

# Lattice calculation of the R-ratio smeared with Gaussian kernels

Alessandro De Santis



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*Ne $\Psi$  23* | **NePSi 23**

## Probing the $R$ -ratio on the lattice

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Hadjiyiannakou,<sup>1,2</sup> Bartosz Kostrzewa,<sup>7</sup> Karl Jansen,<sup>8</sup> Vittorio Lubicz,<sup>9</sup> Marcus Petschlies,<sup>6</sup>  
Francesco Sanfilippo,<sup>5</sup> Silvano Simula,<sup>5</sup> Nazario Tantalo,<sup>3</sup> Carsten Urbach,<sup>6</sup> and Urs Wenger<sup>10</sup>  
(Extended Twisted Mass Collaboration (ETMC))

[arXiv:2212.08467](https://arxiv.org/abs/2212.08467)



- Setup and strategy
- Results and current status of the analysis
- Outlook and conclusions

$$a_{\mu}^{\text{HVP,LO}} = \frac{\alpha^2}{3\pi^2} \int_{2m_{\pi}}^{\infty} ds \frac{K(s)}{s} R(s) \quad s = E^2$$

*"There is a **tension** between our result and those obtained by the **R-ratio** method."*

BMW 2020 ([arXiv:2002.12347](#))

*"Our accurate lattice results in the short and intermediate windows point to a possible **deviation** of the  $e^+e^-$  **cross section data** with respect to Standard Model predictions in the low and intermediate energy regions."*

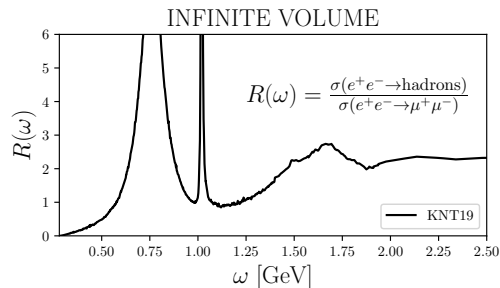
ETMC 2022 ([arXiv:2206.15084v3](#))

*"The tension for the intermediate window between lattice QCD and the dispersive result needs to be addressed in future work. As it stands, this tension may be interpreted as a yet to be understood **new physics contribution** to hadronic  $e^+e^-$  decays."*

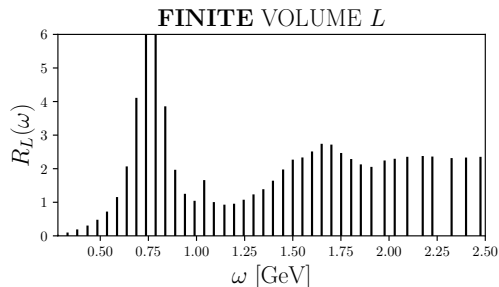
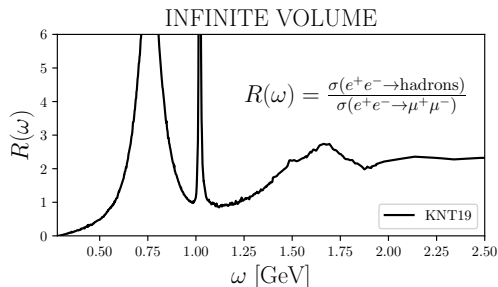
RBC-UKQCD 2023 ([arXiv:2301.08696](#))

**We want to investigate  $R(E)$  on the lattice and not  $a_{\mu}$ .**

$$\underbrace{\left\langle \frac{1}{3} \int d^3 x \sum_i \hat{J}_i(t, \mathbf{x}) \hat{J}_i(0) \right\rangle}_{V_L(t)} = \int_{2m_\pi}^{\infty} d\omega e^{-\omega t} \underbrace{\omega^2 R_L(\omega)}_{\rho_L(\omega)}$$



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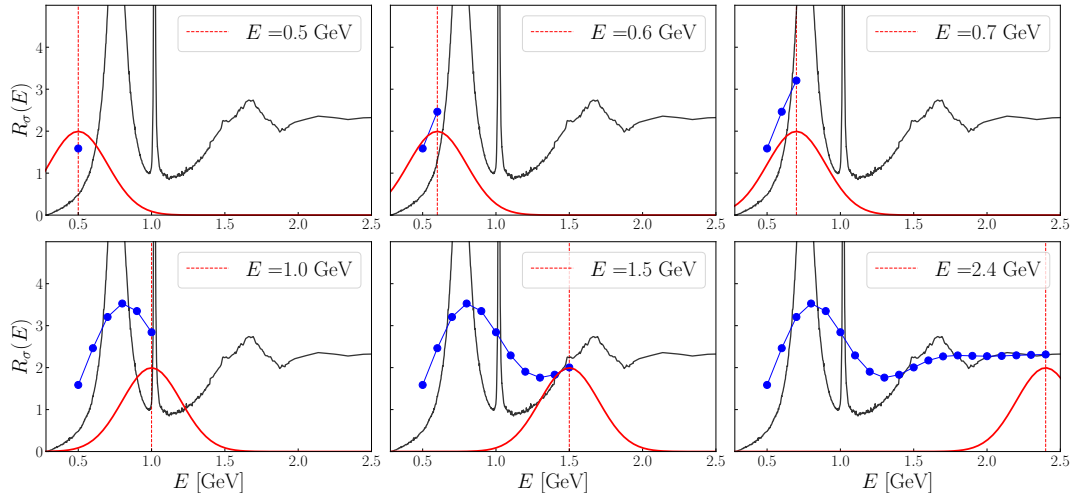


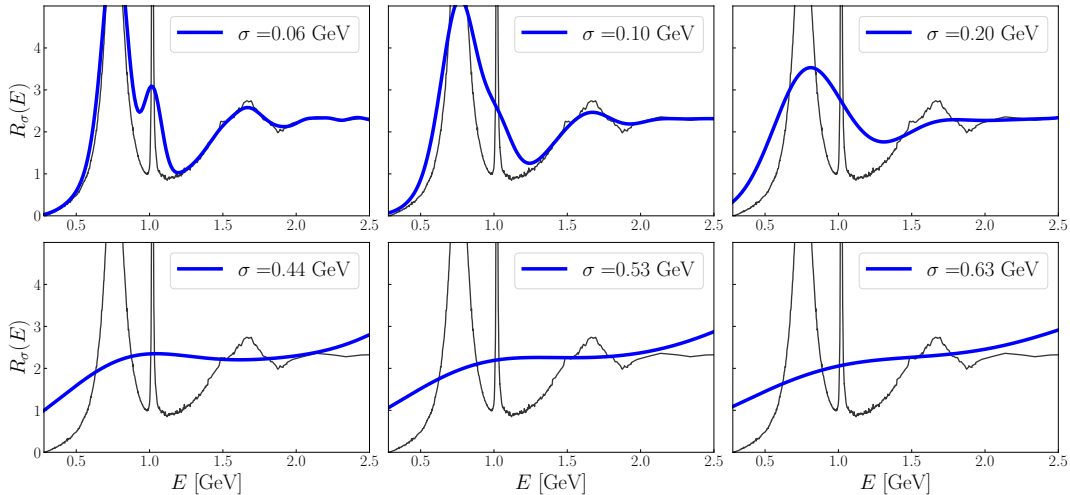
▷  $V_L(t) + \delta V(t)$ ,  $t/a = 1, 2, \dots \mapsto$  **Ill-posed** inverse problem

▷  $\rho_L(\omega) \sim \sum_n c_n \delta(\omega - \omega_n)$  is a **distribution** for  $L < \infty$

