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## Machine learning exotic hadrons

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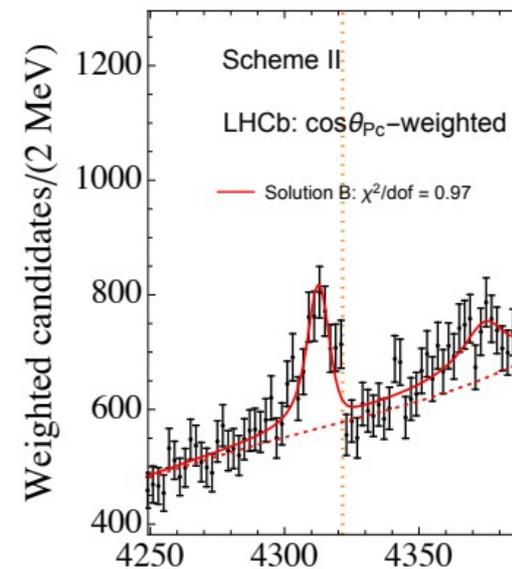


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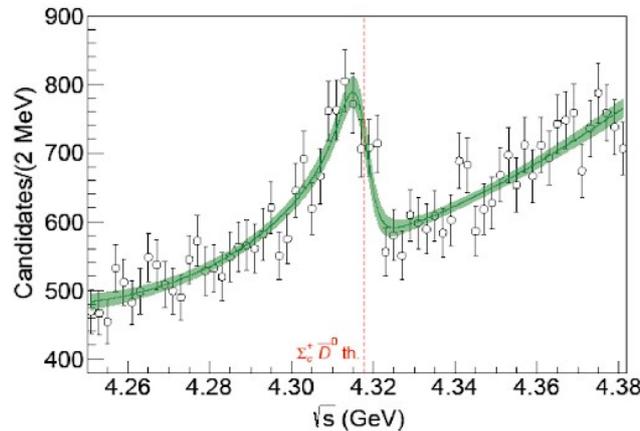


**Lawrence Ng**  
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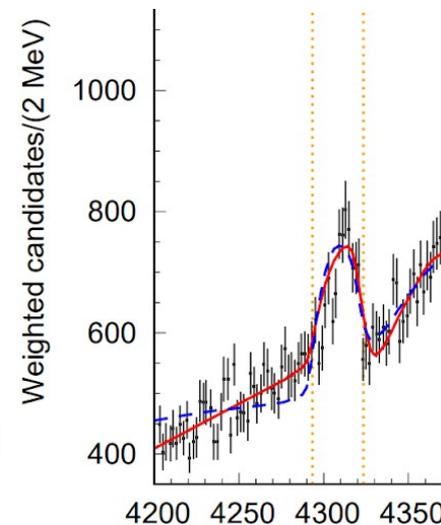
# Discrepant interpretations of the $P_c(4312)$ nature



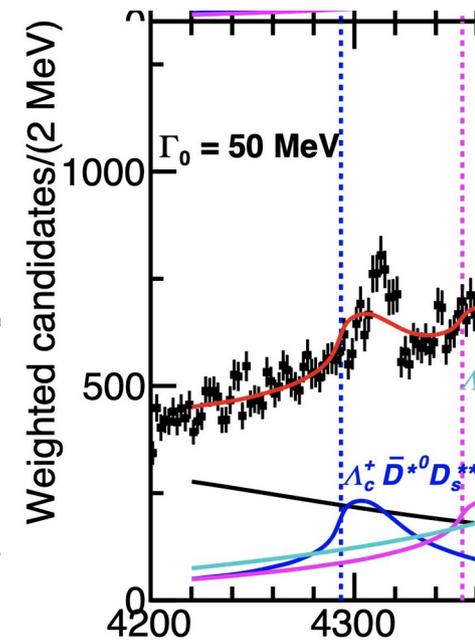
Molecule  
*Du et al.,*  
*2102.07159*



Virtual  
*C. F-R et al. (JPAC),*  
*Phys. Rev. Lett. 123,*  
*092001 (2019)*



Double-triangle (w.  
complex coupl. in the  
Lagrangian)  
*Nakamura,*  
*Phys. Rev. D 103,*  
*111503 (2021)*



Single triangle  
(ruled out)  
*LHCb, Phys.*  
*Rev. Lett. 122,*  
*222001 (2019)*

# Starting point - partial wave expansion of the amplitude

$$f_{ji}(s, t) = \sum_{l=0}^{\infty} (2l + 1) T_{ji}^l(s) P_l(\cos \theta(s, t))$$

- Unitarity relation for partial wave amplitudes

$$\hat{T}^l - \hat{T}^{l\dagger} = 2i\hat{T}^l \hat{p} \hat{T}^{l\dagger}$$

- For fixed partial wave this can be solved in the general form:

$$\hat{T}^{-1} = \hat{M}(s) - i\hat{p}$$

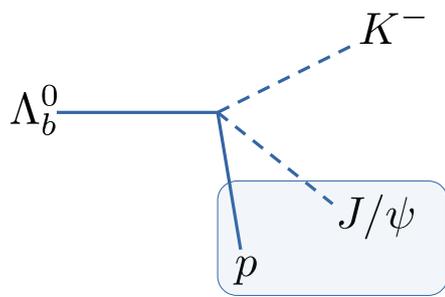
- It was shown by [Frazer, Hendry, Phys. Rev. 134 \(1964\)](#) that  $\hat{M}$  is symmetric and free from unitarity cuts, so can be Taylor expanded in  $s$ .

