

# Super-Kamiokande: Neutrino Results & Gadolinium Status

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On behalf of the Super-Kamiokande Collaboration

NNN2023, Procida



# Outline



- Super-Kamiokande experiment
- Neutrino analyses
  - Atmospheric neutrino oscillations
  - Solar neutrinos
- SK-Gd
  - Gadolinium loading status
  - Diffuse Supernova Neutrino Background (DSNB) Search

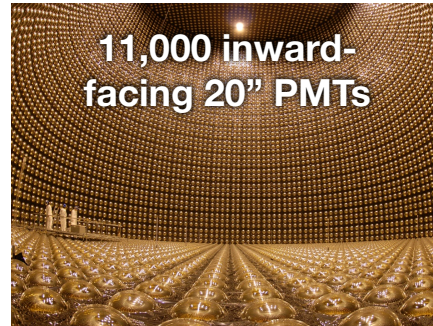
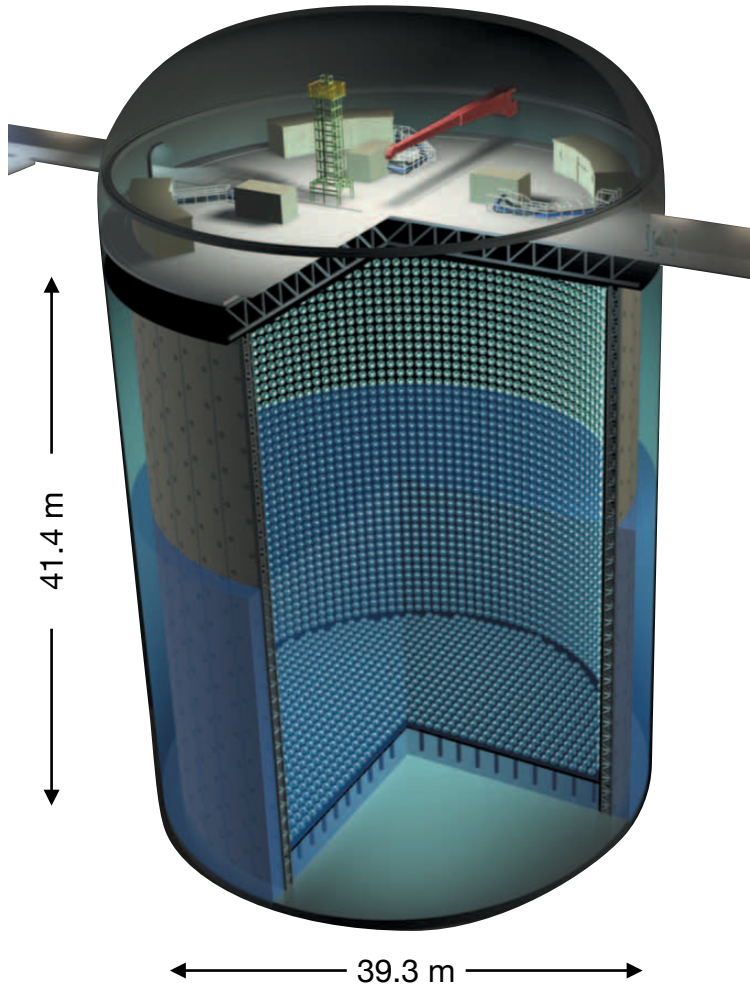
Super-K nucleon decay results:  
**See talk by S. Mine,**  
**poster by J. Seo**



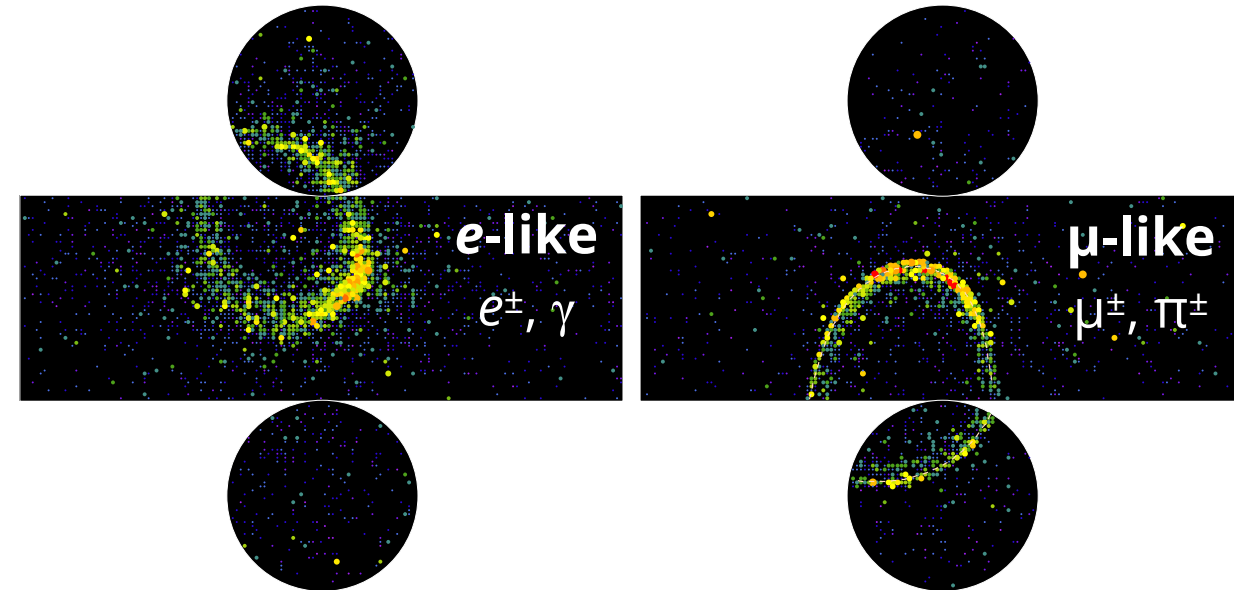
# Super-K Experiment



(1 km mountain overburden)



**Cherenkov rings** for reconstructing particle type, direction, momentum



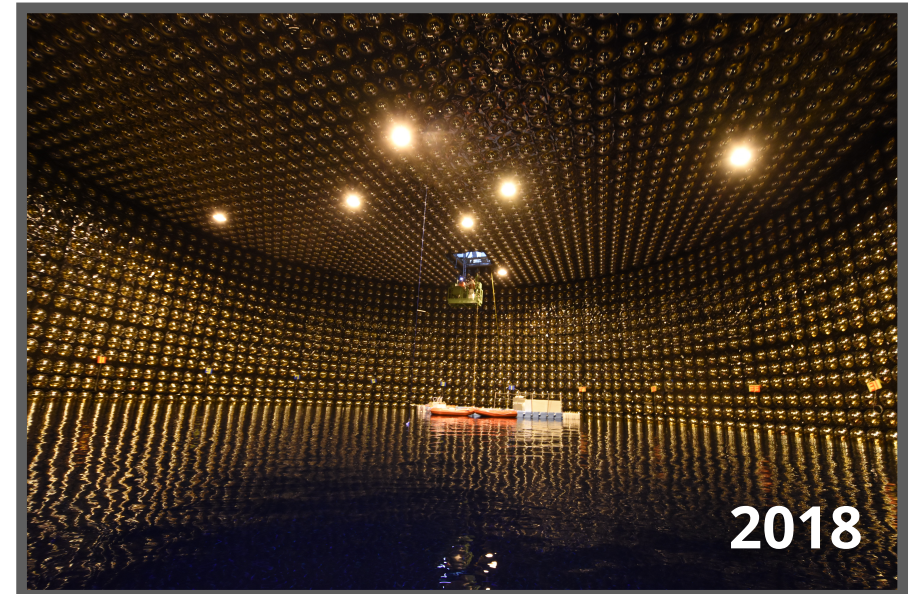
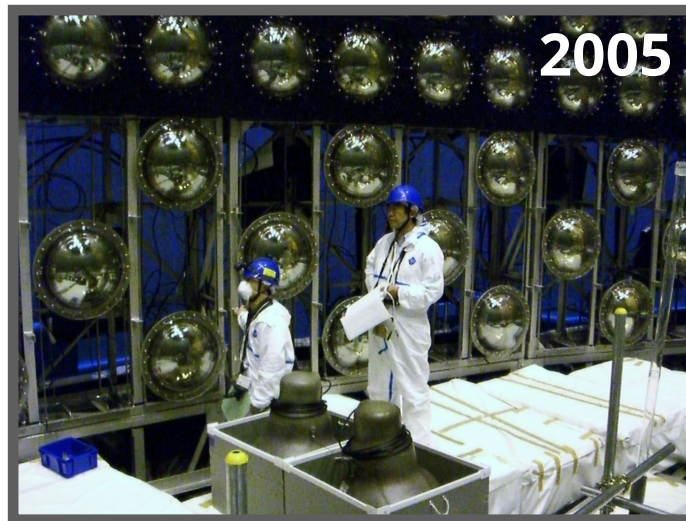
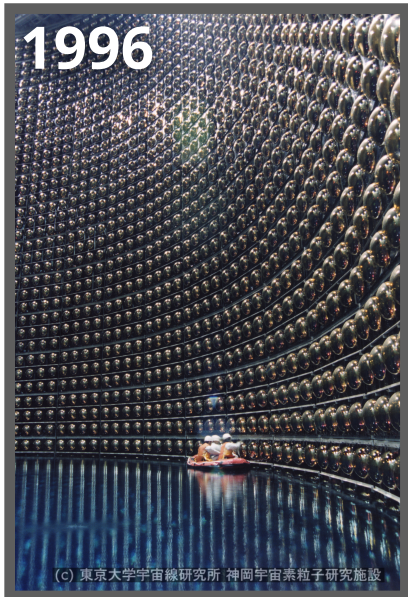
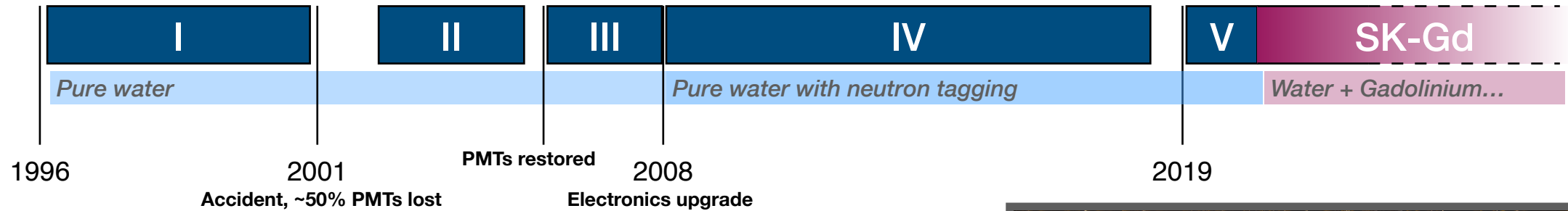
- Can't distinguish charge, no event-by-event  $\nu/\bar{\nu}$  separation
- + **Hit clusters** from decay electrons & neutron captures for statistical separation of  $\nu/\bar{\nu}$

# Super-K Timeline



**SK I – SK V:** Pure water phases

**SK-Gd:** Gadolinium added in 2020

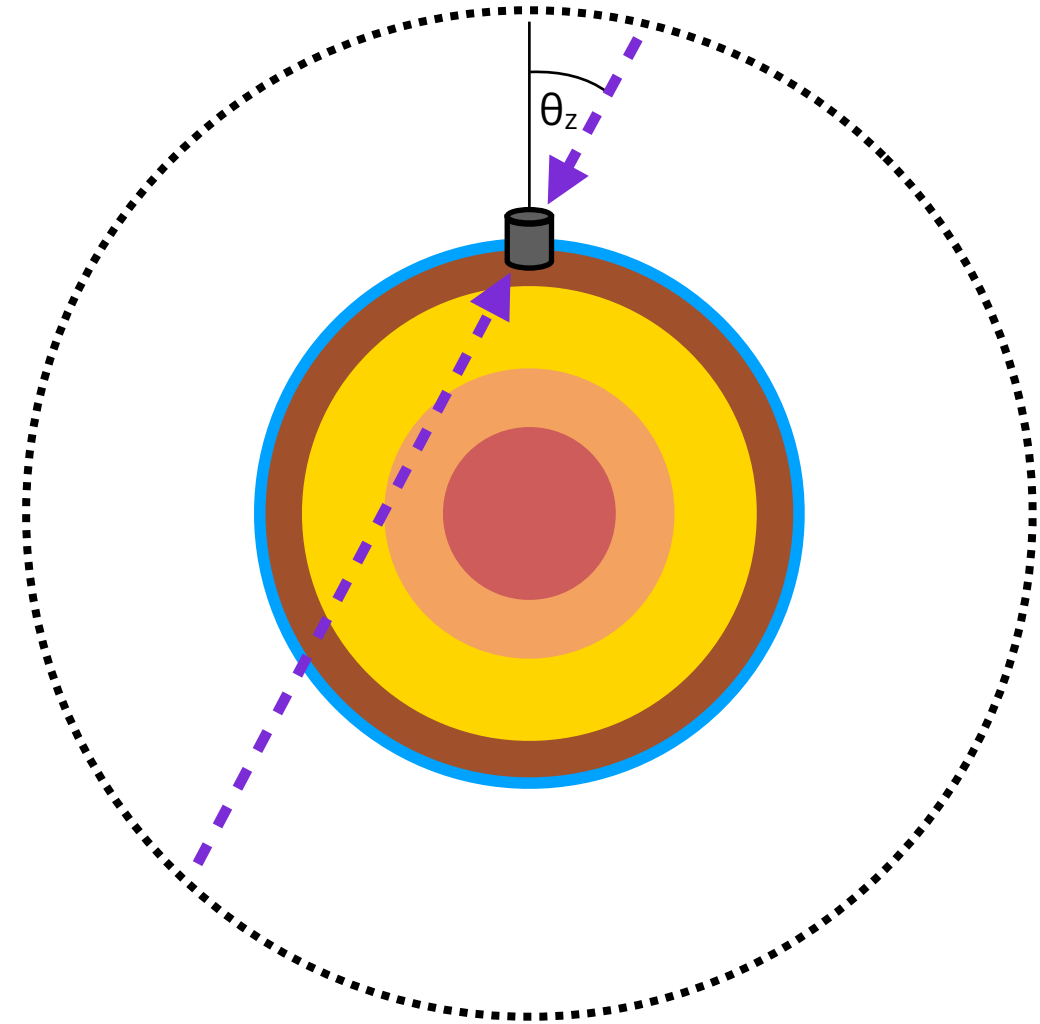


# Atmospheric Neutrinos



# Atmospheric Neutrinos

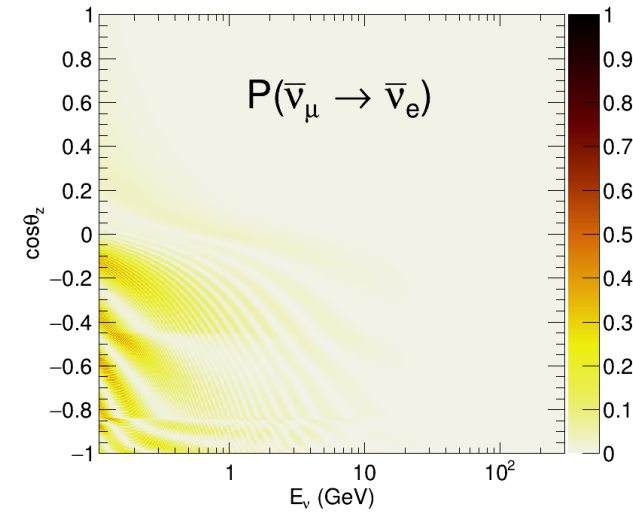
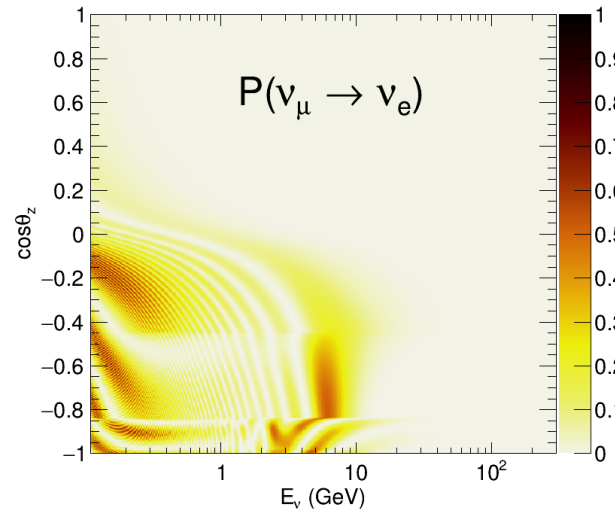
- Baselines  $\sim 10\text{--}10,000$  km,  
Energies  $\sim 100$  MeV–100 TeV
- Atmospheric flux contains  $\nu_\mu$ ,  $\nu_e$ ,  $\bar{\nu}_\mu$ ,  $\bar{\nu}_e$
- Earth matter effect present for upward-going neutrinos
- Probe of neutrino oscillations:
  - $\nu_\mu$  disappearance  $\rightarrow \sin^2 2\theta_{23}$ ,  $|\Delta m^2_{32}|$
  - $\nu_e$  appearance  $\rightarrow$  study  $\delta_{CP}$ ,  $\theta_{23}$  octant,  
**unknown neutrino mass ordering**



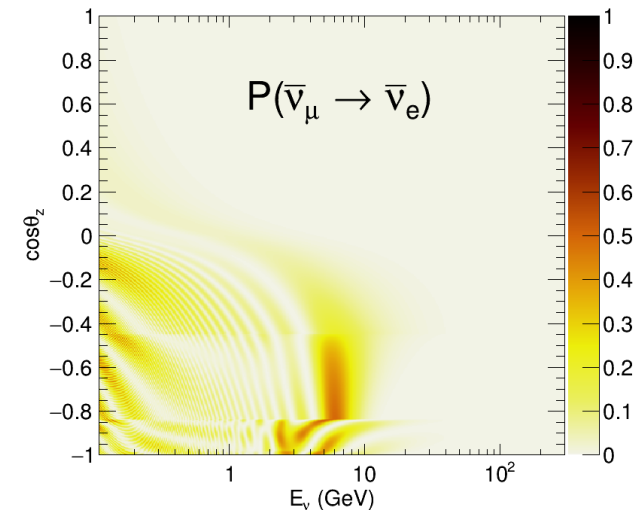
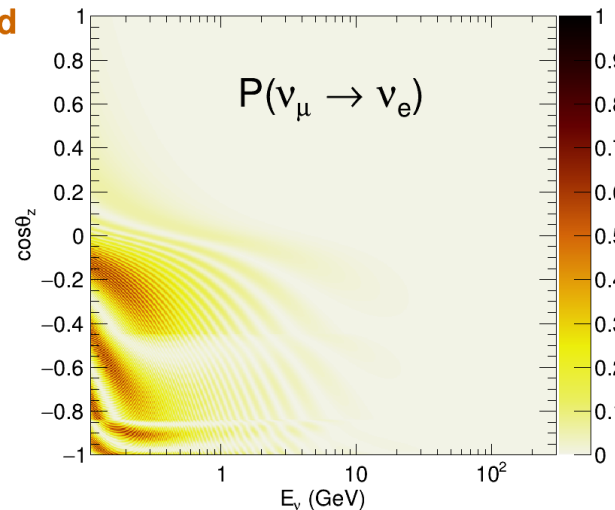
# Mass Ordering in Atmospheric Neutrinos



