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Leptogenesis and

neutrino masses

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The double side of Leptogenesis

Cosmology (early Universe)

• <u>Cosmological Puzzles</u>:



- 2. Matter antimatter asymmetry
- 3. Inflation
- 4. Accelerating Universe
- <u>New stage in early Universe history</u>:
 - < 10¹⁴ GeV Inflation — Leptogenesis
 - 100 GeV EWSSB
 - 0.1-1 MeV BBN
 - 0.1-1 eV Recombination



Leptogenesis complements low energy neutrino experiments testing the seesaw mechanism high energy parameters

⇒ It provides a precious information on the BSM physics responsible for neutrino masses and mixing: a model builders compass

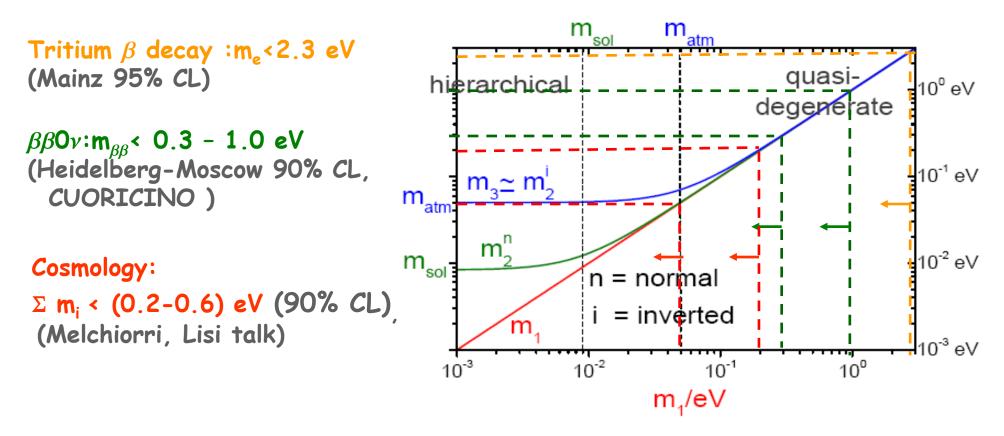
Primordial matter-antimatter asymmetry Symmetric Universe with matter-anti matter domains? Excluded by CMB + cosmic rays $\Rightarrow \eta_{B}^{MB} = \frac{n_{B} - n_{B}}{n_{v}} = (6.2 \pm 0.15) \times 10^{-10}$ Pre-existing ? It conflicts with inflation ! (Dolgov '97) \Rightarrow dynamical generation (baryogenesis) (Sakharov '67)

Neutrino masses: $m_1 < m_2 < m_3$

neutrino mixing data

2 possible schemes: normal or inverted

$$m_3^2 - m_2^2 = \Delta m_{\rm atm}^2 \text{ or } \Delta m_{\rm sol}^2 \quad m_{\rm atm} \equiv \sqrt{\Delta m_{\rm atm}^2 + \Delta m_{\rm sol}^2} \simeq 0.05 \,\text{eV}$$
$$m_2^2 - m_1^2 = \Delta m_{\rm sol}^2 \text{ or } \Delta m_{\rm atm}^2 \quad m_{\rm sol} \equiv \sqrt{\Delta m_{\rm sol}^2} \simeq 0.009 \,\text{eV}$$



Minimal scenario

•Type I seesaw

$$\mathcal{L}_{\rm mass}^{\nu} = -\frac{1}{2} \left[\left(\bar{\nu}_L^c, \bar{\nu}_R \right) \left(\begin{array}{cc} 0 & \boldsymbol{m}_D^T \\ \boldsymbol{m}_D & \boldsymbol{M} \end{array} \right) \left(\begin{array}{c} \nu_L \\ \boldsymbol{\nu}_R^c \end{array} \right) \right] + h.c.$$

In the see-saw limit ($M\gg m_D$) the spectrum of mass eigenstates splits in 2 sets:

• 3 light neutrinos ν_1, ν_2, ν_3 with masses

 $diag(m_1, m_2, m_3) = -U^{\dagger} m_D \frac{1}{M} m_D^T U^{\star}$

• 3 new heavy RH neutrinos N_1, N_2, N_3 with masses $M_3 > M_2 > M_1 \gg m_D$

•<u>Thermal production of the RH neutrinos</u> \Rightarrow $T_{RH} \gtrsim M_i$

An impossible task ?

Is it possible to reconstruct m_D and M just from low energy neutrino experiments measuring m_i and U_{PMNS}?

(Casas,Ibarra'01)
$$m_{\nu} = -m_D \frac{1}{M} m_D^T \Leftrightarrow \Omega^T \Omega = I$$

$$\begin{bmatrix} m_D \\ m_D \end{bmatrix} = \begin{bmatrix} U \begin{pmatrix} \sqrt{m_1} & 0 & 0 \\ 0 & \sqrt{m_2} & 0 \\ 0 & 0 & \sqrt{m_3} \end{pmatrix} \Omega \begin{pmatrix} \sqrt{M_1} & 0 & 0 \\ 0 & \sqrt{M_2} & 0 \\ 0 & 0 & \sqrt{M_3} \end{pmatrix} \begin{bmatrix} U^{\dagger} U \\ U^{\dagger} & m_{\nu} & U^{\star} \end{bmatrix} = \begin{bmatrix} U \\ U^{\dagger} & m_{\nu} & U^{\star} \end{bmatrix}$$

(in the basis where charged lepton and Majorana mass matrices are diagonal)

parameter counting: 6 + 3 + 6 + 3 = 18

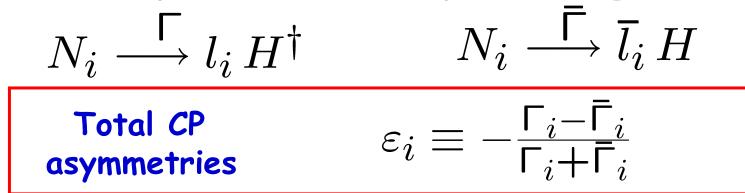
However, hand neutrino experiments give information only on the 9 parameters contained in $m_{\nu} = -U D_m U^T$

The 6 parameters in the orthogonal matrix Ω [it encodes the 3 life times and the 3 total CP asymmetries of the RH neutrinos and it is an invariant (King '07)] + the 3 masses M_i escape the conventional investigation !

Leptogenesis is important to obtain information on the high energy parameters complementing the low energy neutrino experiments

