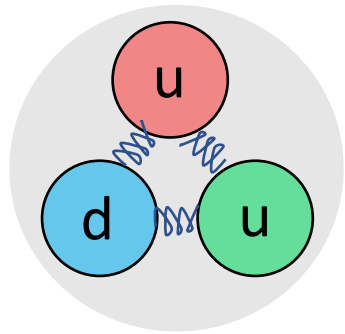
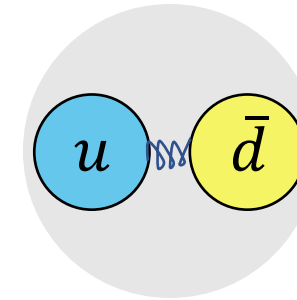


The gravitational form factors of the nucleon and the pion from lattice QCD

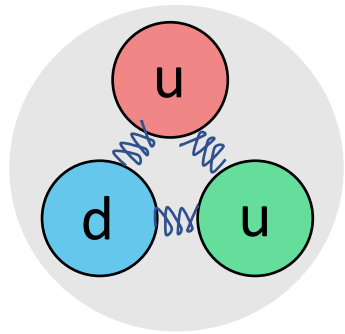


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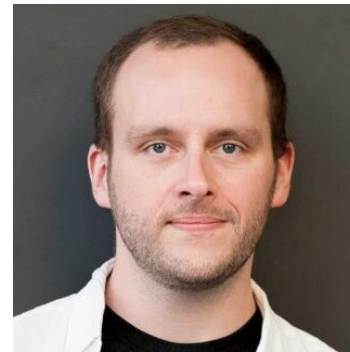
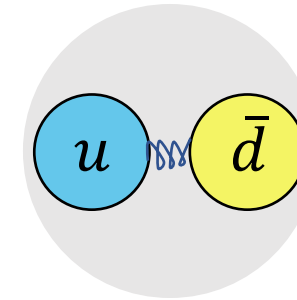


The gravitational form factors of the nucleon and the pion from lattice QCD



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Dan Hackett
MIT → FNAL



Patrick Oare
MIT



Phiala Shanahan
MIT

Energy-momentum tensor (EMT)

Classical field theory: EMT is the conserved current due to symmetry under space-time translations

Langrangian $\xrightarrow{\text{Noether's theorem}}$ EMT

coordinate space:

$$T^{\mu\nu} = \begin{bmatrix} T^{tt} & \\ & T^{ij} \end{bmatrix} = \begin{bmatrix} \varepsilon(r) & \\ & \left(\frac{r^i r^j}{r^2} - \frac{\delta^{ij}}{d} \right) s(r) + \delta^{ij} s(r) \end{bmatrix}$$

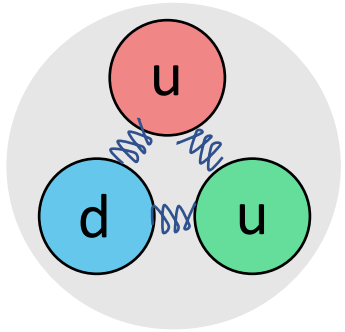
$$\text{QCD : } \mathcal{L} = -\frac{1}{4} F^{a,\mu\nu} F_{\mu\nu}^a + \sum_f [\bar{\psi}_f i \gamma^\mu D_\mu \psi_f + m_f \bar{\psi}_f \psi_f]$$

$$T^{\mu\nu} = \underbrace{-F_a^{\mu\alpha} F_{a,\alpha}^\nu + \frac{1}{4} g^{\mu\nu} F_a^{\alpha\beta} F_{a,\alpha\beta}}_{T_g^{\mu\nu}} + \underbrace{\sum_f i \bar{\psi}_f \gamma^{\{\mu} D^{\nu\}} \psi_f}_{T_q^{\mu\nu}}$$

this talk:
symmetric
EMT

$\partial_\mu T^{\mu\nu} = 0$, $\partial_\mu T_i^{\mu\nu} \neq 0$
 \rightarrow quark and gluon EMTs scale μ and scheme dependent
 \rightarrow traceless $T_i^{\mu\nu}$: terms in OPE for GPDs

Gravitational form factors - proton



$$\langle N(p', s') | T_{\mu\nu} | N(p, s) \rangle = \frac{1}{m_N} \bar{u}(\mathbf{p}', s') \left[\begin{array}{c} P_\mu P_\nu A^N(t) \\ iP_{\{\mu} \sigma_{\nu\} \rho} \Delta^\rho J^N(t) \\ \frac{1}{4} (\Delta_\mu \Delta_\nu - g_{\mu\nu} \Delta^2) D^N(t) \end{array} \right] u(\mathbf{p}, s)$$

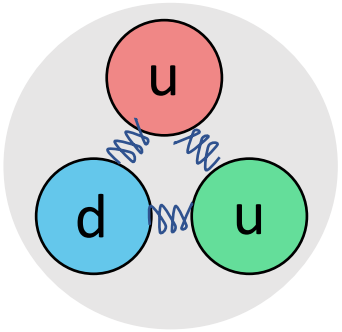
$(p'_\mu + p_\mu)/2$ (pointing to $P_\mu P_\nu$)
 $p'_\mu - p_\mu$ (pointing to $\Delta_\mu \Delta_\nu$)
 $t = \Delta^2$ (pointing to Δ^2)

$$A^N(t) = A_g^N(t) + A_q^N(t), \quad A^N(0) = 1$$

$$J^N(t) = J_g^N(t) + J_q^N(t), \quad J^N(0) = 1/2$$

$$D^N(t) = D_g^N(t) + D_q^N(t), \quad D^N(0) = ?$$

Gravitational form factors - proton



$$\langle N(p', s') | T_{\mu\nu} | N(p, s) \rangle = \frac{1}{m_N} \bar{u}(\mathbf{p}', s') \left[\begin{array}{c} P_\mu P_\nu A^N(t) \\ iP_{\{\mu} \sigma_{\nu\}\rho} \Delta^\rho J^N(t) \\ \frac{1}{4} (\Delta_\mu \Delta_\nu - g_{\mu\nu} \Delta^2) D^N(t) \end{array} \right] u(\mathbf{p}, s)$$

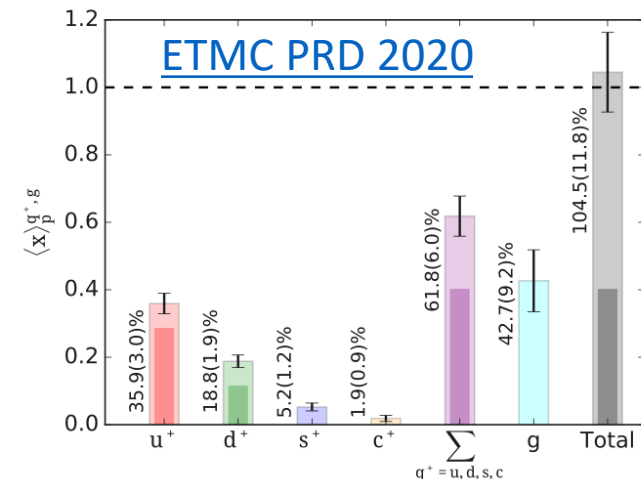
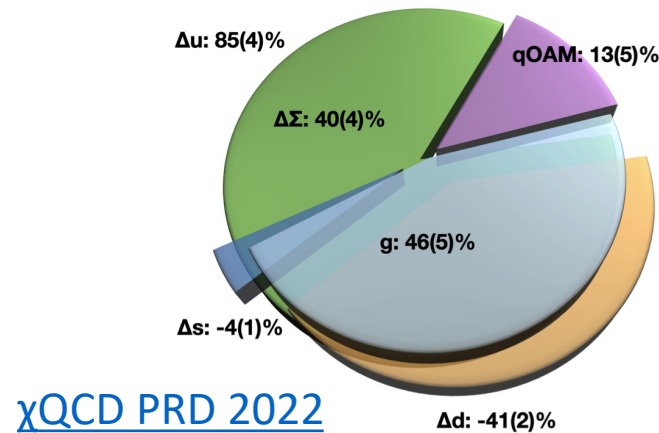
$(p'_\mu + p_\mu)/2$ (points to $P_\mu P_\nu A^N(t)$)
 $p'_\mu - p_\mu$ (points to $\Delta_\mu \Delta_\nu - g_{\mu\nu} \Delta^2$)
 $t = \Delta^2$ (points to $D^N(t)$)

$$A^N(t) = A_g^N(t) + A_q^N(t), \quad A^N(0) = 1$$

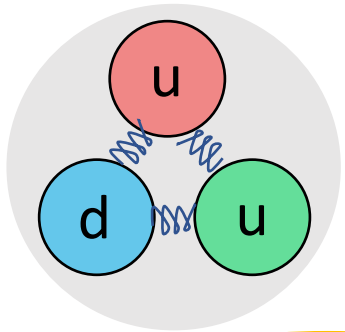
$$J^N(t) = J_g^N(t) + J_q^N(t), \quad J^N(0) = 1/2$$

$$D^N(t) = D_g^N(t) + D_q^N(t), \quad D^N(0) = ?$$

momentum and spin decomposition: long history
see, e.g, recent lattice QCD results



Gravitational form factors - proton



$$\langle N(p', s') | T_{\mu\nu} | N(p, s) \rangle = \frac{1}{m_N} \bar{u}(\mathbf{p}', s') \left[\begin{array}{c} P_\mu P_\nu A^N(t) \\ iP_{\{\mu} \sigma_{\nu\}\rho} \Delta^\rho J^N(t) \\ \frac{1}{4} (\Delta_\mu \Delta_\nu - g_{\mu\nu} \Delta^2) D^N(t) \end{array} \right] u(\mathbf{p}, s)$$

Annotations for the matrix element:

- $(p'_\mu + p_\mu)/2$ points to $P_\mu P_\nu A^N(t)$
- $iP_{\{\mu} \sigma_{\nu\}\rho} \Delta^\rho J^N(t)$
- $\frac{1}{4} (\Delta_\mu \Delta_\nu - g_{\mu\nu} \Delta^2) D^N(t)$
- $p'_\mu - p_\mu$ points to $\Delta_\mu \Delta_\nu$
- $t = \Delta^2$ points to Δ^2

t -dependence: much less is known

$$\begin{aligned} A^N(t) &= A_g^N(t) + A_q^N(t), & A^N(0) &= 1 \\ J^N(t) &= J_g^N(t) + J_q^N(t), & J^N(0) &= 1/2 \\ D^N(t) &= D_g^N(t) + D_q^N(t), & D^N(0) &= ? \end{aligned}$$

Lattice A+J+D for q + g : [Hackett DAP Shanahan 2310.08484](#)

Experiment (A+D): [Duran Meziani et al Nature 2023](#)

Lattice (A+J+D): [Shanahan Detmold PRL 2018](#), [DAP Hackett Shanahan PRD 2022](#)

Lattice (A+J+D): [ETMC PRD 2020](#), [LHPC PRD 2008](#)

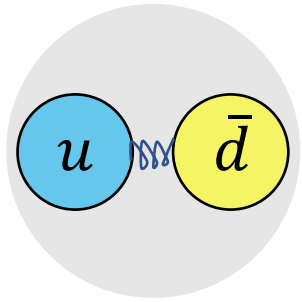
Experiment (D): [Burkert Elouadrhiri Girod Nature 2018](#)

Dispersive (D): [Pasquini et al 2014](#)

+ more from theory and models (see [2023 Colloquium Burkert et al](#) for review)

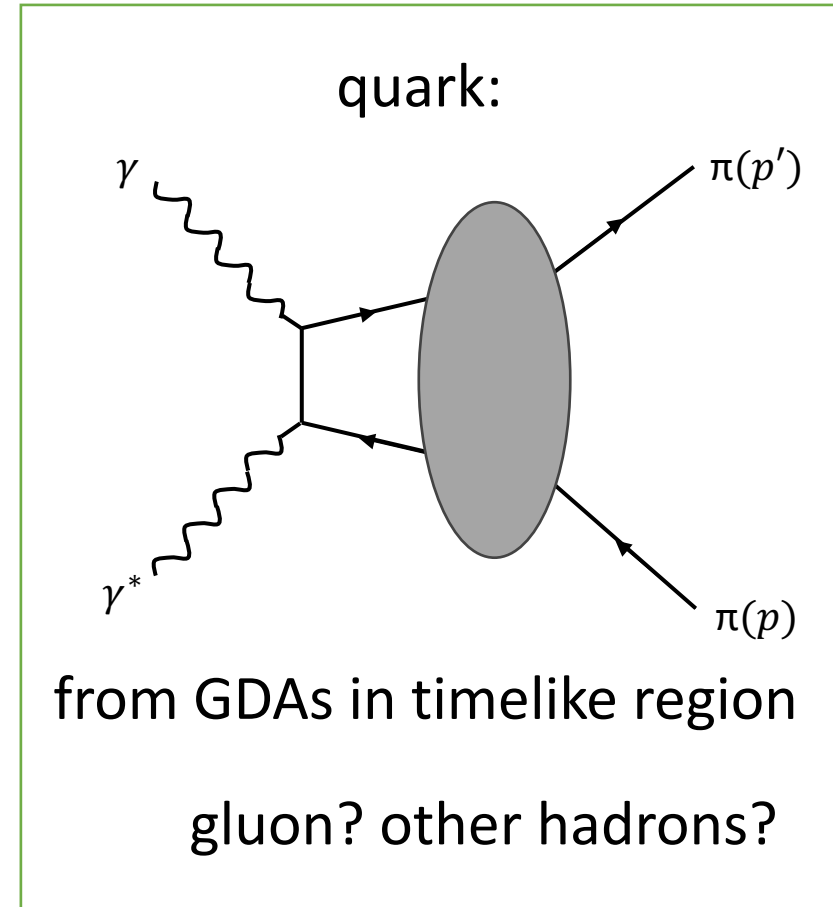
this talk

Gravitational form factors - pion



$$\langle \pi(p') | T_{\mu\nu} | \pi(p) \rangle = \left[\begin{array}{c} 2P_\mu P_\nu A^\pi(t) \\ \frac{1}{4} (\Delta_\mu \Delta_\nu - g_{\mu\nu} \Delta^2) D^\pi(t) \end{array} \right]$$

$$\left. \begin{array}{l} A^\pi(t) = A_g^\pi(t) + A_q^\pi(t), A^\pi(0) = 1 \\ D^\pi(t) = D_g^\pi(t) + D_q^\pi(t), D^\pi(0) \approx -1 \end{array} \right\} \text{chiral perturbation theory}$$