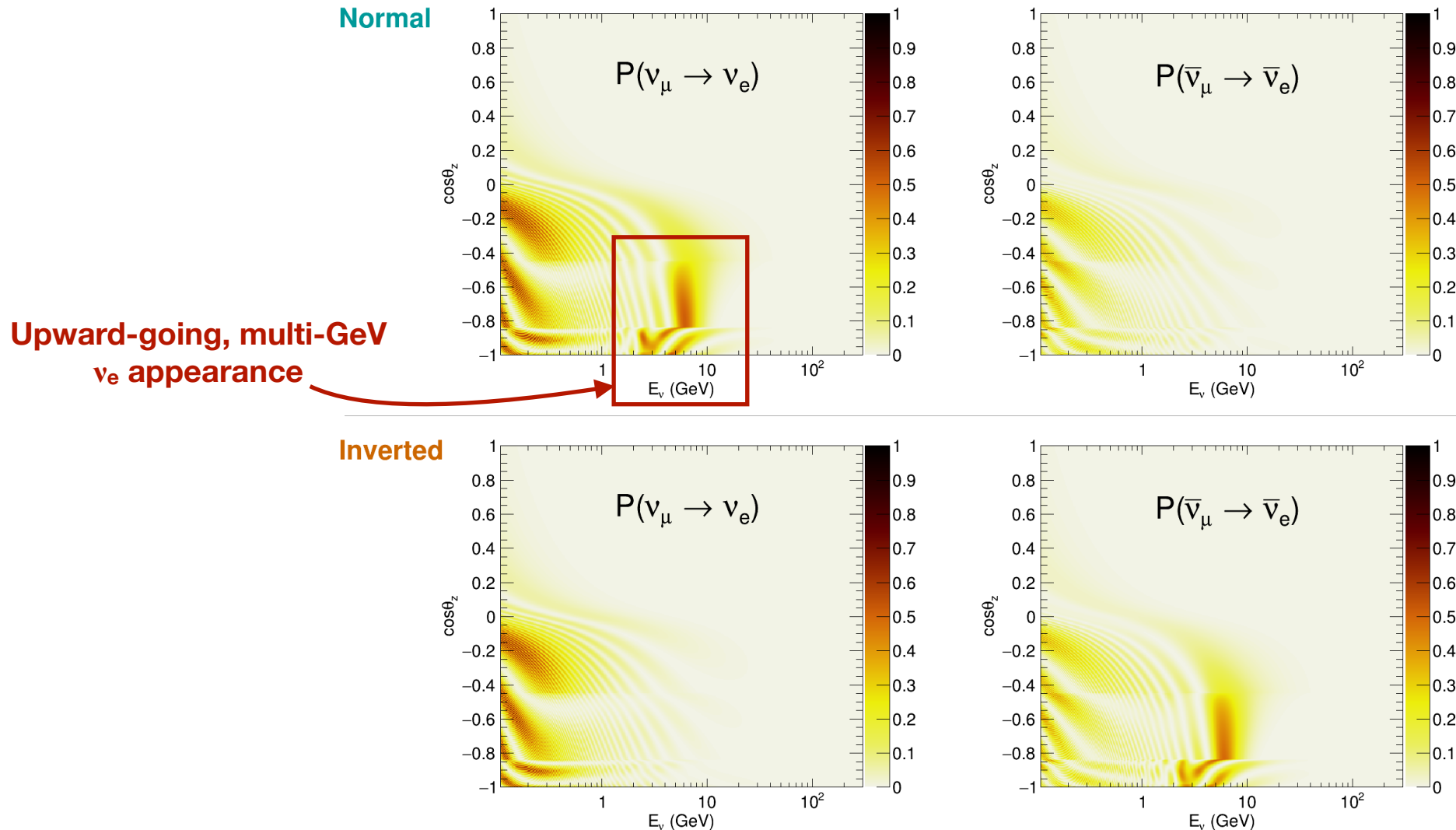
Normal



Inverted



# Mass Ordering in Atmospheric Neutrinos

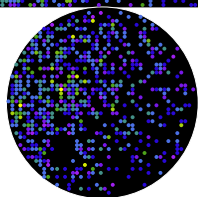
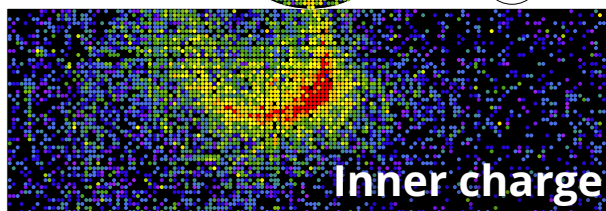
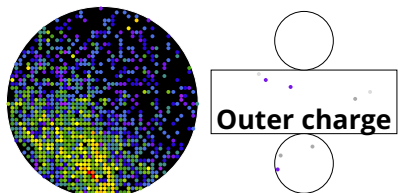
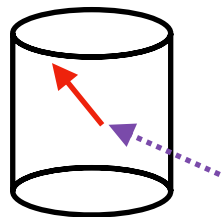


# SK Atmospheric Neutrino Oscillation Analysis



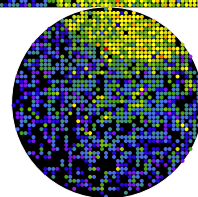
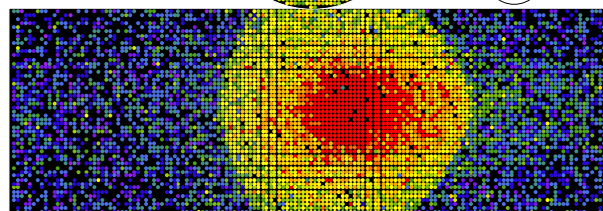
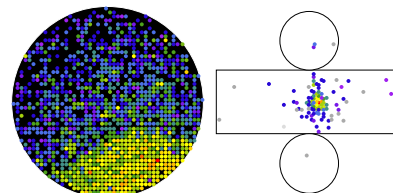
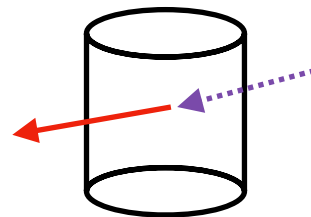
**FC**

Fiducial vertex, no exiting particles



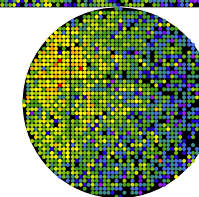
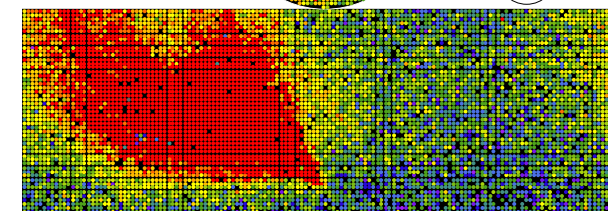
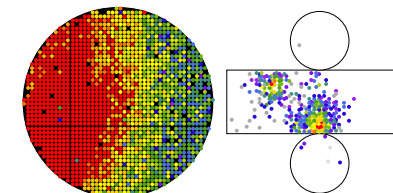
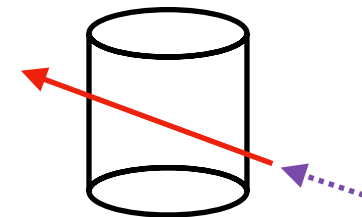
**PC**

Fiducial vertex, exiting particles



**Up- $\mu$**

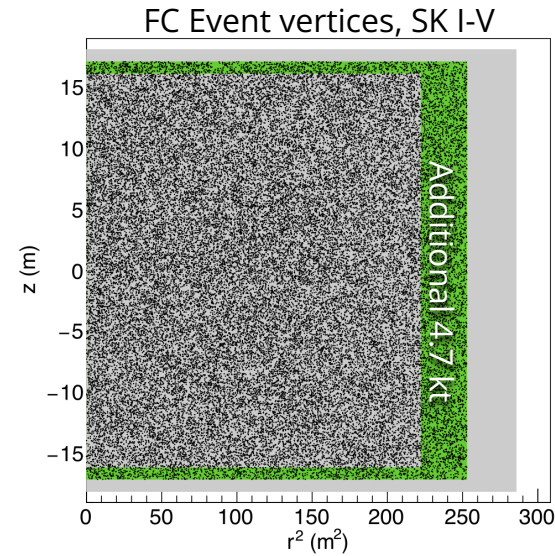
Outside vertex, upward-going



# Analysis Improvements

New since our previous publication:

- Expanded fiducial volume & additional pure water livetime: **+48% statistics**



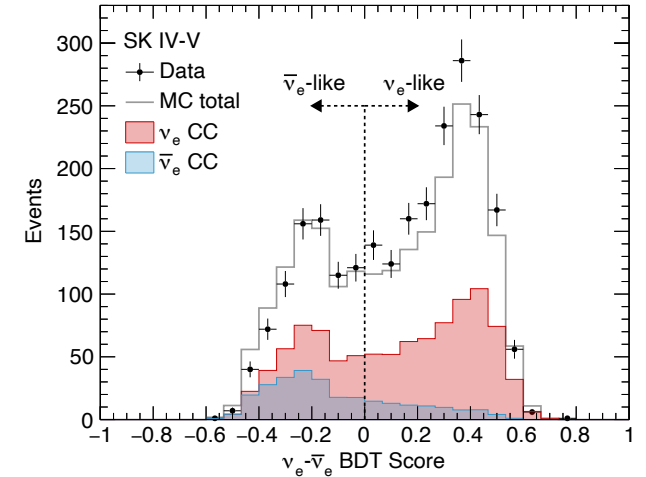
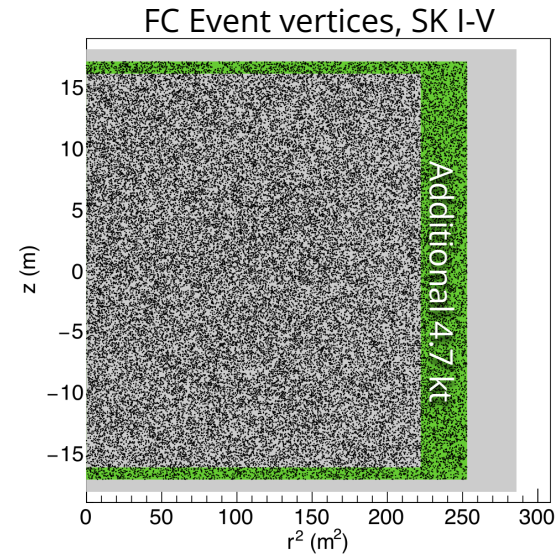
A. Takenaka et al. (SK Collaboration)  
Phys. Rev. D **102**, 112011 (2020)



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- **New boosted decision tree (BDT) for multi-ring event classification:** Improved charged current/neutral current separation

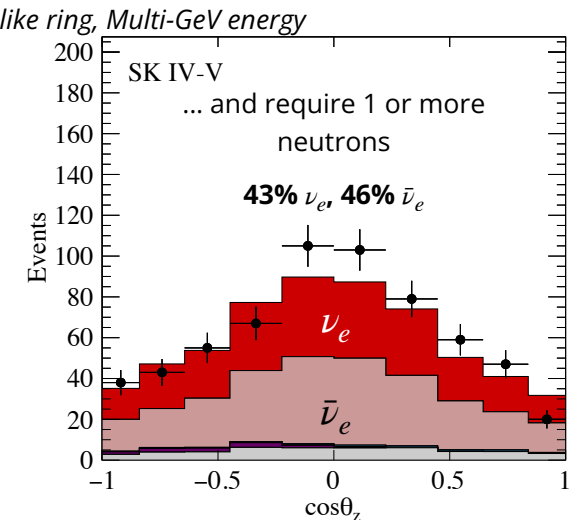
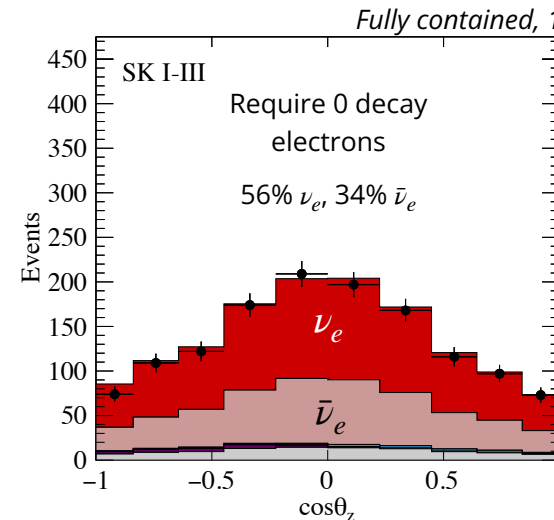
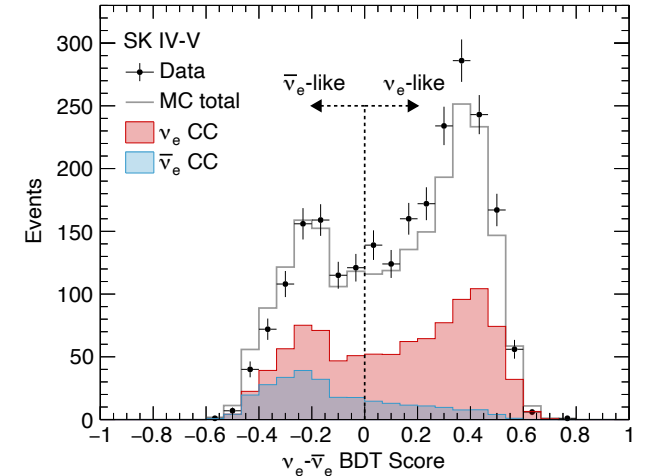
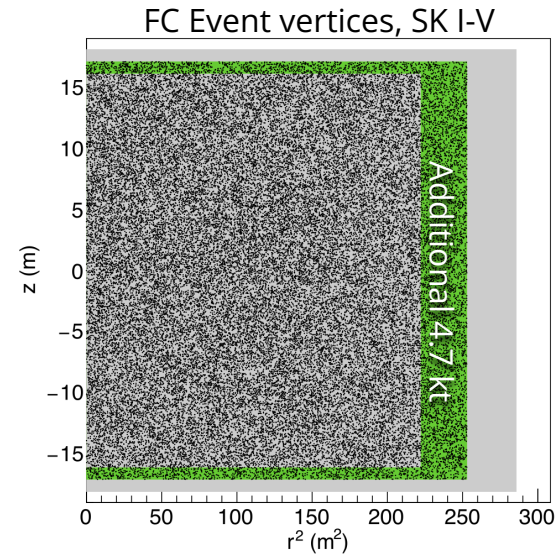


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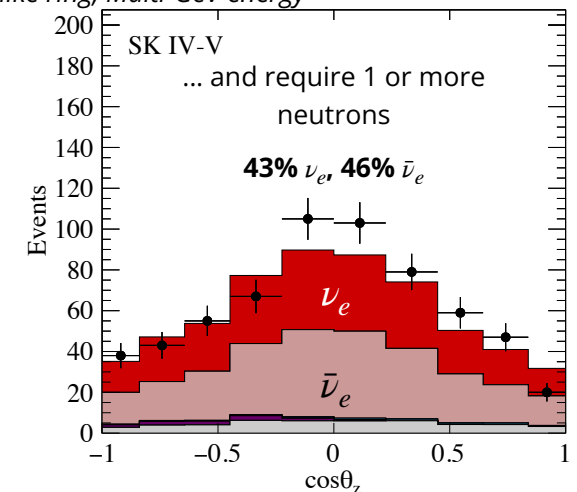
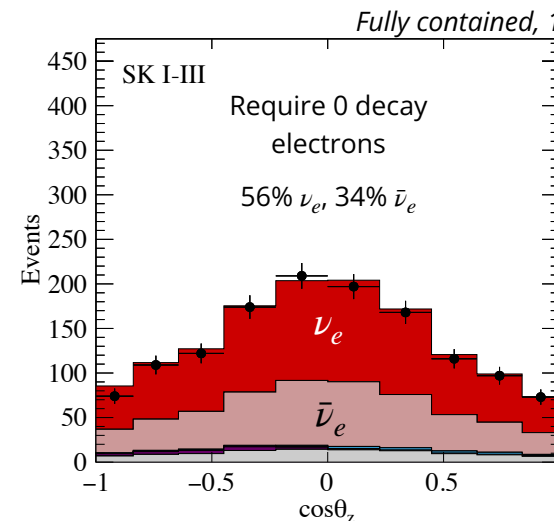
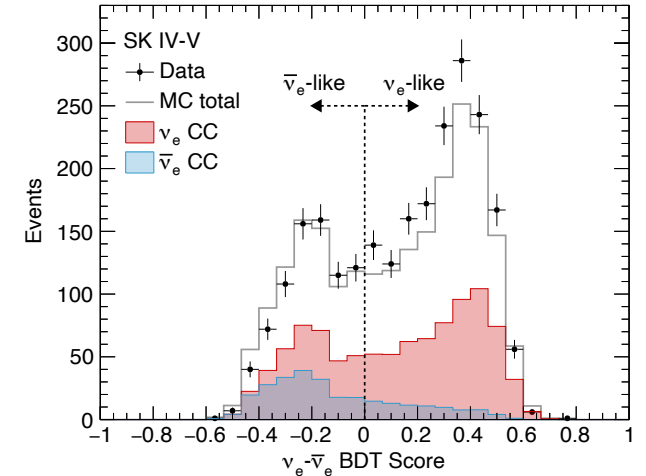
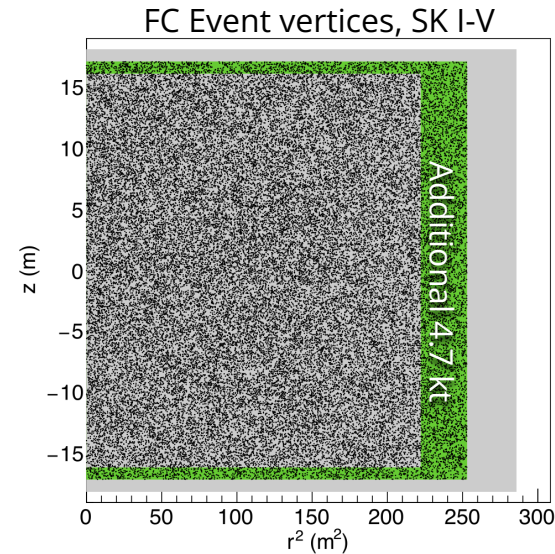
# Analysis Improvements



