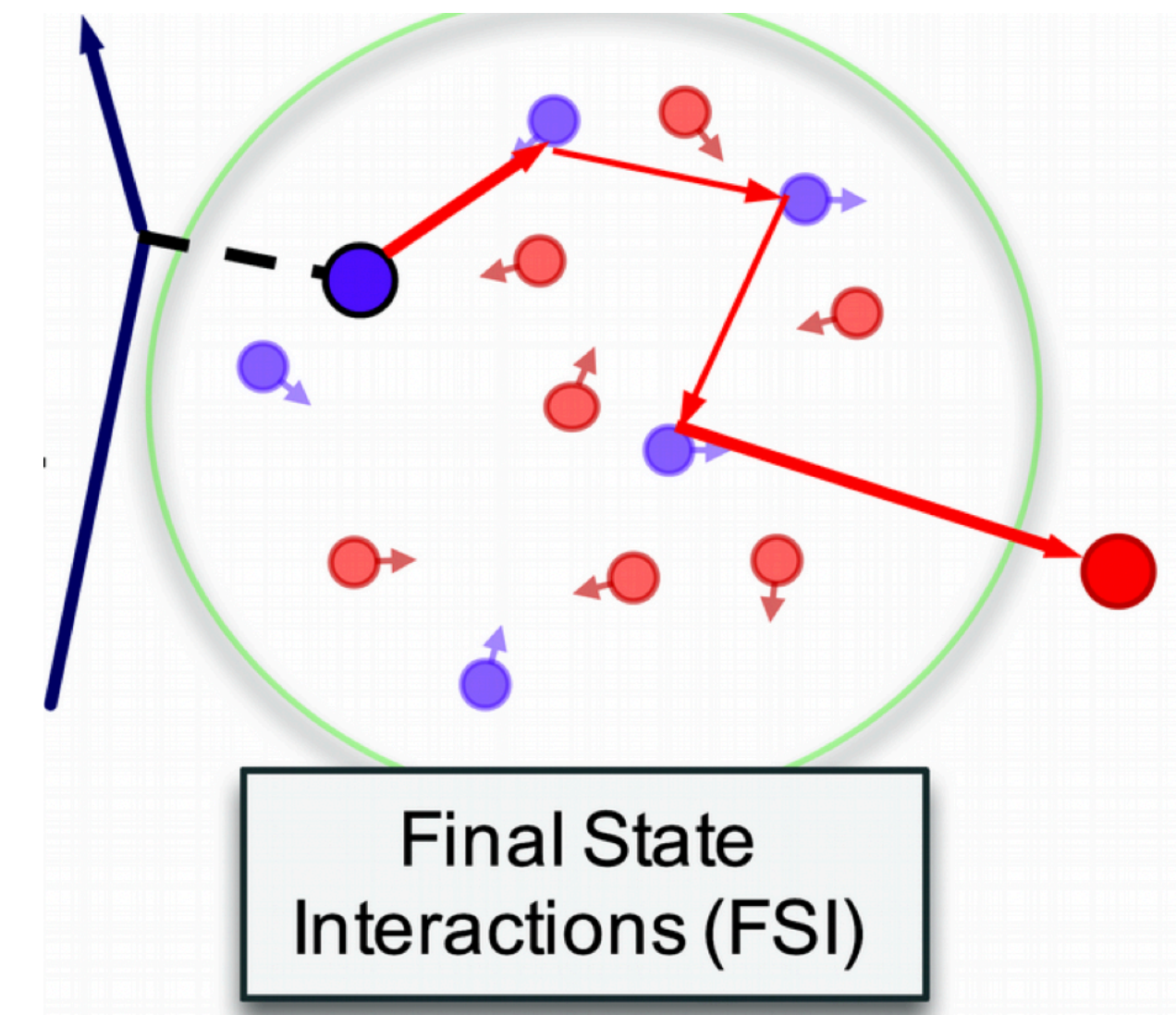
Neutrino interactions uncertainties



Hyper-Kamiokande

Five main sources of uncertainties considered in HK-LBL*:

1. 1p1h
2. 2p2h
3. CC1 π
4. Deep Inelastic Scattering
5. **Final State Interaction:** final state changed by interactions of produced particles in the nuclear medium



