



Search for LFV with the LHCb experiment

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on behalf of the LHCb collaboration

New Frontiers in Lepton Flavour 2023

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Charged Lepton Flavour Violation - why?

Neutrino oscillation parameters

See [Lorenzo's talk](#)

► Why not?

- LFV in Neutrinos well established: Neutrino oscillations!
- Where does this come from?
- Can this happen in the charged sector as well?

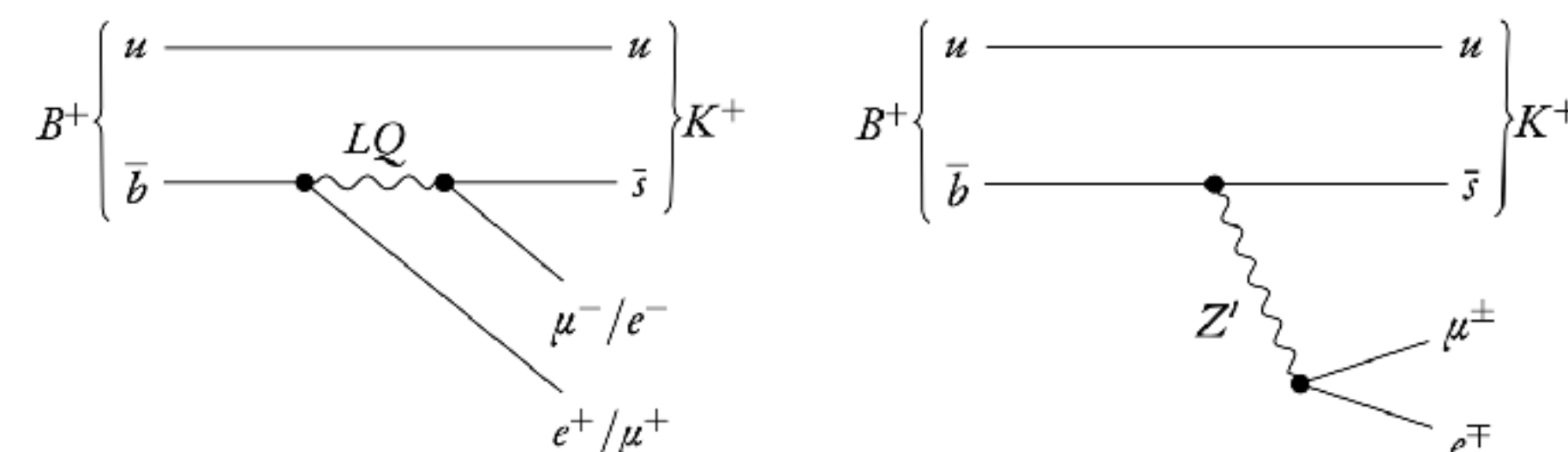
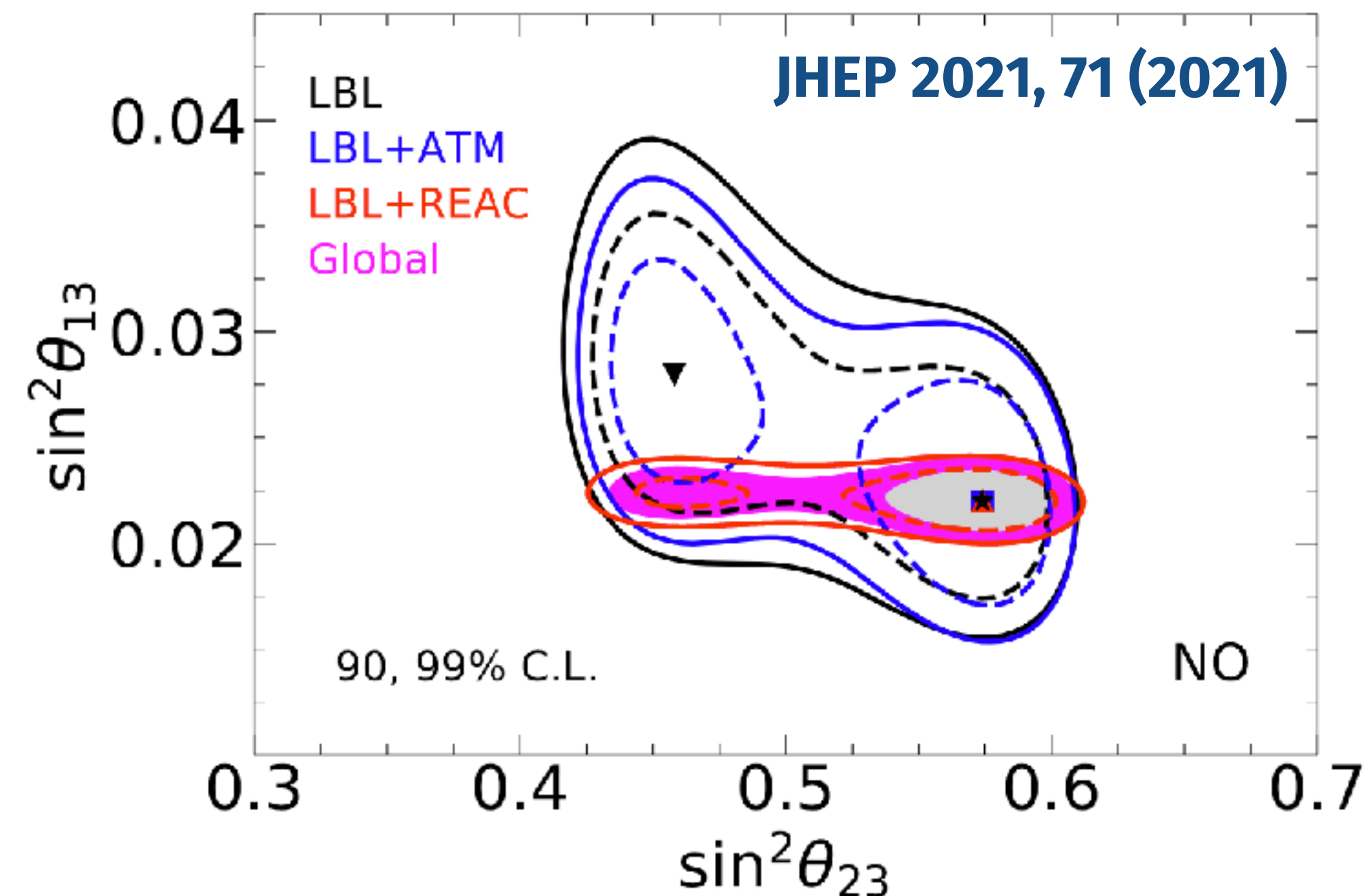
► Typical New Physics extensions

- Z' or Leptoquarks
- R-parity violating SUSY
- ...
- predict LFV branching fractions that are within experimental reach

► In the B sector often connected to $b \rightarrow s\ell\ell$ anomalies and

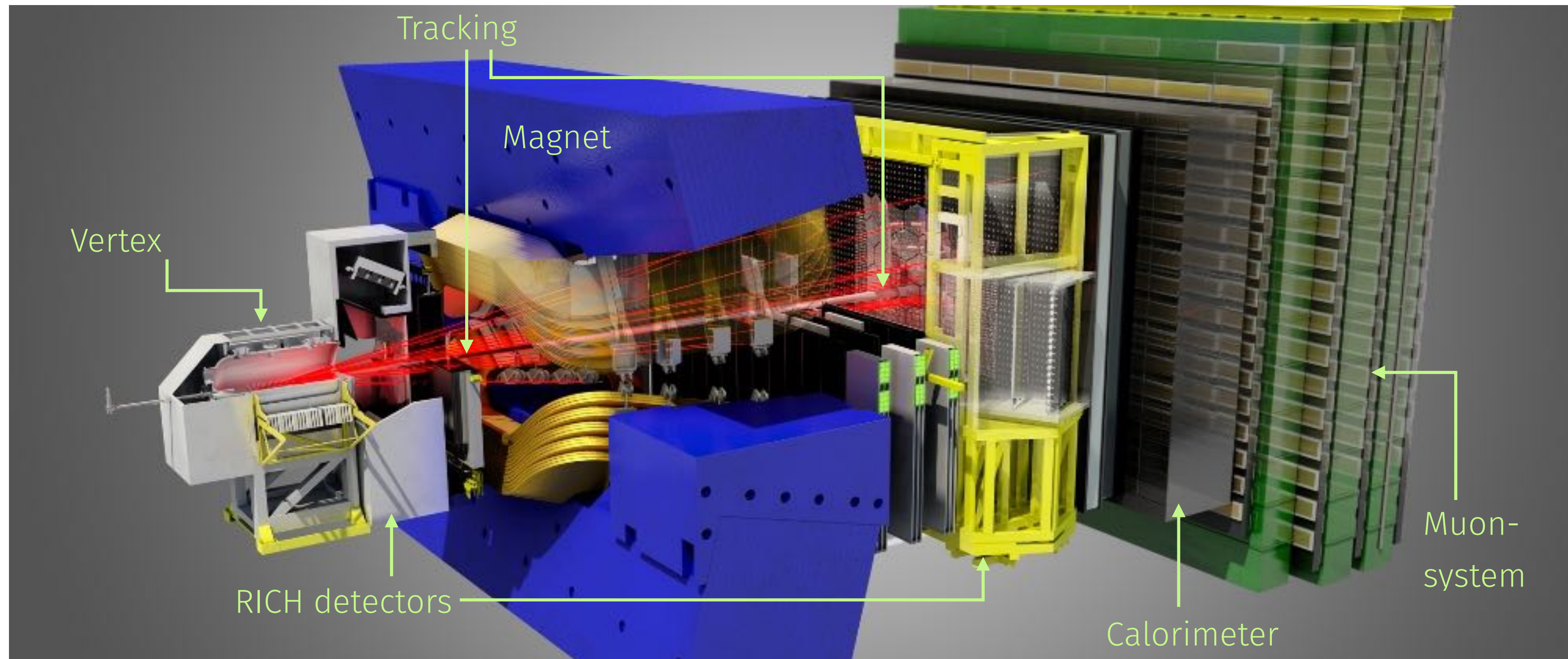
$b \rightarrow c\ell\nu$ LFU tests [See talks from Renato and Rizwaan](#)

- Broken Lepton Flavour Universality \rightarrow charged Lepton Flavour violation!



