

# Complete experiments, truncated partial-wave analyses and Bayesian inference

some slides based on work done in collaboration with:

Philipp Kroenert, Farah Afzal and Annika Thiel

cf.: [P. Kroenert *et al.*, arXiv:2305.10367 [nucl-th].]

Yannick Wunderlich

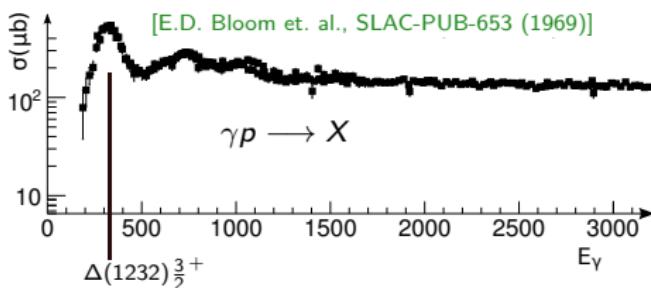
HISKP, University of Bonn

June 08, 2023



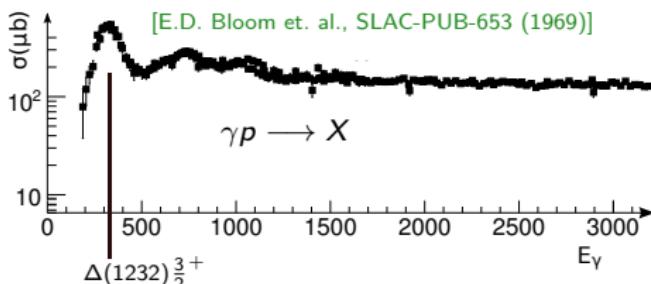
# Introduction: Baryon Spectroscopy

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- \*) Photoproduction is a generic reaction used to study baryon resonances:



- \*) Baryon resonances  $\left(\Delta(1232)\frac{3}{2}^+, N(1440)\frac{1}{2}^+, \dots\right)$  are Fermions
  - ↪ Scatter particles with spin to excite systems with half-integer  $J$
- \*) 'T-matrix'  $T_{fi}$  parameterized by  $N$  spin-amplitudes  $\{b_i, i = 1, \dots, N\}$
- \*) The usual reactions under study are:
  - Pion-Nucleon ( $\pi N$ ) scattering:  $\pi N \rightarrow \pi N$  (2 spin-amplitudes)
  - Pion photoproduction:  $\gamma N \rightarrow \pi N$  (4 spin-amplitudes)
  - Pion electroproduction:  $eN \rightarrow e'\pi N$  (6 spin-amplitudes)
  - 2-Pion photoproduction:  $\gamma N \rightarrow \pi\pi N$  (8 spin-amplitudes)
  - ...

# Spin amplitudes

Generic case:

Photoproduction:

\*) General meson-production reaction:

$$\mathcal{P}N \rightarrow \{\varphi_i\} B, \text{ with:}$$

- $\mathcal{P}$ : 'probe'-particle ( $\pi, \gamma, \gamma^*, \dots$ ),
- $N$ : target-nucleon,
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\*) One can always expand:

$$\mathcal{T}_{fi} = \chi_B^\dagger \left[ \sum_{k=1}^{N_A} \kappa_k b_k (\Omega_2^{n_f}) \right] \chi_N,$$

- $\kappa_i (\{p_j\}, \{\sigma_i\})$ : spin-kinem. operators,
- $b_i (\Omega_2^{n_f})$ : spin ('transversity') ampl.'s,
- $\Omega_2^{n_f}$ : phase-space for  $2 \rightarrow n_f$ -reaction.

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\*) The number of amplitudes  $N_A$  is determined from *spin-multiplicities*:

$$N_A = n_{\mathcal{P}} n_N n_{\varphi_1} \dots n_{\varphi_{\bar{n}}} n_B,$$

with additional factor of (1/2) in case of a  $2 \rightarrow 2$  reaction (parity!)

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$$\mathcal{T}_{fi} = \chi_B^\dagger [\kappa_1 b_1 + \kappa_2 b_2 + \kappa_3 b_3 + \kappa_4 b_4] \chi_N, \text{ where:}$$

- $b_i = b_i(W, \theta)$ : transversity amplitudes.

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\*)  $\{\kappa_1, \dots, \kappa_4\}$  are complicated, e.g.:

$$\kappa_1 = \frac{(\hat{q} \cdot \hat{\epsilon})}{\sqrt{2} \sin^2 \theta} \left[ e^{-i \frac{\theta}{2}} (\hat{k} \cdot \hat{\sigma}) - e^{i \frac{\theta}{2}} (\hat{q} \cdot \hat{\sigma}) \right]$$

$\kappa_2 = \dots$ , where:

- $\hat{k}, \hat{q}$ : photon- and meson momentum,
- $\hat{\epsilon}$ :  $\gamma$ -polarization,
- $\hat{\sigma} = (\sigma_x, \sigma_y, \sigma_z)^T$ : Pauli-matrices,
- $W$ : CMS-energy,
- $\theta$ : CMS scattering-angle.

