

Photocathode Performance at the LCLS-II

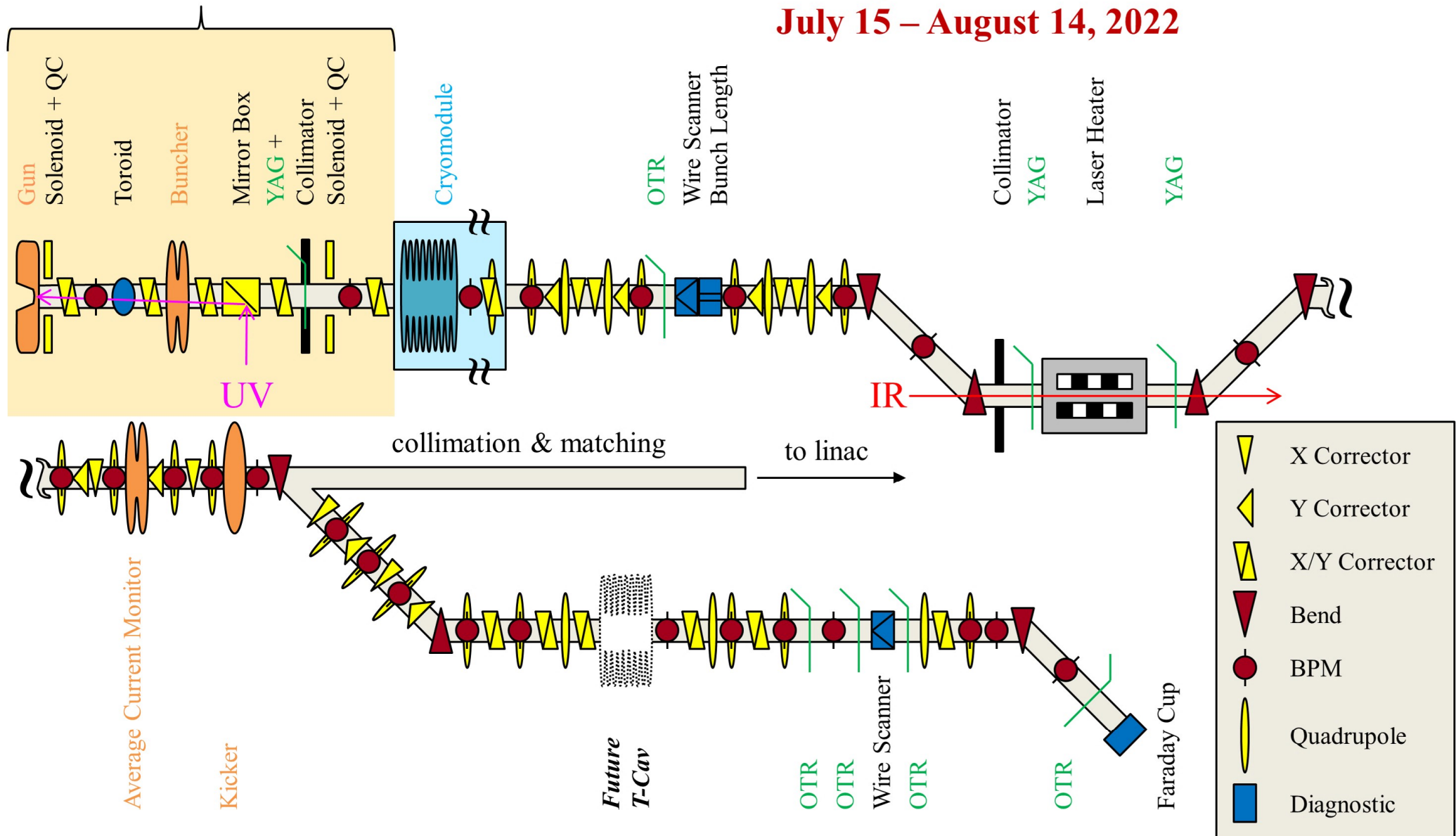
+ Extra stuff

Theodore Vecchione
EWPA: September 20, 2022

LCLS-II Photoinjector Commissioning

0.75 MeV electron source (2018-2020)

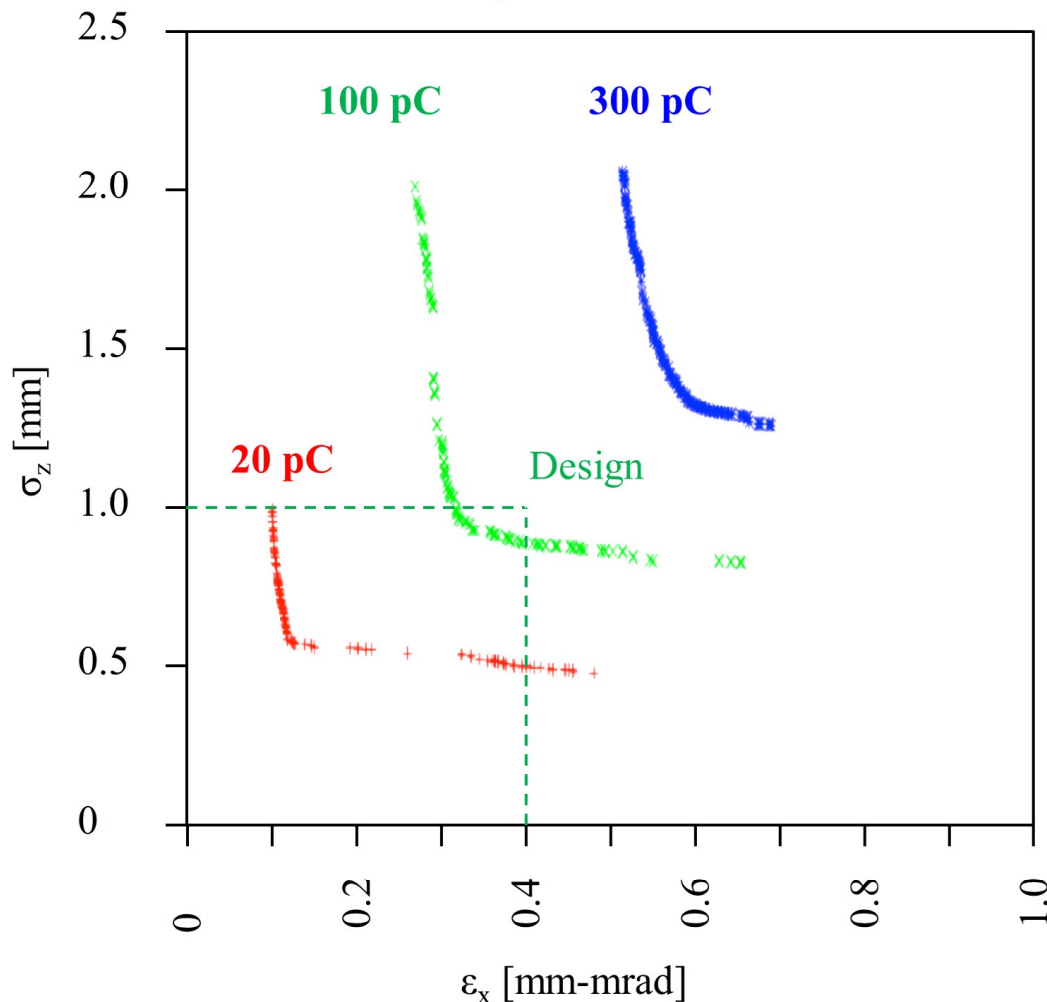
**100 MeV diagnostic line commissioned
July 15 – August 14, 2022**



