## Neutrino Oscillation Measurements, Past and Present









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### **Early Neutrino Oscillation History**

### -1940's to 1960's:

- Neutrino oscillations were proposed by Pontecorvo in 1957 motivated by initial reports of measurements by Davis with a Chlorine detector at a reactor. At that point, the transitions being considered were electron neutrino to electron anti-neutrino.

- Interestingly, in a originally classified 1946 Chalk River report, Pontecorvo had proposed the detection of neutrinos from reactors and from the sun with a chlorine detector. (At that point, the distinction between neutrino and anti-neutrino was unknown.)

- In 1962, Maki, Nakagawa and Sakata considered the representation of electron and muon neutrinos in terms of  $\nu_1$  and  $\nu_2$  states.

- In 1968, Gribov and Pontecorvo suggested that one possible reason for low neutrino fluxes from the sun in Davis' experiment could be oscillation of electron neutrinos into muon neutrinos, undetectable by the chlorine detector.

## **Neutrino Oscillation History**

- 1970's:

- Accelerator based oscillation measurements CHORUS, NOMAD, CDHSW... No oscillation effects seen.

- Solar neutrinos: Davis continues at Homestake

-**1980's**:

- Kamiokande solar neutrinos: Confirms deficit

- Mikheyev, Smirnov (Wolfenstein) describe the **MSW** effect that modifies the behaviour of oscillations through matter interactions

- The Atmospheric neutrino anomaly: **IMB, Kamiokande**: The ratio of total muon neutrinos to total electron neutrinos is low by about a factor of two. Not seen in FREJUS, NUSEX.

<100m reactor based measurements find no oscillation evidence:</li>
 Bugey, Krasnoyarsk, ROVNO, Goesgen, ILL

- 1990's:

- Palo Verde, CHOOZ: no oscillation seen at ~ I km from reactor.
- SAGE, GALLEX, GNO confirm solar neutrino deficit for pp neutrinos.

- LSND finds small effect for muon neutrino to electron neutrino conversion, with restrictions by KARMEN, E776/BNL.

- **SuperKamiokande** finds clear disappearance of atm. mu neutrinos as a function of zenith angle that fits well the pattern for oscillations.

## **Neutrino Oscillation History**

### - 2000's:

- **SNO** observes clear flavor change for solar neutrinos. Appearance of muon or tau neutrinos

- **KamLAND** observes clear disappearance of electron anti-neutrinos from reactors with same oscillation parameters as electron neutrinos from the sun (with MSW effect applied).

- The number of experiments and results associated with neutrino oscillations expands greatly:

- Muon Neutrinos: KARMEN, K2K, MINOS, MiniBoone...
- Muon anti-neutrinos: MINOS, MiniBoone
- Solar Neutrinos: Borexino

### - 2010's:

- A dominant mechanism for neutrino flavor change appears to be oscillations among three active flavors of massive neutrinos. Parameters for these oscillations are becoming increasingly accurate and future experiments seek  $\theta_{13}$ , Hierarchy, Low Energy solar.....

- Other questions remain from results at the few sigma level in several experiments: Sterile neutrinos, CPT violation...

**Oscillation of 3 massive active neutrinos** is a dominant mechanism for flavor change. Neutrinos have a finite mass but only differences are known.



## Matter Effects – the MSW effect



The extra term arises because solar  $v_e$  have an extra interaction via W exchange with electrons in the Sun or Earth.

In the oscillation formula:  $\sin^{2} 2\theta_{m} = \frac{\sin^{2} 2\theta}{(\omega - \cos 2\theta)^{2} + \sin^{2} 2\theta}$   $\omega = -\sqrt{2}G_{F}N_{e}E/\Delta m^{2}$ 

> MSW effect can produce an energy spectrum distortion and flavor regeneration in Earth giving a Day-night effect. If observed, matter interactions define the mass hierarchy.

### As of 1997: "The Solar Neutrino Problem"

### Total Rates: Standard Model vs. Experiment

Bahcall-Pinsonneault



### **LSND 1996**

Measurement of muon antineutrino to electron antineutrino conversion at LAMPF facility:

Excess of  $51.8^{+18.7}_{-16.9} \pm 8$  events.



