

Axion hot dark matter bound, reliably

New Physics Signals 2023

Ne Ψ 23

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Based on:

L. Di Luzio, G. Martinelli, **GP** [PRL126 \(2021\) 24, 241801](#)

[arXiv: [2101.10330](#)]

L. Di Luzio, **GP**

[arXiv: [2206.04061](#)]

L. Di Luzio, G. Martinelli, J.M. Camalich, J.A. Oller, **GP**

[arXiv: [2211.05073](#)]

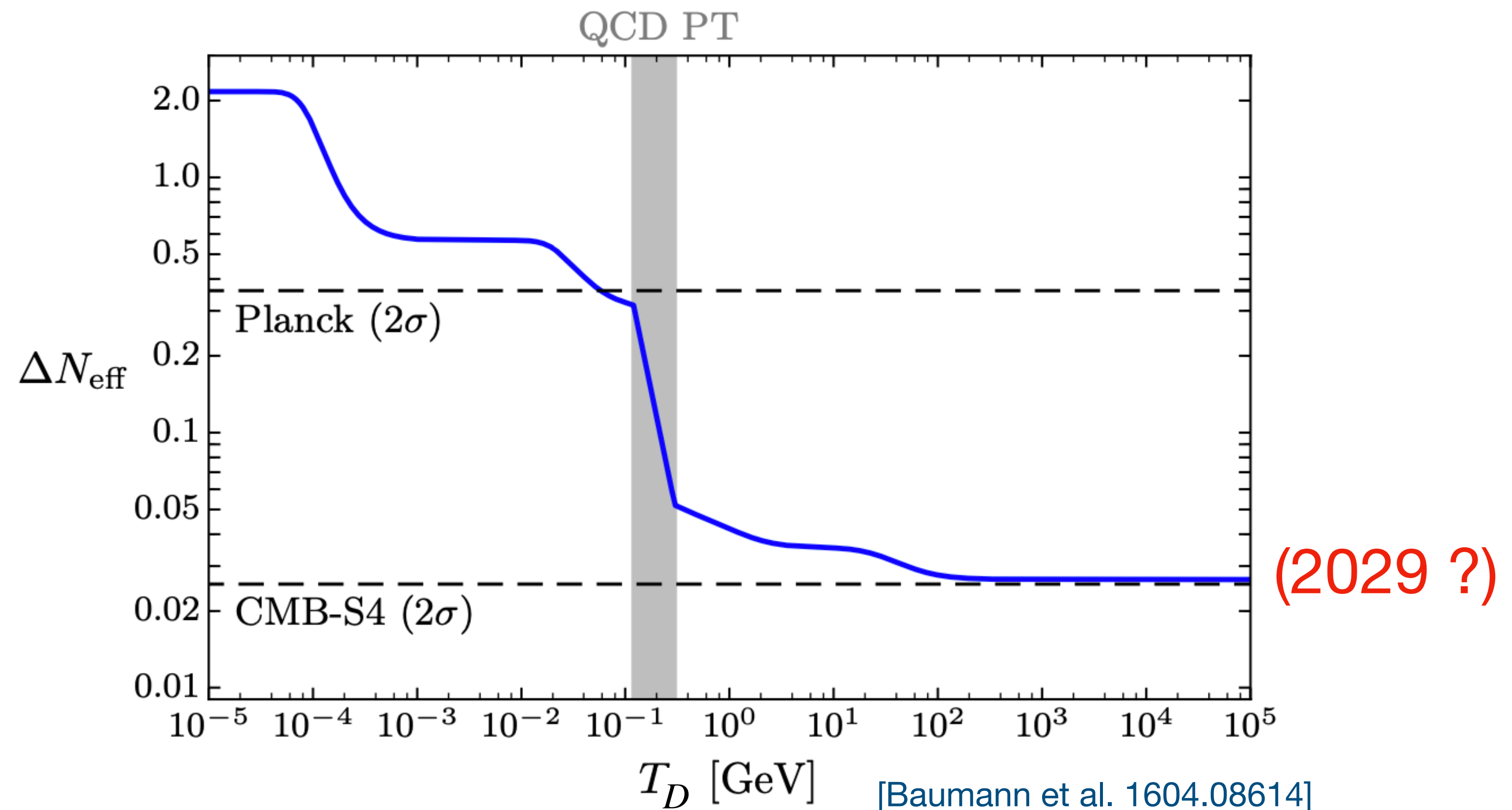


A possible discovery channel for the axion!

- Axions once in equilibrium with SM thermal bath contribute to the **radiation density** of the Universe (ΔN_{eff})

- T_D depends on the strength of the axion interactions set by f_a

- Full range of allowed ΔN_{eff} will be covered by CMB-S4



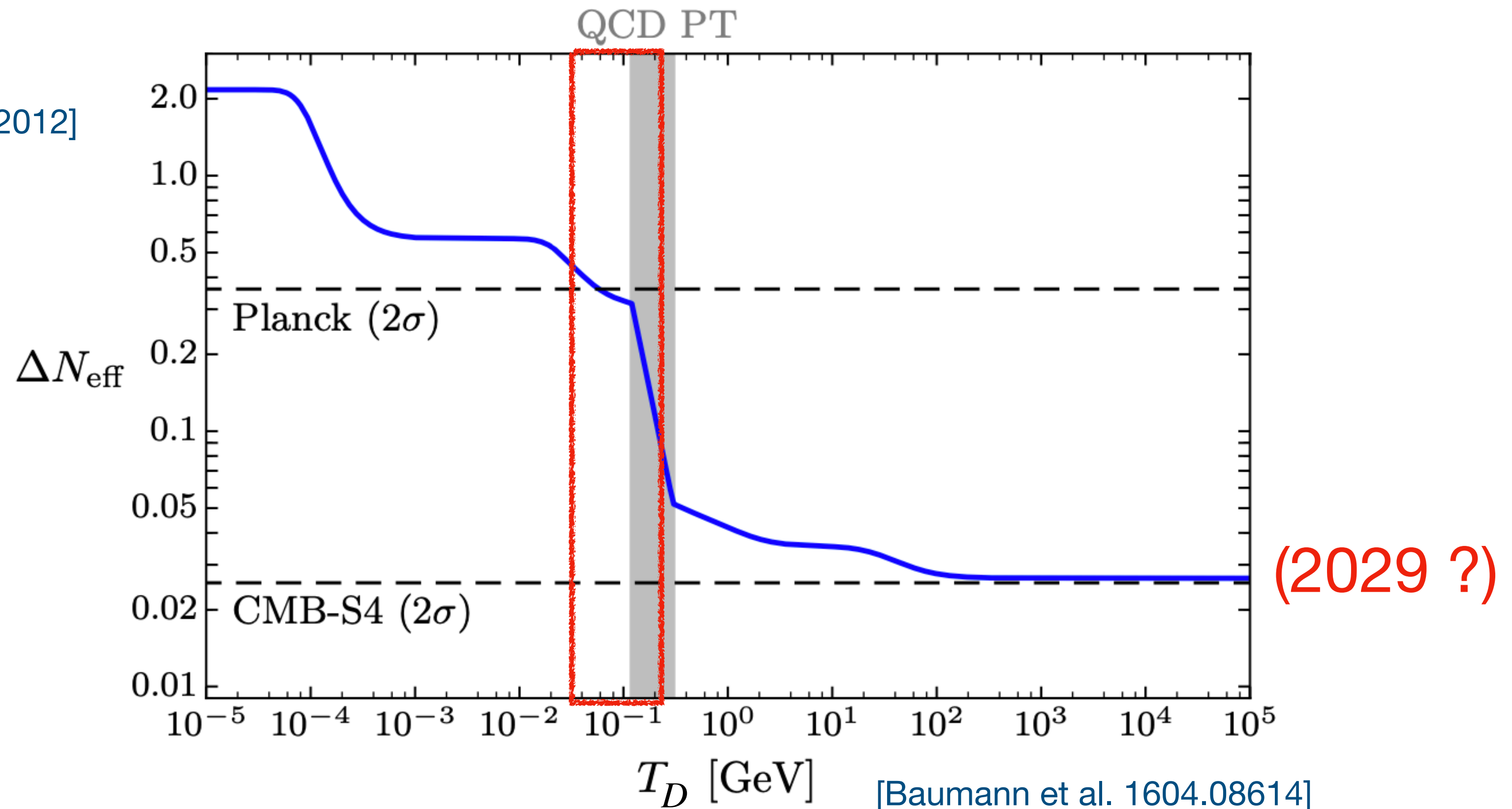
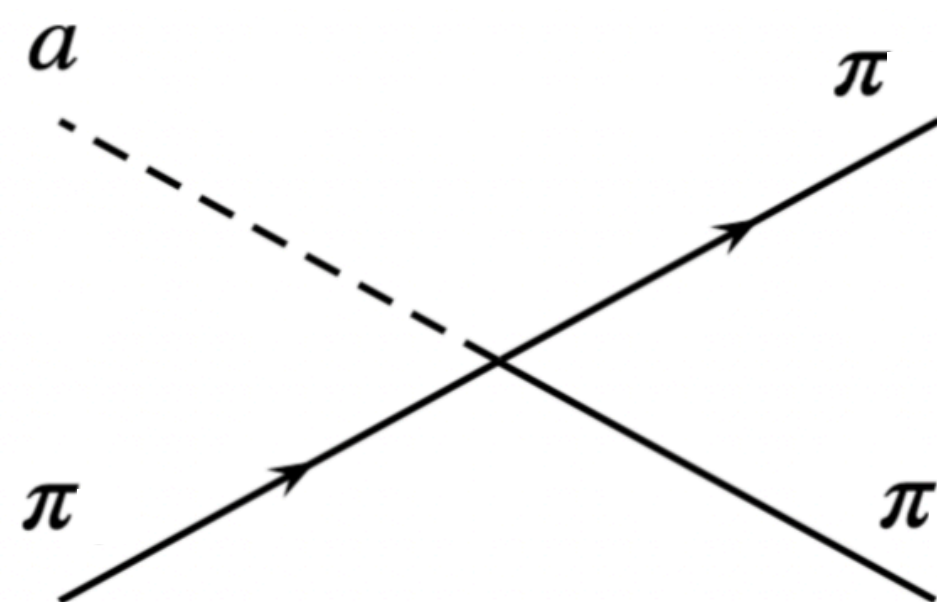
A possible discovery channel for the axion!

- Axions once in equilibrium with SM thermal bath contribute to the **radiation density** of the Universe (ΔN_{eff})

$$T_{\text{Decoupling}} \lesssim 155 \text{ MeV} = T_c$$

[Bazavov et al. 2012]

- Below QCD deconfinement-T the main thermalization channel is



Outline:

1. Thermal axion production from LO ChPT $\rightarrow \Delta N_{\text{eff}}$ and HDM bound
2. NLO corrections to assess the (bad) convergence of the chiral expansion;
3. Goal: extend the validity of ChPT up to T_c , via unitarization technique.

Breakdown of chiral perturbation theory for the axion hot dark matter bound

Luca Di Luzio,^{1,2,3,*} Guido Martinelli,^{4,†} and Gioacchino Piazza^{5,‡}

Axion-pion thermalization rate in unitarized NLO chiral perturbation theory

Luca Di Luzio,^{1,2,*} Jorge Martin Camalich,^{3,4,†} Guido Martinelli,^{5,‡} José Antonio Oller,^{6,§} and Gioacchino Piazza^{7,¶}

Axion-Pion Effective Lagrangian: Leading Order

$$\frac{m_\pi^2}{\Lambda_{\text{QCD}}^2} \ll 1$$

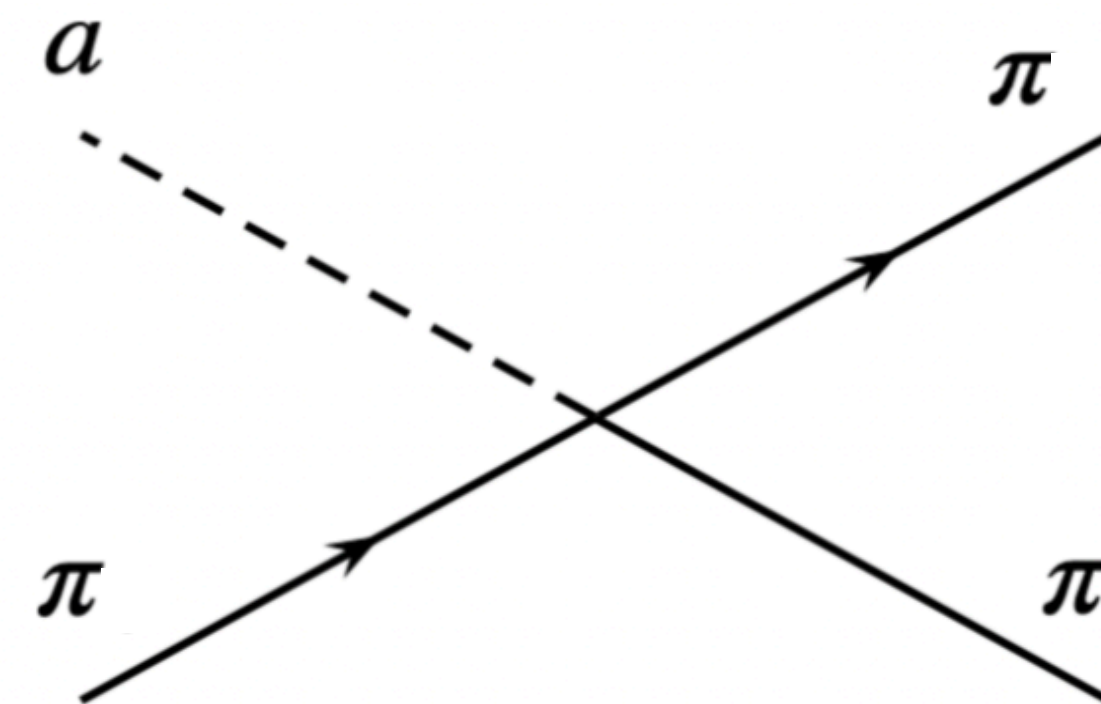
$$\mathcal{L}_a^\chi = \frac{f_\pi^2}{4} \text{Tr} \left[(D^\mu U)^\dagger D_\mu U + U \chi^\dagger + \chi U^\dagger \right] + \frac{\partial^\mu a}{f_a} \frac{1}{2} \text{Tr} [c_q \sigma^a] J_\mu^a$$

[Georgi, Kaplan, Randall, Phys. Lett. B **169** (1986)]

$$\begin{cases} U = e^{i\pi^a \sigma^a / f_\pi} \\ \chi = 2B_0 \bar{e}^{-i\frac{a}{2f_a} Q_a} M_q \bar{e}^{-i\frac{a}{2f_a} Q_a} \end{cases} \quad J_\mu^a = \frac{i}{4} f_\pi^2 \text{Tr} \left[\sigma^a \{U, (D^\mu U)^\dagger\} \right]$$

$$\mathcal{L}_{a\pi}^{(\text{LO})} = \frac{C_{a\pi}}{f_a f_\pi} \partial_\mu a \left(2\partial_\mu \pi_0 \pi_+ \pi_- - \pi_0 \partial_\mu \pi_+ \pi_- - \pi_0 \pi_+ \partial_\mu \pi_- \right)$$

$$C_{a\pi} = \frac{1}{3} \left(\frac{m_d - m_u}{m_u + m_d} + c_d^0 - c_u^0 \right)$$



Axion thermal production in the Early Universe

To extract the HDM bound we compute the axion decoupling temperature T_D via the freeze-out condition*

$$\Gamma_a(T_D) = H(T_D)$$

Rate of reactions that keep the axions in thermal equilibrium

$$\Gamma = \frac{1}{n_a^{\text{eq}}} \int \frac{d^3\mathbf{p}_1}{(2\pi)^3 2E_1} \frac{d^3\mathbf{p}_2}{(2\pi)^3 2E_2} \frac{d^3\mathbf{p}_3}{(2\pi)^3 2E_3} \frac{d^3\mathbf{p}_4}{(2\pi)^3 2E_4} \sum |\mathcal{M}|^2 (2\pi)^4 \delta^4(p_1 + p_2 - p_3 - p_4) f_1 f_2 (1 \pm f_3)(1 \pm f_4)$$

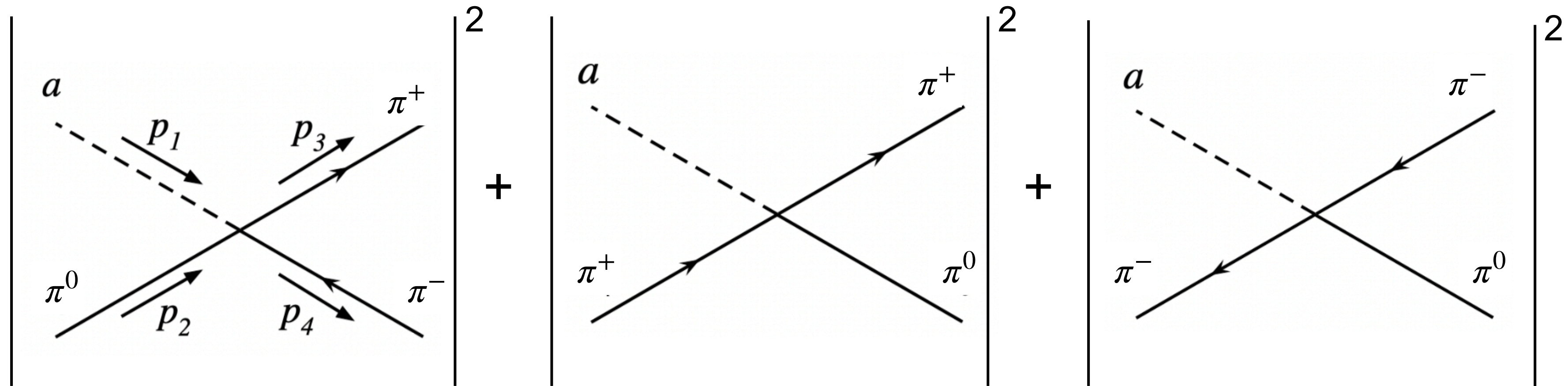
Hubble Rate

$$H(T) = \sqrt{4\pi^3 g_*(T)/45} T^2 / m_{\text{pl}}$$

* For improved treatment of axion freeze-out see [Notari, Rompineve, Villadoro 2211.03799]

Leading order scattering amplitude

$$\mathcal{L}_{a\pi}^{\text{LO}} = \frac{C_{a\pi}}{f_a f_\pi} \partial^\mu a \left(2\partial_\mu \pi^0 \pi^+ \pi^- - \pi^0 \partial_\mu \pi^+ \pi^- - \pi^0 \pi^+ \partial_\mu \pi^- \right)$$



$$\sum |\mathcal{M}|_{\text{LO}}^2 = \left(\frac{C_{a\pi}}{f_a f_\pi} \right)^2 \frac{9}{4} \left[s^2 + t^2 + u^2 - 3m_\pi^4 \right]$$

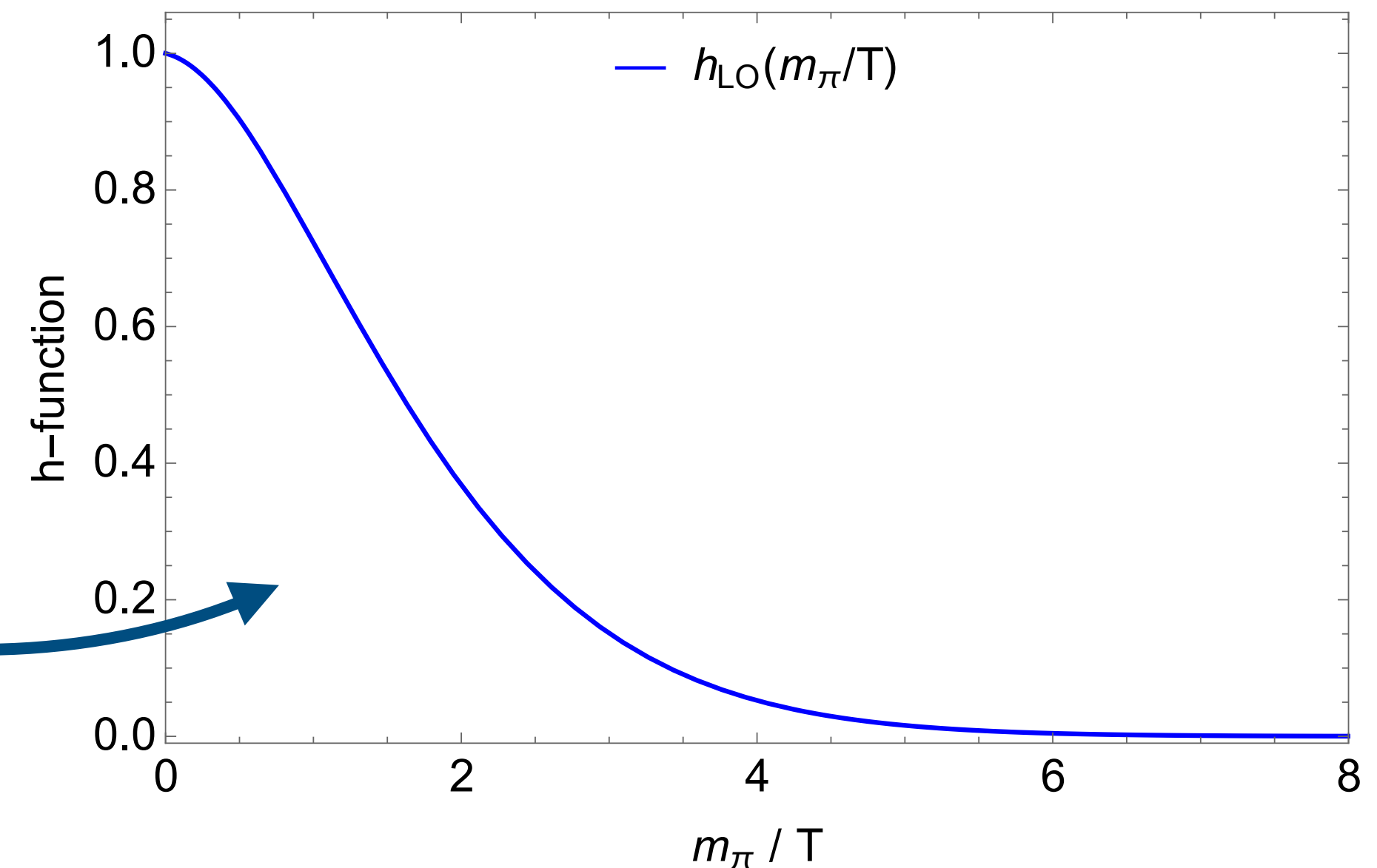
Thermal scattering rate

$$\Gamma = \frac{1}{n_a^{\text{eq}}} \int \frac{d^3 \mathbf{p}_1}{(2\pi)^3 2E_1} \frac{d^3 \mathbf{p}_2}{(2\pi)^3 2E_2} \frac{d^3 \mathbf{p}_3}{(2\pi)^3 2E_3} \frac{d^3 \mathbf{p}_4}{(2\pi)^3 2E_4} \boxed{\sum |\mathcal{M}|^2} \frac{1}{(2\pi)^4 \delta^4 (p_1 + p_2 - p_3 - p_4) f_1 f_2 (1 \pm f_3) (1 \pm f_4)}$$

$$\sum |\mathcal{M}|_{\text{LO}}^2 = \left(\frac{C_{a\pi}}{f_a f_\pi} \right)^2 \frac{9}{4} \left[s^2 + t^2 + u^2 - 3m_\pi^4 \right]$$

