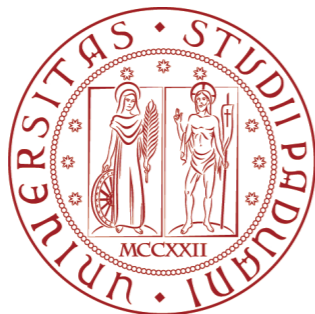


# Axions in the Early Universe



**Francesco D'Eramo**

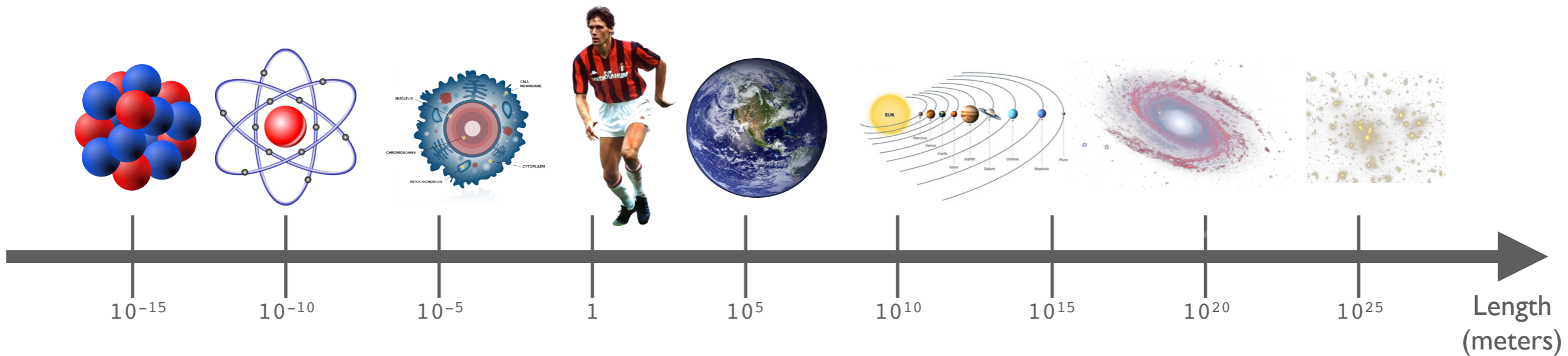
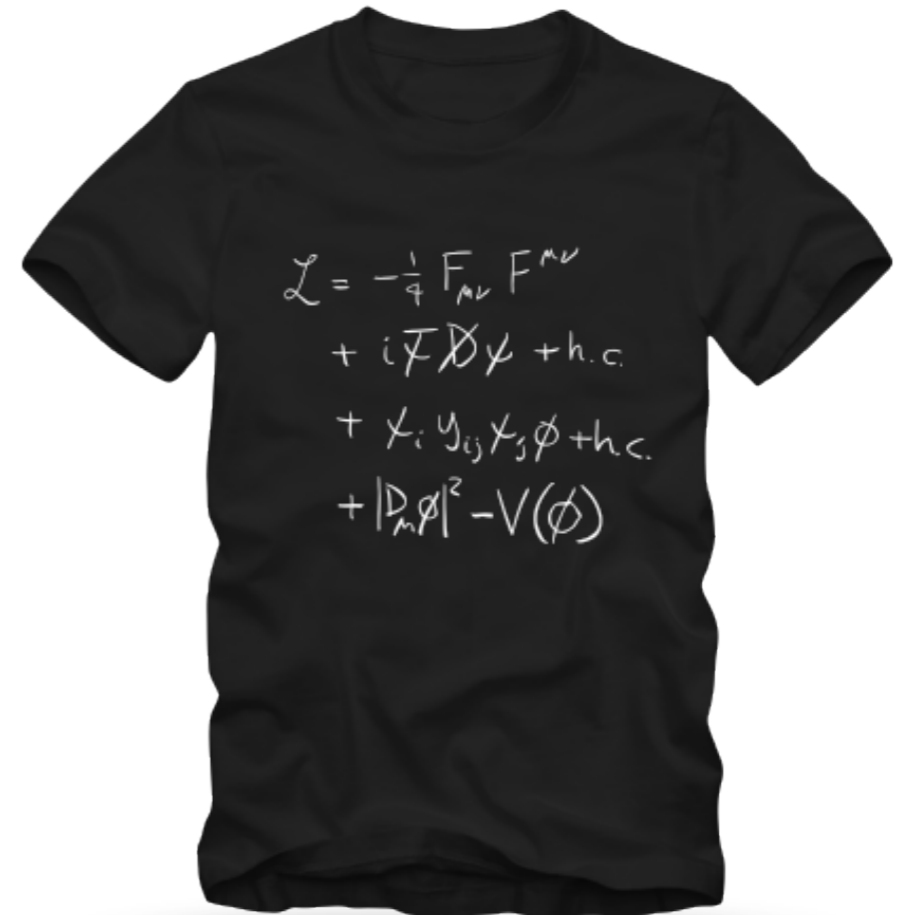
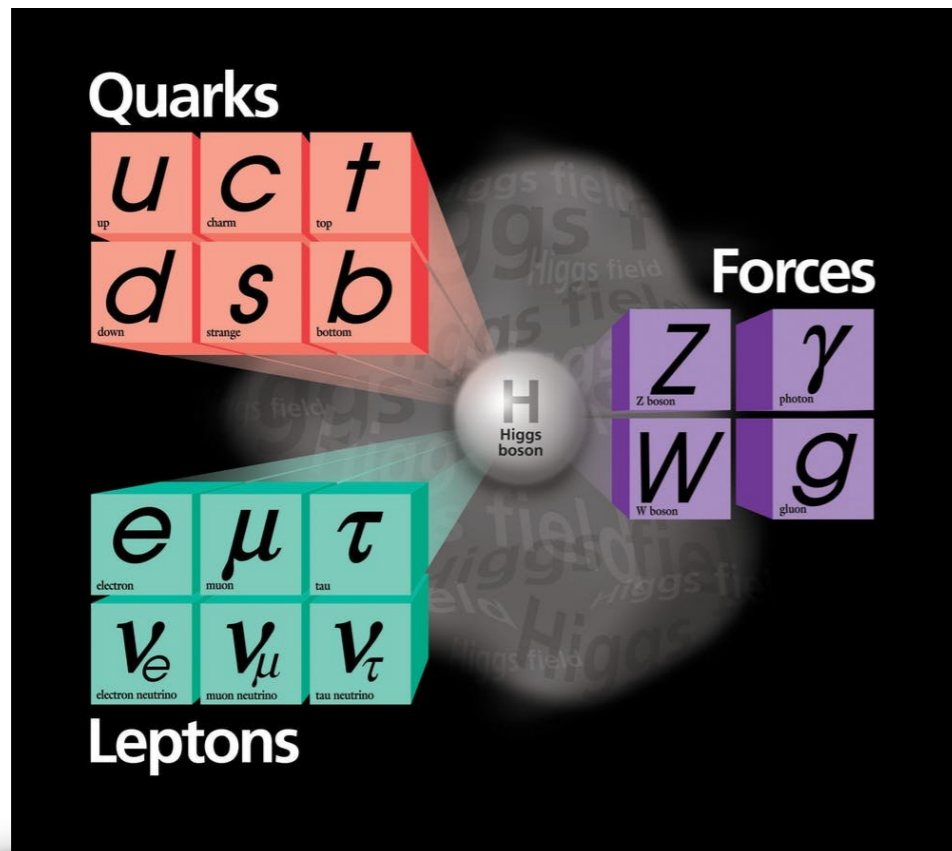


UNIVERSITÀ  
DEGLI STUDI  
DI PADOVA



DarkCosmoGrav: New Frontiers in Particle Physics, Gravity, and Cosmology  
University of Pisa — 25 January 2023

# The Standard Model

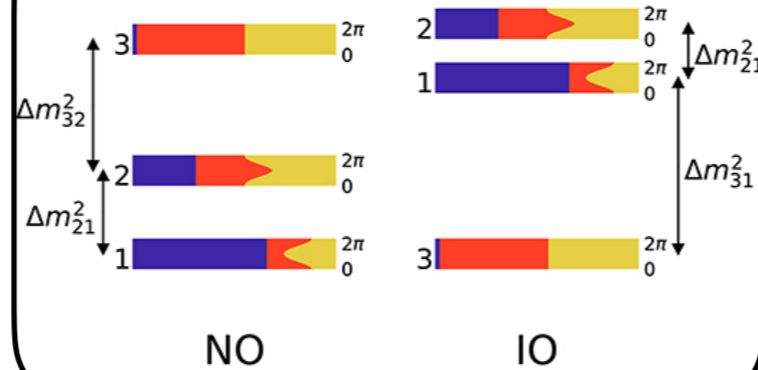


# Still Unanswered Questions...

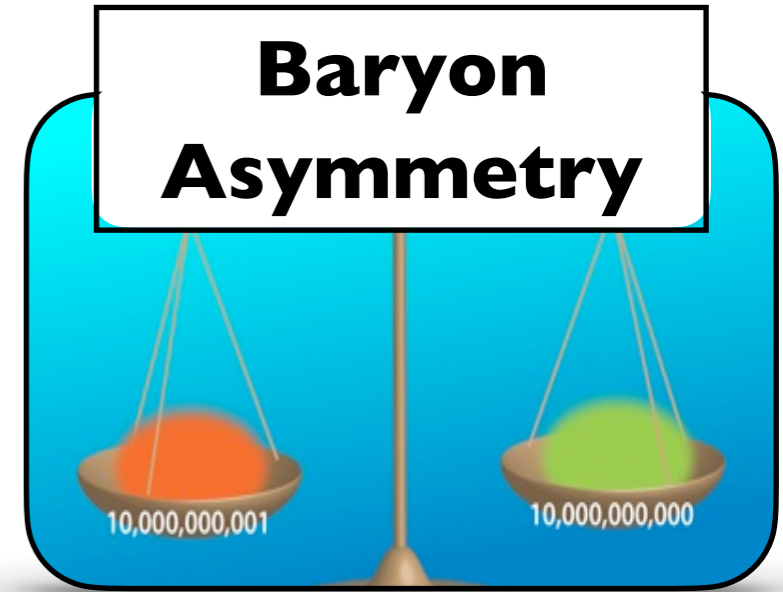
## Dark Matter



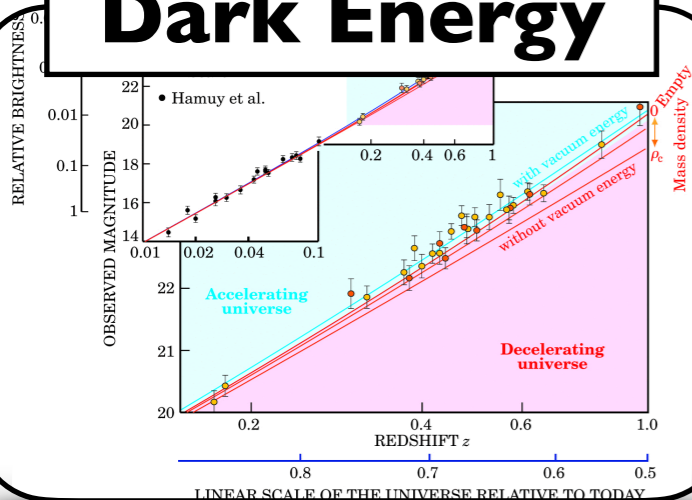
## Neutrino Mass



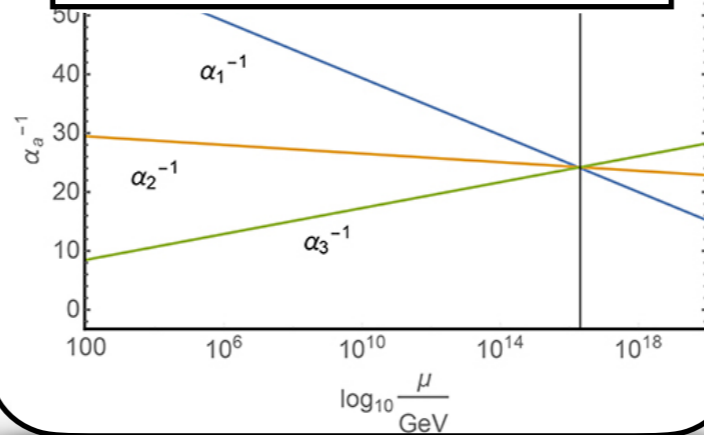
## Baryon Asymmetry



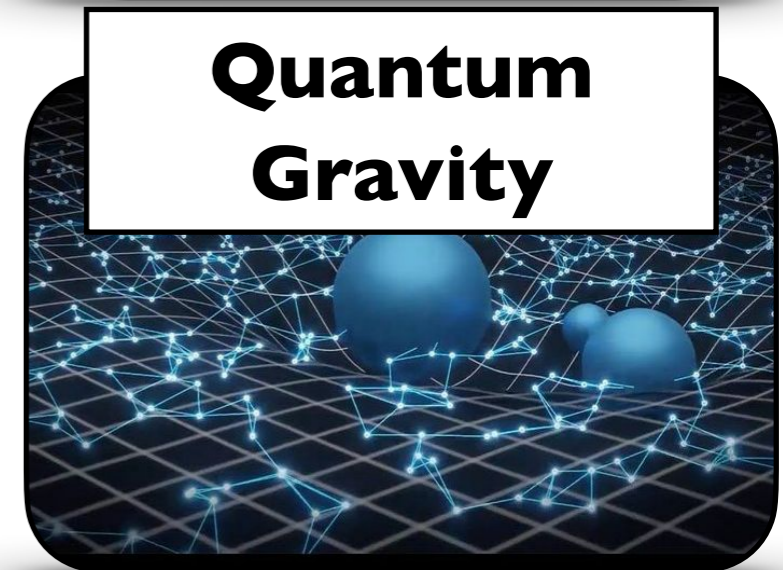
## Dark Energy



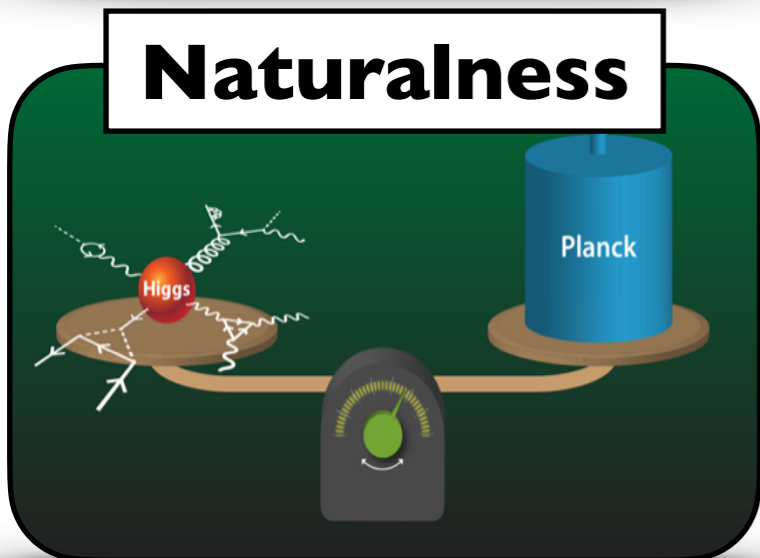
## GUT



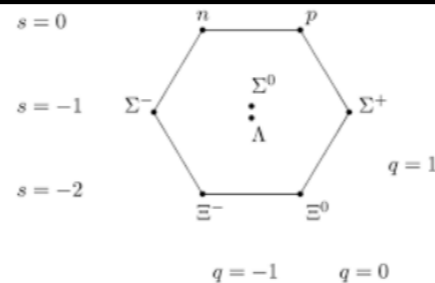
## Quantum Gravity



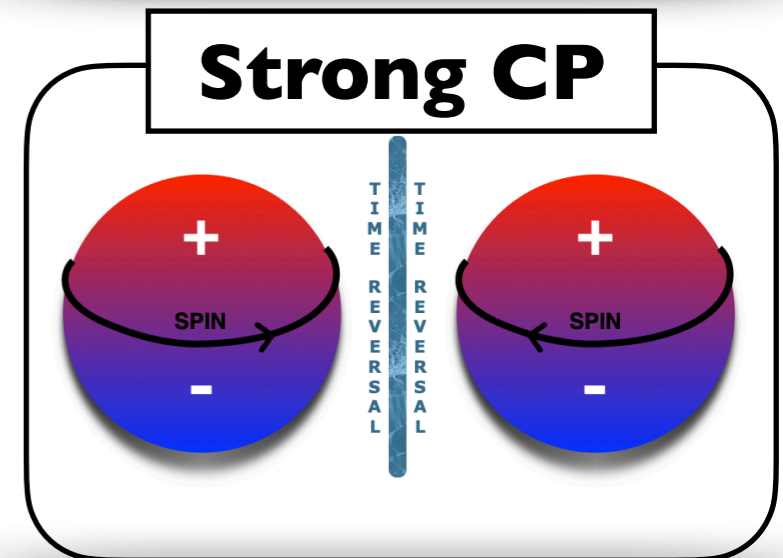
## Naturalness



## Charge Quantization



## Strong CP

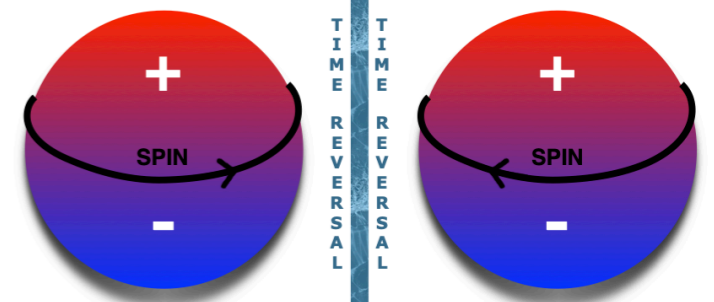


# QCD Axion: 2 Birds with 1 Stone

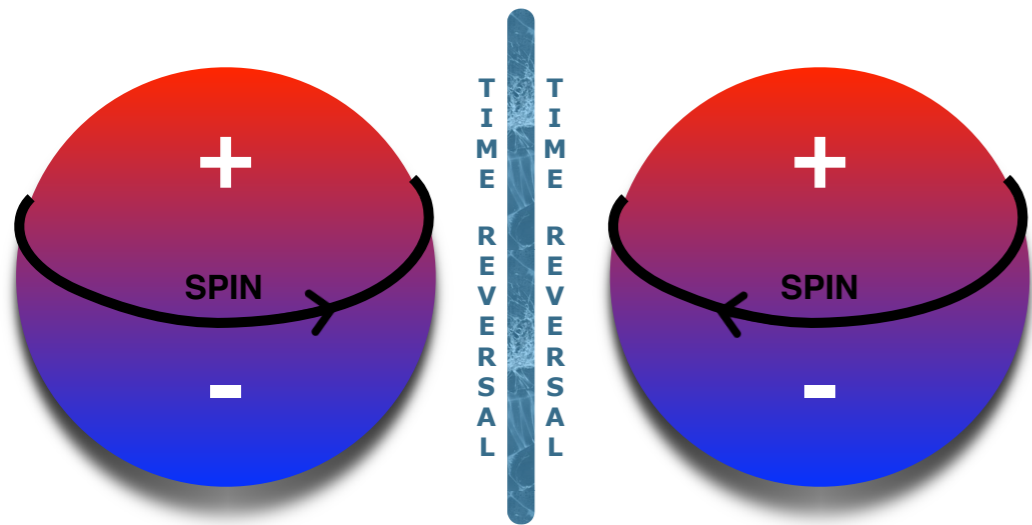
**Dark Matter**



**Strong CP**



# The Strong CP Problem



No detection of time reversal invariance violation by strong interactions

vs

Why is the  $\theta$  parameter of the QCD Lagrangian so small?

