# Quantum Fluids in the Universe - ISAPP



# **Positivity Bounds for Effective Field Theories** With Spontaneously Broken Lorentz Invariance

SISSA, Trieste

# Friday 09/06/2023

### Alessandro Longo Phd in Astroparticle Physics



### Outline

# • Positivity Bounds for EFTs with Spontaneous Breaking of Lorentz Invariance with Paolo Creminelli, Leonardo Senatore, Matteo Delladio, Oliver Janssen





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• Positivity Bounds for EFTs with Spontaneous Breaking of Lorentz Invariance with Paolo Creminelli, Leonardo Senatore, Matteo Delladio, Oliver Janssen

• Backreaction Mechanism in Ghost-Free Massive Gravity with Miguel Zumalacarregui, Giovanni Tambalo, Lavinia Heisenberg









Positivity Bounds for EFTs with SSB of LI

#### Alessandro Longo



 $\mathscr{L}_{EFT} = \frac{1}{2} \partial_{\mu} \pi \partial^{\mu} \pi + \frac{c_3}{\Lambda^4} (\partial_{\mu} \pi \partial^{\mu} \pi)^2 + \dots$ 

#### Positivity Bounds for EFTs with SSB of LI





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The linearized field equation for fluctuations  $\phi = \pi - \pi_0$  about the non trivial background  $\partial_\mu \pi_0 = C_\mu$  is

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 $[\eta_{\mu\nu} + 4\frac{c_3}{\Lambda^4}C_{\mu}C_{\nu} + \dots]\partial_{\mu}\partial_{\nu}\phi = 0$ 

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$$k_{\mu}k^{\mu} + 4$$

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The Wilson coefficients of an Effective Field Theory are not entirely free. Their signs determine the presence/absence of superluminal propagation about non trivial backgrounds

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#### Positivity Bounds for EFTs with SSB of LI

 $\mathscr{L}_{EFT} \stackrel{?}{=} \lim_{\text{Low Energy}} \mathscr{L}_{UV}$ 



- Conserved Currents

- Other "bridge" operators?

 $\mathcal{L}_{UV}$ 

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$$\mathscr{L}_{EFT} = \frac{1}{2} \partial_{\mu} \pi \partial^{\mu}$$

A stronger bound is obtained studying the  $\pi\pi \to \pi\pi$  scattering

IR amplitude in the forward limit:

$$A_{\pi\pi\to\pi\pi}(s) = \frac{2c_3}{\Lambda^4}s^2$$



#### Positivity Bounds for EFTs with SSB of LI

# $^{\mu}\pi + \frac{c_3}{\Lambda^4} (\partial_{\mu}\pi\partial^{\mu}\pi)^2 + \dots$ The analytic S-matrix provides a link between IR and the UV physics



Positivity Bounds for EFTs with SSB of LI

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"Cosmological" Positivity Bounds:

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**Typical Cosmological and Condensed Matter setups are** characterized by Sponaneous **Breaking of Lorentz Invariance** 





- No guarantee that the EFT can be extrapolated to a Lorentz-invariant UV theory (i.e. perturbations of a fluid)

No clear connection between UV and IR physics



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$$\tilde{G}_{Ret}^{\mu\nu}(p) = \int_{x \in FLC} d^4 x e^{-ip}$$

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### $i^{p \cdot x} i \theta(x^0) < 0 \left[ \left[ J^{\mu}(x), J^{\nu}(0) \right] \right] 0 > 0$





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### $\pi\pi ightarrow \pi\pi$ scattering on time-dependent background





$$\mathcal{L} = \frac{1}{2}X + c_4 \frac{X^2}{\Lambda^4} + c_6 \frac{X^3}{\Lambda^8} + c_8 \frac{X^4}{\Lambda^{12}}$$

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"Fully forward" configuration ( $\cos \phi_{q,p} \approx 1$ ) enhances the contribution of  $c_6$  and  $c_8$ 