$$\hat{M} \approx \hat{m} - \hat{c}s$$

Scattering length

Effective range

# Physics model



- $P_c(4312)$  seen as a maximum in the  $pJ/\psi$  energy spectrum
- $P_c(4312)$  has a well defined spin and appears in single partial wave
- $\Sigma_c^+ \bar{D}^0$  channel opens at 4.318 GeV -coupled channel problem
- Background contributes to all other waves

- Intensity 
$$\frac{dN}{d\sqrt{s}} = \rho(s) [ |P_1(s)T_{11}(s)|^2 + B(s) ]$$

where

$$\rho(s) = pqm_{\Lambda_b} \quad \text{phase space}$$

$$p = \lambda^{\frac{1}{2}}(s, m_{\Lambda_b}^2, m_K^2)/2m_{\Lambda_b}, \quad q = \lambda^{\frac{1}{2}}(s, m_p^2, m_\psi^2)/2\sqrt{s}$$

$$P_1(s) = p_0 + p_1 s \quad \text{production term}$$

$$B(s) = b_0 + b_1 s \quad \text{background term}$$

# Physics model

- 2-channel model

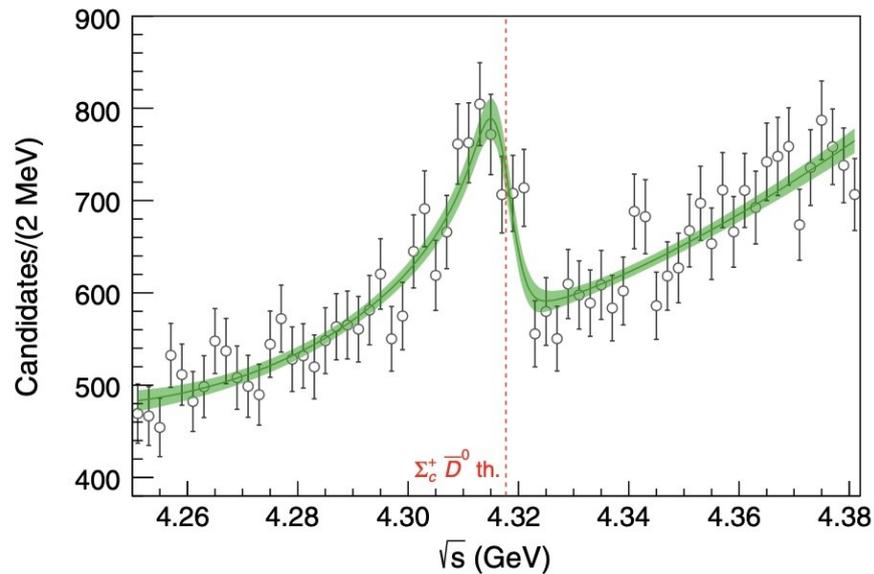
$$T_{ij}^{-1} = M_{ij} - ik_i \delta_{ij} \quad \text{where } k_i = \sqrt{s - s_i}$$

$$s_1 = (m_p + m_{J/\psi})^2 \quad \text{and} \quad s_2 = (m_{\Sigma_c^+} + m_{\bar{D}^0})^2$$

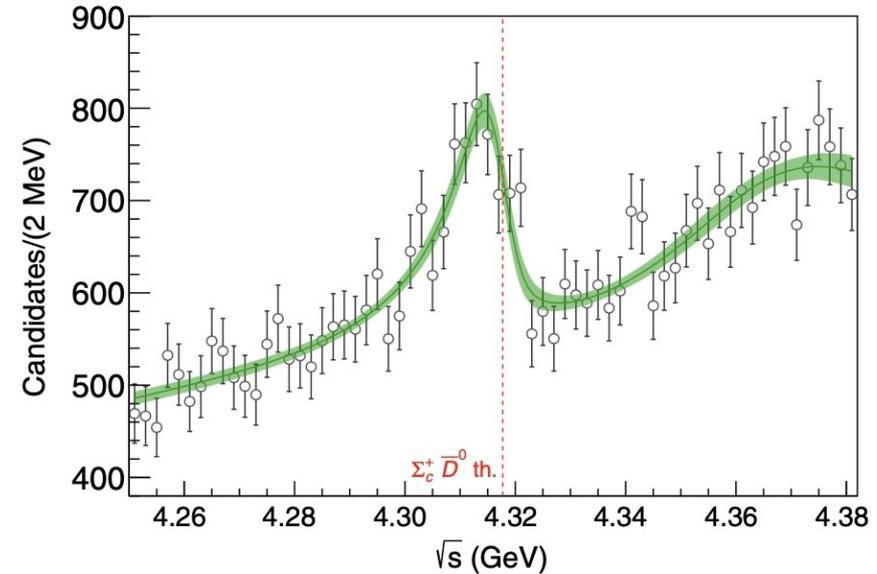
- How accurate the Taylor expansion of  $M_{ij}$  has to be ?

$$M_{ij}(s) = m_{ij} - c_{ij}s$$

# Physics model – final version



Scattering length approximation



Effective range approximation

See [C. Fernandez-Ramirez Phys.Rev.Lett. 123 \(2019\) 9, 092001](#)

