



The KM3NeT data acquisition system

Status and evolution

Tommaso Chiarusi*

Emidio Giorgio, Daniele Zito

On behalf of the KM3NeT Collaboration



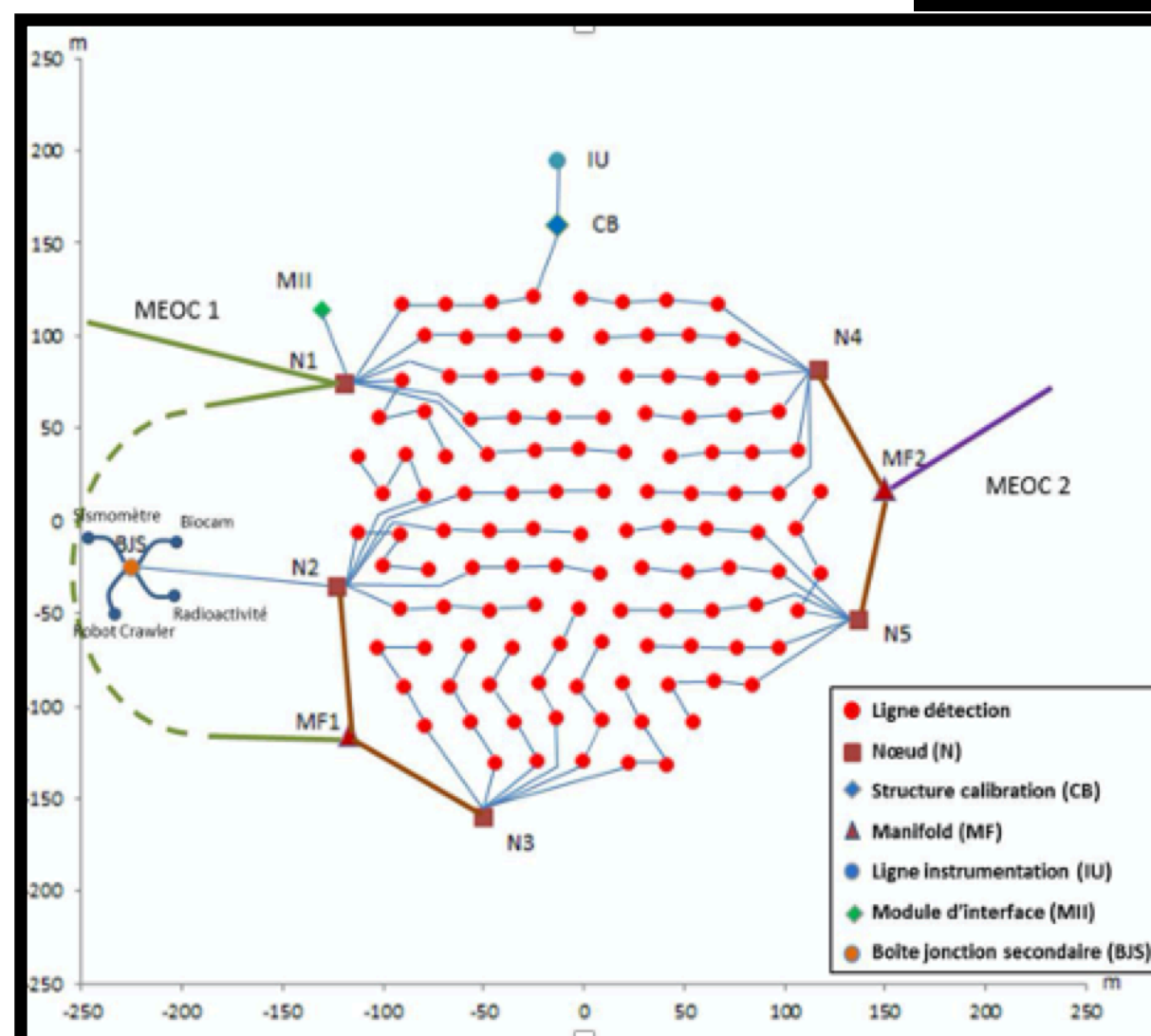
Sezione di Bologna

Laboratori Nazionali del Sud

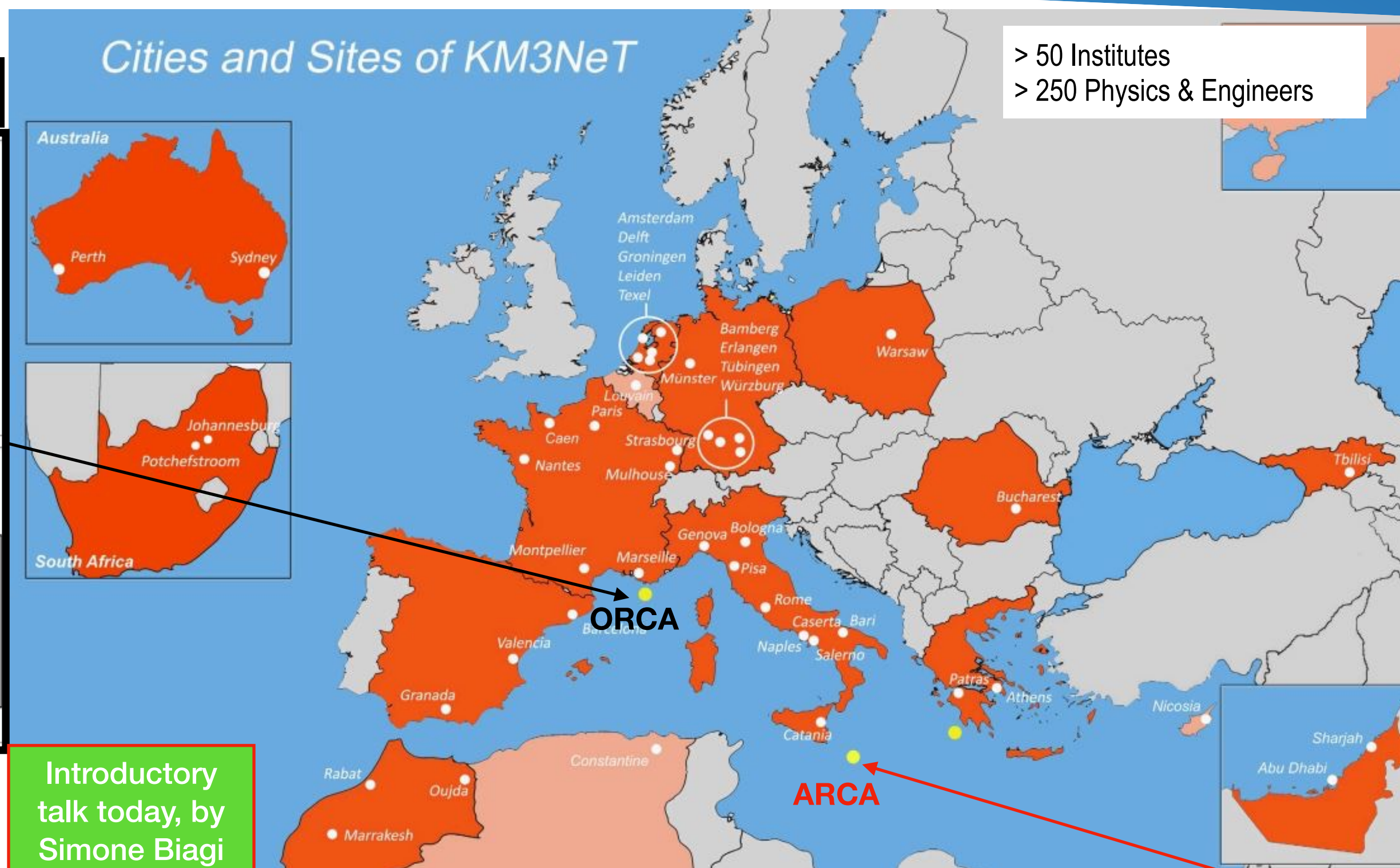




ORCA



Two detectors, same technology, different layout and physics objectives

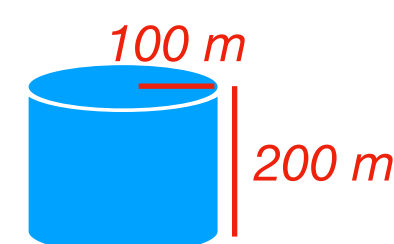


Introductory talk today, by Simone Biagi

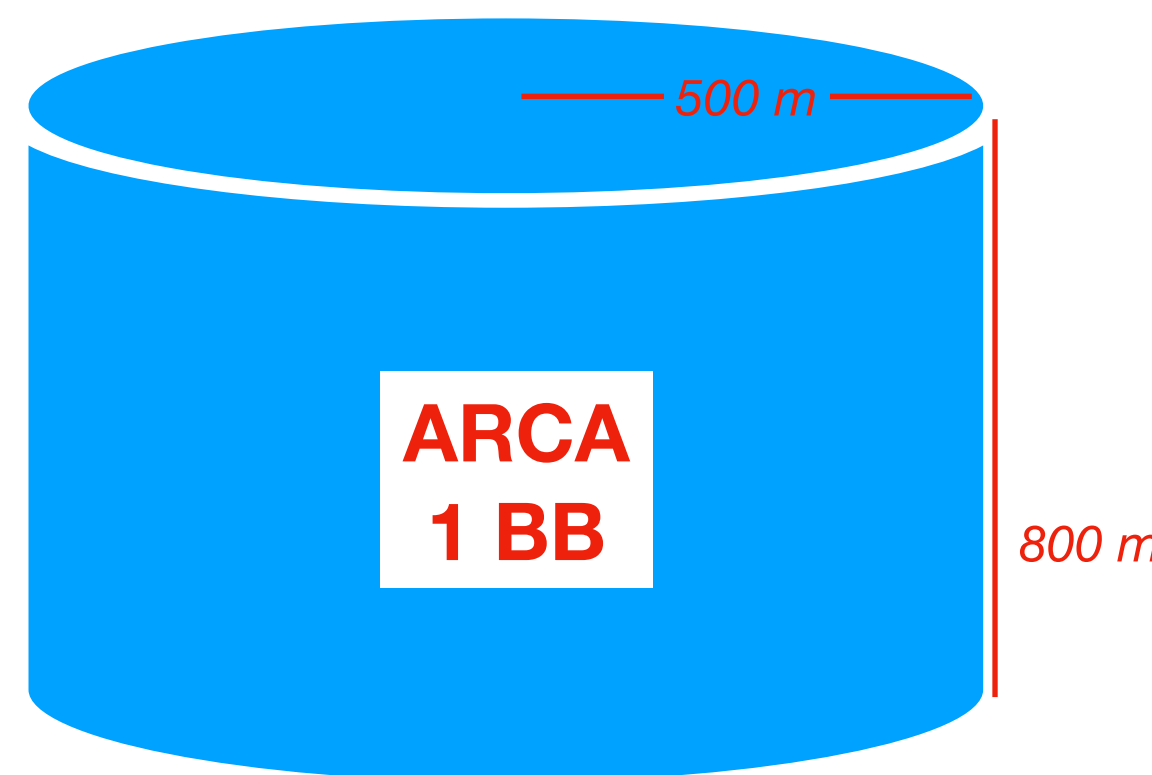
See Simone Biagi's talk

	ARCA	ORCA
Location	Italy	France
N. building blocks	2	1
N. DU per b.b.	115	115
DU distance	90 m	20 m
DOM spacing	36 m	9 m
DU height	~ 800 m	~ 200 m
Instrumented mass (Mton)	2*650	7
Depth	3500 m	2500 m