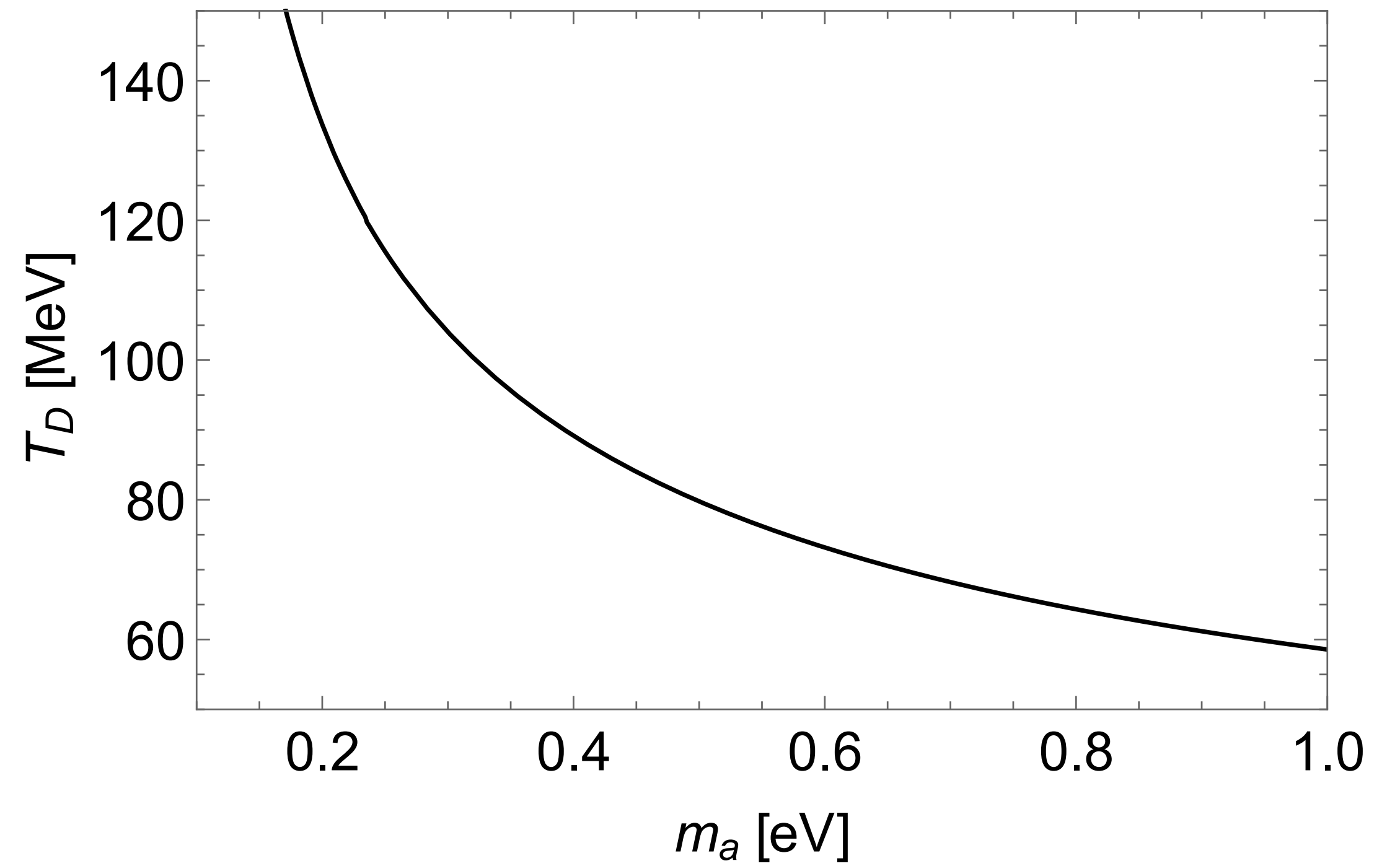
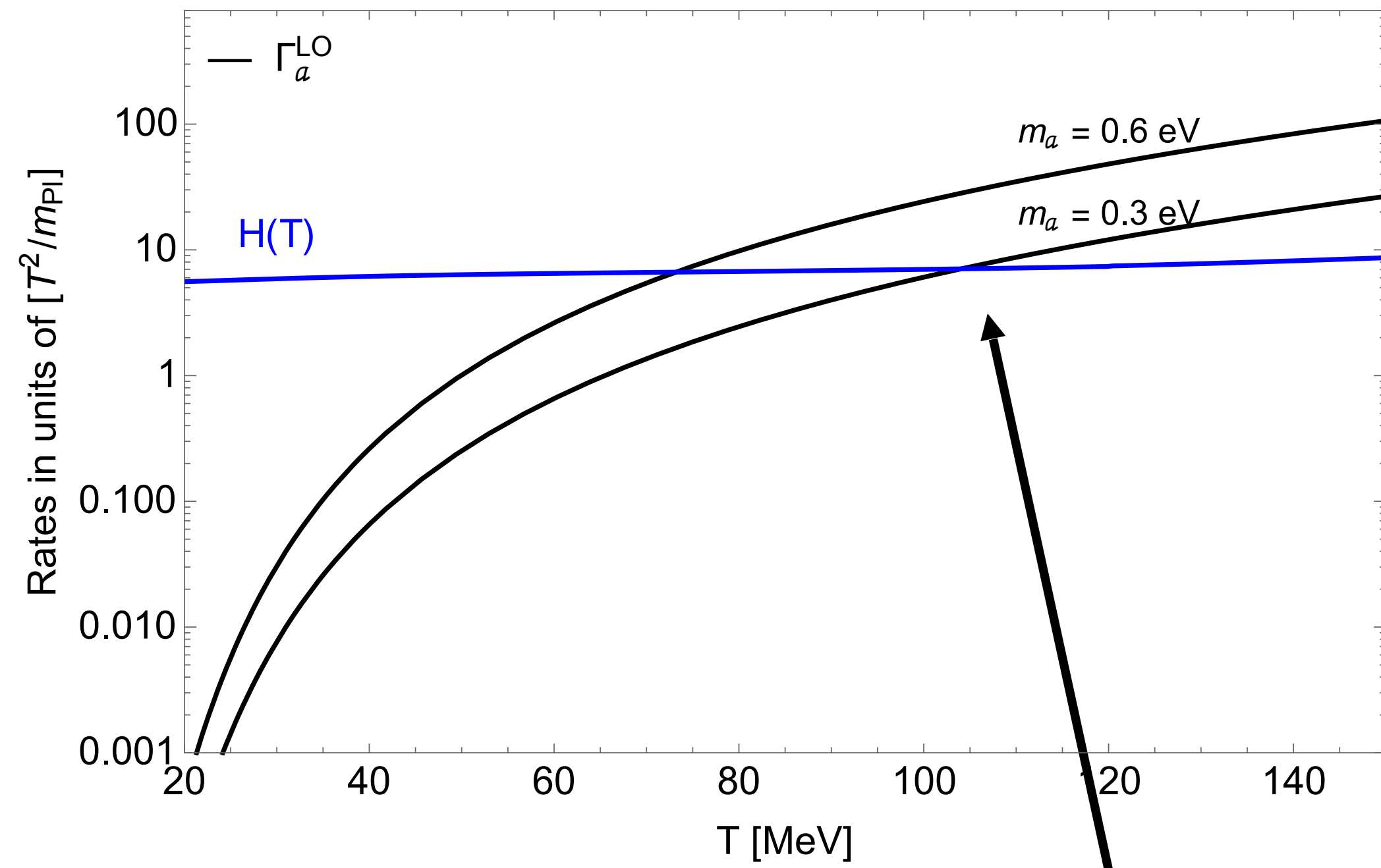
Integrating:

$$\Gamma(T) = 0.212 \left(\frac{C_{a\pi}}{f_a f_\pi} \right)^2 T^5 h_{\text{LO}}(m_\pi/T)$$



Di Luzio, Martinelli, **GP** [PRL126 \(2021\) 24, 241801 \[arXiv: 2101.10330\]](#)

Decoupling T

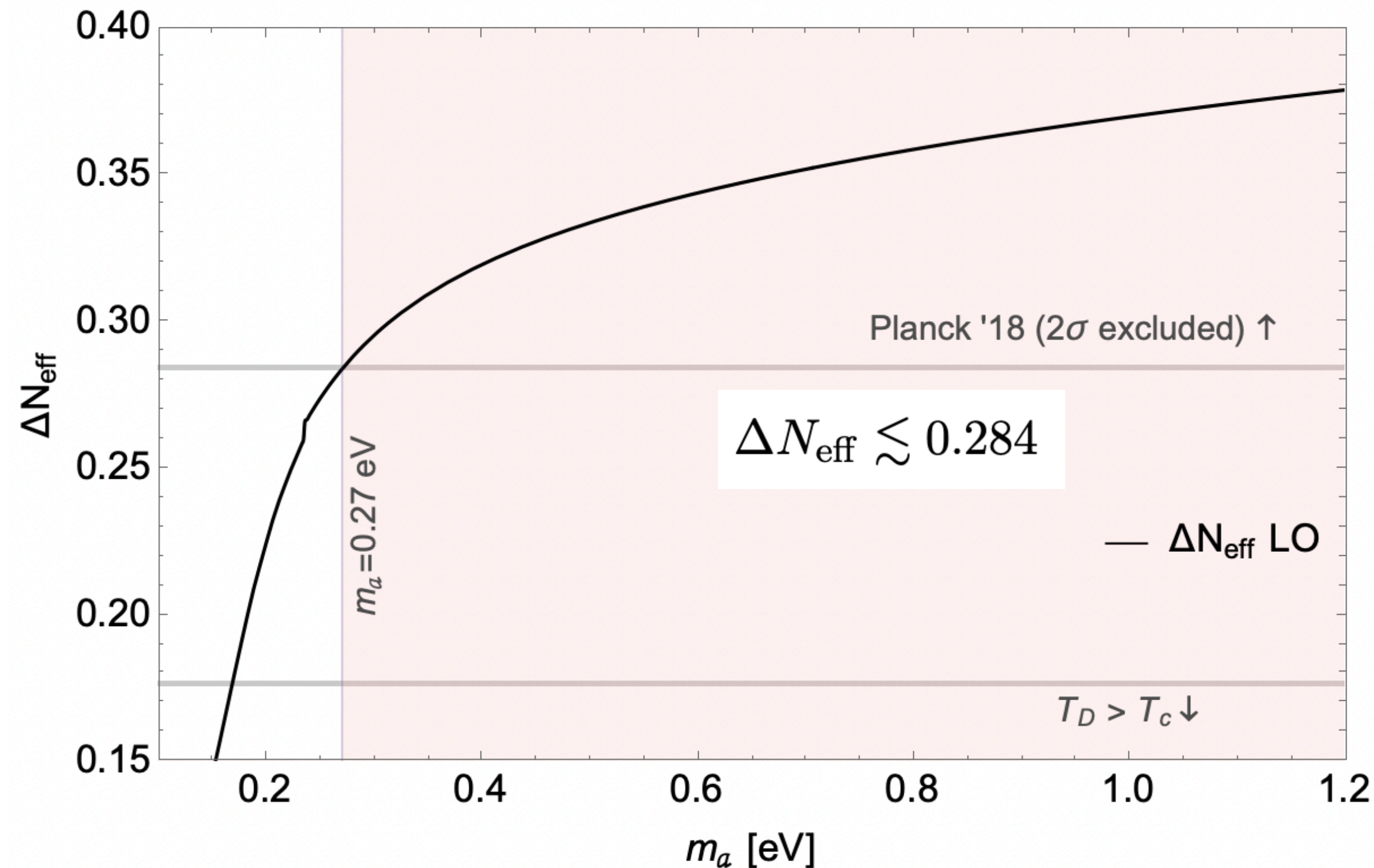


Decoupling Temperature depends on m_a

ΔN_{eff} at LO in ChPT

Axion contribution to the **Number of relativistic species**

$$\Delta N_{\text{eff}} = 0.027 \left(\frac{106.75}{g_S(T_D)} \right)^{4/3}$$



LO ChPT set the bound

$$m_a < 0.27 \text{ eV}$$

See further:

[Melchiorri, Mena, Slosar, arXiv:0705.2695]

[Hannestad, Mirizzi, Raffelt, Wong, arXiv:0803.1585]

[Hannestad, Mirizzi, Raffelt, Wong, arXiv:1004.0695]

[Di Valentino, Giusarma, Lattanzi,

Mena, Melchiorri, Silk, arXiv:1507.08665]

But... is ChPT valid?

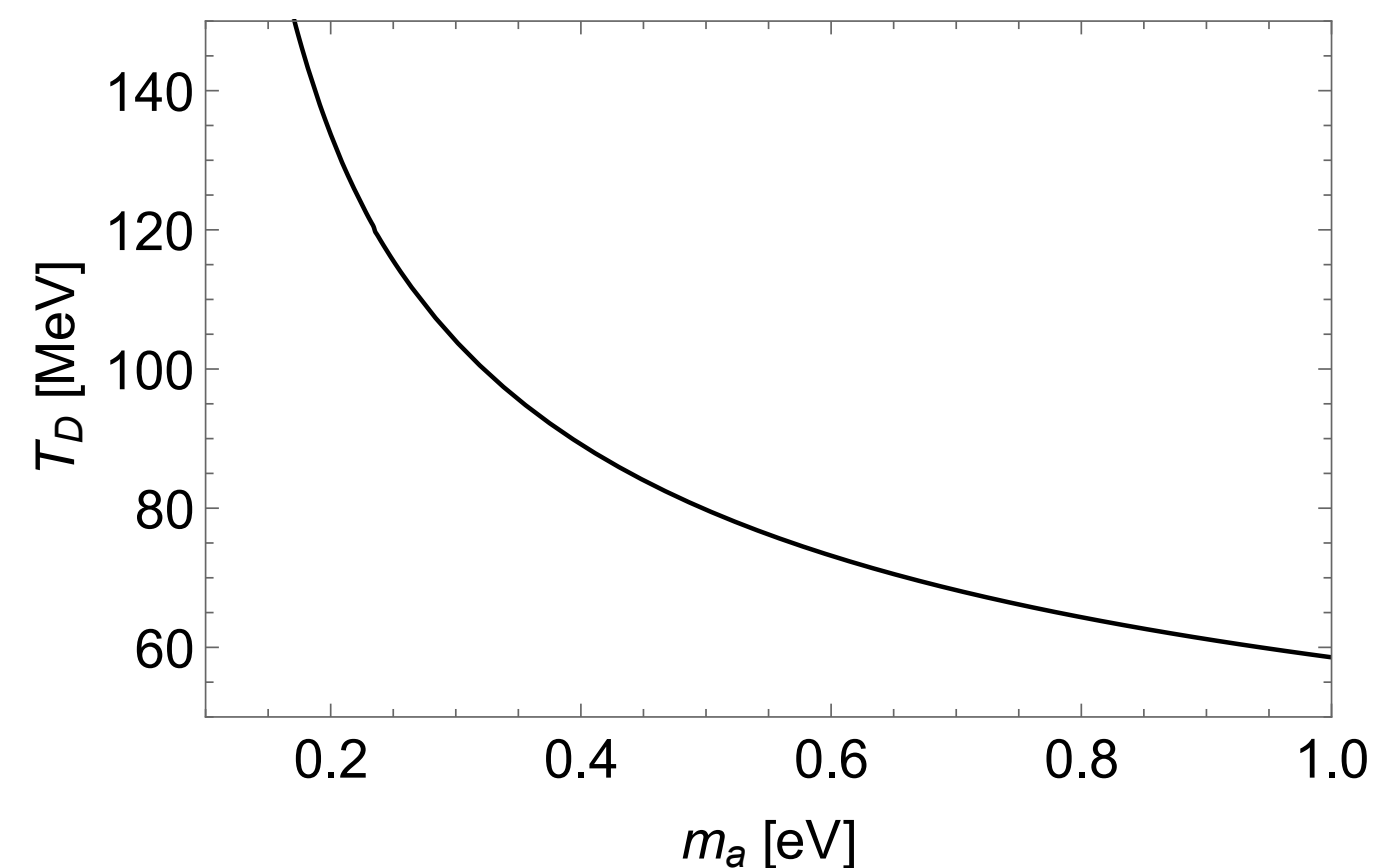
The mean energy of π, a at
 $T \simeq 80$ MeV is

$$\langle E \rangle \equiv \rho/n \simeq 305 \text{ MeV}, 220 \text{ MeV}$$

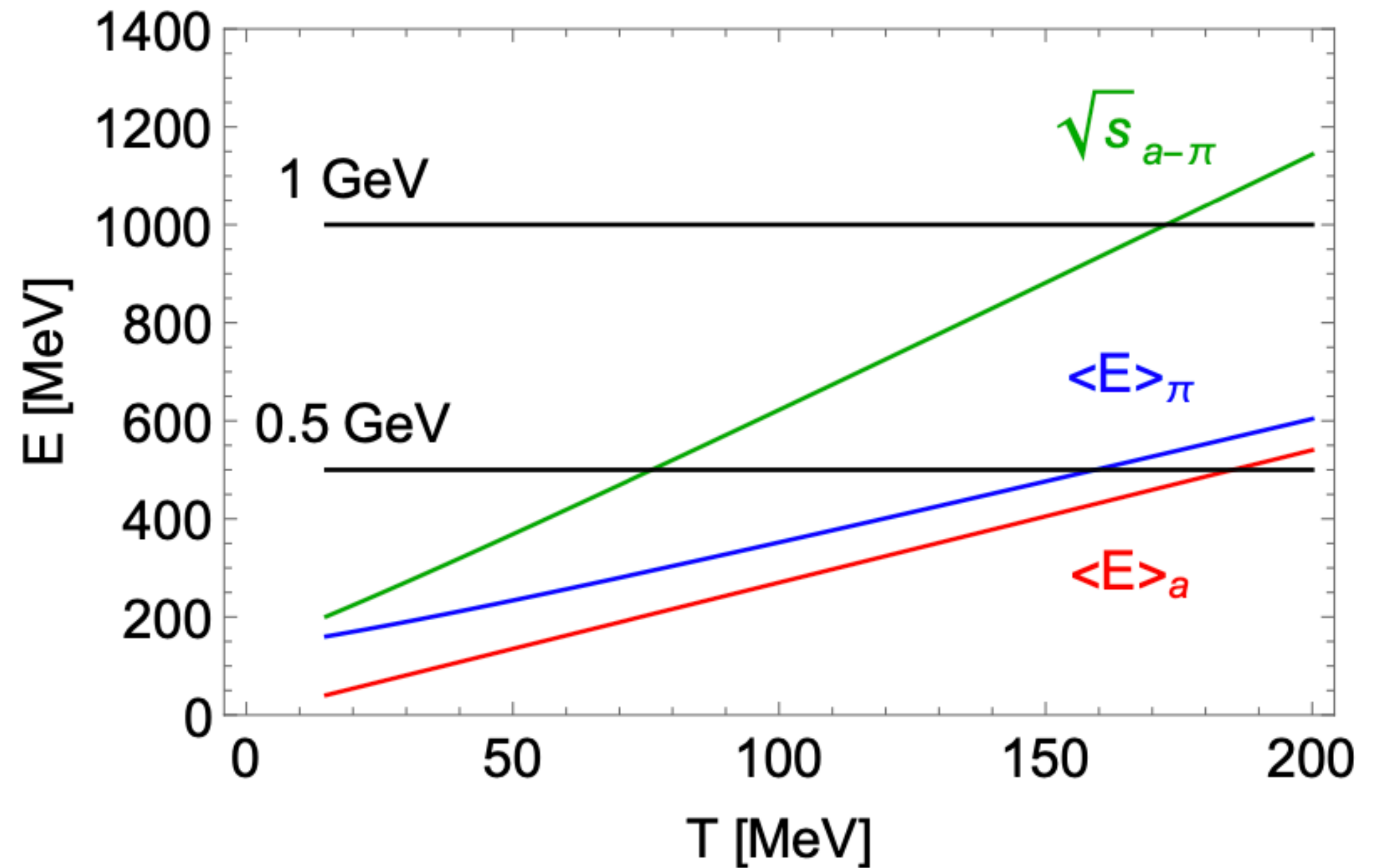
BUT

ChPT violates unitarity for
 $E \gtrsim 460$ MeV

see e.g. [Donoghue et al., PhysRevD.86.014025]



$$\langle E \rangle(T) \sim \frac{\rho(T)}{n(T)}$$



Is ChPT reliable?

