
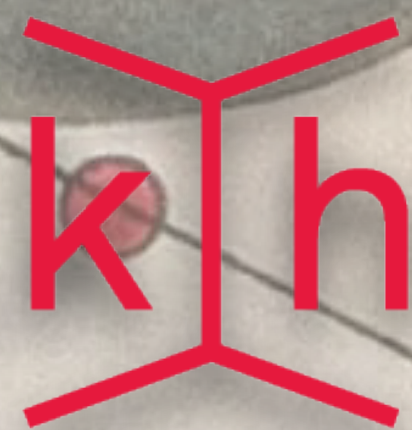


Intrinsic Charm in the proton


Giacomo Magni,
on behalf of NNDPF

EINN2023, Paphos Cyprus,
1st November 2023

 **NNDPF**

 **Nikhef**

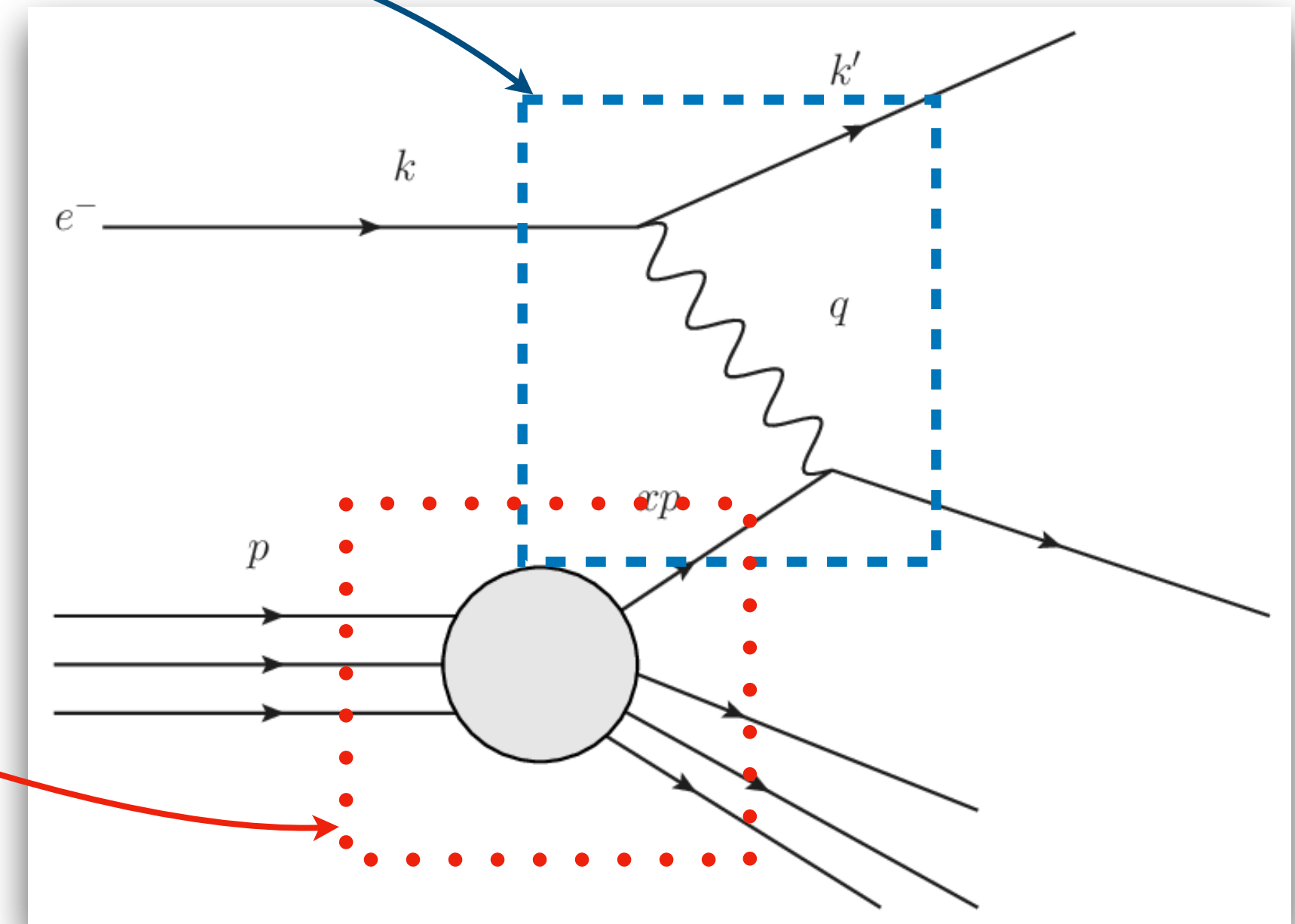
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- ▶ **Heavy quark in PDF fits.**
 - ▶ **The NNPDF4.0 Intrinsic Charm**
 - ▶ **A possible IC charm asymmetry**

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Factorisation and PDFs

$$\sigma(x, Q^2) = \sum_i^{n_f} f_i(z, Q^2) \otimes \hat{\sigma}_i\left(\frac{x}{z}, Q^2\right) + \mathcal{O}\left(\frac{\Lambda^2}{Q^2}\right)$$



A DIS process: $e p \rightarrow e + X$

- ▶ **Factorisation theorem** allows us to separate the **Perturbative** component $\hat{\sigma}_i$ (**ME**), from the **Non Perturbative** f_i (**PDF**).
- ▶ Partonic **ME** coefficient can be computed using pQCD.
- ▶ **PDFs** are universal and can be extracted from data.
- ▶ **PDFs are not directly observables** but are functional probability distribution and depend on the momentum fraction x and on an energy scale Q^2 .

The role of Heavy Quarks PDFs

To account for heavy quark mass effect, a QCD **Variable Flavour scheme (VFNS)** is needed.

$$Q^2 \gg m_h^2$$



$$Q^2 < m_h^2$$

- ▶ Partonic ME can be computed in a **massless scheme** assuming that $Q^2 \gg m_h^2$
- ▶ All the collinear $\log\left(\frac{Q^2}{m_h^2}\right)$ are reabsorbed inside the PDFs and resummed using DGLAP equations.
- ▶ **Heavy quark PDFs** can be treated as light flavour, and are **coupled** to other patrons (light quarks and gluons).

- ▶ **Mass effects** are retained inside the partonic ME. A smooth transition in the region $Q^2 \approx m_h^2$ is ensured with various prescriptions.
- ▶ **Heavy quark PDFs** are **now decoupled** from the others and become **scale independent**:
$$f_h^{(n_l+1)}(x, Q) \rightarrow f_h^{(n_l)}(x).$$
- ▶ Only light flavors are active in the DGLAP equations.

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**Are the heavy quark PDFs vanishing
for scales $Q^2 < m_h^2$?**

The Intrinsic Charm hypothesis

- ▶ The **charm** quark is the natural candidate to address this question as:

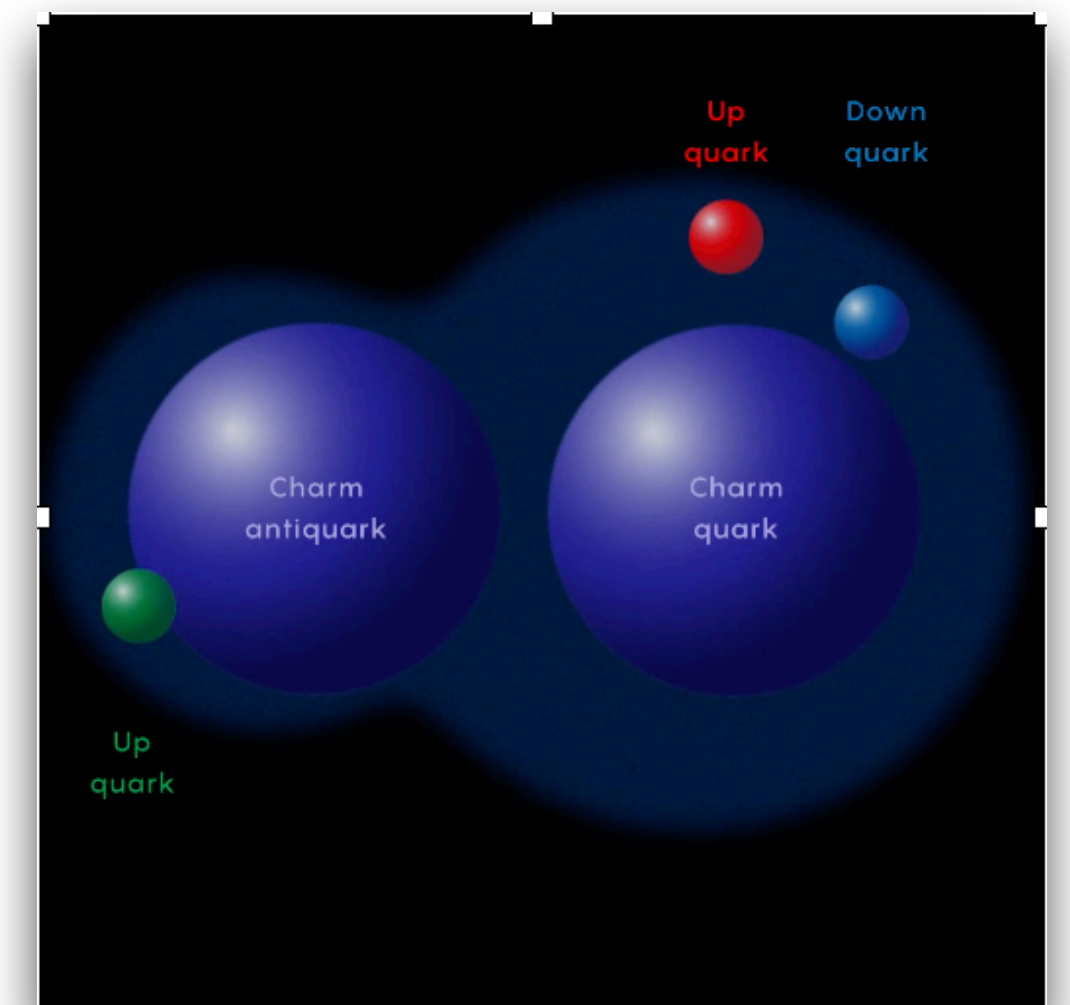
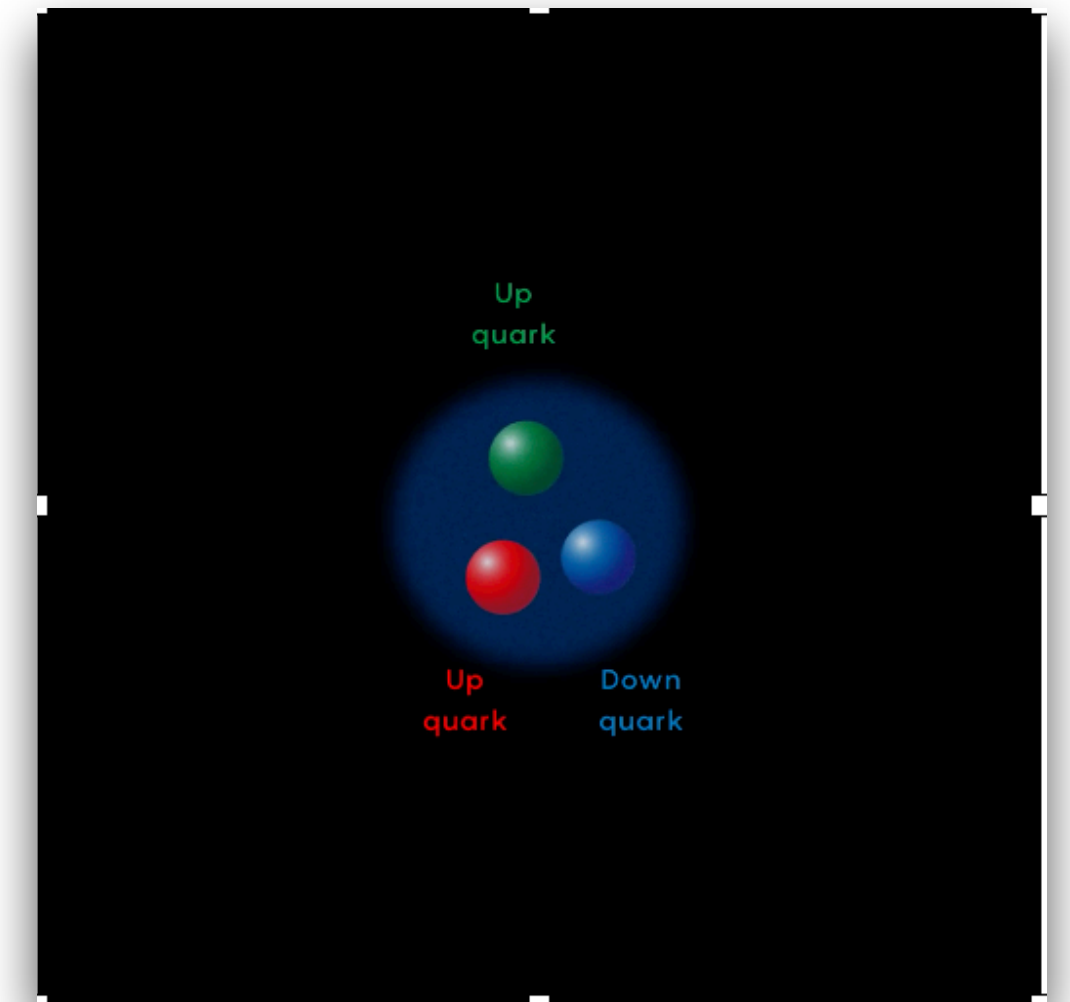
$$m_p = 0.938 \text{ GeV} \approx m_c = 1.51 \text{ GeV}.$$

- ▶ The original idea of a non perturbative charm component of the proton trace back to 1980. *Brodsky, Hoyer, Peterson, Sakai* [[Phys.Lett.B 3451-455](#)].
- ▶ We define the charm content of the proton for $Q < m_c$ in the $n_f = 3$ flavour scheme as **Intrinsic Charm (IC)**.
- ▶ To determine IC we will need to **separate the perturbative component** of the charm PDF. Results are based on:

Evidence for intrinsic charm quarks in the proton

Nature 608 (2022) 7923, 483-487 [[arxiv:2208.08372](#)]

R. D. Ball , A. Candido , J.C. Martinez, S. Forte, T.Giani , F. Hekhorn, K. Kudashkin, GM and J.Rojo.



The Intrinsic Charm hypothesis

In a **purely perturbative** scenario the charm PDF is determined as:

$$f_c^{(3)} = 0 \rightarrow f_c^{(4)}(x, m_c^2) = \sum_{i=g,q} A_{c,i} \otimes f_i^{(3)}(x, m_c^2)$$

$f_c^{(4)}(x, Q)$ functional form is fully determined by the DGLAP evolution and the initial boundary conditions.