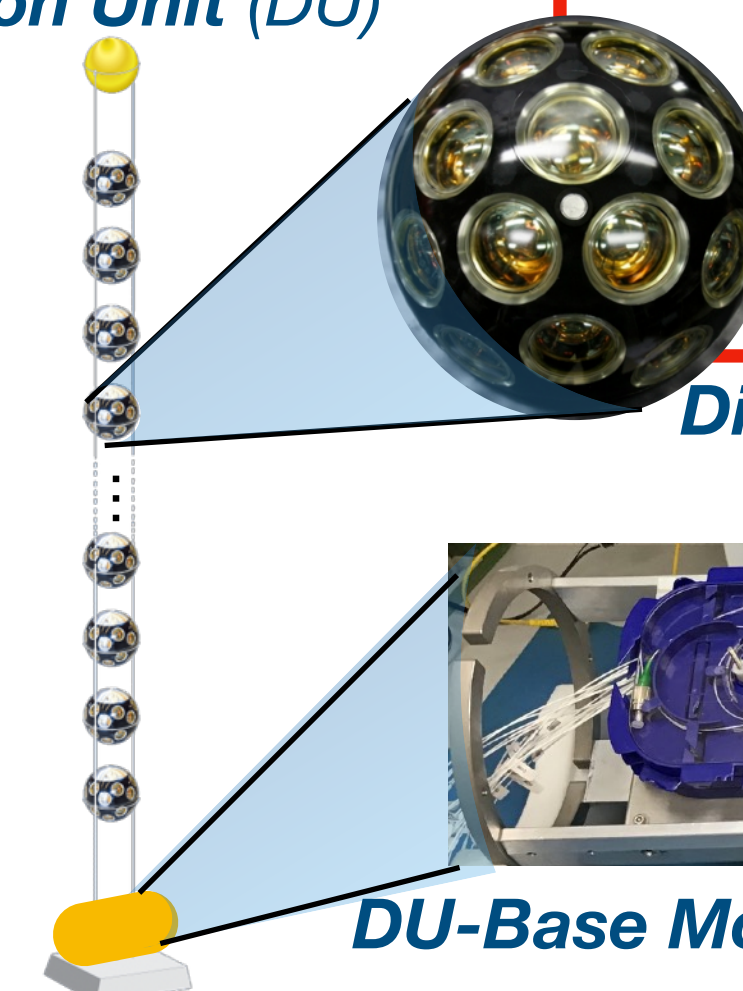
ORCA



ARCA
1 BB

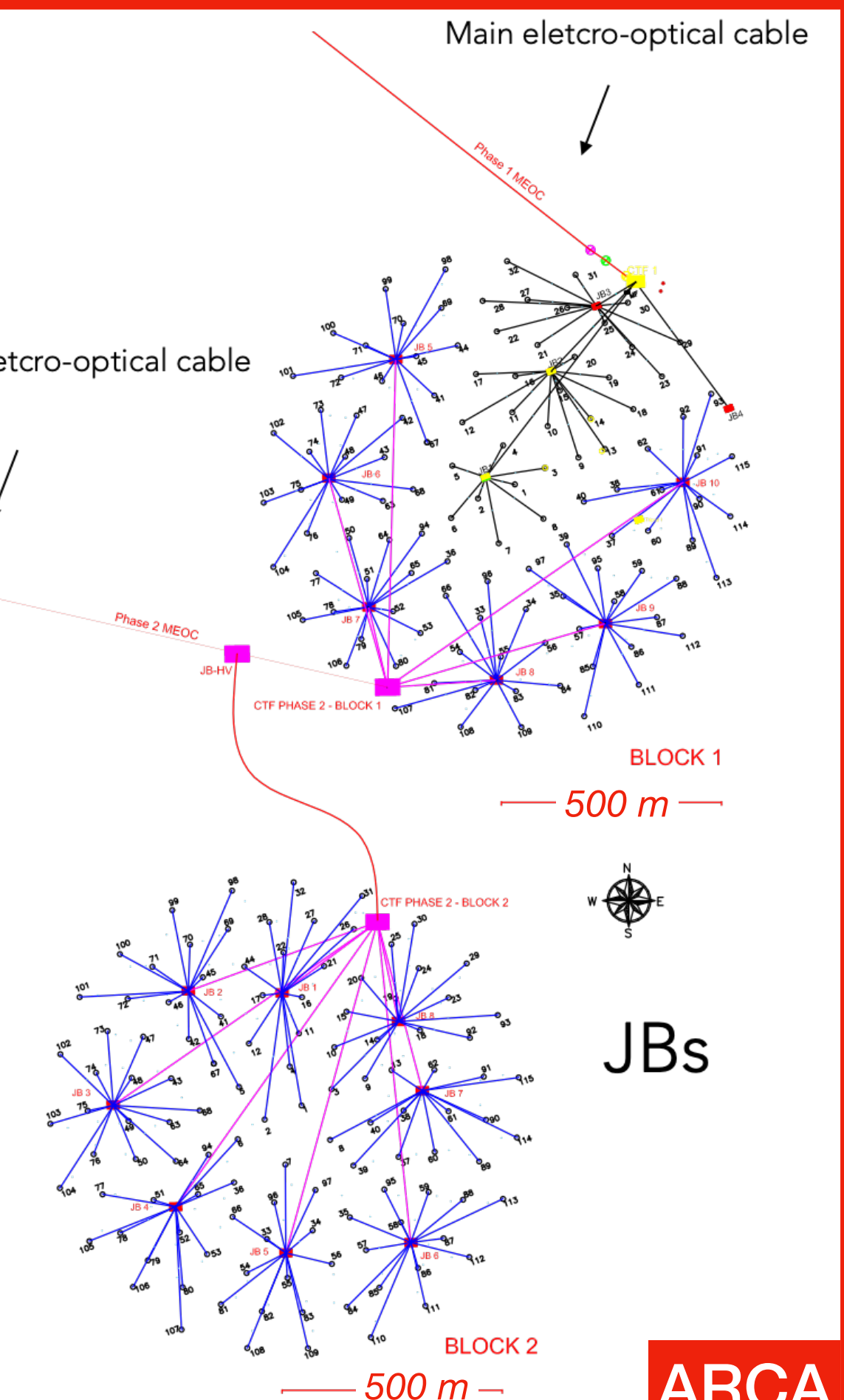


Detection Unit (DU)



Digital Optical Module (DOM) - 31 PMT
1 piezo

DU-Base Module (DU-BM) - Instruments - no PMT



- ⊙ big volumes
- ⊙ water optical properties (absorption & scattering of blue-green photons ~ 50-100 m)
- ⊙ good angular resolution $O(.1^\circ)$ for sky pointing (that's neutrino ASTRONOMY)

⇒ Many detection elements (N. OMs $> O(1000)/\text{km}^3$) deployed in bunches

⇒ **SCALABLE DAQ design**

- ⊙ No “beam crossing” reference such as for experiments at Colliders
- ⊙ complex DAQ structures in extreme conditions (mandatory: minimal underwater complexity)

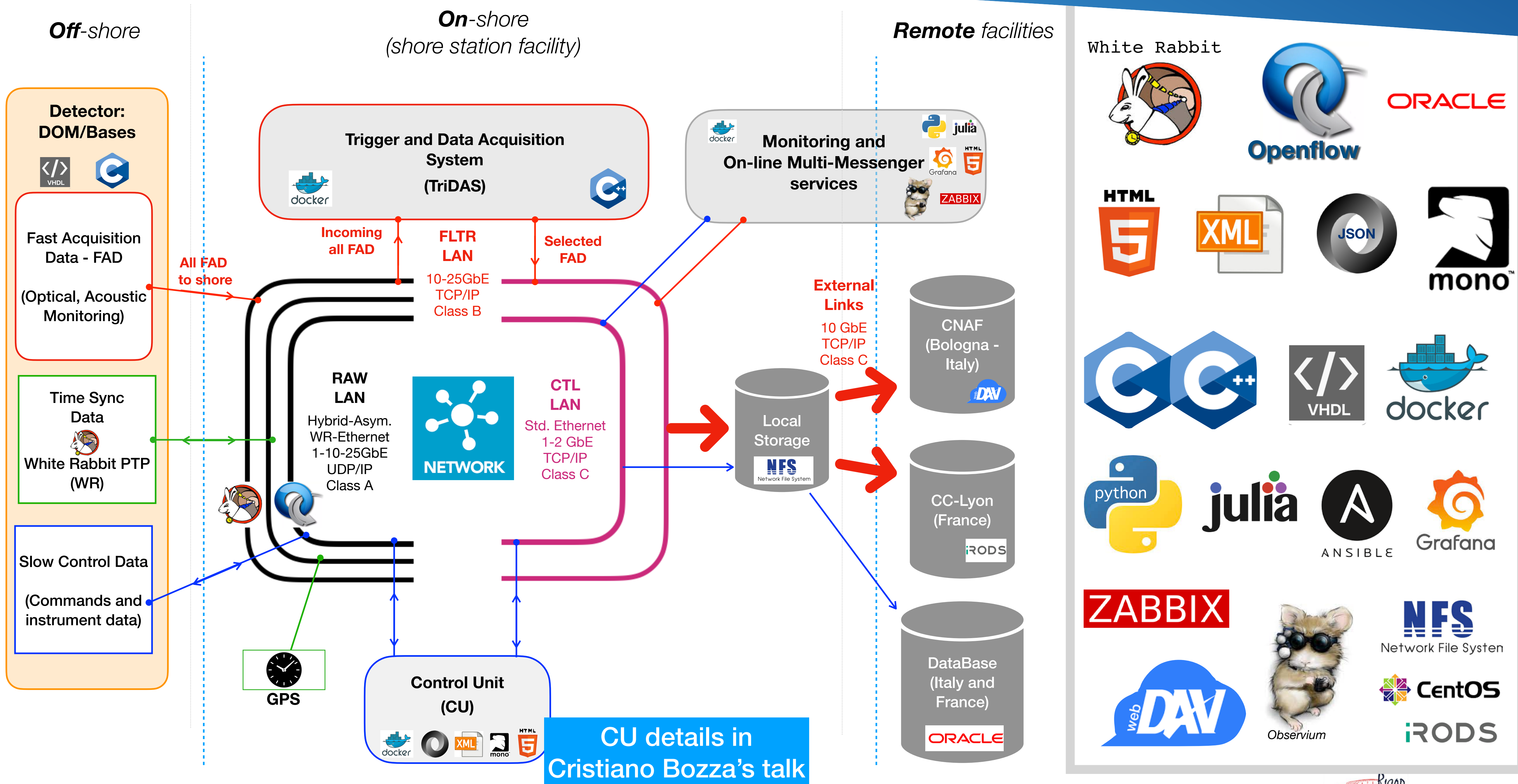
⇒ **ALL DATA TO SHORE (a.k.a. *trigger-less streaming readout*) approach**

DRAWBACKS

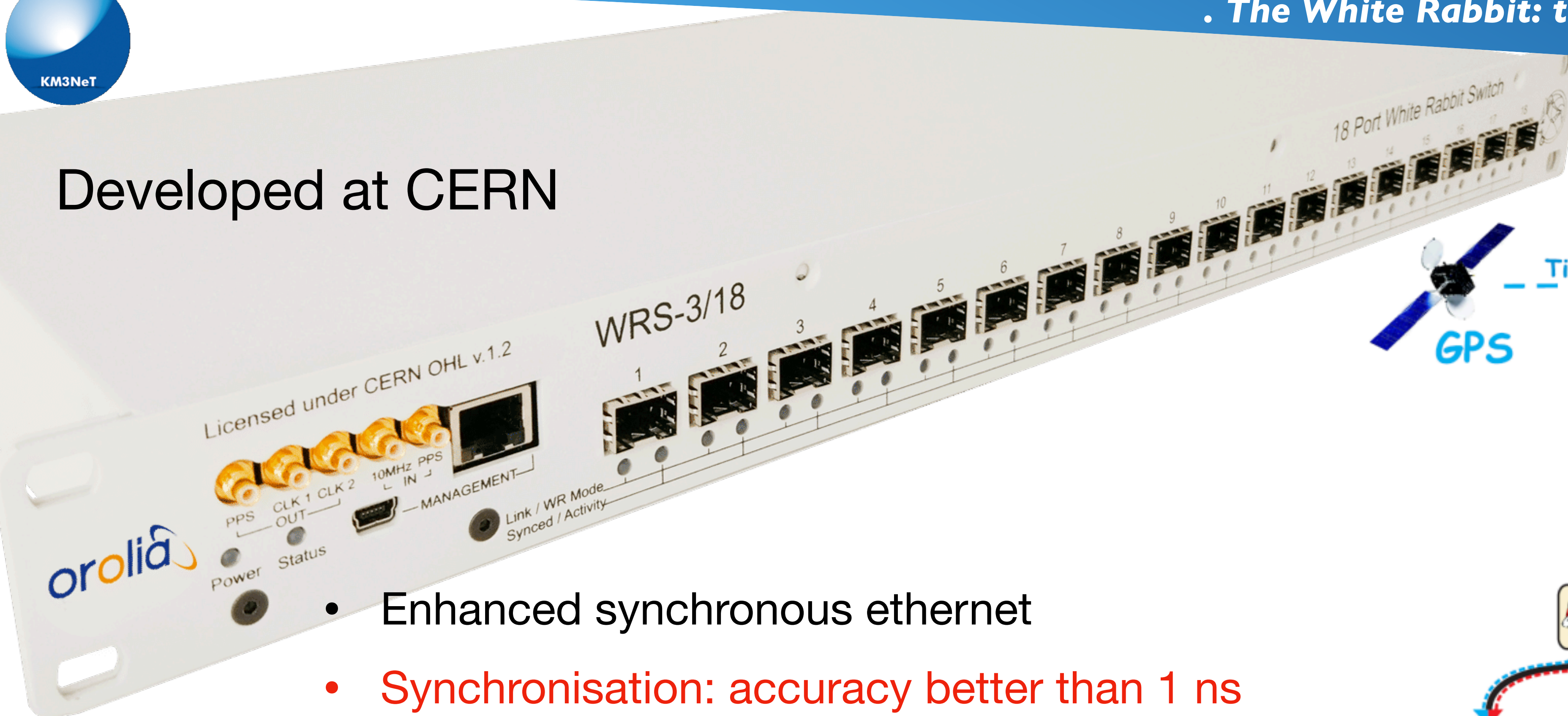
signal-to-noise ratio extremely disfavoured :

muon rate (atmospheric dominating)	: $O(100) \text{ Hz}/\text{km}^3$
^{40}K decays (~constant)	: $O(10) \text{ kHz}/\text{PMT}(3'', 0.5 \text{ p.e. threshold})$
Bioluminescence (occasional)	: $O(100) \text{ kHz}/\text{PMT}(3'', 0.5 \text{ p.e. threshold})$

