

# Measurements of $b \rightarrow s\ell\ell$ decays at LHCb

Renato Quagliani (EPFL)  
*on behalf of the LHCb collaboration*

15 May 2023



# Introduction

## ◆ No direct evidence of New Physics (NP) so far

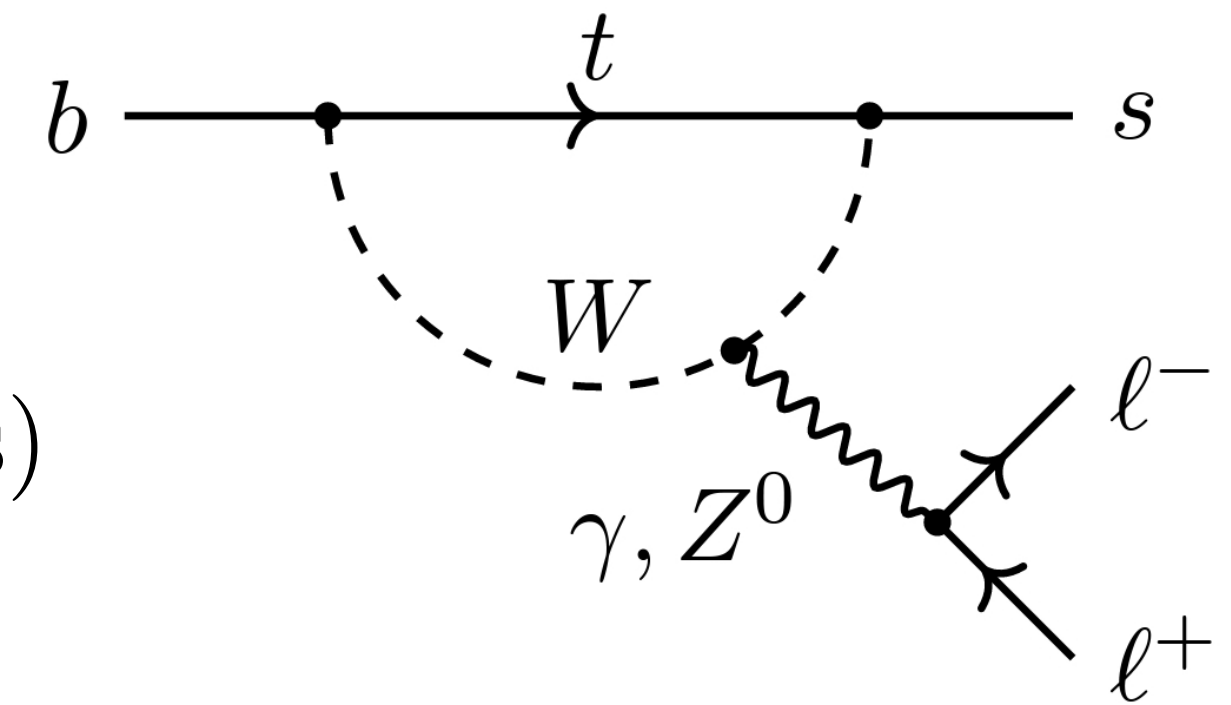
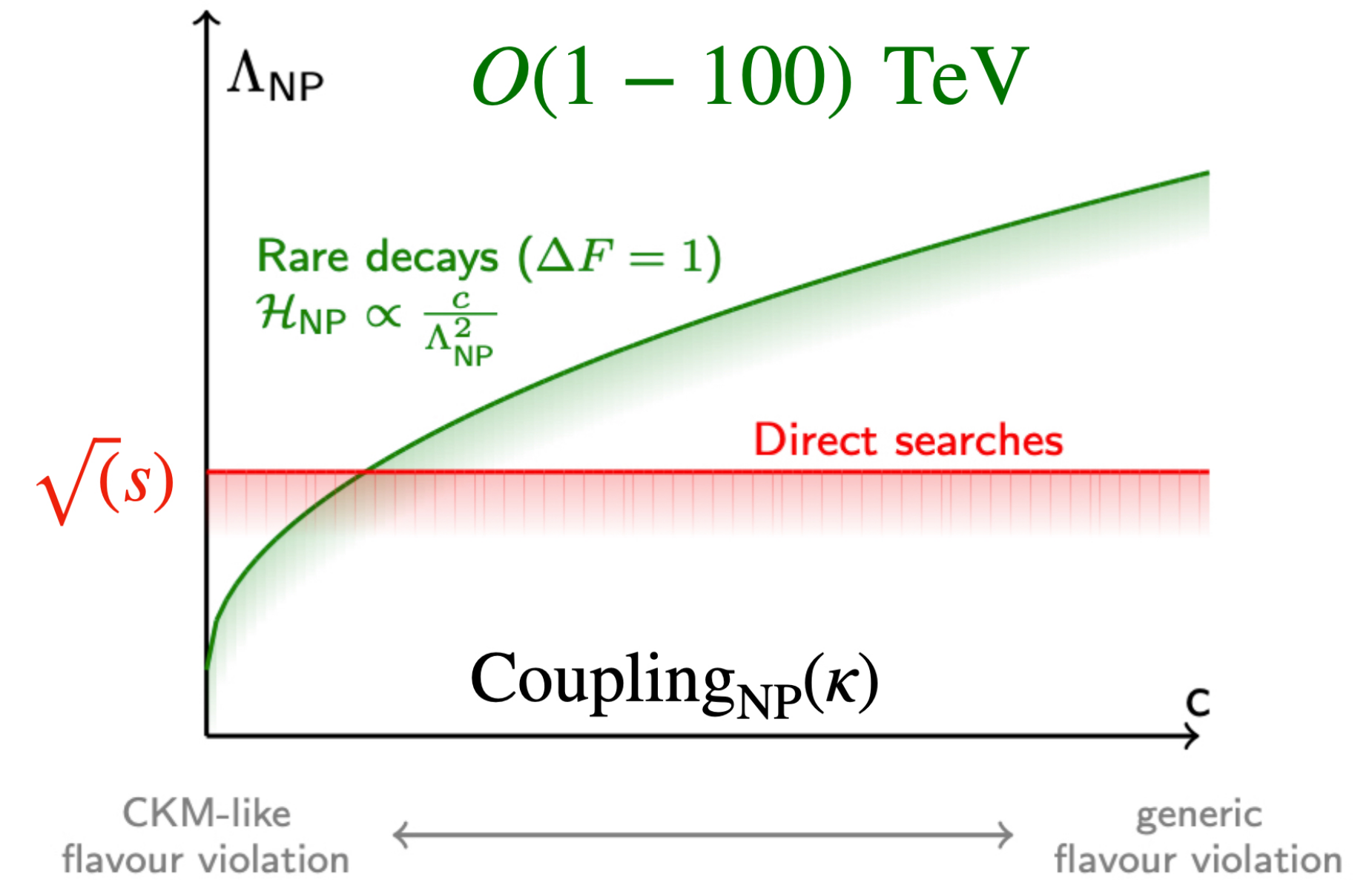
- ▶ Indirect searches/exclusion of generic NP models in **rare b-decays** very powerful beyond **current direct searches reach**

## ◆ $b \rightarrow s\ell\ell$ ( $B \rightarrow M\ell\ell$ ): a powerful laboratory to hunt NP

- ▶  $\mathcal{B} \sim 10^{-6}$  in the Standard Model (SM)
- ▶ NP can affect modify
  1. Decay rates
  2. Angular distributions
  3. Rate asymmetries (CP, lepton flavour asymmetries)

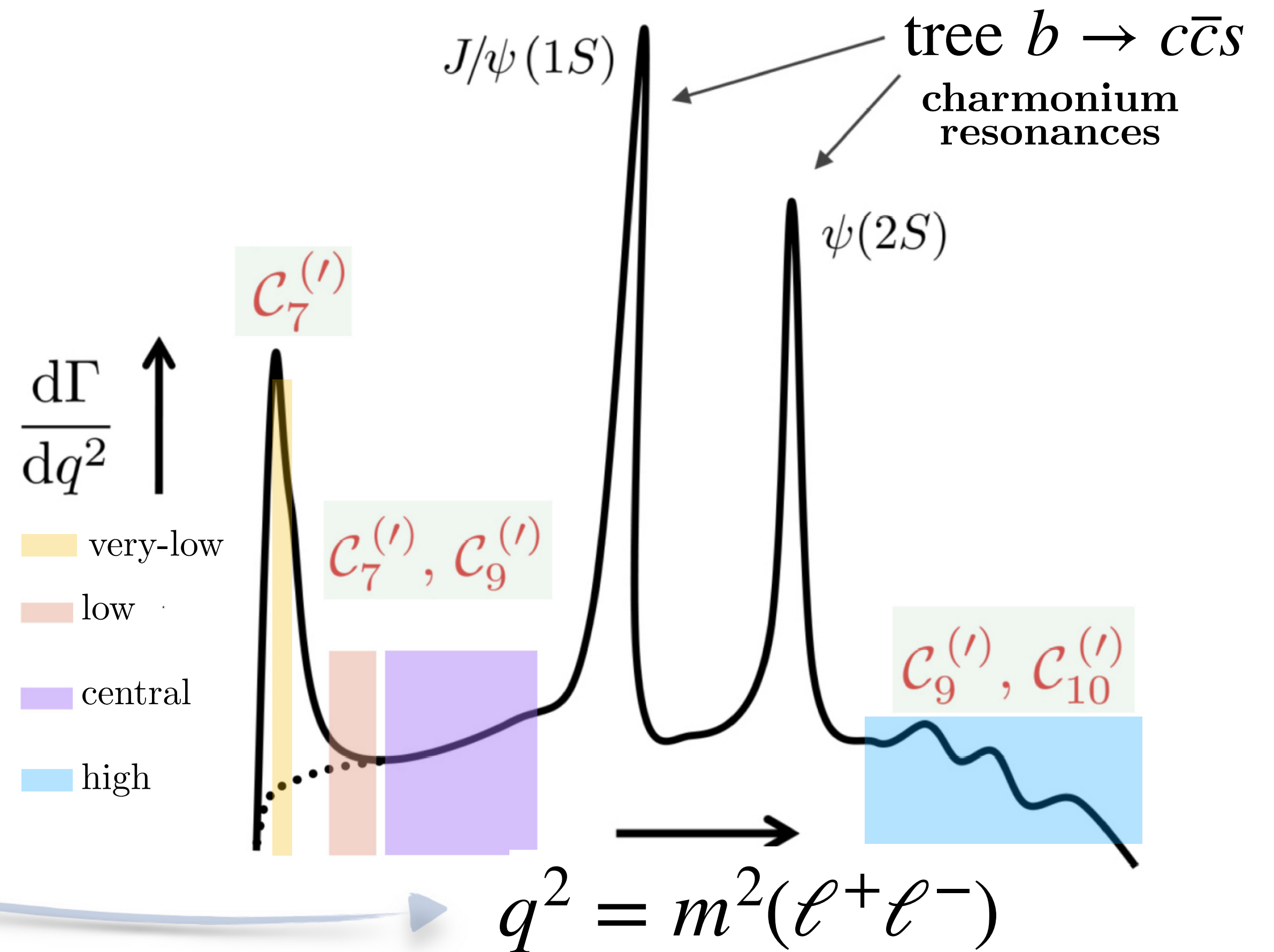
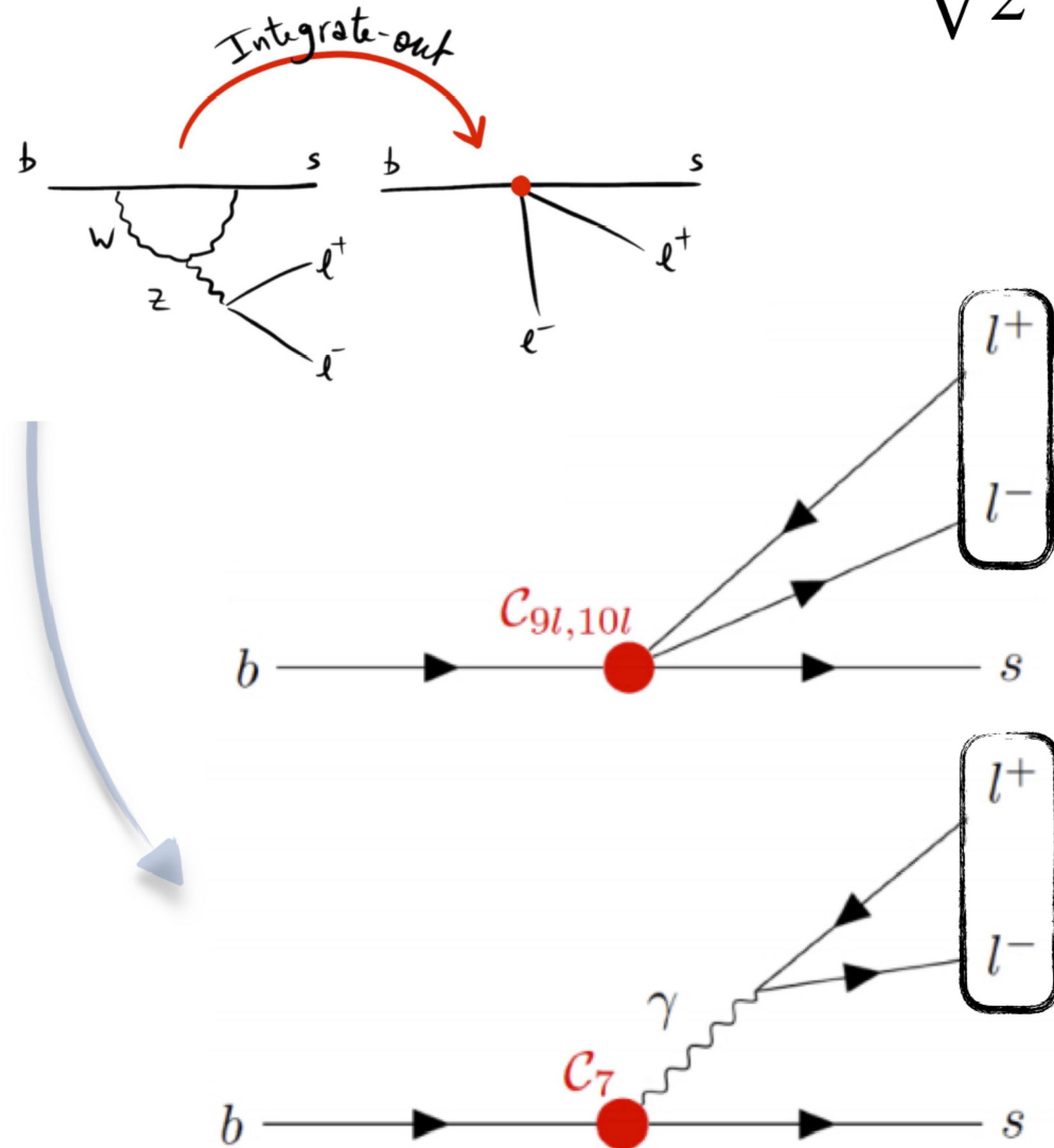
## ◆ Experimental measurement interpreted through an effective field theory

## ◆ Related measurements for interpretation: ( $b \rightarrow s\gamma$ , $B \rightarrow \ell\ell$ ). NP Model building constraints (Diego's talk)



# $b \rightarrow s \ell^+ \ell^-$ as a probe for NP in a nutshell

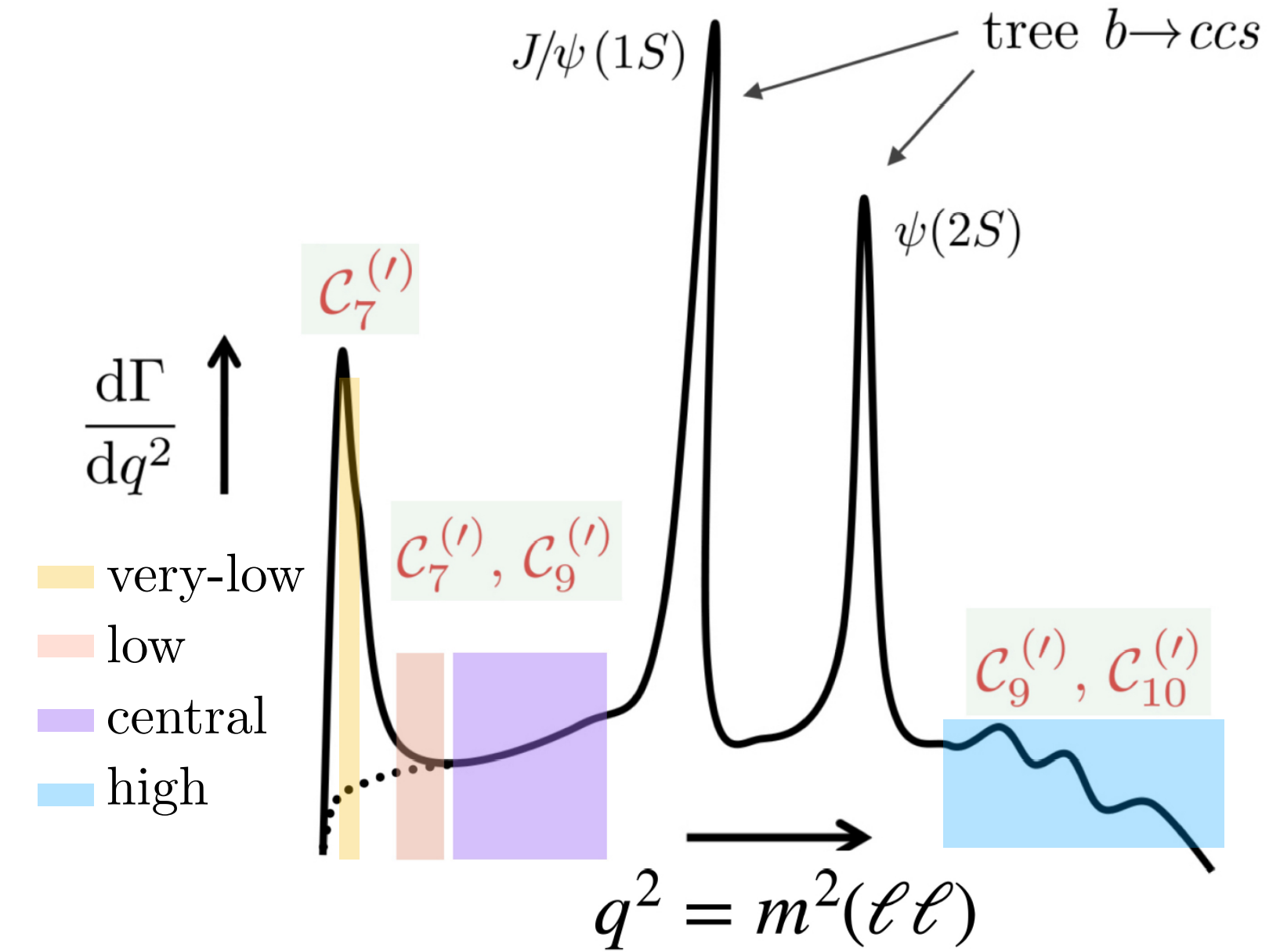
$$H_{eff} = -\frac{G_F}{\sqrt{2}} V_{tb} V_{ts}^* \sum_i (C_i^{SM} + \Delta_i^{NP}) O_i$$



.... : with PseudoScalar  $H_s$  in final state,  $K_s^0, K^+$   
 — : with Vector  $H_s$  in final state,  $K^{*0}, K^{*+}, \phi, \dots$

# Rare b-decays and $b \rightarrow s\ell^+\ell^-$

	<b>Radiative</b> $b \rightarrow s\gamma$	<b>Leptonic</b> $bs(d) \rightarrow \ell\ell$	<b>Semileptonic</b> $b \rightarrow s\ell\ell$
$c_7^{(\prime)}$	✓		✓
$c_9^{(\prime)}$			✓
$c_{10}^{(\prime)}$		✓	✓
$c_S^{(\prime)}$		✓	
$c_P^{(\prime)}$		✓	



$b \rightarrow s\ell\ell$   
Branching fractions

$b \rightarrow s\ell\ell$   
Angular observables

$bs(d) \rightarrow \ell\ell$   
Leptonic decays

$b \rightarrow s\ell\ell$   
LFU tests

LHCb and leptons

Theoretical uncertainty ( $e, \mu, \tau$ )

Experimental challenges

$\ell = \tau$   
 $\ell = e$   
 $\ell = \mu$

# LHCb detector

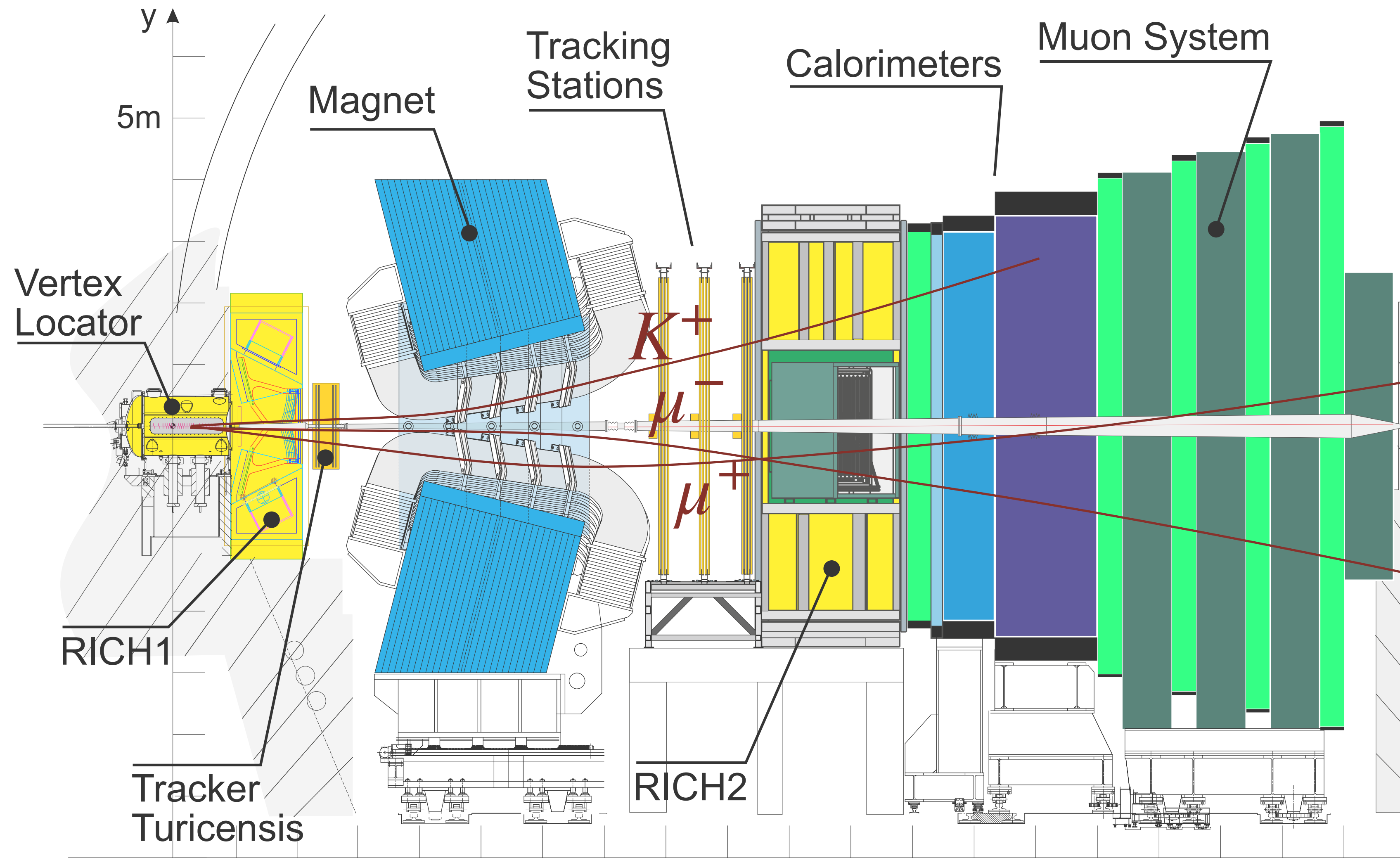
Exploit large  $\sigma_{pp \rightarrow b\bar{b}, c\bar{c}}$   
in  $\eta \in [2,5]$  at LHC

Run1 :

$$\int_{2011}^{2012} \mathcal{L} = 3\text{fb}^{-1}, \quad \sqrt{s} = 7 - 8\text{TeV}$$

Run2 :

$$\int_{2015}^{2018} \mathcal{L} = 6\text{fb}^{-1}, \quad \sqrt{s} = 13\text{TeV}$$



$$d\mathcal{B}(b \rightarrow s\mu\mu)/dq^2$$

$$b \rightarrow s\ell\ell$$

Branching  
fractions

$$b \rightarrow s\ell\ell$$

Angular  
observables

$$bs(d) \rightarrow \ell\ell$$

Leptonic  
decays

$$b \rightarrow s\ell\ell$$

LFU  
tests

LHCb and  
leptons

$$\ell = \tau$$

$$\ell = e$$

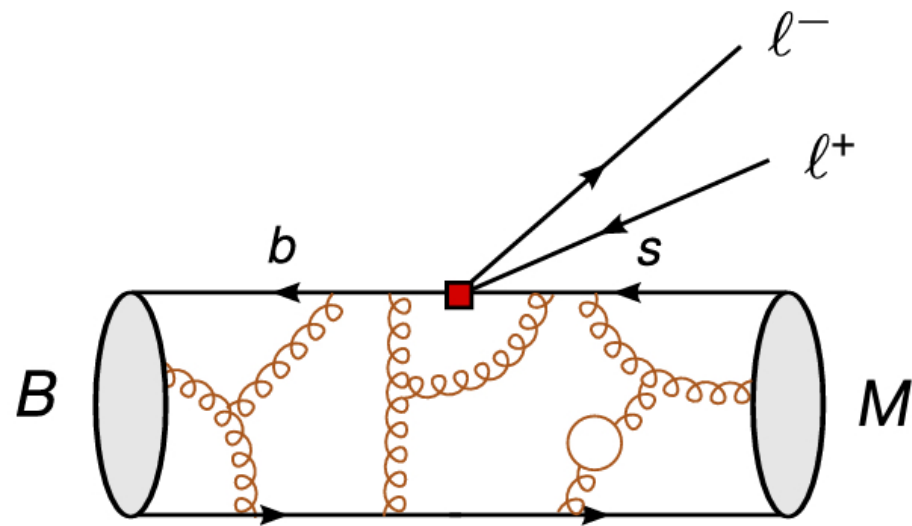
$$\ell = \mu$$

Theoretical uncertainty ( $e, \mu, \tau$ )

Experimental challenges

# Latest $b \rightarrow s\mu\mu$ differential decay rates at LHCb

$\langle M | (\dots) | B \rangle$  in decay amplitude parameterised by 3(7) form factors for spin 0(1) final state



@high  $q^2$  LQCD

@low  $q^2$  Continuum methods (LCSR)

@low+high  $q^2$

Combined fit  
continuum +  
LQCD/LQCD

[0] HPQCD, arXiv:1306.2384, 2207.12468

[1] Fermilab, MILC, arXiv:1509.06235

[2] Horgan, Liu, Meinel, Wingate, arXiv:1310.3722, arXiv:1501.00367

[0] Ball, Zwicky, arXiv:hep-ph/0406232

[1] Khodjamirian, Mannel, Pivovarov, Wang, arXiv:1006.4945

[2] Bharucha, Straub, Zwicky, arXiv:1503.05534

[3] Gubernari, Kokulu, vanDyk, arXiv:1811.00983

[0] Altmannshofer, Straub, arXiv:1411.3161

[1] Bharucha, Straub, Zwicky, arXiv:1503.05534

[2] Gubernari, Kokulu, vanDyk, arXiv:1811.

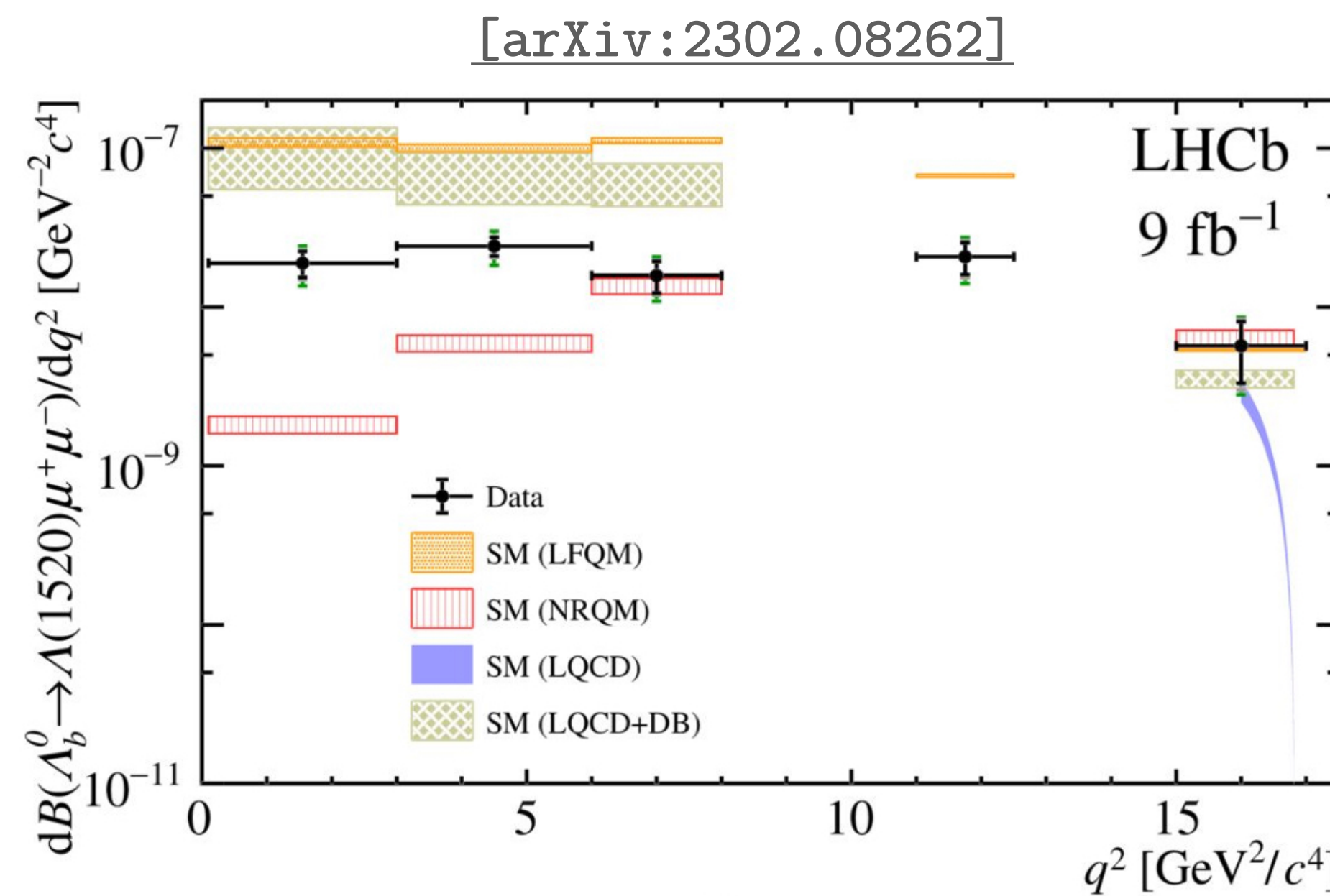
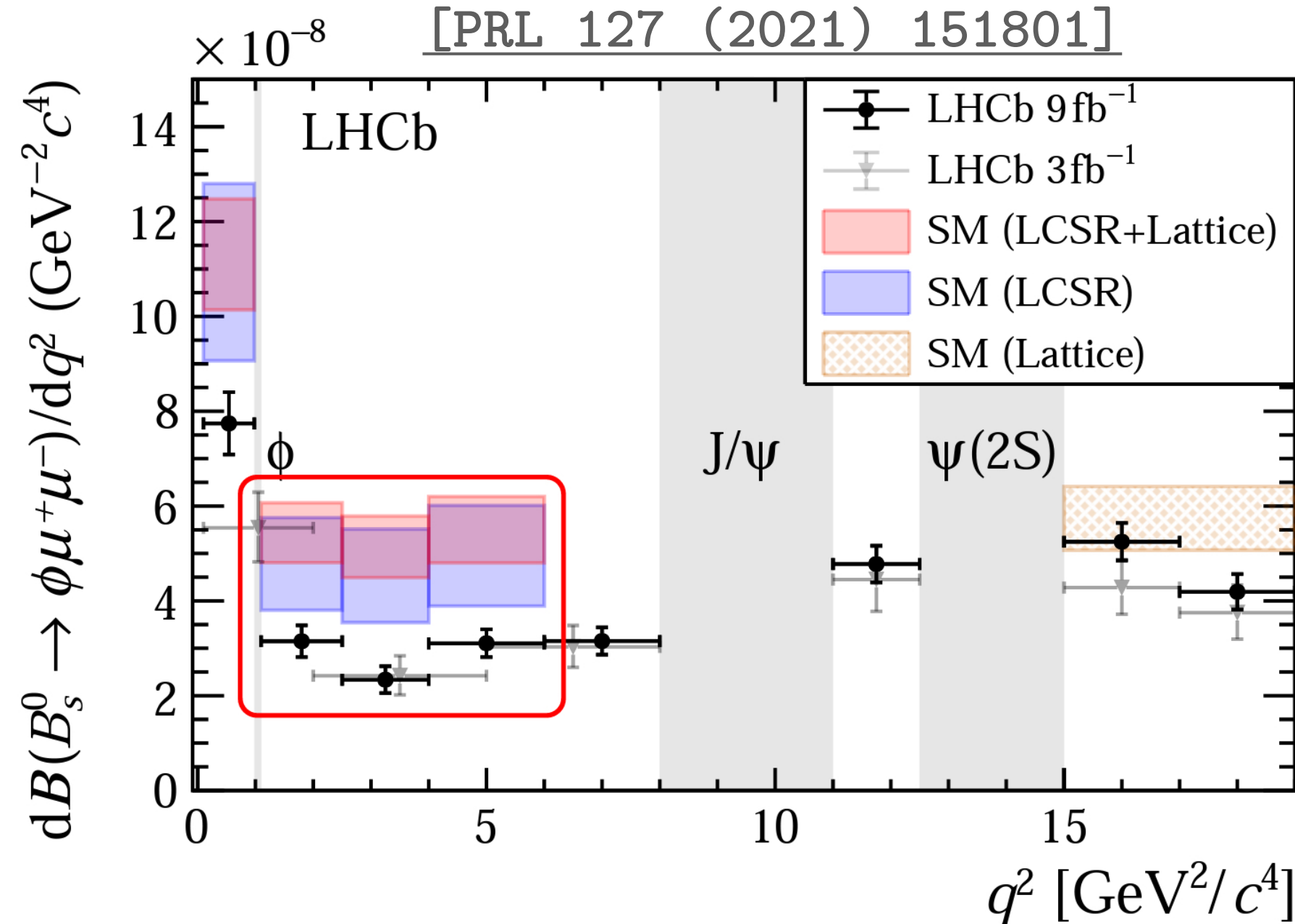
Form factors  
predictions

$C_i \times FF(q^2)$

$B_s^0 \rightarrow \phi\mu^+\mu^-$  (Run1 + Run2)

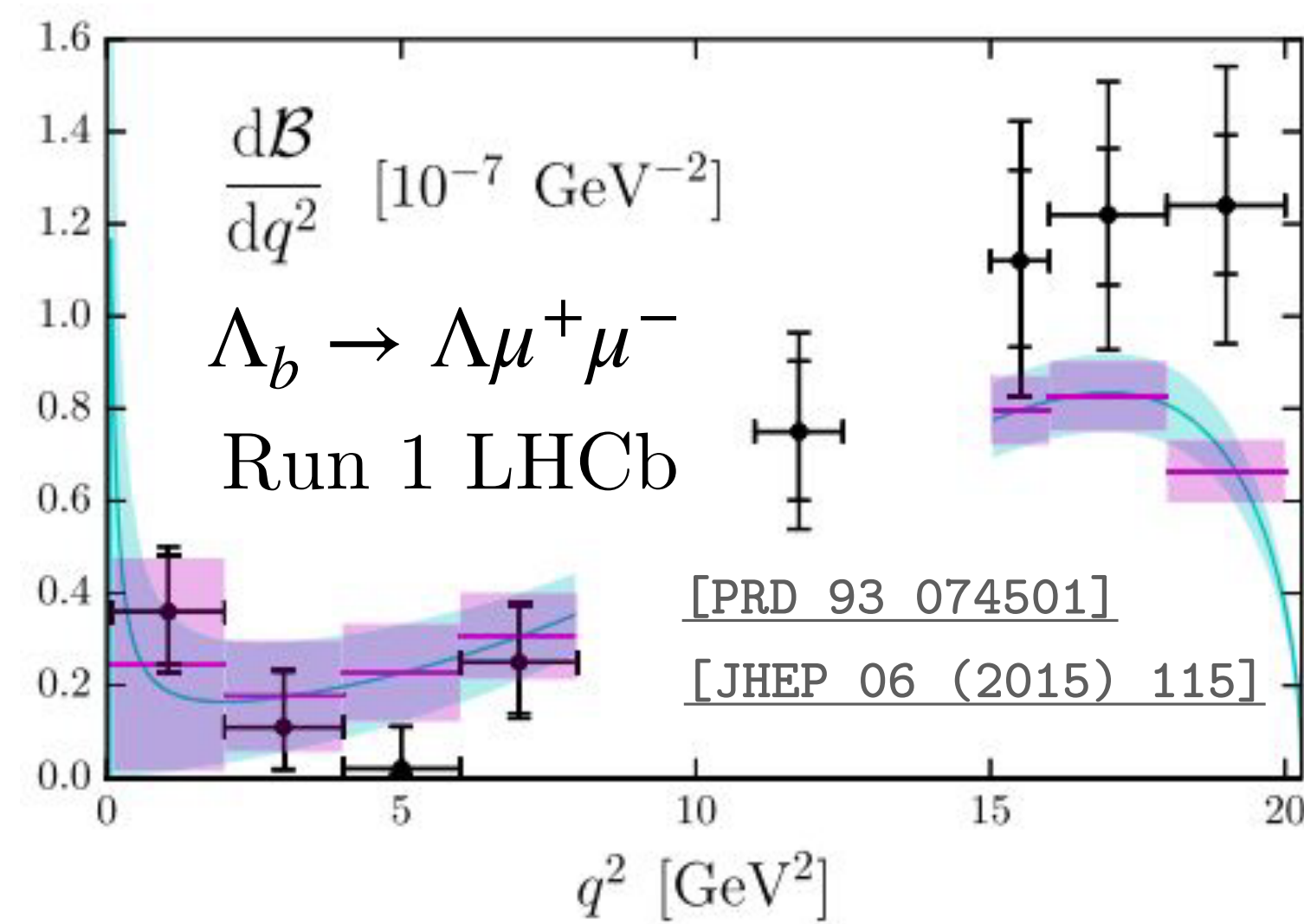
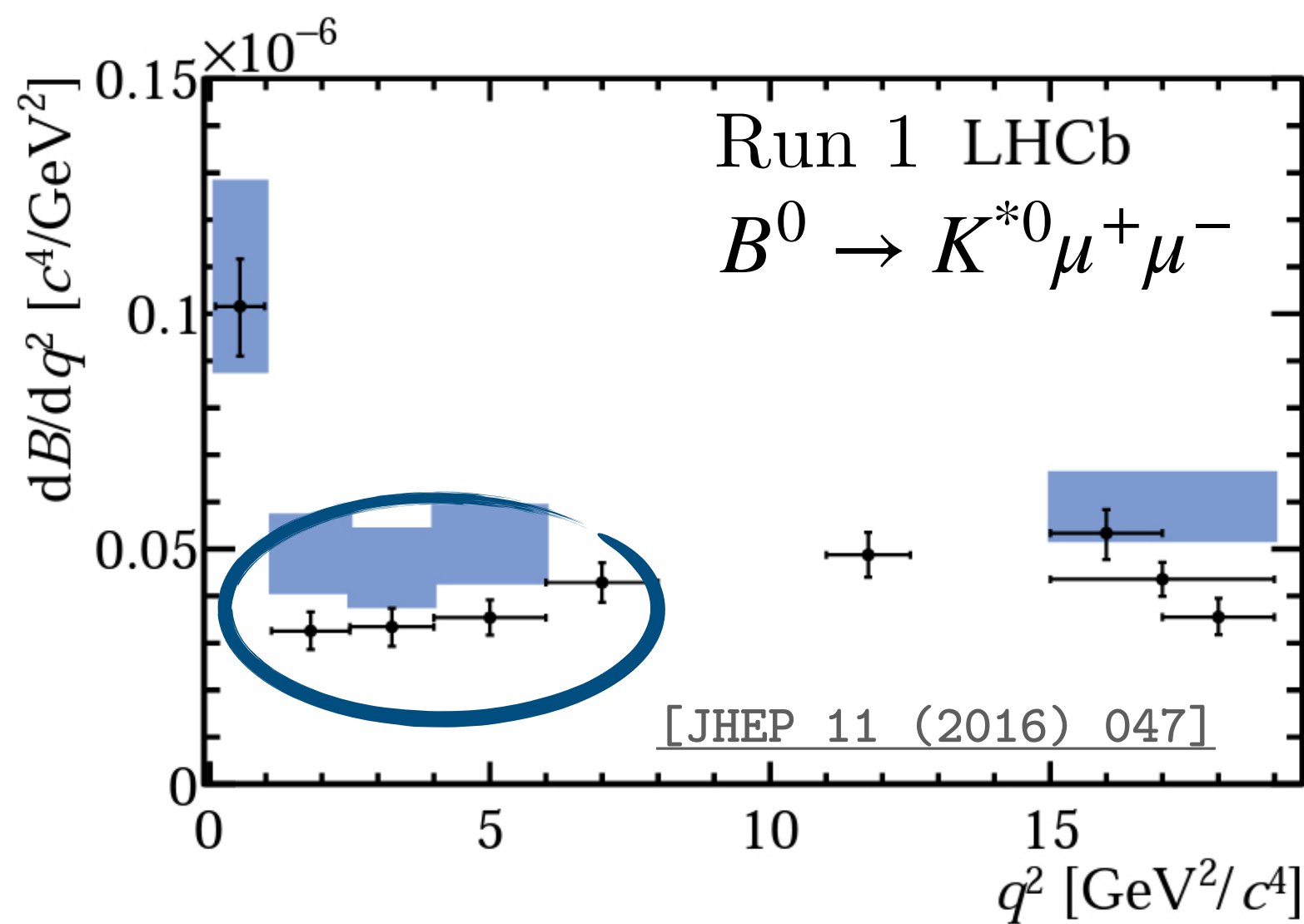
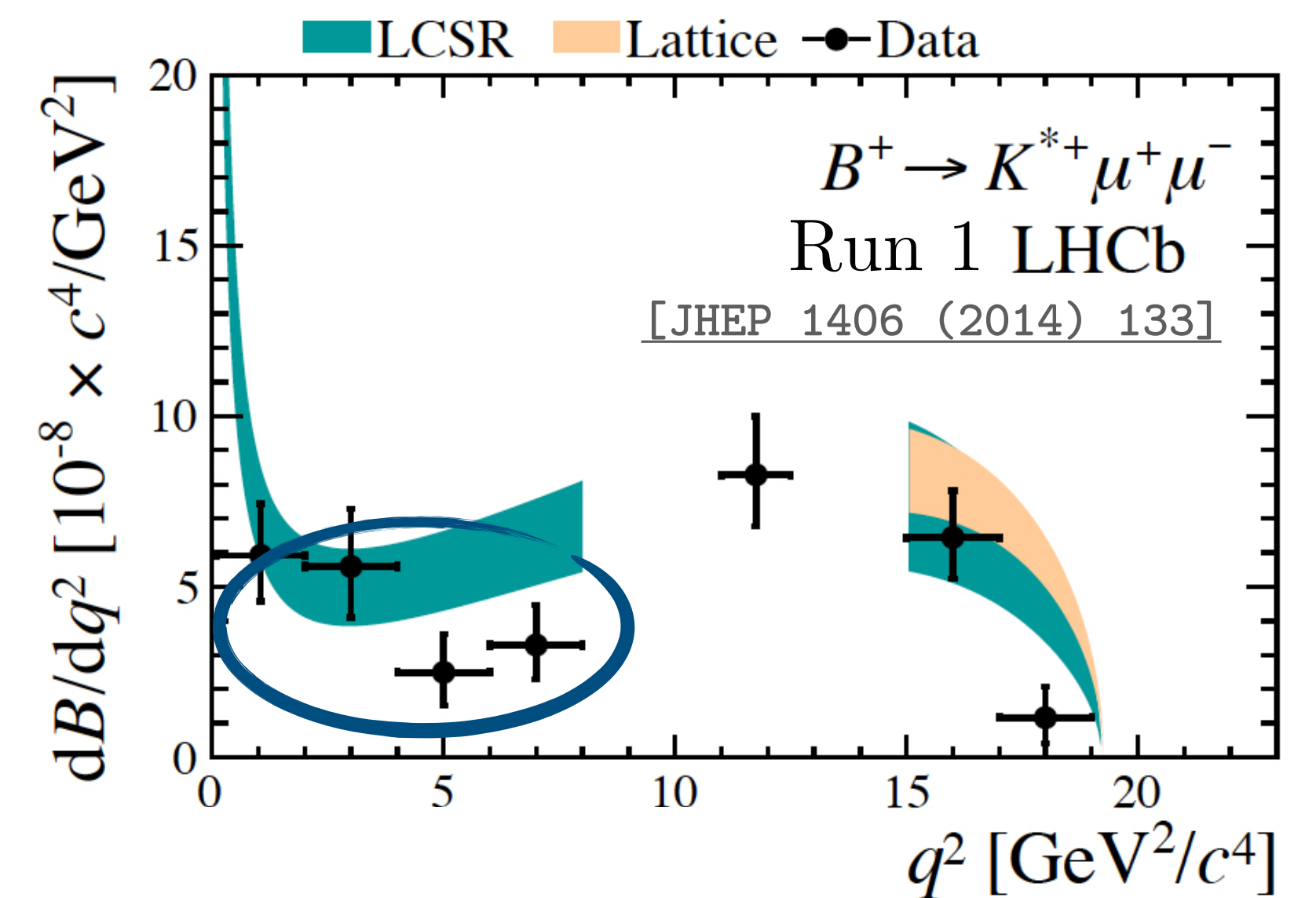
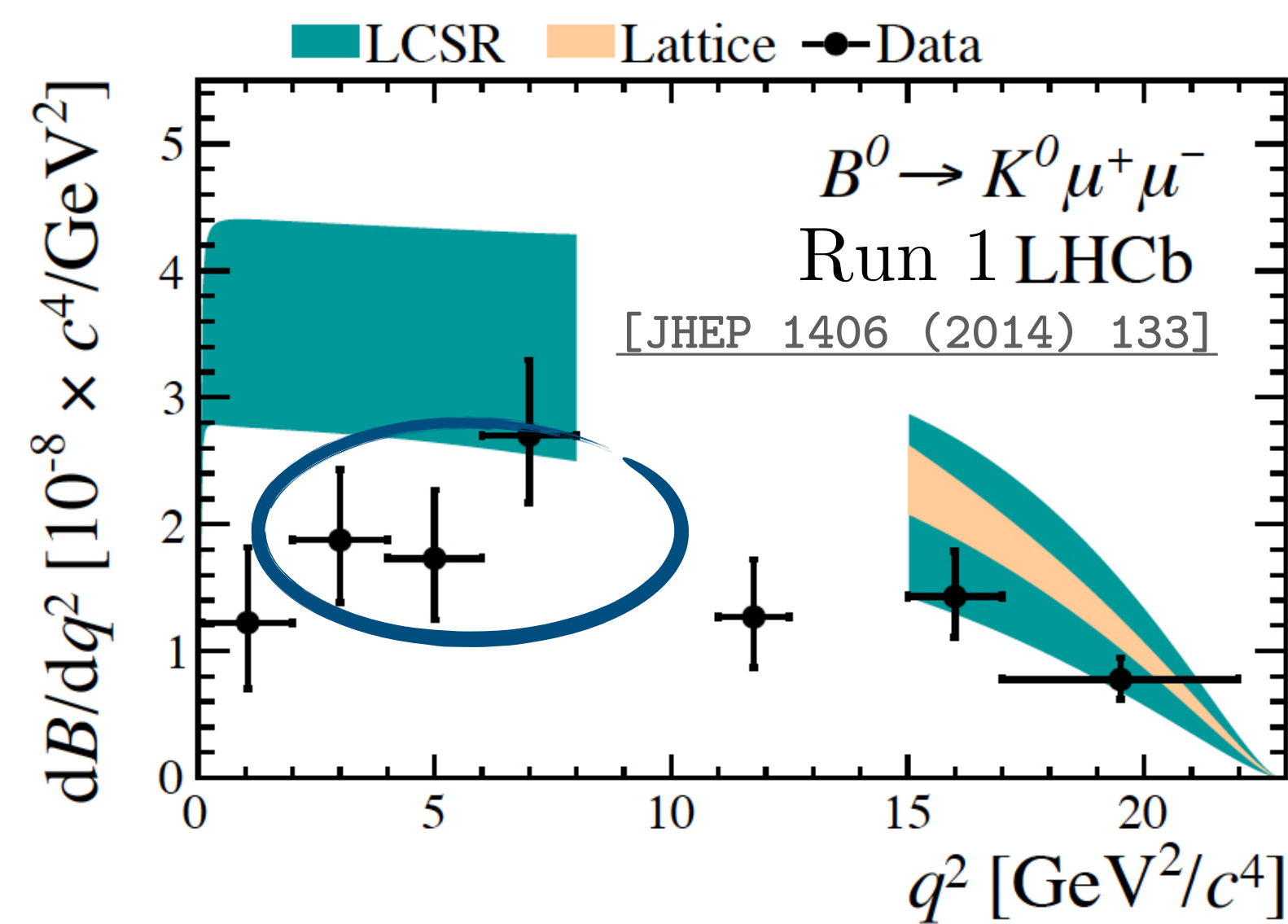
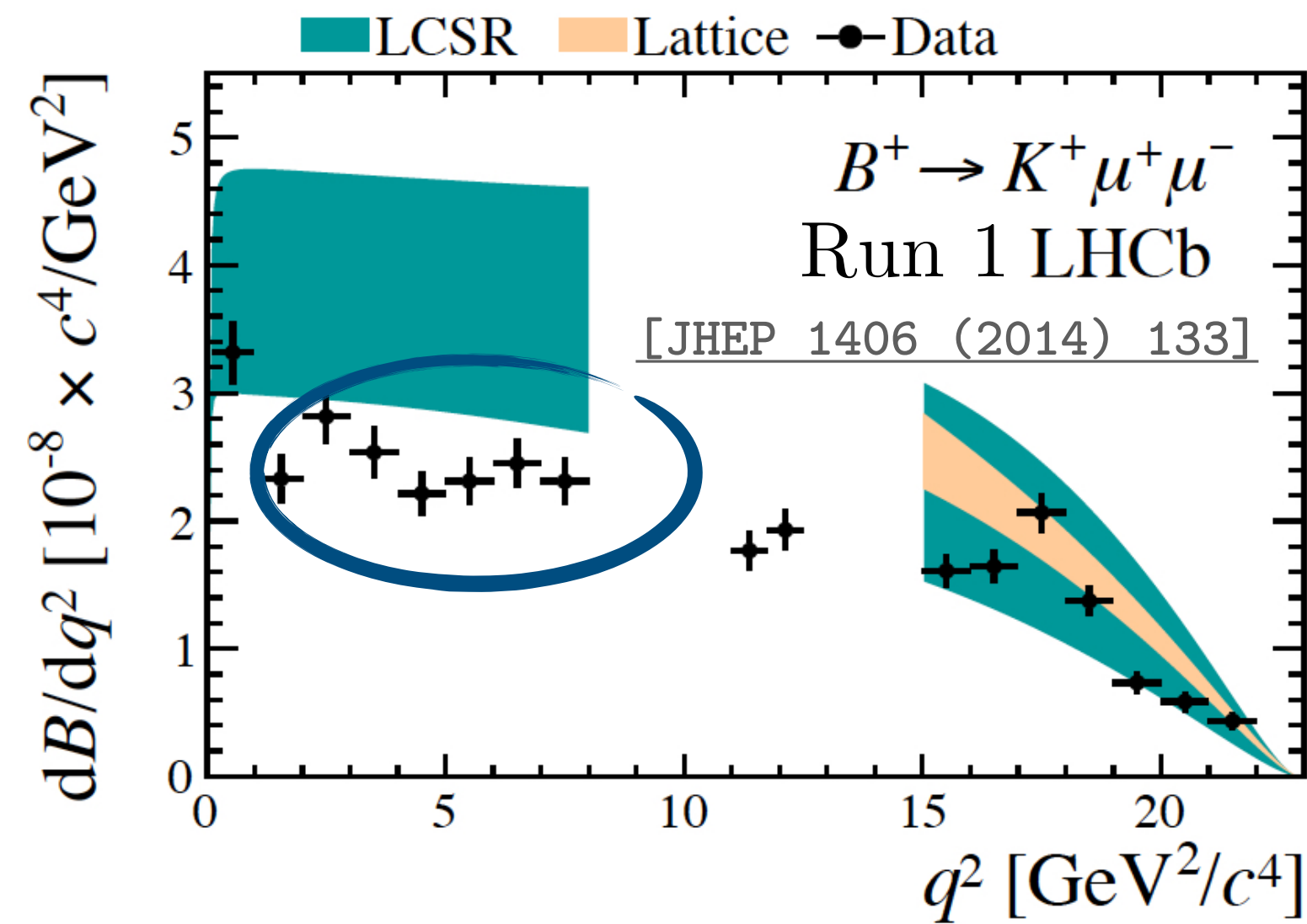
$\Lambda_b^0 \rightarrow \Lambda(1520)\mu^+\mu^-$  (Run1 + Run2)

Most recent  
LHCb results



(\* ) with baryons,  
spin-structure:  
substantial  
improvements  
on theory side  
needed

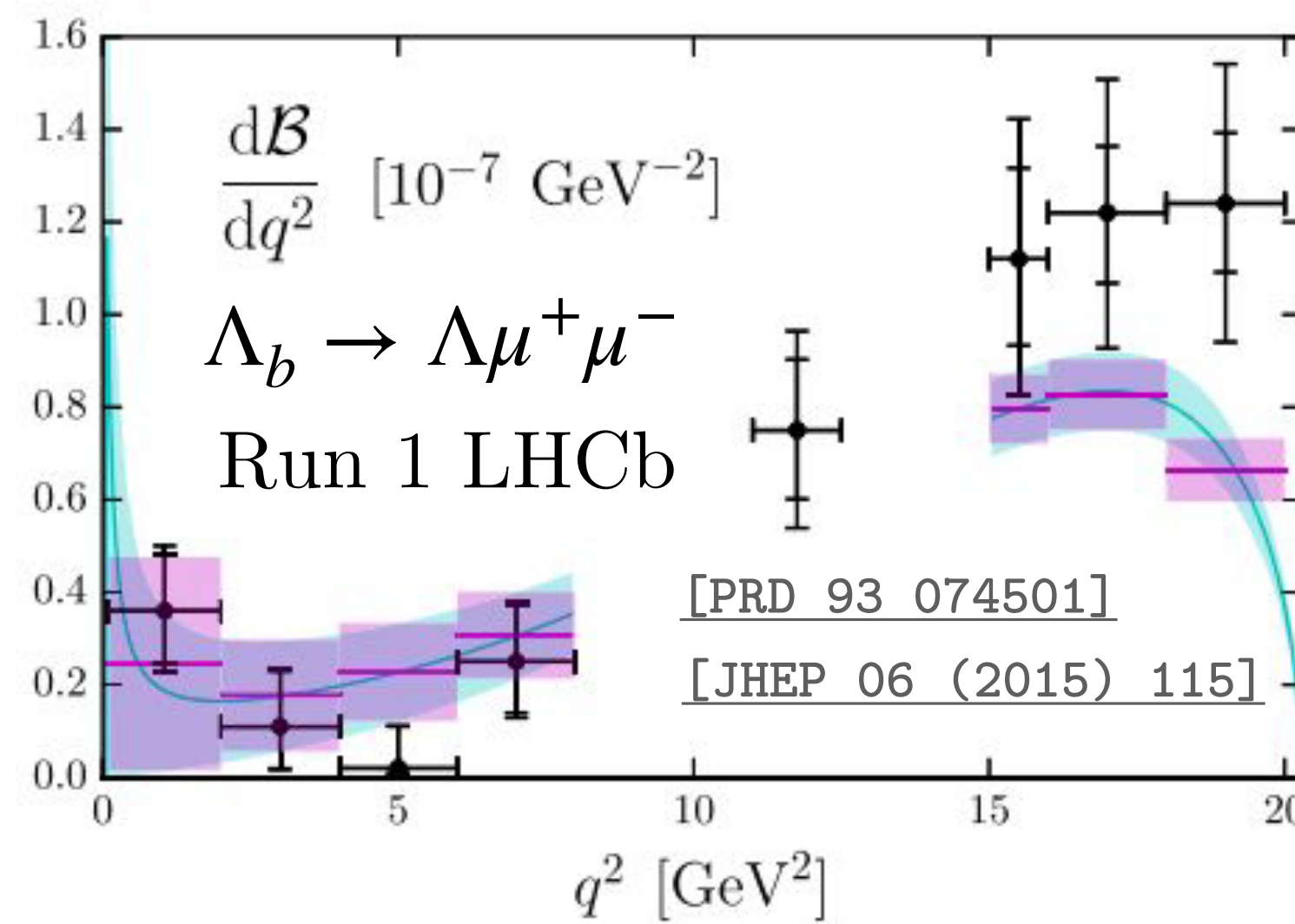
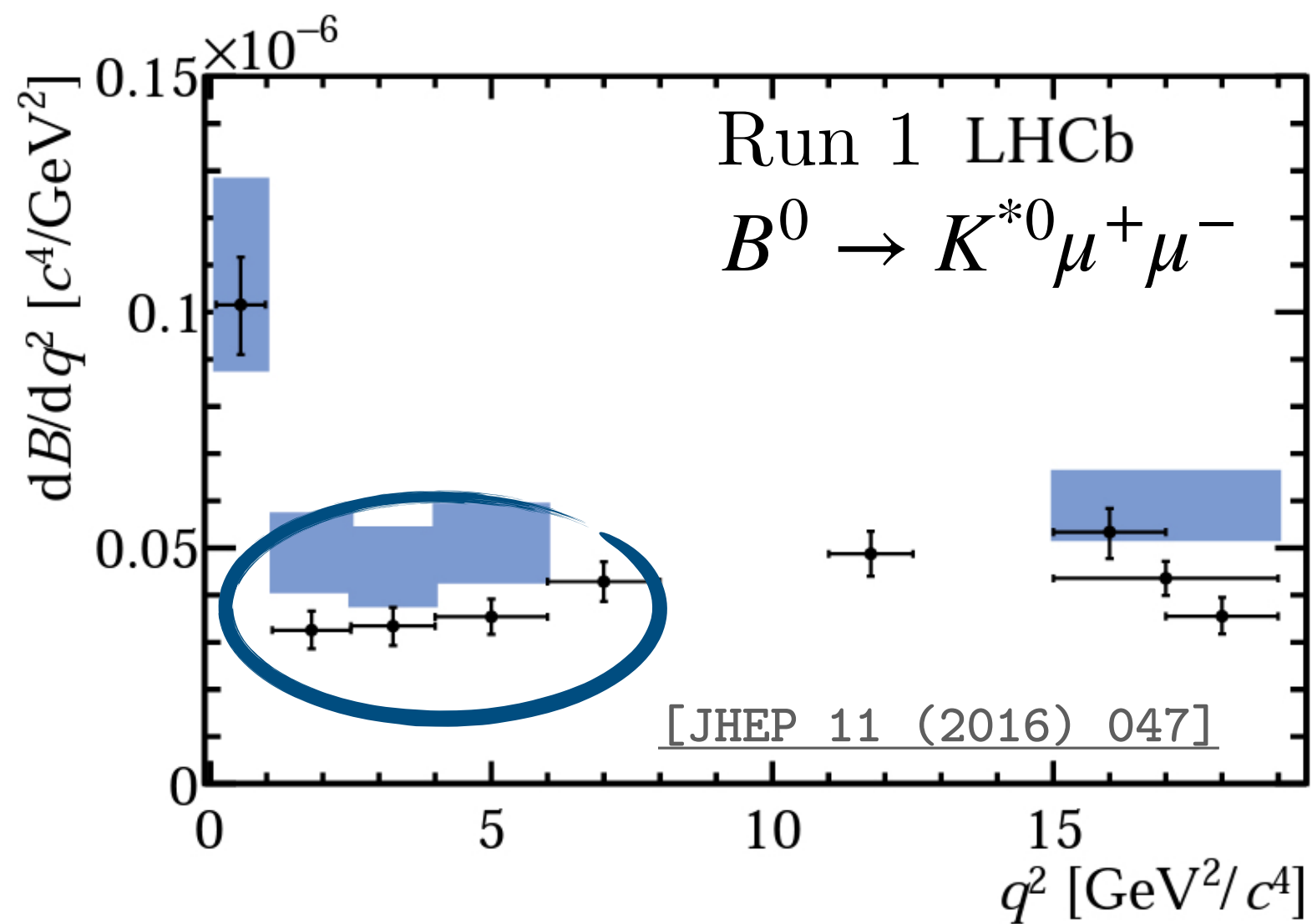
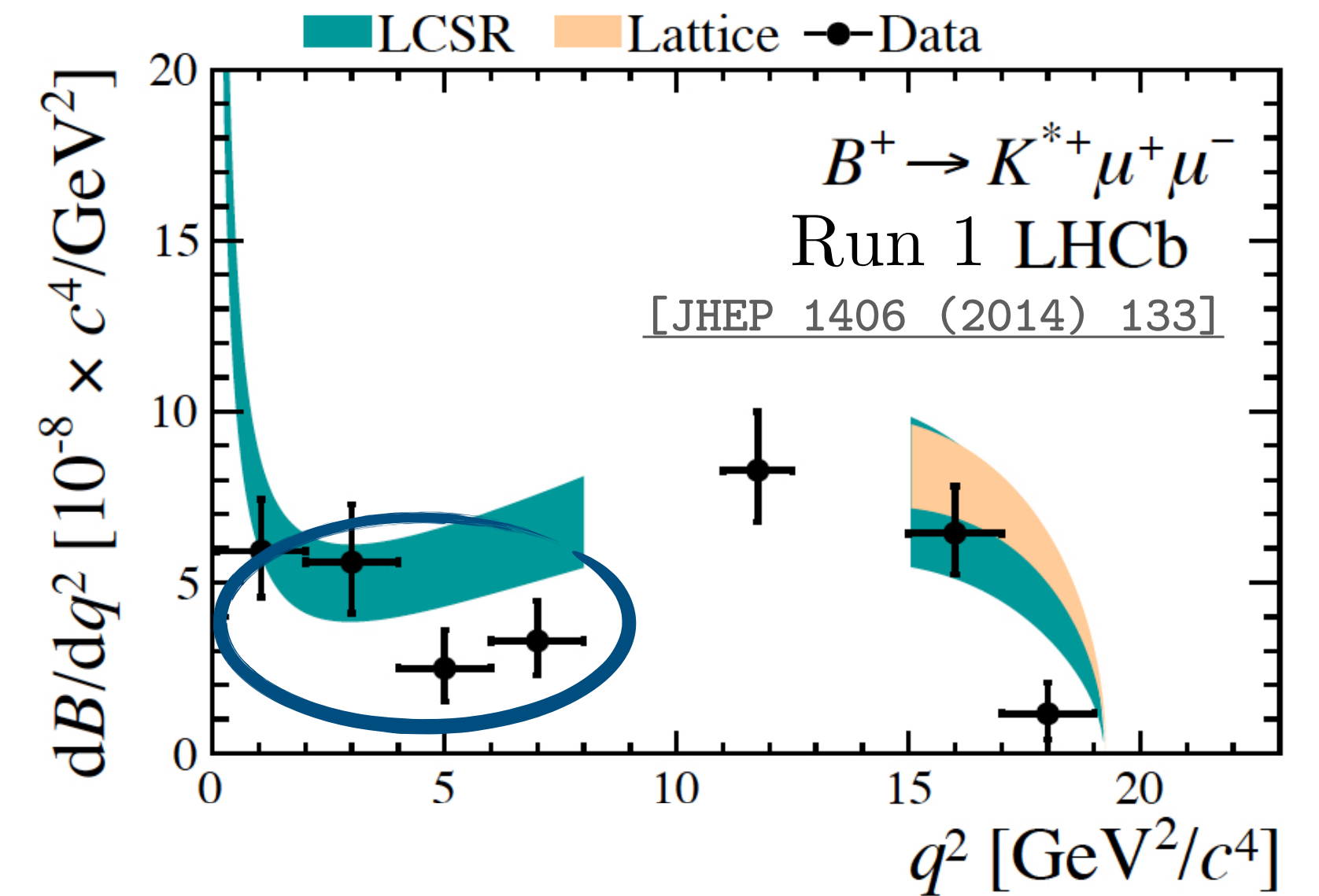
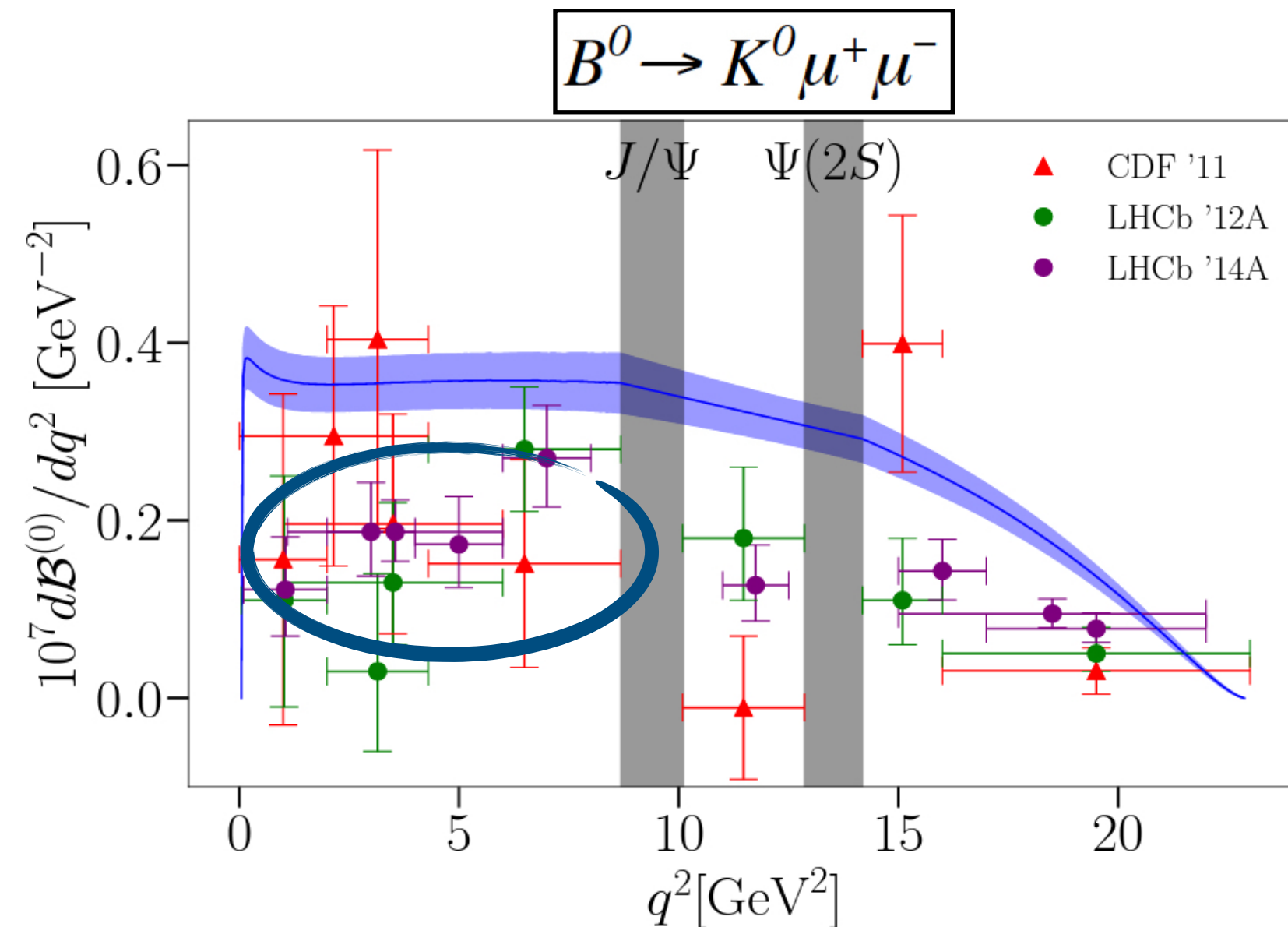
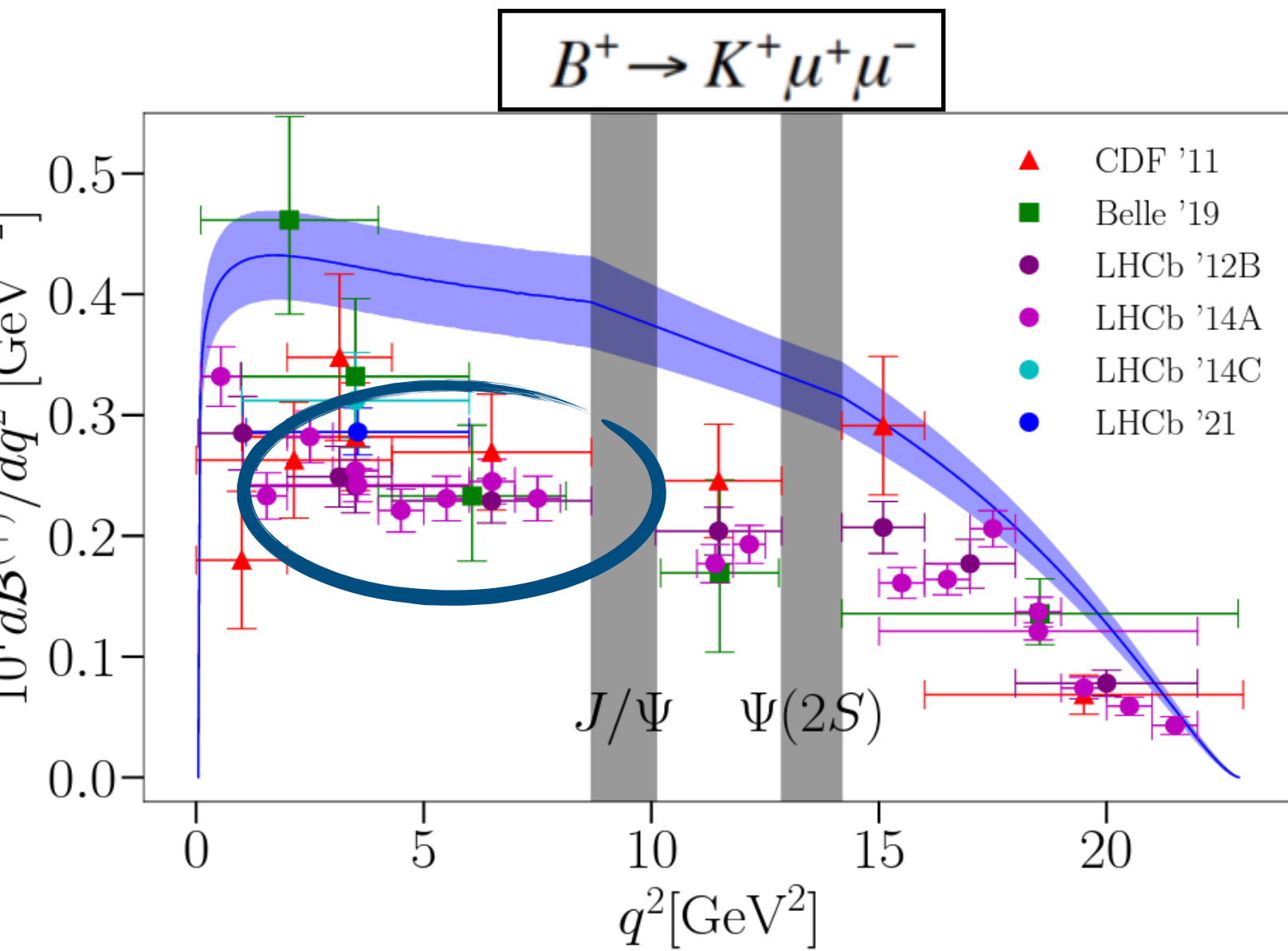
# Other $b \rightarrow s\mu\mu$ differential decay rates at LHCb



- ▶  $\frac{dB}{dq^2}$  in exclusive  $b \rightarrow s\mu\mu$  seems to undershoot SM in several exclusive modes
- ▶ A sign of weaker muon coupling or a common issue with form factors from SM?

