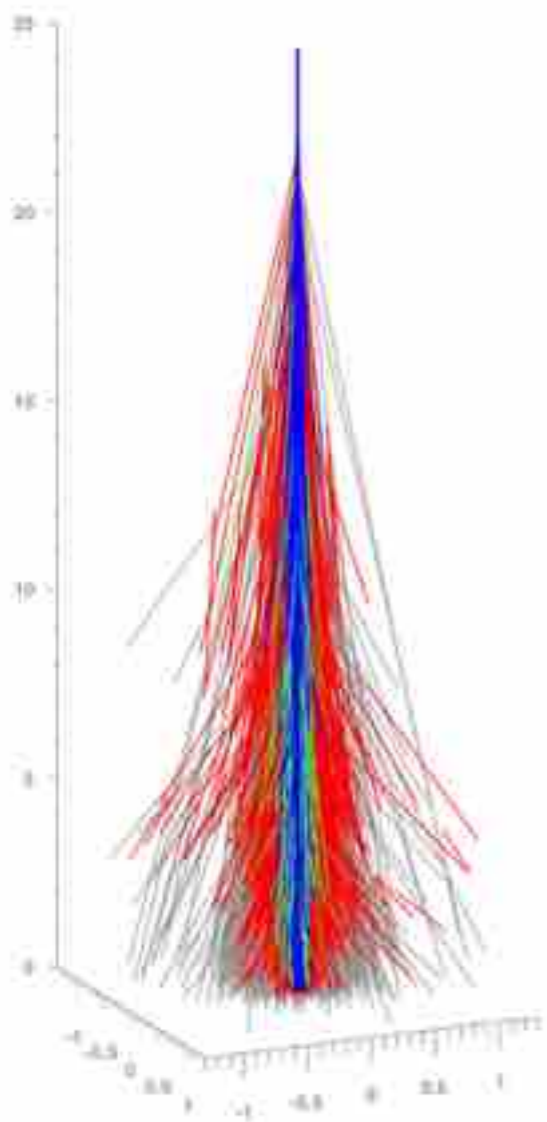


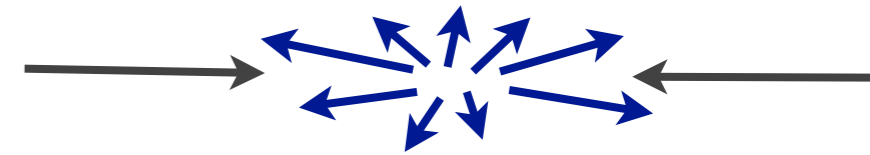
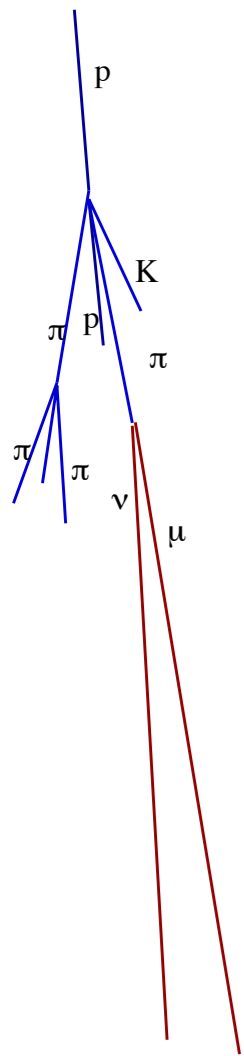
# Hadronic Interactions and Cosmic Ray Physics

**Ralph Engel**

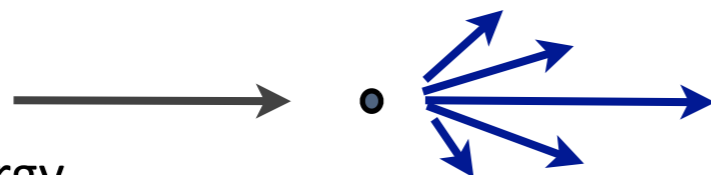
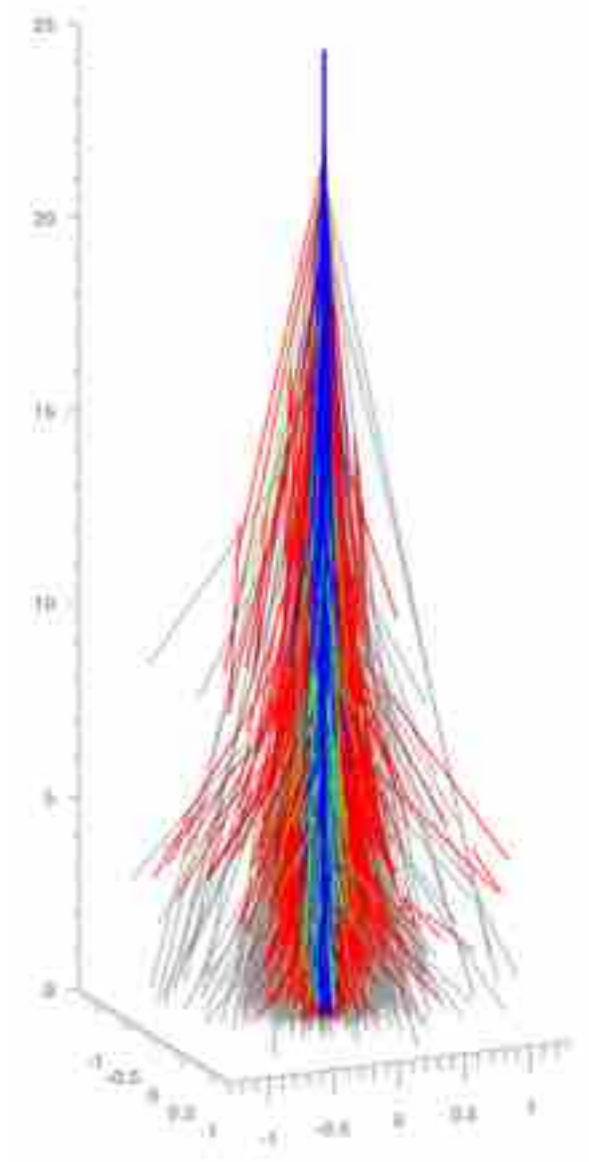
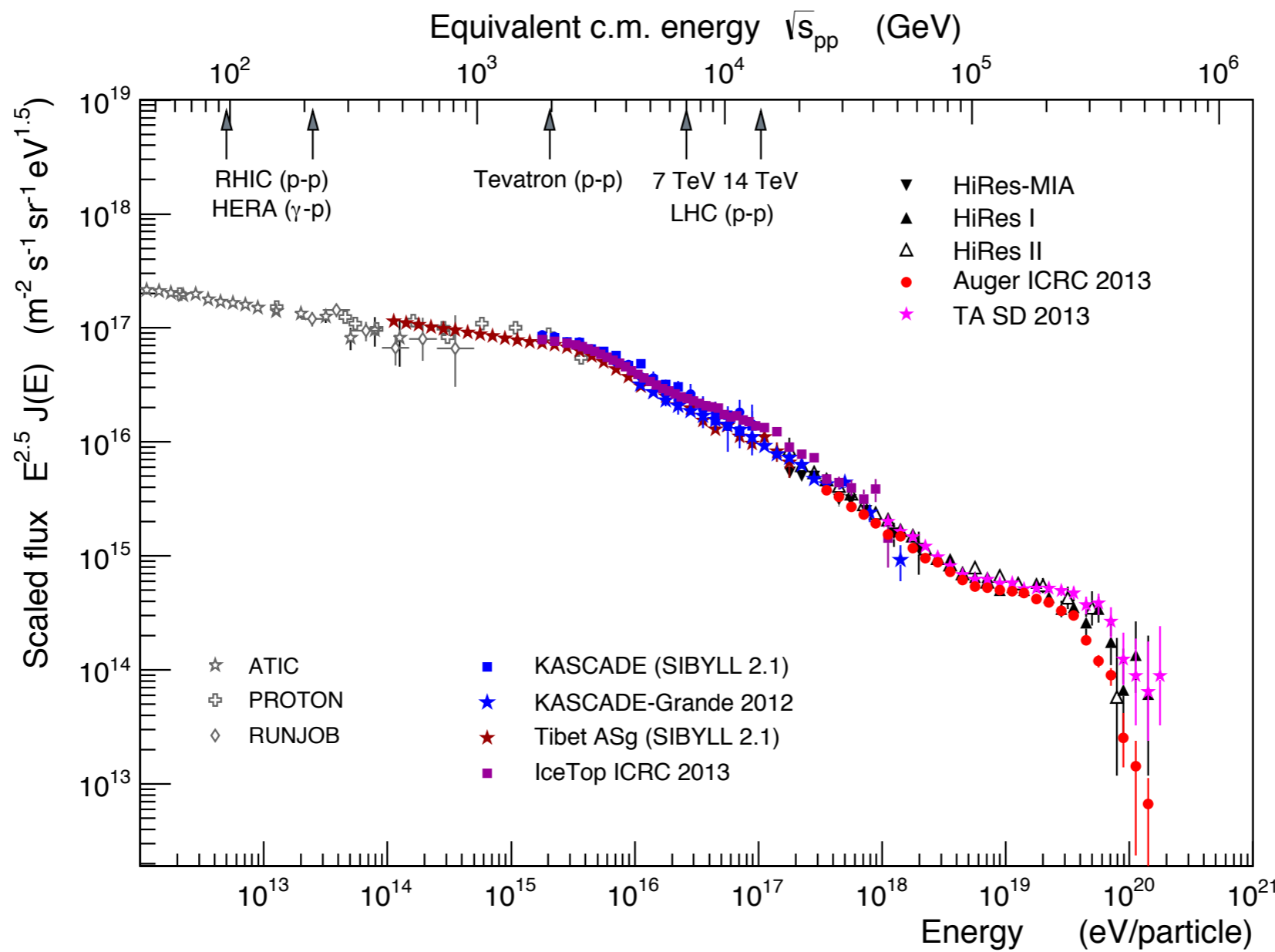
*Karlsruhe Institute of Technology (KIT)*



# Cosmic ray flux and interaction energies



Center-of-mass energy



Laboratory energy

# High-energy interactions

# Shower physics: energy transfer

Hadronic energy

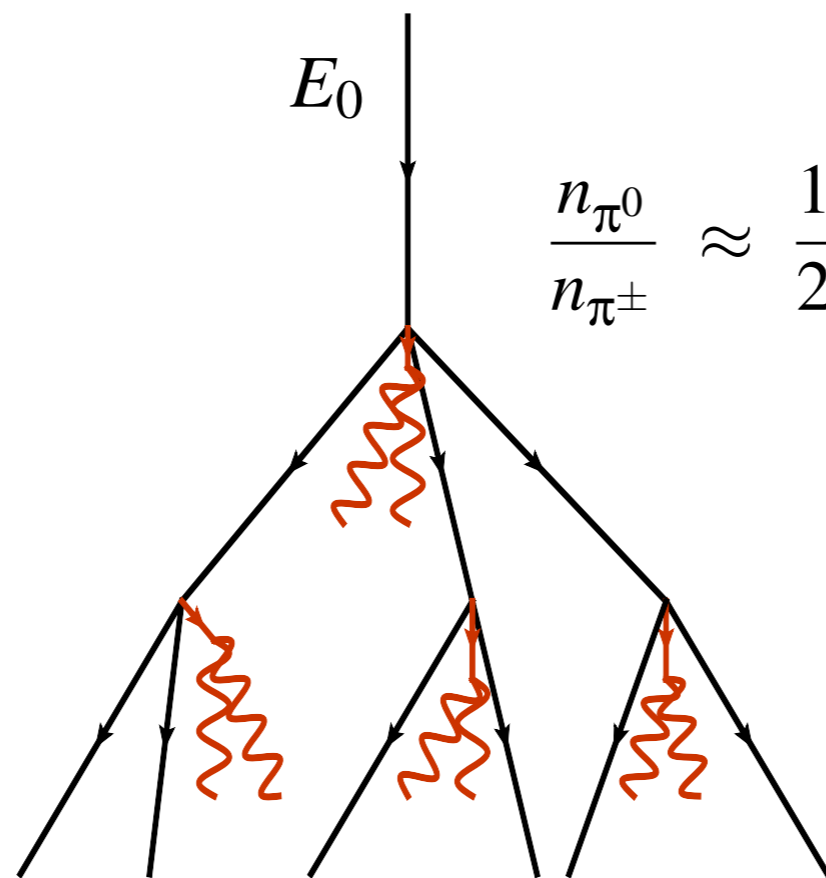
Electromagnetic energy

$$\frac{2}{3}E_0$$

$$\frac{1}{3}E_0$$

$$\frac{2}{3} \left( \frac{2}{3}E_0 \right)$$

$$\frac{1}{3}E_0 + \frac{1}{3} \left( \frac{2}{3}E_0 \right)$$



⋮

*Decay after n generations*

$$E_{\pi^\pm} \sim 30 \text{ GeV}$$

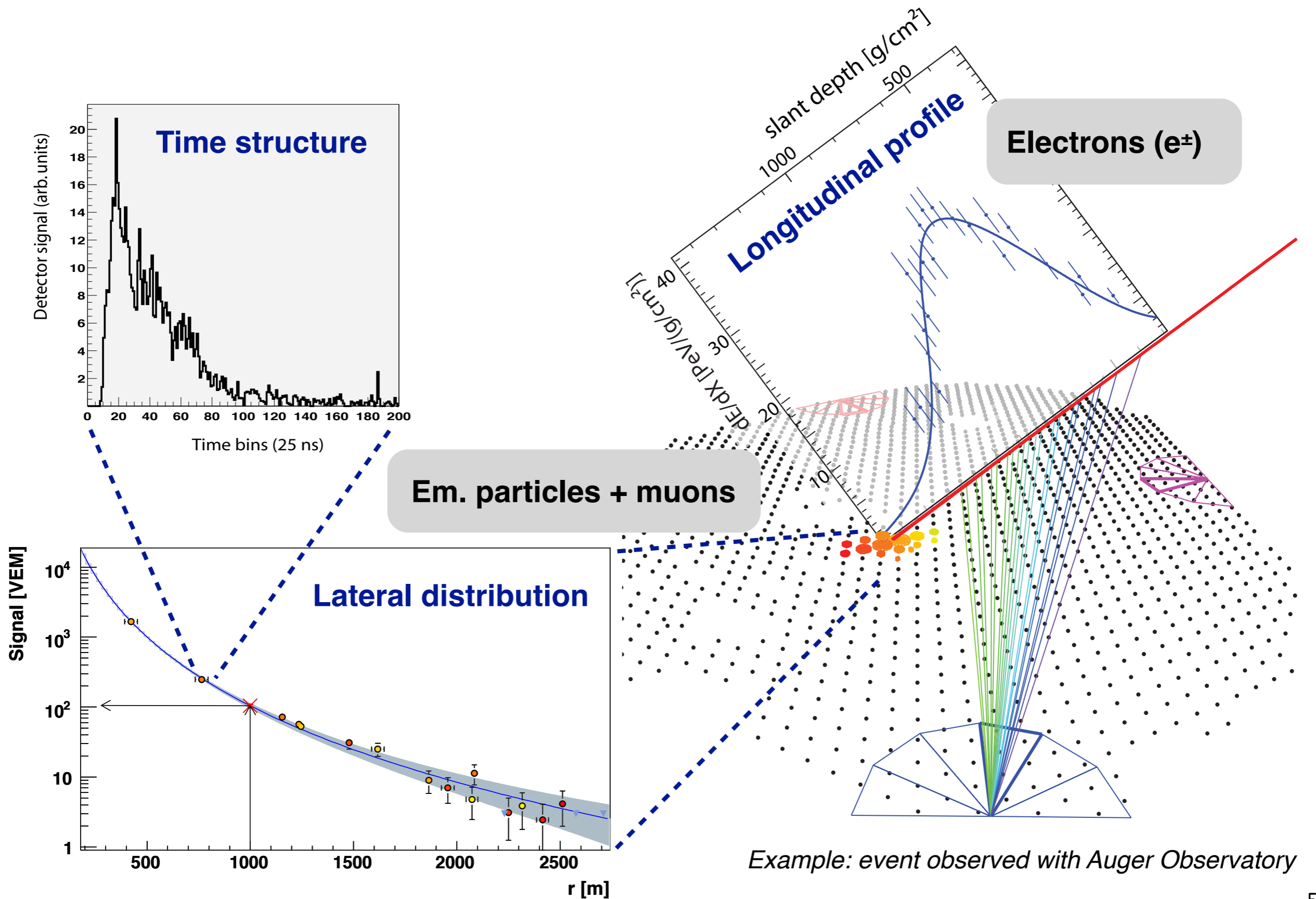
⋮

$$E_{\text{had}} = \left( \frac{2}{3} \right)^n E_0$$

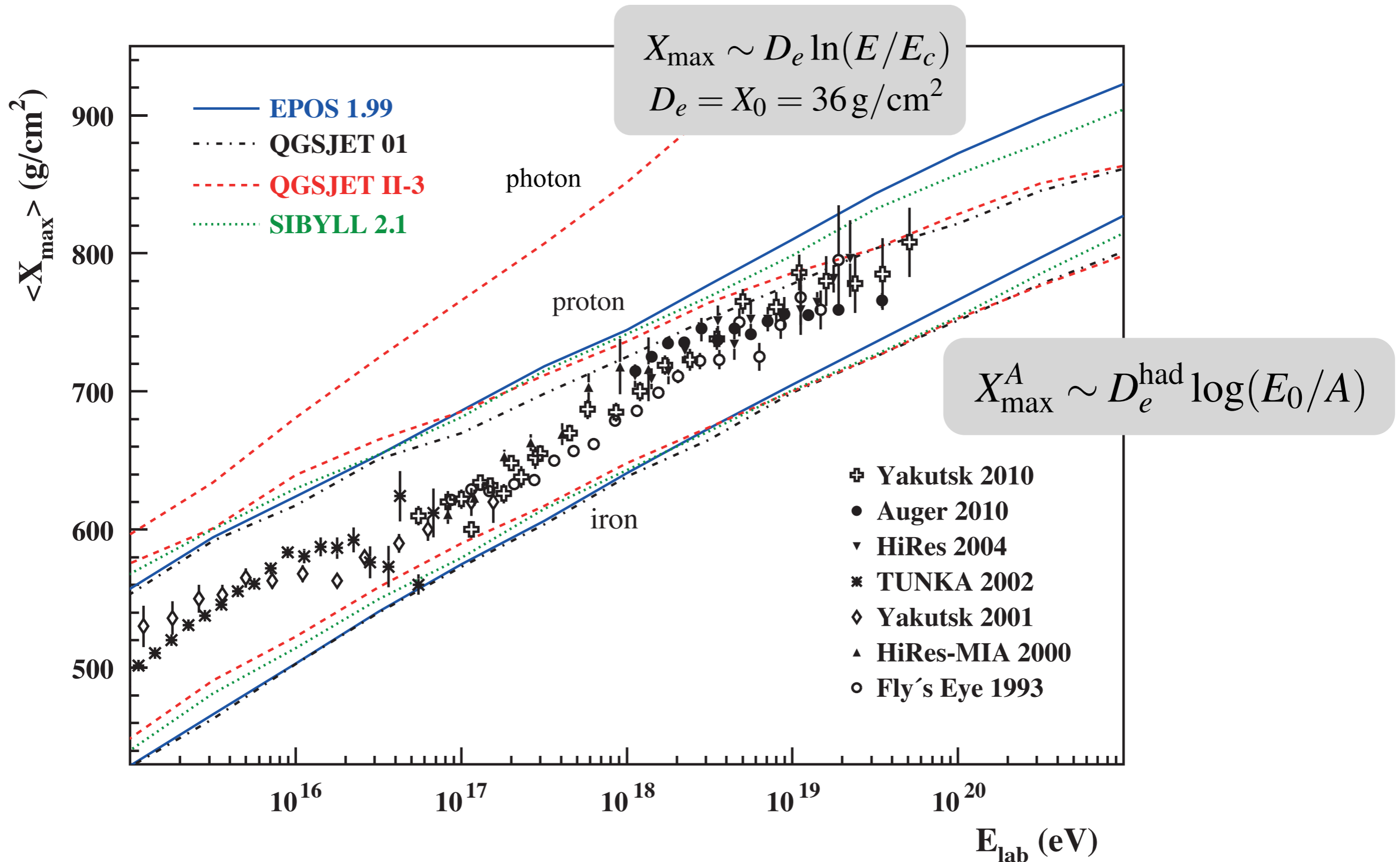
$$E_{\text{em}} = \left[ 1 - \left( \frac{2}{3} \right)^n \right] E_0$$

$$\begin{aligned} n = 5, & \quad E_{\text{had}} \sim 12\% \\ n = 6, & \quad E_{\text{had}} \sim 8\% \end{aligned}$$

# Measurement of different shower observables



# Pre-LHC: mean depth of shower maximum



# Elongation rates and model features

## Elongation rate theorem

$$D_e^{\text{had}} = X_0(1 - B_n - B_\lambda)$$

(Linsley, Watson PRL46, 1981)

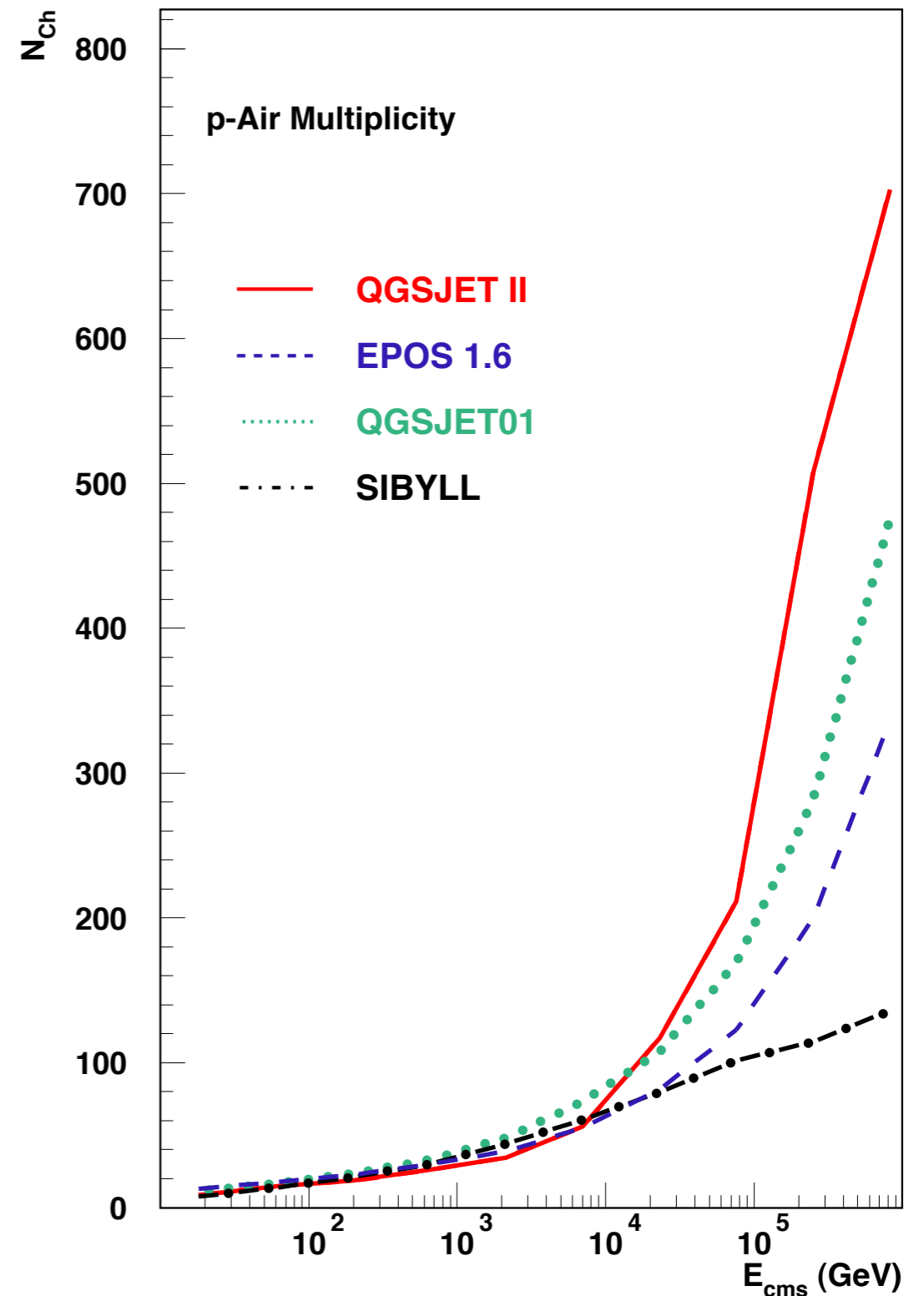
factor  $\sim 36 \text{ g/cm}^2$

$$B_n = \frac{d \ln n_{\text{tot}}}{d \ln E}$$

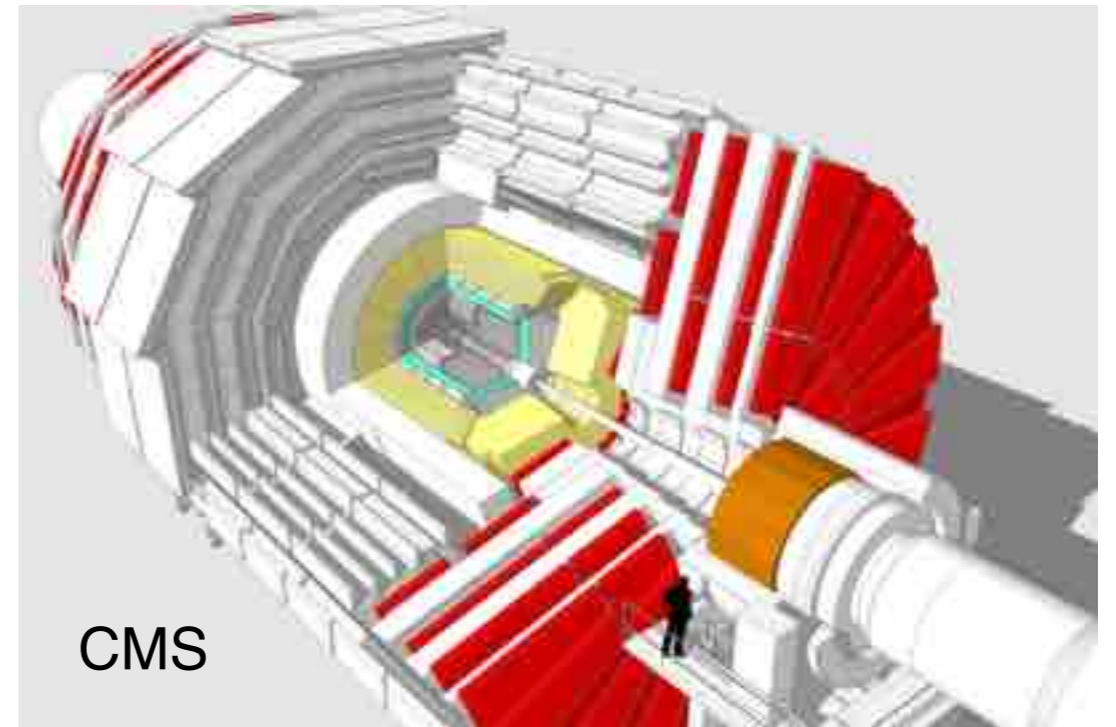
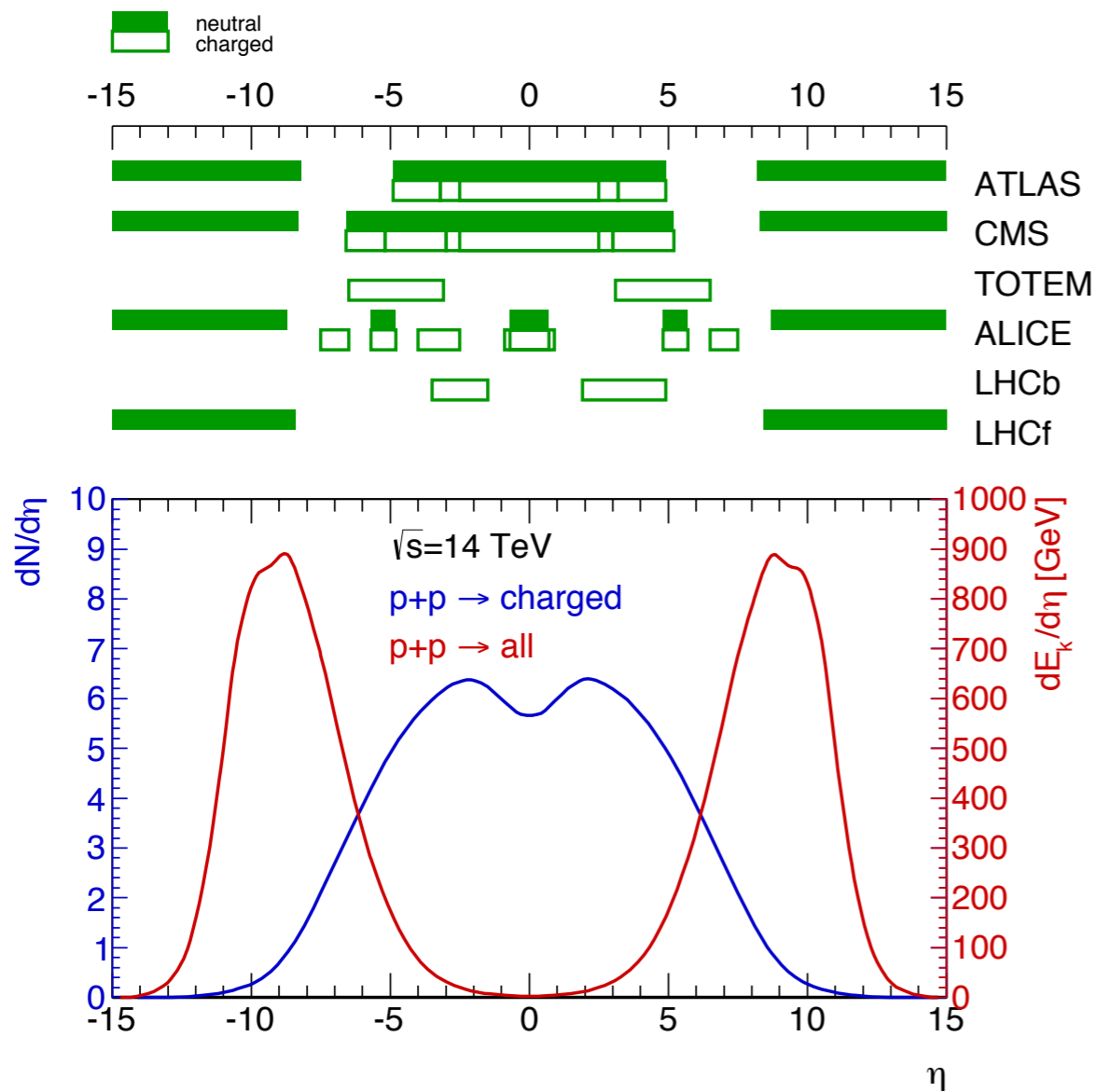
Large if multiplicity of high energy particles rises very fast, **zero in case of scaling**

$$B_\lambda = -\frac{1}{X_0} \frac{d \lambda_{\text{int}}}{d \ln E}$$

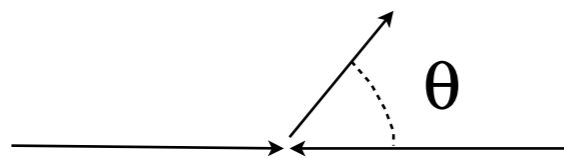
Large if cross section rises rapidly with energy



# LHC experiments: phase space coverage



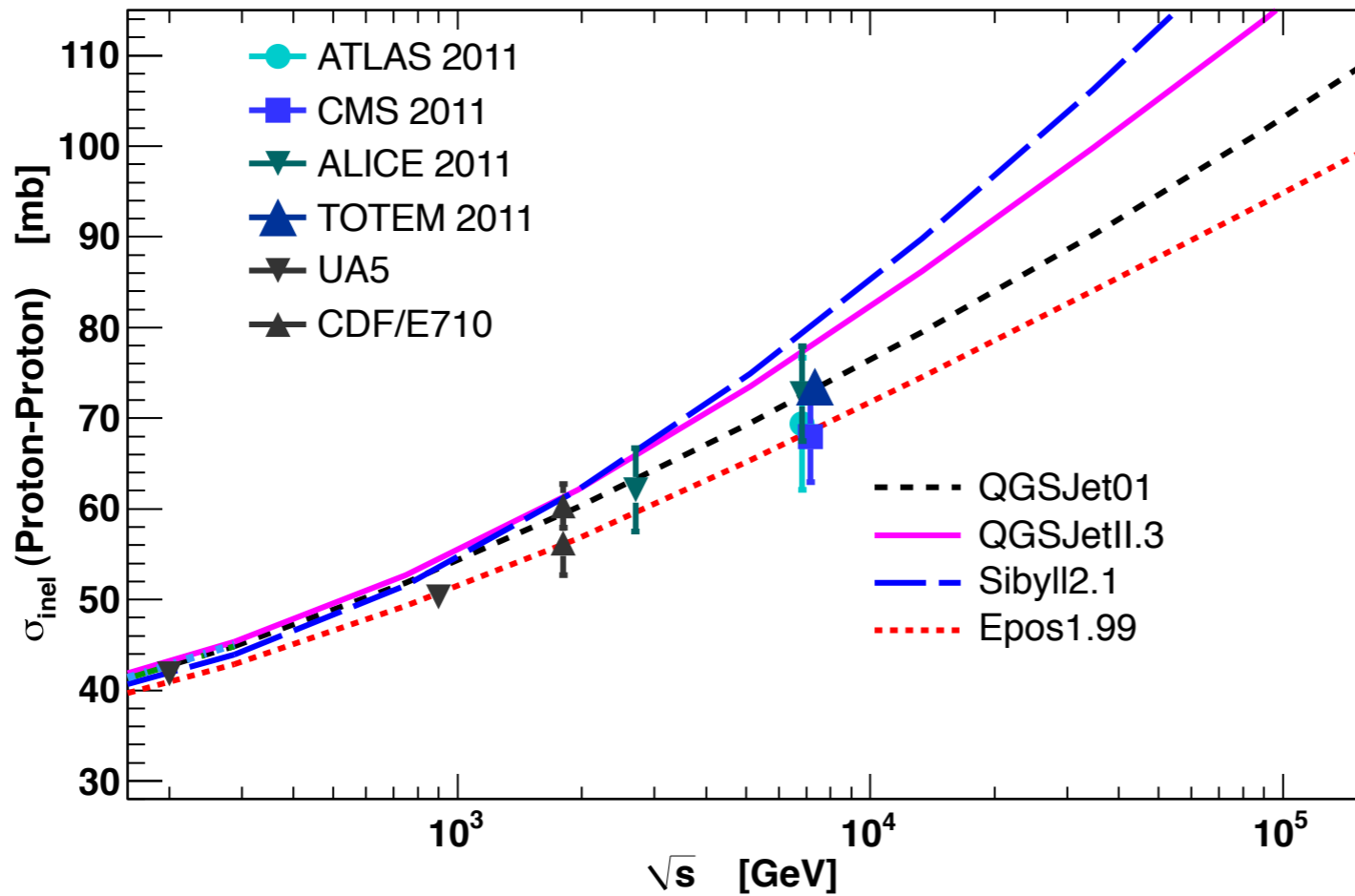
$\eta$	deg.	mrاد.
3	5.7	97
5	0.77	10
8	0.04	0.7
10	0,005	0,009



