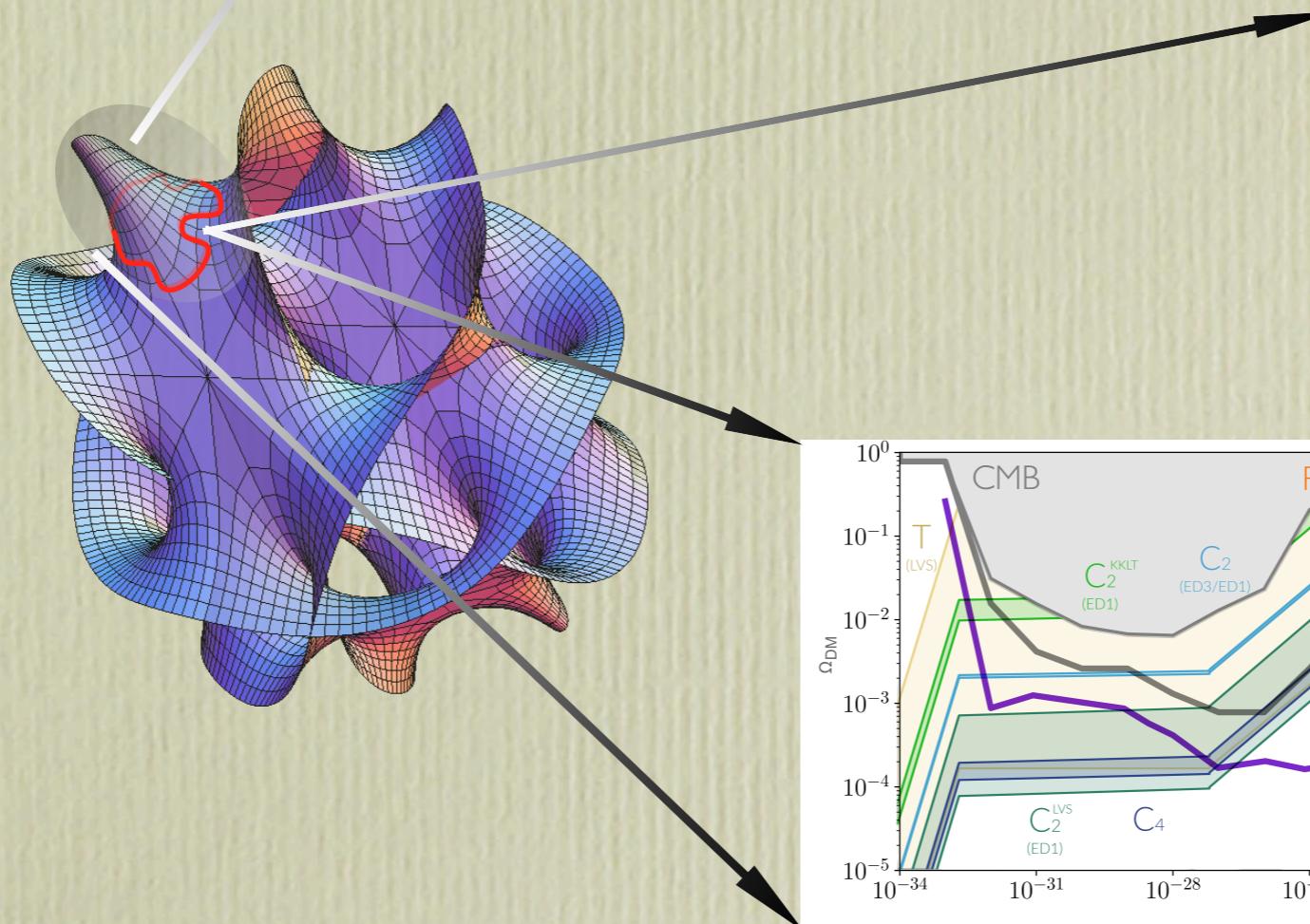
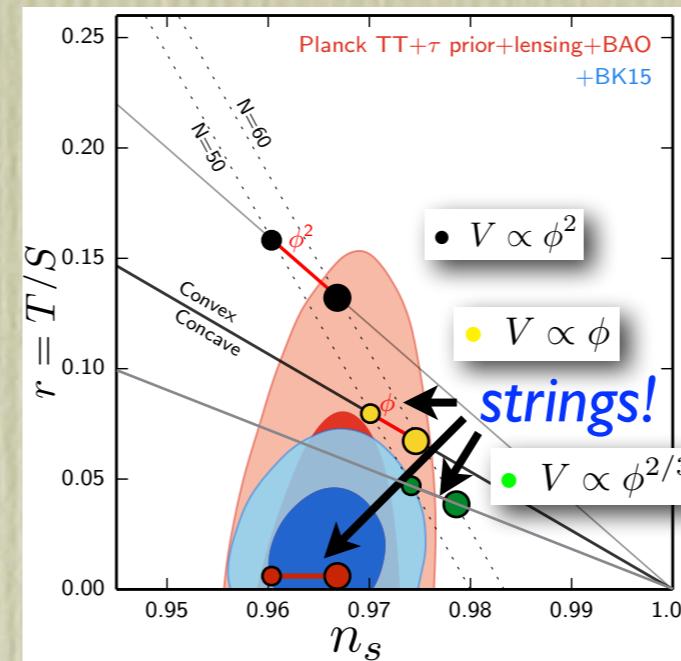


# Closed String Axions



# Alexander Westphal (DESY)

# type IIB closed string axions, WGC & Fuzzy Dark Matter

4d Lagrangian:  $\mathcal{L} = \frac{1}{2} f^2 \partial_\mu a \partial^\mu a + a \frac{g^2}{32\pi^2} \text{tr} G_{\mu\nu} \tilde{G}^{\mu\nu}$

non-perturbative effects:  
instantons of action S  
generate scalar potential

$$\mathcal{L} = \frac{1}{2} f^2 (\partial a)^2 - M_p^4 A e^{-S} \cos(a)$$

continuous shift symmetry  
broken to:

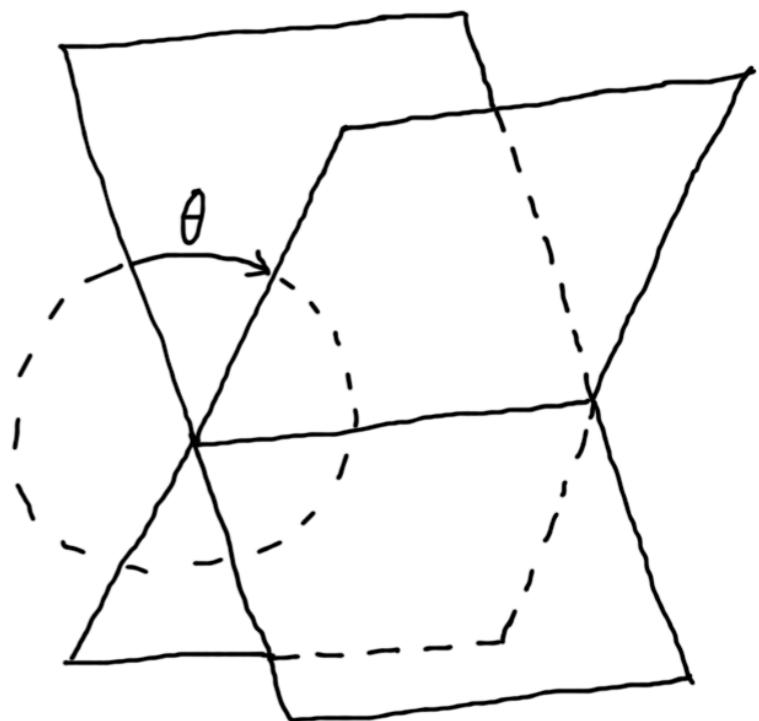
$$a \rightarrow a + 2\pi n, n \in \mathbb{Z}$$

