

# Ultra High Energy Cosmic Rays an Overview

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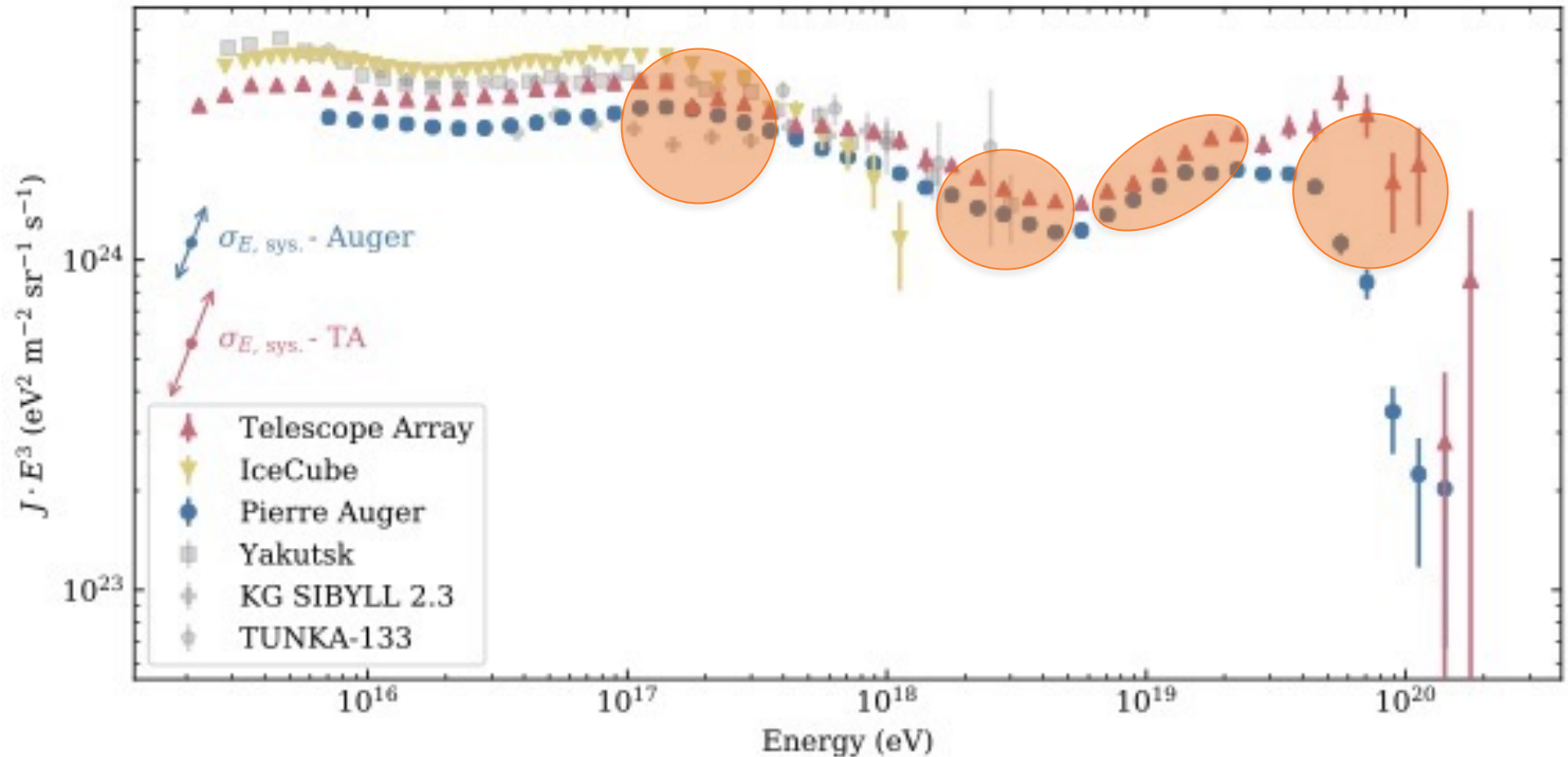
***Gran Sasso Science Institute***

***INFN – Laboratori Nazionali del Gran Sasso***



**12<sup>th</sup> Cosmic Rays International Seminar**  
**12-16 September 2022, Napoli, Italy**

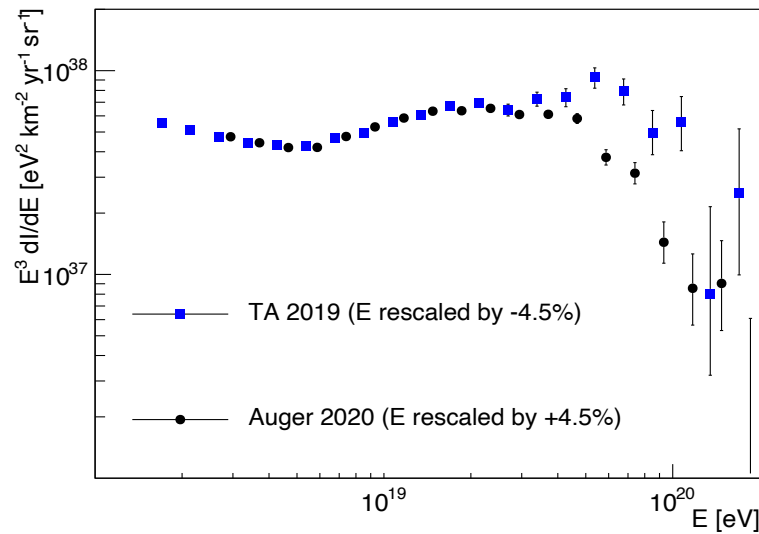
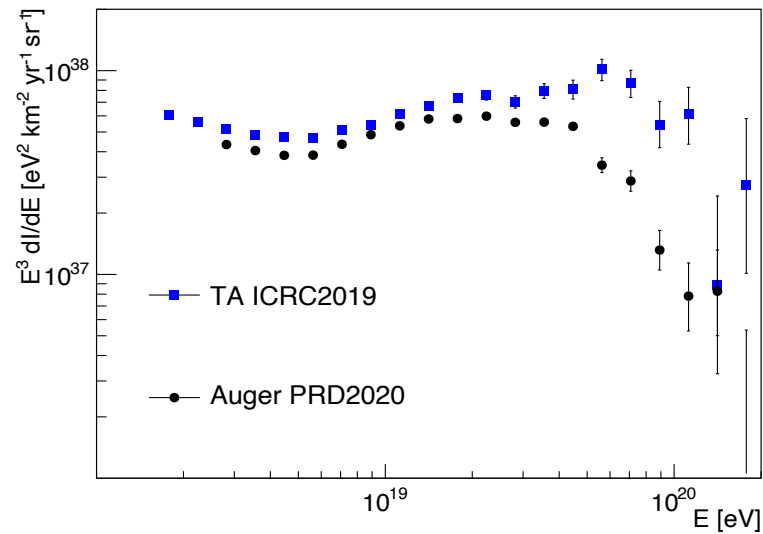
# Ultra High Energy Cosmic Rays – Spectrum



## Spectral features

- ✓ Second knee:  $\sim 2 \times 10^{17}$  eV
- ✓ Ankle:  $\sim 3 \times 10^{18}$  eV
- ✓ Instep:  $\sim 10^{19}$  eV
- ✓ Flux suppression  $\sim 5 \times 10^{19}$  eV

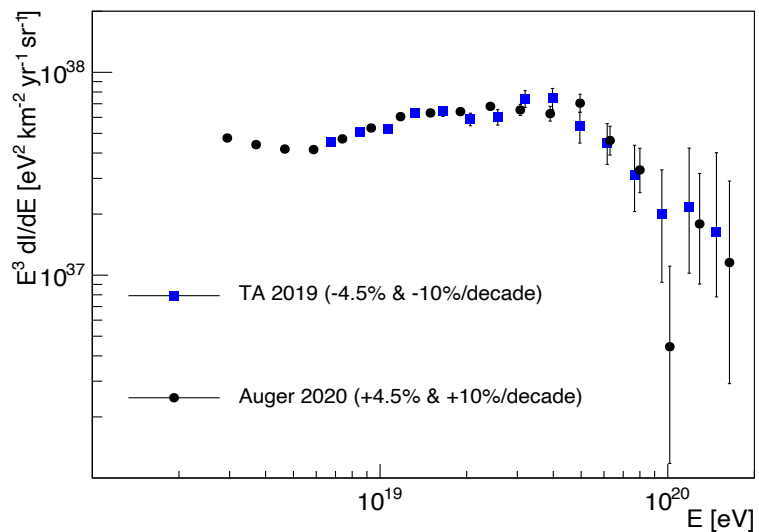
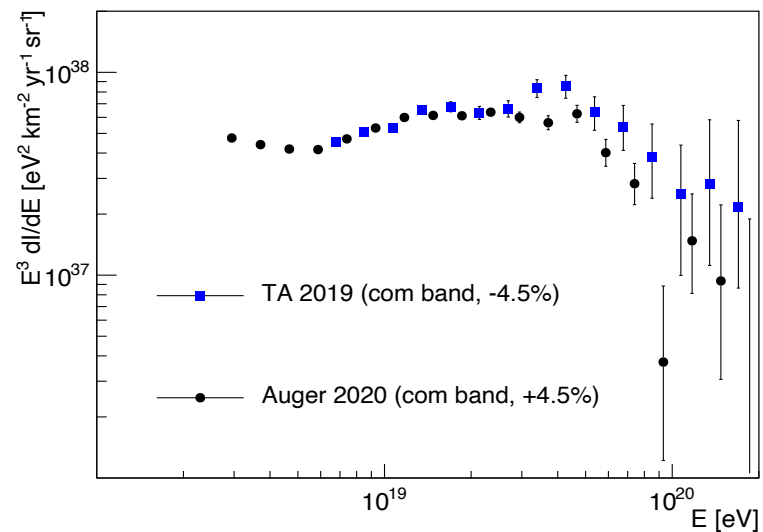
✓ The difference between Auger and TA observed energy spectra can be understood in terms of the systematics in the energy determination of the two observatories.