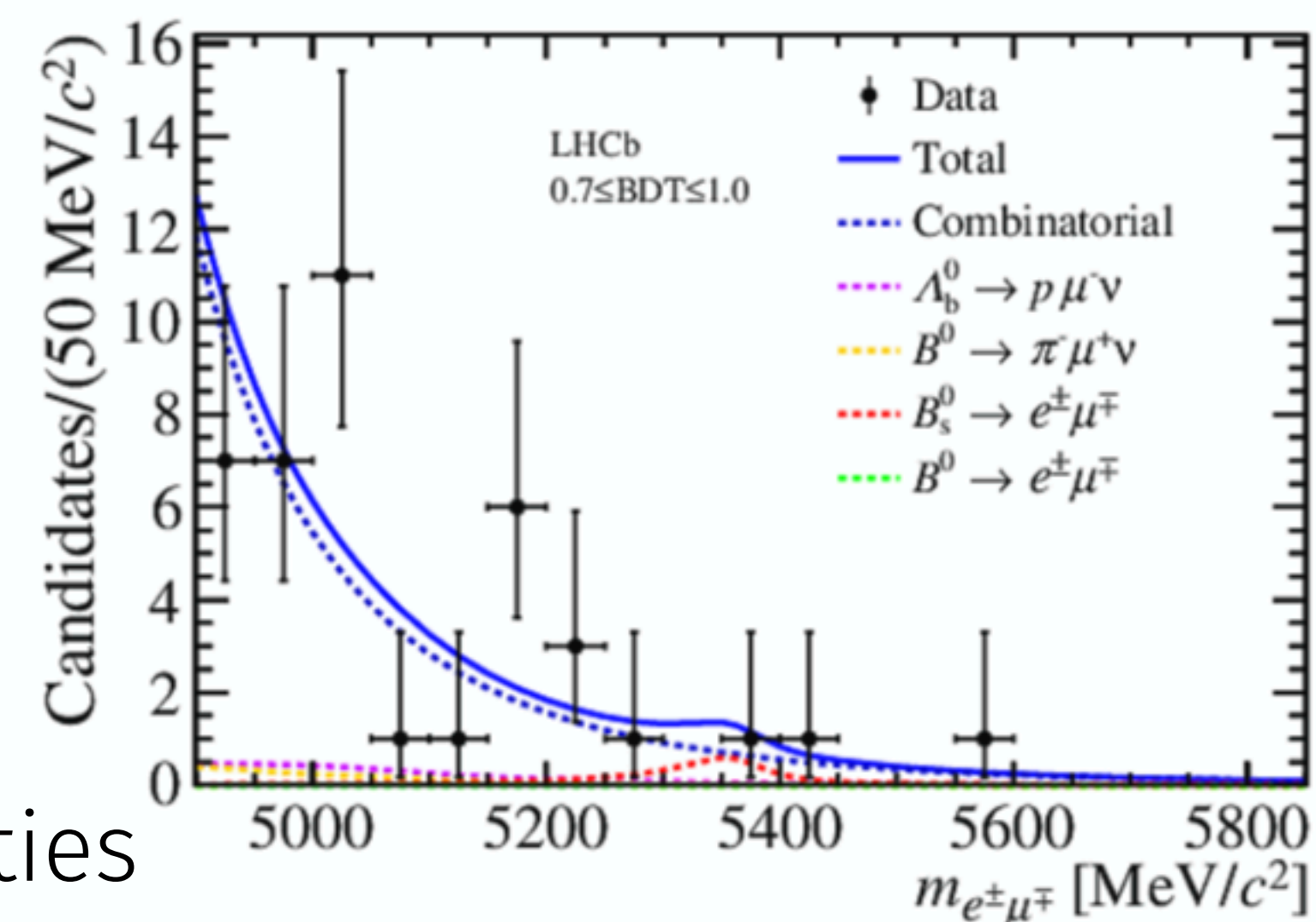
LHCb detector

- ▶ pp collisions from LHC
- ▶ Collected data
 - Run 1 (2011-2012):
 3 fb^{-1} at 7 & 8 TeV
 - Run 2 (2015-2018):
 6 fb^{-1} at 13 TeV
→ $\sim 3 \times$ more data
- ▶ New detector:
 - Run 3 (2023 -):
13.6 TeV



Purely leptonic B decays: $B_{(s)}^0 \rightarrow e^{\pm} \mu^{\mp}$

- ▶ New Physics scenarios $\mathcal{O}(< 10^{-11})$
 - [Crivellin et al. PRD 92 (2015) 054013]
 - [Becirevic et al. PRD 94 (2016) 115021]
 - [Hiller et al. JHEP 06 (2015) 072]
 - [Ilakovac PRD 62 (2000) 036010]
- ▶ Data from Run 1
- ▶ Normalise and calibrate with $B^0 \rightarrow K^+ \pi^-$ and $B^+ \rightarrow J/\psi(\ell\ell)K^+$ decays
- ▶ Exploit particle identification, kinematic and isolation properties
- ▶ Biggest challenges:
 - Maximise sensitivity through bins of multivariate classifier output
 - Control muon and electron misID rate
- ▶ Update with full LHCb data 2011-2018 ongoing



$$\mathcal{B}(B_s^0 \rightarrow e^{\pm} \mu^{\mp}) < 5.4 \times 10^{-9} \text{ @ 90\% CL}$$

$$\mathcal{B}(B_d^0 \rightarrow e^{\pm} \mu^{\mp}) < 1.0 \times 10^{-9} \text{ @ 90\% CL}$$

Strongest limits

Purely leptonic B decays: $B_{(s)}^0 \rightarrow \tau^\pm \mu^\mp$ (Run 1)

► Highly challenging

- Reconstruction through $\tau^+ \rightarrow \pi^+ \pi^- \pi^+ \nu$ (9%)

$\tau^+ \rightarrow \pi^+ \pi^- \pi^+ \pi^0 \nu$ 5% effect after selection

- Neutrino missing: compute B mass up to two-fold ambiguity through τ mass and vertex constraints

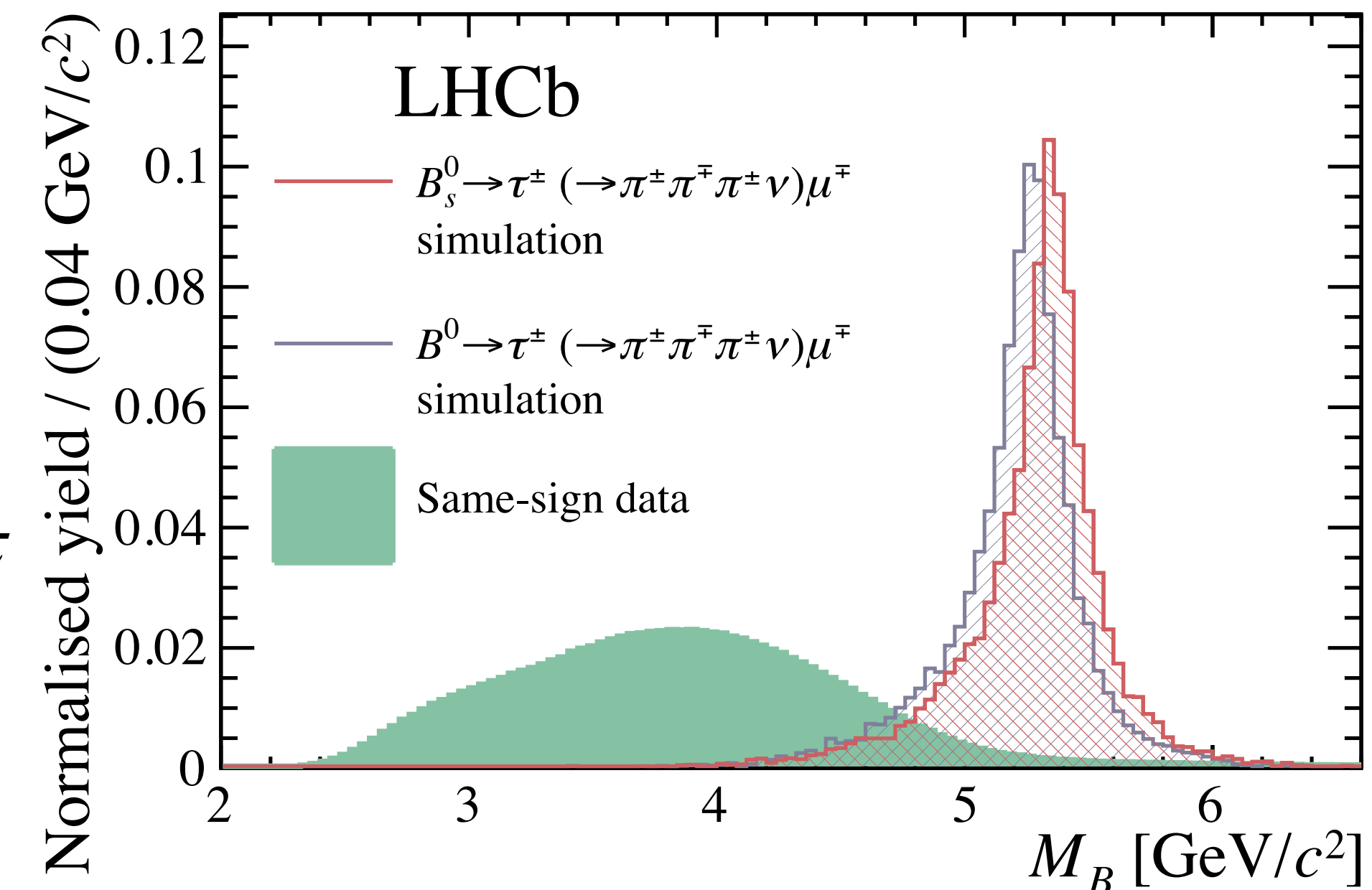
→ use solution with the better signal-to-background ratio (70% physical solutions, <50% background)

► Highly rewarding: New Physics range (Leptoquarks, Z' - PS3)

$10^{-8} - 10^{-4}$!

► Normalisation through $B^0 \rightarrow D^-(\rightarrow K^+ \pi^- \pi^-) \pi^+$,

additional calibration with $B^+ \rightarrow J/\psi(\mu^+ \mu^-) K^+$ decays



[Cornella et al. JHEP 1907 (2019) 168]

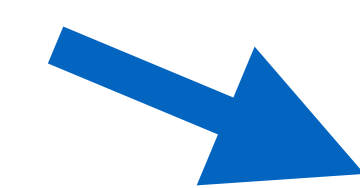
[Bordone et al. JHEP 10 (2018) 148]

[Becirevic et al. EPJ C76 (2016) 134]

[Becirevic et al. JHEP 11 (2016) 035]

[Bhattacharya et al., JHEP 01 (2017) 15]

[Smirnov, MPLA 33 (2018) 1550019]



Purely leptonic B decays: $B_{(s)}^0 \rightarrow \tau^\pm \mu^\mp$

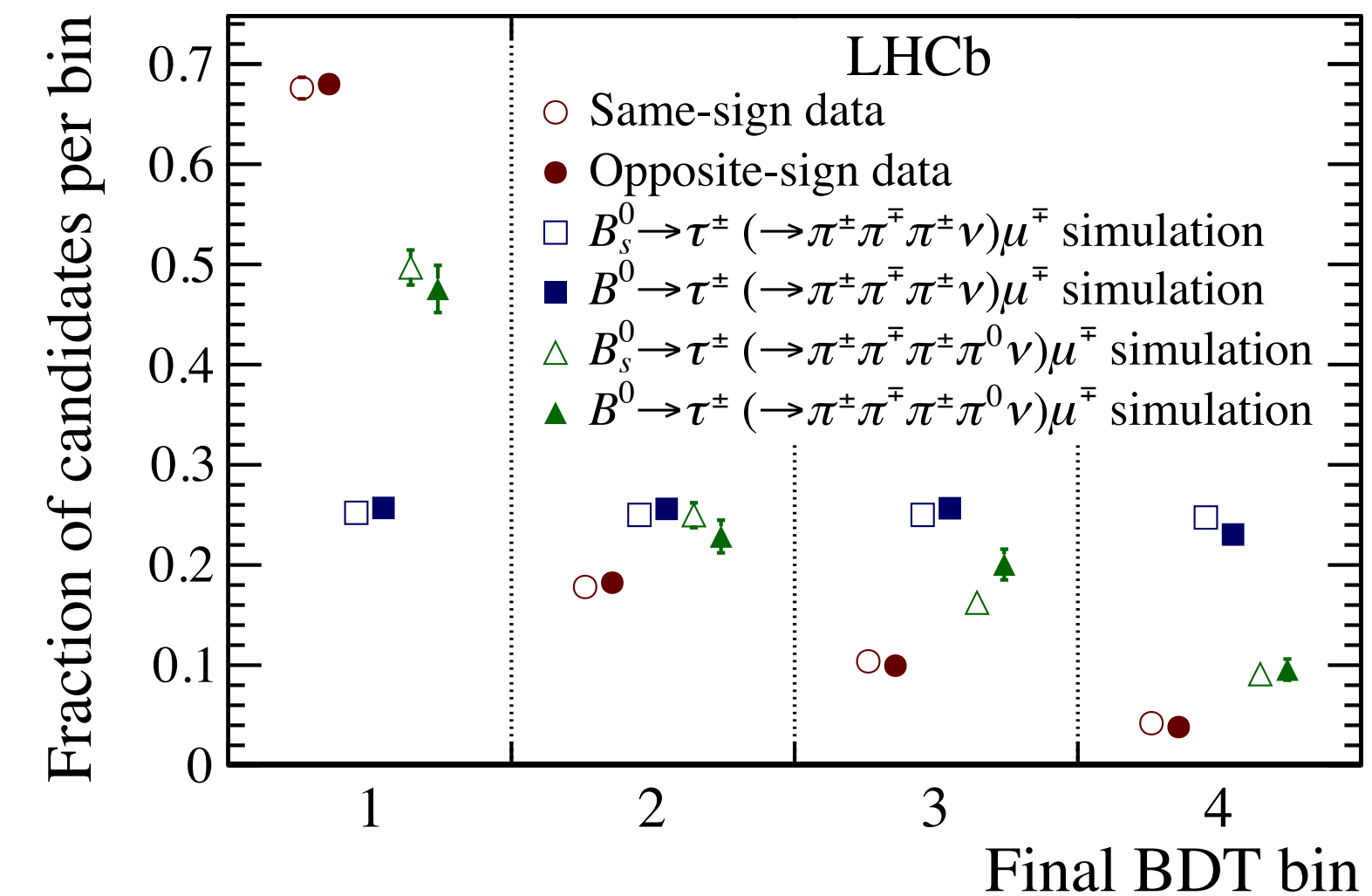
▶ $B_{(s)}^0 \rightarrow \tau^\pm \mu^\mp$ analysis challenges:

- Large backgrounds from $B \rightarrow D_{(s)}^{(+)} \mu X$ - modelled through empirical model from same-sign combinations
- Low mass resolution due to missing neutrino
- Exploit classifiers based on τ isolation, B topology and pion combinations
- Maximise sensitivity by binning in classifier response

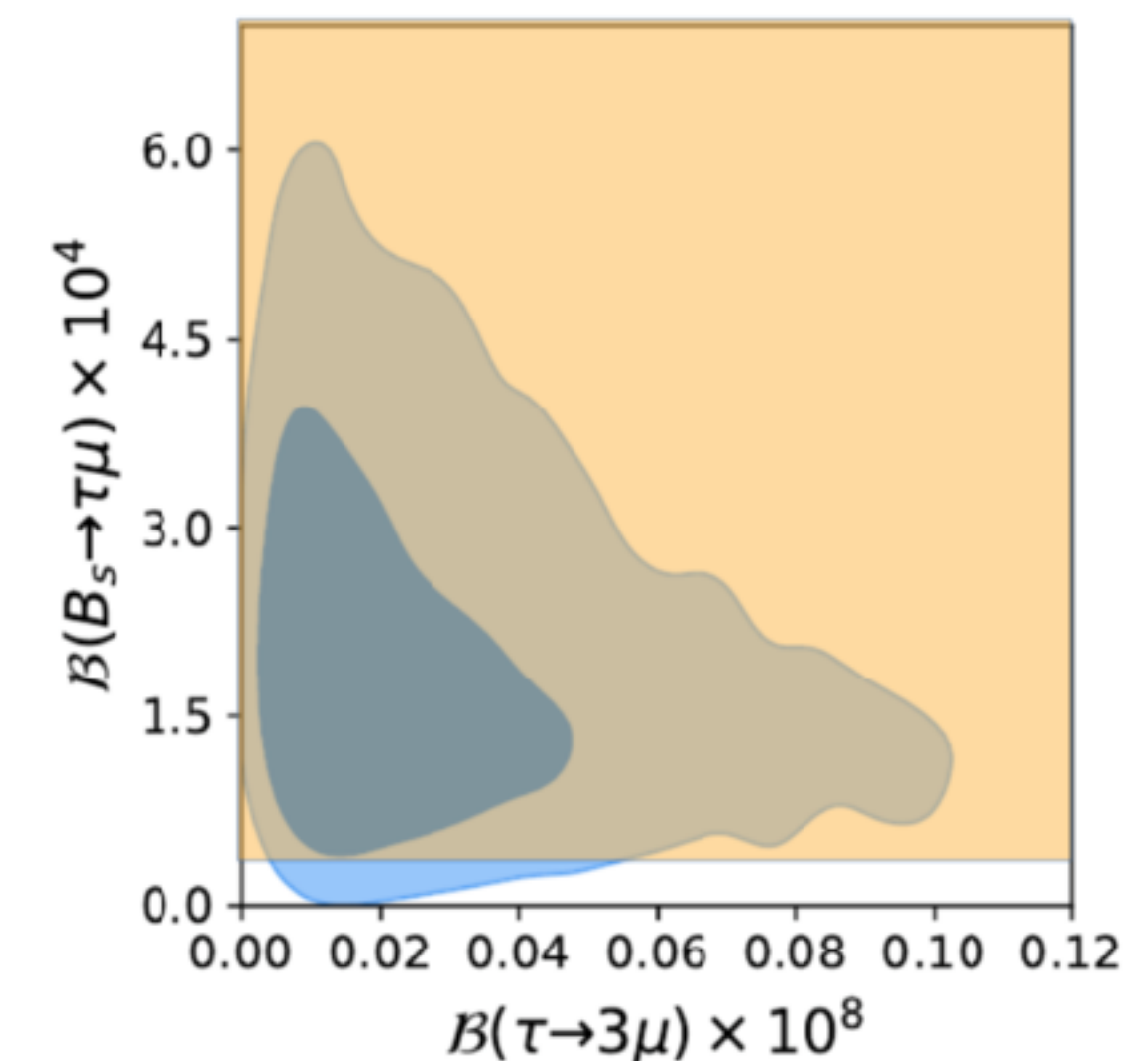
▶ First ever limit on $B_s^0 \rightarrow \tau^\pm \mu^\mp$, factor 2 improvement on BaBar

for $B^0 \rightarrow \tau^\pm \mu^\mp$

- $\mathcal{B}(B_s^0 \rightarrow \tau^\pm \mu^\mp) < 3.4 \times 10^{-5}$ @ 90% CL
- $\mathcal{B}(B_d^0 \rightarrow \tau^\pm \mu^\mp) < 1.2 \times 10^{-5}$ @ 90% CL



[Bordone et al. JHEP 10 (2018) 148]



Semileptonic B decays: $B^+ \rightarrow K^+ \mu^\pm e^\mp$

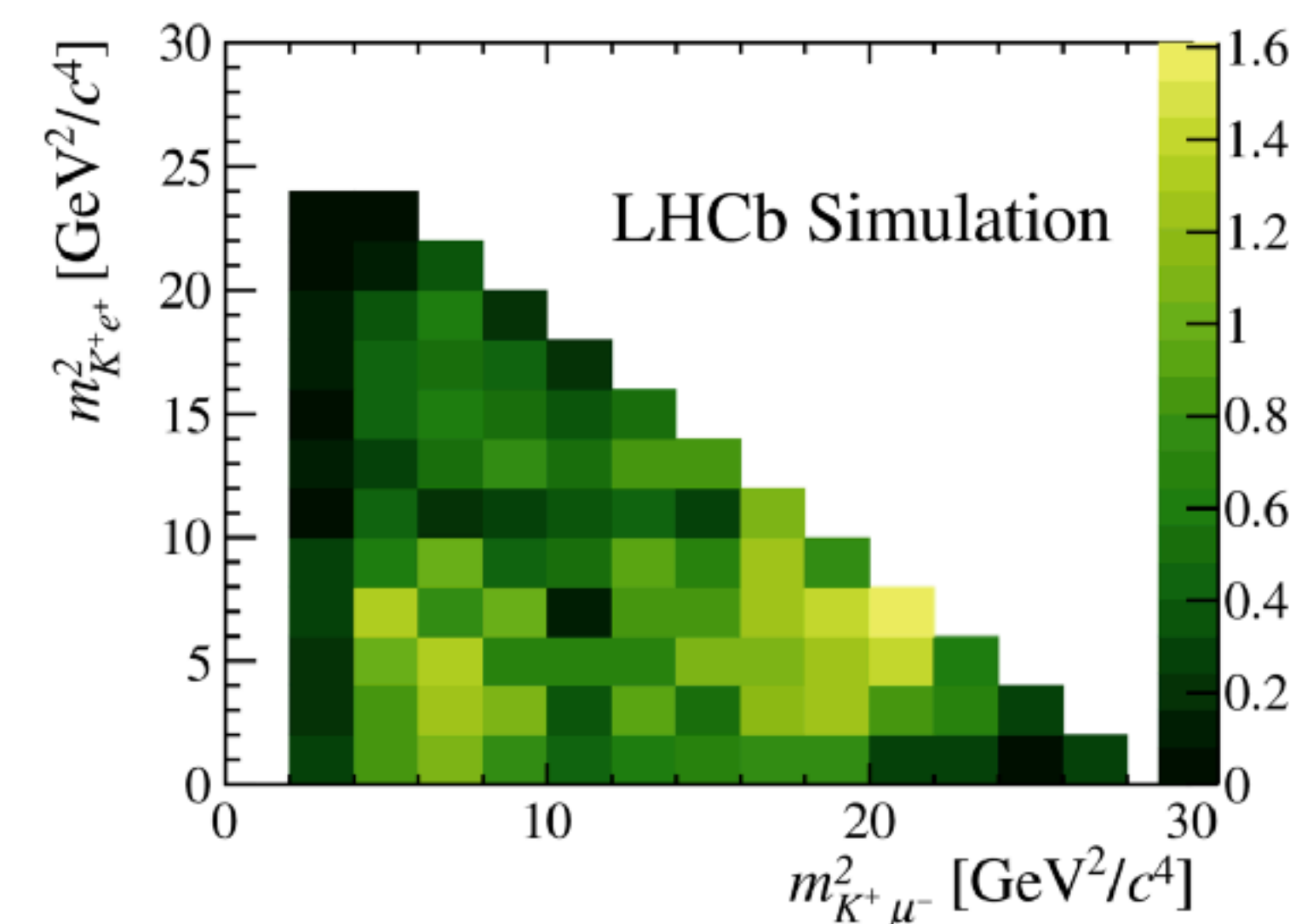
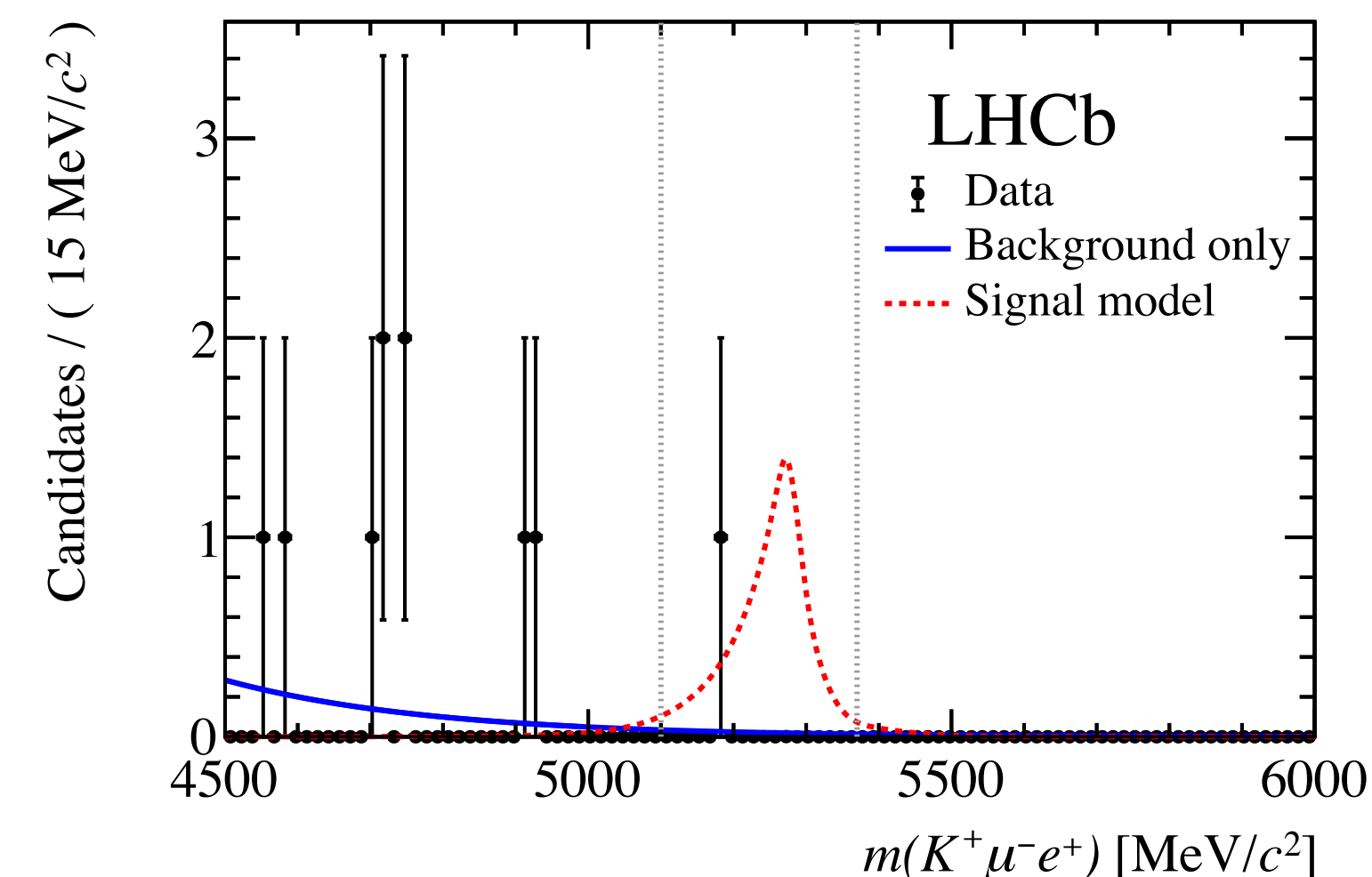
- ▶ New Physics can reach up to $10^{-10} - 10^{-8}$
(Leptoquarks, Z' , models with CPV in Neutrinos)
- ▶ Search with 3 fb^{-1} from Run 1
- ▶ Use high statistics modes $B^+ \rightarrow K^+ J/\psi(\ell\ell)$ as control and normalisation modes
- ▶ Exploit tight particle identification and two multivariate classifiers based on B kinematics and topology:
 - Against random track combinations
 - Against partially reconstructed backgrounds ($B \rightarrow D\ell\nu$ pollute lower mass range)
- ▶ Dalitz structure unknown: efficiency distributions in the $m^2(K\mu) - m^2(Ke)$ distribution
- ▶ Observed upper limits @90% CL:
 - $\mathcal{B}(B^+ \rightarrow K^+ e^- \mu^+) < 6.4 \times 10^{-9}$
 - $\mathcal{B}(B^+ \rightarrow K^+ \mu^- e^+) < 7.0 \times 10^{-9}$
- ▶ Update on $B^+ \rightarrow K^+ e^\pm \mu^\mp$ with full 2011-2018 data in progress