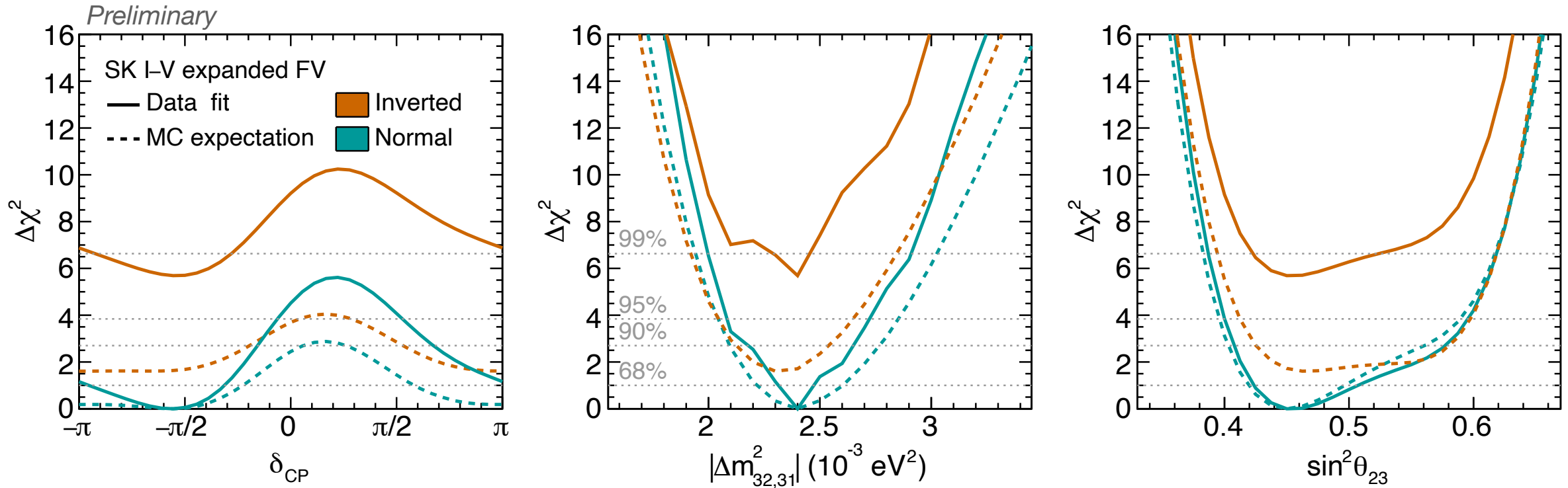
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Preliminary results were presented at NEUTRINO2022. Minor improvements, & checks of mass ordering result now ready



# SK I-V Atmospheric Oscillation Results

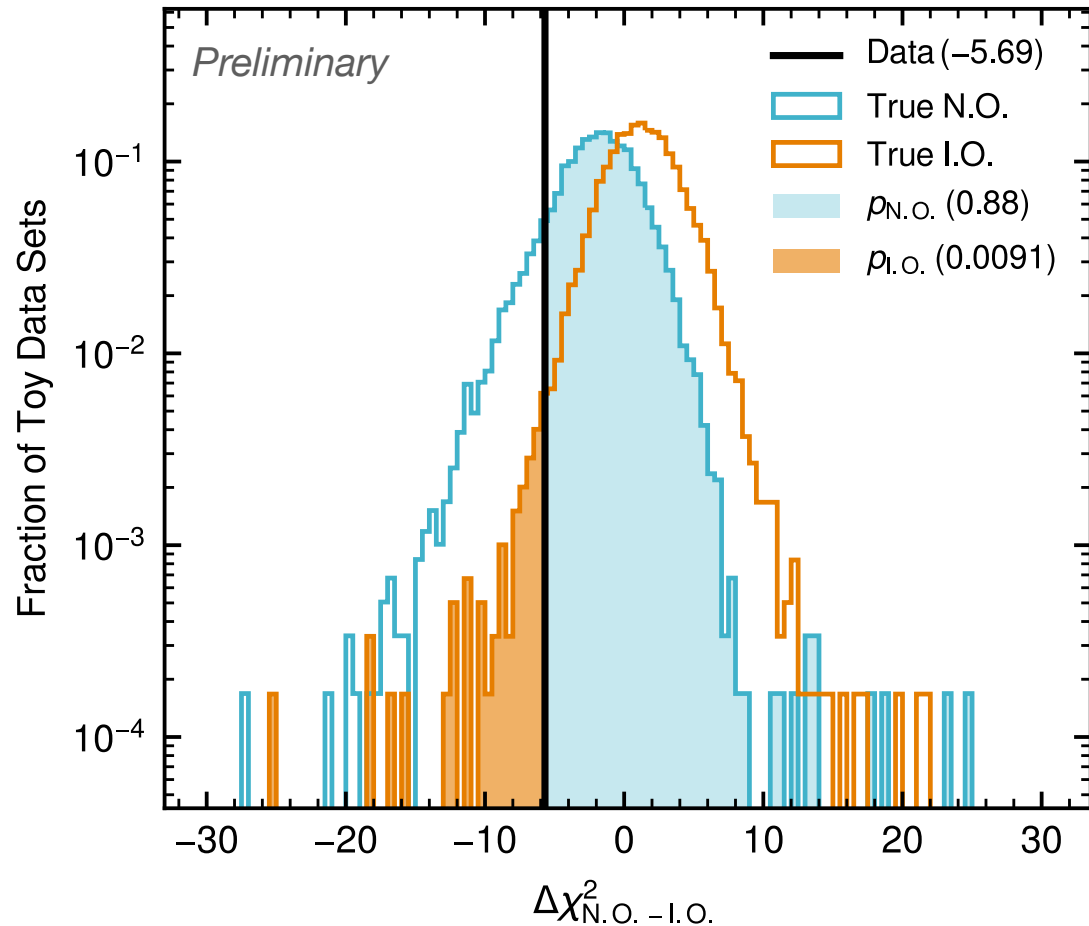


SK 2023 best fit: **Normal ordering**,  $\delta_{CP} \sim -\pi/2$ ,  $\Delta m^2_{32} \sim 2.4 \times 10^{-3} \text{ eV}^2$ ,  $\sin^2\theta_{23} \sim 0.45$

Mass ordering:  $\Delta\chi^2_{\text{I.O.} - \text{N.O.}} \sim 5.7^*$

*With reactor constraint:  $\sin^2\theta_{13} = 0.0220 \pm 0.0007$*

# \*Mass Ordering Significance



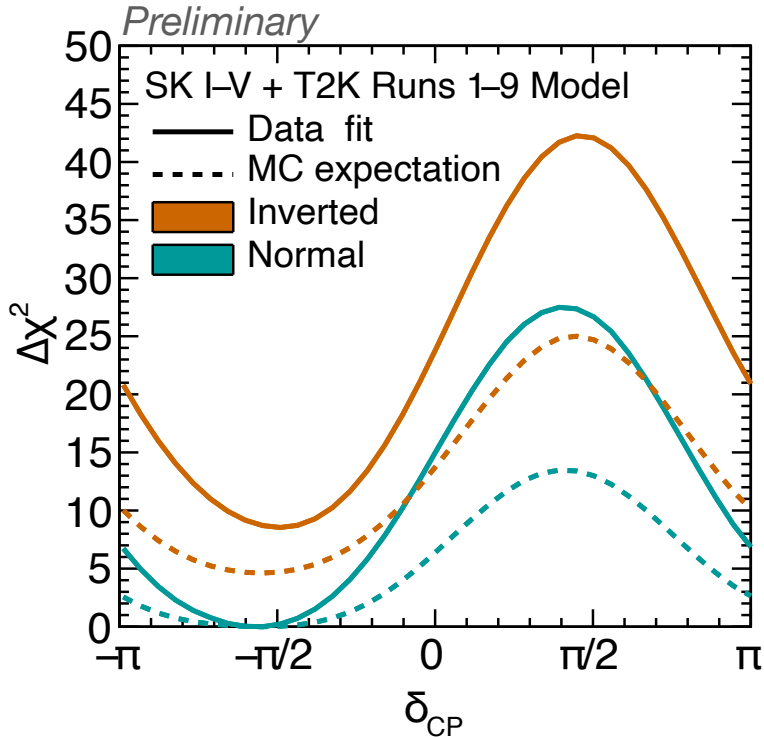
- Generate toy data sets to obtain distribution of  $\Delta\chi^2_{\text{NO-IO}}$
- SK data fit result has lower probability of occurring for a true inverted ordering than normal, but is also more extreme than normal ordering median (exceeds sensitivity)
- Compute  $\text{CL}_S$  statistic to correct probability of rejecting the inverted ordering given simultaneous agreement with normal ordering:

$$\text{CL}_S = \frac{p_{\text{IO}}}{1 - p_{\text{NO}}} \approx 0.077$$

*Reject inverted ordering at the ~92% confidence level.*

# Constraints from T2K

# SK + T2K Model



SK 2023 + T2K Runs 1-9 Model:

$\Delta\chi^2_{I.O. - N.O.} \sim 8.5$ ,  $CL_s \sim 0.02$

Reject inverted ordering at the  $\sim 98\%$  confidence level

SK + T2K Model:

- External combination of SK & *published* T2K data by SK collaboration
- Tests effects of T2K constraints on  $\Delta m^2_{32}$ ,  $\sin^2\theta_{23}$ ,  $\delta_{CP}$ , mass ordering on SK mass ordering result
- Previously done for SK 2018 oscillation analysis. Update for SK 2023 & T2K runs 1-9 was first shown at NEUTRINO 2022

Methods:

- Re-weight atmospheric MC to T2K's nominal flux and cross section parameters and approximate T2K's systematic uncertainty with ND constraint from published information
- Fit model prediction to published T2K bin counts as additional bins in atmospheric fit

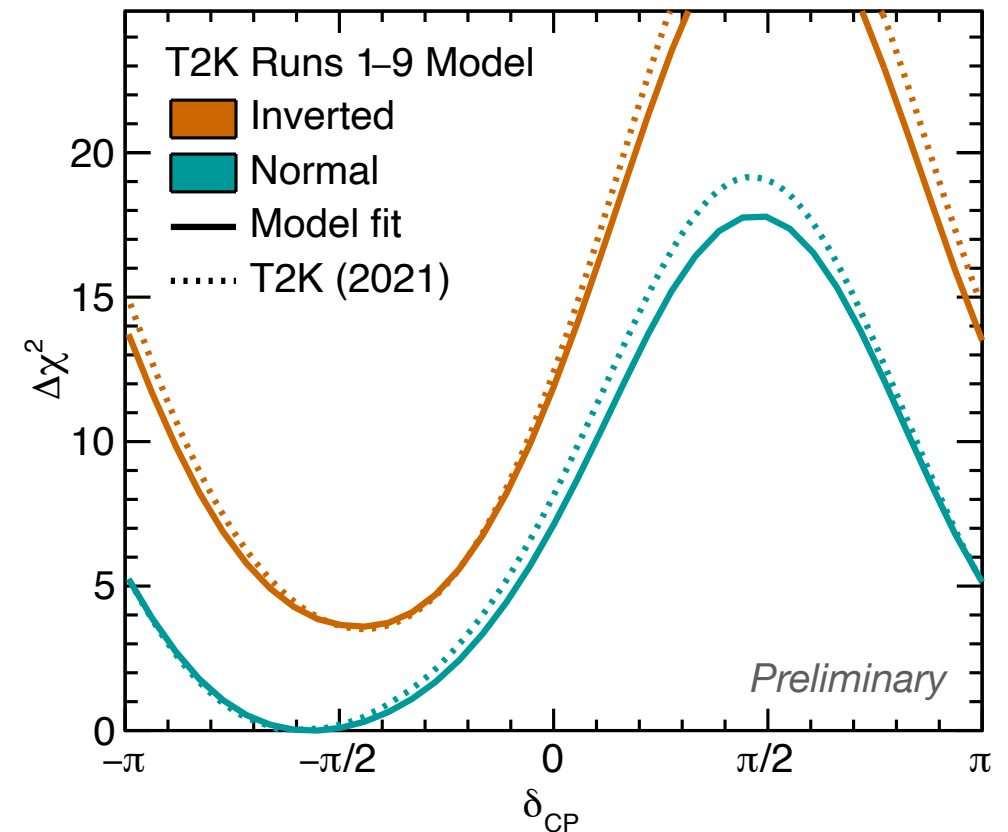
Model based on on *Phys. Rev. D* **103**, 112008 (2021)



# T2K Model Compared to T2K Runs 1–9 Analysis



- T2K Model based on published information is overall conservative compare to the T2K Runs 1–9 analysis
- Few-% difference in mass ordering preference and  $\delta_{CP}$  best-fit & allowed ranges
- T2K run 10 data only recently published, and so is not included



Model based on on Phys. Rev. D **103**, 112008 (2021)



# Comparison with Joint Fit



	SK I–V + constraints from T2K Runs 1–9 Model <i>External analysis by SK</i> , update T2K Model from 2018 SK analysis	T2K+SK IV Joint fit <i>New formal joint effort between T2K &amp; SK</i> <i>See talk by A. Eguchi</i>
Data products analyzed	Full atmospheric event info <b>Published T2K bin counts</b>	Full atmospheric event info <b>Full T2K event info</b>
Uncertainty model	Full atmospheric, <b>simplified beam</b> Correlated cross section uncertainties	Full atmospheric, <b>full beam</b> Correlated cross section + <b>detector uncertainties</b> , <b>near detector included in correlation matrix</b>
Atm. exposure/ Beam POT	<b>6511.3 days</b> (SK I–V) <b>14.9e20 <math>\nu</math>-mode</b> , 16.3e20 $\bar{\nu}$ -mode (T2K runs 1–9)	<b>3244.4 days</b> (SK IV) <b>19.7e20 <math>\nu</math>-mode</b> , 16.3e20 $\bar{\nu}$ -mode (T2K runs 1–10)
Event selection	29 atmospheric samples, <b>neutron tagging</b> for SK IV–V 5 T2K single-ring samples	18 atmospheric samples, <b>no neutron tagging</b> 5 T2K single-ring samples
Analysis	<b>Profiled <math>\Delta\chi^2</math></b>	<b>Frequentist &amp; Bayesian results, cross validation between multiple fitters</b>

More details in backup. Previous SK publication: Phys. Rev. D **97**, 072001 (2018)