Plan to reduce systematics



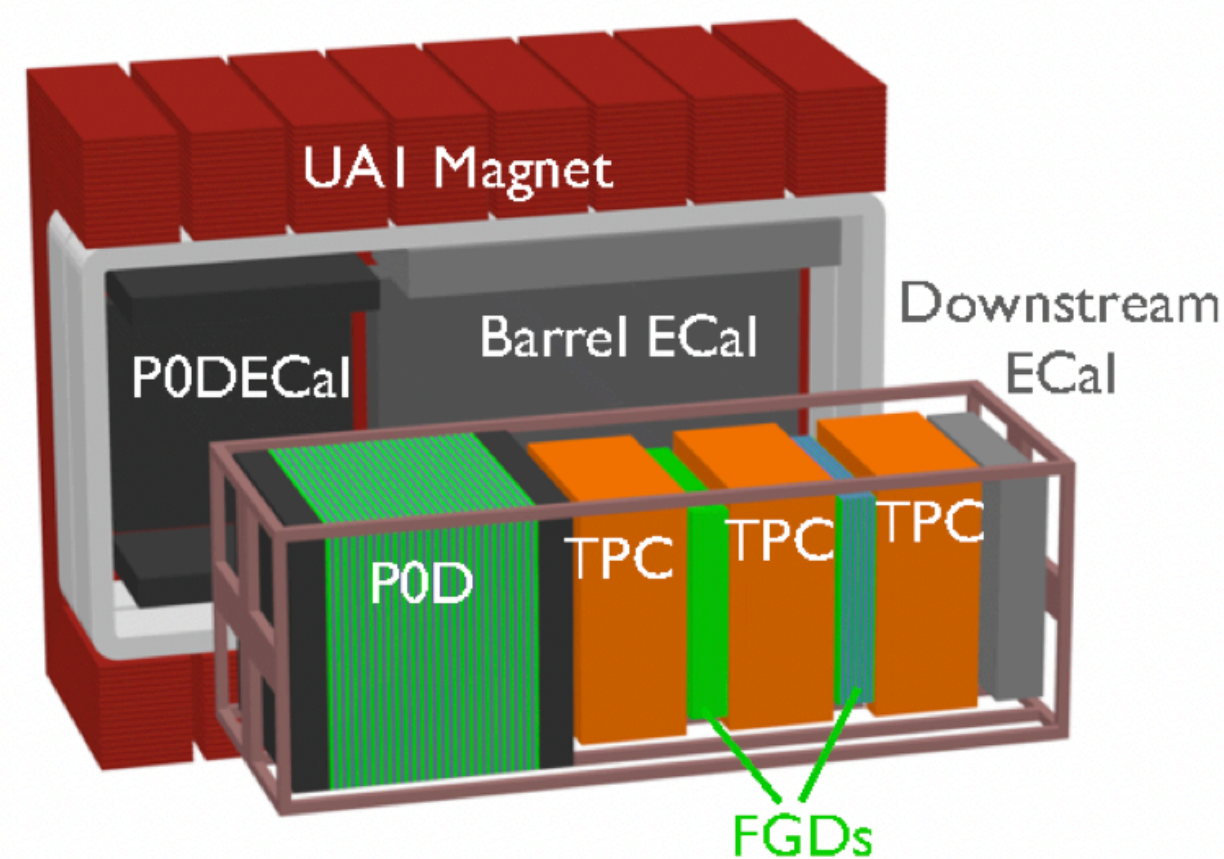
- **Good modelling** of neutrino interaction necessary to **optimise the parametrisation of uncertainties** in LBL experiments.
- **T2K regularly updates** this parametrisation: this **knowledge** will be very important for **HK**.
- Most **cross-section systematics constrained**, with the flux systematics, thanks to the **near detectors**. Some **upgrades** of T2K ND will be implemented to more efficiently constrain systematics.

