# Introduction to Deep-Inelastic Scattering

E. Tassi Universita' della Calabria and INFN-Cosenza

First European Summer School on the Physics of the Electron-Ion Collider 18-22 June 2023 – Corigliano-Rossano, Italy

## Introduction /1

Measurements of Deep-Inelastic scattering have been seminal in our understanding of the proton (hadronic) substructure. They have provided us with an insight on:

- the constituents (quarks and gluons) from which the proton is built;
- the theory (QCD) that describes the interactions between them.

The advent of the electron-proton collider HERA (1992-2007) has allowed to extend these measurements to a new kinematic region and test pQCD at an unprecedented level and to greatly improve our understanding of proton's structure.

The forthcoming experiment(s) at the Electron-Ion Collider (EIC) will continue this fantastic journey, giving us the possibility to address the yet remaining (and most challenging) questions on QCD, proton's structure and spin.

## Introduction /2

Lecture's plan:

• Lecture 1:

We will give a general overview of the most important experimental and phenomenological results on Structure Functions, parton distribution functions (PDFs) and DGLAP analyses. The focus will be mostly on inclusive unpolarised Deep-Inelastic Scattering.

• Lecture 2:

From an historical perspective we will discuss the impact of past, present DIS experiments (as well as non DIS experiments) on the collinear PDFs

• Lecture 3 (Hands-on session) Hands-on session on how to perform a "real" DGLAP analysis

Overall main goal of these lectures is to stimulate your interest towards this fascinating research field.

# Outline (Lecture 1)

- The DIS process, the kinematic variables and their reconstruction
- The Differential Cross section and the Structure Functions
- Overview of experimental results on the Structure Functions (including EW)
- The QCD-improved partonic picture of the nucleon
- The proton's parton distribution functions and the DGLAP analyses

#### **The Deep-Inelastic process**

$$l(k) + N(P) \longrightarrow l'(k') + X(P_X)$$



Typical initial and final state particles:

$$l = e^{\pm}, \ \mu^{\pm}, \nu_{\mu}(\bar{\nu_{\mu}}), \dots \qquad l' = e^{\pm}, \ \nu_{e}(\bar{\nu_{e}}), \ \mu^{\pm}, \nu_{\mu}(\bar{\nu_{\mu}}), \dots \\ N = p, nuclei \ (D, Fe, \dots)$$

EU EIC School 23

## DIS kinematic variables /1

The following, Lorentz-invariant quantities, are used to express Cross-sections and Structure Functions:

Centre-of-mass energy 
$$\sqrt{s}$$
 :  $s = (k+P)^2$ 

Virtuality of the exchanged gauge boson (V) :  $Q^2 = -q^2 = -(k-k^\prime)^2$ 

Bjorken variables x and y :

Invariant mass W of the final state system X:

 $x = \frac{Q^2}{2P \cdot q} \quad \text{and} \quad y = \frac{P \cdot q}{P \cdot k}$  $W^2 = P_X^2 = (q+P)^2 = Q^2 \frac{1-x}{x} + m_N^2$  $\nu = \frac{P \cdot q}{M_N}$ 

Energy ( $\nu$ ) trasported by V in the N rest frame:

## **DIS kinematic variables/2**

 $\nu = \frac{q \cdot P}{m_N} = E_e - E'_e$  is the lepton's energy loss in the nucleon rest frame  $x = \frac{Q^2}{2m_N\nu}$  at LO is the fraction of the nucleon's momentum carried by the struck quark

 $y = \frac{q \cdot P}{k \cdot P} = \frac{\nu}{E_e}$  is the fraction of the lepton's energy lost in the nucleon rest frame

Additional important kinematical relationships:

$$Q^{2} = (s - m_{N}^{2})xy \simeq sxy , \quad x = \frac{Q^{2}}{2M_{N}\nu} = \frac{Q^{2}}{W^{2} + Q^{2} - M_{N}^{2}} , \quad y = \frac{2M_{N}\nu}{(s - M_{N}^{2})} = \frac{W^{2} + Q^{2} - M_{N}^{2}}{(s - M_{N}^{2})}$$

## **DIS kinematic: Bjorken limit**

The Bjorken limit is formally defined as:

$$\nu, Q^2 \longrightarrow +\infty$$
 with x fixed

The inclusive lepton-nucleon process is denoted as deep(ly) inelastic if:

W > 2 GeV (outside of the resonance region) and  $Q^2 \gg \Lambda_{QCD}$ 

Resolution power of the gauge boson V (probe):

$$d = \frac{\hbar c}{\sqrt{Q^2}} \simeq \frac{0.197}{\sqrt{Q^2}} \text{ GeV fm} , \quad d \sim \mathcal{O}(10^{-18} \text{m}) \text{ for } Q^2 \sim 10^5 \text{GeV}^2$$

## **DIS Neutral and charges current processes**

Neutral current (NC) :  $V=\gamma, Z$  Charged current (CC) :  $V=W^{\pm}$ 

Taking HERA (ZEUS and H1 Experiments) as an example



#### **DIS Neutral and charges current processes**

Neutral current (NC) :  $V=\gamma,Z$  Charged current (CC) :  $V=W^{\pm}$ 

Taking HERA (ZEUS and H1 Experiments) as an example



## **Reconstruction methods of kinematic variables**

The quality of the Differential Cross Sections and Structure Functions measurements fully rely on the best possible reconstruction of the variables  $Q^2$  and x.