Axion mass:  $m_a^2 = M_p^4 \frac{A e^{-S}}{f^2}$



# axions in string theory ...

- **string theory:**
  - extra dimensions
  - higher p-form gauge fields
  - branes
- **axions:**
  - Kaluza-Klein 0-modes of gauge fields
  - angles  $\theta_a$  between branes
  - ~~phases of open-string matter fields~~

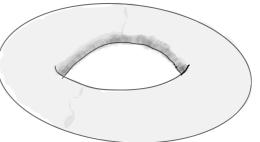


## type IIB closed string axions

$$\int_{\Sigma^{(p)}} C_p = A_0$$

$A_0$  = 0-form, i.e. an axion

$\Sigma^{(p)}$  = internal p-cycle of the Calabi-Yau



in particular, in type IIB we have :

$$\int_{\Sigma_i^{(4)}} C_4 = \theta_i$$

$$\int_{\Sigma_a^{(2)}} C_2 = c_a$$

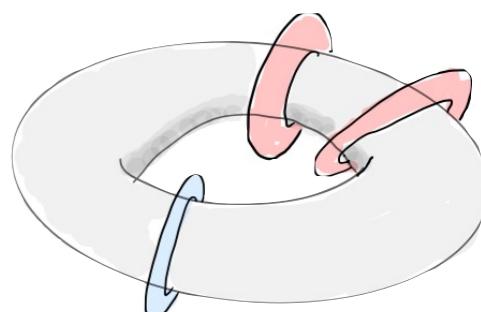
$$\int_{\Sigma_a^{(2)}} B_2 = b_a$$

we call them axions because:

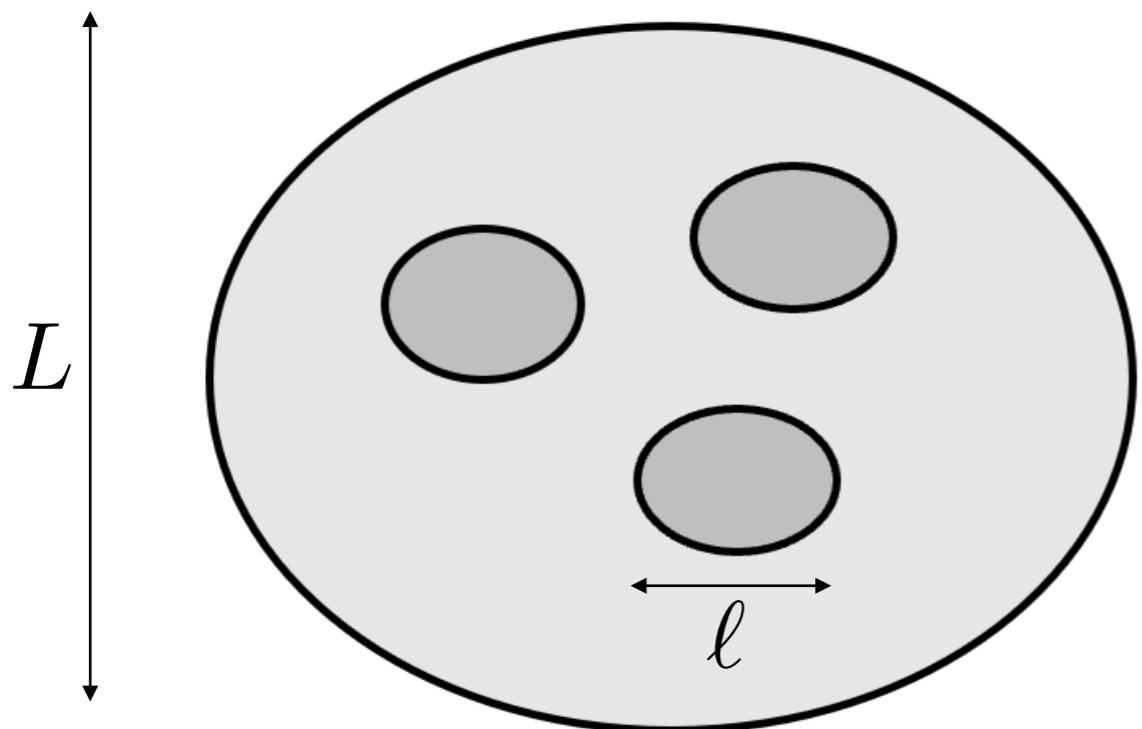
- after compactification: continuous shift symmetry inherited from the 10d gauge invariance
- introduce branes: shift symmetry broken to a discrete one  
+ generate a potential (hence a mass) for the axions



instantons of complex action  $S$



# string theory matching of axion EFT



[Banks, Dine, Fox & Gorbatov '03; Srvcek & Witten '06]

$$\mathcal{L}_{\text{kin.}} \sim M_{\text{P}}^2 \frac{\ell^{q'}}{L^p} \partial_\mu a \partial^\mu a , \quad q' < p , \quad \ell \lesssim L \Rightarrow f \lesssim M_{\text{P}}$$

$$\delta V \sim \text{Re}(e^{-S}) , \quad \text{Re } S = \frac{\ell^q}{g_s^\#}$$

in most cases:  
 $Sf \lesssim M_{\text{P}}$   
 (axionic WGC)

[Arkani-Hamed, Motl, Nicolis & Vafa '06]

- **consequence of string extra dimensions:**
  - many cycles —  $O(100)$
  - each cycle: a p-form 0-mode axion
- ★ string theory generically contains **many axions**
- ★ **decay constants** are **high**
  - ... power-law in extra-dim. size
- ★ **masses** distribute **exponentially wide**
  - ... exponential in extra-dim. size
- ★ couplings to SM: mostly no ...
  - ... exceptions highly model-dependent (e.g. kinetic mixing)

a string theory axiverse !

- **closed string axion pheno:**

- high-scale — inflation

natural inflation does not work:  $f < M_P$

$$\mathcal{L} = (\partial_\mu \phi)^2 - \Lambda^4 \cos(\phi/f) \text{ needs } f > M_P$$

several axions: aligned inflation, N-flation,  
hierarchical or winding inflation, axion hybrid inflation, ...

[Kim, Nilles & Peloso '04] [Dimopoulos, Kachru, McGreevy & Wacker '05] [Ben-Dayan, Pedro & AW '14]

[Hebecker, Mangat, Rompineve & Witkowski '15] ... [Carta, Righi, Welling & AW '20]

axion monodromy: fluxes break shift symmetry

$$|F_5 + C_2 \wedge H_3|^2|_{10D} \rightarrow (\partial a)^2 + |F_4|^2 + \mu a F_4|_{4D} \Rightarrow V \sim m^2 a^2$$

[McAllister, Silverstein & AW '08] [Kaloper & Sorbo '08] ...

generic:  $aF\tilde{F}$ -coupling produces gauge fields & gravitational waves

[Anber & Sorbo '09] ... review: [Barnaby, Pajer & Peloso '12]

- **closed string axion pheno:**

- **dark radiation**

- axion production from moduli decay in type IIB string models  
of moduli stabilization (LVS, KKLT ...)

[Cicoli, Conlon & Quevedo '12]

[Higaki & Takahashi '12]

[Hebecker, Mangat,  
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[Cicoli, Sinha & Wiley Deal '22]

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$$\frac{K}{M_P^2} = -n_1 \ln(T_1 + \bar{T}_1) + \dots$$

type IIB on CY:  
often has  $h^{1,1} > 1$   
volume moduli &  $C_4$ -axions

e.g. [Demirtas, Gendler, Long,  
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canonically  
normalize:

$$\tau_1 = \exp \left( \sqrt{\frac{2}{n_1}} \frac{\phi_{\tau_1}}{M_{\text{P}}} \right)$$

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[Cicoli, Conlon & Quevedo '12]

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heavy moduli decay  
into relativistic axions  
**“dark radiation”**

[Cicoli, Conlon & Quevedo '12]

[Higaki & Takahashi '12]

[Hebecker, Mangat,  
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⋮

[Cicoli, Sinha & Wiley Deal '22]

- **closed string axion pheno:**

- dark matter

- high-scale decay constants:  $f > H$  even during inflation

- but exponentially light:  $m \ll H$  during inflation

- population of non-relativistic axion matter density  $\rho$   
via misalignment:

- random displacement of axion  $a$  during inflation from de Sitter vacuum fluctuations

- every Hubble patch has different  $\rho$  , ours is selected anthropically

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axion abundance: 
$$\frac{\Omega_a h^2}{0.112} \simeq 2.2 \times \left( \frac{m_a}{10^{-22} \text{ eV}} \right)^{1/2} \left( \frac{f}{10^{17} \text{ GeV}} \right)^2 a_{\text{in}}^2$$

[Cicoli, Goodsell & Ringwald '12]

- **closed string axion pheno:**

- what dark matter?

