



## Toward EIC: an ALICE perspective A. Rossi, INFN Padova

Giornata Nazionale EIC\_NET

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#### Outline

**Disclaimer:** this talk **does not represent a comprehensive wishlist** of what EIC could provide to better understand and complement ALICE physics.

Rather, the focus is on a series of measurements that highlighted that heavy-quark **hadronisation is not well understood, in particular baryon production,** and, contrary to expectations, already in proton-proton collisions fragmentation functions (FF) cannot be FF considered universal **i.e. FF universality is broken already in pp.** 

On the other hand, charm and beauty quarks can be exploited as ideal "perturbative" probes to study the hadronisation phase in all collision systems



UNIVER

#### Factorisation: a very successful framework for HF mesons!



JHEP 05 (2021) 220 ; EPJC 79 (2019) 5, 388

FONLL: JHEP 10 (2012) 137

Prompt and non-prompt D meson production described within uncertainties by pQCD-based calculations based on factorisation approach.

Plethora of results at the LHC:

- wide  $p_{T}$ , y coverage
- for both charm and beauty mesons

$$\frac{\mathrm{d}\sigma^{\mathrm{D}}}{\mathrm{d}p_{\mathrm{T}}}(p_{\mathrm{T}}^{\mathrm{D}};\mu_{\mathrm{F}};\mu_{\mathrm{R}}) = PDF(x_{1},\mu_{\mathrm{F}})PDF(x_{2},\mu_{\mathrm{F}}) \otimes \frac{\mathrm{d}\sigma^{\mathrm{c}}}{\mathrm{d}p_{\mathrm{T}}^{\mathrm{c}}}(x_{1},x_{2};\mu_{\mathrm{F}};\mu_{\mathrm{R}}) \otimes D_{c \to D}(z=\frac{p_{\mathrm{D}}}{p_{\mathrm{c}}};\mu_{\mathrm{F}})$$

Fragmentation functions  $(D_{c-D})$  often <u>assumed</u> "universal": once constrained to e<sup>+</sup>e<sup>-</sup> and ep data they are used in different collision systems and energies.

#### Factorisation: a very successful framework for HF mesons!

JHEP 05 (2021) 220 ; EPJC 79 (2019) 5, 388



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Fragmentation functions  $(D_{c-D})$  often <u>assumed</u> "universal": once constrained to e<sup>+</sup>e<sup>-</sup> and ep data they are used in different collision systems and energies.

Naïve expectation: ratios of particle-species yields independent from collision system  $\rightarrow$  holds for mesons

## **Λ**<sup>+</sup><sub>c</sub> cross section in pp and p-Pb collisions at $\sqrt{s_{NN}}$ = 5 TeV

PRC 104 054905 (2021) PRL 127 202301 (2021)



 $\Lambda_c^+$  production significantly underestimated by pQCD-based models

GM-VFNS: PRD 101 (2020) 114021 POWHEG: JHEP 09 (2007) 126 PYTHIA6: JHEP 05 (2006) 026 CT14 NLO: Phys. Rev. D 93, 033006 (2016) **5** 

## $\Lambda_c^+/D^0$ ratio in pp collisions at 5 TeV



PRC 104 054905 (2021), <u>arXiv:2011.06079</u> PRL 127 202301 (2021), <u>arXiv:2011.06078</u> CMS, PLB 803 13428 (2020)  $\Lambda_c^+/D^0$  ratio higher (x4-5) values at low  $p_T$  than  $e^+e^-$ , ep