Any pair out the set  $(E'_e, E'_J, \theta'_e, \theta'_J)$  uniquely identify a point in the (x, Q<sup>2</sup>) plane.

Please note that the angles  $\theta'_e$  and  $\theta'_J$  are referred to the incoming nucleon/proton direction.

## **Reconstruction methods of kinematic variables**

The quality of the Differential Cross Sections and Structure Functions measurements fully rely on the best possible reconstruction of the variables  $Q^2$  and x.



6 Reconstruction methods:

[M1]:	$Q^2 = Q^2[E'_e, \theta'_e]  ,$	$x = x[E'_e, \theta'_e]$
[M2]:	$Q^2 = Q^2[E'_e, \theta'_J]  ,$	$x = x[E'_e, \theta'_J]$
[M3]:	$Q^2 = Q^2[\theta'_e, \theta'_J]  ,$	$x = x[\theta'_e, \theta'_J]$
[M4]:	$Q^2 = Q^2[E'_e, E'_J]$ ,	$x = x[E'_e, E'_J]$
[M5]:	$Q^2 = Q^2[E'_J, \theta'_e]  ,$	$x = x[E'_J, \theta'_e]$
[M6]:	$Q^2 = Q^2[E'_J, \theta'_J]  ,$	$x = x[E'_J, \theta'_J]$

Any pair out of the set  $(E'_e, E'_J, \theta'_e, \theta'_J)$  uniquely identify a point in the (x, Q<sup>2</sup>) plane

## DIS kinematics – (x,Q<sup>2</sup>) plane



## DIS kinematics – (x,Q<sup>2</sup>) plane and isolines

The x-Q<sup>2</sup> plane, isolines



## DIS kinematics – (x,Q<sup>2</sup>) plane and isolines

The x-Q<sup>2</sup> plane, isolines



#### **Reconstruction Methods: Electron Method**

Take first the Electron method (M1) as an example:

$$Q_{el}^{2} = 2E_{e}E_{e}'(1 - \cos\theta_{e}') \qquad y_{el} = \frac{Q_{el}^{2}}{sx_{e}}$$
$$x_{el} = x_{0}\frac{E_{e}'(1 + \cos\theta_{e}')}{2E_{e} - E_{e}'(1 - \cos\theta_{e}')} \qquad x_{0} = \frac{E_{e}}{E_{p}}$$

By simple uncertainties propagation one gets:

$$\begin{aligned} \frac{\delta Q_{el}^2}{Q_{el}^2} &= \frac{\delta E'_e}{E'_e} \oplus \tan(\theta'_e) \delta \theta'_e \\ \frac{\delta x_{el}}{x_{el}} &= \frac{1}{y_{el}} \frac{\delta E'_e}{E'_e} \oplus \left[ \tan\left(\frac{\theta'_e}{2}\right) + \left(\frac{1}{y_{el}} - 1\right) \cot\left(\frac{\theta'_e}{2}\right) \right] \delta \theta'_e \\ \frac{\delta y_{el}}{y_{el}} &= \left(1 - \frac{1}{y_{el}}\right) \frac{\delta E'_e}{E'_e} \oplus \left(\frac{1}{y_{el}} - 1\right) \cot\left(\frac{\theta'_e}{2}\right) \delta \theta'_e \end{aligned}$$

EU EIC School 23

#### **Reconstruction Methods: JB Method**

Take Jacquet-Blondel (M6) (charged current):

$$Q_{JB}^{2} = \frac{\sum p_{\perp}^{2}}{1 - y_{JB}} \simeq \frac{E_{J}^{2} \sin^{2} \theta_{J}}{1 - y_{JB}} \qquad \qquad x_{JB} = \frac{Q_{JB}^{2}}{sy_{JB}}$$
$$y_{JB} = \frac{\sum (E - p_{z})}{2E_{e}} \simeq \frac{E_{J}}{E_{e}} \sin^{2} \left(\frac{\theta_{J}}{2}\right)$$

By simple uncertainties propagation one gets:

$$\frac{\delta Q_{JB}^2}{Q_{JB}^2} = \frac{2 - y_{JB}}{1 - y_{JB}} \frac{\delta E_J}{E_J} \oplus \left[ 2 \cot \theta_J + \frac{y_{JB}}{1 - y_{JB}} \cot \left(\frac{\theta_J}{2}\right) \right] \delta \theta_J$$
$$\frac{\delta x_{JB}}{x_{JB}} = \frac{1}{1 - y_{JB}} \frac{\delta E_J}{E_J} \oplus \left[ 2 \cot \theta_J + \frac{2y_{JB} - 1}{1 - y_{JB}} \cot \left(\frac{\theta_J}{2}\right) \right] \delta \theta_J$$
$$\frac{\delta y_{JB}}{y_{JB}} = \frac{\delta E_J}{E_J} \oplus \cot \left(\frac{\theta_J}{2}\right) \delta \theta_J$$