[Medeiros Varzeilas and Hiller JHEP06 (2015) 072]

[Hiller et al. JHEP12 (2016) 027]

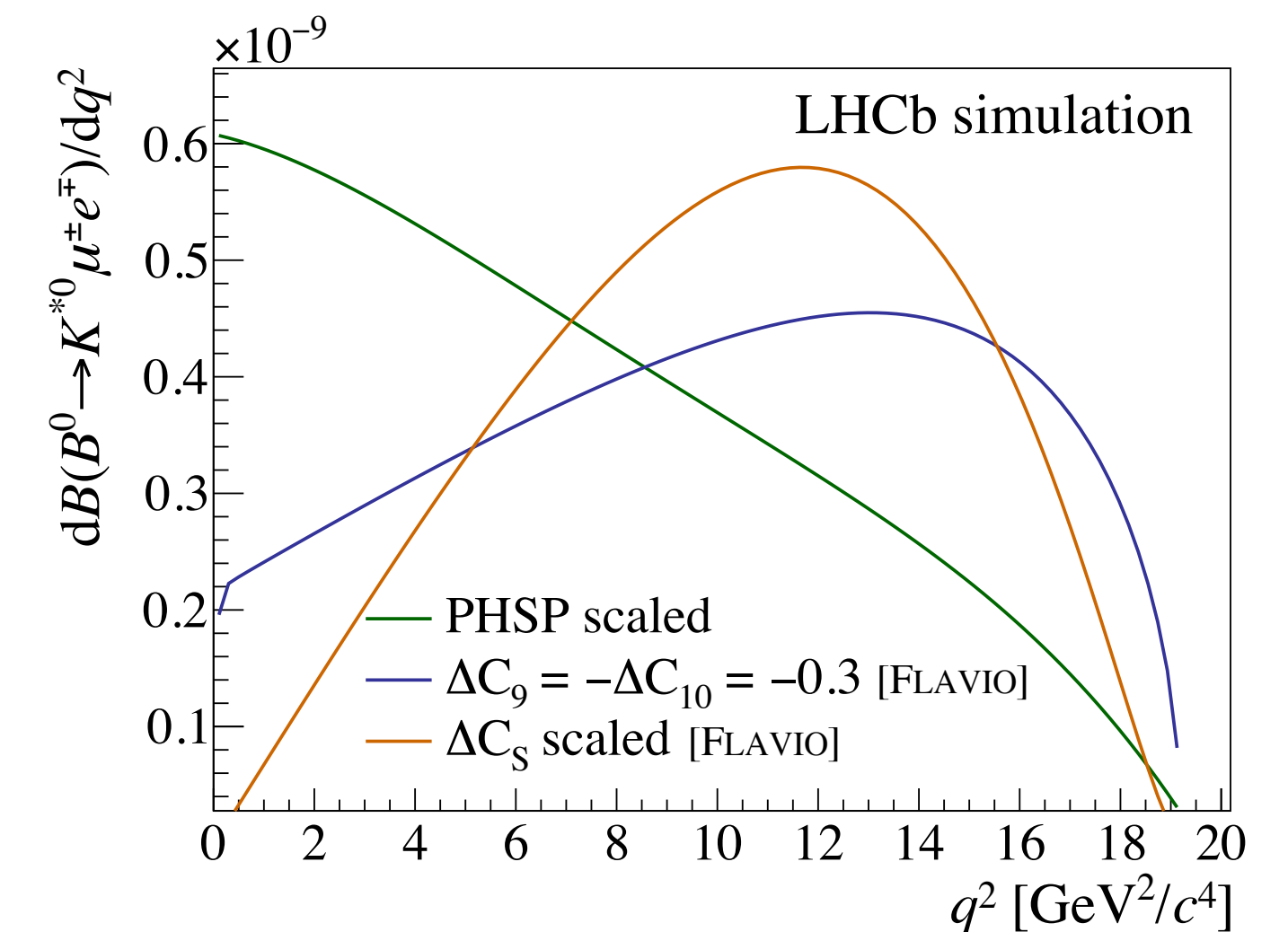
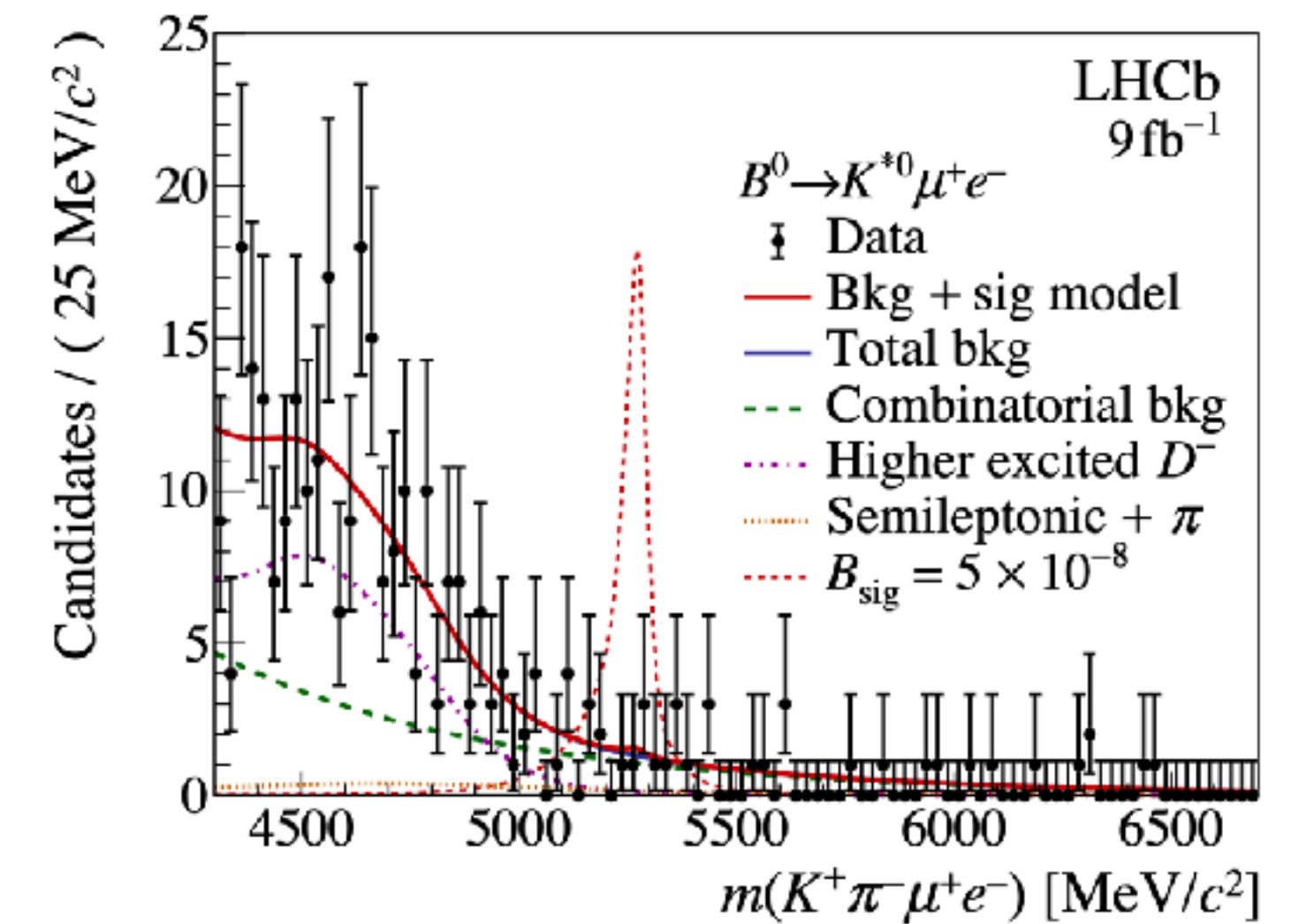
[Crivellin et al. PRD 92 (2015) 054013]

[Boucenna et al PLB (2015) 09040]



Semileptonic B decays: $B^0 \rightarrow K^{*0} \mu^\pm e^\mp$ and $B_s^0 \rightarrow \phi \mu^\pm e^\mp$

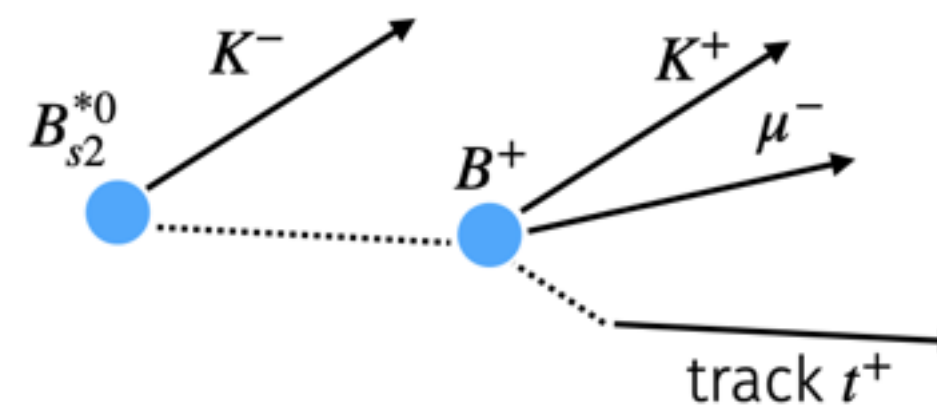
- ▶ Similar New Physics scenarios, could go up to 10^{-7}
- ▶ Using full Run 1 and Run 2 data, reconstruct $K^{*0} \rightarrow K^+ \pi^-$ and $\phi \rightarrow K^+ K^-$
- ▶ Use high statistics modes $B \rightarrow XJ/\psi(\ell\ell)$ as control and normalisation modes
- ▶ Exploit tight particle identification and multivariate classifiers based on B kinematics and topology
- ▶ Challenges:
 - Model the remaining background candidates
 - High branching fractions of semileptonic $B \rightarrow D\ell\nu$ decays - precise description necessary
- ▶ Upper limits @90% CL:
 - $\mathcal{B}(B^0 \rightarrow K^{*0} e^- \mu^+) < 6.8 \times 10^{-9}$
 - $\mathcal{B}(B^0 \rightarrow K^{*0} e^- \mu^+) < 5.7 \times 10^{-9}$
 - $\mathcal{B}(B_s^0 \rightarrow \phi e^\pm \mu^\mp) < 16.0 \times 10^{-9}$
- ▶ Dalitz structure unknown - recast the limit in scalar and left-handed New Physics scenarios
- ▶ Searches for $\Lambda_b^0 \rightarrow \Lambda \mu^\pm e^\mp$ in progress