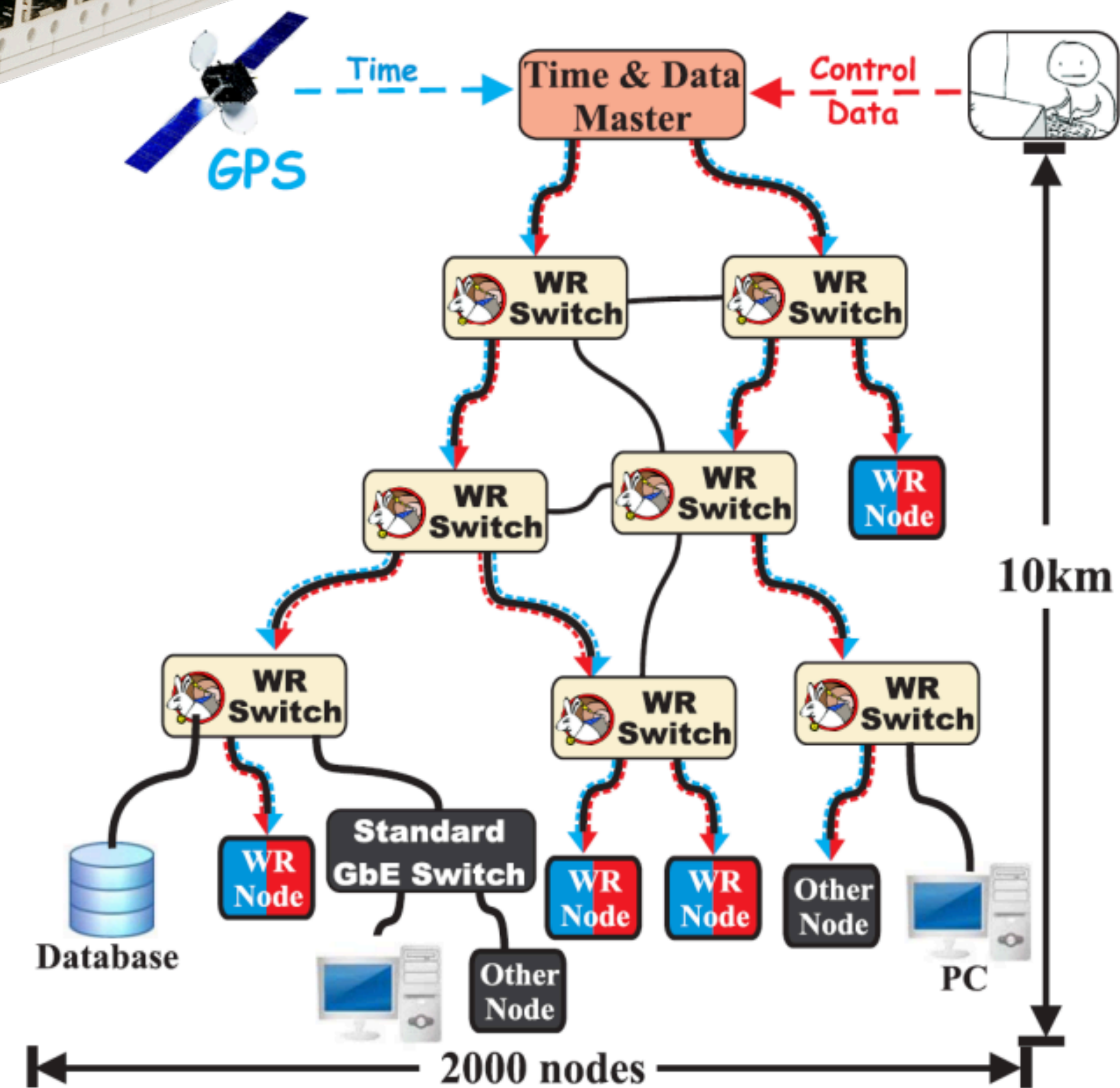
⇒ High continuous throughput to shore, needed **large bandwidth switching infrastructure and a strong data reduction**



Developed at CERN



- Enhanced synchronous ethernet
- Synchronisation: accuracy better than 1 ns precision (tens of ps stdev skew max)
- Deterministic reliable and low latency control-data delivery



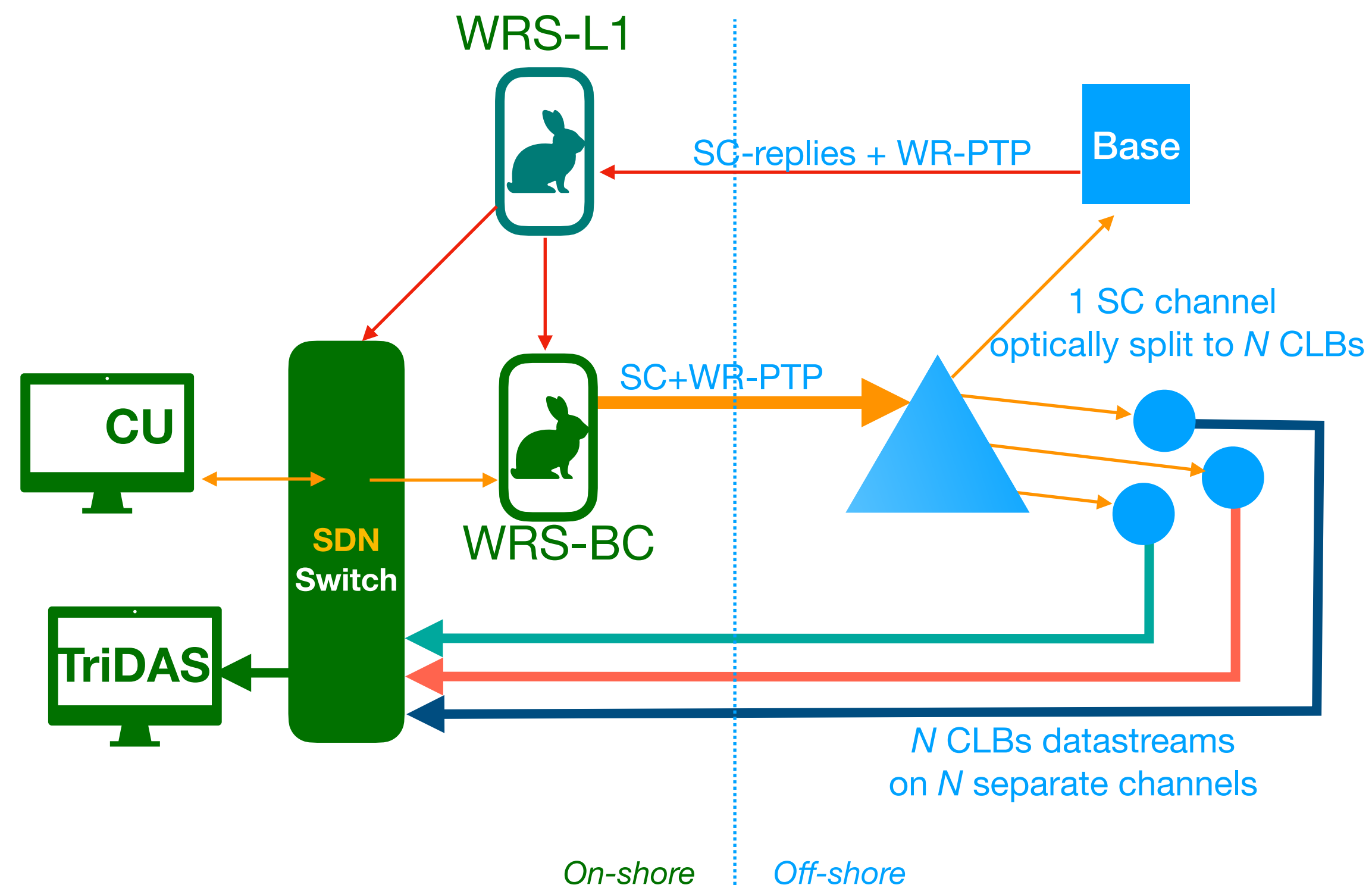
Currently used releases in KM3NeT DAQ:

Hardware: **WRS-18p-hw-v3.4**

Firmware: WR-Core **v4.2** (customised by Seven Solutions for KM3NeT); **v5.0.1**

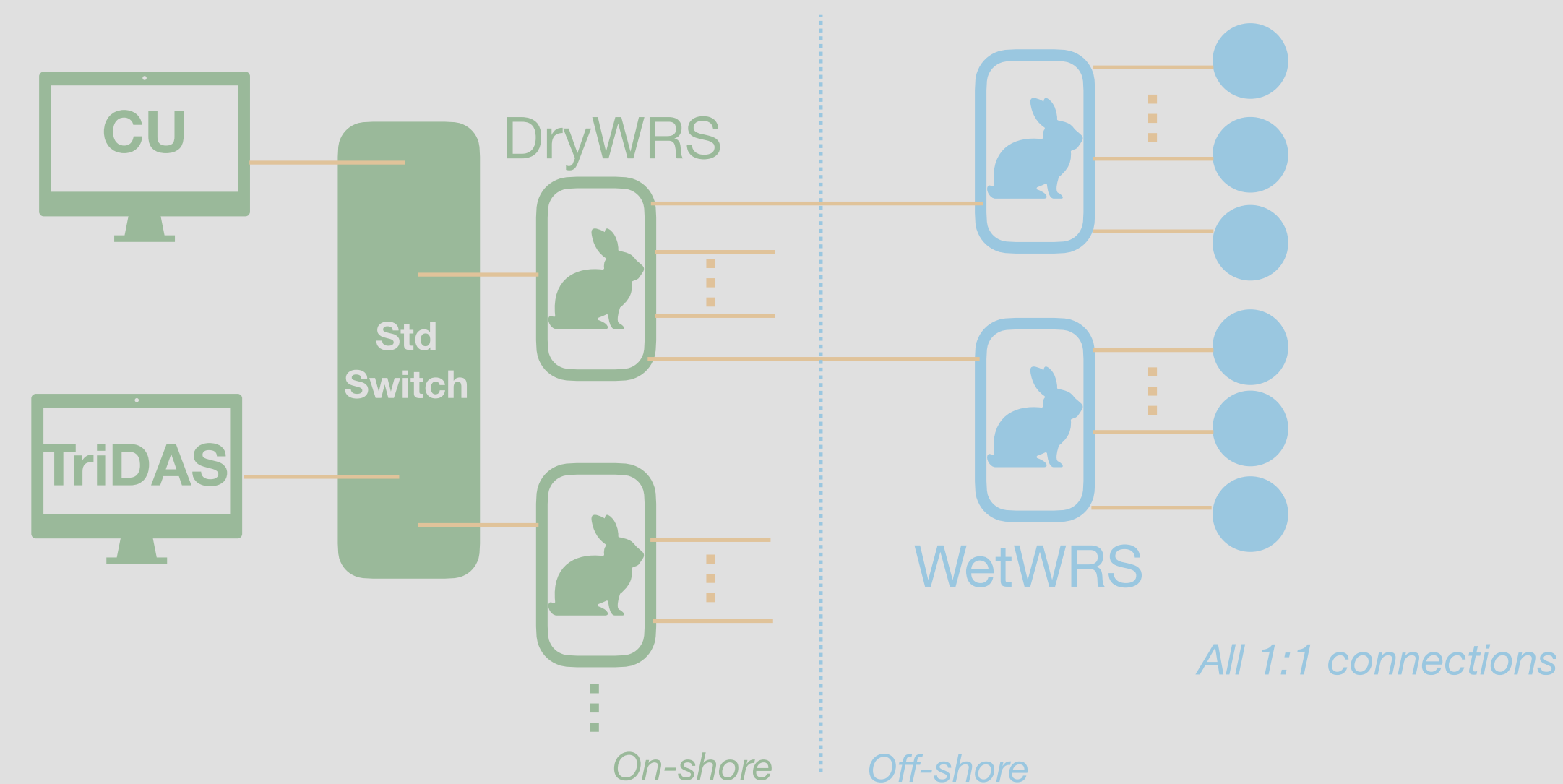
Ongoing evaluation of **v6.x**

Broadcast (ARCA 32 strings; ORCA 48 strings *at least*)