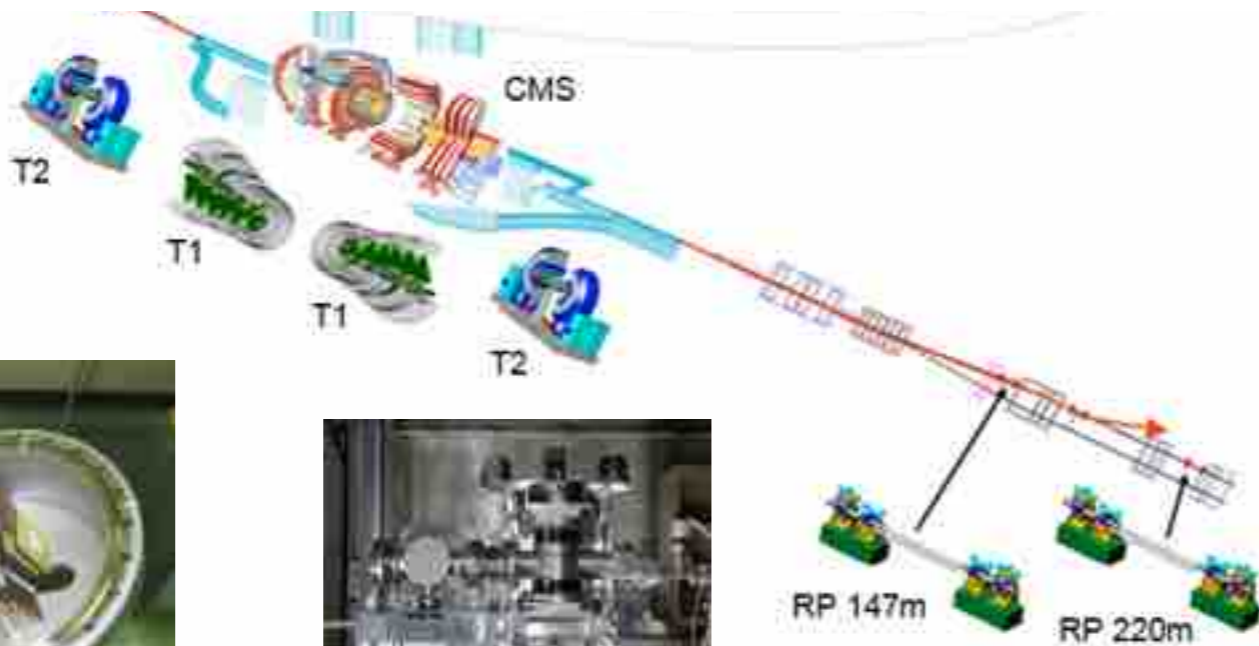
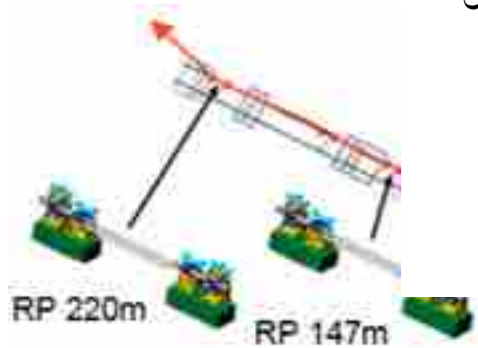
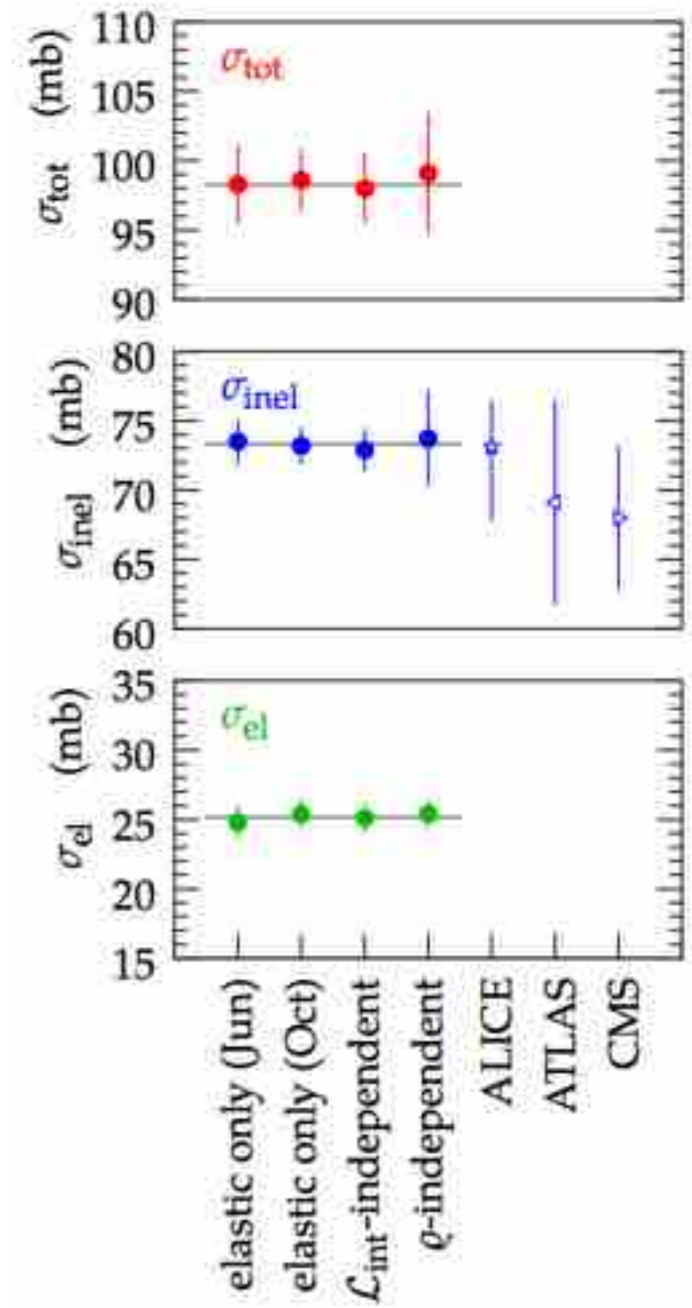
$$\eta = -\ln \tan \frac{\theta}{2}$$



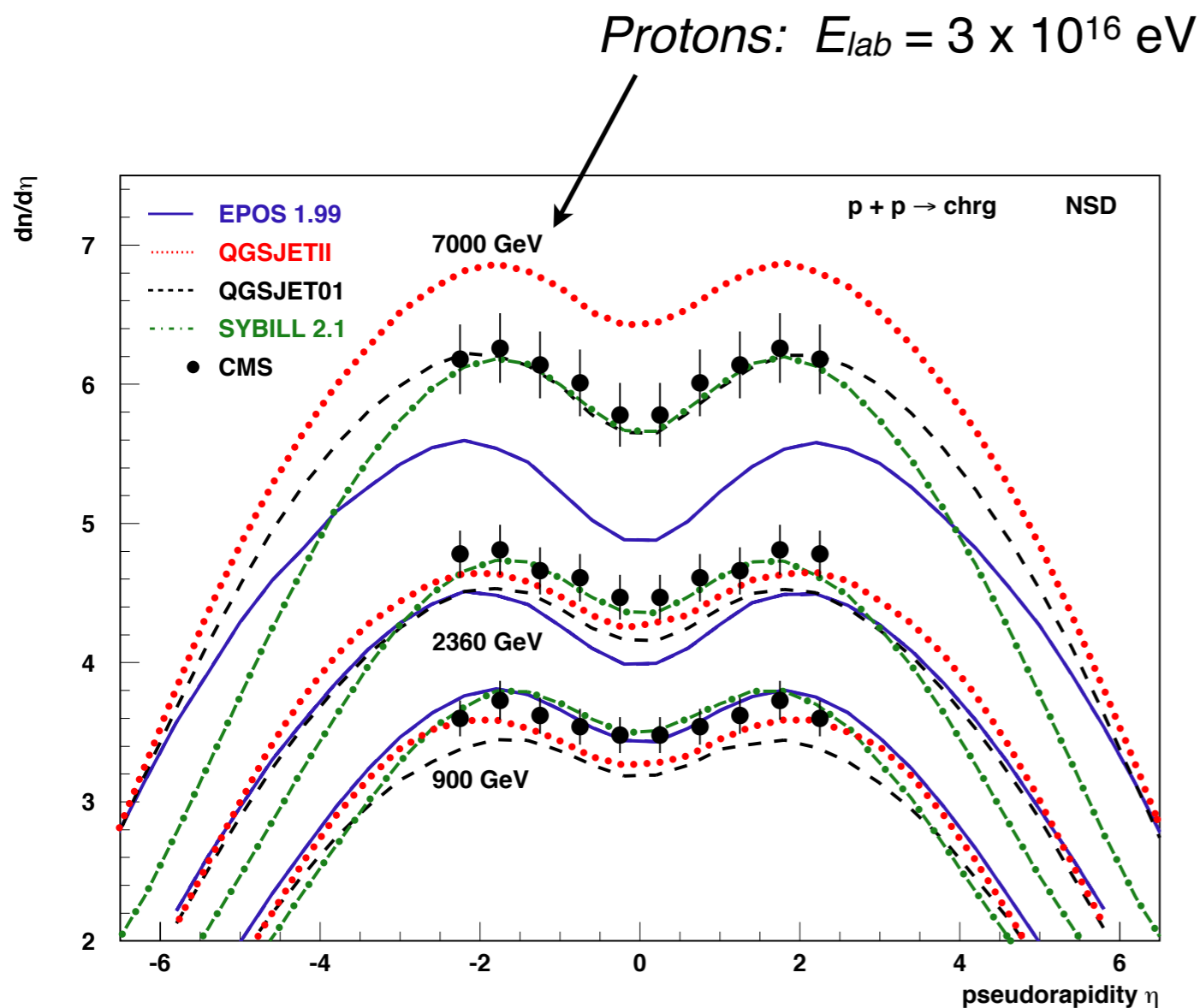
# LHC: proton-proton cross section



Measurements at  $\sqrt{s} = 7$  TeV

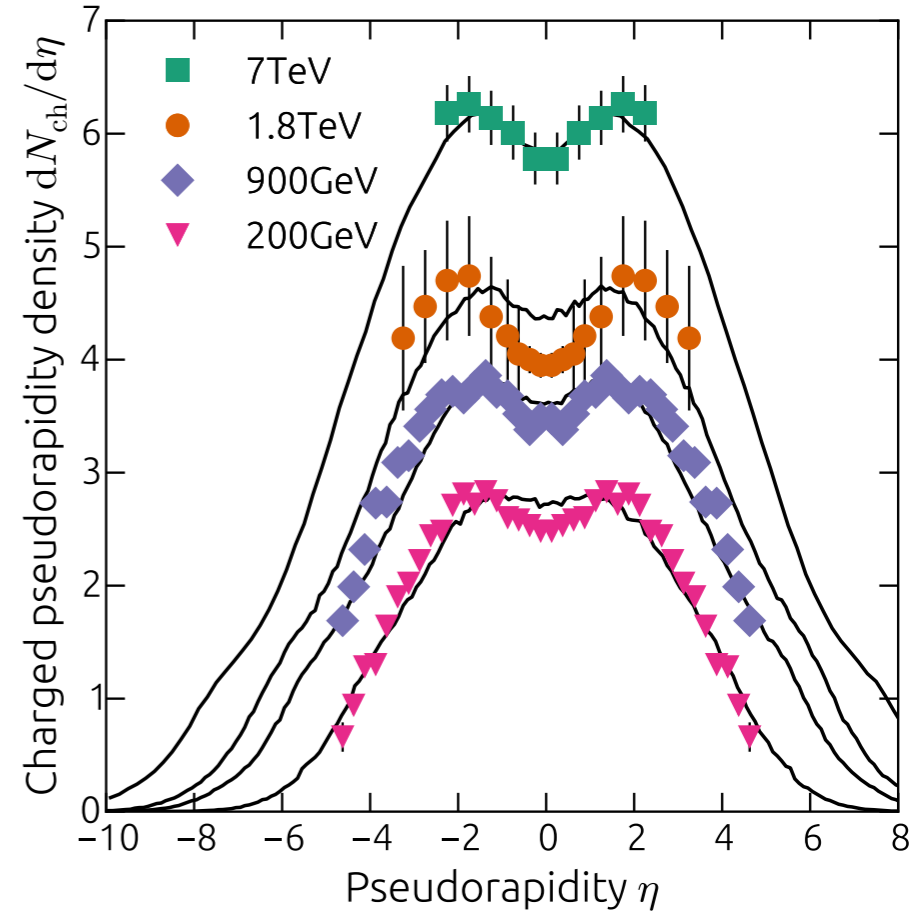
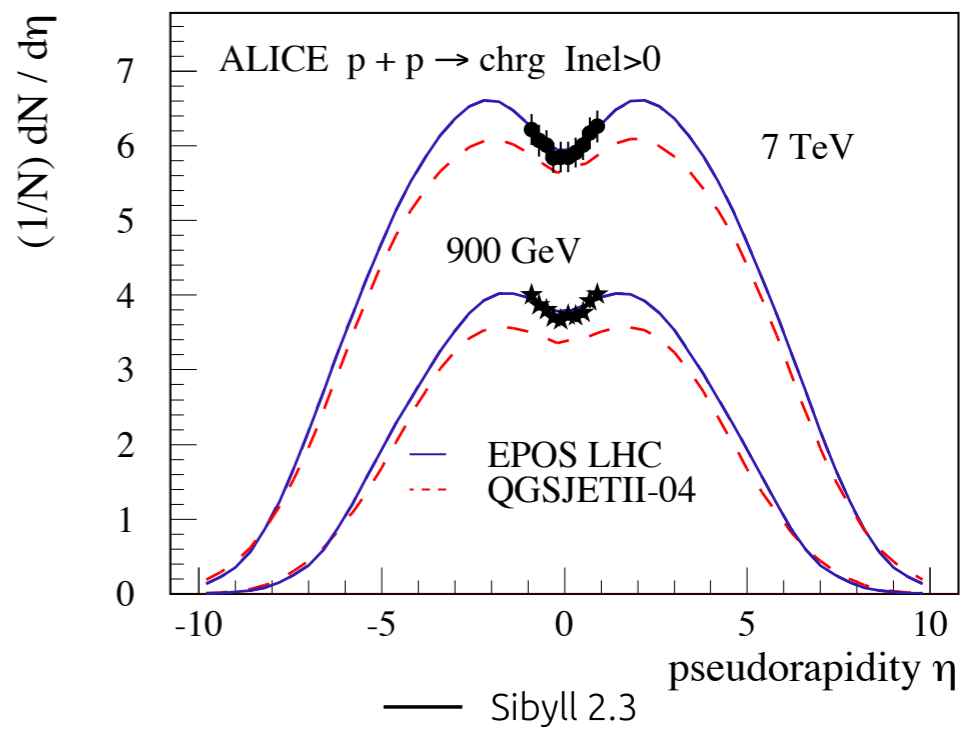


# Charged particle distribution in pseudorapidity



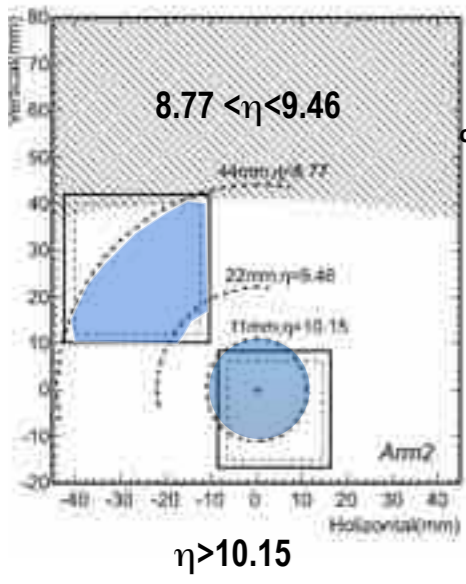
*(data exist from all LHC experiments)*

Feb. 2016: tuned version of Sibyll (v2.3)

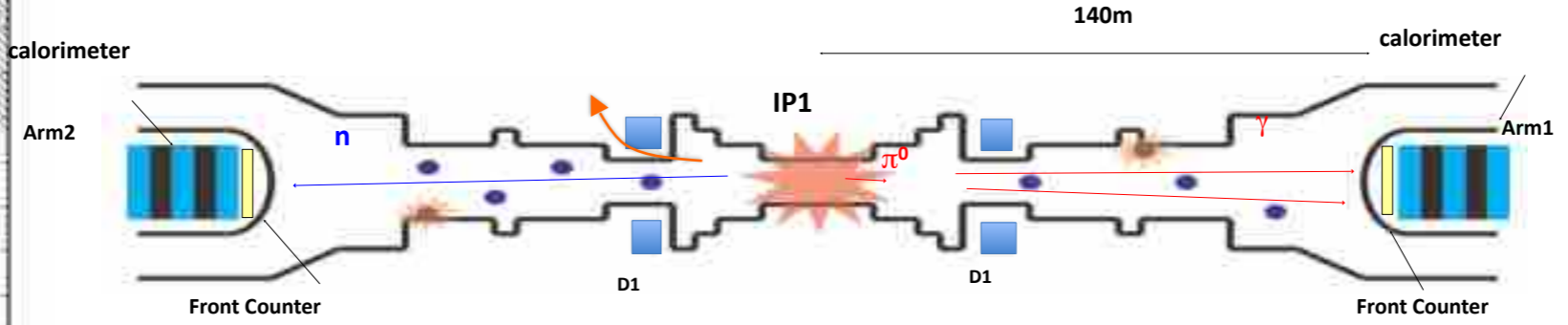


# LHCf: very forward photon production

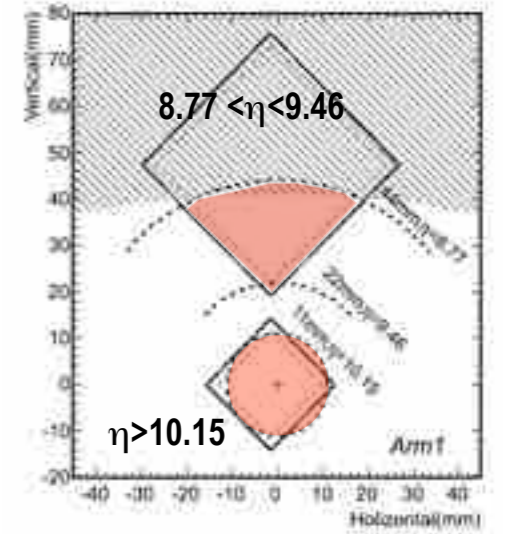
Arm 2



The LHCf experimental setup

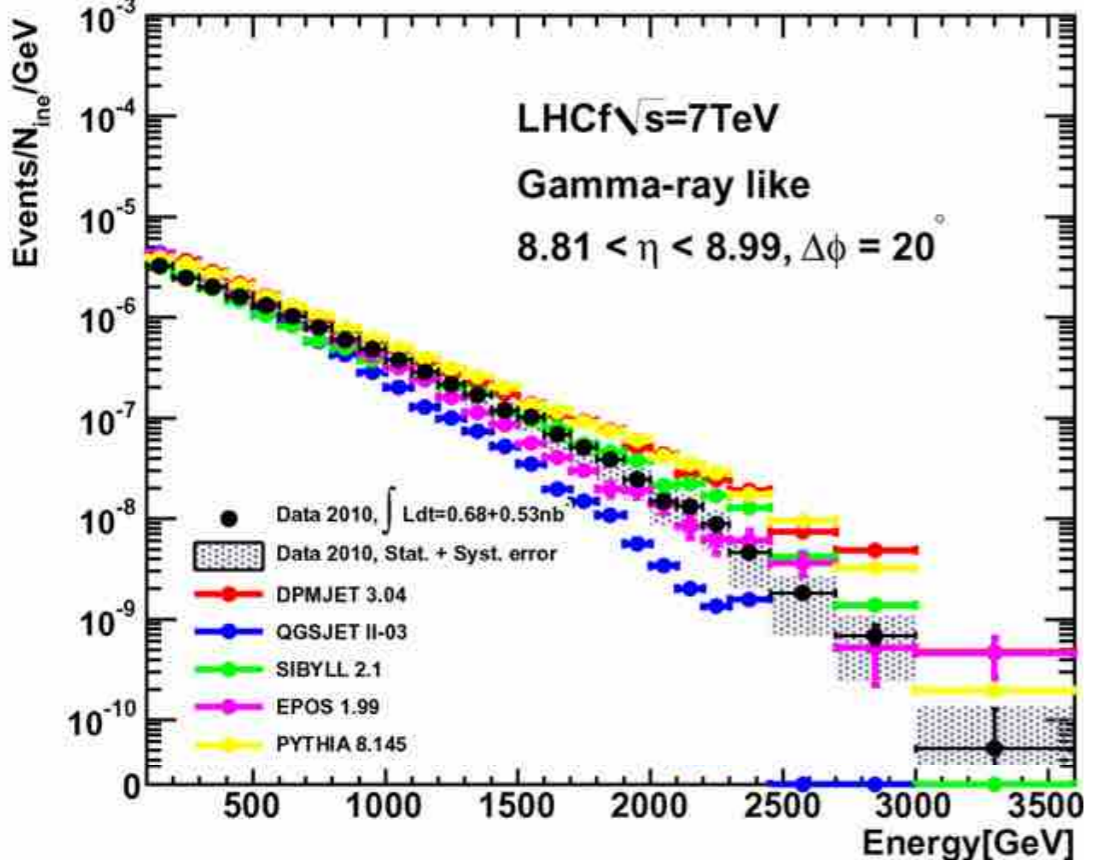


Arm 1

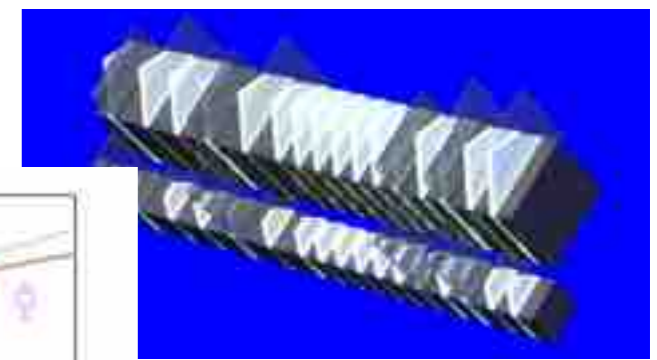
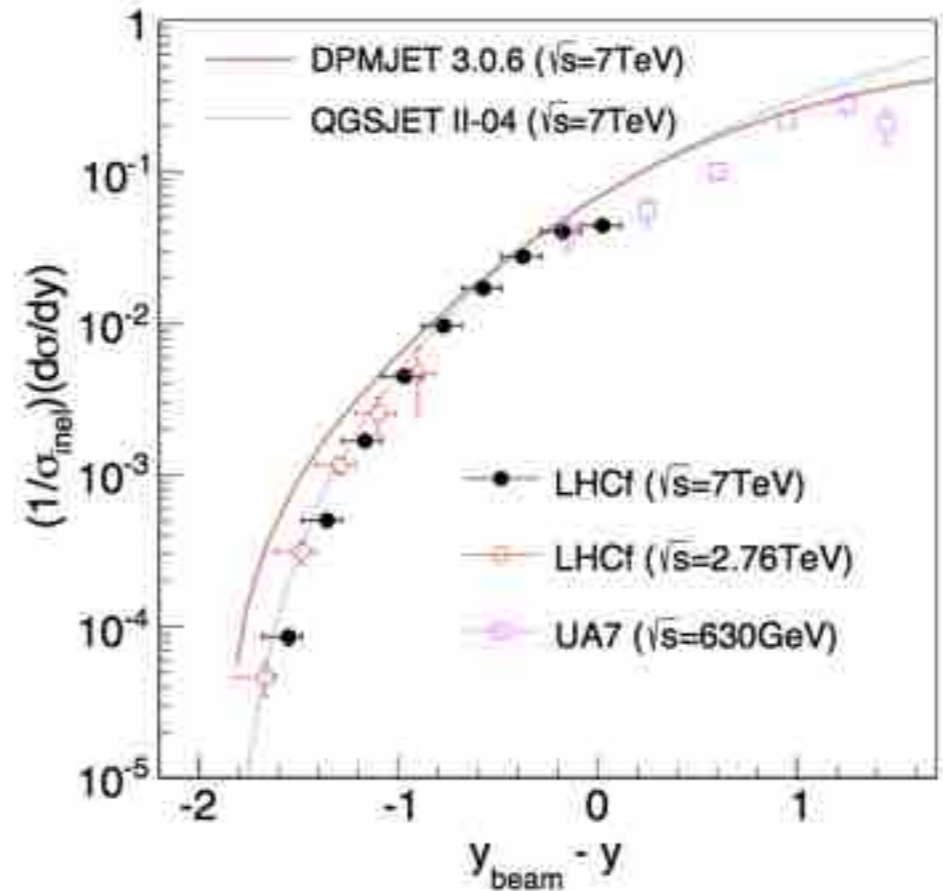


$$pp \rightarrow \gamma X$$

(LHCf Collab., Phys. Lett. B 703, 2011)

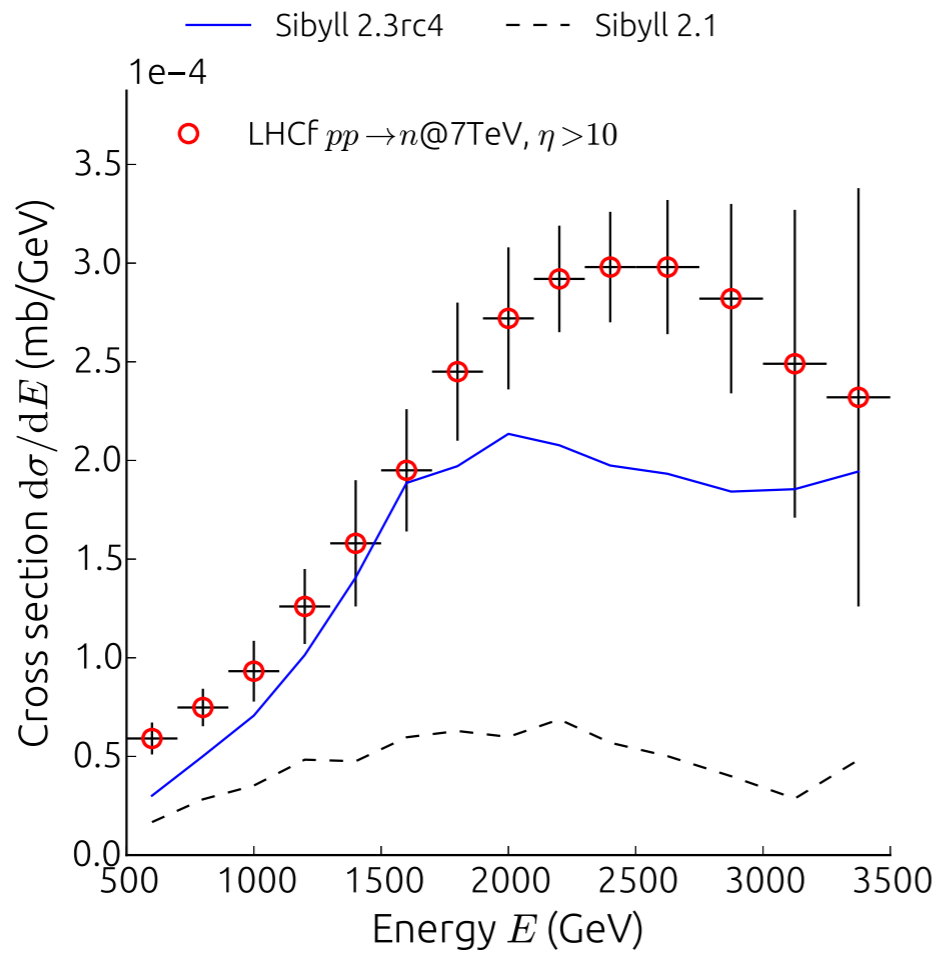
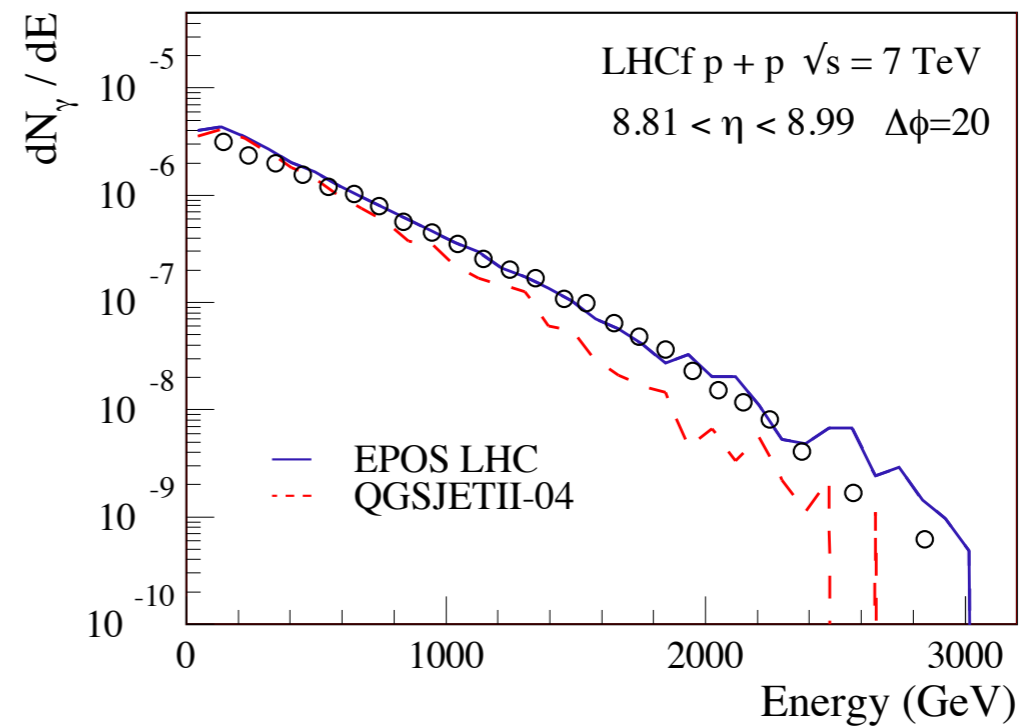
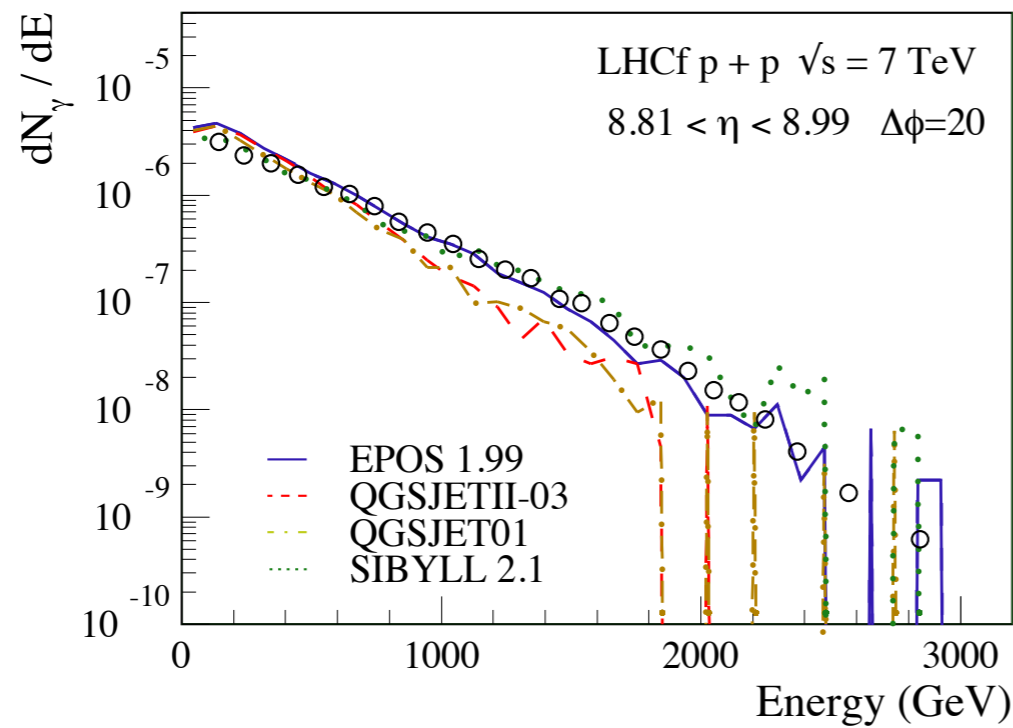


(LHCf, 1507.08764)

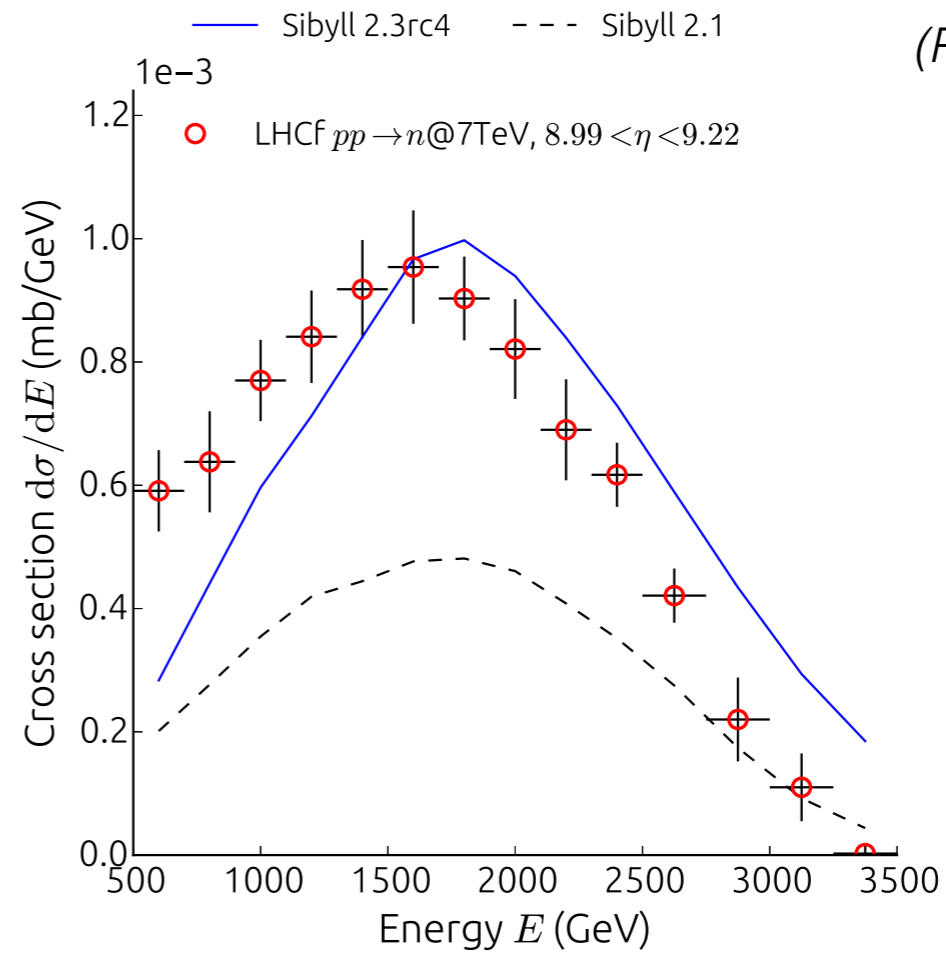


(Itow, ICRC 2015)