LCLS-II Photoinjector Design Specifications

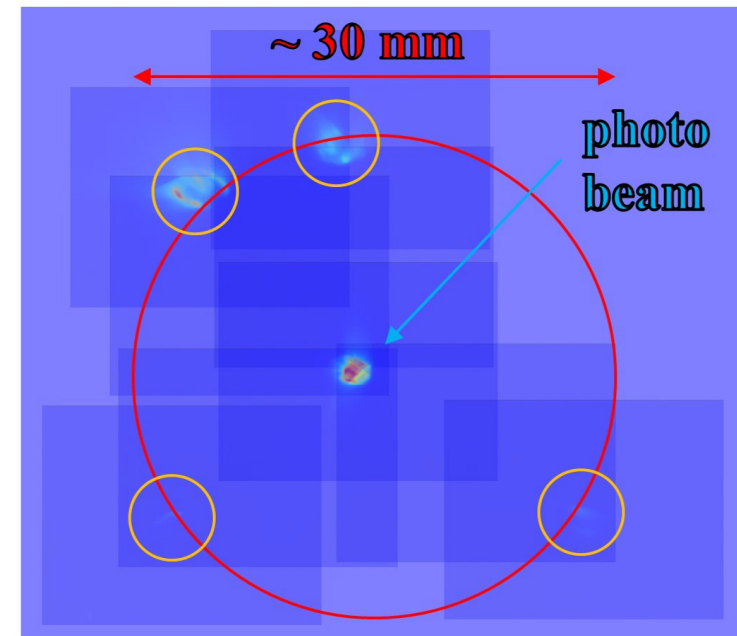
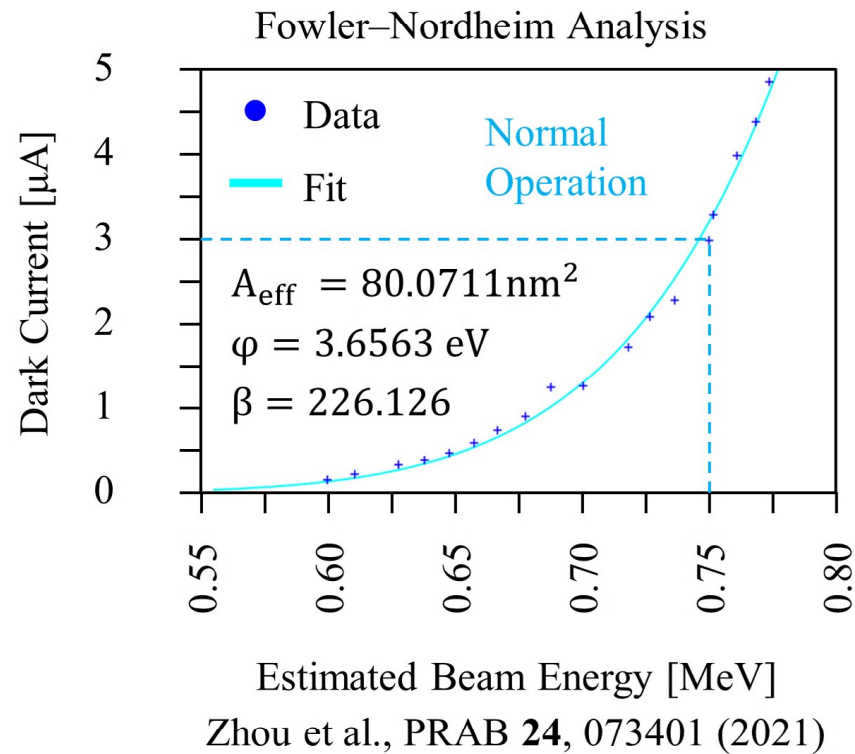
Cs₂Te was a conservative choice to meet these specifications

Simulations at 100 MeV
using $\epsilon_{ix} = 1.0 \text{ } \mu\text{m/mm}$

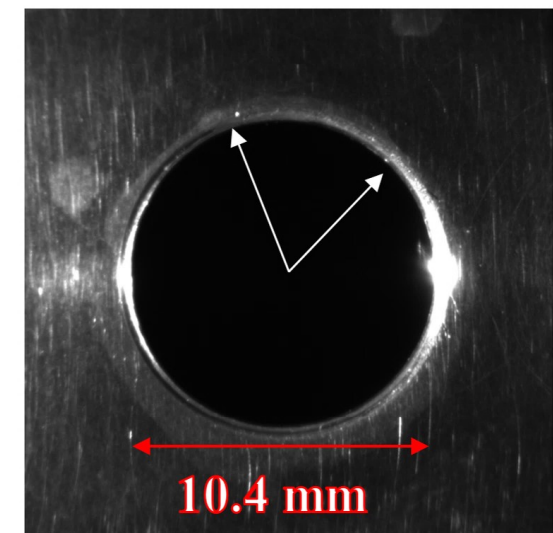


Bunch Charge	100 pC
Maximum Repetition Rate	0.929 MHz
Photoinjector Energy	100 MeV
Photoinjector Bunch Length	1 mm, 3 ps
Photoinjector Peak Current	12 A
Photoinjector Slice Emittance	0.4 mm-mrad
Photoinjector Slice Energy Spread	$\leq 5 \text{ keV}$
Gun Energy	750 keV
Gun Gradient	19.5 MV/m
Gun Dark Current	$\leq 400 \text{ nA}$
Gun vacuum w/ RF	$\leq 1 \times 10^{-9} \text{ torr}$
Intrinsic Emittance	$\leq 1 \text{ } \mu\text{m/mm}$
Quantum Efficiency	$\geq 0.5 \%$
1/e Lifetime	$\geq 10 \text{ days}$
IR Delivered to Laser Heater (20 keV heating)	15 μJ / 30 ps pulse
UV Delivered to Photocathode (0.5% QE \rightarrow 300pC)	$\geq 0.3 \text{ } \mu\text{J}$ / 30 ps pulse

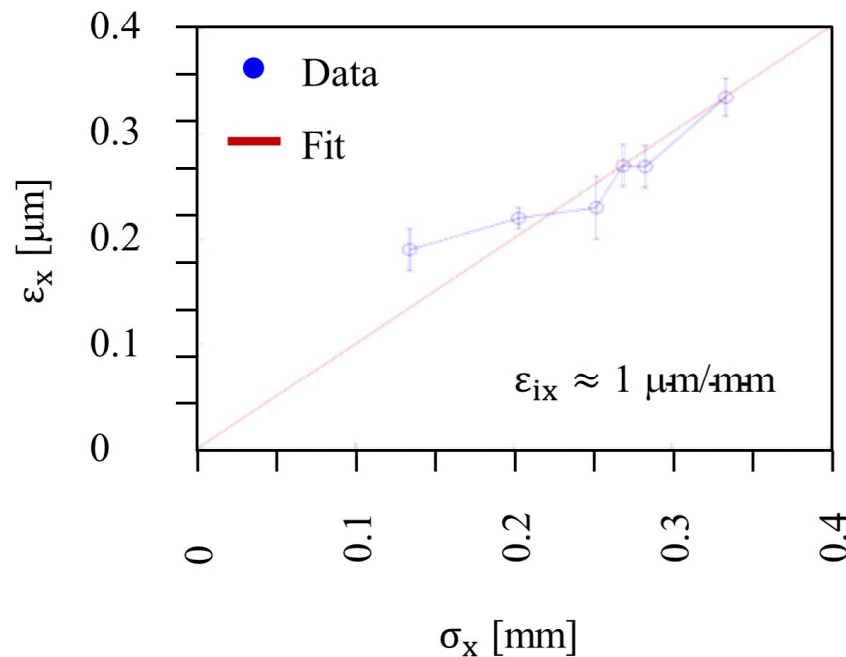
LCLS-II VHF Gun Dark Current



- There are two large sources and two small sources of dark current
- Dark current may originate from the inner lip of the gun nose cone
- Dark current generation seems to be stable over time
- **Spatial collimator added to remove $> 95\%$ of dark current**
- Typical: e-beam 16-18 mm diameter, 20 mm aperture ($3\mu\text{A} \rightarrow 100\text{nA}$)



Performance of First Two Cs₂Te Photocathodes Used

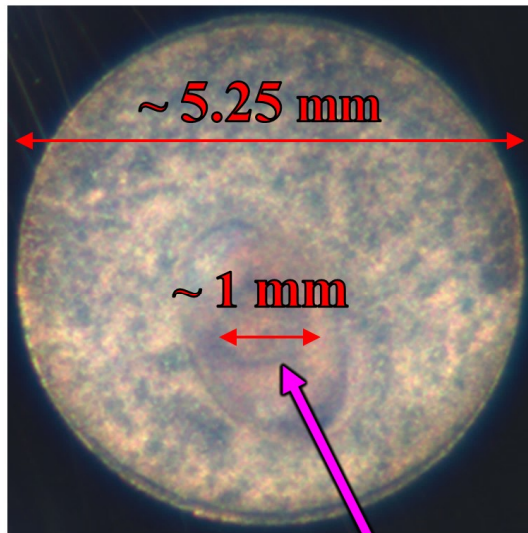


Zhou et al., PRAB **24**, 073401 (2021)