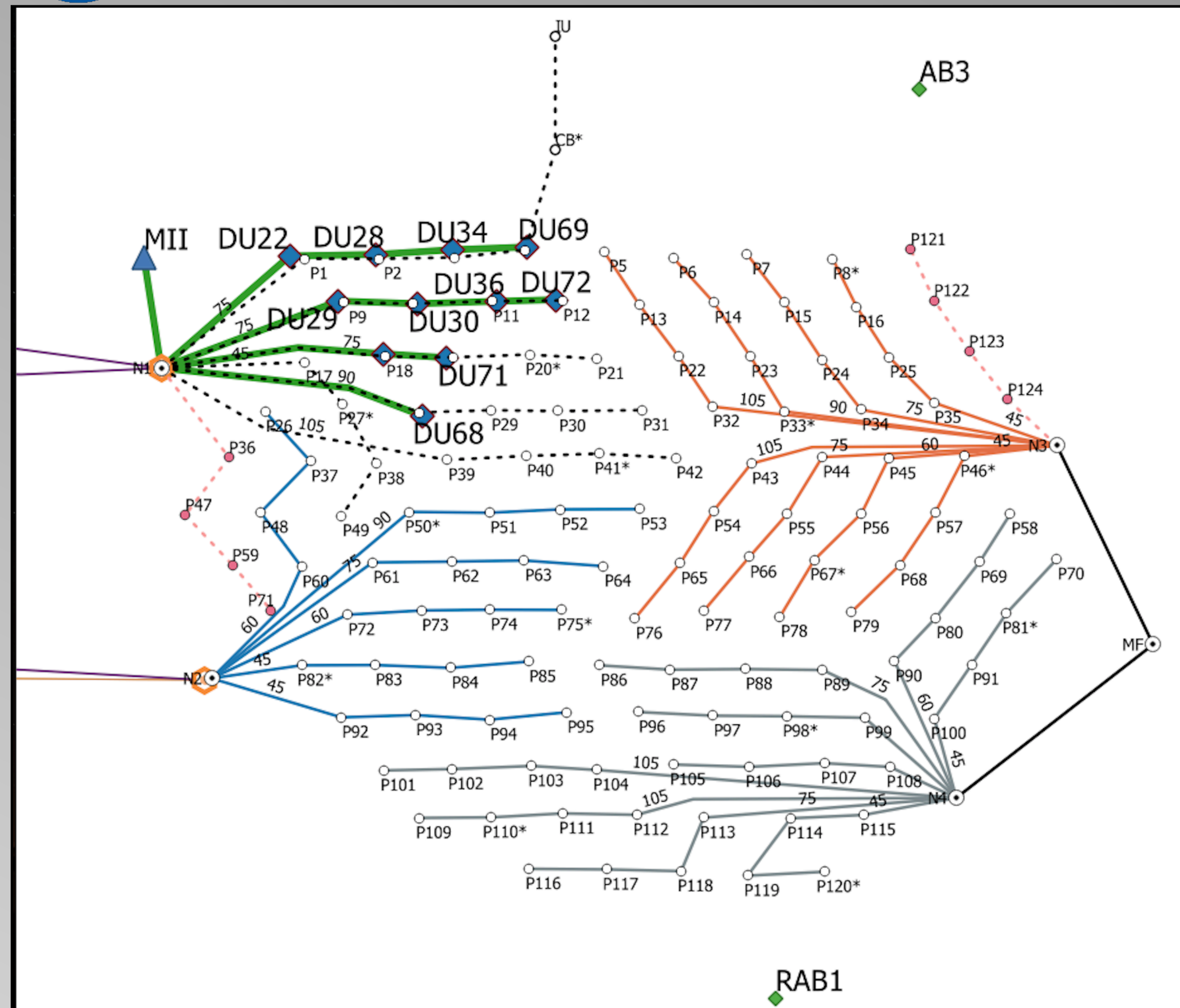
Current implementation in both ORCA/ARCA
(as well as other test-installations)

Full White Rabbit (necessary for ARCA 2 BB)



Future evolutions

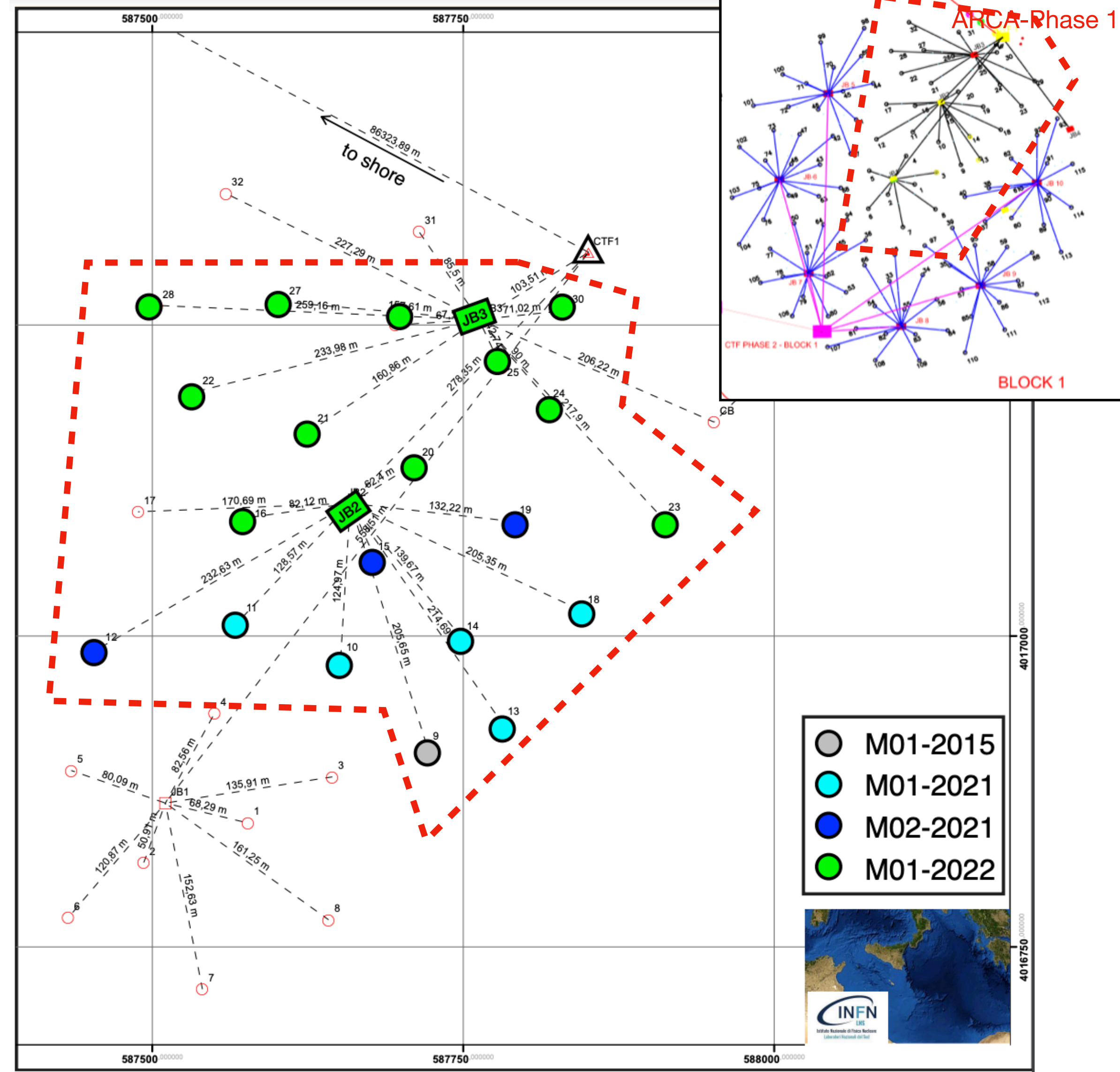
ORCA: 11 deployed DUs



In these days of September,
new deployments for both ARCA and ORCA.
More data sources soon!

. ARCA/ORCA current layout

ARCA: 20 deployed DUs



White Rabbit switch sector

Optical sector
(mux/demux/amplifier)

DOM Front End
Switch sectors

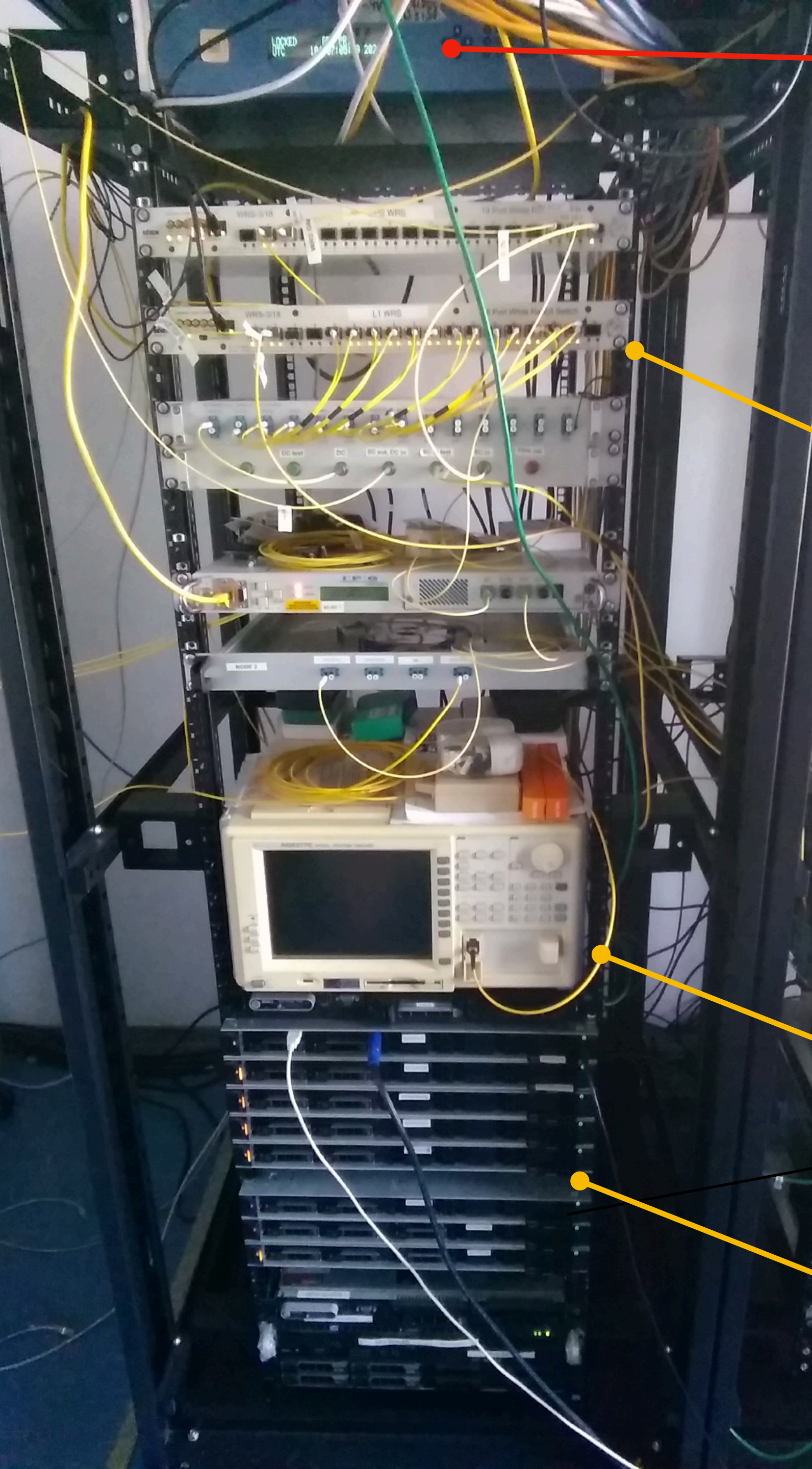
JB3 rack
(12 DUs)