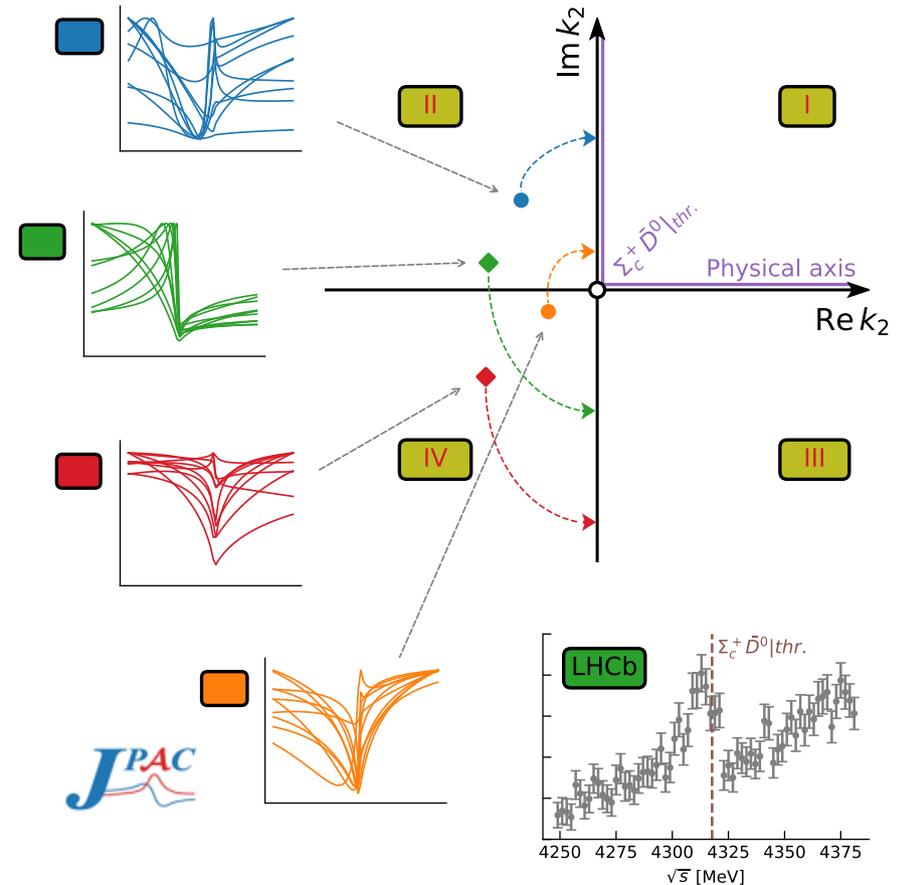
Finally we use the scattering length approximated amplitude as the basis for ML model

$$T_{11} = \frac{m_{22} - ik_2}{(m_{11} - ik_1)(m_{22} - ik_2) - m_{12}^2}$$

7 model parameters in total:  $m_{11}, m_{22}, m_{12}, p_0, p_1, b_0, b_1$ .

# ML model – general idea

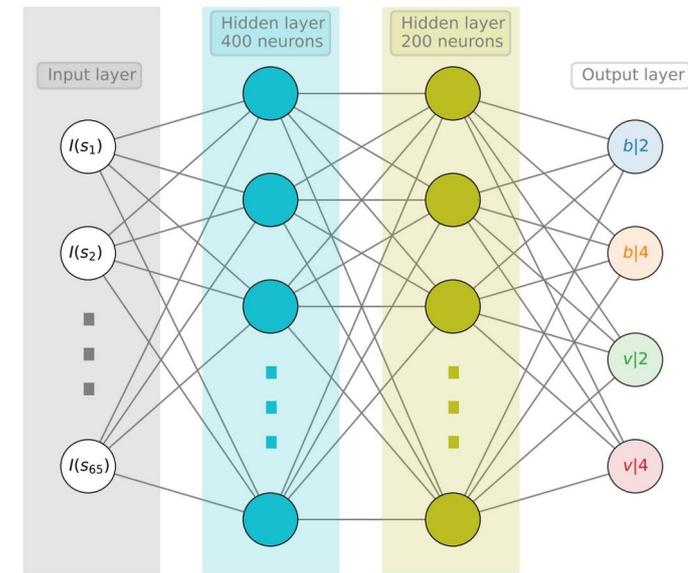
- From the physical model we produce:
  - Sample intensities (computed in 65 energy bins) – produced with randomly chosen parameter samples – **examples**
  - For each parameter sample we are able to compute the **target class** – one of the four:  $b|2$ ,  $b|4$ ,  $v|2$ ,  $v|4$
  - Symbolically:



$$K : \{[I_1, \dots, I_{65}](m_{11}, m_{22}, m_{12}, p_0, p_1, b_0, b_1)\} \rightarrow \{b|2, b|4, v|2, v|4\}$$

# ML model – MLP

Layer	Shape in	Shape out
Input		(B, 65)
Dense	(B, 65)	(B, 400)
Dropout(p=0.2)	(B, 400)	(B, 400)
ReLU	(B, 400)	(B, 400)
Dense	(B, 400)	(B, 200)
Dropout(p=0.5)	(B, 200)	(B, 200)
ReLU	(B, 200)	(B, 200)
Dense	(B, 200)	(B, 4)
Softmax	(B, 4)	(B, 4)



Training dataset preparation:

1. Parameters were uniformly sampled from the following ranges:  $b_0 = [ 0 ; 700 ]$ ,  $b_1 = [ -40 ; 40 ]$ ,  $p_0 = [ 0 ; 600 ]$ ,  $p_1 = [ -35 ; 35 ]$ ,  $M_{22} = [ -0.4 ; 0.4 ]$ ,  $M_{11} = [ -4 ; 4 ]$ ,  $M_{12}^2 = [ 0 ; 1.4 ]$