FIG. 31. Distribution of  $L/E_{\nu}$  for the beam-on data with high R compared with the expected distributions at  $(19eV^2, \sin^2 2\theta = 0.006$ : solid line),  $(4.3eV^2, \sin^2 2\theta = 0.01$ : dashed line), and  $(0.06eV^2, \sin^2 2\theta = 1$ .: dotted line).

Shaded: LSND accepted region Dashed: KARMEN exclusion Dotted: E776/BNL Exclusion DOT-DASH: Bugey Exclusion



FIG. 30. Plot of the LSND  $\Delta m^2 \, \text{vs} \sin^2 2\theta$  favored regions. The method used to obtain these contours is described in the text. The darkly-shaded and lightly-shaded regions correspond to 90% and 99% likelihood regions after the inclusion of the effects of systematic errors. Also shown are 90% C.L. limits from KARMEN at ISIS (dashed curve), E776 at BNL (dotted curve), and the Bugey reactor experiment (dot-dashed curve).



## Atmospheric Neutrinos

$$\frac{\frac{v_{\mu} + \overline{v}_{\mu}}{v_{e} + \overline{v}_{e}}(Observed)}{\frac{v_{\mu} + \overline{v}_{\mu}}{v_{e} + \overline{v}_{\mu}}(Calculated)}$$

Experiment

# Super-Kamiokande detector



- 50kton water
- ~2m OD viewed by 8-inch PMTs
- 32kt ID viewed by 20-inch PMTs
- 22.5kt fid. vol. (2m from wall)
- ~4.5MeV energy threshold

SK-I: April 1996~

Inner Detector (ID) PMT: ~11100 (SK-I,III,IV), ~5200 (SK-II) Outer Detector (OD) PMT: 1885

### SUPERKAMIOKANDE 1998: Atmospheric Neutrinos

"The data are **consistent with two-flavor**  $v_{\mu} \rightarrow v_{\tau}$  **oscillations** with  $\sin^2 \theta > 0.82$  and  $5 \times 10^{-4} < \Delta m^2 < 6 \times 10^{-3} \text{ eV}^2$  at the 90% confidence level."





### **SNO Results: Pure Heavy Water: 2001, 2002**



 $v_e + d \rightarrow p + p + e^-(CC)$   $v_x + d \rightarrow p + n + v_x(NC)$   $v_x + e^- \rightarrow v_x + e^-(ES)$ where  $x = e, \mu, \tau$ Equal sensitivity for NC,

6 times larger for e in ES





Clear indication of oscillation from  $v_e$  to other active neutrinos ( $v_{\mu}$  or  $v_{\tau}$ )





### KamLAND 2002, updated in 2004:

- 182 GW of reactor power in Japan, Korea
- Average distance 180 km
- 515 days vs 145 days in 2002 paper
- 258 events vs 365 +- 24 expected for no oscillations

• 
$$\overline{V}_e + p \rightarrow n + e^+$$



A fit to a simple rescaled reactor spectrum is excluded at 99.89% CL (χ²=43.4/19)

**Δm<sup>2</sup>=8.3·10<sup>-5</sup> eV<sup>2</sup>** sin<sup>2</sup>20=0.83 Straightforward χ<sup>2</sup> on the histo is 19.6/11

Best fit to

oscillations:

Using equal probability bins  $\chi^2/dof=18.3/18$ (goodness of fit is 42%)

## Combined solar v - KamLAND 2-flavor analysis



$$\Delta m_{12}^2 = 8.2 + 0.6 \times 10^{-5} eV^2$$

$$\tan^2 \theta_{12} = 0.40 + 0.09 - 0.07$$



### **Solar Neutrinos**



2000's: SNO, Kamland, SuperK, SAGE, Borexino continue to improve their statistics and/or analyses providing further restrictions on the m<sub>12</sub> parameters:

## SK-IV <sup>8</sup>B Flux 567 days



#### KamLAND Reactor $\overline{V}$

Improves accuracy of  $\Delta m^2$ 



Phase I

### SuperKamiokande Atmospheric Neutrinos

Zenith angle & lepton momentum distributions : SK-I+II+III



 $v_{\mu} - v_{\tau}$  oscillation (best fit) null oscillation e-like μ-like momentum Live time: SK-I 1489d (FCPC) 1646d (Upmu) SK-II 799d (FCPC) 827d (Upmu) SK-III 518d (FCPC) 636d (Upmu)

μ–like samples show large deficits in the upwardgoing bins that are well described by oscillations. Continuing with the discussion of further experiments observing oscillations of active neutrinos related to m<sub>23</sub> mixing:

K2K has provided a very nice confirmation of the SuperK Atmospheric results by shooting a neutrino beam 250 km from the KEK accelerator to SuperK and observing  $v_{\mu}$  disappearance.



# The MINOS Experiment



# $V_{\mu} \rightarrow V_{\mu}$ Far Detector Energy Spectrum





Oscillations fit the data well, 66% of experiments have worse χ<sup>2</sup>
 Pure decoherence<sup>†</sup> disfavored: > 8σ
 Pure decay<sup>‡</sup> disfavored: > 6σ

(7.80 if NC events included)

†G.L. Fogli et al., PRD 67:093006 (2003) ‡V. Barger et al., PRL 82:2640 (1999)





P. Vahle, Neutrino 2010

# **OPERA**

# The first $\nu_{\mu \rightarrow} \, \nu_{\tau}$ candidate event was found



Observation of a first  $\nu_\tau$  candidate event in the OPERA experiment in the CNGS beam

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Event number 9234119599,
taken on 22 August 2009, 19:27 (UTC), opened June 10, 2010
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• If one considers all  $\tau$  decay modes which were included in the search, the probability to observe 1 event for a background fluctuation is 4.5%. This corresponds to a significance of 2.01  $\sigma$ .

• OPERA expects about 2 events per year with a total running period of about 5 years.



### **NEUTRINO OSCILLATIONS 2010**

### Valle Nu2010

Update of Schwetz et al, NJP 10 (2008) 113011





[rev. Maltoni et al, NJP 6 (2004) 122]



Homestake, SAGE GALLEX/GNO, Super-K, SNO-leta, SSM Borexino KamLAND (180 Km)



... Super-K K2K (250 Km) MINOS latest app (735 Km)

## THETA13 in 2010 Update of NJP 10 (2008) 113011



# **FUTURE**

Huber, Lindner, Schwetz, Winter, 2009 Mezzetto,Schwetz, 2010



## **Precision Reactor Experiments**

L. Mikaelyan, arXiv:hep-ex/0008046v2 (Krasnoyarsk)

**Objective: Determine**  $\theta_{13}$ 



build nearly identical detectors with nearly identical efficiency

**Kearns NUFACT09** 

## Long Baseline Oscillations for: $\theta_{13}$ , Hierarchy (Matter), CP Violation, (also $\theta_{23}$ from $\nu_{\mu} \rightarrow \nu_{\mu}$ )

Sub leading  $u_{\mu} - 
u_{e}$  oscillations



Now for some surprises...

MINOS  $\overline{v}_{\mu}$  result





- Expected (no osc.): 155 events
- Observed: 97 events

No oscillation is disfavored at 6.3σ

Danko NNN2010







- ~2σ inconsistency
- more antineutrino running is under way to improve nu-bar measurement



See also SuperK: Jeff Wilkes at 11:15 today

Danko NNN2010

### **MiniBoone**

### Reminders of some pre-unblinding choices



- Large backgrounds from mis-ids reduce S/B.
- Many systematics grow at lower energies, especially on signal.
- Most importantly, not a region of L/E where LSND observed a significant signal!



