



UNIVERSITÀ DEGLI STUDI  
DELL'INSUBRIA



# New Analytical Approach to Analog Hawking Radiation

## for Subcritical and Transcritical Regimes

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# Schedule

Introduction to Analog Event Horizons

The Effects of Dispersion and the Different Regimes

The model and the background field

Perturbative Approach to the Solution

Results and Discussion

# Analog Gravity

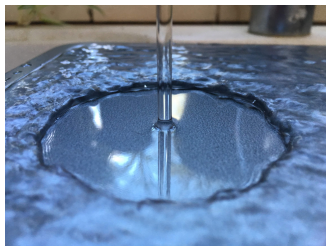
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<sup>1</sup>Illustration: Carusotto, Balbinot, Nature Phys12,897898 (2016)

## Analog Gravity

William Unruh, 1981:

Also some earth-based systems can present event horizons, similar to those of Black Holes.



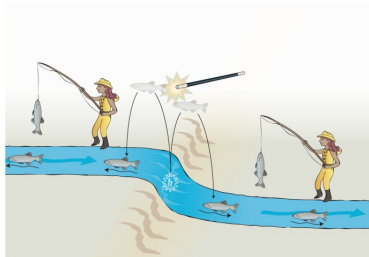
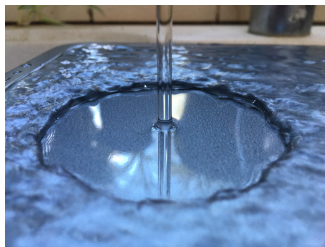
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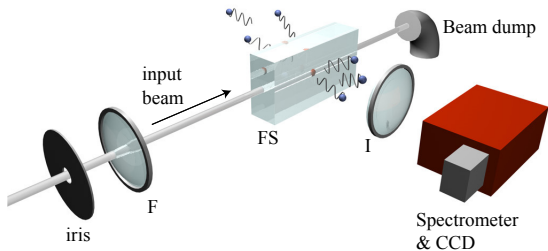


If quantum mechanics plays a significant role in the system, we should be able to observe something analogous to Hawking Radiation<sup>1</sup>.

<sup>1</sup>Illustration: Carusotto, Balbinot, Nature Phys12,897898 (2016)

# Optical Black Holes - I

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Optical Black Holes are created by sending a high-energy laser pulse into a dielectric medium.<sup>2</sup>

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# Optical Black Holes - II



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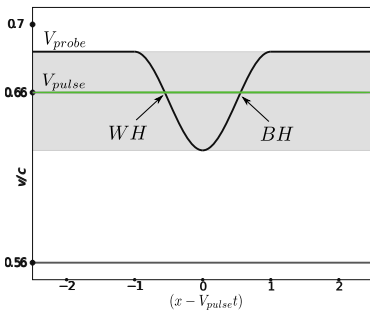
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$$\delta n(x, t) \propto I(x, t)$$

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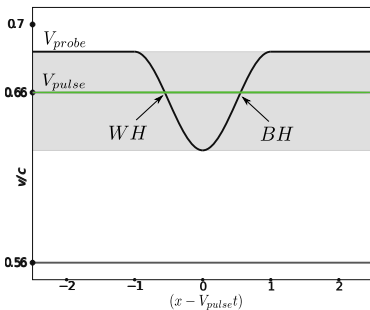
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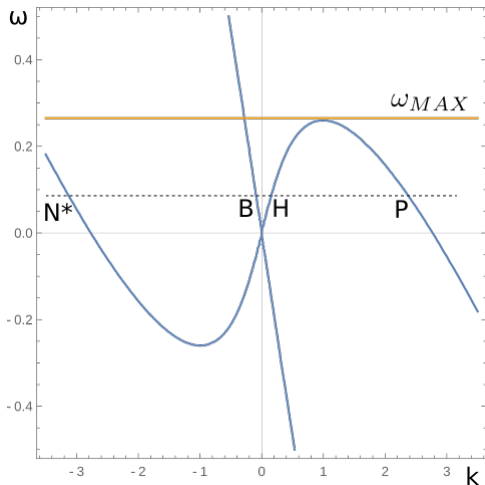
$T \sim 80K$

→ The radiation can in principle be observed directly!

