

# Particle production in the DIS target fragmentation region

**Federico Alberto Ceccopieri**

IJCLab, Orsay, France

Workshop on kaons with CLAS12

Laboratori Nazionali di Frascati

13-16 December 2022

Based on EPJ C 73 (2013) 2435 and EPJ C 76 (2016) 2

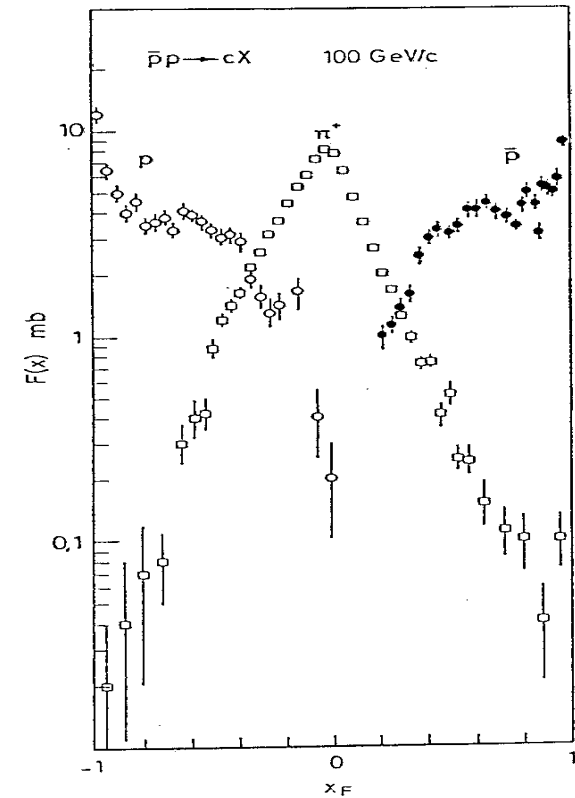
## Outline of the talk

---

- Hadronic final state in DIS
- Factorisation and evolution in the target region
- Target fragmentation in SIDIS : the  $\Lambda$  case
- Predictions for CLAS@12 GeV
- K- $\Lambda$  correlation in DIS final state

## The leading particle effect in hadronic collisions

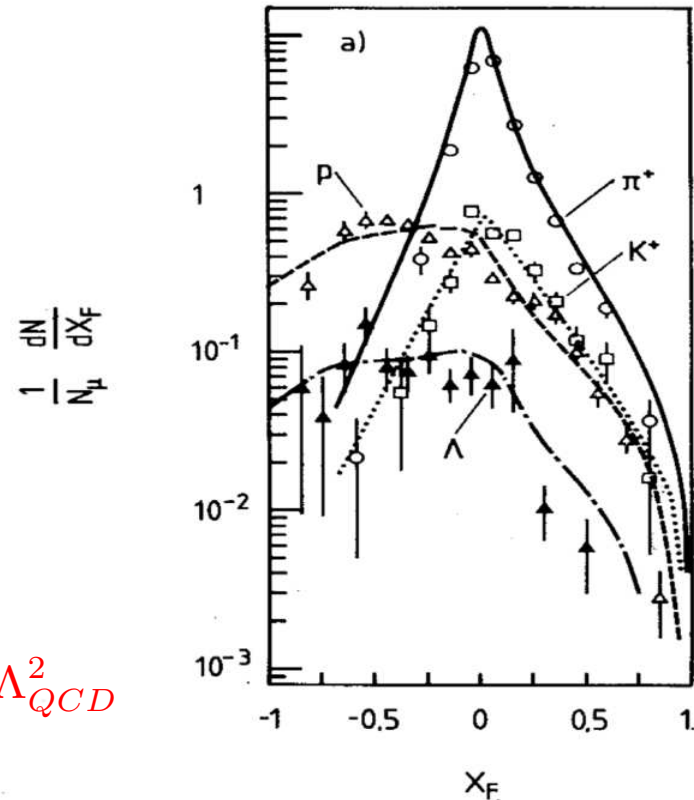
- Consider :  $\bar{p}p \rightarrow c + X$
- $x_F = 2p_{||}/\sqrt{s}$  in hadronic centre of mass
- **Leading particle effect** : privileged quark-flavour quantum number flow from the initial state particle (either  $\bar{p}$  or  $p$ ) to the final state one (c)
- the more the quark-flavour content is conserved from initial to final state hadron, the more the latter carries a substantial fraction of the energy available in the reaction.
- Pions (Gribov QCD light) don't show LPE
- **However no hard momentum transfer is present in this reaction  $\rightarrow$  pQCD can not be applied**



Basile & al. '81

## The leading particle effect in DIS

- Consider :  $\mu p \rightarrow \mu + h + X$ , DIS@280 GeV
- The same effect is observed in the DIS target fragmentation region,  $x_F < 0$
- LPE for backward proton (uud) and  $\Lambda$  (uds)
- No LPE for  $\bar{\Lambda}$  ( $\bar{u}\bar{d}\bar{s}$ ),  $\bar{p}$  ( $\bar{u}\bar{u}\bar{d}$ ) and mesons in  $x_F < 0$
- But here we DO have hard scale now,  $Q^2 \gg \Lambda_{QCD}^2$



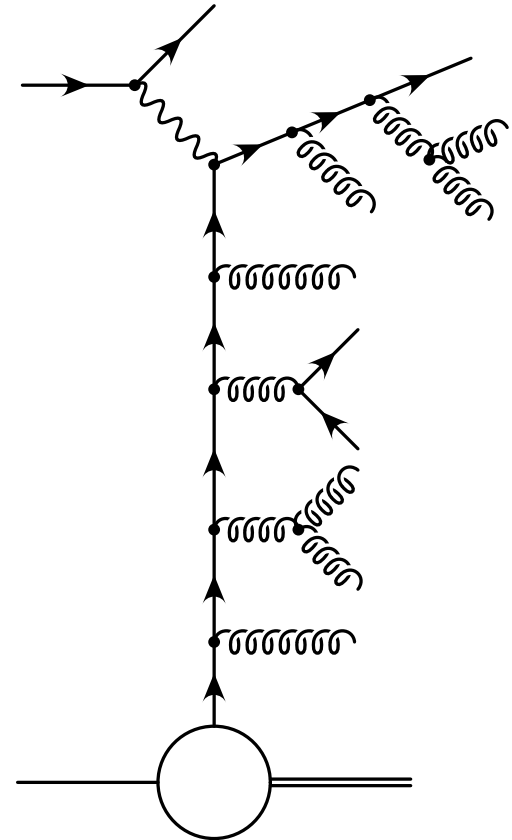
EMC Coll. '81

## Fragmentation in SIDIS

- Consider a Deep Inelastic Scattering event in which a highly virtual photon probes a parton fluctuation on timescale  $1/Q$  in a nucleon with momentum  $P$