Solution: consider a **smeard** spectral density

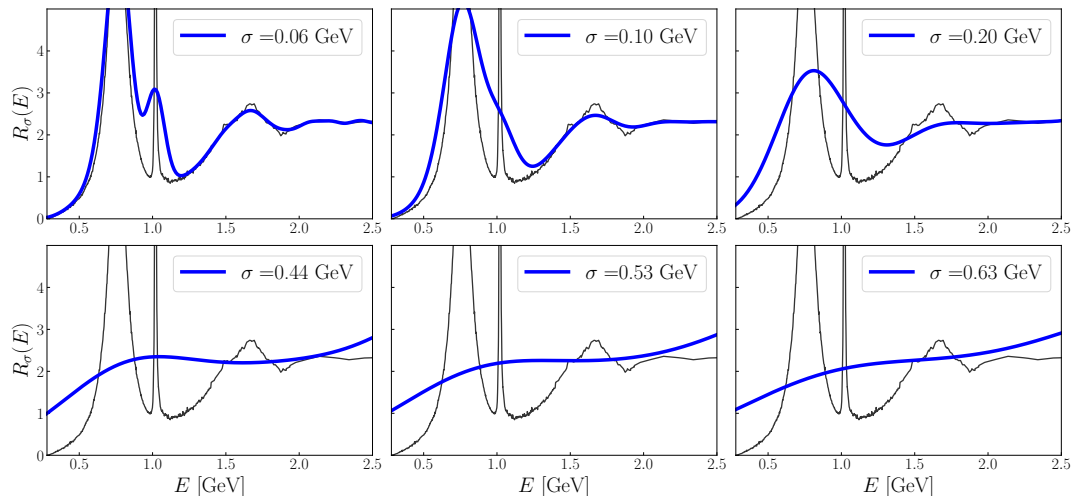
$$R_\sigma(E) = \int_{2m_\pi}^{\infty} d\omega G_\sigma(\omega, E) R(\omega) \quad G_\sigma(\omega, E) = \frac{1}{\sqrt{2\pi}\sigma} \exp\left(-\frac{(E - \omega)^2}{2\sigma^2}\right)$$





The smearing is **well defined** also for **finite volume** spectral densities:

$$R(E) = \lim_{\sigma \rightarrow 0} \lim_{L \rightarrow \infty} R_{L,\sigma}(E)$$



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~~$$R(E) = \lim_{\sigma \rightarrow 0} \lim_{L \rightarrow \infty} R_{L,\sigma}(E)$$~~

Compare  $R_\sigma(E)$  with  $R_\sigma^{\text{exp}}(E)$  at  $\sigma > 0$



$$1) \quad V(t) = \int_{2m\pi}^{\infty} d\omega e^{-\omega t} \omega^2 R(\omega)$$

$$2) \quad R_{\sigma}(E) = \int_{2m\pi}^{\infty} d\omega \frac{G_{\sigma}(E, \omega)}{\omega^2} \omega^2 R(\omega)$$

$$3) \quad \frac{G_{\sigma}(E, \omega)}{\omega^2} \sim \sum_{n=1}^T g_n e^{-\omega t_n}$$

$$4) \quad \Rightarrow R_{\sigma}(E) \sim \sum_{n=1}^T g_n \int_{2m\pi}^{\infty} d\omega e^{-\omega t_n} \omega^2 R(\omega) = \sum_{n=1}^T g_n V(t_n)$$

**HLT** approach: M. Hansen, A. Lupo, N. Tantalo [HLT \(arXiv:1903.06476\)](#), variation of Backus-Gilbert method  
Extensively investigated in [arXiv:2111.12774](#)

- Choose the  $\mathbf{g}$  coefficients minimizing

$$W[\lambda, \mathbf{g}] = (1 - \lambda)A[\mathbf{g}] + \lambda B[\mathbf{g}]$$

- Accuracy of the reconstructed kernel

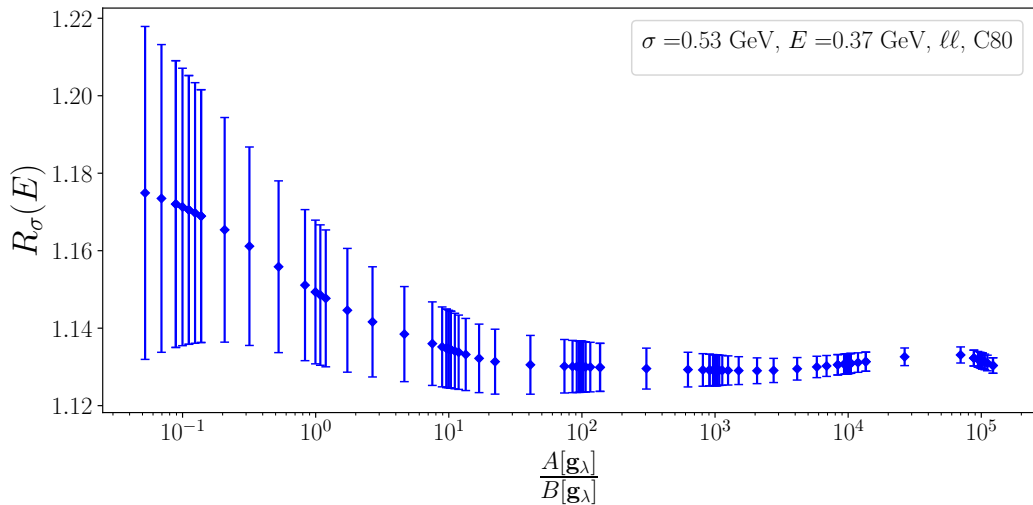
$$A[\mathbf{g}] = \frac{\int_{2m_\pi}^{\infty} d\omega \left\{ \frac{G_\sigma(\omega, E)}{\omega^2} - \sum_{n=1}^T g_n e^{-\omega t_n} \right\}^2 \cdot e^{\alpha\omega}}{\int_{2m_\pi}^{\infty} d\omega \left\{ \frac{G_\sigma(\omega, E)}{\omega^2} \right\}^2 \cdot e^{\alpha\omega}} \quad \alpha = 2^-$$

- Suppression of the statistical error

$$B[\mathbf{g}] \propto \mathbf{g}^T \cdot \hat{\text{COV}}[V(t)] \cdot \mathbf{g} \equiv \sigma_{R_\sigma}^2$$

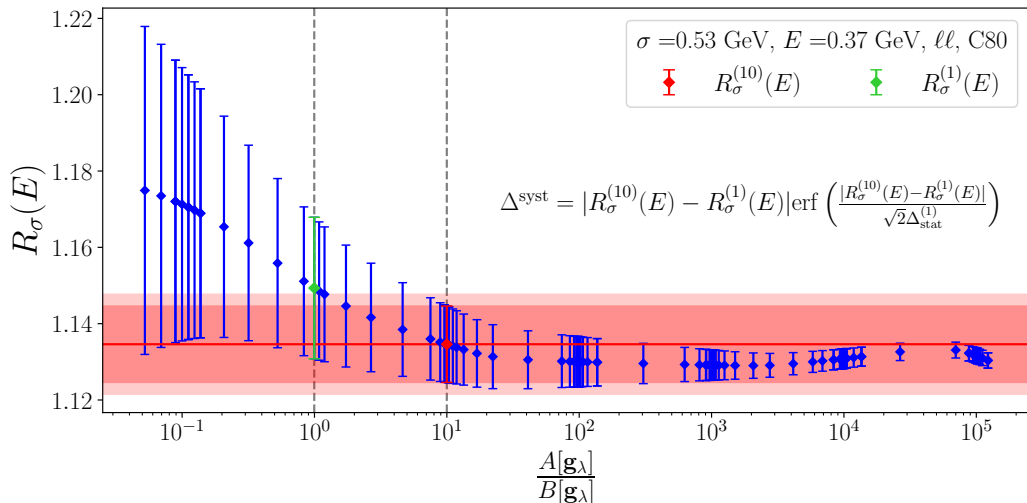
We look for stability w.r.t. changing of relative functional magnitudes

$$W[\lambda, g] = (1 - \lambda)A[g] + \lambda B[g] \quad \rightarrow \quad g_\lambda$$



