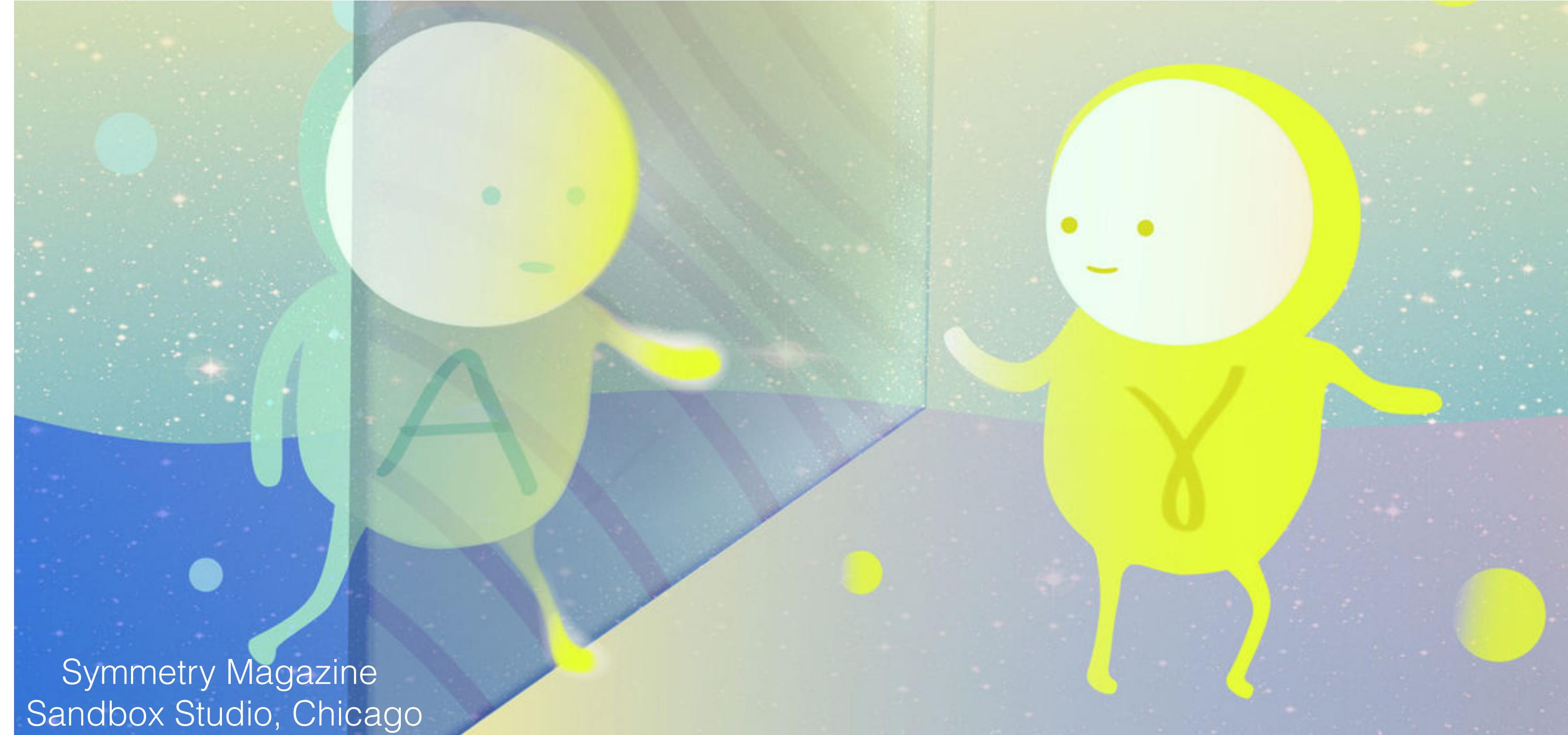


## The QCD axion:

theory, phenomenology, and searches



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September 14th, 2023



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# The QCD Axion: foundations

The axion is a light pseudo-scalar arising within QCD ( $m_a \lesssim 10^{-2}$  eV)

Strong-CP problem: non-observation of neutron electric dipole moment (EDM)

$$\mathcal{L} = -\frac{\alpha_s}{8\pi} \theta G_{\mu\nu}^a \tilde{G}_a^{\mu\nu}$$

$\alpha_s$ : Strong force coupling

$G_{\mu\nu}^a$ : Gluon field strength

The parameter  $\theta$  itself is not physical as  $\bar{\theta} = \theta - \arg \det(M)$

The value of  $\bar{\theta}$  controls the matter-antimatter asymmetry in QCD

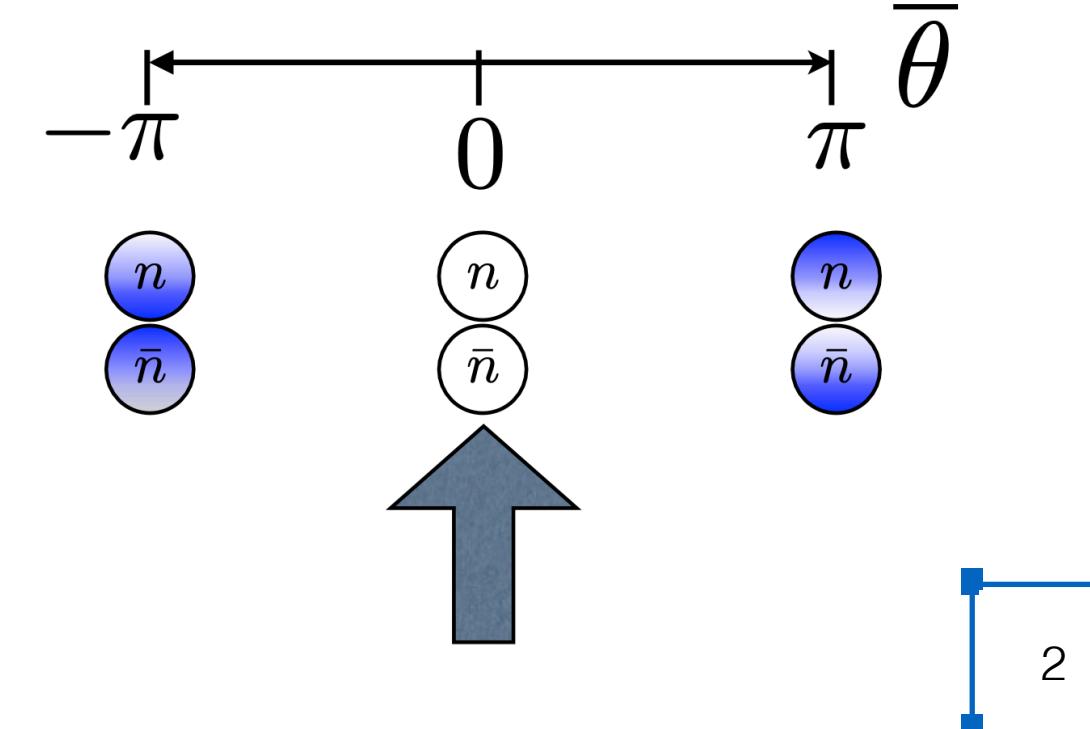
The term predicts a EDM  $d_n = 2.4 \times 10^{-16} \bar{\theta}$  e cm [Pospelov & Ritz 1999]

Experiments give

$|d_n| < 1.8 \times 10^{-26}$  e cm [Abel+ 2020]

No observation of C and CP violation in Nature

$$|\bar{\theta}| \lesssim 10^{-10}$$



# The QCD Axion: foundations

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We introduce the axion  $\phi$  through the Lagrangian terms:

$$\mathcal{L} \supset \frac{1}{2} \partial_\mu \phi \partial^\mu \phi - \frac{\alpha_s}{8\pi f_a} \frac{\phi}{f_a} G_{\mu\nu}^a \tilde{G}_a^{\mu\nu}$$

The QCD theta term is minimized dynamically to  $\langle \phi/f_a \rangle = -\bar{\theta}$

This makes the neutron electric dipole moment (EDM) vanish

→ **PQ mechanism** [Peccei & Quinn 1977; Wilczek 1978; Weinberg 1978]

QCD axion mass [Weinberg 1978]

$$m_a = \frac{\Lambda_{\text{QCD}}^{3/2}}{f_a} \sqrt{\frac{m_u m_d}{m_u + m_d}} \approx 5.7 \mu\text{eV} \left( \frac{10^{12} \text{ GeV}}{f_a} \right)$$

# The QCD Axion: foundations

Complex scalar field (PQ field)  $\Phi(x) = \frac{r(x) + f_a}{\sqrt{2}} e^{i\phi(x)/f_a}$

$$\mathcal{L}_{\text{PQ}} = |\partial_\mu \Phi|^2 - \lambda \left( |\Phi|^2 - \frac{v_a^2}{2} \right)^2 + \text{SM couplings}$$

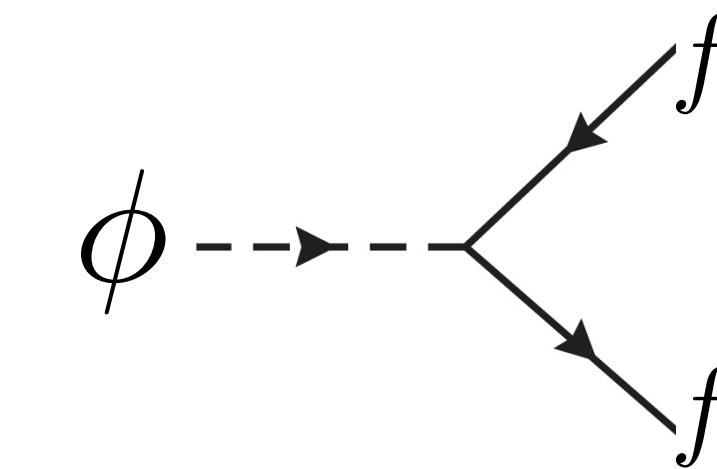
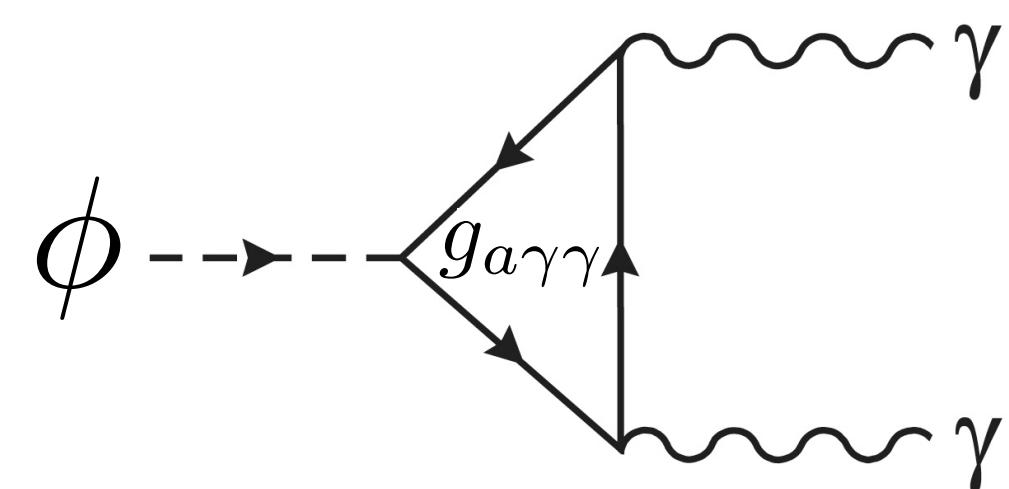
- **KSVZ** axion [Kim 79; Shifman, Vainshtein, Zakharov 80]  
Aka “hadronic axion”: lepton couplings are suppressed.
- **DFSZ** axion [Zhitnitsky 80; Dine, Fischler, Srednicki 81]  
Allows to decouple the PQ breaking scale from the electroweak scale.
- **REVIEW** on axion models [di Luzio+ 2020 (+LV)]

# The QCD Axion: foundations

Effective Lagrangian below QCD, e.g. [Georgi+ 1986]:

$$\mathcal{L} \supset \frac{1}{2} \partial_\mu \phi \partial^\mu \phi - V(\phi) + \frac{1}{4} g_{a\gamma\gamma} \phi \tilde{F}_{\mu\nu} F^{\mu\nu} + c_e \frac{\partial_\mu \phi}{2f_a} \bar{e} \gamma^\mu \gamma_5 e + c_N \frac{\partial_\mu \phi}{2f_a} \bar{N} \gamma^\mu \gamma_5 N$$

↑                      ↑                      ↑                      ↑  
 Self-interacting potential    Axion-photon coupling    Axion-electron coupling    Axion-nucleon coupling



The coupling depends on color & EM anomalies  $\frac{E}{N}$ :  $g_{a\gamma\gamma} = \frac{\alpha_{\text{EM}}}{2\pi f_a} \left( \frac{E}{N} - \frac{2}{3} \frac{4+z}{1+z} \right)$

# Cosmology of the axion

Large occupation number:  $\mathcal{N} \sim \lambda_c^{-3}(\rho_{\text{DM}}/m_a) \approx 10^{27}(\mu\text{eV}/m_a)^4$

→ We are dealing with a **classical field**

Equation of motion in a FLRW background:

$$\ddot{\phi} - \frac{1}{a^2} \nabla^2 \phi + 3H\dot{\phi} + \frac{\partial V(\phi, T)}{\partial \phi} = 0$$

**Zero** temperature:  $V(\phi, T = 0) = V_{\text{CPT}}(\phi)$  [Di Vecchia & Veneziano 1980]

**Finite** temperature, QCD instantons  
effectively couple the axion to the plasma

$$m_a^2(T) \approx \min \left( m_a^2, \frac{\Lambda^4}{f_a^2 (T/\Lambda)^n} \right)$$

[Gross+ 1981]

The exact assessment comes from lattice QCD computations [Borsanyi+ 2016]

