

# Oscillation physics with Hyper-Kamiokande

Zhenxiong Xie

On behalf of Hyper-Kamiokande collaboration

[zhenxiong.xie@kcl.ac.uk](mailto:zhenxiong.xie@kcl.ac.uk)



# Outline

- Hyper-Kamiokande (HK)
  - HK Detector
  - Collaboration
  - Upgrade
  - Physics
- HK Long-Baseline Program
  - Sensitivity to CP Violation
  - Precision Measurements
- Proton Decay, Supernova Neutrinos



Akimoto, Yuki @ higgstan

# Hyper-Kamiokande

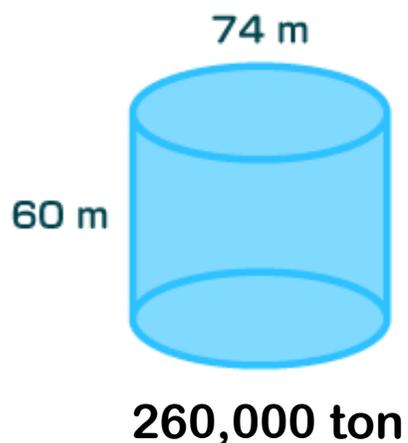
**Kamiokande**  
1983 - 1996



**Super-Kamiokande (SK)\***  
1996 -



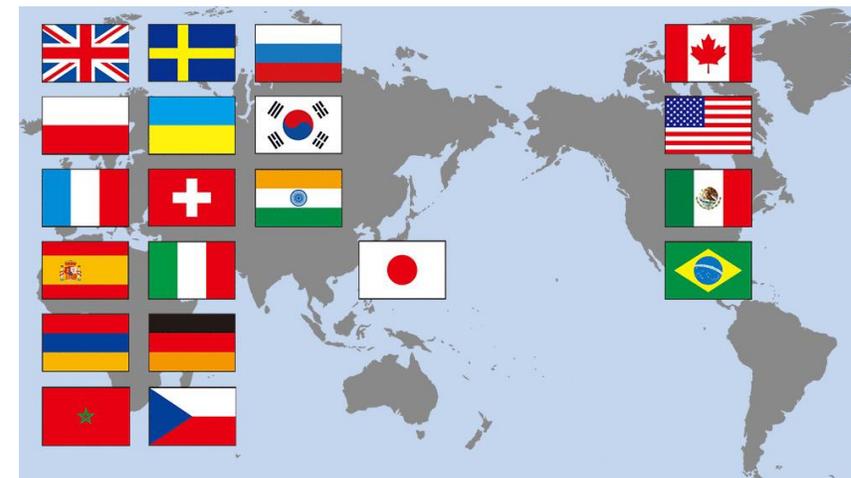
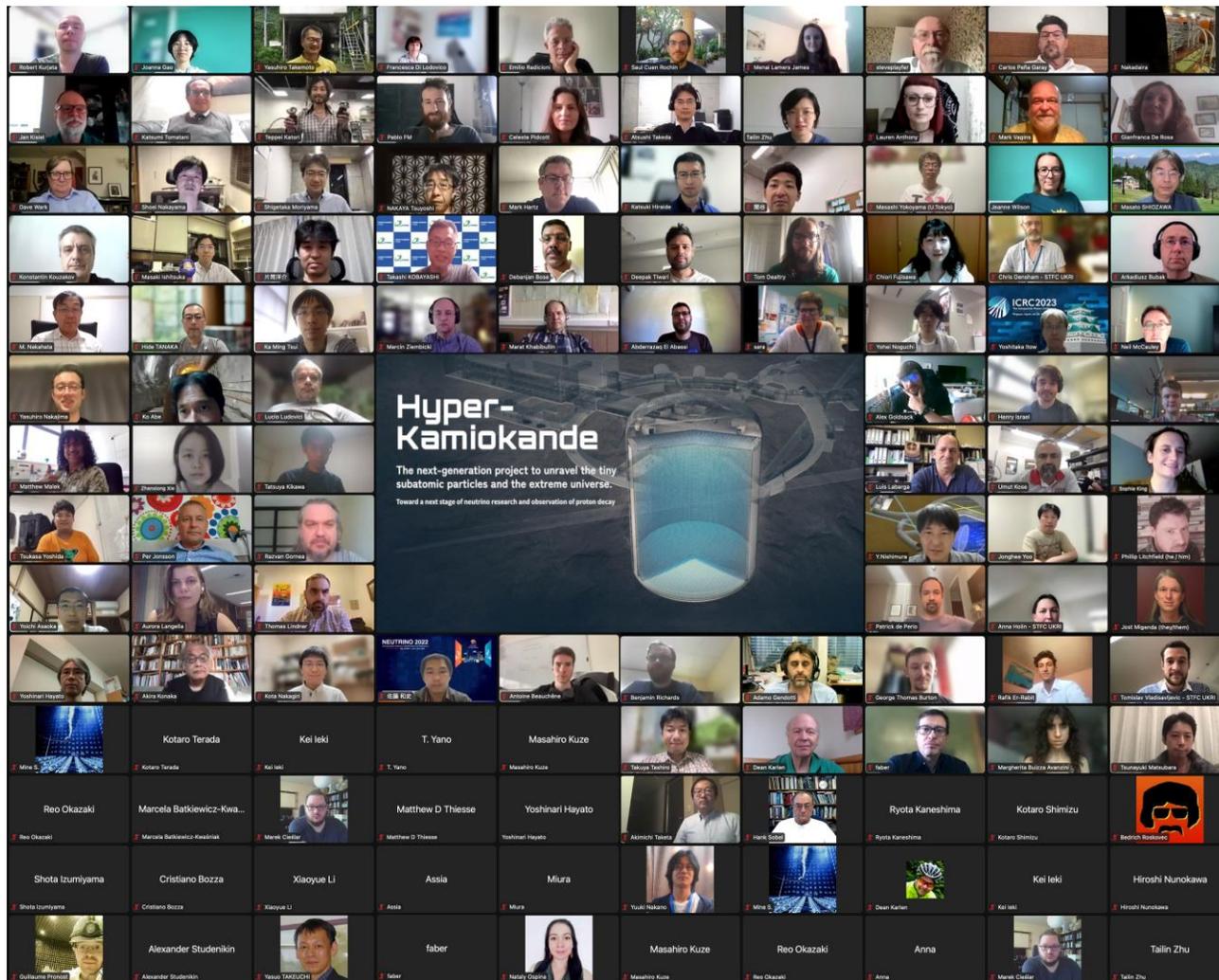
**Hyper-Kamiokande (HK)**  
2027 -



- Next-generation neutrino experiment
- **8 times** fiducial volume of Super-Kamiokande (SK) water Cherenkov detector
- Aim to start taking data from 2027

\* SK talk at 10:50 on 5<sup>th</sup> Sept - Yasuo Takeuchi  
T2K talk at 9:50 on 5<sup>th</sup> Sept - Lukas Berns

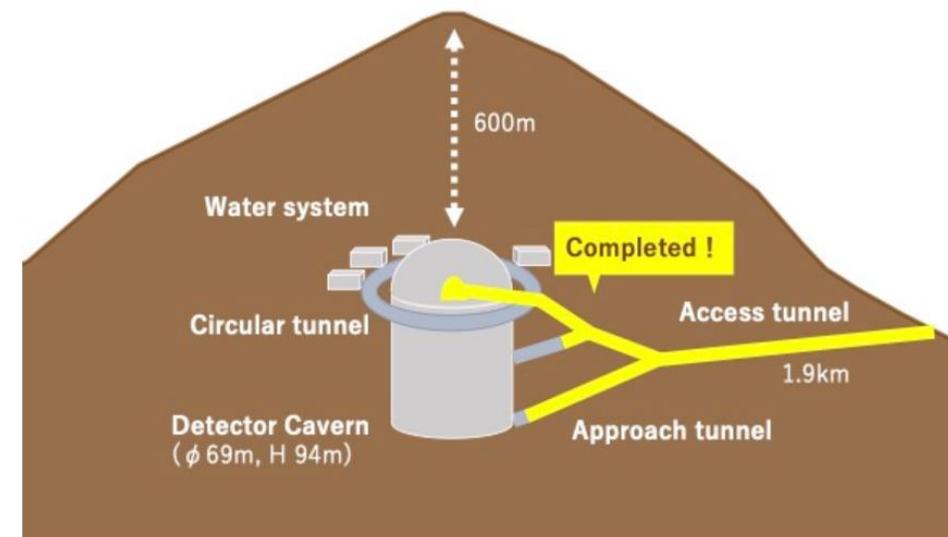
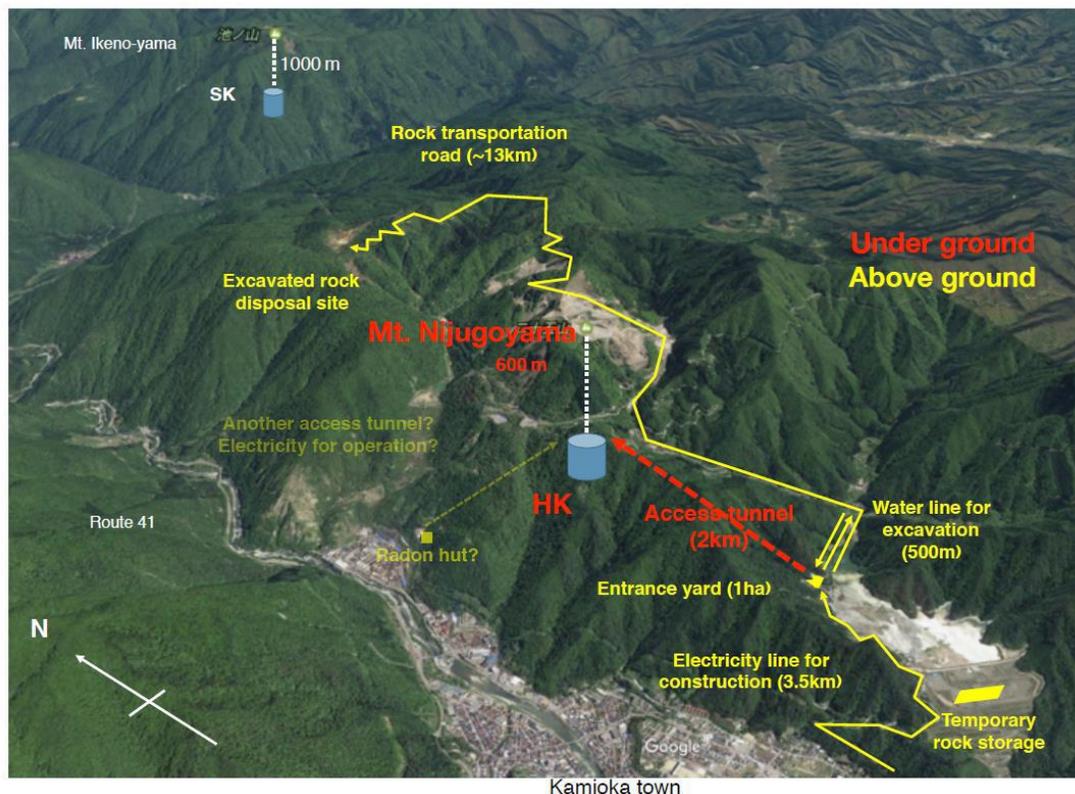
# HK Collaboration



~520 members from 20 countries and ~100 institutes

# Cavern Excavation

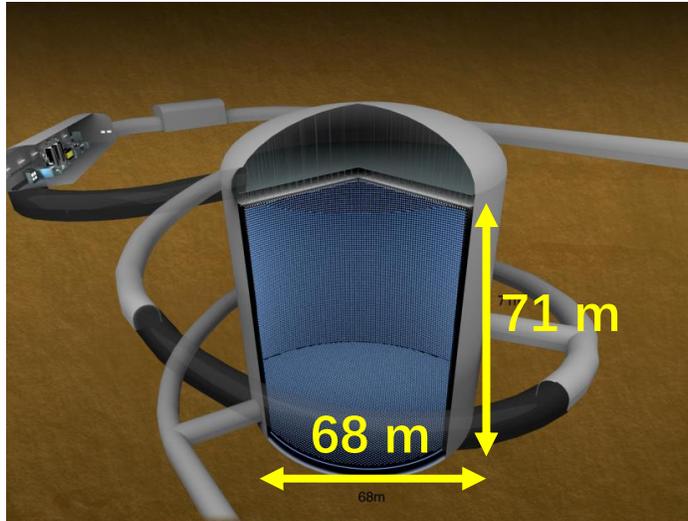
## Overview of the HK construction



Access tunnel excavation has been finished as scheduled  
Approach tunnel and circular tunnel excavation is ongoing

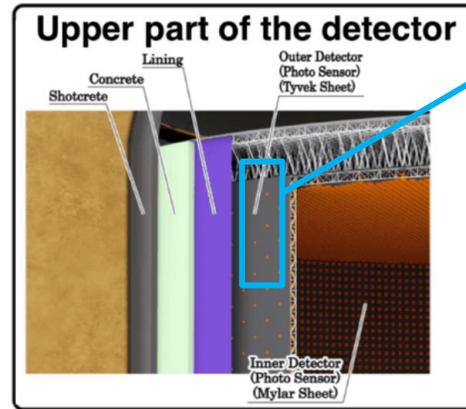
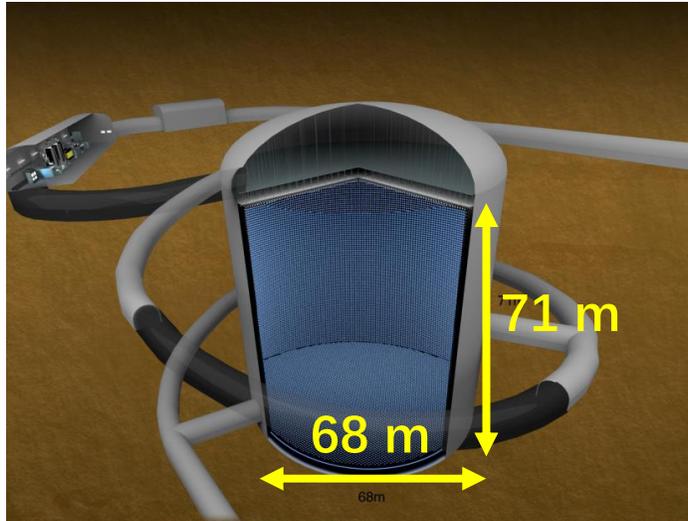
# HK Experiment

## Far detector



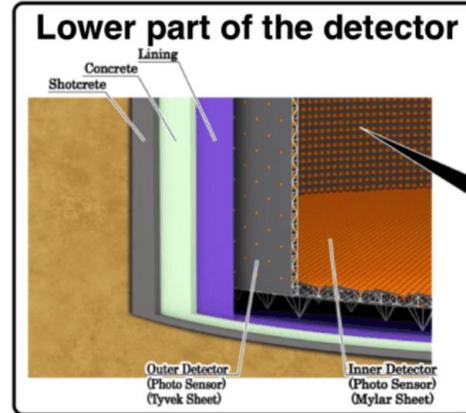
# HK Experiment

## Far detector

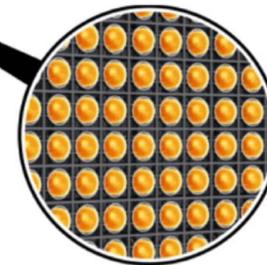


## Outer Detector (OD)

Reject cosmic ray muons to constrain the external background (3-inch PMTs + WLS plates)

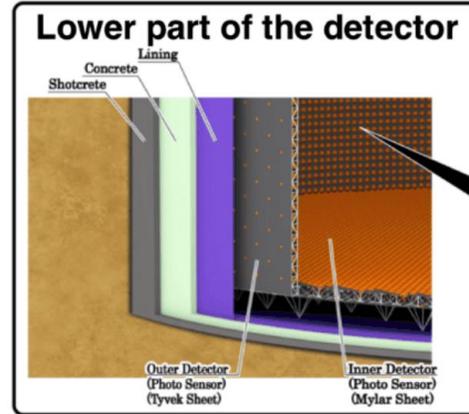
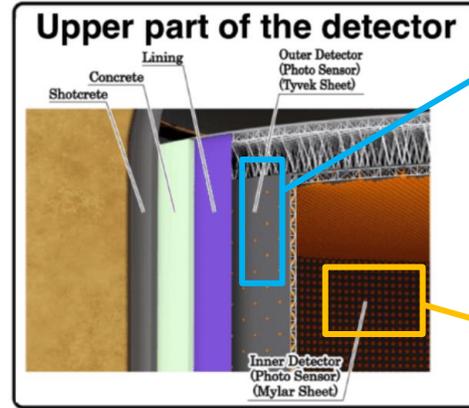
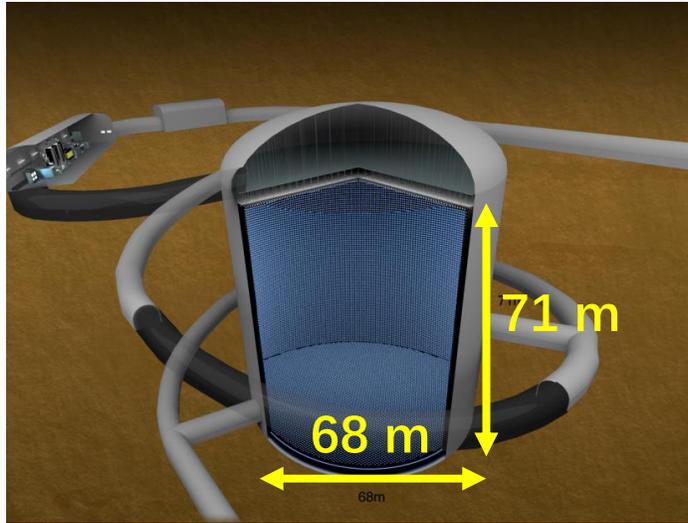