Plan to reduce systematics

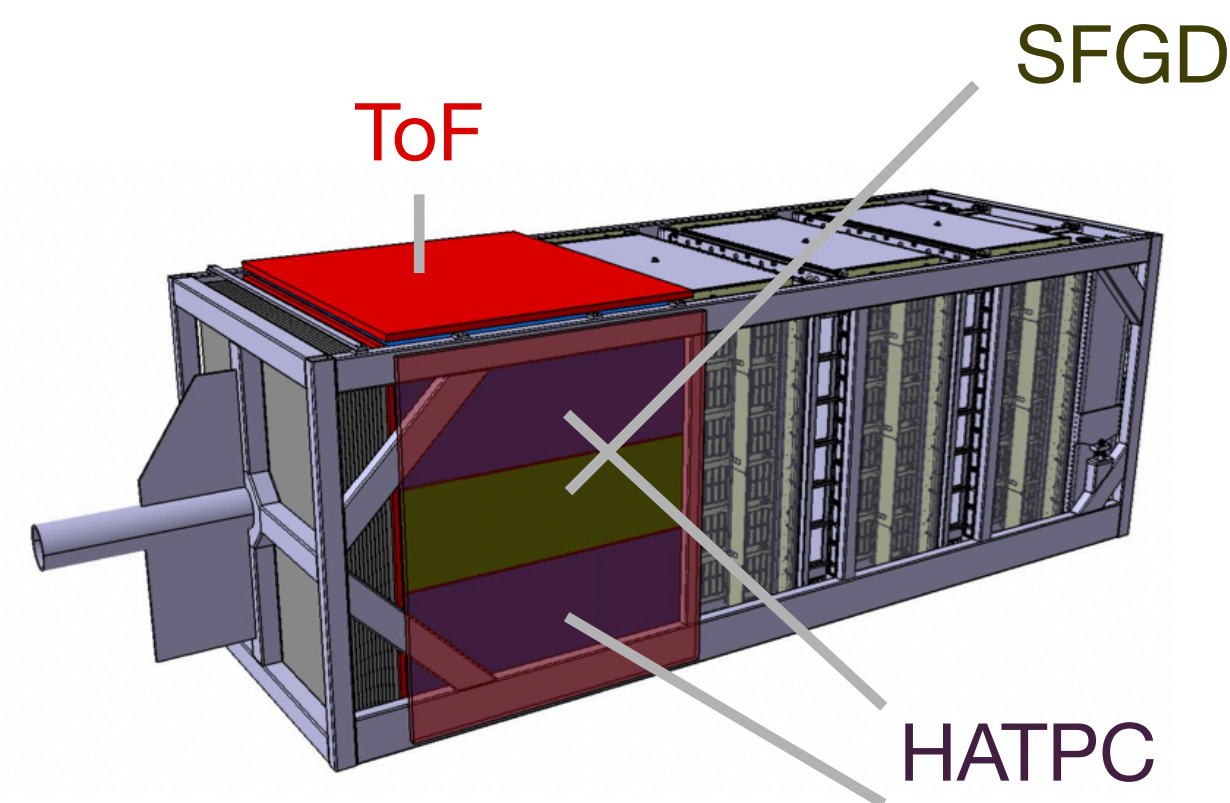
ND280 upgrade(s)

For T2K-II, ND280 already being upgraded*. **POD** detector replaced by:

- A **Super-Fine-Grained Detector** (2.1 million 1cm^3 scintillating cubes): higher reconstruction efficiency at high scattering angles
- Two **High Angle TPCS** (below and above SFGD)
- 6 **Time of Flight** panels: to measure direction of particles



Old ND280



ND280 after current upgrade:
Fiducial mass multiplied by 2
 + better angular coverage

R&D is ongoing for the upgrade of the second half of ND280 for HK

→ **ND280 upgrade ++**

Example: TPCs replaced by a **10 tons SFGD**, final fiducial mass multiplied by **5** compared to old ND280