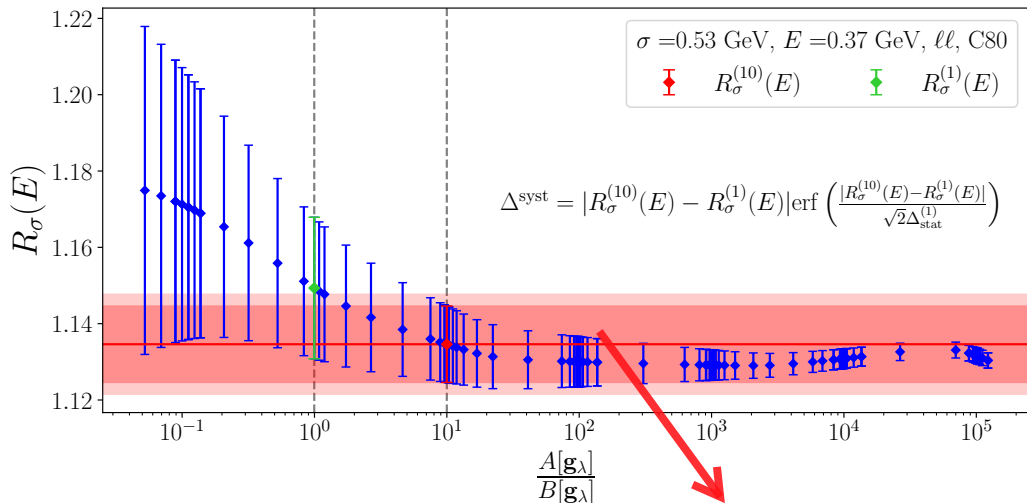
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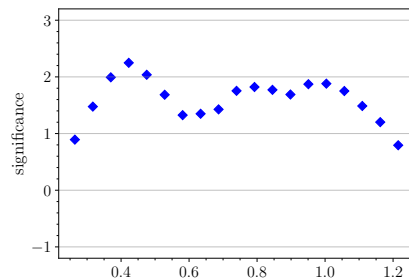
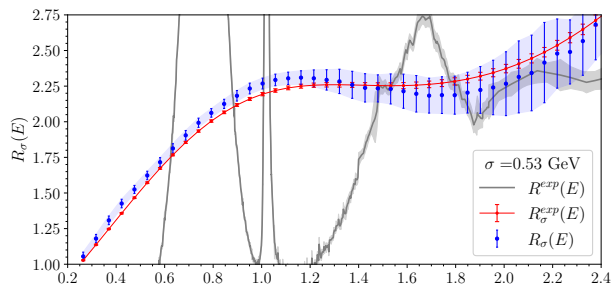
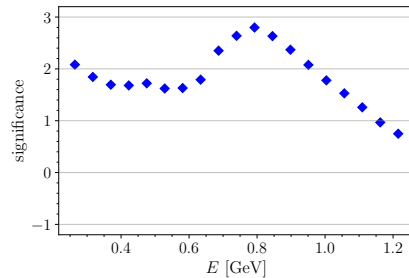
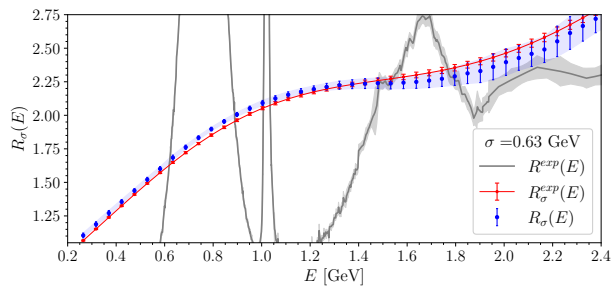
**CONSERVATIVE ERROR ESTIMATION**

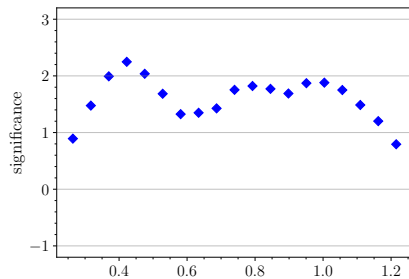
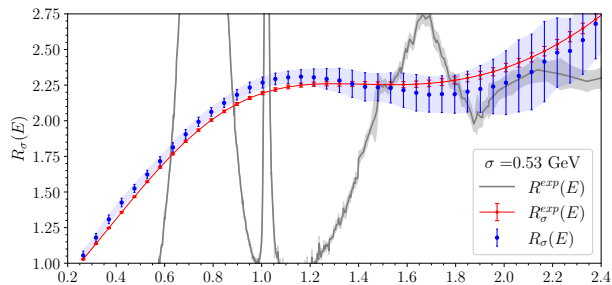
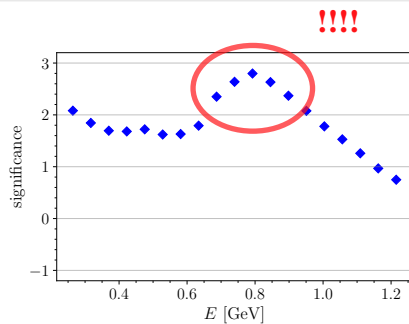
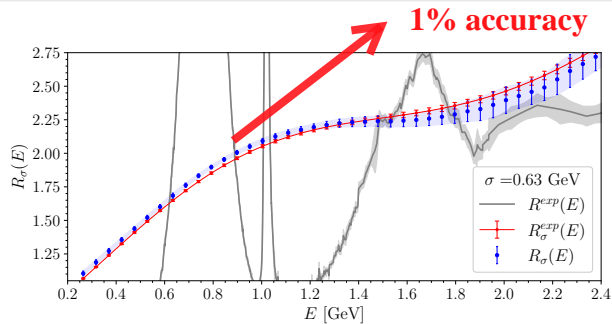
([arXiv:2206.15084](https://arxiv.org/abs/2206.15084))  $N_f = 2 + 1 + 1$  employed ensembles:

ensemble	$L^3 \cdot T$	$a$ (fm)	$L$ (fm)	$M_\pi$ (MeV)	$\beta$
B64	$64^3 \cdot 128$	0.07961(13)	5.09	135.2(2)	1.778
B96	$96^3 \cdot 192$	0.07961(13)	7.64	135.2(2)	1.778
C80	$80^3 \cdot 160$	0.06821(12)	5.46	134.9(3)	1.836
D96	$96^3 \cdot 192$	0.05692(10)	5.46	135.1(3)	1.900

The analysis includes:

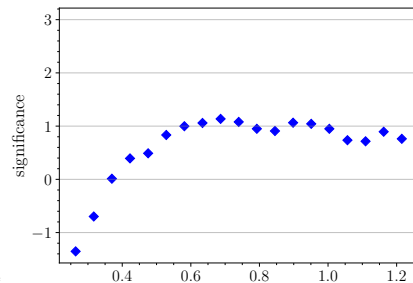
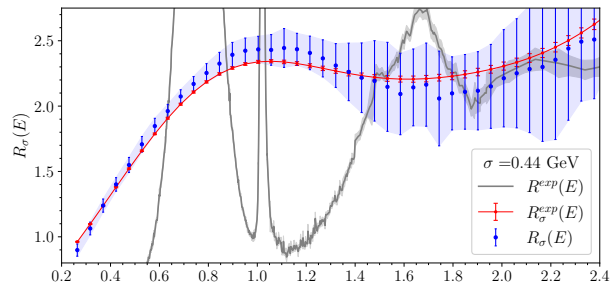
- Procedure applied to  $\sigma = \{0.63, 0.53, 0.44\}$  GeV and  $E \in [0.25, 2.4]$  GeV  $\mapsto \Delta_\sigma^{\text{rec}}(E)$
- **Both connected and disconnected** contributions to  $V(t)$
- Two regularizations considered (Twisted-Mass and Osterwalder-Seiler)
- Constrained and unconstrained linear **continuum extrapolation**  $\mapsto \Delta_\sigma^a(E)$
- **Data-driven finite volume effects** estimate  $\mapsto \Delta_\sigma^L(E)$