NLO axion production rate

Axion-Pion scattering: Next-to-Leading Order

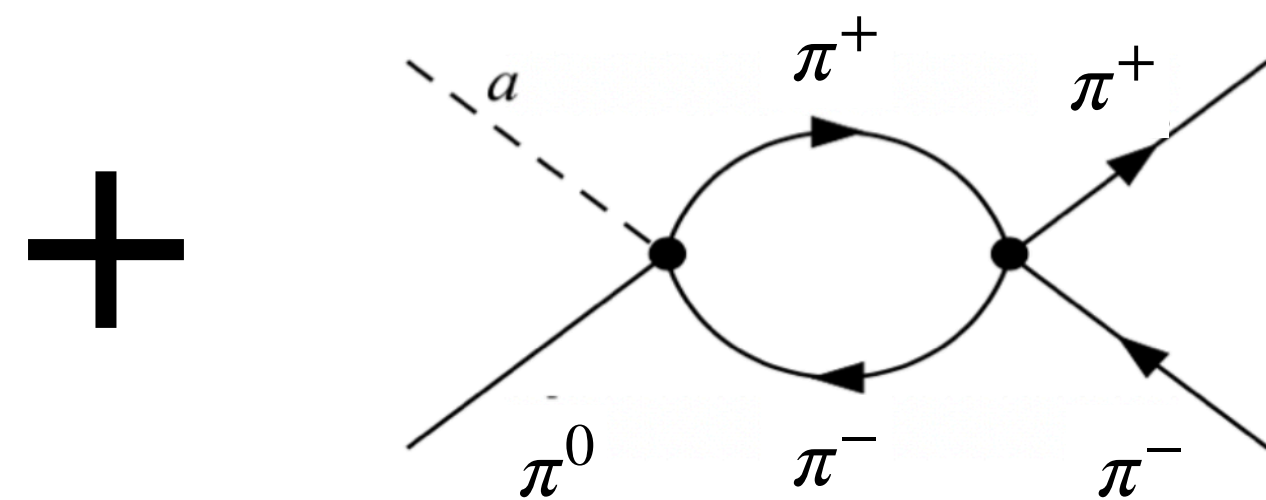
Ingredients

Tree-level graph from NLO Lagrangian and loop amplitudes from LO Lagrangian contributes to the same Order

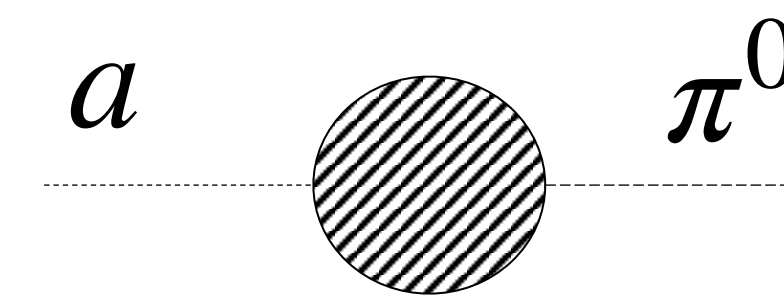
$$\begin{aligned}
 \mathcal{L}_{\text{NLO}} = & \frac{l_1}{4} \left\{ \text{Tr} \left[D_\mu U (D^\mu U)^\dagger \right] \right\}^2 + \frac{l_2}{4} \text{Tr} \left[D_\mu U (D_\nu U)^\dagger \right] \text{Tr} \left[D^\mu U (D^\nu U)^\dagger \right] \\
 & + \frac{l_3}{16} \left[\text{Tr} \left(\chi U^\dagger + U \chi^\dagger \right) \right]^2 + \frac{l_4}{4} \text{Tr} \left[D_\mu U (D^\mu \chi)^\dagger + D_\mu \chi (D^\mu U)^\dagger \right] \\
 & + l_5 \left[\text{Tr} \left(f_{\mu\nu}^R U f_L^{\mu\nu} U^\dagger \right) - \frac{1}{2} \text{Tr} \left(f_{\mu\nu}^L f_L^{\mu\nu} + f_{\mu\nu}^R f_R^{\mu\nu} \right) \right] \quad \text{NLO Lagrangian} \\
 & + i \frac{l_6}{2} \text{Tr} \left[f_{\mu\nu}^R D^\mu U (D^\nu U)^\dagger + f_{\mu\nu}^L (D^\mu U)^\dagger D^\nu U \right] \\
 & - \frac{l_7}{16} \left[\text{Tr} \left(\chi U^\dagger - U \chi^\dagger \right) \right]^2 + \frac{h_1 + h_3}{4} \text{Tr} \left(\chi \chi^\dagger \right) + \frac{h_1 - h_3}{16} \left\{ \left[\text{Tr} \left(\chi U^\dagger + U \chi^\dagger \right) \right]^2 \right. \\
 & \left. + \left[\text{Tr} \left(\chi U^\dagger - U \chi^\dagger \right) \right]^2 - 2 \text{Tr} \left(\chi U^\dagger \chi U^\dagger + U \chi^\dagger U \chi^\dagger \right) \right\} - 2h_2 \text{Tr} \left(f_{\mu\nu}^L f_L^{\mu\nu} + f_{\mu\nu}^R f_R^{\mu\nu} \right)
 \end{aligned}$$

NLO chiral axial current J_μ^a

$$\mathcal{L}_a^\chi \supset \frac{\partial^\mu a}{f_a} \text{Tr} \frac{1}{2} [c_q \sigma^a] J_\mu^a$$



1-loop amplitudes from LO



$a - \pi_0$ diagonalization

Amplitudes

- After renormalization & including NLO corrections to f_π

$$\begin{aligned}
 \mathcal{M}_{a\pi_0 \rightarrow \pi^+\pi^-}^{\text{NLO}} = & \frac{C_{a\pi}}{192\pi^2 f_\pi^3 f_a} \left\{ 15m_\pi^2(u+t) - 11u^2 - 8ut - 11t^2 - 6\bar{\ell}_1(m_\pi^2 - s)(2m_\pi^2 - s) \right. \\
 & - 6\bar{\ell}_2(-3m_\pi^2(u+t) + 4m_\pi^4 + u^2 + t^2) + 18\bar{\ell}_4 m_\pi^2(m_\pi^2 - s) \\
 & + 3 \left[3\sqrt{1 - \frac{4m_\pi^2}{s}} s(m_\pi^2 - s) \ln\left(\frac{\sigma(s) - 1}{\sigma(s) + 1}\right) \right. \\
 & + \sqrt{1 - \frac{4m_\pi^2}{t}} (m_\pi^2(t - 4u) + 3m_\pi^4 + t(u - t)) \ln\left(\frac{\sigma(t) - 1}{\sigma(t) + 1}\right) \\
 & \left. \left. + \sqrt{1 - \frac{4m_\pi^2}{u}} (m_\pi^2(u - 4t) + 3m_\pi^4 + u(t - u)) \ln\left(\frac{\sigma(u) - 1}{\sigma(u) + 1}\right) \right] \right\} \\
 & - \frac{4\ell_7 m_\pi^2 m_d (s - 2m_\pi^2) m_u (m_d - m_u)}{f_\pi^3 f_a (m_d + m_u)^3},
 \end{aligned}$$

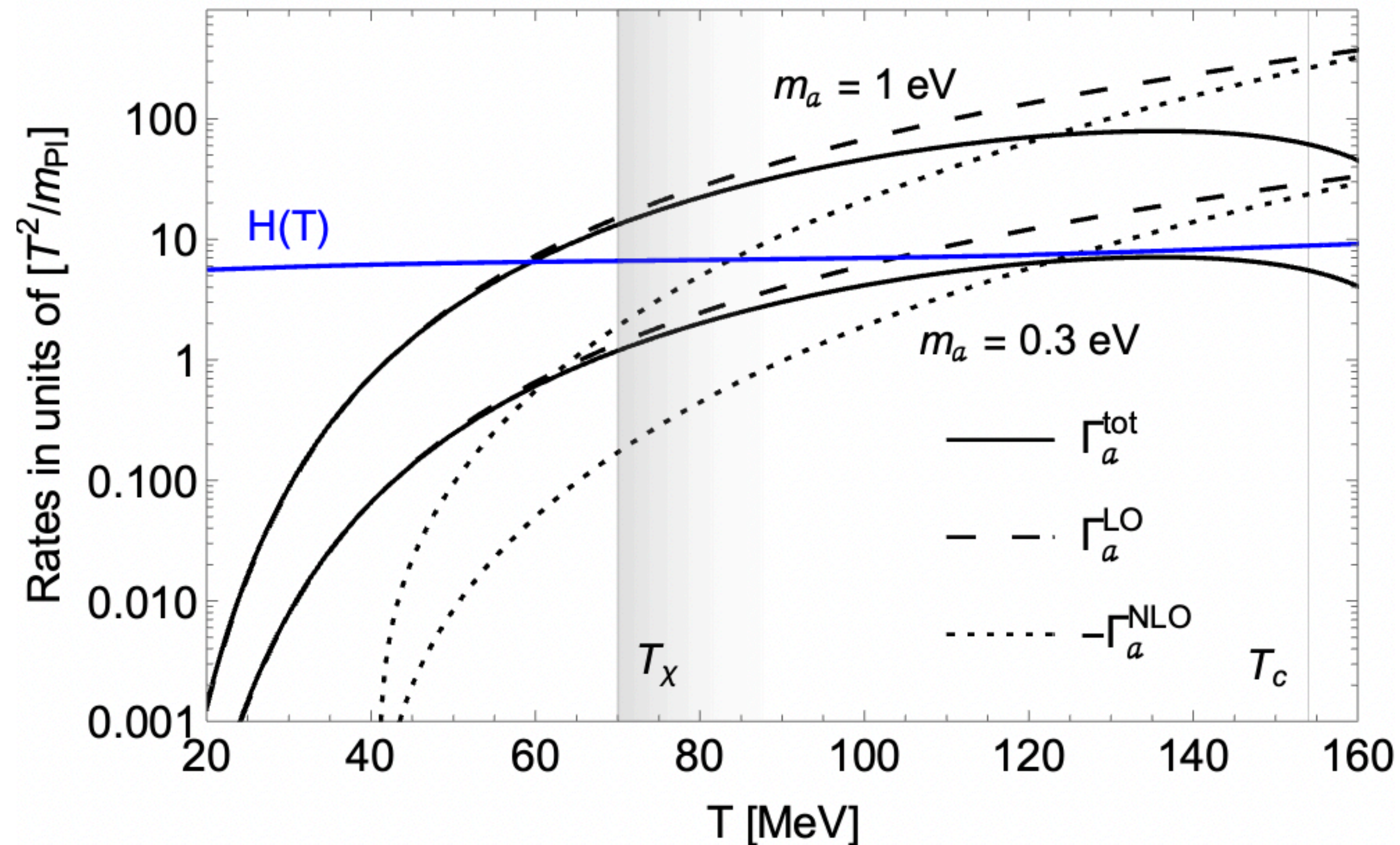
- Other pionic channels obtained via $s \rightarrow t, u$

L. Di Luzio, G. Martinelli, **GP** [[2101.10330](#)]

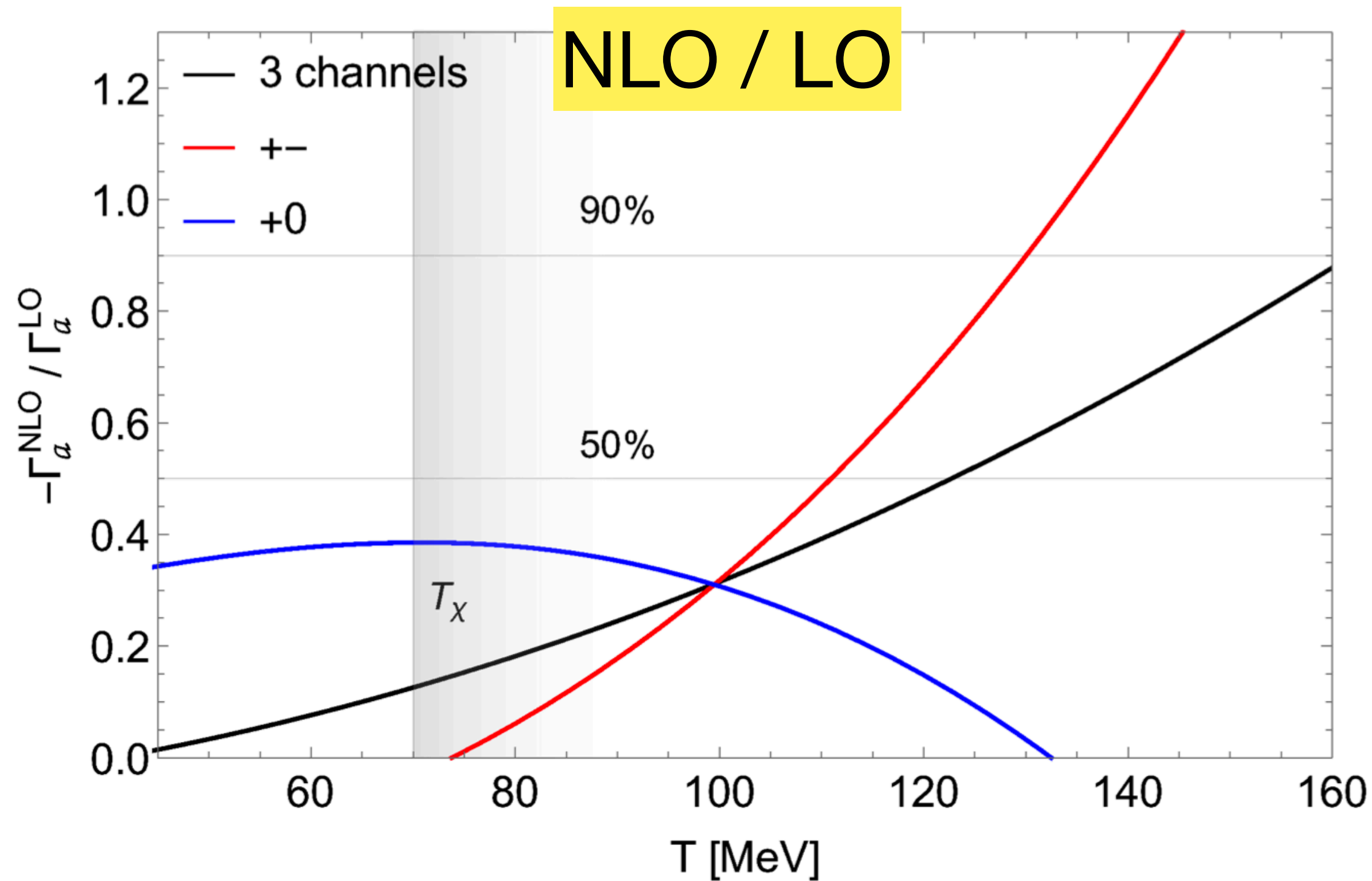
NLO Thermalization rate

$$\sum |\mathcal{M}|^2 = |\mathcal{M}_{\text{LO}}|^2 + 2\text{Re}[\mathcal{M}_{\text{LO}}\mathcal{M}_{\text{NLO}}^*]$$

$$\Gamma_a(T) = \left(\frac{C_{a\pi}}{f_a f_\pi}\right)^2 0.163 T^5 \left[h_{\text{LO}}(m_\pi/T) - 0.290 \frac{T^2}{f_\pi^2} h_{\text{NLO}}(m_\pi/T) \right]$$



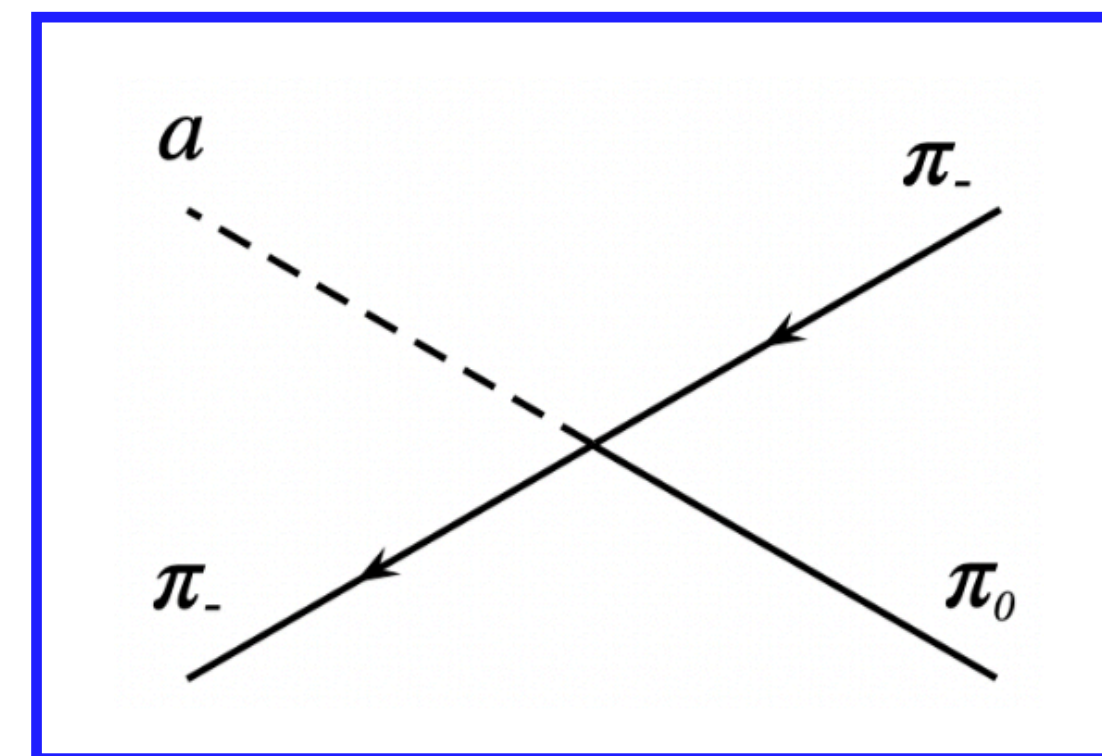
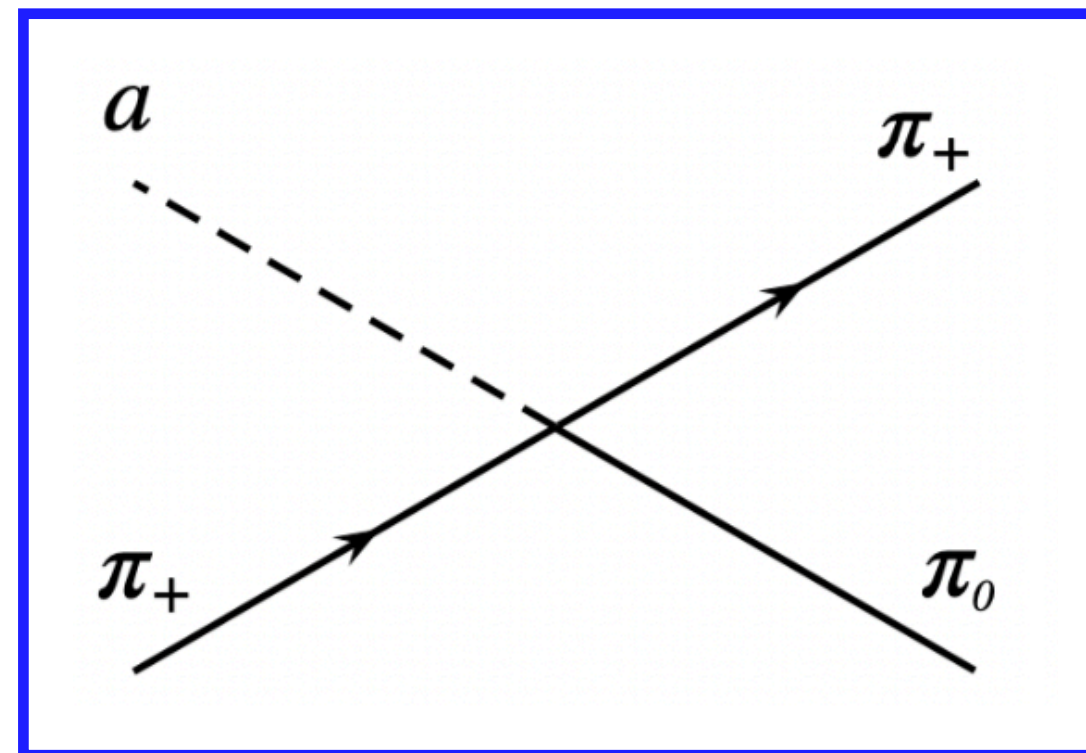
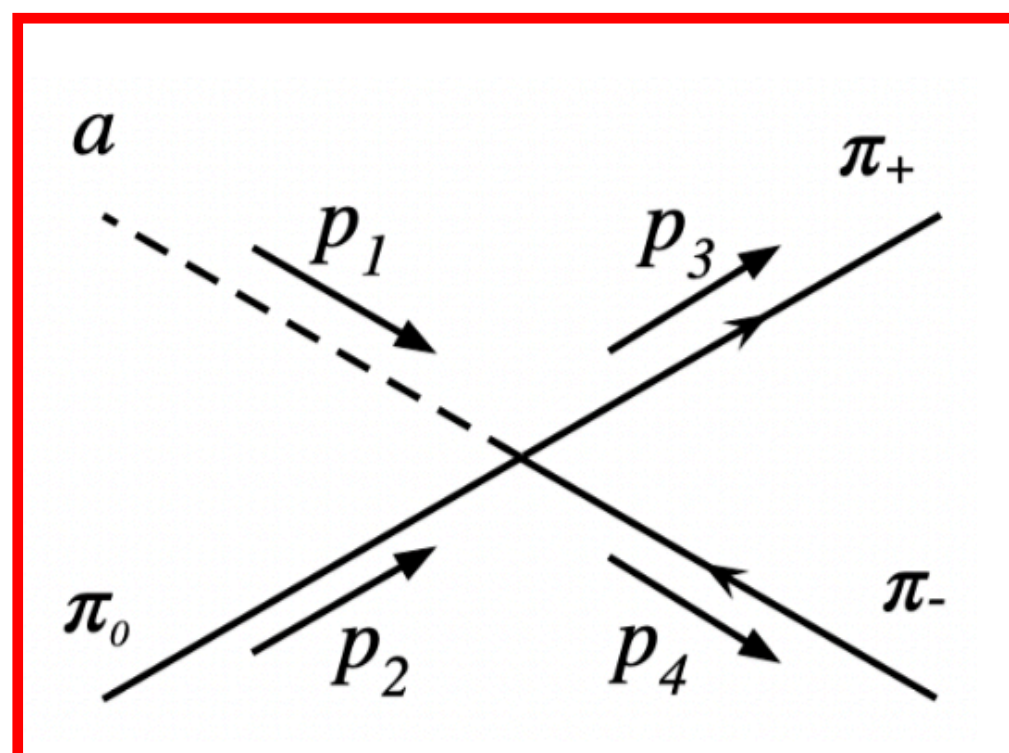
Breakdown of ChPT : Γ



◆ The NLO corrections to total Γ reach 50% x LO at $T \simeq 120$ MeV, due to accidental cancellations;

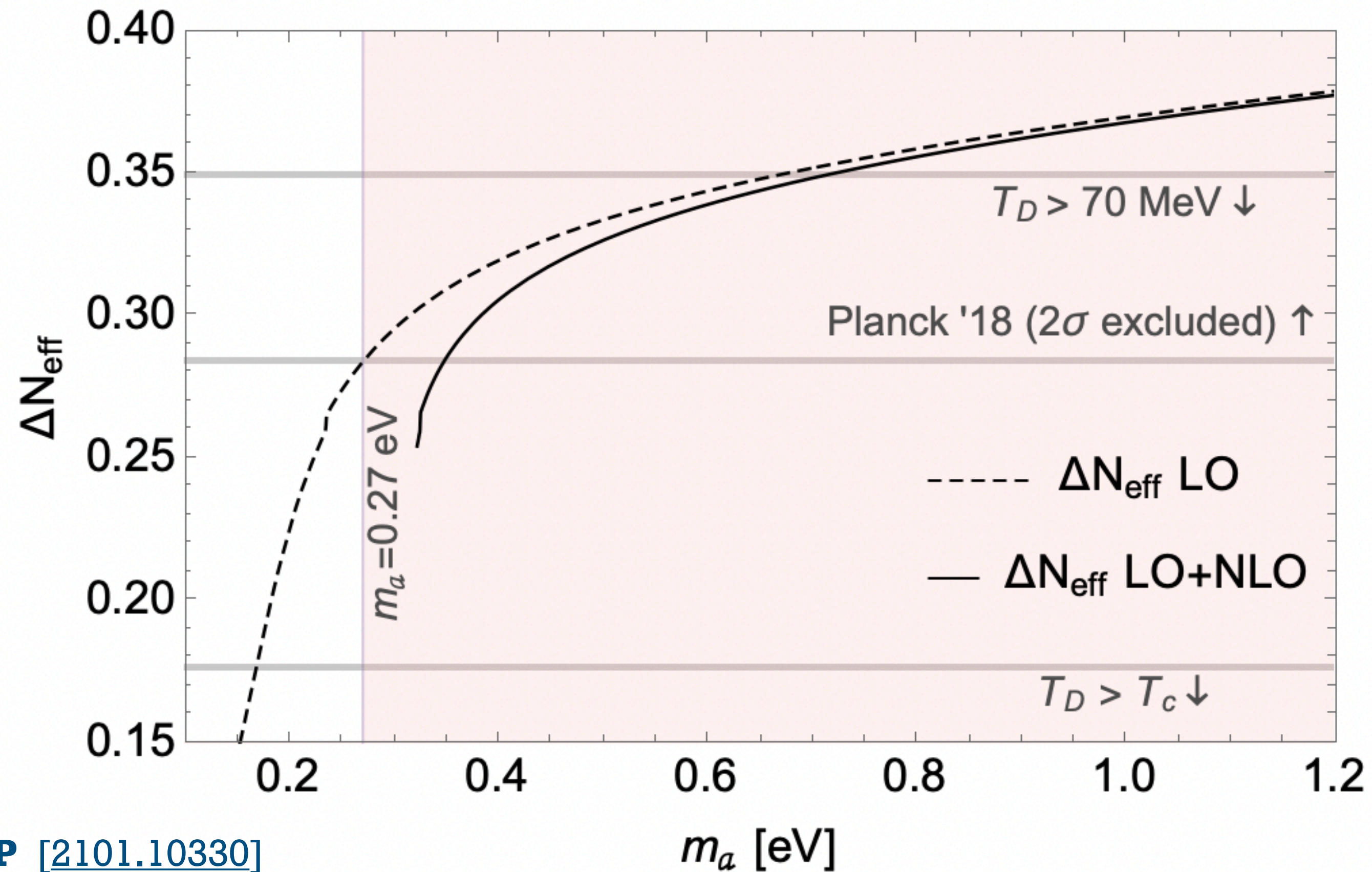
◆ A more realistic estimate of T_χ by looking at the first exclusive channels with large NLO correction.