* See ref. 2 and Xingyo Zhao's talk on ND280 upgrade for more details

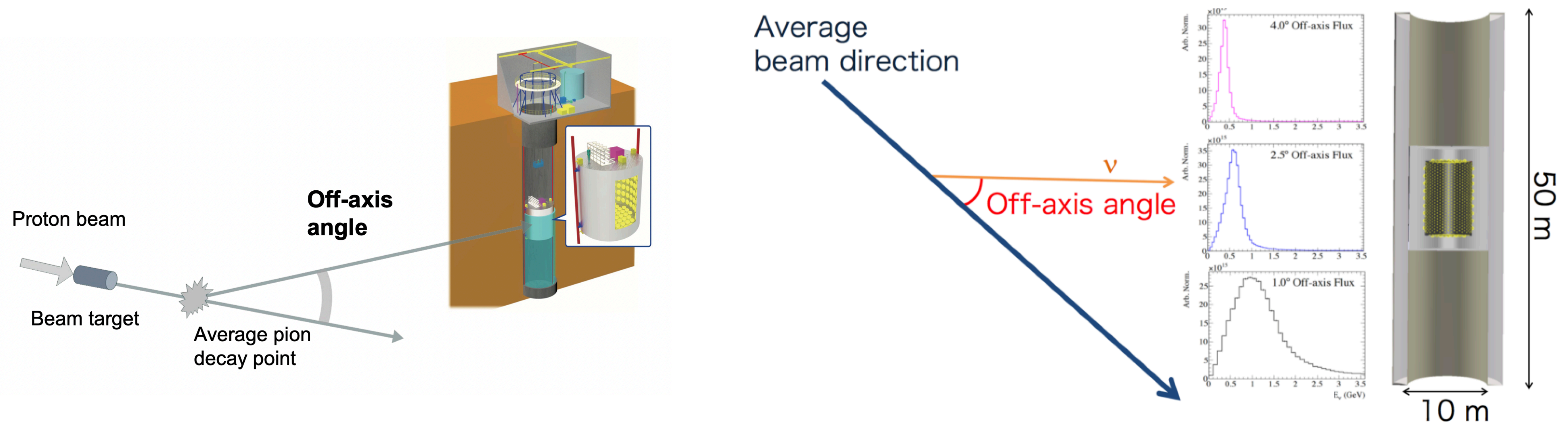


Plan to reduce systematics

IWCD

Plan to build a **vertically movable water Cherenkov** detector at $\sim 1\text{km}$ from target. Would present a lot of advantages to reduce neutrino interaction uncertainties:

- **Same target** material as FD
- Vertically movable = **varying off-axis** angle: allows to scan different flux configurations and increase statistics at higher neutrino energies (constrain non QE interactions)