# Polarization observables

Generic case:

Observables defined as  
*bilinear forms*:

$$\mathcal{O}^\alpha = \mathbf{c}^\alpha \sum_{i,j=1}^{N_A} b_i^* \tilde{\Gamma}_{ij}^\alpha b_j,$$

for  $\alpha = 1, \dots, N_A^2$ .

( $\mathbf{c}^\alpha$ : normaliz. factors)

- \* ) The  $\tilde{\Gamma}^\alpha$  are a set of complete, orthogonal complex  $N_A \times N_A$ -matrices ('Clifford algebra')
- \* ) The  $\tilde{\Gamma}^\alpha$  can be decomposed into classes according to their *shape*

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Photoproduction observable

$$\sigma_0 = \frac{1}{2} (|b_1|^2 + |b_2|^2 + |b_3|^2 + |b_4|^2)$$

$$-\check{\Sigma} = \frac{1}{2} (|b_1|^2 + |b_2|^2 - |b_3|^2 - |b_4|^2)$$

$$-\check{T} = \frac{1}{2} (-|b_1|^2 + |b_2|^2 + |b_3|^2 - |b_4|^2)$$

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$$\mathcal{O}_{1+}^a = |b_1| |b_3| \sin \phi_{13} + |b_2| |b_4| \sin \phi_{24} = \text{Im} [b_3^* b_1 + b_4^* b_2] = -\check{G}$$

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Class

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( $\mathbf{c}^\alpha$ : normaliz. factors)

Complete-experiment  
analysis ('CEA'):

Extraction of  $b_i$  from (a  
subset of)  $\mathcal{O}^\alpha$ 's, up to  
one unknown overall  
phase (1 phase  $\phi(W, \theta)$   
for all  $b_i$ )

Photoproduction observable

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# Truncated partial-wave analysis (TPWA)

Generic case: partial-wave exp. for  $2 \rightarrow 2$  spin-reaction in helicity-formalism:

$$\mathcal{T}_{\mu_1\mu_2,\lambda_1\lambda_2}(s, t) = e^{i(\lambda-\mu)\phi} \sum_{j=\max(|\lambda|, |\mu|)}^{\infty} (2j+1) \mathcal{T}_{\mu,\lambda}^j(s) d_{\mu,\lambda}^j(\theta),$$

where  $\lambda := \lambda_1 - \lambda_2$ ,  $\mu := \mu_1 - \mu_2$  and  $\{b_i\} \Leftrightarrow \{H_i\} = \{\mathcal{T}_{\pm\pm,\pm\pm}\}$ .

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E.g.: for photoproduction ( $N_A = 4$ ), one has the multipole-series ( $x = \cos \theta$ ):

$$F_1(W, \theta) = \sum_{\ell=0}^{\phi \ell_{\max}} [\ell M_{\ell+}(W) + E_{\ell+}(W)] P'_{\ell+1}(x) + [(\ell+1) M_{\ell-}(W) + E_{\ell-}(W)] P'_{\ell-1}(x),$$

⋮

$$F_4(W, \theta) = \sum_{\ell=2}^{\phi \ell_{\max}} [M_{\ell+}(W) - E_{\ell+}(W) - M_{\ell-}(W) - E_{\ell-}(W)] P''_{\ell}(x), \text{ where } \{F_i\} \Leftrightarrow \{b_i\},$$

\*)  $4\ell_{\max}$  complex multipoles present in every truncation-order  $\ell_{\max} \geq 1$ :

$$\mathcal{M}_\ell = \{E_{0+}, E_{1+}, M_{1+}, M_{1-}, E_{2+}, E_{2-}, \dots, M_{\ell_{\max}-}\}.$$

(Generic case:  $N_A * \ell_{\max}$  waves for every order  $\ell_{\max} \geq 1$ .)

\*)  $\mathcal{M}_\ell$  determined up to 1 overall phase  $\Rightarrow$   $8\ell_{\max} - 1$  real par.'s in TPWA.

# Complete experiments

## Complete experiments: 'amplitude formulation'

A *complete experiment* is a minimum subset selected from entire set of  $N_A^2$  polarization observables that allows for an unambiguous extraction of the complex amplitudes describing the process (either  $b$ ; or  $\mathcal{M}_\ell$ ), up to one unknown overall phase ( $\phi(W, \theta)$  for the CEA,  $\phi(W)$  for the TPWA).