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#### Positivity Bounds for EFTs with SSB of LI

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The core of the problem lies in the modified dispersion relation of  $\pi$  :

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$$\int_{\vec{k}} \sqrt{m_{\rho}^{2} - \frac{m_{rho}^{2}}{2} + \frac{\sqrt{m_{\rho}^{4} + 16\mu^{2}\omega^{2}}}{2}}$$

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**Result:** bounds for a theory of a gauged shift symmetric scalar

Positivity Bounds for EFTs with SSB of LI









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#### Positivity Bounds for EFTs with SSB of LI









### Positivity Bounds for EFTs with SSB of LI



# **Summing up**



# Conclusions

- EFT's coefficients are not arbitrary. "Healthy" theories obey Positivity Bounds

"Minkowskian" bounds

- S-matrix not necessarily well defined at arbitrarily high energies due to SSB of Lorentz Invariance

- Lorentz breaking as tool to extract information from h.d.o. in some specific kinematical regimes

#### Positivity Bounds for EFTs with SSB of LI

- "Cosmological" Positivity Bounds provide useful theoretical priors and robustness tests of already existing







# **Backreaction Mechanism in Ghost-Free Massive Gravity**

Positivity Bounds for EFTs with SSB of LI





Motivations:

### Positivity Bounds for EFTs with SSB of LI



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Starting point: massive Fierz-Pauli 
$$\mathcal{K}_h = -\frac{1}{4}h^{ab}\mathcal{E}^{cd}_{ab}h_{cd} - \frac{1}{8}m^2(h_{ab}h^{ab} - \alpha h^2)$$

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The massless propagator is not recovered in the  $m \rightarrow 0$  limit

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Solutions:

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A ghost dof rides on top of the helicity-0 mode  $\pi$ 







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Solutions:

Clever structure of the potential s.t. all higher derivatives operators  $(\partial^2 \pi)^n$ are total derivatives

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#### Positivity Bounds for EFTs with SSB of LI

$$\sqrt{-g} \left( R[g] - \mathcal{U}[g, f] \right)$$

Fundamental building-block  $\mathcal{K}^a_b \equiv \delta^a_b - \left(\sqrt{g^{-1}f}\right)^a_{\mu}$ 

$$\det \left[ \frac{\delta \mathcal{L}_{dRGT}}{\delta \dot{\phi}^a \delta \dot{\phi}^b} \right] = 0$$

No ghost instabilities

dRGT graviton propagates 5 dofs

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The Problem



### Positivity Bounds for EFTs with SSB of LI



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$$(\dot{a} - \sqrt{|k|}N)\left(3 - \frac{2\sqrt{|k|}f}{a}\right) = 0$$

- $k = 0 \rightarrow No$  dynamical flat FLRW
- $k \neq 0 \rightarrow$  Curved FLRW does exist

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Large Scales: Homogeneity + Isotropy




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Large Scales: Homogeneity + Isotropy

Small Scales: Nonlinear structures





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## Positivity Bounds for EFTs with SSB of LI





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$$\partial^2 \phi + \mathcal{O}(\phi, \phi) + \ldots = 0$$

## Positivity Bounds for EFTs with SSB of LI





How to define an average?

$$\partial^2 \phi + \mathcal{O}$$

Smoothing the evolution equation introduces extra sources for the long-wavelength modes  $\phi_l$ 

 $\partial^2 \phi_l + \mathcal{O}(\phi_l, \phi_l) + [\mathcal{O}(\phi_s)]$ 

#### Positivity Bounds for EFTs with SSB of LI

 $\mathcal{O}(\phi,\phi)+\ldots=0$ 

$$[\phi_s, \phi_s)]_{\Lambda} + o\left(\frac{\partial^2}{\Lambda^2}\right) + [\ldots]_{\Lambda} = 0$$



How to define an average?