Significantly decreasing with  $p_{\rm T}$ 

	$\Lambda_c^+/D^0 \pm stat. \pm syst.$	System	√s (GeV)	Notes
ALICE	$0.51 \pm 0.04 \pm 0.04 \substack{+0.01 \\ -0.02}$	pp	5020	$p_{\rm T} > 0,  y  < 0.5$
ALICE	$0.43 \pm 0.03 \pm 0.05 \substack{+0.05 \\ -0.03}$	p-Pb	5020	$p_{\rm T} > 0, -0.96 < y < 0.04$
CLEO [16]	$0.119 \pm 0.021 \pm 0.019$	e <sup>+</sup> e <sup>-</sup>	10.55	
ARGUS [15, 17]	$0.127 \pm 0.031$	e <sup>+</sup> e <sup>-</sup>	10.55	
LEP average [18]	$0.113 \pm 0.013 \pm 0.006$	e <sup>+</sup> e <sup>-</sup>	91.2	
ZEUS DIS [21]	$0.124 \pm 0.034 \substack{+0.025 \\ -0.022}$	e <sup>-</sup> p	320	$1 < Q^2 < 1000 \ {\rm GeV^2}, \label{eq:pt}$ $0 < p_{\rm T} < 10 \ {\rm GeV}/c, \ 0.02 < y < 0.7$
ZEUS γp, HERA I [19]	$0.220 \pm 0.035 \substack{+0.027 \\ -0.037}$	e <sup>-</sup> p	320	$130 < W < 300 \text{ GeV}, Q^2 < 1 \text{ GeV}^2,$ $p_{\text{T}} > 3.8 \text{ GeV}/c,  \eta  < 1.6$
ZEUS γp, HERA II [20]	$0.107 \pm 0.018 \substack{+0.009 \\ -0.014}$	e <sup>-</sup> p	320	$130 < W < 300 \text{ GeV}, Q^2 < 1 \text{ GeV}^2,$ $p_T > 3.8 \text{ GeV}/c,  \eta  < 1.6$

What should we expect in eA? Trivial guess would be ~ep ... to be demonstrated!

## $\Lambda_c^+/D^0$ ratio in pp collisions vs. models (1)



Default PYTHIA8 (Monash, EPJC 74 (2014) 3024 ), standard Lund string fragmentation

 Light quark/diquark pairs popping out from QCD color-confinement potential (← strings)

- $\circ \qquad \text{Diquarks} \leftrightarrow \text{baryons}$
- Hadronisation of different MPI products
   largely independent
- Reproduces fragmentation functions used in pQCD-based calculations



HERWIG7 (EPJC 58 (2008) 639-707), cluster hadronisation

Undershoot data by factor about 5 and do not catch  $p_{\tau}$  shape

PRC 104 054905 (2021), <u>arXiv:2011.06079</u> PRL 127 202301 (2021), <u>arXiv:2011.06078</u>

## $\Lambda_c^+/D^0$ ratio in pp collisions vs. models (2)



PRC 104 054905 (2021), <u>arXiv:2011.06079</u> PRL 127 202301 (2021), <u>arXiv:2011.06078</u>

#### Data described by:

#### **PYTHIA8 with String Formation beyond Leading Colour**

approximation (JHEP 1508 (2015) 003).

More complete and realistic (=closer to QCD) colour-reconnection (CR) scheme

- "...between which partons do confining potentials arise?"

Junction reconnection topologies  $\rightarrow$  enhance baryons.



## $\Lambda_c^+/D^0$ ratio in pp collisions vs. models (3)



PRC 104 054905 (2021), <u>arXiv:2011.06079</u> PRL 127 202301 (2021), <u>arXiv:2011.06078</u>

#### Data described by:

**PYTHIA8 with String Formation beyond Leading Colour** 

## **Catania model: coalescence + "vacuum" fragmentation** (arxiv 2012.12001)

Expanding system of thermalised light quarks and gluons "Sudden" (fixed temperature) coalescence:  $f_{-}$  = phase-space distributions

$$\frac{dN_H}{dyd^2P_T} = g_H \int \prod_{i=1}^{N_q} \frac{d^3p_i}{(2\pi)^3 E_i} p_i \cdot d\sigma_i f_{q_i}(x_i, p_i)$$

 $p_{T,i}$ 

p, (GeV)

