

# A generalized eigenvalue problem via a quantum annealer

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# The Generalized Eigenvalue Problem

$$A\mathbf{v}_i = \lambda_i B\mathbf{v}_i$$

- A = Real symmetric or **non symmetric** matrix
- B = Real symmetric matrix
- $\mathbf{v}_i$  = Eigenvector
- $\lambda_i$  = Eigenvalue (we look for the real one)

**Solve the GEVP with an annealer**

# The Homogeneous Bethe-Salpeter Equation

- Describe bound state in a Relativistic Quantum Field Theory (in Minkowski space) framework ( $k/m > 1/4$ )
- Studies of momentum distribution of the constituents inside bound states at JLab and EIC
- Strongly non perturbative regime of Quantum Field Theory

$$\phi(k; p_B, \beta) = G_0(k; p_B, \beta) \int d^4 k' \mathcal{I}(k, k'; p_B) \phi(k'; p_B, \beta)$$

- Can be rewritten as a Non Symmetric Generalized Eigenvalue problem [1]

[1] W. De Paula et. al., PRD 94 071901

# Goals of the project

## Outline of the talk

- The symmetric standard (and generalized) eigenvalue problem (Krakoff et al.)
- The non-symmetric standard eigenvalue problem
- Some practical examples
- The generalized non-symmetric eigenvalue problem (working on)

Avoid matrix operation: minimize computational cost as N increase

# The Symmetric Case

$$Av_i = \lambda_i v_i$$

A = Real Symmetric Matrix

Finding the minimal eigenvalue with an annealer

- **Minimization problem**  Rayleigh-Ritz quotient

$$\mathcal{R} = \frac{\mathbf{v}^T A \mathbf{v}}{\mathbf{v}^T \mathbf{v}}$$

- **QUBO form (Ising problem)**

Quadratic Unconstrained Binary Optimization

# The Non Symmetric Case

$$Av_i = \lambda_i v_i$$

A = Real Non Symmetric Matrix (We are looking for real solutions)

- **Minimization problem**  Rayleigh-~~H~~z quotient

$$J(\mathbf{v}, \lambda) = \frac{|(A - \lambda I)\mathbf{v}|^2}{\mathbf{v}^T \mathbf{v}} \star$$

- **QUBO form (Ising problem)**

Quadratic Unconstrained Binary Optimization

- ★ S. Alliney et al. , Appl. Math. Modelling **16**, 148

# Specific on the Non Symmetric Case

- We are looking for the **real solutions** (the largest for the BSE)

$$\lambda = \frac{1}{2} \frac{\mathbf{v}^T (A + A^T) \mathbf{v}}{|\mathbf{v}|^2} \pm i\sqrt{R(\mathbf{v})} \quad R(\mathbf{v}) = \frac{\mathbf{v}^T A^T Q(\mathbf{v}) A \mathbf{v}}{|\mathbf{v}|^2} \quad Q(\mathbf{v}) = \left(1 - \frac{\mathbf{v} \mathbf{v}^T}{|\mathbf{v}|^2}\right)$$

- $R(\mathbf{v}) > 0$ . Real solution only for  $R(\mathbf{v}) = 0$   $\longrightarrow$  **Minimization**

- All the real solution are equivalent for the annealer
  - It is not a quadratic problem! (Can be solved iteratively)

- **Direct minimization of**  $J(\mathbf{v}, \lambda) = \mathbf{v}^T (A - \lambda I)^T (A - \lambda I) \mathbf{v}$ 
  - Need to select a starting  $\lambda$
  - Purely quadratic

# The problem in a QUBO form

- Rewriting the numbers in binary basis  $a = \mathbf{p}^T \cdot \mathbf{q}_b$

$$\mathbf{p} = (-1, 2^{-1}, 2^{-2}, \dots, 2^{-b+1})$$

$$\mathbf{q}_b = (q_0, q_1, q_2, \dots, q_{b-1})$$

$q_i = \text{binary } (0,1)$

- The matrix that is used then is

$$\mathbf{v}^T A \mathbf{v} = \mathbf{X}^T Q \mathbf{X}$$

$$Q = P^T A P$$

$$P = \begin{pmatrix} \mathbf{p} & 0 & \dots & 0 \\ 0 & \mathbf{p} & \dots & 0 \\ \vdots & \vdots & \ddots & 0 \\ 0 & 0 & \dots & \mathbf{p} \end{pmatrix}$$

