

# QCD and Jets at Colliders

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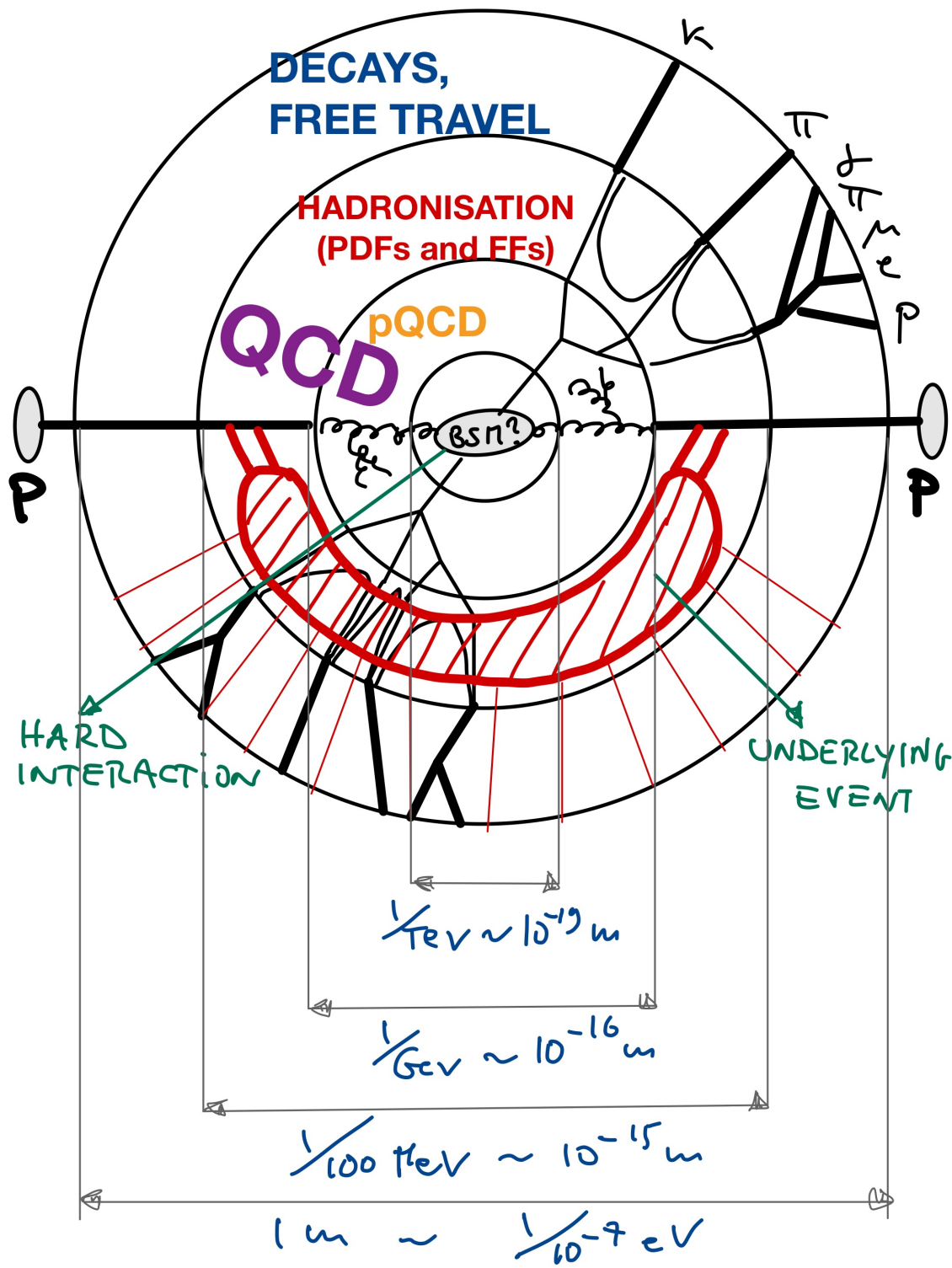
LPTHE Paris and Université Paris Cité

**Lecture 1: QCD and perturbative calculations and tools**  
Lecture 2: Jet algorithms and substructure

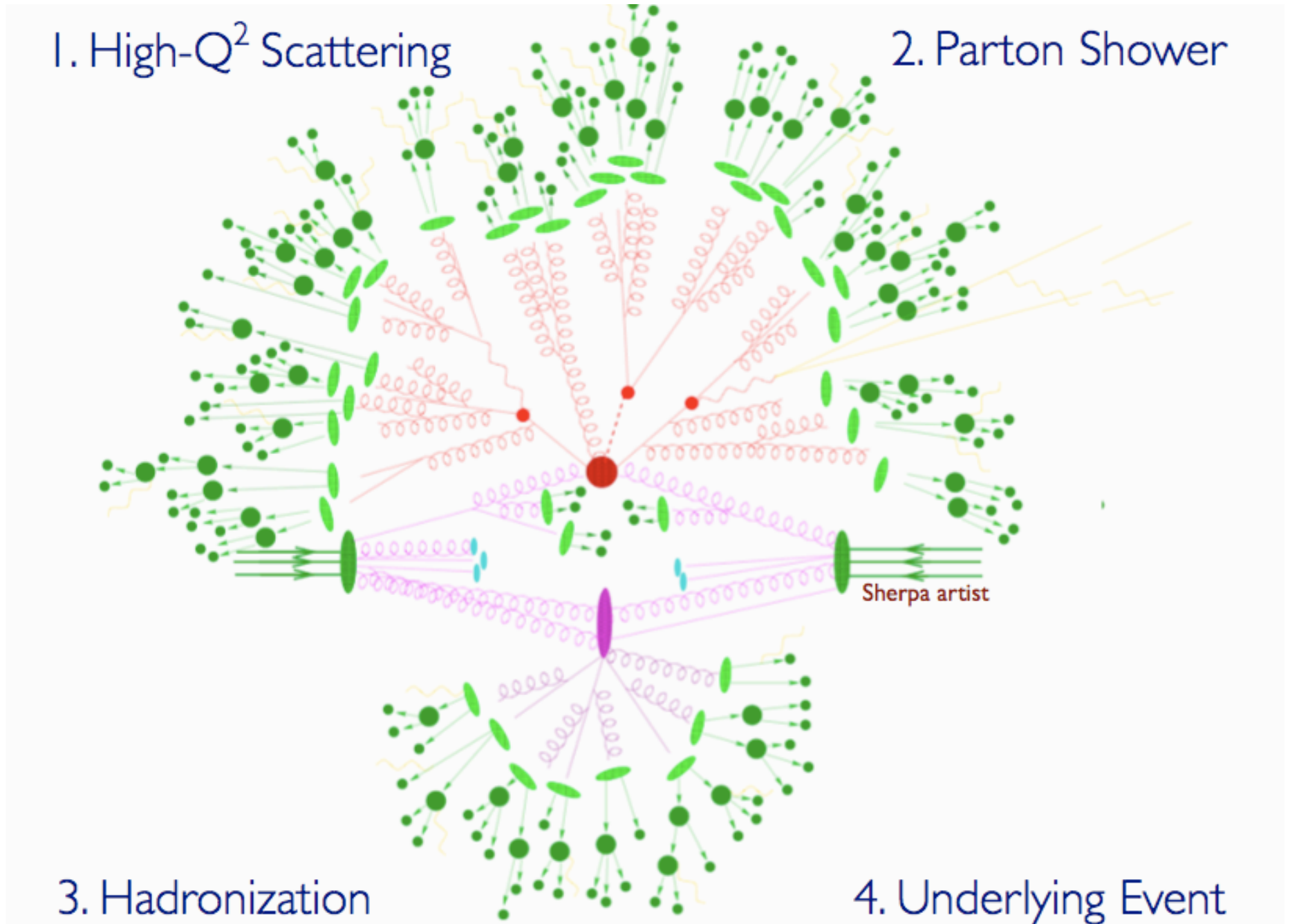
# DETECTOR

# A hadron collider event

[NB. NOT to scale!]



# Strong interactions are complicated



*“We are driven to the conclusion that the Hamiltonian method for strong interactions is dead and must be buried, although of course with deserved honor”*

Lev Landau

*“The correct theory [of strong interactions] will not be found in the next hundred years”*

Freeman Dyson

**We have come a long way towards  
disproving these predictions**

## Books and “classics”...

- T. Muta, *Foundations of Quantum Chromodynamics*, World Scientific (1987)
- R.D. Field, *Applications of perturbative QCD*, Addison Wesley (1989)  
Great for specific examples of detailed calculations
- R.K. Ellis, W.J. Stirling and B.R. Webber, *QCD and Collider Physics*, Cambridge University Press (1996)  
Phenomenology-oriented
- G. Sterman, *An Introduction to Quantum Field Theory*, Cambridge University Press (1993)  
A QFT book, but applications tilted towards QCD
- Dokshitzer, Khoze, Muller, Troyan, *Basics of perturbative QCD*,  
<http://www.lpthe.jussieu.fr/~yuri>  
For the brave ones
- Dissertori, Knowles, Schmelling, *Quantum Chromodynamics: High Energy Experiments and Theory*, Oxford Science Publications
- Campbell, Huston, Krauss, *The Black Book of Quantum Chromodynamics*, Oxford University Press  
Perhaps the most recent QCD book
- M.L. Mangano, *Introduction to QCD*, <http://doc.cern.ch/archive/cernrep//1999/99-04/p53.pdf>
- S. Catani, *Introduction to QCD*, CERN Summer School Lectures 1999

## ...and more recent lectures, slides and..videos

- ▶ Gavin Salam,
  - ▶ “Elements of QCD for Hadron Colliders”, <http://arxiv.org/abs/arXiv:1011.5131>
  - ▶ <http://gsalam.web.cern.ch/gsalam/teaching/PhD-courses.html>
- ▶ Peter Skands
  - ▶ 2015 CERN-Fermilab School lectures, <http://skands.physics.monash.edu/slides/>
  - ▶ “Introduction to QCD”, <http://arxiv.org/abs/arXiv:1207.2389>
- ▶ Fabio Maltoni
  - ▶ “QCD and collider physics”, GGI lectures,  
<https://www.youtube.com/playlist?list=PLICFLtxelrQqvt-e8C5pwBKG4PljSyouP>
- ▶ Search YouTube for “GGI Thaler”, “GGI Soyez”, “GGI Catani” “GGI Peskin”
- ▶ Search You Tube/web for “ICTP particle physics summer school”

# QED v. QCD

QED has a wonderfully simple lagrangian, determined by local gauge invariance

$$\mathcal{L} = \bar{\psi}(i\partial - m)\psi - e\bar{\psi}\not{A}\psi - \frac{1}{4}F_{\mu\nu}F^{\mu\nu}$$

In the same spirit, we build **QCD**:

a **non abelian** local gauge theory, based on **SU(3)<sub>colour</sub>**, with **3 quarks** (for each flavour) in the **fundamental** representation of the group and **8 gluons** in the **adjoint**

$$\mathcal{L} = -\frac{1}{4}F_{\mu\nu}^a F_a^{\mu\nu} + \sum_f \bar{\psi}_i^{(f)}(i\partial - m_f)\psi_i^{(f)} - \bar{\psi}_i^{(f)}(g_s t_{ij}^a A_a)\psi_j^{(f)}$$

Gauge Fields and their interact.

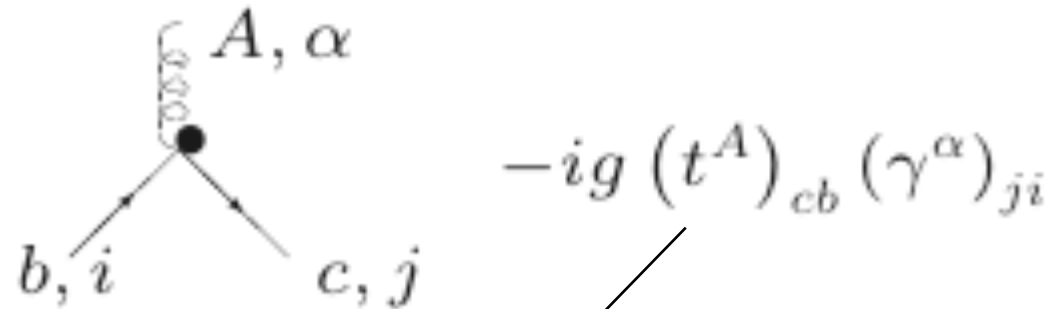
Matter

Interaction

$F_{\mu\nu}^a = \partial_\mu A_\nu^a - \partial_\nu A_\mu^a - g f^{abc} A_\mu^b A_\nu^c$

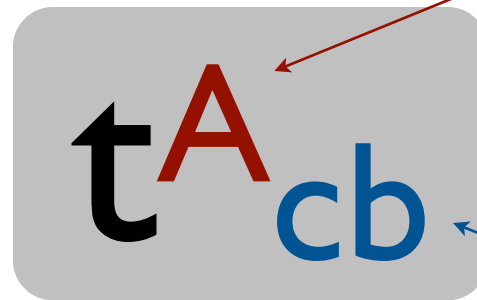
## I. Colour

quark-gluon  
interaction



$$-ig (t^A)_{cb} (\gamma^\alpha)_{ji}$$

colour matrix  
(generator of  $SU(3)_{\text{colour}}$ )



Index of the **adjoint**  
representation

Indices of the **fundamental**  
representation

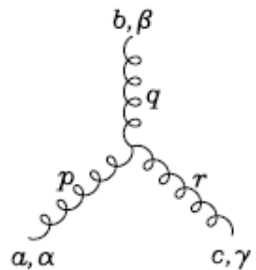


## 2. Gauge bosons self couplings

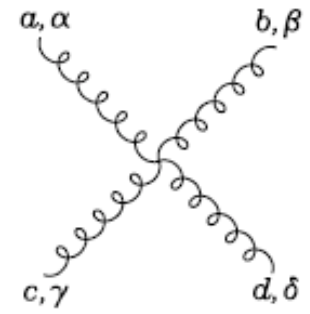
In QCD the gluons interact among themselves:

$$\mathcal{L}_{YM} = -\frac{1}{4} \sum_a F_{\mu\nu}^a F^{a\mu\nu}$$

$$F_{\mu\nu}^a = \partial_\mu A_\nu^a - \partial_\nu A_\mu^a + g f^{abc} A_\mu^b A_\nu^c$$



$$= g f^{abc} [g^{\alpha\beta} (p - q)^\gamma + g^{\beta\gamma} (q - r)^\alpha + g^{\gamma\alpha} (r - p)^\beta]$$



$$= -ig^2 f^{rac} f^{rbd} (g^{\alpha\beta} g^{\gamma\delta} - g^{\alpha\delta} g^{\beta\gamma}) - ig^2 f^{rad} f^{rbc} (g^{\alpha\beta} g^{\gamma\delta} - g^{\alpha\gamma} g^{\beta\delta}) - ig^2 f^{rab} f^{rcd} (g^{\alpha\gamma} g^{\beta\delta} - g^{\alpha\delta} g^{\beta\gamma})$$

New Feynman diagrams, in addition to the 'standard' QED-like ones

**Direct consequence of non-abelianity of theory**

## 3. Need for ghosts

Cancel unphysical degrees of freedom that would otherwise propagate in covariant gauges

ghost propagator

$$\begin{array}{l}
 \text{ghost propagator} \rightarrow \begin{array}{l} \text{A, } \alpha \text{ p} \quad \text{B, } \beta \\ \text{-----} \end{array} \delta^{AB} \left[ -g^{\alpha\beta} + (1 - \lambda) \frac{p^\alpha p^\beta}{p^2 + i\varepsilon} \right] \frac{i}{p^2 + i\varepsilon} \\
 \begin{array}{l} \text{A} \quad \text{p} \quad \text{B} \\ \text{-----} \end{array} \delta^{AB} \frac{i}{p^2 + i\varepsilon} \\
 \begin{array}{l} \text{a, } i \quad \text{p} \quad \text{b, } j \\ \text{-----} \end{array} \delta^{ab} \frac{i}{(p - m + i\varepsilon)_{ji}}
 \end{array}$$

gauge parameter

$$\begin{array}{l}
 \begin{array}{l} \text{B, } \beta \\ \text{q} \\ \text{A, } \alpha \quad \text{C, } \gamma \\ \text{p} \quad \text{r} \end{array} \\
 -gf^{ABC} \left[ g^{\alpha\beta} (p - q)^\gamma \right. \\
 \left. + g^{\beta\gamma} (q - r)^\alpha \right. \\
 \left. + g^{\gamma\alpha} (r - p)^\beta \right] \\
 \text{(all momenta incoming)}
 \end{array}$$

$$\begin{array}{l}
 \begin{array}{l} \text{A, } \alpha \quad \text{B, } \beta \\ \text{C, } \gamma \quad \text{D, } \delta \end{array} \\
 \begin{array}{l} -ig^2 f^{XAC} f^{XBD} \\ -ig^2 f^{XAD} f^{XBC} \\ -ig^2 f^{XAB} f^{XCD} \end{array} \begin{array}{l} (g_{\alpha\beta} g_{\gamma\delta} - g_{\alpha\delta} g_{\beta\gamma}) \\ (g_{\alpha\beta} g_{\gamma\delta} - g_{\alpha\gamma} g_{\beta\delta}) \\ (g_{\alpha\gamma} g_{\beta\delta} - g_{\alpha\delta} g_{\beta\gamma}) \end{array}
 \end{array}$$

gluon-ghost vertex

$$\begin{array}{l}
 \begin{array}{l} \text{A, } \alpha \\ \text{B} \quad \text{C} \\ \text{q} \end{array} \\
 gf^{ABC} q^\alpha
 \end{array}$$

$$\begin{array}{l}
 \begin{array}{l} \text{A, } \alpha \\ \text{b, } i \quad \text{c, } j \end{array} \\
 -ig (t^A)_{cb} (\gamma^\alpha)_{ji}
 \end{array}$$

Table 1: Feynman rules for QCD in a covariant gauge.

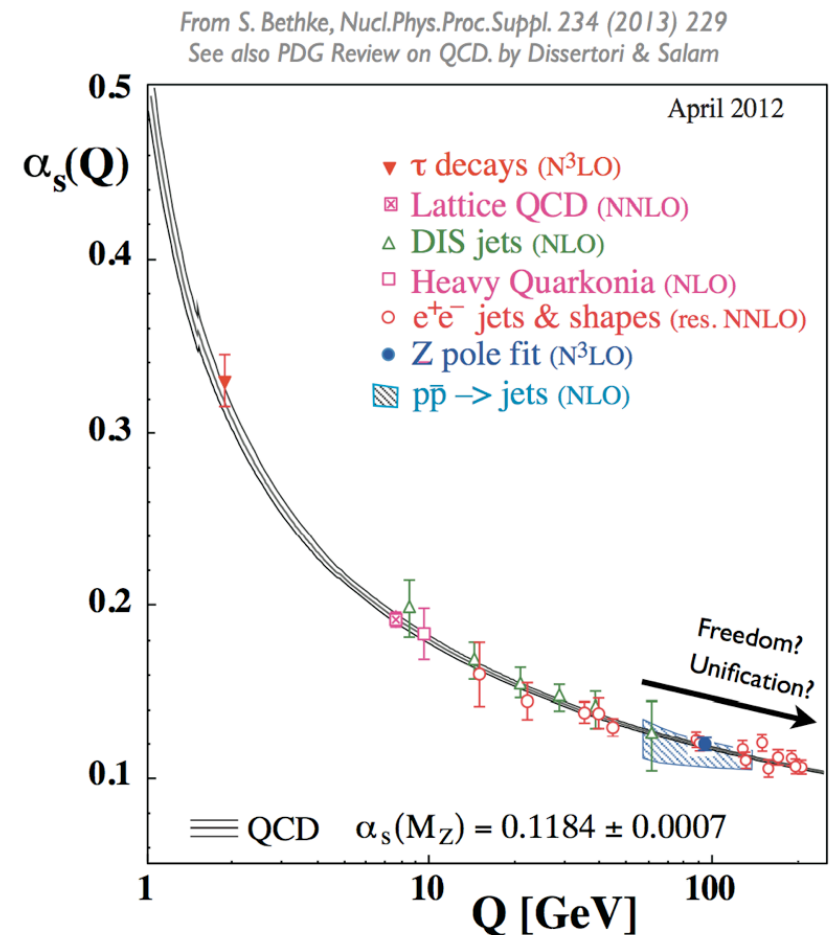
## Macroscopic differences

### I. Confinement (probably -- no proof in QCD)

We never observe the fundamental degrees of freedom (quarks and gluons). They are always confined into hadrons.

