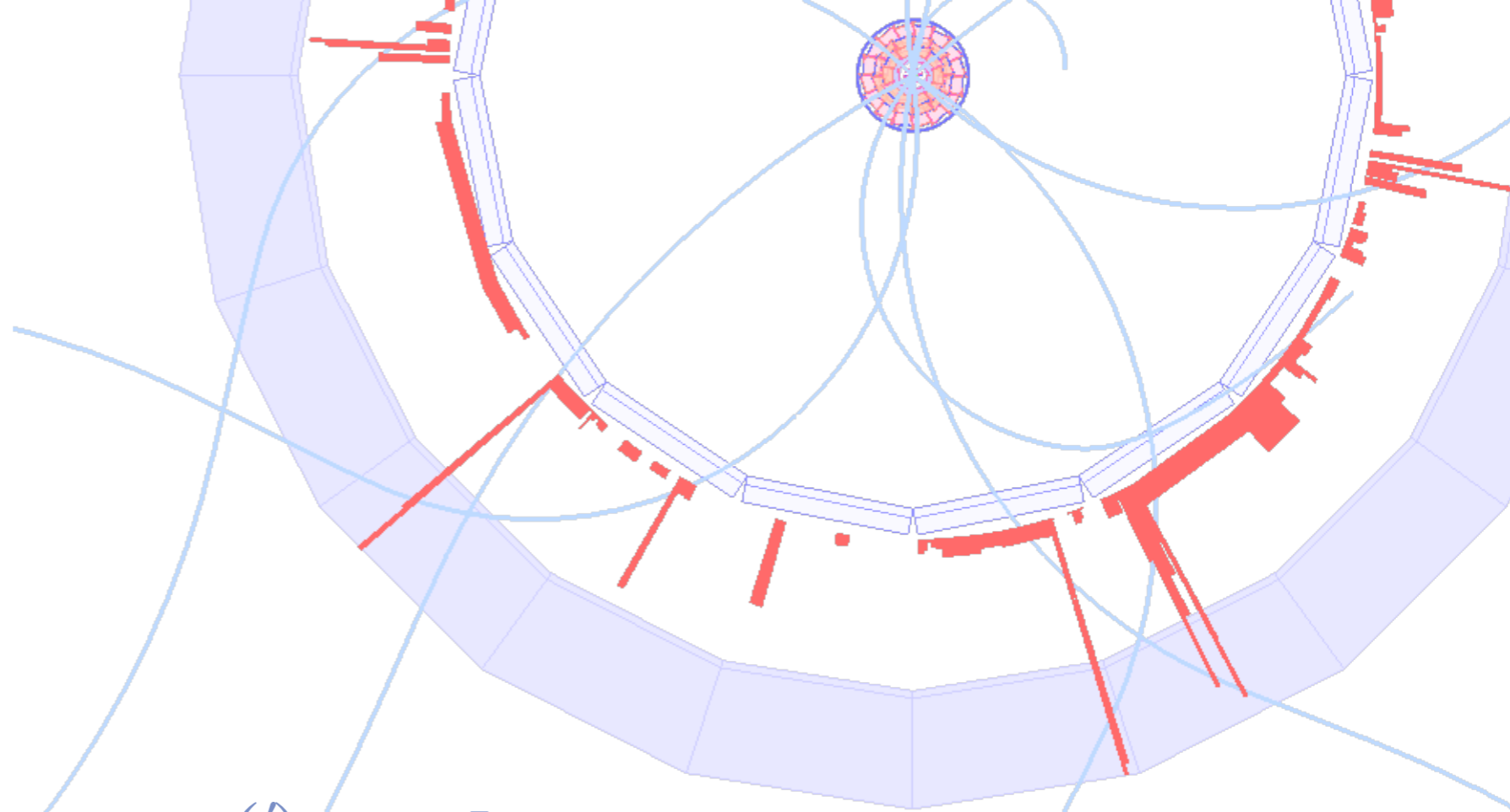




université
PARIS-SACLAY

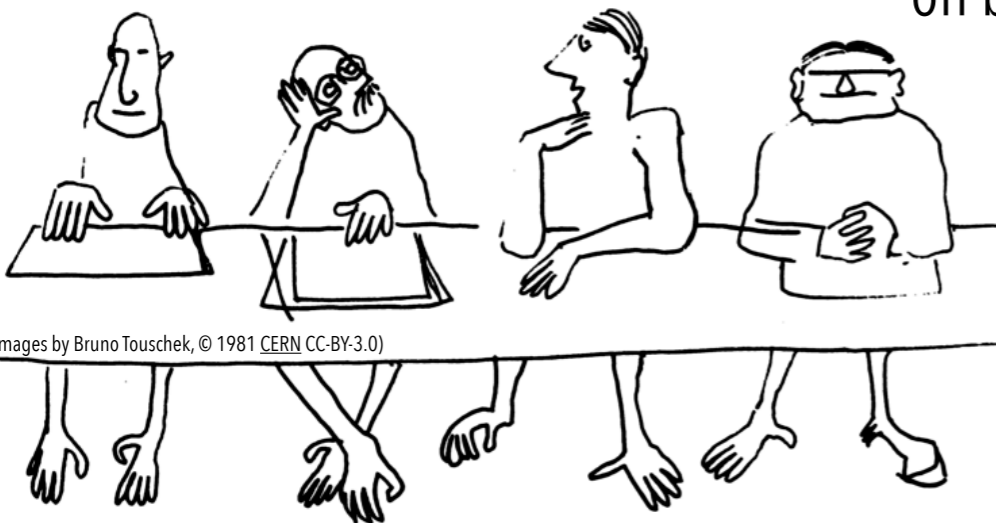


$b \rightarrow sl\ell^{(\prime)}$ and $b \rightarrow s\nu\bar{\nu}$ transitions at Belle II

WORKSHOP ON STATUS AND PERSPECTIVES OF PHYSICS AT HIGH INTENSITY, INFN-LNF

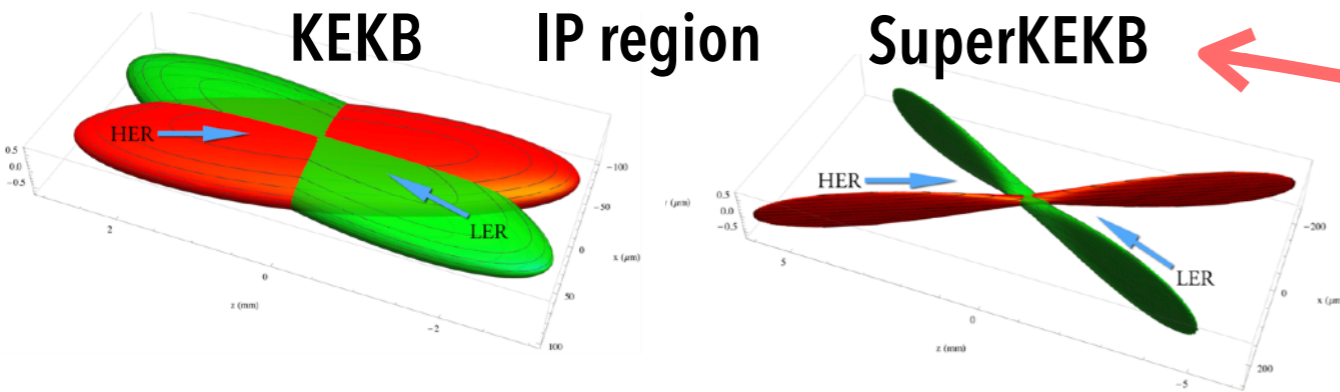
Gaetano de Marino

on behalf of the Belle II collaboration

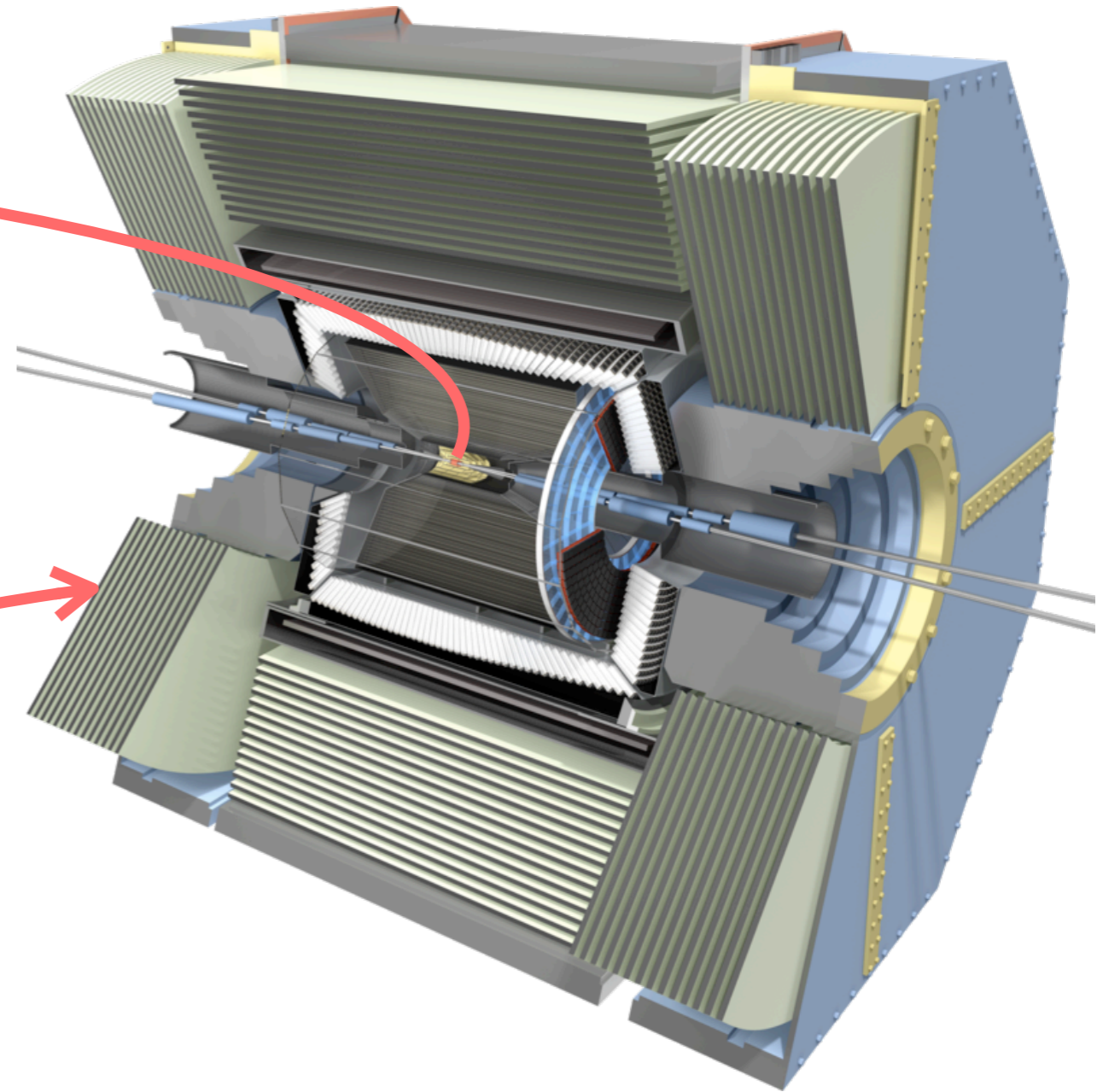
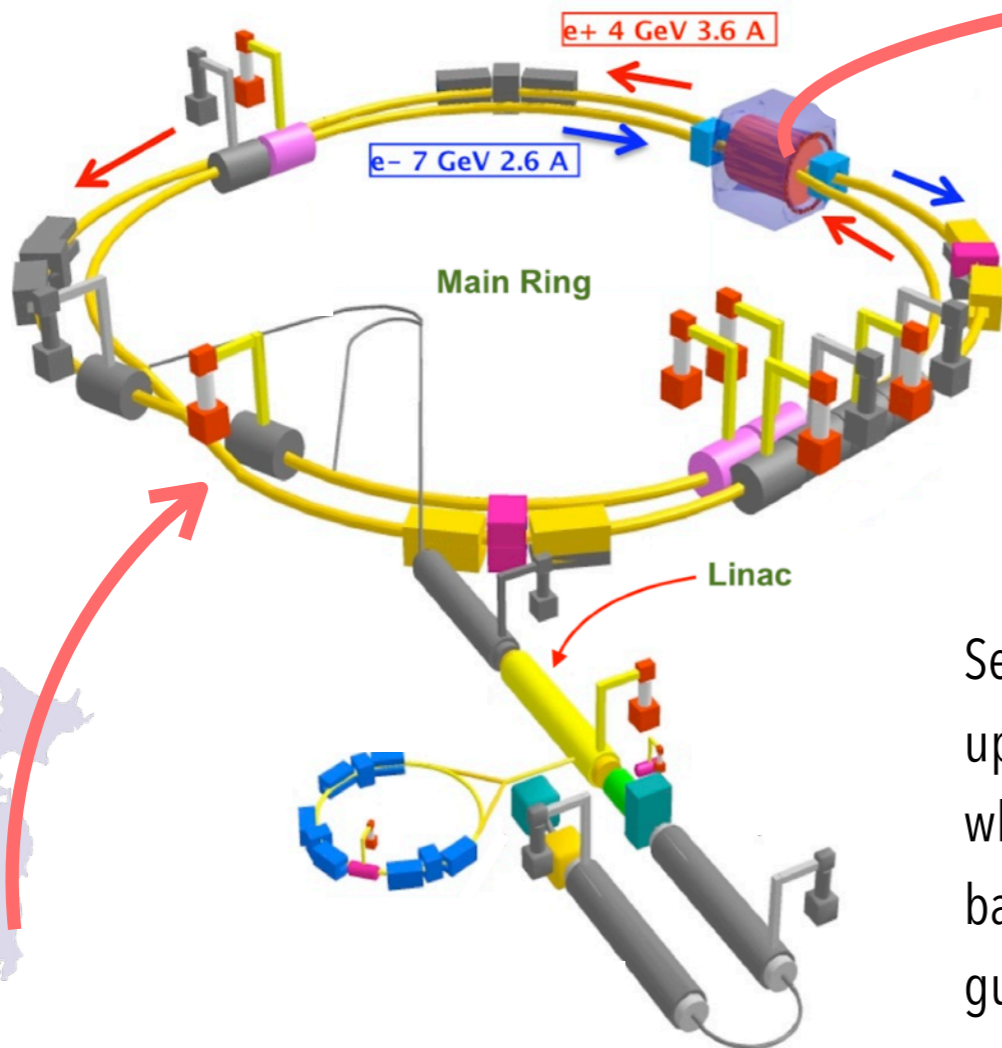


(Images by Bruno Touschek, © 1981 CERN CC-BY-3.0)

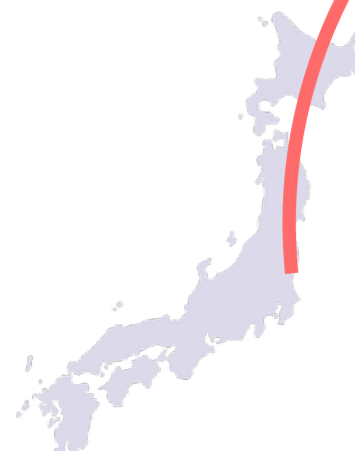
BELLE II EXPERIMENT



Asymmetric-energy e^+e^- collider:

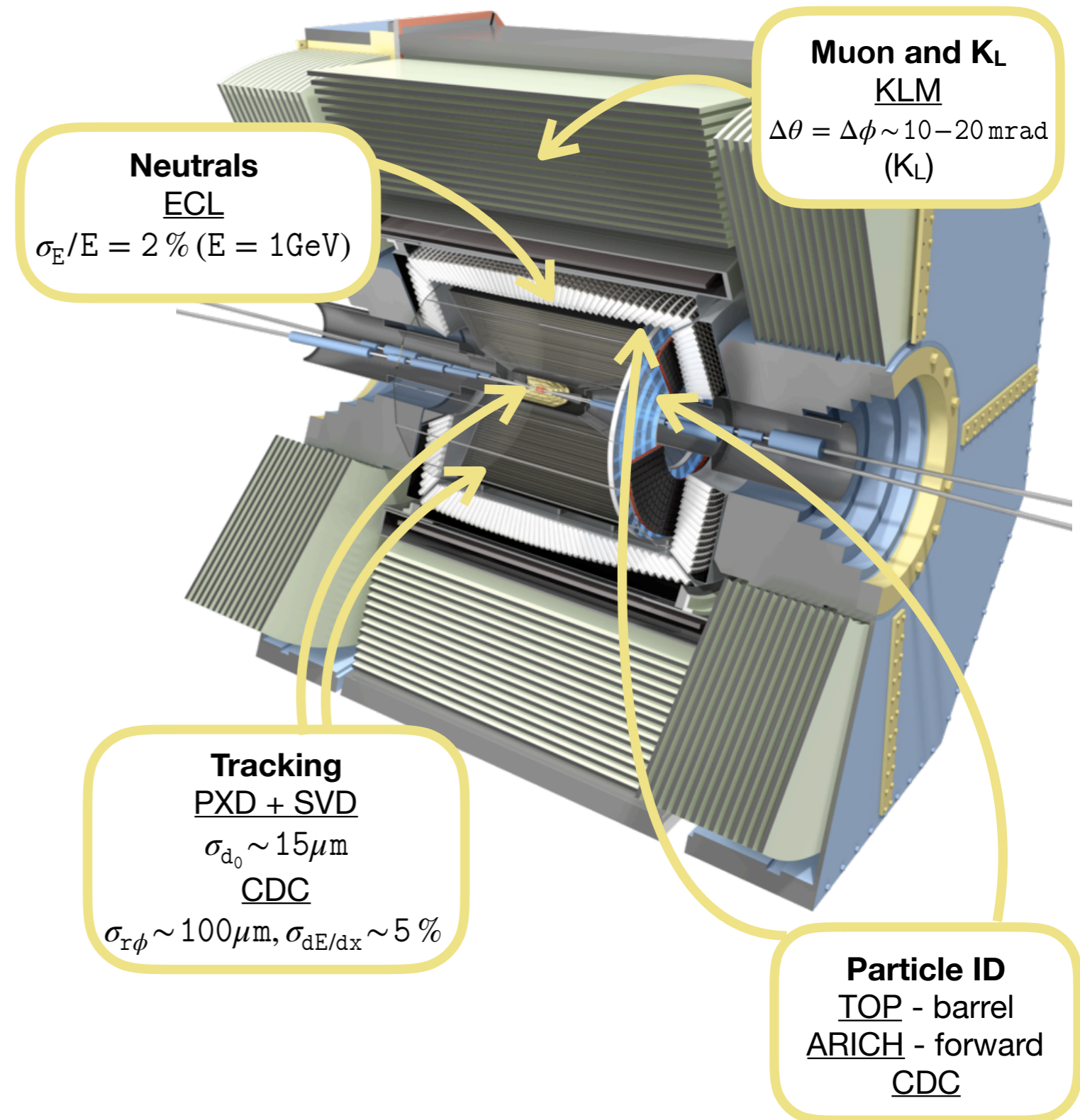


Second generation B-factory based on the **nanobeam scheme**. The upgrade required a substantial redesign of the Belle II detector, whose performance is challenged by radiation damage and higher background (**design luminosity is x40 higher**). The aim is to guarantee **equal or better performance than Belle @ KEKB**.



BELLE II EXPERIMENT

- Good momentum and vertex resolution
- Well-known initial state and large acceptance
- Excellent calorimetry
- Sophisticated particle ID



BELLE II EXPERIMENT

- Good momentum and vertex resolution
- Well-known initial state and large acceptance

- Excellent calorimetry

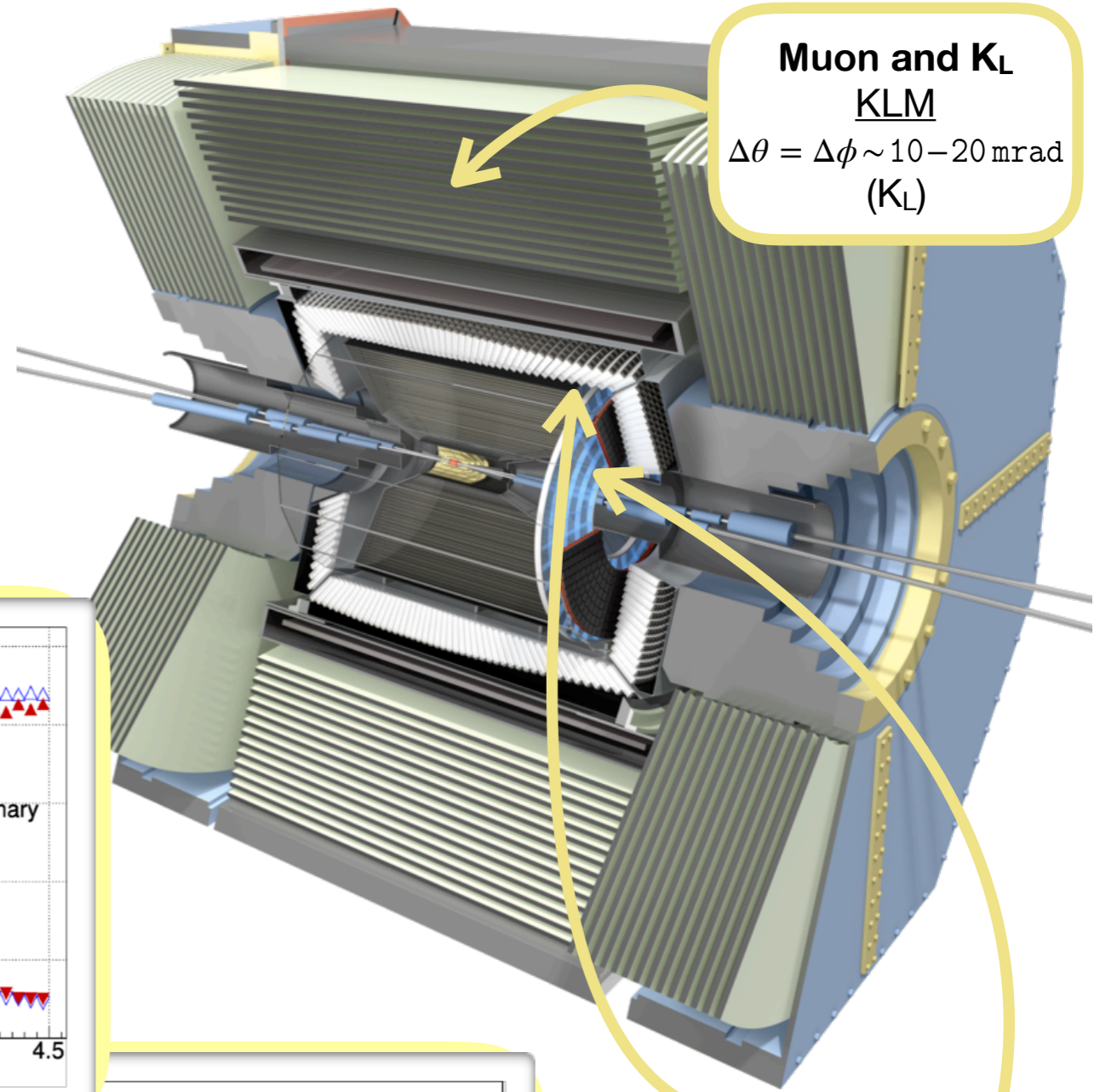
- Sophisticated particle ID

K/ π separation ($\epsilon \sim 90\%$ @ 5-10% fake)