The dimension of matrix Q is  $(N \times b) \times (N \times b)$

# The Algorithm

- (1) The algorithm is an approximation of the actual Rayleigh-Ritz quotient (or  $J$ )

$$\lambda_0 = \min_{|\mathbf{v}|=1} \mathbf{v}^T A \mathbf{v} \sim \min_{\mathbf{X} \in C_{n,b}} \mathbf{X}^T Q \mathbf{X} \quad C_{n,b} \text{ discretized cube}$$

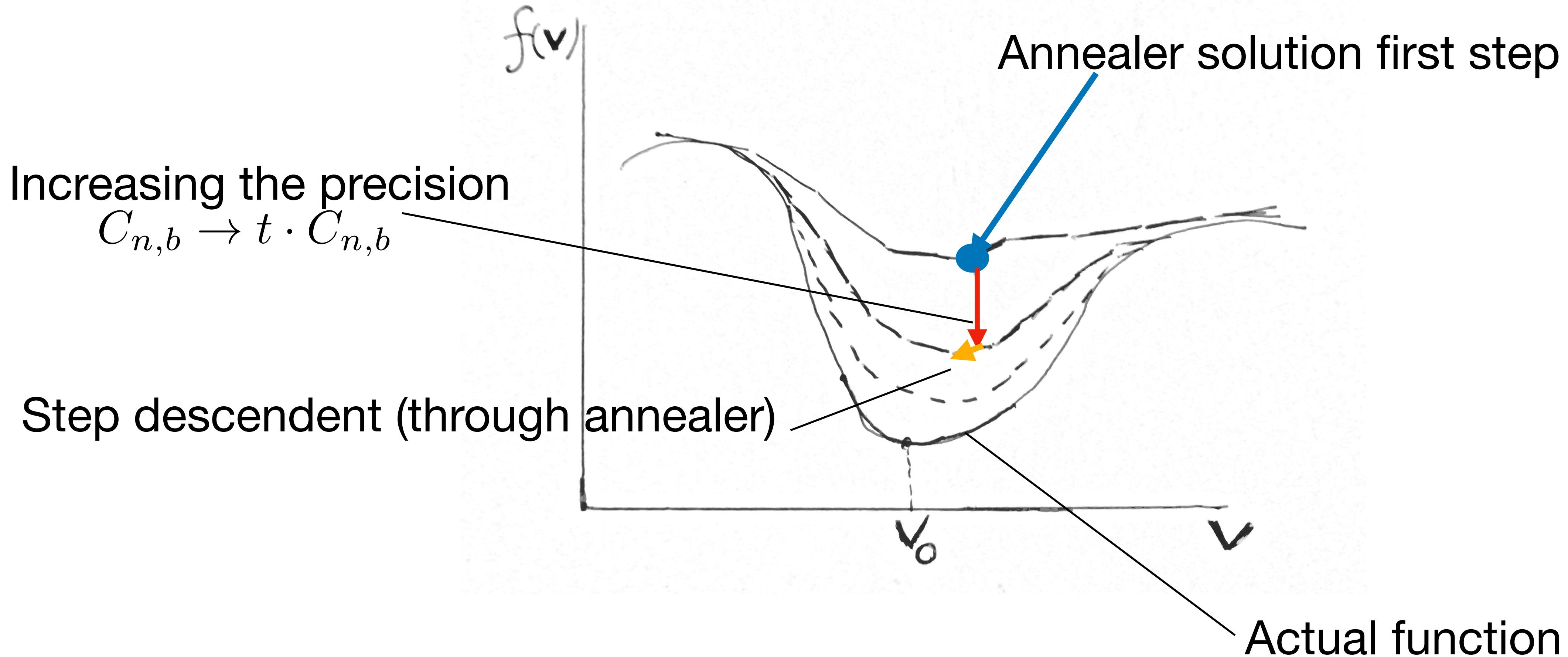
- (2) Step descendent phase  $f(\mathbf{v}) = \mathbf{v}^T (A - \lambda I) \mathbf{v}$

$$f(\mathbf{v}) = f(\mathbf{v}_0) + \boxed{\nabla_{\mathbf{v}} f(\mathbf{v}) \cdot \delta + \delta^T \frac{H(f)}{2} \delta}$$

- An iterative procedure is used to find the minimal value of  $\lambda$  by increasing the precision when needed
- For the generalized case just substitute  $I$  with  $B$

\*B. Krakoff, et al., arXiv:2104.11311, and Plos one 17, e0267954 (2022)