2. The signal was smeared by convolving with experimental LHCb resolution:

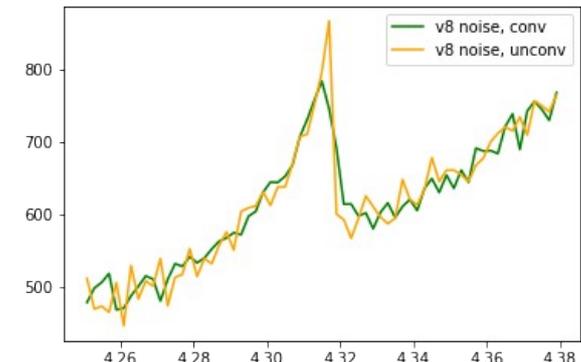
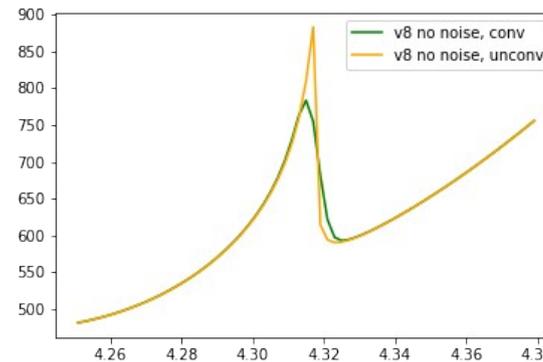
$$I(s) = \int_{m_\psi + m_p}^{m_{\Lambda_b} - m_K} I(s')_{\text{theo}} \exp \left[ -\frac{(\sqrt{s} - \sqrt{s'})^2}{2R^2(s)} \right] d\sqrt{s'} \Bigg/ \int_{m_\psi + m_p}^{m_{\Lambda_b} - m_K} \exp \left[ -\frac{(\sqrt{s} - \sqrt{s'})^2}{2R^2(s)} \right] d\sqrt{s'},$$

$$R(s) = 2.71 - 6.56 \times 10^{-6-1} \times (\sqrt{s} - 4567)^2$$

3. To account for experimental uncertainty the 5% gaussian noise was added

# ML model - training

- Input examples (effect of energy smearing and noise):



- Computing target classes:

- $m_{22} > 0$  – bound state,  $m_{22} < 0$  – virtual state
- To localize the poles on Riemann sheets we need to find zeros of the amplitude denominator in the momentum space:

$$p_0 + p_1 q + p_2 q^2 + p_3 q^3 + q^4 = 0$$

with 
$$p_0 = (s_1 - s_2) m_{22}^2 - (m_{12}^2 - m_{11} m_{22})^2$$

$$p_1 = 2(s_1 - s_2) m_{22} + 2m_{11} (m_{12}^2 - m_{11} m_{22})$$

$$p_2 = -m_{11}^2 + m_{22}^2 + s_1 - s_2$$

$$p_3 = 2m_{22}$$

Then poles appear on sheets defined with  $(\eta_1, \eta_2)$  pairs:

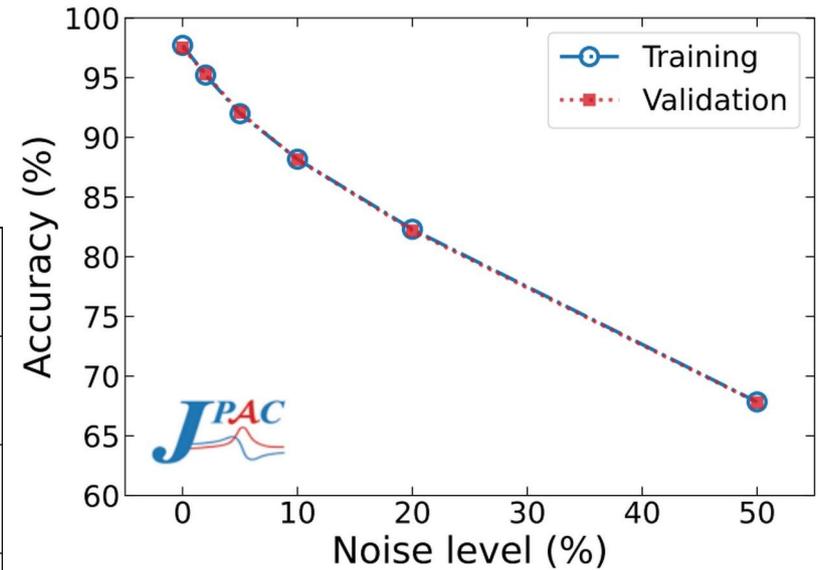
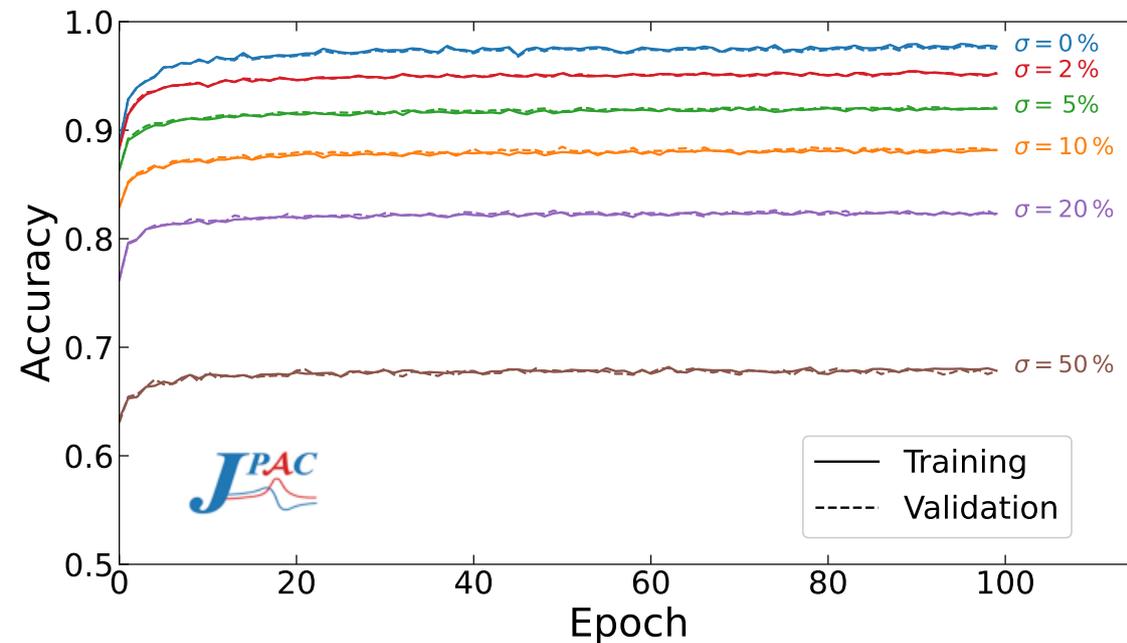
(-,+) - II sheet