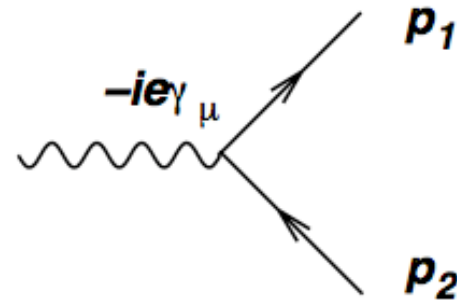
### 2. Asymptotic Freedom

The running coupling of the theory,  $\alpha_s$ , **decreases** at large energies



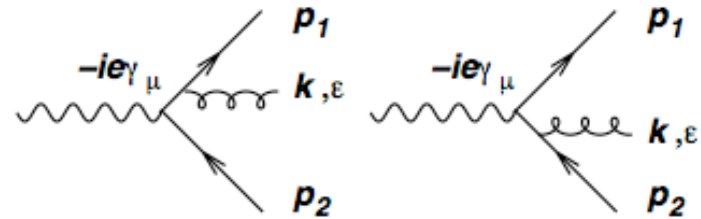
Start with  $\gamma^* \rightarrow q\bar{q}$ :

$$\mathcal{M}_{q\bar{q}} = -\bar{u}(p_1)ie_q\gamma_\mu v(p_2)$$



Emit a gluon:

$$\begin{aligned} \mathcal{M}_{q\bar{q}g} &= \bar{u}(p_1)ig_s\not{t}^A \frac{i}{\not{p}_1 + \not{k}} ie_q\gamma_\mu v(p_2) \\ &\quad - \bar{u}(p_1)ie_q\gamma_\mu \frac{i}{\not{p}_2 + \not{k}} ig_s\not{t}^A v(p_2) \end{aligned}$$



In the **soft** limit ,  $k \ll p_{1,2}$

$$\mathcal{M}_{q\bar{q}g} \simeq \bar{u}(p_1)ie_q\gamma_\mu t^A v(p_2) g_s \left( \frac{p_1 \cdot \epsilon}{p_1 \cdot k} - \frac{p_2 \cdot \epsilon}{p_2 \cdot k} \right)$$

Squared amplitude, including phase space

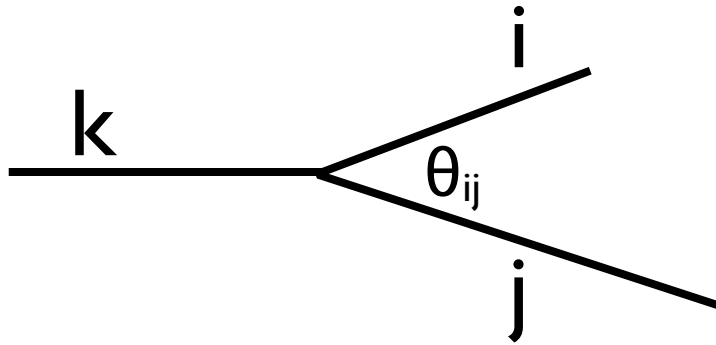
$$d\Phi_{q\bar{q}g} |M_{q\bar{q}g}^2| \simeq (d\Phi_{q\bar{q}} |M_{q\bar{q}}^2|) \frac{d^3\vec{k}}{2E(2\pi)^3} C_F g_s^2 \frac{2p_1 \cdot p_2}{(p_1 \cdot k)(p_2 \cdot k)}$$

**Factorisation:** Born × radiation

Changing variables (use energy of gluon  $E$  and emission angle  $\theta$ ) we get for the radiation part

$$dS = \frac{2\alpha_s C_F}{\pi} \frac{dE}{E} \frac{d\theta}{\sin\theta} \frac{d\phi}{2\pi}$$

# QCD emission probability



$$\frac{dP_{k \rightarrow ij}}{dE_i d\theta_{ij}} \sim \frac{\alpha_s}{\min(E_i, E_j) \theta_{ij}}$$

**Singular** in the **soft** ( $E_{i,j} \rightarrow 0$ ) and  
in the **collinear** ( $\theta_{ij} \rightarrow 0$ ) limits.

**Logarithmically divergent** upon integration

The divergences can be cured by the addition of virtual corrections  
and/or **if** the definition of an observable is appropriate

# Altarelli-Parisi kernel

Using the variables  $E=(1-z)p$  and  $k_t = E\theta$  we can rewrite

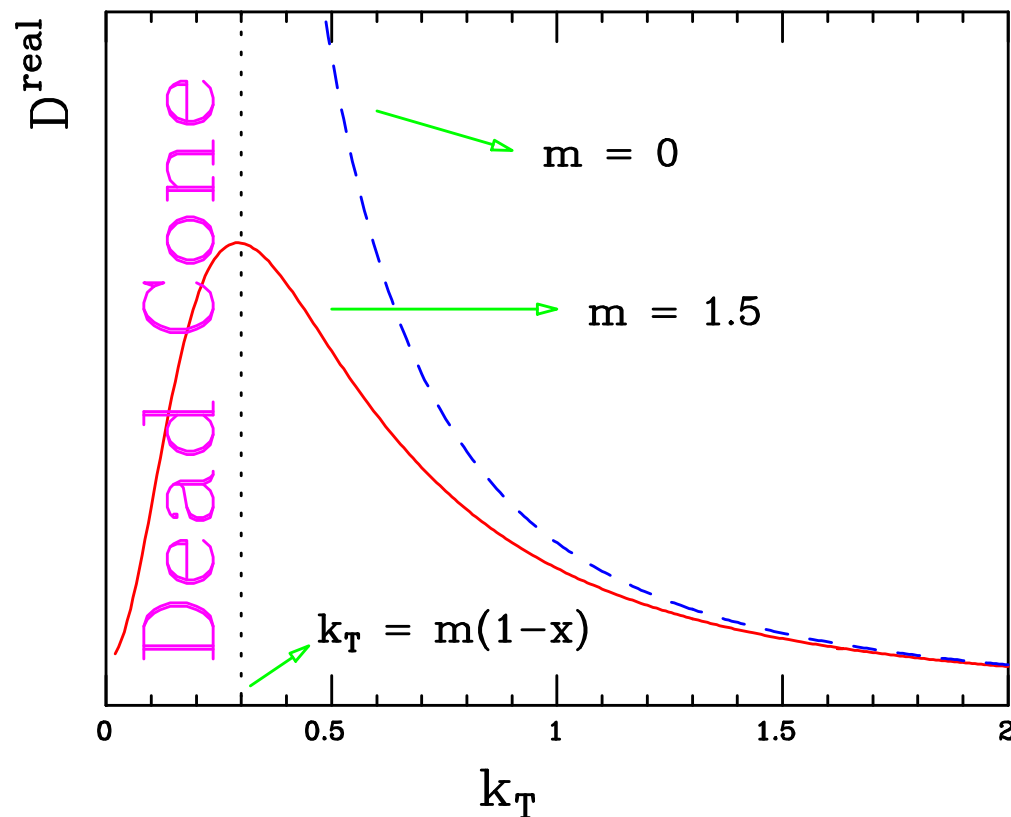
$$dS = \frac{2\alpha_s C_F}{\pi} \frac{dE}{E} \frac{d\theta}{\sin\theta} \frac{d\phi}{2\pi} \rightarrow \frac{\alpha_s C_F}{\pi} \frac{1}{1-z} dz \frac{dk_t^2}{k_t^2} \frac{d\phi}{2\pi}$$

‘almost’ the Altarelli-Parisi  
splitting function  $P_{qq}$

# Massive quarks

If the quark is massive the collinear singularity is **screened**

$$\frac{\alpha_s C_F}{\pi} \frac{1}{1-z} dz \frac{dk_t^2}{k_t^2} \frac{d\phi}{2\pi} \rightarrow \frac{\alpha_s C_F}{\pi} \frac{1}{1-z} dz \frac{dk_t^2}{k_t^2 + (1-z)^2 m^2} \frac{d\phi}{2\pi} + \dots$$

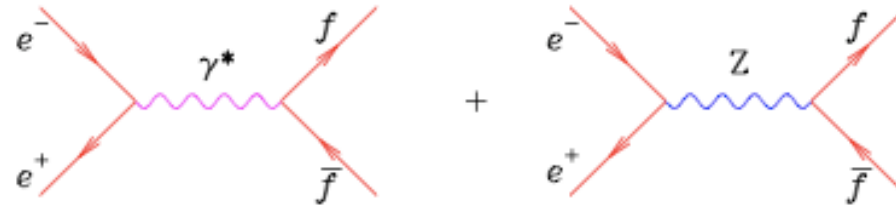




# $e^+e^- \rightarrow \text{hadrons}$

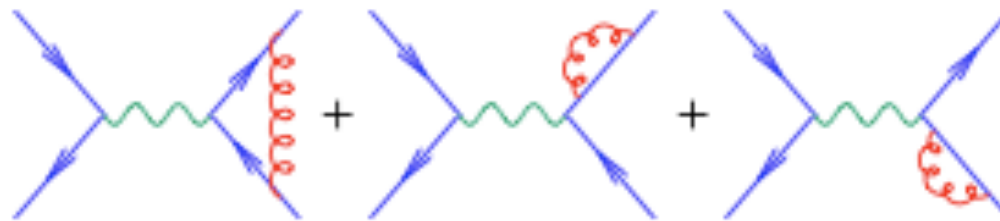
Easiest higher order calculation in QCD. Calculate  $e^+e^- \rightarrow q\bar{q}+X$  in pQCD

Born

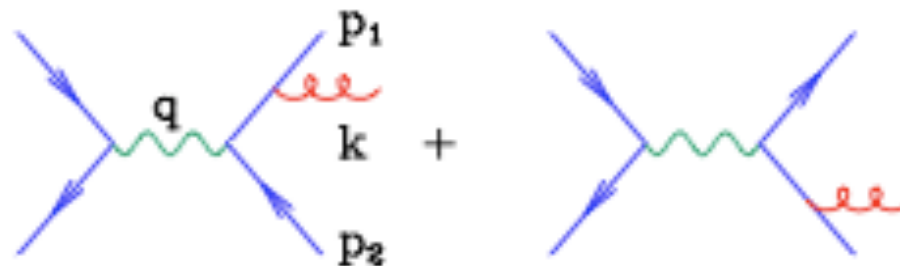


$\alpha_s^0$

Virtual



Real



$\alpha_s^1$

# $e^+e^- \rightarrow$ hadrons

Regularize with dimensional regularization, expand in powers of  $\epsilon$

$$\sigma^{q\bar{q}g} = 2\sigma_0 \frac{\alpha_S}{\pi} H(\epsilon) \left[ \frac{2}{\epsilon^2} + \frac{3}{\epsilon} + \frac{19}{2} - \pi^2 + \mathcal{O}(\epsilon) \right] \quad \text{Real}$$

$$\sigma^{q\bar{q}} = 3\sigma_0 \left\{ 1 + \frac{2\alpha_S}{3\pi} H(\epsilon) \left[ -\frac{2}{\epsilon^2} - \frac{3}{\epsilon} - 8 + \pi^2 + \mathcal{O}(\epsilon) \right] \right\} \quad \text{Virtual}$$

$$R = 3 \sum_q Q_q^2 \left\{ 1 + \frac{\alpha_S}{\pi} + \mathcal{O}(\alpha_S^2) \right\} \quad \text{Sum}$$

**Real and virtual, separately divergent, 'conspire' to make total cross section finite**

# Cancellation of singularities

The cancellation of real and virtual (infrared) singularities is not accidental

## Block-Nordsieck theorem

IR singularities cancel in sum over soft  
unobserved photons in **final** state  
(formulated for massive fermions  $\Rightarrow$  no collinear divergences)

## Kinoshita-Lee-Nauenberg theorem

IR and collinear divergences cancel in sum over  
degenerate **initial** and **final** states

These theorems suggest that the observable must be crafted in a  
proper way for the cancellation to take place

## A mine of QCD information

$$R = \frac{\sigma(e^+e^- \rightarrow \text{hadrons})}{\sigma(e^+e^- \rightarrow \mu^+\mu^-)} = N_c \sum Q_q^2 \left( 1 + \frac{3}{4} C_F \frac{\alpha_s(s)}{\pi} + \mathcal{O}(\alpha_s^2) \right)$$

Number  
of colours

Colour factor  
(Casimir of fundamental  
representation of SU(3))

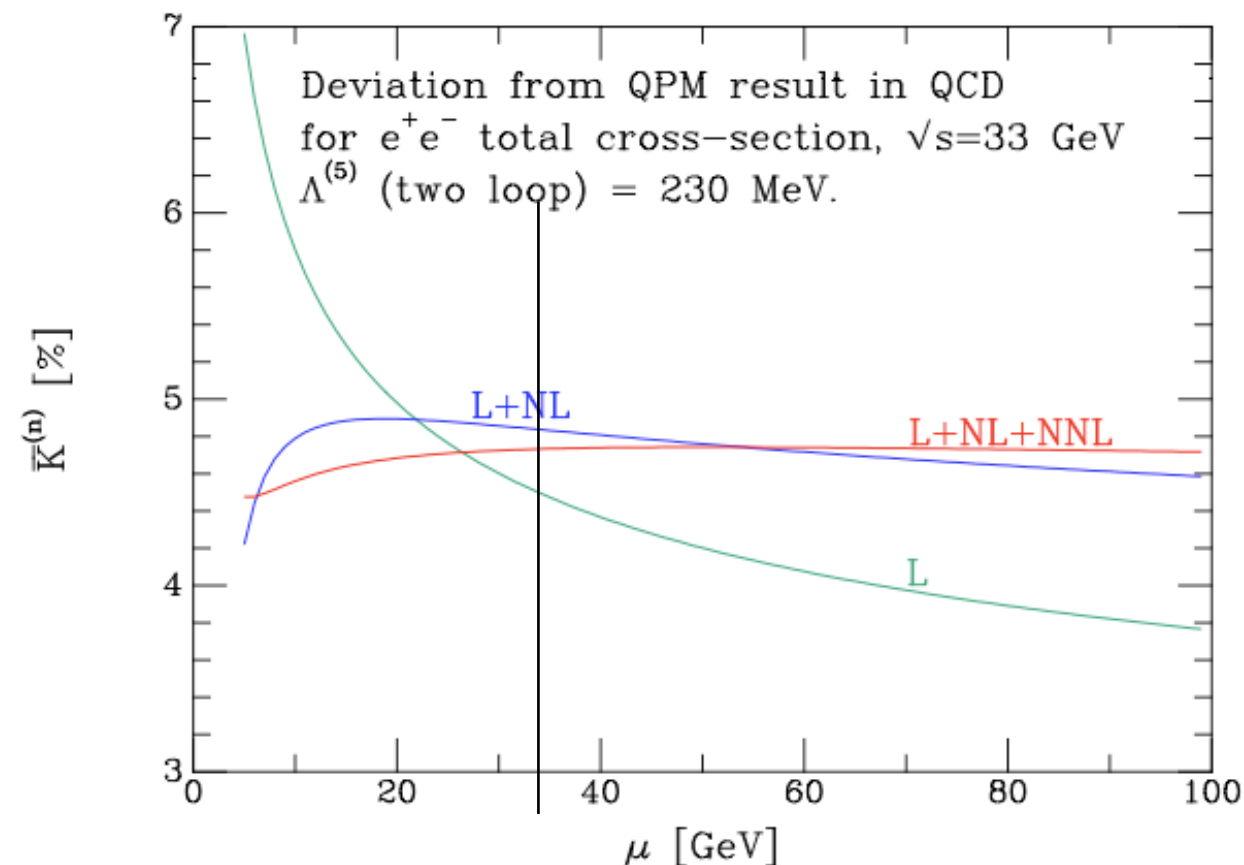
Electric charge of quarks  
(and their number, as you go  
through thresholds in energy)

Running of  $\alpha_s$ , and its  
renormalisation group  
equation

# Scale dependence

When renormalisation (or factorisation) becomes necessary, perturbative calculations end up depending on artificial scales

$$K_{QCD} = 1 + \frac{\alpha_s(\mu^2)}{\pi} + \sum_{n \geq 2} C_n \left( \frac{s}{\mu^2} \right) \left( \frac{\alpha_s(\mu^2)}{\pi} \right)^n$$



The cross section prediction varies with the renormalisation scale choice.

**Which value do we pick for  $\mu$ ?**

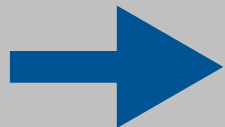
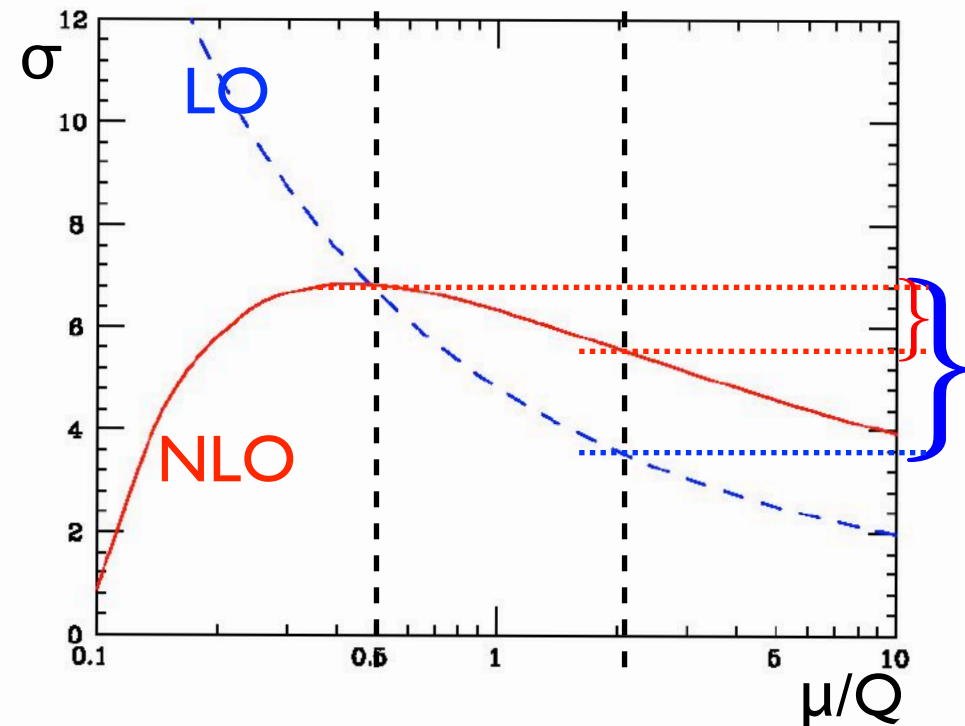
# Theoretical uncertainties

$\mu$  cannot be fixed exactly, and only a physical cross section (and not its perturbative approximation) would be completely independent of  $\mu$

$$\frac{d}{d \ln \mu^2} \sigma^{phys} = 0$$

**In real life: residual dependence at one order higher than the calculation**

$$\frac{d}{d \ln \mu^2} \sum_{p=1}^N c_p(\mu) \alpha_s^p(\mu) \sim \mathcal{O}(\alpha_s^{N+1})$$



Vary scales (around a physical one) to **ESTIMATE** the uncalculated higher orders

In a perturbative calculation  $\sum_{p=1}^N c_p(\mu) \alpha_s^p(\mu)$  the coefficients  $c_p(\mu)$  have the form

$$c_p(\mu) = c_{p0} + \sum_{k=1}^{p-1} c_{pk} \ln^k \mu$$

The coefficients  $c_{pk}$ , with  $k \geq 1$ , can be obtained from the lower order coefficients  $c_n(\mu)$  with  $n < p$ .