The simplest description: vanilla leptogenesis

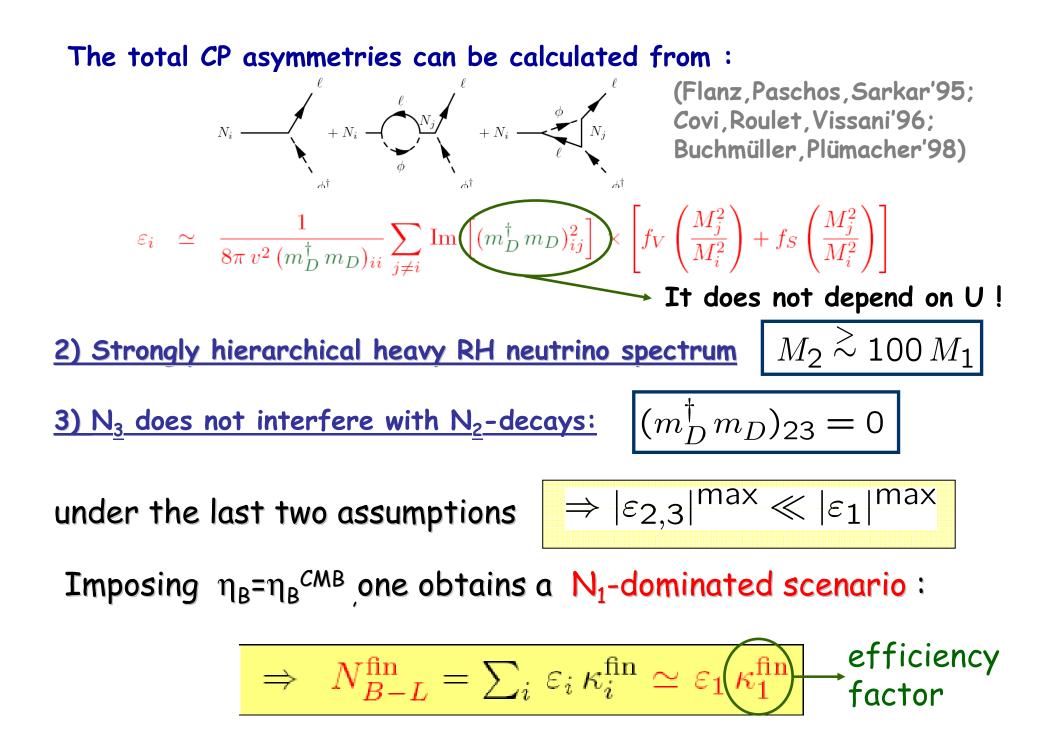
1) Flavor composition of final leptons is neglected



If $\epsilon_i \neq 0$ a lepton asymmetry is generated from N_i decays and partly converted into a baryon asymmetry by sphaleron processes if $T_{reh} \gtrsim 100 \text{ GeV}$! (Kuzmin, Rubakov, Shaposhnikov, '85)

$$N_{B-L}^{\text{fin}} = \sum_{i} \varepsilon_{i} \kappa_{i}^{\text{fin}} \Rightarrow \eta_{B} = a_{\text{sph}} \frac{N_{B-L}^{\text{fin}}}{N_{\gamma}^{\text{rec}}} \qquad \begin{array}{c} \text{baryon-to} \\ -\text{photon} \\ number \\ \text{ratio} \end{array}$$

efficiency factors \simeq # of N_i decaying out-of-equilibrium Successful leptogenesis : $\eta_B = \eta_B^{CMB} = (6.2 \pm 0.15) \times 10^{-10}$



4) Barring fine-tuned mass cancellations

$$|\Omega_{ij}^2| \stackrel{<}{\sim} 1$$

\Rightarrow Upper bound on ϵ_1

(Davidson, Ibarra '02)

$$\varepsilon_1 \le 10^{-6} \left(\frac{M_1}{10^{10} \,\mathrm{GeV}} \right) \frac{m_{\mathrm{atm}}}{m_1 + m_3}$$

5) Classical Kinetic equations integrated on momenta

decays

$$\frac{dN_{N_{1}}}{dz} = -D_{1}\left(N_{N_{1}}, N_{N_{1}}^{eq}\right) \longrightarrow \text{ inverse decays}$$

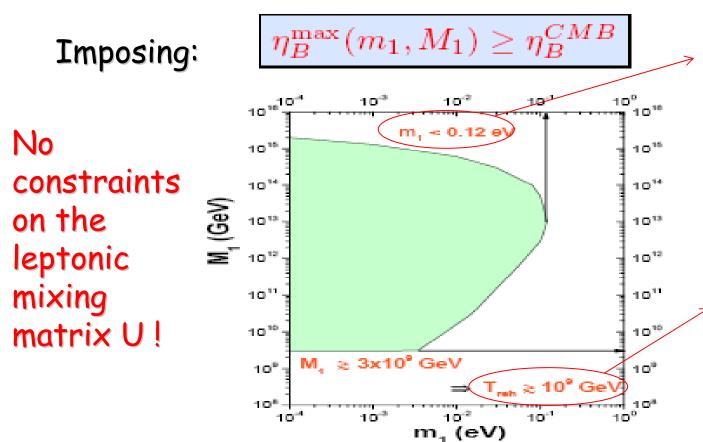
$$\frac{dN_{B-L}}{dz} = -\varepsilon_{1} \frac{dN_{N_{1}}}{dz} \longrightarrow \text{ wash-out}$$

$$\Rightarrow \kappa_{1}(z; K_{1}, z_{in}) = -\int_{z_{in}}^{z} dz' \left[\frac{dN_{N_{1}}}{dz'}\right] e^{-\int_{z'}^{z} dz'' W_{1}(z'')} \qquad z \equiv \frac{M_{1}}{T}$$

Neutrino mass bounds

(Davidson,Ibarra '02;Buchmüller,PDB,Plümacher '02,'03,'04; Giudice et al. '04) <u>N₁ - dominated scenario</u>

$$\Rightarrow N_{B-L}^{\text{fin}} = \sum_i \varepsilon_i \kappa_i^{\text{fin}} \simeq \varepsilon_1 \kappa_1^{\text{fin}}$$

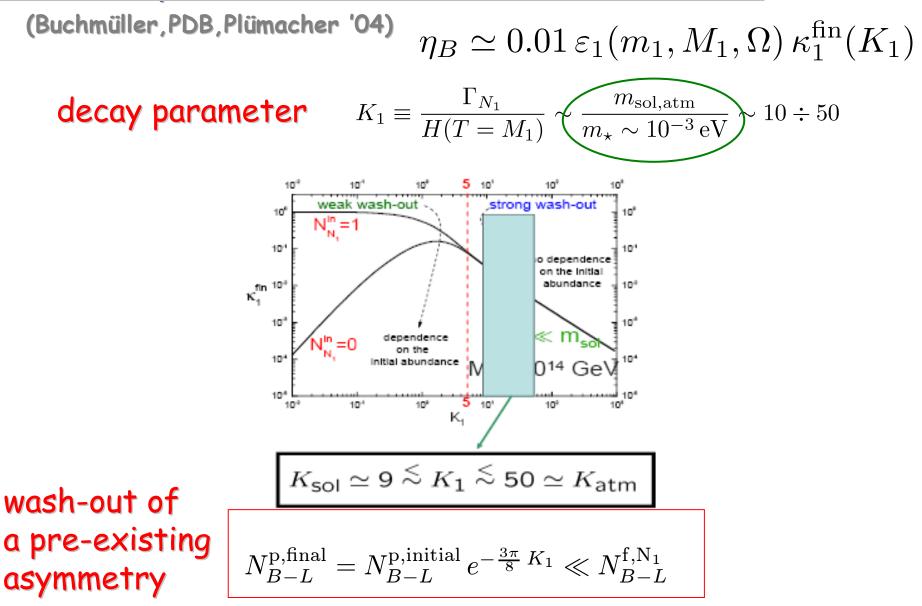


Vanilla leptogenesis is not compatible with quasi-deg. neutrinos

> These large temperatures in gravity mediated SUSY models suffer from the gravitino problem

An encouraging coincidence

The early Universe "knows" neutrino masses ...



Beyond vanilla Leptogenesis

The degenerate limit Non minimal Leptogenesis (in type II seesaw, non thermal,....)

Vanilla Leptogenesis Improved Kinetic description (momentum dependence, quantum kinetic effects,finite temperature effects,......)