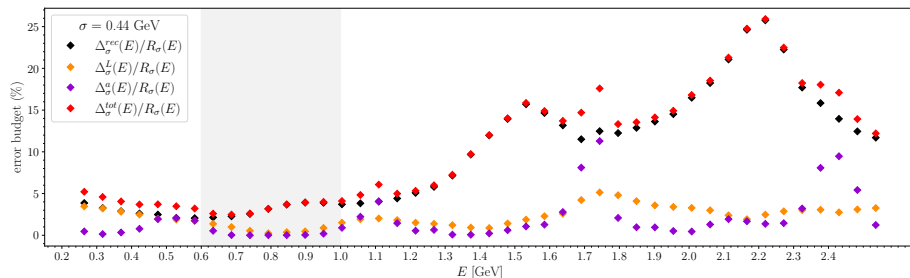




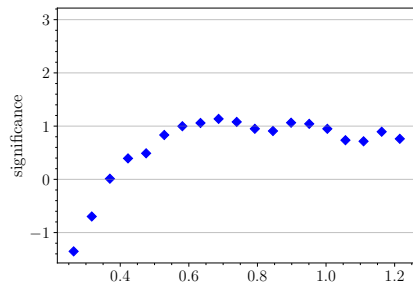
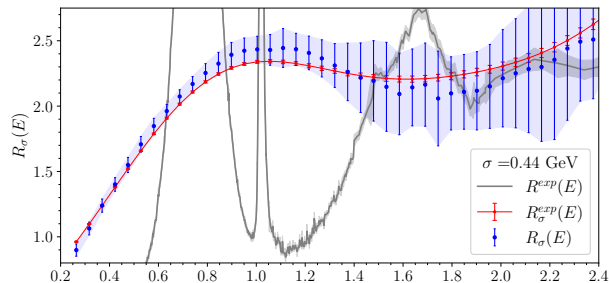
# Future perspectives - reduction of the error



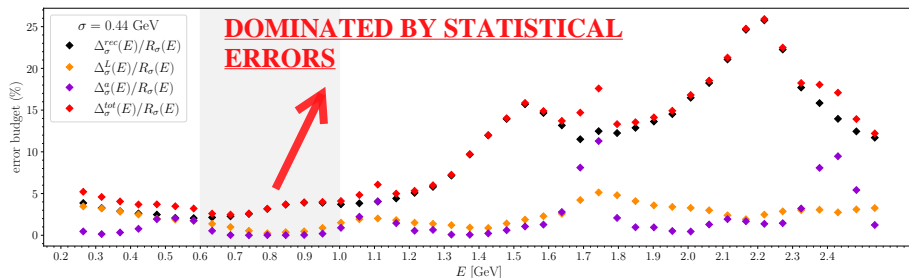
The overall error increases when  $\sigma$  gets smaller. Error budget:



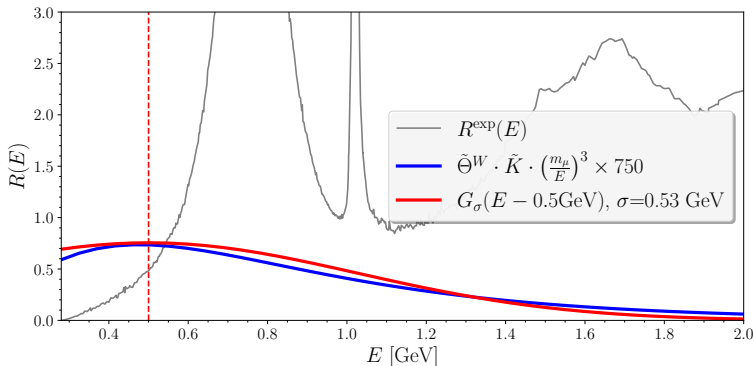
# Future perspectives - reduction of the error



The overall error increases when  $\sigma$  gets smaller. Error budget:



- Gaussian kernels are not much different from the kernel providing  $a_\mu^W \rightarrow a_\mu^W(\text{IB}) \sim 0.2\%$
- However  $\frac{R_\sigma(E)}{R_\sigma^{\text{exp}}(E)} - 1 \sim \mathcal{O}(5\%)$  at  $E = 0.5 \text{ GeV}$



Isospin-breaking effects may become relevant when increasing the resolution in the energy (decreasing  $\sigma$ )

**Isospin-breaking effects will be computed from first principles**

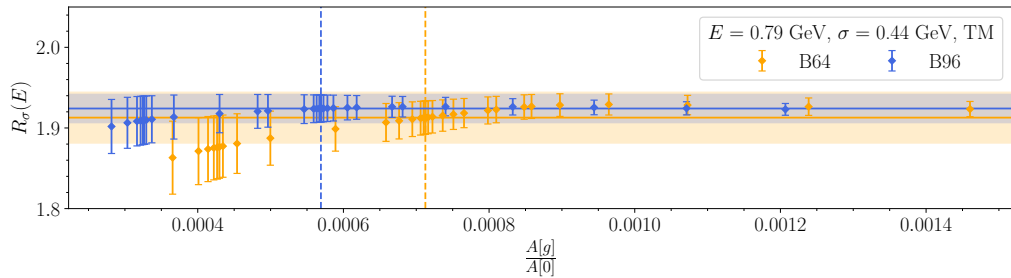
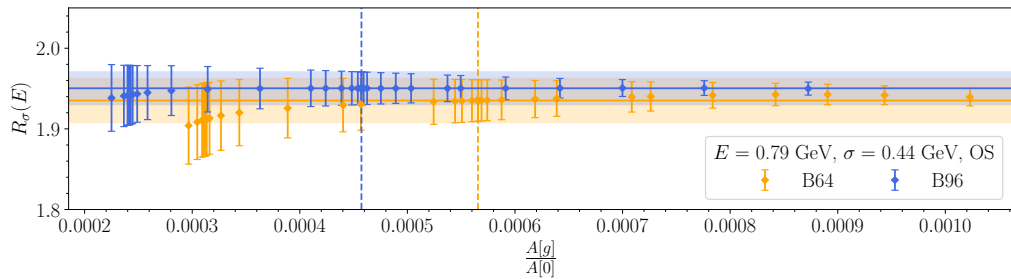
- ▷ Finite volume spectral densities require a **regulator**  $\mapsto$  **smearing**
- ▷ The **comparison** between theoretical and experimental results can be done **at fixed regulator**
- ▷ Smeared spectral densities can be calculated on the lattice through **spectral reconstruction techniques** (HLT)
- ▷ We calculated  $R_\sigma(E)$  for  $\sigma = 0.44, 0.53, 0.63$  GeV and achieved 1% **accuracy** around  $E = 0.8$  GeV
- ▷ Our result is in tension with the experimental one at the level of **2-3 standard deviations** around 0.8 GeV
- ▷ This tension was expected from  $a_\mu^{\text{W}}$  calculation but it is **not yet understood**
- ▷ Reduce the error on the theoretical side and solve the tension among  $e^+e^-$  experiments will hopefully clarify the situation
- ▷ The study of observables related to  $R(E)$  and **localized in the energy** could provide a valuable probe to unravel new physics BSM.

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**Thank you for the attention!**

**Backup slides**

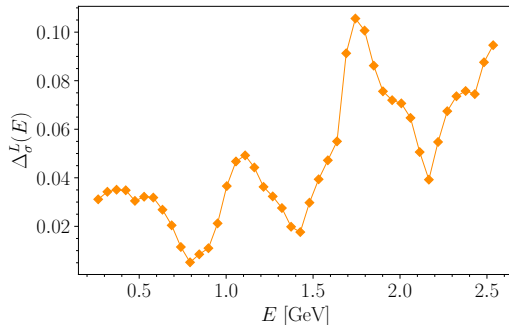
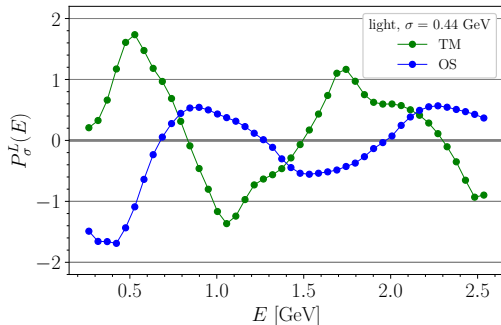
# Finite volume effects



**Data-drive estimation of finite volume effects** (reg=TM/OS)

$$P_{\sigma,\text{reg}}^L(E) = \frac{R_{\text{reg}}(B96) - R_{\text{reg}}(B64)}{\sqrt{\Delta_{\text{reg}}^{\text{rec}}(B96)^2 + \Delta_{\text{reg}}^{\text{rec}}(B64)^2}}$$