$$\partial^{2} \phi + \mathcal{O}(\phi, \phi) + \dots = 0$$

$$\phi_{l}(t, x) \equiv \int d^{3}x' W_{\Lambda}(|x - x'|) \phi(t) dx$$

$$= 1 \text{ sources for the long-wavelength modes}$$

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The sources for the long-wavelength modes  $\mathcal{O}_{\mathcal{O}}$ 

$$+ [\mathcal{O}(\phi_s, \phi_s)]_{\Lambda} + o\left(\frac{\partial^2}{\Lambda^2}\right) + [\ldots]_{\Lambda} = 0$$

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 $\partial^2 \phi_l + \mathcal{O}(\phi_l, \phi_l)$ 

Same evolution operator

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$$\partial^{2}\phi + \mathcal{O}(\phi, \phi) + \dots = 0$$

$$\phi_{l}(t, x) \equiv \int d^{3}x' W_{\Lambda}(|x - x'|)\phi(t) dx' = \int d^{3}x' W_{\Lambda}(|x - x'|)\phi(t) dx' = 0$$

$$f(\phi_{s}, \phi_{s})]_{\Lambda} + o\left(\frac{\partial^{2}}{\Lambda^{2}}\right) + [\dots]_{\Lambda} = 0$$

Smoothing the evolution equation introduces extra

 $\partial^2 \phi_l + \mathcal{O}(\phi_l, \phi_l)$ 

Same evolution operator

Averaged inhomogeneities appear in brackets as extra sources after smoothing of equations

#### Positivity Bounds for EFTs with SSB of LI











Basic ingredients:

### Positivity Bounds for EFTs with SSB of LI





Basic ingredients:

 $g_{\mu\nu} = \psi^2 \eta_{\mu\nu}$ 

Conformally flat metric

Positivity Bounds for EFTs with SSB of LI





 $g_{\mu\nu} = \psi^2 \eta_{\mu\nu} \qquad \left( X^2 \right)^{\mu}_{\nu} \equiv g^{\mu\rho} \tilde{f}_{\rho\nu} = \begin{vmatrix} \Omega \\ a V^i \end{vmatrix}$ 

Conformally flat metric

A Monster

#### Positivity Bounds for EFTs with SSB of LI

Basic ingredients:

$$\Omega \quad V_j^{-}$$
$$uV^i \quad Z_j^i$$





 $g_{\mu\nu} = \psi^2 \eta_{\mu\nu} \qquad \left(X^2\right)^{\mu}_{\nu} \equiv g^{\mu\rho} \tilde{f}_{\rho\nu} = \begin{vmatrix} \Omega & V_j \\ aV^i & Z_j^i \end{vmatrix}$ 

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#### Positivity Bounds for EFTs with SSB of LI

Basic ingredients:

## $\mathcal{U}_2 = 12 - 6[X] + [X]^2 - [X^2]$

A *minimal* potential







 $g_{\mu\nu} = \psi^2 \eta_{\mu\nu} \qquad (X^2)^{\mu}_{\nu} \equiv g^{\mu\rho} \tilde{f}_{\rho\nu} = \begin{vmatrix} \Omega & V_j \\ aV^i & Z_j^i \end{vmatrix} \qquad \mathcal{U}_2 = 12 - 6[X] + [X]^2 - [X^2]$ 

Conformally flat metric

A Monster

 $\begin{cases} \phi^0 = f + \pi^0 \\ \phi^i = bx^i + \pi^i \end{cases}$ 

Stuckelbergs expansion

Positivity Bounds for EFTs with SSB of LI

Basic ingredients:

A *minimal* potential







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Tasks:

#### Positivity Bounds for EFTs with SSB of LI

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#### A *minimal* potential

- extract X at second order in  $\pi$ 







Conformally flat metric

A Monster

 $\begin{cases} \phi^0 = f + \pi^0 \\ \phi^i = bx^i + \pi^i \end{cases}$ 

Stuckelbergs expansion

Positivity Bounds for EFTs with SSB of LI

Tasks: - extract X at second order in  $\pi$ 

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#### A *minimal* potential

- compute fields equations at second order in  $\pi$ 







Conformally flat metric

A Monster

Tasks:

 $\begin{cases} \phi^0 = f + \pi^0 \\ \phi^i = bx^i + \pi^i \end{cases}$ 

Stuckelbergs expansion

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Basic ingredients:

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#### A *minimal* potential

- extract X at second order in  $\pi$
- compute fields equations at second order in  $\pi$
- smooth-out fields equations







Conformally flat metric

A Monster

Tasks:

 $\begin{cases} \phi^0 = f + \pi^0 \\ \phi^i = bx^i + \pi^i \end{cases}$ 

Stuckelbergs expansion

Positivity Bounds for EFTs with SSB of LI

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## A *minimal* potential

- extract X at second order in  $\pi$
- compute fields equations at second order in  $\pi$
- smooth-out fields equations
- get a dynamical evolution for  $\psi_1$







The equations:

### Positivity Bounds for EFTs with SSB of LI





Einstein's equations

$$G_{\mu\nu} - \frac{m^2}{2} Y_{\mu\nu} = \frac{T_{\mu\nu}}{M_P^2}$$

Positivity Bounds for EFTs with SSB of LI

The equations:





Einstein's equations

$$G_{\mu\nu} - \frac{m^2}{2} Y_{\mu\nu} = \frac{T_{\mu\nu}}{M_P^2}$$

Positivity Bounds for EFTs with SSB of LI

The equations:

#### Stuckelberg's equations

 $\nabla_{\mu}Y^{\mu}_{\nu}=0$ 





The equations:

Einstein's equations



 $Y^{\mu}_{\nu} = \frac{1}{2} \mathcal{U}_2 \delta^{\mu}_{\nu} + (3 - [X]) X^{\mu}_{\nu} + (X^2)^{\mu}_{\nu}$ 

#### Positivity Bounds for EFTs with SSB of LI

#### Stuckelberg's equations







The equations:

Einstein's equations



 $Y^{\mu}_{\nu} = \frac{1}{2} \mathcal{U}_2 \delta^{\mu}_{\nu} + (3 - [X]) X^{\mu}_{\nu} + (X^2)^{\mu}_{\nu}$ 

#### Positivity Bounds for EFTs with SSB of LI

#### Stuckelberg's equations



## $[\nabla_{\mu}Y_{0}^{\mu}]_{\Lambda} = 0 \rightarrow 3\dot{f}(3\dot{\psi}_{l}\psi_{l} - 2\psi_{l}) + \mathscr{L}(\partial\pi_{l};\psi_{l},\dot{f}) + [\mathscr{Q}(\partial\pi_{s},\psi_{s};\psi_{l},\partial\pi_{l},\dot{f})]_{\Lambda} = 0$







 $\left[\partial \pi_s \partial \pi_s\right]_{\Lambda} \simeq \frac{\int d^3 p}{(2\pi)^3} K(p,k) P_m(p)$ Solving the above equations linearly in  $\pi_s$  and  $\psi_s$  yields  $\pi_s(\rho_s; \psi_l, f)$ :

#### Positivity Bounds for EFTs with SSB of LI

The equations:

#### Stuckelberg's equations



 $[\nabla_{\mu}Y_{0}^{\mu}]_{\Lambda} = 0 \rightarrow 3\dot{f}(3\dot{\psi}_{l}\psi_{l} - 2\psi_{l}) + \mathscr{L}(\partial\pi_{l};\psi_{l},\dot{f}) + [\mathscr{Q}(\partial\pi_{s},\psi_{s};\psi_{l},\partial\pi_{l},\dot{f})]_{\Lambda} = 0$ 





## Positivity Bounds for EFTs with SSB of LI

## Thank you