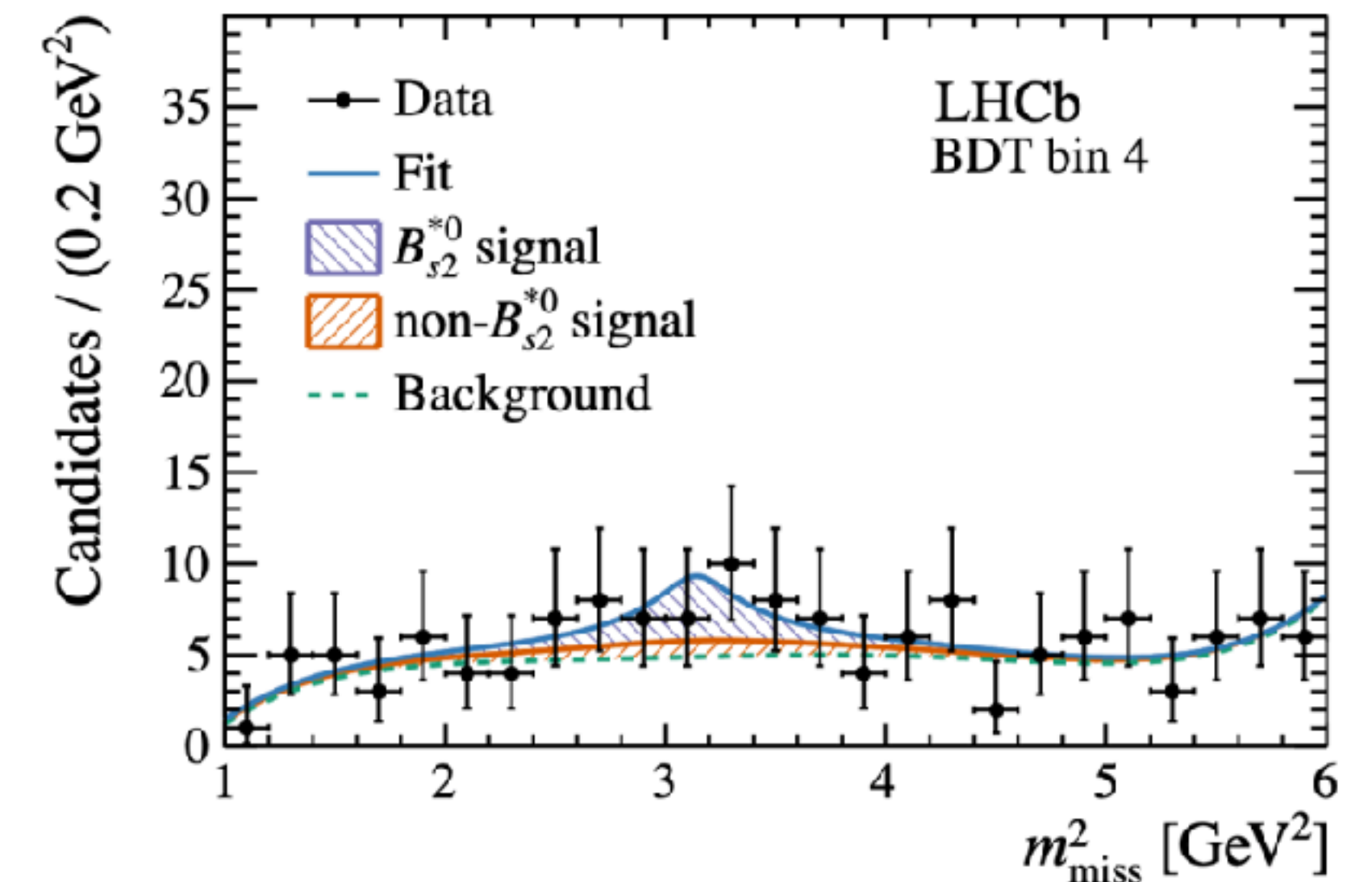
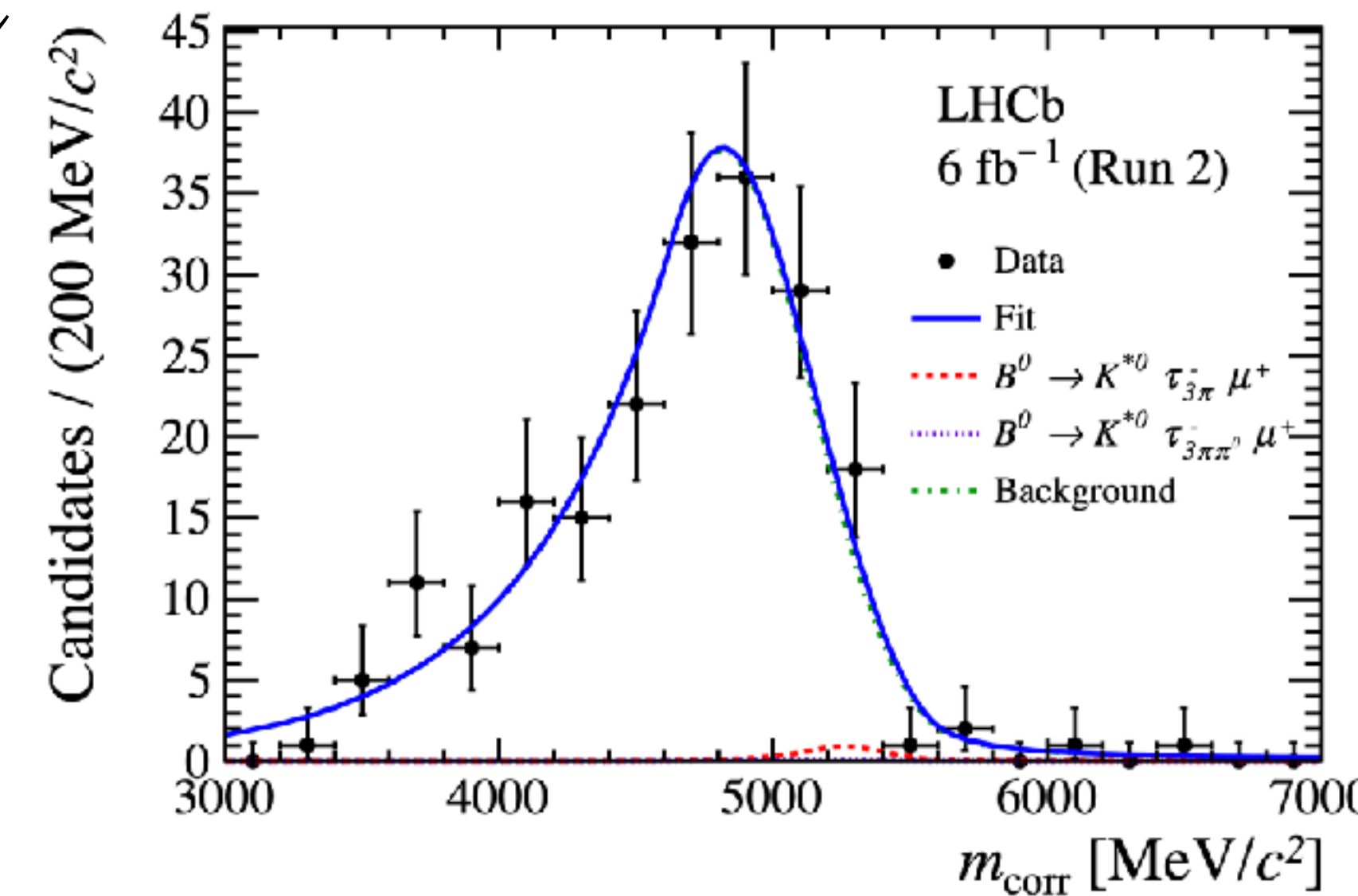
Semileptonic decays with τ : $B \rightarrow K\tau^\pm\mu^\mp$

- ▶ Similar predictions as for $B_s^0 \rightarrow \tau^\pm\mu^\mp$
- ▶ The classical approach: $B^0 \rightarrow K^{*0}\tau^\pm\mu^\mp$ (9 fb^{-1})
 - Use $\tau^+ \rightarrow \pi^+\pi^-\pi^+(\pi^0)\nu$ and fit in the corrected B mass
 - Normalise with $B^0 \rightarrow D^-(K\pi\pi)D_s^+(KK\pi)$
 - $\mathcal{B}(B^0 \rightarrow K^{*0}\tau^+\mu^-) < 1.0 \times 10^{-5}$ at 90% CL
 - $\mathcal{B}(B^0 \rightarrow K^{*0}\tau^-\mu^+) < 8.2 \times 10^{-6}$ at 90% CL

- ▶ New idea for $B^+ \rightarrow K^+\mu^-\tau^+$ (9 fb^{-1})
 - $B_{s2}^{*0} \rightarrow B^+K^-$ tagging: 1 % of B^+ but full τ reconstruction!
 - Inclusive τ selection (only a track needed)
 - Normalise with $B^+ \rightarrow J/\psi(\mu\mu)K^+$
 - $\mathcal{B}(B^+ \rightarrow K^+\tau^-\mu^+) < 3.9 \times 10^{-5}$ at 90% CL



- ▶ „Classical“ search for $B^+ \rightarrow K^+\mu^\pm\tau^\mp$ and $B^0 \rightarrow K^{*0}e^\pm\tau^\mp$ ongoing



Charm decays: $D^0 \rightarrow e^\pm \mu^\mp$

► Predictions for LFV in charm all over the place:

- RPV SUSY: $< 10^{-8} - 10^{-6}$
- Multiple Higgs: $< 10^{-10}$
- Models with extra fermions: $< 10^{-14}$
- Leptoquark models: $< 10^{-8}$

[Burdman et al PRD 66 (2002) 014009]

[Tahir et al CPC 38 (2014) 123101]

[Wang et al IJMPA 29 (2014) 1450169]