## Photo-sensors



# HK Experiment

## Far detector



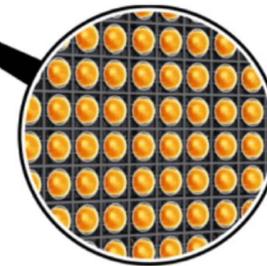
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### Inner Detector (ID)

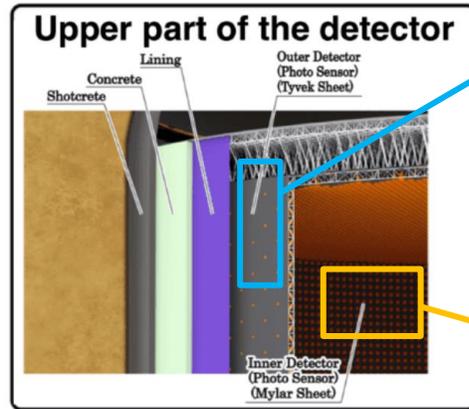
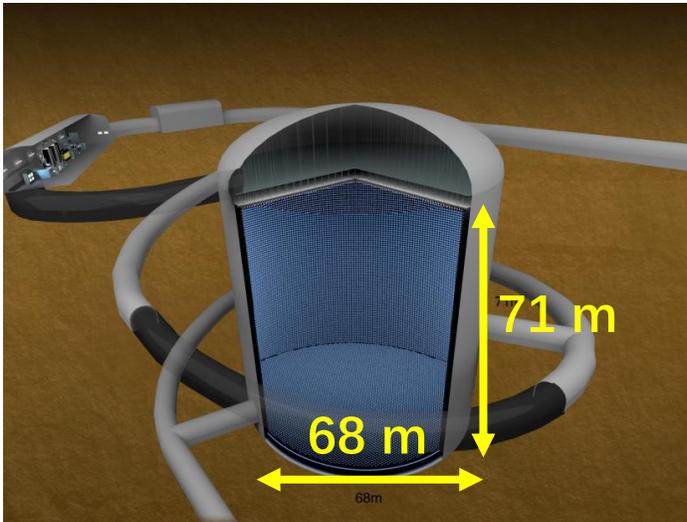
Cherenkov light from neutrino interaction (20-inch PMTs + mPMTs)

### Photo-sensors



# HK Experiment

## Far detector

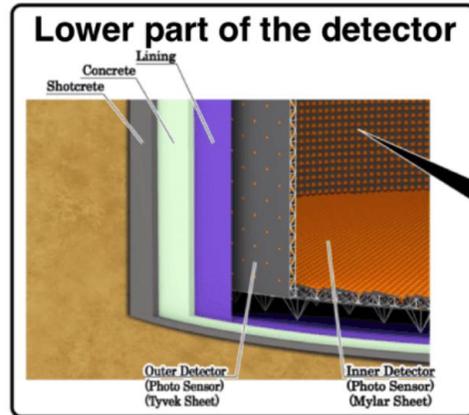


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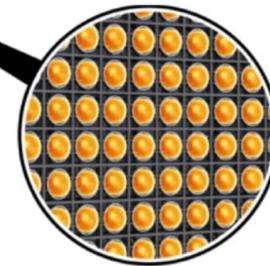
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Cherenkov light from neutrino interaction (20-inch PMTs + mPMTs)

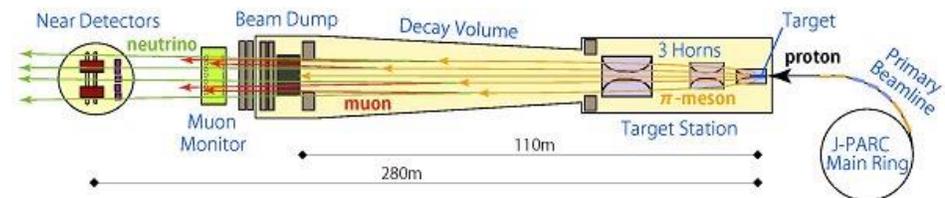


### Photo-sensors



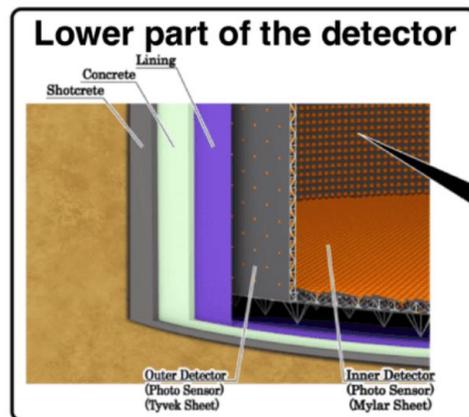
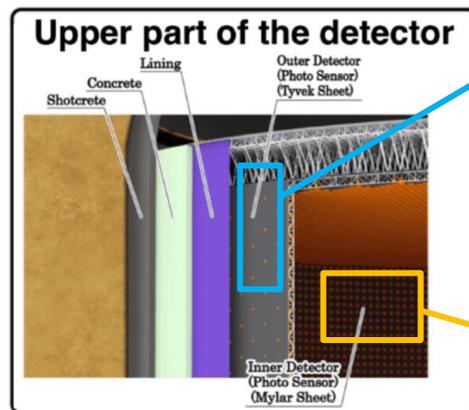
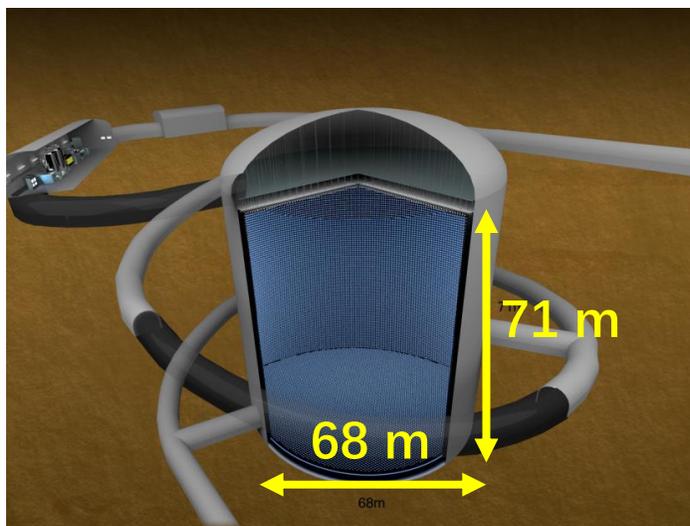
## Beam neutrinos

### J-PARC



# HK Experiment

## Far detector



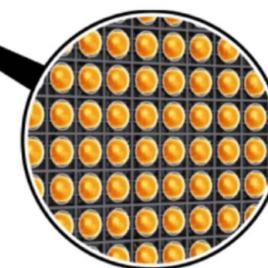
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## Inner Detector (ID)

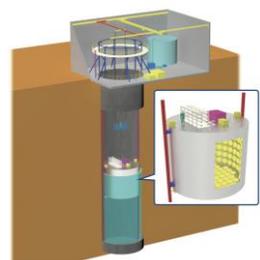
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## Photo-sensors

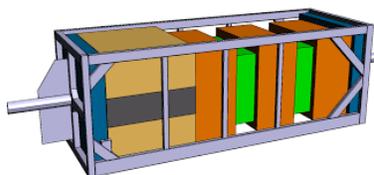


## Near detectors

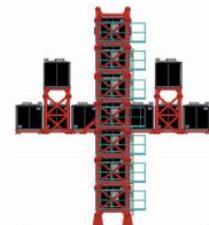
### IWCD



### ND280

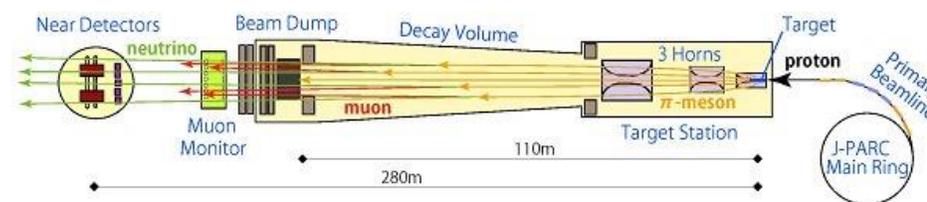


### INGRID

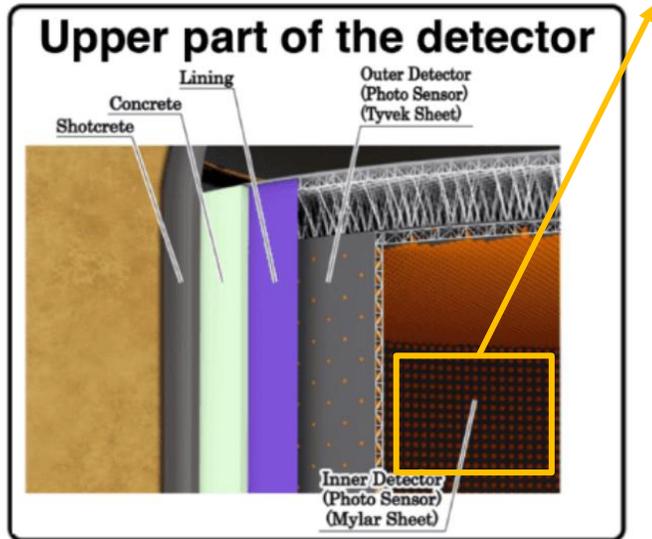


## Beam neutrinos

### J-PARC



# Far Detector



## Inner Detector (ID)

- 20,000 50 cm Box and Line  
Dynode ID PMTs
- 2.6 ns timing resolution
  - 2 times SK PMT efficiency



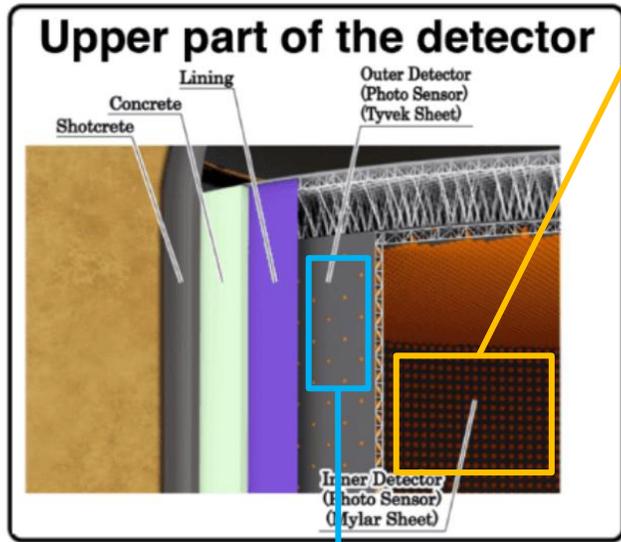
## Additional mPMTs:

19 8 cm PMTs + electronics  
inside single pressure vessel



- Directional information of arrival photons
- Accurate photon counting
- Excellent timing resolution

# Far Detector



## Inner Detector (ID)

20,000 50 cm Box and Line Dynode ID PMTs

- 2.6 ns timing resolution
- 2 times SK PMT efficiency



## Additional mPMTs:

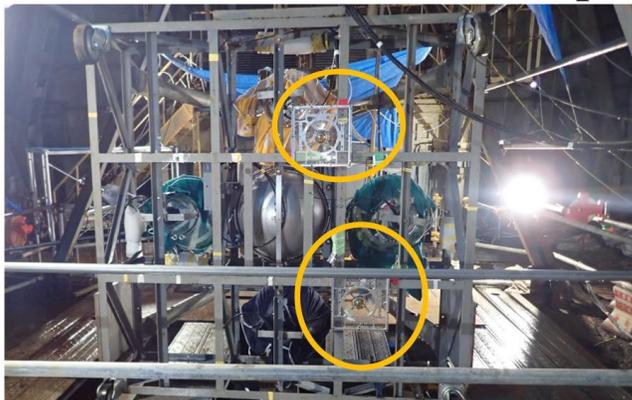
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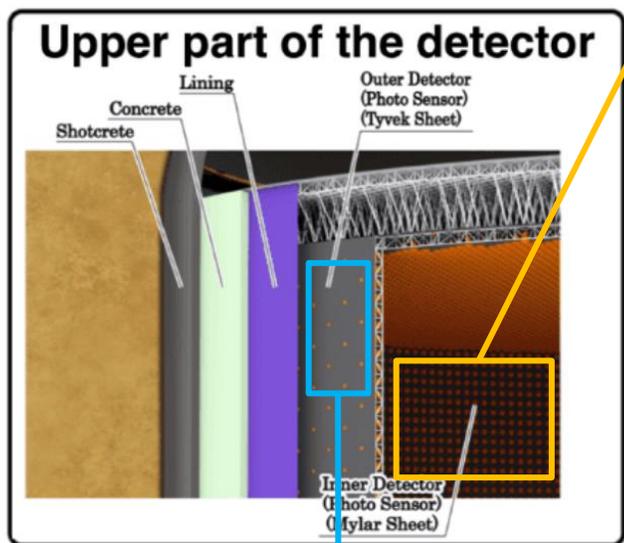
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## Outer Detector (OD)

8,000 8 cm PMTs + WLS plates



# Far Detector



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- 2 times SK PMT efficiency



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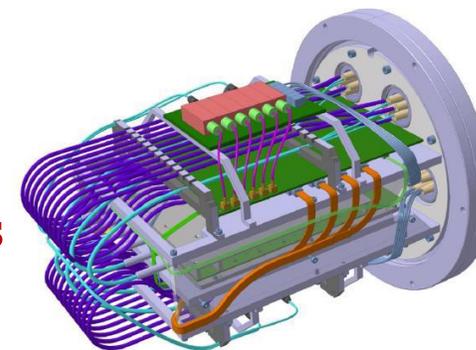
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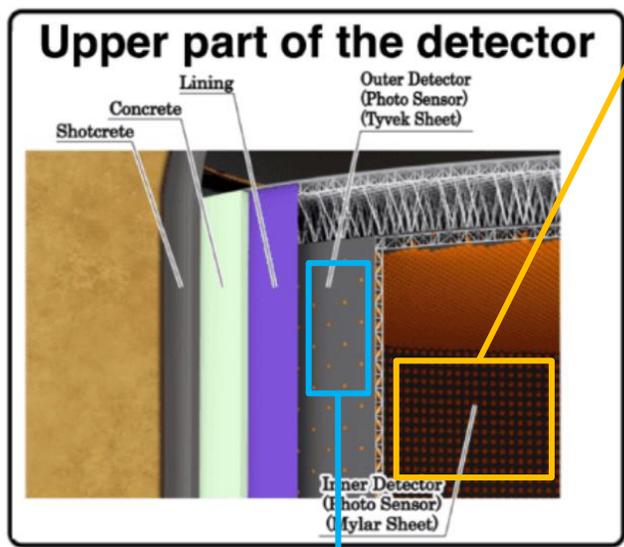
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ID+OD  
Electronics



# Far Detector



## Inner Detector (ID)

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- 2.6 ns timing resolution
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## Additional mPMTs:

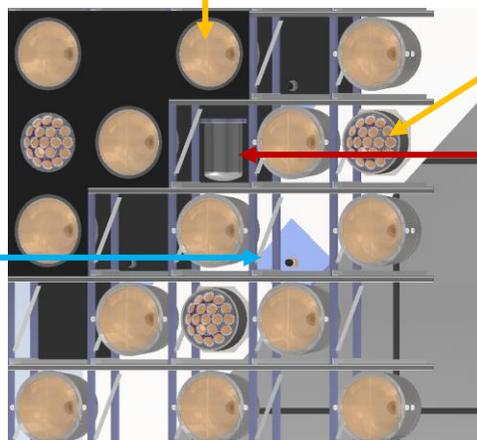
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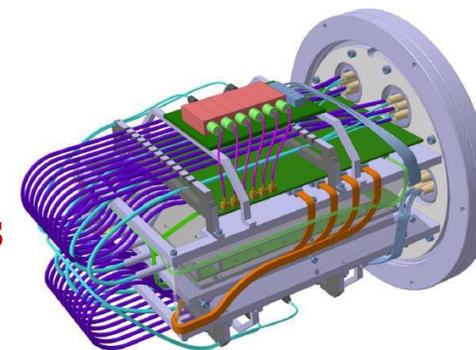
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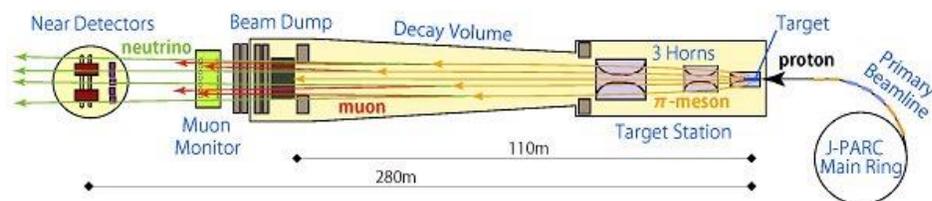
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ID+OD  
Electronics