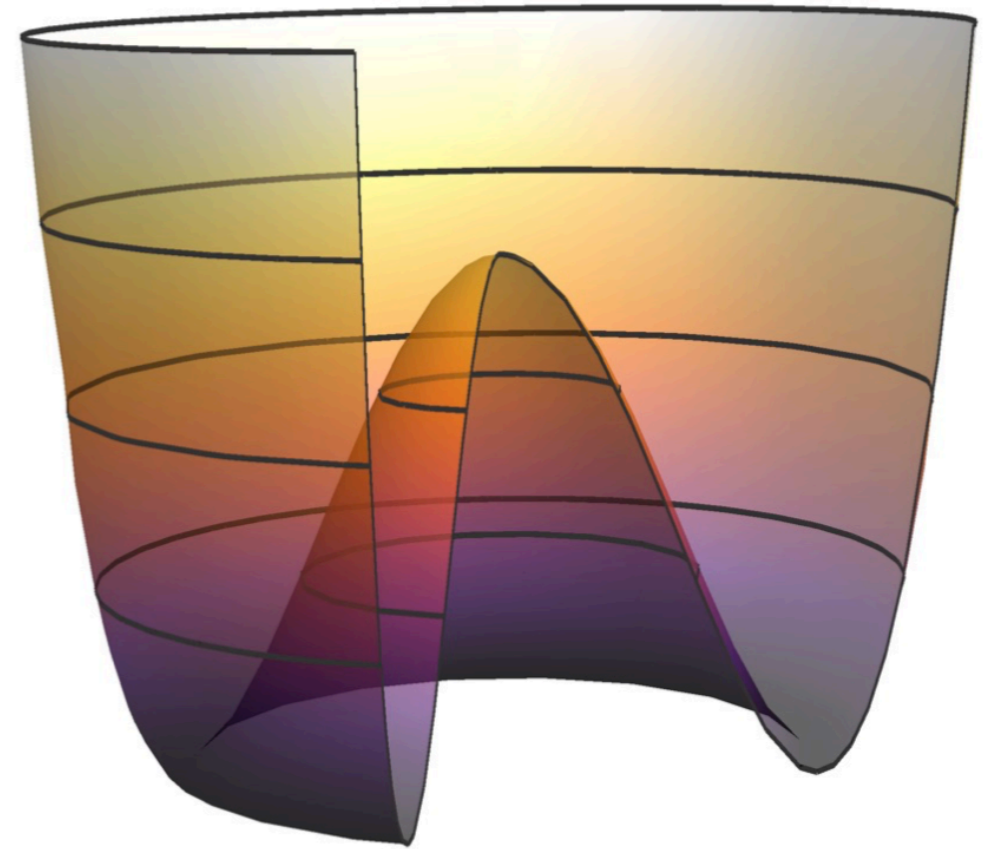
$$|\theta| \lesssim 10^{-10}$$

# The Peccei-Quinn Mechanism

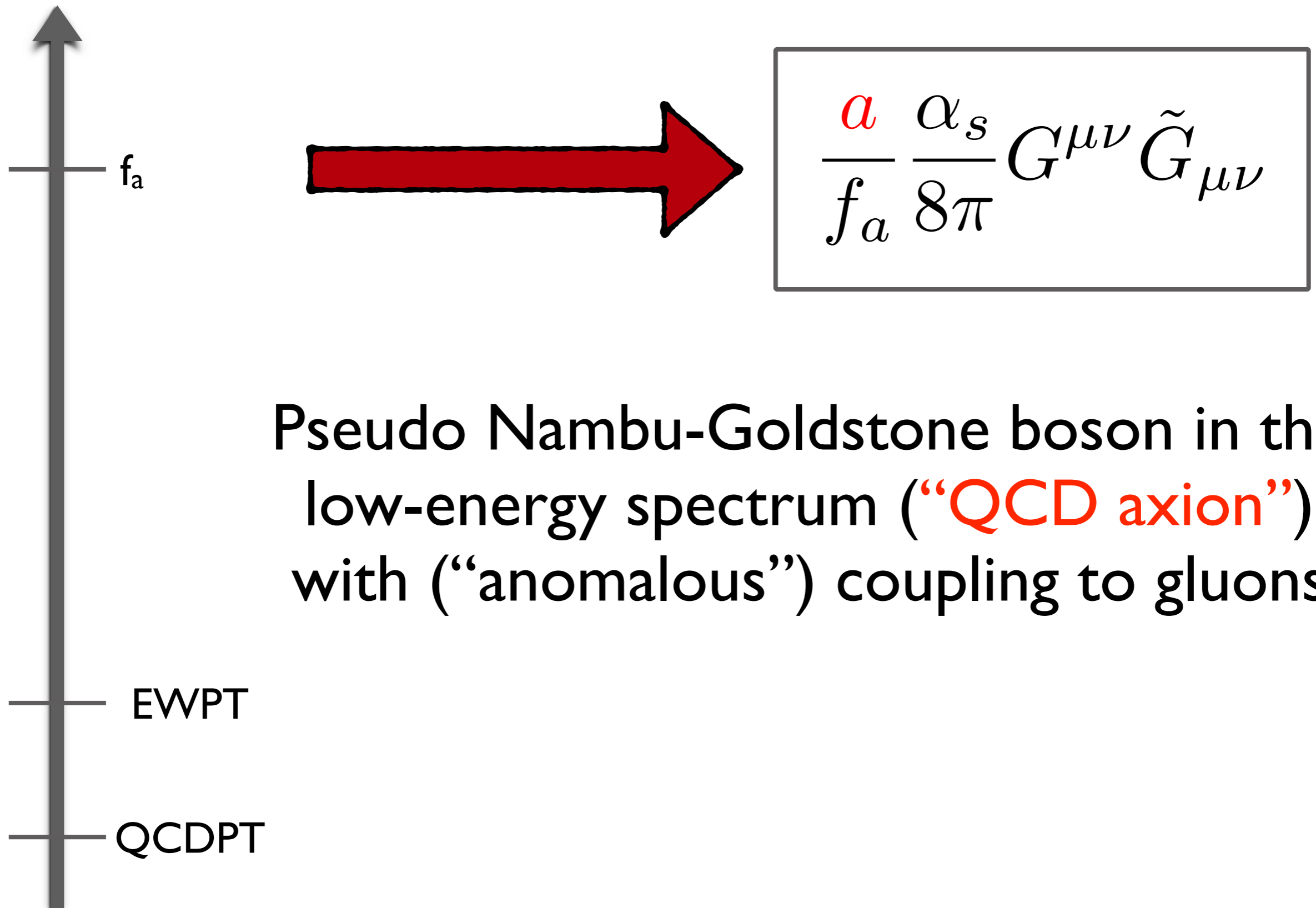
Dynamical solution to the strong CP problem

New global  $U(1)_{PQ}$  symmetry

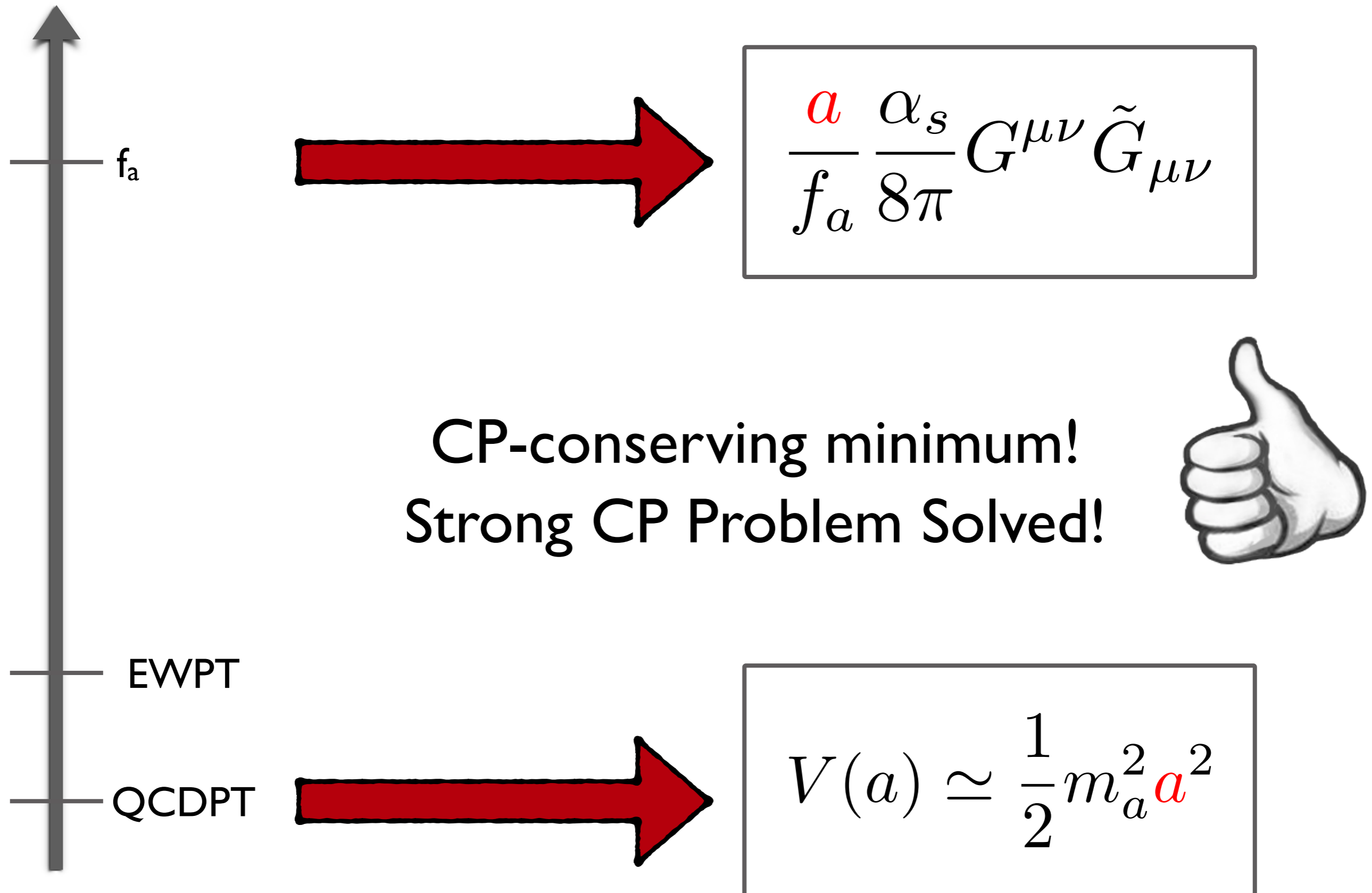
- spontaneously broken at the scale  $f_a$   
(with  $f_a \gg$  weak scale)
- anomalous under strong interactions



# The Peccei-Quinn Mechanism



# The Peccei-Quinn Mechanism

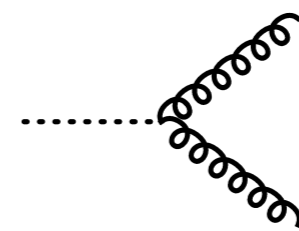




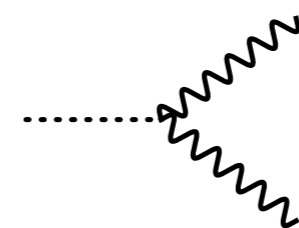
# The QCD Axion

- Coupling to gluons and (not mandatory) to electroweak gauge bosons
- Derivative couplings to fermions

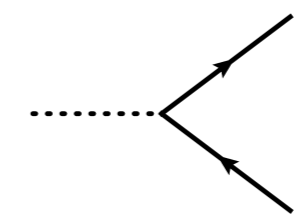
**The QCD axion is elusive!**



$$\frac{\alpha_s}{8\pi} \frac{a}{f_a} G^{\mu\nu} \tilde{G}_{\mu\nu}$$



$$c_{\gamma\gamma} \frac{\alpha_{\text{em}}}{8\pi} \frac{a}{f_a} F^{\mu\nu} \tilde{F}_{\mu\nu}$$



$$c_{\psi} \frac{\partial_{\mu} a}{f_a} \bar{\psi} \gamma^{\mu} \gamma^5 \psi$$

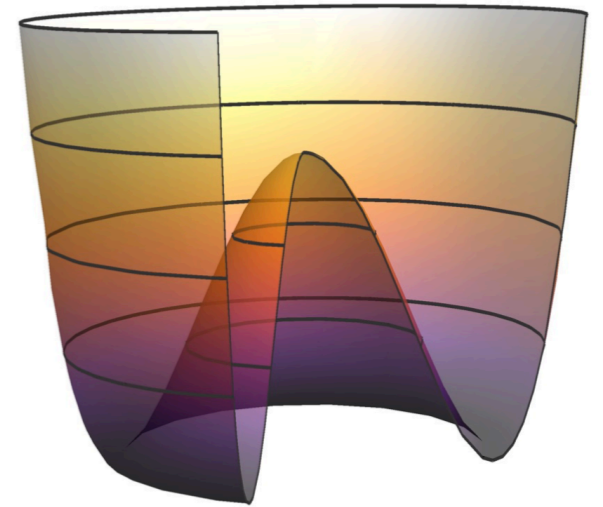
Axion (zero-temperature) mass from non-perturbative potential