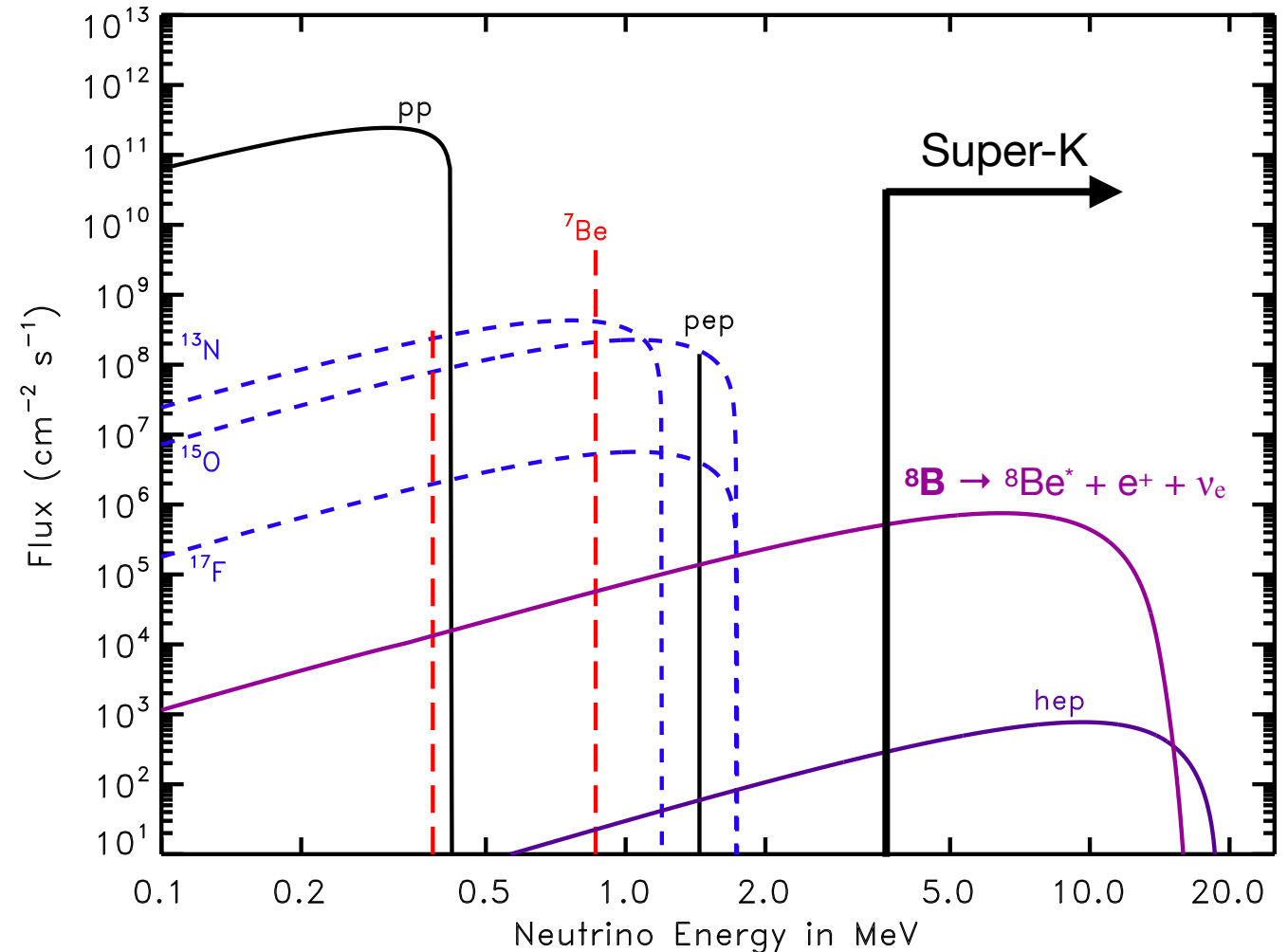
# Solar Neutrinos

# Solar Neutrinos

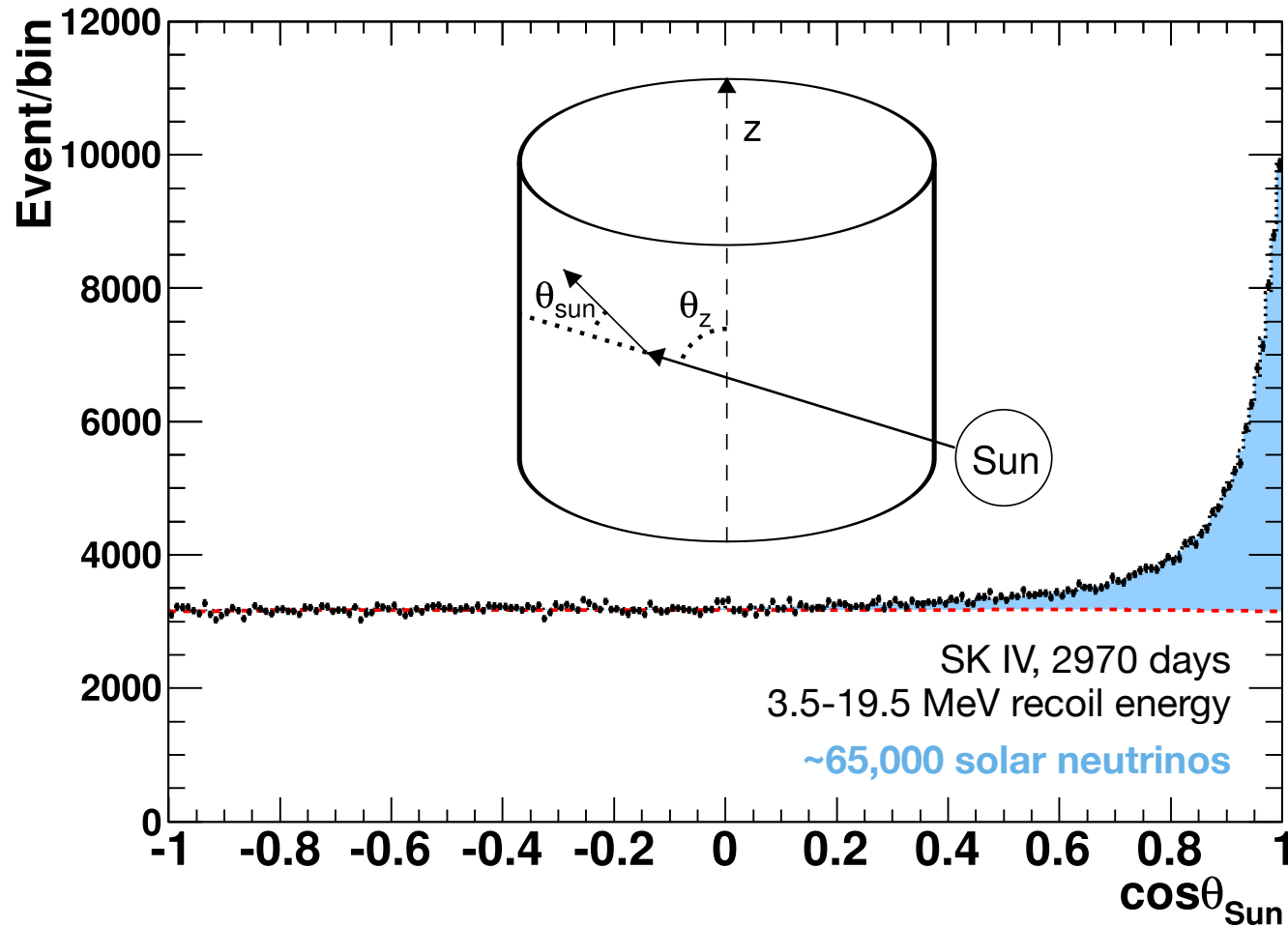


Neutrinos produced in the sun through fusion processes

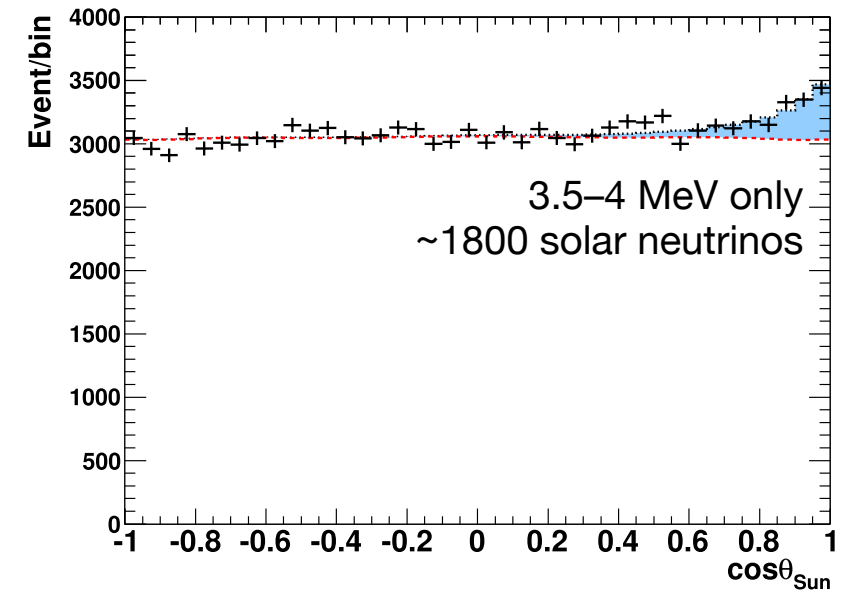
- **$^8\text{B}$  neutrinos** recorded by SK with direction and energy information
- Oscillation topics:
  - Oscillation parameters  $\theta_{12}$ ,  $\Delta m^2_{21}$
  - Day/night effect: Regeneration of solar neutrinos in earth matter
  - Solar upturn: MSW oscillations between  $\sim 1\text{-}5$  MeV
- Other solar neutrino topics:
  - Flux modulation
  - Anti-neutrino search



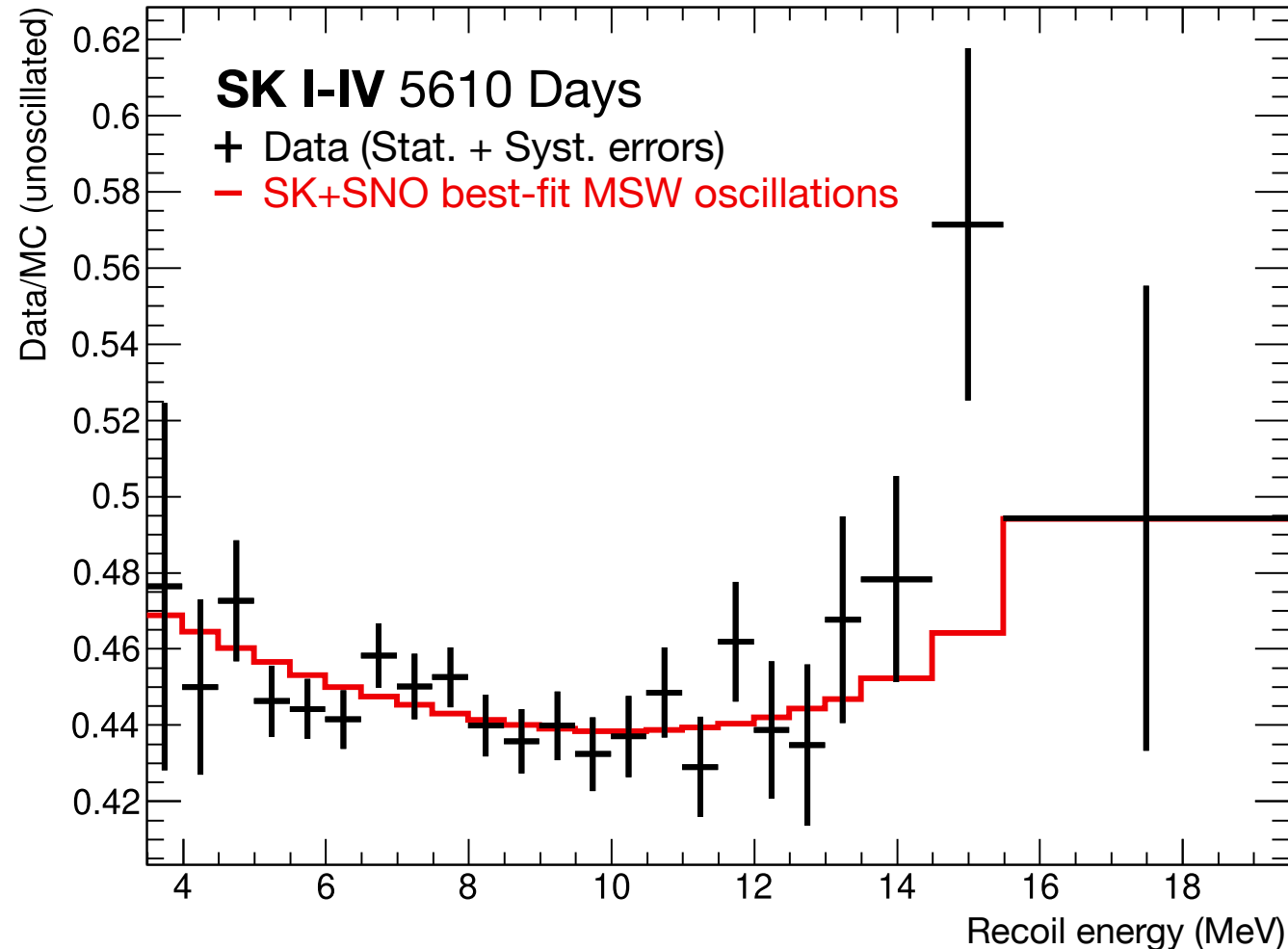
# SK Solar Neutrino Measurements



- Solar  $\nu$  recoil electrons point back to sun
- Rate extracted from solar peak after background subtraction
- Bin into energy ranges & goodness scores to minimize systematic uncertainties

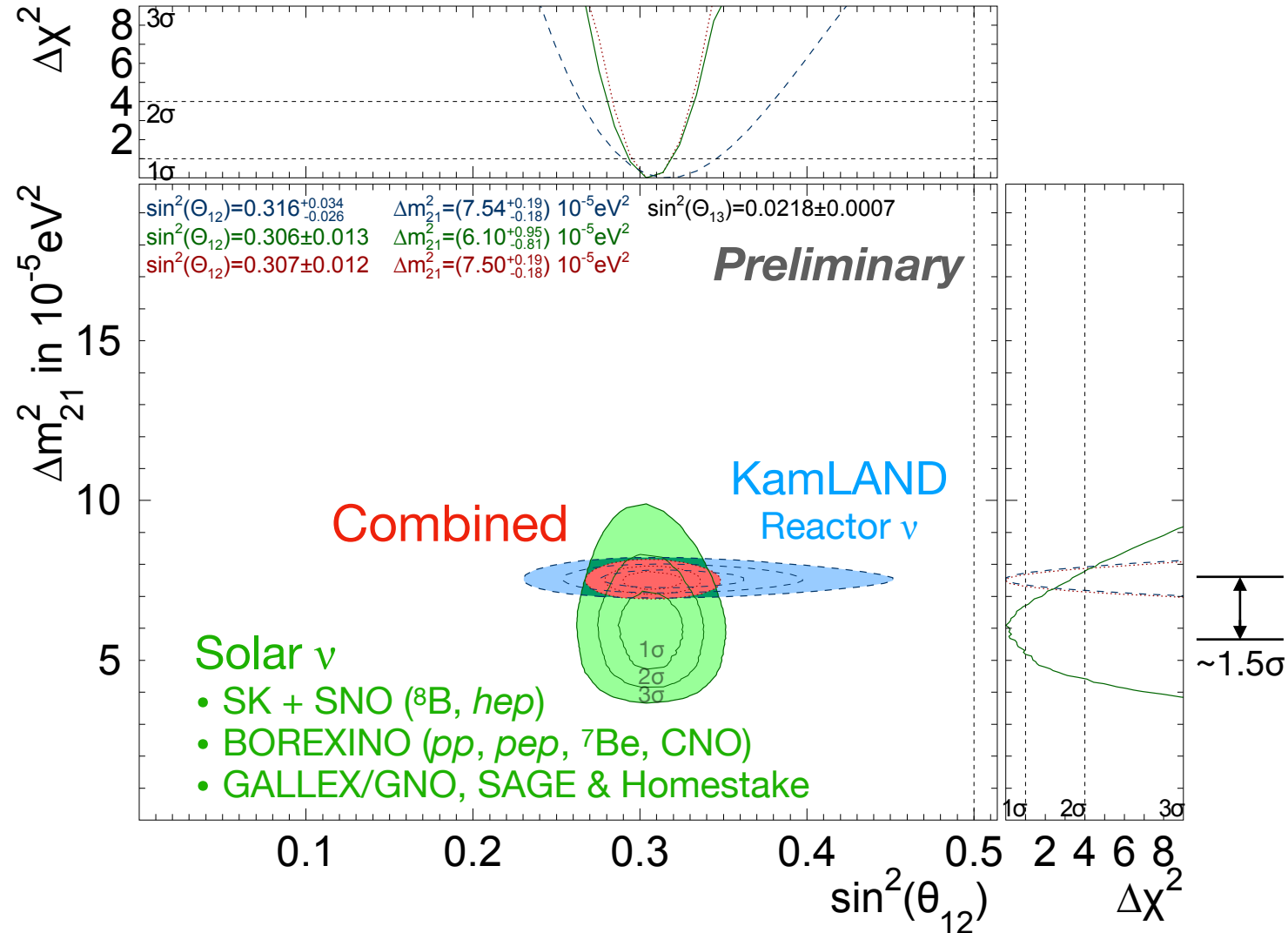


# SK Solar Neutrino Oscillations



- SK data favors up-turn scenario below 5 MeV, but < 3.5 MeV data needed
- Recoil energy spectrum consistent with MSW oscillations

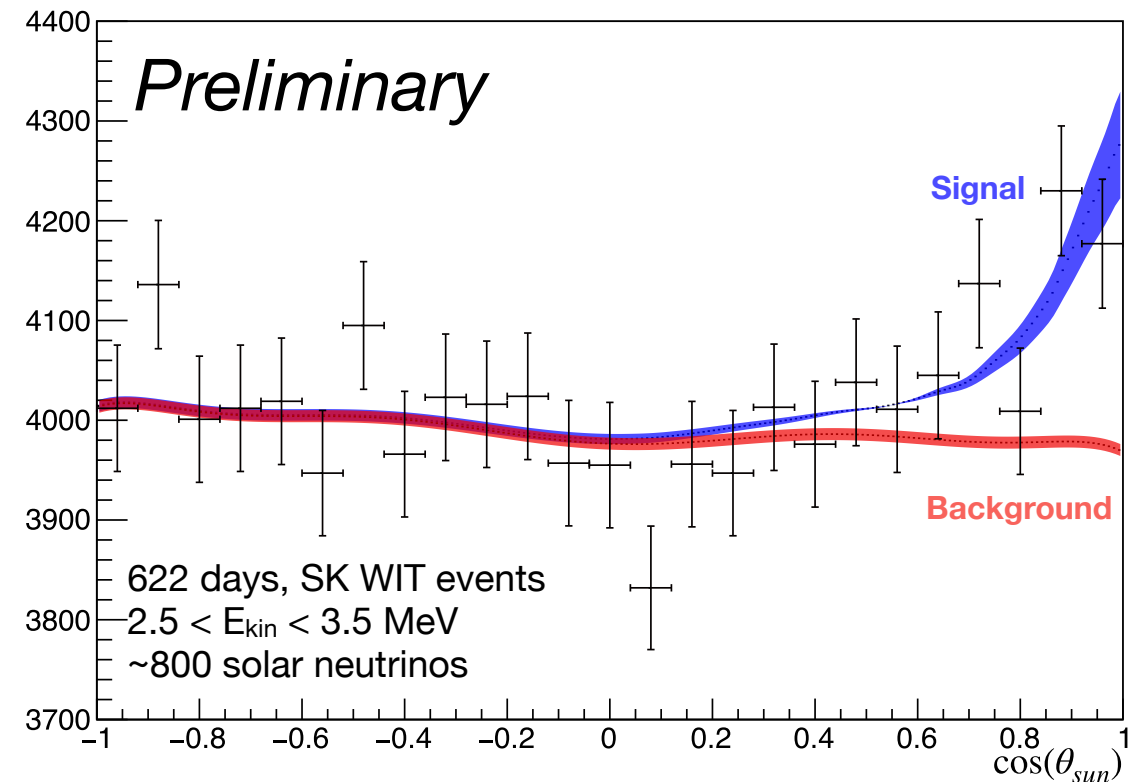
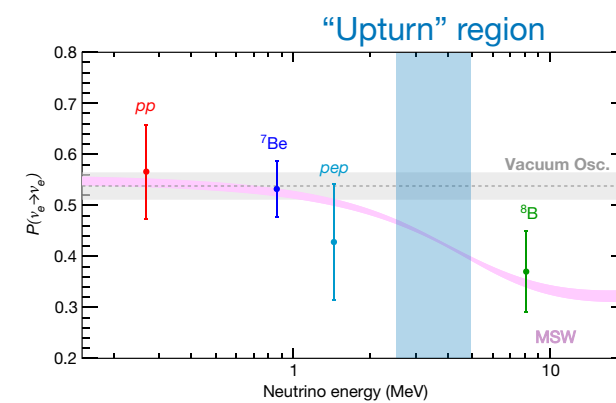
# Solar Neutrino Global Fit Status



# Progress Towards Upturn



- Need to lower current SK solar neutrino energy threshold to observe transition between matter and vacuum oscillations in solar neutrinos. Background-limited
- Dedicated hardware (WIT) searches un-triggered data for low-energy events & fits for fiducial vertex in real-time
- Some efficiency for solar neutrino identification down to 2.5 MeV recoil energy using BDT & WIT data

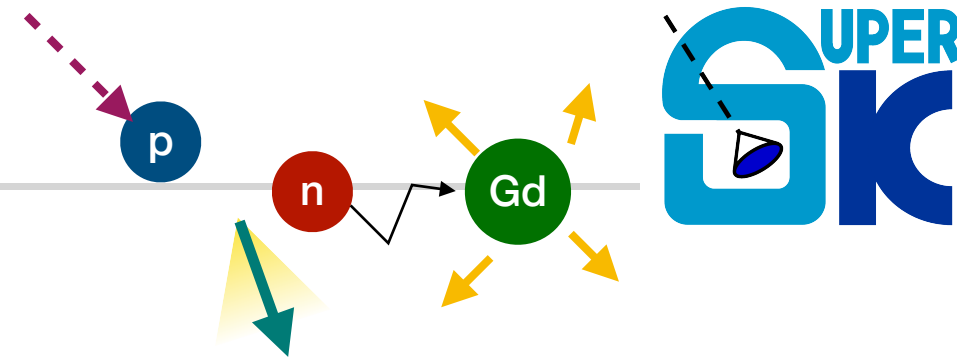


[A. Yankelevich. \(2022\). Machine Learning Methods for Solar Neutrino Classification. \(NEUTRINO2022 Poster\)](#)

**SK-Gd: Super-K with Gadolinium**



# Introduction to SK-Gd

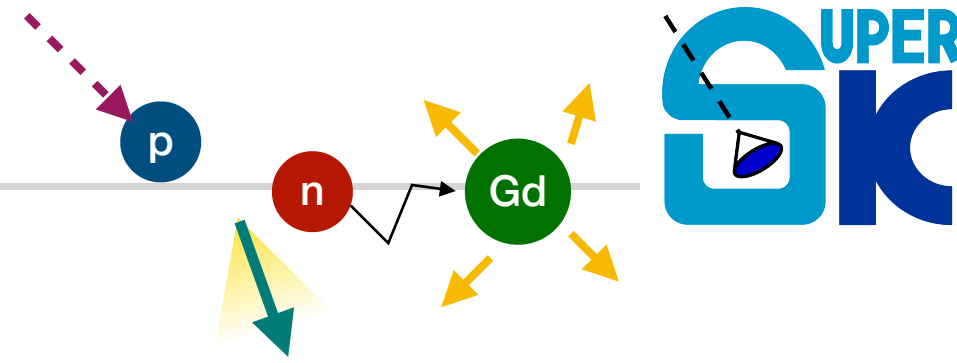


Why add gadolinium? Improved neutron tagging:

Neutron captures	H	0.01% Gd	0.03% Gd
Gamma energy	2.2 MeV	<b>8 MeV, multiple <math>\gamma</math></b>	
Capture time	200 $\mu\text{s}$	120 $\mu\text{s}$	<b>60 <math>\mu\text{s}</math></b>
Tagging efficiency	25%	50%	<b>75%</b>

SK-Gd proposal: Phys. Rev. Lett. **93**, 171101 (2003)

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Capture time	200 $\mu$ s	120 $\mu$ s	<b>60 <math>\mu</math>s</b>
Tagging efficiency	25%	50%	<b>75%</b>

Knowing the number of neutrons...