Full field of view

Auger  
 $-90^\circ \leq \delta \leq 24.8^\circ$

TA  
 $-15.7^\circ \leq \delta \leq 90^\circ$

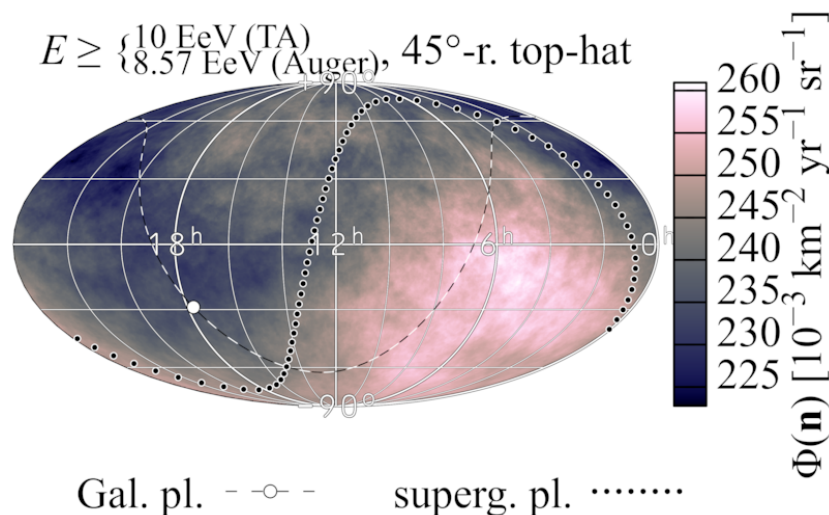
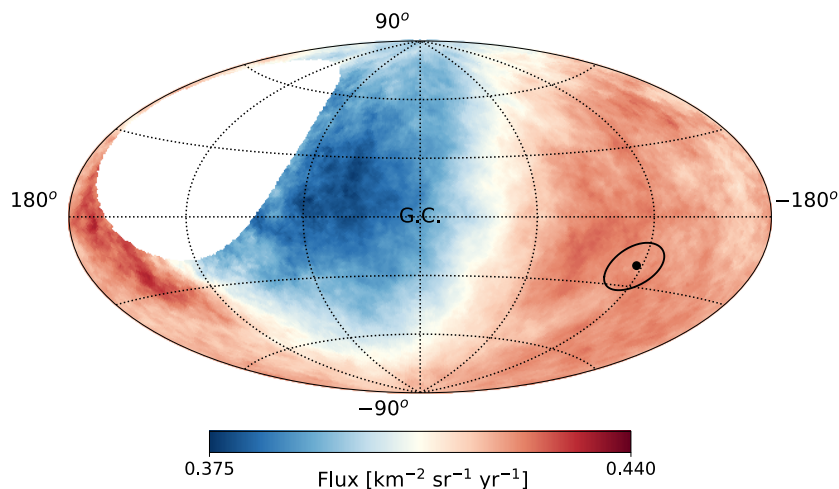


common declination  
 band

$-15.7^\circ \leq \delta \leq 24.8^\circ$

# Ultra High Energy Cosmic Rays – Anisotropy

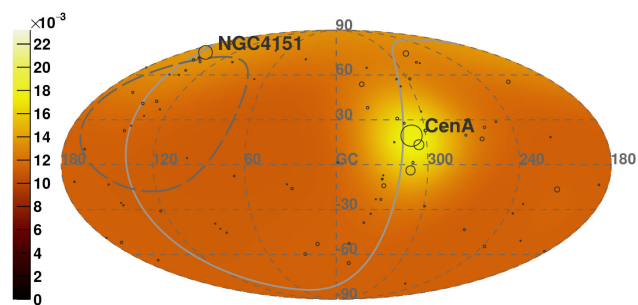
- ✓ Large scale anisotropy: dipole  $E > 8 \text{ EeV}$  ( $5.2\sigma$ ). Solid evidence of **Extragalactic origin**



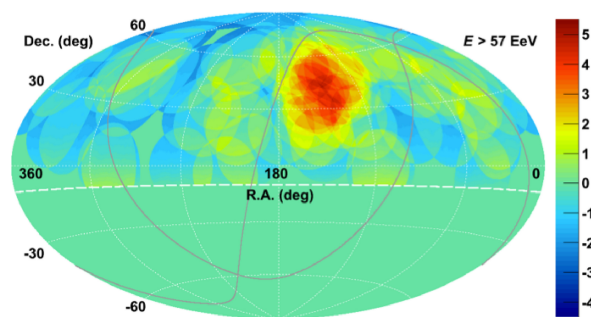
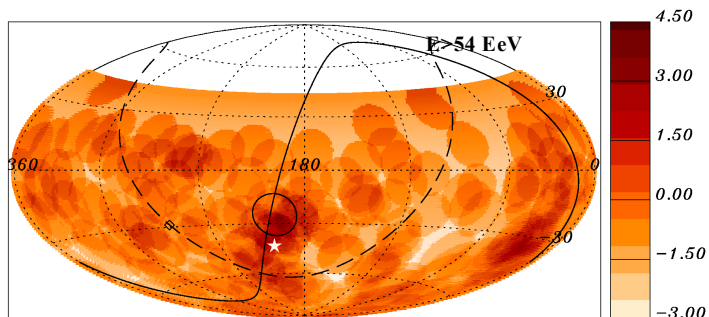
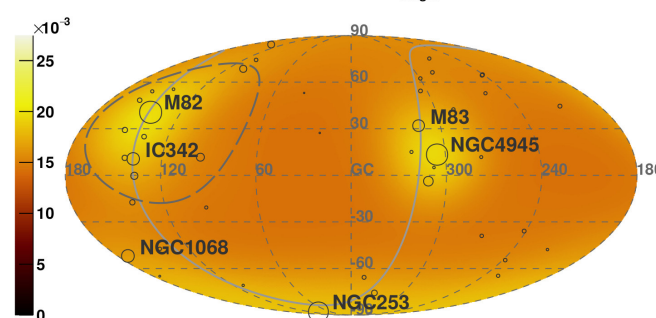
Auger and TA Collaborations (2021)

- ✓ Small-scale anisotropy: Hints of sources (?)

All AGN (hard X-rays) - expected  $\Phi(E_{\text{Auger}} > 41 \text{ EeV}) [\text{km}^2 \text{sr}^1 \text{yr}^{-1}]$

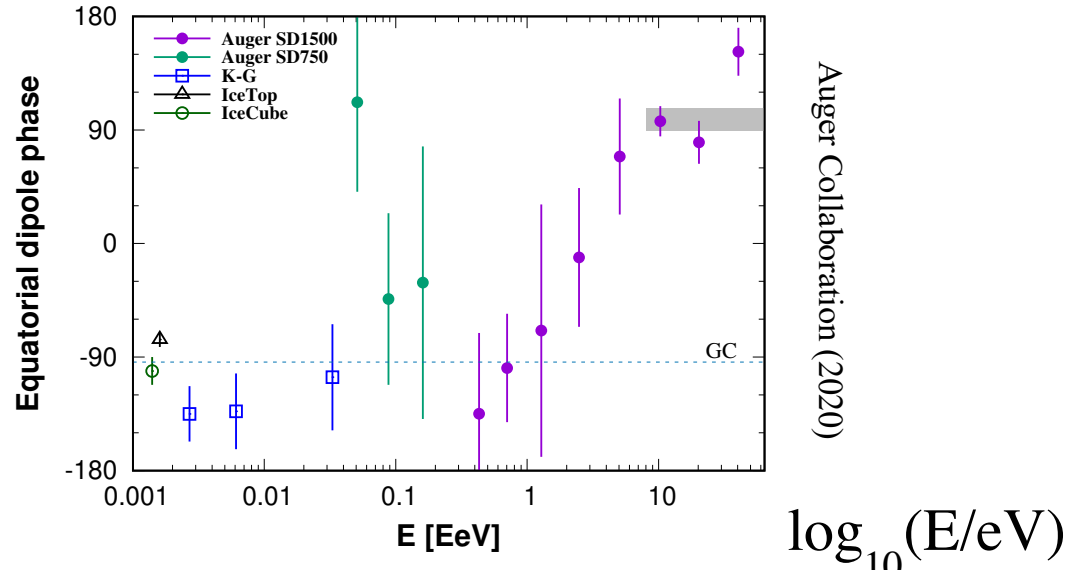
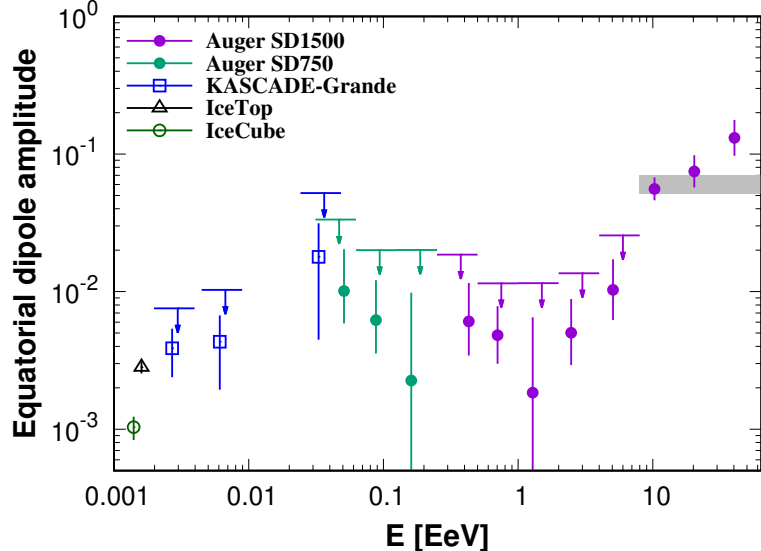


Starburst galaxies (radio) - expected  $\Phi(E_{\text{Auger}} > 38 \text{ EeV}) [\text{km}^2 \text{sr}^1 \text{yr}^{-1}]$