Flavour Effects

(heavy flavour effects, light flavour effects, light+heavy flavour effects)

Improved kinetic description

• Momentum dependence in Boltzmann equations (Hannestad' 06; Hahn-Woernle, M. Plümacher, Y.Wong'09; Pastor, Vives'09)

Kadanoff-Baym equations

(Buchmüller,Fredenhagen '01; De Simone,Riotto '07; Garny,Hohenegger, Kartavtsev,Lindner '09; Anisimov,Buchmüller,Drewes,Mendizibal '09; Beneke, Garbrecht, Herranen, Schwaller '10)

The asymmetry is directly calculated in terms of Green functions instead than in terms of number densities and they account for offshell, memory and medium effects in a systematic way

All studies confirm what also happens for other effects (e.g. inclusion of scatterings) and that is expected: large theoretical uncertainties in the weak wash-out regime, limited O(1) corrections in the strong wash-out regime where the asymmetry is produced in a narrow range of temperatures for T << M_i (Buchmüller,PDB,Plümacher)

Light neutrino flavour effects

(Nardi,Nir,Roulet,Racker '06;Abada,Davidson,Losada,Josse-Michaux,Riotto'06; Blanchet, PDB, Raffelt '06; Riotto, De Simone '06)

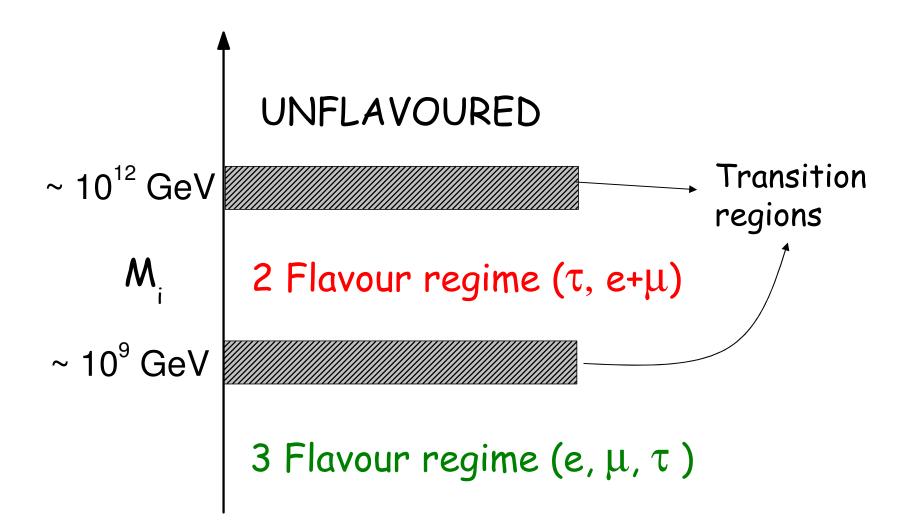
Flavor composition of lepton quantum states:

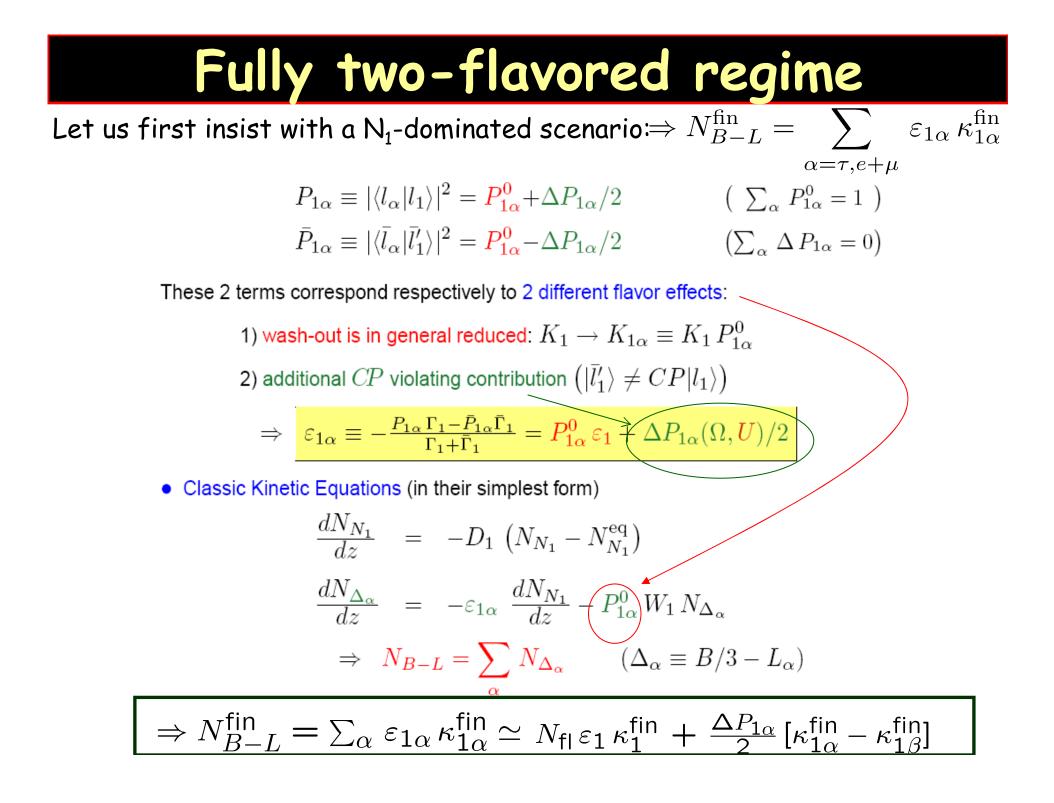
$$\begin{aligned} |l_i\rangle &= \sum_{\alpha} \langle l_{\alpha} | l_i \rangle | l_{\alpha} \rangle \quad (\alpha = e, \mu, \tau) \\ |\overline{l}'_i\rangle &= \sum_{\alpha} \langle l_{\alpha} | \overline{l}'_i \rangle | \overline{l}_{\alpha} \rangle \end{aligned}$$

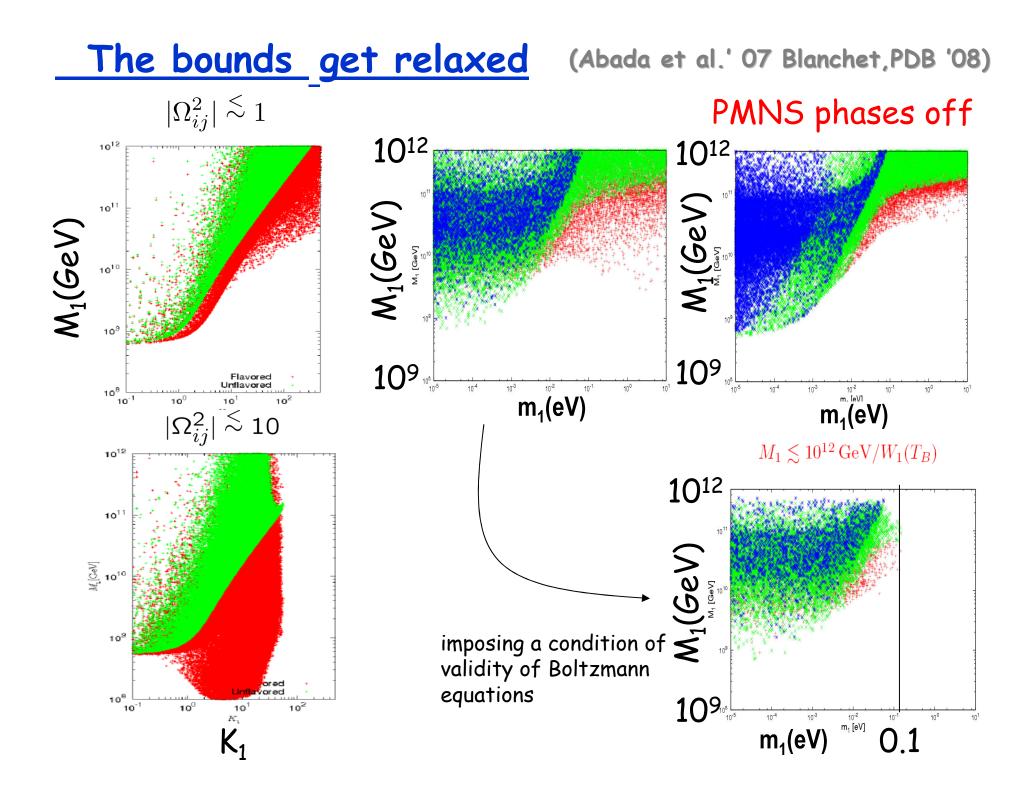
- interactions are flavour blind for $M_i \gtrsim 10^{12} \text{ GeV}$
- But for $M_i \leq 10^{12} \text{ GeV} \implies \tau$ -Yukawa interactions $(\bar{l}_{L\tau} \phi f_{\tau\tau} e_{R\tau})$ are fast enough to break the coherent evolution of $|l_1\rangle$ and $|\bar{l}_1'\rangle$ \implies they become an incoherent mixture of a τ and of μ +e If $M_1 \leq 10^9$ GeV then also μ -Yukawas in equilibrium \implies 3-flavor regime

