



Status and prospects on $b \rightarrow c \ell \nu$ transitions at LHCb

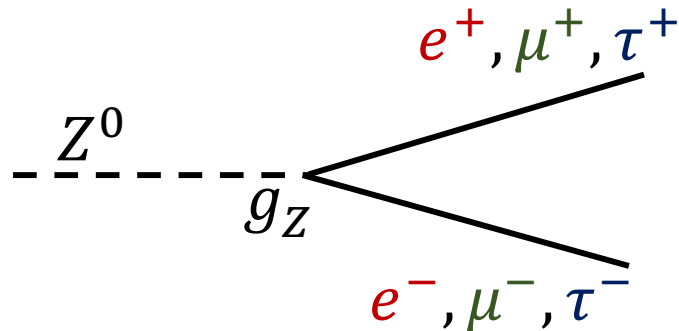
CARMEN GIUGLIANO

On behalf of the LHCb Collaboration

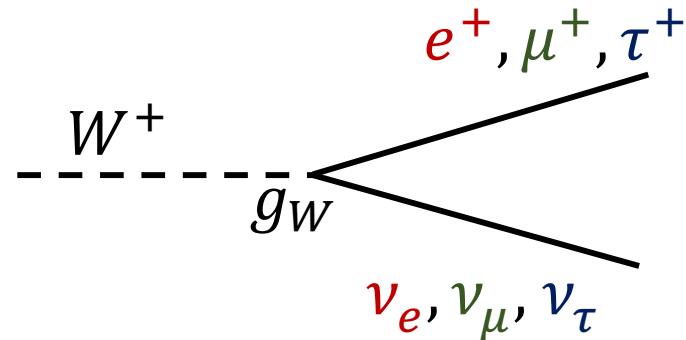
Università degli Studi e INFN di Ferrara

Workshop on status and perspectives of physics at high intensities 9-11 November 2022

The Standard Model (SM) predicts equal couplings between gauge bosons and the fermion families. In the lepton sector, this universality results in an accidental lepton flavour symmetry (broken only by Higgs Yukawa interactions). This is called **Lepton Flavour Universality (LFU)**



Lepton Flavour Universality
Violation



Many SM extensions foresee new processes involving mostly the third generation of quarks and leptons

Some discrepancies between SM predictions and measurements have been reported in b-hadron decays

Neutral current: $b \rightarrow s\ell\ell$

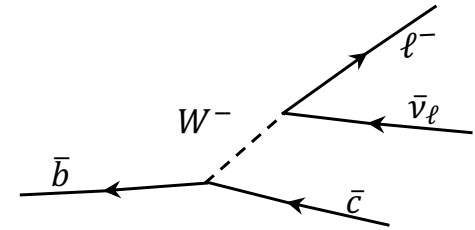
Charged current: $b \rightarrow c\ell\nu_\ell$ (this talk)

Ratios $R(H_c)$

LFU Tests in charged current decays

Semileptonic decays: $b \rightarrow c \ell \nu_\ell$

- Tree level diagrams with $\mathcal{B} \sim 10^{-2}$
- Sensitive to New Physics processes in couplings with different lepton generations



$$\frac{d\Gamma}{dq^2}(H_b \rightarrow H_c \tau^- \bar{\nu}_\tau) \propto G_F^2 |V_{cb}|^2 f(q^2)^2$$

$$\ell' = \mu \text{ (LHCb)}$$

$$\ell' = e/\mu \text{ (B factories)}$$

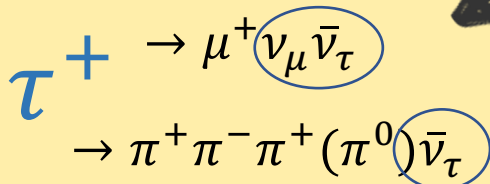
$$\rightarrow R(H_c) = \frac{\mathcal{B}(H_b \rightarrow H_c \tau^- \bar{\nu}_\tau)}{\mathcal{B}(H_b \rightarrow H_c \ell'^- \bar{\nu}_\ell)} = \frac{N_{sig}}{N_{norm}} \times \frac{\epsilon_{norm}}{\epsilon_{sig}}$$

Features:

- Partial cancellation of **form factor uncertainties** and dependence from $|V_{cb}|$
- Reduce experimental uncertainties (systematics)

$R(H_c) \neq 1$ due to different lepton masses

$$m_\tau \sim \begin{cases} 17 \times m_\mu \\ 3500 \times m_e \end{cases}$$

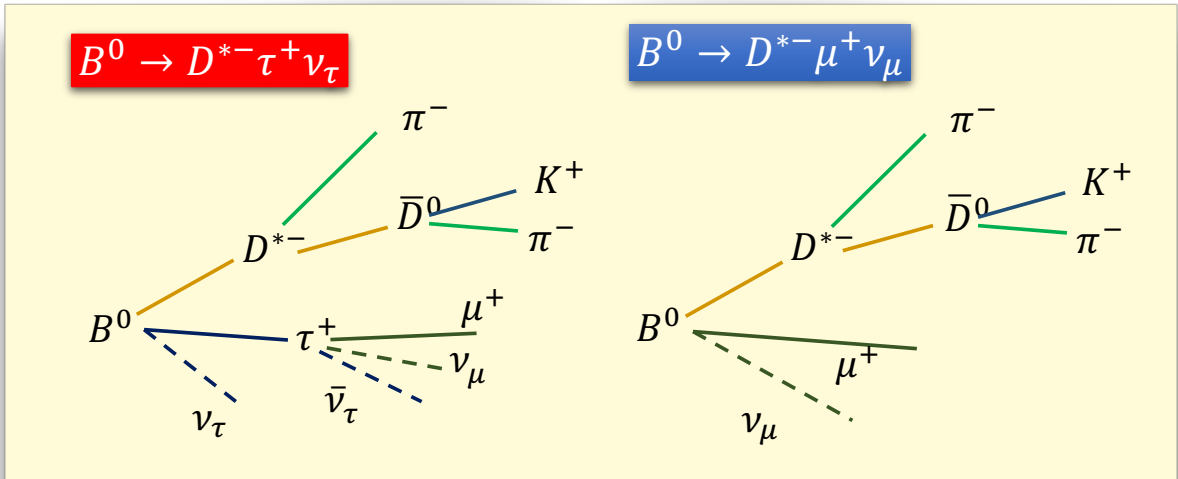


Challenges: missing neutrinos

- Don't know full momentum -> unknown rest frame
- Large partially-reconstructed B backgrounds

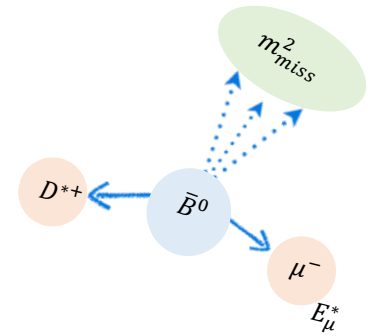
Needed approximations to reconstruct the b-hadron Momentum

$R(D^*)$ with $\tau \rightarrow \mu\nu\nu$



To obtain p_B :

$$(\gamma\beta_z)_B = (\gamma\beta_z)_{D^*\mu}$$

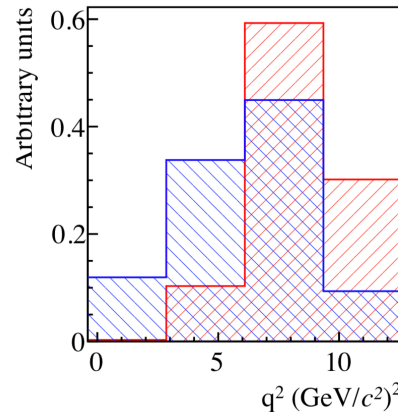
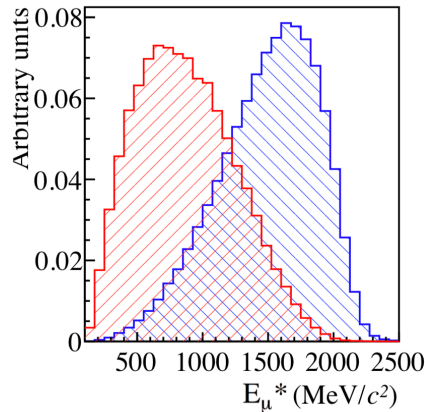
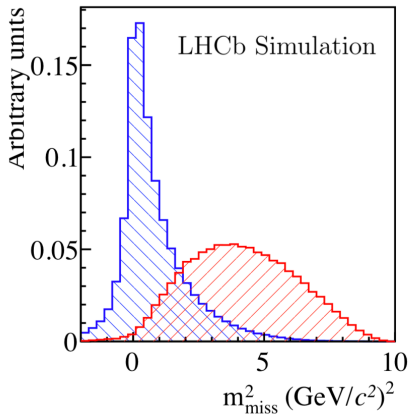


Signal and normalization channel separated by 3-D fit

$$m_{miss}^2 = (p_B - p_{D^*} - p_\mu)^2$$

$$E_\mu^*$$

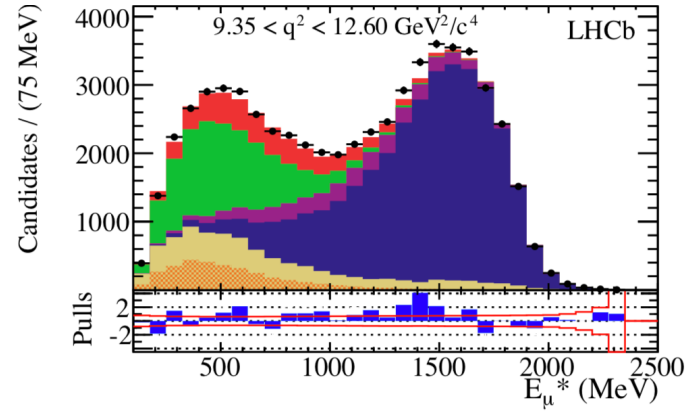
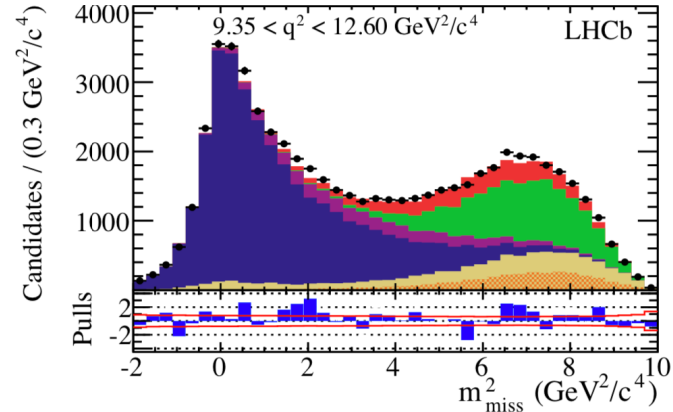
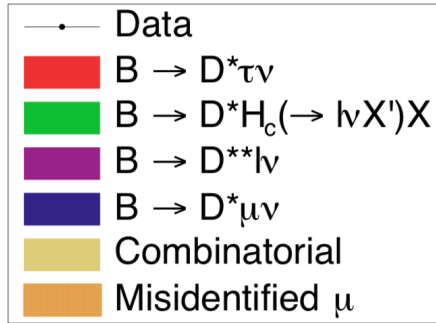
$$q^2 = (p_B - p_{D^*})^2$$