JB1 rack
(8 DUs)

JB2 rack
(12 DUs)

ARCA shore station





GPS

SCSF and SCBD
SDN switch fabric

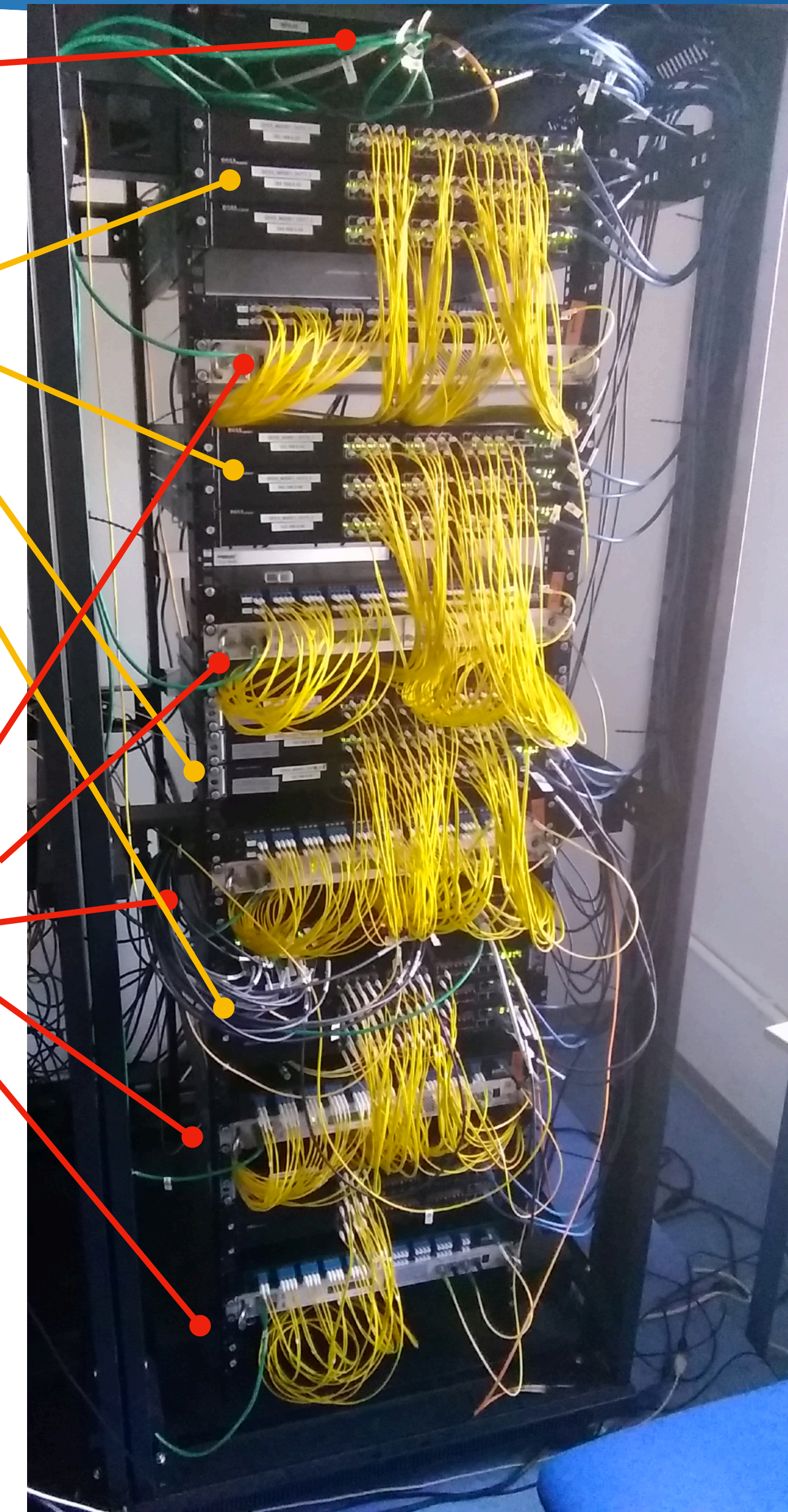
DOM Front-end Sectors

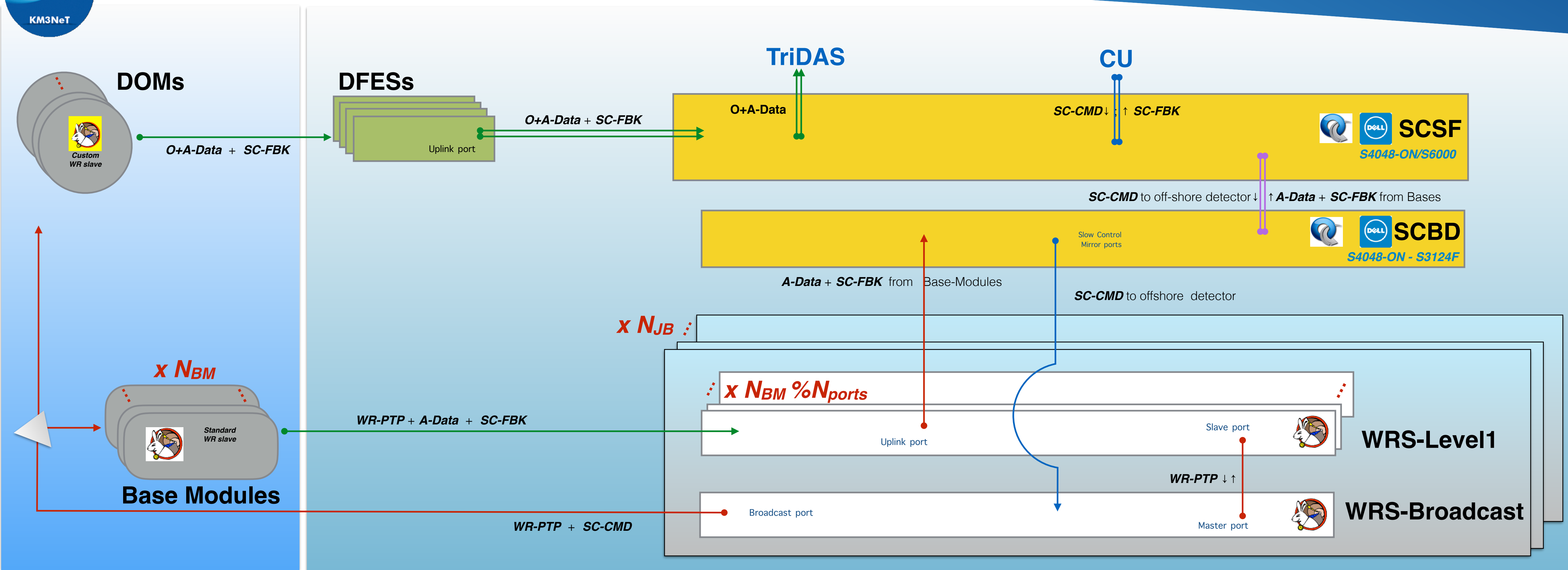
White Rabbit fabric

Demultiplexing stages for the
incoming optical signals

OSA (optical spectral analysis)

Computing servers





The **Broadcast WRS customisation for KM3NeT** differs from the standard point-to-point (Master-Slave) WR protocol connections.

The **Software Defined Networking** (SDN) solves the scalability issue of this asymmetric scenario with a hybrid shore-station in the Broadcast scenario.

CLB FIRMWARE ARCHITECTURE

Two LM32 cores

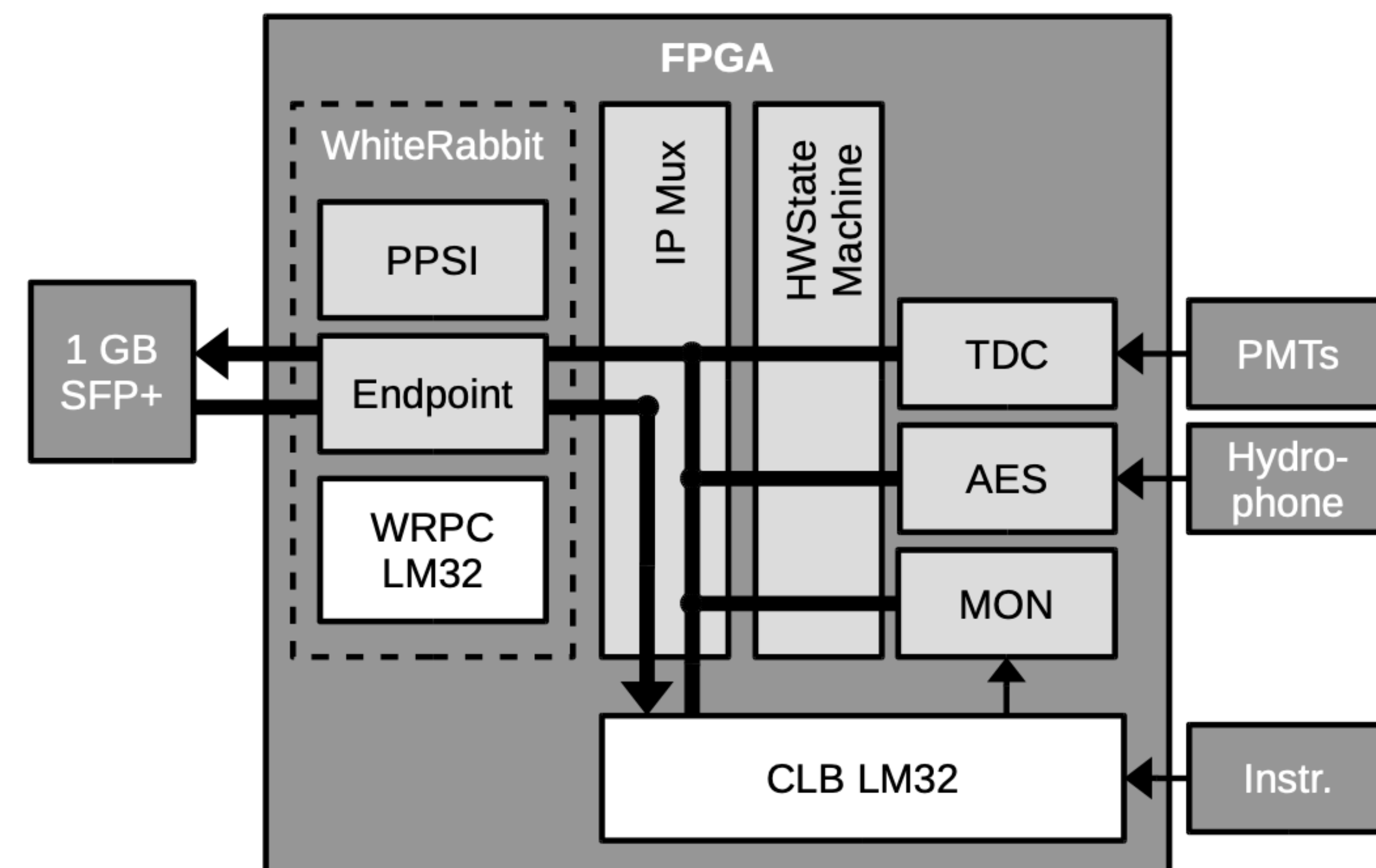
- WhiteRabbit LM32 for timing control
- KM3NeT CLB for DAQ control / instrumentation readout

Three DAQ modules

- Time to Digital Converter (TDC) – from Photo Multiplier Tubes (PMTs)
- AES-standard receiver - from Hydrophone
- MONitoring, for performance information