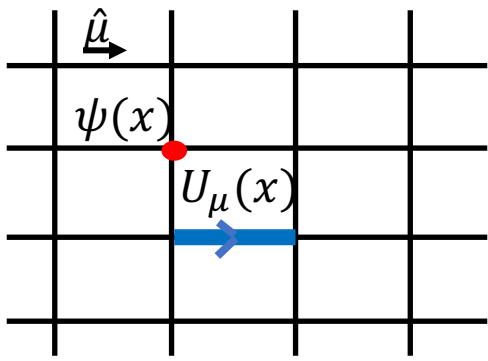
Lattice (A+D): [Shanahan Detmold PRD 2018, DAP Hackett Shanahan PRD 2022](#)

Experiment (A+D): [Kumano Song Teryaev PRD 2018](#)

Lattice (A+D): [Brommel PhD thesis 2007 Joe Delmar Lattice 2023](#)

Lattice A+D for q + g : [Hackett Oare DAP Shanahan 2307.11707](#) (accepted at PRD)

this talk



GFFs from lattice QCD

Pion A+D for q + g : [Hackett Oare](#)
[DAP Shanahan 2307.11707](#)
 (accepted at PRD)

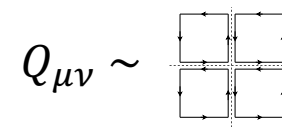
Proton A+J+D for q + g : [Hackett](#)
[DAP Shanahan 2310.08484](#)

$$T^{\mu\nu} = -F_a^{\mu\alpha} F_{a,\alpha}^\nu + \frac{1}{4} g^{\mu\nu} F_a^{\alpha\beta} F_{a,\alpha\beta} + \sum_f i \bar{\psi}_f \gamma^{\{\mu} D^{\nu\}} \psi_f$$

$$= \sum_{i \in \{q,g\}} T_i^{\mu\nu}$$

→ $T_i^{\mu\nu}$: write in terms of Euclidean lattice fields

$$G_{\mu\nu} \sim (Q_{\mu\nu} - Q_{\mu\nu}^\dagger) \quad \vec{D} = (\vec{D} - \overleftarrow{D})/2$$



$$\vec{D}_\mu \psi(x) = \frac{1}{2} (U_\mu(x) \psi(x + \mu) - U_\mu^\dagger(x - \mu) \psi(x - \mu))$$

→ $T_i^{\mu\nu}$: isotropic hypercubic lattice

symmetric traceless components transform in two irreps of $H(4)$ group

$$\tau_1^{(3)}, \tau_3^{(6)}$$

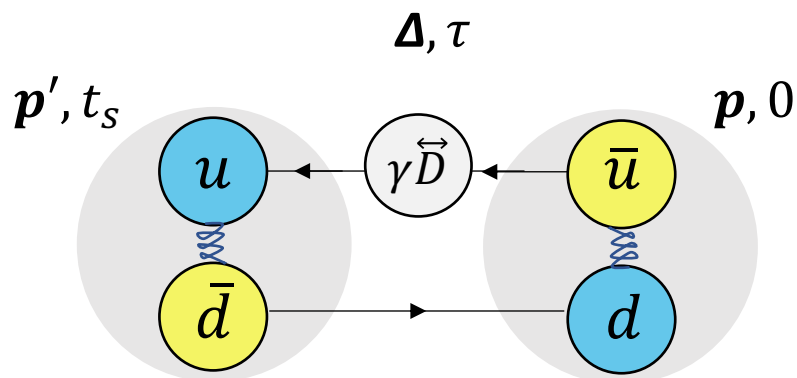
→ $T_i^{\mu\nu}$: flavor singlet $q = u + d + s + \dots$ mixes with g

non-singlet $u - d, u + d - 2s$ renormalize \sim multiplicatively

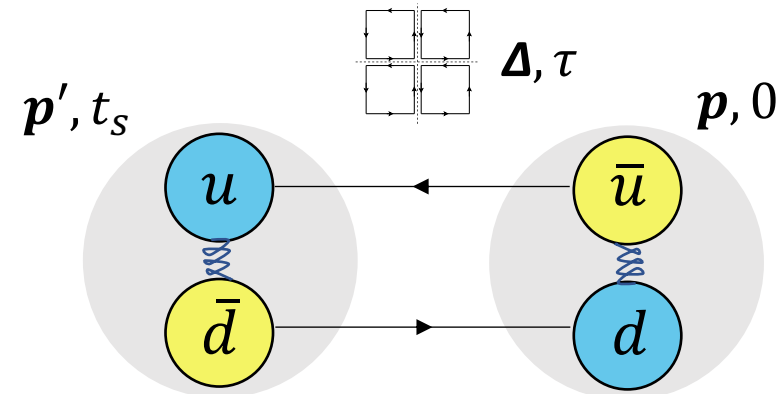
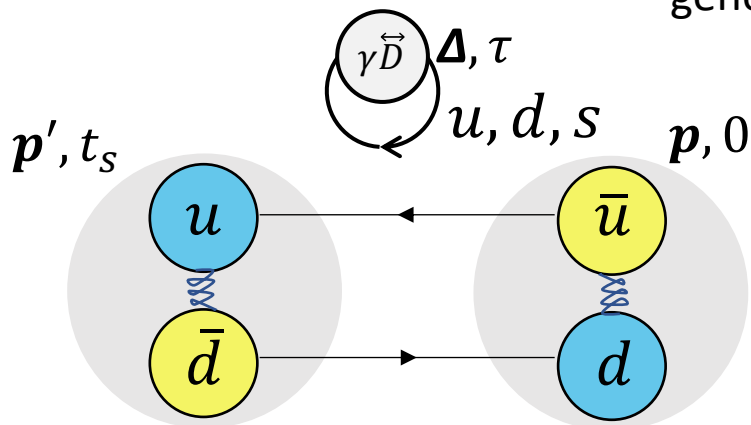
Lattice simulation

m_π (MeV)	a (fm)	$L^3 \times T$	N_f
169(1)	0.091(1)	$48^3 \times 96$	2 + 1

similarly for nucleon



Clover-improved Wilson quarks, Lüscher-Weisz gauge action
generated by JLab/LANL/MIT/WM groups



Connected contribution

- 1381 configurations
- sequential sources
- $t_s \in \{6 - 18\}$
- $|\Delta|^2 \leq 25 \left(\frac{2\pi}{L}\right)^2$
- $\mathbf{p}' \in \{(1, -1, 0), (-2, -1, 0), (-1, -1, -1)\} 2\pi/L$

Disconnected contribution

- 1381 configurations
- Z_4 noise, hierarchical probing, 512 Hadamard vectors
- 1024 sources
- $|\Delta|^2 \leq 25 \left(\frac{2\pi}{L}\right)^2$
- $|\mathbf{p}'|^2 \leq 10 \left(\frac{2\pi}{L}\right)^2$

Gluon contribution

- 2511 configurations
- Gradient flow to reduce UV fluctuations
- 1024 sources
- $|\Delta|^2 \leq 25 \left(\frac{2\pi}{L}\right)^2$
- $|\mathbf{p}'|^2 \leq 10 \left(\frac{2\pi}{L}\right)^2$

Bare matrix elements

$$\mathcal{R} \in \{\tau_1^{(3)}, \tau_3^{(6)}\}$$

- Form ratios $R_{\mathcal{R}}(\mathbf{p}', \mathbf{\Delta}, t_s, \tau) \sim \#\langle p' | T_{\mathcal{R}} | p \rangle + \mathcal{O}(e^{-\Delta E \tau - \Delta E' (t_s - \tau)})$
- Bin together ratios with same # (same linear combination of GFFs): $\bar{R}_c(t_s, \tau)$
 $\pi: \sim 10^5 \{\mu, \nu, \mathbf{p}', \mathbf{\Delta}\} \rightarrow 1379 \text{ connected} + 3364 \text{ disco/glue}$
 $N: \sim 10^6 \{\mu, \nu, \mathbf{p}', \mathbf{\Delta}, s\} \rightarrow 3081 \text{ connected} + 11454 \text{ disco/glue}$
- Summation method [[Capitani et al PRD 2012](#) etc.] :

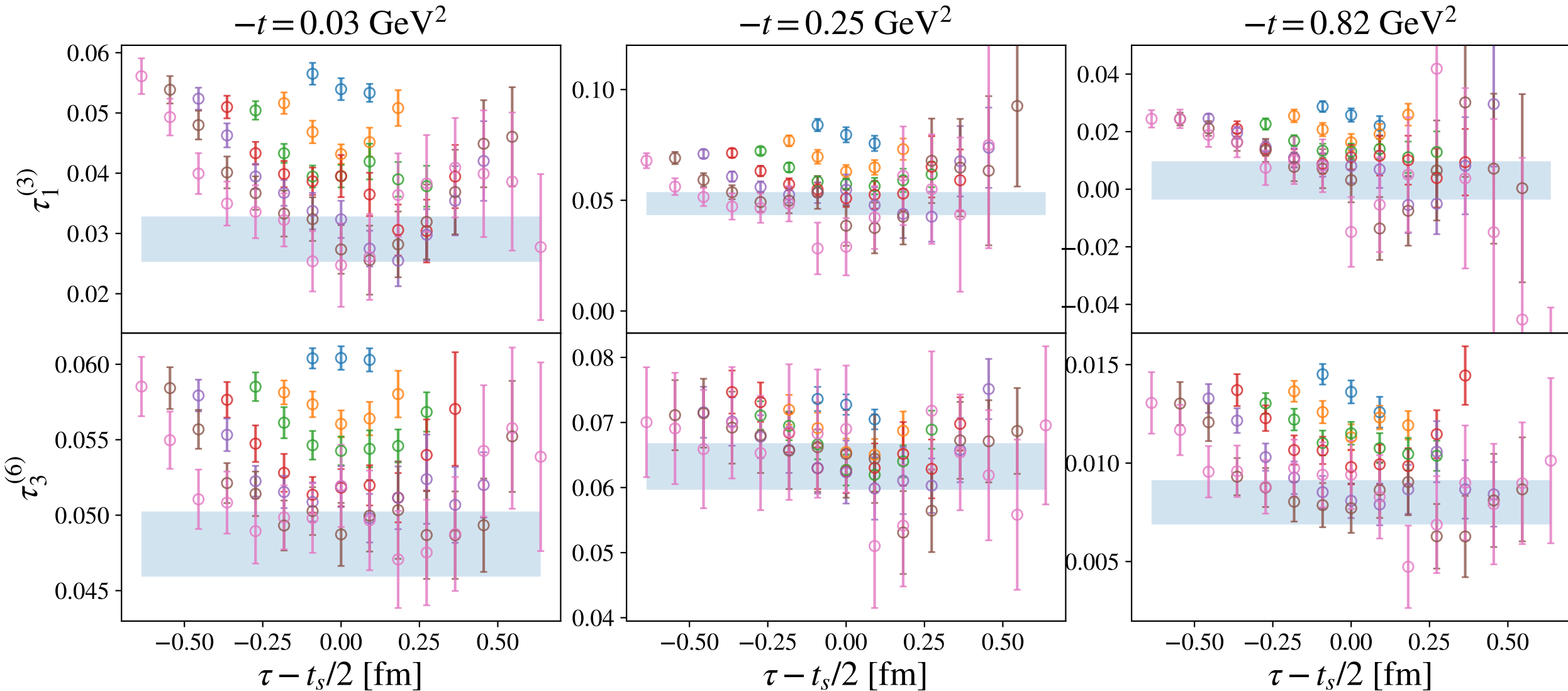
$$\bar{\Sigma}_c(t_s) = \sum_{\tau=\tau_{cut}}^{t_s-\tau_{cut}} \bar{R}_c(t_s, \tau) \sim (\text{const}) + \# t_s \langle p' | T_{\mathcal{R}} | p \rangle + \mathcal{O}(e^{-\delta E t_s})$$

Fit with Bayesian model averaging over time ranges (Akaike Information Criterion weights)

[Jay Neil PRD 2021](#)

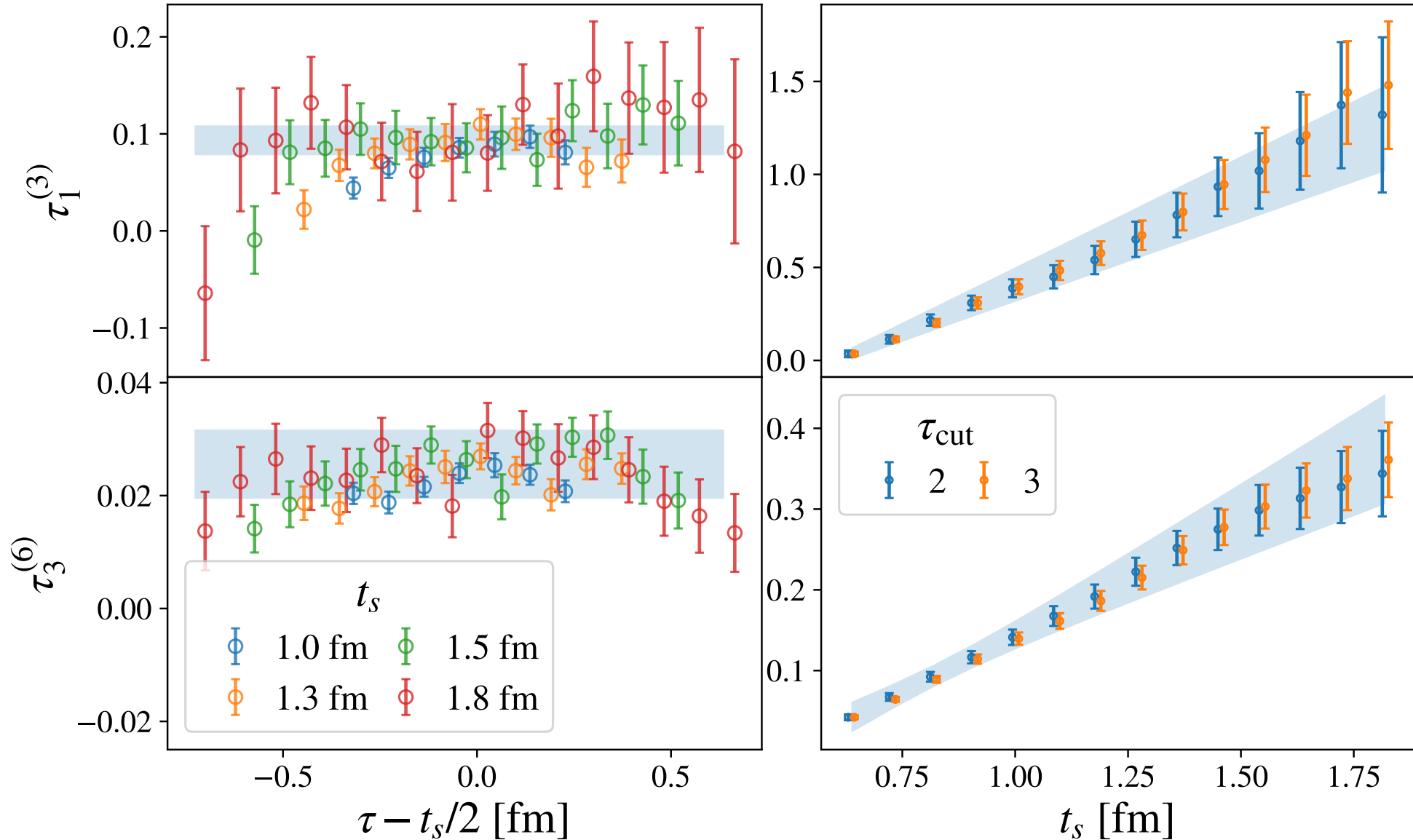
$\tau_1^{(3)}$: diagonal elements irrep
 $\tau_3^{(6)}$: off-diagonal elements irrep