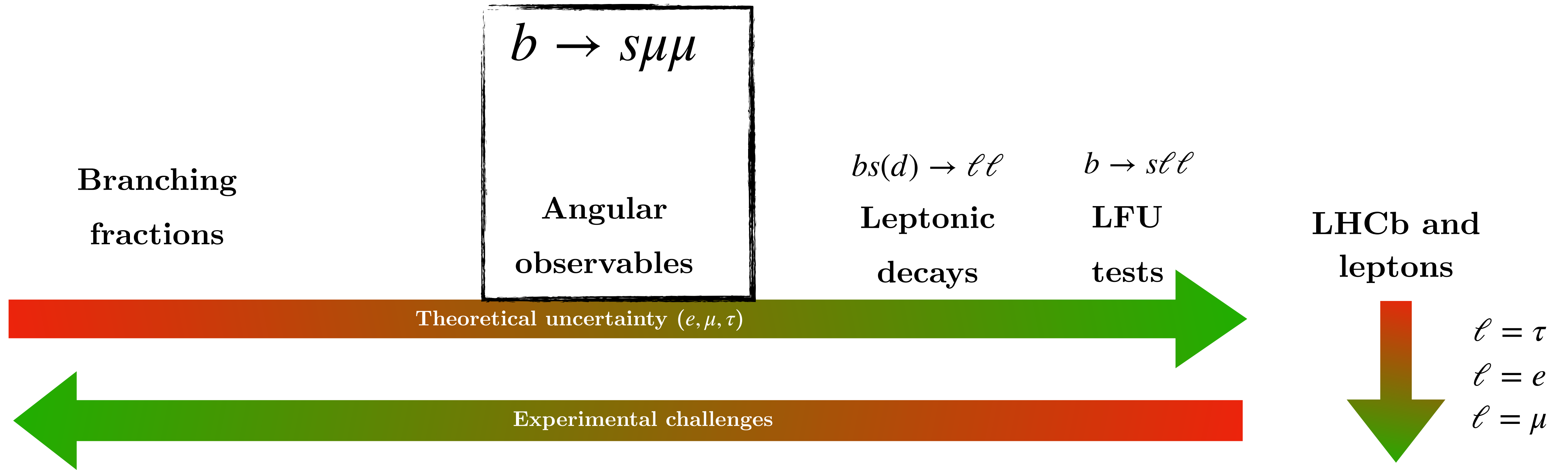


# Other $b \rightarrow s\mu\mu$ differential decay rates at LHCb



- Nice progresses recently in computing the FF in  $B \rightarrow K$  from LQCD [arXiv:2207.13371] and non-local corrections [JHEP 09 (2022) 133]

# $b \rightarrow s\ell\ell$ measurements: angular analyses



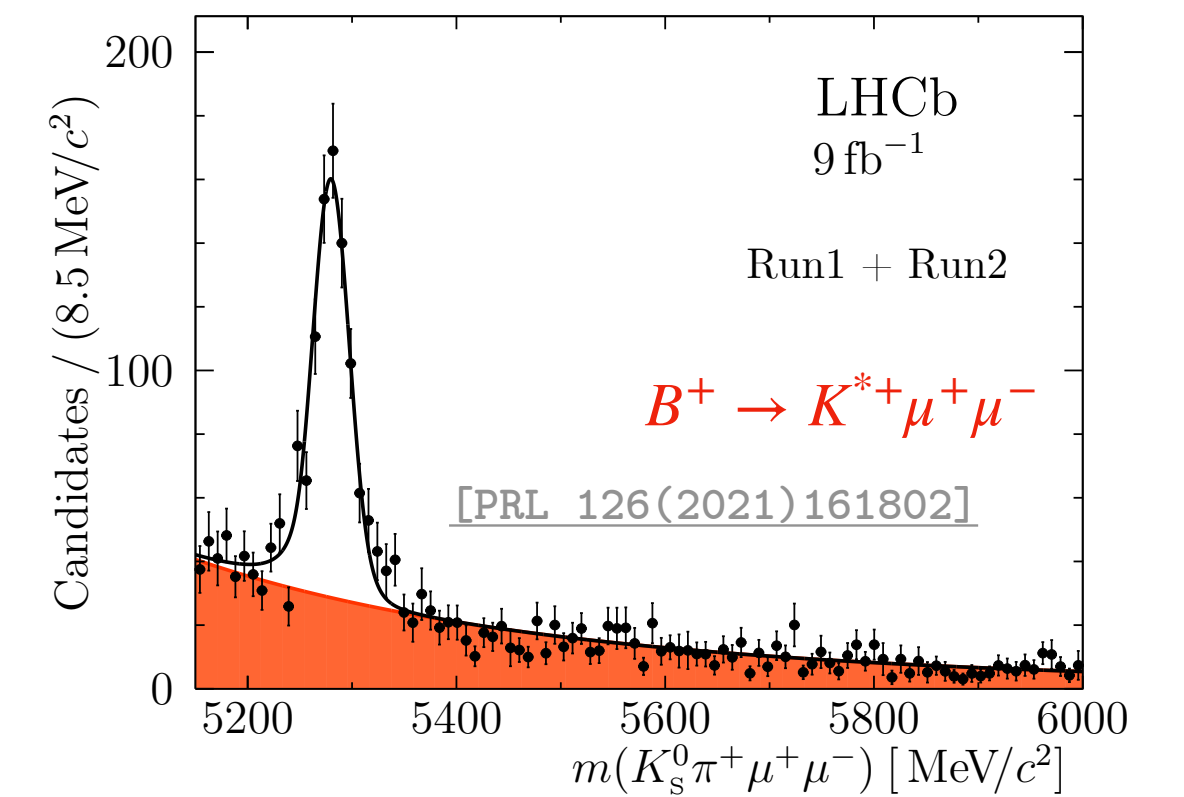
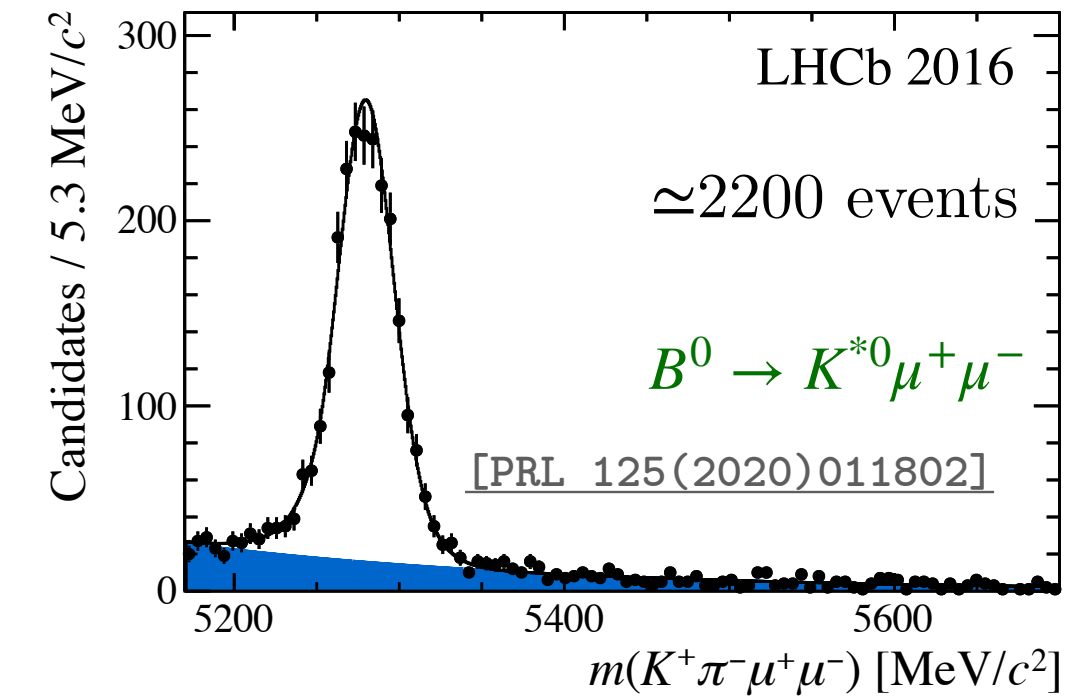
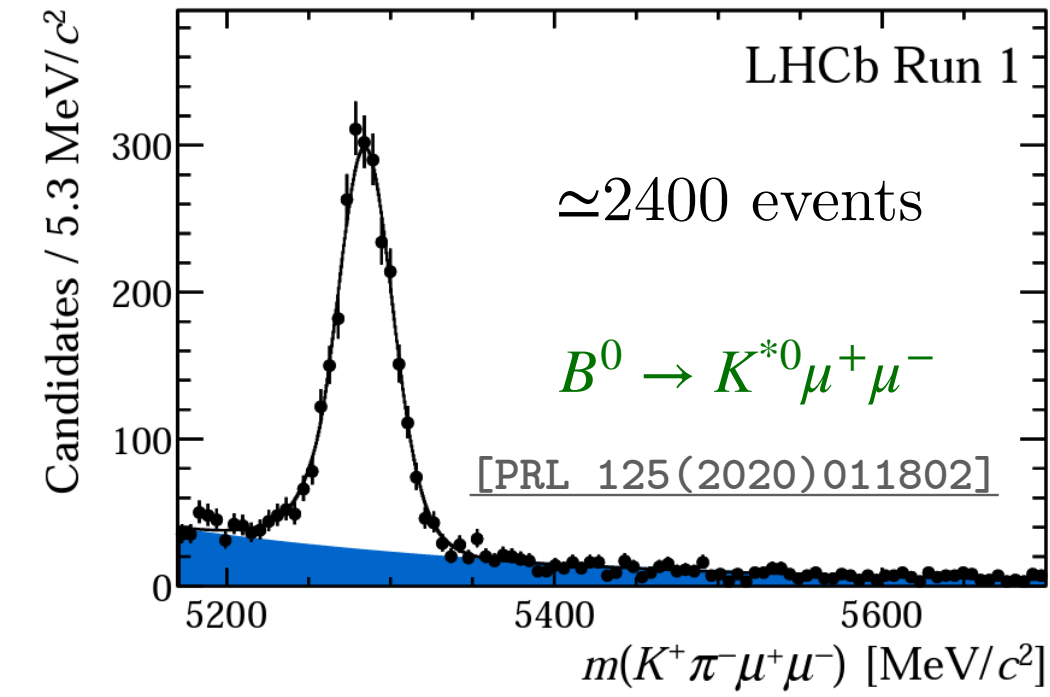
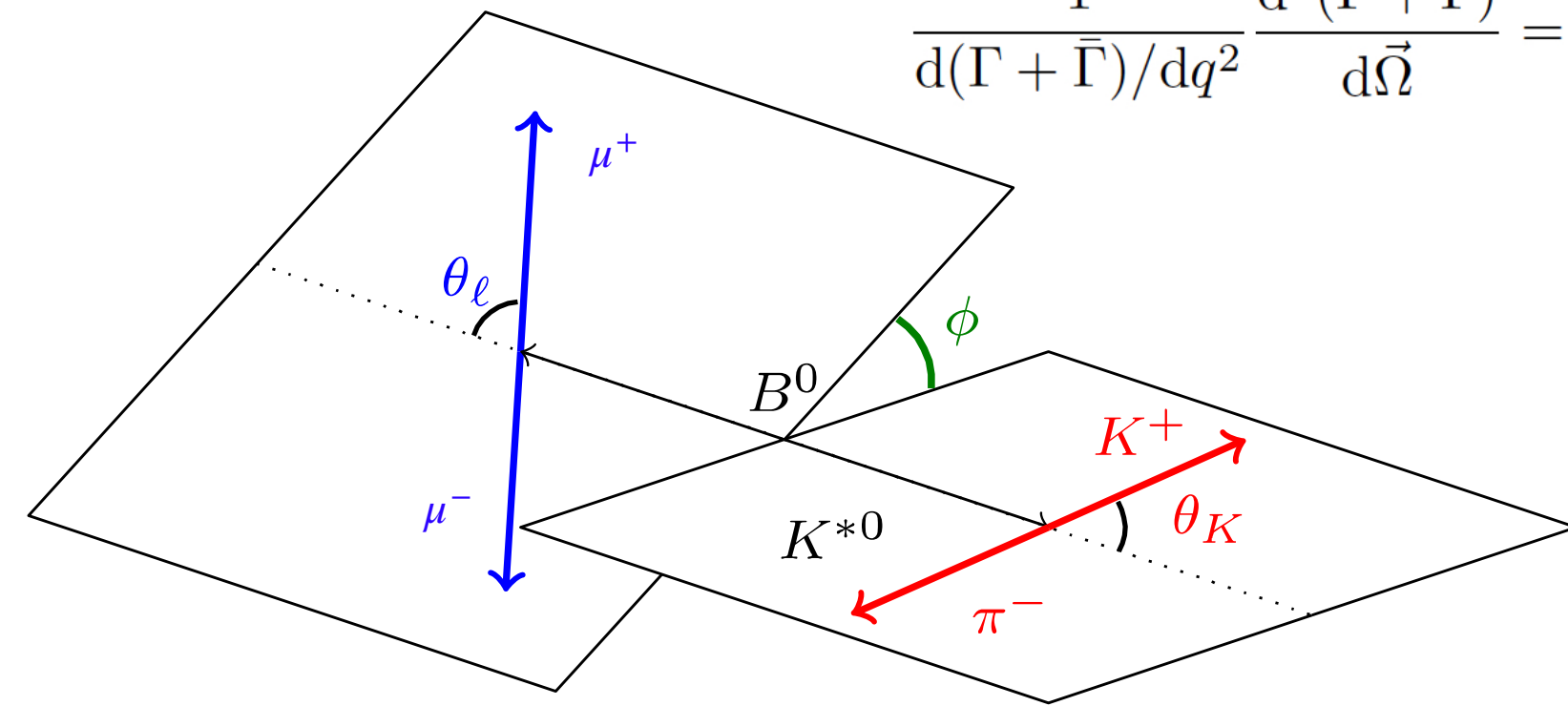
# Angular $b \rightarrow s\mu\mu$ analyses

◆  $B \rightarrow V\mu^+\mu^-$  : vector in final state has rich kinematic structure  $\rightarrow$  characterise NP

◆ Described by 3 angles and  $q^2$

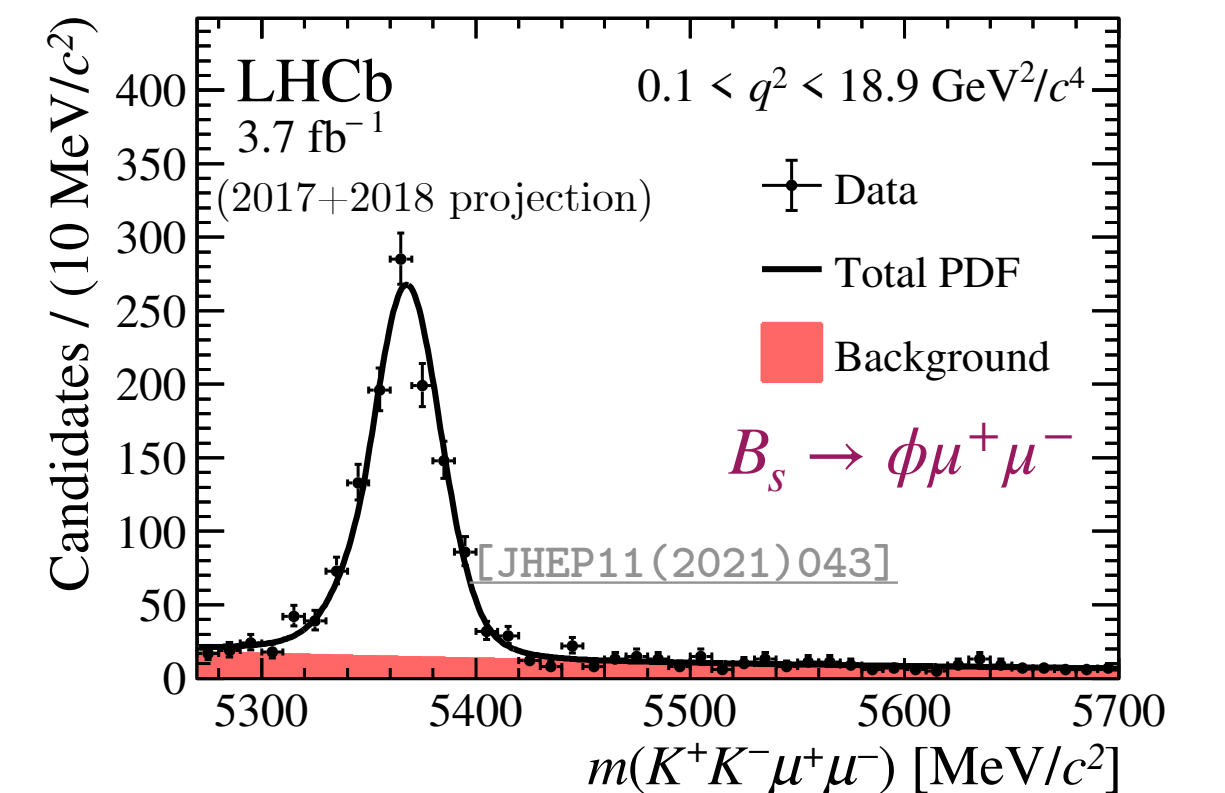
$$\frac{1}{d(\Gamma + \bar{\Gamma})/dq^2} \frac{d^3(\Gamma + \bar{\Gamma})}{d\vec{\Omega}} = \frac{9}{32\pi} \left[ \frac{3}{4}(1 - F_L) \sin^2 \theta_K + F_L \cos^2 \theta_K + \frac{1}{4}(1 - F_L) \sin^2 \theta_K \cos 2\theta_\ell \right.$$

$$\begin{aligned} & - F_L \cos^2 \theta_K \cos 2\theta_\ell + S_3 \sin^2 \theta_K \sin^2 \theta_\ell \cos 2\phi \\ & + S_4 \sin 2\theta_K \sin 2\theta_\ell \cos \phi + S_5 \sin 2\theta_K \sin \theta_\ell \cos \phi \\ & + \frac{4}{3} A_{\text{FB}} \sin^2 \theta_K \cos \theta_\ell + S_7 \sin 2\theta_K \sin \theta_\ell \sin \phi \\ & \left. + S_8 \sin 2\theta_K \sin 2\theta_\ell \sin \phi + S_9 \sin^2 \theta_K \sin^2 \theta_\ell \sin 2\phi \right] \end{aligned}$$



◆ Recent results from LHCb:

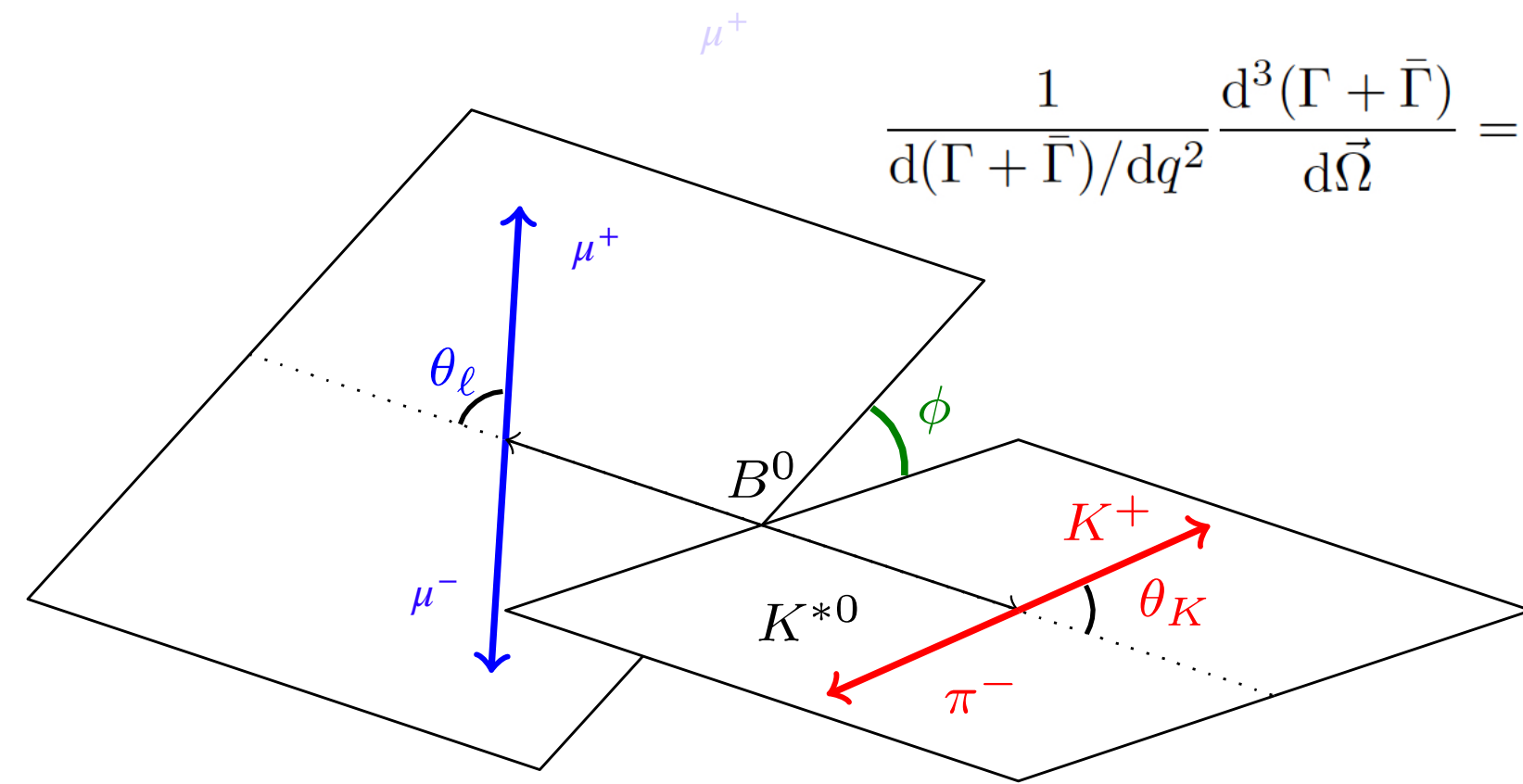
- ▶  $B^0 \rightarrow K^{*0} \mu^+ \mu^-$  with 6 fb<sup>-1</sup> ( $\sim 4600$  events)
- ▶  $B^+ \rightarrow K^{*+} \mu^+ \mu^-$  with 9 fb<sup>-1</sup> ( $\sim 700$  events)
- ▶  $B_s \rightarrow \phi \mu^+ \mu^-$  with 9 fb<sup>-1</sup> ( $\sim 1900$  events)



# Angular $b \rightarrow s\mu\mu$ analyses

- ◆  $B \rightarrow V\mu^+\mu^-$  : vector in final state has rich kinematic structure  $\rightarrow$  characterise NP

- ◆ Described by 3 angles and  $q^2$

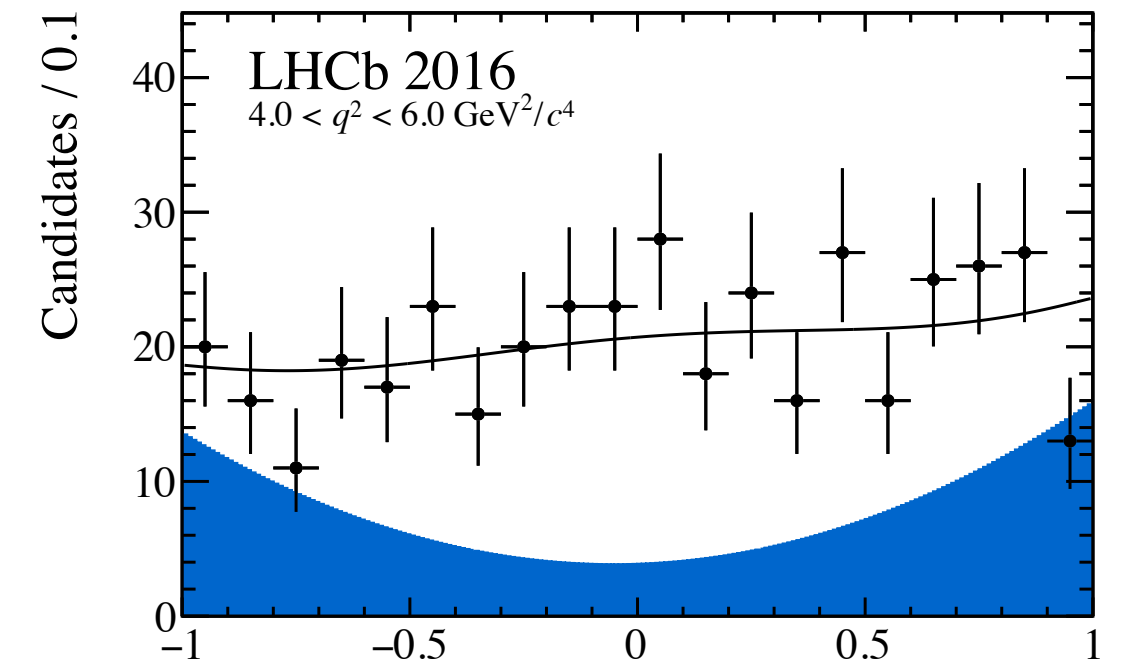


$$\frac{1}{d(\Gamma + \bar{\Gamma})/dq^2} \frac{d^3(\Gamma + \bar{\Gamma})}{d\vec{\Omega}} = \frac{9}{32\pi} \left[ \frac{3}{4}(1 - F_L) \sin^2 \theta_K + F_L \cos^2 \theta_K + \frac{1}{4}(1 - F_L) \sin^2 \theta_K \cos 2\theta_\ell \right. \\ \left. - F_L \cos^2 \theta_K \cos 2\theta_\ell + S_3 \sin^2 \theta_K \sin^2 \theta_\ell \cos 2\phi \right. \\ \left. + S_4 \sin 2\theta_K \sin 2\theta_\ell \cos \phi + S_5 \sin 2\theta_K \sin \theta_\ell \cos \phi \right. \\ \left. + \frac{4}{3} A_{FB} \sin^2 \theta_K \cos \theta_\ell + S_7 \sin 2\theta_K \sin \theta_\ell \sin \phi \right. \\ \left. + S_8 \sin 2\theta_K \sin 2\theta_\ell \sin \phi + S_9 \sin^2 \theta_K \sin^2 \theta_\ell \sin 2\phi \right]$$

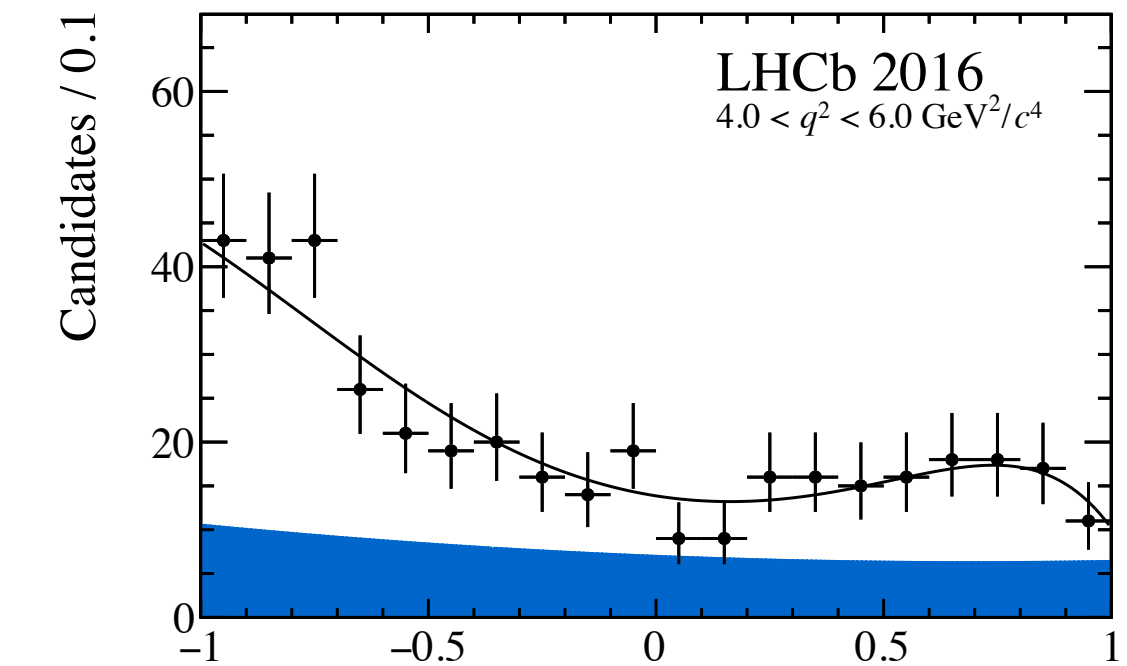


[PRL 125(2020)011802]

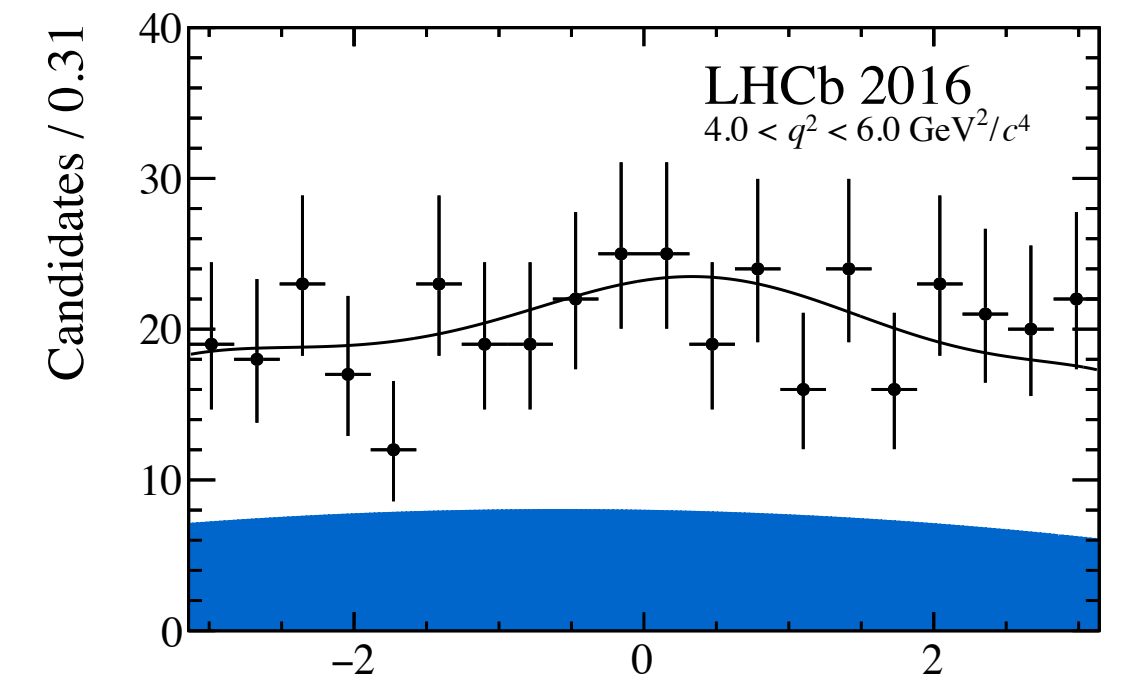
$\cos \theta_\ell$



$\cos \theta_K$



$\phi$



- ◆ Fit angular spectrum in different  $q^2$  bins

- ◆ 8 angular coefficients sensitive to NP

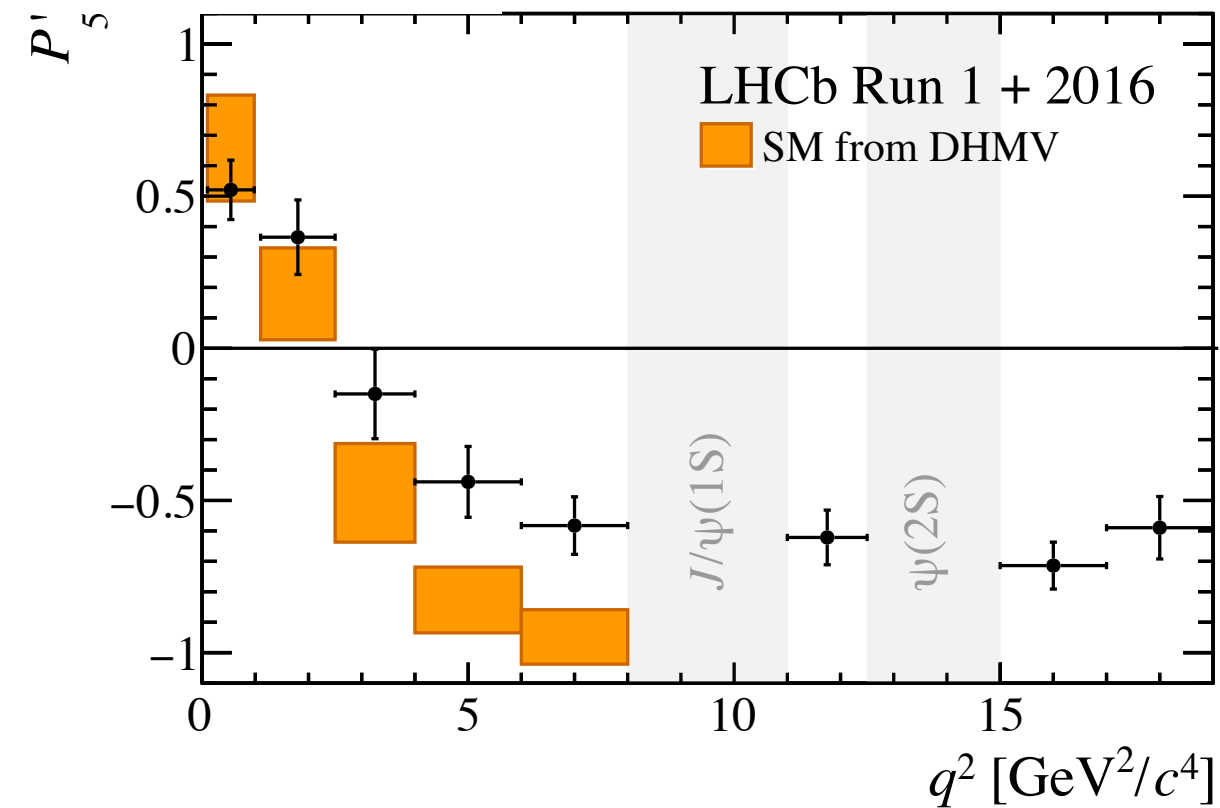
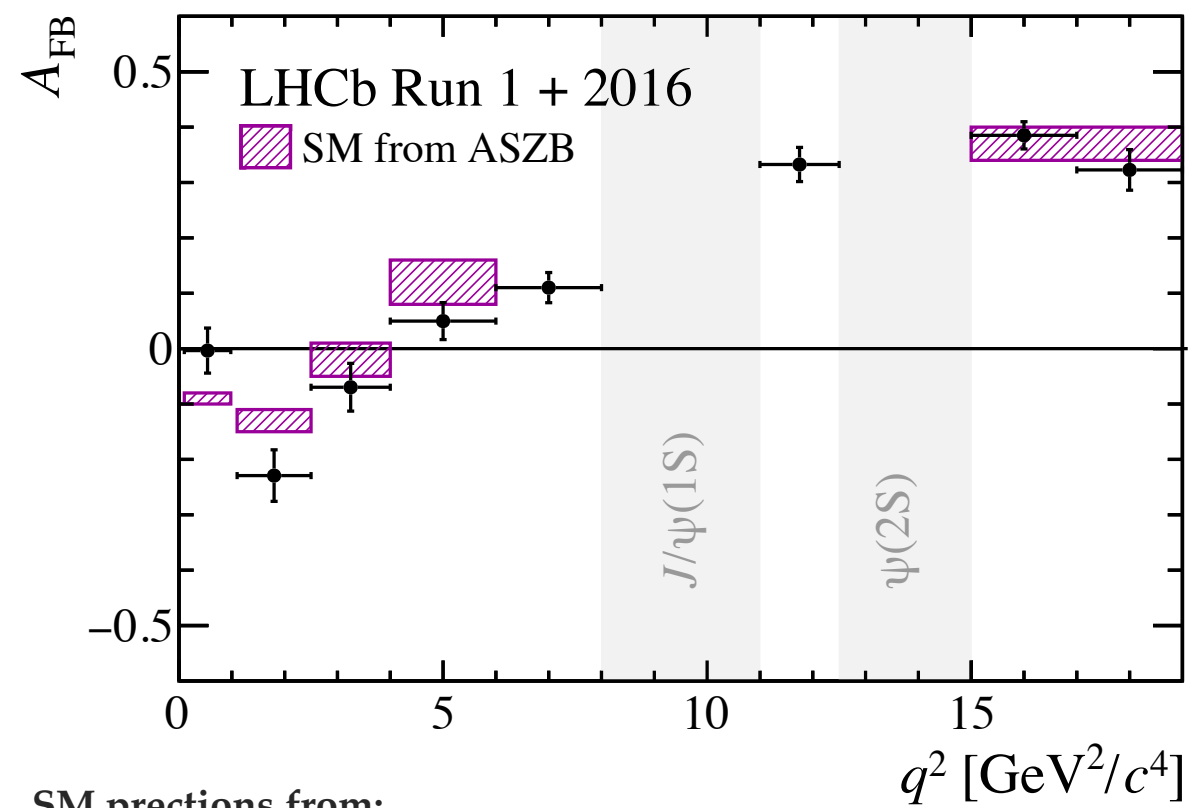
- ▶  $F_L$  fraction of longitudinal polarisation
- ▶  $A_{FB}$  forward backward asymmetry
- ▶  $S_i$  : 6-independent angular coefficients

# Angular $b \rightarrow s\mu\mu$ analyses

► Optimised variables to reduce form factors uncertainties

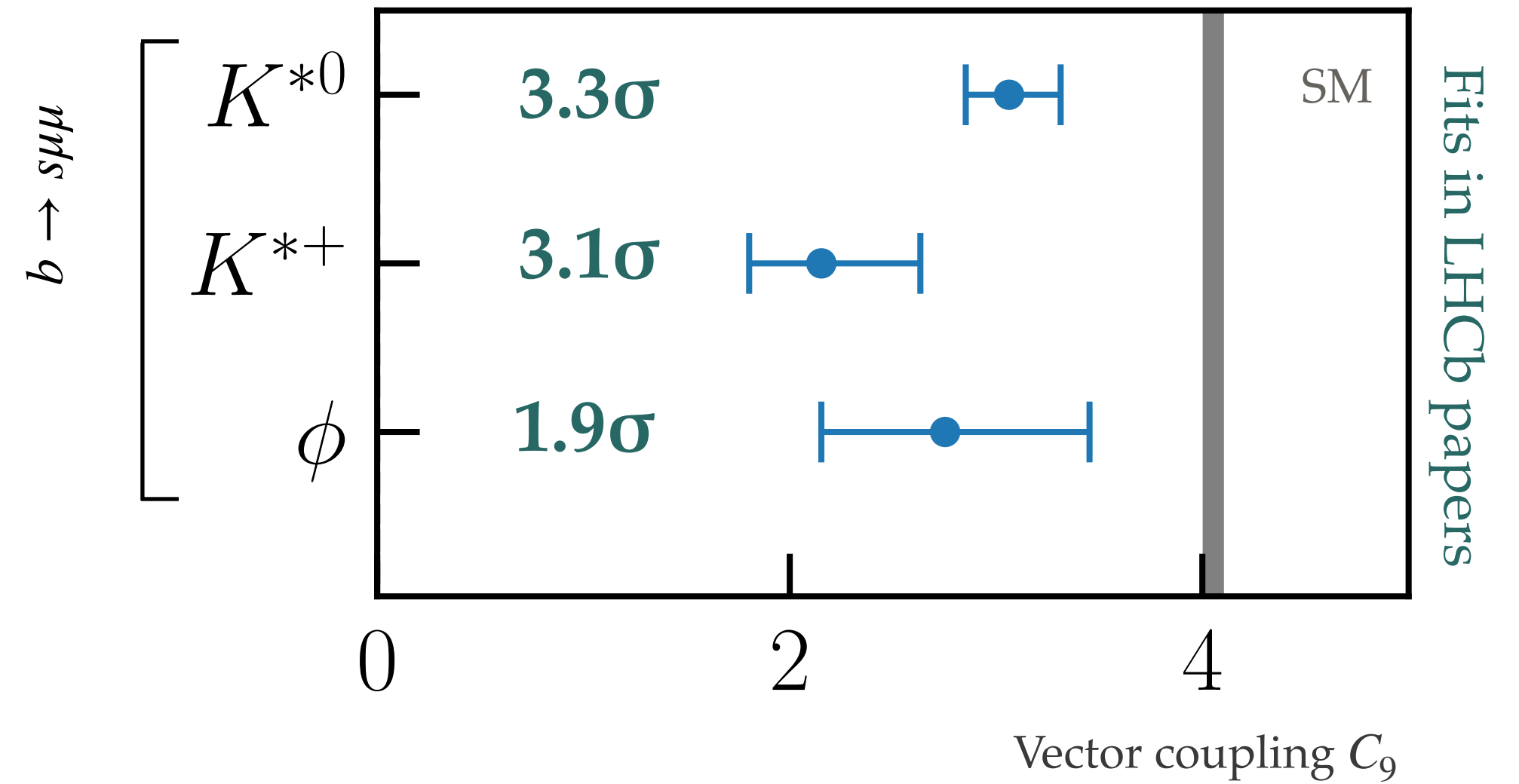
$$P'_5 = \frac{S_5}{\sqrt{F_L(1 - F_L)}} \quad [\text{JHEP, 05 (2013) 137}]$$

[PRL 125(2020)011802]

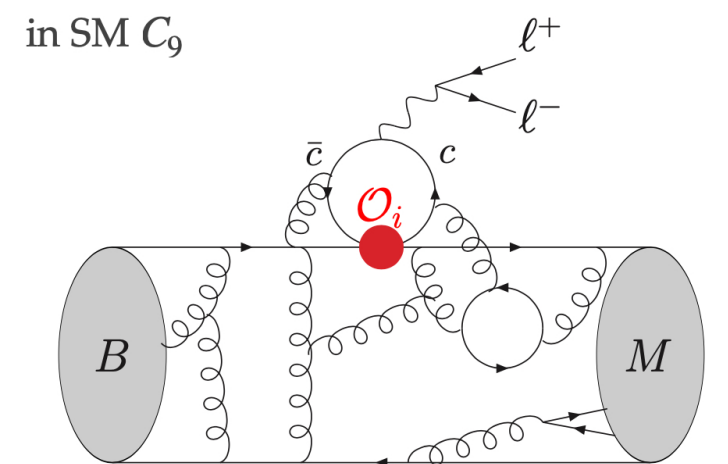


SM prections from:

- Bharucha et al arXiv:1503.05534
- Altmannshofer et al arXiv:1411.3161
- Descotes-Genon et al arXiv:1407.8526
- Khodjamirian et al arXiv:1006.4945



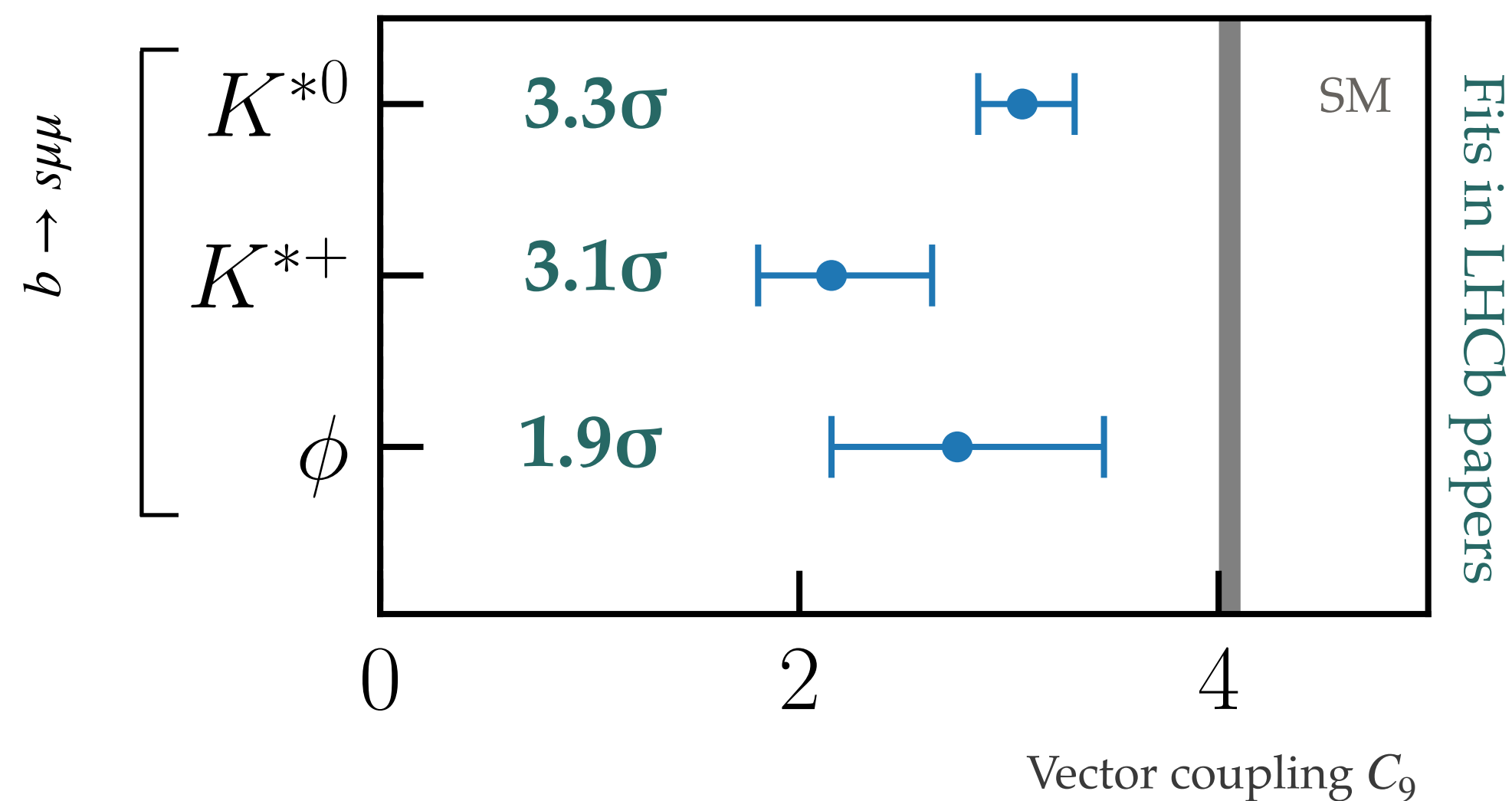
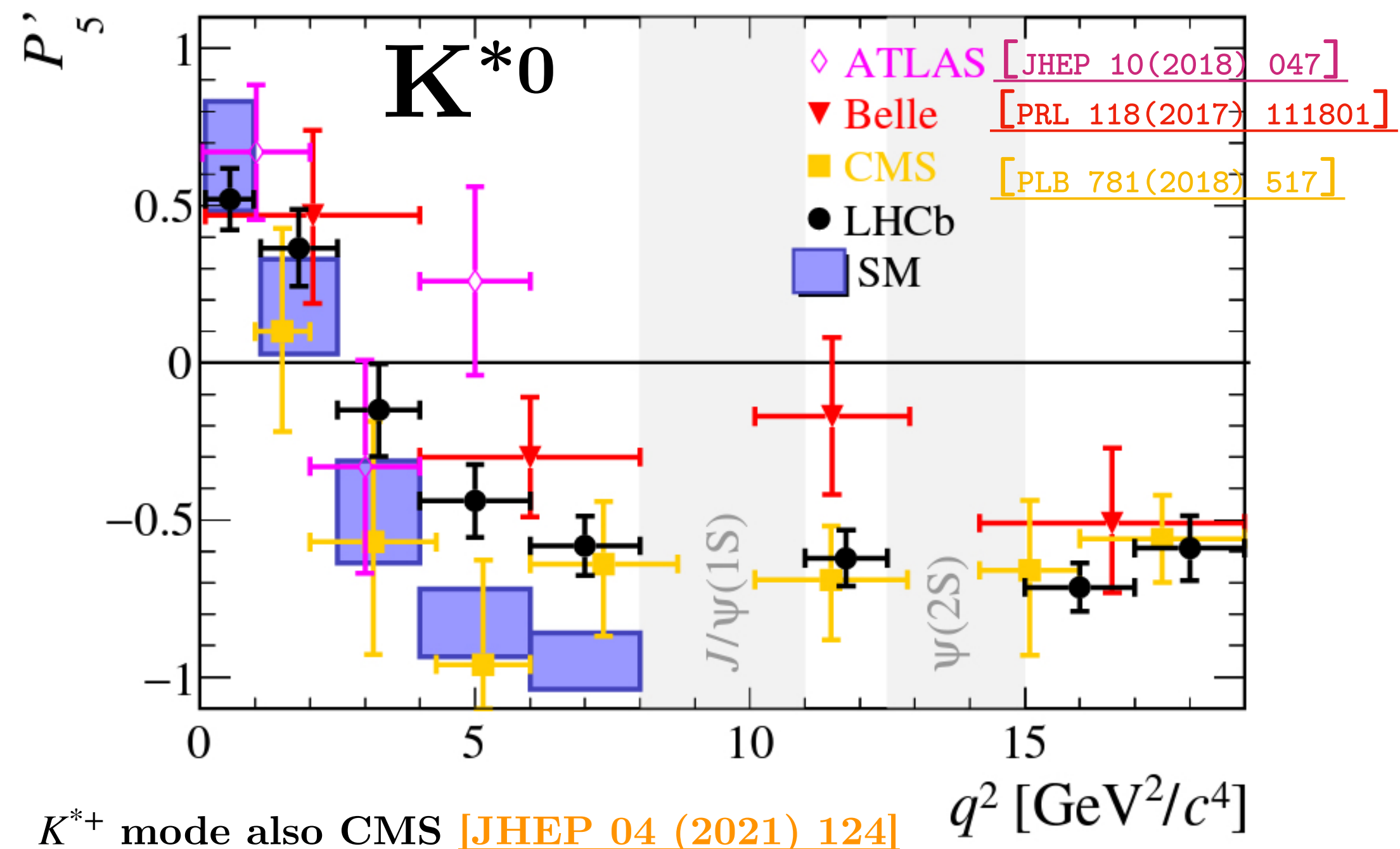
- Deviations are **coherent and significant** when interpreted as modified vector coupling  $C_9$
- $\sigma_{th}$  under scrutiny (charm loops)
- **Full Run2 still to exploit at LHCb in  $K^{*0}$  mode**



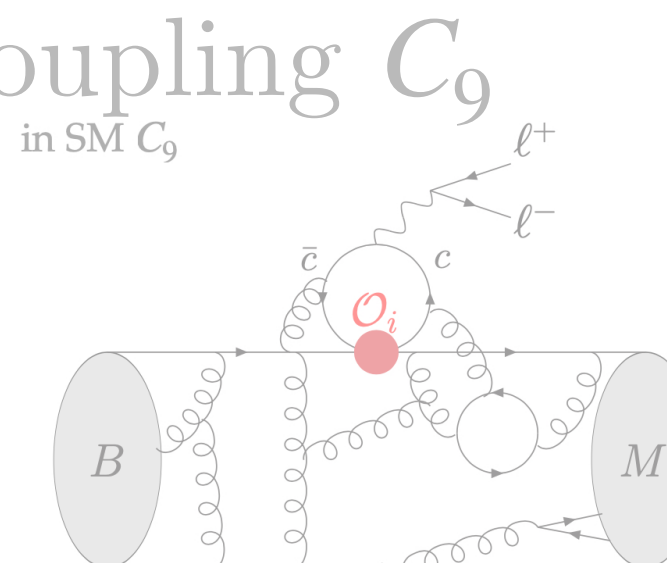
# Angular $b \rightarrow s\mu\mu$ analyses

- ▶ Optimised variables to reduce form factors uncertainties

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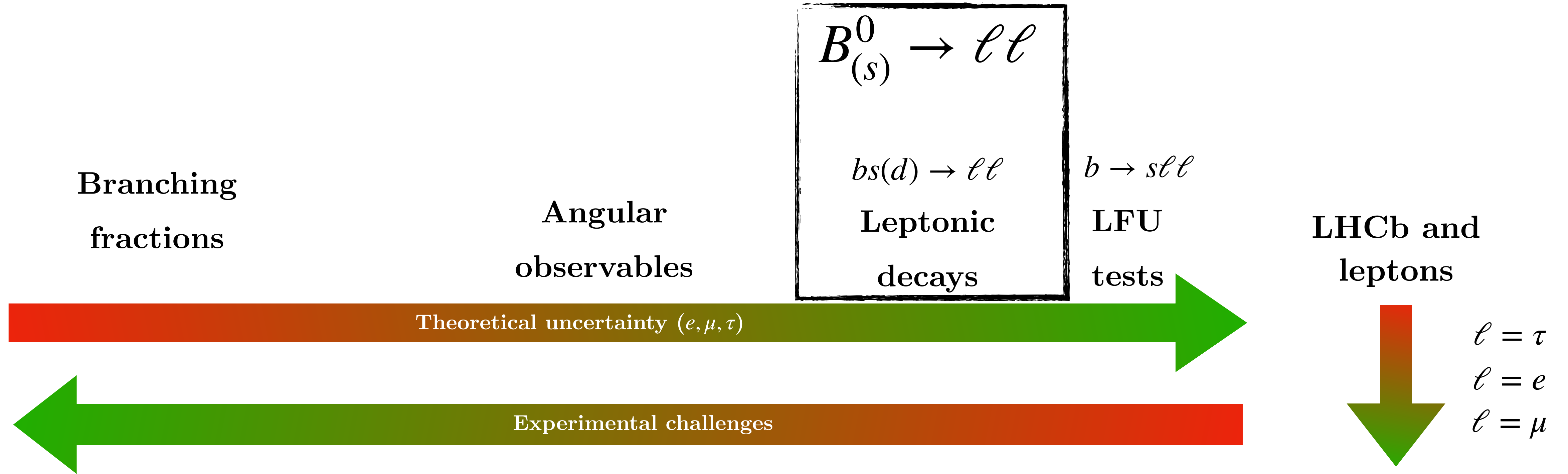


- ▶ Deviations are coherent and significant when interpreted as modified vector coupling  $C_9$
- ▶  $\sigma_{th}$  under scrutiny (charm loops)
- ▶ Full Run2 still to exploit at LHCb in  $K^{*0}$  mode

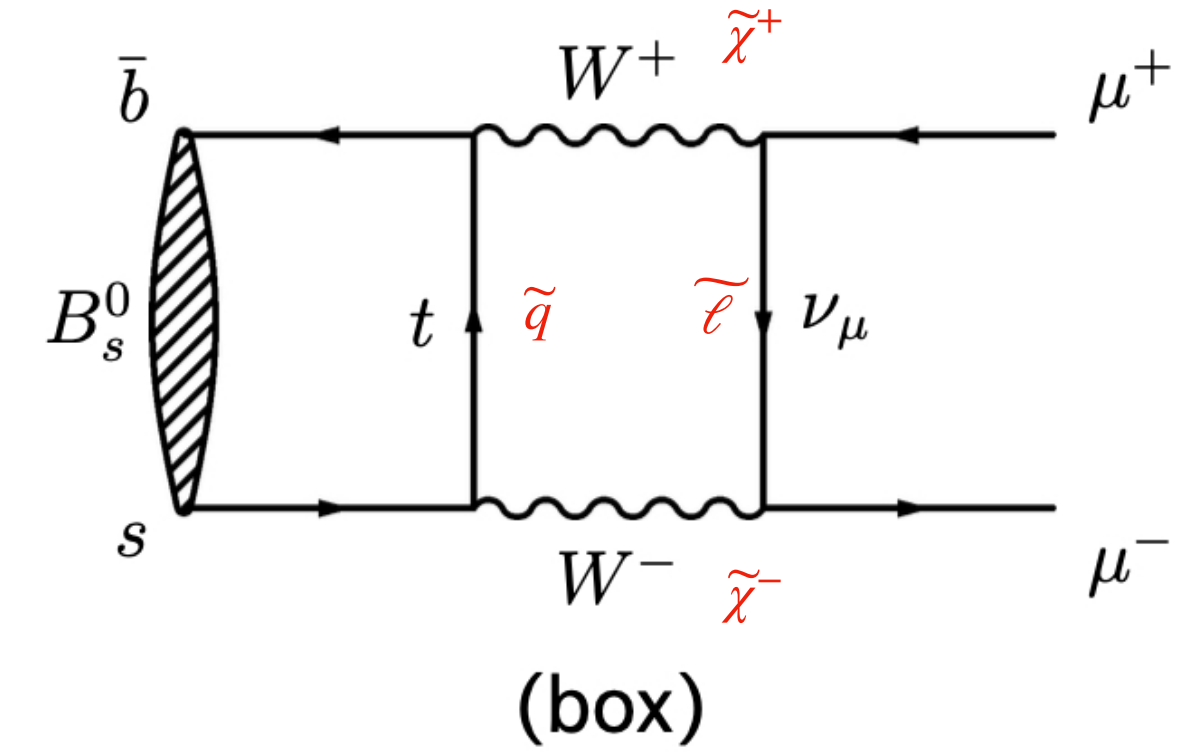
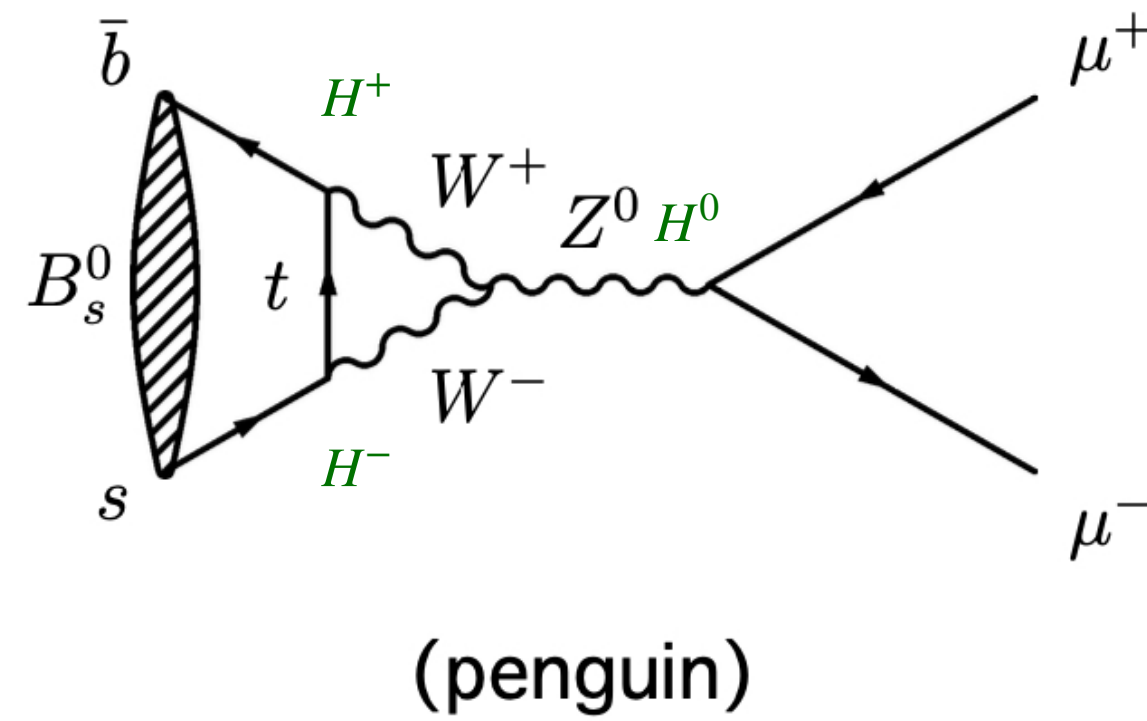
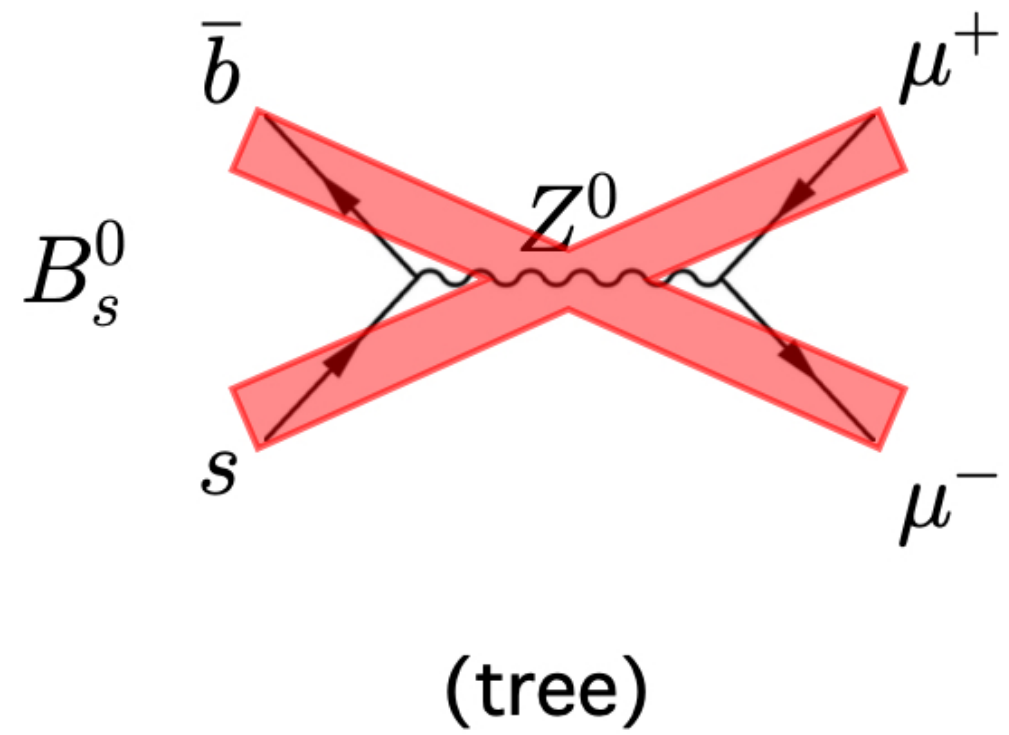


- ▶ Towards a Run1+2 results across LHC collaborations, waiting for BelleII

# $bs(d) \rightarrow \ell\ell$ measurements: pure leptonic



# The golden $B \rightarrow \mu\mu$ decay



- ▶ FCNC process + helicity suppressed :  $\mathcal{B}(B_s \rightarrow \mu\mu) \sim 10^{-9}$

$$\mathcal{B}(B_q^0 \rightarrow \mu^+\mu^-)_{\text{SM}} = \frac{\tau_{B_q} G_F^4 M_W^4 \sin^4 \theta_W}{8\pi^5} |C_{10}^{\text{SM}} V_{tb} V_{tq}^*|^2 f_{B_q}^2 m_{B_q} m_\mu^2 \sqrt{1 - \frac{4m_\mu^2}{m_{B_q}^2}} \frac{1}{1 - y_q} \quad q = d, s$$

Single Wilson

$f_{B_q}$  known at 0.5% [PRD 98 (2019) 074512]

- ▶ Sensitive to scalar/pseudo-scalar couplings
- ▶ Extended Higgs boson sectors

- ▶ Clean predictions in SM (largest source from  $|V_{cb}|$  inclusive <sup>(\*)</sup>)

$$\mathcal{B}(B_s^0 \rightarrow \mu^+\mu^-)_{\text{SM}} = (3.66 \pm 0.14) \times 10^{-9}$$

[JHEP 10 (2019) 232]

$$\mathcal{B}(B^0 \rightarrow \mu^+\mu^-)_{\text{SM}} = (1.03 \pm 0.05) \times 10^{-10}$$

$$(*) \mathcal{B}(B_s^0 \rightarrow \mu^+\mu^-)_{V_{cb}\text{-independent estimate}} : (3.78_{-0.10}^{+0.15}) \times 10^{-9}$$

A golden channel for all  
LHC experiments  
(ATLAS/CMS/LHCb)



# The golden $B \rightarrow \mu\mu$ decay status

► **CMS compatible with SM, better/equal sensitivity than LHCb contrary to  $b \rightarrow s\ell\ell$**

► First observation on  $B^0 \rightarrow \mu\mu$

still to do, also  $b \rightarrow \mu\mu\gamma$  in full  $m(\mu\mu)$  range

## CMS UL

$$\mathcal{B}(B^0 \rightarrow \mu^+\mu^-) < 1.9 \times 10^{-10} \text{ at 95\% CL,}$$

## LHCb UL

$$\mathcal{B}(B^0 \rightarrow \mu^+\mu^-) < 2.6 \times 10^{-10} \text{ at 95\% CL,}$$

► More data, challenges from  $B \rightarrow hh$  backgrounds

$$\mathcal{B}(B_s^0 \rightarrow \mu^+\mu^-\gamma) < 2.0 \times 10^{-9} \text{ at 95\% CL,}$$

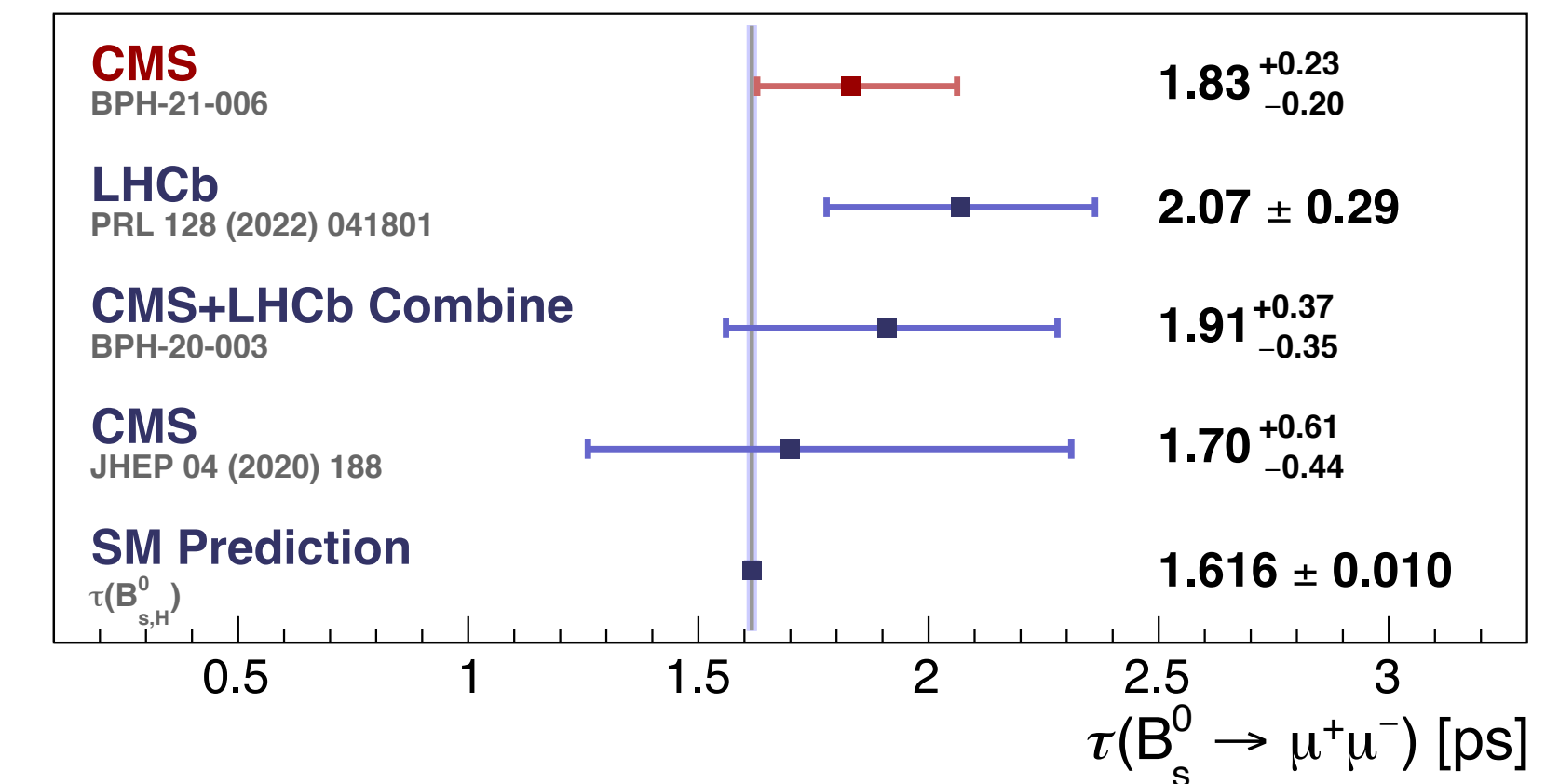
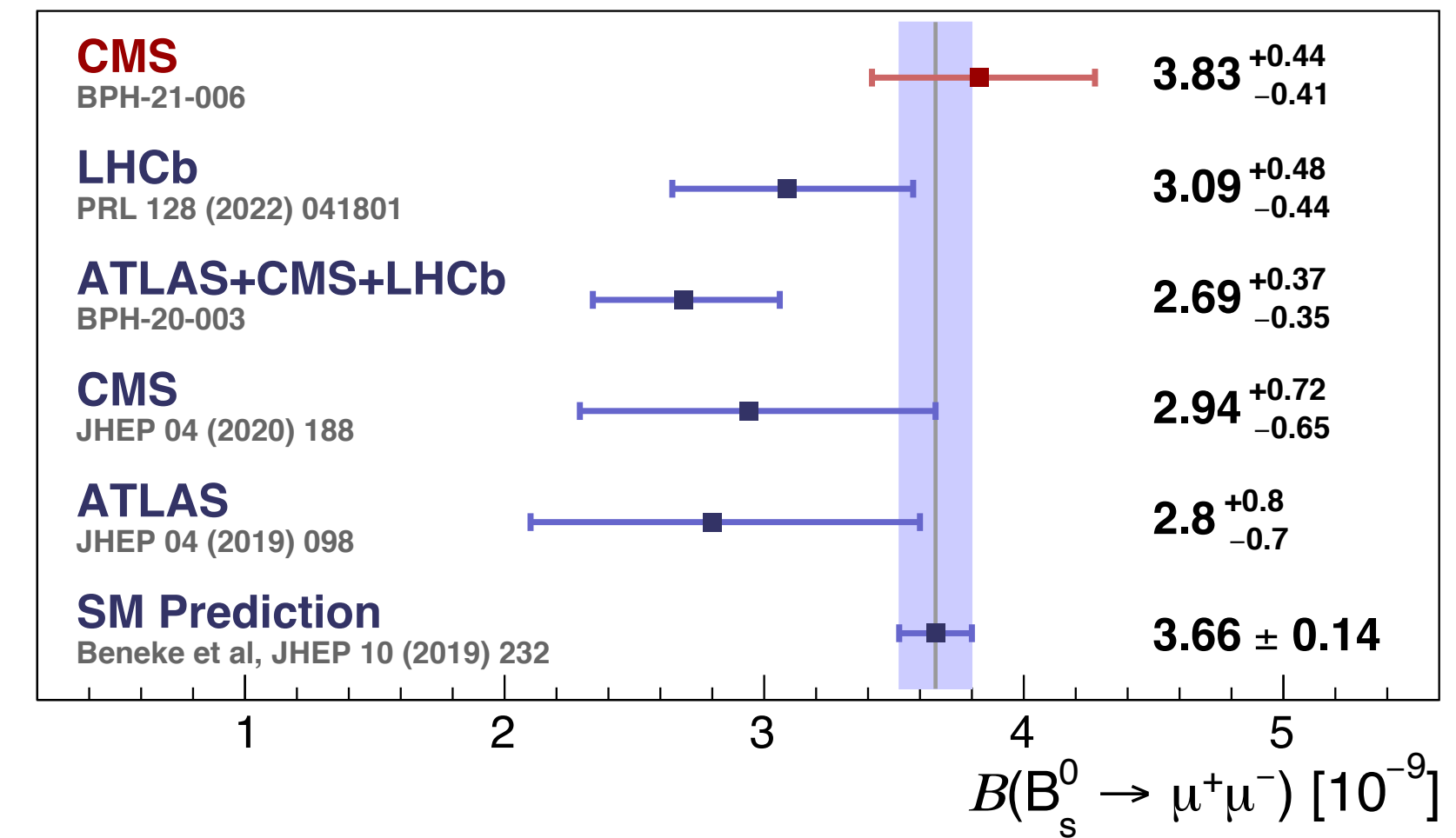
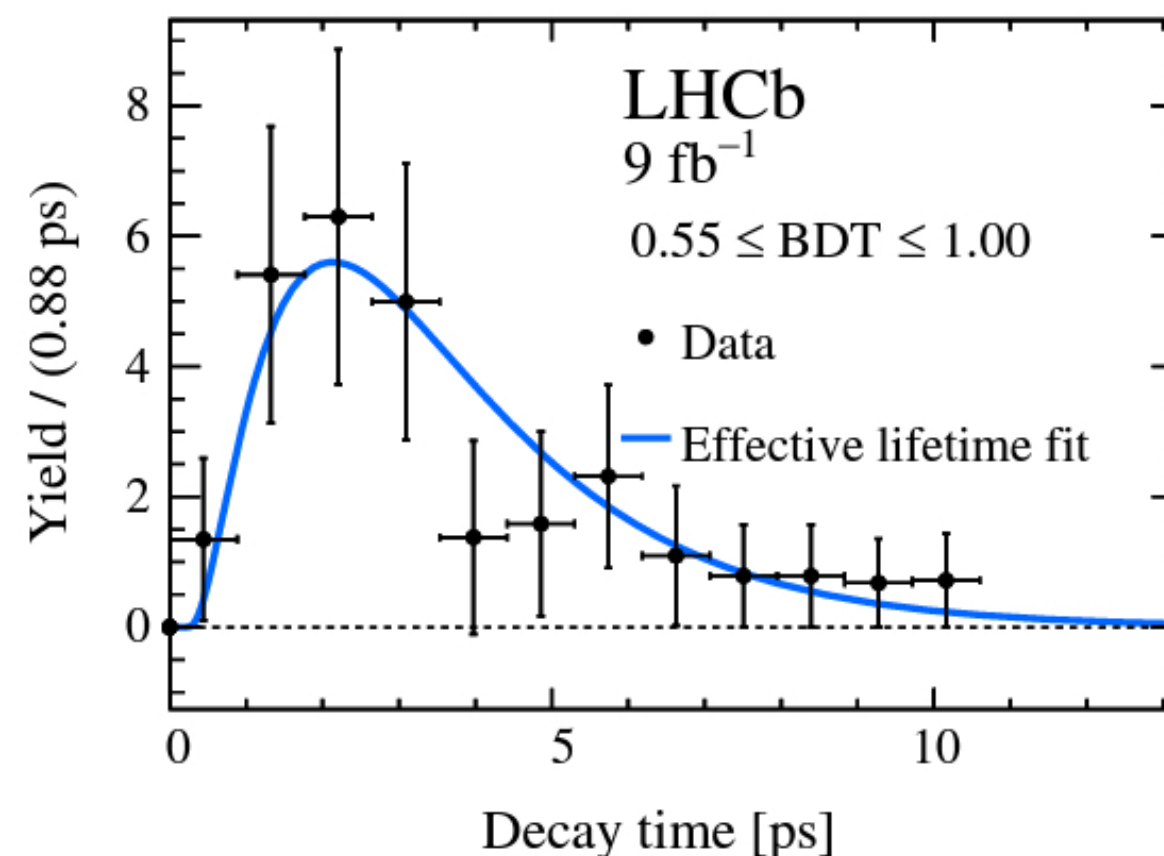
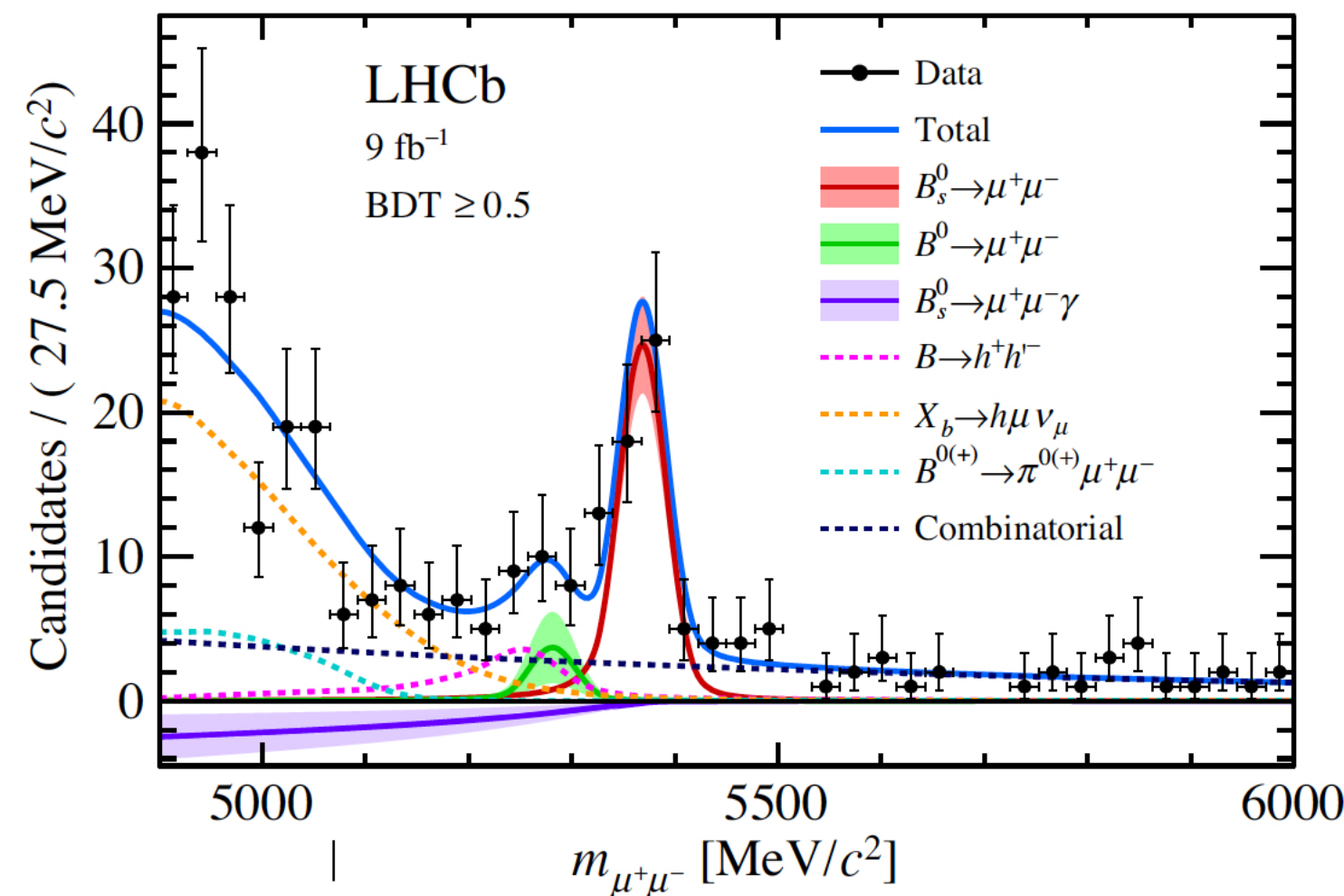
for  $m(\mu\mu) > 4.9\text{GeV}$

► Direct search on-going

► **Waiting for LHC combination**

[PRL 128 (2022) 041801]

[PRD 105 (2022) 012010]



# Beyond $b \rightarrow \mu\mu$ , other fully leptonic decays at LHCb

## ▶ $B_{s,d} \rightarrow ee$ limits

[PRL 124 \(2020\) 211802](#)

$$\mathcal{B}(B_s^0 \rightarrow e^+e^-) < 9.4 \times 10^{-9} \text{ (90 \% CL)}$$

$$\mathcal{B}(B^0 \rightarrow e^+e^-) < 2.5 \times 10^{-9} \text{ (90 \% CL)}$$

## ▶ $B_{s,d} \rightarrow \tau\tau$ limits

[PRL 118 \(2017\) 251802](#)

$$\mathcal{B}(B_s^0 \rightarrow \tau^+\tau^-) < 6.8 \times 10^{-3} \text{ (90 \% CL)}$$

$$\mathcal{B}(B^0 \rightarrow \tau^+\tau^-) < 2.1 \times 10^{-3} \text{ (90 \% CL)}$$

## ▶ $B_{s,d} \rightarrow 4\mu$ limits

[\[JHEP 3 \(2022\) 109\]](#)

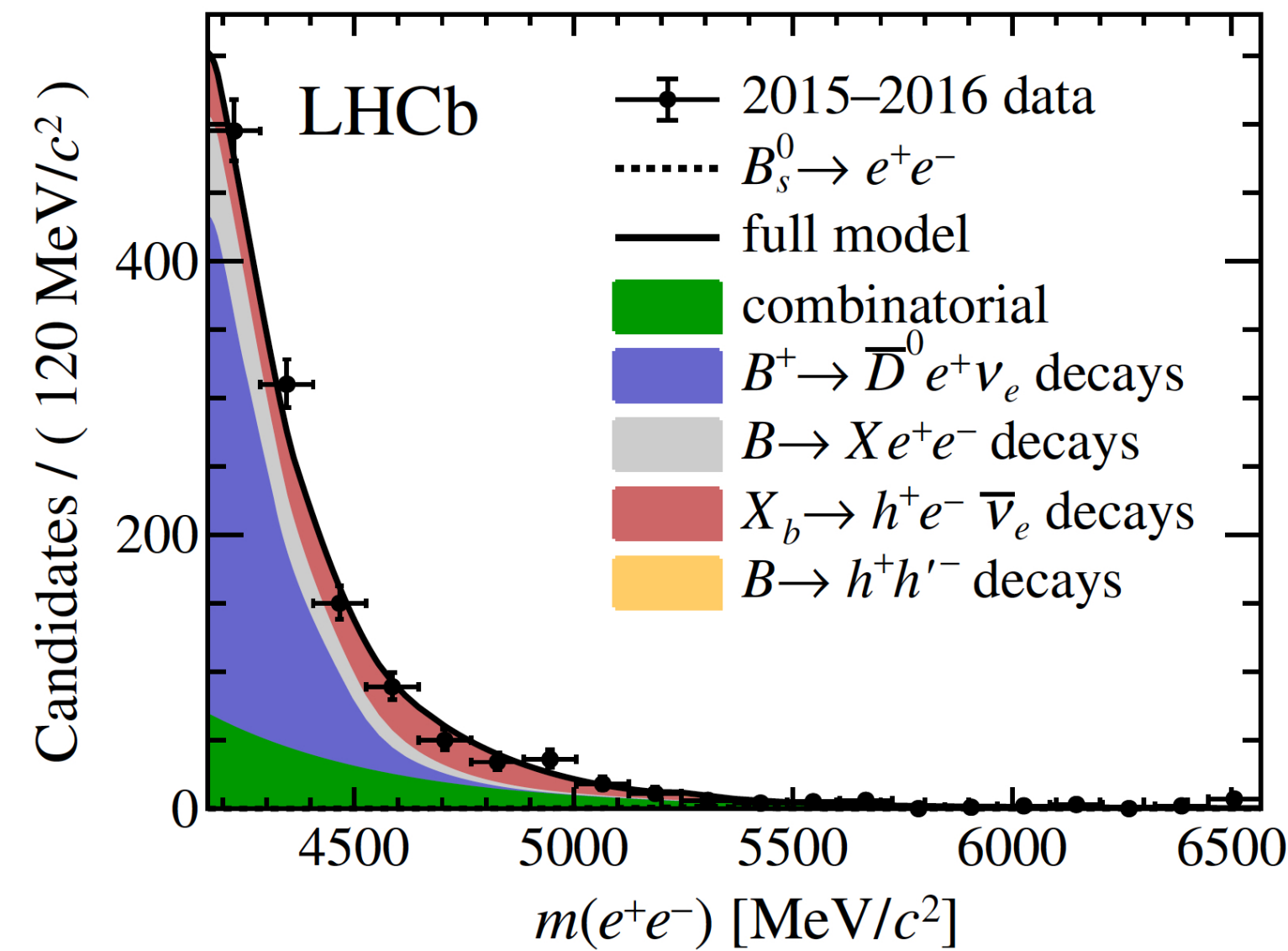
$$\mathcal{B}(B_s^0 \rightarrow \mu^+\mu^-\mu^+\mu^-) < 8.6 \times 10^{-10}$$

$$\mathcal{B}(B^0 \rightarrow \mu^+\mu^-\mu^+\mu^-) < 1.8 \times 10^{-10}$$

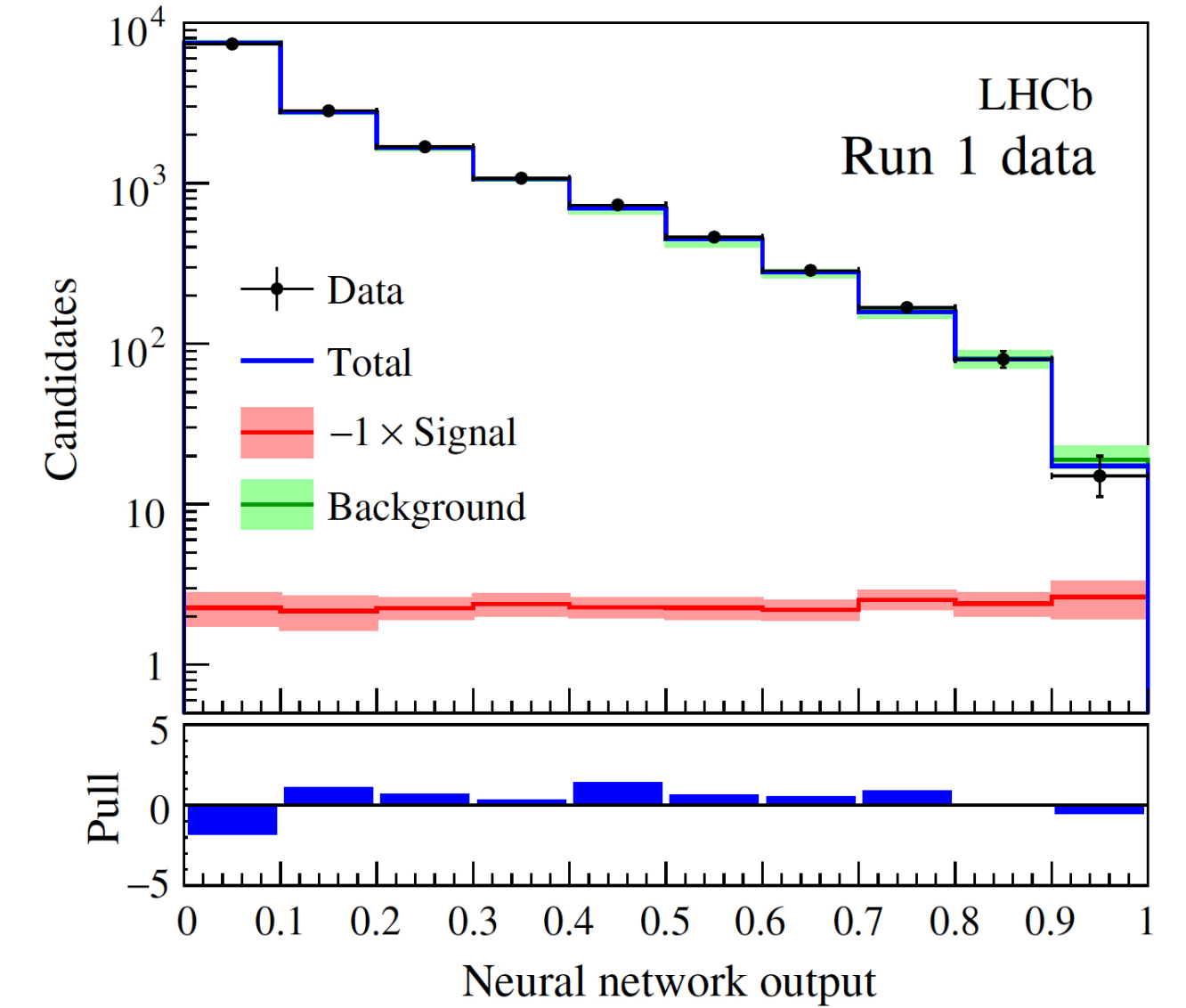
- ▶ Also limits on intermediate  $a(\mu\mu)$  resonances at  $10^{-9} - 10^{-10}$  with  $m(a) = 1\text{GeV}$