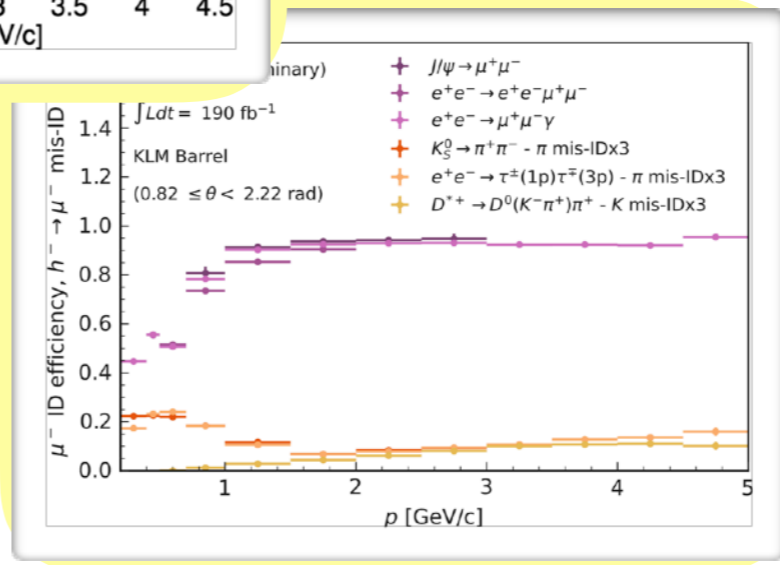
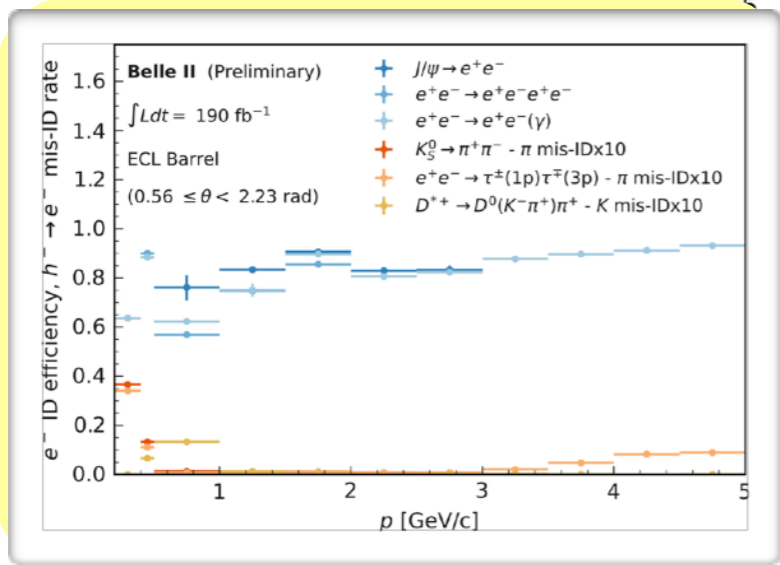
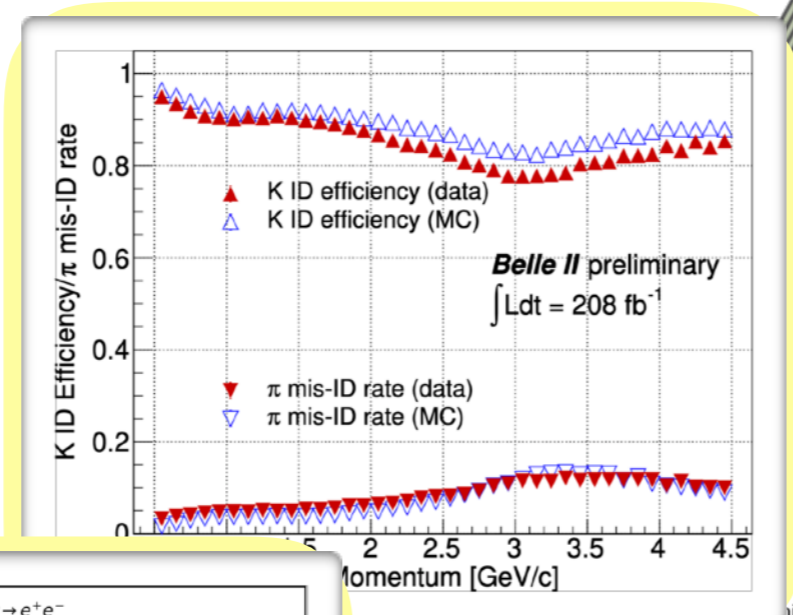
Lepton identification

μ/π ($\epsilon \sim 90\%$ @ 7% fake)

e/π ($\epsilon \sim 86\%$ @ <1% fake)

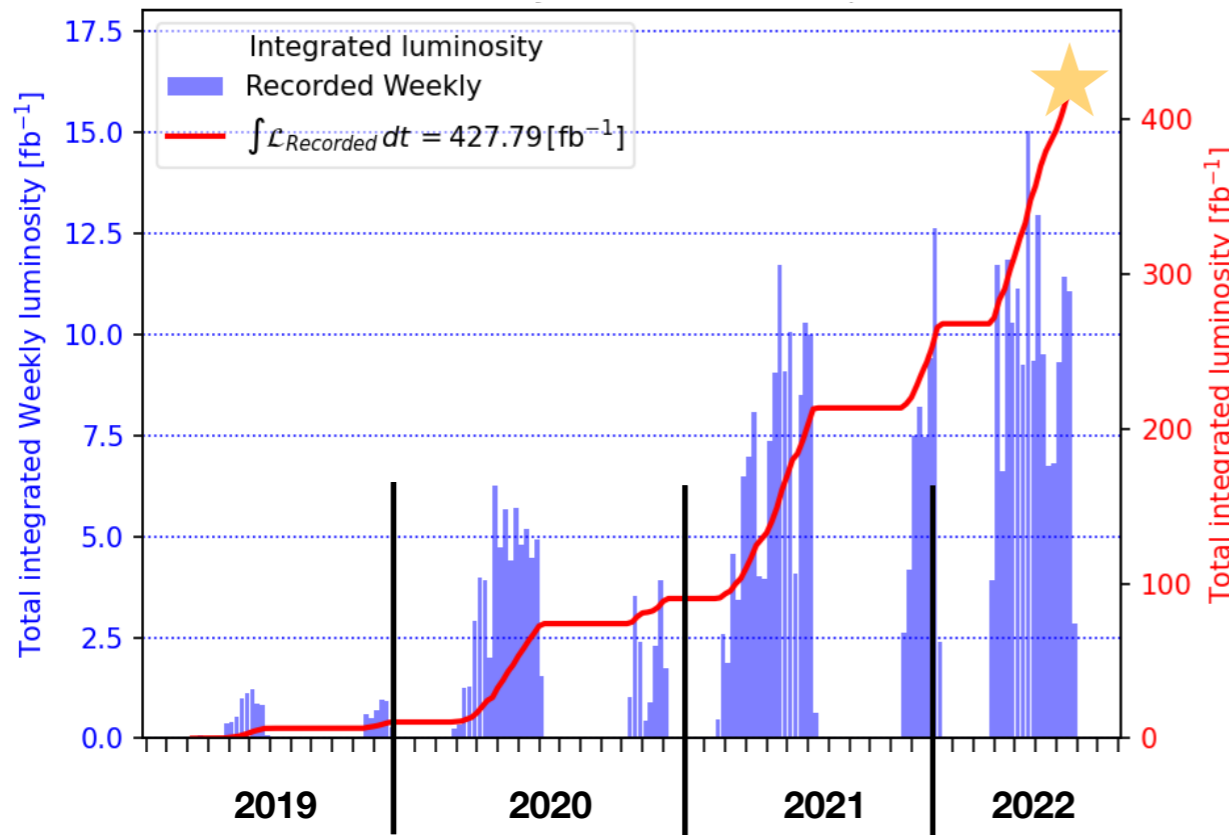


Muon and K_L
KLM
 $\Delta\theta = \Delta\phi \sim 10-20$ mrad
 (K_L)



Particle ID
TOP - barrel
ARICH - forward
CDC

DATA TAKING



World Record Luminosity

$\mathcal{L}_{\text{peak}} = 4.71 \times 10^{34} \text{ cm}^{-2}\text{s}^{-1}$ (June 22, 2022)
 Currents $\sim 1460 \text{ mA}$ (LER) / 1143 mA (HER)

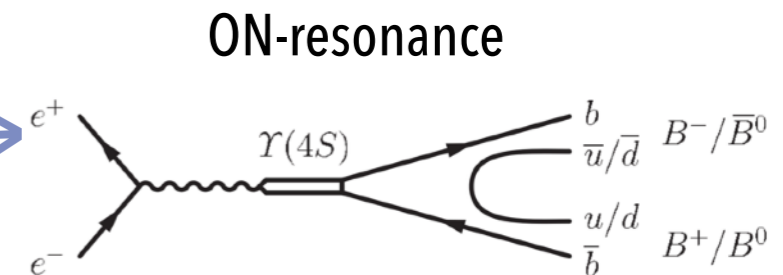
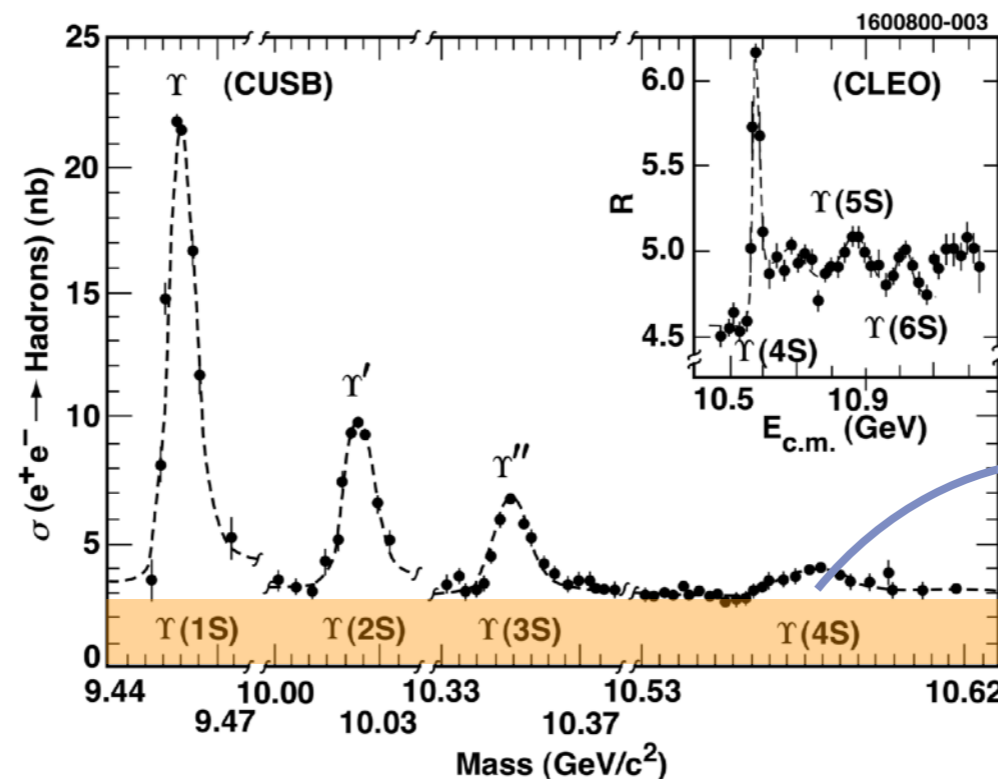
ON-resonance data $e^+e^- \rightarrow \Upsilon(4S) \rightarrow B\bar{B}$

OFF-resonance data $e^+e^- \rightarrow \gamma^* \rightarrow q\bar{q}$
 (60 MeV below the resonance)

Integrated lumi ON-resonance

$365 \text{ fb}^{-1} \sim 0.9 \text{ BABAR}$
 $\sim 400 \times 10^6 B\bar{B}$

'Continuum'
 $q\bar{q}, q \in \{u, d, s, c\}$
 $\tau^+\tau^- \dots$

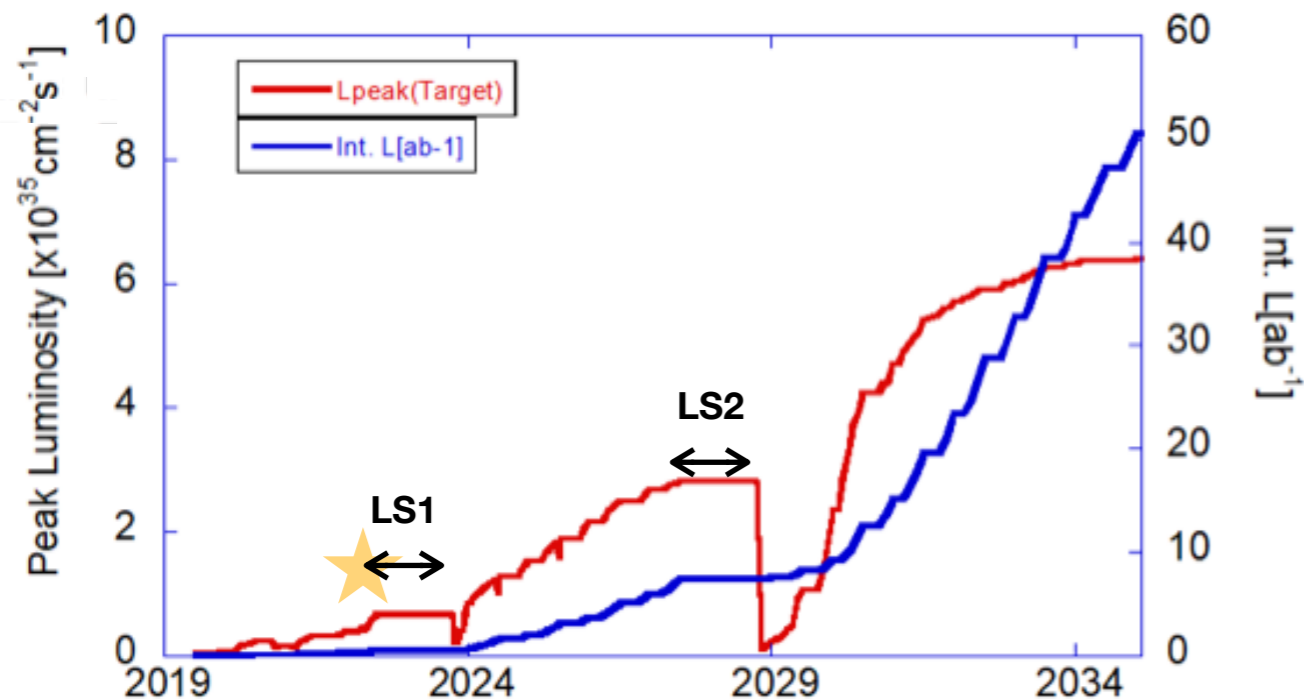
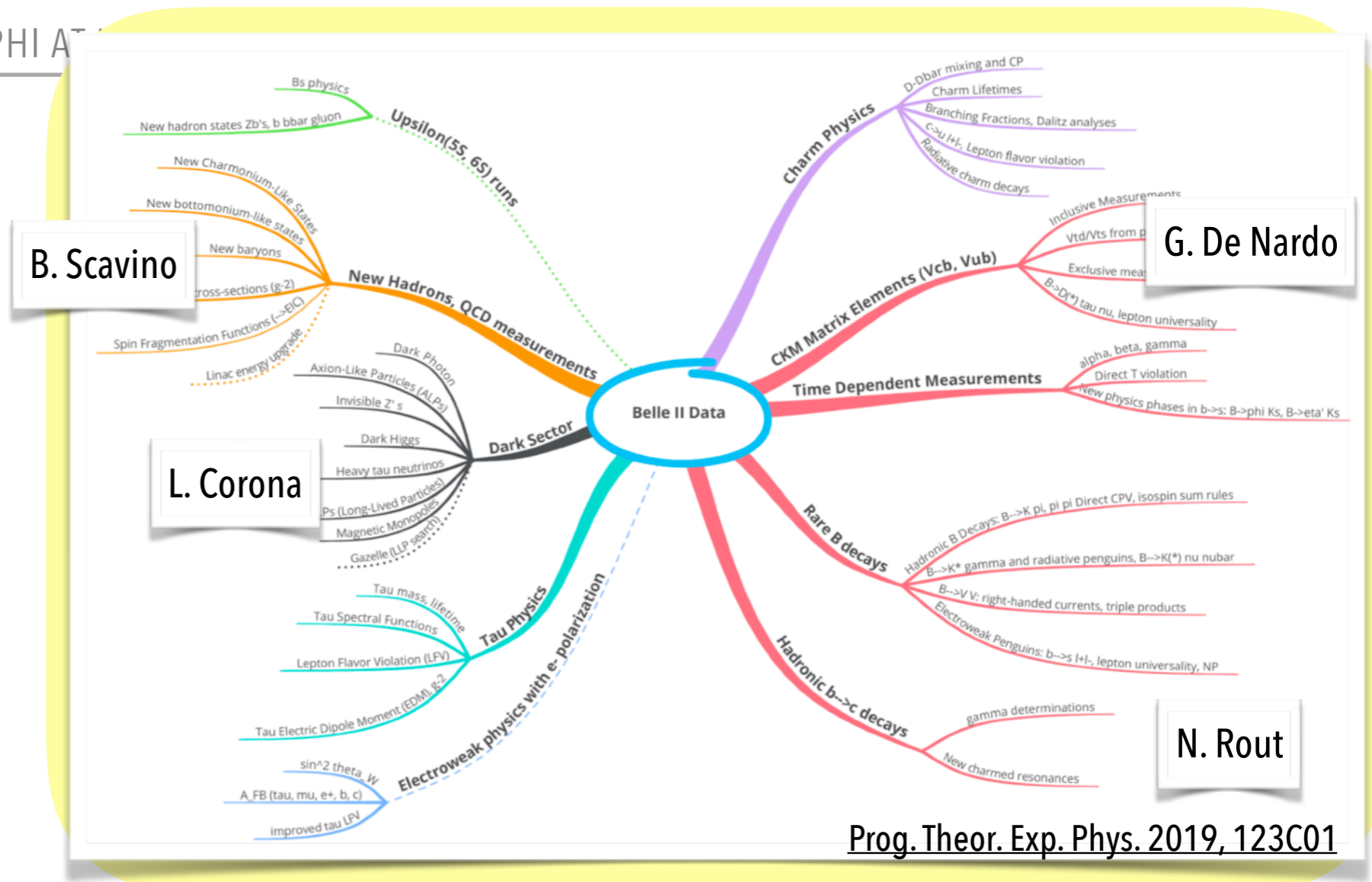


DATA TAKING

Belle II has a broad physics program, covering not only **B-mesons** but also

- **Tau-leptons**
- **Charmed mesons/baryons**
- **Dark sector**
- Etc.

Many topics will be presented during this workshop

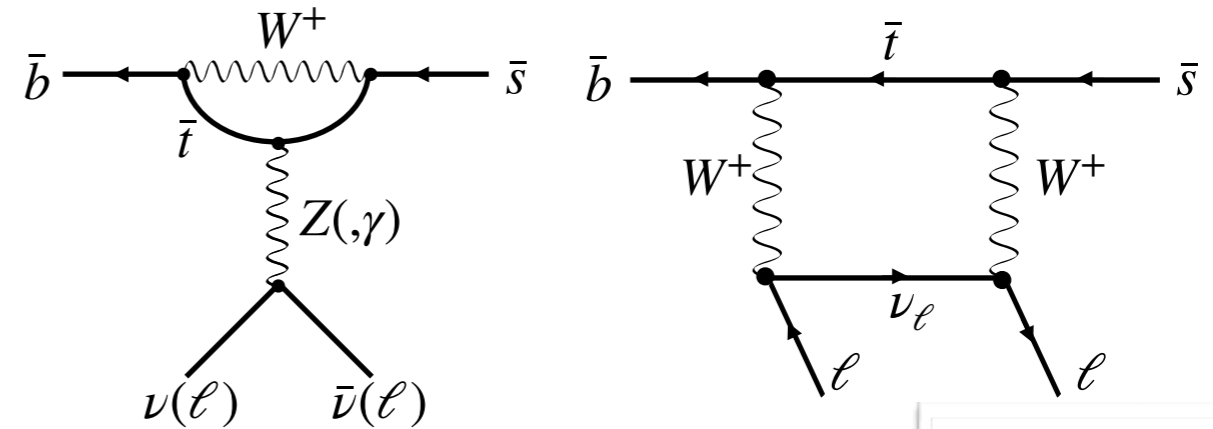


A long journey ...

- 1st Long shutdown (LS1) in 2022-2023 (PXD, beam pipe, TOP)
- 2nd Long shutdown (LS2) in ~2028 (QCS, RF)
- The target integrated luminosity is **50 ab⁻¹ by ~2034**

MOTIVATION FOR THE $b \rightarrow s$ SEARCHES

- FCNC transitions: Loop and box diagrams
- The b.r.'s are $\mathcal{O}(10^{-5 \div 7})$ level
- E.g. $\mathcal{B}_{SM}(B^+ \rightarrow K^+ \nu \bar{\nu}) = (5.67 \pm 0.38) \times 10^{-6}$ ^[1]
- $\mathcal{B}_{SM}(B \rightarrow K \tau \bar{\tau}) = \mathcal{O}(10^{-7})$ ^[2]
- Powerful probes of the SM consistency



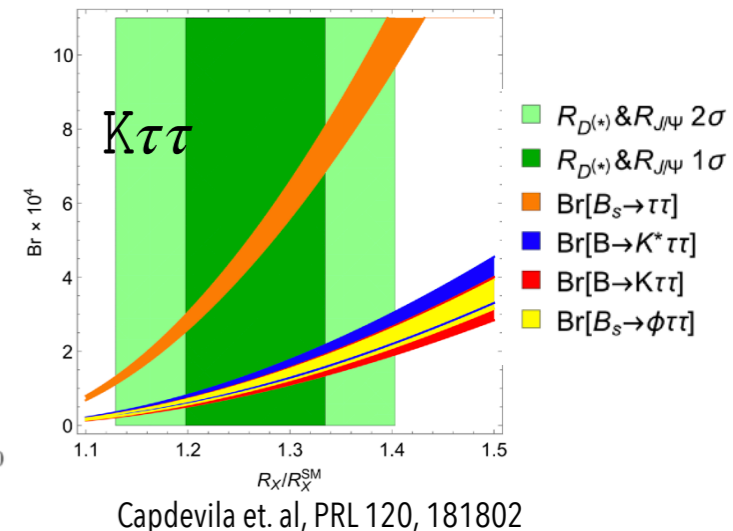
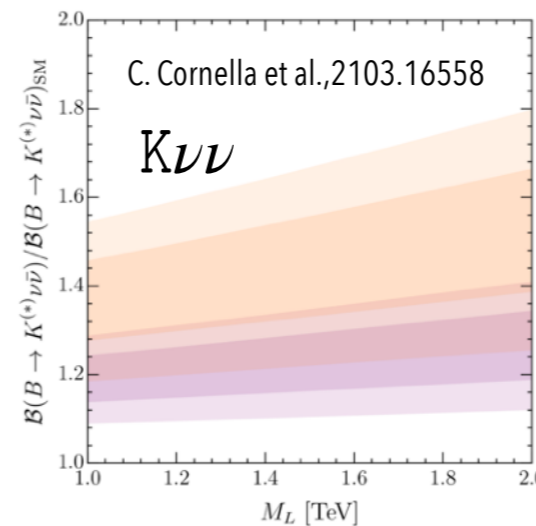
$b \rightarrow s \gamma$
 Covered by H. Svidras
 (next talk)

$b \rightarrow s \ell \ell$ LFU tests $R_H = \frac{\Gamma(B \rightarrow H \mu^+ \mu^-)}{\Gamma(B \rightarrow H e^+ e^-)} \Bigg|_{q^2 \in (q_{\min}^2, q_{\max}^2)} \quad H \in \{K^+, K^{*0/+}, K_S^0\}$

Missing-energy modes

$b \rightarrow s \nu \nu$ $b \rightarrow s \nu \nu$ is theoretically cleaner (no diagrams with γ)

$b \rightarrow s \tau \tau$ **New-physics** particles may enter the loop diagrams or even mediate FCNCs at tree level, enhancing the BRs and/or modifying the angular distributions etc.