Network path

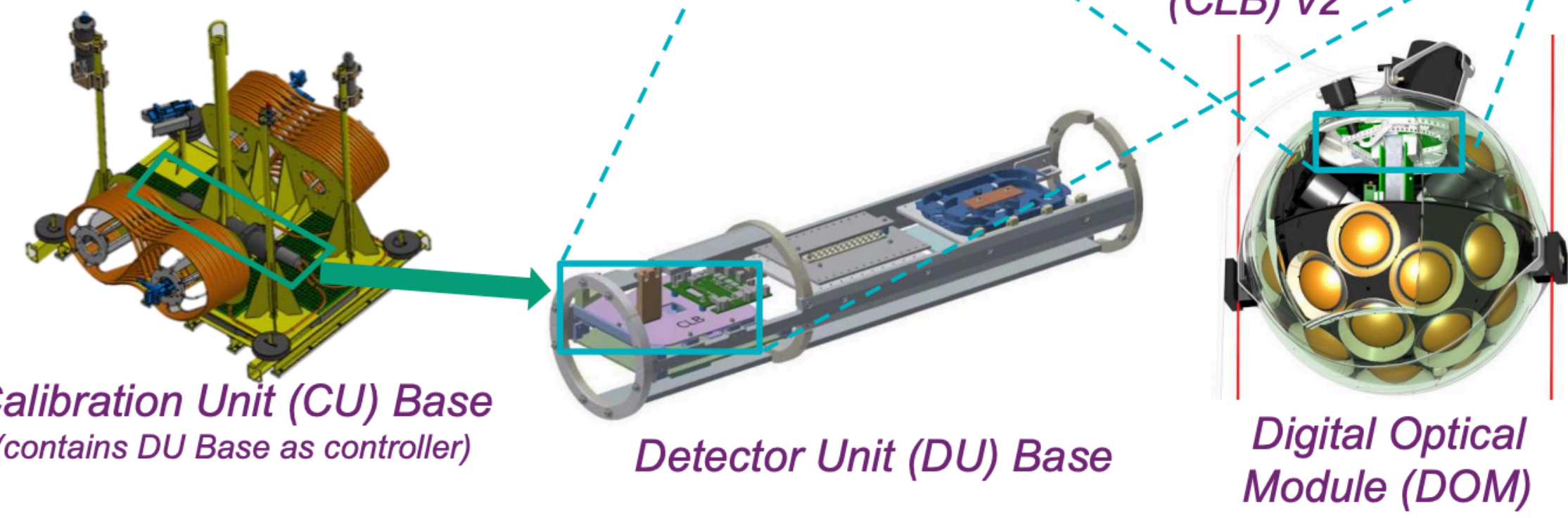
- WhiteRabbit is used for timing and intercepts and transmits timing related Ethernet packets. The remaining data is sent over IPMux to the CLB LM32
- DAQ modules generate data, subsequently annotated and framed by the HWStateMachine, wrapped as UDP packets and dispatched by the IPMux



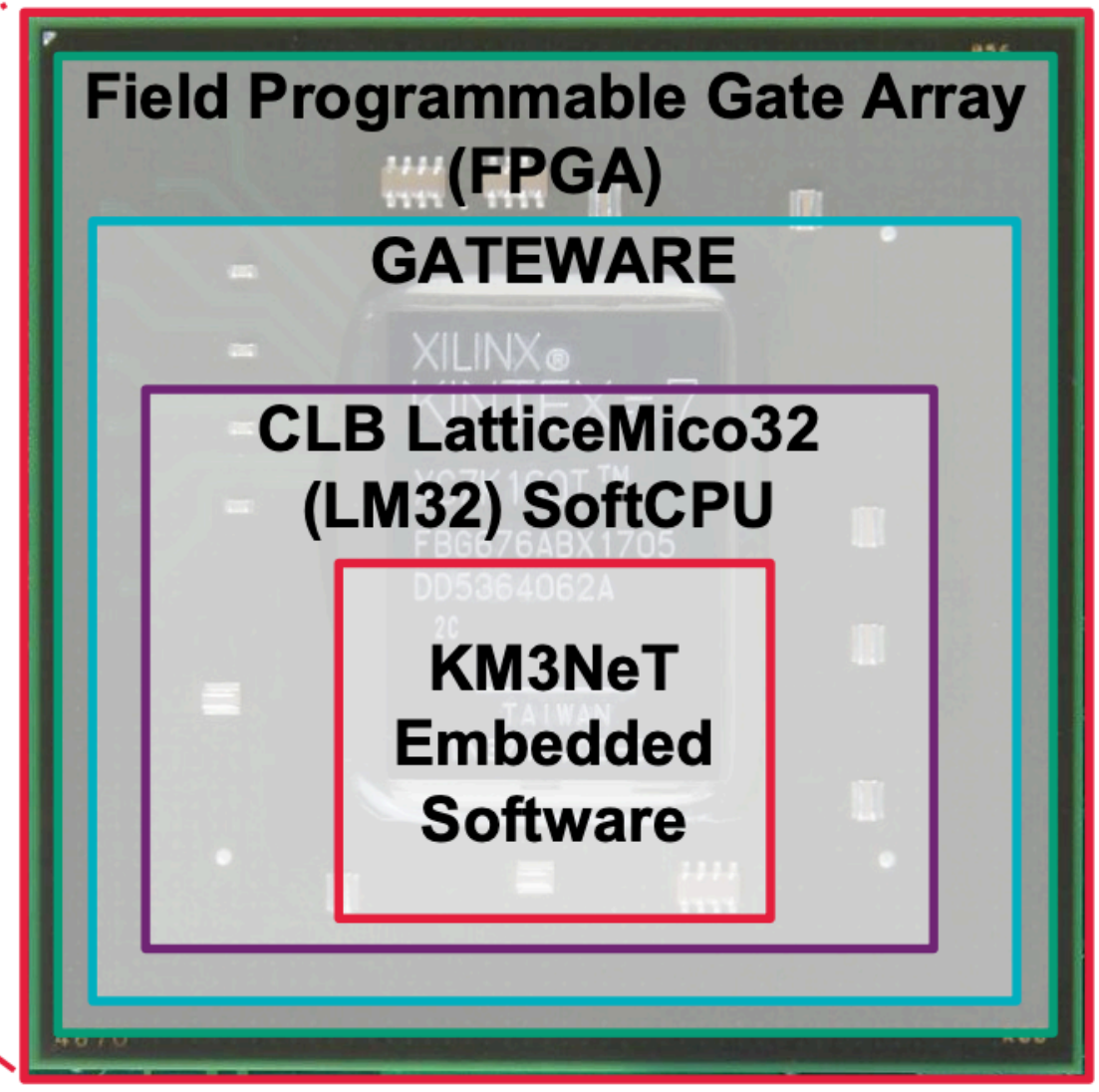
High-level diagram of CLB gateway and network data-path

EMBEDDED SOFTWARE IN KM3NET DETECTOR

Three different detector modules feature a Central Logic Board (CLB) running the KM3NeT Embedded Software inside a SoftCPU*



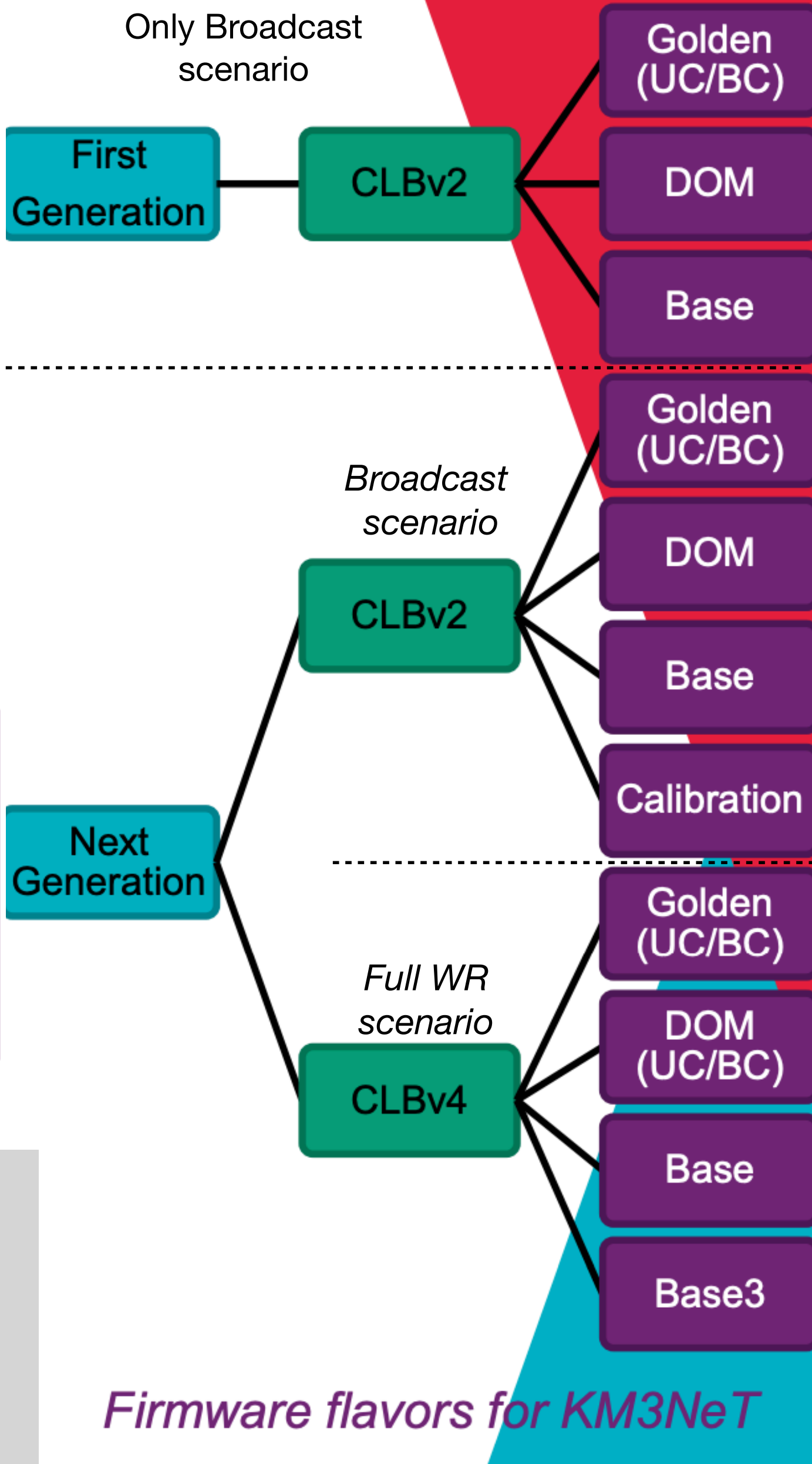
* A CPU coded in a hardware language, running on FPGA programmable logic



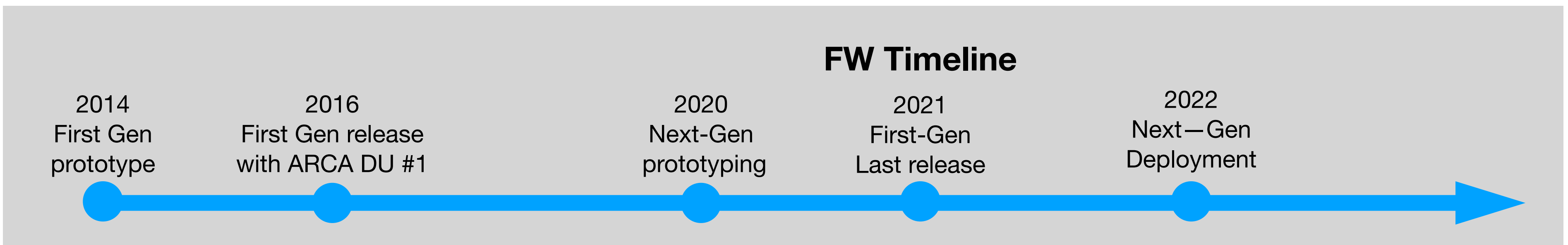
Xilinx Kintex 7 FPGA

Note on terminology used in this presentation:

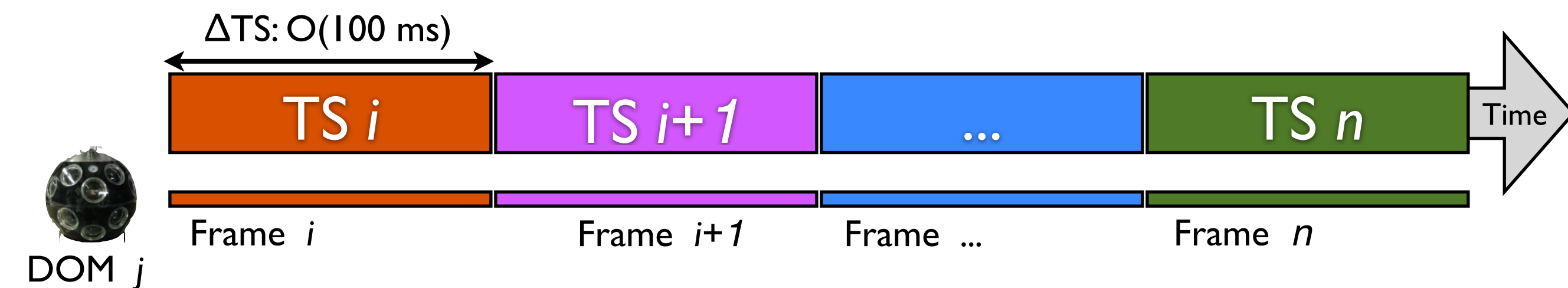
- Gateway: Programmable Logic running inside an FPGA
- Embedded Software: Instructions running on an Embedded Controller (CPU)
- Firmware: The combination of Gateway and Embedded Software



Firmware flavors for KM3NeT



- **Timeslice** (TS): it is the abstract subdivision of the continuity in the time-line of the experiment.
- **Frame**: it is the group of information of a certain flavour (TDC, AES, MON) occurred in a DOM during a TS.