# A visual realization

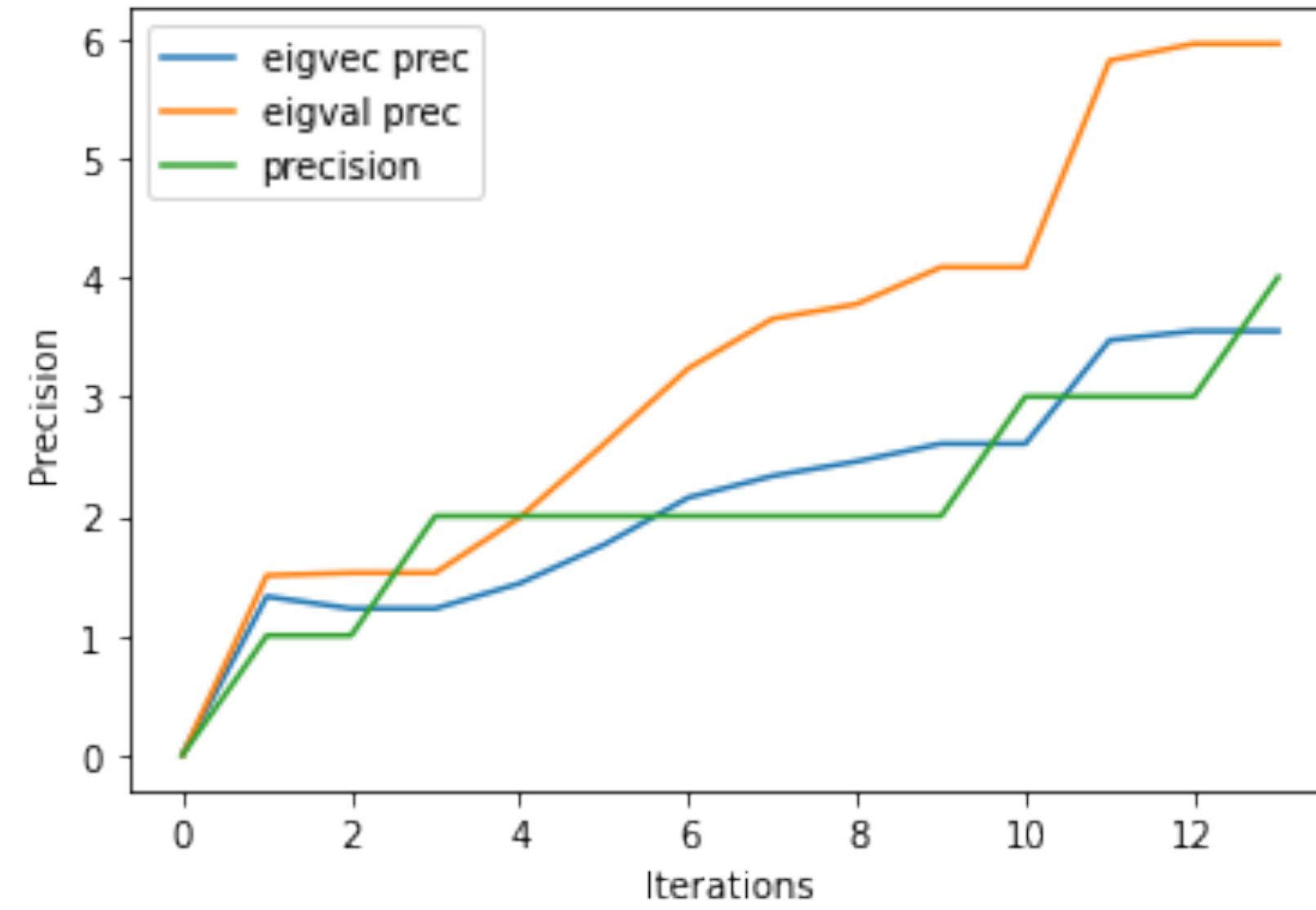


# First step of the algorithm

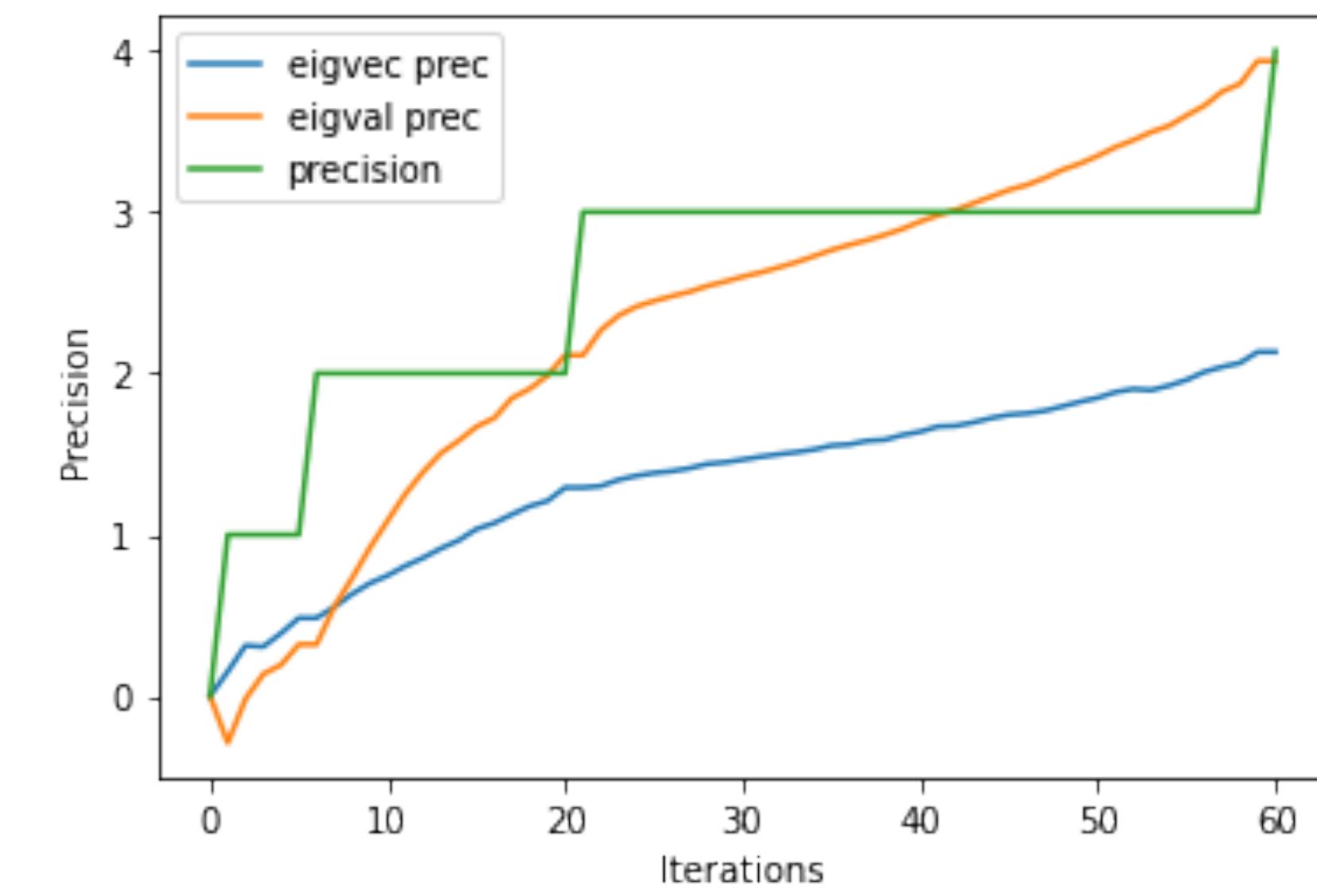
- 4 X 4 matrix
- Starting  $\lambda = \text{tr}(A)/N = -1.542$
- Actual result  $\lambda = -5.379$
- 20 number of reads for annealing

Num of bits (b)	Eigenvalue
2	-5.288
4	-5.250
8	-5.322
16	-5.290
32	-4.901

# What you get from the code (symmetric)



- Dimension 8x8
- Annealing time 0.250 s
- $\lambda = -11.95623$  (-11.95623)
- Precision 0.001