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## Complete experiments: 'measurement formulation'

A *complete experiment* is a set of measurements that is sufficient to predict all other possible experiments. For polarization experiments, this means a subset of all existing polarization observables that is capable of determining all the remaining observables. cf.: [L. Tiator, AIP Conf. Proc. 1432, no.1, 162-167 (2012)]

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Predict all remaining observables  
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Use set of 'inversion formulas',  
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↪ ∃ solution-methods for both CEA and TPWA

# CEA-solution using graphs

Standard assumption:  $N_{\mathcal{A}}$  moduli  $|b_1|, \dots, |b_{N_{\mathcal{A}}}|$  fixed from  $N_{\mathcal{A}}$  'diagonal' obs.'s

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Construct graphs: \*) Every node (or vertex)  $\leftrightarrow$  one amplitude  $b_i$ ,  
\*) Every edge ' $i \rightarrow j$ '  $\leftrightarrow$  bil. product  $b_j^* b_i$  (or rel. phase  $\phi_{ij}$ ),

Criteria for *completeness*:

- (i) Connectedness of the graph removes continuous ambiguities,
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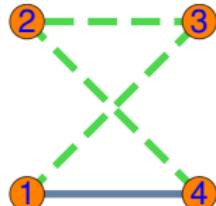
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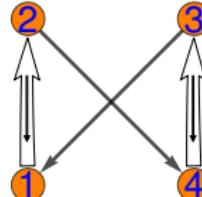
E.g.: photoproduction ( $N_{\mathcal{A}} = 4$ )

\*) Moravcsik-graphs (# dashed lines  
*odd*) [YW et al., PRC 102, 034605 (2020)]



$\Leftrightarrow$  (over-) complete set:  
 $\{\sigma_0, \check{\Sigma}, \check{T}, \check{P}, \check{F}, \check{G},$   
 $\check{C}_{x'}, \check{C}_{z'}, \check{O}_{x'}, \check{O}_{z'}\}.$

\*) New 'directional' graphs:  
[YW, PRC 104, 045203 (2021)]



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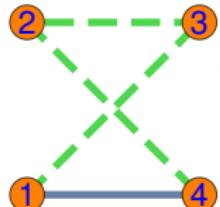
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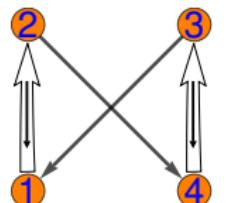
E.g.: photoproduction ( $N_A = 4$ )

\*) Moravcsik-graphs (# dashed lines odd) [YW et al., PRC 102, 034605 (2020)]



$\Leftrightarrow$  (over-) complete set:  
 $\{\sigma_0, \check{\Sigma}, \check{T}, \check{P}, \check{F}, \check{G},$   
 $\check{C}_{x'}, \check{C}_{z'}, \check{O}_{x'}, \check{O}_{z'}\}.$

\*) New 'directional' graphs: [YW, PRC 104, 045203 (2021)]



$\Leftrightarrow$  complete set:  
 $\{\sigma_0, \check{\Sigma}, \check{T}, \check{P}, \check{E}, \check{H},$   
 $\check{L}_{x'}, \check{T}_{x'}\}.$

- $\hookrightarrow$  Quite powerful solution-method; generalizes to cases of higher  $N_A$  ( $N_A > 4$ )!
- $\hookrightarrow$  Empirically: at least 2  $N_A$  observables in a complete set!

# CEA-solution using Fierz identities

Clifford algebra  $\{\tilde{\Gamma}^\alpha\}$  implies so-called 'Fierz-identities':

$$\mathcal{O}^\alpha \mathcal{O}^\beta = \sum_{\delta, \eta} C_{\delta\eta}^{\alpha\beta} \mathcal{O}^\delta \mathcal{O}^\eta, \text{ with } C_{\delta\eta}^{\alpha\beta} := \frac{1}{N_A^2} \text{Tr} \left[ \tilde{\Gamma}^\delta \tilde{\Gamma}^\alpha \tilde{\Gamma}^\eta \tilde{\Gamma}^\beta \right].$$

⇒ Use these to solve for complete experiments! ('measurement formulation')

cf.: [Chiang & Tabakin, Phys. Rev. C 55, 2054–2066 (1997)]

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E.g.:  $\pi N$ -scattering, i.e.  $N_A = 2$ ,  $\{b_1, b_2\}$  vs.  $N_A^2 = 4$  obs.'s  $\{\sigma_0, \check{P}, \check{R}, \check{A}\}$ ;

$$\sigma_0 = |b_1|^2 + |b_2|^2, \check{P} = |b_1|^2 - |b_2|^2, \check{R} = -|b_1||b_2|\sin\phi_{21}, \check{A} = |b_1||b_2|\cos\phi_{21}$$

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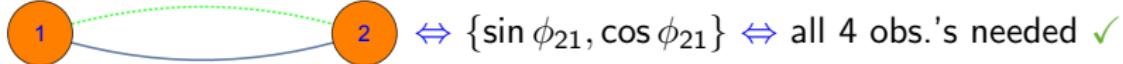
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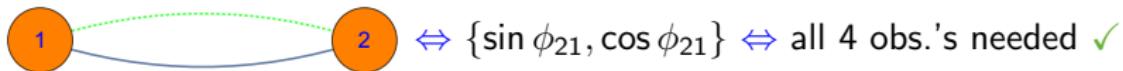
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\*) Graphical solution (Moravcsik):