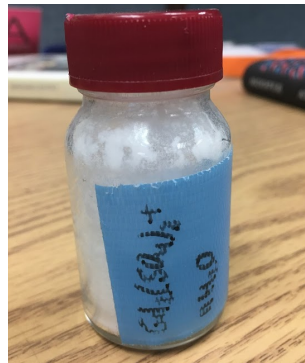
- ... helps **reject backgrounds** for IBD events & proton decay searches
- ... improves **statistical separation of neutrinos & anti-neutrinos**
- ... provides estimate of **hadronic activity**

SK-Gd proposal: Phys. Rev. Lett. **93**, 171101 (2003)

# Gadolinium Loading Timeline



+



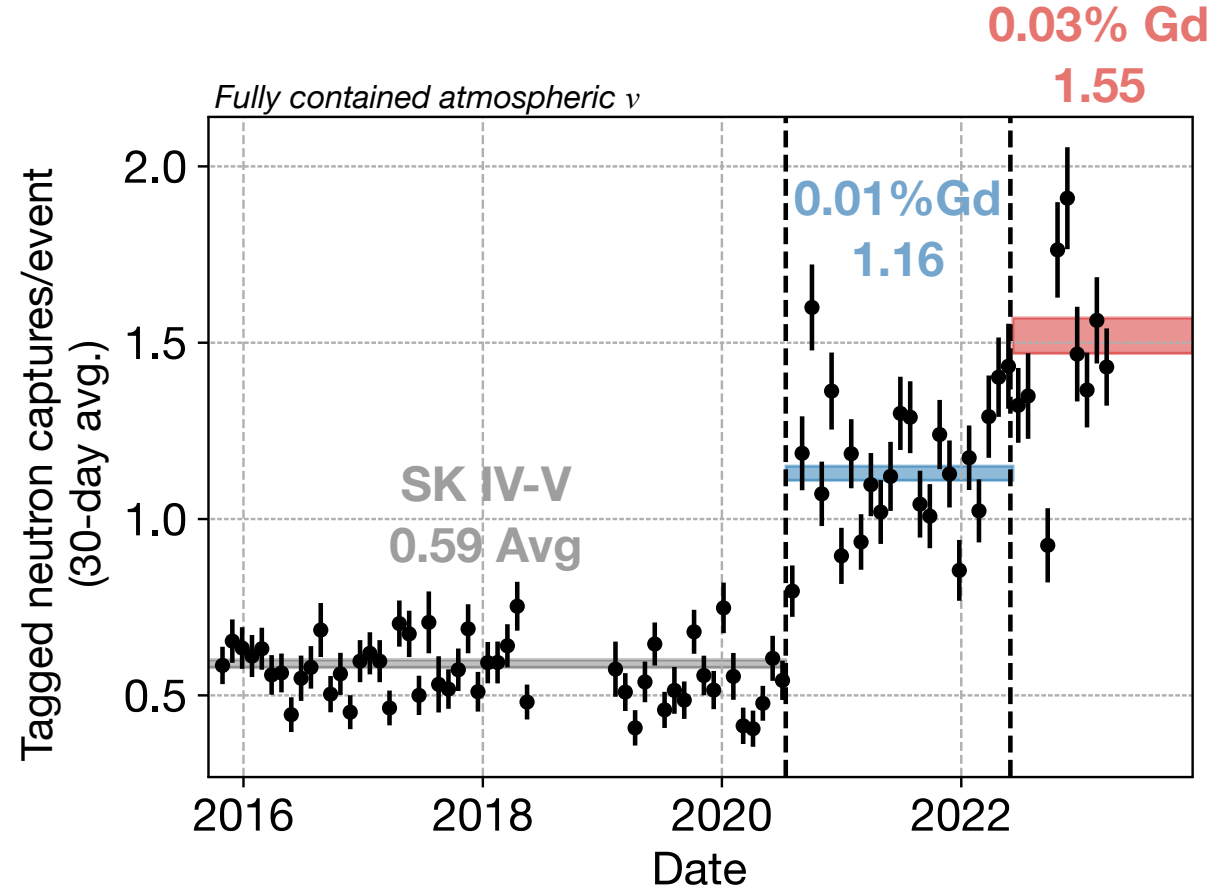
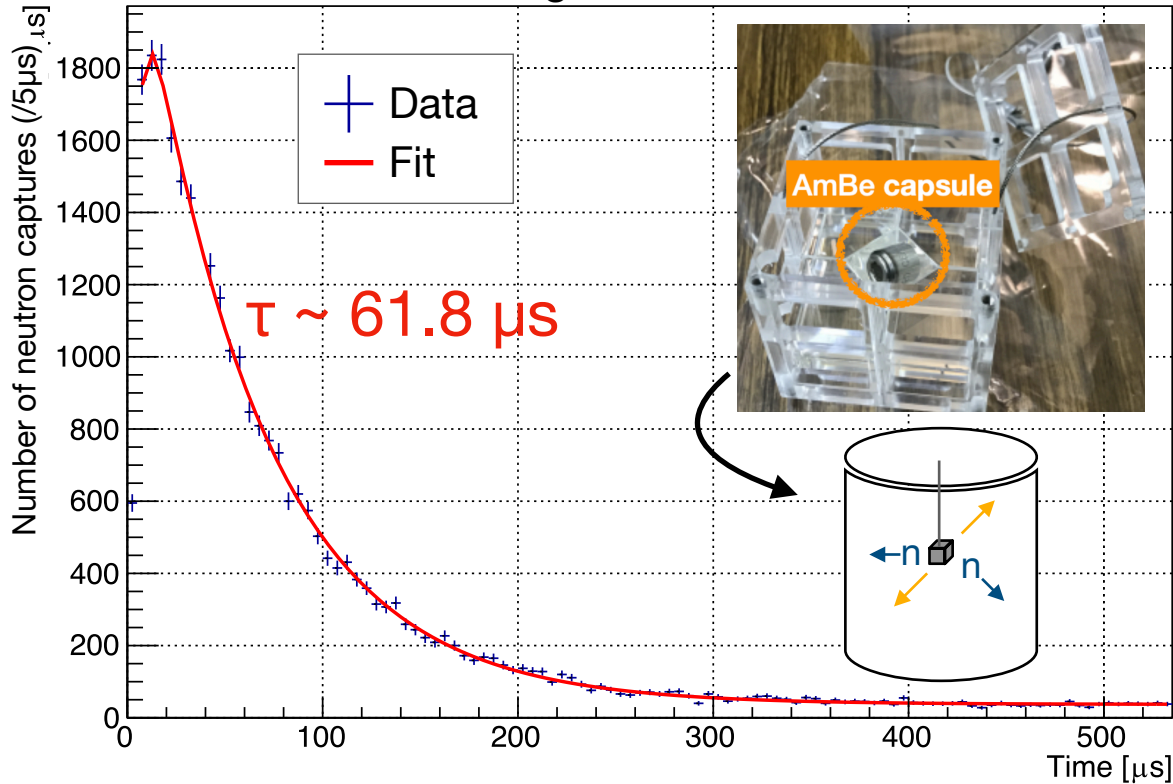
- Gd water system installed summer 2018, commissioned with pure water 2019-2020
- 0.01% loading completed summer 2020
- 0.03% loading completed summer 2022
- Gd data being analyzed now!



# SK-Gd Neutron Capture Measurements



0.03% loading AmBe Measurement

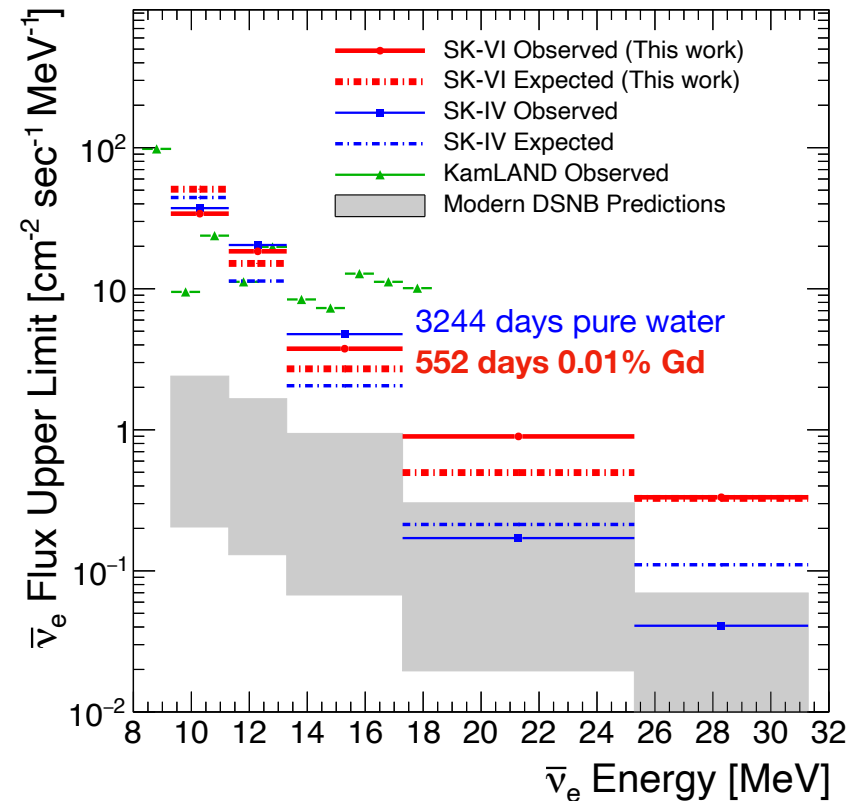
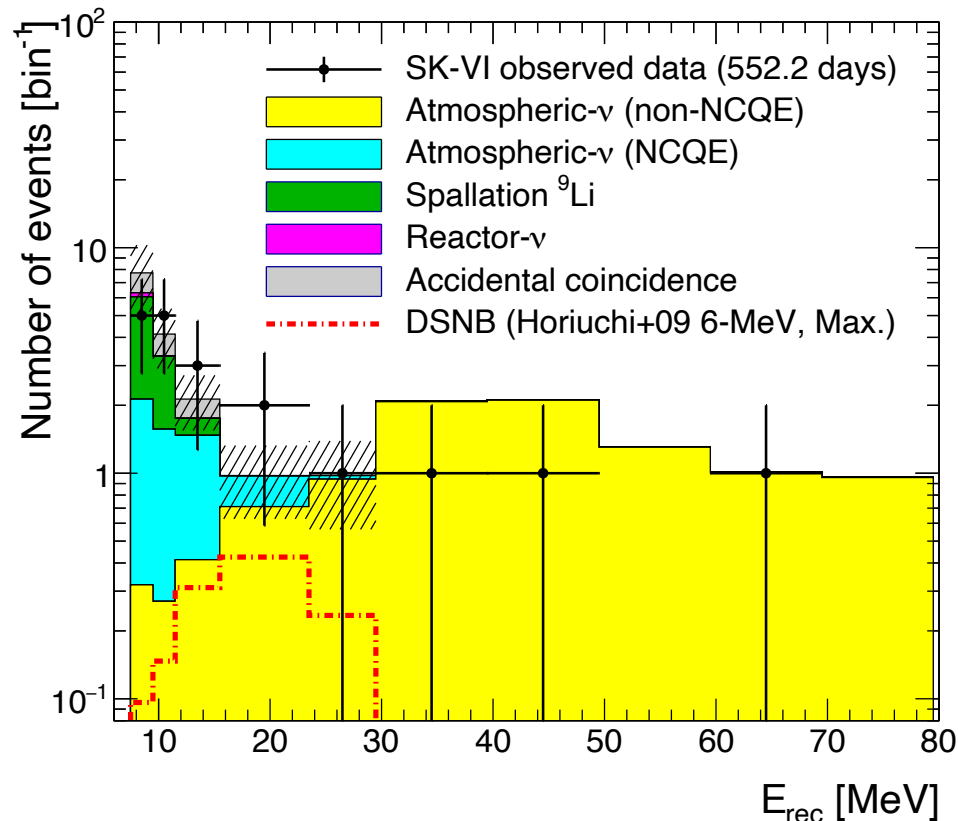


# SK-Gd DSNB analysis (See poster by M. Harada)



**Diffuse Supernova Neutrino Background:** Not-yet observed neutrino source expected from all past supernova

- ~7.5–30 MeV IBD signal window avoids reactor neutrinos & atmospheric background
- 0.01% SK-Gd data analyzed: Sensitivity is close to theoretical predictions, competitive with pure water phases



APJ Lett. 951:L27



# Summary



Super-Kamiokande Collaboration  
May 2023  
Toyama, Japan

## **Atmospheric neutrinos**

- Analyzed full pure water data set, prefer normal mass ordering, inverted  $CL_s \sim 0.07$
- SK in agreement with T2K on mass ordering &  $\delta_{CP}$ .

## **Solar neutrinos**

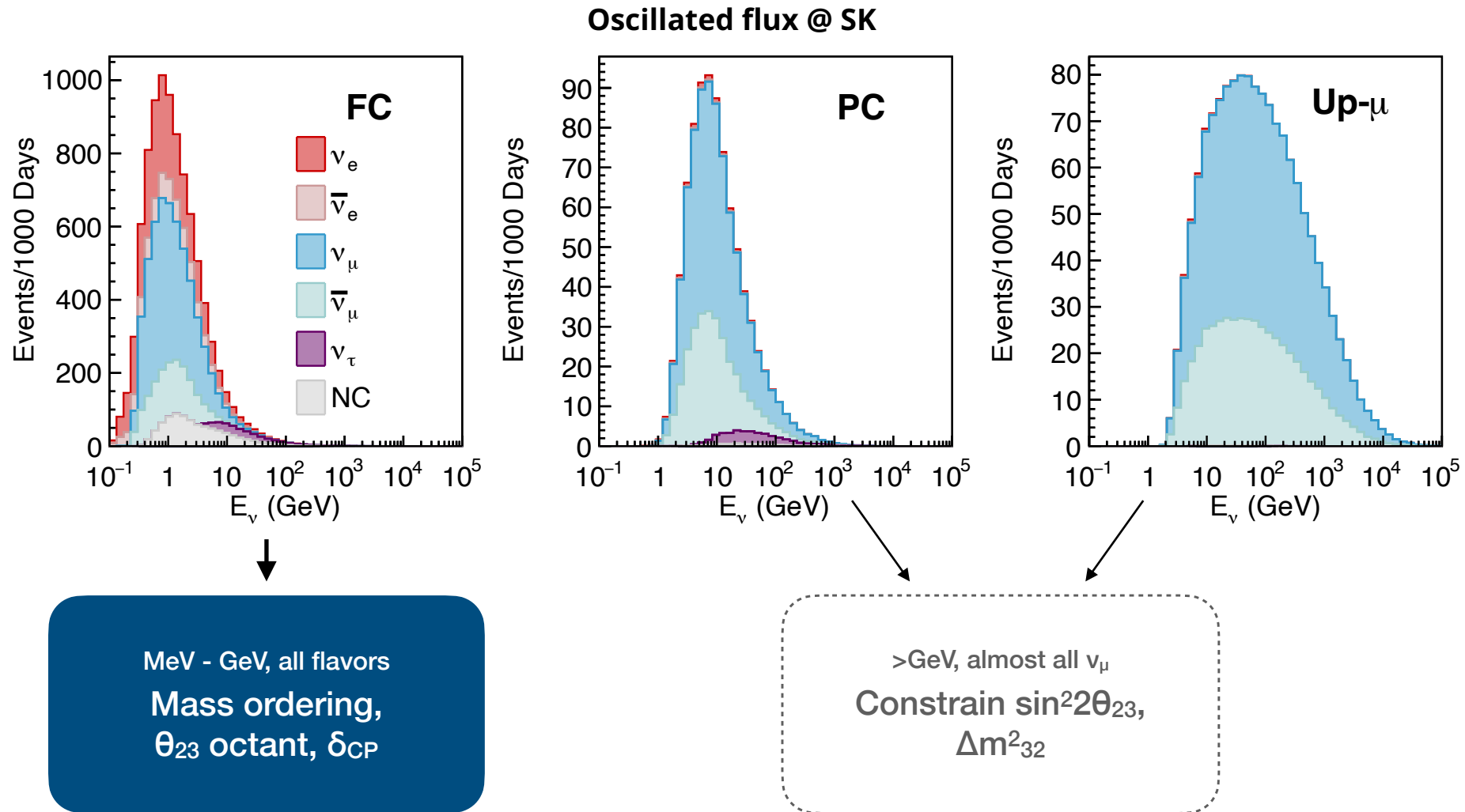
- Global analysis including SK I-IV is compatible with KamLAND
- We are leveraging high solar  $\nu$  statistics for sub-leading oscillation effects & BSM searches

## **SK-Gd**

- Neutron tagging is working, observing many more captures, first Gd data is analyzed, more soon!