Pion connected quark contribution



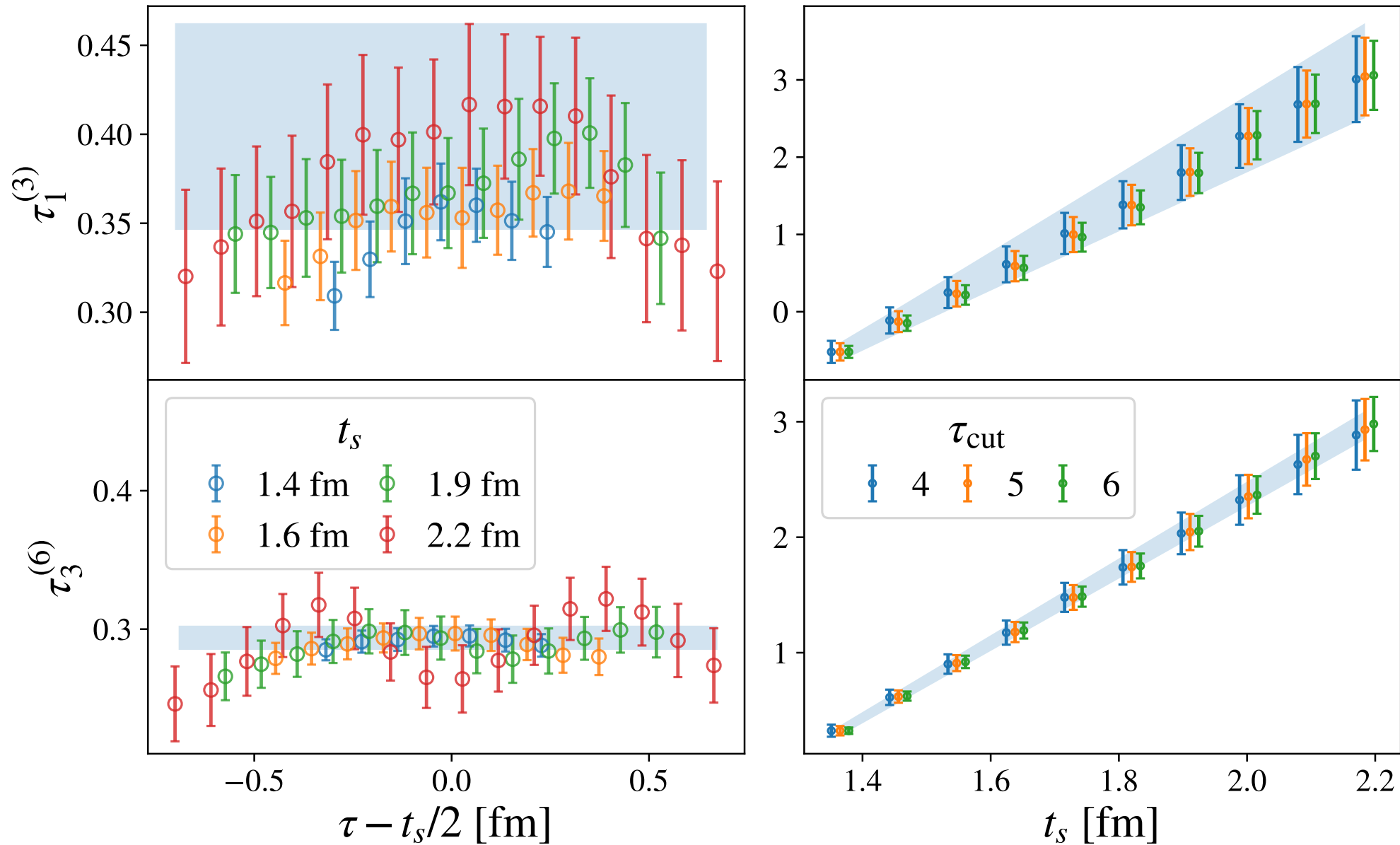
Pion disconnected quark contribution

$$-t = 0.08 \text{ GeV}^2$$

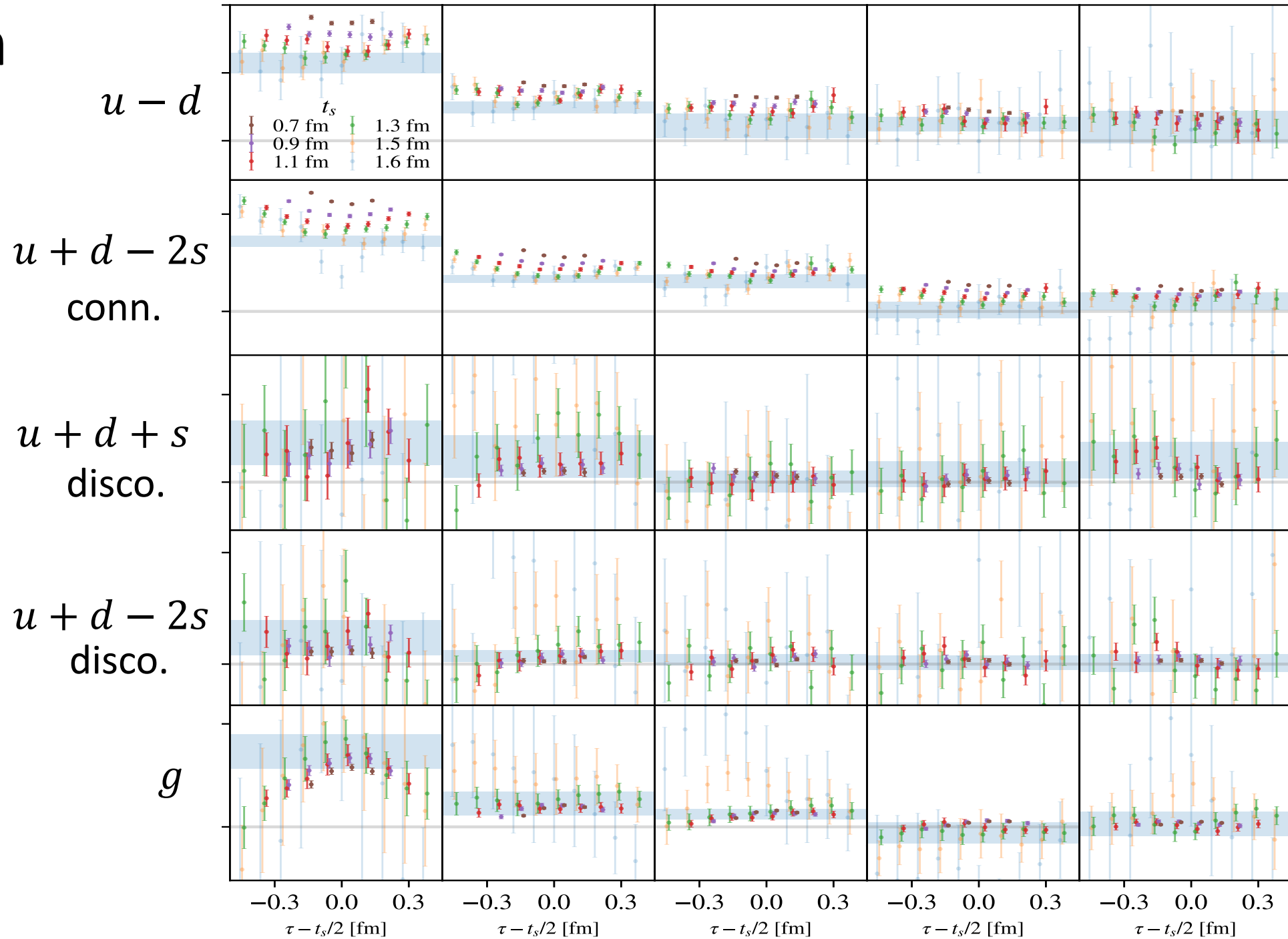


Pion gluon contribution

$$-t = 0.13 \text{ GeV}^2$$



Nucleon

 $\tau_1^{(3)}$ 

Renormalization

m_π (MeV)	a (fm)	$L^3 \times T$	N_f
450(5)	0.117(2)	$12^3 \times 24$	2 + 1

$$\mathcal{R} \in \{\tau_1^{(3)}, \tau_3^{(6)}\}$$

- $\begin{pmatrix} T_q^{\overline{\text{MS}}} \\ T_g^{\overline{\text{MS}}} \end{pmatrix} = \begin{pmatrix} Z_{qq\mathcal{R}}^{\overline{\text{MS}}} & Z_{qg\mathcal{R}}^{\overline{\text{MS}}} \\ Z_{gq\mathcal{R}}^{\overline{\text{MS}}} & Z_{gg\mathcal{R}}^{\overline{\text{MS}}} \end{pmatrix} \begin{pmatrix} T_{q\mathcal{R}}^{\text{bare}} \\ T_{g\mathcal{R}}^{\text{bare}} \end{pmatrix}$: quark isosinglet and gluon mix under renormalization

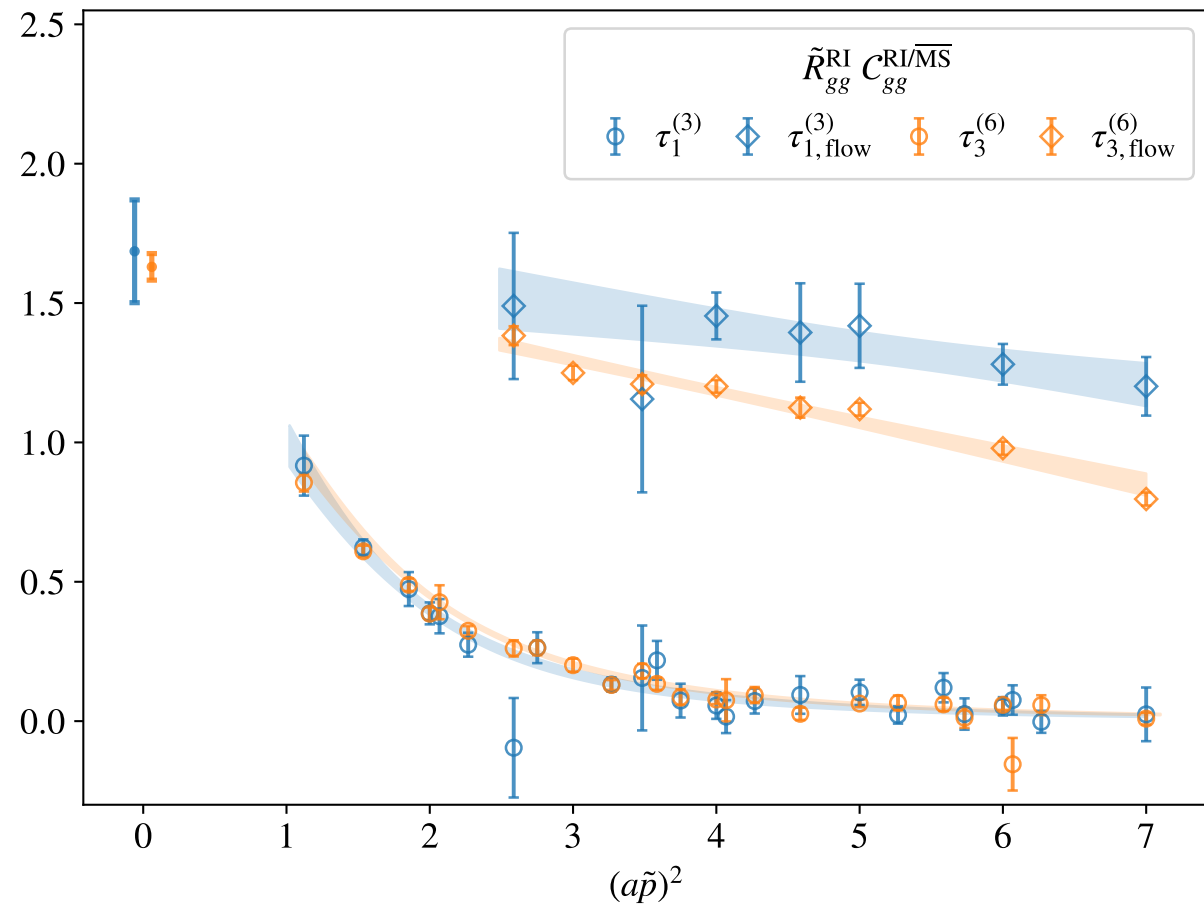
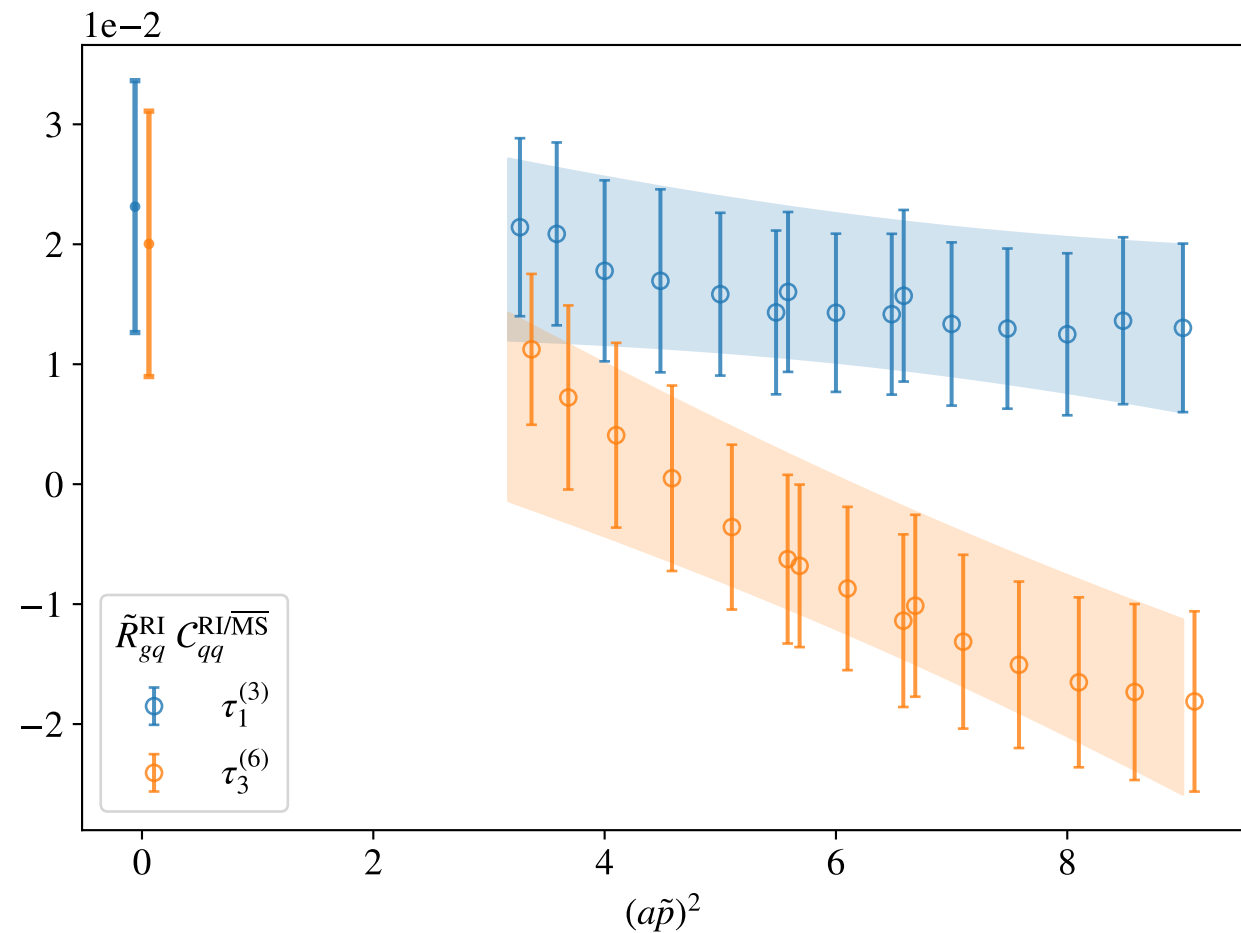
- $T_v^{\overline{\text{MS}}} = Z_{v\mathcal{R}}^{\overline{\text{MS}}} T_{v\mathcal{R}}^{\text{bare}}$: non-singlet do not mix in the chiral limit