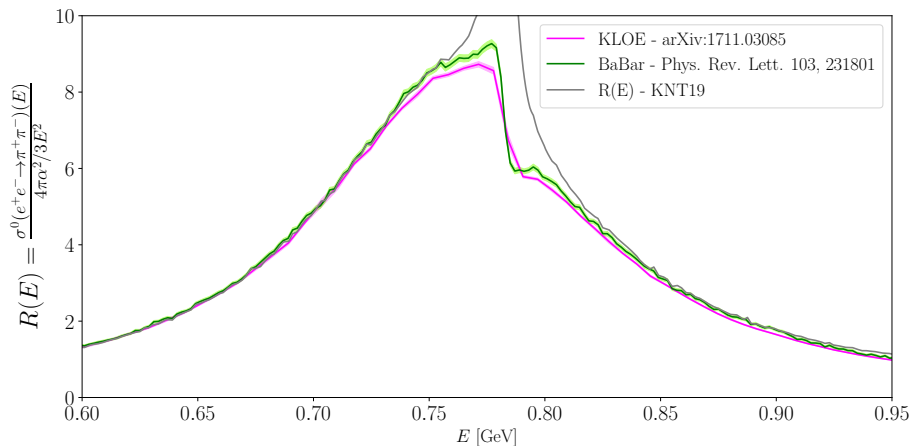
$$\Delta_{\sigma}^L(E) = \max_{\text{reg}} |R_{\text{reg}}(B96) - R_{\text{reg}}(B64)| \text{erf} \left( \frac{P_{\sigma,\text{reg}}^L(E)}{\sqrt{2}} \right)$$





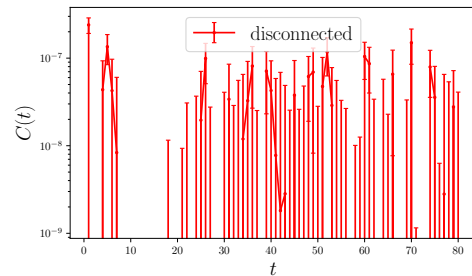
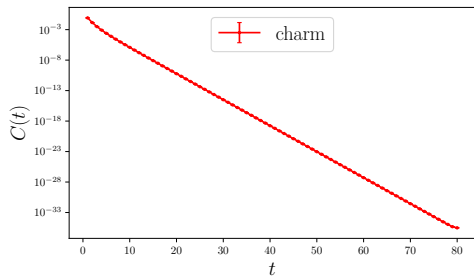
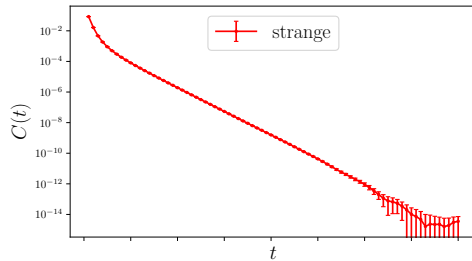
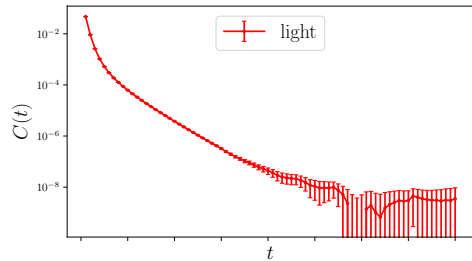
More than 30 exclusive channels in low energy region (table from KNT19)

Channel	$a_e^{\text{had, LO VP}} \times 10^{14}$	$a_\mu^{\text{had, LO VP}} \times 10^{10}$	$a_\tau^{\text{had, LO VP}} \times 10^8$	$\Delta\alpha_{\text{had}}^{(5)}(M_Z^2) \times 10^4$	$\Delta\nu_{\text{Mu}}^{\text{had, VP}} \text{ (Hz)}$
Chiral perturbation theory (ChPT) threshold contributions					
$\pi^0\gamma$	$0.04 \pm 0.00$	$0.12 \pm 0.01$	$0.03 \pm 0.00$	$0.00 \pm 0.00$	$0.04 \pm 0.00$
$\pi^+\pi^-$	$0.31 \pm 0.01$	$0.87 \pm 0.02$	$0.11 \pm 0.00$	$0.01 \pm 0.00$	$0.25 \pm 0.01$
$\pi^+\pi^-\pi^0$	$0.00 \pm 0.00$	$0.01 \pm 0.00$	$0.00 \pm 0.00$	$0.00 \pm 0.00$	$0.00 \pm 0.00$
$\eta\gamma$	$0.00 \pm 0.00$	$0.00 \pm 0.00$	$0.00 \pm 0.00$	$0.00 \pm 0.00$	$0.00 \pm 0.00$
Exclusive channels ( $\sqrt{s} \leq 1.937 \text{ GeV}$ )					
$\pi^0\gamma$	$1.19 \pm 0.03$	$4.46 \pm 0.10$	$1.75 \pm 0.04$	$0.36 \pm 0.01$	$1.45 \pm 0.03$
$\pi^+\pi^-$	$138.59 \pm 0.54$	$503.46 \pm 1.91$	$172.84 \pm 0.61$	$34.29 \pm 0.12$	$159.64 \pm 0.60$
$\pi^+\pi^-\pi^0$	$12.29 \pm 0.25$	$46.73 \pm 0.94$	$20.47 \pm 0.39$	$4.69 \pm 0.09$	$15.48 \pm 0.31$
$\pi^+\pi^-\pi^+\pi^-$	$3.67 \pm 0.05$	$14.87 \pm 0.20$	$11.50 \pm 0.16$	$4.02 \pm 0.05$	$5.58 \pm 0.08$
$\pi^+\pi^-\pi^0\pi^0$	$4.80 \pm 0.19$	$19.39 \pm 0.78$	$14.56 \pm 0.58$	$5.00 \pm 0.20$	$7.22 \pm 0.29$
$(2\pi^+2\pi^-\pi^0)_{\text{no } \eta\omega}$	$0.24 \pm 0.02$	$0.98 \pm 0.09$	$0.84 \pm 0.08$	$0.32 \pm 0.03$	$0.38 \pm 0.03$
$(\pi^+\pi^-3\pi^0)_{\text{no } \eta}$	$0.15 \pm 0.03$	$0.62 \pm 0.11$	$0.54 \pm 0.10$	$0.21 \pm 0.04$	$0.24 \pm 0.04$
$(3\pi^+3\pi^-)_{\text{no } \omega}$	$0.06 \pm 0.00$	$0.23 \pm 0.01$	$0.21 \pm 0.01$	$0.09 \pm 0.01$	$0.09 \pm 0.01$
$(2\pi^+2\pi^-2\pi^0)_{\text{no } \eta}$	$0.33 \pm 0.04$	$1.35 \pm 0.17$	$1.24 \pm 0.15$	$0.51 \pm 0.06$	$0.53 \pm 0.07$
$(\pi^+\pi^-4\pi^0)_{\text{no } \eta}$	$0.05 \pm 0.05$	$0.21 \pm 0.21$	$0.19 \pm 0.19$	$0.08 \pm 0.08$	$0.08 \pm 0.08$
$(3\pi^+3\pi^-\pi^0)_{\text{no } \eta\omega}$	$0.00 \pm 0.00$	$0.00 \pm 0.00$	$0.00 \pm 0.00$	$0.00 \pm 0.00$	$0.00 \pm 0.00$
$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\vdots$
$\text{I}(4S)$	$0.00 \pm 0.00$	$0.01 \pm 0.00$	$0.02 \pm 0.00$	$0.10 \pm 0.01$	$0.00 \pm 0.00$
pQCD ( $\sqrt{s} > 11.199 \text{ GeV}$ )	$0.48 \pm 0.00$	$2.07 \pm 0.00$	$5.33 \pm 0.00$	$124.79 \pm 0.09$	$1.34 \pm 0.00$
Total ( $< \infty \text{ GeV}$ )	$186.08 \pm 0.66$	$692.78 \pm 2.42$	$332.81 \pm 1.39$	$276.09 \pm 1.12$	$232.04 \pm 0.82$