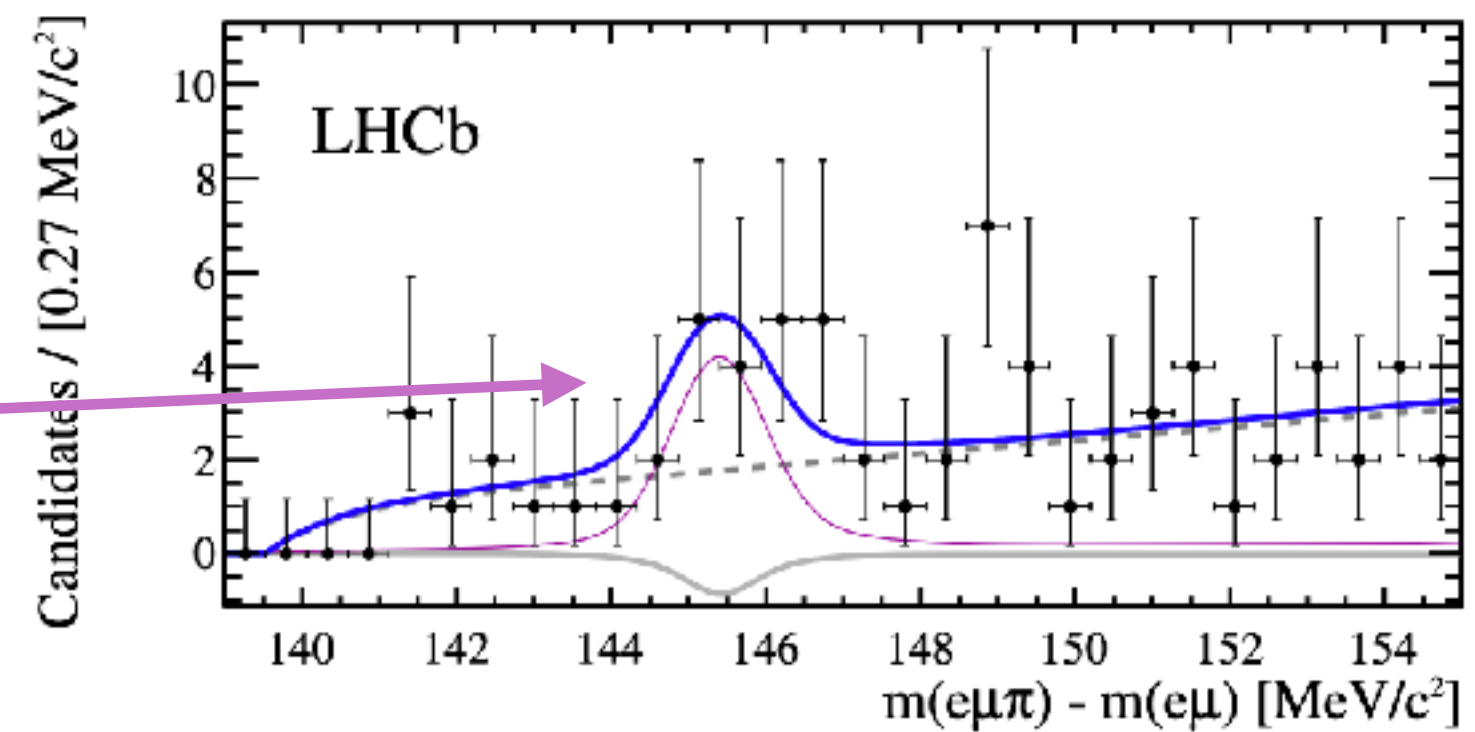
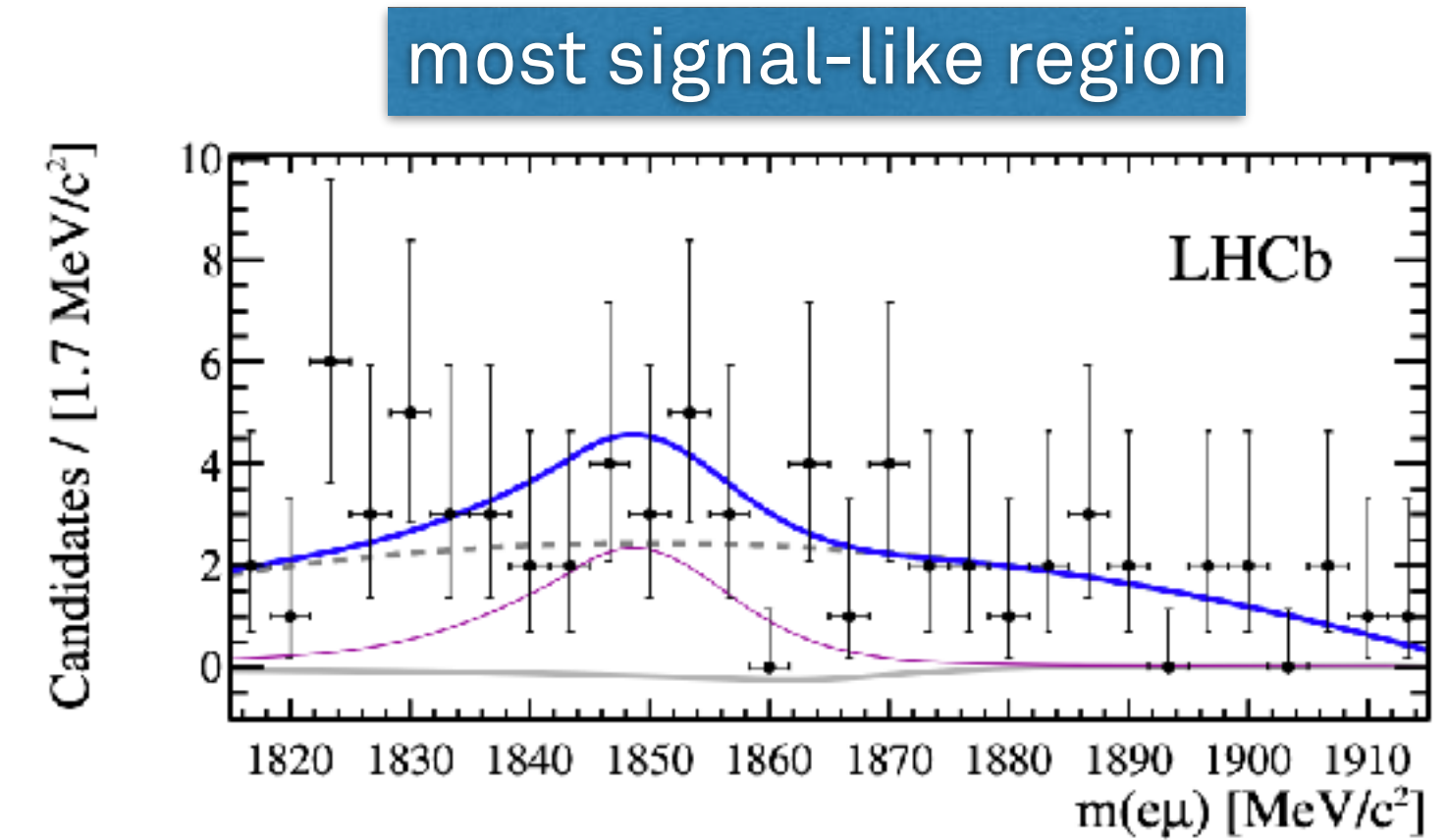
[de Boer/Hiller PRD 93 (2016) 074001]

► First search for $D^0 \rightarrow e^\pm \mu^\mp$ with 3 fb^{-1} (2011/12) data

- Using D^0 from $D^{*+} \rightarrow D^0 \pi^+$ decays and normalising to $D^0 \rightarrow K^- \pi^+$
- Exploiting particle identification criteria, multivariate classifier with vertexing and isolation criteria
- Bin in classifier output
- Simultaneously fit $m(D^0)$ and $\Delta m = m(D^{*+}) - m(D^0)$
- Most dangerous background: $D^0 \rightarrow \pi^+ \pi^-$

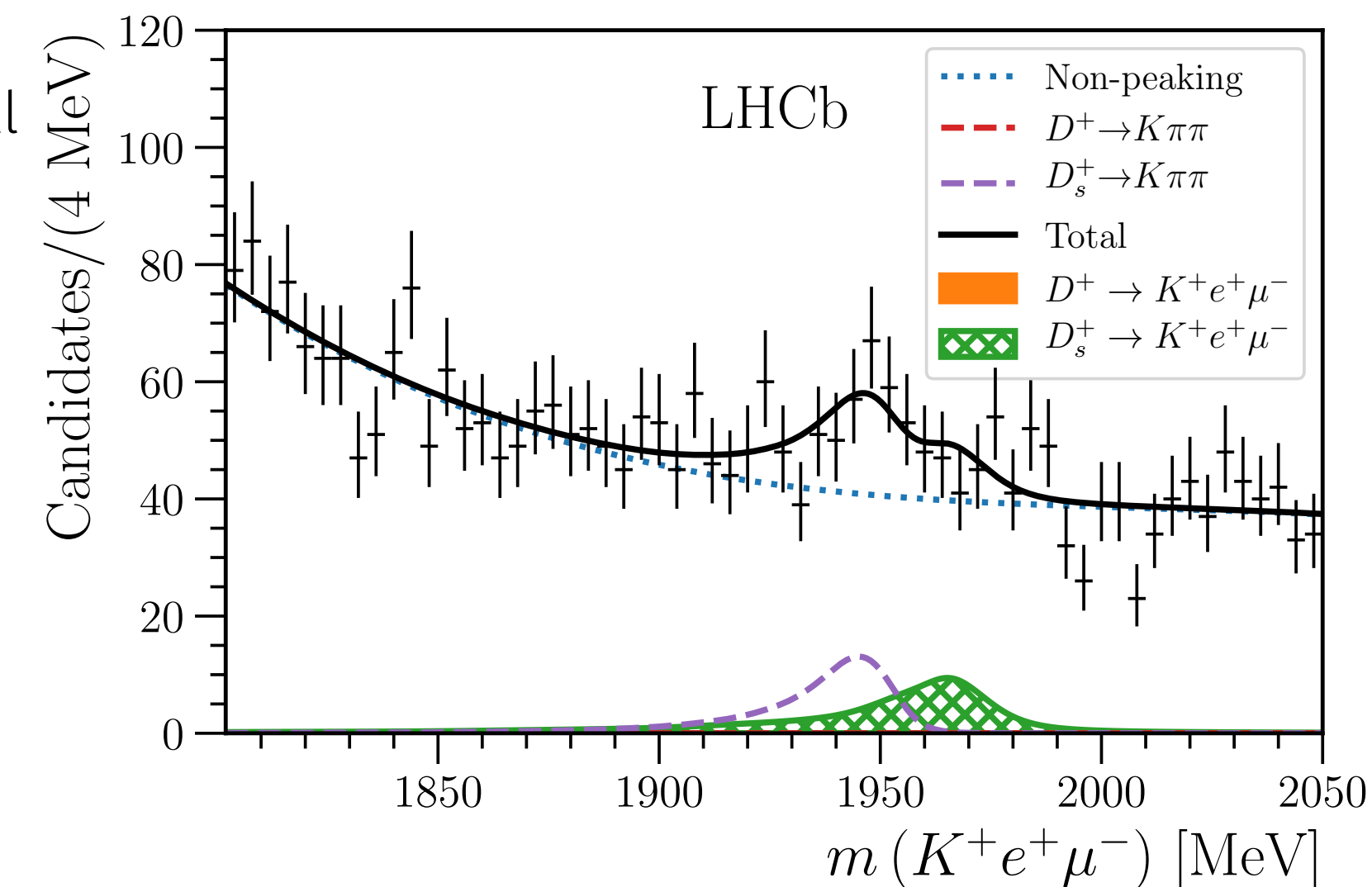
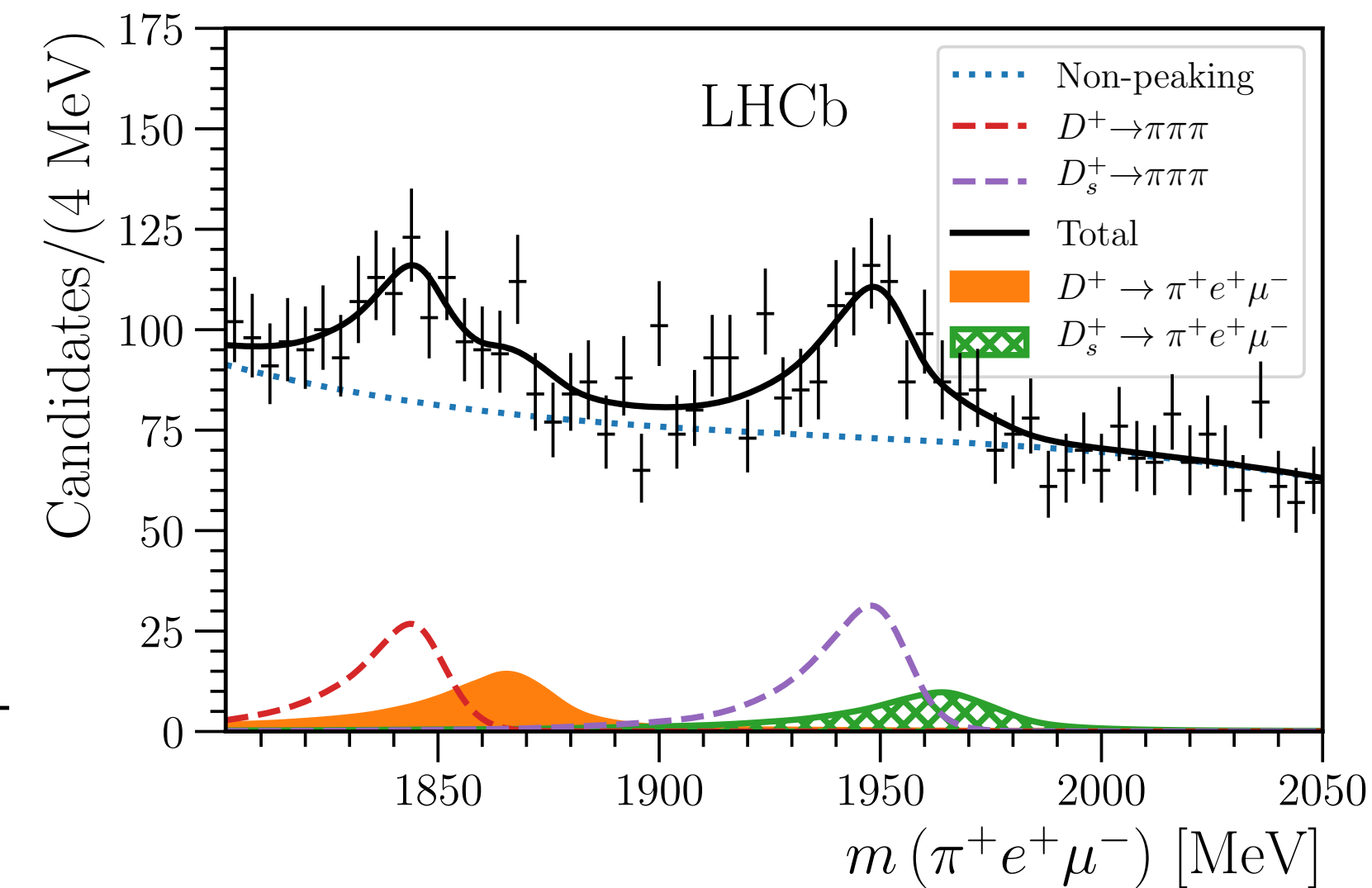
► $\mathcal{B}(D^0 \rightarrow e^\pm \mu^\mp) < 1.3 \times 10^{-8}$ @ 90% CL