## ▶ Complementary but more experimentally challenging

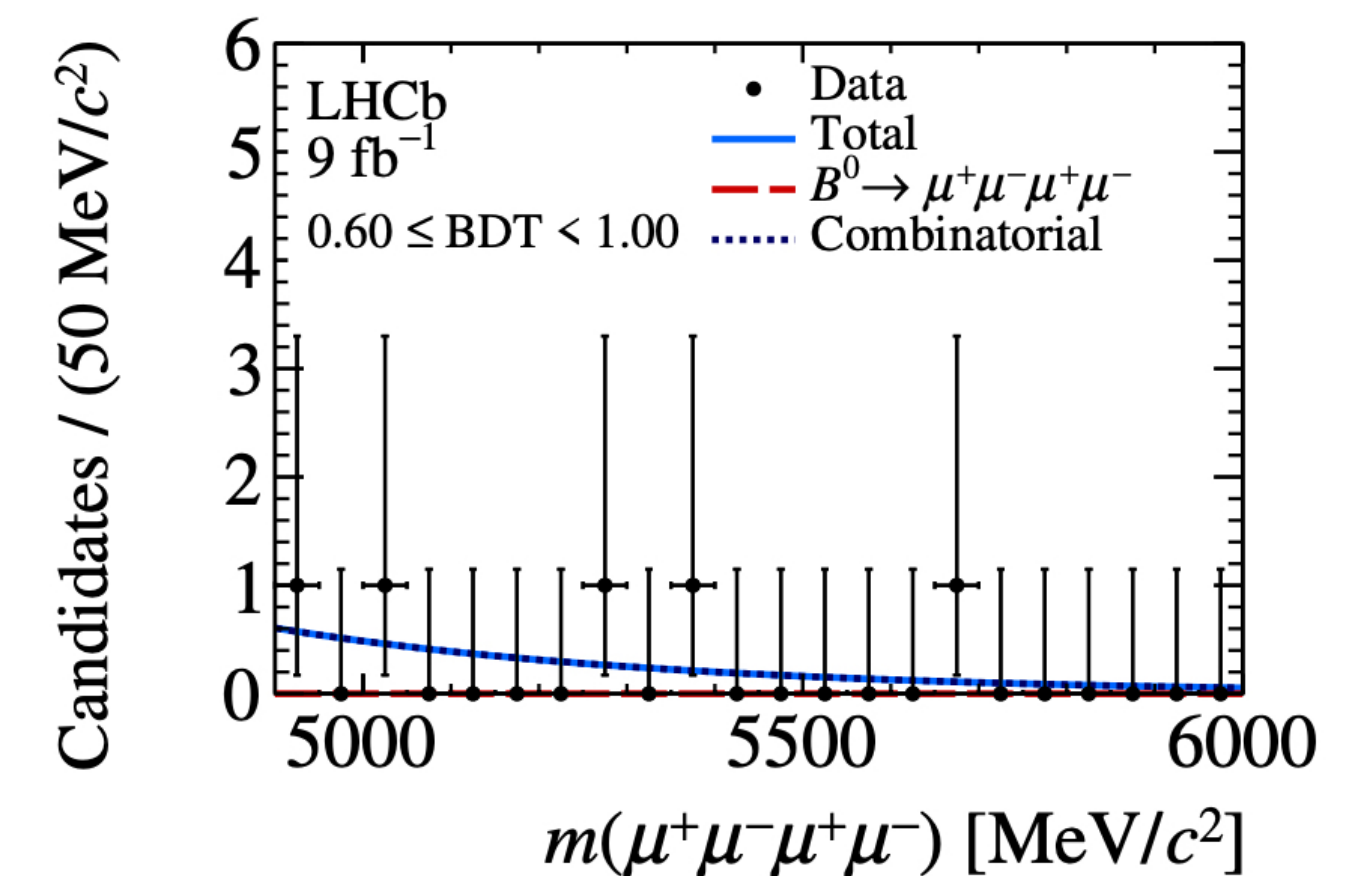
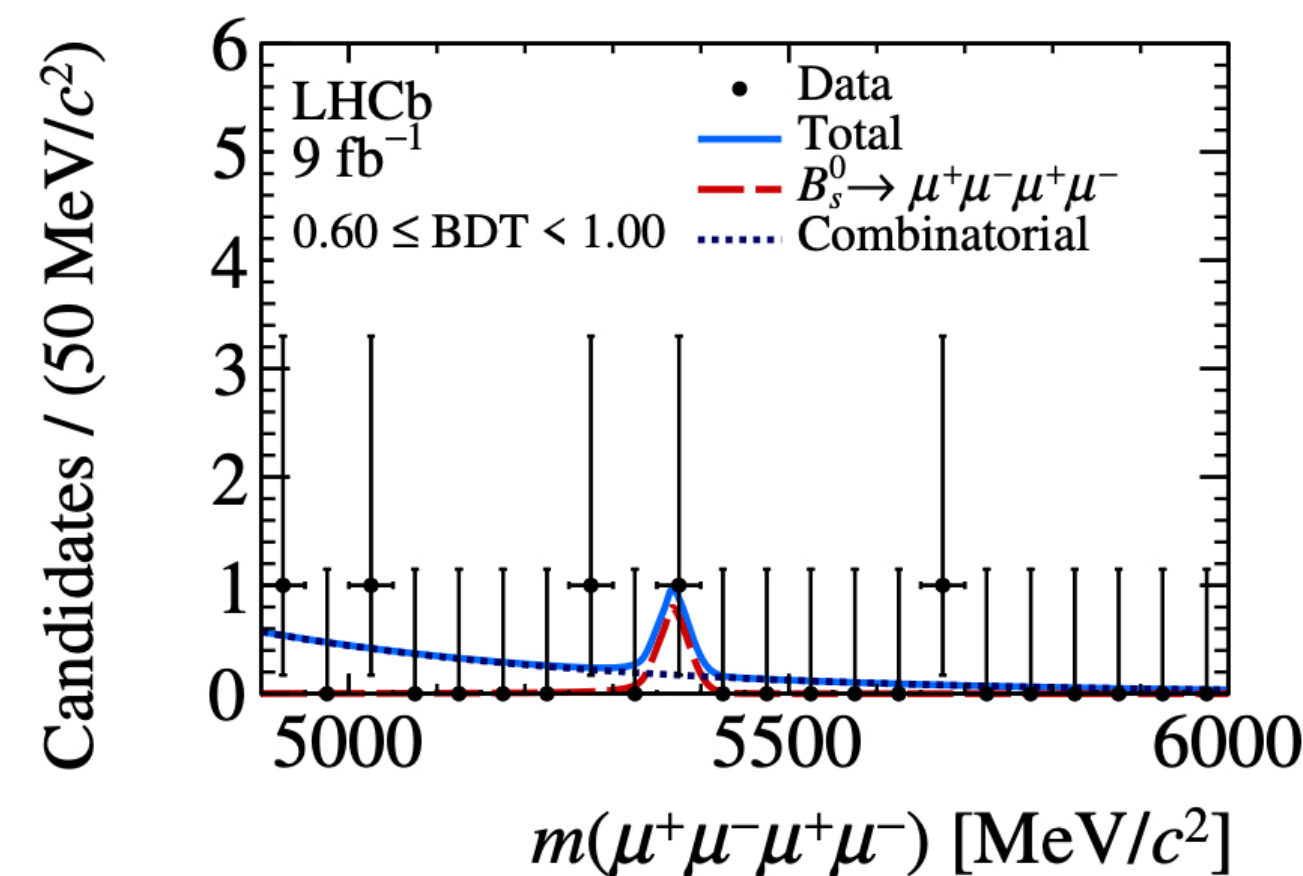
$$B_{(s)}^0 \rightarrow ee$$



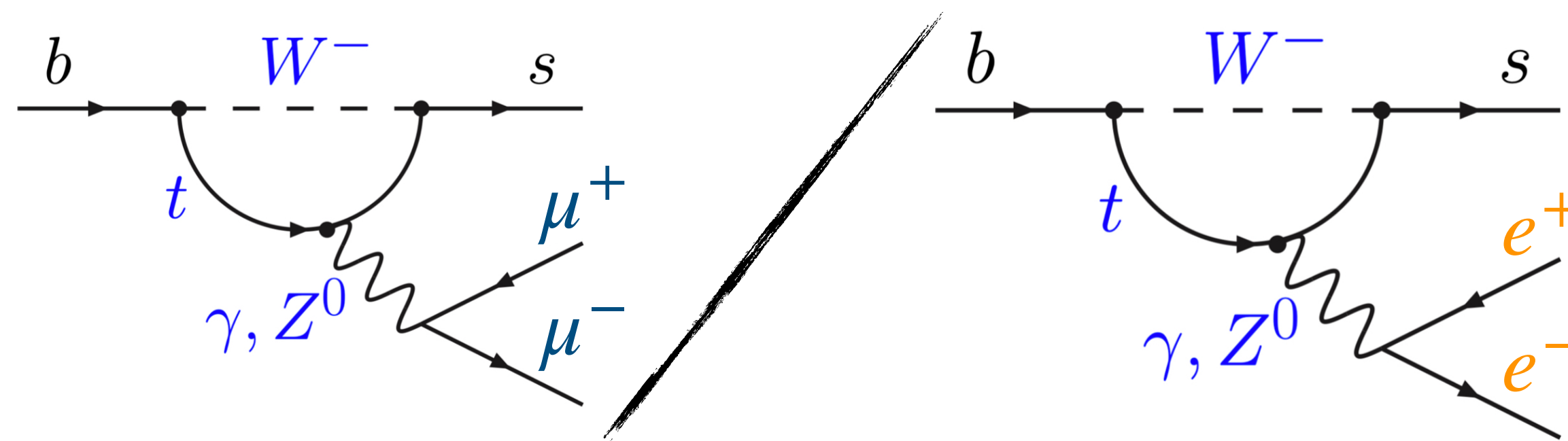
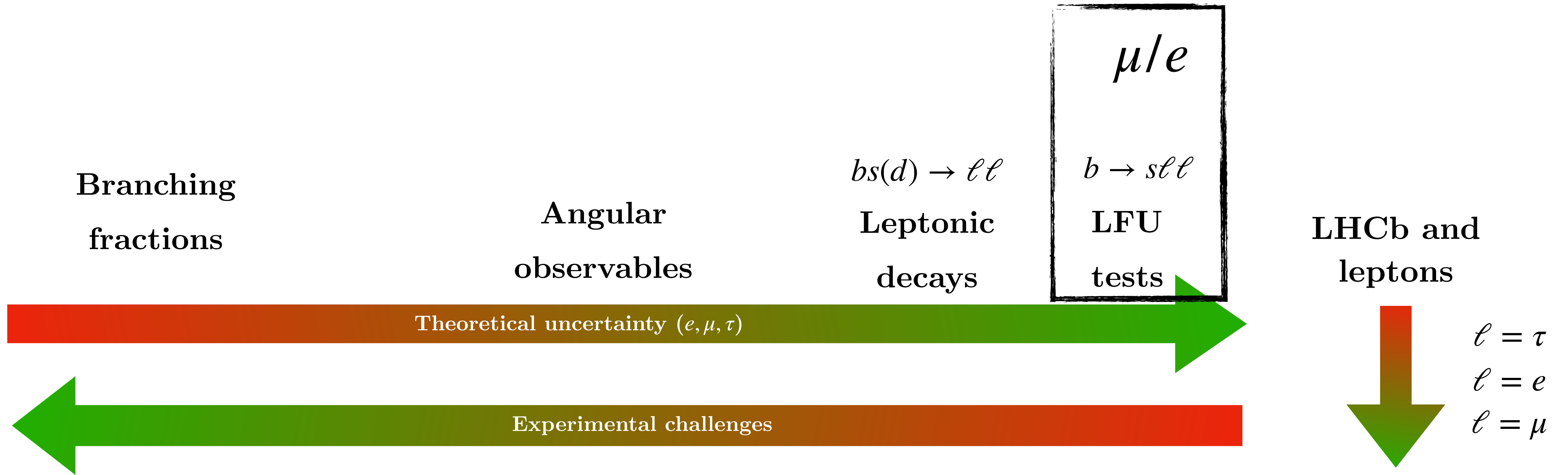
$$B_{(s)}^0 \rightarrow \tau\tau$$



$$B_{(s)}^0 \rightarrow 4\mu$$

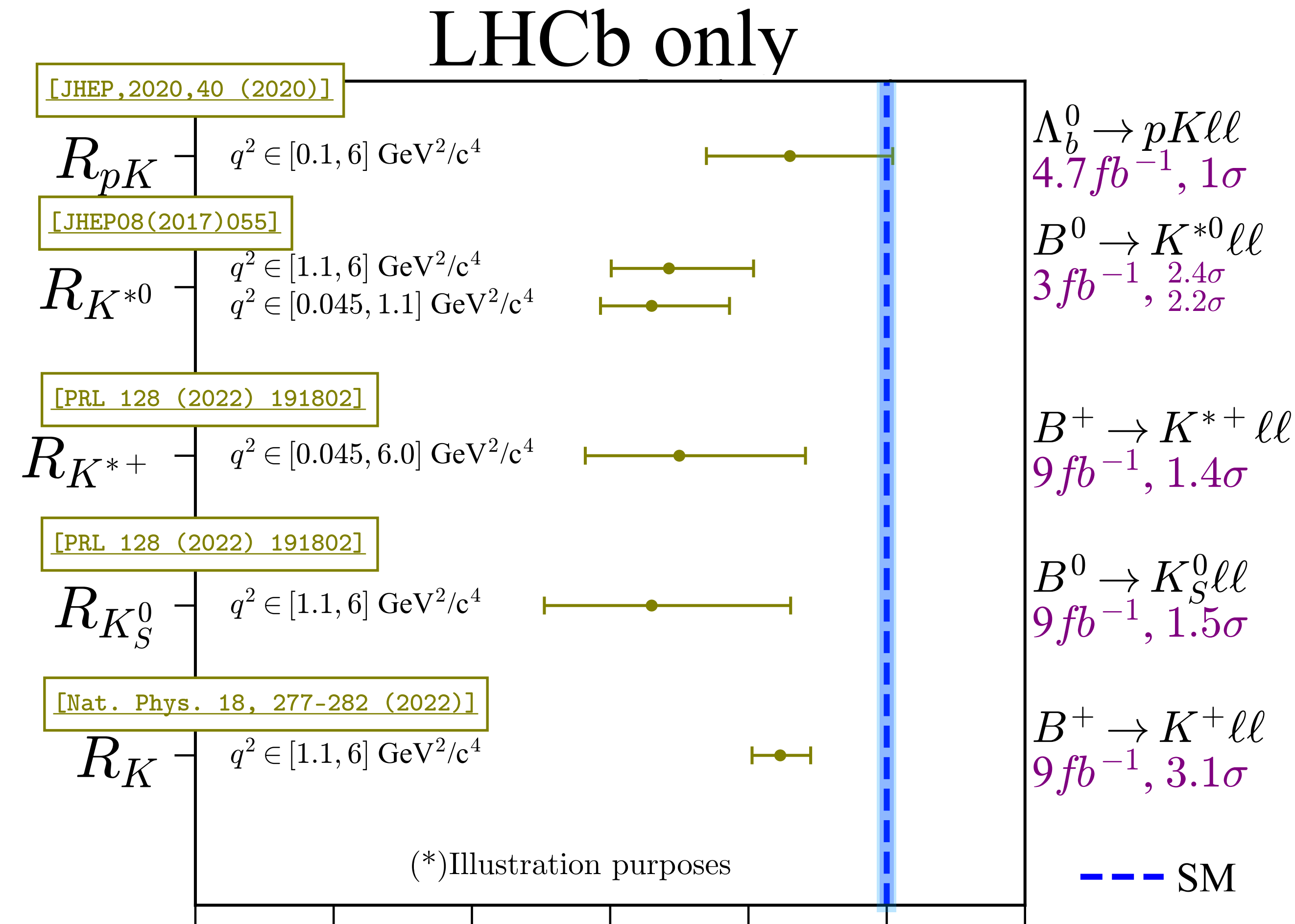


# $b \rightarrow s\ell\ell$ measurements: LFU tests



# Lepton Flavour Universality (LFU) tests in $b \rightarrow s\ell^+\ell^-$ (late 2022)

- ◆ Status late 2022 showed an intriguing pattern of tension to SM
- ◆  $R_X$  ratio extremely well predicted in SM
  - ▶ Cancellation of hadronic uncertainties at  $10^{-4}$
  - ▶  $\mathcal{O}(1\%)$  QED correction [Eur.Phys.J.C 76 (2016) 8]
  - ▶ Statistically limited
- ◆ Any departure from unity is a clear sign of New Physics



$$R_X = \frac{\mathcal{B}(b \rightarrow s\mu^+\mu^-)}{\mathcal{B}(b \rightarrow se^+e^-)}$$

(\*) Measurements from Belle not shown (larger statistical uncertainties)

# Lepton Flavour Universality (LFU) tests in $b \rightarrow s\ell^+\ell^-$ (today)

◆ *Now*: agreement to SM driven by latest

LHCb measurement [arXiv:2212.09153](https://arxiv.org/abs/2212.09153)  
[arXiv:2212.09152](https://arxiv.org/abs/2212.09152)

◆ Re-analysis of  $R_K$   $q^2 \in [1.1, 6] \text{ GeV}^2/c^4$

◆ New  $R_K$   $q^2 \in [0.1, 1.1] \text{ GeV}^2/c^4$

◆  $3 \rightarrow 9 \text{ fb}^{-1}$  update of  $R_{K^{*0}}$

◆ Main updates on analysis

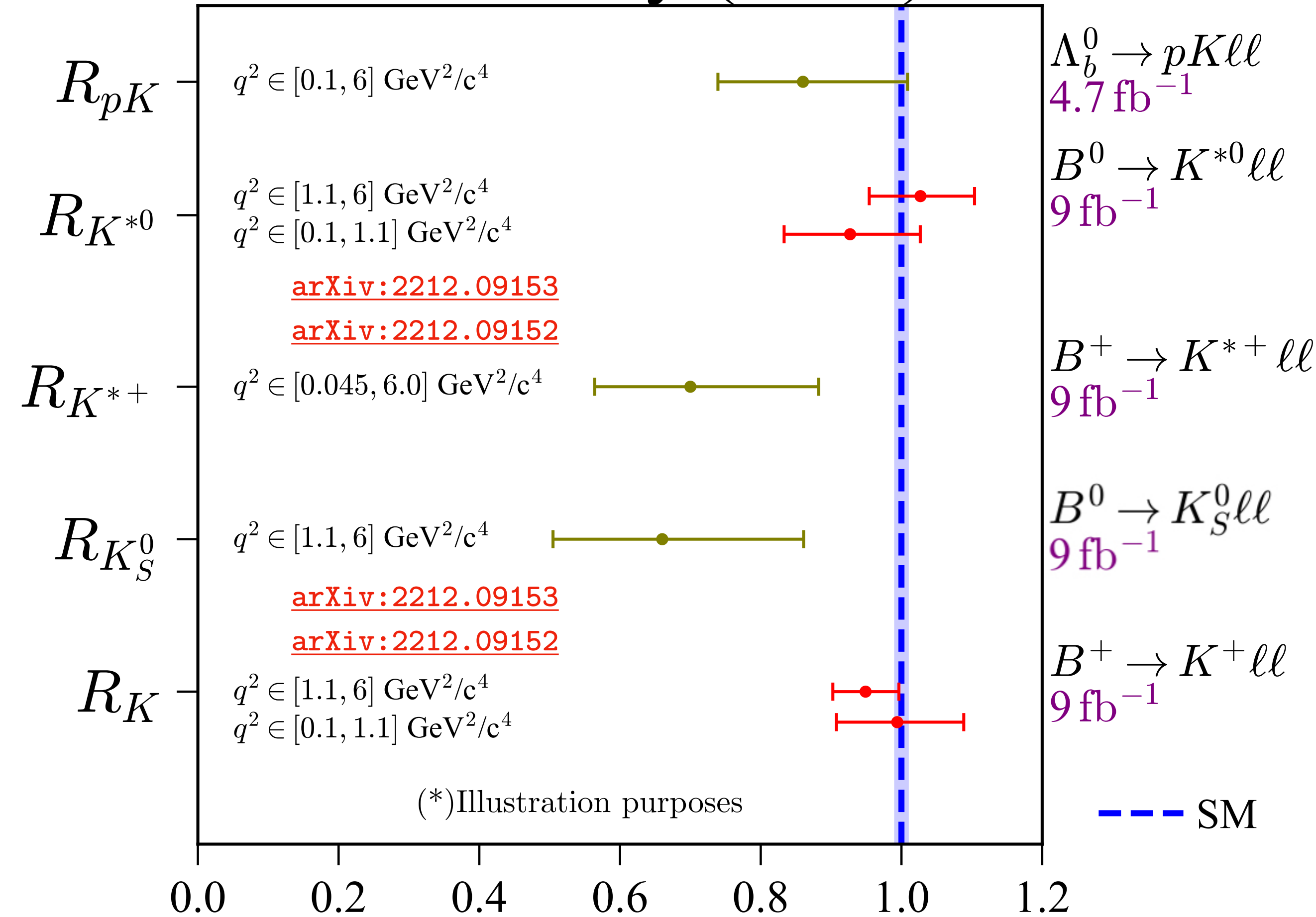
◆ *Selection revised*

◆ *Simultaneous approach to measurement*

◆ *Inclusion of additional backgrounds from mis-identification of electrons*

◆ *Orthogonal choices for fit, efficiencies and selection where possible to previous analyses*

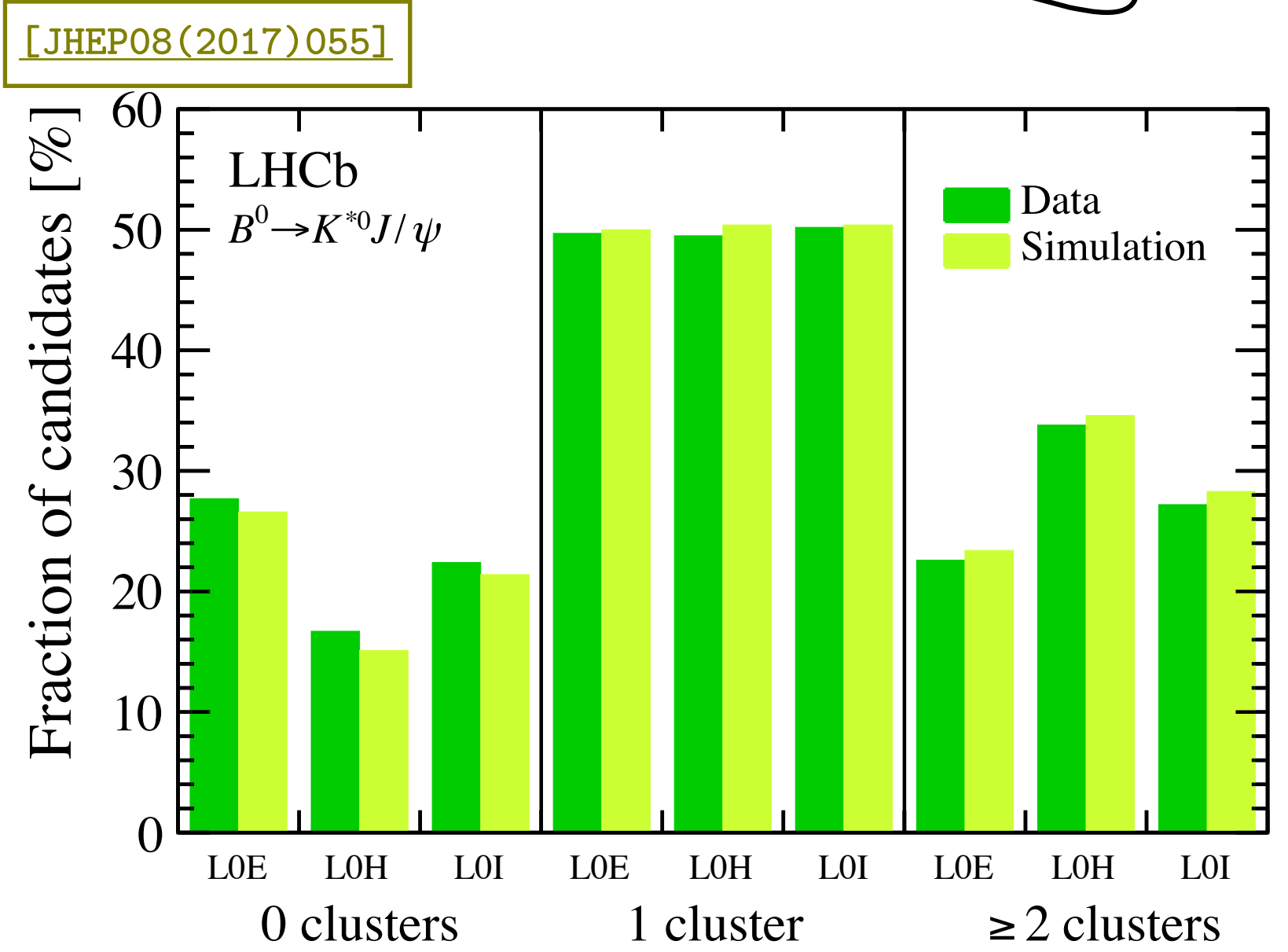
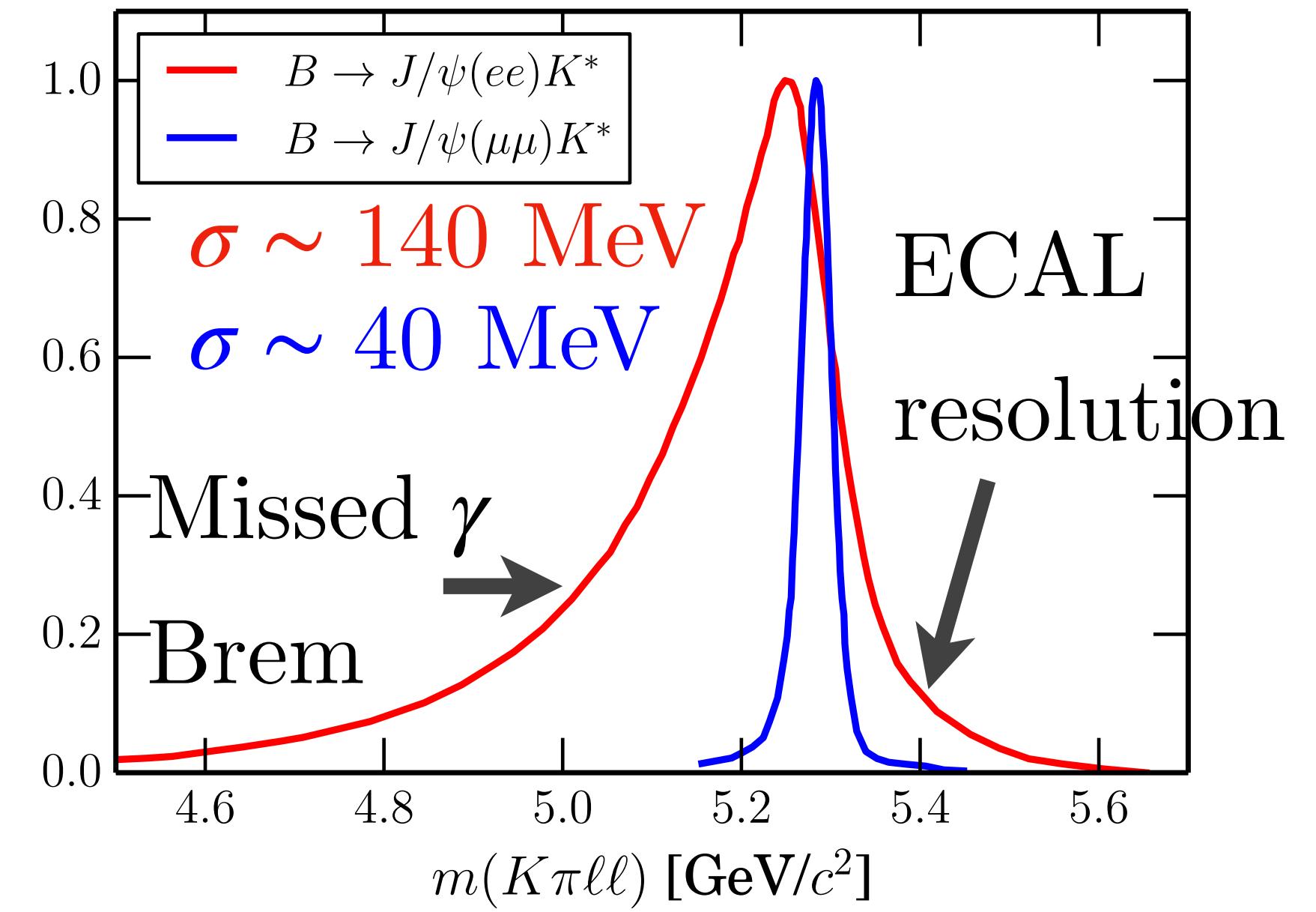
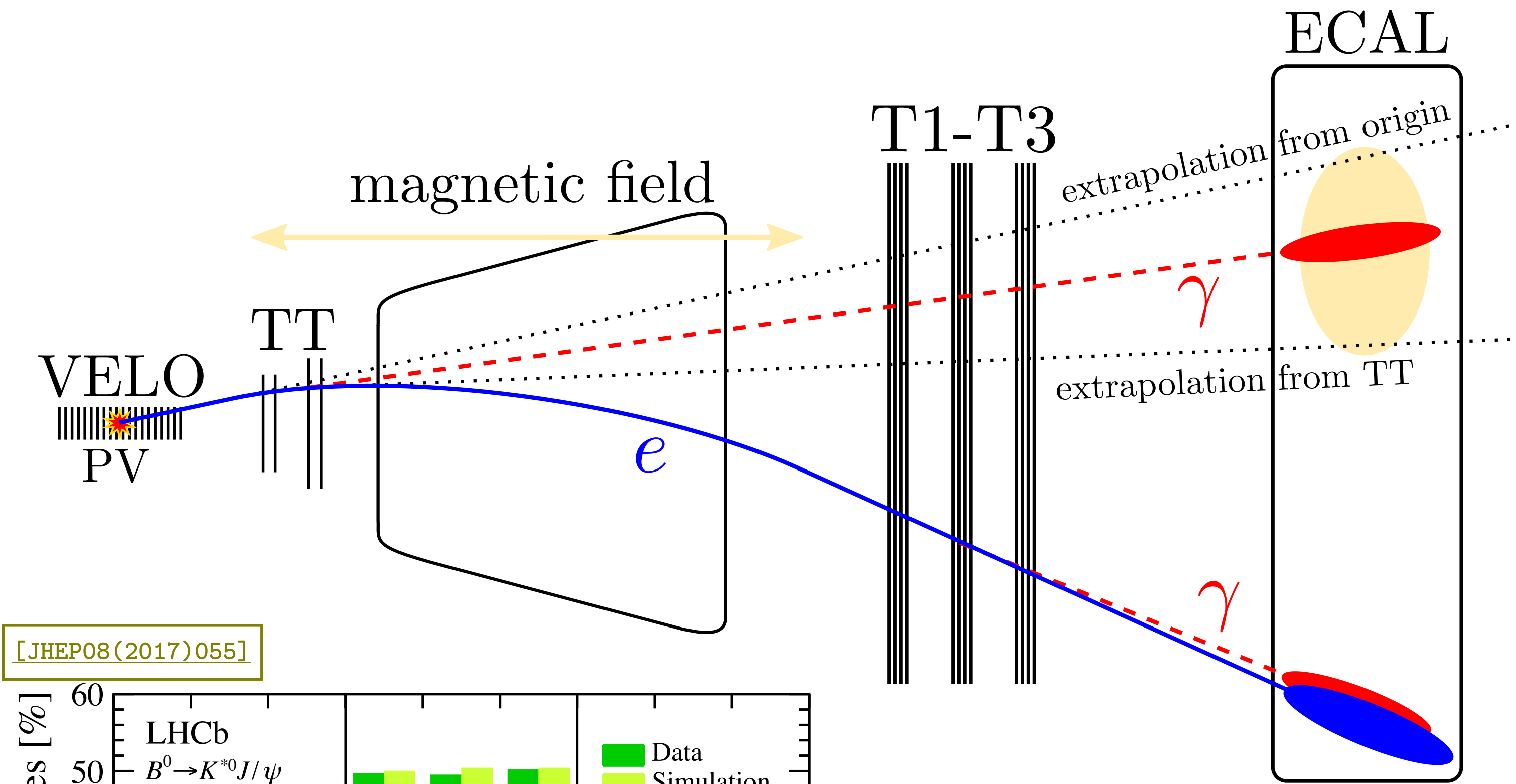
## LHCb only (2023)



$$R_X = \frac{\mathcal{B}(b \rightarrow s\mu^+\mu^-)}{\mathcal{B}(b \rightarrow se^+e^-)}$$

(\*) Measurements from Belle not shown (larger statistical uncertainties)

# Challenges in LFU tests: electrons and energy losses



- ▶ Brem recovery is  $\sim O(50\%)$  efficient
- ▶ Well described in simulation

- ▶ Wider fit range than muons
- ▶ more background,
- ▶ more sensitive to peaking structures
- ▶ lineshapes are brem-dependent

# Latest lepton flavour universality test in $b \rightarrow s\ell^+\ell^-$ at LHCb

- ◆ Full LHCb dataset ( $9 \text{ fb}^{-1}$ ), simultaneous measurement of  $R_K$  &  $R_{K^*}$

$$R_{K,K^*}(q_a^2, q_b^2) = \frac{\int_{q_a^2}^{q_b^2} \frac{d\Gamma(B^{(+,0)} \rightarrow K^{(+,*0)} \mu^+ \mu^-)}{dq^2} dq^2}{\int_{q_a^2}^{q_b^2} \frac{d\Gamma(B^{(+,0)} \rightarrow K^{(+,*0)} e^+ e^-)}{dq^2} dq^2}$$

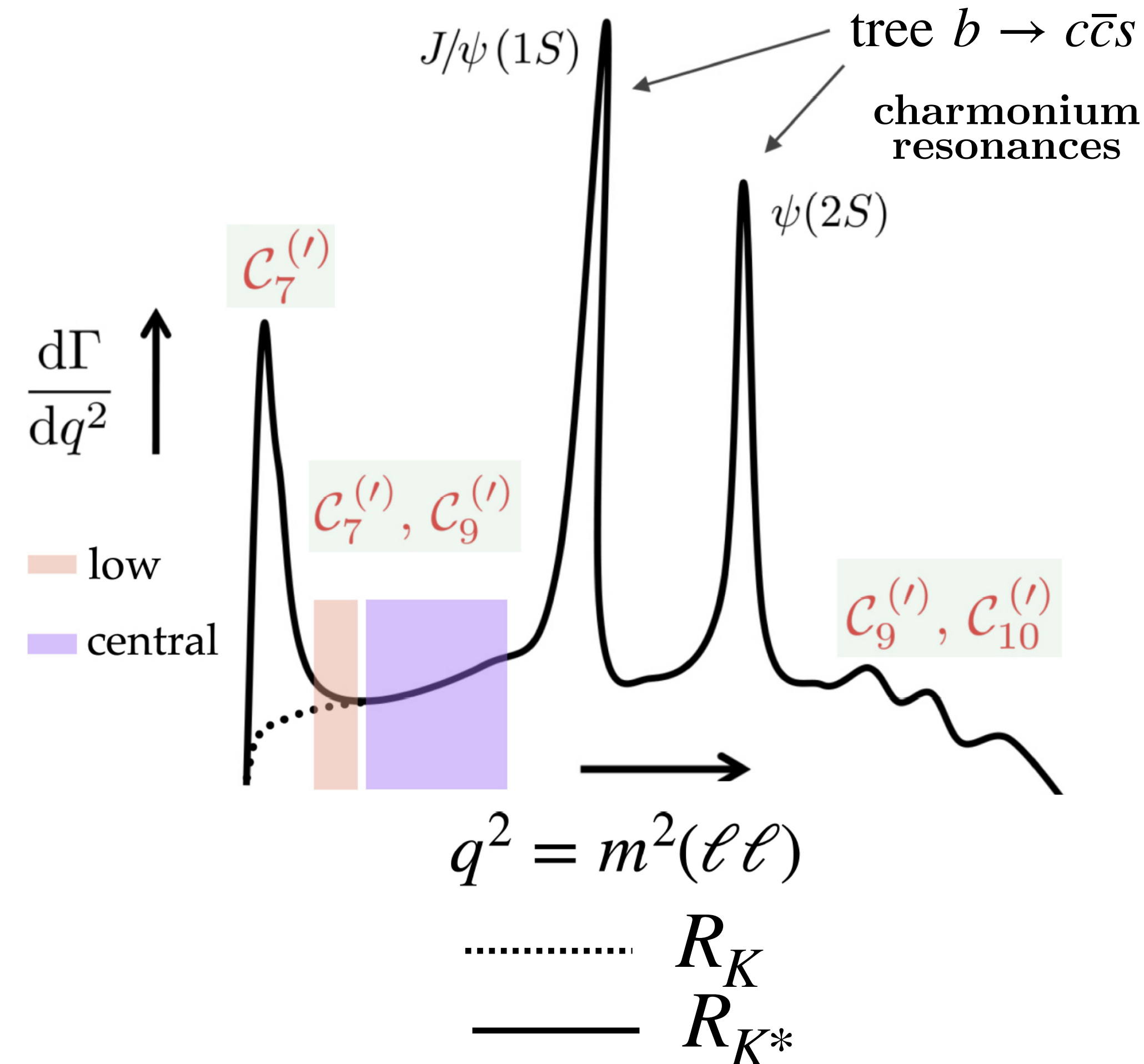
- ◆  $q^2$  ranges:

▶ low- $q^2$  :  $q^2 \in [0.1, 1.1] \text{ GeV}^2/c^4$

▶ central- $q^2$  :  $q^2 \in [1.1, 6.0] \text{ GeV}^2/c^4$

- ◆ For  $R_{K^*}$

$K^{*0}$  :  $m(K^+\pi^-) \in [792, 992] \text{ MeV}/c^2$




# LFU test strategy

$$R_{K,K^*}(q_a^2, q_b^2) = \frac{\int_{q_a^2}^{q_b^2} \frac{d\Gamma(B^{(+,0)} \rightarrow K^{(+,*0)} \mu^+ \mu^-)}{dq^2} dq^2}{\int_{q_a^2}^{q_b^2} \frac{d\Gamma(B^{(+,0)} \rightarrow K^{(+,*0)} e^+ e^-)}{dq^2} dq^2} \times \frac{\Gamma(J/\psi \rightarrow e^+ e^-)}{\Gamma(J/\psi \rightarrow \mu^+ \mu^-)}$$

Phys. Lett. B731, 227 (2014)

$$R_{(K,K^*)} = \frac{\frac{\mathcal{N}}{\varepsilon}(B^{(+,0)} \rightarrow K^{(+,*0)} \mu^+ \mu^-)}{\frac{\mathcal{N}}{\varepsilon}(B^{(+,0)} \rightarrow K^{(+,*0)} e^+ e^-)} \times \frac{\frac{\mathcal{N}}{\varepsilon}(B^{(+,0)} \rightarrow K^{(+,*0)} J/\psi (e^+ e^-))}{\frac{\mathcal{N}}{\varepsilon}(B^{(+,0)} \rightarrow K^{(+,*0)} J/\psi (\mu^+ \mu^-))}$$

$r_{J/\psi}^{-1} = 1$ 


- ◆  $\mathcal{N}$  from mass fits,  $\varepsilon$  evaluated from data-driven corrected simulation
- ◆ Use resonant- $J/\psi$  mode as normalisation to cancel out most of  $\varepsilon$  systematics in  $e/\mu$  differences. Resonant- $J/\psi$  mode also used for  $\varepsilon$  calibration



# LFU test strategy

Measured to be 1

PDG2022

Measured to be 1

Phys. Lett. B731, 227 (2014)

$$R_{\psi(2S)} = \frac{\Gamma(\psi(2S) \rightarrow \mu^+ \mu^-)}{\Gamma(\psi(2S) \rightarrow e^+ e^-)} \times \frac{\Gamma(J/\psi \rightarrow e^+ e^-)}{\Gamma(J/\psi \rightarrow \mu^+ \mu^-)}$$

$$R_{\psi(2S)}^{(K, K^*)} = \frac{\frac{\mathcal{N}}{\varepsilon}(B^{(+,0)} \rightarrow K^{(+,*0)} \psi(2S)(\mu^+ \mu^-))}{\frac{\mathcal{N}}{\varepsilon}(B^{(+,0)} \rightarrow K^{(+,*0)} \psi(2S)(e^+ e^-))} \times \frac{\frac{\mathcal{N}}{\varepsilon}(B^{(+,0)} \rightarrow K^{(+,*0)} J/\psi(e^+ e^-))}{\frac{\mathcal{N}}{\varepsilon}(B^{(+,0)} \rightarrow K^{(+,*0)} J/\psi(\mu^+ \mu^-))}$$

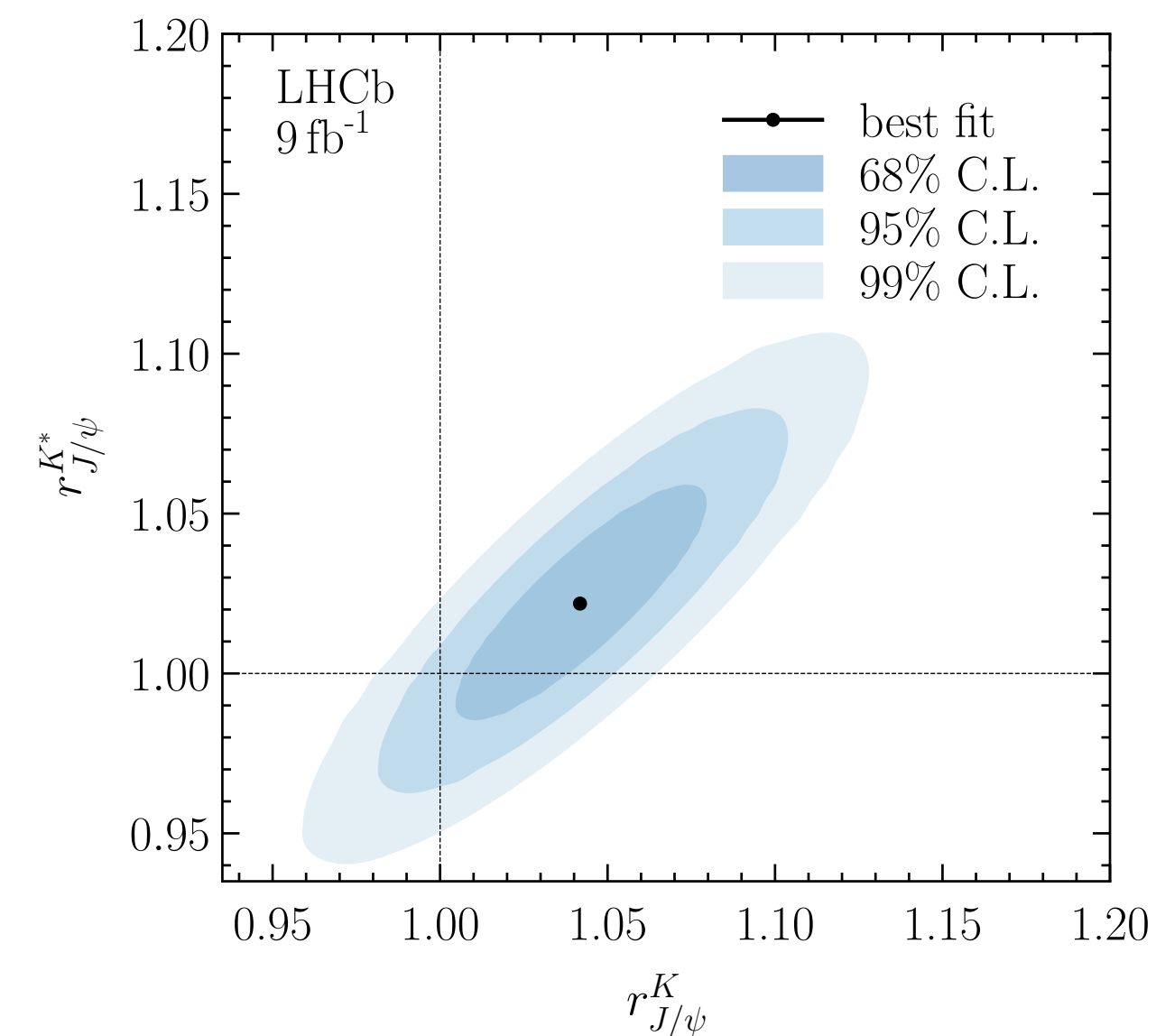
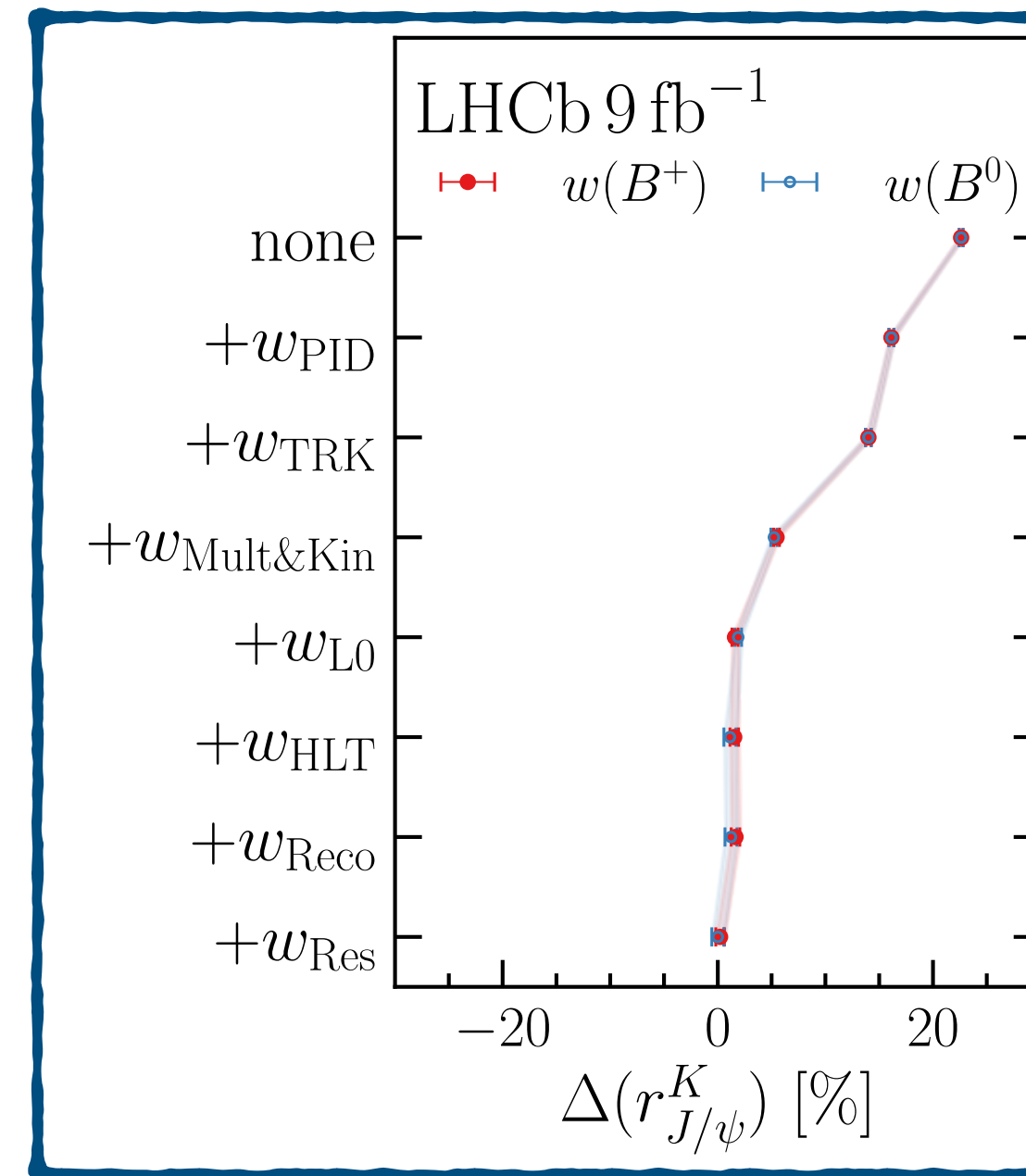
$r_{J/\psi}^{-1} = 1$

- ◆  $\mathcal{N}$  from mass fits,  $\varepsilon$  evaluated from data-driven corrected simulation
- ◆ Use resonant- $J/\psi$  mode as normalisation to cancel out most of  $\varepsilon$  systematics in  $e/\mu$  differences. Resonant- $J/\psi$  mode also used for  $\varepsilon$  calibration
- ◆ **Cross-check** goodness of **calibration** testing  $r_{J/\psi}^{K, K^*} = 1$
- ◆ **Cross-check** goodness of **method** testing  $R_{\psi(2S)}^{K, K^*} = 1$

# Efficiency ratios and double ratios

*A rather complex chain of corrections to control efficiencies differences on electrons and muons*

- ◆ On *single-ratios*, the calibration of efficiencies moves  $r_{J/\psi}$  by 25%



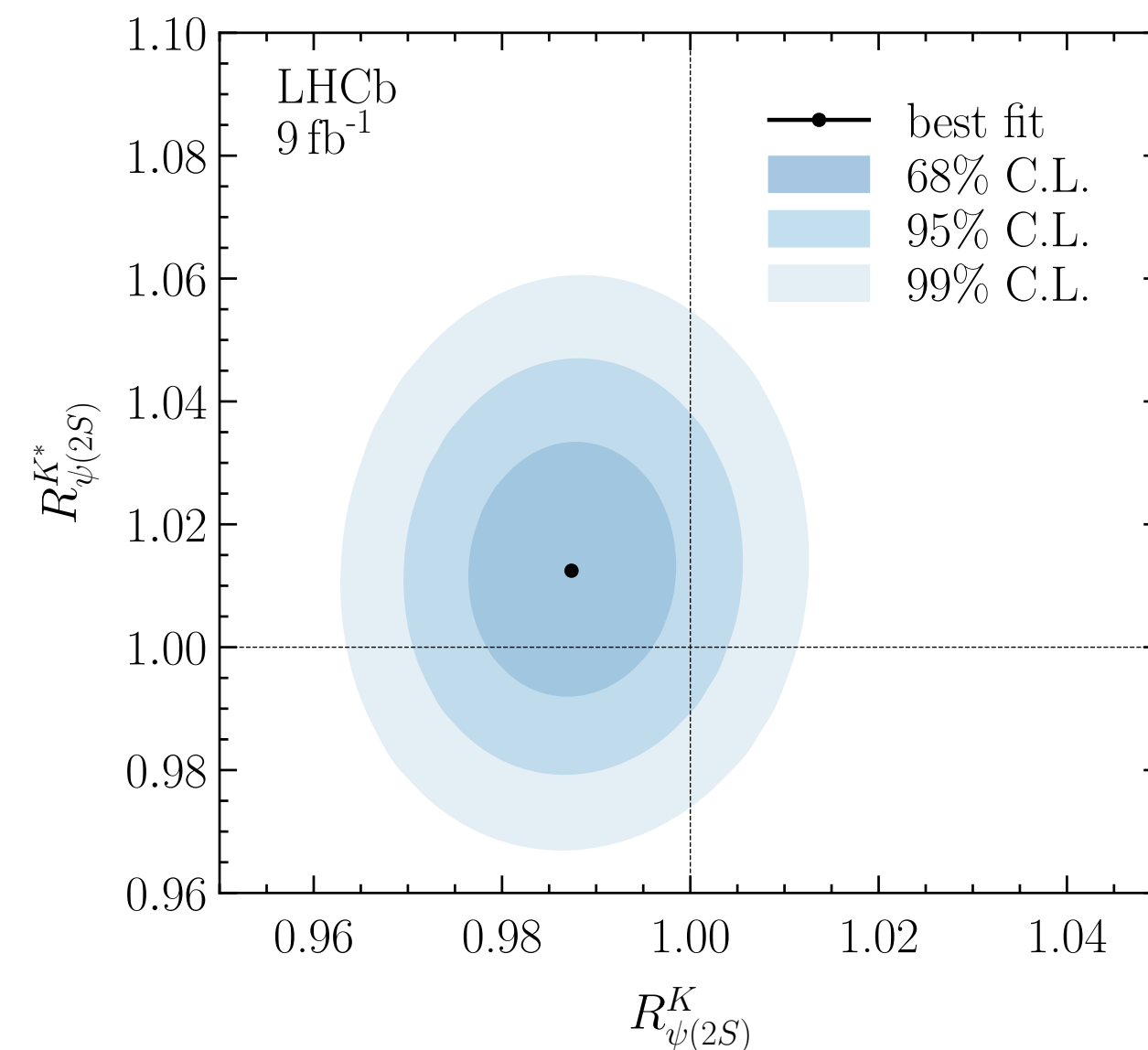
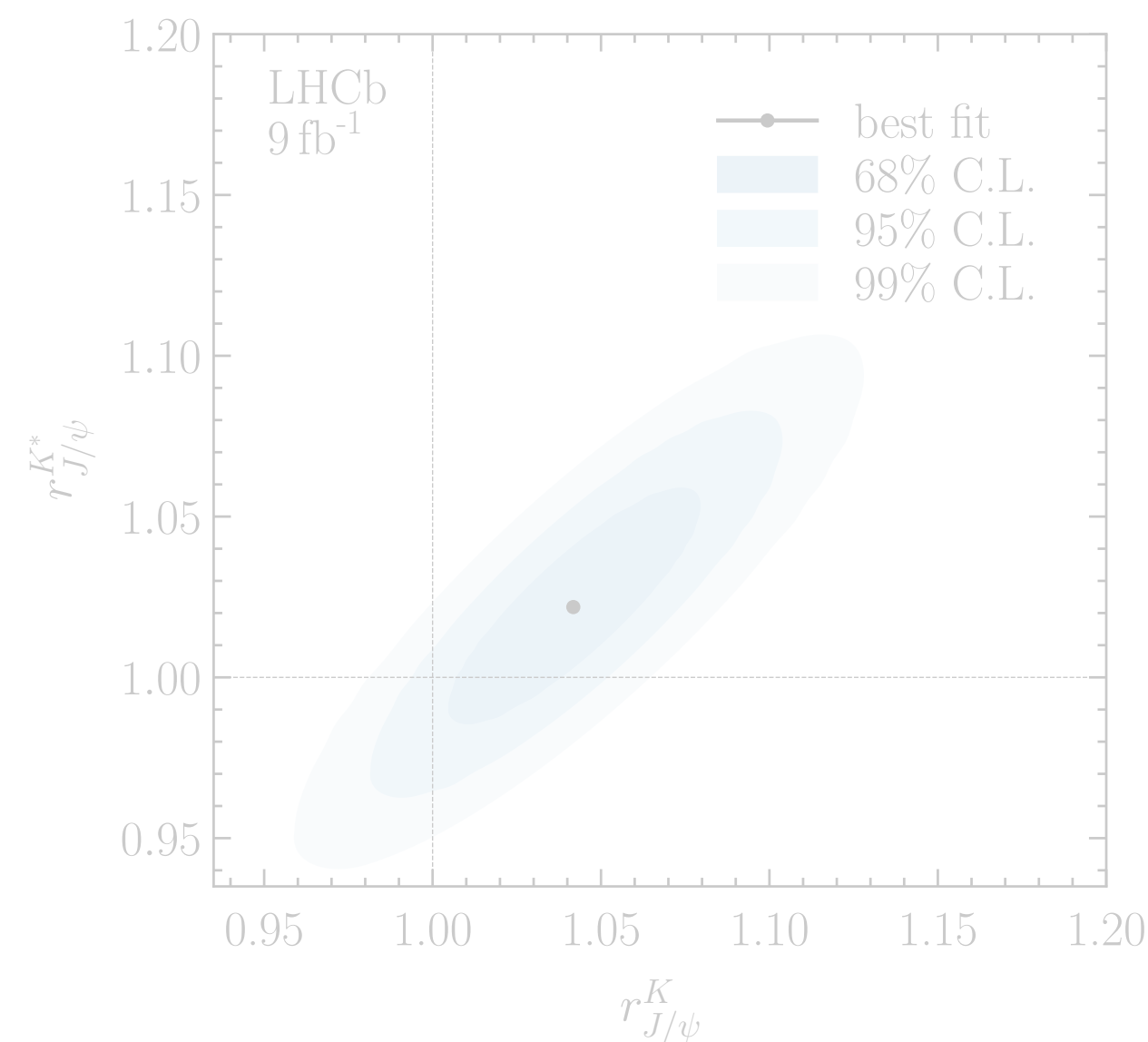
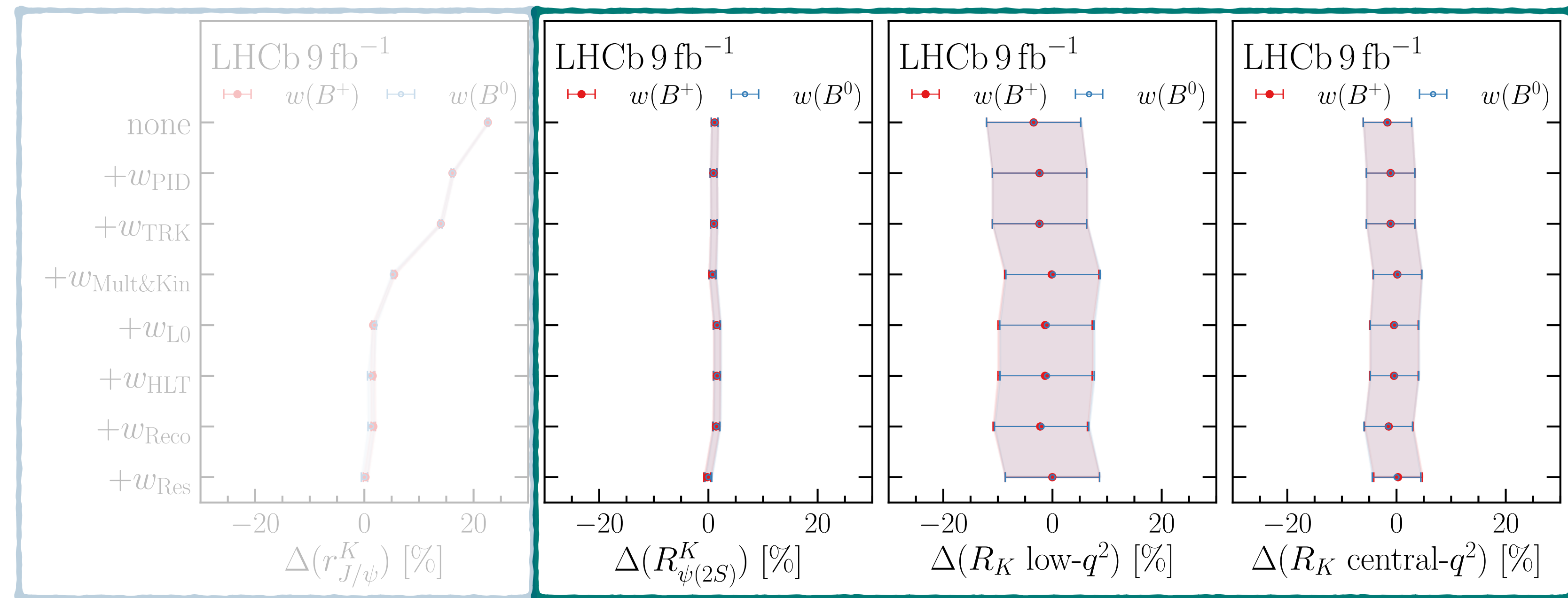
# Efficiency ratios and double ratios

*A rather complex chain of corrections to control efficiencies differences on electrons and muons*

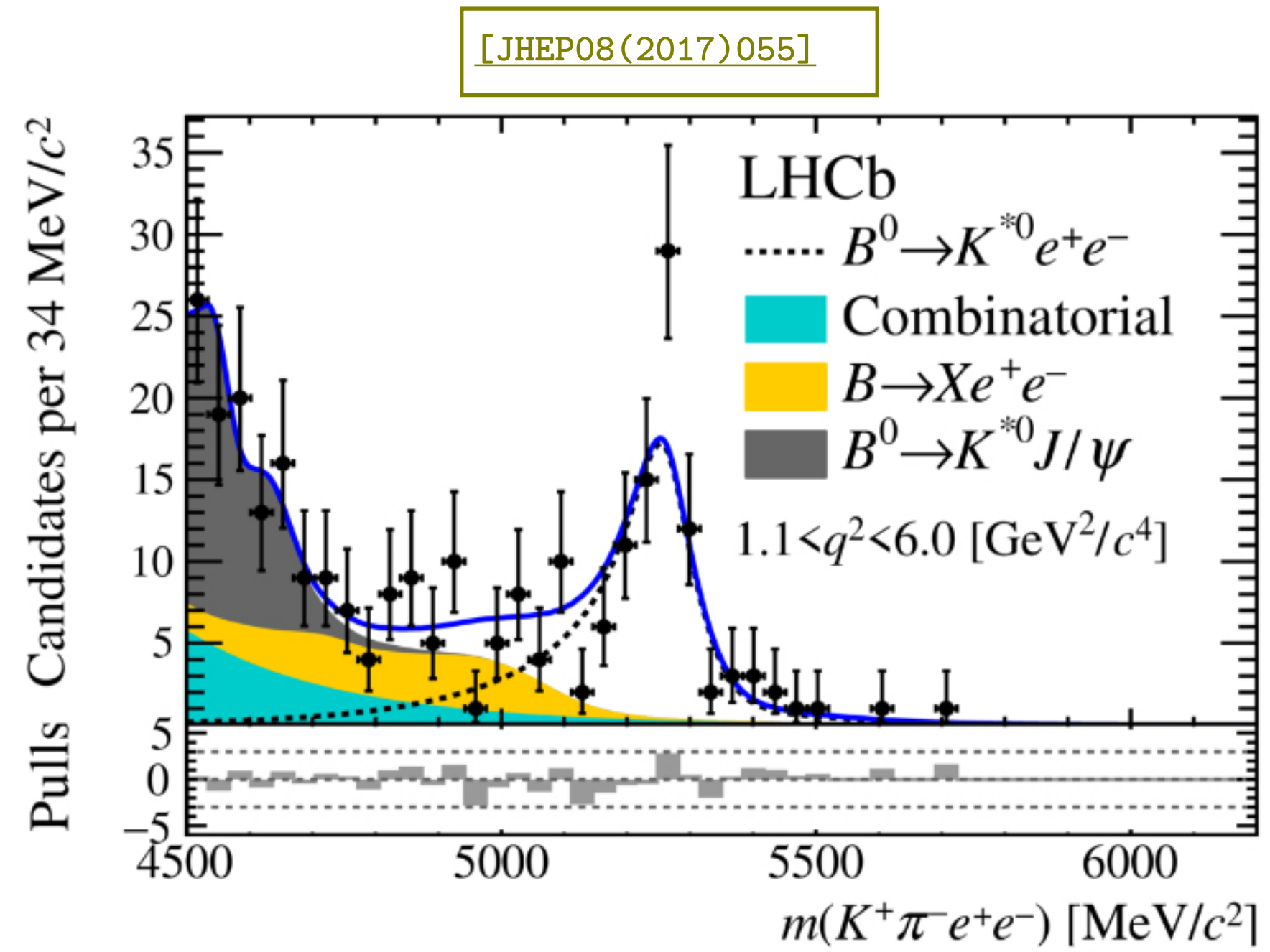
◆ On *single-ratios*, the calibration of efficiencies moves  $r_{J/\psi}^K$  by 25%

◆ On all *double ratios*, the effect of corrections to simulation is moving the result by at most 5 %

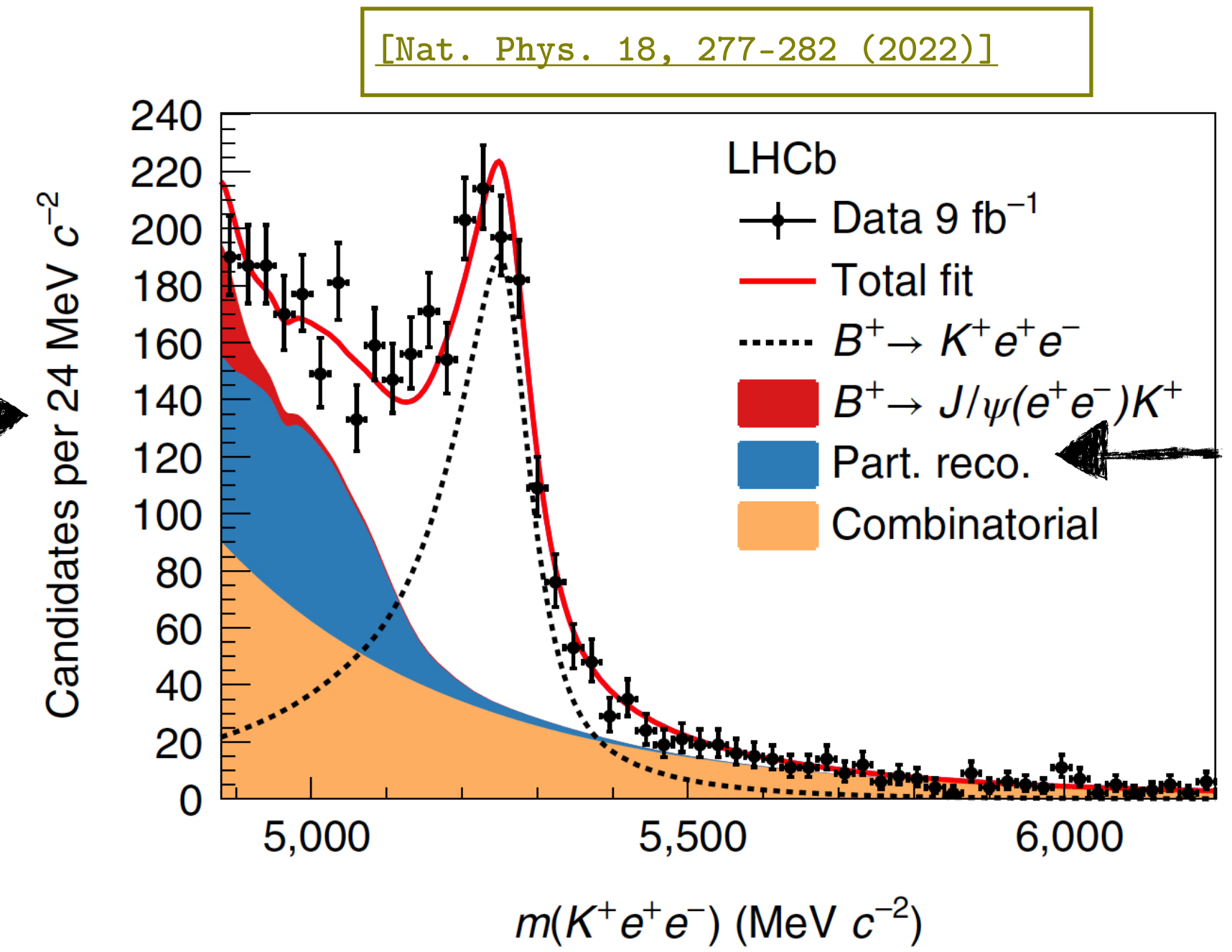
◆  $\epsilon$  well under control



# Simultaneous determination of $R_{K,K^*0}$



cross-feed  
background

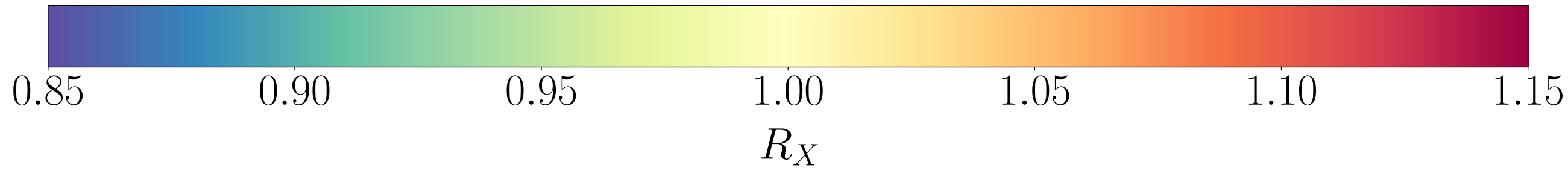


Was  
free

- ◆  $R_{K,K^*0}$  determined from a simultaneous fit to muon/electron decay modes in the two  $q^2$  bins of interest:
  - ▶ Improve per-event sensitivity constraining partially reconstructed backgrounds in  $K^+ e^+ e^-$  from  $K^{*0} e^+ e^-$  signal
  - ▶ Coherent efficiency and systematics treatment

# Scan results in electron PID w/o treatment of misID bkg

LHCb



$R_K$  low- $q^2$

$R_K$  central- $q^2$

DLL(e) > 7	0.960	0.971	0.988	0.997	0.982	0.973	0.967	0.967	0.977
	$\pm$	$\pm$	$\pm$	$\pm$	$\pm$	$\pm$	$\pm$	$\pm$	$\pm$
DLL(e) > 5	0.097	0.099	0.102	0.102	0.100	0.099	0.099	0.099	0.102
	$\pm$	$\pm$	$\pm$	$\pm$	$\pm$	$\pm$	$\pm$	$\pm$	$\pm$
DLL(e) > 2	0.961	0.964	0.969	0.983	0.973	0.981	0.979	0.961	0.985
	$\pm$	$\pm$	$\pm$	$\pm$	$\pm$	$\pm$	$\pm$	$\pm$	$\pm$
DLL(e) > 2	0.873	0.904	0.908	0.958	0.950	0.954	0.938	0.940	0.969
	$\pm$	$\pm$	$\pm$	$\pm$	$\pm$	$\pm$	$\pm$	$\pm$	$\pm$
	0.073	0.078	0.079	0.087	0.086	0.087	0.086	0.087	0.093
	$\pm$	$\pm$	$\pm$	$\pm$	$\pm$	$\pm$	$\pm$	$\pm$	$\pm$
	> 0.20	> 0.25	> 0.30	> 0.35	> 0.40	> 0.45	> 0.50	> 0.55	> 0.60

DLL(e) > 7	0.948	0.944	0.944	0.939	0.939	0.941	0.934	0.935	0.937
	$\pm$	$\pm$	$\pm$	$\pm$	$\pm$	$\pm$	$\pm$	$\pm$	$\pm$
DLL(e) > 5	0.051	0.051	0.051	0.051	0.051	0.051	0.051	0.051	0.052
	$\pm$	$\pm$	$\pm$	$\pm$	$\pm$	$\pm$	$\pm$	$\pm$	$\pm$
DLL(e) > 2	0.941	0.938	0.942	0.933	0.939	0.951	0.946	0.953	0.949
	$\pm$	$\pm$	$\pm$	$\pm$	$\pm$	$\pm$	$\pm$	$\pm$	$\pm$
DLL(e) > 2	0.906	0.902	0.907	0.895	0.904	0.916	0.920	0.925	0.919
	$\pm$	$\pm$	$\pm$	$\pm$	$\pm$	$\pm$	$\pm$	$\pm$	$\pm$
	0.040	0.040	0.040	0.040	0.041	0.042	0.043	0.044	0.044
	$\pm$	$\pm$	$\pm$	$\pm$	$\pm$	$\pm$	$\pm$	$\pm$	$\pm$
	> 0.20	> 0.25	> 0.30	> 0.35	> 0.40	> 0.45	> 0.50	> 0.55	> 0.60

**DLL(e):**  
*combination of sub-detectors delta-log-likelihood for  $\pi/e$*

ProbNN(e)

ProbNN(e)

$R_{K^*}$  low- $q^2$

$R_{K^*}$  central- $q^2$

DLL(e) > 7	0.985	0.982	0.966	0.952	0.971	0.975	0.984	0.970	0.960
	$\pm$	$\pm$	$\pm$	$\pm$	$\pm$	$\pm$	$\pm$	$\pm$	$\pm$
DLL(e) > 5	0.112	0.112	0.109	0.107	0.111	0.112	0.114	0.112	0.111
	$\pm$	$\pm$	$\pm$	$\pm$	$\pm$	$\pm$	$\pm$	$\pm$	$\pm$
DLL(e) > 2	0.980	0.993	0.978	0.979	1.007	1.014	1.010	1.010	1.019
	$\pm$	$\pm$	$\pm$	$\pm$	$\pm$	$\pm$	$\pm$	$\pm$	$\pm$
DLL(e) > 2	0.855	0.848	0.830	0.847	0.883	0.901	0.915	0.925	0.934
	$\pm$	$\pm$	$\pm$	$\pm$	$\pm$	$\pm$	$\pm$	$\pm$	$\pm$
	0.080	0.079	0.076	0.080	0.086	0.088	0.089	0.092	0.117
	$\pm$	$\pm$	$\pm$	$\pm$	$\pm$	$\pm$	$\pm$	$\pm$	$\pm$
	> 0.20	> 0.25	> 0.30	> 0.35	> 0.40	> 0.45	> 0.50	> 0.55	> 0.60

DLL(e) > 7	1.127	1.119	1.116	1.103	1.097	1.083	1.097	1.113	1.119
	$\pm$	$\pm$	$\pm$	$\pm$	$\pm$	$\pm$	$\pm$	$\pm$	$\pm$
DLL(e) > 5	0.100	0.099	0.099	0.098	0.097	0.095	0.099	0.101	0.103
	$\pm$	$\pm$	$\pm$	$\pm$	$\pm$	$\pm$	$\pm$	$\pm$	$\pm$
DLL(e) > 2	1.021	1.016	1.016	0.997	1.016	1.001	1.012	1.035	1.049
	$\pm$	$\pm$	$\pm$	$\pm$	$\pm$	$\pm$	$\pm$	$\pm$	$\pm$
DLL(e) > 2	0.965	0.990	0.986	0.993	1.024	1.006	1.014	1.038	1.039
	$\pm$	$\pm$	$\pm$	$\pm$	$\pm$	$\pm$	$\pm$	$\pm$	$\pm$
	0.066	0.069	0.069	0.071	0.075	0.073	0.075	0.079	0.081
	$\pm$	$\pm$	$\pm$	$\pm$	$\pm$	$\pm$	$\pm$	$\pm$	$\pm$
	> 0.20	> 0.25	> 0.30	> 0.35	> 0.40	> 0.45	> 0.50	> 0.55	> 0.60

**ProbNN(e):**  
*neural-net based e-ID score*

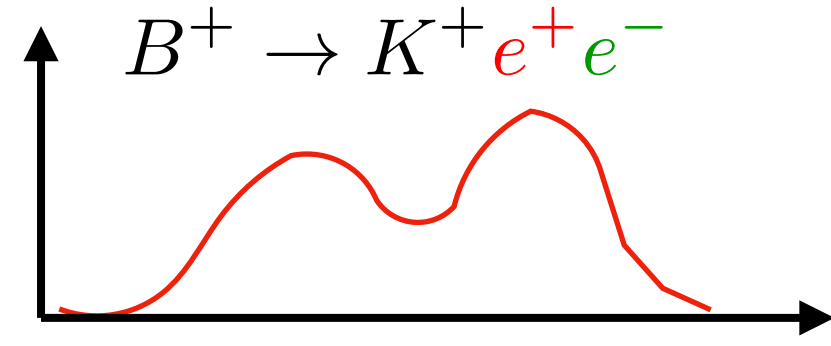
ProbNN(e)

ProbNN(e)

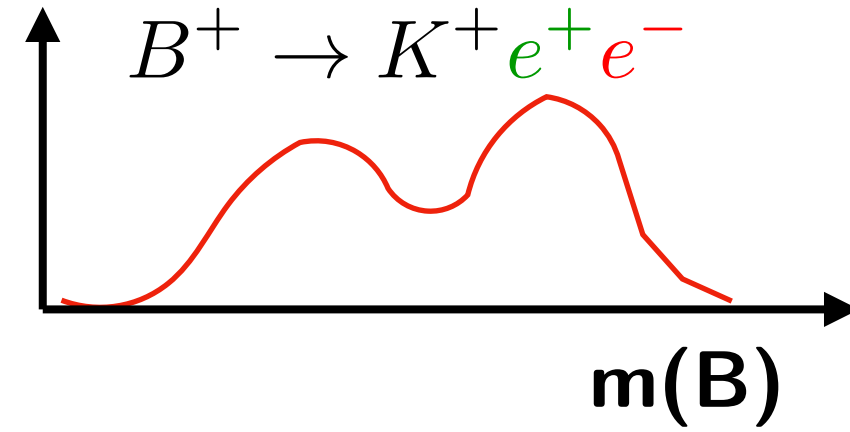
**Tightening selection in electron PID without specific treatment of electron misidentified backgrounds exhibited a coherent pattern**