# Tuning of interaction models to LHC data



(Pierog 2014)

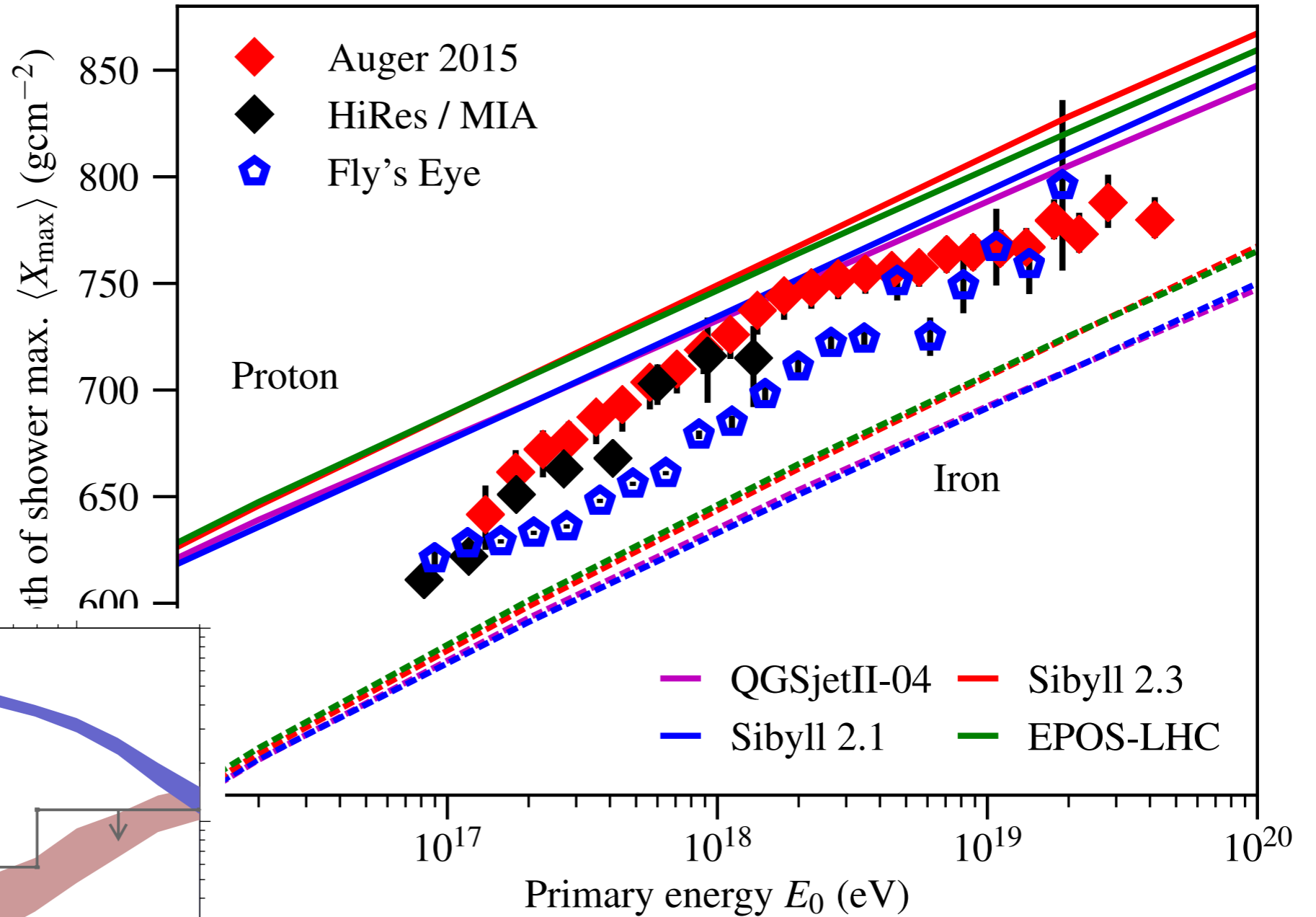


(Riehn 2015)

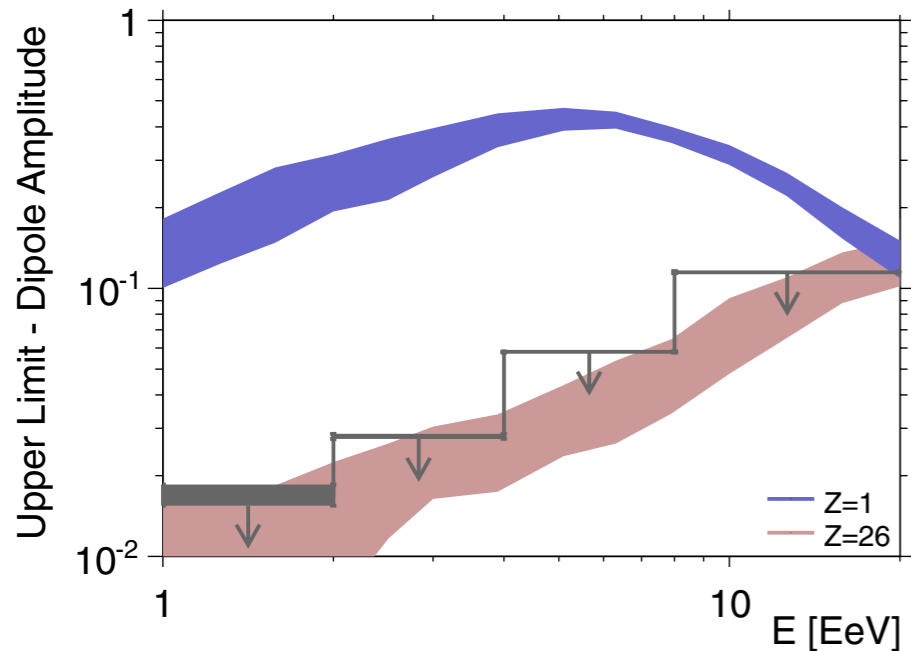
# Current status: mean depth of shower maximum

(Riehn 2016)

(Data of TA not shown in this plot)



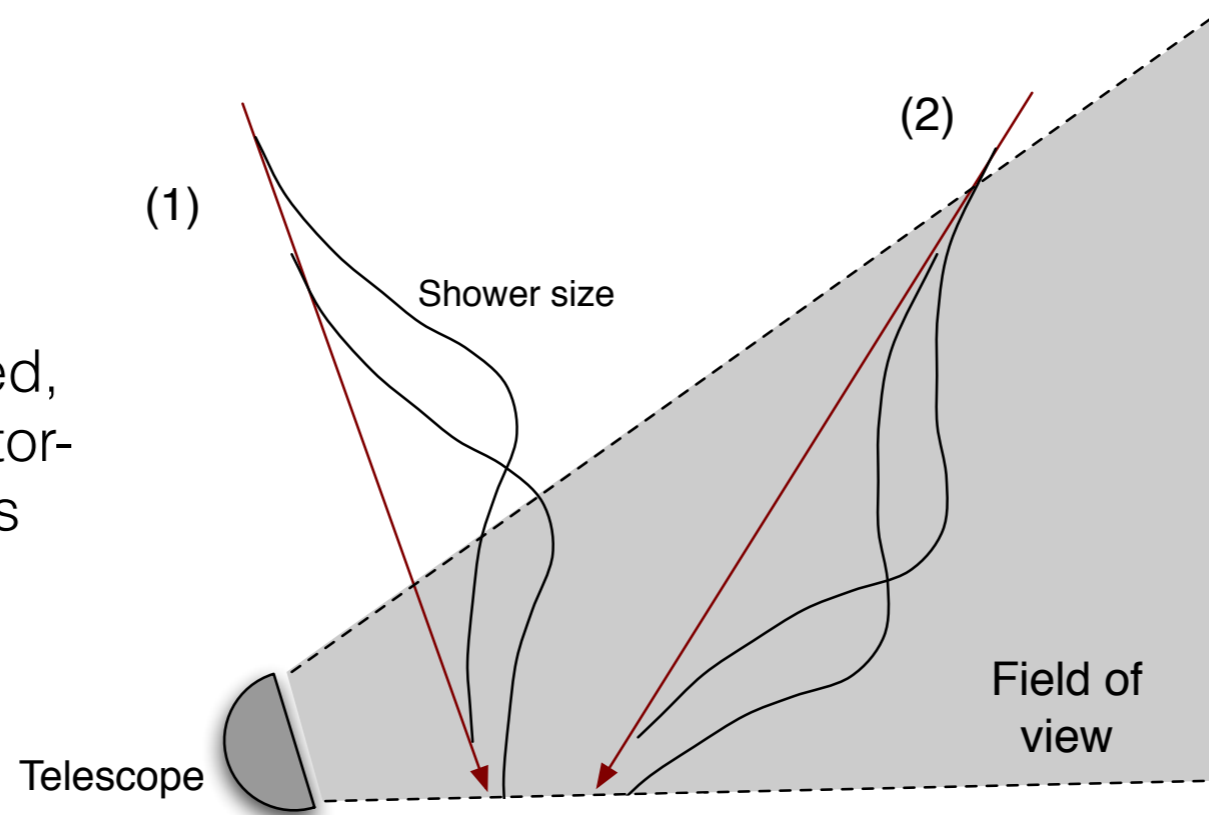
(Auger, ApJ 203, 2012)



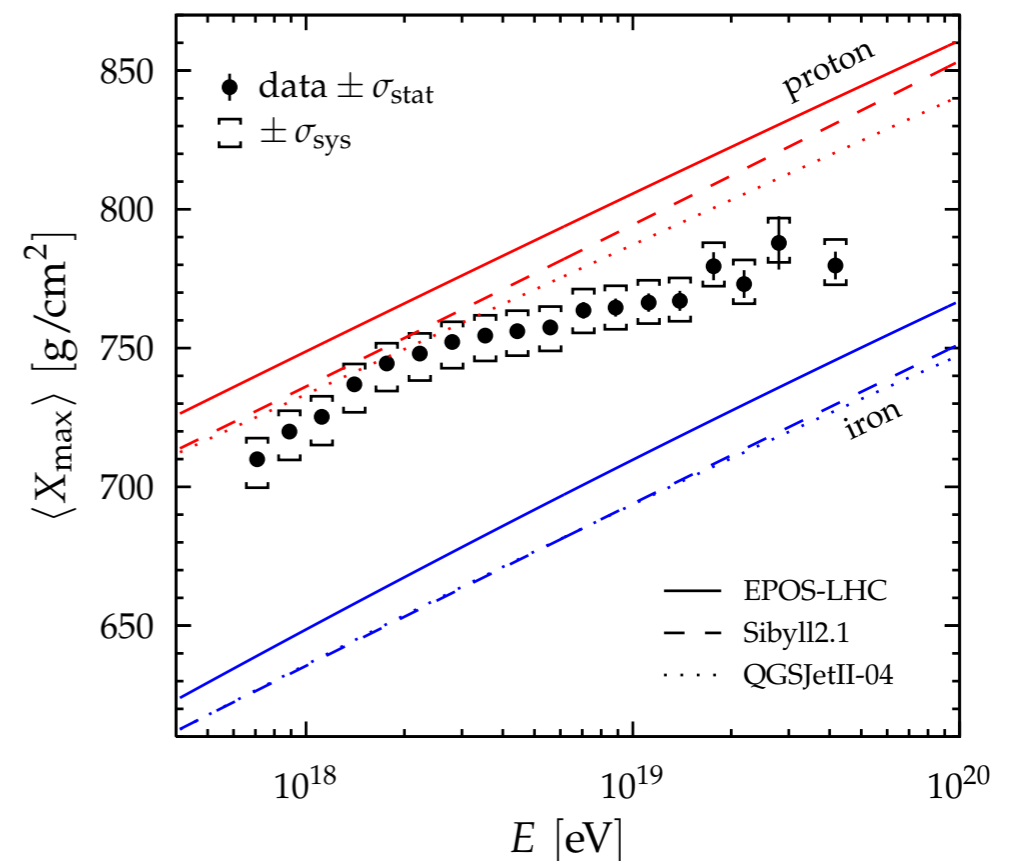
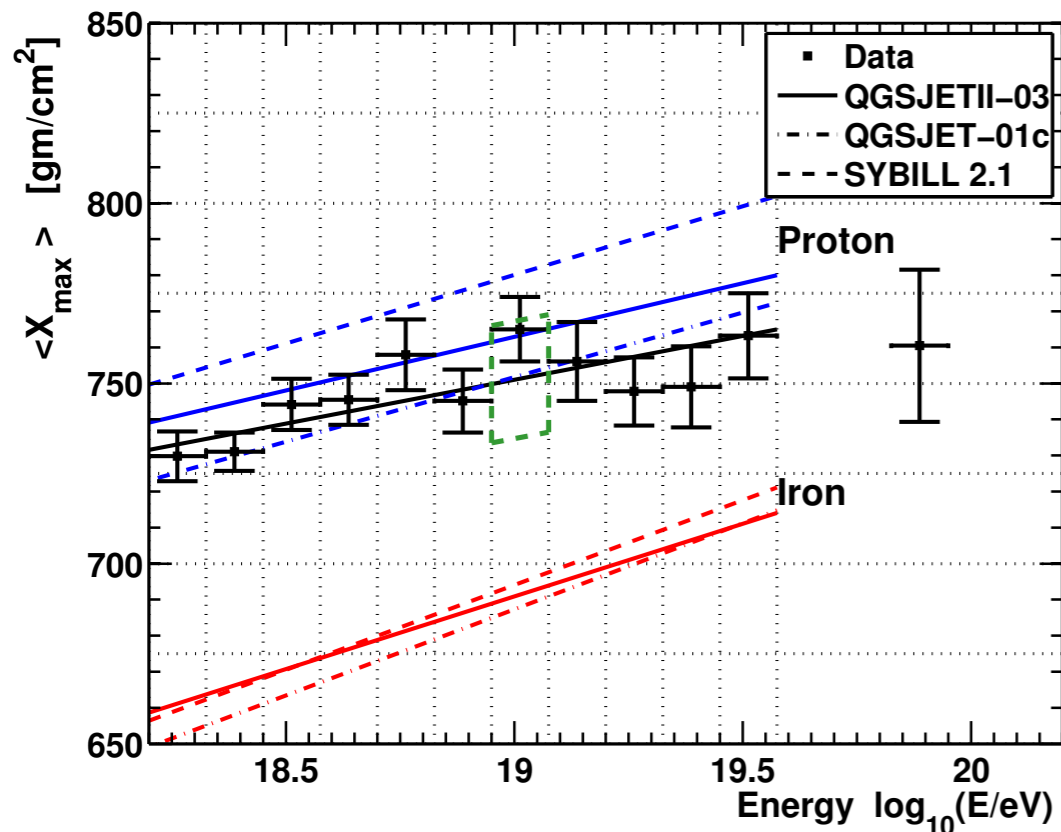
Change of model predictions well understood

# Auger and TA data cannot be shown in one plot

TA: all showers analyzed, comparison with detector-folded  $X_{\max}$  distributions (biased by FoV)

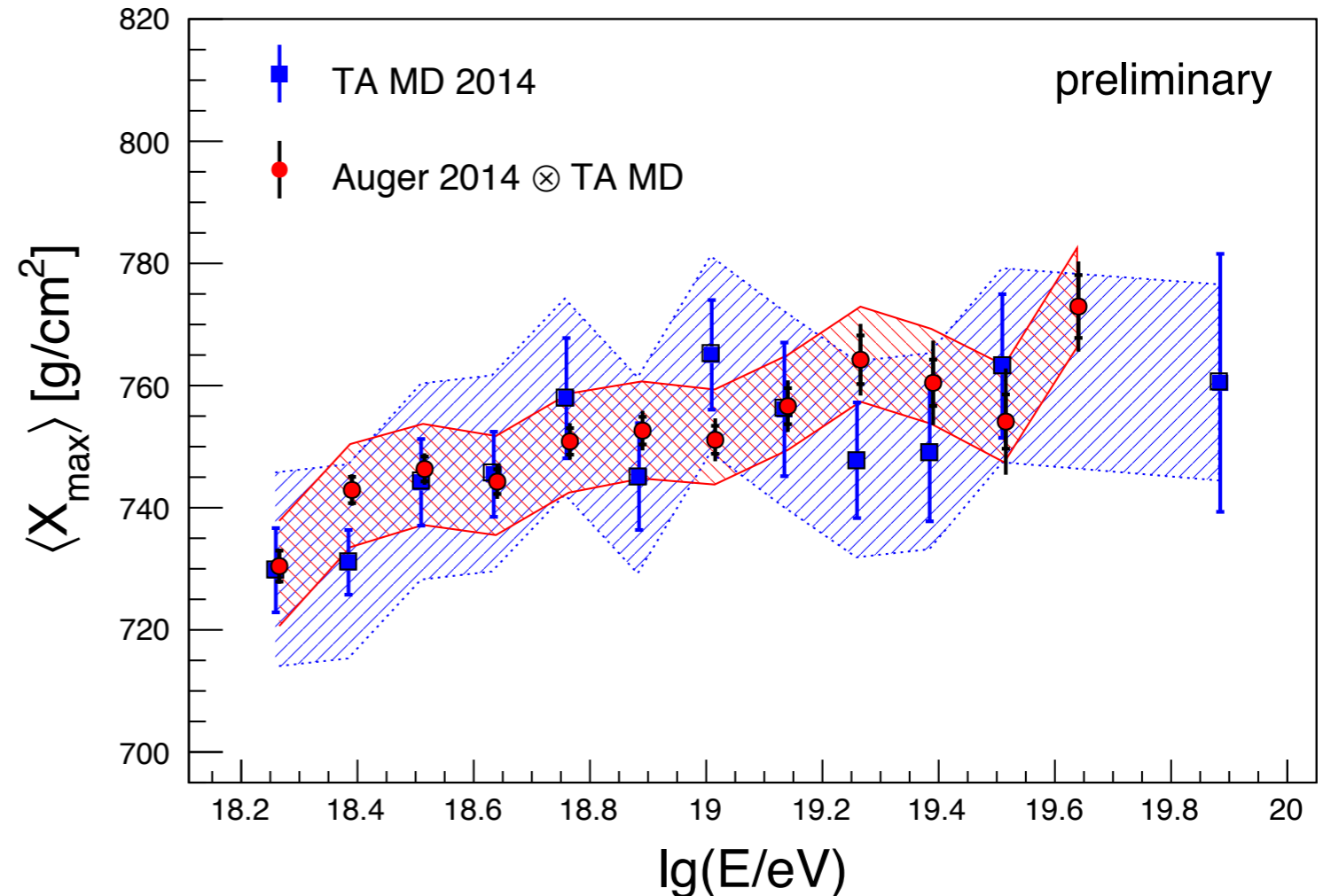


Auger: only analysis of showers for which all relevant  $X_{\max}$  are observable, comparison with theoretical  $X_{\max}$  distributions



# Comparison of Auger and TA mean $X_{\max}$

Auger-TA joint working group (ICRC 2015, 1511.02103)



After accounting for TA detector acceptance both data sets are **fully compatible**

Still different interpretation because of reference models

- Auger: EPOS-LHC, QGSjet II.04, Sibyll 2.1
- TA: QGSjet II.03

# Change of composition or new particle physics?

## Elongation rate theorem

$$D_e^{\text{had}} = X_0(1 - B_n - B_\lambda)$$

(Linsley, Watson PRL46, 1981)

factor  $\sim 36 \text{ g/cm}^2$

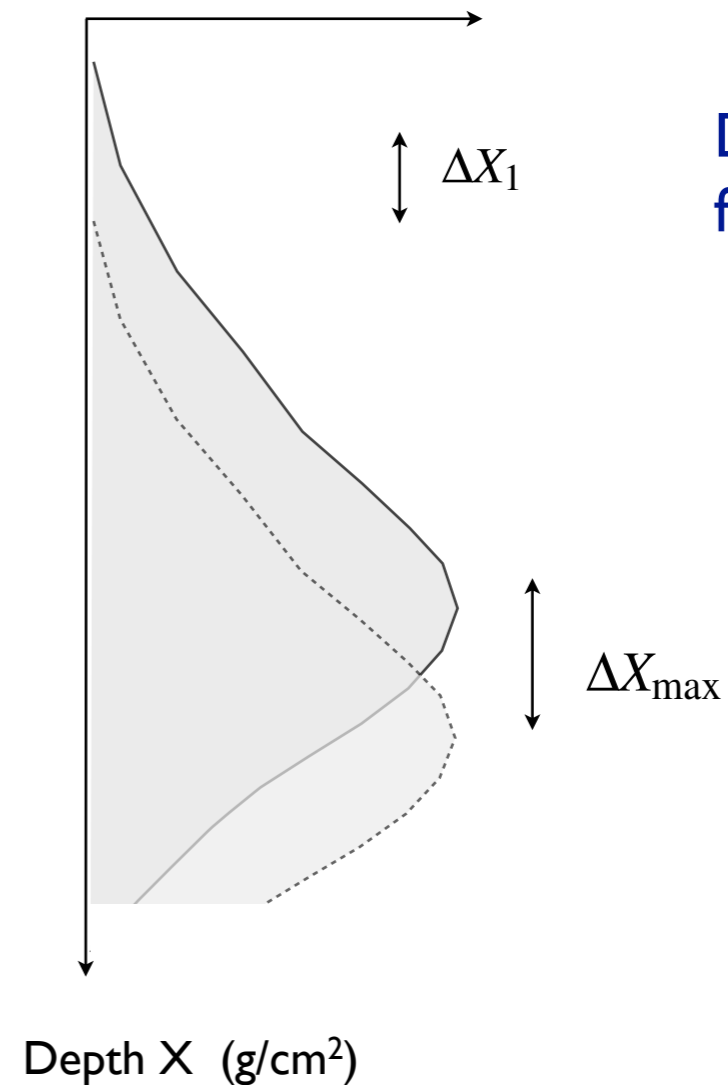
$$B_n = \frac{d \ln n_{\text{tot}}}{d \ln E}$$

Large if multiplicity of high energy particles rises very fast, **zero in case of scaling**

$$B_\lambda = -\frac{1}{X_0} \frac{d \lambda_{\text{int}}}{d \ln E}$$

Large if cross section rises rapidly with energy

Number of charged particles



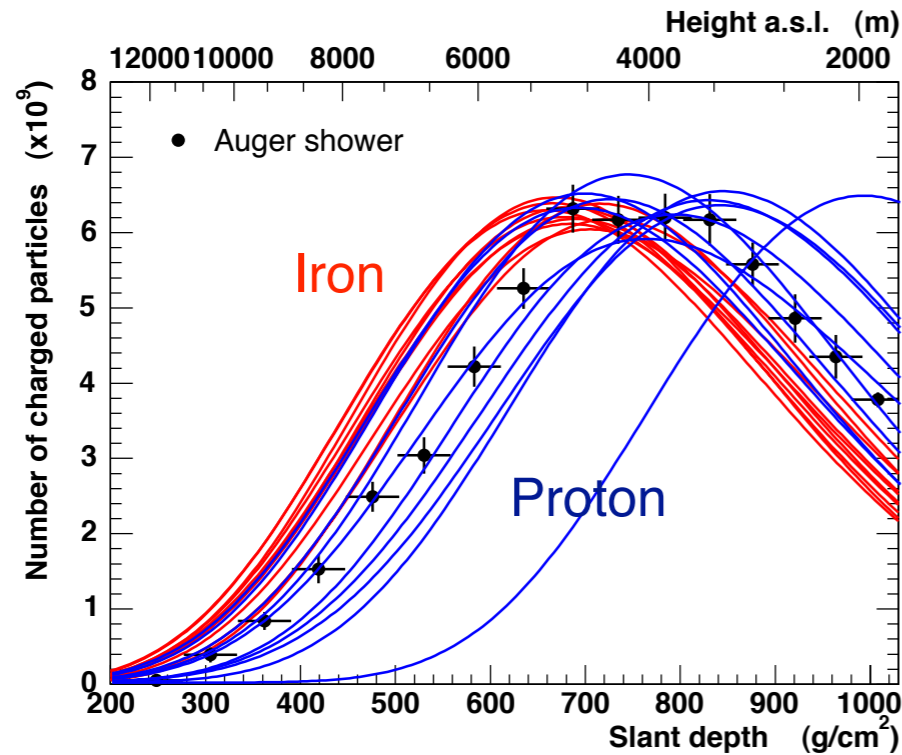
Depth fluctuations

$$\frac{dP}{dX_1} = \frac{1}{\lambda_{\text{int}}} e^{-X_1/\lambda_{\text{int}}}$$

$$\sigma_{\text{p-air}} = \frac{\langle m_{\text{air}} \rangle}{\lambda_{\text{int}}}$$



# Change of composition or new particle physics?



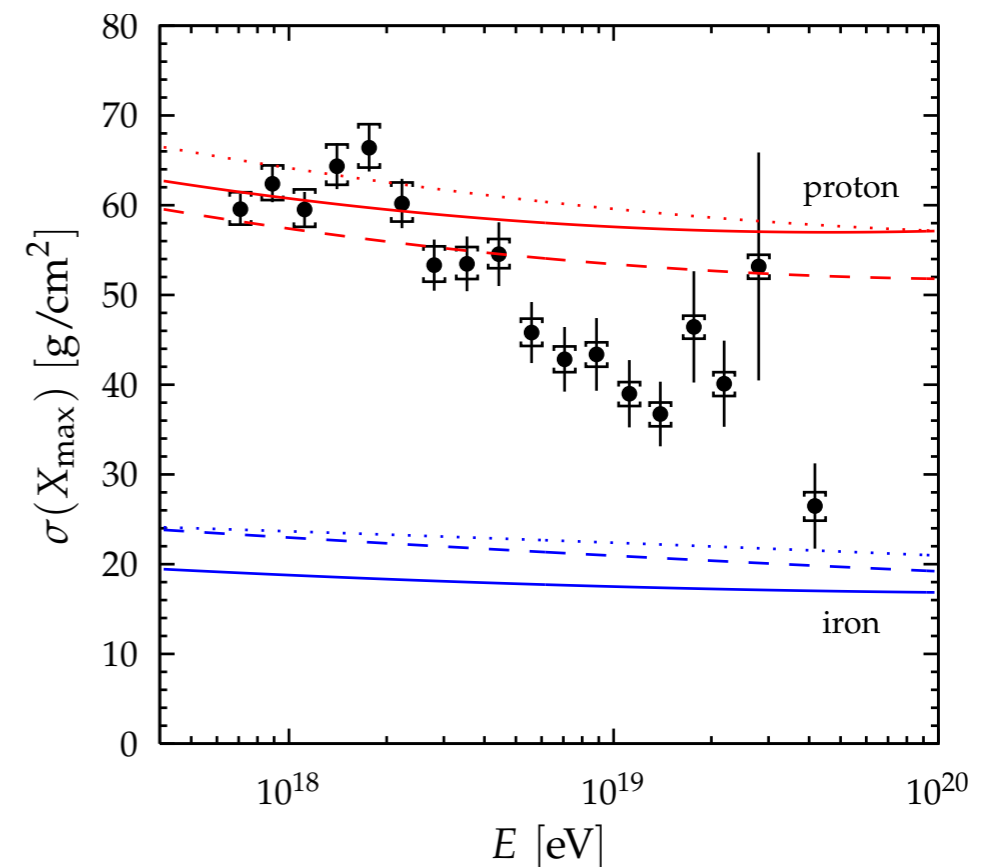
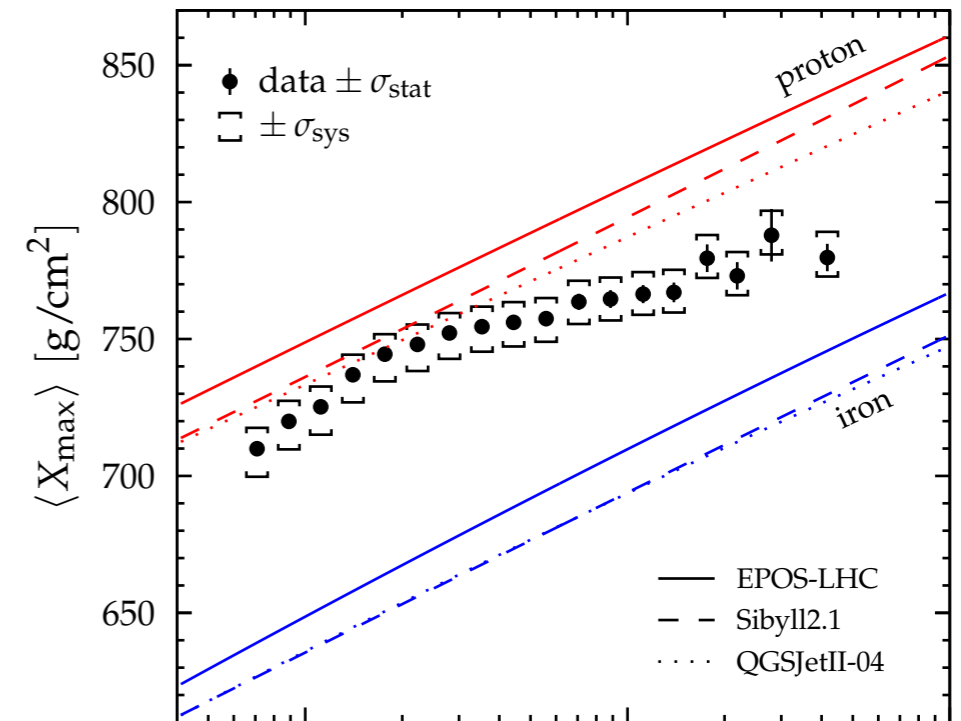
Example: event measured by Auger Collab.

Protons:  $\sim 50\%$  of  $X_{\text{max}}$  fluctuations due to depth of first interaction, large increase of cross section required (and further changes)

No deep showers at higher energies expected

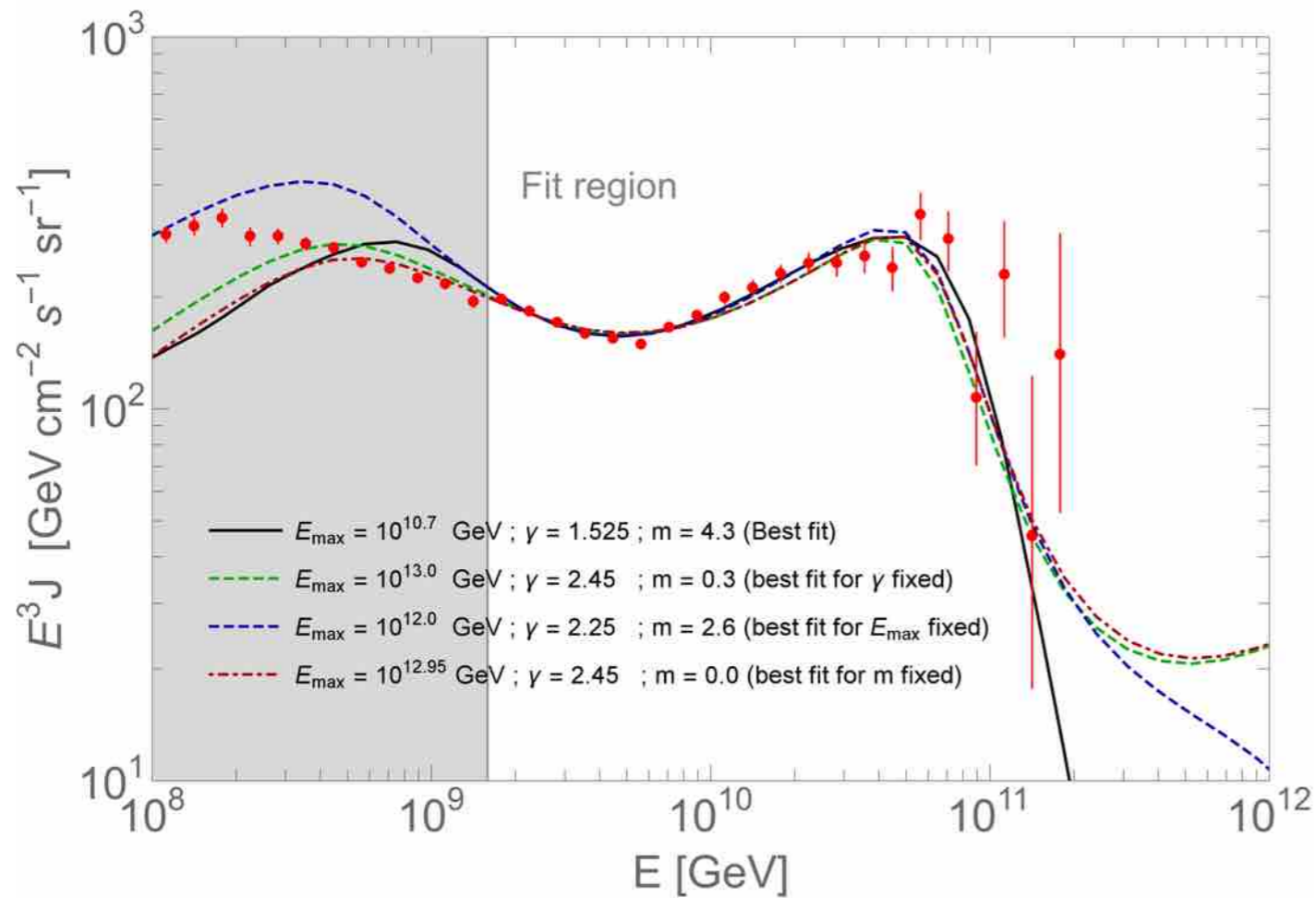
Multi-messenger constraints (GZK secondaries)

(Auger PRD90, 2014)