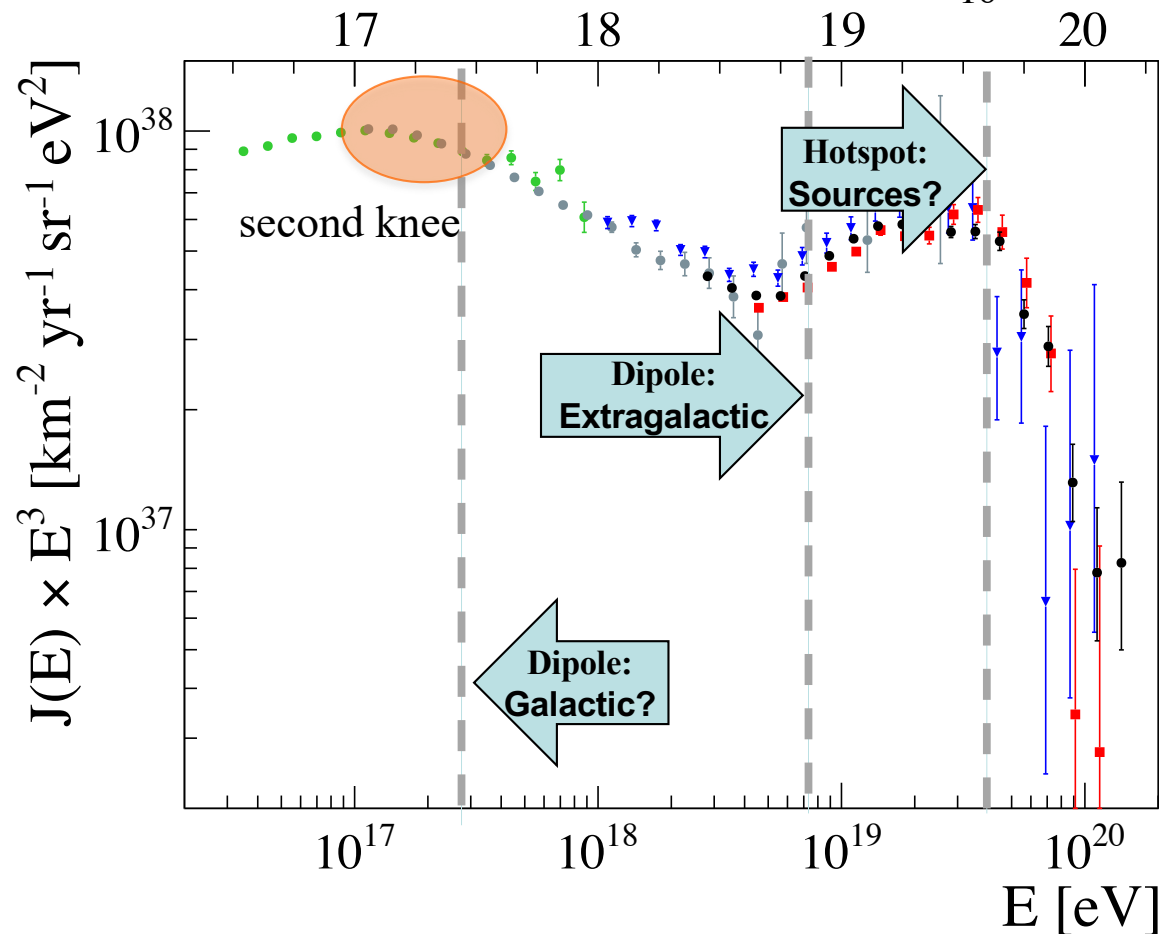
- ✓ Small-scale anisotropy still insufficient to draw conclusions as to the UHECR sources.

- ✓ Lack of multiplets at  $E > 40 \text{ EeV}$  constrains the apparent number density of sources:  $n_0 \geq 10^{-5} \text{ Mpc}^{-3}$



### Right ascension anisotropies

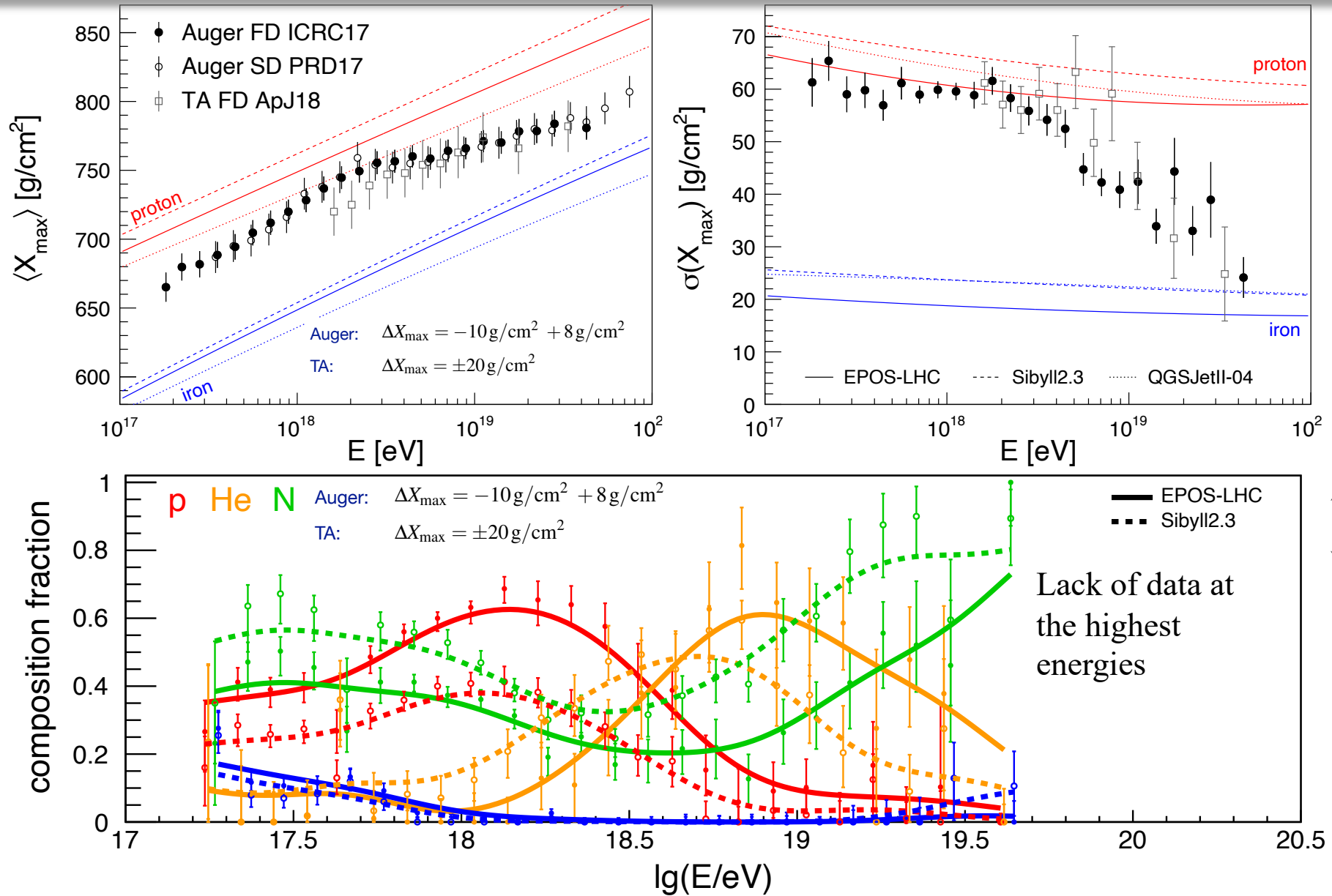
- ✓ Above 8 EeV,  $d_{\perp} = 6.0_{-0.9}^{+1.0} \%$  with a phase pointing toward the galactic anticenter. Signal of a possible extragalactic origin.
- ✓ Below 8 EeV, only upper bounds on  $d_{\perp}$  at the level of 1%
- ✓ Below 0.3 EeV, amplitude is not significant, the phase is not far from the right ascension of the galactic center. Signal of a possible galactic origin (?).

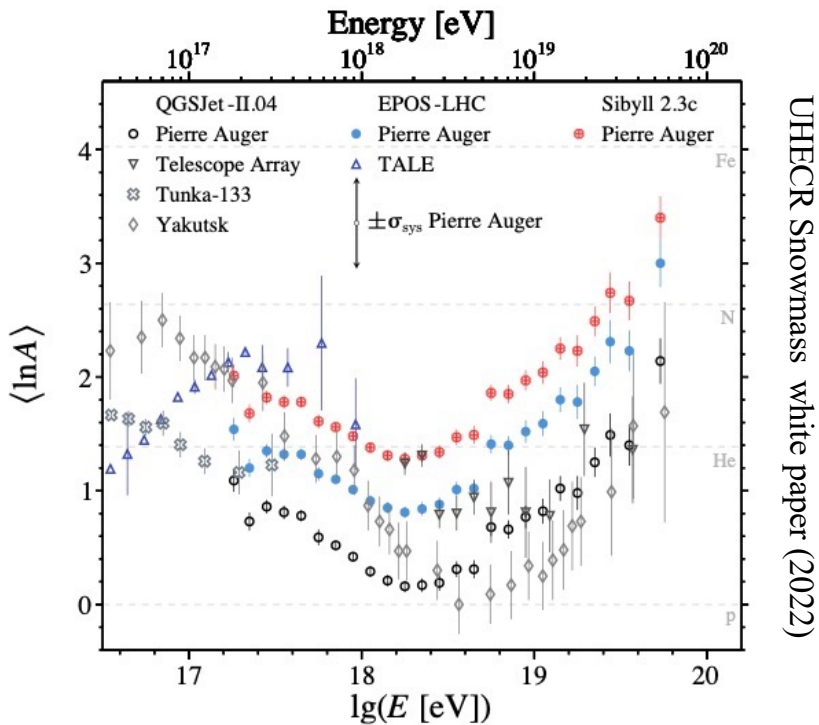


# Ultra High Energy Cosmic Rays – Composition

## Mixed Composition

Starting at  $10^{17}$  eV the light fraction increases till  $3 \times 10^{18}$  eV where it dominates the flux. At energies larger than  $3 \times 10^{18}$  eV, both Auger and TA data show a mass fraction progressively heavier with increasing energy.