## **Reconstruction Methods: DA Method**

Double-Angle method (M3):

$$Q_{DA}^{2} = 4E_{e}^{2} \cdot \frac{\sin \theta_{J}(1 + \cos \theta_{e}')}{\sin \theta_{J} + \sin \theta_{e}' - \sin(\theta_{e}' + \theta_{J})}$$
$$y_{DA} = \frac{\sin \theta_{e}'(1 - \cos \theta_{J})}{\sin \theta_{J} + \sin \theta_{e}' - \sin(\theta_{e}' + \theta_{J})}$$
$$x_{DA} = \frac{Q_{DA}^{2}}{sy_{DA}}$$

Other methods exploited at HERA: "PT method", "Sigma method", "e-Sigma method", ...

All methods should be studied with detailed MC simulations (to quantify resolutions and migrations)

EIC: x-Q<sup>2</sup> plane



20

$$\frac{d^2\sigma}{dxdy} = \frac{2\pi y\alpha^2}{Q^4} \sum_j \eta_j U^{\mu\nu}_{\mu\nu} W^j_{\mu\nu}$$

$$j = \gamma$$
 neutral current (EM)  
 $j = \gamma, \gamma Z, Z$  neutral current (EW)  
 $j = W^{\pm}$  charged current (EW)

k

**P**, **M**-

Leptonic tensors:

$$\begin{split} L_{\mu\nu}^{\gamma} &= 2 \left( k_{\mu} k_{\nu}' + k_{\mu}' k_{\nu} - (k \cdot k' - m_{\ell}^2) g_{\mu\nu} - i\lambda \varepsilon_{\mu\nu\alpha\beta} k^{\alpha} k'^{\beta} \right), \\ L_{\mu\nu}^{\gamma Z} &= (g_V^e + e\lambda g_A^e) \ L_{\mu\nu}^{\gamma}, \quad L_{\mu\nu}^{Z} = (g_V^e + e\lambda g_A^e)^2 \ L_{\mu\nu}^{\gamma}, \\ L_{\mu\nu}^{W} &= (1 + e\lambda)^2 \ L_{\mu\nu}^{\gamma}, \\ \text{with:} \quad g_V^e = -\frac{1}{2} + 2\sin^2\theta_W, \qquad g_A^e = -\frac{1}{2} \qquad e = \pm 1 \end{split}$$

W

$$\frac{d^2\sigma}{dxdy} = \frac{2\pi y\alpha^2}{Q^4} \sum_j \eta_j L_j^{\mu\nu} W_{\mu\nu}^j$$

$$j = \gamma$$
 neutral current (EM)  
 $j = \gamma, \gamma Z, Z$  neutral current (EW)  
 $j = W^{\pm}$  charged current (EW)

Propagator/coupling ratio factors:

$$\eta_{\gamma} = 1 \; ; \; \eta_{\gamma Z} = \left(\frac{G_F M_Z^2}{2\sqrt{2}\pi\alpha}\right) \left(\frac{Q^2}{Q^2 + M_Z^2}\right);$$
  
$$\eta_Z = \eta_{\gamma Z}^2 \; ; \; \eta_W = \frac{1}{2} \left(\frac{G_F M_W^2}{4\pi\alpha} \frac{Q^2}{Q^2 + M_W^2}\right)^2.$$

$$\frac{d^2\sigma}{dxdy} = \frac{2\pi y\alpha^2}{Q^4} \sum_j \eta_j L_j^{\mu\nu} W_{\mu\nu}^j$$

 $j = \gamma$  neutral current (EM)  $j = \gamma, \gamma Z, Z$  neutral current (EW)  $j = W^{\pm}$  charged current (EW)

Hadronic tensor:

$$W^{j}_{\mu\nu} = \frac{1}{4\pi} \int d^{4}z \ e^{iq \cdot z} < P, S | \left[ J^{\dagger i_{1}}_{\mu}(z), J^{i_{2}}_{\nu}(0) \right] | P, S > \text{ with } i_{1}, i_{2} = \gamma, Z, W$$

 $J_{\alpha}$  is the hadronic contribution to the electromagnetic, or weak current S denots the nucleon spin 4-vector, with  $S^2 = -M_N^2$  and  $S \cdot P = 0$ 

$${d^2\sigma\over dxdy} ~=~ {2\pi y lpha^2\over Q^4} ~\sum_j ~\eta_j ~L^{\mu
u}_j ~W^j_{\mu
u}$$

 $j = \gamma$  neutral current (EM)  $j = \gamma, \gamma Z, Z$  neutral current (EW)  $j = W^{\pm}$  charged current (EW)

Diff. cross section (alternative forms ad higher-order EW contributions):

$$\frac{d^2\sigma}{dx\,dy} = x\left(s - M^2\right)\frac{d^2\sigma}{dx\,dQ^2} = \frac{2\pi\,M\nu}{E'}\frac{d^2\sigma}{d\Omega_{\text{Nrest}}\,dE'}$$
$$\frac{d^2\sigma}{dxdQ^2} = \frac{d^2\sigma_0}{dxdQ^2} + \frac{\alpha}{2\pi}\frac{d^2\sigma_1}{dxdQ^2} + \mathcal{O}(\alpha^2) = \frac{d^2\sigma_0}{dxdQ^2}(1 + \delta_{EW})$$