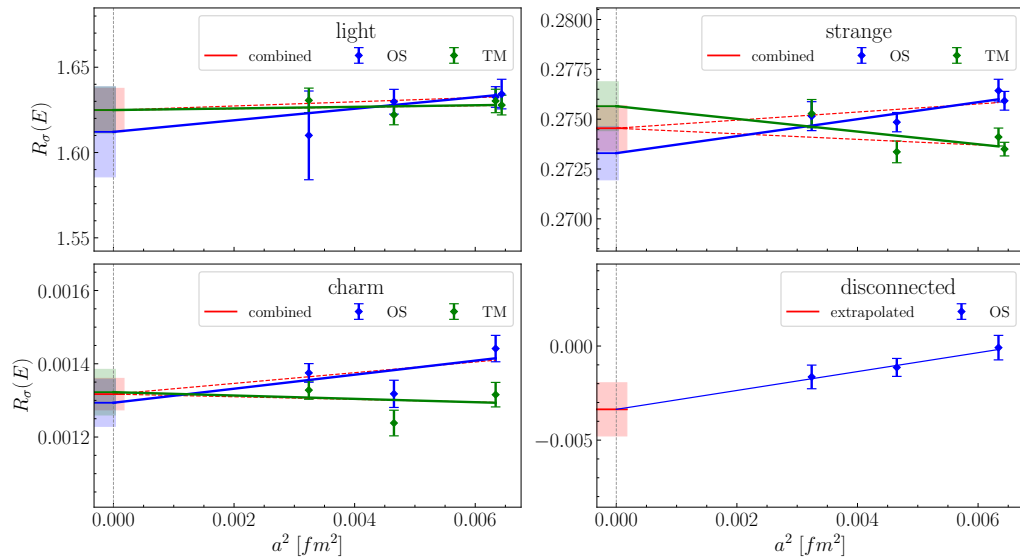
- Our results seem to prefer BaBar data...
- ... but this experimental discrepancy seems not to be enough to explain our observed tension

## Correlators in the C80 ensemble



$$C(t) = C^{\text{light}}(t) + C^{\text{strange}}(t) + C^{\text{charm}}(t) + C^{\text{disconnected}}(t)$$

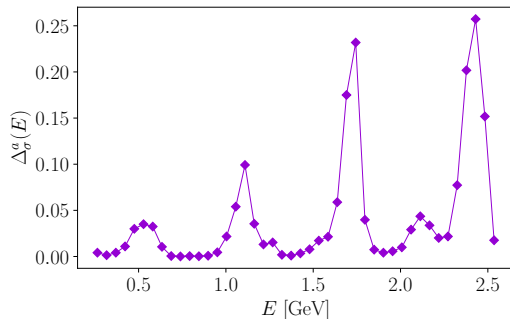
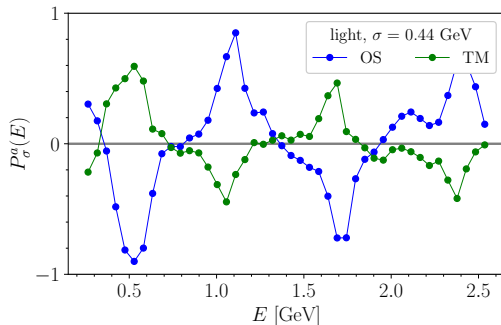
Linear constrained and unconstrained ansatz,  $E = 0.79$  GeV,  $\sigma = 0.63$  GeV



Data-drive estimation of error associated with continuum extrapolation

$$P_{\sigma,\text{reg}}(E) = \frac{R^{\text{comb}} - R^{\text{reg}}}{\sqrt{\Delta_{\text{comb}}^2 + \Delta_{\text{reg}}^2}}$$

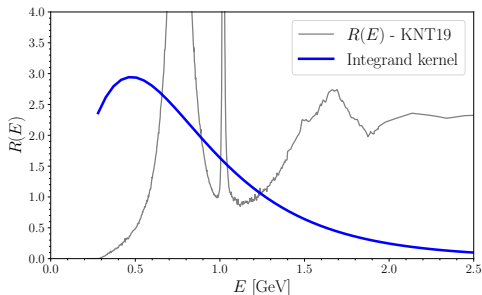
$$\Delta_{\sigma}^a(E) = \max_{\text{reg}} |R^{\text{comb}} - R^{\text{reg}}| \text{erf} \left( \frac{P_{\sigma,\text{reg}}^a(E)}{\sqrt{2}} \right)$$



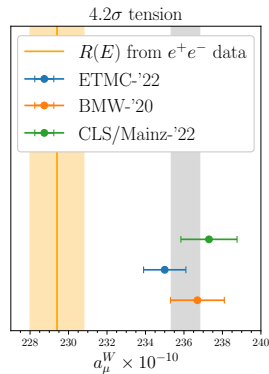
## Did we expect such result?

Some hints already come from the intermediate-window observable (RBC/UKQCD)

$$a_{\mu}^{W,\text{disp.}} \propto \int_{E_{\text{thr}}}^{\infty} dE \left( \frac{m_{\mu}}{E} \right)^3 \tilde{K} \left( \frac{E}{m_{\mu}} \right) \tilde{\Theta}^W(E) R(E) = 229.51(87)$$

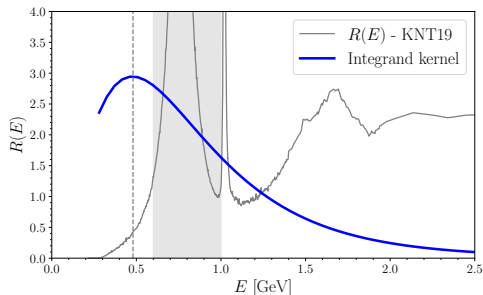


From LQCD:



The tension on  $a_{\mu}^W$  arises from the low energy region of  $R(E)$

Isospin-Breaking Effects are responsible for the  $\rho - \omega$  mixing around 0.8 GeV but ..



BMW 2020, Lattice calculation

$$a_\mu^W = 236.7(1.4)$$

$$a_\mu^W (IB) = 0.43(4) \sim 0.2\%$$

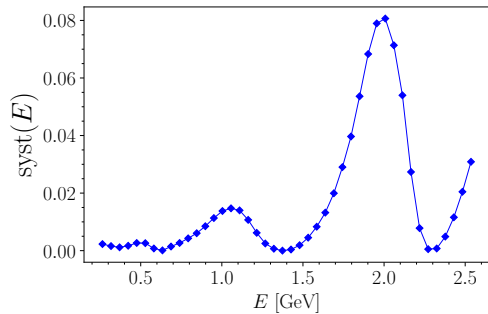
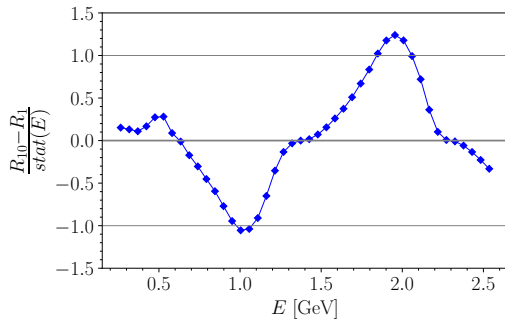
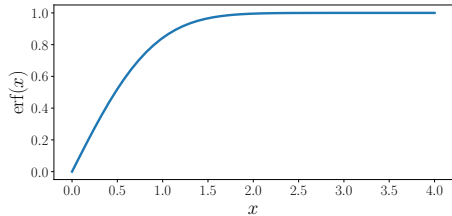
$$\frac{R_\sigma(E) - R_\sigma^{\text{exp}}(E)}{R_\sigma^{\text{exp}}(E)} \sim 2.5\% \quad \text{for } E \text{ in } [0.6, 1]\text{GeV}$$

$$\frac{a_\mu^W - a_\mu^{W,\text{disp.}}}{a_\mu^{W,\text{disp.}}} \sim 3\%$$

- The Window kernel is slowly decreasing
- But also our Gaussian kernels are still quite broad... potentially large local IB effects are smeared out
- Anyway electromagnetic effects must be computed from first principles