\*) There exists one relevant Fierz-identity:

$$\begin{aligned} \sigma_0^2 - \check{P}^2 - \check{R}^2 - \check{A}^2 &= 0 \\ \Leftrightarrow \check{A} &= \pm \sqrt{\sigma_0^2 - \check{P}^2 - \check{R}^2}, \quad \checkmark \end{aligned}$$

# CEA-solution using Fierz identities

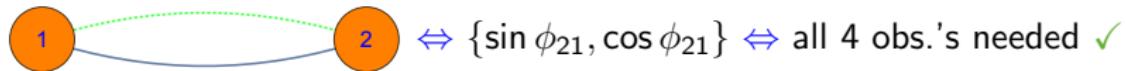
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- \*) Graphical solution (Moravcsik):



- \*) There exists one relevant Fierz-identity:

$$\sigma_0^2 - \check{P}^2 - \check{R}^2 - \check{A}^2 = 0$$

$$\Leftrightarrow \check{A} = \pm \sqrt{\sigma_0^2 - \check{P}^2 - \check{R}^2}, \checkmark$$

- ↪ Consistent, but cumbersome, alternative solution-method  
⇒ Maybe easier to automate in the future ... (?)

# Complete experiments for the TPWA

\*) Example: photoproduction ( $N_A = 4$ ). Consider group  $\mathcal{S} \{\sigma_0, \check{\Sigma}, \check{T}, \check{P}\}$ , i.e. 'diagonal' observables:  $\mathcal{O}^{\alpha s} \propto \pm |b_1|^2 \pm |b_2|^2 \pm |b_3|^2 + |b_4|^2$ .

⇒ Use  $t := \tan\left(\frac{\theta}{2}\right)$  and write linear factorizations (for finite  $\ell_{\max}$ ):

$$b_1(\theta) \propto \frac{\exp\left(-i\frac{\theta}{2}\right)}{(1+t^2)^{\ell_{\max}}} \prod_{j=1}^{2\ell_{\max}} (t + \beta_j), \quad b_2(\theta) \propto \frac{\exp\left(i\frac{\theta}{2}\right)}{(1+t^2)^{\ell_{\max}}} \prod_{j=1}^{2\ell_{\max}} (t - \beta_j), \dots$$

with  $4\ell_{\max}$  roots  $\{\alpha_k, \beta_j\} \in \mathbb{C}$  equivalent to multipoles:  $\{E_{\ell\pm}, M_{\ell\pm}\}$ .

[A. S. Omelaenko, Sov. J. Nucl. Phys. 34, 406 (1981)]

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⇒ Surprise: *all* ambiguities can be resolved using less than  $2N_A = 8$  observables!

[YW, R. Beck and L. Tiator PRC 89, no.5, 055203 (2014)]

[R. L. Workman, et al., PRC 95, no.1, 015206 (2017)]

[YW, arXiv:2008.00514 [nucl-th]]

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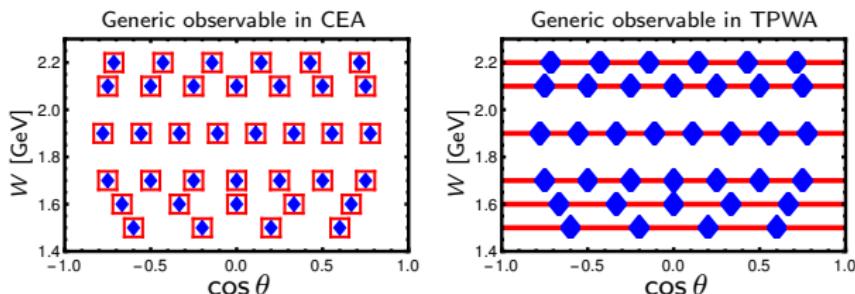
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Comment: number of *observables* needed for completeness has been reduced, but **not (!)** the number of *datapoints*!



# Ideal tool: Bayesian inference

\*) Use *parametric version* of Bayes' Theorem:

$$\underbrace{p(\theta|y)}_{\text{'posterior'}} = \frac{\overbrace{p(y|\theta) p(\theta)}^{\text{'likelihood' } \text{'prior'}}}{\underbrace{\int d^D \theta p(y|\theta) p(\theta)}_{\text{'Bayesian evidence'}}}.$$

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\*) We have, for either the **CEA** or the **TPWA**:

- Parameters: either  $\theta = \{b_i\}$ , or  $\theta = \{E_{\ell\pm}, M_{\ell\pm}\}$ ,
- $y = [y^{\sigma_0}, y^{\check{G}}, \dots, y^{\check{F}}]^T$ : values of measured observables,
- Likelihood has form ' $\exp[-\frac{1}{2}\chi^2]$ ' (in most cases),
- Prior  $p(\theta)$  is chosen *flat*, within the 'physically allowed' region for the amplitudes; this region is *constrained* by:
  - $\sigma_0 = |b_1|^2 + \dots + |b_A|^2$ , in case of the **CEA**,
  - $\sigma_{\text{total}} = \int d\Omega \sigma_0 \propto a_0^{\sigma_0} = \sum_\ell \mathbf{c}_\ell |\mathcal{M}_\ell|^2$  in case of the **TPWA**.

