

# 22nd International Workshop on Next Generation Nucleon Decay and Neutrino Detectors

Procida (Italy), October 11-13, 2023

## The ANTARES and KM3NeT neutrino telescopes: status and perspectives for neutrino physics and astrophysics



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Università di Bologna and INFN



# ANTARES and KM3NeT Collaborations

ANTARES Collaboration	KM3NeT Collaboration	
Paris	Paris	Hull
Orsay	Marseille	Prague
Gif-sur-Yvette	Strasbourg	Bratislava
Marseille	Mulhouse	Varsovie
Strasbourg	Colmar	Athènes
Mulhouse	Caen	Nicosie
Clermont-Ferrand	Nantes	Tbilissi
Nice	Montpellier	Abou Dabi
Toulon	Toulon	Charjah
Sophia Antipolis	Oujda	Constantine
Oujda	Rabat	Ari'el
Rabat	Ben Guerir	Johannesburg
Marrakech	Marrakech	Potchefstroom
Valence	Valence	Guangzhou
Grenade	Vilanova i la Geltrú	
Gandie	Gandie	
Vilanova i la Geltrú	Grenade	
Amsterdam	Amsterdam	
Texel	Leyde	
Leyde	Texel	
Rome	Delft	
Gênes	Rome	
Bologne	Gênes	<b>KM3NeT sites</b>
Catane	Bologne	ORCA
Bari	Catane	ARCA
Pise	Bari	KM3NeT-Gr
Naples	Naples	<b>ANTARES site</b>
Salerne	Caserta	
Erlangen	Salerne	
Wurtzbourg	Erlangen	
Bamberg	Wurtzbourg	
Bucarest	Bamberg	
Bentley	Münster	
	Tubingue	
	Bucarest	
	Sydney	
	Louvain-la-Neuve	





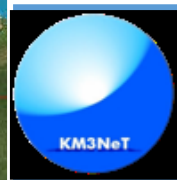
# The neutrino telescope world map 2022\*



ANTARES  
0.01 km<sup>3</sup>  
2008 – 2022



GVD/  
Baikal  
1 km<sup>3</sup>



KM3NeT  
1+0.01  
km<sup>3</sup>



IceCube  
Deep ice 1 km<sup>3</sup>  
2011 –

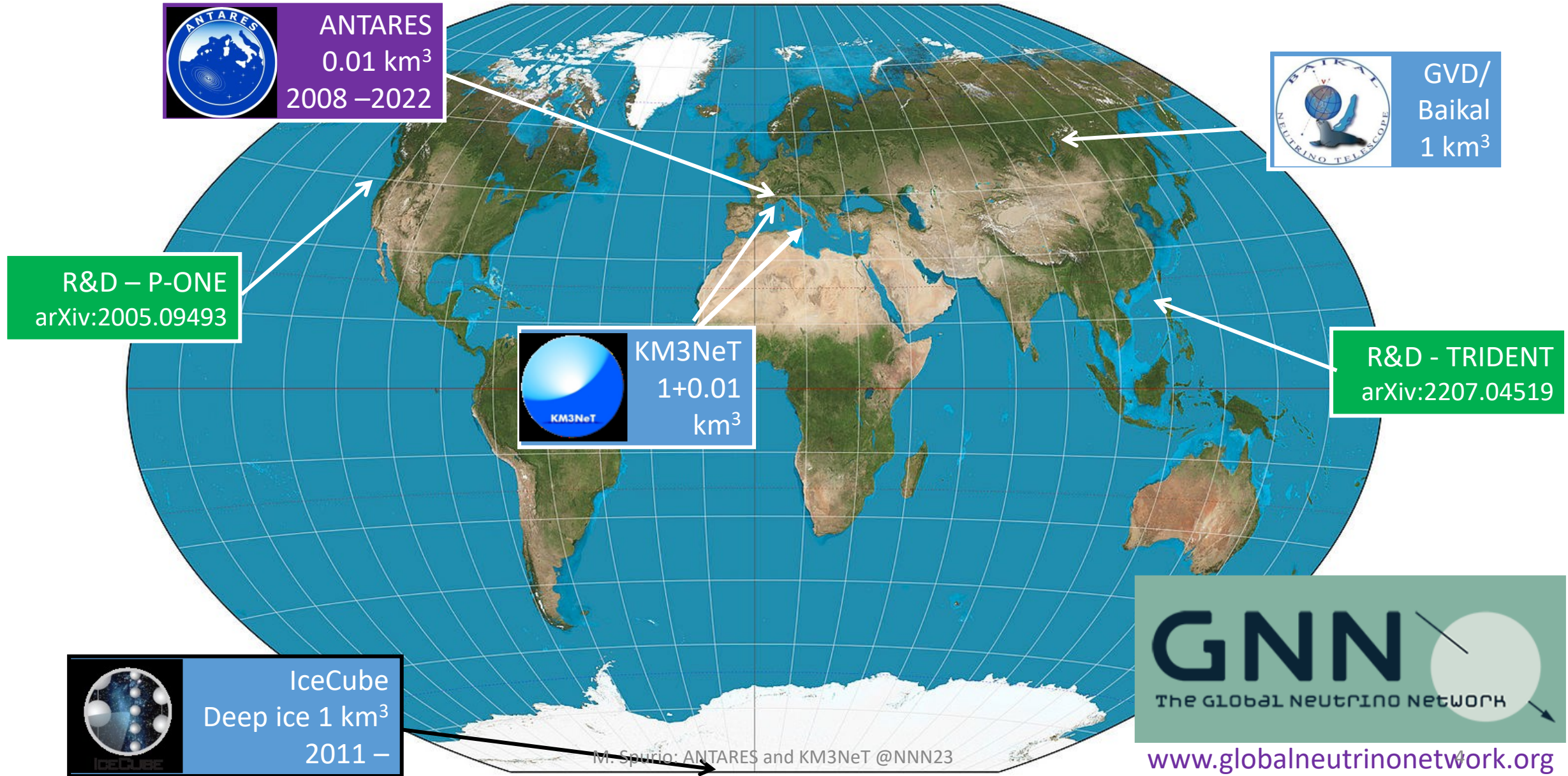


[www.globalneutrino.org](http://www.globalneutrino.org)

M. Spurio: ANTARES and KM3NeT @NNN23



# The neutrino telescope world map 2022\*

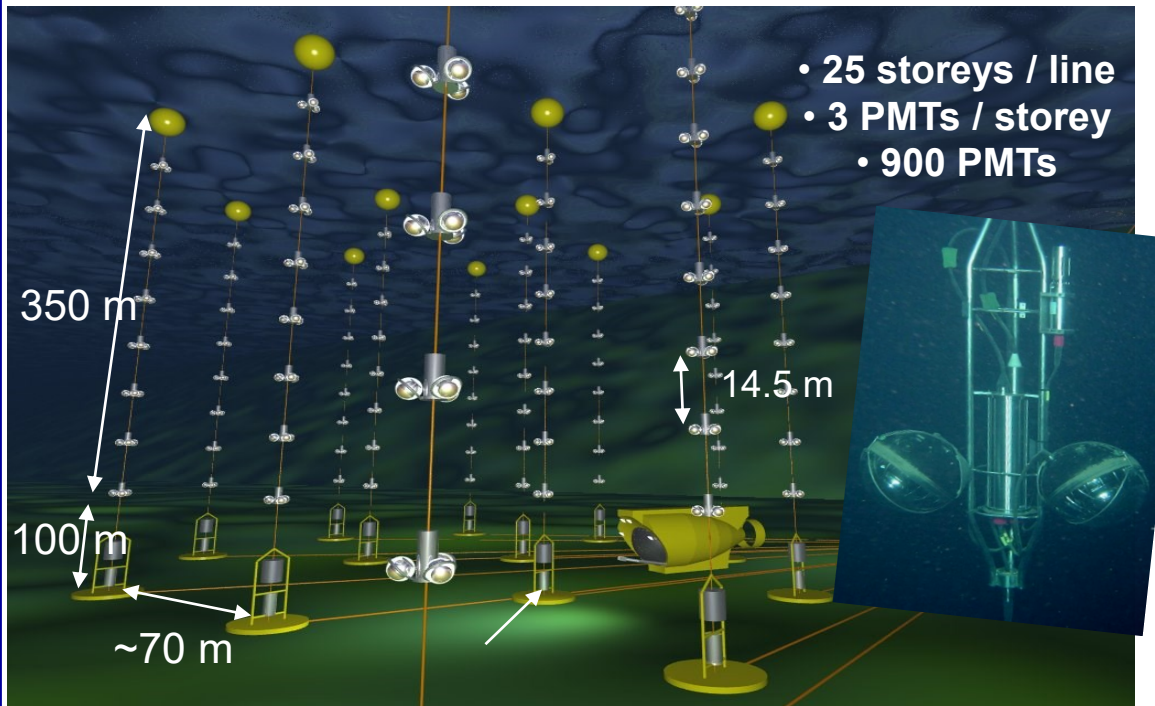


**GNN**  
The GLOBAL NEUTRINO NETWORK

[www.globalneutrino.org](http://www.globalneutrino.org)



## ANTARES complete 2008 - 2022



- 25 storeys / line
- 3 PMTs / storey
- 900 PMTs

350 m

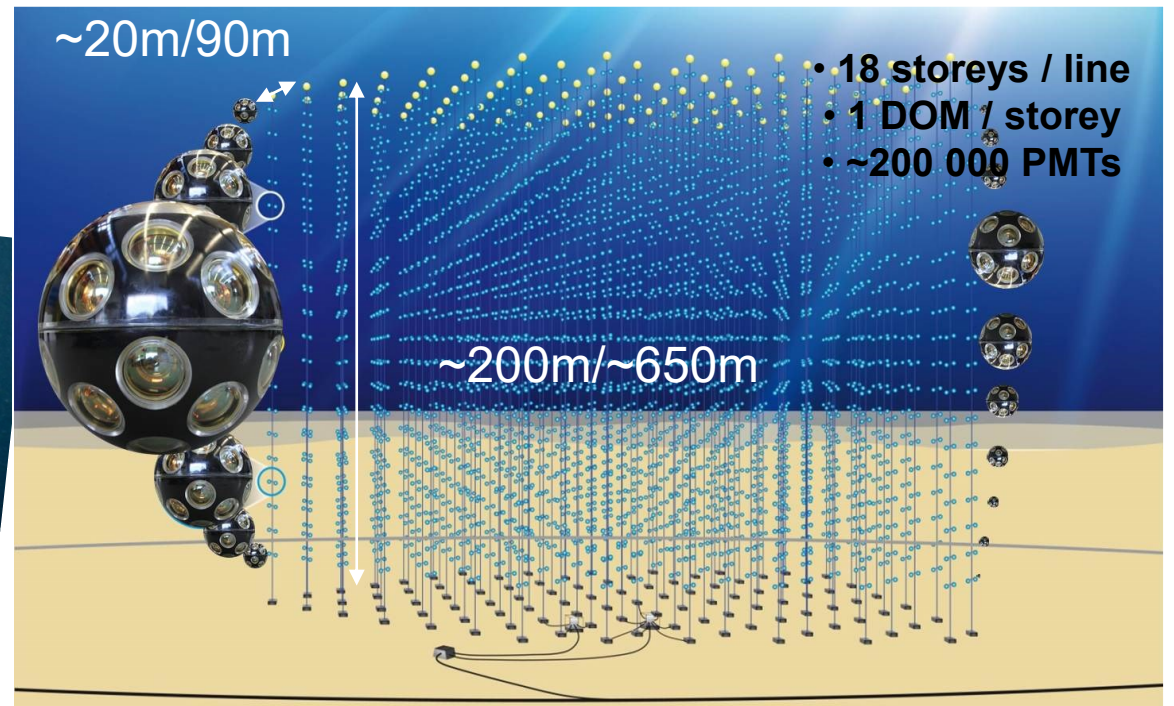
100 m

~70 m

14.5 m

12 lines

## KM3NeT Under Construction



- 18 storeys / line
- 1 DOM / storey
- ~200 000 PMTs

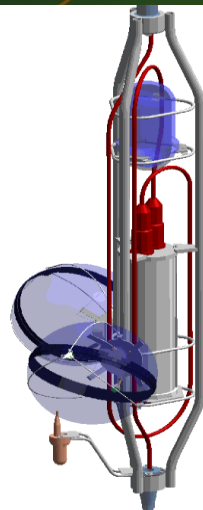
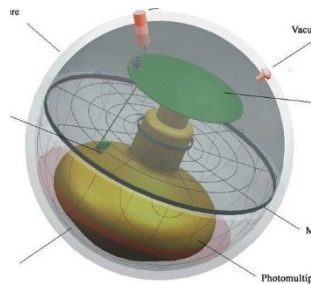
~20m/90m

~200m/~650m

230 **ARCA** (Italy) + 115 **ORCA** (France) lines  
 ~1 Gton                      ~7 Mton

### First Generation

NIM A 656 (2011)



Same size (43cm)

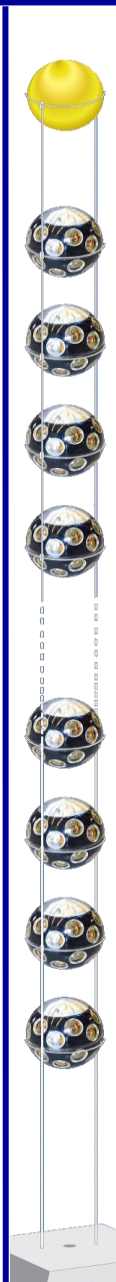
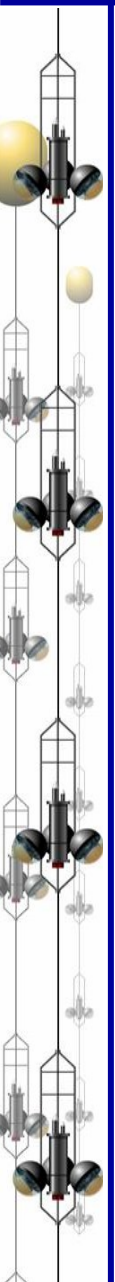
Compact

Cost reduction



### New Generation

- DOM: 31 3" PMTs
- Digital photon counting
- Directional information
- Wide angle of view







# ANTARES 2006 - 2022

2500m

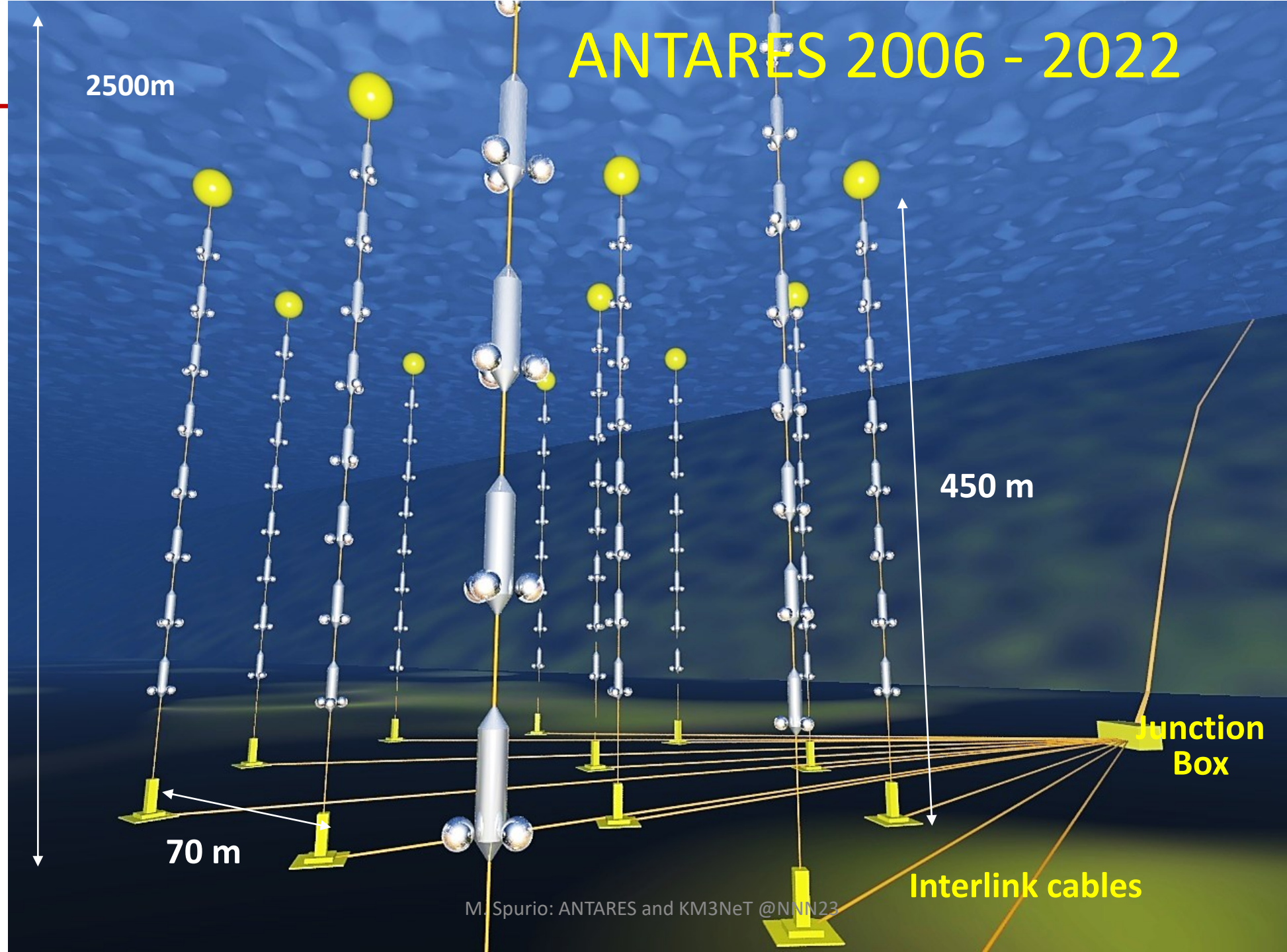
450 m

70 m

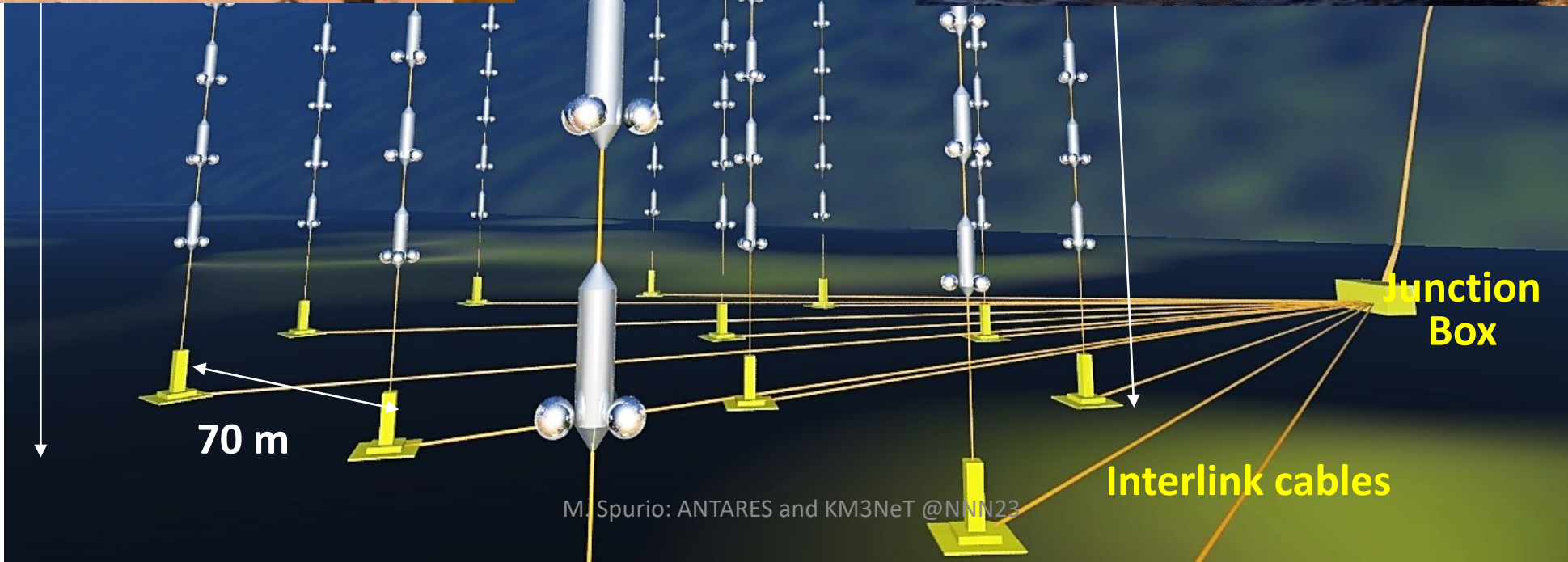
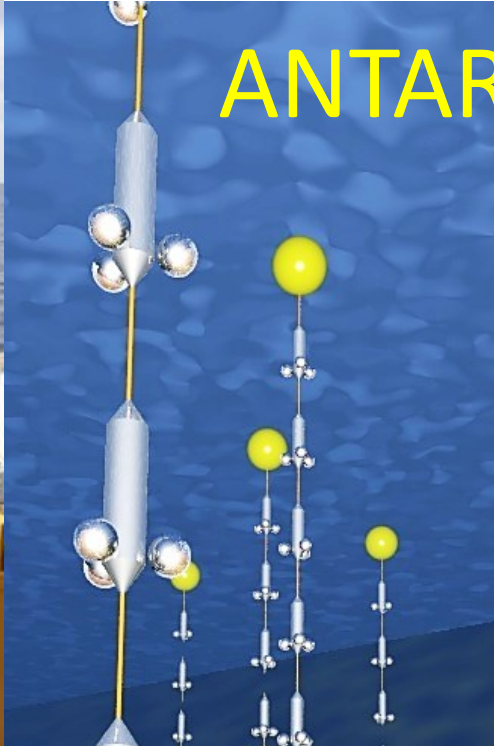
Junction  
Box