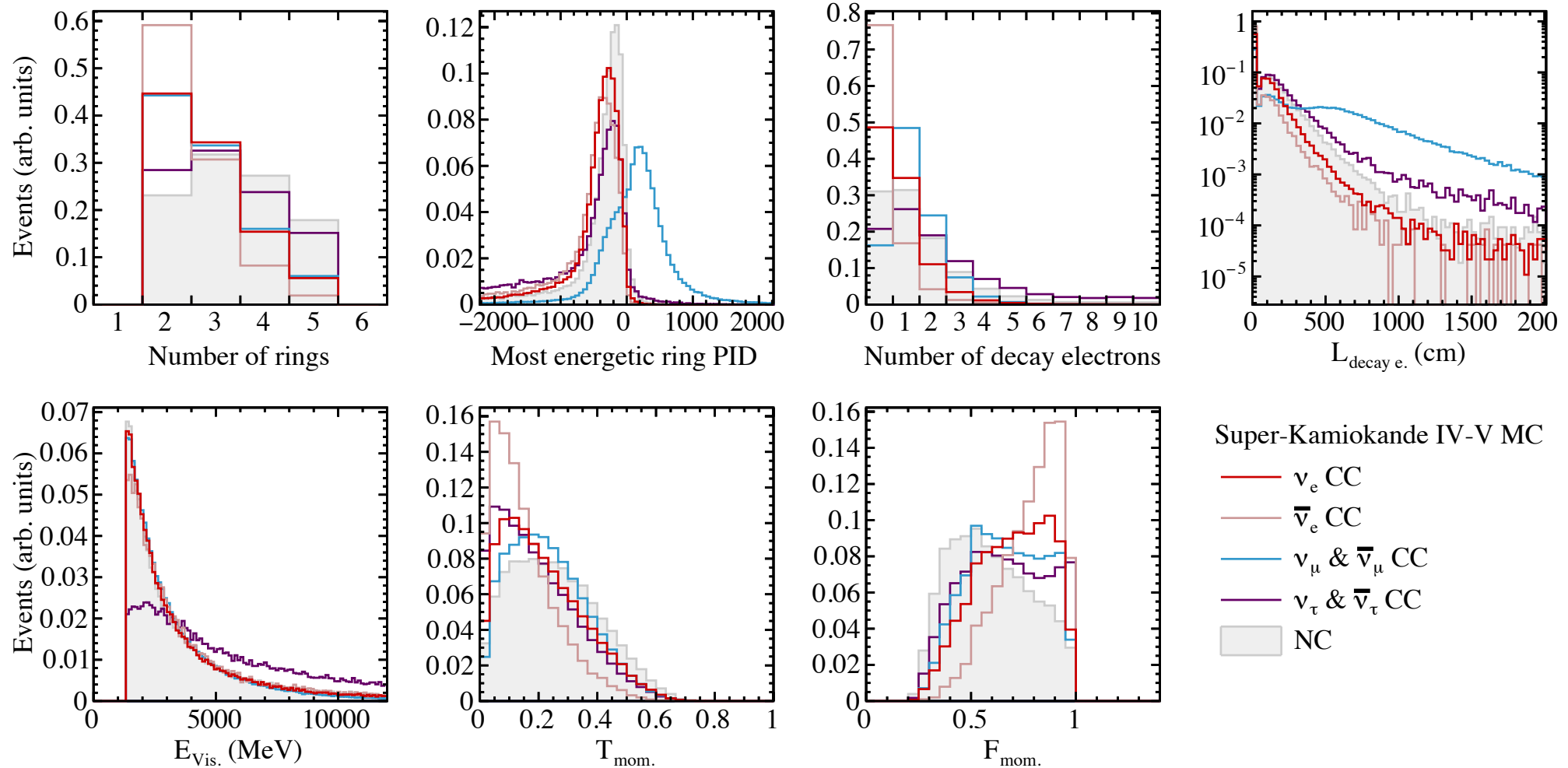
**Extra**

# SK Atmospheric Neutrino Oscillation Analysis

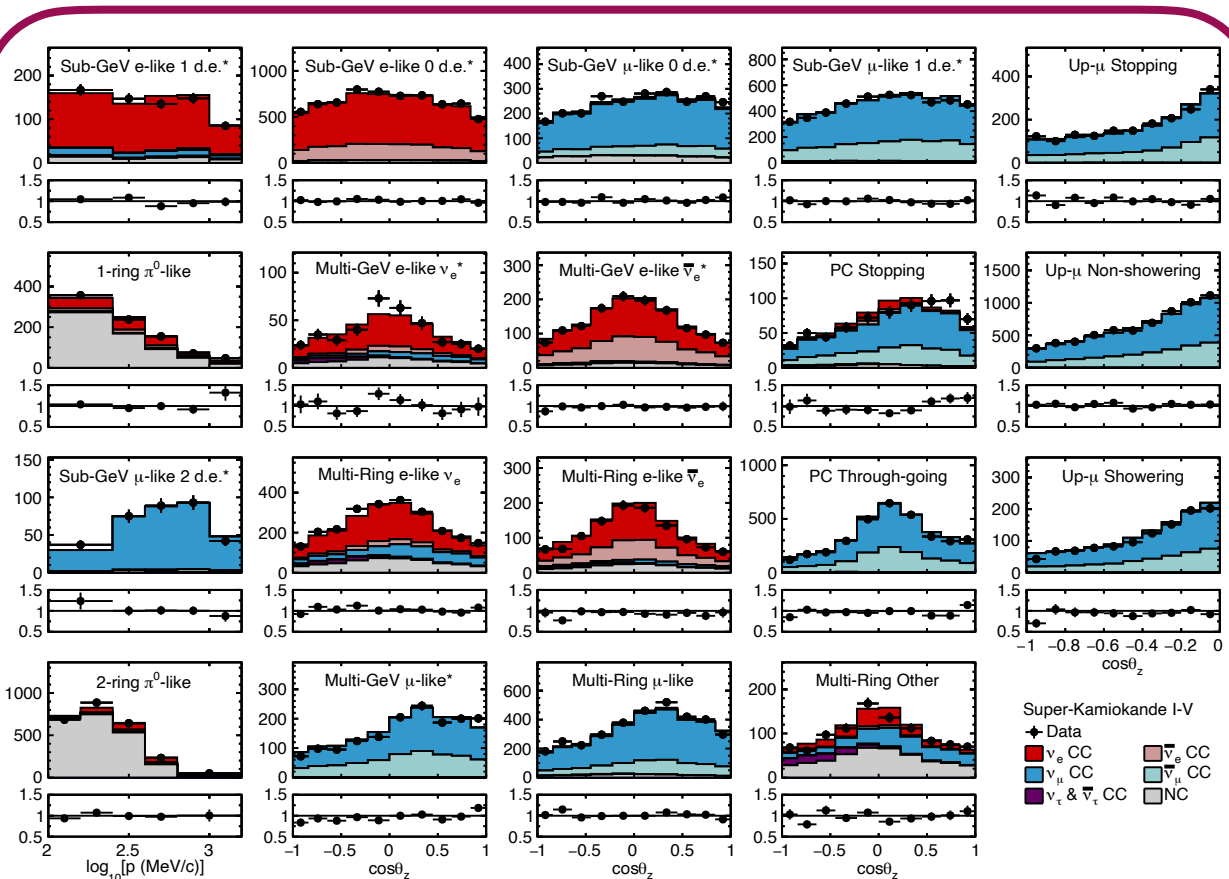




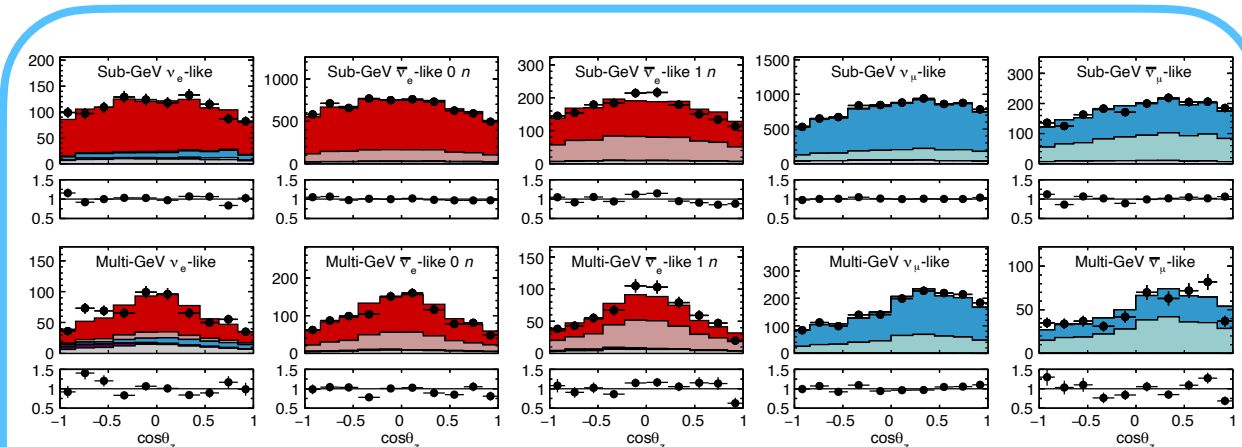
# Multi-Ring BDT Input Distributions



# Atmospheric Neutrino Event Selection

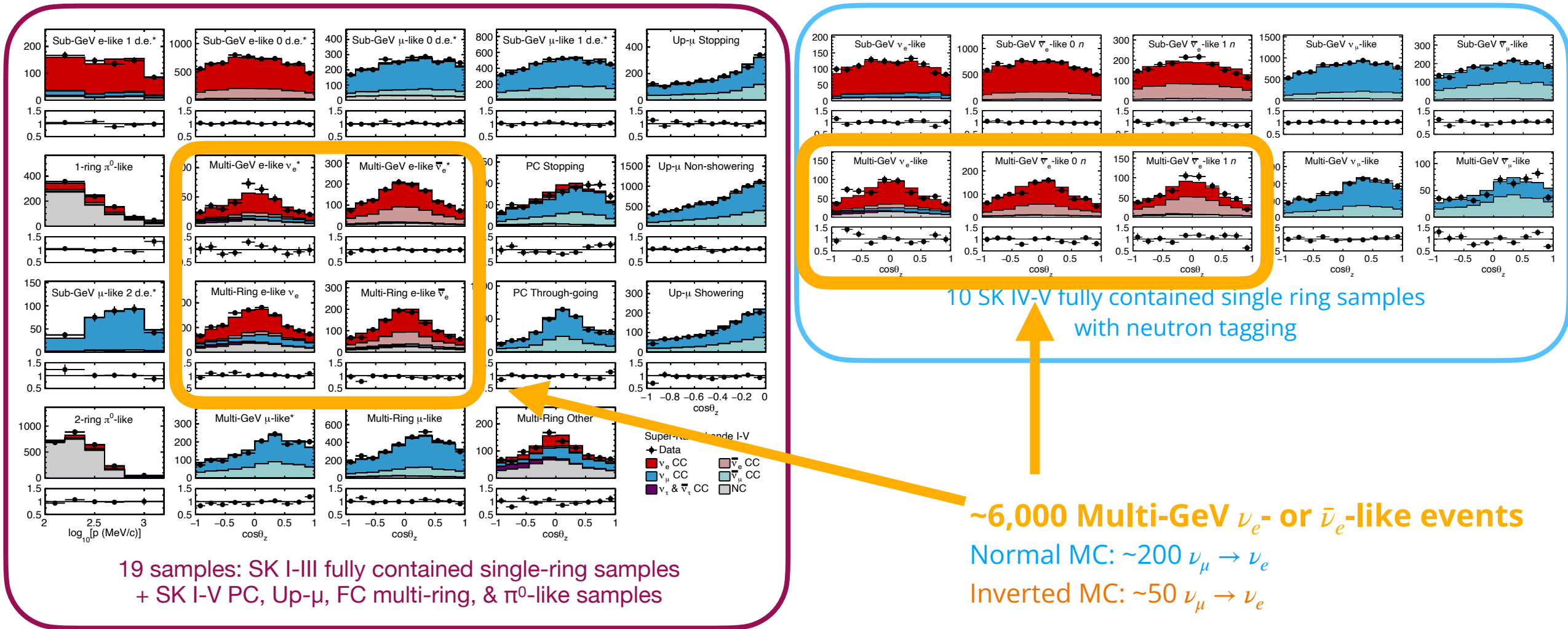


19 samples: SK I-III fully contained single-ring samples + SK I-V PC, Up- $\mu$ , FC multi-ring, &  $\pi^0$ -like samples



10 SK IV-V fully contained single ring samples with neutron tagging

# Atmospheric Neutrino Event Selection



# $\chi^2$ Calculation



Minimize  $\chi^2$  statistic for data versus MC given set of oscillation parameters & systematics:

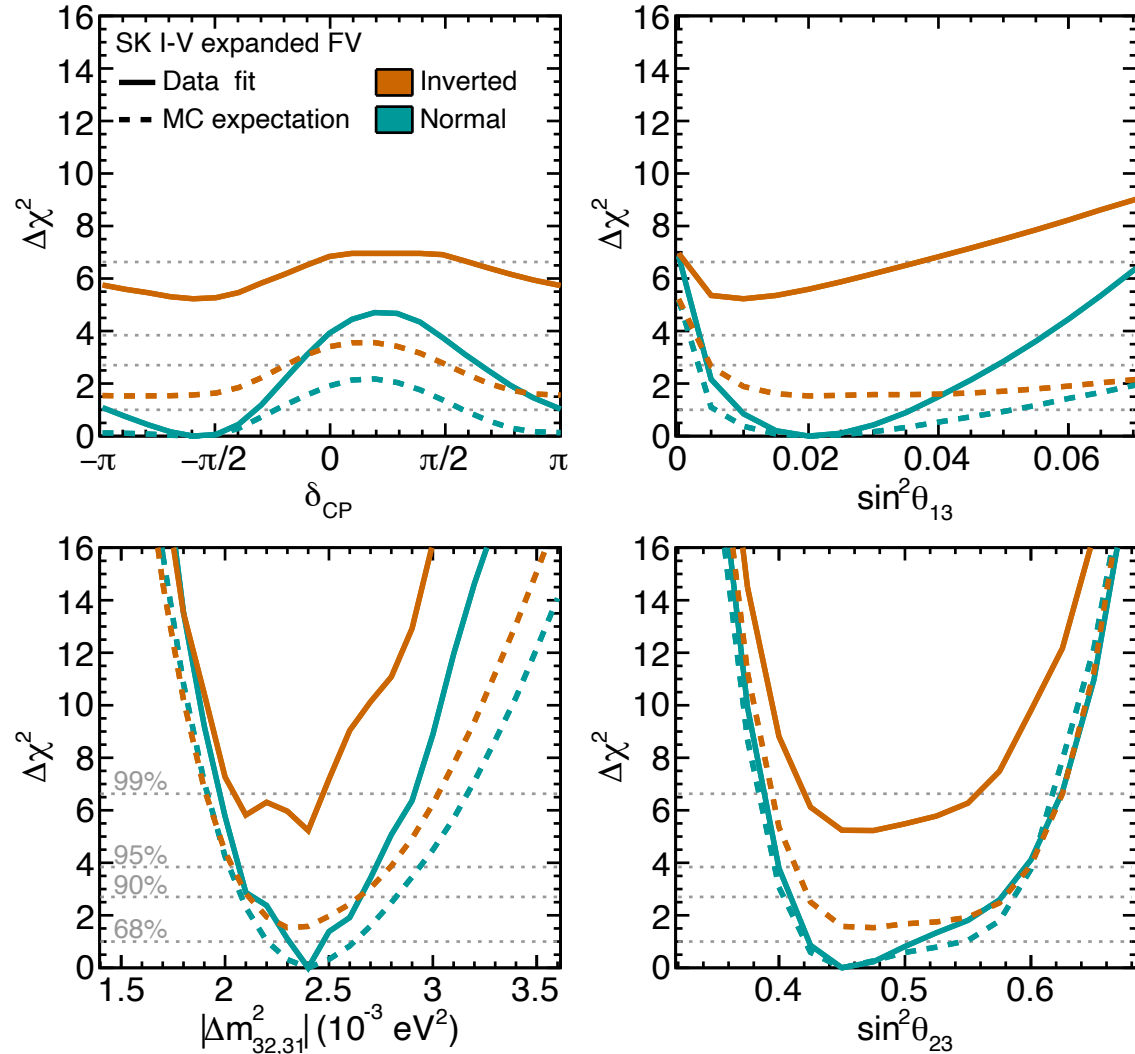
$$\chi^2 = \sum_n \left( \overset{\text{Expected counts}}{E_n} - \overset{\text{Observed counts}}{O_n} + O_n \ln \frac{O_n}{E_n} \right) + \sum_i \epsilon_i^2$$