# The effects of dispersion: different regimes

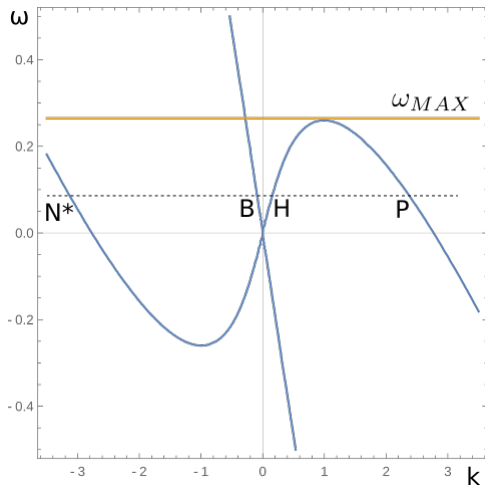
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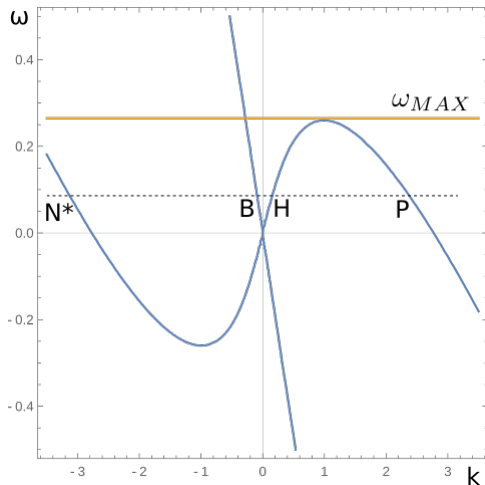
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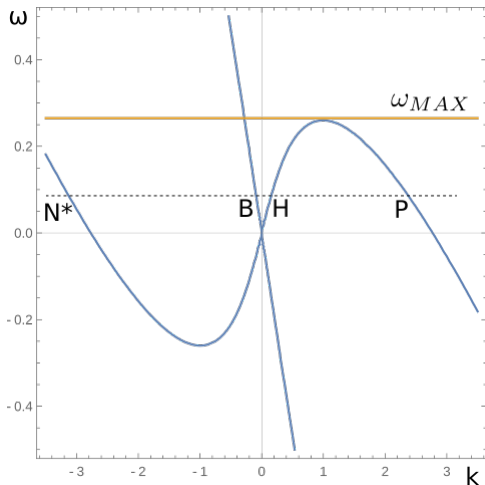


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- For  $0 < \omega < \omega_{max}$  there are **four real solutions**;
- The  $H$ -mode is the Hawking mode, which goes out of the horizon;
- The  $N^*$ -mode has **negative norm**.

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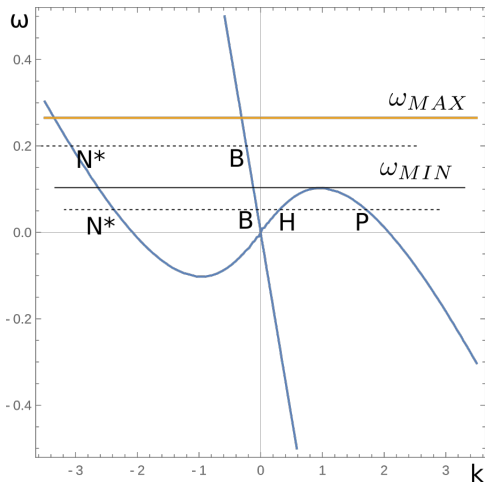
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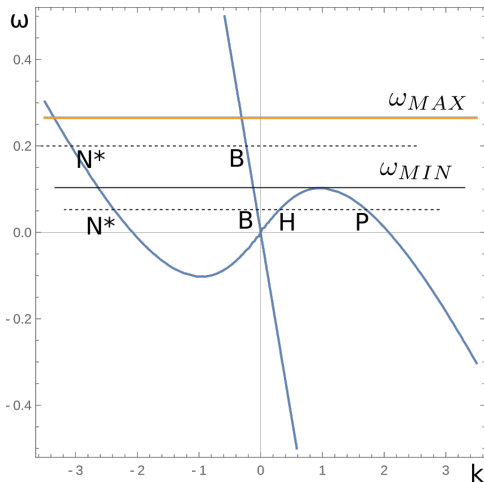
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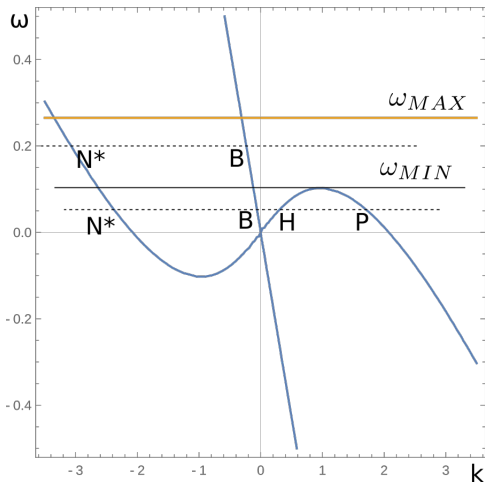