E.g. 
$$R(\alpha_s(\mu^2), \mu^2/s) = R_0 \left[ 1 + \frac{\alpha_s(\mu^2)}{\pi} + \left( c_{20} + \pi b_0 \ln \frac{\mu^2}{s} \right) \left( \frac{\alpha_s(\mu^2)}{\pi} \right)^2 + \mathcal{O}(\alpha_s^3) \right]$$

**The only genuine higher order prediction is  $c_{p0}$ .** The other coefficients ensure **cancellation of the scale dependence at order N**

If you get the  $c_{p0}$  wrong, but obtain the other coefficients from lower order calculations, you still get a reduction in scale dependence even if your calculation is incorrect

# Non-perturbative contributions

We have calculated  $\sum_q \sigma(e^+ e^- \rightarrow q\bar{q})$  in **perturbative** QCD

However

$$\sum_q \sigma(e^+ e^- \rightarrow q\bar{q}) \neq \sigma(e^+ e^- \rightarrow \text{hadrons})$$

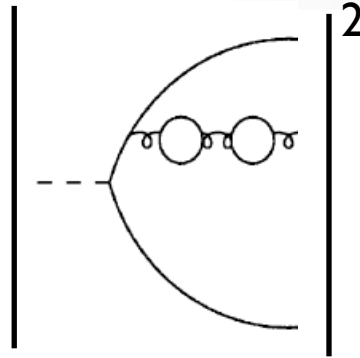
The (small) difference is due to hadronisation corrections,  
and is of non-perturbative origin

We cannot calculate it in pQCD, but in some cases we can get an idea of its  
behaviour from the incompleteness of pQCD itself



# Renormalons

Suppose we keep calculating to higher and higher orders:



$$\rightarrow \alpha_s^{n+1} \beta_{0f}^n n!$$

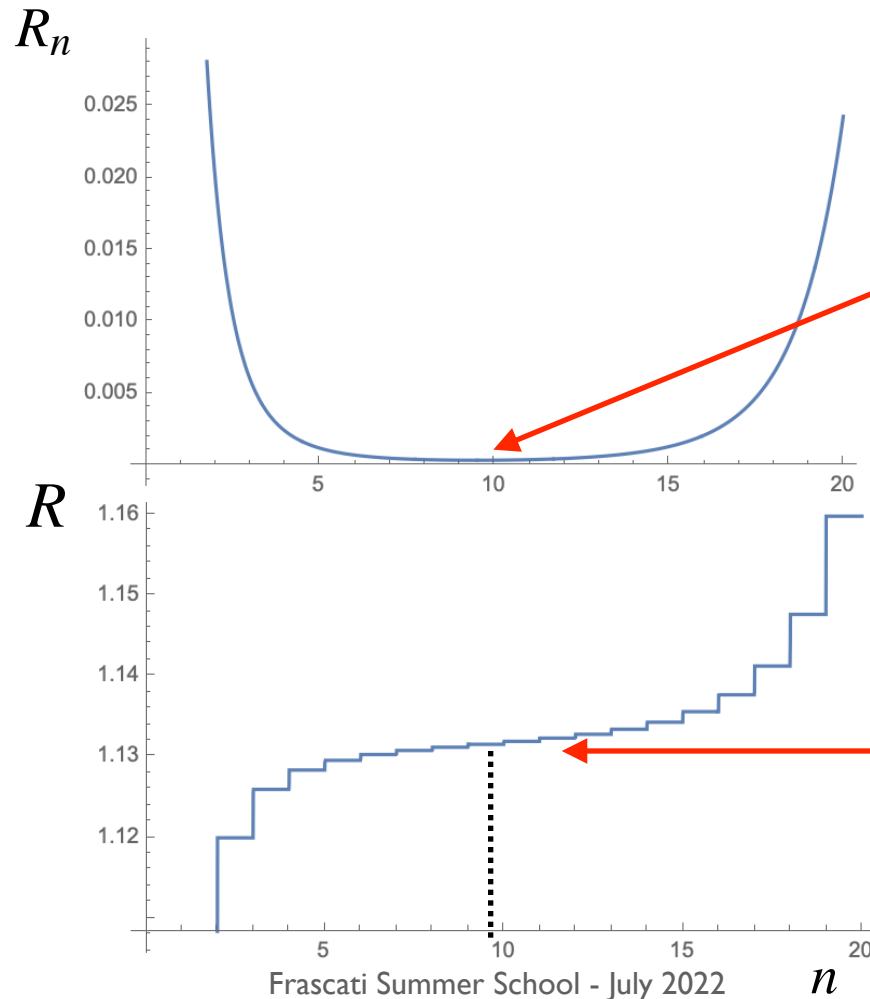
Factorial growth

This is big trouble: the series is **not convergent**, but only **asymptotic**

Evidence: try summing

$$R = \sum_{n=0}^{\infty} \alpha^n n!$$

$$(\alpha = 0.1)$$



minimal term  
 $n_{min} \simeq 1/\alpha$

Asymptotic value of the sum:

$$R^{asympt} \equiv \sum_{n=0}^{n_{min}} R_n$$

# Power corrections

The renormalons signal the **incompleteness** of perturbative QCD

One can only **define** what the sum of a perturbative series is  
(like truncation at the minimal term)

The rest is a **genuine ambiguity**, to be eventually  
lifted by **non-perturbative corrections**:

$$R^{true} = R^{pQCD} + R^{NP}$$

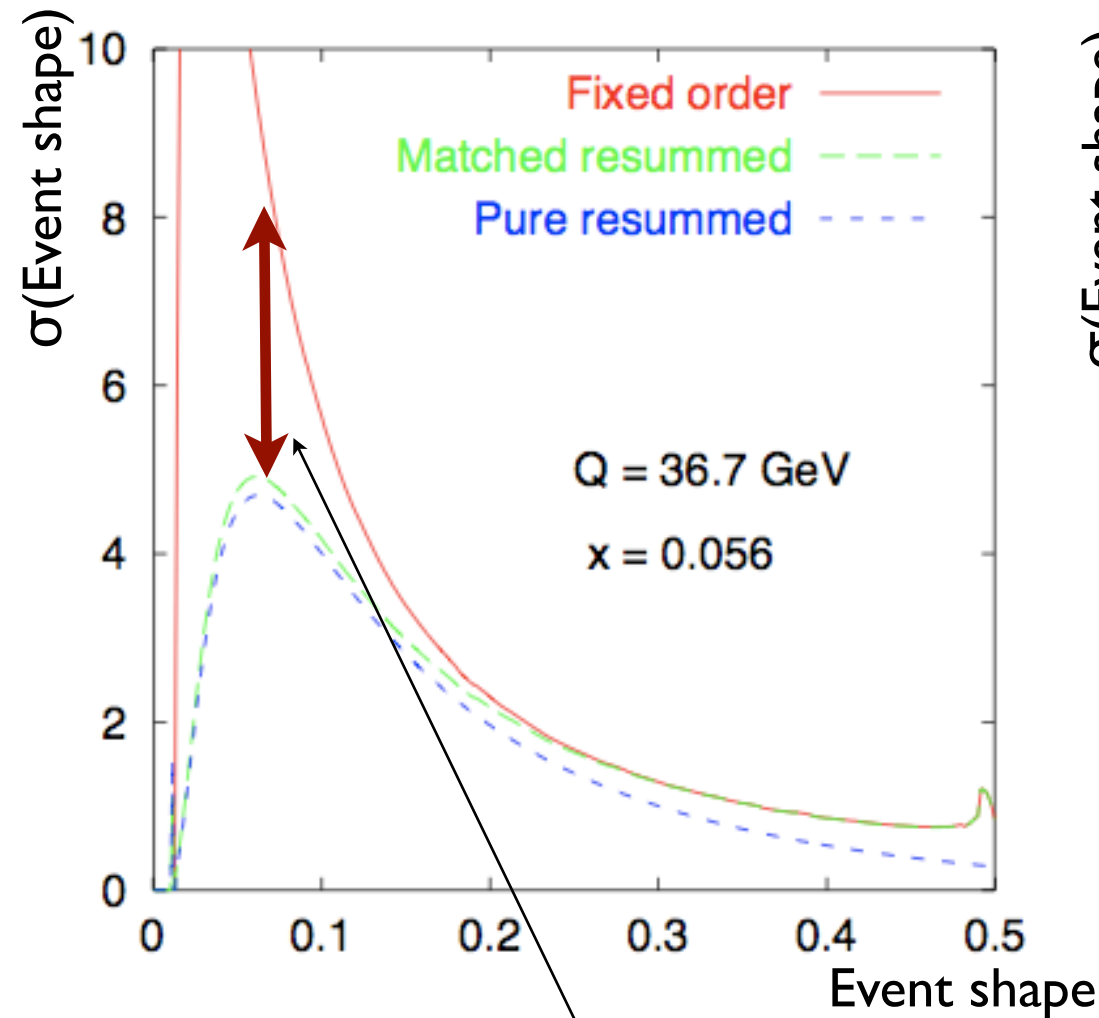
In QCD these non-perturbative  
corrections take the form of  
**power suppressed terms**:

$$R^{NP} \sim \exp\left(-\frac{p}{\beta_0 \alpha_s}\right) = \exp\left(-p \ln \frac{Q^2}{\Lambda^2}\right) = \left(\frac{\Lambda^2}{Q^2}\right)^p$$

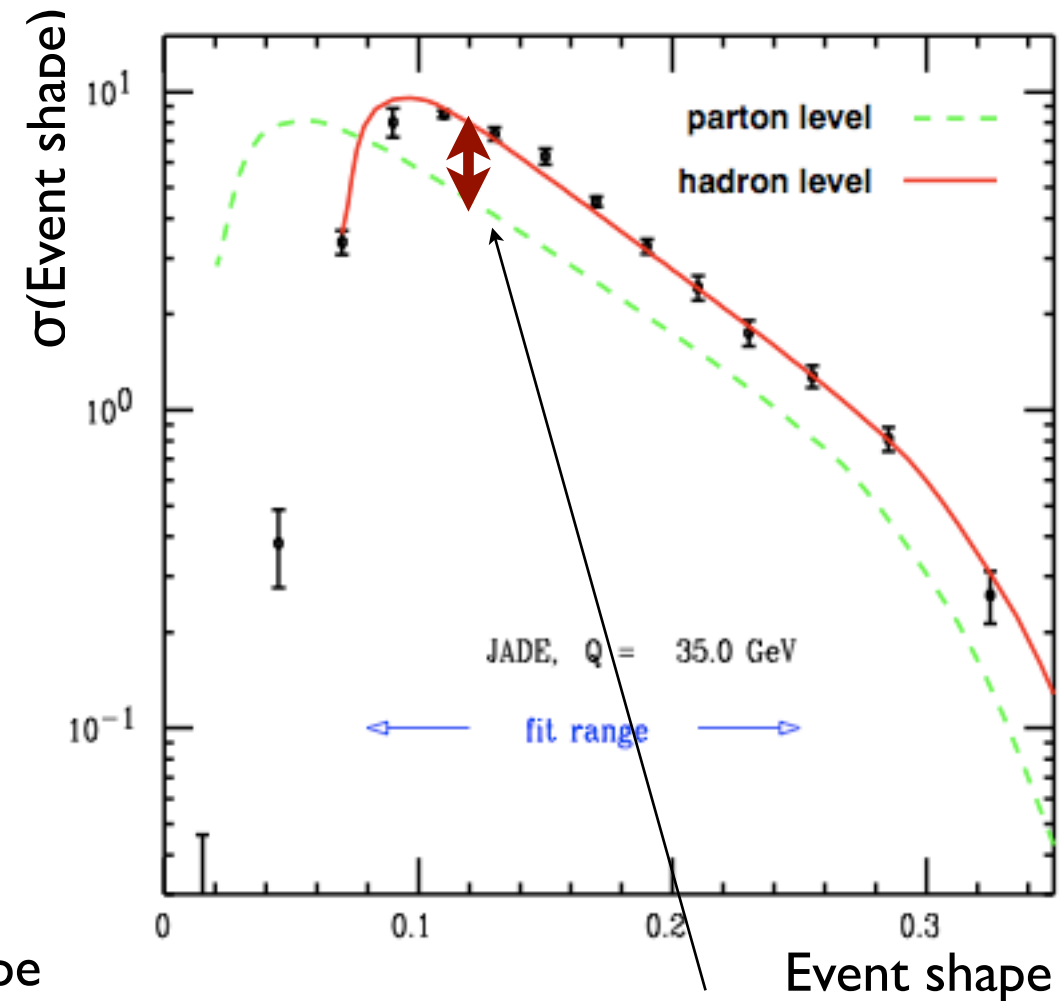
The value of  $p$  depends on the process, and can  
sometimes be predicted by studying the  
perturbative series: **pQCD - NP physics bridge**

# Event shapes

## Perturbative (and NP) QCD predictions



Effect of **higher order logarithms**  
(resummation)

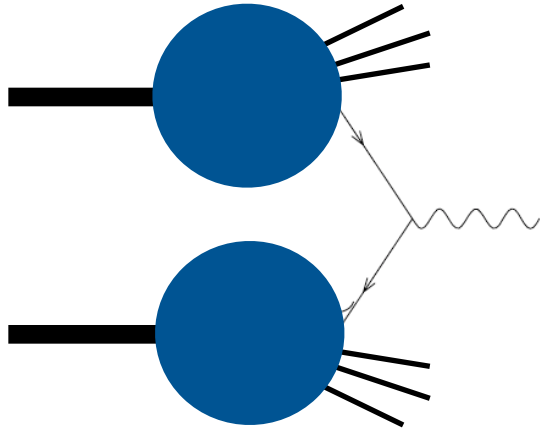


Effect of non-perturbative  
**power corrections**

Power corrections models (i.e. Monte Carlo hadronisation) can be built and tested on data

# pQCD calculations: hadrons

Turn hadron production in  $e^+e^-$  collisions around: Drell-Yan.



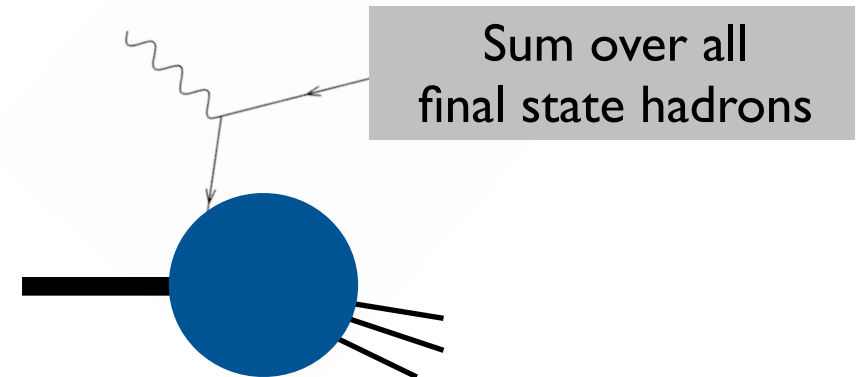
Still easy in **Parton Model**: just a convolution of probabilities

$$\frac{d\sigma_{NN \rightarrow \mu\bar{\mu}+X}(Q, p_1, p_2)}{dQ^2 d\dots} \sim \int d\xi_1 d\xi_2 \sum_{a=q\bar{q}} \frac{d\sigma_{a\bar{a} \rightarrow \mu\bar{\mu}}^{\text{EW, Born}}(Q, \xi_1 p_1, \xi_2 p_2)}{dQ^2 d\dots}$$

$\times$  (probability to find parton  $a(\xi_1)$  in  $N$ )  
 $\times$  (probability to find parton  $\bar{a}(\xi_2)$  in  $N$ )

This isn't anymore an **inclusive process** as far as hadrons are concerned:  
 I find them in the initial state, **I can't 'sum over all of them'**

Still, the picture holds at tree level (**parton model**)  
 The parton distribution functions can be roughly equated to those extracted from DIS



The non-inclusiveness of a general strong interaction process is a threat to calculability.

What do we do if we can't count on Bloch-Nordsieck and Kinoshita-Lee-Nauenberg?

QCD calculations adopt two strategies:

- ▶ **Infrared and collinear safe observables**

- ▶ less inclusive but still calculable in pQCD

- ▶ **Factorisation**

- ▶ trade divergencies for universal measurable quantities

A generic (not fully inclusive) observable  $O$  is **infrared and collinear safe** if

$$O(X; p_1, \dots, p_n, p_{n+1} \rightarrow 0) \rightarrow O(X; p_1, \dots, p_n)$$

$$O(X; p_1, \dots, p_n \parallel p_{n+1}) \rightarrow O(X; p_1, \dots, p_n + p_{n+1})$$

Infrared and collinear safety demands that, in the limit of a collinear splitting, or the emission of an infinitely soft particle, the observable remain **unchanged**

Cancellation of singularities in **total cross section** (KLN)

$$\sigma_{tot} = \int_n |M_n^B|^2 d\Phi_n + \int_n |M_n^V|^2 d\Phi_n + \int_{n+1} |M_{n+1}^R|^2 d\Phi_{n+1}$$

## A generic observable

$$\begin{aligned} \frac{dO}{dX} &= \int_n |M_n^B|^2 O(X; p_1, \dots, p_n) d\Phi_n \\ &+ \int_n |M_n^V|^2 O(X; p_1, \dots, p_n) d\Phi_n + \int_{n+1} |M_{n+1}^R|^2 O(X; p_1, \dots, p_n, p_{n+1}) d\Phi_{n+1} \end{aligned}$$

In order to ensure the same cancellation existing in  $\sigma_{tot}$ , the definition of the observable must not affect the soft/collinear limit of the real emission term, because it is there that the real/virtual cancellation takes place

# Example of IRC-safe observable

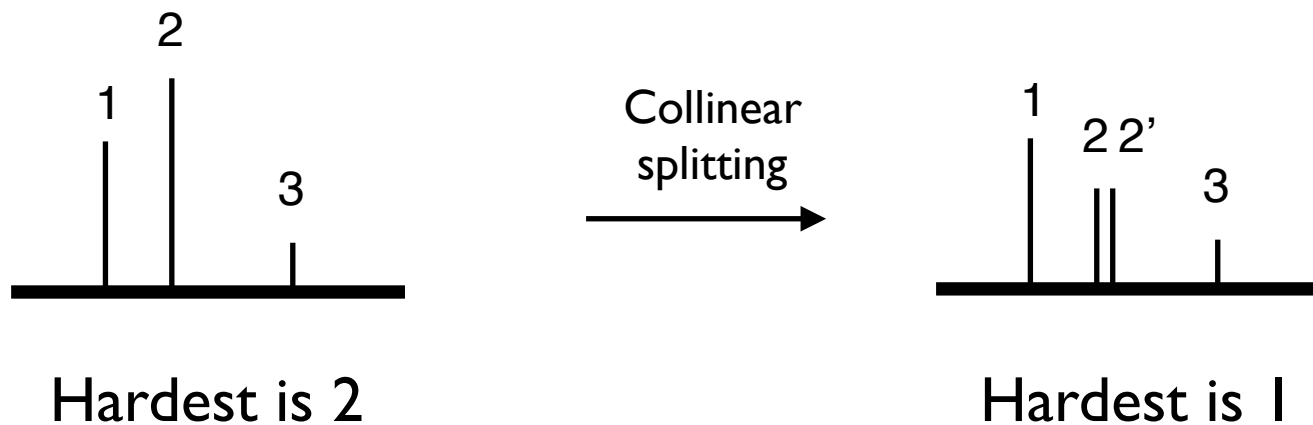
Thrust 
$$T = \max_{\mathbf{n}} \frac{\sum_i |\mathbf{p}_i \cdot \mathbf{n}|}{\sum_i |\mathbf{p}_i|}$$

- If a  $\mathbf{p}_i \rightarrow 0$  (i.e. **soft** limit) it does not contribute to the sum  
 $\Rightarrow T$  is **unchanged**  $\Rightarrow$  OK
- If a  $\mathbf{p}_i \rightarrow (1 - \lambda)\mathbf{p}_i + \lambda\mathbf{p}_i$  (i.e. **collinear** splitting) then
  - In the numerator  
 $|(1 - \lambda)\mathbf{p}_i \cdot \mathbf{n}| + |\lambda\mathbf{p}_i \cdot \mathbf{n}| = (1 - \lambda)|\mathbf{p}_i \cdot \mathbf{n}| + \lambda|\mathbf{p}_i \cdot \mathbf{n}| = |\mathbf{p}_i \cdot \mathbf{n}|$
  - In the denominator  
 $|(1 - \lambda)\mathbf{p}_i| + |\lambda\mathbf{p}_i| = (1 - \lambda)|\mathbf{p}_i| + \lambda|\mathbf{p}_i| = |\mathbf{p}_i|$ $\Rightarrow T$  is **unchanged**  $\Rightarrow$  OK



# Examples of IRC-unsafety

- Multiplicity (e.g. of gluons) is **soft unsafe**
  - One can always emit an additional infinitely soft particle, or split collinearly another, while conserving energy and momentum
- The hardest particle in an event is **collinear unsafe**



Note that IRC safety is a requirement for **perturbative calculability**. We can observe the hardest particle in an event, as we can count the number of pions. But we can't calculate their cross sections perturbatively

# Drell-Yan: factorisation

Non fully inclusive process (hadrons in initial state):  
non cancellation of collinear singularities in pQCD

Same procedure used for renormalising the coupling:  
reabsorb the divergence into bare non-perturbative quantities, the parton probabilities (collinear factorisation)