**The QCD axion is very light!**

$$m_a \simeq 5.7 \left( \frac{10^{12} \text{ GeV}}{f_a} \right) \mu\text{eV}$$

# Axion-Like-Particles (ALPs)

Ubiquitous in motivated  
extension of the Standard Model

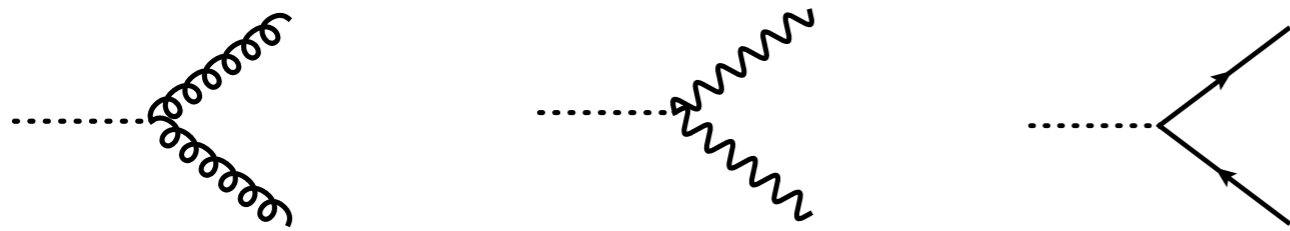


- Pseudo-Nambu-Goldstone-bosons in field theory
- Axions in string theory

$$m_a \simeq \frac{\Lambda^2}{f_a}$$

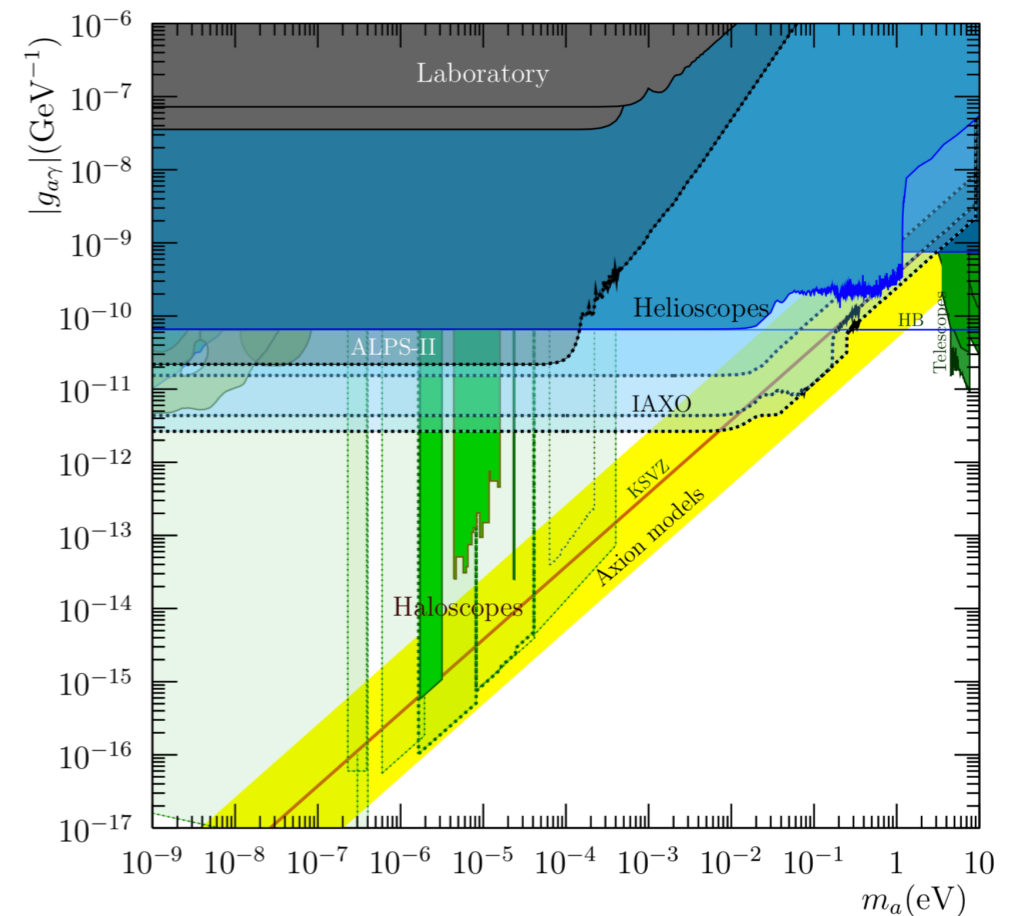
$$\mathcal{L}_{\text{int}} = c_X \frac{a}{f_a} \frac{\alpha_X}{8\pi} X^{\mu\nu} \tilde{X}_{\mu\nu} + c_\psi \frac{\partial_\mu a}{f_a} \bar{\psi} \gamma^\mu \gamma^5 \psi$$

# Axion Signals



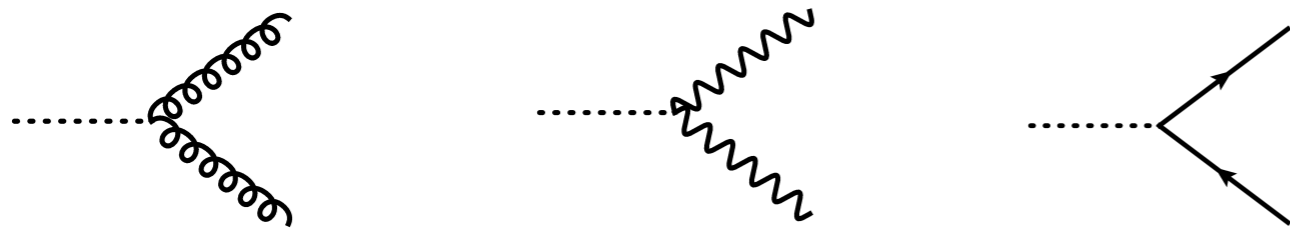
Very light and weakly coupled:

- detection challenging
- prominent role in the early universe



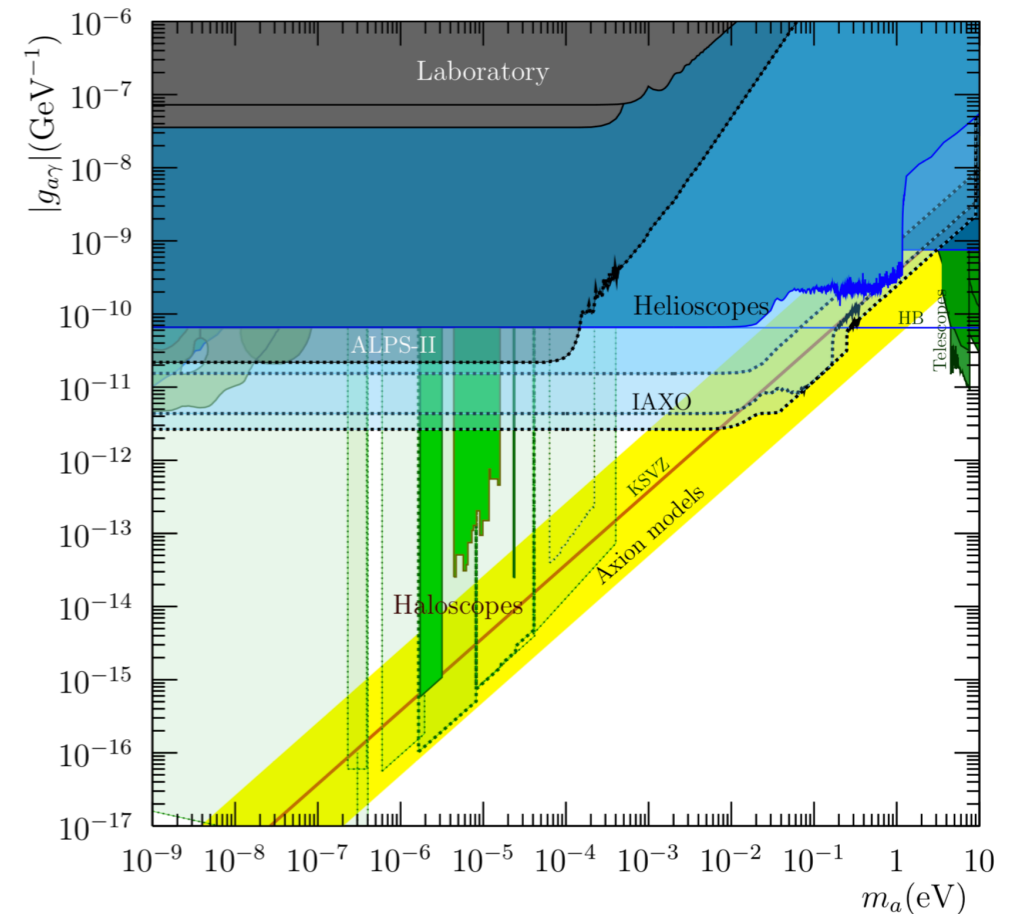
Irastorza and Redondo, Prog.Part.Nucl.Phys. 102 (2018)

# Axion Signals



Very light and weakly coupled:

- detection challenging
- prominent role in the early universe



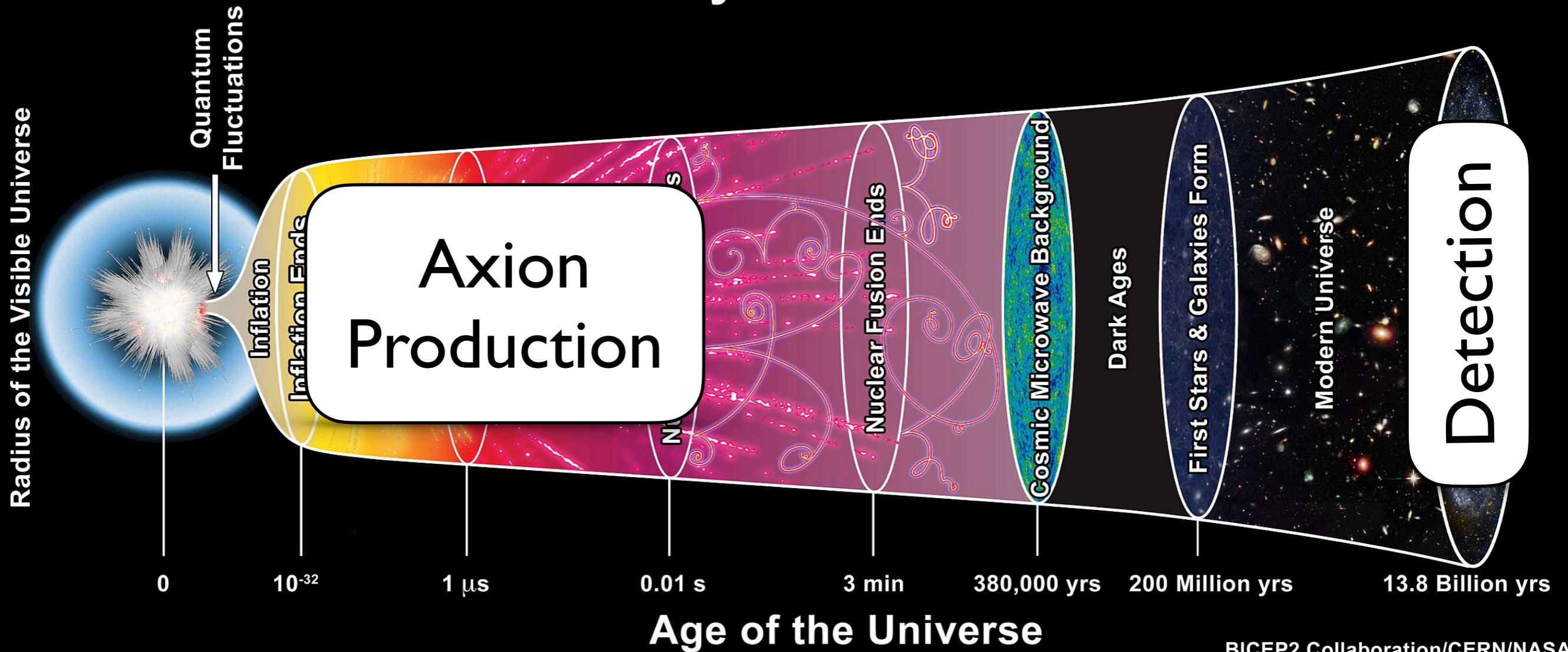
Irastorza and Redondo, Prog.Part.Nucl.Phys. 102 (2018)

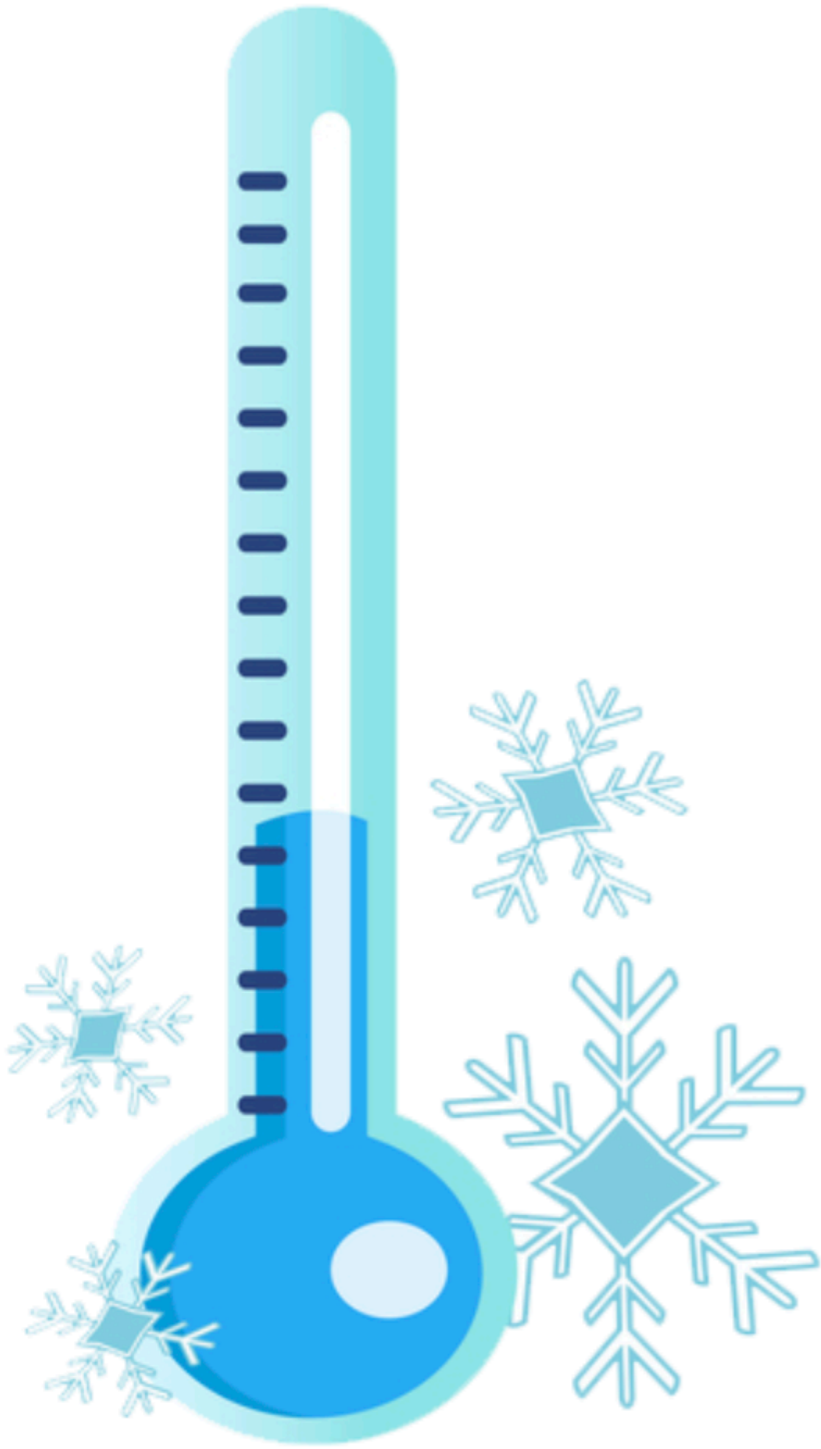
**In this talk:**

Axions in the early universe

# Axions in the Early Universe

## History of the Universe

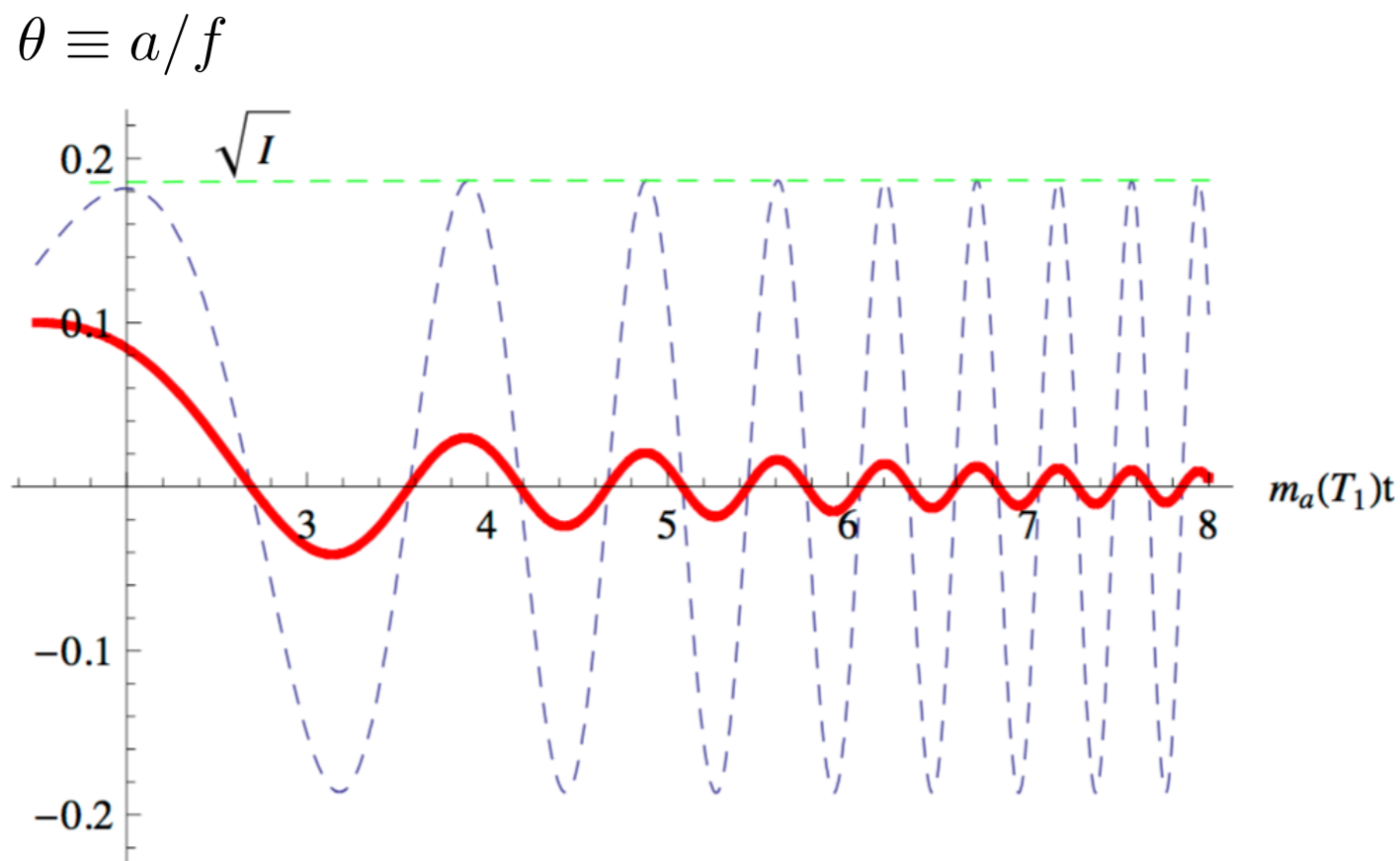




# Cold Axions

(Dark Matter)