^[1] 2207.13371, ^[2] 1712.01919, [3] 2103.11769, [4] 1512.04442, [5] HFLAV

$b \rightarrow s \ell^+ \ell^-$ TOWARDS $R_{K^{(*)}}$

- Measurement of the tree-level $B \rightarrow K J/\psi$ in preparation for the penguin $B \rightarrow K \ell \ell$
- Result with 189 fb^{-1} data
- Signal extracted from a 2-D fit to $(M_{bc}, \Delta E)$

$$R_K(J/\psi) = \frac{\Gamma(B \rightarrow K J/\psi(\mu^+ \mu^-))}{\Gamma(B \rightarrow K J/\psi(e^+ e^-))}$$

$$R_{K^+}(J/\psi) = 1.009 \pm 0.022 \pm 0.008$$

$$R_{K^0}(J/\psi) = 1.042 \pm 0.042 \pm 0.008$$

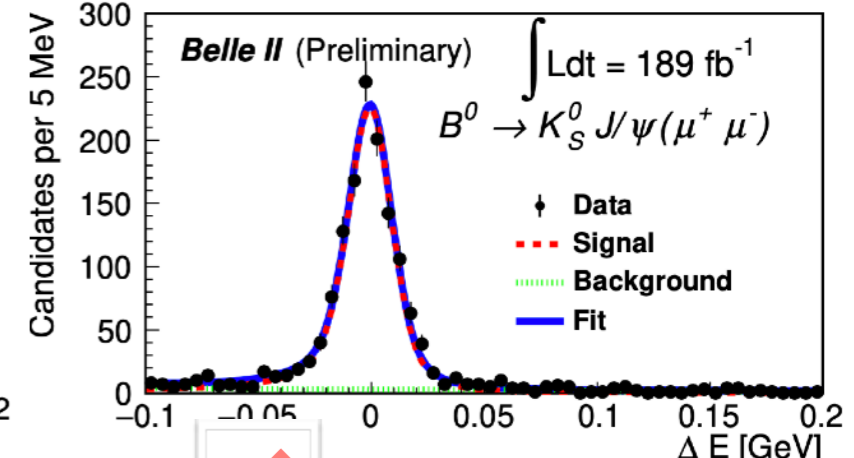
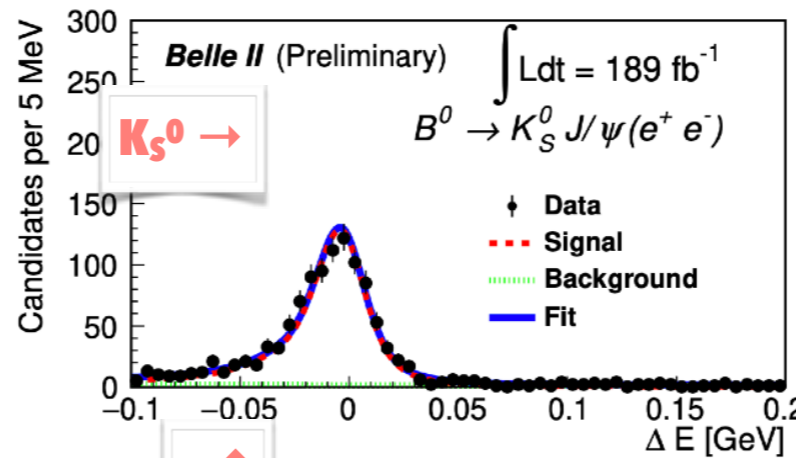
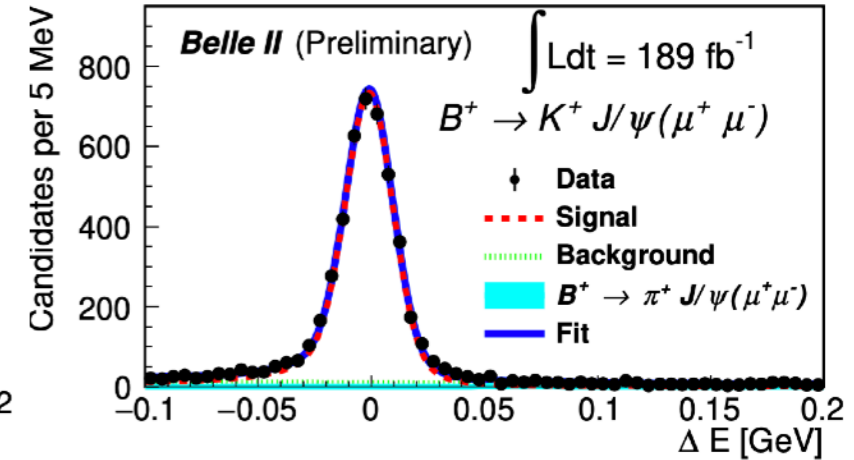
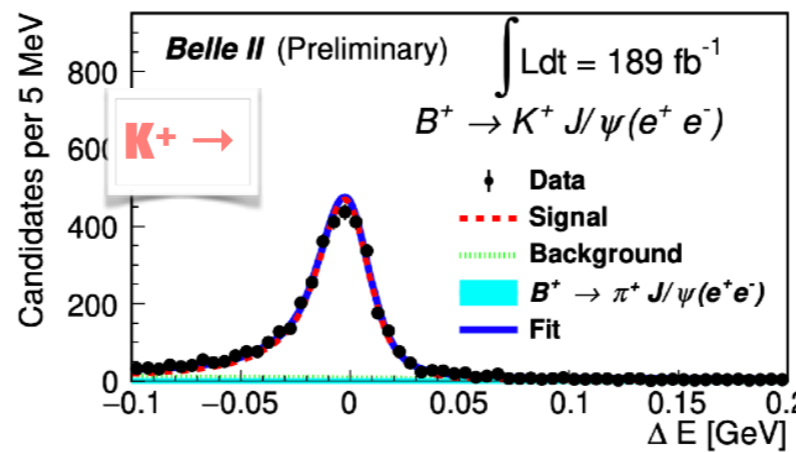
Belle (2021) [JHEP 03 \(2021\) 105](#)

$$R_{K^+}(J/\psi) = 0.994 \pm 0.011 \pm 0.010$$

$$R_{K^0}(J/\psi) = 0.993 \pm 0.015 \pm 0.010$$

Improved syst uncertainty related to lepton-ID

ΔE fit projections



Reminder

$$M_{bc} = \sqrt{E_{\text{beam}}^{*2} - P_B^{*2}}$$

$$\Delta E = E_B^* - E_{\text{beam}}^*$$

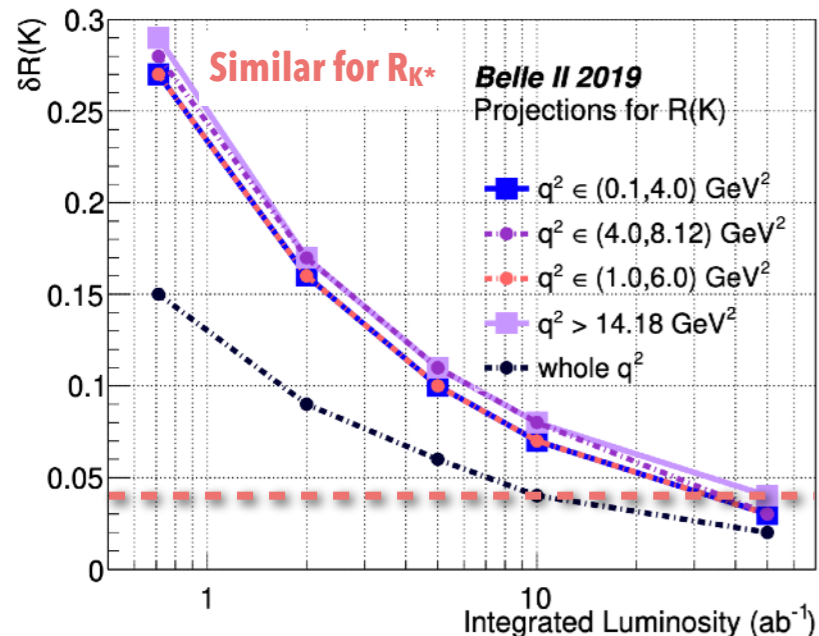
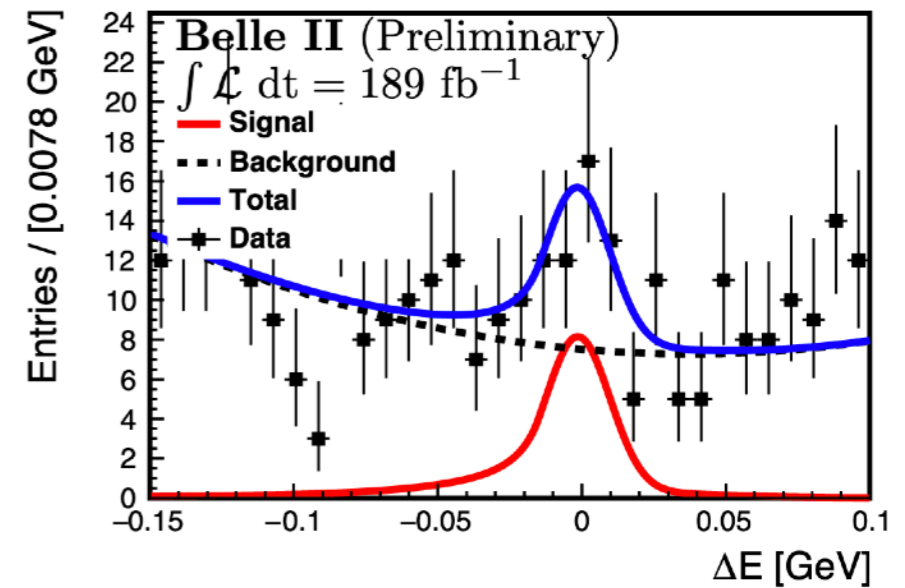
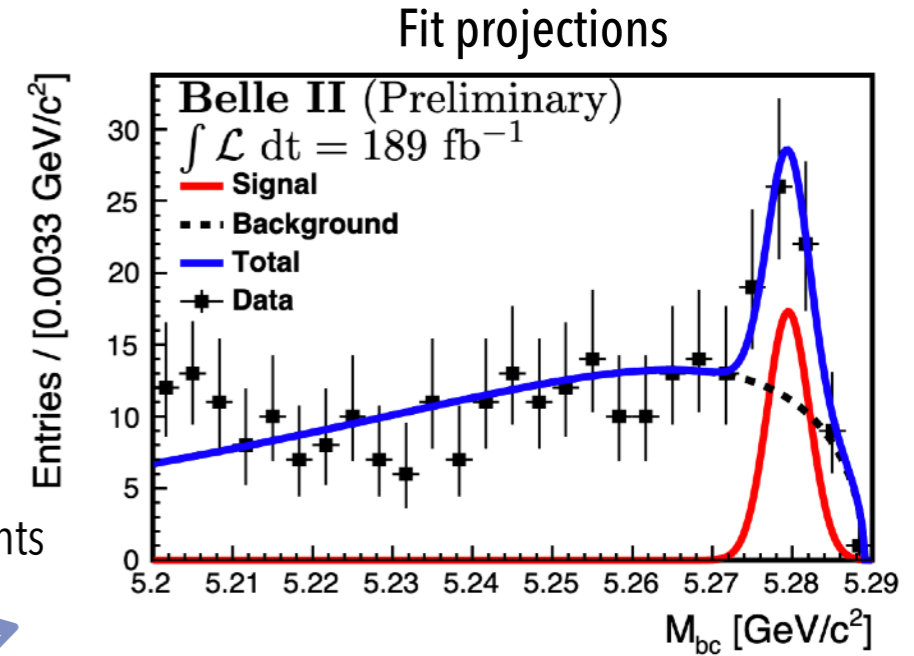
$$E_{\text{beam}}^* = \sqrt{s}/2$$

Analysis for $B \rightarrow K \ell \ell$ BF measurement on pre-LS1 dataset ongoing!

$b \rightarrow s \ell^+ \ell^-$ TOWARDS $R_{K^{(*)}}$

- Measurement of the BF of $B \rightarrow K^* \ell \ell$, $\ell = \{e, \mu\}$ in preparation for the LFU tests
- The dataset corresponds to 189 fb^{-1} and the studied channels are:
 $B^0 \rightarrow K^{*0}(892)(K^+ \pi^-) \ell^+ \ell^-$
 $B^+ \rightarrow K^{*+}(892)(K_S^0 \pi^+, K^+ \pi^0) \ell^+ \ell^-$
- Background sources are suppressed with charm vetoes and BDT for $q\bar{q}$ and $B\bar{B}$ events
- Similar efficiency/precision for e and μ channels

Mode	Yield	Sign.
$B \rightarrow K^* \mu \mu$	22 ± 6	4.8σ
$B \rightarrow K^* e e$	18 ± 6	3.6σ
$B \rightarrow K^* \ell \ell$	38 ± 9	5.9σ



Independent measurement of $R_{K^{(*)}}$ at Belle II with $5\text{-}10 \text{ ab}^{-1}$ will probe the existence of the anomaly
 Belle II can provide $R(X_S)$ measurement with similar precision Prog. Theor. Exp. Phys. 2019, 123C01

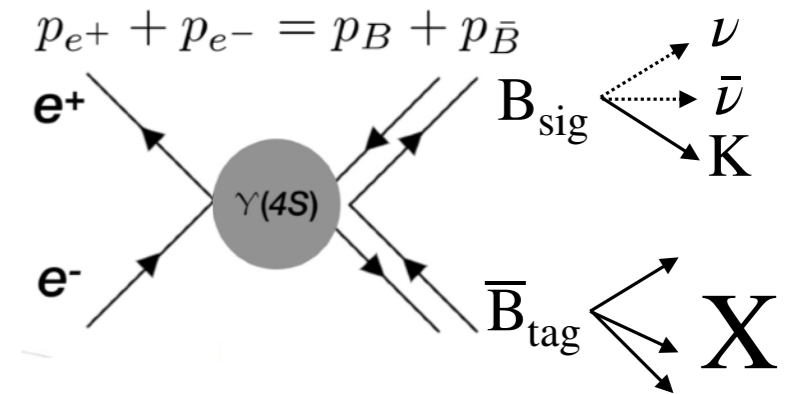
→ Current LHCb precision (9fb^{-1})

Rules of

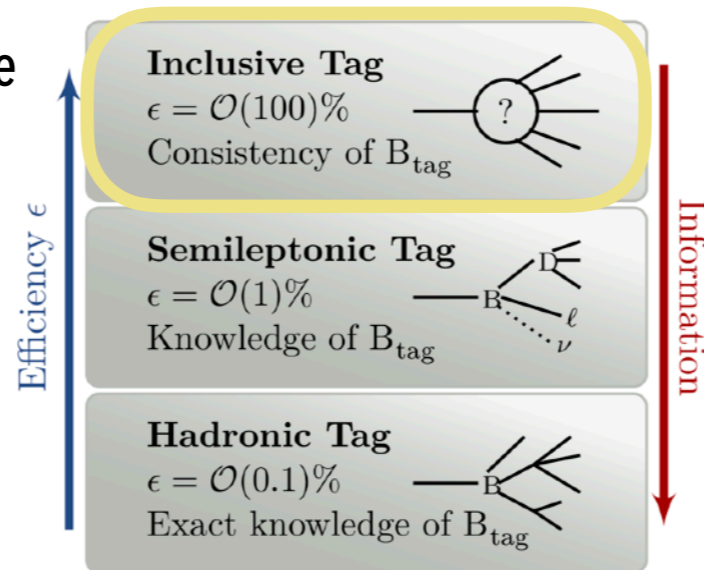
- ab^{-1} @ Belle II $\sim 1 \text{ fb}^{-1}$ @ LHCb
- Belle II: $1 \text{ fb}^{-1} \Leftrightarrow 10^6 B\bar{B}$

B-TAGGING ALGORITHMS *For modes with missing energy*

@ B-factories we exploit the knowledge of the initial 4-momentum
 Beam energy transferred to $B\bar{B}$ pair: $e^+e^- \rightarrow \Upsilon(4S) \rightarrow B_{\text{sig}}\bar{B}_{\text{tag}}$
 The reconstruction of the tag-side allows to infer the properties of the
 signal-side with missing energy – (semi-)leptonic/penguin decays –
 and to have a handle on backgrounds

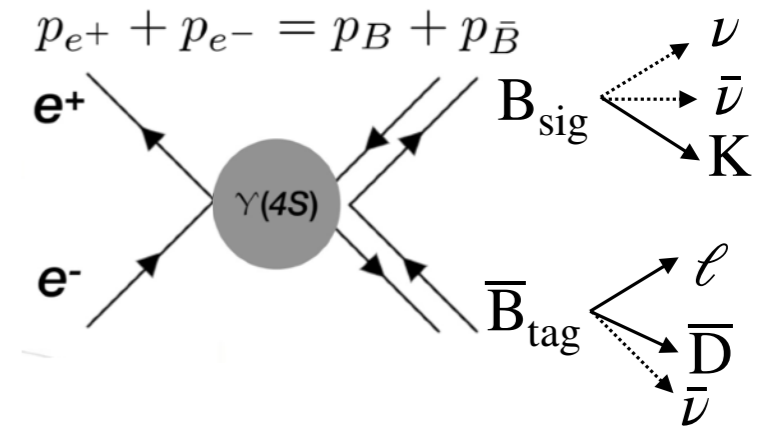


Different B-tagging strategies are possible

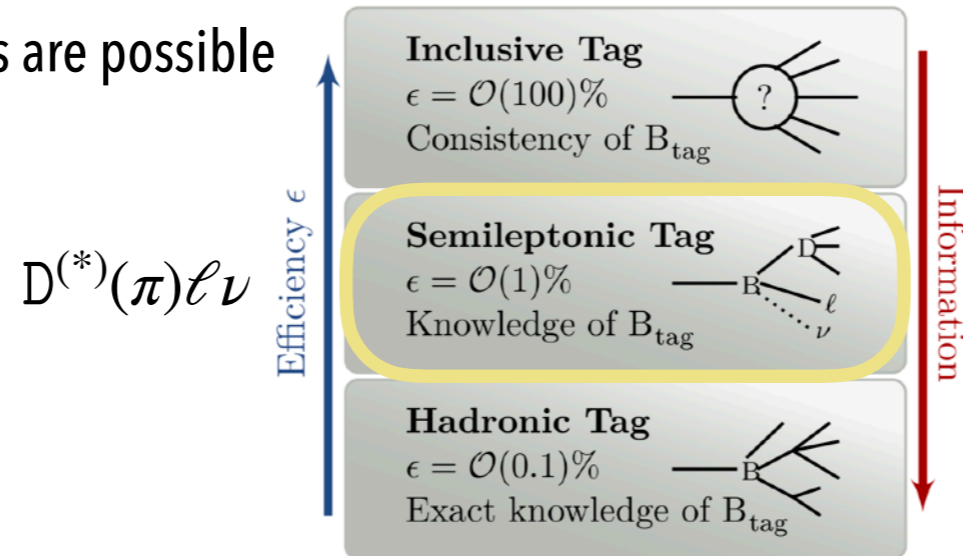


B-TAGGING ALGORITHMS *For modes with missing energy*

@ B-factories we exploit the knowledge of the initial 4-momentum
 Beam energy transferred to $B\bar{B}$ pair: $e^+e^- \rightarrow \Upsilon(4S) \rightarrow B_{\text{sig}}\bar{B}_{\text{tag}}$
 The reconstruction of the tag-side allows to infer the properties of the
 signal-side with missing energy – (semi-)leptonic/penguin decays –
 and to have a handle on backgrounds

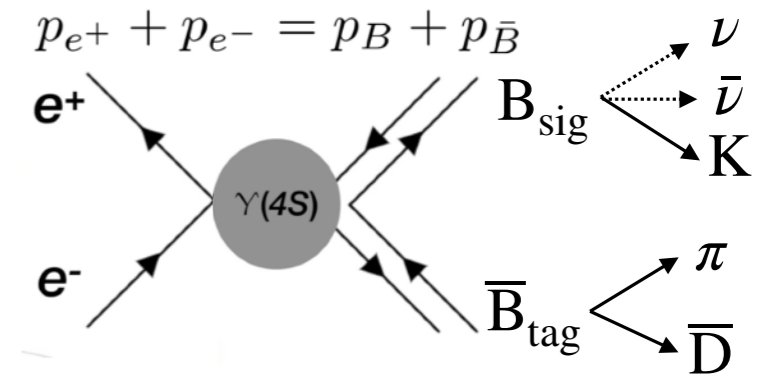


Different B-tagging strategies are possible



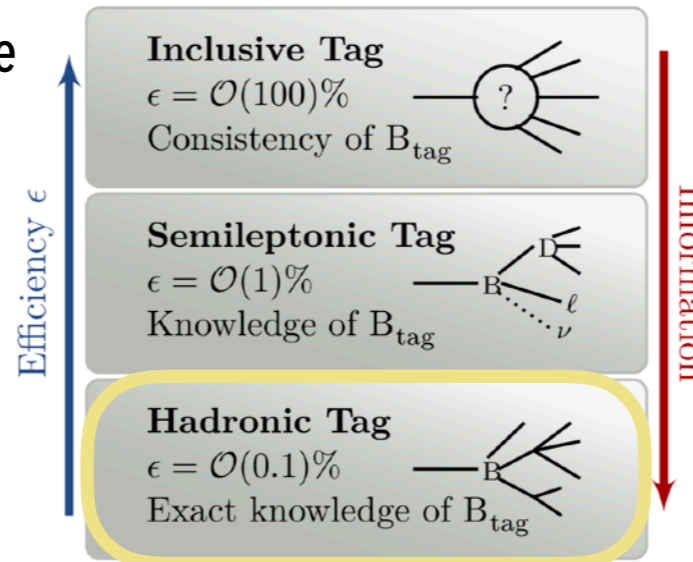
B-TAGGING ALGORITHMS *For modes with missing energy*

@ B-factories we exploit the knowledge of the initial 4-momentum
 Beam energy transferred to $B\bar{B}$ pair: $e^+e^- \rightarrow \Upsilon(4S) \rightarrow B_{\text{sig}}\bar{B}_{\text{tag}}$
 The reconstruction of the tag-side allows to infer the properties of the
 signal-side with missing energy – (semi-)leptonic/penguin decays –
 and to have a handle on backgrounds



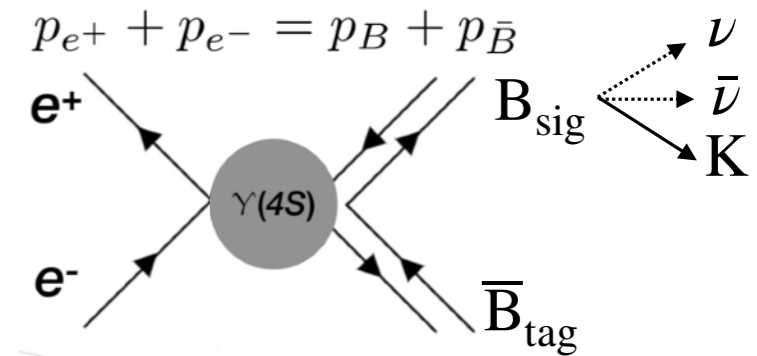
Different B-tagging strategies are possible