## The factorisation theorem

$$\sigma^{phys} = F^{bare} F^{bare} \sigma^{divergent}(\epsilon) = F(\mu) F(\mu) \hat{\sigma}(\mu)$$

infrared  
regulator

Parton Distribution  
Function

factorisation  
scale

short-distance  
cross section

and (schematically)

$$F(\mu) = F^{bare} \left( 1 + \alpha_s P \log \frac{\mu^2}{\mu_0^2} \right)$$

This factor  
universal

# Drell-Yan: NLO result

$$\begin{aligned}
 \frac{d^2 \hat{\sigma}_{q\bar{q} \rightarrow \gamma^* g}^{(1)}(z, Q^2, \mu^2)}{dQ^2} &= \sigma_0(Q^2) \left( \frac{\alpha_s(\mu)}{\pi} \right) \left\{ 2(1+z^2) \left[ \frac{\ln(1+z^2)}{1-z} \right]_+ \right. \\
 &\quad \left. - \frac{[(1+z^2) \ln z]}{(1-z)} + \left( \frac{\pi^2}{3} - 4 \right) \delta(1-z) \right\} \longrightarrow \text{soft and collinear large log} \\
 &\quad + \sigma_0(Q^2) C_F \left( \frac{\alpha_s}{\pi} \left[ \frac{1+z^2}{1-z} \right]_+ \ln \left( \frac{Q^2}{\mu^2} \right) \right) \longrightarrow \text{residual of collinear factorisation}
 \end{aligned}$$

A prototype of QCD calculations: many finite terms but, more importantly, a few characteristic **large logarithms**

In many circumstances and kinematical situations **the logs are much more important than the finite terms**: hence in pQCD **resummations** of these terms are often phenomenologically **more relevant than a full higher order calculation**

Factorisation

$$\sigma^{phys} = F(\mu) \hat{\sigma}(\mu)$$



Evolution

$$\frac{d}{d \ln \mu^2} \ln \sigma^{phys} = 0 \quad \Rightarrow \quad \frac{d \ln \hat{\sigma}(\mu)}{\ln \mu^2} = - \frac{d \ln F(\mu)}{\ln \mu^2} = -\alpha_s P$$

$$F(\mu) = F^{bare} \left( 1 + \alpha_s P \log \frac{\mu^2}{\mu_0^2} \right)$$

DGLAP evolution equations for PDF's



Resummation

Solution of evolution equations  
resums higher order terms  
Responsible for **scaling violations**  
(for instance in DIS structure functions)

# DGLAP equations

[Dokshitzer, Gribov, Lipatov,  
Altarelli, Parisi]

$$\frac{df_q(x, t)}{dt} = \frac{\alpha_s}{2\pi} \int_x^1 \frac{dz}{z} \left[ P_{qq}(z) f_q\left(\frac{x}{z}, t\right) + P_{qg}(z) f_g\left(\frac{x}{z}, t\right) \right]$$

$$\frac{df_g(x, t)}{dt} = \frac{\alpha_s}{2\pi} \int_x^1 \frac{dz}{z} \left[ P_{gq}(z) \sum_{i=q, \bar{q}} f_i\left(\frac{x}{z}, t\right) + P_{gg}(z) f_g\left(\frac{x}{z}, t\right) \right]$$

The Altarelli-Parisi kernels control the evolution of  
the Parton Distribution Functions

# Altarelli-Parisi kernels

[Altarelli-Parisi, 1977,  
Dokshitzer, 1977]

$$P_{gg} \rightarrow 2C_A \left\{ \frac{x}{(1-x)_+} + \frac{1-x}{x} + x(1-x) \right\} + \delta(1-x) \left[ \frac{11C_A - 2n_f}{6} \right]$$

$$P_{qq}(z) \rightarrow \left( \frac{1+z^2}{1-z} \right)_+ \equiv \frac{1+z^2}{1-z} - \delta(1-z) \int_0^1 dy \left( \frac{1+y^2}{1-y} \right)$$

$$P_{qg} = \frac{1}{2} \left[ z^2 + (1-z)^2 \right]$$

$$P_{gq}(z) = C_F \frac{1 + (1-z)^2}{z}$$

Higher orders: Curci-Furmansky-Petronzio (1980), Moch, Vermaseren, Vogt (2004)

# Altarelli-Parisi kernels: NLO

$$P_{ps}^{(1)}(x) = 4 C_F \eta \left( \frac{20}{9} \frac{1}{x} - 2 + 6x - 4H_0 + x^2 \left[ \frac{8}{3} H_0 - \frac{56}{9} \right] + (1+x) \left[ 5H_0 - 2H_{0,0} \right] \right)$$

$$P_{qg}^{(1)}(x) = 4 C_A \eta \left( \frac{20}{9} \frac{1}{x} - 2 + 25x - 2p_{qg}(-x)H_{-1,0} - 2p_{qg}(x)H_{1,1} + x^2 \left[ \frac{44}{3} H_0 - \frac{218}{9} \right] \right. \\ \left. + 4(1-x) \left[ H_{0,0} - 2H_0 + xH_1 \right] - 4\zeta_2 x - 6H_{0,0} + 9H_0 \right) + 4 C_F \eta \left( 2p_{qg}(x) \left[ H_{1,0} + H_{1,1} + H_2 \right. \right. \\ \left. \left. - \zeta_2 \right] + 4x^2 \left[ H_0 + H_{0,0} + \frac{5}{2} \right] + 2(1-x) \left[ H_0 + H_{0,0} - 2xH_1 + \frac{29}{4} \right] - \frac{15}{2} - H_{0,0} - \frac{1}{2} H_0 \right)$$

$$P_{gq}^{(1)}(x) = 4 C_A C_F \left( \frac{1}{x} + 2p_{gq}(x) \left[ H_{1,0} + H_{1,1} + H_2 - \frac{11}{6} H_1 \right] - x^2 \left[ \frac{8}{3} H_0 - \frac{44}{9} \right] + 4\zeta_2 - 2 \right. \\ \left. - 7H_0 + 2H_{0,0} - 2H_1 x + (1+x) \left[ 2H_{0,0} - 5H_0 + \frac{37}{9} \right] - 2p_{gq}(-x)H_{-1,0} \right) - 4 C_F \eta \left( \frac{2}{3} x \right. \\ \left. - p_{gq}(x) \left[ \frac{2}{3} H_1 - \frac{10}{9} \right] \right) + 4 C_F^2 \left( p_{gq}(x) \left[ 3H_1 - 2H_{1,1} \right] + (1+x) \left[ H_{0,0} - \frac{7}{2} + \frac{7}{2} H_0 \right] - 3H_{0,0} \right. \\ \left. + 1 - \frac{3}{2} H_0 + 2H_1 x \right)$$

$$P_{gg}^{(1)}(x) = 4 C_A \eta \left( 1 - x - \frac{10}{9} p_{gg}(x) - \frac{13}{9} \left( \frac{1}{x} - x^2 \right) - \frac{2}{3} (1+x) H_0 - \frac{2}{3} \delta(1-x) \right) + 4 C_A^2 \left( 27 \right. \\ \left. + (1+x) \left[ \frac{11}{3} H_0 + 8H_{0,0} - \frac{27}{2} \right] + 2p_{gg}(-x) \left[ H_{0,0} - 2H_{-1,0} - \zeta_2 \right] - \frac{67}{9} \left( \frac{1}{x} - x^2 \right) - 12H_0 \right. \\ \left. - \frac{44}{3} x^2 H_0 + 2p_{gg}(x) \left[ \frac{67}{18} - \zeta_2 + H_{0,0} + 2H_{1,0} + 2H_2 \right] + \delta(1-x) \left[ \frac{8}{3} + 3\zeta_3 \right] \right) + 4 C_F \eta \left( 2H_0 \right. \\ \left. + \frac{2}{3} \frac{1}{x} + \frac{10}{3} x^2 - 12 + (1+x) \left[ 4 - 5H_0 - 2H_{0,0} \right] - \frac{1}{2} \delta(1-x) \right) .$$

$$P_{ab} = \frac{\alpha_s}{2\pi} P^{(0)} + \frac{\alpha_s^2}{16\pi^2} P^{(1)}$$

Curci, Furmanski  
& Petronzio '80

# Altarelli-Parisi kernels: NNLO

Diagram 1: Two-gluon emission from a quark line. The diagram shows a quark line with two gluon emissions. The first gluon is emitted from the quark line, and the second gluon is emitted from the quark line. The diagram is labeled with a small number 1.

Diagram 2: Two-gluon emission from a quark line. The diagram shows a quark line with two gluon emissions. The first gluon is emitted from the quark line, and the second gluon is emitted from the quark line. The diagram is labeled with a small number 2.

Diagram 3: Two-gluon emission from a quark line. The diagram shows a quark line with two gluon emissions. The first gluon is emitted from the quark line, and the second gluon is emitted from the quark line. The diagram is labeled with a small number 3.

Diagram 4: Two-gluon emission from a quark line. The diagram shows a quark line with two gluon emissions. The first gluon is emitted from the quark line, and the second gluon is emitted from the quark line. The diagram is labeled with a small number 4.

Diagram 5: Two-gluon emission from a quark line. The diagram shows a quark line with two gluon emissions. The first gluon is emitted from the quark line, and the second gluon is emitted from the quark line. The diagram is labeled with a small number 5.

Diagram 6: Two-gluon emission from a quark line. The diagram shows a quark line with two gluon emissions. The first gluon is emitted from the quark line, and the second gluon is emitted from the quark line. The diagram is labeled with a small number 6.

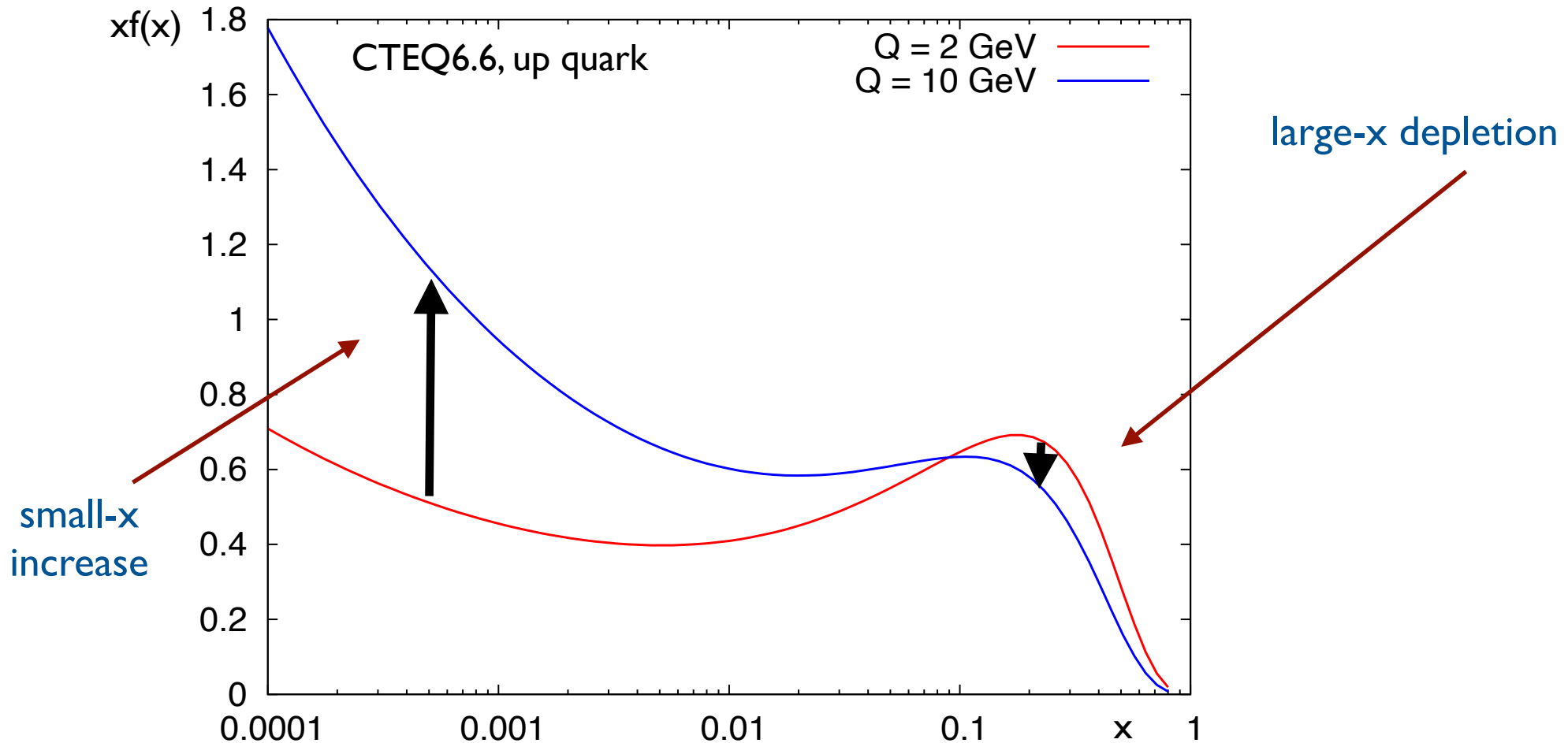
Diagram 7: Two-gluon emission from a quark line. The diagram shows a quark line with two gluon emissions. The first gluon is emitted from the quark line, and the second gluon is emitted from the quark line. The diagram is labeled with a small number 7.

Diagram 8: Two-gluon emission from a quark line. The diagram shows a quark line with two gluon emissions. The first gluon is emitted from the quark line, and the second gluon is emitted from the quark line. The diagram is labeled with a small number 8.

NNLO,  $P_{ab}^{(2)}$ : Moch, Vermaseren & Vogt '04



# DGLAP evolution of PDFs



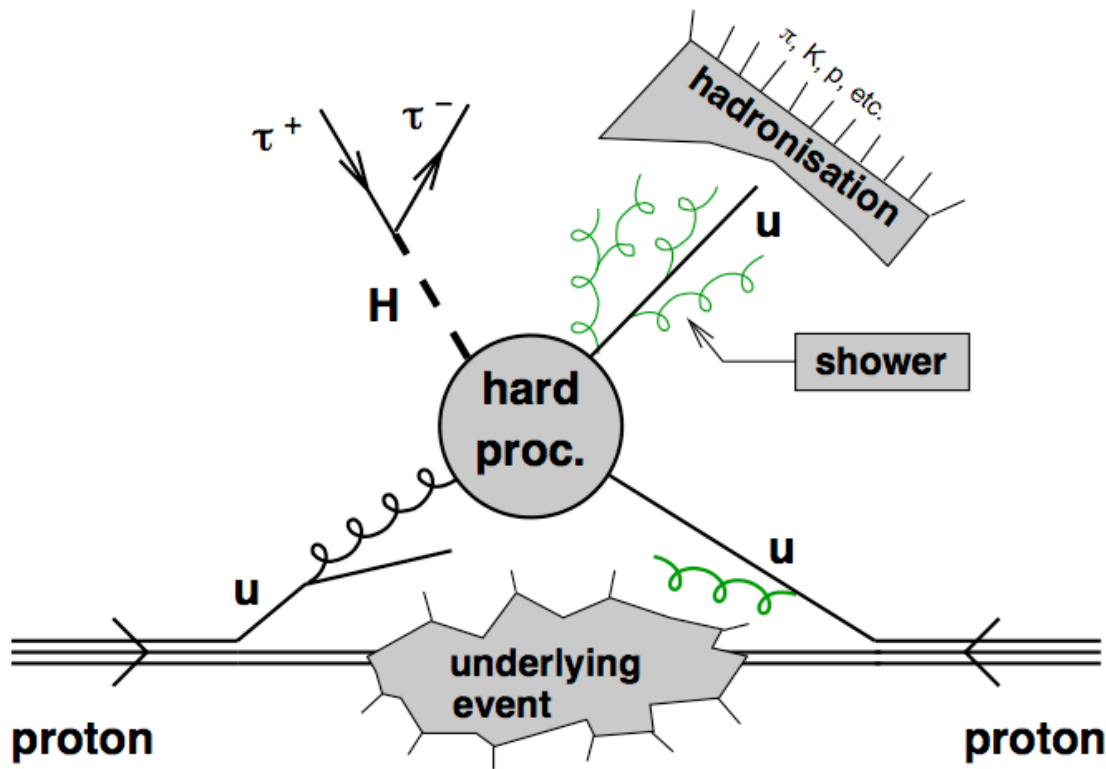
Evolution (i.e. higher momentum scale) produces more partons at small momentum fraction (because they lose energy by radiating)

As for the coupling, one can't predict PDF's values in pQCD, but only their evolution

# Take-home points

- universal character of soft/collinear emission
- both real and virtual diagrams usually contribute to an observable (and are both needed to cancel divergencies)
- not everything is perturbatively calculable. Restrict to IRC-safe observables and/or employ factorisation
- Factorisation leads to resummation

# Ingredients and tools



► PDFs

► Hard scattering and shower

► Final state tools

# (Higher order) calculations

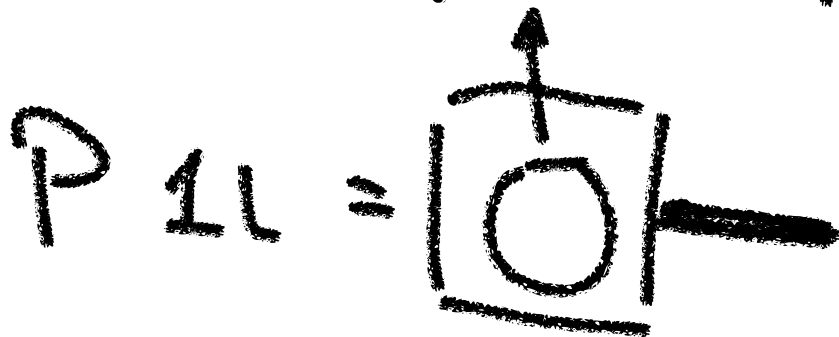
What goes into them ?

(Very superficial and schematic presentation of their structure and of some of the tools, and no pretence of actually explaining how to do things)

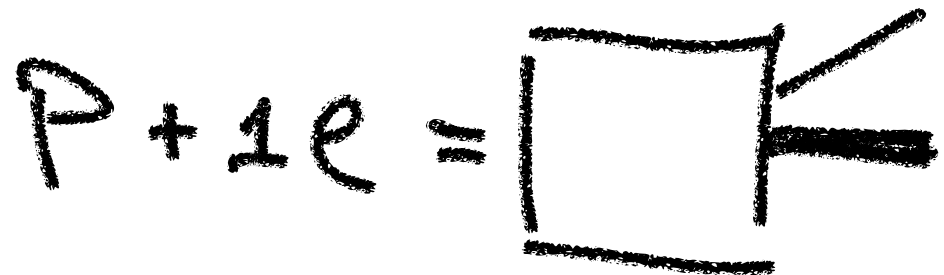
# Nomenclature



loop correction

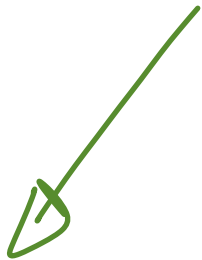


Additional emission



N.B.