## MiniBoone



### Neutrino mode MB results (2009)

- 6.5E20 POT collected in neutrino mode
- E > 475 MeV data in good agreement with background prediction
  - energy region has reduced backgrounds and maintains high sensitivity to LSND oscillations.
  - A two neutrino fit rules out LSND at the 90% CL assuming CP conservation.
- E < 475 MeV, statistically large (6σ) excess
  - Reduced to 3σ after systematics, shape inconsistent with two neutrino oscillation interpretation of LSND.
     Excess of 129 +/- 43 (stat+sys) events is consistent with magnitude of LSND oscillations.





#### Published PRL 102,101802 (2009)

## MiniBoone

Consistent with LSND

## New Antinuetrino Result with 5.66E20 POT





R. Van de Water Nu2010

More running has been approved

ICARUS – 600 Tons of Liquid Ar now in operation at Gran Sasso observing the neutrino beam from CERN.

- Can observe  $v_{\tau}$  similar to OPERA.
- Can observe  $v_{\mu} \rightarrow v_{e}$  to study LSND and MiniBoone physics.



Improved data for solar neutrinos also restricts possible subdominant oscillation effects such as:

- Mass varying neutrinos
- Flavor changing neutral currents
- Low mass sterile neutrinos



After Borexino Data

June 16 2010

Calaprice

### The Reactor Antineutrino Anomaly: G. Mention et al: arXiv:0179257 (Th. A. Mueller et al: arXiv:1101.2663)



Jan 14.2011

• Very careful, detailed work by the authors who also state: "We would like to stress here that other explanations are also possible, such as a correlated artifact in the experiments, or an erroneous prediction of the antineutrino flux from the nuclear reactor cores."

- Reactor Flux normalization is increased by over 3% in the new calculation mainly due to change in calculation of Coulomb and Weak Magnetism corrections for fission product beta decay. Uncertainty still assigned as < 1% for these corrections because of stated improvements in the calculations.
- 2.7% total reactor flux uncertainty mainly via 1985 measurements of fission product electron spectra.
  Average of experimental measurements assigned 1% uncertainty, dominated by Bugey4 with 1.4 % result.
- •The authors also call for future experiments for verification, such as short baseline reactor neutrino measurements or neutrino source measurements in a detector with energy and spatial resolution.

Other experiments cited in the Reactor antineutrino anomaly paper with effects possibly arising from a sterile neutrino with  $|\Delta m^2| > \sim 1 \text{ eV}^2$ :

- <sup>51</sup>Cr and <sup>37</sup>Ar source measurements for SAGE and GNO experiments: Observed/Predicted =  $0.86 \pm 0.05$
- MiniBoone (C. Giunti, M. Laveder, Phys. Rev. D82 (2010) 053005)
- Numbers of Neutrinos fit for Cosmological data: •WMAP + BAO:  $4.35 \pm 0.87$ , WMAP + Atacama:  $5.3 \pm 1.3$ 
  - Non-standard Big Bang Nucleosynthesis:  $3.78 \pm 0.75$

Assuming a 3+1 sterile neutrino scenario (2+2 is disfavored by solar+KamLAND+ atmospheric), the effects in other experiments from a sterile neutrino with sin<sup>2</sup>  $2\theta_{14} \sim 0.2$  and  $|\Delta m^2| \sim 1.5 \text{ eV}^2$  would be:

- Small change in CHOOZ limit (They had used BUGEY4 to normalize).
- Very small effect on mass 1-2 and 2-3 mixing parameters except a small reduction in the  $\theta_{13}$  value derived from KamLAND and solar.
- Contribution to KATRIN v mass measurement at ~ 0.2 eV level.
- Contribution to neutrino-less double beta decay at ~ 0.02 eV<sup>2</sup> level.
- About 8% reduction in the expected active solar neutrino flux.





## Summary of Experiments: (Weapons of Mass Instruction) (neutrino) (and mixing) • Known Knowns

- Known Unknowns 2-3 Hierarchy, absolute mass, Majorana/Dirac,  $\theta_{13}$ ,  $\delta$
- Semi-known previously unknowns

Sterile v, CPT violation??

- Unknown Unknowns?? Who Knows?? That's the fun!!
- Stay tuned as running proceeds

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