$D^{(*)}n\pi, J/\psi(n\pi)K^{(*)}$
 $D^{(*)}D_s^{(*)}, DDK \dots$

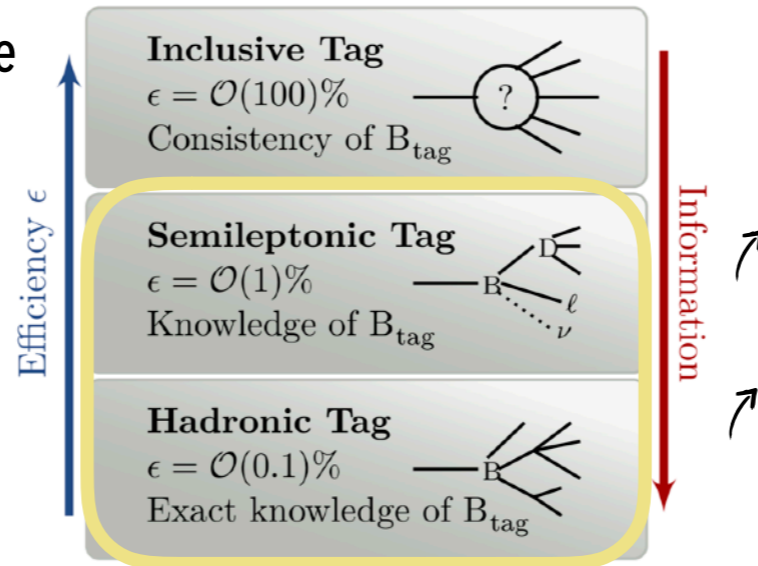


B-TAGGING ALGORITHMS *For modes with missing energy*

@ B-factories we exploit the knowledge of the initial 4-momentum
 Beam energy transferred to $B\bar{B}$ pair: $e^+e^- \rightarrow \Upsilon(4S) \rightarrow B_{sig}\bar{B}_{tag}$
 The reconstruction of the tag-side allows to infer the properties of the
 signal-side with missing energy – (semi-)leptonic/penguin decays –
 and to have a handle on backgrounds



Different B-tagging strategies are possible

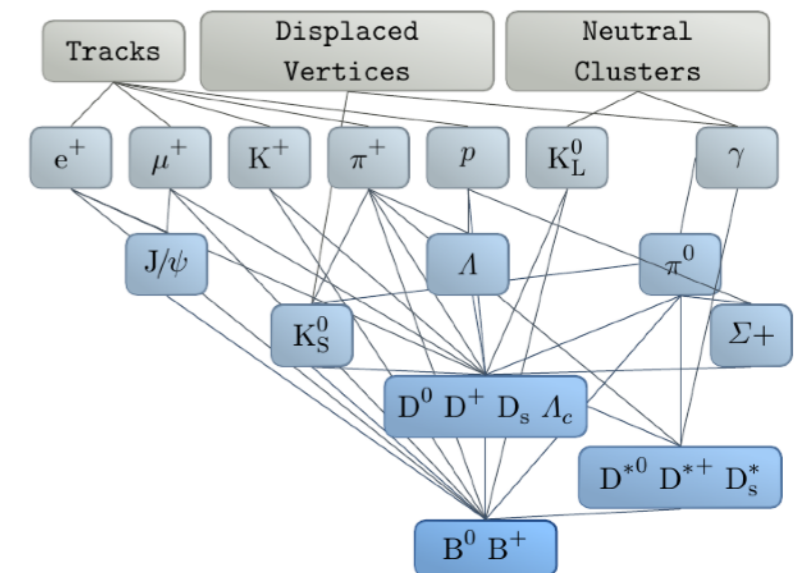


$\mathcal{O}(1.8\%)/\mathcal{O}(2.0\%)$ at $\sim 5\%$ purity or B^+/B^0

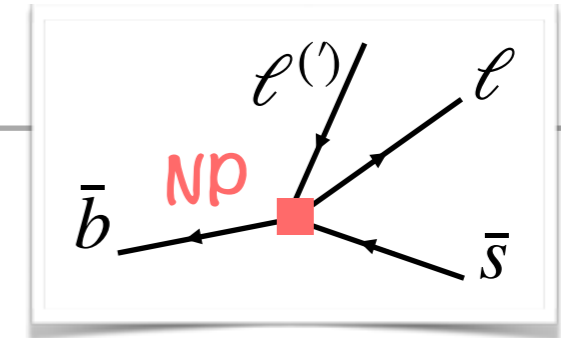
$\mathcal{O}(0.8\%)/\mathcal{O}(0.5\%)$ at $\sim 10\%$ purity or B^+/B^0

$\sim 2x$ higher efficiency wrt previous algorithms

- The **F**ull **E**vent **I**nterpretation (FEI) is the algorithm for hadronic/semileptonic tag-side reconstruction at Belle II [Comput Softw Big Sci 3, 6 \(2019\)](#)
- Hierarchical approach. employs over 200 BDTs trained on simulated $\Upsilon(4S) \rightarrow B\bar{B}$ events to reconstruct $\mathcal{O}(10k)$ B-decay chains
- The FEI efficiency is calibrated with high-stat samples like $X\ell\nu$, where the signal B is reconstructed in $X\ell\nu$ final states: $\epsilon_{calib} = N_{DATA}^{X\ell\nu} / N_{MC}^{X\ell\nu}$

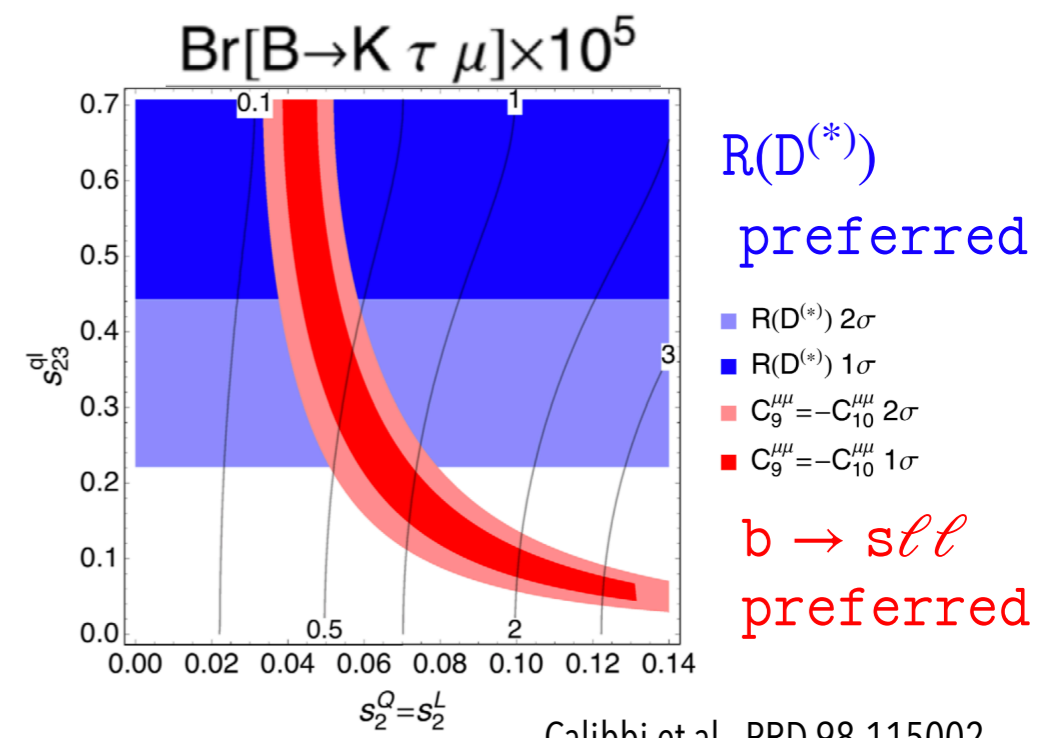
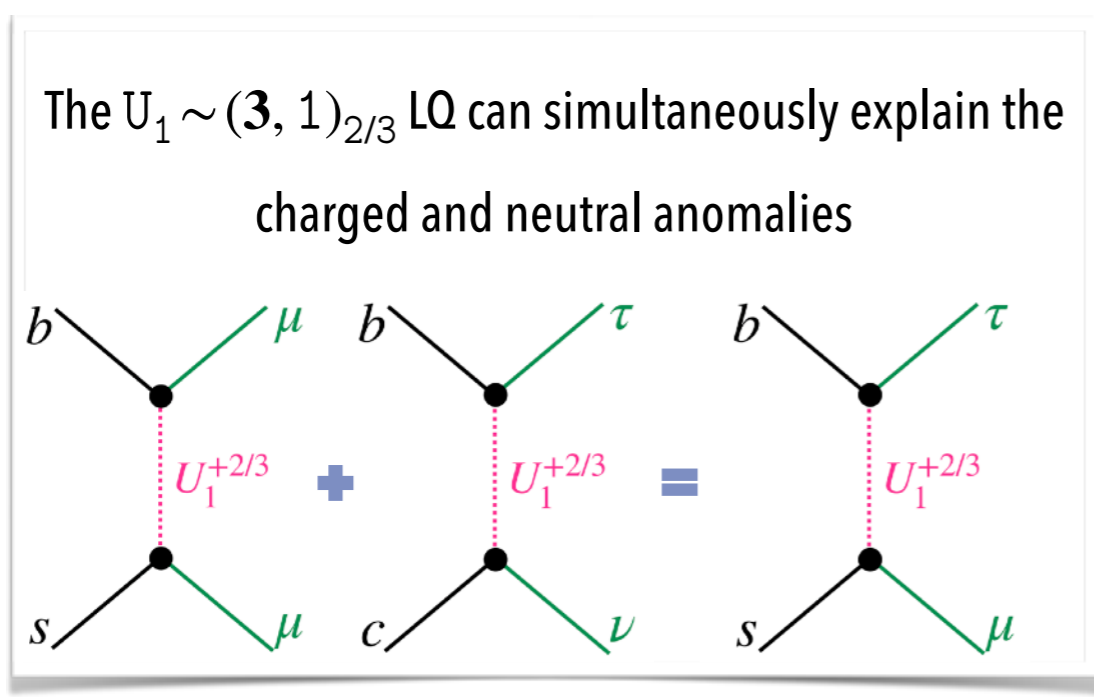


LFV: $b \rightarrow s \ell \ell'$



- Neutrino mass and neutrino oscillations \rightarrow the accidental lepton family symmetry in the SM is broken \rightarrow family lepton number can be violated
- Charged LFV can occur through oscillations in loops but it is very suppressed
- Hints for LFU violation in $b \rightarrow sll$ and $b \rightarrow c\nu$ ^[1-3]
- If LFU is violated, some BSM extensions predict that rates for LFV decays are close to current experimental sensitivity
- Some BSM extensions: Leptoquarks, Z' ... [JHEP12(2016)027/ PRD92, 054013/ JHEP08(2021)050]

Experimental limits $\left\{ \begin{array}{l} b \rightarrow s e \mu \quad B^{+ / 0} \rightarrow K^{+} / K^{* 0} / K_S^0 e \mu : \quad \mathcal{O}(10^{-8 \div -9}) \quad \text{Belle, LHCb} \\ b \rightarrow s \tau \ell \quad B^{+(0)} \rightarrow K^{+(*0)} \tau \ell (\mu) : \quad \mathcal{O}(10^{-5 \div -6}) \quad \text{BaBar, LHCb} \end{array} \right.$

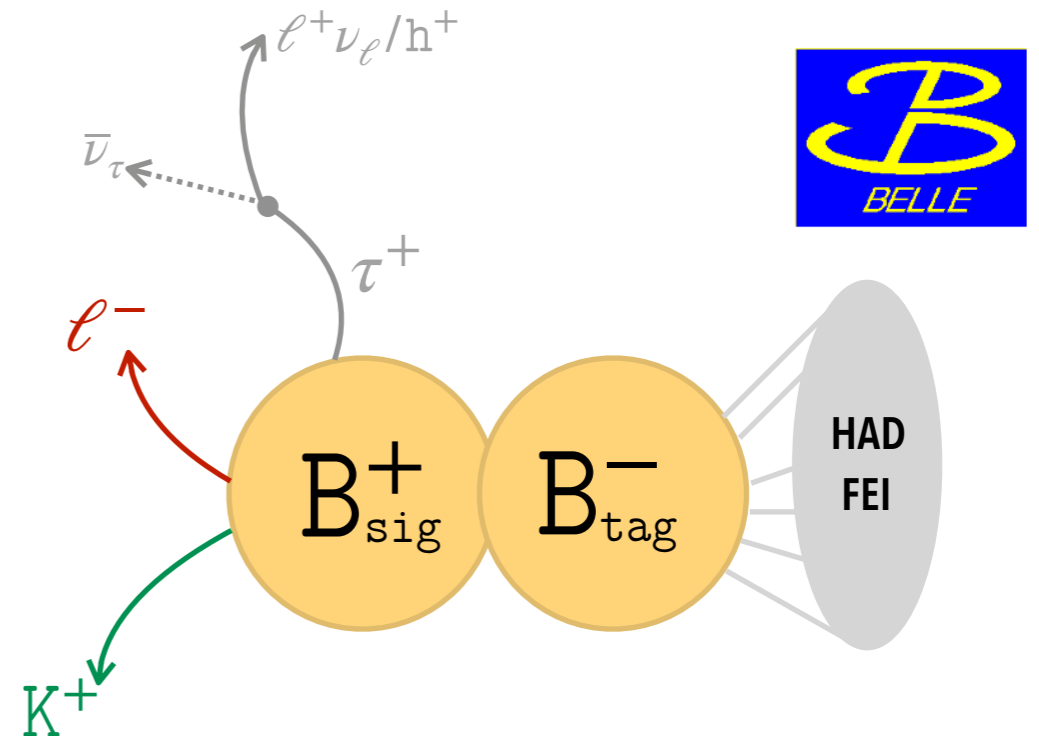
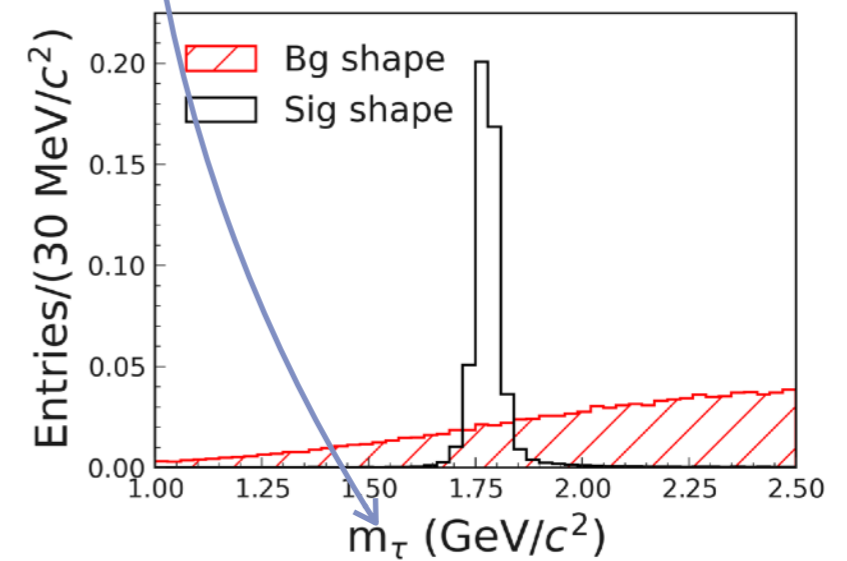


[1] 2103.11769, [2] 1512.04442, [3] HFLAV

$b \rightarrow s\tau\ell$ SEARCHES $\ell = \{e, \mu\}$

- Unlike tree-level, SM processes like $B \rightarrow D^{(*)}\tau\nu$, $B \rightarrow \tau\nu$ etc. this channel has the unique property of having the only neutrino coming from the $\tau \Rightarrow$ can compute τ recoil mass m_τ
 - Belle dataset (711 fb⁻¹) + hadronic B-tagging (FEI)
 - One prong τ decays are considered:
 $\tau^+ \rightarrow \ell^+ \nu_{\bar{\ell}}, \pi^+(n\pi^0)\bar{\nu}$
 - Background depends on charge configuration
 $K^+\tau^+\ell^-: B^+ \rightarrow \bar{D}^0(\rightarrow K^+\ell^-)X^+$
 $K^+\tau^-\ell^+: B^+ \rightarrow \bar{D}^0(\rightarrow K^+X^-)\ell^+$
 - MVA is adopted for background suppression
 - Fit to m_τ distributions

$$M_{\text{recoil}}^2 = m_\tau^2 = (p_{e^+e^-} - p_K - p_\ell - p_{B_{\text{tag}}})^2$$



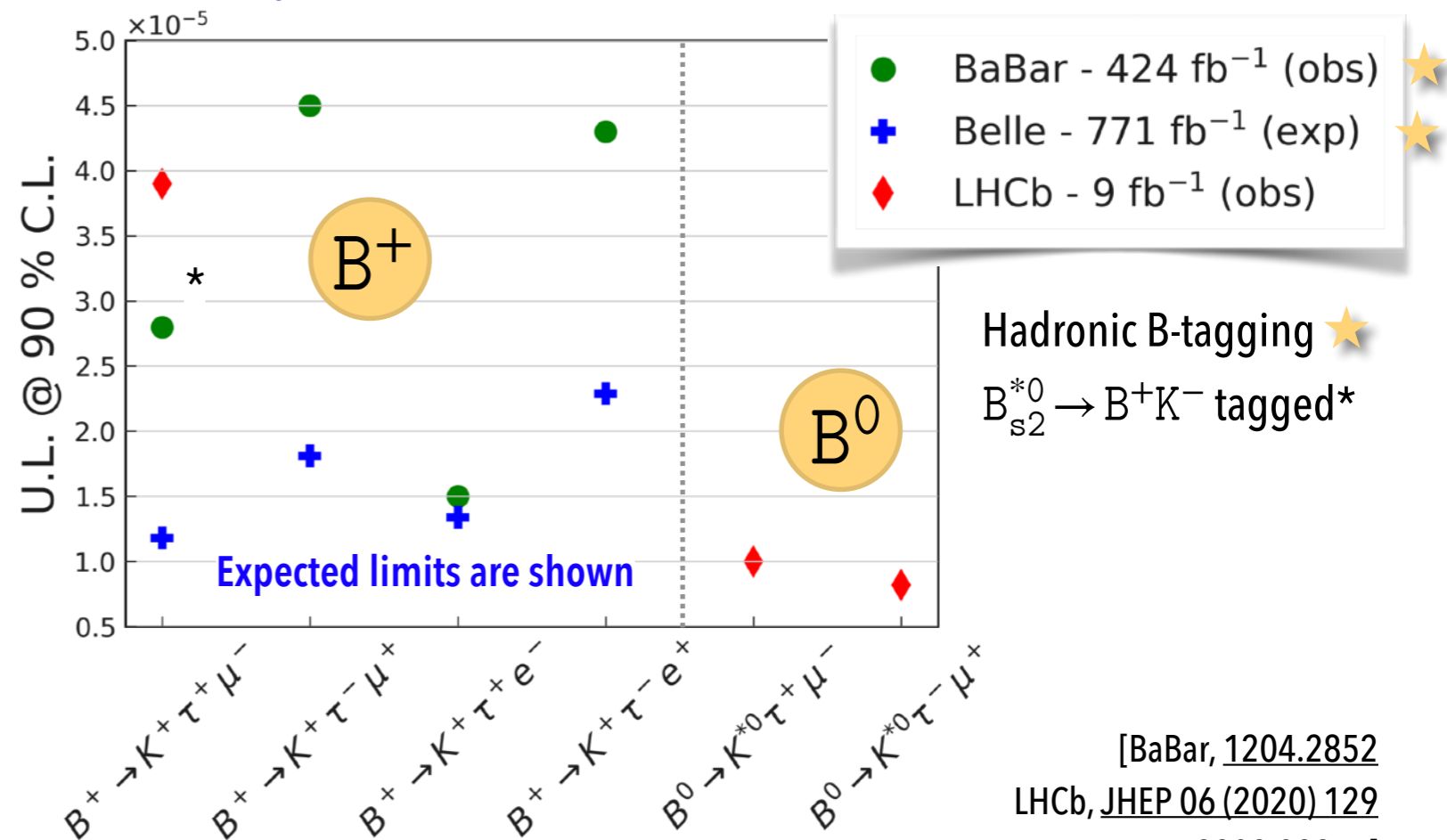
$b \rightarrow s \tau \ell$ SEARCHES $\ell = \{e, \mu\}$

■ Unlike tree-level, SM processes like $B \rightarrow D^{(*)} \tau \nu, B \rightarrow \tau \nu$ etc. these channels have the unique property of having the only neutrino coming from the $\tau \Rightarrow$ can compute τ recoil mass m_τ

- Belle dataset (711 fb⁻¹) + hadronic B-tagging (FEI)
- One prong τ decays are considered:
 $\tau^+ \rightarrow \ell^+ \nu \bar{\nu}, \pi^+(n\pi^0) \bar{\nu}$
- Background depends on charge configuration
 $K^+ \tau^+ \ell^-: B^+ \rightarrow \bar{D}^0 (\rightarrow K^+ \ell^-) X^+$
 $K^+ \tau^- \ell^+: B^+ \rightarrow \bar{D}^0 (\rightarrow K^+ X^-) \ell^+$
- MVA is adopted for background suppression
- Fit to m_τ distributions

■ Belle benefits from higher statistics than BaBar
Belle II will provide updates with improved methods (e.g. different tagging strategy) and additional modes like $B^0 \rightarrow K_S^0 / K^{*0} \tau \ell$

Limits for $B \rightarrow K \tau \ell$ modes

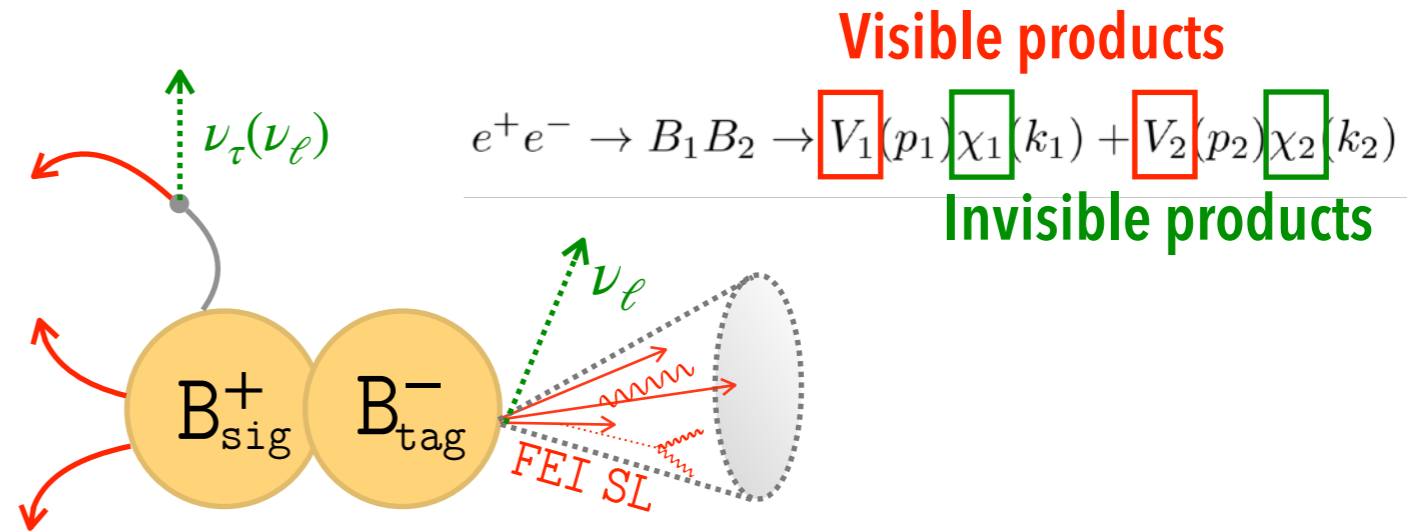


[BaBar, 1204.2852
LHCb, JHEP 06 (2020) 129
arXiv:2209.09846]