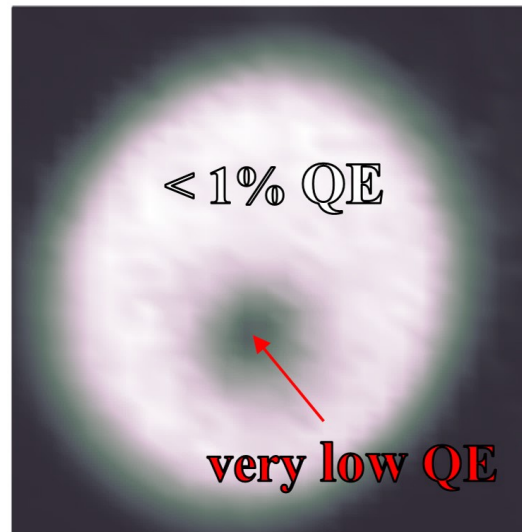
- The first two Cs₂Te photocathodes used were produced by INFN/LASA. *Thank you!*
- Unfortunately the QE was low due to a problem with SLAC's *original* suitcase - but OK for commissioning
- The intrinsic emittance measurement above is from the first Cs₂Te photocathode used
- **The intrinsic emittance matched the value assumed when designing the photoinjector**
- The measurement used the gun solenoid with the buncher turned off and < 1 pC of charge in the beam

Postmortem Analysis of 1st Photocathode

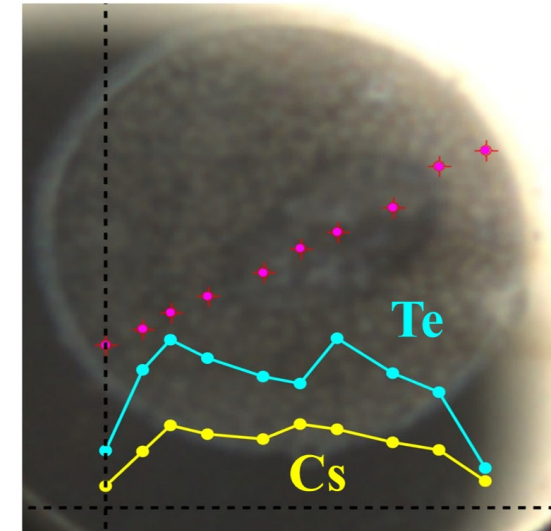
Optical Image



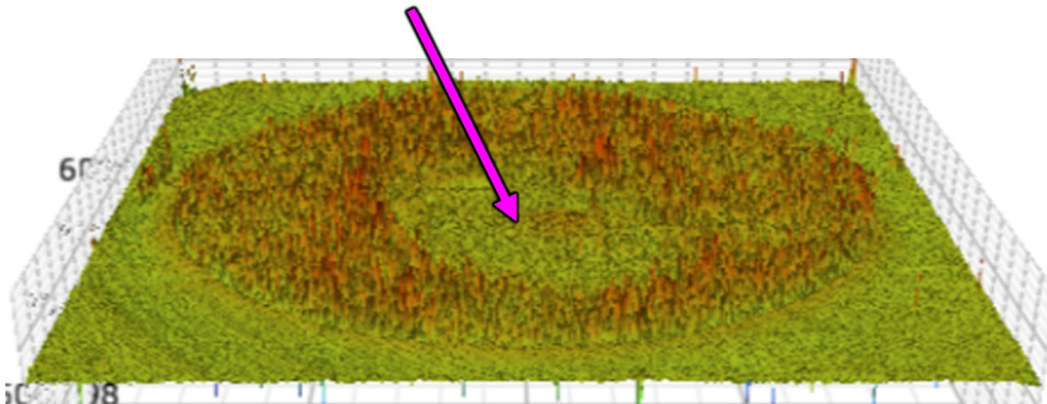
QE Map



Uncalibrated XRF Signals



Visible damage where QE degradation occurred

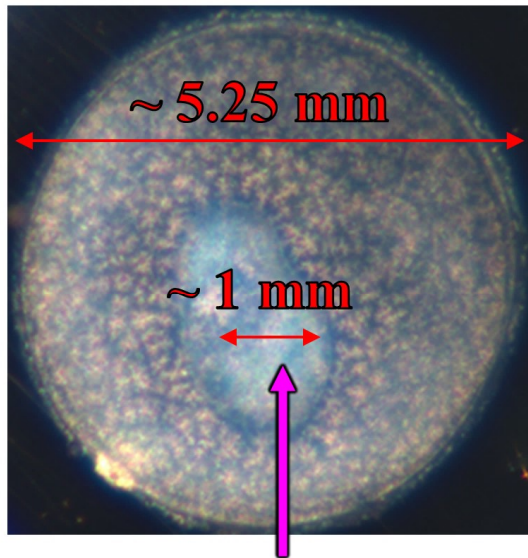


Optical Profilometry

- ~ 500 hours of operation, mostly at low rep rate
- Studies: profilometry, XRF, RBS + PIXE
- Homogeneous Cs:Te ratio of 1.8:1
- Thickness $0.05 \pm 0.02 \mu\text{m}$
- Slight bulge at defect but no clear step
- Defect is smoother than surrounding area
- **No evidence for material loss**

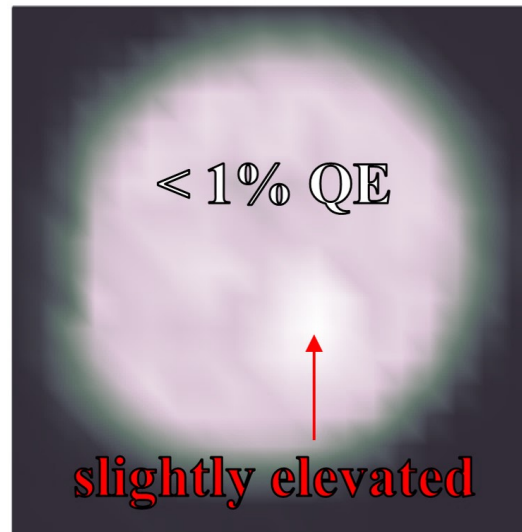
Postmortem Analysis of 2nd Photocathode

Optical Image

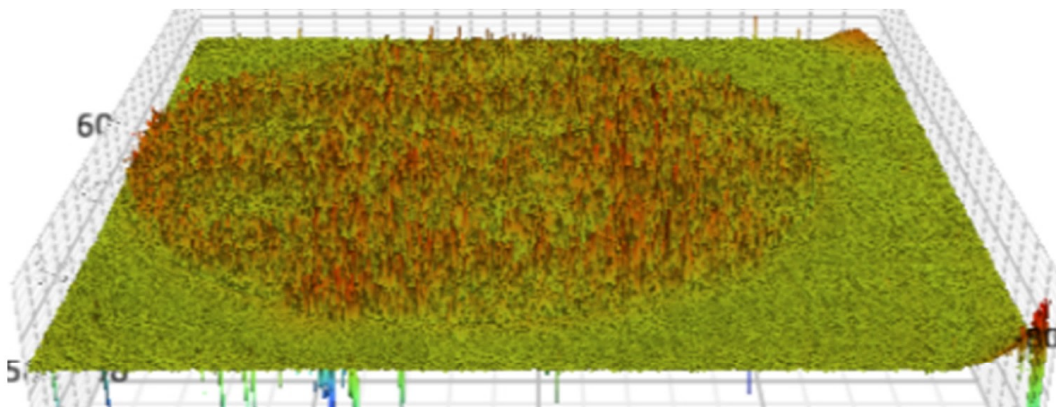
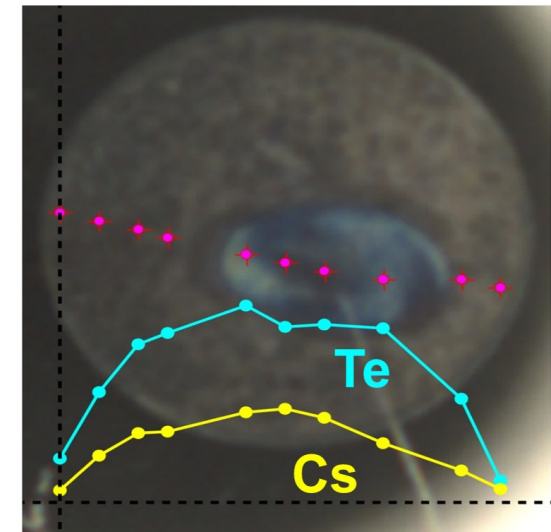