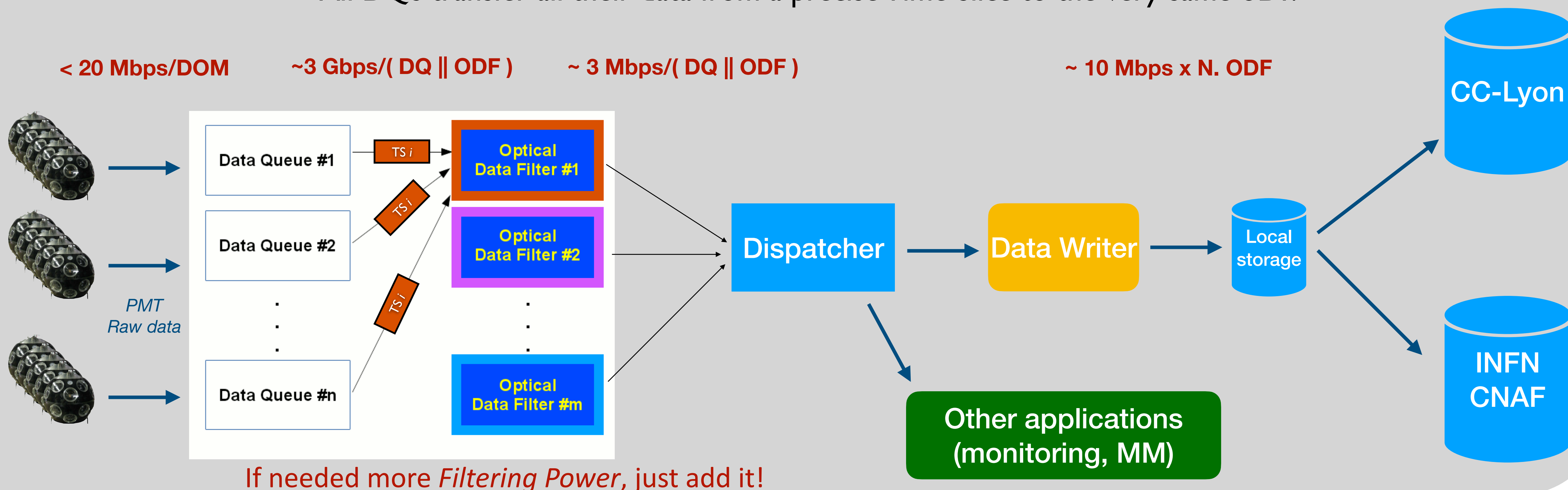
Distributing the computational load

- Each trigger algo applied to one full set of frames of one TS.
- Multiple TSs handled in parallel

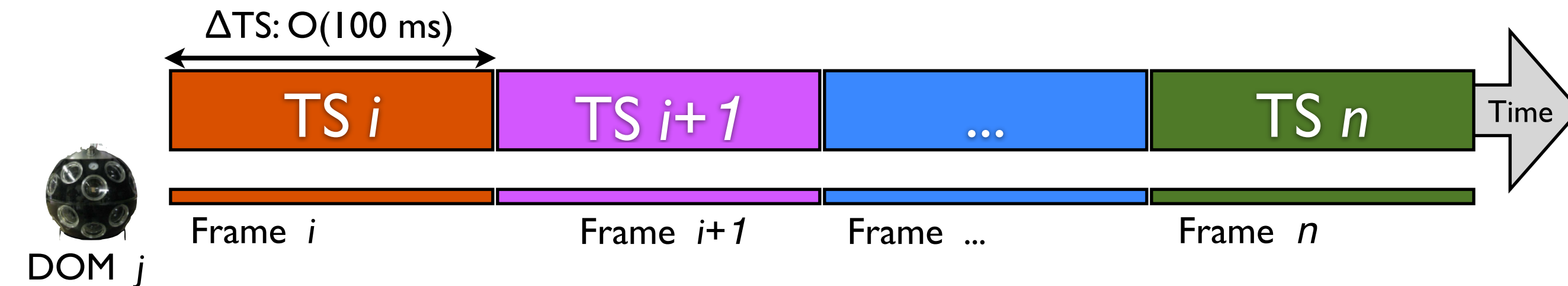
Optical World

A DQ collects data from a sector of DOMs and DU-BMs.

All DQs transfer all their data from a precise Time Slice to the very same oDF.



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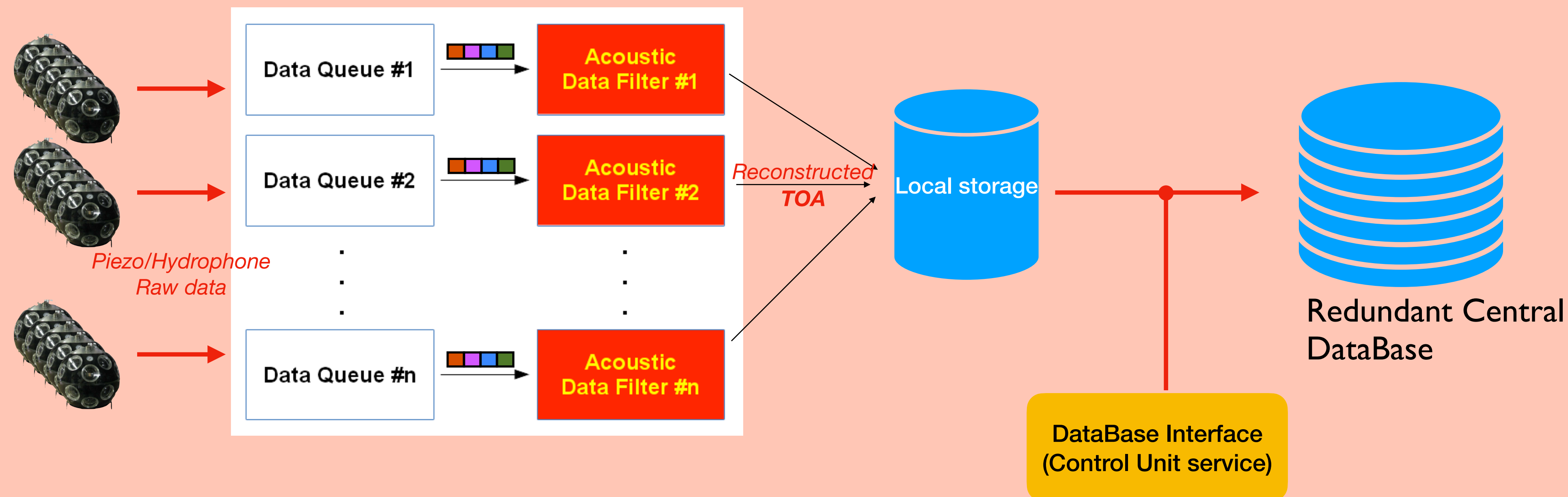


Distributing the computational load

- Each trigger algo applied to one full set of frames of one TS.
- Multiple TSs handled in parallel

Acoustic World

Acoustic data must be sent in a continuous stream, addressing all data from one DQ to a single Acoustic DF. Independent reconstruction of the *Time Of Arrival* (**TOA**) of acoustic signals from various beacons



Basic triggers

L0: all hits over threshold (i.e. all hits sent by the CLBs)

L1: pairs of hits of the same DOM within 25(10)ns.

L2-trigger level

Trigger settings passed to the Data Filters via the run setups by the Control Unit

Trigger algorithms developed within a large C++ software framework, *Jpp*. The same codes are used for the on-line DAQ as well as off-line analysis.

- **3D-Trigger** - general concept:

1. A minimum n. of **consecutive** L1s $\geq N_{th}$ within a ΔT (at least $n_{DOM} \geq 2$ or 5)

2. 3D-causality filter : $|t_i - t_j| \leq |\vec{x}_i - \vec{x}_j| \frac{n}{c} + T_{MaxExtra}$

3. The trigger is set if the n. of satisfying hits is $\geq N'_{th}$

- **3D-Muon/Shower**

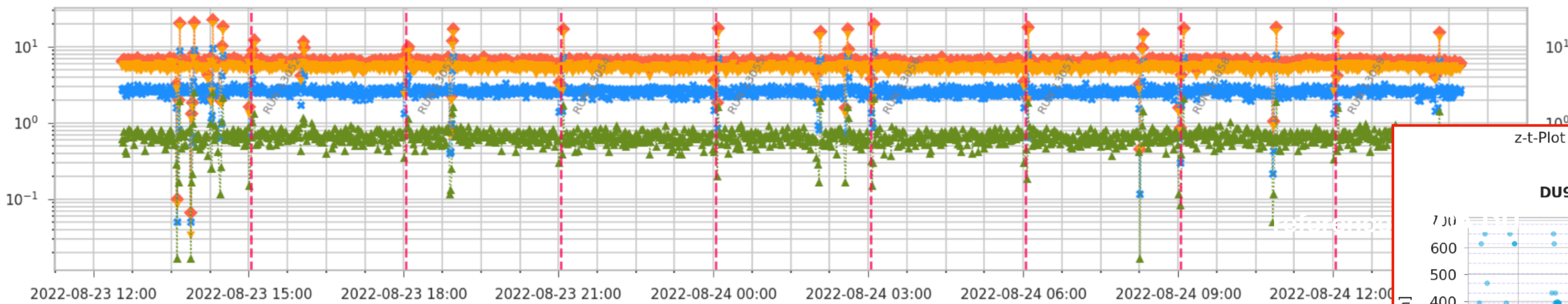
Assumes an extended track-like / short pulse shape for the event topology

- **MX-Shower**

Combines L0s and L1s within a limited space in the detector.