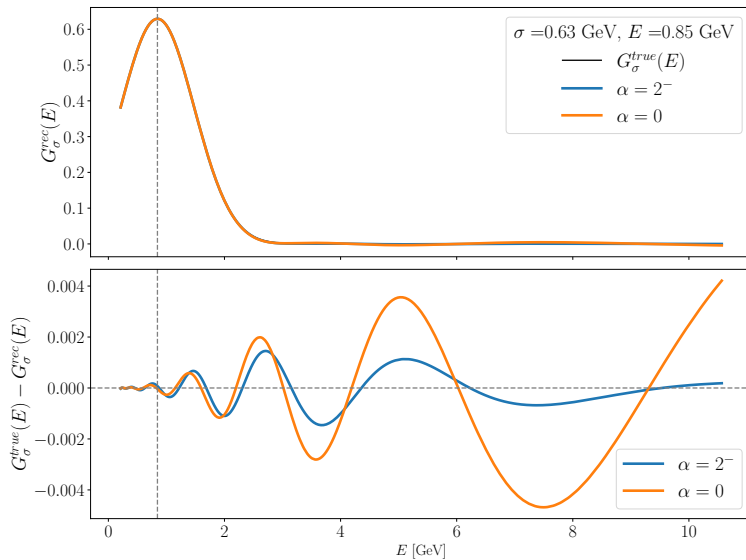
## Error associated with the reconstruction

$$\text{syst}(E) = |R_{10} - R_1| \text{erf} \left( \frac{|R_{10} - R_1|}{\text{stat}(E)\sqrt{2}} \right)$$

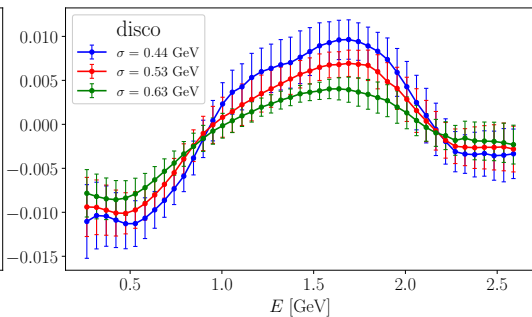
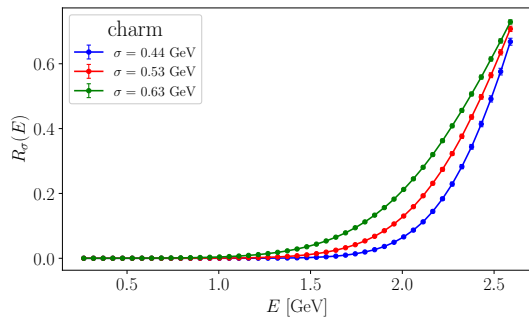
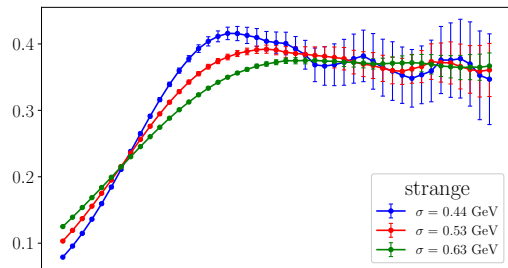
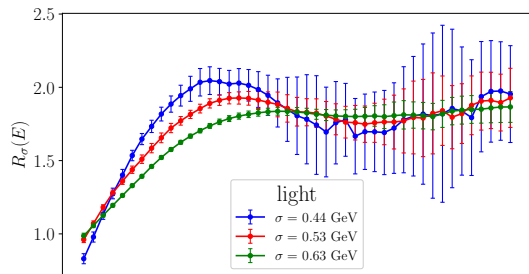




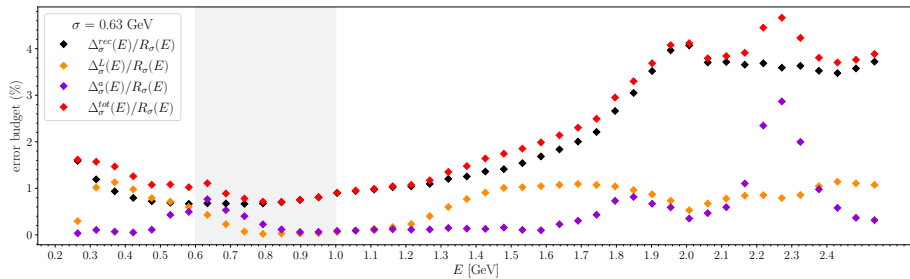
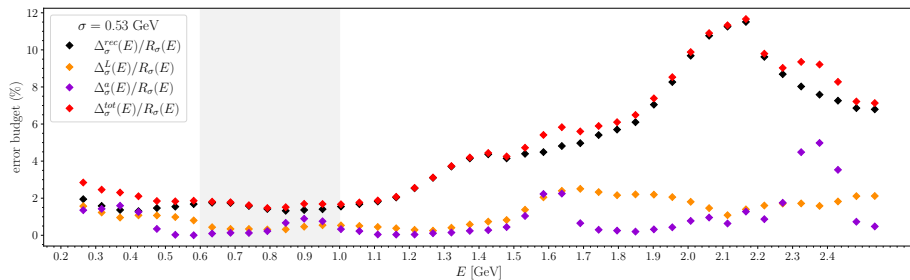
# Reconstructed kernels



# Final results (separated by flavour)



# Error budget



# Backup: the functional $A_\alpha[g]$

$$W_\lambda[g] = (1 - \lambda) \frac{A_\alpha[g]}{A_\alpha[0]} + \lambda B[g] \quad K^{\text{rec}}(\omega, E) = \sum_{\tau=1}^{\tau_{\max}} g_\tau (K, E, \dots) e^{-\tau\omega}$$

where

$$A_\alpha[g] = \int_{E_0}^{\infty} d\omega \left\{ K^{\text{true}}(\omega, E) - K^{\text{rec}}(\omega, E) \right\}^2 e^{\alpha\omega} = \mathbf{g}^T \cdot \hat{\mathbf{A}} \mathbf{g} - 2 \mathbf{g}^T \cdot \mathbf{f} + A_\alpha[0]$$

$$\rho[K]^{\text{true}}(E) - \rho[K]^{\text{rec}}(E) = \int_{E_0}^{\infty} d\omega \rho(\omega) [K^{\text{true}}(\omega, E) - K^{\text{rec}}(\omega, E)]$$

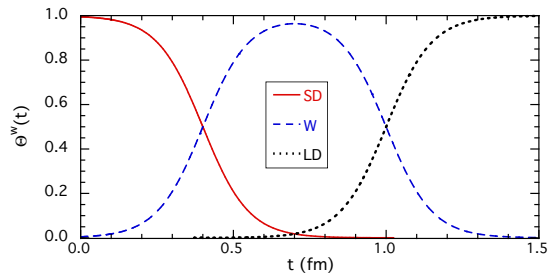
- $\rho(\omega)$  in general increases as a power of the energy (Axiomatic QFT)
- $[K(\omega, E) - K^{\text{rec}}(\omega, E)]$  is forced to decrease exponentially thanks to  $e^{\alpha\omega}$  with  $\alpha > 0$

$$\mathbf{g}^T \cdot \hat{\mathbf{A}} \mathbf{g} = \sum_{\tau_1, \tau_2=1}^{\tau_{\max}} g_{\tau_1} g_{\tau_2} \frac{e^{\omega(\alpha - \tau_1 - \tau_2)}}{\alpha - \tau_1 - \tau_2} \Big|_{E_0}^{\infty} \quad \text{convergent if } \alpha < \tau_1 + \tau_2 < 2$$

$$-2 \mathbf{g}^T \cdot \mathbf{f} = \sum_{\tau=1}^{\tau_{\max}} g_\tau \int_{E_0}^{\infty} d\omega e^{\omega(\alpha - \tau)} K(\omega, E)$$

As a measure of the reconstruction accuracy we consider

$$\frac{A_0[g_{\alpha, \lambda}]}{A_0[0]} = \frac{\int_{E_0}^{\infty} d\omega \left\{ K(\omega, E) - \mathbf{g}_{\alpha, \lambda} \cdot \mathbf{b} \right\}^2}{\int_{E_0}^{\infty} d\omega \left\{ K(\omega, E) \right\}^2} \quad B[g] = \sum_{\tau, \tau'=1}^{\tau_{\max}} g_\tau \frac{\text{Cov}(C(\tau), C(\tau'))}{C(1)^2} g_{\tau'} E^{-2}[g]$$



$$a_\mu^w = 2\alpha_{\text{em}}^2 \int_0^\infty dt t^2 K(m_\mu t) \Theta^w(t) C(t) \quad a_\mu^{\text{HVP}} = a_\mu^{\text{SD}} + a_\mu^{\text{W}} + a_\mu^{\text{LD}}$$

$$\begin{aligned} \Theta^{\text{SD}}(t) &= 1 - \frac{1}{1 + e^{-2(t-t_0)/\Delta}} \\ \Theta^{\text{W}}(t) &= \frac{1}{1 + e^{-2(t-t_0)/\Delta}} - \frac{1}{1 + e^{-2(t-t_1)/\Delta}} \\ \Theta^{\text{LD}}(t) &= 1 - \frac{1}{1 + e^{-2(t-t_1)/\Delta}} \end{aligned}$$