# Cosmology of the axion

Naïve computation on super-horizon scales  $\nabla^2\phi \approx 0$

Coherent oscillations in the axion field when

$$m_a(T_{\text{osc}}) \sim 3H(T_{\text{osc}})$$

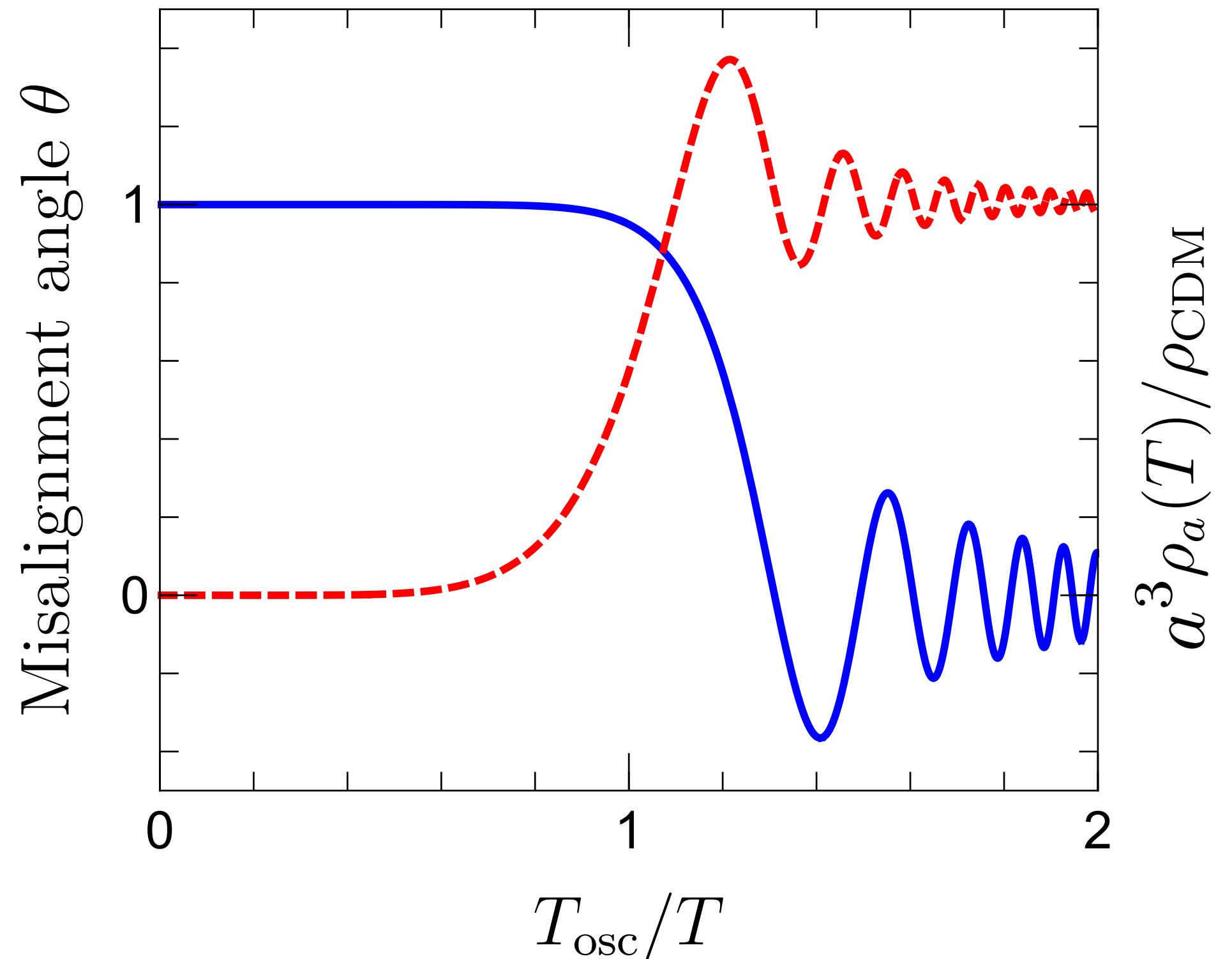
Axion angle:  $\theta \equiv \phi/f_a$

Energy density:  $\rho_a = \langle \frac{1}{2}\dot{\phi}^2 + V(\phi, T) \rangle$

In practice we get **two** different scenarios:

Scenario 1: The PQ symmetry broke during inflation  $f_a \gtrsim H_I$

Scenario 2: The PQ symmetry broke after inflation  $f_a \lesssim H_I$



# Scenario 1: The PQ symmetry broke during inflation

Linearized EoM:

$$\ddot{\phi} + 3H\dot{\phi} + m_a^2(T)\phi = 0$$

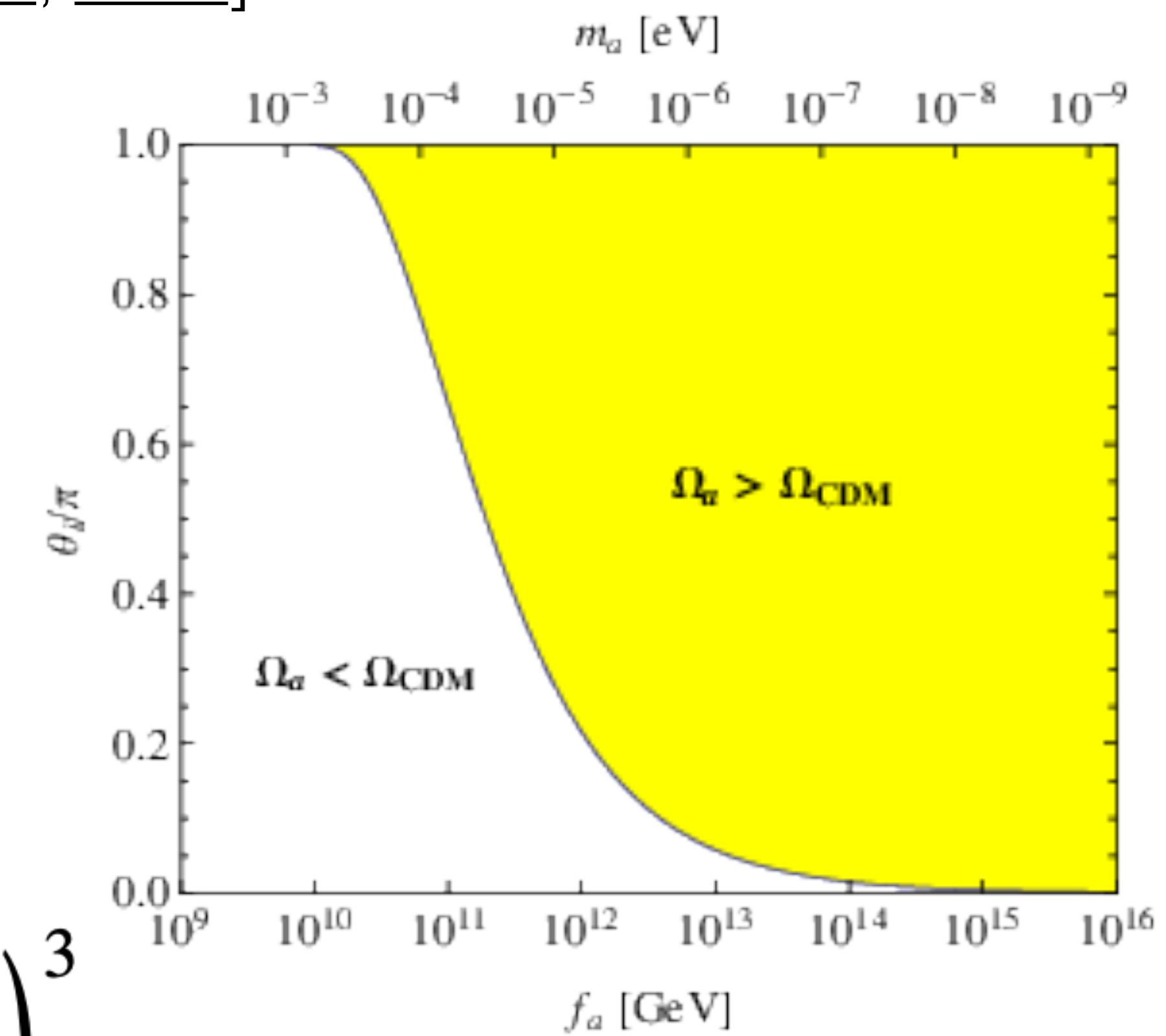
Non-linear terms might matter [**LV & Gondolo, PRD 2009, 2010**]

Axion energy density at onset of oscillations:

$$\rho_a(T_{\text{osc}}) \approx \frac{1}{2}m_a^2(T_{\text{osc}})f_a^2\theta_i^2$$

We demand that the axion density explains the **dark matter abundance**:

$$\rho_{\text{DM}}(1+z_{\text{MR}})^3 = \frac{m_a}{m_a(T_{\text{osc}})}\rho_a(T_{\text{osc}})\frac{g_{*s}(T_{\text{MR}})}{g_{*s}(T_{\text{osc}})}\left(\frac{T_{\text{MR}}}{T_{\text{osc}}}\right)^3$$



[**LV & Gondolo, PRD 2009**]

# Scenario 1: The PQ symmetry broke during inflation

Quantum fluctuations during inflation

$$\delta\phi = \frac{H_I}{2\pi}$$

Leads to axion isocurvature perturbations

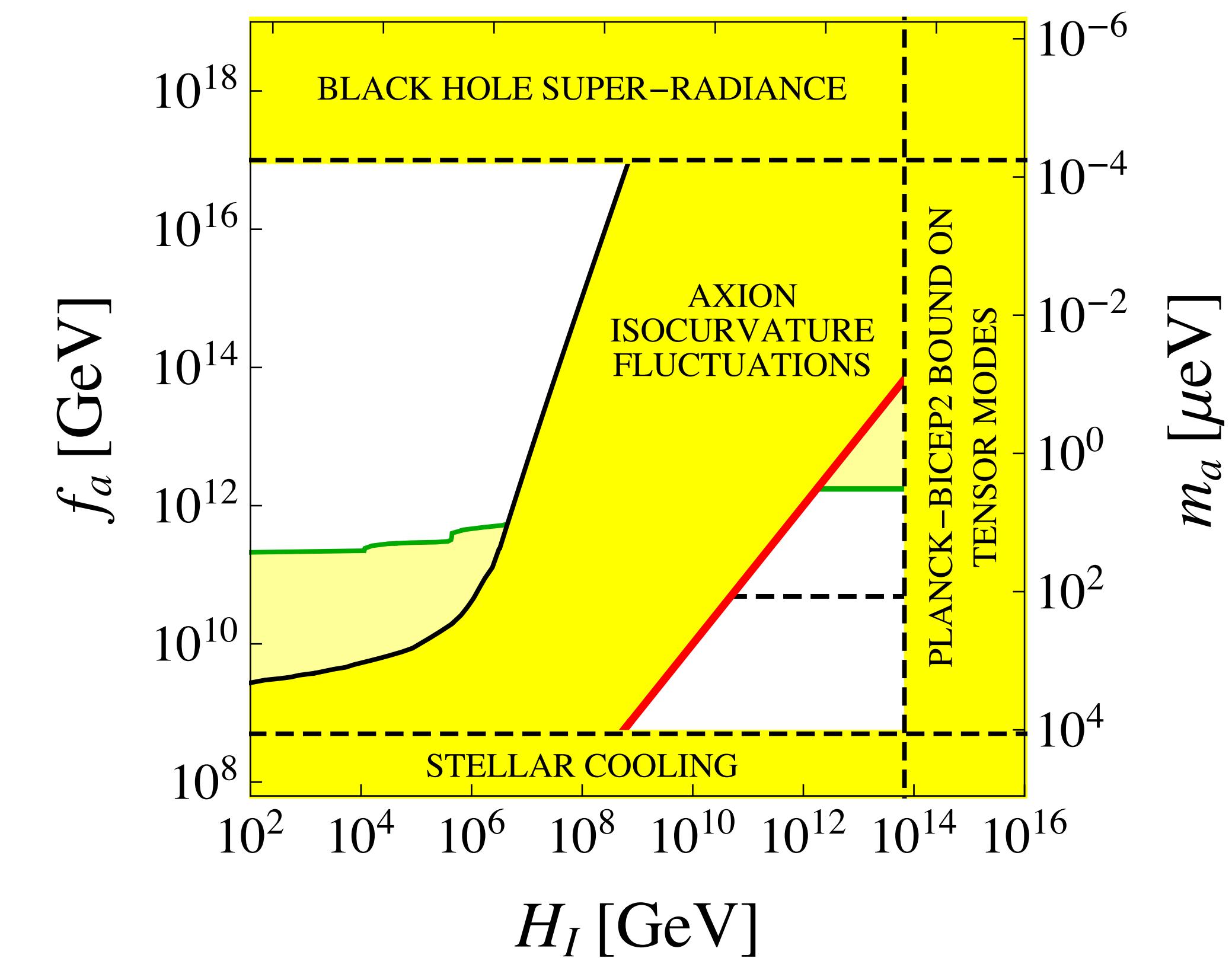
$$\delta\rho_a^{\text{iso}} \sim m_a^2 f_a \theta_i \frac{H_I}{2\pi}$$

CMB isocurvature bounds yield:

$$H_I \lesssim 2 \times 10^9 \text{ GeV} \left( \frac{f_a}{10^{16} \text{ GeV}} \right)^{0.45}$$

Detection of a larger scale of inflation would disprove this scenario

e.g. [Gondolo & **LV**, PRL 2014]



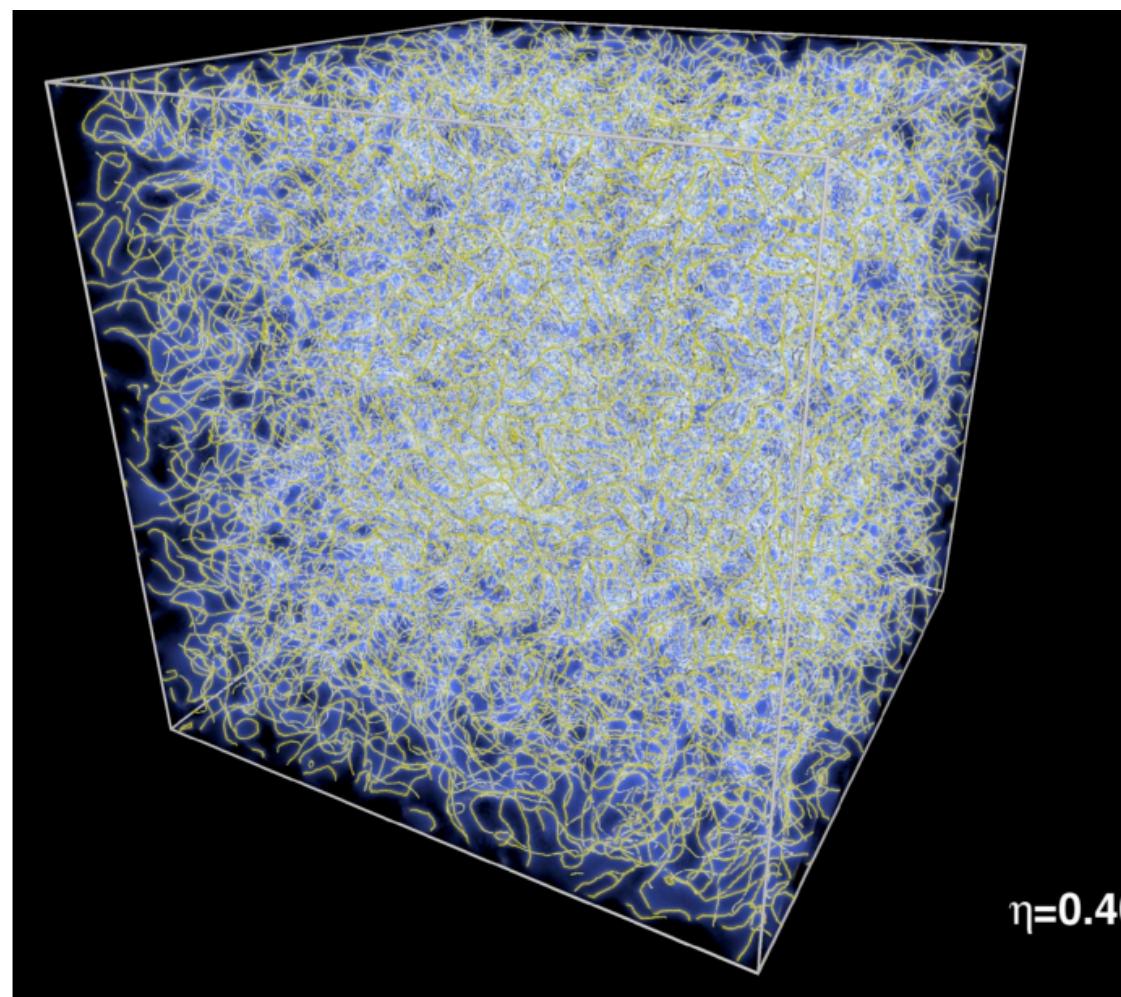
# Scenario 2: The PQ symmetry broke after inflation