Visible damage

QE Map



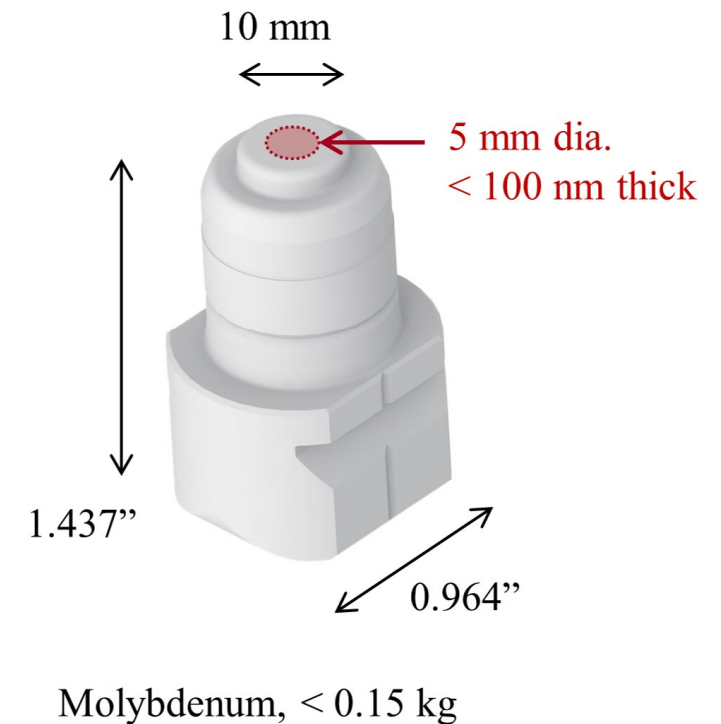
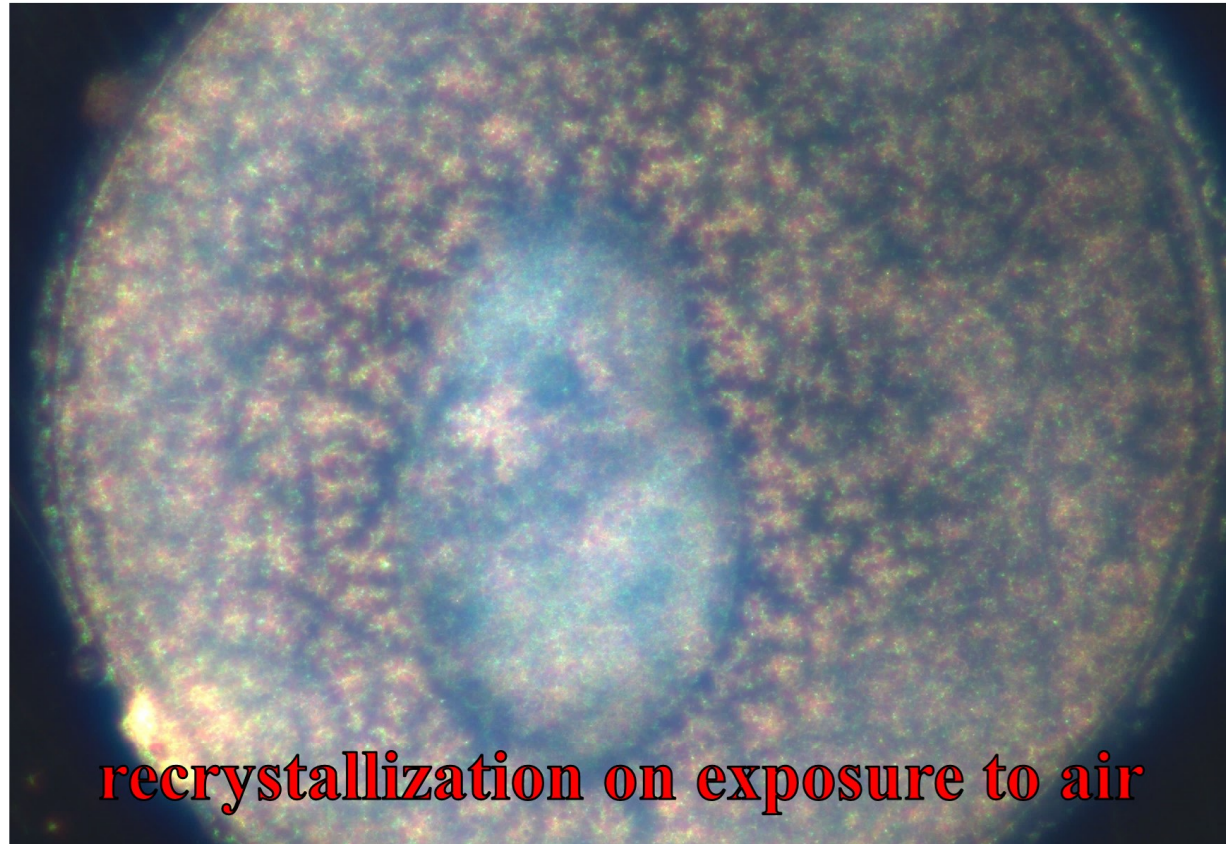
Uncalibrated XRF Signals



Optical Profilometry

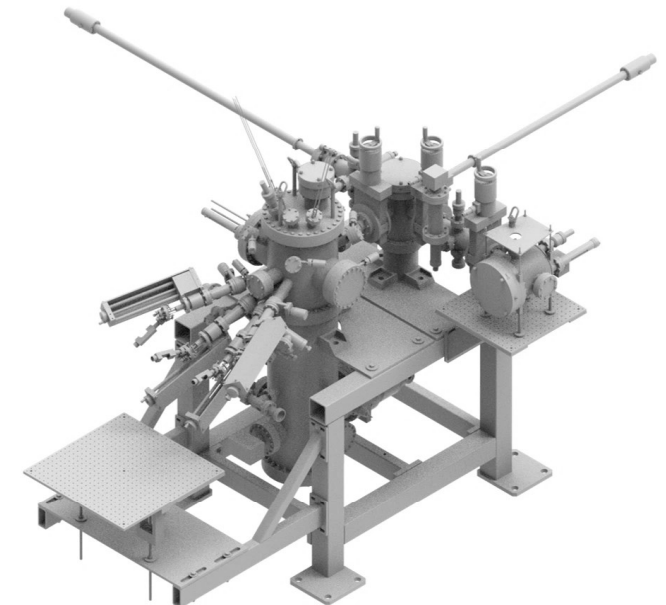
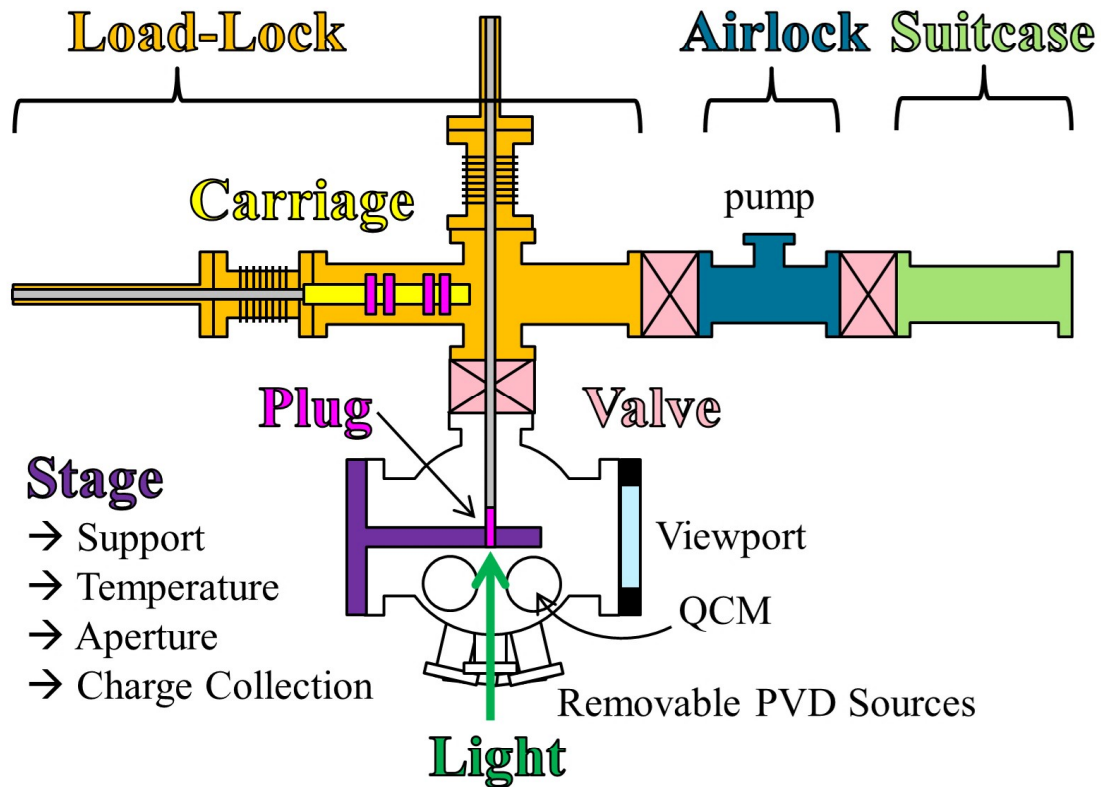
- ~ 200 hours of operation, mostly at low rep rate
- Studies: profilometry, XRF, RBS + PIXE
- Homogeneous Cs:Te ratio of 1.9:1
- Thickness $0.05 \pm 0.02 \mu\text{m}$
- Defect is similar to surrounding area
- **No evidence for material loss**