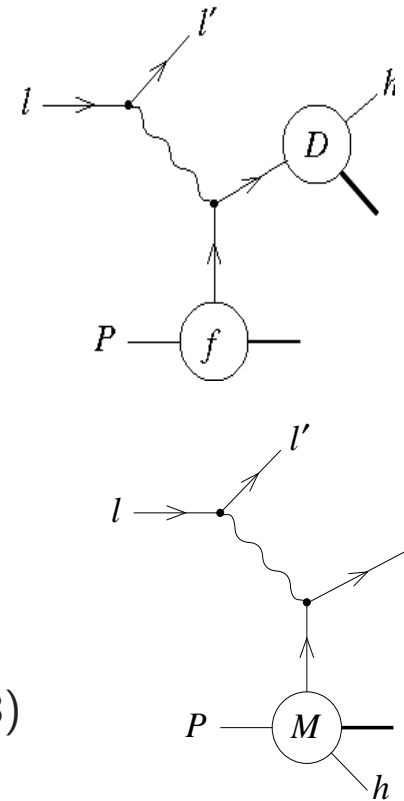
Define  $t = (P - p_h)^2$ :

- for  $t \sim Q^2 \rightarrow$  current fragments
- for  $t \sim 0$  target fragments
- for  $0 < t < Q^2$  central fragmentation region:  
higher order corrections



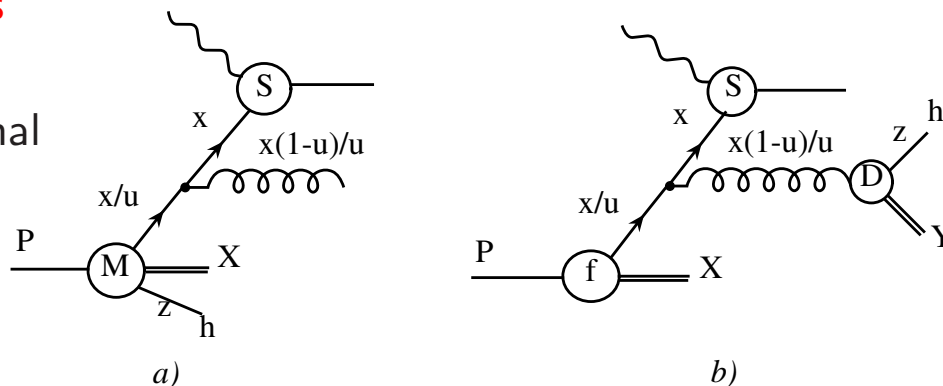
## Factorisation in SIDIS

- **Factorization theorem** allows the decoupling of short distance (ME) from long distance ( $f$ ,  $D$ ,  $M$ ) physics
- $f$ ,  $D$ ,  $M$  are **not** calculable from first principles
- The **evolution** of  $f$ ,  $D$ ,  $M$  however is known (RGE)
- At lowest order, in the current region ( $x_F > 0$ )  $d\sigma \propto f \otimes D$  and in the target region ( $x_F < 0$ )  $d\sigma \propto M$
- **Factorisation** for  $M$  in SIDIS has been **proven** at collinear and soft level (Grazzini, Trentadue, Veneziano 1998; Collins 1998)
- Collinear factorization **confirmed** in fixed order pQCD calculation at  $\mathcal{O}(\alpha_s)$  and  $\mathcal{O}(\alpha_s^2)$  (Graudenz, 1994; Daleo & al 2003)



## Fracture functions in SIDIS

- Fracture functions  $M$  complete the description of SIDIS final state:
- $M$  parametrize **soft QCD dynamics** in forward semi-inclusive processes.
- $M_{i/p}^h(x, z, Q^2)$  gives the conditional probability that a parton  $i$  with a fractional momentum  $x$  of the incoming proton enters the hard scattering while an hadron  $h$  with fractional momentum  $z$  is detected in the **TFR** of  $p$ .
- They obey a DGLAP-type inhomogeneous evolution equations:



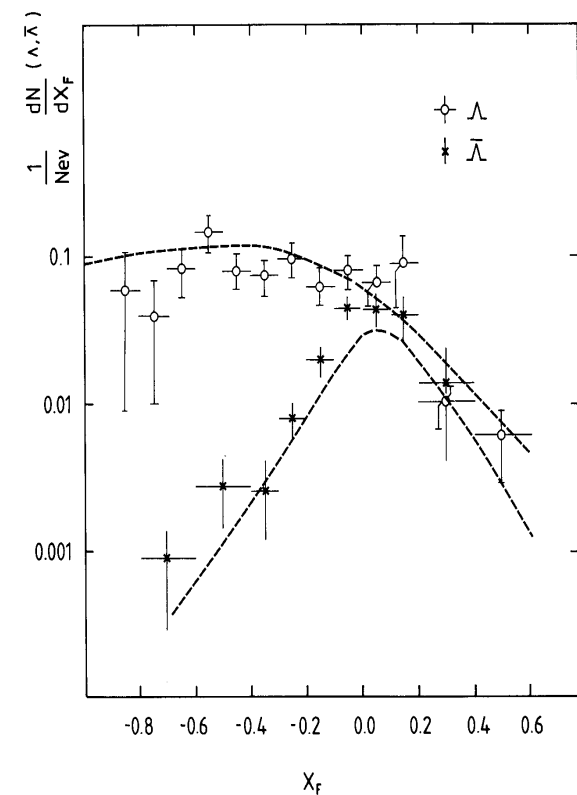
$$Q^2 \frac{dM_{i/p}^h}{dQ^2} = \frac{\alpha_s}{2\pi} P_{ji} \otimes M_{j/p}^h + \frac{\alpha_s}{2\pi} \hat{P}_{ji}^l \otimes f_{j/p} D_l^h.$$