#### Hadronic tensor and Structure Functions

$$\begin{split} W_{\mu\nu}^{j} &= \left( -g_{\mu\nu} + \frac{q_{\mu}q_{\nu}}{q^{2}} \right) F_{1}^{j}(x,Q^{2}) + \frac{\hat{P}_{\mu}\hat{P}_{\nu}}{P \cdot q} F_{2}^{j}(x,Q^{2}) \\ &- i\epsilon_{\mu\nu\alpha\beta} \frac{q^{\alpha}P^{\beta}}{2P \cdot q} F_{3}^{j}(x,Q^{2}) \\ &+ i\epsilon_{\mu\nu\alpha\beta} \frac{q^{\alpha}}{P \cdot q} \left[ S^{\beta}g_{1}^{j}(x,Q^{2}) + \left( S^{\beta} - \frac{S \cdot q}{P \cdot q} P^{\beta} \right) g_{2}^{j}(x,Q^{2}) \right] \\ &+ \frac{1}{P \cdot q} \left[ \frac{1}{2} \left( \hat{P}_{\mu}\hat{S}_{\nu} + \hat{S}_{\mu}\hat{P}_{\nu} \right) - \frac{S \cdot q}{P \cdot q} \hat{P}_{\mu}\hat{P}_{\nu} \right] g_{3}^{j}(x,Q^{2}) \\ &+ \frac{S \cdot q}{P \cdot q} \left[ \frac{\hat{P}_{\mu}\hat{P}_{\nu}}{P \cdot q} g_{4}^{j}(x,Q^{2}) + \left( -g_{\mu\nu} + \frac{q_{\mu}q_{\nu}}{q^{2}} \right) g_{5}^{j}(x,Q^{2}) \right] \\ &\text{where } \hat{P}_{\mu} = P_{\mu} - \frac{P \cdot q}{2} , \quad \hat{S}_{\mu} = S_{\mu} - \frac{S \cdot q}{2} \text{ and } j = \gamma, \gamma Z \end{split}$$

re 
$$\hat{P}_{\mu} = P_{\mu} - \frac{1 + q}{q^2}$$
,  $\hat{S}_{\mu} = S_{\mu} - \frac{S + q}{q^2}$  and  $j = \gamma, \gamma Z, Z, W$ 

#### Hadronic tensor and Structure Functions





#### Hadronic tensor and Structure Functions



Parity conserving SFs

Parity violating SFs

The unpolarised double diff. cross sections for e+- p NC and CC DIS are

$$\frac{d^2\sigma^k}{dxdy}(e^{\pm}p) = \eta^k \frac{2\pi\alpha^2}{xyQ^2} \left[Y_+ \mathcal{F}_2^k - y^2 \mathcal{F}_L^k \mp Y_- x \mathcal{F}_3^k\right]$$
  
with  $k = NC, CC$  and  $\eta^{NC} = 1$ ,  $\eta^{CC} = 2\eta^W$  and  $Y_{\pm} = 1 \pm (1-y)^2$ 

In this expression we have introduced the Longitudinall Structure Function:

$$\mathcal{F}_L^k = \mathcal{F}_2^k - 2x\mathcal{F}_1^k$$

 $\mathcal{F}_L$  contributes at very high-y and  $\mathcal{F}_3\,$  at very high-Q^2

The unpolarised double diff. cross sections for e+- p NC and CC DIS are

$$\frac{d^2\sigma^k}{dxdy}(e^{\pm}p) = \eta^k \frac{2\pi\alpha^2}{xyQ^2} \left[Y_+\mathcal{F}_2^k - y^2\mathcal{F}_L^k \mp Y_-x\mathcal{F}_3^k\right]$$
  
with  $k = NC, CC$  and  $\eta^{NC} = 1$ ,  $\eta^{CC} = 2\eta^W$  and  $Y_{\pm} = 1 \pm (1-y)^2$ 

For the structure functions we have:

$$\mathcal{F}_a^{CC} = F_a^W \text{ with } a = 1, 2, 3$$
  
$$\mathcal{F}_a^{NC} = F_a^\gamma - g_V^e \eta_{\gamma Z} F_a^{\gamma Z} + (g_V^{e^2} + g_A^{e^2}) \eta_Z F_a^Z \text{ with } a = 2, L$$
  
$$\mathcal{F}_3^{NC} = -g_A^e \eta_{\gamma Z} F_3^{\gamma Z} + 2g_V^e g_A^e \eta_Z F_3^Z$$

The unpolarised double diff. cross sections for e+- p NC and CC DIS are

$$\frac{d^2\sigma^k}{dxdy}(e^{\pm}p) = \eta^k \frac{2\pi\alpha^2}{xyQ^2} \left[Y_+\mathcal{F}_2^k - y^2\mathcal{F}_L^k \mp Y_-x\mathcal{F}_3^k\right]$$
  
with  $k = NC, CC$  and  $\eta^{NC} = 1$ ,  $\eta^{CC} = 2\eta^W$  and  $Y_{\pm} = 1 \pm (1-y)^2$ 