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- The frequencies  $0 < \omega < \omega_{min}$  encounter **no horizon** (subcritical regime).

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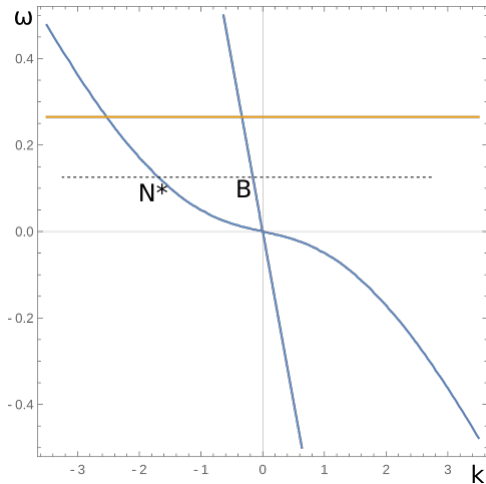
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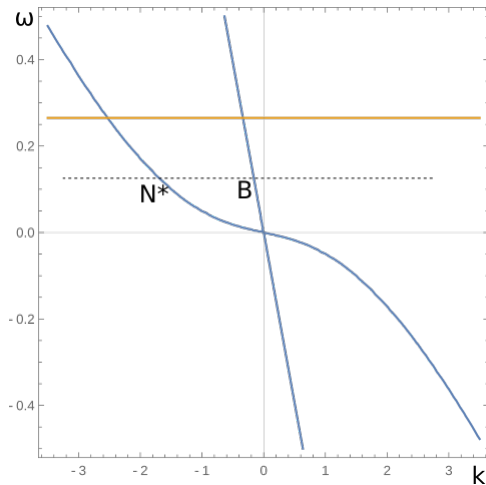
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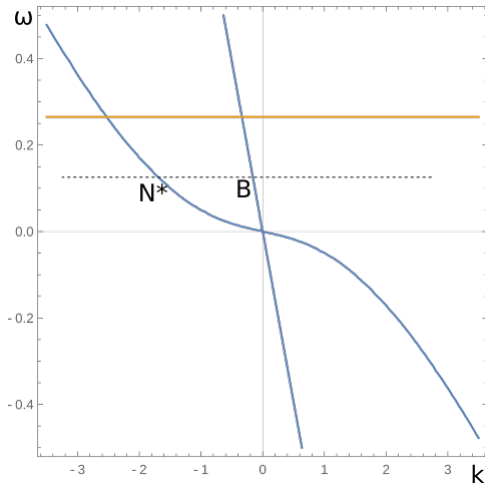
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- All frequencies  $0 < \omega < \omega_{max}$  encounter an event horizon.
- **Thermal spectrum** predicted, at least at low frequencies.

# The model

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<sup>3</sup>Belgiorno, Cacciatori, Faccio, *Hawking Radiation: From Astrophysical Black Holes to Analogous Systems in Lab* (World Scientific Publishing, Singapore, 2018).