(+,-) - IV sheet

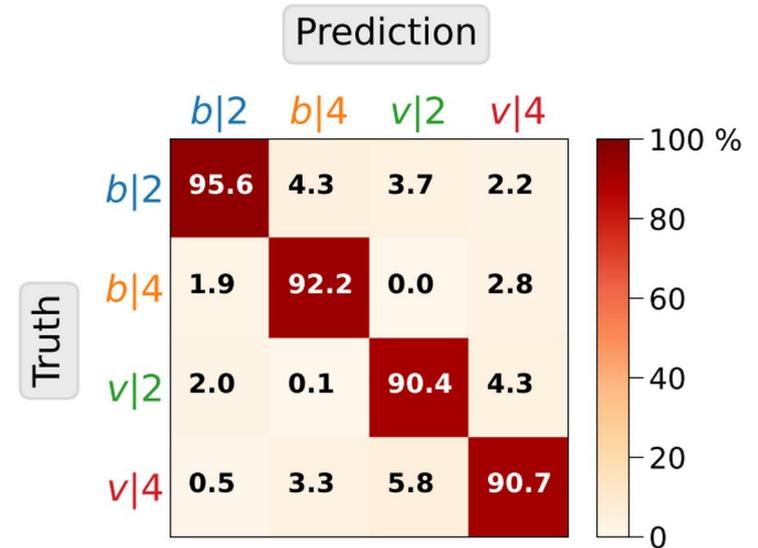
$$\eta_1 = \text{Sign Re} \left( \frac{m_{12}^2}{m_{22} + q} - m_{11} \right) \quad \eta_2 = \text{Sign Re } q$$