Interlink cables

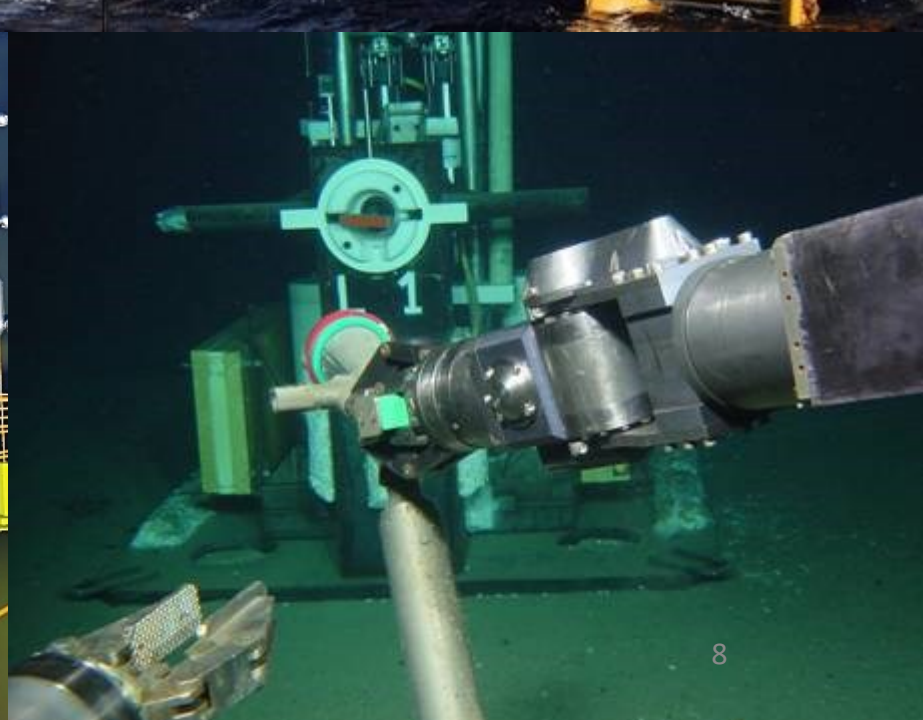
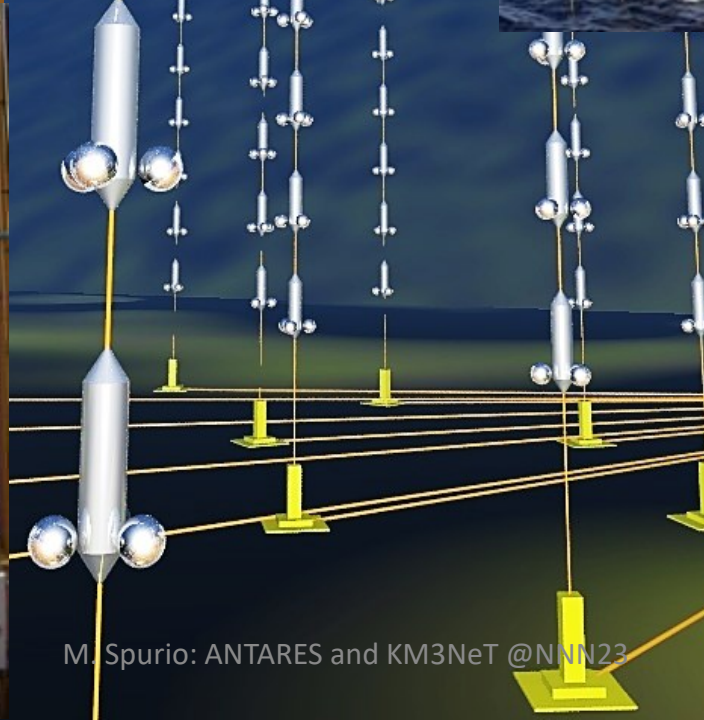
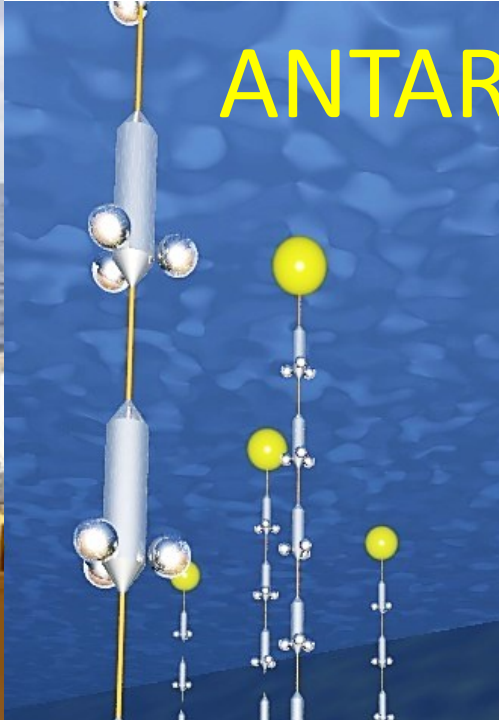
M. Spurio: ANTARES and KM3NeT @NNN23









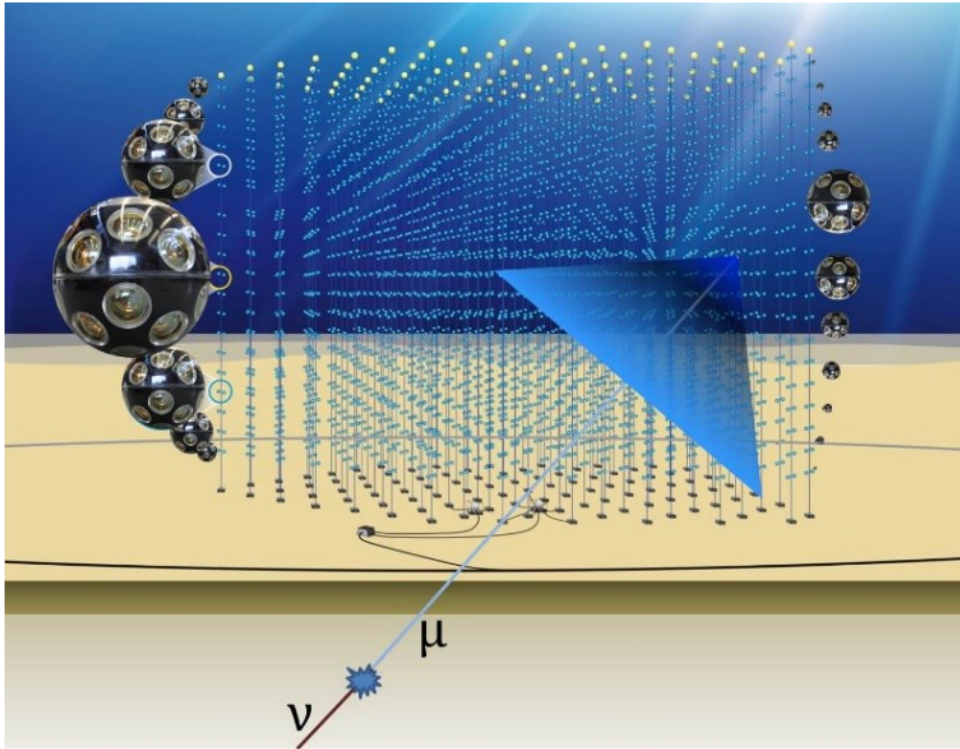




# KM3NeT detectors: ARCA and ORCA



Same technology for the two detectors



## ORCA

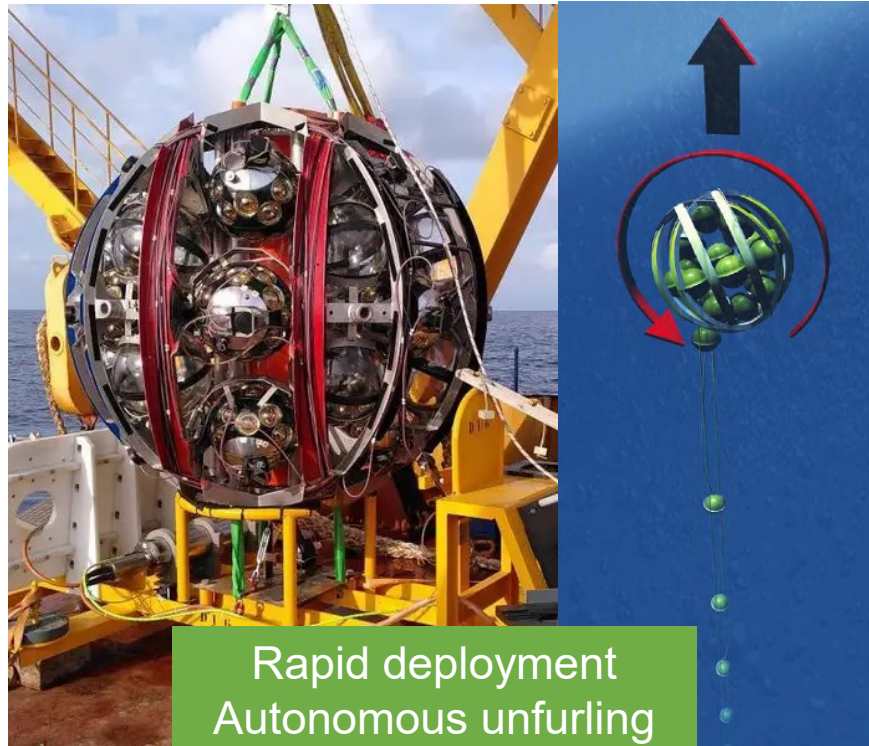
- Depth  $\sim 2500$  m
- One block of 115 Detection Units
- Average distance between Detection Units  $\sim 20$  m
- Average vertical distance between DOMs  $\sim 9$  m

## ARCA

- Depth  $\sim 3500$  m
- Two blocks of 115 Detection Units each
- Average distance between Detection Units  $\sim 90$  m
- Vertical distance between DOMs  $\sim 36$  m

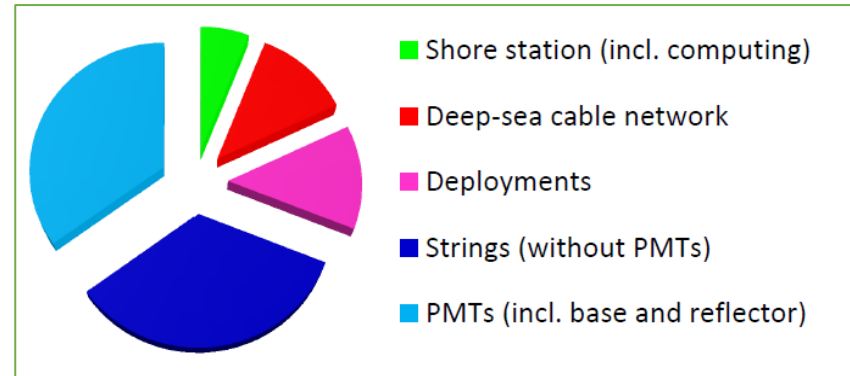


# KM3NeT Construction



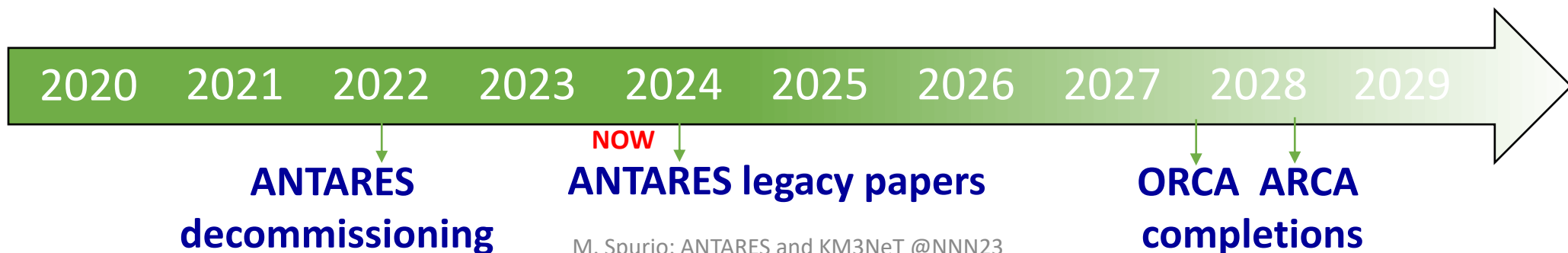
Rapid deployment  
Autonomous unfurling  
Recoverable

- TODAY: 28 Detector Units (DUs) deployed in ARCA
- TODAY: 18 DUs deployed in in KM3NeT/ORCA
- ANTARES online acceptance overcome ( $> \times 3$ )
- **Total KM3NeT cost: 320 M€ (>2/3 secured)**



Flagship Experiment

<https://www.youtube.com/watch?v=tR8jwgG6uzk>





# Physics Studies

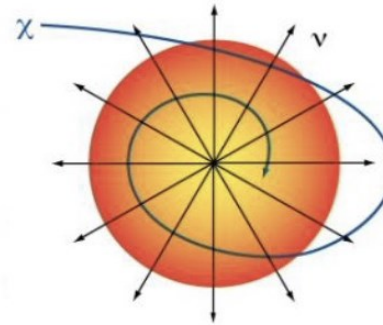
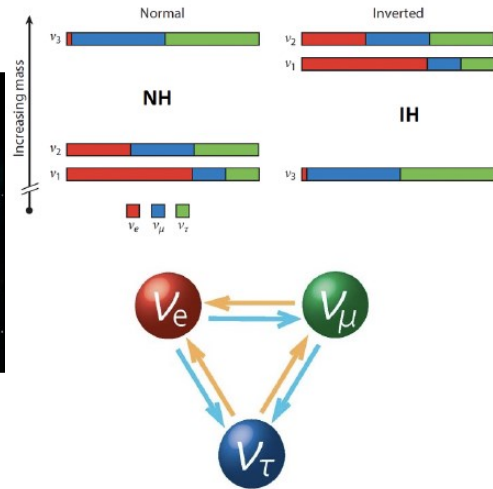
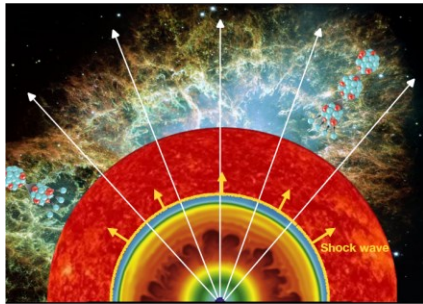


Supernovae  
Explosion

Neutrino  
Physics

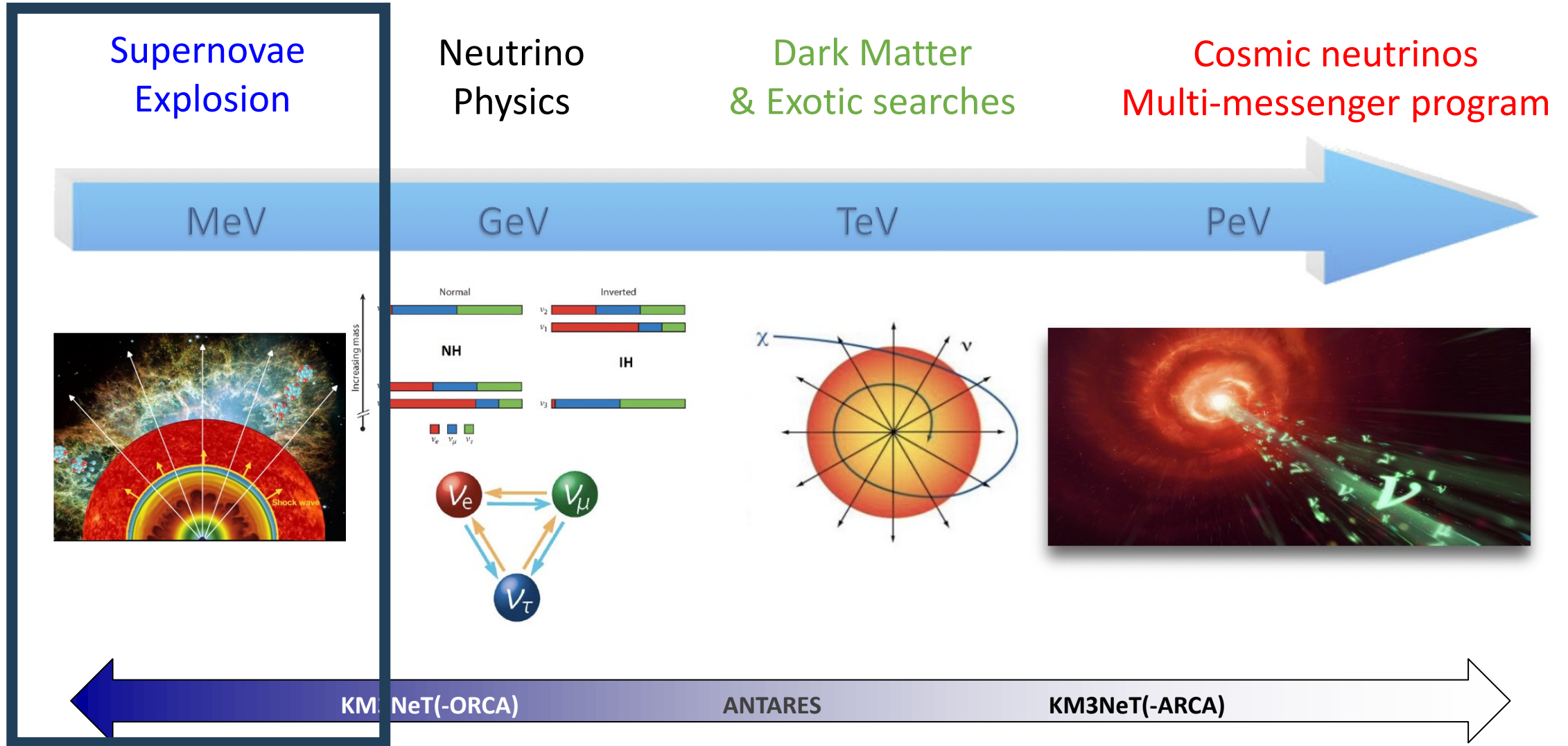
Dark Matter  
& Exotic searches

Cosmic neutrinos  
Multi-messenger program



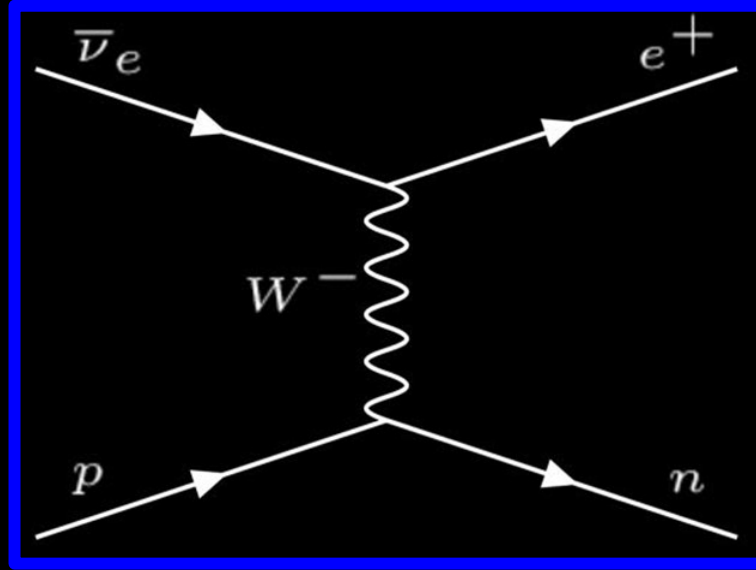
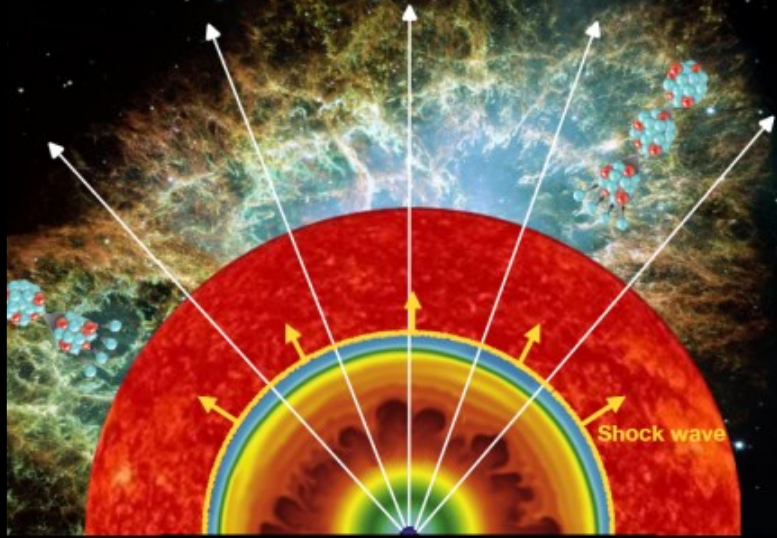


# Physics Studies

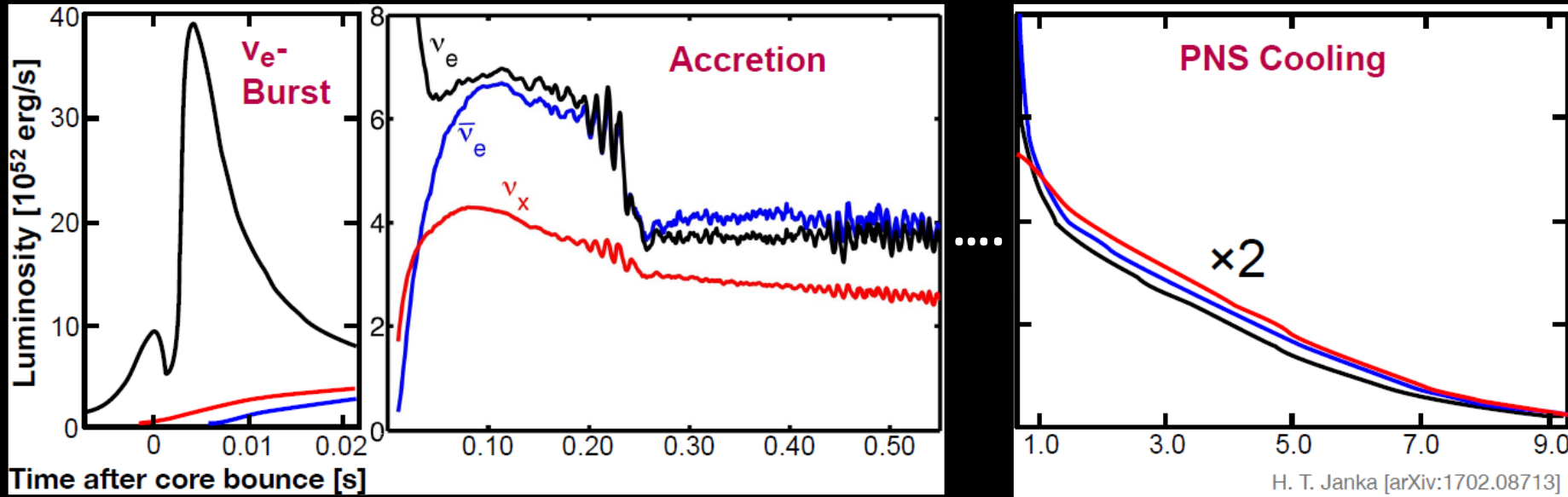




# $\nu$ from core-collapse supernovae



- **Signal Model:** Neutrino Emission from Supernovae. H.-Th. Janka. arXiv:1702.08713
- Accretion phase: 0.5 s
- **Signal in the detector:** 90% due to CC  $\bar{\nu}_e$  interaction
- 10 MeV electron release its energy in about 5 cm of water
- Coherent increase of the "light" in the detector.