For the structure function we have:

$$\begin{aligned} \mathcal{F}_{a}^{CC} &= F_{a}^{W} \text{ with } a = 1, 2, 3 \\ \mathcal{F}_{a}^{NC} &= F_{a}^{\gamma} \rightarrow g_{V}^{e} \eta_{\gamma Z} F_{a}^{\gamma Z} + (g_{V}^{e}{}^{2} + g_{A}^{e}{}^{2}) \eta_{Z} F_{a}^{Z} \text{ with } a = 2, L \\ \mathcal{F}_{3}^{NC} &= -g_{A}^{e} \eta_{\gamma Z} F_{3}^{\gamma Z} + 2g_{V}^{e} g_{A}^{e} \eta_{Z} F_{3}^{Z} \end{aligned}$$

The unpolarised double diff. cross sections for e<sup>±</sup> p NC and CC DIS are

$$\frac{d^2\sigma^k}{dxdy}(e^{\pm}p) = \eta^k \frac{2\pi\alpha^2}{xyQ^2} \left[Y_+ \mathcal{F}_2^k - y^2 \mathcal{F}_L^k \mp Y_- x \mathcal{F}_3^k\right]$$
  
with  $k = NC, CC$  and  $\eta^{NC} = 1$ ,  $\eta^{CC} = 2\eta^W$  and  $Y_{\pm} = 1 \pm (1-y)^2$ 

Results are also presented in terms of reduced cross sections:

$$\sigma_{r,NC}^{\pm} = \frac{d^2 \sigma_{NC}(e^{\pm}p)}{dx dQ^2} \cdot \frac{Q^4 x}{2\pi \alpha^2 Y_+} = \mathcal{F}_2 - \frac{y^2}{Y_+} \mathcal{F}_L \mp \frac{Y_-}{Y_+} x \mathcal{F}_3 \qquad \qquad \mathcal{F}_a^{CC} = \mathcal{F}_a^{W^{\pm}} = \mathcal{W}_a^{\pm}$$
$$\sigma_{r,CC}^{\pm} = \frac{d^2 \sigma_{CC}(e^{\pm}p)}{dx dQ^2} \cdot \frac{2\pi x}{G_F^2} \left[\frac{M_W^2 + Q^2}{M_W^2}\right]^2 = \frac{1}{2} \left(Y_+ \mathcal{W}_2^{\pm} - y^2 \mathcal{W}_L^{\pm} \mp Y_- x \mathcal{W}_3^{\pm}\right)$$

 $\mathcal{F}_{a}^{NC} = \mathcal{F}_{a}$ 

## DIS Experiments: Typical analysis (HERA)

Very precise reconstruction of event's characteristics and kinematic variables:

$$Q_{\rm PT}^2 = 4E_e^2 \frac{\sin\gamma_{\rm PT}(1+\cos\theta_e)}{\sin\gamma_{\rm PT}+\sin\theta_e-\sin(\gamma_{\rm PT}+\theta_e)};$$
  
$$x_{\rm PT} = \frac{E_e}{E_p} \frac{\sin\gamma_{\rm PT}+\sin\theta_e+\sin(\gamma_{\rm PT}+\theta_e)}{\sin\gamma_{\rm PT}+\sin\theta_e-\sin(\gamma_{\rm PT}+\theta_e)};$$

Count nr. of events in appropriately defined  $(x,Q^2)$  bins

Extract reduced cross section and correct radiative EW corrections

$$\sigma_{r,NC} = \frac{1}{1 + \delta_{EW}} \left( \frac{N_{data} - N_{bkg}}{N_{MC}} \right) \sigma_{r,NC}^{NLO}$$