# Pass-Fail method to estimate mis-identified backgrounds

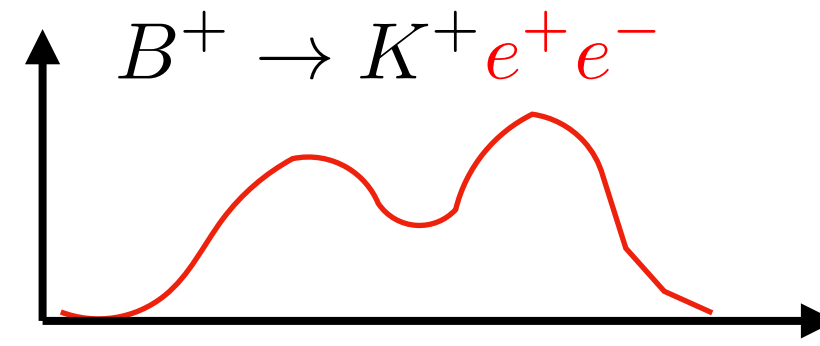
**FailPass (FP)**



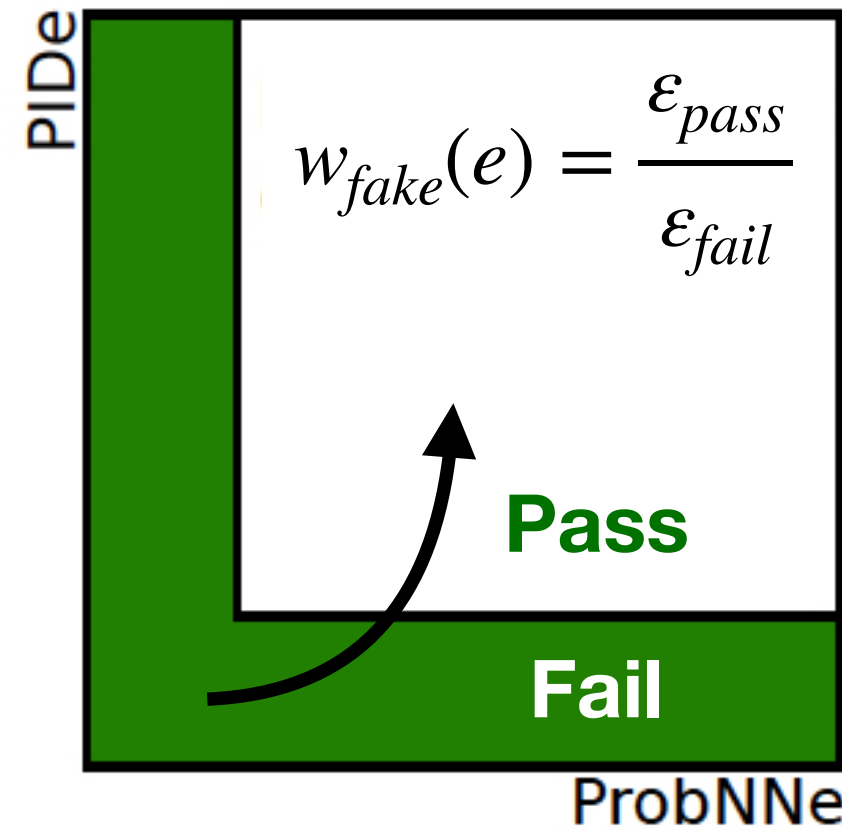
**PassFail (PF)**



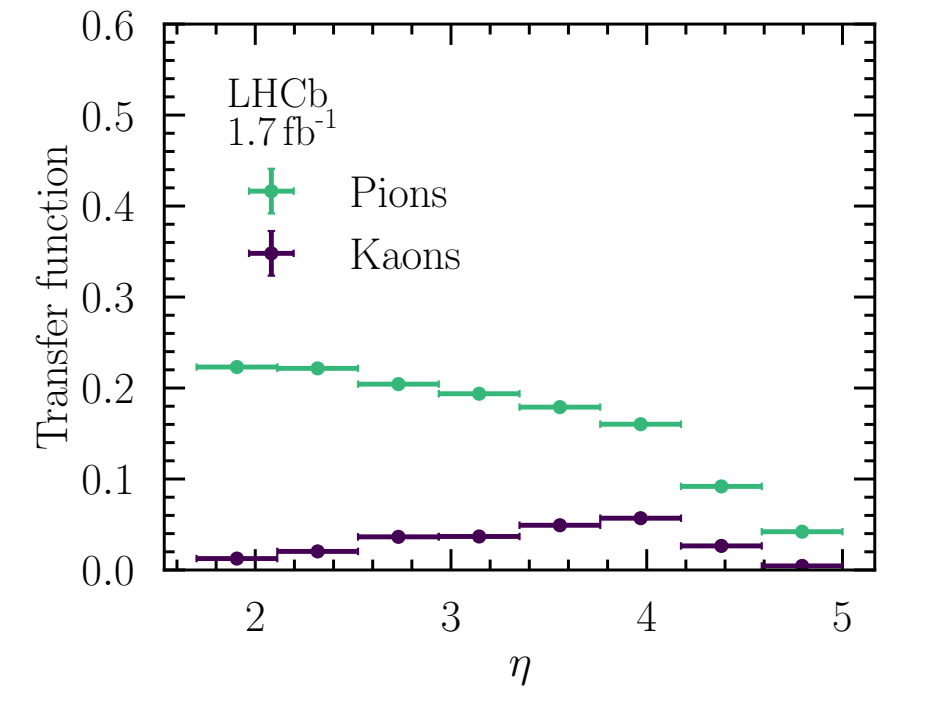
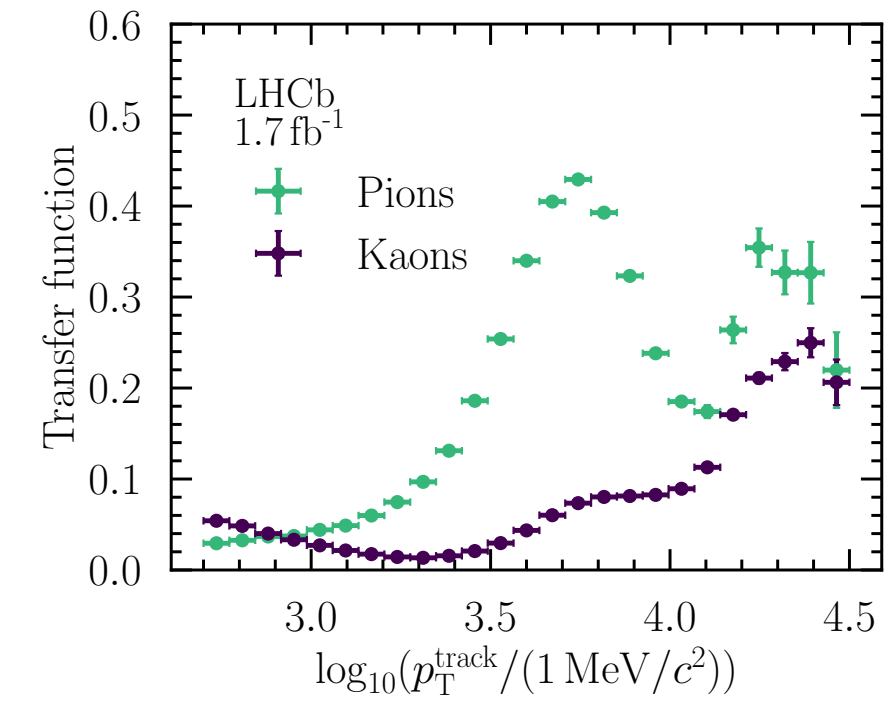
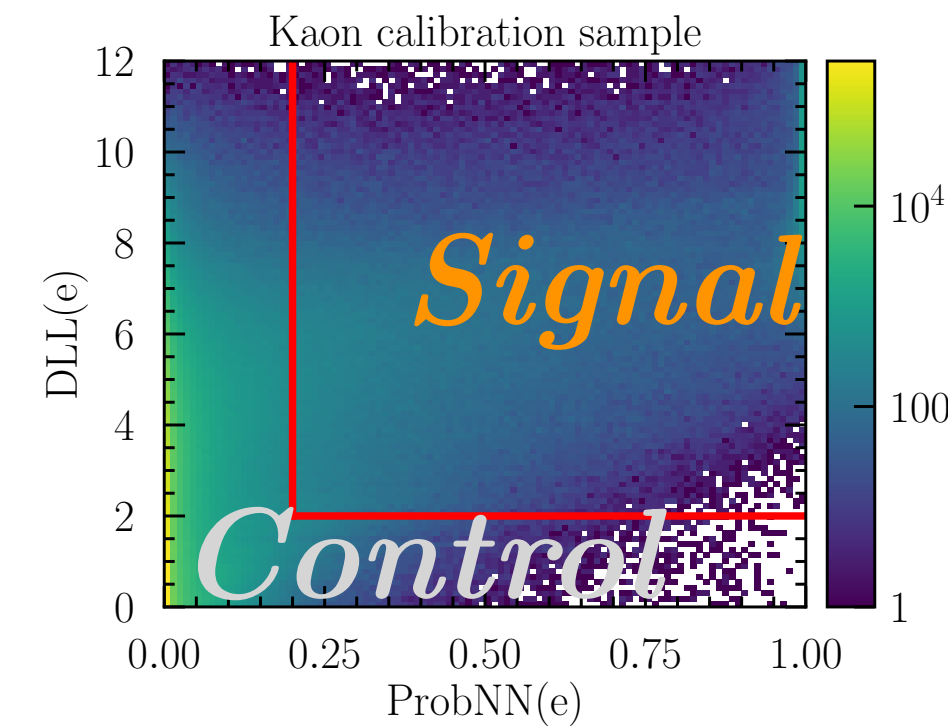
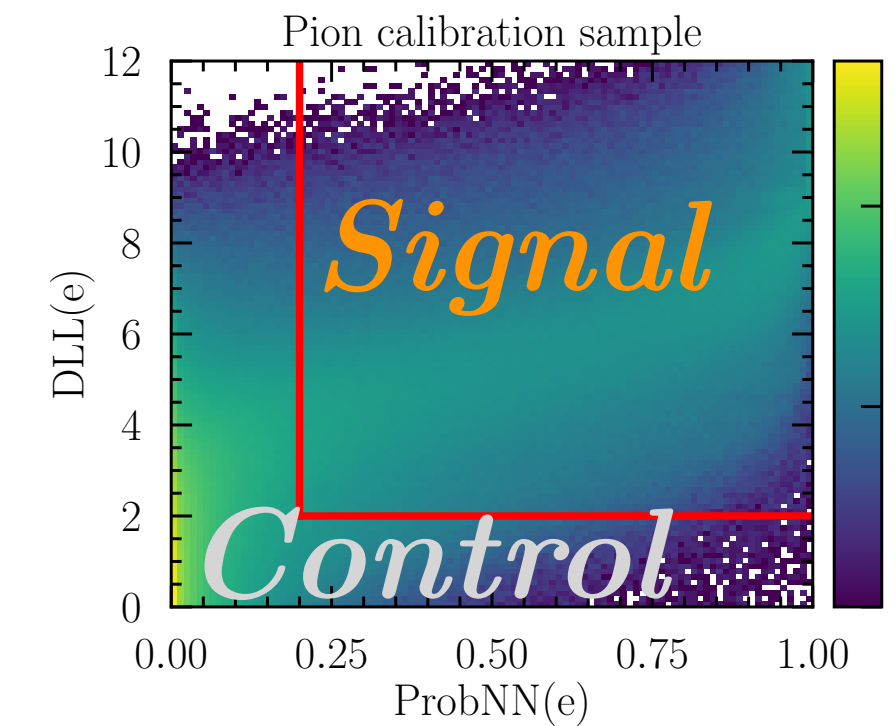
**FailFail (FF)**



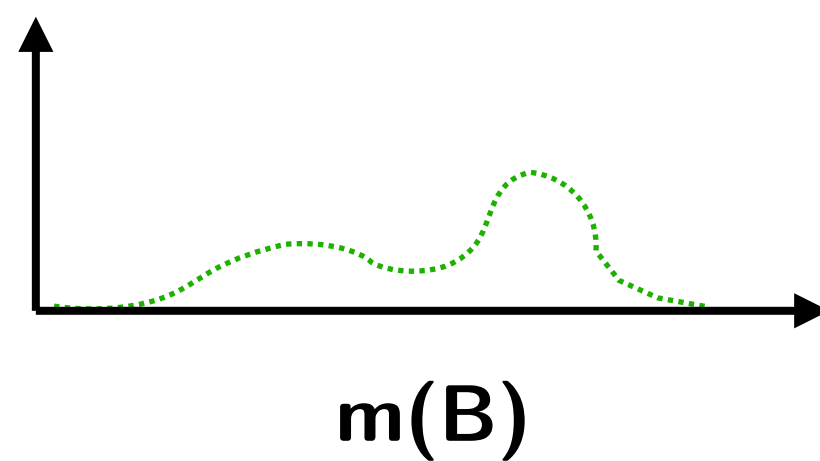
**Transfer Function**  
(From  $\pi/K$  calibration data samples)



Transfer from fail to pass region evaluated in bins of  $p_T$  and  $\eta$  in  $D^{*+} \rightarrow D^0(K^+\pi^-)\pi^+$  data



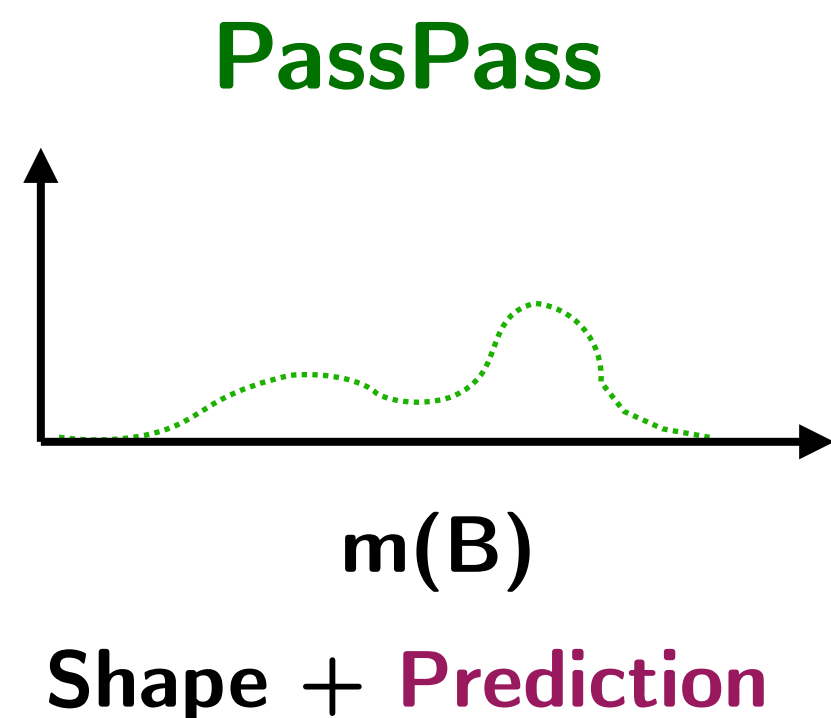
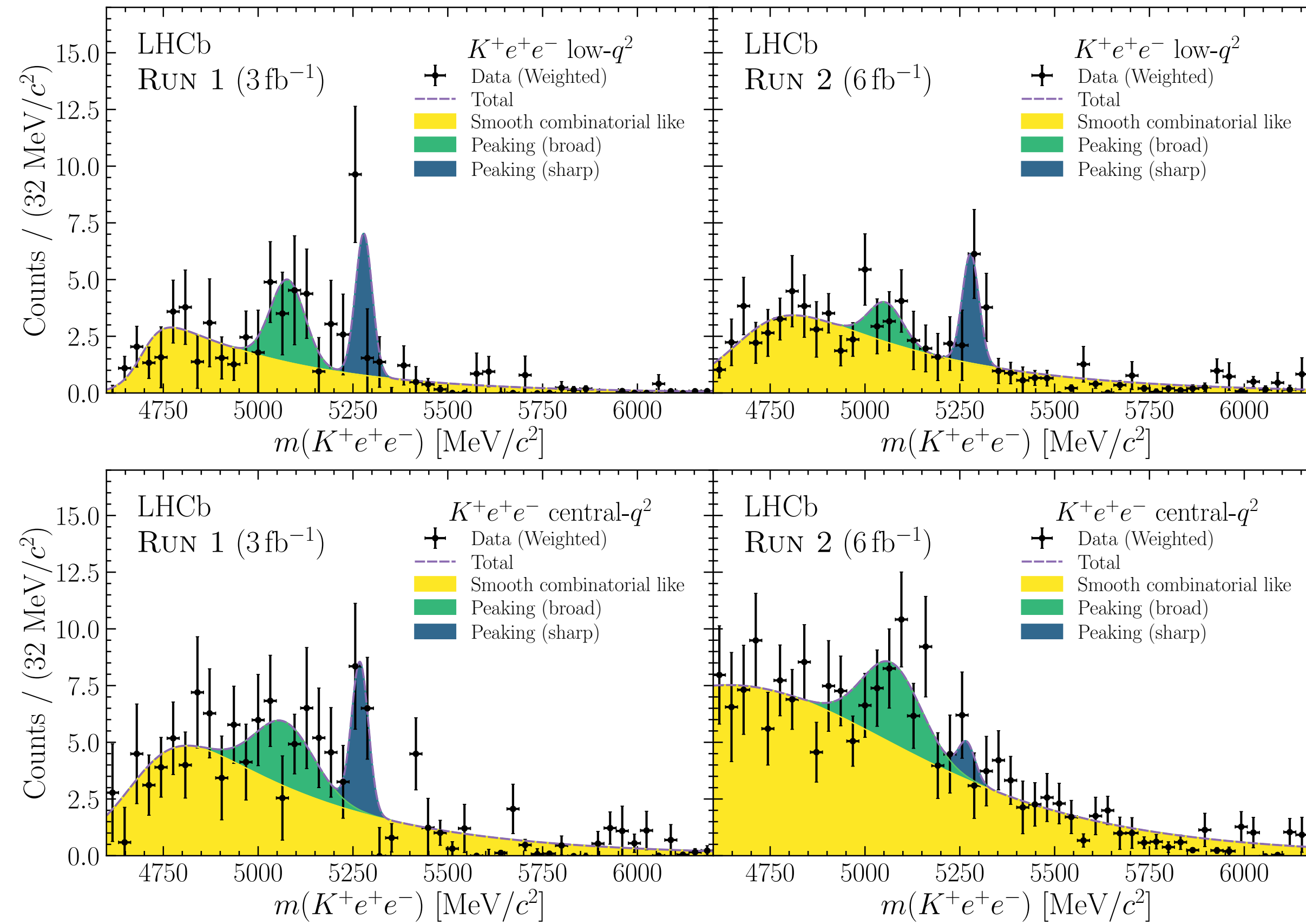
**PassPass**



**Shape + Prediction**

$$N(FP) + N(PF) - N(FF) = N(B^+ \rightarrow K^+ e^+ h^-) + N(B^+ \rightarrow K^+ h^+ e^-) + N(B^+ \rightarrow K^+ h^+ h'^-)$$

# Pass-Fail method to estimate mis-identified backgrounds



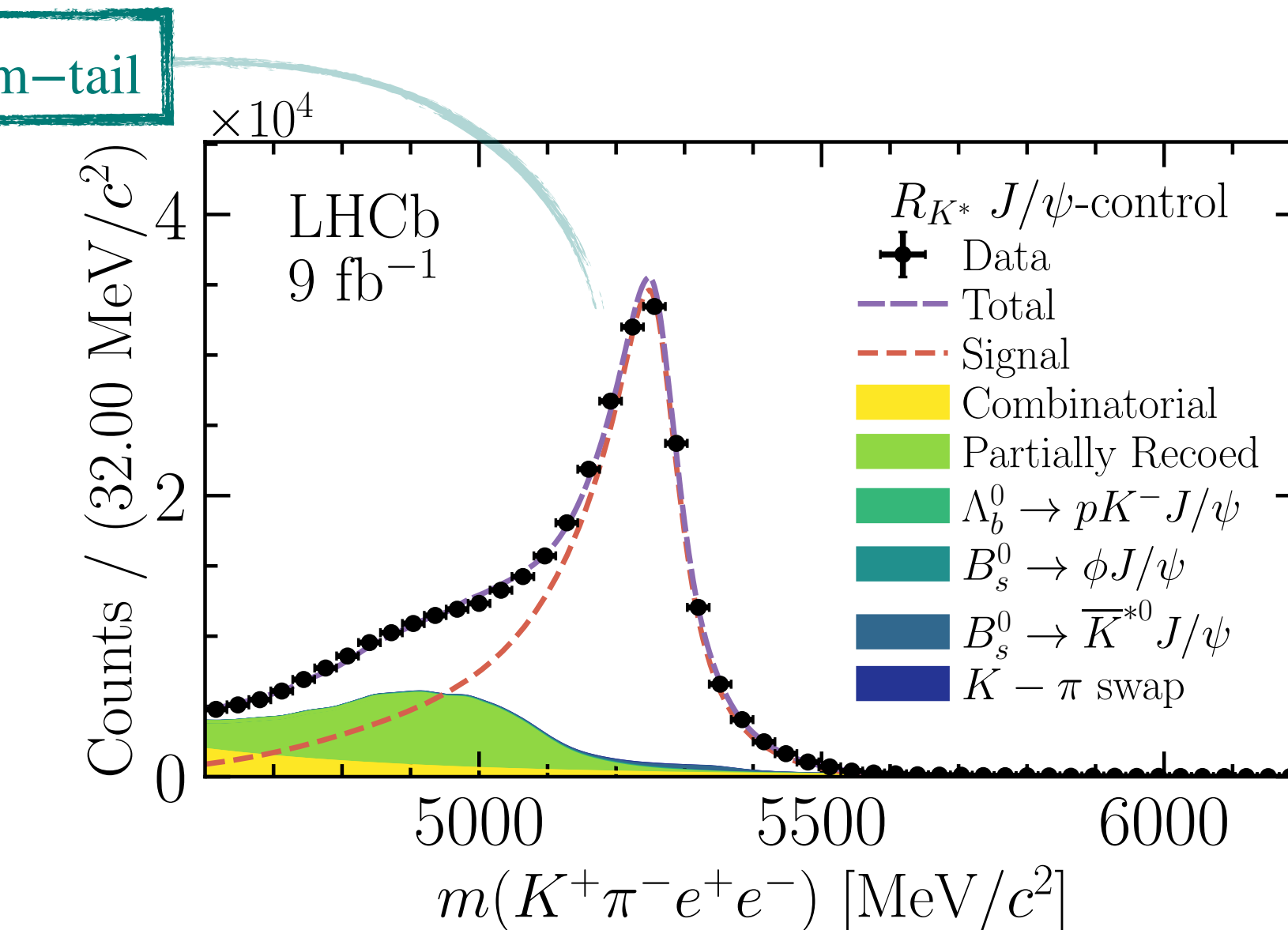
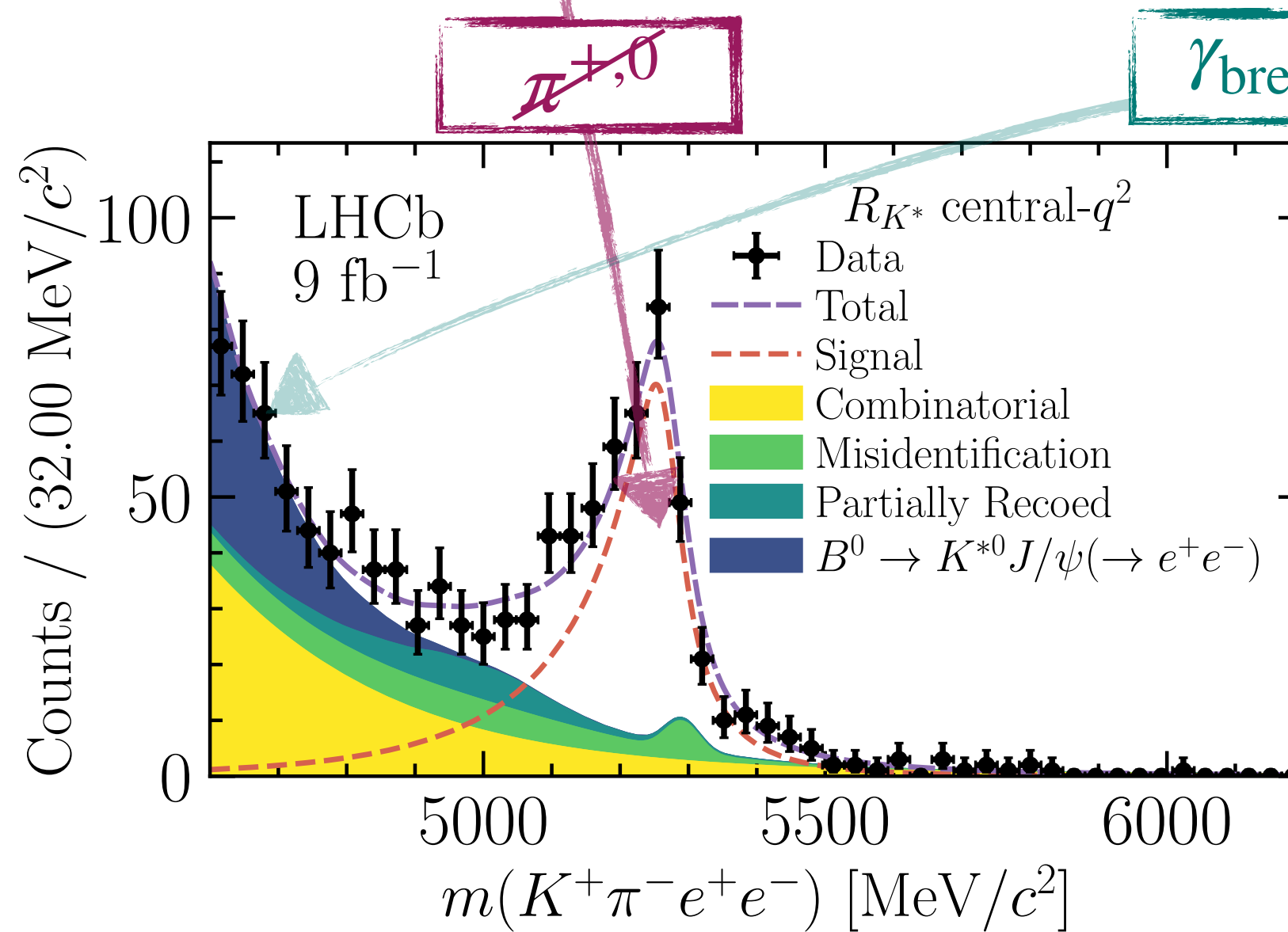
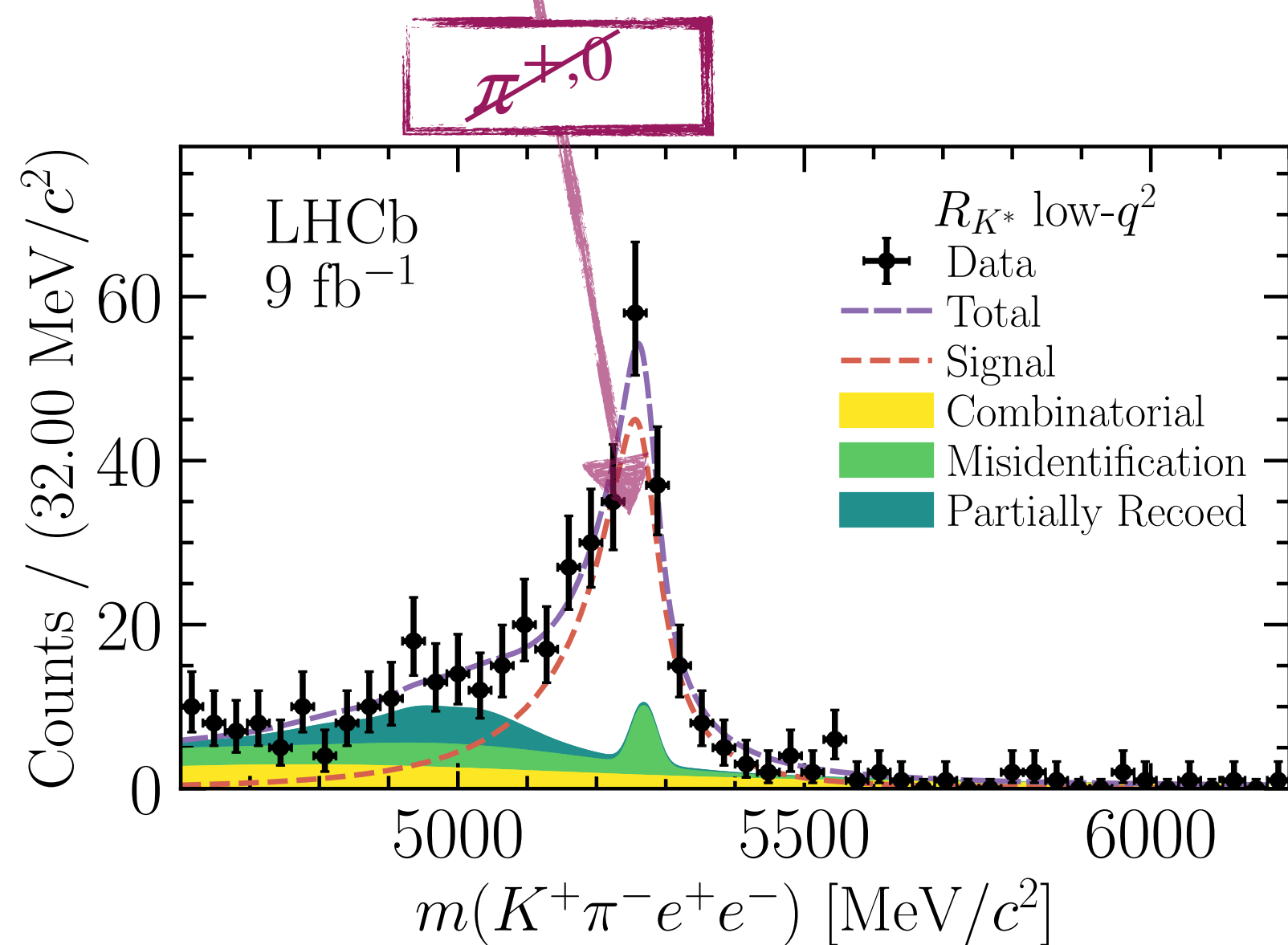
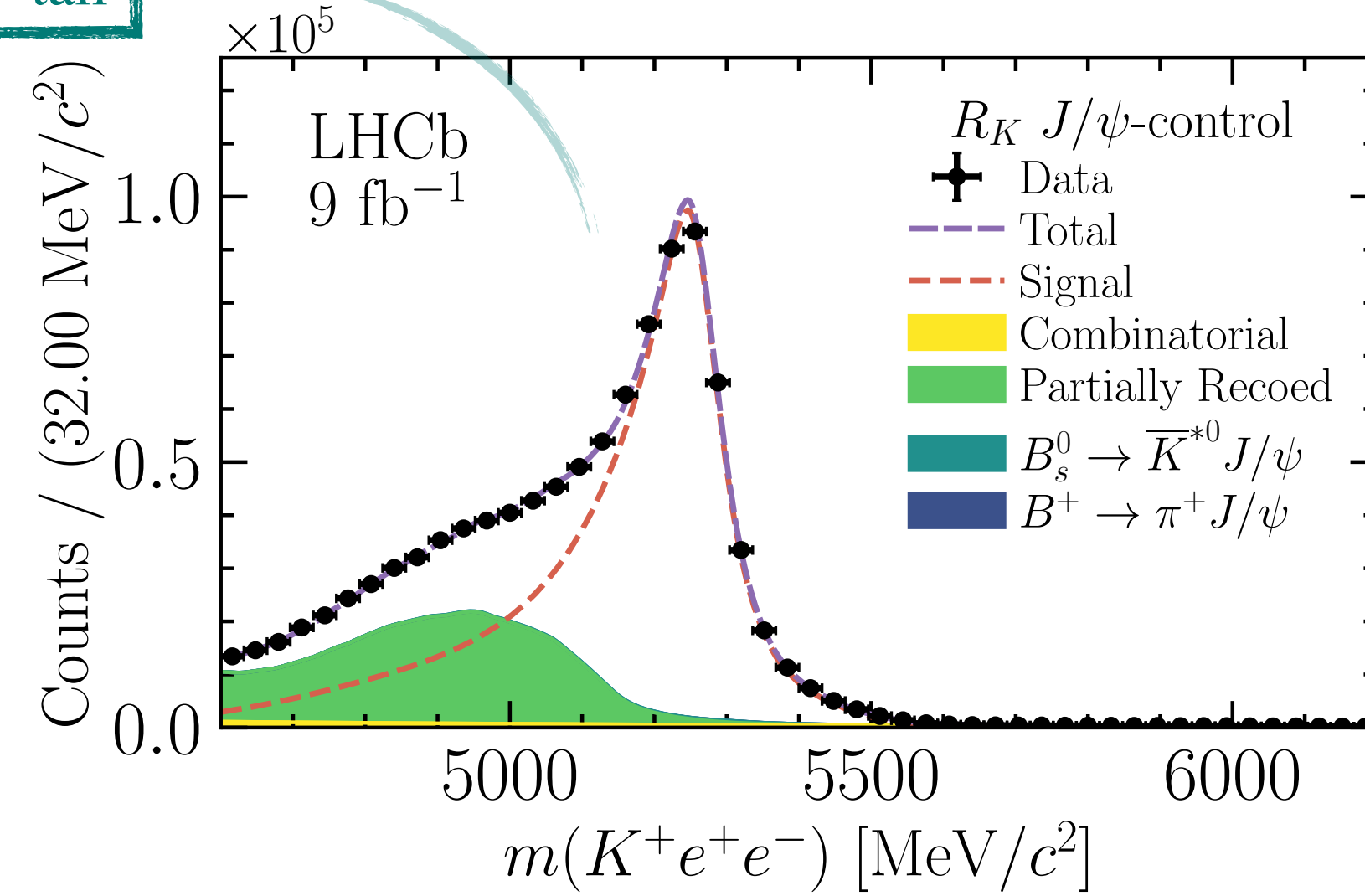
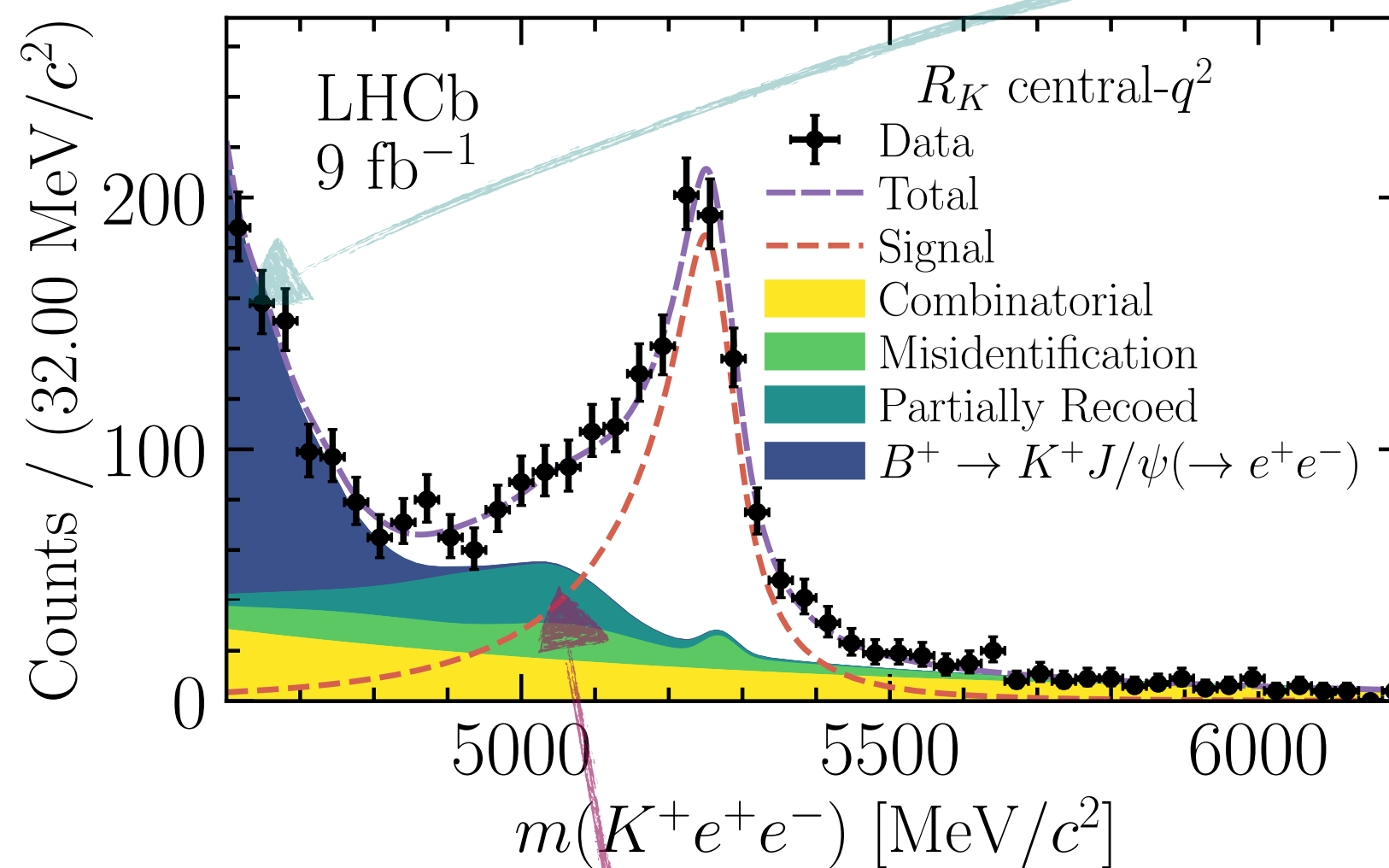
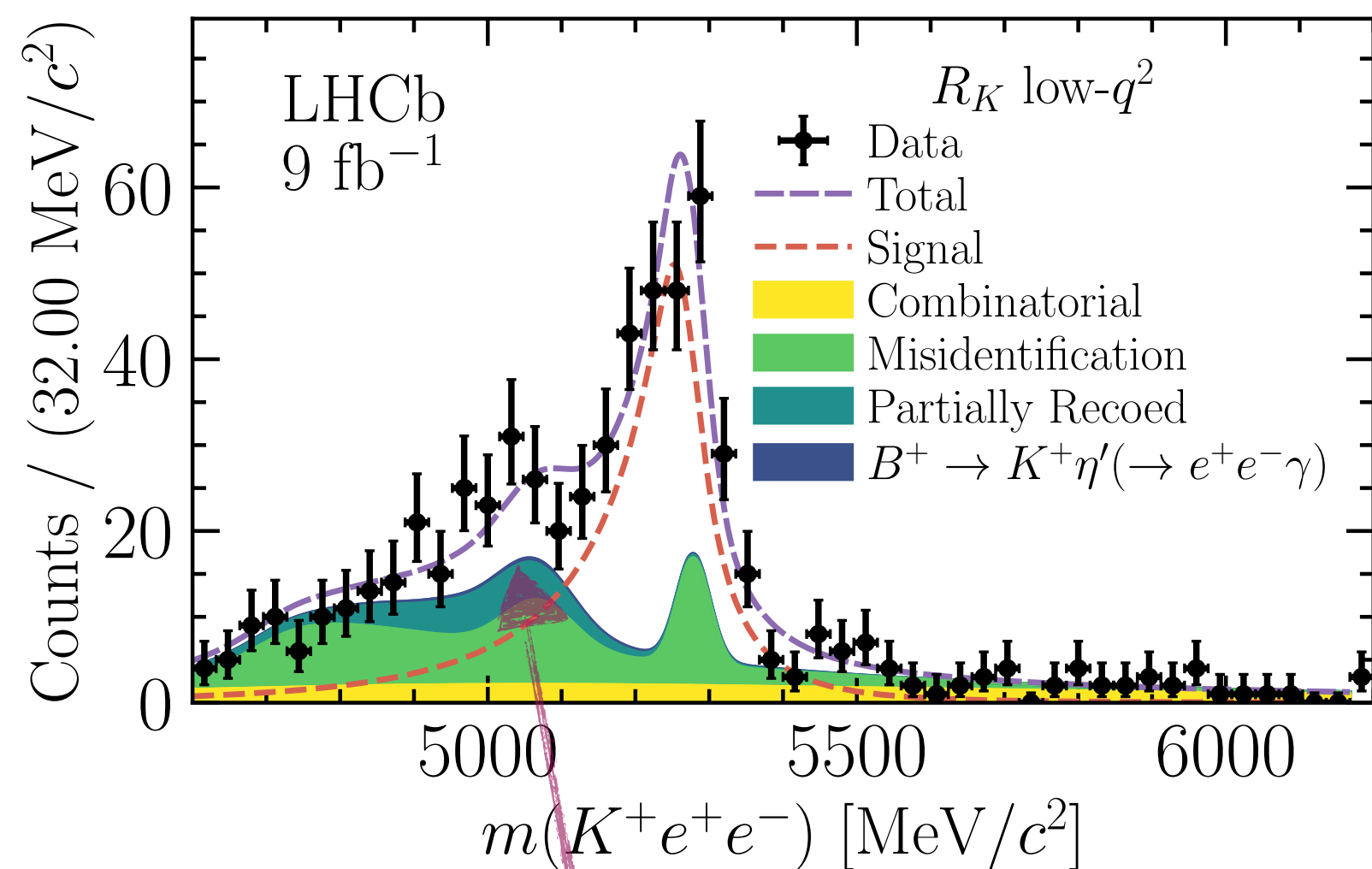
$$\begin{aligned}
 N(FP) + N(PF) - N(FF) = & N(B^+ \rightarrow K^+ e^+ h^-) \\
 & + N(B^+ \rightarrow K^+ h^+ e^-) \\
 & + N(B^+ \rightarrow K^+ h^+ h'^-)
 \end{aligned}$$

# Mass fit to rare mode electrons: simultaneous fit $R_{K,K^*0}$

low- $q^2$

central- $q^2$

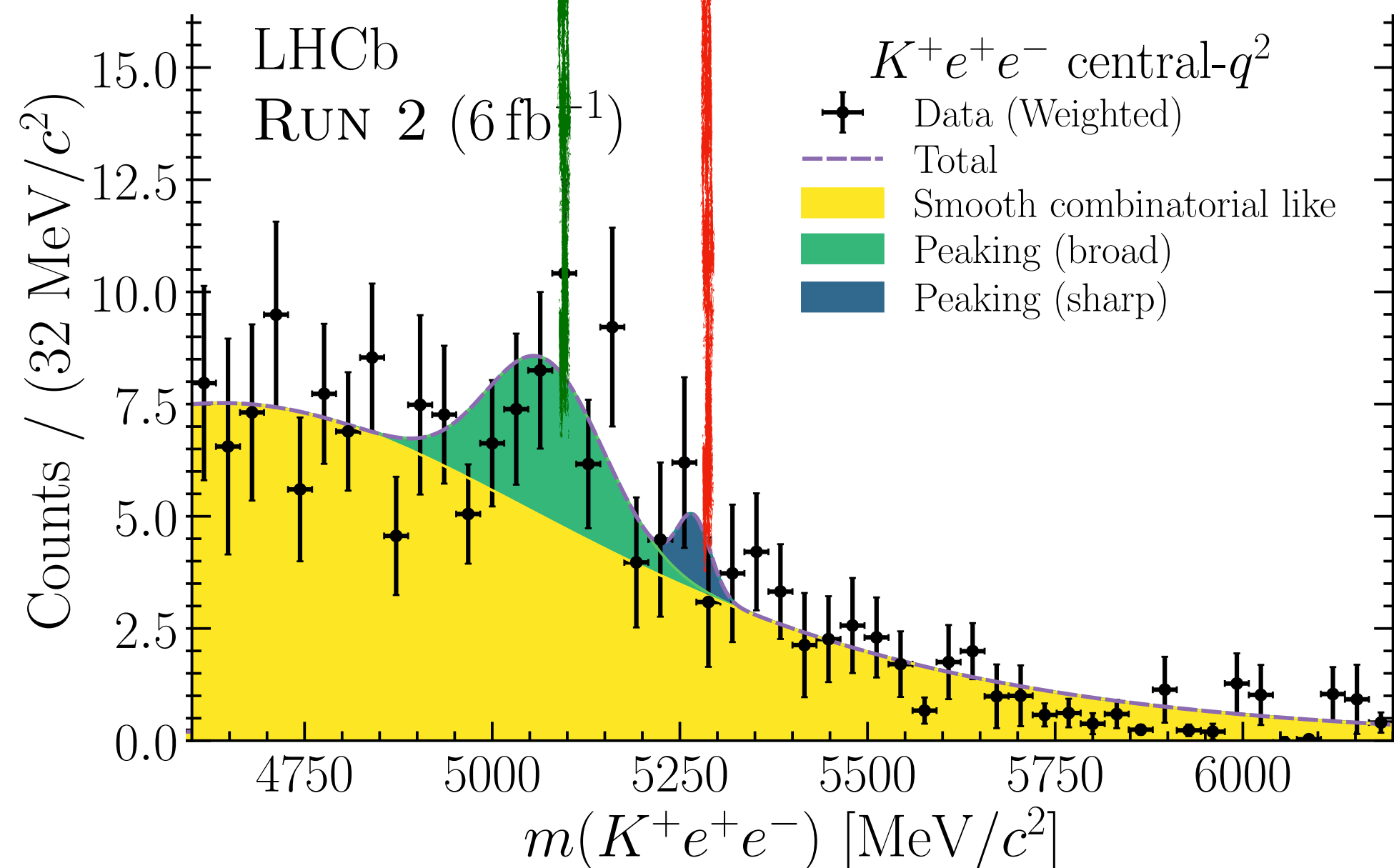
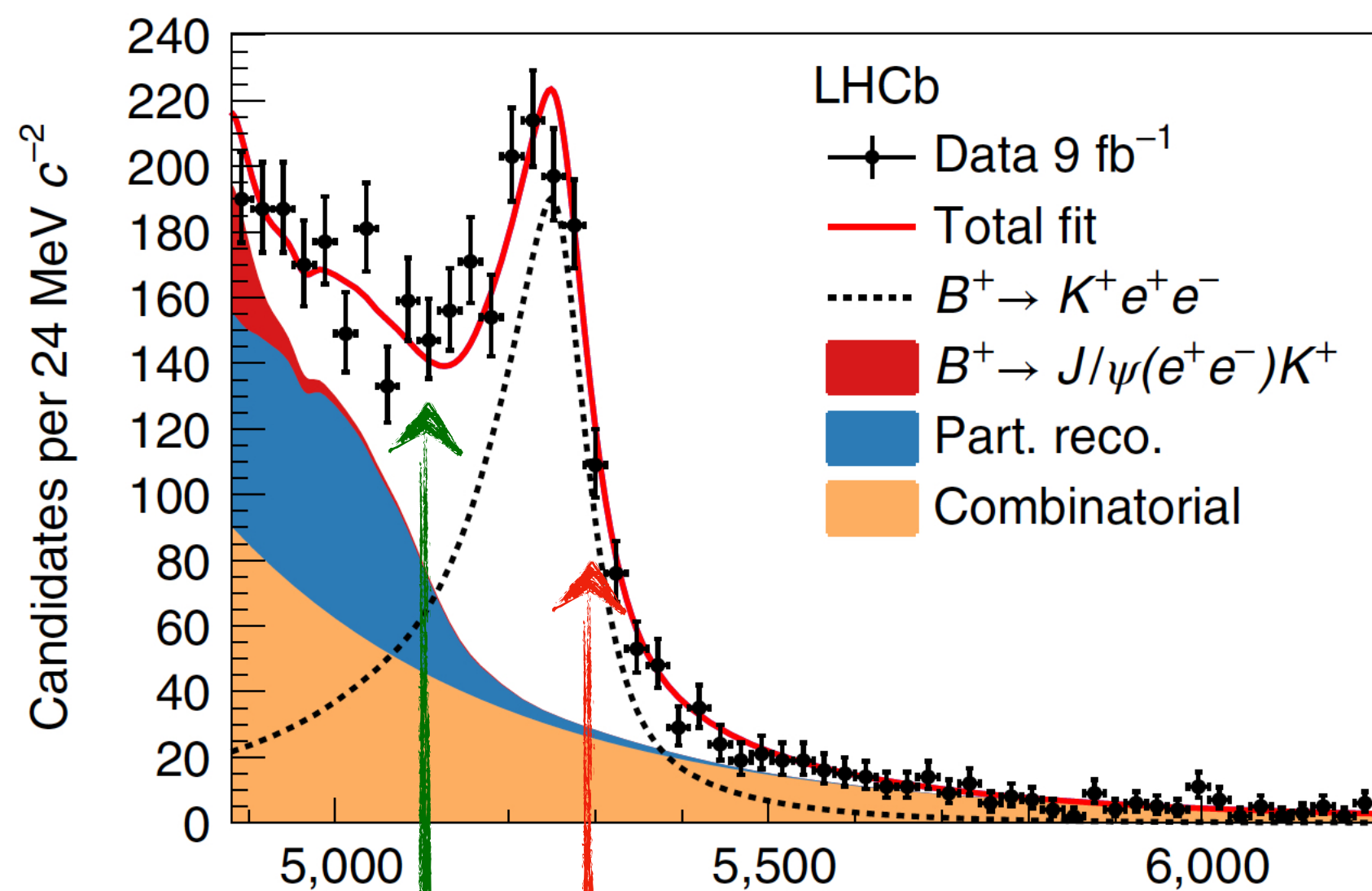
resonant- $J/\psi$





# What we learnt from this latest measurement? [ with $R_K$ central $q^2$ re-analysis ]

[Nat. Phys. 18, 277-282 (2022)]



- ◆ Different PID cut used → Allowed  $\sigma_{stat} : \pm 0.033$
- ◆ Shift due to contamination at looser working point :  $+0.064$
- ◆ Shift due to not inclusion of background in mass fit:  $+0.038$

Adds linearly

**Combination of small residual broad-peaking and combinatorial-like background on the “signal-shoulder” plus small peaking background in signal peaking region able to mimic perfectly the signal.**  
**Effects driven by hadron misidentified as electrons.**

# Conclusion

◆ **SM very robust and describes results with excellent precision**

◆ **Tensions and *flavour anomalies* ( $b \rightarrow s\ell\ell$ )**

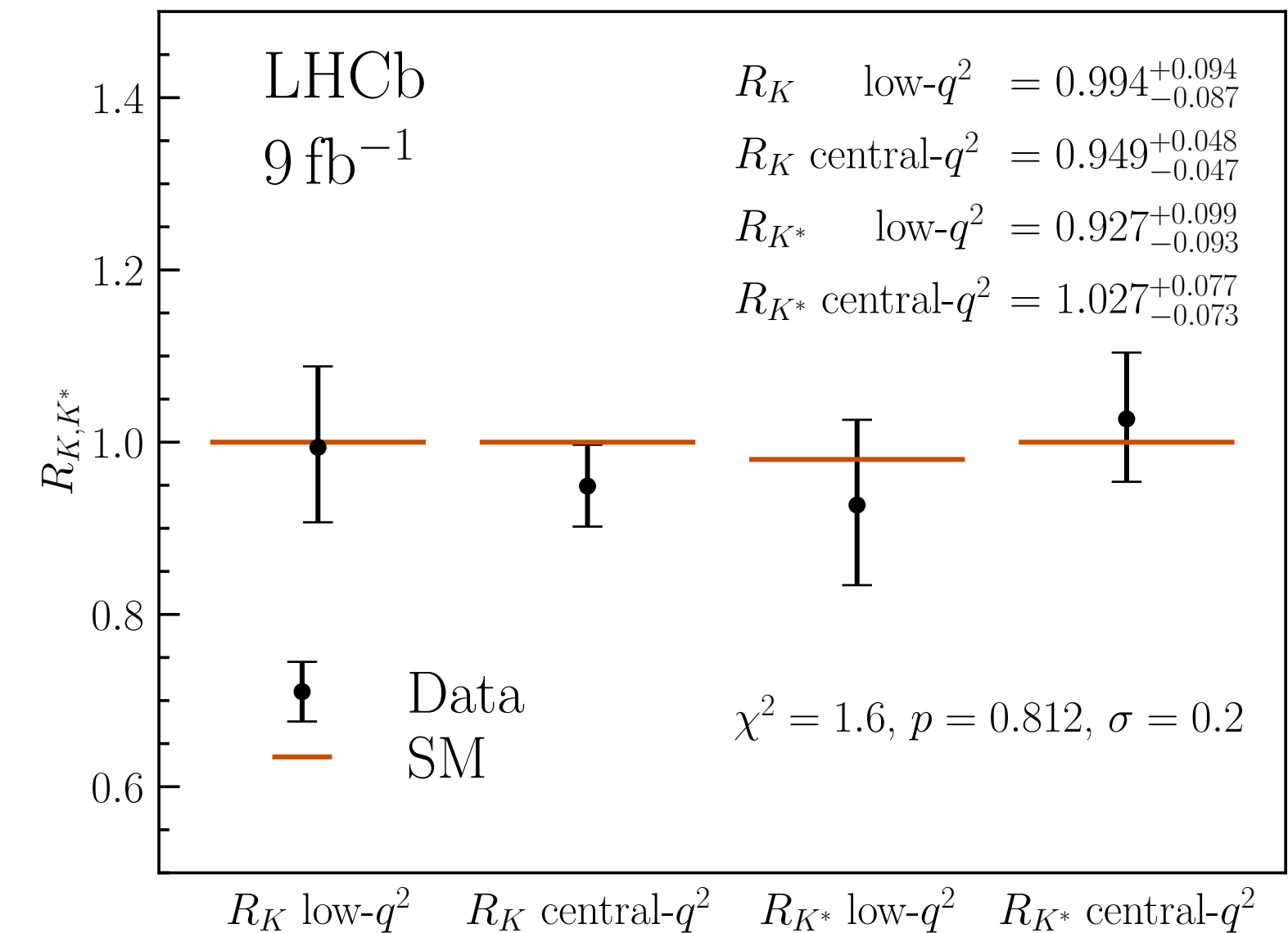
- ▶  $d\mathcal{B}/dq^2$  in  $b \rightarrow s\mu\mu$  decays, **1-3  $\sigma$**
- ▶ Angular  $b \rightarrow s\mu\mu$  **2-3  $\sigma$**
- ▶ LFU tests ( $R_{K,K^*}$ ): **previously at  $3\sigma$ , now compatible with SM at  $0.2 \sigma$**
- ▶  $\mathcal{B}(B_{(s)} \rightarrow \mu^+\mu^-)$  compatible with SM,  $\sigma_{stat} \sim 14\%$
- ▶ Other anomalies ( $b \rightarrow c\ell\nu$ ): see talk by [Rizwaan](#)

◆ **Improvements will come from theory/experimental synergy**

- ▶ Improve  $\sigma_{th}$ , update measurements with Run1/2 using improved methods
- ▶ Addition of complementary observables and decay modes, improve existing analysis techniques

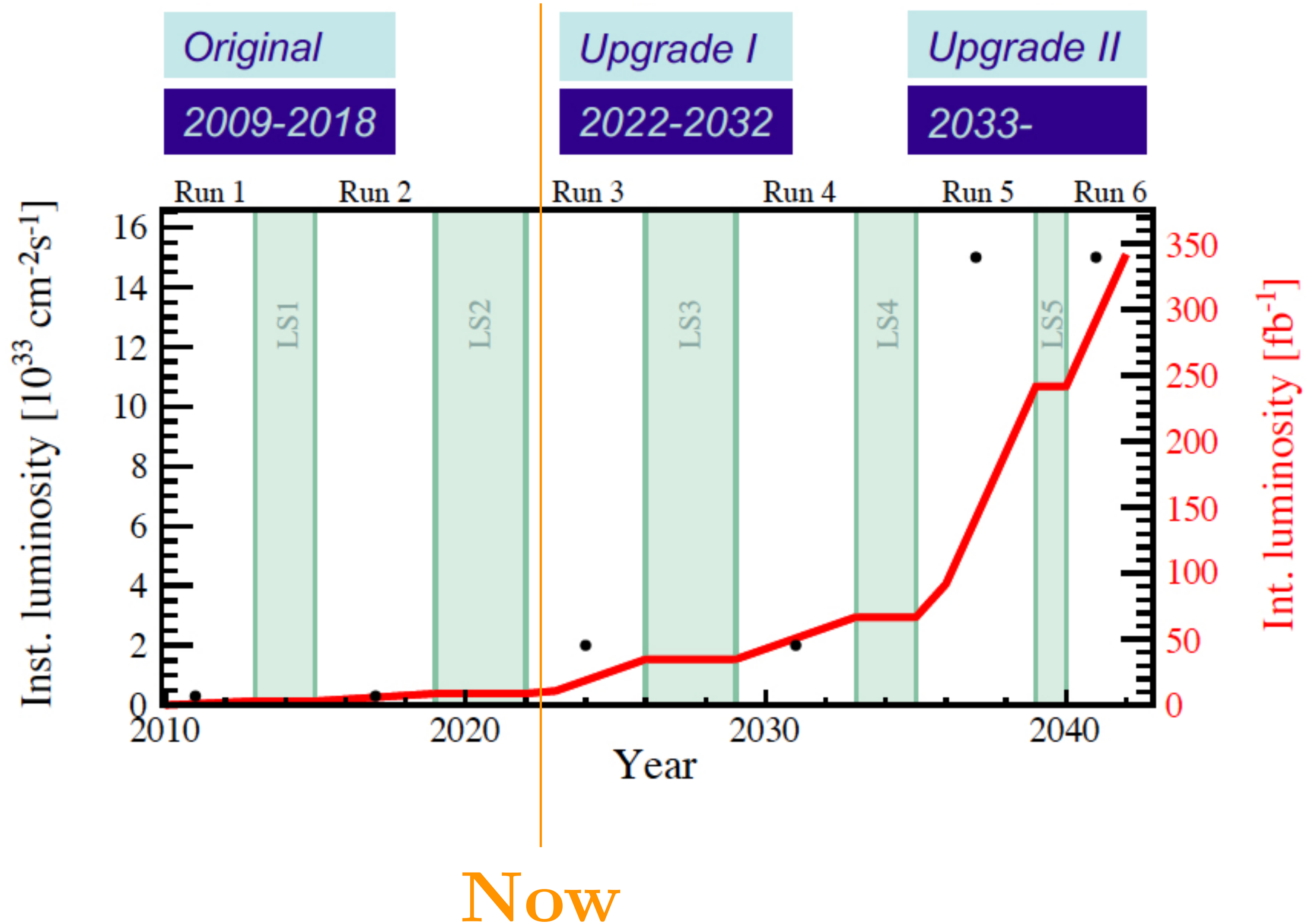
◆ **LHCb Run3 just started:** boost the reach to unprecedented level with a *brand new detector*.

- ▶ Expect from 2024, 3 x more stat in less than 2.5 years of data taking.



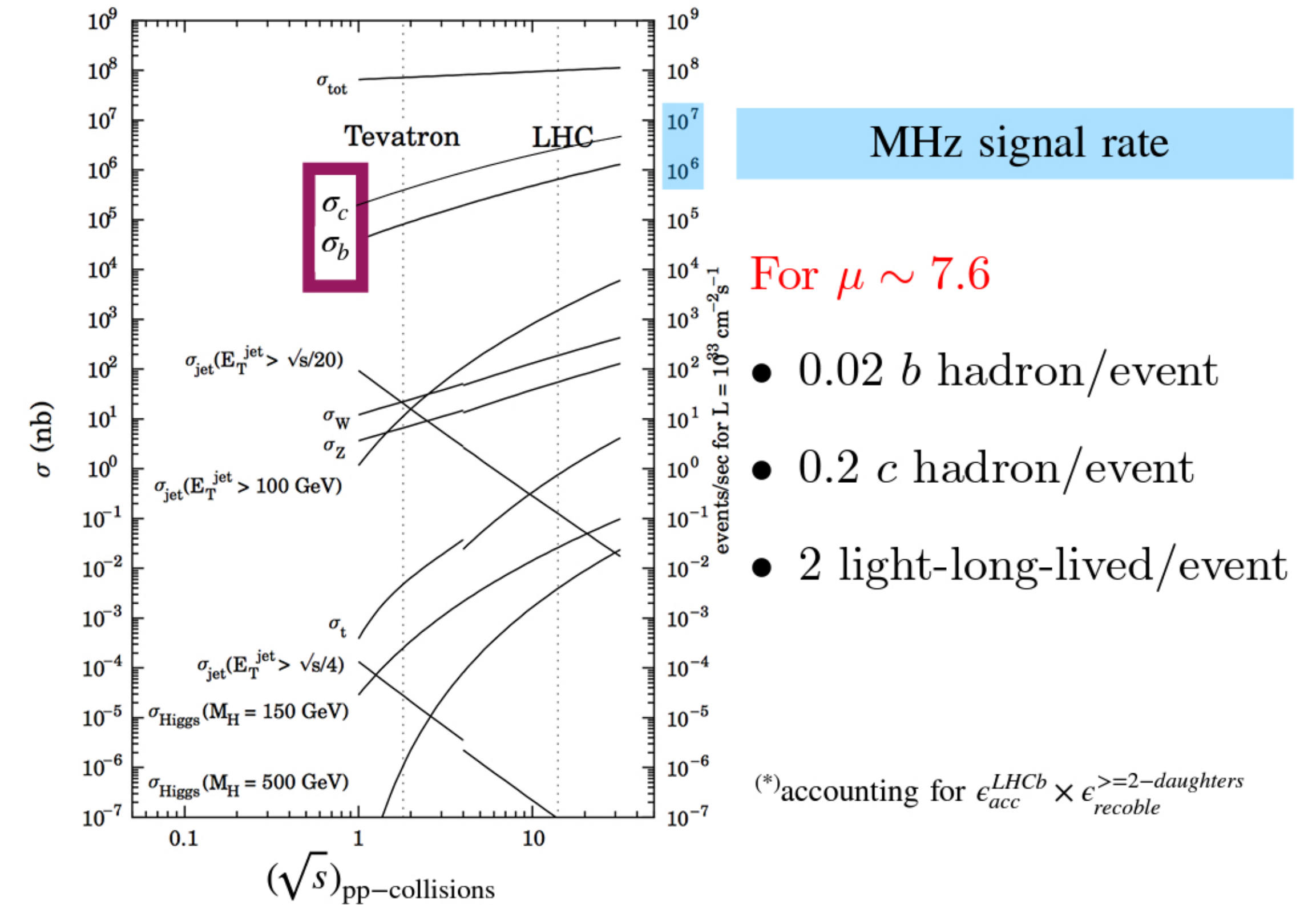
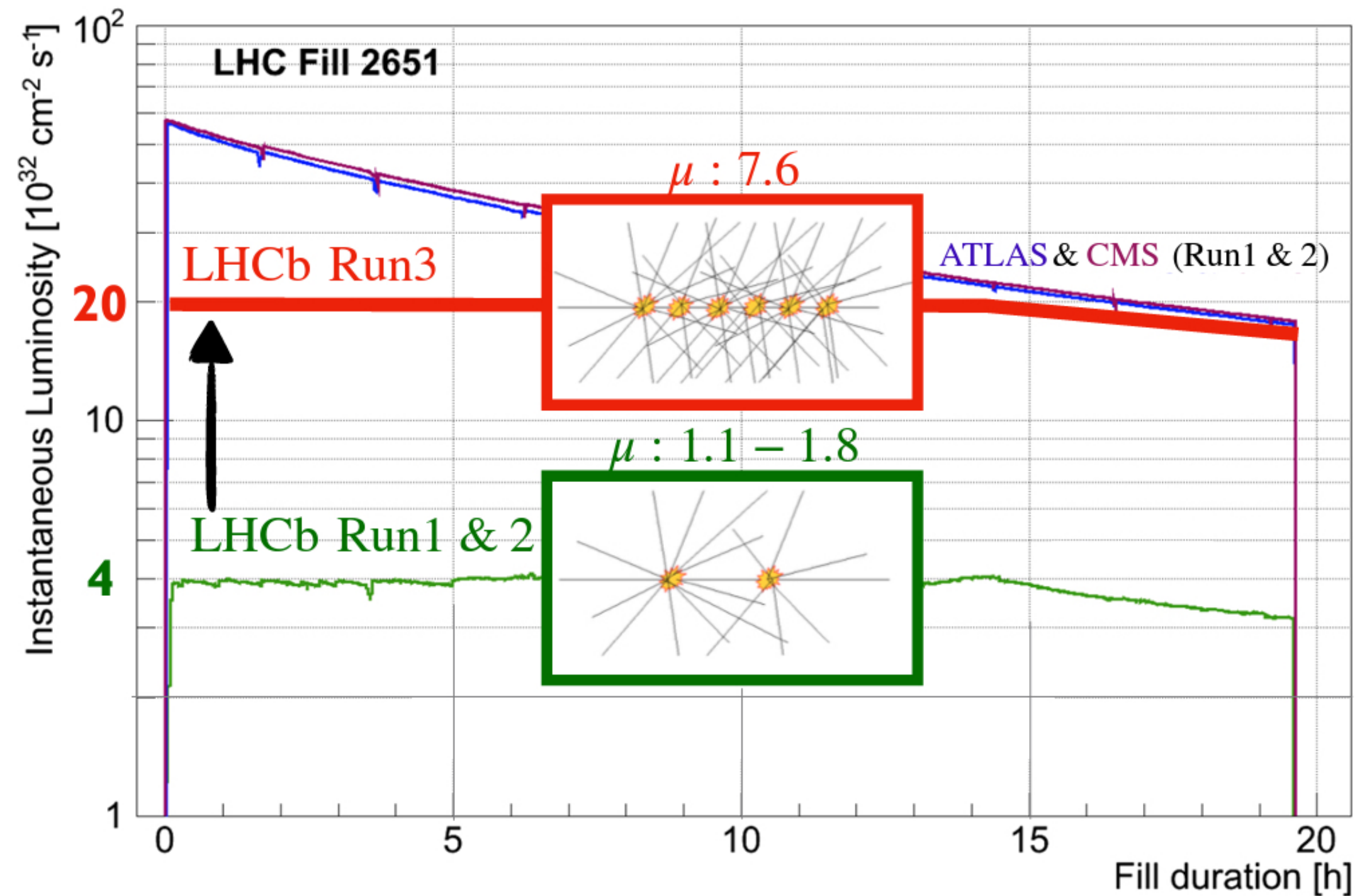
# Backup

# Run3 has just started, more stat coming soon



# Run3: more stat coming soon, exploit available luminosity

- LHC  $pp$  collisions at  $\sqrt{s} = 14$  TeV, 25 ns bunch spacing  $\rightarrow$  40 MHz collision rate.
- LHCb aims at boosting the physics output increasing the instantaneous luminosity and the signal rate.



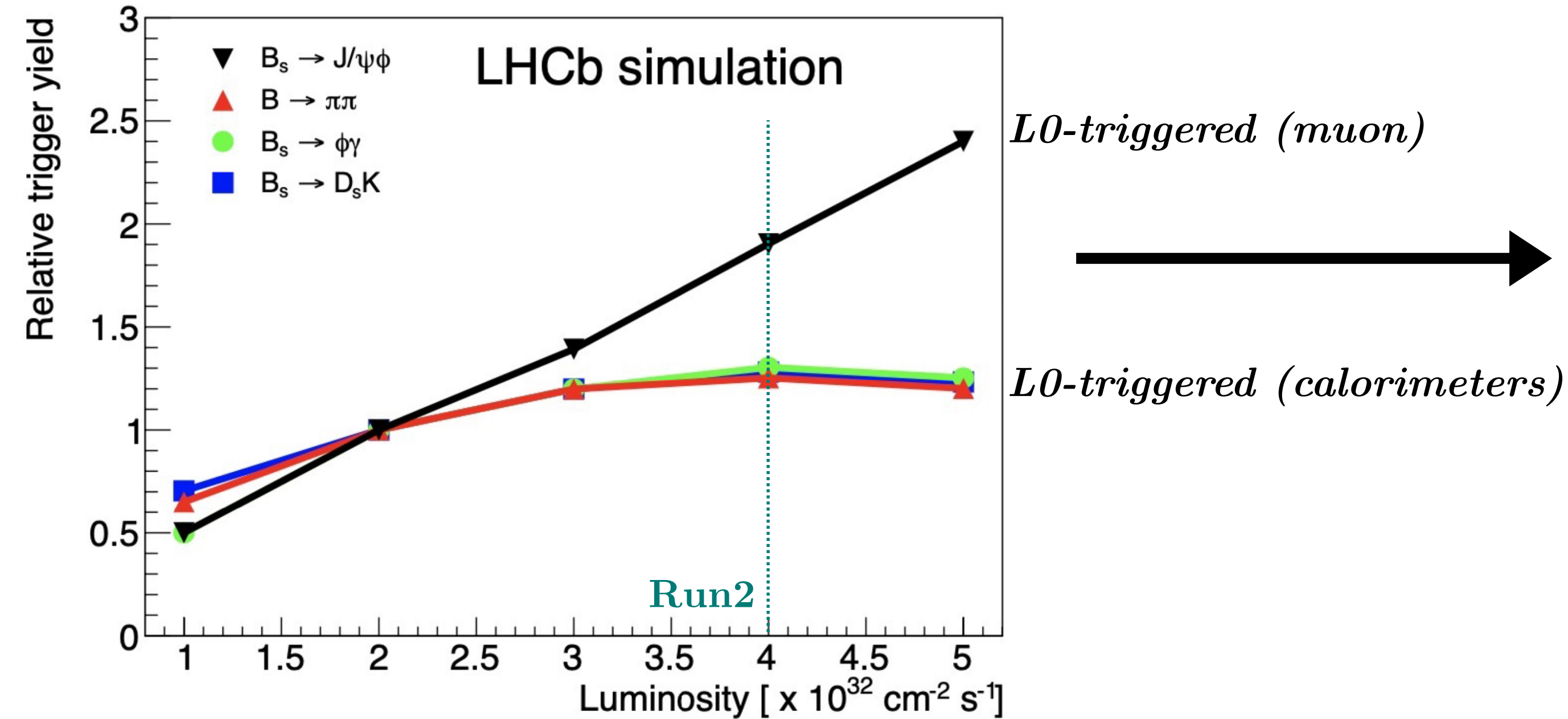
- More PVs, more tracks, more signal
- Almost all events will have a  $b$  or  $c$  hadron in Run 3

LHCb-PUB-2014-027

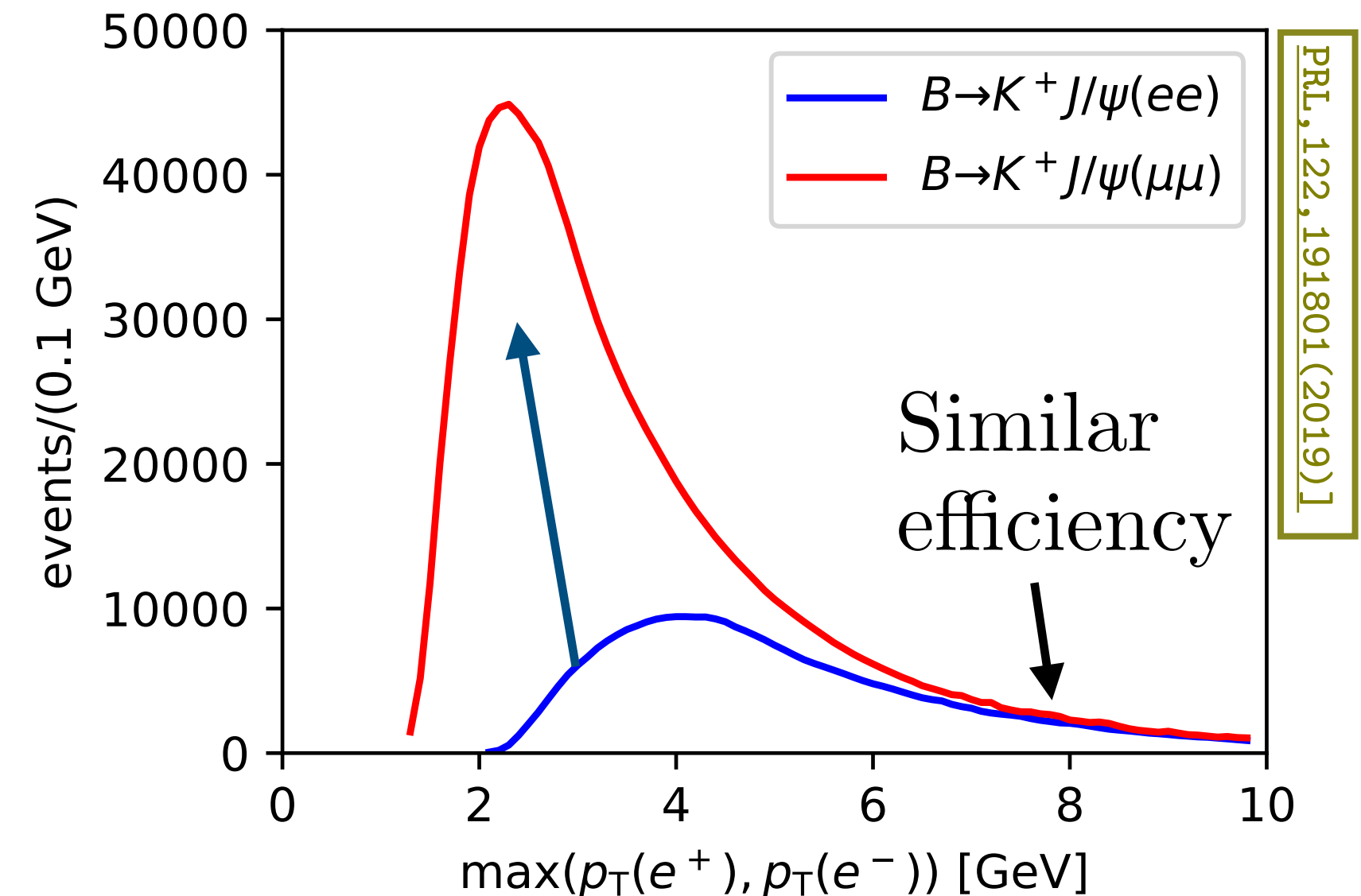
# Run3: more stat coming soon, real time analysis

- ▶ Run3 data taking has started, what to expect at LHCb with electron/muon reconstruction?