Future LCLS-II Photocathode Post-Mortem Analyses



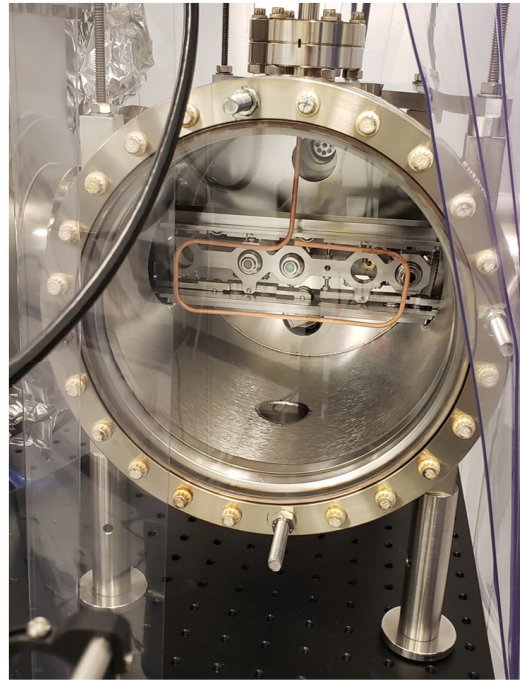
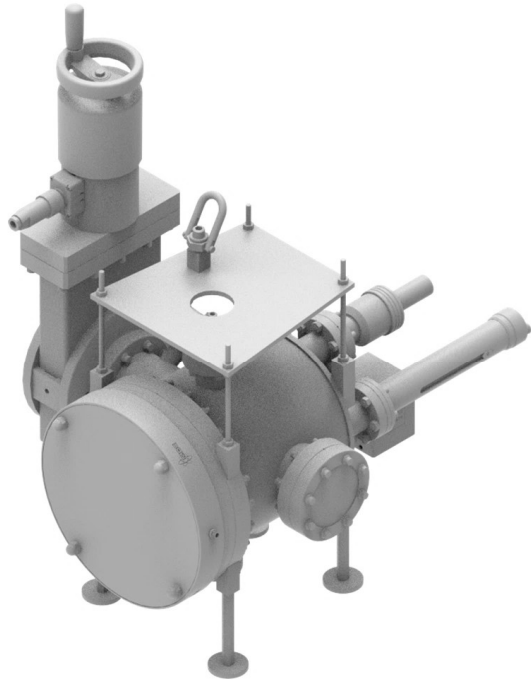
- Goal is to map chemical composition and to correlate this with performance
- Problem is that new compounds are produced when the photocathodes are exposed to air (understatement)
- **To do better will likely require capping in an inert layer to preserve the surface chemistry**
- Future analysis techniques will likely be destructive to the underlying plugs, e.g. FIB + TEM

LCLS-II Photocathode Deposition System



- SLAC has grown ~20 photocathodes since 2020
- System has four physical vapor deposition sources that are reconfigurable to produce different materials following either sequential or co-deposition recipes (Cs_2Te , K_2CsSb or other)
- Load-Lock, Airlock and Suitcase are maintained “particle free”
- Initial QE of Cs_2Te photocathodes at 258 nm is 5-15%, with > 10% typical
- Efforts are underway to improve uniformity with better PVD source overlap

LCLS-II Photocathode Suitcases



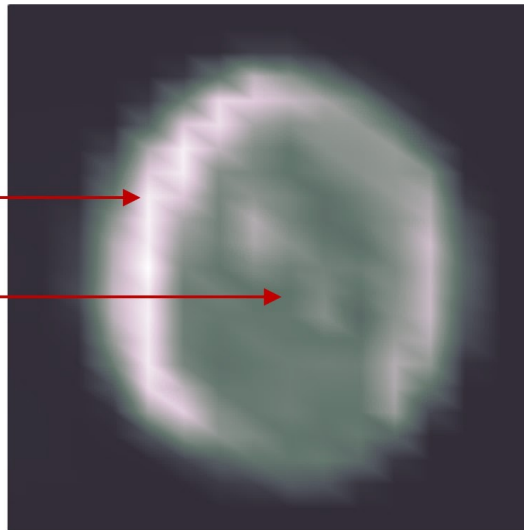
- UHV transport suitcases were redesigned to improve photocathode visibility (for characterization) and inter-system transferability (for exchange with collaborators). This is different from SLAC's *original* suitcase.
- Cs_2Te QE appears to be stable for months in a 'clean' suitcase
- If a suitcase doesn't have a hydrogen dominated vacuum of 1×10^{-10} torr or better then the Cs_2Te QE decays over time (e.g. from 10% to 1% in ~6 months, then a very slow decay from 1% to 0.5%)
- Minimum useful QE for LCLS-II operations is 0.5%.