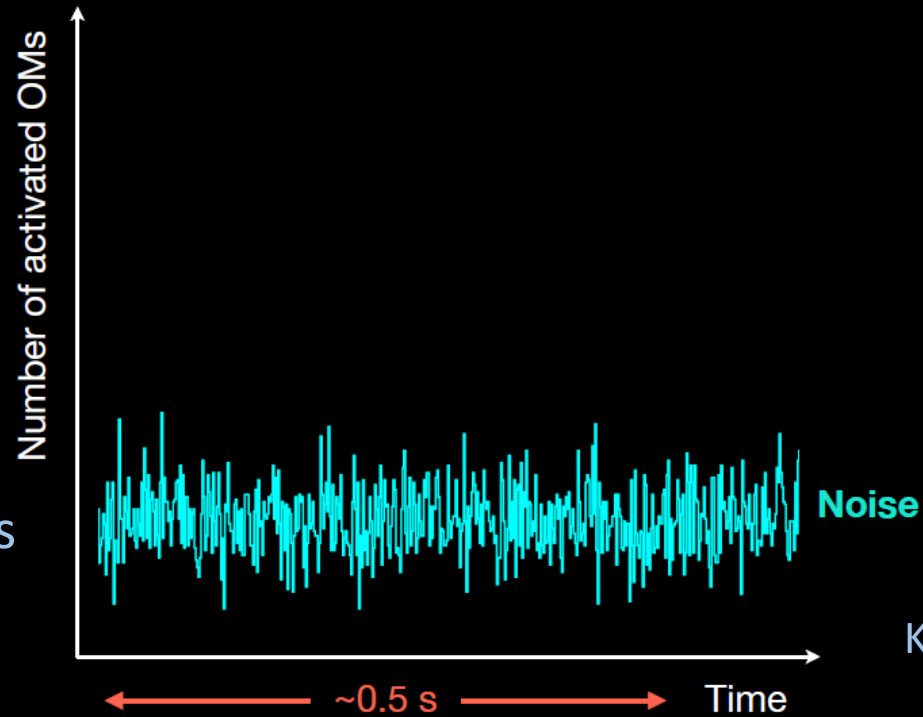
# $\nu$ from core-collapse supernovae: signal and bck



- 2070 DOMs in one detector building block
- Each DOM is a detector
- 31 small PMTs in each DOM



MeV radioactivity: 1-2 PMTs in 20 ns



KM3NeT: EPJ. C (2021) 81:445



# $\nu$ from core-collapse supernovae: signal and bck

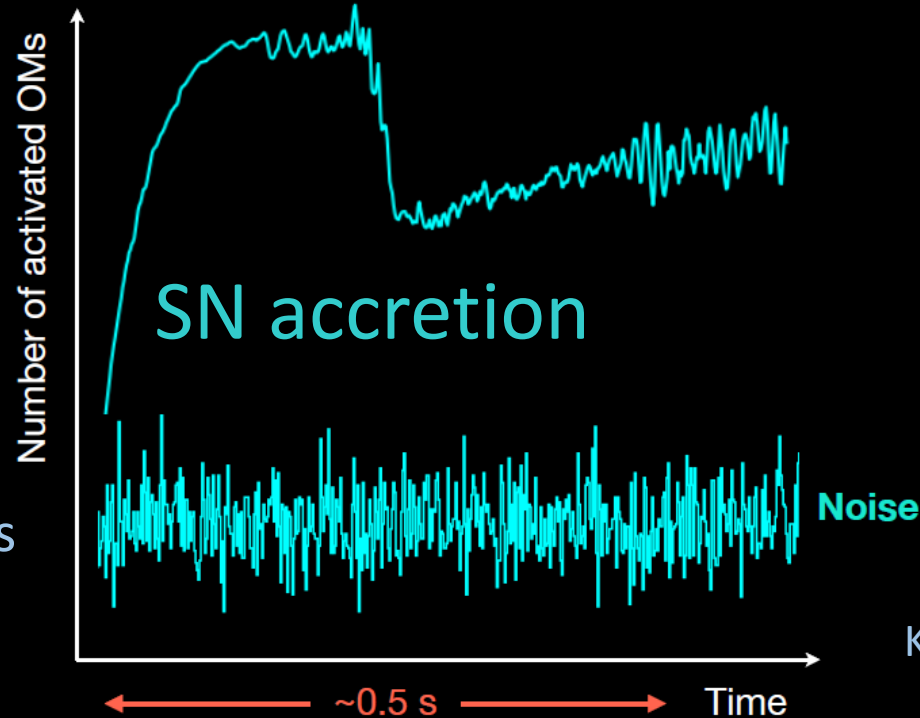


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MeV radioactivity: 1-2 PMTs in 20 ns

10 MeV electron: > 4 PMTs in 20 ns



KM3NeT: EPJ. C (2021) 81:445



# $\nu$ from core-collapse supernovae: signal and bck



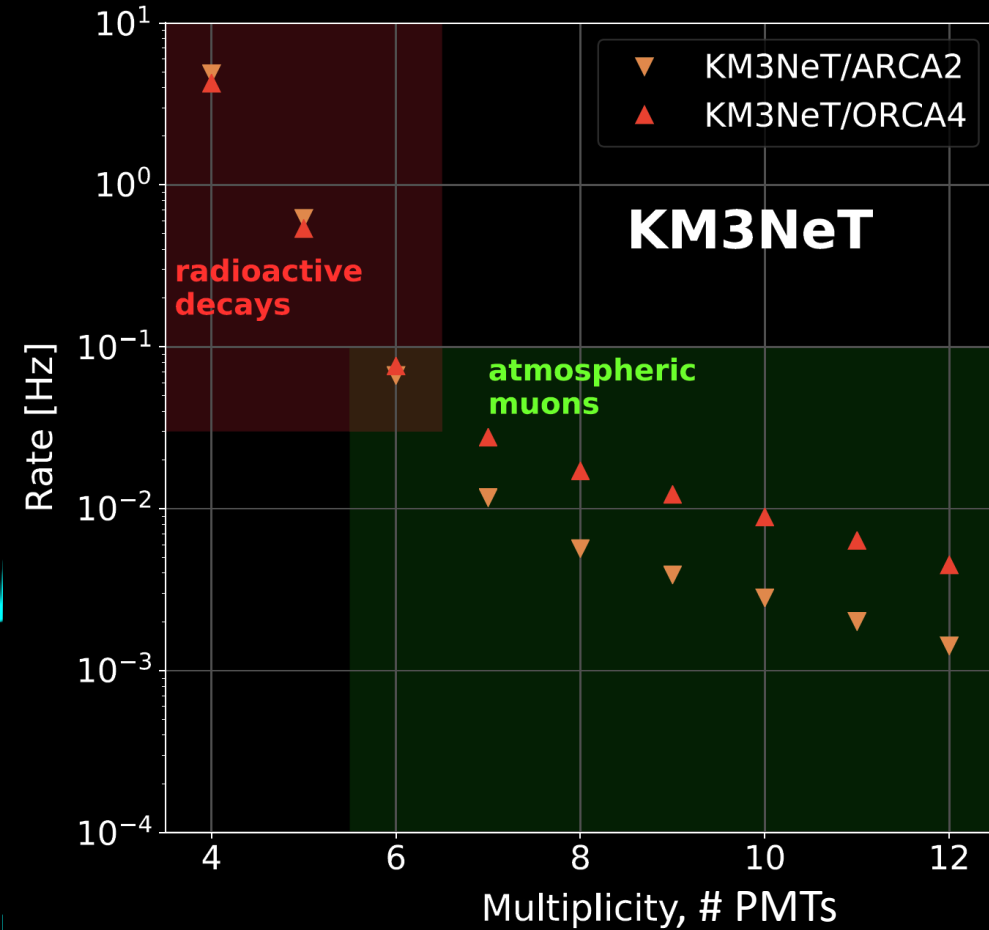
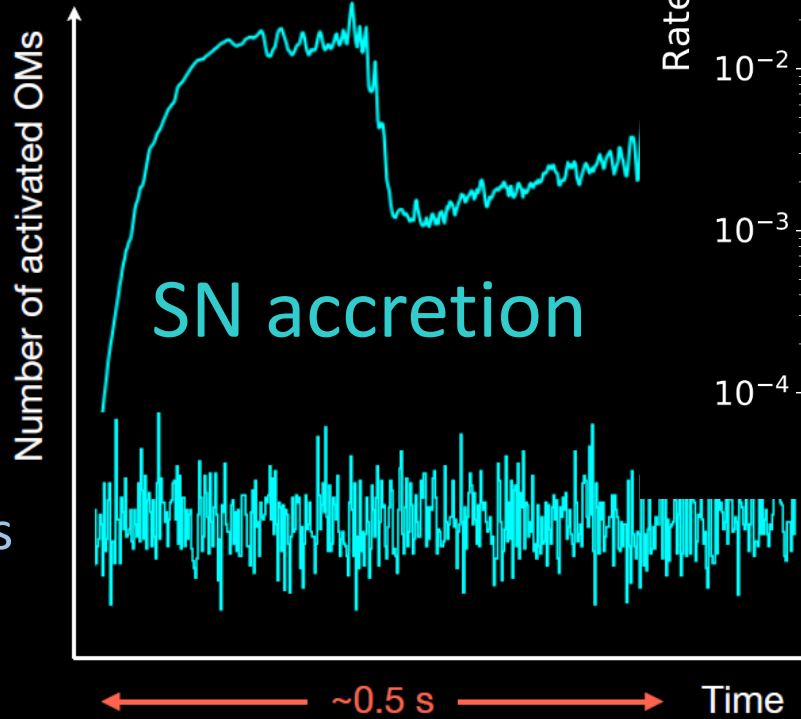
- 2070 DOMs in one detector building block
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MeV radioactivity: 1-2 PMTs in 20 ns

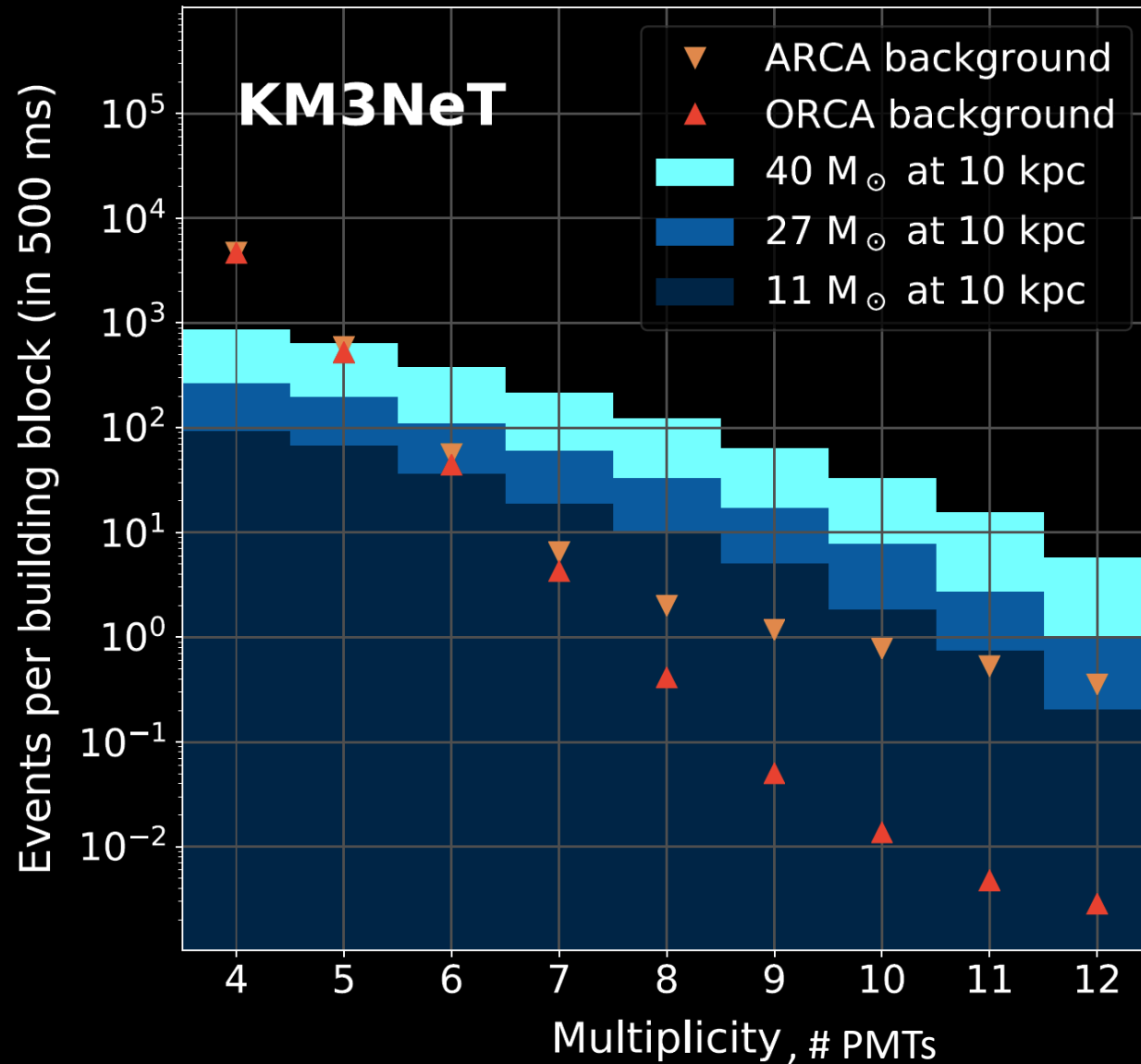
10 MeV electron: > 4 PMTs in 20 ns

Muons: > 4 PMTs in many DOMs but within few  $\mu$ s



KM3NeT: EPJ. C (2021) 81:445

# $\nu$ from core-collapse supernovae: events

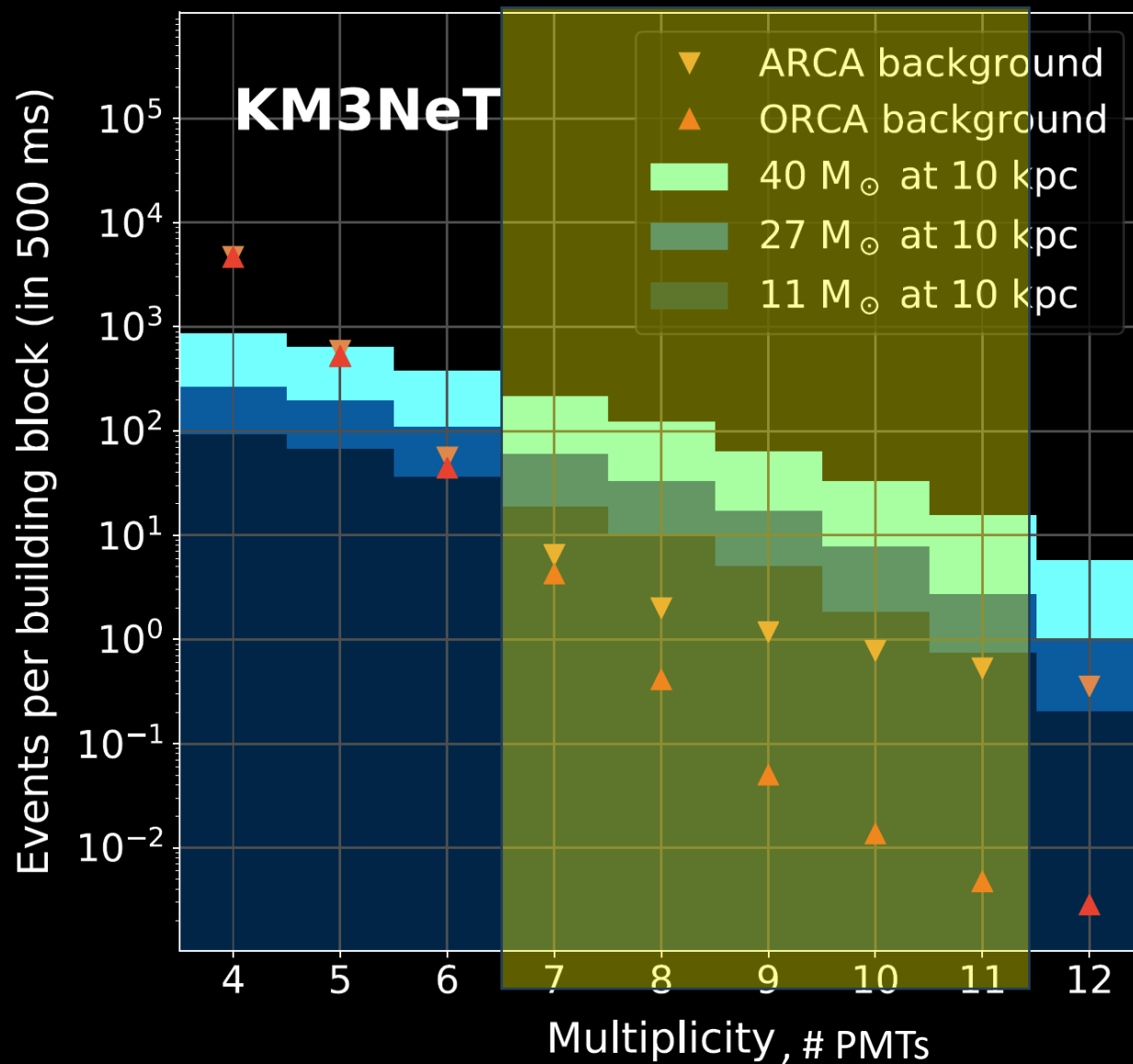


- SNe @ 10 kpc (11,27, 40  $M_{\text{sun}}$ )
- Number of «events» in a time window of 0.5 s in 2070 DUs due to **signal and background**
- Significant excess for #PMTs>6
- Multiplicity distribution can be used as proxy of the SN neutrino energy spectrum.

KM3NeT: EPJ. C (2021) 81:445



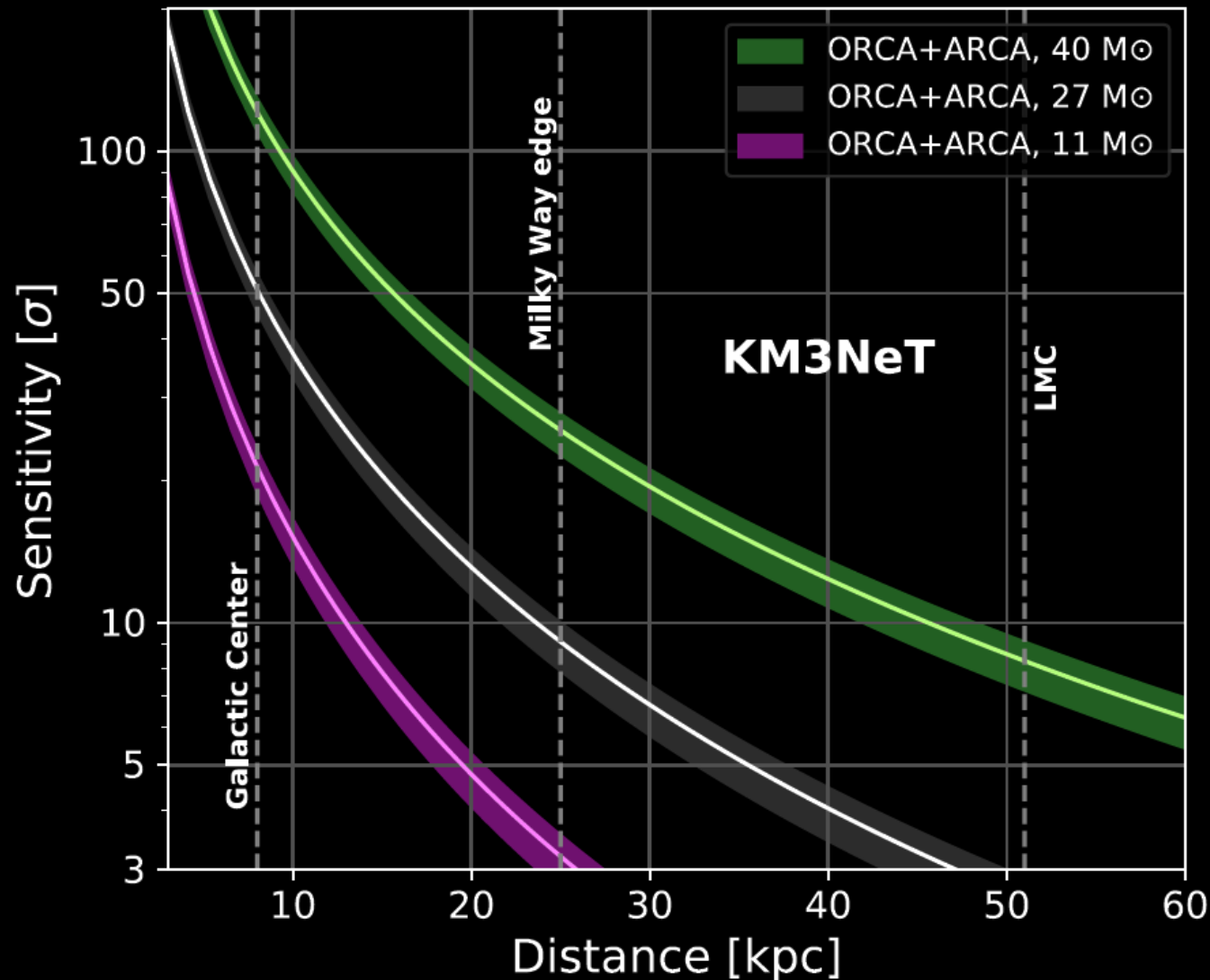
# $\nu$ from core-collapse supernovae: events



- SNe @ 10 kpc (11,27, 40  $M_{\text{sun}}$ )
- Number of «events» in a time window of 0.5 s in 2070 DUs due to **signal and background**
- Significant excess for #PMTs>6
- Multiplicity distribution can be used as proxy of the SN neutrino energy spectrum.

KM3NeT: EPJ. C (2021) 81:445

# $\nu$ from core-collapse supernovae: sensitivity

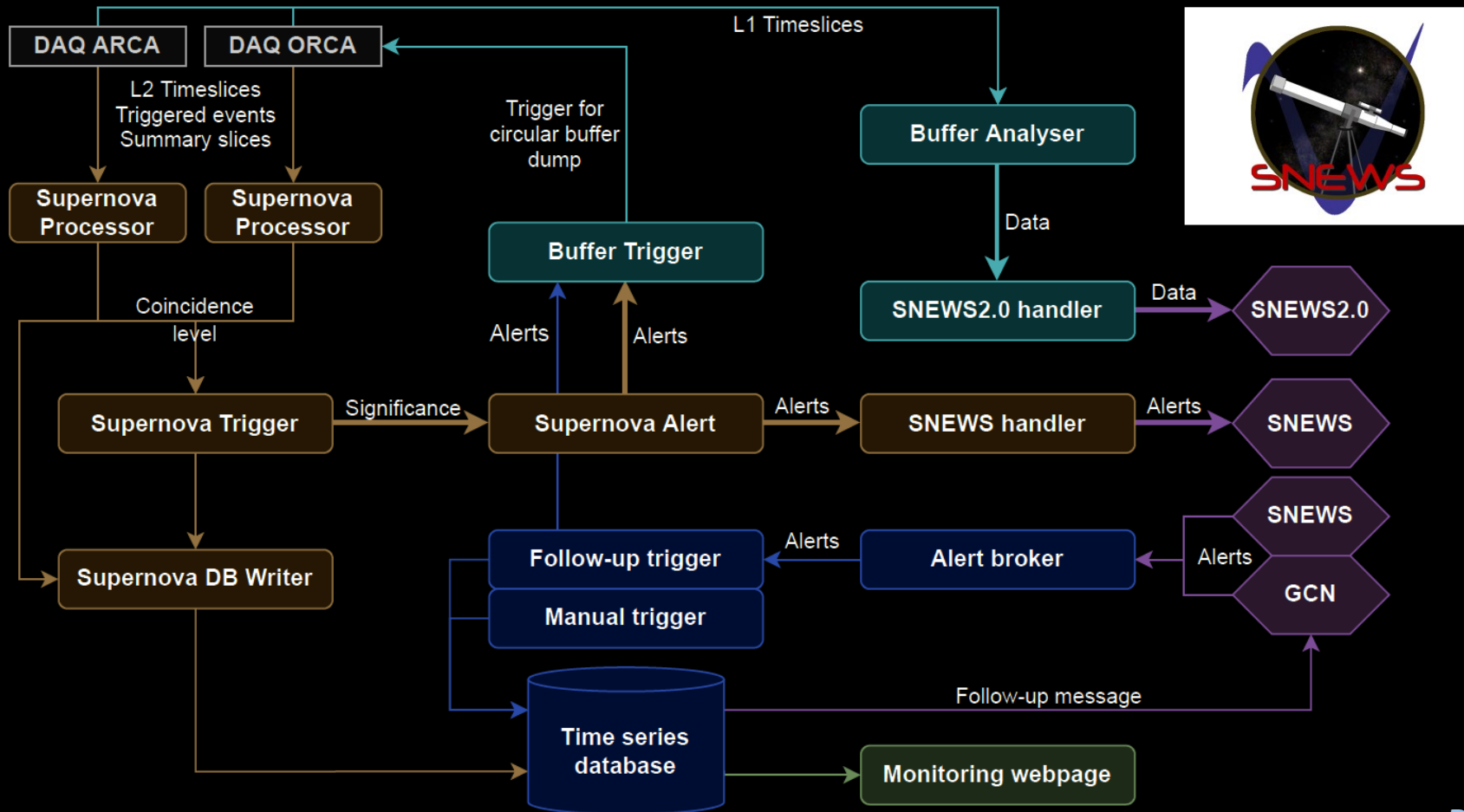


- KM3NeT detection sensitivity as a function of the distance to the CCSN for the three progenitors considered.
- The error bars include the systematic uncertainties