## The model

We consider the following Lagrangian

$$\mathcal{L} = \frac{1}{2}(\partial_0\phi)^2 + \frac{1}{2}((\partial_0\psi)^2 + \mu^2\psi^2) + g\phi\partial_x\psi - \frac{\lambda}{4!}\psi^4 \quad (1)$$

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- It is designed so that the free particles of the model ( $\lambda = 0$ ) satisfy the Cauchy dispersion relation:

$$n^2(\omega) := \frac{k^2}{\omega^2} = \frac{\mu^2}{g^2} + \frac{\omega^2}{g^2} =: A + B\omega^2 \quad (2)$$

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- $\delta n \propto \bar{\psi}(x, t)^2 \propto I(x, t)$ : the model reproduces the Kerr effect.

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- This allows to consistently quantize the theory defining a Fock space of free particles which satisfy the Cauchy D.R.

Analog Horizons  
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Different Regimes  
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Model and background  
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Perturbative Approach  
ooooo

Results  
oooooo

# Solitonic solution

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The EOM have an **exact** solitonic solution

$$\psi_s(x - Vt) = \frac{\alpha}{\cosh(\beta(x - Vt))},$$
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Solitons of this type are also known to show up as approximate solutions of the Maxwell equations inside a Kerr medium (cfr. “nonlinear Schrödinger equation”).

# The mode equation in soliton background

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<sup>4</sup>Belgiorno *et al.*, Phys. Rev. **D** 102, 105003 (2020)

## The mode equation in soliton background

We consider the linearized EOM around a background field ( $\delta n$ )

$$\bar{\psi}^2(x - Vt) = \frac{\varepsilon}{\cosh^2(\beta(x - Vt))}$$

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- The solution is in the form  $\psi(x, t) = e^{-i\omega t'} f(x')$ :
- $f(x')$  satisfies a fourth-order equation of generalized Orr-Sommerfeld type<sup>4</sup>.

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Analog Horizons  
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By changing the variable to

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$$\begin{aligned} 0 = & (V^4 \gamma^4) z^4 f^{(4)} + (6V^4 \gamma^4 - 4iV^3 \Omega \gamma^4) z^3 f^{(3)} \\ & + \left( -G^2 \gamma^2 - \mu^2 V^2 \gamma^2 + 7V^4 \gamma^4 - 12iV^3 \Omega \gamma^4 - 6V^2 \Omega^2 \gamma^4 + \varepsilon \frac{V^2 \gamma^2 z^3}{(1-z)^2} \right) z^2 f^{(2)} \\ & + \left( -G^2 \gamma^2 - \mu^2 V^2 \gamma^2 + 2iG^2 V \Omega \gamma^2 + 2i\mu^2 V \Omega \gamma^2 + V^4 \gamma^4 - 4iV^3 \Omega \gamma^4 - 6V^2 \omega^2 \gamma^4 + 4iV \omega^3 \gamma^4 \right. \\ & \left. + \varepsilon \left( \frac{3V^2 \gamma^2 z^2 - 2iV \Omega \gamma^2 z^2}{(1-z)^2} + \frac{4V^2 \gamma^2 z^3}{(1-z)^3} \right) \right) z f^{(1)} \\ & + \left( \mu^2 \Omega^2 \gamma^2 + G^2 V^2 \Omega^2 \gamma^2 + \Omega^4 \gamma^4 + \varepsilon \left( \frac{V^2 \gamma^2 z - 2iV \Omega \gamma^2 z - \Omega^2 \gamma^2 z}{(1-z)^2} + \frac{6V^2 \gamma^2 z^2 - 4iV \Omega \gamma^2 z^2}{(1-z)^3} + \frac{6V^2 \gamma^2 z^3}{(1-z)^4} \right) \right) f \end{aligned}$$

## New perturbative approach

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- This is suppose to reproduce the subcritical case without any approximation in the dispersion;
- There is no theoretical obstacle in extending the approach to the critical case (maybe just some higher orders in the perturbation).

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# The perturbative expansion<sup>7</sup>

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## The perturbative expansion<sup>7</sup>

- We express the solution as a power series:

$$u(z) = u_0(z) + \varepsilon u_1(z) + \varepsilon^2 u_2(z) + \dots$$

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$$u_1^{(4)} + v_1(z)u_1^{(2)} + v_2(z)u_1^{(1)} + v_3(z)u_1 = w_1(z)u_0^{(2)} + w_2(z)u_0^{(1)} + w_3(z)u_0 ,$$

...