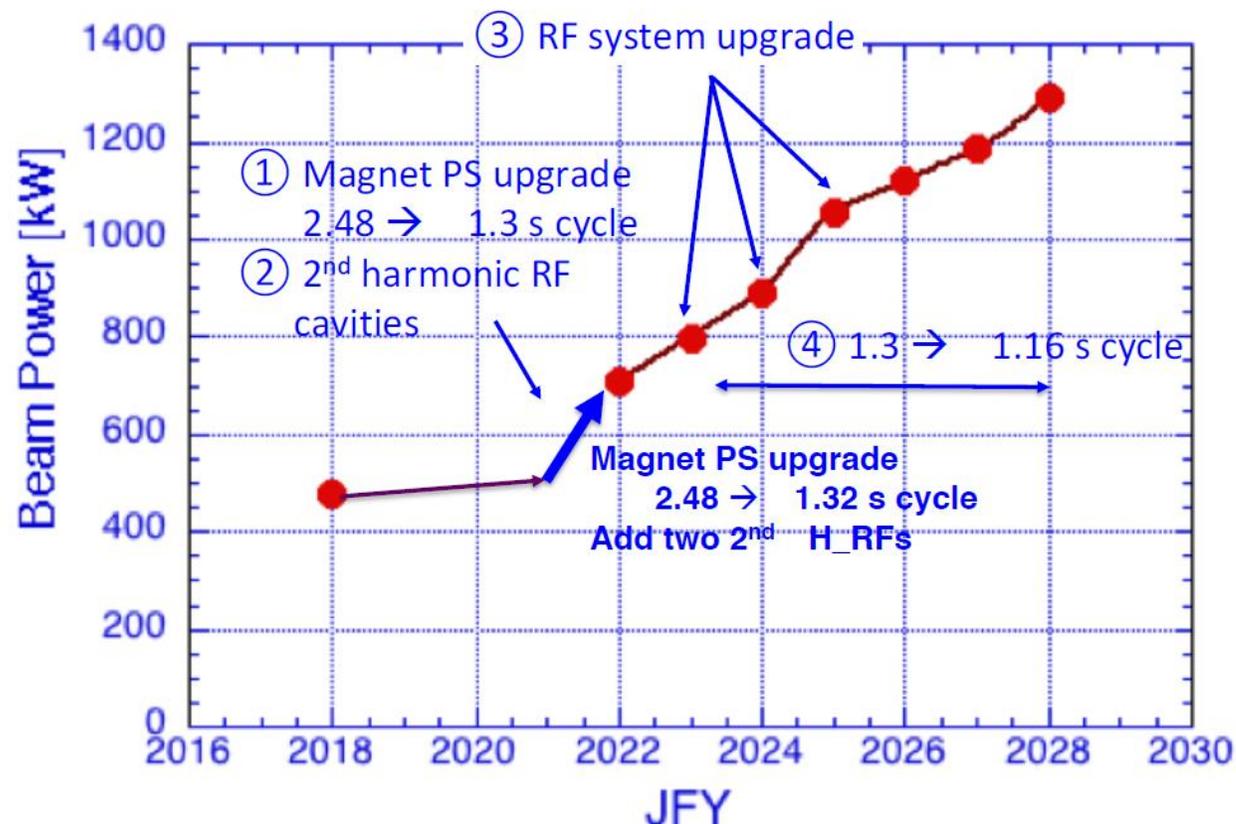


# J-PARC Upgrade



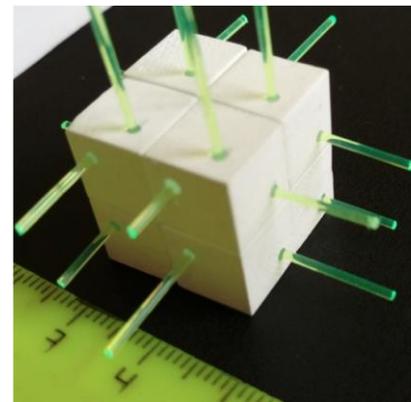
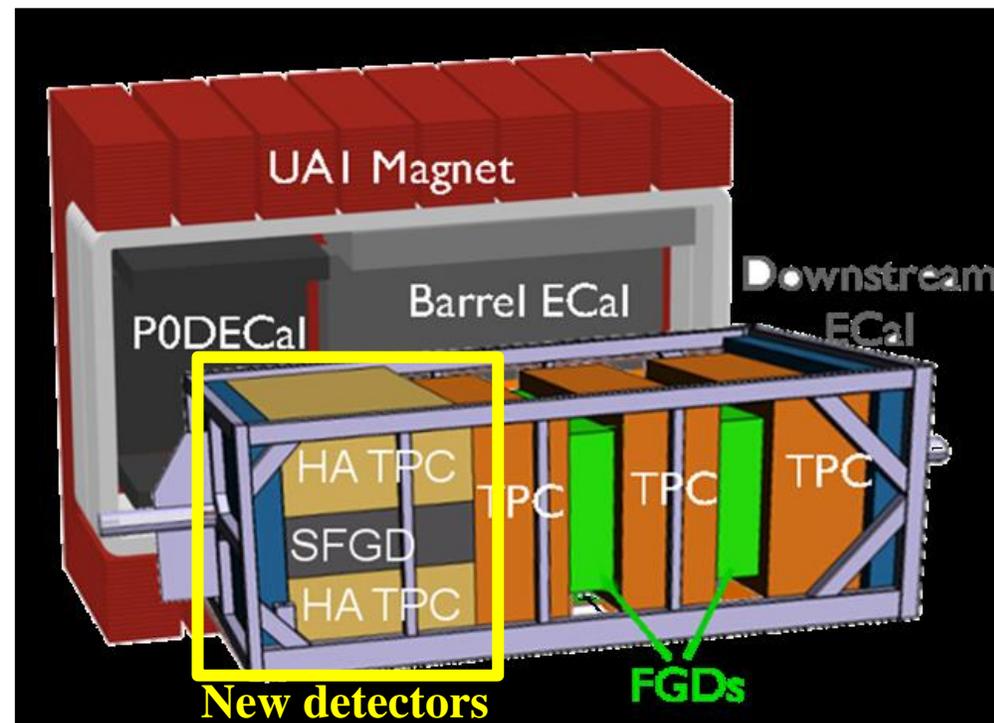
- 295 km baseline
- $2.5^\circ$  off-axis, where  $\nu_\mu/\bar{\nu}_\mu$  peak at 0.6 GeV
- neutrino beam is expected to reach 1.3 MW by 2028

T2K talk at 9:50 on 5th Sept - Lukas Berns



# ND280 Upgrade

- ND280 is 280 m from the beam source
  - Currently is T2K and will be part of HK
  - Constrain the neutrino flux
  - Precisely measure neutrino cross sections
- ND280 upgrade
  - High angle events
  - Low particle detection thresholds
  - Improved to measure hadronic final states
- Improve acceptance for high-angle and backwards tracks to improve systematic error constraint



Details see Jaafar Chakrani's talk at 16:00 on 6<sup>th</sup> Sept

# IWCD (Intermediate Water Cherenkov Detector)

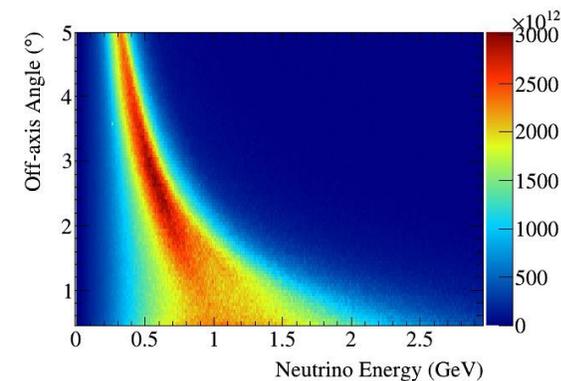
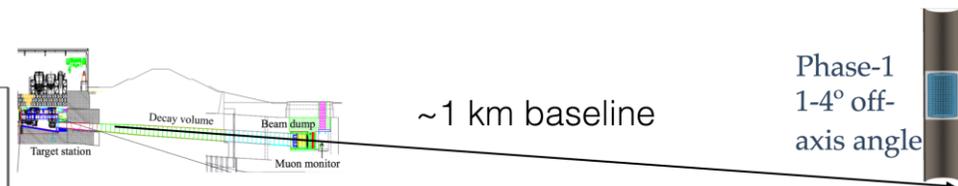
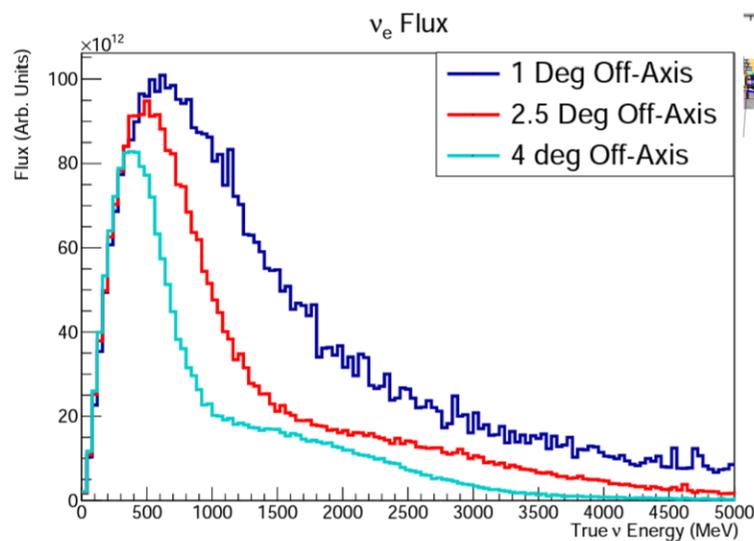
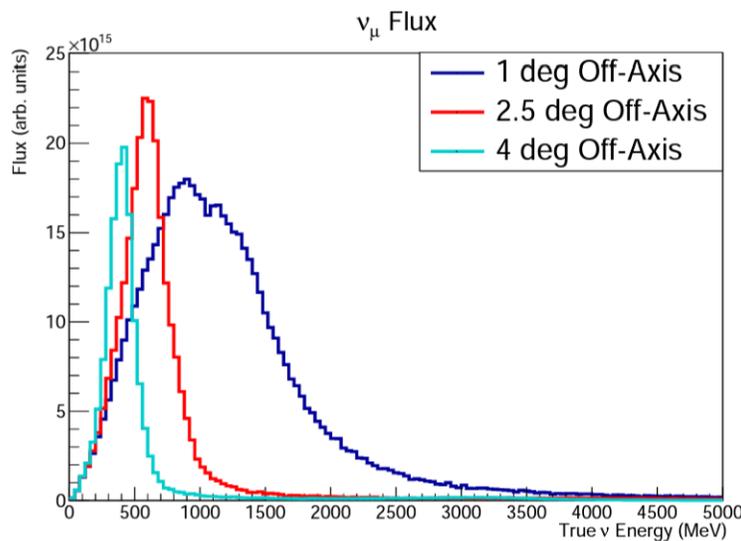
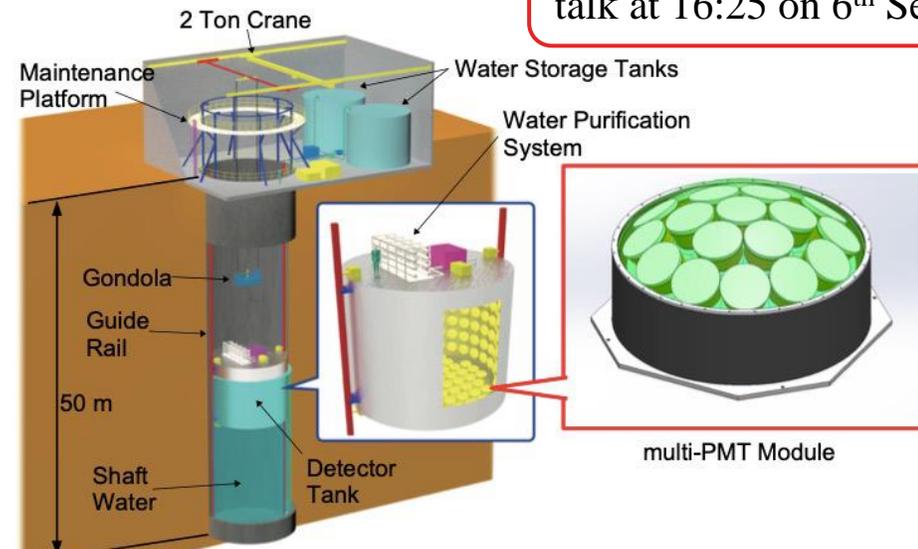
Details see Tailin Zhu's talk at 16:25 on 6<sup>th</sup> Sept

- Water Cherenkov detector
- Tall vertical shaft located ~1km from beam source
- ~1% residual  $\nu_e/\bar{\nu}_e$  beam components

Large fraction at far-OA angle

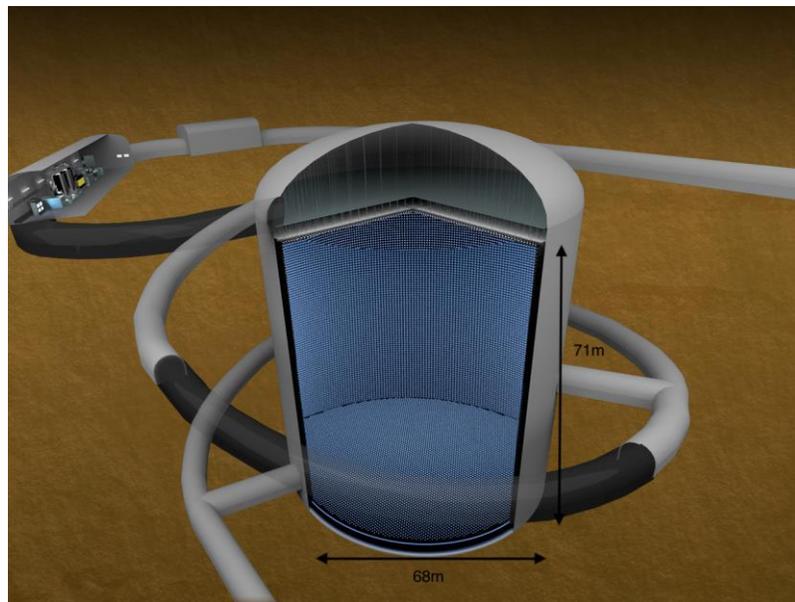
**Constrain  $\nu_e/\bar{\nu}_e$  cross sections in water**

- Approved HK project includes IWCD



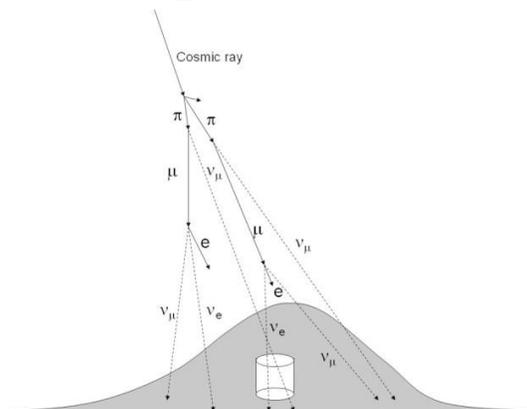
# HK Experiment

## Far detector



- 8 times fiducial volume of SK
- 20-inch ID PMTs + mPMTs
- 3-inch OD PMTs + WLS plates