➡ In $\pi^+\pi^0$ big corrections at $T_\chi \simeq 70$ MeV



ΔN_{eff} including NLO correction

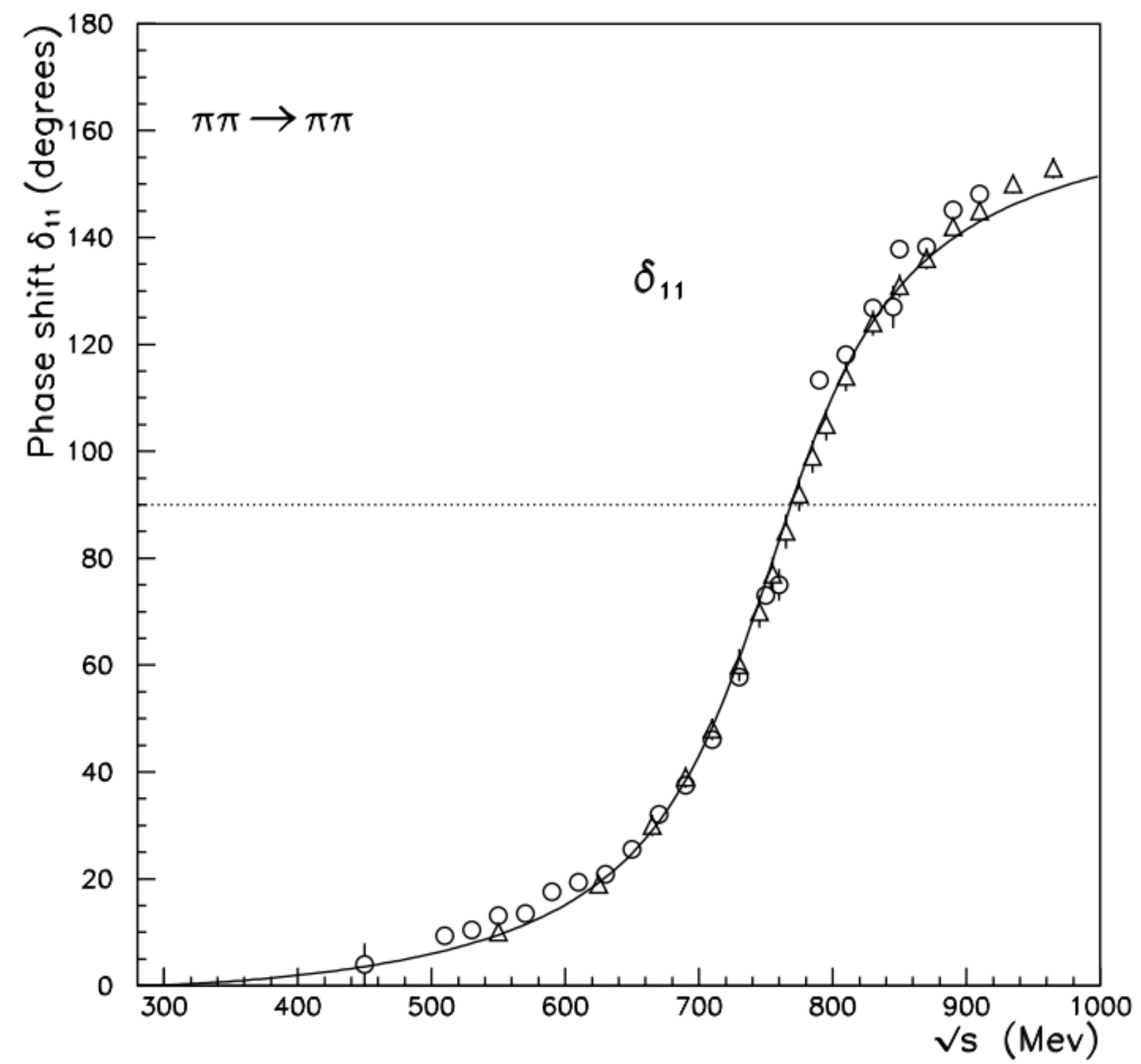
T_D cannot be extracted in the region of interest since the *NLO* \sim *LO* for $T > 70$ MeV

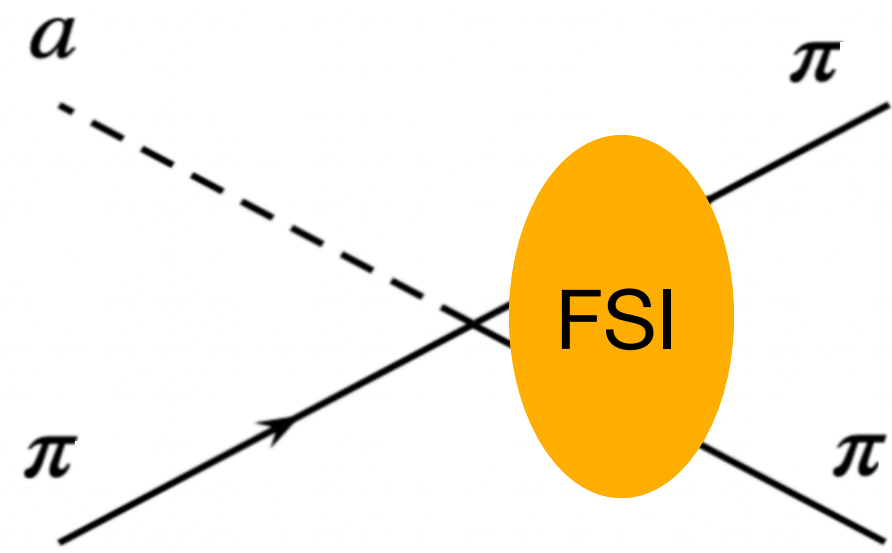


L. Di Luzio, G. Martinelli, **GP** [[2101.10330](https://arxiv.org/abs/2101.10330)]

Unitarization of Axion-Pion scattering

Di Luzio, Martinelli, Camalich, Oller, **GP** [arXiv: [2211.05073](https://arxiv.org/abs/2211.05073)]

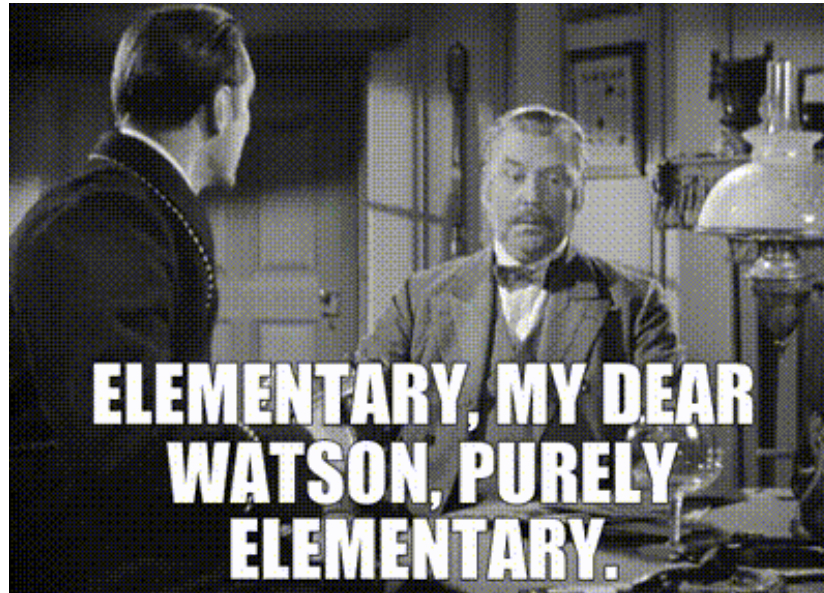




$\pi\pi$ final-state interactions (FSI) are resonant

ChPT cannot produce resonances

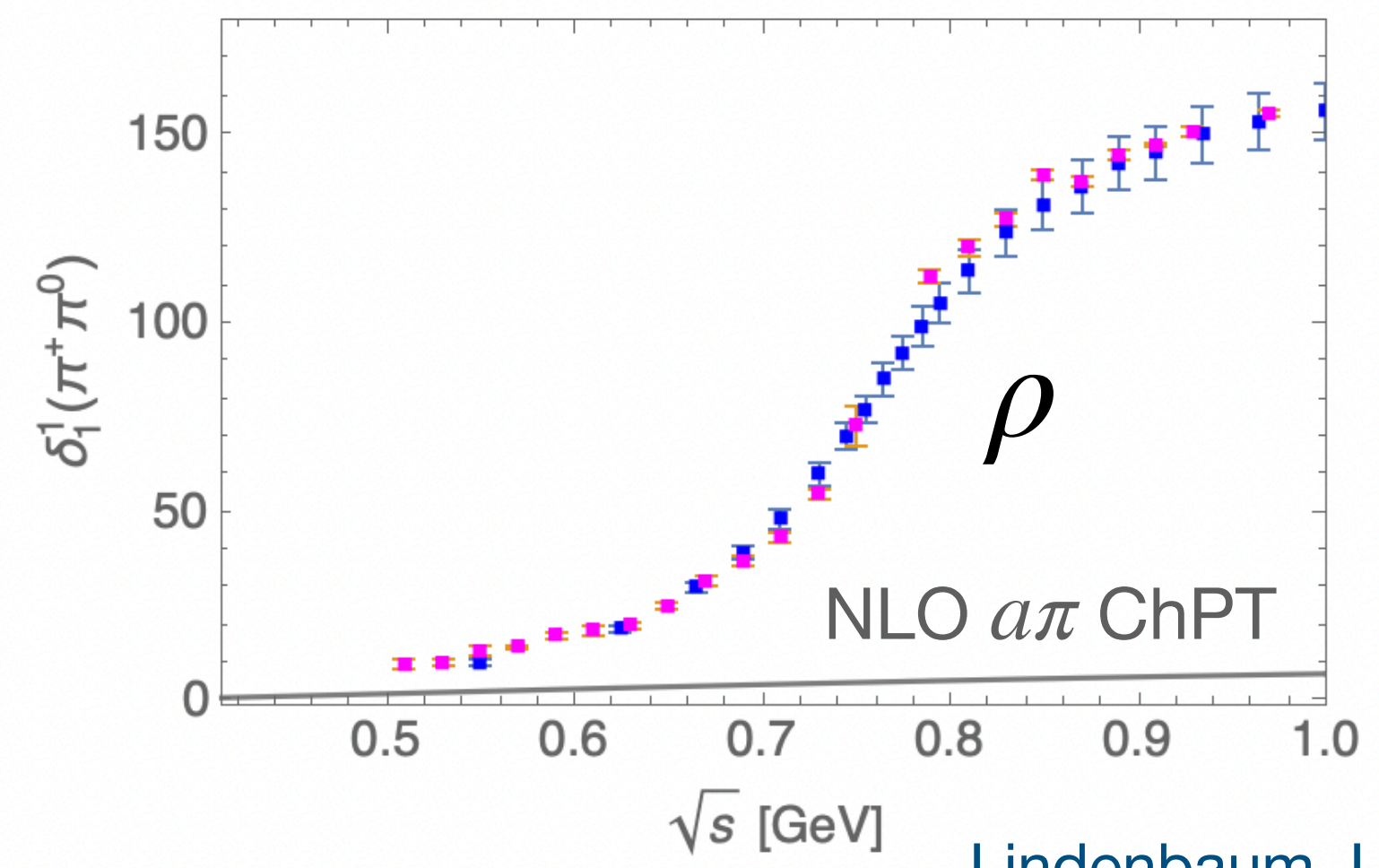
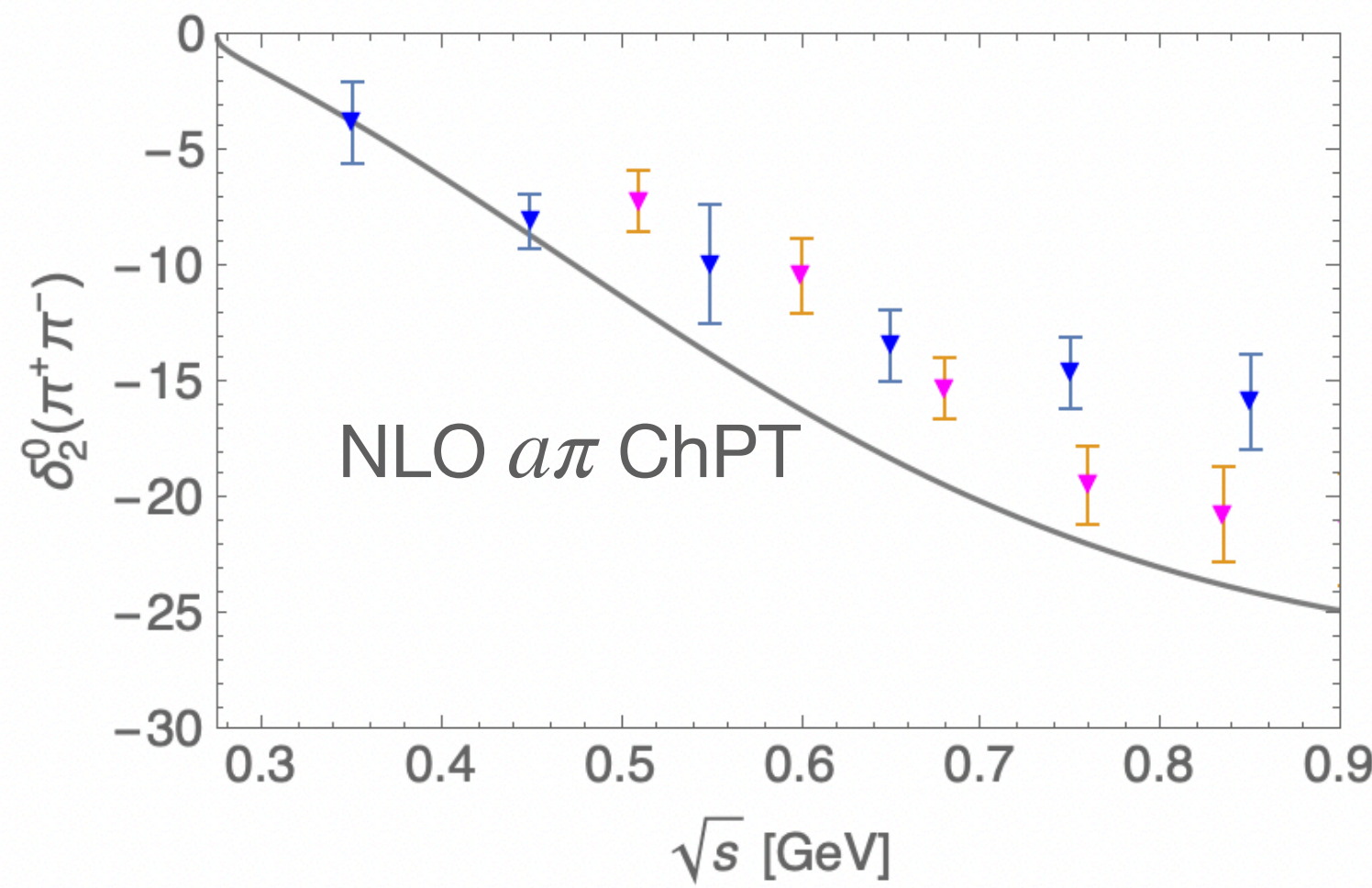
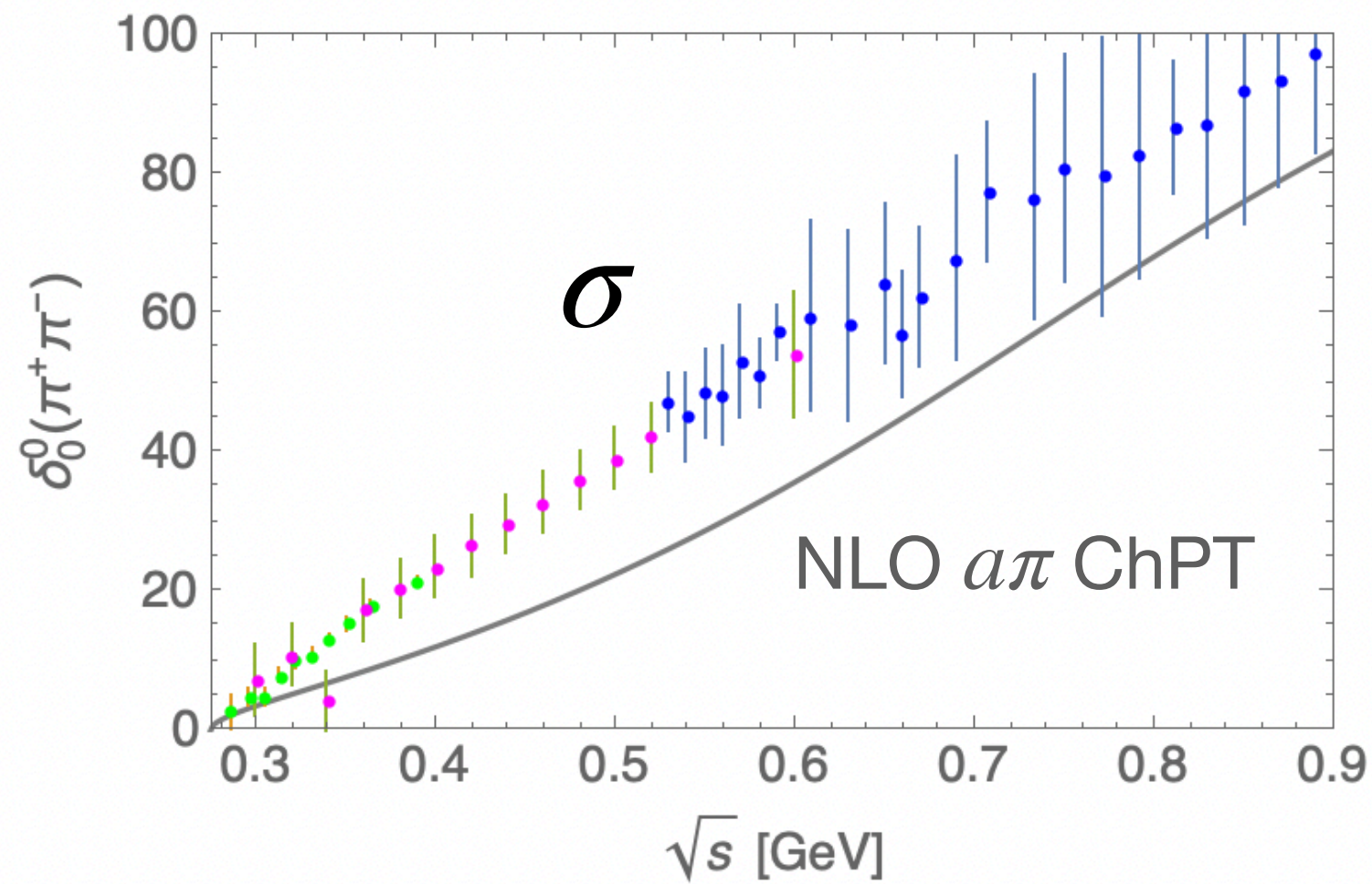
$$\left\{ \begin{array}{l} \sigma \text{ or } f_0(500) \text{ in } I = L = 0 \\ \rho(770) \text{ in } I = L = 1 \end{array} \right.$$



Unitarity $\Rightarrow (\delta_a)_I^\ell = (\delta_{\pi\text{-scatt}})_I^\ell$

Comparing NLO $a\pi$ ChPT to $\pi\pi$ data:

$(\delta_a)_I^\ell \neq (\delta_{\pi\text{-scatt}})_I^\ell$



Lindenbaum, Longacre '92
Estabrooks, Martin '74

.....

Unitarization to extend the validity of ChPT

❖ Inverse Amplitude Method (IAM): [Truong, PRL **61**, 2526]