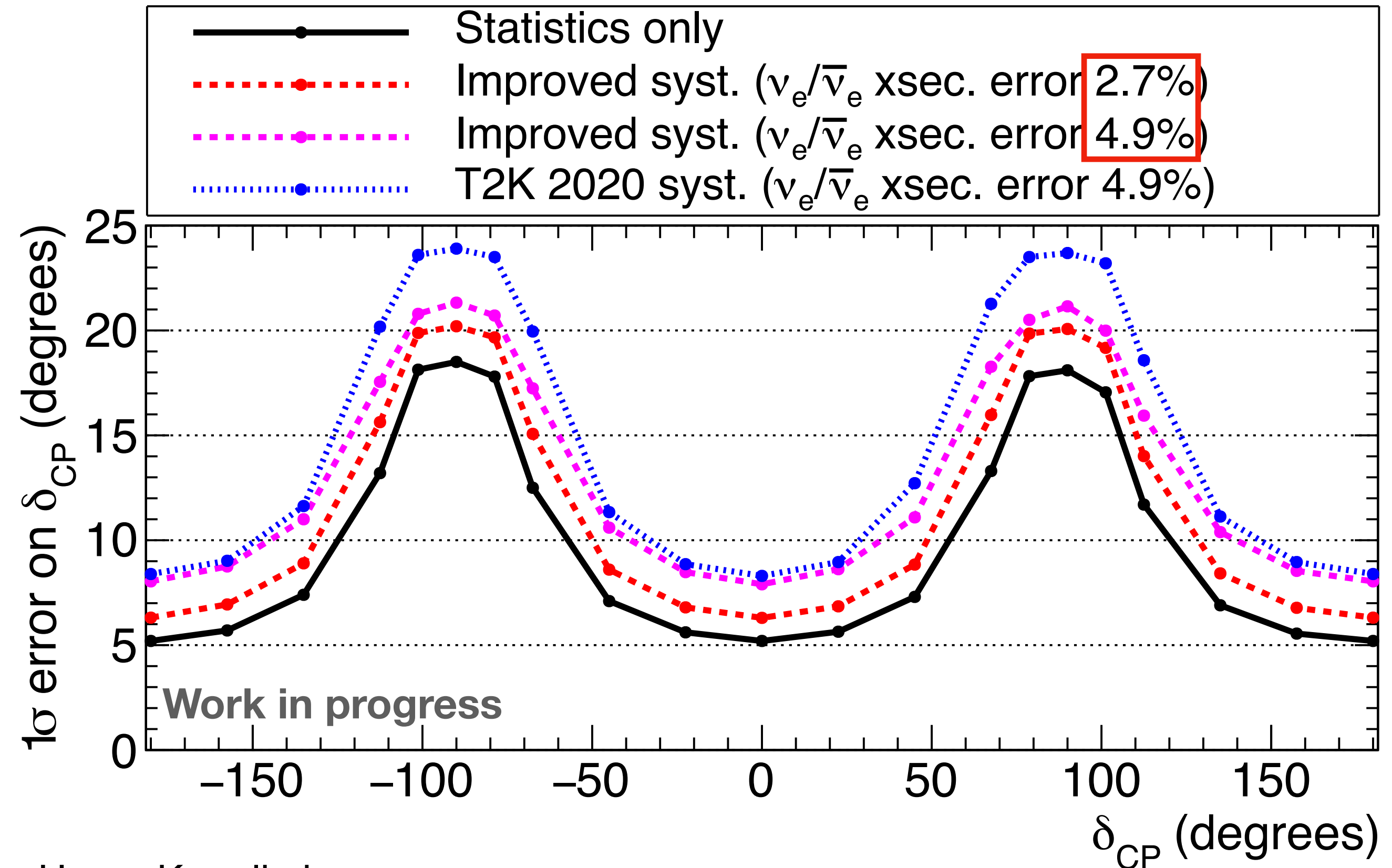




Plan to reduce systematics

Example: $\nu_e/\bar{\nu}_e$ cross-section ratio uncertainty

- $\nu_e/\bar{\nu}_e$ cross-section ratio uncertainty measurement **challenging** due to **very low statistics**
- In **T2K**, ND280 $\nu_e(\bar{\nu}_e)$ selection not pure/efficient enough to constrain this systematic: use **theoretical constraint of 4.9%**.