EoM for the PQ field:  $\ddot{\Phi} - \frac{1}{a^2} \nabla^2 \Phi + 3H\dot{\Phi} + 2\lambda\Phi \left( |\Phi|^2 - \frac{f_a^2}{2} \right) = 0$

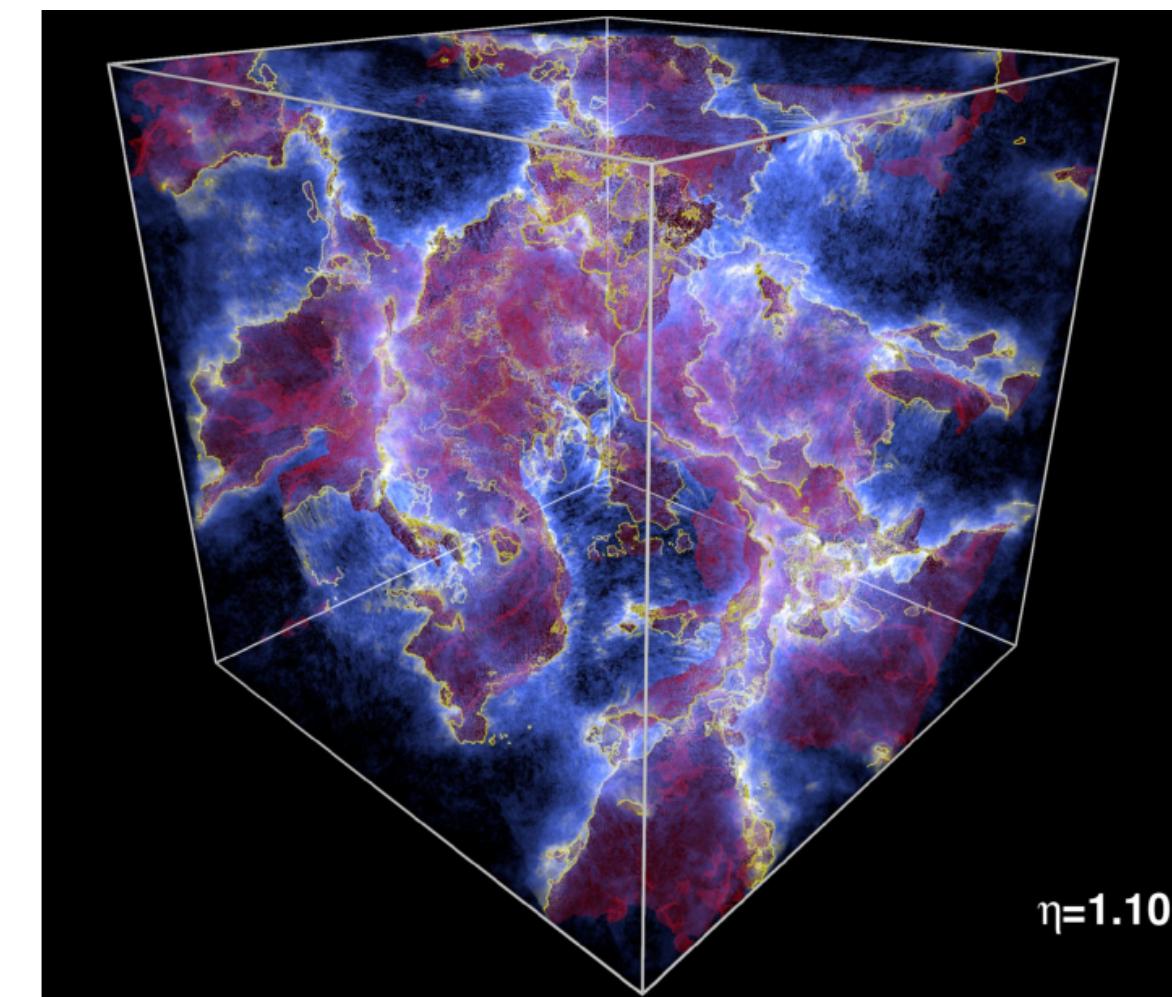
String network quickly enters a scaling regime with  $\rho_{\text{scaling}} = \xi\mu/t^2$

String energy per unit length:  $\mu \equiv \int d^2x H = \pi f_a^2 \ln(\sqrt{2\lambda}f_a/H)$

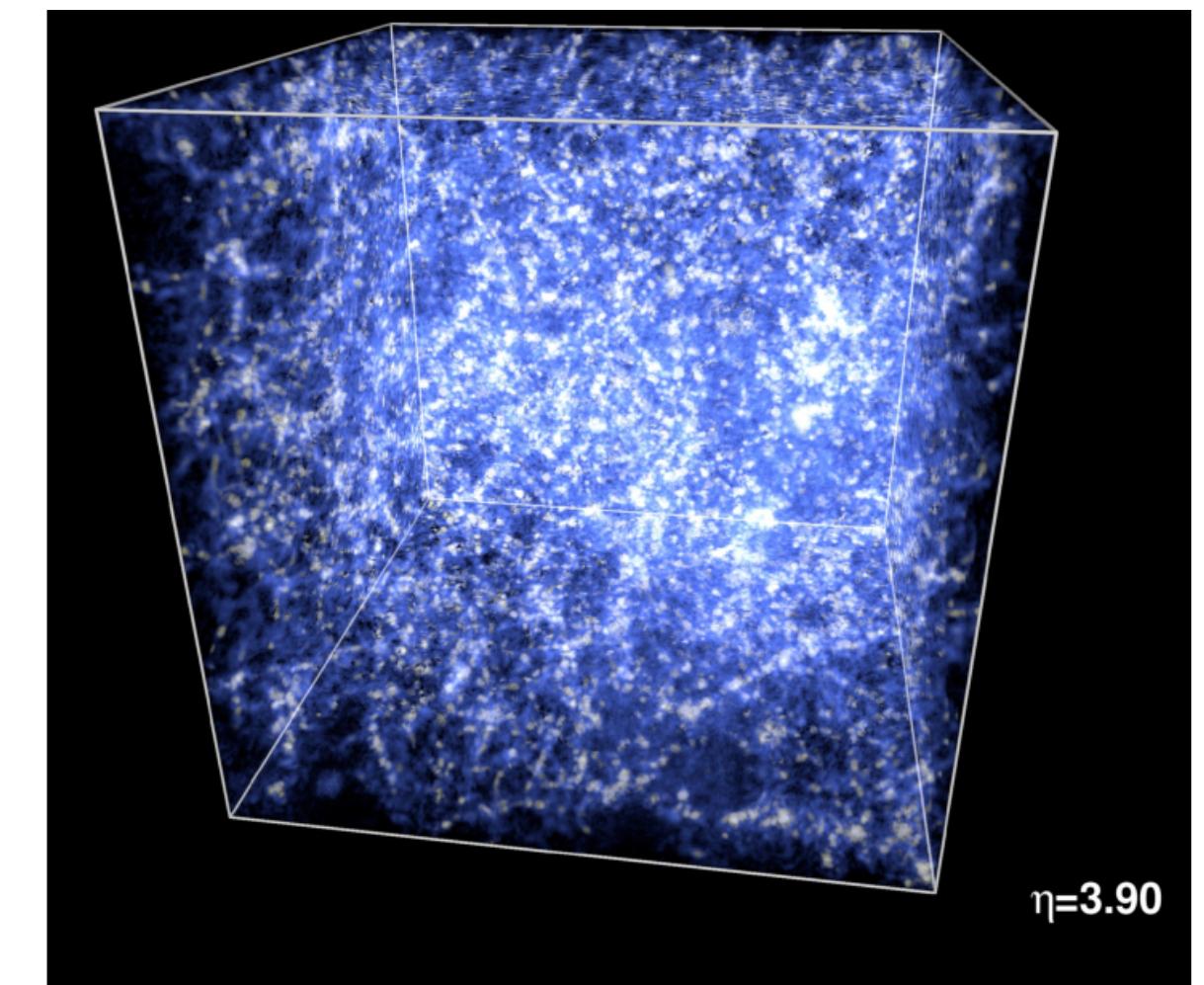
String length per Hubble volume  $\xi$



Before QCD PT



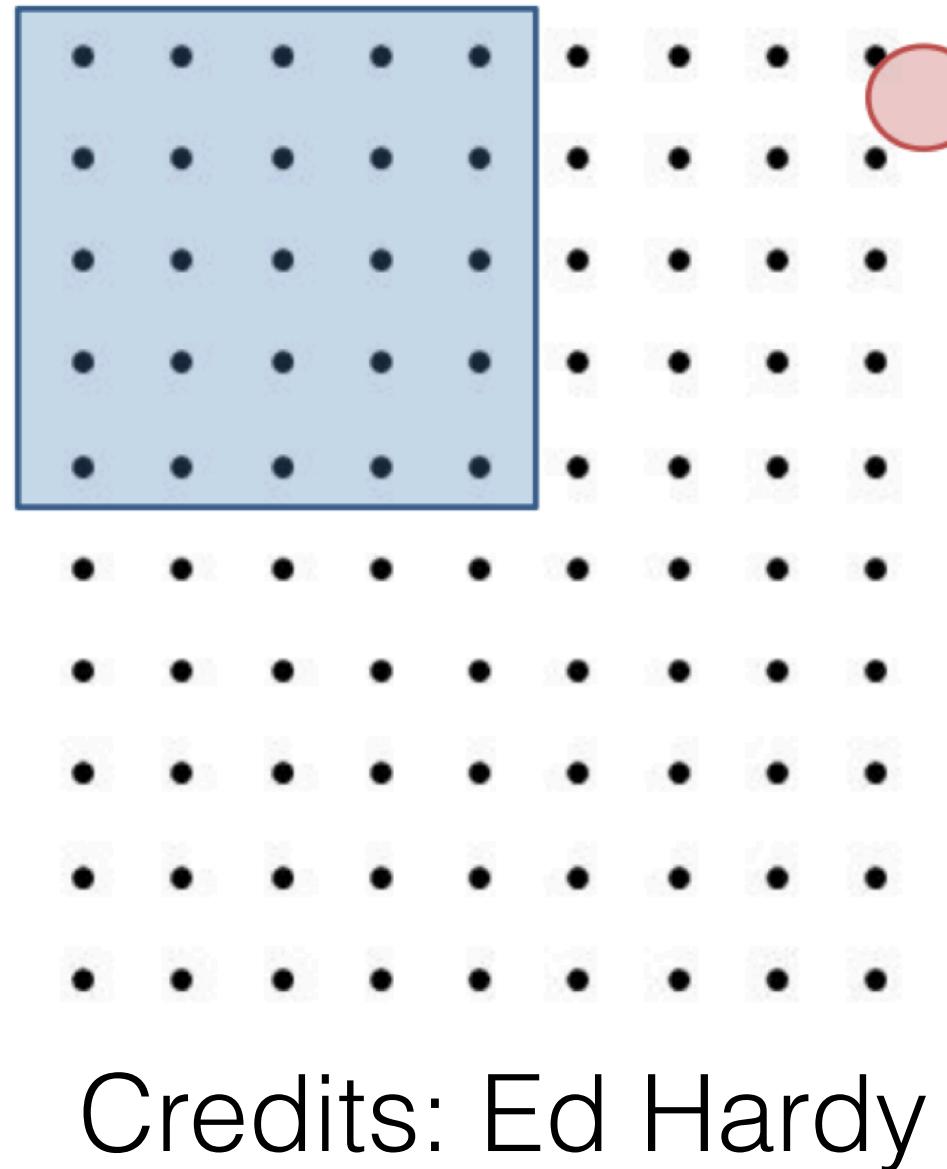
During QCD PT



After QCD PT

Figures from [Buschmann+ 2020]

# Various groups work on axion string simulations: no agreement



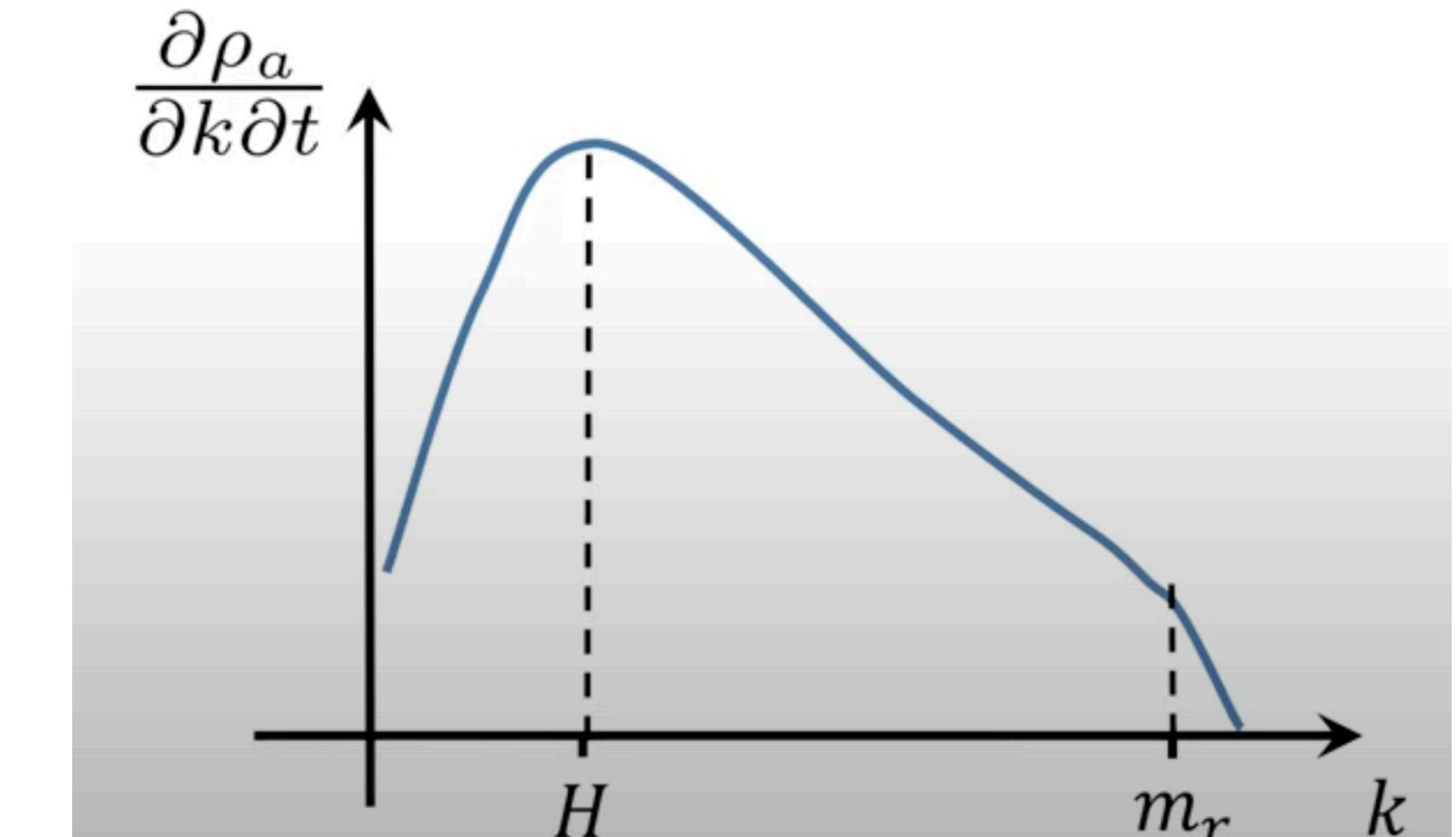
simulations:  $\log \alpha \leq \log\left(\frac{\text{blue square}}{\text{red circle}}\right) \simeq 7$