Current LCSL-II Photocathode

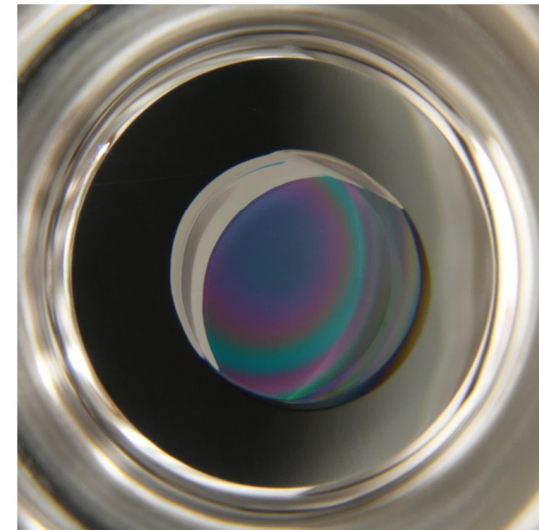
QE map of photocathode A008

15% max QE →

8% QE on center →



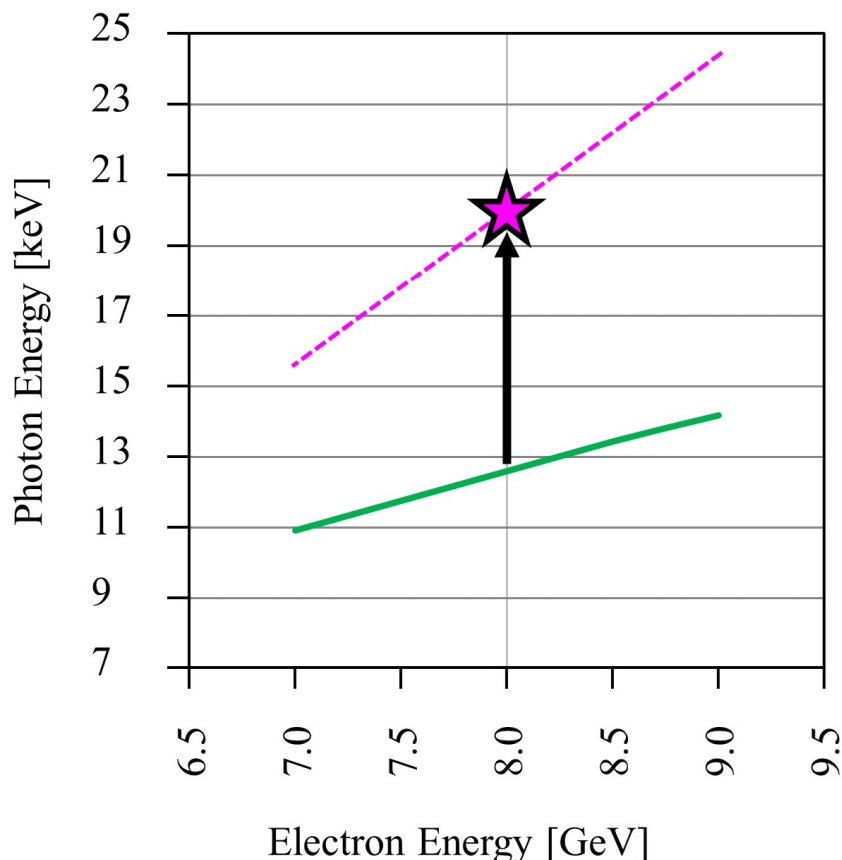
Optical image of photocathode A008



- Photocathode A008 was produced using Cs and Te codeposition
- Non-uniform QE may arise from non-uniform PVD source overlap (will be improved)
- Photocathode A008 has 400 hrs of operation at low rep rate and no obvious QE decay has been observed
- Intrinsic emittance measurement coming soon...

FEL Output as a Function of Electron Beam Emittance

Maximum wavelength for producing 100 uJ X-ray pulse energy



Future Goal
20 keV @ 8 GeV w/
0.1 um slice emittance
(illustration)

LCLS-II HE
12.8 keV @ 8 GeV w/
0.4 um slice emittance
(simulation)

Achieving this requires a factor of 4 reduction in slice emittance at the undulator (100 pC in 1 mm bunch)

No single approach to achieve this
→ requires multiple parallel efforts

... starting with the photoinjector

$$\epsilon_{nx} = \sqrt{\epsilon_{sc}^2 + \epsilon_{rf}^2 + \epsilon_{ix}^2 + \dots}$$

Layout
 Electron source
 (> 20 MV/m)

Photocathode

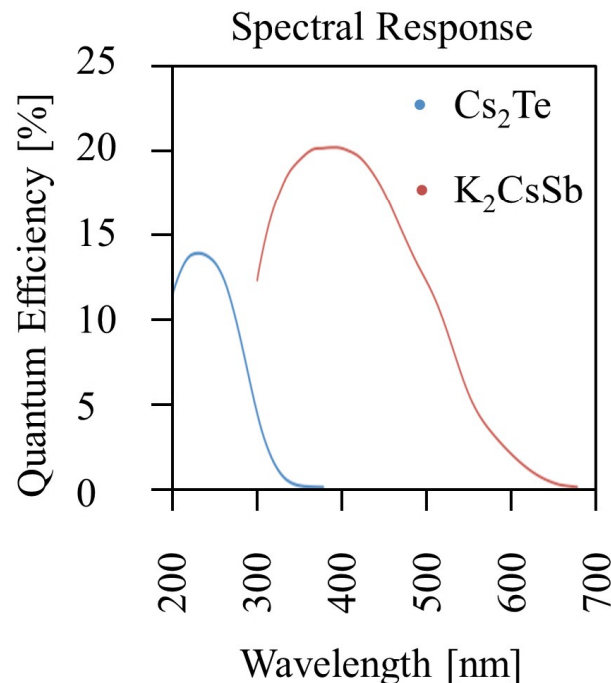
- Photocathodes are only a part ... *but they are the most cost effective to improve*
- Photocathodes may achieve up to ½ of the desired emittance reduction overall

current: $\epsilon_{ix} > 0.6 \mu\text{m}/\text{mm}$

target: $\epsilon_{ix} < 0.3 \mu\text{m}/\text{mm}$

The Grand Photocathode Challenge

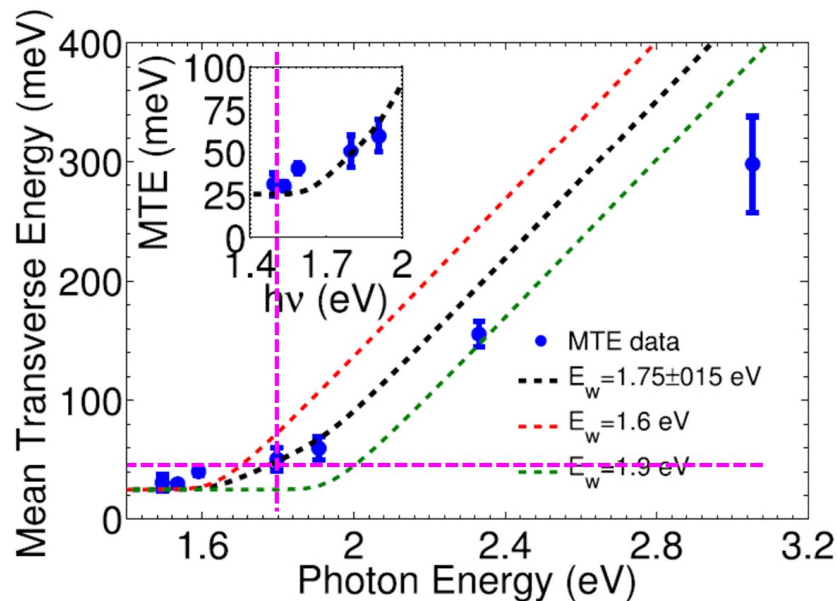
- A large contribution to emittance comes from the photocathode
 - **Problem is, very few new photocathodes have been discovered in the last 50 years**
 - Intrinsic emittance $\varepsilon_{\text{int}} < 0.3 \mu\text{m/mm} \rightarrow \varepsilon_n < 0.1 \mu\text{m}$ at 100 pC, 1 mm, 100 MeV
 - QE sufficient to generate 100 pC, expected to be $> 0.1\%$ to minimize multiphoton effects
 - Visible or IR wavelength (500-700 nm) operation for spatial laser shaping
- Desired \rightarrow
- Temporal response time $< 3 \text{ ps}$ for longitudinal phase space manipulation
 - Longitudinal energy spread $< 10^{-3}$
 - $1/e$ QE lifetime $>$ the lesser of 60 Coulomb or 1 week of operation at 1 MHz
 - $< 1 \text{ nA}$ dark current when operated at 25 MV/m