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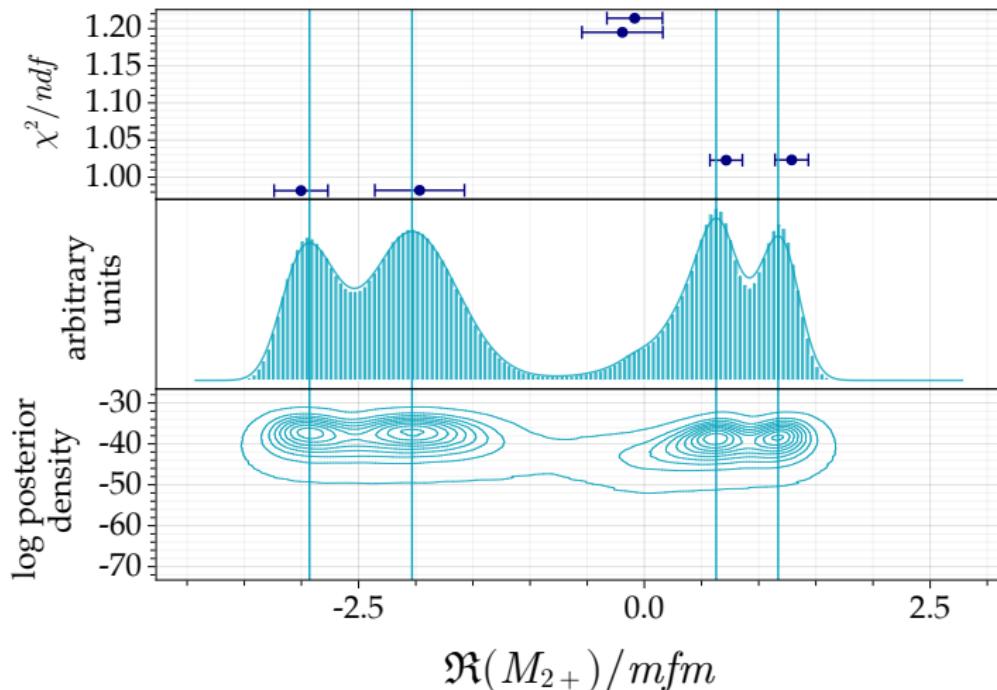
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⇒ Obtain (for instance) marginalized probability-distribution for each parameter  $\theta_i$ , i.e.:  $p(\theta_i|y) = \int \dots \int d\theta_1 \dots d\theta_{i-1} d\theta_{i+1} \dots d\theta_D p(\theta_1 \dots \theta_D|y)$ , using state-of-the-art (**Markov chain**) Monte Carlo methods.

# Some results for a TPWA - I

Example: TPWA for  $\gamma p \rightarrow \eta p$ , using observables  $\{\sigma_0, \Sigma, T, E, G, F\}$ , and  $\ell_{\max} = 2$  cf.: [P. Kroenert, YW, F. Afzal and A. Thiel, arXiv:2305.10367 [nucl-th].]

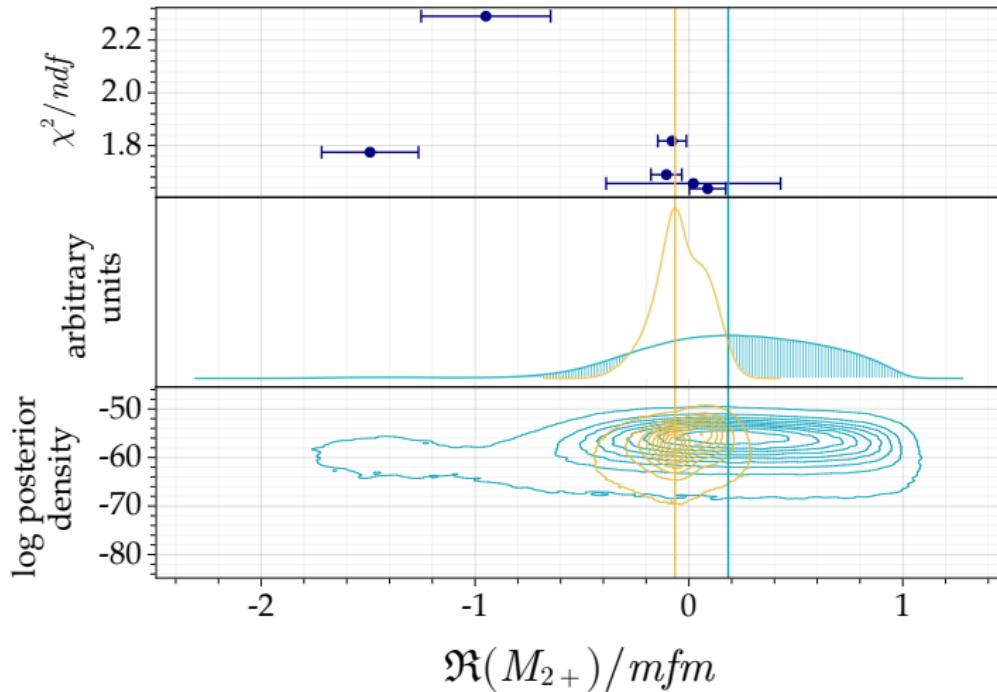
$$E_\gamma^{lab} = 750 \text{ MeV}, \ell_{\max} = 2$$