Trentadue, Veneziano '94

## $\Lambda$ leptonproduction in DIS

EMC Coll. 1981

- $\mu p \rightarrow \mu \Lambda X$  @ 280 GeV, DIS regime
- Forward ( $x_F > 0$ )  $\Lambda$  and  $\bar{\Lambda}$  production comparable
- No LPE for  $\bar{\Lambda}$ s, symmetric around  $|x_F| \sim 0$
- LPE for  $\Lambda$ s ( $uud \rightarrow uds$ )
- Focus on Lambdas in the following





## SIDIS variables and cross section

---

- $z_h$  not good for target: mixes soft and target hadrons for  $z_h \rightarrow 0$

$$z_h = \frac{P \cdot h}{P \cdot q} = \frac{E_h^*}{E_p^*(1 - x_B)} \frac{1 - \cos\theta}{2}$$

- hadron variables in  $\gamma^* N$  c.o.m. frame:

$$z_G = \frac{E_h^*}{E_p^*(1 - x_B)}, \quad E_p^*(1 - x_B) = W/2, \quad \zeta = \frac{E_h^*}{E_p^*}, \quad x_F = \pm \sqrt{z_G^2 - \frac{4m_T^2}{W^2}}$$

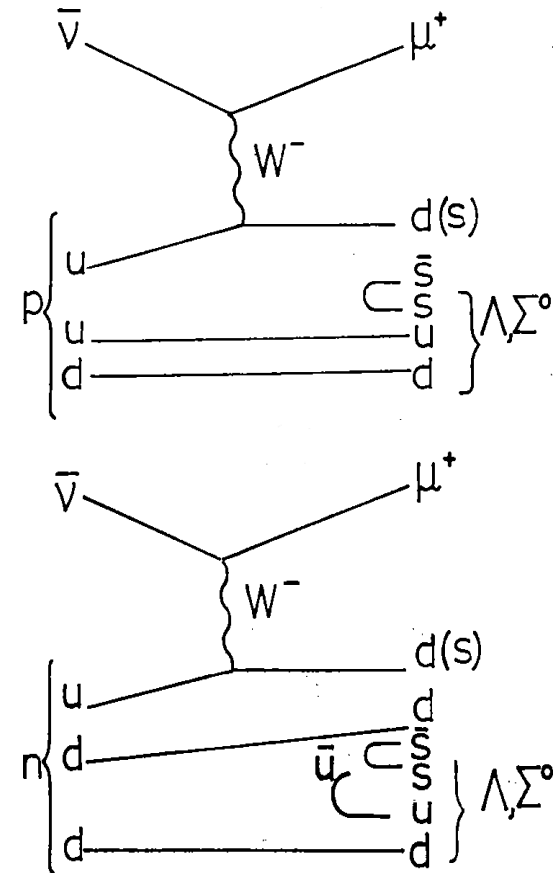
- The Lambda leptonproduction cross section in term of these variables reads

$$\frac{d\sigma^{\Lambda/N}}{dx_B dQ^2 dz_G} \propto \frac{z_G}{|x_F|} \sum_i c_i \left[ f_{i/N}(x_B, Q^2) D_i^\Lambda(z_G, Q^2) + (1 - x_B) M_{i/N}^\Lambda(x_B, (1 - x_B)z_G, Q^2) \right]$$

- **Best strategy** to extract  $M$ : subtract the current from  $z_G$  spectra
- **But**: Large uncertainties on FFs at low  $Q$ , no  $z_G$  spectra available in the literature..
- Resort to kinemtical separation in  $x_F$  : associate target fragments to  $x_F < 0$

## Initial conditions for $\Lambda$ fracture functions (1)

- The hard scattering process occurs on times scales of the order  $1/Q$  much shorter than the typical ones of spectator fragmentation,  $\sim 1/Q_0$
- At such low scale, a parton with flavour  $i$  and momentum  $x$  is then removed from the proton with probability  $f_{i/P}(x_B, Q_0^2)$
- The leftover coloured system reassembles to give colourless  $\Lambda$  with fractional momentum  $z$  on much longer "time scale",  $\sim 1/\Lambda_{QCD}$ , with probability  $\tilde{D}_i^\Lambda(z)$
- Phenomenological factorisation:  $M \propto f \times \tilde{D}$



## Initial conditions for $\Lambda$ fracture functions (2)

---

- Assumption : fracture functions can be factorized, at some low and arbitrary  $Q_0^2 \sim 1 \text{ GeV}^2$  scale, in the form

$$(1 - x_B)M_{i/p}^\Lambda(x_B, \zeta, Q_0^2) = M_{i/p}^\Lambda(x_B, z, Q_0^2) = f_{i/p}(x_B, Q_0^2)\tilde{D}_i^\Lambda(z)$$

- $f_{i/p}(x, Q_0^2)$  are standard parton distribution functions (GRV'94)
- $\tilde{D}_i^\Lambda(z)$  are unknown spectator fragmentation functions
- The input distributions are then evolved to arbitrary scales via FF evolution equations.