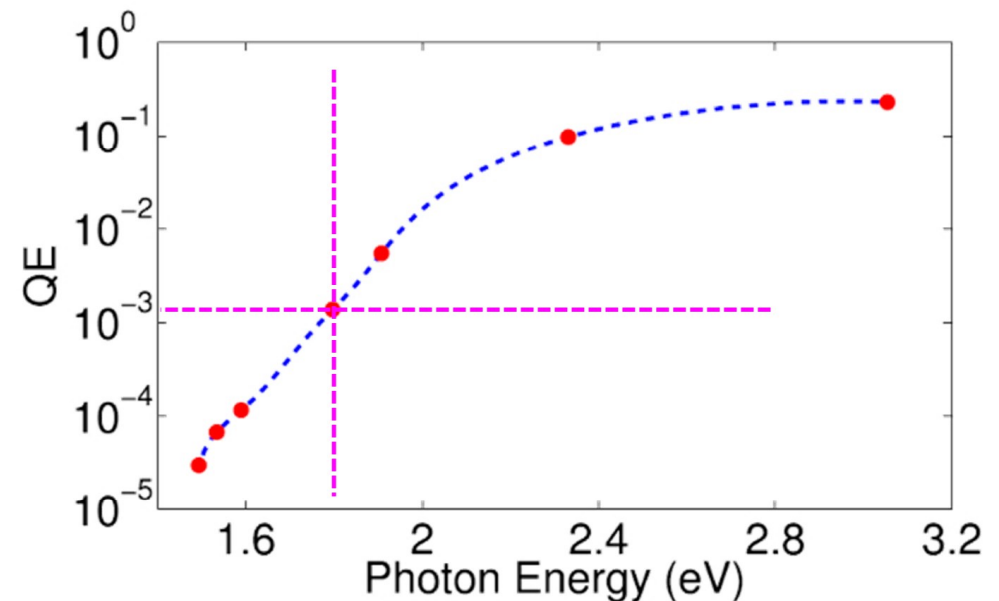
	Cs ₂ Te	K ₂ CsSb	units
Required Vacuum	$< 10^{-9}$	$< 10^{-10}$	Torr
Laser Wavelength	263	526	nm
Initial QE	≥ 10	≤ 8	%
Intrinsic Emittance	0.7-0.8	0.60	$\mu\text{m/mm}$
1/e Lifetime	17		days
Service Life (10% to 0.5%)	50		days
Storage Life (suitcase)	∞	> 30	days

S20 Photocathode → Simultaneous 0.3 μm , 0.1% QE

- “S20” photocathode = Cs_3Sb on Na_2KSb → **best known candidate ???**
- Demonstrated 0.3 $\mu\text{m}/\text{mm}$ intrinsic emittance (50 meV) at 690 nm and 300 K while maintaining a QE > 0.1%
- K_2CsSb has also had success in the BNL SRF gun; many relevant details to discuss in this case though...



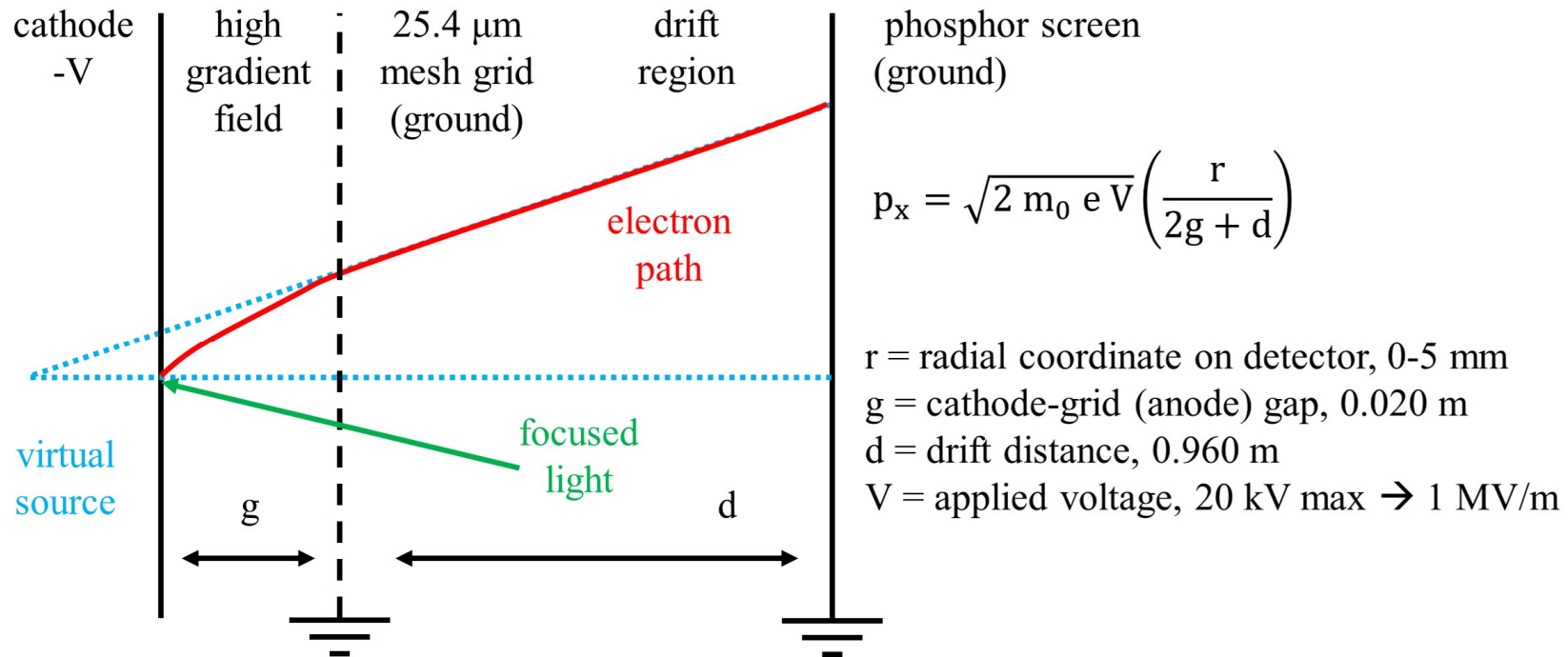
Cultrera et al. APL 108, 134105 (2016)



Only credible strategy at present for reducing intrinsic emittance (*in my opinion*):

- Use high QE semiconductor photocathodes
- Reduce surface roughness and increase chemical uniformity by optimizing epitaxial growth methods
- Operate as near the threshold for emission and at as low a temperature as multiphoton effects allow
- Hope secondary electron yield doesn't generate too much dark current

Momentatron: Transverse Momentum Measurement System



Normalized emittance $\epsilon_{nx} = \left(\frac{1}{m_0 c} \right) \sqrt{\langle x^2 \rangle \langle p_x^2 \rangle - \langle x p_x \rangle^2}$

$$\epsilon_{nx} = \sqrt{\langle x^2 \rangle} \sqrt{\frac{2 V}{(2g + d)^2} \left(\frac{e}{m_0 c^2} \right)} \sqrt{\langle r^2 \rangle}$$

Intrinsic emittance $\epsilon_{ix} \left[\frac{\mu\text{m}}{\text{mm}} \right] \equiv \frac{\epsilon_{nx}}{x_{\text{rms}}} \approx 0.063 \sqrt{V[\text{kV}]} r_{\text{rms}}[\text{mm}]$

Cryogenic Momentatron being Commissioned at SLAC

- Characterize the performance of LCLS-II photocathodes at 300K prior to operational use
- Study general photocathode properties at temperatures between 300K and 4K
- Provide data that is essential to facilitate future theoretical model developments
e.g. the inclusion of phonons, carriers, physisorption of gas, etc.