Yet  $\log(f_a t) \approx 70$  needed

$$\frac{\partial \rho_a}{\partial k \partial t} \propto \frac{1}{k^q}$$

Energy spectrum  
of emitted axions

Credits: Ed Hardy



The spectrum peaks at  $k \approx H$  (string curvature). Cutoff at  $k \approx \sqrt{2\lambda} f_a$

“Effective Nambu–Goto string” [Davis 1985, 1986; Battye & Shellard 1994a, 1994b]

$q > 1$  leads to more axions and a higher DM mass  $\sim$  meV [Gorghetto+ 2018, 2021]

An IR spectrum is also found in [Hiramatsu+ 2011]

$q = 1$  “Collapsing loops” with  $\xi \approx 1$ . [Harari & Sikivie 1987; Hagmann+ 1999]

Supported recently by [Buschmann+ 2020, 2022]

# Axion miniclusters (scenario 2)

In post-inflation symmetry breaks, fluctuations are  $\mathcal{O}(1)$  for  $k \gg 2\pi/L_{\text{osc}}$

$$L_{\text{osc}} \sim 1/[a_{\text{osc}} H(T_{\text{osc}})] \sim 10^{-3} \text{ pc}$$

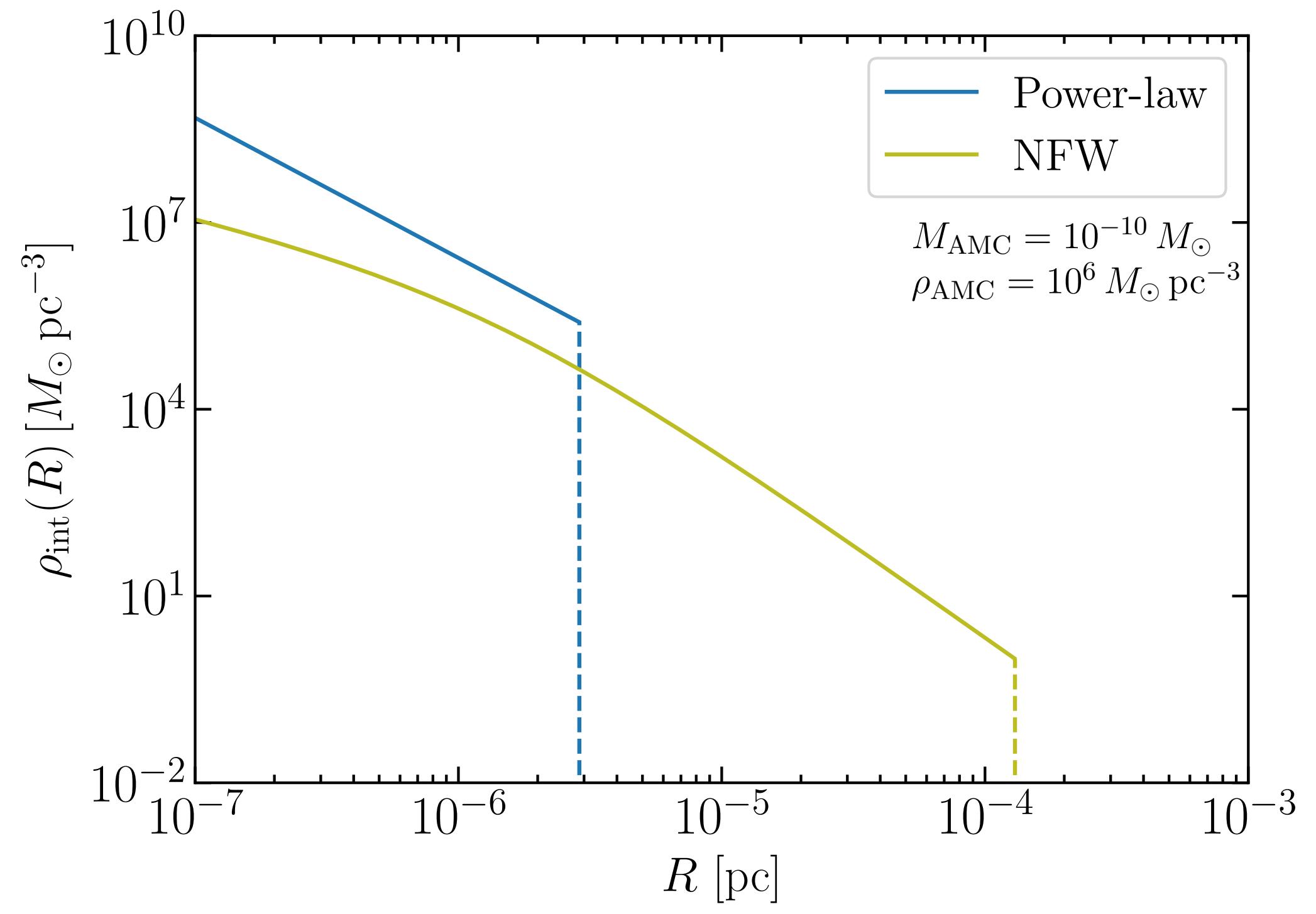
Typical minocluster mass:

$$M_{\text{mc}} = \frac{4\pi}{3} L_{\text{osc}}^3 \rho_{\text{DM}} \sim 10^{-16} M_{\odot}$$

[Hogan & Rees 1988; Kolb & Tkachev 1994]

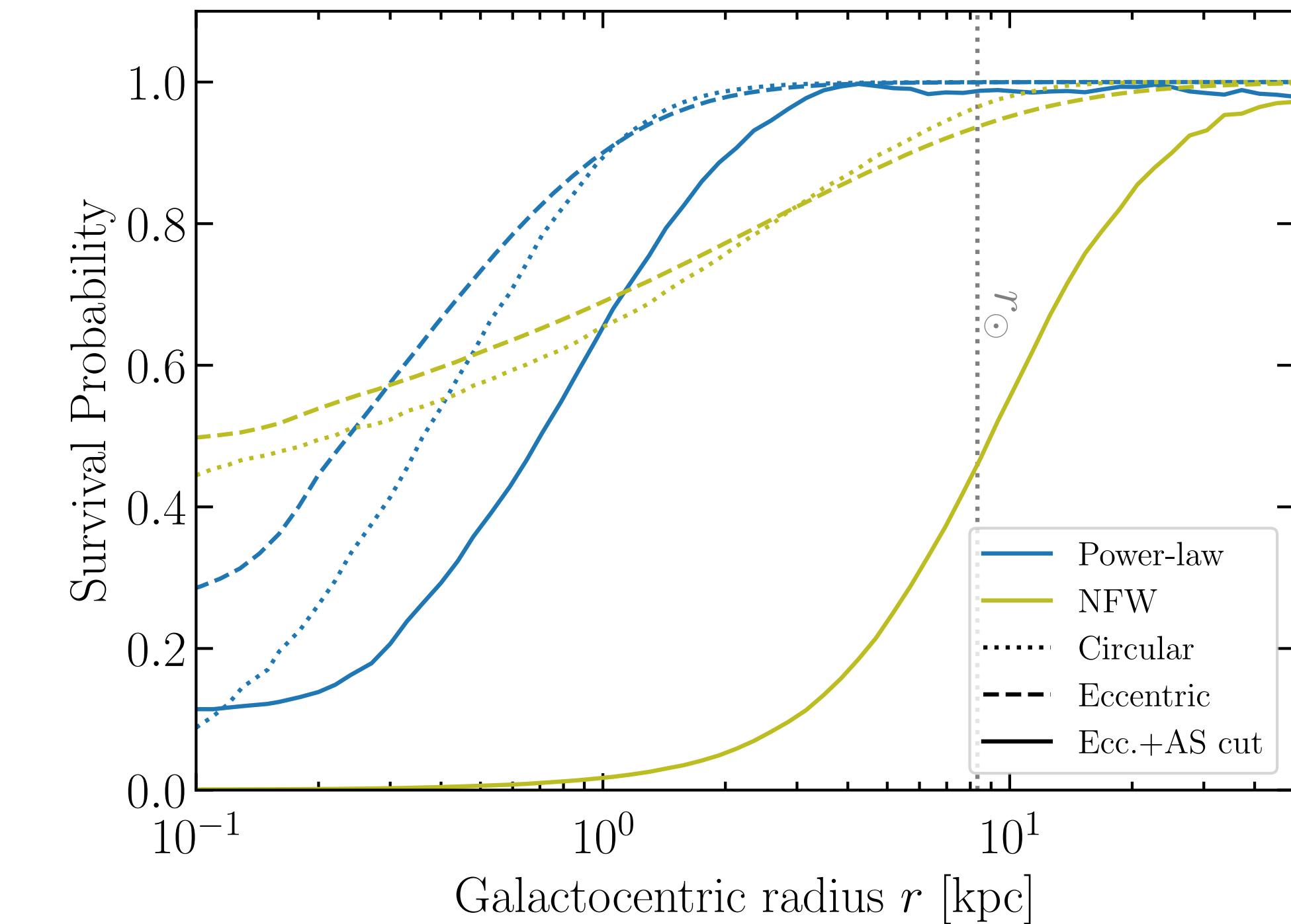
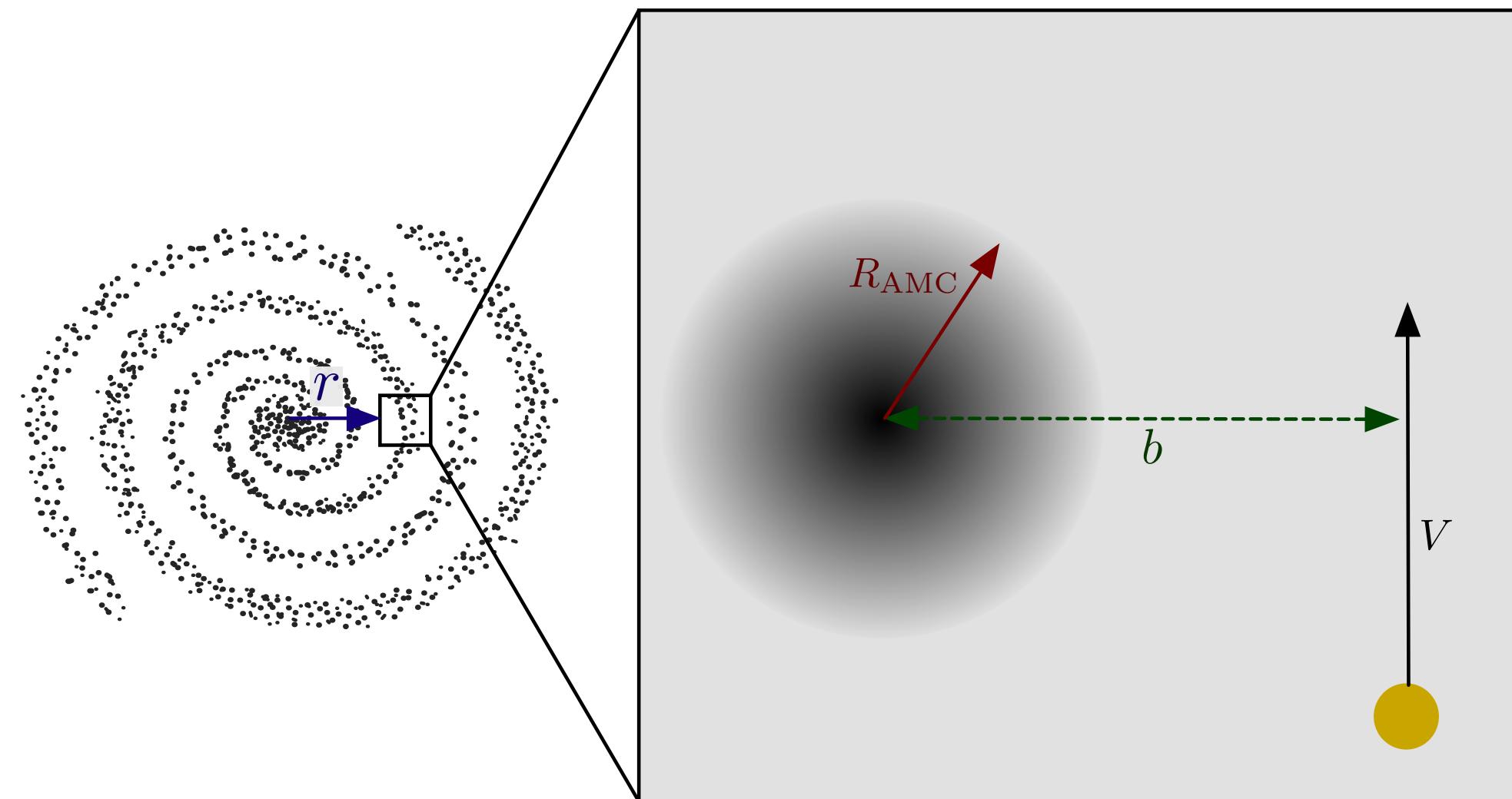
Density profile from collapse:  $\rho_{\text{mc}}(r) \propto r^{-9/4}$

After MR, miniclusters merge hierarchically to form halos with NFW-like profiles [Vaquero+ 2019]



# Axion miniclusters (scenario 2)

The abundance of miniclusters in galaxies is assessed via Monte Carlo simulations of tidal stripping