# Axion Misalignment



## Misalignment


$$\frac{d^2 a}{dt^2} + 3H \frac{da}{dt} + m_a(T)^2 a = 0$$

$$\Omega_a h^2 \simeq 0.1 \theta_i^2 \left( \frac{f_a}{10^{11} \text{ GeV}} \right)^{1.18}$$

- $3H(T) > m_a(T)$ : axion stuck by “Hubble friction”
- $3H(T) < m_a(T)$ : axion oscillates, energy density as cold matter

# Axion Misalignment

$$\theta \equiv a/f$$



See talk by **Lingxiao Xu**  
in this session for a  
possible variation

“Axion Free-kick  
Misalignment Mechanism”

## Misalignment

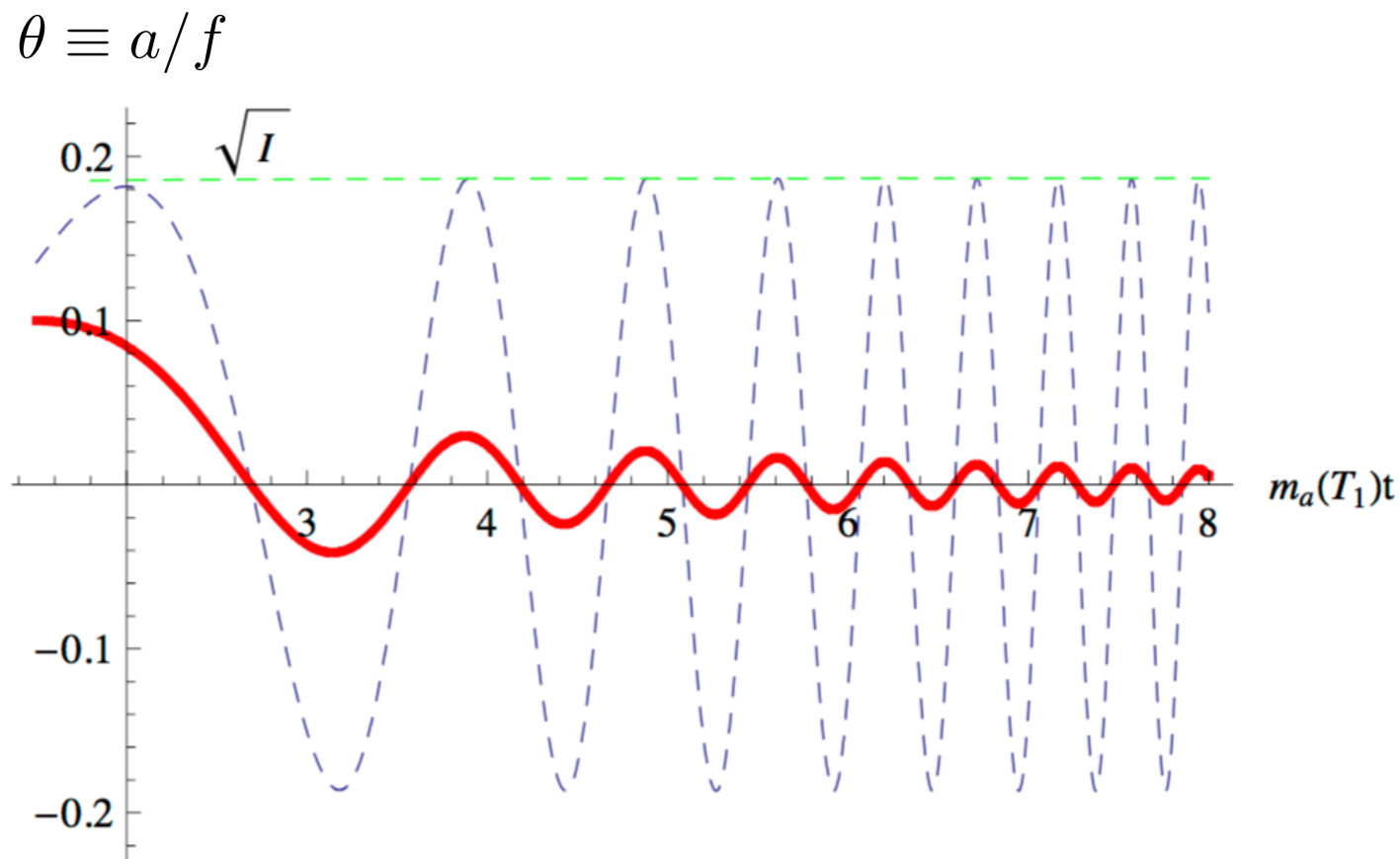
$$\frac{d^2 a}{dt^2} + 3H \frac{da}{dt} + m_a(T)^2 a = 0$$

$$\Omega_a h^2 \simeq 0.1 \theta_i^2 \left( \frac{f_a}{10^{11} \text{ GeV}} \right)^{1.18}$$

- $3H(T) > m_a(T)$ : axion stuck by “Hubble friction”
- $3H(T) < m_a(T)$ : axion oscillates, energy density as cold matter



# Axion Misalignment



## Misalignment

$$\frac{d^2 a}{dt^2} + 3H \frac{da}{dt} + m_a(T)^2 a = 0$$

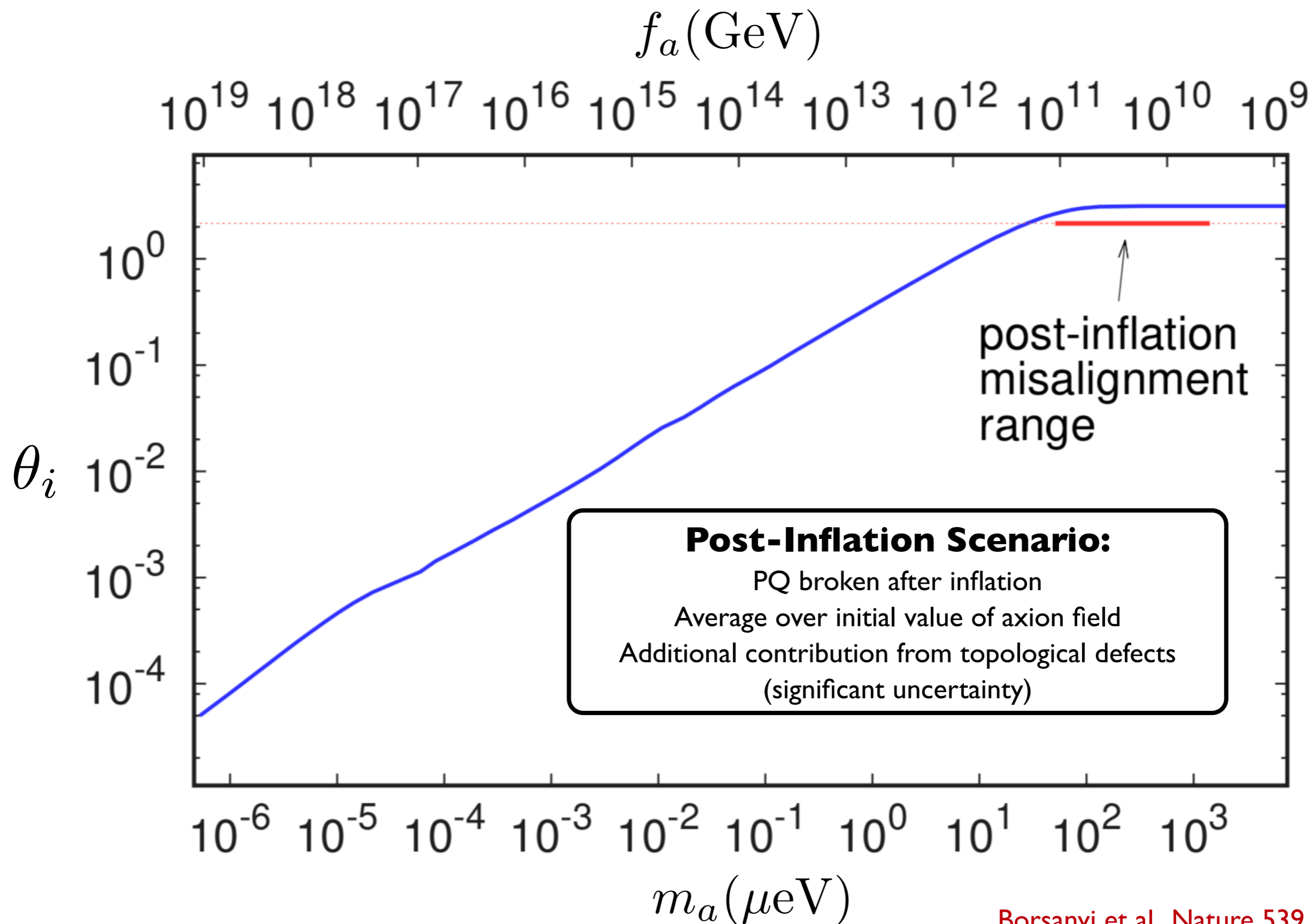
$$\Omega_a h^2 \simeq 0.1 \theta_i^2 \left( \frac{f_a}{10^{11} \text{ GeV}} \right)^{1.18}$$

Axion initial field value? It depends...

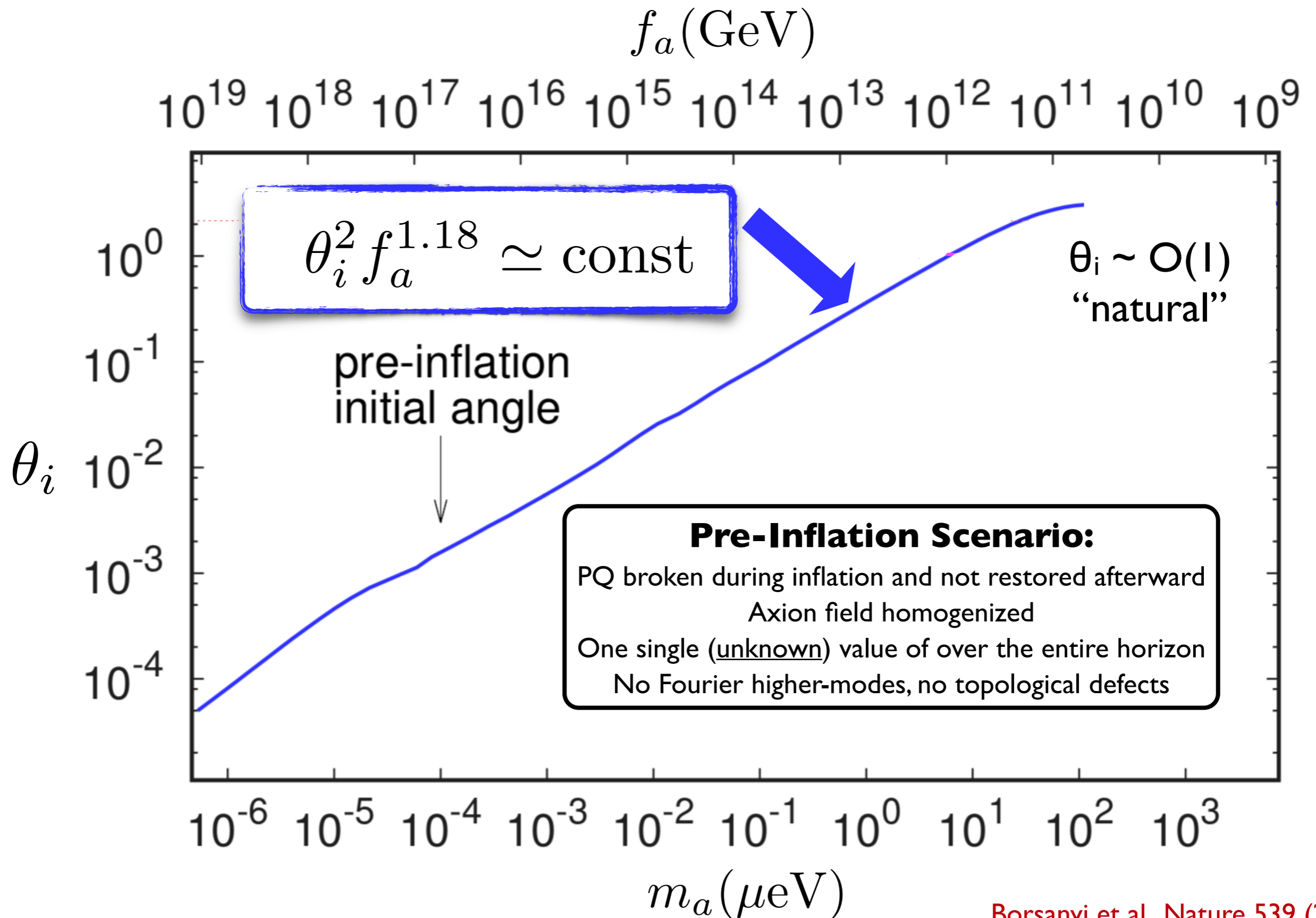
Was PQ broken during inflation?

If yes, was it restored afterwards?

# Post-Inflation Scenario



# Pre-Inflation Scenario



# QCD Axion Cold Dark Matter

## Post-Inflation

Axion cold dark matter  
from strings

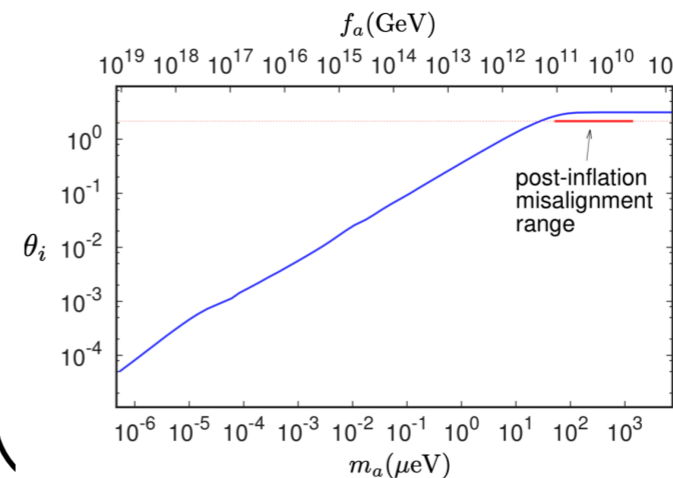
Klaer and Moore, JCAP 10 and JCAP 11 (2017)

Gorghetto, Hardy, Villadoro  
JHEP 07 (2018) and SciPost Phys.10 (2021)

Buschmann, Foster, Safdi  
PRL 124 (2020) and Nature Commun. 13 (2022)

## Pre-Inflation

Finite temperature axion  
mass from lattice

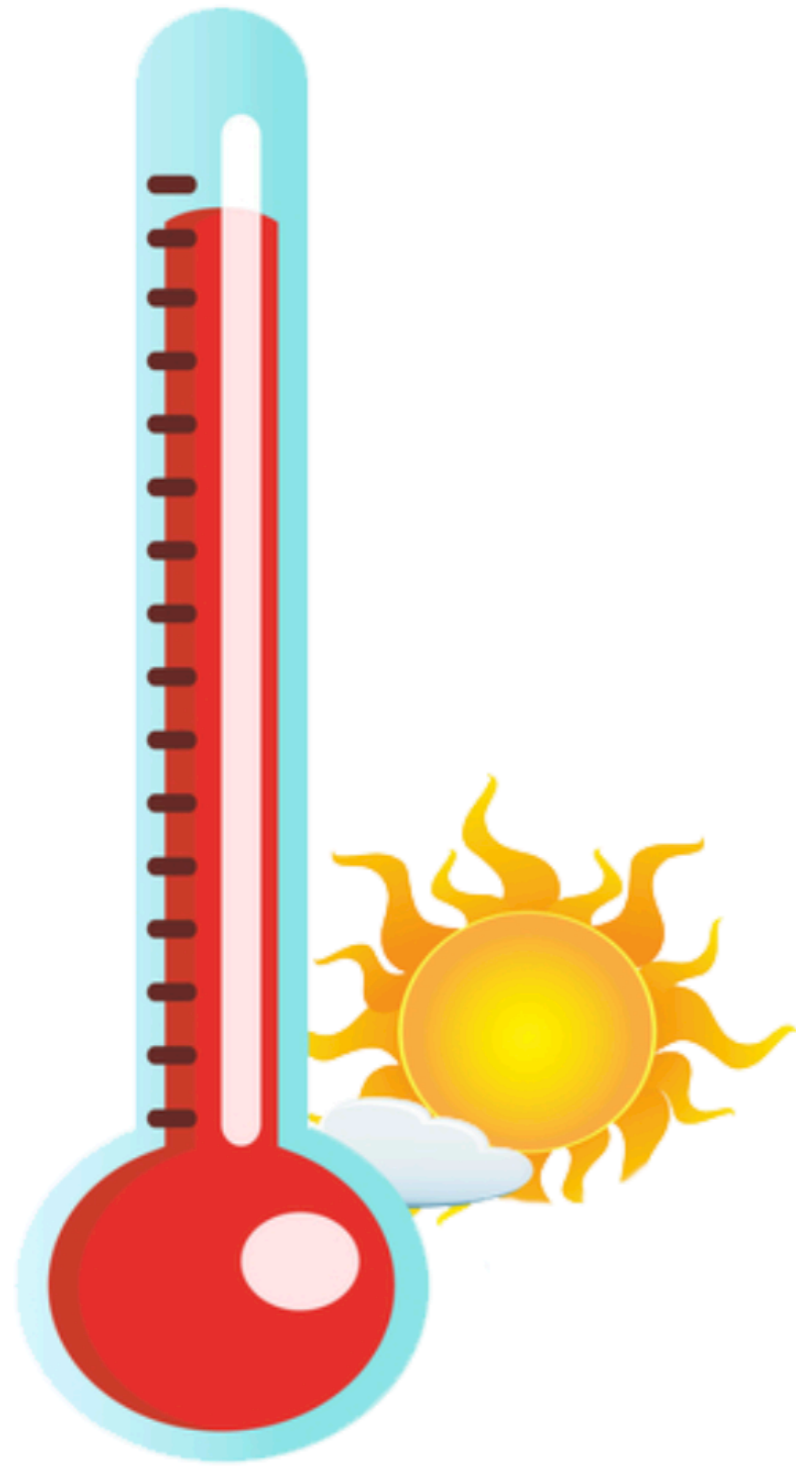


Borsanyi et al.,  
Phys.Lett.B 752 (2016)  
Nature 539 (2016)