 $\Lambda_{c}$ 

$$\times f_H(x_1...x_{N_q}, p_1...p_{N_q}) \,\delta^{(2)} \left( P_T - \sum_{i=1}^{N_{r_q}} \delta^{(2)} \right) \, d^{(2)} \left( P_T - \sum_{i=1}^{N_{r_q}} \delta^{(2)} \right) \, d^{(2)} \, d$$

 $f_{\rm H}$  = phase-space distributions quarks within hadron

## $\Lambda_c^+/D^0$ ratio in pp collisions vs. models (4)



PRC 104 054905 (2021), <u>arXiv:2011.06079</u> PRL 127 202301 (2021), <u>arXiv:2011.06078</u>

#### Data described by:

**PYTHIA8 with String Formation beyond Leading Colour** 

#### Catania model: coalescence + "vacuum" fragmentation

#### **SH+PDG/RQM**, PLB 795 117-121 (2019):

Hadron abundances based on statistical hadronisation model + feed-down from augmented set

of charm-baryon states (from RQM)

→ PDG: 5  $\Lambda_c$ , 3  $\Sigma_c$ , 8  $\Xi_c$ , 2  $\Omega_c$ → RQM: additional 18  $\Lambda_c$ , 42  $\Sigma_c$ , 62  $\Xi_c$ , 34  $\Omega_c$ 

Why not (easily) seen in e<sup>+</sup>e<sup>-</sup>? Can they be looked for in EIC?



### Several arrows in the quiver

	Particle	Mass (GeV/c²)
$\left( \sum_{c} 0, ++ \right)$	D <sup>0</sup>	1.865
	D <sup>+</sup>	1.870
ospin	$D_{s}^{+}$	1.968
	$\Lambda_{c}^{+}$	2.286
(cud) (csd,csu) (css)	${\Sigma_{c}}^{0,++}$	2.454
Sel	$\Xi_{c}^{0}$	2.470
strangeness content	$\Xi_{c}^{+}$	2.468
$D^{0,+}$ $D_{c}^{+}$	$\mathbf{\Omega}_{c}^{0}$	2.695
(cū,cd) (cs)		

# $\Sigma_c^{0,++}$ production and $\Lambda_c^+ \leftarrow \Sigma_c^{0,++}$ feedown

Belle, PRD 97, 072005 (2018)



Courtesy of C. Bierlich

 $\Sigma_c^{0,++}/D^0$  and  $\Lambda_c^+ \leftarrow \Sigma_c^{0,+,++}$  feedown

PRL 128 (2022) 012001, arxiv 2106.08278



About x2 increase of  $\Lambda_c^+ \leftarrow \Sigma_c^{0,+,++}$  feed-down  $\rightarrow \Sigma_c^{0,+,++}$  "enhancement" larger than  $\Lambda_c^+$  one  $\rightarrow \Sigma_c^{0,+,++}$  produced differently in pp than e<sup>+</sup>e<sup>-</sup> collisions

 $\rightarrow$  suppression from (ud,dd,uu)<sub>1</sub> diquark creation absent or reduced, as comparison to models suggests

 $\Sigma_c^{0,++}/D^0$  and  $\Lambda_c^+ \leftarrow \Sigma_c^{0,+,++}$  feedown

PRL 128 (2022) 012001, arxiv 2106.08278



ALI-DER-493901

Default PYTHIA8 (Monash 2013): significantly underestimates data (worse than for  $\Lambda_c^+$ )

PYTHIA8 with CR beyond Leading Colour:  $\Sigma_c$  enhanced by junction CR topologies (n.b. heavy cu, cd diquarks) • describes  $\Sigma_c^{0,+,++}/D^0$  but overestimates  $\Lambda_c^+ \leftarrow \Sigma_c^{0,+,++}/D^0$ Catania, QCM and SHM+RQM models describe both ratios

ALI-DER-493906

### Several arrows in the quiver

	Particle	Mass (GeV/ <i>c</i> ²)
$\sum_{c} 0, ++$	D <sup>0</sup>	1.865
	D <sup>+</sup>	1.870
Inarks Inarks	D <sub>s</sub> +	1.968
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Charm-strange baryons:  $\Xi_c^{0,+}$  and  $\Omega_c^0$ 