$$\Rightarrow N_{B-L}^{\text{fin}} = \sum_{i,\alpha} \varepsilon_{i\alpha} \kappa_{i\alpha}^{\text{fin}} \quad (\alpha = e, \mu, \tau)$$

$$\xrightarrow{\text{heavy neutrino}}_{\text{flavor index}} \text{lepton flavor index}$$







Heavy neutrino flavour effects: N_2 -dominated scenario

(PDB '05)

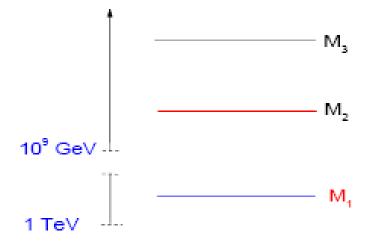
If lepton flavour effects are neglected the asymmetry from the next-to-lightest (N_2) RH neutrinos is typically negligible:

$$N_{B-L}^{f,N_2} = \varepsilon_2 \kappa(K_2) \, e^{-\frac{3\pi}{8} \, K_1} \ll N_{B-L}^{f,N_1} = \varepsilon_1 \, \kappa(K_1)$$

...except for a special choice of $\Omega = R_{23}$ when $K_1 = m_1/m_* \ll 1$ and $\epsilon_1 = 0$:

$$\Rightarrow \boxed{N_{B-L}^{\rm fin} = \sum_i \, \varepsilon_i \, \kappa_i^{\rm fin} \simeq \varepsilon_2 \, \kappa_2^{\rm fin}}_{2} \qquad \varepsilon_2 \stackrel{<}{\sim} 10^{-6} \left(\frac{M_2}{10^{10} \, {\rm GeV}}\right)$$

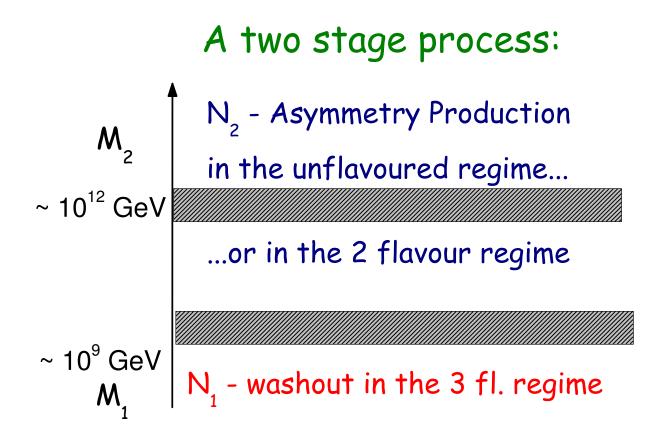
The lower bound on M_1 disappears and is replaced by a lower bound on M_2 ... that however still implies a lower bound on T_{reh} !



N₂-flavored leptogenesis

(Vives '05; Blanchet, PDB '06; Blanchet, PDB '08)

Combining together lepton and heavy neutrino flavour effects one has

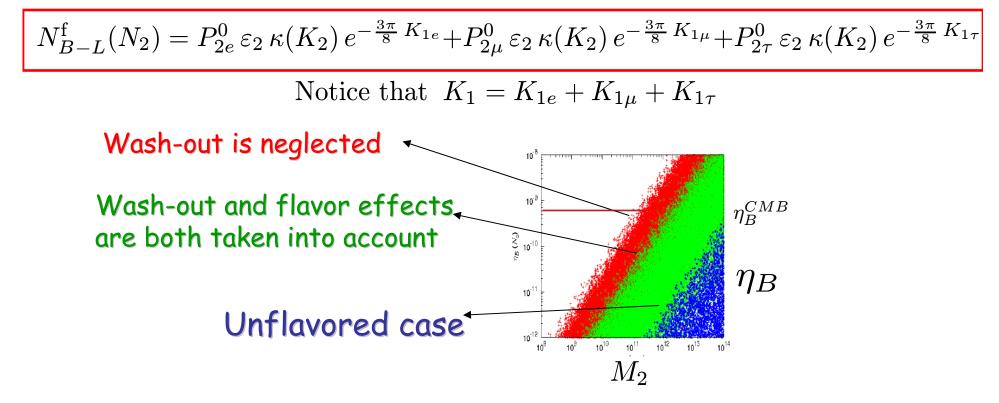


Notice that the presence of the heaviest RH neutrino N_3 is necessary for the CP asymmetries of $N_2\,$ not to be negligible !

N₂-flavored leptogenesis

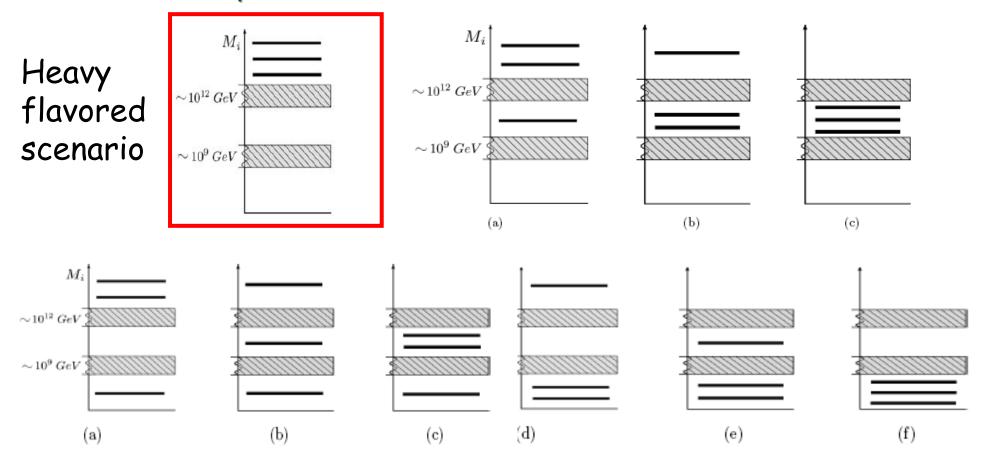
(Vives '05; Blanchet, PDB '06; Blanchet, PDB '08)

If (for definiteness) $M_2 \gtrsim 10^{12} \; GeV \Rightarrow$



Thanks to flavor effects the domain of applicability extends much beyond the particular choice $\Omega = R_{23}$!