# ML model – training results

Accuracy for various noise levels

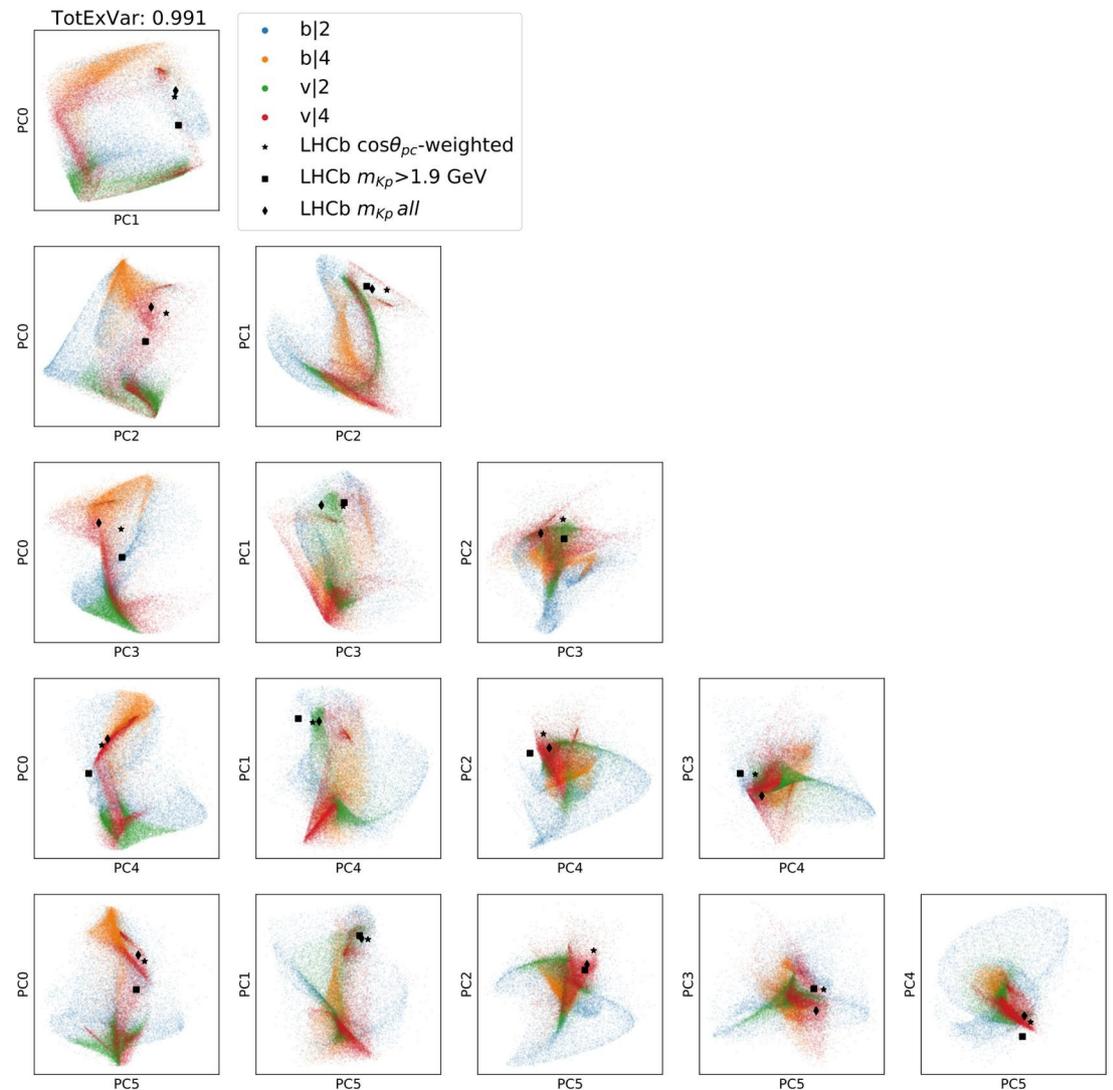


Confusion matrix for the 5% noise



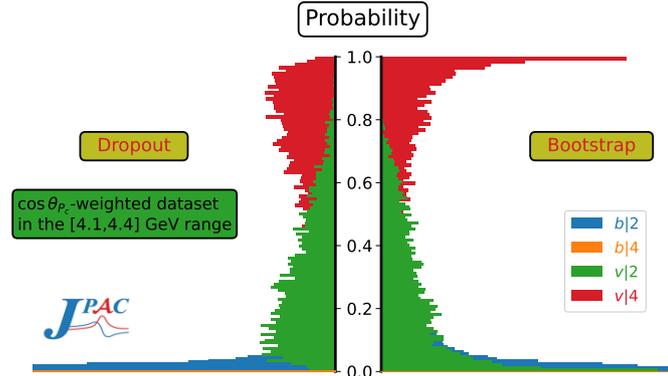
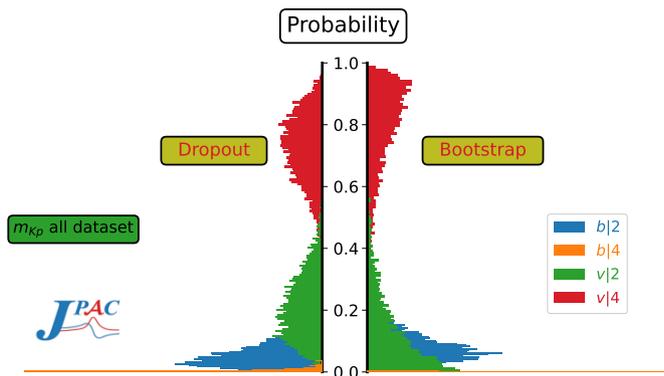
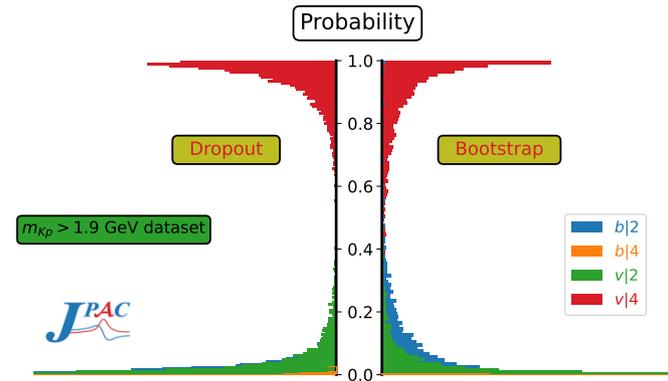
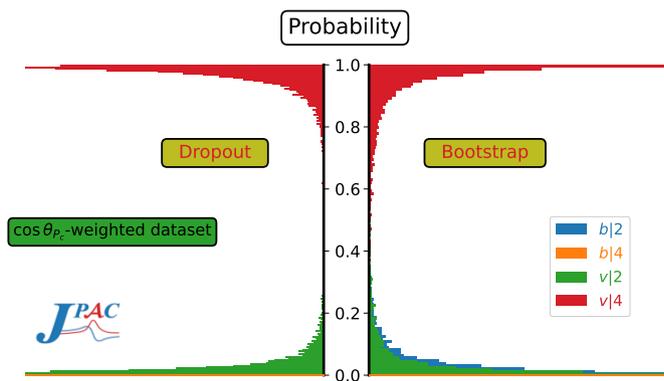
# Does the training data set reflect experimental situation ?