Allowing for **Intrinsic Charm** means:

$$f_c^{(3)}(x) \neq 0 \rightarrow f_c^{(4)}(x, m_c^2) = A_{cc} \otimes f_c^{(3)}(x) + \sum_{i=g,q} A_{c,i} \otimes f_i^{(3)}(x, m_c^2)$$

$f_c^{(4)}(x, Q)$ has to be treated as the other light flavour and **fitted to the data**.

$A_{ij} = A_{ij}(x, \alpha_s, \frac{Q_h^2}{m_h^2})$ are the

matching conditions
available up to $\mathcal{O}(\alpha_s^3)$

[[Eur.Phys.J.C 1 \(1998\) 301-320](#),
[Phys.Lett.B 754 \(2016\) 49-58](#),
[Nucl.Phys.B 820 \(2009\) 417-482](#) et al.]

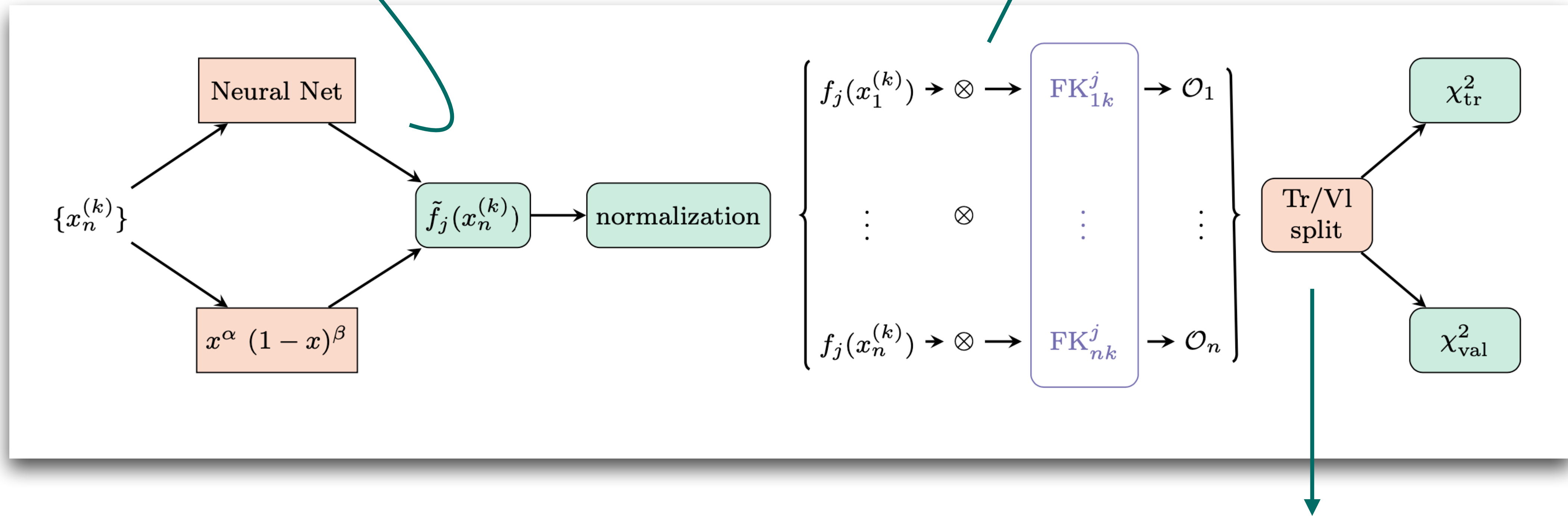
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- ▶ Heavy quark in PDF fits.
 - ▶ **The NNPDF4.0 Intrinsic Charm.**
 - ▶ A possible IC charm asymmetry.

The NNPDF4.0 methodology

1. PDFs are parametrised in $n_f = 4$, at $Q_0 = 1.65 \text{ GeV}$ using a **neural net**:

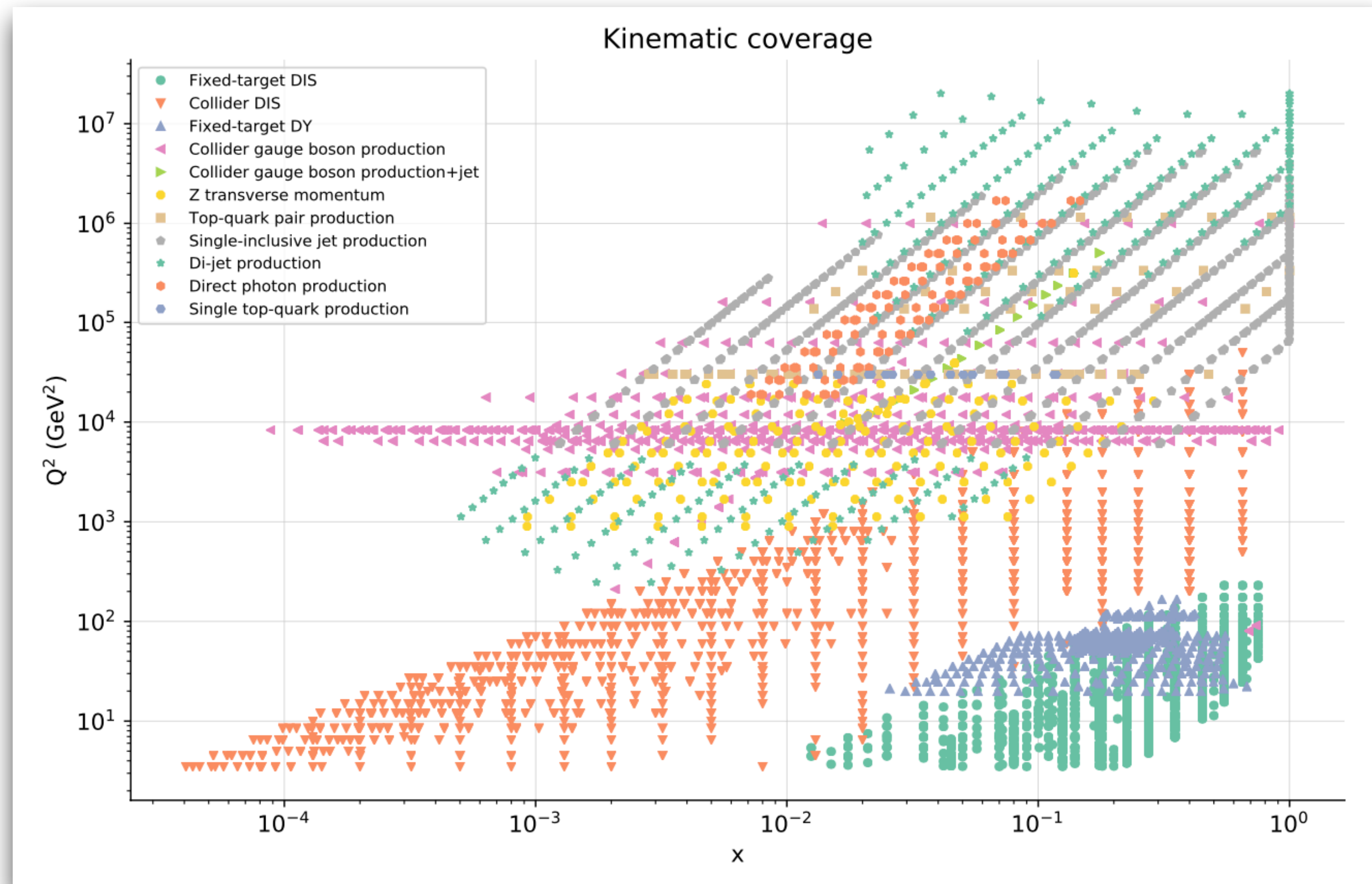
$$f_i(x) = x^{a_i}(1-x)^{b_i} NN(\theta, x, \log(x))_i$$

2. **Convolution** with partonic MEs.



3. Run the **minimisation** to compare with data and find the best fitting PDFs.

The NNPDF4.0 methodology



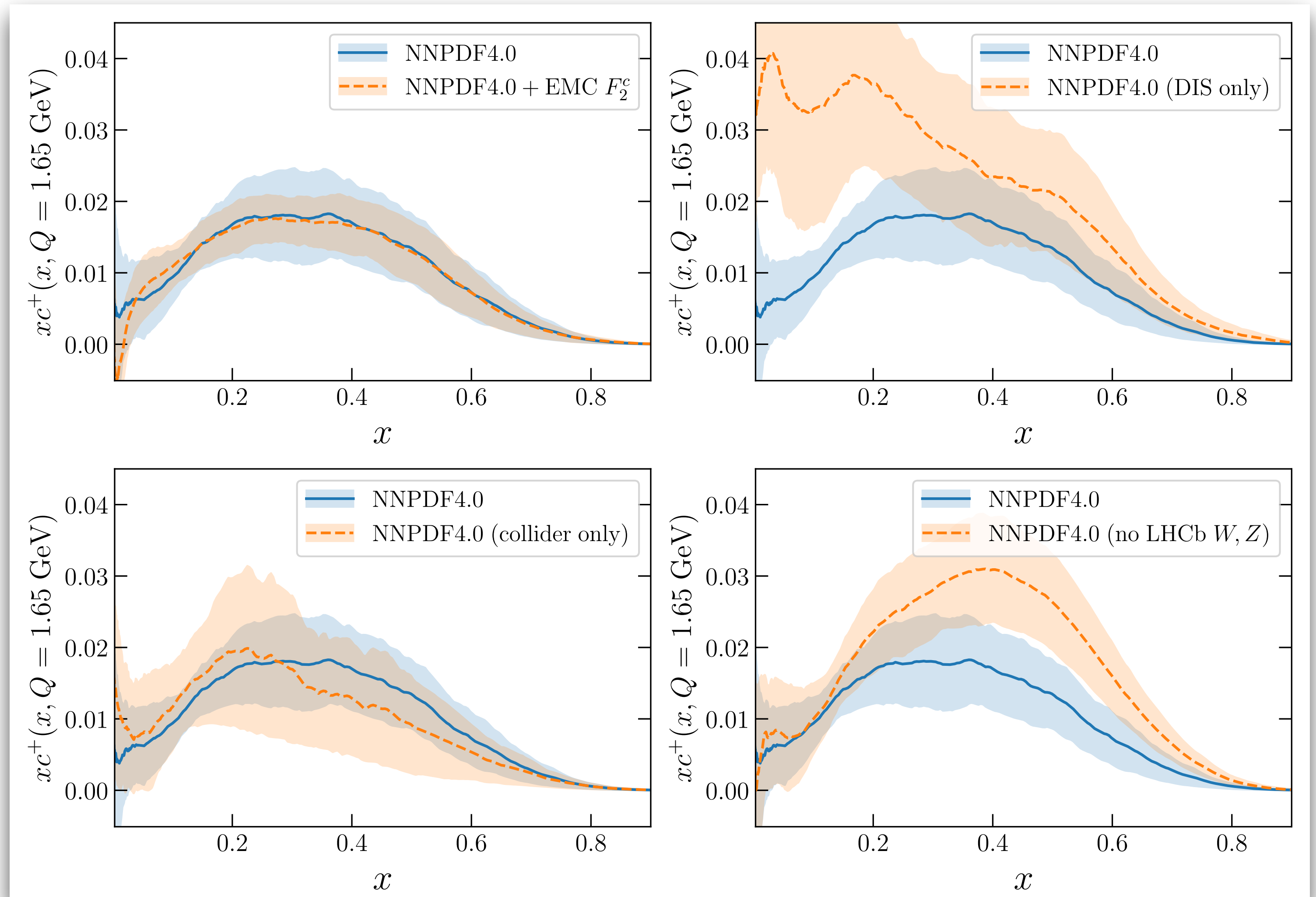
- ▶ More than 4000 datapoints, collected at various different experiments.

- ▶ Many (new) LHC process are included: *DY, W, t, t \bar{t} , jets*.

NNPDF4.0 Charm PDF

In **NNPDF 4.0** [[arxiv:2109.02653](https://arxiv.org/abs/2109.02653)]:

- ▶ $\bar{c} = c$, so we consider only the **total c^+** combination.
- ▶ c^+ at the fitting scale exhibits a **non vanishing peak** in the **high- x** region and vanishes at low- x .
- ▶ Constrains are coming mainly from collider data.
- ▶ The charm PDF is consistent with EMC data.