Sum over  $n$  bins
 $i$  Systematic error pull terms

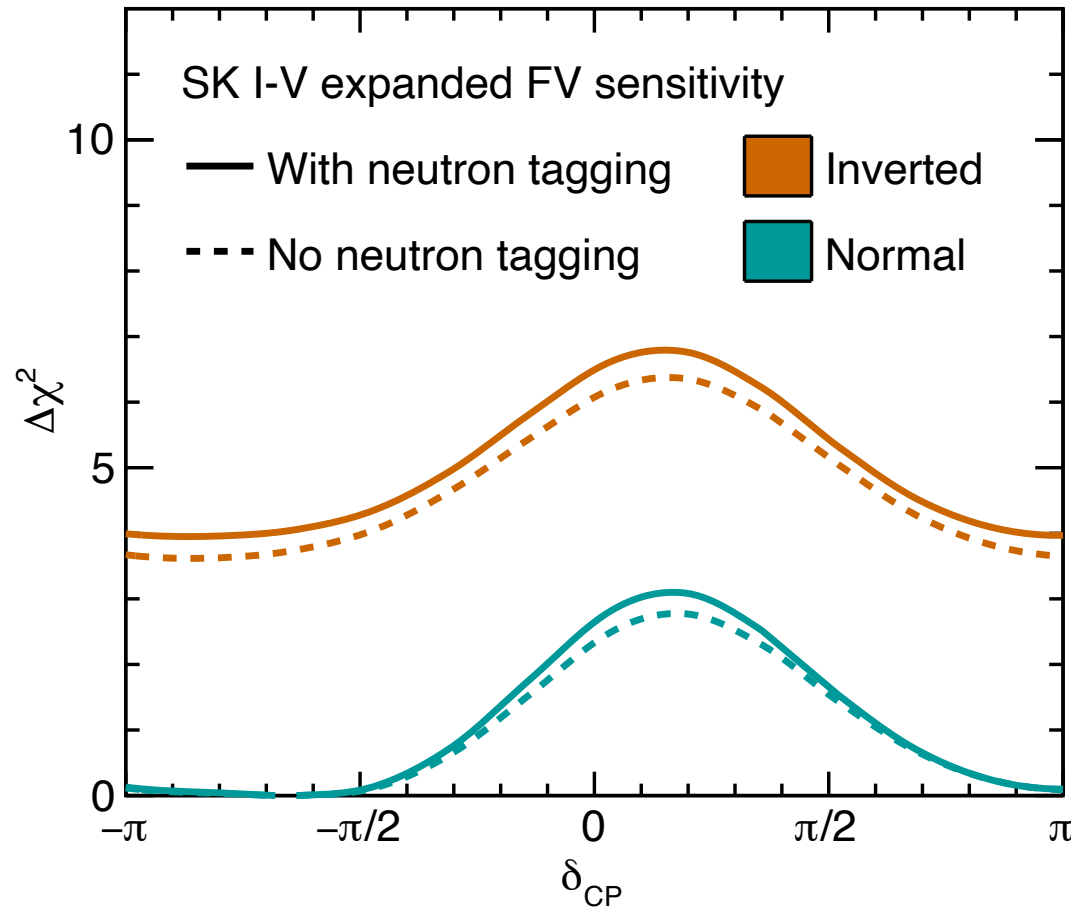
	Data set	Samples	Bins	Systematic errors	Free parameters	Minimization
<b>SK I-V, expanded FV</b>	6511 live days (0.48 Mton·years)	29	930 (2D $\cos\theta_z$ vs. momentum)	194	$\sin^2 \theta_{23}, \Delta m_{32}^2, \delta_{CP},$ ordering	Grid scan

# Results with $\theta_{13}$ Free

- Prefer **normal** ordering,  $\Delta\chi^2_{\text{IO-NO}} \approx 5.2$
- Prefer  **$\sin^2\theta_{13} \approx 0.02$** , consistent with world-average reactor measurements



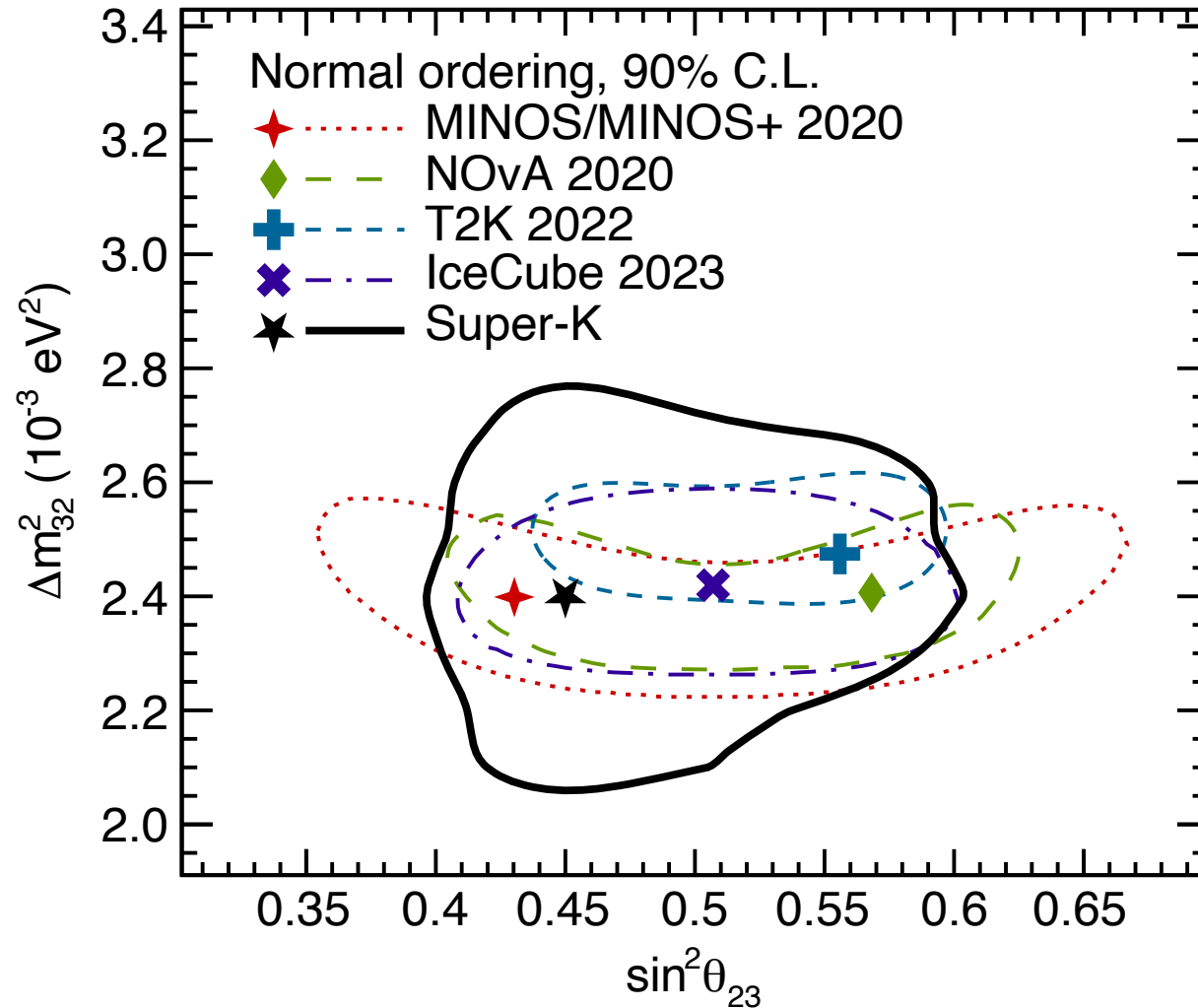
# Neutron Tagging & Mass Ordering



Comparison between event selection from previous SK atmospheric neutrino oscillation publication & event selection using neutron tagging for SK IV-V (57% of total livetime) fully contained single-ring data

Oscillation parameters assumed: PDG 2022

# Status of Atmospheric Mixing Parameters



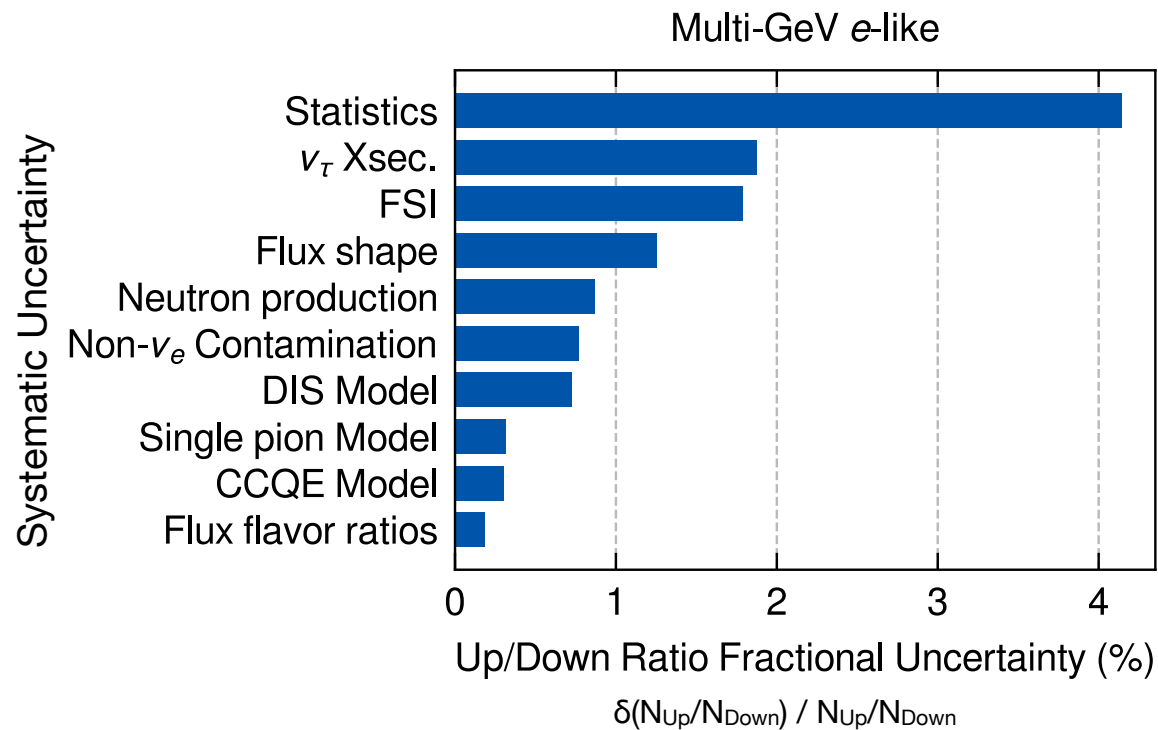
MINOS: Phys. Rev. Lett. **125**, 131802 (2020)

NOvA: 10.5281/zenodo.4142045 (2020)

T2K: Eur. Phys. J. C **83**, 782 (2023)

IceCube: Phys. Rev. D **108**, 012014 (2023)

# Systematic Uncertainty Importance



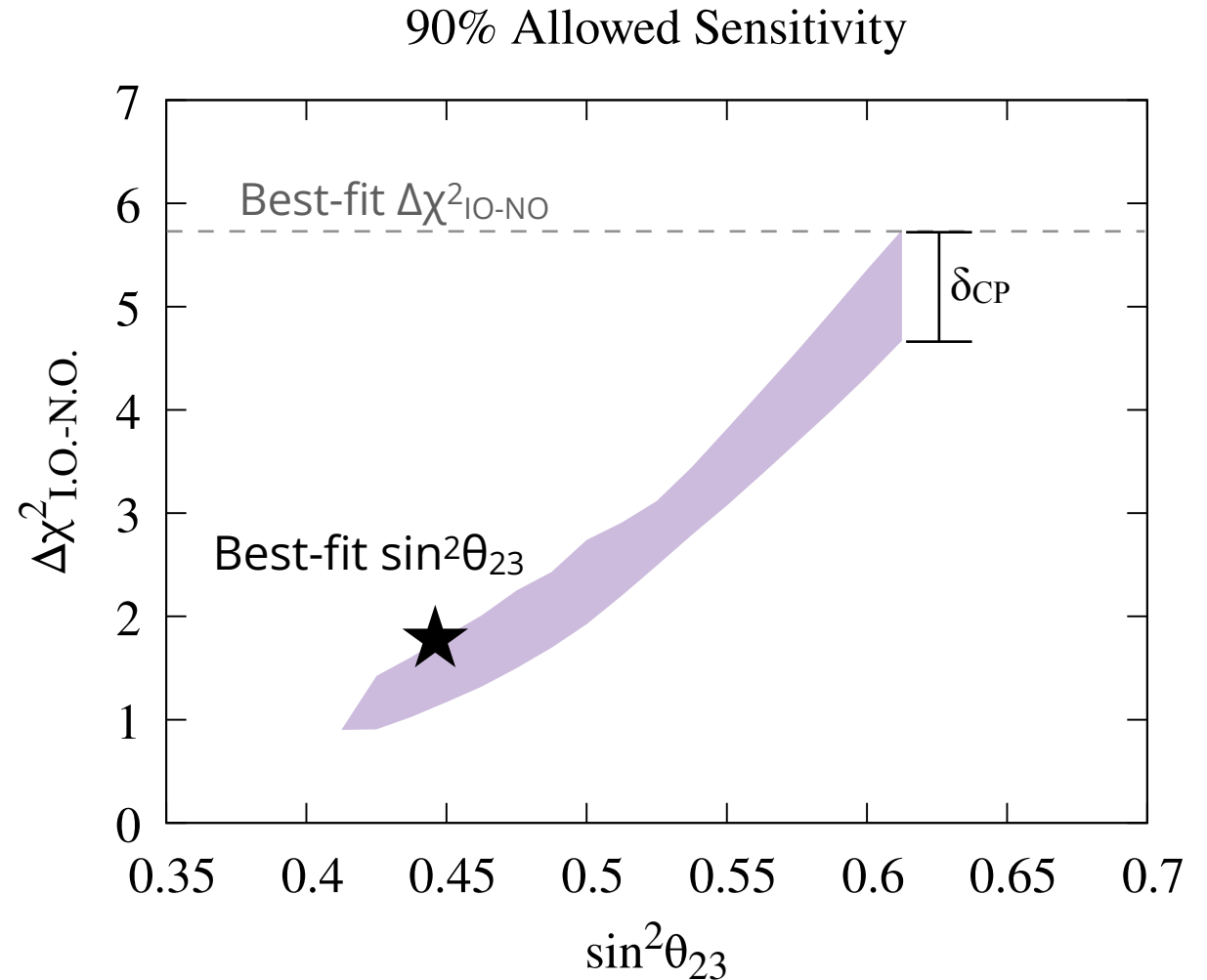
- Mass ordering analysis: Look for electron neutrino appearance in upward-going neutrinos
- Downward-going neutrinos constrain many flux and cross section uncertainties
- Systematic uncertainties with asymmetric zenith angle dependence have the largest effect on mass ordering analysis
- SK analysis is still statistics-limited



# Mass Ordering Sensitivity vs. Octant

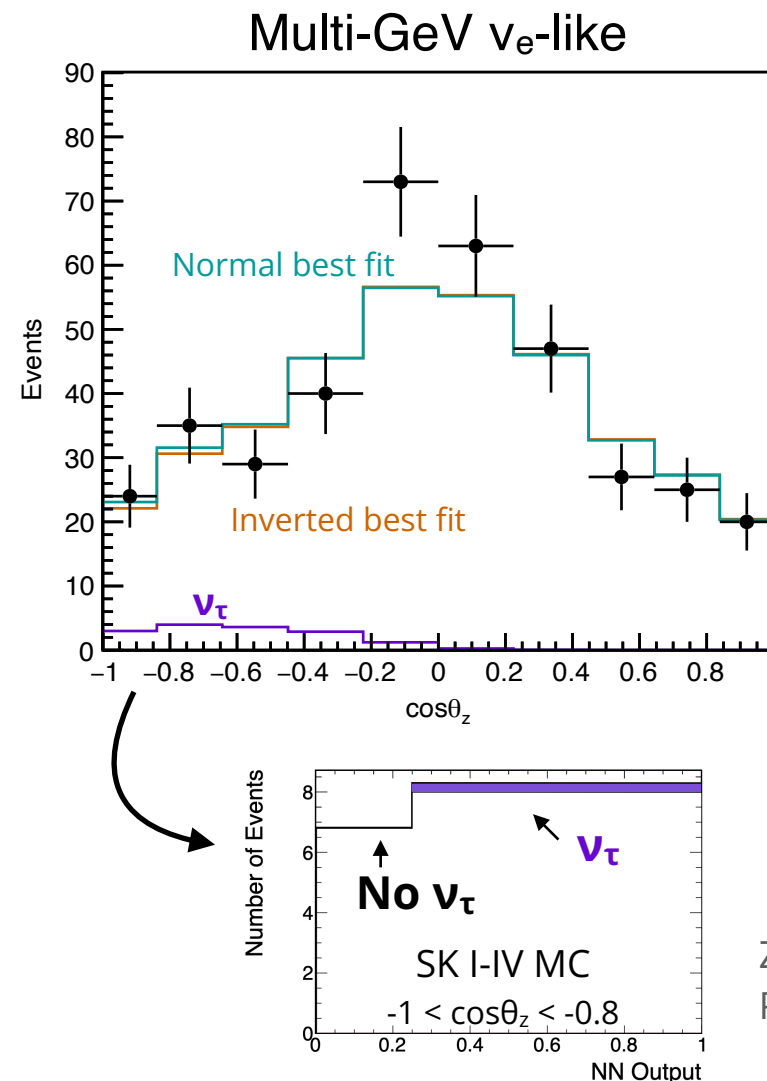


- Octant is constrained by sub-GeV events & multi-GeV  $\nu_\mu$  events with small mass ordering sensitivity
- Figure: Sensitivity for combinations of oscillation parameters allowed at 90% confidence level
- Upper-octant values of  $\theta_{23}$  are closer to observed  $\Delta\chi^2_{\text{IO-NO}}$ , wide range



# Reducing $\nu_\tau$ Contamination

- $\nu_\tau$  due to  $\nu_\mu \rightarrow \nu_\tau$  oscillations appears in upward-going multi-GeV signal region for mass ordering
- No constraint from downward-going atmospheric neutrinos
- Developing neural network selection to divide Multi-GeV e-like events by  $\nu_\tau$  probability to reduce impact of cross section uncertainty



Zepeng Li  
PhD Thesis, 2017

# Constraints from T2K Model

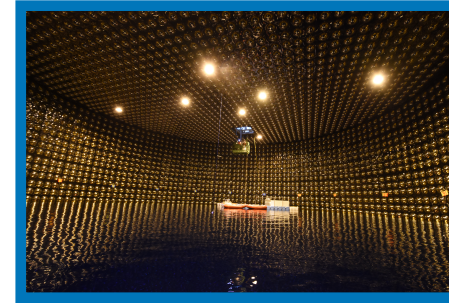
Why combine SK and T2K data?

