NOW 2022, Rosa Marina Ostuni, II Sep 2022 Supernovae and Neutrino Oscillations

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Neutrinos from a SN

R < 10 km Trapping No Oscillation (?)

Shock front ~ 200 km

Mantle ~ 105 km



Mantle ~ 105 km









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Neutrinos change their flavor at a rate inversely proportional to their energy The amplitude and rate of oscillation is affected by the medium

Neutrino Oscillations

$$P_{\rm surv} = 1 - \sin^2 2\theta \sin^2 \left(\frac{\Delta m^2}{4E}t\right)$$

At the beginning, for small heta

$$P_{\rm surv} = 1 - \theta^2 \left(\frac{\Delta m^2}{2E}t\right)^2$$

At late times $P_{
m surv} = 1 - rac{1}{2} \sin^2 2 heta$

Talk by Alexei Smirnov at NOW 2022: coherence and decoherence

Matter Effect

Wolfenstein (1978), Mikheev & Smirnov (1984)

 In a medium, the oscillation frequency and mixing angle are densitydependent

$$\frac{\Delta m_m^2}{2E} = \sqrt{\left(\frac{\Delta m_0^2}{2E}\cos^2 2\theta_0 + \sqrt{2}G_F n_e}{\sum_{\omega_{osc}}}\right)^2 + \left(\frac{\Delta m_0^2}{2E}\sin^2 2\theta_0\right)^2}$$
$$\sin^2 2\theta_m = \frac{\sin^2 2\theta_0}{\left(\cos 2\theta_0 + \frac{2E}{\Delta m^2}\sqrt{2}G_F n_e\right)^2 + \sin^2 2\theta_0}$$

- At large density
 - Oscillation frequency grows proportionally with density, i.e., as ~ N
 - Note however that the mixing becomes small as $\sim 1/N^2$
- Large mixing (with small theta) if there is a resonance where the first two terms cancel

- In a medium, neutrinos can scatter and coherence is destroyed
- However, the effect of "forward scattering" is subtle



Forward scattering on background matter changes flavor oscillations

Pantaleone (1992)

 If neutrinos forward scatter (same momentum, thus no change in quantum state), it can interfere with free propagation, and that adds a potential to the Hamiltonian, changing the probability

$$|\mathcal{A}_{tot}|^2 = |\mathcal{A}_{free} + \mathcal{A}_{fwd-scatt}|^2$$
$$\approx |\mathcal{A}_{free}|^2 + 2\text{Re}(\mathcal{A}_{free}^*\mathcal{A}_{fwd-scatt})$$

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V-A form of weak interaction means ...
$$\sqrt{2}G_F \int d^3q \left(1-\hat{p}\cdot\hat{q}\right) \left(\frac{d^3n_{\nu}}{d^3q}-\frac{d^3n_{\bar{\nu}}}{d^3q}\right)$$

$$\begin{aligned} |\mathcal{A}_{tot}|^2 &= |\mathcal{A}_{free} + \mathcal{A}_{fwd\text{-scatt}}|^2 \\ &\approx |\mathcal{A}_{free}|^2 + 2\text{Re}(\mathcal{A}_{free}^*\mathcal{A}_{fwd\text{-scatt}}) \end{aligned}$$

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But neutrinos are mixed so it must be a matrix
$$\sqrt{2}G_F\int d^3q\left(1-\hat{p}\cdot\hat{q}
ight)\left(arrho_q-ar{arrho}_q
ight)$$

changing the probability

$$|\mathcal{A}_{tot}|^2 = |\mathcal{A}_{free} + \mathcal{A}_{fwd-scatt}|^2$$
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In general, a 7 dimensional partial integro-differential eqn. 3 momentum (E, θ_p , ϕ_p) + 3 space (r, θ , ϕ) + 1 time (t)

Similar equation (with/out "matrix" but with "collisions") used for SN simulations or neutrinos in early Universe See talk by Kei Kotake and by I.M. Oldengott at NOW 2022







Compare with Classical Oscillators



Normal frequencies of N coupled oscillators

$$\omega_n = 2\omega_0 \sin\left(\frac{n\pi}{2(N+1)}\right)$$

Note that this does NOT increase with N



i.e., Large Flavor Oscillations with Vanishing Mixing Angle and/or Vanishing Mass-Sq-Difference

What causes it?