## Initial conditions for $\Lambda$ fracture functions (3)

---

- Exploit GRV'94 valence/sea decomposition  $\oplus$  simplified flavour and energy dependence

$$(1 - x_B)M_{u/p}^\Lambda(x_B, z, Q_0^2) = u_v(x_B, Q_0^2)N_u z^{\alpha_u}(1 - z)^{\beta_u} + u_s(x, Q_0^2)N_s z^{\alpha_s}(1 - z)^{\beta_s}$$

$$(1 - x_B)M_{d/p}^\Lambda(x_B, z, Q_0^2) = d_v(x_B, Q_0^2)N_d z^{\alpha_d}(1 - z)^{\beta_d} + d_s(x, Q_0^2)N_s z^{\alpha_s}(1 - z)^{\beta_s}$$

$$(1 - x_B)M_{g/p}^\Lambda(x_B, z, Q_0^2) = g(x, Q_0^2)N_s z^{\alpha_s}(1 - z)^{\beta_s}$$

$$(1 - x_B)_{q_s/p}^\Lambda(x_B, z, Q_0^2) = q_s(x_B, Q_0^2)N_s z^{\alpha_s}(1 - z)^{\beta_s}$$

- In case of scattering on a sea quark, the spectator fragments independently of the flavour of the latter:  $N_s z^{\alpha_s}(1 - z)^{\beta_s}$
- $x_B$  dependence driven by pdfs. 12 free pars
- Gluon spectator fragmentation unconstrained, set  $\tilde{D}_g^\Lambda = \tilde{D}_{q_s}^\Lambda$ ,  $\rightarrow$  9 free pars

## Data set used in the fit

---

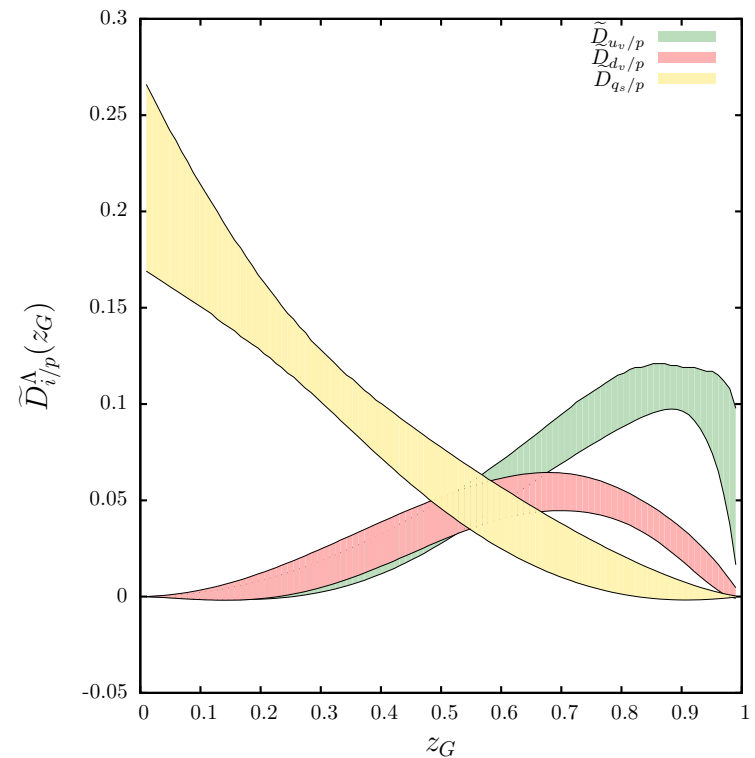
- fit data only on **light** targets :  $N = p, D, n \rightarrow$  flavour sep., avoid nuclear effects
- **observable** :  $d\sigma^\Lambda/dx_F$
- **Inclusive  $\Lambda$  sample** :  $\Lambda$  from higher mass resonance decays included in the sample

Reaction type	$\langle E_i \rangle$ (GeV)	$\langle W^2 \rangle$ (GeV <sup>2</sup> )	$\langle Q^2 \rangle$ (GeV <sup>2</sup> )	$\langle x_B \rangle$	$\Lambda$ rates (%)
$\nu p$ [1]	50.0	-	-	-	$7.0 \pm 1.2$
$\nu n$ [1]	50.0	-	-	-	$7.0 \pm 0.8$
$\nu p$ [2]	42	34.7	8.7	0.2	$5.2 \pm 0.3$
$\bar{\nu} p$ [2]	38.5	20.4	5.2	0.2	$5.7 \pm 0.4$
$\mu p$ [3]	280	130	12	0.11	-
$\mu D_2$ [3]	280	130	12	0.11	-
$\mu D_2$ [4]	490	292	8.6	0.036	$7.8 \pm 1.6$

- [1 ] C. C. Chang *et al.*, *Phys. Rev.* **D27** (1983) 2776.  
 [2 ] G. T. Jones *et al.* (WA21 Collaboration), *Z. Phys.* **C57** (1993) 197.  
 [3 ] M. Arneodo *et al.* (EMC Collaboration), *Z. Phys.* **C34** (1987) 283.  
 [4 ] M. R. Adams *et al.* (E665 Collaboration), *Z. Phys.* **C61** (1994) 539.

## Fit results and error propagation

- Study of the eigenvalues of the Hessian matrix  $\rightarrow$  parameter reduction : 7 free pars
- $\tilde{D}_i^\Lambda = N_i z^{\alpha_i} (1 - z)^{\beta_i}$
- 3 normalizations  $N_i$  well determined
- $\beta_i$  determined with acceptable errors
- $\alpha_i$  mostly unconstrained:  
 $\alpha_u = \alpha_d$  and  $\alpha_{qs} = 0$
- $\chi^2/d.o.f. = 44.14/(46 - 7) = 1.13$
- propagation experimental uncertainties :  
14 additional  $\Lambda$ FF set corresponding  
to  $\Delta\chi^2 = 1$



Ceccopieri, Mancusi EPJC 2013

## Predictions for CLAS@12GeV

SIDIS selection:

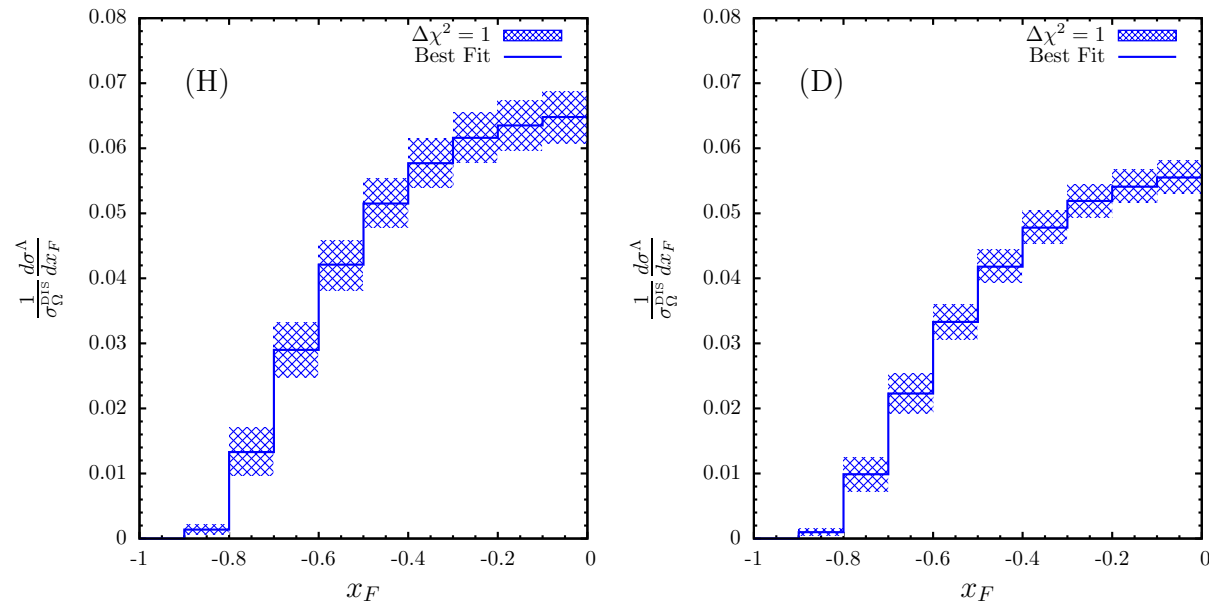
- $0.2 < y < 0.8$ ,  $Q^2 > 1 \text{ GeV}^2$ ,  $W^2 > 5 \text{ GeV}^2$
- target  $\Lambda$  :  $x_F < 0$

Target/Observable	$\langle n(\Lambda) \rangle$
proton	$0.038 \pm 0.003$ ( <i>exp</i> ) $^{+0.004}_{-0.004}$ ( <i>mass</i> ) $^{+0.002}_{-0.001}$ ( <i>scale</i> )
deuteron	$0.032 \pm 0.002$ ( <i>exp</i> ) $^{+0.003}_{-0.004}$ ( <i>mass</i> ) $^{+0.001}_{-0.001}$ ( <i>scale</i> )

Target/Observable	$\sigma^\Lambda$ [pb]
proton	$2382 \pm 170$ ( <i>exp</i> ) $^{+247}_{-269}$ ( <i>mass</i> ) $^{+159}_{-125}$ ( <i>scale</i> )
deuteron	$1758 \pm 102$ ( <i>exp</i> ) $^{+196}_{-206}$ ( <i>mass</i> ) $^{+119}_{-92}$ ( <i>scale</i> )

Ceccopieri EPJC 2016

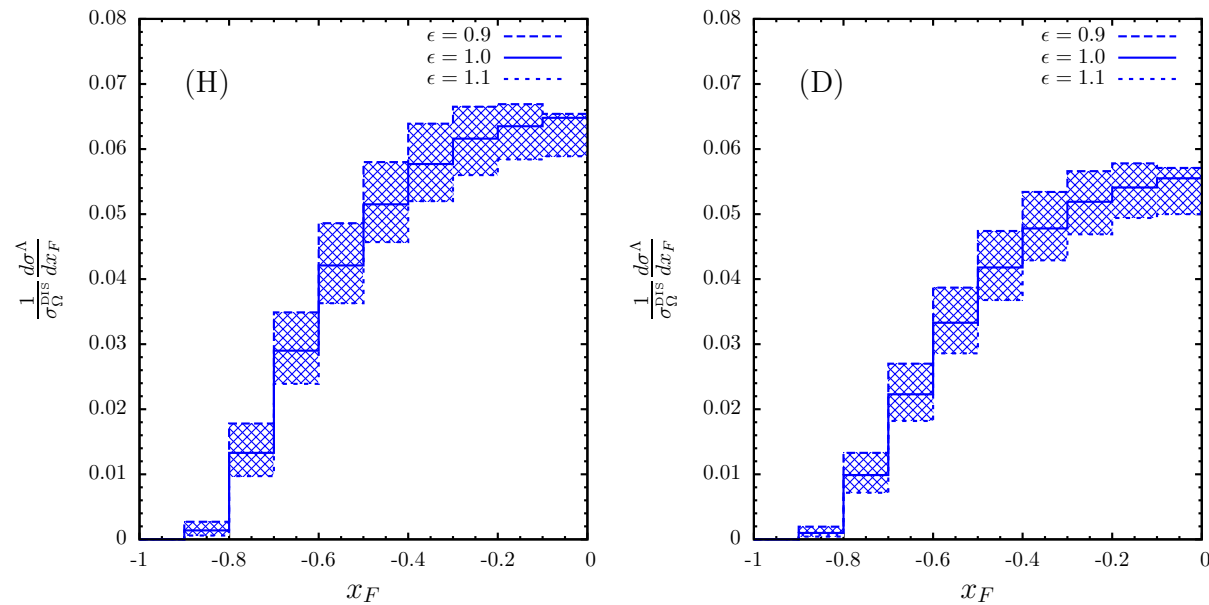
## Propagation of exp uncertainties from the $\Lambda$ FF fit



- Best fit + 14 additional  $\Lambda$ FF set corresponding to  $\Delta\chi^2 = 1$  built from eigenvectors of the Hessian matrix
- $\delta\langle n(\Lambda)\rangle = \pm 0.003$