- Compute non-perturbatively via the RI-MOM scheme, convert to $\overline{\text{MS}}$ scheme at $\mu = 2$ GeV

- each $R_{ij}^{\text{RI}} C_{jk}^{\text{RI}/\overline{\text{MS}}}$ has residual dependence on $(a\tilde{p})^2$ due to lattice artifacts, non-perturbative effects, etc..
 → model and fit to extract the renormalization coefficients

$$\begin{pmatrix} Z_{qq\mathcal{R}}^{\overline{\text{MS}}} & Z_{qg\mathcal{R}}^{\overline{\text{MS}}} \\ Z_{gq\mathcal{R}}^{\overline{\text{MS}}} & Z_{gg\mathcal{R}}^{\overline{\text{MS}}} \end{pmatrix}^{-1}(\mu^2) = \begin{pmatrix} R_{qq\mathcal{R}}^{\text{RI}} & R_{qg\mathcal{R}}^{\text{RI}} \\ R_{gq\mathcal{R}}^{\text{RI}} & R_{gg\mathcal{R}}^{\text{RI}} \end{pmatrix}(\mu_R^2) \times \begin{pmatrix} C_{qq}^{\text{RI}/\overline{\text{MS}}} & C_{qg}^{\text{RI}/\overline{\text{MS}}} \\ C_{gq}^{\text{RI}/\overline{\text{MS}}} & C_{gg}^{\text{RI}/\overline{\text{MS}}} \end{pmatrix}(\mu^2, \mu_R^2)$$

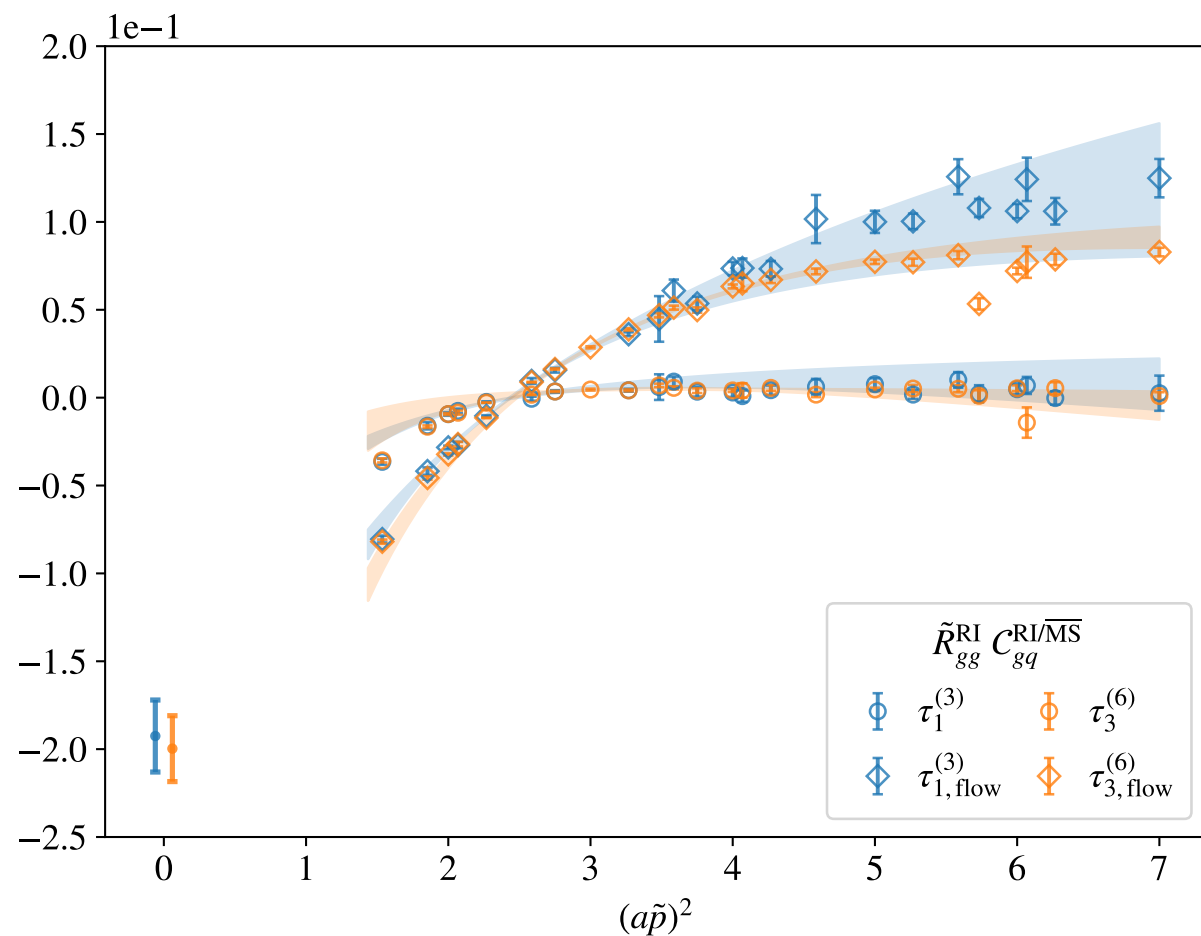
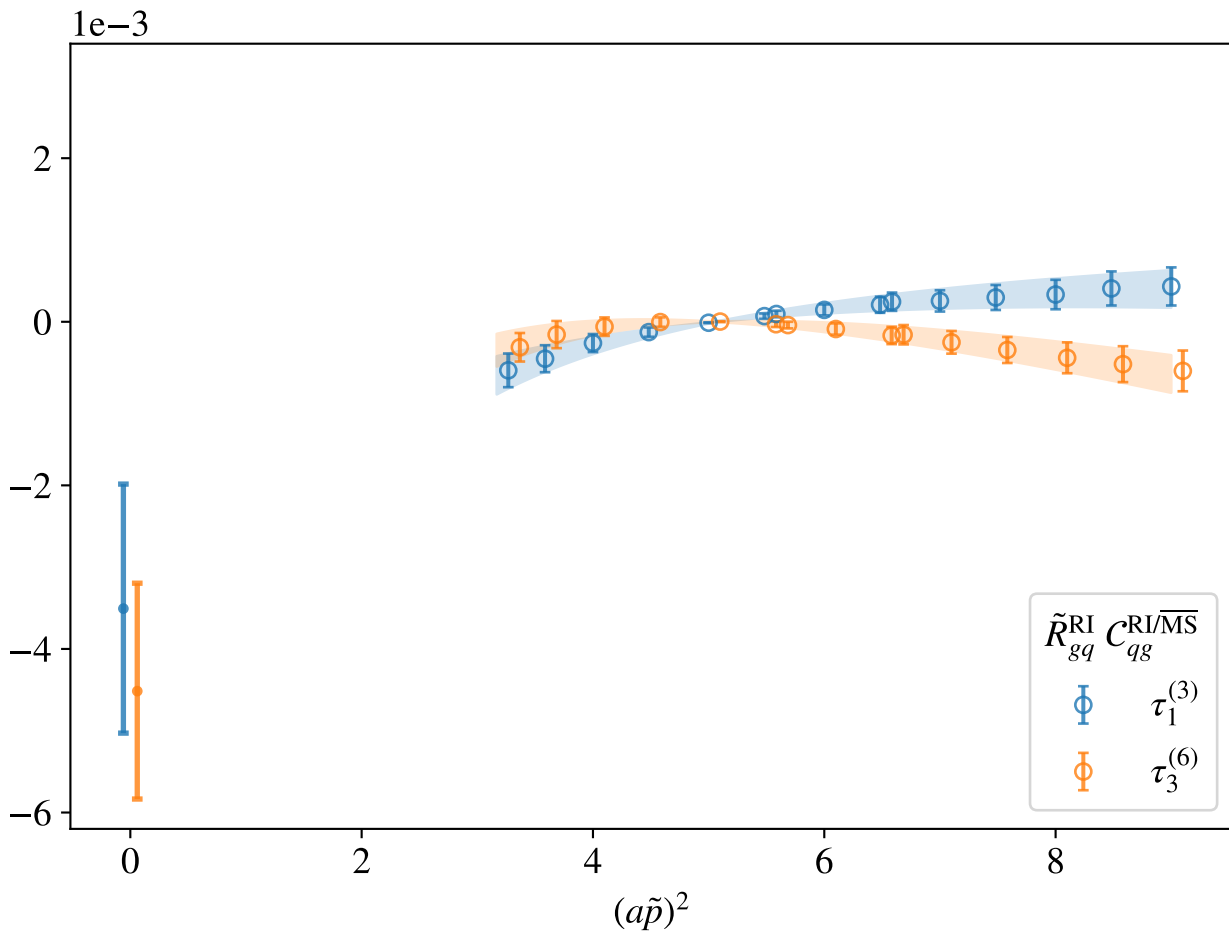
Extraction of renormalization coefficients



Fit $(a\tilde{p})$ dependence due to discretization artifacts, non-perturbative effects, etc.

(inverse) polynomial

Extraction of renormalization coefficients



Fit $(a\tilde{p})$ dependence due to discretization artifacts, non-perturbative effects, etc.

logarithmic

Obtain renormalized GFFs

We have: 1) bare matrix elements $\langle h | T_i^{\mu\nu} | h \rangle, i \in \{g, q, v\}$ for each irrep \mathcal{R}

2) mixing matrix renormalization $\begin{pmatrix} Z_{qq\mathcal{R}}^{\overline{\text{MS}}} & Z_{qg\mathcal{R}}^{\overline{\text{MS}}} \\ Z_{gq\mathcal{R}}^{\overline{\text{MS}}} & Z_{gg\mathcal{R}}^{\overline{\text{MS}}} \end{pmatrix}^{-1}$, non-singlet $Z_{v\mathcal{R}}^{\overline{\text{MS}}}^{-1}$ for each \mathcal{R}

→ recast into a single system of equations including both irreps

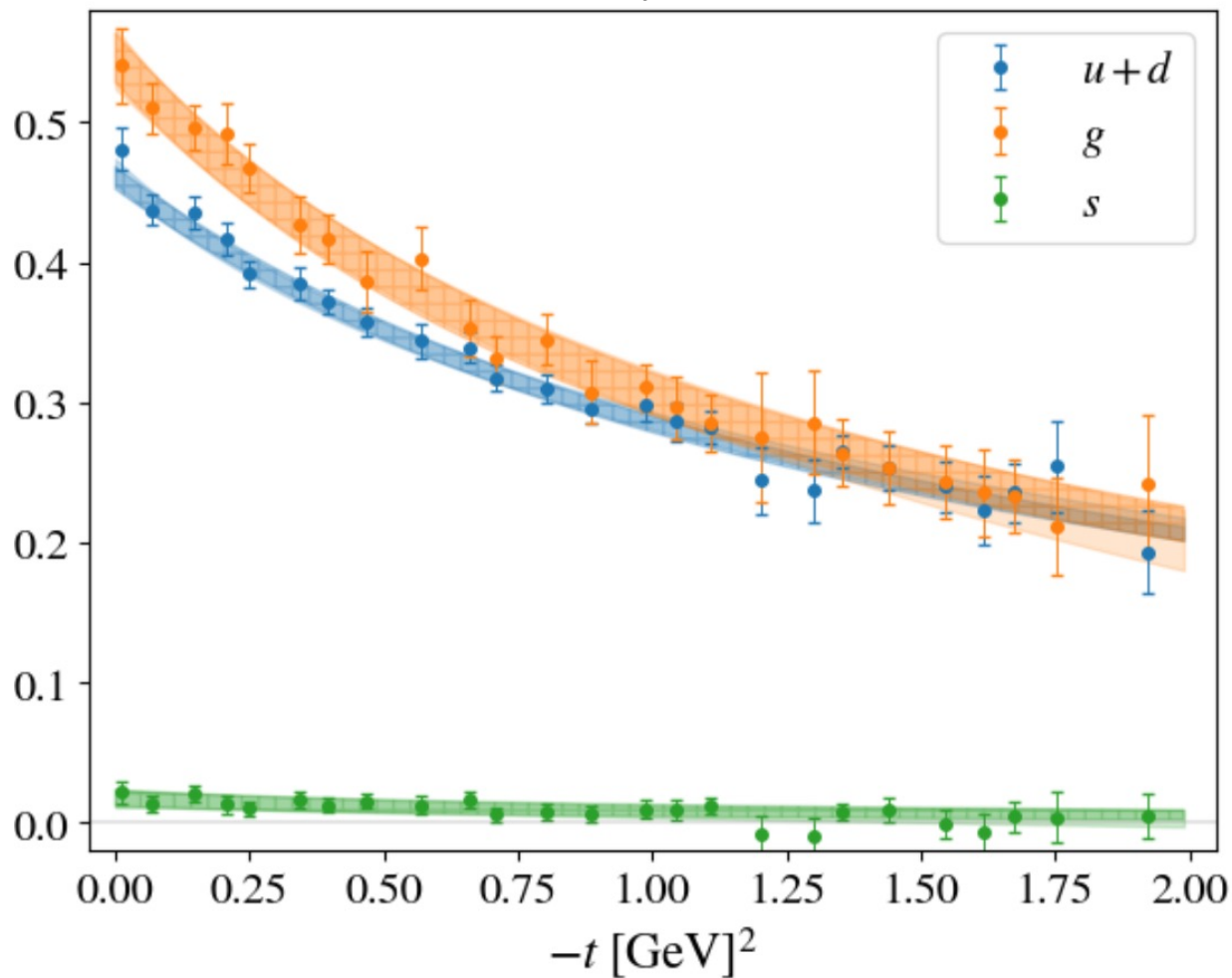
→ solve to get GFFs for discrete values of t