- if  $m > 10^{-18}$  eV ... cold dark matter

- if  $10^{-25} \text{ eV} < m < 10^{-19} \text{ eV}$  ... fuzzy (or wave) dark matter

- other production mechanisms ...

- ... from topological defects, cosmic strings (see  
Javier Redondo's talk yesterday)

- **closed string axions - open questions:**
  - axion-moduli couplings in kinetic terms & NP potentials determined by compactification data — e.g intersection #s or fluxes
  - axion-matter couplings depend on axion type and SM realization (7-branes on 4-cycle, 3-branes at CY singularity)

**need both:**

- **explicit** string model **constructions** to study structure & parameter range of axion couplings
- **scans** over large sets of string vacua **to get** number frequency **distribution of axion EFT parameters**

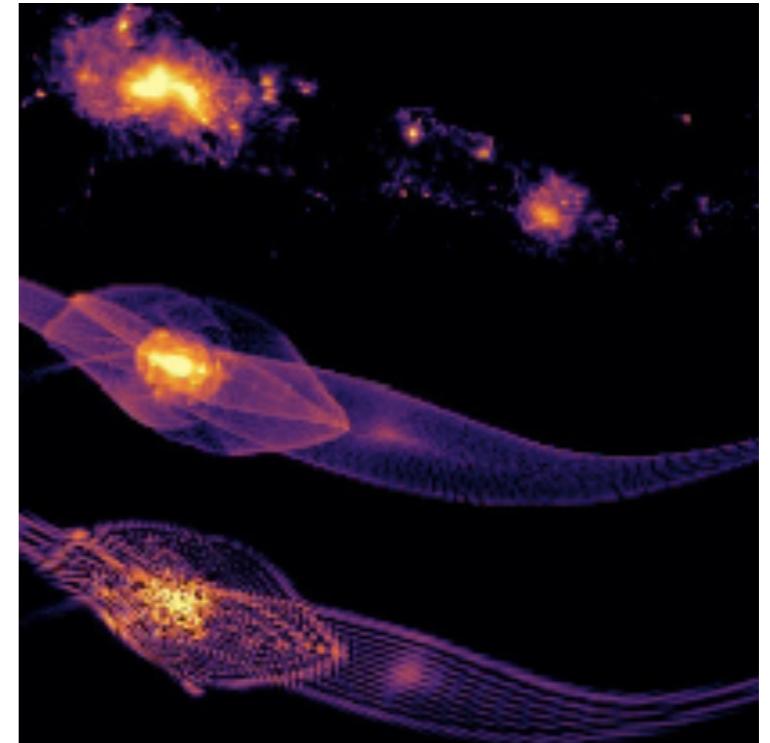
# type IIB closed string axions, WGC & Fuzzy Dark Matter

FDM = DM made of ultralight particles

[Hu, Barkana & Gruzinov '00]

Ultralight axions as FDM [Hui, Ostriker, Tremaine & Witten '16]

$$m \sim 10^{-21} \text{ eV} \quad f \sim 10^{16 \div 17} \text{ GeV}$$



[Mocz et al '19]

→ Possible sign of the string axiverse?

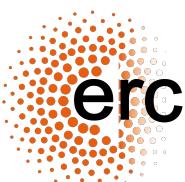
+ clash with the Weak Gravity Conjecture ?

[Alonso & Urbano '17]

[Hebecker, Mikhail & Soler '18]

FDM abundance:  $\frac{\Omega_a h^2}{0.112} \simeq 2.2 \times \left( \frac{m_a}{10^{-22} \text{ eV}} \right)^{1/2} \left( \frac{f}{10^{17} \text{ GeV}} \right)^2 a_{\text{in}}^2$   
 $( H < f )$

[Cicoli, Goodsell & Ringwald '12]



# type IIB closed string axions, WGC & Fuzzy Dark Matter

## SPECIAL AXIONIC MODES: THRAXIONS

[Hebecker, Leonhardt, Moritz & AW '18]

[Carta, Mininno, Righi & AW '21]

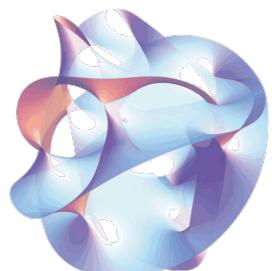
Throats carry fluxes, i.e.  $F_3 = dC_2$  field strength

$$c := \int_{S^2} C_2$$

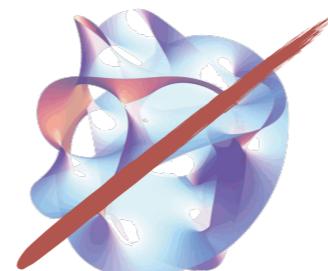
naturally light because  $m^2 \sim \omega_{\text{IR}}^3$

!

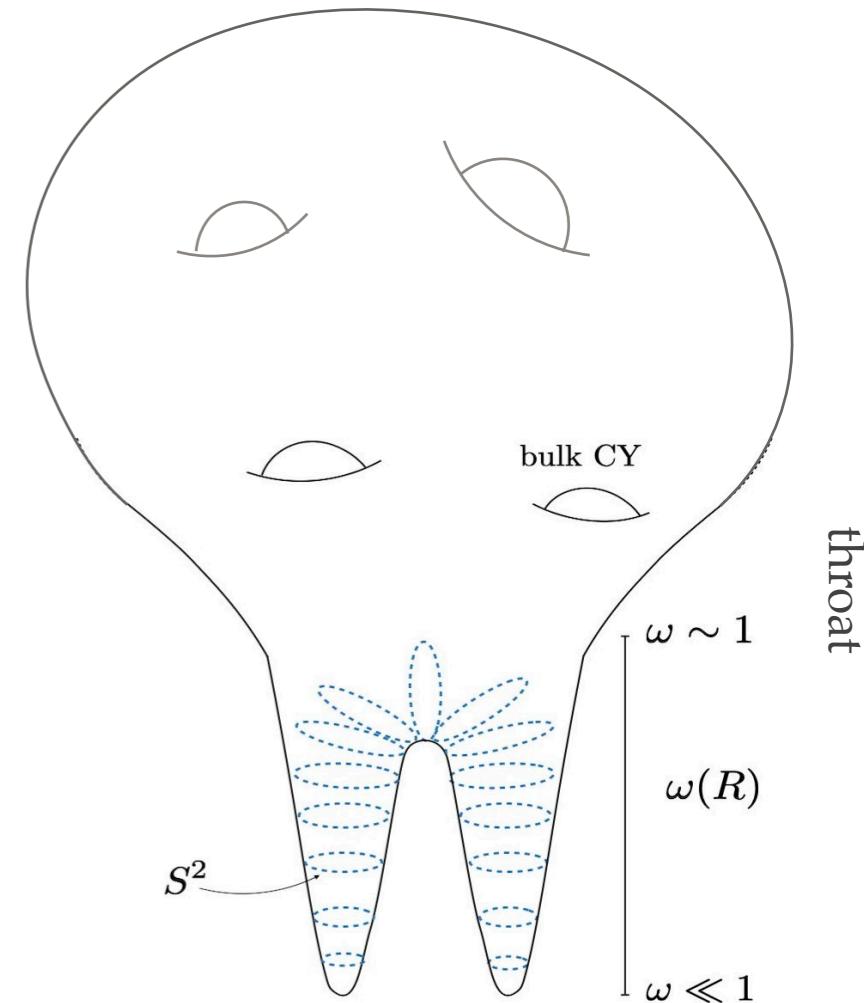
How much control do we have on the EFT? !



$$\xrightarrow{\langle \text{thraxion} \rangle \neq 0}$$



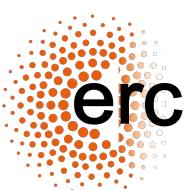
to just a complex manifold!