Kavanagh, Edwards, **LV**, Weniger, PRD 2020

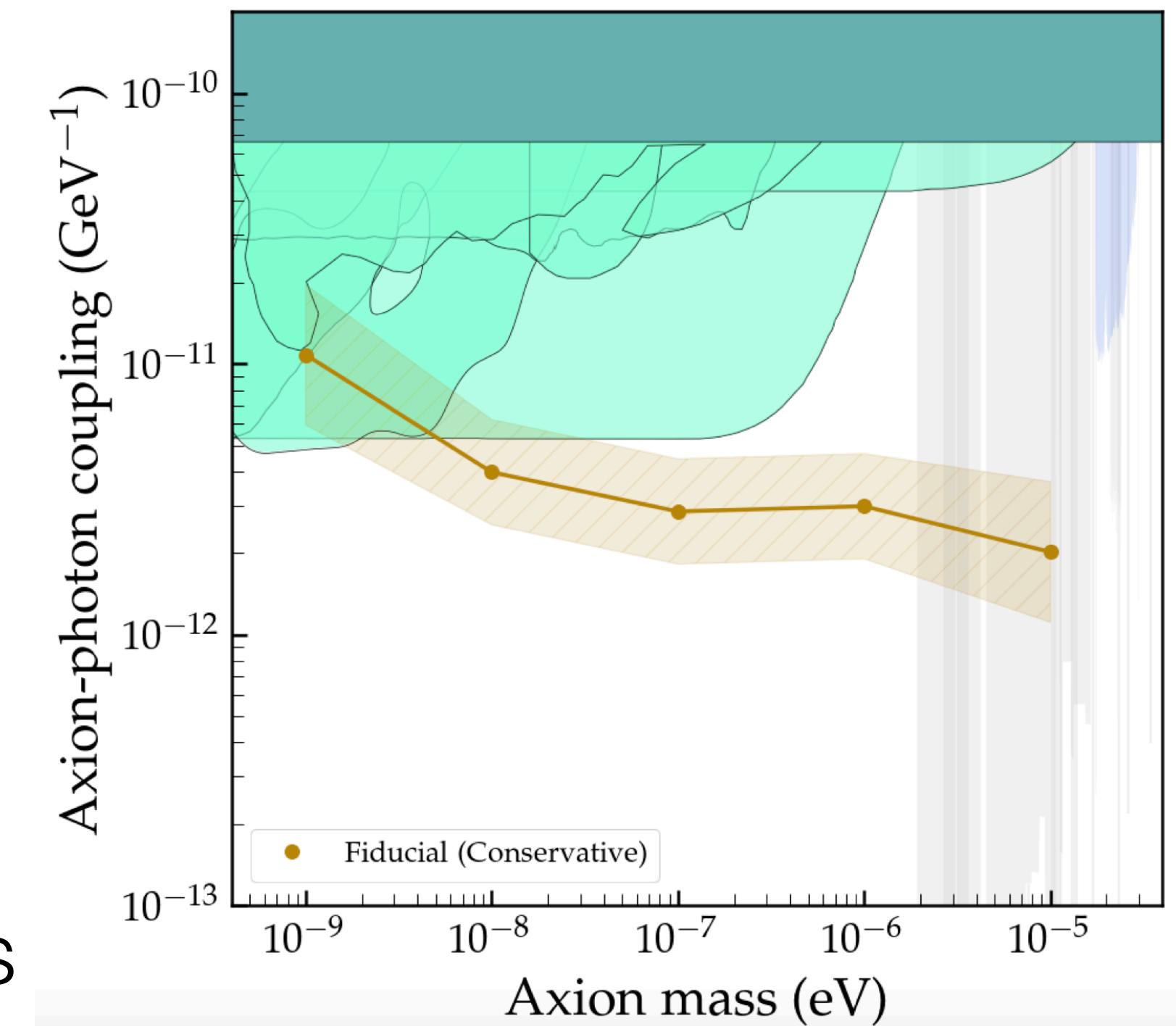
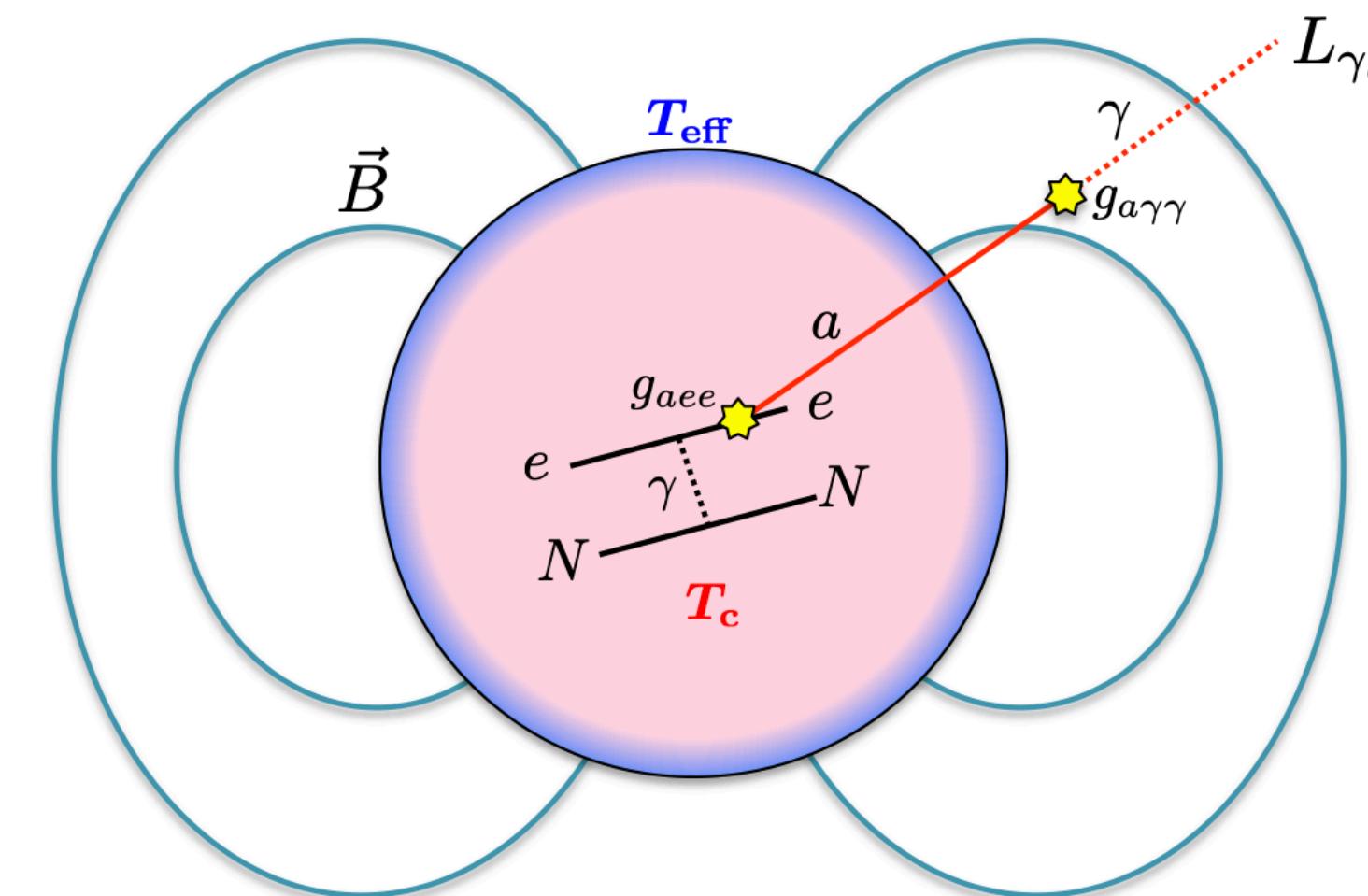
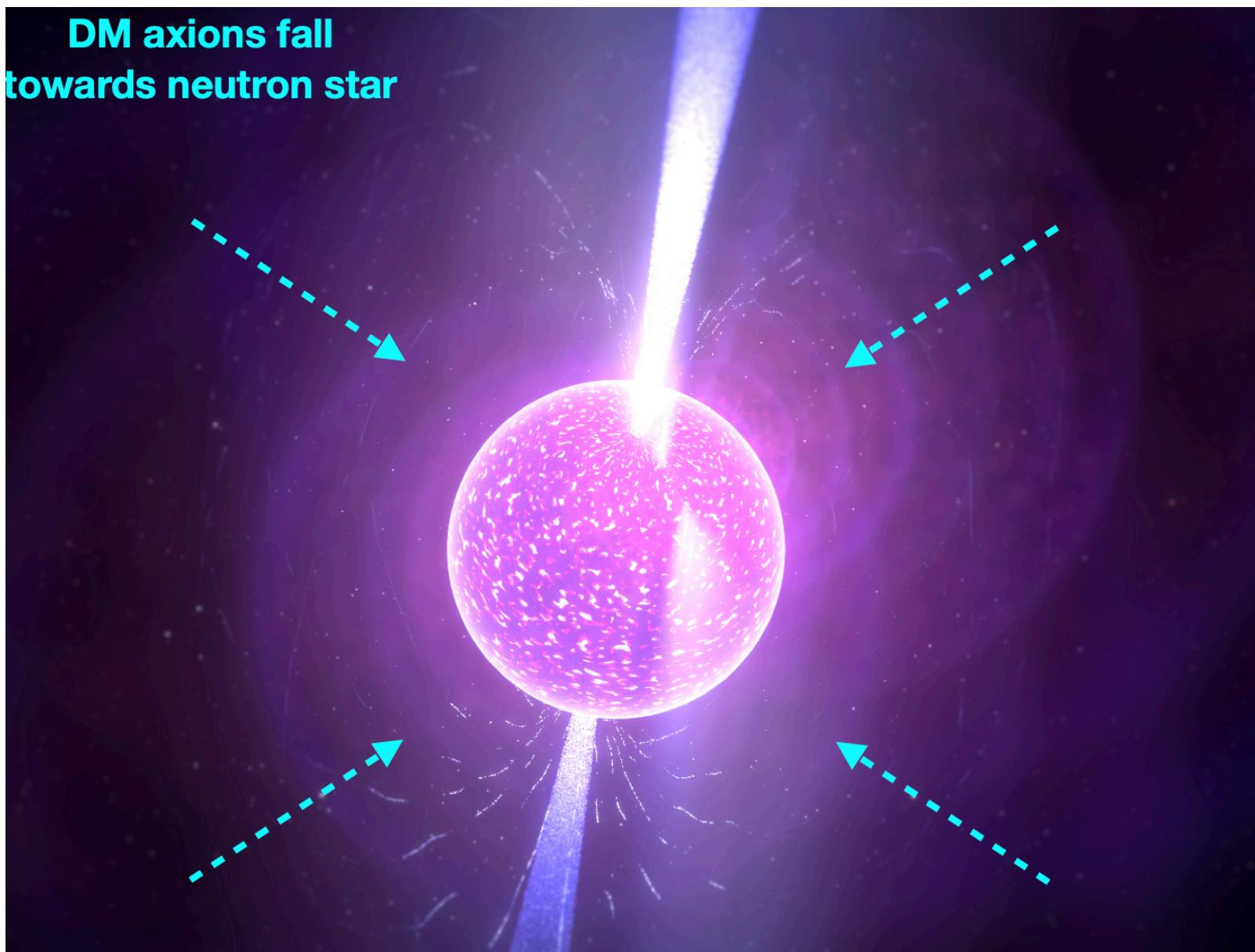
See also [Tinyakov+ [1512.02884](#); Dokuchaev+ [1710.09586](#)]

Axion stars: see talks by Malcolm Fairbairn & Lars Sivertsen and **LV+** [1710.08910](#)

# Axion-photon conversion in NS magnetospheres

Neutron stars as laboratory for DM searches, see talk by Sandra Robles

Radio observations of neutron stars is a promising avenue to detect axion DM



DM axions fall into neutron stars  
convert in the magnetosphere

[Hook+ 2018; Safdi+ 2019]

Axion production in NS cores  
+conversion in magnetosphere

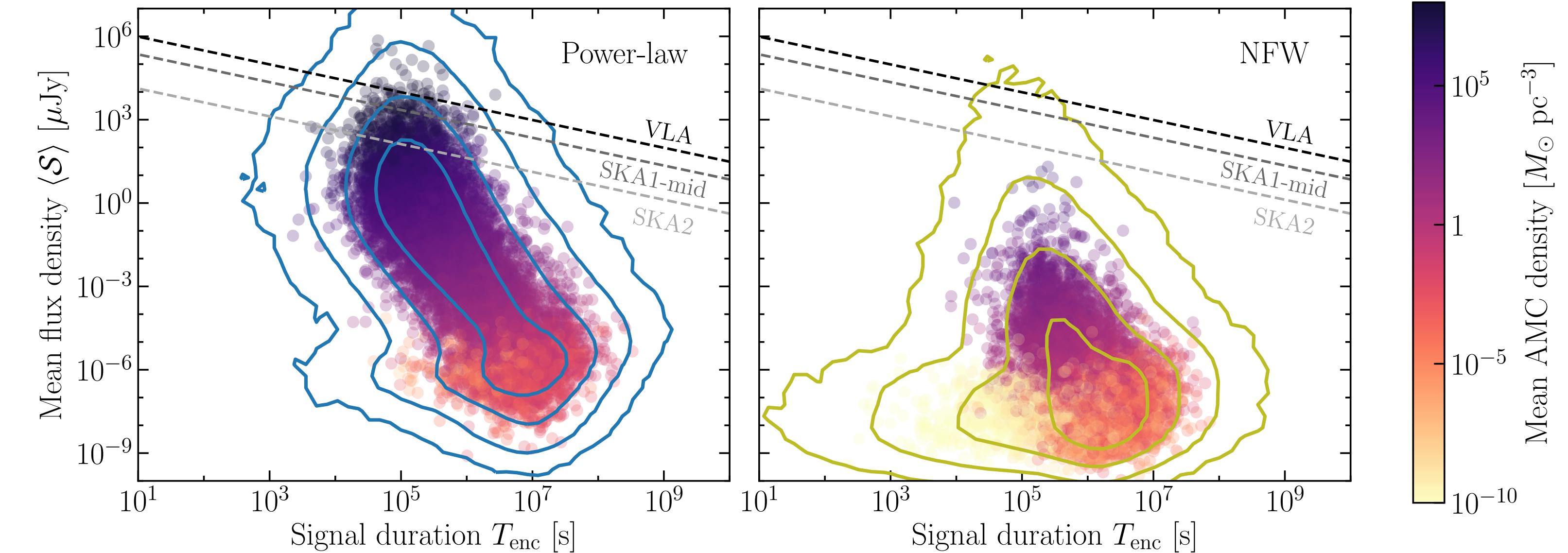
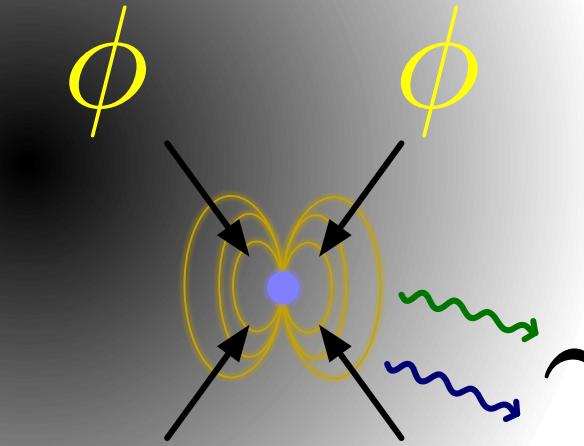
[Dessert+ 2021]

[Noordhuis+ 2209.09917]