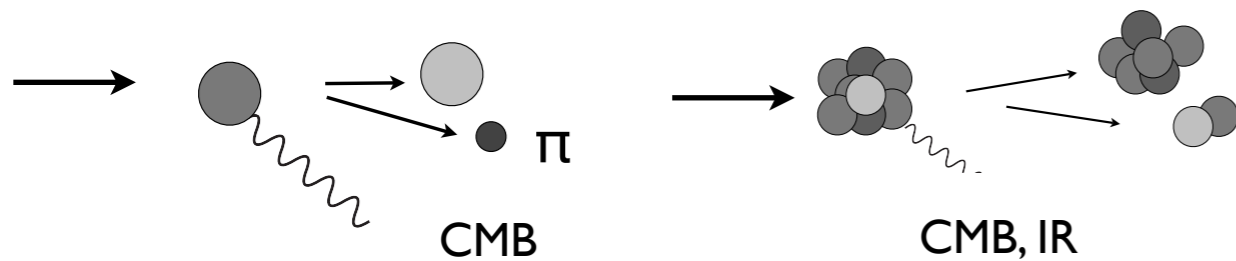
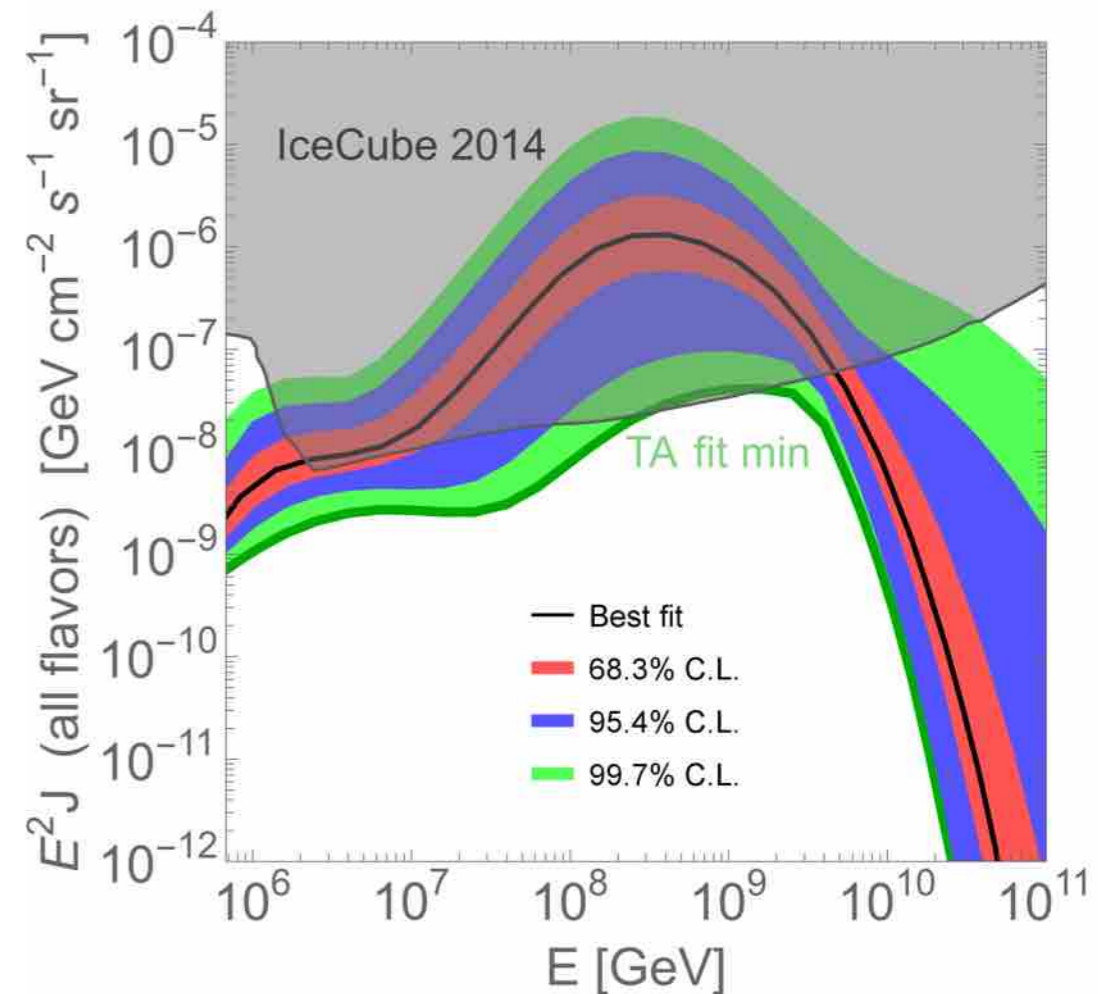


# Example of emerging multi-messenger constraints

(Heinze et al. 1512.05988)



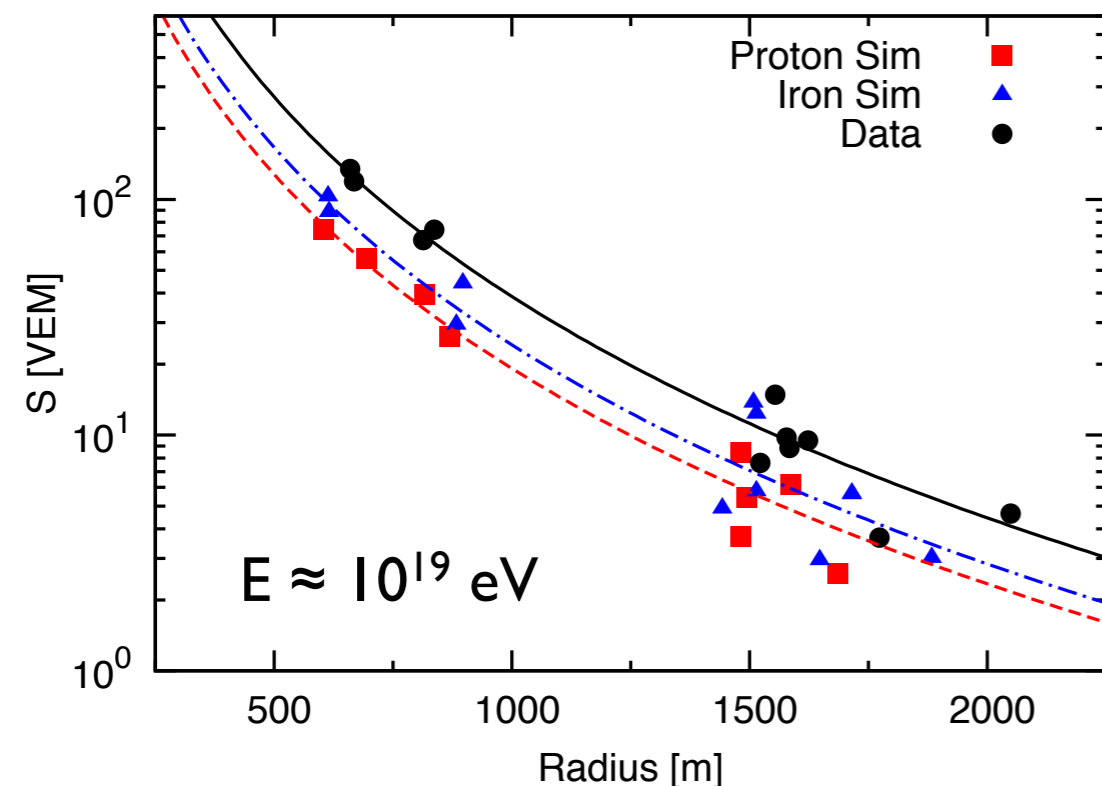
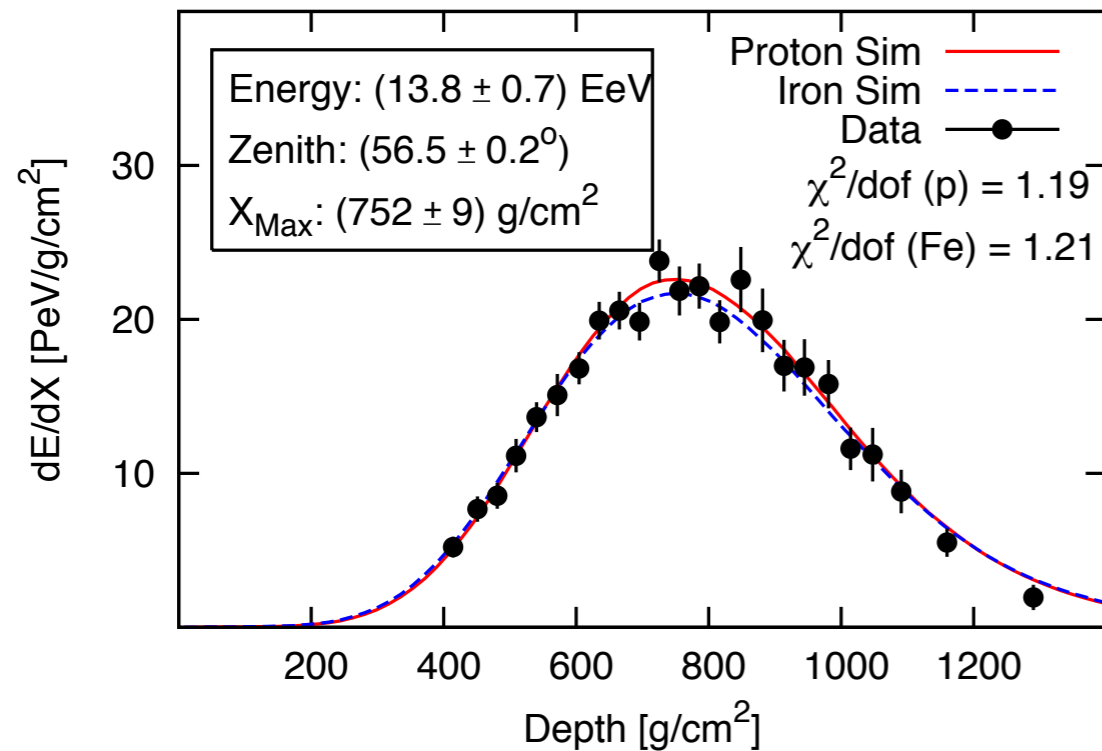
Hypothesis:  
flux only protons,  
fit to TA spectrum,  
GZK neutrinos



Similar considerations also for diffuse gamma ray background (Ahlers et al., Taylor et al.)

# **Low and intermediate energy interactions**

# Discrepancy: shower profile and particles at ground

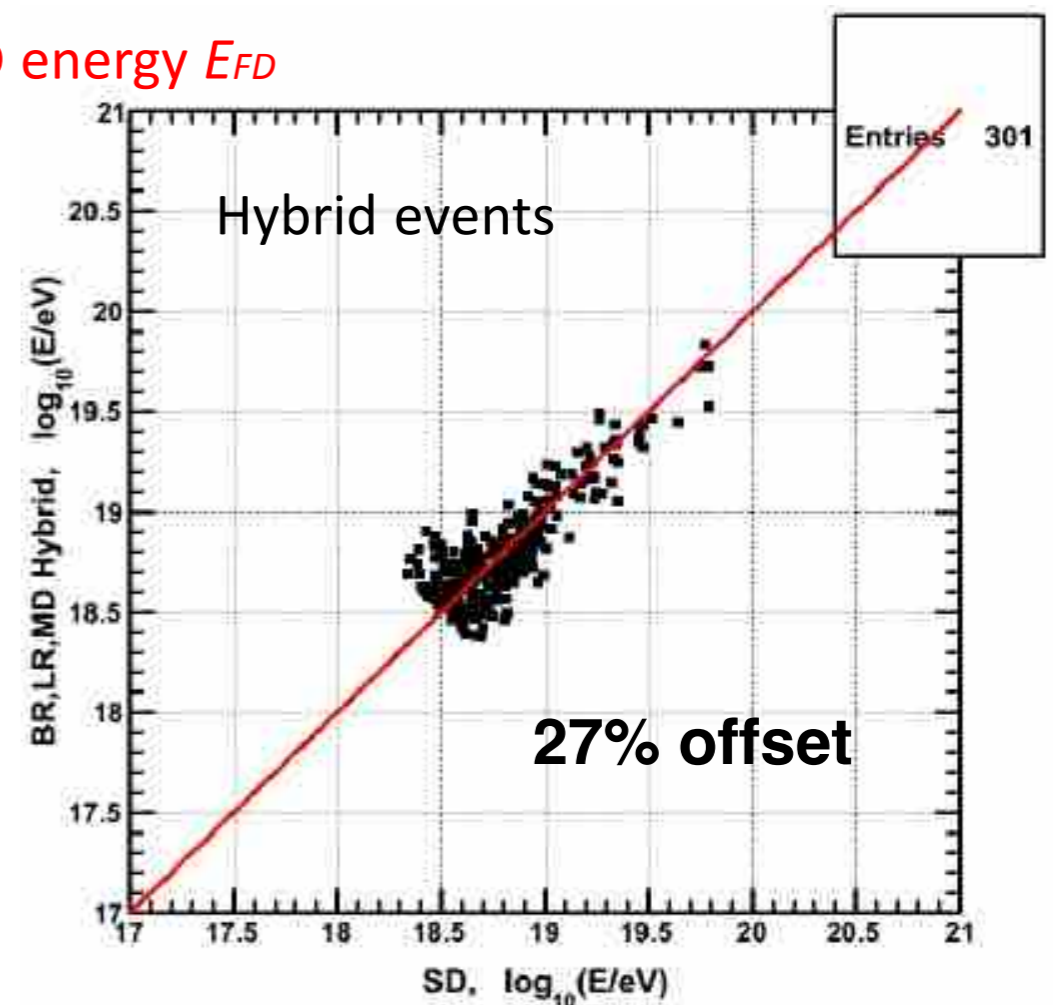


(Auger ICRC 2015)

Auger: angular dependence hints at lack of muons in simulation

Telescope Array

FD energy  $E_{FD}$



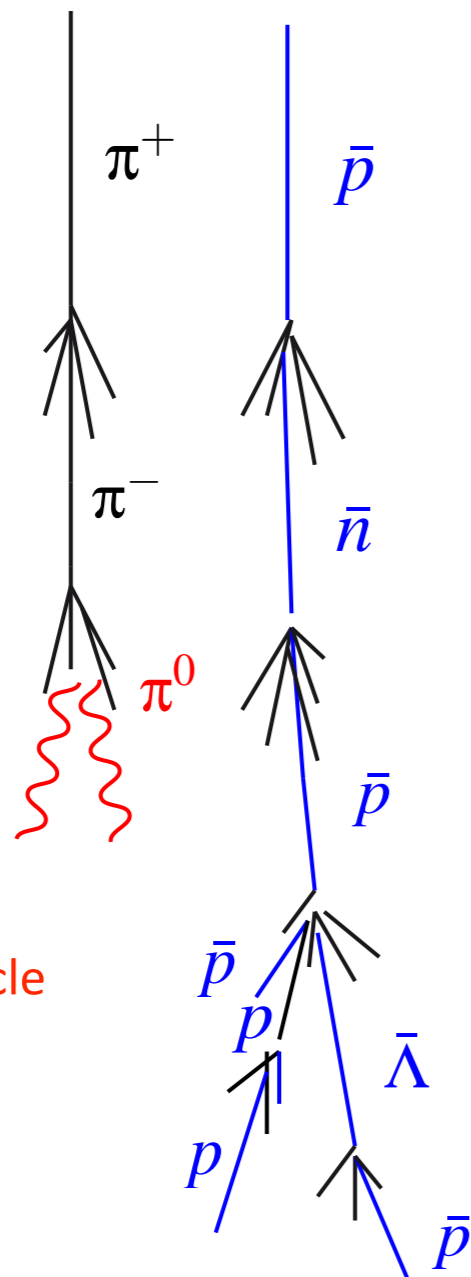
SD energy  $E_{SD}$

(TA ICHEP 2014)

# How to increase the number of muons?

Meson  
sub-shower

Baryon  
sub-shower



Decay of  
leading particle

$\pi^\pm$  ~30% chance to have  
 $\pi^0$  as leading particle

## 1 Baryon-Antibaryon pair production *(Pierog, Werner)*

- Baryon number conservation
- Low-energy particles: large angle to shower axis
- Transverse momentum of baryons higher
- Enhancement of mainly **low-energy** muons

*(Grieder ICRC 1973; Pierog, Werner PRL 101, 2008)*

## 2 Leading particle effect for pions *(Drescher 2007, Ostapchenko 2014)*

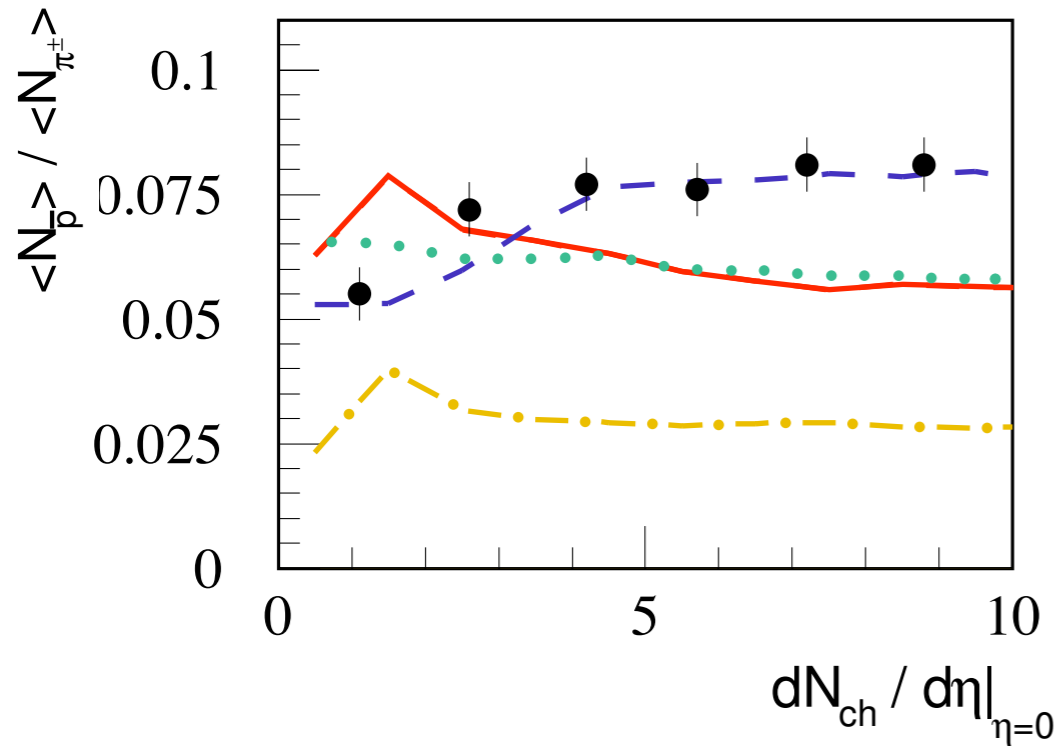
- Leading particle for a  $\pi$  could be  $\rho^0$  and not  $\pi^0$
- Decay of  $\rho^0$  almost 100% into two charged pions

## 3 New hadronic physics at high energy *(Farrar, Allen 2012)*

- Inhibition of  $\pi^0$  decay (Lorentz invariance violation etc.)
- Chiral symmetry restoration

# Baryon pair-production rate in p-p collisions

Tevatron data (E735: 1800 GeV)



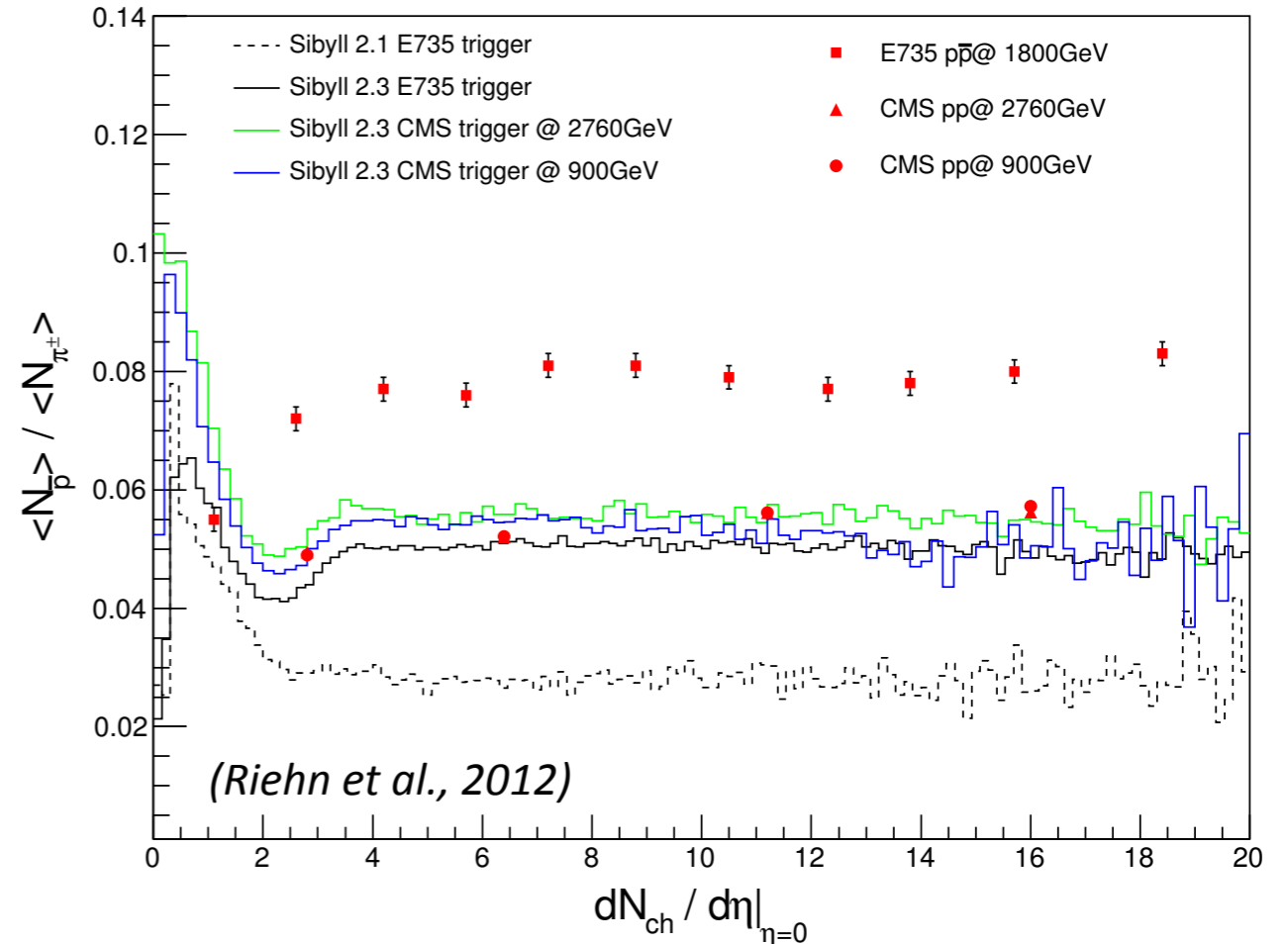
— QGSJET II  
- - - EPOS 1.60  
⋯ QGSJET01  
- · - · SIBYLL 2.1

Ratio multiplicities of antiprotons to pions

(Pierog, Werner *Phys. Rev. Lett.* 101, 2008)

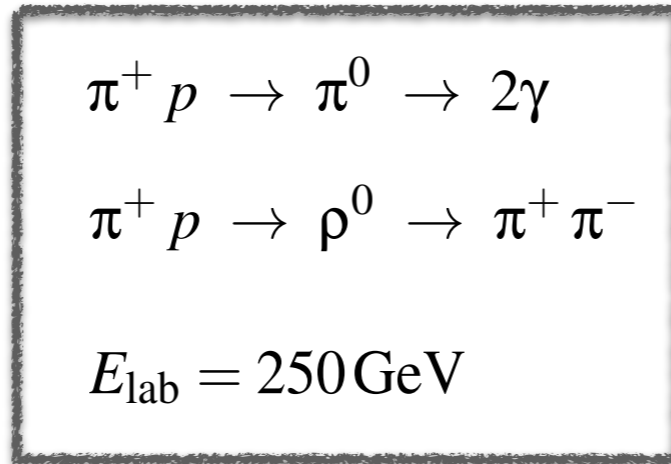
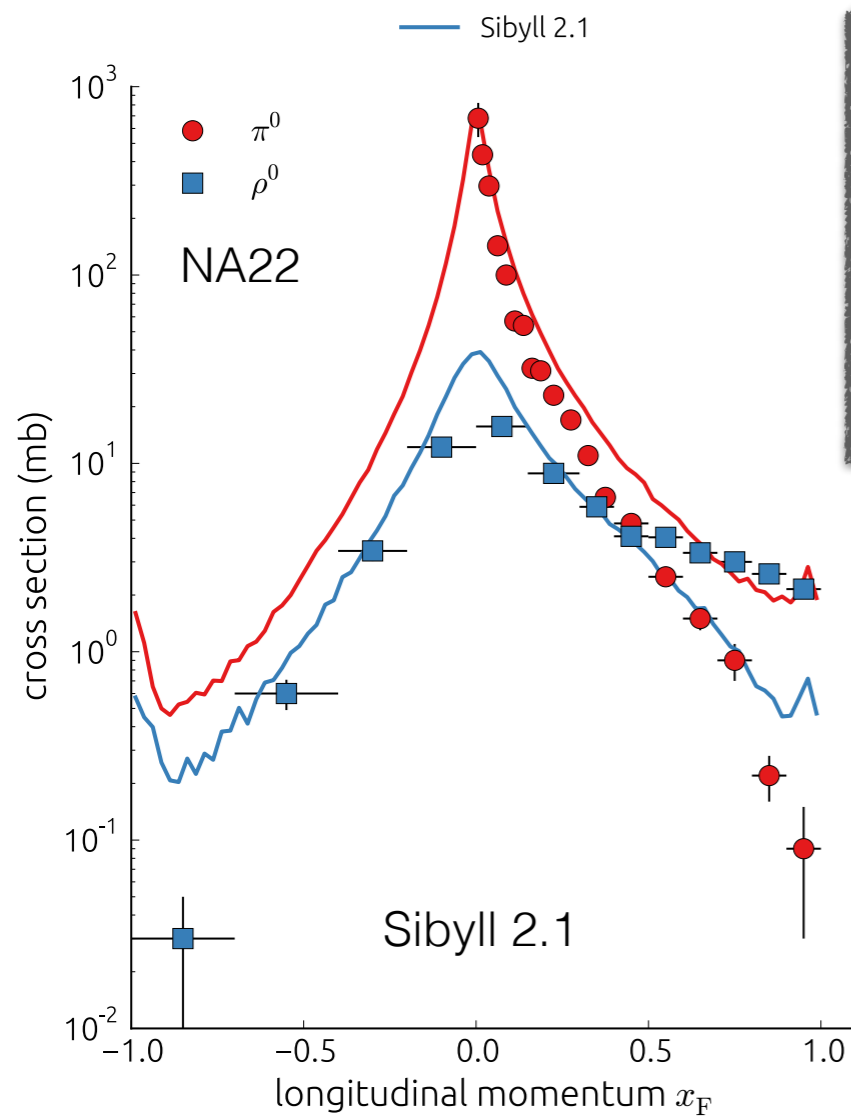
LHC measurements do not confirm large antiproton production derived from Tevatron data (rapidity vs. pseudorap.?)

LHC data (CMS: 900 and 2760 GeV)

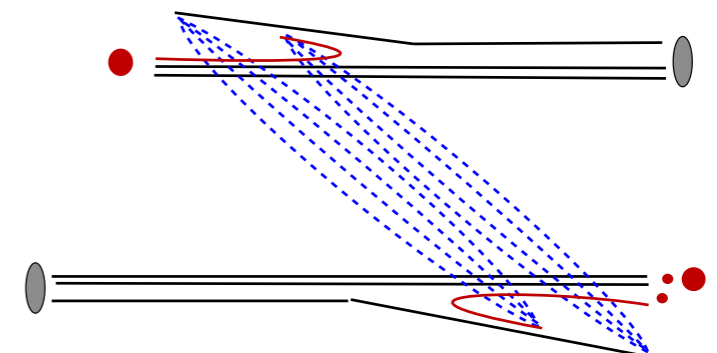
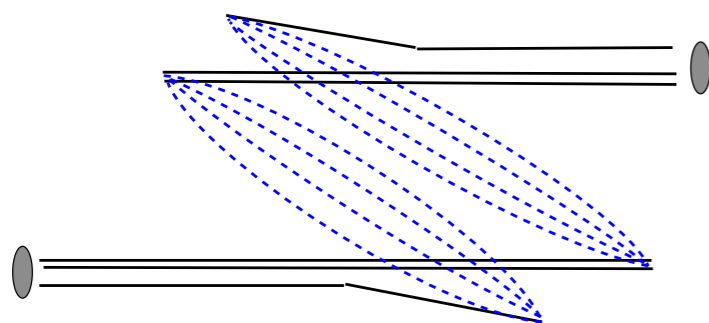
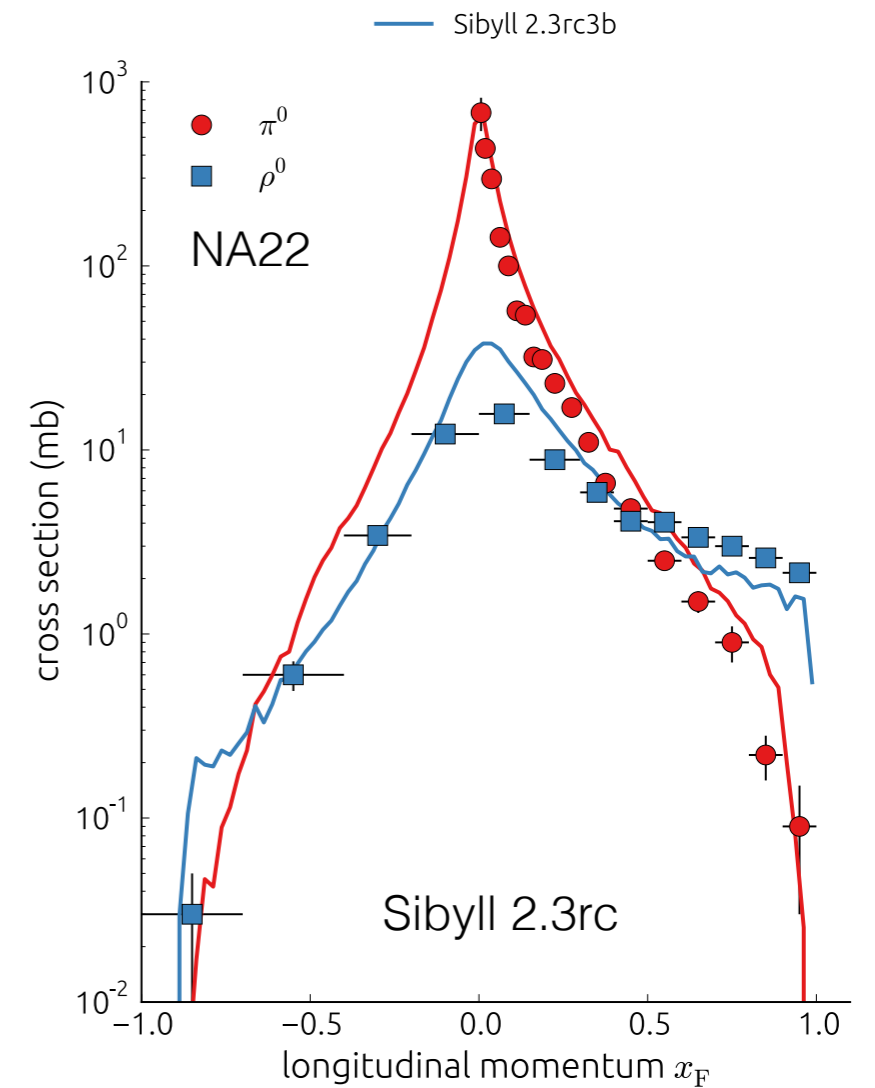


(Riehn et al., 2012)

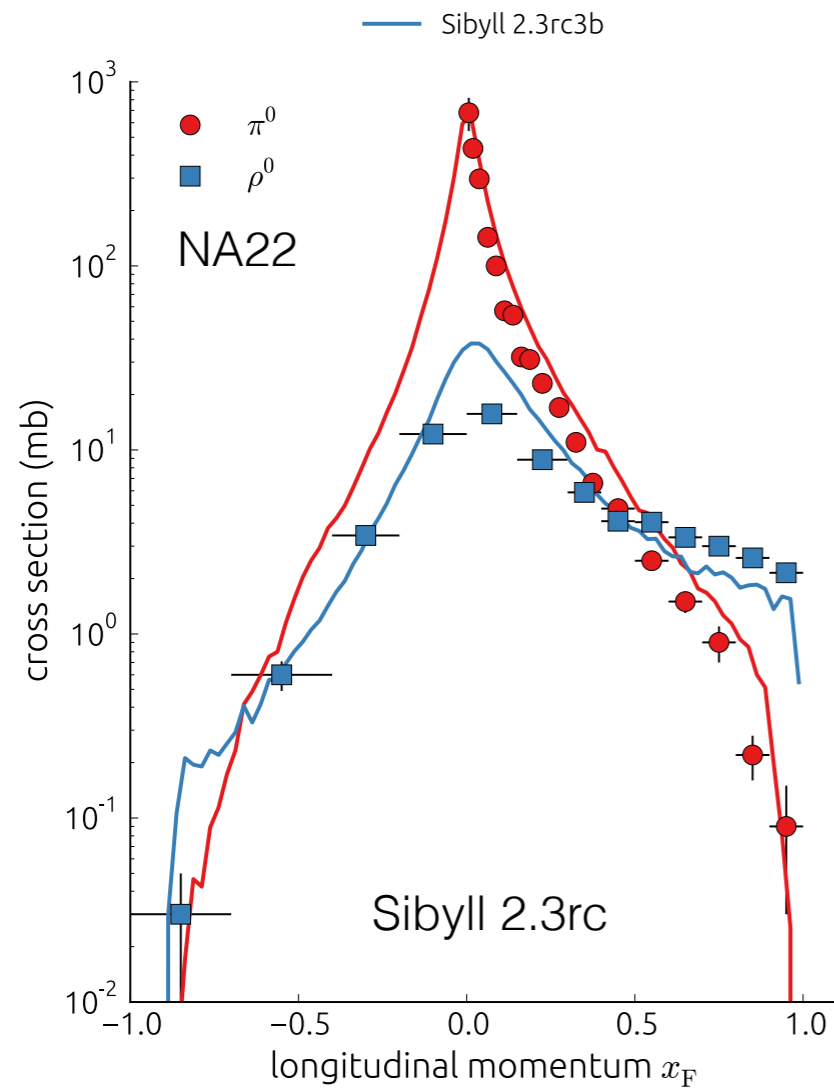
# Rho production in pion-proton interactions (i)