$P + 1e \neq P + 1jet$



e = emission

or

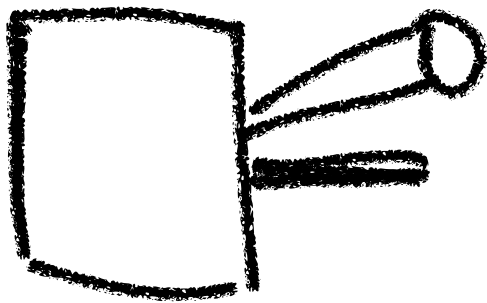
e = eeg

$$P + 1e = \square \leftarrow$$

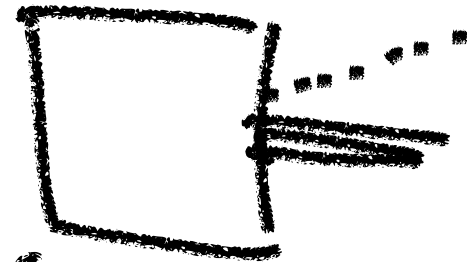
Contributes to:

$P + 1jet$

$P + X$

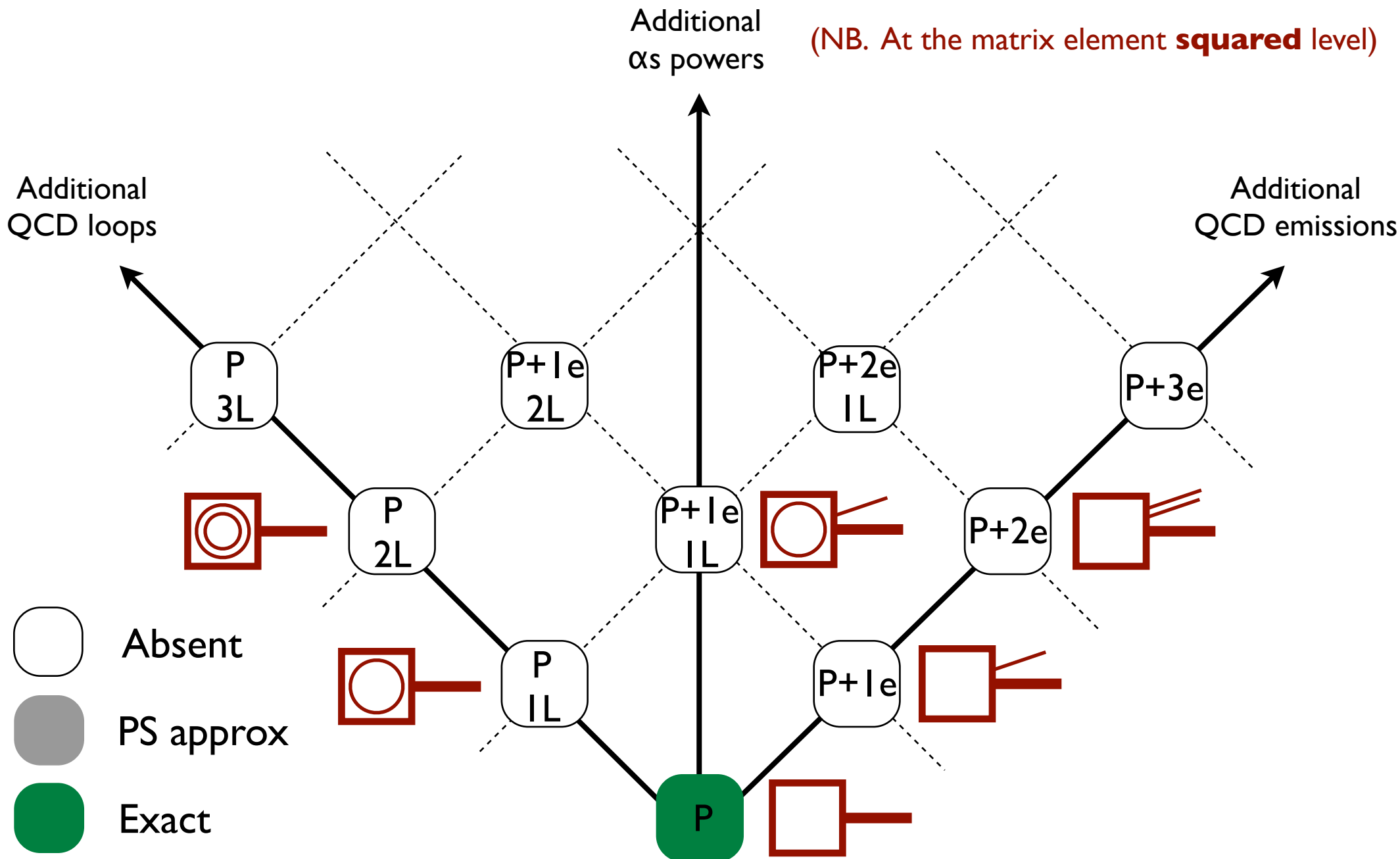


or



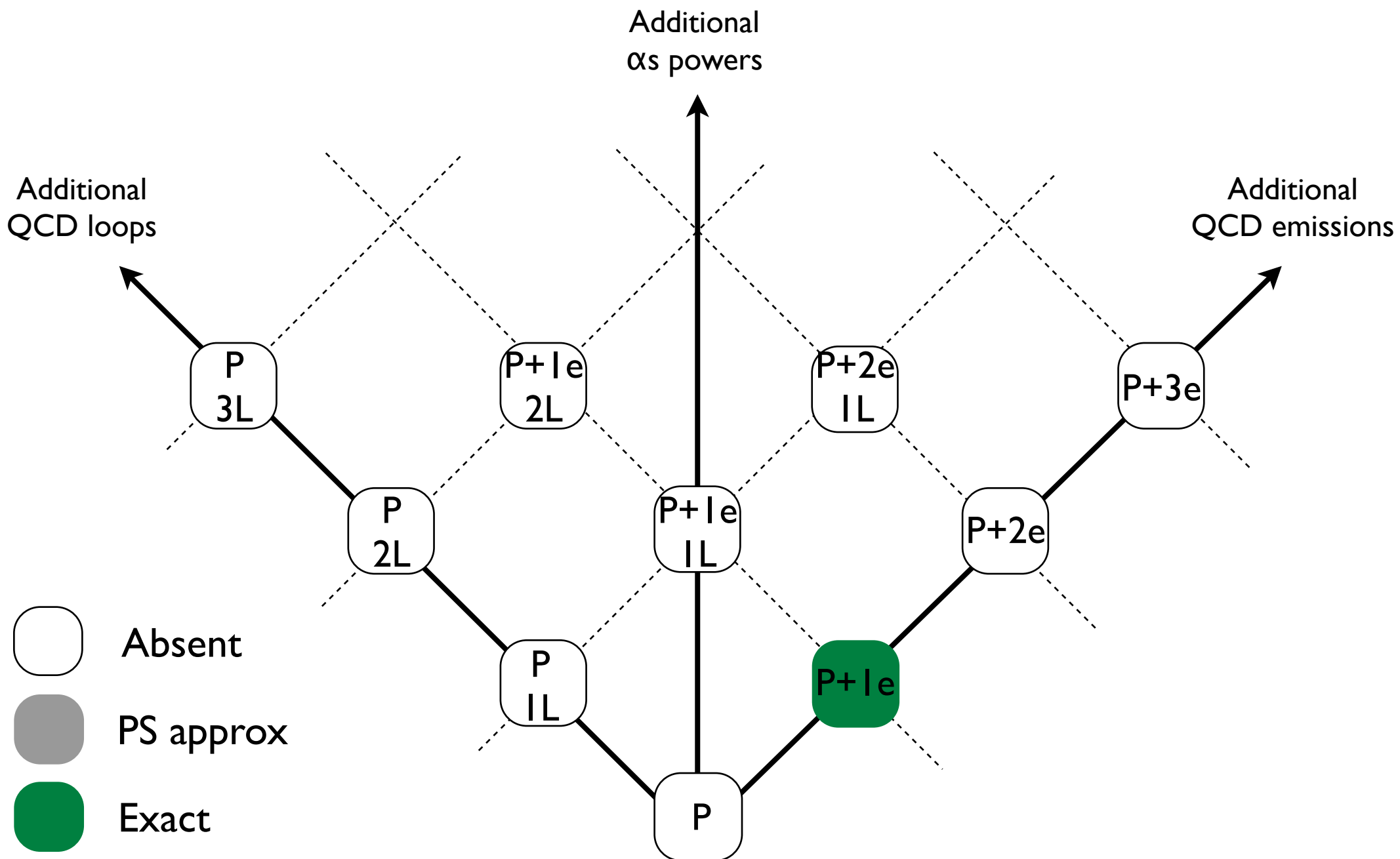
(if  $e$  is integrated over)

# Process P exact at LO, nothing else

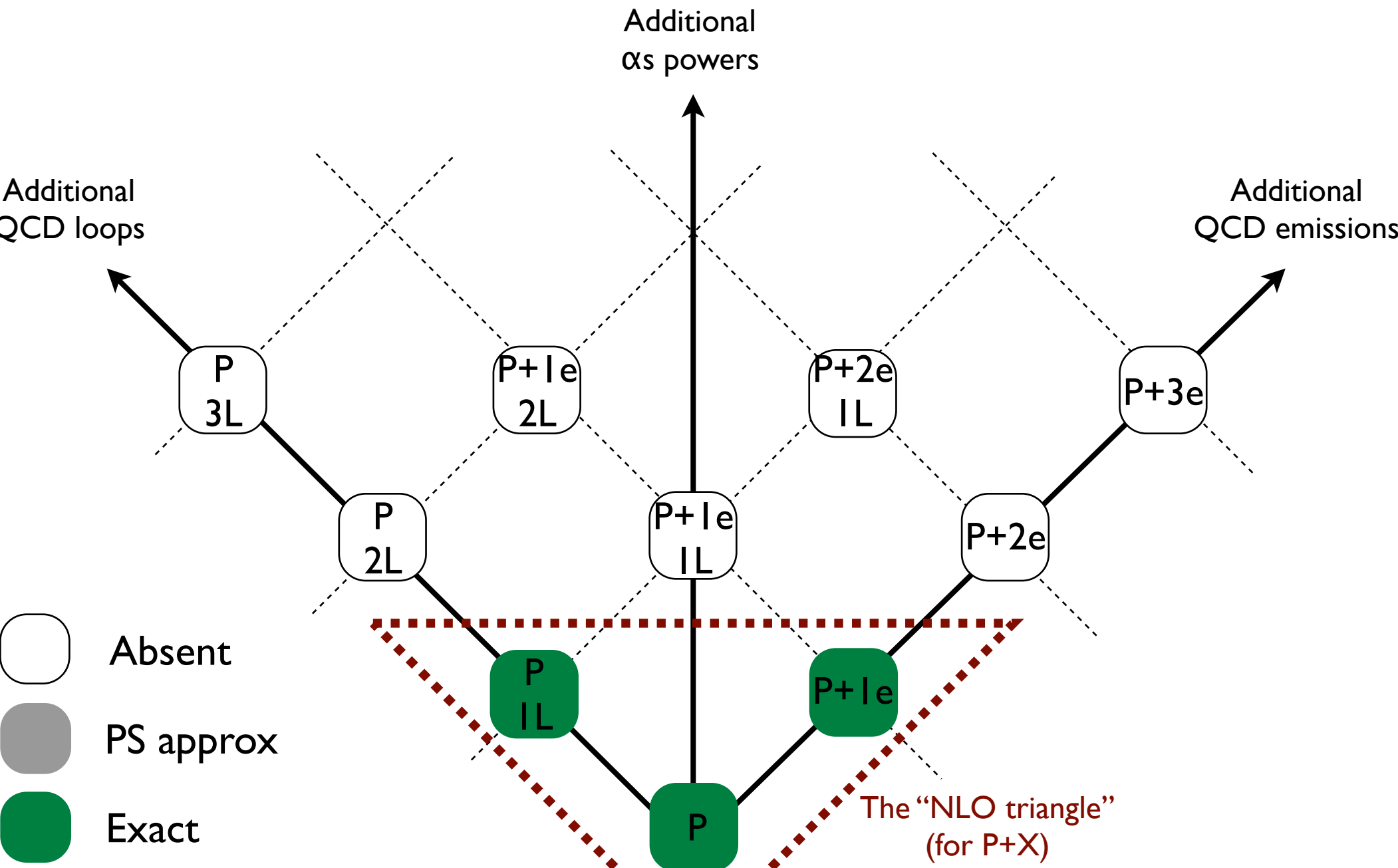




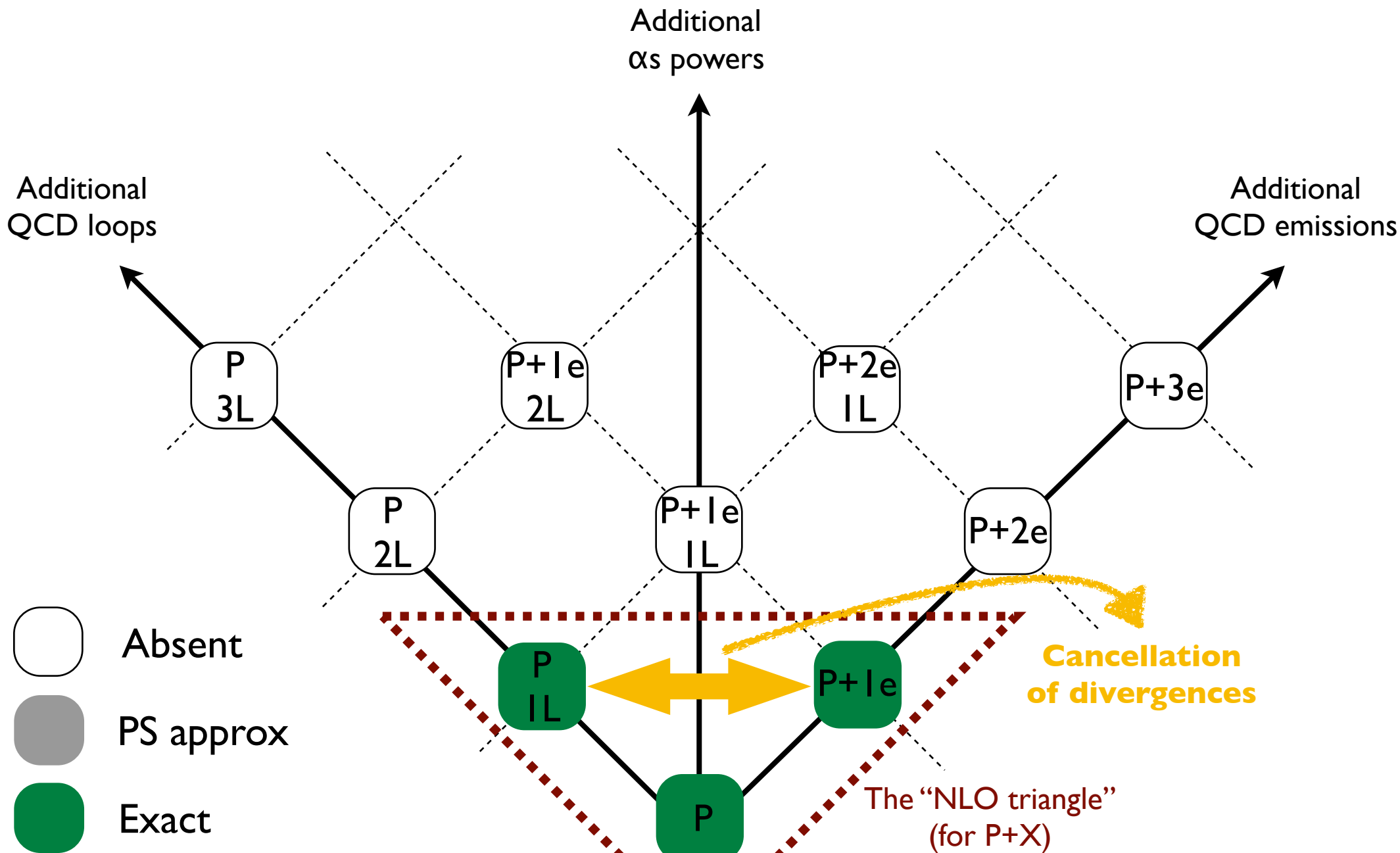
# Process $P+1j$ exact at LO, nothing else



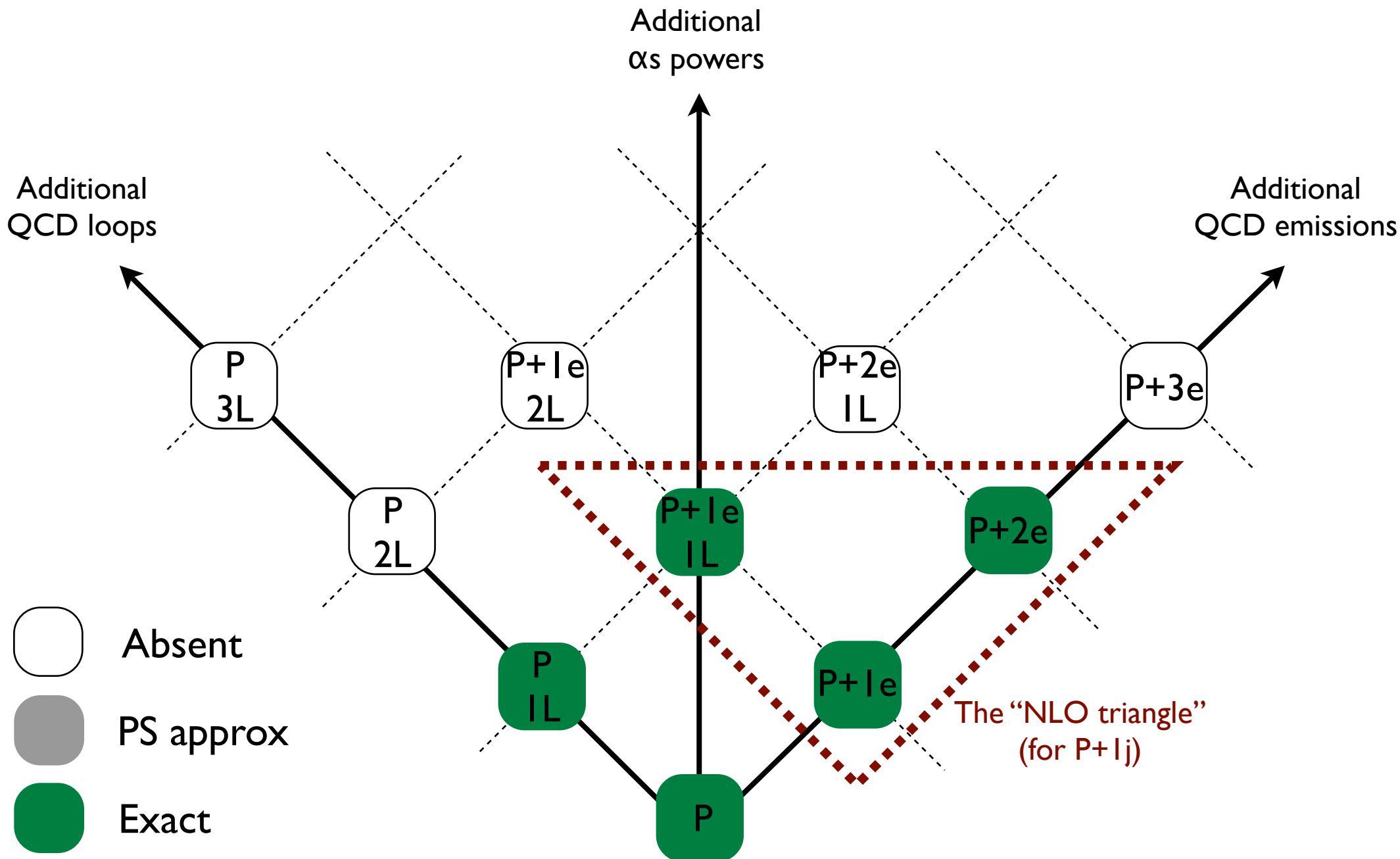
# Process P exact at NLO, P+1j exact at LO, nothing else