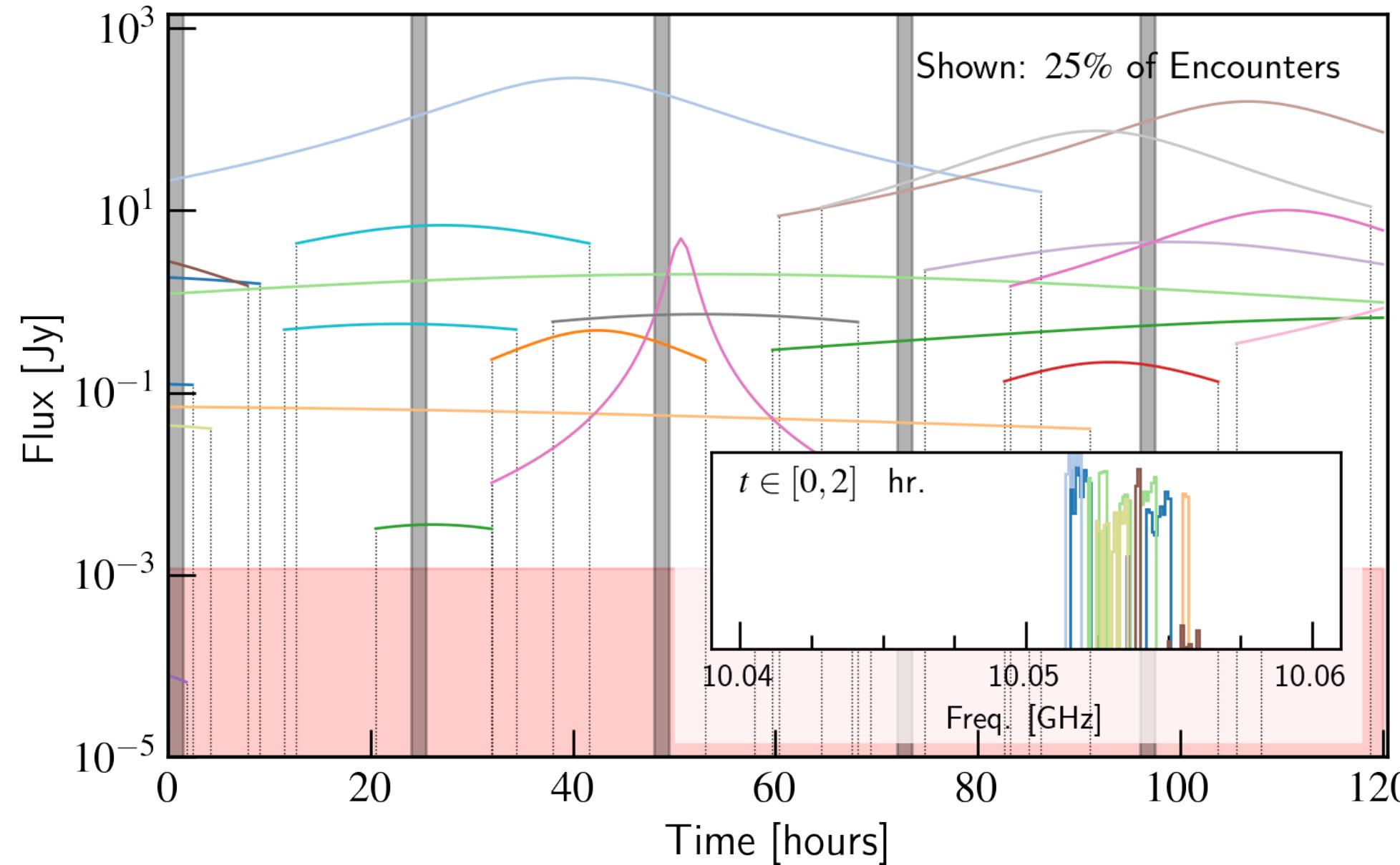
See talk by Dion Noordhuis

# Axion-photon conversion in NS magnetospheres

Luca Visinelli



Can we pick up this signal in radio?



Edwards, Kavanagh, **LV**, Weniger, PRL 2021

$$S = \frac{1}{\text{BW}} \frac{1}{4\pi s^2} \frac{dP_a}{d\Omega}$$

+ 2 grant proposals accepted by the Green Bank Telescope, currently observing

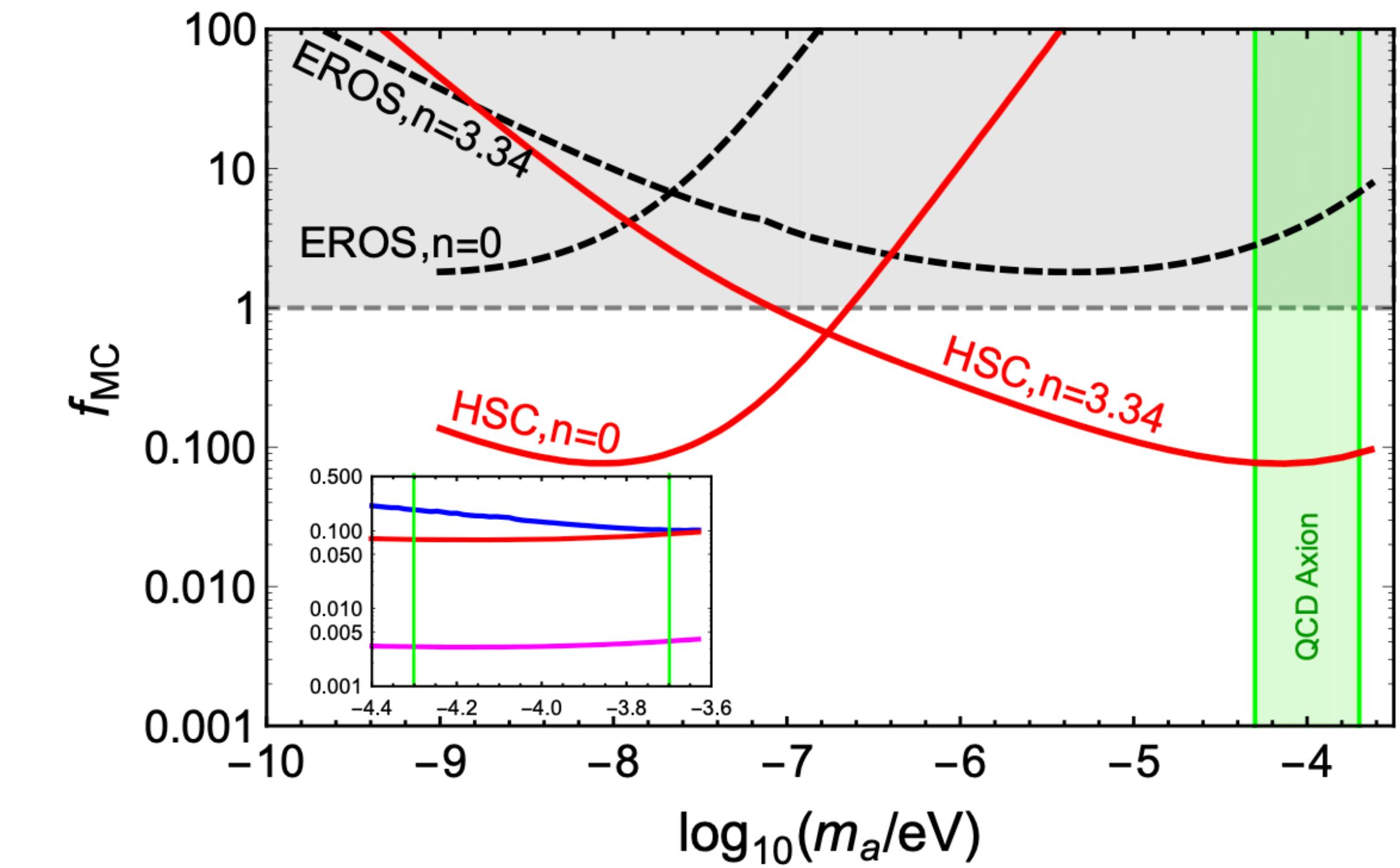
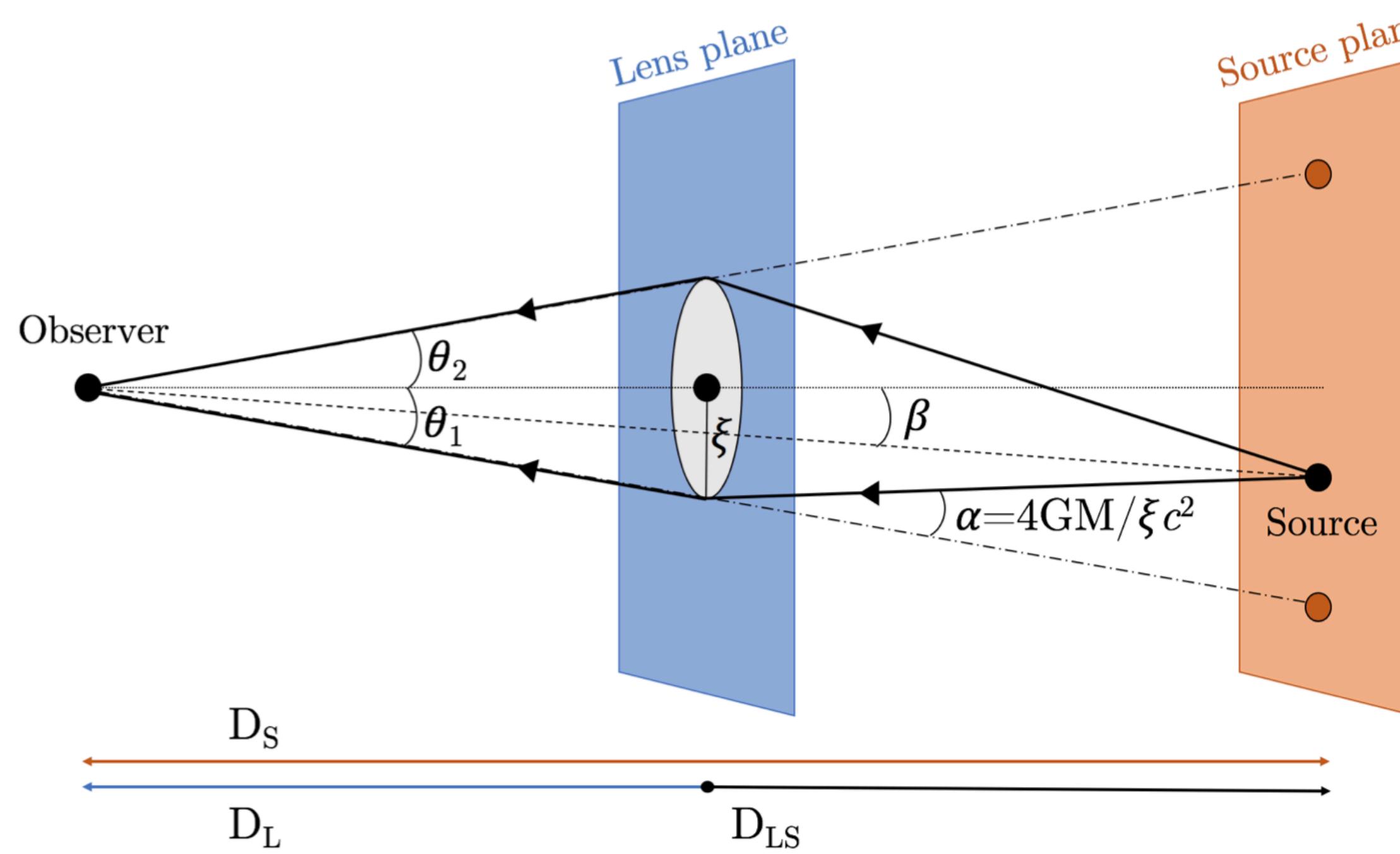
Paper in the making (Walters+ Kavanagh & **LV**)

Code: [github.com/bradkav/axion-miniclusters](https://github.com/bradkav/axion-miniclusters)

# Indirect searches for the axion: lensing

Microlensing by point-like or extended DM substructures

Fairbairn+ [1707.03310](#); Sugiyama+ [2108.03063](#); Fujikura+ [2109.04283](#); Croon + [2002.08962](#)



Fairbairn+ [1707.03310](#)

# Direct searches: Haloscope

Recall the effective Lagrangian below QCD:

$$\mathcal{L} \supset \frac{1}{2} \partial_\mu \phi \partial^\mu \phi - V(\phi) + \boxed{\frac{1}{4} g_{a\gamma\gamma} \phi \tilde{F}_{\mu\nu} F^{\mu\nu}} + c_e \frac{\partial_\mu \phi}{2f_a} \bar{e} \gamma^\mu \gamma_5 e + c_N \frac{\partial_\mu \phi}{2f_a} \bar{N} \gamma^\mu \gamma_5 N$$

The axion-photon coupling modifies Maxwell's equations [Sikivie 83; 85]