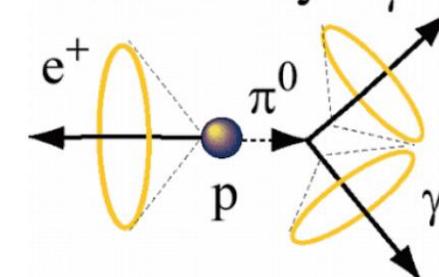
## Atmospheric neutrinos



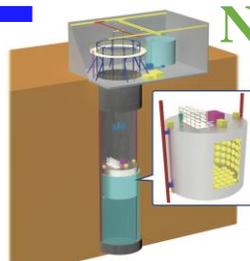
## Astrophysical Neutrinos



## Proton decay

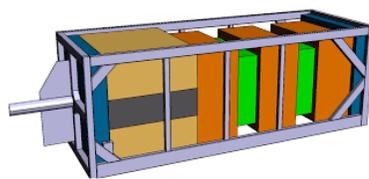


## Near detectors



### IWCD

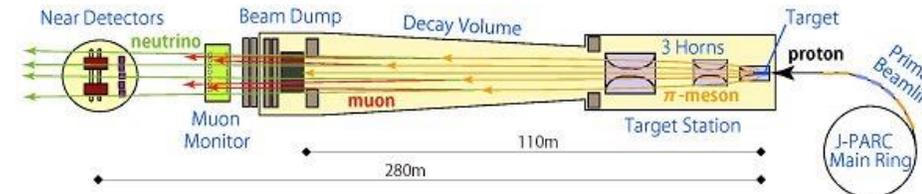
Constrain  $\nu_e/\bar{\nu}_e$  cross sections in water



### ND280

Measure hadronic final states

## Beam neutrinos

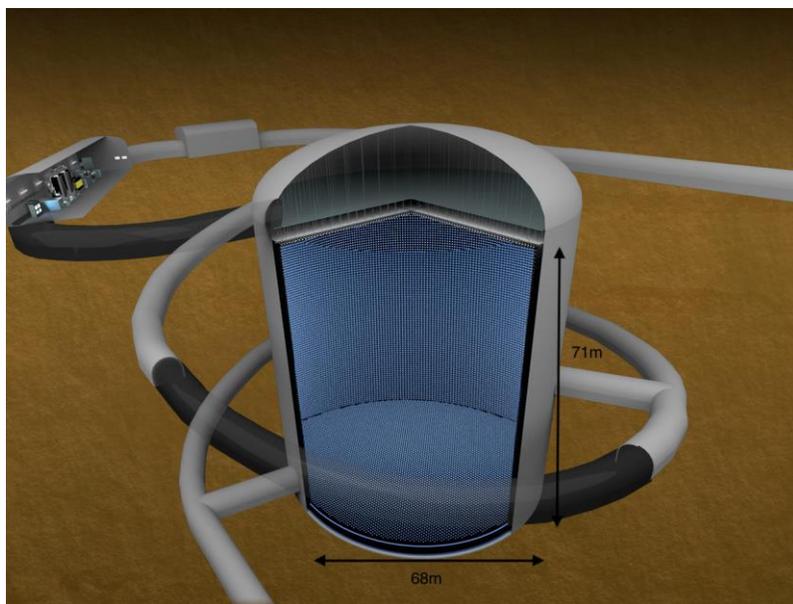


### J-PARC

- Will upgrade to 1.3 MW
- $2.7 \times 10^{22}$  POT (protons on target) for 10 HK years

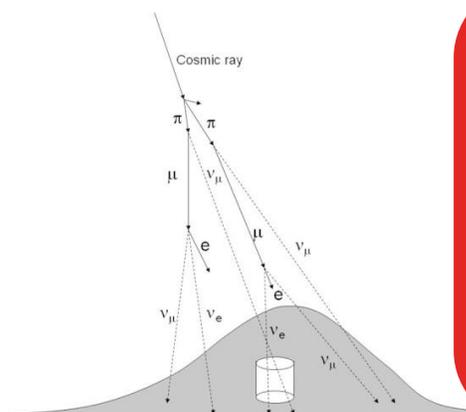
# HK Experiment

## Far detector



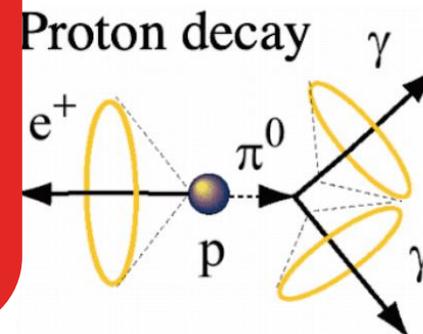
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## Atmospheric neutrinos

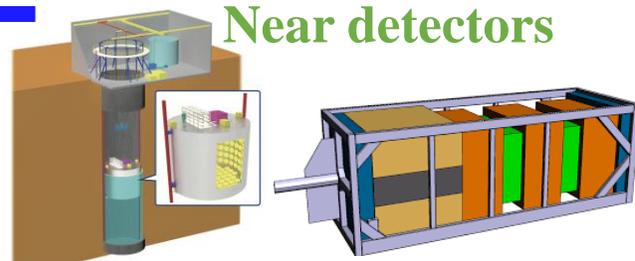


Diverse physics program!

- Neutrino oscillation
- Proton decay
- Supernova neutrino
- Dark matter



## Near detectors



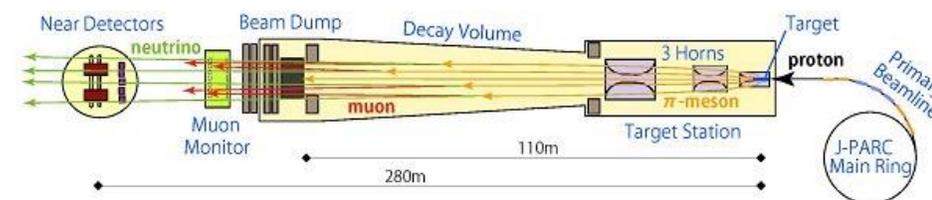
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measure hadronic final states

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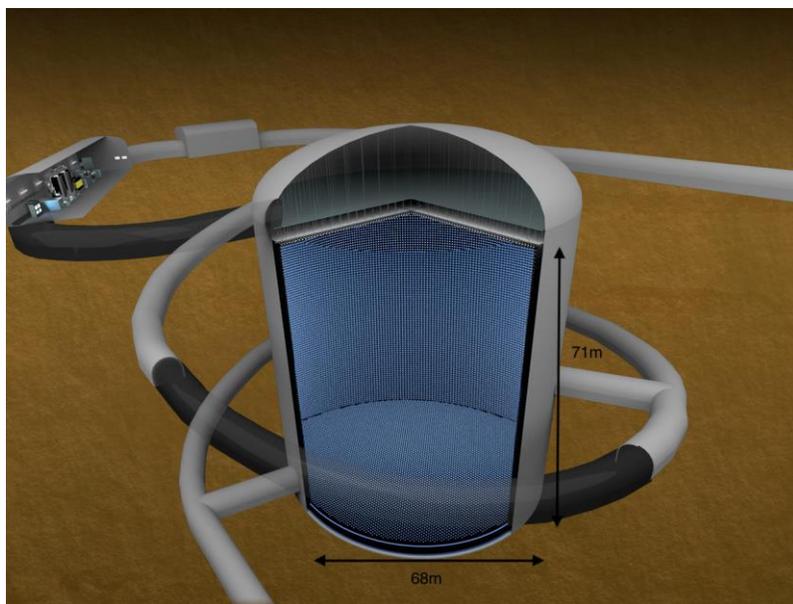


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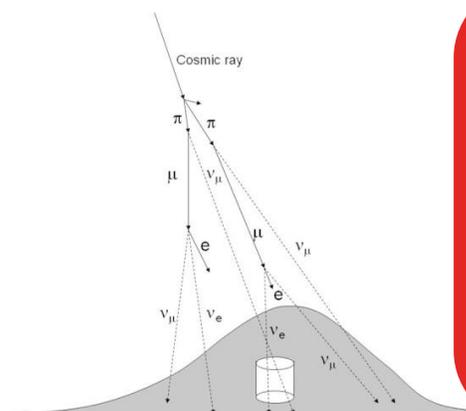
# HK Experiment

## Far detector



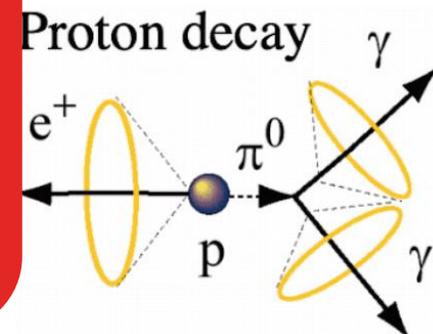
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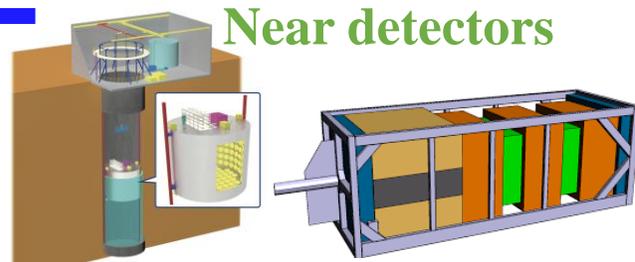


Diverse physics program!

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## Near detectors



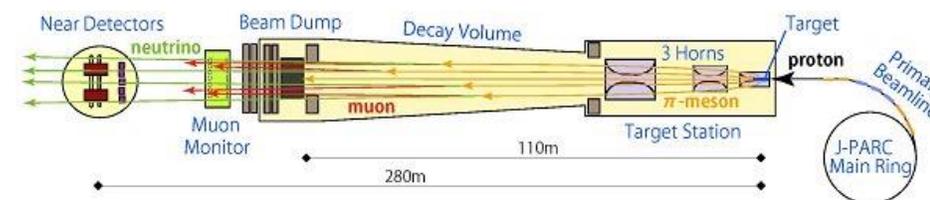
### IWCD

Constrain  $\nu_e/\bar{\nu}_e$  measure hadronic cross sections in water

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measure hadronic final states

## Beam neutrinos



### J-PARC

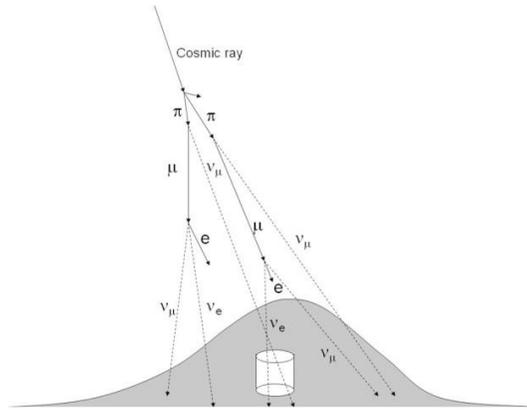
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# HK Long-Baseline Program

## Far detector

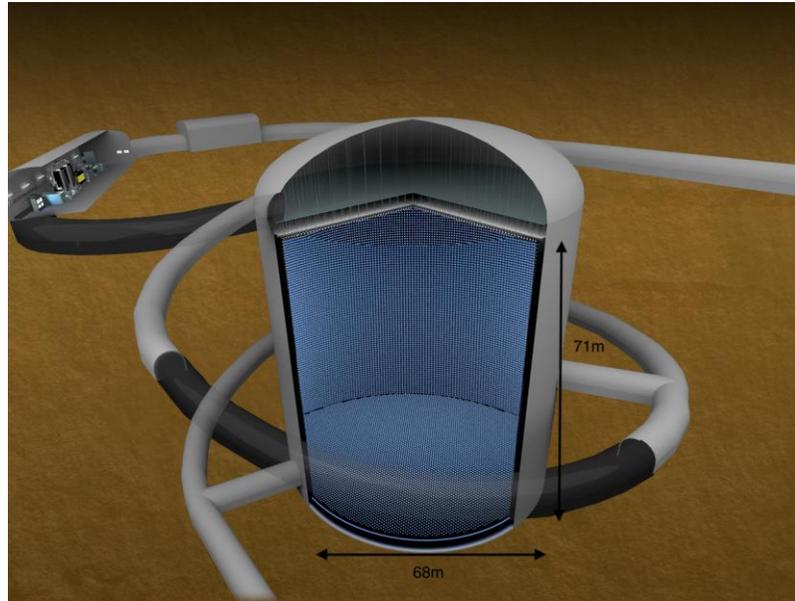
## Atmospheric neutrinos

T2K long-baseline program talks  
9:50 on 5th Sept - Lukas Berns  
16:50 on 5th Sept - Junjie Xia

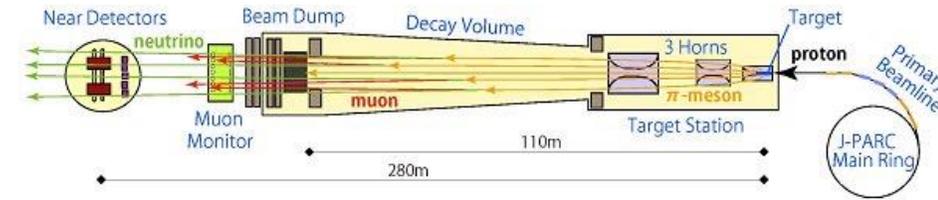
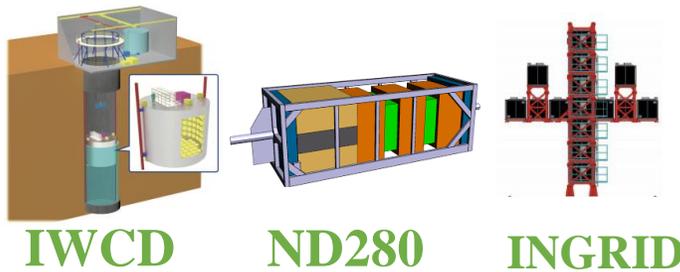


## Near detectors

## Beam neutrinos



- 10 years data taking
- 1:3  $\nu$ :  $\bar{\nu}$  run plan

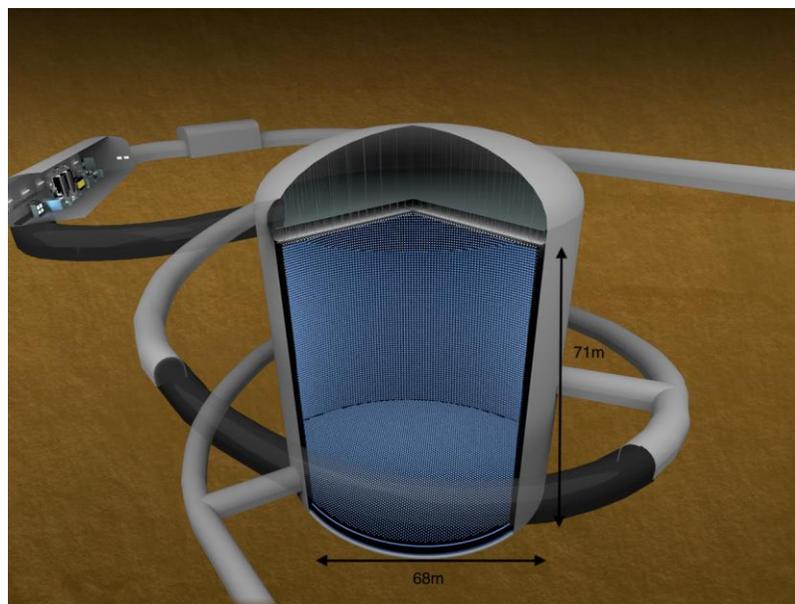


## Near Detector constraints

- Flux model
- Neutrino cross-section model

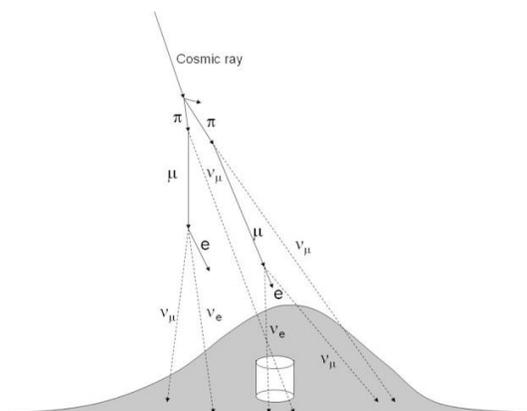
# Analysis Strategy

## Far detector



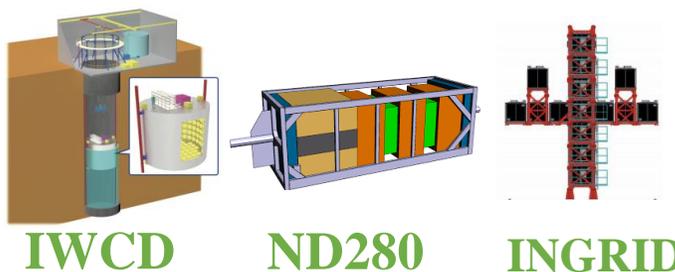
- Using SK information scaled for HK POT exposure
- 10 years data taking
- 1:3  $\nu$ : $\bar{\nu}$  run plan

## Atmospheric neutrinos



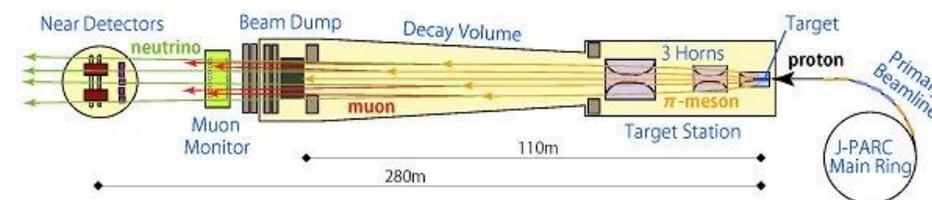
Atmospheric samples are based on SK information and HK size and exposure for 10 years of HK running