$$u_n^{(4)} + v_1(z)u_n^{(2)} + v_2(z)u_n^{(1)} + v_3(z)u_n = w_1(z)u_{(n-1)}^{(2)} + w_2(z)u_{(n-1)}^{(1)} + w_3(z)u_{(n-1)}$$

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## The perturbative expansion<sup>7</sup>

- We express the solution as a power series:

$$u(z) = u_0(z) + \varepsilon u_1(z) + \varepsilon^2 u_2(z) + \dots$$

- We obtain an infinite set of recursive equations:

$$u_1^{(4)} + v_1(z)u_1^{(2)} + v_2(z)u_1^{(1)} + v_3(z)u_1 = w_1(z)u_0^{(2)} + w_2(z)u_0^{(1)} + w_3(z)u_0 ,$$

...

$$u_n^{(4)} + v_1(z)u_n^{(2)} + v_2(z)u_n^{(1)} + v_3(z)u_n = w_1(z)u_{(n-1)}^{(2)} + w_2(z)u_{(n-1)}^{(1)} + w_3(z)u_{(n-1)}$$

- We were able to **solve exactly** the first-order equation using the method of variation of constants.

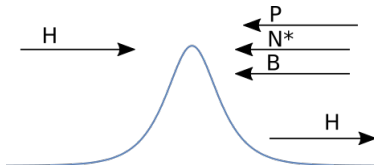
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<sup>6</sup>A.V. Chueshev, V.V. Chueshev, Journal of Siberian Federal University. Mathematics & Physics 2022, **15**(3), 308318.

# Boundary conditions for the solution

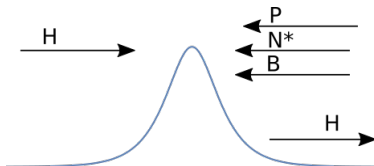
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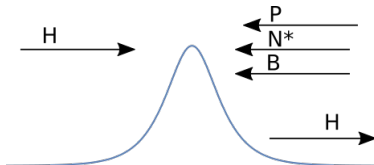


From the conserved scalar product of the theory we get

$$1 = |H| + |P| - |N| + |B|$$
$$\Rightarrow |N| = \frac{1 - |H| + |B|}{\frac{|P|}{|N|} - 1} =: \frac{1}{e^{\frac{\omega}{T_\omega}} - 1}$$

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In the Bogolubov analysis,  $|N|$  can be identified as the the rate of **spontaneous particle emission**.

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The exact expression of  $u_1(z)$  with the imposed boundary condition is

$$\begin{aligned}
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 & \left( \frac{4i\left(\frac{\omega}{V}+(k_H+i)\right)^2 {}_2F_1\left(2,i(k_H-k_P)+1;i(k_H-k_P)+2;\frac{1}{z}\right)}{(k_H-k_P)(k_H-k_P-i)(k_P-k_N)(k_P-k_B)} + \frac{16\left(k_H+\frac{\omega}{V}+\frac{3i}{2}\right) {}_2F_1\left(3,i(k_H-k_P)+1;i(k_H-k_P)+2;\frac{1}{z}\right)}{(k_H-k_P)(k_H-k_P-i)(k_P-k_N)(k_P-k_B)} - \frac{24i {}_2F_1\left(4,i(k_H-k_P)+1;i(k_H-k_P)+2;\frac{1}{z}\right)}{(k_H-k_P)(k_H-k_P-i)(k_P-k_N)(k_P-k_B)} \right. \\
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 \end{aligned}$$

- From this form one can easily derive the asymptotic expression at  $z = \infty$  ( $x = +\infty$ );
- Thanks to the connection formulas of the Hypergeometric function we also derived the asymptotic expression at  $z = 0$  ( $x = -\infty$ ).

# The first-order solution - Asymptotics

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The asymptotic expression of the solution is

$$f(x') \sim \begin{cases} e^{-ik_H x'} & , x' \rightarrow -\infty \\ H e^{-ik_H x'} + P e^{-ik_P x'} + N e^{-ik_N x'} + B e^{-ik_B x'} & , x' \rightarrow +\infty \end{cases},$$