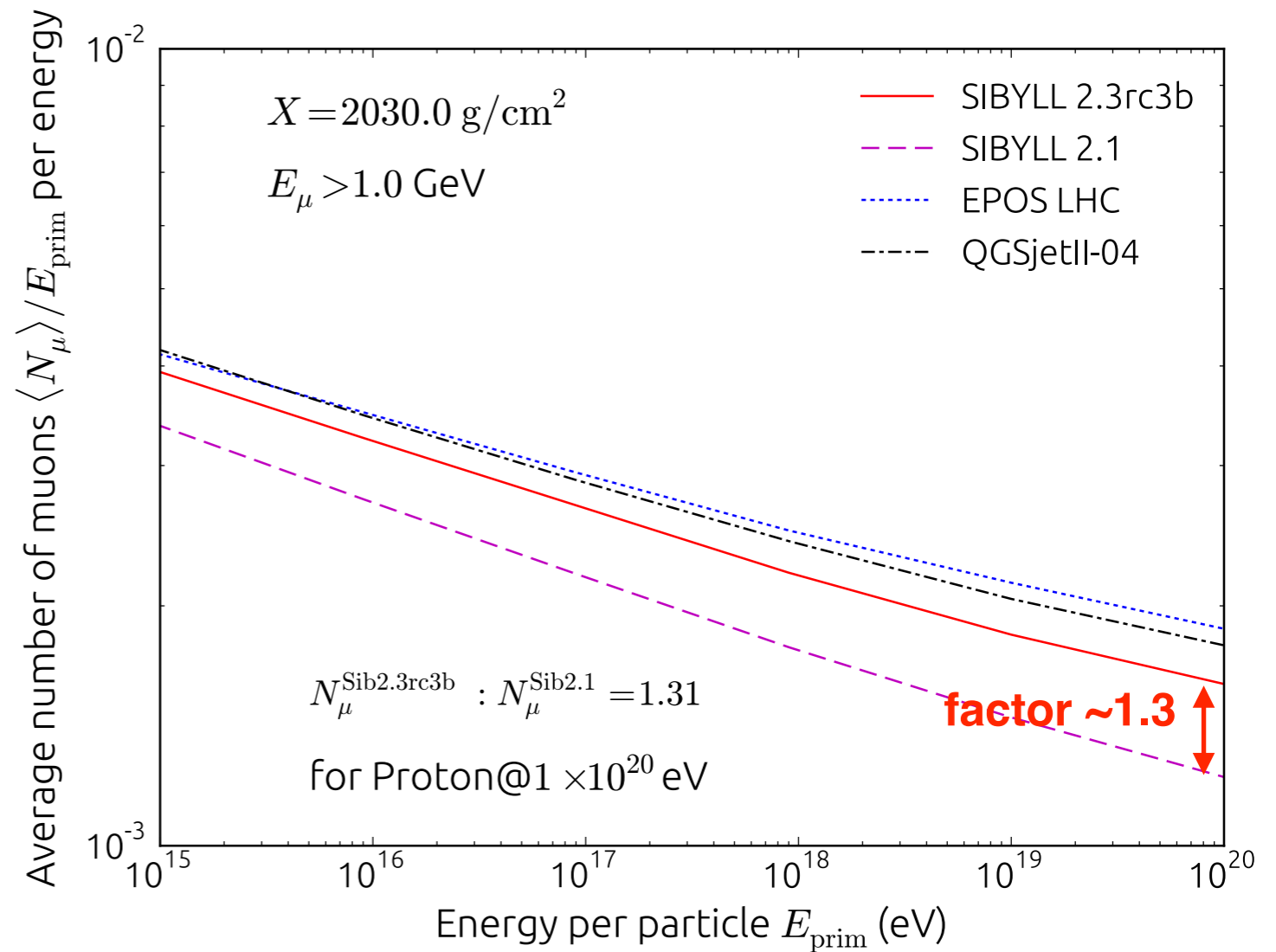
$$x_F = p_{\parallel} / p_{\text{max}}$$



# Rho production in pion-proton interactions (ii)



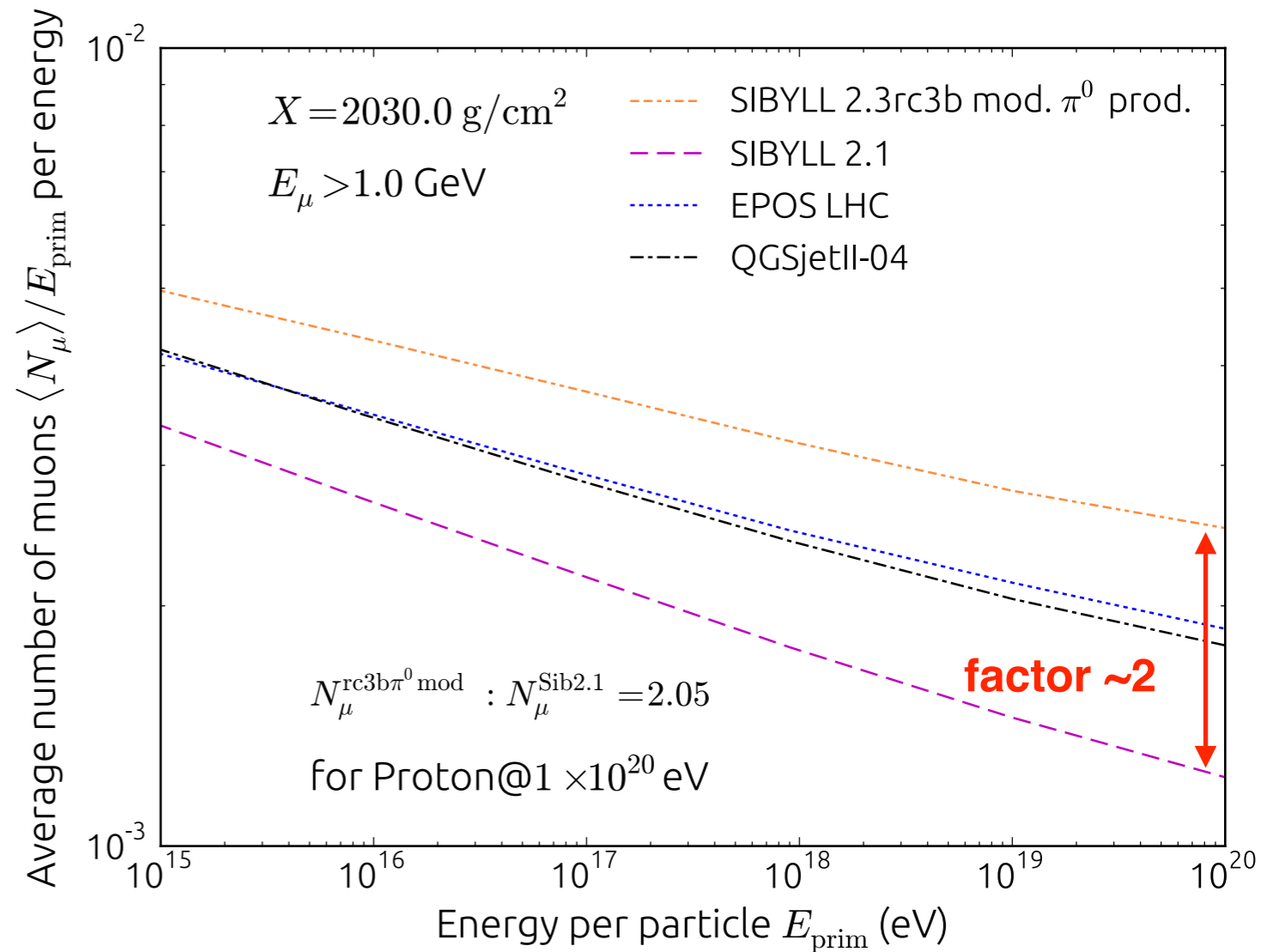
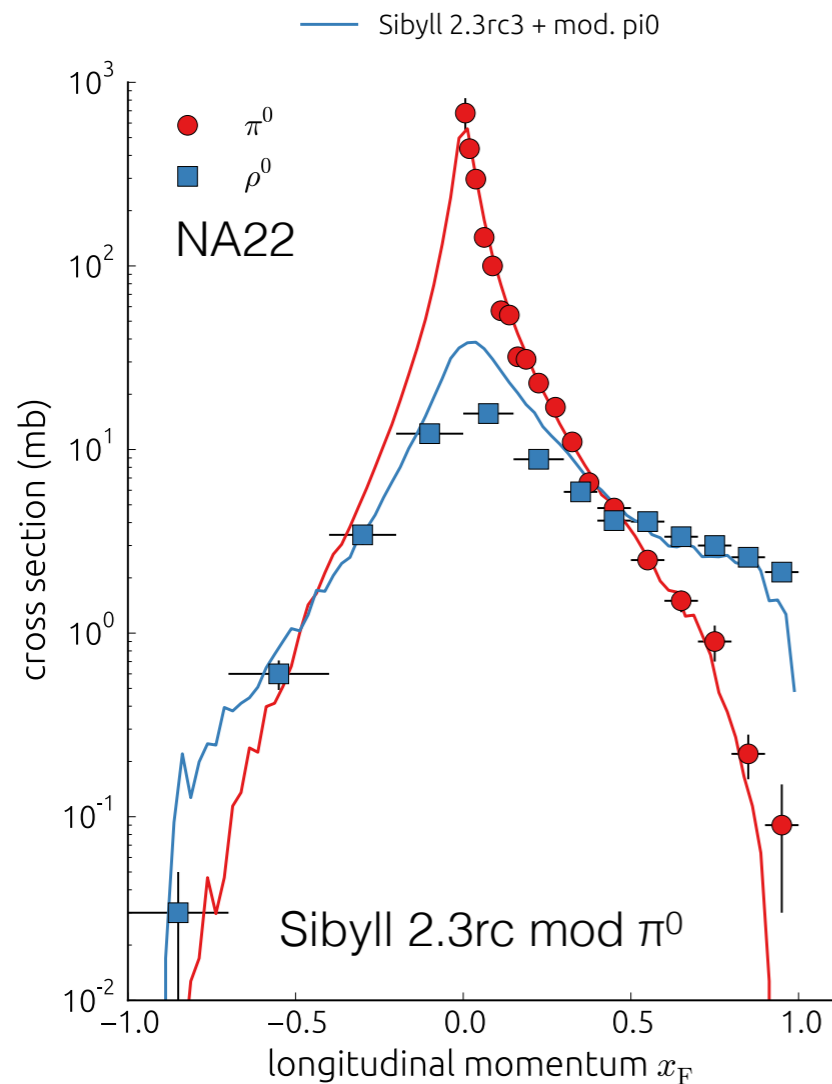
Description of data not optimal



Sibyll 2.3



# Rho production in pion-proton interactions (iii)



Ad hoc modified  $\rho^0$  and  $\pi^0$  production

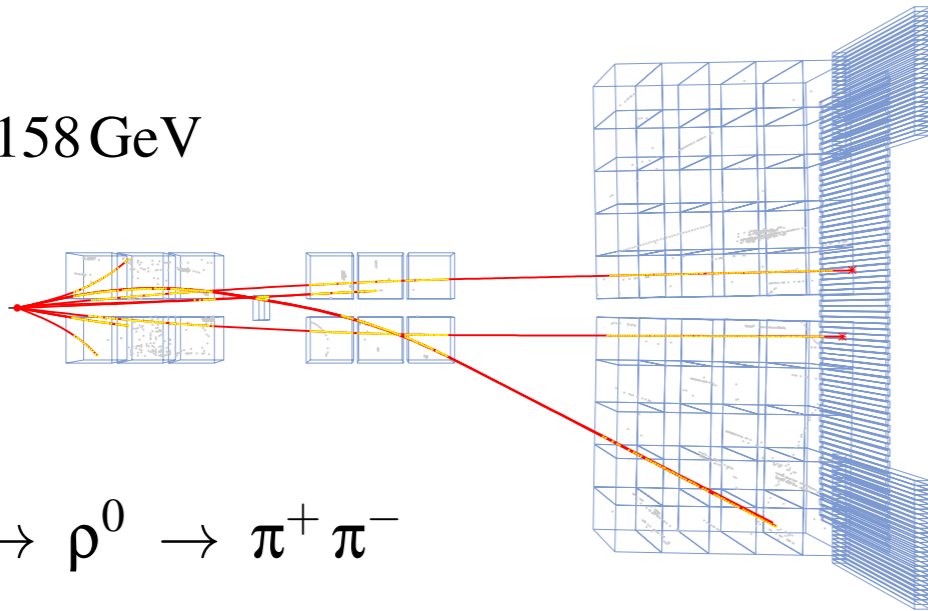
Sibyll 2.3rc mod  $\pi^0$

# NA61 at SPS: results on rho production on carbon

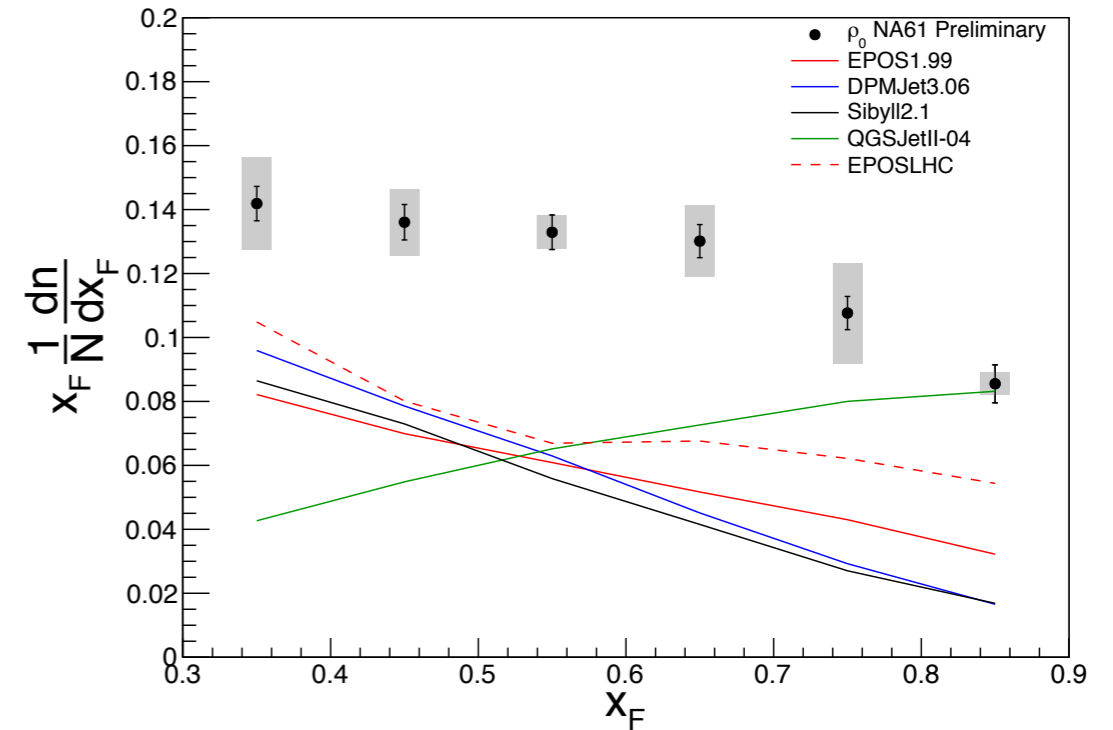
Dedicated cosmic ray runs  
( $\pi^-$ -C at 158 and 350 GeV)

$E_{\text{lab}} = 158 \text{ GeV}$

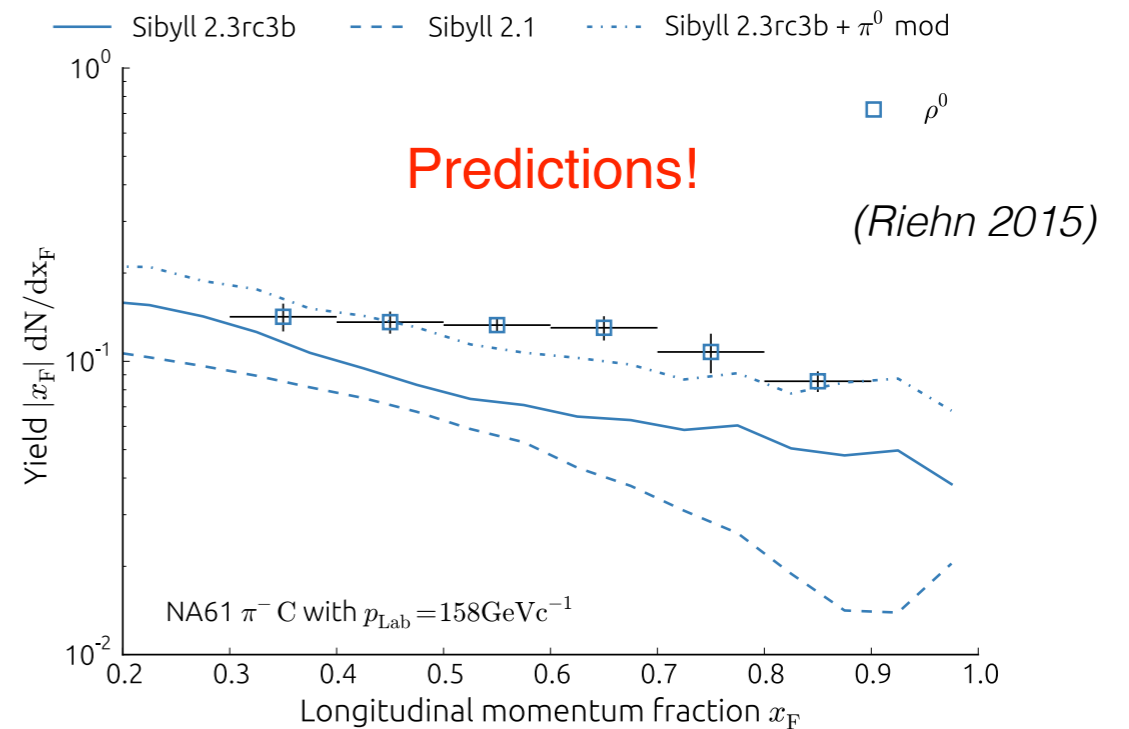
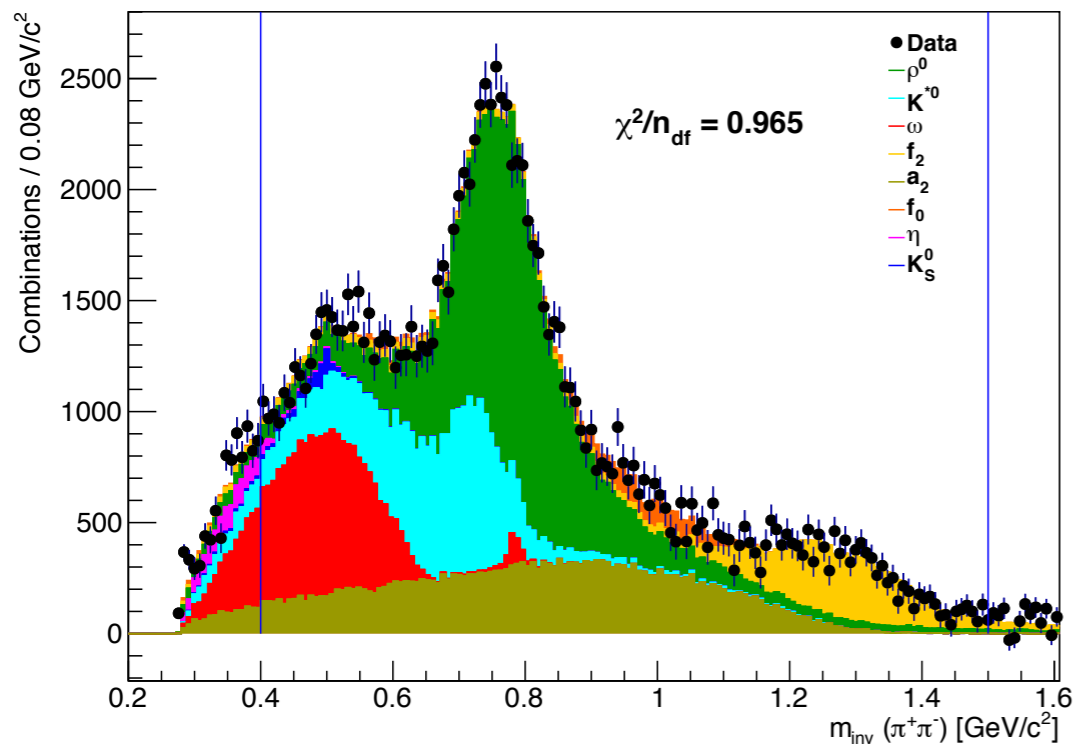
$\pi^- C \rightarrow \rho^0 \rightarrow \pi^+ \pi^-$



(NA61, Herve, ICRC 2015)

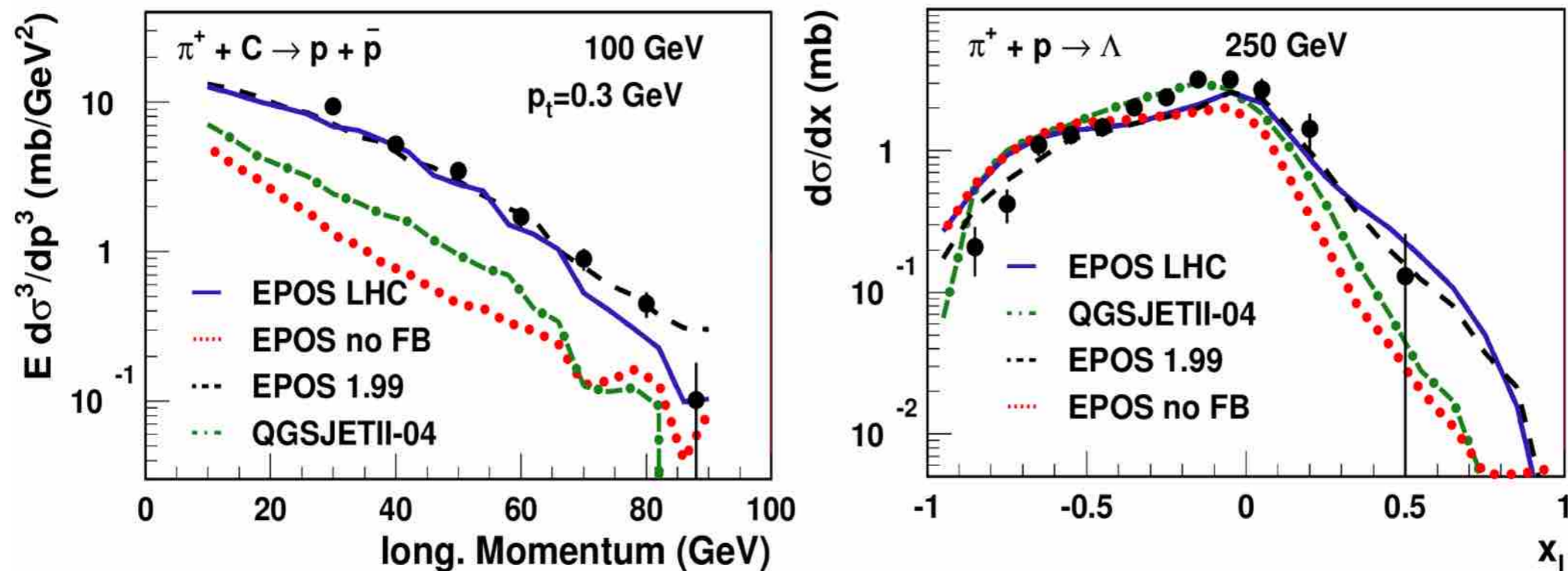


Invariant mass of two charged tracks



# Baryon production in $\pi$ -air interactions?

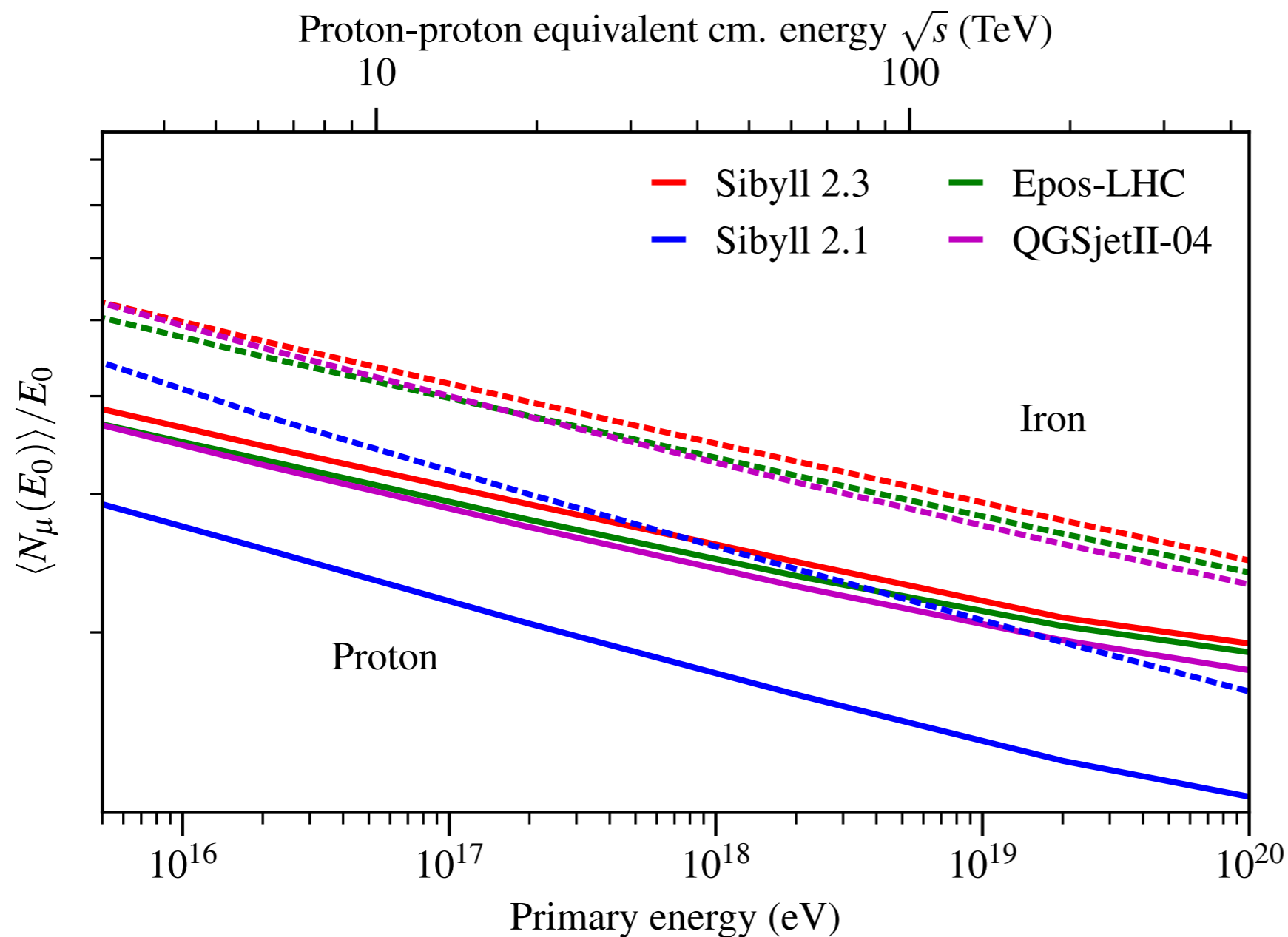
EPOS-LHC: lower rho-0 production rate than QGSjet II.04 but higher muon number



(Pierog, QCD at Cosmics, 2016)

Only one data set, indications for unexpectedly large baryon production rate, Need NA61 data for confirmation (energy dependence?)

# Current status of predicted muon numbers



(Riehn 2016)

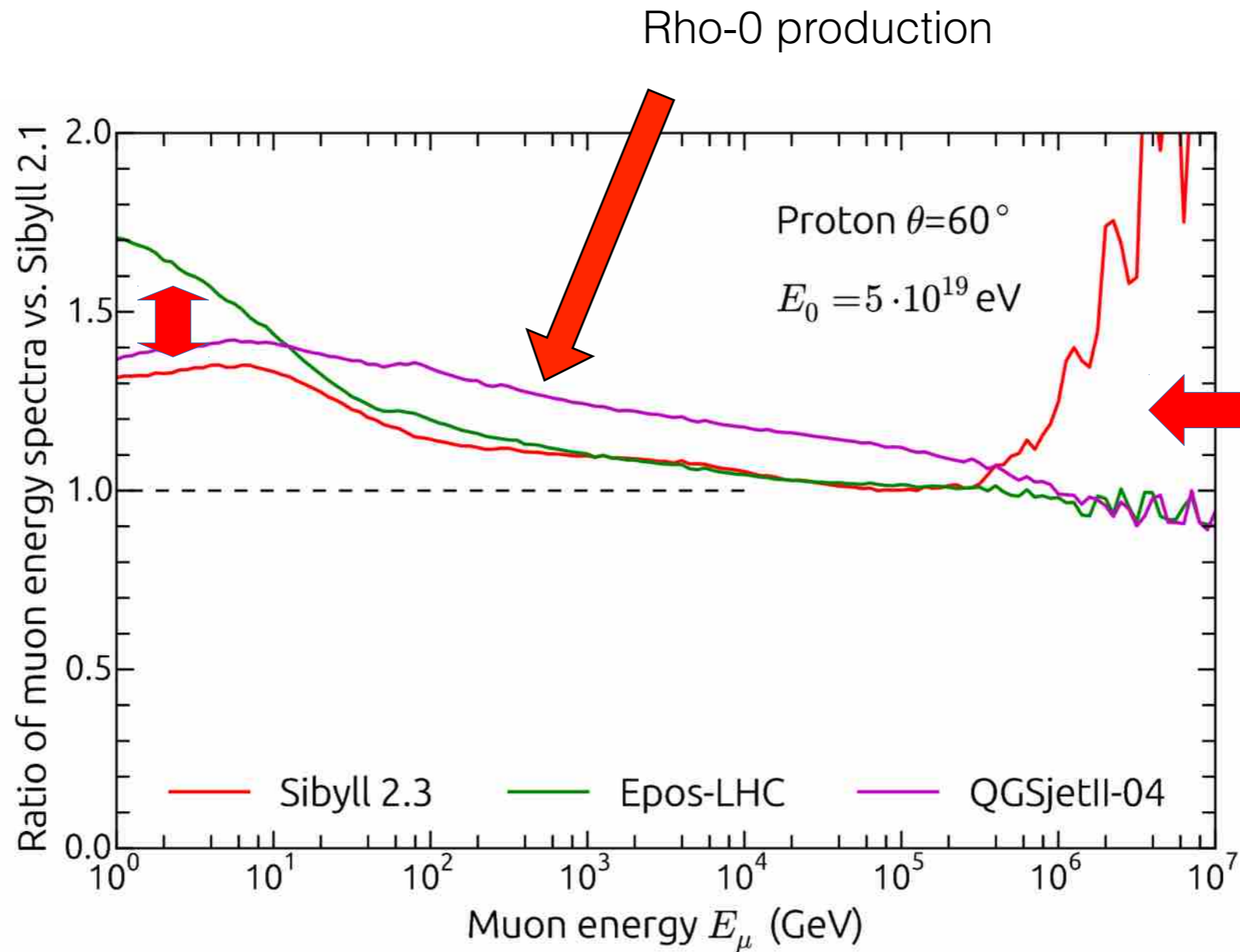
Models differ in baryon and rho-0 production rate

Convergence of predictions not reliable, further increase of muon number expected (due to increase of rho-0 production in interaction models)

# Energy spectrum of muons in EAS

Muon energy spectra relative to Sibyll 2.1

Low-energy enhancement due to baryon pair production



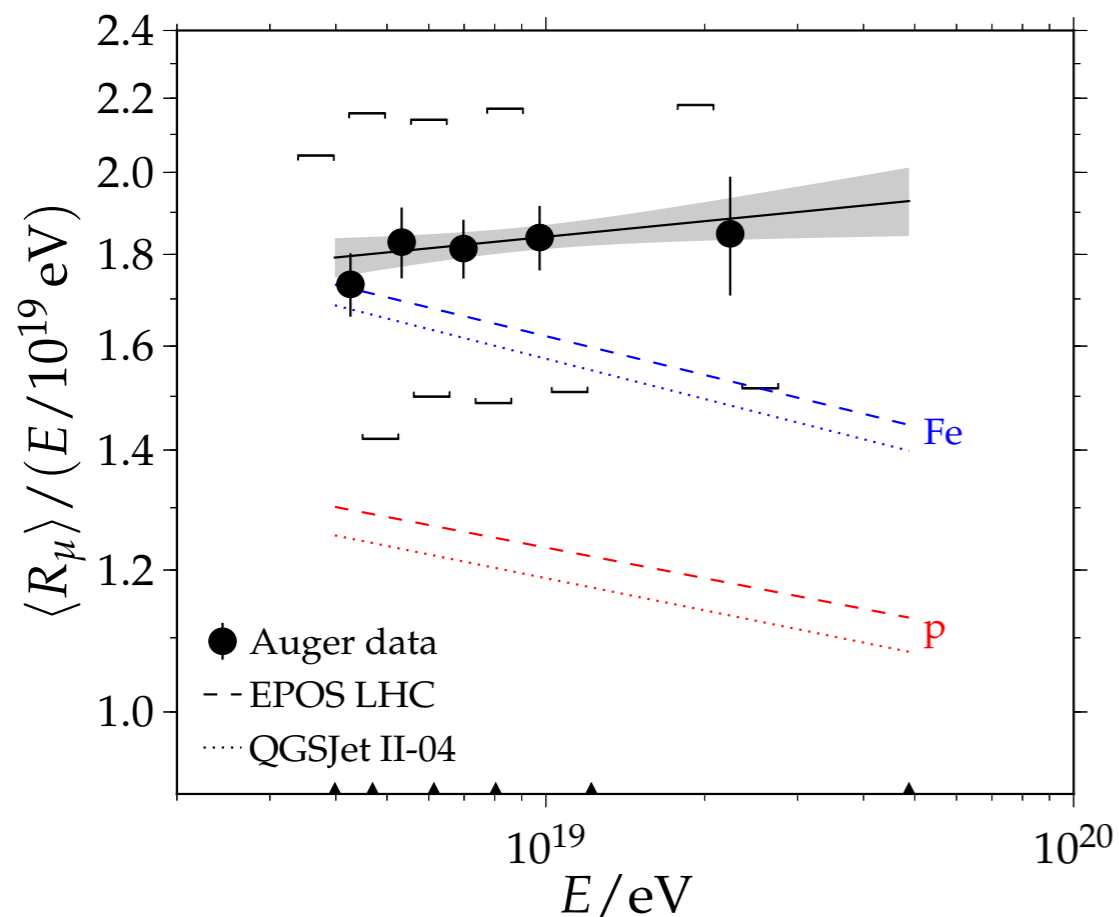
Charm particles (only Sibyll 2.3)

Discrimination by IceCube (surface array and in-ice muon data)?