## Near detectors



Estimate size of flux and cross-section uncertainties using upgraded ND280 & IWCD

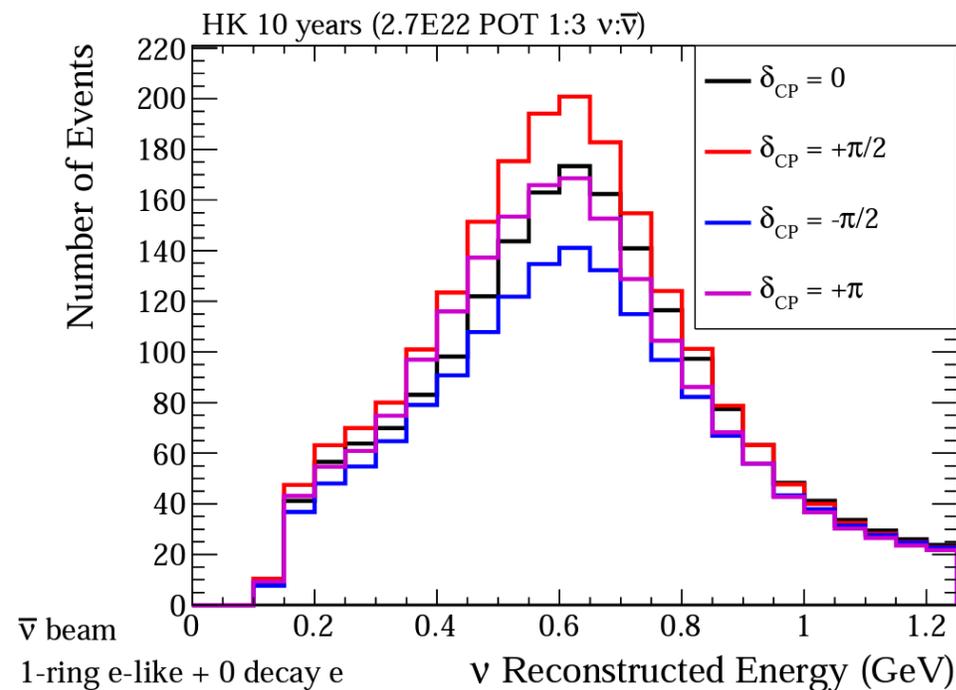
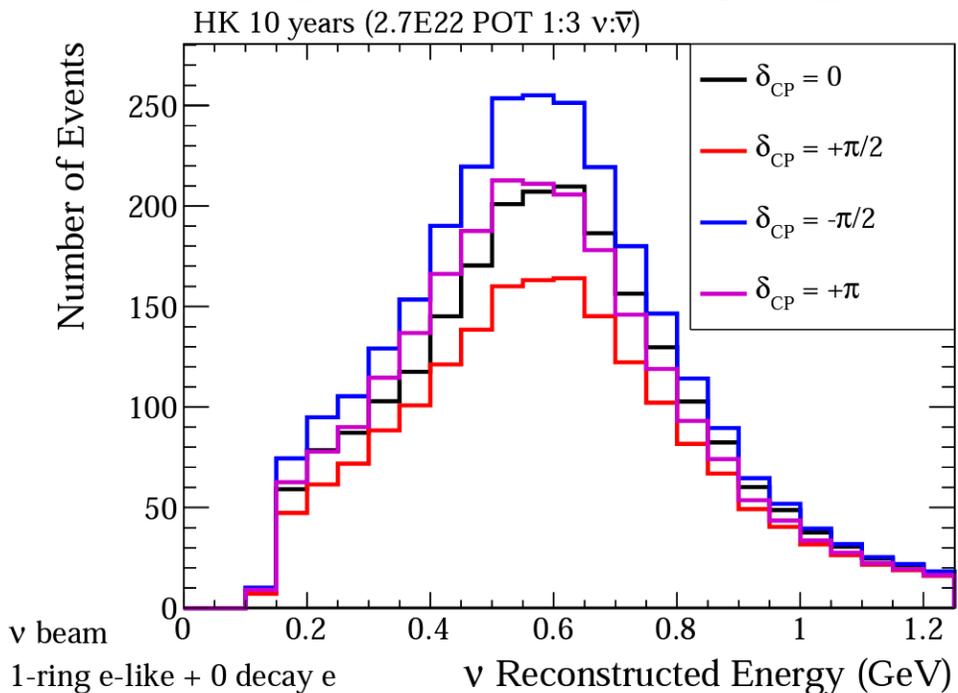
## Beam neutrinos



## J-PARC

$2.7 \times 10^{22}$  POT for 10 HK years

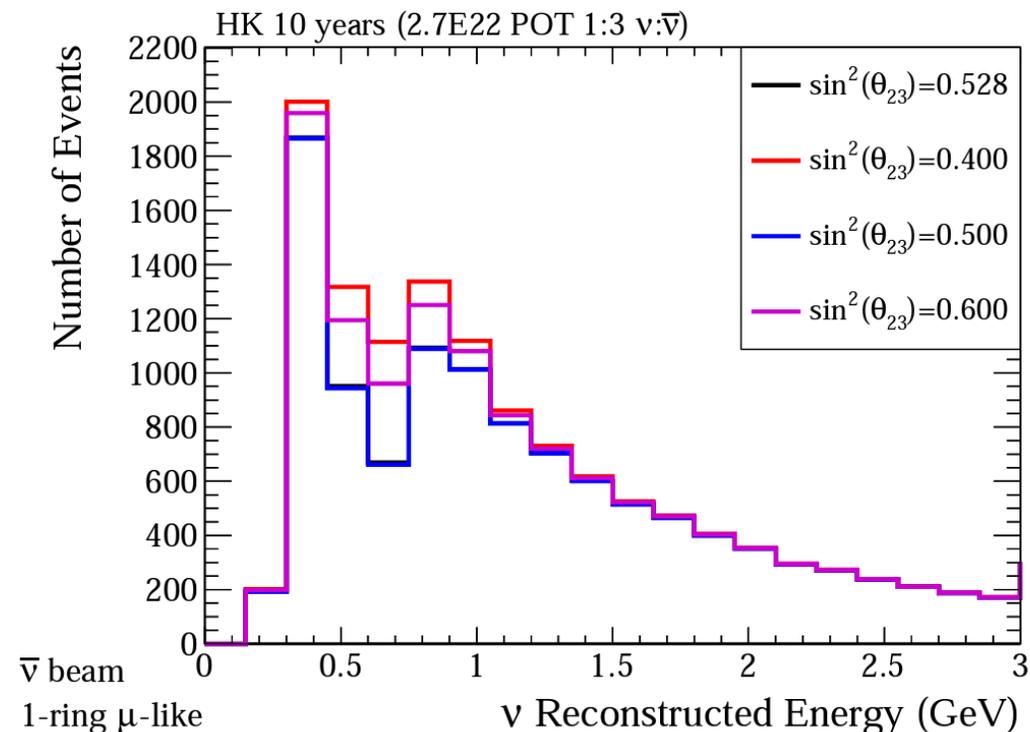
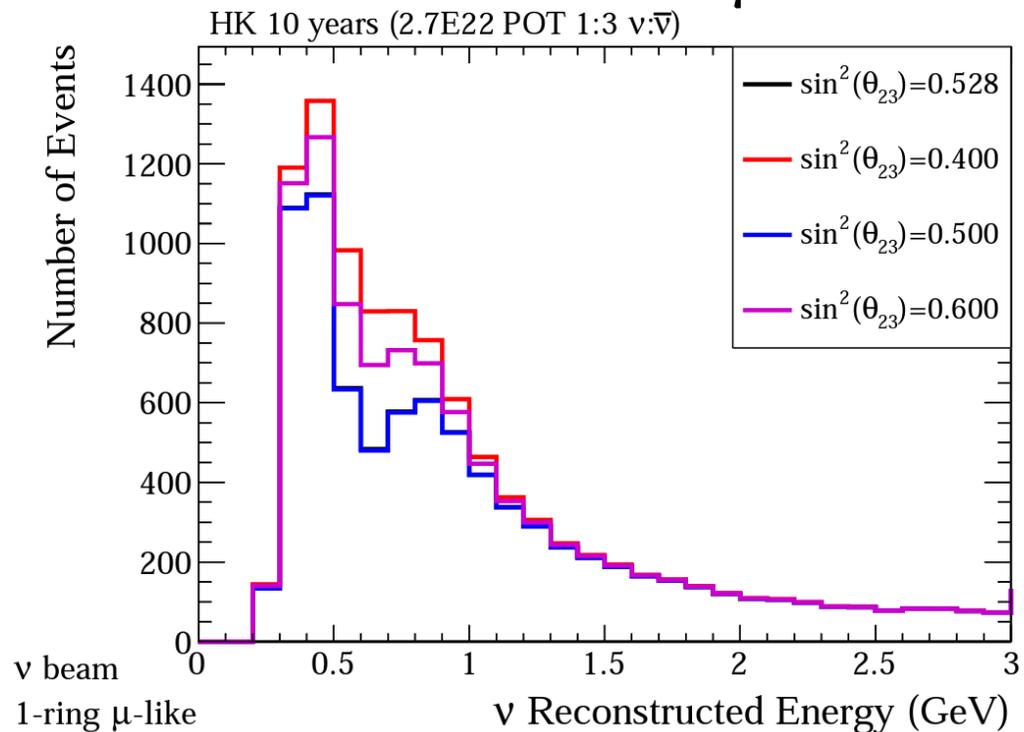
# HK Long-Baseline $\nu_e$ Spectra



- Sensitivity to  $\delta_{CP}$  mainly comes from  $\nu_\mu \rightarrow \nu_e$  appearance
- The amount of  $\nu_e$ -like events signals assuming 10 HK years ( $2.7 \times 10^{22}$  POT), 1:3  $\nu:\bar{\nu}$ , NO,  $\sin^2 \theta_{13} = 0.0218$ ,  $\delta_{CP} = 0$ .

	$\nu_\mu \rightarrow \nu_e$	$\bar{\nu}_\mu \rightarrow \bar{\nu}_e$	Beam $\nu_\mu$	Beam $\bar{\nu}_\mu$	Beam $\nu_e$	Beam $\bar{\nu}_e$	NC	Total
$\nu$ -mode $\nu_e$ CCQE-like	2252.51	11.70	6.53	0.23	326.15	12.34	130.30	2739.76
$\bar{\nu}$ -mode $\nu_e$ CCQE-like	257.26	796.55	3.24	4.99	147.70	236.90	177.33	1623.97
$\nu$ -mode $\nu_e$ CC1 $\pi$ -like	207.36	0.23	4.49	0.14	34.46	0.29	10.65	257.63

# HK Long-Baseline $\nu_\mu$ Spectra



- Sensitivity to  $\sin^2 \theta_{23}$  and  $\Delta m_{32}^2$  mainly comes from  $\nu_\mu$  disappearance
- The amount of  $\nu_\mu$ -like events signals assuming 10 HK years ( $2.7 \times 10^{22}$  POT), 1:3  $\nu:\bar{\nu}$ , NO,  $\sin^2 \theta_{13} = 0.0218$ ,  $\delta_{CP} = 0$ .

	$\nu_\mu$	$\nu_\mu\text{bar}$	$\nu_e$	$\nu_\mu \rightarrow \nu_e$	$\nu_e\text{bar}$	$\nu_\mu\text{bar} \rightarrow \nu_e\text{bar}$	NC	Total
$\nu$ -mode $\nu_\mu$ CCQE-like	8583.80	479.91	0.24	2.32	0.01	0.01	282.99	9349.30
$\nu\text{bar}$ -mode $\nu_\mu$ CCQE-like	4399.40	7688.44	0.28	0.33	0.24	0.42	285.92	12375.02

# HK Long-Baseline Systematic Uncertainties Model

## T2K 2018 Syst. uncertainties (Phys. Rev. D 103, 112008 (2021))

Increased run time  
 +  
 Sensitivities from  
 ND280-upgrade  
 and IWCD

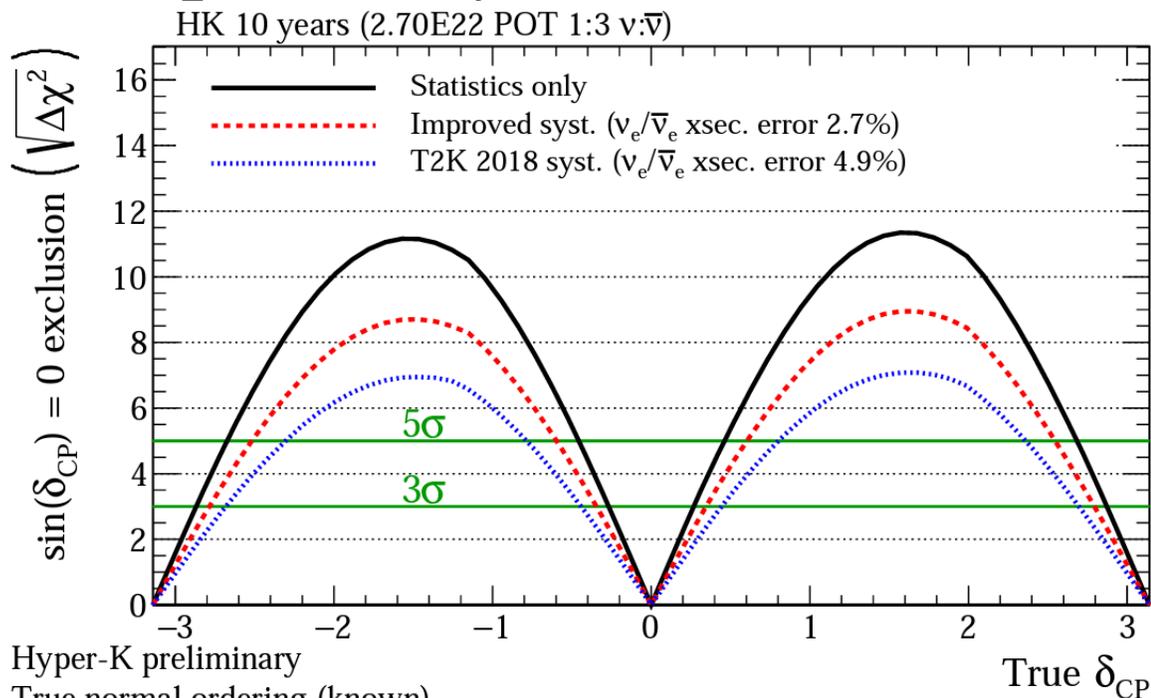


Error source	1-ring $\nu_\mu$ -like		1-ring $\nu_e$ -like			
	$\nu$ -mode	$\bar{\nu}$ -mode	$\nu$ -Mode CCQE-like	$\bar{\nu}$ -Mode CCQE-like	$\nu$ -Mode CC1 $\pi$ -like	$\nu$ -/ $\bar{\nu}$ -Mode CCQE-like
Flux + Cross section	3.27%	2.95%	4.33%	4.37%	4.99%	4.52%
Detector + FSI +SI	3.22%	2.76%	4.14%	4.39%	17.77%	2.06%
All systematics	4.63%	4.10%	5.97%	6.25%	18.49%	4.95%

## HK Improved Syst. uncertainties

Error source	1-ring $\nu_\mu$ -like		1-ring $\nu_e$ -like			
	$\nu$ -mode	$\bar{\nu}$ -mode	$\nu$ -Mode CCQE-like	$\bar{\nu}$ -Mode CCQE-like	$\nu$ -Mode CC1 $\pi$ -like	$\nu$ -/ $\bar{\nu}$ -Mode CCQE-like
Flux + Cross section	0.81%	0.72%	2.07%	1.88%	2.21%	2.28%
Detector + FSI +SI	1.68%	1.58%	1.54%	1.72%	5.21%	0.97%
All systematics	1.89%	1.74%	2.56%	2.53%	5.63%	2.45%