Range for  $f_a$  (with caveats):

$$10^9 \text{ GeV} \lesssim f_a \lesssim 10^{11} \text{ GeV}$$

- Larger  $f_a$ : dilute dark matter abundance or fine-tune initial field value
- Lower  $f_a$ : axion sub-dominant dark matter component



# Hot Axions

(Dark Radiation)

# Thermal Axions

Scatterings and/or decays involving particles  
belonging to the primordial thermal bath  
(axion energy much higher than  $m_a$ , i.e. “hot”)

$$B_1 B_2 \rightarrow B_3 a$$

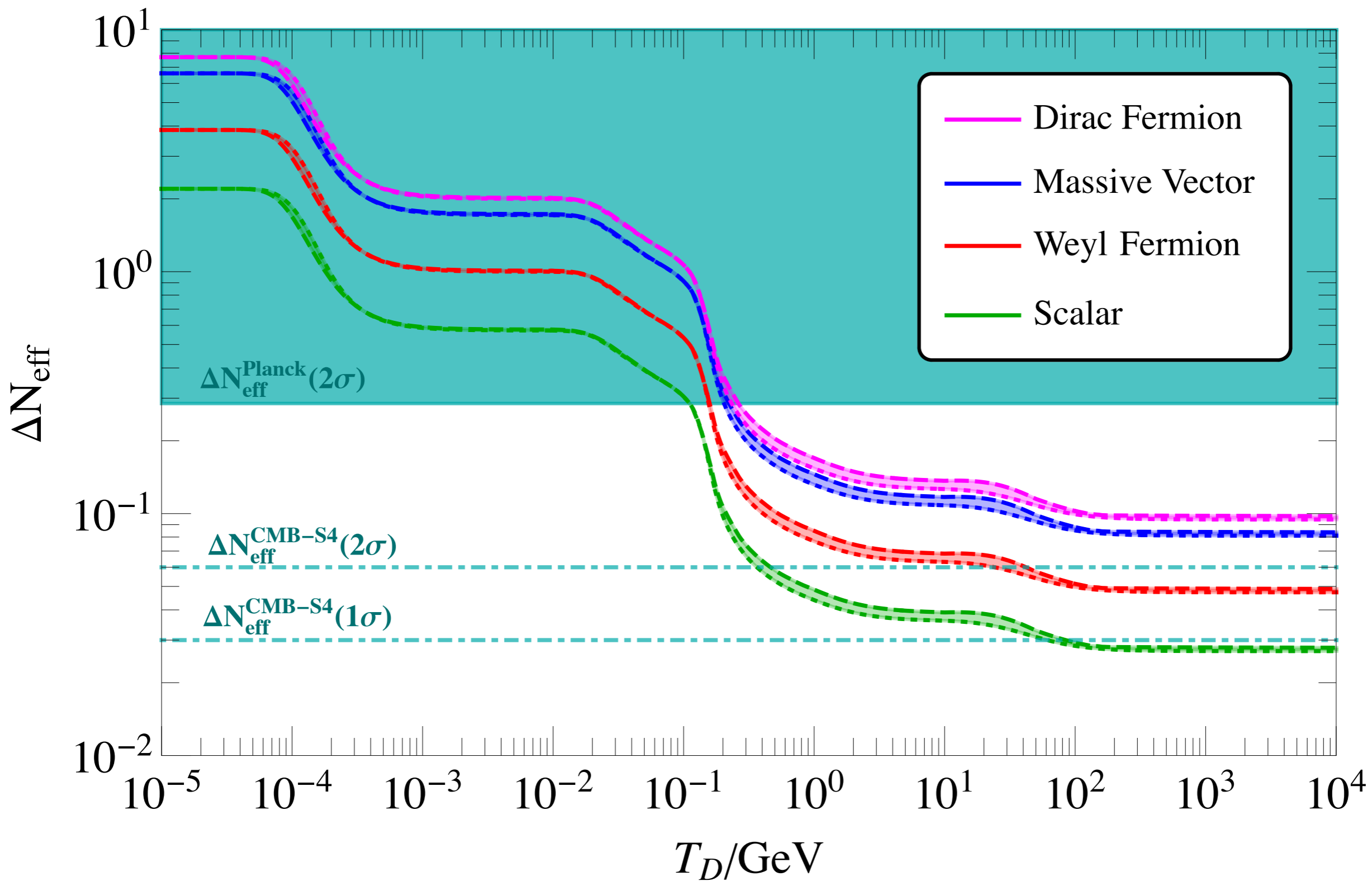
Additional radiation at:

- BBN ( $m_a \lesssim \text{MeV}$ )
- CMB formation ( $m_a \lesssim 0.3 \text{ eV}$ )

$$\rho_{\text{rad}} = \left[ 1 + \frac{7}{8} \left( \frac{T_\nu}{T_\gamma} \right)^4 N_{\text{eff}} \right] \rho_\gamma$$

$$\Delta N_{\text{eff}} = \frac{8}{7} \left( \frac{11}{4} \right)^{4/3} \frac{\rho_a}{\rho_\gamma}$$

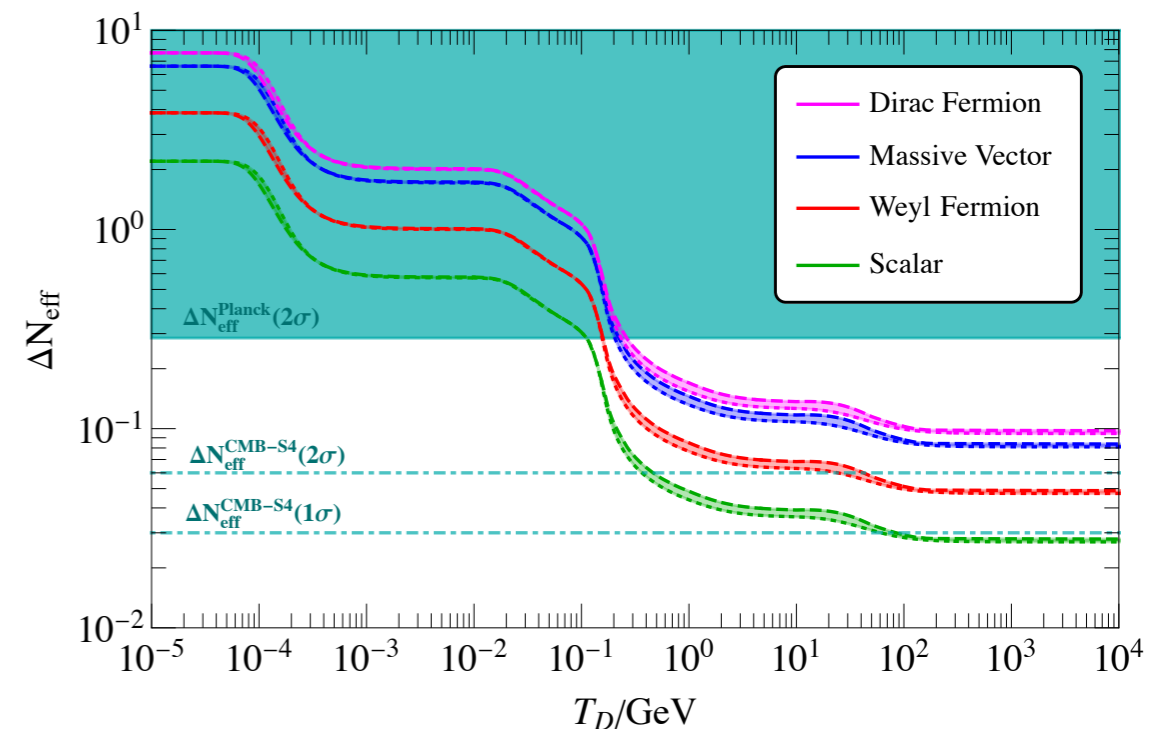
# Dark Radiation in the CMB



# Predicting $\Delta N_{\text{eff}}$

Axions may never thermalize

If they do, decoupling detail relevant  
(effect larger the experimental error)



$$\frac{dn_a}{dt} + 3Hn_a = \sum_{\alpha} \gamma_{\alpha}$$

**GOAL:** compute the right-hand side that accounts  
for processes changing the number of axions



# Scenarios for Thermal Axions

## Single Coupling Switched On

Axion coupled to a given Standard Model field

Ferreira, Notari, Phys.Rev.Lett. 120 (2018)

FD et al, JCAP 11 (2018)

Arias-Aragón et al., JCAP 11 (2020) and JCAP 03 (2021)

Green et al., JCAP 02 (2022)

FD et al., Phys.Rev.Lett. 128 (2022)

## UV Completions

FD, Hajkarim, Yun, JHEP 10 (2021)

- **KSVZ Axion:** Standard Model fields are PQ-neutral and color anomaly from heavy colored and PQ-charged fermion  $\Psi$

Kim, PRL 43 (1979)

Shifman, Vainshtein, Zakharov, NPB 166 (1980)

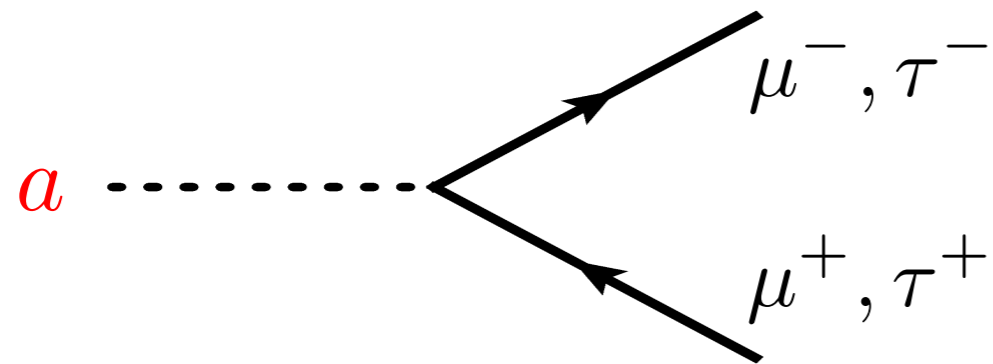
- **DFSZ Axion:** Standard Model fields charged (two Higgs doublets) and color anomaly from quarks

Zhitnitsky, SJNP 31 (1980)

Dine, Fischler, Srednicki, PLB 104 (1981)

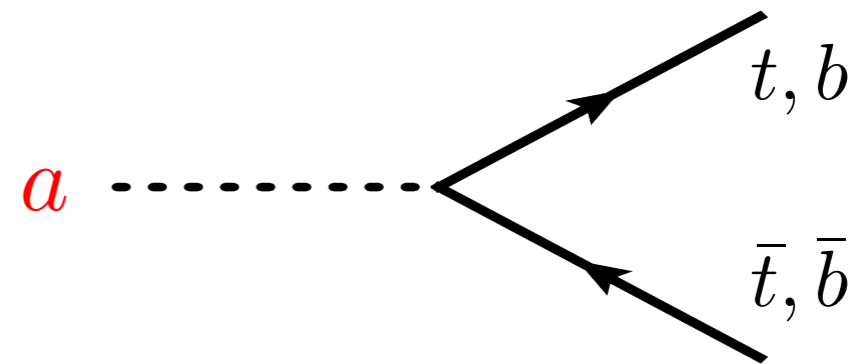
# Single Coupling Switched On

## Leptons



FD, Ferreira, Notari, Bernal, JCAP 1811 (2018)

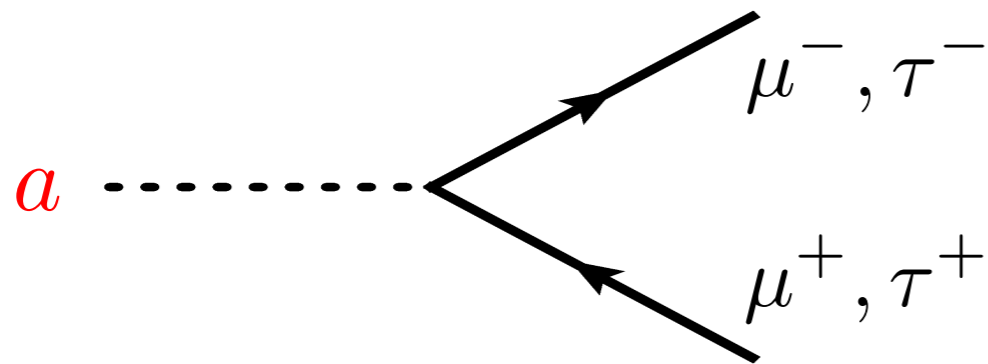
## 3rd Gen. Quarks



Arias-Aragon, FD, Ferreira, Merlo, Notari, JCAP 03 (2021)

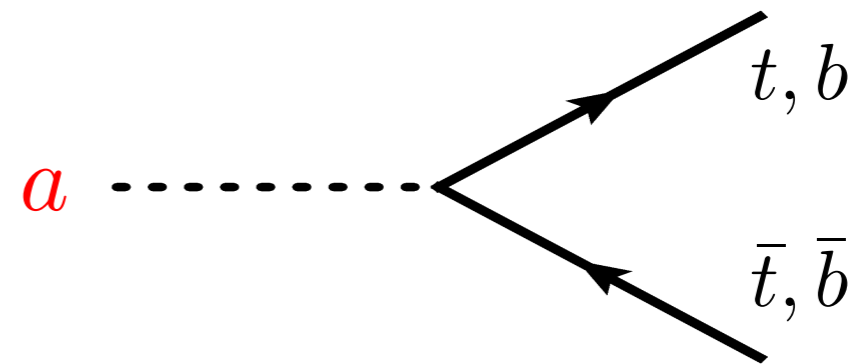
# Single Coupling Switched On

## Leptons



FD, Ferreira, Notari, Bernal, JCAP 1811 (2018)

## 3rd Gen. Quarks

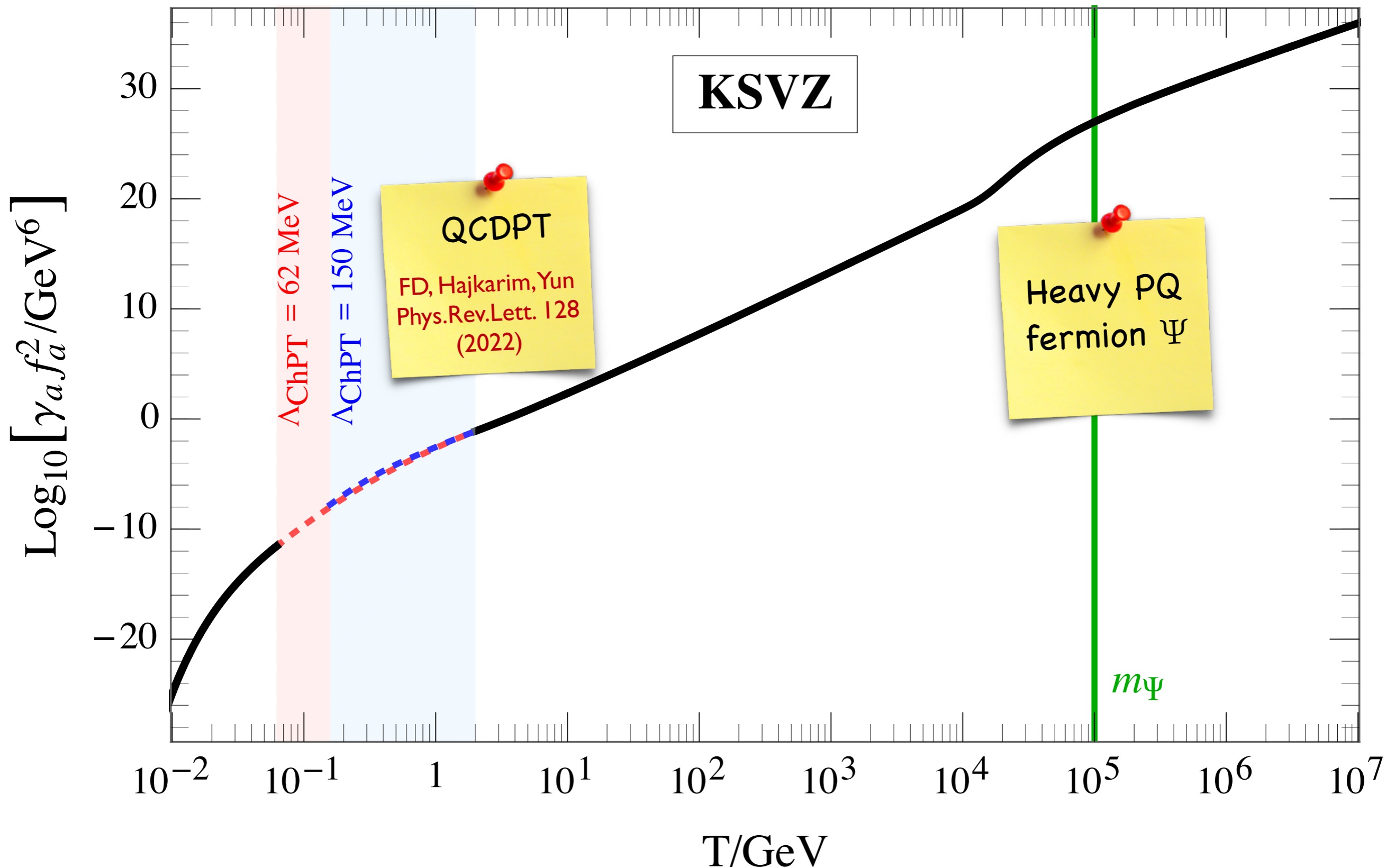


Arias-Aragon, FD, Ferreira, Merlo, Notari, JCAP 03 (2021)