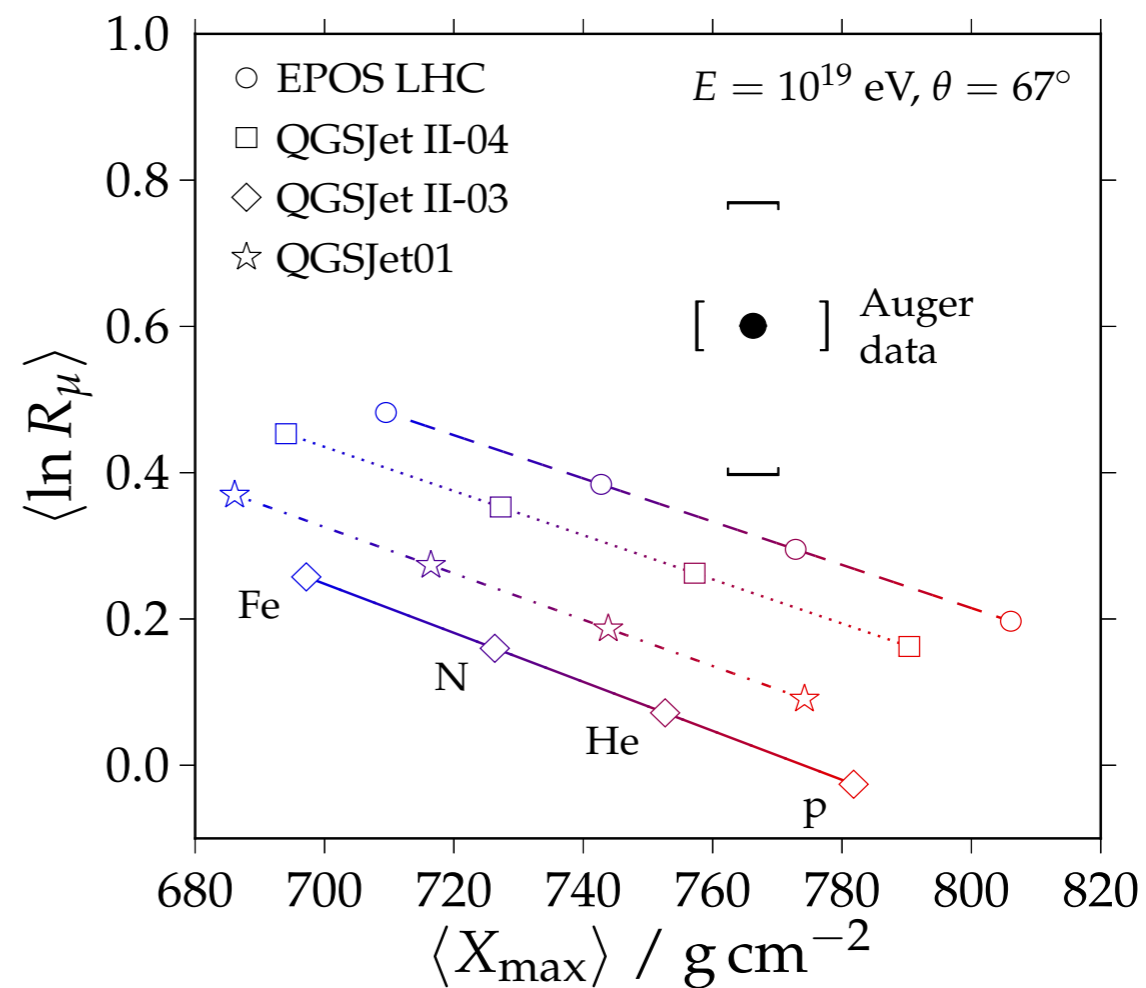
(Riehn et al 2016)

# Auger: muon number in inclined showers

Number of muons in showers with  $\theta > 60^\circ$



Combination of information on mean depth of shower maximum and muon number at ground



Muon discrepancy in Auger and KASCADE-Grande data

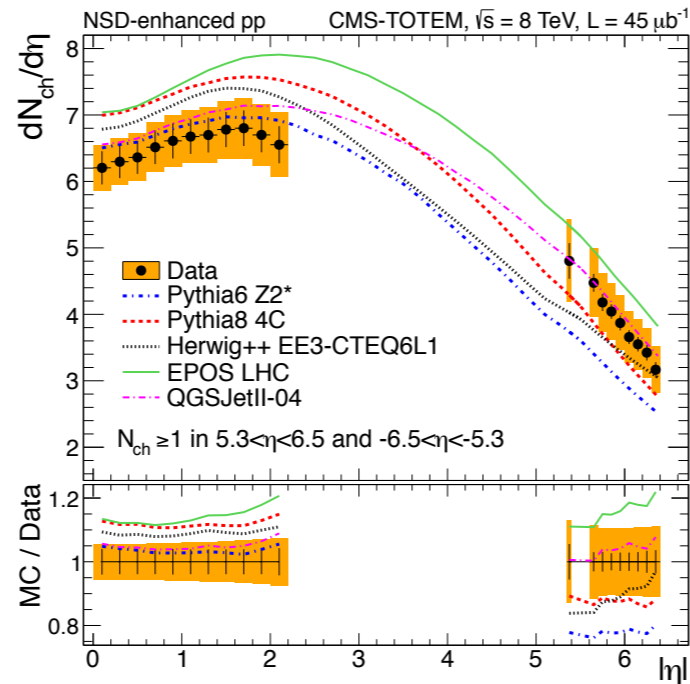
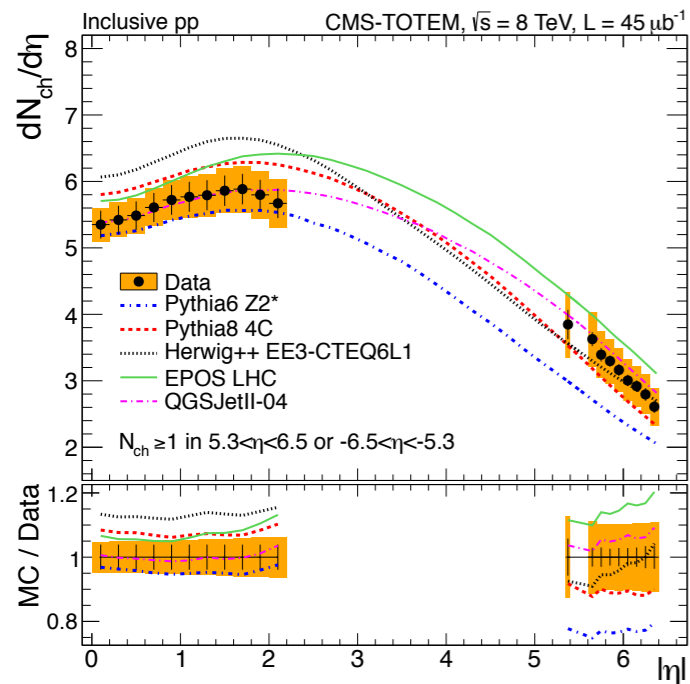
# Summary and outlook

- Overall reasonably good description of inclusive shower observables, but shortcomings in reproducing correlations (composition)
- New accelerator data triggered development of tuned hadronic interaction models
- Changes of  $X_{\max}$  predictions understood, new predictions correspond to heavier primary CR composition, uncertainties still unclear
- Muon production still rather uncertain, some sources of uncertainty identified, could be used as handle for tuning to fit EAS data (very active field)
- Dedicated accelerator measurements and data analyses needed to improve situation, main source of uncertainty pion/kaon-nucleus interactions

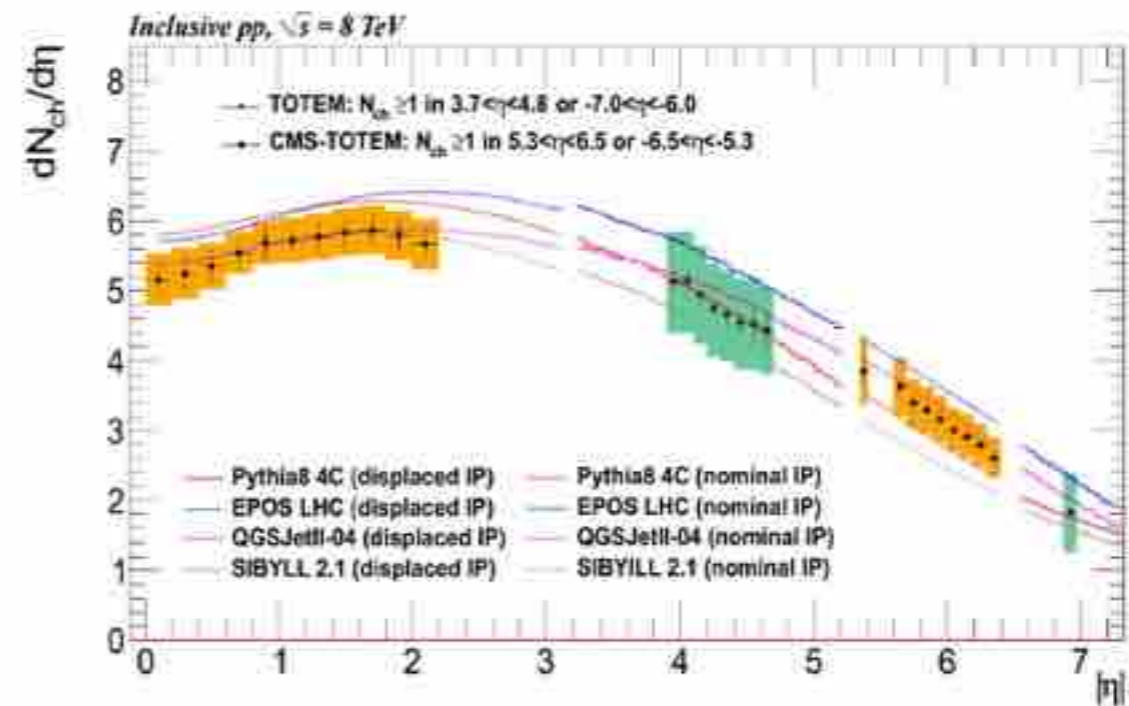
# **Backup slides**



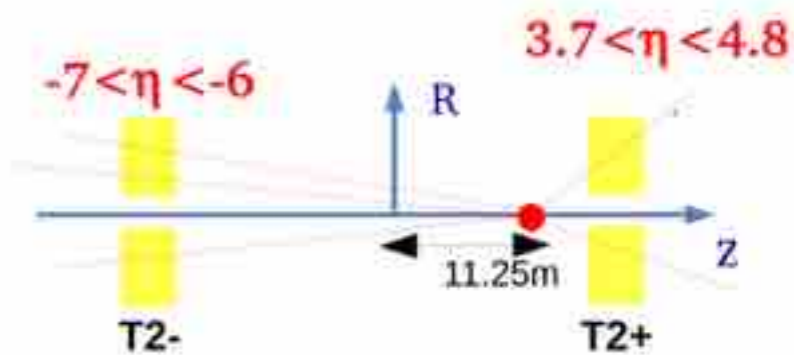
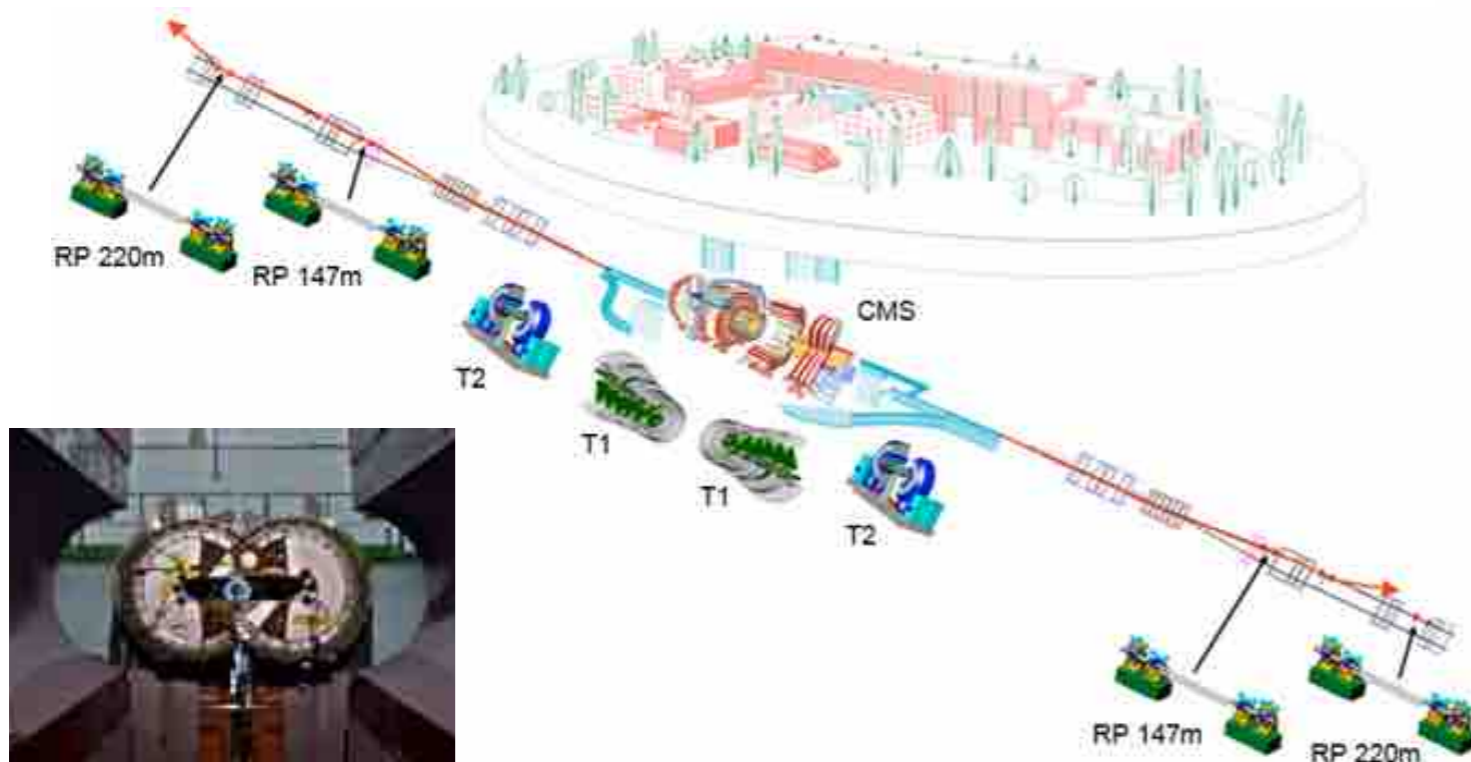
# Combined CMS and TOTEM measurements



Nominal vertex

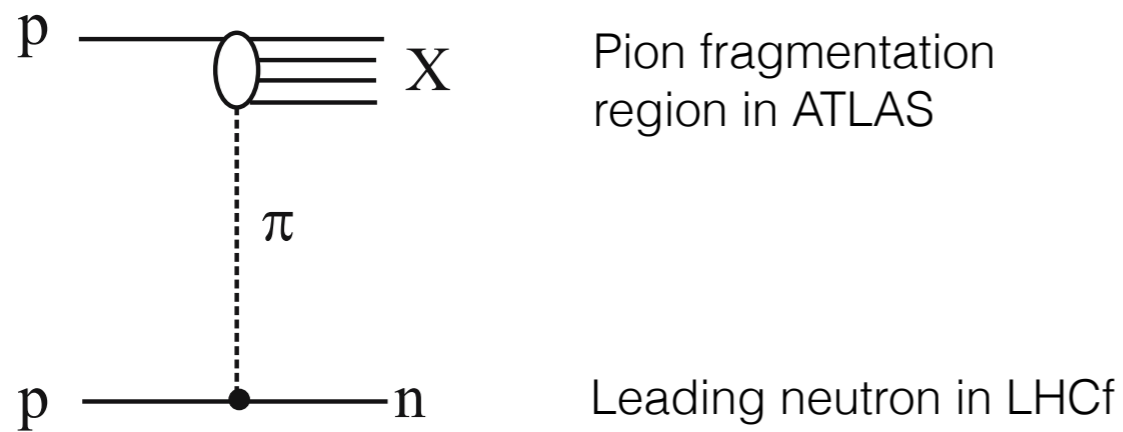


Shifted vertex



# Pion-proton and pion-nucleus interactions at LHC

## Measurement of pion exchange at LHC

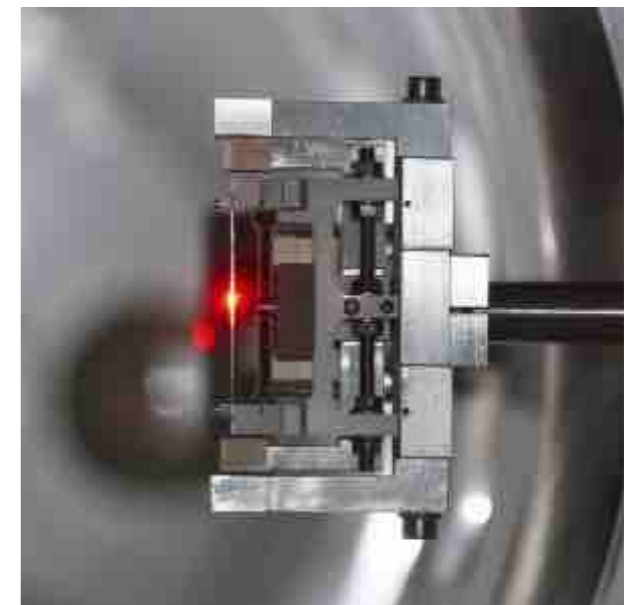


Physics discussed in detail for HERA (H1 and ZEUS)  
 (see, for example, Khoze et al. Eur. Phys. J. C48 (2006), 797  
 Kopeliovich & Potashnikova et al.)

$$\frac{d\sigma(\gamma p \rightarrow Xn)}{dx_L dt} = S^2 \frac{G_{\pi+pn}^2}{16\pi^2} \frac{(-t)}{(t - m_\pi^2)^2} F^2(t) \times (1 - x_L)^{1-2\alpha_\pi(t)} \sigma_{\gamma\pi}^{\text{tot}}(M^2)$$

## Fixed-target experiment at LHC

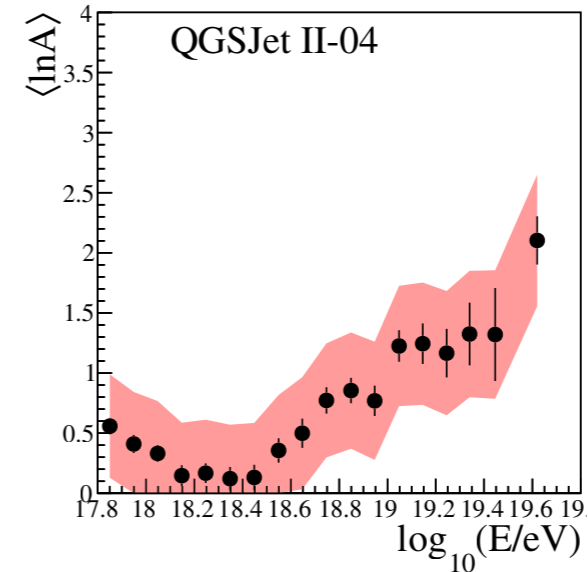
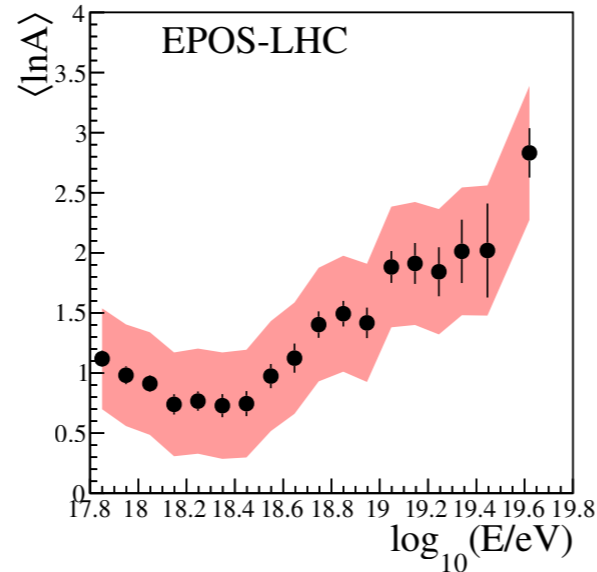
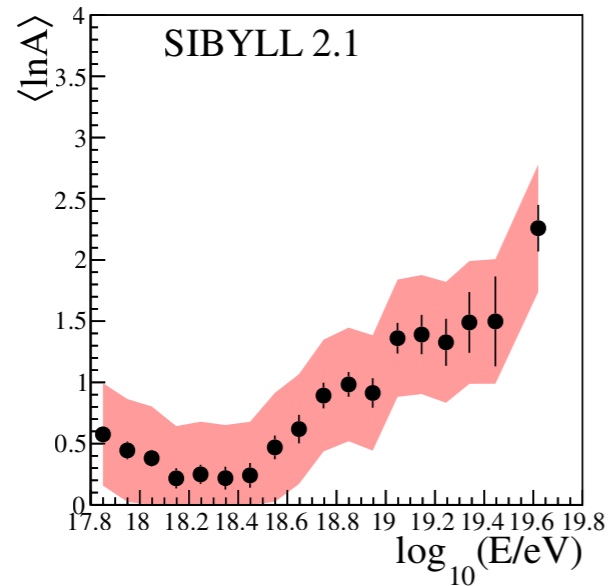
(Ulrich et al., ICRC 2015)



Deflection of protons of beam halo by crystal

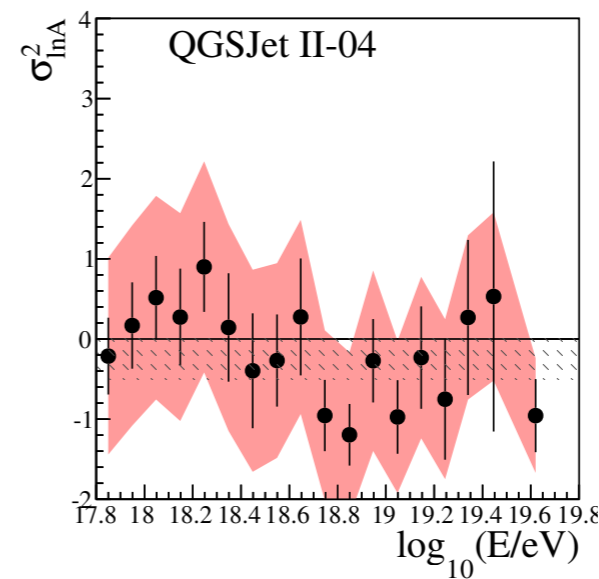
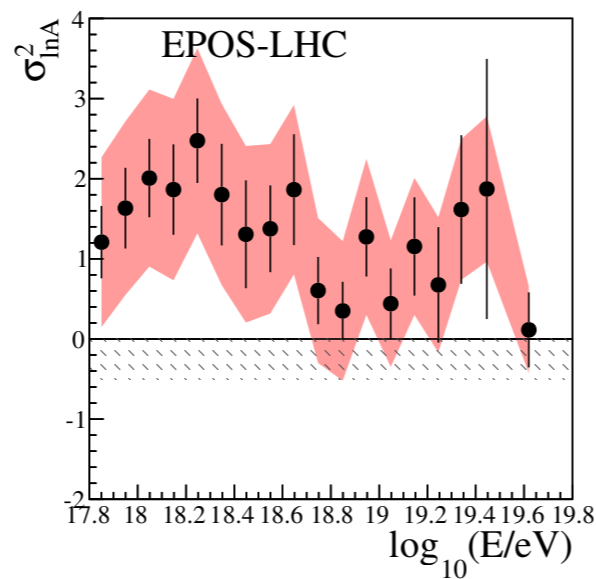
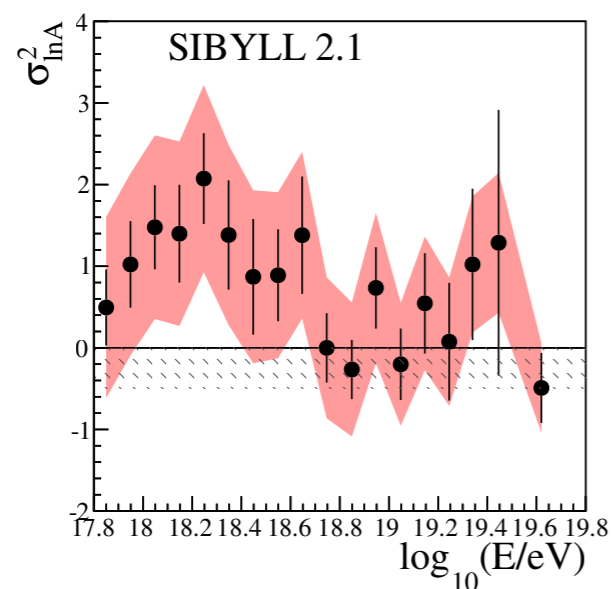
# Consistent description of $X_{\max}$ data ?

$$\langle X_{\max} \rangle \approx \langle X_{\max}^p \rangle - D_p \langle \ln A \rangle$$



← Fe  
← N  
← He  
← p

$$\sigma(X_{\max})^2 \approx \langle \sigma_i^2 \rangle + D_p^2 \sigma(\ln A)^2$$



← p/Fe 50:50  
← mono-elemental

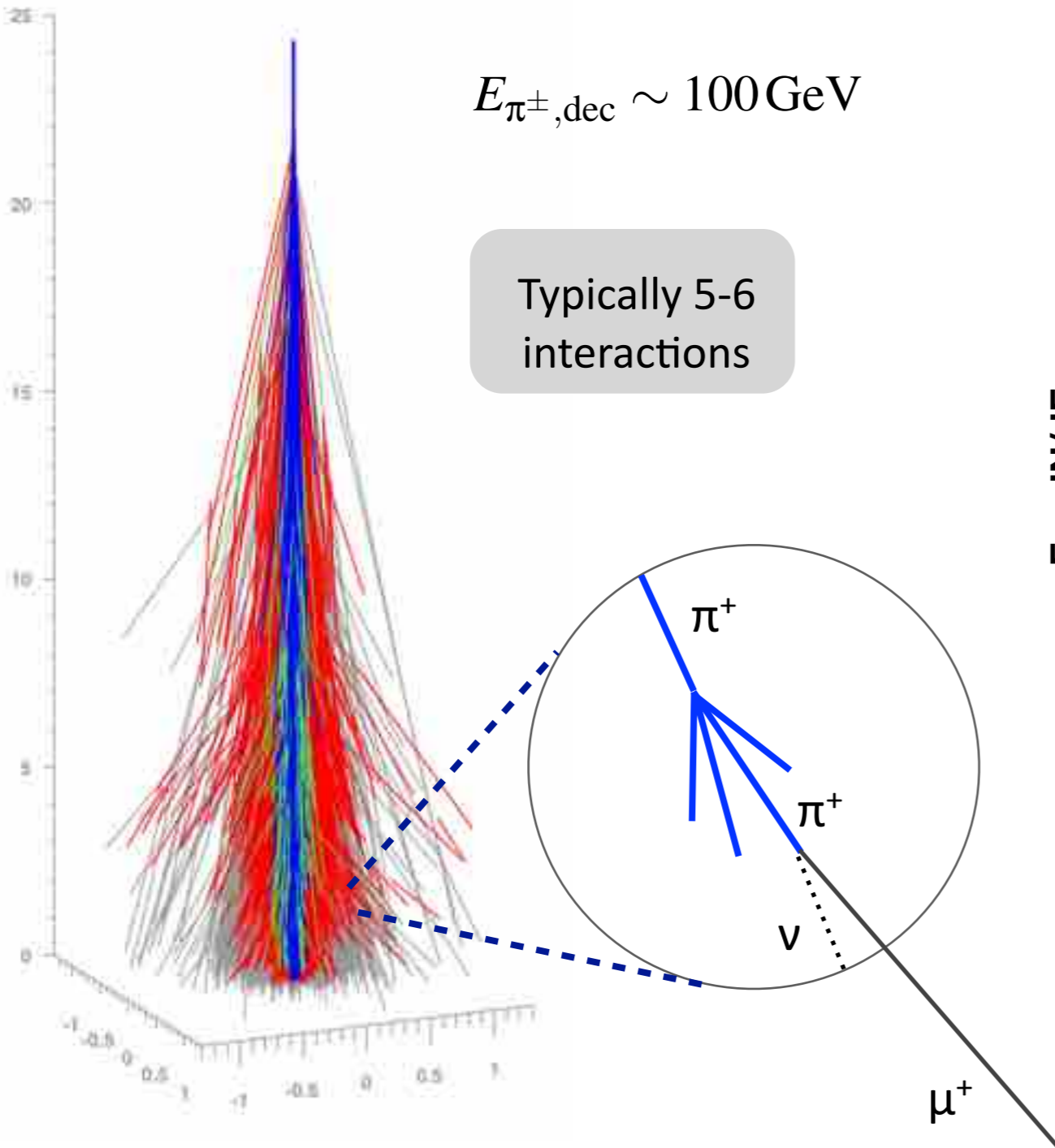
(Auger, JCAP 02 (2013) 026;  
update: PRD 90 (2014) 122005)

QGSJet II.04 disfavoured ?

# Muon production at large lateral distance

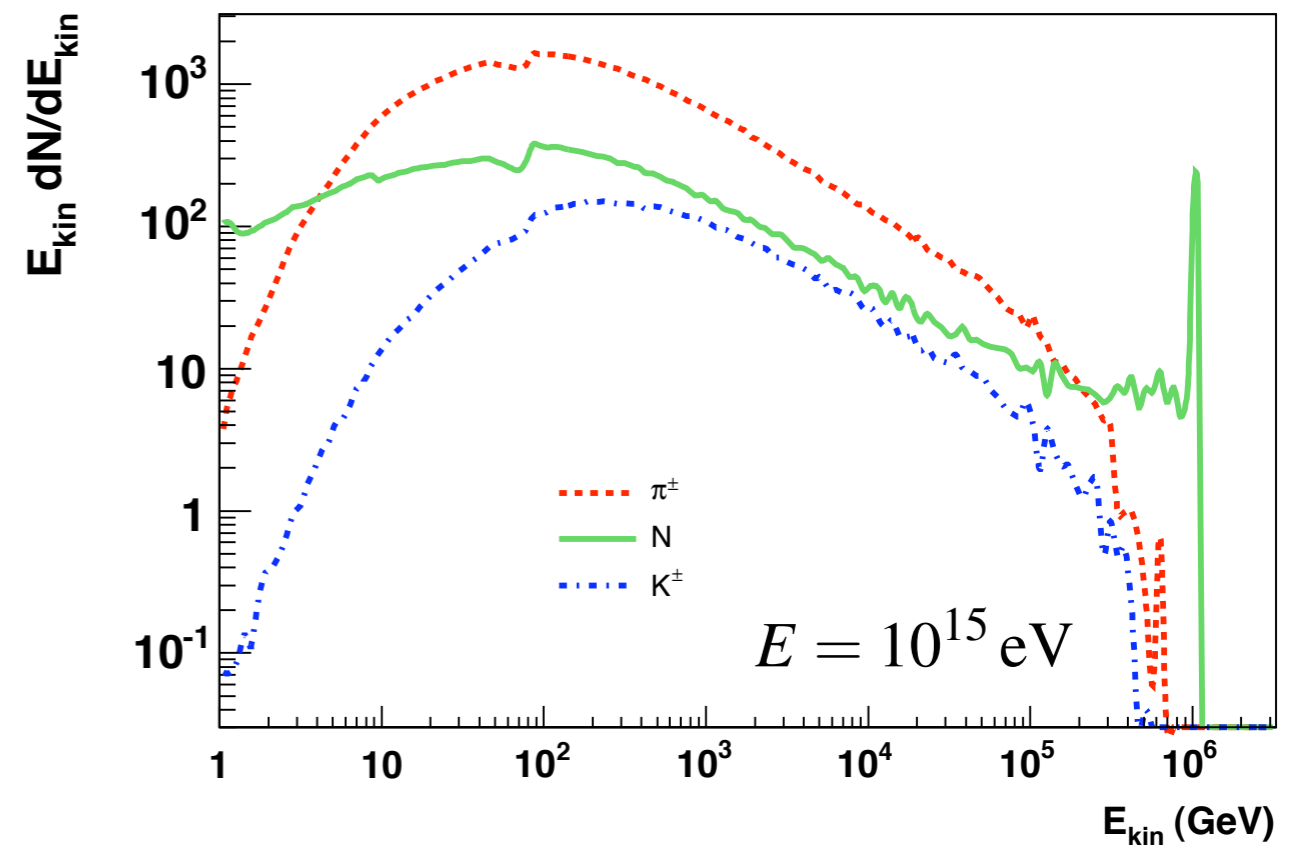
$$E_{\pi^{\pm}, \text{dec}} \sim 100 \text{ GeV}$$

Typically 5-6 interactions



Energy distribution of last interaction that produced a detected muon

Example: KASCADE, proton shower



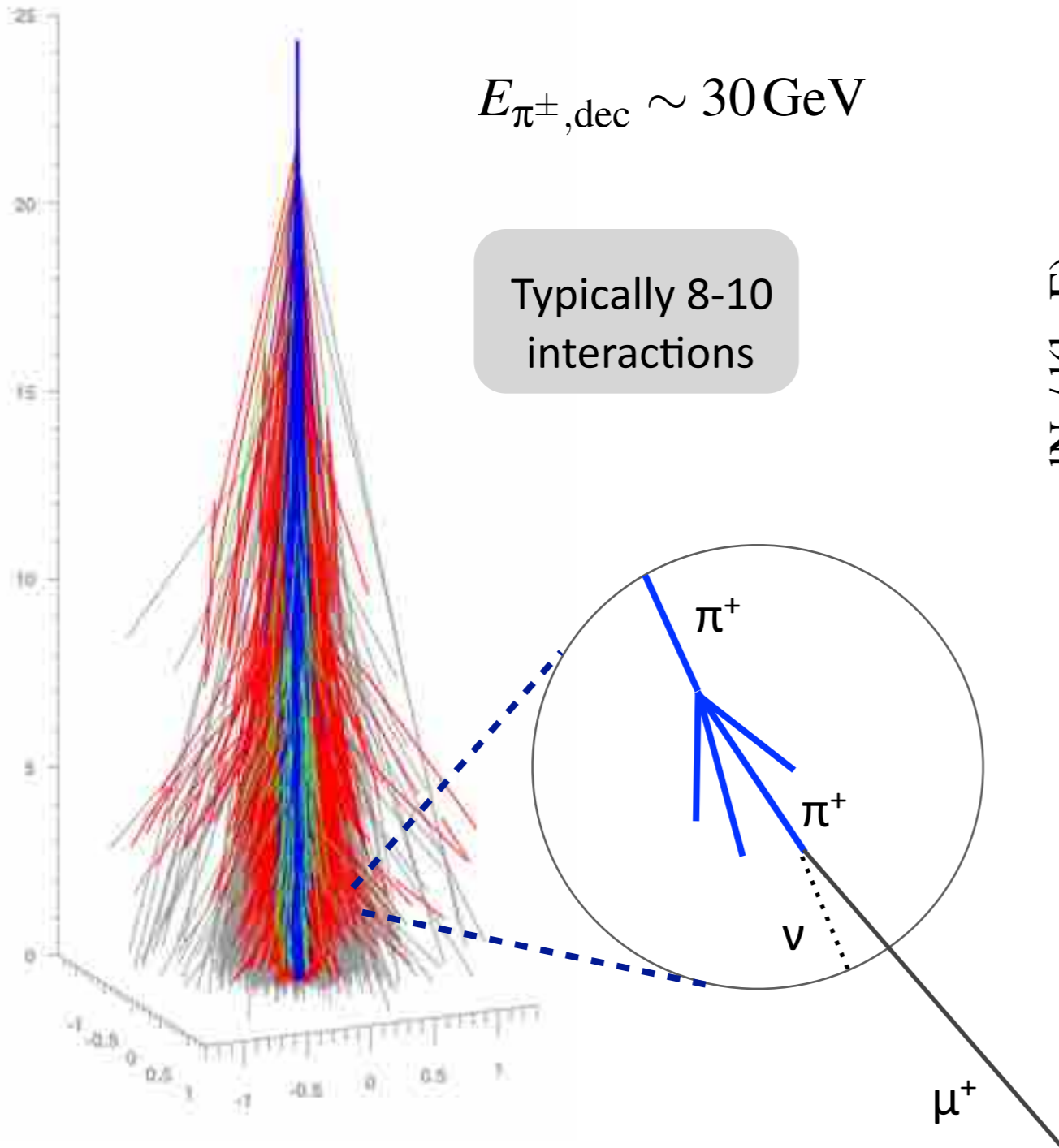
Muon observed 40 – 200 m from core

(Meurer et al. Czech. J. Phys. 2006)