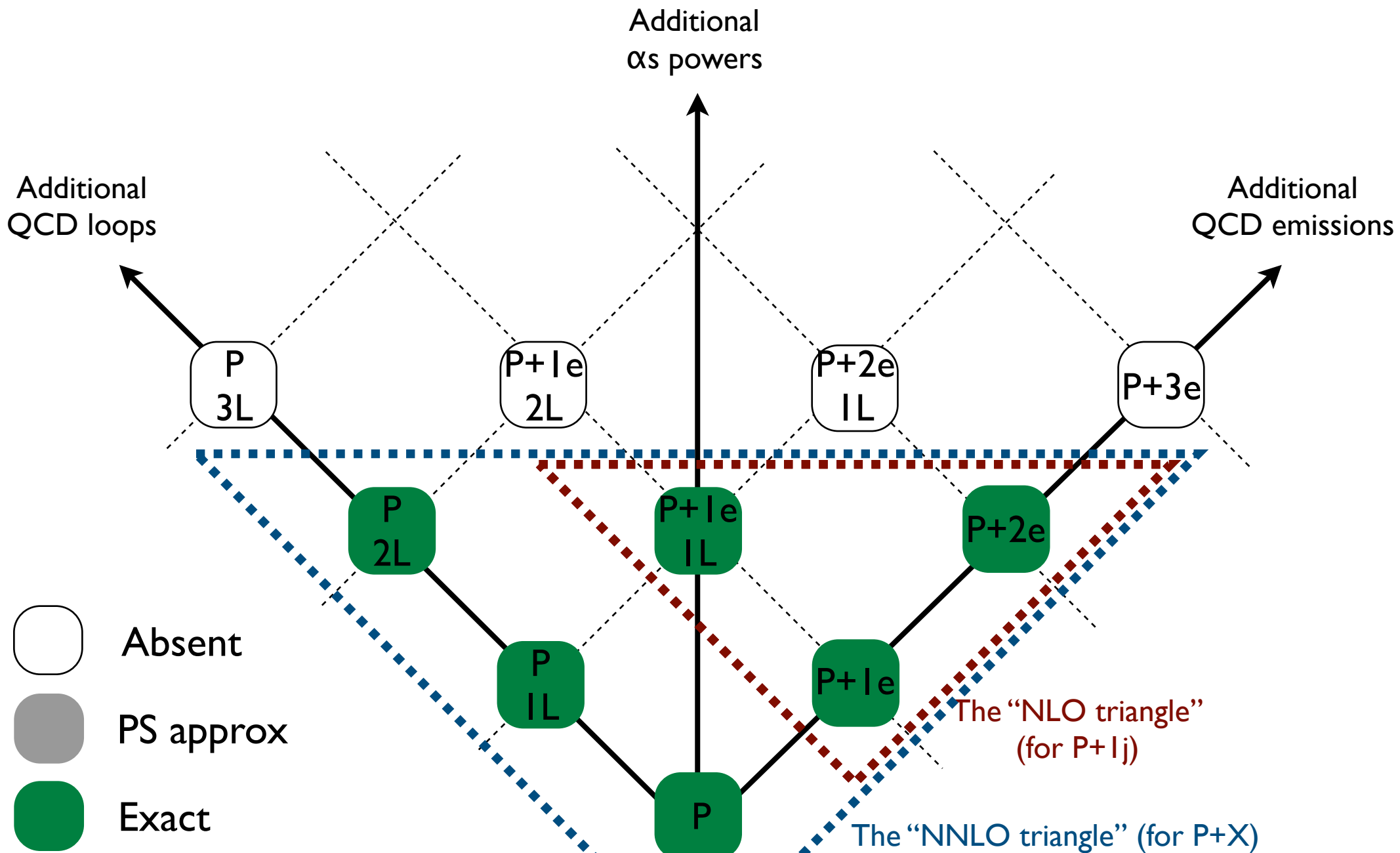
# Process P exact at NLO, P+1j exact at LO, nothing else



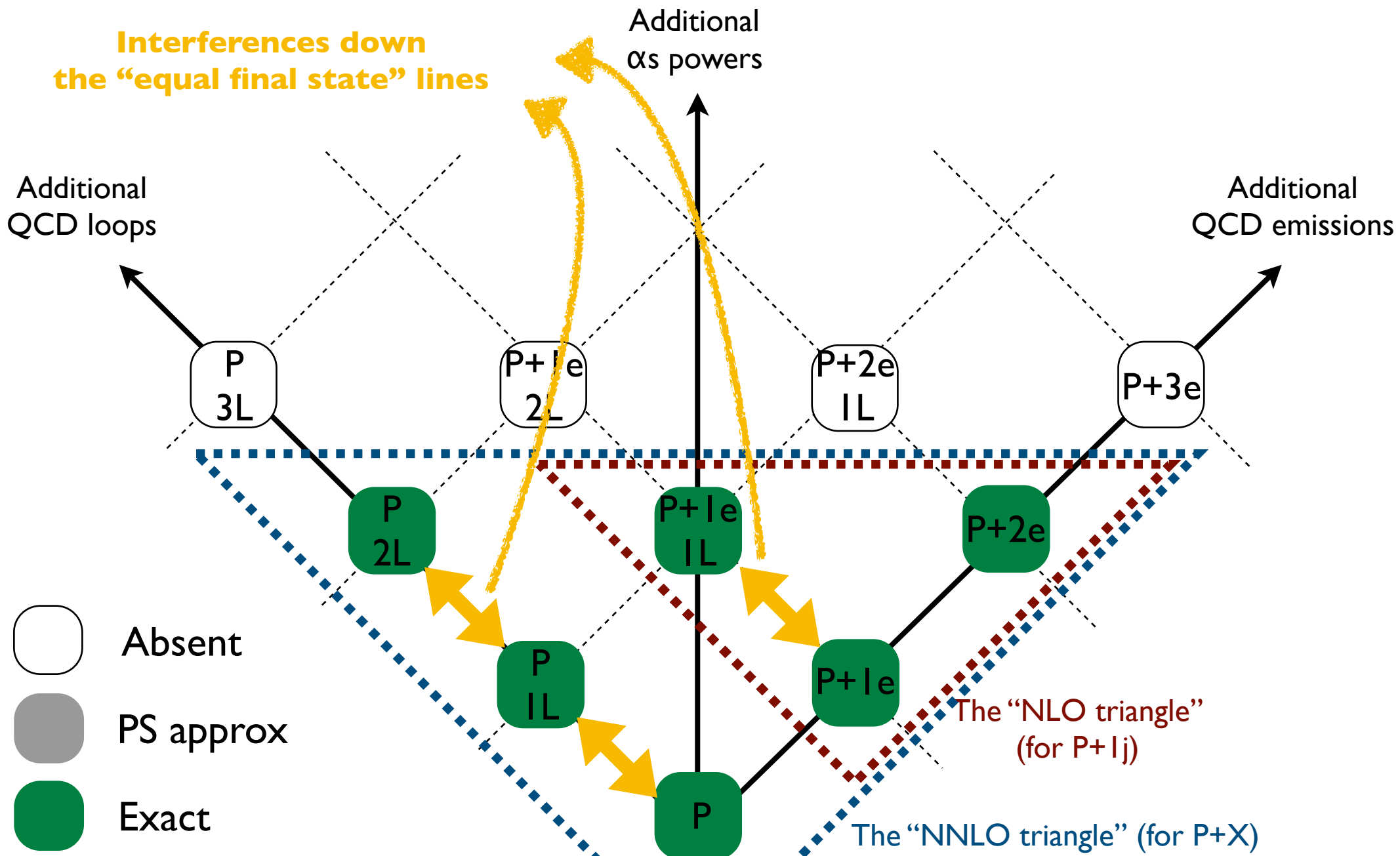
# Process P and P+1j exact at NLO, P+2j at LO



# Process P exact at NNLO, P+1j exact at NLO, P+2j at LO



# Process $P$ exact at NNLO, $P+1j$ exact at NLO, $P+2j$ at LO



# Tools for the hard scattering

Can be divided in

## ▶ **Integrators**

- ▶ evaluate the (differential) cross section by integrating the calculation over the phase space, yielding (partly) inclusive quantities
- ▶ Produce weighted events (the weight being the value of the cross section)
- ▶ Calculations exist at LO, NLO, NNLO, NNNLO

## ▶ **Generators**

- ▶ generate fully exclusive configurations
- ▶ Events are unweighted (i.e. produced with the frequency nature would produce them)
- ▶ Easy at LO, get complicated when dealing with higher orders

# Fixed order calculation

## Born

$$d\sigma^{Born} = B(\Phi_B) d\Phi_B$$

## NLO

$$d\sigma^{NLO} = [B(\Phi_B) + V(\Phi_B)] d\Phi_B + R(\Phi_R) d\Phi_R$$

$$d\Phi_R = d\Phi_B d\Phi_{rad}$$

$$d\Phi_{rad} = d\cos\theta dE d\phi$$

**Problem:**  
 $V(\Phi_B)$  and  $\int R d\Phi_R$  are divergent



# Subtraction terms

An observable  $O$  is **infrared and collinear safe** if

$$O(\Phi_R(\Phi_B, \Phi_{\text{rad}})) \xrightarrow{\text{Soft or collinear limit}} O(\Phi_B)$$

One can then write, with  $R \rightarrow C$  in the soft/coll limit,

$$\langle O \rangle = \int \left[ B(\Phi_B) + V(\Phi_B) + \int C(\Phi_R) d\Phi_{\text{rad}} \right] O(\Phi_B) d\Phi_B$$

This integration performed analytically

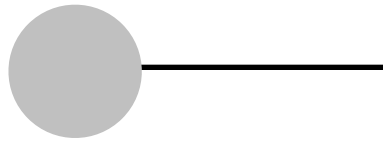
$$+ [R(\Phi_R)O(\phi_R) - C(\Phi_R)O(\Phi_B)] d\Phi_R$$

Separately finite

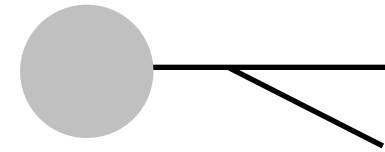
This (or a similar) cancellation will always be implicit in all subsequent equations

# Parton Shower Monte Carlo

Exploit factorisation property of soft and collinear radiation



$\sigma_n$



$\sigma_{n+1}$

Factorisation

$$d\sigma_{n+1}(\Phi_{n+1}) = \mathcal{P}(\Phi_{\text{rad}}) d\sigma_n(\Phi_n) d\Phi_{\text{rad}}$$

Emission probability

$$\mathcal{P}(\Phi_{\text{rad}}) d\Phi_{\text{rad}} \approx \frac{\alpha_S(q)}{\pi} \frac{dq}{q} P(z, \phi) dz \frac{d\phi}{2\pi}$$

**Iterate emissions** to generate higher orders (in the soft/collinear approximation)

Based on the **iterative emission of radiation** described in the **soft-collinear limit**

$$d\sigma^{(MC)}(\Phi_R)d\Phi_R = B(\Phi_B)d\Phi_B\mathcal{P}(\Phi_{rad})d\Phi_{rad}$$

**Pros:** soft-collinear radiation is resummed to all orders in pQCD

**Cons:** hard large-angle radiation is missing

Overall accuracy will be leading log (LL) for the radiation, and leading order (i.e. Born) for the integrated cross sections

# Sudakov form factor

A key ingredient of a parton shower Monte Carlo:

## Sudakov form factor

$$\Delta(t_1, t_2)$$

Probability of **no emission**  
between the scales  $t_1$  and  $t_2$

Example:

- decay probability per unit time of a nucleus =  $c_N$
- Sudakov form factor  $\Delta(t_0, t) = \exp(-c_N(t-t_0))$

Probability that nucleus does  
**not** decay between  $t_0$  and  $t$

# Sudakov form factor: derivation

$$\text{Decay probability per unit time} = \frac{dP}{dt} = c_N$$

$$\text{Probability of **no** decay between } t_0 \text{ and } t = \Delta(t_0, t) \quad [\text{with } \Delta(t_0, t_0) = 1]$$

$$\Rightarrow \text{Probability of decay between } t_0 \text{ and } t = 1 - \Delta(t_0, t) \quad [\text{unitarity: either you decay or you don't}]$$

Decay probability per unit time **at time t** can be written in two ways:

$$1. \quad P^{\text{dec}}(t) = \frac{d}{dt} \left( 1 - \Delta(t_0, t) \right) = - \frac{d\Delta(t_0, t)}{dt}$$

$$2. \quad P^{\text{dec}}(t) = \Delta(t_0, t) \frac{dP}{dt}$$

No decay until t, probability per unit time to decay at t

# Sudakov form factor: derivation

Equating the two expressions for  $P^{\text{dec}}(t)$  we get

$$-\frac{d\Delta(t_0, t)}{dt} = \Delta(t_0, t) \frac{dP}{dt}$$

We can solve the differential equation using  $dP/dt = c_N$  and we get

$$\Delta(t_0, t) = \exp(-c_N(t-t_0))$$

If the decay probability depends on  $t$  (and possibly other variables, call them  $z$ ) this generalises to

$$\Delta(t_0, t) = \exp\left(-\int_{t_0}^t dt' \int dz c_N(t', z)\right)$$

# Sudakov form factor in QCD

## Emission probability

$$\mathcal{P}(\Phi_{\text{rad}}) d\Phi_{\text{rad}} \approx \frac{\alpha_S(q)}{\pi} \frac{dq}{q} P(z, \phi) dz \frac{d\phi}{2\pi}$$

**Sudakov form factor** = probability of **no emission**  
from large scale  $q_1$  to smaller scale  $q_2$

$$\Delta_S(q_1, q_2) = \exp \left[ - \int_{q_2}^{q_1} \frac{\alpha_S(q)}{\pi} \frac{dq}{q} \int_{z_0}^1 P(z) dz \right]$$

# Conventions for Sudakov form factor

$$\Delta_S(q_1, q_2) = \exp \left[ - \int_{q_2}^{q_1} \frac{\alpha_S(q)}{\pi} \frac{dq}{q} \int_{z_0}^1 P(z) dz \right]$$

Full expression, with details of soft-collinear radiation probability

$$\Delta(p_T) = \exp \left[ - \int_{p_T}^Q \frac{\frac{d\sigma^{(MC)}}{dy dp'_T}}{\frac{d\sigma^{(B)}}{dy}} dp'_T \right]$$

Dropped upper limit, taken implicitly to be the hard scale  $Q$

$$\Delta_R(p_T) = \exp \left[ - \int \frac{R}{B} \Theta(k_T(\Phi_R) - p_T) d\Phi_{rad} \right]$$

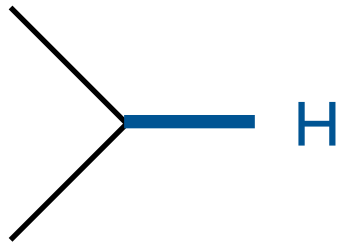
Introduced suffix (R in this case) to indicate expression used to describe radiation

$$\Delta_R(p_T) = \exp \left[ - \int_{p_T} \frac{R}{B} d\Phi_{rad} \right]$$

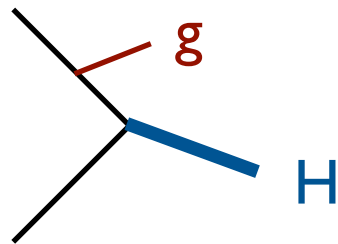
Integration boundaries only implicitly indicated



# PS example: Higgs plus radiation



Leading order.  
No radiation, Higgs  $p_T = 0$



With emission of radiation  
Higgs  $p_T \neq 0$

Description of hardest emission in PS MC (either event is generated)

$$\frac{d\sigma^{(\text{MC})}}{dy dp_T} = \frac{d\sigma^{(\text{B})}}{dy} \delta(p_T) \Delta(Q_0) + \Delta(p_T) \frac{d\sigma^{(\text{MC})}}{dy dp_T}$$

$\Delta(p_T) = \exp \left[ - \int_{p_T}^Q \frac{\frac{d\sigma^{(\text{MC})}}{dy dp'_T}}{\frac{d\sigma^{(\text{B})}}{dy}} dp'_T \right]$   
Sudakov form factor

x-sect for  
no emission

prob. of  
**no emission**  
(down to the  
PS cutoff)

prob. of  
no emission  
down to  $p_T$

x-sect for  
**emission at  $p_T$ ,**  
as described by the MC

# Toy shower for the Higgs $p_T$

Gavin Salam has made public a 'toy shower' that generates the Higgs transverse momentum via successive emissions controlled by the Sudakov form factor

$$\Delta(p_T) = \exp \left[ -\frac{2\alpha_s C_A}{\pi} \ln^2 \frac{p_{T,\max}^2}{p_T^2} \right]$$

You can get the code at  
<https://github.com/gavinsalam/zuoz2016-toy-shower>

NB. In order to get more realistic results you need at least at the code in v2

# Shower unitarity

It holds

$$\int_0^Q \left[ \delta(p_T) \Delta(Q_0) + \frac{\Delta(p_T) \frac{d\sigma^{(MC)}}{dy dp_T}}{\frac{d\sigma^{(B)}}{dy}} \right] dp_T = \Delta(Q_0) + \int_{Q_0}^Q \frac{d\Delta(p_T)}{dp_T} dp_T = \Delta(Q) = 1$$

**Shower unitarity**

so that

$$\int_0^Q dp_T \frac{d\sigma^{(MC)}}{dy dp_T} = \frac{d\sigma^{(B)}}{dy} \int_0^Q \left[ \delta(p_T) \Delta(Q_0) + \frac{\Delta(p_T) \frac{d\sigma^{(MC)}}{dy dp_T}}{\frac{d\sigma^{(B)}}{dy}} \right] dp_T = \frac{d\sigma^{(B)}}{dy}$$

**A parton shower MC correctly reproduces the Born cross section for integrated quantities**

This amounts to introducing approximate virtual corrections, whose job is simply cancelling divergencies from real emission and nothing more (nor less)

# PS MC in different notation

Writing the real cross section as described by the Monte Carlo (i.e. with the parton shower) simply as  $R^{MC}$ , we can rewrite

$$d\sigma^{MC} = Bd\Phi_B \left[ \Delta_{MC}(Q_0) + \Delta_{MC}(p_T) \frac{R^{MC}}{B} d\Phi_{rad} \right]$$

**with** 
$$\Delta_{MC}(p_T) = \exp \left[ - \int_{p_T} \frac{R^{MC}}{B} d\Phi_{rad} \right]$$

as our Master Formula for a Parton Shower Monte Carlo.

Thanks to the shower unitarity, it holds

$$\Rightarrow \int d\sigma^{MC} = \int Bd\Phi_B = \sigma^{LO}$$

# Matrix Element corrections

In a PS Monte Carlo  $R^{(MC)}(\Phi_R) = B(\Phi_B)\mathcal{P}(\Phi_{rad})$

soft-collinear  
approximation

Replace the MC description of radiation with the **correct** one:

$$\mathcal{P}(\Phi_{rad}) \rightarrow \frac{R}{B}$$

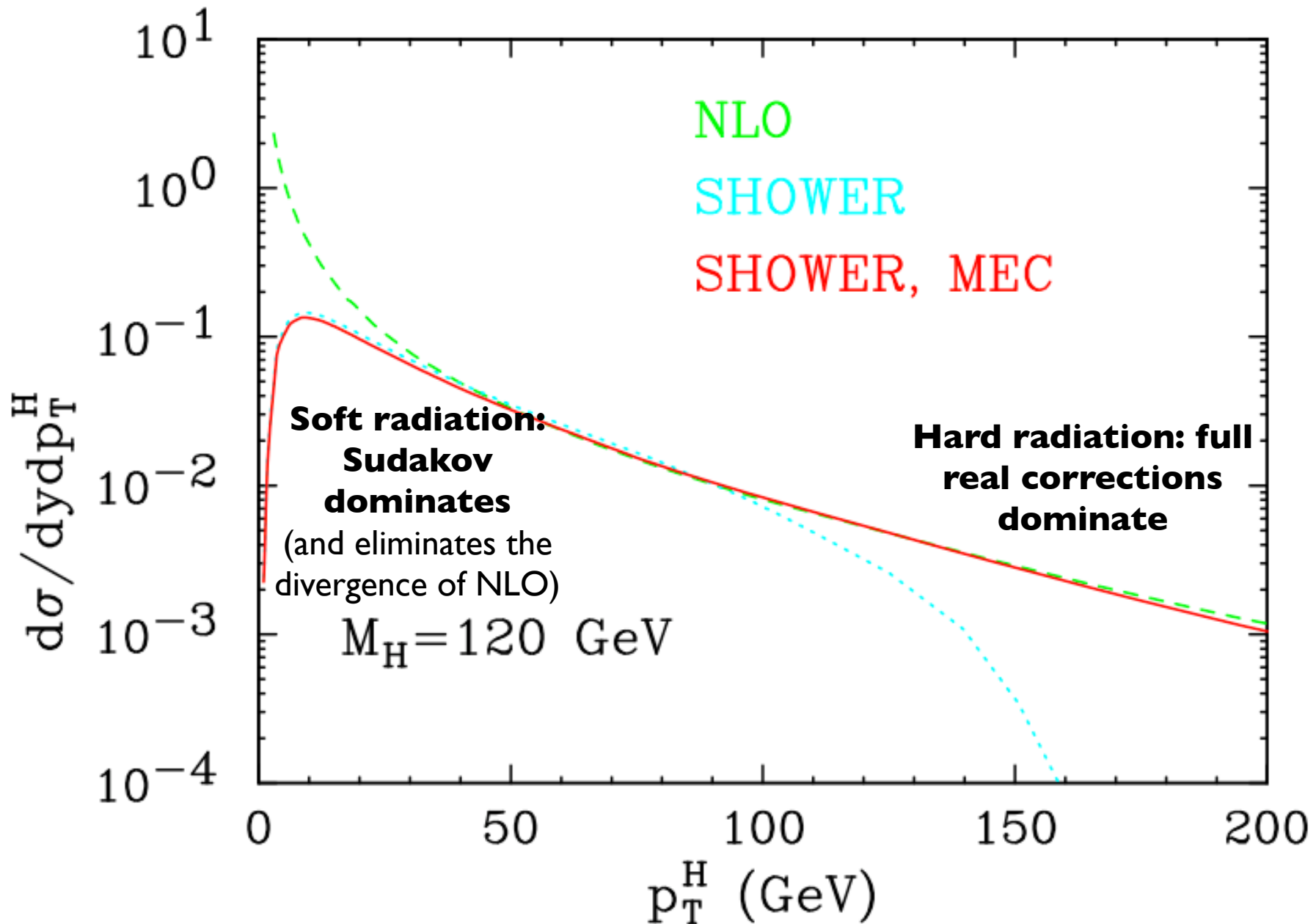
The Sudakov becomes

$$\Delta(p_T) = \exp \left[ - \int_{p_T}^Q \frac{\frac{d\sigma^{(MC)}}{dy dp'_T}}{\frac{d\sigma^{(B)}}{dy}} dp'_T \right] \longrightarrow \Delta_R(p_T) = \exp \left[ - \int \frac{R}{B} \Theta(k_T(\Phi_R) - p_T) d\Phi_{rad} \right]$$

and the x-sect formula for the hardest emission

$$d\sigma^{MEC} = B d\Phi_B \left[ \Delta_R(Q_0) + \Delta_R(p_T) \frac{R}{B} d\Phi_{rad} \right]$$