# type IIB closed string axions, WGC & Fuzzy Dark Matter

[Cicoli, Guidetti, Righi & AW '21]

Axion	$Sf$
✗ $C_0$	$\sim 1/\sqrt{2} M_P$
✗ $B_2$	$< M_P$
✓ $C_2$	$\begin{cases} S_{ED1} f \lesssim M_P \\ S_{ED3} f \lesssim \sqrt{g_s} \mathcal{V}^{1/3} M_P \end{cases}$
✓ $C_4$ (1 dof)	$\lesssim \sqrt{3/2} M_P$
✓ $C_4$ (2 dof)	$\lesssim M_P$
✓ $C_{2,\text{thrax}}$	$\begin{cases} S_{ED1} f \sim \frac{3\sqrt{KM}}{2\mathcal{V}^{1/3}} M_P \\ S_{\text{eff}} f_{\text{eff}} \sim \frac{3\pi K}{\sqrt{g_s} \mathcal{V}^{1/3}} M_P \end{cases}$

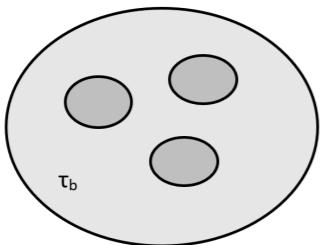


# type IIB closed string axions, WGC & Fuzzy Dark Matter

## FDM FROM C<sub>4</sub> AXION

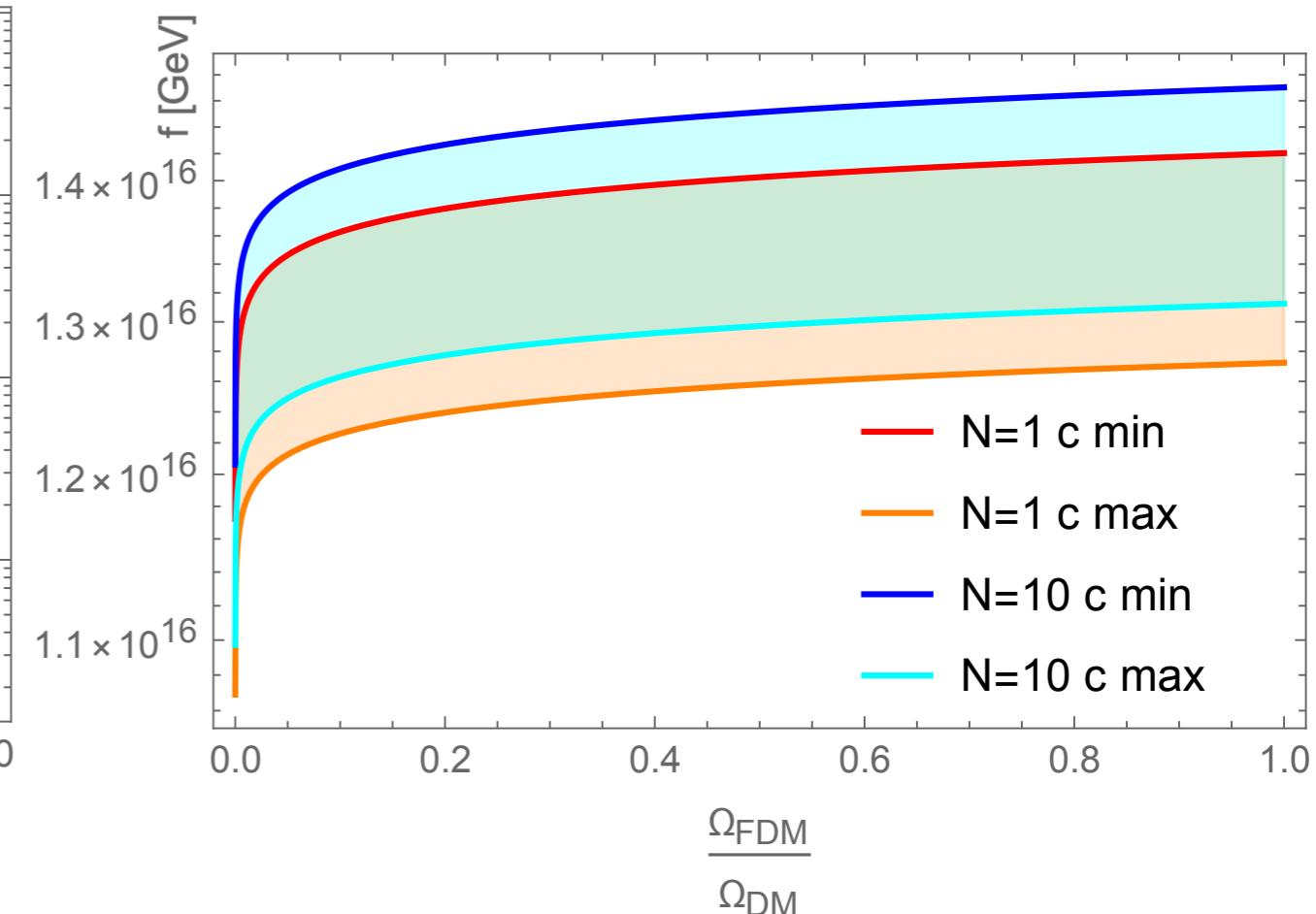
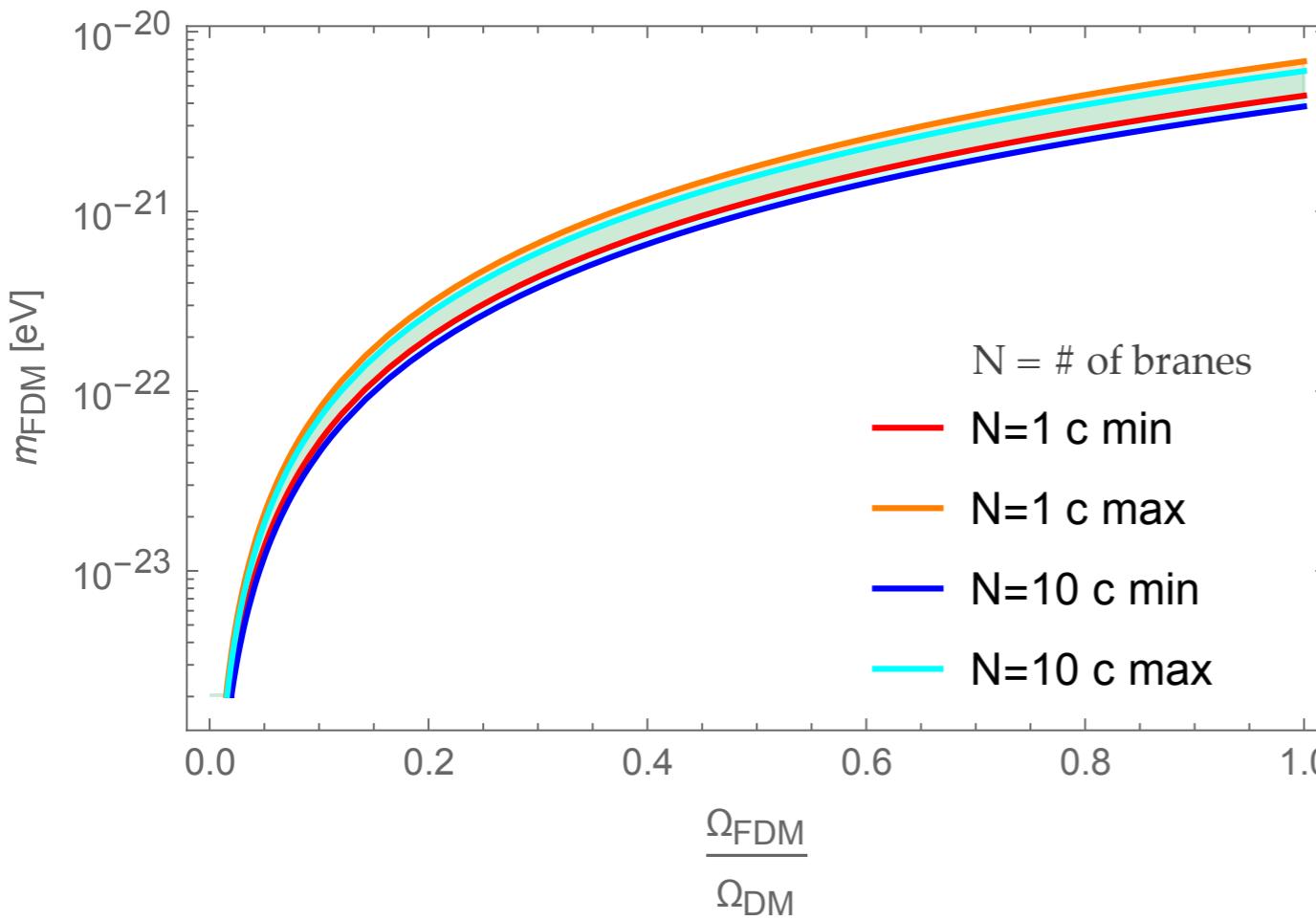
[Cicoli, Guidetti, Righi & AW '21]