Probing Intrinsic Charm

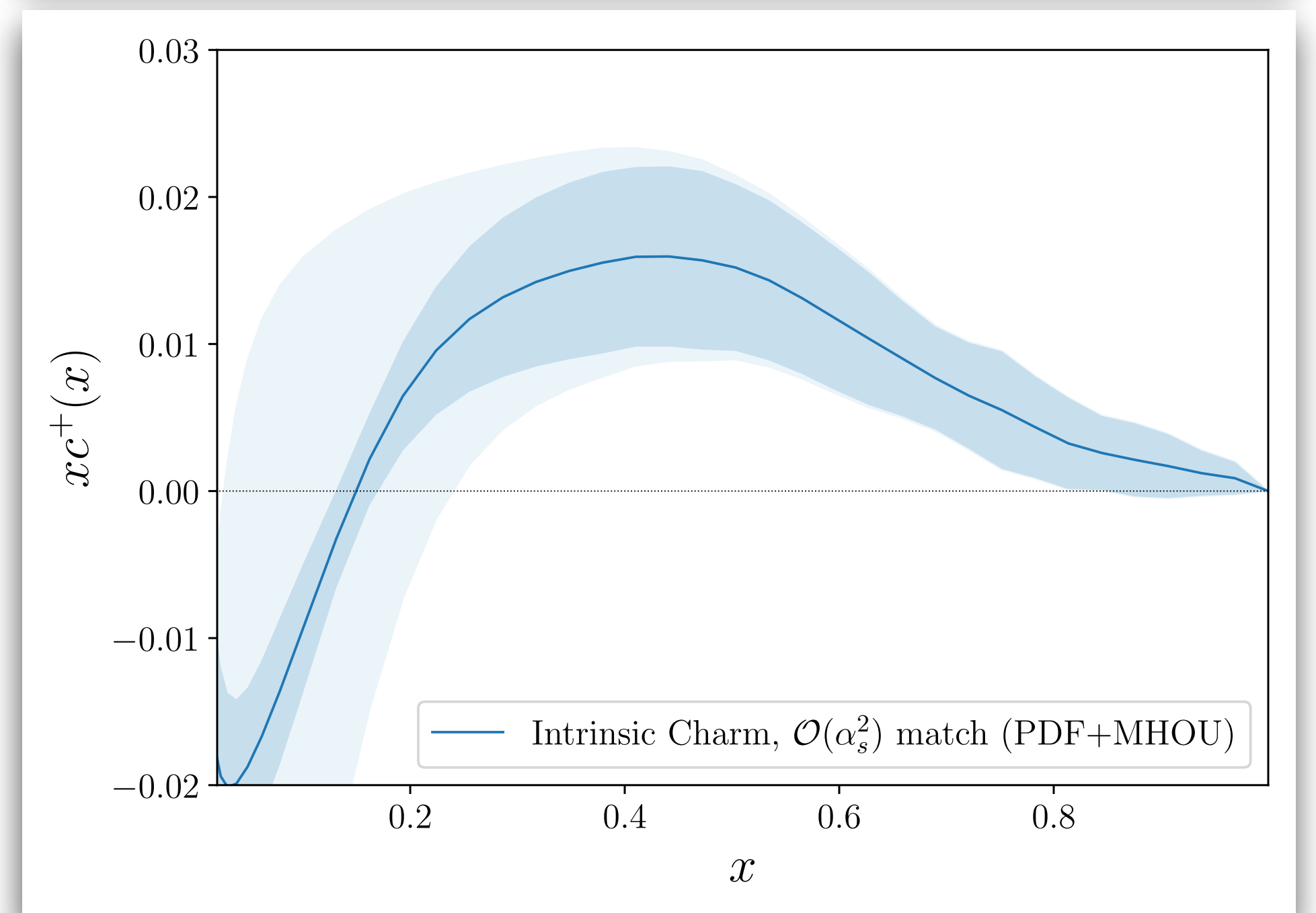
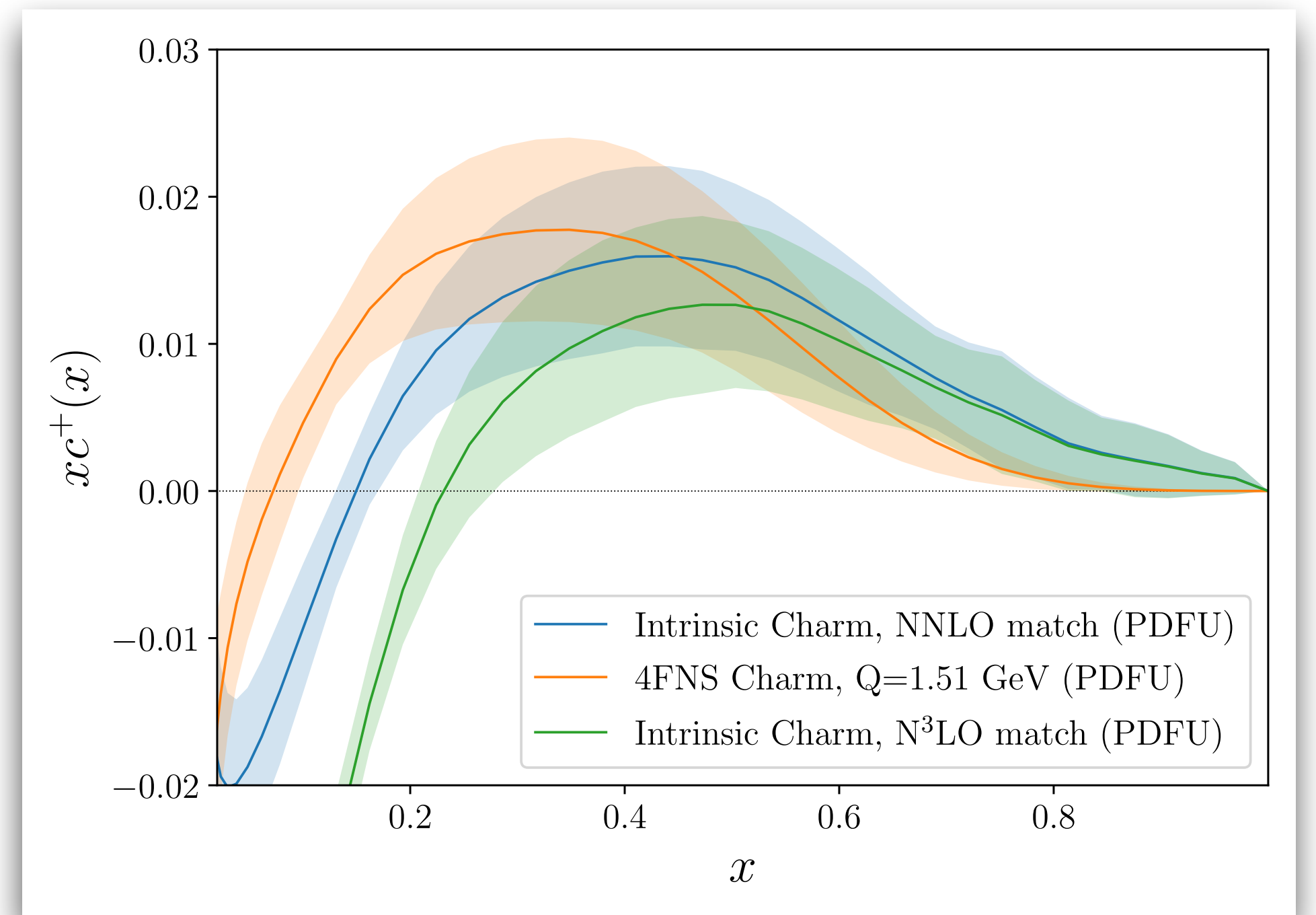
- ▶ Starting from the fitting scale we **evolve** the NNPDF4.0 baseline **to** $Q^2 = m_c^2$.
- ▶ When passing the heavy quark threshold we need to **invert the matching conditions** A_{ij} .
- ▶ The **remaining part** of the charm PDF **is the intrinsic component**, which is scale independent for $Q^2 < m_c^2$.

Probing Intrinsic Charm

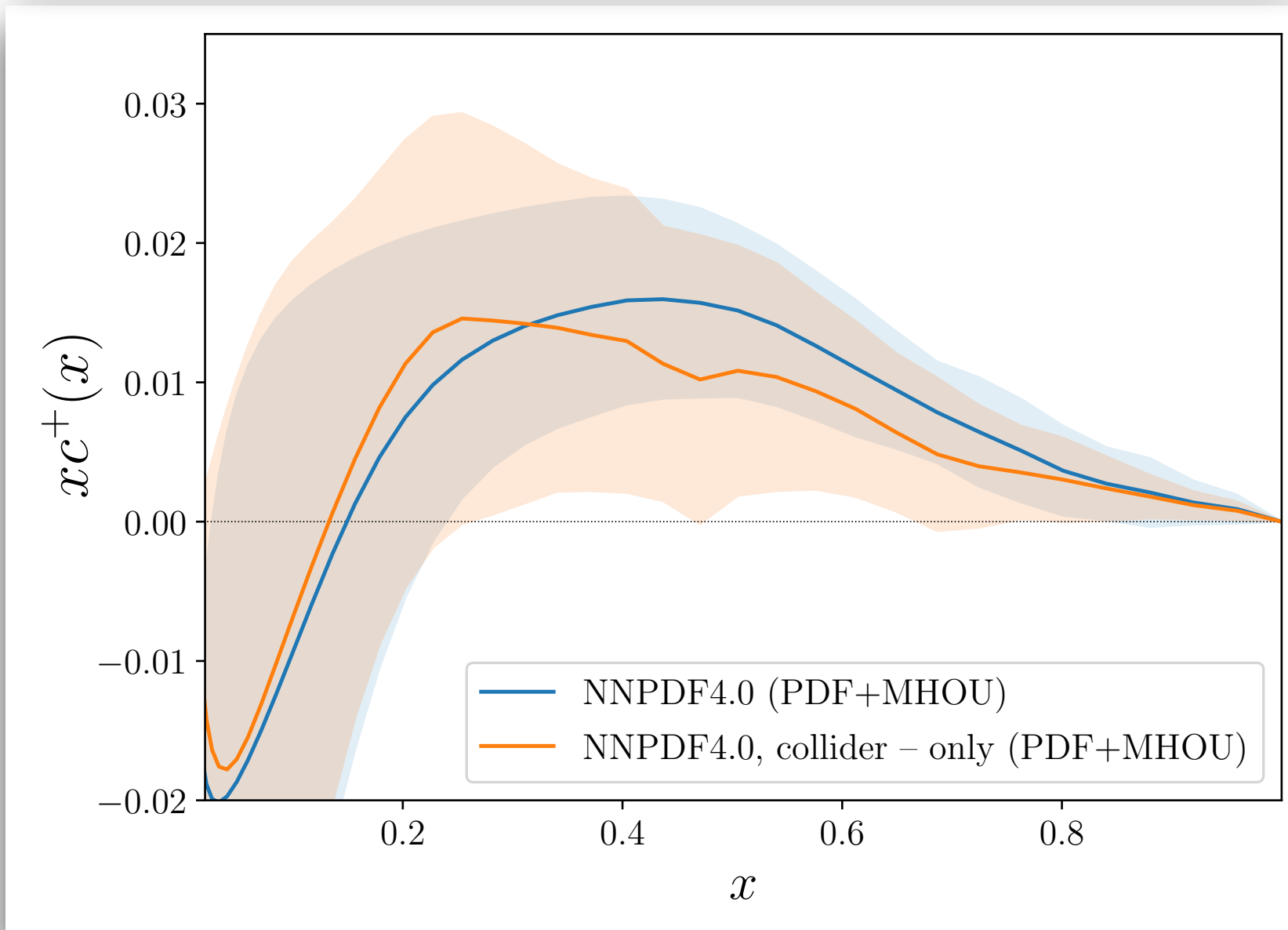
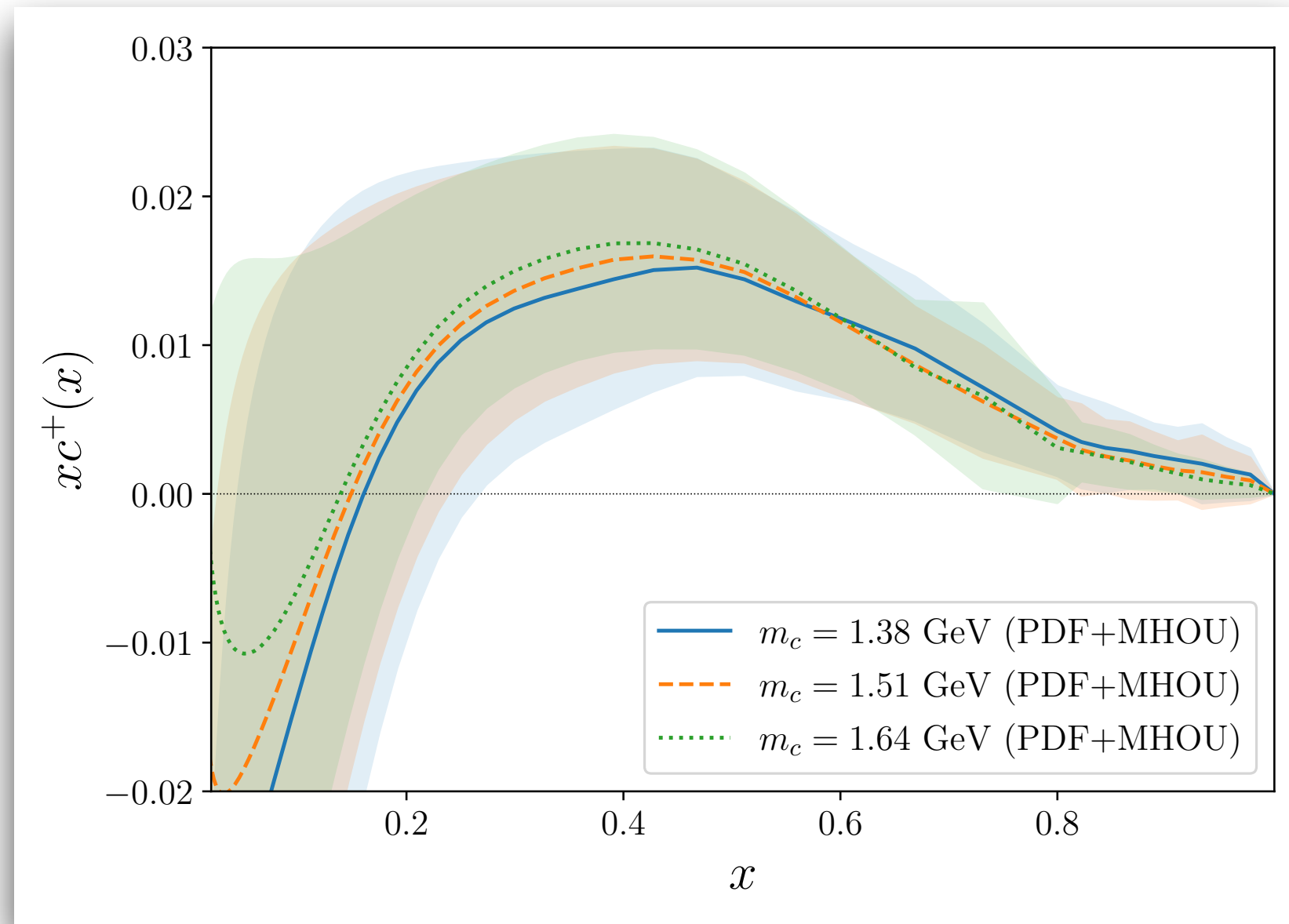
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The resulting **IC** in the $n_f = 3$ scheme:

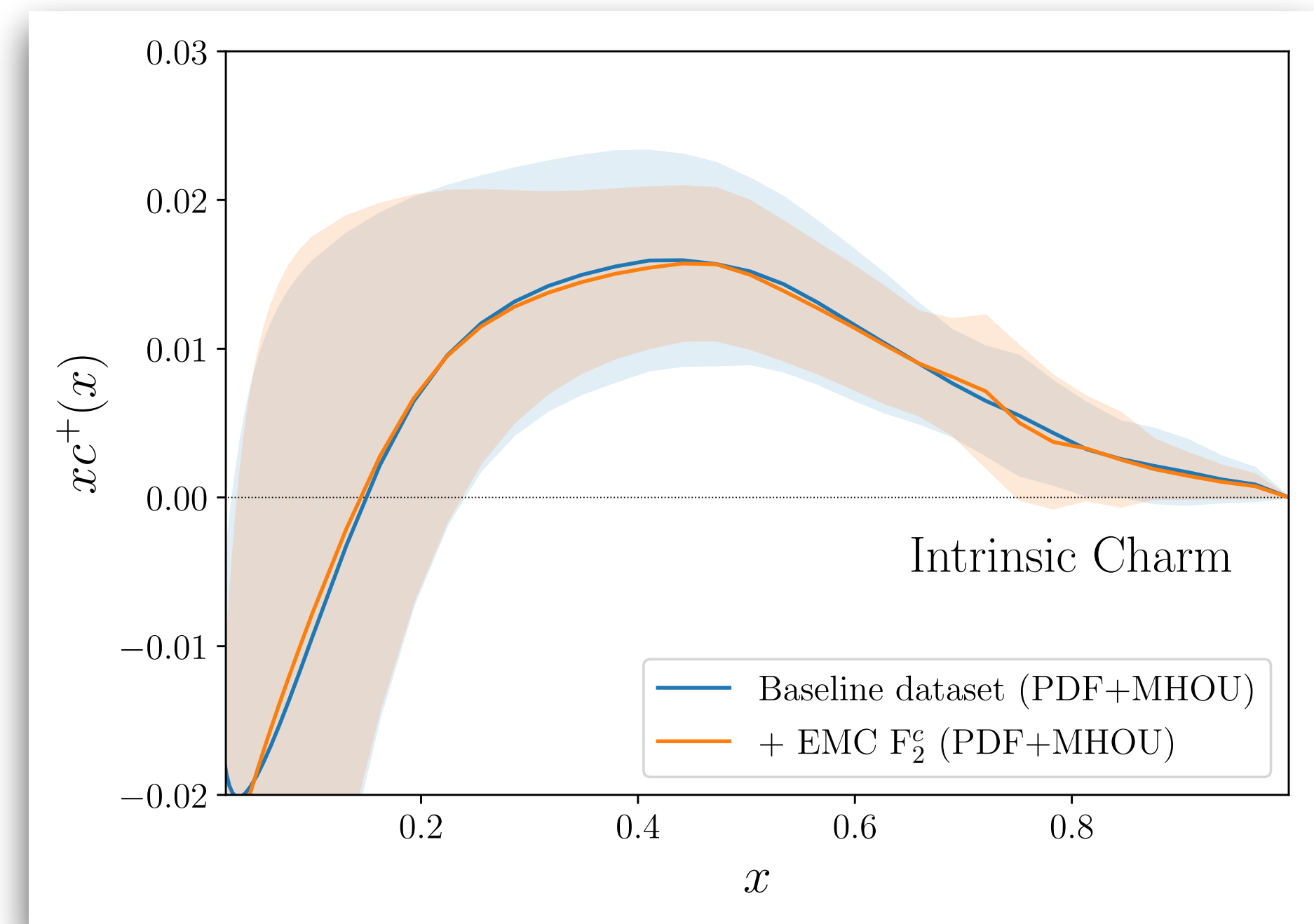
- ✓ Still contains **valence-like** peak.
- ✓ For $x \leq 0.2$ the perturbative uncertainties are quite large.
- ✓ The carried **momentum fraction** is within **1%**.



Intrinsic Charm stability



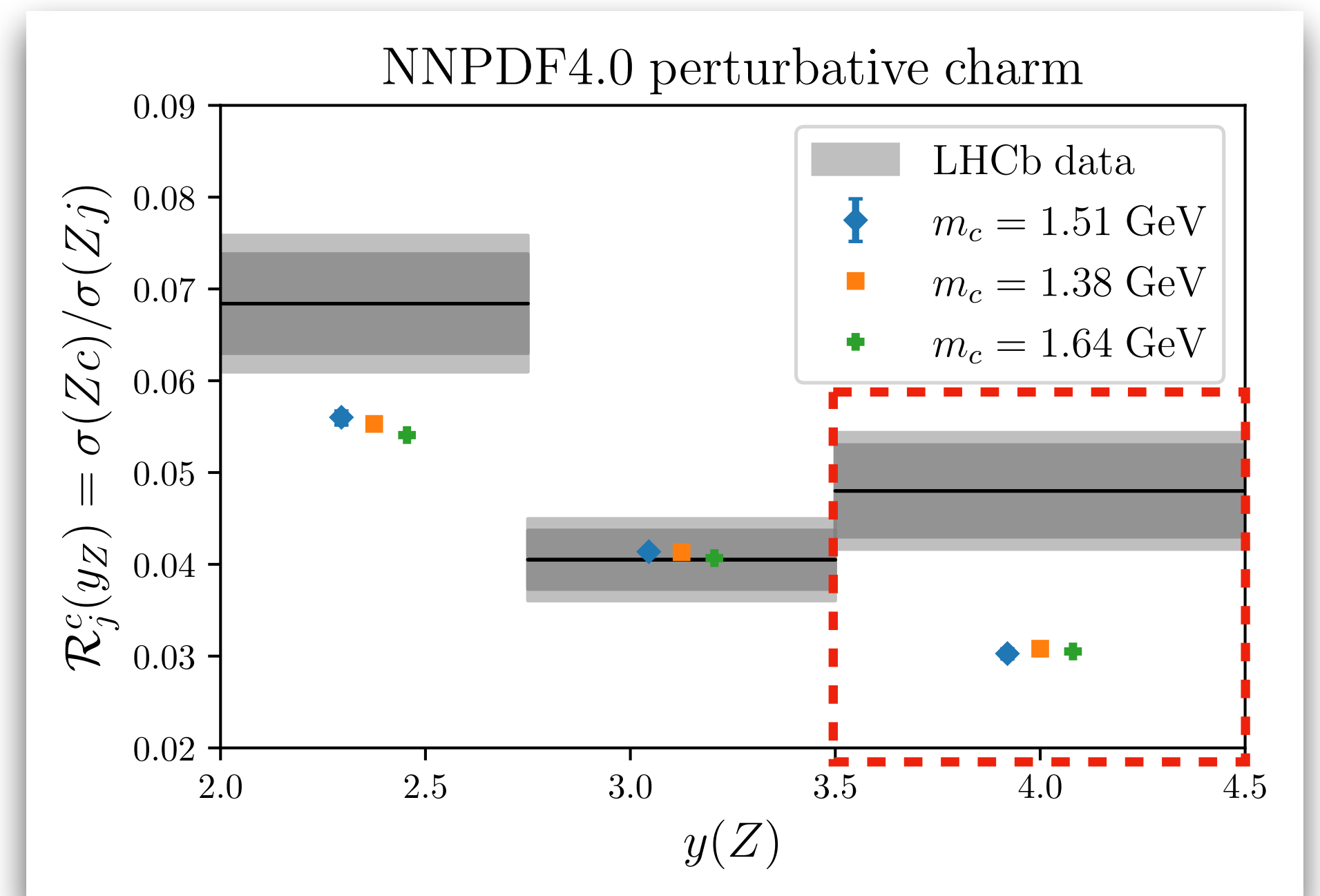
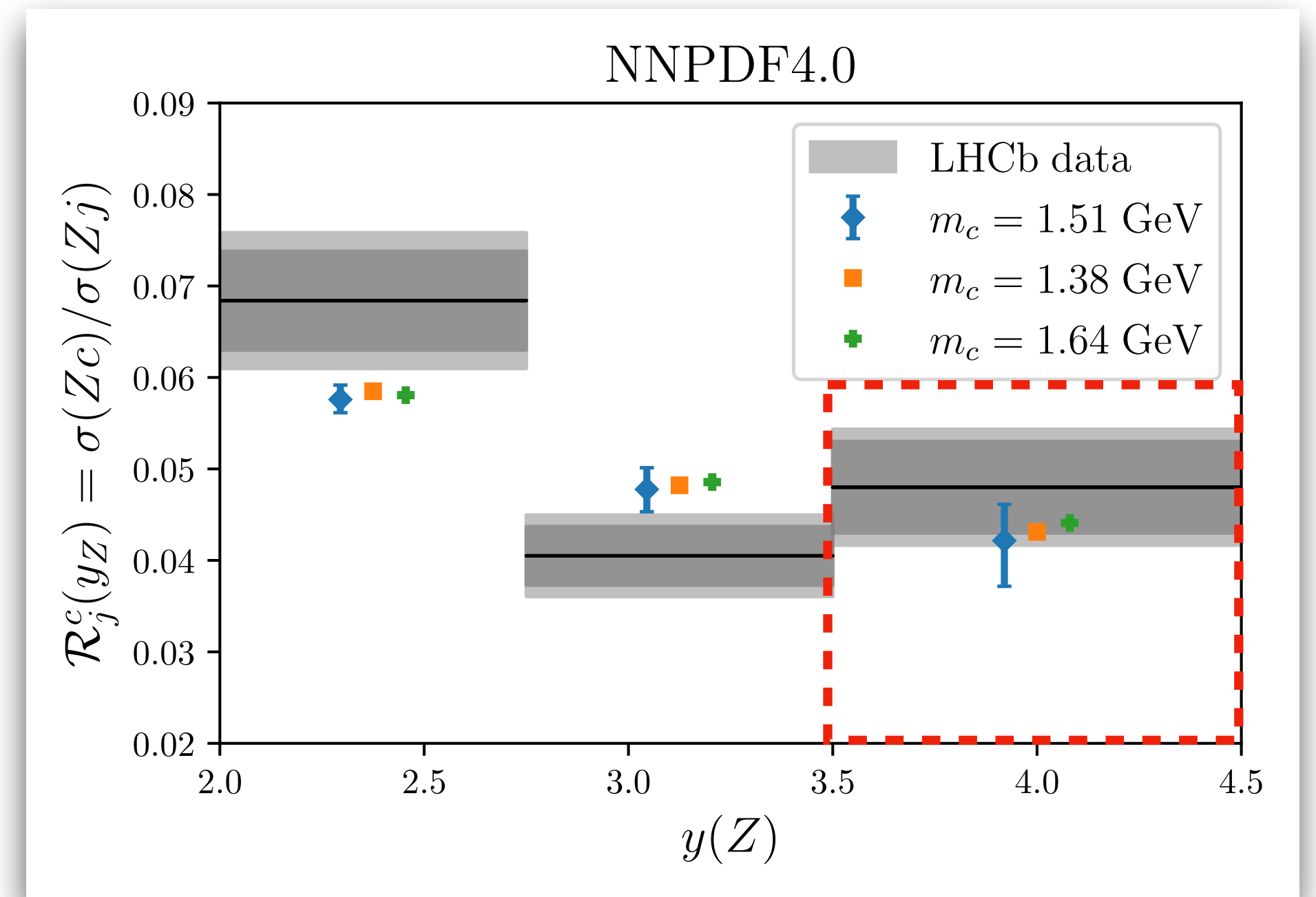
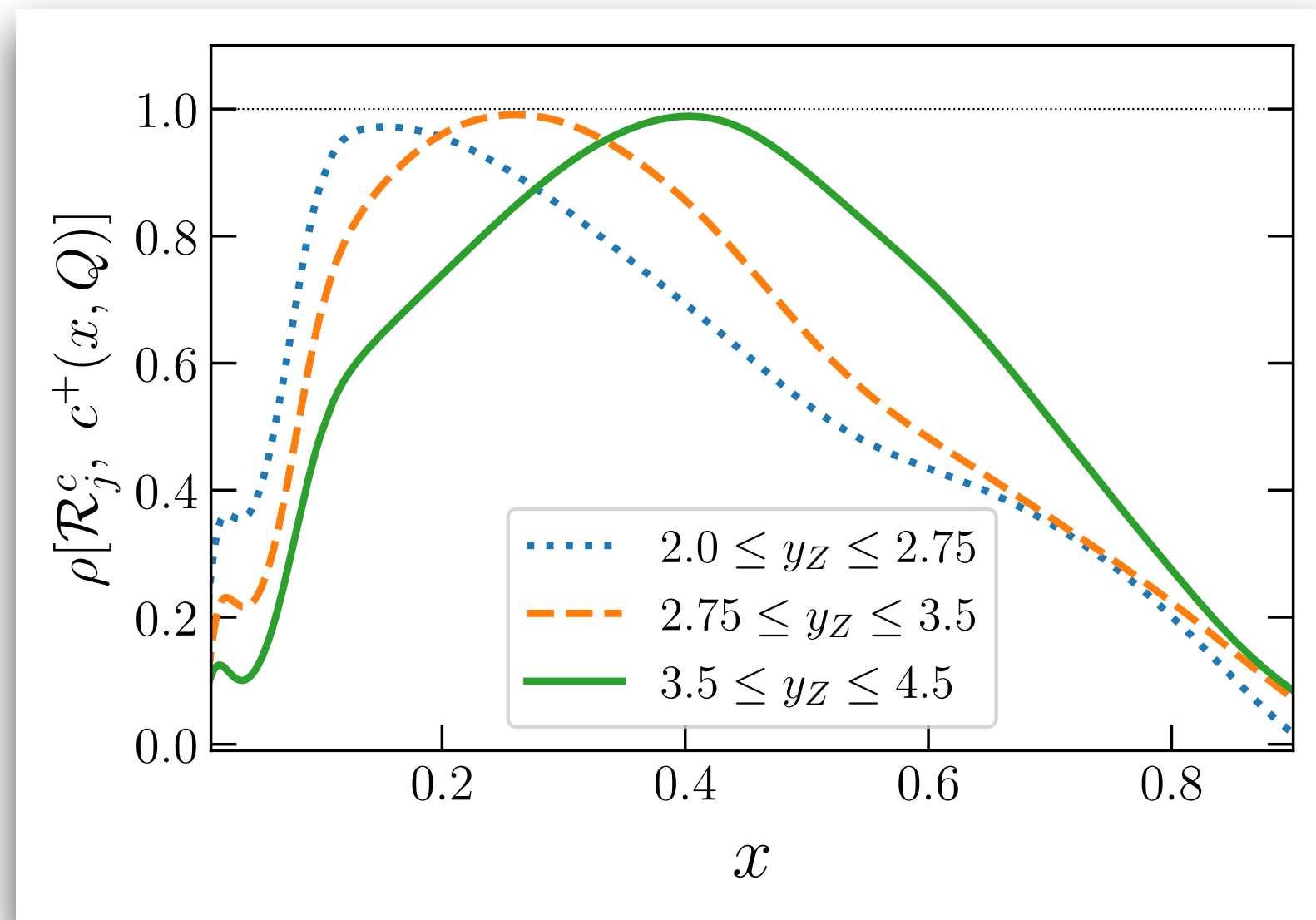
- ▶ The determined IC is **stable** upon **mass variations**.
- ▶ The determined IC is **stable** upon **datasets variations**.
- ▶ **EMC** DIS data (not included in the default) can constrain further the charm PDF, but don't shift the central value.