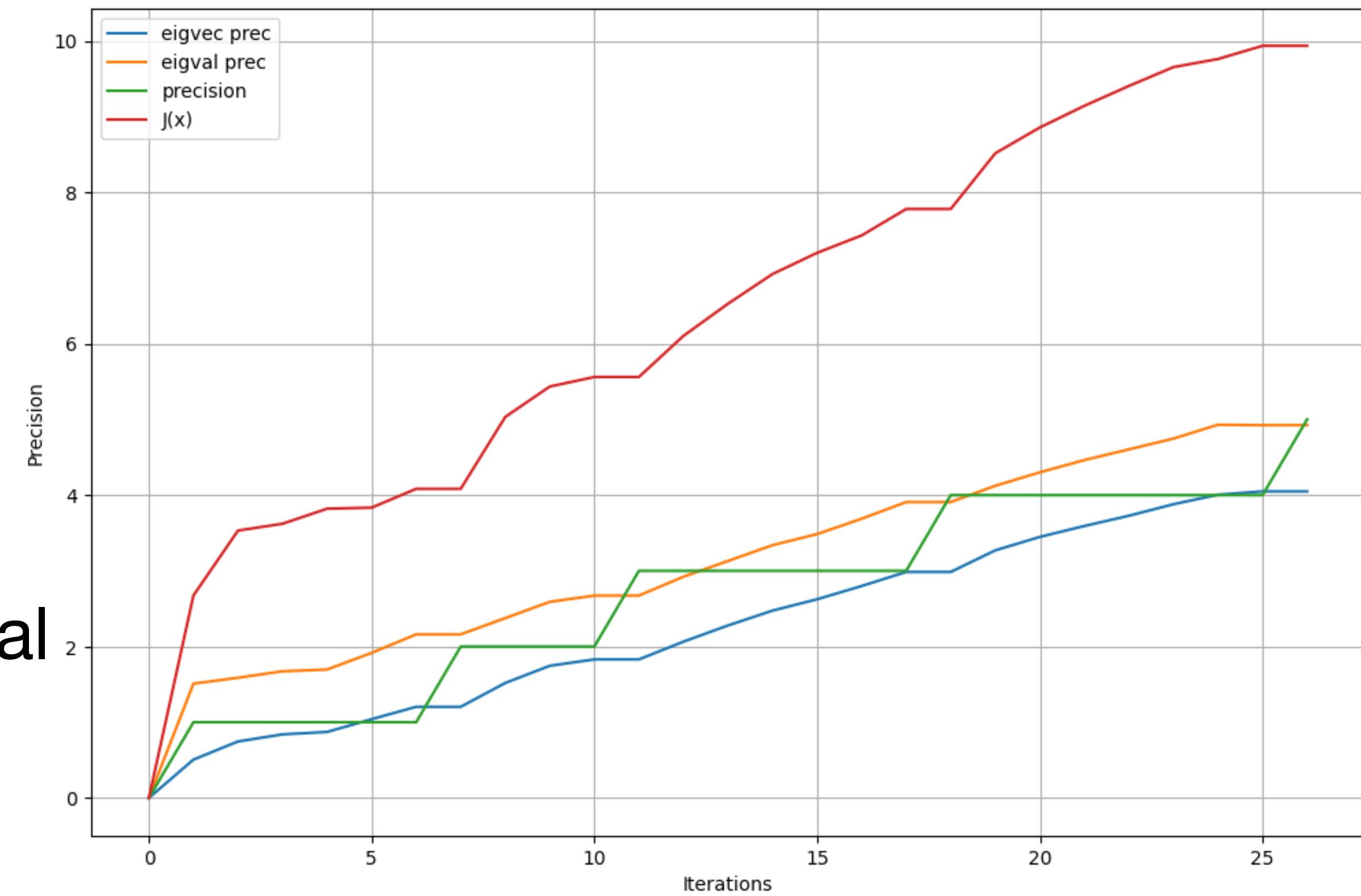


- Dimension 32x32
- Annealing time 1.08 s
- $\lambda = -19.8999$  (-19.9000)
- Precision 0.001

Different behavior due to gap among first and second eigenvalue

# An example for the non-symmetric

- Matrix 12x12 (annealing time 0.49 s)
- $\lambda=0.188213$  (0.188224)  $p=0.0001$
- We minimize  $J(v, \lambda)$
- Limited by numerical precision on  $J$   
( $p(J) \sim 10^{-16}$   $p(\lambda) \sim 10^{-8}$ )
- In principle  $J$  can be used for all the real eigenvalue
- Hard when gaps among eigenvalues are small



# The non symmetric case (issues)

- Direct minimization of  $J(\mathbf{v}, \lambda) = \mathbf{v}^T (A - \lambda I)^T (A - \lambda I) \mathbf{v}$ 
  - Need to select a starting point
  - Purely quadratic
- For the generalized case symmetric we just need

$$A - \lambda I \longrightarrow A - \lambda B$$

- For the non symmetric case

$$J(\mathbf{v}, \lambda) = \frac{|(A - \lambda I)\mathbf{v}|^2}{\mathbf{v}^T \mathbf{v}} \longrightarrow J(\mathbf{v}, \lambda) = \frac{|(A - \lambda B)\mathbf{v}|^2}{\mathbf{v}^T \mathbf{v}}$$

- The algorithm is able to find the eigenvalue with a finite precision
- The eigenvector is poorly reproduced

# To do next (for non-symmetric matrix)

- Fix the generalized non symmetric algorithm
- Improving the selection of the starting eigenvalue
- Study of scaling with the dimension of the matrix
- Extension to not max or min eigenvalues

A realization of the algorithm for the symmetric case is available at  
[https://github.com/agnech00/CESM\\_QUBO](https://github.com/agnech00/CESM_QUBO)