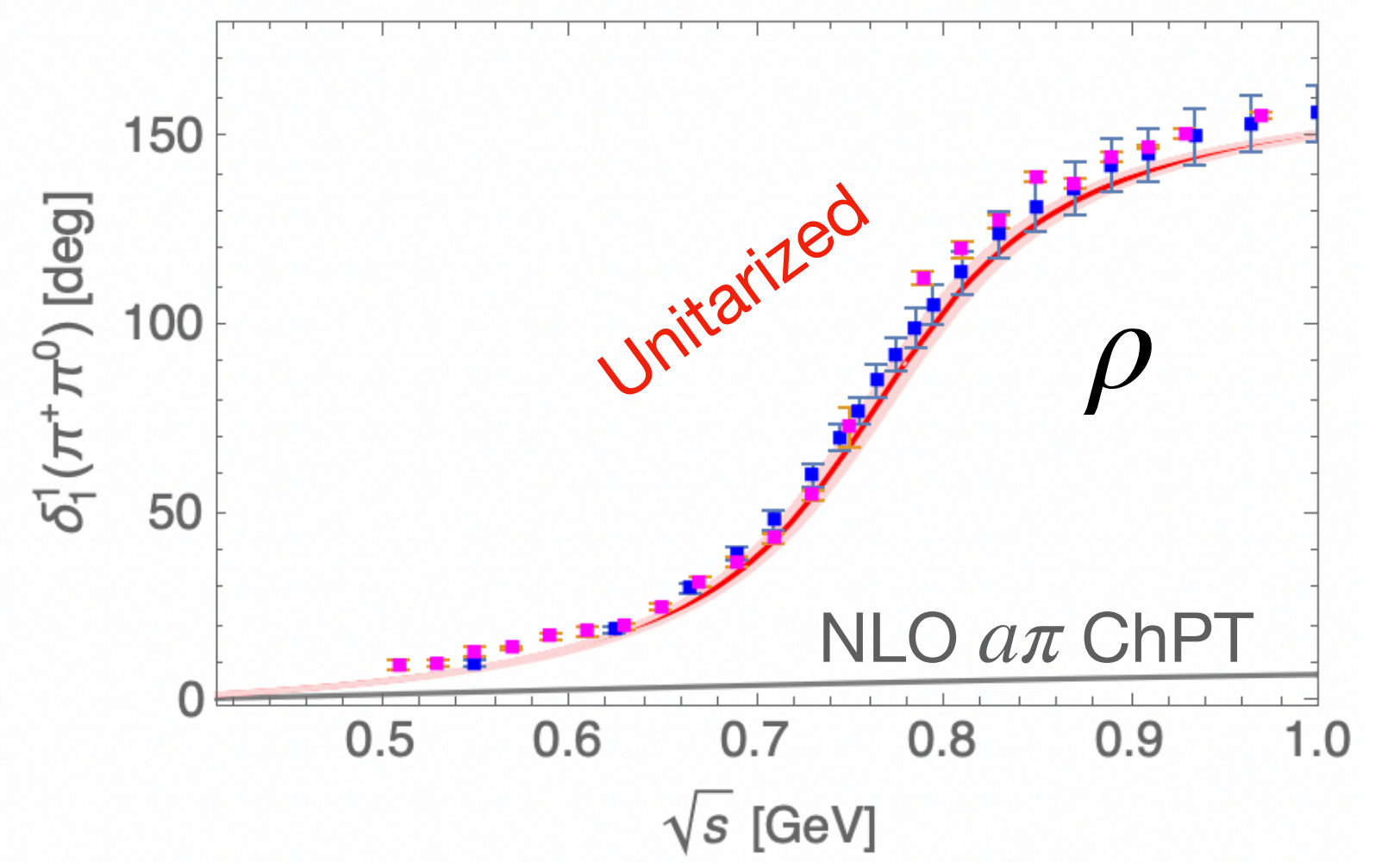
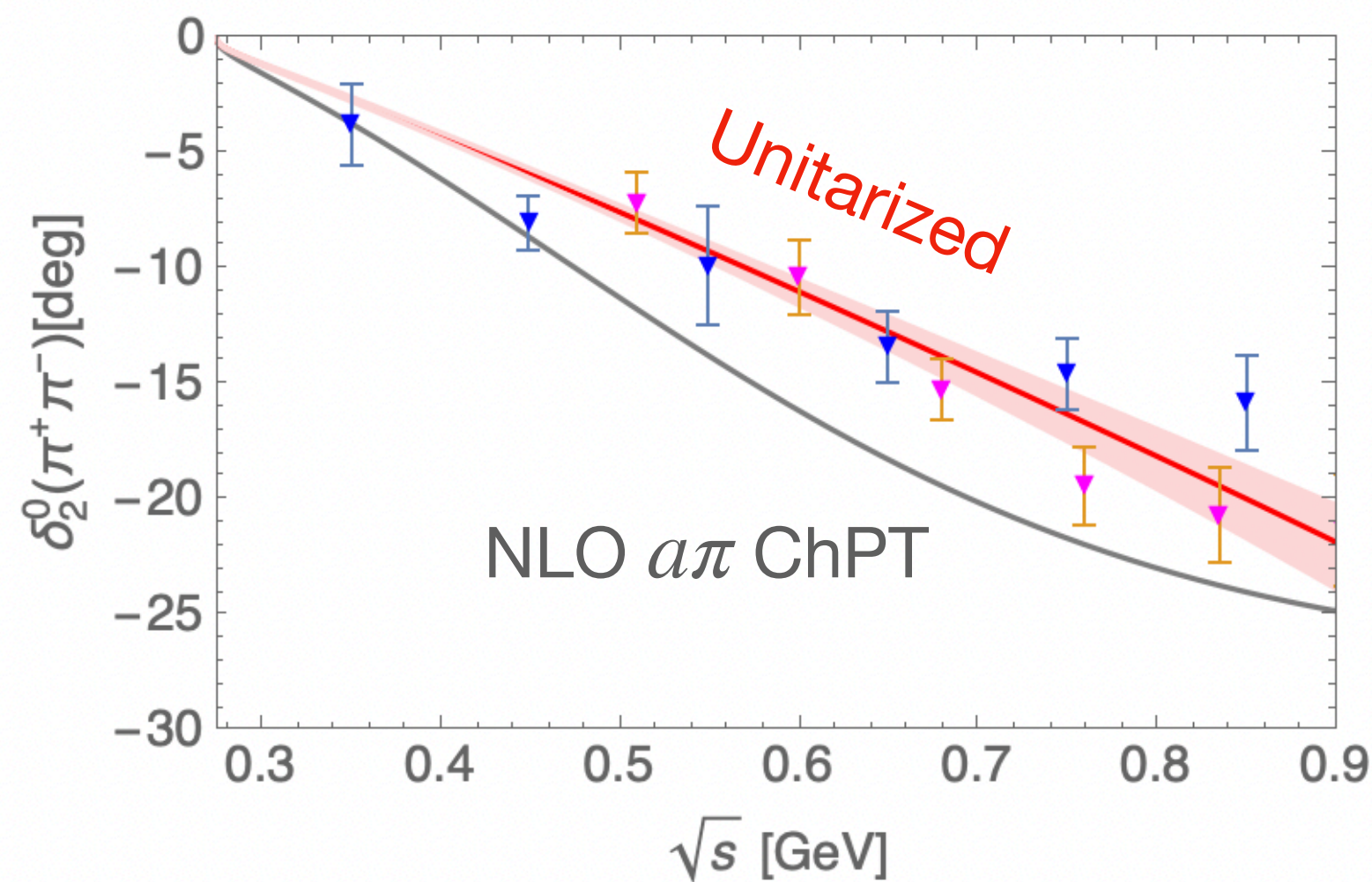
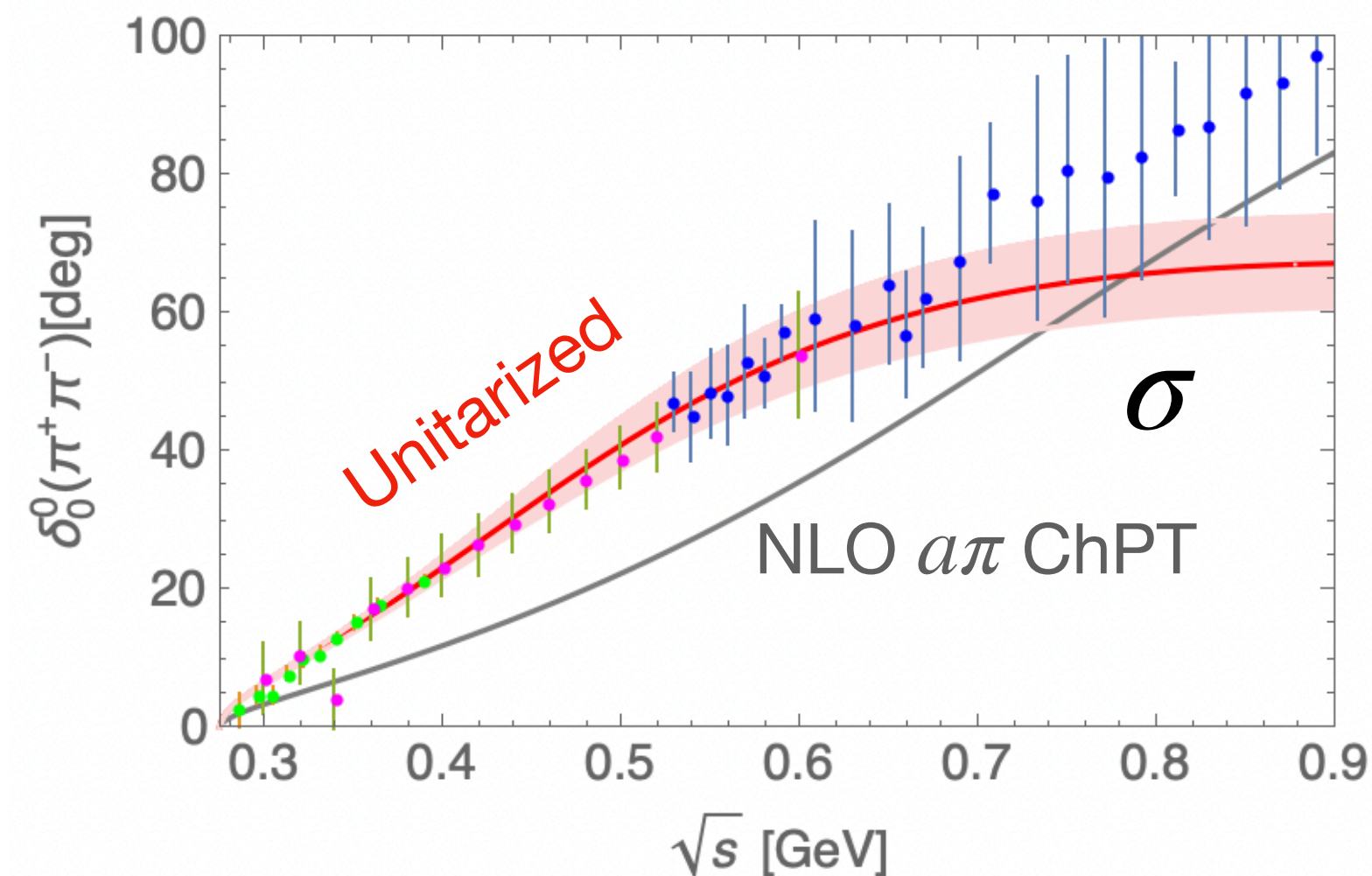
Definite I, J amplitudes

$$A_{IJ}(s) = \frac{A_{IJ}^{(2)}(s)}{1 - A_{IJ}^{(4)}(s)/A_{IJ}^{(2)}(s)}$$

The IAM amplitude satisfies unitarity and has the correct low-energy expansion of ChPT up to $\mathcal{O}(p^4)$

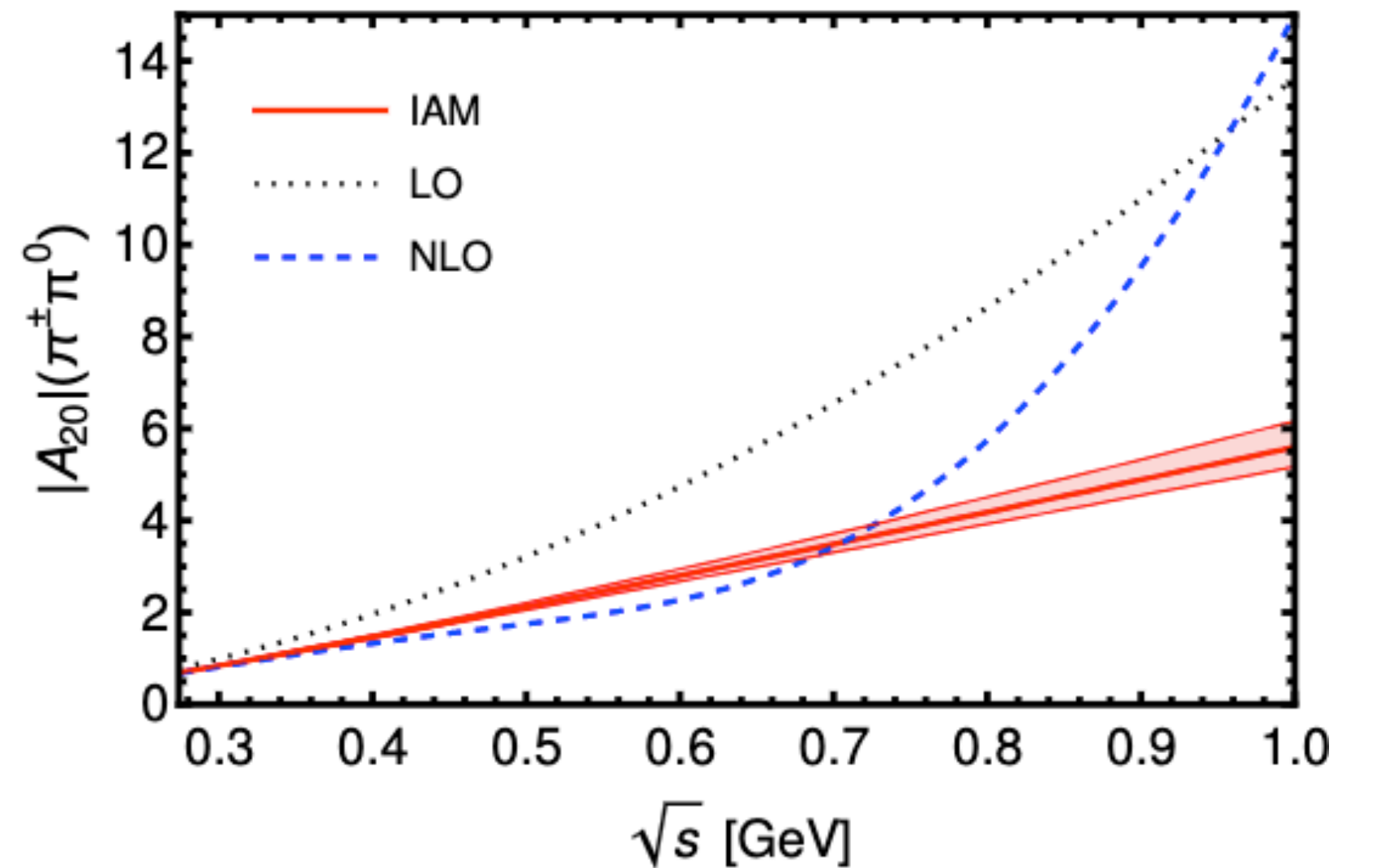
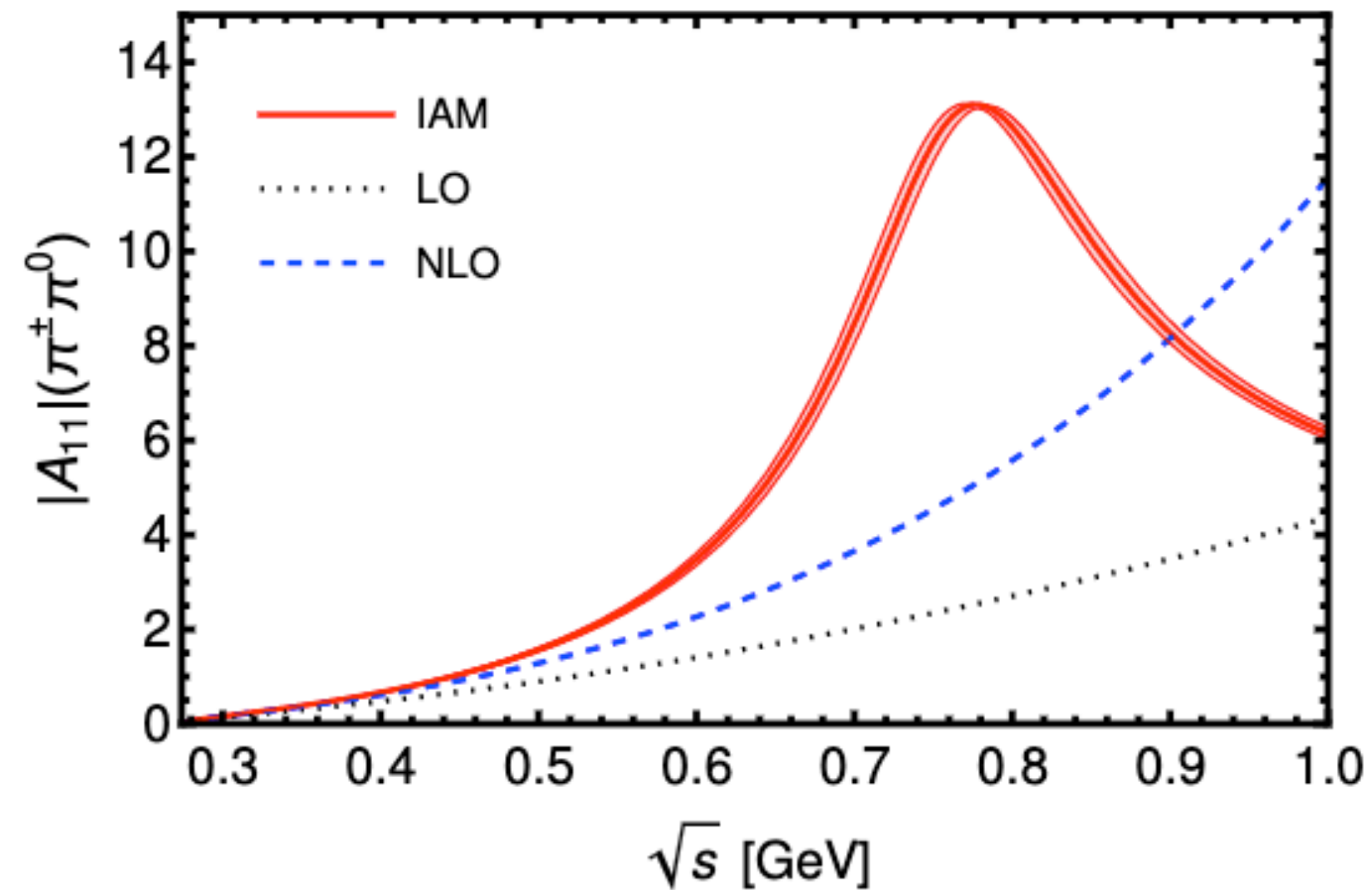
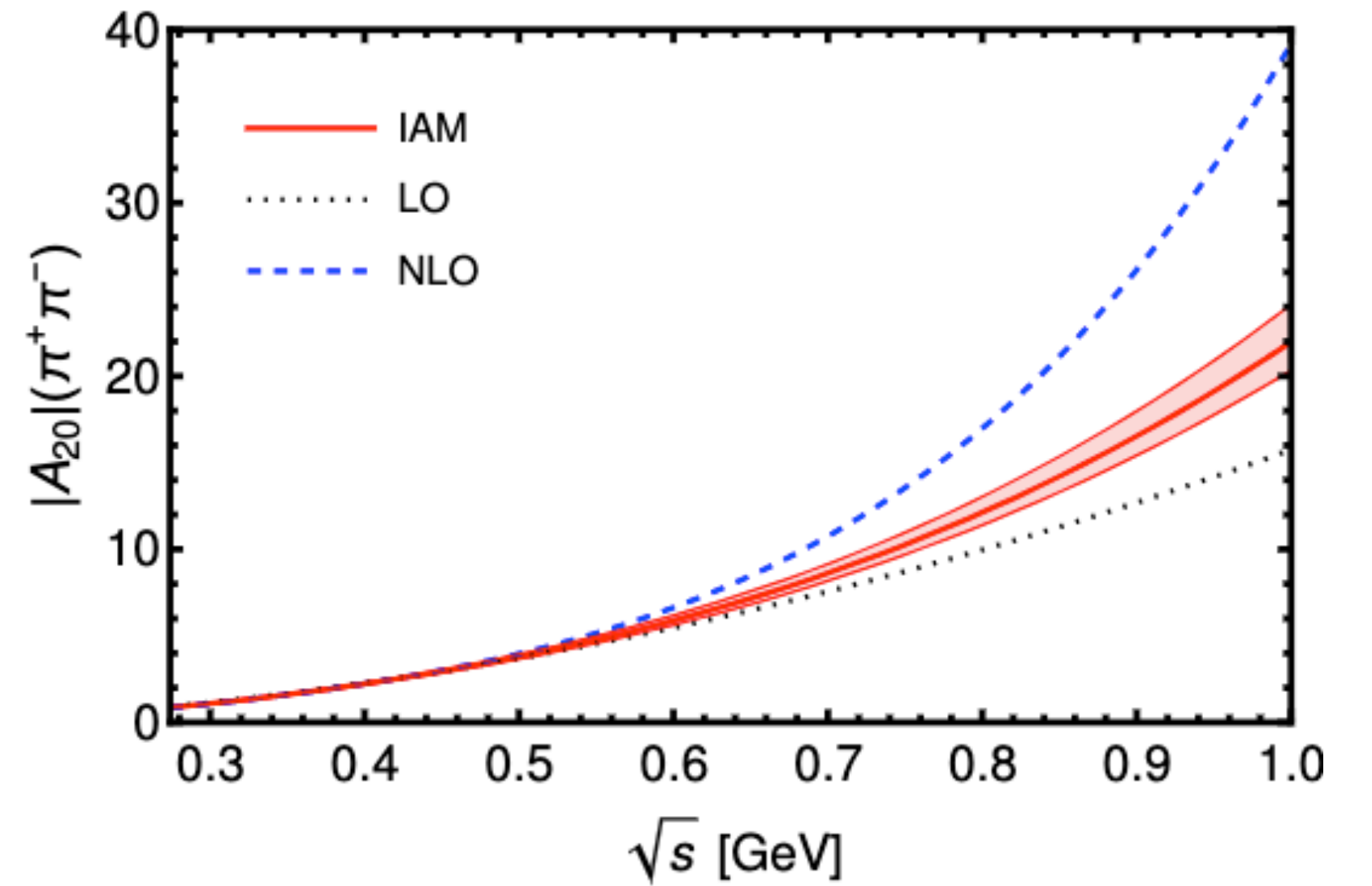
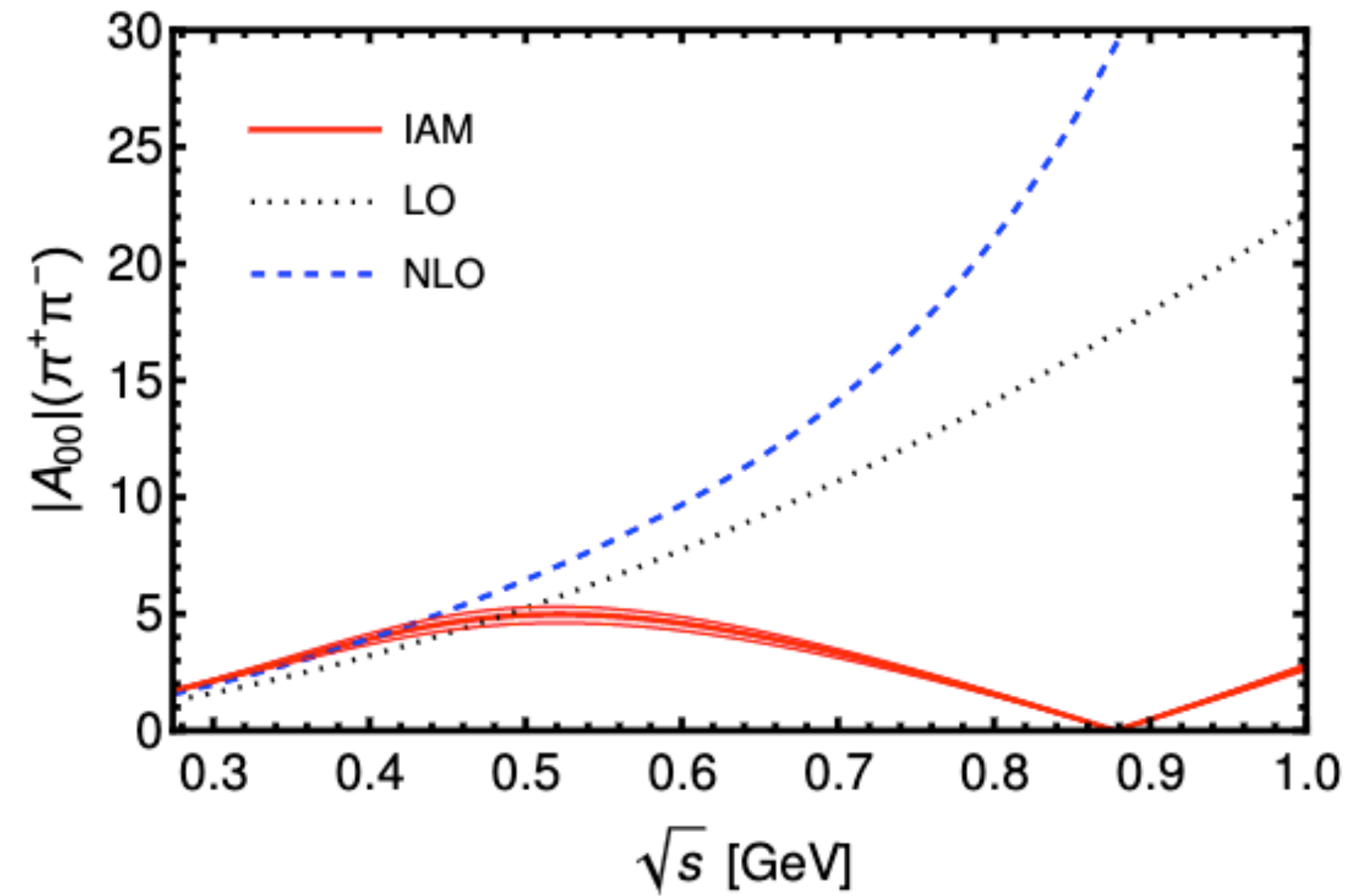
IAM LECs from fit to $\pi\pi$ scatt. [Dobado, Pelaez 1997]

✓ Phases obtained in IAM correspond to phases of $\pi\pi$ scattering: Watson th.!