Instability Condition

Collective instability occurs *only if* momentum distributions of two flavors *cross each other* around some momentum

Proof by contradiction using the negative-definiteness of the imaginary part of the polarization tensor

Morinaga (2103.15267) Dasgupta (PRL 2022)



$$g_{\Gamma} = \sqrt{2}G_{\mathrm{F}} \begin{cases} f_{\nu_e,\mathbf{p}} - f_{\nu_{\mu},\mathbf{p}} & \text{for } E > 0\\ f_{\bar{\nu}_{\mu},\mathbf{p}} - f_{\bar{\nu}_e,\mathbf{p}} & \text{for } E < 0 \end{cases}$$

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- 4. This matrix has elements that are integrals of the difference of phase space distributions of two flavors
- 5. Show that "Non-positivity" requires that difference of phase space distribution does not have same sign everywhere
- 6. Done!

Linearized EoM

• Equation of motion is $v^{\alpha}\partial_{\alpha}\rho_{\mathbf{p}} = -i\left[\mathsf{H}_{\mathbf{p}},\rho_{\mathbf{p}}\right] + \mathsf{C}_{\mathbf{p}}$

Linearize a la Banerjee, Dighe, Raffelt (2010) Notation of Izaguirre, Raffelt, Tamborra (2016) and Airen et al. (2018)

- Write density matrix as $\frac{f_{\nu_e,\mathbf{p}} + f_{\nu_\mu,\mathbf{p}}}{2} \mathbb{1} + \frac{f_{\nu_e,\mathbf{p}} f_{\nu_\mu,\mathbf{p}}}{2} \begin{pmatrix} s_{\mathbf{p}} & S_{\mathbf{p}} \\ S_{\mathbf{p}}^* & -s_{\mathbf{p}} \end{pmatrix}$
- Collision term (in damping approx.) $C^{e\mu}_{f p}=-|\Delta^{e\mu}_{f p}|
 ho^{e\mu}_{f p}$
- EoM for off-diagonal becomes $i v^{\alpha} \partial_{\alpha} S_{\mathbf{p}} = (\omega_E + v^{\alpha} \Lambda_{\alpha} i |\Delta_{\mathbf{p}}|) S_{\mathbf{p}}$

$$-v^{\alpha} \int d\mathbf{p}' v_{\alpha}' \left(S_{\mathbf{p}'} g_{\mathbf{p}'} - \bar{S}_{\mathbf{p}'} \bar{g}_{\mathbf{p}'} \right)$$

• In the above, the "spectrum" is given by

$$g_{\Gamma} = \sqrt{2}G_{F} \begin{cases} f_{\nu_{e},\mathbf{p}} - f_{\nu_{\mu},\mathbf{p}} & \text{for } E > 0\\ f_{\bar{\nu}_{\mu},\mathbf{p}} - f_{\bar{\nu}_{e},\mathbf{p}} & \text{for } E < 0 \end{cases}$$

• We will prove that S can grow exponentially only if g changes sign

Dispersion Relation

- Linearized EoM $\left(v^{\alpha}\left(i\partial_{\alpha}-\Lambda_{\alpha}\right)-\omega_{E}+i|\Delta_{\Gamma}|\right)S_{\Gamma}=-v^{\alpha}\int d\Gamma'\,v'_{\alpha}\,g_{\Gamma'}\,S_{\Gamma'}$
- Use Fourier expansion $S_{\Gamma,r} = \sum_{K} Q_{\Gamma,K} e^{-i(K_0 t \mathbf{K} \cdot \mathbf{r})}$
- Get an eigenvalue equation $(v_{\alpha}k^{\alpha} \omega_E + i|\Delta_{\Gamma}|)Q_{\Gamma,k} = v_{\alpha}A_k^{\alpha}$