$B \rightarrow D^* \tau \nu_\tau$	$B \rightarrow D^* \mu \nu_\mu$
$m_{miss}^2 > 0$	$m_{miss}^2 = 0$
E_μ spectrum is soft	E_μ spectrum is hard
$m_\tau^2 \leq q^2 \leq 10.6$	$0 \leq q^2 \leq 10.6$

$R(D^*)$ with $\tau \rightarrow \mu\nu\nu$

[PRL 115 111803 (2015)]



$$R(D^*) = 0.336 \pm 0.027(\text{stat.}) \pm 0.030(\text{syst.})$$

Run 1
[3 fb⁻¹]

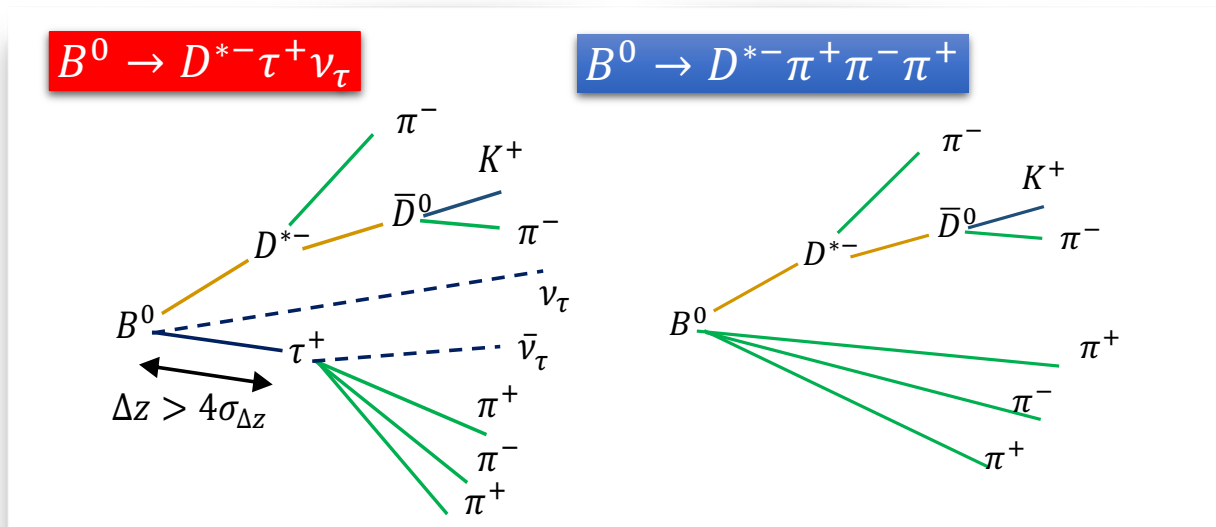
- Good agreement with the previous measurements
- Compatible in 2.1σ with the SM: $R(D^*) = 0.258 \pm 0.005$
- Systematic uncertainty dominated by MC statistics and MisID background

$R(D^*)$ with $\tau \rightarrow \pi\pi\pi\nu$

$\mathcal{B}(\tau^+ \rightarrow \pi^+\pi^-\pi^+(\pi^0)\bar{\nu}_\tau) \sim 14\%$, similar to $\mathcal{B}(\tau^+ \rightarrow \bar{\nu}_\tau\mu^+\nu_\mu)$

$$R(D^*) \equiv \underbrace{\frac{\mathcal{B}(B^0 \rightarrow D^{*-}\tau^+\nu_\tau)}{\mathcal{B}(B^0 \rightarrow D^{*-}\pi^+\pi^-\pi^+)}}_{K(D^*)} \times \frac{\mathcal{B}(B^0 \rightarrow D^{*-}\pi^+\pi^-\pi^+)}{\mathcal{B}(B^0 \rightarrow D^{*-}\mu^+\nu_\mu)}$$

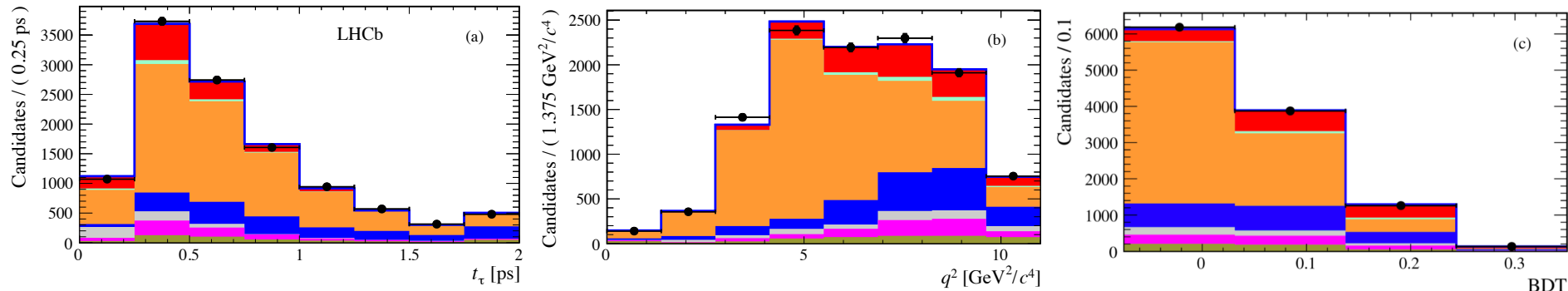
[~ 4% precision, BaBar, Belle, LHCb]
[~ 2% precision, HFLAV2016]



Approximations
needed to
determine p_B e p_τ

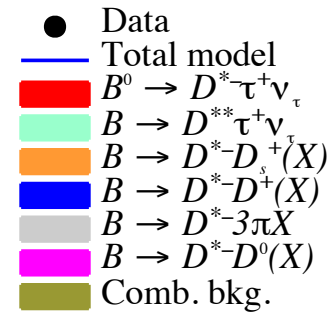
- **Normalization** yield from a fit to $M(B^0 \rightarrow D^{*-}\pi^+\pi^-\pi^+)$
- Backgrounds: suppressed with kinematics properties and with a multivariate analysis (BDT)
- **Signal** yield from a 3D fit to: t_τ, q^2 , BDT result

$R(D^*)$ with $\tau \rightarrow \pi\pi\nu$



$$R(D^*) = 1.93 \pm 0.12(\text{stat.}) \pm 0.17(\text{syst.})$$

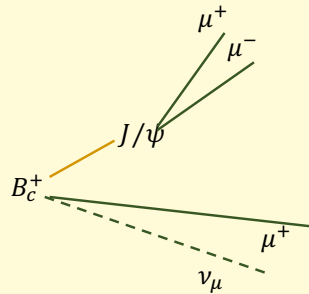
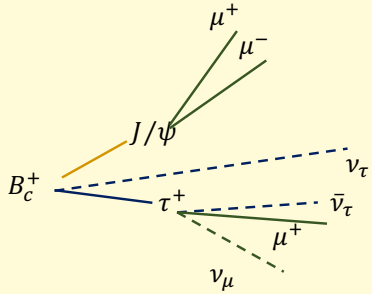
$$R(D^*) = 0.280 \pm 0.018(\text{stat.}) \pm 0.029(\text{syst.})$$



Run 1
[3 fb⁻¹]

- first measurement obtained exploiting $\tau \rightarrow 3\pi\nu$;
- compatible into $\sim 1\sigma$ with the SM prediction: $R(D^*) = 0.258 \pm 0.005$;
- systematic uncertainty dominated by MC statistics, external measurements and background shapes and models

$R(J/\psi)$ with $\tau \rightarrow \mu\nu\nu$

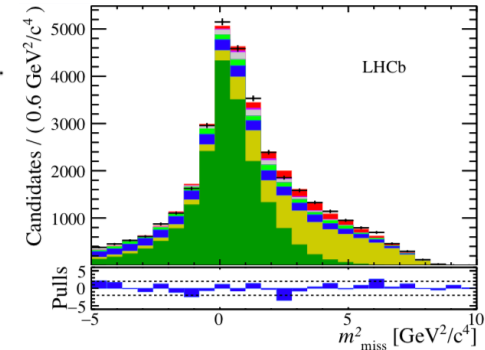
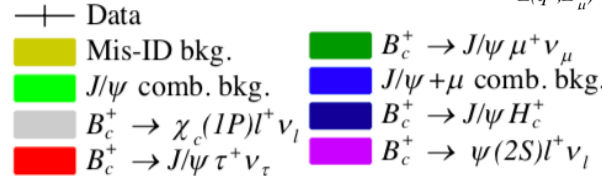
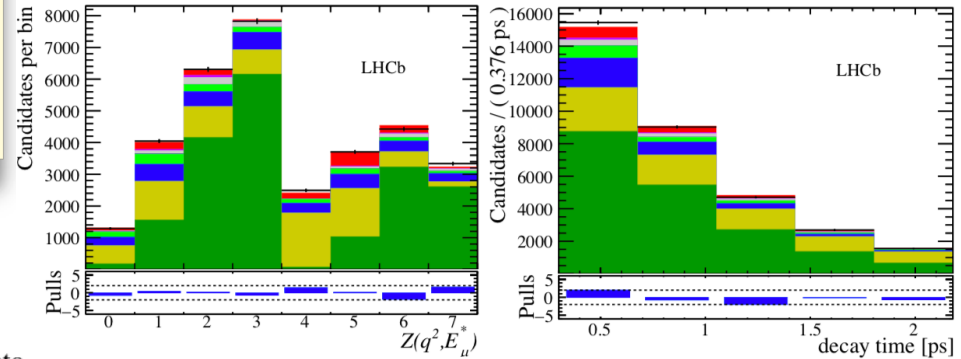