KM3NeT: EPJ. C (2021) 81:445



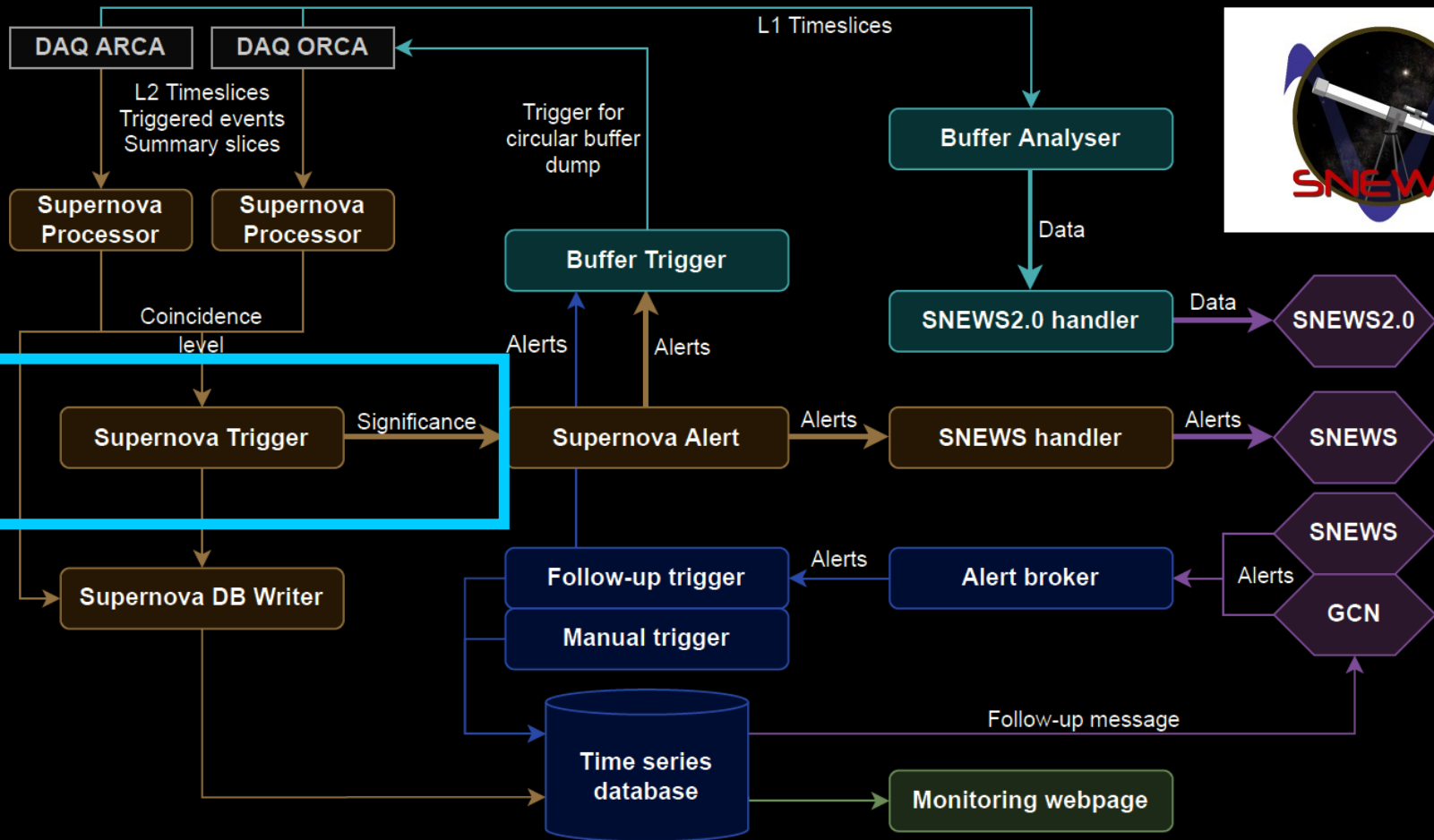
# KM3NeT supernovae alert (online pipeline)



- Alert system: 20 s latency time
- Trigger threshold: adapt to background level 1 fake event/week

PoS(ICRC2023)1223

# KM3NeT supernovae alert (online pipeline)



- Alert system: 20 s latency time
- Trigger threshold: adapt to background level 1 fake event/week
- Buffer 10 min of data
- Timing of the SN detection for triangulation with other experiments.

PoS(ICRC2023)1223



# Physics Studies

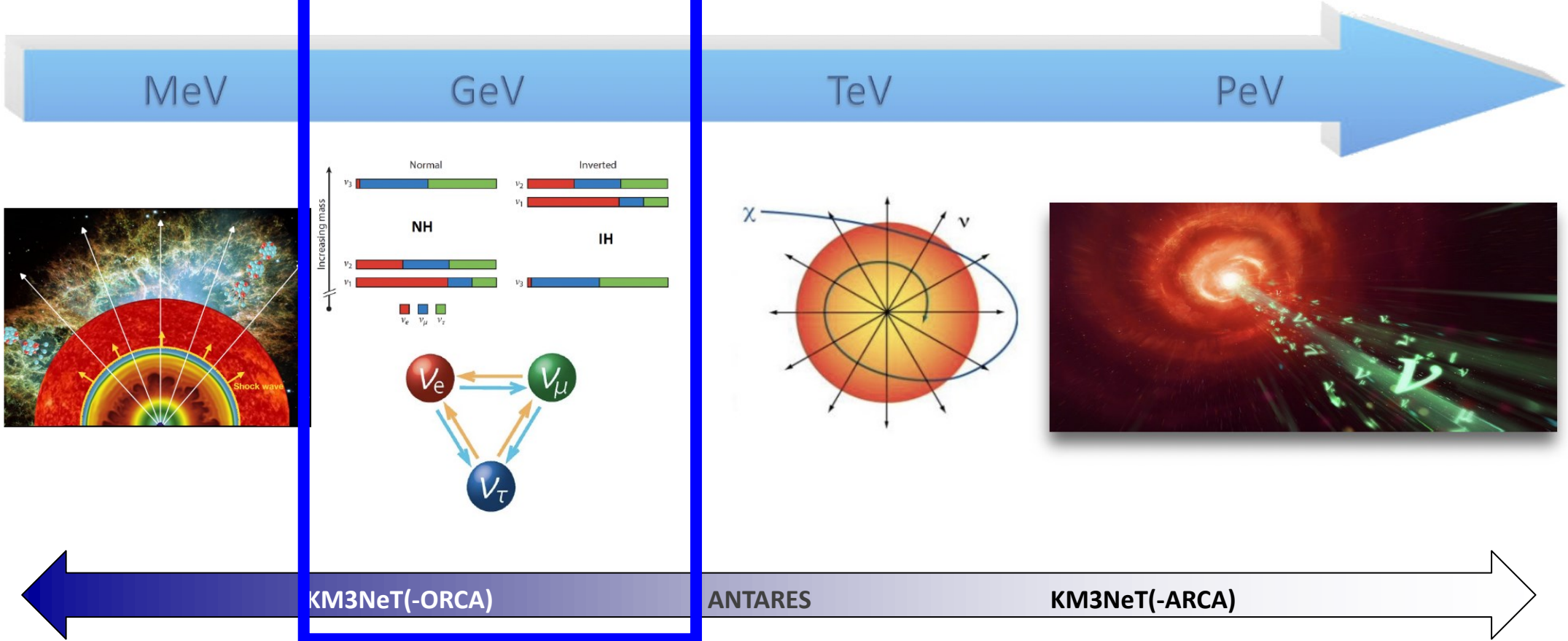


Supernovae  
Explosion

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Physics

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Multi-messenger program

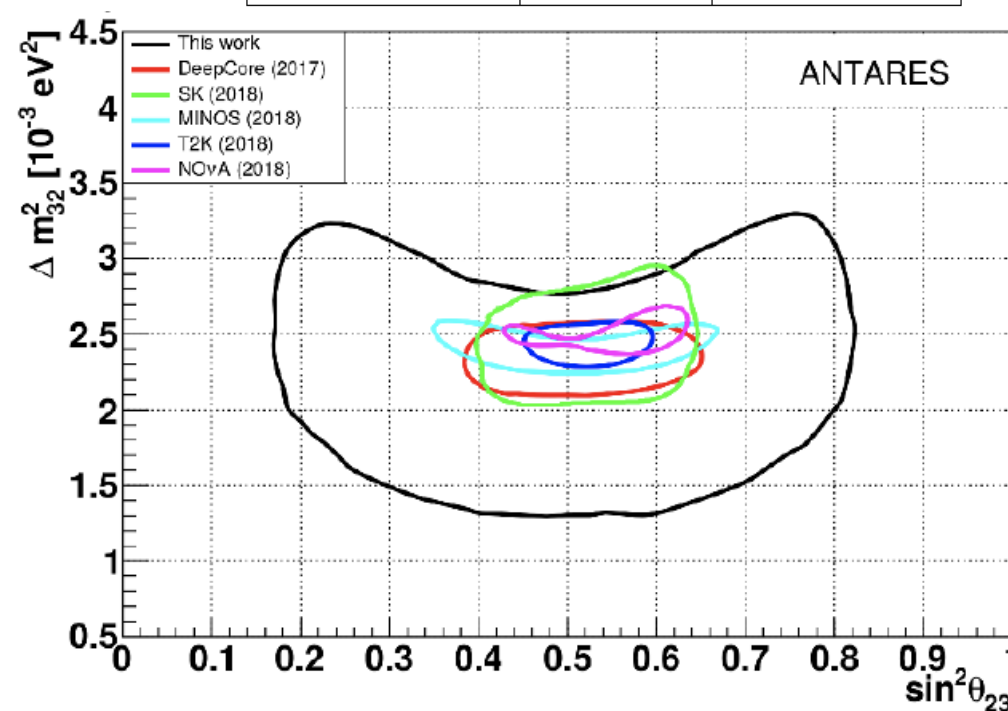
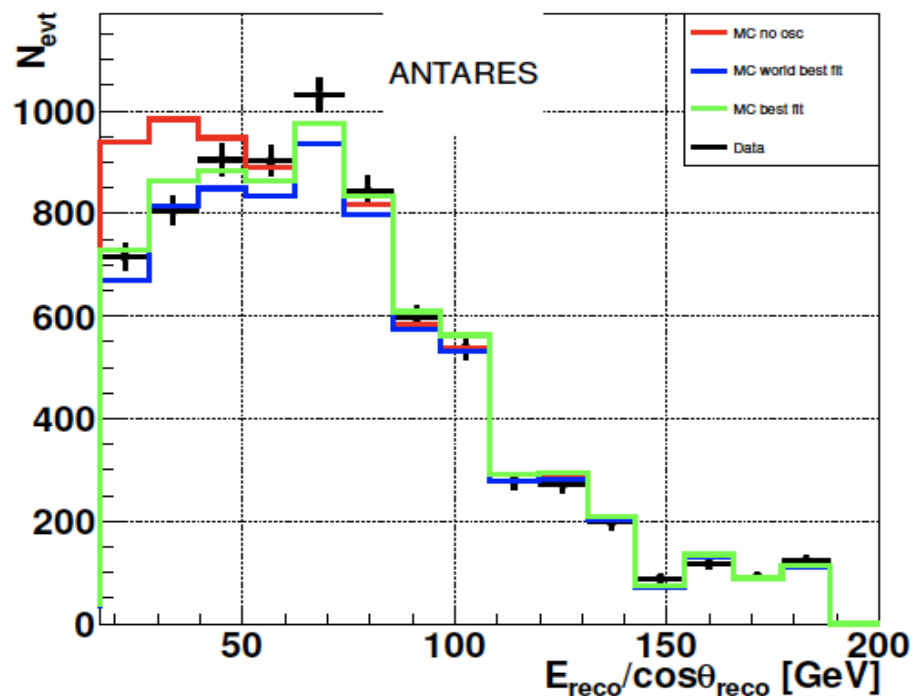




# $\nu$ -oscillation ( $\nu_\mu$ - disappearance)

- A binned likelihood fit in 2D ( $\log_{10}(E_{\text{reco}}), \cos\theta_{\text{reco}}$ )
- Priors and fitted values obtained by minimization for all parameters of 3 flavor oscillations.
- No-oscillation hypothesis excluded at  $4.6\sigma$
- Data sample available on the ANTARES site

Parameter	Prior	Fit result
$\Delta m_{32}^2$ [ $10^{-3} \text{ eV}^2$ ]	none	$2.0^{+0.4}_{-0.3}$
$\theta_{23}$ [ $^\circ$ ]	none	$45^{+12}_{-11}$
$n_\nu$	none	$0.81^{+0.10}_{-0.09}$
$\nu/\bar{\nu}$ [ $\sigma$ ]	$0.0 \pm 1.0$	$1.10^{+0.64}_{-0.56}$
$\Delta\gamma$	$0.00 \pm 0.05$	$-0.003 \pm 0.036$
$N_\mu$	$740 \pm 120$	$414^{+48}_{-24}$
$\theta_{13}$ [ $^\circ$ ]	$8.41 \pm 0.28$	$8.41 \pm 0.28$
$M_A$ [ $\sigma$ ]	$0.0 \pm 1.0$	$0.0 \pm 1.0$



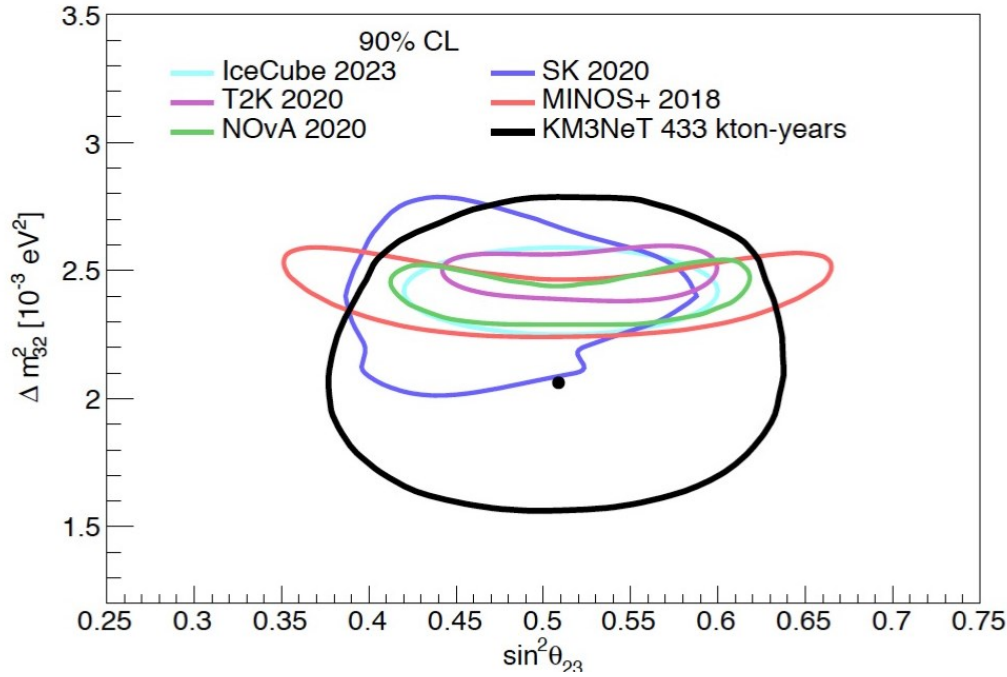


# Oscillation Studies KM3NeT/ORCA



- Huge improvement with ORCA6 (510 days)

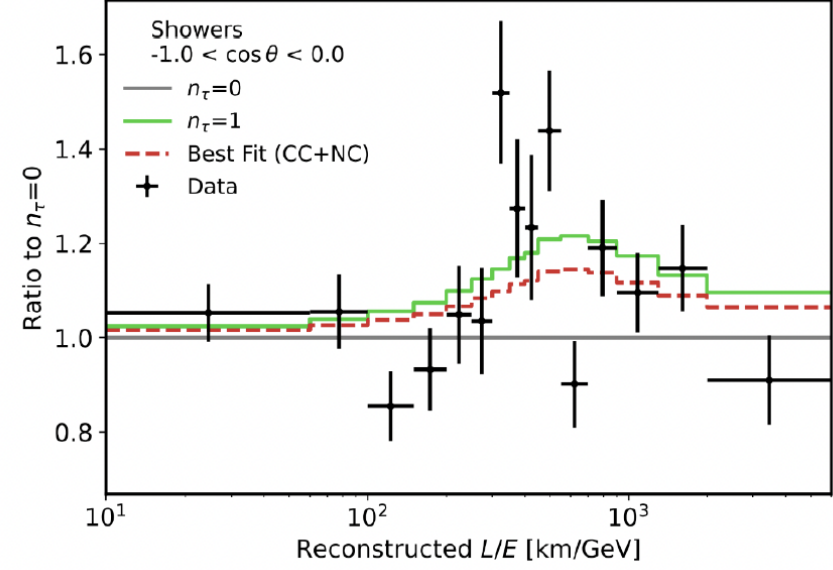
PoS(ICRC2023)996



For results on constraints on:

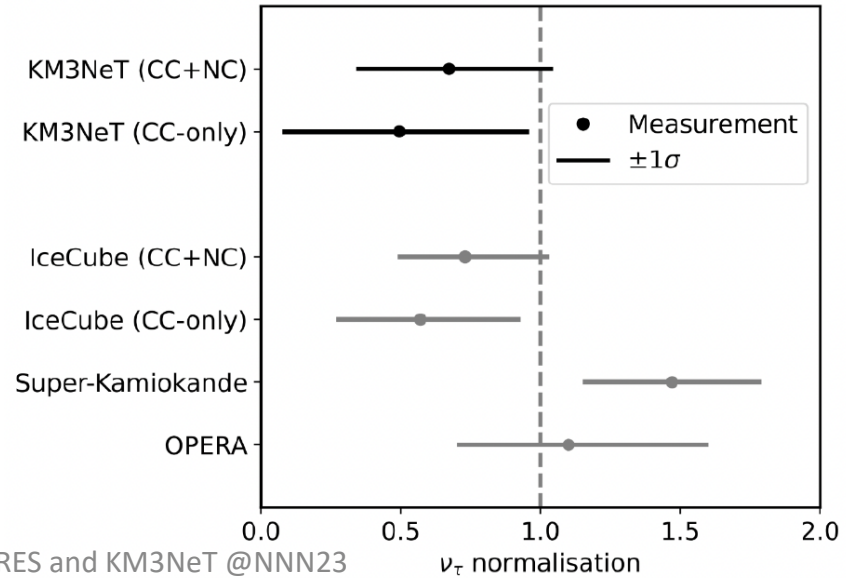
- $\nu$  NSI PoS(ICRC2023)998
- $\nu$  decoherence PoS(ICRC2023)1025
- $\nu$  decay PoS(ICRC2023)997

KM3NeT/ORCA6 preliminary, 433 kton-years



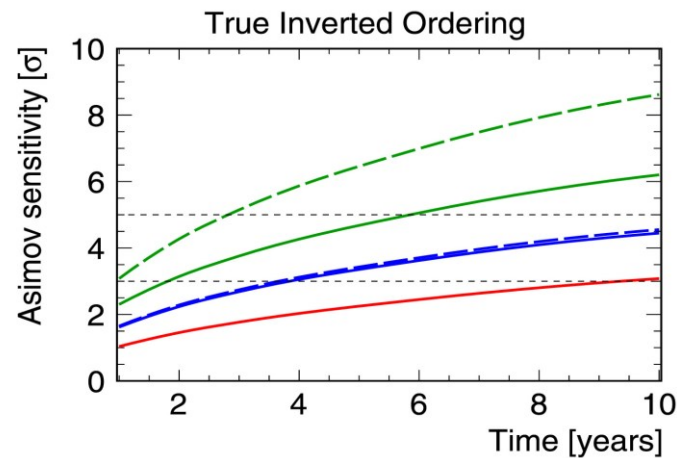
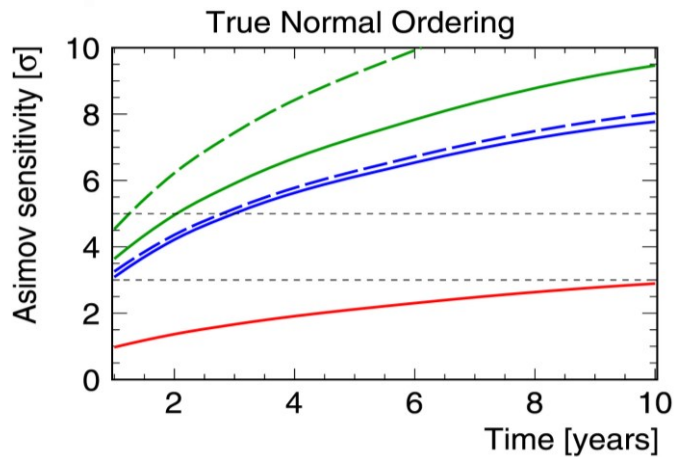
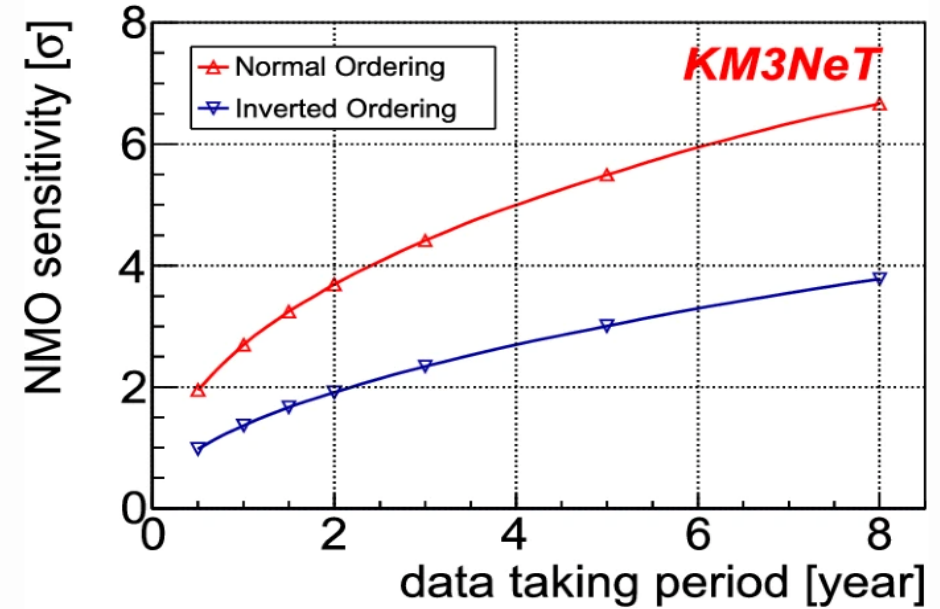
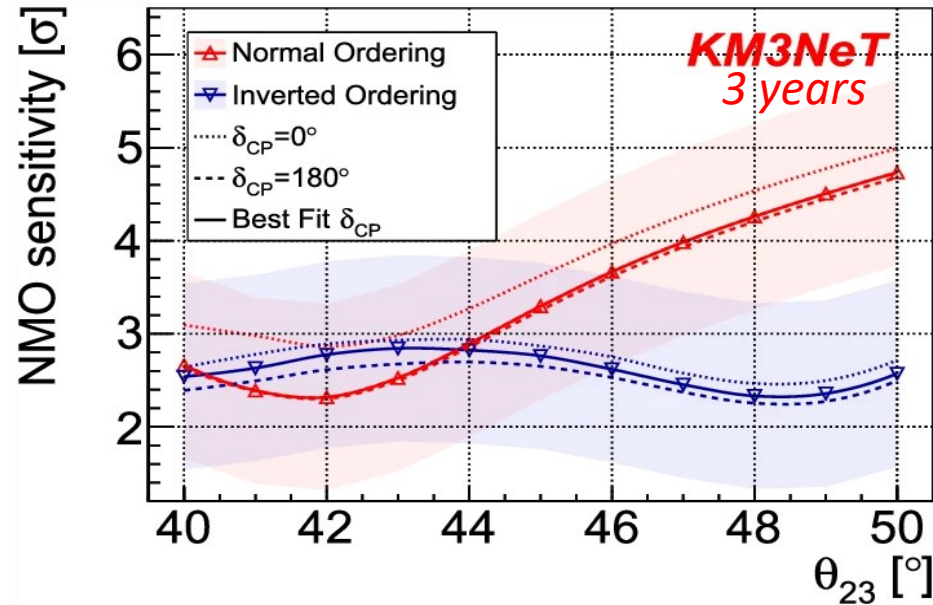
Excess of showers consistent with  $\nu_\tau$  appearance