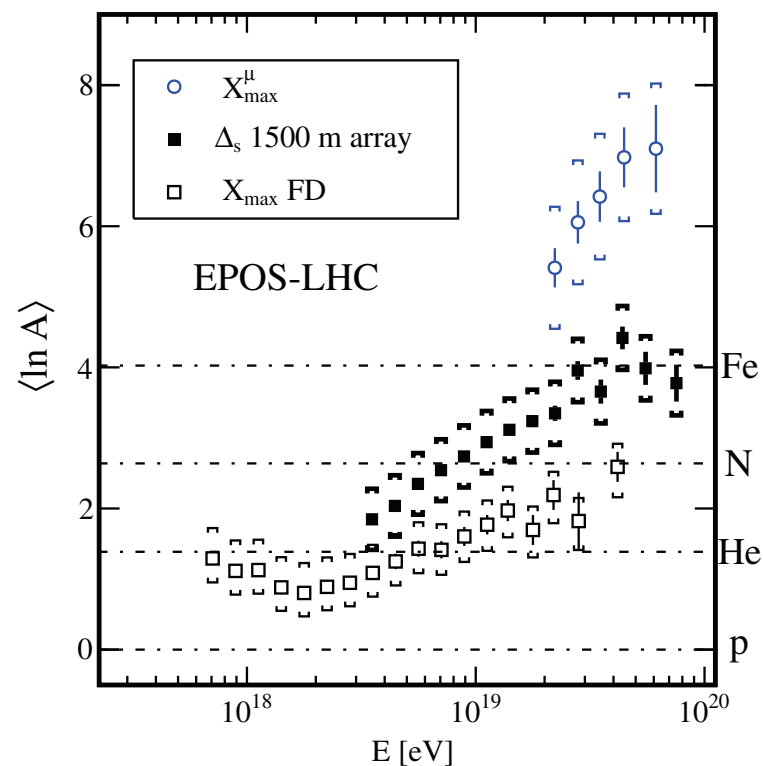
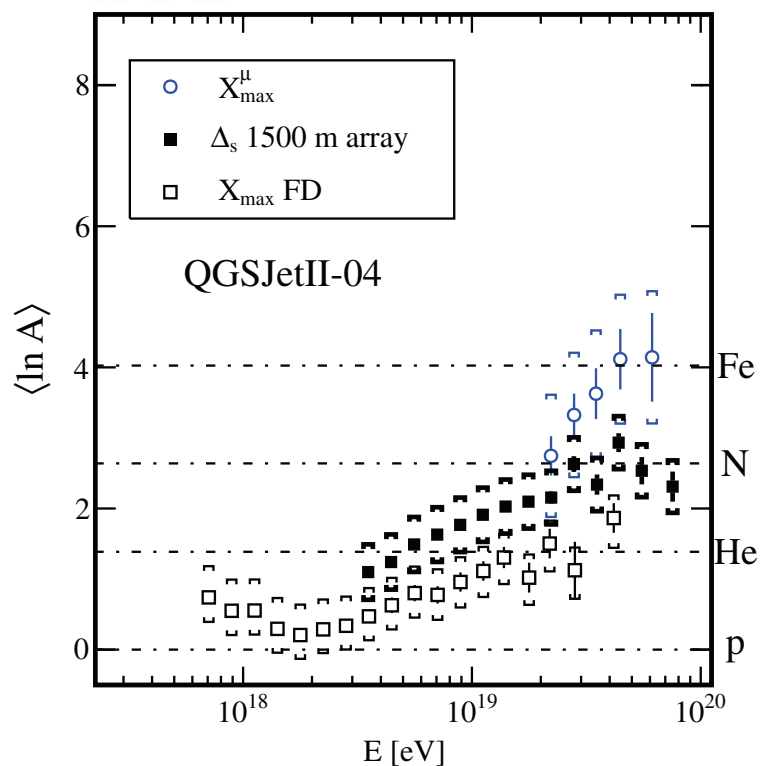




UHECR Snowmass white paper (2022)

- ✓ Uncertainties due to the hadronic interaction model considered.
- ✓ Problems in the self-consistency of hadronic models, likely connected to an inadequate description of the muon production mechanisms in air showers.

Auger Collaboration (2013-2017)



# Caveats on UHE nuclei

## Composition

It is impossible to observe at the Earth a pure heavy nuclei spectrum, even if sources inject only heavy nuclei of a fixed specie at the Earth we will observe all secondaries (protons too) produced by photo-disintegration.

## High Energies

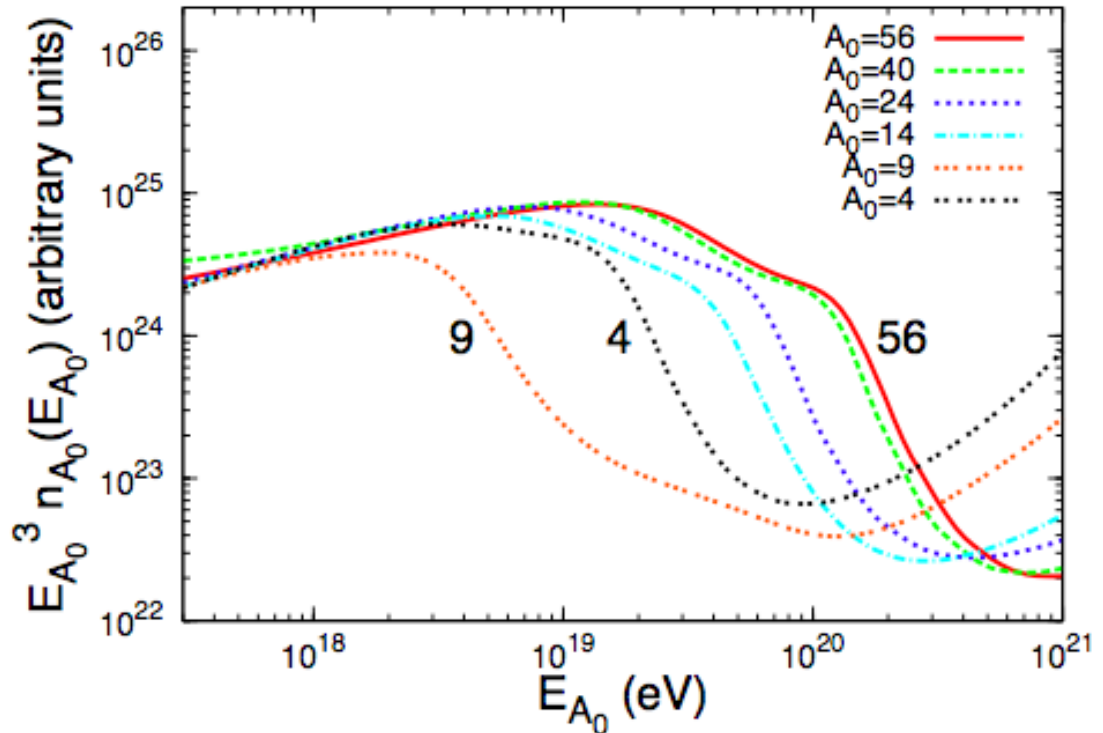
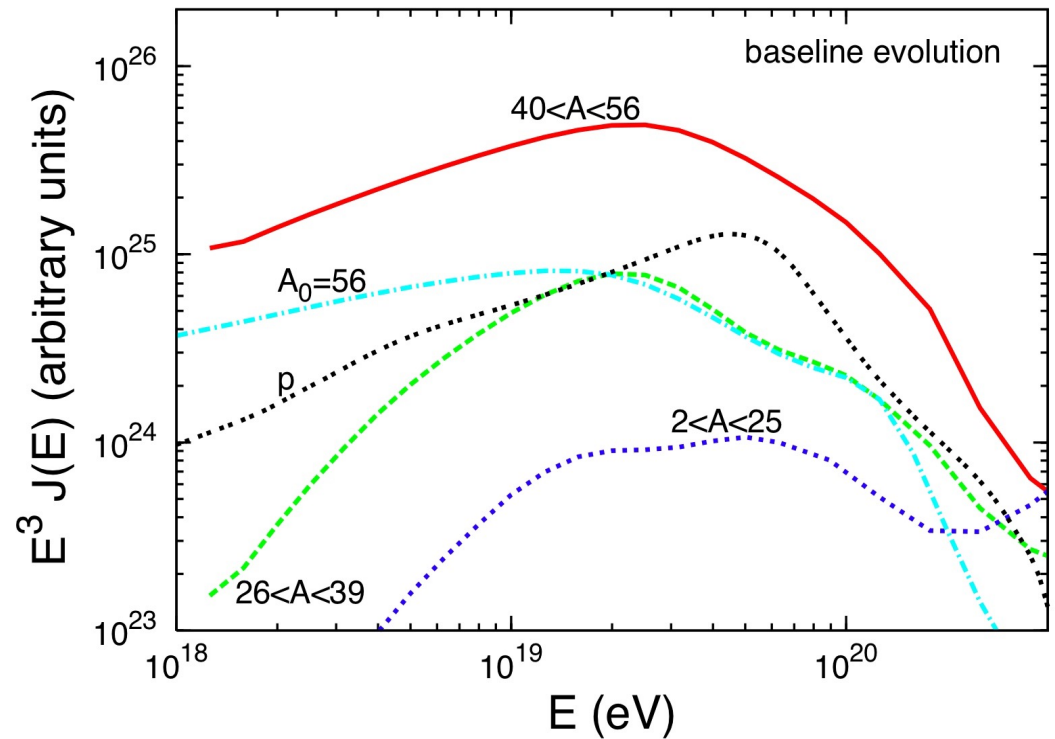
The scale at which photo-disintegration becomes relevant, for heavy nuclei, it is almost independent of the nuclei specie

$$\beta_{e^+e^-}^A(\Gamma, t) + H_0(t) = \beta_{dis}^\Gamma(A, t)$$

$$E_{cut}(A) = Am_N\Gamma_c$$

$$\Gamma_c \simeq 2 \times 10^9$$

The highest energies behavior is fixed by the interplay between  $E_{cut}$  and the maximum energy at the source  $E_{max}$ :

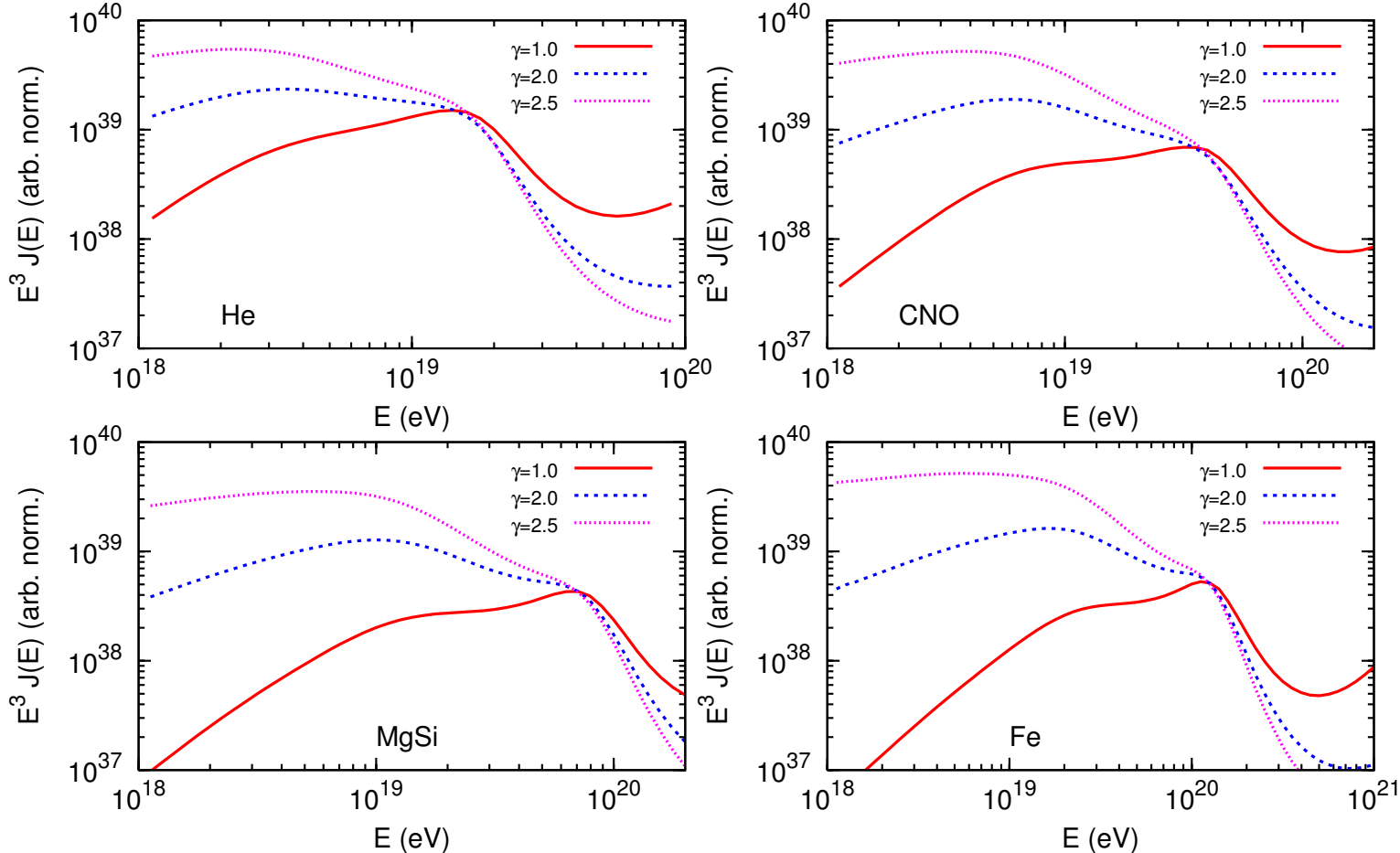




## Injection of nuclei: flat vs steep

The combined effect of nuclei energy losses, mainly photo-disintegration, and injection implies that a steep injection increases the low energy weight of the mass composition

$$Q_A(\Gamma) = Q_0 e^{-\Gamma/\Gamma_{max}} \left( \frac{\Gamma}{\Gamma_0} \right)^{-\gamma_g}$$



### Note

The effect of an Intergalactic Magnetic Field (IMF) can mitigate the conclusion on flat spectra allowing for steeper spectra

# Astrophysical sources

✓ **Hillas criterion:** relation between (comoving) size and magnetic field of the acceleration site.

$$E < E_{max} = \beta ZeBR\Gamma$$

✓ The Hillas criterion can be refined in terms of a lower limit on the required luminosity. Considering a moving source (as for shocks) the total ram pressure should be larger than the magnetic field energy density:

$$L = 4\pi R^2 V \frac{1}{2} \rho V^2 > 4\pi R^2 V \frac{B^2}{8\pi}$$

- non-relativistic moving source

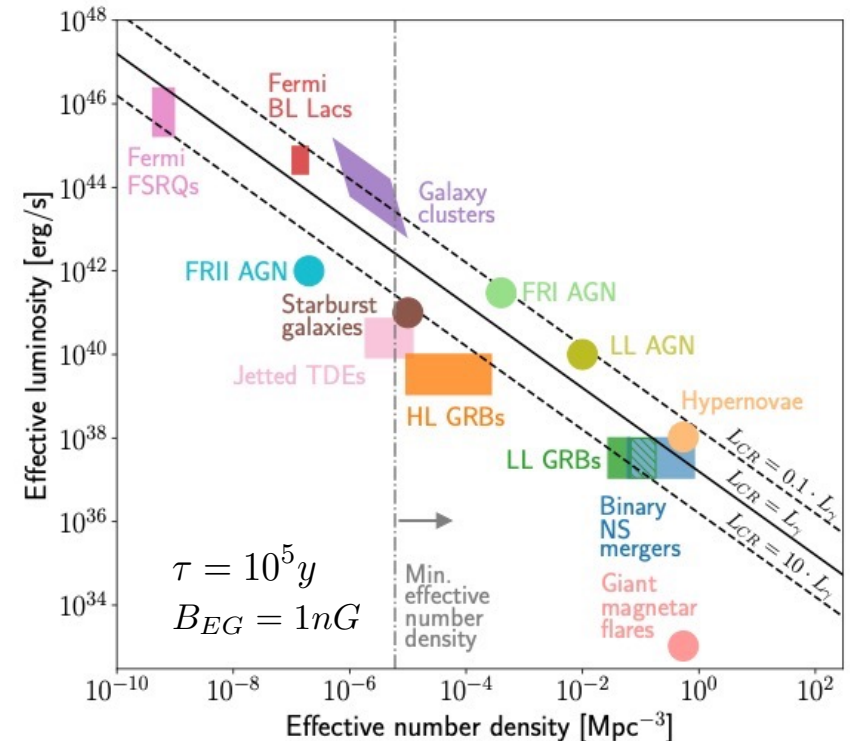
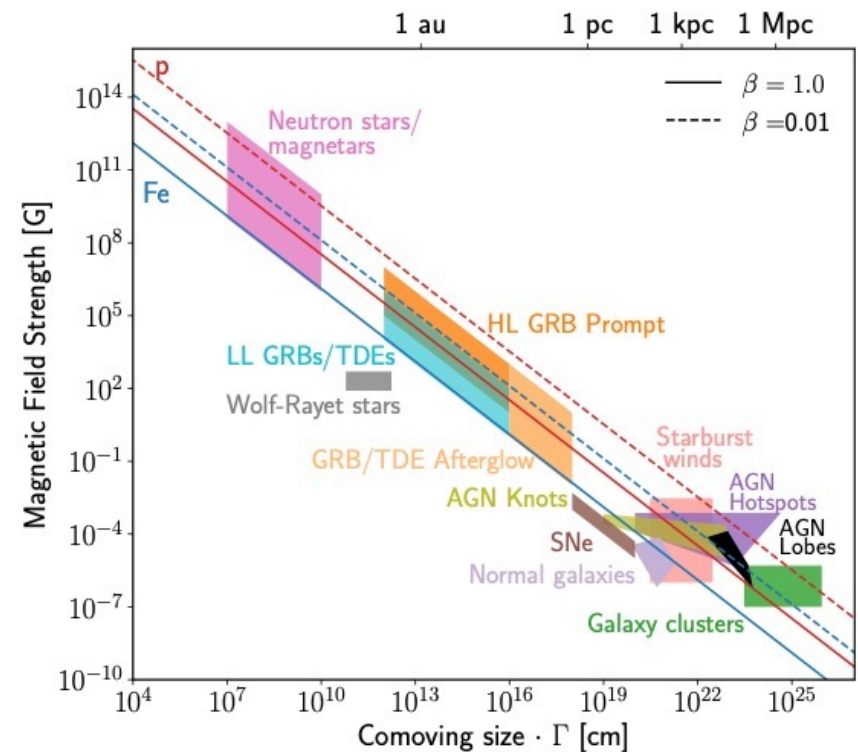
$$L > 3 \times 10^{45} \frac{\beta}{Z^2} \left( \frac{E}{10^{20} \text{eV}} \right)^2 \frac{\text{erg}}{\text{s}}$$

- relativistic moving source

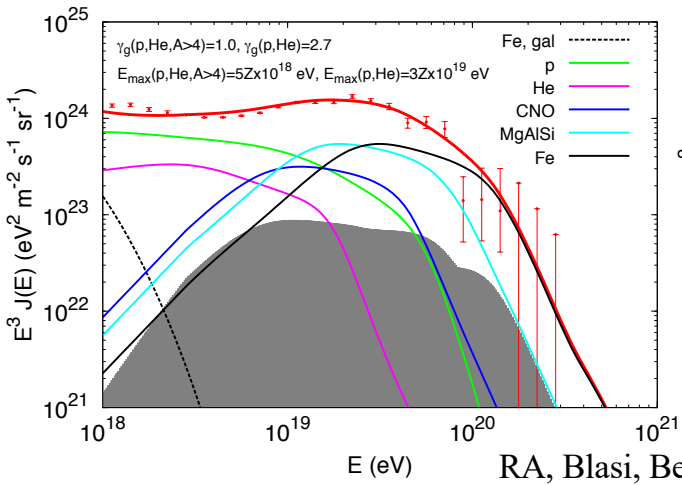
$$L > 4\pi R^2 c \Gamma^2 \epsilon_{B'} \simeq 10^{47} \frac{\Gamma^2}{Z^2} \left( \frac{E}{10^{20} \text{eV}} \right)^2 \frac{\text{erg}}{\text{s}}$$

✓ The observed UHECR flux fixes the scale of the source emissivity ( $\mathcal{L} = L \cdot n$ ) needed