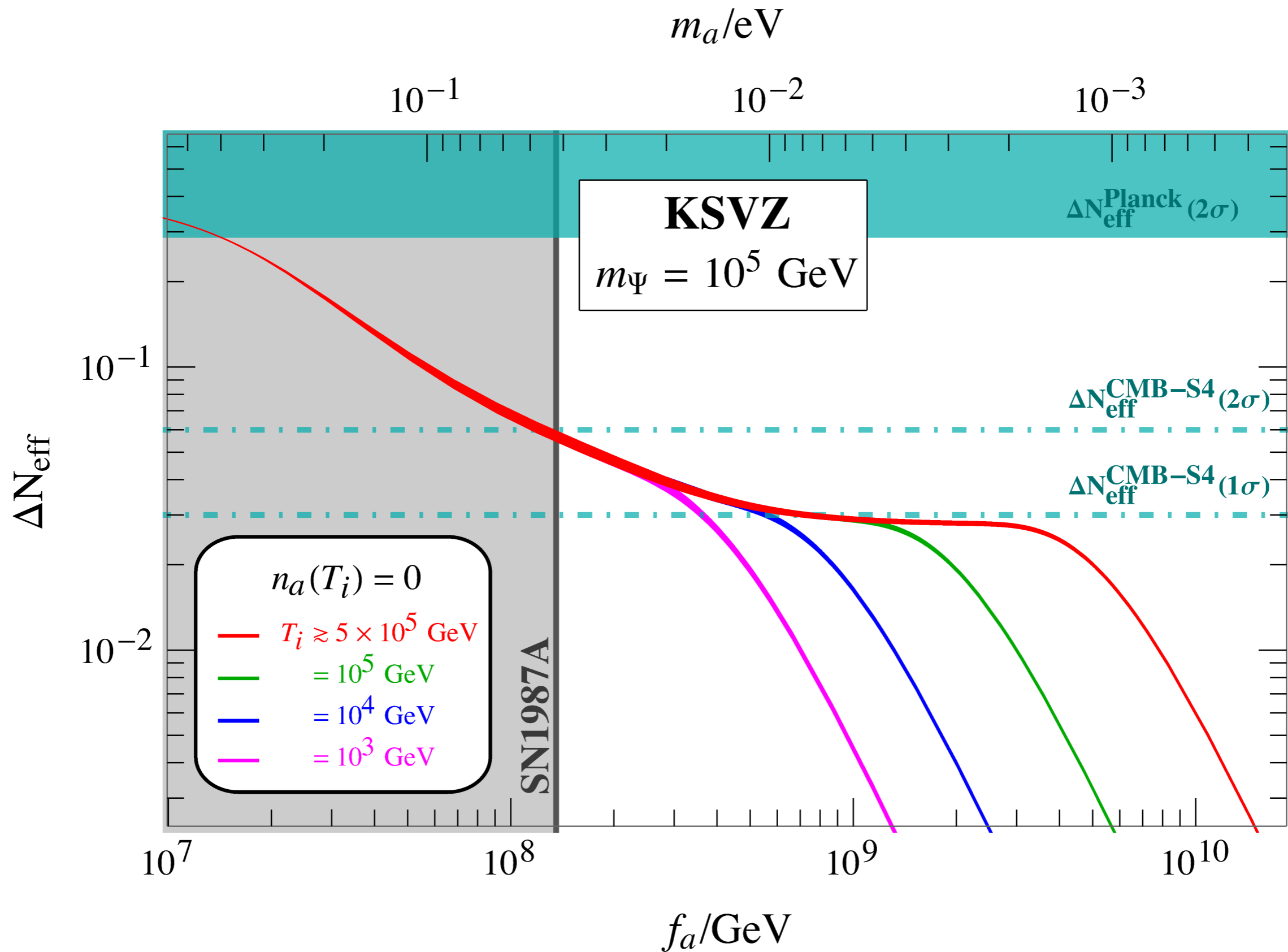
**They can  
alleviate  
the Hubble  
tension**

**Smooth rate  
across EWPT,  
within reach  
of CMB-S4  
surveys**

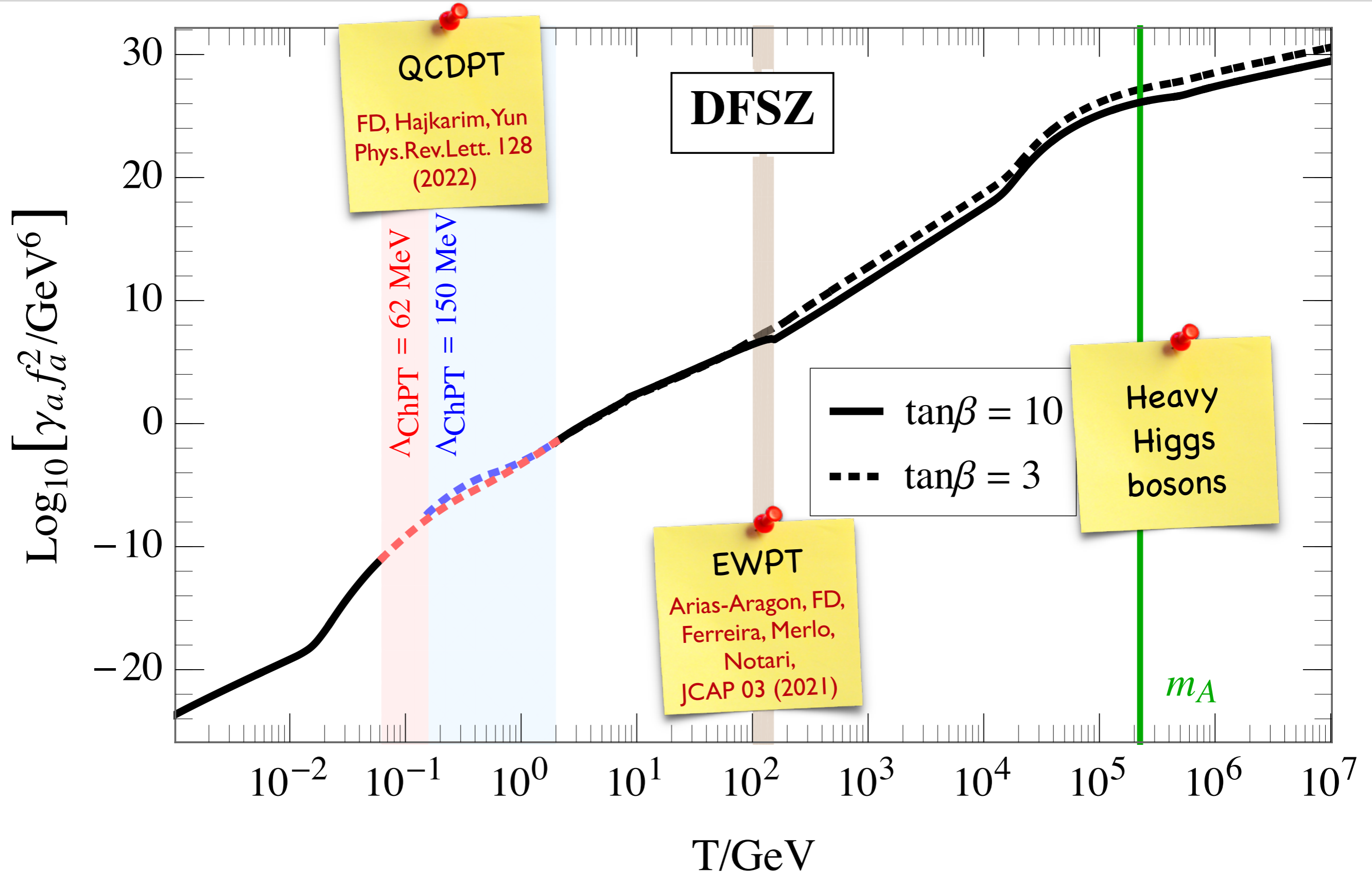
# KSVZ Axion — Production Rate



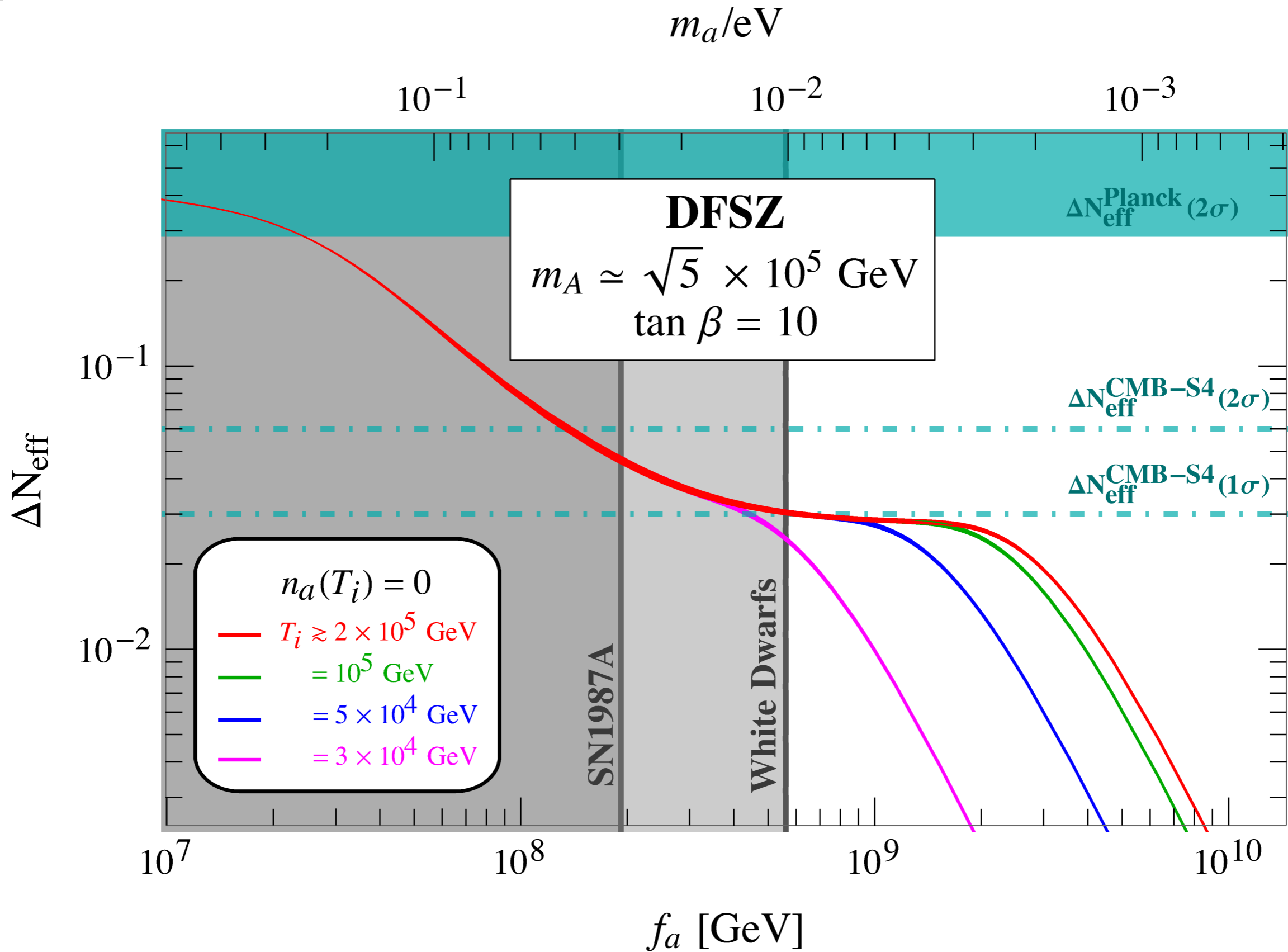
# KSVZ Axion — $\Delta N_{\text{eff}}$



# DFSZ Axion — Production Rate

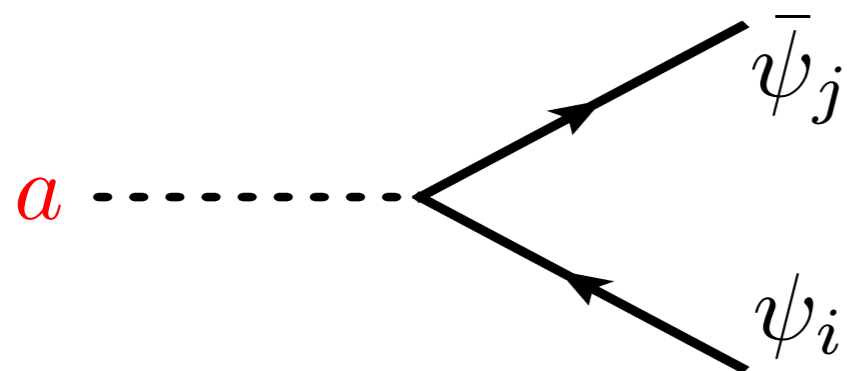


# DFSZ Axion — $\Delta N_{\text{eff}}$



# Flavor Violating Axions

$$\mathcal{L}_{\text{FV}}^{(a)} = \frac{\partial_\mu a}{2f_a} \sum_{\psi_i \neq \psi_j} \bar{\psi}_i \gamma^\mu \left( c_{\psi_i \psi_j}^V + c_{\psi_i \psi_j}^A \gamma^5 \right) \psi_j$$



Target of several terrestrial experiments

Camalich et al., Phys.Rev.D 102 (2020)

Calibbi et al., JHEP 09 (2021)

What about their role in the early universe?

They mediate hot axion production  
via decays and scatterings

FD, Yun, Phys.Rev.D 105 (2022)



# Flavor Violating Axions

See talk by **Clemente Smarra** in this session for an explicit construction

*“The axion-flavour connection”*

$$\bar{\psi}_i \gamma^\mu \left( c_{\psi_i \psi_j}^V + c_{\psi_i \psi_j}^A \gamma^5 \right) \psi_j$$

target of several terrestrial experiments

Camalich et al., Phys.Rev.D 102 (2020)

Calibbi et al., JHEP 09 (2021)

What about their role in the early universe?