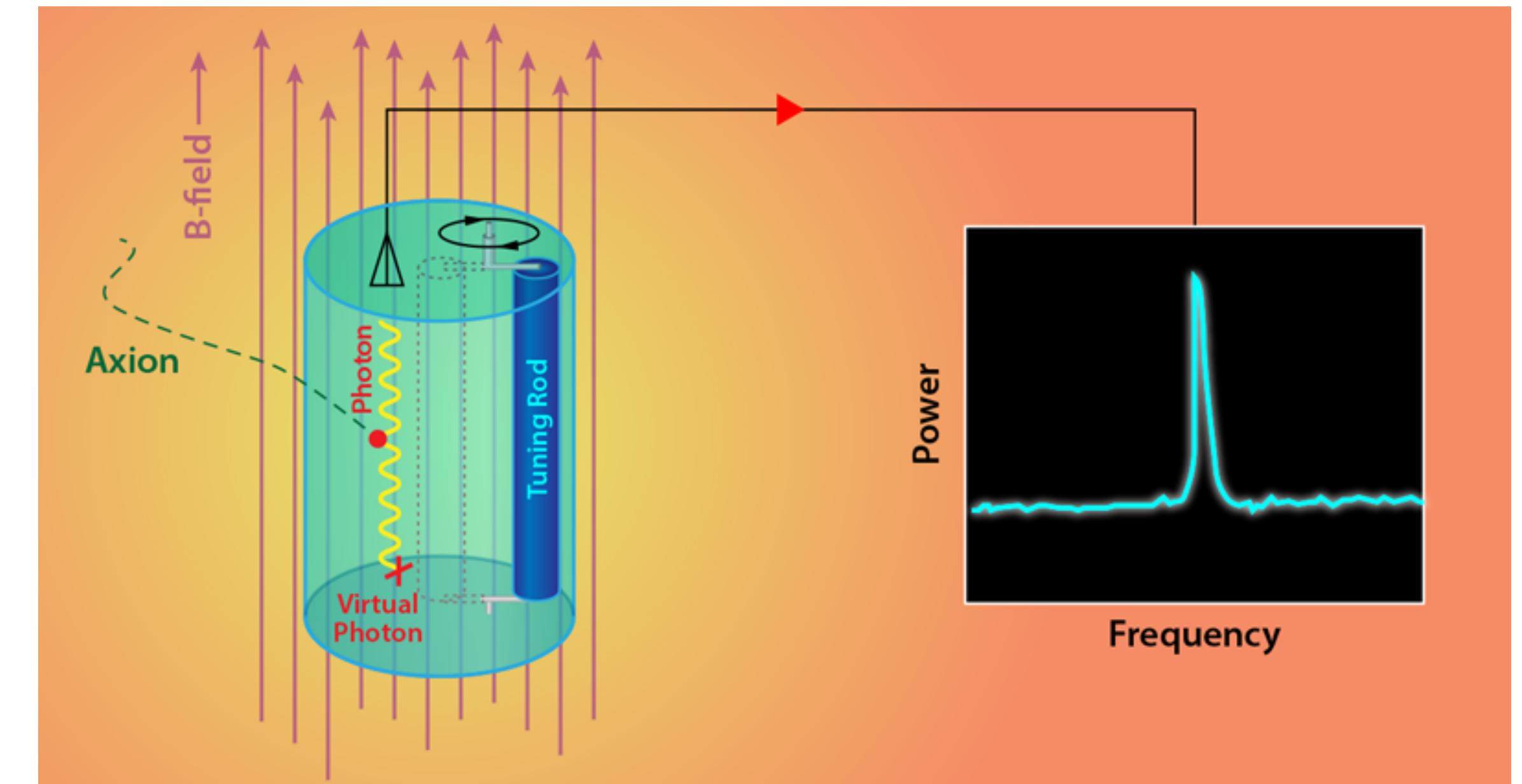
Significant enhancement when

$$2\pi\nu_c = m_a \pm m_a/Q_L$$

$$P_{\text{sig}} = (g_{a\gamma\gamma}^2 n_a) \times (Q_L B_0^2 V C_{nml})$$

$Q_L$  Quality factor     $V$  Cavity volume

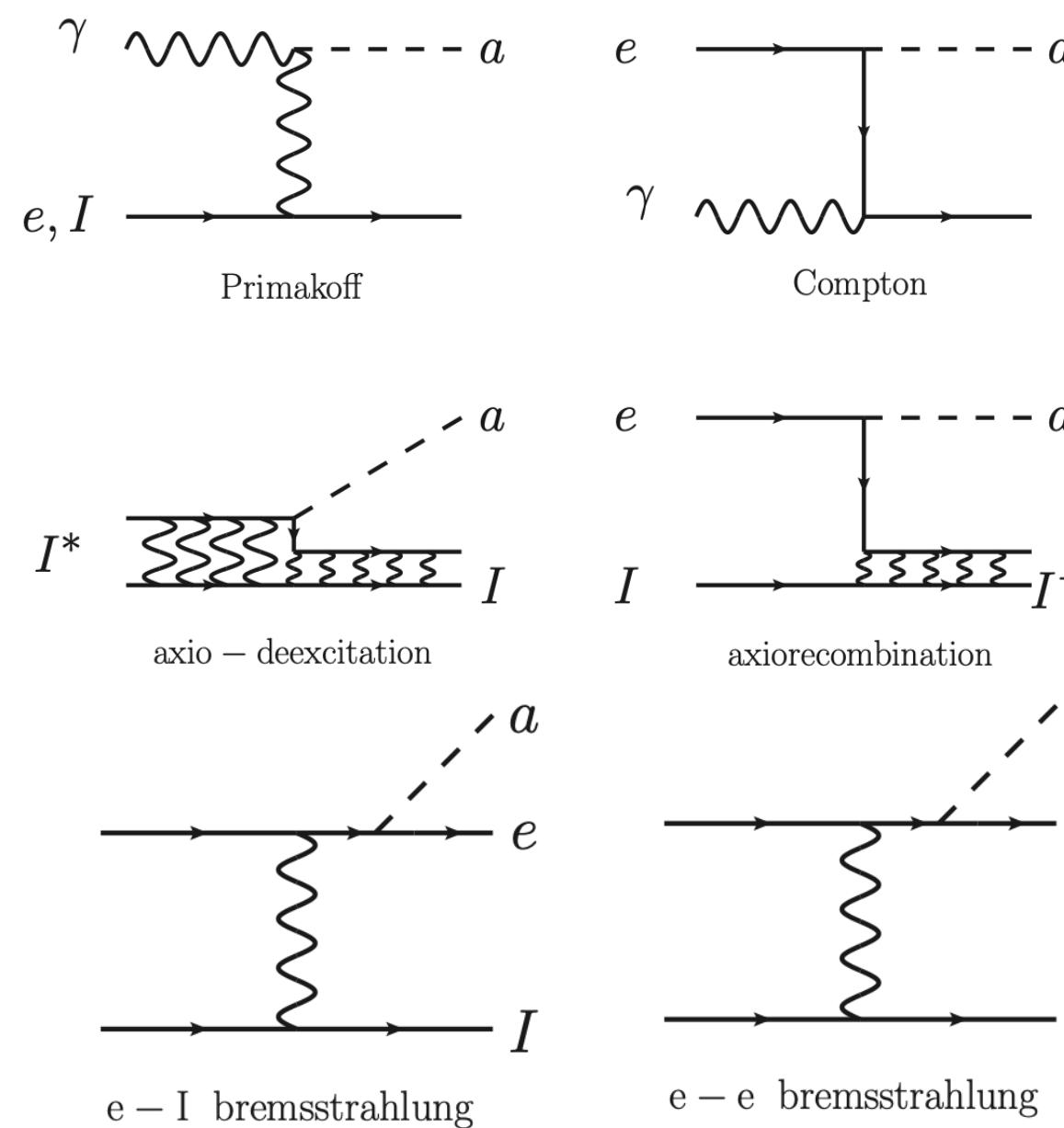
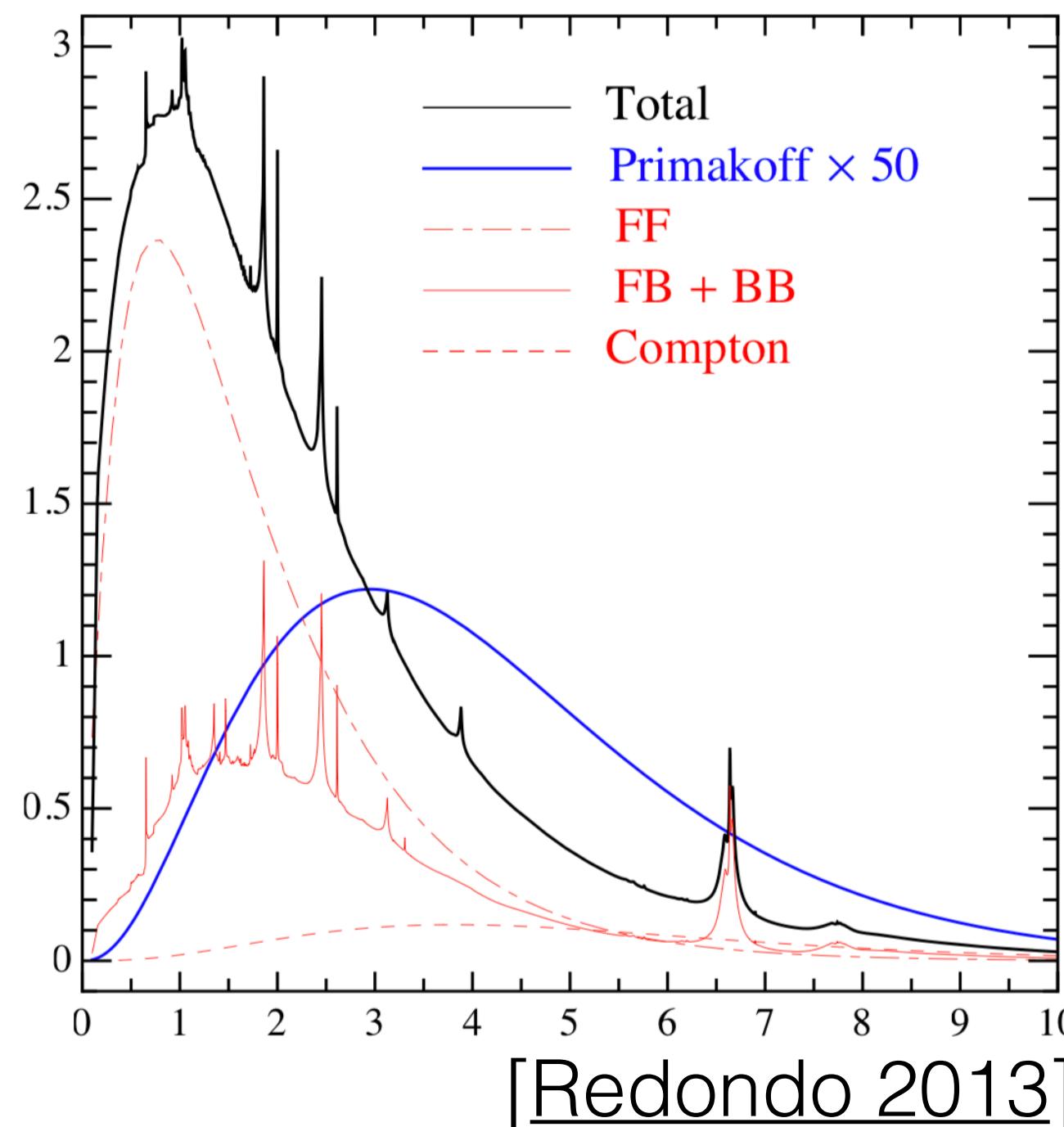
$B_0$  Magnetic field     $C_{nml}$  Geometric factor



Courtesy of ADMX collaboration

# Searches with helioscopes

Axion production in the Sun  $\mathcal{L}_{\text{int}} = \frac{1}{4} g_{a\gamma} a F_{\mu\nu} \tilde{F}^{\mu\nu} + g_{ae} \frac{\partial_\mu a}{2m_e} \bar{e} \gamma^\mu \gamma_5 e,$



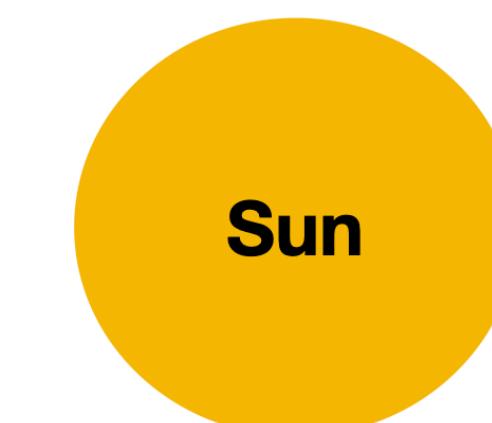
$$\frac{d\Phi_a^{\text{Prim}}}{dE_a} = \left( \frac{g_{a\gamma}}{\text{GeV}^{-1}} \right)^2 \left( \frac{E_a}{\text{keV}} \right)^{2.481} e^{-E_a/(1.205 \text{ keV})} \times 6 \times 10^{30} \text{ cm}^{-2}\text{s}^{-1}\text{keV}^{-1},$$

$$\Phi_a^{\text{ABC}} \propto g_{ae}^2$$

These are relativistic axions, not the DM!  
 $\omega_a \sim T_{\text{core}} \approx \text{keV}$

Searched for in CAST and in proposed (Baby)-IAXO

For exhaustive lists of experiments see  
[Irastorza & Redondo 2018]



keV plasma  
produces  
axions

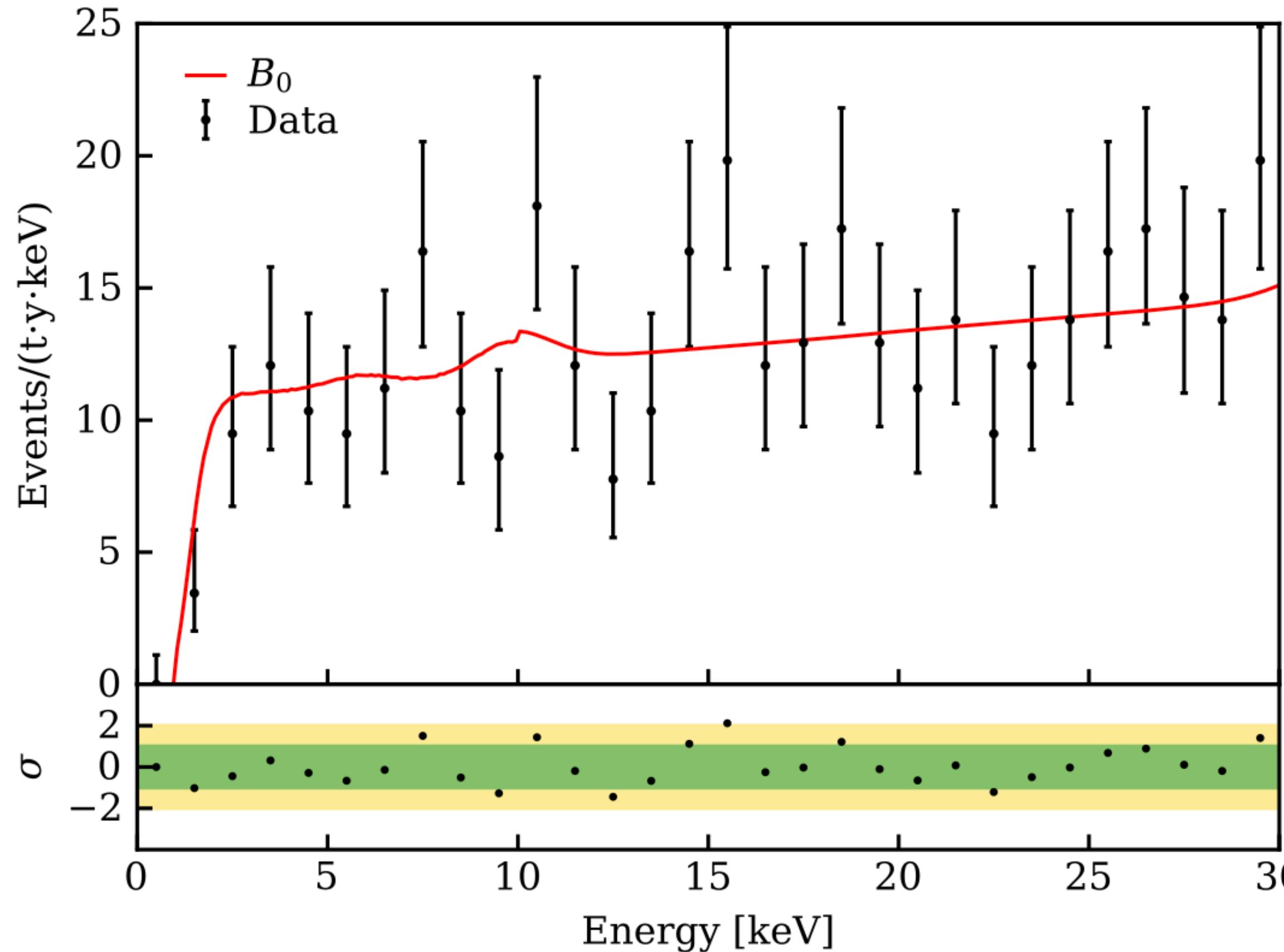
relativistic  
axions



X-rays

Figure from Ben Safdi

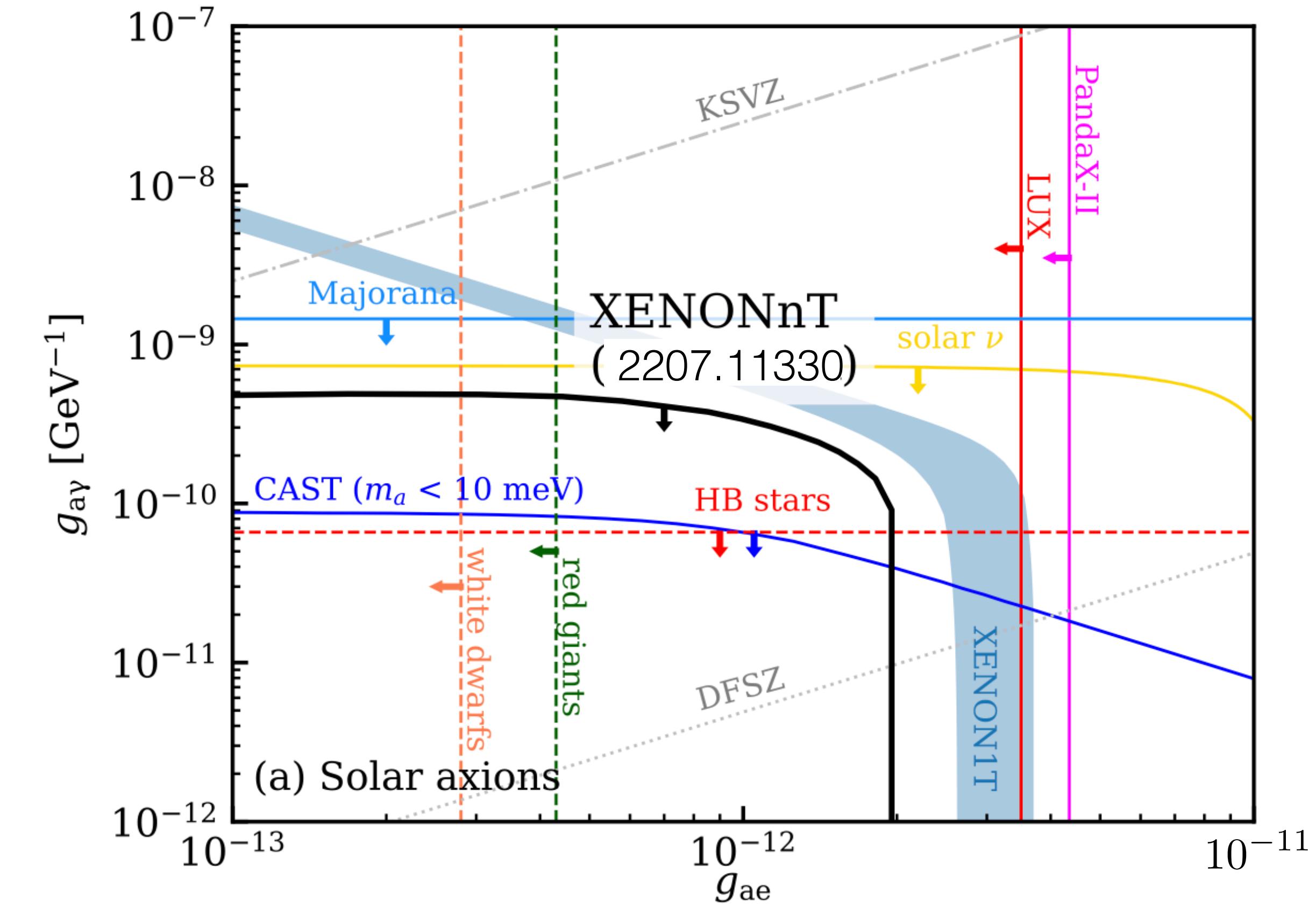
# Solar axions scattering with electrons