PoS(ICRC2023)1107

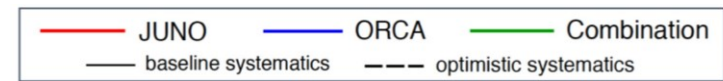


# Neutrino Mass Ordering: KM3NeT/ORCA goal

- Earth matter affects the oscillation pattern depending on NMO  EPJ. C 82, 26 (2022)



- Exploiting synergies with reactor experiments can boost the measurement  JHEP, 55 (2022)





# Physics Studies

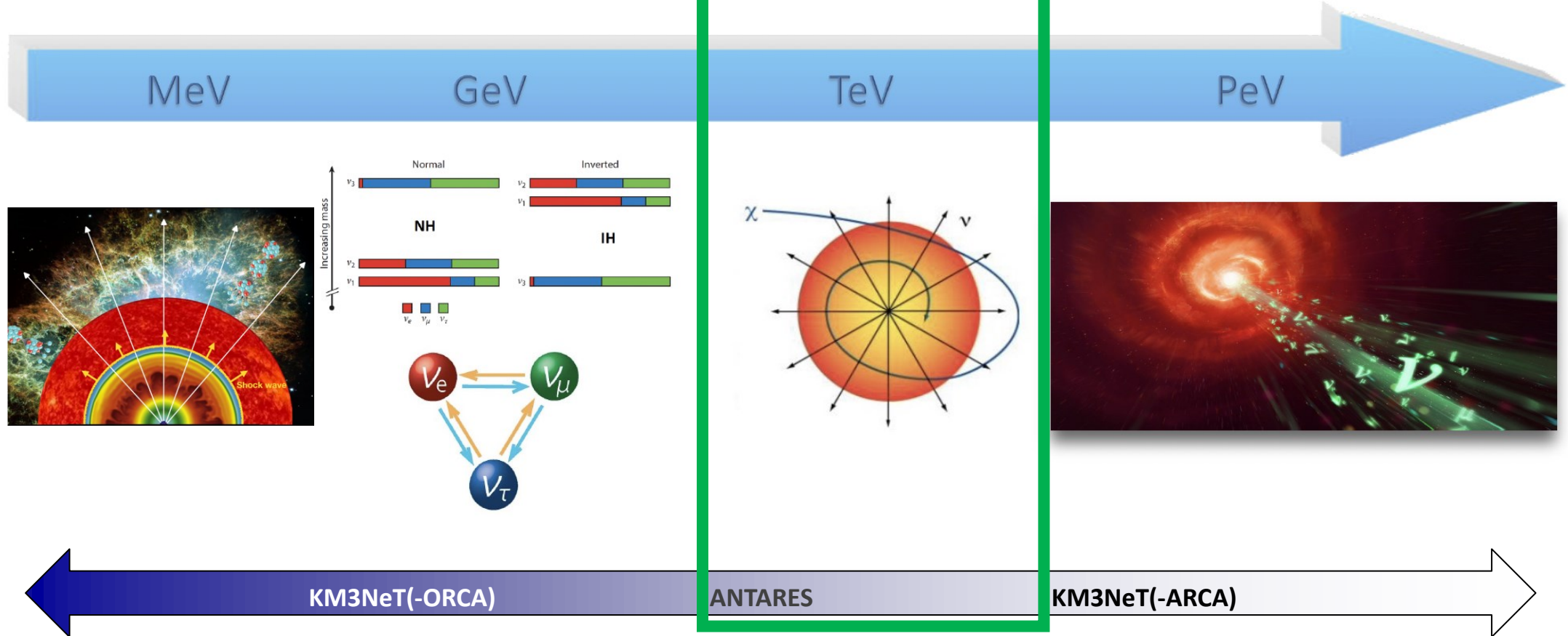


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## DM Earth

Physics of the Dark Universe, 16 (2017) 41–48

## DM Sun

Phys.Lett. B759 2016

JCAP 05 (2016) 016

JCAP11 (2013) 032

*PoS(ICRC2023)1406*

## DM Galactic Center

JCAP 06 (2022) 06, 028 (secluded DM)

Phys. Lett. B 805 135439 (2020).

Phys. Rev. D 102, 082002 (2020)

Phys. Lett. B 769 (2017) 249

JCAP 10 (2015) 068

*PoS(ICRC2023)1375*

*PoS(ICRC2023)1377*

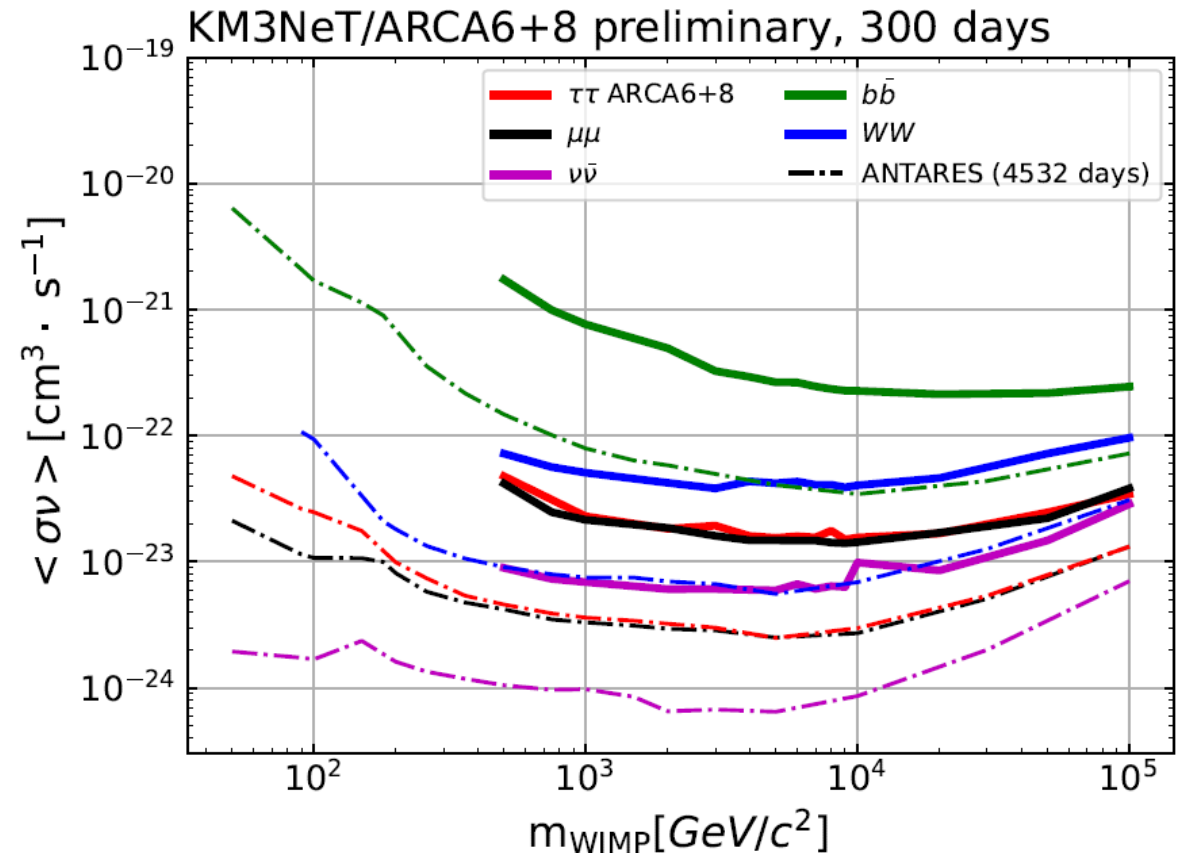
*PoS(ICRC2023)1443*

## Exotic

Magnetic monopoles: JHEAp, 34, 2022, 1-8 ;

Nuclearites (SQM): JCAP01(2023)012

Full ANTARES (4532.16 days) & ARCA6+8 (300 days)



The 90% CL upper limits on the thermally-averaged WIMP annihilation cross section vs. WIMP mass for each of the five annihilation channels using the GC direction



# Physics Studies

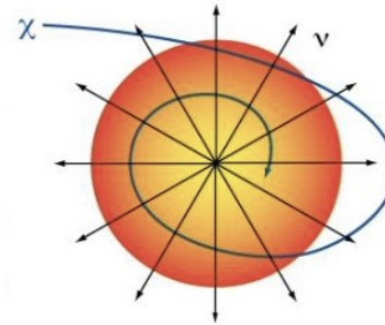
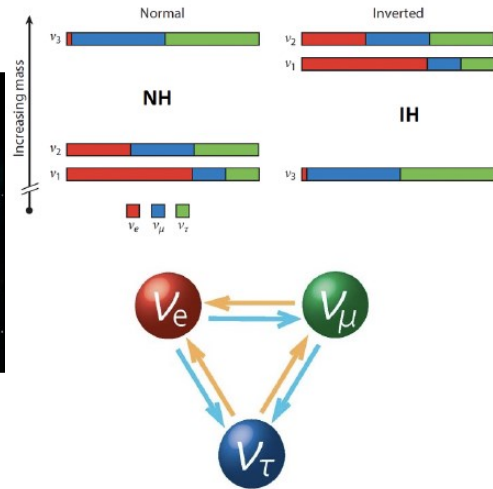
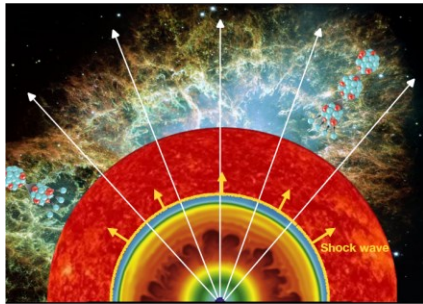
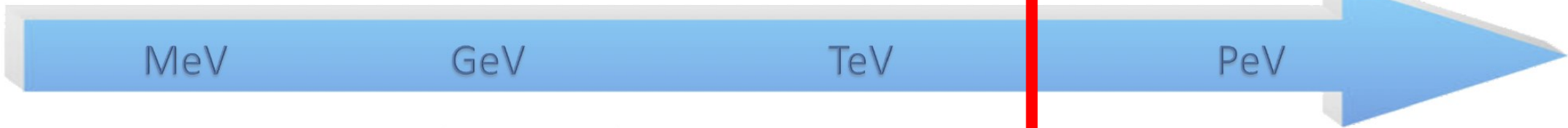


Supernovae  
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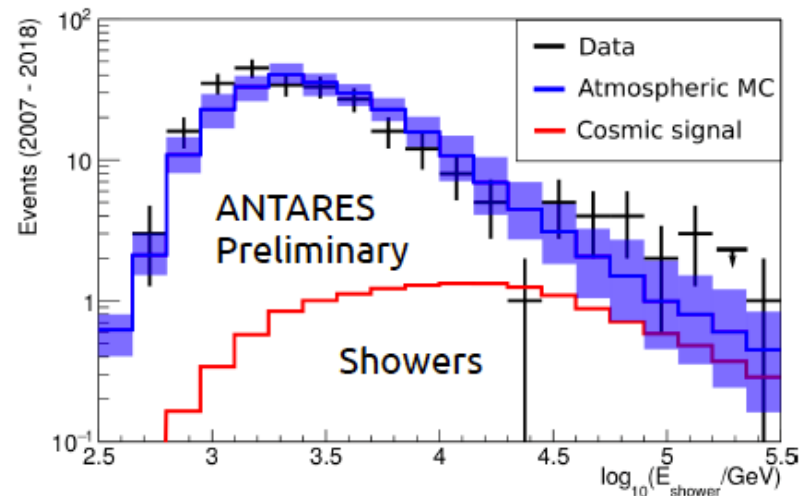
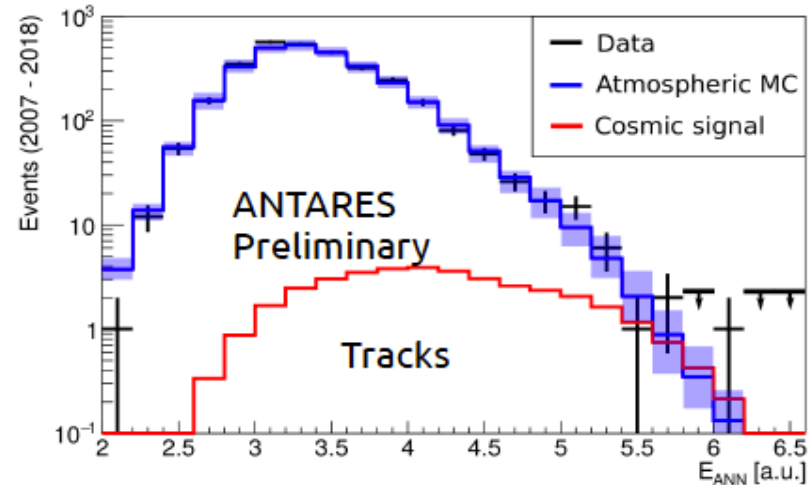




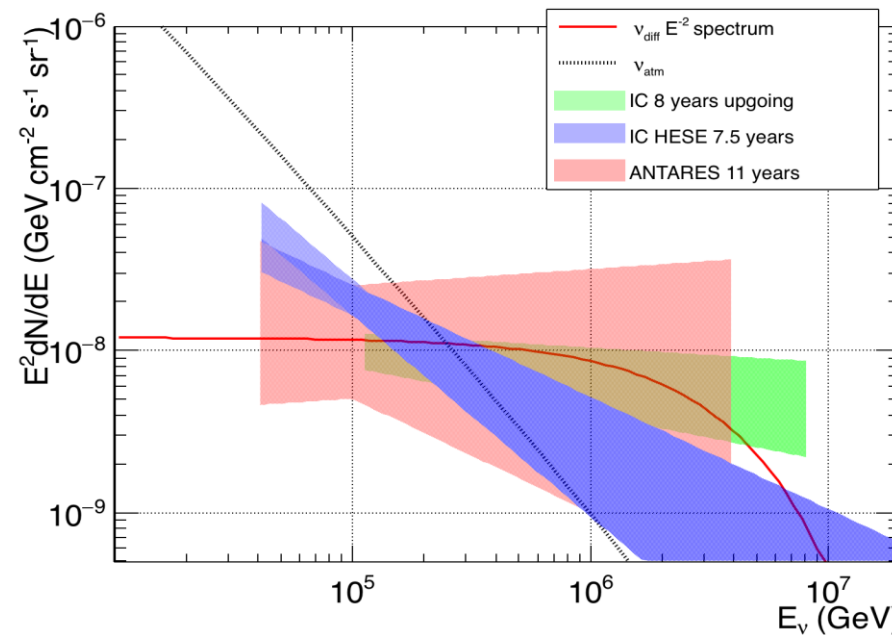
# Diffuse $\nu$ flux searches

Data sample 2007-2018 (3330 days) All-sky / All-flavor neutrino search

- Selection cuts optimized with Model Rejection Factor procedure (spectral index  $\Gamma = 2.5$ )
- Look for excess above a given energy threshold



Data: **50 events** (27 tracks + 23 showers)  
 Background expectation (atm. flux, incl. prompt) :  
 **$36.1 \pm 8.7$**  (19.9 tracks & 16.2 showers)

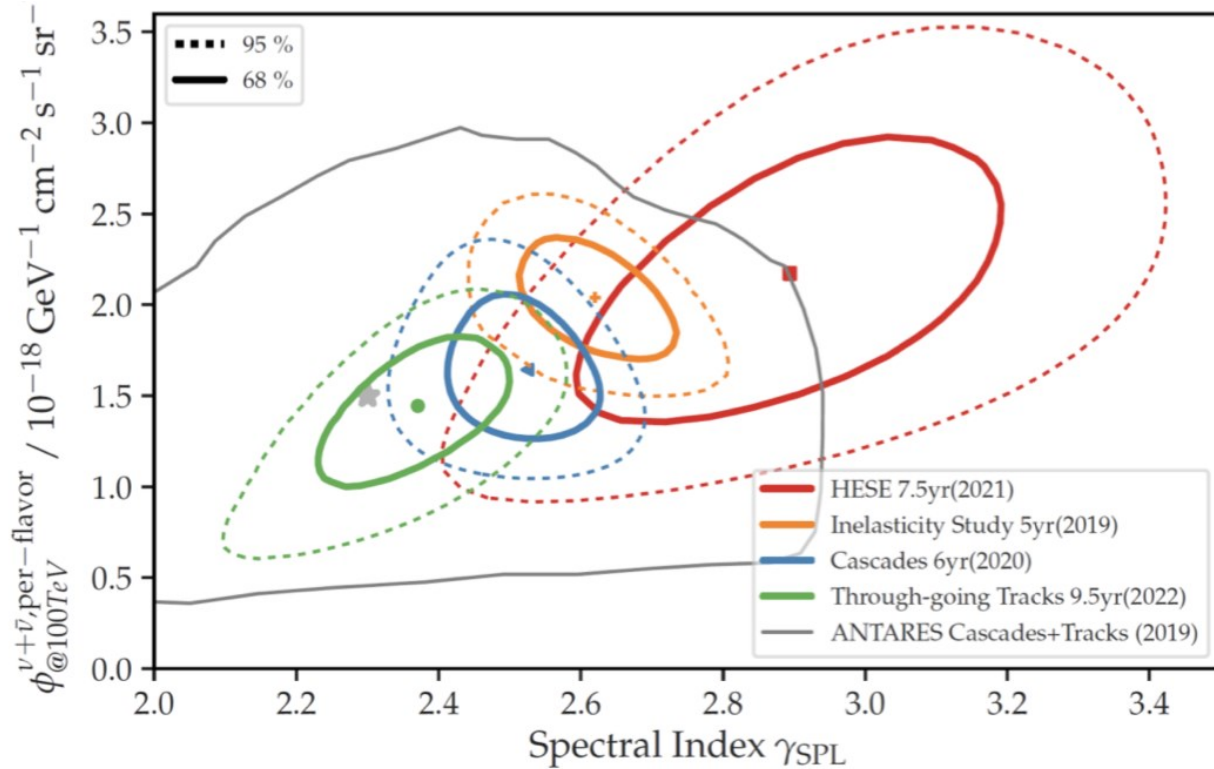


$$\Phi_{100\text{TeV}} = (1.5 \pm 1.0) \times 10^{-18} \text{ GeV}^{-1} \text{ cm}^{-2} \text{ s}^{-1} \text{ sr}^{-1}$$

$$\Gamma = 2.3 \pm 0.4$$

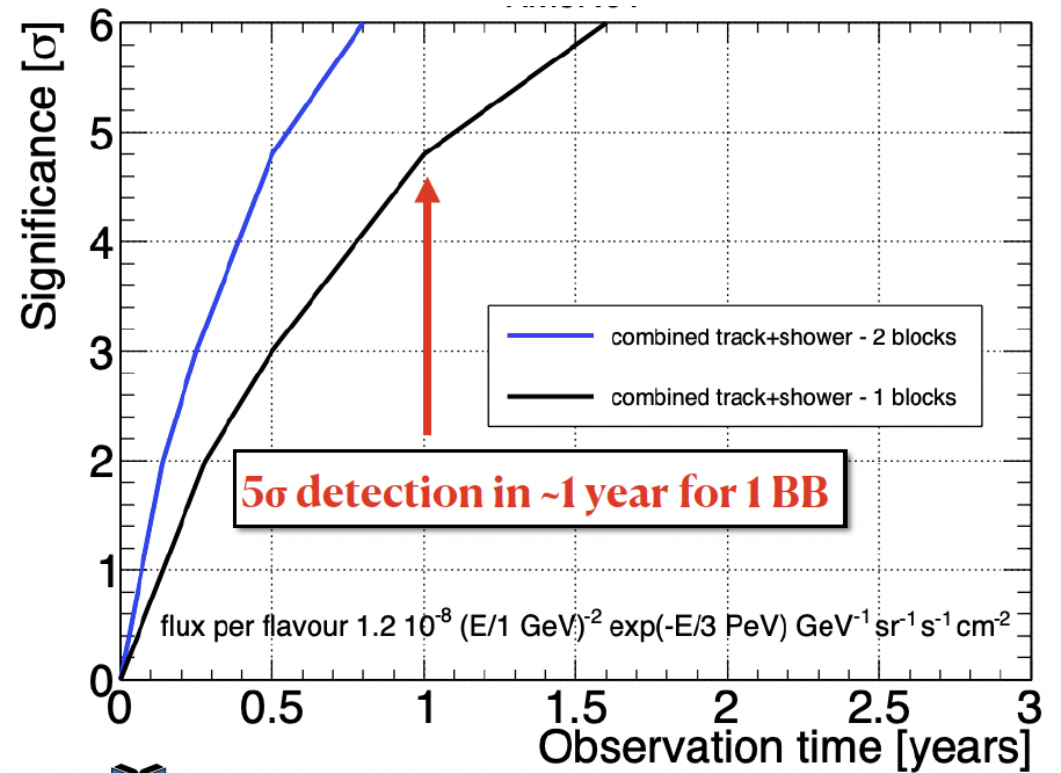


- Final ANTARES sensitivity ~ IceCube flux



Snowmass 2021: arXiv:2203.08096

- Independent confirmation with KM3NeT

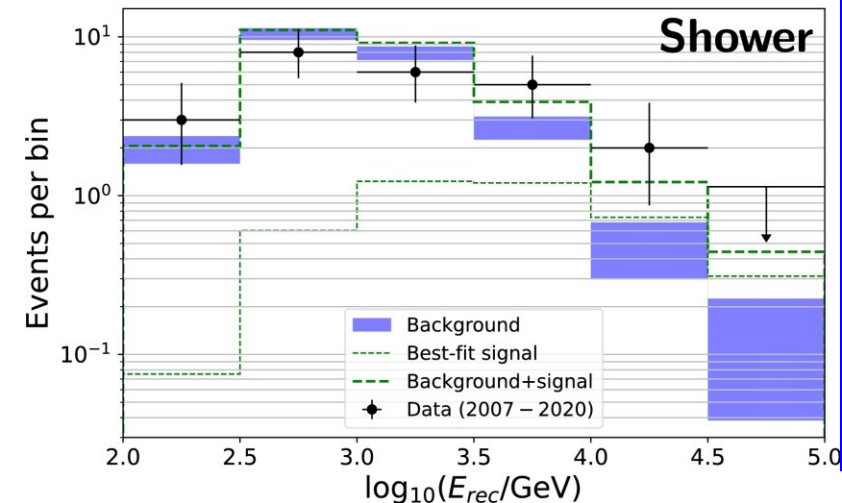
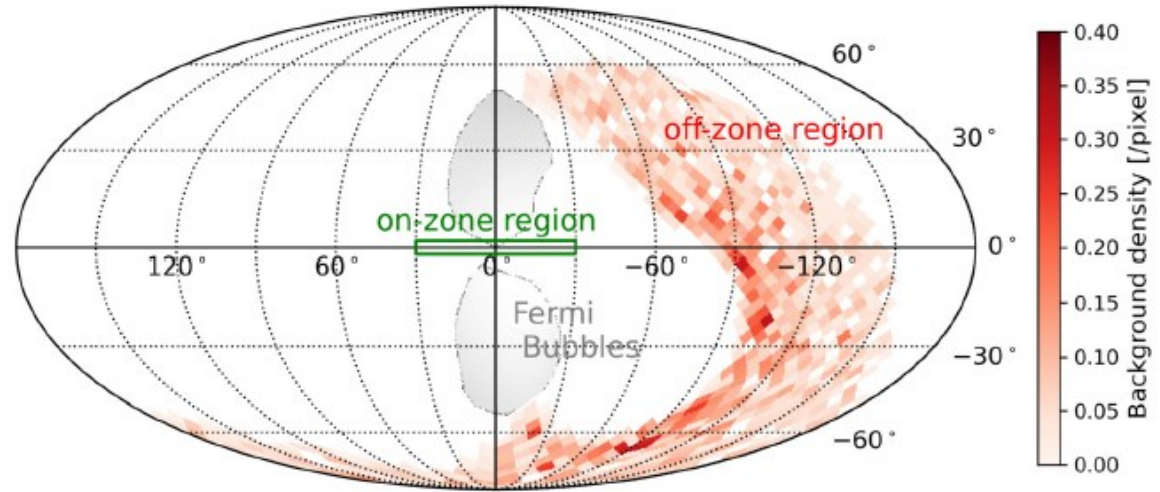
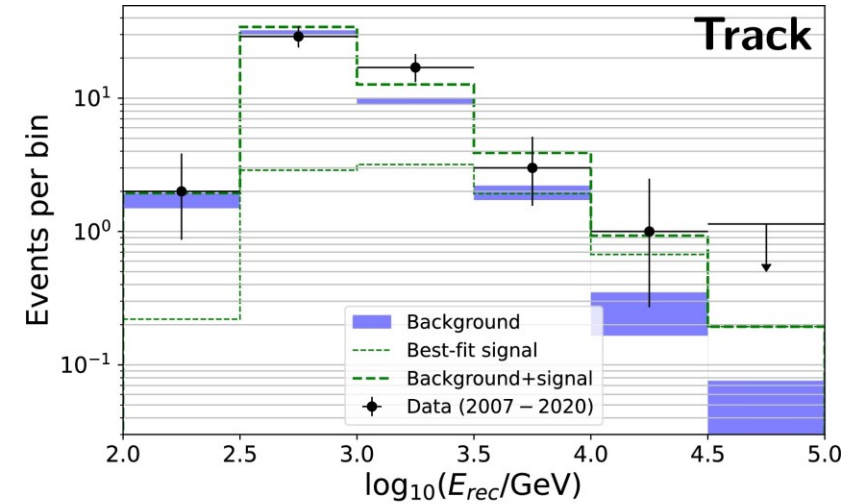