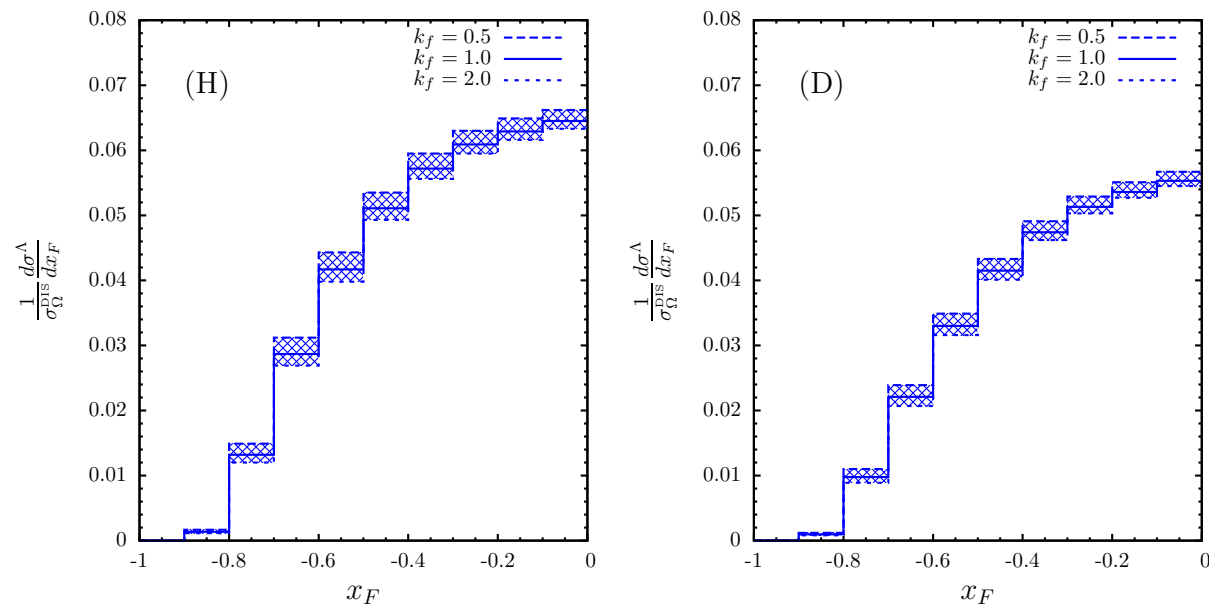


## Sensitivity to mass corrections



- mass corrections :  $x_F = \pm \sqrt{z_G^2 - \frac{4\epsilon m_T^2}{W^2}}$ ,  $m_T \simeq m_\Lambda$  since  $p_t^\Lambda \ll m_\Lambda$  (exp)
- Arbitrary variations:  $\epsilon = \{0.9, 1, 1.1\}$
- $\delta\langle n(\Lambda) \rangle = \pm 0.004$ , slight shape change

## Sensitivity to higher orders

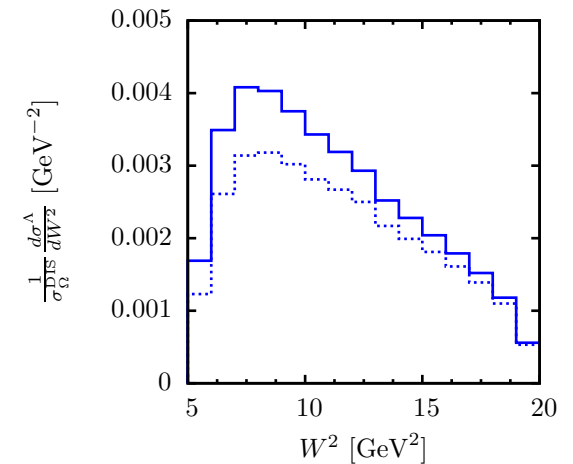
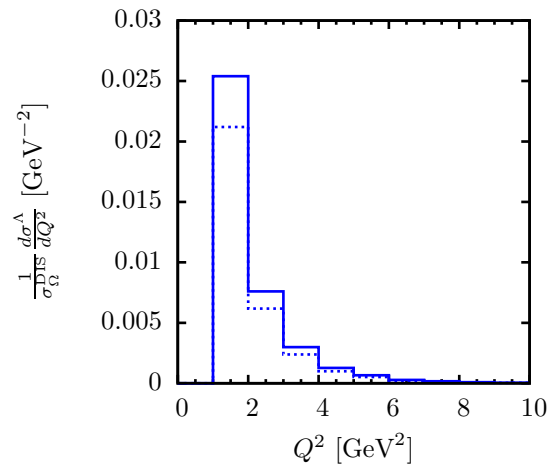
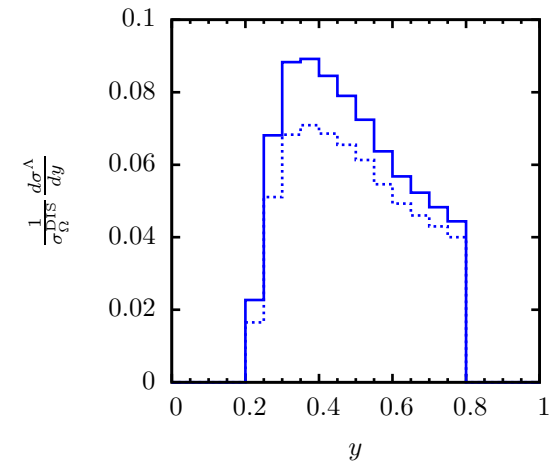
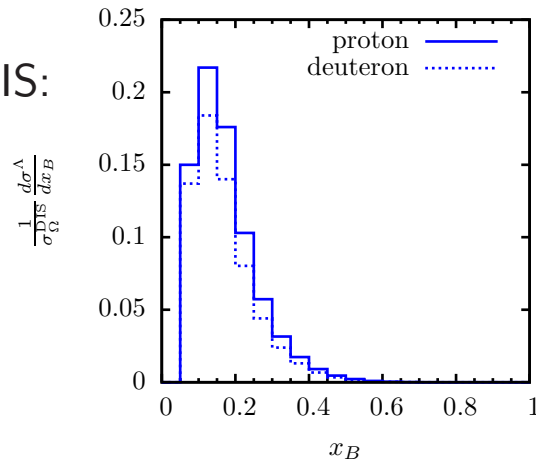


- factorisation scale:  $\mu_F^2 = \{1/2Q^2, Q^2, 2Q^2\}$
- moderate scale dependence for differential yield  $\rightarrow$  compensation with scale dependence iDIS
- $\delta\langle n(\Lambda) \rangle = \pm 0.001$