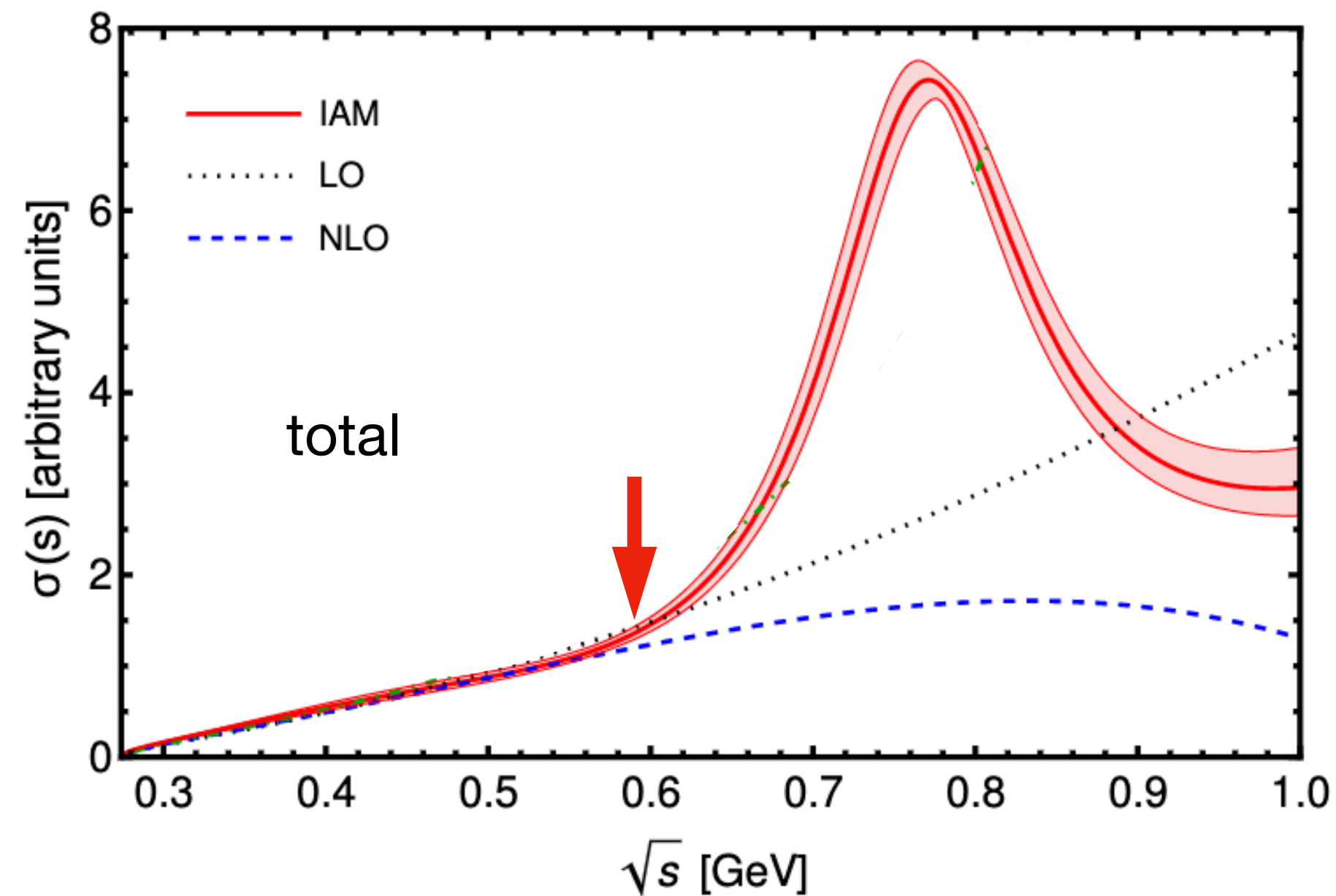
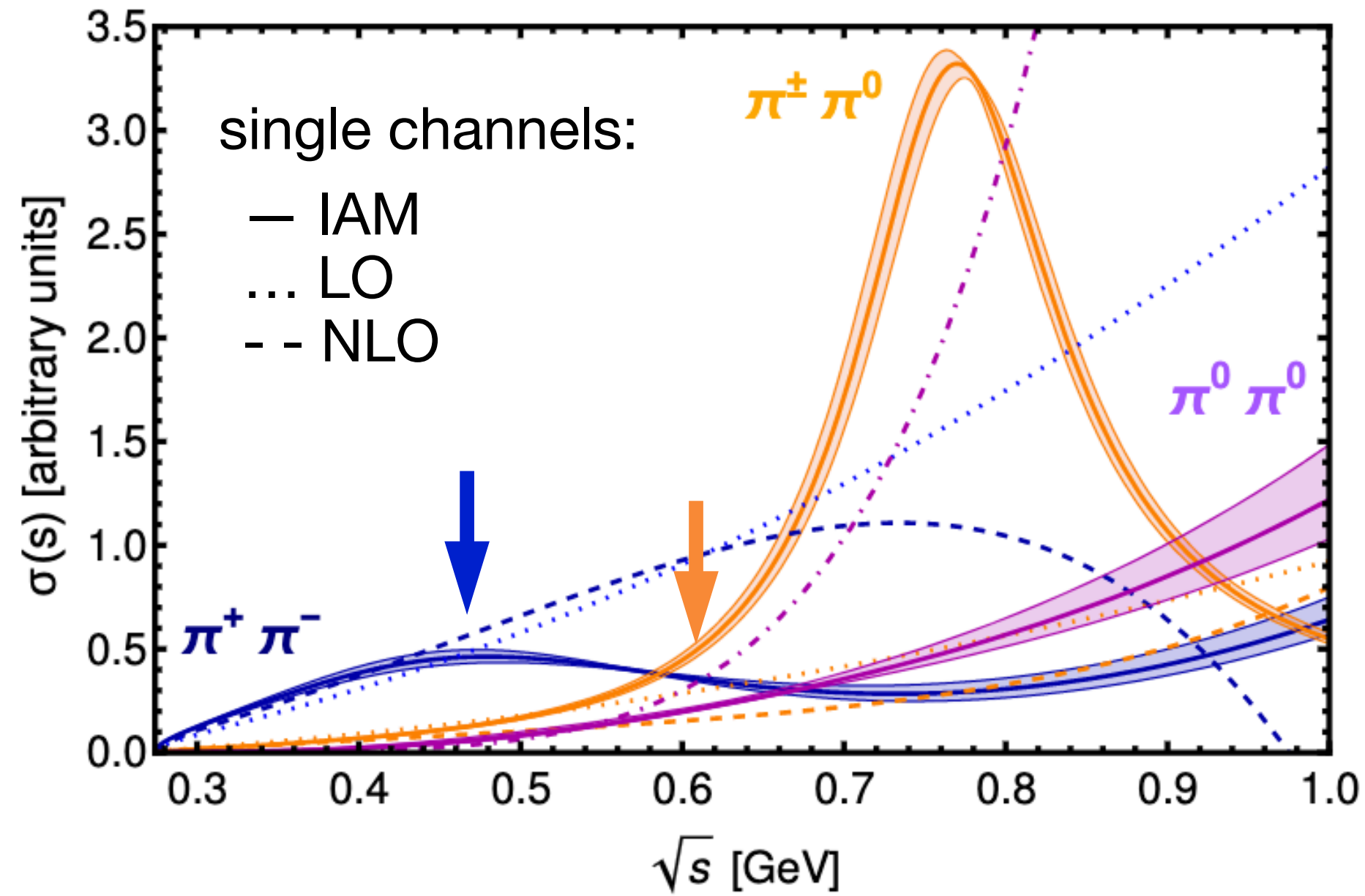


Partial wave amplitudes

Growth with energy of ChPT amplitudes is tamed by unitarization!



Cross Sections



◆ $\pi^+ \pi^-$ ChPT departs from IAM at $\sqrt{s} \simeq 450$ MeV, $\sigma(550)$ resonance in $I = J = 0$ channel

◆ $\pi^\pm \pi^0$ ChPT departs from IAM at $\sqrt{s} \simeq 600$ MeV, $\rho(770)$ resonance in $I = J = 1$ channel

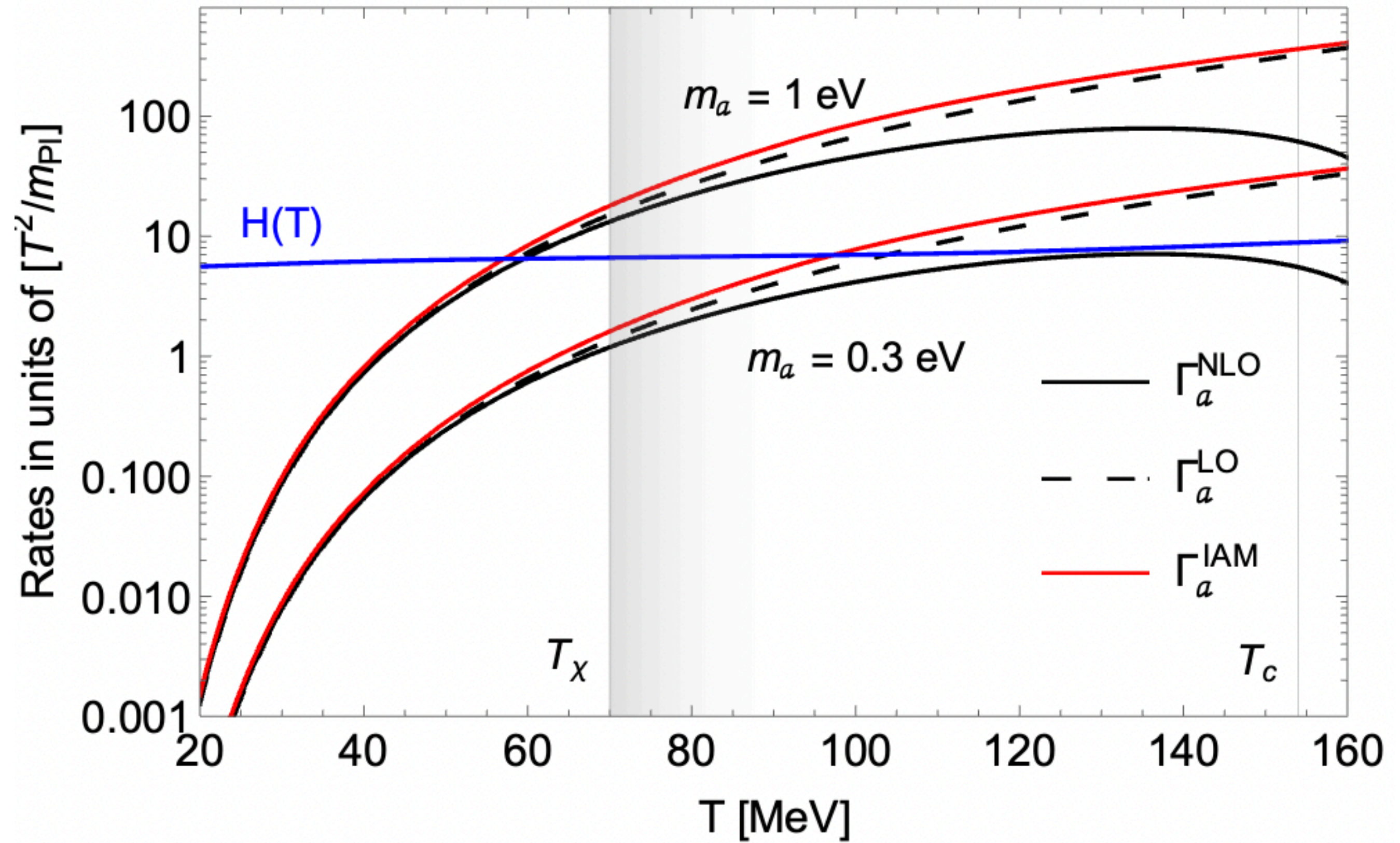
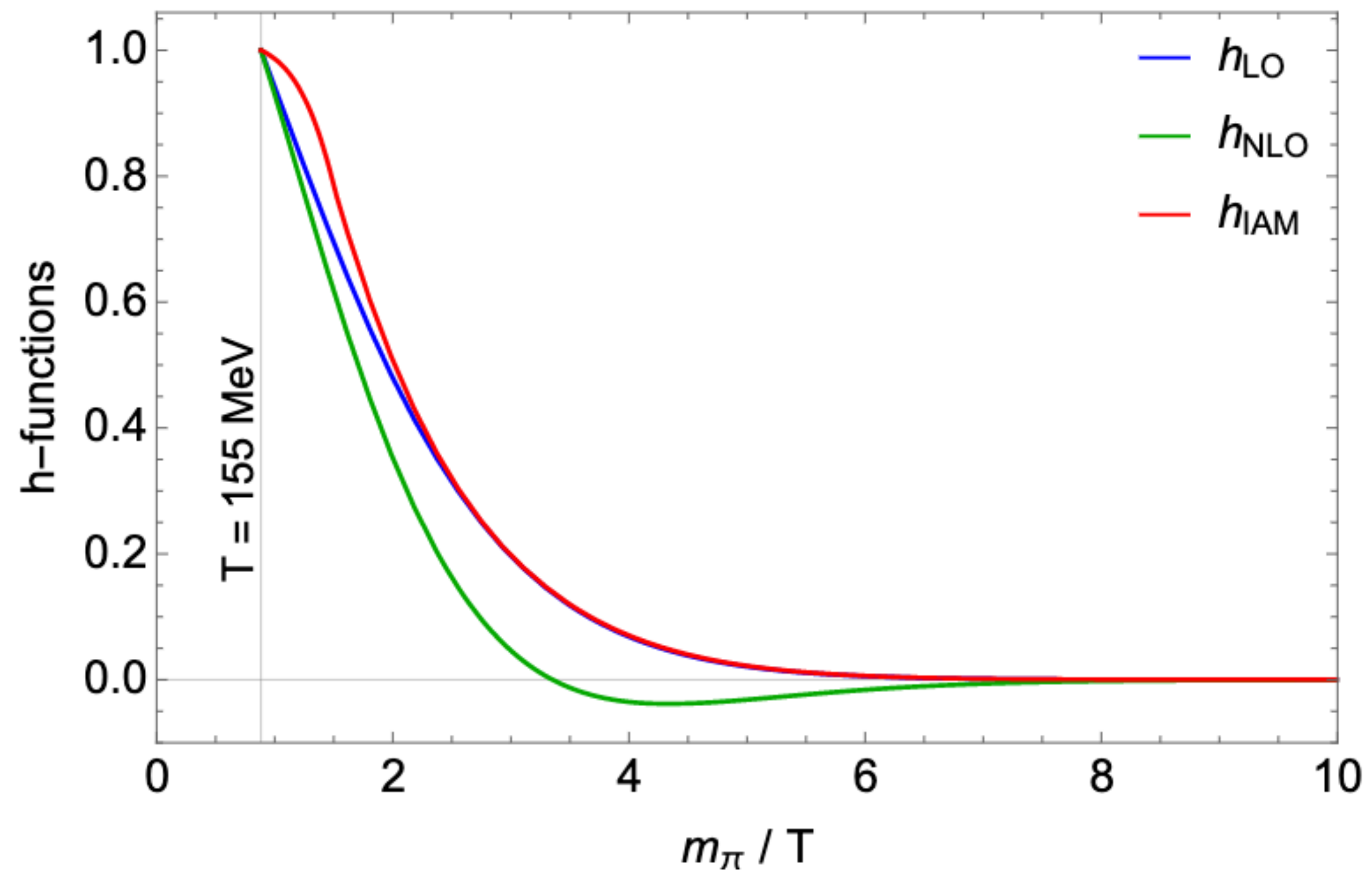
$$\sigma_{\text{tot}} = \sigma_{a\pi^0 \rightarrow \pi^+ \pi^-} + \sigma_{a\pi^+ \rightarrow \pi^+ \pi^0} + \sigma_{a\pi^- \rightarrow \pi^- \pi^0} + \underbrace{\sigma_{a\pi^0 \rightarrow \pi^0 \pi^0}}_{\text{not present at LO}}$$

Accidental feature:

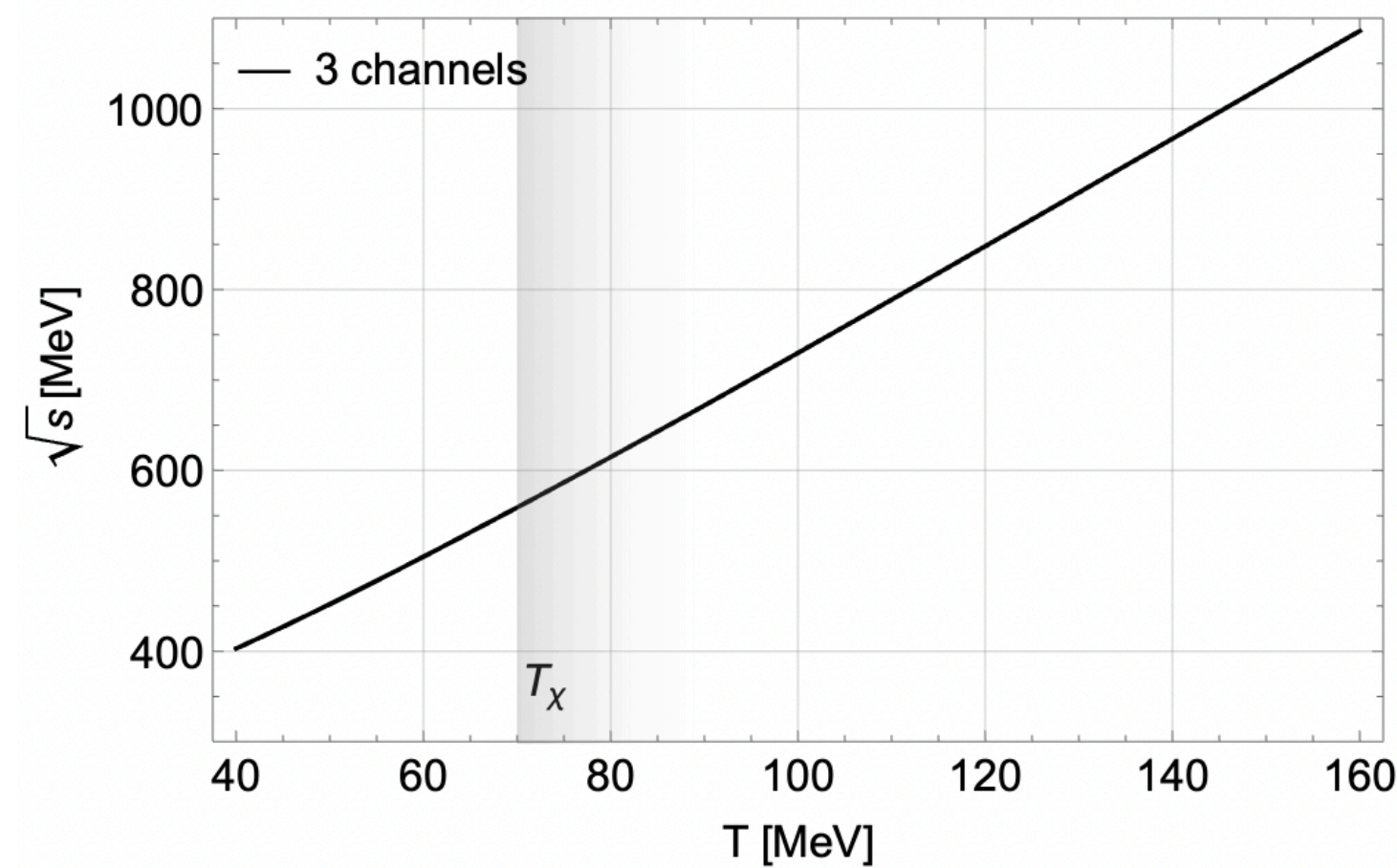
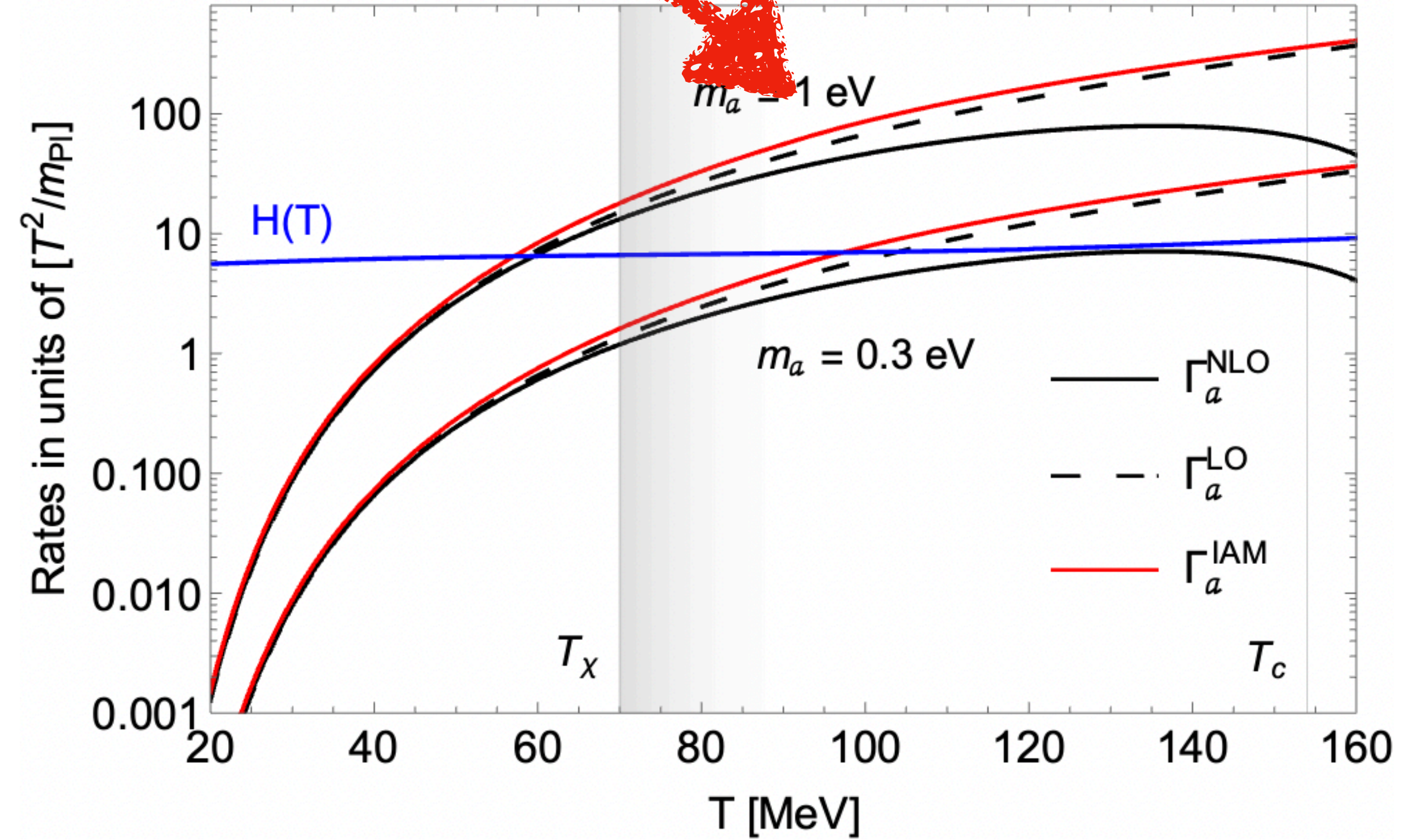
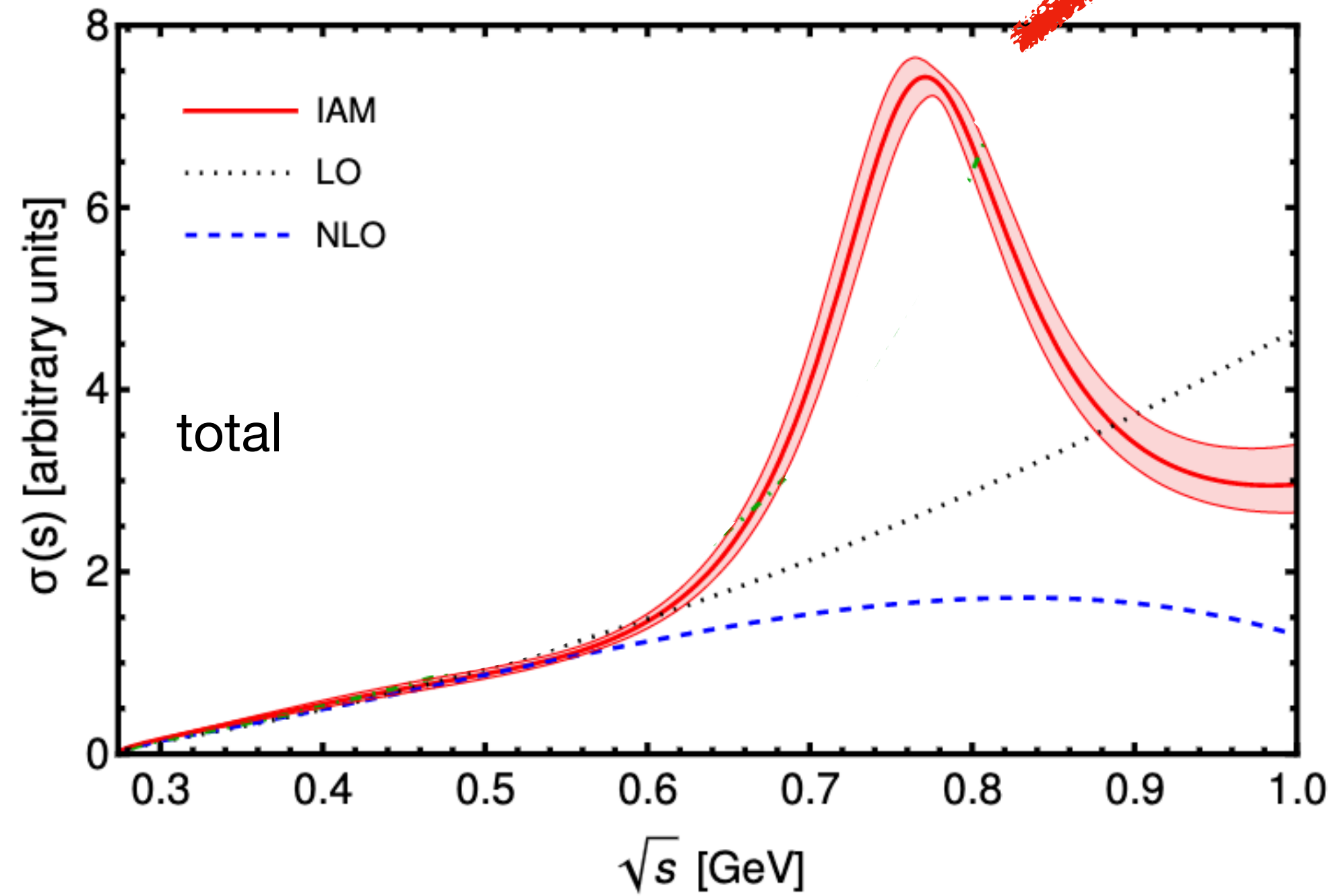
◆ Compensation between $\pi^+ \pi^-$ and $\pi^\pm \pi^0$ channels makes $\sigma_{\text{IAM}}^{\text{tot}} \simeq \sigma_{\text{LO}}^{\text{tot}}$ up to $\sqrt{s} \simeq 600$ MeV