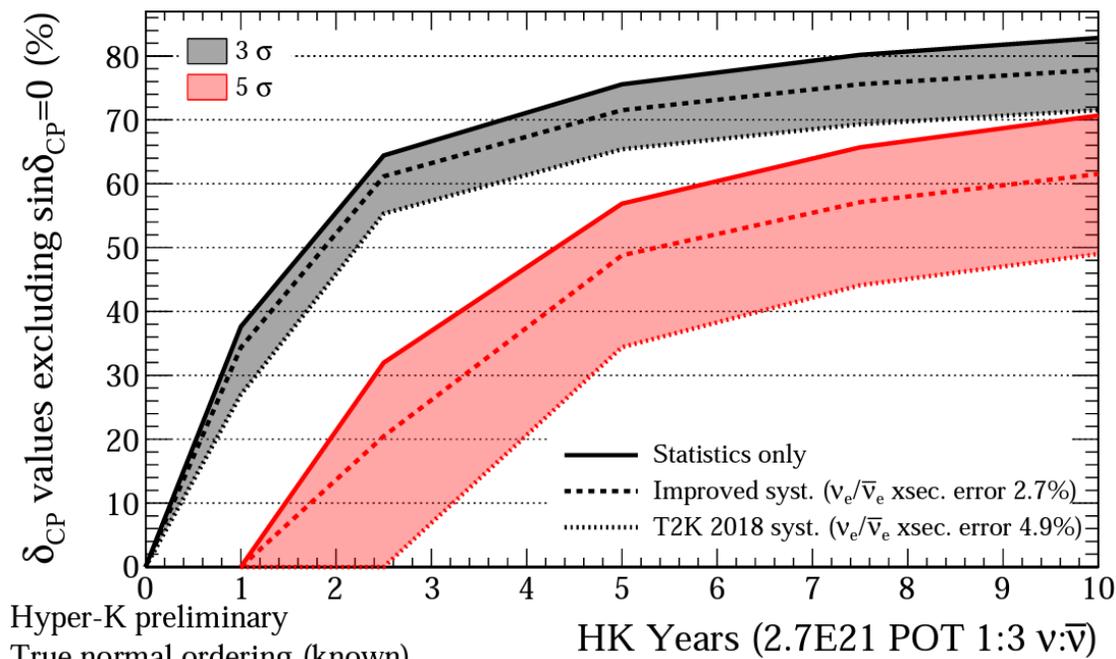
# Impact of systematic uncertainties



Hyper-K preliminary

True normal ordering (known)

$$\sin^2(\theta_{13}) = 0.0218 \quad \sin^2(\theta_{23}) = 0.528 \quad |\Delta m_{32}^2| = 2.509E-3 \text{ eV}^2/c^4$$



Hyper-K preliminary

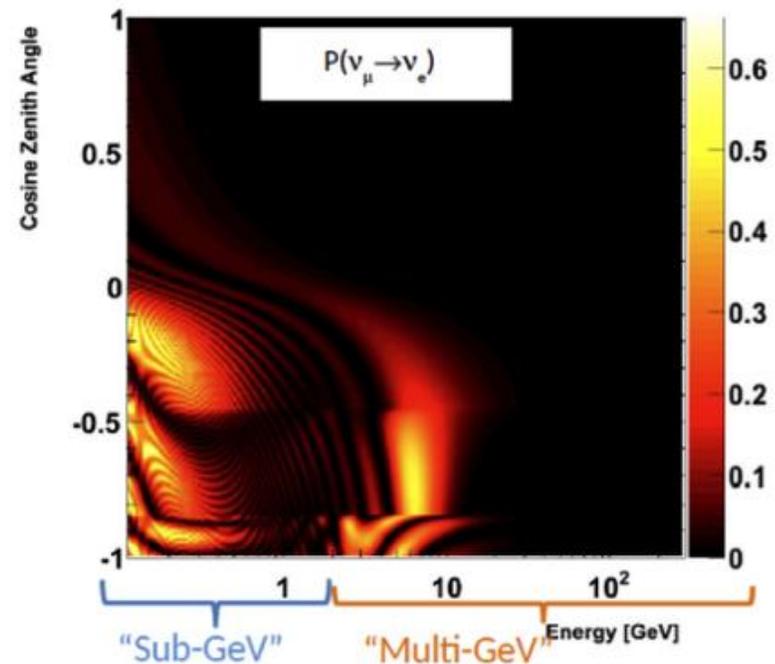
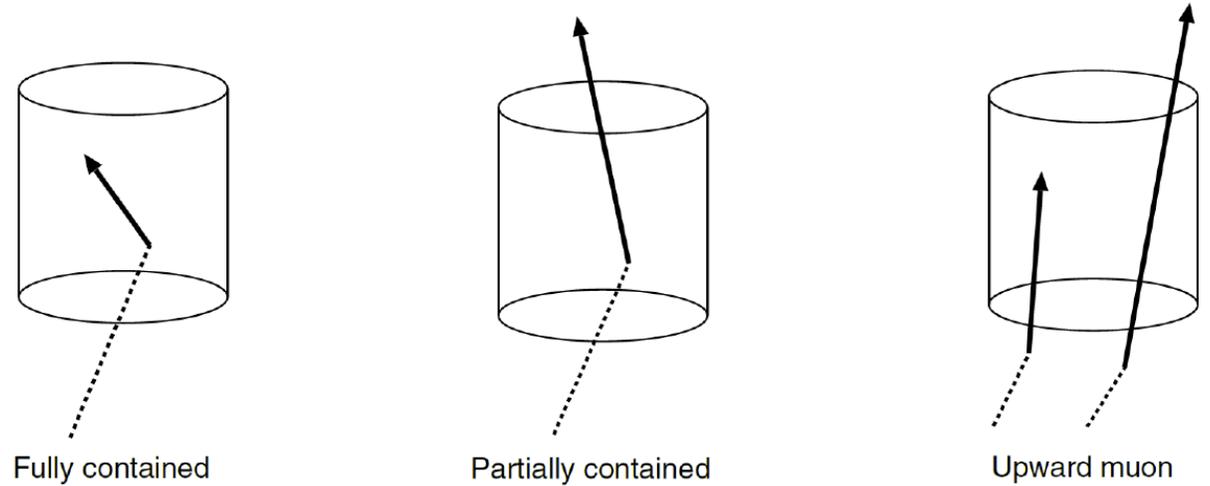
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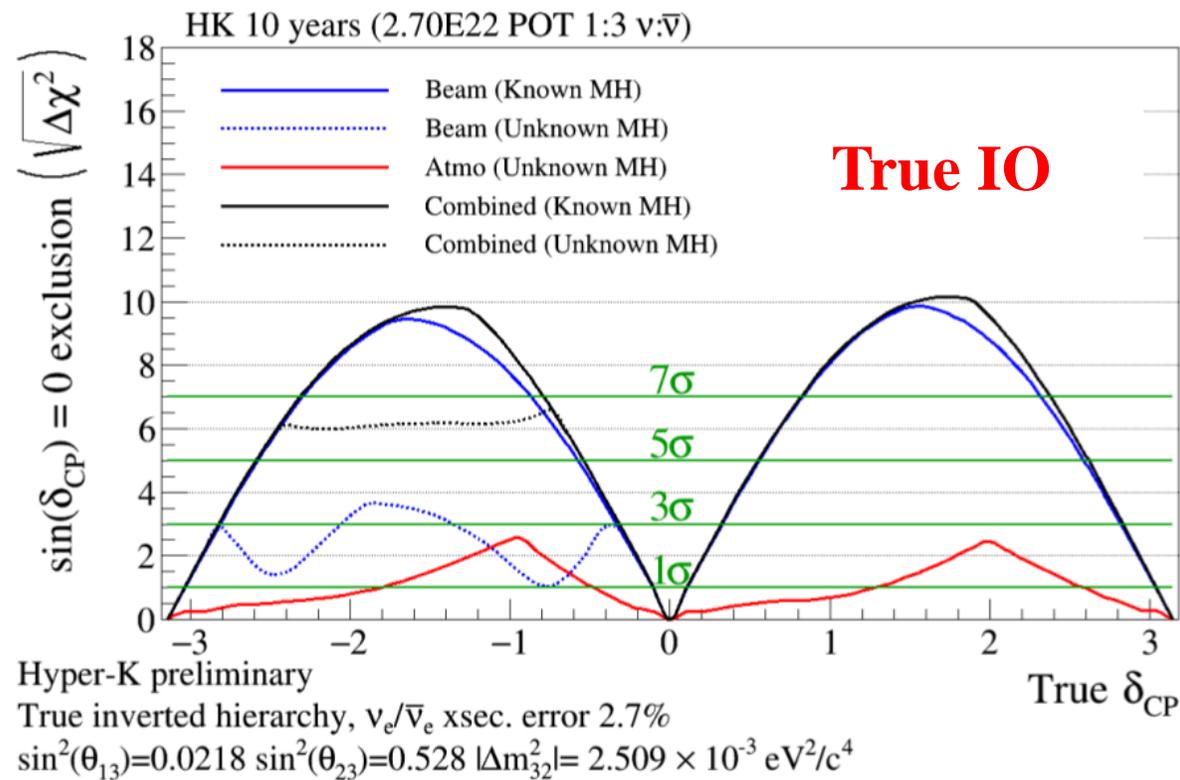
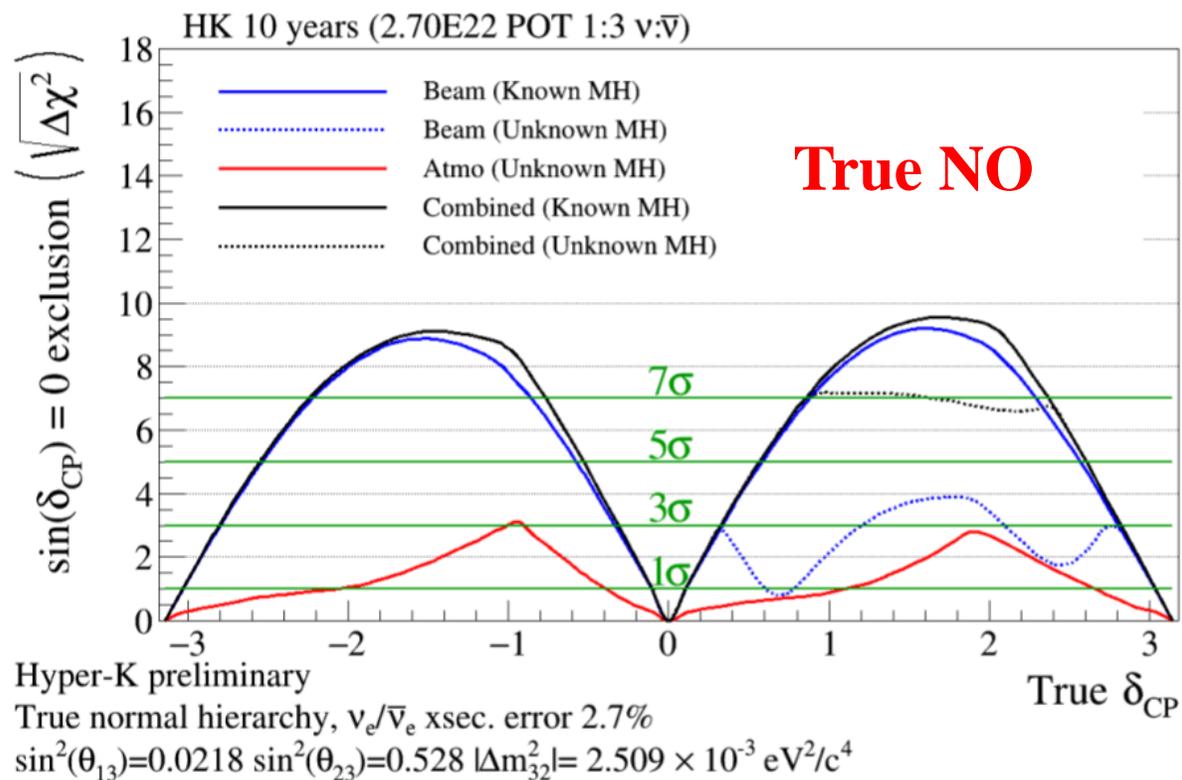
- Assume normal mass ordering is true, **improved HK systematic uncertainties** can improve the sensitivity to CP violation.
- After 10 HK-years, **61%** of true  $\delta_{CP}$  values can be excluded at **5- $\sigma$**  with the improved syst. error model.

# Atmospheric neutrinos

- Traveling through a substantial matter density modifies the vacuum oscillation probability
- Mass Ordering sensitivity predominantly comes from the “MultiGeV” upward-going electron neutrinos
- HK has the potential to determine the neutrino mass ordering from atmospheric neutrinos alone.

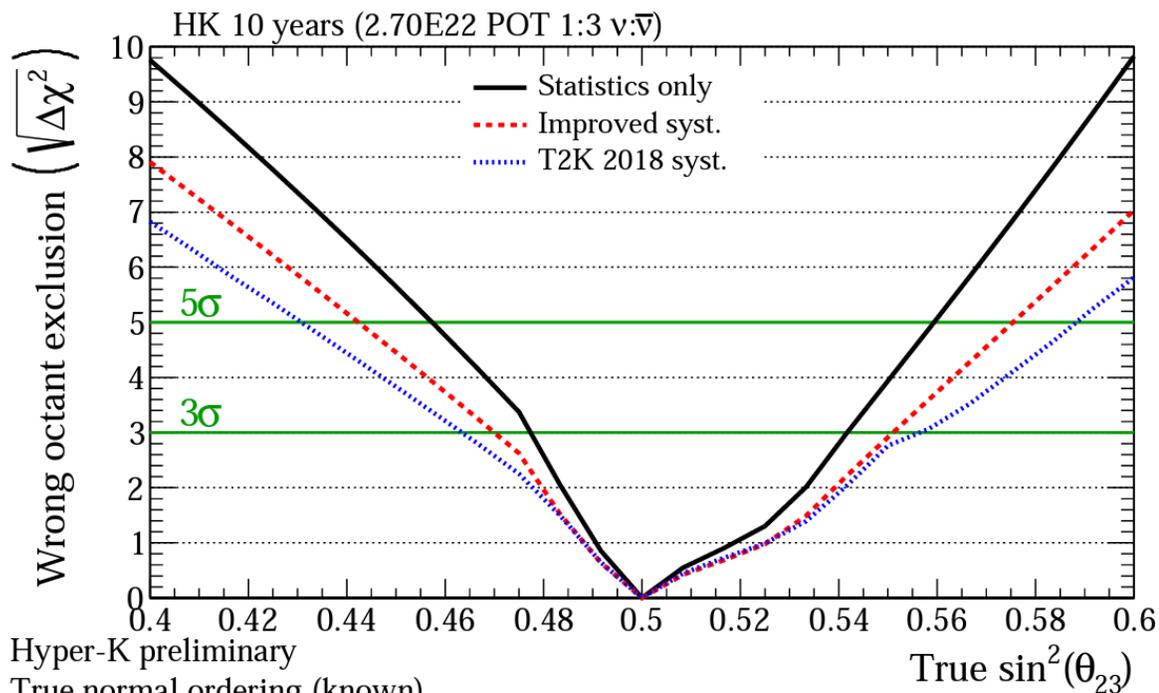


# HK Sensitivity Study



- Using only beam neutrinos, HK has very good sensitivity to CPV but this depends on whether the mass ordering is known.
- By combining **beam** and **atmospheric** neutrinos can achieve 5- $\sigma$  sensitivity to CPV regardless the true mass ordering

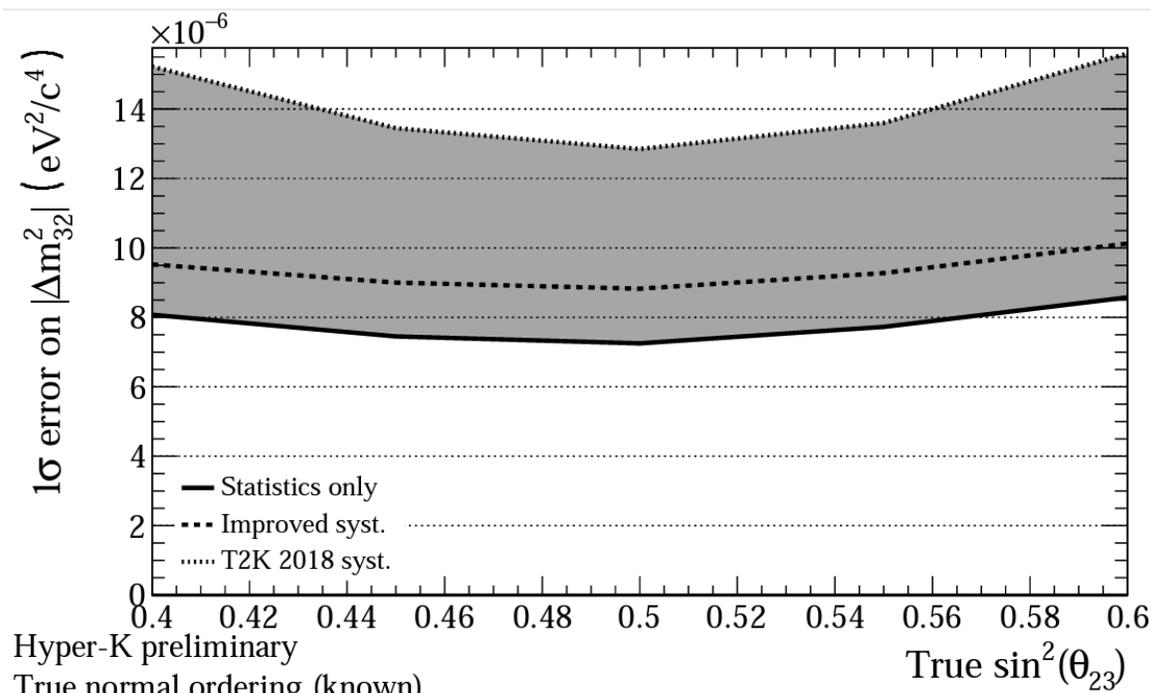
# $\sin^2 \theta_{23}$ and $\Delta m_{32}^2$