# Matrix Element corrections

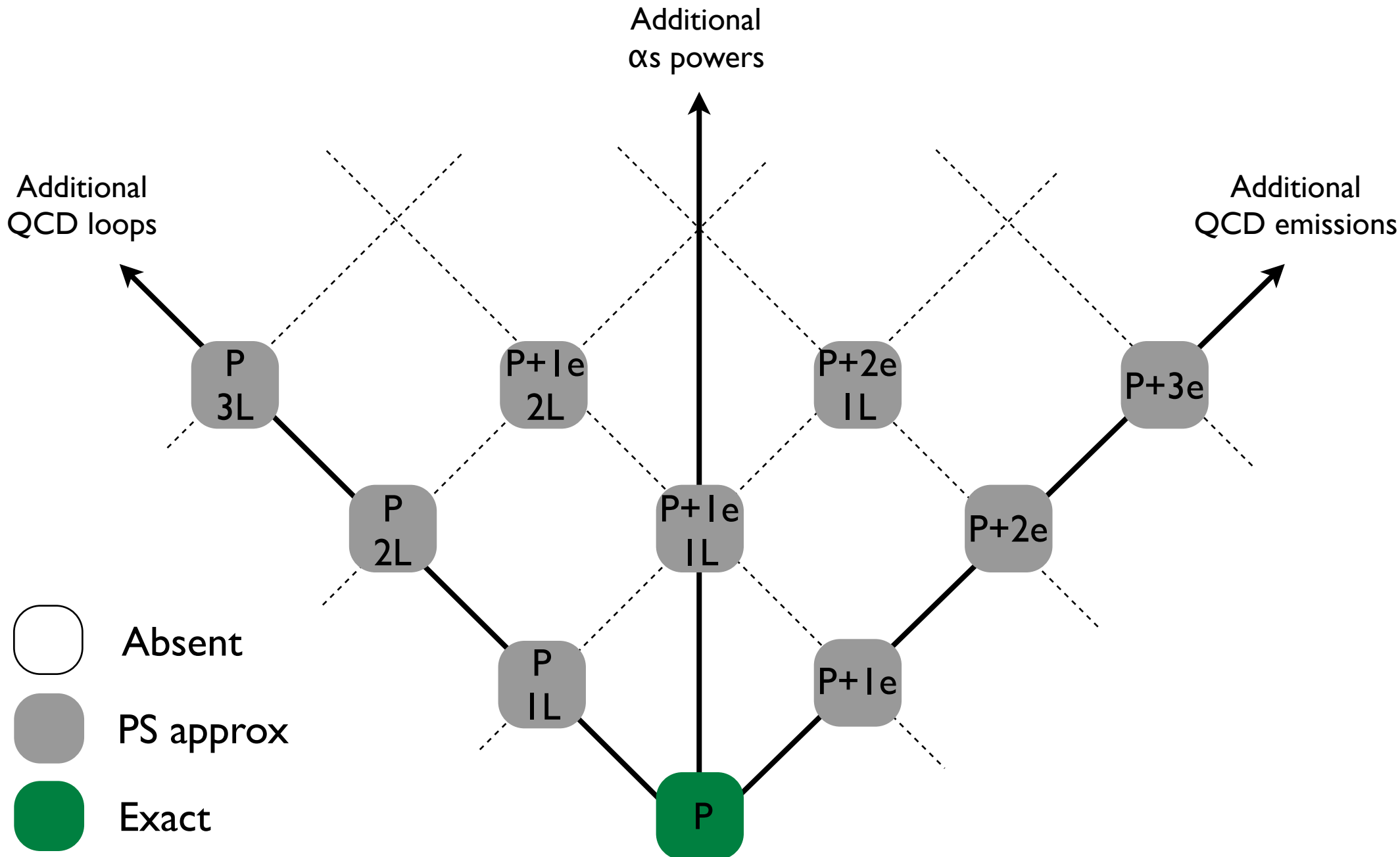


We wish to go beyond a Parton Shower (+MEC) Monte Carlo, so that

- ▶ we can successfully interface **matrix elements for multi-parton production with a parton shower**
- ▶ we can successfully interface a **parton shower with a NLO calculation**

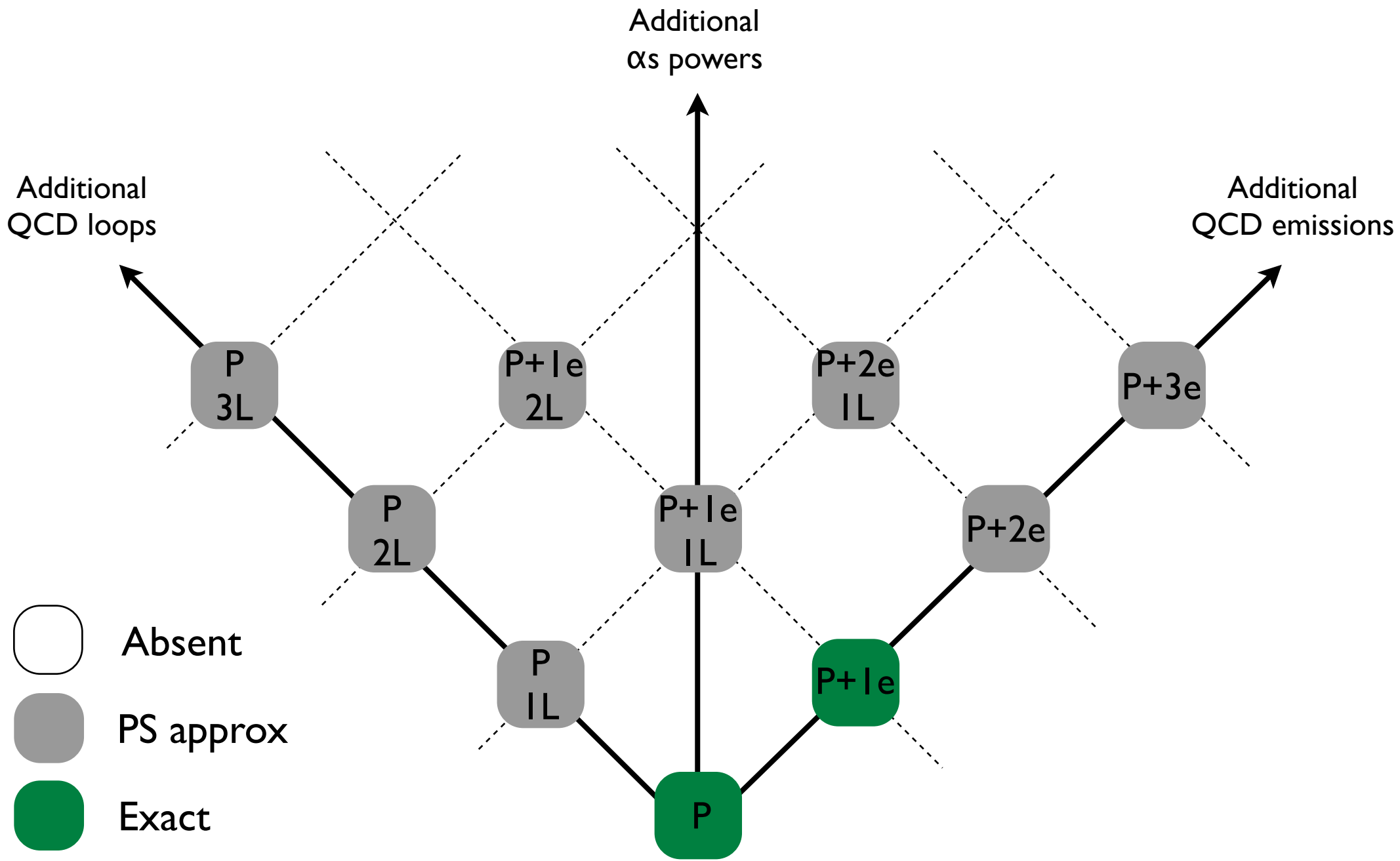
It's a **quest for exactness** of ever more complex processes

# Process P exact at LO, the rest PS approximation

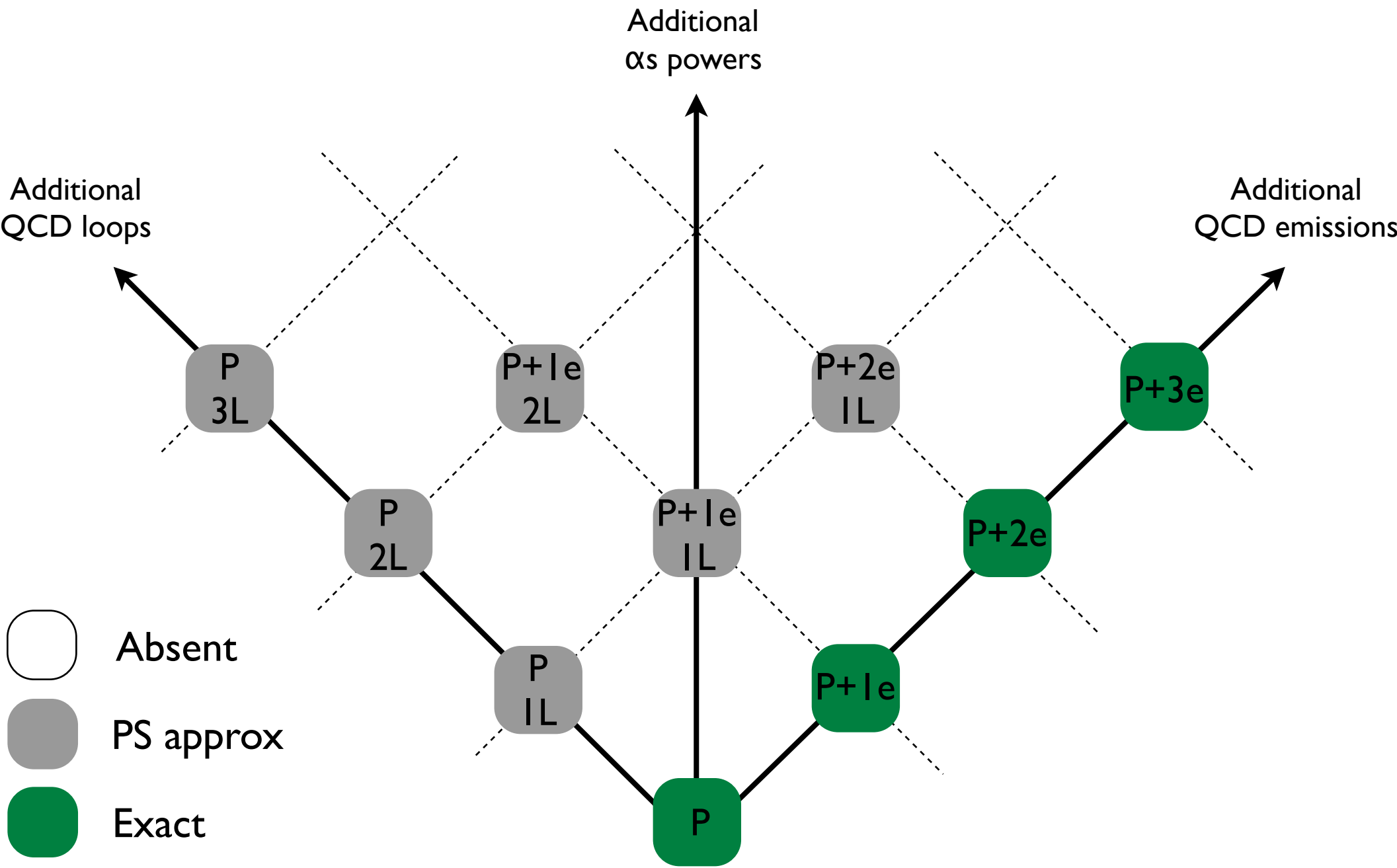




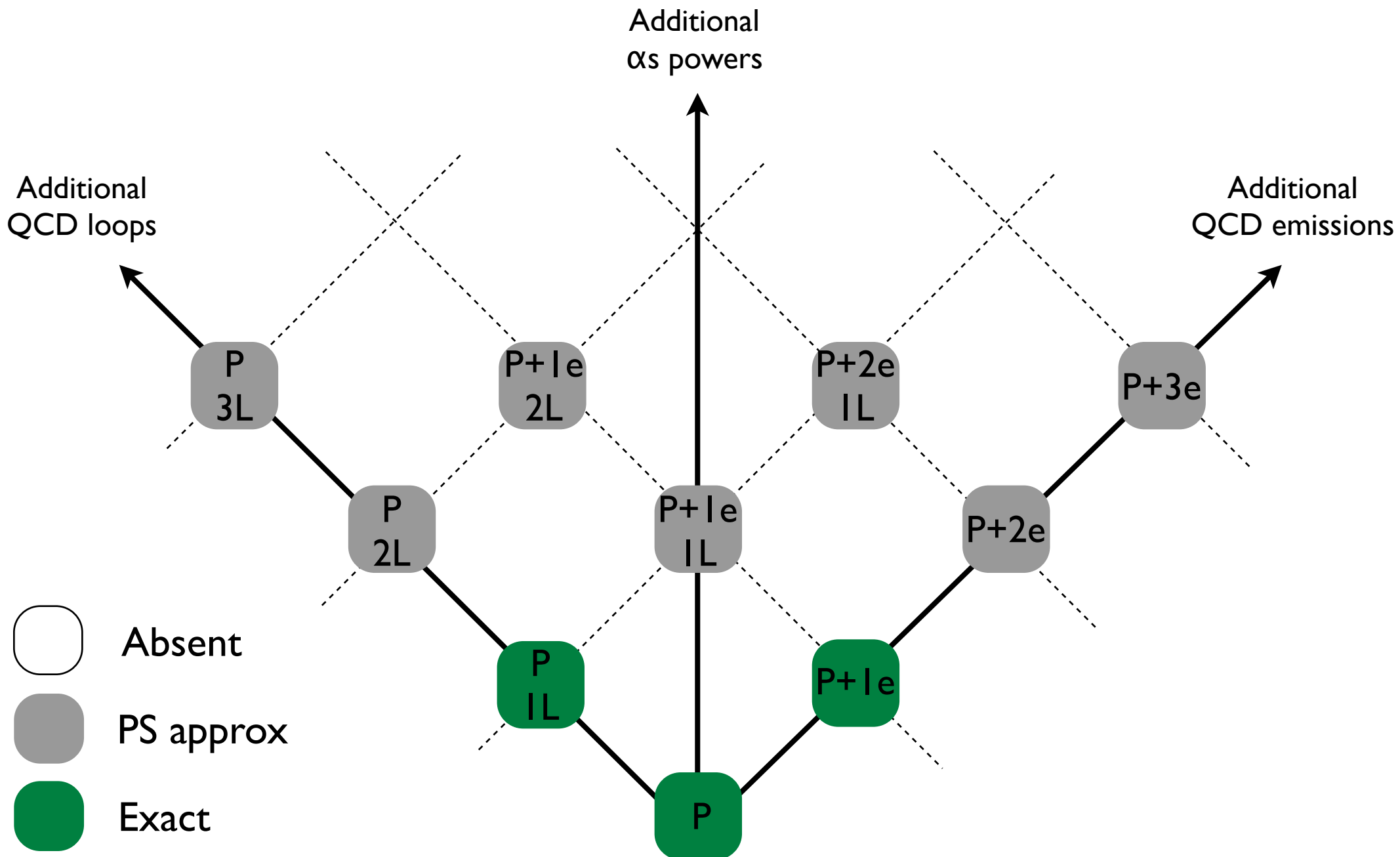
# Process P and P+1j exact at LO, the rest PS approximation [PS+MEC or PS from ME for P+1e]



# Process $P, P+1j, P+2j, \dots$ exact at LO, the rest PS approx. [PS+Matrix Element (CKKW, MLM,...)]



# Process P exact at NLO, the rest PS approximation [PS+NLO (MC@NLO, POWHEG,...)]



## ‘MonteCarlos at NLO’:

▶ **MC@NLO** [Frixione and Webber, 2002]

▶ **POWHEG** [Nason, 2004]

NB. MC@NLO is a **code**, POWHEG is a **method**

## Having evolved into (semi)automated forms:

▶ **The POWHEG BOX** [powhegbox.mib.infn.it 2010]

▶ **MadGraph5\_aMC@NLO** [amcatnlo.cern.ch 2011]

Matrix-element corrected shower Monte Carlos still have leading order accuracy for the total rates

$$d\sigma^{MEC} = B d\Phi_B \left[ \Delta_R(Q_0) + \Delta_R(p_T) \frac{R}{B} d\Phi_{rad} \right] \quad \text{and} \quad \Delta_R(Q_0) + \int \Delta_R(p_T) \frac{R}{B} d\Phi_{rad} = 1$$
$$\Rightarrow \int d\sigma^{MEC} = \int B d\Phi_B = \sigma^{LO}$$

We want to do better, and **merge** PS and NLO, so that

$$\int d\sigma^{PS+NLO} = \int (B + V) d\Phi_B + \int R d\Phi_R = \sigma^{NLO}$$

Idea: remove from the NLO the terms that are already generated by the parton shower (NB. MC-specific)

$$d\sigma^{MC@NLO} = \bar{B}_{MC} d\Phi_B \left[ \Delta_{MC}(Q_0) + \Delta_{MC}(p_T) \frac{R^{MC}}{B} d\Phi_{rad} \right] + \frac{[R - R^{MC}] d\Phi_R}{1}$$

$$\bar{B}_{MC} = B + \left[ V + \int R^{MC} d\Phi_{rad} \right]$$

‘soft’ event                      MC shower                      ‘hard’ event

It is easy to see that, as desired,

$$\int d\sigma^{MC@NLO} = \int d\sigma^{NLO}$$

Idea: generate hardest radiation first, then pass event to MC for generation of subsequent, softer radiation

$$d\sigma^{POWHEG} = \bar{B} d\Phi_B \left[ \Delta_R(Q_0) + \Delta_R(p_T) \frac{R}{B} d\Phi_{rad} \right]$$

$$\bar{B} = B + \left[ V + \int R d\Phi_{rad} \right]$$

NLO x-sect
MC shower

It is easy to see that, as desired,

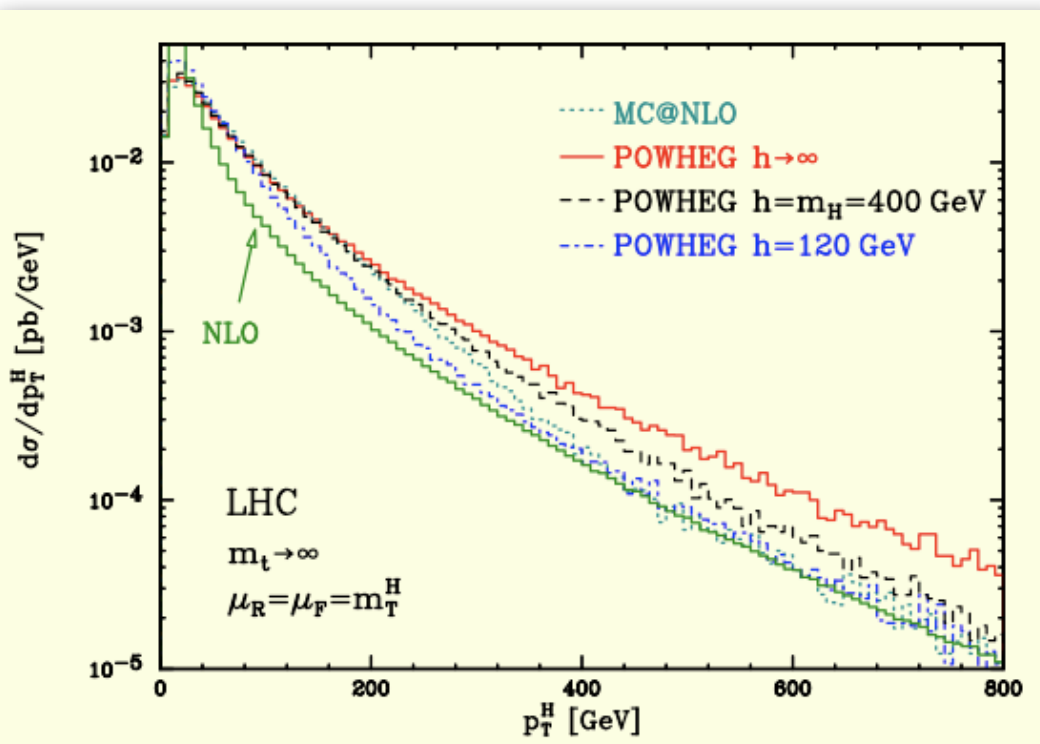
$$\int d\sigma^{POWHEG} = \int d\sigma^{NLO}$$

# Large $p_T$ enhancement in POWHEG

The 'naive' formulation for POWHEG is

$$d\sigma^{POWHEG} = \bar{B}d\Phi_B \left[ \Delta_R(Q_0) + \Delta_R(p_T) \frac{R}{B} d\Phi_{rad} \right]$$

In this form  $\bar{B}d\Phi_B$  provides the NLO K-factor (order  $1 + \mathcal{O}(\alpha_s)$ ), but also associates it to large  $p_T$  radiation, where the calculation is already  $\mathcal{O}(\alpha_s)$  (but only LO accuracy).