- Both  $\Xi_c^{0,+}/D^0$  and  $\Omega_c^0/D^0 x BR(\Omega_c^0 \to \Omega^- \pi^+)$  ratios significantly larger than in e<sup>+</sup>e<sup>-</sup> collisions
- Only **Catania** model (coalescence) close to the data.
- PYTHIA8 with CR-BLC (Mode0,2,3), SHM+RQM, QCM predict ratios significantly larger than what expected from e<sup>+</sup>e<sup>-</sup> and Default PYTHIA8 (Monash) but significantly underestimate the data.

 $\rightarrow$  Additional challenges from strange (di)quark production

### Fragmentation fractions and charm cross section

PRD 105, L011103 (2022) arxiv 2105.06335



ALI-PUB-500750

**Total cc cross section** at |y| < 0.5 estimated at 5 TeV from all measured particle-species cross sections

About **40% higher values w.r.t. using e<sup>+</sup>e<sup>-</sup> FF** On upper edge of FONLL and NNLO

Measured baryon-to-meson ratios imply violation of universality of fragmentation fractions (FF) already in pp collisions:

 $\rightarrow$  cannot rely on e<sup>+</sup>e<sup>-</sup> FF to get charm cross section from D meson data  $\rightarrow$  new FF estimated from measured particle-species ratios



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### Beauty baryons vs. mesons at LEP, Tevatron and LHC



## Beam remnants and drag effect, R

Indication for a rapidity-dependent ratio of  $\Lambda_{b}/\overline{\Lambda}_{b}$ , suggesting some baryon-number transport from beam particles to  $\Lambda_{b} \leftarrow$  string drag/leading-quark effect?

#### J.L. Rosner, PRD 90 014023 (2014); PRD 86 014011 (2012)

Similar effect observed for charm mesons (D<sup>+</sup>) long ago in  $\pi$ -nucleus collisions (E791, E769, WA82)





Suggest that hadronic environment plays a role Up to what extent? how does the hadronisation dynamics change in different systems?

#### Several arrows in the quiver



D<sup>0,+</sup> (cu,cd)

Σ<sub>c</sub><sup>0,++</sup> (cdd,cuu)

lsospin diquarks

°c

(cud)

(cs)

## $\Lambda_c^+/D^0$ evolution with event activity: pp



 $\Lambda_{c}^{+}/D^{0}$  increases with particle multiplicity at midrapidity

Trend expected by **PYTHIA8 with CR-BLC (Mode 2)** 

 $\rightarrow$  confirms importance of Colour Reconnection in rich partonic environments

 $\rightarrow$  interplay of Color Reconnection (CR) and Multiple **Parton Interactions** 

Do we have a smooth evolution with multiplicity from  $(e^+e^- to) pp to AA?$ 

ALI-DER-501055

## $\Lambda_c^+/D^0$ evolution with event activity: from pp to Pb-Pb

PRC 104 054905 (2021), PRL 127 202301 (2021), arxiv 2112.08156



Evolution of  $\Lambda_c^+/D^0$  ratio from pp to p-Pb to central Pb-Pb. Only a change of  $p_T$  shape?

- Especially at low  $p_T$ : larger "jump" from  $e^+e^-$  to pp than from pp to Pb-Pb
- p-Pb in-between pp and Pb-Pb

## $\Lambda_c^+/D^0$ evolution with event activity: from pp to Pb-Pb

PRC 104 054905 (2021), PRL 127 202301 (2021), PLB 829 (2022) 137065, arxiv 2112.08156



No evidence of evolution of  $p_{T}$ -integrated  $\Lambda_{c}^{+}/D^{0}$  ratio Data uncertainty still large

Significantly higher values than e<sup>+</sup>e<sup>-</sup>

PYTHIA8 CR-BLC expects increase with mult SHM (Pb-Pb) about flat trend but below data

... puzzling

Lowest multiplicity still to be covered (run 3): down to e<sup>+</sup>e<sup>-</sup>?