1)



$$Sf \sim \frac{3}{2} M_P$$

$$\frac{m_{\theta\nu}^2}{M_P^2} \sim \frac{S_\nu^3 e^{-S_\nu}}{\mathcal{V}^2}$$

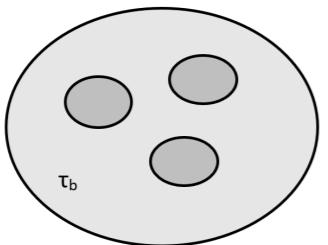


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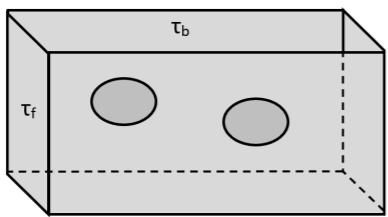
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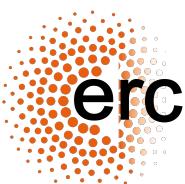
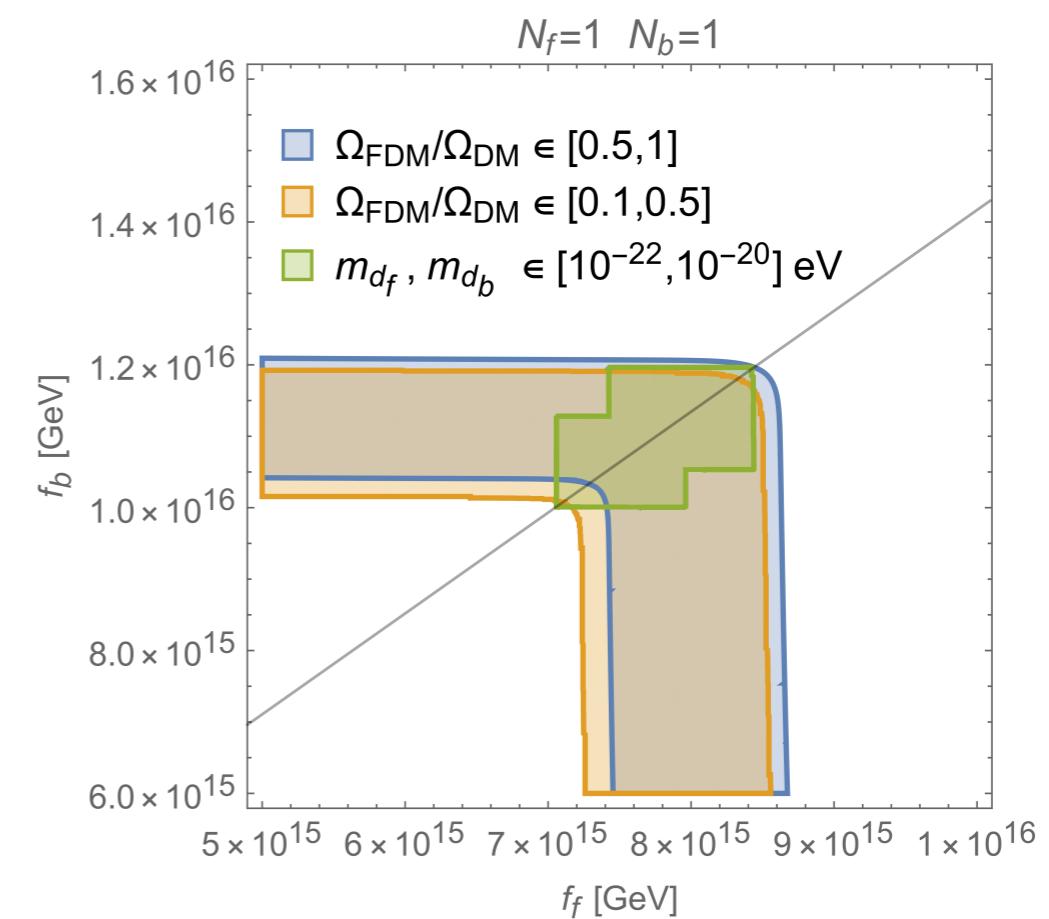
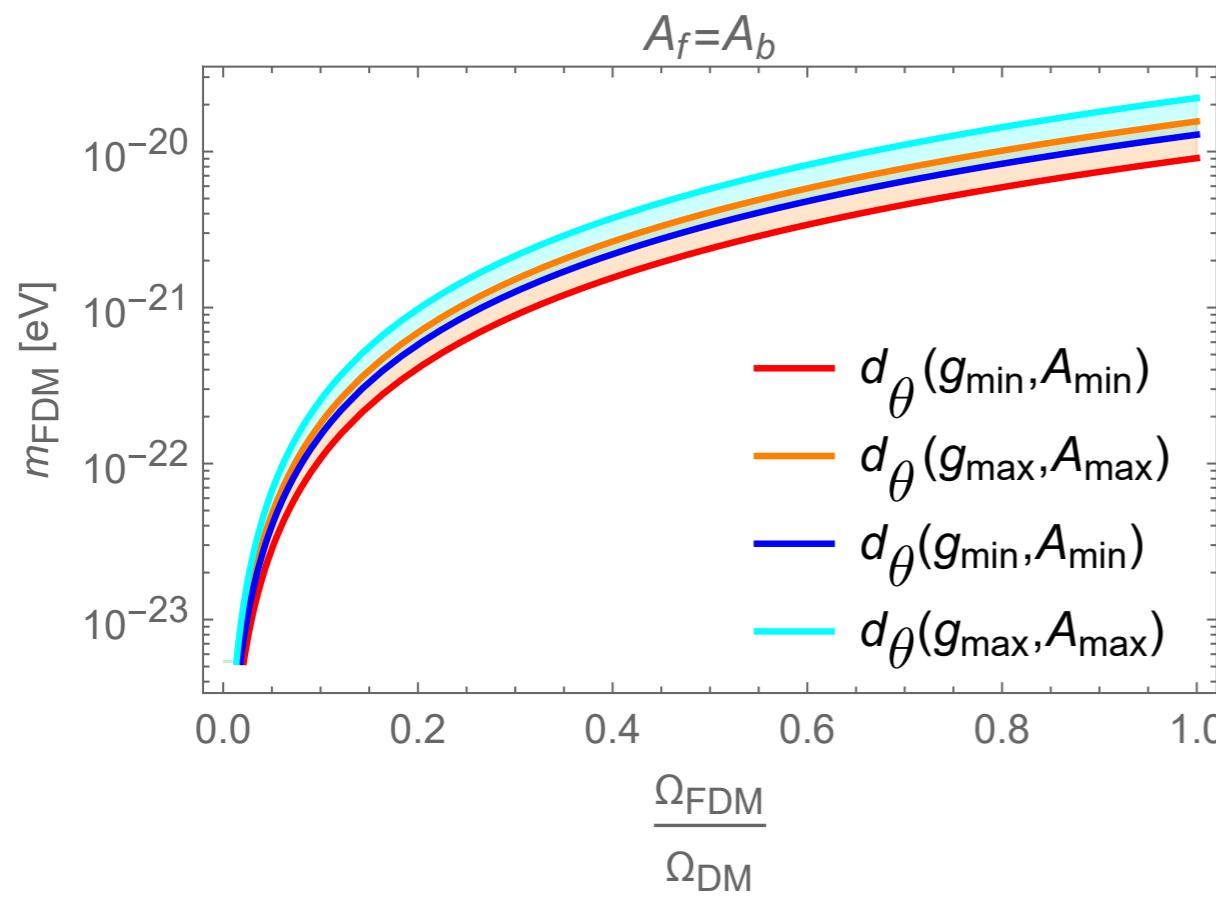
2)