Hyper-K preliminary

True normal ordering (known)

$\sin^2(\theta_{13}) = 0.0218$   $|\Delta m_{32}^2| = 2.509\text{E-}3 \text{ eV}^2/\text{c}^4$   $\delta_{\text{CP}} = -1.601$



Hyper-K preliminary

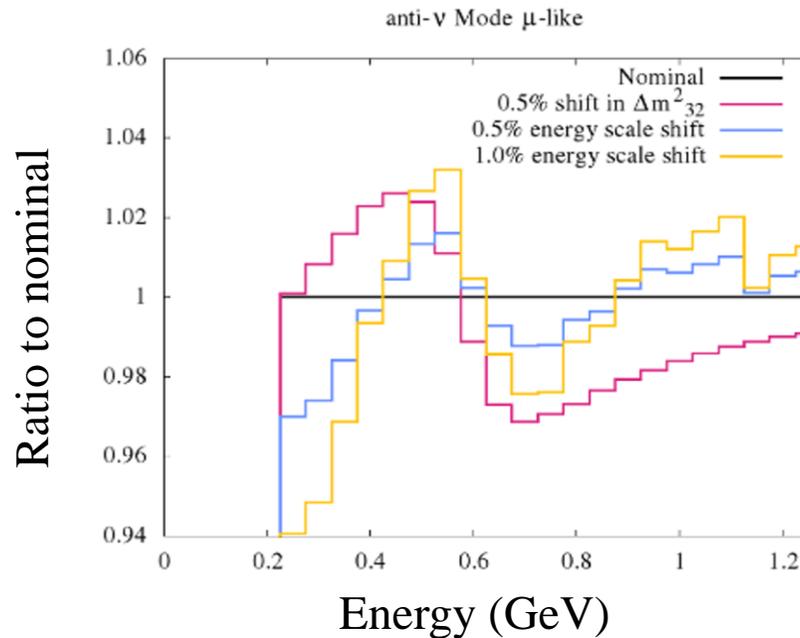
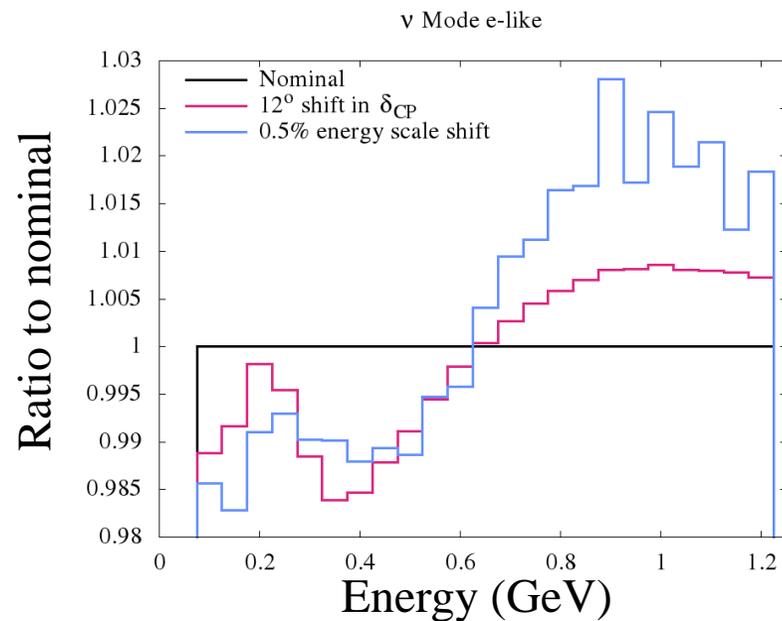
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- Wrong octant can be excluded at 3- $\sigma$  for true  $\sin^2 \theta_{23} < 0.47$  and true  $\sin^2 \theta_{23} > 0.55$
- 1- $\sigma$  resolution of  $\Delta m_{32}^2$  as a function of true  $\sin^2 \theta_{23}$ , after 10 HK-years

# Precision measurements

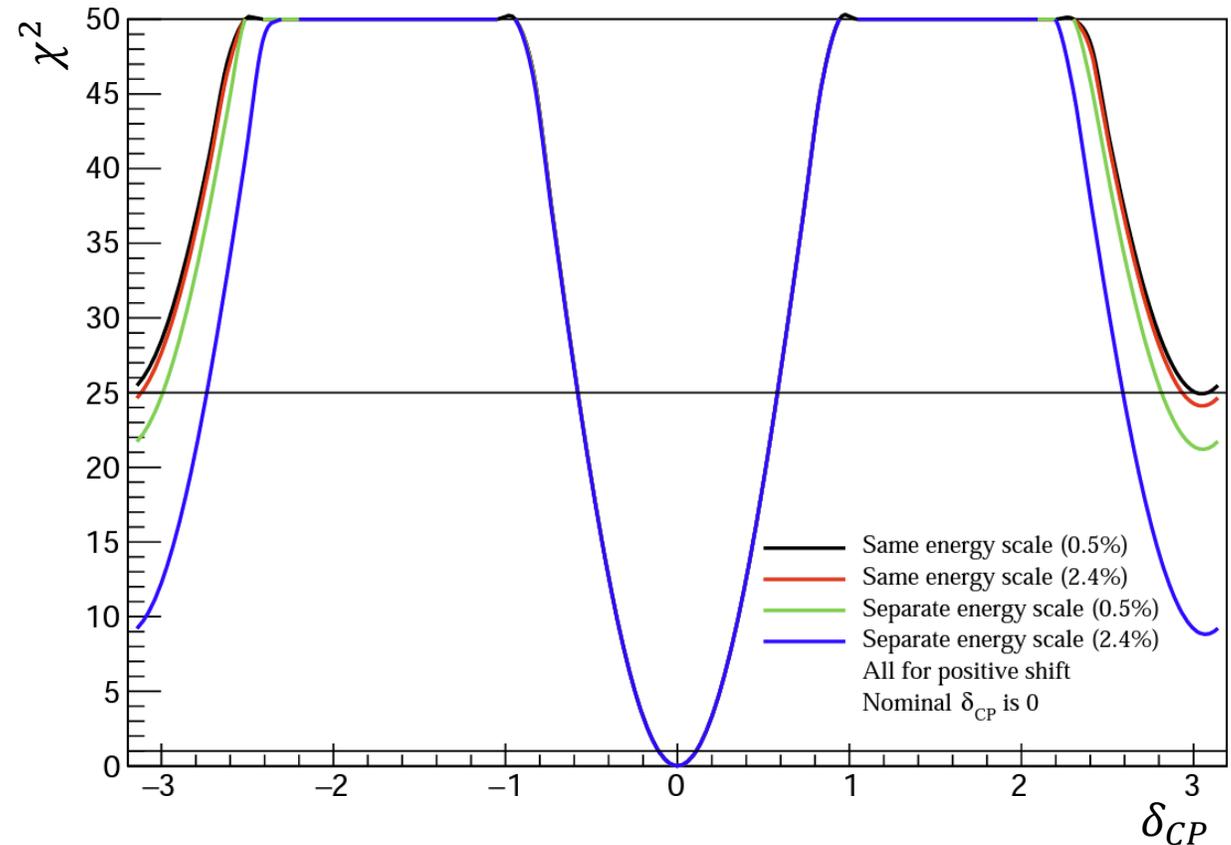
Some Systematic errors present degeneracies with oscillation parameters, e.g. the detector energy scale uncertainty.



- Current T2K energy scale error is 2.4%, assuming fully correlated and linear at all energies.
- HK target energy scale error is 0.5% .
- Need the development of the proper calibration and analysis strategy!

# Detector Energy Scale Uncertainty

- HK target energy scale error 0.5%
- Different method to estimate energy scale uncertainties of  $\nu_e(\bar{\nu}_e)$  and  $\nu_\mu(\bar{\nu}_\mu) \rightarrow$  Separately
- Either increasing the error or separate energy scale uncertainties of  $\nu_e(\bar{\nu}_e)$  and  $\nu_\mu(\bar{\nu}_\mu)$  will lose sensitivity
- mPMTs with PMTs to do cross-calibration & Bottom-up approach



The calibration procedure should be able to disentangle and precisely model all fundamental causes of energy scale uncertainties as a function of energy and neutrino flavor.

# Physics program

## Neutrino oscillation physics

### Neutrino oscillation parameters, Charge Parity Violation

- Upgraded ND280 and IWCD will improve the sensitivity to CPV
- Combined beam and atmospheric neutrinos can achieve  $5\text{-}\sigma$  sensitivity to CPV regardless the mass ordering
- Precision measurements require development of proper calibration and analysis strategies

# Physics program

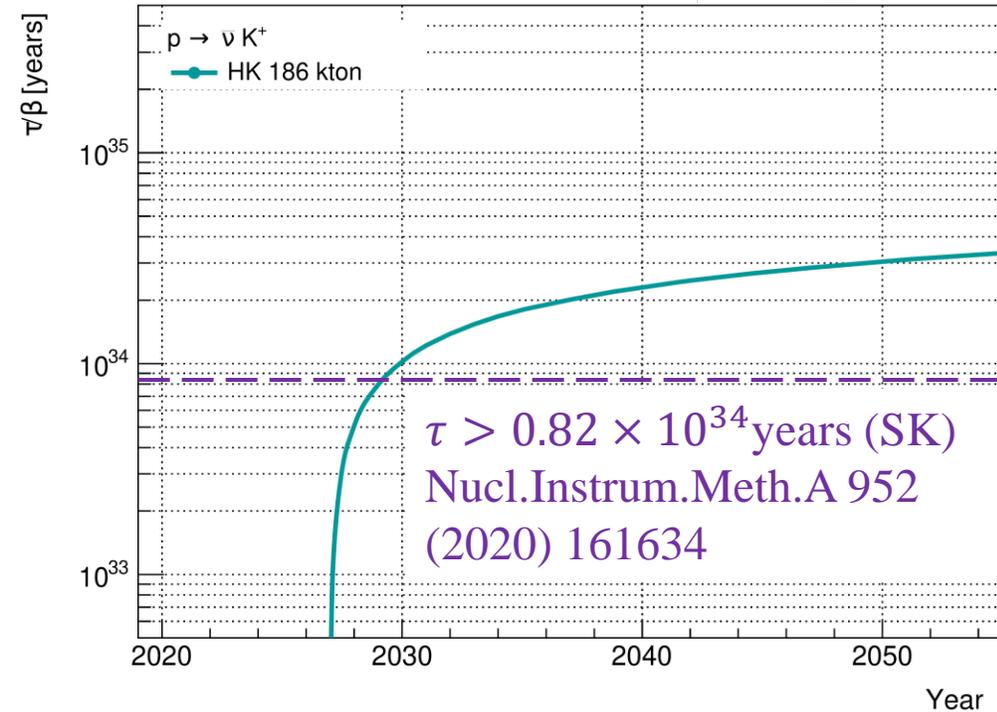
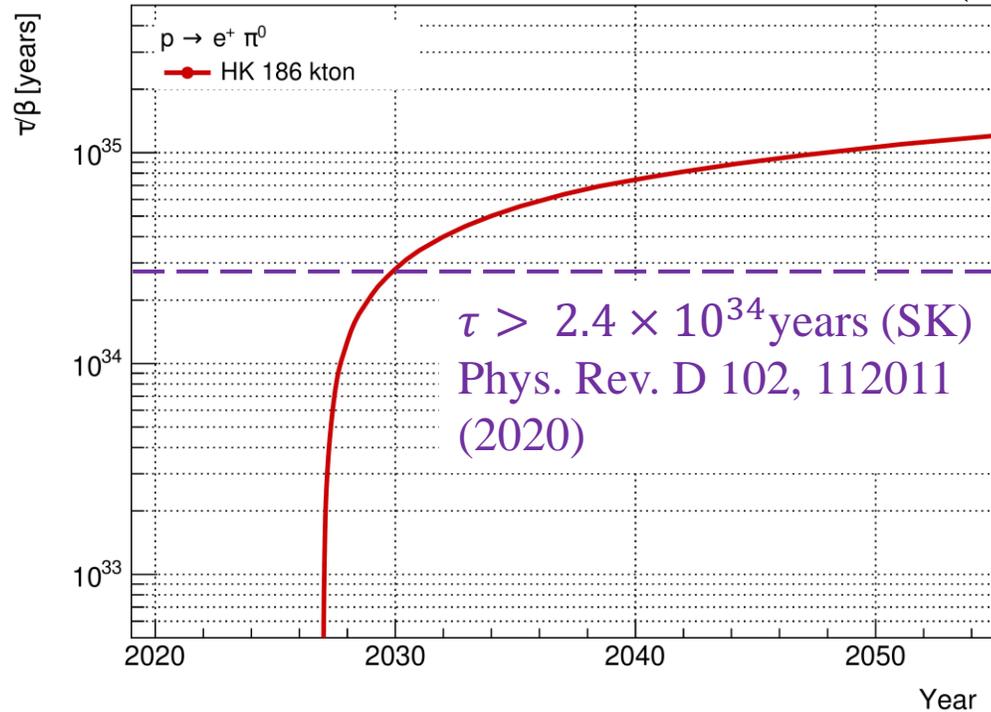
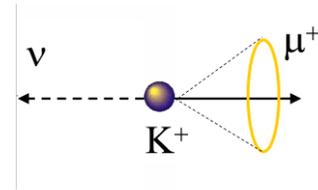
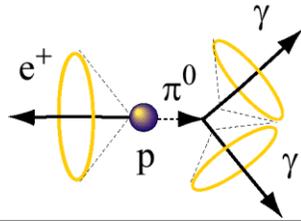
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## Search for proton decays

# Proton decay



- Largest detector with sensitivity to multiple modes
  - Potential searching for more modes
  - GUT confirmation potential

# Physics program

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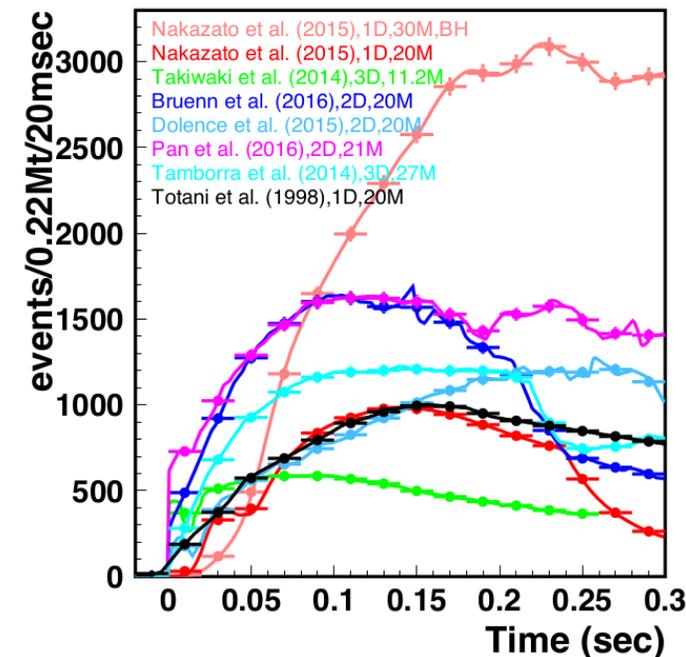
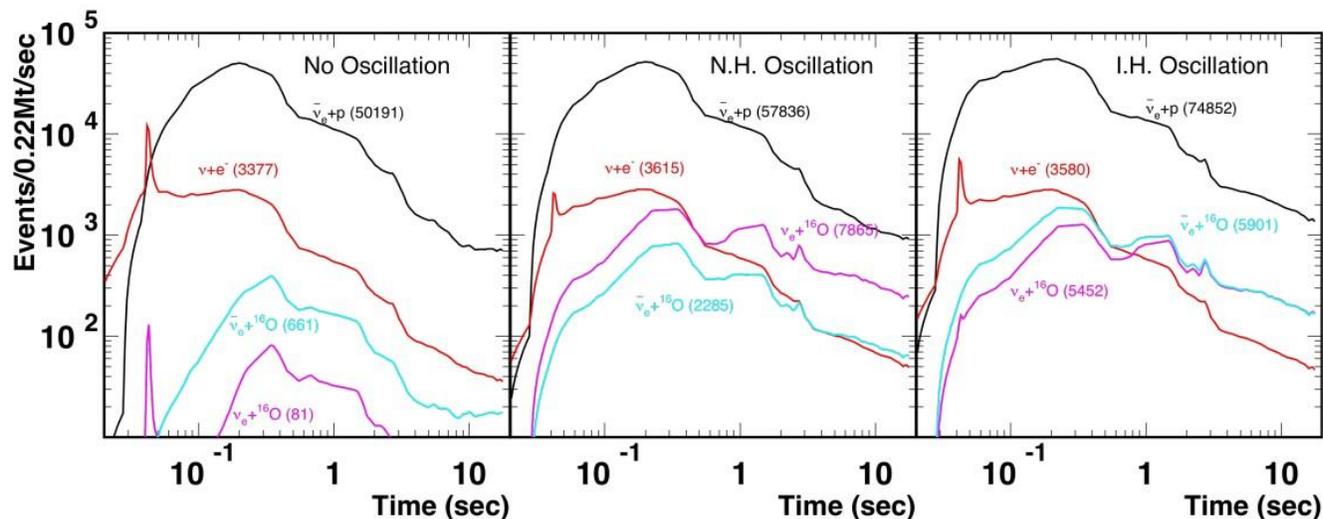
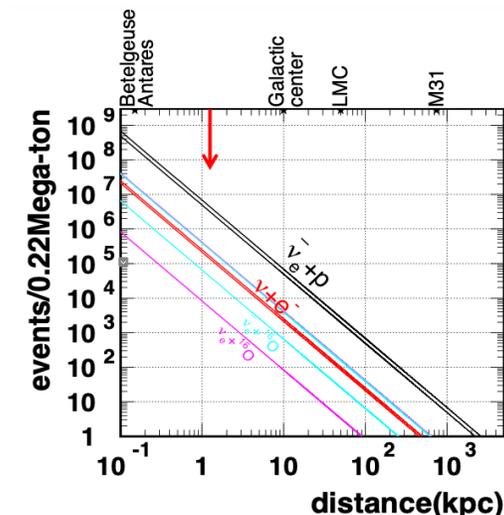
### Search for proton decays