where

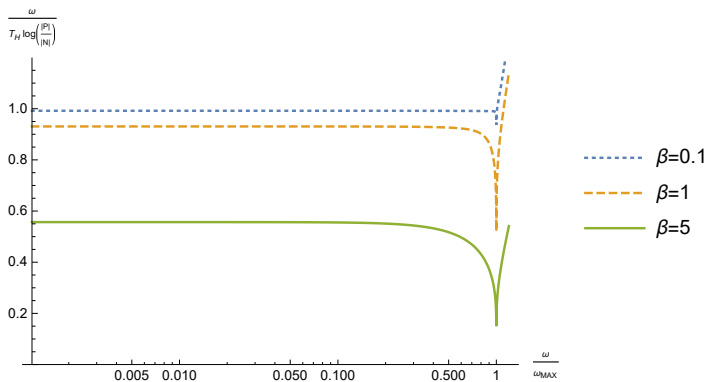
$$H = 1 - i\varepsilon \frac{(k_H V + \omega)^2}{V^4 \gamma^2 (k_H - k_P)(k_H - k_N)(k_H - k_B)} + O(\varepsilon^2)$$

$$P = -i\varepsilon \frac{\pi (k_P V + \omega)^2 (-1)^{-i(k_H - k_P)} \operatorname{csch}[(k_H - k_P)\pi/(2\beta)]}{2\beta V^4 \gamma^2 (k_P - k_N)(k_P - k_B)} + O(\varepsilon^2)$$

$$N = -i\varepsilon \frac{\pi (k_N V + \omega)^2 (-1)^{-i(k_H - k_N)} \operatorname{csch}[(k_H - k_N)\pi/(2\beta)]}{2\beta V^4 \gamma^2 (k_N - k_P)(k_N - k_B)} + O(\varepsilon^2)$$

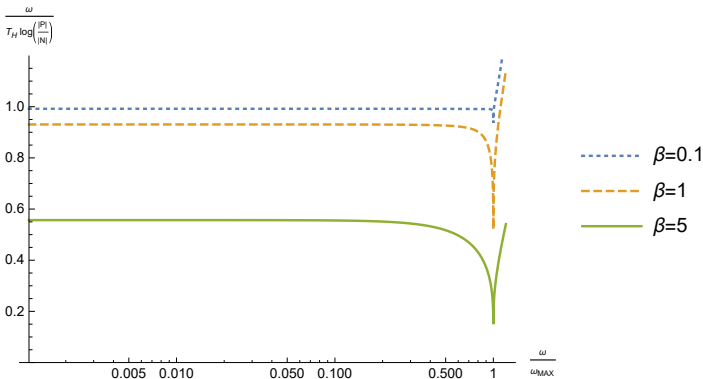
$$B = -i\varepsilon \frac{\pi (k_B V + \omega)^2 (-1)^{-i(k_H - k_B)} \operatorname{csch}[(k_H - k_B)\pi/(2\beta)]}{2\beta V^4 \gamma^2 (k_B - k_P)(k_B - k_N)} + O(\varepsilon^2).$$

# Estimation of the temperature

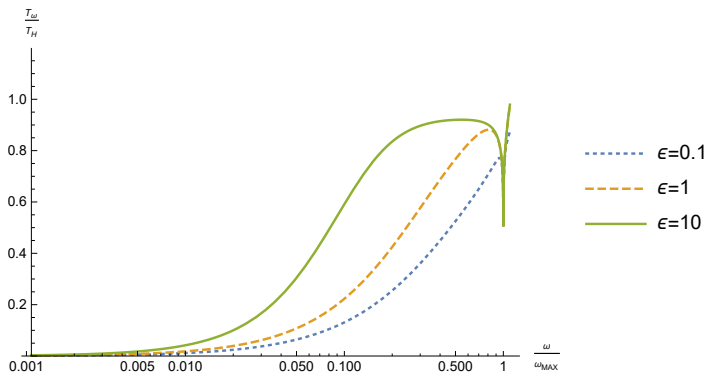


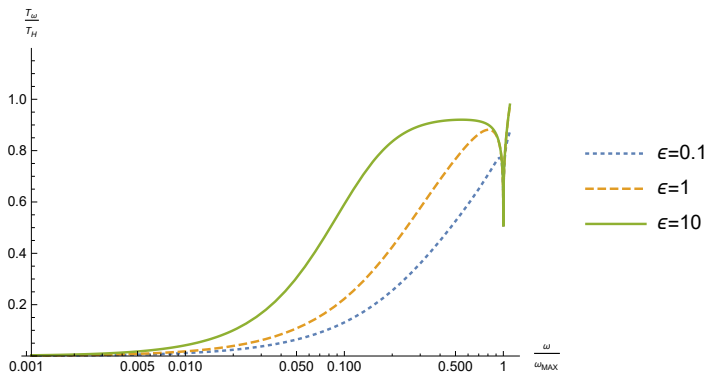
- The ratio  $\frac{|P|}{|N|}$  grows exponentially with  $\omega$ .