More generally one has to distinguish 10 different RH neutrino mass patterns (Bertuzzo,PDB,Marzola '10)



For each pattern a specific set of kinetic equations has to be considered

Heavy flavored scenario

(Engelhard, Nir, Nardi '08, Bertuzzo, PDB, Marzola '10)

Assume $M_{i+1} > 3M_i$ (i=1,2)

The heavy neutrino flavours basis is not orthogonal in general and this complicates the calculation of the final asymmetry

$$p_{ij} = |\langle \ell_i | \ell_j \rangle|^2 \qquad p_{ij} = \frac{\left| (m_D^{\dagger} m_D)_{ij} \right|^2}{(m_D^{\dagger} m_D)_{ii} (m_D^{\dagger} m_D)_{jj}}.$$

$$N_{B-L}^{\text{lep}}(T_{B1}) = N_{\Delta_{1}}^{\text{lep}}(T_{B1}) + N_{\Delta_{\tilde{1}}}^{\text{lep}}(T_{B1}) ,$$

$$N_{\Delta_{1}}^{\text{lep}}(T_{B1}) = p_{21} p_{32} \varepsilon_{3} \kappa(K_{3}) e^{-\frac{3\pi}{8}(K_{1}+K_{2})} + p_{21} \varepsilon_{2} \kappa(K_{2}) e^{-\frac{3\pi}{8}K_{1}} + p_{\tilde{2}_{31}}(1-p_{32}) \varepsilon_{3} \kappa(K_{3}) e^{-\frac{3\pi}{8}K_{1}} + \varepsilon_{1} \kappa(K_{1})$$

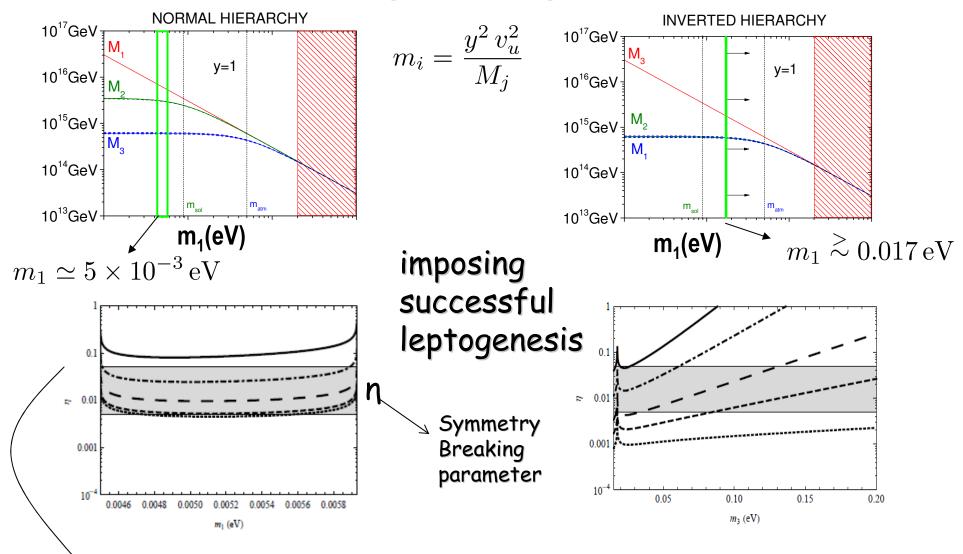
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 $N_{\Delta_{\tilde{1}}}^{\text{lep}}(T_{B1}) = (1 - p_{21}) \left[p_{32} \varepsilon_3 \kappa(K_3) e^{-\frac{3\pi}{8}K_2} + \varepsilon_2 \kappa(K_2) \right]$ $+ (1 - p_{\tilde{2}_{31}}) (1 - p_{32}) \varepsilon_3 \kappa(K_3) .$

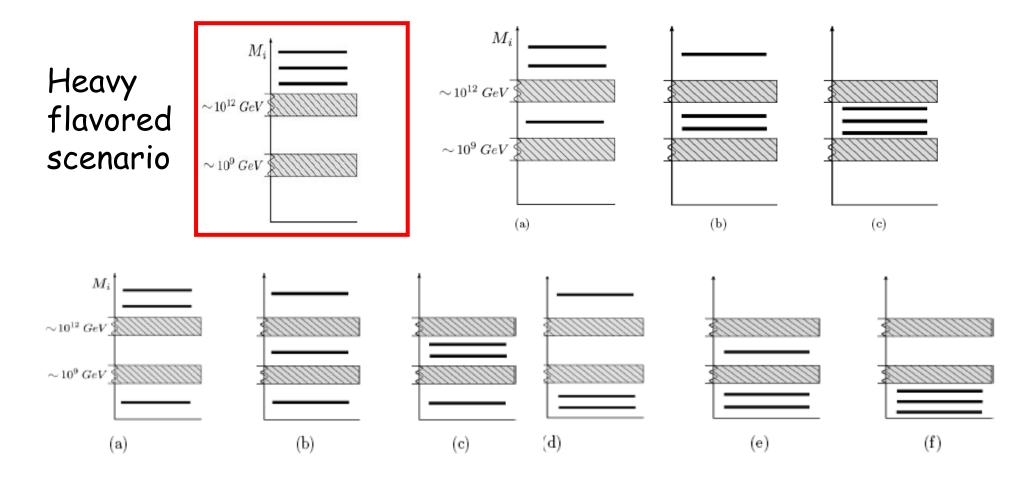
Some deviation from orthogonality (it is realized in form dominance models discussed in King's talk) is typically necessary since otherwise (e.g. with tri-bimaximal mixing) one would have vanishing CP asymmetries and therefore no asymmetry produced from leptogenesis (Antusch, King, Riotto '08; Aristizabal, Bazzocchi, Merlo, Morisi '09)

Heavy flavoured scenario in models with A4 discrete flavour symmetry

(Manohar, Jenkins'08;Bertuzzo,PDB,Feruglio,Nardi '09;Hagedorn,Molinaro,Petcov '09)



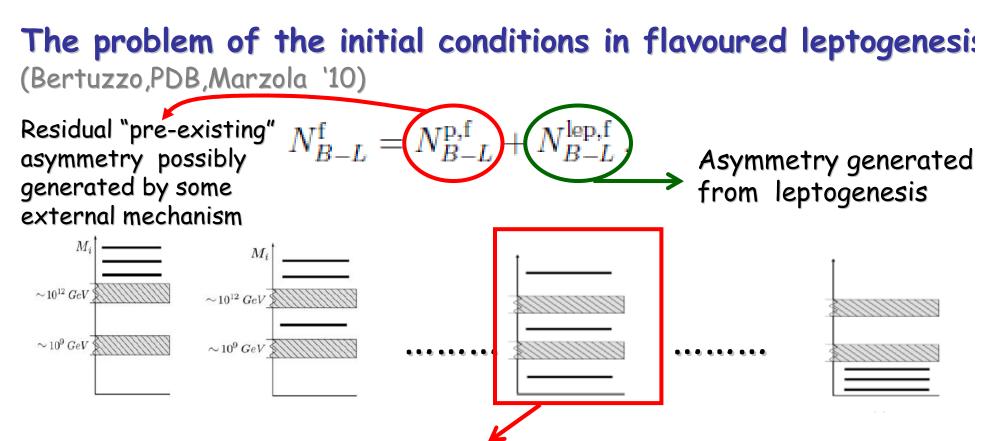
* The different lines correspond to values of y between 0.3 and 3