If one would keep a 1MHz readout limit and HW triggers (L0)



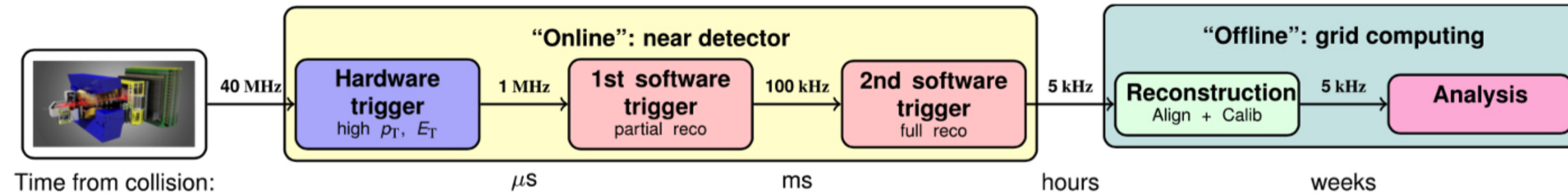
Fully removed hardware level trigger  
 Event reconstruction in real time at 40MHz  
 input rate with  $\mathcal{L} = 20 \times 10^{32} \text{ cm}^{-2} \text{ s}^{-1}$



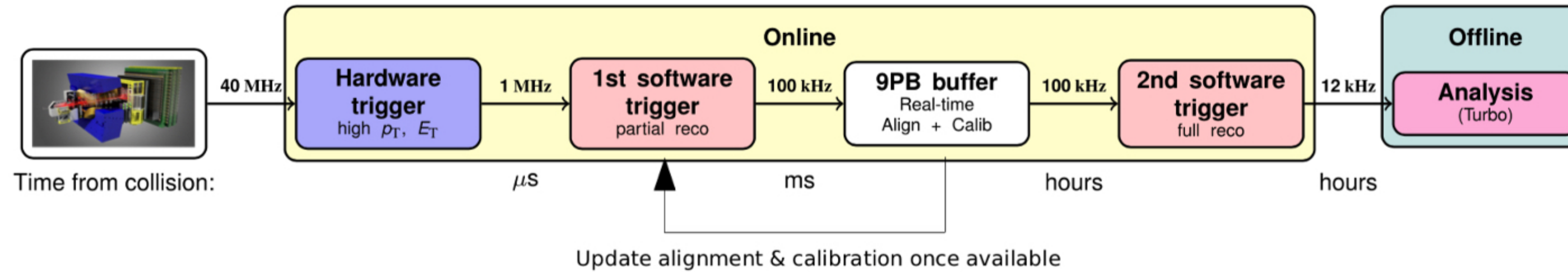
- ▶ Electrons vs Muon reconstruction efficiencies boosted thanks to  $\epsilon_{tracking}$  replacing  $\epsilon_{L0}$  effect!
- ▶ PID electron performances in the harsher occupancy environment from calorimeter will be known soon once detector commissioning is finalised
- ▶ Note : electron and calorimeter reconstruction algorithms heavily improved compared to Run1/2

# Prospects: Run3 has just started

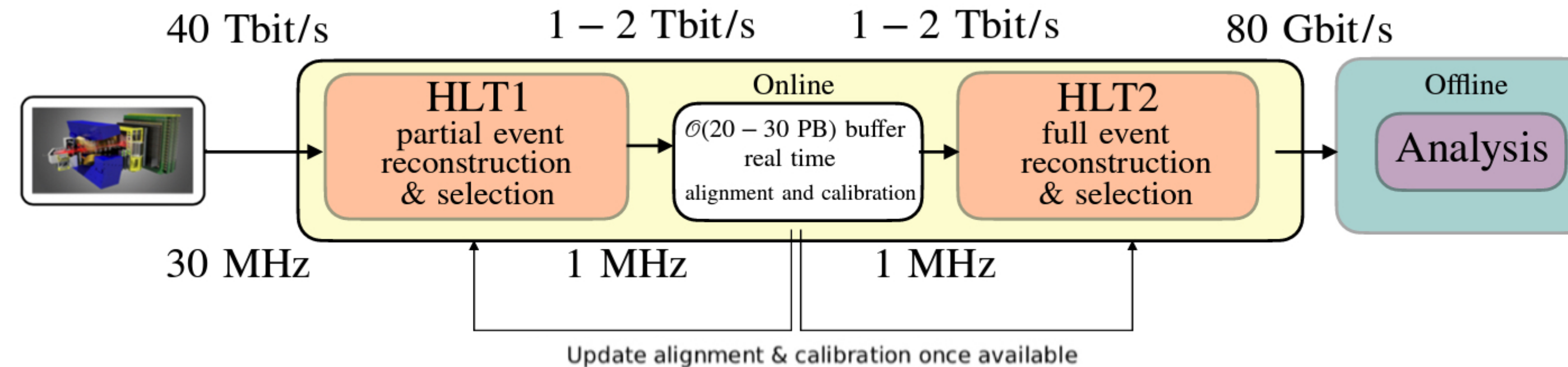
- **Run 1 (2011-2012):**



- **Run 2 (2015-2018):**

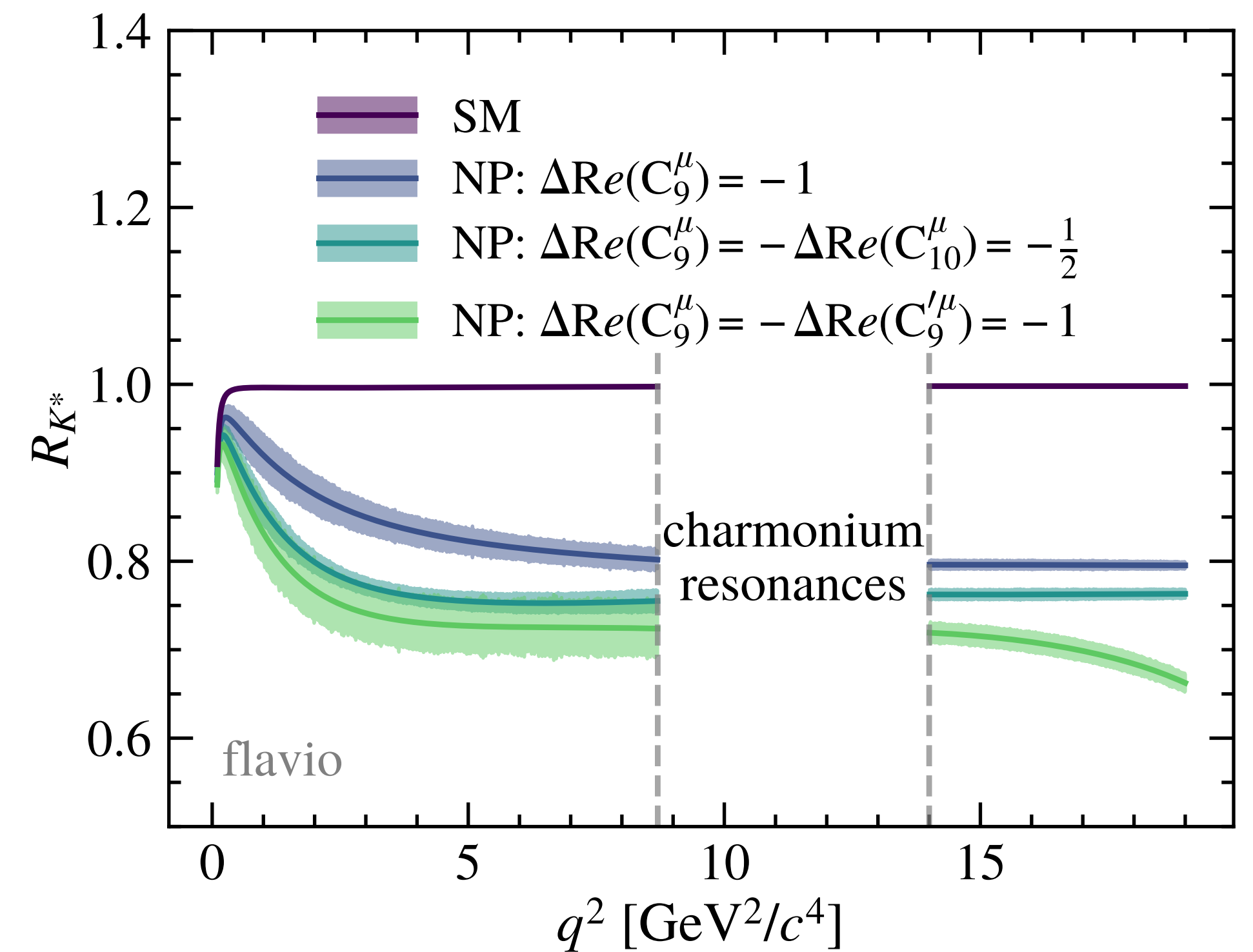
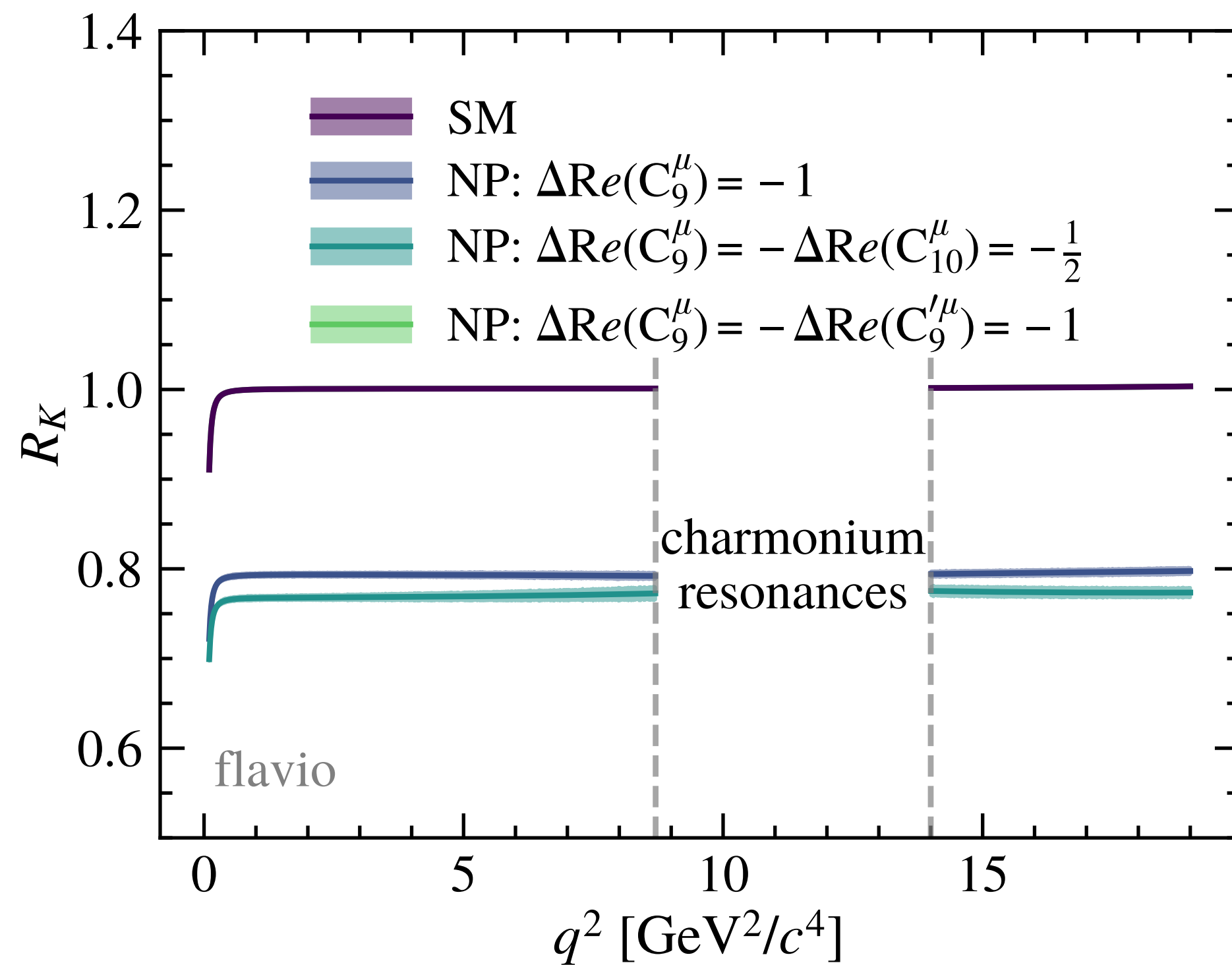
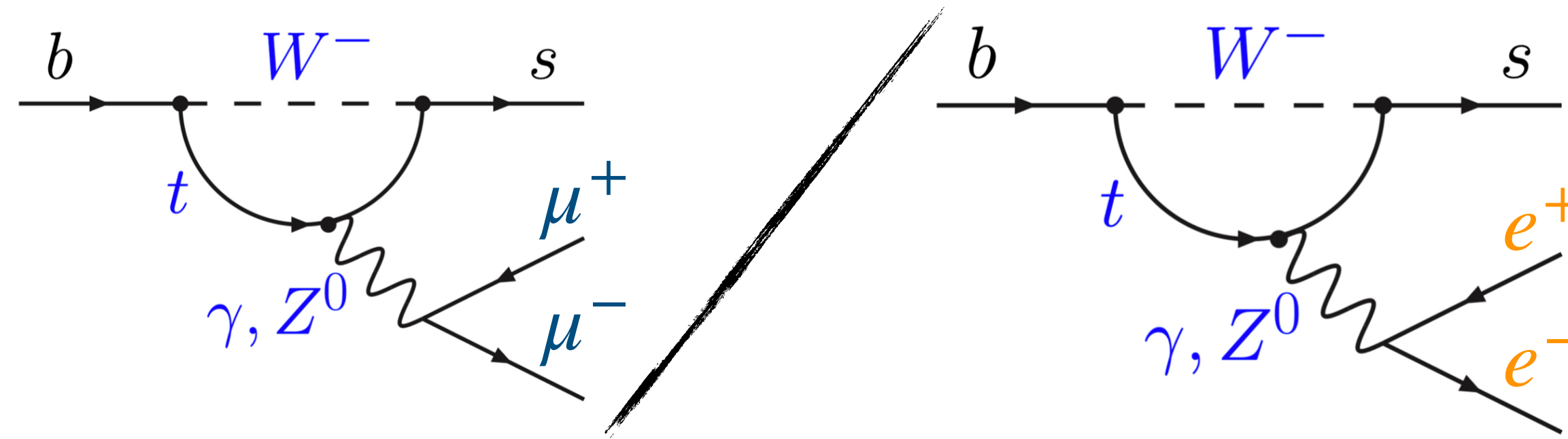


- **Run 3 (2021-2025++):**



- **Hardware trigger:** 40 → 1 MHz read-out limit in **Run1,2** based on Muon and Calorimeter signatures
- **HLT1**(partial) and **HLT2**(full) event reconstruction split in **Run2**
- **Buffer** data to disk to perform real time alignment and calibration
- Offline quality reconstruction and selection in the online system
- **Run3** : remove **Hardware trigger** in favour of a fully software based one.
- Event reconstruction at collision rate
- Full detector read-out at 40 MHz

# LFU tests in $b \rightarrow s \ell \ell$



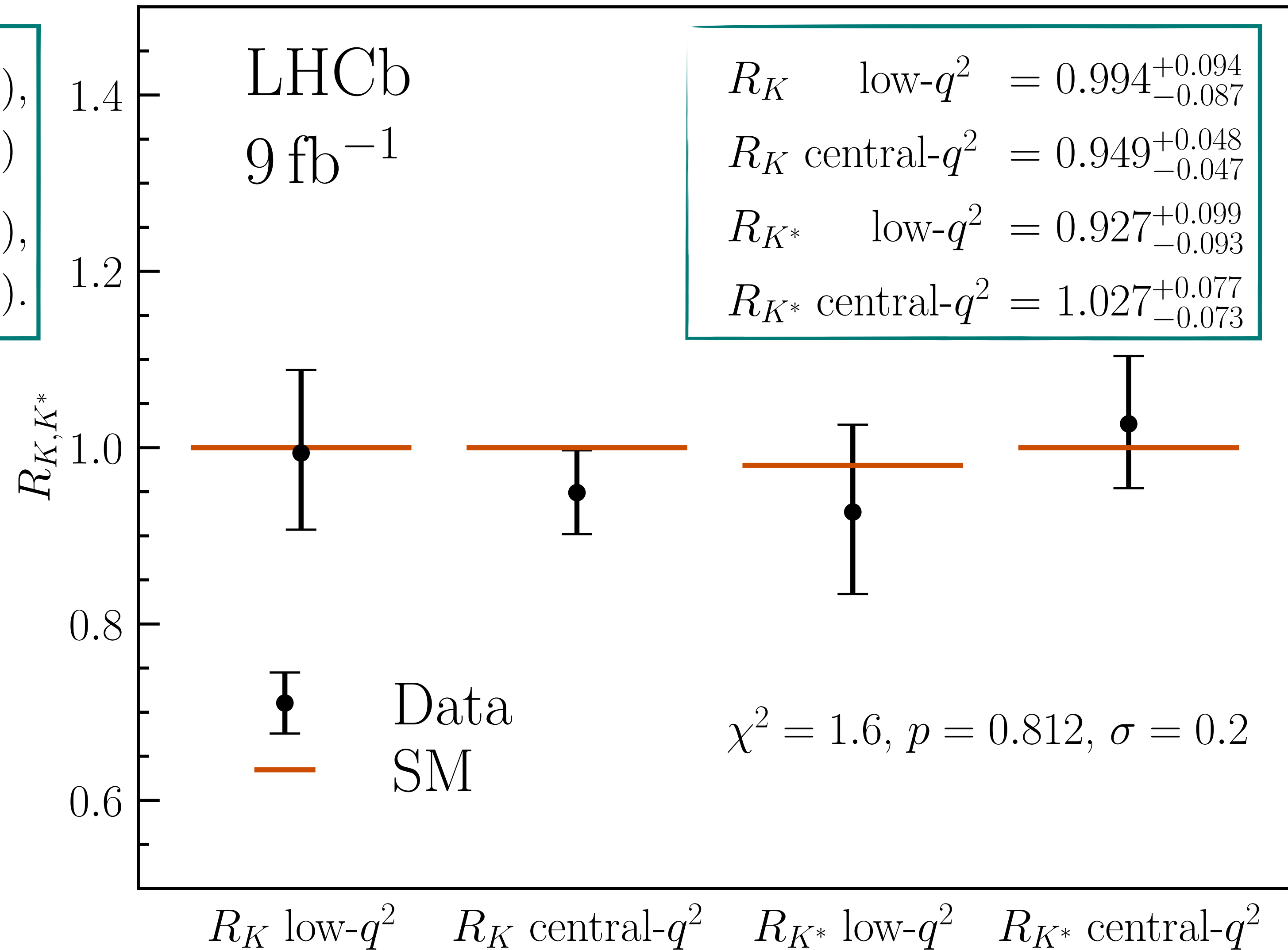


# LFU results

$$\text{low-}q^2 \begin{cases} R_K & = 0.994^{+0.090}_{-0.082} \text{ (stat)} \ ^{+0.027}_{-0.029} \text{ (syst)}, \\ R_{K^*} & = 0.927^{+0.093}_{-0.087} \text{ (stat)} \ ^{+0.034}_{-0.033} \text{ (syst)} \end{cases}$$

$$\text{central-}q^2 \begin{cases} R_K & = 0.949^{+0.042}_{-0.041} \text{ (stat)} \ ^{+0.023}_{-0.023} \text{ (syst)}, \\ R_{K^*} & = 1.027^{+0.072}_{-0.068} \text{ (stat)} \ ^{+0.027}_{-0.027} \text{ (syst)}. \end{cases}$$

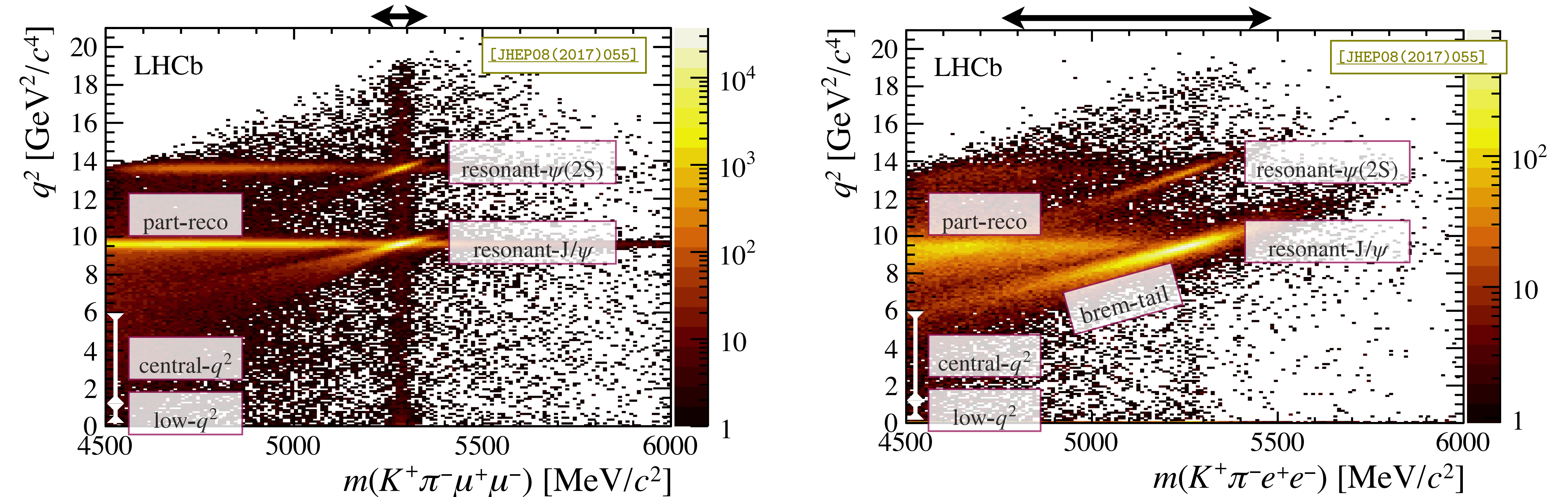
- ◆ Most precise and accurate LFU test in  $b \rightarrow s\ell\ell$  transition
- ◆ Compatible with SM with a simple  $\chi^2$  test on 4 measurement at  $0.2 \sigma$



# Net effect for LFU tests: muon vs electron modes

Narrow  $B$  signal window

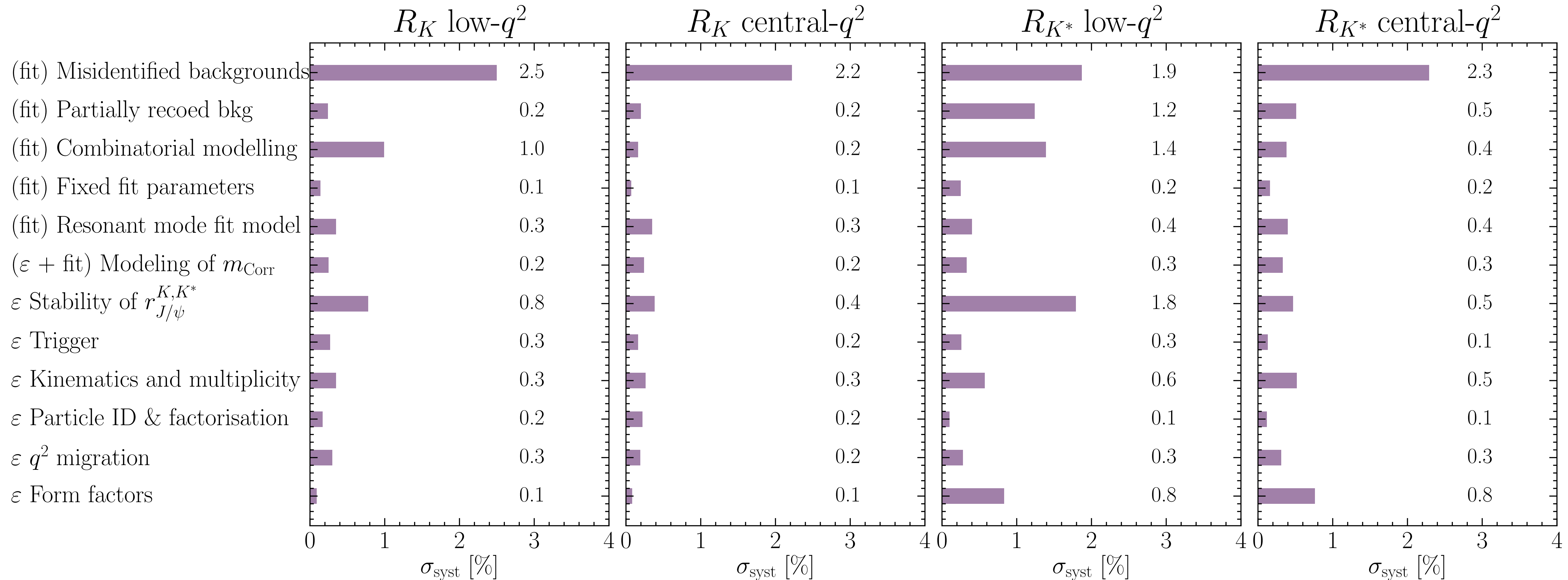
Wide  $B$  signal



- ▶ Muons in final states benefit from excellent  $\sigma_p/p$  at LHCb and negligible energy losses
- ▶ Electrons in final states suffer from brem-losses and poorer  $\sigma_E/E$  from calorimeters compared to tracking
  - ▶ Mass fit and yield determination exposed to the interplay/modelling of backgrounds

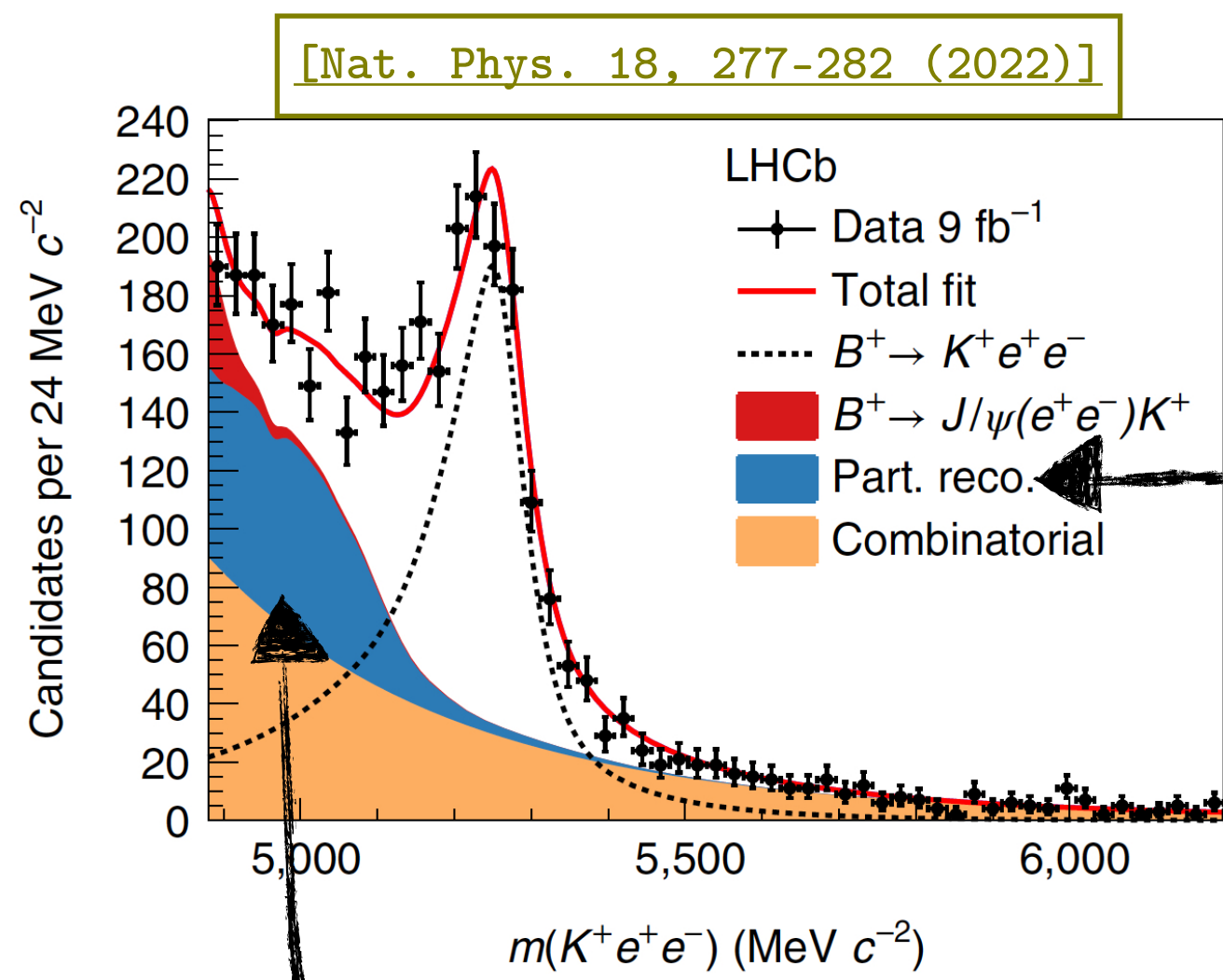
▶ *Electron mode yields  $\sim 1/3$  Muon mode yields at LHCb in Run I/II due to hardware trigger*

# $R_X$ systematics



- ◆ Dominant systematic from misidentified backgrounds estimation from data driven method
- ◆ Measurement still statistically dominated

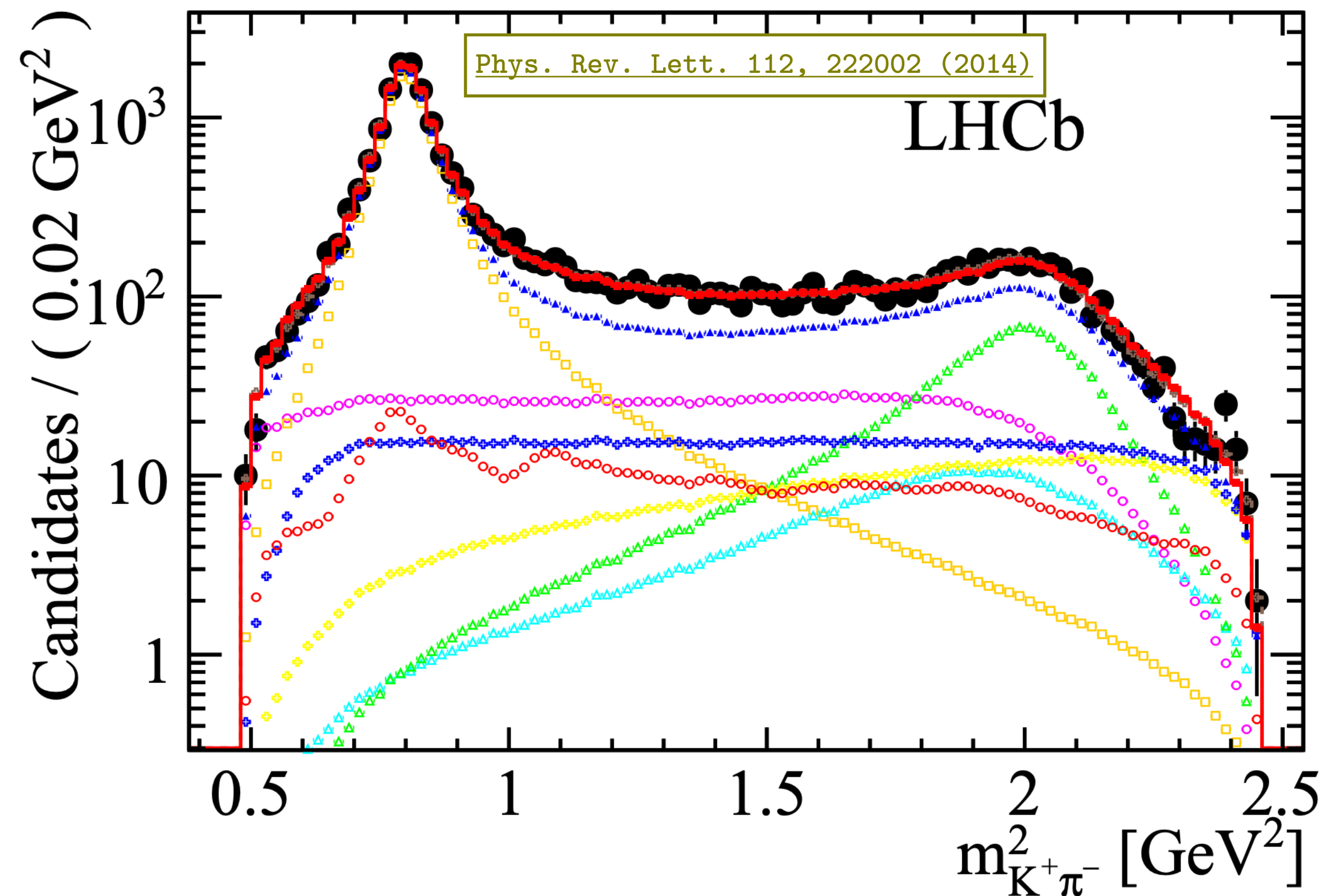
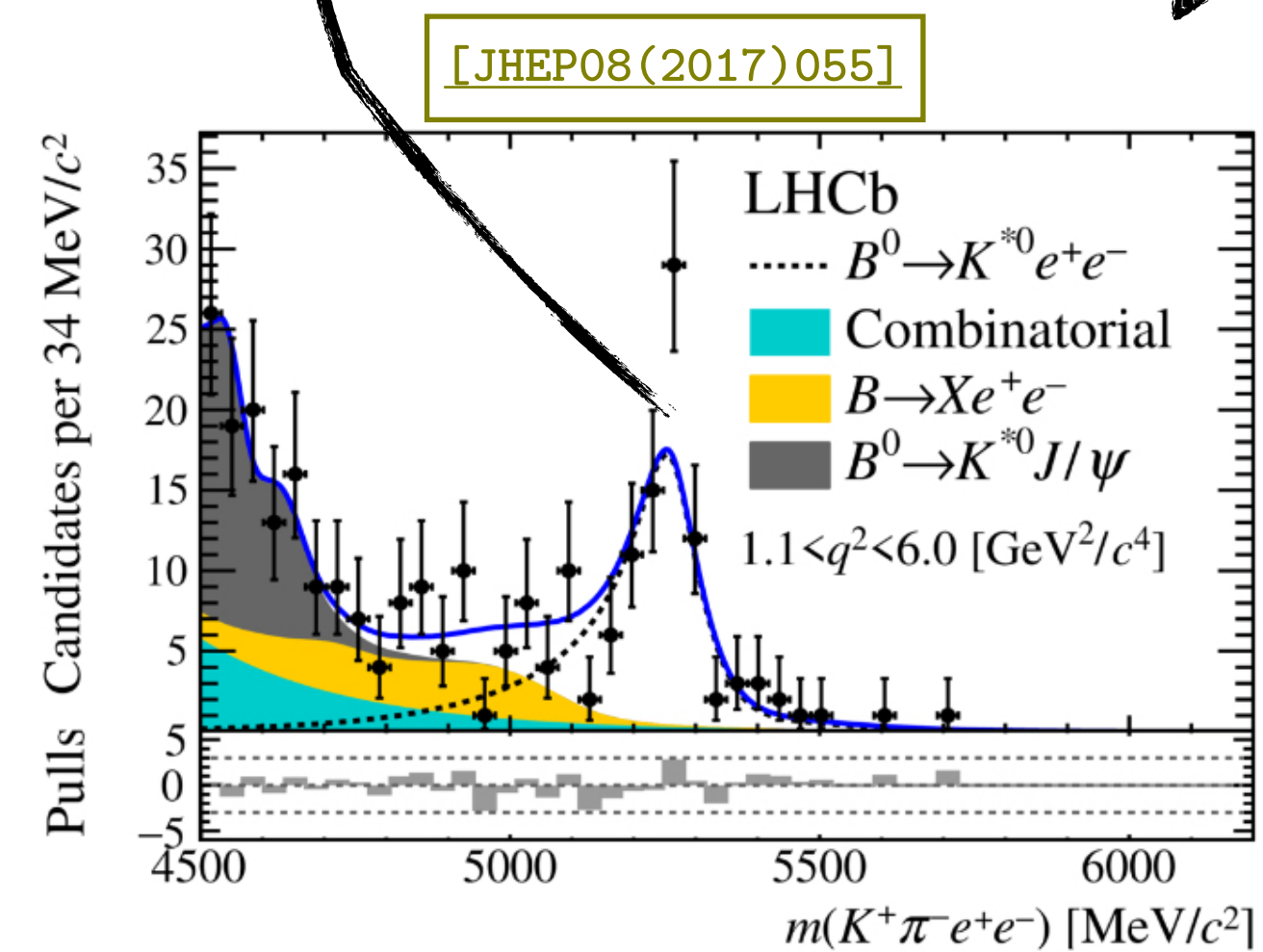
# Simultaneous measurement: cross-feed $R_{K^{*0}}$ & $R_K$



*Was free*

*Improve per-event sensitivity*

*constraining it from  $K^*$  mode*



$K^*$   
 $S$ -wave  
 $K^*(1410)$   
 $K^*(1680)$   
 $K_2^*(1430)$   
 bkg

— Directly from  $K^{*0}e^+e^-$

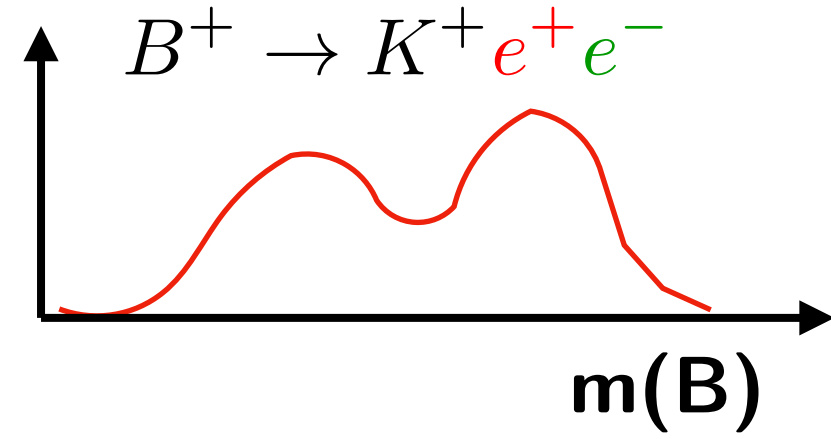
— Use  $F_S$  measurement in [JHEP 11 \(2016\) 047](#) and Breit-Wigner tails

— Extrapolation factors / full amplitude from  $K^*J/\psi$  [Phys. Rev. Lett. 112, 222002 \(2014\)](#)

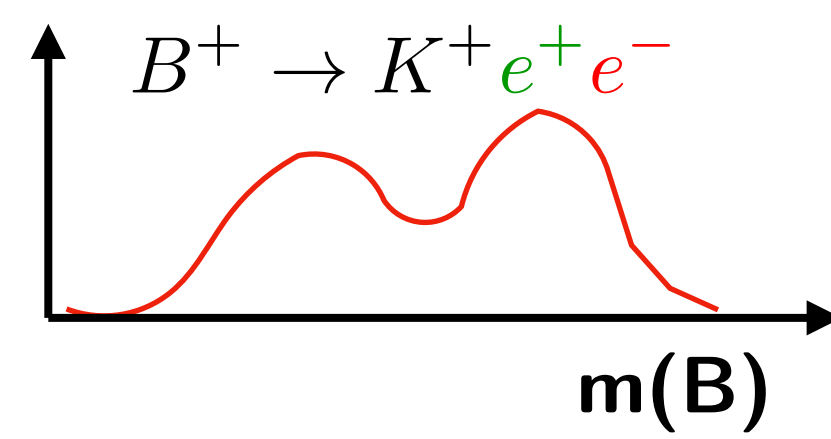
$K^+\pi^0$  accounting for isospin factors and  $\epsilon$  corrections

# Pass-Fail method to estimate mis-identified backgrounds

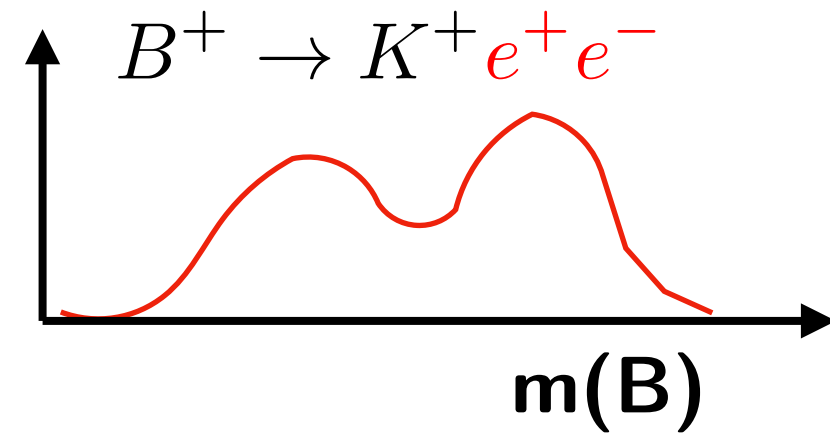
**FailPass (FP)**



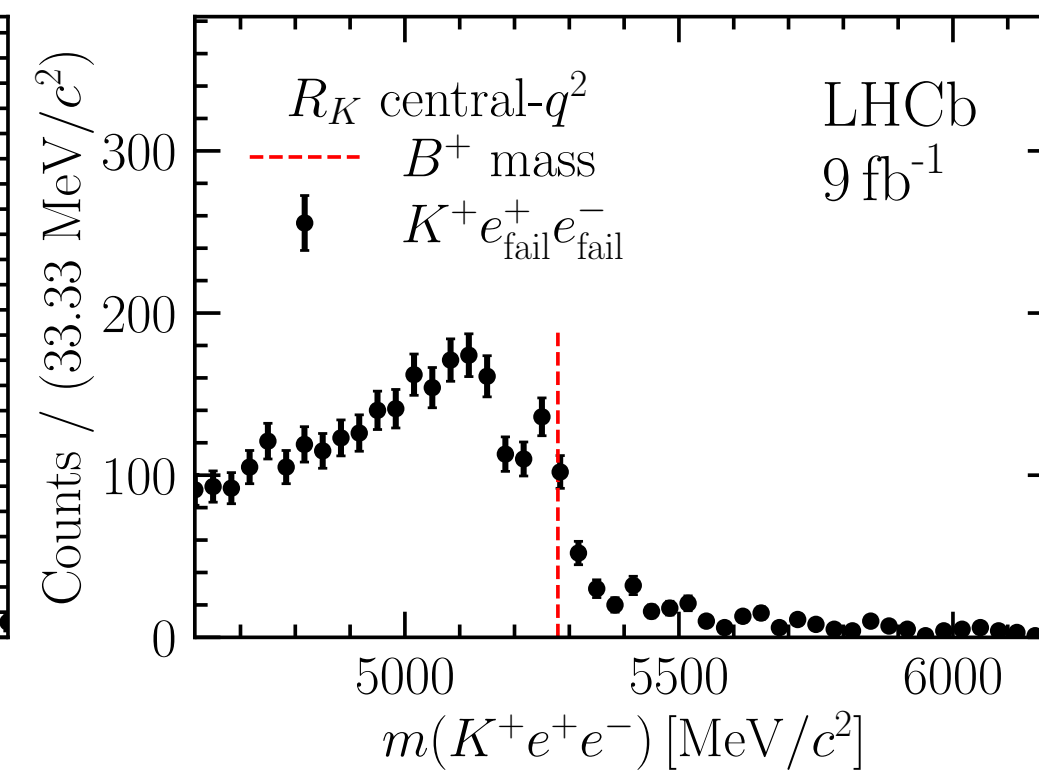
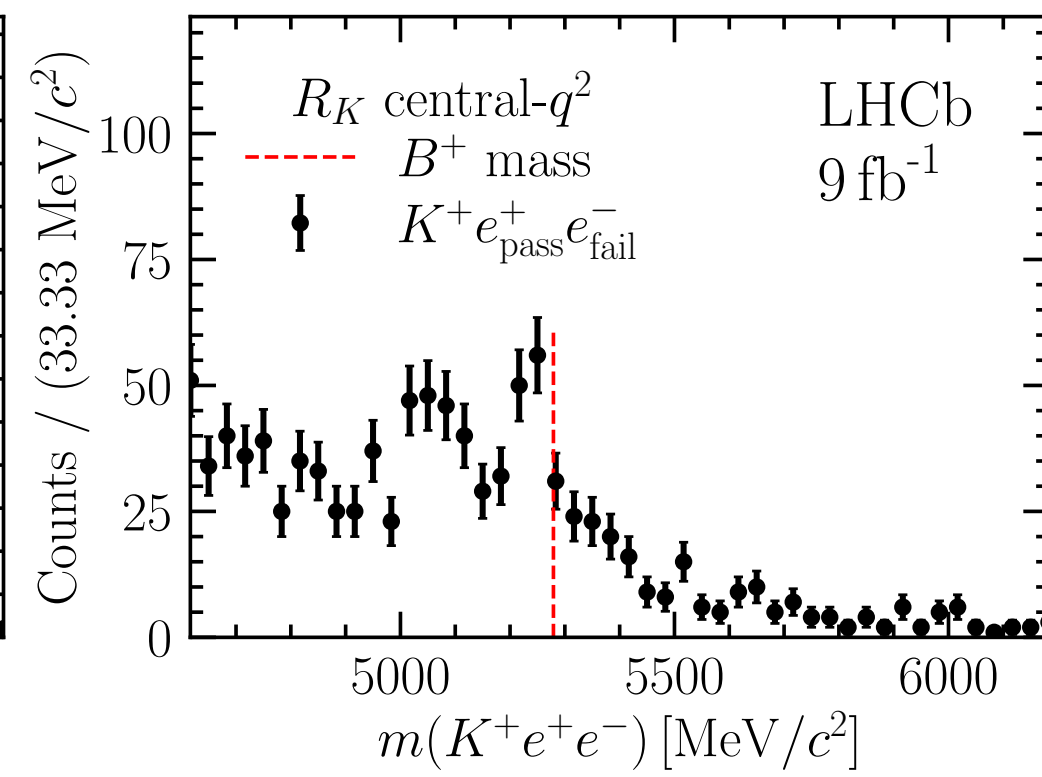
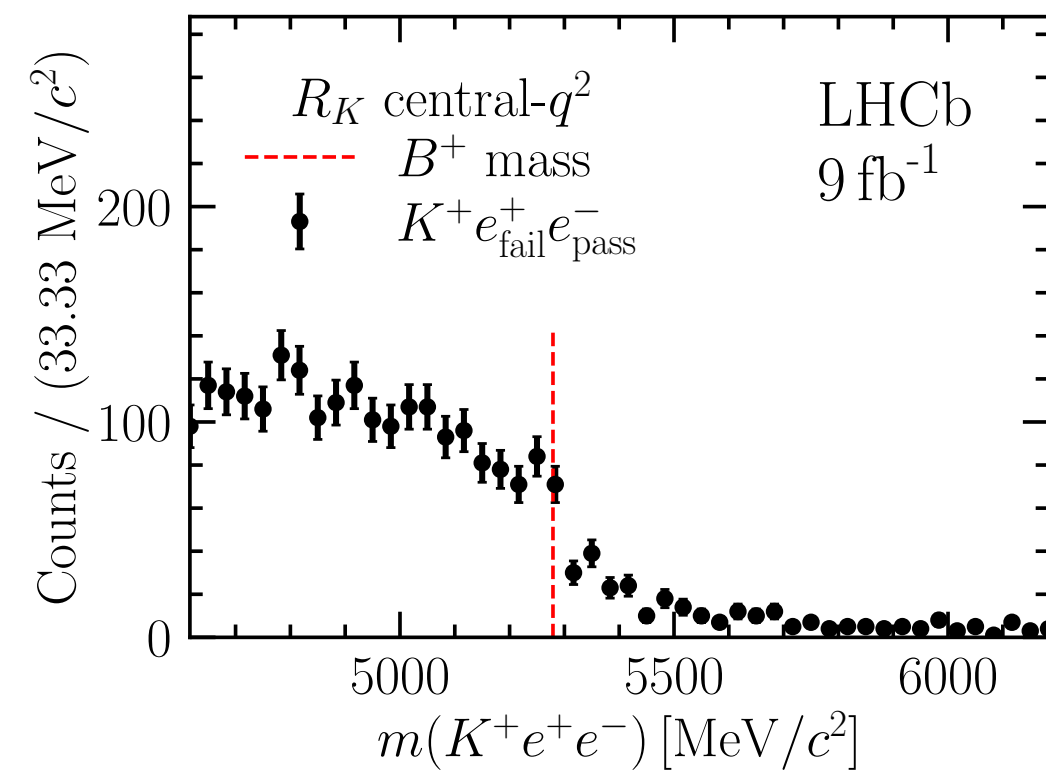
**PassFail (PF)**



**FailFail (FF)**



◆ Data with inverted PID cuts is enhanced in misID content



**Inverted cuts and still use electron ID hypothesis**

$$N(PF) : N(B^+ \rightarrow K^+ e^+ h^-) + N(B^+ \rightarrow K^+ h^+ h'^-) + N(B^+ \rightarrow K^+ e^+ e^-) + N(B^+ \rightarrow K^+ J/\psi(\rightarrow e^+ e^-))$$

$$N(FP) : N(B^+ \rightarrow K^+ e^- h^+) + N(B^+ \rightarrow K^+ h^+ h'^-) + N(B^+ \rightarrow K^+ e^+ e^-) + N(B^+ \rightarrow K^+ J/\psi(\rightarrow e^+ e^-))$$

$$N(FF) : N(B^+ \rightarrow K^+ h^+ h'^-)$$

**Residual double mis-id**

**Residual signal contribution - subtract using simulation**

# The LHCb Detector from 2011-2018 [Run1,Run2]

- ▶ Lower luminosity than ATLAS/CMS for  $\langle \mu \rangle \simeq 1$ ,

$$\mathcal{L}_{inst}^{LHCb} \simeq 3.5 \times 10^{32} \text{ cm}^{-2}\text{s}^{-1}, \quad \int_{2011}^{2018} \mathcal{L} = 9\text{fb}^{-1}$$

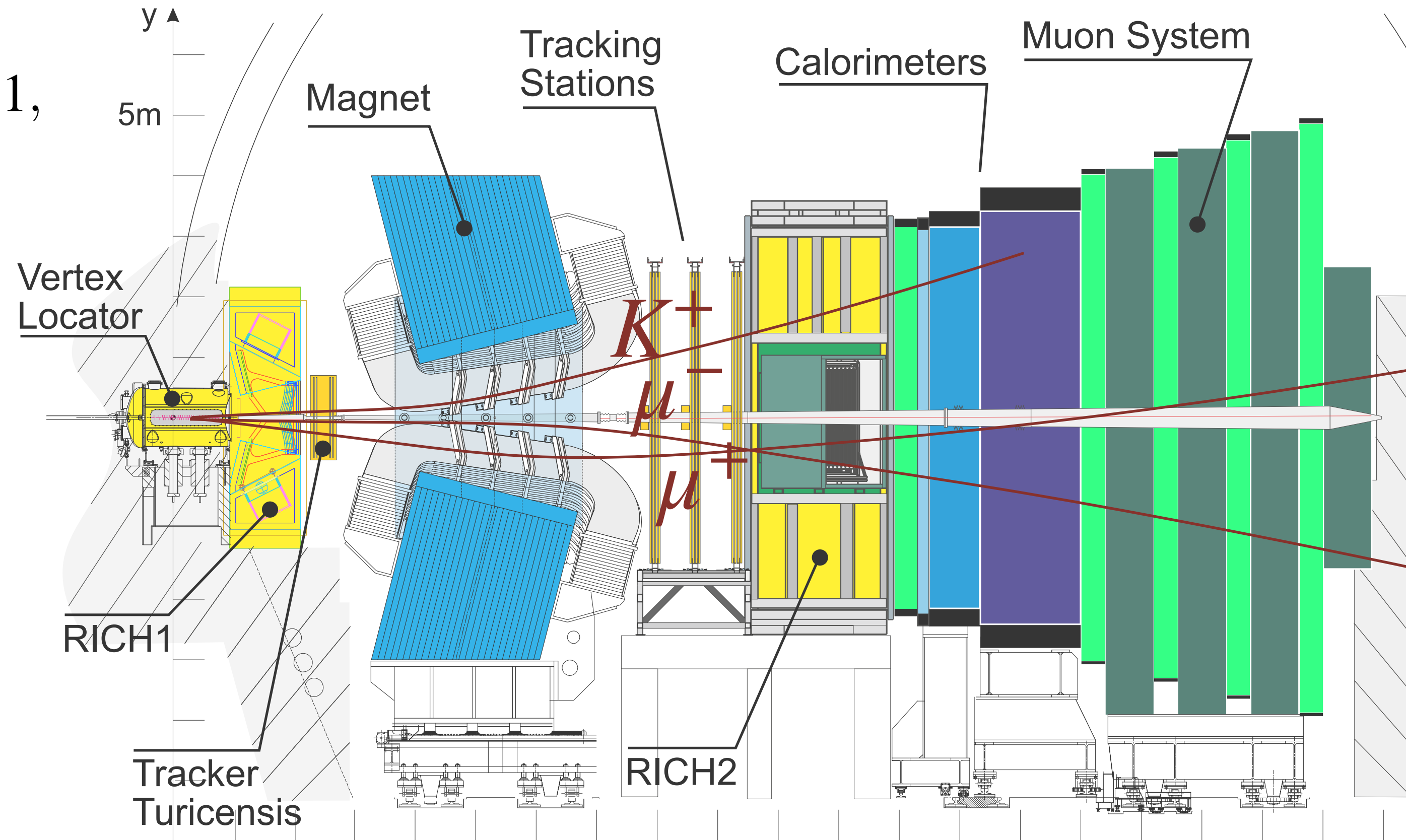
- ▶ Large  $\sigma_{pp \rightarrow b\bar{b}}$  at LHC
- ▶ Acceptance in forward region of  $pp$  collisions ( $2 < \eta < 5$ )

- ▶ Excellent displaced vertex identification
- ▶ Low- $p_T$  triggers (few GeV)

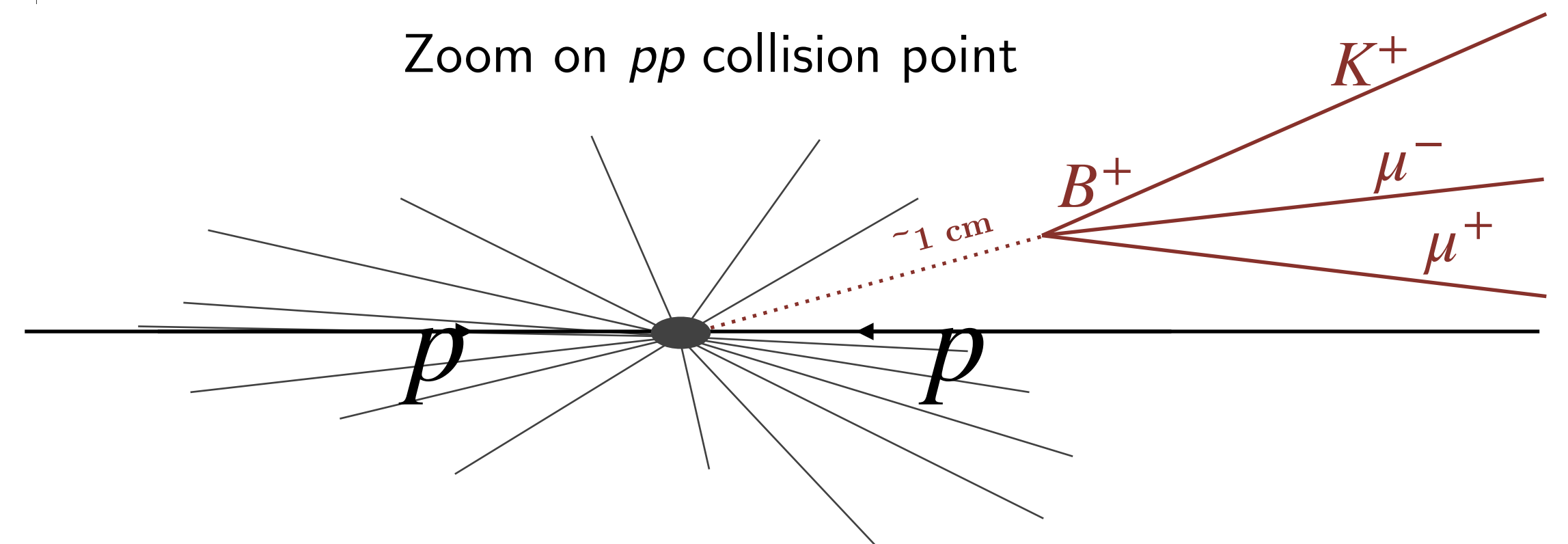
- ▶ Dipole magnet with very precise tracking

detectors  $\sigma_p/p \sim 0.5 - 1\%$

- ▶ Particle ID with calorimeters, muon system and cherenkov detectors (RICH)



Zoom on  $pp$  collision point

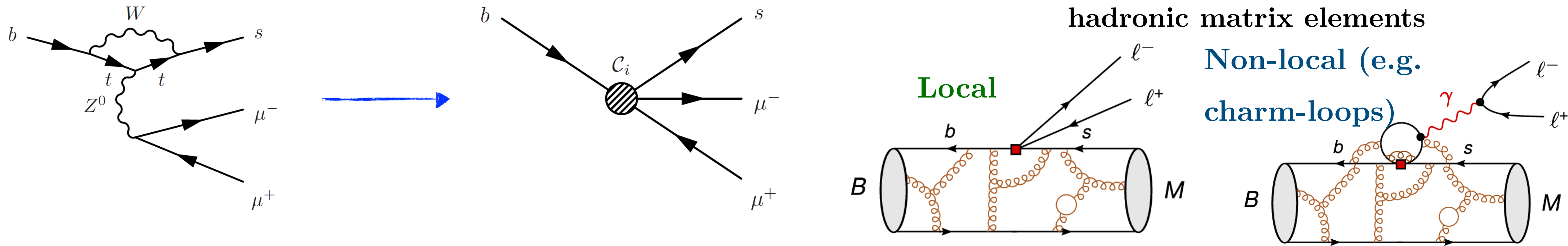


# Overall picture from theory side on predictions

	parametric uncertainties	form factors	non-local matrix elements
$\mathcal{B}(B \rightarrow M\ell\ell)$	X	X	X
angular observables	✓	X	X
$\overline{\mathcal{B}}(B_s \rightarrow \ell\ell)$	CKM X	✓	Not-applicable ✓ (N/A)
LFU observables	✓	✓	✓

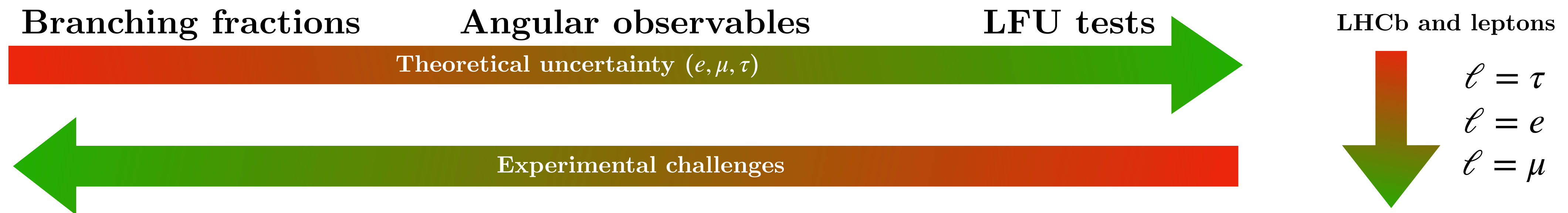
# $b \rightarrow s\ell^+\ell^-$ observables and interpretation

► How to interpret  $b \rightarrow s\ell\ell$  analyses results in terms of NP?



Depending on the observable, the SM predictions is more or less accurate

Theoretical uncertainties in SM predictions on  $b \rightarrow s\ell\ell$  observables





# Radiative $b \rightarrow s\gamma$ transition

$$\text{Left handed } C_7 = C_7^{\text{SM}} + C_7^{\text{NP}}$$

▶  $\mathcal{B}(B \rightarrow X_s\gamma) \propto C_7^2 + C_7'^2$  (inclusive)

[1] ♦ 5% precise prediction

[2] ♦ 5% precise from  $B$ -factories

♦ Very hard at LHCb

▶  $\text{Im}(C_7)$  measured with  $A_{\text{CP}}$

[2] ♦  $B \rightarrow K_S\pi^0\gamma$  at  $B$ -factories

[3] ♦ Tagged time-dep. analysis of  $B_s \rightarrow \phi\gamma$  at LHCb

$$\text{Right handed } C_7' = C_7'^{\text{NP}}$$

[2] ▶ Mixing-induced CPV in  $B \rightarrow K_S\pi^0\gamma$  at  $B$ -factories

[3] ▶  $\Delta\Gamma_s$  induced rate asymmetry in  $B_s \rightarrow \phi\gamma$  at LHCb

[4] ▶ Angular analysis of  $\Lambda_b \rightarrow \Lambda\gamma$  at LHCb

[5] ▶ Transverse asymmetries in  $B^0 \rightarrow K^*e^+e^-$  at LHCb

Most sensitive

[1] [M. Misiak et al JHEP 06\(2020\)175](#)

[2] [HFLAV average of BaBar and Belle](#)

[3] [LHCb PRL 123 \(2019\) 081802](#)

[4] [LHCb PRD 105 \(2022\) L051104](#)

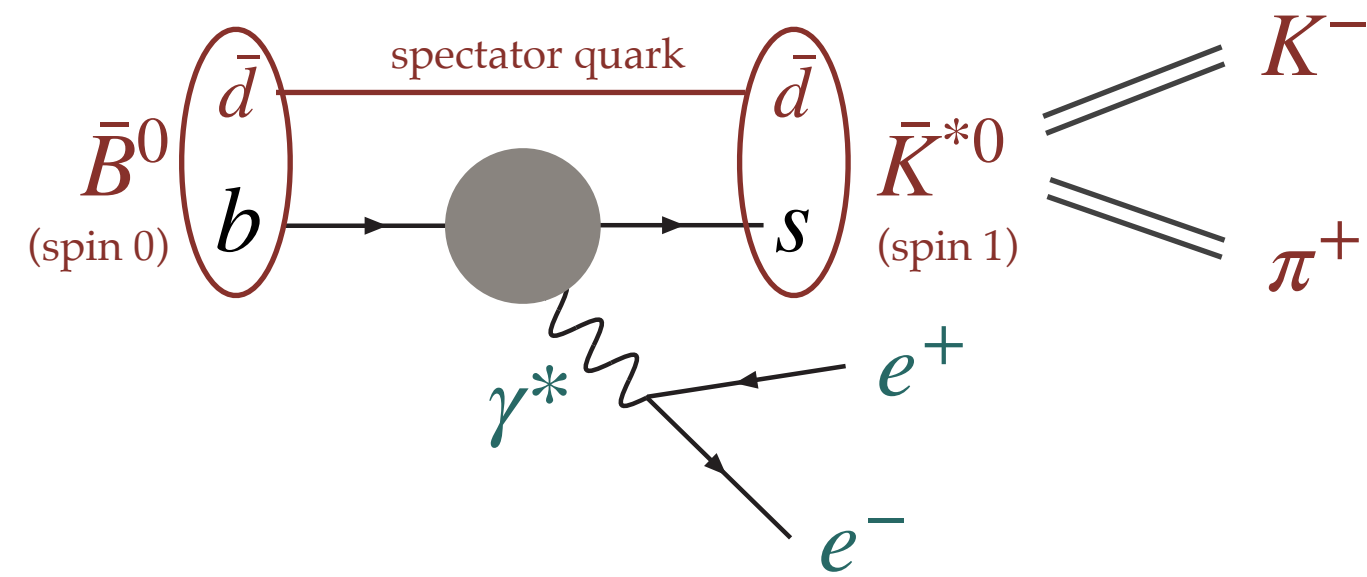
[5] [LHCb JHEP 12 \(2020\) 081](#)

# $b \rightarrow s\gamma$ in $B^0 \rightarrow K^{*0}ee$

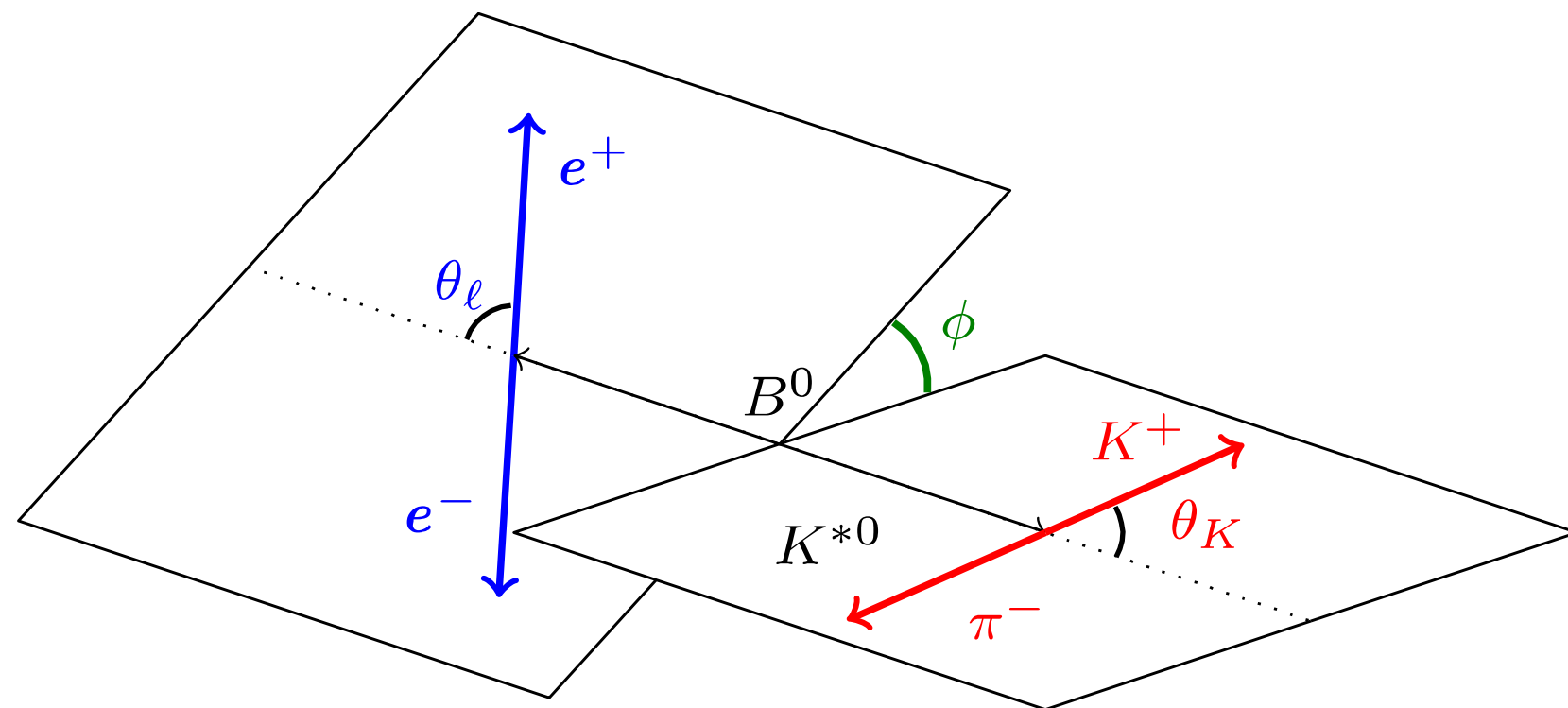
Right handed  $C'_7 = C'_7{}^{\text{NP}}$

► Transverse asymmetries in

$B^0 \rightarrow K^*e^+e^-$  at LHCb



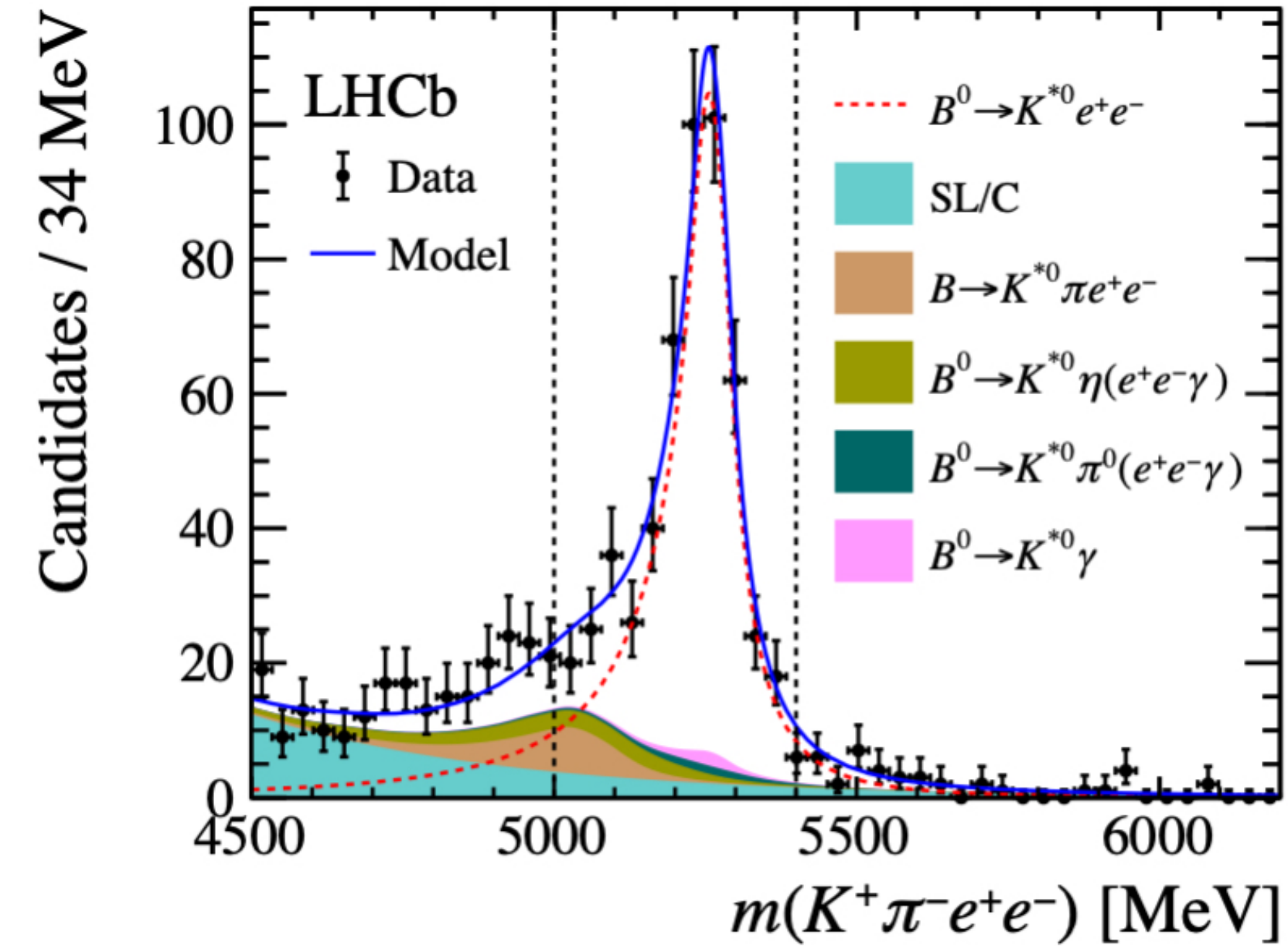
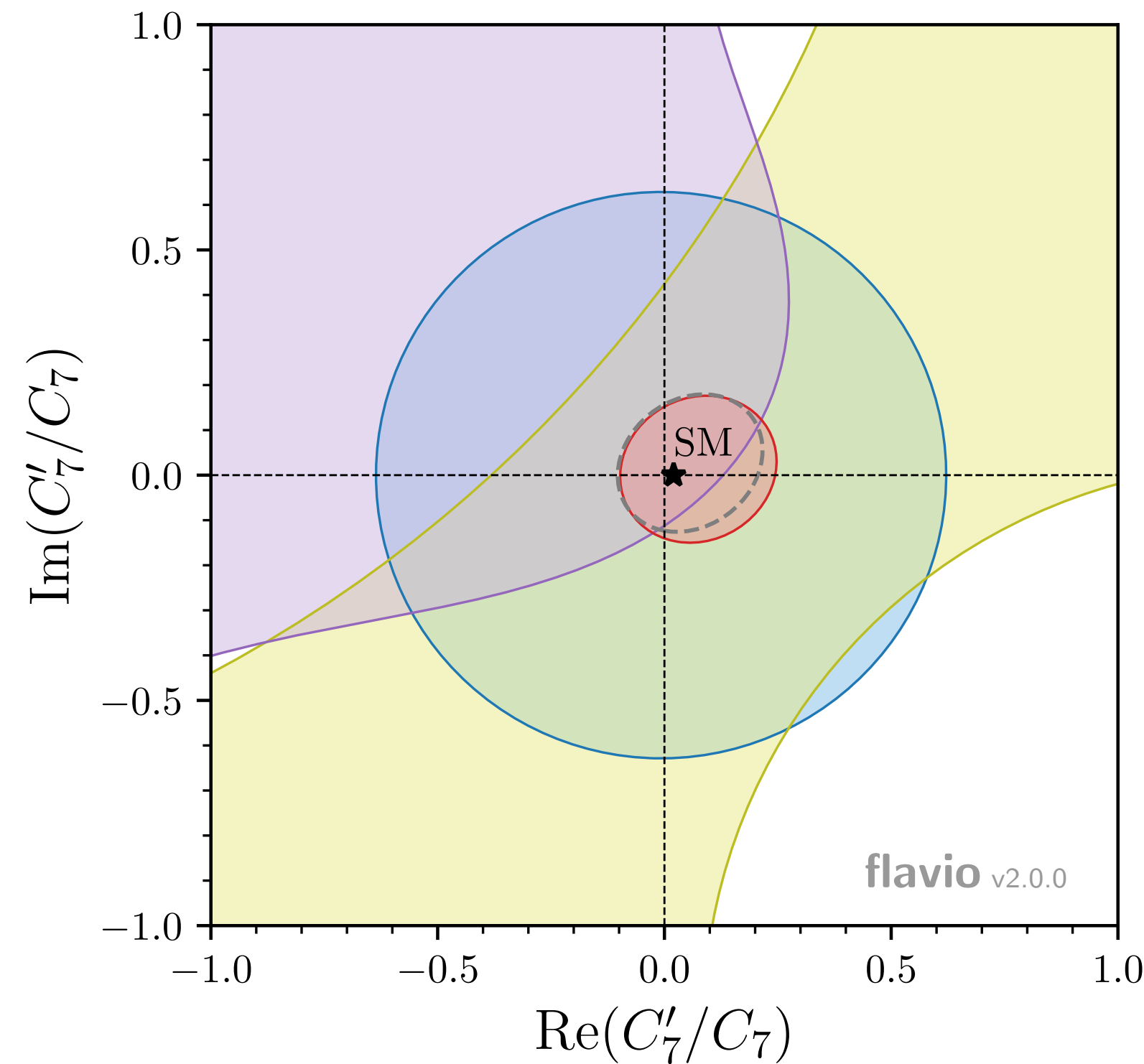
► Use  $\gamma^* \rightarrow ee$  to measure photon polarisation



►  $\mathcal{O}(500)$  events despite

$$\mathcal{B} \sim 10^{-7}$$

[JHEP 12 (2020) 081]



—  $\mathcal{B}(B \rightarrow X_s \gamma)$   
 —  $B^0 \rightarrow K_S^0 \pi^0 \gamma$   
 —  $B_s^0 \rightarrow \phi \gamma$   
 —  $B^0 \rightarrow K^{*0} e^+ e^-$

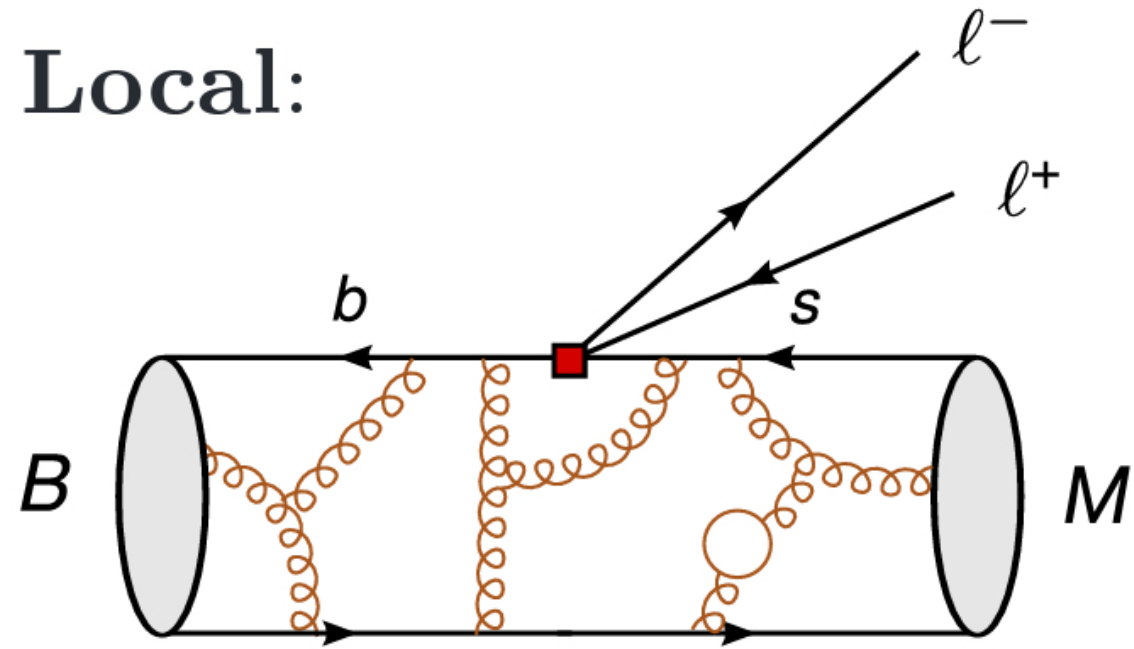
Previous measurements

Place strong constraints on possible NP models

# Theory of $B \rightarrow M \ell \ell$

$$\mathcal{M}(B \rightarrow M \ell \ell) = \langle M \ell \ell | \mathcal{H}_{\text{eff}} | B \rangle = \mathcal{N} \left[ (\mathcal{A}_V^\mu + \mathcal{H}^\mu) \bar{u}_\ell \gamma_\mu v_\ell \right.$$

**Local:**



$$\begin{aligned} \mathcal{A}_V^\mu &= -\frac{2im_b}{q^2} \mathcal{C}_7 \langle M | \bar{s} \sigma^{\mu\nu} q_\nu P_R b | B \rangle \\ &\quad + \mathcal{C}_9 \langle M | \bar{s} \gamma^\mu P_L b | B \rangle \\ &\quad + (P_L \leftrightarrow P_R, \mathcal{C}_i \rightarrow \mathcal{C}'_i) \end{aligned}$$

$$\begin{aligned} \mathcal{A}_A^\mu &= \mathcal{C}_{10} \langle M | \bar{s} \gamma^\mu P_L b | B \rangle \\ &\quad + (P_L \leftrightarrow P_R, \mathcal{C}_i \rightarrow \mathcal{C}'_i) \end{aligned}$$

$$\begin{aligned} \mathcal{A}_{S,P} &= \mathcal{C}_{S,P} \langle M | \bar{s} P_R b | B \rangle \\ &\quad + (P_L \leftrightarrow P_R, \mathcal{C}_i \rightarrow \mathcal{C}'_i) \end{aligned}$$

$$\begin{aligned} &+ \mathcal{A}_A^\mu \bar{u}_\ell \gamma_\mu \gamma_5 v_\ell \\ &+ \mathcal{A}_S \bar{u}_\ell v_\ell \\ &+ \mathcal{A}_P \bar{u}_\ell \gamma_5 v_\ell \end{aligned} \left. \right]$$

$$\mathcal{H}^\mu = \frac{-16i\pi^2}{q^2} \sum_{i=1,\dots,6,8} \mathcal{C}_i \int dx^4 e^{iq \cdot x} \langle M | T \{ j_{\text{em}}^\mu(x), O_i(0) \} | B \rangle$$

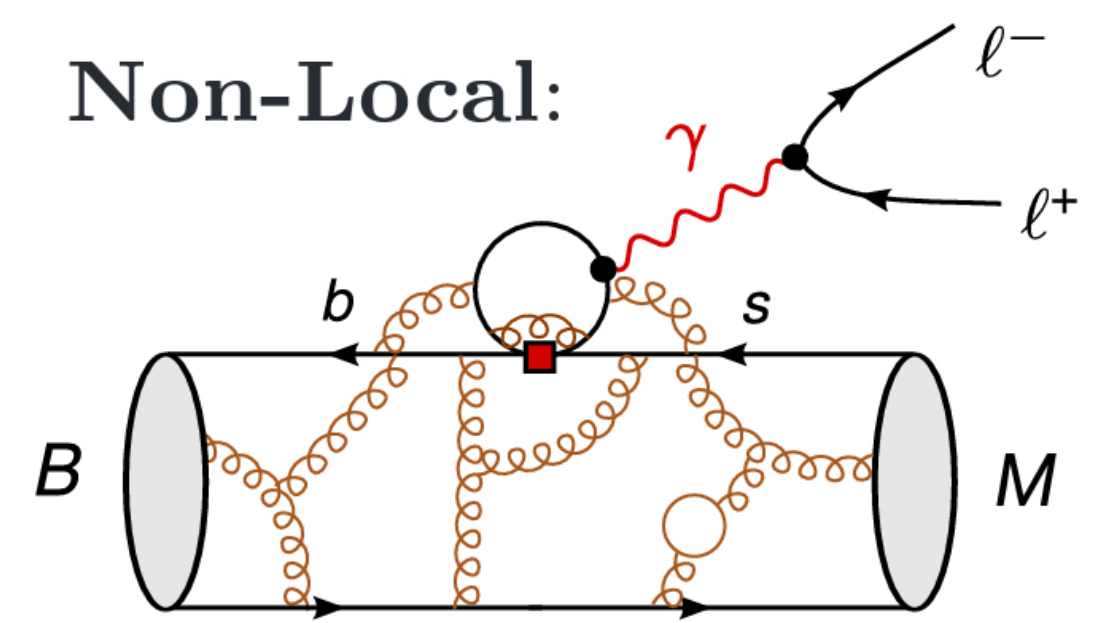
$$j_{\text{em}}^\mu = \sum_q Q_q \bar{q} \gamma^\mu q$$

**Wilson coefficients**  $\mathcal{C}_i = \mathcal{C}_i^{SM} + \mathcal{C}_i^{NP}$

Perturbative, short-distance physics ( $q^2$  independent), well-known in SM,  
parameterise heavy NP

**Local / Non-local hadronic matrix elements**

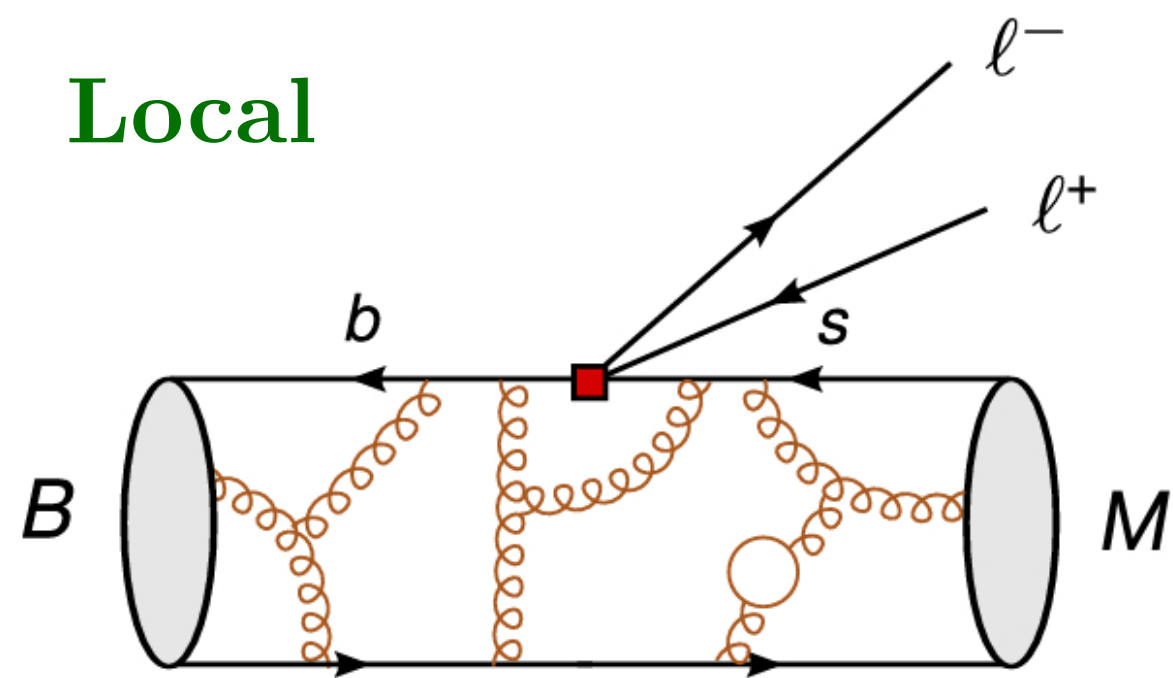
Non-perturbative, long-distance physics ( $q^2$  dependent), **main source of uncertainty**



**Non-Local:**

# Matrix elements - non perturbative/long-distance

Local



$$\mathcal{M}(B \rightarrow M \ell \ell) = \langle M \ell \ell | \mathcal{H}_{\text{eff}} | B \rangle = \mathcal{N} \left[ (\mathcal{A}_V^\mu + \mathcal{H}^\mu) \bar{u}_\ell \gamma_\mu v_\ell + \mathcal{A}_A^\mu \bar{u}_\ell \gamma_\mu \gamma_5 v_\ell + \mathcal{A}_S \bar{u}_\ell v_\ell + \mathcal{A}_P \bar{u}_\ell \gamma_5 v_\ell \right]$$

$$\mathcal{A}_V^\mu = -\frac{2im_b}{q^2} \mathcal{C}_7 \langle M | \bar{s} \sigma^{\mu\nu} q_\nu P_R b | B \rangle + \mathcal{C}_9 \langle M | \bar{s} \gamma^\mu P_L b | B \rangle$$

$$\mathcal{A}_A^\mu = \mathcal{C}_{10} \langle M | \bar{s} \gamma^\mu P_L b | B \rangle + (P_L \leftrightarrow P_R, \mathcal{C}_i \rightarrow \mathcal{C}'_i)$$

$$\mathcal{A}_{S,P} = \mathcal{C}_{S,P} \langle M | \bar{s} P_R b | B \rangle$$

$\langle M | (\dots) | B \rangle$  parameterised by 3(7) form factors for spin 0(1) final state

@high  $q^2$  LQCD

[0] HPQCD, arXiv:1306.2384, 2207.12468

[1] Fermilab, MILC, arXiv:1509.06235

[2] Horgan, Liu, Meinel, Wingate, arXiv:1310.3722, arXiv:1501.00367

@low  $q^2$  Continuum methods (LCSR)

[0] Ball, Zwicky, arXiv:hep-ph/0406232

[1] Khodjamirian, Mannel, Pivovarov, Wang, arXiv:1006.4945

[2] Bharucha, Straub, Zwicky, arXiv:1503.05534

[3] Gubernari, Kokulu, vanDyk, arXiv:1811.00983

@low+high  $q^2$  Combined fit continuum

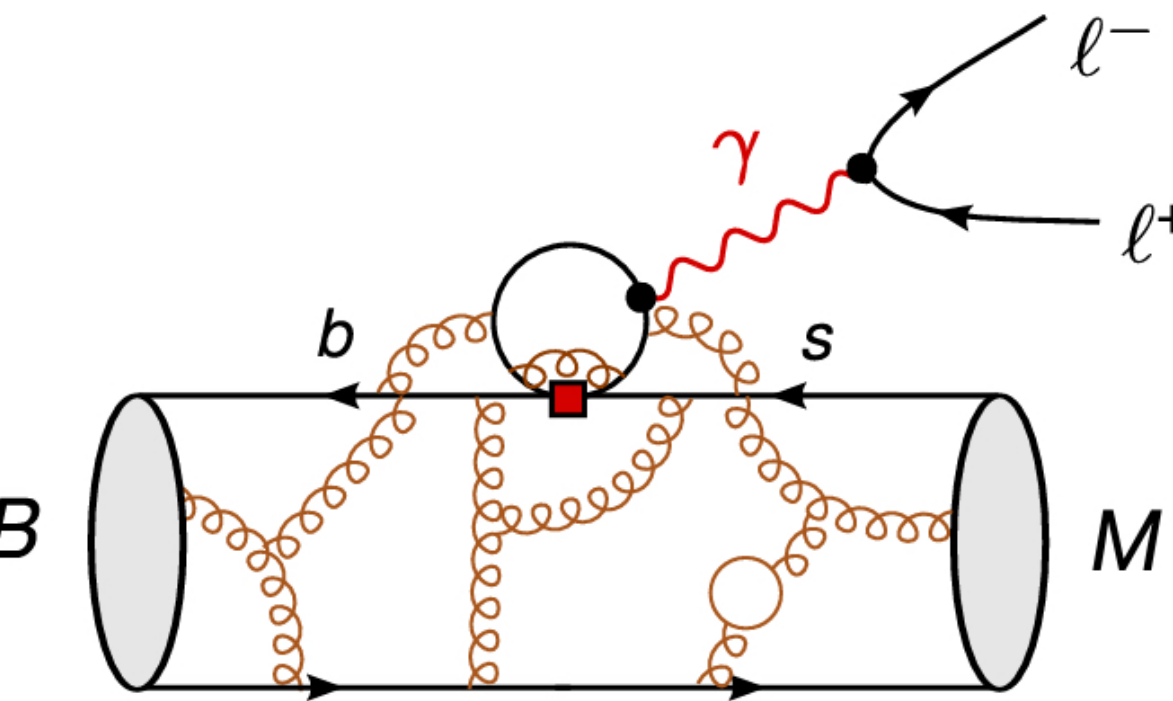
[0] Altmannshofer, Straub, arXiv:1411.3161

[1] Bharucha, Straub, Zwicky, arXiv:1503.05534

[2] Gubernari, Kokulu, vanDyk, arXiv:1811.