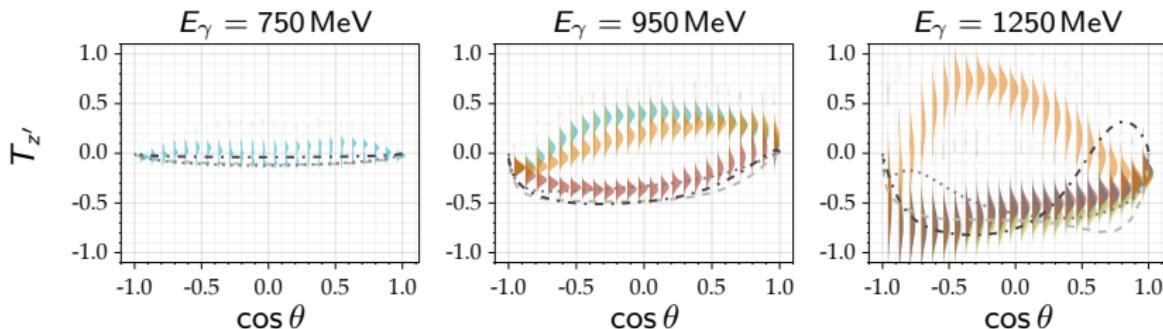
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$$E_\gamma^{lab} = 850 \text{ MeV}, \ell_{\max} = 2$$