Impact of IC at LHC

The evidence of **total c^+ IC** is validated comparing to $Z + c$ production at **LHCb** [[arxiv:2109.08084](https://arxiv.org/abs/2109.08084)]:

- ▶ Data vs theory comparison at **NLO+PS** [[arxiv: 1009.5594](https://arxiv.org/abs/1009.5594)]
- ▶ Better agreement is found once IC is allowed, especially in the **forward region**.
- ▶ **High correlation** with the **charm PDF** and **LHCb observable**:



- 
- ▶ Heavy quark in PDF fits.
 - ▶ The NNPDF4.0 intrinsic charm.
 - ▶ A possible IC charm asymmetry.

Models for Intrinsic Charm

- ▶ **BHPS** model:



Brodsky, Hoyer, Peterson, Sakai
[\[Phys.Lett.B 3451-455\]](#)

$$xc^+ = \frac{1}{2}Nx^3\left[\frac{1}{3}(1-x)(1+10x+x^2) + 2x(1+x^2)\ln(x)\right]$$

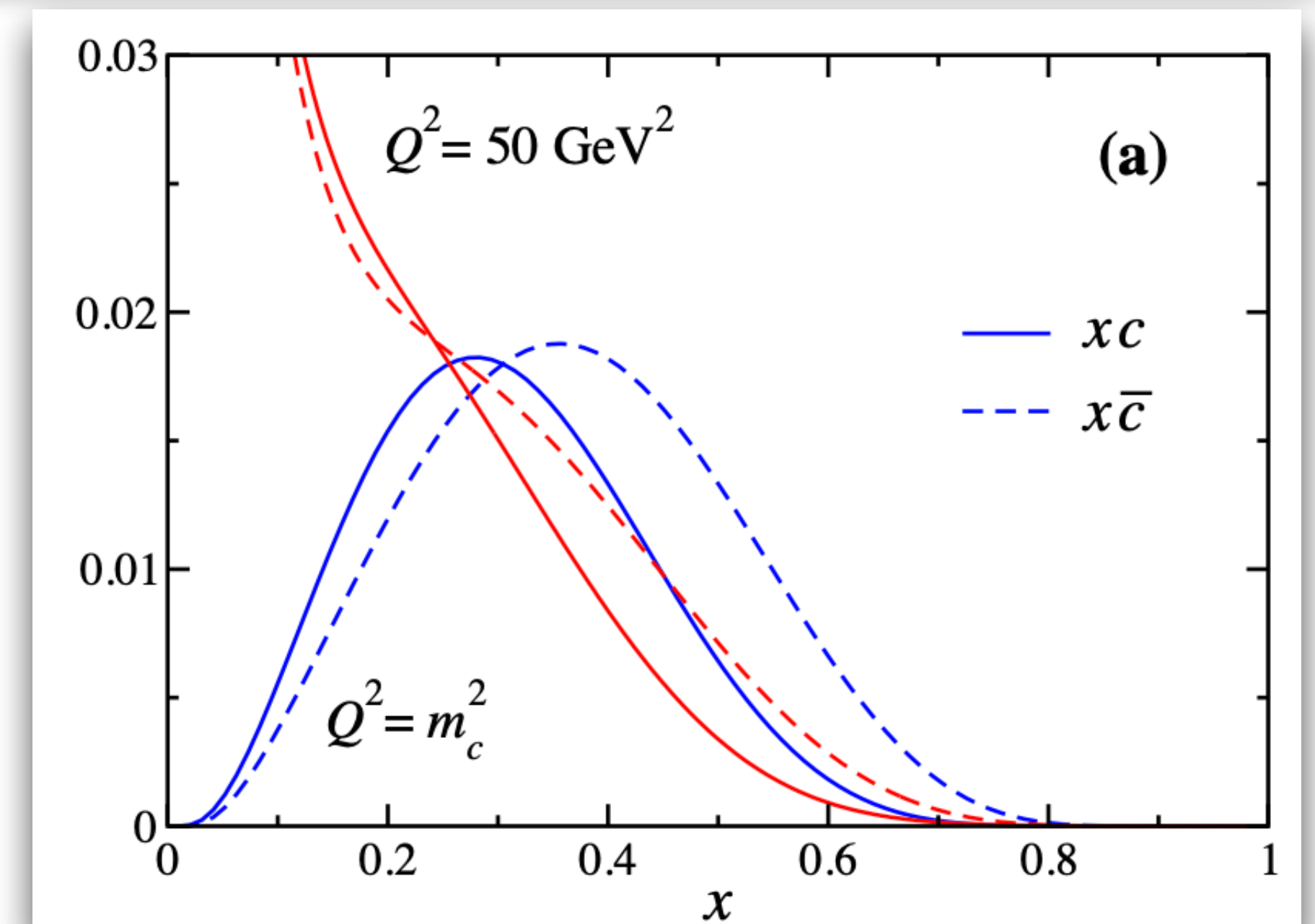
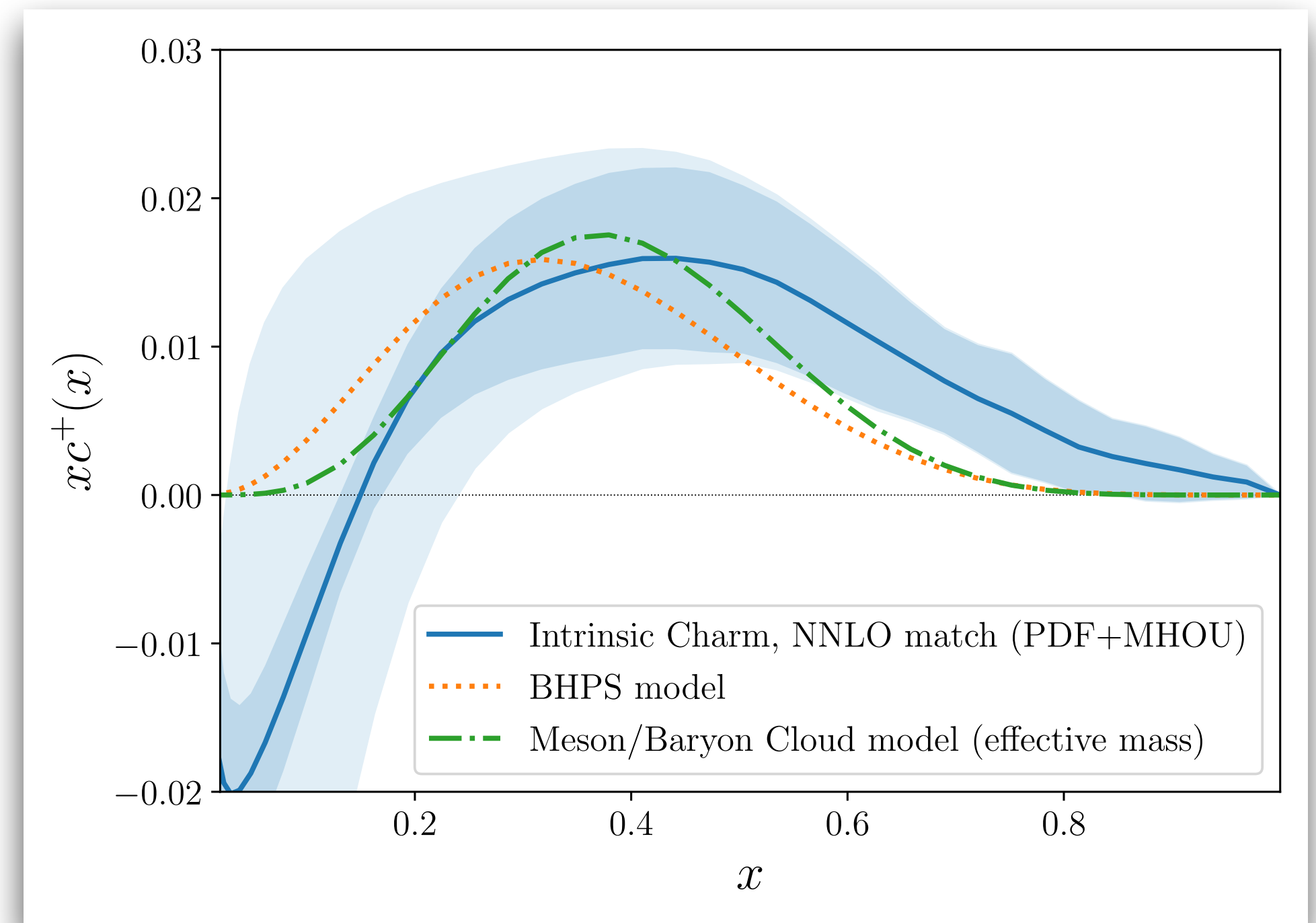
- ▶ **Meson Baryon** model:



Hobbs, Londergan, Melnitchouk
[\[arxiv:1311.1578\]](#)

$$xc^+ = \frac{N}{B(\alpha + 2, \beta + 1)} x^{(1+\alpha)}(1-x)^\beta$$

- ▶ Overall PDF normalisation non given by the model.
- ▶ BHPS assumes $\bar{c} = c$, not true in M/B models.
- ▶ Work in the limit $m_c \gg m_p$.



The charm asymmetry: $c^- \neq 0$?

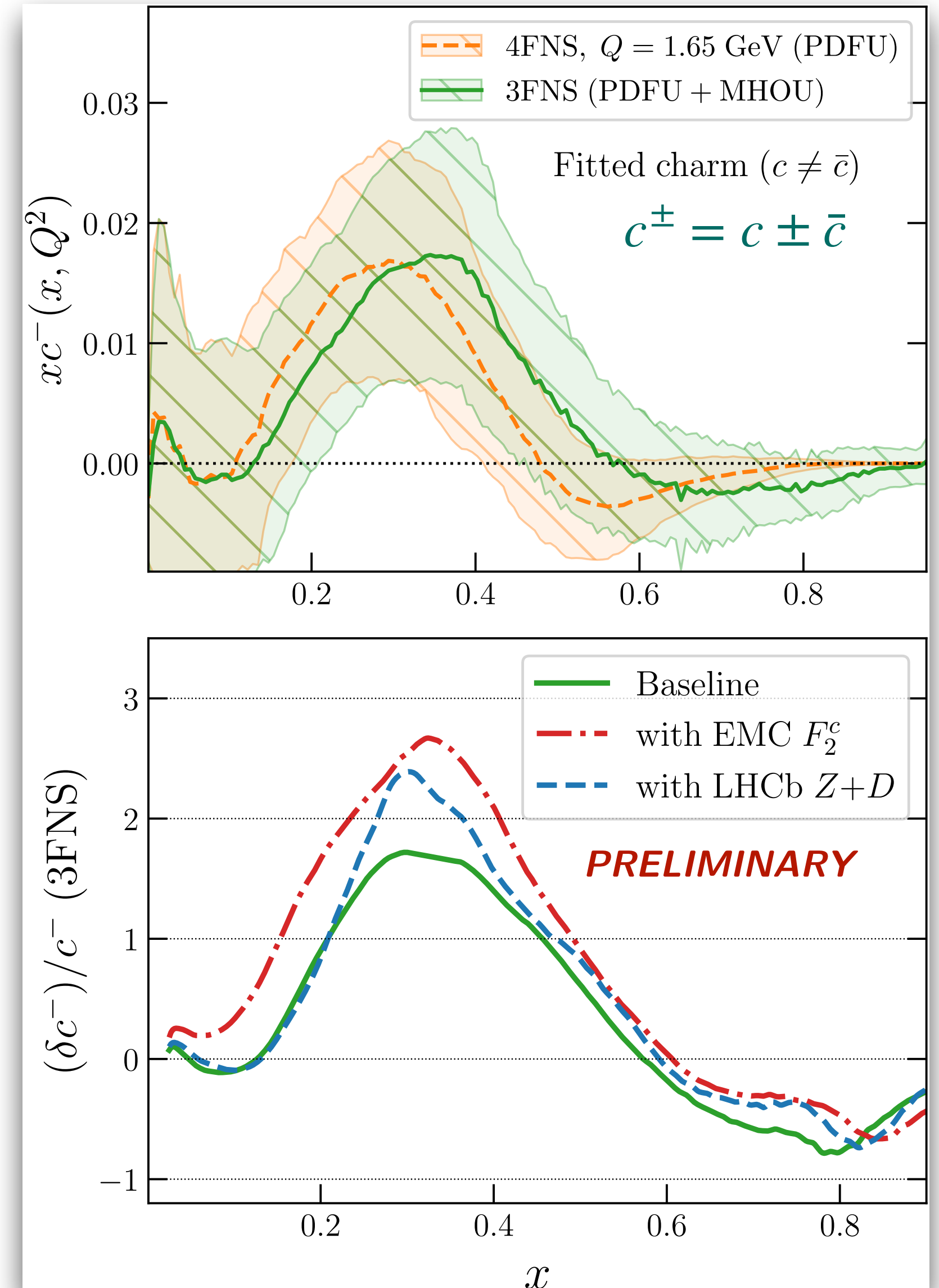
- ▶ The ultimate test to probe a possible IC would be to find a **charm asymmetry** $c \neq \bar{c}$ also in $n_f = 3$ scheme.
- ▶ pQCD can also generate an heavy quark asymmetry, but only through higher order corrections $\mathcal{O}(\alpha_s^2)$.
- ▶ Such asymmetry will be **small** and with a **vanishing integral**, but can be probed.