$B^+ \rightarrow K^+ \tau \ell$ WITH SEMILEPTONIC B-TAGGING

At Belle the semileptonic tagging is also tried
 The main differences wrt the hadronic approach are:

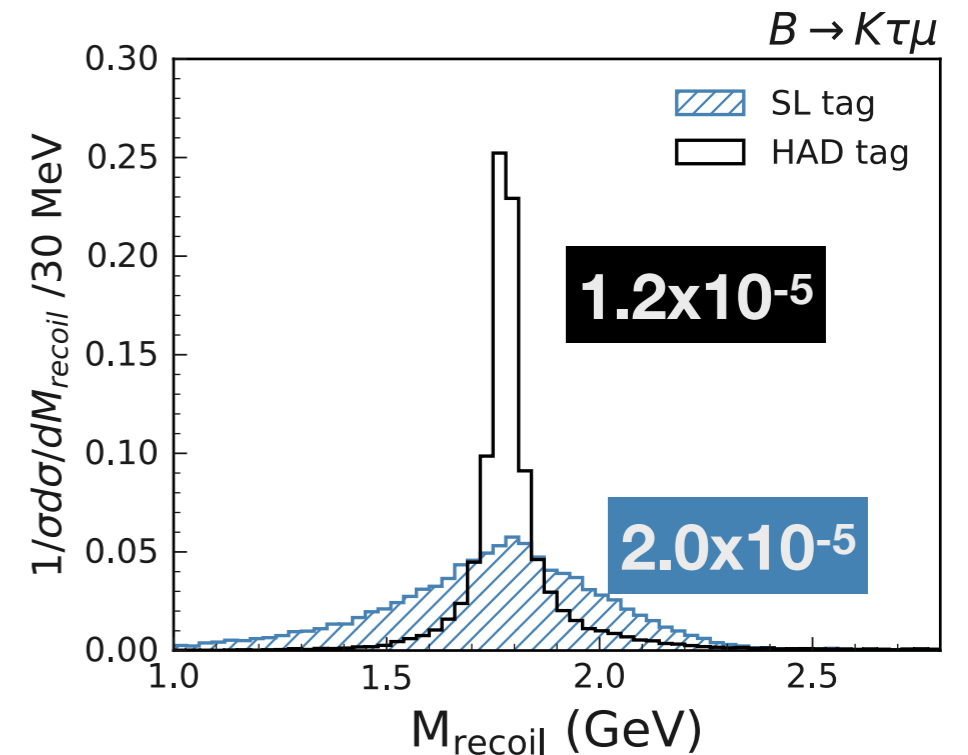
1. Higher efficiency efficiency **BUT**
2. Different background nature
3. Worse resolution on M_{recoil}



The direction of the B_{tag} is lost!

$$M_{\text{recoil}} = [m_B^2 + m_{K\ell}^2 - 2(E_B^* E_{K\ell}^* + |\vec{p}_B^*| |\vec{p}_{K\ell}^*| \cos \theta)]^{\frac{1}{2}}$$

E_{beam}^* points to E_B^*
 $p_{B_{\text{tag}}}^*$ points to \vec{p}_B^*
 $\theta: \angle(\vec{p}_{B_{\text{tag}}}^*, \vec{p}_{K\ell}^*)$
 Random $\cos \theta$

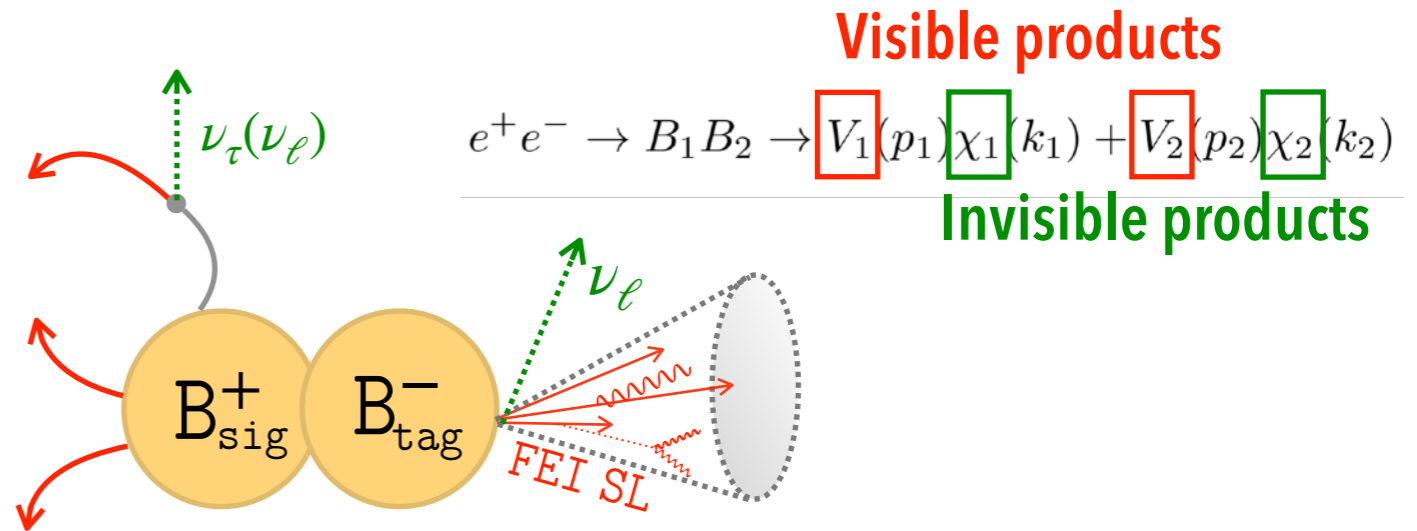


Despite the degraded resolution, the background level is under control, which combined to the higher signal efficiency, provide a sensitivity in the same ballpark of the hadronic-tag analysis

$B^+ \rightarrow K^+ \tau \ell$ WITH SEMILEPTONIC B-TAGGING

At Belle the semileptonic tagging is also tried
 The main differences wrt the hadronic approach are:

1. Higher efficiency **BUT**
2. Different background nature
3. Worse resolution on M_{recoil}



Can we do better?

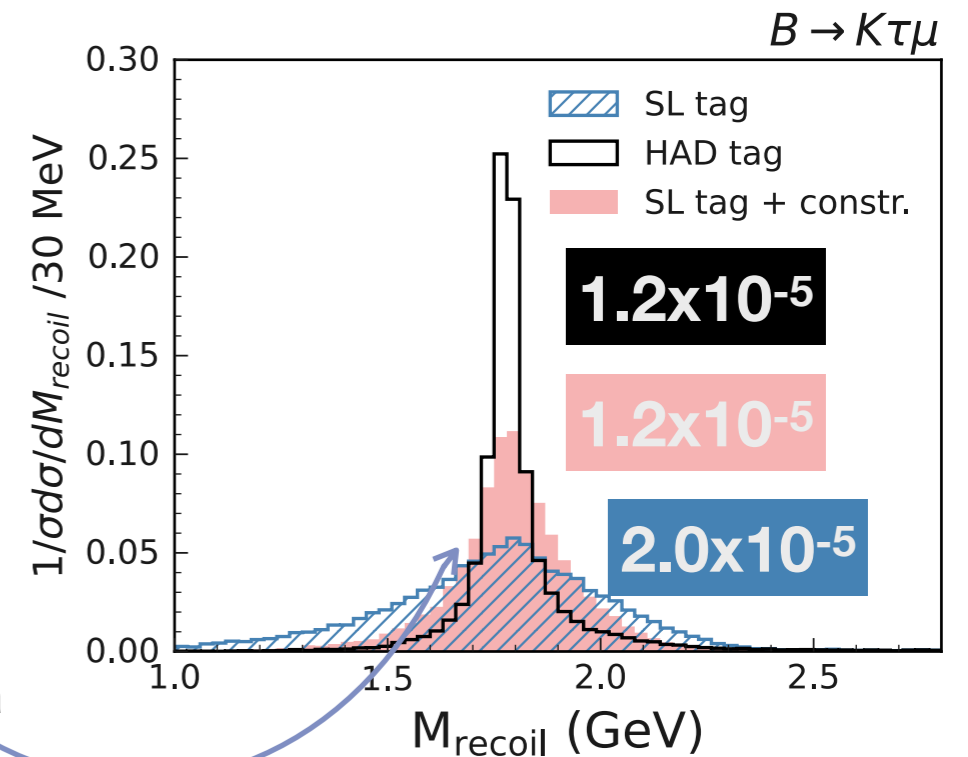
MC study presented in [arXiv:2209.03387](https://arxiv.org/abs/2209.03387) (de Marino, Guadagnoli, Park, Trabelsi)

Obtain M_{recoil} as a solution of a constrained minimisation, the constraints being:

- Kinematic information \rightarrow Initial state knowledge + 2-body τ (hadronic) decays
- Vertexing information \rightarrow Not very constraining at B-factories

This information only brings improvement in resolution ($\sim 2x$)

The semileptonic tagged-sample is orthogonal to the hadronic one; reaching a similar sensitivity is crucial to confirm an observation at any level of significance



$b \rightarrow s \tau^+ \tau^-$ SEARCHES

Results at B-factories

$BR(B^+ \rightarrow K^+ \tau \tau) < 2.0 \times 10^{-3}$ (90%CL) BaBar
 $BR(B^0 \rightarrow K^{*0} \tau \tau) < 2.25 \times 10^{-3}$ (90%CL) Belle - sub. to PRD

Obtained with full Belle stat (711 fb⁻¹)
 Hadronic B-tagging Belle algorithm (Neurobayes FR)
 $\tau \rightarrow \ell \nu \bar{\nu}$, $\pi \nu$ modes considered
 Cut&count analysis

Handles for improvement with respect to Belle

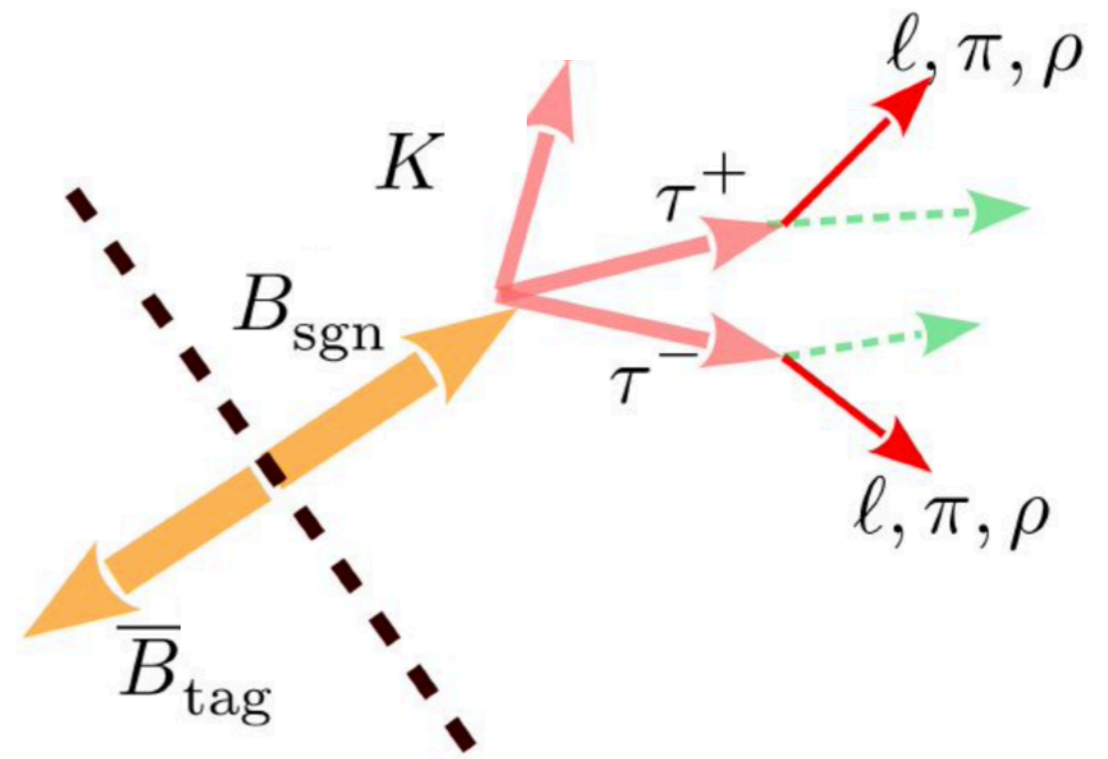
- ~2x hadronic B-tagging efficiency: FR → FEI
- Multivariate analysis
- Additional τ modes

Belle II projections from the Snowmass White Paper

$\mathcal{B}(B^0 \rightarrow K^{*0} \tau \tau)$ (had tag)		
ab ⁻¹	"Baseline" scenario	"Improved" scenario
1	$< 3.2 \times 10^{-3}$	$< 1.2 \times 10^{-3}$
5	$< 2.0 \times 10^{-3}$	$< 6.8 \times 10^{-4}$
10	$< 1.8 \times 10^{-3}$	$< 6.5 \times 10^{-4}$
50	$< 1.6 \times 10^{-3}$	$< 5.3 \times 10^{-4}$

"baseline": no further improvements other than higher stats

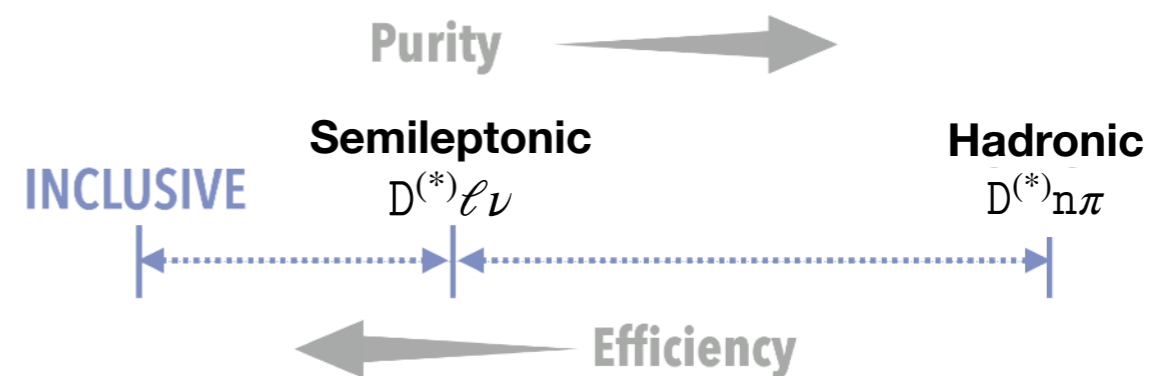
"Improved": 50% increase in signal efficiency for the same background level



$B^+ \rightarrow K^+ \nu \bar{\nu}$ - RECONSTRUCTION AND SELECTION

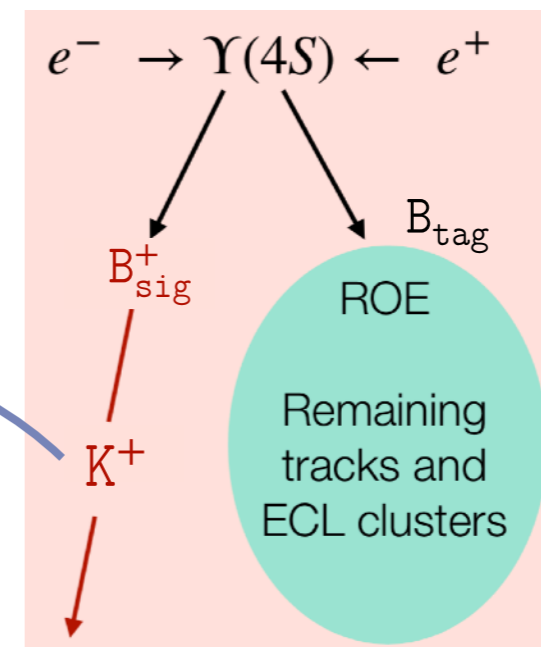
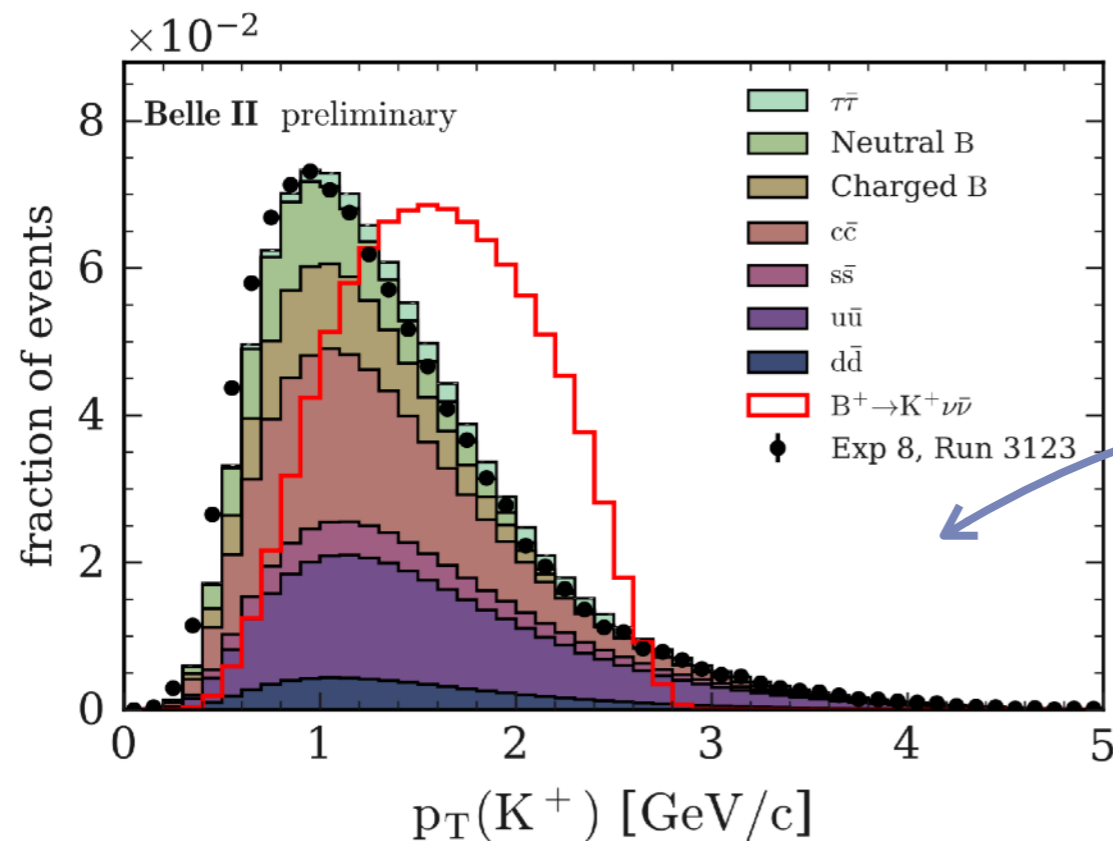
- Two neutrinos in the final state leaving no signature in the detector; the BF **can be measured at *B*-factories** because of the clean event environment and the well-defined initial state
- Previous analyses at Belle/BaBar utilised the full reconstruction of the B_{tag} followed by the signal reconstruction. Low efficiencies ($\sim 0.04\%$ for hadronic tag and $\sim 0.2\%$ for semileptonic tag) are obtained

Exp	U.L. (90% CL)	Tag Method	Stat (fb^{-1})
<u>BaBar</u>	1.6×10^{-5}	SL+HAD	429
<u>Belle</u>	5.5×10^{-5}	HAD	711
<u>Belle</u>	1.9×10^{-5}	SL	711



$B^+ \rightarrow K^+ \nu \bar{\nu}$ - RECONSTRUCTION AND SELECTION

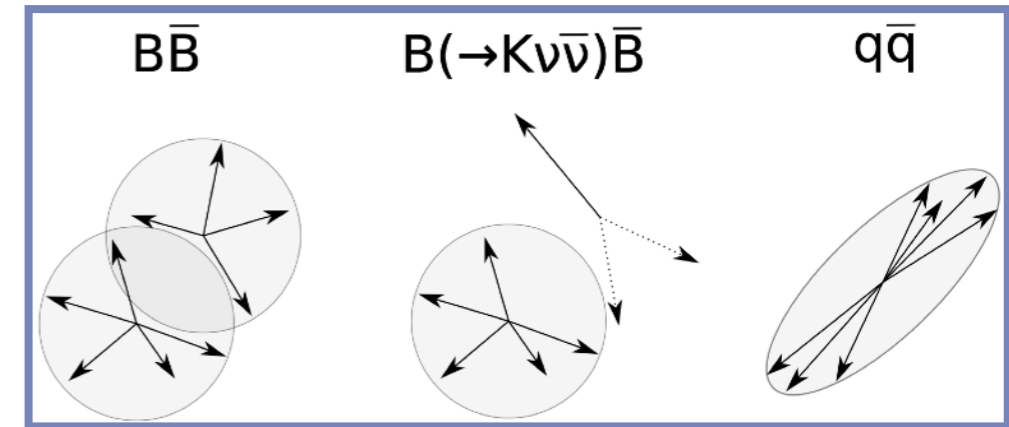
- Two neutrinos in the final state leaving no signature in the detector; the BF **can be measured at *B*-factories** because of the clean event environment and the well-defined initial state.
- Idea at Belle II:** The signal is reconstructed as the highest p_T track with at least 1 PXD hit (correct match $\sim 80\%$) followed by inclusive reconstruction of the *Rest Of the Event* (ROE) \rightarrow higher signal efficiency ($\sim 4\%$) but larger backgrounds from generic B decays and continuum production!