For each pattern a specific set of kinetic equations has to be considered

Baryogenesis and the early Universe history	
T _{RH} = ?	Inflation Affleck-Dine (at preheating) Gravitational baryogenesis GUT baryogenesis
10 ⁸ GeV -	<u>Leptogenesis (minimal)</u>
100 GeV	- EWBG
0.1- 1 MeV	- BBN
0.1-1 eV	- Recombination

T



The wash-out of a pre-existing asymmetry is guaranteed only in a N₂-dominated scenario where the final asymmetry is dominantly in the tauon flavour (loophole:in supersymmetric models(Antusch,King,Riotto'06) also in N₁ dominated scenarios with $\tan^2 \beta \ge 20$)

This mass pattern is particularly interesting because it is just that one realized in SO(10) inspired models

SO(10)-inspired leptogenesis

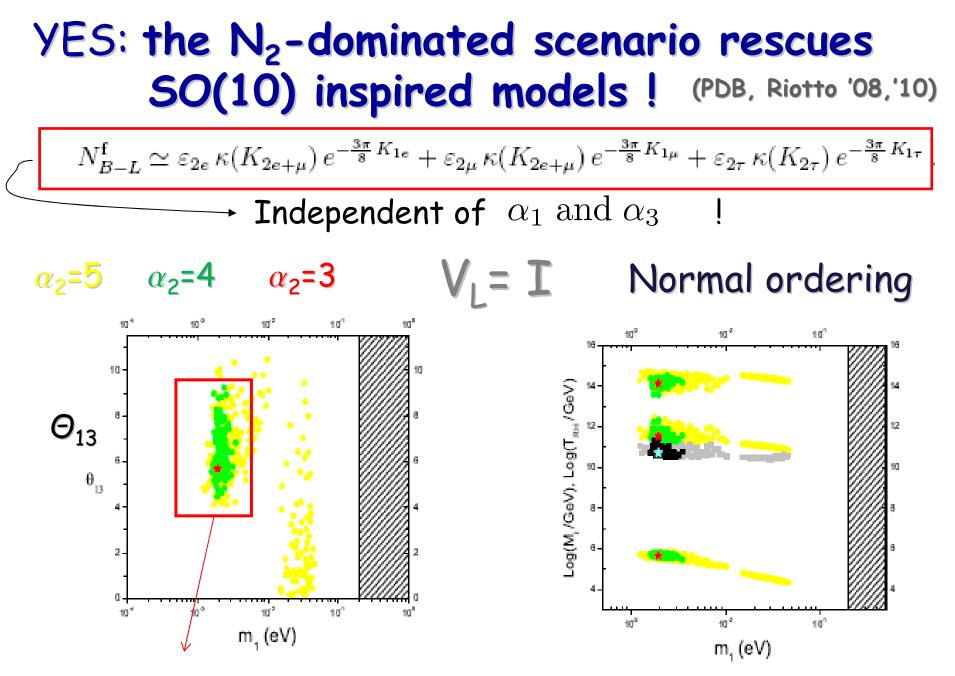
(Branco et al. '02; Nezri, Orloff '02; Akhmedov, Frigerio, Smirnov '03)

Expressing the neutrino Dirac mass matrix m_D (in the basis where the Majorana mass and charged lepton mass matrices are diagonal):

 $m_{D} = V_{L}^{\dagger} D_{m_{D}} U_{R} \quad \text{(bi-unitary parametrization)}$ where $D_{m_{D}} = \text{diag}\{\lambda_{D1}, \lambda_{D2}, \lambda_{D3}\}$ and
assuming: 1) $\lambda_{D1} = \alpha_{1} m_{u}, \lambda_{D2} = \alpha_{2} m_{c}, \lambda_{D3} = \alpha_{3} m_{t}, \quad (\alpha_{i} = \mathcal{O}(1))$ 2) $V_{L} \simeq V_{CKM} \simeq I$

one typically obtains (barring fine-tuned exceptions):

$$\begin{split} M_1 \sim \alpha_1^2 \, 10^5 \text{GeV} \,, \, M_2 \sim \alpha_2^2 \, 10^{10} \, \text{GeV} \,, \, M_3 \sim \alpha_3^2 \, 10^{15} \, \text{GeV} \\ \text{since } M_1 \,\, \checkmark \, 10^9 \, \text{GeV} \, \Rightarrow \eta_{\text{B}}(N_1) \,\, \checkmark \, \eta_{\text{B}}^{\text{CMB}} \,\, ! \\ \Rightarrow \text{failure of the } N_1 \text{-dominated scenario } ! \end{split}$$



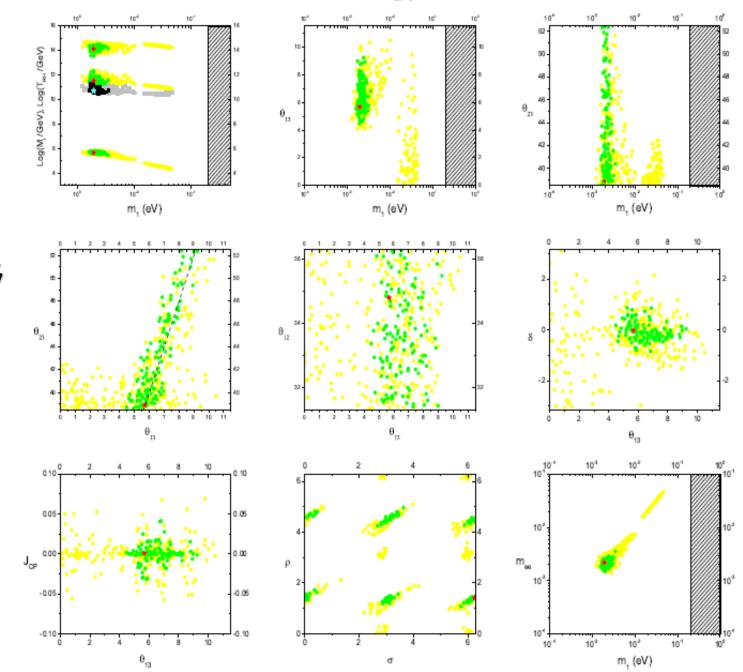
lower bound on Θ_{13}

Vanishing initial N₂ abundance

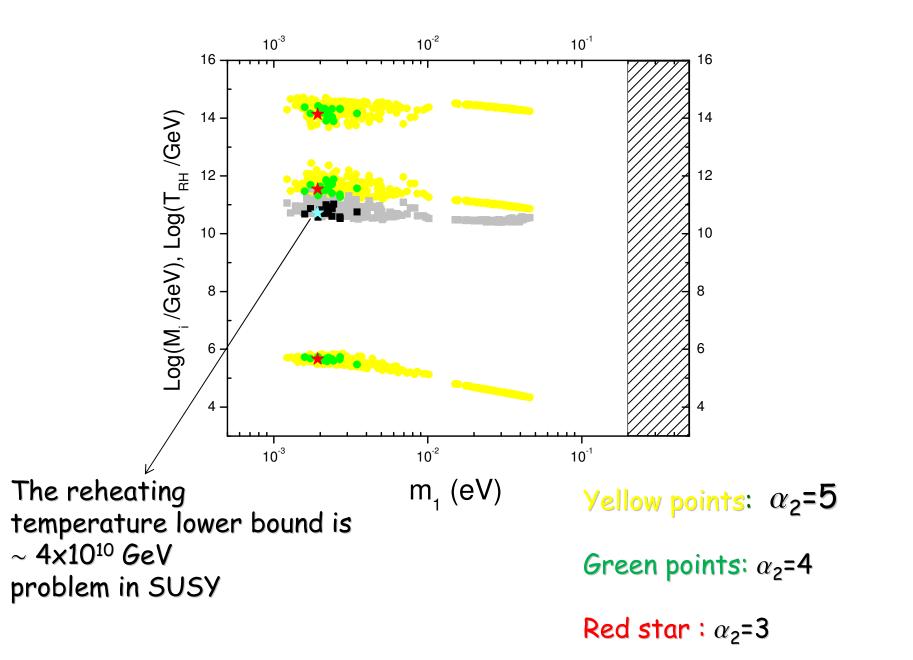
The model yields constraints on all low energy neutrino observables !