Fit with 1) multipole : $F_n = \frac{\alpha}{(1 + \frac{t}{\Lambda^2})^n}$,

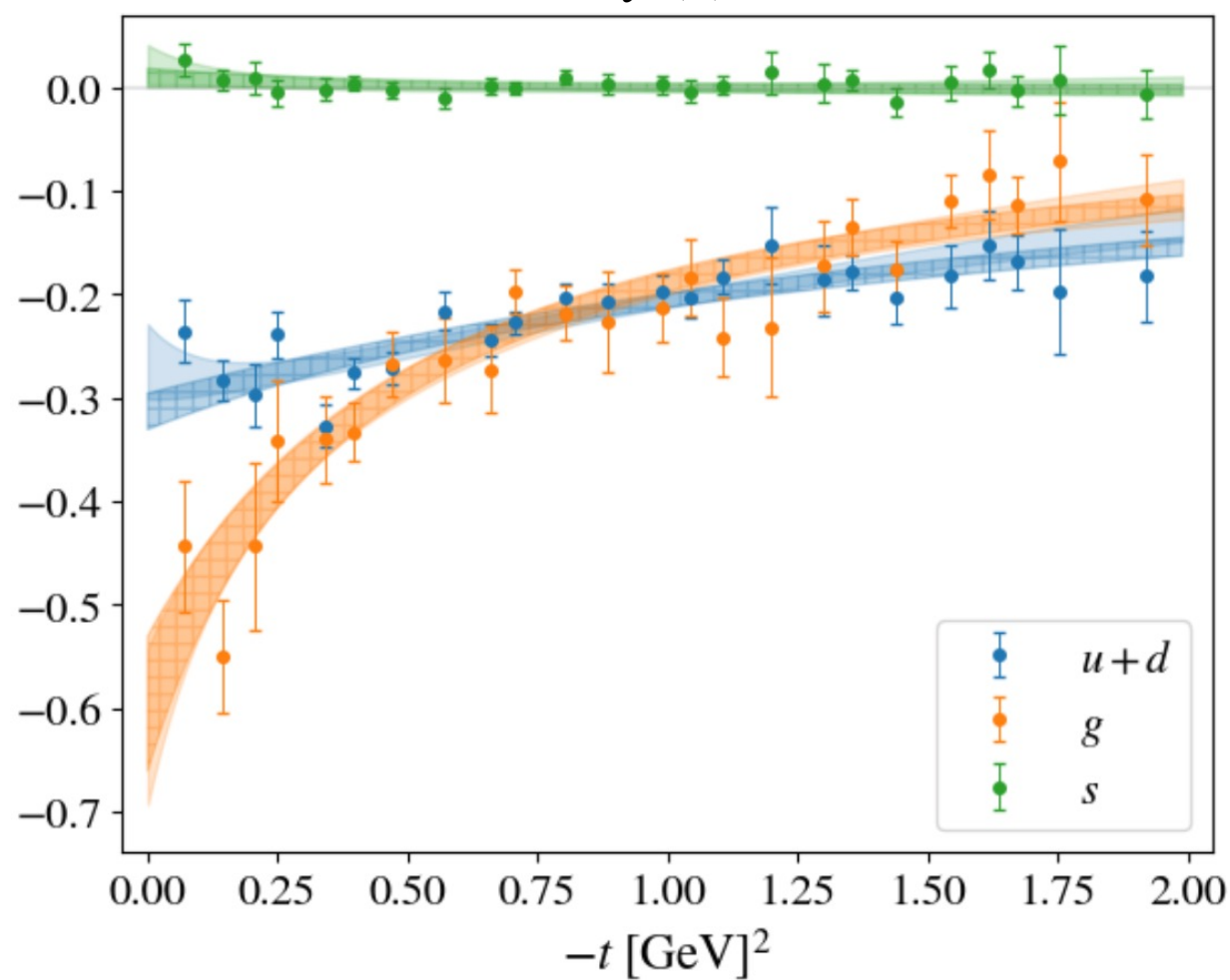
2) z-expansion : $F = \sum_k \alpha_k [z(t)]^k$

Renormalized pion GFFs

$$A_i^\pi(t)$$

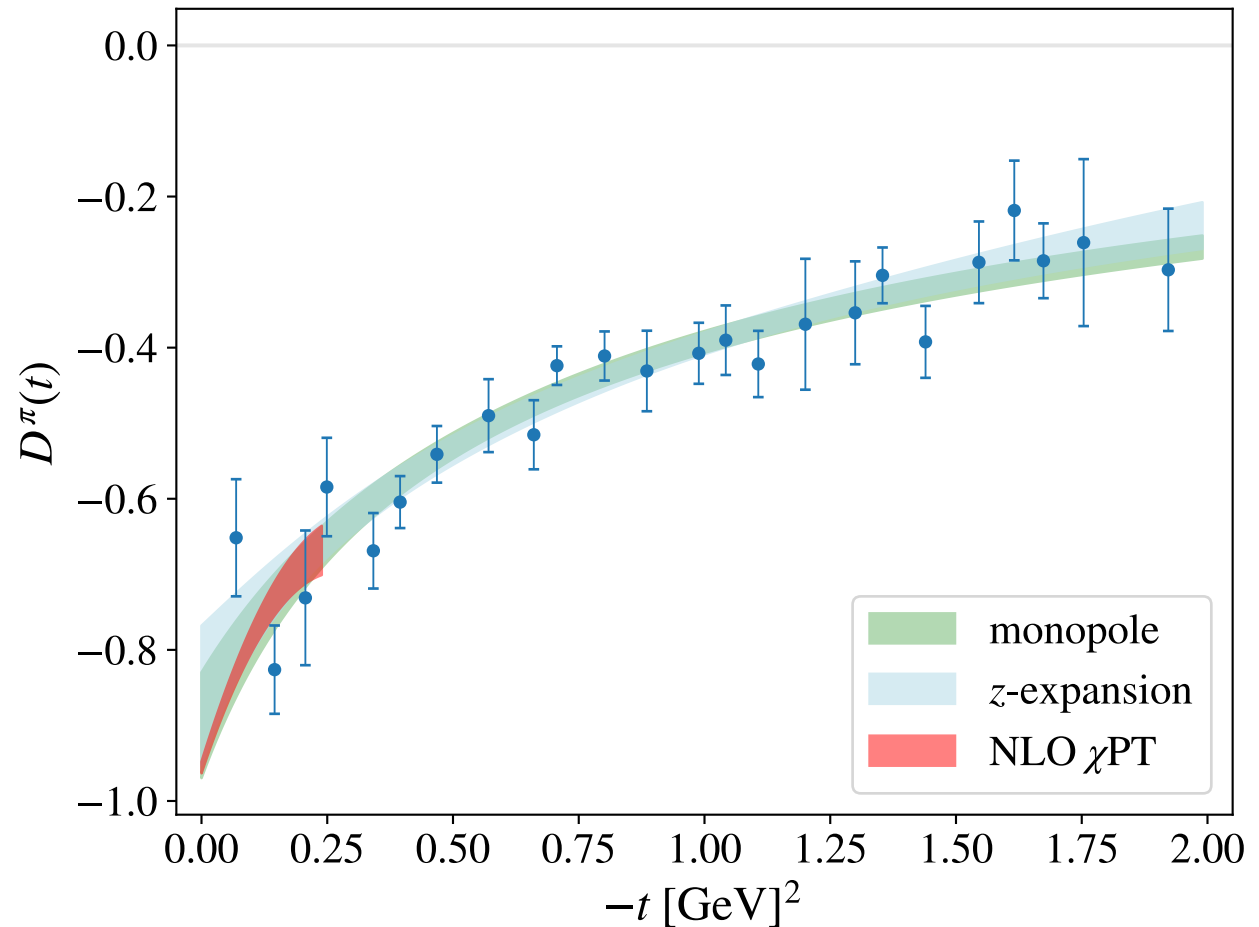
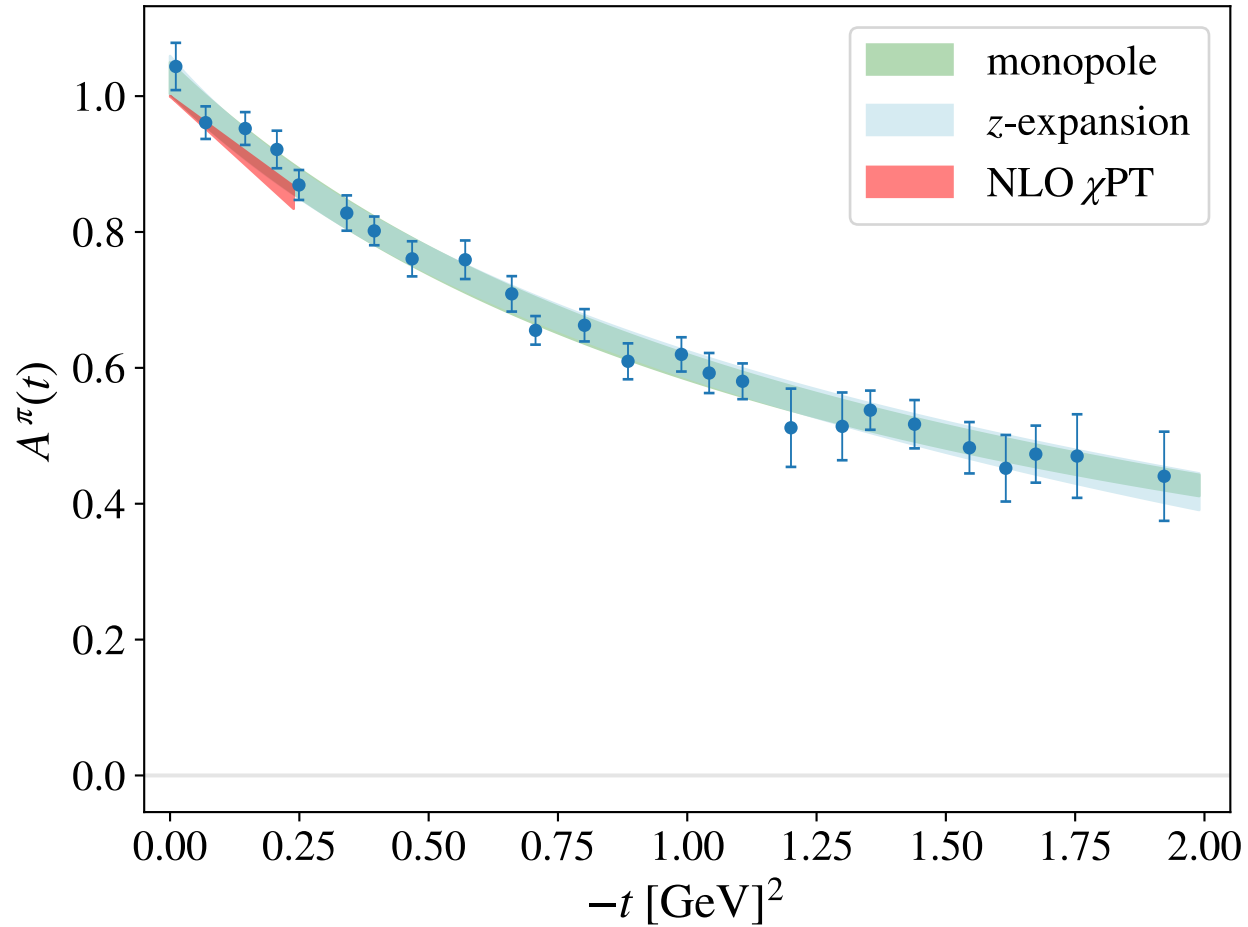