where $A_k^{\alpha} = -\int d\Gamma v^{\alpha} g_{\Gamma} Q_{\Gamma,k}$

- Ansatz for eigenvector $Q_{\Gamma,k} = \frac{v_{\alpha}A_k^{\alpha}}{v_{\gamma}k^{\gamma} \omega_E + i|\Delta_{\Gamma}|}$ • Eigen-equation becomes $v_{\alpha}A_k^{\alpha} = -v^{\alpha}A_k^{\beta}\int d\Gamma' g_{\Gamma'} \frac{v'_{\alpha}v'_{\beta}}{v'_{\gamma}k^{\gamma} - \omega_{E'} + i|\Delta_{\Gamma'}|}$
- Nontrivial solutions require $D(k) \equiv \det \Pi_k^{\alpha\beta} = 0$ where $\Pi_k^{\alpha\beta} = h^{\alpha\beta} + \int d\Gamma g_{\Gamma} \frac{v^{\alpha}v^{\beta}}{v_{\gamma}k^{\gamma} - \omega_E + i|\Delta_{\Gamma}|}$

Proof by Contradiction

- Let us assume g has same sign everywhere BUT there exists $k_0 = \kappa + i\sigma$ with the imaginary part nonzero and real **k**, that solves $\mathcal{D}(k) \equiv \det \prod_k^{\alpha\beta} = 0$
- We write $\Pi^{\alpha\beta} = M^{\alpha\beta} i N^{\alpha\beta}$

where
$$M^{\alpha\beta} = h^{\alpha\beta} + \int d\Gamma g_{\Gamma} \frac{(\kappa - \mathbf{v} \cdot \mathbf{k} - \omega_E) v^{\alpha} v^{\beta}}{(\kappa - \mathbf{v} \cdot \mathbf{k} - \omega_E)^2 + (\sigma + |\Delta_{\Gamma}|)^2}$$

$$N^{\alpha\beta} = \int d\Gamma g_{\Gamma} \frac{(\sigma + |\Delta_{\Gamma}|) v^{\alpha} v^{\beta}}{(\kappa - \mathbf{v} \cdot \mathbf{k} - \omega_E)^2 + (\sigma + |\Delta_{\Gamma}|)^2}$$

• Note that *N* is real-symmetric and thus orthogonally diagonalizable to

$$O^{\alpha}_{\mu}O^{\beta}_{\nu}N^{\mu\nu} = D^{\alpha\beta} \qquad \text{with} \qquad D^{\alpha\alpha} = \int d\Gamma \, g_{\Gamma} \, \frac{\left(\sigma + |\Delta_{\Gamma}|\right) \left(O^{\alpha}_{\mu}v^{\mu}\right)^{2}}{\left(\kappa - \mathbf{v} \cdot \mathbf{k} - \omega_{E}\right)^{2} + \left(\sigma + |\Delta_{\Gamma}|\right)^{2}} \, .$$

- The dispersion relation $\mathcal{D}(k) \equiv \det \Pi_k^{\alpha\beta} = 0$ implies $\tilde{M}^{\alpha\beta}A_{\beta} = +iD^{\alpha\beta}A_{\beta}$ which gives on multiplying by A^* gives $\tilde{M}^{\alpha\beta}A_{\alpha}^*A_{\beta} = -iD^{\alpha\beta}A_{\alpha}^*A_{\beta}$ and on complex-conj. and *M*-symmetry gives $\tilde{M}^{\alpha\beta}A_{\alpha}^*A_{\beta} = +iD^{\alpha\beta}A_{\alpha}^*A_{\beta}$
- However, then one finds that $\sum_{\alpha} D^{\alpha\alpha} |A_{\alpha}|^2 = 0$ which is impossible \Box

Morinaga (2103.15267) Dasgupta (PRL, 2022)

Basudeb Dasgupta (TIFR Mumbai),11 Sep 2022, NOW 2022 Ostuni

Crossing Conditions





 $R \simeq \mathcal{O}(10 \,\mathrm{km})$

Different flavors have different energy spectrum

Crossing leads to (slow) instability i.e., exponential growth at a rate proportional to sqrt-ωμ

Different flavors have different angular distribution

Crossing leads to (fast) instability i.e., exponential growth at a rate proportional to μ



i.e., Large Flavor Oscillations with Vanishing Mixing Angle and/or Vanishing Mass-Sq-Difference and Exponential Growth in Initial Stages!