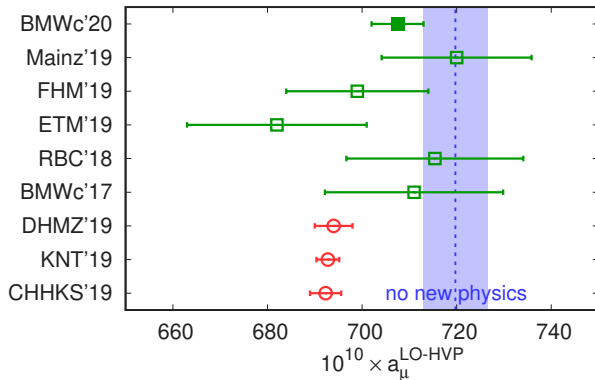
$$t_0 = 0.4 \text{ fm}, t_1 = 1 \text{ fm}, \Delta = 0.15 \text{ fm} \text{ (arXiv:1801.07224)}$$

From experimental **R-ratio**

$$a_\mu^{\text{HVP}} \propto \alpha_{\text{em}}^2 \int_0^\infty \frac{d\omega}{\omega^3} \tilde{K}(\omega) R(\omega)$$

From **lattice QCD**

$$a_\mu^{\text{HVP}} \propto \alpha_{\text{em}}^2 \int_0^\infty dt t^2 K(t) C(t)$$



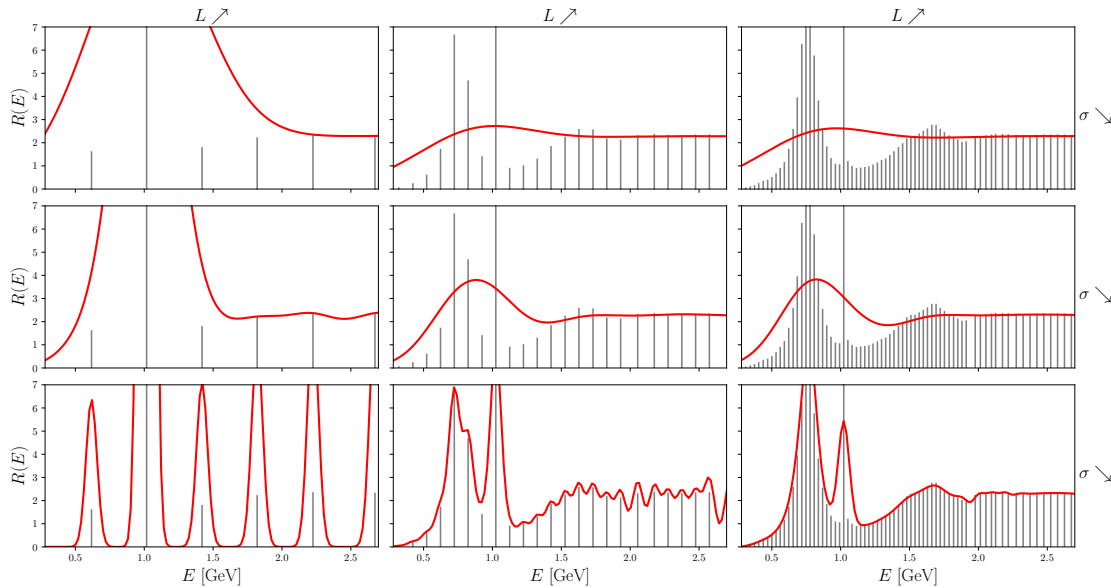
Why  $a_\mu^{\text{HVP}}(\text{R-ratio}) \neq a_\mu^{\text{HVP}}(\text{lattice QCD})$ ?

*The anomalous magnetic moment of the muon in the Standard Model* ([arXiv:2006.04822](#))

Contribution	value $\times 10^{10}$
Experiment (E821)	11 659 208.9(6.3) (0.54 ppm)
QED	11 658 471.893(10)
Electroweak	15.4(0.1)
<a href="#">Hadronic VP</a> (from $R$ -ratio)	<a href="#">684.5(4.0)</a>
HLbL	9.2(1.8)
Total SM	11 659 181.0(4.3)
$a_{\mu}^{\text{exp}} - a_{\mu}^{\text{SM}}$	27.9(7.6) ( $\sim 3 \sigma$ tension)

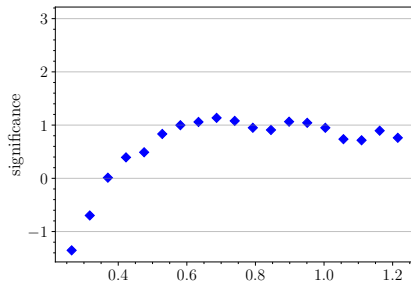
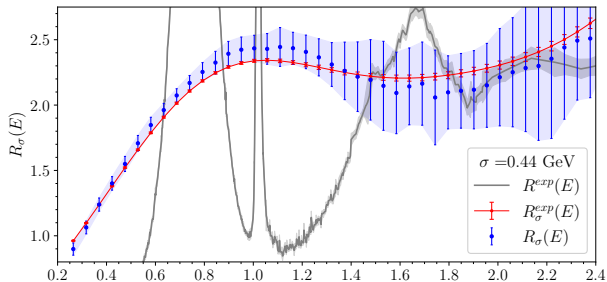
[Hadronic](#) contribution is the most challenging and imprecise.

Order of limits,  $R(E) = \lim_{\sigma \rightarrow 0} \lim_{L \rightarrow \infty} R_{\sigma}(E)$

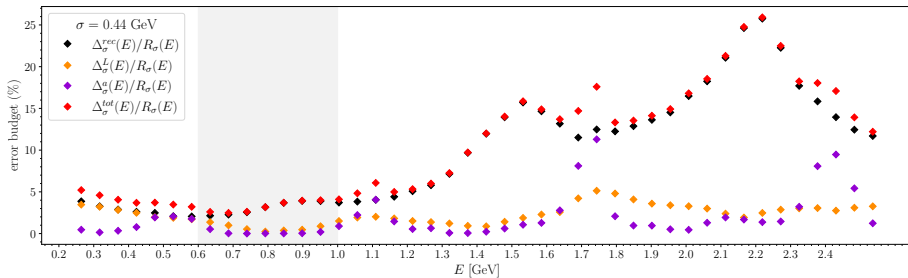




# Prospectives 1) Increase the statistics to reduce the error

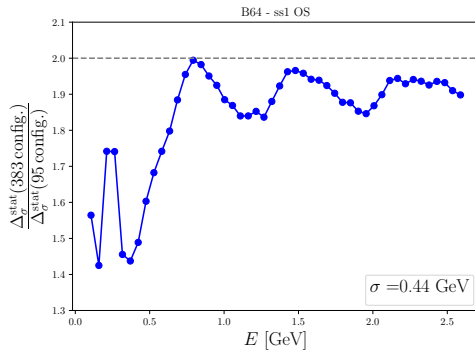
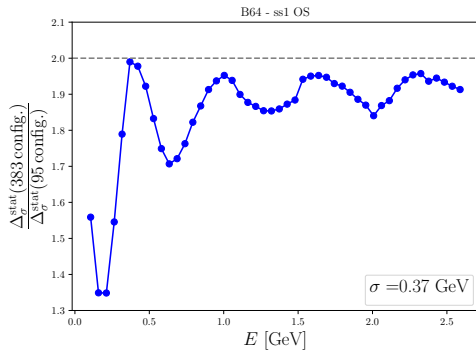


The overall error increases when  $\sigma$  gets smaller. Error budget:



We can reduce the current number of configurations to figure out the impact

$$\frac{\Delta_{\sigma}^{\text{stat.}}(N/4)}{\Delta_{\sigma}^{\text{stat.}}(N)} \approx \sqrt{\frac{4N}{N}} = 2$$



Aim  $\mapsto$  reduce the error by a factor  $\approx 2$