- For **HK**, **experimentally constrain** $\nu_e/\bar{\nu}_e$ cross-section ratio with ND280 upgrade (++) and IWCD



Hyper-K preliminary

True normal ordering (known), **HK 10 Years (2.7×10^{22} POT 1:3 $\nu:\bar{\nu}$)**

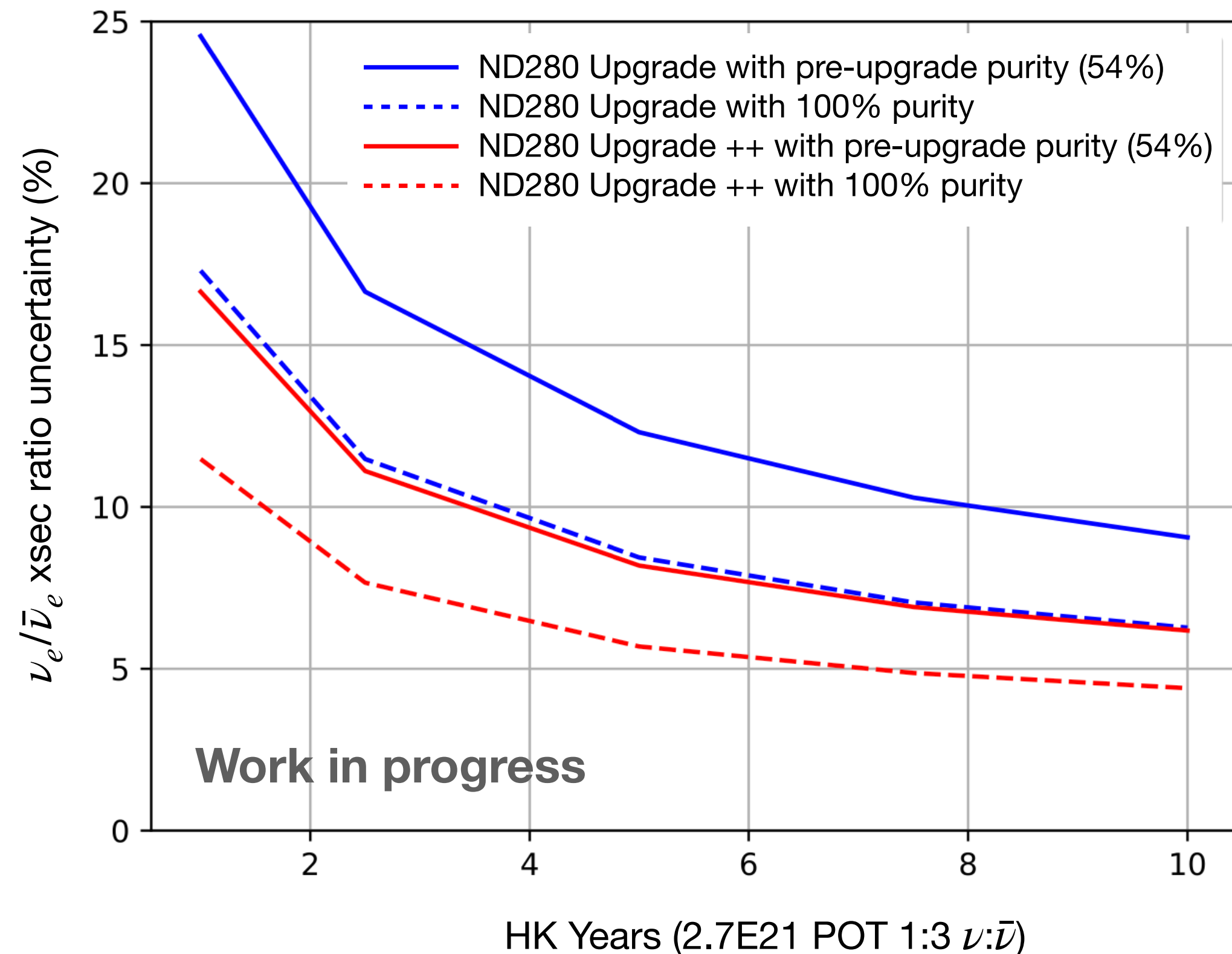
$\sin^2\theta_{13}=0.0218 \pm 0.0007$, $\sin^2\theta_{23}=0.528$, $\Delta m_{32}^2=2.509 \times 10^{-3} \text{eV}^2/c^4$