Let's repeat our analysis now dropping the assumption $c \neq \bar{c}$.

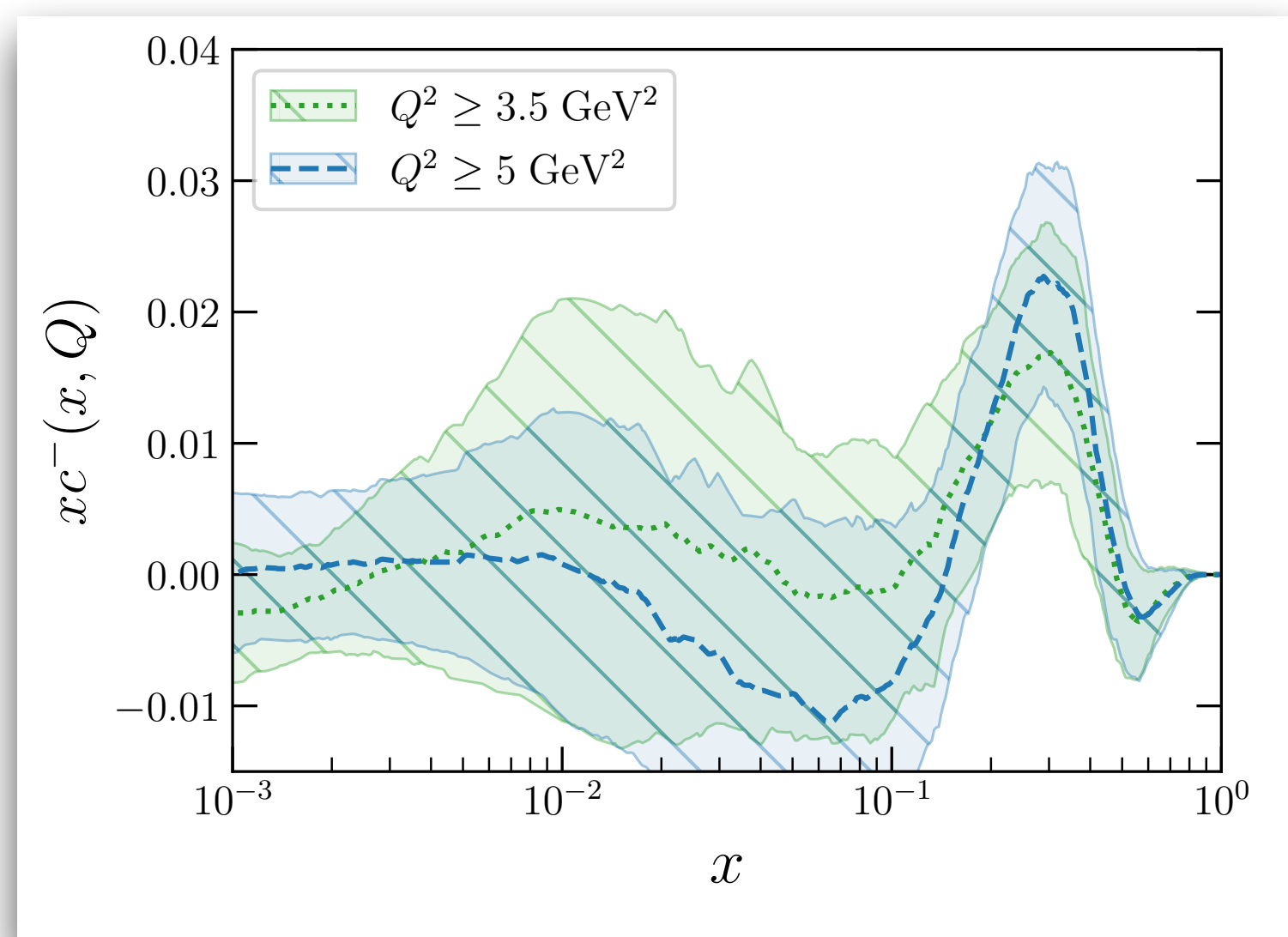
The intrinsic charm valence in the proton
[in preparation]

Ball, Candido ,Cruz-Martinez, Forte, Giani , Hekhorn, GM , Nocera, Rojo, Stegeman.



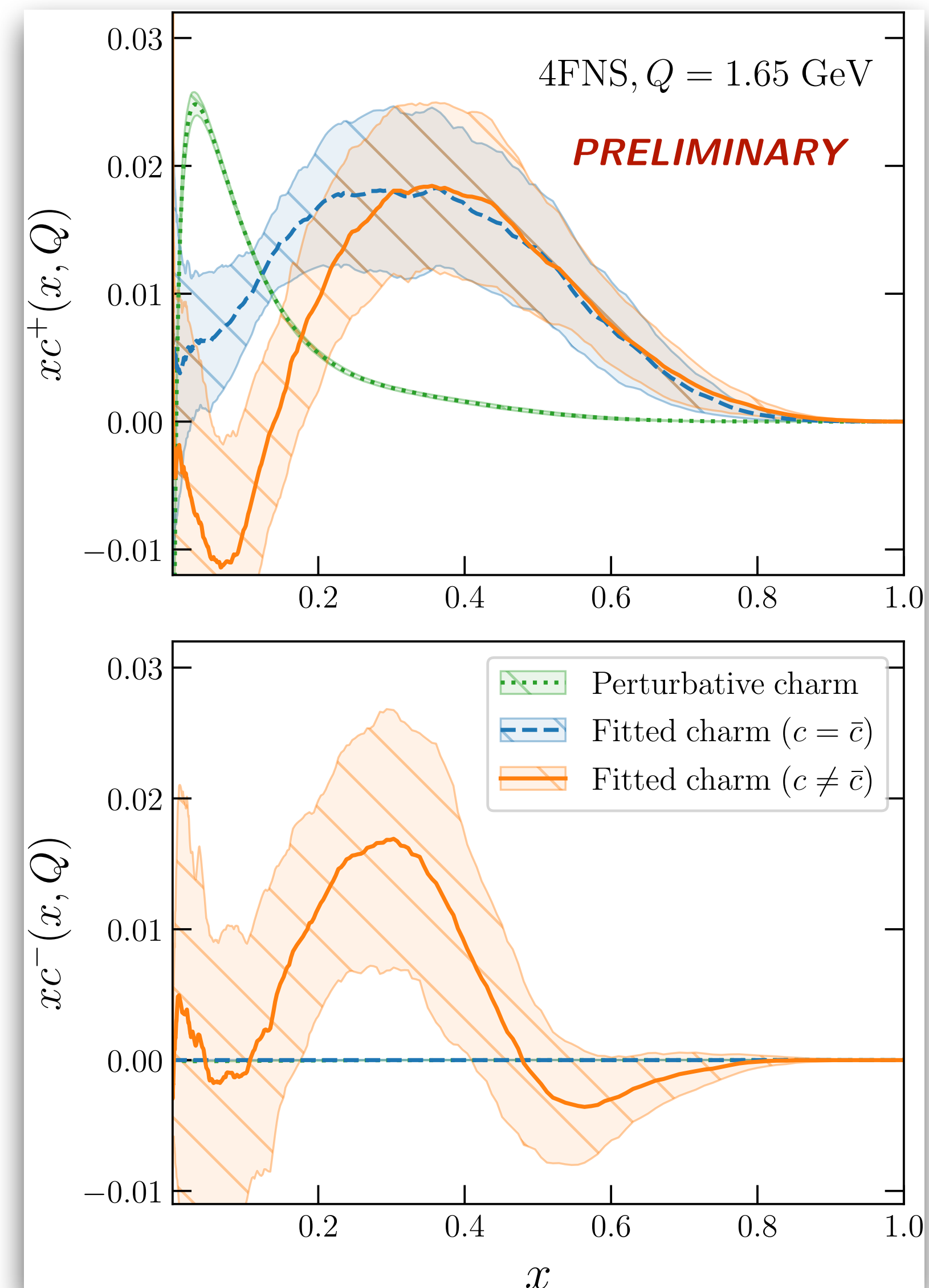
The charm asymmetry: $c^- \neq 0$?

- ▶ Total charm c^+ is **not changing** drastically once fitted c^- is allowed. Better overall agreement with the experimental data.
- ▶ **Stability** upon **charm mass variation** is verified.
- ▶ Low Q^2 data play a mild role. Collider data favour a larger charm asymmetry.

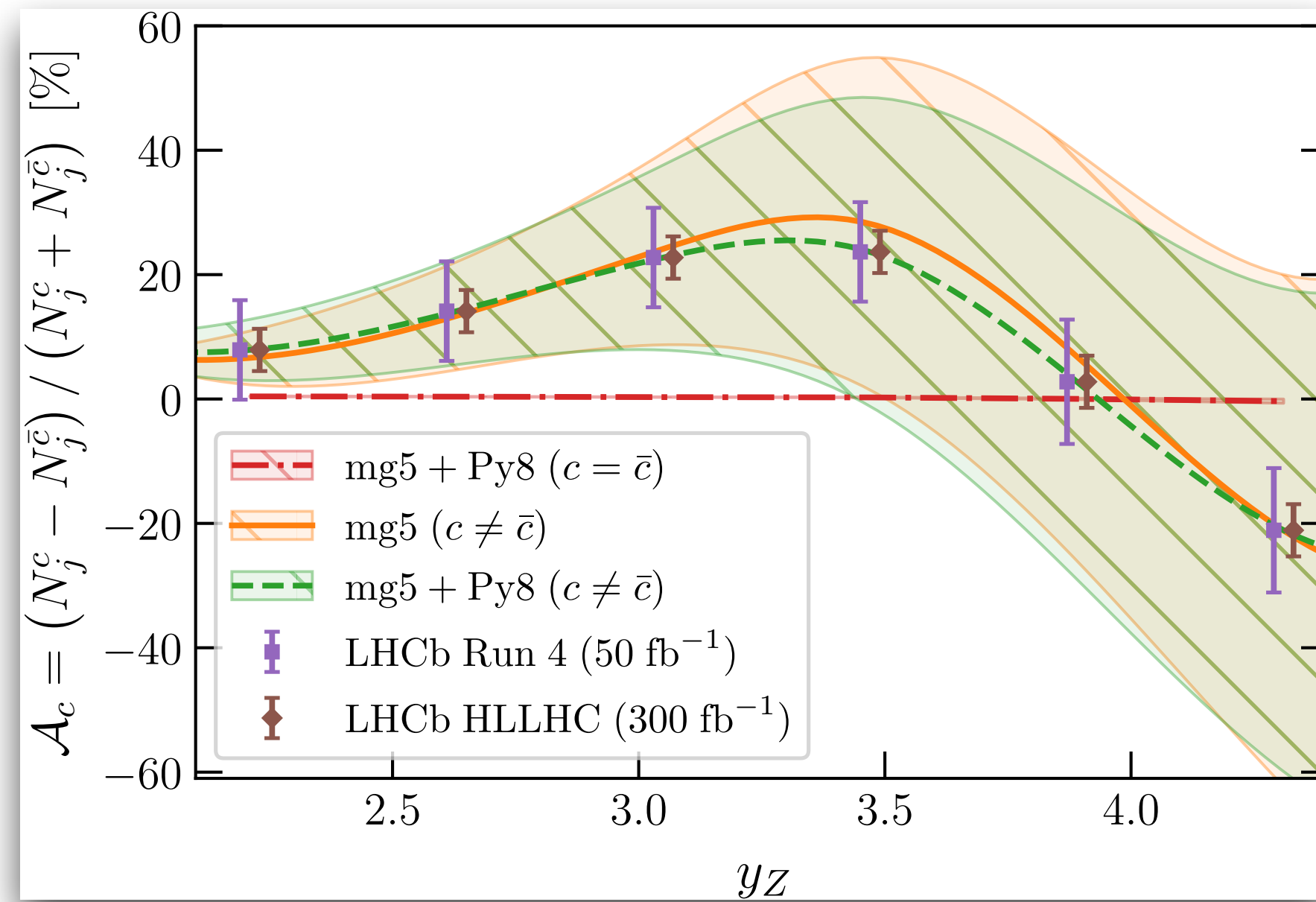


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Future experimental tests on IC