- Synergy between atmospheric neutrino matter effect & precision measurements of  $\theta_{23}$ ,  $\Delta m^2_{32}$ ,  $\delta_{CP}$  from beam neutrinos
- Same H<sub>2</sub>O target: Treat cross section uncertainties as correlated. Potential for improvements beyond likelihood sum

T2K Modeling approach:

- Re-weight atmospheric MC to T2K's nominal flux and cross section parameters and approximates T2K's systematic uncertainty treatment from published information
- Fit model to published T2K bin counts as additional bins in atmospheric fit

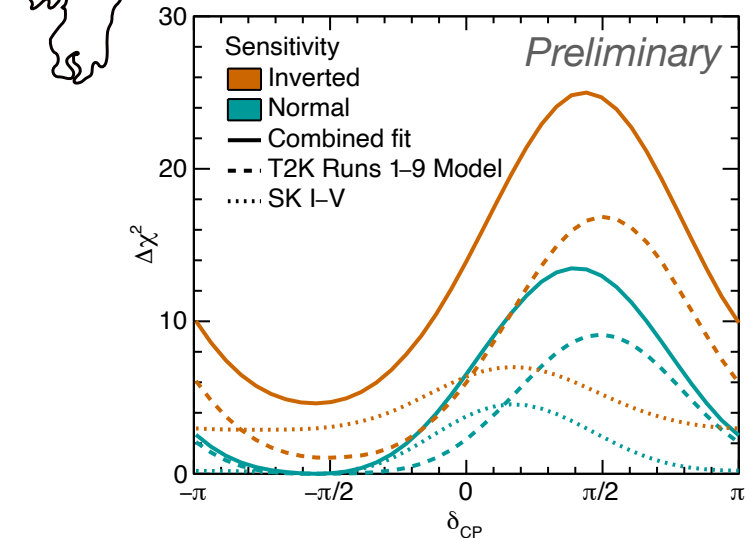
*Model based on on Phys. Rev. D **103**, 112008 (2021)*



Super-K



JPARC accelerator,  
T2K near detectors



# T2K Model

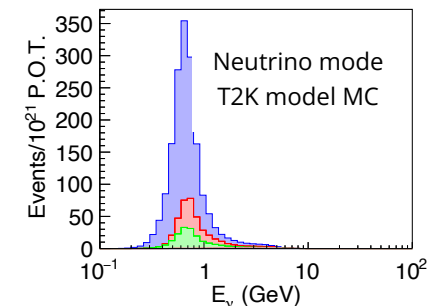
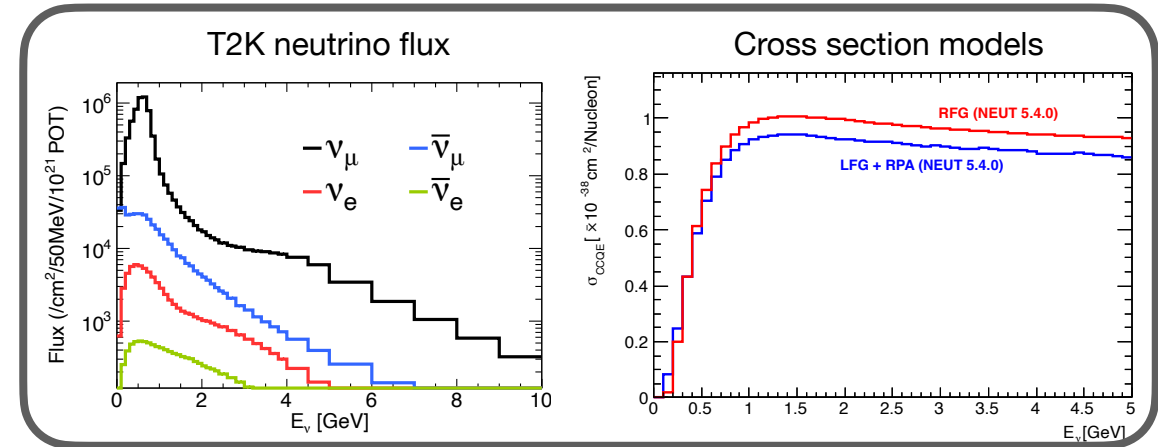
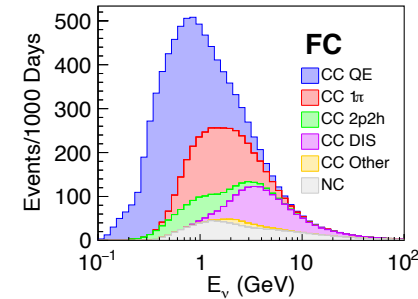


T2K Model re-weights atmospheric MC to the nominal cross section and flux models from the T2K runs 1–9 analysis

- CCQE model: RFG+RPA,  $M_A^{QE}=1.13\pm 0.08 \text{ GeV}/c^2$
- Single pion model: Rein-Seghal,  $C_A^5=0.98\pm 0.06$ ,  $I_{1/2}=1.31\pm 0.26$ ,  $M_A^{Res}=0.81\pm 0.04 \text{ GeV}/c^2$
- SK models and uncertainties used for 2p2h, DIS, FSI processes

Flux and cross section central values and uncertainties are taken to be post-ND280 fit from Phys. Rev. D **103**, 112008 (2021)

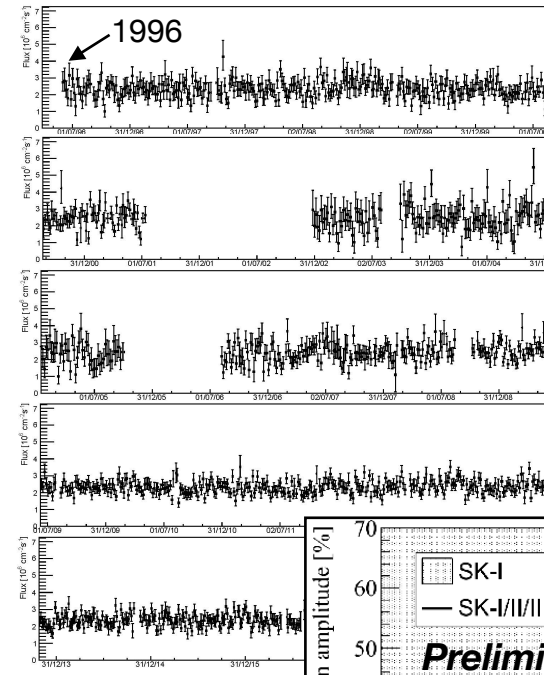
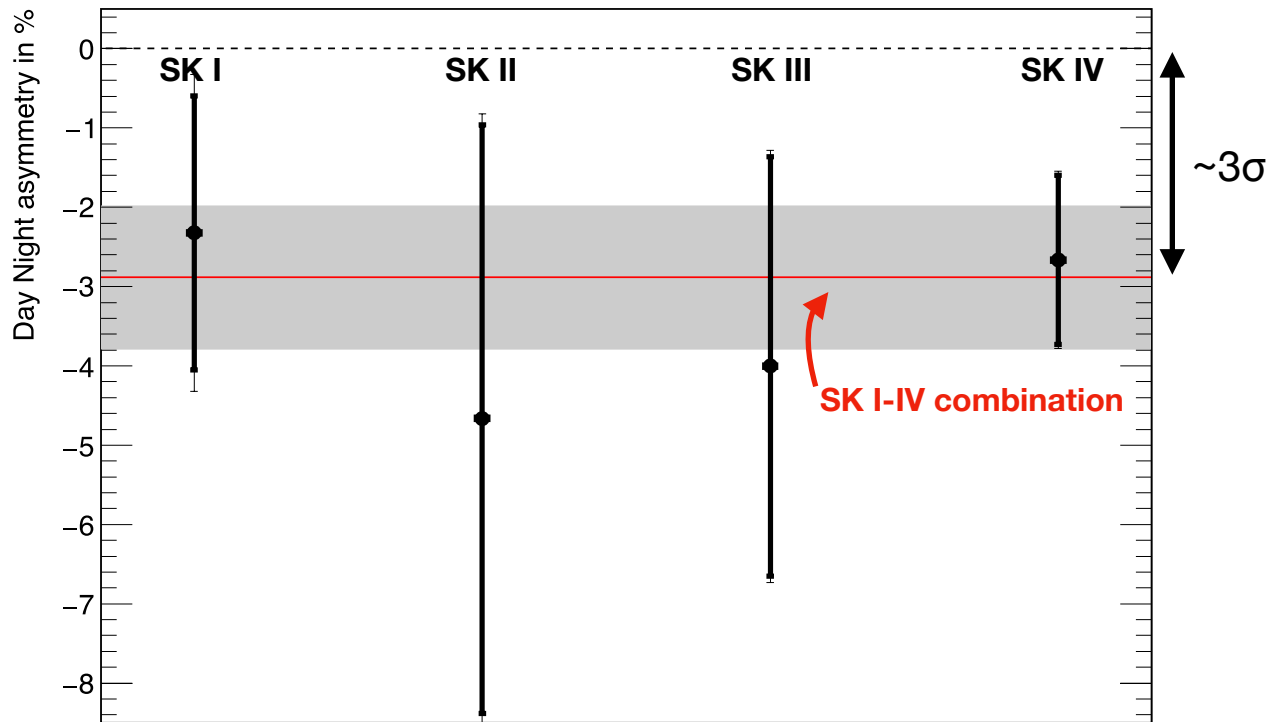
More details: [SK 2018 PRD](#), [T. Wester PhD thesis](#)



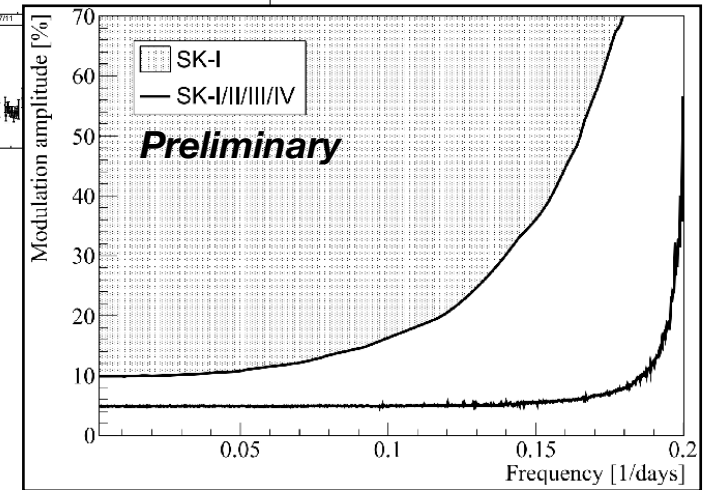
# Other Solar Neutrino Results



Day/Night Asymmetry in Solar Neutrino Flux

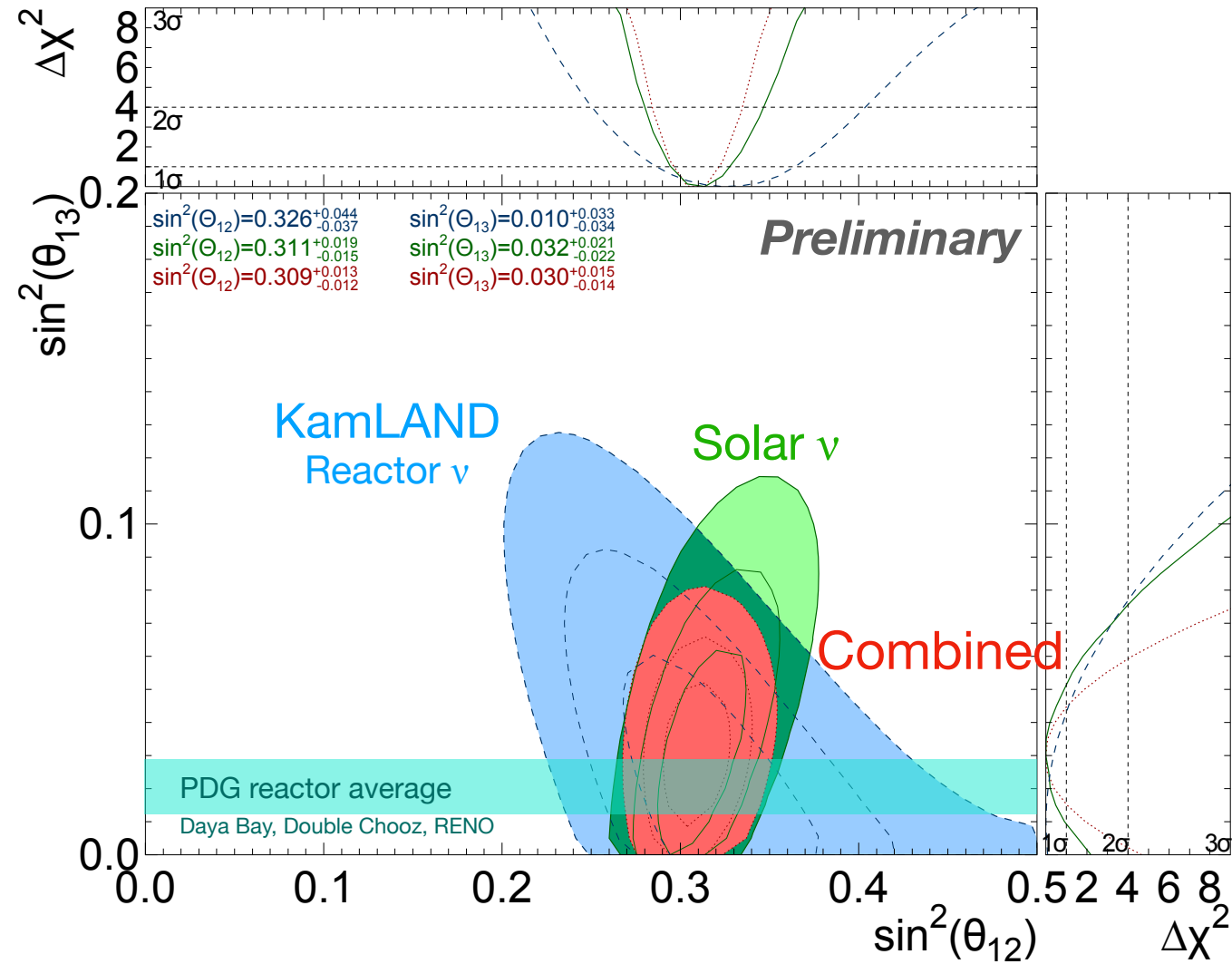


5800 days of solar neutrino flux measurements (5-day interval)



Solar  $^8\text{B}$   $\nu$  flux modulation  $< 5\%$  @ 95% C.L.

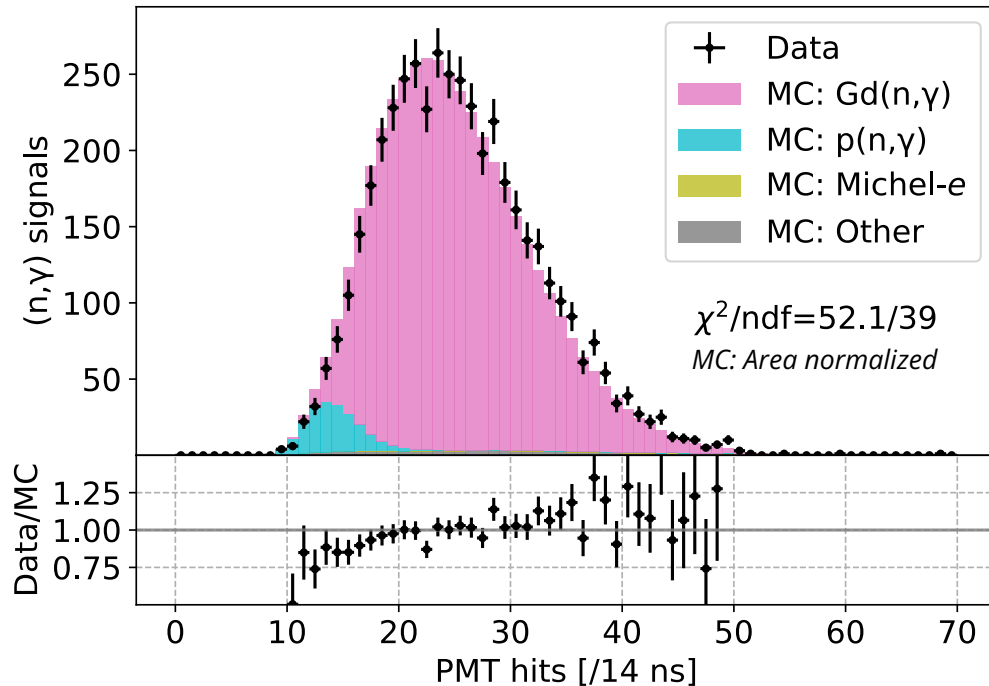
# Solar Neutrino Global Fit of $\theta_{13}$



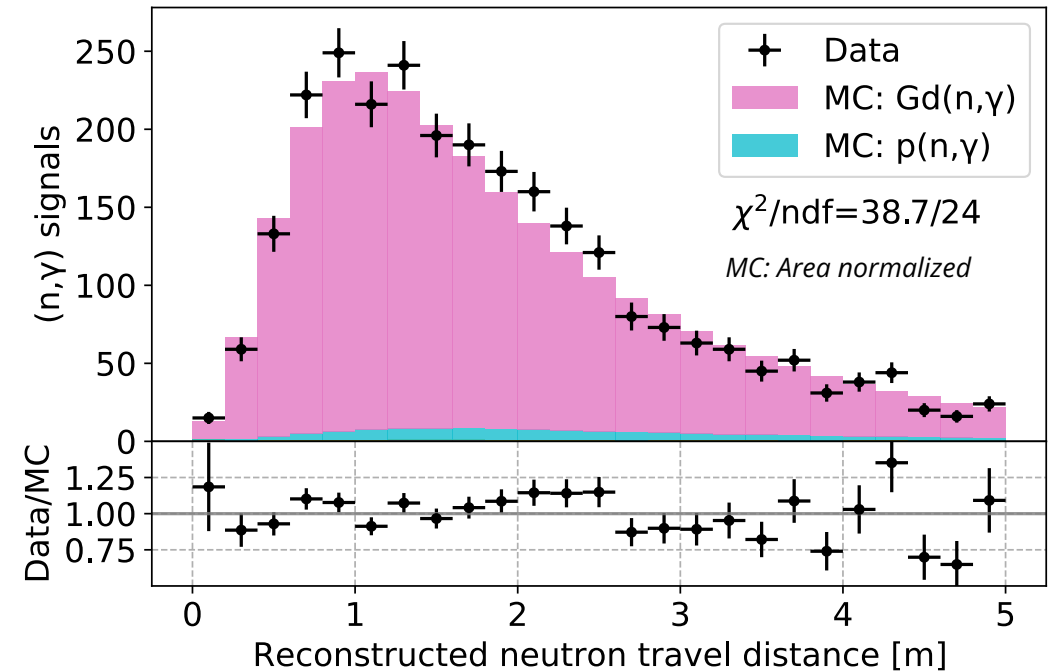
# SK-Gd for Atmospheric Neutrinos



SK-Gd data, 0.01% Gd loading. Atmospheric neutrino events



**Improved  $\nu$ - $\bar{\nu}$  separation** expected from higher neutron tagging efficiency with Gd captures. Many more captures per event



**Improved neutrino reconstruction** expected using capture vertex. Neutrino energy correlates with neutron travel distance.