V_L= I

NORMAL ORDERING

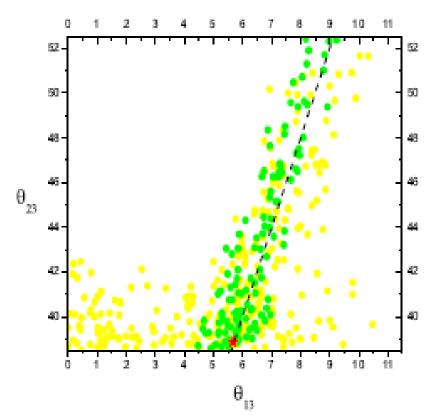


(PDB, Riotto '10)





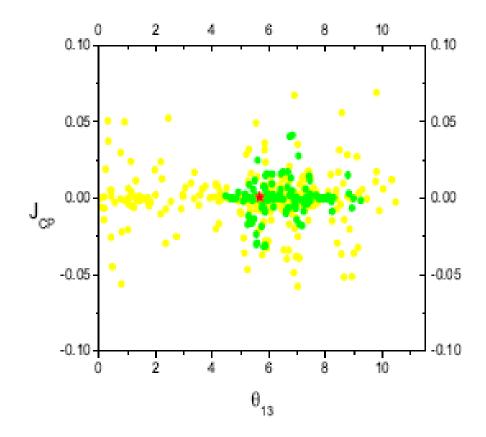
correlation between Θ_{13} and Θ_{23}



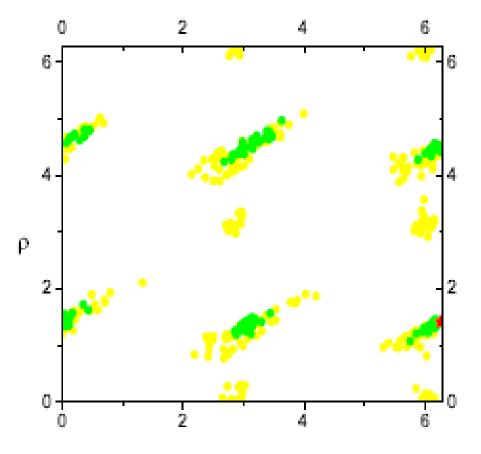
Low values of the atmospheric angle are strongly favoured and maximal mixing is very marginally allowed and excluded for $\Theta_{13} < 6^{\circ}$

Yellow points: α_2 =5 Green points: α_2 =4 Red star : α_2 =3

The model does not seem to predict necessarily CP violation in neutrino oscillations

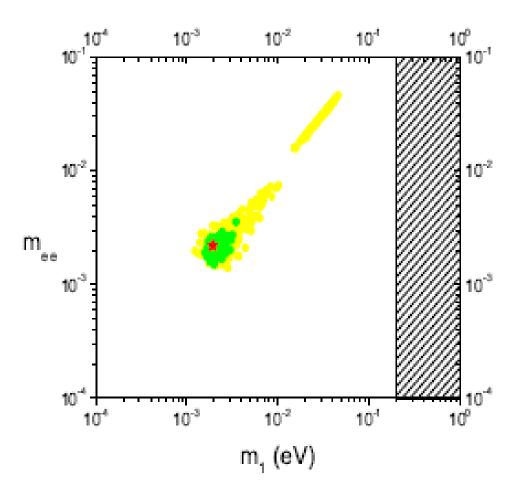


On the other hand the Majorana phases play a crucial role



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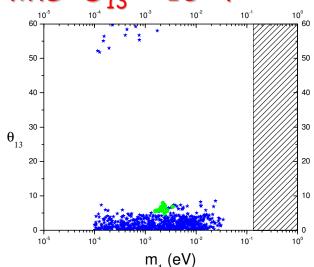
Effective Majorana mass small but non vanishing and unambiguosly related to m_1

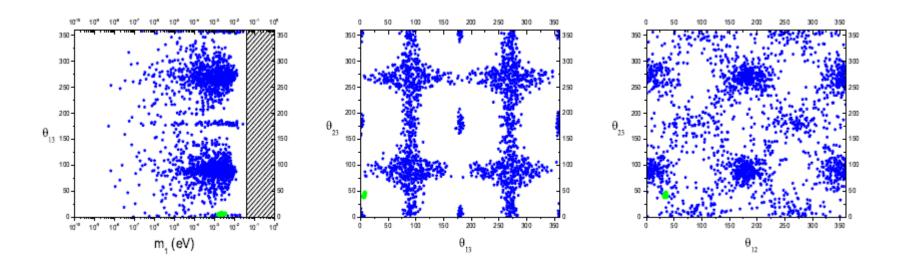


A third encouraging coincidence ! (PDB, Riotto '10)

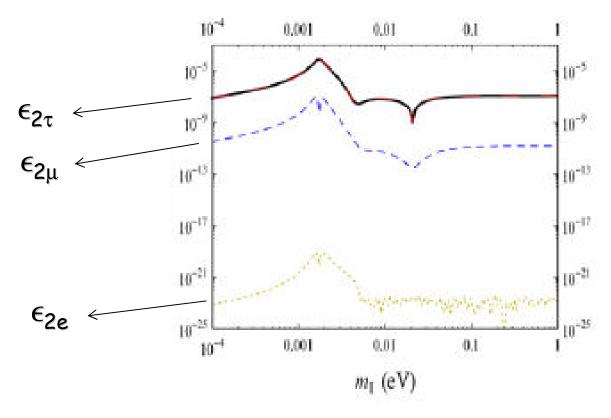
The scenario seems to like $\Theta_{13} < 10^{\circ}!$

Blue points: α_2 =4 and mixing angles let free in (0,60°) Green points: α_2 =4 and current experimental constraints imposed on mixing angles





For the solution with $m_1 \sim 3 \times 10^{-3} \text{ eV}$ the asymmetry is dominantly produced in the tauon flavour since $\epsilon_{2\tau,\mu,e} \propto (m_{t,c,\mu})^2$



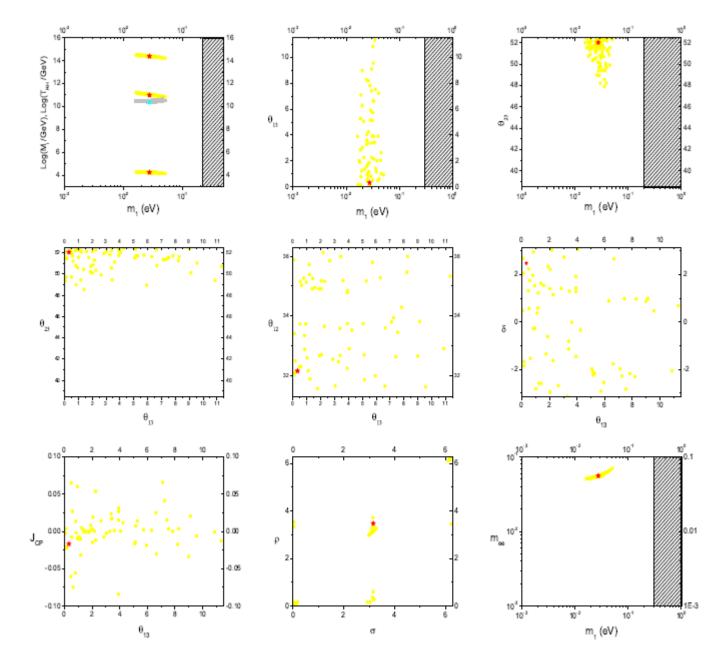
For these solutions all conditions for a full independence of the initial confitions are fullfilled !