Same visible final state for **Signal** and **Normalization** channel

Similar to $R(D^*)$ with $\tau \rightarrow \mu\nu\nu$

The ratio is obtained from a 3-D fit to:

- m_{miss}^2 ;
- $Z(q^2, E_\mu^*)$;
- B_c^+ decay time



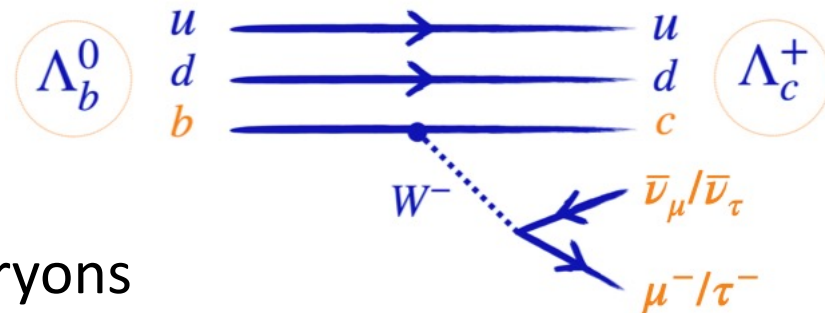
$R(J/\psi) = 0.71 \pm 0.17(stat.) \pm 0.18(syst.)$

Run 1
[3 fb⁻¹]

- compatible into 2σ with the SM: $R(J/\psi) = 0.2582(38)$ [2007.06957]
- systematic uncertainty dominated by MC statistics and uncertainty on Form Factors

$R(\Lambda_c)$ with $\tau \rightarrow \pi\pi\pi\nu$

$$R(\Lambda_c) \equiv \frac{\mathcal{B}(\Lambda_b^0 \rightarrow \Lambda_c^+ \tau^- \bar{\nu}_\tau)}{\mathcal{B}(\Lambda_b^0 \rightarrow \Lambda_c^+ \mu^- \bar{\nu}_\mu)}$$



- First $R(\Lambda_c)$ measurement using baryons
 - complementary constraints to NP w.r.t. $R(D^{(*)})$
- Different form factors than $B \rightarrow D$ decays
 - NP results in different scenarios
- Precise SM predictions: [PRD99\(2019\)055008](#)
[JHEP08\(2017\)131](#)
 - $R(\Lambda_c) = 0.324 \pm 0.004$

[PRD99\(2019\)055008](#) with input from Lattice
QCD FF: [PRD92034503\(2015\)](#)

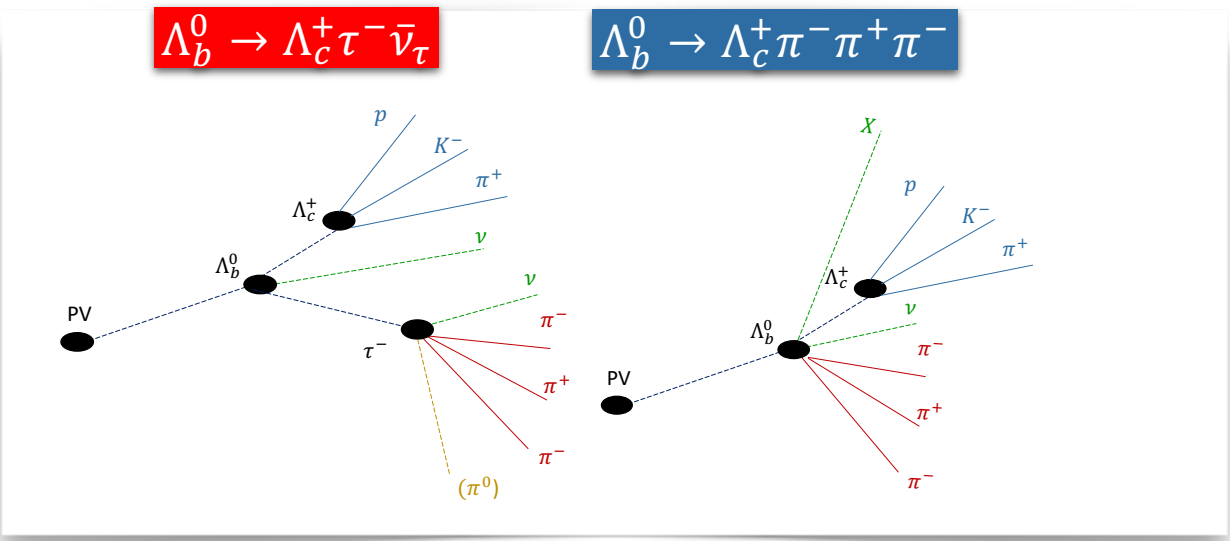
$R(\Lambda_c)$ with $\tau \rightarrow \pi\pi\pi\nu$

$$R(\Lambda_c) \equiv \frac{\mathcal{B}(\Lambda_b^0 \rightarrow \Lambda_c^+ \tau^- \bar{\nu}_\tau)}{\mathcal{B}(\Lambda_b^0 \rightarrow \Lambda_c^+ \mu^- \bar{\nu}_\mu)}$$

measured

$$R(\Lambda_c) \equiv \underbrace{\frac{\mathcal{B}(\Lambda_b^0 \rightarrow \Lambda_c^+ \tau^- \bar{\nu}_\tau)}{\mathcal{B}(\Lambda_b^0 \rightarrow \Lambda_c^+ \pi^- \pi^+ \pi^-)}}_{K(\Lambda_c)} \times \frac{\mathcal{B}(\Lambda_b^0 \rightarrow \Lambda_c^+ \pi^- \pi^+ \pi^-)}{\mathcal{B}(\Lambda_b^0 \rightarrow \Lambda_c^+ \mu^- \bar{\nu}_\mu)}$$

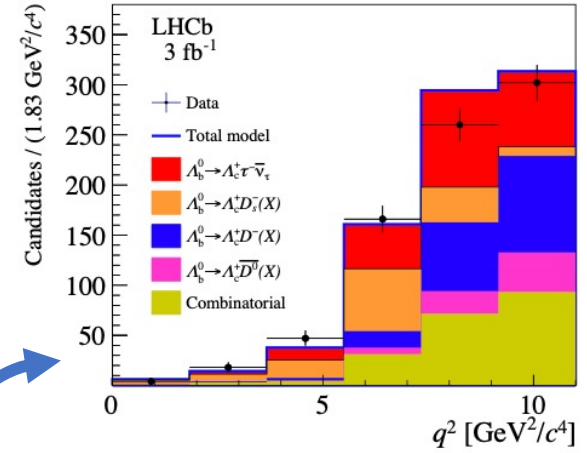
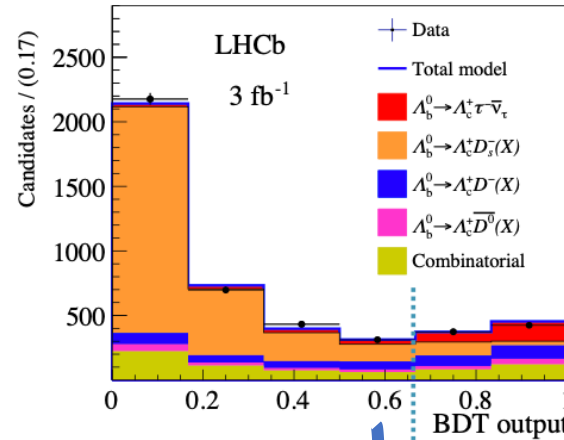
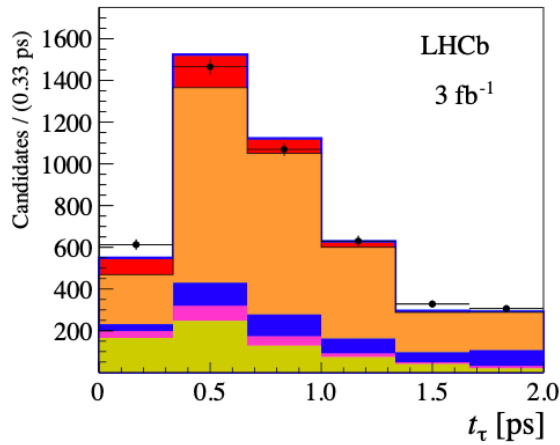
external



Approximations needed to determine p_{Λ_b} e p_τ

- **Normalization** yield from a fit to $M(\Lambda_b^0 \rightarrow \Lambda_c^+ \pi^- \pi^+ \pi^-)$
- Backgrounds: suppressed with kinematics properties and with a multivariate analysis (BDT)
- **Signal** yield from a 3D fit to: $t_\tau, q^2, \text{BDT result}$