$$D_i^\pi(t)$$



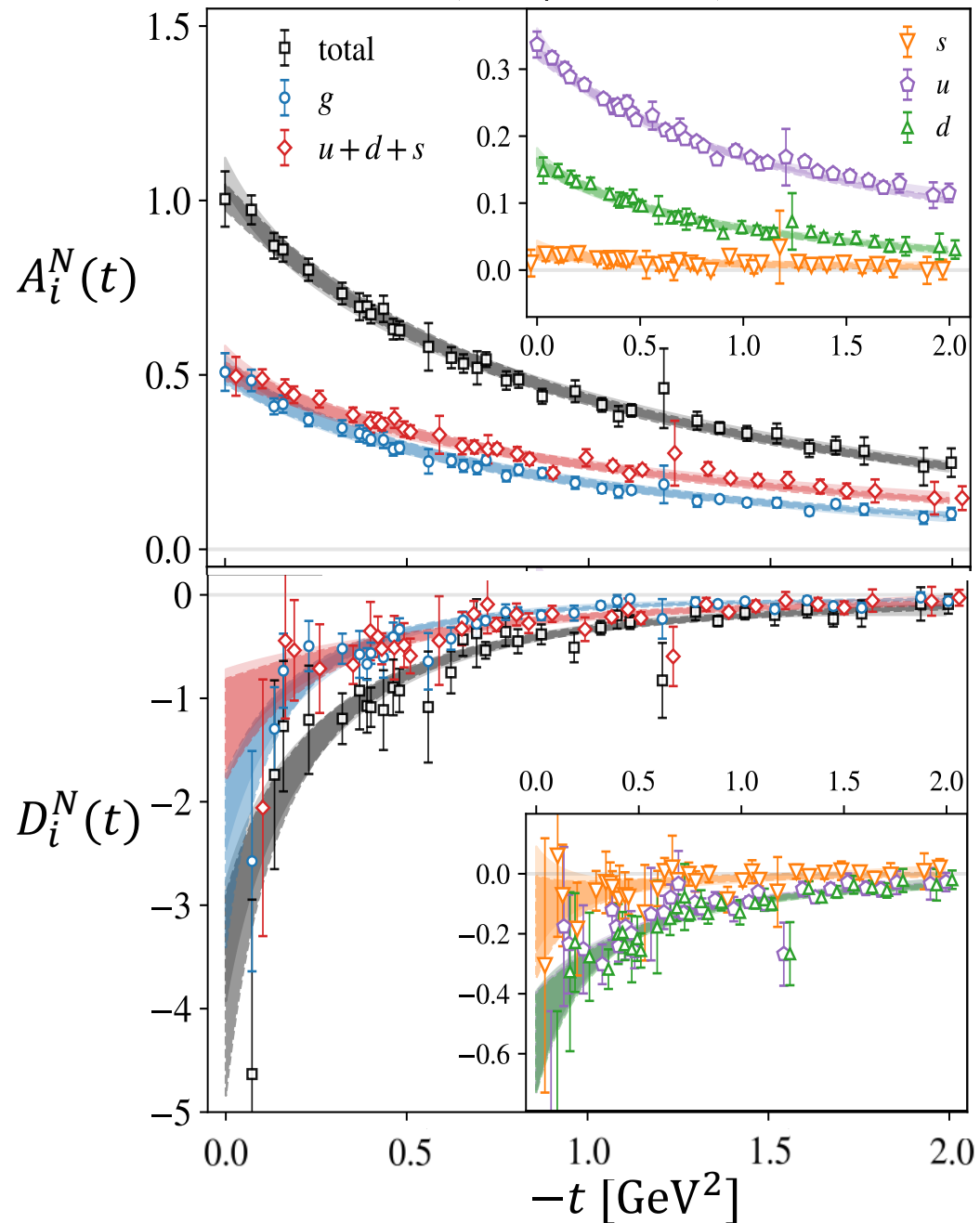
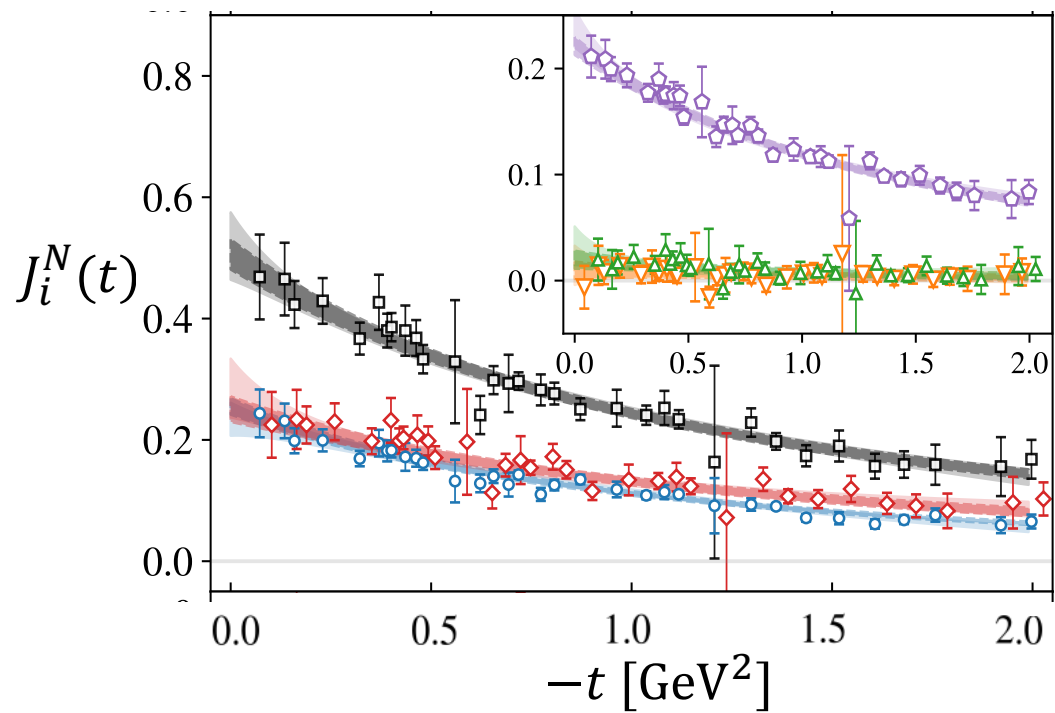
hatched bands : monopole, opaque bands : z-expansion with $k_{\max} = 2$

Pion : total GFFs



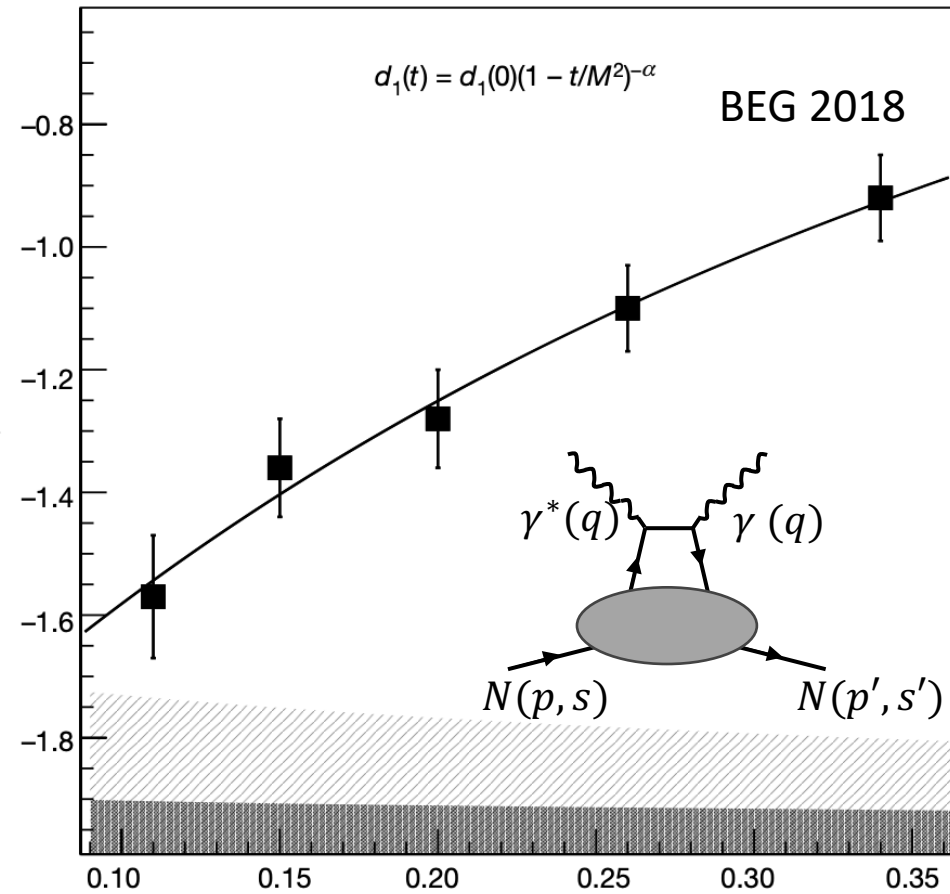
Red band spread due to different estimates for low energy constants [[Donoghue Leutwyler Z.Phys.C 1991](#)]

Renormalized nucleon GFFs



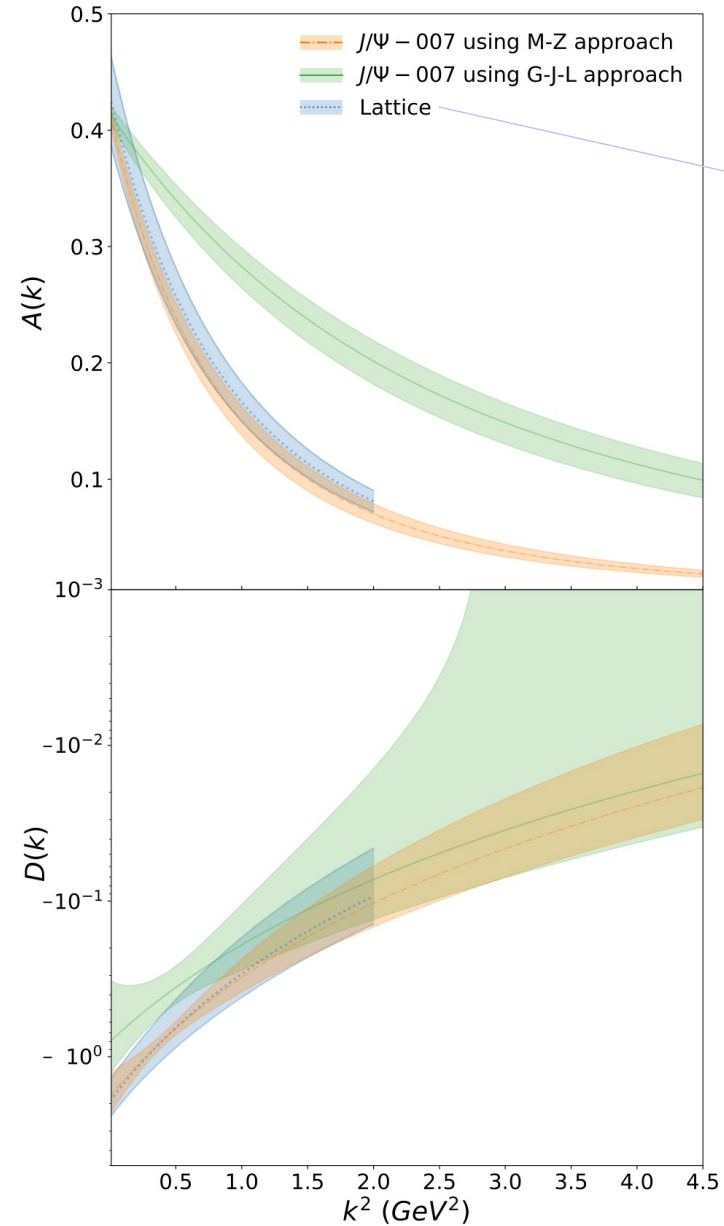
Nucleon: first experimental results

Quark D_{u+d}^N from DVCS



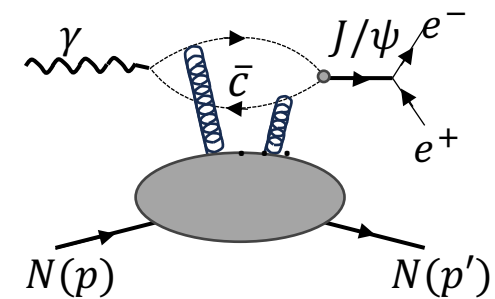
[Burkert Elouadrhiri Girod Nature 2018](#)

Gluon A_g^N and D_g^N from J/ψ photoproduction

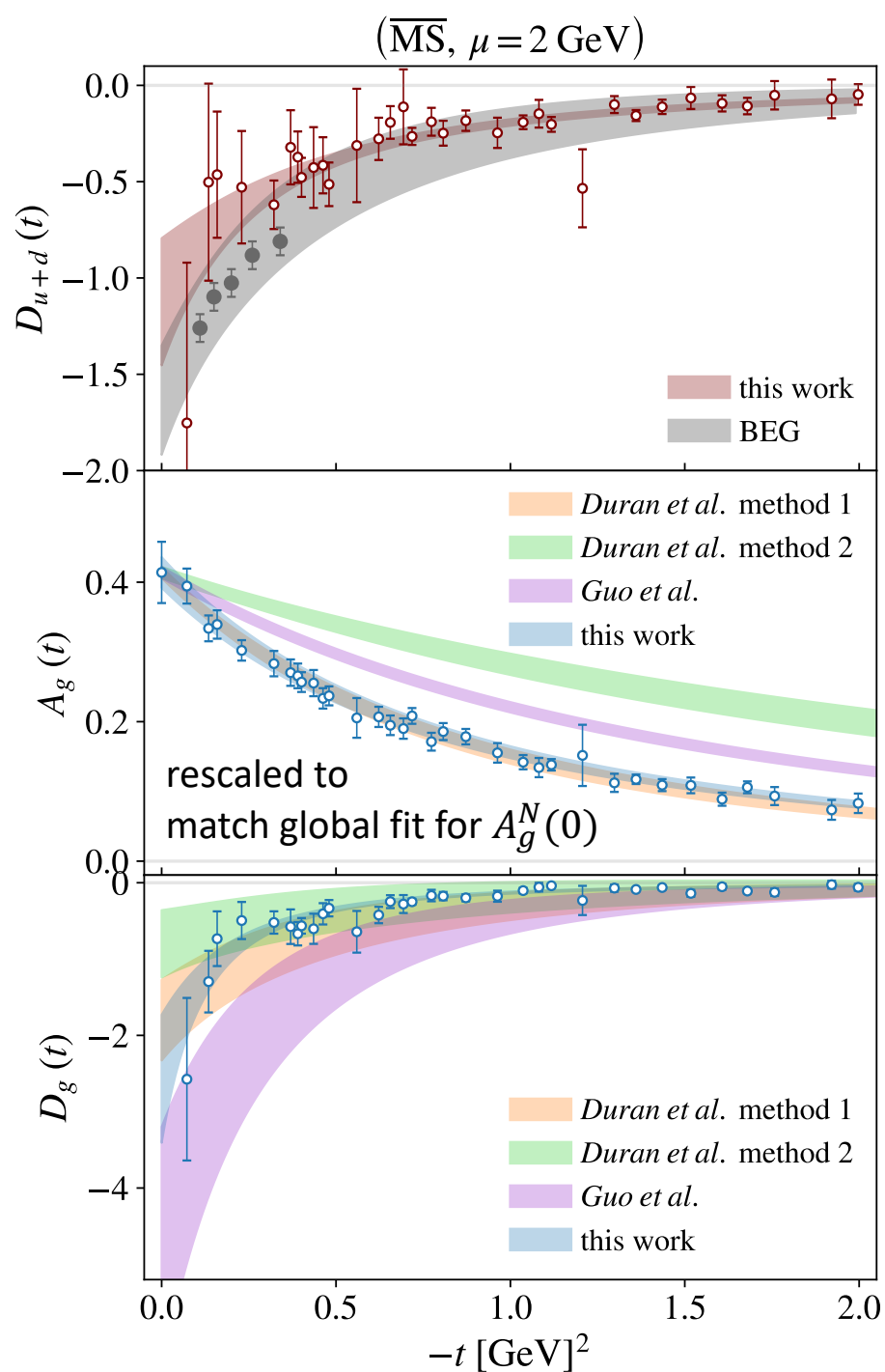


Lattice: [DAP Hackett Shanahan PRD 2022](#)
heavier pion mass + neglecting mixing with quark