Thermal rates

$$\Gamma_a^{\text{IAM}}(T) = \left(\frac{C_{a\pi}}{f_a f_\pi} \right)^2 0.181 T^5 h_{\text{IAM}}(m_\pi/T)$$

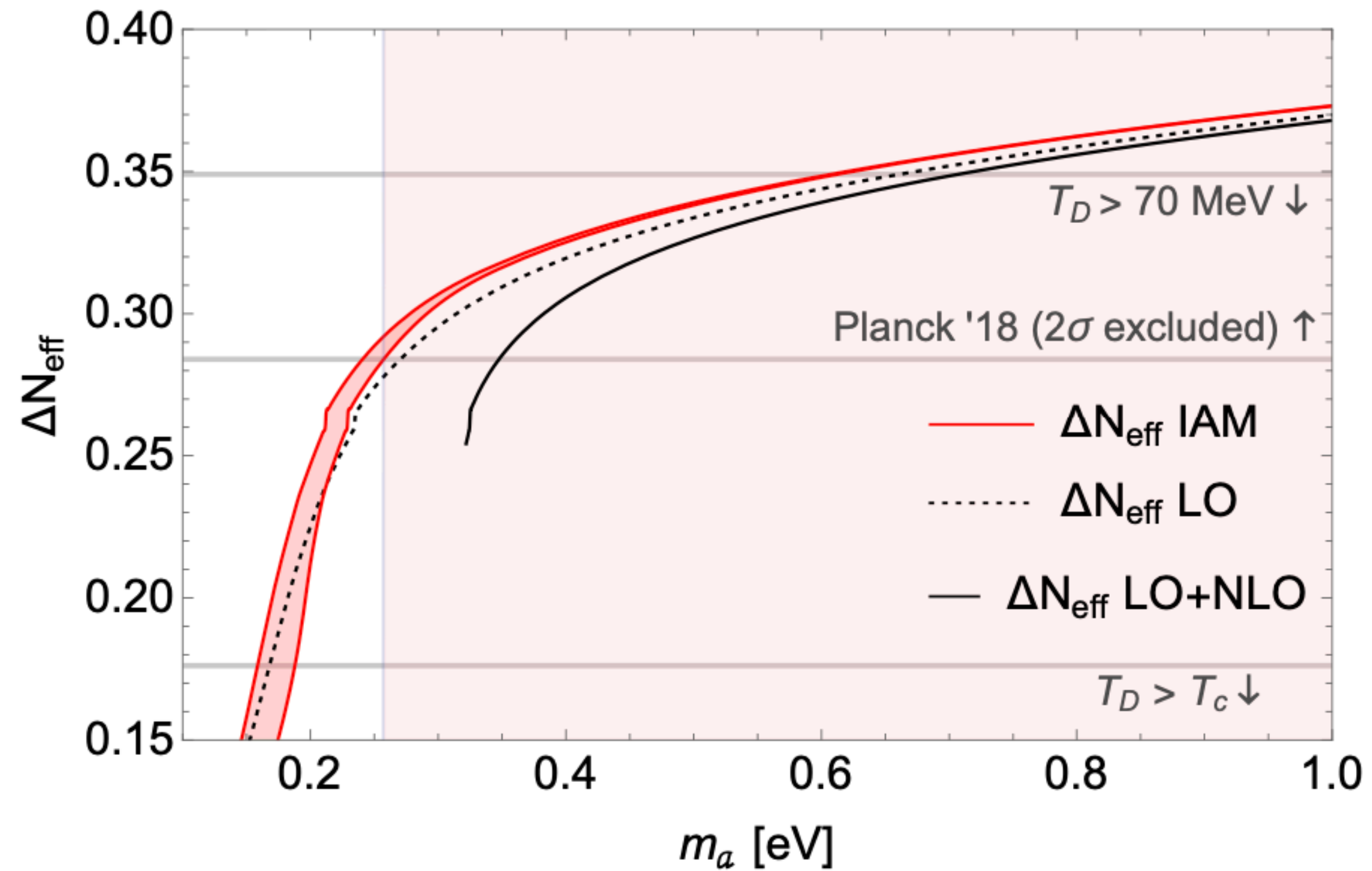


Thermal rates



- ◆ $\Gamma_{IAM} \simeq \Gamma_{LO}$ for $\sqrt{s} \lesssim 600 \text{ MeV} \rightarrow T \lesssim 70 \text{ MeV}$
- ◆ ρ resonance appears at $\sqrt{s} \sim 750 \text{ MeV} \rightarrow T \sim 100 \text{ MeV}$

ΔN_{eff} : IAM vs LO



- ◆ LO and NLO ChPT reliable up to $T_D = 70$ MeV
- ◆ IAM valid up to $T_c \simeq 155$ MeV, gives a bound $m_a \leq 0.26$ eV

Conclusions

- Using ChPT at $T \sim 100$ MeV corresponds to $\sqrt{s} \sim 750$ MeV, way above its validity!
- Unitarization provides a way to extend ChPT up to $T_c \simeq 155$ MeV, including resonances and satisfying unitarity;
- Accidentally, for the total rates, IAM is very close to LO
- IAM Hot Dark Matter bound $m_a \leq 0.26$ eV
- ♣ To Do: Kaons relevant at $\sqrt{s} \sim 800$ MeV, $f_0(880)$, + including thermal effects
- ♣ Describe axion thermal production in the intermediate region between 155 MeV and 1 GeV *

*Especially relevant for future sensitivities

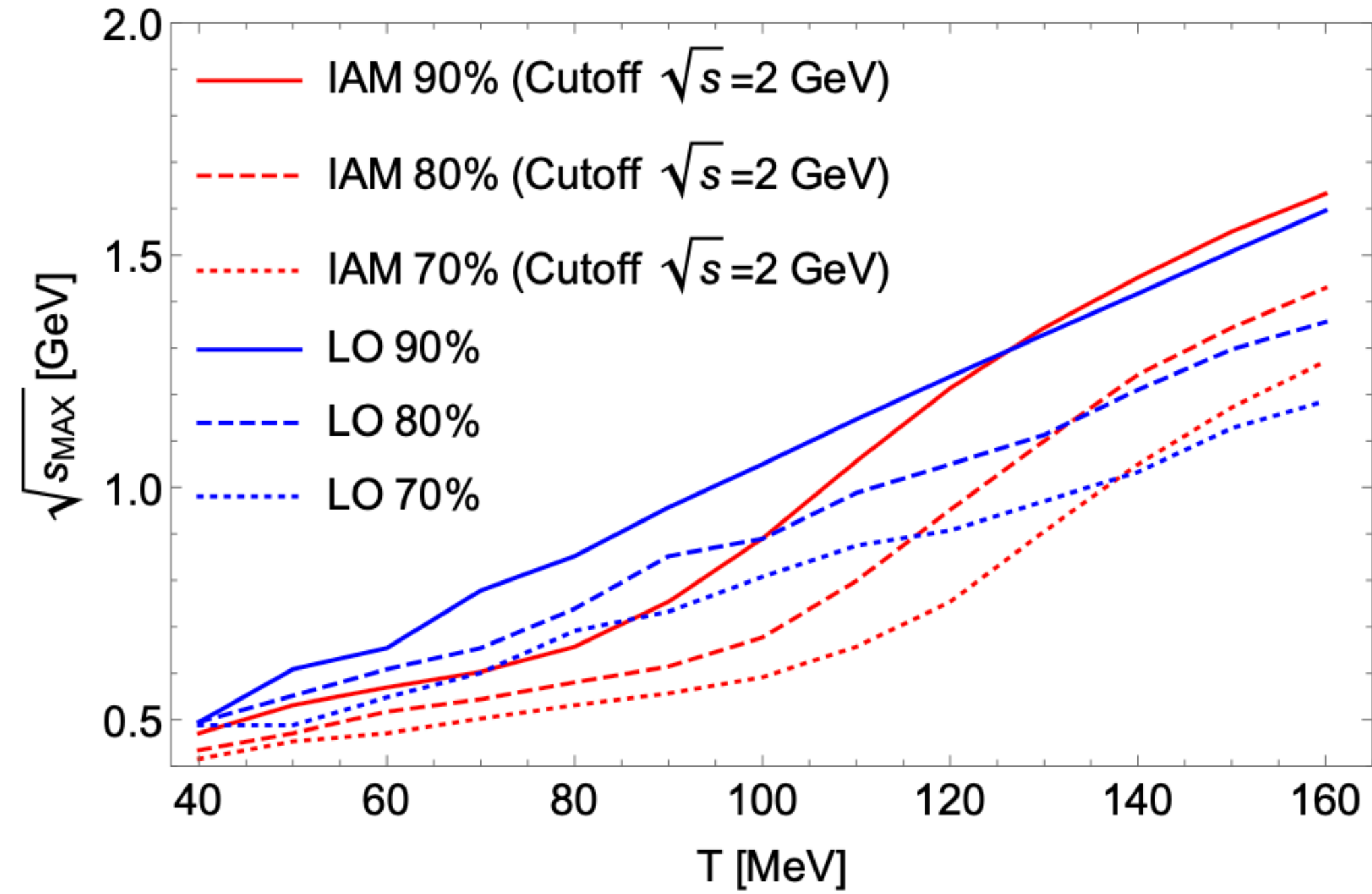


Thanks for the attention!

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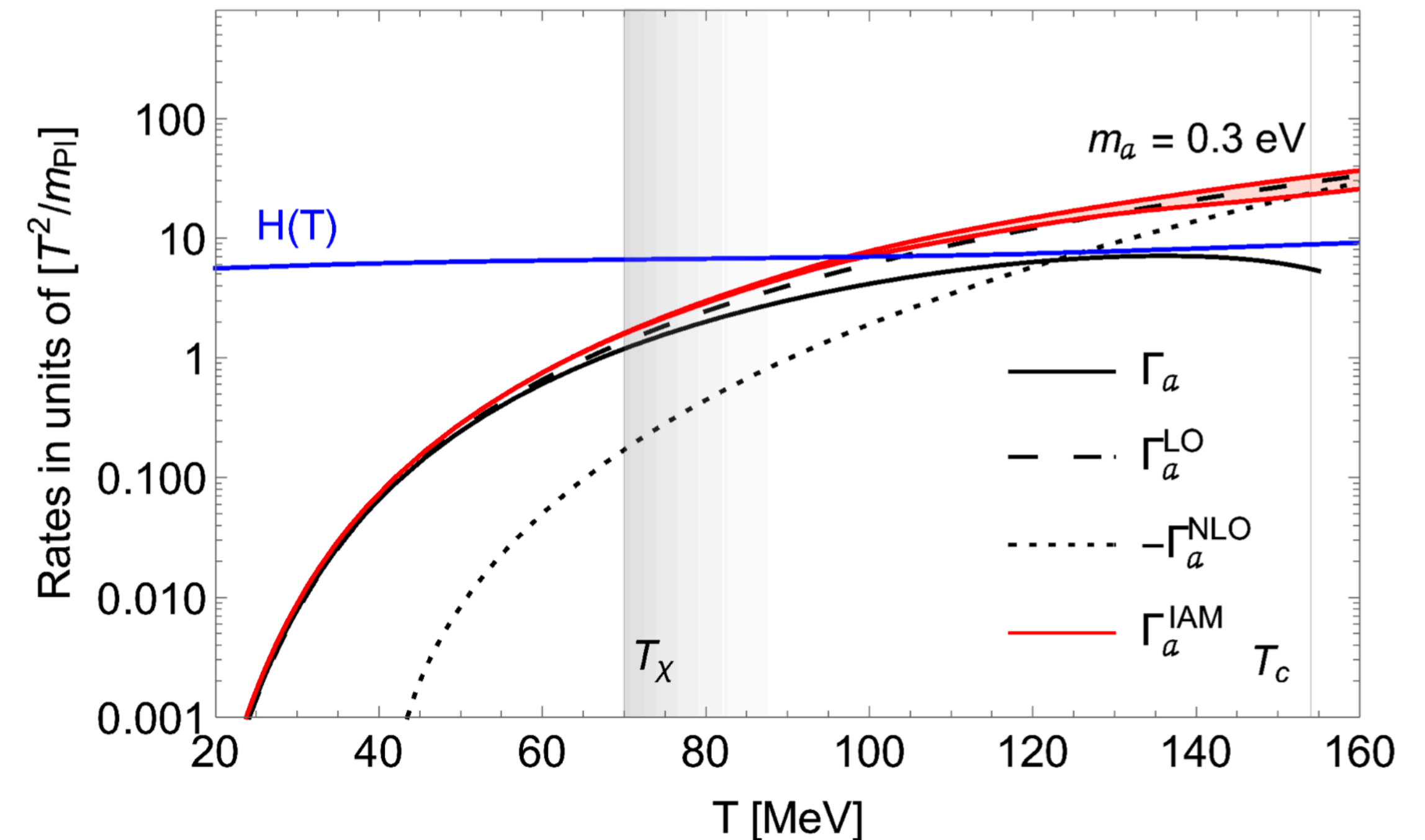
Backup

Energy contributions to thermal rate



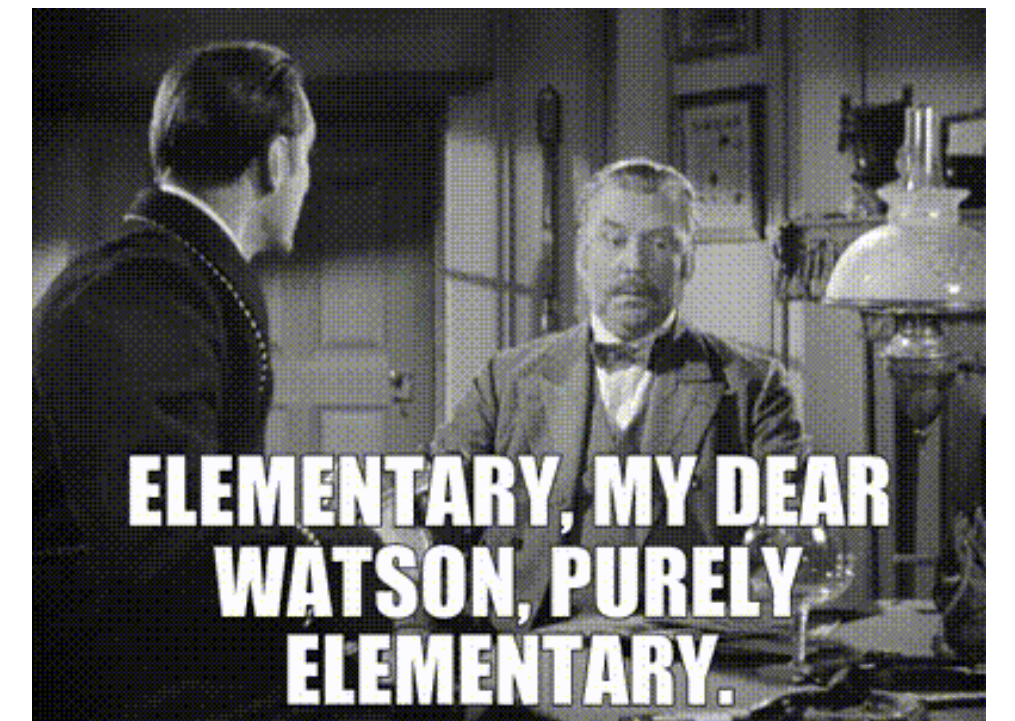
- At least 70% of the contribution to the thermal rates in IAM stems from $\sqrt{s} < 1$ GeV, where IAM is under control

- Error: difference between the total thermal rate in IAM and the one cut at $\sqrt{s_{\text{MAX}}} < 1$ GeV

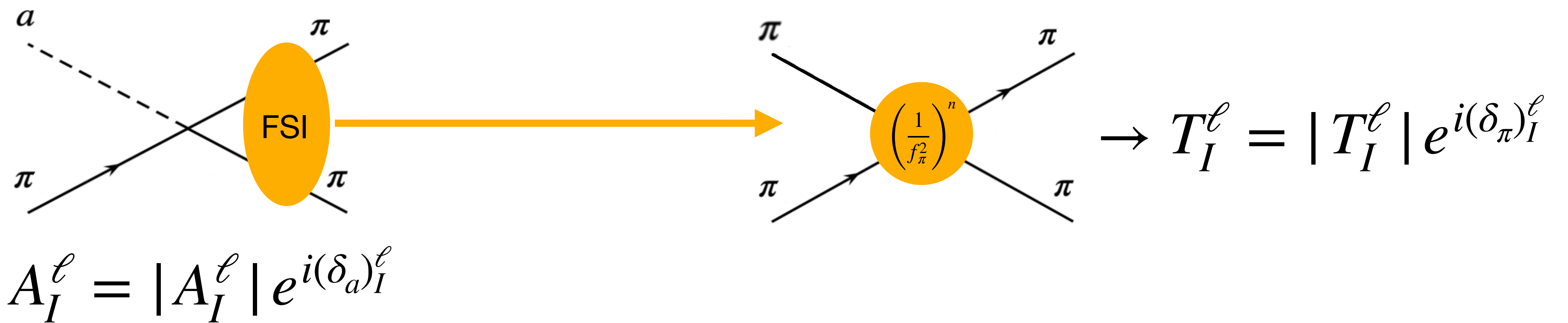


Watson Theorem

[K. M. Watson, *Phys. Rev.* 88, 1163 (1952)]



The axion interacts weakly, but $\pi\pi$ final-state interactions are strong and resonant



$$T^\dagger T = i(T^\dagger - T)$$

$$\text{Im } A_I^\ell(s) = \frac{\sigma(s)}{32\pi} A_I^\ell(s) T_I^\ell(s)^* \theta(s - 4m_\pi^2)$$

$$\sigma = \sqrt{1 - 4m^2/s}$$

Unitarity $\Rightarrow (\delta_a)_I^\ell = (\delta_\pi)_I^\ell$

IAM “derivation”