$R(\Lambda_c)$ with $\tau \rightarrow \pi\pi\pi\nu$

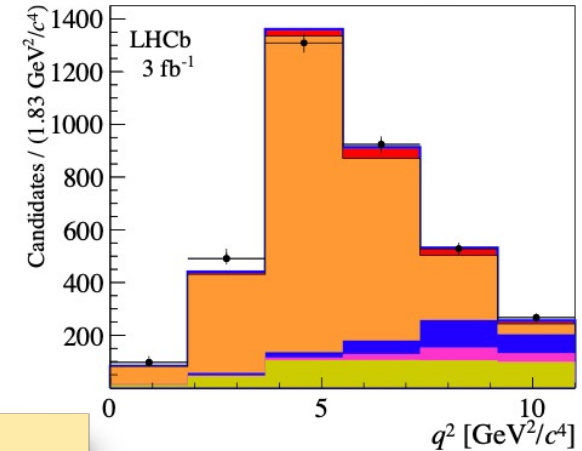


$$K(\Lambda_c) = 2.46 \pm 0.27(\text{stat.}) \pm 0.40(\text{syst.})$$

- First observation of $\Lambda_b^0 \rightarrow \Lambda_c^+ \tau^- \bar{\nu}_\tau$ at 6.1σ

$$\mathcal{B}(\Lambda_b^0 \rightarrow \Lambda_c^+ \tau^- \bar{\nu}_\tau) = (1.50 \pm 0.16(\text{stat.}) \pm 0.25(\text{syst.}) \pm 0.23(\text{ext.}))\%$$

$$R(\Lambda_c) = 0.242 \pm 0.026(\text{stat.}) \pm 0.040(\text{syst.}) \pm 0.059(\text{ext.})$$

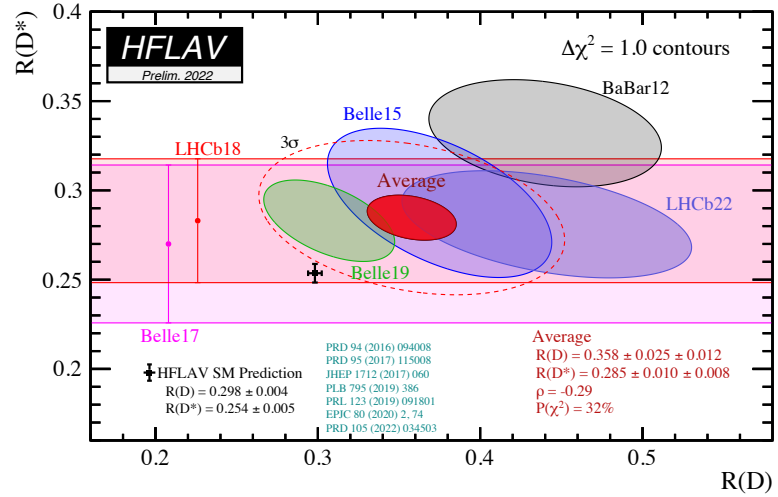
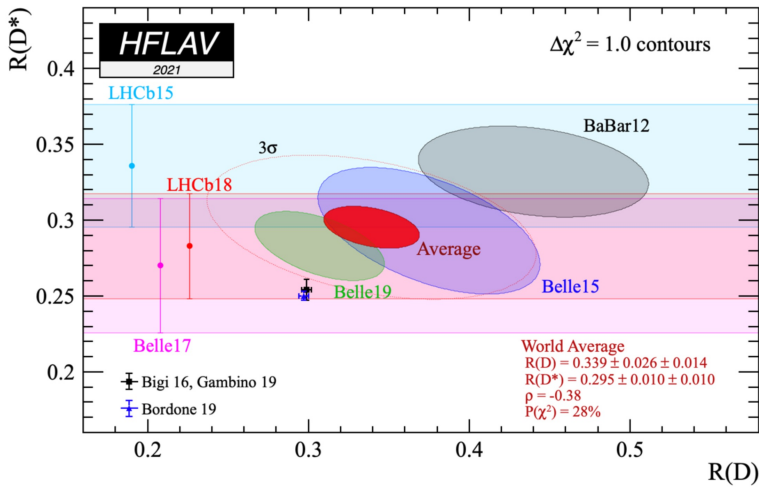


Run 1
[3 fb⁻¹]

- compatible into $\sim 1\sigma$ with the SM prediction;
- systematic uncertainty dominated by MC statistics, external measurements and background shapes and models

Joint measurement of $R(D)$ vs. $R(D^*)$ with $\tau \rightarrow \mu\nu\nu$ [PRL 115 111803 (2015)]

Purpose: Extend LHCb Run1 muonic measurement ('LHCb15') from 1D band to 2D ellipse via a simultaneous fit to disjoint $D^0\mu^-$ and $D^{*+}\mu^-$ samples



Based on 2015 $R(D^*)$ analysis

$$R(D) = 0.441 \pm 0.060(stat.) \pm 0.066(syst.)$$

$$R(D^*) = 0.280 \pm 0.018(stat.) \pm 0.024(syst.)$$

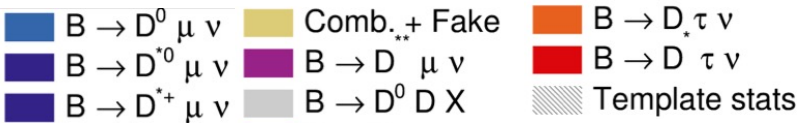
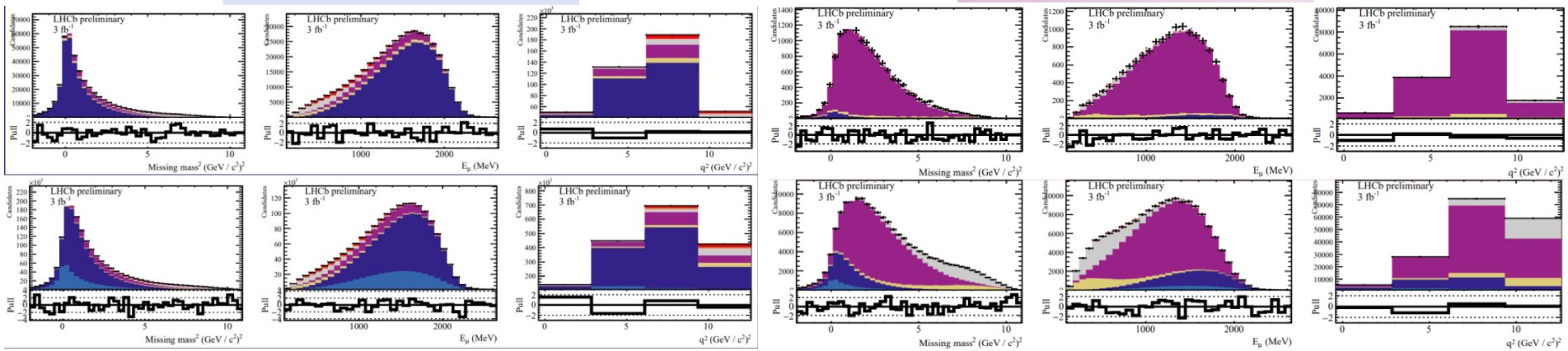
Excellent agreement with world average, 1.9σ from standard model

Joint measurement of $R(D)$ vs. $R(D^*)$ with $\tau \rightarrow \mu\nu\nu$

8 simultaneous maximum-likelihood fit to (2x) signal regions, (2x3x) anti-isolated control regions