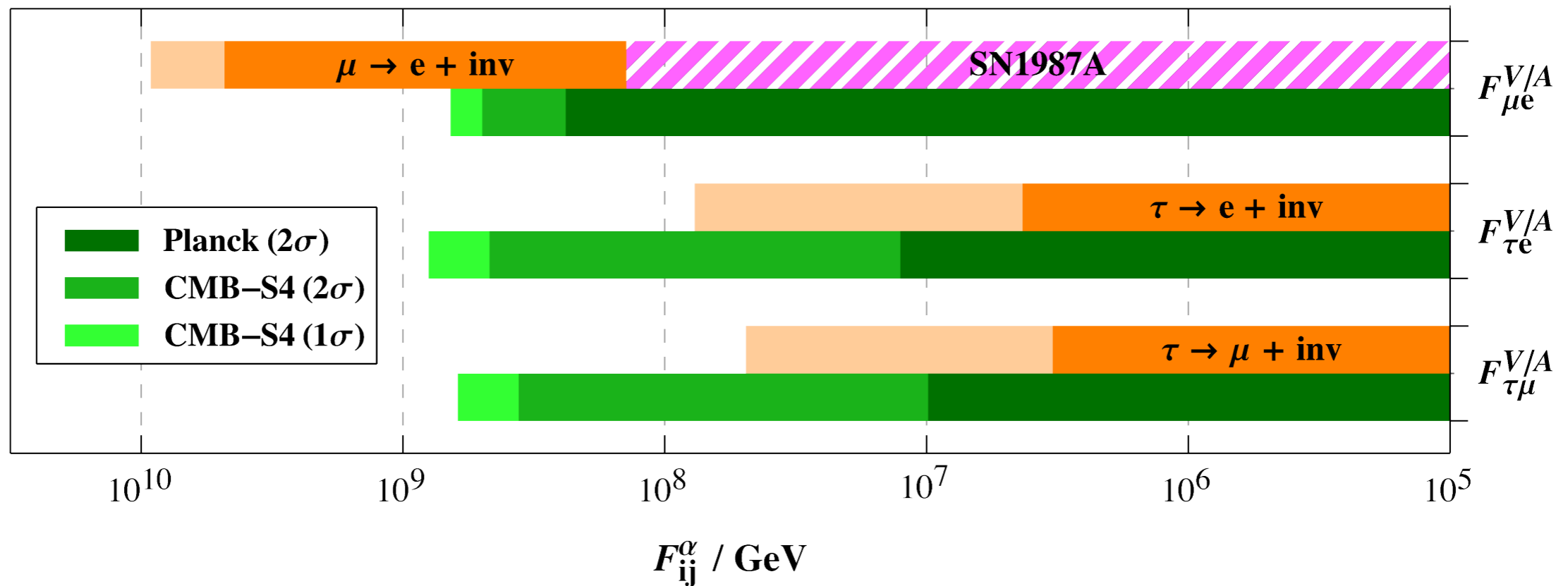
They mediate hot axion production via decays and scatterings

FD, Yun, Phys.Rev.D 105 (2022)

# Flavor Violating Axions - Leptons

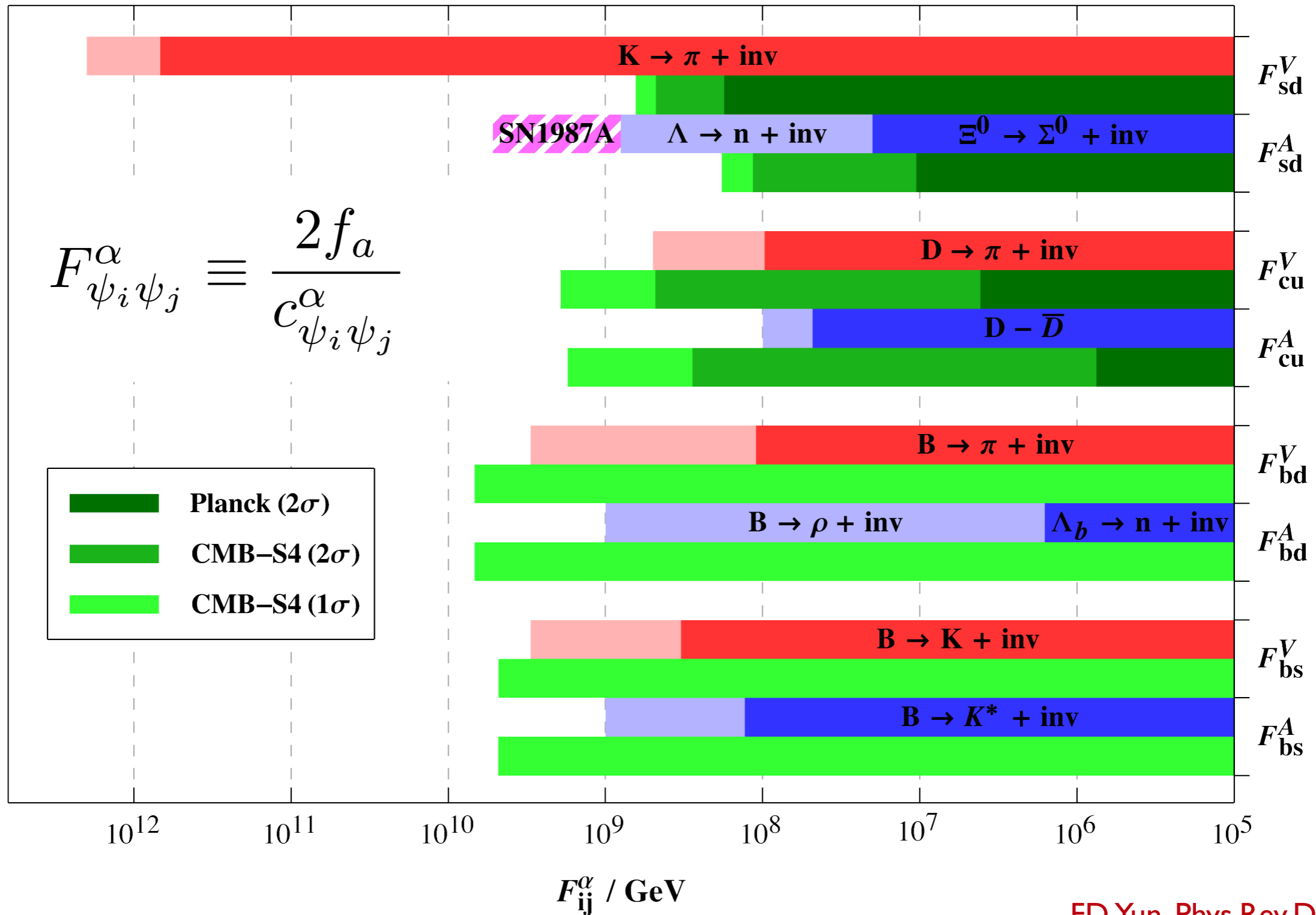
$$F_{\psi_i \psi_j}^\alpha \equiv \frac{2f_a}{c_{\psi_i \psi_j}^\alpha}$$