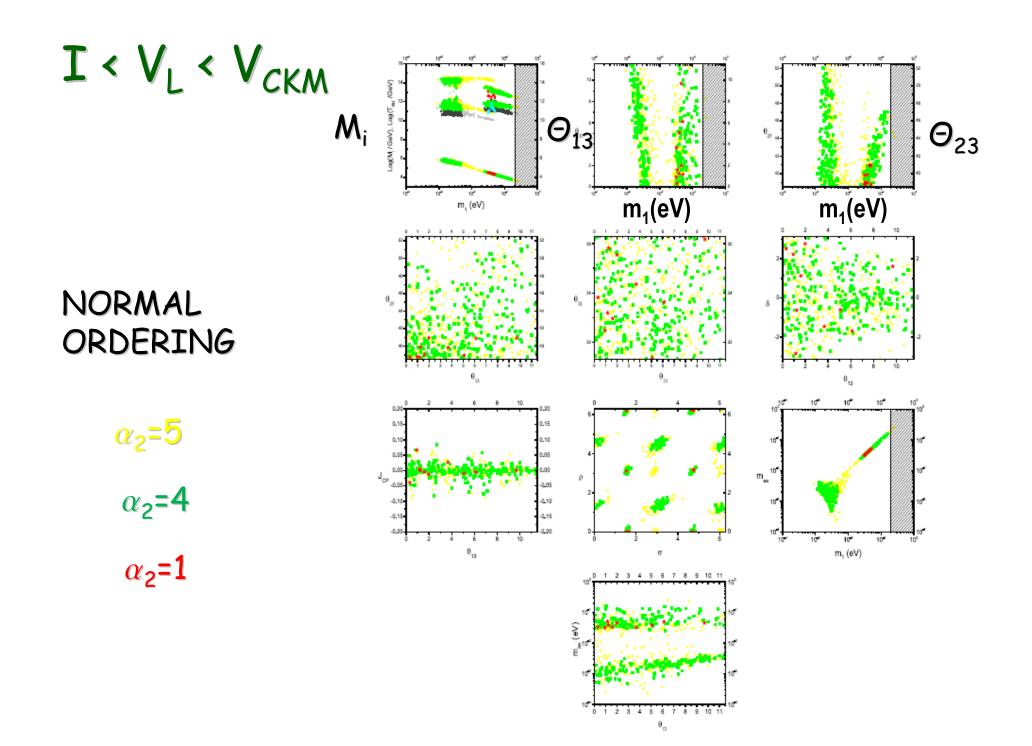
The model yields constraints on all low energy neutrino observables !

V_L= I



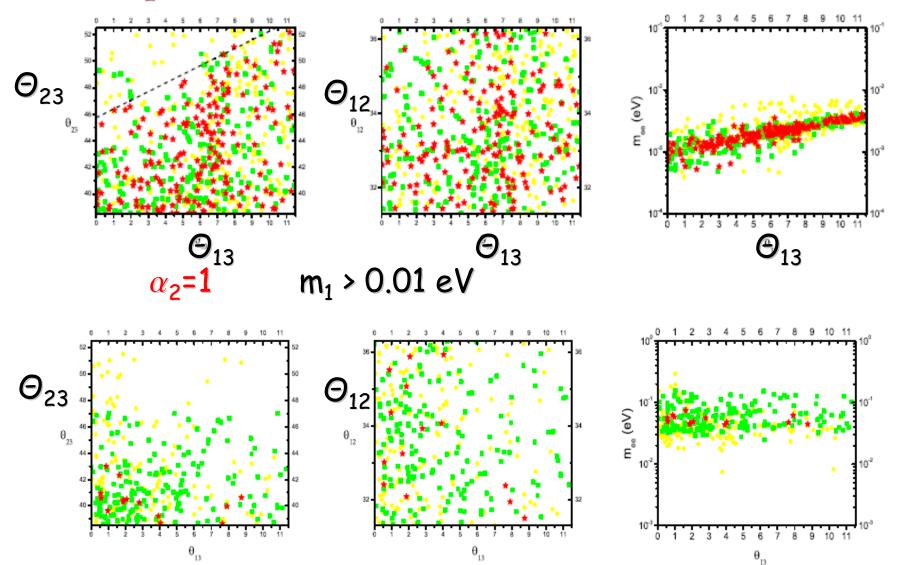
*α*₂=4.7





 $I < V_L < V_{CKM}$ NORMAL ORDERING $\alpha_2=5 \alpha_2=4$

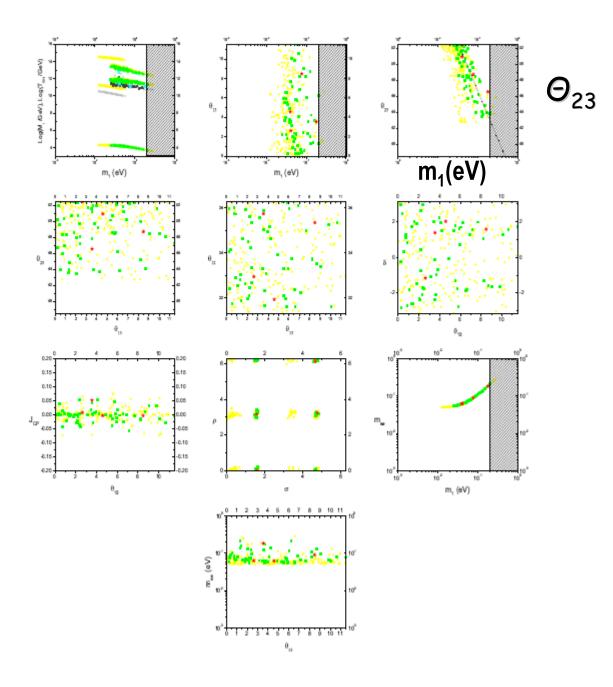
 $\alpha_2=3.7$ m₁ < 0.01 eV



 $I < V_L < V_{CKM}$

INVERTED ORDERING

 $\alpha_2 = 5$ $\alpha_2 = 4$ $\alpha_2 = 1.5$



Conclusions

Leptogenesis is an important way to complement low energy neutrino experiments to test the see-saw mechanism since the high energy parameters are involved as well.

Leptogenesis+low energy neutrino experiments are still not sufficient to over-constraint the see-saw parameter space in a general case and ine has

i)either to look for additional phenomenologies (LFV processes ? EDM's ?, collider physics ?)

or

ii) Restrict the parameter space imposing some assumption

For example SO(10)-inspired models are potentially predictive. They Are ruled out in a traditional N_1 -dom scenario but when production from N_2 neutrinos is taken into account they are viable and produce interesting constraints on the light neutrino mass matrix parameters