$$Sf < \frac{3}{2}M_P$$

$$\frac{m_{\theta_b}^2}{M_P^2} \sim \frac{S_b^3 e^{-S_b}}{\mathcal{V}^2}$$

$$\frac{m_{\theta_f}^2}{M_P^2} \sim \frac{S_f^3 e^{-S_f}}{\mathcal{V}^2}$$

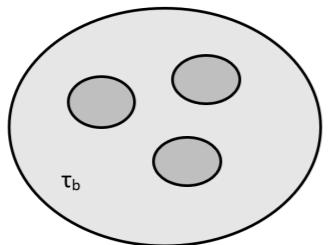


# type IIB closed string axions, WGC & Fuzzy Dark Matter

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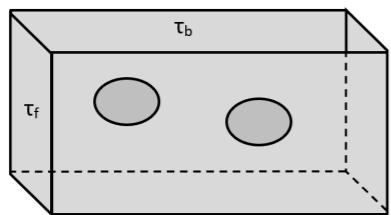
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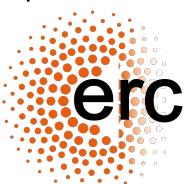
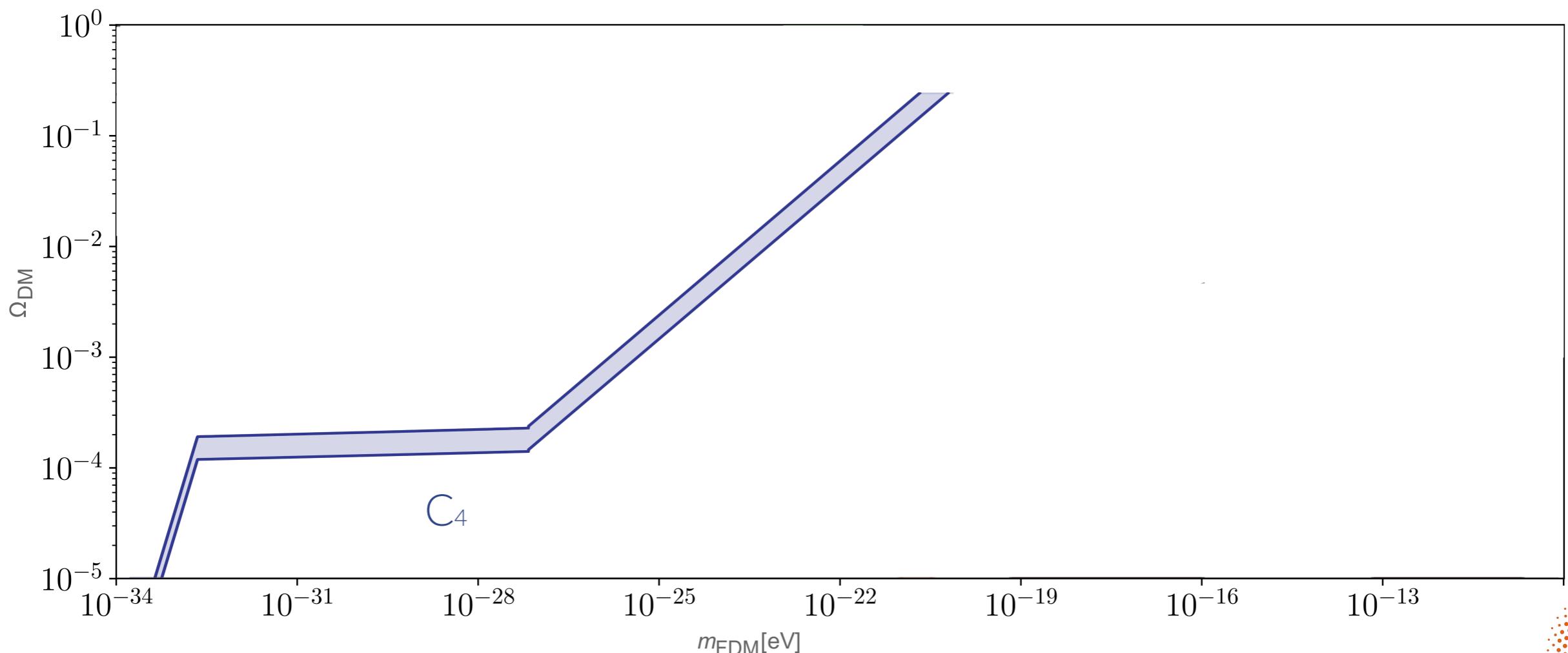
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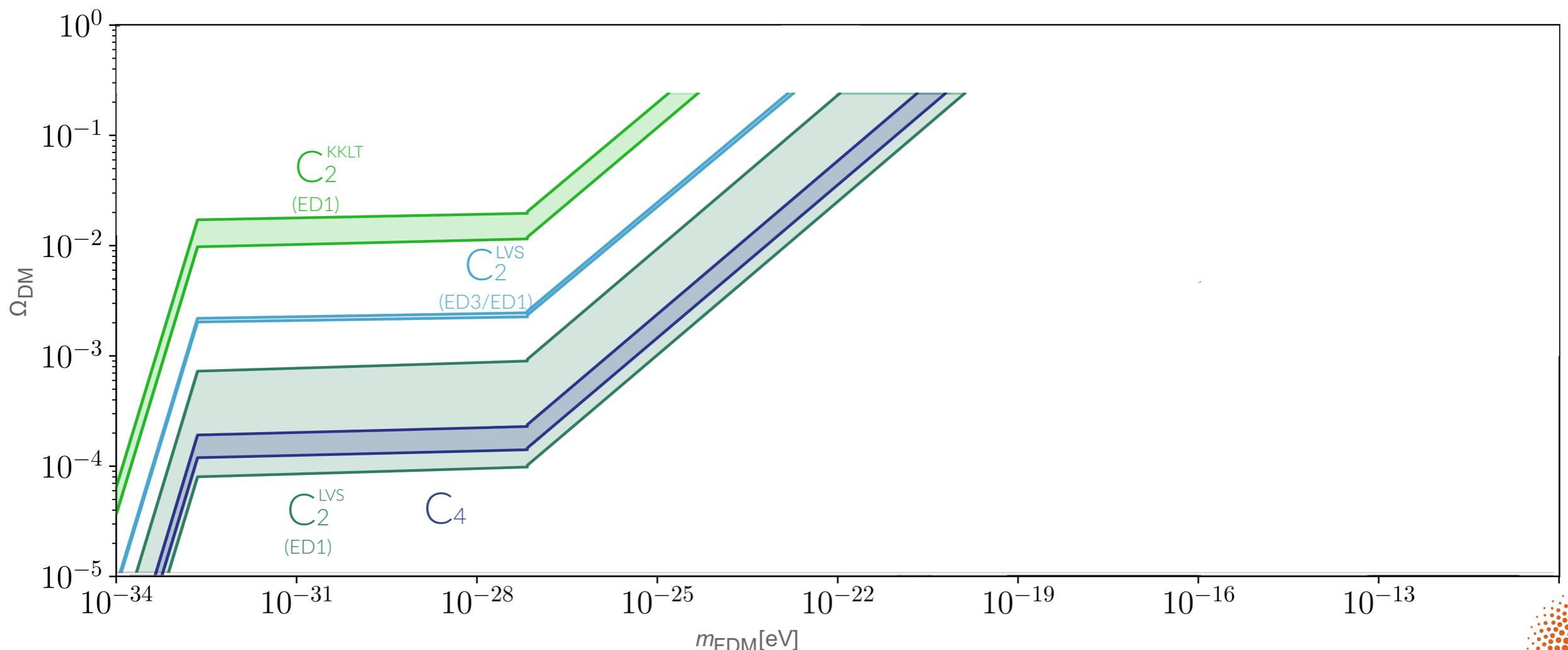
# type IIB closed string axions, WGC & Fuzzy Dark Matter