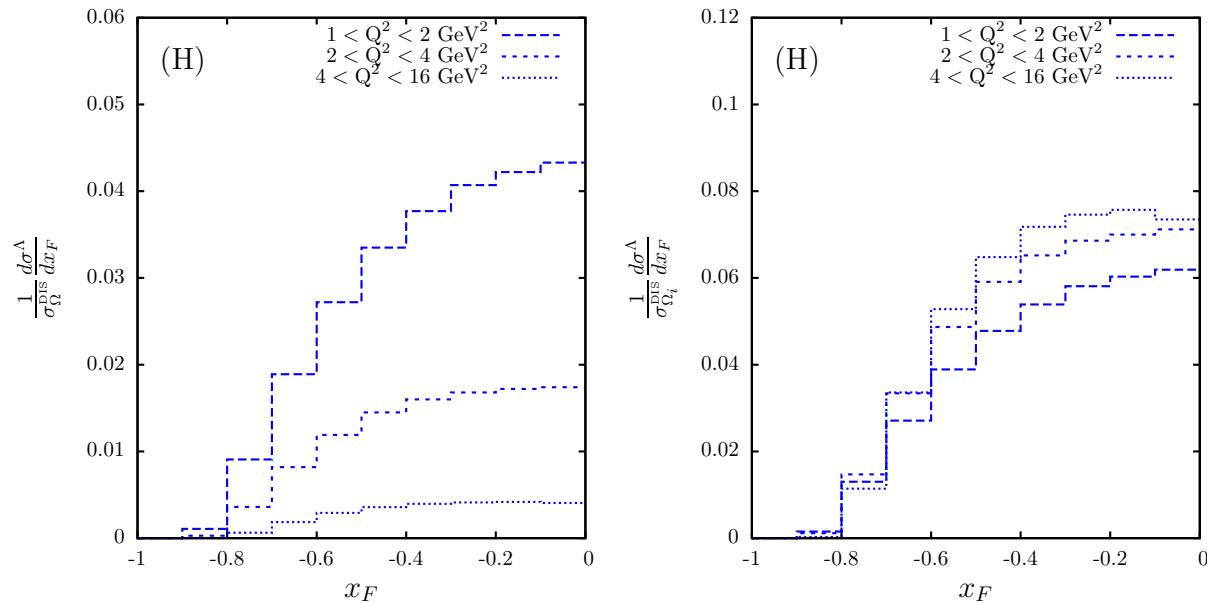
## Predictions for CLAS@12GeV

- comparison with inclusive DIS:
  - correlation between hard scattering and target  $\Lambda$  production

Variable	iDIS	$\Lambda$ DIS
$\langle x_B \rangle$	0.18	0.17
$\langle y \rangle$	0.45	0.48
$\langle Q^2 \rangle$ [GeV <sup>2</sup> ]	1.9	2.0
$\langle W^2 \rangle$ [GeV <sup>2</sup> ]	10.3	11.1



## Predictions for CLAS@12GeV

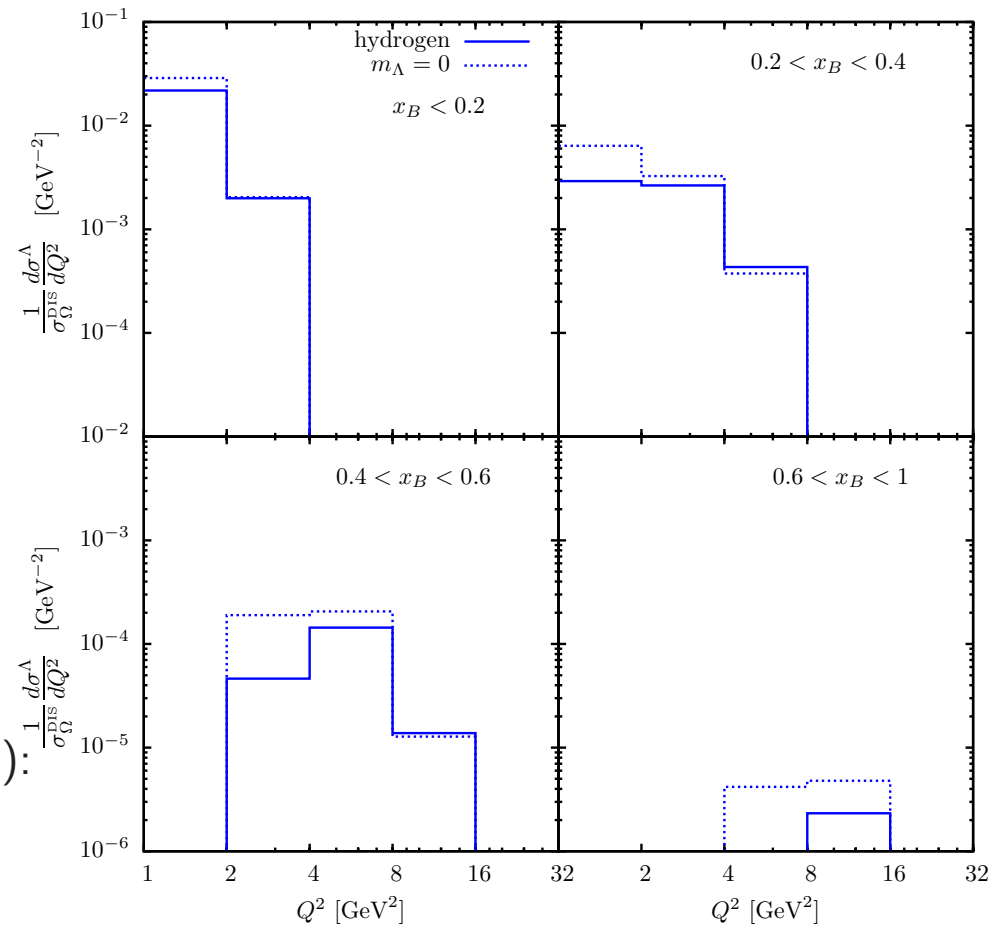


- **Left**: test leading twist hypothesis, assumed by fracture functions formalism
- **Right** : mild rise of  $\Lambda$  multiplicity with  $Q^2$ : test pQCD evolution of fracture functions
- compare spectra in DIS and PHP regime: how the transition to the non-perturbative regime in  $Q^2$  affects the Lambda spectrum in the target region.