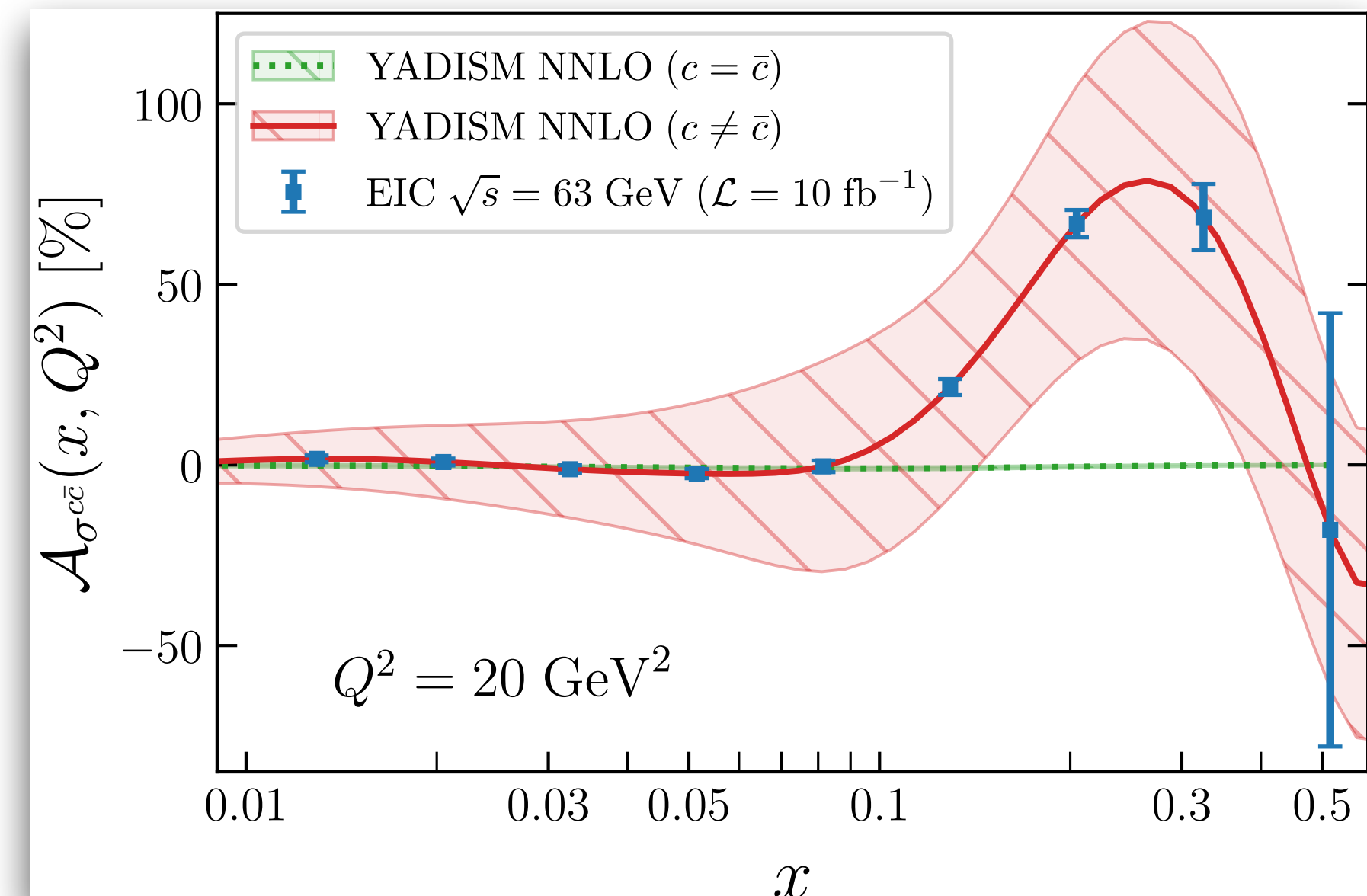


1. At **LHC Run3** and **HL-LHC**:

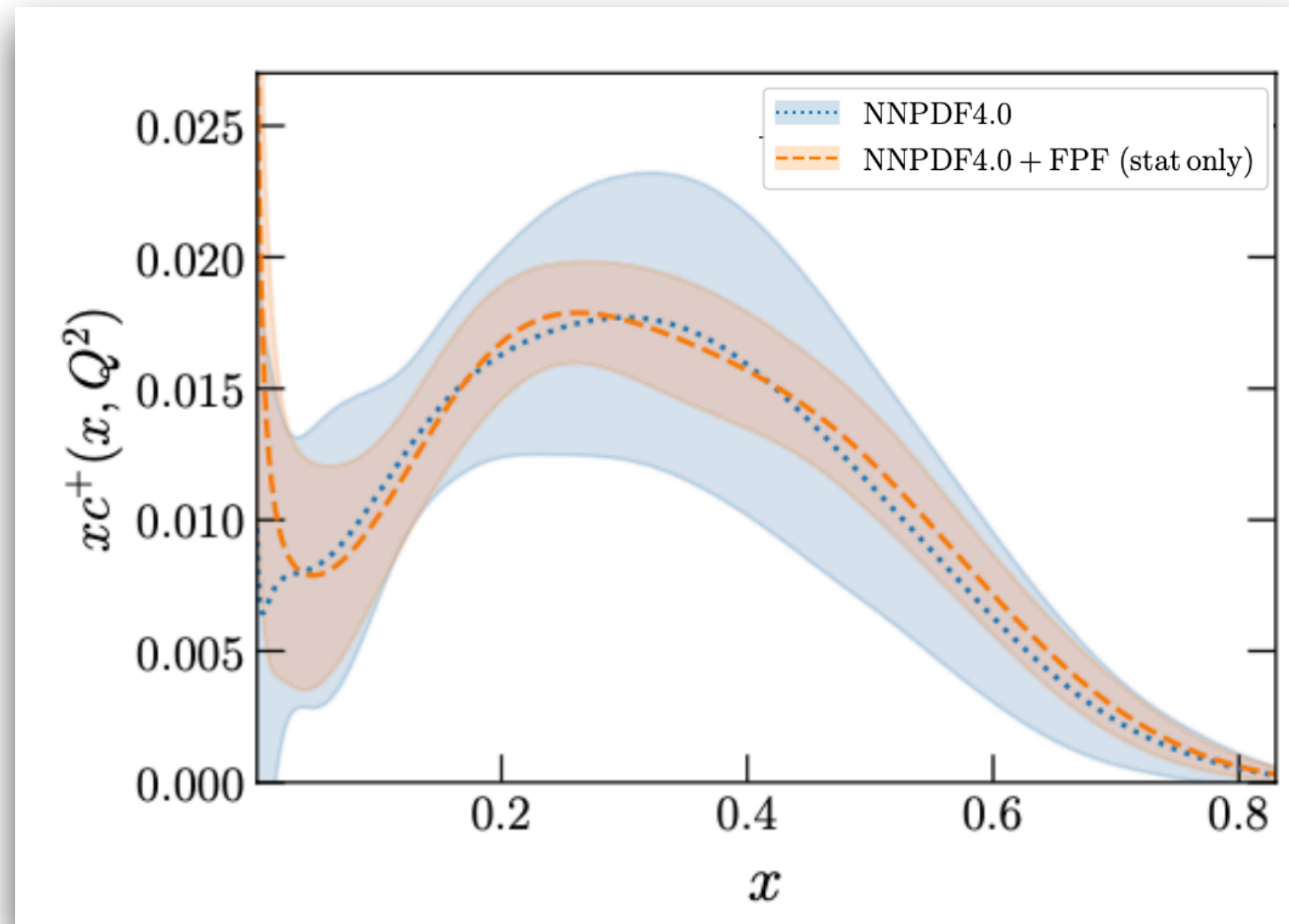
- ▶ Measuring $W^\pm + b$ and $Z + c$ in the forward region can constrain better the total c^+ IC.
- ▶ **Flavour/anti-flavour tagging** of the final **jet** can be used to construct an asymmetry.
- ▶ This can be a sensible probe to a non vanishing $c^- \neq 0$ IC.

2. At **EIC (Neutral currents DIS)**:

- ▶ Measuring a **charm** reduced cross section can constrain the total c^+ IC.
- ▶ **Flavour/anti-flavour tagging** of the final **jets** can be used to construct an asymmetry.
- ▶ Measuring the parity violating structure function xF_3 can also probe $c^- \neq 0$ IC.

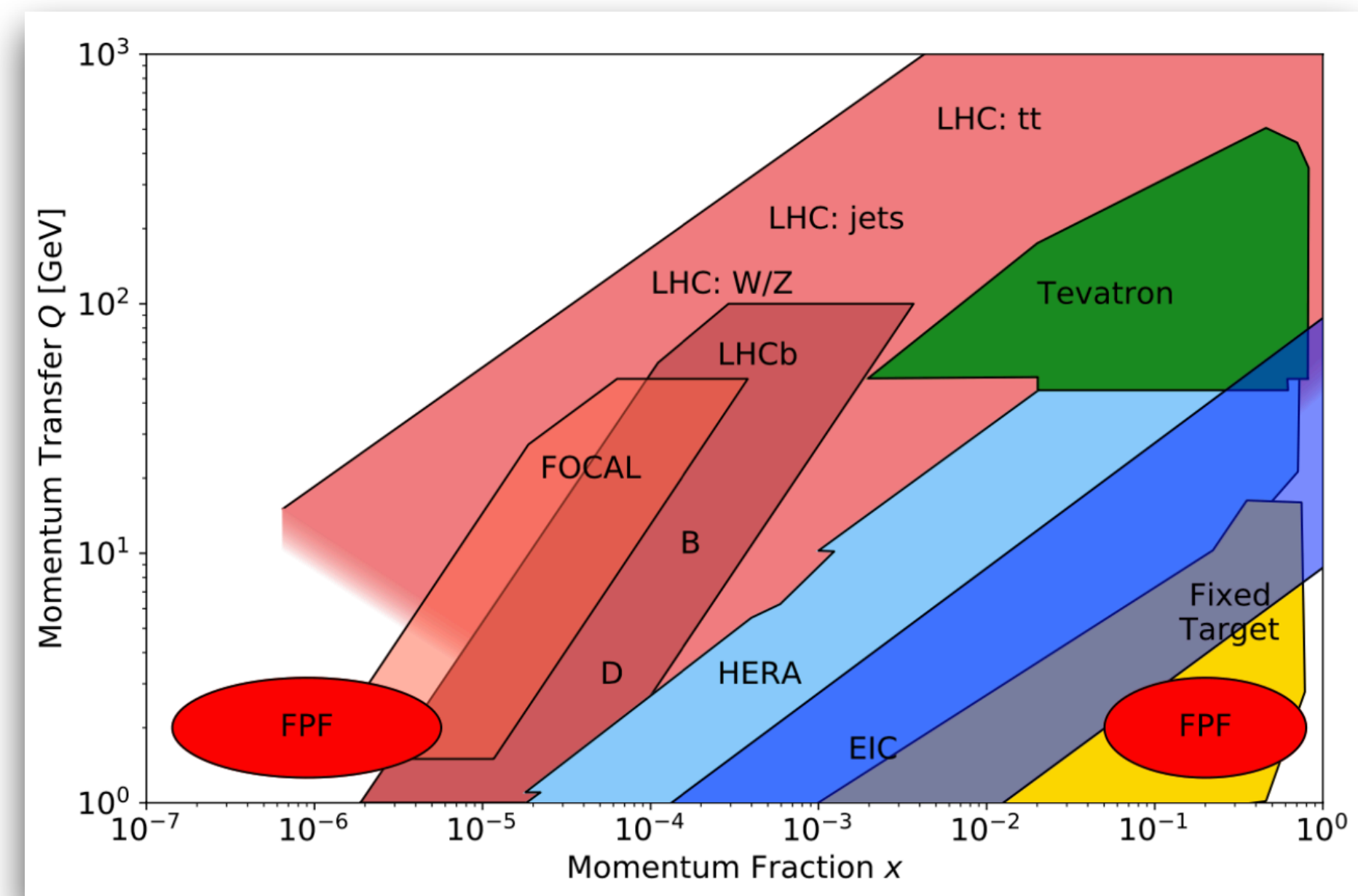


Future experimental tests on IC



3. At **LHC FASER2 (FPF)**:

- ▶ Neutrino DIS can be a direct probe of a flavour asymmetry in the target. ($F_{2,charm}^\nu - F_{2,charm}^{\bar{\nu}} \propto c^-$ at LO).
- ▶ Neutrino beams coming from LHC pp collisions can be sensitive to IC.
- ▶ Good kinematic coverage: probing small- x gluon and **large- x quark PDFs**.