[Duran Meziani et al Nature 2023](#)



Renormalized nucleon GFFs – comparison to experiments



[Burkert Elouardhiri Girod Nature 2018](#)

[Duran et al Nature 2023 \(\$J/\psi\$ \)](#)

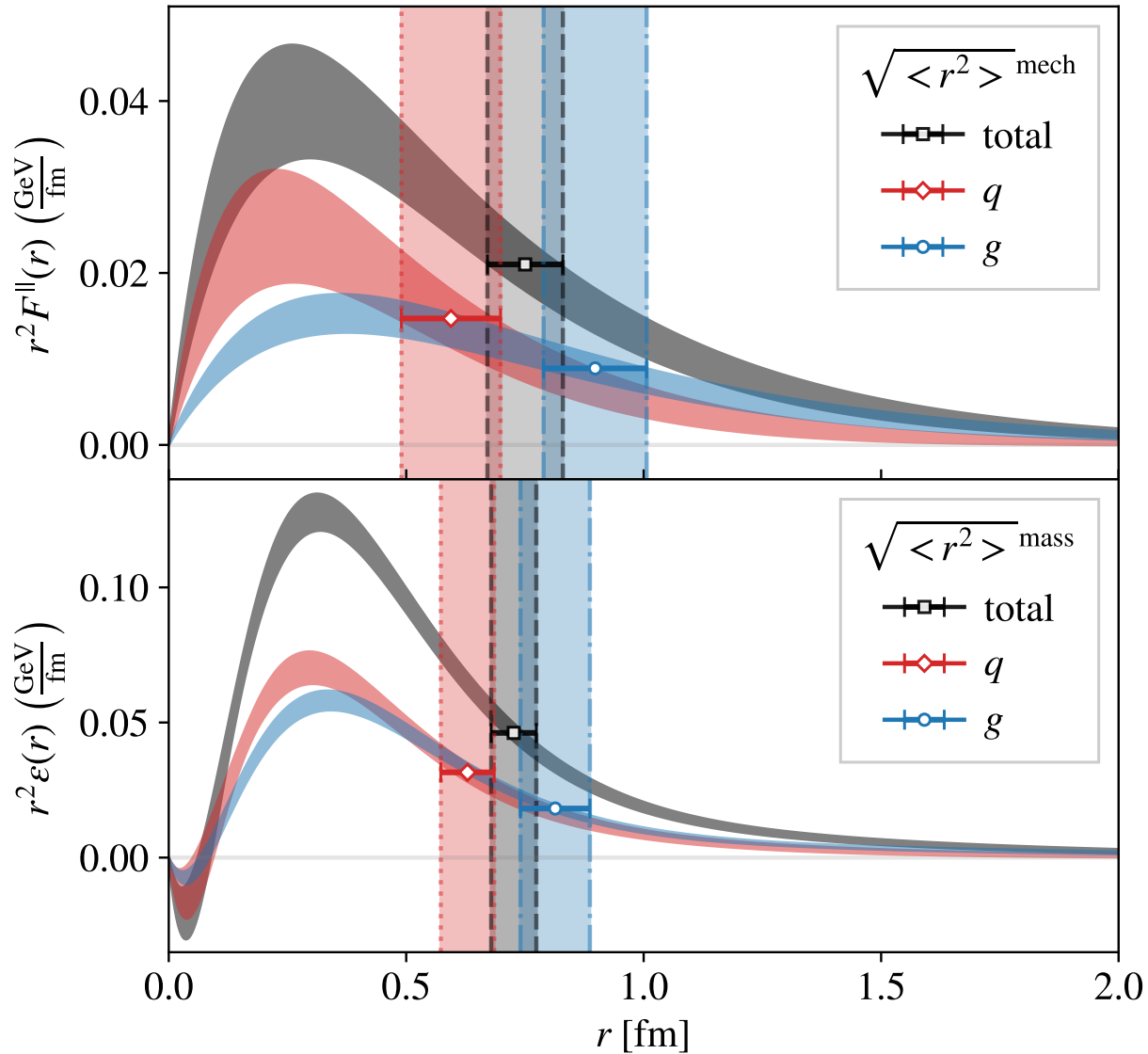
method 1: holographic QCD ([Mamo Jahed PRD 2021+2022](#))

method 2: GPDs ([Guo Ji Liu PRD 2021](#))

[Guo et al PRD 2023](#) (+ [GlueX data](#))

method 2 updated

EMT densities



$$\varepsilon_i(r) = m \left[A_i(t) - \frac{t(D_i(t) + A_i(t) - 2J_i(t))}{4m^2} \right]_{\text{FT}}$$

$$p_i(r) = \frac{1}{6m} \frac{1}{r^2} \frac{d}{dr} r^2 \frac{d}{dr} [D_i(t)]_{\text{FT}}$$

$$s_i(r) = -\frac{1}{4m} r \frac{d}{dr} \frac{1}{r} \frac{d}{dr} [D_i(t)]_{\text{FT}}$$

$$F_i^{\parallel}(r) = p_i(r) + 2s_i(r)/3$$

FT = Fourier transform
3D Breit frame

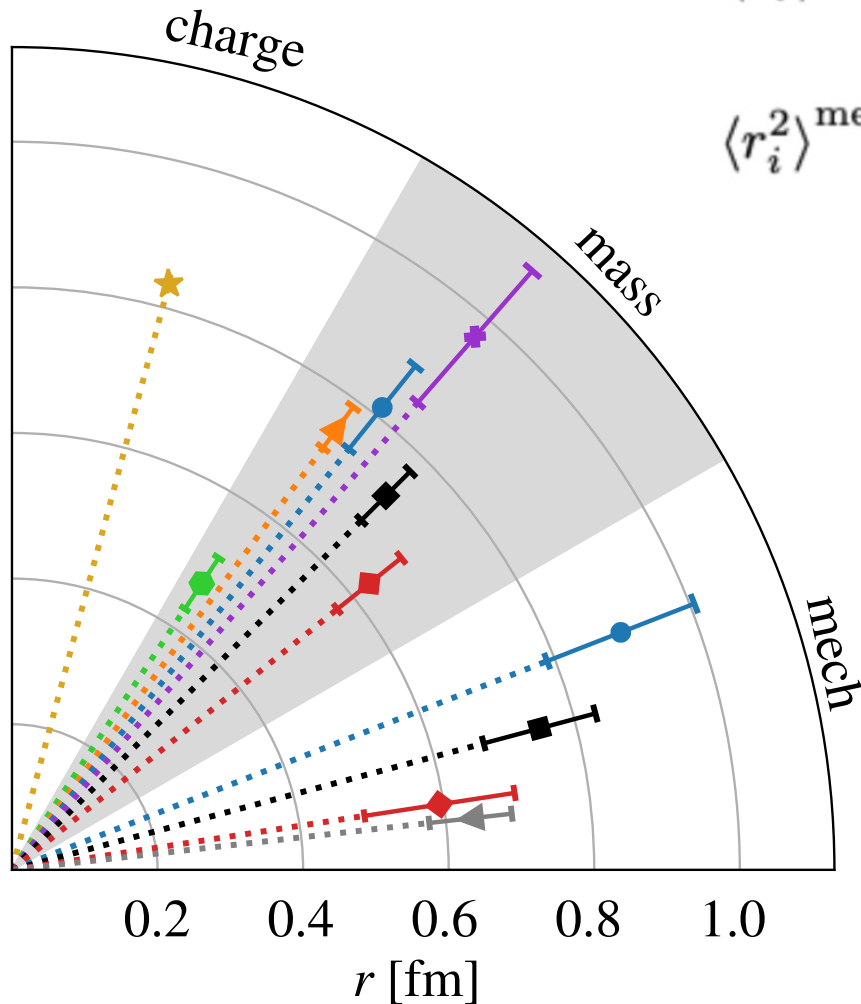
Nucleon size

$$\langle r_i^2 \rangle^{\text{mass}} = \frac{\int d^3\mathbf{r} r^2 \varepsilon_i(r)}{\int d^3\mathbf{r} \varepsilon_i(r)}, \quad \varepsilon_i(r) = m \left[A_i(t) - \frac{t(D_i(t) + A_i(t) - 2J_i(t))}{4m^2} \right]_{\text{FT}}$$

$$\langle r_i^2 \rangle^{\text{mech}} = \frac{\int d^3\mathbf{r} r^2 F_i^{\parallel}(r)}{\int d^3\mathbf{r} F_i^{\parallel}(r)}$$

$$\begin{cases} p_i(r) = \frac{1}{6m} \frac{1}{r^2} \frac{d}{dr} r^2 \frac{d}{dr} [D_i(t)]_{\text{FT}} \\ s_i(r) = -\frac{1}{4m} r \frac{d}{dr} \frac{1}{r} \frac{d}{dr} [D_i(t)]_{\text{FT}} \end{cases}$$

$$F_i^{\parallel}(r) = p_i(r) + 2s_i(r)/3$$



- ★ PDG [PTEP 2022](#)
- g , Duran et al. method 2
- ▶ g , Duran et al. method 1
- ◆ g , Guo et al.
- g
- $q + g$
- ◆ q
- ◄ q , BEG [2310.11568](#)

FT = Fourier transform
3D Breit frame

$r_{\text{gluon}} > r_{\text{quark}}$

Summary and outlook

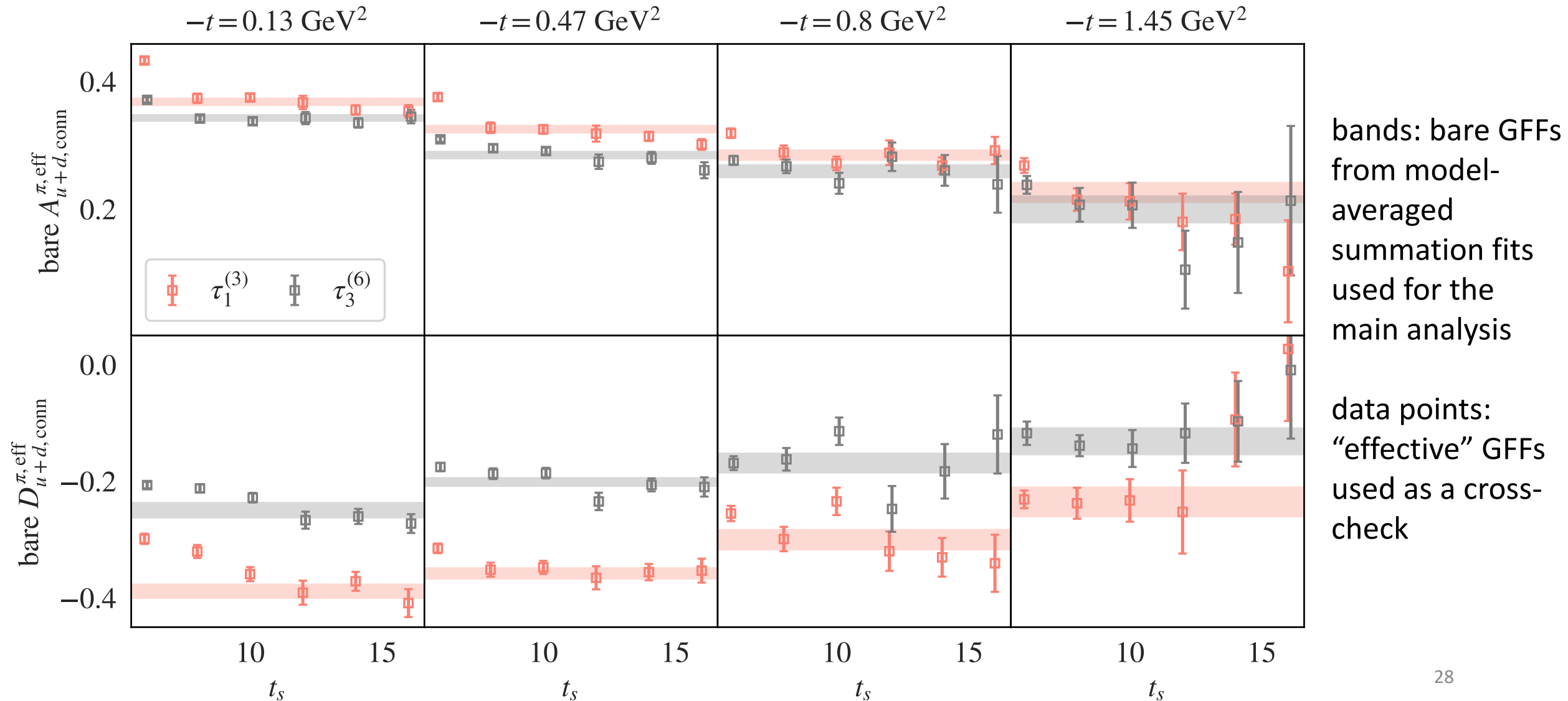
- Flavor decomposition of the gravitational form factors of the pion and the nucleon from lattice QCD
- First determination of total gravitational form factors of hadrons, including flavor decomposition into gluon, light-quark, and strange-quark contributions.
- Pion GFFs in agreement with chPT predictions
- Nucleon GFFs support certain experimental analyses
- Future work : more ensembles, continuum and physical limit extrapolation, improvements to renormalization