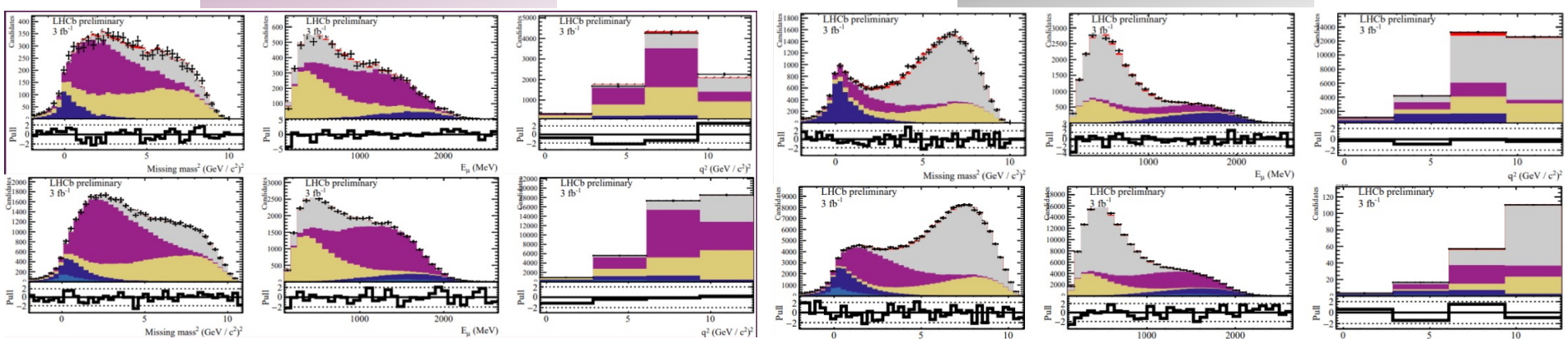
Signal

$D^{(*)}\mu^- + \pi^-$

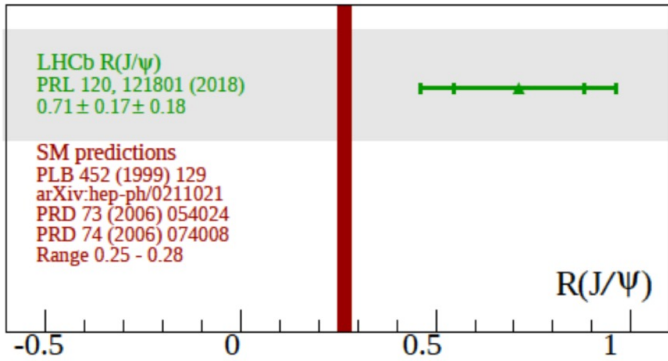


$D^{(*)}\mu^- + \pi^- \pi^+$

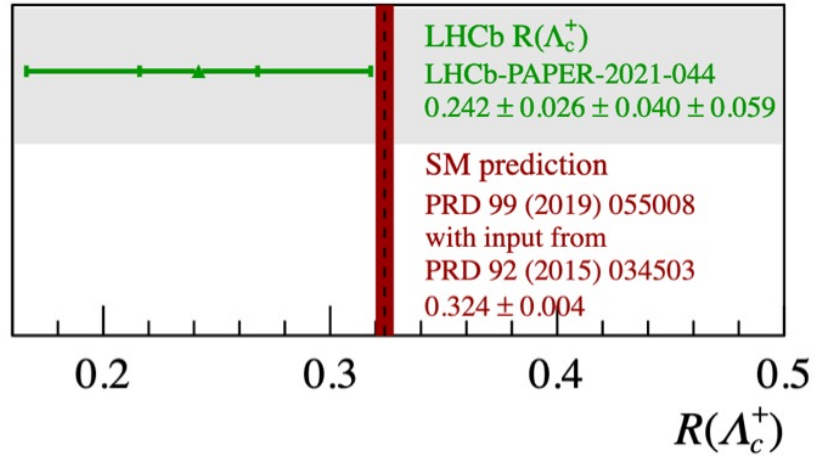
$D^{(*)}\mu^- + K(X)$



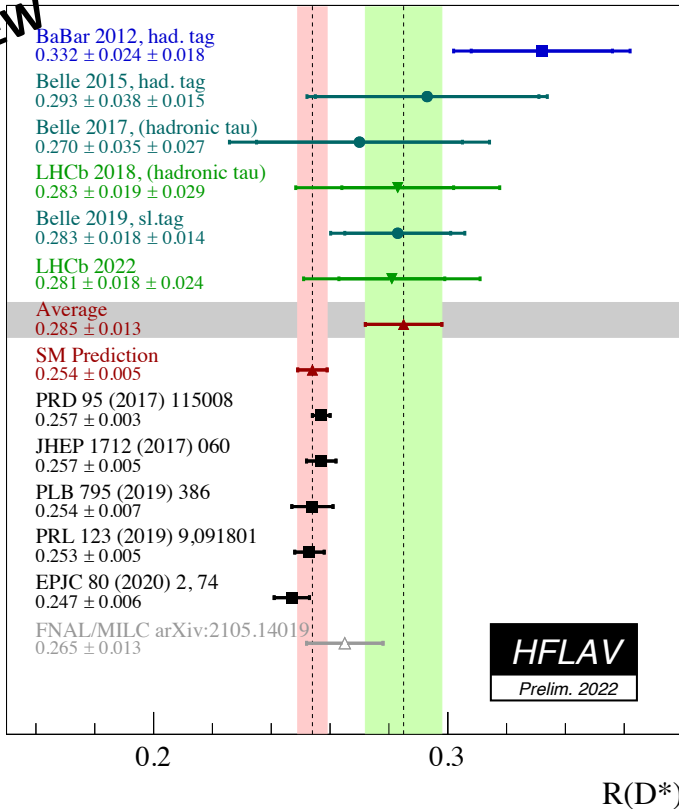
Current State of art:



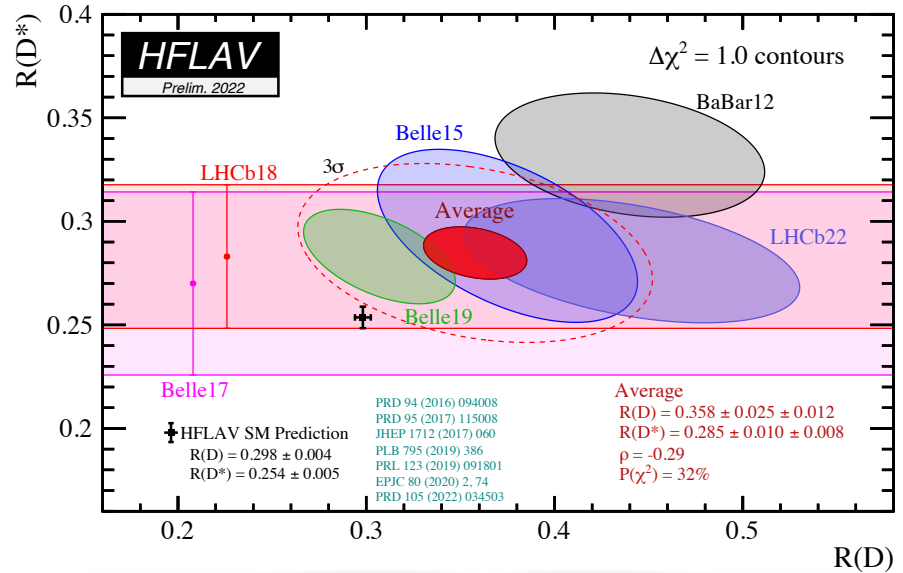
NEW



NEW



NEW



3.2 σ above the SM prediction

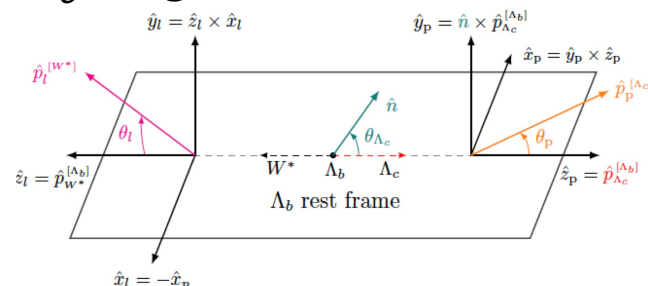
Angular analysis

Angular analysis: $\Lambda_b \rightarrow \Lambda_c \mu \nu$

Enhanced sensitivity to potential NP compared to the ratio alone

Using $\Lambda_b \rightarrow \Lambda_c \mu \nu$ decays, enhanced sensitivity to tensor currents

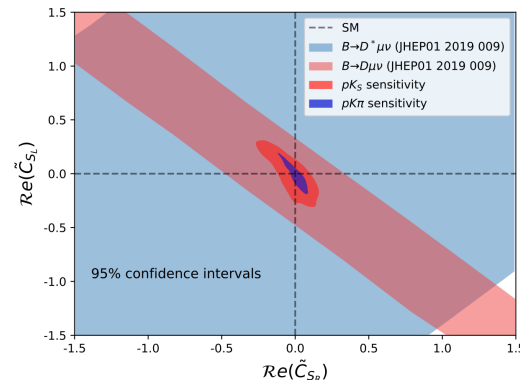
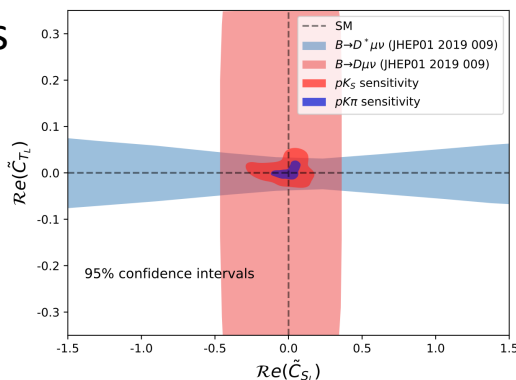
- Consider Λ_b production
 - Polarised: $\Lambda_c^+ \rightarrow p K_S^0$
 - Unpolarised: $\Lambda_c^+ \rightarrow p K^+ \pi^-$ and integrate over Λ_c^+ angles
 - 2D fit: q^2 and $\cos \theta_l$
 - Expected 7.5M events in Run1+Run2[9 fb⁻¹]



Two-dimensional sensitivity plots

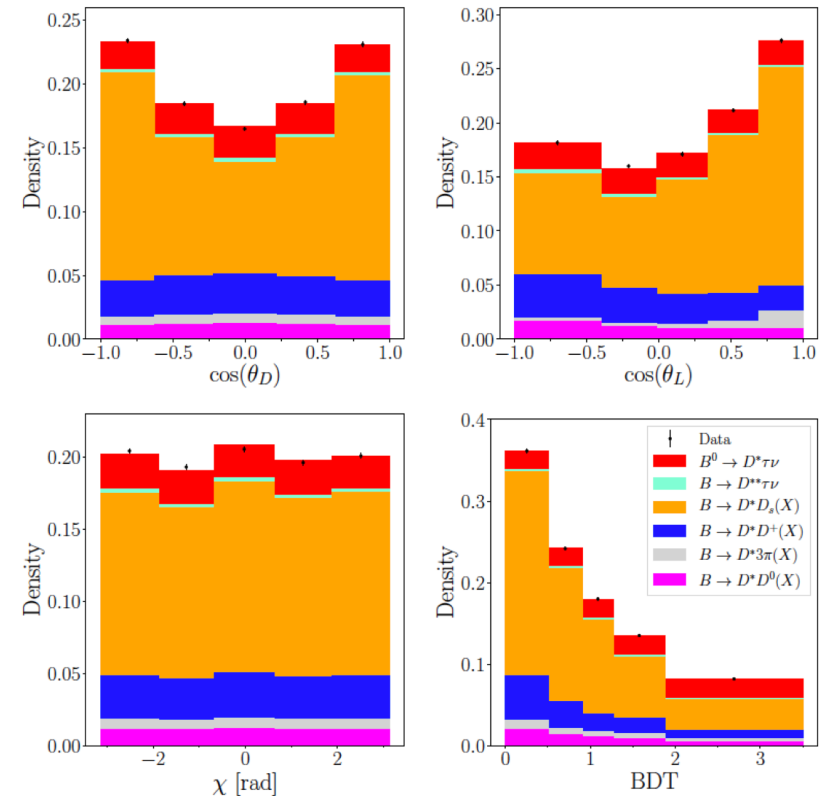
Wilson coefficients

- Improvement compared to existing $B \rightarrow D(*)$ decays



WIP

- Angular analysis with τ decays
- $B^0 \rightarrow D^* \tau \nu$ very well described theoretically and NP may contribute at tree level
- D^* longitudinal polarization fraction complementary to the already performed $R(D^*)$ and needed to compare to the value found by Belle collaboration [1903.03102](https://arxiv.org/abs/1903.03102)
- Prospects with the Run 1+ part of Run 2 data sample [5fb⁻¹] shown here
- Using 4D fit on angular variables: $\cos \theta_L$, $\cos \theta_D$, χ , BDT output
 - Extract Wilson Coefficients



Angular analysis: $B^0 \rightarrow D^* \tau \nu$

[angular](#)