- Imposes interesting bounds on New Physics models



Charm decays: $D_{(s)}^+ \rightarrow h\ell\ell'$

- ▶ Since 2015 new trigger model:
 - Allow to select exclusive decays, save only the signal-like particles
 - Allows much higher output rates \rightarrow perfect for high charm cross sections!
- ▶ Exploit the new trigger model with $D_{(s)}^+ \rightarrow K^+/\pi^+e^\pm\mu^\mp$ and $D_{(s)}^+ \rightarrow K^-/\pi^-e^+\mu^+$ searches (and some more, 25 in total) with 2016 data (1.6 fb^{-1})
 - Normalise to $D_{(s)}^+ \rightarrow \phi(\ell\ell)\pi^+$
 - Reduce background with the decay topology, final state momenta and isolation criteria, as well as particle identification
 - Combinatorial and $D \rightarrow 3h$ remain to be modelled
- ▶ Observed upper limits span $7.5 \times 10^{-8} - 1.1 \times 10^{-6}$
 - \rightarrow most precise result for all studied LFV modes!
- ▶ Some more Charm LFV measurements ongoing



The golden mode $\tau \rightarrow 3\mu$

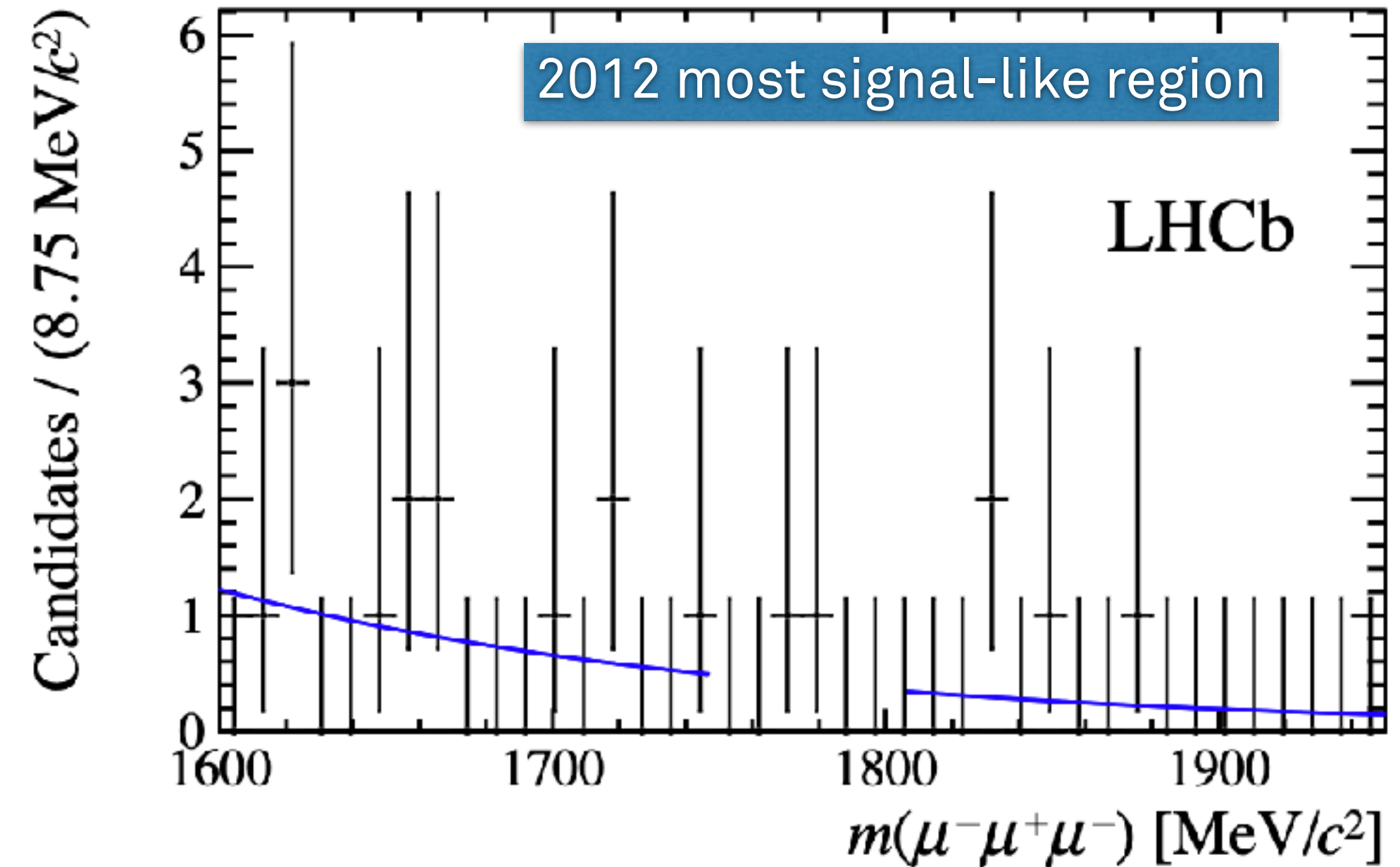
[Babu/Kolda PRL 89 (2002) 241802]

[Brignole/Rossi PLB 566 (2003) 217]

[Paradisi JHEP 10 (2005) 006]

[Hays et al JHEP 05 (2017) 014]

- ▶ Purely leptonic \rightarrow very clean
- ▶ Muons easiest detector signature
- ▶ In New Physics models often $\mathcal{O}(10^{-9} - 10^{-8})$
- ▶ Latest search at LHCb used 3 fb^{-1} collected in 2011-2012
 - Normalise to $D_s^+ \rightarrow \phi(\mu^+\mu^-)\pi^+$ decays
 - Challenges:
 - Soft momenta \rightarrow huge backgrounds
 - Need to describe all origins of τ : B and D decays
 - Veto $D_s^+ \rightarrow \phi(\mu^+\mu^-)\pi^+$ and $D_s^- \rightarrow \eta(\mu\mu\gamma)\mu\nu$ decays
 - 2 dedicated multivariate classifiers
 - Exploiting geometric information
 - Separating muons from other particles
 - Limit from mass fit in bins of the two classifiers
- ▶ Update with full 2011-2018 data (9 fb^{-1}) underway



[PLB 687 (2010) 139] Belle: $\mathcal{B}(\tau \rightarrow 3\mu) < 2.1 \times 10^{-8}$ @90% CL

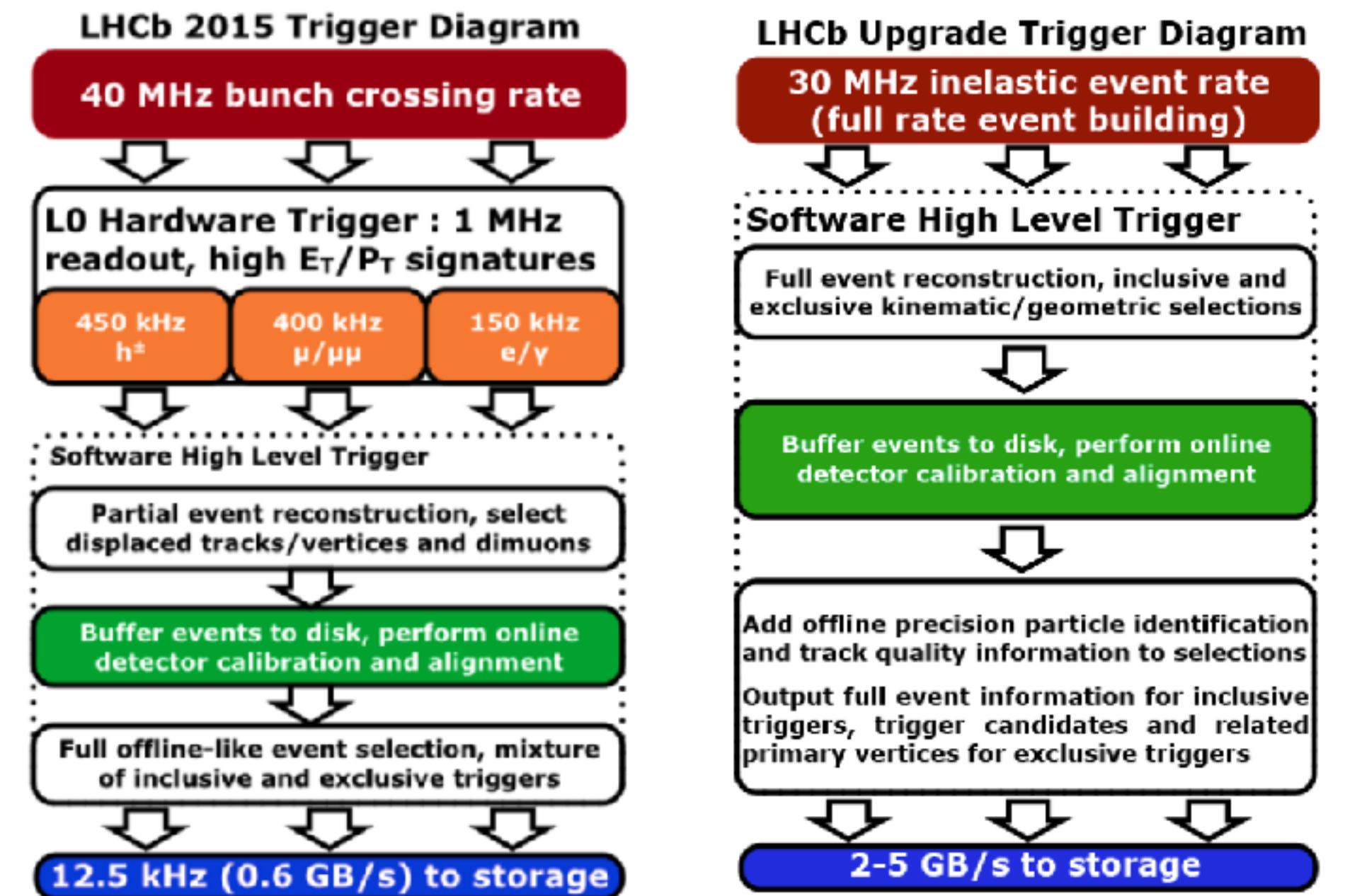
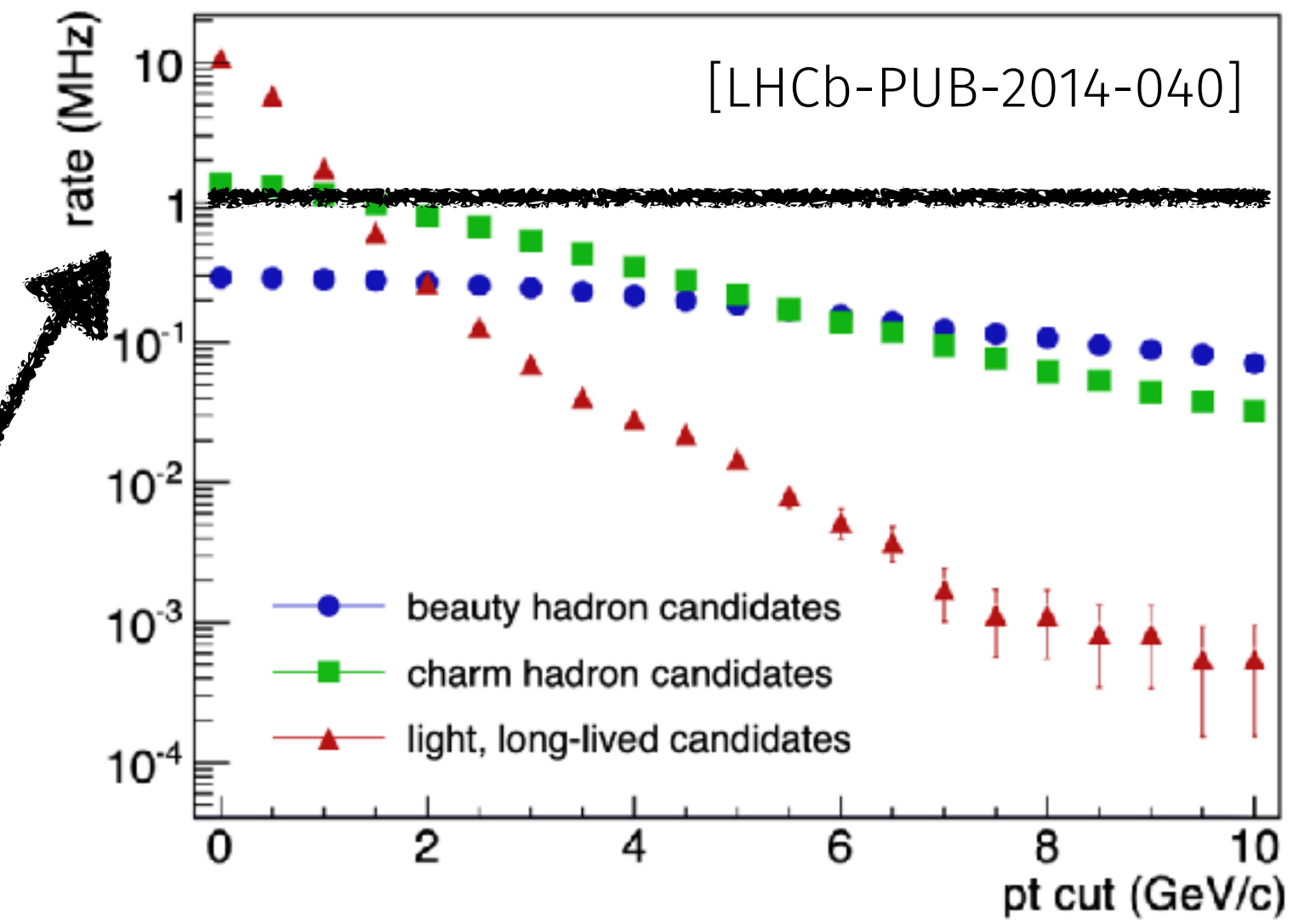
LHCb: $\mathcal{B}(\tau \rightarrow 3\mu) < 4.6 \times 10^{-8}$ @90% CL