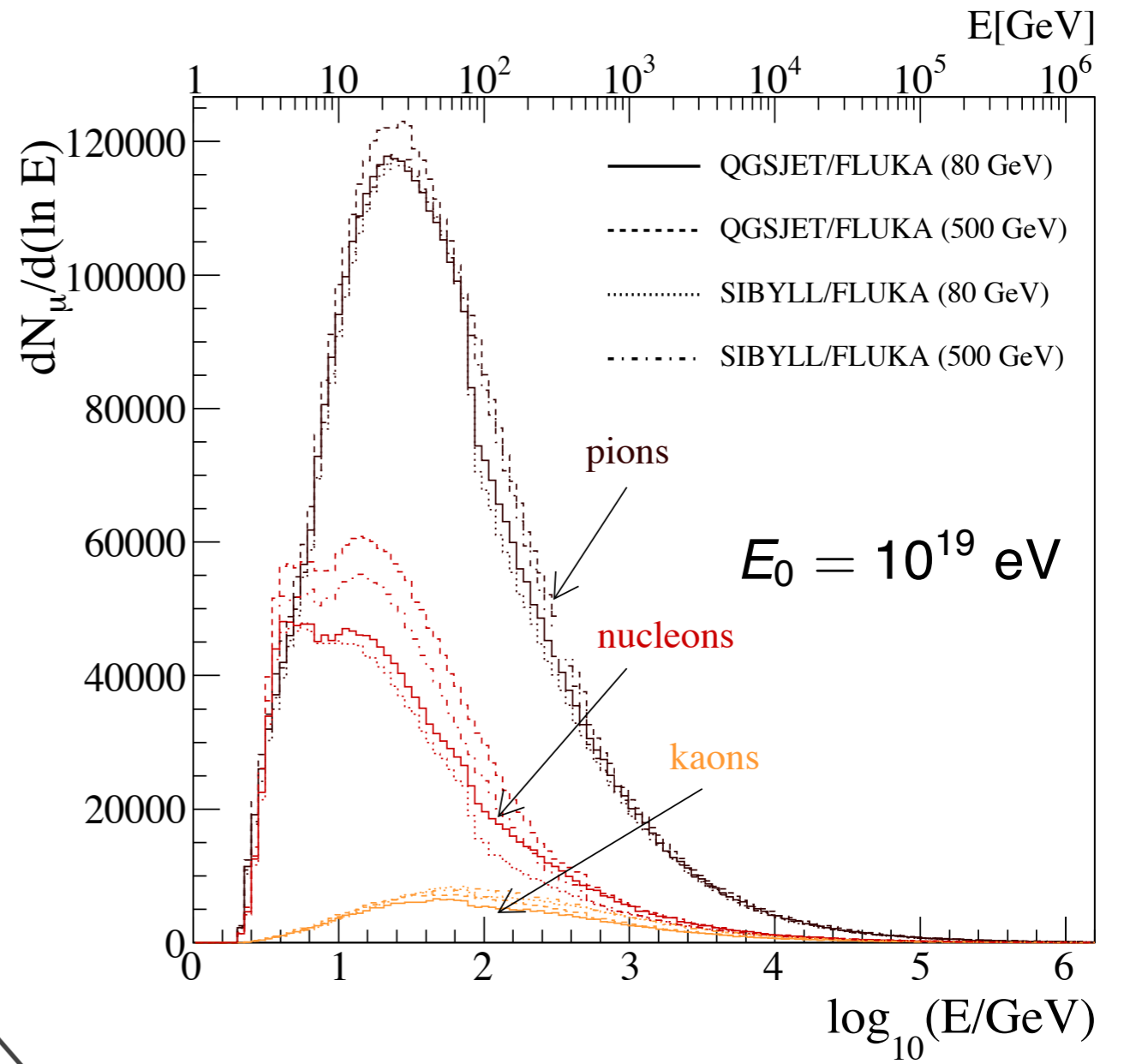
# Muon production at large lateral distance

$$E_{\pi^{\pm}, \text{dec}} \sim 30 \text{ GeV}$$

Typically 8-10 interactions



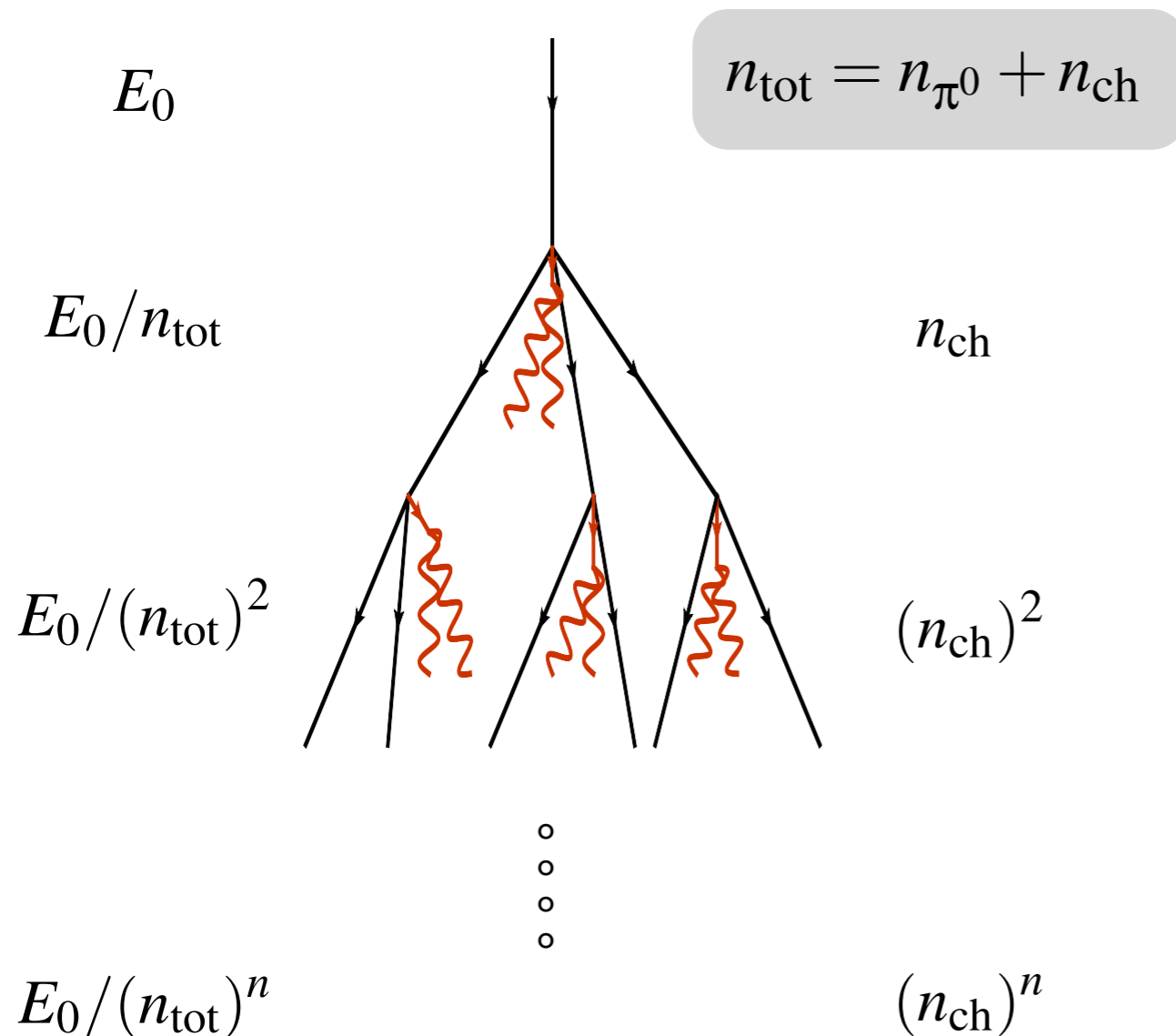
Energy distribution of last interaction that produced a detected muon



Muon observed at 1000 m from core

(Maris et al. ICRC 2009)

# Shower physics: muon production



Primary particle proton

$\pi^0$  decay immediately

$\pi^\pm$  initiate new cascades

## Assumptions:

- cascade stops at  $E_{\text{part}} = E_{\text{dec}}$
- each hadron produces one muon

$$N_\mu = \left( \frac{E_0}{E_{\text{dec}}} \right)^\alpha$$

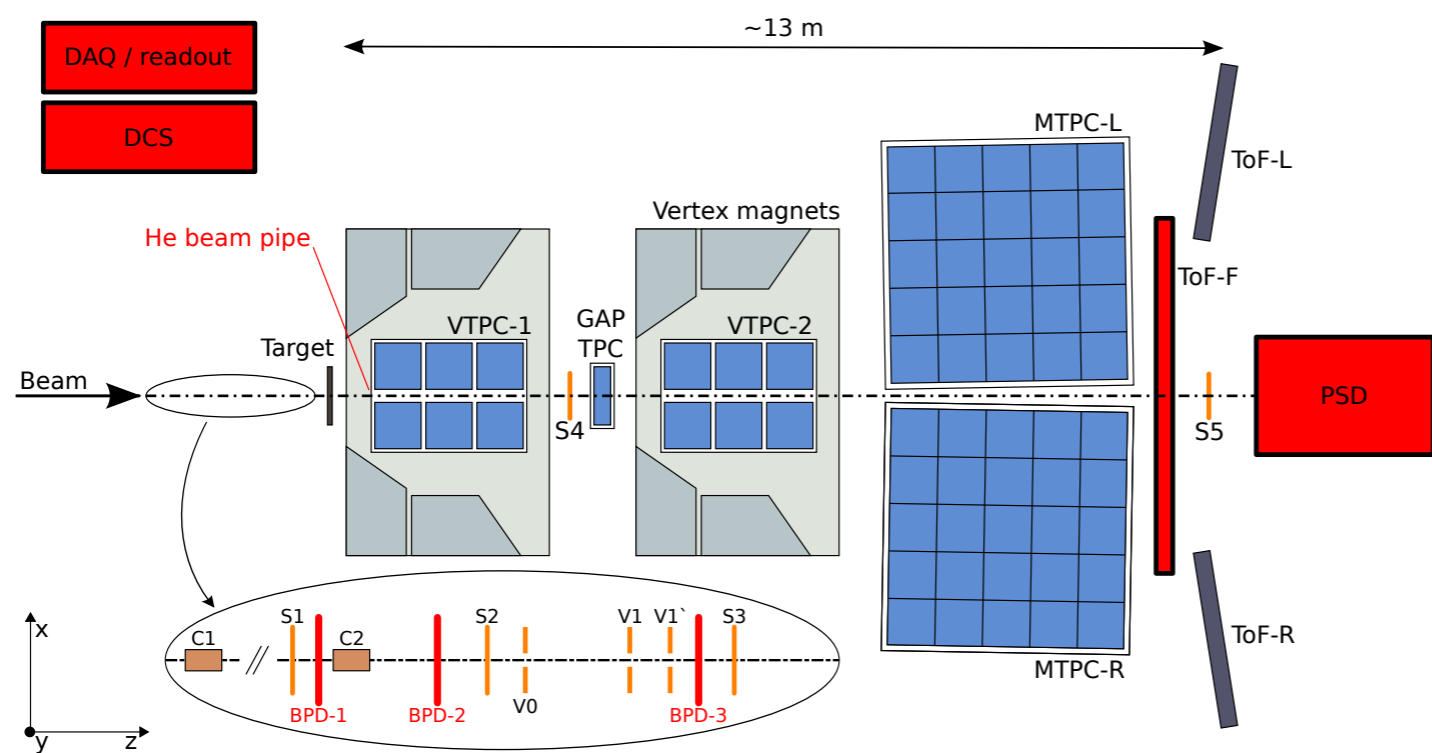
$$\alpha = \frac{\ln n_{\text{ch}}}{\ln n_{\text{tot}}} \approx 0.82 \dots 0.9$$

(Matthews, *Astropart.Phys.* 22, 2005)

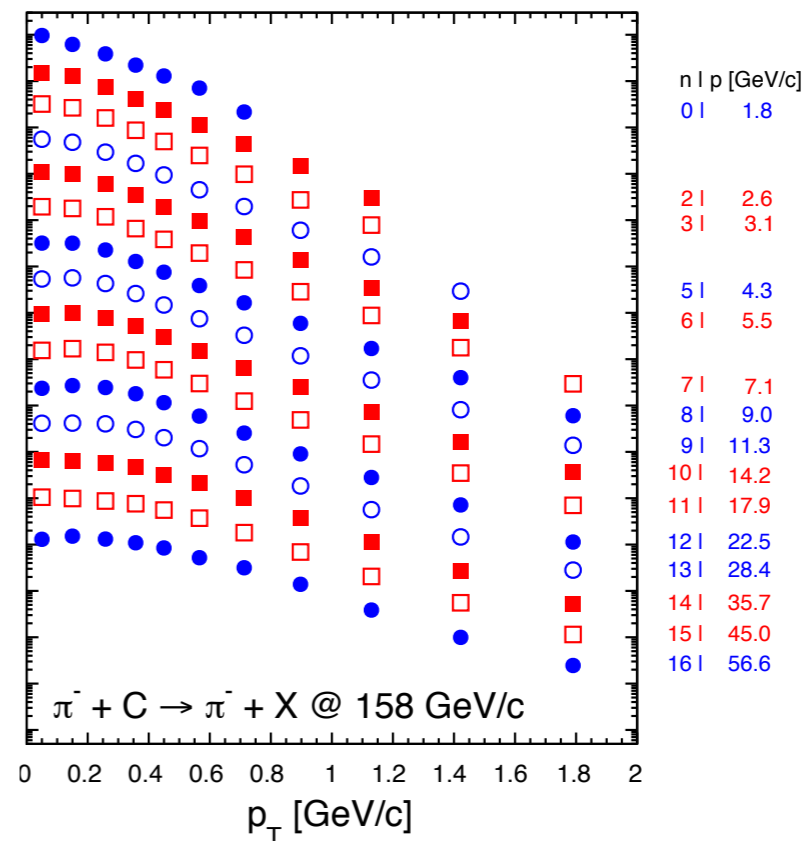
# NA61 fixed-target experiment at CERN SPS

Dedicated cosmic ray runs  
( $\pi$ -C at 158 and 350 GeV)

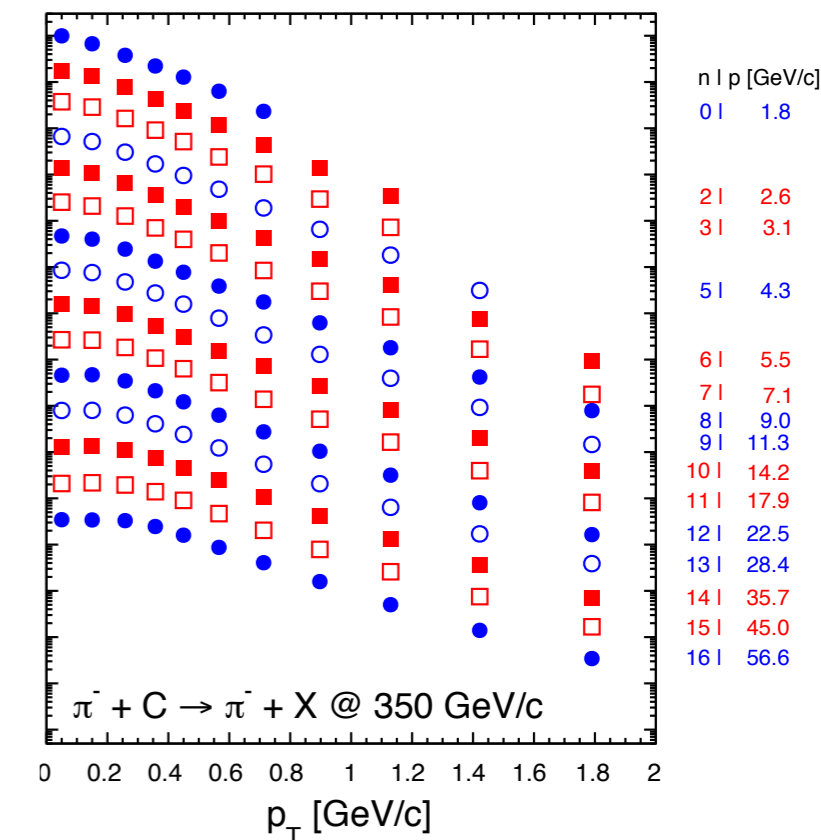
Analyzed by Auger members



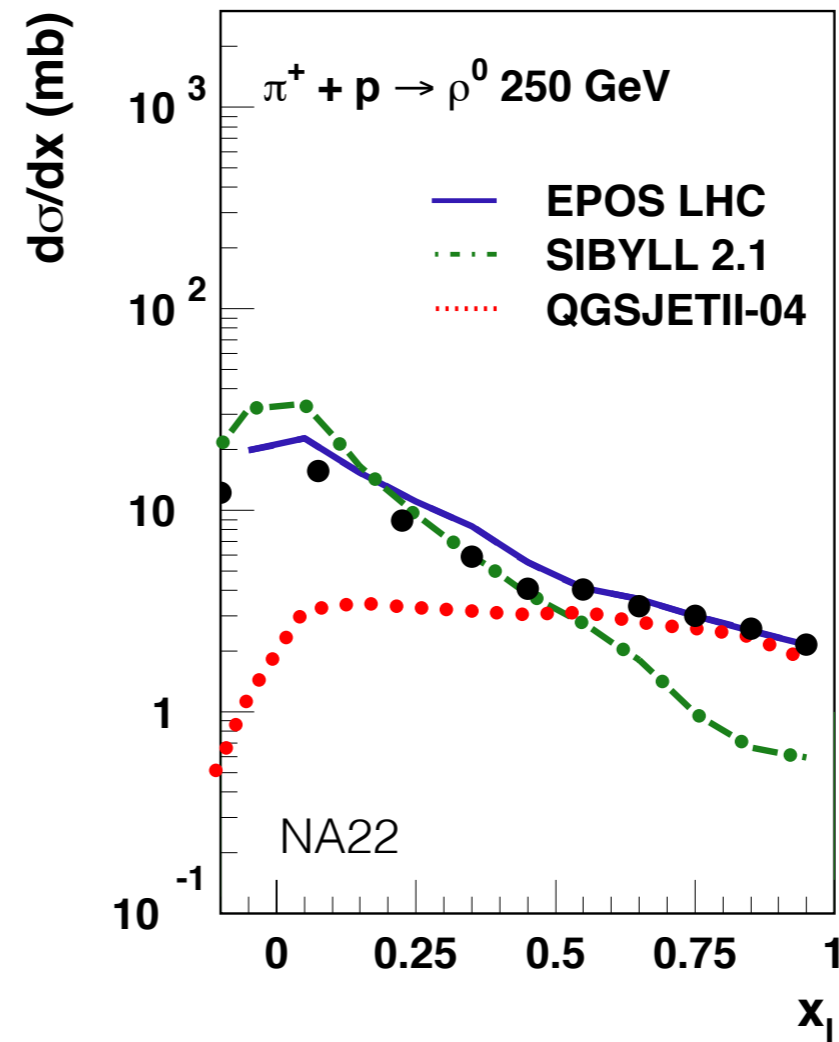
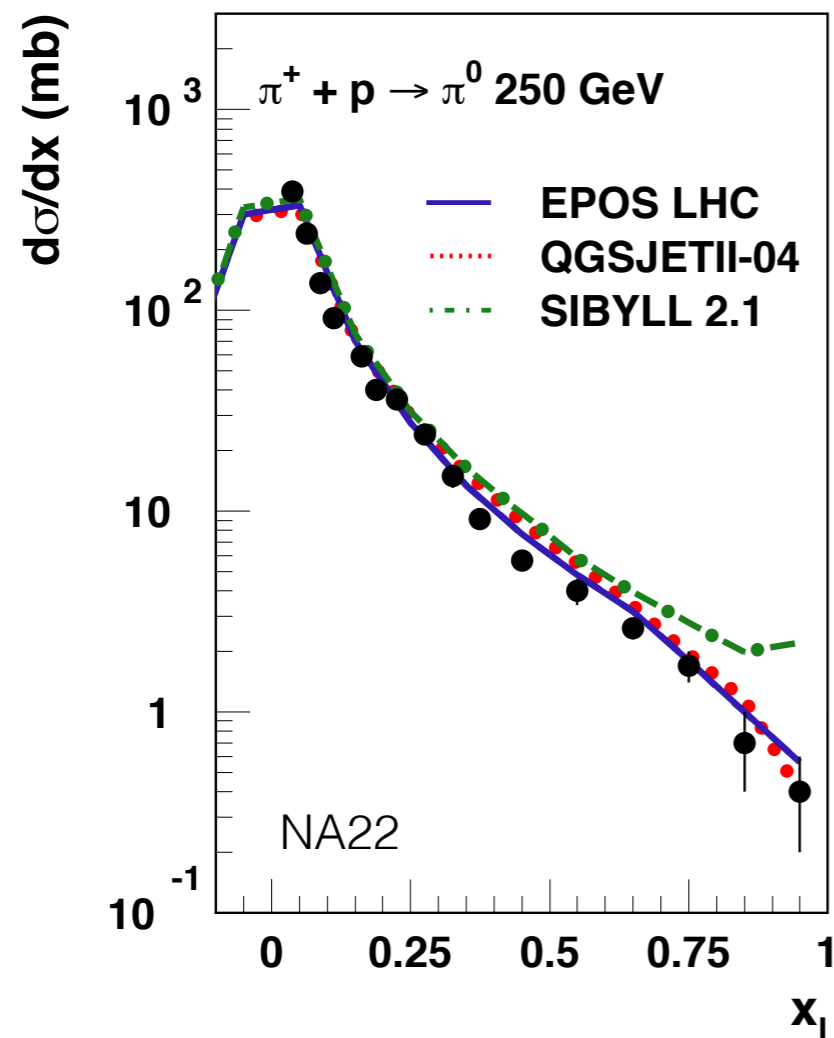
NA61/SHINE preliminary



inary



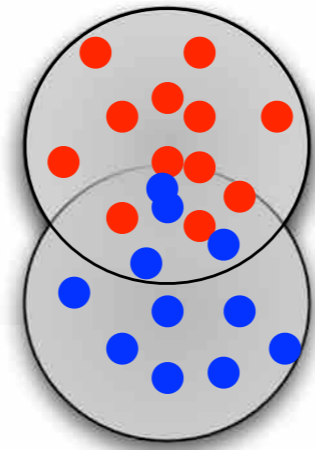
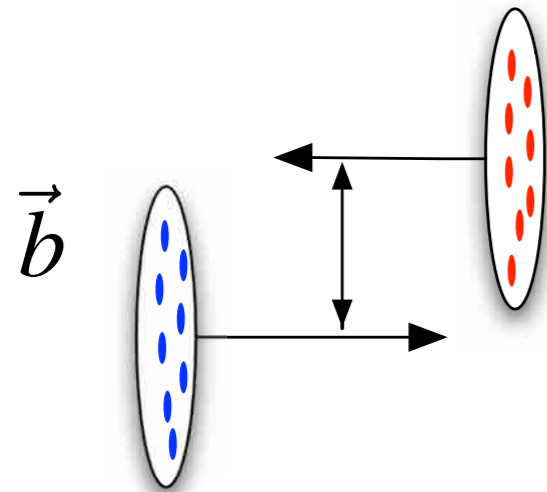
# Open questions related to rho production



- EPOS and QGSJet tuned to reproduce  $\pi$ -p data
- Apparently origin of rho production not understood
- Suppression of  $\pi^0$  production rather strong
- Energy dependence of these effects could be important



# Multiple interaction model: underlying ideas



(effective field theory:  
Gribov-Regge theory)

Overlap  
function

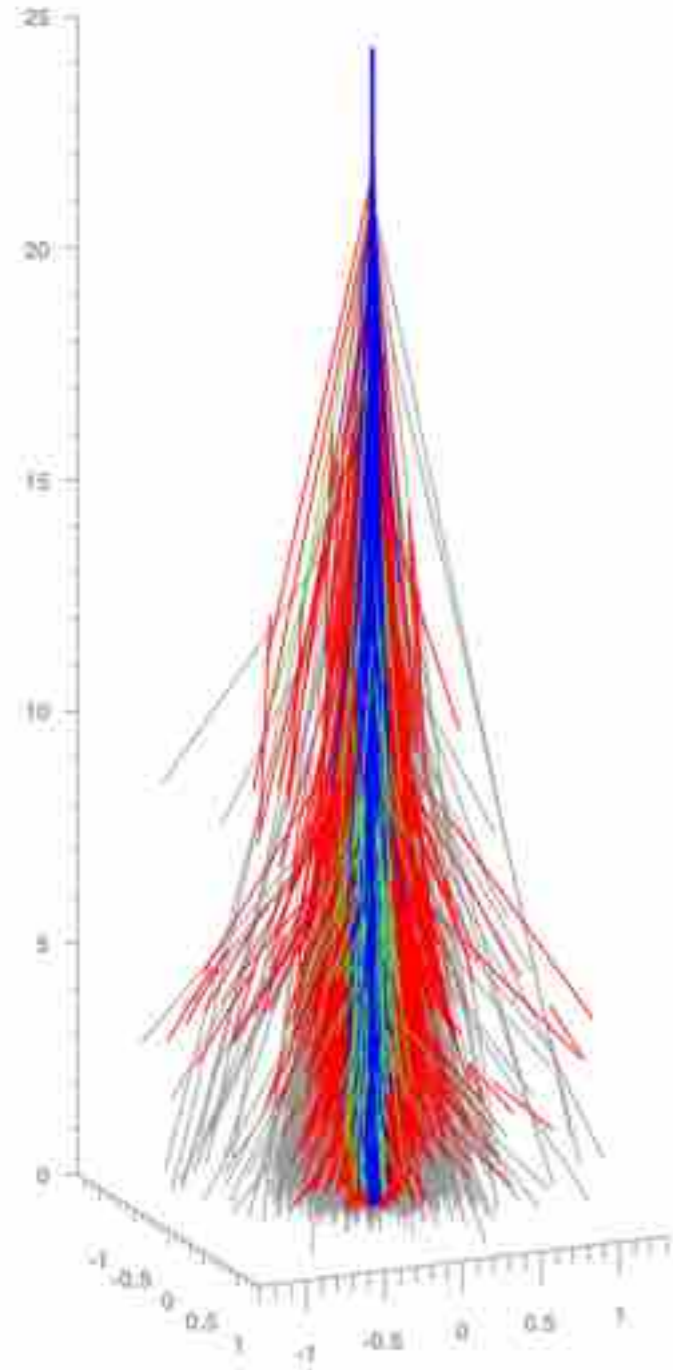
Independent hard interactions:  
Poisson distribution

$$P_n = \frac{\langle n(\vec{b}) \rangle^n}{n!} \exp\left(-\langle n(\vec{b}) \rangle\right)$$

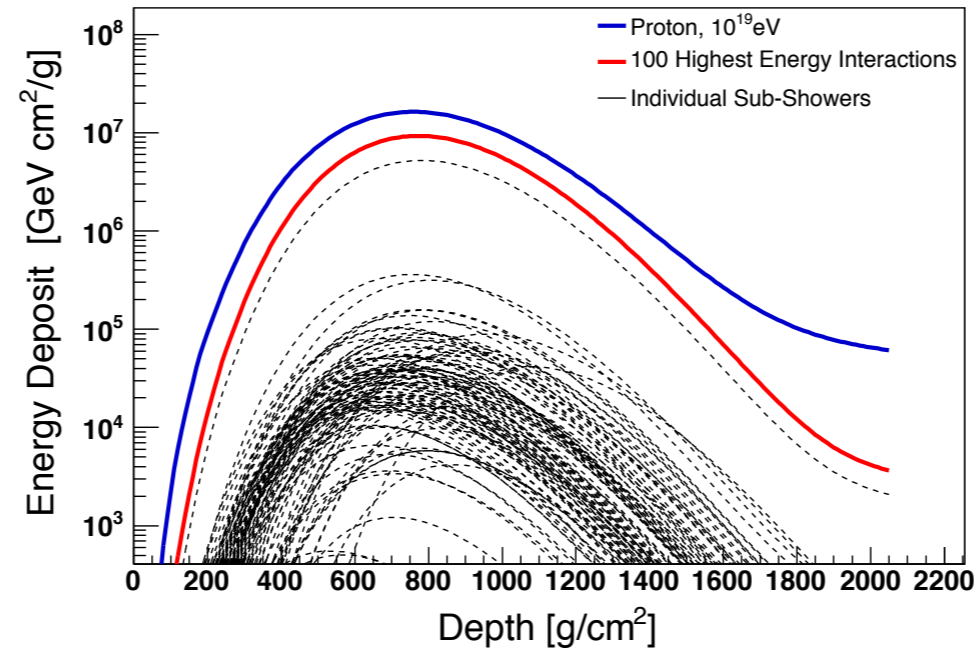
$$\langle n(\vec{b}) \rangle = \sigma_{\text{QCD}} A(s, \vec{b})$$

$$\sigma_{\text{ine}} = \int d^2\vec{b} \sum_{n=1}^{\infty} P_n = \int d^2\vec{b} \left(1 - \exp\{-\sigma_{\text{QCD}} A(s, \vec{b})\}\right)$$

# Importance of different interaction energies



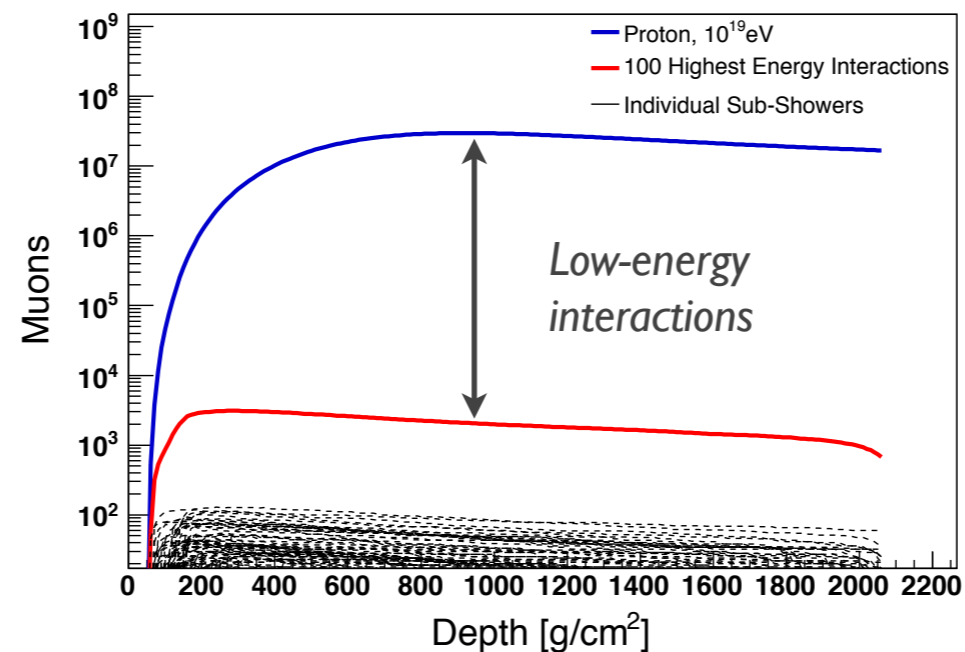
## Electrons



Shower particles produced in 100 interactions of highest energy

Electrons/photons:  
high-energy interactions

## Muons

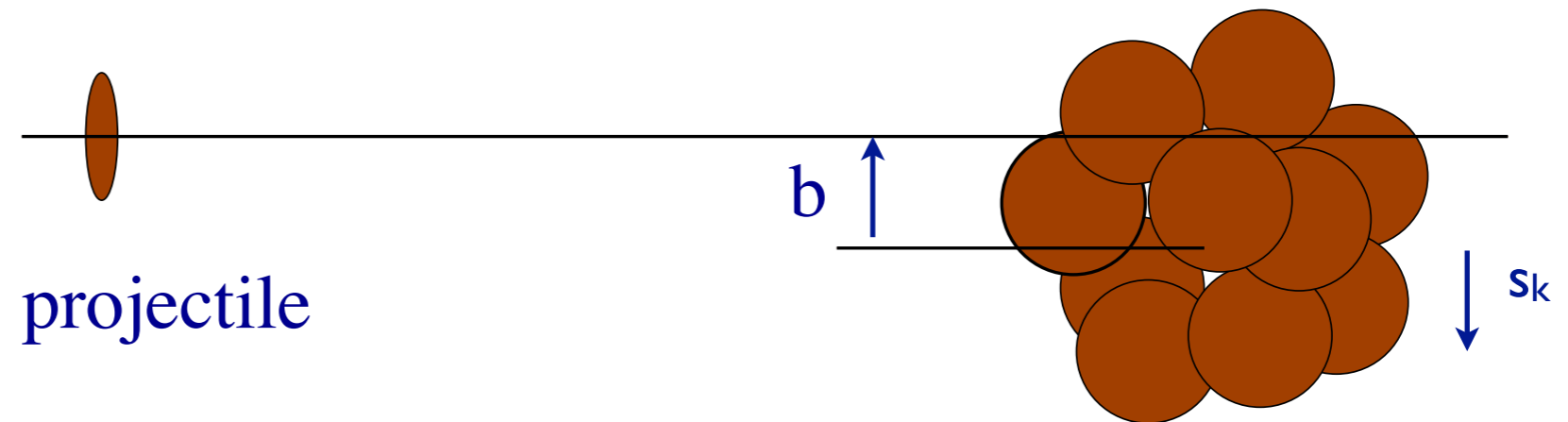


(Ulrich, APS 2012)

Muons/hadrons:  
low-energy interactions

Muons: majority produced in low energy interactions (30-200 GeV lab.)