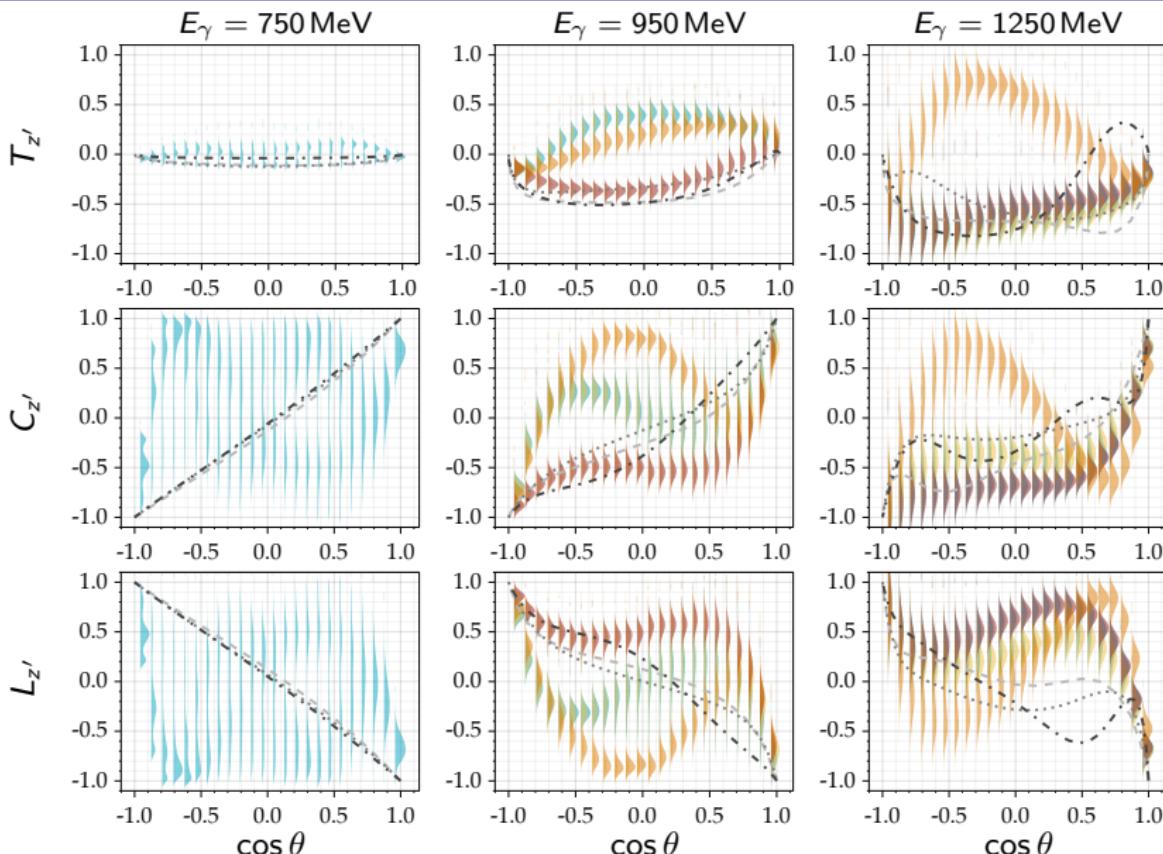
## Some results for a TPWA - II



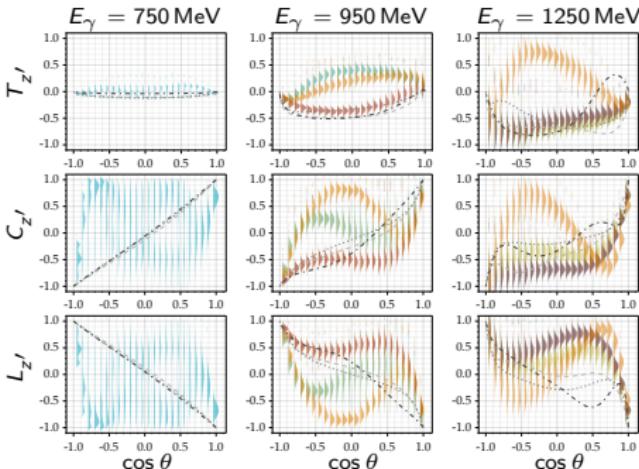
PWA-curves:

EtaMAID2018 (dashed); BnGa-2019 (dotted); Jülich-Bonn-2022 (dash-dotted).

# Some results for a TPWA - II



# Some results for a TPWA - II



→ Predict promising *candidate observables* for resolving discrete ambiguities:

$E_\gamma^{\text{lab}} / \text{MeV}$	Observables
750	$C_{x'}, C_{z'}, L_{x'}, L_{z'}$
850	$C_{x'}, C_{z'}, L_{x'}, L_{z'}, T_{x'}, T_{z'}$
950	$C_{x'}, C_{z'}, L_{x'}, L_{z'}, T_{z'}$
1050	$C_{x'}, C_{z'}, L_{x'}, O_{z'}, T_{z'}$
1150	$C_{z'}, O_{x'}, T_{x'}, T_{z'}$
1250	$C_{z'}$

cf.: [P. Kroenert, YW, F. Afzal and  
A. Thiel, arXiv:2305.10367 [nucl-th].]

Thank You!

## Additional Slides

# Measuring the overall phase

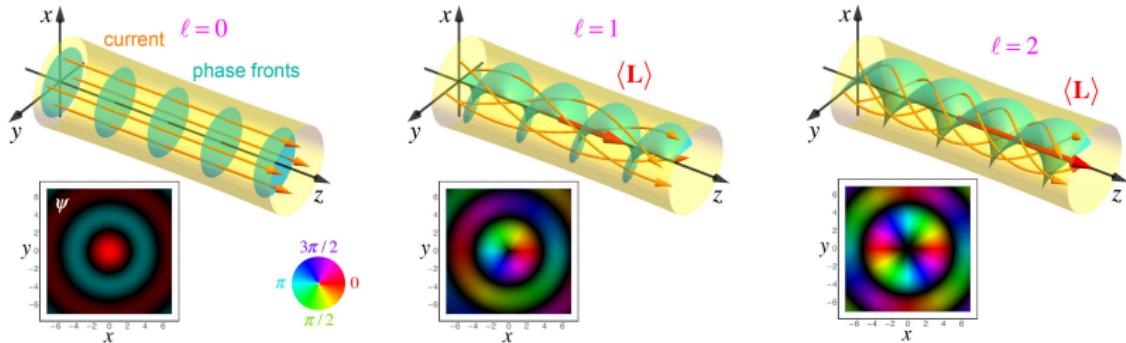
Measure the overall phase in scattering experiments using vortex beams

≡ beams of particles with intrinsic orbital angular momentum  $\langle L_z \rangle = \hbar l$  along the axis of propagation (i.e. z-axis) cf. [Ivanov, Phys. Rev. D 85, 076001 (2012)], [Ivanov, arXiv:2205.00412 [hep-ph] (2022)]