- Potential searching for more modes
- GUT confirmation potential

## Supernova neutrinos

# Supernova neutrinos

- Expected time profile and event numbers in HK for a supernova at 10 kpc (Livermore simulation)
- Numbers in brackets total interactions integrated over the 10 s burst
- Peak event rate of inverse beta decay events (black) reaches  $\sim 50$  kHz
- Model discrimination (Astrophys.J. 916 (2021) 15)



# Physics program

## Neutrino oscillation physics

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### Search for proton decays

- Potential searching for more modes
- GUT confirmation potential

### Supernova neutrinos

- Potential to have a large statistics if there is a supernova burst
- Distinguish between different explosion mechanism models

# Summary

- Hyper-Kamiokande is the next generation neutrino experiment located in Japan
  - 260 kton Underground water Cherenkov far detector
  - 1.3 MW upgraded neutrino beam from J-PARC
  - Upgraded ND280 and additional IWCD
  - Aim to start data taking from 2027

# Summary

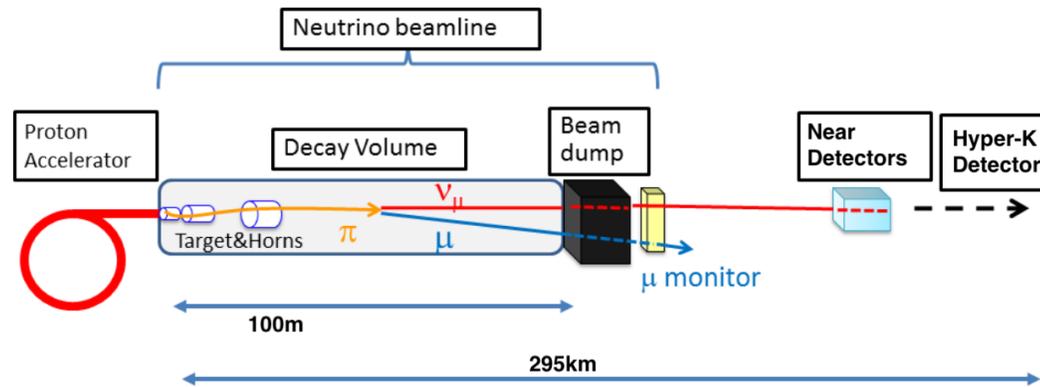
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  - CP violation, mass ordering.....
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  - Precision measurements require development of proper calibration and analysis strategies
  - Searching for proton decay, supernova neutrinos

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  - Searching for proton decay, supernova neutrinos
- New collaborators welcome!

# Backup

# J-PARC Upgrade

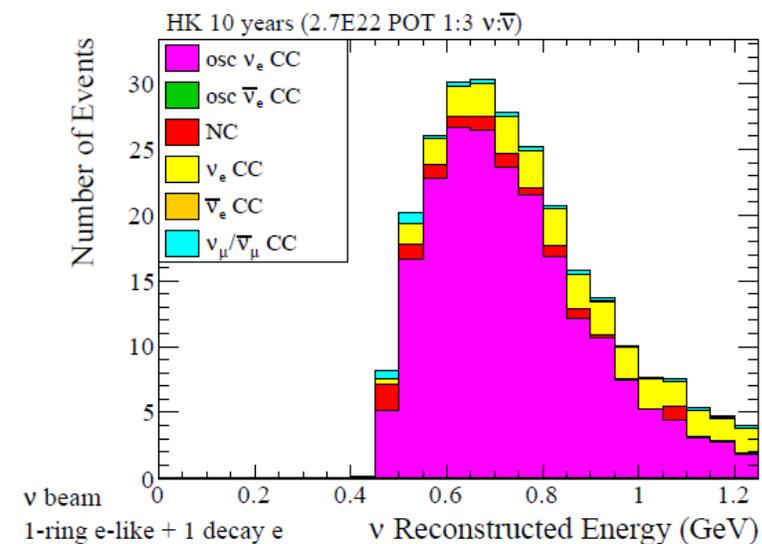
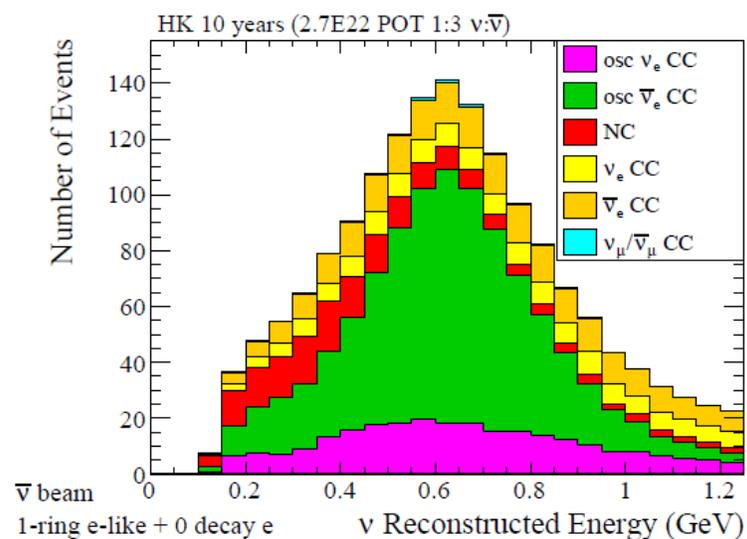
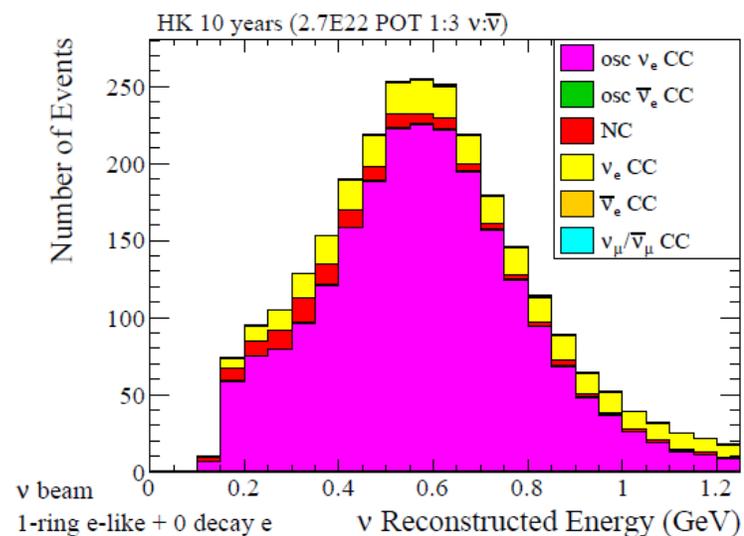


- Slam high-intensity 30GeV proton beam into 90-cm carbon target
  - Focus outgoing hadrons in 3 electro-magnetic focusing horns
  - Switch between  $\nu$ - or  $\bar{\nu}$ -mode by changing the horn polarity
  - Pions decay to muons and  $\nu\mu$ 's in 100-m-long decay volume
  - Stop interacting particles in beam dump; neutrinos continue on to
- near and far detectors
  - Monitor  $>5\text{GeV}$  muon beam by Muon Monitor in beam dump
  - Constrain proton interactions by external hadron production
- measurements (NA61, EMPHATIC) to precisely simulate the flux
  - Upgrades to J-PARC accelerator underway now towards 1.3+MW
  - proton beam power for HK

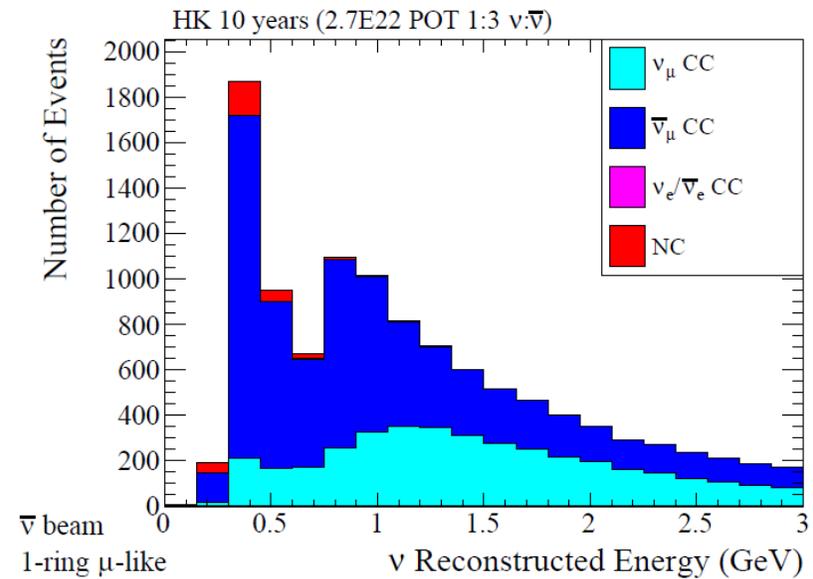
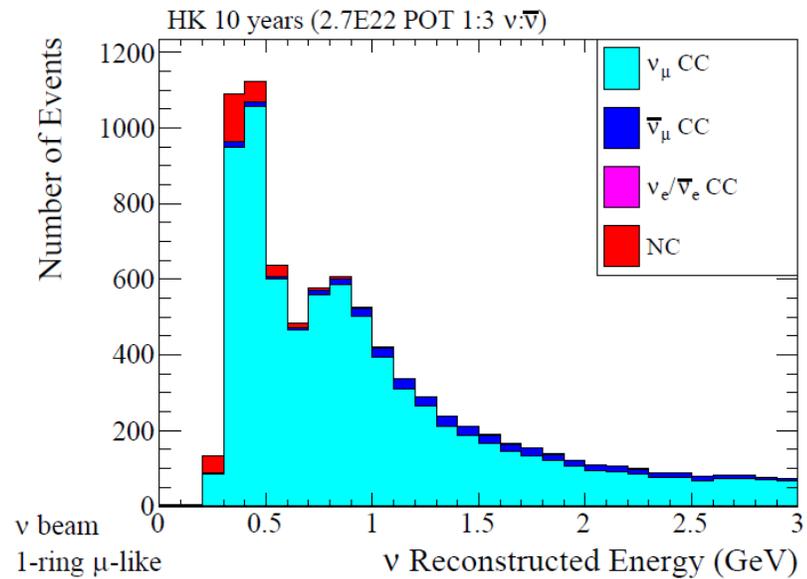
# Improved HK Syst. Model

- T2K 2018 (after the near detector fit)
- Improved systematics, calculated by scaling the T2K-2018 error model assuming increased run time + sensitivities from ND280-upgrade and IWCD
  - Scaling uncertainty on flux, cross-section and SK detector systematics by  $1/\sqrt{N}$ , where  $N = 8.7$  is the relative increase in neutrino beam exposure from T2K to Hyper-K
  - Studies from ND groups used to apply a further constraint to the cross-section model uncertainties:
    - A factor of 3 reduction on all non-quasi-elastic uncertainties
    - A factor of 2.5 reduction on all quasi-elastic uncertainties
    - A factor 2 reduction on all anti-neutrino uncertainties
    - A reduction in neutral current uncertainties to the  $\sim 10\%$  level
    - The  $\nu_e/\bar{\nu}_e$  cross-section ratio error was varied from  $\sim 3.6\%$  to 1% to assess its impact
    - No parameter was allowed to have an uncertainty of less than 1%
- Statistics only (no systematics)

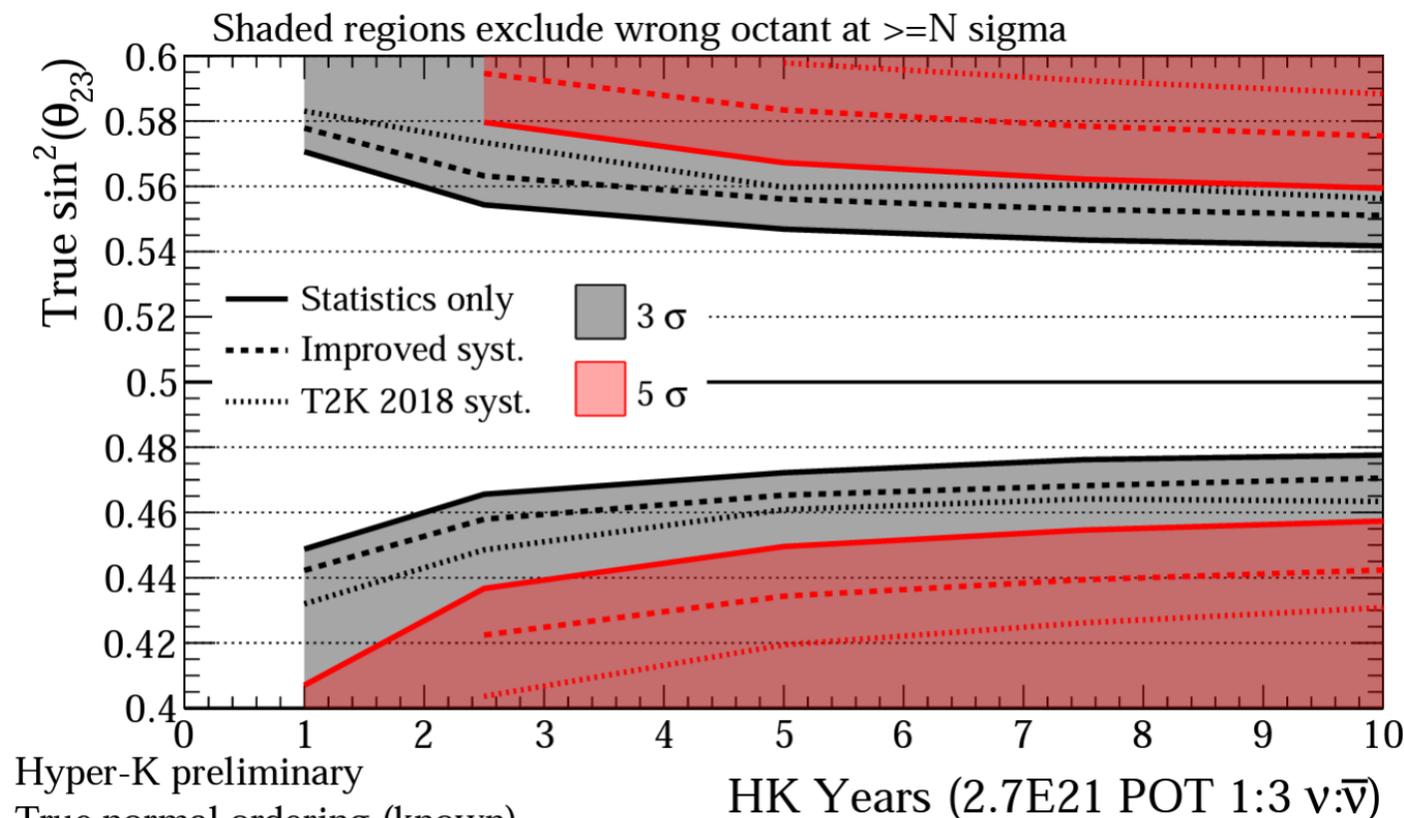
# HK Long-Baseline $\nu_e$ Spectra



# HK Long-Baseline $\nu_e$ Spectra



# Measurement of $\theta_{23}$ Octant



Hyper-K preliminary

True normal ordering (known)

$\sin^2(\theta_{13}) = 0.0218$   $|\Delta m_{32}^2| = 2.509E-3 \text{ eV}^2/c^4$   $\delta_{CP} = -1.601$

Sensitivity to exclude the wrong  $\sin^2\theta_{23}$  octant, as a function of true  $\sin^2\theta_{23}$  and HK-years.

The shaded areas exclude  $\sin^2\theta_{23}=0.5$  at greater than  $3\sigma$  and  $5\sigma$ .