### Side note: hadronisation, binary scaling and nPDF



Test of binary scaling for HQ requires measurements of HF-baryon production in Pb-Pb

This, along with the determination of nPDF, is important for the interpretation of HF data in Pb-Pb collisions.

### Heavy flavour in our QCD laboratories

pp not far from vacuum ~ many independent scatterings

(for HF at least)

MPI, system size

Fragmentation functions universality violated already in pp collisions Multiple parton interactions in pp build a system rich of quarks or gluons, dense enough to alter hadronisation w.r.t.  $e^+e^-$ 

> Complex, extended-size system, Local equilibration

(Semi)phenomenological models sufficient

to describe relative particle abundances

once ingredients are tuned?

Dynamical model "Local" dynamical constraints (e.g. Lund string fragmentation, quarks and diquarks popping out from QCD potential)

= "vacuum"



### Heavy flavour in our QCD laboratories

Fragmentation functions universality violated already in pp collisions Multiple parton interactions in pp build a system rich of quarks or gluons, dense enough to alter hadronisation w.r.t. e<sup>+</sup>e<sup>-</sup>

Dynamical model "Local" dynamical constraints (e.g. Lund string fragmentation, quarks and diquarks popping out from QCD potential)

= "vacuum"



pp not far from vacuum ~ many independent scatterings (for HF at least) MPI, system size Pb-Pb Where does e-A sit? Complex, extended-size system, Local equilibration (Semi)phenomenological models sufficient to describe relative particle abundances once ingredients are tuned?

## Different processes, different environments







Different production processes  $\rightarrow$  different colour topologies (sketches only some LO terms, also 3 jet events in ee and ep)

#### Different environments

→ different features can be probed, also exploring phase space (e.g. beam remnant effects)

### Possible modified fragmentation in CNM

"Cold" nuclear matter effects can alter parton shower + possible hadronic interactions

Li, Liu, Vitev arXiv:2007.10994



Inputs also from p-Pb vs. pp at the LHC?

But one needs differential studies (e.g. vs. z, different  $\eta$  region, CM energies) and kinematics under control

## $\Lambda_c^+/D^0$ projections for EIC



Figure 3.28: Projections for ATHENA measurements of the heavy-quark  $\Lambda_c^+$  to  $D^0$  baryon-to-meson ratio as a function of the charged track multiplicity (FastSim).

Increase from central to forward rapidity: drag effect/beam remnant? If so, one could test

- charge asymmetry
- eta asymmetry

Decrease with increasing multiplicity: likely a fragmentation feature (fragmentation to a heavier particle reduces phase space to produce lighter particles, thus reduces multiplicity).

Not too large difference between QCD-CR and MPI-CR, expected.

### Summary

- Charm and beauty LHC data indicate that hadronisation has **different features in pp than e<sup>+</sup>e<sup>-</sup> collisions**
- Universality of fragmentation fractions (functions) broken already in pp collisions (at low momenta)
  - What are the system properties and/or energy scales shaping the boundary?
- Possible existence of many undiscovered HF baryon states to be demonstrated
  - More and more states from ATLAS, CMS, LHCb (but production rates rarely reported)
  - What about searching them at EIC?

#### At EIC: opportunity to revisit and deepen studies done in ep at HERA in view of LHC results?

- + Extension to nuclei
  - + Characterise step-by-step influence of hadronic environment on hadronisation (beam remnants, color structure, role of diquark, possible dependence on "Q<sup>2</sup>" or relevant energy scales, etc...)
- + (not covered) possible connection between MPI-related studies at the LHC and 5D PDF (GPD)?

Potential synergies with italian communities (experimental, theory) working on spectroscopy.