# Interaction of hadrons with nuclei



Glauber approximation:

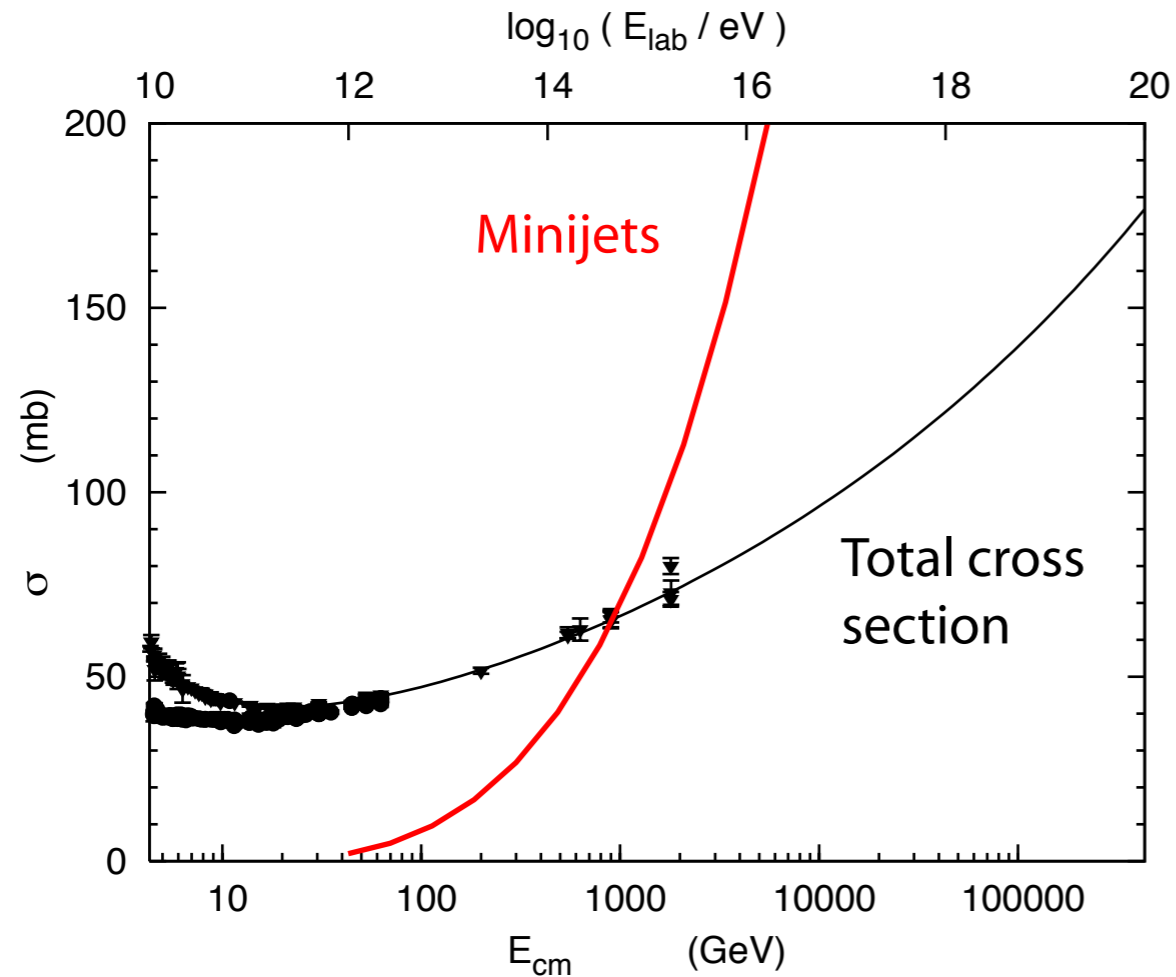
$$\sigma_{\text{inel}} = \int d^2\vec{b} \left[ 1 - \prod_{k=1}^A \left( 1 - \sigma_{\text{tot}}^{NN} T_N(\vec{b} - \vec{s}_k) \right) \right] \approx \int d^2\vec{b} \left[ 1 - \exp \left\{ -\sigma_{\text{tot}}^{NN} T_A(\vec{b}) \right\} \right]$$

$$\sigma_{\text{prod}} \approx \int d^2\vec{b} \left[ 1 - \exp \left\{ -\sigma_{\text{ine}}^{NN} T_A(\vec{b}) \right\} \right]$$

Coherent superposition of elementary nucleon-nucleon interactions

# Solution: Multiple parton-parton interactions

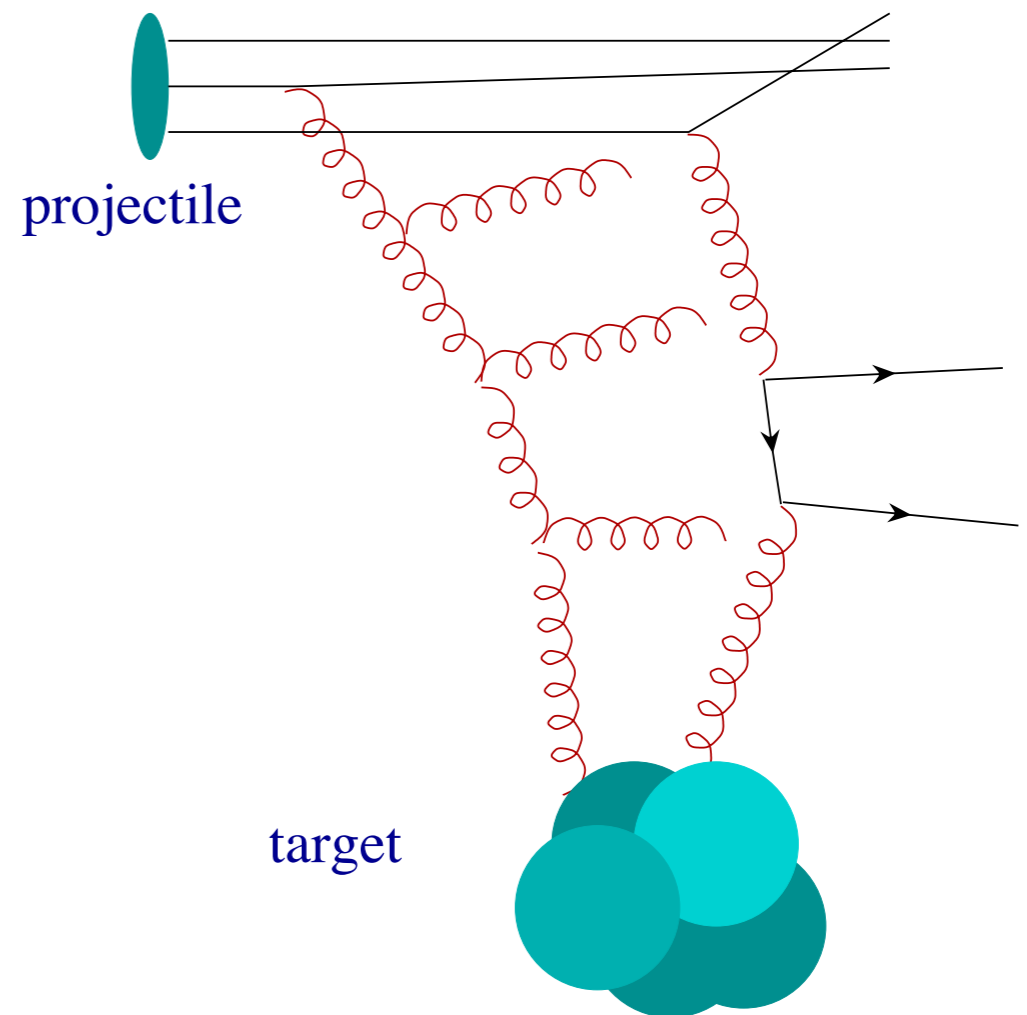
## Proton-proton cross section



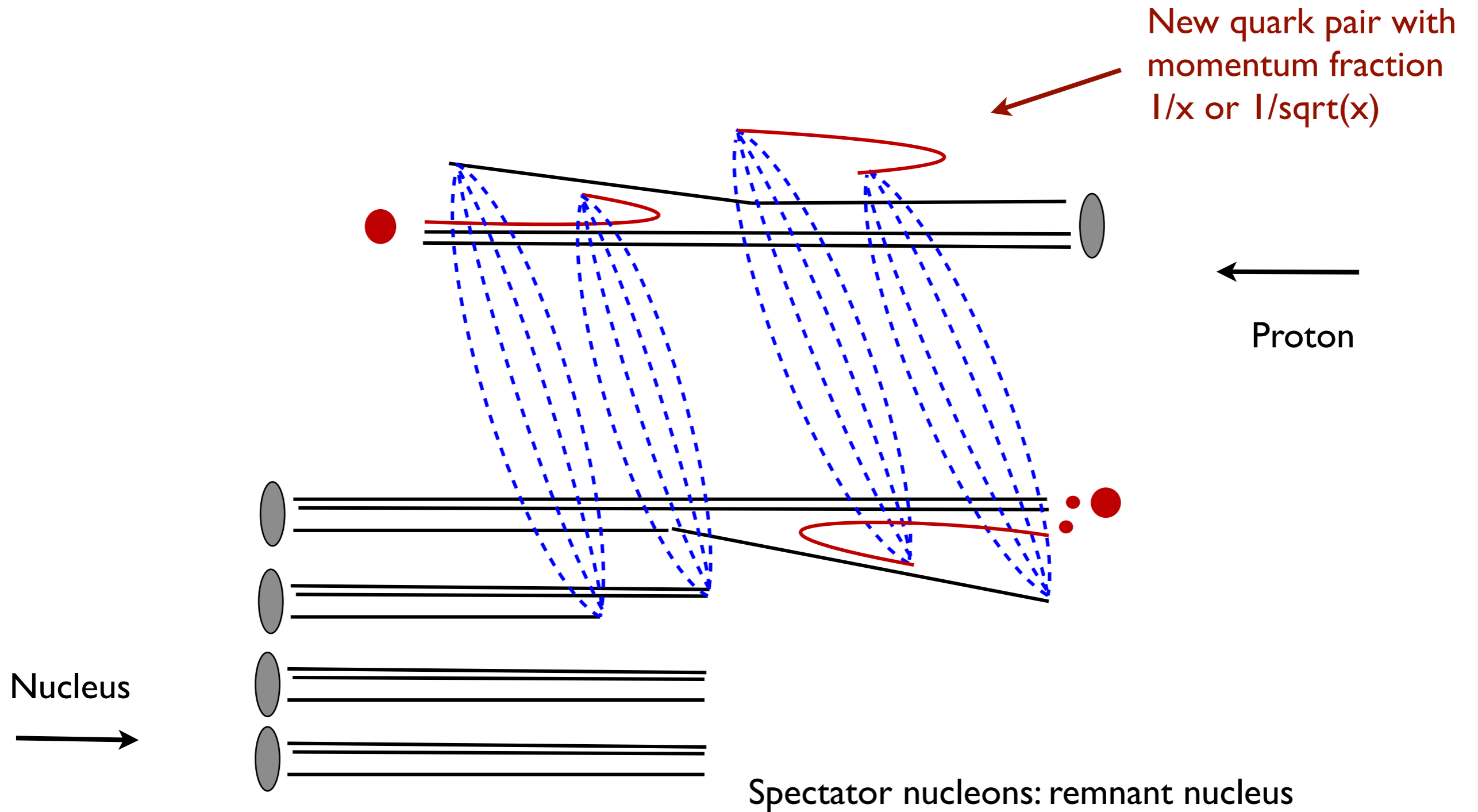
Average number  
of minijet pairs

$$\langle n_{\text{jet}} \rangle = \frac{\sigma_{\text{QCD}}}{\sigma_{\text{ine}}}$$

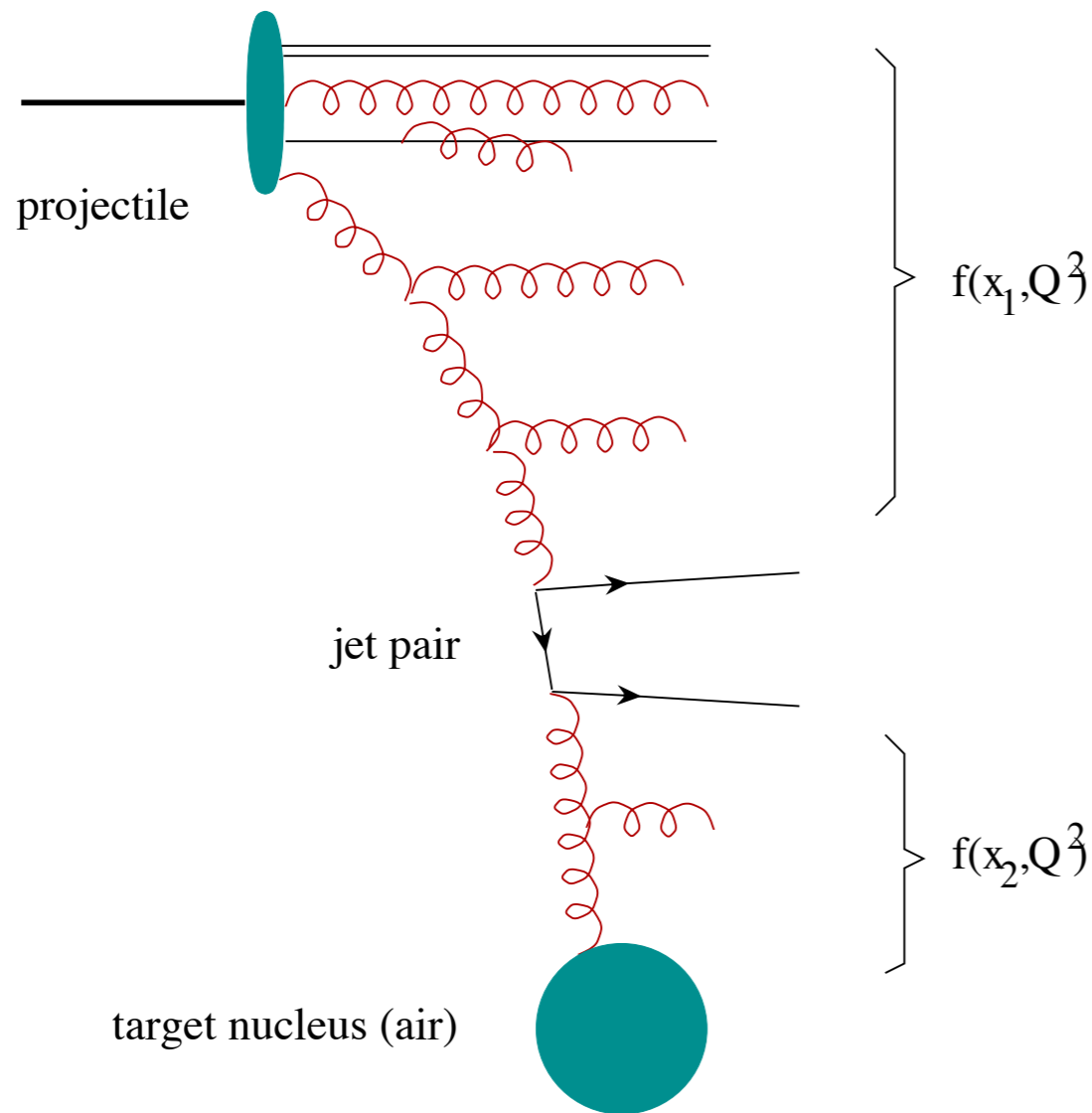
QCD prediction:  
**inclusive** cross section



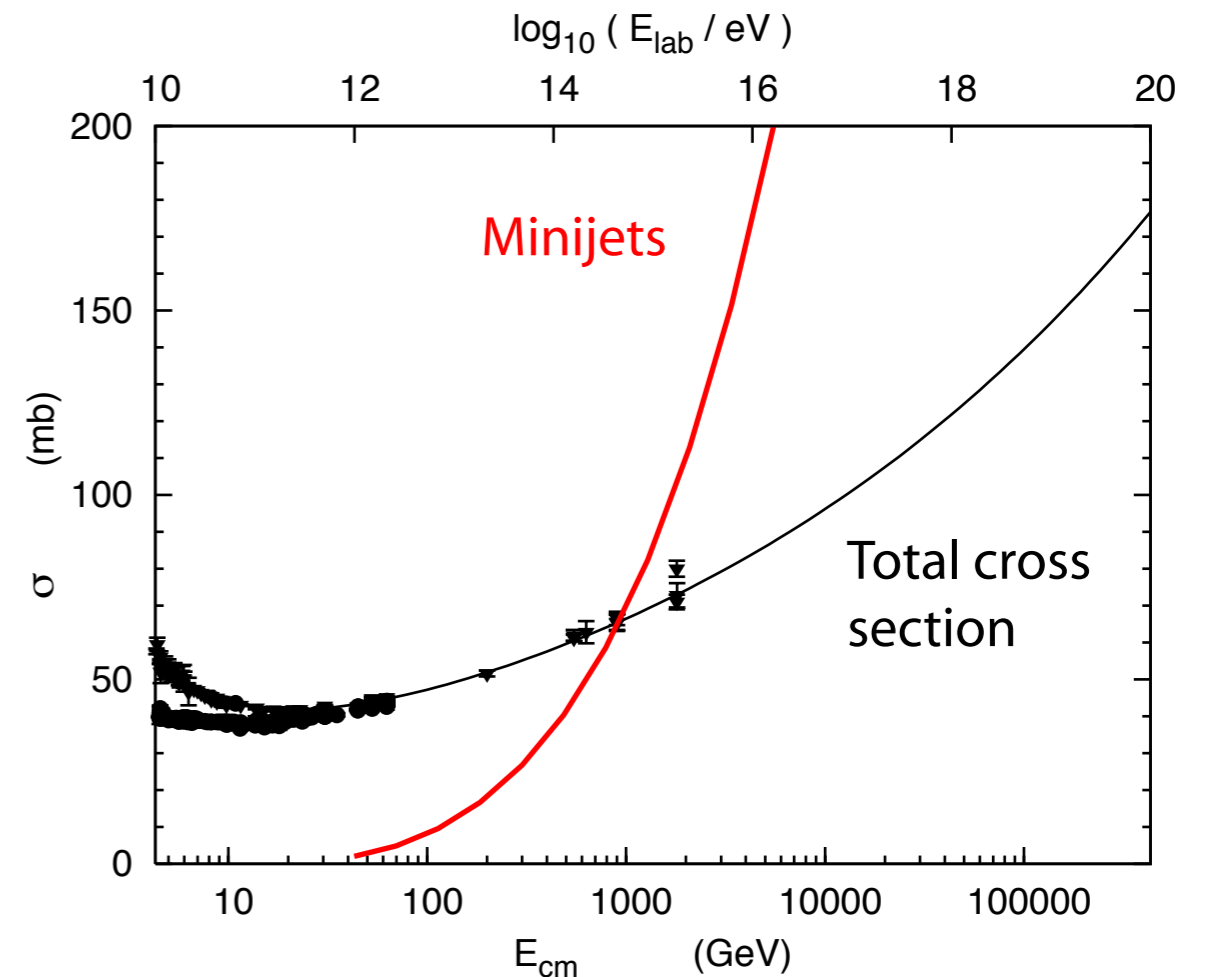
# String configuration for nucleus as target



# QCD parton model: minijets

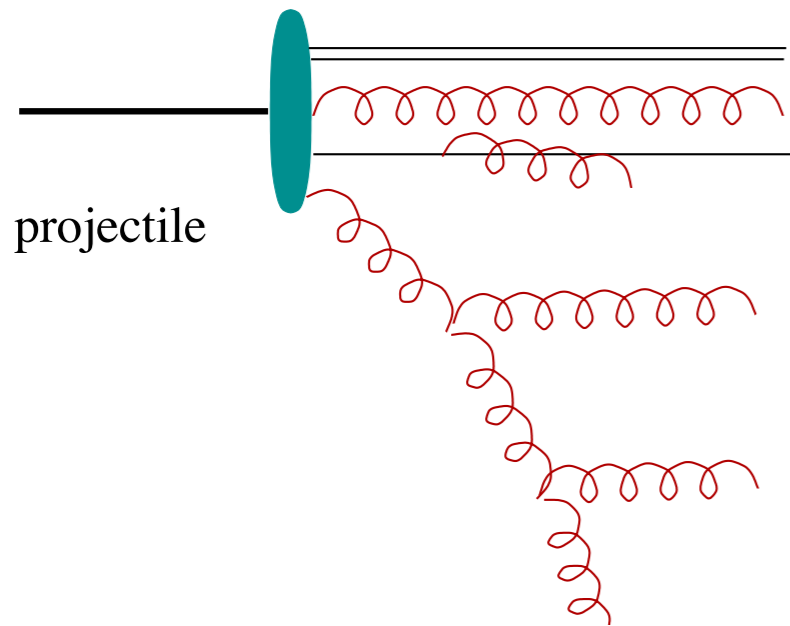


Proton-proton cross section

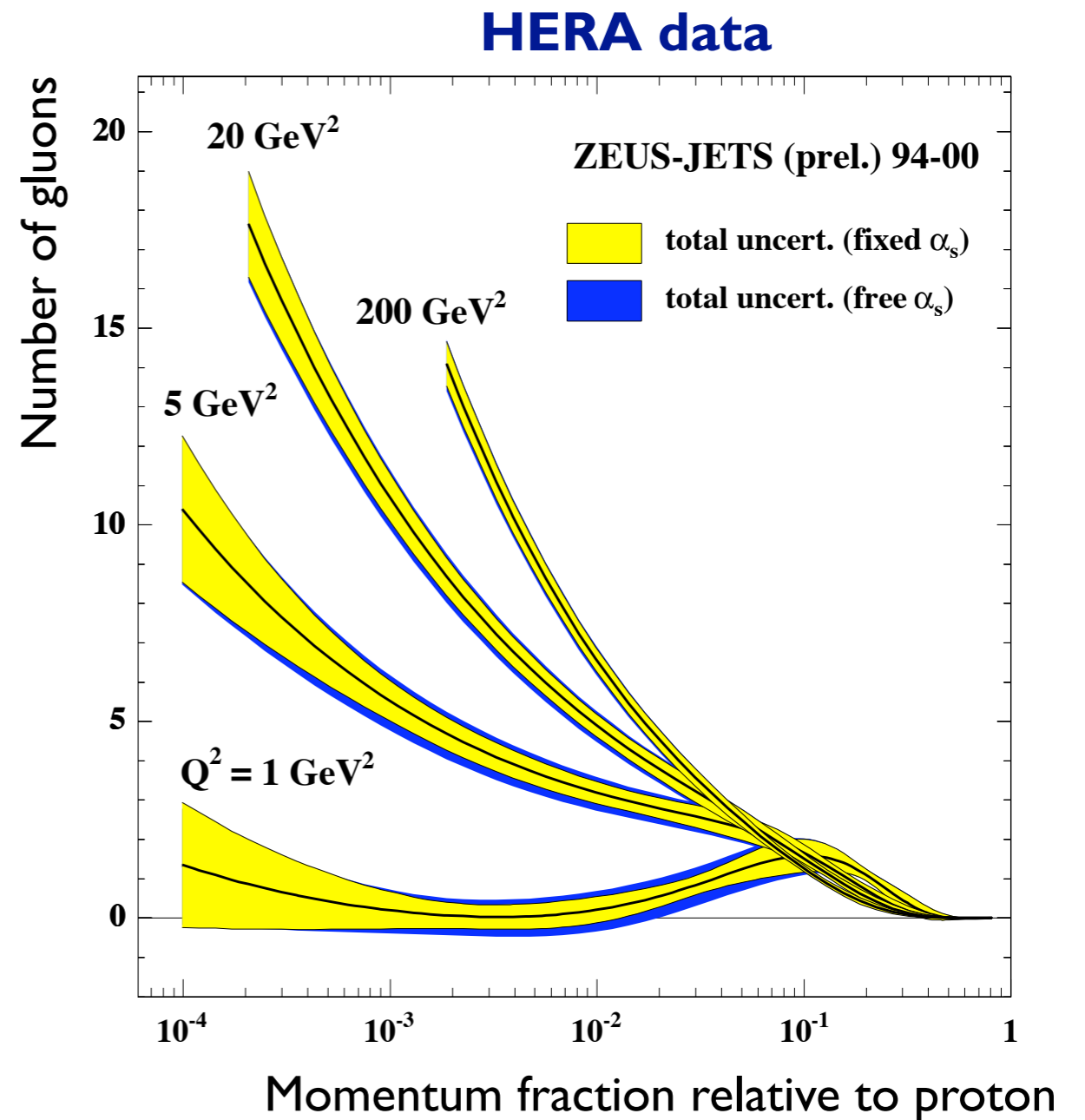


$$\sigma_{QCD} = \sum_{i,j,k,l} \frac{1}{1 + \delta_{kl}} \int dx_1 dx_2 \int_{p_{\perp}^{\text{cutoff}}} dp_{\perp}^2 f_i(x_1, Q^2) f_j(x_2, Q^2) \frac{d\sigma_{i,j \rightarrow k,l}}{dp_{\perp}}$$

# Perturbative QCD predictions for parton densities



Evolution of parton number given by DGLAP equation (and non-linear versions of it)

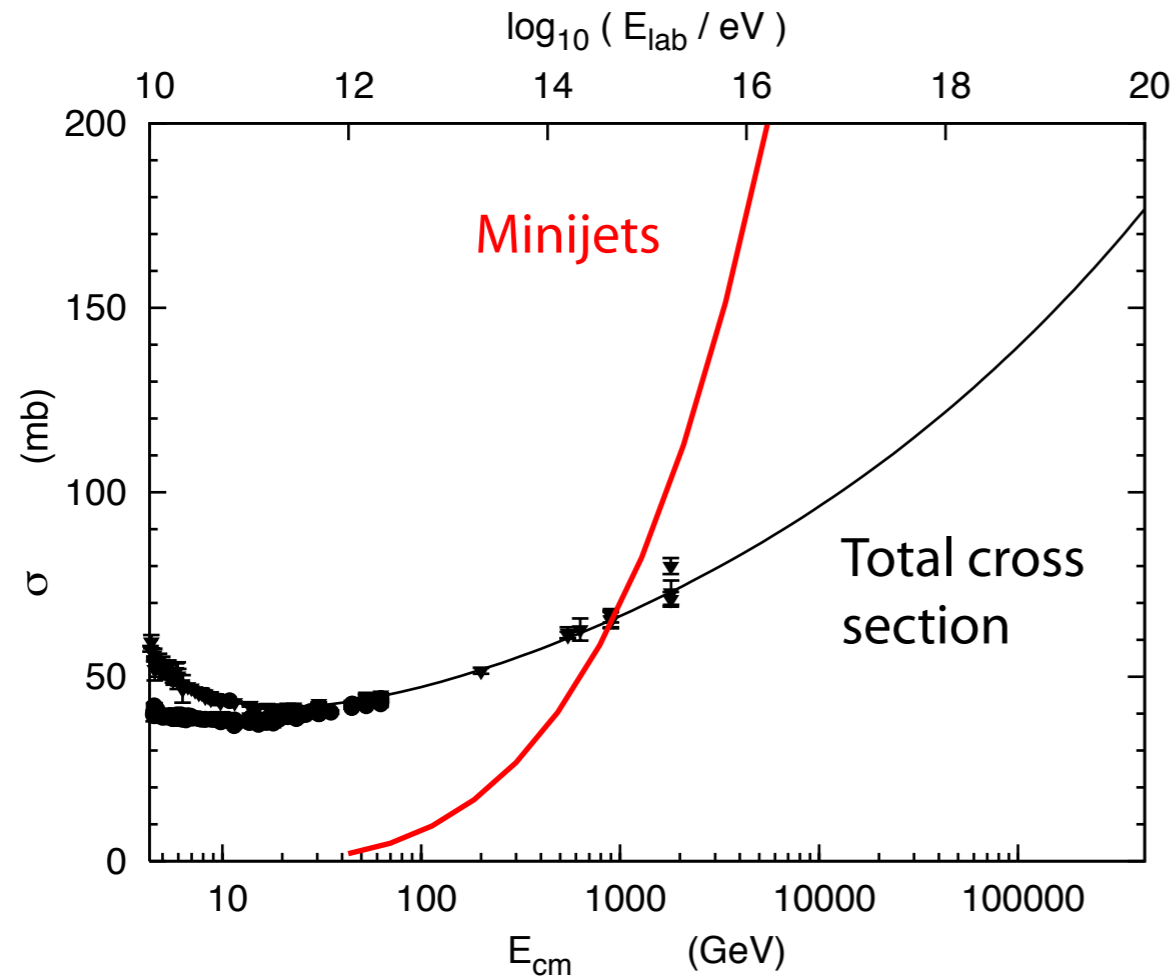


$$\frac{df_i(x, Q^2)}{d \log Q^2} = \frac{\alpha_s(Q^2)}{2\pi} \int_x^1 \frac{dy}{y} \sum_j f_j(y, Q^2) P_{j \rightarrow i} \left( \frac{x}{y} \right)$$

Prediction of perturbative QCD

# Solution: Multiple parton-parton interactions

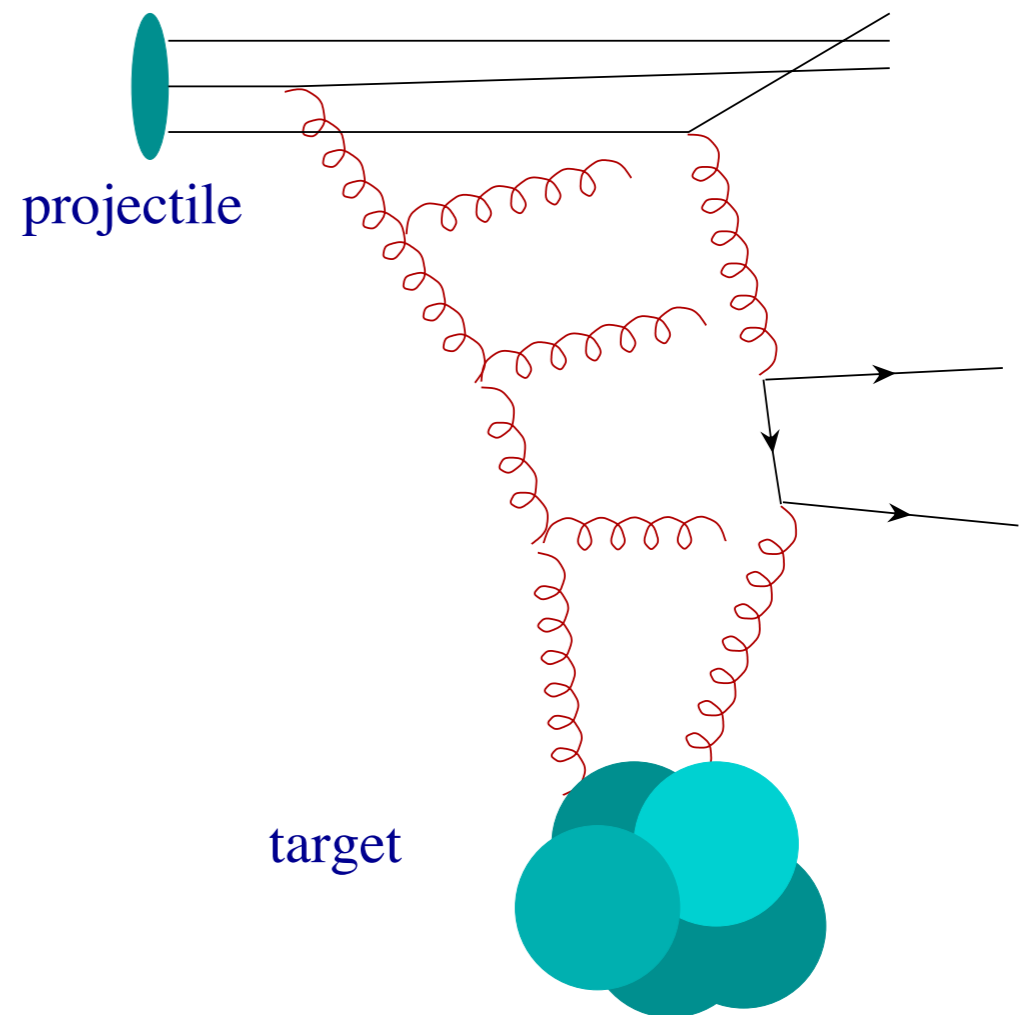
## Proton-proton cross section



Average number  
of minijet pairs

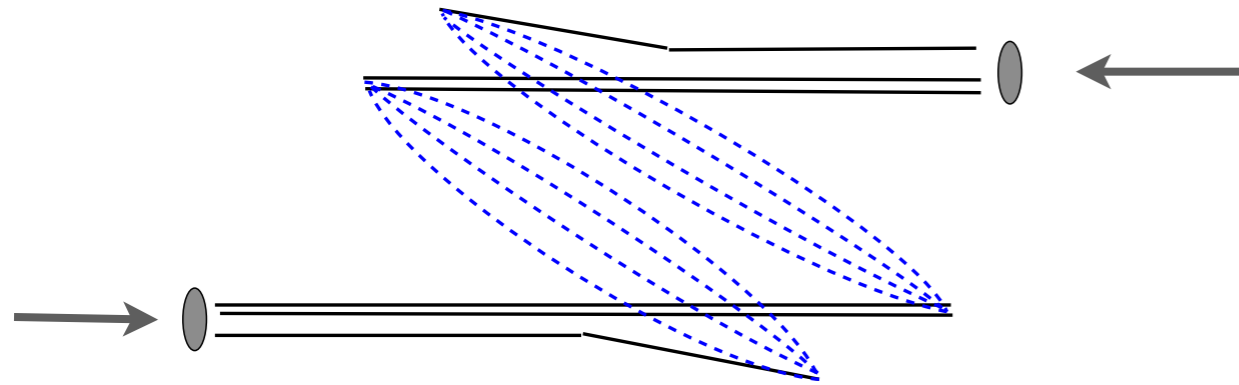
$$\langle n_{\text{jet}} \rangle = \frac{\sigma_{\text{QCD}}}{\sigma_{\text{ine}}}$$

QCD prediction:  
**inclusive** cross section



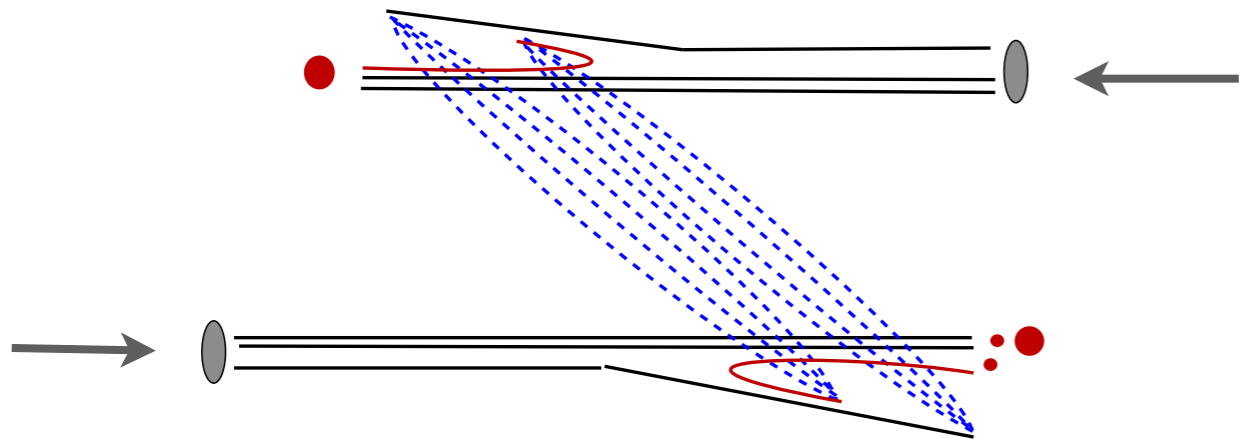


# Different implementations



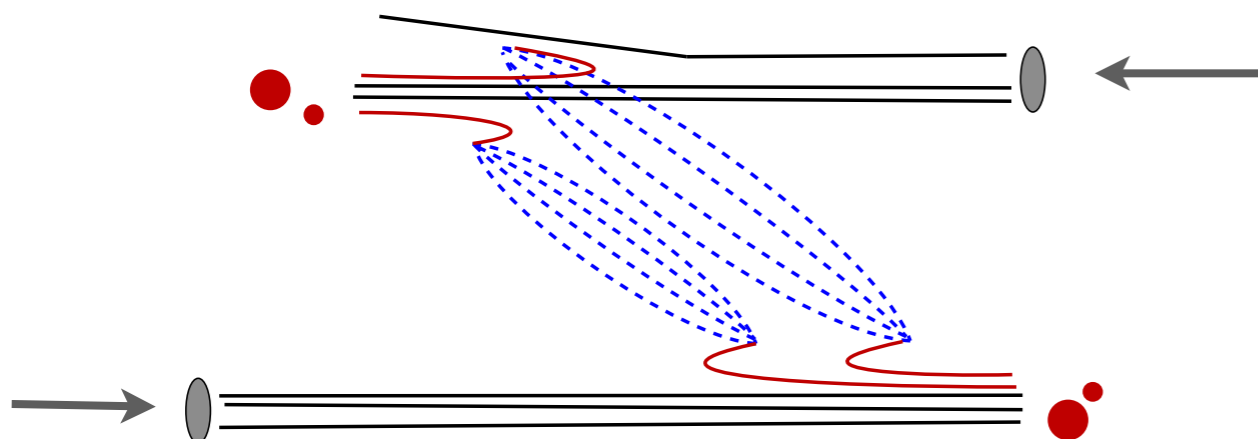
## SIBYLL:

strings connected to valence quarks;  
first fragmentation step with harder  
fragmentation function



## QGSJET:

fixed probability of strings connected to  
valence quarks or sea quarks;  
explicit construction of remnant hadron



## EPOS:

strings always connected to sea quarks;  
bags of sea and valence quarks fragmented  
statistically