## FDM FROM C<sub>2</sub> AXION

[Cicoli, Guidetti, Righi & AW '21]

- ED1-brane instantons     $S_{ED1} f \sim M_P$
- ED3/ED1-brane instantons     $S_{ED3} f \sim \sqrt{g_s} \mathcal{V}^{1/3} M_P$

See e.g. [Grimm '08] [Cicoli, Schachner, Shukla '21]



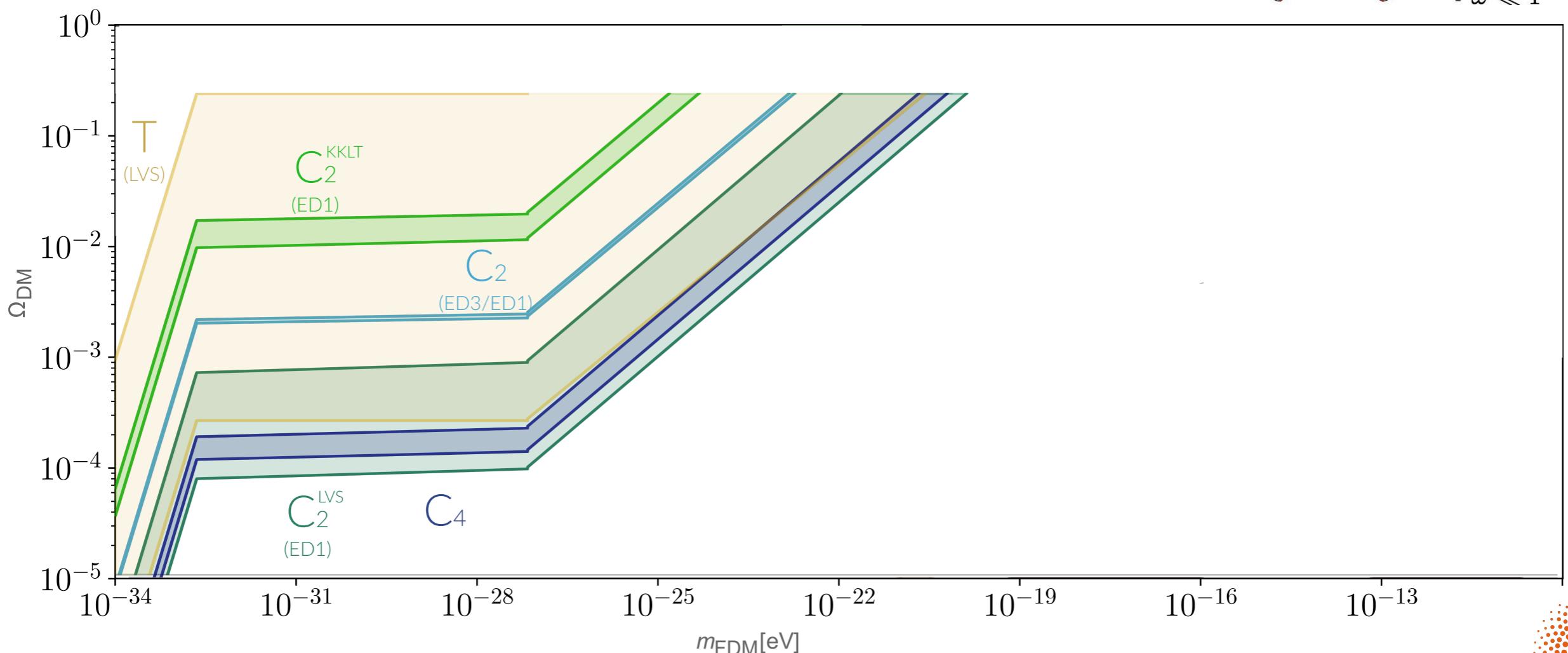
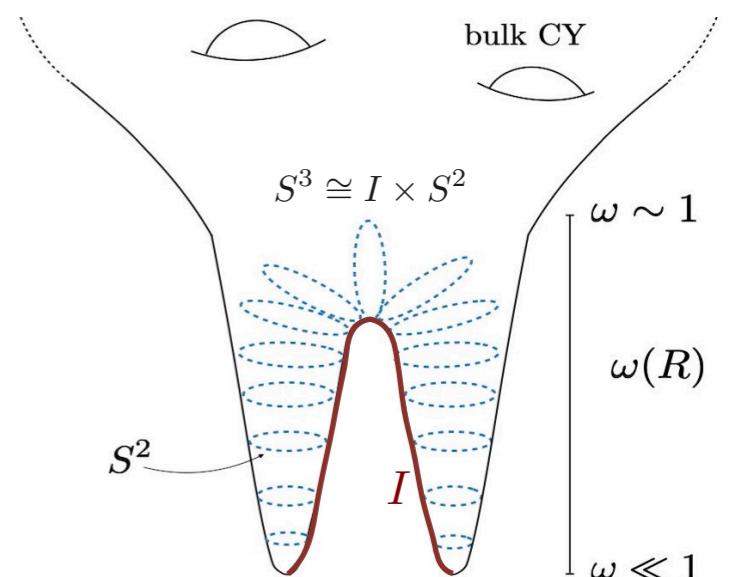
# type IIB closed string axions, WGC & Fuzzy Dark Matter

## FDM FROM THRAXIONS

[Cicoli, Guidetti, Righi & AW '21]

$$\omega_{\text{IR}}^3 \sim e^{-\frac{2\pi K}{g_s M}} = e^{-S_{\text{eff}}} \Rightarrow S_{\text{eff}} f_{\text{eff}} \sim \frac{3\pi K}{\sqrt{g_s} \mathcal{V}^{1/3}} M_P$$

$$\frac{m^2}{M_P^2} \sim \frac{g_s \omega^3}{\log(\omega^{-1})^{3/4} \mathcal{V}^{2/3} M^2} \times V_{\text{mod.stab.}}$$