[Truong, PRL 61, 2526]

$$\text{Im } t(s) = \sigma(s) |t(s)|^2 \Rightarrow \text{Im } \frac{1}{t(s)} = -\sigma(s)$$

$$t(s) = \frac{1}{\text{Re } t^{-1}(s) - i\sigma(s)}$$

$$\sigma = \sqrt{1 - 4m^2/s}$$

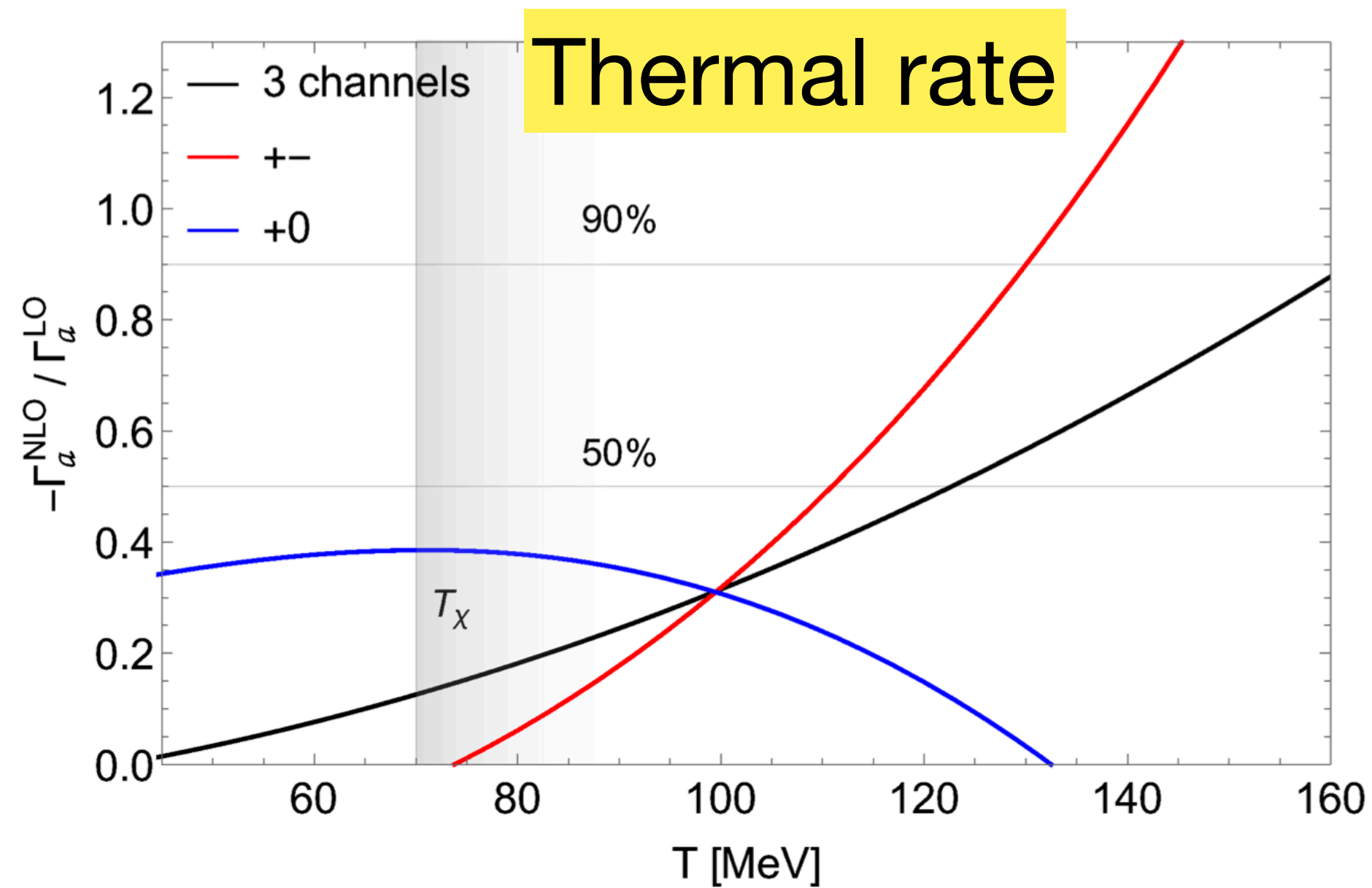
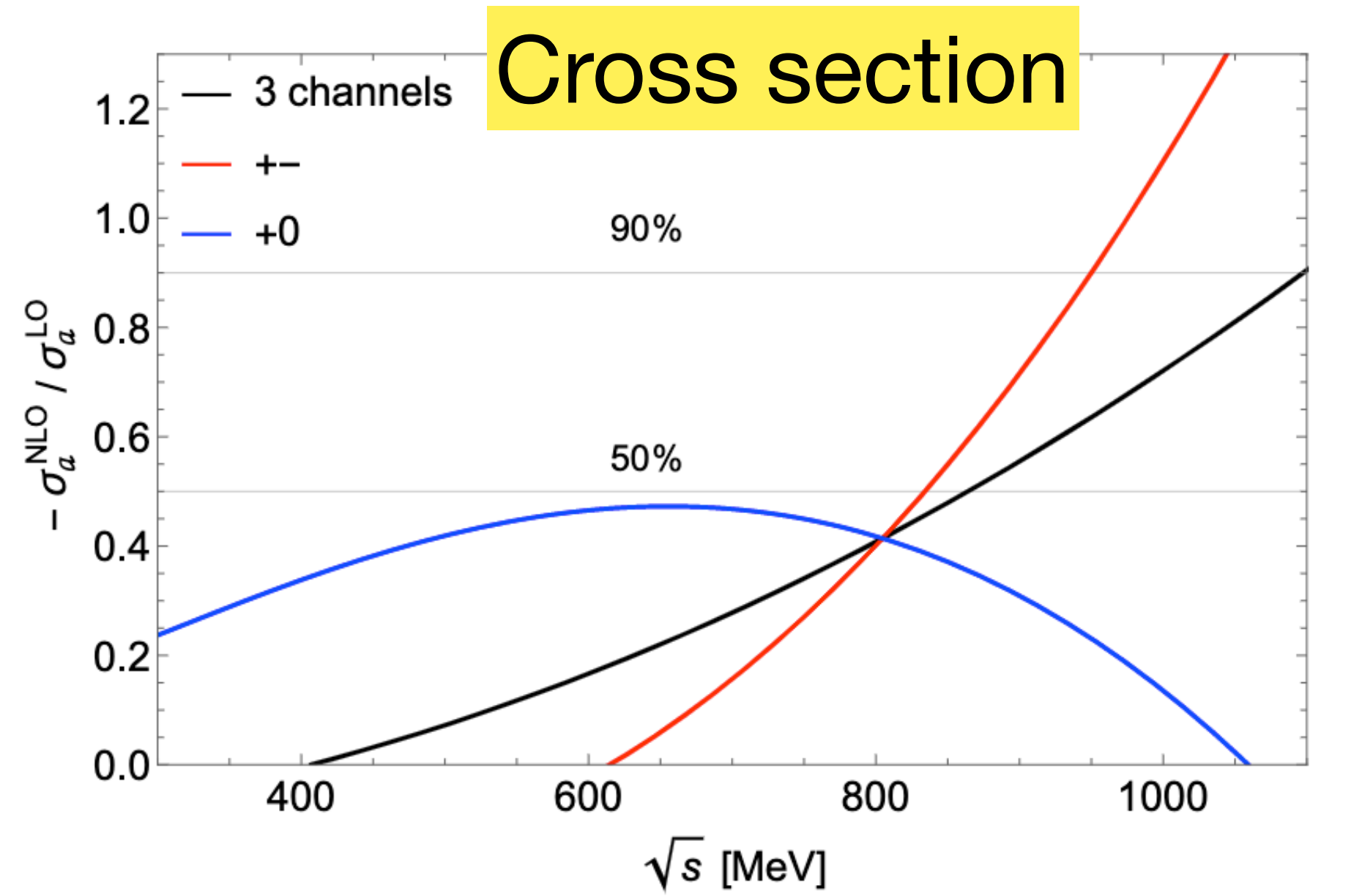
Replace $\text{Re } t^{-1}$ by $\mathcal{O}(p^4)$ ChPT expansion

$$t^{\text{IAM}}(s) \simeq \frac{t_2^2(s)}{t_2(s) - t_4(s)}$$

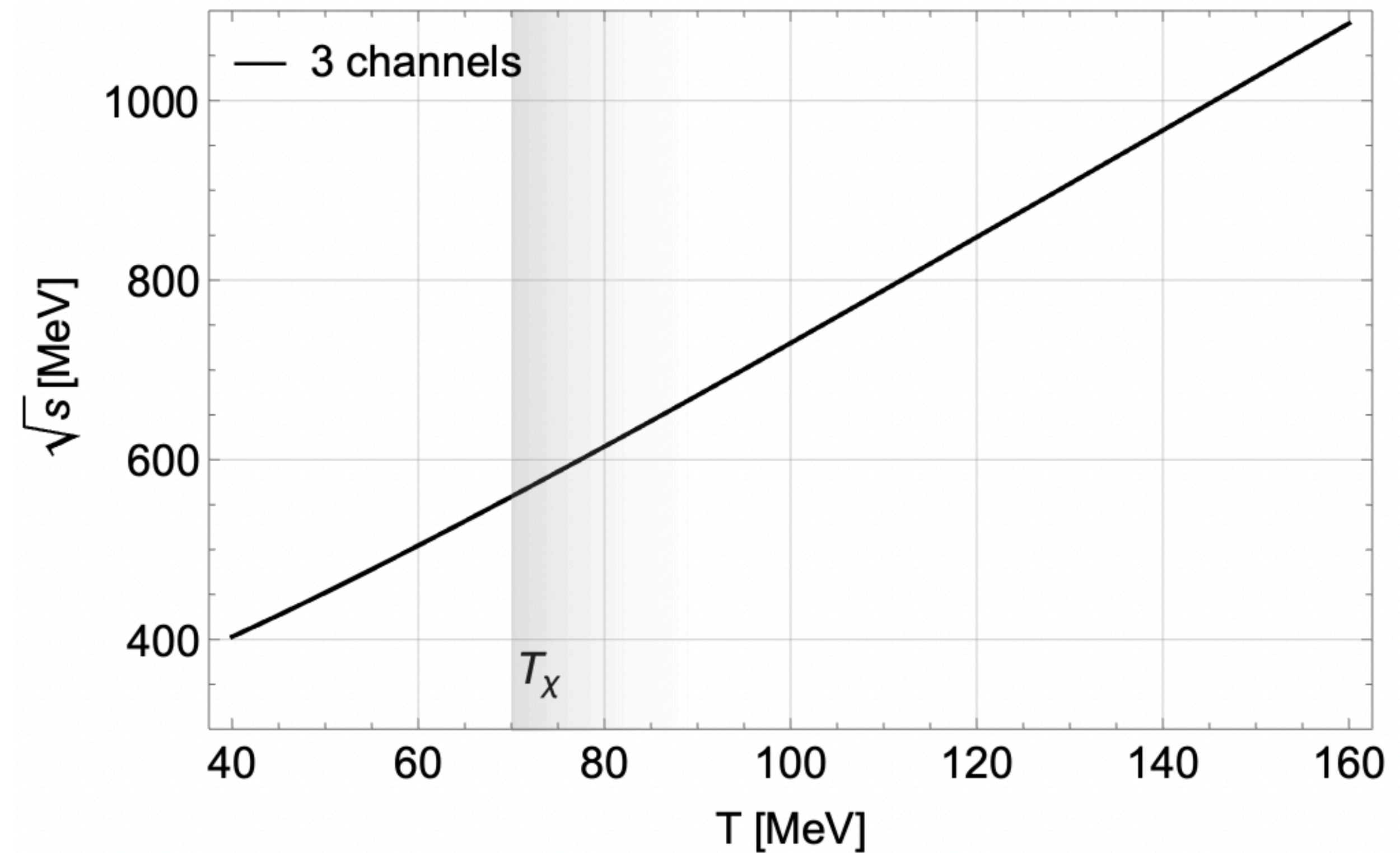
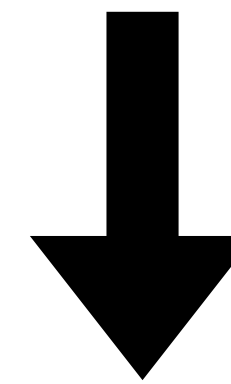
satisfies unitarity

Reproduces simultaneously the low-energy expansion (Padé approx.) and the lightest resonances without including them explicitly in the Lagrangian

Breakdown of ChPT: σ and Γ



◆ Matching the % we get correspondence between \sqrt{s} and T :



ΔN_{eff} , the origins

$$\begin{aligned}\rho &= \rho_\gamma + \rho_\nu + \rho_a \\ &= \rho_\gamma \left[1 + \frac{7}{8} \left(\frac{T_\nu}{T_\gamma} \right)^4 N_{\text{eff}}^{\text{SM}} + \frac{1}{2} \left(\frac{T_a}{T_\gamma} \right)^4 \right] \\ &= \rho_\gamma \left[1 + \frac{7}{8} \left(\frac{T_\nu}{T_\gamma} \right)^4 N_{\text{eff}} \right]\end{aligned}$$

$$\frac{T_a}{T_\nu} = \left(\frac{43}{4g_S(T_D)} \right)^{1/3}$$

$$\Delta N_{\text{eff}} = N_{\text{eff}} - N_{\text{eff}}^{\text{SM}} = \frac{4}{7} \left(\frac{T_a}{T_\nu} \right)^4$$

$$\Delta N_{\text{eff}} = 0.027 \left(\frac{106.75}{g_S(T_D)} \right)^{4/3}$$

Effects of N_{eff} on the CMB

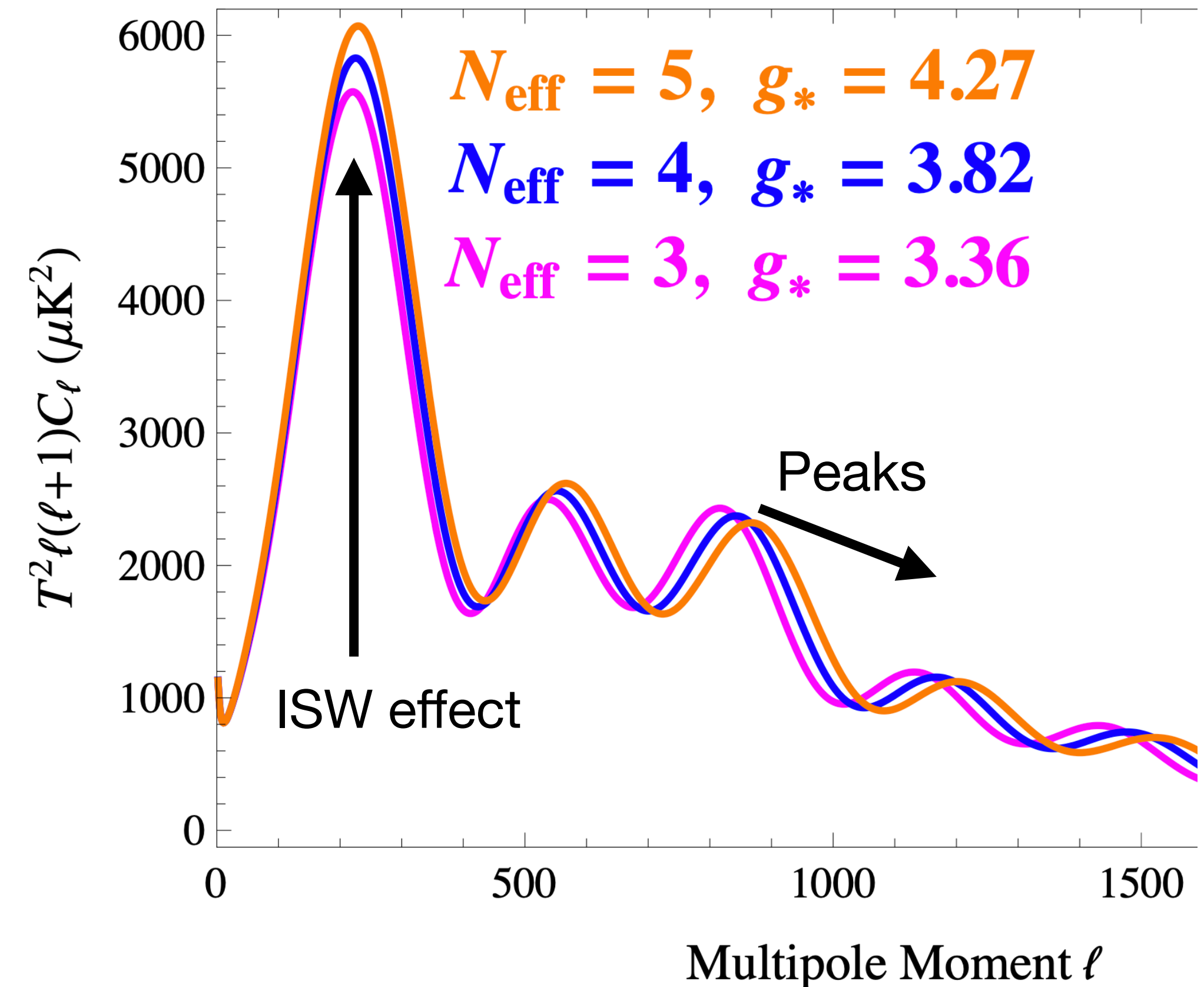
- $N_{\text{eff}} \uparrow \Rightarrow H \uparrow$, time for photons diffusion in the plasma decreases, reducing Silk damping and restricting it to higher ℓ . $\ell_{\text{dump}} \uparrow$
- $H \uparrow$ Acoustic oscillation length scale decreases, increasing the sound horizon. $\ell_{\text{sound}} \uparrow$
- Overall less dumping but more peaks dumped.
 $H \uparrow \Rightarrow \ell_s / \ell_d \uparrow$
- Also, gravitational red/blue shift increased on 1st peak scales (ISW)

[Silk, *Astrophys.J.* 151 (1968)]

[Sachs, Wolfe, *Astrophys. J.* 147 (1967)]

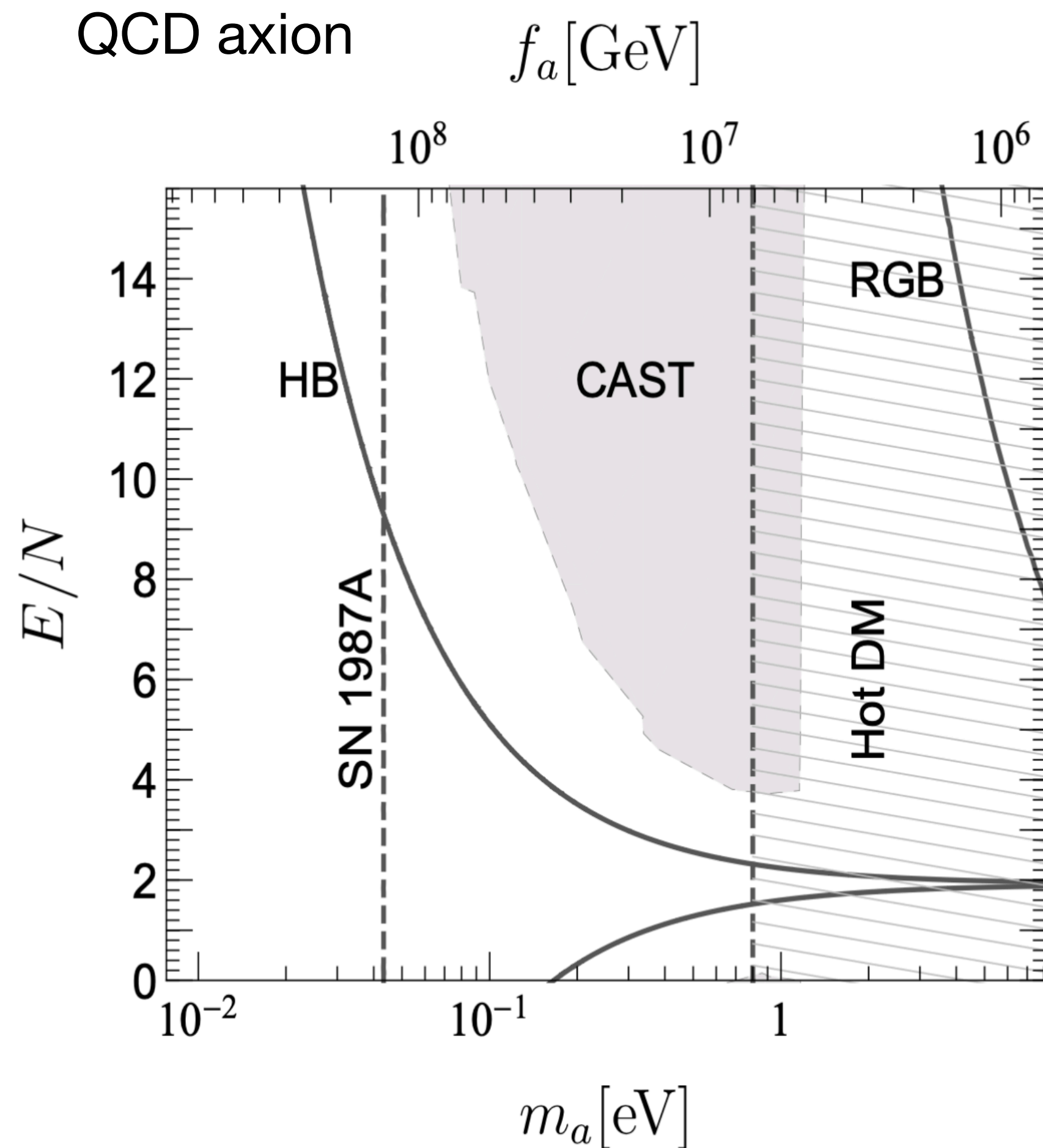
[Bowen, Hansen, Melchiorri, Silk, Trotta, arXiv: astro-ph/0110636]

[Brust, Kaplan, Walters, arXiv:1303.5379]

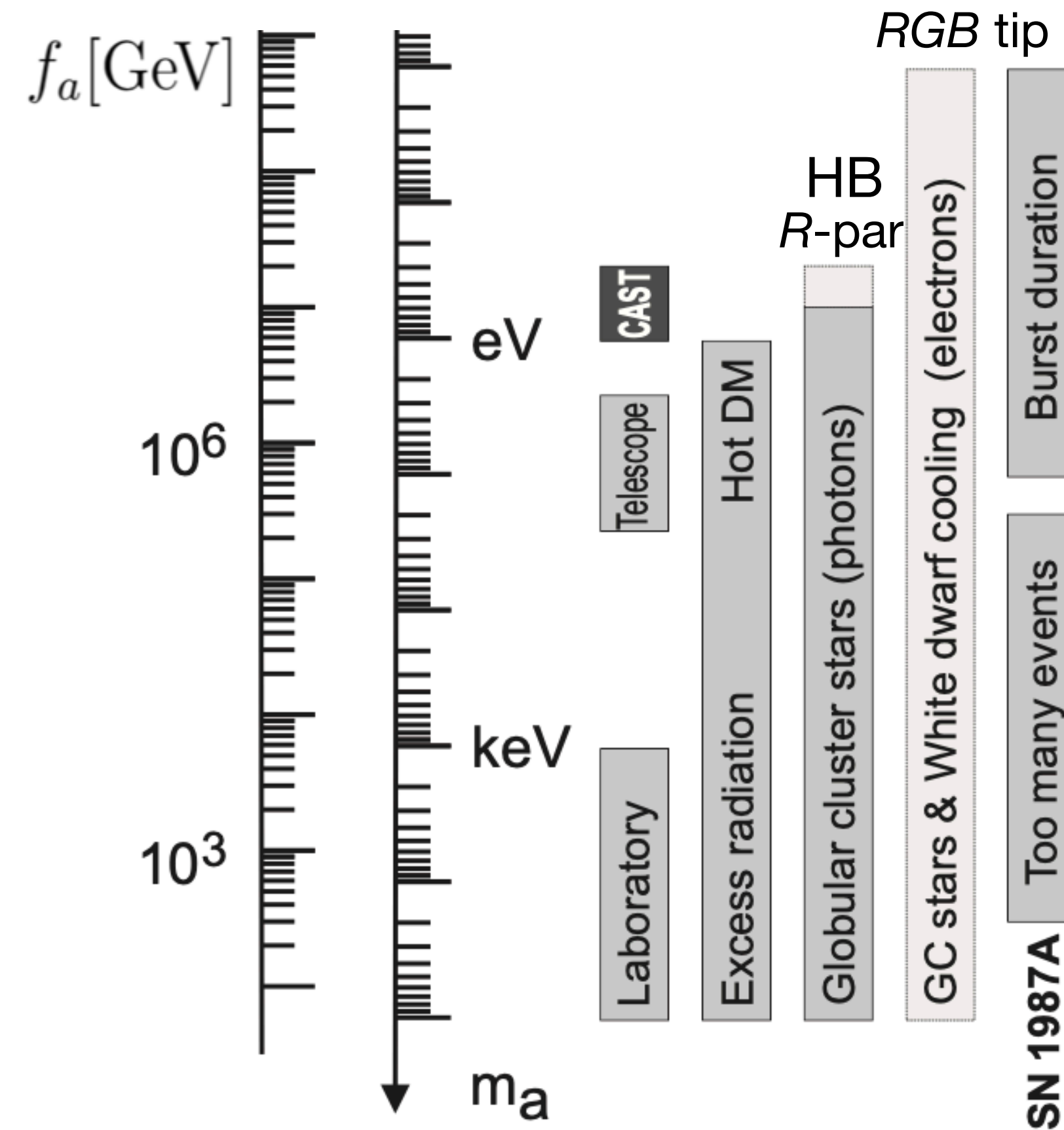


[Brust, Kaplan, Walters, arXiv:1303.5379]

ASTRO Bounds



[Di Luzio et al., Phys. Rept. **870** (2020)]



- $g_{ae}^0 = 0$ in KSVZ models
- SN bound - large astrophysical uncertainties
see e.g. [Bar, Blum, D'Amico, 1907.05020]
- $g_{a\gamma}$ can be accidentally suppressed
[Di Luzio, Mescia, Nardi, 1705.05370]