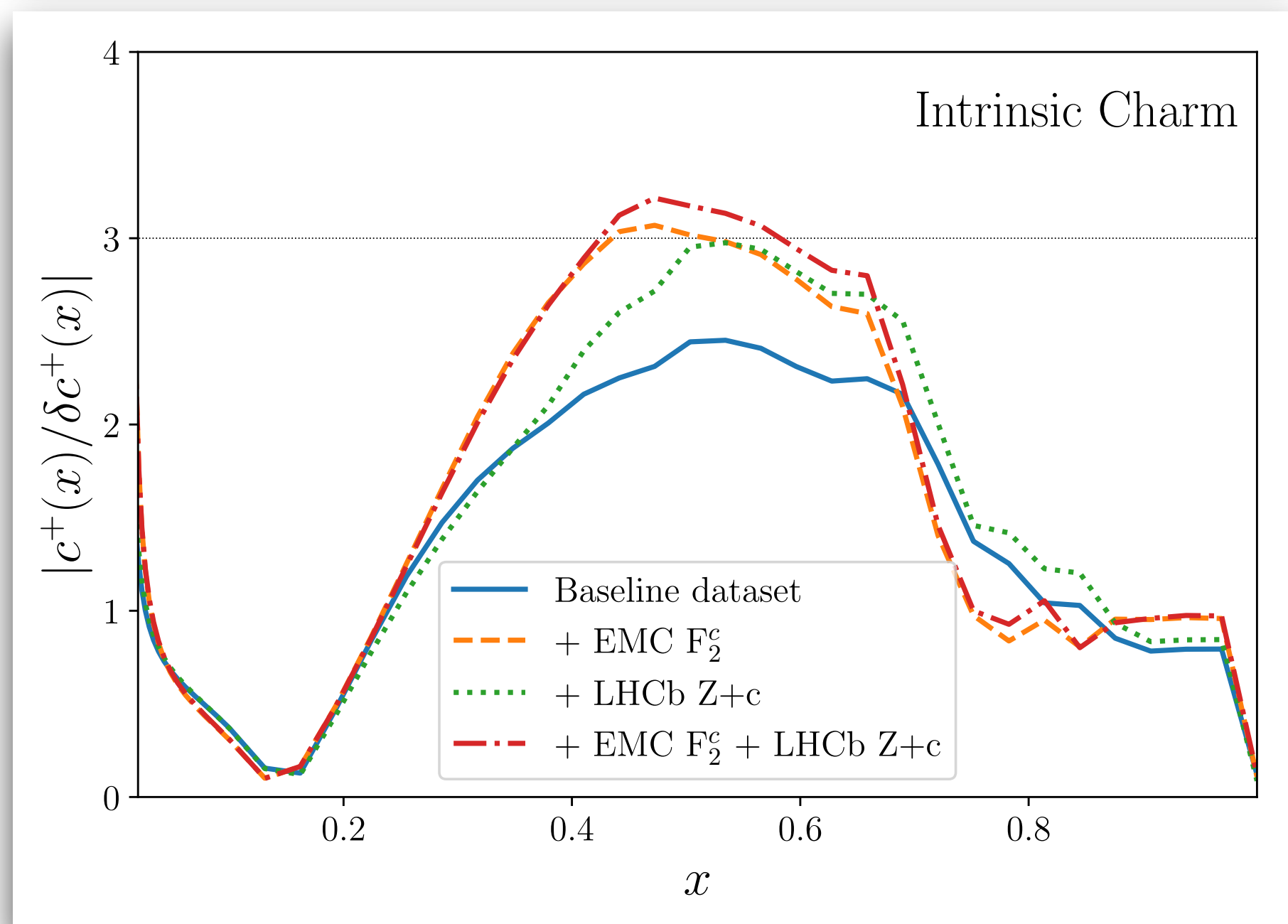
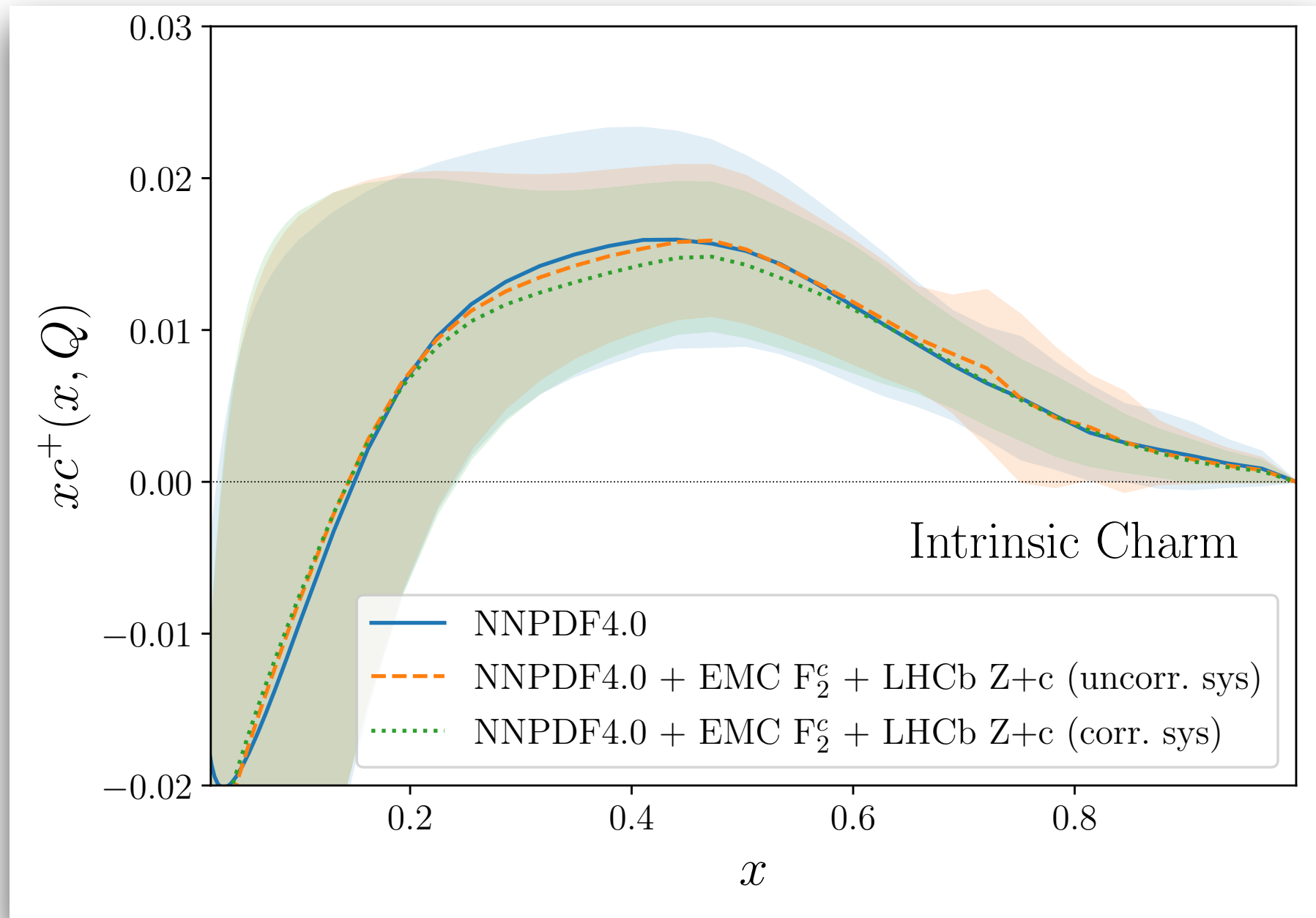


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Summary

First evidence of IC

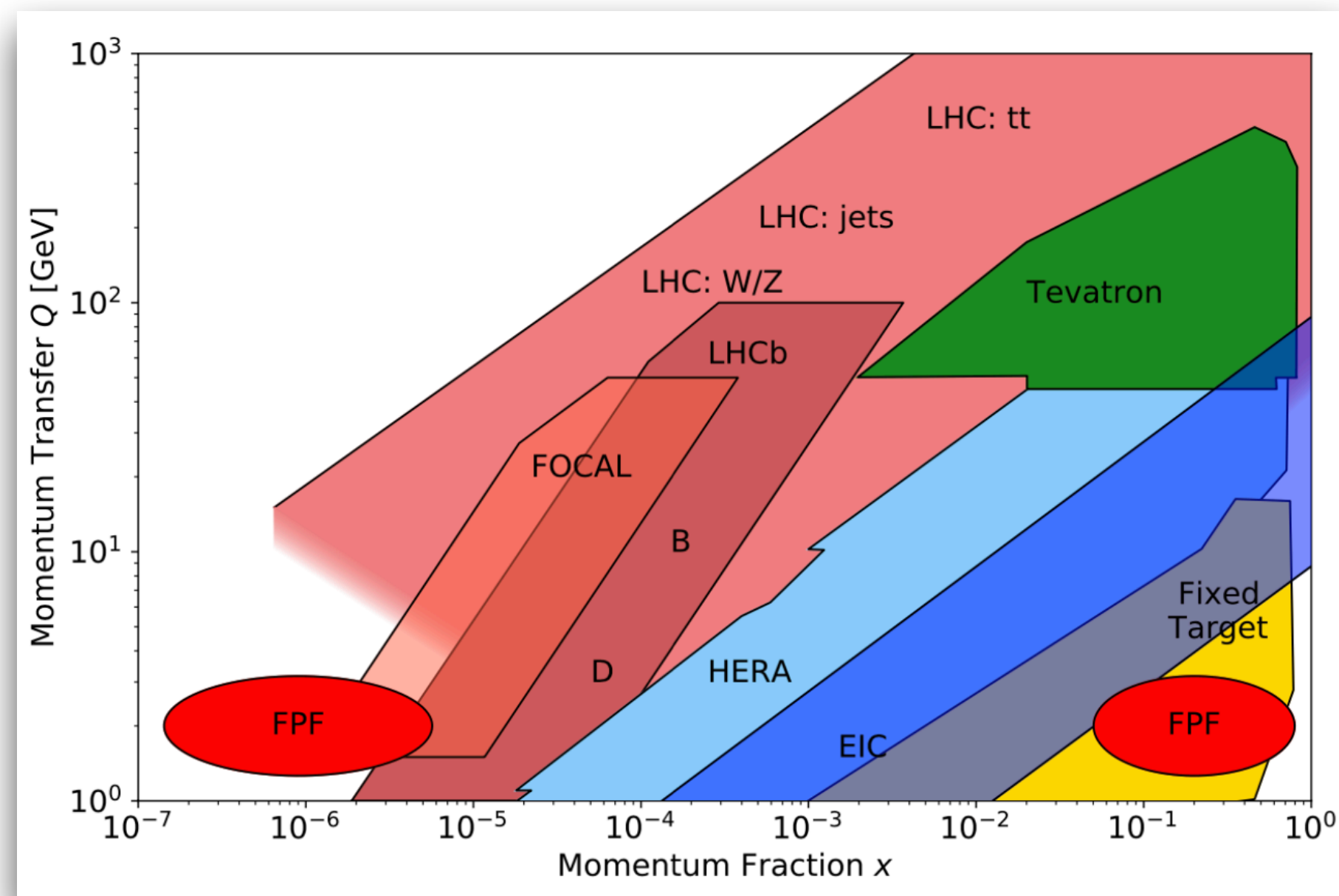
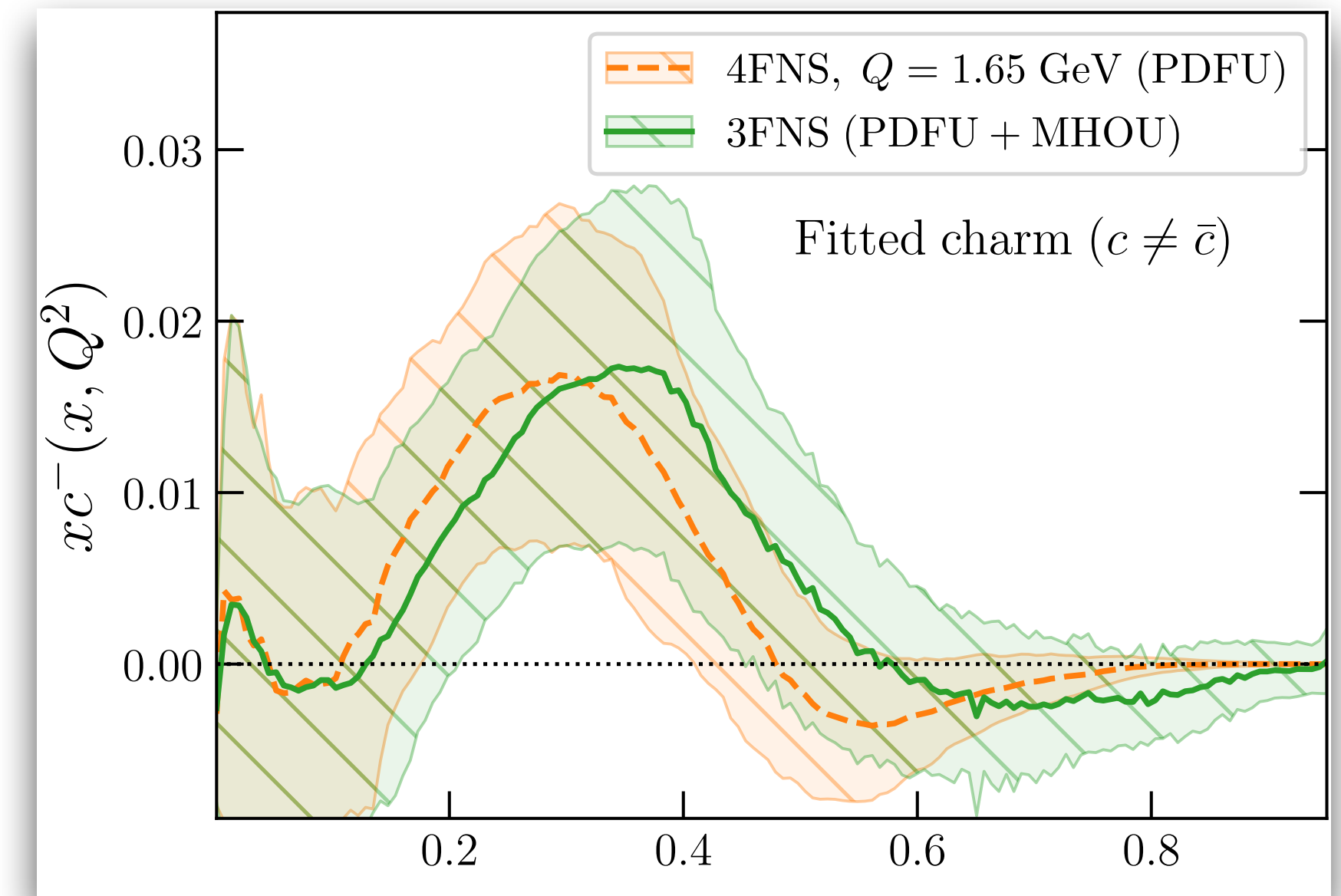
- ▶ Heavy quark PDFs have a non negligible impact in the current global PDF fits.
- ▶ The NNPDF releases allow both for perturbative and fitted charm.
- ▶ We have found a first evidence of a **non zero intrinsic charm c^+ in $n_f = 3$** , carrying a momentum fraction total within 1%.
- ▶ IC is relevant in the **large- x** region and display a **valence-like** structure.
- ▶ IC is in **agreement** with most recent **LHCb results** and stable upon inputs variations.



Future tests on IC

Ongoing work:

- ▶ A first attempt to **fit c^-** indicates that the **IC asymmetry** can be non vanishing. *The intrinsic charm valence in the proton [in preparation]*
- ▶ Impact of the N3LO pQCD corrections might be relevant. Need to include properly **Theory uncertainties** in PDF fits.



Future colliders:

- ▶ In the (*near*) future we will be able to test and **constrain** further both the **total charm PDF** and its possible **asymmetry**.
- ▶ This will require dedicated experimental analysis and accurate **flavour jet tagging**.