$B^+ \rightarrow K^+ \nu \bar{\nu}$ - BACKGROUND SUPPRESSION

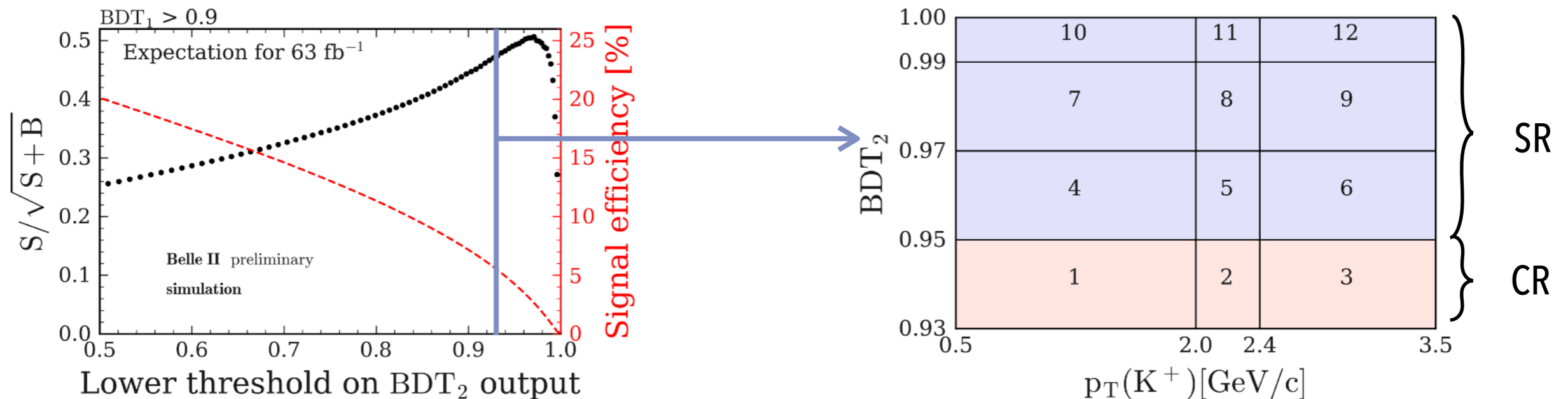
51 features are used to train 2 consecutive binary classifiers (FastBDT) called BDT_1 and BDT_2 :

- Event shape
- Kinematics of the K^+ candidate
- variables related to the ROE
- variables related to the $D^{0/+}$ suppression



The BDT_2 is trained with the same features on the events with $BDT_1 > 0.9$

Signal region and control regions (used for background estimation) are defined in the $BDT_2 \times p_T(K^+)$ space



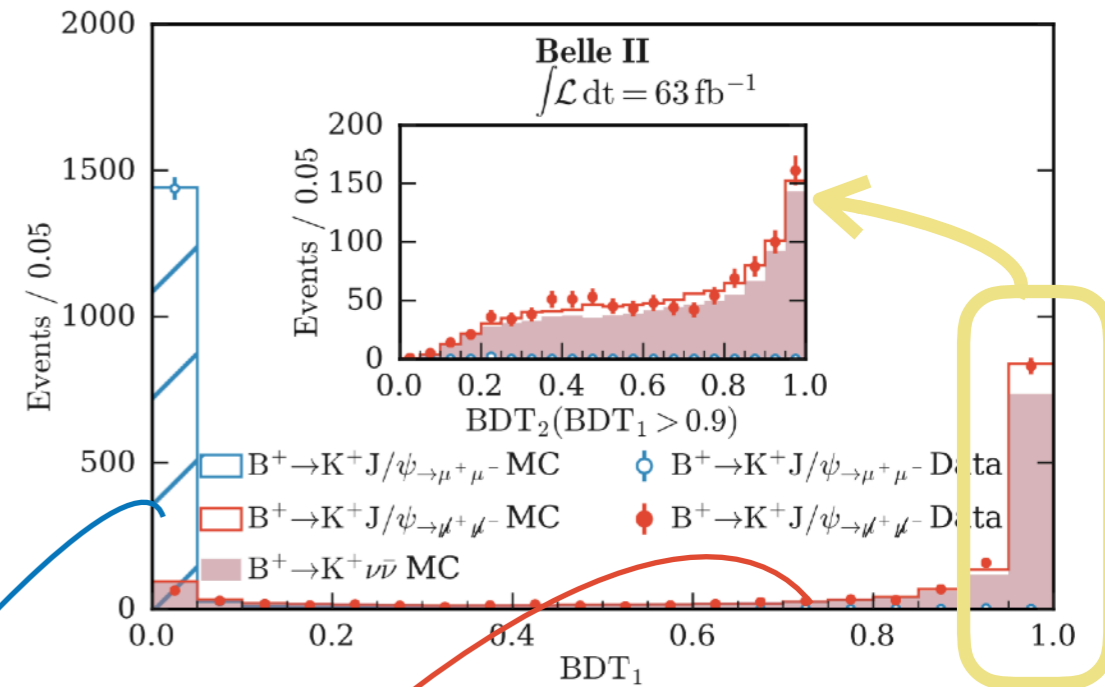
$B^+ \rightarrow K^+ \nu \bar{\nu}$ - VALIDATION

The analysis procedure is validated using $B^+ \rightarrow K^+ J/\psi(\mu^+ \mu^-)$ events. The momentum of the signal kaon (2-body) is corrected to match the spectrum of 3-body $B^+ \rightarrow K^+ \nu \bar{\nu}$ events $\mu\mu$'s from the selected J/ψ decays are ignored and the modified events are reconstructed with the inclusive tagging

An excellent Data-MC agreement for the $BDT_{1,2}$ outputs is observed

$B^+ \rightarrow K^+ J/\psi(\rightarrow \mu^+ \mu^-)$ events

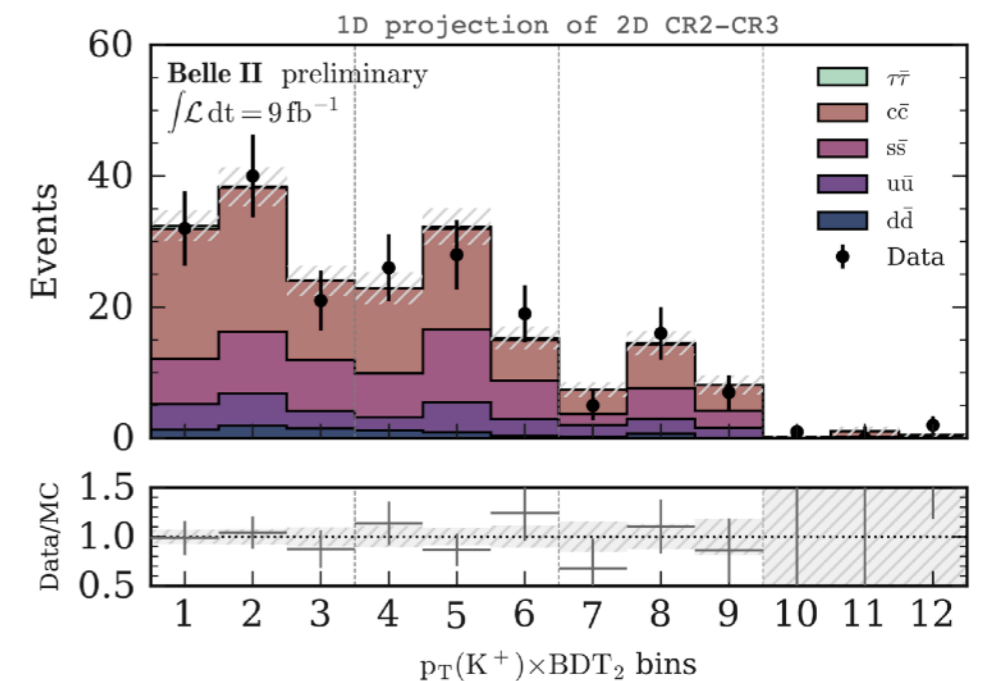
$B^+ \rightarrow K^+ J/\psi(\rightarrow \mu^+ \mu^-)$ events where $\mu\mu$ are ignored and K^+ kinematics updated.



The Data-MC agreement is also checked with off-resonance data (9 fb^{-1})

A very good Data-MC shape agreement is found but with discrepancy in yields (factor 1.4 ± 0.1)

A 50% normalisation uncertainty in the fit is used

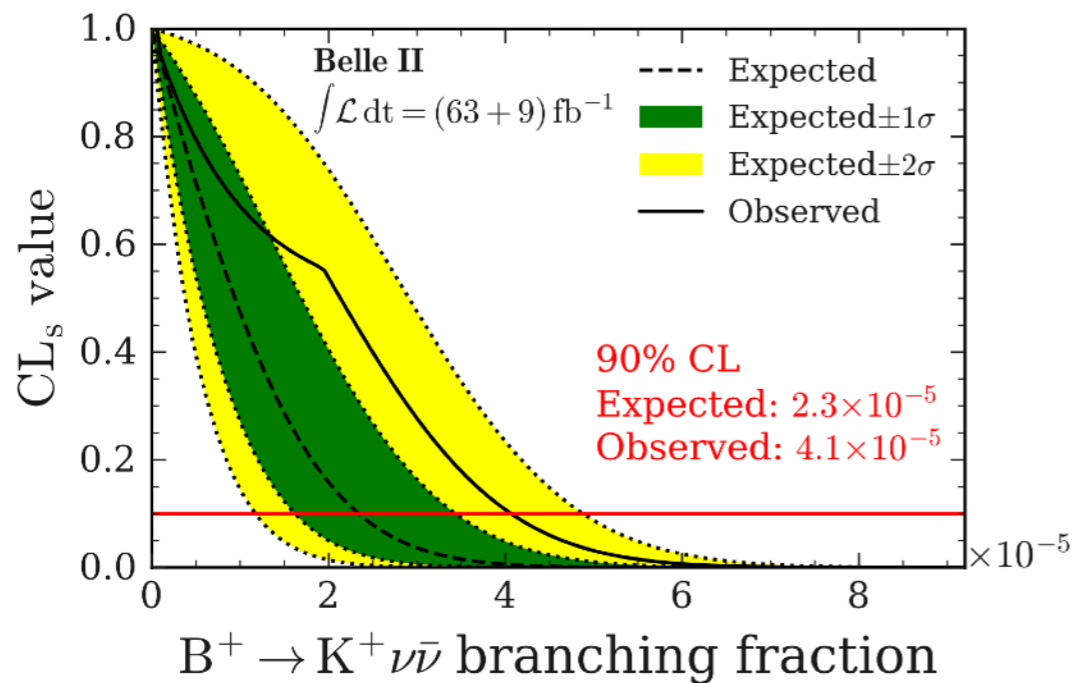


$B^+ \rightarrow K^+ \nu \bar{\nu}$ - SIGNAL EXTRACTION

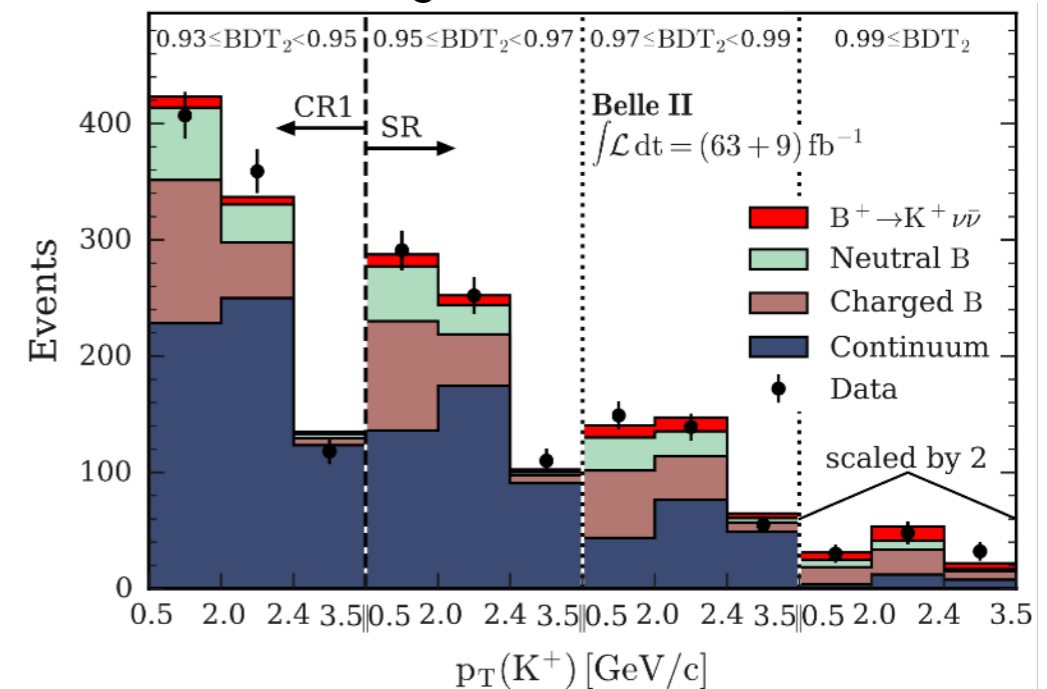


- The signal is extracted with an Extended Maximum Likelihood Binned Fit performed with **Pyhf**
- The systematic uncertainties (normalisations of bkg's yields, BR of the leading B-decays, PID correction, ...) are introduced in the likelihood as nuisance parameters
- The parameter of interest is signal strength μ as the multiplicative factor with respect to the SM expectation
The fitted value is: $\mu = 4 \cdot 2^{+2.9}_{-2.8}(\text{stat})^{+1.8}_{-1.6}(\text{syst})$

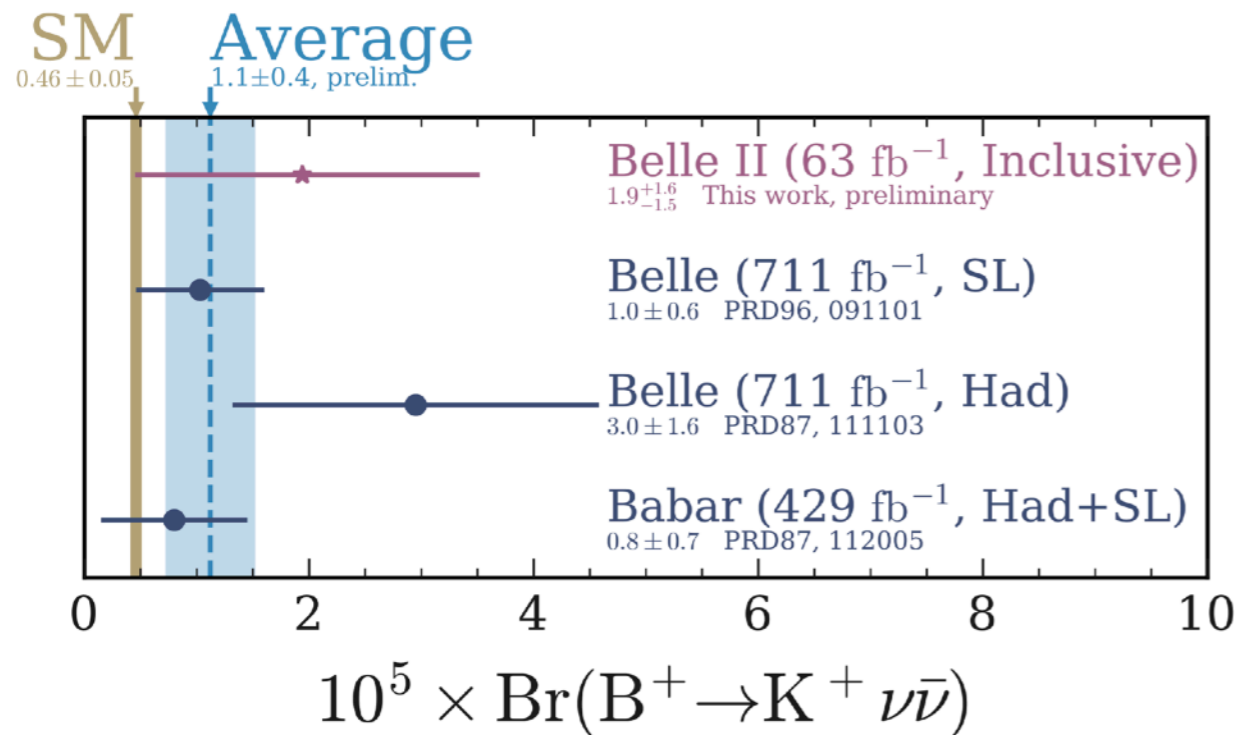
Upper limit derivation with CLs method



Data and post-fit predictions in the regions CR1 and SR



$B^+ \rightarrow K^+ \nu \bar{\nu}$ - RESULT EXTRACTION



$$\mathcal{B}(B^+ \rightarrow K^+ \nu \bar{\nu})_{\text{obs}} = 1.9^{+1.3}_{-1.3} (\text{stat})^{+0.8}_{-0.7} (\text{syst}) \times 10^{-5}$$

Corresponding to the upper limit

$$\mathcal{B}(B^+ \rightarrow K^+ \nu \bar{\nu}) < 4.1 \times 10^{-5} @ 90 \% \text{ C.L.}$$

Consistent with the SM expectation at 1σ

Despite the much smaller sample (63 fb⁻¹ vs 429 and 711 fb⁻¹), the result obtained at Belle II is competitive with the previous searches: the **inclusive method offers improved sensitivity** compared to other B-tagging approaches

The update of the measurement with pre-LS1 dataset is ongoing

Stay Tuned.
Coming Soon!

SUMMARY

Belle II has integrated in the period 2019-2022 high-quality data corresponding to 400 fb^{-1} ($\sim 1/2$ Belle)

- Today measurements with 63 fb^{-1} and 190 fb^{-1} have been shown

$b \rightarrow s$ transitions allow to effectively probe the physics beyond SM and can be tested at Belle II

- $b \rightarrow s \ell \ell$: Can provide independent measurement of LFU ratios and BFs of $B \rightarrow K^{(*)} \ell \ell$ from LHCb
- $b \rightarrow s \tau \ell$, $b \rightarrow s \nu \bar{\nu}$: Will offer unprecedented sensitivity in $B \rightarrow K^{(*)} \tau \bar{\tau} / K^{(*)} \nu \bar{\nu}$ decays

- More results are coming soon!



...

*Thank you for
your attention!*



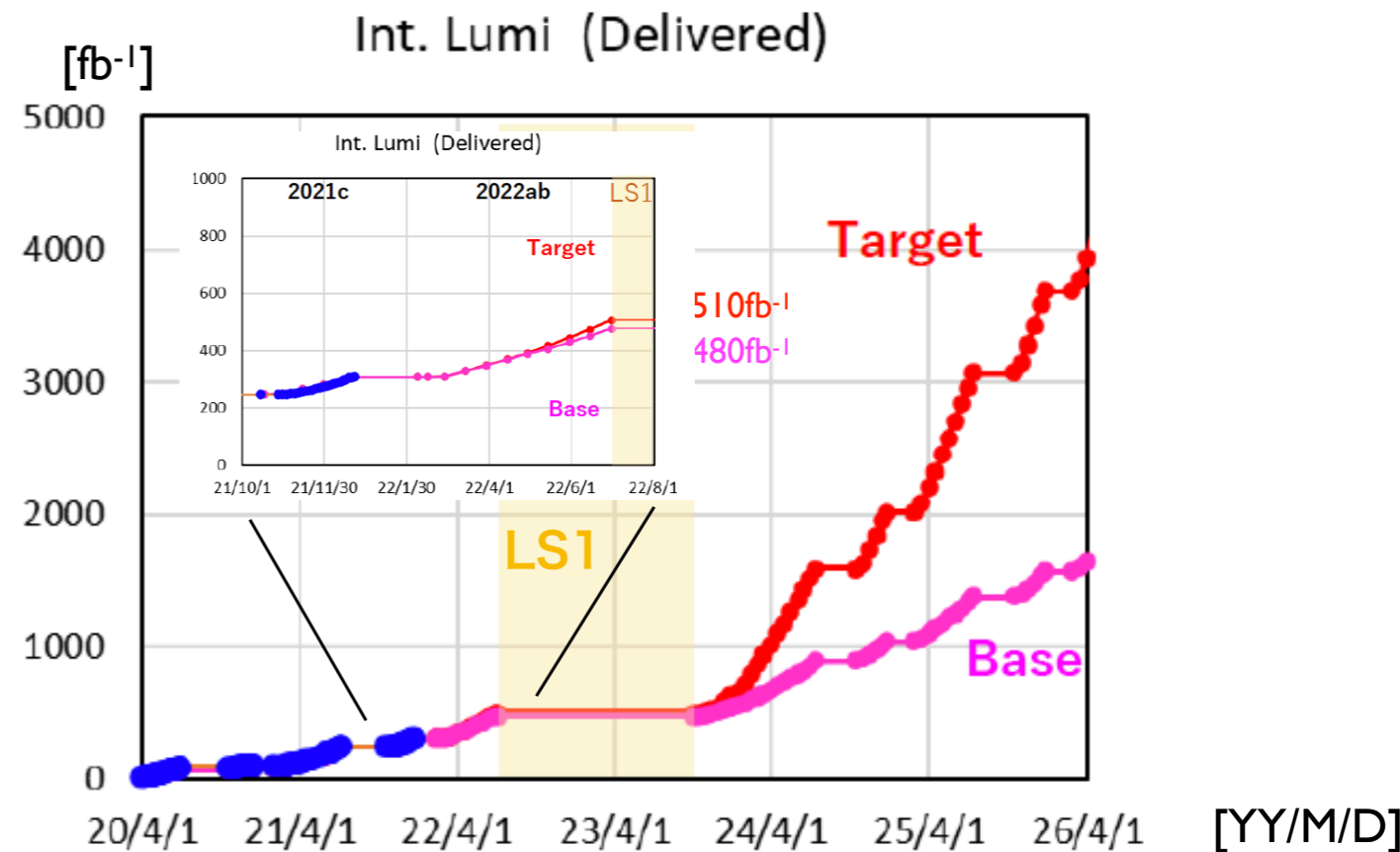
*Additional
Material*

LHCb MEASUREMENT

Projection of integrated luminosity delivered by SuperKEKB to Belle II

Target scenario: extrapolation from 2021 run including expected improvements.

Base scenario: conservative extrapolation of SuperKEKB parameters from 2021 run



- We start long shutdown I (LSI) from summer 2022 for 15 months to replace VXD. There will be other maintenance/improvement works of machine and detector.
- We resume physics running from Fall 2023.
- A SuperKEKB International Taskforce (aiming to conclude in summer 2022) is discussing additional improvements.
- An LS2 for machine improvements could happen on the time frame of 2026-2027

FROM THE BELLE II PHYSICS BOOK

Table 67: The Belle II sensitivities to $B \rightarrow K^{(*)}\ell^+\ell^-$ observables that allow to test lepton flavour universality. Some numbers at Belle are extrapolated to 0.71 ab^{-1} .

Observables	Belle 0.71 ab^{-1}	Belle II 5 ab^{-1}	Belle II 50 ab^{-1}
R_K ($[1.0, 6.0] \text{ GeV}^2$)	28%	11%	3.6%
R_K ($> 14.4 \text{ GeV}^2$)	30%	12%	3.6%
R_{K^*} ($[1.0, 6.0] \text{ GeV}^2$)	26%	10%	3.2%
R_{K^*} ($> 14.4 \text{ GeV}^2$)	24%	9.2%	2.8%
R_{X_s} ($[1.0, 6.0] \text{ GeV}^2$)	32%	12%	4.0%
R_{X_s} ($> 14.4 \text{ GeV}^2$)	28%	11%	3.4%

Table 69: Sensitivities to the modes involving neutrinos in the final states. We assume that 5 ab^{-1} of data will be taken on the $\Upsilon(5S)$ resonance at Belle II. Some numbers at Belle are extrapolated to 0.71 ab^{-1} (0.12 ab^{-1}) for the $B_{u,d}$ (B_s) decay.