+ LQCD/LQCD

Non-local



Form factors determined with Continuum methods (low  $q^2$ , Light-cone sum rules)

$$\mathcal{H}^\mu = \frac{-16i\pi^2}{q^2} \sum_{i=1, \dots, 6, 8} \mathcal{C}_i \int dx^4 e^{iq \cdot x} \langle M | T \{ j_{\text{em}}^\mu(x), O_i(0) \} | B \rangle, \quad j_{\text{em}}^\mu = \sum_q Q_q \bar{q} \gamma^\mu q$$

# Theoreticians wish-list

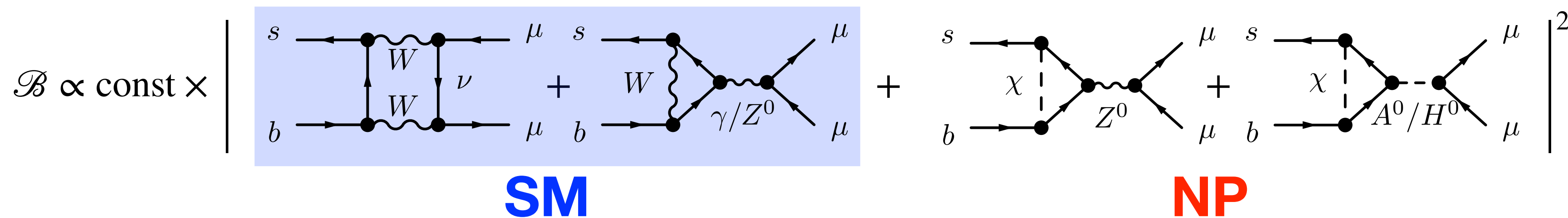
- ▶ Explicit numerical experimental likelihoods, e.g. to avoid digitisation of  $B_{s,d} \rightarrow \mu\mu$  contour plots
- ▶ Measurements of other LFU observables, like e.g.  $R_\phi$  or  $Q_{4,5}/D_{P'_{4,5}}$
- ▶  $B \rightarrow K^* e^+ e^-$  angular analysis
- ▶ CP asymmetries to constrain imaginary parts of Wilson coefficients
- ▶ **Experimental updates and new measurements**, not only from **LHCb** but also from **ATLAS** and **CMS**, and eventually from **Belle II**

# Purely leptonic channels ( $B_{(s)}^0 \rightarrow \mu\mu$ ) at LHCb

◆  $B_{(s)}^0 \rightarrow \mu^+\mu^-$  is a golden channel for LHCb:

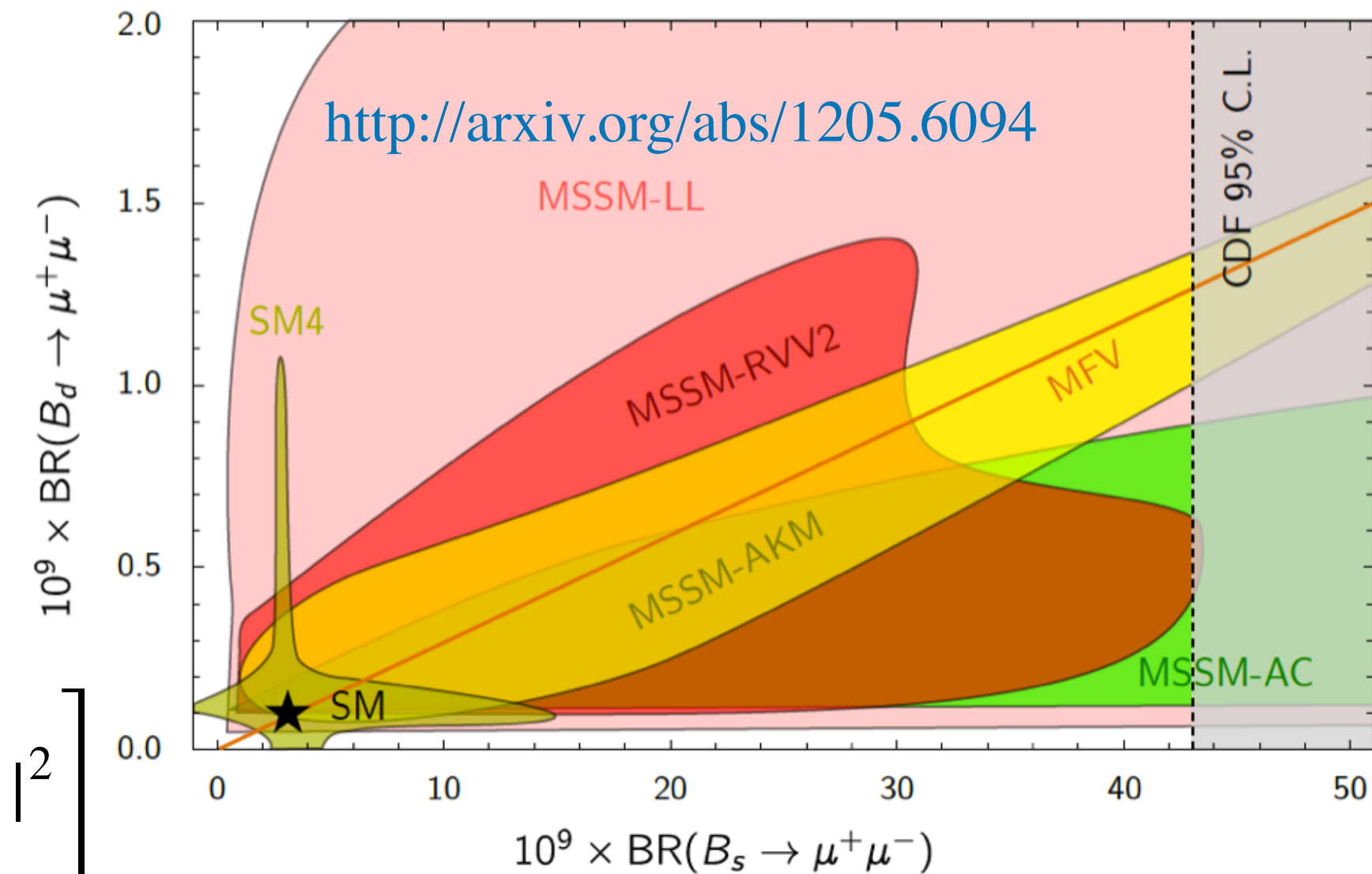
- ▶ CKM suppressed, loop suppressed and helicity suppressed
- ▶ Powerful probe of models with new enhanced (pseudo) scalar interaction, e.g SUSY at high  $\tan(\beta)$  ( $\mathcal{B} \propto \tan(\beta)^6/m_A^4$ )

$$\mathcal{B} = \frac{G_F^2 \alpha^2}{64\pi^3} f_{B_s}^2 m_{B_s}^3 |V_{tb} V_{tq}|^2 \tau_{B_s} \sqrt{1 - \frac{4m_\mu^2}{m_{B_s}^2}} \left[ \left(1 - \frac{4m_\mu^2}{M_B^2}\right) |C_S - C'_S|^2 + |(C_P - C'_P) + \frac{2m_\mu}{M_B}(C_{10} - C'_{10})|^2 \right]$$



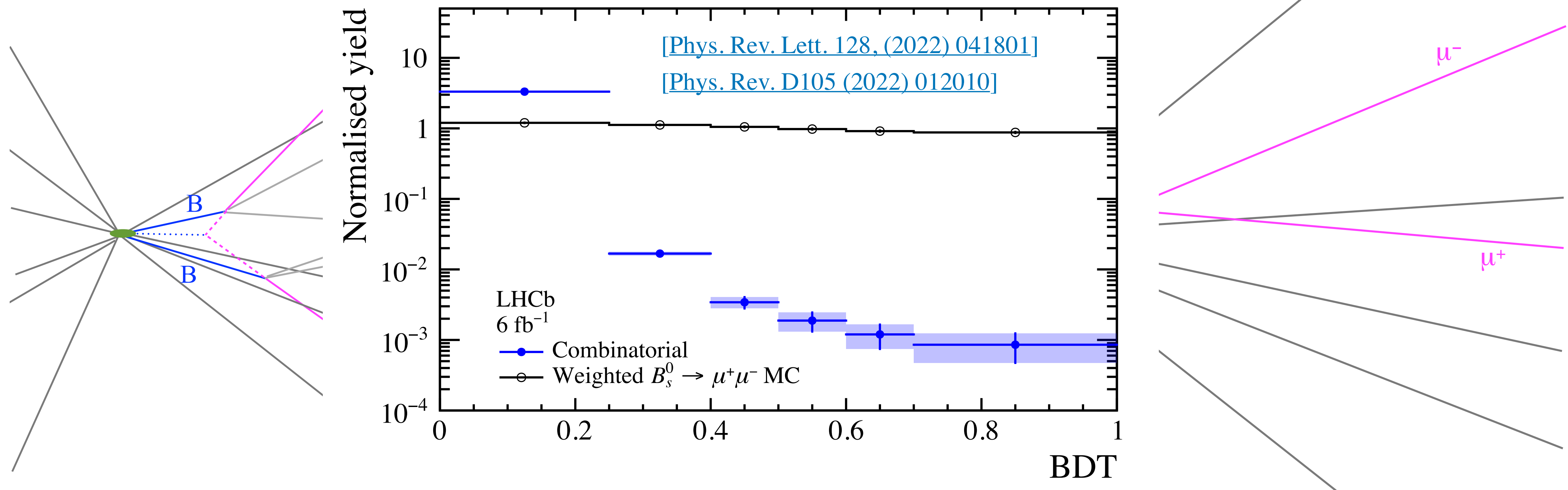
- ▶ Branching fraction predicted precisely in the SM:
- ▶  $\mathcal{B}(B_s^0 \rightarrow \mu^+\mu^-)_{SM} = (3.66 \pm 0.14) \times 10^{-9}$
- ▶  $\mathcal{B}(B^0 \rightarrow \mu^+\mu^-)_{SM} = (1.03 \pm 0.05) \times 10^{-10}$

Main uncertainties from CKM element  
Decay constant  $f_{B_s}$  from Lattice QCD



# Purely leptonic channels ( $B_{(s)}^0 \rightarrow \mu\mu$ ) at LHCb

- Main background due to **combinatorics of two  $\mu$ 's**.
- Signal/Background separation obtained through  $m_{\mu\mu}$  and BDT trained on two body kinematics and topology



# Purely leptonic channels ( $B_{(s)}^0 \rightarrow \mu\mu$ ) at LHCb peaking bkg

- The most sensitive region is polluted by both combinatorial background and exclusive channels  $B_{(s)}^0 \rightarrow h^+h'^-$

## Semileptonic decays

eventually with one hadron misidentified as muon: estimated with large samples of MC, and normalising to  $B^\pm \rightarrow J/\psi K^\pm$

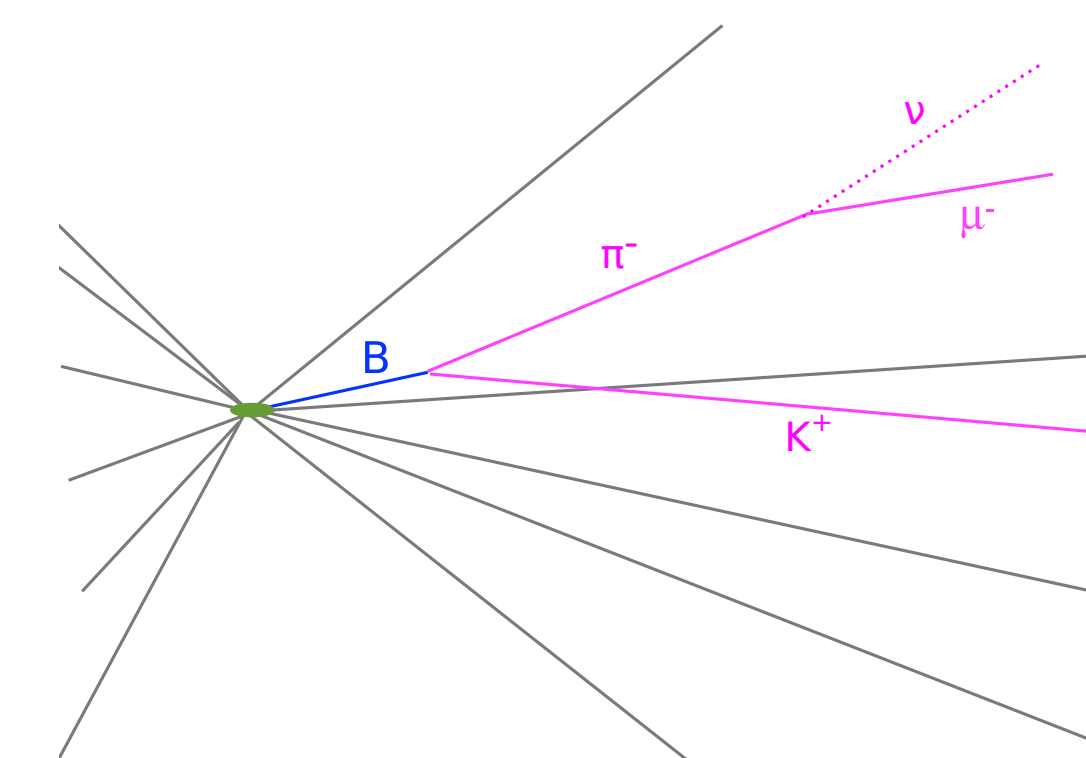
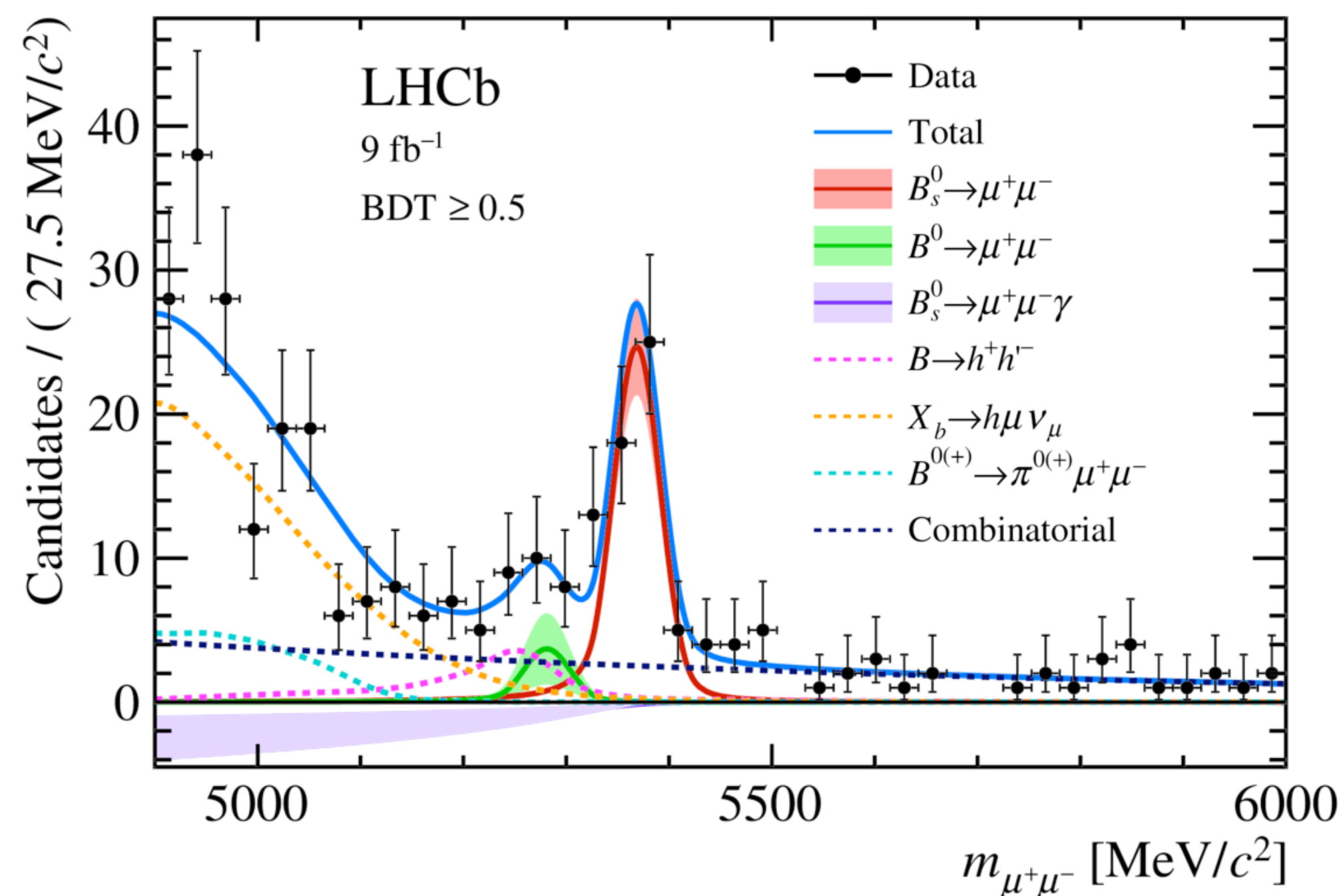
## Combinatorial background

from  $b\bar{b} \rightarrow \mu^+\mu^-X$ :

an exponential shape is used, the normalisation is a free parameter of the invariant mass fit

## $B_{(s)}^0 \rightarrow h^+h'^-$ decays ( $h=K,\pi$ )

both hadrons misidentified as muons (prob  $\sim 2 \times 10^{-5}$ ): this background peaks in the  $B^0$  signal region; it is estimated from not misidentified events, and using PID efficiencies from data



$$\mathcal{B}(B \rightarrow hh') \sim 10^{-5}$$

“LHCb is not a continuous tracker”,  
 kink of track Identification not trivial



# Status LHCb on angular analyses

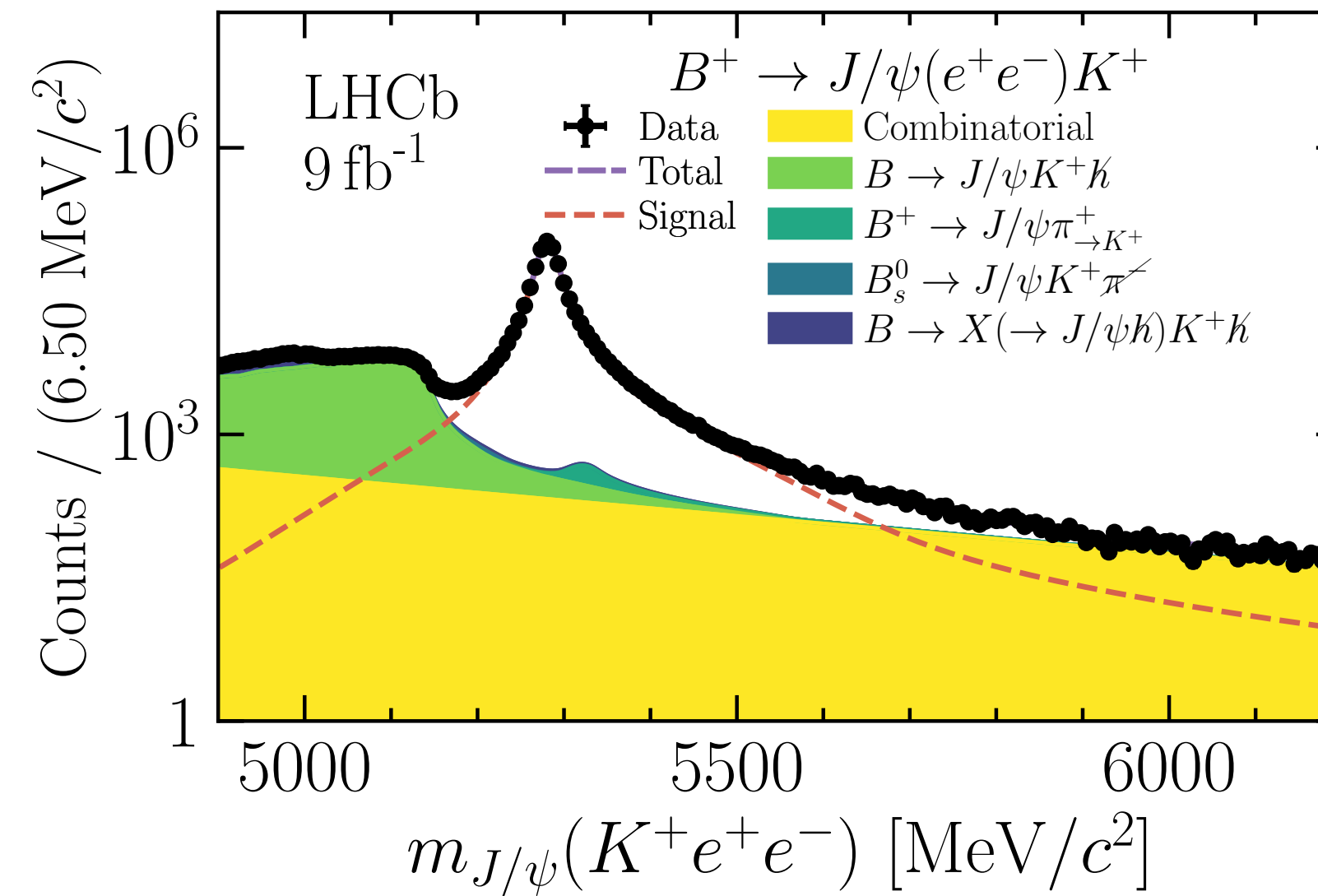
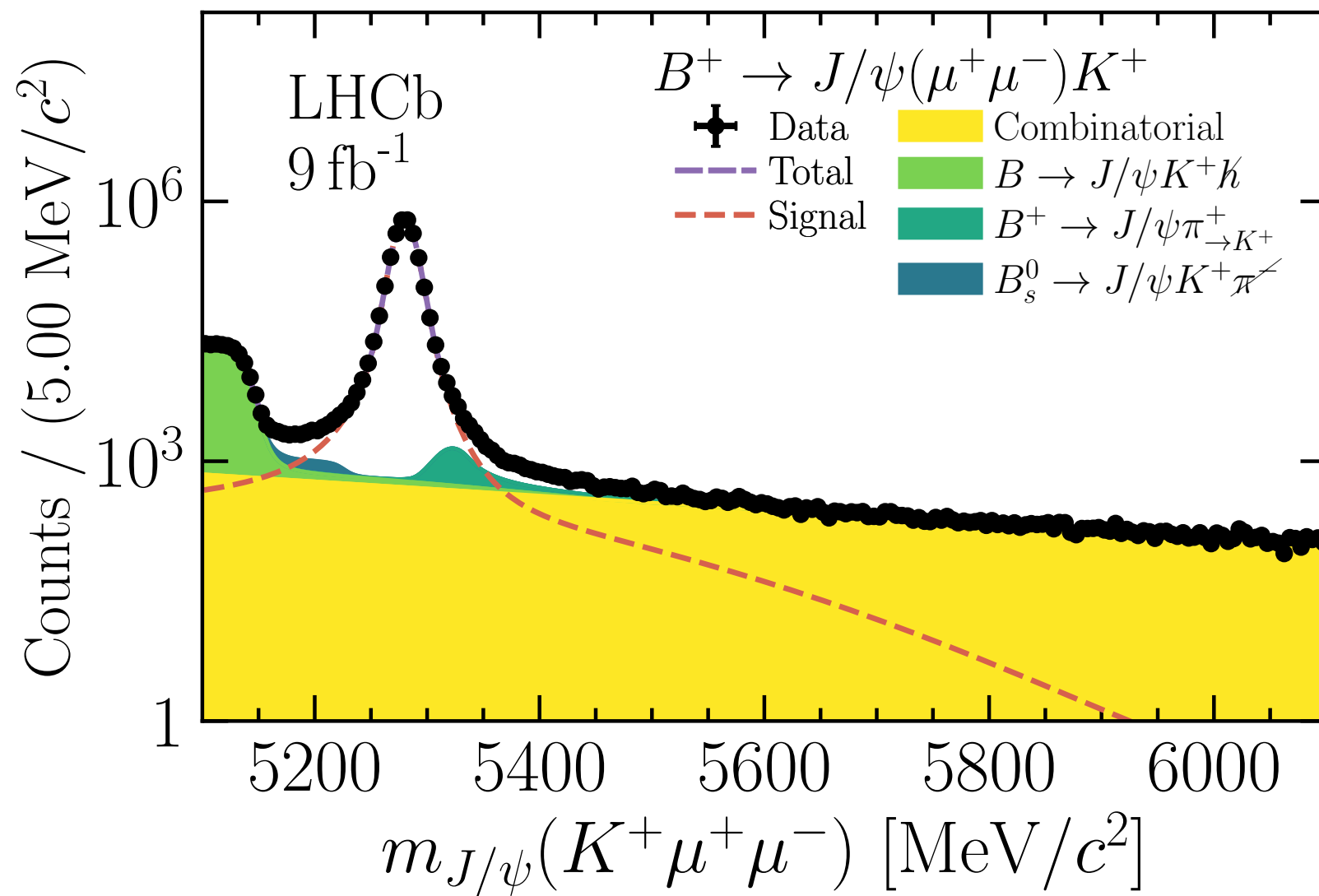
Decay Mode	Status & Approach
$B^0 \rightarrow K^{*0} \mu^+ \mu^-$	2011-2016, 8 (+2 wide) $q^2$ bins. CP-averaged observable only. CP-asymmetry only 2011-2012. <b>Tension with SM</b>
$B^+ \rightarrow K^{*+} \mu^+ \mu^-$	2011-2018 CP-averaged observable only. <b>Local tension with SM as in <math>K^{*0}</math> mode</b>
$B^{0(+)} \rightarrow K^{0(+)} \mu^+ \mu^-$	2011-2012, 17 bins in $B^+$ , 5 bins in $B^0$ , Afb and Fh SM-like
$\Lambda_b \rightarrow \Lambda \mu \mu$	2011-2016: Moments analysis for 34 observables No CPV observed. Only high $q^2$ . Consistent with SM.
$B_s^0 \rightarrow \phi \mu \mu$	2011-2018, 6 $q^2$ -bins. Untagged $B_s$ , SM-like

# Cross-check resonant modes: mass fits

Partially reconstructed

$B_s \rightarrow J/\psi K^+ \pi^-$

Mis-ID  $B^+ \rightarrow J/\psi \pi^+_{\rightarrow K^+}$



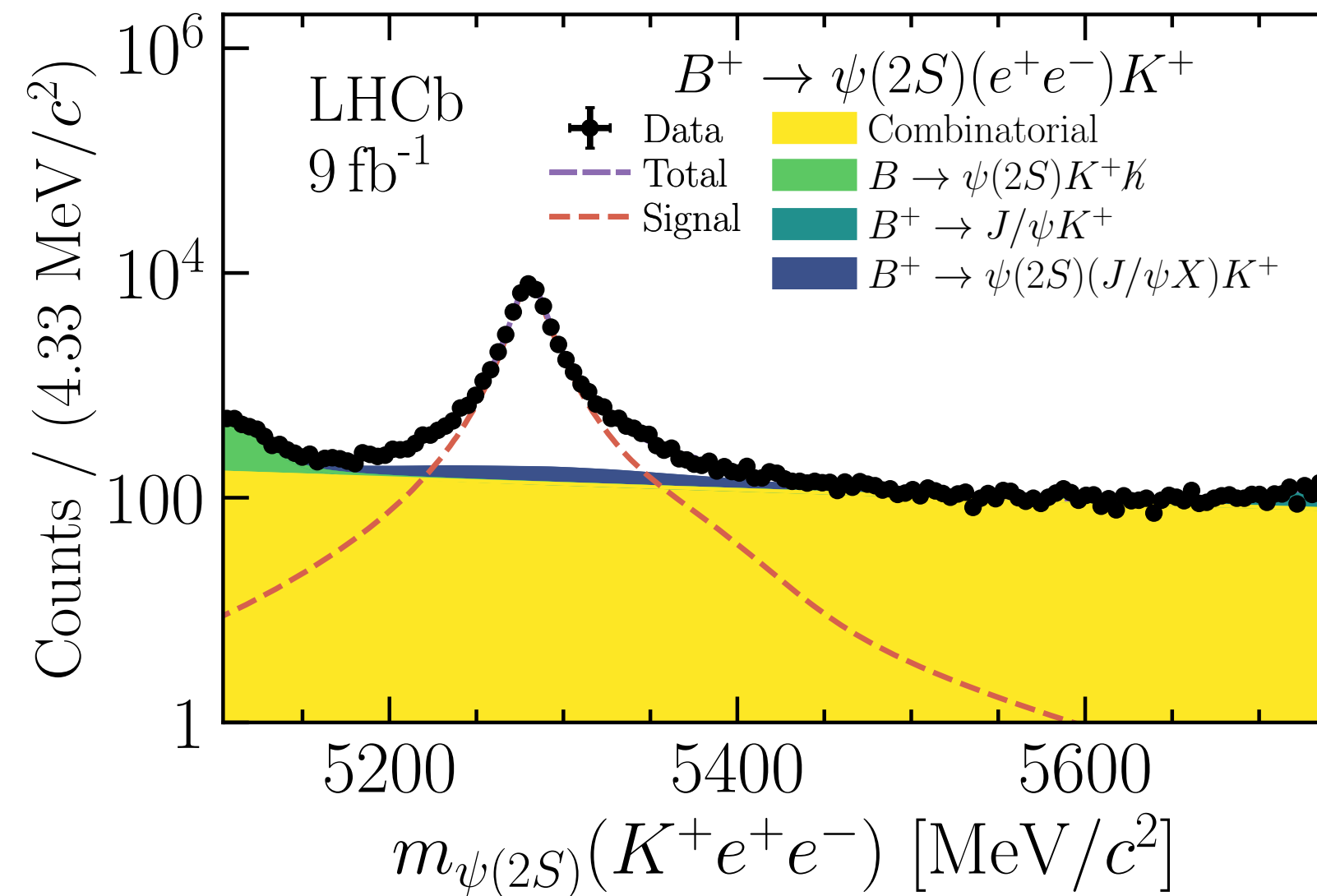
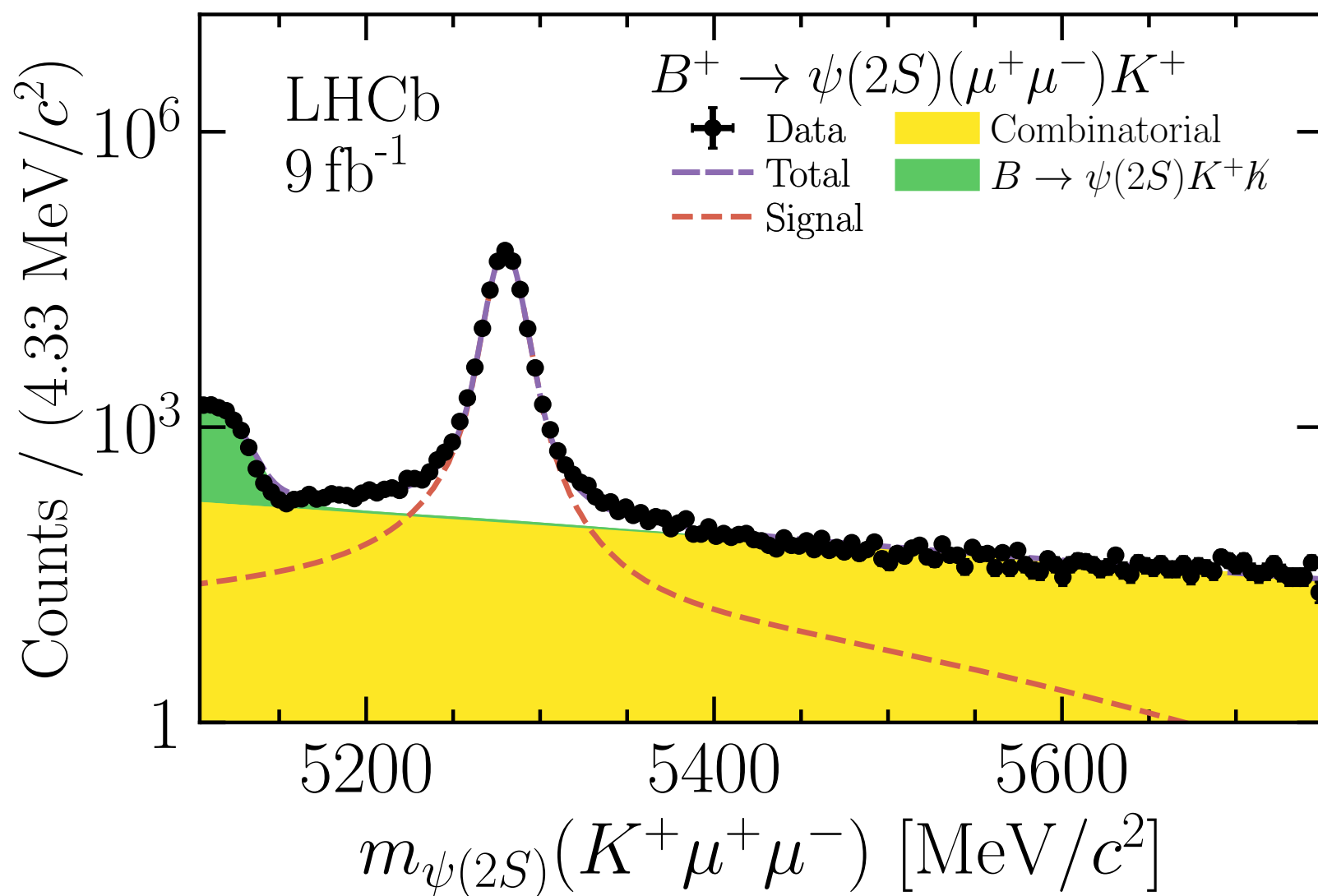
Partially reconstructed

$B_s \rightarrow J/\psi K^+ \pi^-$

Mis-ID  $B^+ \rightarrow J/\psi \pi^+_{\rightarrow K^+}$

Partially reconstructed (charmonia cascade)

Partially reconstructed



Partially reconstructed

Leaking  $B^+ \rightarrow J/\psi K^+$

$B^+ \rightarrow (\psi(2S) \rightarrow J/\psi X)K^+$

# Cross-check resonant modes: mass fits

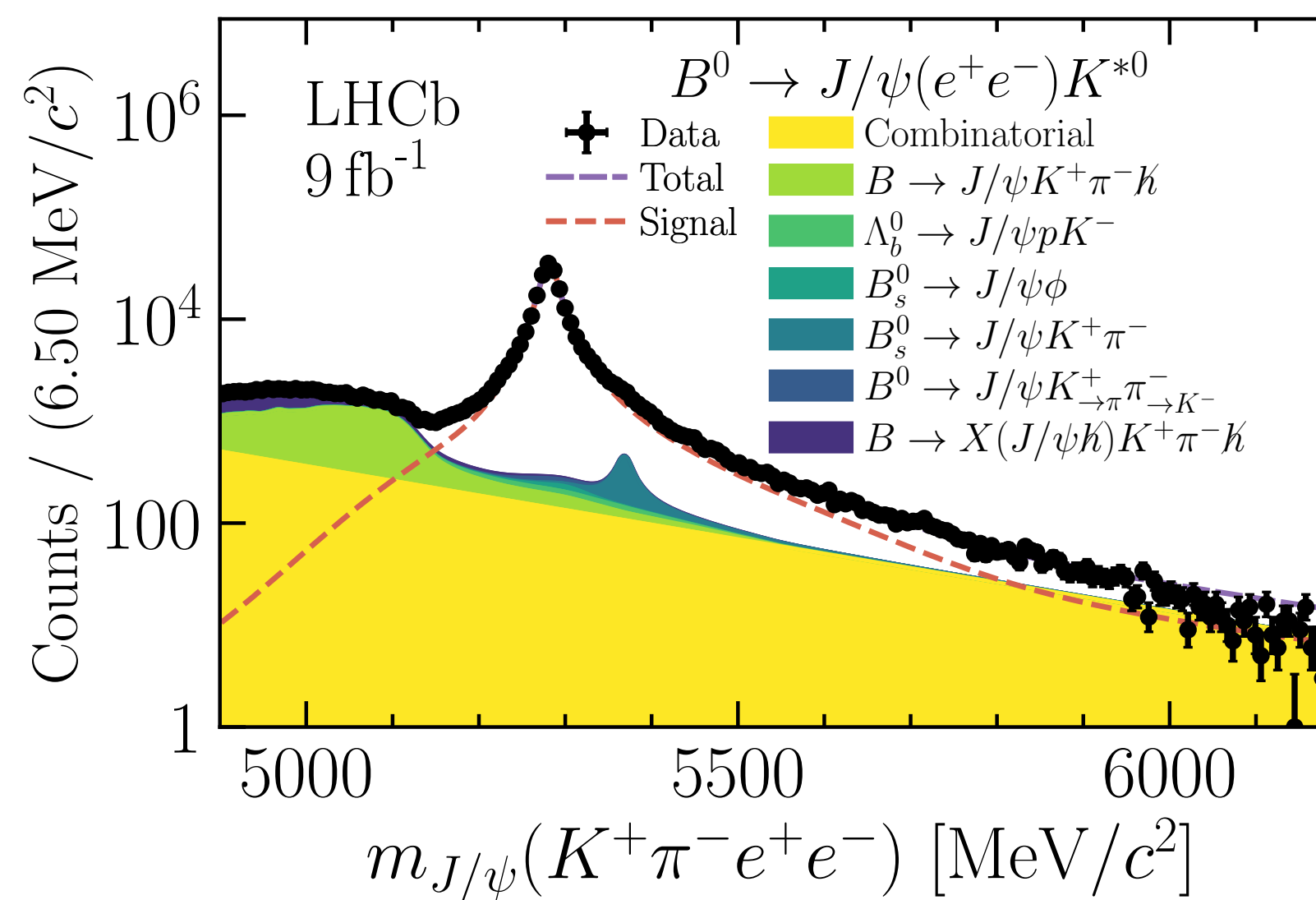
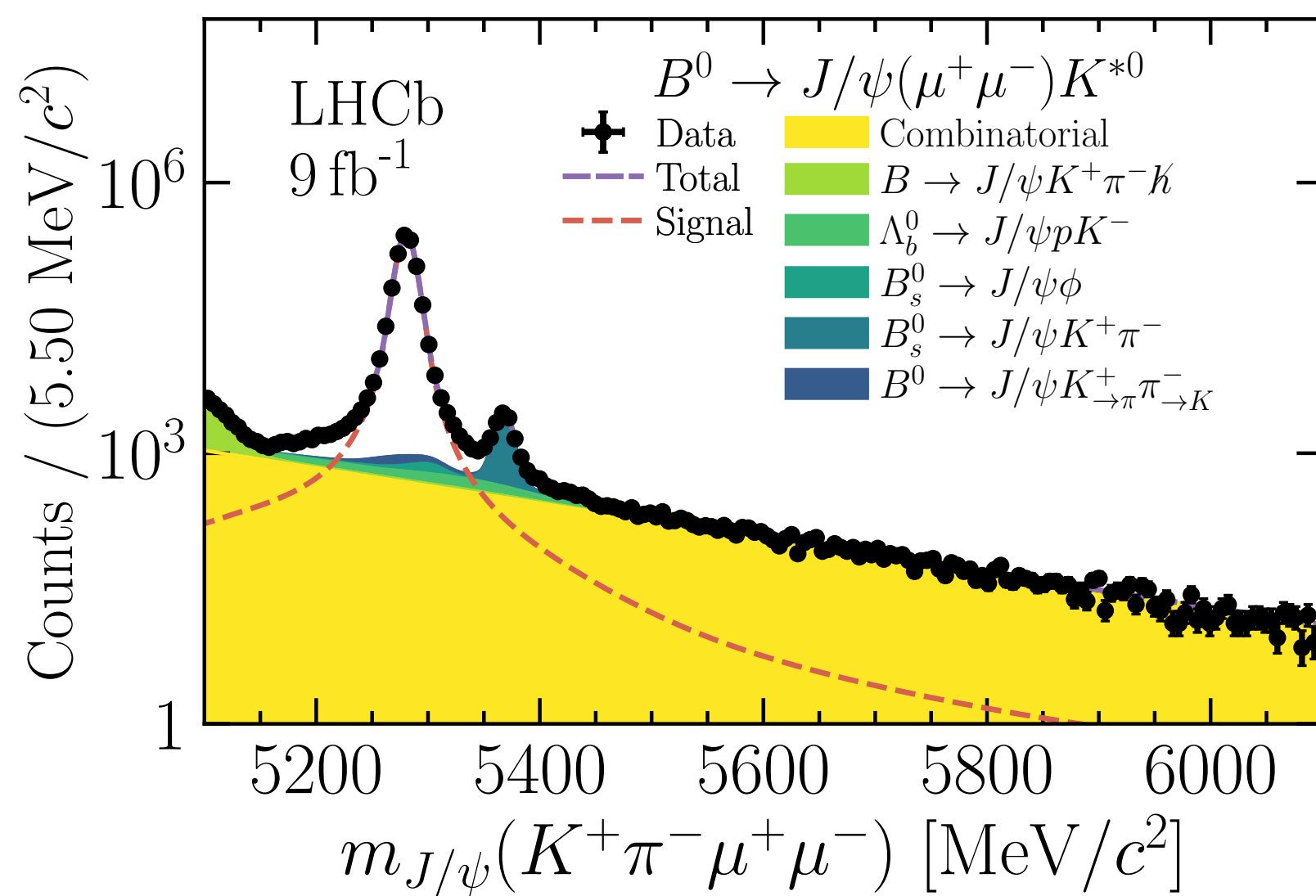
Partially reconstructed

$B_s \rightarrow J/\psi K^{*0}$

Hadronic misID ( $\Lambda_b^0$ )

Hadronic misID ( $B_s^0$ )

Double misID  $K \leftrightarrow \pi$



Partially reconstructed

$B_s \rightarrow J/\psi K^{*0}$

Hadronic misID ( $\Lambda_b^0$ )

Hadronic misID ( $B_s^0$ )

Double misID  $K \leftrightarrow \pi$

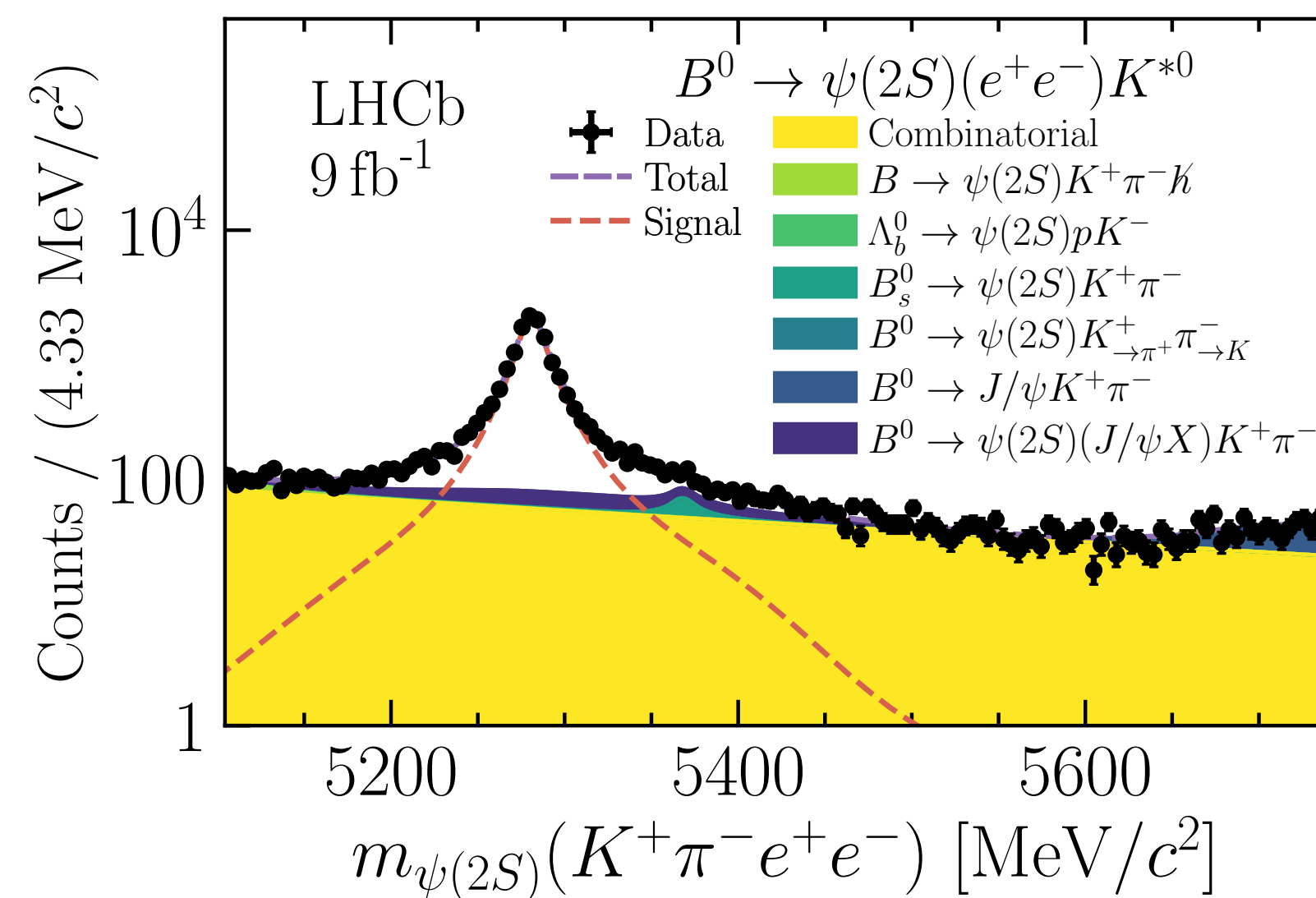
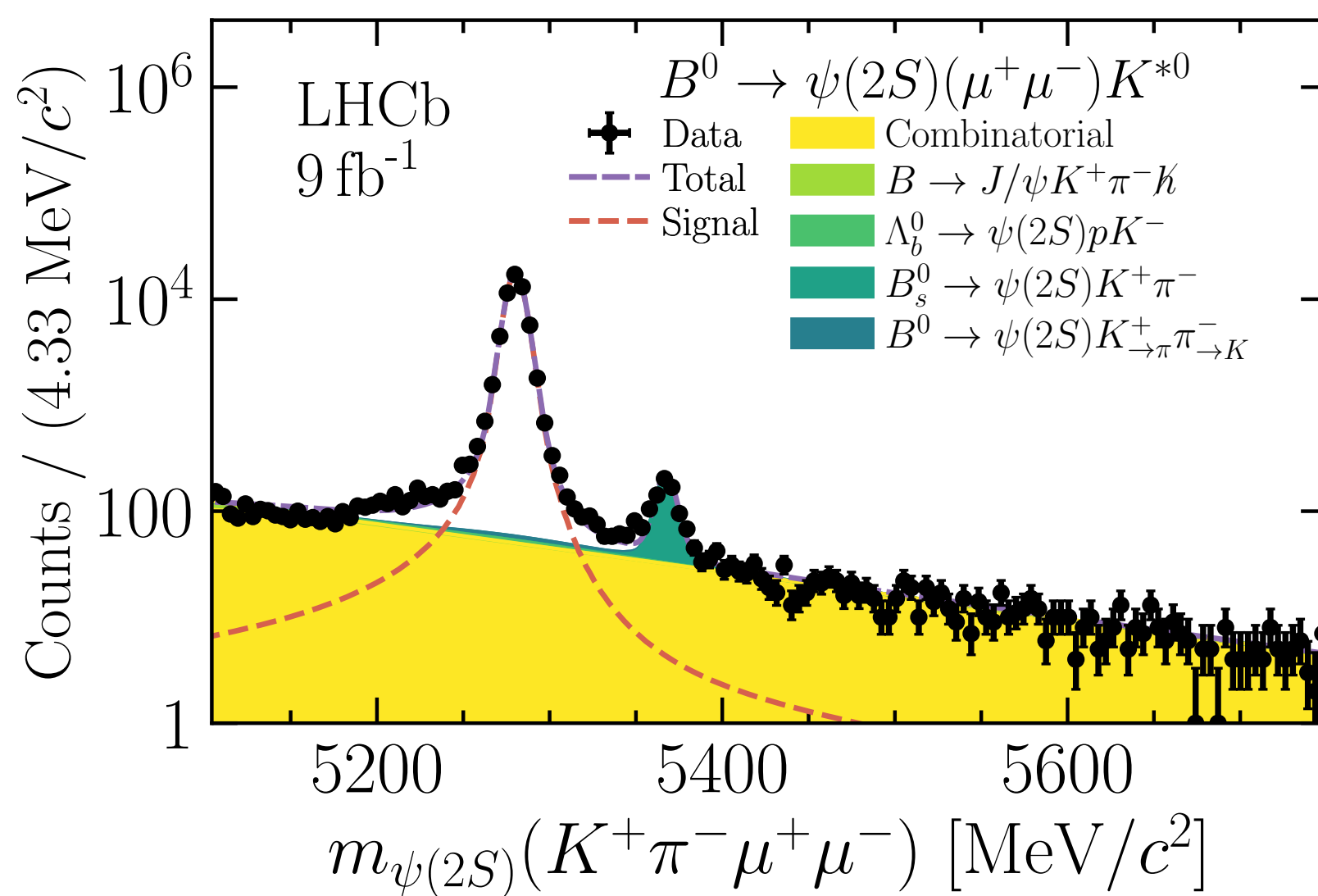
Partially reconstructed (charmonia cascade)

Partially reconstructed

Hadronic misID ( $\Lambda_b^0$ )

$B_s \rightarrow \psi(2S) K^{*0}$

Double misID  $K \leftrightarrow \pi$



Partially reconstructed

$B_s \rightarrow \psi(2S) K^{*0}$

Hadronic misID ( $\Lambda_b^0$ )

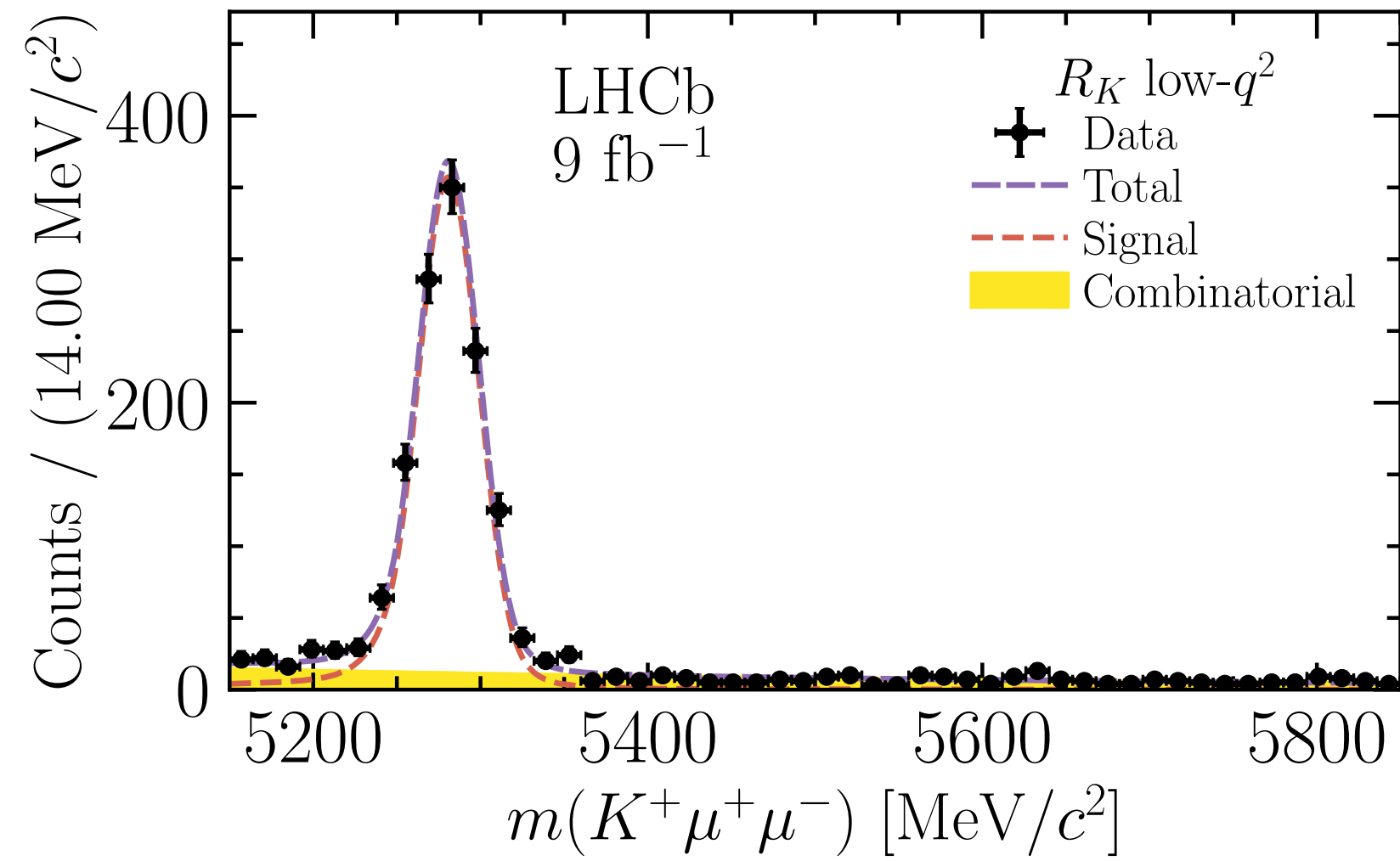
Double misID  $K \leftrightarrow \pi$

Leaking  $B^+ \rightarrow J/\psi K^+$

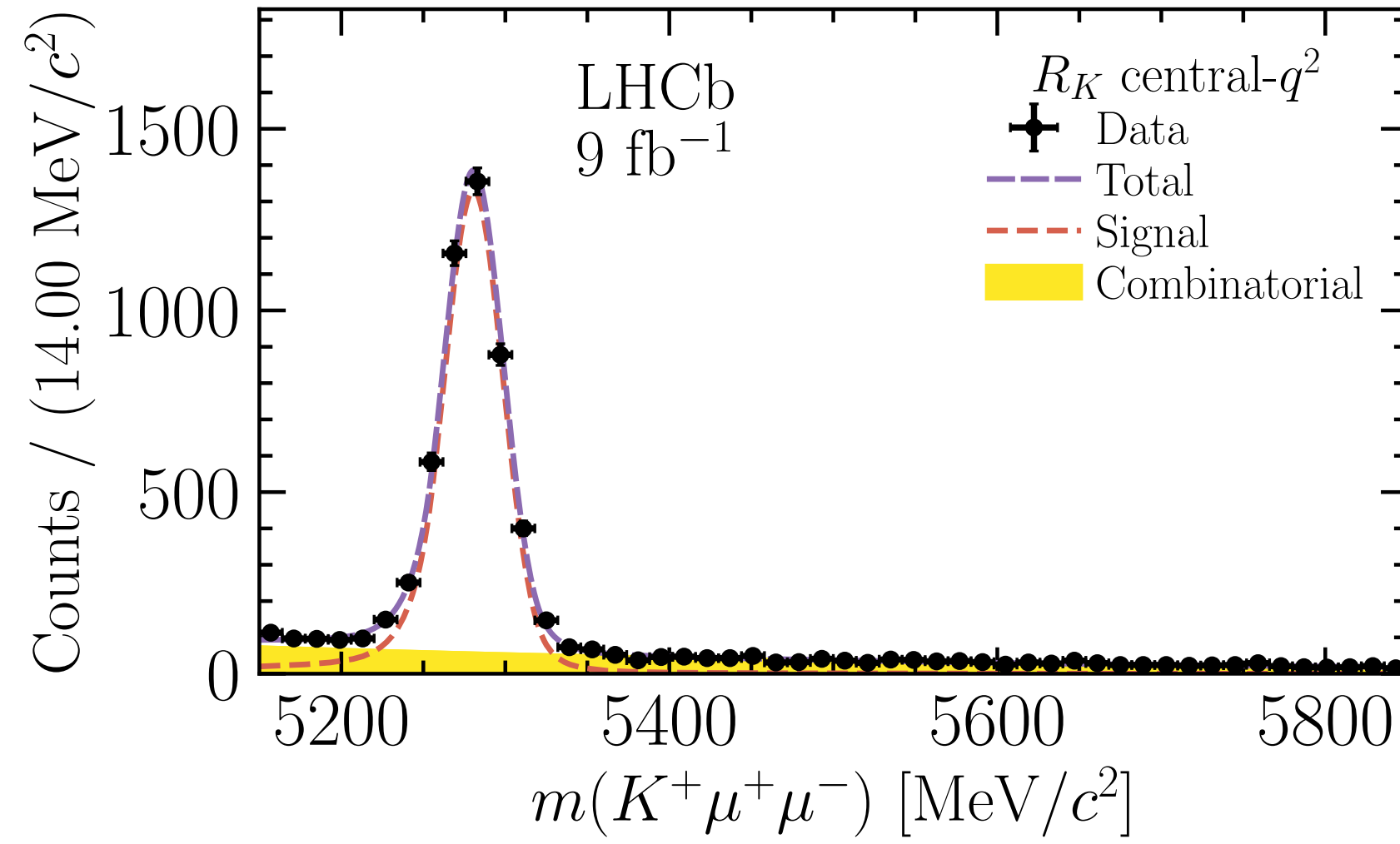
$B^+ \rightarrow (\psi(2S) \rightarrow J/\psi X) K^+$

# Mass fit to rare mode muons: simultaneous fit $R_{K,K^*0}$

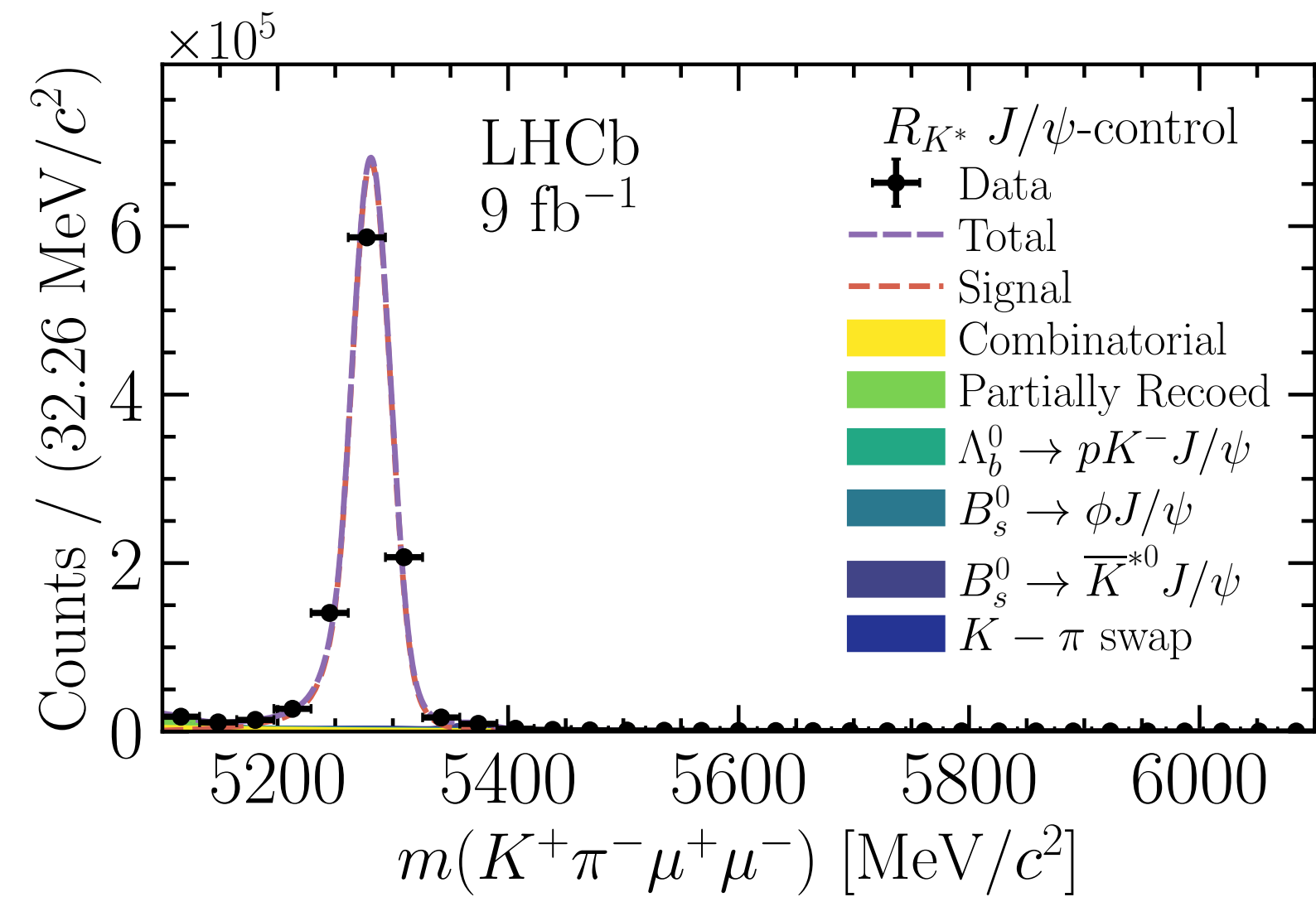
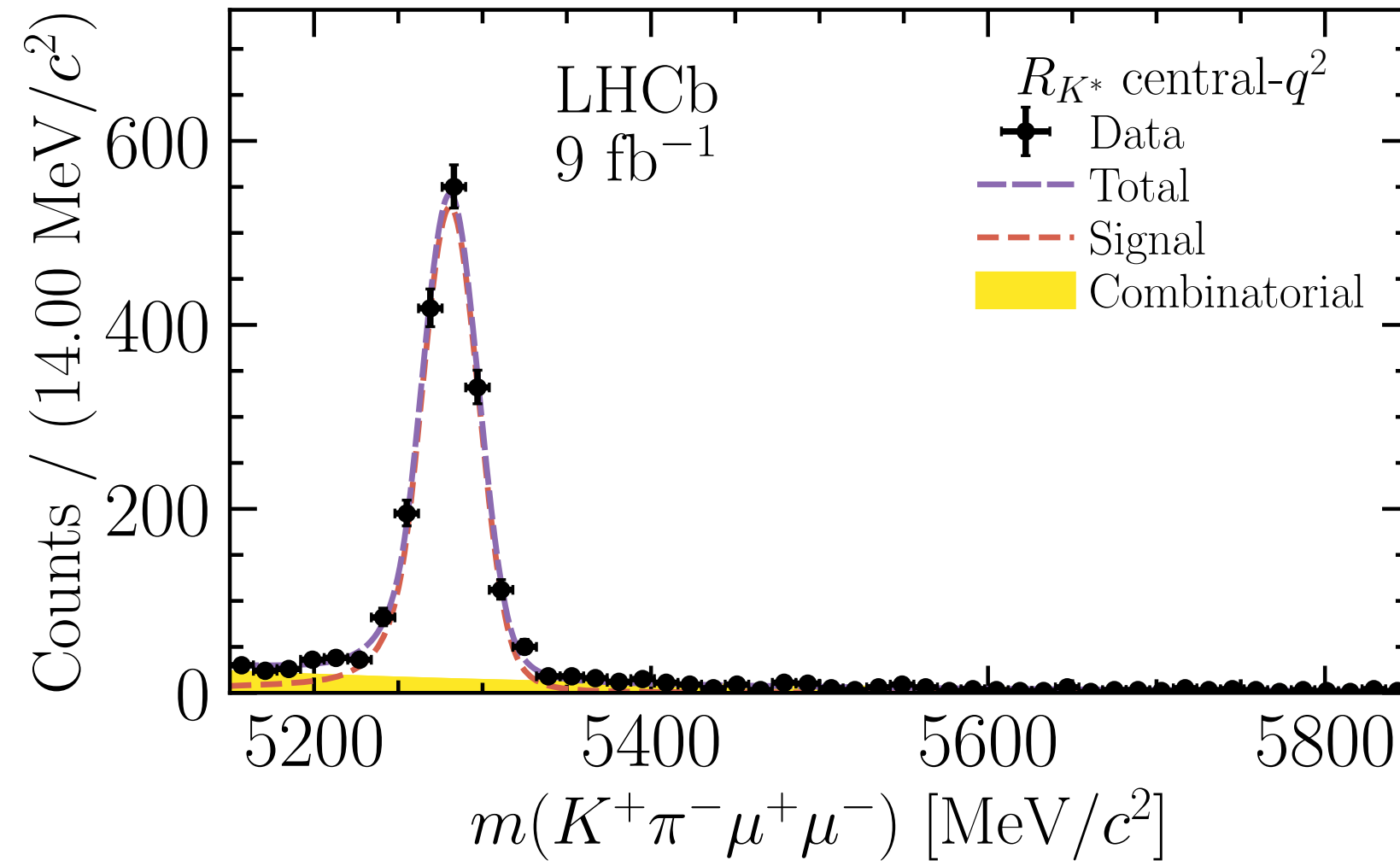
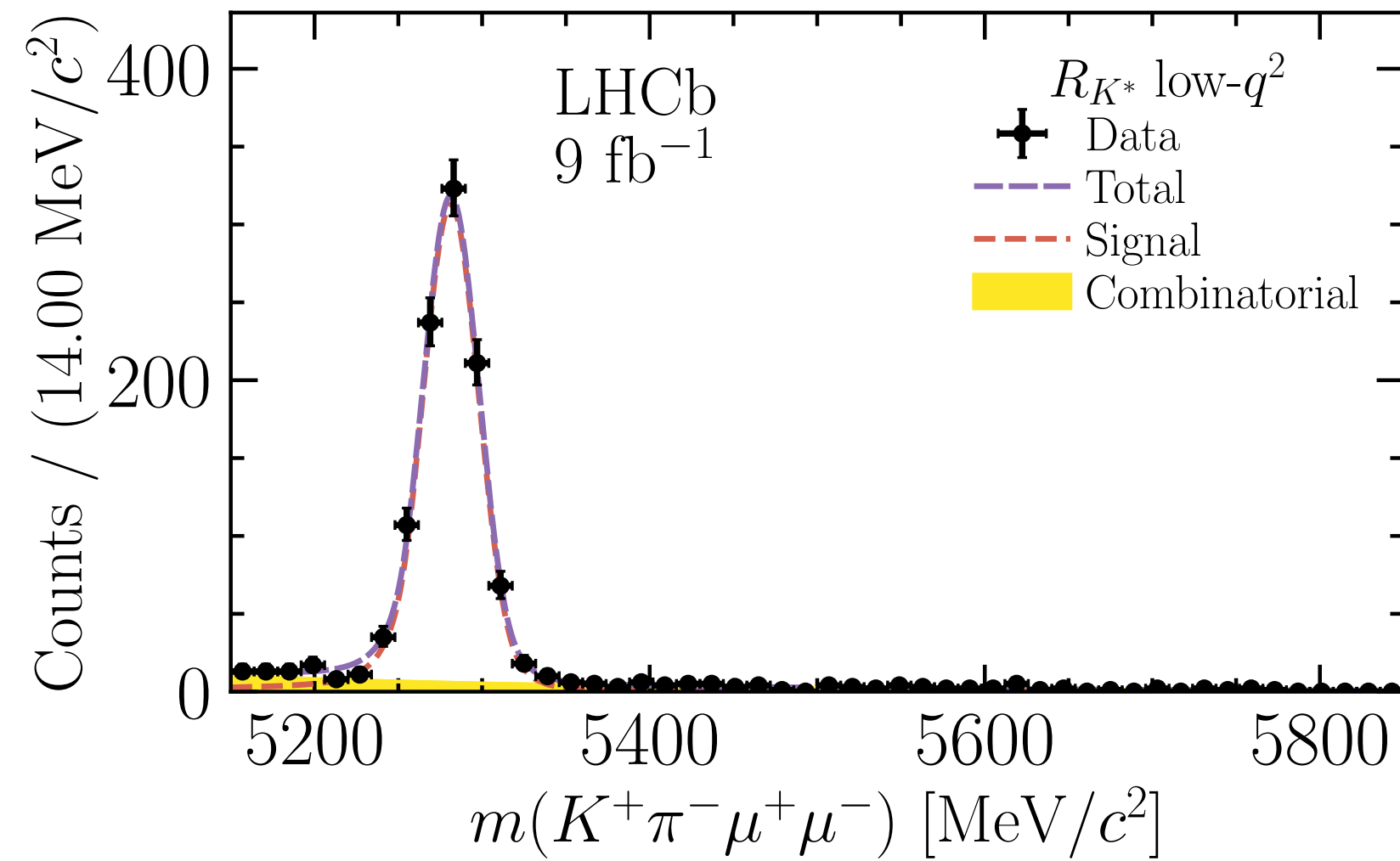
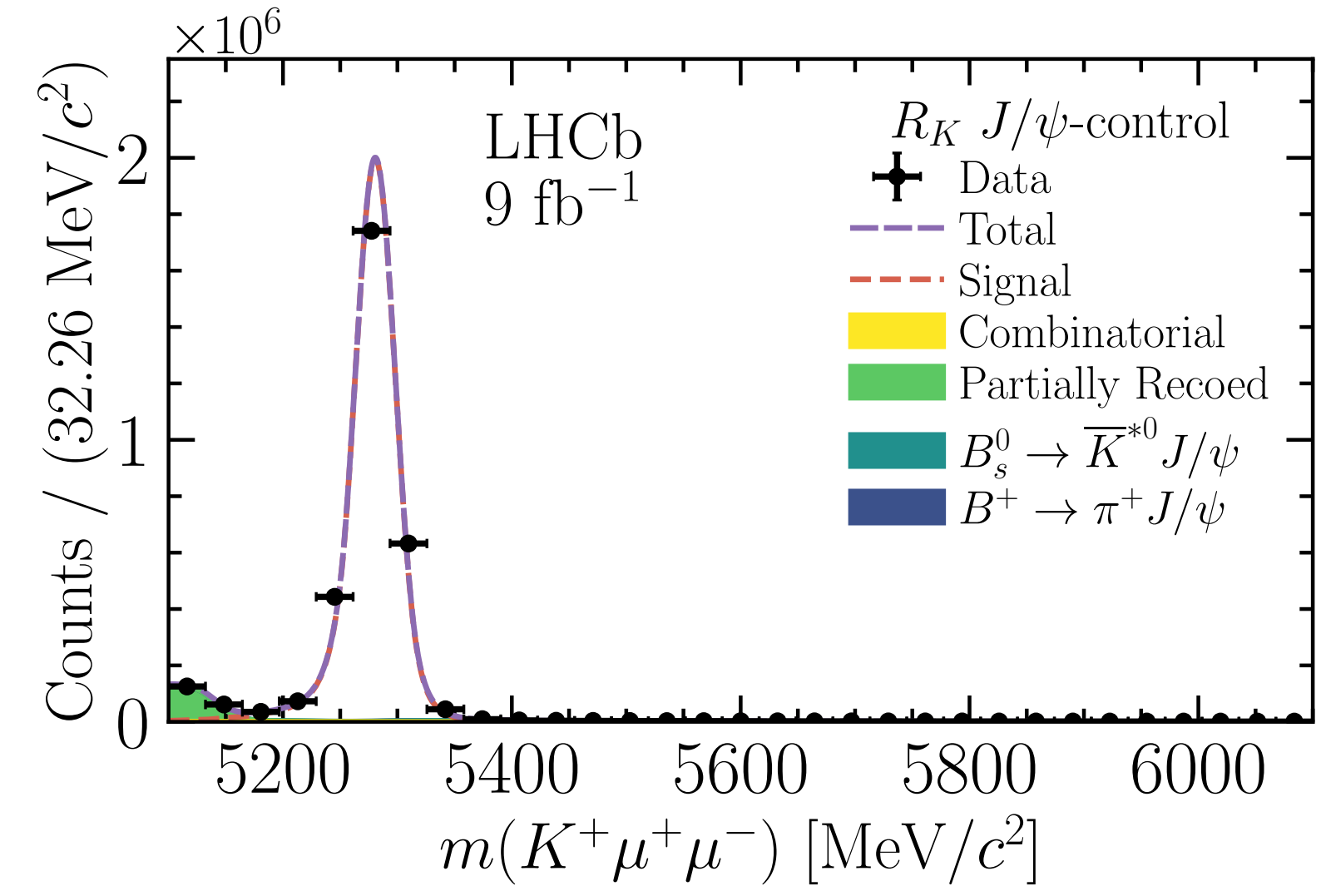
low- $q^2$