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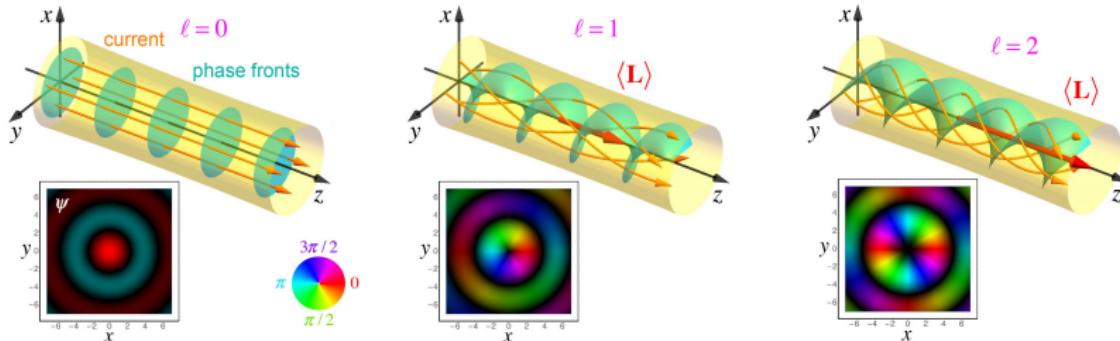


[arXiv:2205.00412, Fig.1]

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[arXiv:2205.00412, Fig.1]

Proposal [Ivanov, Phys. Rev. D 85, 076001 (2012)]: for the example  $\gamma p \rightarrow \pi p$ , consider double-twisted  $\gamma p$ -collision (i.e. both  $\gamma$  and  $p$  are in a [Bessel] vortex-state)

⇒ Measure azimuthal asymmetry  $A = \frac{\Delta\sigma}{\sigma}$  ('sine-weighted' c.s.  $\Delta\sigma$ ; non-weighted  $\sigma$ )

⇒ Then, one has 
$$A = \frac{d\phi(\theta_{\gamma\pi}^{\text{LAB}})}{d\theta_{\gamma\pi}^{\text{LAB}}} \cdot P,$$
 with an 'analyzing power'  $P.$

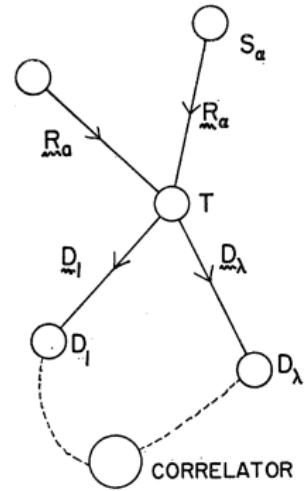
⇒ For insanely good accuracy and statistics, integration yields:  $\underline{\phi(\theta_{\gamma\pi}^{\text{LAB}}) + C}.$

Vortex-beams at the GeV-scale maybe feasible within 10-20 years [Ivanov, priv. comm. (2022)]

# The Hanbury-Brown and Twiss experiment

Measure the overall phase via intensity correlations in a *Hanbury-Brown and Twiss-type* experiment [Goldberger, Lewis & Watson, Phys. Rev. 132, 2764 (1963)]

[Phys. Rev. 132, 2764 (1963), Fig.3]



- \* ) Two sources,  $S_a$  and  $S_\alpha$ , emitting beam-particles
- \* ) One single irradiated target  $T$
- \* ) Two spatially separated detectors,  $D_I$  and  $D_\lambda$
- \* ) A CORRELATOR, which registers only in case  $D_I$  and  $D_\lambda$  count in coincidence
- ↳ The correlator counting-rate contains an isolatable term, which is proportional to:

$$\text{Re} [\mathcal{T}_{\lambda \leftarrow \alpha} \mathcal{T}_{I \leftarrow \alpha}^* \mathcal{T}_{I \leftarrow a} \mathcal{T}_{\lambda \leftarrow a}^*].$$

- \* ) Assuming  $|\mathcal{T}_{f \leftarrow i}|$  as known, one can measure  $\cos(\Gamma)$ , where:  
 $\Gamma := \phi(\mathbf{g}_{Ia}) - \phi(\mathbf{g}_{I\alpha}) + \phi(\mathbf{g}_{\lambda\alpha}) - \phi(\mathbf{g}_{\lambda a})$ , with  $\mathbf{g}_{Ia} \equiv \hat{R}_a - \hat{D}_I$ , ...
- \* ) Varying positions of detectors and sources, do measurements for many angles:  
 $\{\mathbf{g}_{Ia}^{(1)}, \mathbf{g}_{Ia}^{(2)}, \dots\}, \{\mathbf{g}_{I\alpha}^{(1)}, \mathbf{g}_{I\alpha}^{(2)}, \dots\}, \{\mathbf{g}_{\lambda\alpha}^{(1)}, \mathbf{g}_{\lambda\alpha}^{(2)}, \dots\}, \{\mathbf{g}_{\lambda a}^{(1)}, \mathbf{g}_{\lambda a}^{(2)}, \dots\}$ .
- ↳ Extract:  $\phi(g_{Ia}^{(\nu+1)}) - \phi(g_{Ia}^{(\nu)}) \equiv \delta\phi(\nu) \rightarrow \text{Overall phase: } \phi(\mathbf{g})$ .