- Dimensionality reduction - Principal Component analysis
- Over 99% of the variance can be explained with just 6 features
- Experimental data projected onto principal components are well encompassed within the training dataset



# Model predictions – statistical analysis

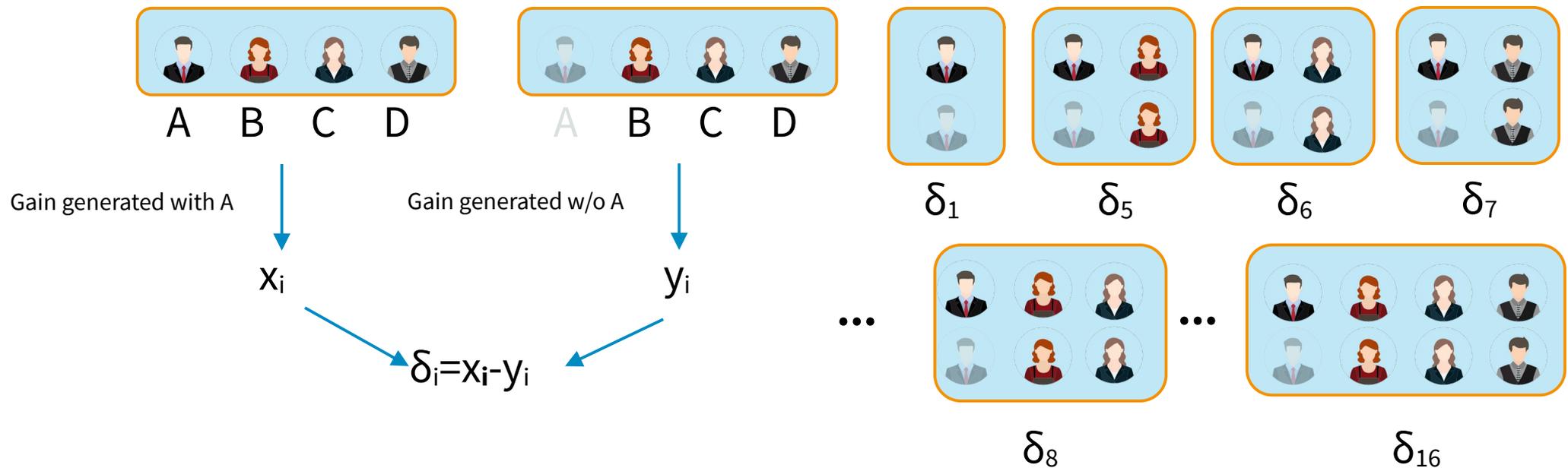
- The distribution of the target classes was evaluated with
  - the bootstrap (10 000 pseudo-data based on experimental mean values and uncertainties) and
  - dropout (10 000 predictions from the ML model with a fraction of weights randomly dropped out)



# Model explanation with SHAP

- Shapley values and Shapley Additive Explanations

Shapley, Lloyd S. "Notes on the n-Person Game -- II: The Value of an n-Person Game" (1951)



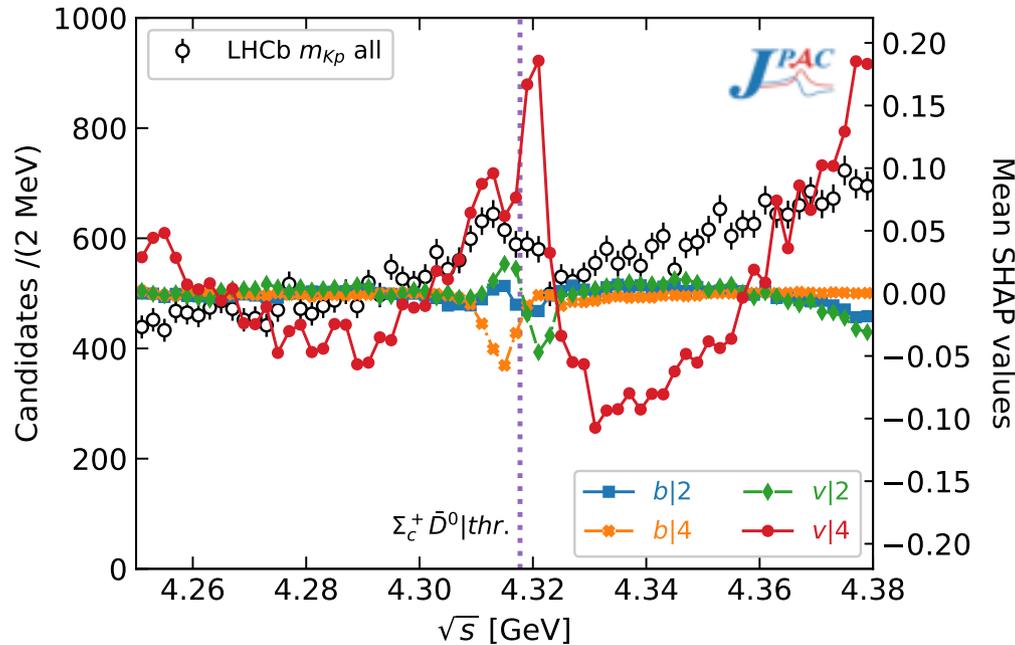
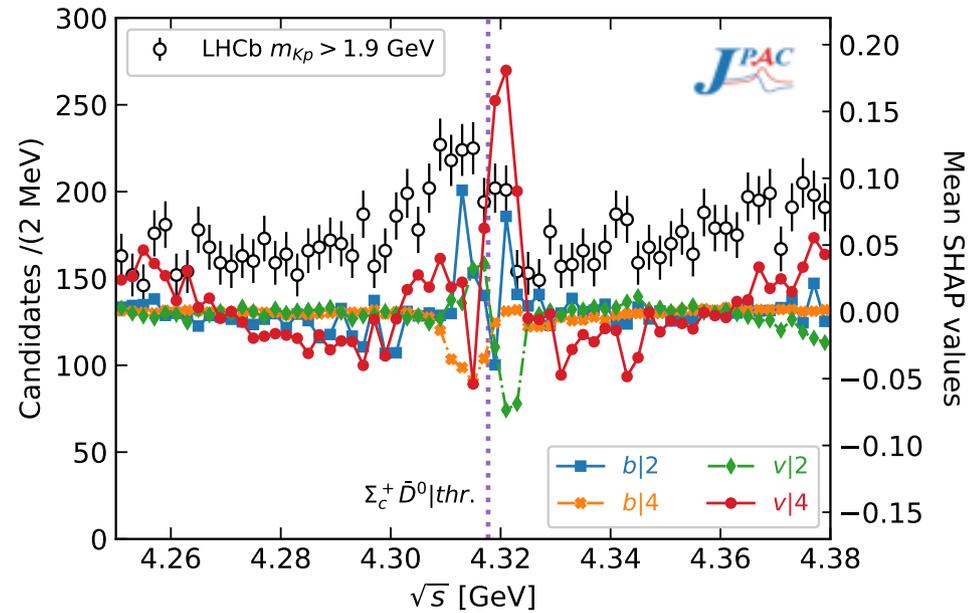
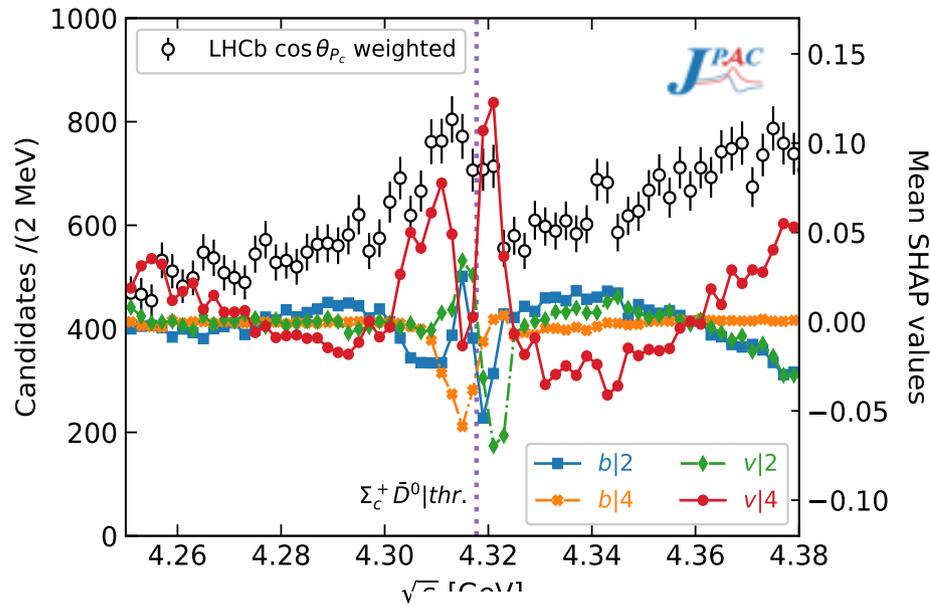
Shapley value for member A: 
$$\phi_A = \frac{\delta_1 + \delta_2 + \dots + \delta_{16}}{16}$$