A look into the (near) future

- ▶ LHCb started taking data again and commissioning is ongoing
- ▶ Completely new detector!
- ▶ Fully exploiting the new trigger model as default
 - Fully exclusive trigger lines can give large statistics boost!
- Improve electron efficiency (was limited by hardware trigger)
- Strongly boost soft and displaced signatures (D , τ decays), in principle can go down to $p_T < 50$ MeV!
- Enable new searches: LFV in strange decays!

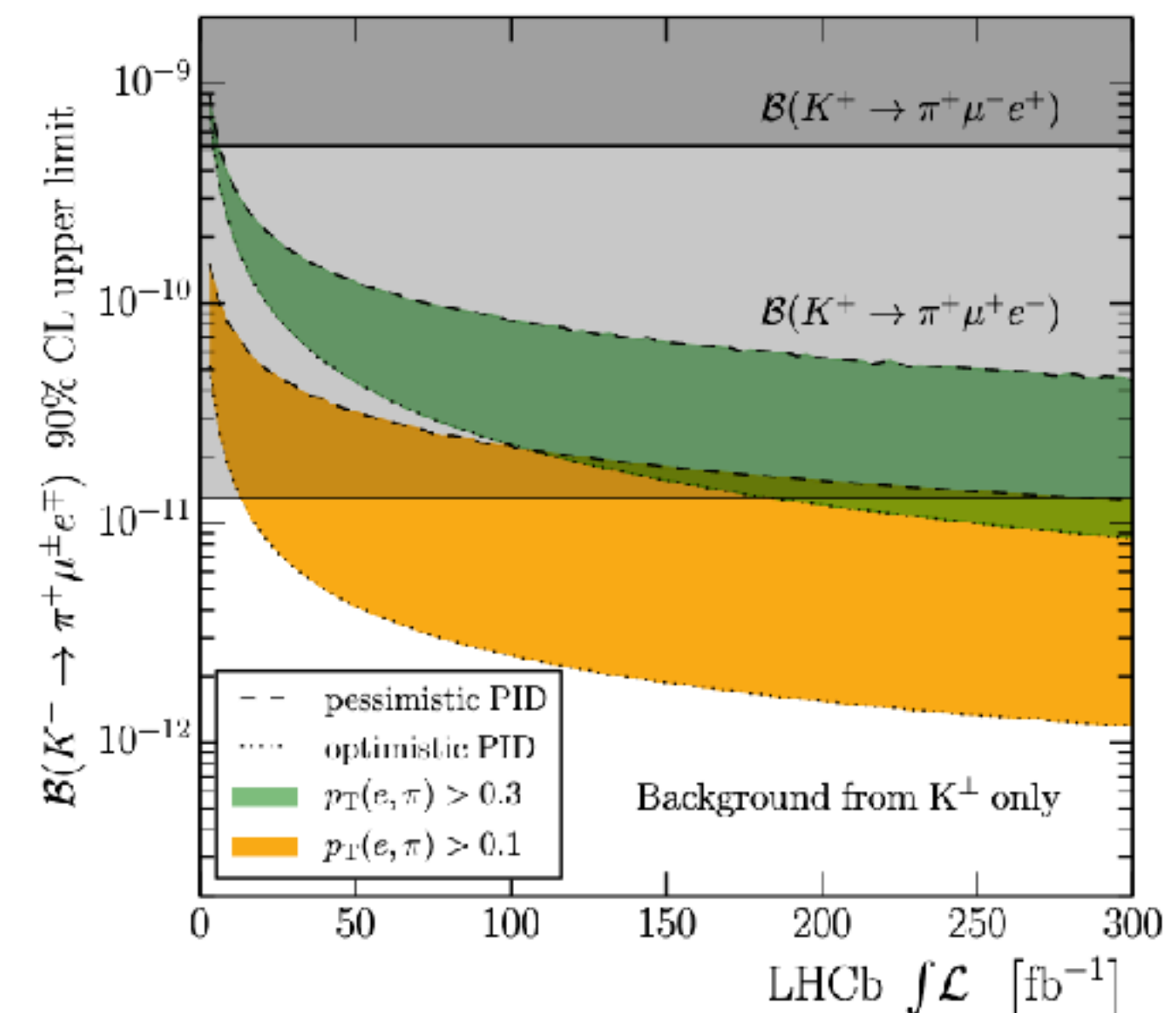
Open to suggestions on new analyses!

Rates as a function of p_T cut for part. reco. candidates



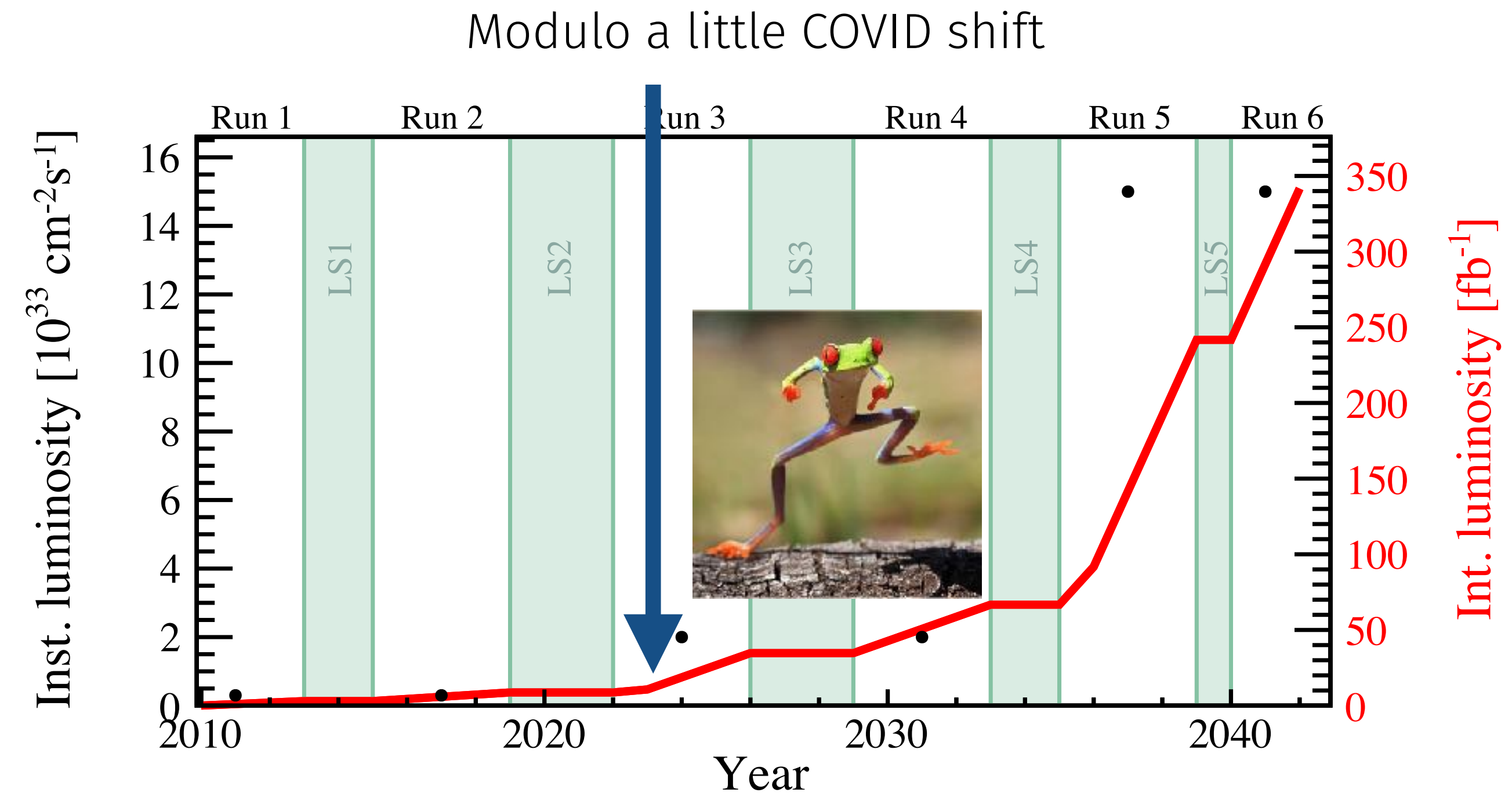
A few examples already for Run 3 and 4 (~2030)

- ▶ $\mathcal{B}(B_{(s)}^0 \rightarrow e^\pm \mu^\mp) \sim \mathcal{O}(10^{-10})$, not far from New Physics scenarios $\mathcal{O}(10^{-11})$
- ▶ $\mathcal{B}(B_{(s)}^0 \rightarrow \tau^\pm \mu^\mp) \sim \mathcal{O}(10^{-6} - 10^{-5})$, strongly constrain New Physics scenarios $\mathcal{O}(10^{-9} - 10^{-4})$
- ▶ $\mathcal{B}(\tau \rightarrow 3\mu) \sim \mathcal{O}(< 10^{-8})$, the parameter space predicted by most scenarios
- ▶ Could do first ever search for $K_s^0 \rightarrow e^\pm \mu^\mp$ and compete with NA62 on $K^+ \rightarrow \pi^+ e^\pm \mu^\mp$



Summary

- ▶ LFV is an active field at LHCb
 - Stringent constraints on many models
 - Mostly significantly improving previous results or even first ever searches
 - Overcoming challenges with new analysis techniques
- ▶ Finishing analyses with full Run 1+2 data - now Run 3 has started!
 - New trigger model will give a large boost for soft modes and modes with electrons



We're living in exciting times!



Backup

Full results for the $D_{(s)}^+ \rightarrow h\ell\ell$ searches