WIP

- Angular analysis with τ decays
- NP can be detected in angular coefficients even if $R(D^*)$ is compatible with SM
- Model agnostic measurement of $B^0 \rightarrow D^* \tau \nu$ angular coefficients

$$\frac{d^4\Gamma}{dq^2 d \cos \theta_D d \cos \theta_L d\chi} = \frac{9}{32\pi} \left\{ \begin{aligned} &I_{1c} \cos^2 \theta_D + I_{1s} \sin^2 \theta_D \\ &+ [I_{2c} \cos^2 \theta_D + I_{2s} \sin^2 \theta_D] \cos 2\theta_L \\ &+ [I_{6c} \cos^2 \theta_D + I_{6s} \sin^2 \theta_D] \cos \theta_L \\ &+ [I_3 \cos 2\chi + I_9 \sin 2\chi] \sin^2 \theta_L \sin^2 \theta_D \\ &+ [I_4 \cos \chi + I_8 \sin \chi] \sin 2\theta_L \sin 2\theta_D \\ &+ [I_5 \cos \chi + I_7 \sin \chi] \sin \theta_L \sin 2\theta_D \end{aligned} \right\}$$

- Measure the 12 $B^0 \rightarrow D^* \tau \nu$ angular coefficients and $R(D^*)$
- Multidimensional fit strategy with three decay angles and other discriminating variables
- Full Run 1 + 2 LHCb data set and simulation samples: 9fb^{-1}

CKM metrology

Probing the CKM picture using semileptonic decays

Semileptonic decays of heavy hadrons involve one hadronic current

→ clean laboratory to perform CKM metrology

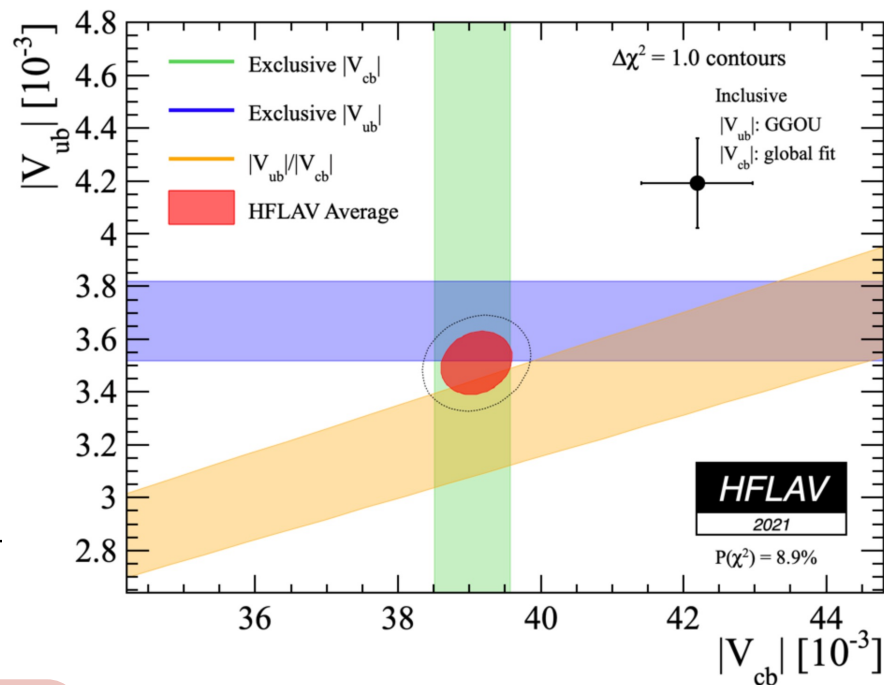
Long-standing **tension** ($\sim 3\sigma$) between $|V_{\{c,u\}b}|$ **inclusive** and **exclusive** determinations.

@LHCb:

$|V_{ub}|/|V_{cb}|$ via Λ_b^0 decays

B_s^0 system:

- Theoretically advantageous
 $m_s \gg m_u, m_d$
- Experimentally appealing:
 $\sim 10^{10} B_s^0$ per fb^{-1} produced
Reduced part-reco pollution than $B^{0/+}$



TODAY: Extraction of $|V_{cb}|$ via $B_s^0 \rightarrow D_s^- \mu^+ \nu_\mu$

The differential decay rate of $B_s^0 \rightarrow D_s^{*-} \mu^+ \nu_\mu$ [JHEP12\(2020\)144](#)

Extraction of $|V_{ub}|/|V_{cb}|$ and observation of $B_s^0 \rightarrow K^- \mu^+ \nu_\mu$ [PRL.126.081804](#)

Extraction of $|V_{cb}|$ via $B_S^0 \rightarrow D_S^{(*)-} \mu^+ \nu_\mu$

Signal: $B_S^0 \rightarrow D_S^{(*)-} \mu^+ \nu_\mu$

Normalization: $B^0 \rightarrow D^{(*)-} \mu^+ \nu_\mu$

Strategy:

Both channels reconstructed in the $[K^- K^+]_\phi \pi^+$
 Fit data to simultaneously determine $|V_{cb}|$ and FF

Challenge:

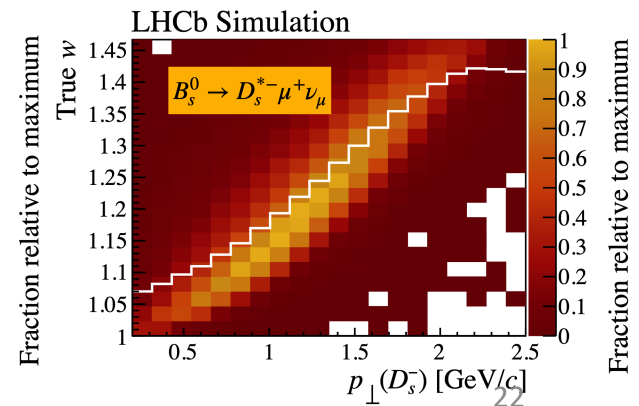
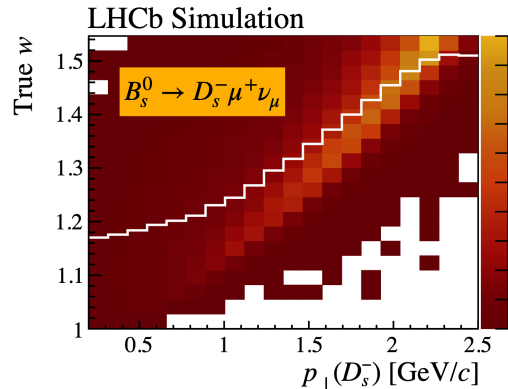
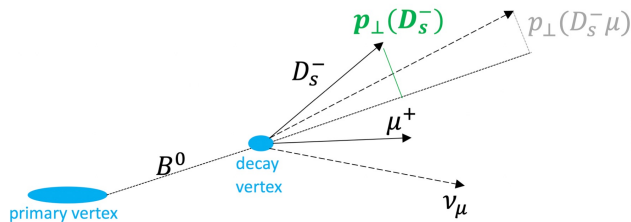
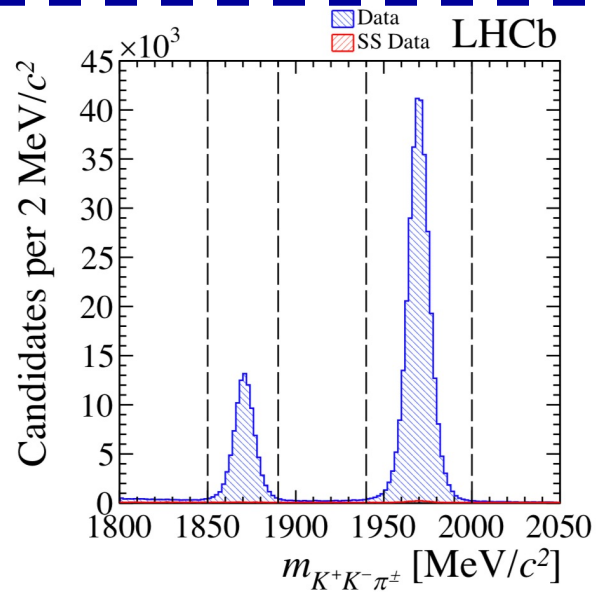
unreconstructed neutrino in the final state

Solution:

2D fit to the plane in:

❖ Corrected mass: $m_{\text{corr}} \equiv \sqrt{m^2(D_s^- \mu^+) + p_\perp^2(D_s^- \mu^+) + p_\perp(D_s^- \mu^+)}$

❖ $p_\perp(D_s^-)$ correlated with q^2 which preserve information on the FF



Extraction of $|V_{cb}|$ via $B_S^0 \rightarrow D_S^{(*)-} \mu^+ \nu_\mu$

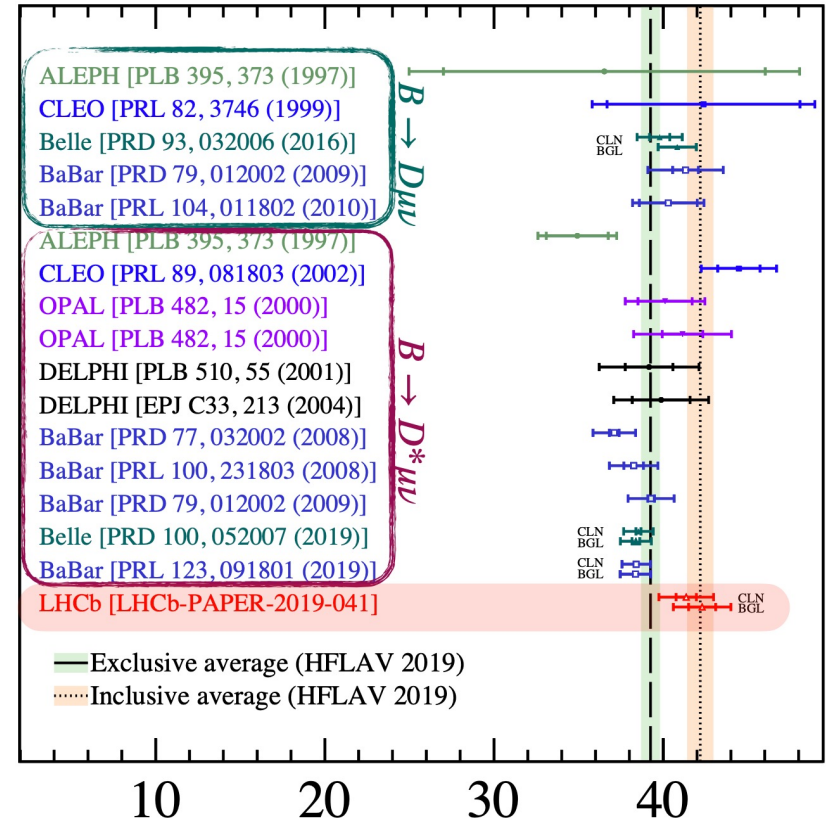
First exclusive $|V_{cb}|$ extraction at a hadron collider and first determination using B_S^0 decays

$$|V_{cb}|_{\text{CLN}} = (41.6 \pm 0.6(\text{stat}) \pm 0.9(\text{syst}) \pm 1.2(\text{ext})) \times 10^{-3}$$

$$|V_{cb}|_{\text{BGL}} = (42.3 \pm 0.8(\text{stat}) \pm 0.9(\text{syst}) \pm 1.2(\text{ext})) \times 10^{-3}$$