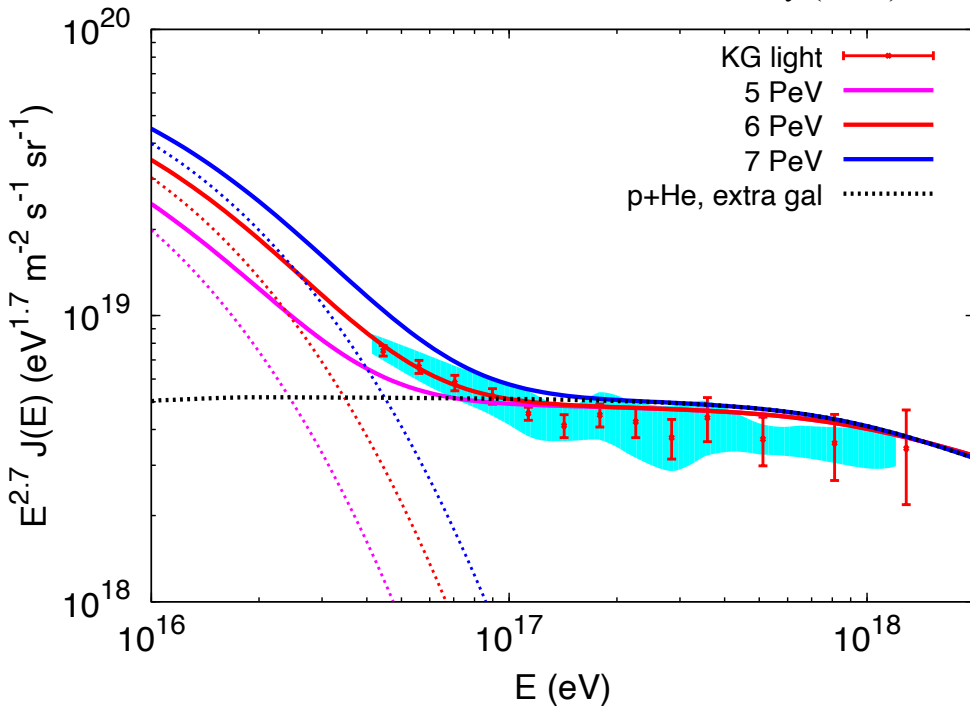
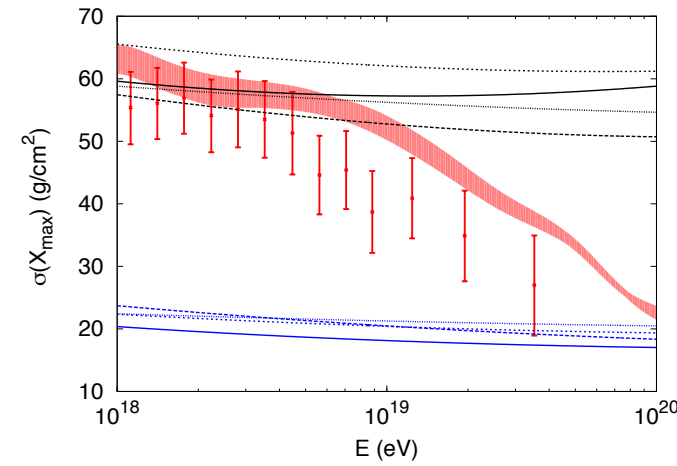
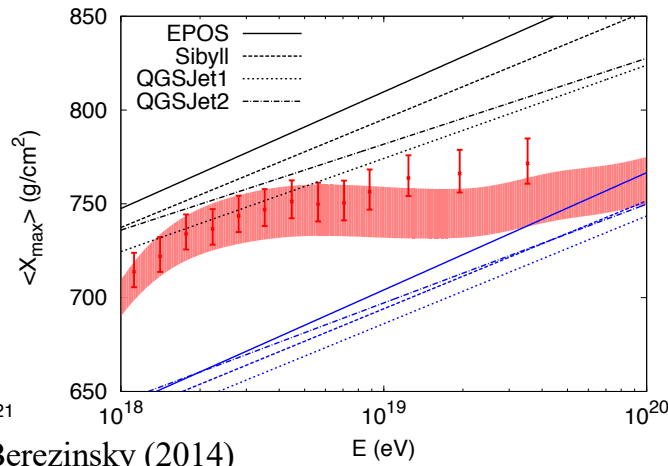
$$J(E) \simeq \frac{c}{4\pi} Q(E) \tau_{loss}(E) \quad \mathcal{L} = O(10^{37}) \frac{\text{erg}}{\text{Mpc}^3 \text{s}}$$



# Mass Composition – Different Classes of Injection



RA, Blasi, Berezhinsky (2014)

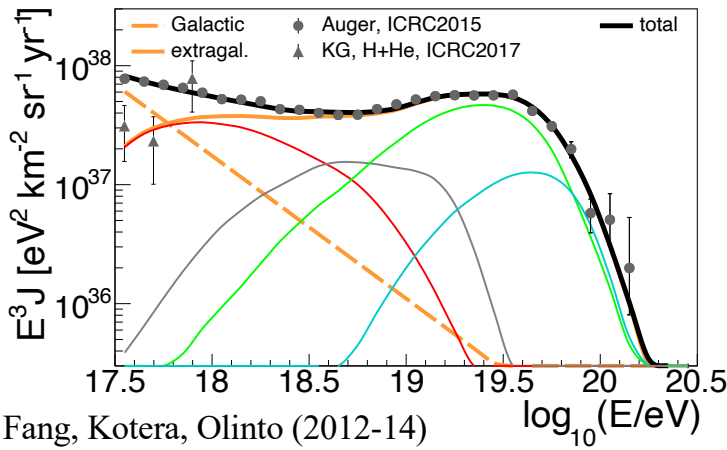


- ✓ Light component steep injection ( $\gamma_g \geq 2.5$ )
 
$$\mathcal{L}_0 = n_{UHE} L_{UHE} \simeq 10^{47} \frac{\text{erg}}{\text{Mpc}^3 \text{y}}$$
- ✓ Heavy component flat injection ( $\gamma_g \leq 2.0$ )
 
$$\mathcal{L}_0 = n_{UHE} L_{UHE} \simeq 10^{44} \frac{\text{erg}}{\text{Mpc}^3 \text{y}}$$

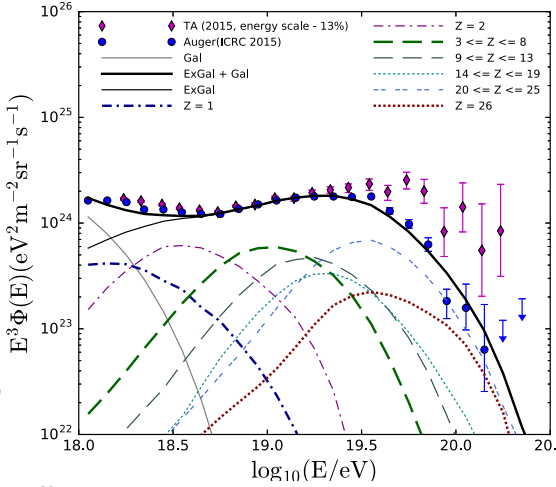
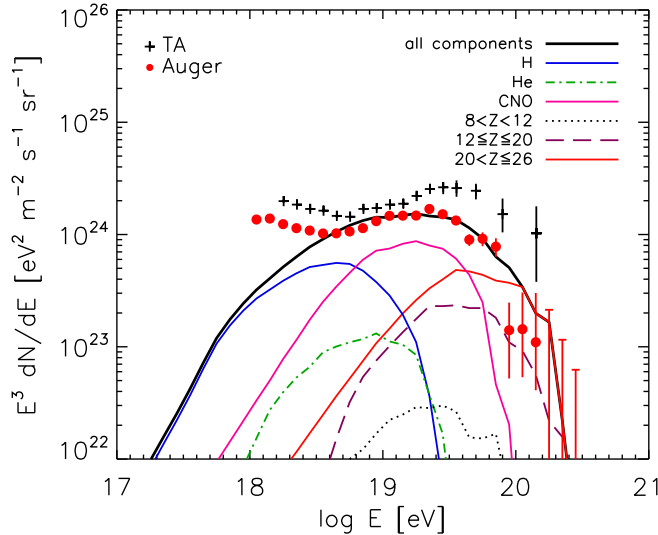
The Cascade-Grande observations seem to confirm the presence at high energy of an (extragalactic?) additional light component with a steep injection spectrum.

# Astrophysical models

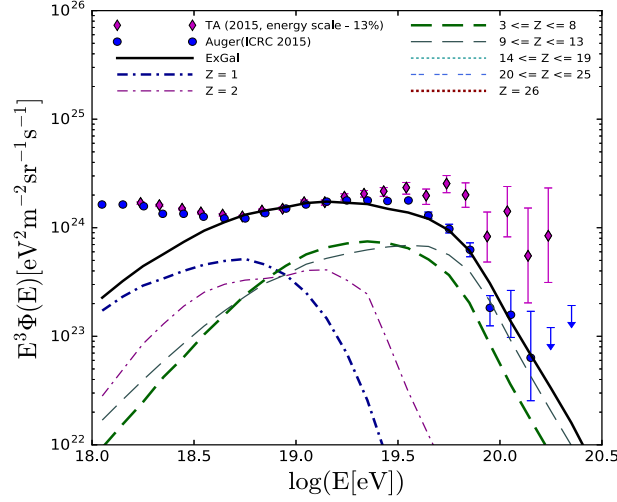
Boncioli, Bhiel, Winter (2018)



Fang, Kotera, Olinto (2012-14)



Kimura, Murase, Zang (2018)



Zang, Murase, Oikonomou, Li (2017)

- ✓ At EeV energies it should be filled the gap. A galactic component challenges GCR acceleration, anisotropy and composition.
- ✓ Specific dynamics in the environment of the extragalactic source: interaction with local matter and radiation fields (in-source photo-disintegration hardens nuclei injection).

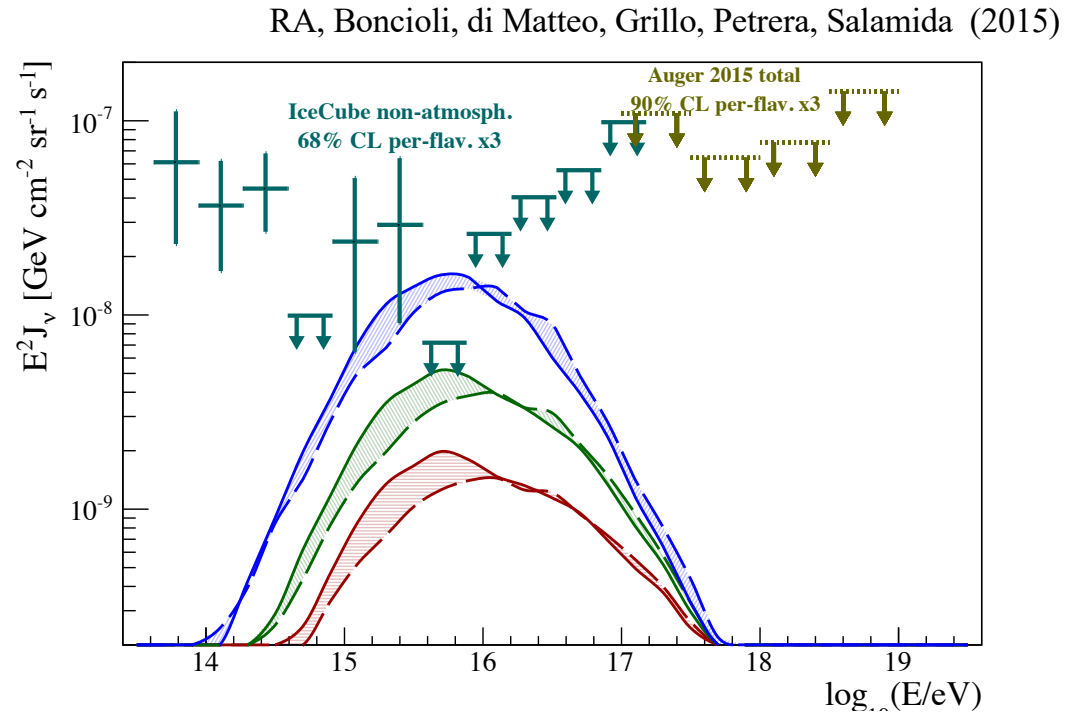
- ✓ **Gamma Ray Burst**  
UHECR heavy composition favors LL-GRB with a large number density (vs HL-GRB) and mildly relativistic jets. [Transient]
- ✓ **Active Galactic Nuclei**  
different models of acceleration: termination shock, compact regions at the base of the jet, shear acceleration. [Steady]
- ✓ **Pulsar**  
very hard spectra  $\gamma \sim 1$ , heavy composition due to internal dynamics at the source. [Steady]
- ✓ **Tidal Disruption Events**  
easily reach the needed energies and luminosities, recently associated with high energy neutrinos. [Transient]
- ✓ **Starburst galaxies.**  
no consensus reached on this scenario, hints of an excess in the Auger data at  $E > 38$  EeV. [Steady]