## Estimation of the temperature



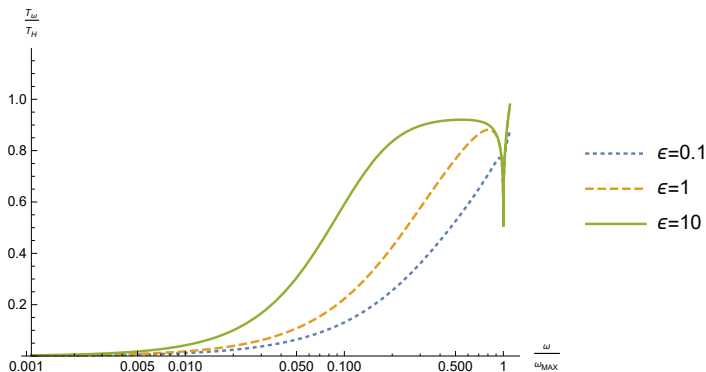
- The ratio  $\frac{|P|}{|N|}$  grows exponentially with  $\omega$ .
- The estimated temperature  $T_H = \frac{\beta\gamma^2 V(g^2 - \mu^2 V^2)}{2\pi g(2g + \mu V)}$  is less accurate as  $\beta$  increases.

Plot of  $T_\omega$ 

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- Plateau appearing at  $T_\omega \approx T_H$  for high frequencies.



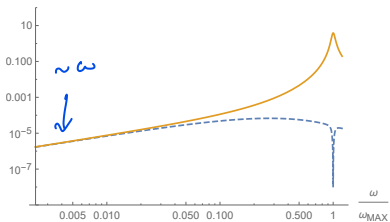
Plot of  $T_\omega$ 

- Plateau appearing at  $T_\omega \approx T_H$  for high frequencies.
- The behaviour is similar to what was found by numerical studies of the transcritical flow in shallow water<sup>8</sup>.

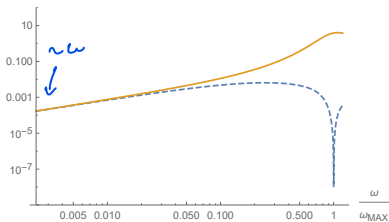
<sup>8</sup>Michel, Parentani, Phys. Rev. **D** 90, 044033 (2014).

# Plot of $|P|$ and $|N|$

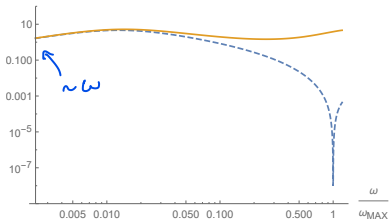
$\epsilon = 0.1$



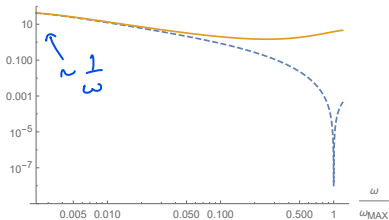
$\epsilon = 1$



$\epsilon = 100$



$\epsilon = 1000$



Analog Horizons  
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Different Regimes  
ooo

Model and background  
oooooo

Perturbative Approach  
ooooo

Results  
oooo●oo

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- Differently from previous approaches, our method has **no intrinsic difficulty** in describing the critical limit;
- Our plots show **signs of criticality** (i.e. thermality of the spectrum) for high values of  $\varepsilon$ : to this values the results shouldn't be trusted, but this fact is qualitatively interesting nonetheless.

Analog Horizons  
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# Future work



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- Apply to monotonic backgrounds to study the **transition to critical** regime;
- Study and classify other similar Fuchsian equations that come from analog systems;
- Investigate the possibility of finding **exact** (non-perturbative) integrals of such equations.

Thank you for the attention!