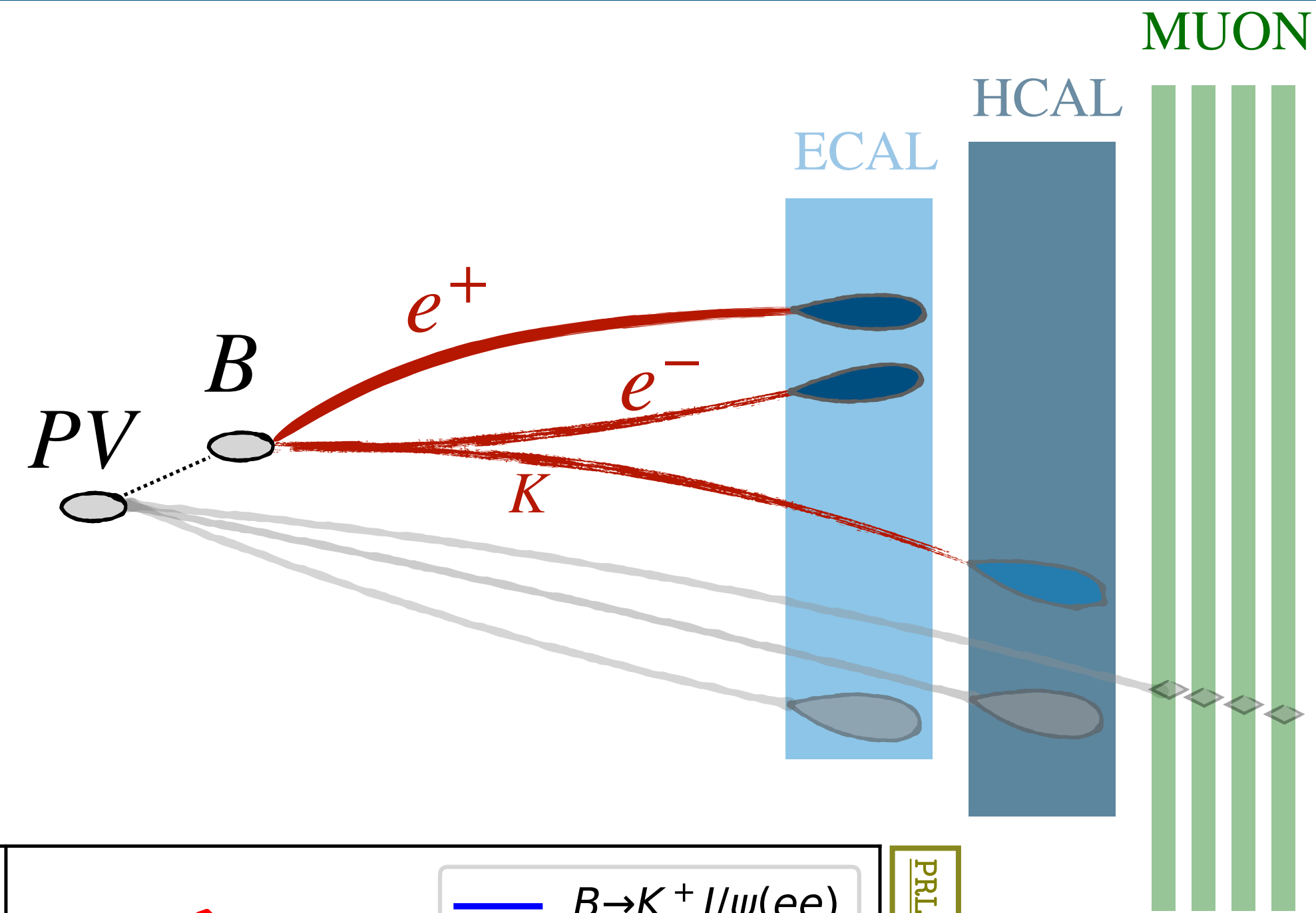
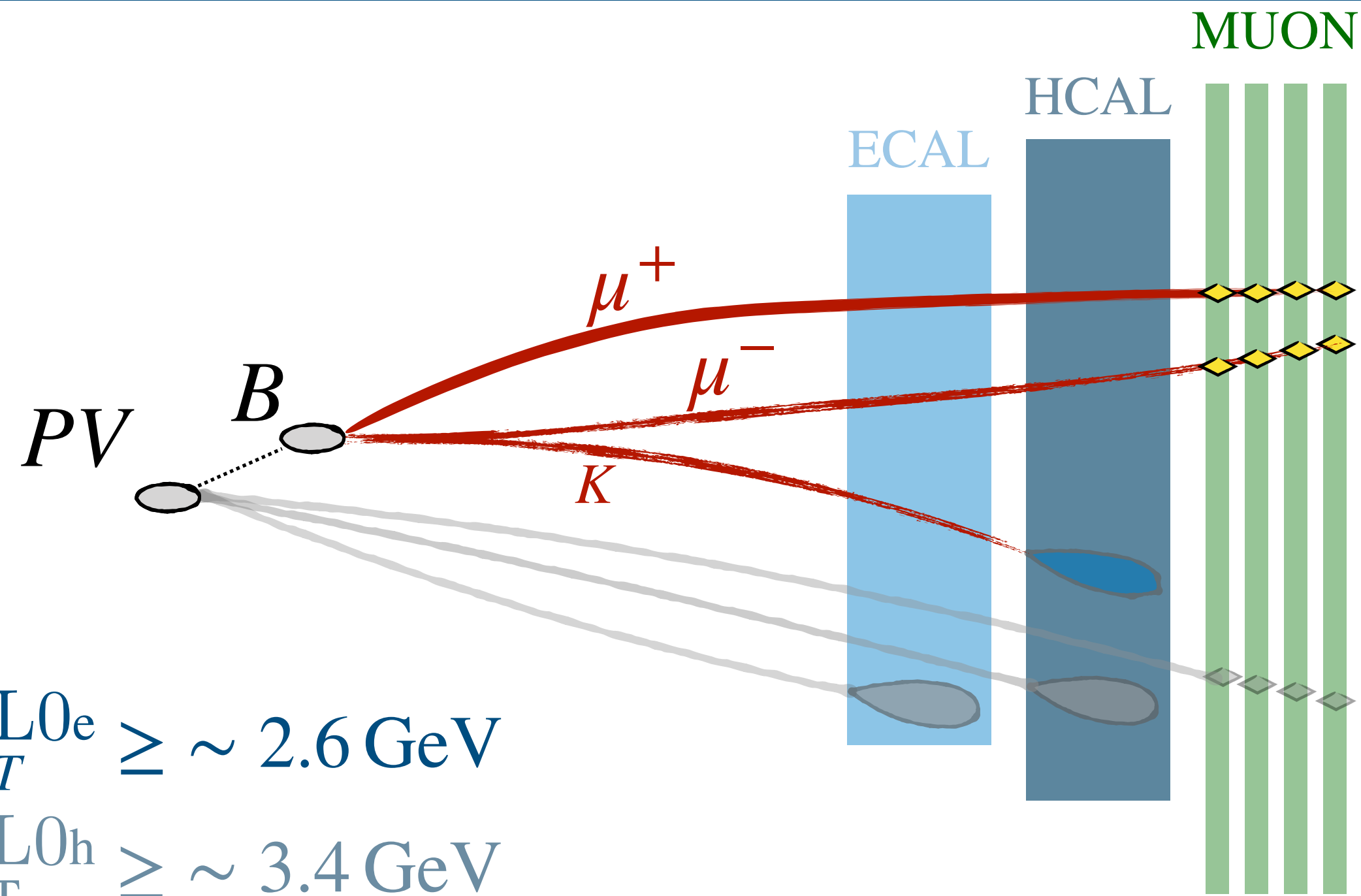
central- $q^2$



resonant- $J/\psi$



# Impact of hardware trigger in Run1/2



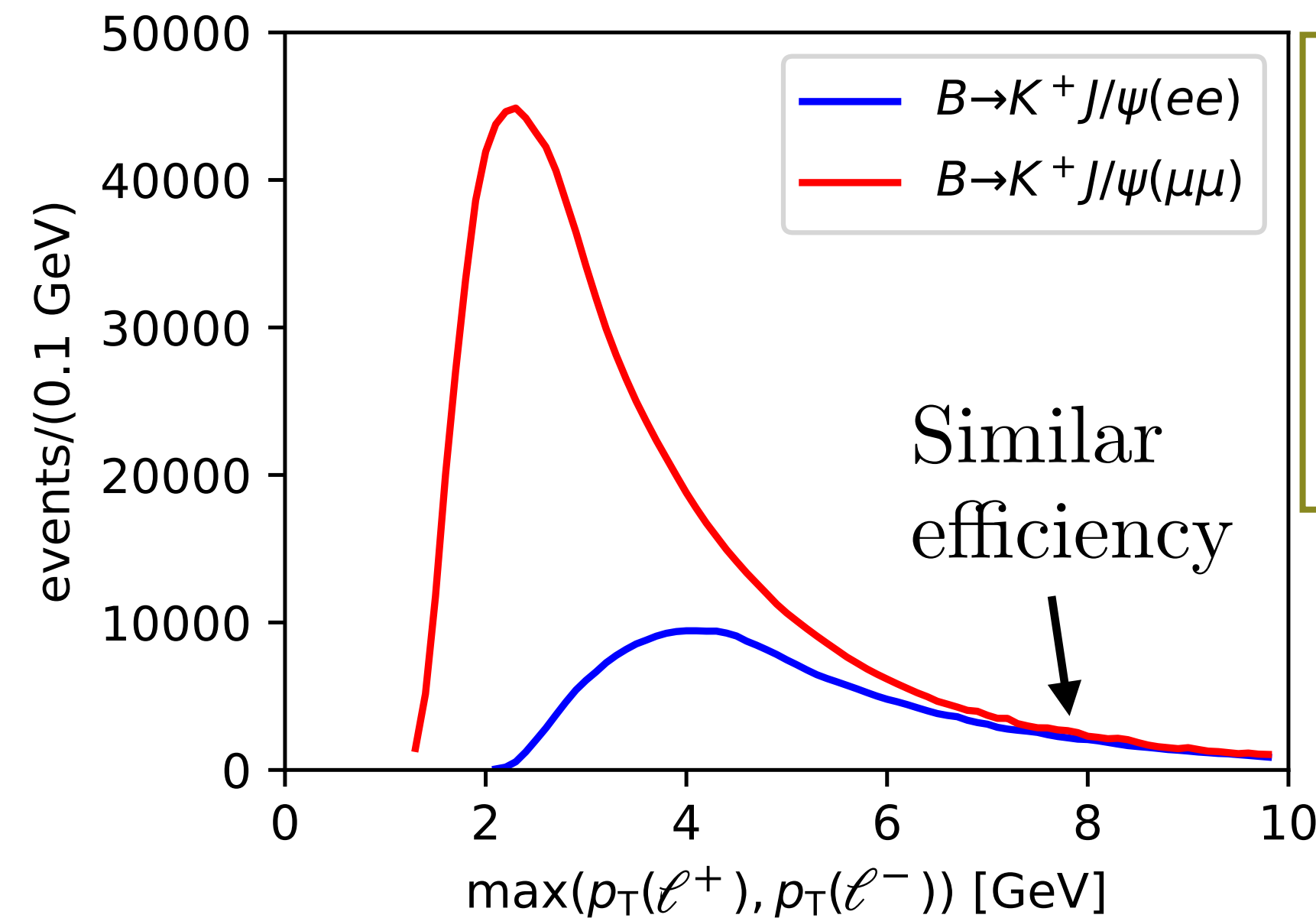
$$E_T^{L0e} \geq \sim 2.6 \text{ GeV}$$

$$E_T^{L0h} \geq \sim 3.4 \text{ GeV}$$

$$p_T^{L0\mu} \geq \sim 1.4 \text{ GeV}$$

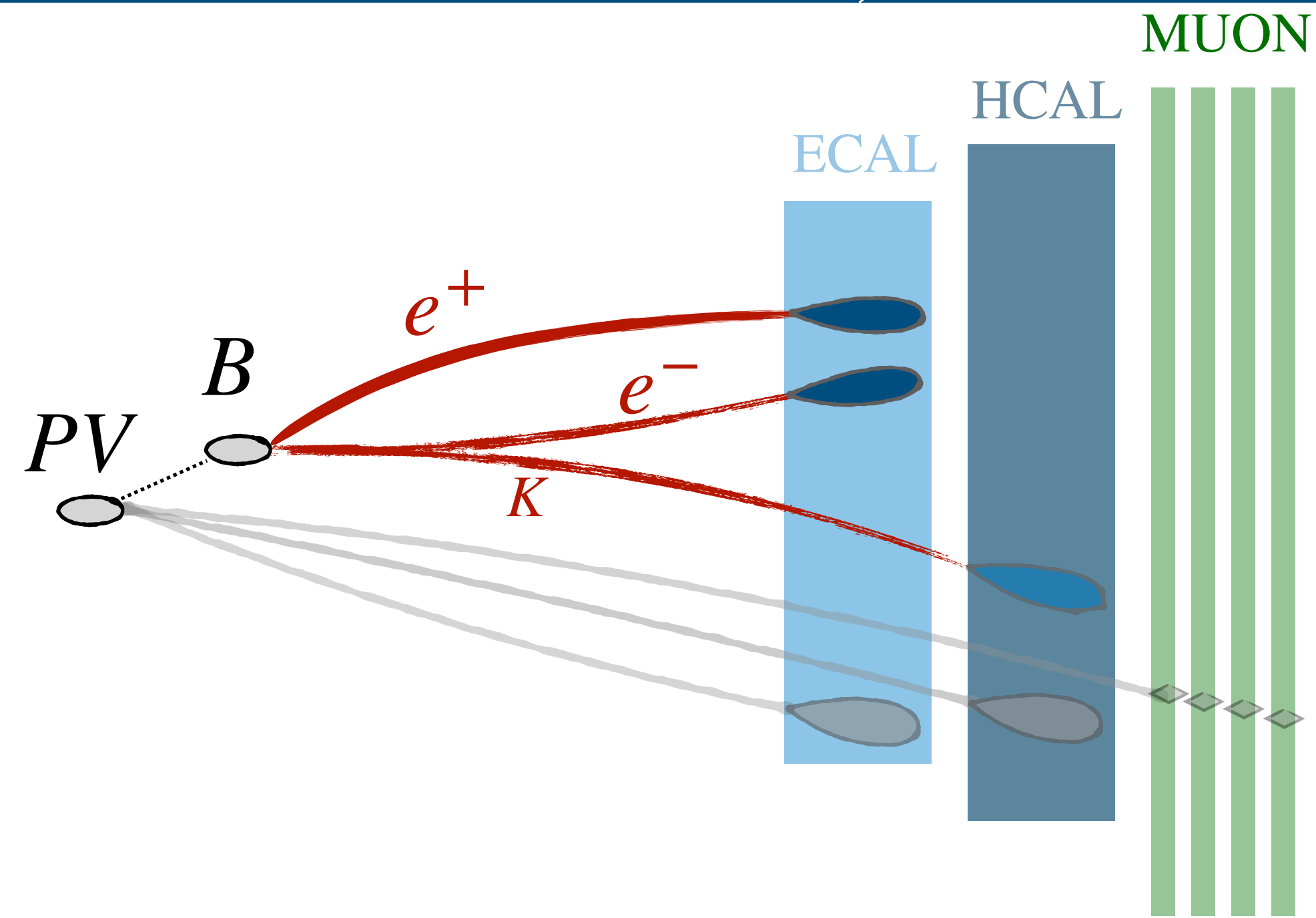
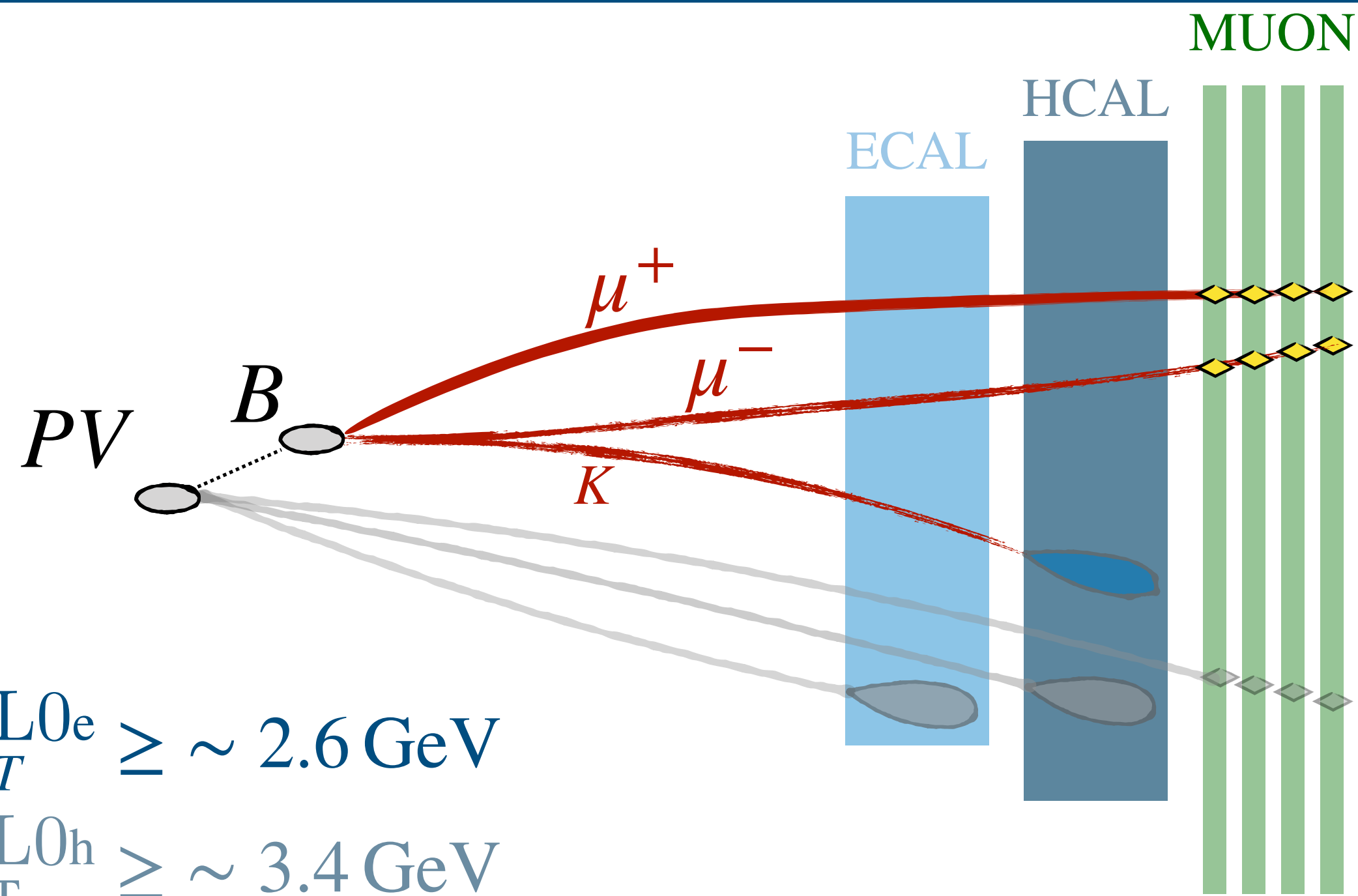
Selection effect  
from  $L0e$  vs  $L0\mu$

$$\sim \frac{1}{3}$$



PRL, 122, 191801 (2019)

# Selection: hardware trigger choice in $R_{K,K^*}$



$$E_T^{L0e} \geq \sim 2.6 \text{ GeV}$$

$$E_T^{L0h} \geq \sim 3.4 \text{ GeV}$$

$$p_T^{L0\mu} \geq \sim 1.4 \text{ GeV}$$

Trigger-Independent-Signal (TIS)

$$- \frac{\varepsilon(L0\mu, h, e)}{\varepsilon(L0\mu, h, e)} \text{ from underlying event}$$

Trigger-On-Signal (TOS)

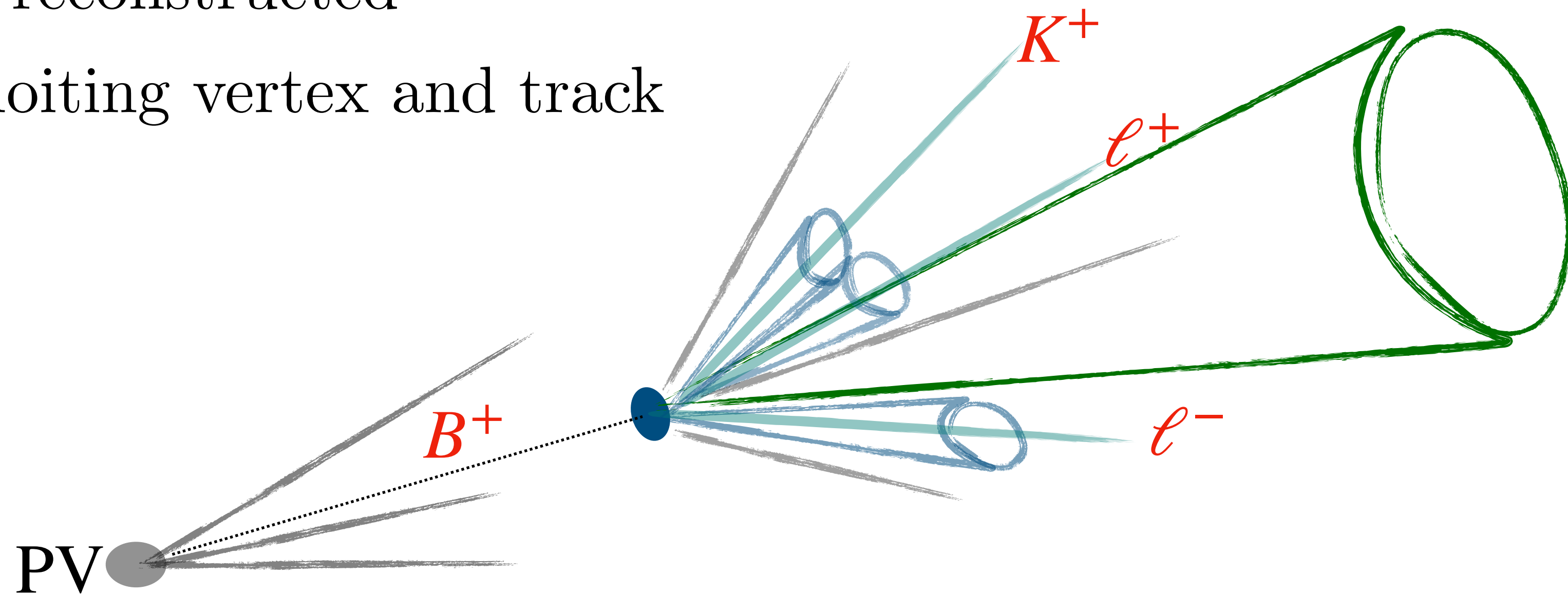
$$- \frac{\varepsilon(L0\mu)}{\varepsilon(L0e)} \text{ from tracks in B candidate}$$

→ R-ratio from  $\left\langle \frac{\text{TIS}}{\text{TIS}}, \frac{\text{L0}\mu}{\text{L0e}} \right\rangle$

- ◆ Less  $\varepsilon(e/\mu)$  differences to correct in TIS
- ◆ Previous  $R_{K,K^*}$  only L0 $\mu$  in muon mode

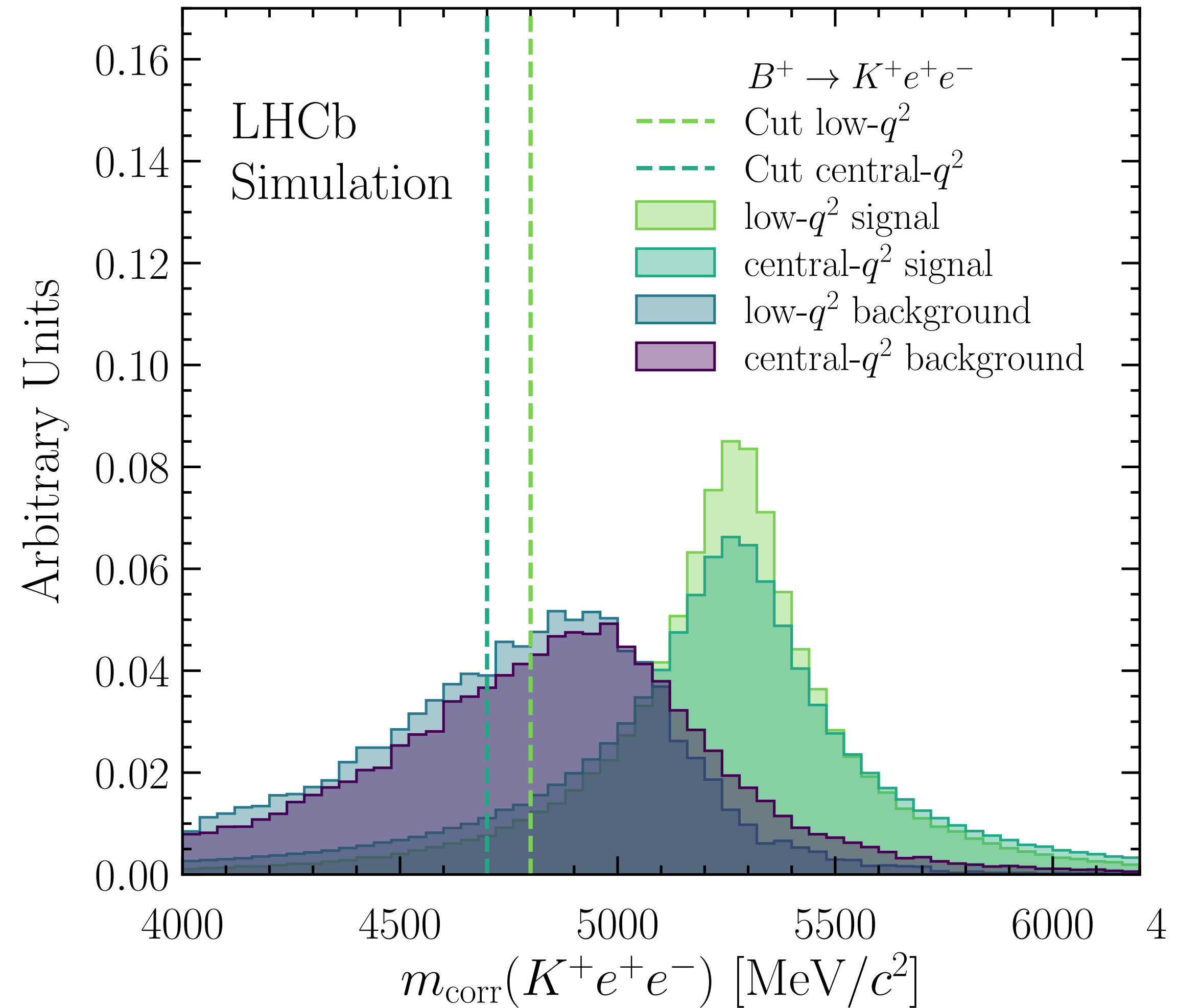
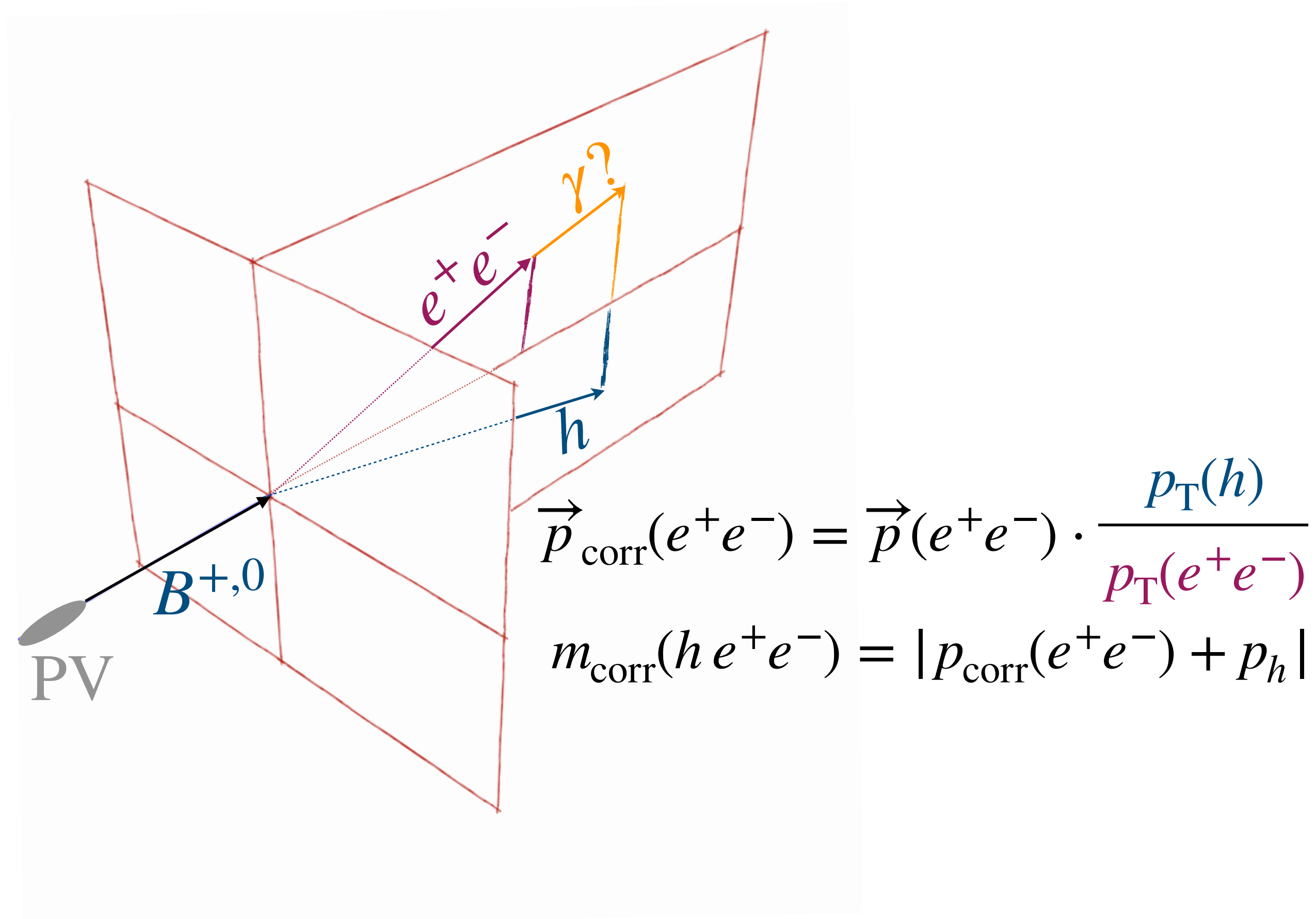
# Selection: multivariate classifiers

1.  $B^{(+,0)} \rightarrow K^{(+,*0)}\mu^+\mu^-$  and  $B^{(+,0)} \rightarrow K^{(+,*0)}e^+e^-$  : suppress combinatorial with multivariate classifier using kinematic and vertex quality information.
2.  $B^{(+,0)} \rightarrow K^{(+,*0)}e^+e^-$ : dedicated classifier to fight partially reconstructed background, exploiting vertex and track isolation



- ◆ Optimisation of significance for each mode/ $q^2$  regions and data taking period

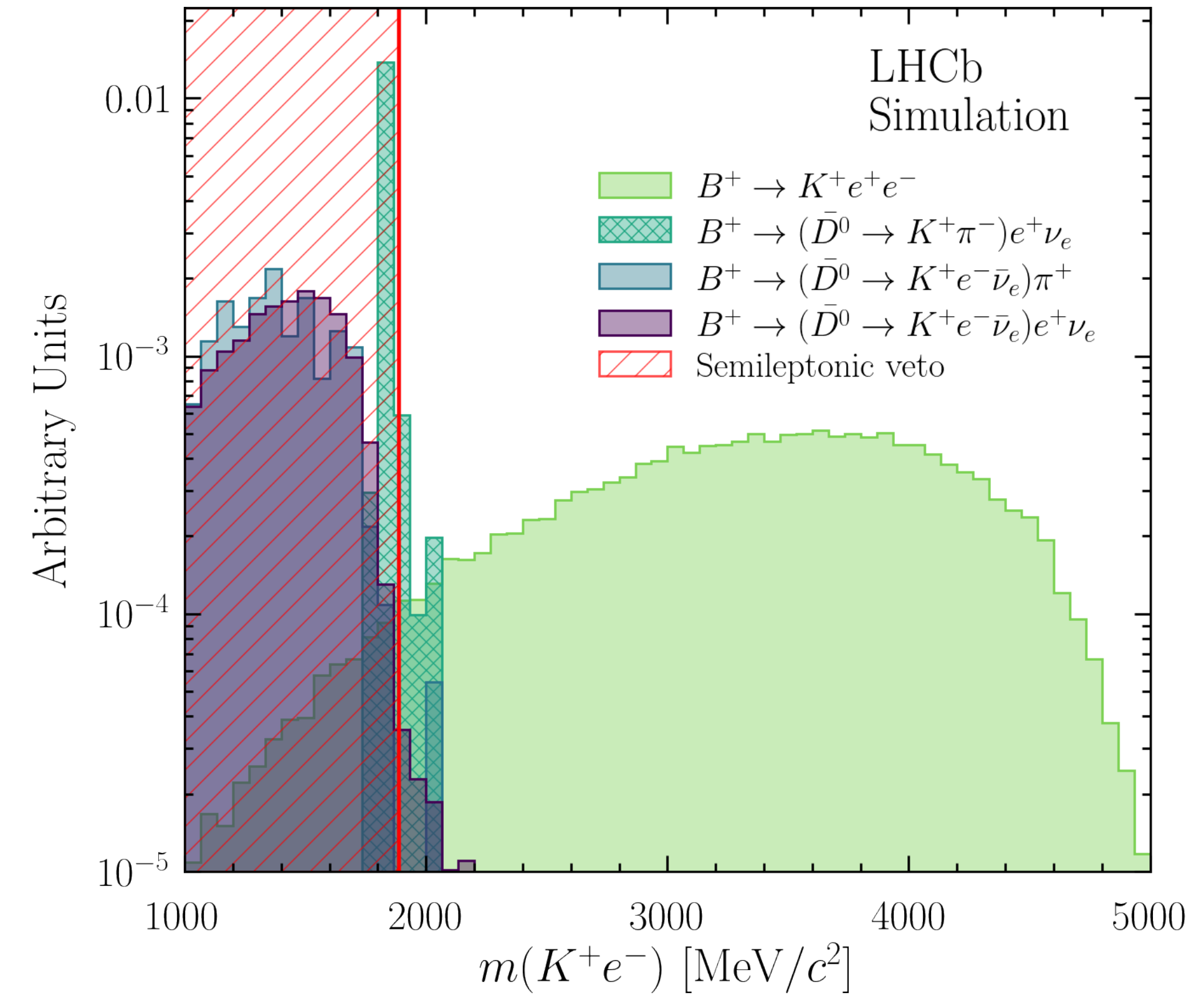
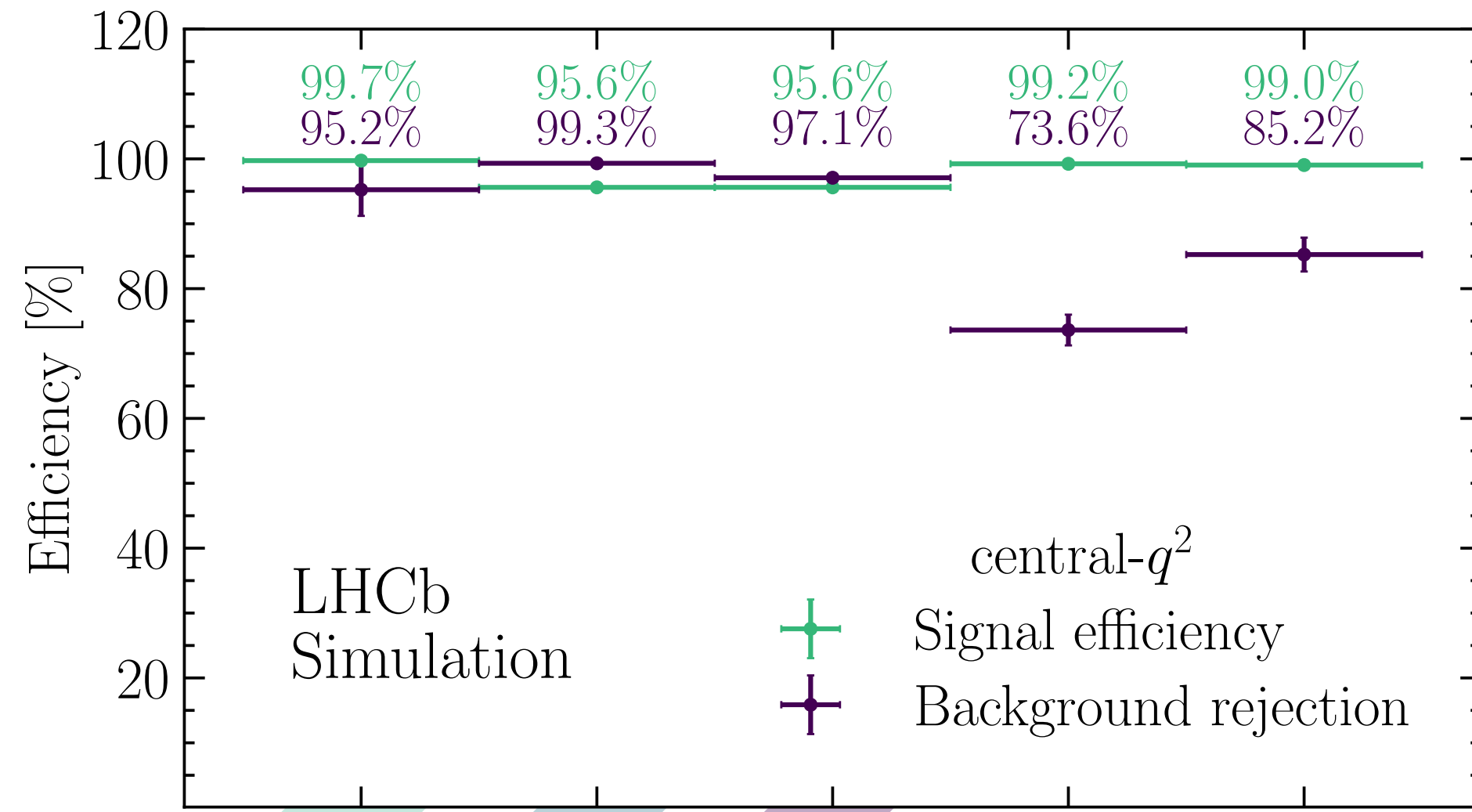
# Calibration of simulation to determine $\varepsilon$



- ◆ Small-correlation with combinatorial shape: modelled according to same-sign data  $K^{+,*0}\ell^\pm\ell^\pm$
- ◆ After  $m_{\text{corr}}$  selection, no  $\geq 2$  missing hadron background expected in fit range.



# Selection: veto specific backgrounds $B^+$ mode

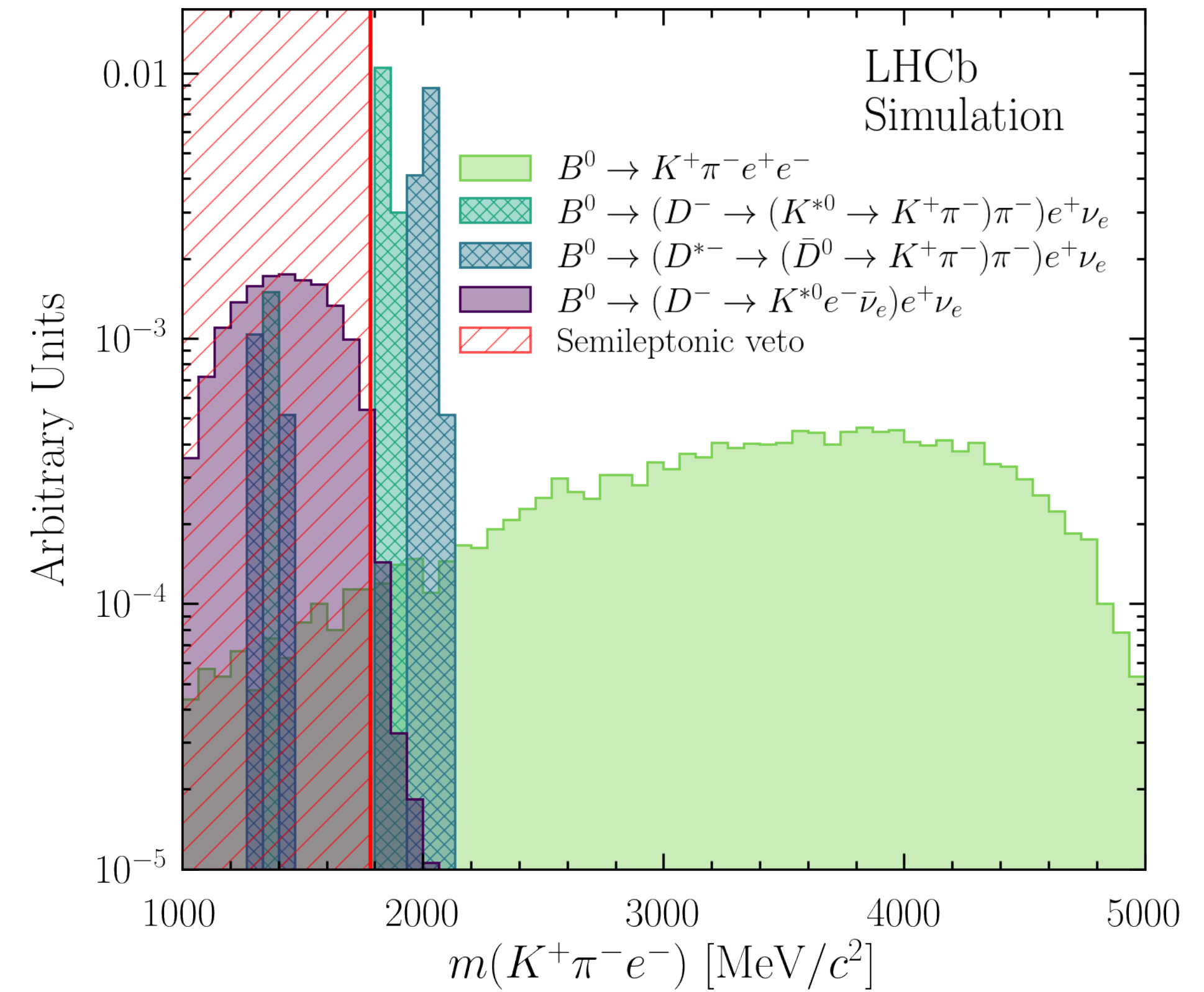
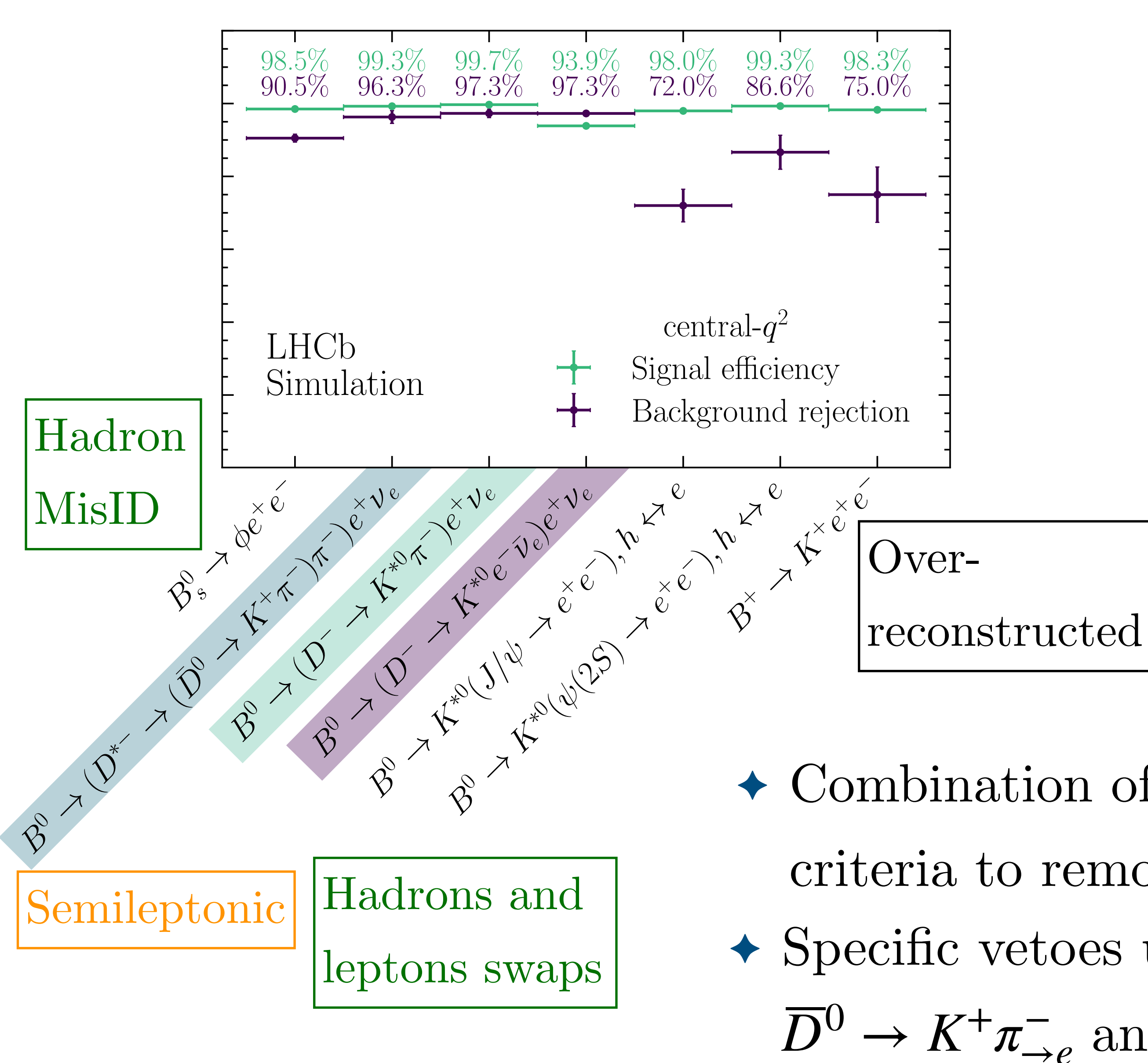


Semileptonic

Hadrons and leptons swaps

- ◆ Combination of efficient kinematic and particle identification criteria to remove background
- ◆ Specific vetoes under electron mis-ID hypothesis on  $\bar{D}^0 \rightarrow K^+ \pi^-_{\rightarrow e}$

# Selection: veto specific backgrounds $B^0$ mode



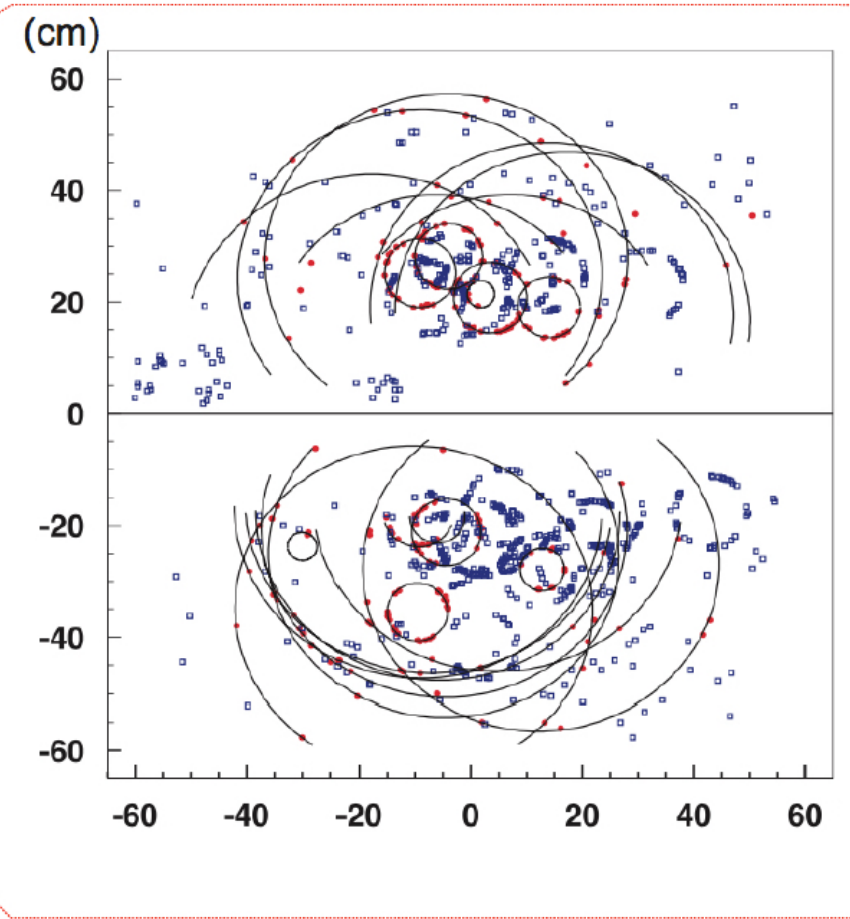
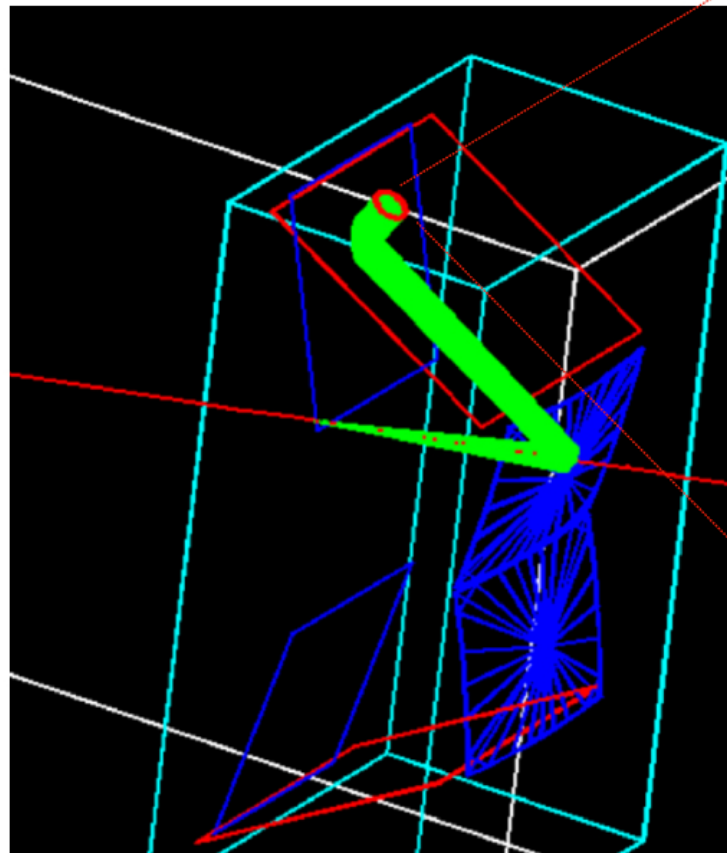
- ◆ Combination of efficient kinematic and particle identification criteria to remove background
- ◆ Specific vetoes under electron mis-ID hypothesis on  $\bar{D}^0 \rightarrow K^+ \pi^- e^-$  and  $D^- \rightarrow K^+ \pi^- \pi^- e^-$

# Challenges in LFU tests: electrons and PID

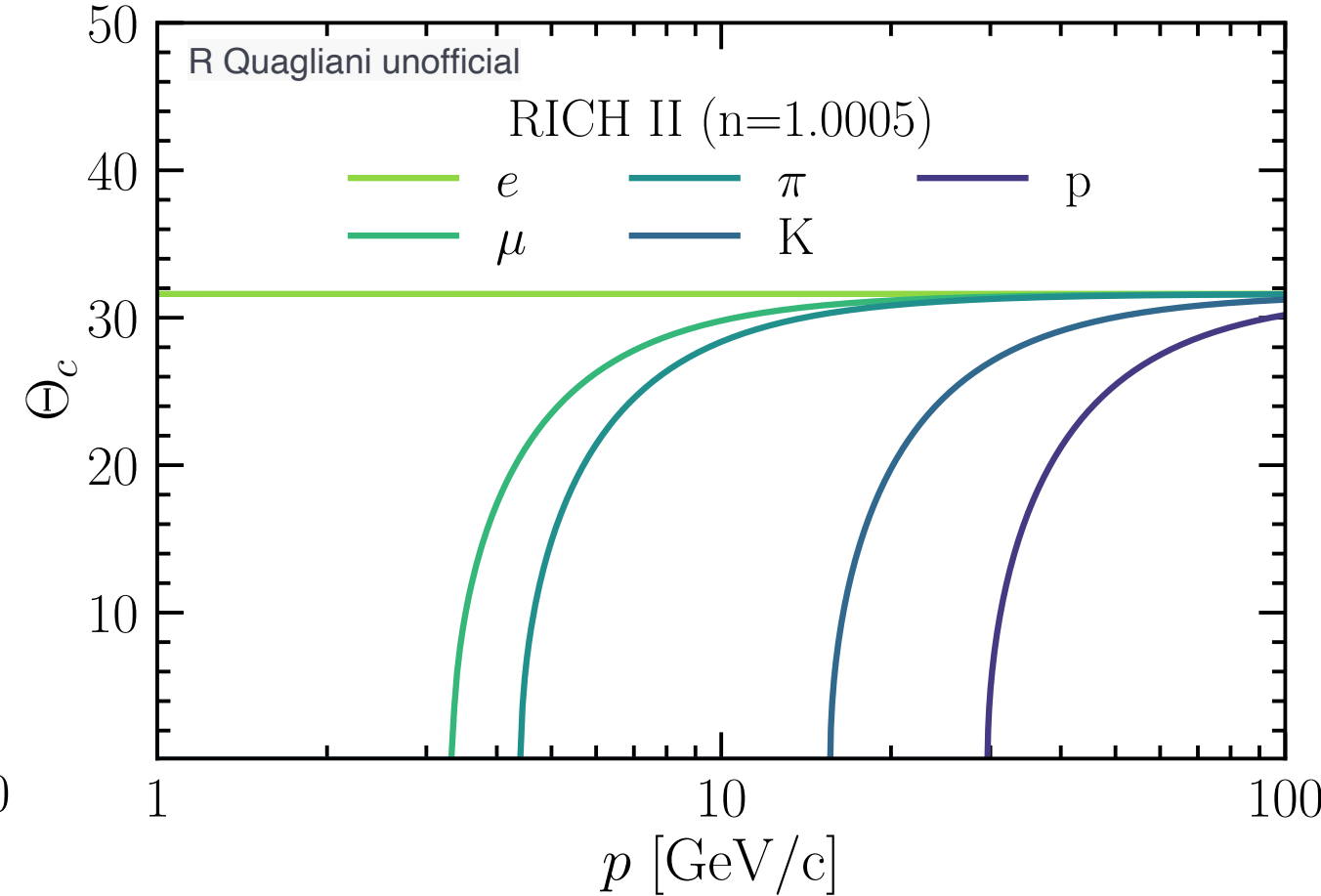
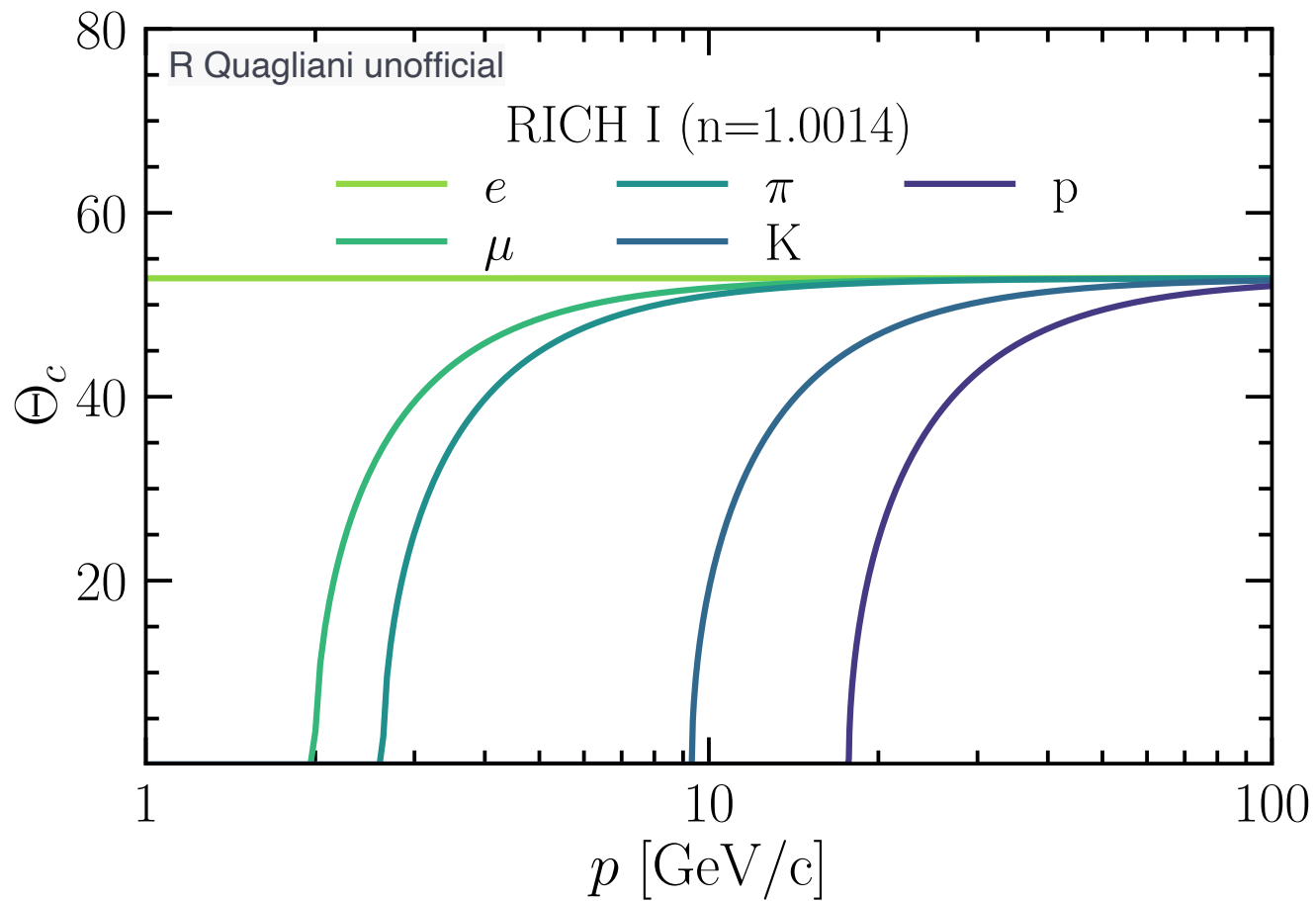
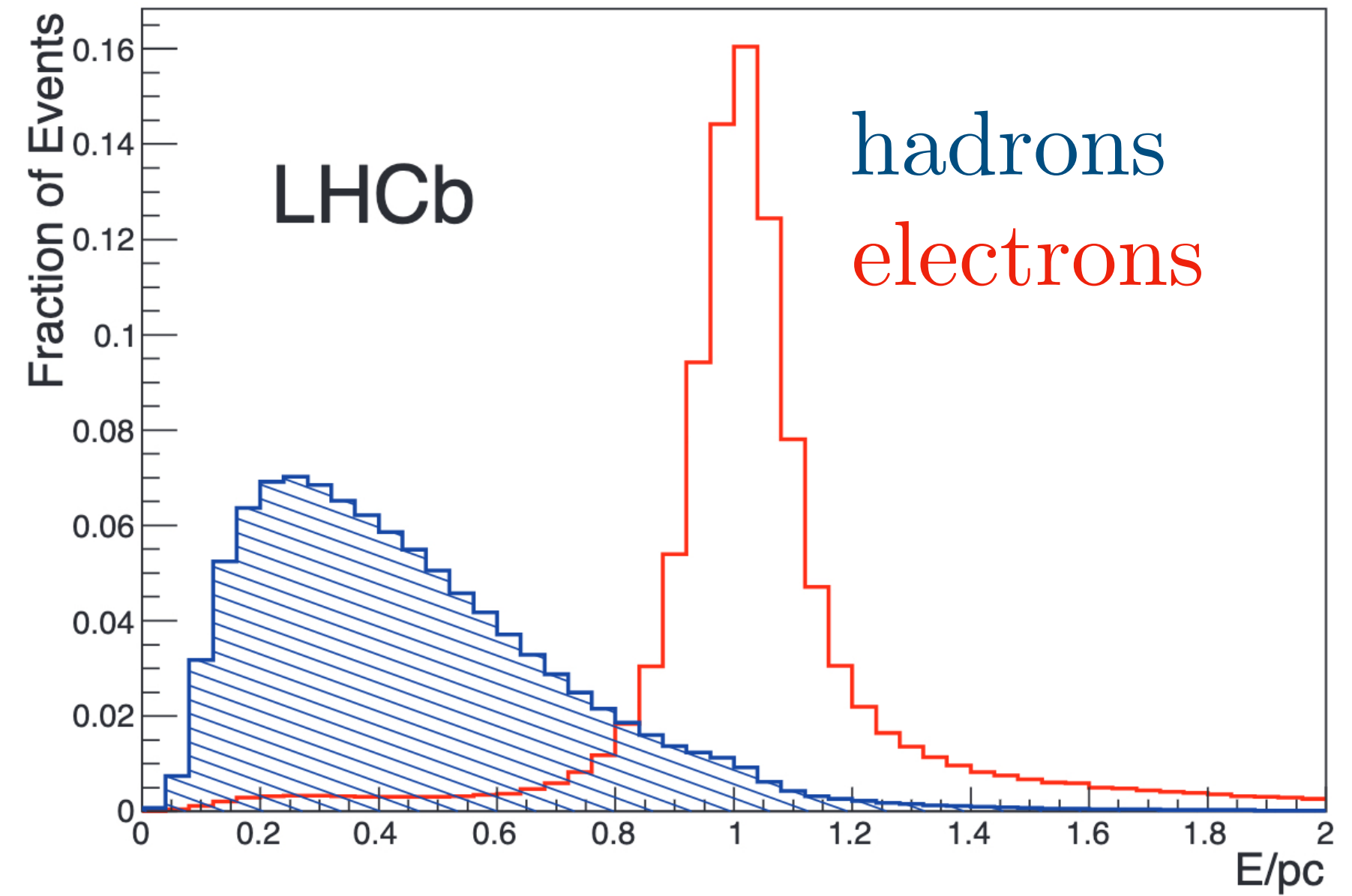
From RICH I (upstream) and RICH II  
(downstream) detector

$$m = \frac{p}{c\beta\gamma} \quad \text{from tracking} \quad \cos\theta_C = \frac{1}{n\beta}$$

Ring Radius



From ECAL



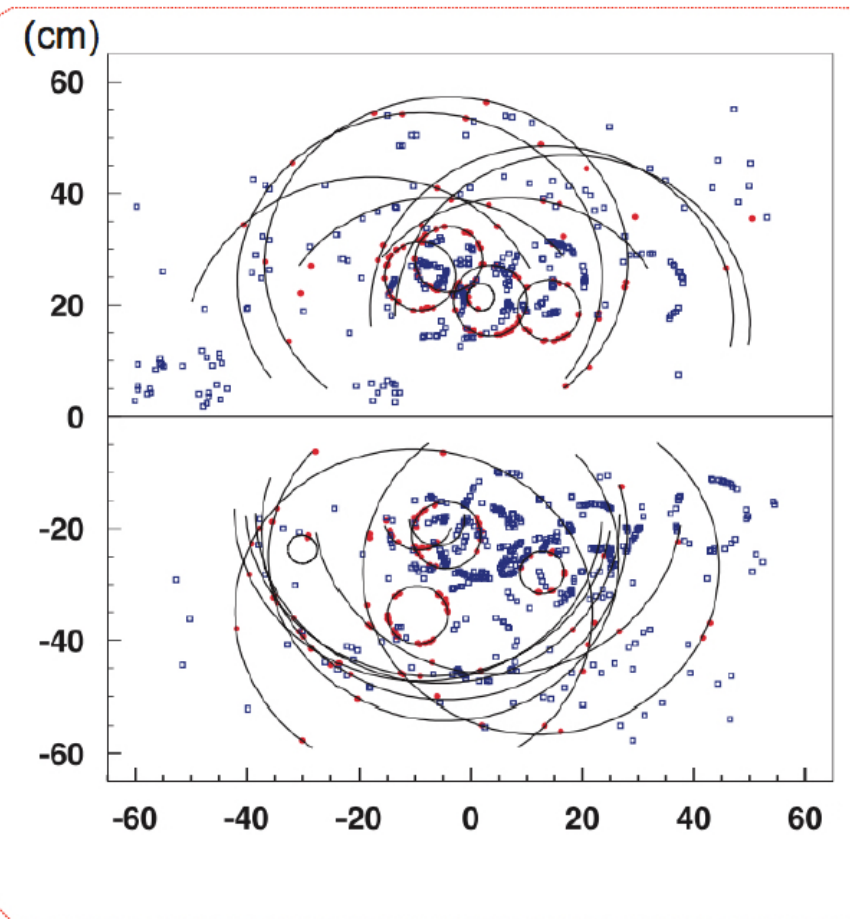
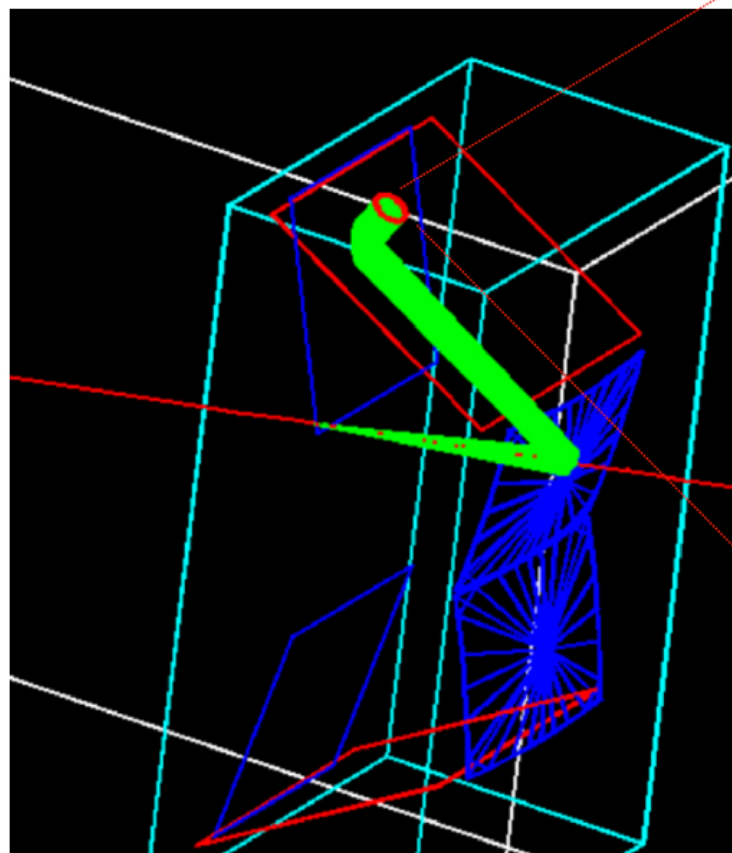
- ◆  $DLL(e) = \sum_{\text{ECAL,HCAL,RICH,MUON}} \Delta \log \mathcal{L}(e - \pi)$
- ◆ **ProbNNe** = Neural Net using tracking + PID of each detectors: *e/h* separation from simple sub-detectors greatly improved.

# LFU tests: muons and PID

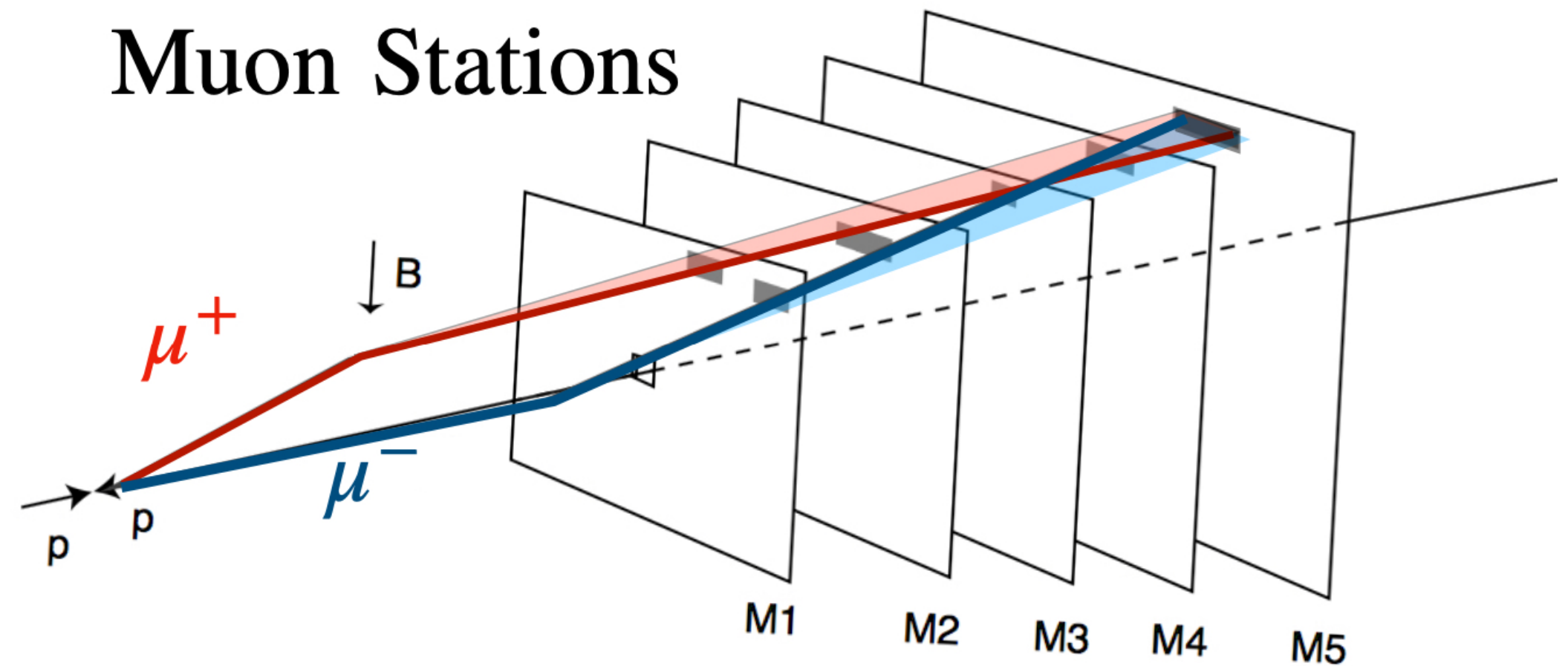
From RICH I (upstream) and RICH II (downstream) detector

$$m = \frac{p}{c\beta\gamma} \quad \text{from tracking} \quad \cos\theta_C = \frac{1}{n\beta}$$

Ring Radius



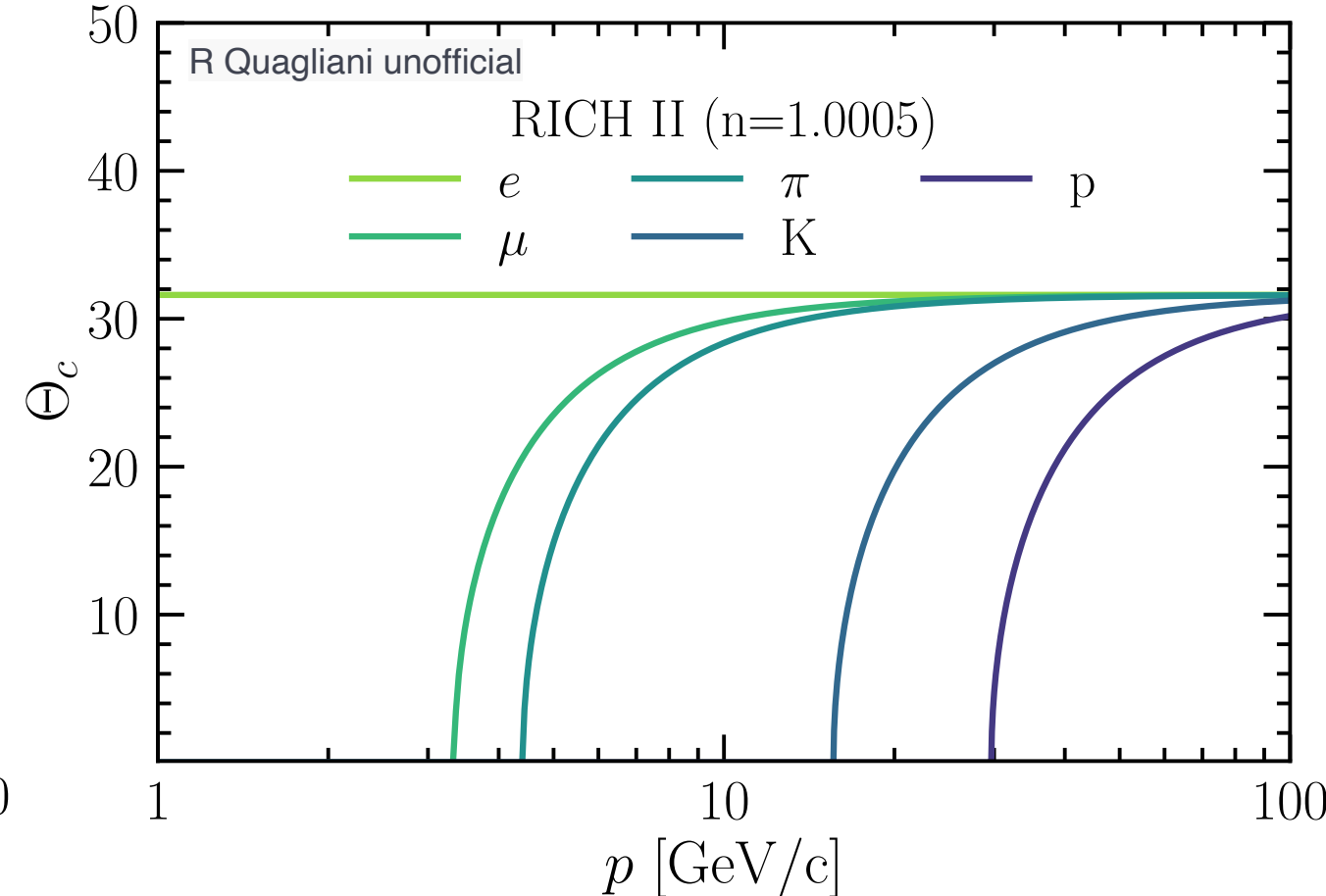
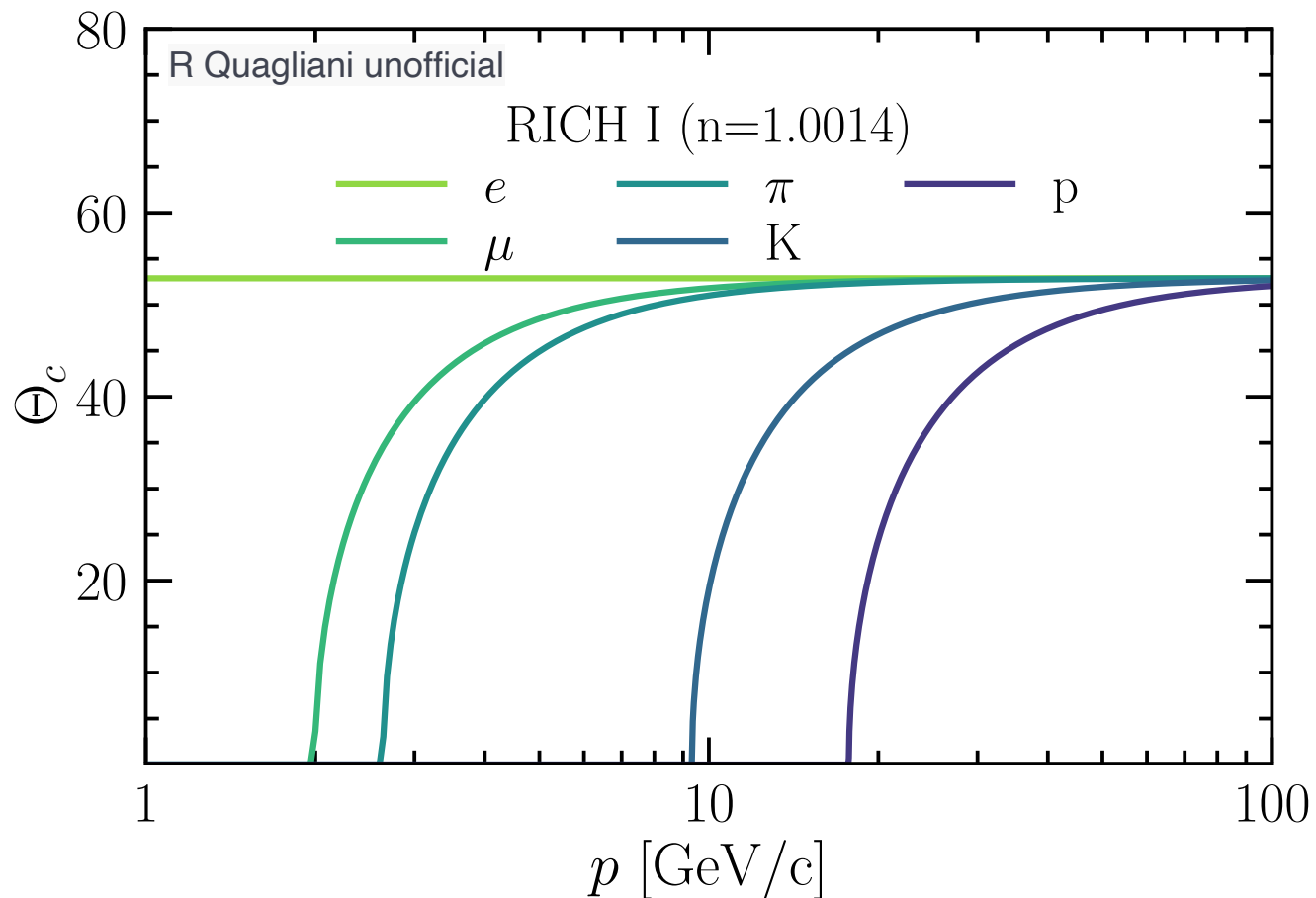
## Muon Stations



◆ Excellent **MuonID** and  $\mu/h$  already with muon station coincidence

◆ Negligible brem losses at LHCb

◆ **Muon stations occupancy much lower than ECAL**



# PID scan and coherent pattern observed, rule out an efficiency effect

- Verify that the trend is not an “efficiency” calibration effect for electrons. How?

Theoretical prediction of  $r_{\gamma^*} = \frac{\mathcal{B}(B^0 \rightarrow K^{*0} e^+ e^-)}{\mathcal{B}(B^0 \rightarrow K^{*0} \gamma)}$  extremely clean the closer  $q^2$  is to photon pole, even in presence of NP. Can be used as candle to validate  $\varepsilon$  on electron mode (M. Borsato).

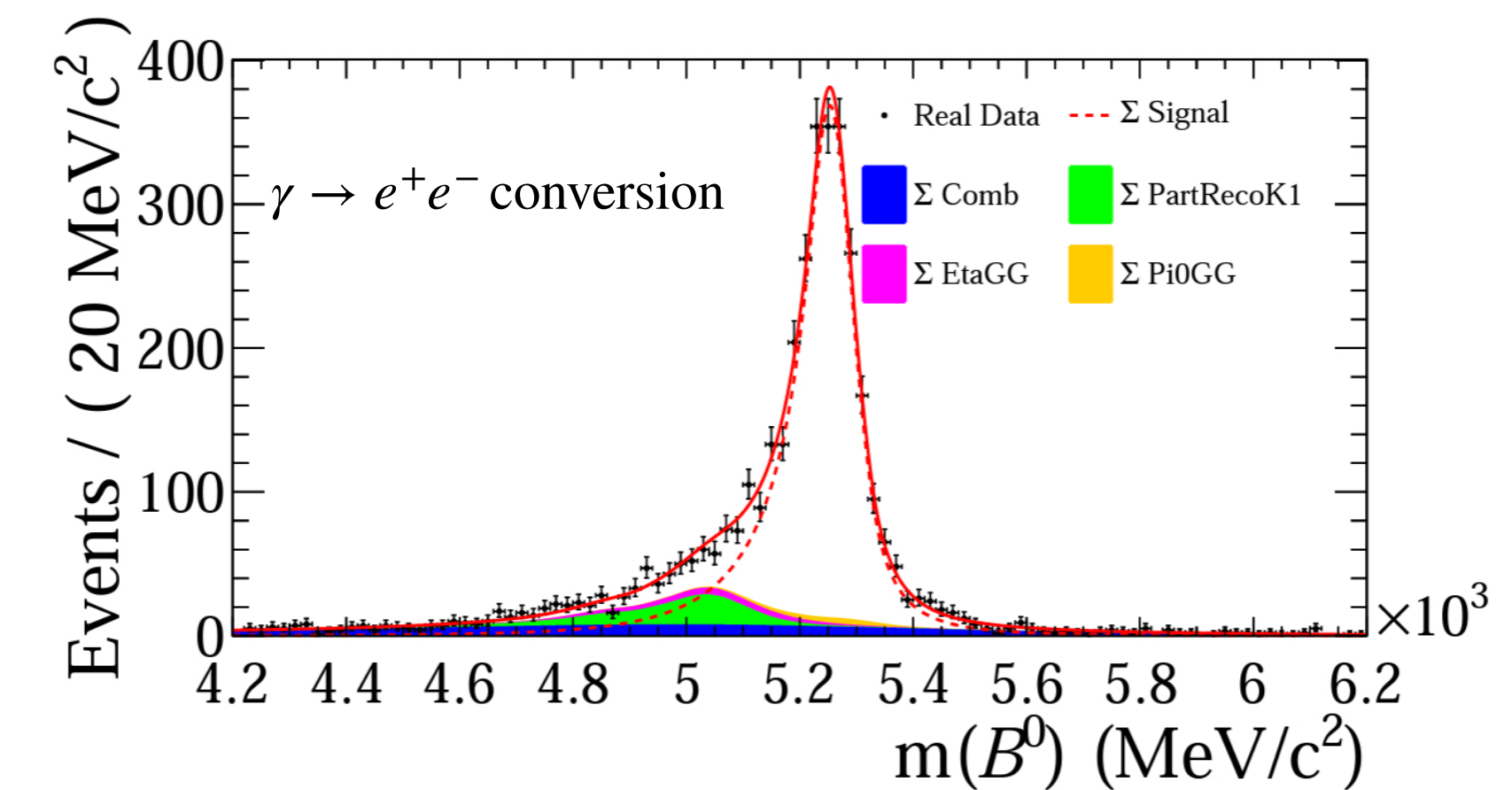
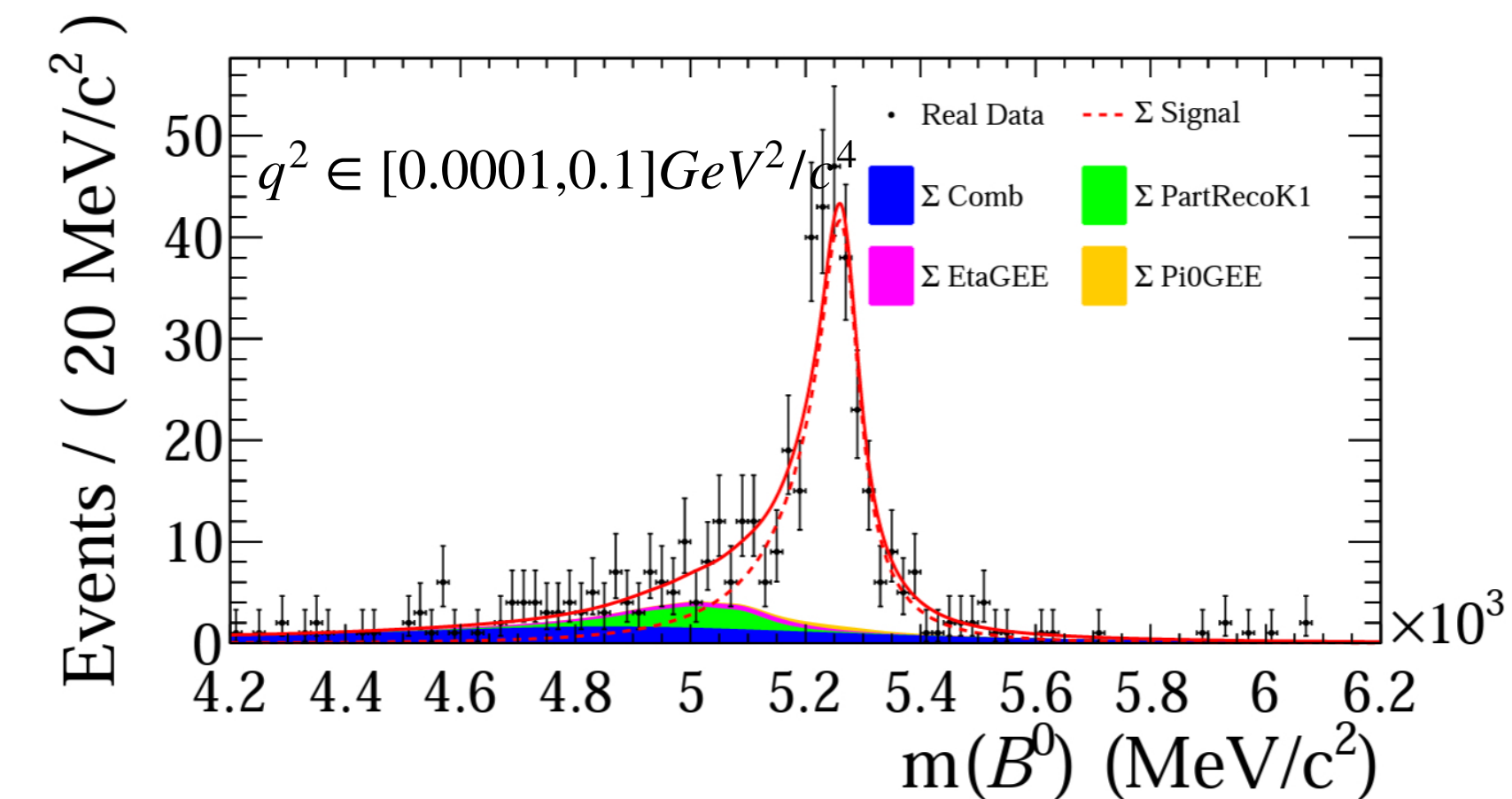
Strength of the check currently limited by external measurement of  $\mathcal{B}(B^0 \rightarrow K^{*0} \gamma)_{Belle}$ .

