

How important is the surface
finish/roughness in determining the
performance of Nb cavities?

Introduction

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Jlab

- It is generally “believed” that appropriate surface smoothness is “key” to good/excellent cavity performance
- Therefore electropolishing has become the technology of choice not only for ILC cavity gradients ($E_{acc} \sim 35\text{MV/m}$), but also for much less demanding performance goals, e.g. cw application $\sim 20\text{ MV/m}$ or lower
- “Buffered chemical polishing” has been more or less “outlawed” because of the resulting “rough” surface finishes , grain boundary etching and resulting “field enhancements”.
- It is also believed that smoother surfaces can be cleaned better, such limiting field emission
- Myth: “electropolishing” (+ or barrel polishing, which also gives very smooth surfaces) solves all performance problems: is it true ? And if so,why?
 - Smooth surface?
 - No field enhancements?
 - No grain boundary etching?
 - No Q-drop after baking: this is true above 100-120 mT, which can be achieved with bcp treatment also; bcp provides also some elimination of Q-drop, but less effective; why??
 - Better cleaning?
- Is this justified? Is EP the “miracle cure”?
- There have been failures with EP following BCP: Jlab large grain upgrade cavity DESY/Jlab 9-cell seamless cavity, single cell large grain at DESY...

Roughness: BCP vs EP

K.Saito et al, SRF 1997, Abano Terme

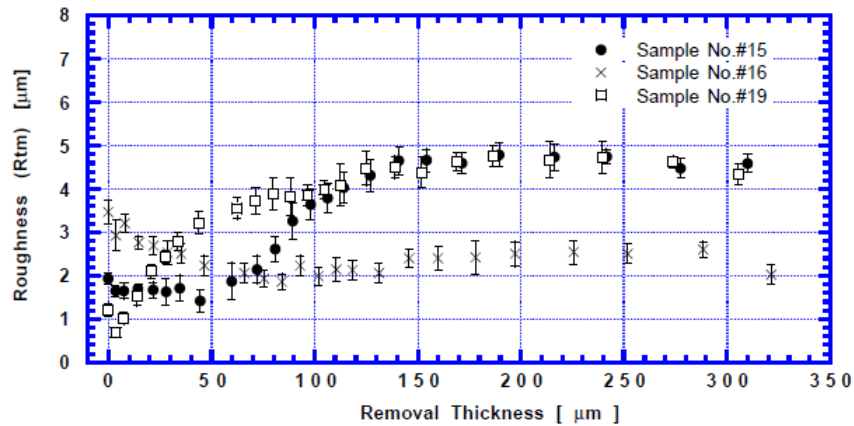


Fig.11 Relationship between material removal and surface roughness with CP.
The error bar means the variation in three points measurements.

Proceedings of the 1997 Workshop on RF Superconductivity, Abano Terme (Padova), Italy

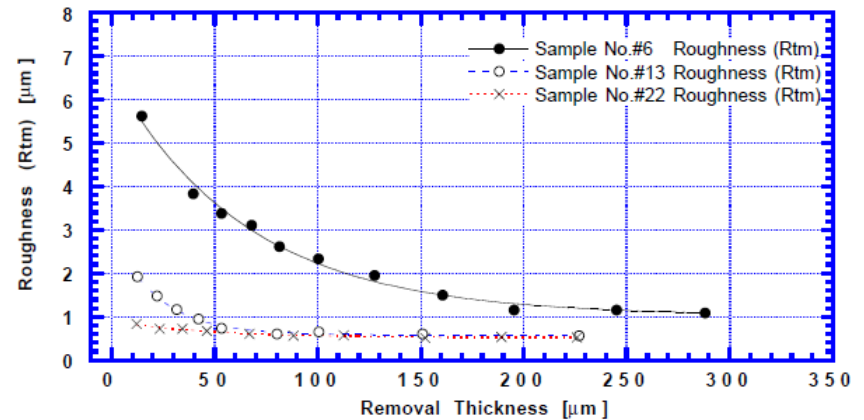


Fig. 12 Relationship between material removal and surface roughness with EP.

History (2003)

K.Saito ; Development of Electropolishing technology for Superconducting cavities
PAC 2003, 463ff

“This method can produce high gradients of 40 MV/m; a required surface smoothness is estimated to be less than 2 micron in order to prevent field enhancement problems in superconducting cavities”

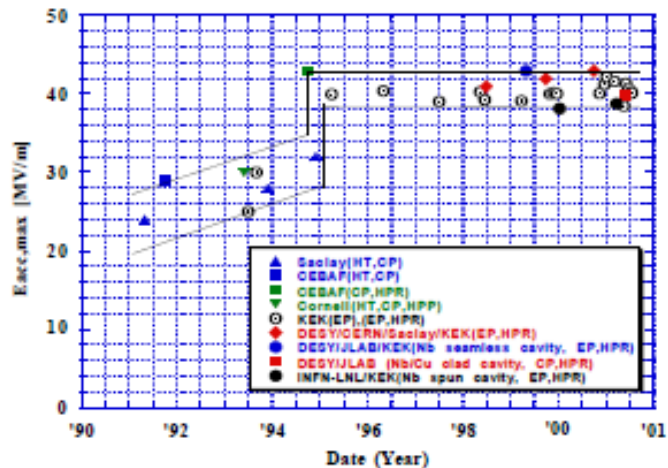


Figure 6: Review of the high gradient in last 10 years.

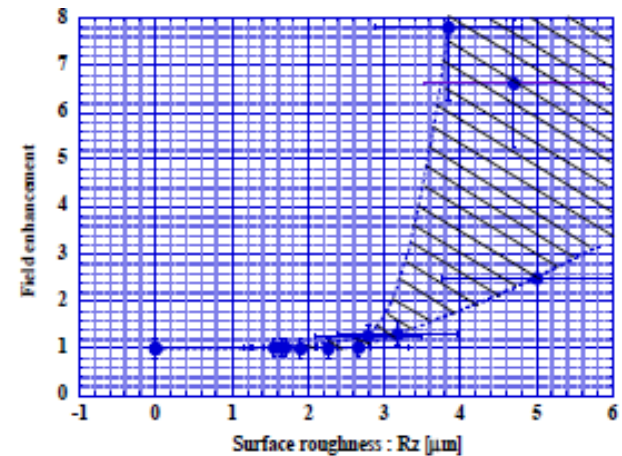


Figure 12: Estimated surface roughness versus the field

Field Enhancement: J.Knobloch et al; "High Field Q-slope in sc cavities due to magnetic field enhancements at grain boundaries", SRF 1999

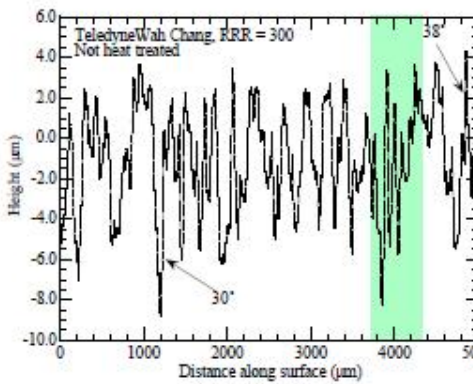
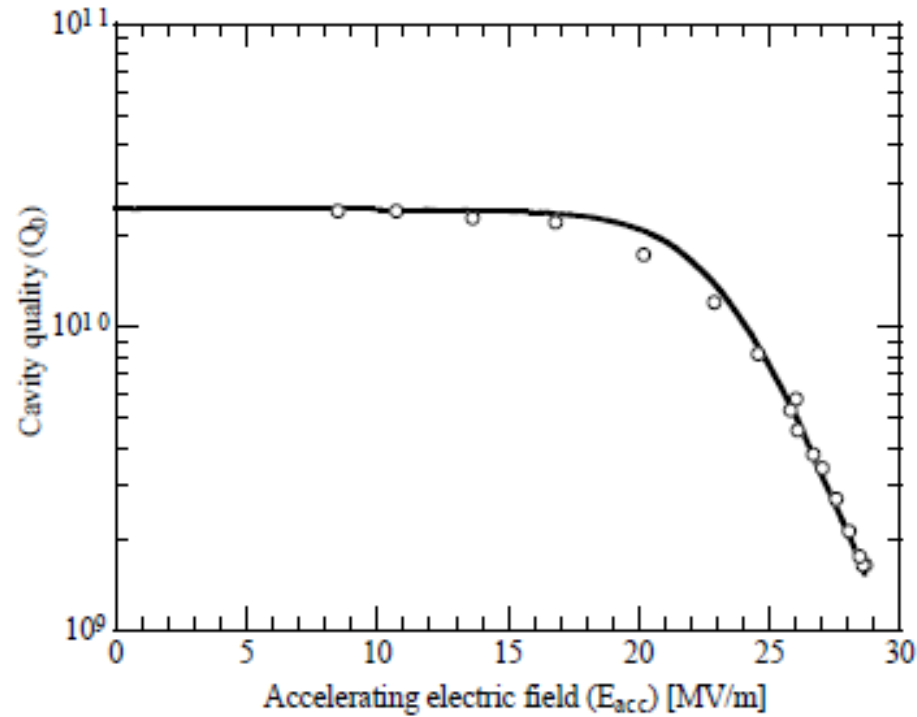
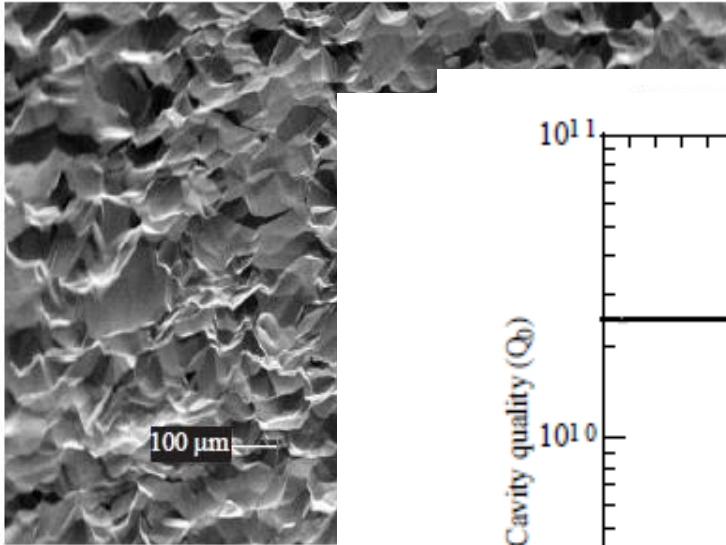
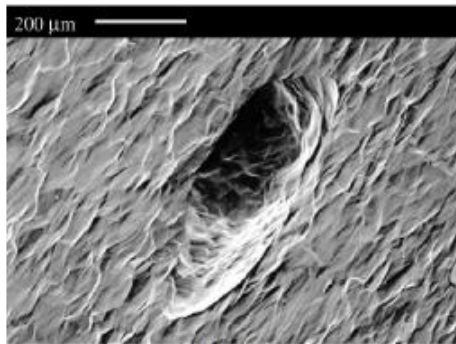


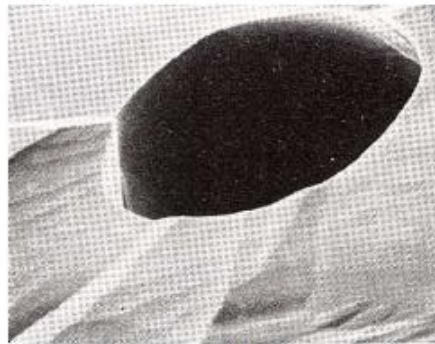
Figure 17: Comparison of the measured cavity quality (Test A) with that calculated by (25) using $H_{crit} = 2000$ Oe.

Pro's and Con's

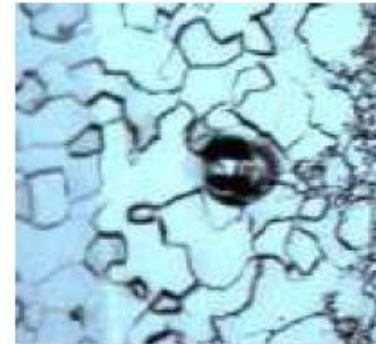
- In several more recent publications it has been postulated that field limitations in niobium cavities can occur at areas of enhance magnetic fields due to pits and bumps [V.Shemelin,H.Padamsee; **“MAGNETIC FIELD ENHANCEMENT AT PITS AND BUMPS THE SURFACE OF SUPERCONDUCTING CAVITIES”**,TTC Report 2008-007]



(a)



(b)



(c)

- Surface smoothness is only of secondary importance

Supercond. Sci. Technol. 24 (2011) 035002 (8pp)

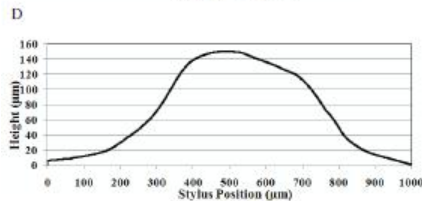
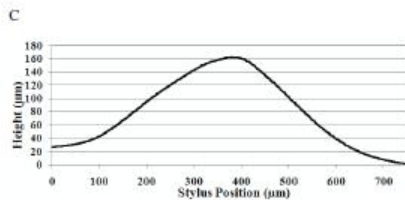
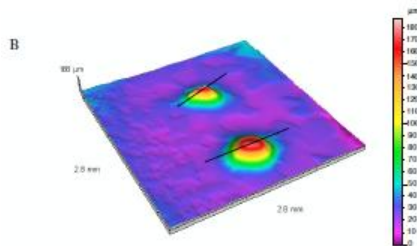
[doi:10.1088/0953-2048/24/3/035002](https://doi.org/10.1088/0953-2048/24/3/035002)

Routine characterization of 3D profiles of SRF cavity defects using replica techniques

M Ge, G Wu, D Burk, J Ozelis, E Harms, D Sergatskov, D Hicks
and L D Cooley

Pro's and Con's

Example of Replica



- All the features found in this study were located at or near the **equator electron beam weld**, leaving open speculations about the the importance of the heating and cooling mechanisms combined with electro-chemical reactions (trapping of impurities, gas pockets, segregation of foreign materials..)
- These possible causes for limitations make the forming of **seamless cavities** even more attractive.
- Despite a less than “ideal” surface with many imperfections the seamless cavities perform astonishingly well (see later)

EP vs BCP

Pro's

- In a test series of alternating surface treatment between bcp and EP cavity performance always degraded after bcp [E.Kako , S. Noguchi, M. Ono, K. Saito, T. Shishido, B. Aune*, P. Charrier*, M. Juillard* and H. Safa "IMPROVEMENT OF CAVITY PERFORMANCE BY ELECTROPOLISHING IN THE 1.3 GHZ NB SUPERCONDUCTING CAVITIES", PAC1999, p.432]

- At Jlab, multi-cell bcp'd cavities improved significantly after light EP ($\leq 30 \mu\text{m}$) [Data collected by C.Reece, Jlab]

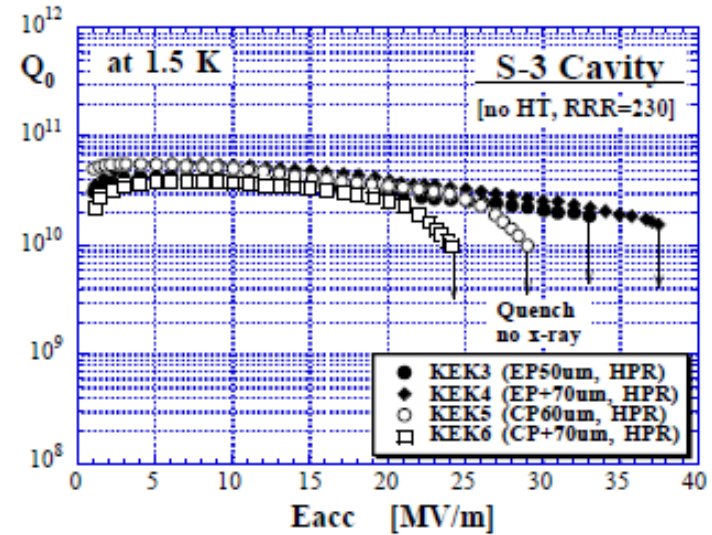
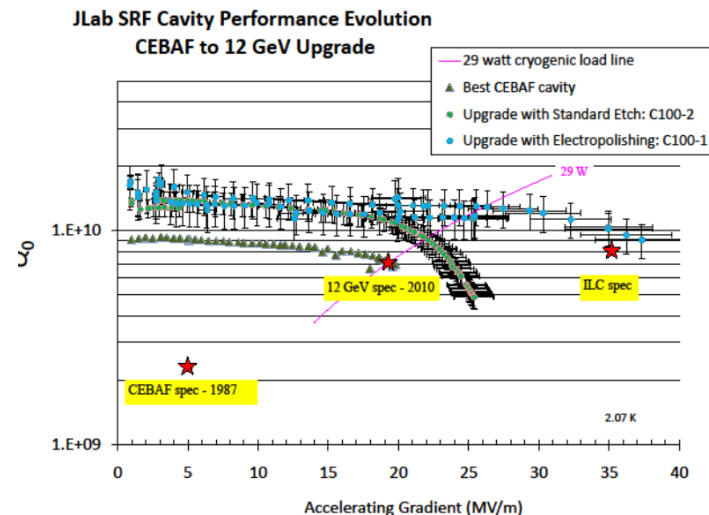


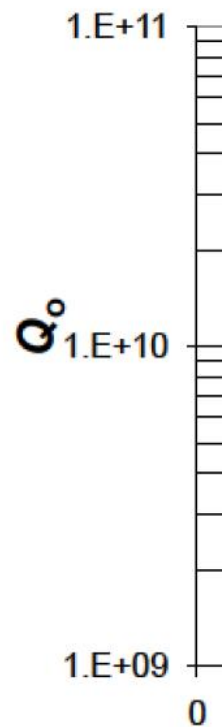
Figure 4: Cavity degradation due to CP after EP



Upgrade Cavity [Data from C.Reece]

C100-RI-006

7-cell CEBAF 12 GeV Upgrade Cavity



BCP

- bcp- cavities improve with more material removal (rougher surface)
- BCP cavity Saclay, Jlab, DESY, KEK achieved > 40 MV/m
- PKU large grain with large grain boundary step achieved ~ 42 MV/m
- Seamless cavities achieve > 35 MV/m with bcp
- Replica studies indicate that limitation is caused by individual sites (M.Ge, G.Wu et al, Supercond.Science and Techn, 24, 2011)

- Bcp does not increase emitter density on samples (Uni Wuppertal) up to 200 MV/m; “Further measurements showed no significant influence of the surface roughness on the removal of particulate contamination by ultra-pure water” (N.Pupeter et al.; SRF 1995)

Material removal: e.g. P.Kneisel et al, SRF 1995, one of several

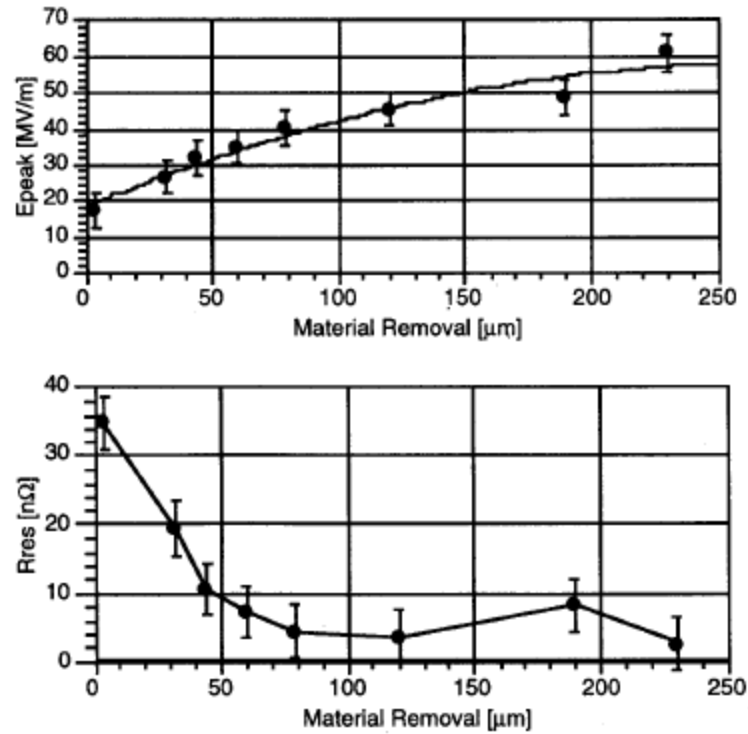


FIGURE 5: Effect of material removal on peak surface electric fields and on residual surface resistance.

History (1995)

P.Kneisel,R.W.Roeth,H.-G.Kuerschner; "Results from a nearly "Defect-free" Niobium cavity", 5th SRF Workshop (1995)

$Q = 1 \times 10^{11}$ at 1.3K; $E_{acc} \sim 42$ MV/m, app. 160 micron bcp

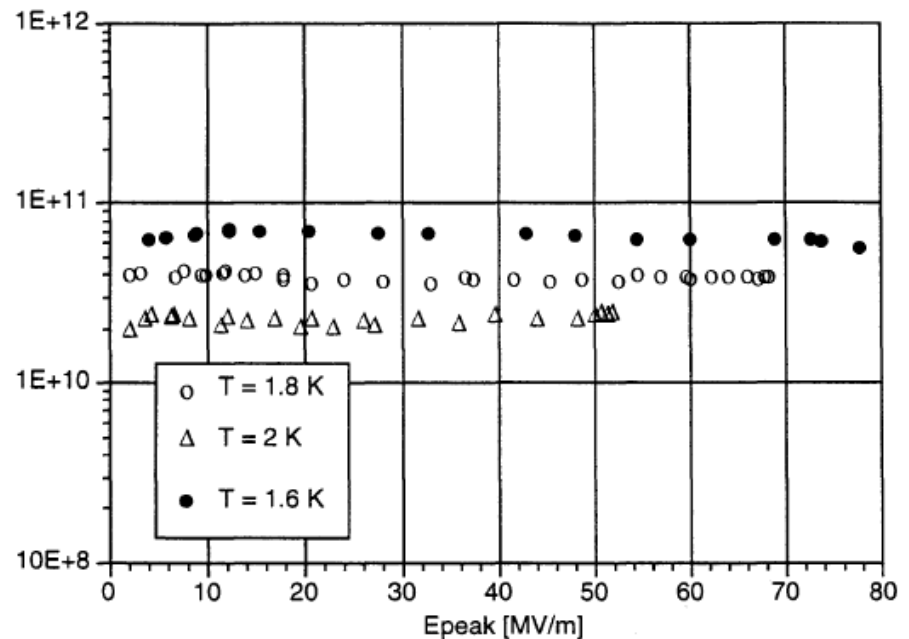
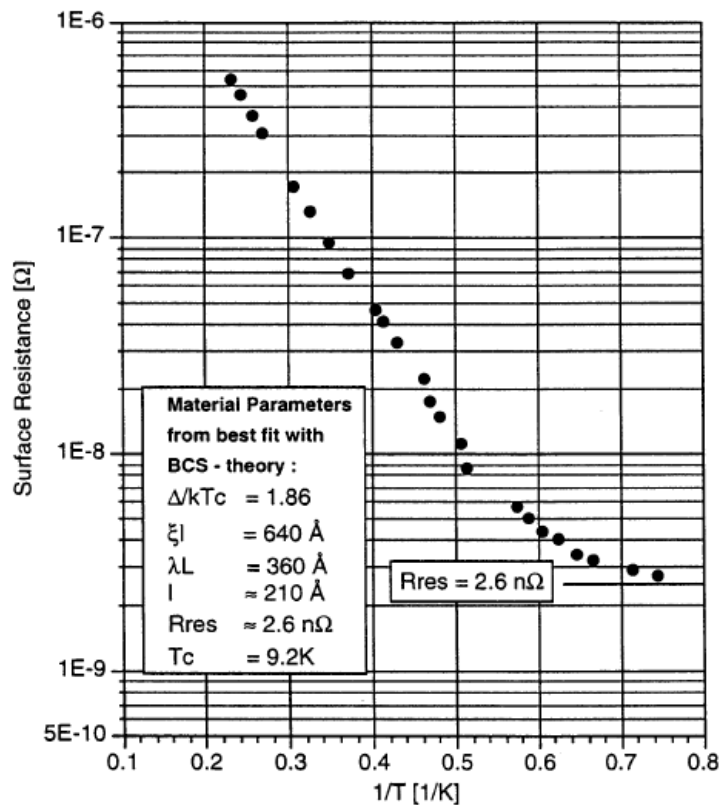
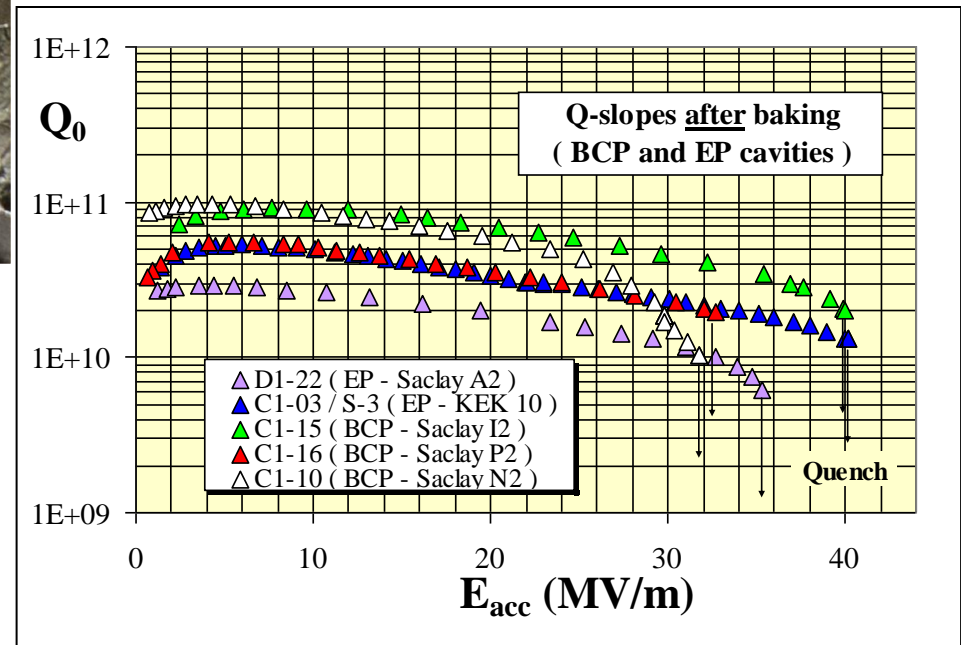


Fig. 2: Q_0 vs. E_{peak} at 3 different temperatures for a nearly "defect - free" niobium cavity

History (2003)

B.Visentin,

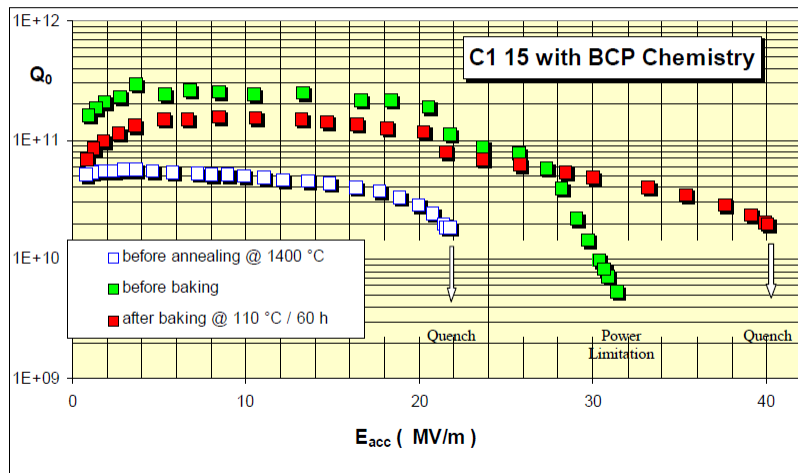
SRF2003, MO-P19



History: B.Visentin, TTF Meeting Saclay, April 2002

LAST RESULTS ON A B.C.P. NIOBIUM CAVITY

$$E_{acc} = 40 \text{ MV/m} - Q_0 = 2 \cdot 10^{10}$$



TTF Meeting - April 3/5, 2002 - Saclay

Bernard VISENTIN - CEA S

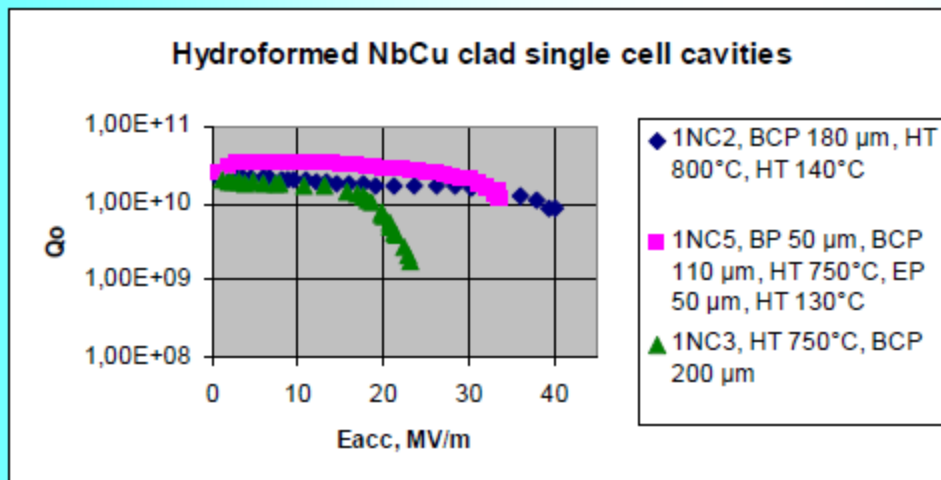
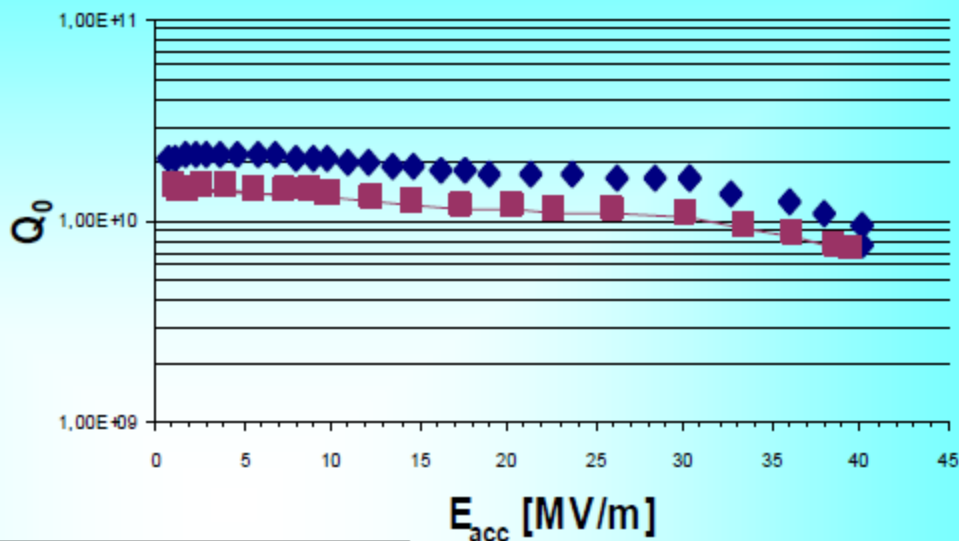
SOME COMMENTS

- **Classical BCP compared to Electropolishing :**
 - High gradients are also achieved by BCP chemistry
 - Cavity baking is so efficient to remove high field slope
- **Small processing around 20 MV/m**
- **This result is the third event by the world :**
 - Nb defect-free cavity (P. Kneisel - 7th RFSC Workshop - Gif/Yvette - 1995)
 - NbCu clad-cavity (W. Singer - 10th RFSC Workshop - Tsukuba - 2001)

NbCu single cell cavity 1NC2
 produced at DESY by
 hydroforming from
 explosively bonded tube.
 Preparation and HF tests at
 Jeff. Lab: 180 μm BCP,
 annealing at 800°C, baking at
 140°C for 30 hours, HPR.

Defect free cavity (talk of
 B. Visentin SRF 2003)

◆ T=2K —■— T=2K - Measured after quenches



Summary of HF
 tests on NbCu
 explosively
 bonded cavities.
 Preparation and
 RF tests at JLab,
 KEK, DESY

Seamless cavities [P.Kneisel, G.Ciovati, X.Singer,W.Singer,I.Jelezov, SRF2009, THPPO058]

Surface Finish



Figure 3: Examples of interior cavity surfaces.

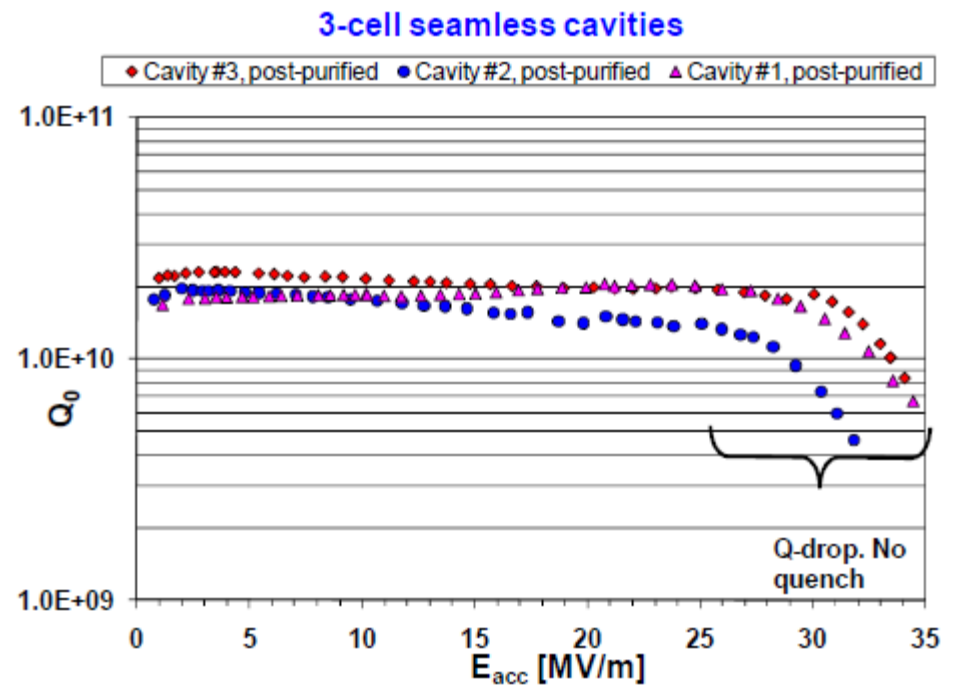


Figure 2: Performance of all 3-cell seamless cavities after post-purification at 1250C for 3 hrs.

Seamless Cavities(2)

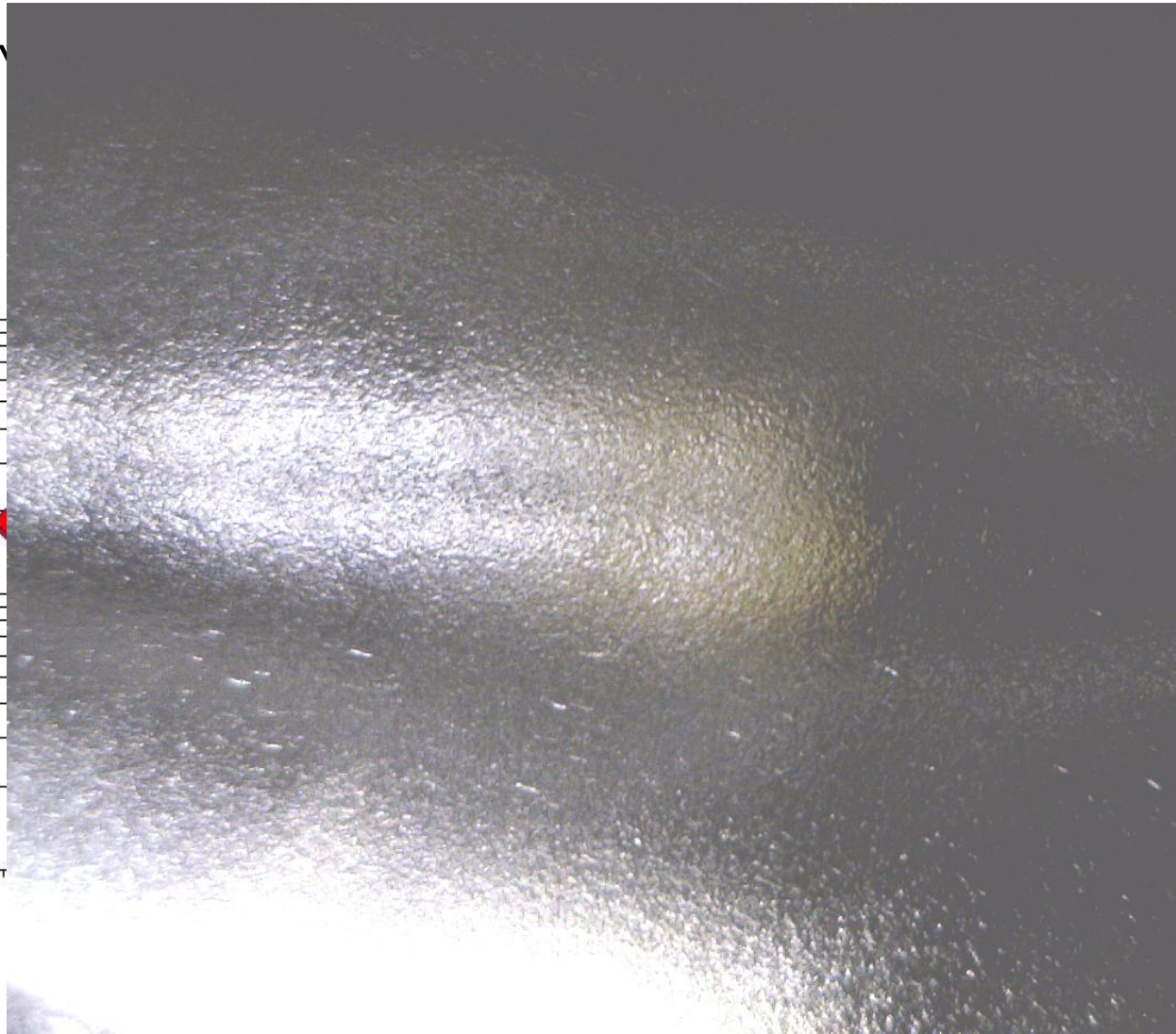
2-cell DESY

1.00E+11

1.00E+10

1.00E+09

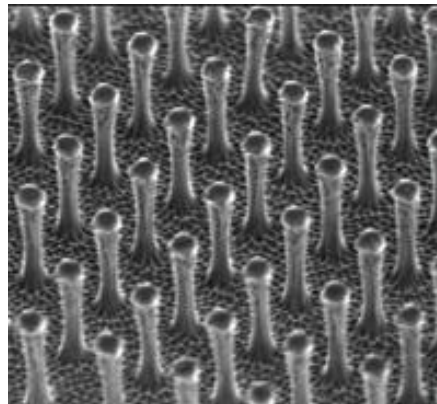
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Smooth Surfaces can be cleaned better?

- One of the arguments for applying EP in cases of “mediocre” performance goals is that a smooth surface can be cleaned better, therefore reducing the chances of Field emission
- Even if this would be true, it neglects the possibility of re-contamination
- Particles stick to surfaces because of adhesion. The interactions include molecular interaction, electrostatic interaction, liquid bridges, double layer repulsion, and chemical bonds. The most dominant forces are **van der Waal's forces** . They increase with surface area and are inversely proportional to distance² (Why can a gecko climb a glas wall? Why does a dusty car not get cleaned when it drives 100 mph?)

Gecko foot:millions of sub-micron hairs: large surface area, small distance



My Conclusion

- Surface roughness is of secondary importance
Generally field enhancements due to grain boundary etching are over emphasized: important is the “alignment “ to the field lines and that is usually “random”
- Field limitations are caused by individual spots
Those are most often detected near the equator weld/heat affected zone
- No such areas have been seen yet in seamless cavities, which perform quite well even with less than “ideal” surface finishes
- **More attention should be paid to this technology**
- There is no need for EP for gradients corresponding to peak magnetic fields of ~ 100 to 120 mT (“Is it overkill, if one is concerned with budgets?”)
- It is a “myth” that smoother surfaces can be cleaned better: the procedure and the configuration of the HPR system matters.