Leptonic FV



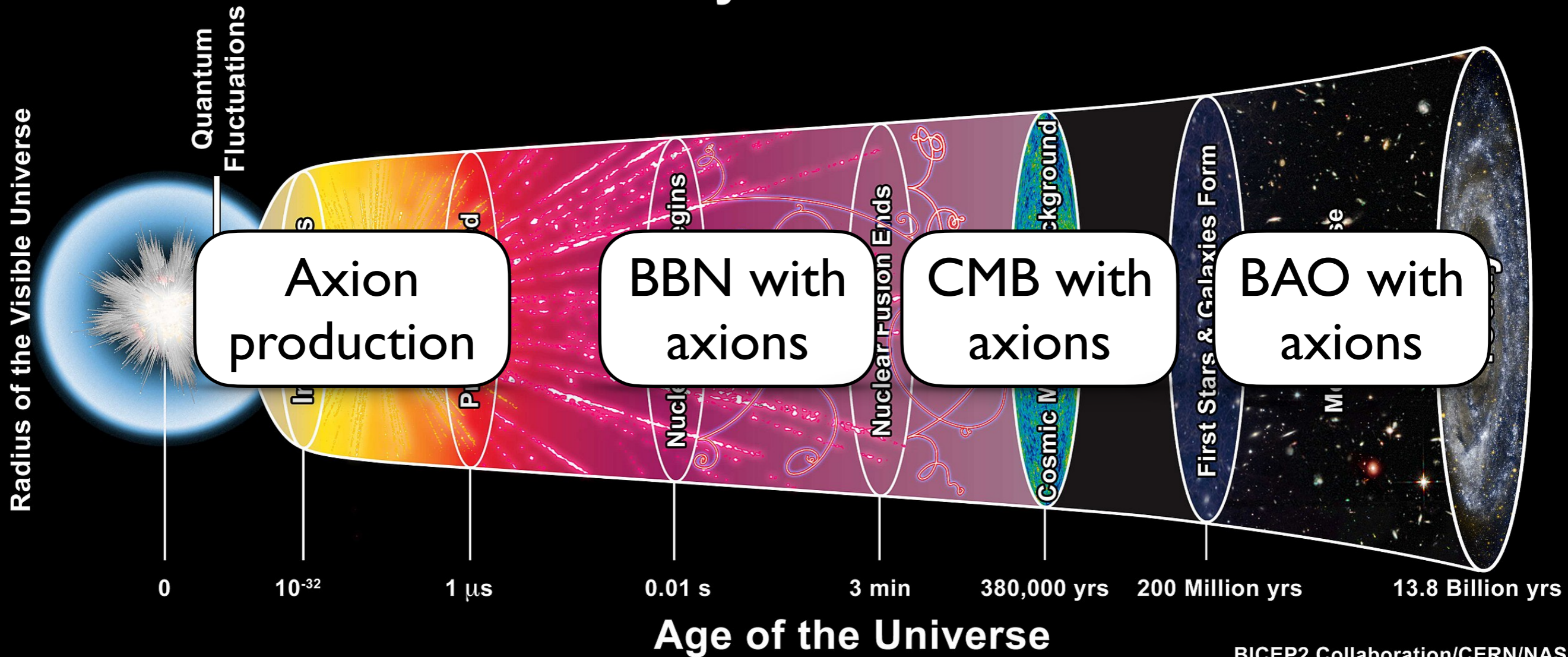
# Flavor Violating Axions - Hadrons

## Hadronic FV



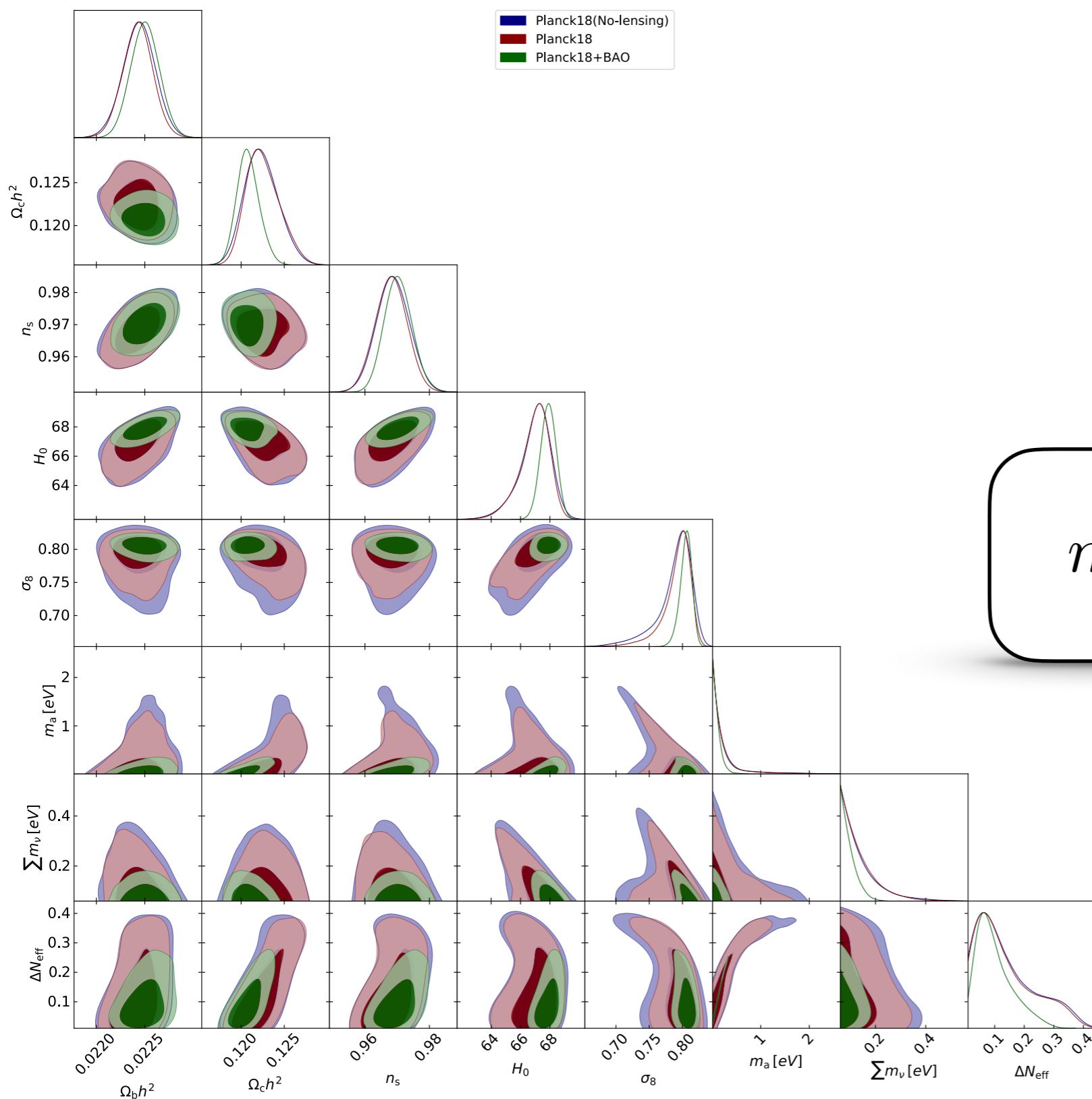
# QCD Axion Mass Bound

## History of the Universe



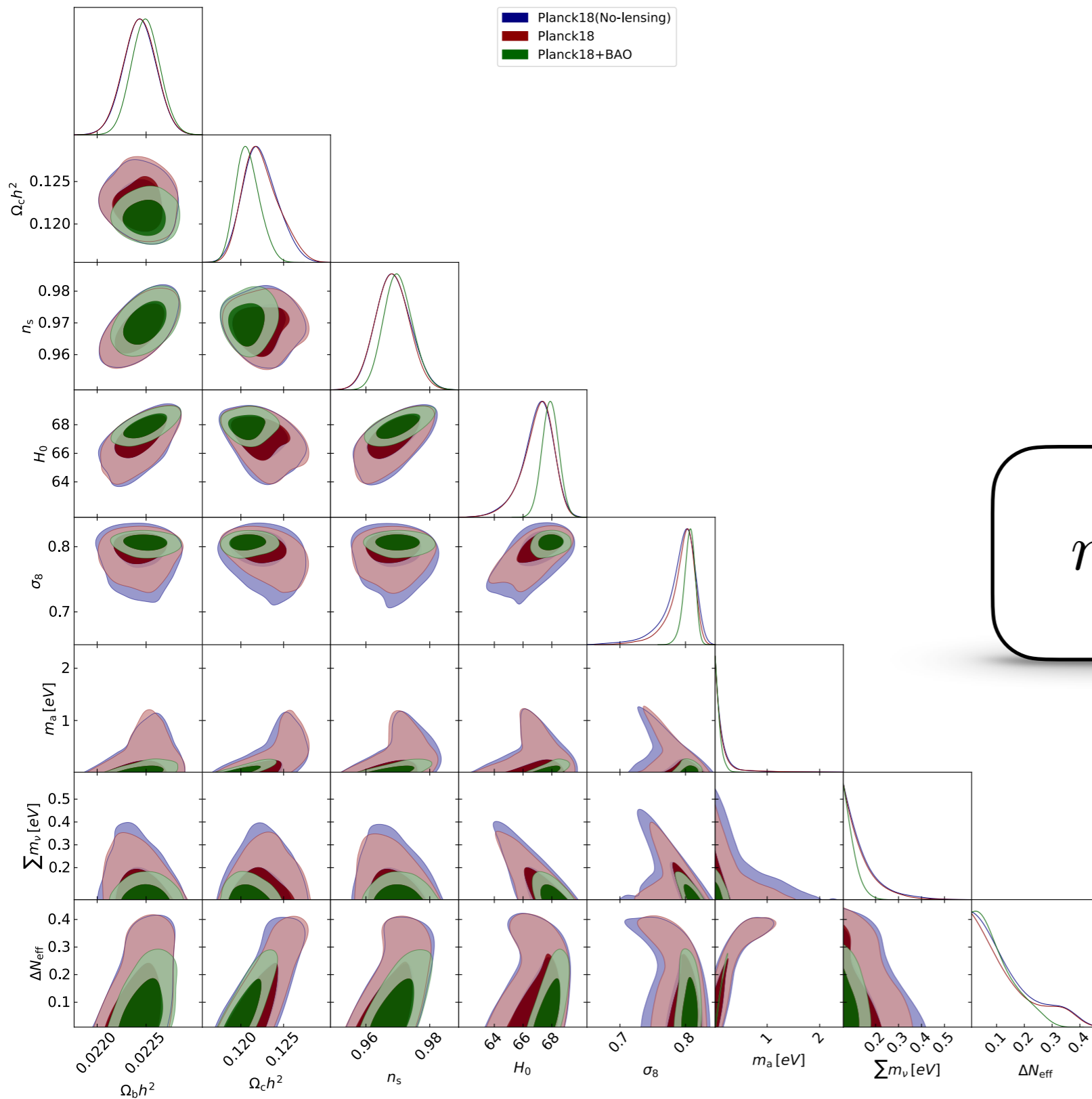
BICEP2 Collaboration/CERN/NASA

# KSVZ Axion Mass Bound



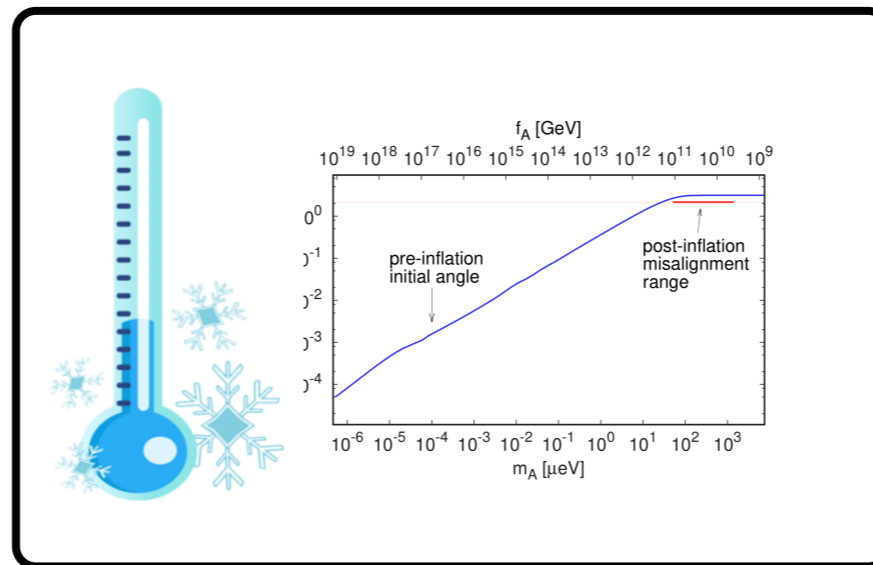
$$m_a \leq 0.282(0.420) \text{ eV}$$

# DFSZ Axion Mass Bound



$$m_a \leq 0.209(0.293) \text{ eV}$$

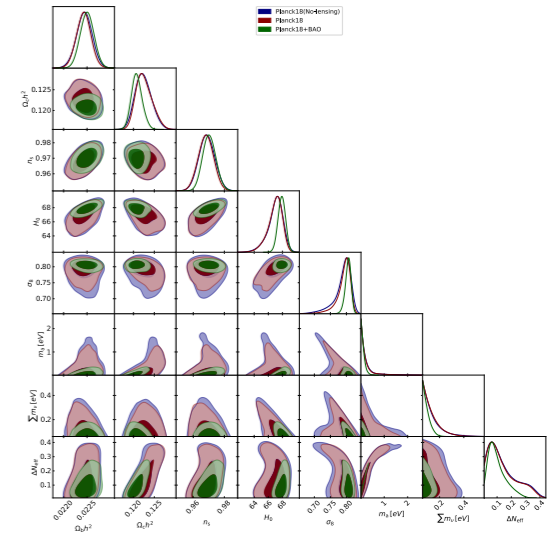
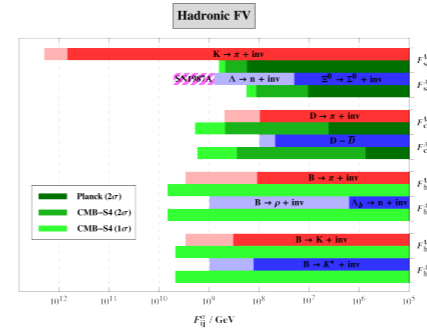
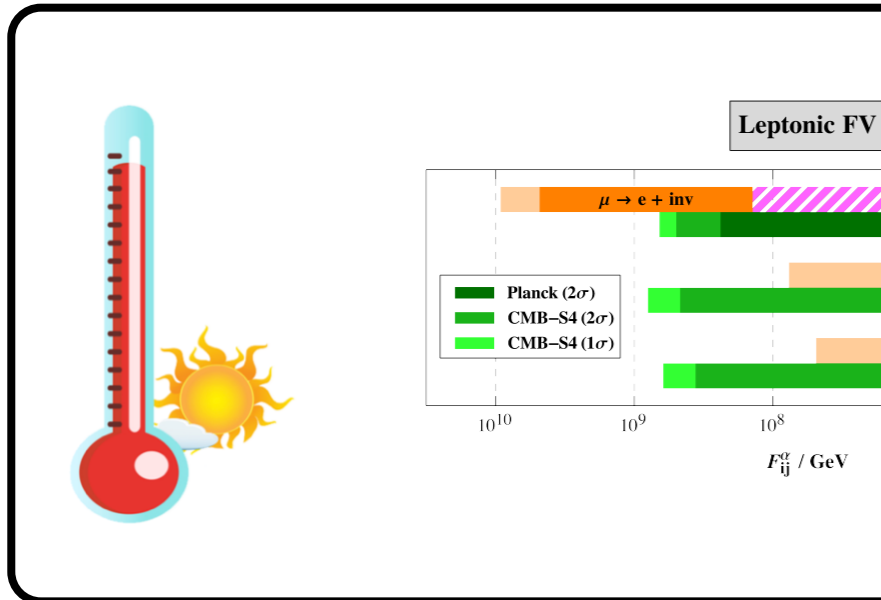
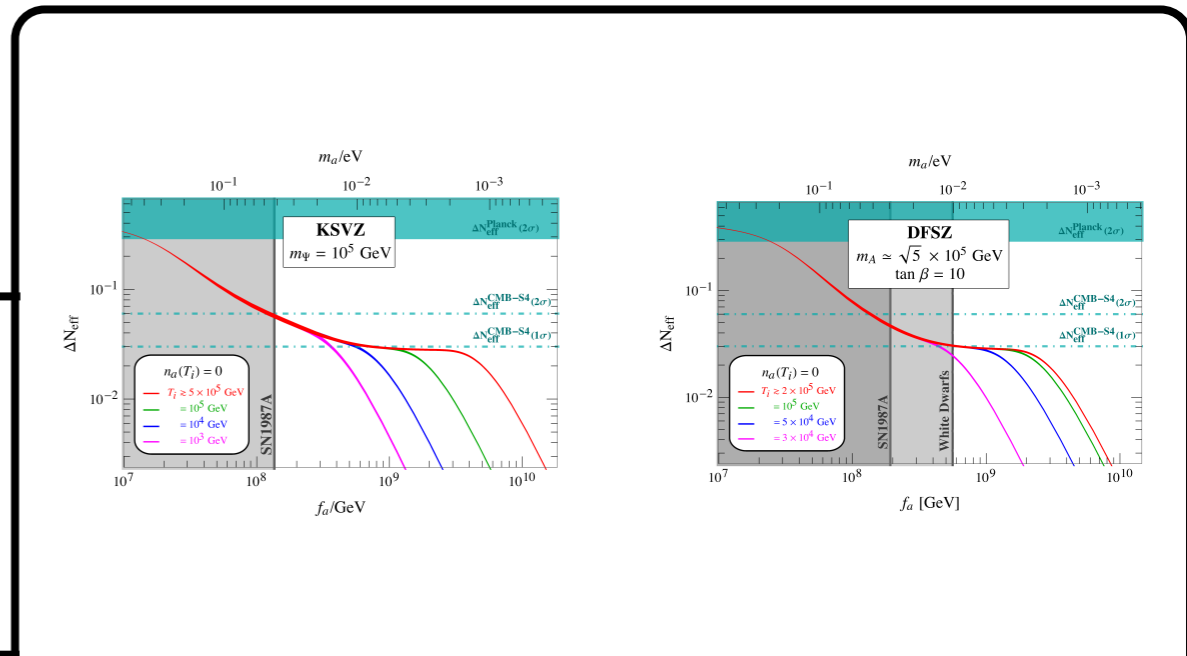
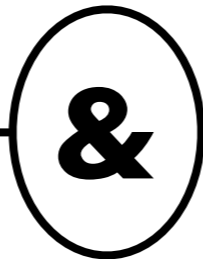
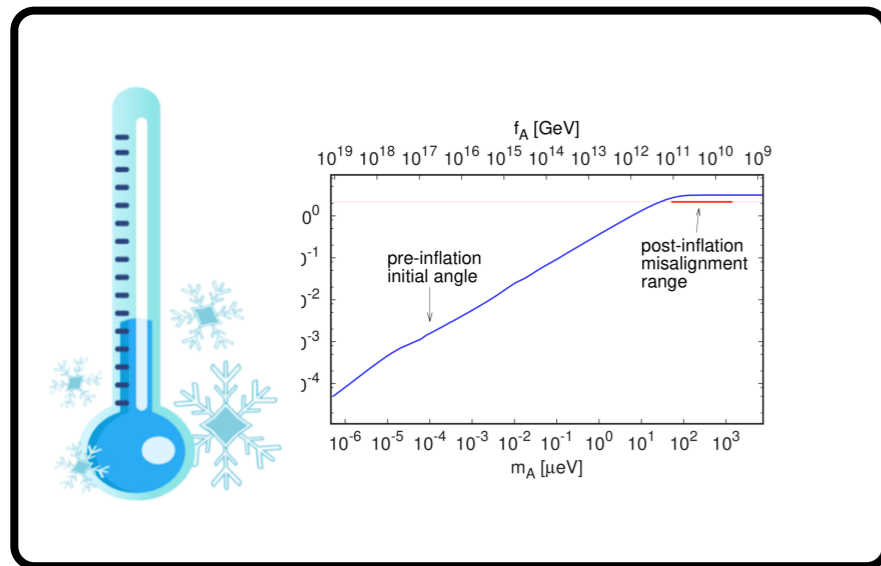
# Outlook



## Peccei-Quinn Mechanism and the QCD Axion

Motivated and testable scenario  
for physics beyond the standard model  
rich of cosmological consequences

# Outlook

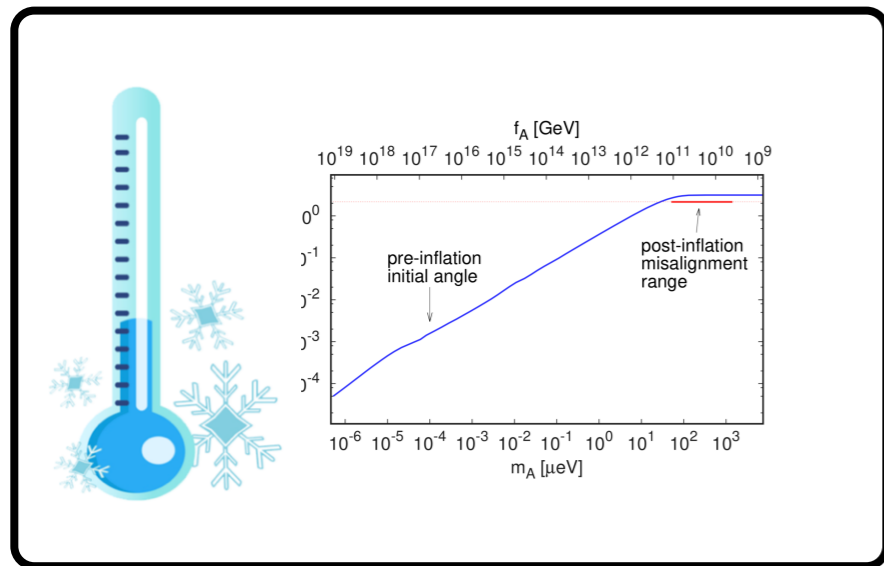


## Thermal Axions

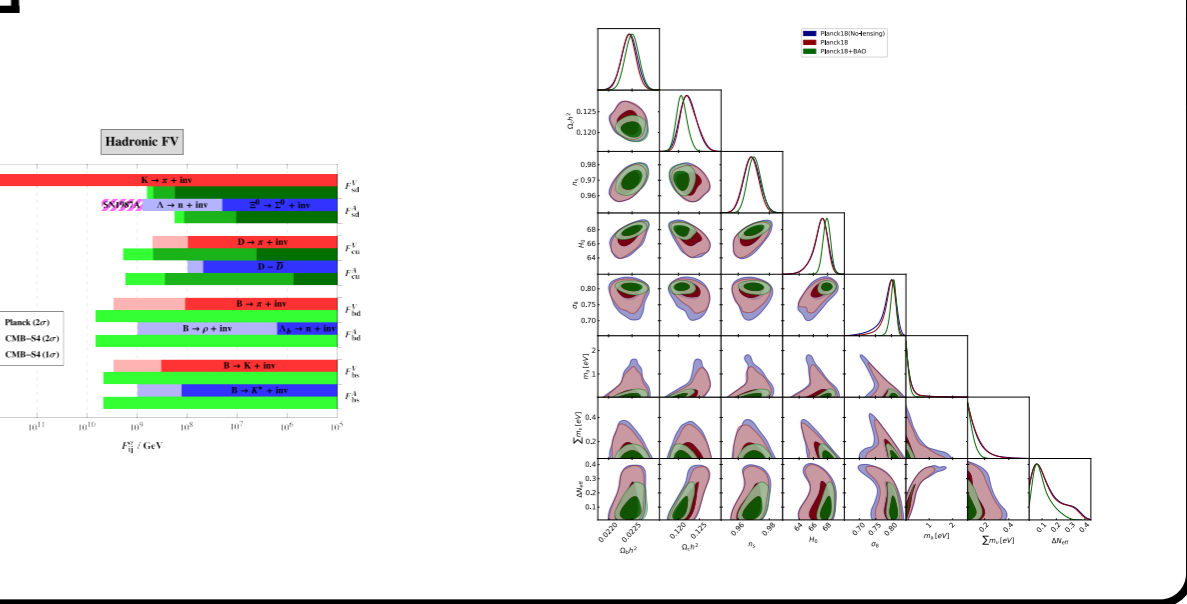
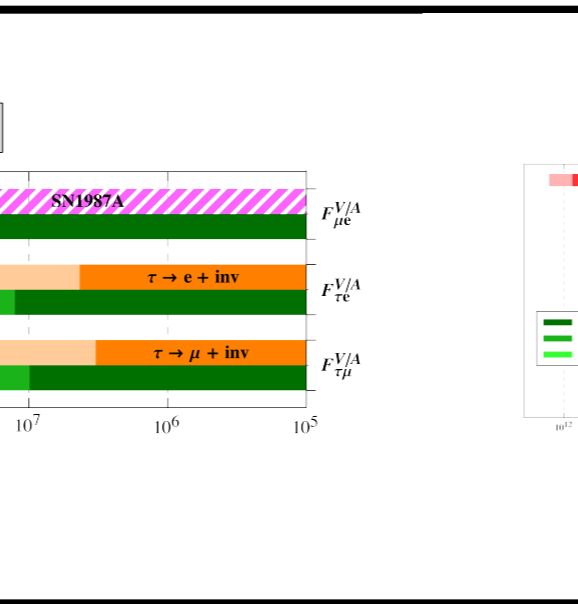
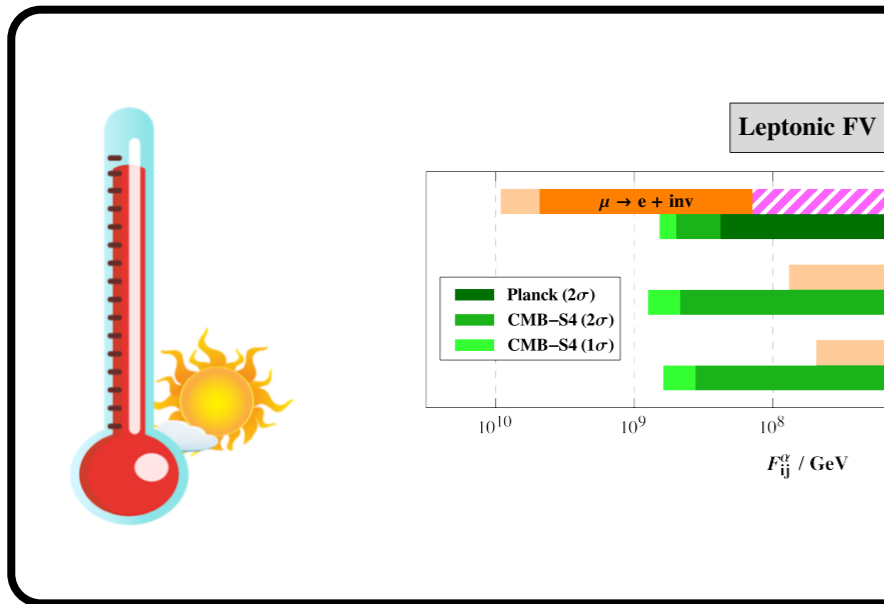
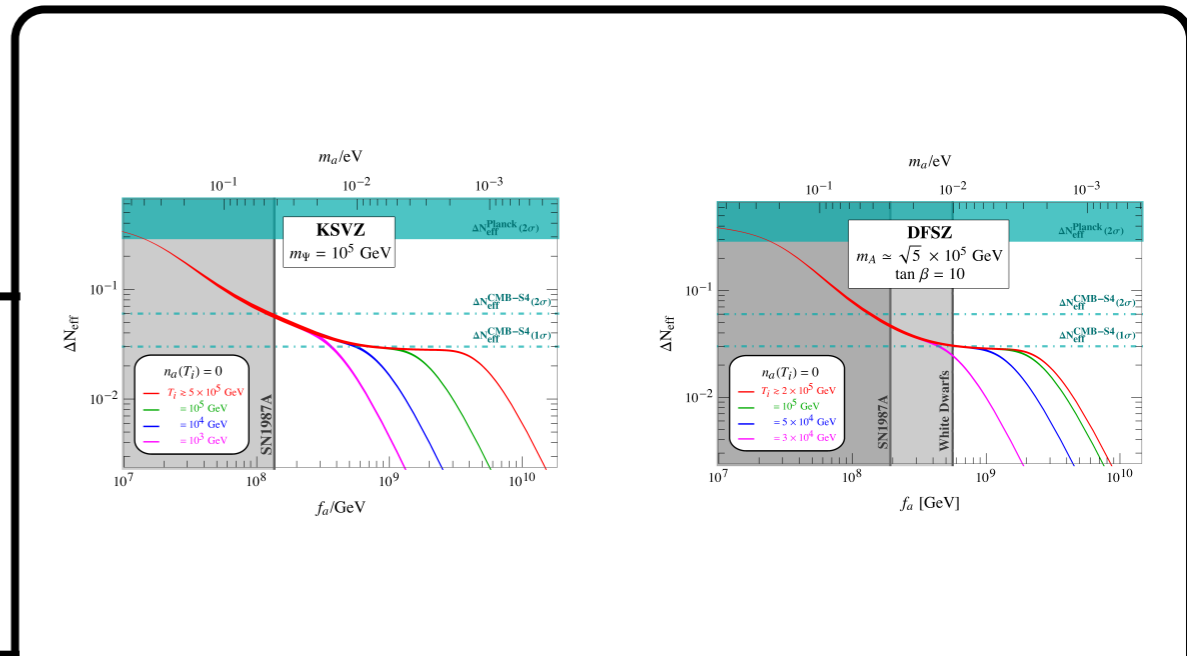
Complementary to other probes of the PQ mechanism



# Outlook



&



THANK YOU!