# Neutral secondaries – UHE neutrinos and $\gamma$ rays

## ✓ EeV neutrinos

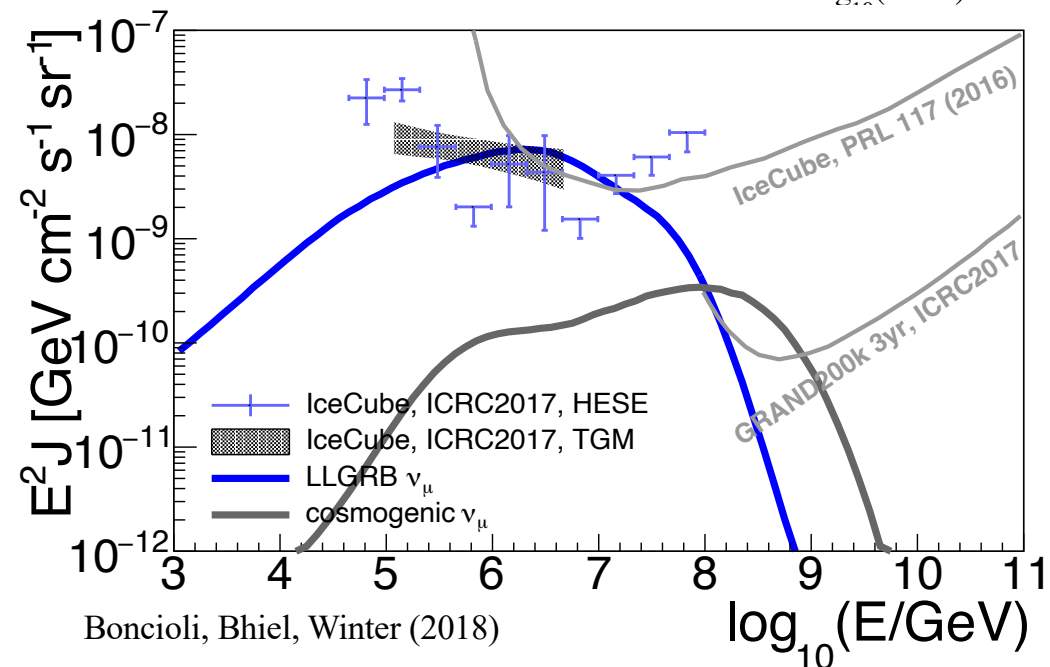
UHE nuclei suffer photo-pion production on CMB only for energies above  $AE_{\text{GZK}}$ . The production of EeV neutrinos strongly depends on the nuclei maximum energy.

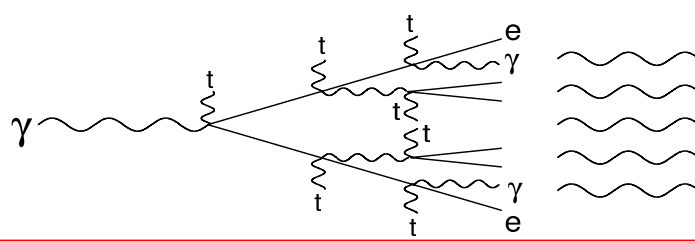
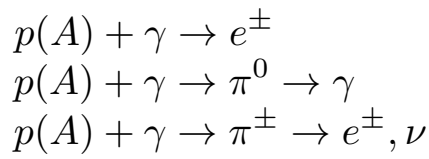
UHE neutrino production by nuclei practically disappears in models with maximum nuclei acceleration energy  $E_{\text{max}} < 10^{21}$  eV.



## ✓ PeV neutrinos

PeV neutrinos are generated by the photo-pion production process of UHECR on the EBL (cosmogenic component) and by in-source interactions. IceCube observations can be marginally explained by the cosmogenic component while can be better reproduced by in-source photo-disintegration processes (as in GRB).





diffuse extragalactic  $\gamma$  ray background ( $10^{-2}$ - $10^2$  GeV).

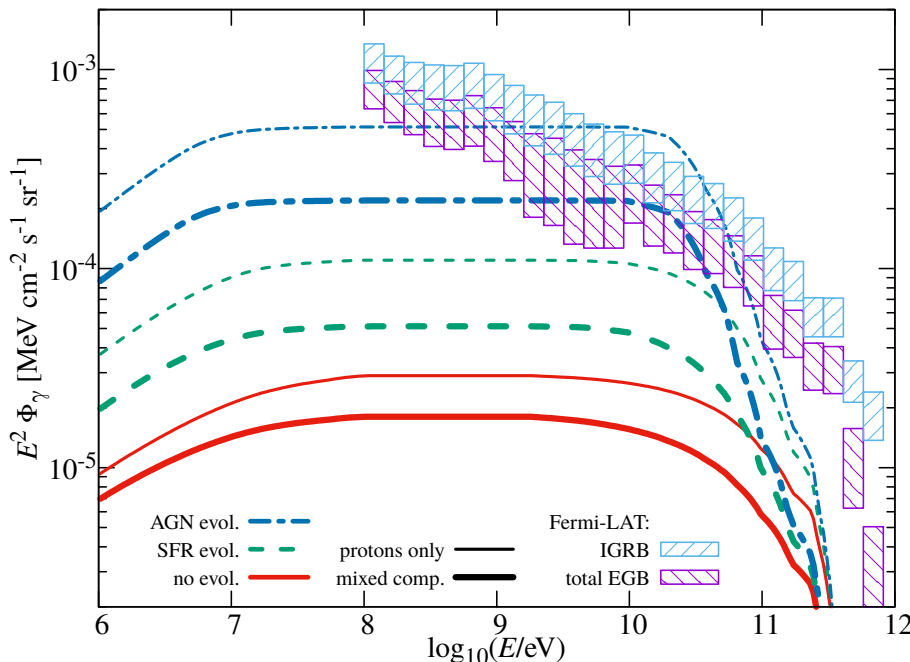
- ✓ Pair and photo-pion production are less efficient in the case of UHE nuclei respect to protons (single nucleon interaction, energy/nucleon, higher energy required).
- ✓ Electromagnetic cascades show a universal behavior independent of the spectrum of primaries (which just fixes the normalization).

$$n_\gamma(E_\gamma) \propto \begin{cases} E_\gamma^{-3/2} & E_\gamma < \mathcal{E}_X \\ E_\gamma^{-2} & \mathcal{E}_X \leq E_\gamma \leq \mathcal{E}_{EBL} \end{cases}$$

$$\mathcal{E}_X = \frac{\mathcal{E}_{EBL} \epsilon_{CMB}}{3 \epsilon_{EBL}} \simeq 10^7 \text{ eV}$$

$$\mathcal{E}_{EBL} \simeq 2.5 \times 10^{11} \text{ eV}$$

$$\mathcal{E}_{CMB} \simeq 2.5 \times 10^{14} \text{ eV}$$



- ✓ Diffuse extragalactic gamma-ray flux at  $E \sim 1$  TeV is a very powerful observable to constrain the fraction of protons in the UHECR spectrum.
- ✓ With the available statistics, given the poor knowledge of the galactic diffuse foregrounds, only models with strong cosmological evolution and light composition are excluded.
- ✓ The future CTA observatory will improve the constraints on UHECR composition and cosmological evolution of sources.

# UHECR, DM and Cosmology

- ✓ Supermassive particles, with mass  $M > 10^8$  GeV, can be easily generated in the early universe by time-dependent gravitational fields and through gravitational (direct) coupling to the inflaton field and/or to SM fields.
- ✓ Supermassive particles can be long-lived and compose the observed DM. The decay of SHDM is driven by high order suppressed interaction with SM fields or through non-perturbative instanton effect.
- ✓ The SHDM scenario can be constrained by UHE CR,  $\gamma$  ray and neutrino observations.
- ✓ SHDM implies primordial gravitational waves production and links UHECR physics to cosmology and CMB observations (tensor to scalar ratio).

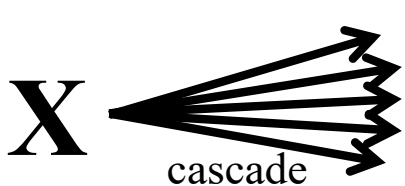
$$X \rightarrow q\bar{q} \rightarrow N, \gamma, \nu, \bar{\nu}$$

- ✓ High order suppressed interaction

$$\mathcal{L}_{int} = \frac{g_{X\Theta}}{\Lambda^{n-4}} X \Theta \quad \tau_X = \frac{V_n}{4\pi M_X \alpha_{X\Theta}} \left( \frac{\Lambda}{M_X} \right)^{2n-8} \quad \alpha_{X\Theta} = \frac{g_{X\Theta}^2}{4\pi}$$

- ✓ Instanton decay

$$\tau_X \simeq \frac{1}{M_X} e^{4\pi/\alpha_X} \quad \alpha_X = \frac{g_X^2}{4\pi}$$