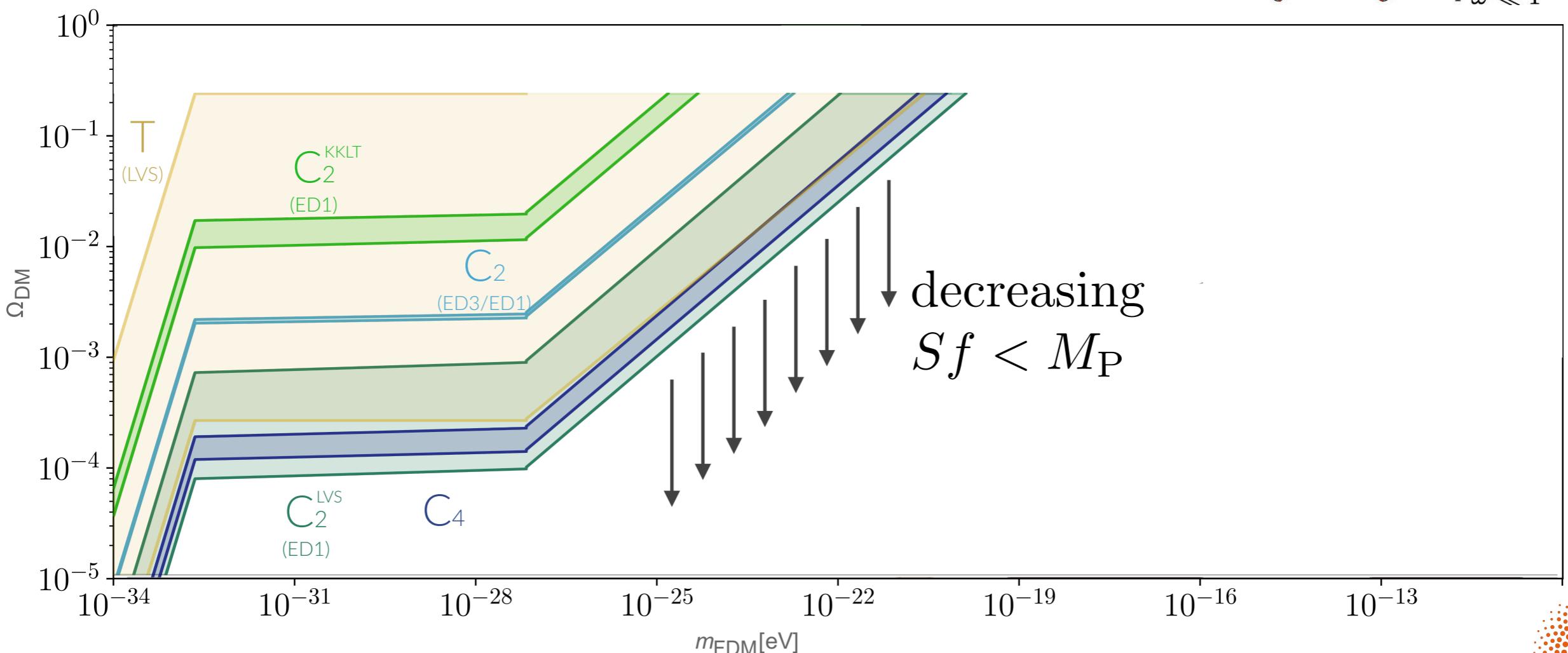
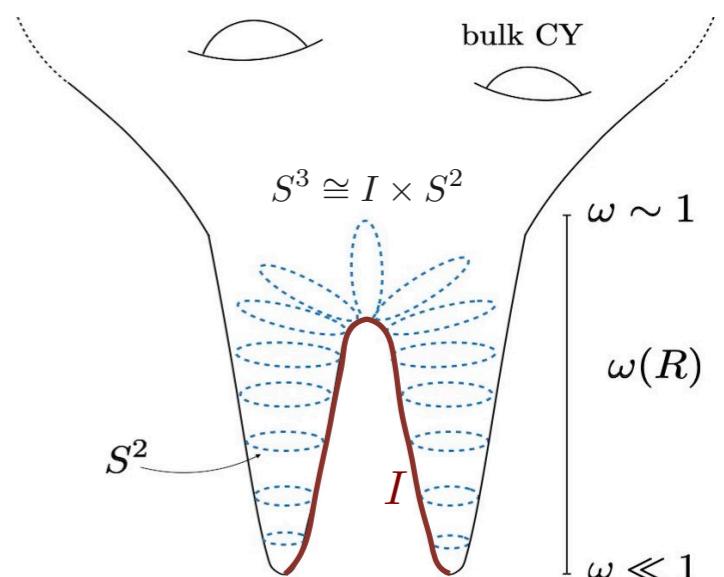
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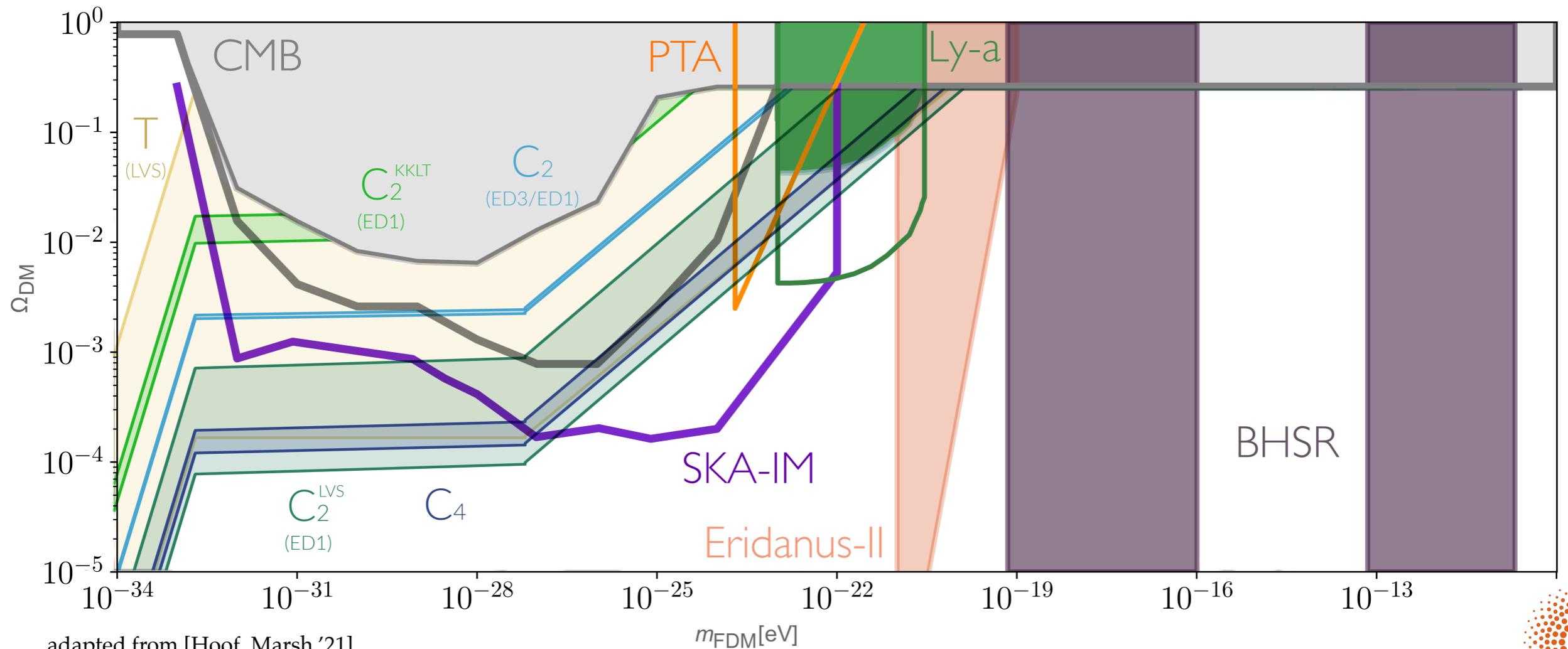
# type IIB closed string axions, WGC & Fuzzy Dark Matter

## CONSTRAINTS ON STRINGY FDM

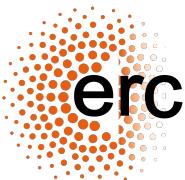
[Cicoli, Guidetti, Righi & AW '21]

FDM particles detectable by next-generation experiments

- ★ first detection of ULAs  $\longrightarrow$  string theory gives perfect candidates
- ★ we can tell which string axion + details on the compactification



adapted from [Hoof, Marsh '21]



# summary

- axion pheno to large part determined by couplings in kinetic term and NP scalar potential + matter & gauge field couplings
- these couplings are top-down determined by compactification data — e.g intersection #s, fluxes, or topological data (e.g. for thraxions)
- axion-matter couplings depend on axion type and SM realization (7-branes on 4-cycle, 3-branes at CY singularity)

**need both:**

- **explicit** string model **constructions** to study structure & parameter range of axion couplings
- **scans** over large sets of string vacua **to get** number frequency **distribution of axion EFT parameters**