Observables	Belle 0.71 ab^{-1} (0.12 ab^{-1})	Belle II 5 ab^{-1}	Belle II 50 ab^{-1}
$\text{Br}(B^+ \rightarrow K^+\nu\bar{\nu})$	$< 450\%$	30%	11%
$\text{Br}(B^0 \rightarrow K^{*0}\nu\bar{\nu})$	$< 180\%$	26%	9.6%
$\text{Br}(B^+ \rightarrow K^{*+}\nu\bar{\nu})$	$< 420\%$	25%	9.3%
$F_L(B^0 \rightarrow K^{*0}\nu\bar{\nu})$	–	–	0.079
$F_L(B^+ \rightarrow K^{*+}\nu\bar{\nu})$	–	–	0.077
$\text{Br}(B^0 \rightarrow \nu\bar{\nu}) \times 10^6$	< 14	< 5.0	< 1.5
$\text{Br}(B_s \rightarrow \nu\bar{\nu}) \times 10^5$	< 9.7	< 1.1	–

Observables

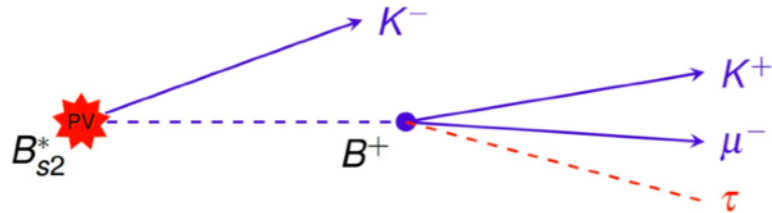
$\text{Br}(B^+ \rightarrow K^+\tau^\pm e^\mp) \cdot 10^6$	< 2.1
$\text{Br}(B^+ \rightarrow K^+\tau^\pm \mu^\mp) \cdot 10^6$	< 3.3
$\text{Br}(B^0 \rightarrow \tau^\pm e^\mp) \cdot 10^5$	< 1.6
$\text{Br}(B^0 \rightarrow \tau^\pm \mu^\mp) \cdot 10^5$	< 1.3

LHCb MEASUREMENT

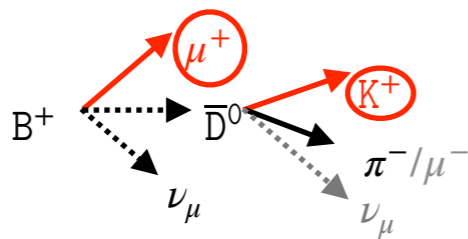
$$B^+ \rightarrow K^+ \mu^- \tau^+ \text{ (using } B_{s2}^{*0} \text{)}$$

- 9 fb⁻¹ @ 7, 8 and 13 TeV (Run1 & Run2)
- Use $B_{s2}^{*0} \rightarrow B^+ K^-$ decay: about 1% of B^+ production

- $K^+ \mu^-$ pair from secondary vertex plus additional track τ^+

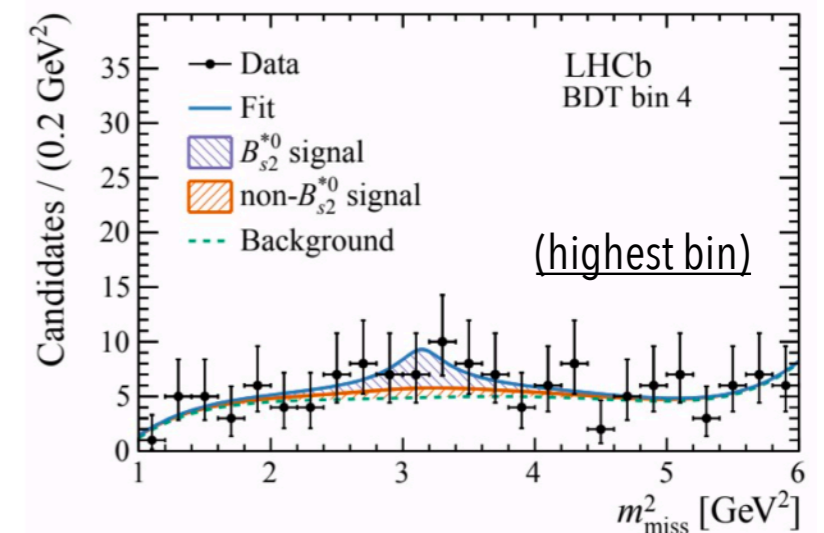
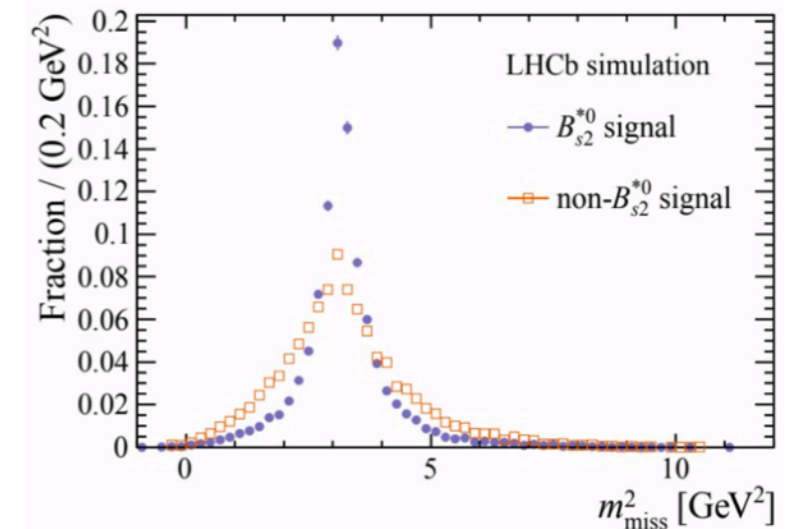


- Expect peak at τ mass also for B not from B_{s2}^{*0} decay, but wider distribution
- $K^+ \mu^- \tau^+$ experimentally preferred over $K^+ \mu^+ \tau^-$ as it has a lower background from sSL B decays, because CF decays of the D mesons are likely to lead to K's of the same charge as the muon



- Remaining backgrounds produce smooth m_{miss}^2 distributions
- Search performed in bins of final BDT output with increasing signal sensitivity

Mode	U.L. (90% CL)	Exp.
$B^+ \rightarrow K^+ \tau \mu$	4.8×10^{-5}	BaBar
$B^+ \rightarrow K^+ \tau e$	3.0×10^{-5}	BaBar
$B^+ \rightarrow K^+ \tau^+ \mu^-$	3.9×10^{-5}	LHCb

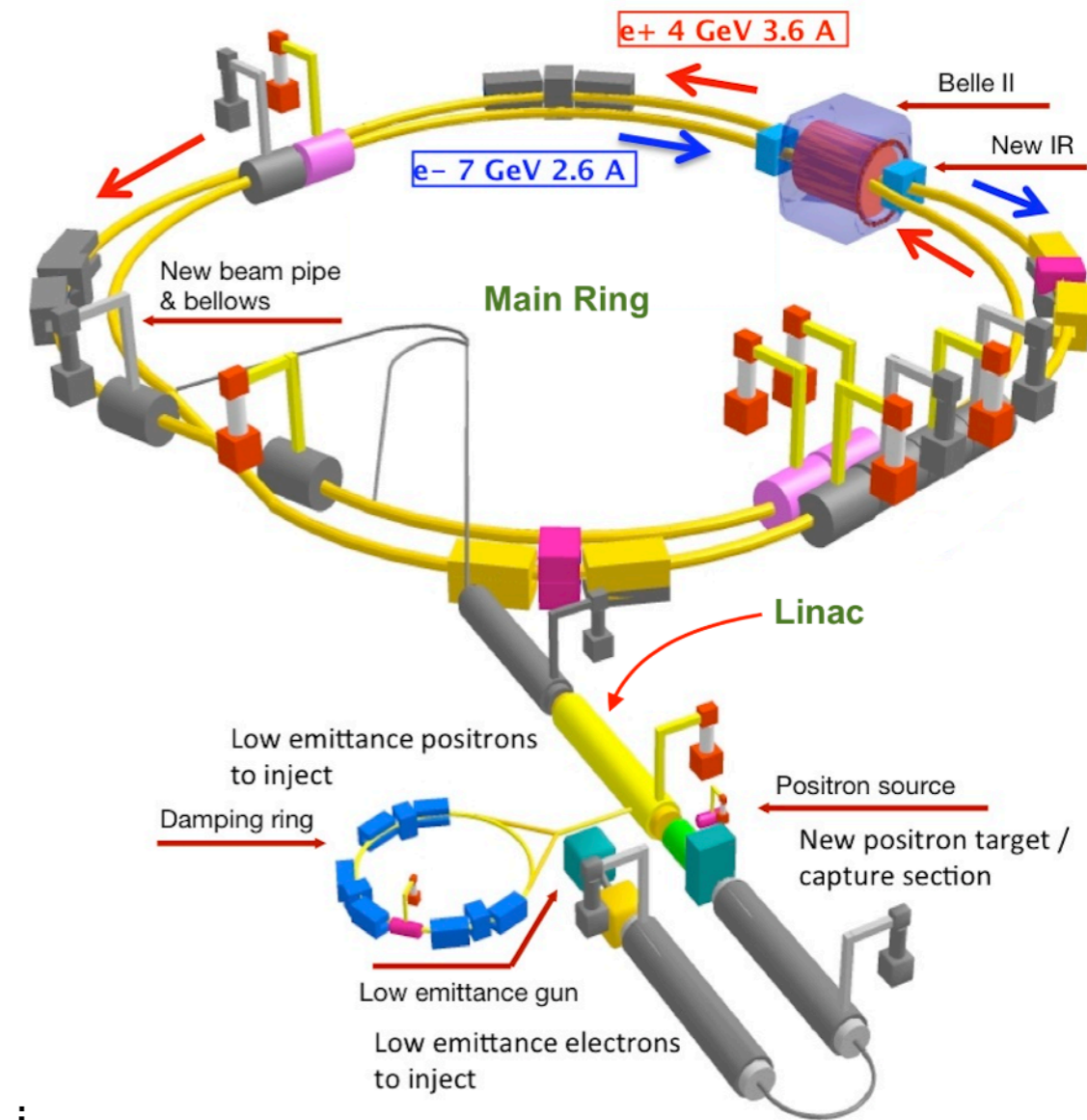


SUPERKEKB

$$\mathcal{L} = \frac{\gamma_{\pm}}{2er_e} \left(1 + \frac{\sigma_y^*}{\sigma_x^*} \right) \left(\frac{I_{\pm} \xi_{y\pm}}{\beta_y^*} \right) \left(\frac{R_L}{R_{\xi_{y\pm}}} \right)$$

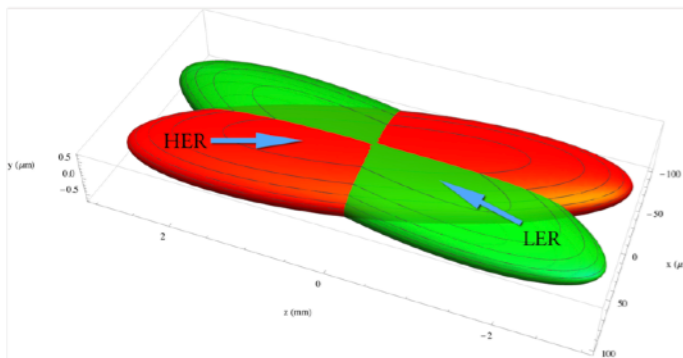
- ▶ **"Nano-beam"** scheme and higher currents
- ▶ Larger crossing angle Φ
- ▶ Lower β_y by 40%

Wrt to 1st generation B factories

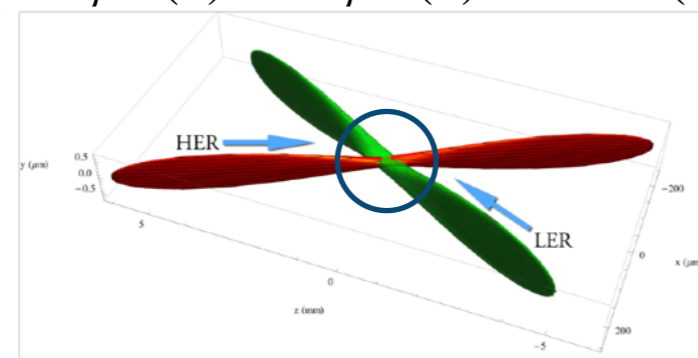


Beamsport

250 μm (Z) \times 10 μm (X) \times 50 nm (Y)



KEKB



SuperKEKB

	E (GeV) LER/HER	β_y^* (mm) LER/HER	β_x^* (mm) LER/HER	Φ (mrad)	I (A)	\mathcal{L} ($\text{cm}^{-2}\text{s}^{-1}$) $\times 10^{35}$
KEKB	3.5/8.0	5.9/5.9	120/120	11	1.6/1.2	0.2
SuperKEKB	4.0/7.0	0.27/0.30	3.2/2.5	41.5	3.6/2.6	8

Factor 20

Factor 2-3

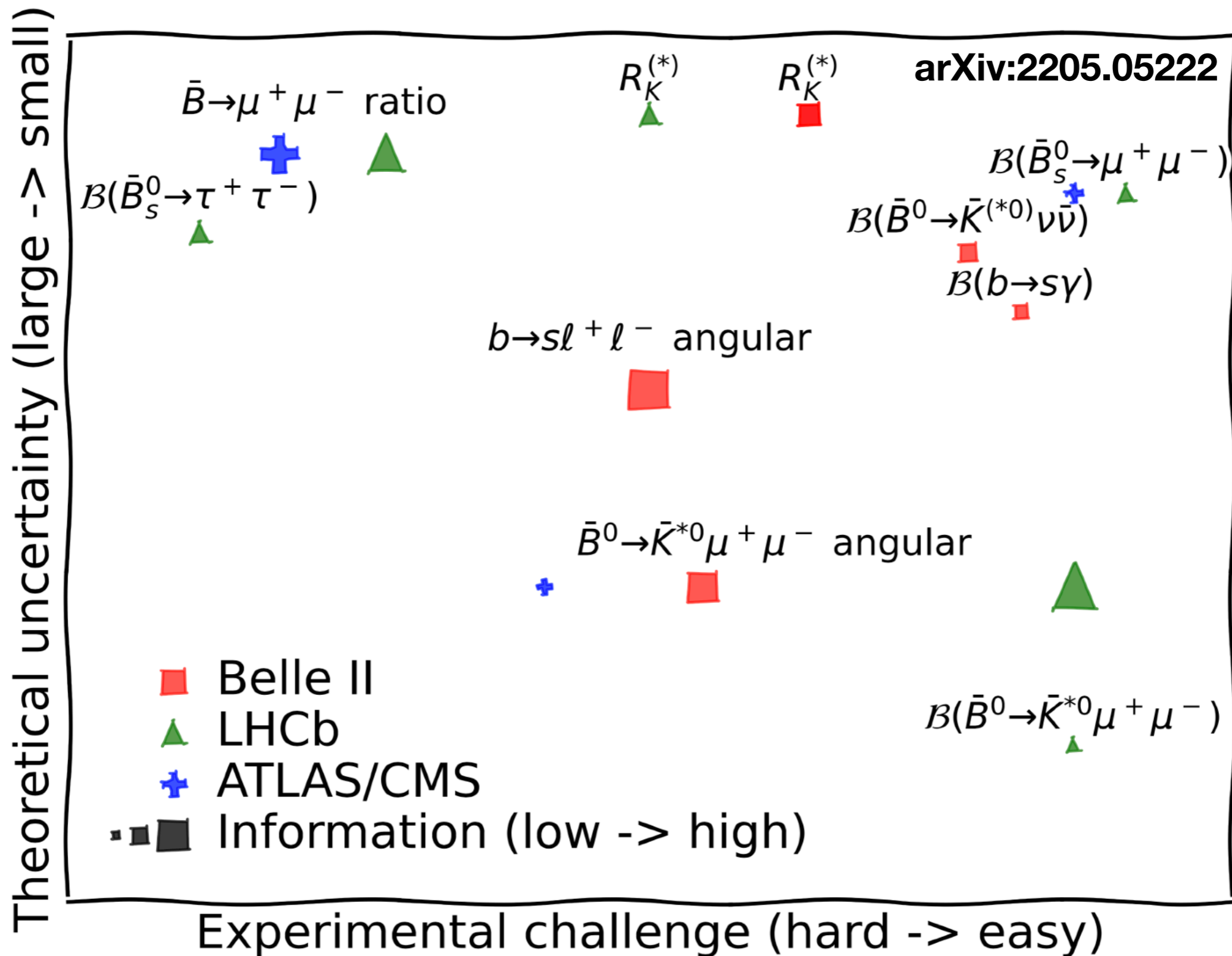


BABAR RESULTS ON $B \rightarrow h\tau\ell$

TABLE IV: Results for the observed sideband events $N_{sb,i}$, signal-to-sideband ratio $R_{b,i}$, expected background events b_i , number of observed events n_i , signal efficiency $\epsilon_{h\tau\ell,i}$ (assuming uniform three-body phase space decays) for each τ channel i and $B \rightarrow h\tau\ell$ [9] branching fraction central value and 90% C.L. upper limits (UL). All uncertainties include statistical and systematic sources.

Mode	τ channel	$N_{sb,i}$	$R_{b,i}$	b_i	n_i	$\epsilon_{h\tau\ell,i}$	$\mathcal{B}(B \rightarrow h\tau\ell) (\times 10^{-5})$	
							central value	90% C.L. UL
$B^+ \rightarrow K^+\tau^-\mu^+$	e	22	0.02 ± 0.01	0.4 ± 0.2	2	$(2.6 \pm 0.2)\%$	$0.8^{+1.9}_{-1.4}$	< 4.5
	μ	4	0.08 ± 0.05	0.3 ± 0.2	0	$(3.2 \pm 0.4)\%$		
	π	39	0.045 ± 0.020	1.8 ± 0.8	1	$(4.1 \pm 0.4)\%$		
$B^+ \rightarrow K^+\tau^+\mu^-$	e	5	0.03 ± 0.01	0.2 ± 0.1	0	$(3.7 \pm 0.3)\%$	$-0.4^{+1.4}_{-0.9}$	< 2.8
	μ	3	0.06 ± 0.03	0.2 ± 0.1	0	$(3.6 \pm 0.7)\%$		
	π	153	0.045 ± 0.010	6.9 ± 1.5	11	$(9.1 \pm 0.5)\%$		
$B^+ \rightarrow K^+\tau^-e^+$	e	6	0.095 ± 0.020	0.6 ± 0.1	2	$(2.2 \pm 0.2)\%$	$0.2^{+2.1}_{-1.0}$	< 4.3
	μ	4	0.025 ± 0.010	0.1 ± 0.1	0	$(2.7 \pm 0.6)\%$		
	π	33	0.045 ± 0.015	1.5 ± 0.5	1	$(4.8 \pm 0.6)\%$		
$B^+ \rightarrow K^+\tau^+e^-$	e	8	0.10 ± 0.06	0.8 ± 0.5	0	$(2.8 \pm 1.1)\%$	$-1.3^{+1.5}_{-1.8}$	< 1.5
	μ	3	0.045 ± 0.020	0.1 ± 0.1	0	$(3.2 \pm 0.7)\%$		
	π	132	0.035 ± 0.010	4.6 ± 1.3	4	$(8.7 \pm 1.2)\%$		
$B^+ \rightarrow \pi^+\tau^-\mu^+$	e	55	0.017 ± 0.010	0.9 ± 0.6	0	$(2.3 \pm 0.2)\%$	$0.4^{+3.1}_{-2.2}$	< 6.2
	μ	10	0.11 ± 0.04	1.1 ± 0.4	2	$(2.9 \pm 0.4)\%$		
	π	93	0.035 ± 0.010	3.3 ± 0.9	4	$(2.8 \pm 0.2)\%$		
$B^+ \rightarrow \pi^+\tau^+\mu^-$	e	171	0.012 ± 0.003	2.1 ± 0.5	2	$(3.8 \pm 0.3)\%$	$0.0^{+2.6}_{-2.0}$	< 4.5
	μ	89	0.04 ± 0.01	3.6 ± 0.9	4	$(4.8 \pm 0.3)\%$		
	π	512	0.050 ± 0.005	25 ± 3	23	$(9.1 \pm 0.6)\%$		
$B^+ \rightarrow \pi^+\tau^-e^+$	e	1	0.050 ± 0.025	0.1 ± 0.1	1	$(2.0 \pm 0.8)\%$	$2.8^{+2.4}_{-1.9}$	< 7.4
	μ	16	0.025 ± 0.010	0.4 ± 0.2	1	$(2.8 \pm 0.3)\%$		
	π	172	0.035 ± 0.008	6.0 ± 1.4	7	$(5.8 \pm 0.3)\%$		
$B^+ \rightarrow \pi^+\tau^+e^-$	e	31	0.033 ± 0.013	1.0 ± 0.4	0	$(2.9 \pm 0.3)\%$	$-3.1^{+2.4}_{-2.1}$	< 2.0
	μ	247	0.012 ± 0.005	3.0 ± 1.2	2	$(4.6 \pm 0.4)\%$		
	π	82	0.07 ± 0.03	5.7 ± 2.5	3	$(3.7 \pm 1.0)\%$		