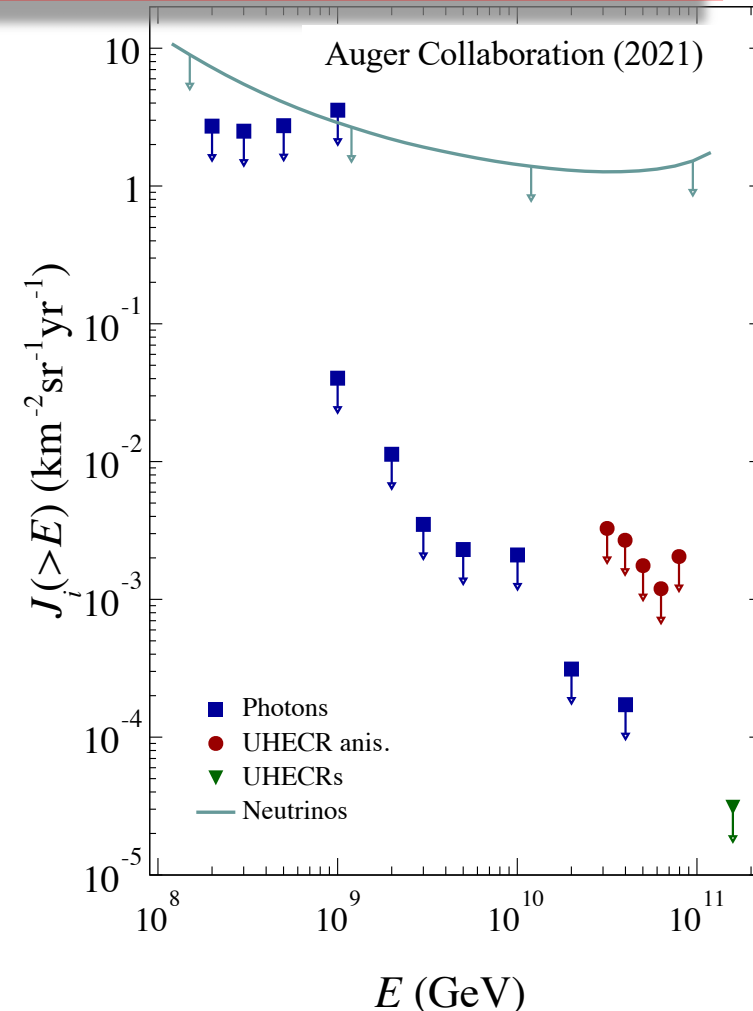
hadronization

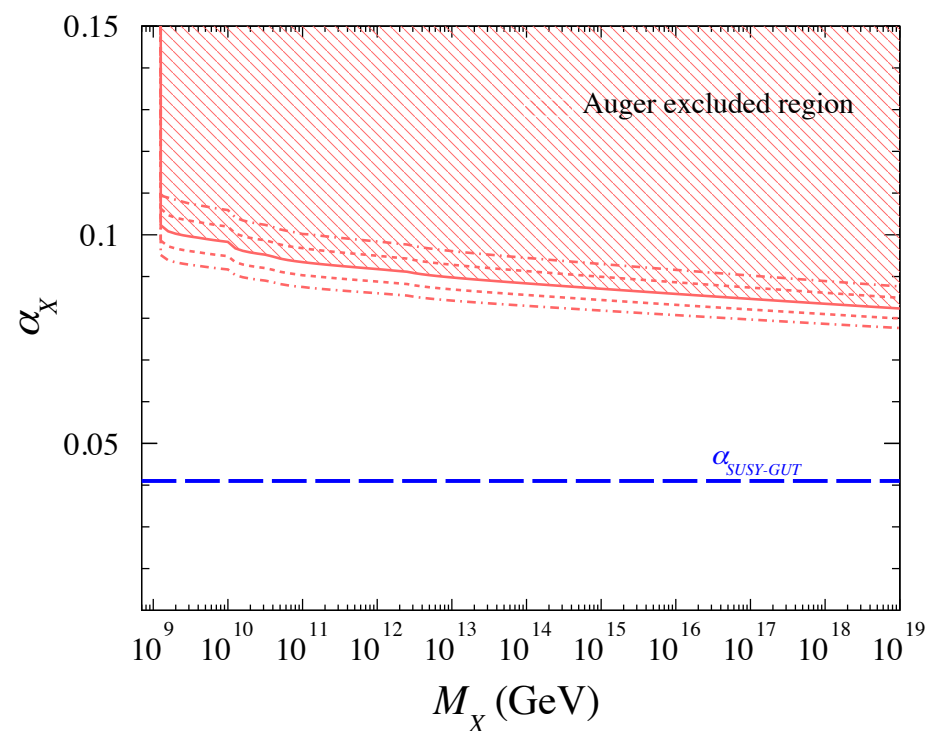
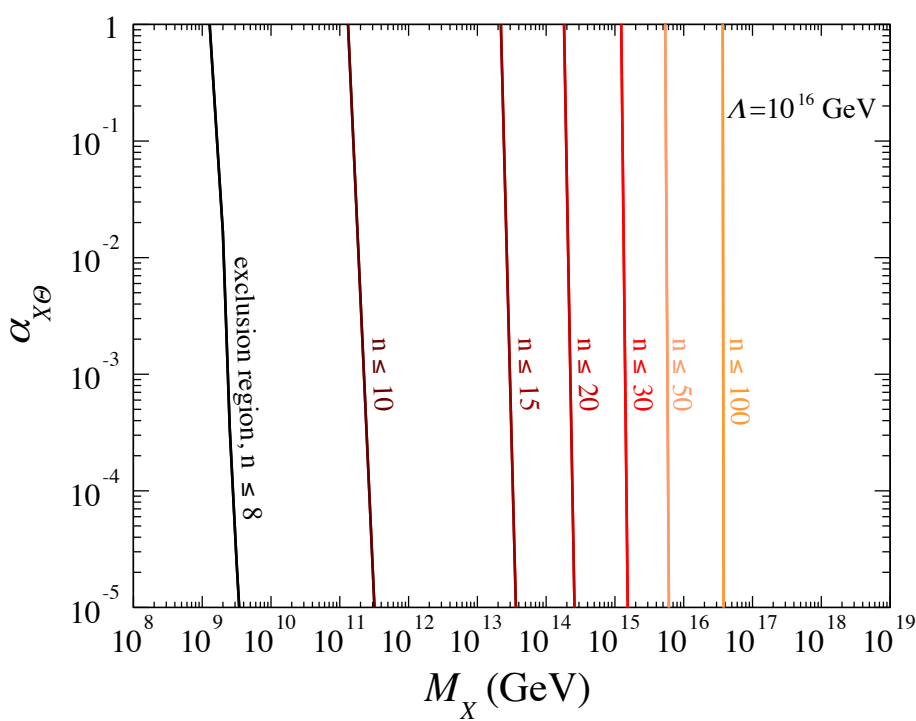
mainly  $\pi$   
therefore  $\gamma$  and  $\nu$

$$Q_{\nu, \gamma, p} \propto E^{-1.9}$$

$$J_{\nu, \gamma, p} \propto \frac{1}{M_X \tau_X}$$

$$J_{SHDM}(E, \theta) = \frac{1}{4\pi M_X \tau_X} Q(E) \int_0^{r_{max}(\theta)} dr n_X(R(r))$$



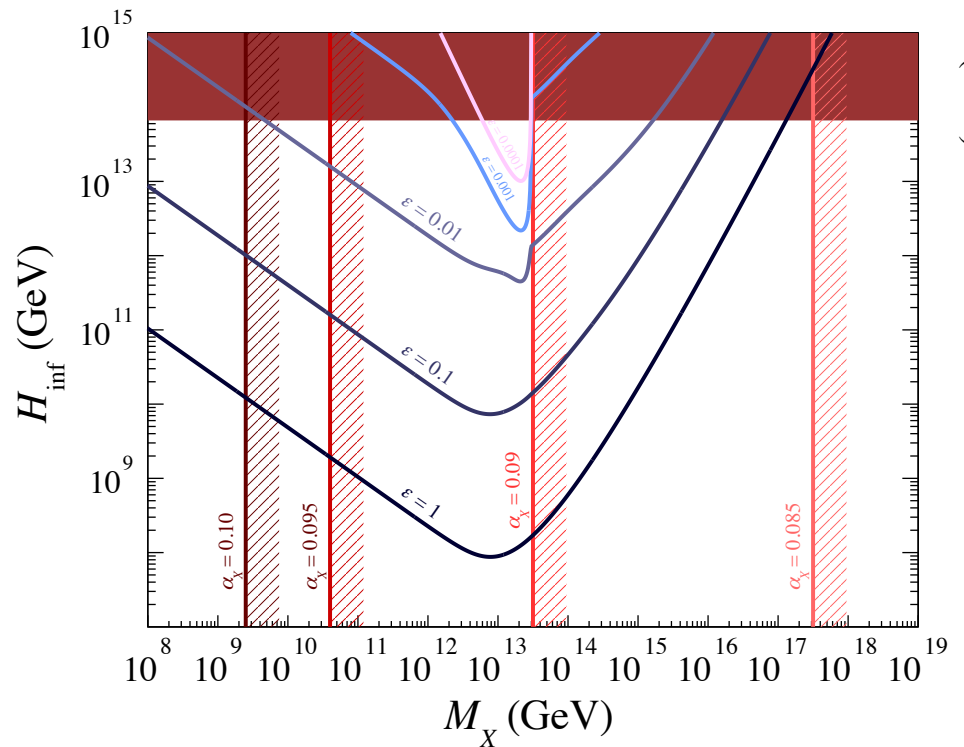


✓ The limits on  $M_X$  and  $\tau_X$  can be rewritten in terms of the cosmology parameters  $H_{inf}$  and  $\epsilon$ . The Hubble parameter at the end of inflation  $H_{inf}$  is bounded by the CMB tensor to scalar ratio

$$H_{inf} \lesssim 6.6 \times 10^{-6} M_P \left( \frac{r}{0.1} \right)^{1/2}$$

The reheating efficiency  $\epsilon$  can be expressed in terms of the inflaton decay amplitude  $\Gamma_\phi$

$$\epsilon = \sqrt{\frac{\Gamma_\phi}{H_{inf}}}$$





# Conclusions

In the past 20 years the physics of UHECR experienced a paradigm shift. Thanks to the measurements of PAO and TA, the simple picture of protons at the highest energies has been replaced by a more complex (and phenomenologically richer) one with heavy nuclei dominating the highest energies.

- ✓ Mass determination is currently limited by the uncertainties in the predictions of hadronic models and muon content in the EAS.
- ✓ Precise mass determination till the highest energies will be of paramount importance in the future. The necessary step forward should be disentangling the all-particle energy spectrum into that of individual mass groups (p, He, CNO, MgAlSi, Fe). The clearest path to event-by-event primary mass reconstruction lies in a high-resolution independent reconstruction of both  $X_{\max}$  and  $N_{\mu}$  coupled to a high-resolution energy reconstruction.
- ✓ The origin of the flux suppression at the highest energies is still uncertain (energy losses or maximum energy at the source). Solving this puzzle requires individual mass groups energy spectra.
- ✓ Identifying individual sources would be possible only at the highest energies and with light primaries, calling for a shower-by-shower determination of the mass and energy of the primary particle.
- ✓ To study the properties of a single source, to probe BSM physics and cosmological models it is needed larger statistics at the highest energies ( $\sim 10^{20}$  eV).

In the next 5-10 years, the upgrades of the Auger and TA observatories, together with new potential next-generation detectors, will provide larger statistics and refined measurement of the energy spectrum, mass and anisotropy to the point where several of the above problems can be solved.