Both extractions are compatible with each other

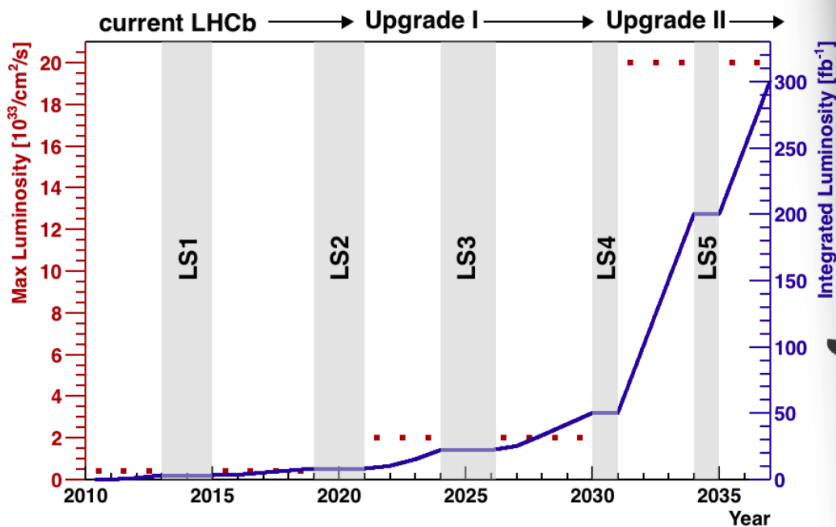
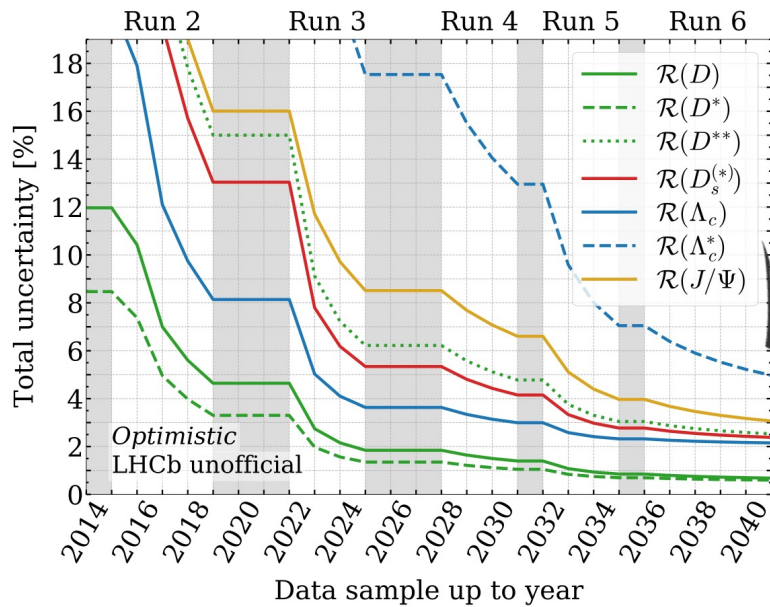
Agreement with exclusive via $B^{0/+}$ and inclusive $|V_{cb}|$ determination



Measurement limited by external inputs \rightarrow profit from LHCb Run3 estimate of f_s/f_d and any update from Belle II on the $K^- K^+ \pi^+$ resonance $|V_{cb}| [10^{-3}]$

Next steps:

2101.08326



- ❖ New results are expected from:
 - ❖ Data collected by LHCb during Run2 (statisticsx4);
 - ❖ Total uncertainties reduction
 - ❖ Ongoing analyses:
 - $R(D^0): B^+ \rightarrow D^0 \tau \nu_\tau$
 - $R(D^+): \bar{B}^0 \rightarrow D^+ \tau \nu_\tau$
 - $R(D_s^{(*)}): B_s \rightarrow D_s^{(*)} \tau \nu_\tau$
 - $R(\Lambda_c^{(*)}): \Lambda_b \rightarrow \Lambda_c^{(*)} \tau \nu_\tau$
 - $R(J/\psi): B_c^+ \rightarrow J/\psi \tau \nu_\tau$
 - ❖ Angular analysis and CKM metrology
 - ❖ Form factors measurements:
 - $\Lambda_b \rightarrow \Lambda_c \ell \nu_\ell$
 - $\Lambda_b \rightarrow \Lambda_c^* \ell \nu_\ell$
 - $B_s \rightarrow D_s^{(*)} \ell \nu_\ell$
- ❖ Increased Luminosity

To Conclude:

- Presented measurements using the $b \rightarrow c\ell\nu_\ell$ b-hadron decays
- Discrepancies have been reported with respect to the SM predictions
- Many other analyses are ongoing at LHCb and there is an updating with Run2 data the previous measurements
- The LHCb upgrade in the near future will allow to increase the luminosity

big chance for more precise measurements!

Thank you
for the attention!

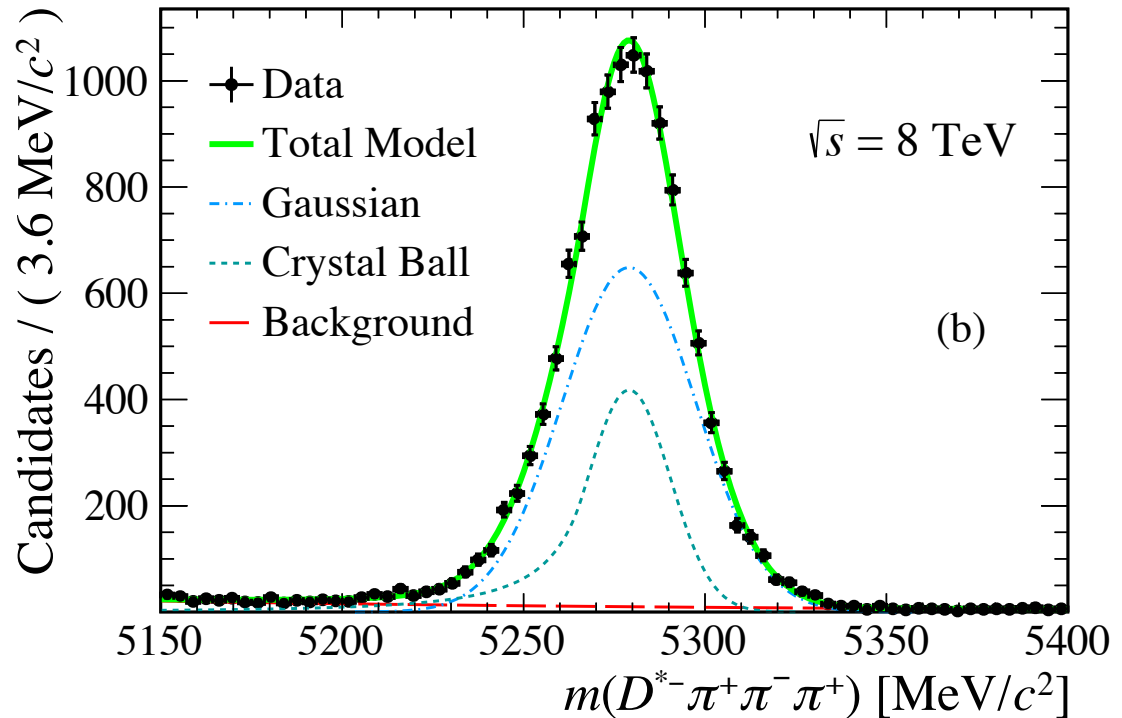
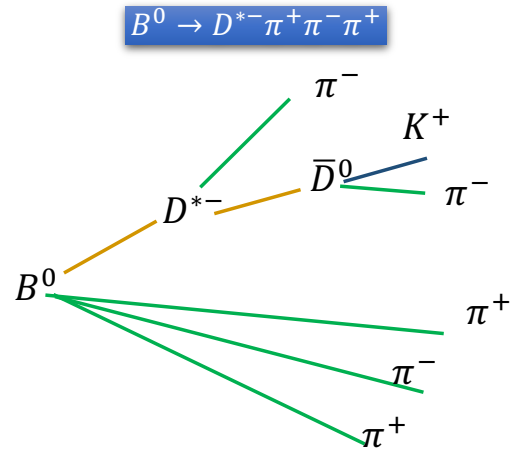
Backup

$R(D^*)$ with $\tau \rightarrow \pi\pi\pi\nu$

[PRD 97 072013 (2018)]

[PRL 120 171802 (2018)]

[PRD95 (2017) 115008, JHEP1711 (2017) 061, JHEP1712 (2017) 060]



- Normalization yield from a fit to $M(B^0 \rightarrow D^{*-} \pi^+ \pi^- \pi^+)$