#### T. Sjostrand summary at LHCP:

https://indico.cern.ch/event/1109611/c ontributions/4686977/attachments/2447 285/4194251/LHCP22Sjostrand.pdf

- Many poorly understood soft-physics aspects, notably
  - multiparton interactions,
  - colour reconnection, and
  - hadronization.
- LHC data has revolutionized the picture of soft physics: Goodbye jet universality!
- This has led to a renewed phenomenology interest: Welcome new mechanisms!
- Still some way to go before a new unified picture is in place, covering the evolution from  $e^+e^-$  to low- $n_{ch}$  pp to AA.

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## $\Lambda_c^+/D^0$ compared with $\Lambda/K_s^0$ and $p/\pi^+$

PRC 104 054905 (2021), PRL 127 202301 (2021), PLB 829 (2022) 137065



Similar  $p_{\tau}$  trend and evolution with multiplicity of baryon-to-meson ratios in light and heavy-flavour sector

# Fragmentation fractions (normalised to D<sup>0</sup>) vs. models

PRD 105, L011103 (2022) arxiv 2105.06335



PYTHIA8: same conclusion as from  $p_{T}$ -differential studies. Statistical Hadronisation Model:

- $\Lambda_{c}^{+}$  data described only if additional baryon states from RQM assumed
- $\Xi_c^{0}$  underestimated (final assessment needs new and more precise measurement down to lower  $p_T$ ) <sup>34</sup>

## Not just a strange(ness) feature?



- Charm-strange baryon data underestimated by most models! Something anomalous with strange quarks?
- But  $D_s^+/(D^0+D^+)$  (prompt and non-prompt) compatible with expectations from  $e^+e^-$ ... baryons are strange!
  - Note  $\Xi_c^0/D^0$  and  $\Xi_c^+/D^0$  similar to  $D_s^+/D^0$  (but large uncertainties)
- <sup>0,+</sup>/∑<sup>0,+,++</sup> ratio described by default PYTHIA8 (Monash)! (by Catania as well)

   similar suppression in e<sup>+</sup>e<sup>-</sup>? Related to diquark rather than quarks?
   (note mass of spin-1 (dd,ud,uu)<sub>1</sub> diquarks might be similar to spin-0 (us,ds)<sub>0</sub> diquarks )
   Does this also connect to similarity of baryon-to-meson ratios in HF and LF sector?

## $\Lambda_c^+/D^0$ compared with $\Lambda/K_s^0$ and $p/\pi^+$

PRC 104 054905 (2021)



PYTHIA8 with CR-BLC better catching both charm and light-flavour baryon-to-meson ratios

# $\Lambda_c^+/D^0$ and $D_c^+/D^0$ vs. multiplicity

°D





## $\Lambda_c^+/D^0$ vs. rapidity in pp and p-Pb



ALICE, JHEP 04 (2018) 108, PRC 104 054905 (2021),

LHCb (pp), Nucl.Phys.B 871 (2013) LHCb (p-Pb), JHEP 02 102 (2019)

Possible dependence on rapidity, especially in pp collisions

Probably run 3 data needed to clarify

### $\Lambda_c^+/D^0$ vs. rapidity in p-Pb collisions

JHEP 02 (2019) 102



# More on $\Lambda_{c}^{+}/D^{0}$ in pp at 5, 13 TeV an in p-Pb collisions



ALI-PREL-502456

Run 3 data needed to conclude on trend below 1 GeV/c

# More on $\Lambda_c^+/D^0$ in Pb-Pb collisions

arxiv 2112.08156



TAMU (hadronisation via Relativistic Resonant Scattering model) and Catania (sudden coalescence + fragmentation) describe data within uncertainties

SHMc + FastReso + corona tends to underestimate data

Catania, EPJC 78 4 (2018) 348 TAMU, PRL 124, 4 (2020) 042301 SHM, JHEP 07 035 (2021)

#### Mass effect or baryon effect?



But  $B_c/B$  shows a much milder  $p_T$  trend (if any)  $\rightarrow p_T$  trend not related to particle mass: does this support a baryon-related effect? (caveat: feed-down, comes later)