[ApJ 111 \(2019\), 100-110](#)

Preliminary KM3NeT results: PoS(ICRC2023)1195

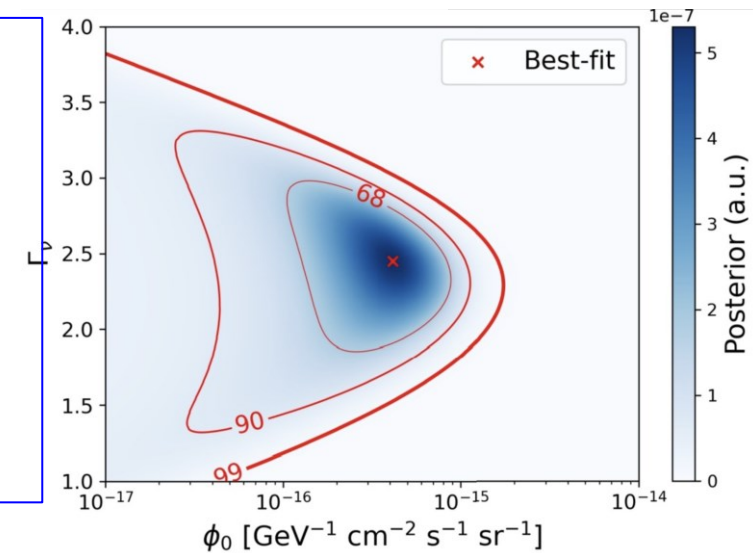
# Search for Diffuse Galactic Emission

- More robust analyses: background measured from OFF regions of same local acceptance



## Above 1 TeV:

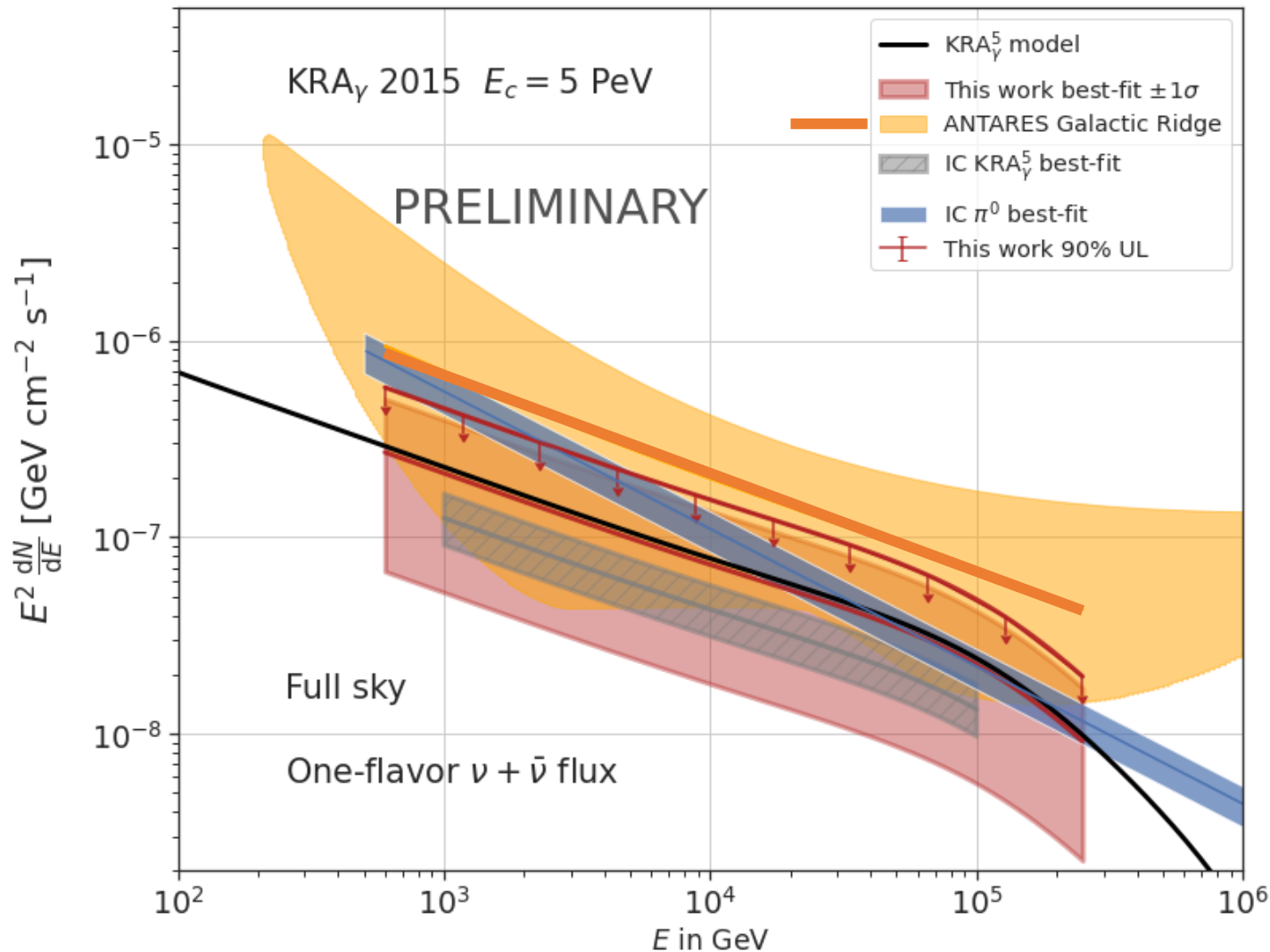
- Signal region: **21 (13)** tracks (showers)
- Background,  **$11.7 \pm 0.6$  ( $11.2 \pm 0.9$ )**
- Background rejection significance of 98%, i.e.  $2.2 \sigma$  one-tailed excess.
- Compatible with IceCube signal







# Comparison with IceCube «template» results



- ANTARES best fit from above the CR propagation models, even though its uncertainties are rather large
- ANTARES above the IceCube best fit
- IceCube results are a factor  $\sim 2$  below the CR models for KRA-models, while above the  $\pi^0$  model

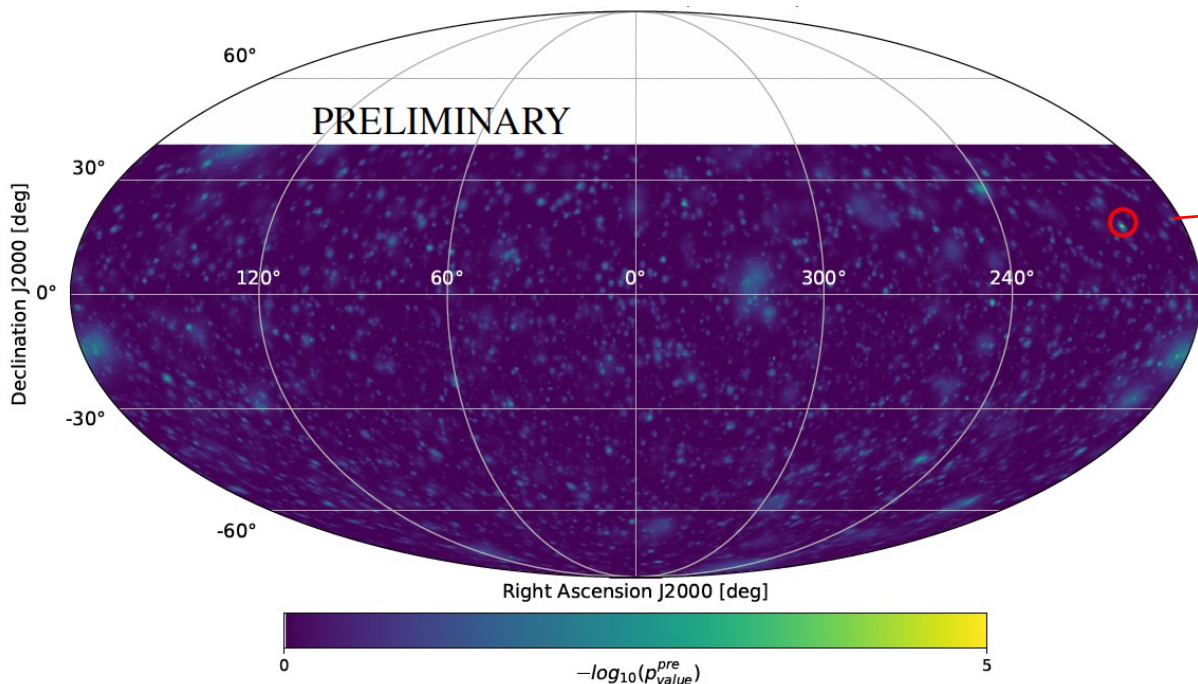
This has triggered investigations

- V. Vecchiotti et al., [arXiv.2306.16305](https://arxiv.org/abs/2306.16305)
- A. Ambrosone et al. [arXiv.2306.17285](https://arxiv.org/abs/2306.17285)
- A. Neronov et al., [arXiv.2307.07978](https://arxiv.org/abs/2307.07978)
- LHAASO Coll., [PoS\(ICRC2023\) 1091](https://arxiv.org/abs/2307.07978)
- G. Schwefer et al. [PoS\(ICRC2023\)1502](https://arxiv.org/abs/2307.07978)
- G. Giacinti et al, [PoS ICRC2023\) 813](https://arxiv.org/abs/2307.07978)
- ....



# Search for Point Sources

ANTARES 2007-2022 final sample:  
11029 tracks and 239 showers



Hottest spot ( $\delta, RA$ ) = (17.74, 200.46)  
Pre(post)-trial significance  $4.0\sigma$  ( $1.2\sigma$ )

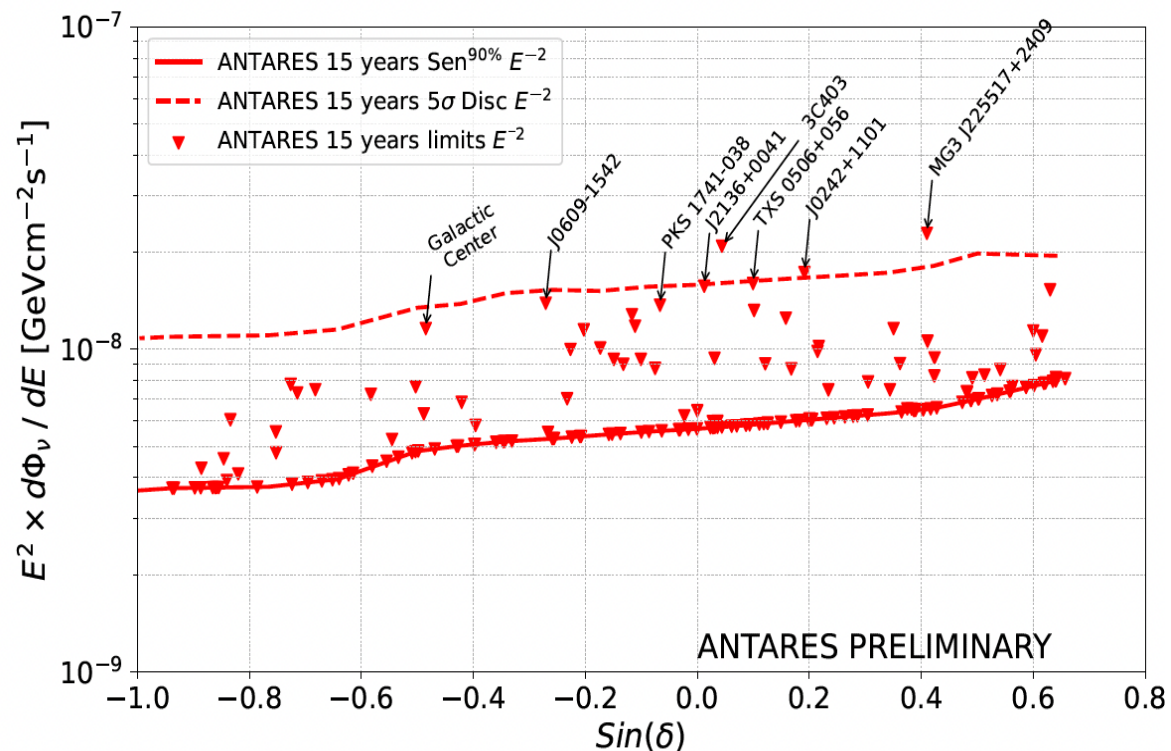
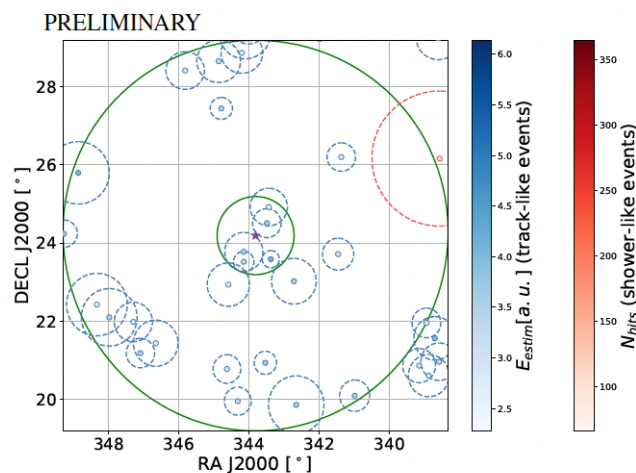
PoS(ICRC2023)1128

## Candidate-list search

Most significant:

MG3 J225517+2409

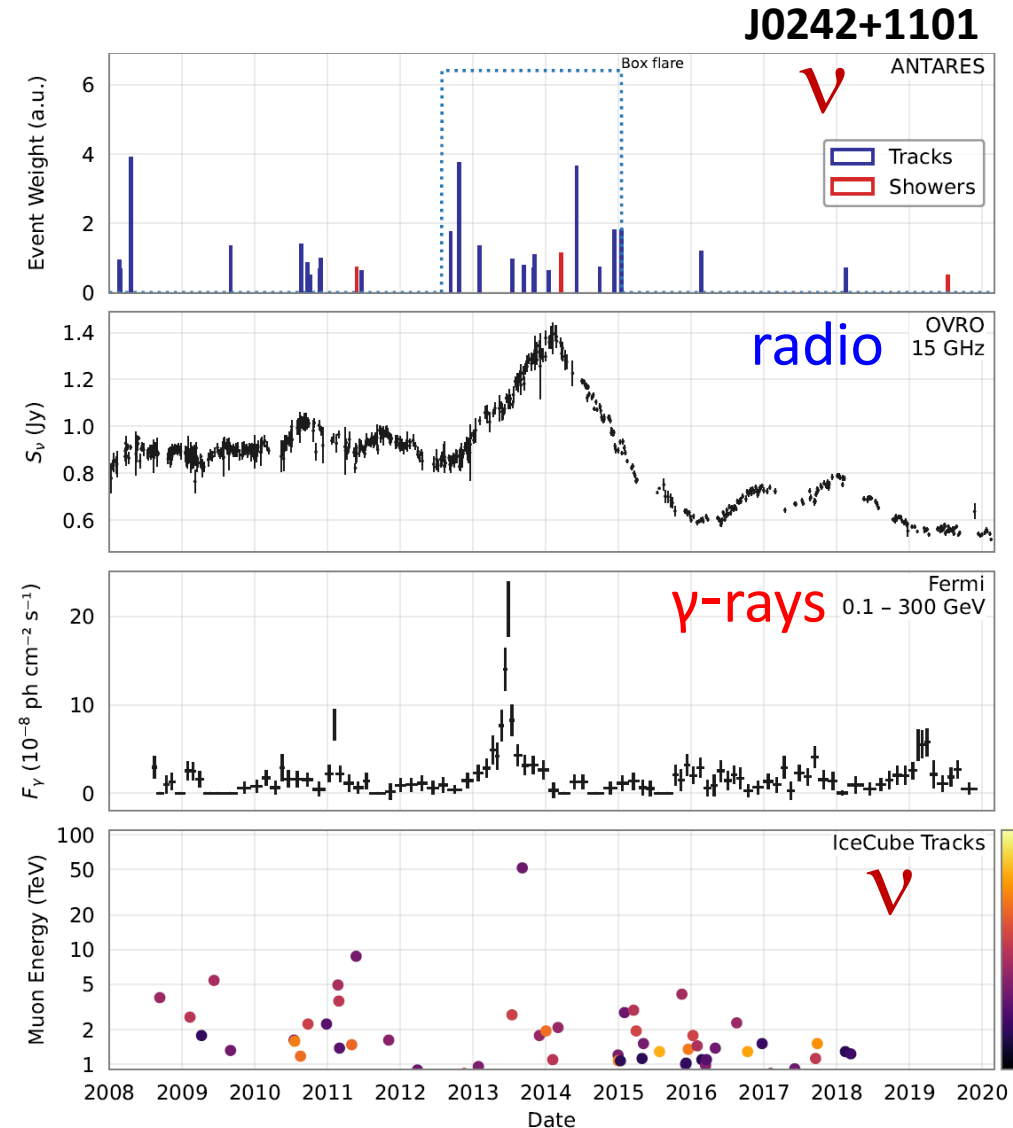
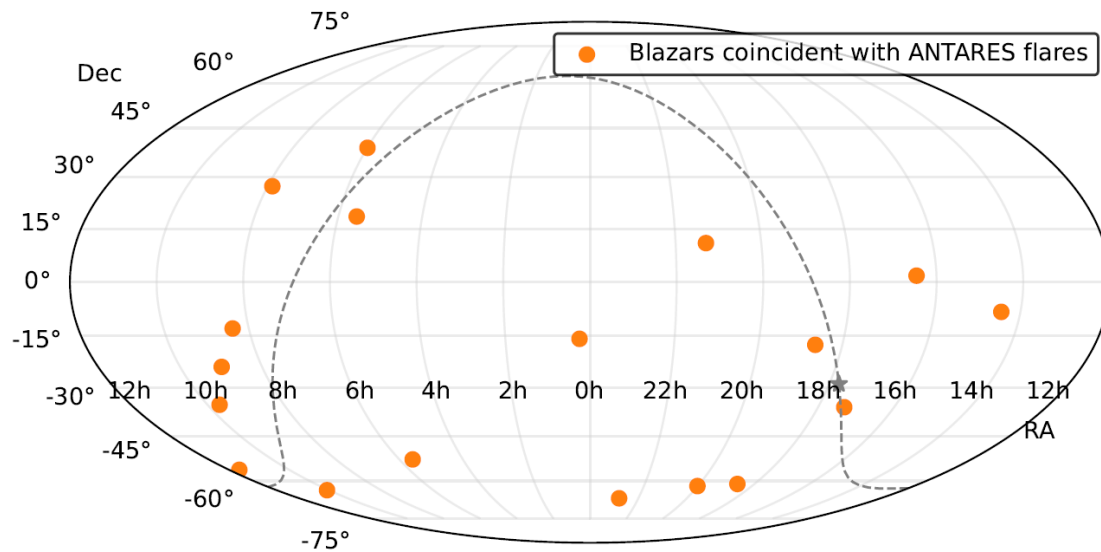
Pre(post)-trial  $3.4\sigma$  ( $1.7\sigma$ )





# $\nu$ in the direction of radio-bright blazars

- $\nu$ -blazar directional correlation tested by a likelihood approach.
- The resulting post-trial p-value is 3.0% ( $2.2\sigma$  in the two-sided)
- A time-dependent analysis searching for temporal clustering of  $\nu$ 's yields **18 sources** with a pre-trial significance above  $3\sigma$  indicates a  $p = 1.4\%$  ( $2.5\sigma$  two-sided).
- An a posteriori investigation reveals a temporal coincidence of neutrino, radio, and  $\gamma$ -ray flares of **J0242+1101 blazar** at a  $p = 0.5\%$  ( $2.9\sigma$  two-sided) level.



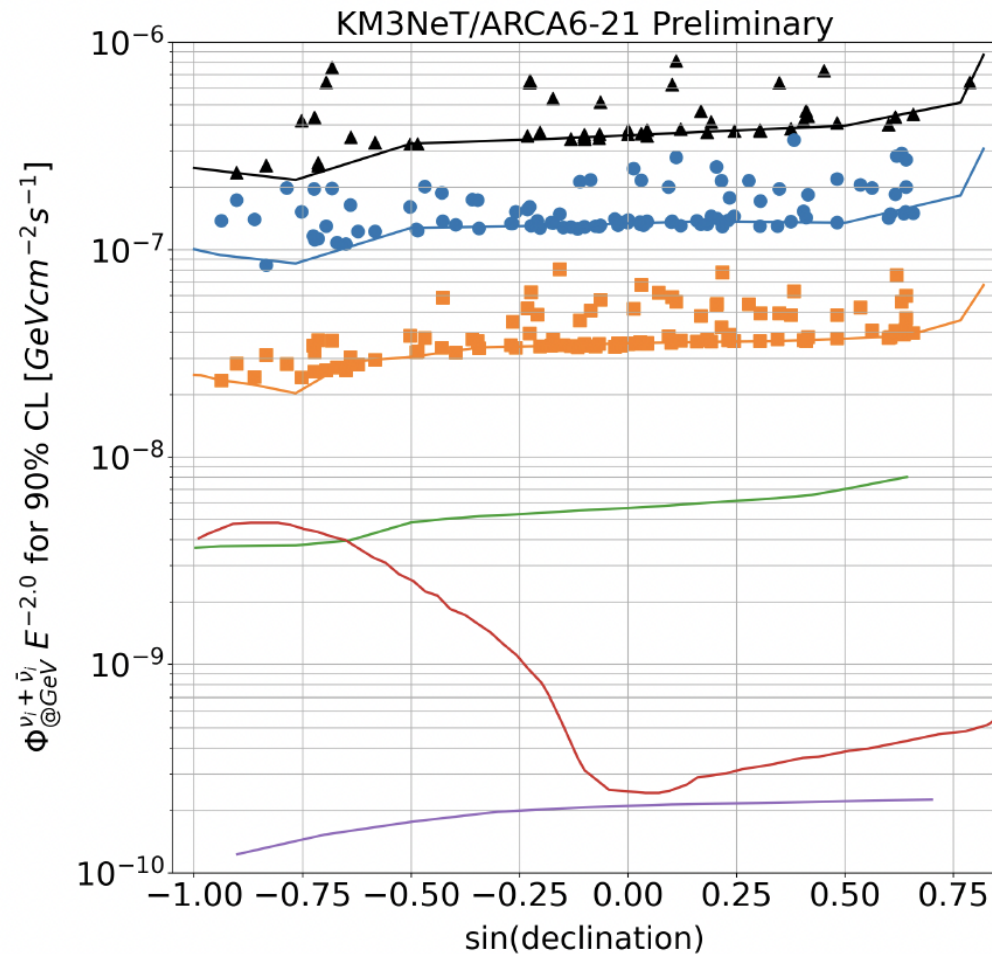
Multi-messenger light curves from the direction of the blazar J0242+1101 since 2008.