Slow Conversions



Dasgupta, Dighe, Raffelt, Smirnov (PRL, 2009)

Slow Spectral Swaps



Portions of the energy spectra get exchanged

Initially thought to occur for Inverted Mass Ordering

Duan, Fuller, Carlson, Qian (2005, 2006, 2007) Hannestad, Raffelt, Sigl, Wong (2006) Raffelt and Smirnov (2007, 2007) Fogli, Lisi, Marrone, Mirizzi (2008)



Later realized that this occurs for any Mass Ordering and there can be Multiple Spectral Splits

> Dasgupta, Dighe, Raffelt, Smirnov (2009) Friedland (2010) Raffelt, Sarikas, Seixas (2013)

Fast Conversions



Usually no analytical solution



1 + 1 dimensional numerics



1 dimensional box of length L with periodic boundary conditions

Neutrinos are emitted in the electron or muon state from each point in the box with velocity v along the box-length.

Net-emission at velocity v is

$$G_v = f_e(v) - f_{muon}(v)$$

which is the difference of phase space distributions of the two flavors at each v.

Solve this equation:
$$(\partial_t + v\partial_z)\mathsf{S}_v = \mu_0 \int_{-1}^{+1} dv' G_{v'} (1 - vv') \mathsf{S}_{v'} \times \mathsf{S}_v$$

1+1+1d calculation Bhattacharyya and Dasgupta (PRD 2020; PRL 2021, 2205.05129)



1+1+1d calculation Bhattacharyya and Dasgupta (PRD 2020; PRL 2021, 2205.05129)

Randomness from Coarsening



Fig. from Diaconis, Holmes, Mongomery (2007)

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 $\frac{5}{t \, [ps]}$

Predictable on Average?



The final resting point of the average flavor-spin can be predicted analytically

$$m_f^{+-} = \frac{-bu_i^2 + \sqrt{b^2 u_i^4 - 4(2b^2 - 4ku_i)bu_i^2 m_i}}{4b^2 - 8ku_i}$$

b and k are constants of motion, known from initial conditions, and m_i and u_i are initial conditions on the 1st and 2nd moment

Bhattacharyya and Dasgupta (2205.05129)

Fast Depolarization



Several other groups have since obtained similar results

- Richers et al. @ Berkeley
- Meng-Ru Wu et al. @ Taiwan
- Sigl @ Hamburg
- Duan, Martin, et al. @ UNM

Bhattacharyya and Dasgupta 2020 PRD 2021 PRL See 2205.05129 for more references

Fast Depolarization



Fast Depolarization



Ordinary vs. Collective

$$P_{\rm surv} = 1 - \sin^2 2\theta \sin^2 \left(\frac{\Delta m^2}{4E}t\right)$$

At the beginning, for small heta

$$P_{\rm surv} = 1 - \theta^2 \left(\frac{\Delta m^2}{2E}t\right)^2$$

At late times

$$P_{\rm surv} = 1 - \frac{1}{2}\sin^2 2\theta$$

No analytical solution in general, but

- it can rise exponentially in time !
- weak dependence on mixing angle !
- fast instability has ~no Δm^2 dependence?!

No analytical solution in general, so

can't easily predict the degree of mixing 1:1:1 mixing is not quite correct















Why do we care?

This is a lot of fun!

Instead of the usual
$$i\partial_t |
u_i
angle \sim \omega_{
m osc} |
u_i
angle$$

One has ...

$$i\partial_t |\nu_i\rangle \sim \left[\omega_{\rm osc} + \frac{G_F}{V} \sum_{j=1}^N (1 - \hat{p}_i \cdot \hat{p}_j) |\nu_j\rangle \langle \nu_j| \right] |\nu_i\rangle$$

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Instead of the usual
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u_i
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m osc} |
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One has ... $i\partial_t |\nu_i\rangle \sim \begin{bmatrix} \omega_{\rm osc} + & Neutrino-Neutrino \\ Forward Scattering \\ of N neutrinos in a box \end{bmatrix} |\nu_i\rangle$

- Can the oscillation frequency be proportional to N ?!
- What is required to get this truly unusual behavior?
- What is its late time behavior?

Why do we care ?