# Model explanation with SHAP

- By making an association:
  - Member of a coalition → Feature
  - Game → Function that generates classification/regression result
  - Gain → Prediction
  - We define the Shapley values for features
- Caveats:
  - A number of possible coalitions grows like  $2^N$
  - Prohibitively expensive computationally (NP-hard)

Solution: Shapley additive explanations (Lundberg, Lee, [arXiv:1705.07874v2](https://arxiv.org/abs/1705.07874v2), 2017)

# Model explanation with SHAP

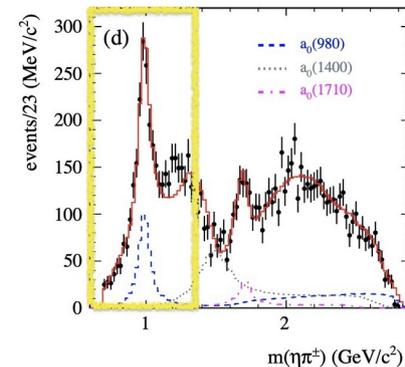
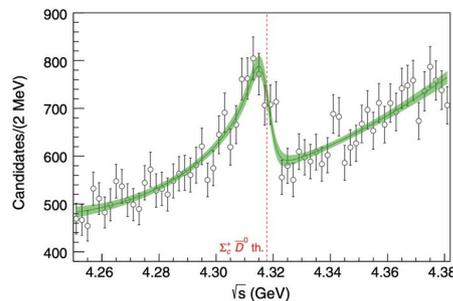


# Summary

- Takeaways:
  - Standard  $\chi^2$  fit may be unstable, since small change in the input may result in large parameter fluctuations (change physics interpretation)
  - Rather than testing the single model hypothesis with  $\chi^2$ , we obtained the probabilities of four competitive pole assignments for the  $P_c(4312)$  state
  - The approach was model independent – meta model
  - By the analysis of the SHAP values we obtained an *ex post* justification of our scattering length approximation

# Questions to be addressed

- Applying the method for larger class of resonances, described by the same physics, eg.  $a_0(980)/f_0(980)$  [discussed by C. Fernandez-Ramires on Wednesday] or other resonances located near thresholds



Two situations with increasing level of generality:

- Same resonance but observed in different experiments, energy bins, channels, observables
- Various signals/resonances for which we believe they are governed by the same dynamical mechanism, eg. the interplay of several poles lying close to the physical region
- For both cases recurrent neural networks are a promising tool for analysis