Extract  $F_2$ 



#### **DIS Experiments: Typical analysis (HERA)**





 $F_2^{em} = F_2^{\gamma}$ 

EU EIC School 23

## **DIS Experiments: HERA Combined Results**

<b>II</b>					2	-			- 2 -	
	Data Set		Grid	$Q^2[Ge]$	V <sup>2</sup> ] Grid		e <sup>+</sup> /e <sup>-</sup>	$\sqrt{s}$	$x_{\rm Bj}, Q^2$ from	Ref.
		from	to	from	to	pb <sup>-1</sup>		GeV	equations	
$1 E_p =$	820 GeV and $E_p = 92$	0 GeV data sets	8							
H1 svx-mb [2]	95-00	0.000005	0.02	0.2	12	2.1	$e^+p$	301, 319	13,17,18	[3]
H1 low $Q^2$ [2]	96-00	0.0002	0.1	12	150	22	$e^+p$	301, 319	13,17,18	[4]
H1 NC	94-97	0.0032	0.65	150	30000	35.6	$e^+p$	301	19	[5]
H1 CC	94-97	0.013	0.40	300	15000	35.6	<i>e</i> <sup>+</sup> <i>p</i>	301	14	[5]
H1 NC	98-99	0.0032	0.65	150	30000	16.4	<i>e</i> <sup>-</sup> <i>p</i>	319	19	[6]
H1 CC	98-99	0.013	0.40	300	15000	16.4	e <sup>-</sup> p	319	14	[6]
H1 NC HY	98-99	0.0013	0.01	100	800	16.4	<i>e</i> <sup>-</sup> <i>p</i>	319	13	[7]
H1 NC	99-00	0.0013	0.65	100	30000	65.2	<i>e</i> <sup>+</sup> <i>p</i>	319	19	[7]
H1 CC	99-00	0.013	0.40	300	15000	65.2	$e^+p$	319	14	[7]
ZEUS BPC	95	0.000002	0.00006	0.11	0.65	1.65	$e^+p$	300	13	[11]
ZEUS BPT	97	0.0000006	0.001	0.045	0.65	3.9	$e^+p$	300	13, 19	[12]
ZEUS SVX	95	0.000012	0.0019	0.6	17	0.2	$e^+p$	300	13	[13]
ZEUS NC [2]	high/low $Q^2$ 96-97	0.00006	0.65	2.7	30000	30.0	e <sup>+</sup> p	300	21	[14]
ZEUS CC	94-97	0.015	0.42	280	17000	47.7	e <sup>+</sup> p	300	14	[15]
ZEUS NC	98-99	0.005	0.65	200	30000	15.9	e <sup>-</sup> p	318	20	[16]
ZEUS CC	98-99	0.015	0.42	280	30000	16.4	e <sup>-</sup> p	318	14	[17]
ZEUS NC	99-00	0.005	0.65	200	30000	63.2	$e^+p$	318	20	[18
ZEUS CC	99-00	0.008	0.42	280	17000	60.9	$e^+p$	318	14	[19]
HERA II $E_p$ =	920 GeV data sets									
H1 NC 1.5p	03-07	0.0008	0.65	60	30000	182	$e^+p$	319	13,19	[8] <sup>1</sup>
H1 CC <sup>1.5p</sup>	03-07	0.008	0.40	300	15000	182	$e^+p$	319	14	[8]1
H1 NC 1.5p	03-07	0.0008	0.65	60	50000	151.7	e <sup>-</sup> p	319	13, 19	181
H1 CC <sup>1.5p</sup>	03-07	0.008	0.40	300	30000	151.7	$e^{-}p$	319	14	[8]1
H1 NC med O	<sup>2</sup> *y.5 03-07	0.0000986	0.005	8.5	90	97.6	$e^+ n$	319	13	[10]
H1 NC low 0	*y.5 03-07	0.000029	0.00032	2.5	12	59	$e^+ p$	319	13	[10]
ZEUS NC	06-07	0.005	0.65	200	30000	135.5	$e^+ p$	318	13 14 20	[22]
ZEUS CC 1.5	06-07	0.0078	0.42	280	30000	132	$a^+ n$	318	14	[22]
ZEUS NC 1.5	05.06	0.0078	0.42	200	30000	160.0		218	20	[20]
ZEUS ICC 1.5	04.06	0.005	0.65	200	20000	109.9		219	14	[20
ZEUS CC	04-00	0.013	0.05	200	110	175	$e^{p}$	318	14	[21]
ZEUS NC IIII	11ite ** 06-07	0.000092	0.008343	5	110	44.5	$e^{+}p$	318	13	[24
	575 GoV data sate	0.000071	0.000345	5	110	44.5	ep	510	15	[24
$\frac{\Pi E KA \Pi E_p}{\Pi E h h h} = \frac{1}{2}$	$\frac{575}{2}$ Or uata sets	0.00065	0.65	25	800	51	.+	252	12 10	101
HINC nigh Q	07	0.0000370	0.05	1.5	006	5.4		252	13, 19	[9]
HINCIOW Q	07	0.0000279	0.0148	1.5	90	5.9	e p	252	13	[10
ZEUS NC non	111ai 07	0.00014/	0.013349		110	7.1	$e^{\cdot}p$	251	13	[24
ZEUS NC sate	100 C V 1 (	0.000125	0.013349	5	110	/.1	e p	251	13	[24
HERA II $E_p$ =	400 GeV data sets								10.10	
H1 NC high Q	- 07	0.00081	0.65	35	800	11.8	$e^+p$	225	13, 19	[9]
H1 NC low $Q^2$	07	0.0000348	0.0148	1.5	90	12.2	<i>e</i> <sup>+</sup> <i>p</i>	225	13	[10
ZEUS NC non	ninal 07	0.000184	0.016686	7	110	13.9	$e^+p$	225	13	[24]
ZEUS NC sate	llite 07	0.000143	0.016686	5	110	13.9	$  e^+ p$	225	13	[24]

ZEUS

H1 & ZEUS have now published all their inclusive measurements (1992-2007)

- HERA-I
- HERA-II measurements at high-Q<sup>2</sup>
- HERA-II measurements at reduced  $\sqrt{s}$

 $0.6 \times 10^{-6} < x < 0.65$  ,  $0.045 < Q^2 < 50000$ 

- 41 data sets are combined:
  - NC & CC cross sections
  - e<sup>+</sup>p and e<sup>-</sup>p scattering
  - 4 different  $\sqrt{s}$  (318, 301, 252 and 225 GeV)