$R(D^*)$ with $\tau \rightarrow \pi\pi\pi\nu$

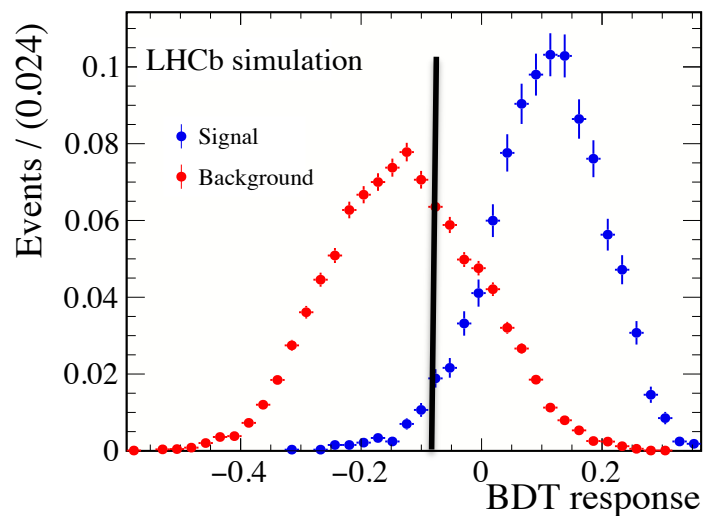
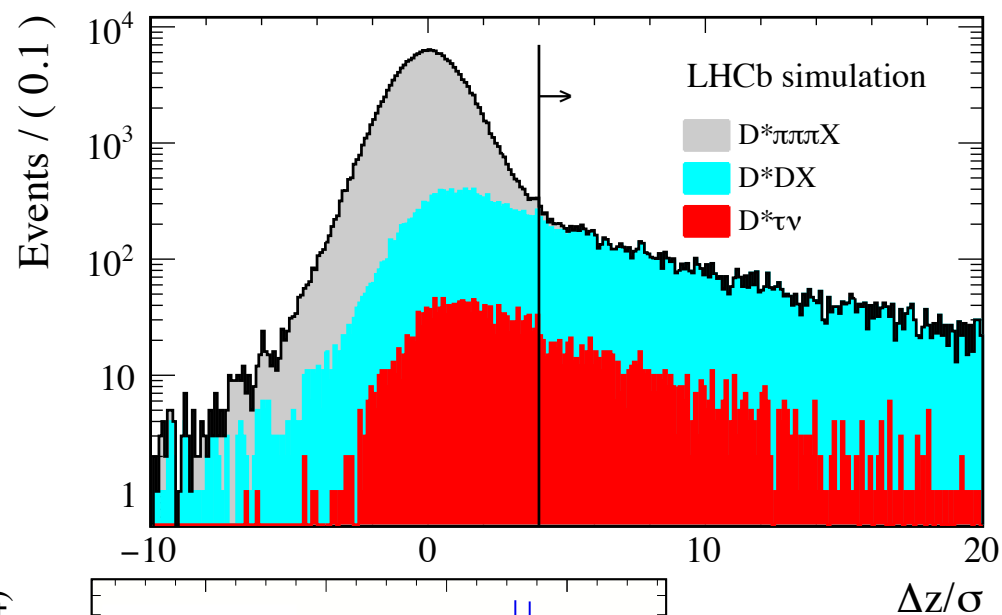
[PRD 97 072013 (2018)]

[PRL 120 171802 (2018)]

[PRD95 (2017) 115008, JHEP1711 (2017) 061, JHEP1712 (2017) 060]

Main background: $(B^0 \rightarrow D^{*-} \pi^+ \pi^- \pi^+ X)$
(~ 100 signal) suppressed with τ and B^0 vertices displacement

Other backgrounds $B^0 \rightarrow D^{*-} D_s^+ X$ (~ 10 signal) suppressed with BDT exploiting:
 $\pi^+ \pi^- \pi^+$ isolation,
Kinematics variables,
Intermediate resonances.



$R(\Lambda_c)$ with $\tau \rightarrow \pi\pi\pi\nu$

$$R(\Lambda_c) \equiv \frac{\mathcal{B}(\Lambda_b^0 \rightarrow \Lambda_c^+ \tau^- \bar{\nu}_\tau)}{\mathcal{B}(\Lambda_b^0 \rightarrow \Lambda_c^+ \mu^- \bar{\nu}_\mu)}$$

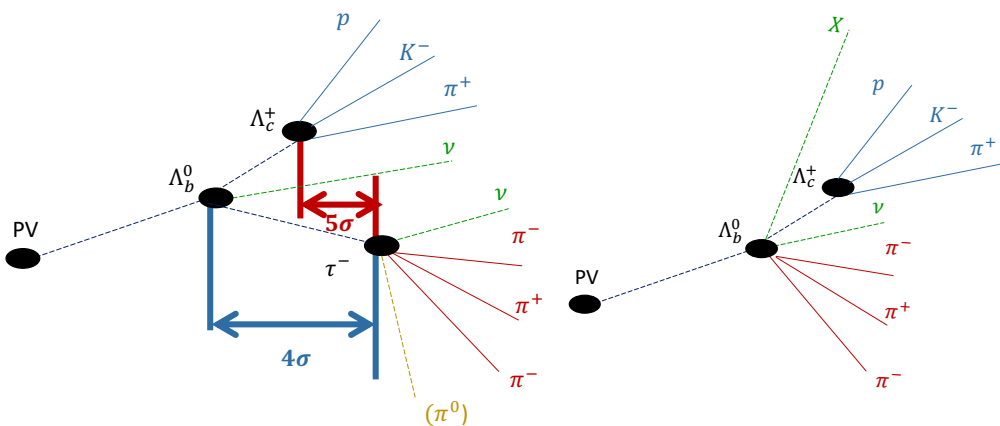
measured

$$R(\Lambda_c) \equiv \underbrace{\frac{\mathcal{B}(\Lambda_b^0 \rightarrow \Lambda_c^+ \tau^- \bar{\nu}_\tau)}{\mathcal{B}(\Lambda_b^0 \rightarrow \Lambda_c^+ \pi^- \pi^+ \pi^-)}}_{K(\Lambda_c)} \times \frac{\mathcal{B}(\Lambda_b^0 \rightarrow \Lambda_c^+ \pi^- \pi^+ \pi^-)}{\mathcal{B}(\Lambda_b^0 \rightarrow \Lambda_c^+ \mu^- \bar{\nu}_\mu)}$$

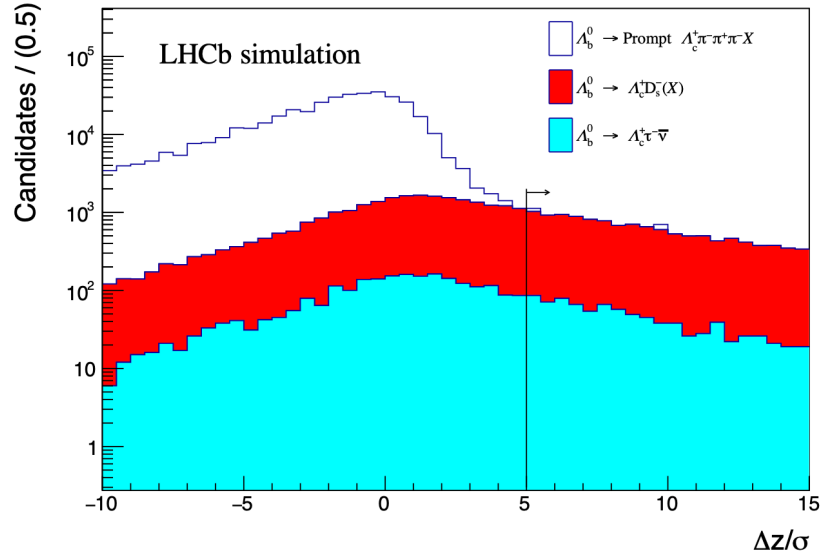
external

$\Lambda_b^0 \rightarrow \Lambda_c^+ \tau^- \bar{\nu}_\tau$

$\Lambda_b^0 \rightarrow \Lambda_c^+ \pi^- \pi^+ \pi^-$



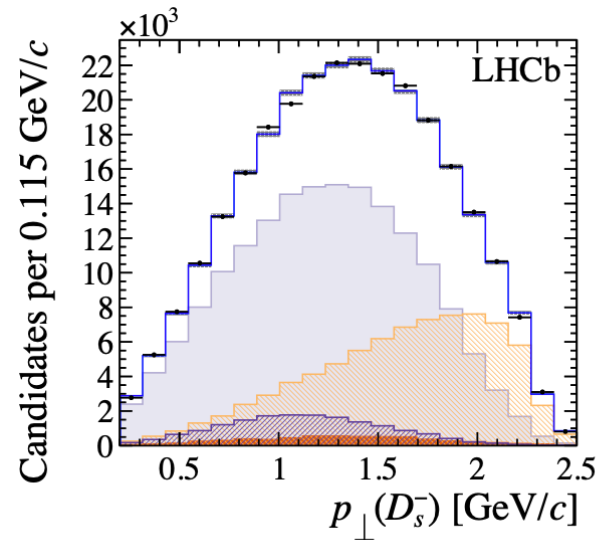
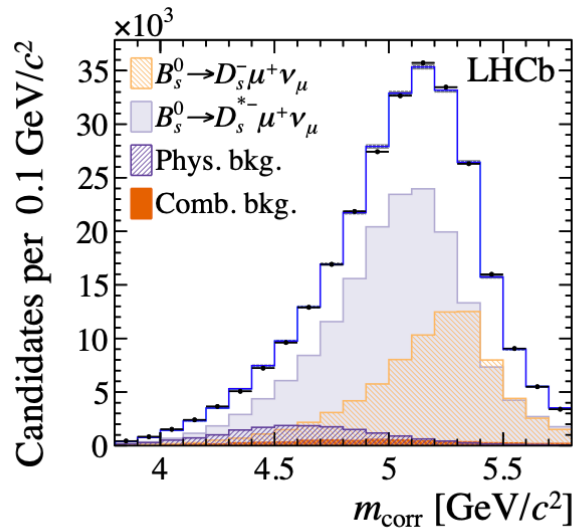
Cutting at distance between vertices:
 $\Delta z = z(3\pi) - z(\Lambda_c) > 5 \sigma_{vtx}$,
 reduces prompt background to be negligible



Isolation requirements:
 - no extra tracks around 3π

Extraction of $|V_{cb}|$ via $B_s^0 \rightarrow D_s^{(*)-} \mu^+ \nu_\mu$

Signal fit using the CLN parameterisation:



$$\chi^2/\text{ndf} = 279/285$$

$$p\text{-value} = 58\%$$

Bkg-subtracted distributions:

