

Research Activities at UW for TTC

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- **Motivation**
- **DC Field Emission Scanning Microscopy on Nb Samples**
- **High Resolution SEM/EDX for Field Emitter Identification**
- **Surface Roughness Measurements with OP/AFM on Nb**
- **Photo-induced FE for High Brightness Electron Sources**
- **Outlook and acknowledgments**



Motivation

Further progress of **superconducting electron linacs** towards **fundamental limits** requires advanced material science which can be provided by universities.

Enhanced field emission (EFE) from **imperfect surfaces** is a major limitation for achievable accelerating gradients in Nb cavities ($E_p = 50-120 \text{ MV/m} !$).



In order to avoid EFE reliably, its physical origin must be understood, e.g. by means of **localisation and identification of emitters** on samples.

Local quenches and **enhanced losses** in high magnetic surface field regions ($H_p \rightarrow H_{crf}$) should depend on the **local** and **average surface roughness** of Nb.



The final surface quality resulting for **poly/single crystalline EP & BCP Nb** should be measured systematically and correlated to **EFE & Quenches**.

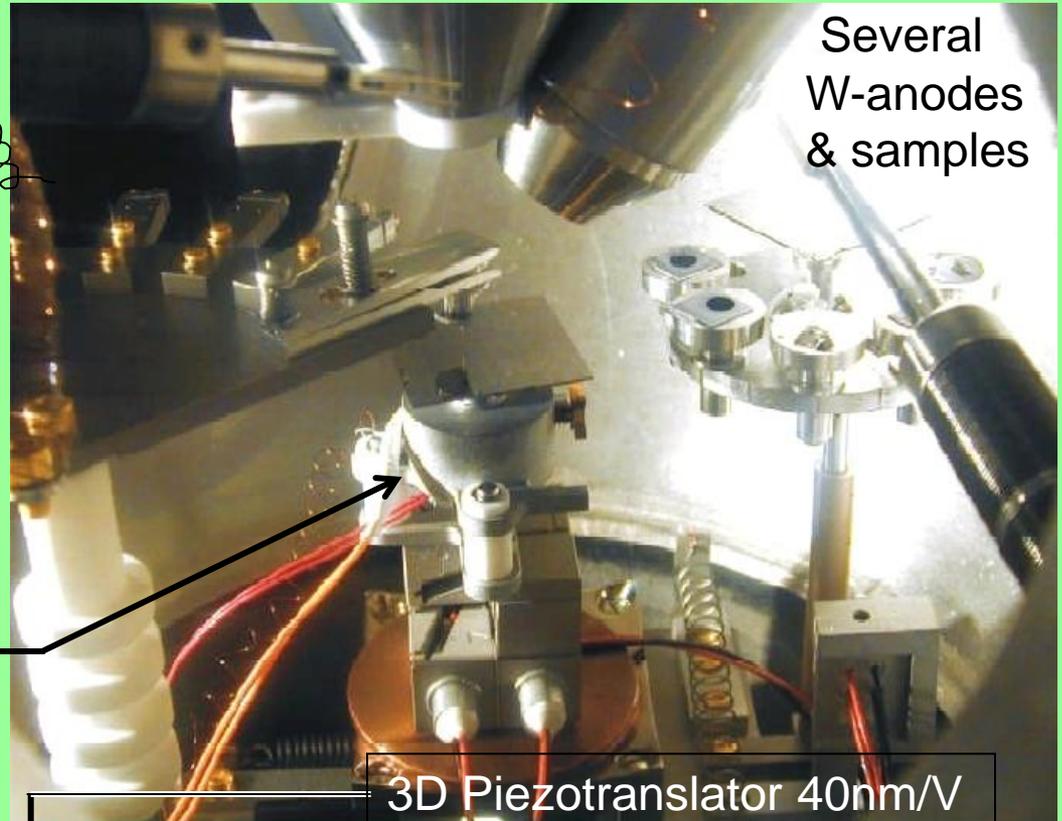
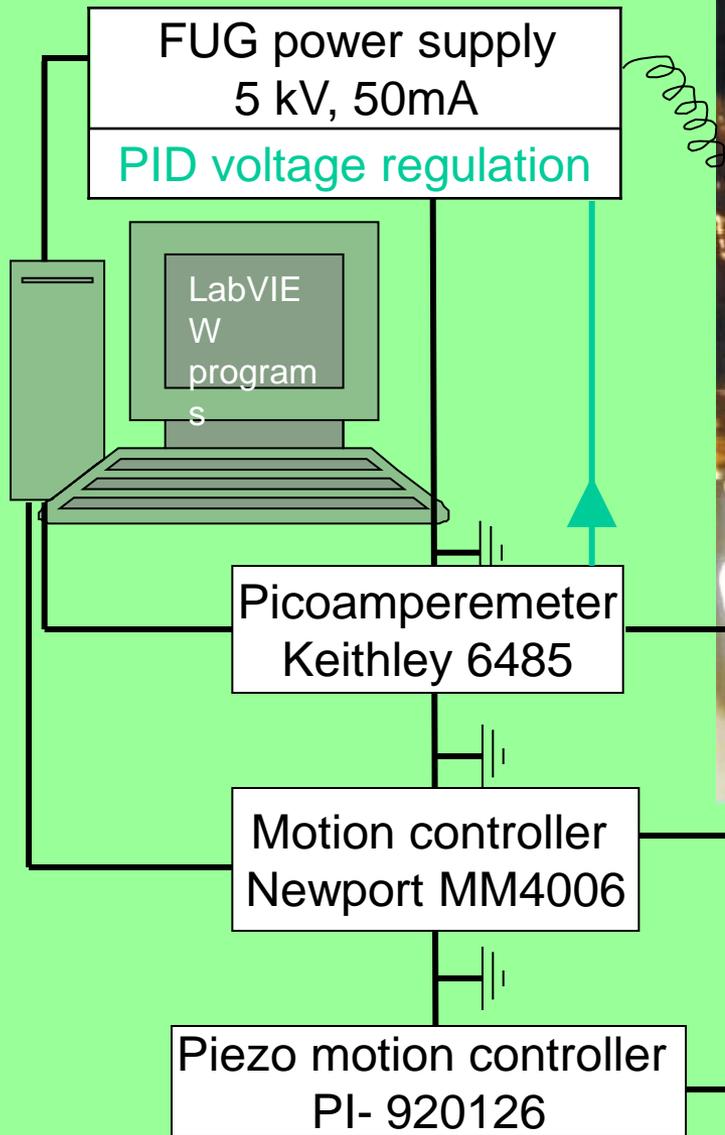
Actual **photocathodes** used in rf guns (e.g. FLASH) provide short bunches but also **high transverse emittance** and have a **short lifetime** even under UHV.



Photo-induced field emission (PFE) from flat metallic or semiconducting **cathodes** could lead to **robust high brightness rf guns** (e.g. XFEL).



DC Field Emission Scanning Microscope (FESM)



Several
W-anodes
& samples

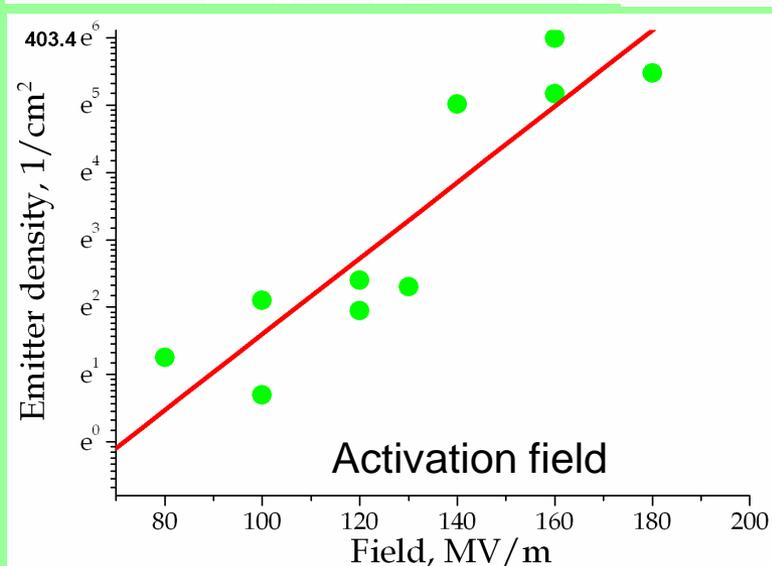
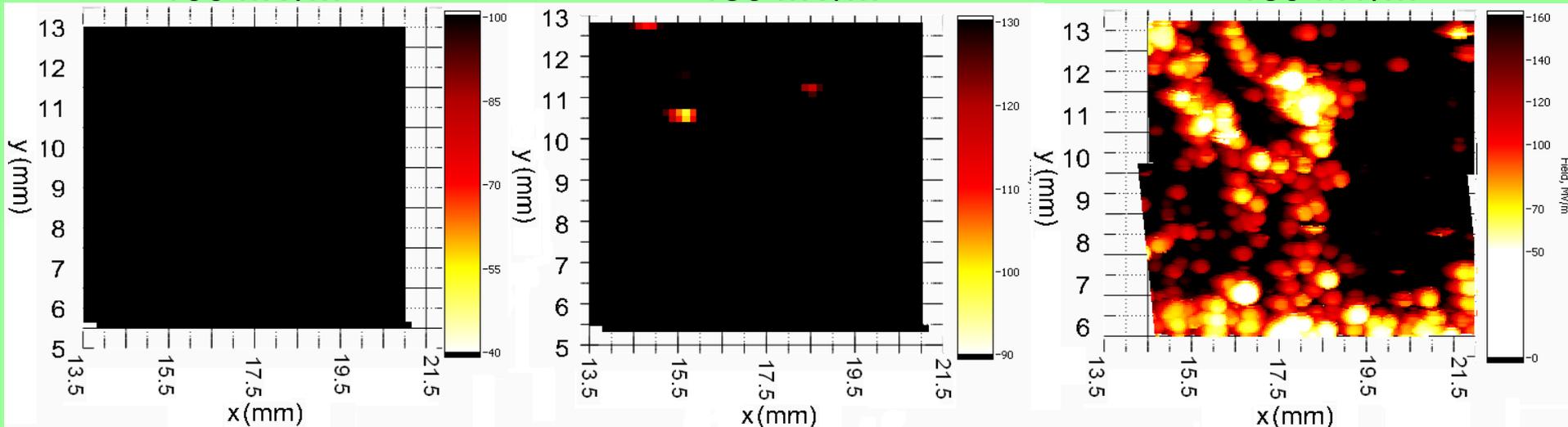
3D Piezotranslator 40nm/V
XYZ-motors (100nm step)

UHV system typically at $2 \cdot 10^{-7}$ Pa
LabVIEW automated scans of $U(x,y)$ for 1 nA
Scanning speed: (100×100) pixels in 1 hr
I/V curves and localization of stable emitters



FESM results on typical polycrystalline HPR-Nb from DESY

Regulated $E_{on}(x,y)$ maps for $I = 1\text{ nA}$ at $\Delta z \approx 50\ \mu\text{m}$ of the same area at
 100 MV/m 130 MV/m 160 MV/m



- ⇒ Emitter density increases **exponentially** with field
- ⇒ **Activation** of emitters occurs at $E_{act} = (2-4) E_{on}$ probably due to burning of conducting channels into the surface oxide or removal of adsorbates.

Impact for SRF cavities:

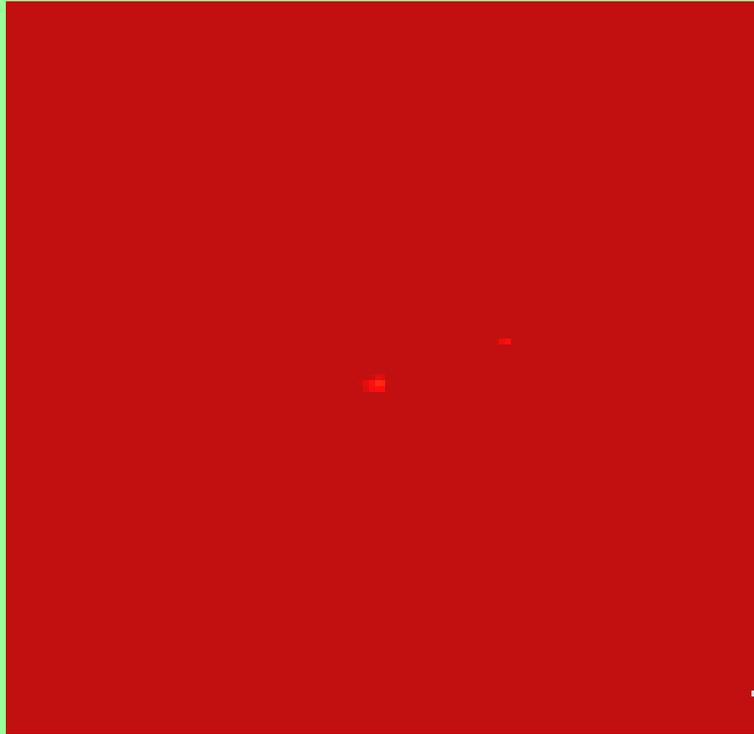
Heating ^[1] or **rf power** might activate emitters

[1] E. Mahner, Part. Acc. 46, pp.67-82 (1994)



FESM results on single crystalline BCP/HPR-Nb from DESY

Regulated $U(x,y)$ maps for 1 nA for scanned areas of $7.5 \times 7.5 \text{ mm}^2$
with flat W-anode $\varnothing_a = 100 \mu\text{m}$ at anode voltage $U = 4800 \text{ V}$
electrode spacing $\Delta z = 32 \mu\text{m}$ $\Delta z = 24 \mu\text{m}$



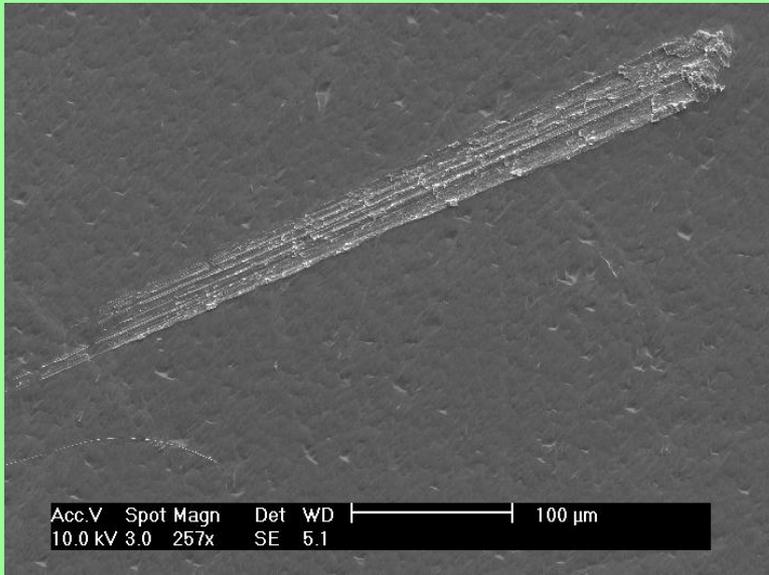
mirror-like surfaces show no emission @ 120MV/m

2 emitters @ 150MV/m

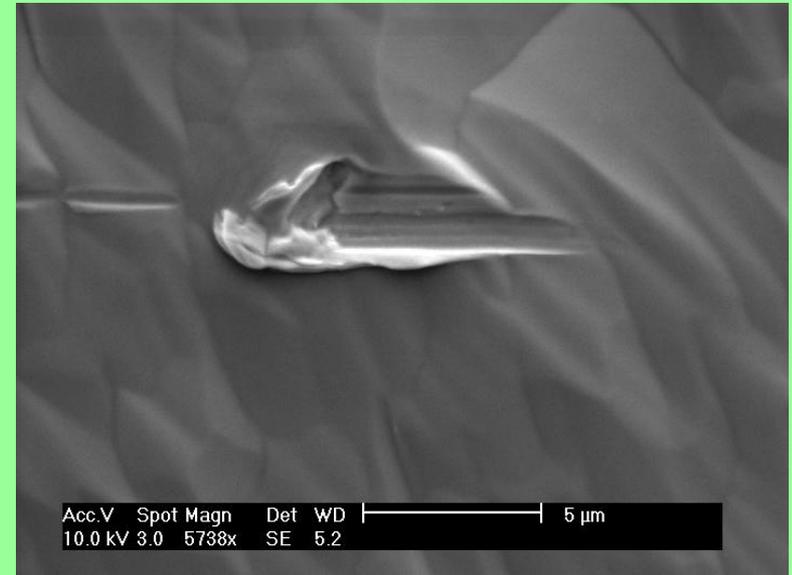
5 emitters @ 200MV/m

⇒ best FE performance of all Nb samples measured yet

SEM images of protrusion emitters (EDX \Rightarrow only Nb (+ O?))



$E_{on}(2nA) < 60$ MV/m
~500 μ m long scratch
(mishandling of sample)

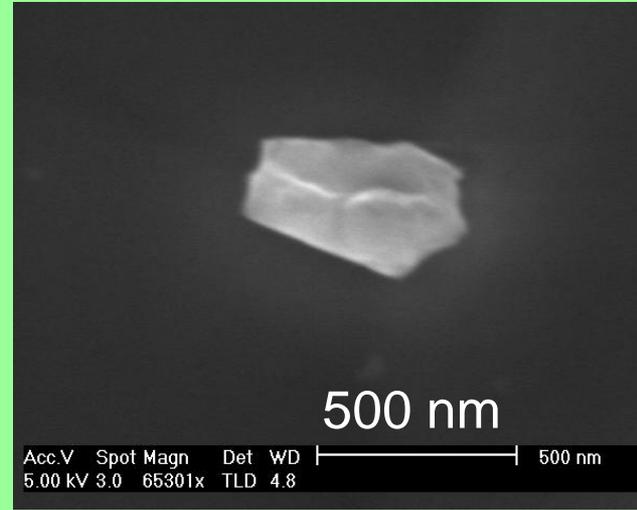
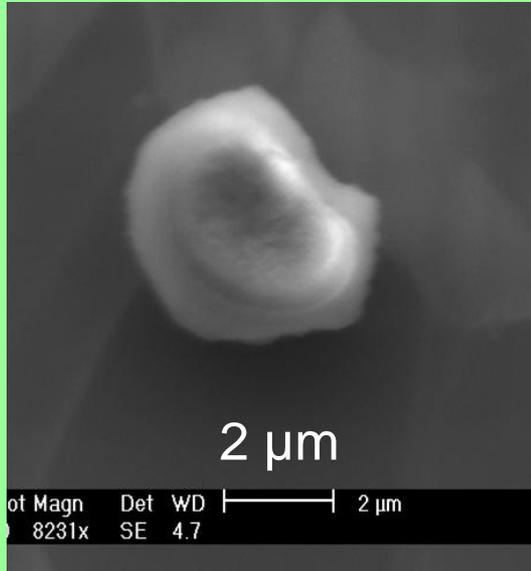
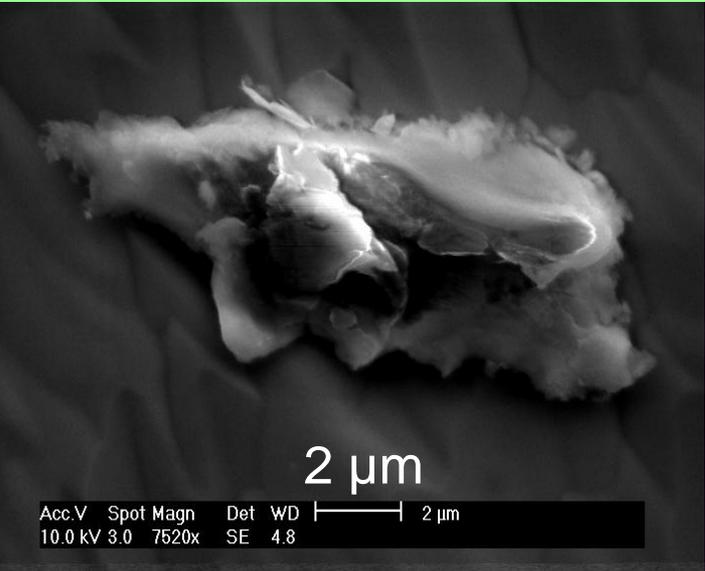


$E_{on}(2nA) = 90$ MV/m
~5 μ m long groove
 $\beta = 71$, $S = 2.3 \cdot 10^{-6} \mu\text{m}^2$



$E_{on}(2nA) > 140$ MV/m
~1 μ m small defect
 $\beta = 59$, $S = 7 \cdot 10^{-8} \mu\text{m}^2$

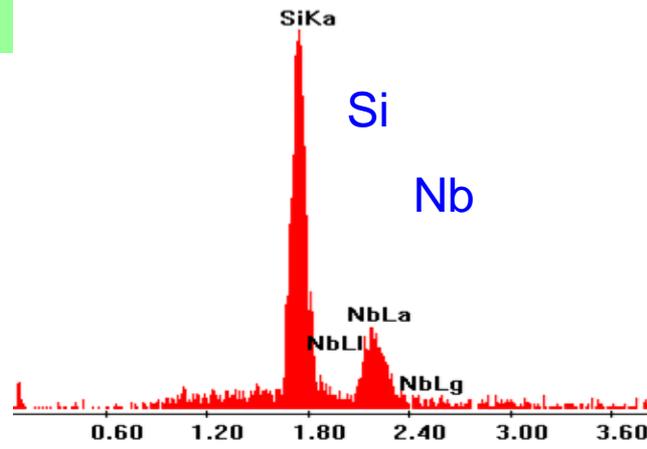
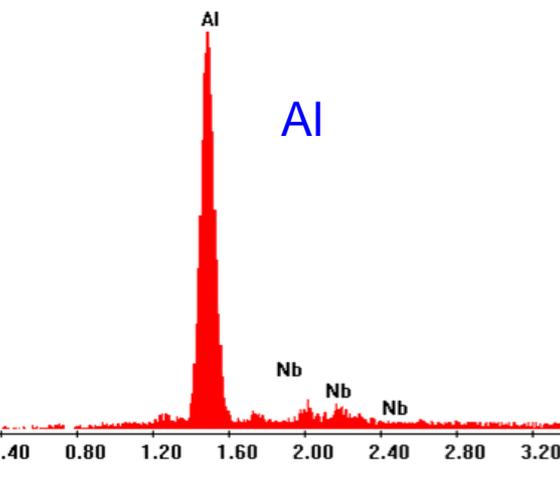
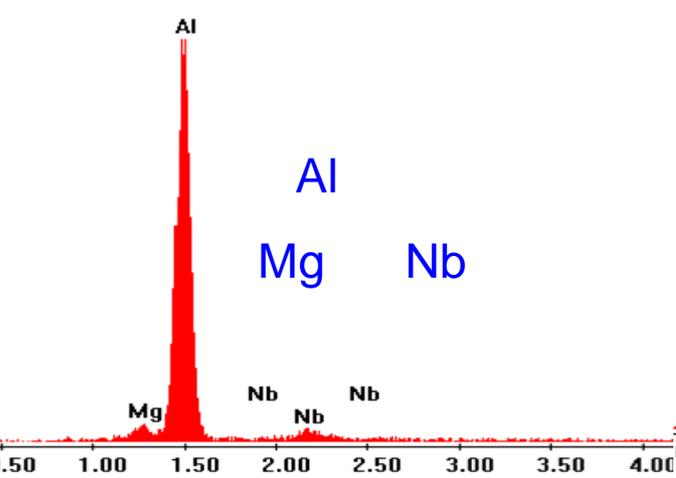
SEM/EDX of typical particulate emitters with impurities



$E_{on}(2nA) = 140 \text{ MV/m}$
 $\beta = 31, S = 6.8 \cdot 10^{-6} \mu\text{m}^2$

$E_{on}(2nA) = 132 \text{ MV/m}$
 $\beta = 27, S = 7 \cdot 10^{-5} \mu\text{m}^2$

$E_{on}(2nA) > 120 \text{ MV/m}$
 $\beta = 46, S = 6 \cdot 10^{-7} \mu\text{m}^2$



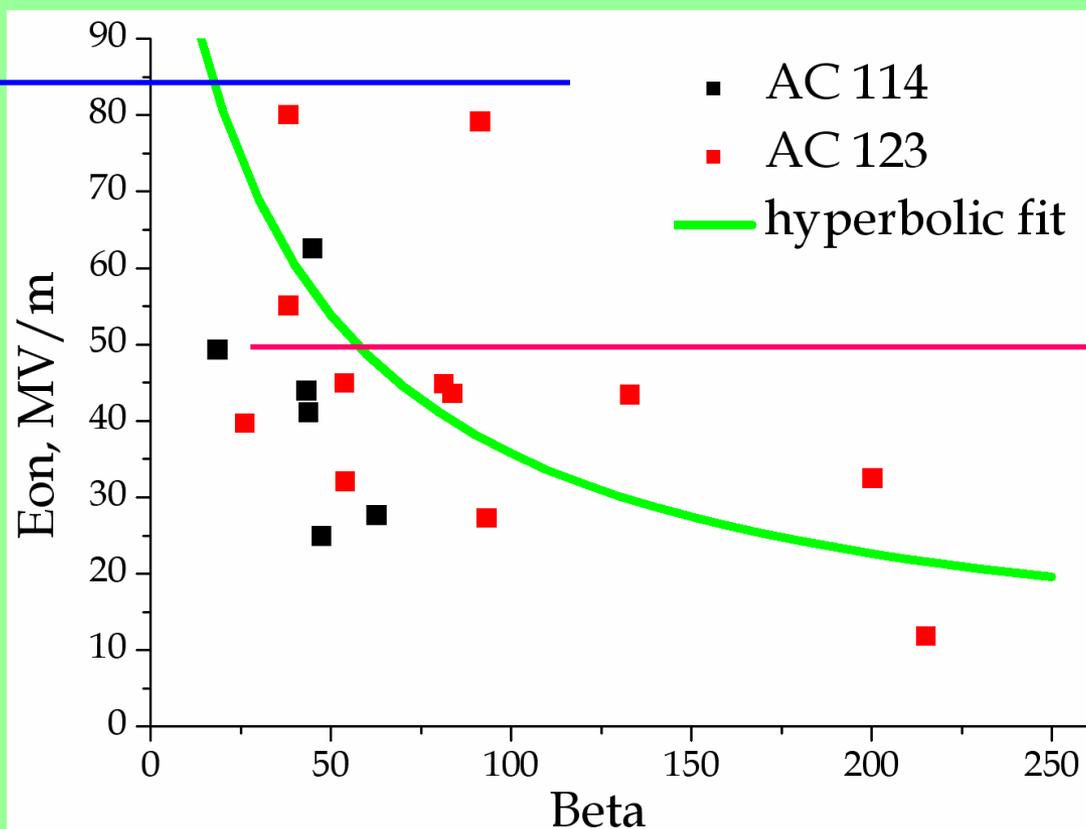
EFE status of actual polycrystalline HPR-Nb samples

Correlation between $E_{on}(1 \text{ nA})$ and field enhancement factor β of emitters

ILC

$$E_{acc} = 35 \text{ MV/m}$$

$$E_p/E_{acc} = 2.4$$



XFEL

$$E_{acc} = 25 \text{ MV/m}$$

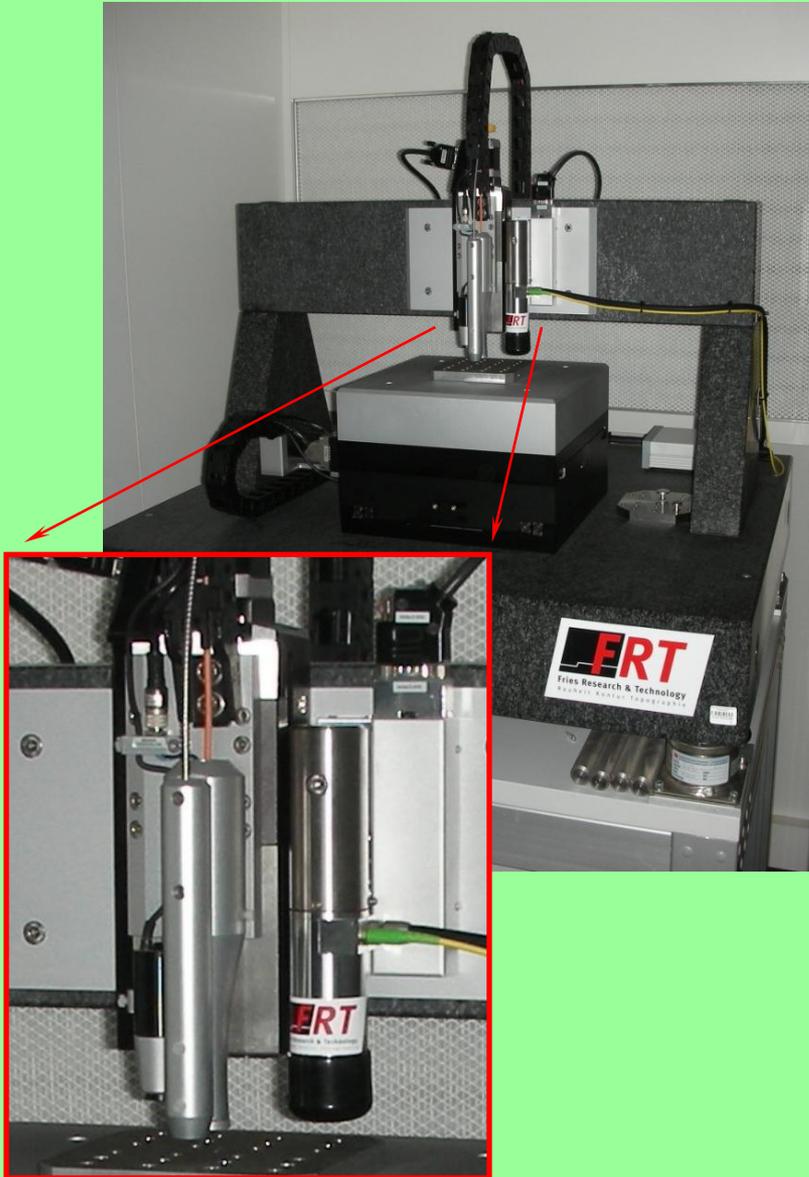
$$E_p/E_{acc} = 1.98$$

Typical polycrystalline Nb samples show **strong EFE** due to **activated emitters** which are either **particulates** or **scratches** of μm height with nm sharp edges

EFE requirements on surface defects: $\beta < 50$ for **XFEL** and $\beta < 20$ for **ILC**

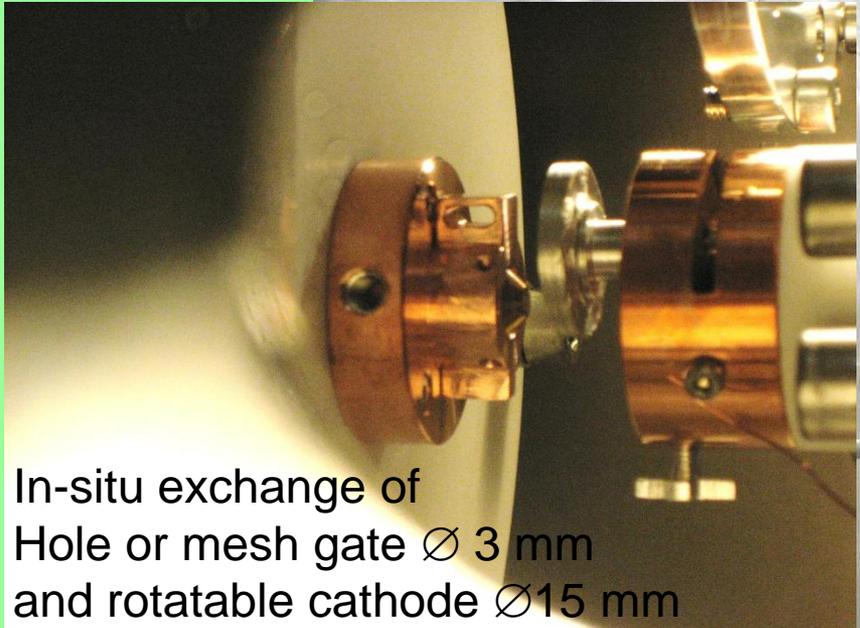


Surface roughness measurements on Nb samples



- **Optical profilometer (OP)**
 - white light irradiation and spectral reflection
 - fast scanning speed (100×100 pixel per min)
 - samples up to 20×20 cm² and 5 cm height
 - 2 μm lateral and 3 nm height resolution
- **atomic force microscope (AFM)**
 - operated in contact or non-contact mode
 - 2 μm positioning accuracy within OP scan
 - 34×34 μm² scanning range
 - 3 nm lateral and 1 nm height resolution
- **CCD camera** for positioning control
- **granite plate** with an active damping system for undisturbed measurement at nm scale
- **clean laminar air flow** from the back to reduce particulate contamination

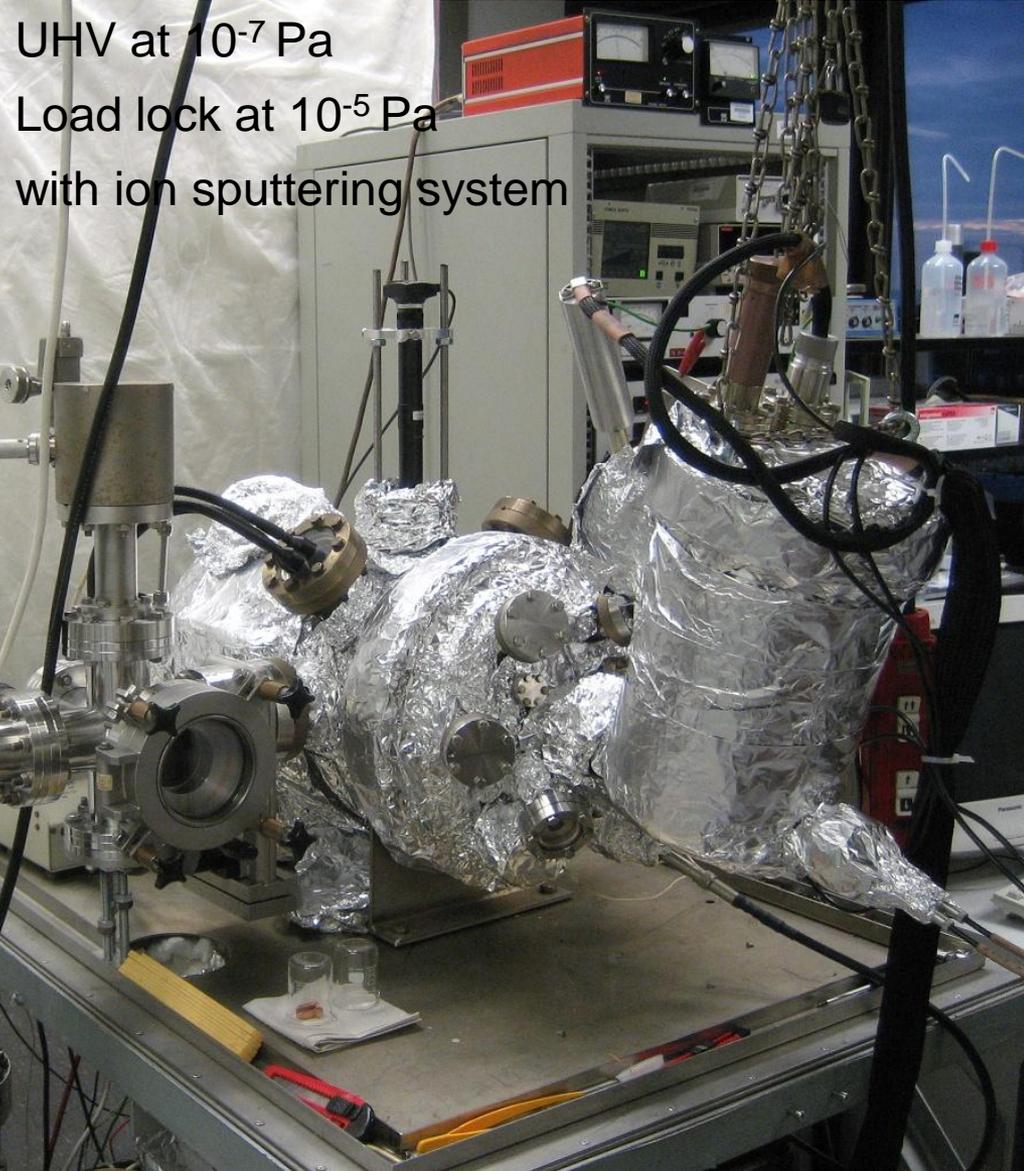
Field emission spectrometer with UV irradiation of cathodes



In-situ exchange of
Hole or mesh gate \varnothing 3 mm
and rotatable cathode \varnothing 15 mm

adjustable gap $\Delta z > 50 \mu\text{m}$
 $U < 20 \text{ kV} \Rightarrow E < 400 \text{ MV/m}$

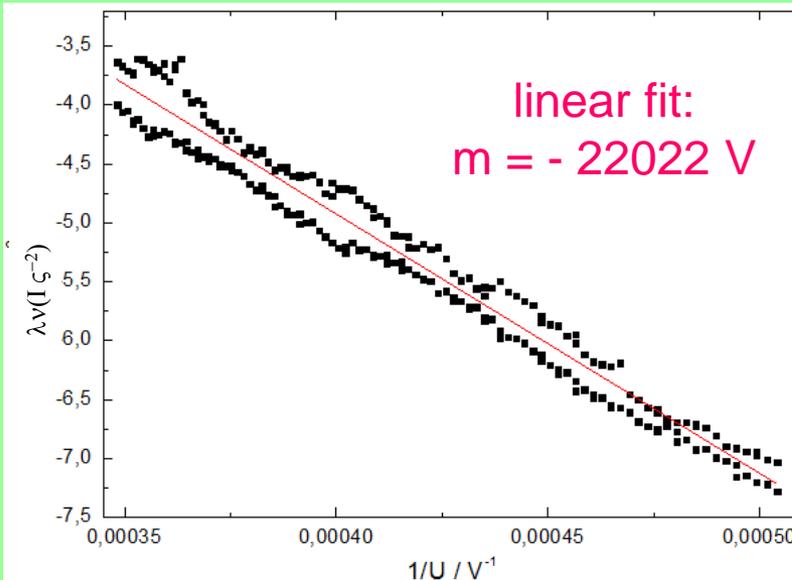
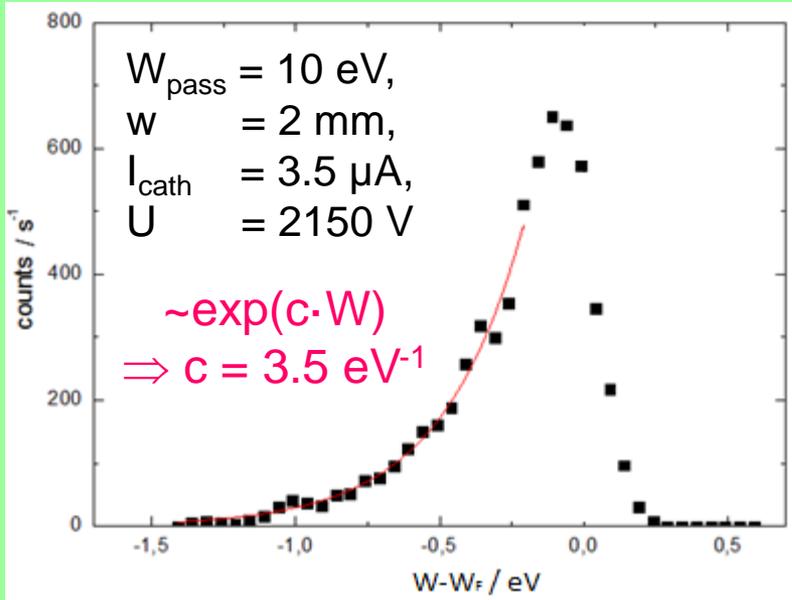
Hemispherical
energy analyser
 $\Delta E < 50 \text{ meV}$



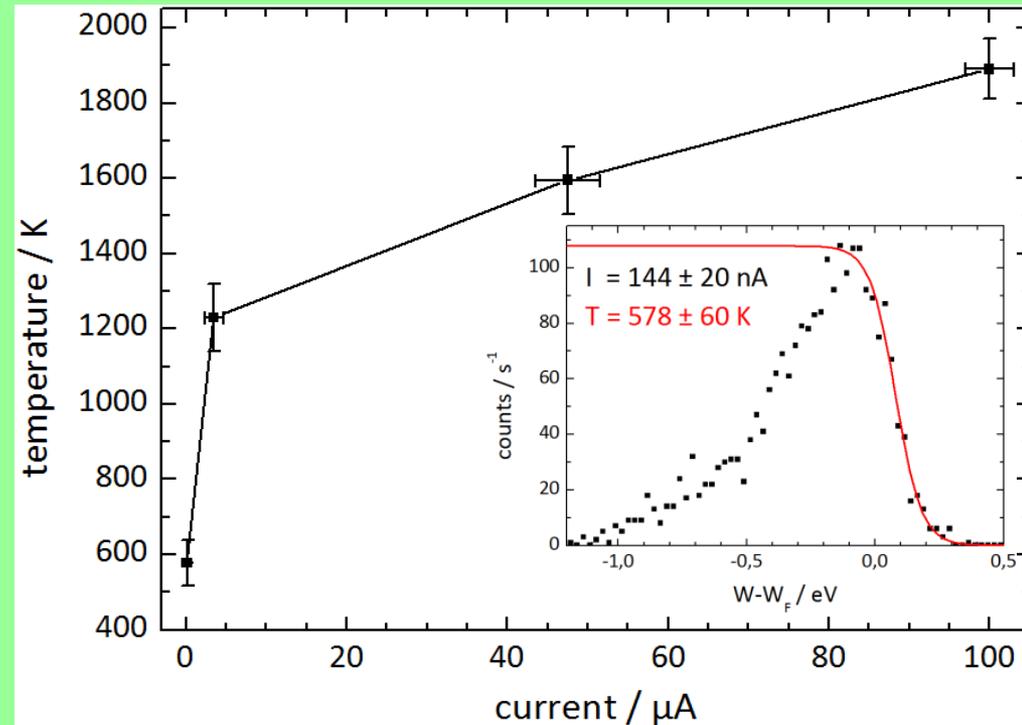
UHV at 10^{-7} Pa
Load lock at 10^{-5} Pa
with ion sputtering system



Determination of work function Φ and T of a W needle



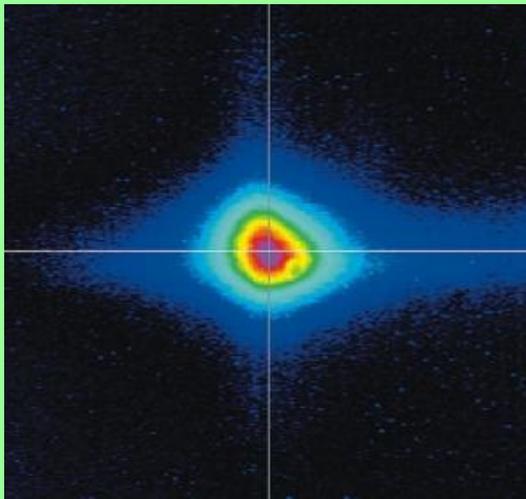
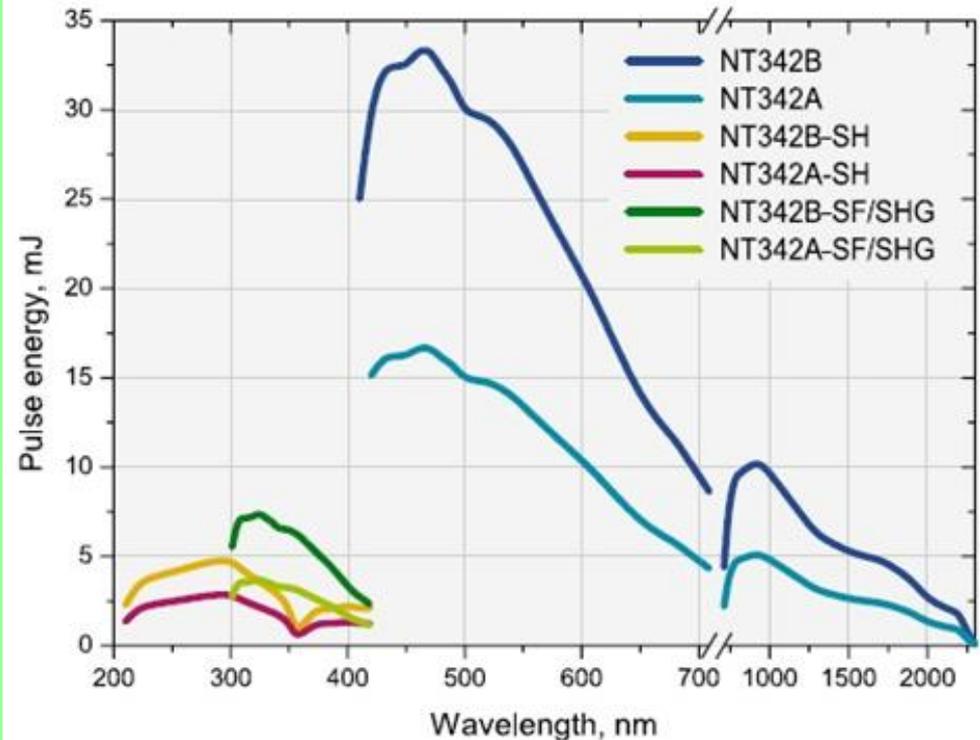
electron spectra for pass energy W_{pass} and slit width w combined with integral I - V curve
 $\Rightarrow \Phi_W = -1.5 \text{ m c}^{-1} \text{ U}^{-1} = 4.39 \pm 0.3 \text{ eV}$
 (literature value: $\Phi_W = 4.55 \text{ eV}$)



Fit of right slope to Fermi-Dirac-function
 \Rightarrow strong T increase of W-needle with I



Tunable OPO Laser for photo-induced FE investigations



- $210 \text{ nm} < \lambda < 2300 \text{ nm}$, linear polarized
- $E_{\text{pulse}} = 2\text{-}30 \text{ mJ}$, $t_{\text{pulse}} = 3\text{-}5 \text{ ns}$
- $f_{\text{rep}} = 10 \text{ Hz}$
- $\varnothing_{\text{beam}} = 4 \text{ mm}$, divergence = 2 mrad

focussing optics under construction (optional $\lambda/4$ -plate for spin polarization)

Outlook and Acknowledgments

- Systematic FESM and HRSEM/EDX investigations on actual Nb samples
A. Navitski, S. Lagotzky (BUW), A. Matheisen, D. Reschke, X. Singer (DESY)
- Correlation studies between Nb surface roughness and EFE (tomorrow)
A. Navitski, S. Lagotzky (BUW), J. Ziegler (DESY), P. Kneisel (TJNAF)
- PFES system ready for development of flat high brightness electron sources
B. Bornmann, S. Mingels (BUW)

Actual Fundings:

Helmholtz Alliance “Physics at the Terascale”
BMBF R&D projects on “SC Cavities” and “FLASH”