This generates an effective (but not necessarily correct)  $\mathcal{O}(\alpha_s^2)$  term (i.e. NNLO for the total cross section)

OK because beyond nominal accuracy, but one may feel uncomfortable with such large numerical factors



# Modified POWHEG

The ‘problem’ with the naive POWHEG comes from the hard radiation being enhanced by spurious higher orders. In order to suppress this effect, we split

$$R = R^S + R^F \quad R^S \equiv \frac{h^2}{h^2 + p_T^2} R \quad R^F \equiv \frac{p_T^2}{h^2 + p_T^2} R$$

Contains  
singularities

Regular in  
small  $p_T$  region

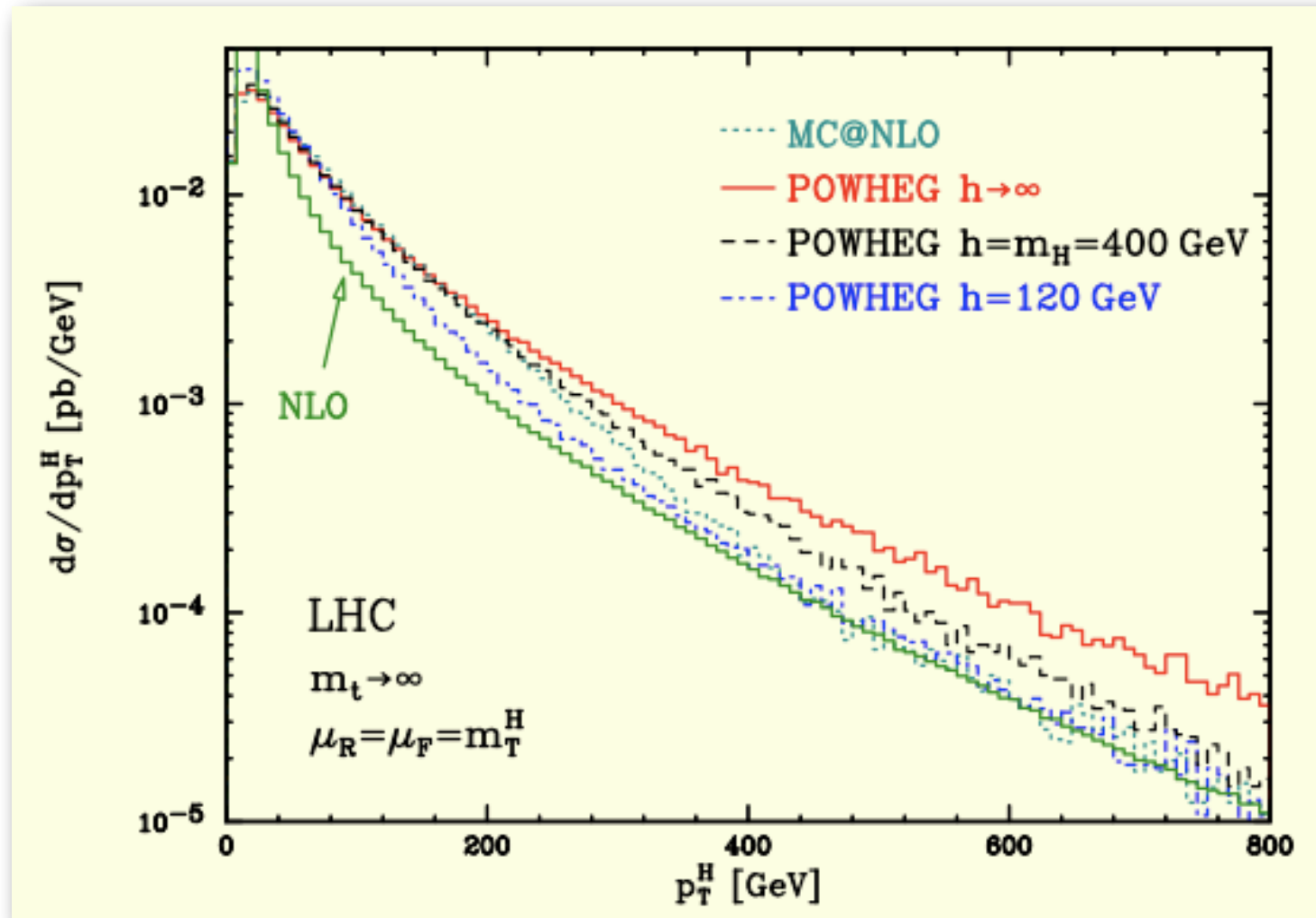
$$d\sigma^{POWHEG} = \bar{B}^S d\Phi_B \left[ \Delta_S(Q_0) + \Delta_S(p_T) \frac{R^S}{B} d\Phi_{rad} \right] + R^F d\Phi_R$$

$$\bar{B}^S = B + \left[ V + \int R^S d\Phi_{rad} \right]$$

$$\Delta_S(p_T) = \exp \left[ - \int_{p_T} \frac{R^S}{B} d\Phi_{rad} \right]$$

# Modified POWHEG

In the  $h \rightarrow \infty$  limit the exact NLO result is recovered



# Comparisons

$$d\sigma^{MC} = Bd\Phi_B \left[ \Delta(Q_0) + \Delta(p_T) \frac{R^{MC}}{B} d\Phi_{rad} \right]$$

$$d\sigma^{MEC} = Bd\Phi_B \left[ \Delta_R(Q_0) + \Delta_R(p_T) \frac{R}{B} d\Phi_{rad} \right]$$

$$d\sigma^{NLO} = [B + V] d\Phi_B + Rd\Phi_R$$

$$d\sigma^{MC@NLO} = \bar{B}_{MC} d\Phi_B \left[ \Delta_{MC}(Q_0) + \Delta_{MC}(p_T) \frac{R^{MC}}{B} d\Phi_{rad} \right] + [R - R^{MC}] d\Phi_R$$

$$d\sigma^{POWHEG} = \bar{B}^S d\Phi_B \left[ \Delta_S(Q_0) + \Delta_S(p_T) \frac{R^S}{B} d\Phi_{rad} \right] + R^F d\Phi_R$$

$$R = R^S + R^F$$

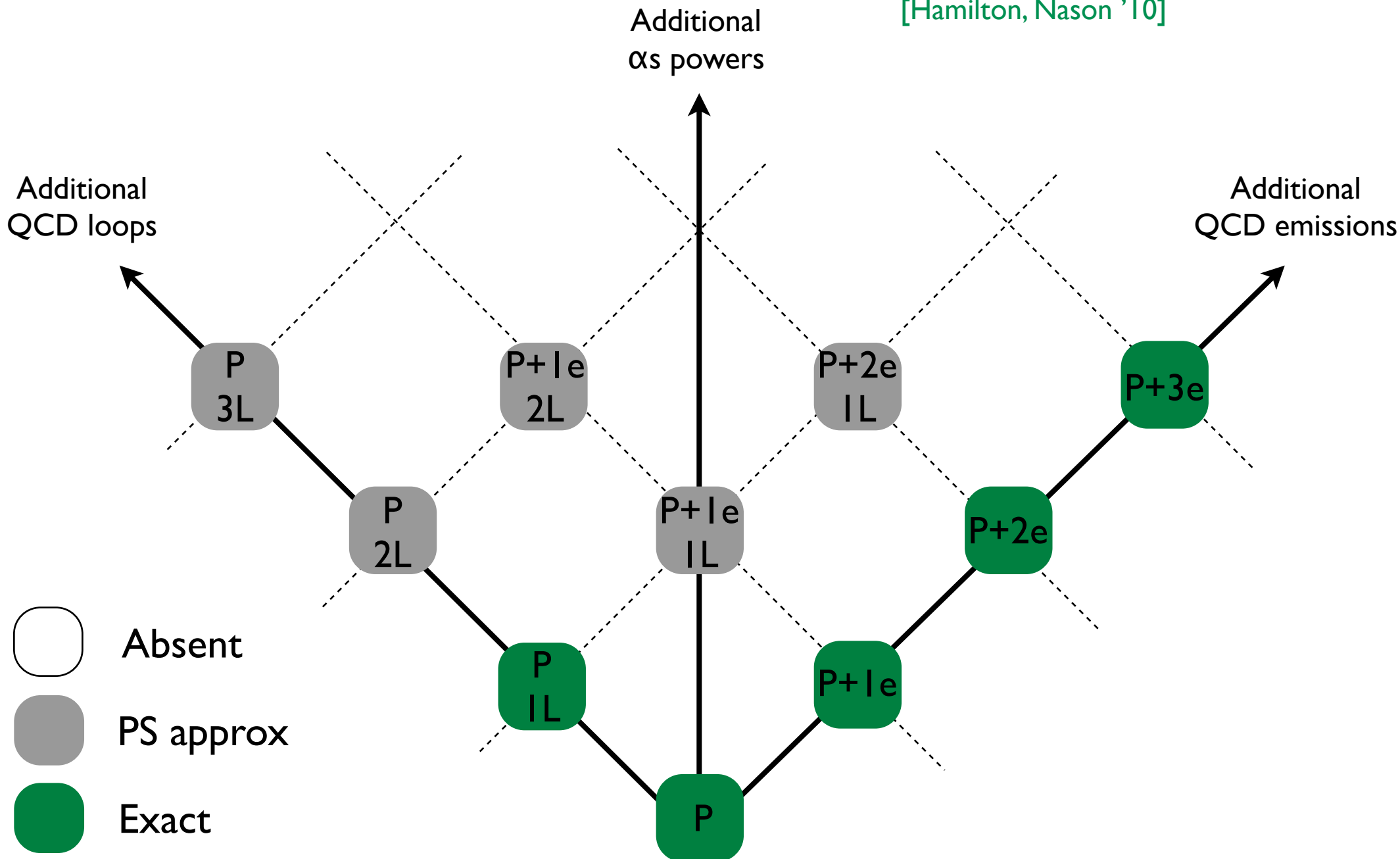
$$R^S \equiv \frac{h^2}{h^2 + p_T^2} R$$

$$R^F \equiv \frac{p_T^2}{h^2 + p_T^2} R$$

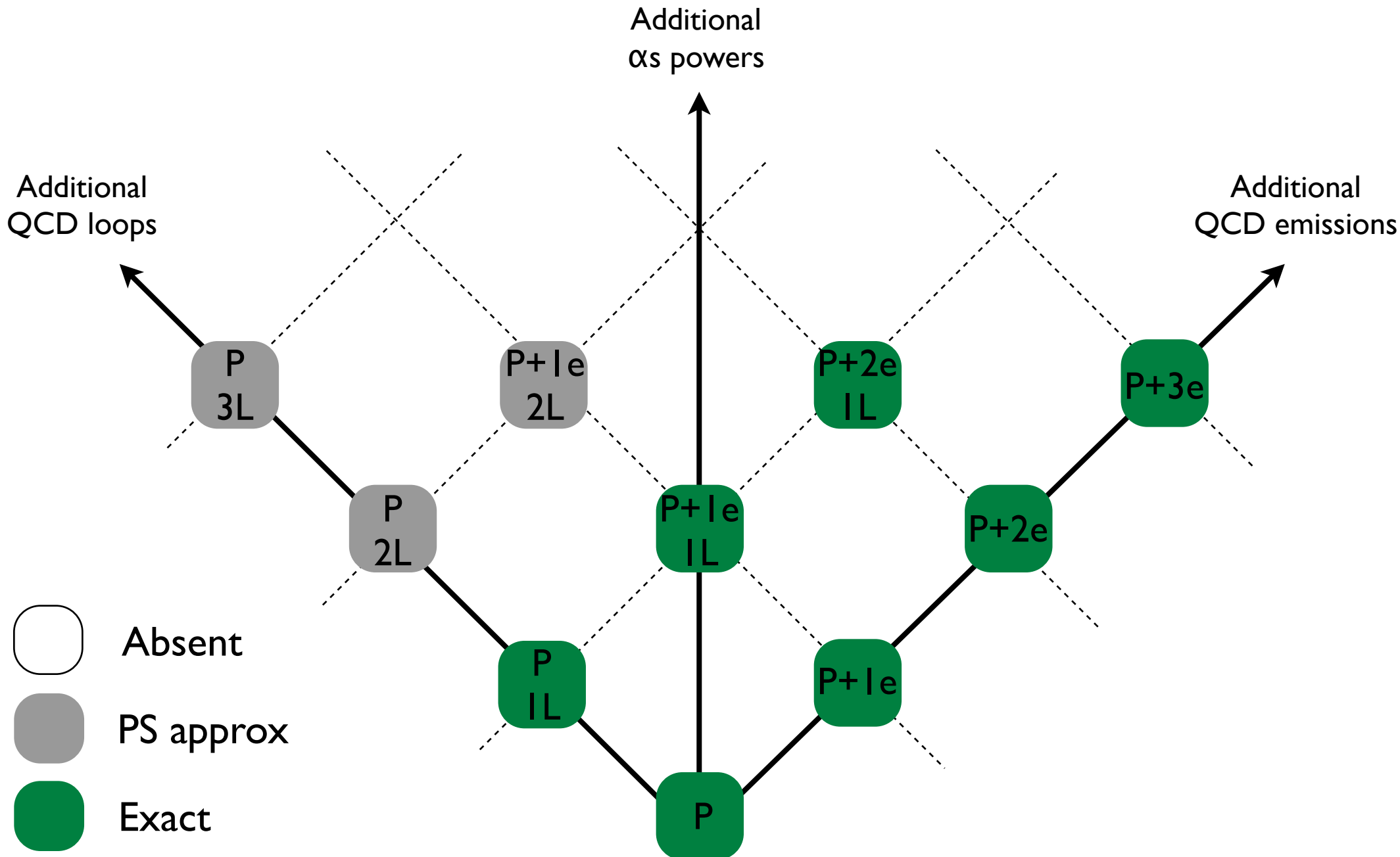
POWHEG approaches MC@NLO if  $R^S \rightarrow R^{MC}$

# Process P exact at NLO, P+1j, P+2j,... at LO, the rest PS [PS+NLO+ME (MENLOPS,...)]

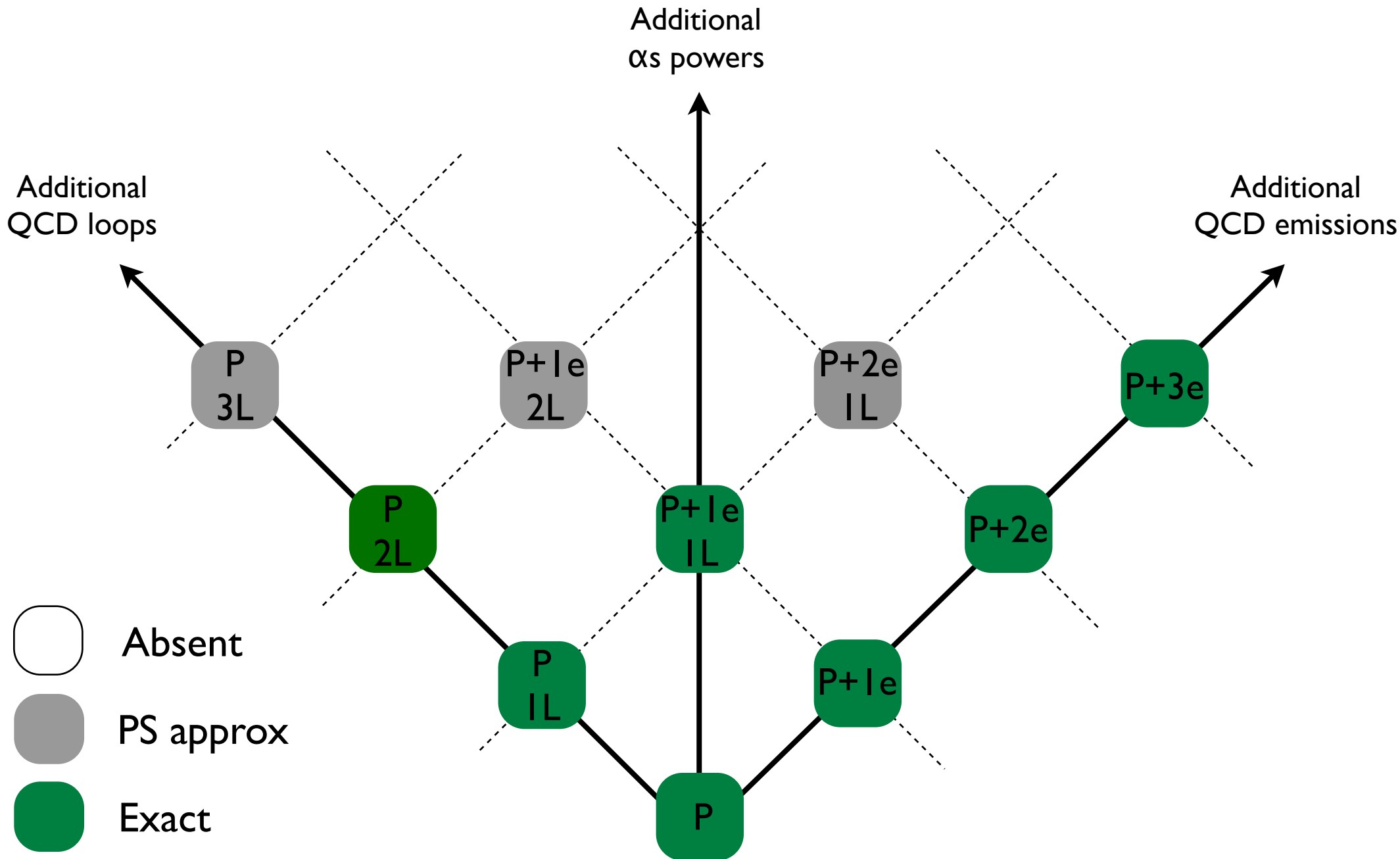
[Hamilton, Nason '10]



# Process $P, P+1j, P+2j, \dots$ exact at NLO, the rest PS [PS+NLO+ME<sub>NLO</sub> (MEPS@NLO, MiNLO', ...)]



# Process P exact at NNLO, P+1j, at NLO, the rest PS [PS+NNLO+ME<sub>NLO</sub> (MINNLO<sub>PS</sub>, ...)]



# Take home points

Monte Carlo in QCD are complicated. I only scratched the surface here and gave almost no details. If interested, check lectures of real MC people (Sjostrand, Skands, Nason, Maltoni, Frixione, Krauss, Hoeche, Richardson, Webber and many others)

Monte Carlo exploit property of universality of soft/collinear radiation to resum its effects to all orders (within some approximations)

Effects of multi-parton, hard, large-angle radiation can be included via exact calculations and proper (and delicate) mergings. State of the art accuracy is NLO and, in some cases, NNLO

The result is a detailed description of the final state, covering as much phase space as possible. Accurate descriptions of data are usually achieved