# Search for point sources: perspectives



PoS(ICRC2023)1018 & 1075



## Sensitivity

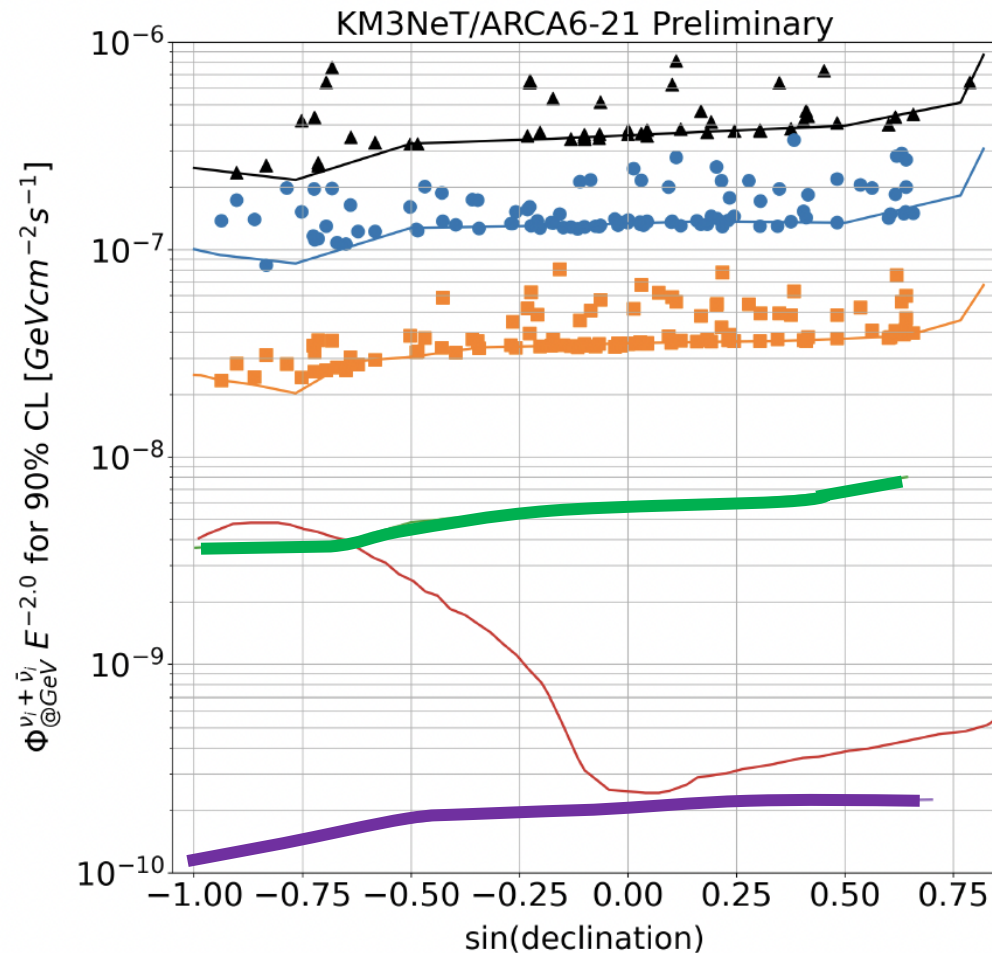
- ARCA6 (92 days)
- ARCA6-8 (302 days)
- ARCA6-21 (424 days)
- ANTARES (15 yr)
- IceCube (10 yr)
- ARCA230 (10 yr)

Better sensitivity (for equivalent exposure) and better sky coverage than IceCube

# Search for point sources: perspectives



PoS(ICRC2023)1018 & 1075



## Sensitivity

- ARCA6 (92 days)
- ARCA6-8 (302 days)
- ARCA6-21 (424 days)
- ANTARES (15 yr)
- IceCube (10 yr)
- ARCA230 (10 yr)

**ANTARES 15 y**

**KM3NeT/ARCA 10y**

Better sensitivity (for equivalent exposure) and better sky coverage than IceCube

# The multi-messenger program

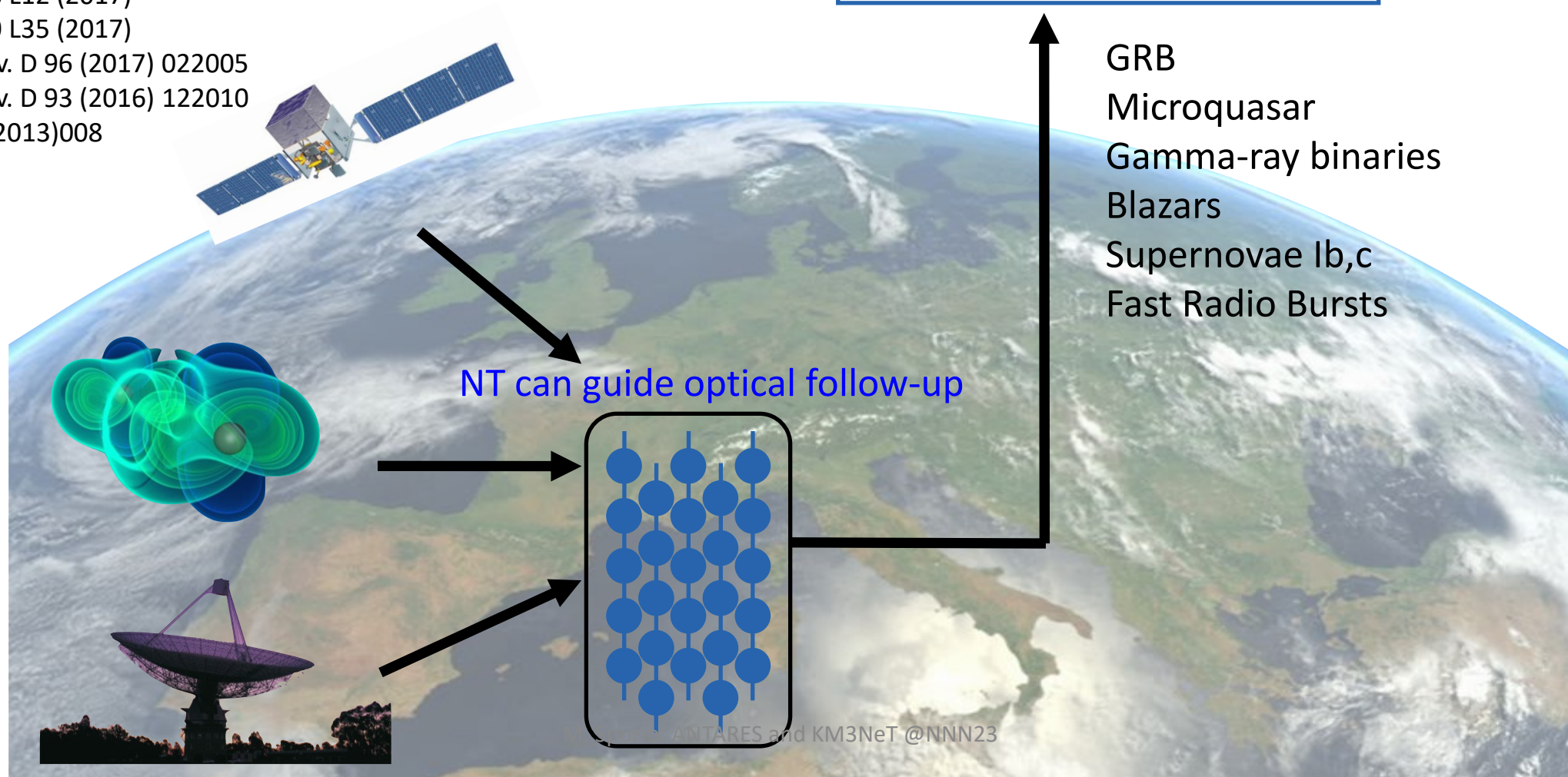
Legacy paper arXiv:2211.07551

- Eur. Phys. J. C 80, 487 (2020)
- ApJ 870 (2019) 2
- ApJL 848 L12 (2017)
- ApJL 850 L35 (2017)
- Phys. Rev. D 96 (2017) 022005
- Phys. Rev. D 93 (2016) 122010
- JCAP06(2013)008

1<sup>ST</sup> APPROACH:

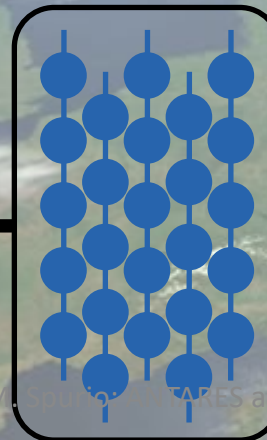
**Time dependent searches**

- GRB
- Microquasar
- Gamma-ray binaries
- Blazars
- Supernovae Ib,c
- Fast Radio Bursts





## 2<sup>ND</sup> APPROACH:

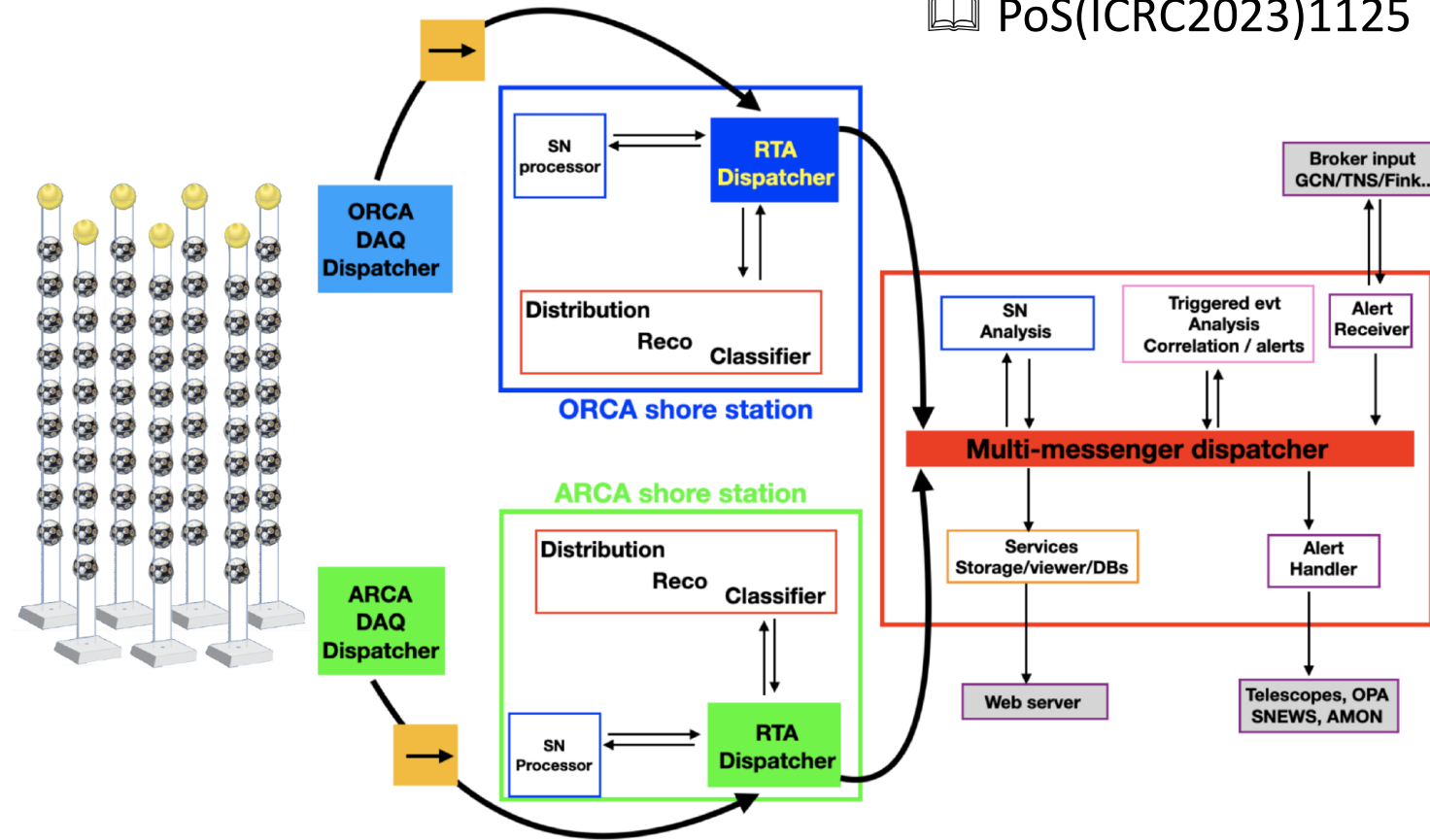


- Time to send an alert:  $\sim 5$  s
- First optical image  $< 20$  s
- Median angular resolution:  $\sim 0.3^\circ$
- Triggers: single HE event, preferred direction, multiplets

# KM3NeT taking up the torch online



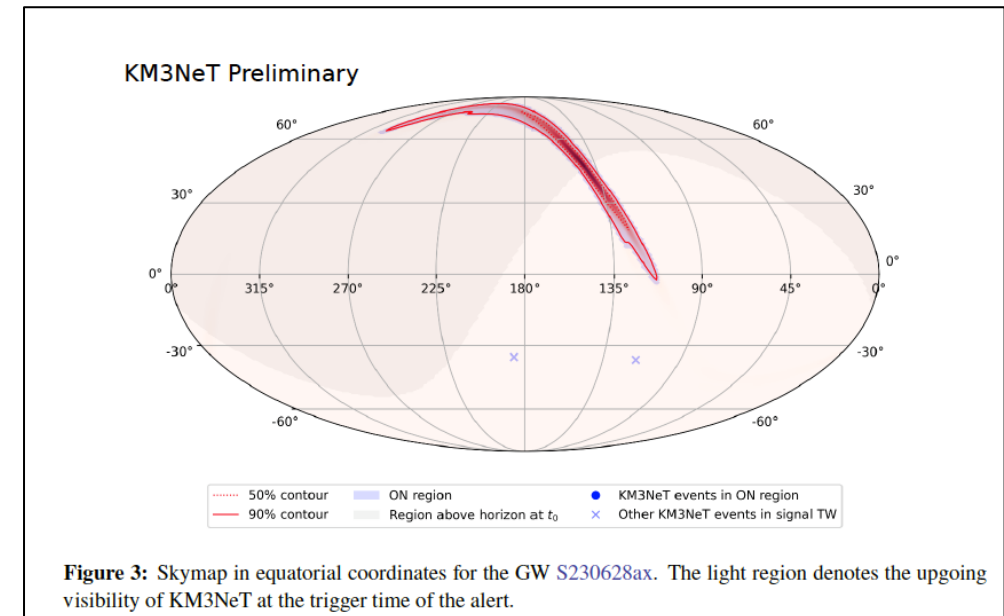
PoS(ICRC2023)1125



KM3NeT real-time platform active from November 2022


- On average  $\sim 4$  s to reconstruct and classify ARCA events
- $\sim 6$  seconds to reconstruct and classify ORCA events

→ Follow-up of O3 events & on-going follow-up of O4 events PoS(ICRC2023)1506



# Sea and Earth Science


During operation on the ANTARES/  
KM3NeT site, last summer

 **Deep-Sea Research I 58 (2011) 875–884**  
*Acoustic and optical variations during rapid downward motion episodes in the deep North Western Mediterranean*

 **PLoS ONE 8 (7) 2013**  
*Deep-sea bioluminescence blooms after dense water formation at the ocean surface*

 **Ocean Dynamics, April 2014, 64, 4, 507-517**  
*High-frequency internal wave motions at the ANTARES site in the deep Western Mediterranean*

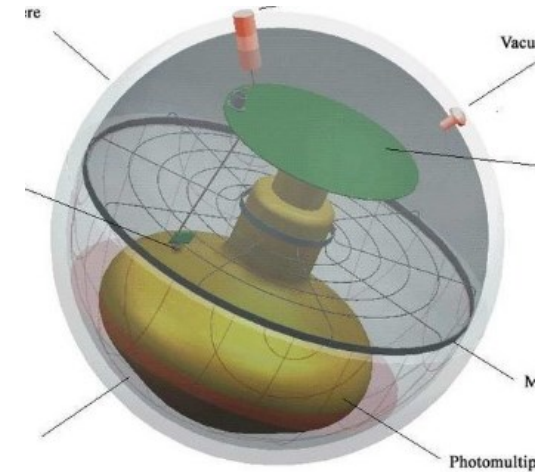
 **J of Geophysical Research: Oceans, 122, 3, 2017**  
*Deep sediment resuspension and thick nepheloid layer generation by open-ocean convection*

 **Sci. Rep. 7 (2017) 45517**  
*Sperm whale diel behaviour revealed by ANTARES, a deep-sea neutrino telescope*

 **<https://arxiv.org/abs/2107.08063>**  
*Studying Bioluminescence Flashes with the ANTARES Deep Sea Neutrino Telescope*



- **ANTARES**: first undersea Cherenkov detector
  - **Demonstration of the great potential of deep-sea Neutrino Telescopes**
  - Excellent angular resolution, view of Southern sky, competitive sensitivities
  - Constraints on the origin of the IceCube signal
  - Hint of a Galactic neutrino diffuse emission
  - Last results and legacy program to be pursued in the coming year
- **KM3NeT**: phased approach to next-generation neutrino telescope by 2028
  - Deployment of detection units at a good pace.
  - Now: KM3NeT/ARCA **28 strings** KM3NeT/ORCA **18 strings**
  - **KM3NeT/ORCA and ARCA combine a rich neutrino physics and astrophysics scientific scope, from MeV to PeV energies**
  - **Unique infrastructure for multidisciplinary program.**







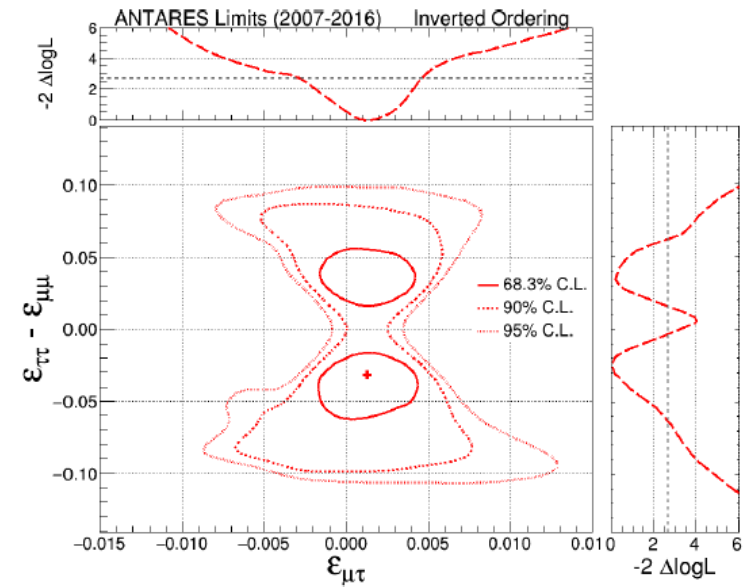
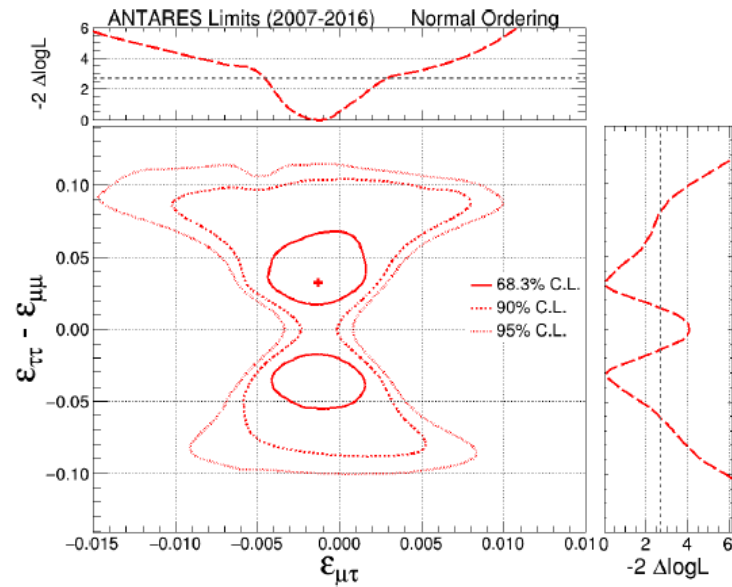
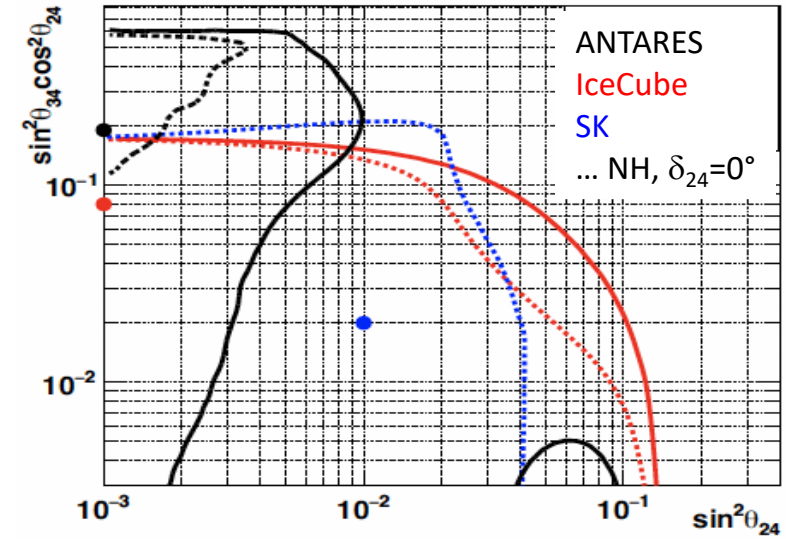
# $\nu$ -oscillation studies: Sterile & NSI

JHEP (2019) 113

JHEP (2022) 48

- (3+1) sterile neutrino models  $\Delta m^2_{41} > 0.5 \text{ eV}^2$
- Our results (90% CL) exclude regions of the parameter space not yet excluded by other experiments.

- Non-standard interactions signature in  $\nu$  oscillation patterns are detectable
- A log-likelihood ratio test of the dimensionless coefficients  $\epsilon_{\mu\tau}$  and  $\epsilon_{\tau\tau} - \epsilon_{\mu\mu}$  does not provide clear evidence of deviations from standard interactions.
- The non-NSI hypothesis is disfavored with a significance of  $1.7\sigma$  ( $1.6\sigma$ ) for the normal (inverted) mass ordering scenario.