#### **DIS Experiments: HERA Combined results**



#### **DIS Experiments: Fivex target and HERA**





#### **DIS Experiments: Fivex target and HERA**



#### **DIS Experiments: Fixed Target and HERA**



More on Fixed Target exps in Lecture 2

#### **DIS Experiments: Fixed Target and HERA**



39

## DIS Experiments: Long. SF F<sub>L</sub> (H1)



Eur. Phys. J. C (2014) 74:2814

#### DIS Experiments: Heavy quarks (c and b)



$$\begin{aligned} \frac{d\sigma^{Q\bar{Q}}(e^{\pm}p)}{dx\,dQ^2} &= \frac{2\pi\alpha^2}{x\,Q^4} \Big( (1+(1-y)^2)\,F_2^{Q\bar{Q}} - y^2\,F_L^{Q\bar{Q}} \mp x\,(1-(1-y)^2)\,F_3^{Q\bar{Q}} \Big) \\ \sigma^{Q\bar{Q}}_{red}(x,Q^2) &= \frac{d\sigma^{Q\bar{Q}}(e^{\pm}p)}{dx\,dQ^2} \cdot \frac{x\,Q^4}{2\pi\alpha^2 Y_+} = F_2^{Q\bar{Q}} - \frac{y^2}{Y_+}\,F_L^{Q\bar{Q}}, \end{aligned}$$

Important for testing FFNS and VFNS....

#### DIS Experiments: Heavy quarks (c and b)



EU EIC School 23

#### **DIS Experiments: EW unification**



A beautiful textbook plot that shows the unification of the NC and CC interactions at the EW scale



EU EIC School 23

Х

#### QCD

$$\mathcal{L} = -\frac{1}{4} F^{A}_{\alpha\beta} F^{\alpha\beta}_{A} + \sum_{\text{flavours}} \bar{q}_{a} (i \not\!\!D - m)_{ab} q_{b} + \mathcal{L}_{\text{gauge-fixing}}$$

$$F^{A}_{\alpha\beta} = \partial_{\alpha}\mathcal{A}^{A}_{\beta} - \partial_{\beta}\mathcal{A}^{A}_{\alpha} - gf^{ABC}\mathcal{A}^{B}_{\alpha}\mathcal{A}^{C}_{\beta}$$

$$(D_{\alpha})_{\alpha b} = \partial_{\alpha} \delta_{ab} + ig \left( t^{C} \mathcal{A}_{\alpha}^{C} \right)_{ab}$$

$$\frac{\partial \alpha_S(Q)}{\partial \tau} = \beta(\alpha_S(Q)) \quad \tau = \ln\left(\frac{Q^2}{\mu^2}\right)$$

QCD Collinear Factorization:

$$d\sigma(ep \to eX)(x, Q^2) = \sum_q [d\hat{\sigma}_{eq}(Q^2, \mu) \otimes f_q(Q^2, \mu)](x)$$



$$\sigma \otimes f = \int_x^1 \frac{dy}{y} \sigma(y) f\left(\frac{x}{y}\right)$$

#### **QCD** Factorization and Structure functions

$$\mathcal{F}_a^k(x,Q^2) = \sum_i C_{a,i}^k(a_s, \frac{Q^2}{\mu_F^2}, m_c, m_b, \frac{x}{z}) \otimes f_i(a_s, z, \mu_F^2)$$
  
with  $a = 2, L, 3$  and  $k = NC, CC$  and  $i = q, \bar{q}, g$ 

Coefficient functions

$$C_{a,i}^{k} = C_{a,i}^{k(0)} + \frac{\alpha_s}{4\pi} C_{a,i}^{k(1)} + \left(\frac{\alpha_s}{4\pi}\right)^2 C_{a,i}^{k(2)} + \dots \text{ with } a = 2, 3, L \text{ and } k = NC, CC$$

#### **QCD** Factorization and Structure functions

$$\mathcal{F}_a^k(x,Q^2) = \sum_i C_{a,i}^k(a_s, \frac{Q^2}{\mu_F^2}, m_c, m_b, \frac{x}{z}) \otimes f_i(a_s, z, \mu_F^2)$$
  
with  $a = 2, L, 3$  and  $k = NC, CC$  and  $i = q, \bar{q}, g$ 

Parton distribution functions, DGLAP evolution equations and Splitting functions

$$\frac{dq_i(x,Q^2)}{d\log Q^2} = \frac{\alpha_s}{2\pi} \int_x^1 \frac{dy}{y} \left[ q_i(y,Q^2) P_{qq}\left(\frac{x}{y}\right) + g(y,Q^2) P_{qg}\left(\frac{x}{y}\right) \right]$$
$$\frac{dg(x,Q^2)}{d\log Q^2} = \frac{\alpha_s}{2\pi} \int_x^1 \frac{dy}{y} \left[ \sum_i q_i(y,Q^2) P_{gq}\left(\frac{x}{y}\right) + g(y,Q^2) P_{gg}\left(\frac{x}{y}\right) \right]$$