CERN-THESIS-2022-122 (master thesis C.Lamettais)

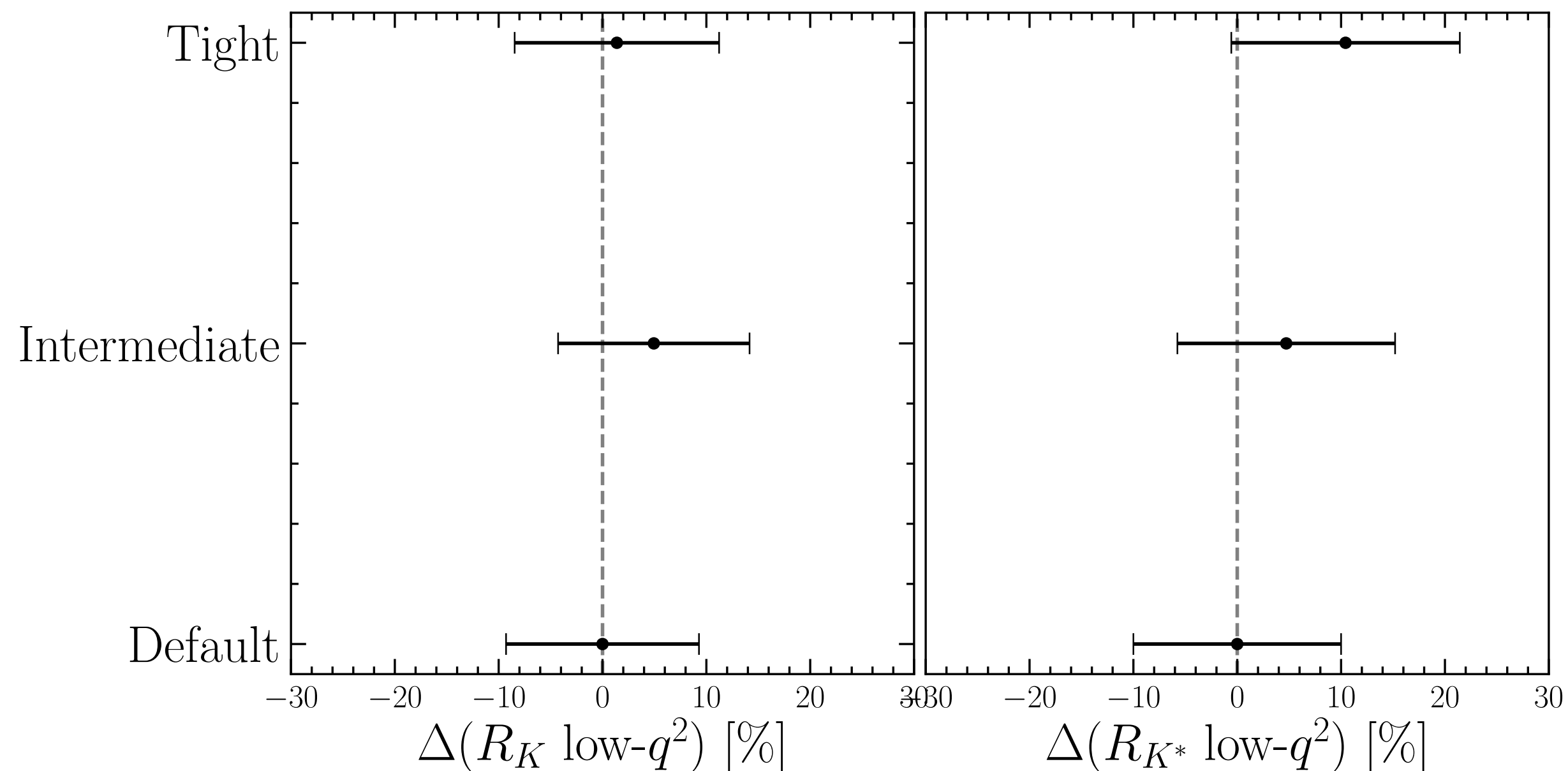
- Verified compatibility at different PID selection of  $\mathcal{B}(B^0 \rightarrow K^{*0} e^+ e^-)_{q^2 \in [0.0001, 0.1] GeV^2/c^4}^{exp} = r_{\gamma^*}^{theory} \times \mathcal{B}(B^0 \rightarrow K^{*0} \gamma)_{Belle}$
- Relative efficiency checks performed also with converted photons comparing variation of yields in data to predictions from corrected simulation
- Additional validation of  $\varepsilon(q^2)$  dependency and double ratio approach

Going beyond to cross-check  $\varepsilon$  double ratio approach at lower  $q^2$

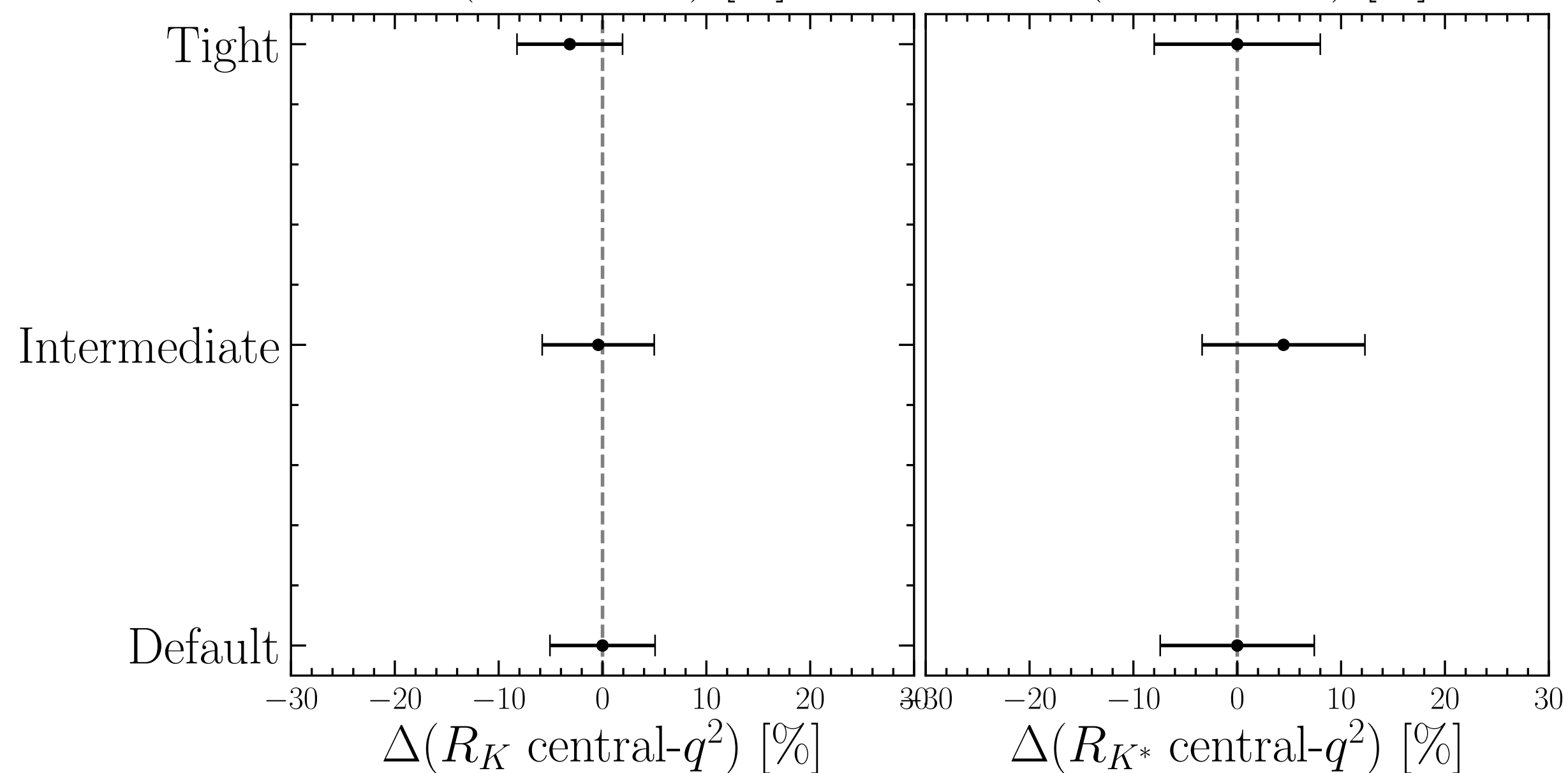
- On-going analysis of  $R(\phi\pi)$  using  $D_{(s)} \rightarrow \phi\pi$  with  $\phi \rightarrow \ell\ell$  at LHCb



# Results using misidentified background at different PID



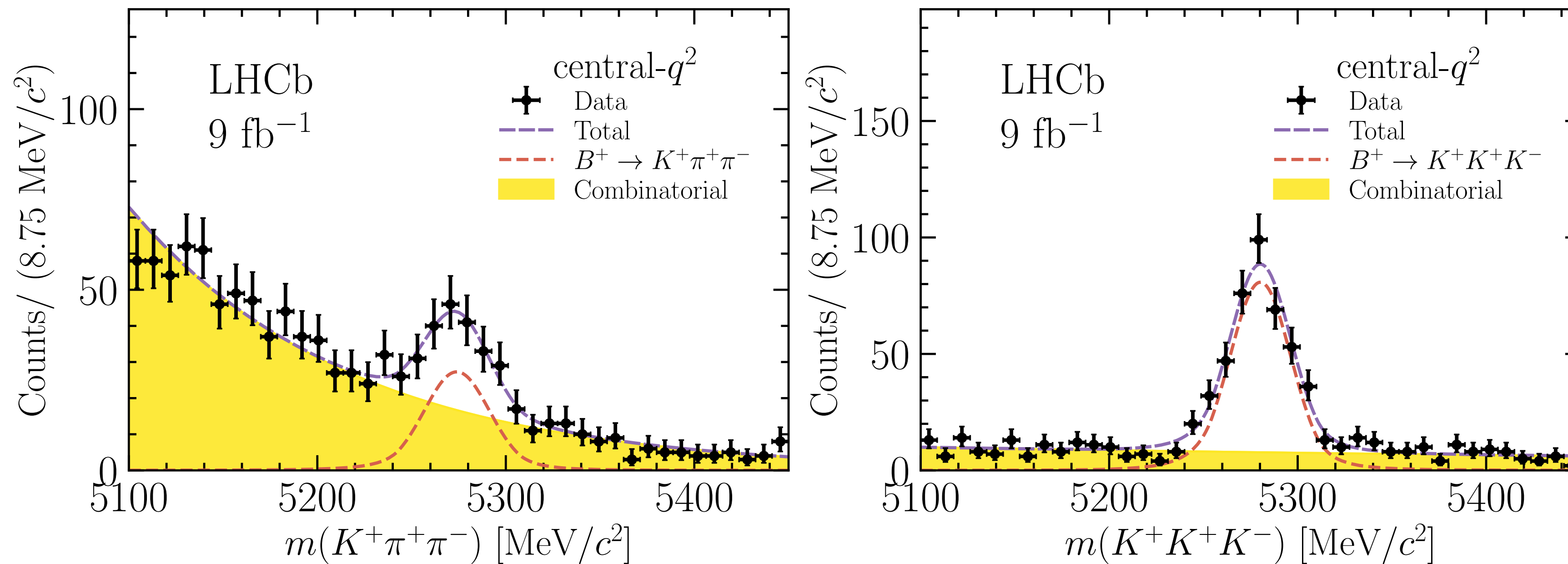
- ◆ *Tight*
  - ▶ 80% misID suppression
  - ▶ 50-60% signal loss
- ◆ *Intermediate*
  - ▶ 50% misID suppression
  - ▶ 20-30% signal loss



Misidentified background  
included in fit model  
at tighter working point  
**results are stable**

# Misidentified background in electron mode

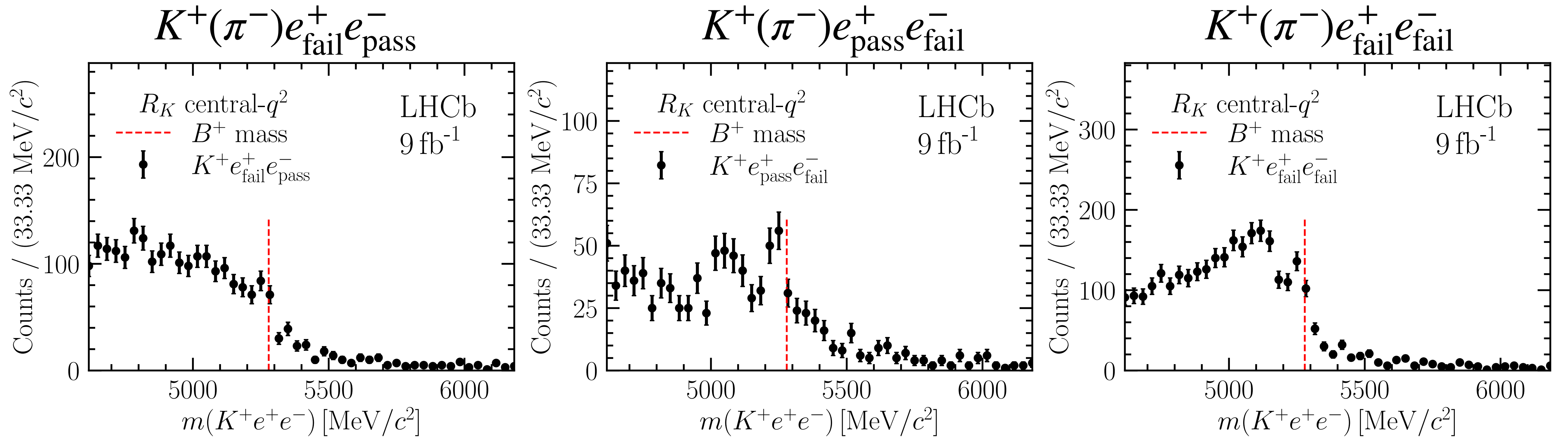
- ◆ Simple backgrounds from double-misidentification can be isolated inverting PID criteria (close to nominal selection) after full selection (i.e.  $K^{+,*0}h^+h^-$ ) on electron mode



- ◆ Similar structures also for  $R_{K^*}$ , however unknown Dalitz for  $K^{*0}h^+h^-$
- ◆ Single misidentification background as well, often unknown decays.
- ◆ This motivated primarily the use of an inclusive data-driven treatment of misidentified background.

# Misidentified background in electron mode

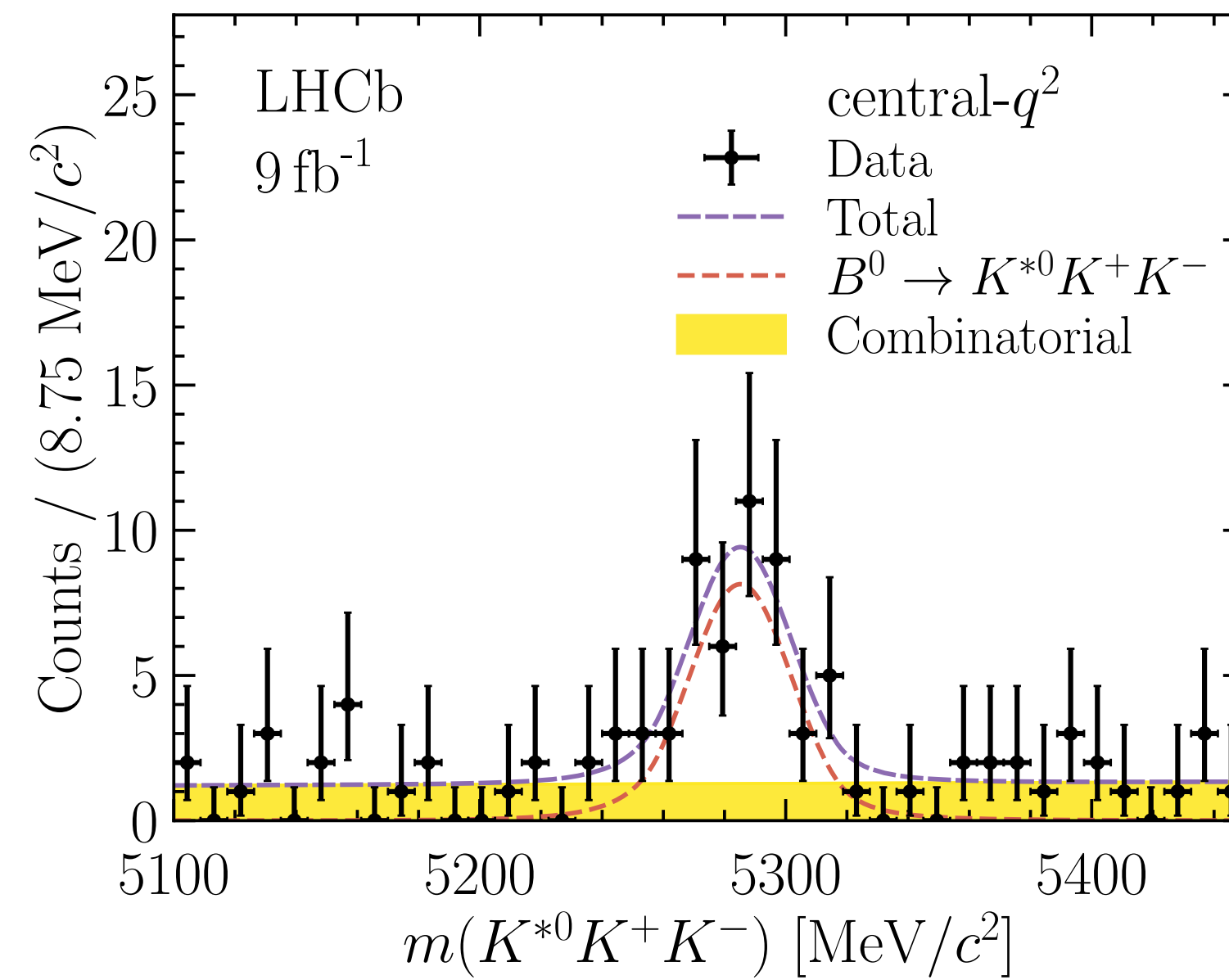
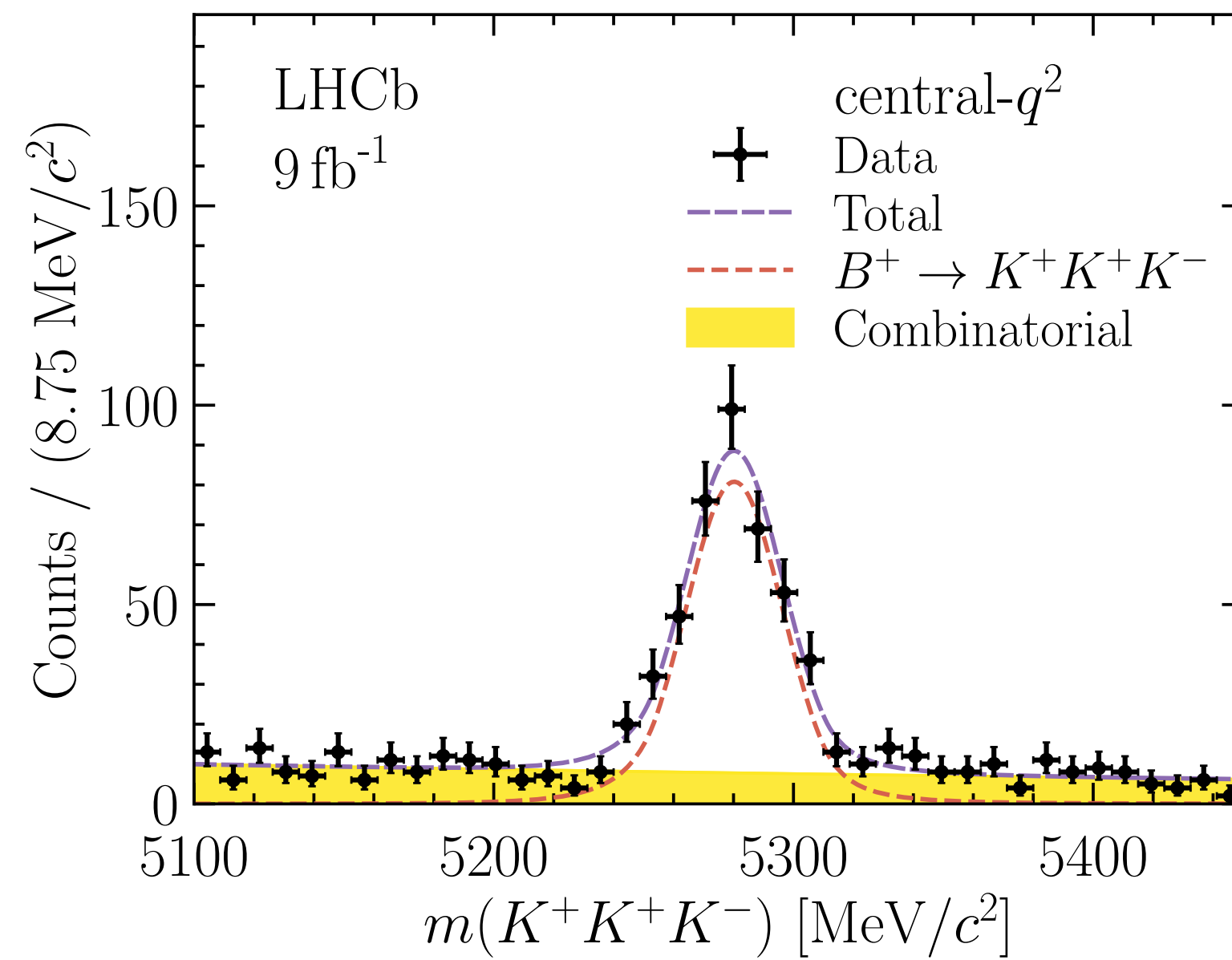
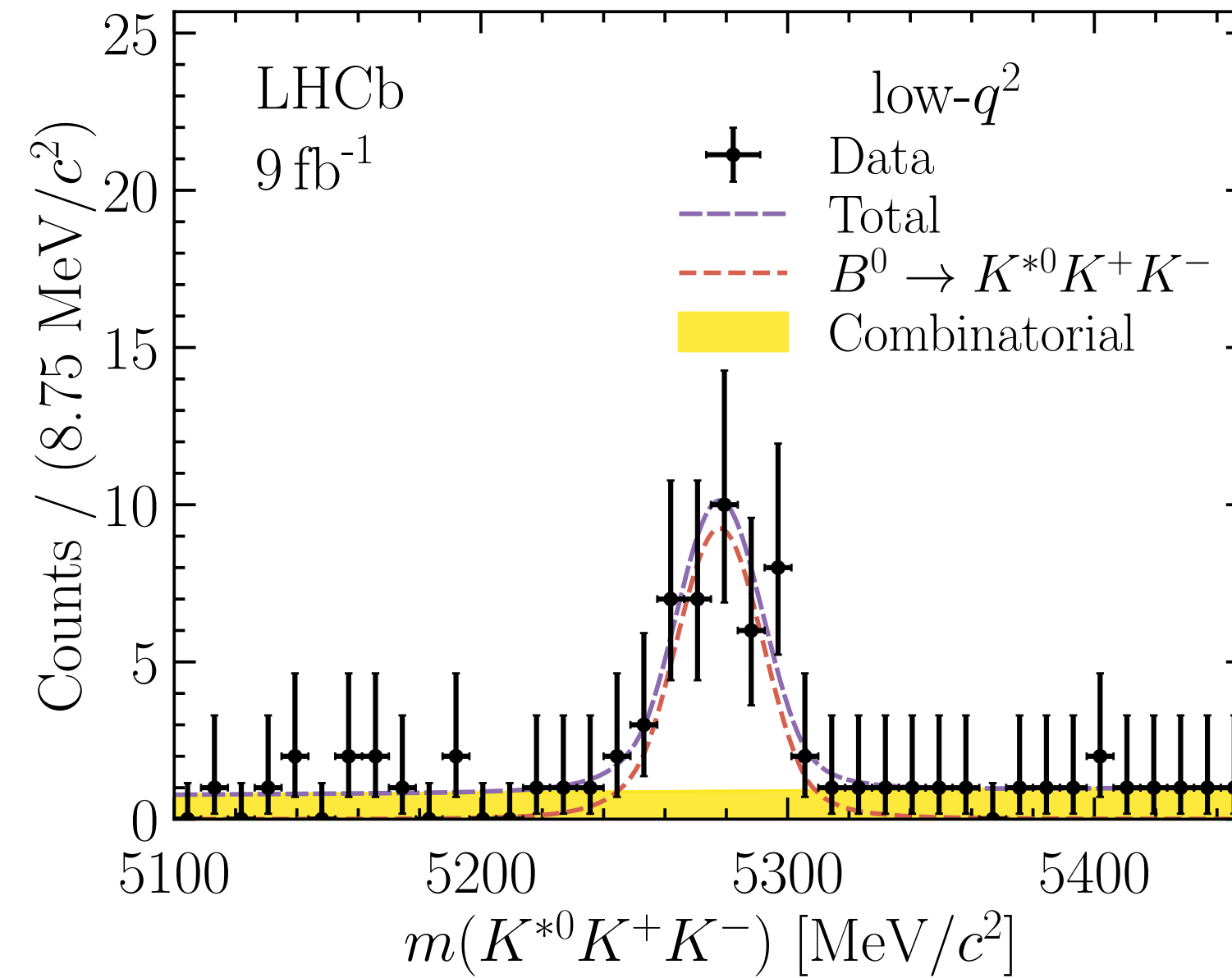
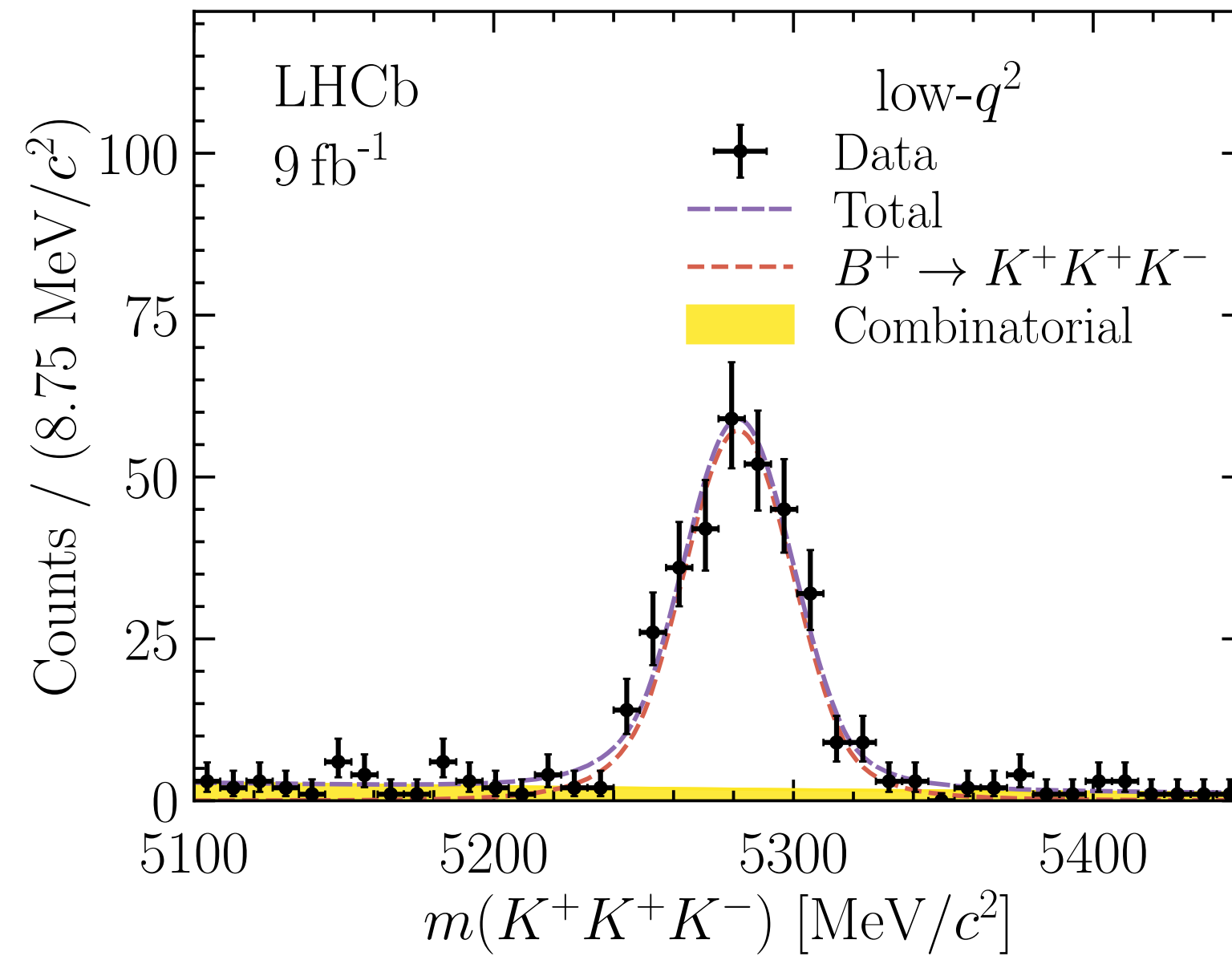
- ◆ Invert PID requirements on one or both  $e$  after full selection (*control region*)
- ◆ Subtract residual  $e^+e^-$  signal falling in the *control region*



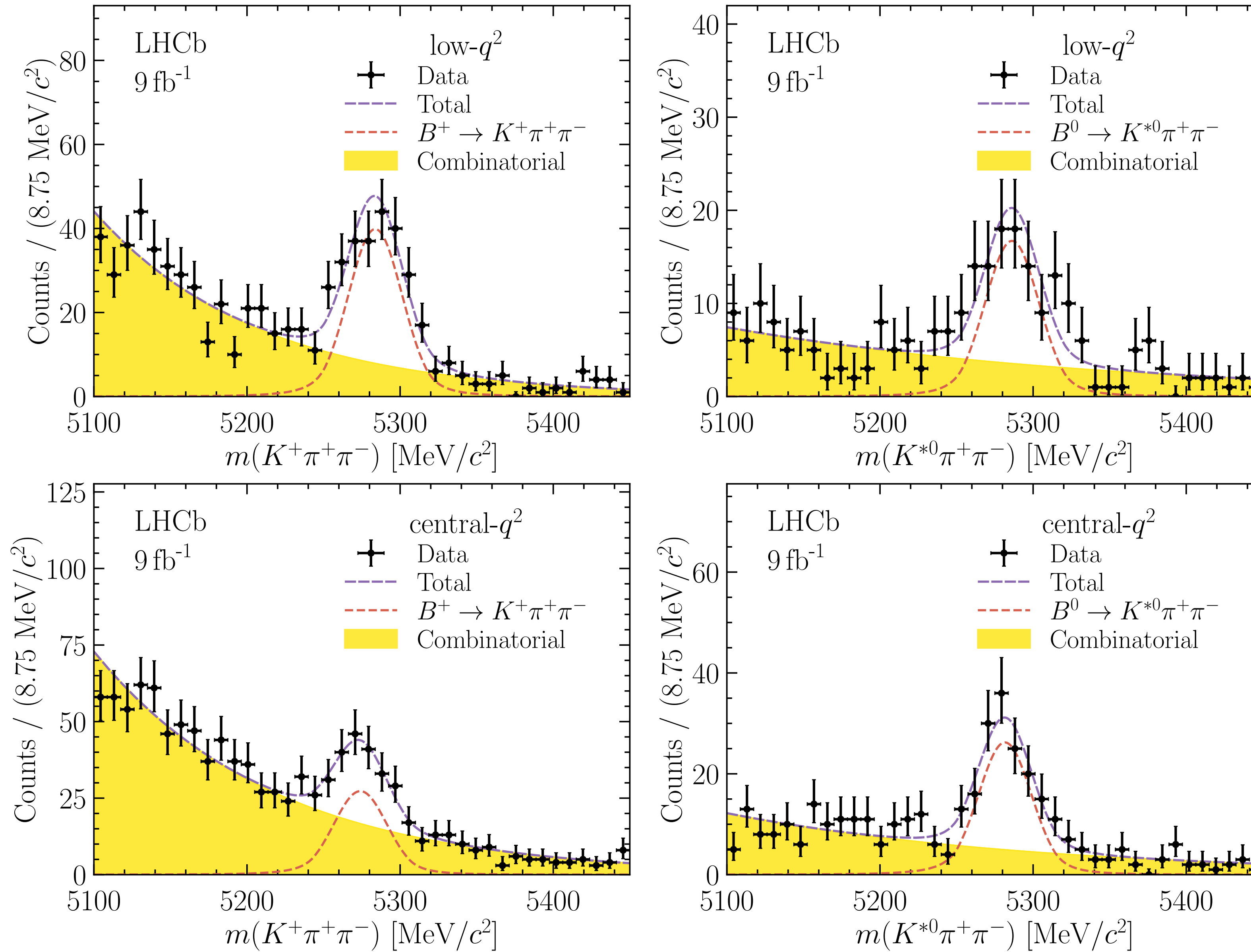
- ◆ Categorise pion- and kaon-like electrons in *control region* based on neural-net kaon ID classifier
- ◆ Per-event/per-track weights on  $e_{\text{fail}}$  to predict background shape and normalisation for  $e_{\text{pass}}$



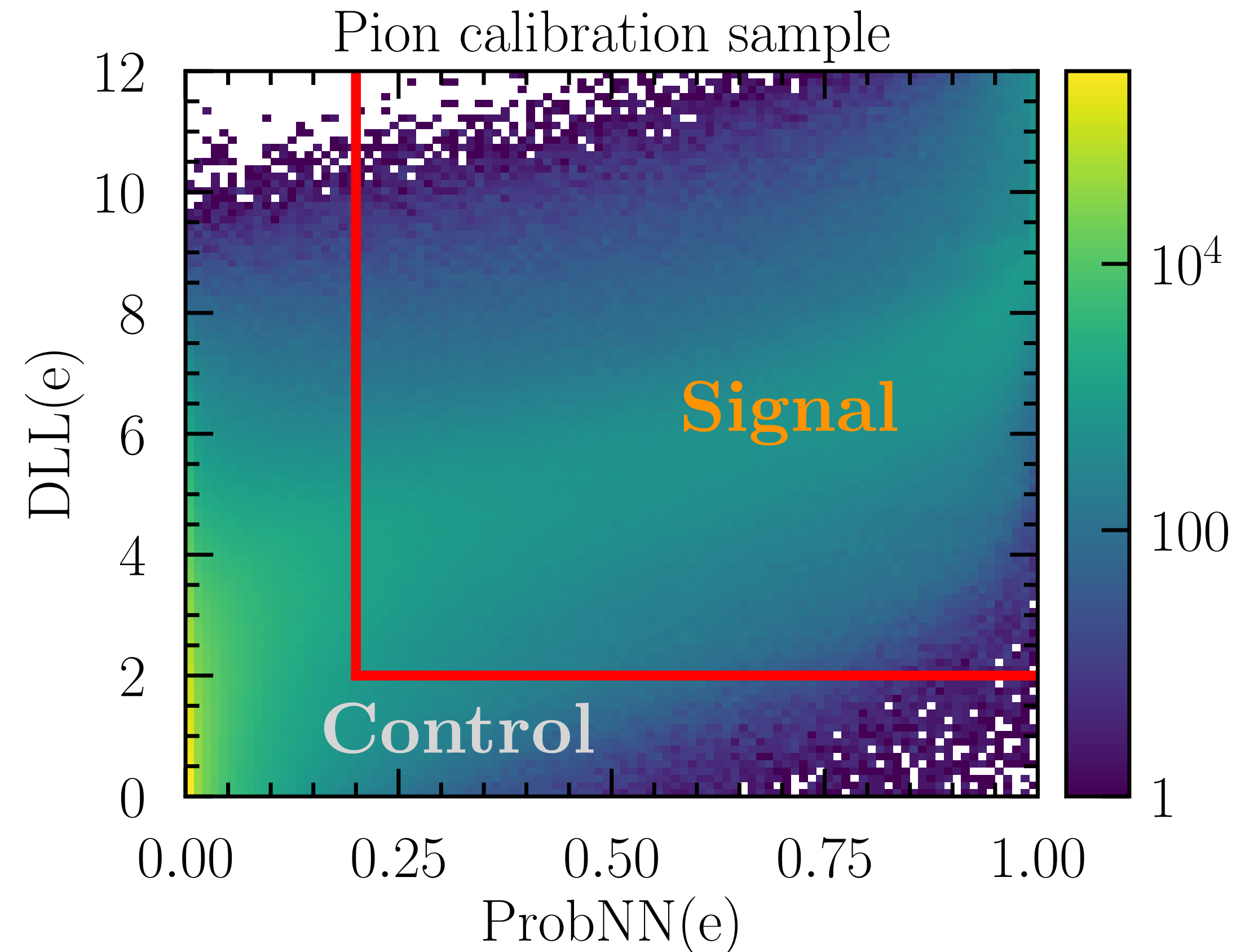
# $K^+K^-$ double mis-ID in 'control' region



# $\pi^+\pi^-$ double mis-ID in 'control' region



# Choice of control region



- ◆ Control region next to signal region
- ◆ Choose available region ( $DLL(e) < 2 \ || \ ProbNN(e) < 0.2$ ) while  $DLL(e) > 0$ .
- ◆ Other choices for a systematic uncertainty

# Misidentified background in electron mode

## ◆ *Control region choice:*

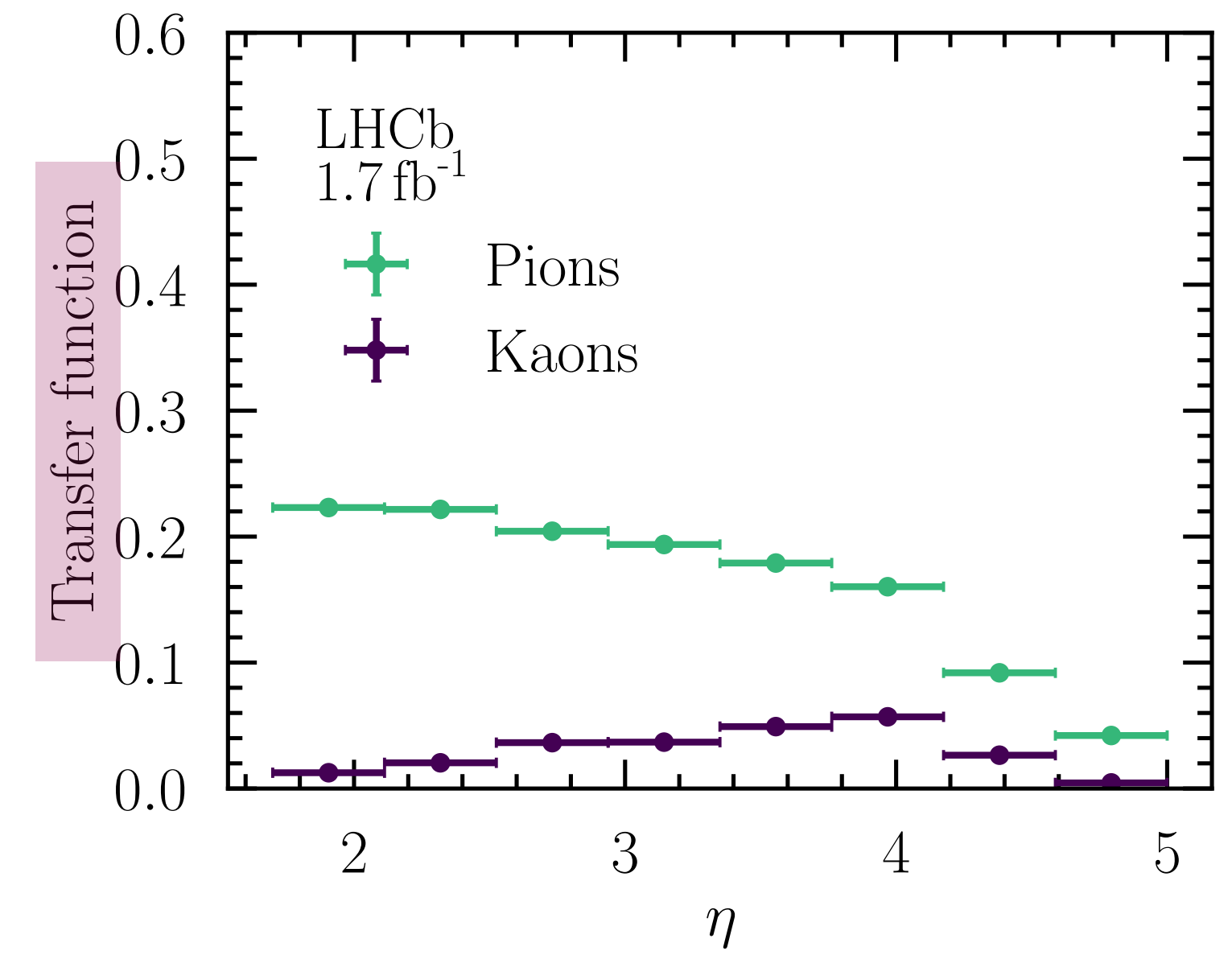
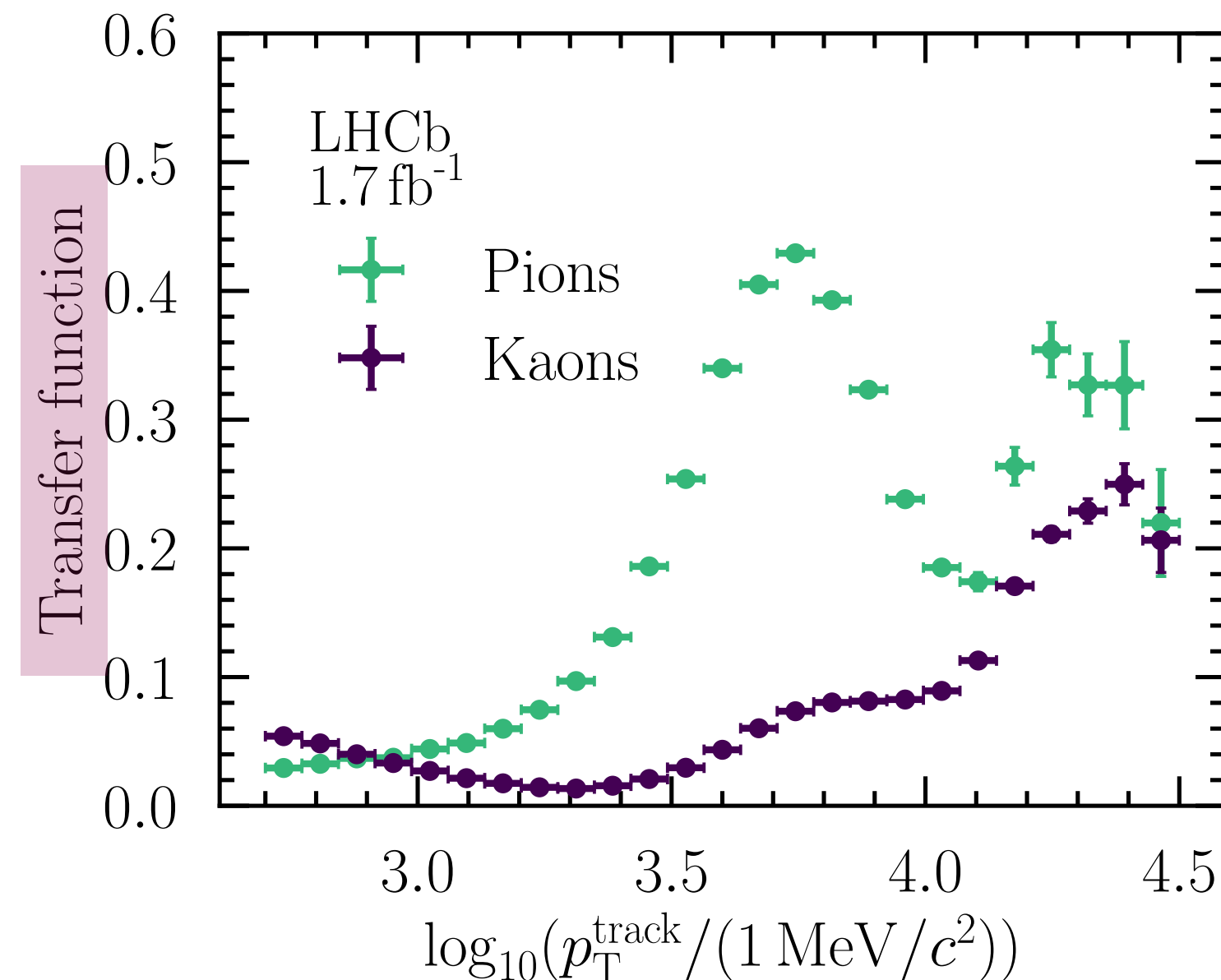
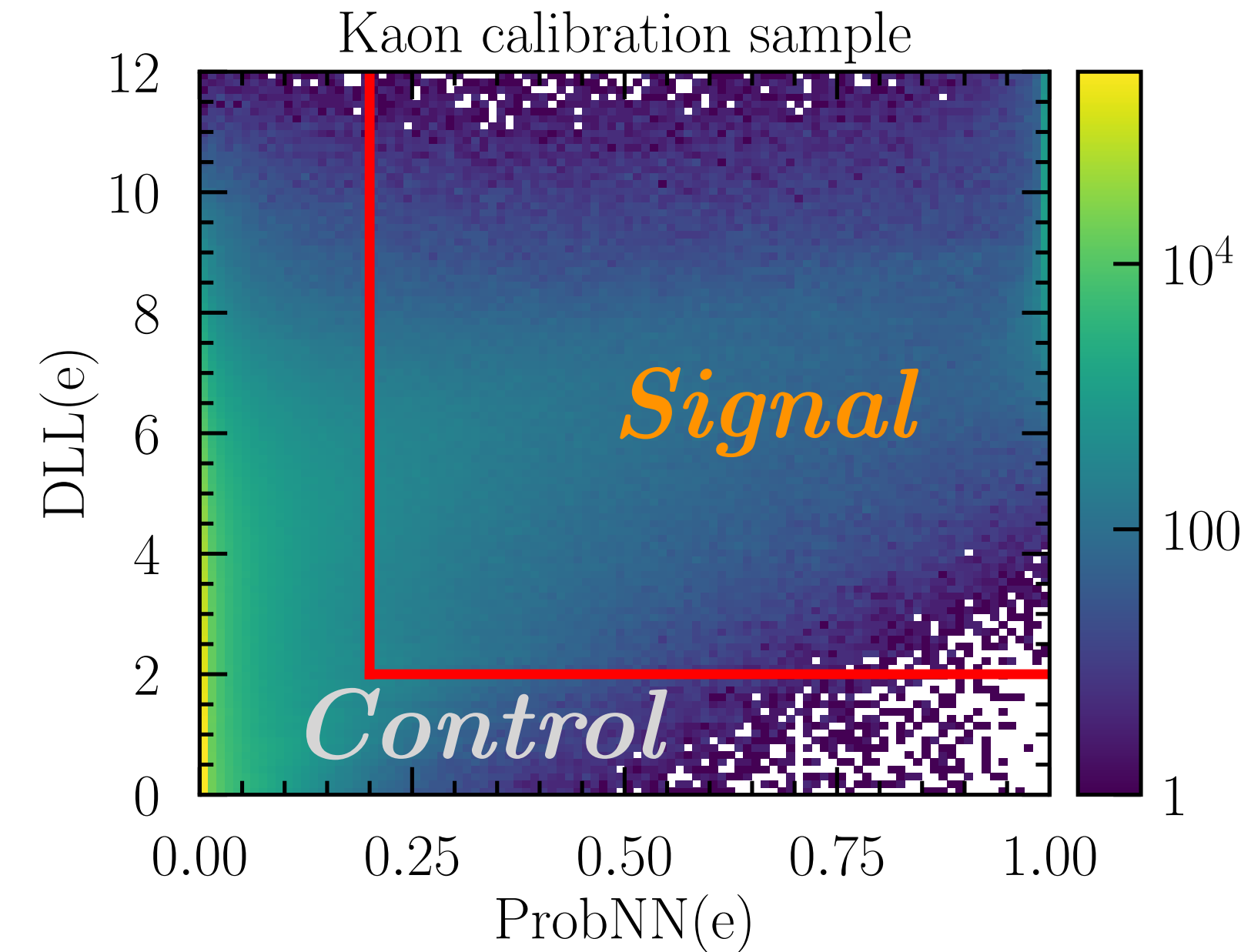
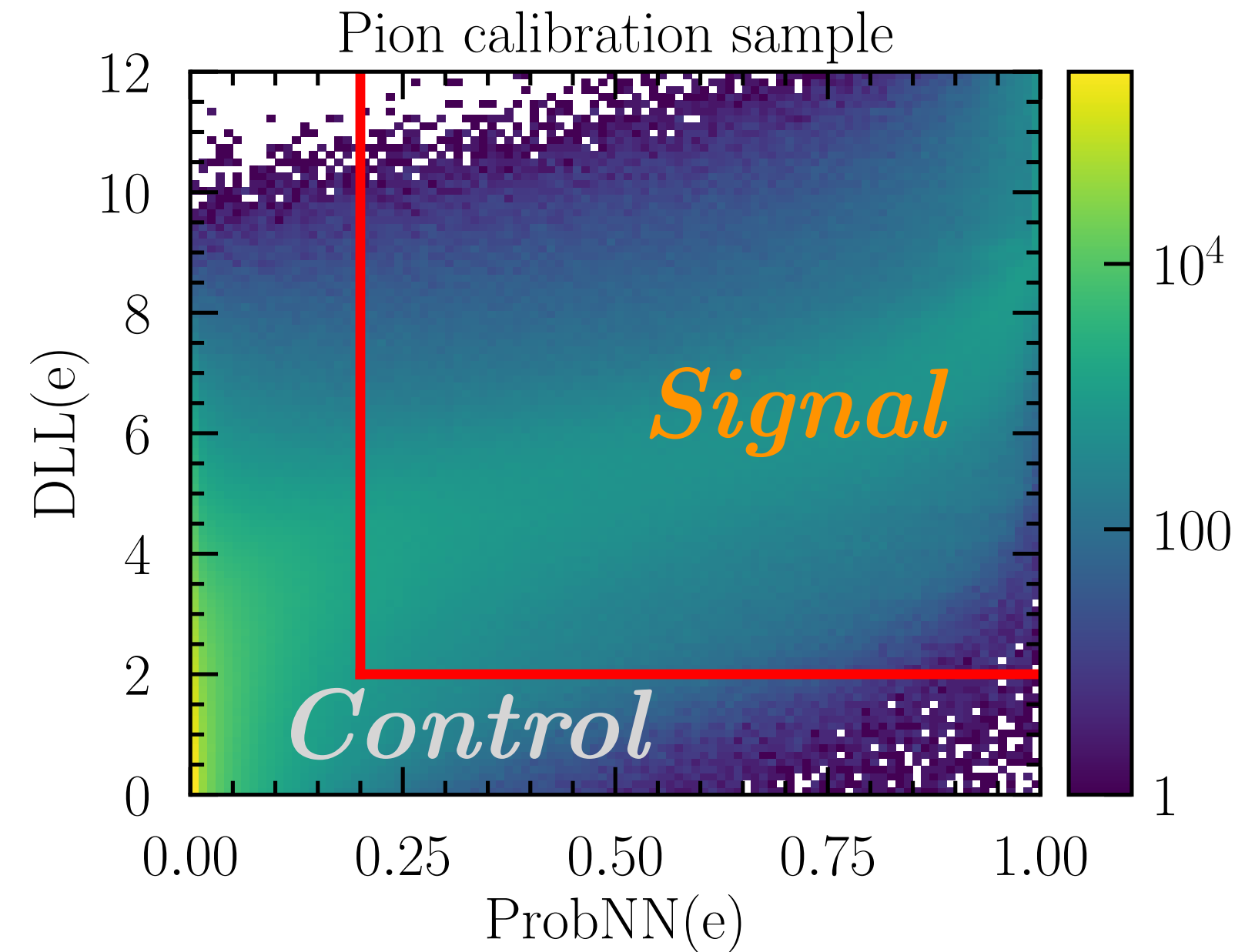
- ▶ not too far from signal, ensuring only pion/kaon misID is relevant

◆  $\frac{\text{pass}}{\text{fail}}$  (transfer function) from  $D^{*-} \rightarrow \bar{D}^0(K^+\pi^-)\pi^-$  calibration data in  $p_T, \eta$  bins

- ▶  $K/\pi \rightarrow e$  : “control” → “signal”

## ◆ *Validation:*

- ▶ *Data:* use  $\bar{D}^0(K^+\pi^-)$  in  $K^+e^+e^-$  (no vetoes)
- ▶ *Simulation:*  $B^+ \rightarrow K^+K^+K^-$  and  $B^+ \rightarrow K^+\pi^+\pi^-$
- ▶ Prediction within 2% margin



# Misidentified background in electron mode

## ◆ *Model them analytically*

- ▶ Kernel density estimation for systematic

## ◆ *Normalisation*

- ▶ Gaussian constrained (stat. precision of prediction)

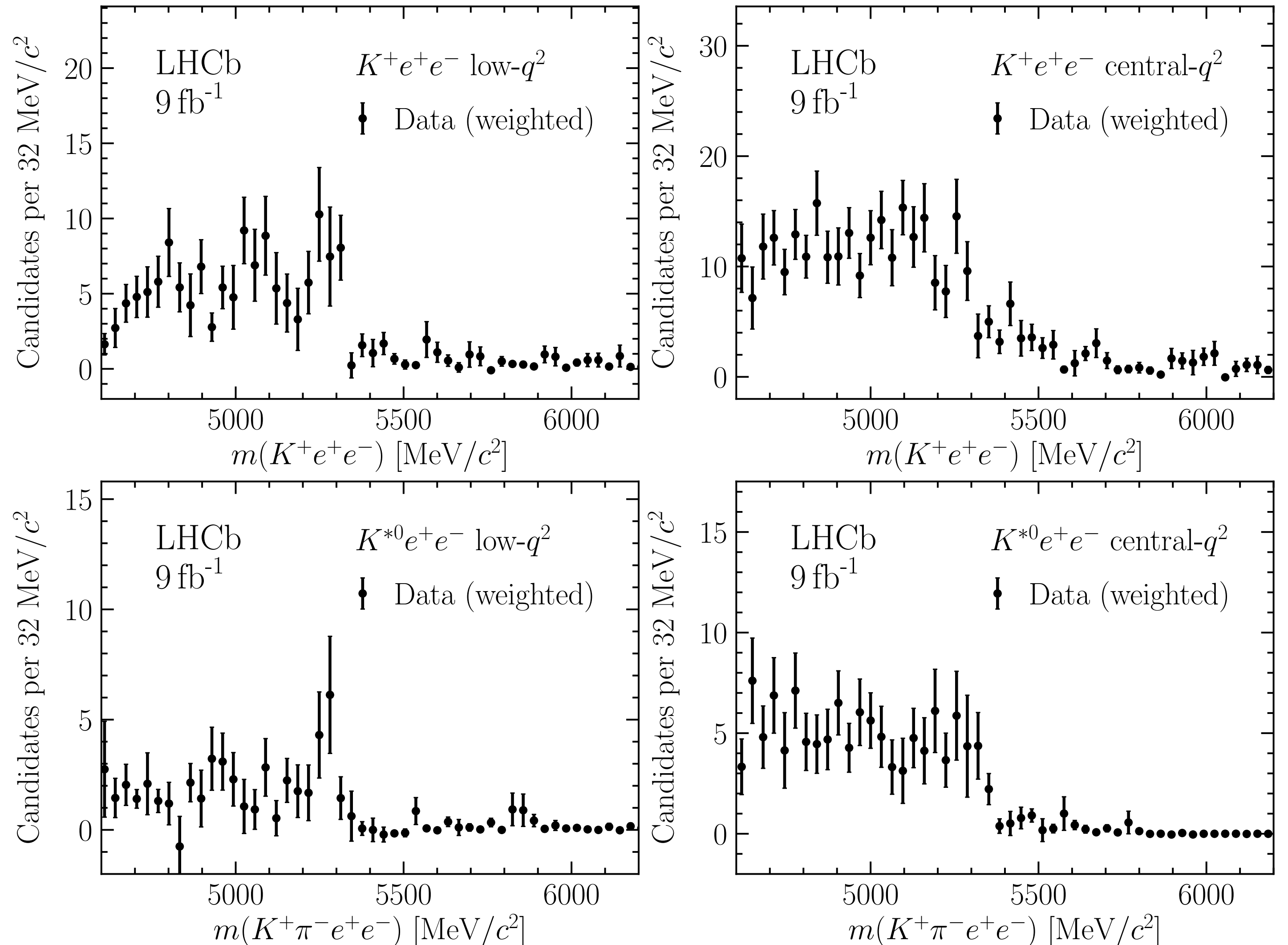
## ◆ *Systematics*

- ▶ Use alternative “control” regions
- ▶ *Different kaon/pion ID tagging in control region*
- ▶ *Trigger effects, binning transfer function*

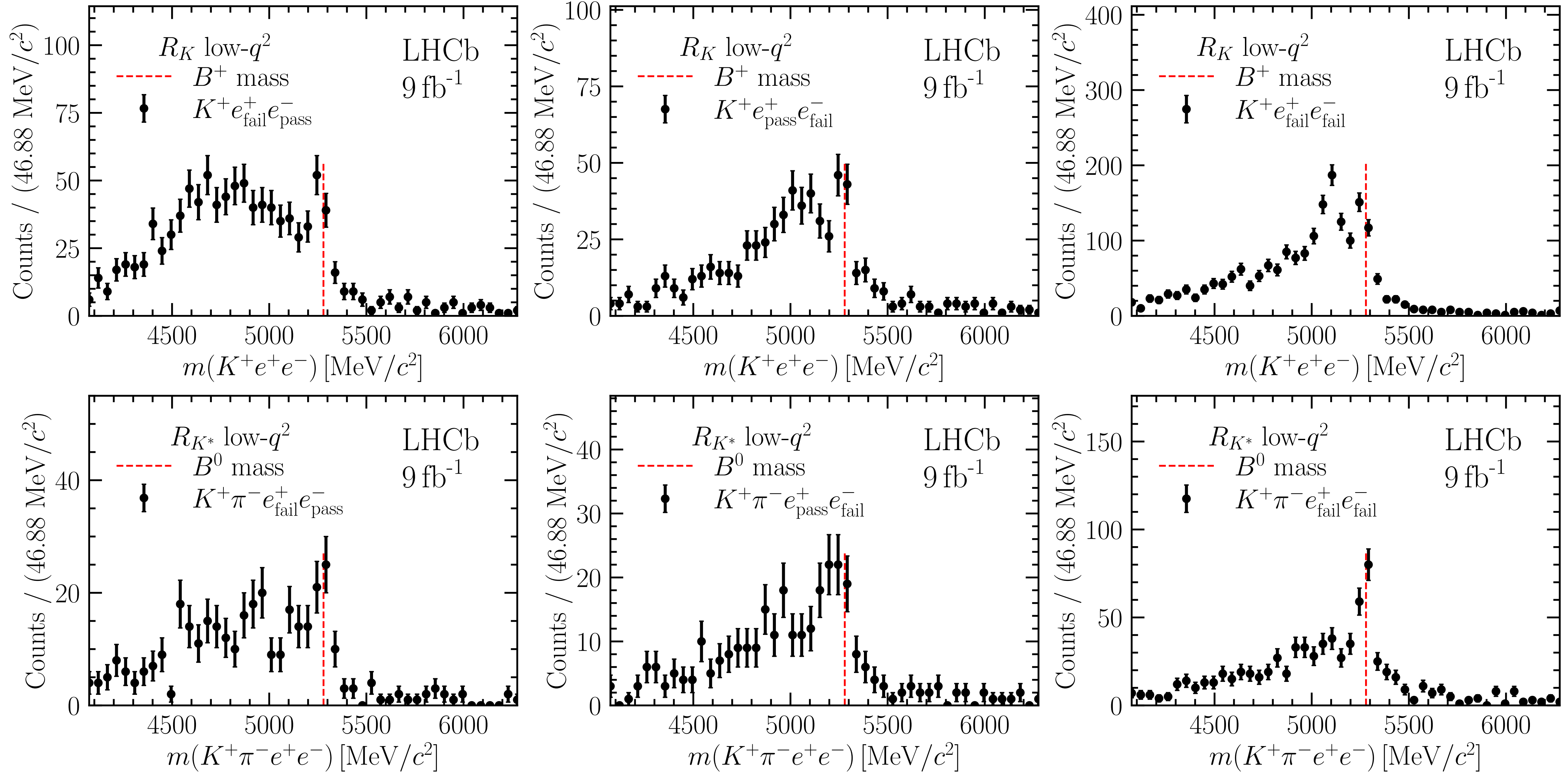
*NB: misidentified background not included in mass fit in previous analysis (see backup for comparison)*

## Predictions after per-track and per-event weighting

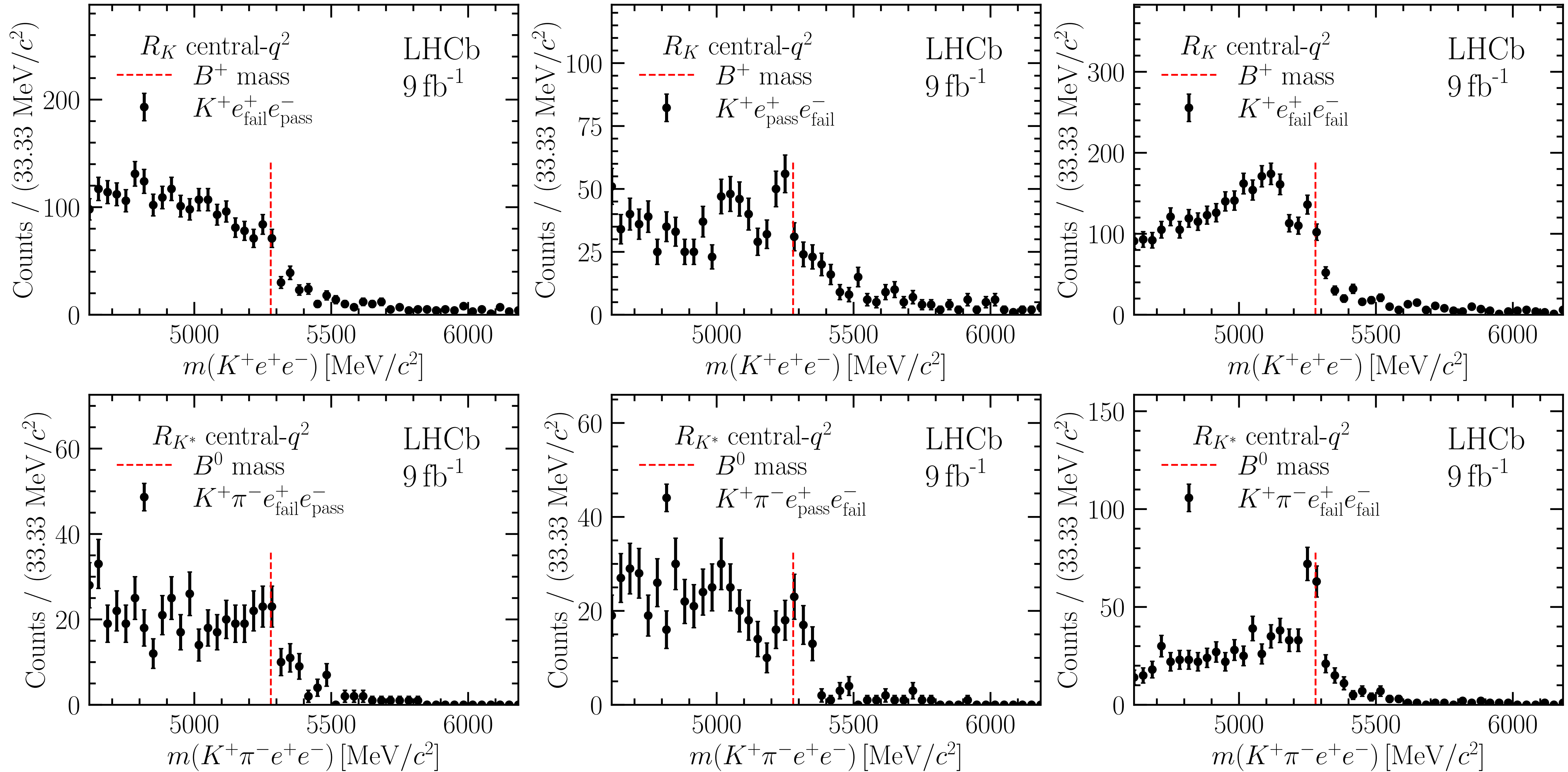
(signal subtracted)



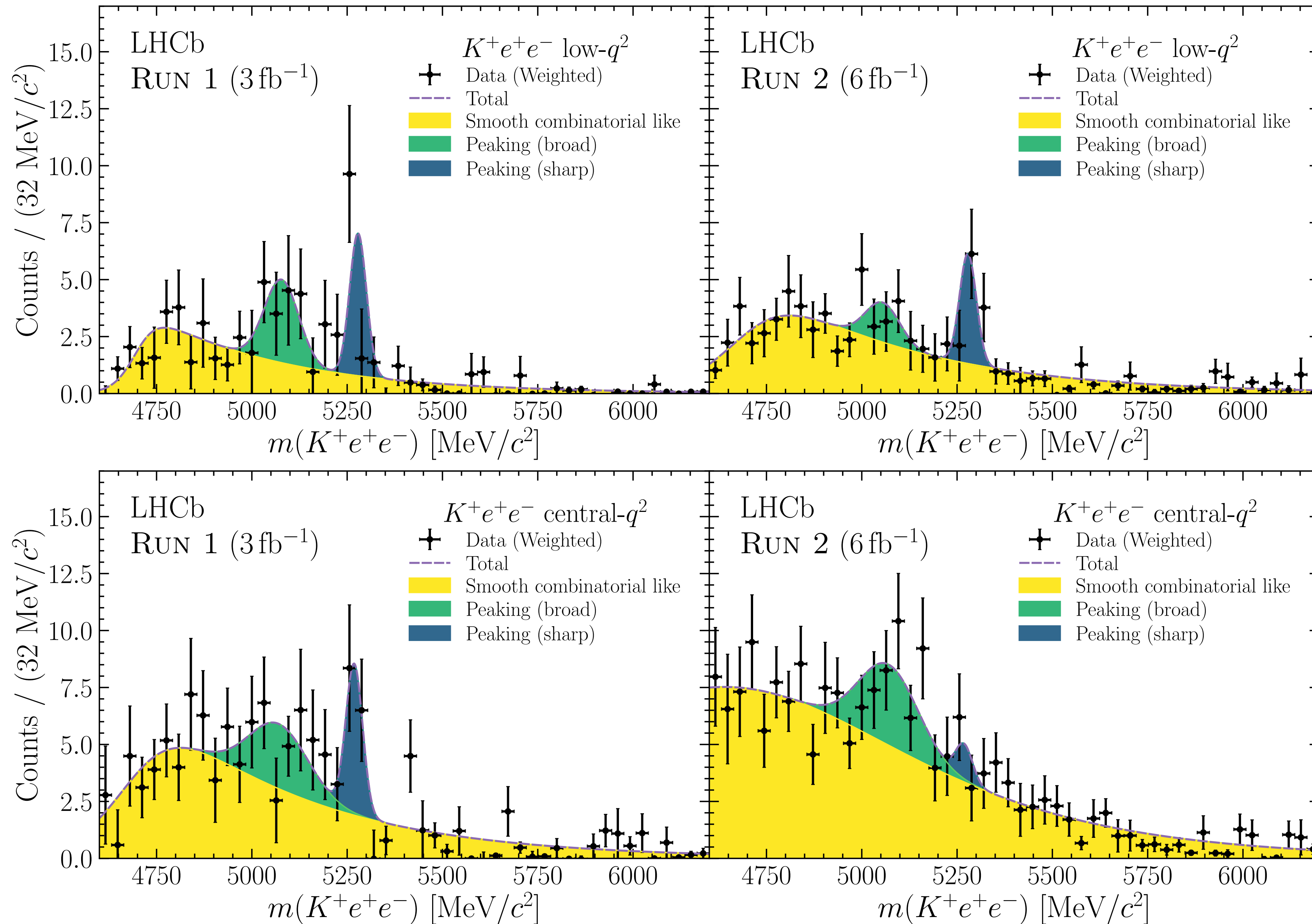
# Data fully selected in “control” regions ( $B^+ \rightarrow K^+ e^+ e^-$ ) [before weights]



# Data fully selected in “control” regions ( $B^0 \rightarrow K^{*0}e^+e^-$ ) [before weights]

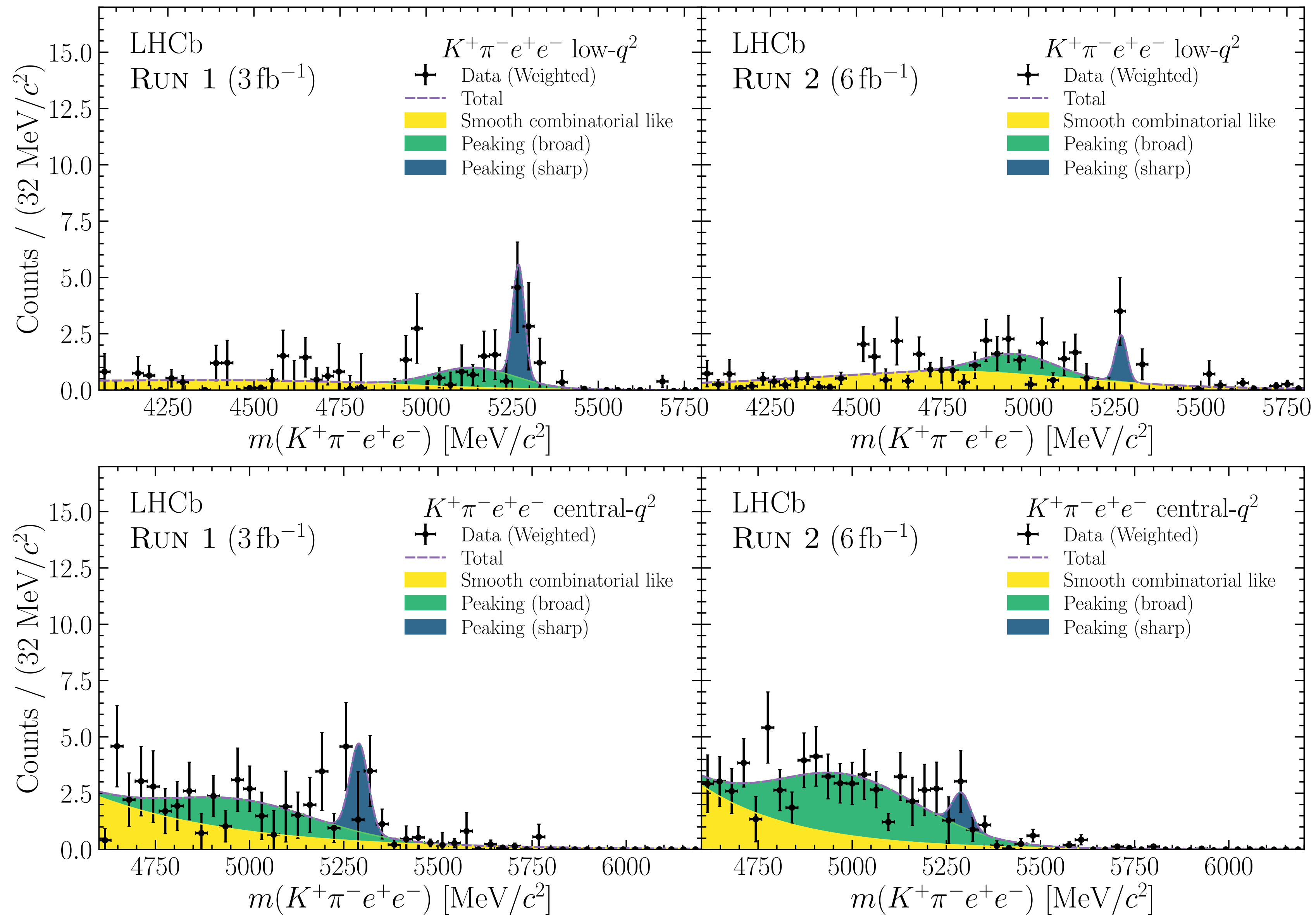


# Misidentified background in electron mode ( $R_K$ )





# Mass fit to rare mode muons: simultaneous fit $R_{K,K^*0}$



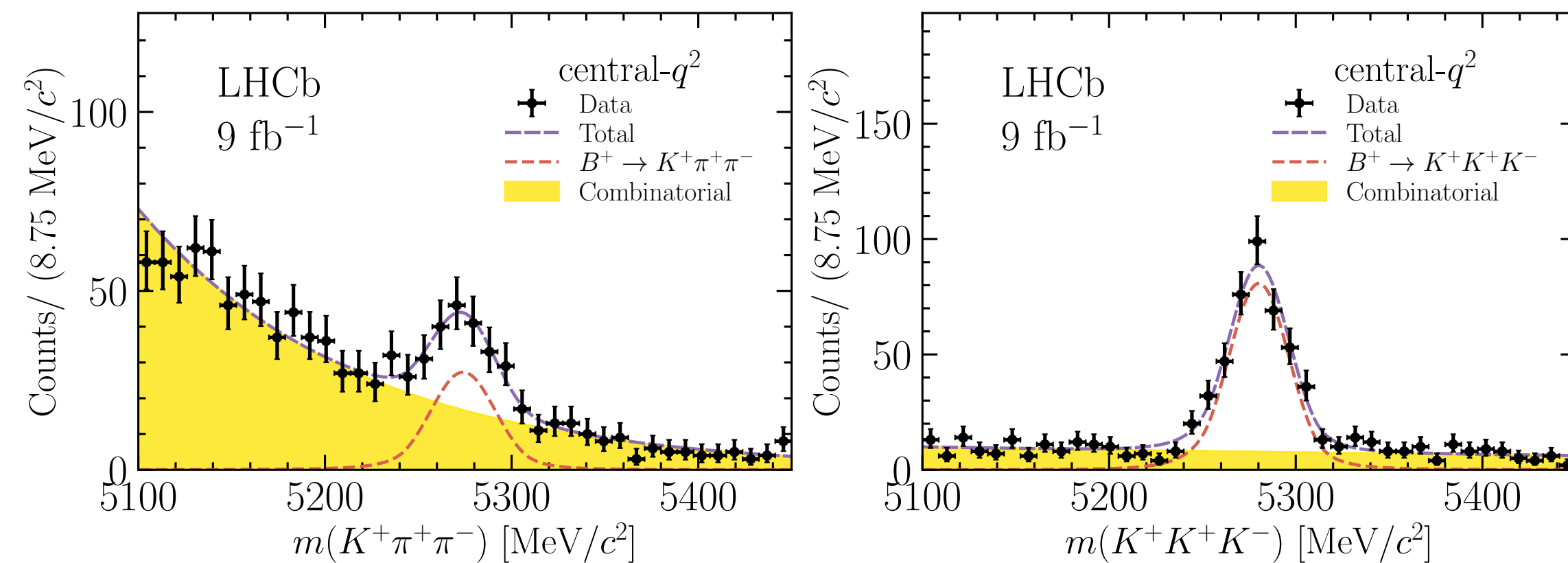
# Can we go beyond the “inclusive” mis-ID treatment?

► Need to achieve precise control of mis-identified backgrounds  $H_b \rightarrow h_1 h_2 h_3 (h_4)$  for double mis-ID &

$H_b \rightarrow h_1 h_2 (h_3) e X$  single mis-ID

- Mis-identification of electrons heavily depends on kinematics of final states  $(\eta, p_T)$  [RICH, CALO], requires 4-body charmless decay full amplitude analysis and branching ratios measurements which are not available to date ( $B^0 \rightarrow K^+ \pi^- (\pi/K)^+ (\pi/K)^-$ )
- Also, need to ping down all the possible single misID backgrounds which would show up from single-electron misID contribution

*Simplest peaking backgrounds in electron mode in “control” region (same in  $K^{*0}$  final states)*



► Use tighter PID requirements?

- It has a direct effect to signal yields and statistical precision we can reach
- Still need to ensure backgrounds become negligible

► Differentiate more the analysis need more statistics

- Split analysis in “with/without” brem added category, presence of recovered photon is more effective to reject mis-ID and combinatorial
- Also, backgrounds/signal interplay can benefit from going beyond the 1D fit on invariant masses motivating LFU tests coupled to simultaneous angular analyses in muon/electron states