Plan to reduce systematics

Example: $\nu_e/\bar{\nu}_e$ cross-section ratio uncertainty

Estimation of ND280 constraint on $\sigma(\nu_e)/\sigma(\bar{\nu}_e)$ with upgrade or upgrade ++ mass, pre-upgrade efficiency and pre-upgrade or 100% purity.



With **only ND280 upgrade**, could reach a **~7.5%** uncertainty or below with the upgrade ++

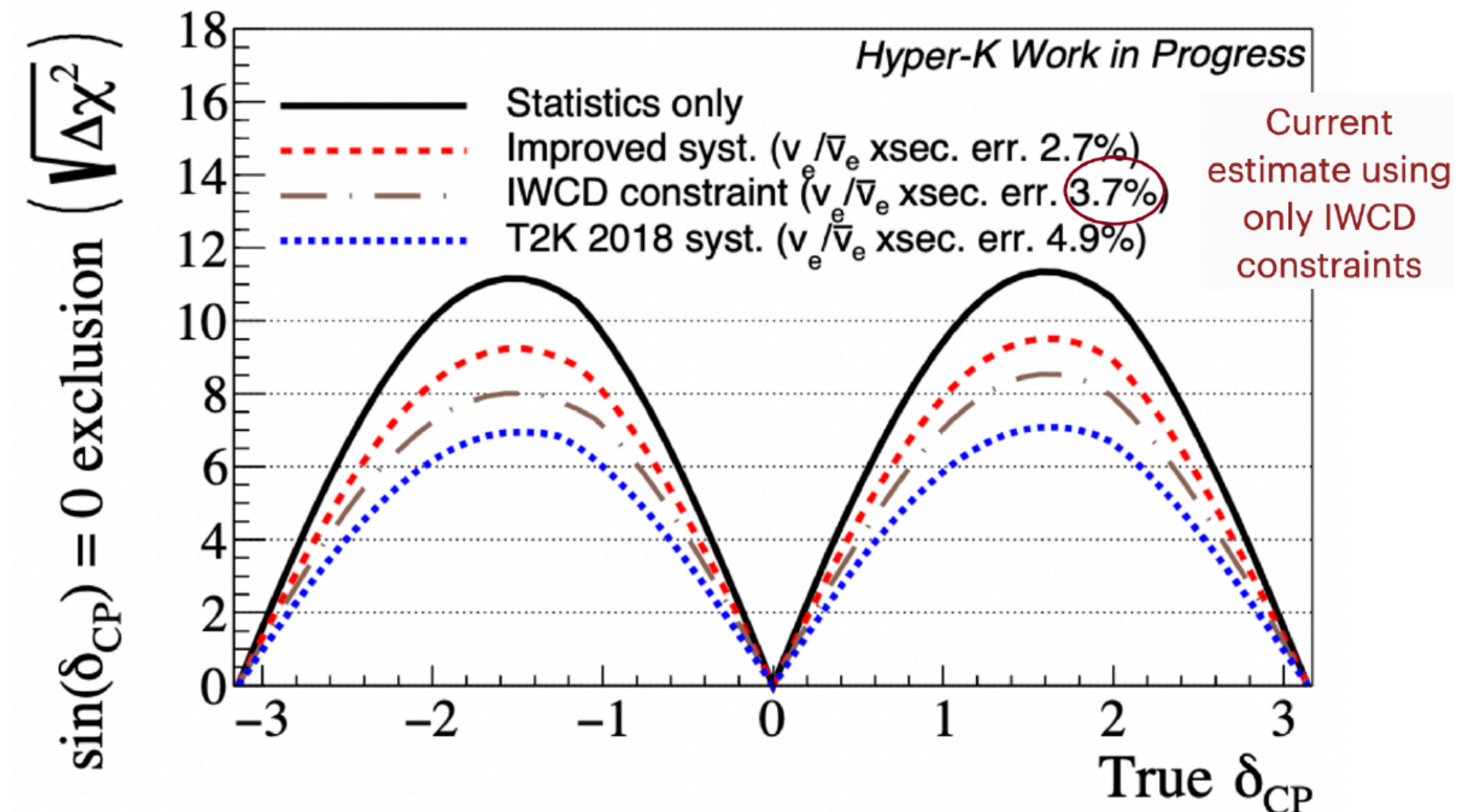


Plan to reduce systematics

Example: $\nu_e/\bar{\nu}_e$ cross-section ratio uncertainty

With **only IWCD**, could reach a **$\sim 3.7\%$** uncertainty

With **ND280 upgrade (++) and IWCD**, the goal is to go **below 3%** uncertainty after 10 years of HK-LBL



Significance level to exclude the CP-conserving values (0 and $\pm\pi$) of δ_{CP} after 10 years with HK.

Conclusion



- **Hyper-Kamiokande** is a **next generation water Cherenkov** neutrino detector with a **vast physics program**. Construction on-going, start **data-taking in 2027**
- The **long baseline program** will benefit from the **knowledge acquired with T2K** and a much **faster accumulation of statistics** to measure challenging quantities like δ_{CP}
- The oscillation parameters measurements will eventually be limited by **systematic effects** and **neutrino interactions** are a major source of systematic uncertainties.
- Reducing these uncertainties will be possible thanks to a **good modelling of the neutrino interactions** in HK and to the **improved and new near detectors**: ND280 and IWCD.

Thank you!

References



1. The T2K Collaboration, *Measurements of neutrino oscillation parameters from the T2K experiment using 3.6×10^{21} protons on target*, 2023, [arXiv:2303.03222](https://arxiv.org/abs/2303.03222)
2. Thorsten Lux (T2K), *The upgrade of the T2K ND280 detector*, [Journal of Physics: Conference Series](#), 2374(1):012036, nov 2022
3. Ferrero A. (T2K), *The ND280 Near Detector of the T2K experiment*, [AIP Conf. Proc.](#), 1189, 77-82, 2009
4. Tailin Zhu (Hyper-Kamiokande), *Long-baseline neutrino oscillation sensitivities with Hyper-Kamiokande and impact of Intermediate Water Cherenkov Detector*, In *Proceeding of Neutrino Oscillation Workshop: PoS(NOW2022)*, volume 421, page [028](#), 2023

Back-up

Systematic uncertainties

HK Improved systematics error model

The **HK Improved error model** is built from the **T2K** one by **shrinking the uncertainties** on each systematic parameter to take into account the **increased statistics** and the **ND upgrades**:

1. **Errors divided by sqrt of beam exposure increase** compared between T2K and HK at 10 years
2. Further **factor 2-3 reduction for some cross-section** parameters based on studies made on **ND280 upgrade and IWCD** sensitivity
3. Error on $\nu_e/\bar{\nu}_e$ **cross-section ratio** fixed to **2.7%** (**HK goal** motivated by studies on **IWCD sensitivity**)

Neutrino interactions uncertainties



Hyper-Kamiokande

Interactions not constrained at ND

Other cross-section uncertainties are currently not constrained with ND280 data in T2K oscillation analysis:

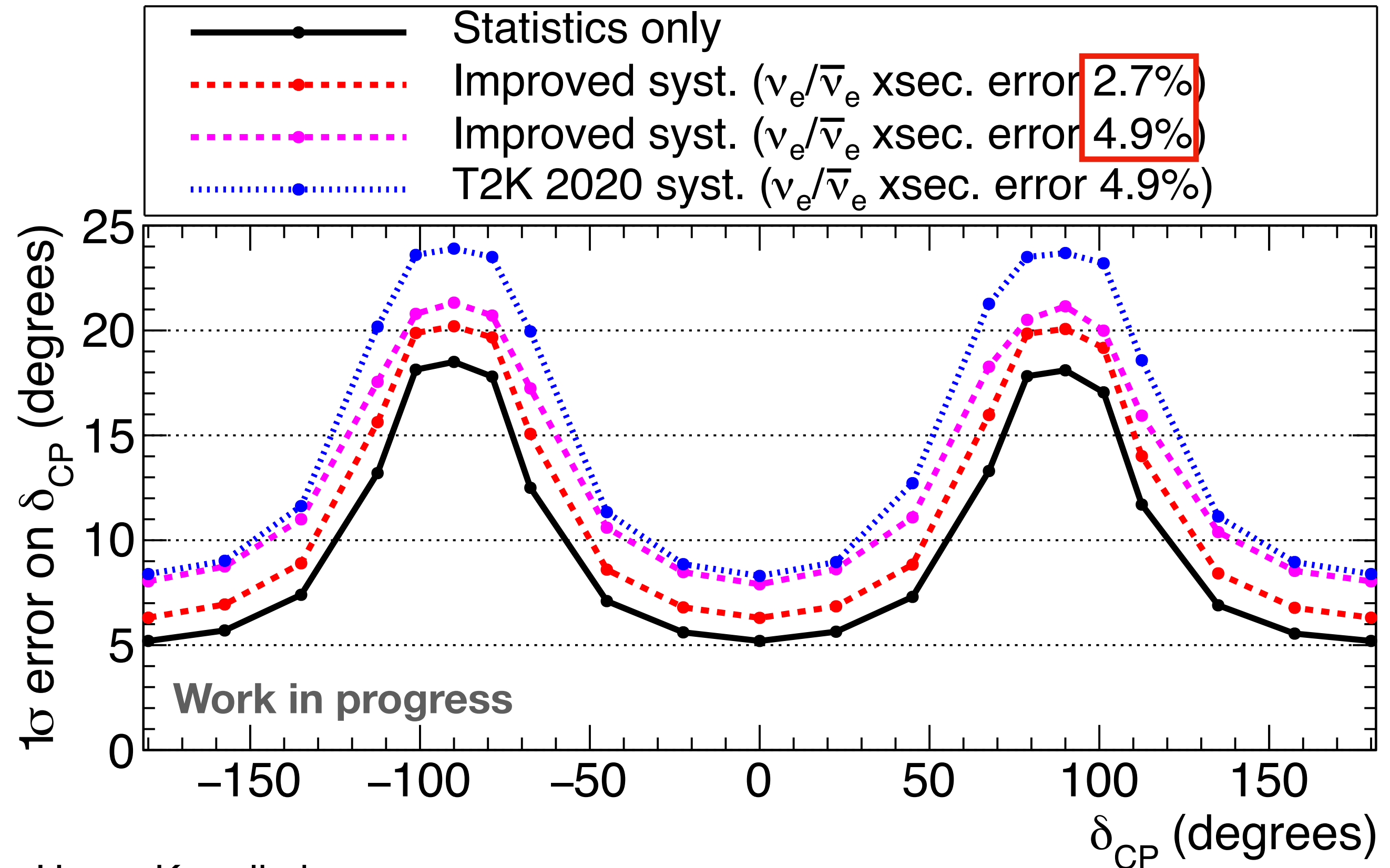
- $NC\gamma$: NC interaction producing a photon. Background for e-like samples in ND280.
- Background coming from CC interactions of antineutrinos with low momentum pion production that is thus missed.
- Shape of the energy dependence of 2p2h interactions.



Plan to reduce systematics

Example: $\nu_e/\bar{\nu}_e$ cross-section ratio uncertainty

- $P_{osc}(\delta_{CP}) = f \cos \delta_{CP} + g \sin \delta_{CP} + h$
- $\nu_e/\bar{\nu}_e$ xsec error mostly limits the resolution of CP-odd term
- Gradient of CP-odd (-even) term is maximum at $\sin \delta_{CP} = 0 (\pm 1)$
- $\nu_e/\bar{\nu}_e$ xsec error expected to impact δ_{CP} resolution mostly at $\sin \delta_{CP} = 0$



Hyper-K preliminary

True normal ordering (known), **HK 10 Years (2.7×10^{22} POT 1:3 $\nu:\bar{\nu}$)**

$\sin^2 \theta_{13} = 0.0218 \pm 0.0007$, $\sin^2 \theta_{23} = 0.528$, $\Delta m_{32}^2 = 2.509 \times 10^{-3} \text{ eV}^2/c^4$

Octant degeneracy