## Predictions for CLAS@12GeV

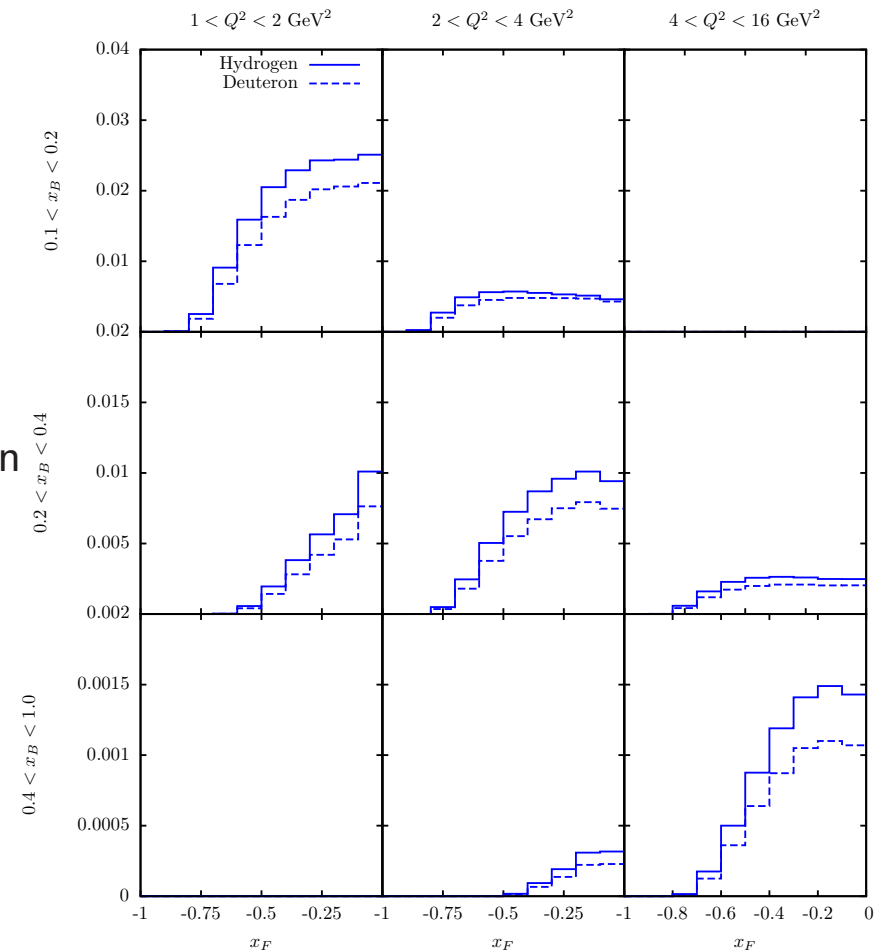
- The  $Q^2$ -differential cross section deserve special attention
- it may provide crucial test for the predicted evolution of FFs
- BUT : low values of  $W^2$  accessed by the experiment
- the  $Q^2$  spectrum receives **significant** hadron mass corrections
- They suppress the cross section as  $x_B$  increases.
- to spot  $Q^2$  scaling violations from FF evolution use **reduced** cross section (all  $Q^2$ -dep. from  $M$ ):

$$\frac{1}{\sigma_0} \frac{d\sigma^{\Lambda/N}}{dx_B dy dz} = \frac{z}{|x_F|} \sum_i e_i^2 M_{i/N}^{\Lambda}$$



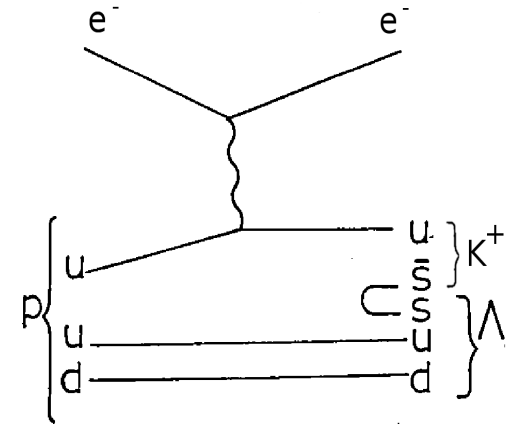
## Predictions for CLAS@12GeV

- $\frac{1}{\sigma_{\text{DIS}}} \frac{d\sigma^{\Lambda}}{dx_F}$  in  $(x_B, Q^2)$  ranges for both proton and deuteron targets
- This way of presenting the data is probably the more exhaustive and it might be valuable for the determination of Lambda fracture functions in forthcoming global fit analyses:
- maximal sensitivity to  $\tilde{D}$ 's parameters



## Strange correlation in SIDIS final state

- Consider **double** inclusive cross section:  
 $lN \rightarrow \Lambda K^+ X$ , in DIS regime
- Trigger on very backward Lambdas ( $uds$ ),  
 $-1 < x_F < -0.5$  and  $K^+$  ( $u\bar{s}$ ) for all  $x_F$
- Measure cross section as a function of the  
**rapidity difference**  $\Delta y = y_{K^+} - y_\Lambda$
- for forward  $K^+$  (say  $x_F > 0.5$ ), the cross section  
 can predicted:  $d\sigma/d\Delta y \propto M_{i/N}^\Lambda \otimes D_i^{K^+}$
- for backward  $K^+$  (say  $x_F < -0.5$ ), the cross section depends on unknown  
 two particles fracture functions:  $d\sigma/d\Delta y \propto M_{i/N}^{K^+, \Lambda}$
- Such an observable is sensitive to strangeness propagation across the DIS final state



## Conclusions

---

- For a complete description of SIDIS one has to deal with target fragmentation: its description in terms fracture functions is slowly improving (**Relevant** for EIC)
- Phenomenology at all energy and for different particles ( $p, n, \Lambda, \pi, \bar{p}$ ) is required
- A model for the description of backward  $\Lambda$  production **has been constructed** in the fracture functions framework (CM12)
- Predictions for a number of observables for CLAS@12GeV have been presented: **potential** to test underlying **theory** and to sharpen the **model** to investigate
  - ... strange correlations in DIS final state
  - ... to give baseline for Lambdas production off nuclei
  - ... to give baseline for target/current spin correlation studies