F2225-21PGF

Hamamatsu Microchannel Plate (MCP)

two stage, 10^6 gain

12 μm channel diameter

80-100 μm resolution

P43 phosphor

(545 nm peak, 1 ms decay)

NKT Supercontinuum Laser

450-2400 nm

SuperK

Pulse Length: < 2 ns

COMPACT

Rep Rate: 1 Hz - 20 kHz

VARIA

400-840 nm

UV Extend

350-480 nm

DUV Extend

265-345 nm

Photocathode Plug

Focused Laser

Mesh Grid

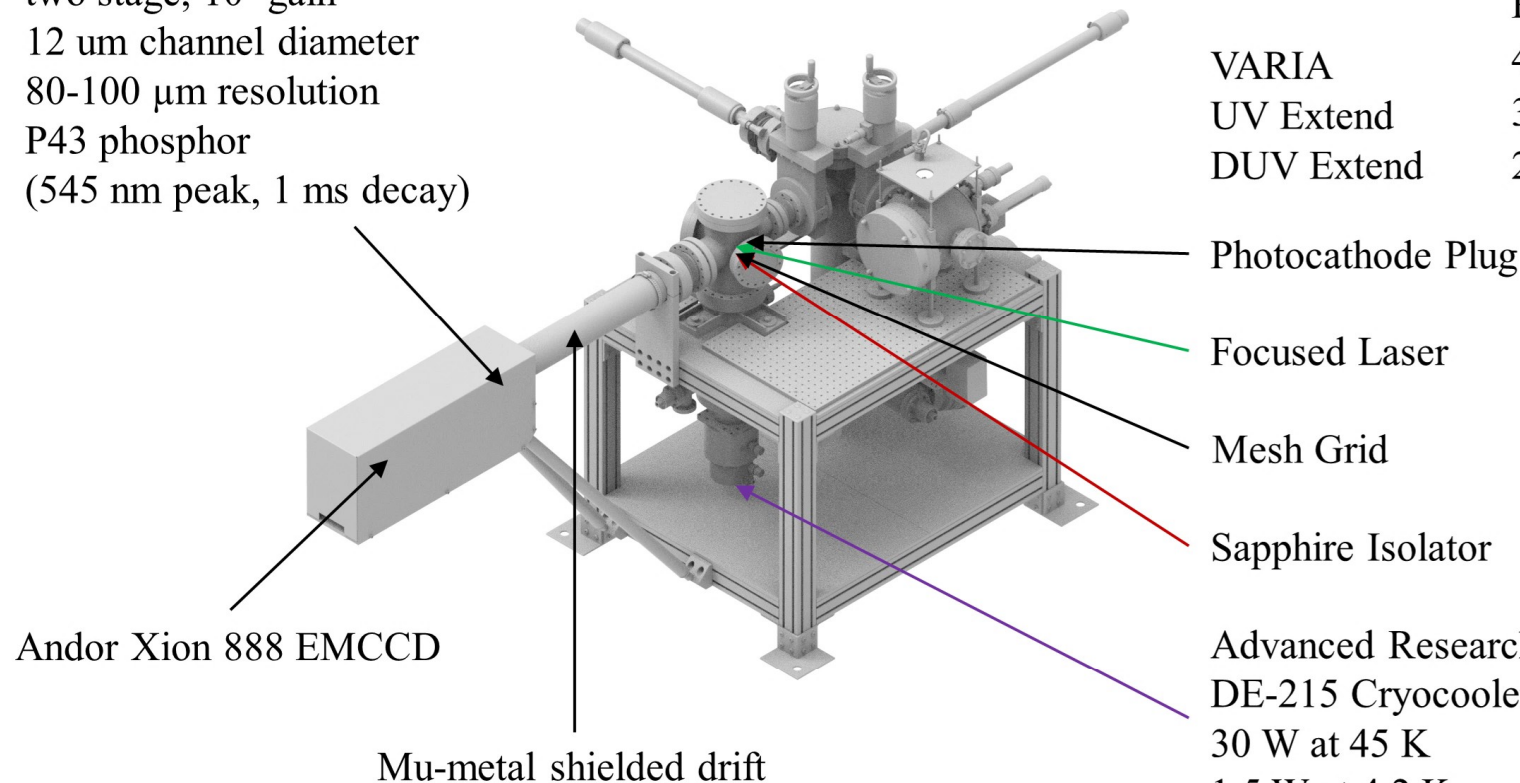
Sapphire Isolator

Advanced Research Systems

DE-215 Cryocooler

30 W at 45 K

1.5 W at 4.2 K



US DOE ARDAP Accelerator Stewardship Effort

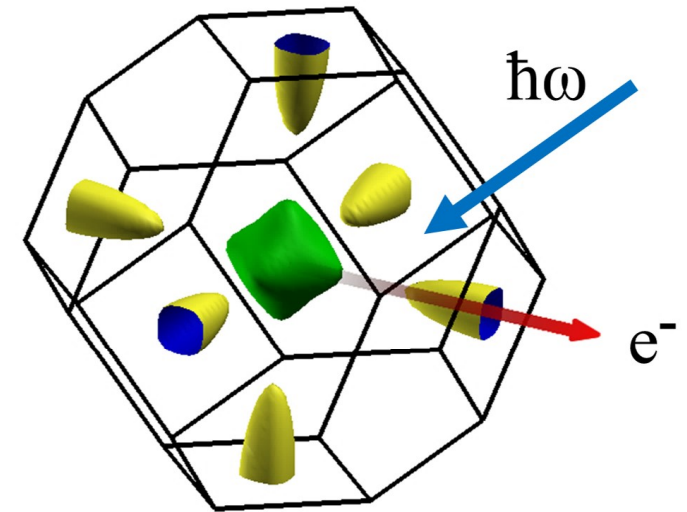
From Theory to Practical High-Brightness Photocathodes
W. Andreas Schroeder (UIC) + SLAC

Objectives

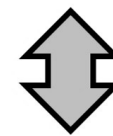
- Use photoemission modeling based on *ab initio* band structure calculations to find an appropriate single-crystal material predicted to have $< 0.2 \mu\text{m/mm}$ intrinsic emittance at 300 K
- Demonstrate $< 0.3 \mu\text{m/mm}$ intrinsic emittance and a $\text{QE} > 0.1\%$ using the operational test facility at the University of Illinois at Chicago (UIC)

Current and future work

- Experimental studies single-crystal (-----) photocathodes
- *Ab initio* theoretical and experimental studies of (-----) photocathodes



Ab initio
Theory



$\text{MTE}(\hbar\omega)$
 $\text{QE}(\hbar\omega)$

US DOE BES Low Emittance Photoinjector Effort

Multi-lab/multi-university collaboration to determine the best photocathode to reach $0.3 \mu\text{m}/\text{mm}$ or less intrinsic emittance with a QE of 0.1% or better for use in the LCLS-II HE low emittance photoinjector

Materials Selection: All

1. Identify candidates

Conventional: Cs_3Sb

More exotic: $\text{Cs}_3\text{Sb}:\text{Na}_2\text{KSb}$

Novel: Na_2O

others (-----)

RF Studies: UCLA and LBNL

7. Produce photocathodes

Evaluating options now:

1) SLAC using either an existing or a new system

2) RMD commercial sealed capsule approach

8. Transfer photocathodes amongst collaboration

* UCLA and LBNL accept INFN style plugs

9. Measure dark current generation using existing systems that are capable of reaching $> 20 \text{ MV}/\text{m}$

10. Assess the importance of physical and/or chemical roughness

DC Studies: SLAC, ASU and Cornell

2. Measure the temperature and wavelength dependence of QE and intrinsic emittance using existing systems (Cornell and ASU) that are capable of reaching a few MV/m Cross check for consistency and reproducibility

3. Measure temporal response

4. Assess the importance of multi-photon effects (high laser power required for low QE)

5. SLAC DC high gradient field emission tests $15 \text{ MV}/\text{m}$ achievable w/ LEEM

$30 \text{ MV}/\text{m}$ (300V over $10\mu\text{m}$) w/ STM or nanoprobe

6. ASU is also looking into a modified INFN plug with removable tip to increase substrate options and to improve compatibility w/ surface science systems

Summary

- The LCLS-II photoinjector has been successfully commissioned
 - 2018-2020: 1 MeV Measured 1 $\mu\text{m}/\text{mm}$ of intrinsic emittance
 - July–August 2022: 100 MeV Measured $\sim 0.5 \mu\text{m}$ emittance w/ 50 pC, already close to design
 - New collimator blocks 95% of dark current generated by the VHF gun
- Three Cs_2Te photocathodes have been used during photoinjector commissioning
 - The 1st one operated for 500 hours and damage was observed near the center
 - The 2nd one operated for 200 hours with similar but less pronounced results
 - The 3rd one has operated for 400 hrs and there has been no obvious damage observed so far
- Ion back-bombardment did not cause the damage observed in the first two LCLS-II photocathodes.
Other options: e-beam or laser?
- The LCLS-II deposition system and transport suitcases have been successfully commissioned
- A cryogenic momentatron system will be commissioned at SLAC over the next year
- SLAC is engaged in BES & ARDAP funded collaborations aiming for significant reductions in intrinsic emittance. Goal is to achieve $\leq 0.3 \mu\text{m}/\text{mm}$ with $\geq 0.1\%$ QE

Thank you very much for your attention!