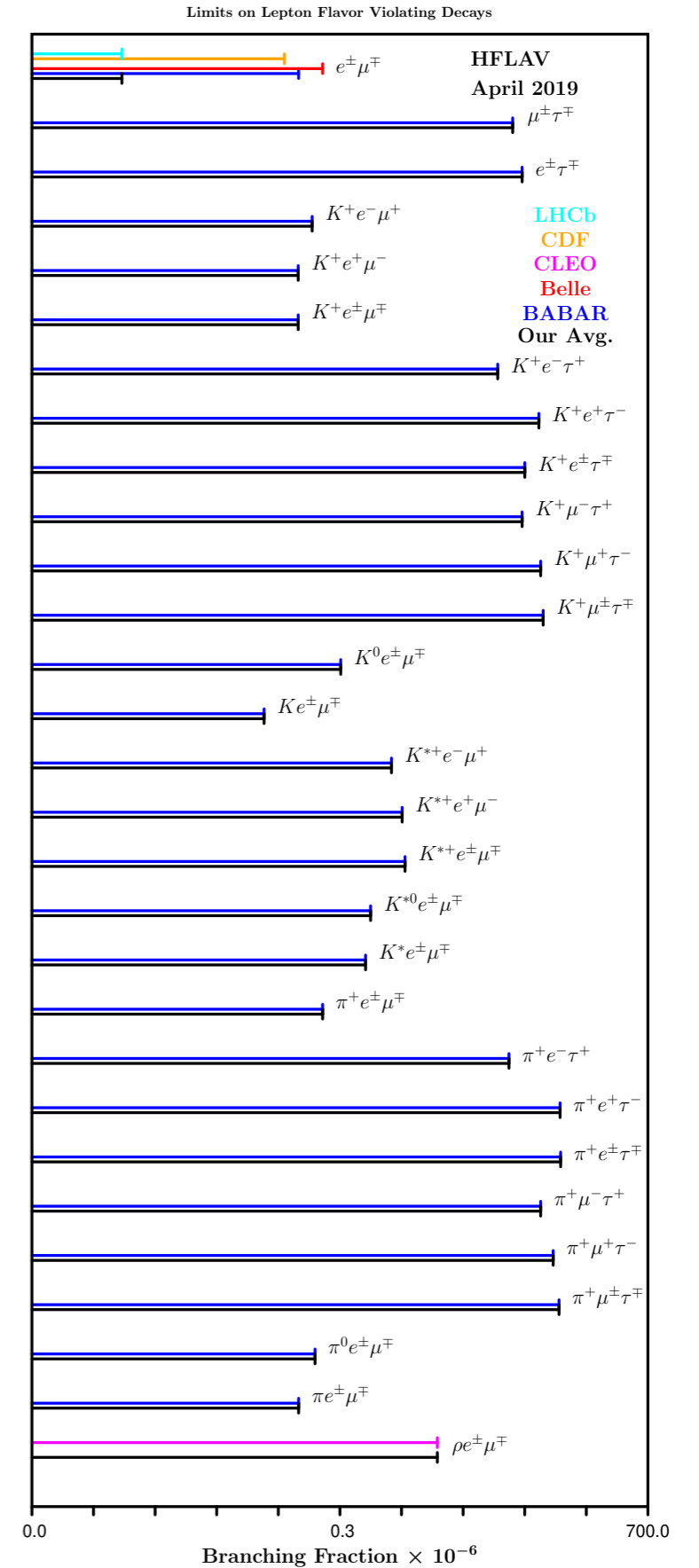
B-ANOMALIES OBSERVABLES



TABLES

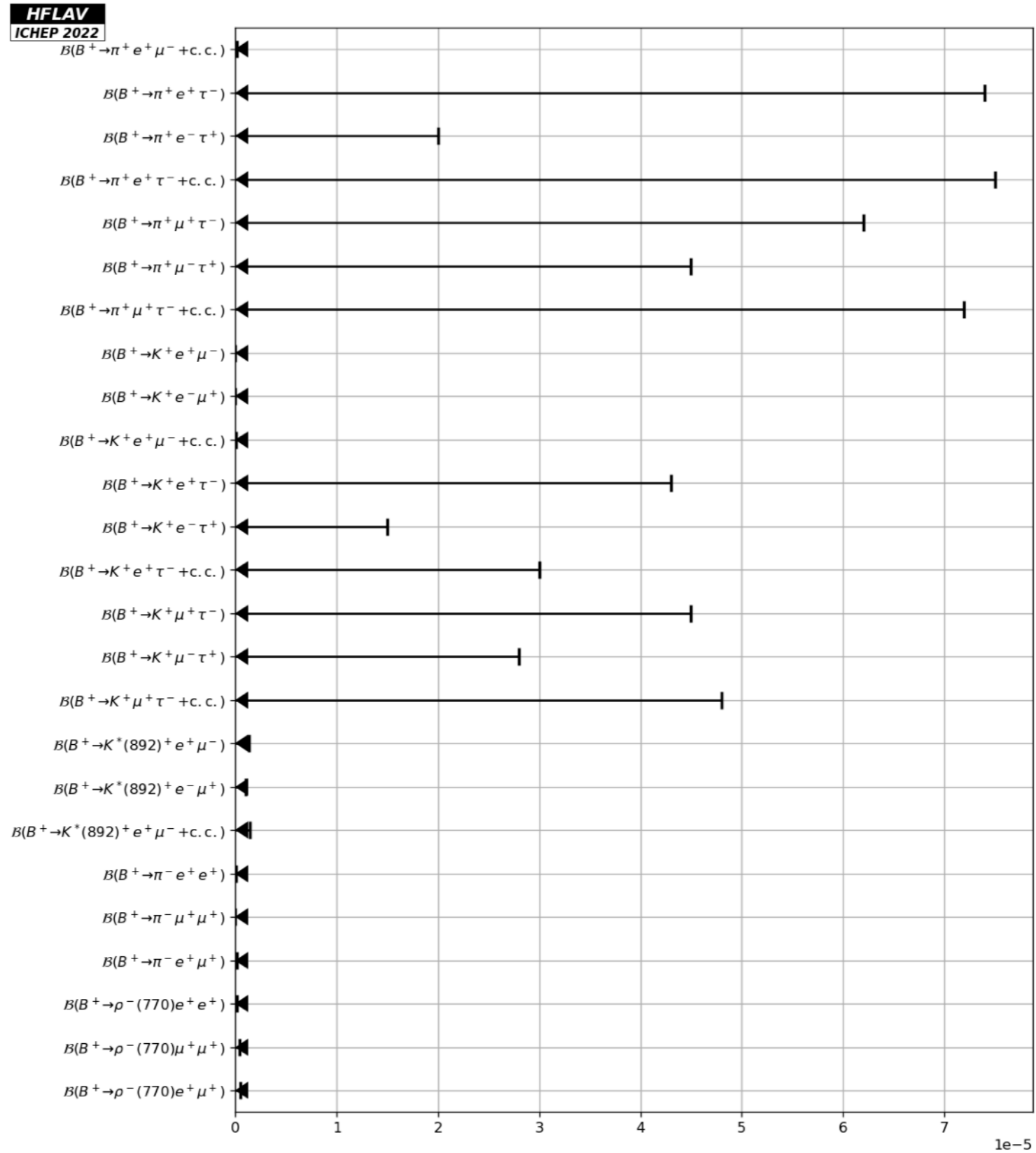
Decay Mode	$N_{B\bar{B}}$ (10^6)	\mathcal{B} upper limit (90% C.L.)
<i>Lepton flavor violating modes (light flavors):</i>		
$B^0 \rightarrow \mu^\pm e^\mp$	85	17×10^{-8}
$B^0 \rightarrow \mu^\pm e^\mp$	384	9.2×10^{-8}
$B^+ \rightarrow \pi^+ \mu^\pm e^\mp$	230	17×10^{-8}
$B^0 \rightarrow \pi^0 \mu^\pm e^\mp$		14×10^{-8}
$B \rightarrow \pi \mu^\pm e^\mp$		9.2×10^{-8}
$B^+ \rightarrow K^+ \mu^- e^+$	229	9.1×10^{-8}
$B^+ \rightarrow K^+ \mu^+ e^-$		13×10^{-8}
$B^+ \rightarrow K^+ \mu^\mp e^\pm$		9.1×10^{-8}
$B^0 \rightarrow K^0 \mu^\mp e^\pm$		27×10^{-8}
$B \rightarrow K \mu^\mp e^\pm$		3.8×10^{-8}
$B^+ \rightarrow K^{*0} \mu^- e^+$		53×10^{-8}
$B^+ \rightarrow K^{*0} \mu^+ e^-$		34×10^{-8}
$B^+ \rightarrow K^{*0} \mu^\mp e^\pm$		58×10^{-8}
$B^+ \rightarrow K^{*+} \mu^- e^+$	130	130×10^{-8}
$B^+ \rightarrow K^{*+} \mu^+ e^-$		99×10^{-8}
$B^+ \rightarrow K^{*+} \mu^\mp e^\pm$	140	140×10^{-8}
$B \rightarrow K^* \mu^\mp e^\pm$		51×10^{-8}

Decay Mode	$N_{B\bar{B}}$ (10^6)	\mathcal{B} upper limit (90% C.L.)
<i>Lepton flavor violating modes (including τ):</i>		
$B^0 \rightarrow \tau^\pm e^\mp$	378	2.8×10^{-5}
$B^0 \rightarrow \tau^\pm \mu^\mp$		2.2×10^{-5}
$B^+ \rightarrow K^+ \tau^- \mu^+$	472	4.5×10^{-5}
$B^+ \rightarrow K^+ \tau^+ \mu^-$		2.8×10^{-5}
$B^+ \rightarrow K^+ \tau^\mp \mu^\pm$		4.8×10^{-5}
$B^+ \rightarrow K^+ \tau^- e^+$		4.3×10^{-5}
$B^+ \rightarrow K^+ \tau^+ e^-$		1.5×10^{-5}
$B^+ \rightarrow K^+ \tau^\mp e^\pm$		3.0×10^{-5}
$B^+ \rightarrow \pi^+ \tau^- \mu^+$		6.2×10^{-5}
$B^+ \rightarrow \pi^+ \tau^+ \mu^-$		4.5×10^{-5}
$B^+ \rightarrow \pi^+ \tau^\mp \mu^\pm$		7.2×10^{-5}
$B^+ \rightarrow \pi^+ \tau^- e^+$		7.4×10^{-5}
$B^+ \rightarrow \pi^+ \tau^+ e^-$		2.0×10^{-5}
$B^+ \rightarrow \pi^+ \tau^\mp e^\pm$		7.5×10^{-5}



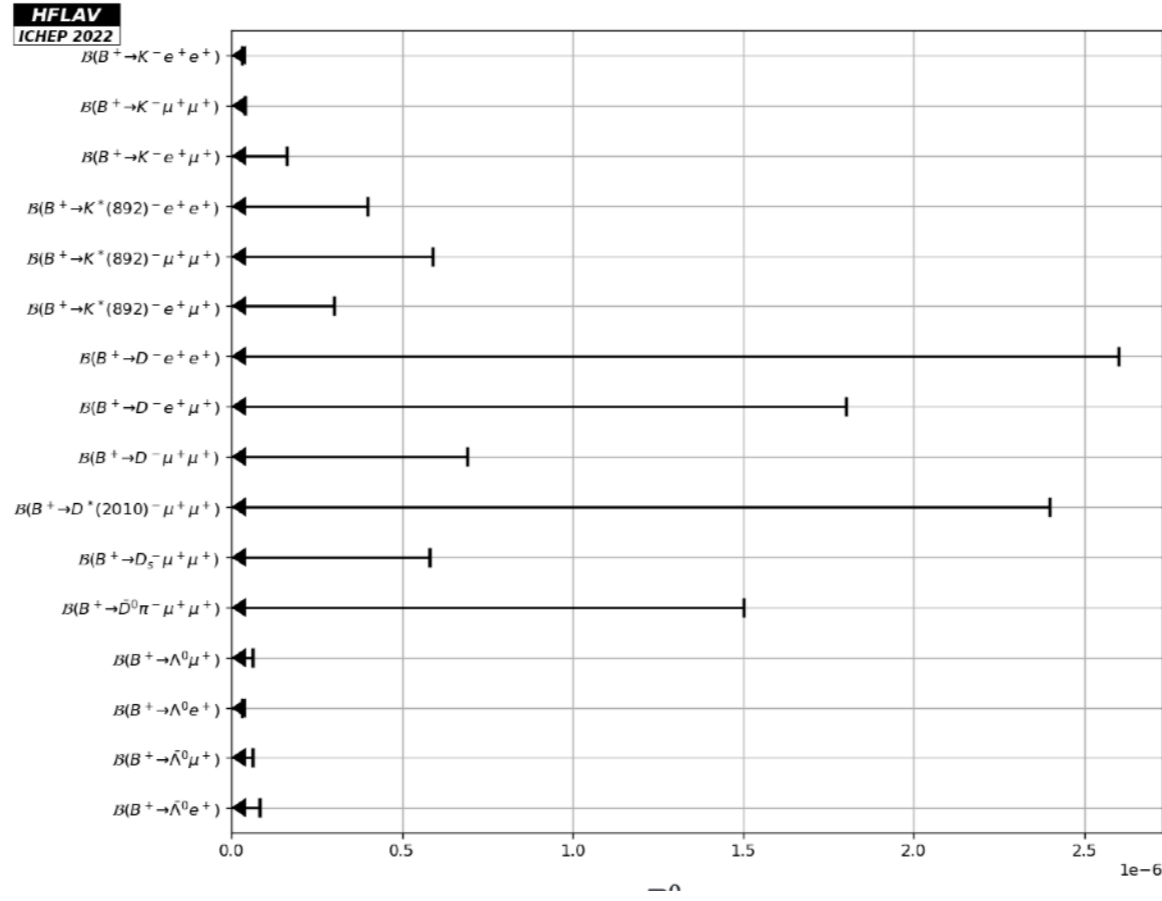
TABLES

Branching fractions of charmless semileptonic B^+ decays to LFV and LNV final states (part 1)

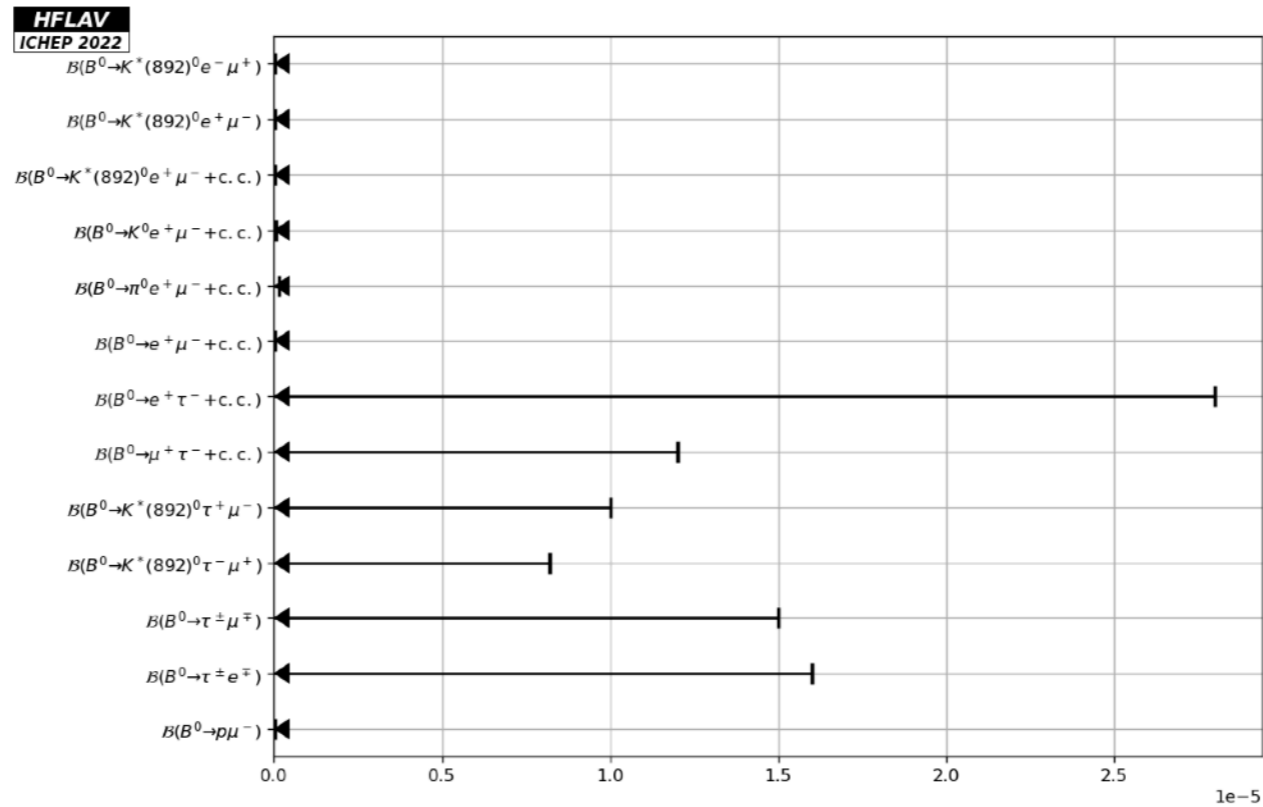


TABLES

Branching fractions of charmless semileptonic B^+ decays to LFV and LNV final states (part 2)



Branching fractions of charmless semileptonic B^0 decays to LFV and LNV final states



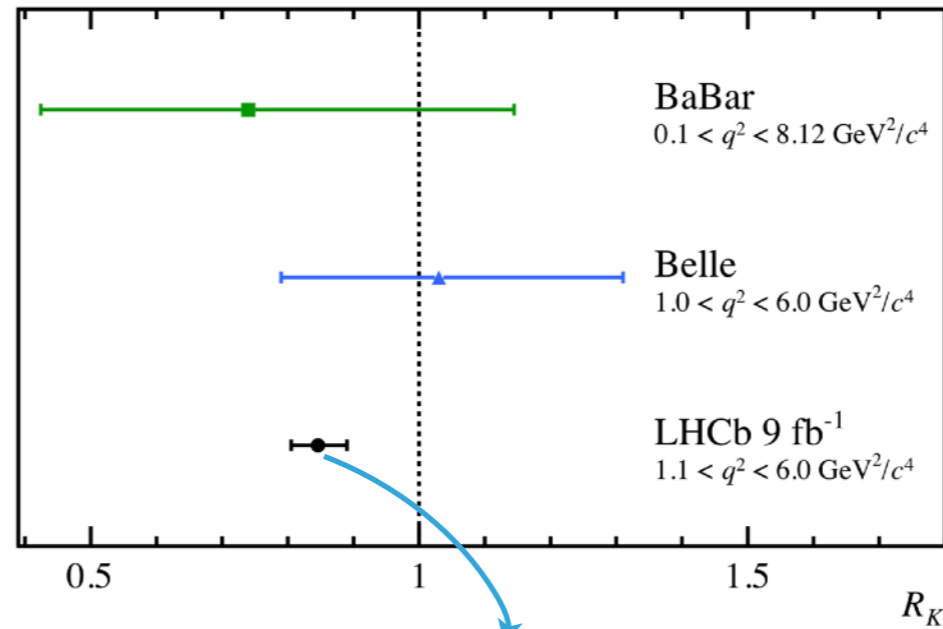
STATUS OF R_K AND PROSPECTS

Semi-leptonic B decays are showing tensions with the SM predictions that are connected to a possible violation of the Lepton Flavor Universality (LFU). Different behaviour of lepton generations in the process:

$b \rightarrow s l^+ l^-$ (neutral current): μ vs. e

$$R_K = \frac{\Gamma(B \rightarrow K \mu^+ \mu^-)}{\Gamma(B \rightarrow K e^+ e^-)} \Bigg|_{q^2 \in (q_{\min}^2, q_{\max}^2)}$$

$$R_K^{\text{exp}} < R_K^{\text{SM}} \sim 1$$



Belle 2021

$$R_K = 1.03^{+0.28}_{-0.24} \pm 0.01$$

$$q^2 \in (1.0, 6.0) \text{ GeV}^2/c^4 \quad (0.7 \text{ ab}^{-1})$$

[JHEP 03 (2021) 105]

LHCb 2021

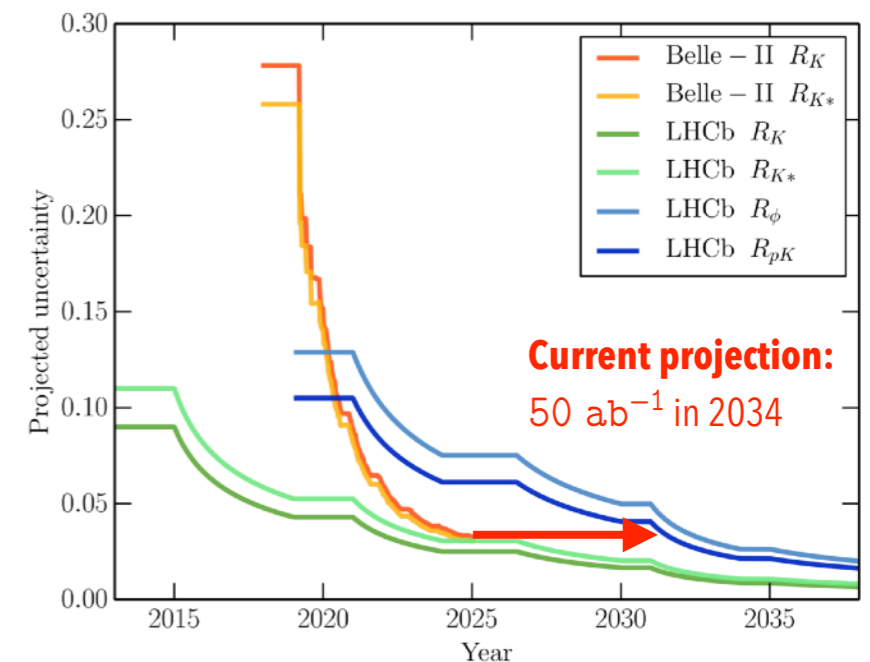
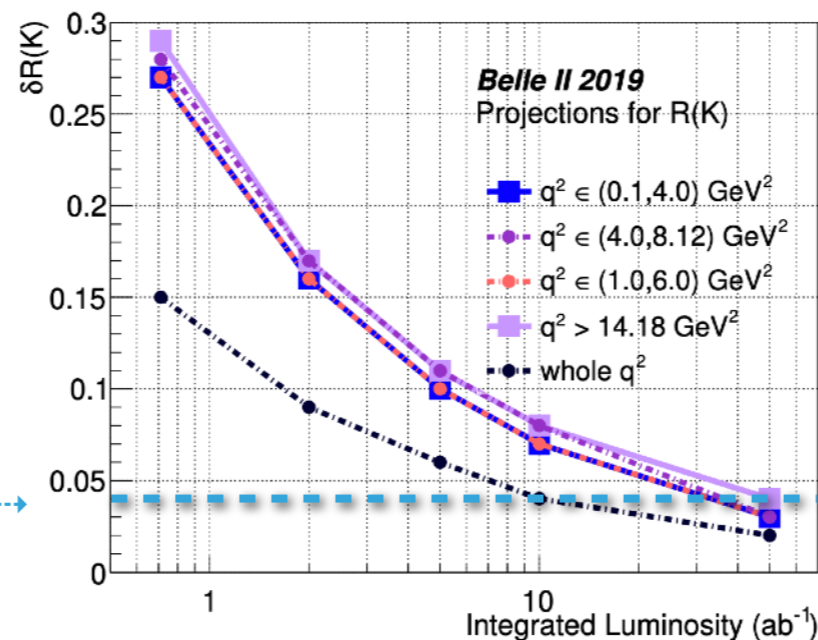
$$R_K = 0.846^{+0.042+0.013}_{-0.039-0.012}$$

$$\text{for } q^2 \in [1.1, 6.0] \text{ GeV}^2/c^4 \quad (9 \text{ fb}^{-1})$$

[arXiv:2103.11769v1]

Belle II will provide new, independent tests of the anomalies in the $b \rightarrow s l^+ l^-$ family!

Current LHCb precision →



SHAPE VARIABLES FOR CONTINUUM SUPPRESSION

Variables related to the B meson direction: the spin-1 $\Upsilon(4S)$ decaying into two spin-0 B mesons results in a $\sin^2 \theta_B$ angular distribution with respect to the beam axis; in contrast for $e^+e^- \rightarrow f\bar{f}$ events, the spin-1/2 fermions f , and its two resulting jets, are distributed following a $1 + \cos^2 \theta_B$ distribution. Using the angle θ_B between the reconstructed momentum of the B candidate (computed in the $\Upsilon(4S)$ reference frame) and the beam axis, the variable $|\cos \theta_B|$ allows one to discriminate between signal B decays and the B candidates from continuum background.

The **Fox-Wolfram moments**: for a collection of N particles with momenta p_i , the k -th order Fox-Wolfram moment H_k is defined as

$$H_k = \sum_{i,j}^n |\vec{p}_i| |\vec{p}_j| P_k(\cos \theta_{ij})$$

where θ_{ij} is the angle between p_i and p_j , and P_k is the k -th order Legendre polynomial. Notice that in the limit of vanishing particle masses, $H_0 = 1$; that is why the normalized ratio $R_k = H_k/H_0$ is often used, so that for events with two strongly collimated jets, R_k takes values close to zero (one) for odd (even) values of k . These sharp signatures provide a convenient discrimination between events with different topologies.

$$R_n = \frac{H_n}{H_0}$$

Thrust: for a collection of N momenta p_i ($i = 1, \dots, N$), the thrust axis T is defined as the unit vector along which their total projection is maximal; the thrust scalar T (or thrust) is a derived quantity defined as

$$T = \frac{\sum_{i=1}^N |\vec{T} \cdot \vec{p}_i|}{\sum_{i=1}^N |\vec{p}_i|}$$

For a BB event, both B mesons are produced almost at rest in the $\Upsilon(4S)$ rest frame, so their decay particles are isotropically distributed, their thrust axes are randomly distributed, and thus $|\cos \theta_T|$ follows a uniform distribution in the range $[0, 1]$. In contrast for $q\bar{q}$ events, the momenta of particles follow the direction of the jets in the event, and as a consequence the thrusts of both the B candidate and the ROE are strongly directional and collimated, yielding a $|\cos \theta_T|$ distribution strongly peaked at large values.

Cleo Cones: Set of nine variables corresponding to the momentum flow around the thrust axis of the B candidate, binned in nine cones of 10° around the thrust axis as illustrated