Neutrinos affect astrophysics

- The observable neutrino flux can be better decoded to understand neutrino properties
- Heating of the star depends on how energy is apportioned between the various flavors
- Formation of chemical elements depends on the electron anti/neutrino abundance

Expected Signals at Detector

Example: Super-K can distinguish fast decoherence from ordinary evolution



Capozzi, Dasgupta, Mirizzi (PRD, 2018)

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Flavor Dependent Heating

Heating is due to electron (anti)-neutrinos

$$Q_{+}^{\text{before}} \sim \frac{L_{\nu_e}}{4\pi r^2} \sigma_0 \left(\frac{E_{\nu_e}}{E_0}\right)^2$$

But these flavors have the least average energy $\langle E_{\nu_e}\rangle \ll \langle E_{\nu_x}\rangle$

With a swap of the flavors, i.e., $\,
u_e \leftrightarrow
u_x \,$

Significant increase in heating rate is possible

$$Q_+^{\text{after}} \sim \frac{L_{\nu_x}}{4\pi r^2} \sigma_0 \left(\frac{E_{\nu_x}}{E_0}\right)^2 \gg Q_+^{\text{before}}$$

Conversion = Heating?



May be possible if conversions occur near/below gain radius!

Talk on Supernova Explosion Modelling by Kei Kotake at NOW 2022 for other aspects

Dasgupta, O'Connor, Ott (PRD, 2011)

Nucleosynthesis



Vital elements for human existence are created in these stellar crucibles

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Flavor & the r-Process

New elements created via (rapid) neutron capture

 $X(A,Z) + n \rightleftharpoons X(A+1,Z) + \gamma + Q_n(A,Z),$

Depends on neutron abundance

$$\log \frac{n(A+1,Z)}{n(A,Z)} = \log n_n - 34.07 - \frac{3}{2} \log T_9 + \frac{5.04}{T_9} Q_n$$

Burbidge, Burbidge, Fowler, Hoyle (RMP, 1957)

Q_n=Binding Energy in MeV T_a=Temperature in 10⁹ degrees

But electron (anti) neutrinos shift the n/p ratio $ar{
u}_e + p \rightleftharpoons n + e^+$ $u_e + n \rightleftharpoons p + e^-$

Nucleosynthesis

with Fast Conversions in Neutron Stars?



R process nucleosynthesis of A~140 onwards boosted by Fast Conversions









• Relaxation approximation vs. improved implementation in neutrino oscillation calculations?





- Relaxation approximation vs. improved implementation in neutrino oscillation calculations?
- Self-consistent inclusion in SN simulations?





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- New ideas?





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- Self-consistent inclusion in SN simulations?
- New ideas?

- NOW 2022 talks by
- Lucas Johns
- Irene Tamborra
- Kei Kotake

The Big Picture

- Neutrino Theory
 - What are the different modes of flavor conversion?
 - When/Where/How do they occur?
 - What are the final flavor dependent fluxes?
- SN Theory, Nuclear Astrophysics
 - How do conversions affect SN heating? Nucleosynthesis?
 - Are neutrino oscillations + collisions important?
- Neutrino Phenomenology
 - What are the signatures and signals at detectors?
 - How can we glean important physics and astrophysics from these observations?

See my Neutrino 2018 review for more phenomenology https://zenodo.org/record/1287067

In a Nutshell ...

$$i\partial_t |\nu\rangle = H |\nu\rangle$$

• Neutrinos usually change flavor at a rate $\sim \Delta m^2/(2E)$, which is about $\sim 1~per~km$ for neutrinos with MeV energy

$$i\partial_t |\nu_i\rangle \sim \left[\omega_{\rm osc} + \frac{G_F}{V} \sum_{j=1}^N (1 - \hat{p}_i \cdot \hat{p}_j) |\nu_j\rangle \langle \nu_j|\right] |\nu_i\rangle$$

- In a SN, neutrinos "influence" each other via forward scattering, when their density is high
- This allows "fast" (~1 per mm) exponential flavor change
 - Q1. When is this possible? Ans: Crossing of momentum distributions of the two flavors
 - Q2. What impact does it have? Ans: Large flavor mixing and depolarization. May affects heating of the star, creation of elements, and observable signals