XENONnT bound on ( $g_{a\gamma} - g_{ae}$ ) [[2207.11330](#)]

Previous results “XENON1T excess” [[2006.09721](#)]

See also Vagnozzi+ [[2103.15834](#)] (+LV)

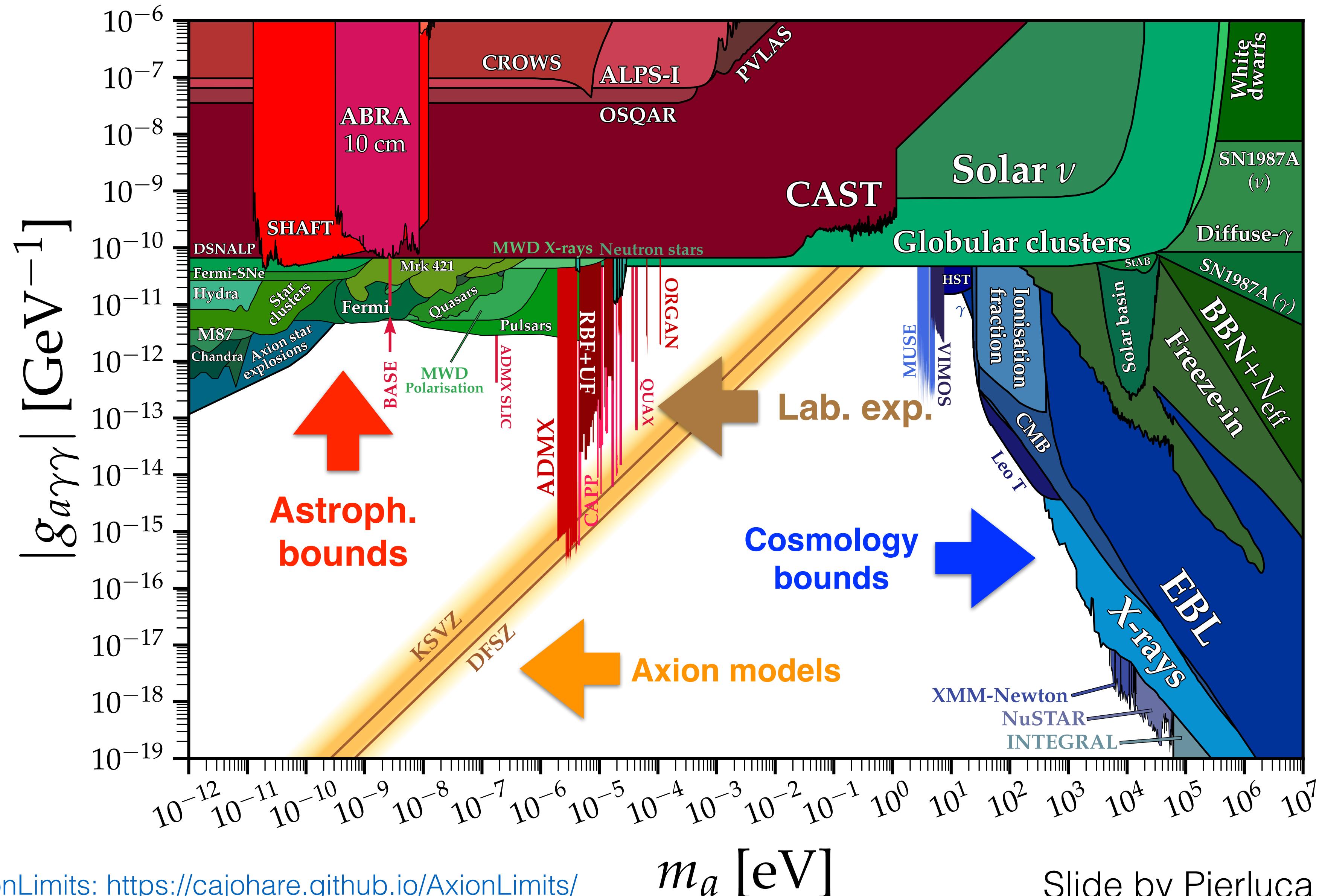


See talk by Cecilia Ferrari (XENONnT)

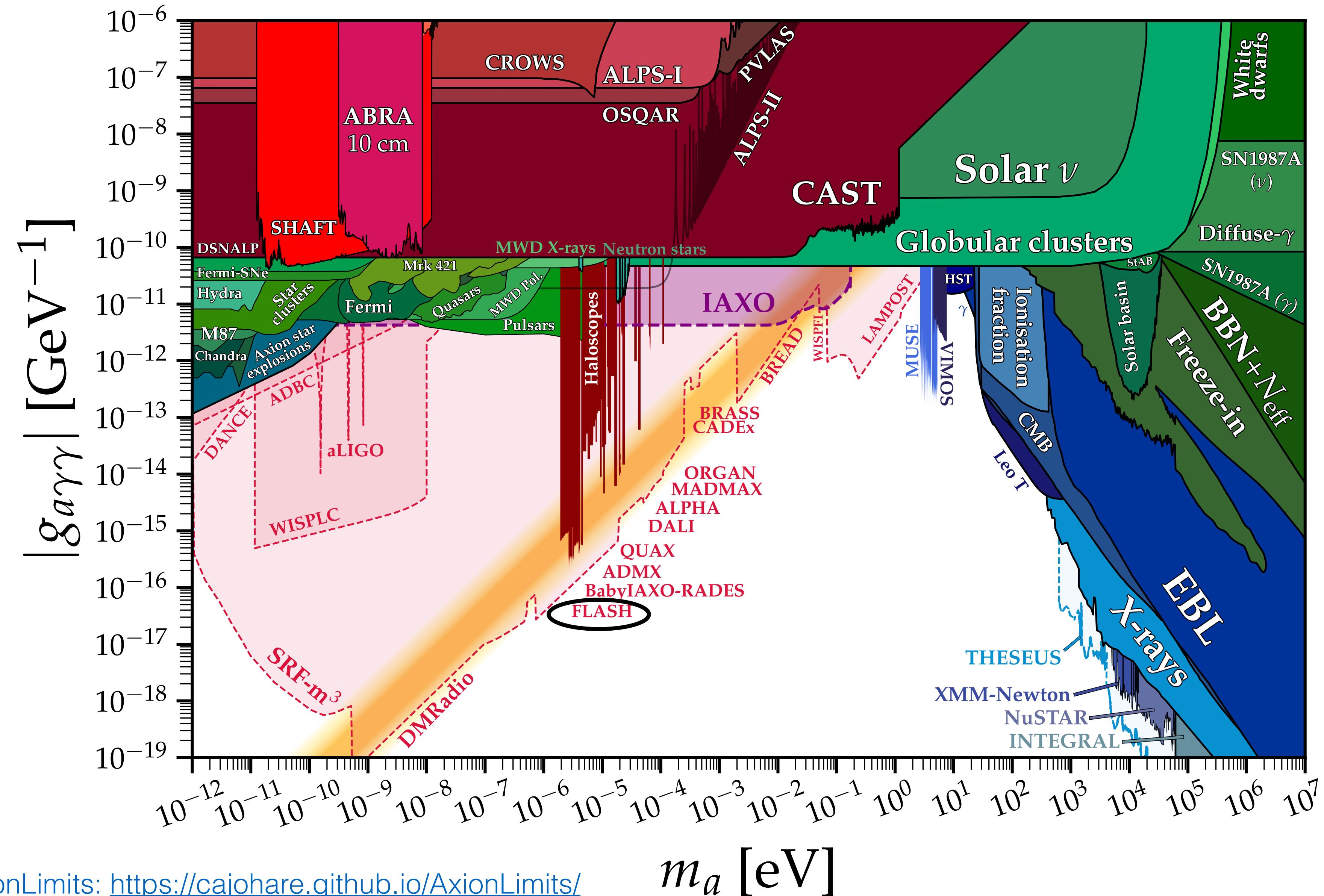
See talk by Pierluca Carenza (Stellar & SN bounds)

LZ searches: [2307.15753](#)

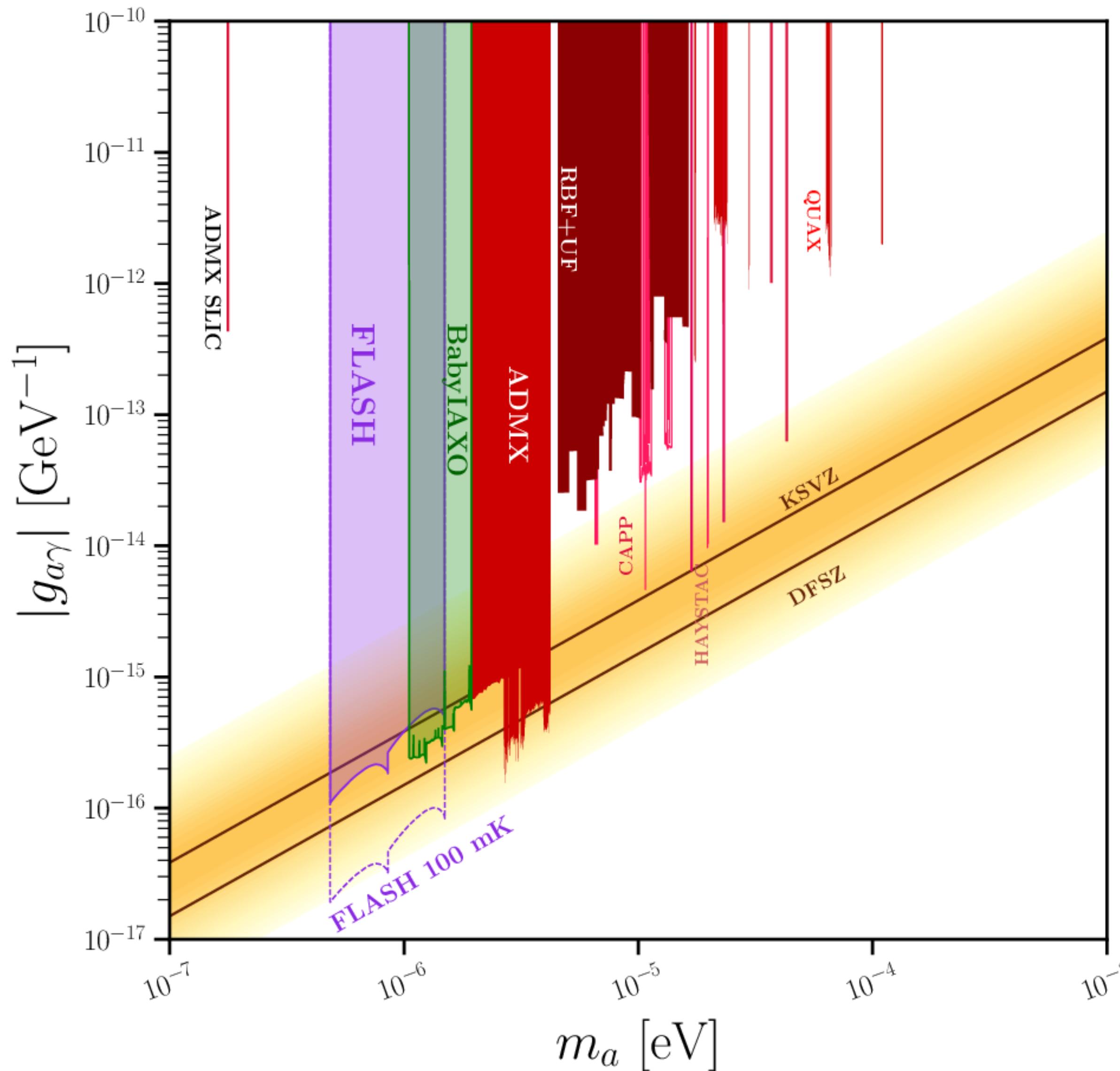
# Summary of axion-photon coupling bounds



# Summary of axion-photon coupling bounds



# Cavity search in Frascati (Rome)



FLASH cavity search with  
Claudio Gatti's group (INFN-LNF)  
[Alesini+ [2309.00351](#)] (**+LV**)

Includes M. Zantedeschi  
(Postdoc at TDLI)

Partial overlap with BabyLAXO reaches  
when used as a haloscope [[2306.17243](#)]

See also the proposal by the RADES collaboration  
[Díaz-Morcillo+ 2021]

See the talk by Bradley Kavanagh  
for the CADEx proposal a higher masses

# Conclusions and further read

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Oct. 16-18 2023

Online workshop on axions (~2.5 hours per day)

<https://sites.google.com/view/axionworkshop>

Mostly PhD students with novel contributions

+ one senior per day

(DJE Marsh, J. Redondo, F. Takahashi)

Thanks to all my collaborators  
and to the audience!



Physics Reports

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## The landscape of QCD axion models

Luca Di Luzio <sup>a</sup>✉, Maurizio Giannotti <sup>b</sup>✉, Enrico Nardi <sup>c</sup>✉, Luca Visinelli <sup>d</sup>✉

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## My review on Physics Reports: [2003.01100](#)

DJE Marsh, [“Axion Cosmology” \(2015\)](#)

P. Sikivie, [“Invisible axion search methods” \(2021\)](#)

Iraitorza & Redondo [2018](#)