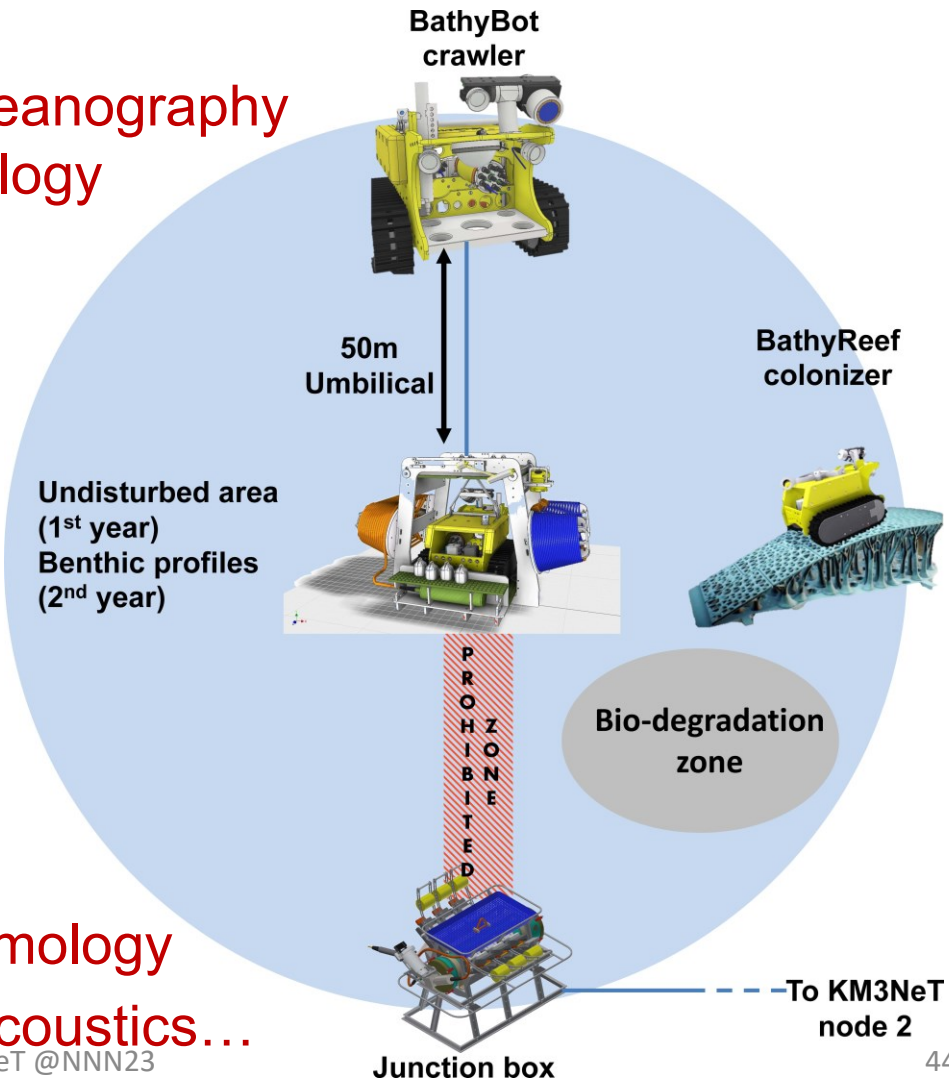




- Deep-Sea Research I 58 (2011) 875–884  
*Acoustic and optical variations during rapid downward motion episodes in the deep North Western Mediterranean*
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- Ocean Dynamics, April 2014, 64, 4, 507-517  
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- J of Geophysical Research: Oceans, 122, 3, 2017  
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- Sci. Rep. 7 (2017) 45517  
*Sperm whale diel behaviour revealed by ANTARES, a deep-sea neutrino telescope*
- <https://arxiv.org/abs/2107.08063>  
*Studying Bioluminescence Flashes with the ANTARES Deep Sea Neutrino Telescope*

A dedicated program on French KM3NeT Site

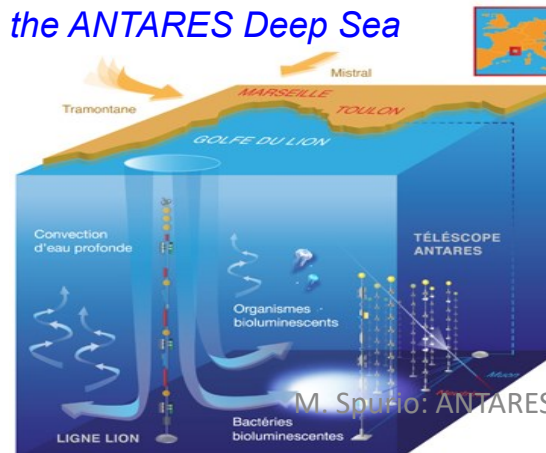
Oceanography  
Biology



Seismology  
Bioacoustics...

+ Citizen science

**REINFORCE**  
REsearch Infrastructures FOR Citizens in Europe

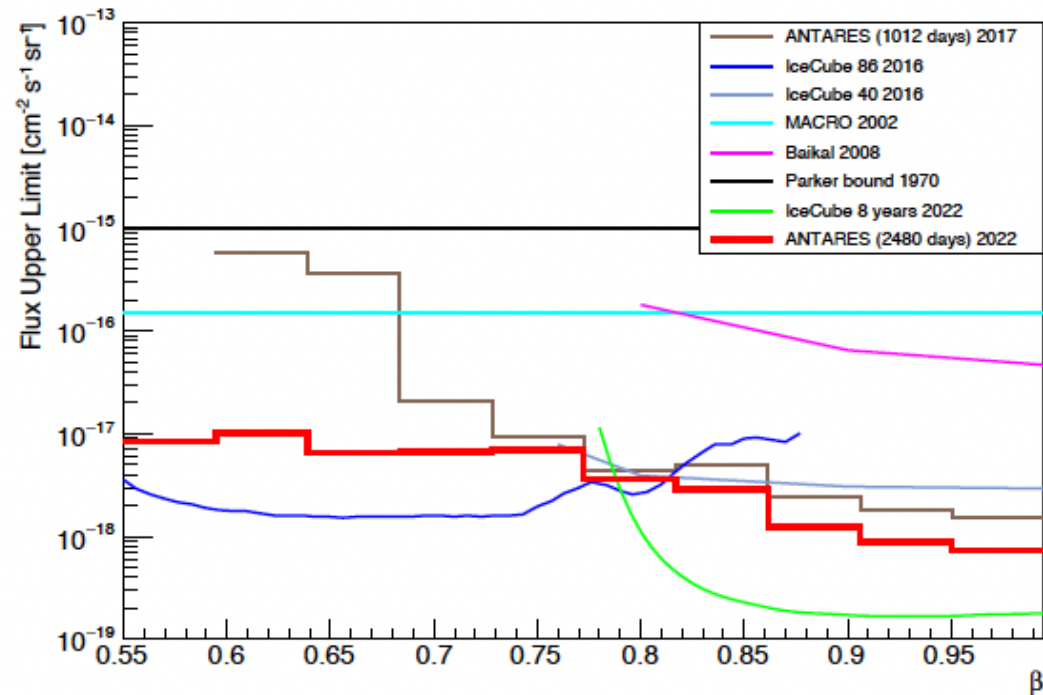


M. Spurio: ANTARES and KM3NeT @NNN23

# Search for Exotic Physics

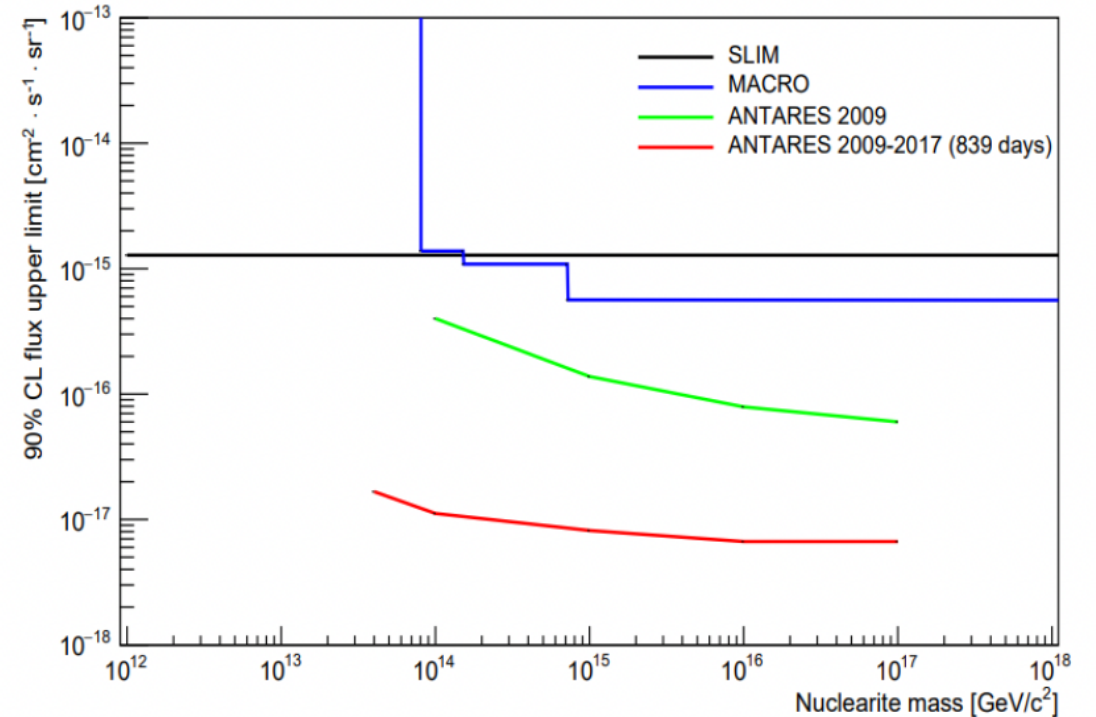
## Magnetic monopoles

Kasama, Yang and Goldhaber model  
Adapted reconstruction for slow moving particles



## Nuclearites of strange quark matter

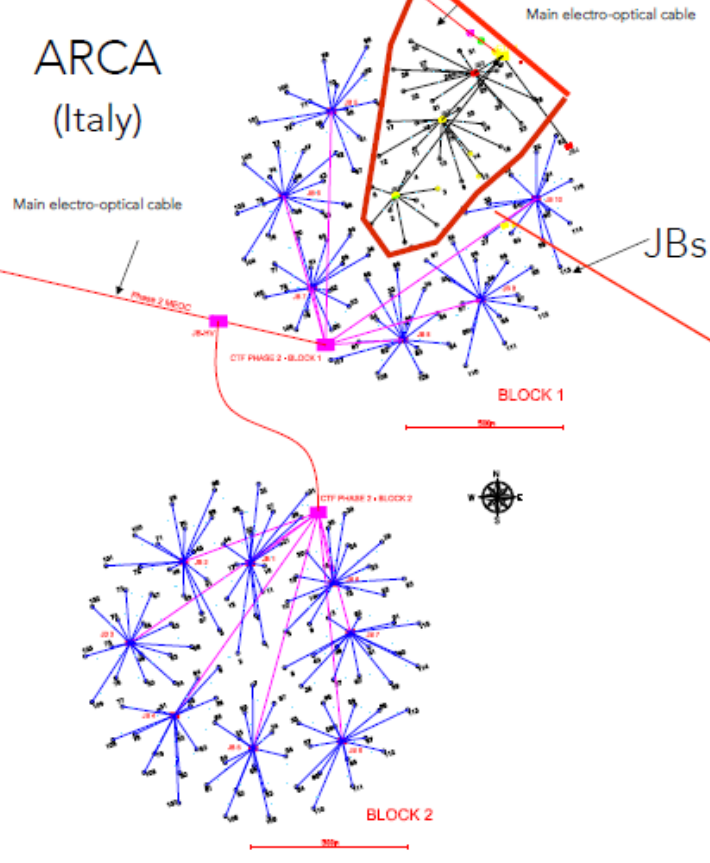
Down going flux with Galactic velocities  
according to De Rújula & Glashow model



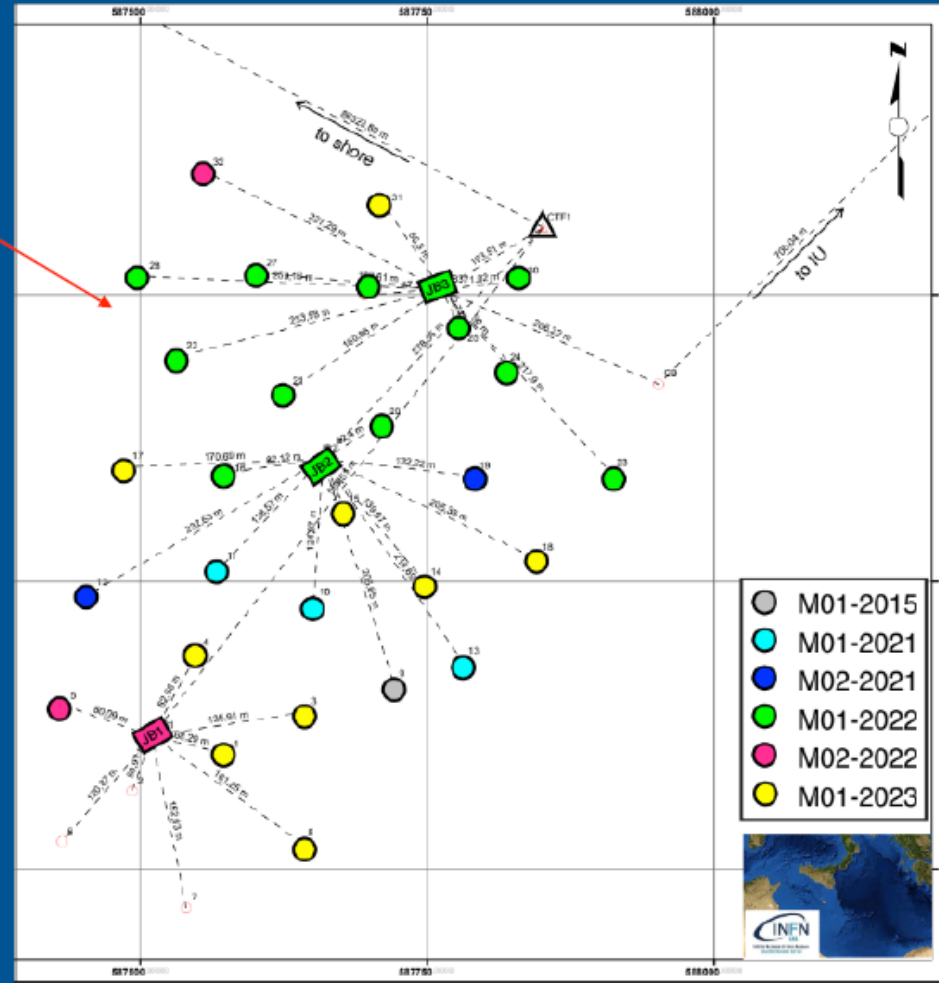
# THE KM3NET/ARCA STATUS

9

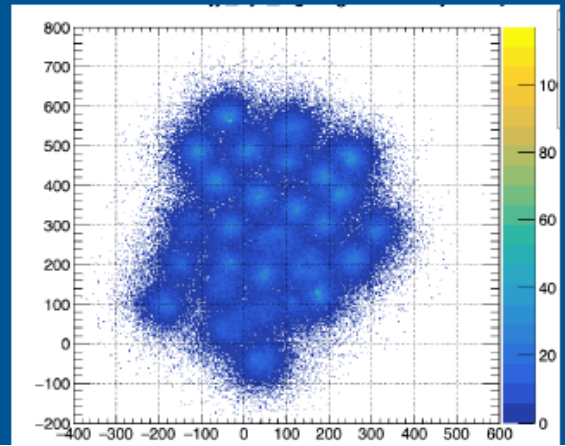
ARCA  
(Italy)



Current status 28 DUs deployed  
+ 3 JB



1-2 sea campaigns per year.  
The last one in  
September 2023 🖱 recovered 2 DUs  
not working and deployed 9 DUs  
Detector commissioning on-going



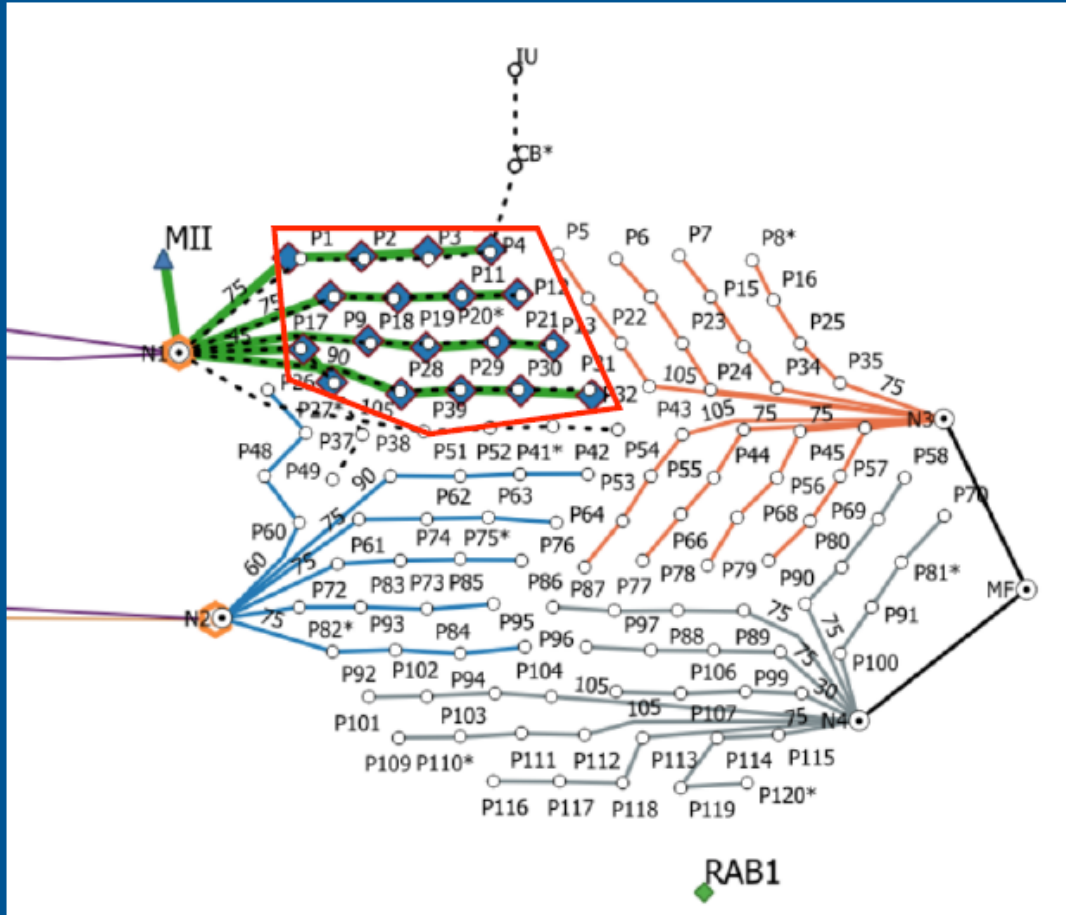


# THE KM3NET/ORCA STATUS

10

Current status 18 DUs deployed  
16 DUs taking data

Many sea campaigns/year

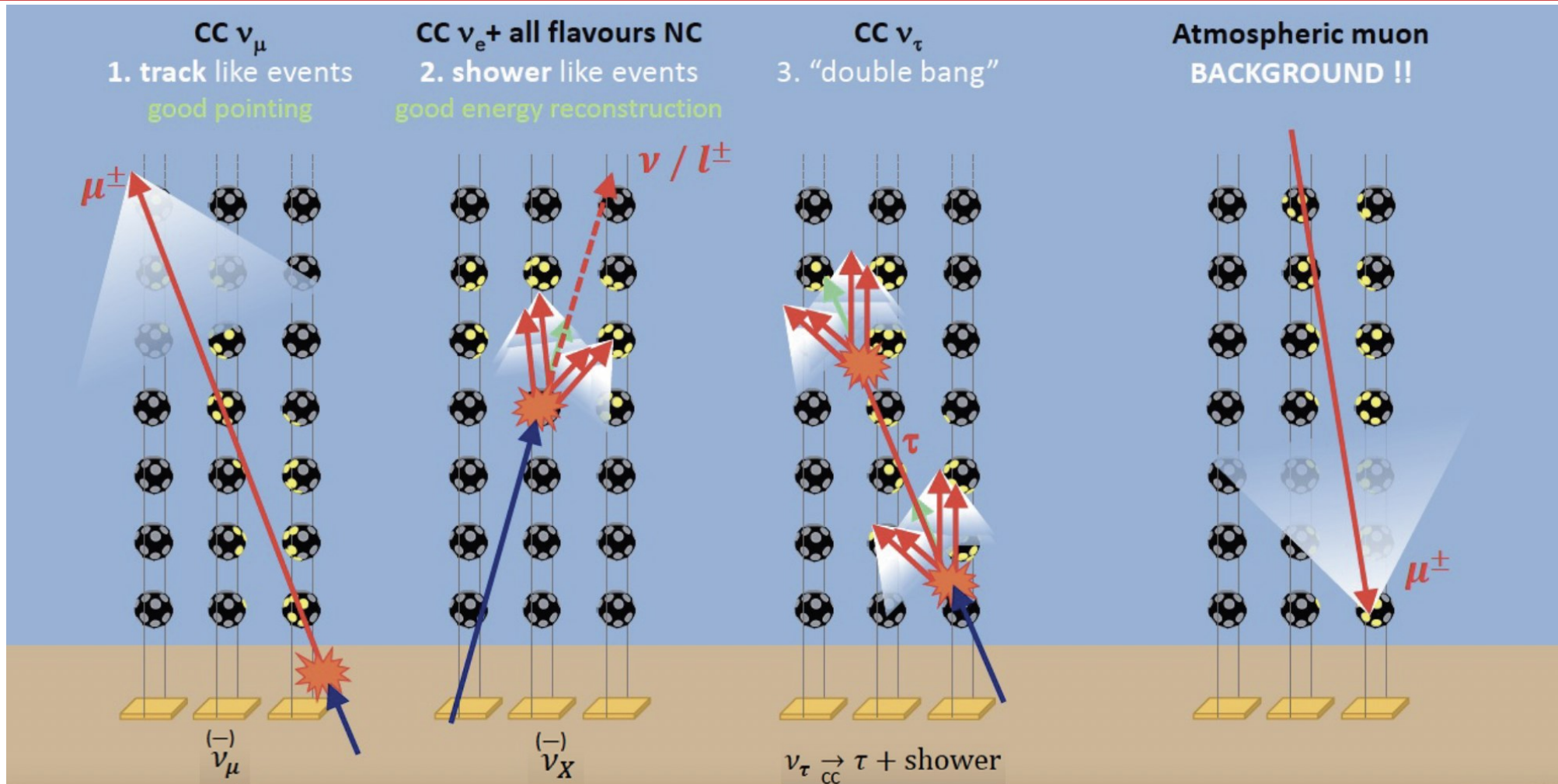


## Next campaigns

- November 2023 sea campaign 🖱️ Replace the two not working DUs and add 4 DUs 🖱️ 22 DUs
- December 2023 sea campaign 🖱️ + 2 DUs 🖱️ 24 DUs

For the end of 2023 completion of node 1 🖱️ 24 DUs

# Detection Principle in a nutshell



- **Tracks**: median ang. res. can drop **below  $0.1^\circ$**  above 100 TeV, factor 2 energy estimate
- **Showers**: median angular resolution can reach  **$1^\circ$**  at 100 TeV, 10% energy resolution