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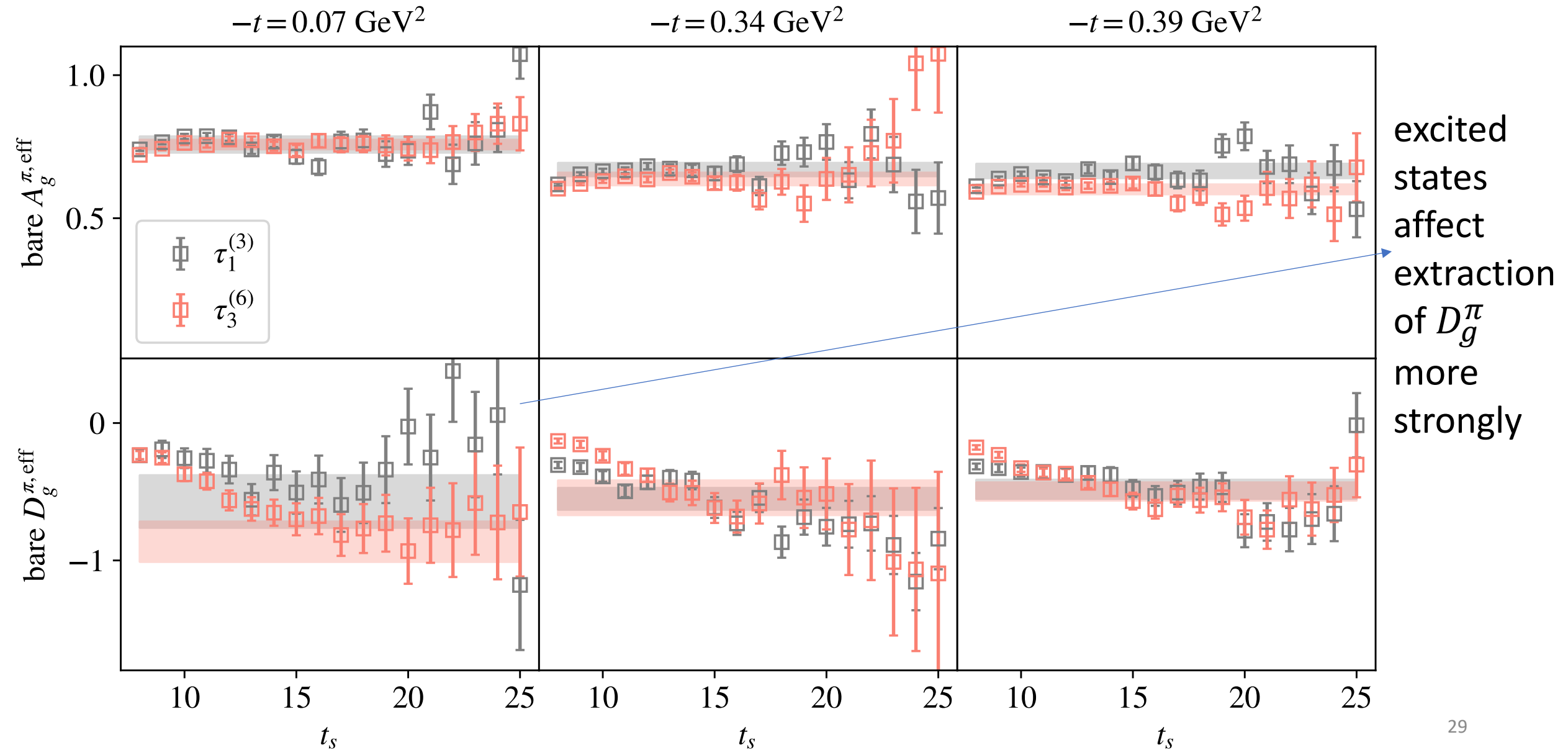
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Thank you!

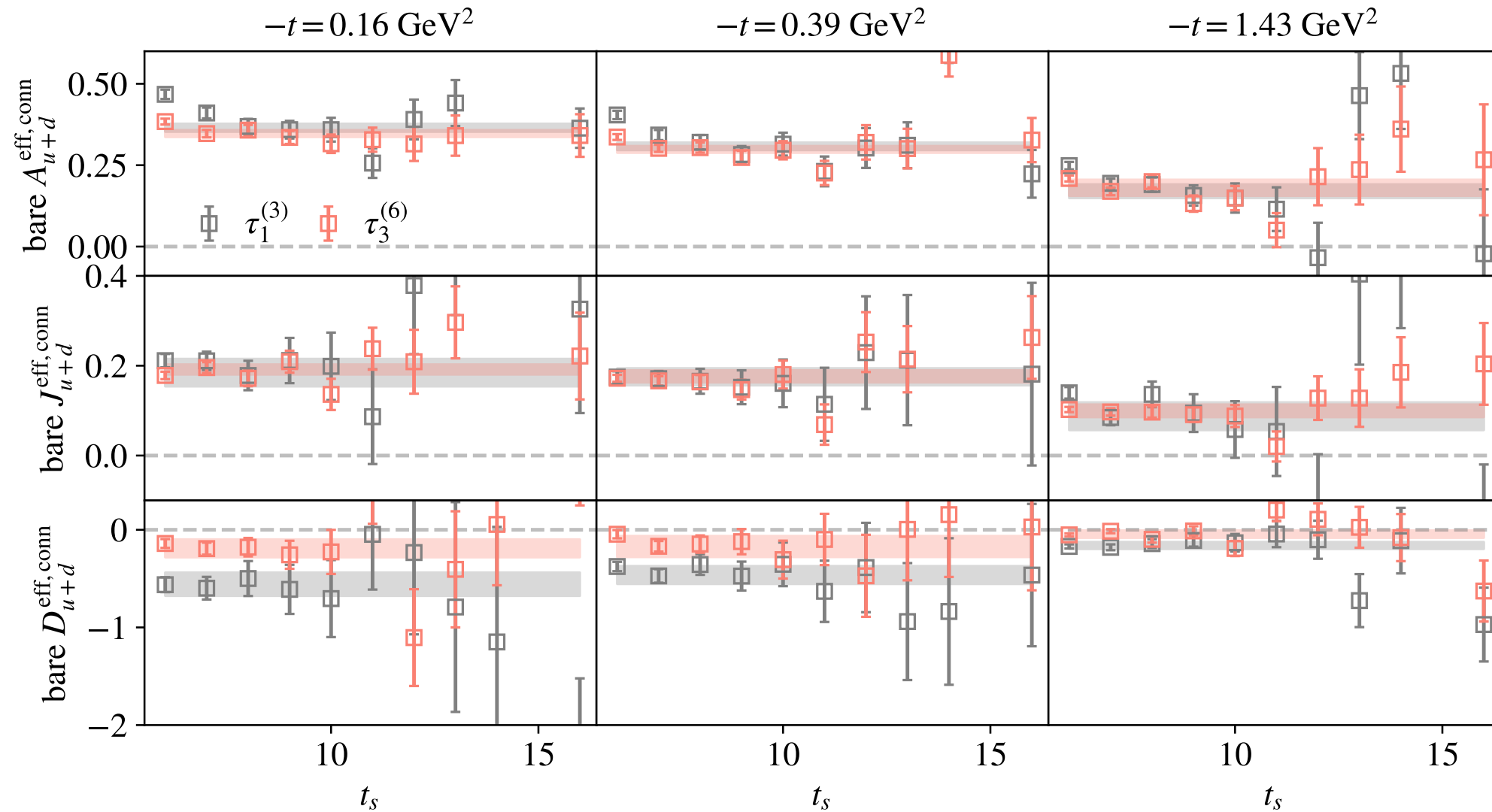
Back-up slides: pion connected quark



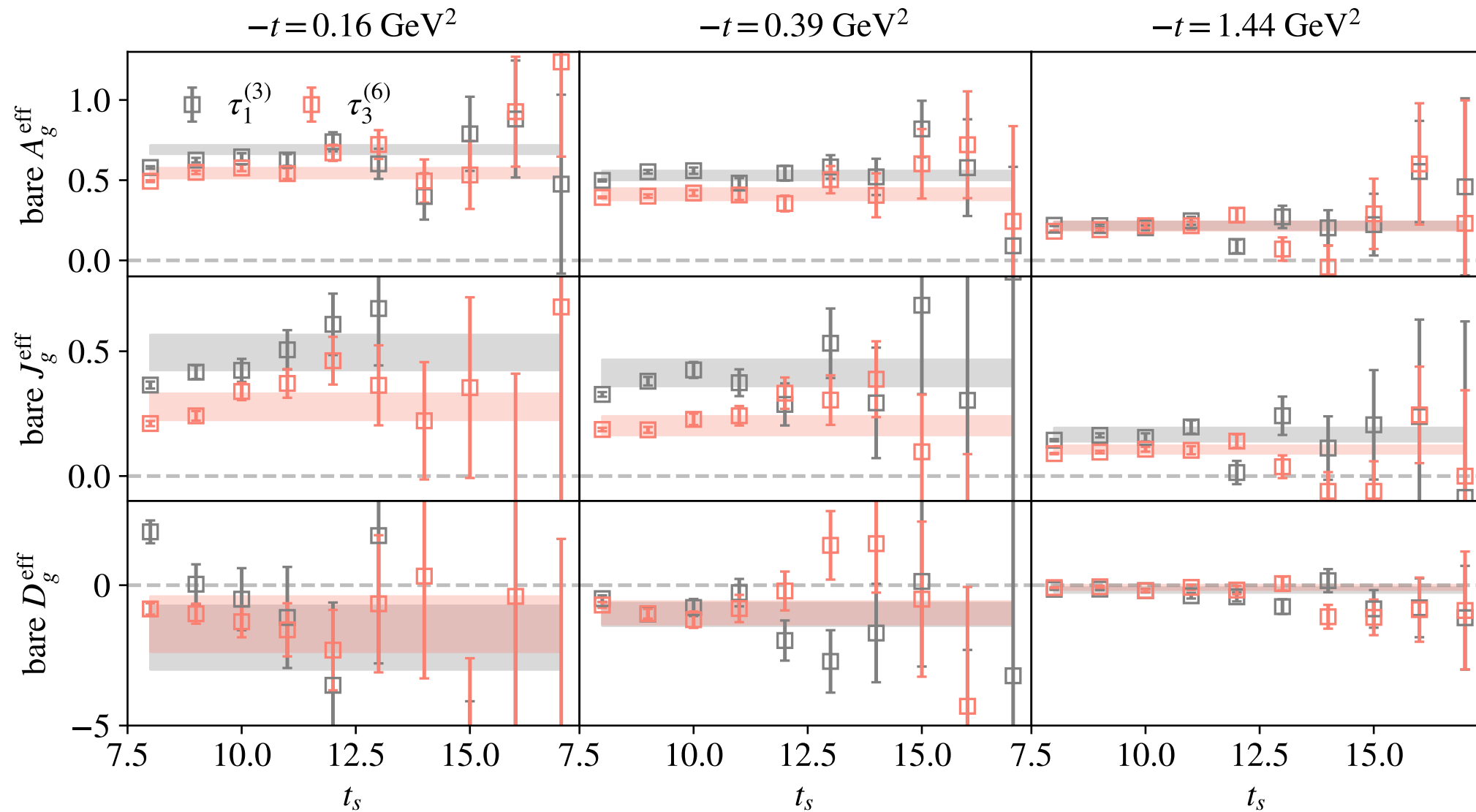
Back-up slides: pion gluon



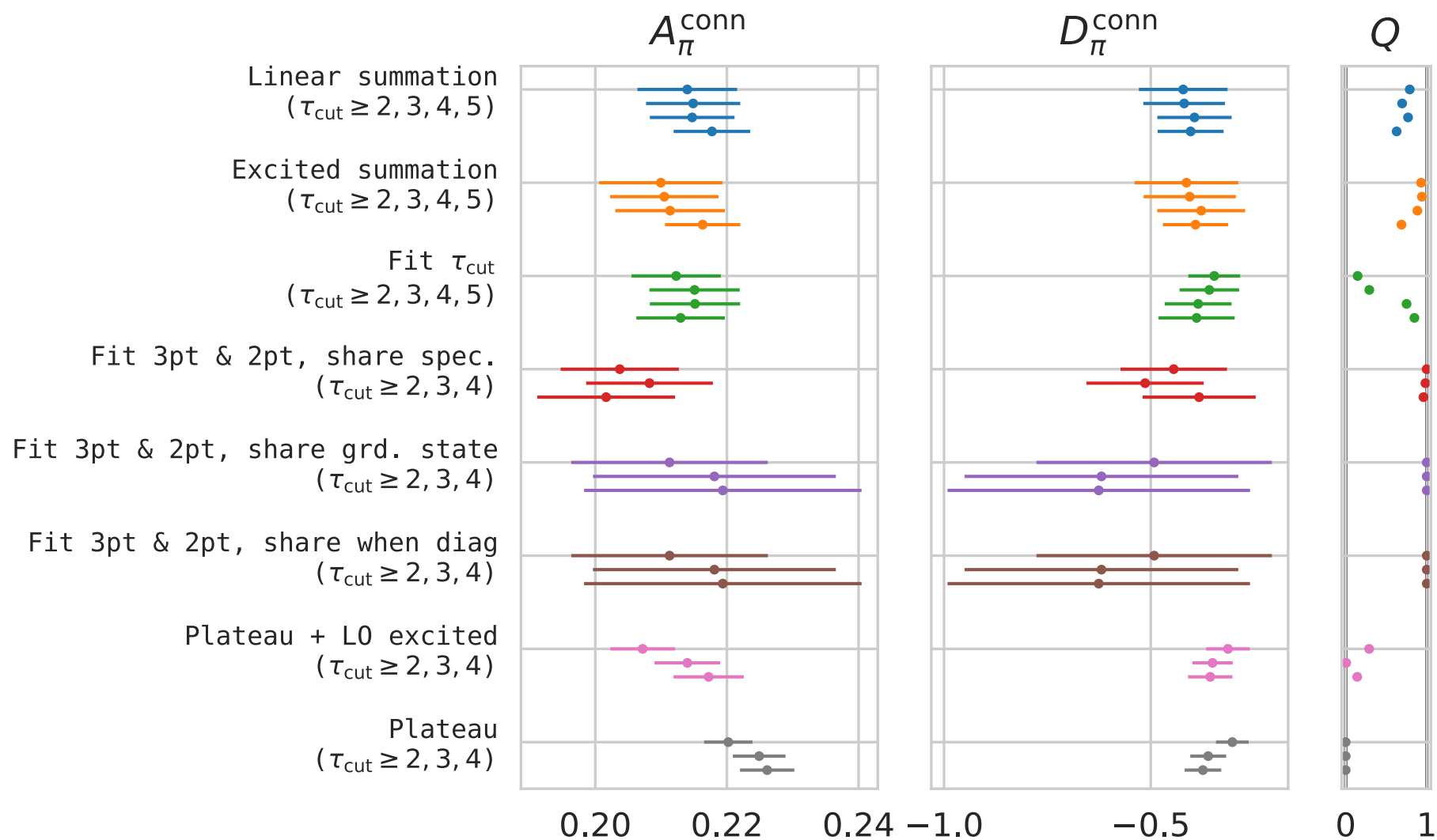
Back-up slides: nucleon $u+d$ connected



Back-up slides: nucleon gluon



Back-up slides



Back-up slides