#### **QCD** Factorization and Structure functions

$$\mathcal{F}_a^k(x,Q^2) = \sum_i C_{a,i}^k(a_s, \frac{Q^2}{\mu_F^2}, m_c, m_b, \frac{x}{z}) \otimes f_i(a_s, z, \mu_F^2)$$
  
with  $a = 2, L, 3$  and  $k = NC, CC$  and  $i = q, \bar{q}, g$ 

Parton distribution functions, DGLAP evolution equations and Splitting functions

$$\begin{split} P_{\rm qq}^{(0)}(z) &= \frac{4}{3} \left[ \frac{1+z^2}{(1-z)_+} + \frac{3}{2} \,\delta(1-z) \right] \\ P_{\rm qg}^{(0)}(z) &= \frac{1}{2} \left[ z^2 + (1-z)^2 \right] \\ P_{\rm gq}^{(0)}(z) &= \frac{4}{3} \left[ \frac{1+(1-z)^2}{z} \right] \\ P_{\rm gg}^{(0)}(z) &= 6 \left[ \frac{z}{(1-z)_+} + \frac{1-z}{z} + z(1-z) + \left( \frac{11}{12} - \frac{n_f}{18} \right) \delta(1-z) \right] \\ \int_0^1 dx f(x) [F(x)]_+ &= \int_0^1 dx \; (f(x) - f(1)) F(x) \end{split}$$

# **Groups/Collaborations active on PDFs**

Many groups active since the mid 80's in the DGLAP analyses. The "modern era" of PDF fitting started at the beginning of the 90s (CTEQ1, MRS).



## **QCD DGLAP analysis**

Parameterisation at the starting scale:

 $xf(x) = Ax^{B}(1-x)^{C}(1+Dx+Ex^{2})$ 

Parforme numerical evolution of PDFs and compute theoretical observables:

 $\sigma_{theo} = C_i \otimes f_i = C_i \otimes \Gamma_{i,j} \otimes f_i(Q_0^2)$ 

Determine the PDFs parameters (and their uncertainties) at the starting scale via a chi2 minimization procedure:

$$\chi^2_{\exp}(\boldsymbol{m}, \boldsymbol{s}) = \sum_i \frac{\left[m^i - \sum_j \gamma^i_j m^i s_j - \mu^i\right]^2}{\delta^2_{i,\text{stat}} \mu^i m^i + \delta^2_{i,\text{uncor}} (m^i)^2} + \sum_j s_j^2$$







More on xFitter in Lecture 3 ("hands-on" session)

## NNPDF4.0



More on NNPDF in Lecture 2 and Lecture 3 ("hands-on" session)

# HERAPDF2.0: Settings DGLAP analysis

• HERA combined data set



• Final analytical form (14 params) obtained via parameter scan:

$$\begin{aligned} xg(x) &= A_g x^{B_g} (1-x)^{C_g} - A'_g x^{B'_g} (1-x)^{C'_g}, \\ xu_v(x) &= A_{u_v} x^{B_{u_v}} (1-x)^{C_{u_v}} \left(1 + E_{u_v} x^2\right), \\ xd_v(x) &= A_{d_v} x^{B_{d_v}} (1-x)^{C_{d_v}}, \\ x\bar{U}(x) &= A_{\bar{U}} x^{B_{\bar{U}}} (1-x)^{C_{\bar{U}}} \left(1 + D_{\bar{U}} x\right), \\ x\bar{D}(x) &= A_{\bar{D}} x^{B_{\bar{D}}} (1-x)^{C_{\bar{D}}}. \end{aligned}$$

- Heavy Flavours: Roberts-Thorne VFNS (RTOPT) and two FF Schemes
- Fits performed at LO, NLO and NNLO and for  $Q_{2min}=3.5$  and 10 GeV<sup>2</sup>
- Detailed study of PDFs uncertainties: experimental, model and parameterisation

## **HERAPDF2.0: Uncertainties**

Three different uncertainty components are considered:

Experimental uncertainties

Consistent data sets  $\rightarrow$  use Hessian Method with  $\Delta \chi^2 = 1$ ( Cross checked with a MC method based on pseudo data sets (replicas) )

Model uncertainties

The following variations were considered:

Variation	Standard Value	Lower Limit	Upper Limit	
$Q_{\rm min}^2$ [GeV <sup>2</sup> ]	3.5	2.5	5.0	
$Q_{\rm min}^2$ [GeV <sup>2</sup> ] HiQ2	10.0	7.5	12.5	
$M_c(\text{NLO})$ [GeV]	1.47	1.41	1.53	
$M_c$ (NNLO) [GeV]	1.43	1.37	1.49	
$M_b$ [GeV]	4.5	4.25	4.75	
$f_s$	0.4	0.3	0.5	

Parameterisation uncertainties

- Addition of the parameters D and E in the parameterisation formula:

$$xf(x) = Ax^{B}(1-x)^{C}(1+Dx+Ex^{2})$$

- Starting scale  $Q_0^2$ 



## HERAPDF2.0: NLO and NNLO PDFs



# To be continued tomorrow morning... (Lecture 2)

}