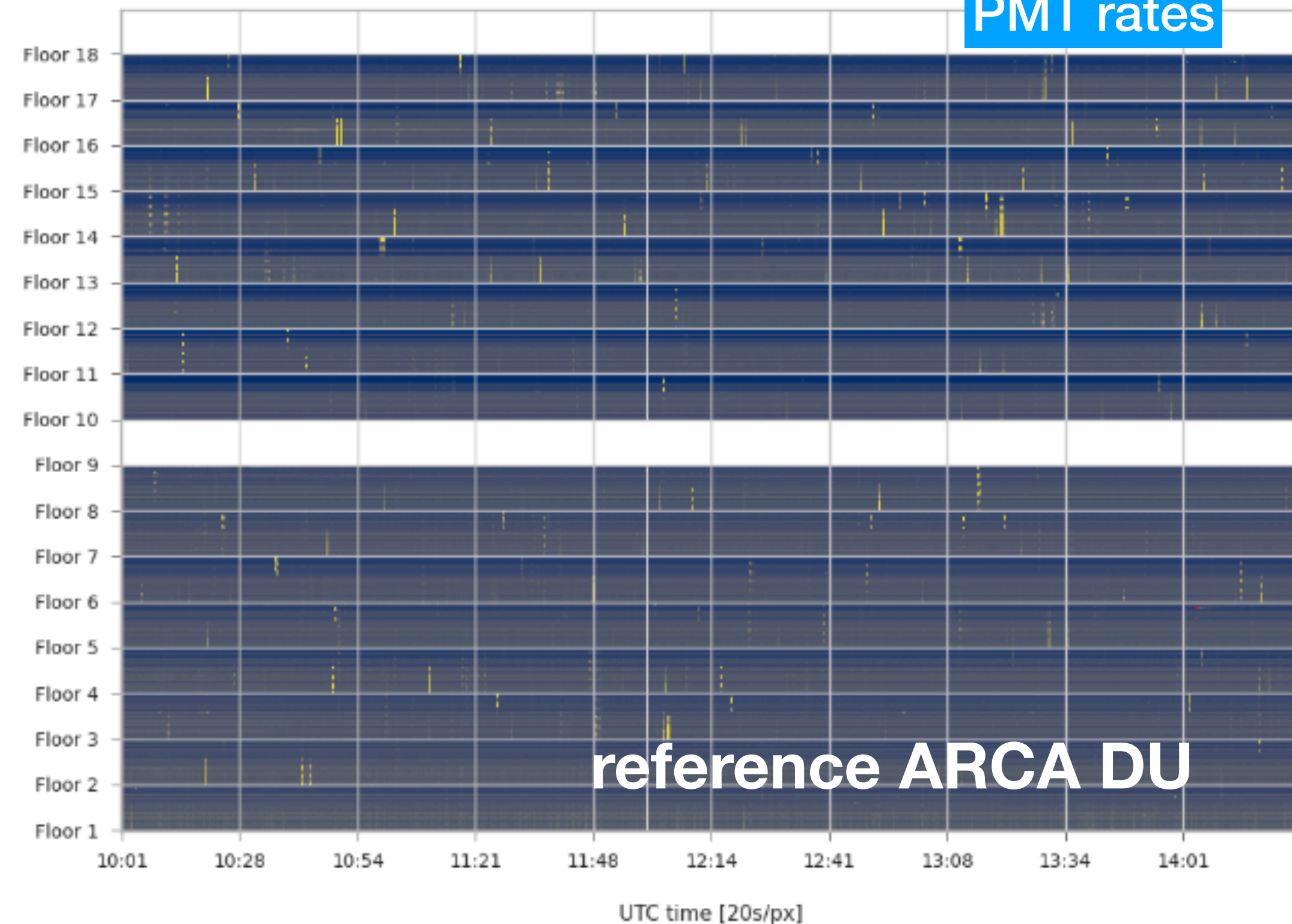
Trigger Rates for DetID-116
Wed Aug 24 14:28:04 2022 UTC

Trigger rate (Hz)



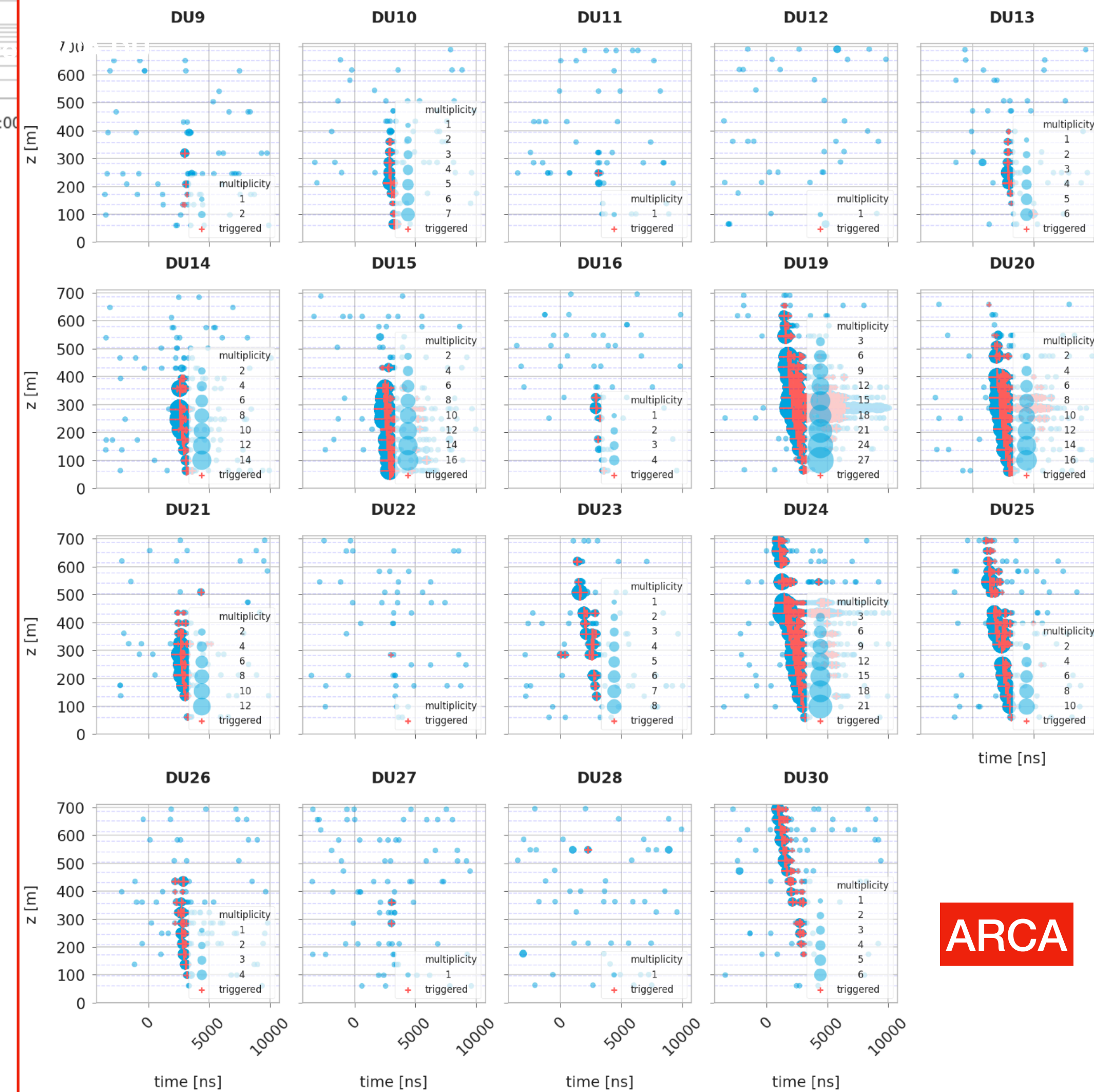
Mean PMT Rates for DetID-116 DU-9 - colours from 1.0kHz to 20.0kHz (HRV ratio threshold 0.85)
PMTs ordered from top to bottom - 2022-08-24 14:28:05.774812

PMT rates



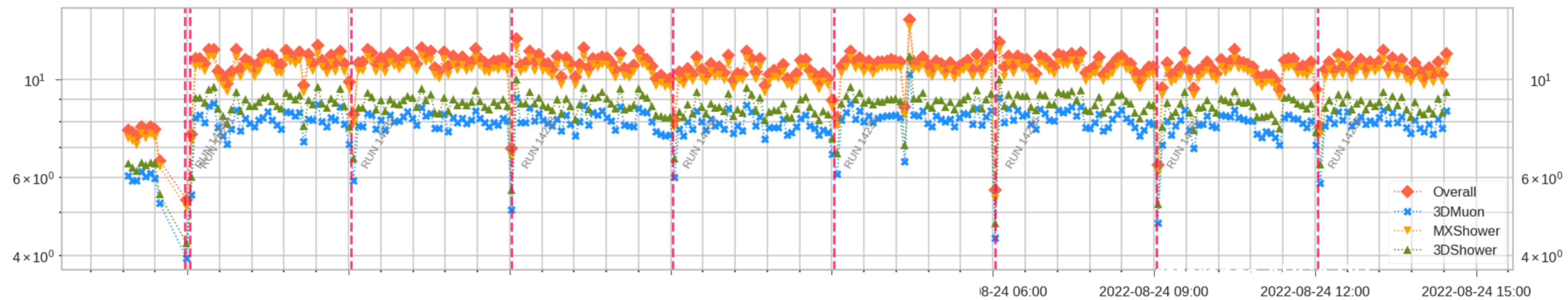
reference ARCA DU

z-t-Plot for DetID-116 Run 12562, FrameIndex 61707, TriggerCounter 1557, Overlays 935, Trigger: MX 3DM 3DS
2022-07-07 04:42:50 UTC



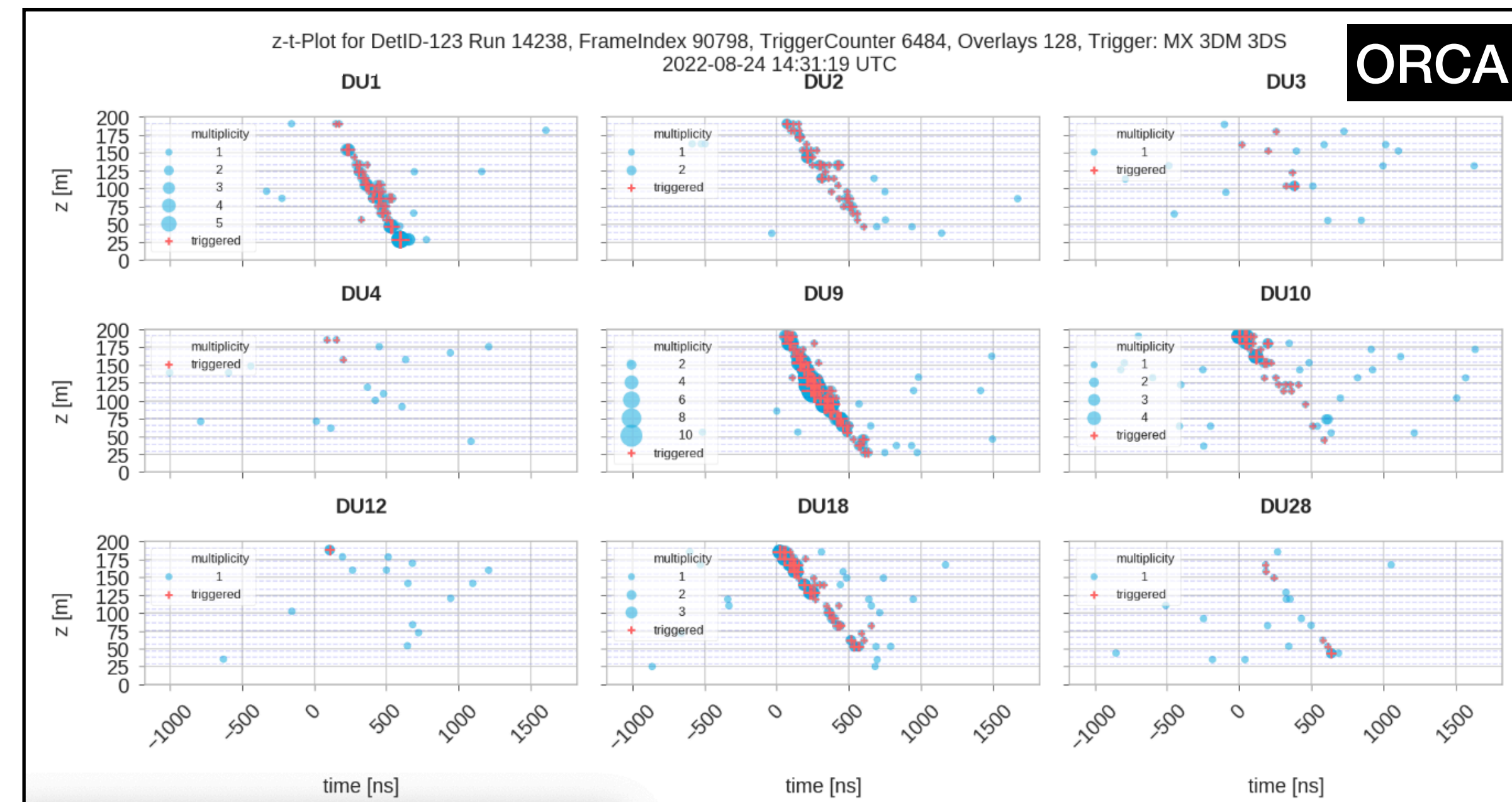
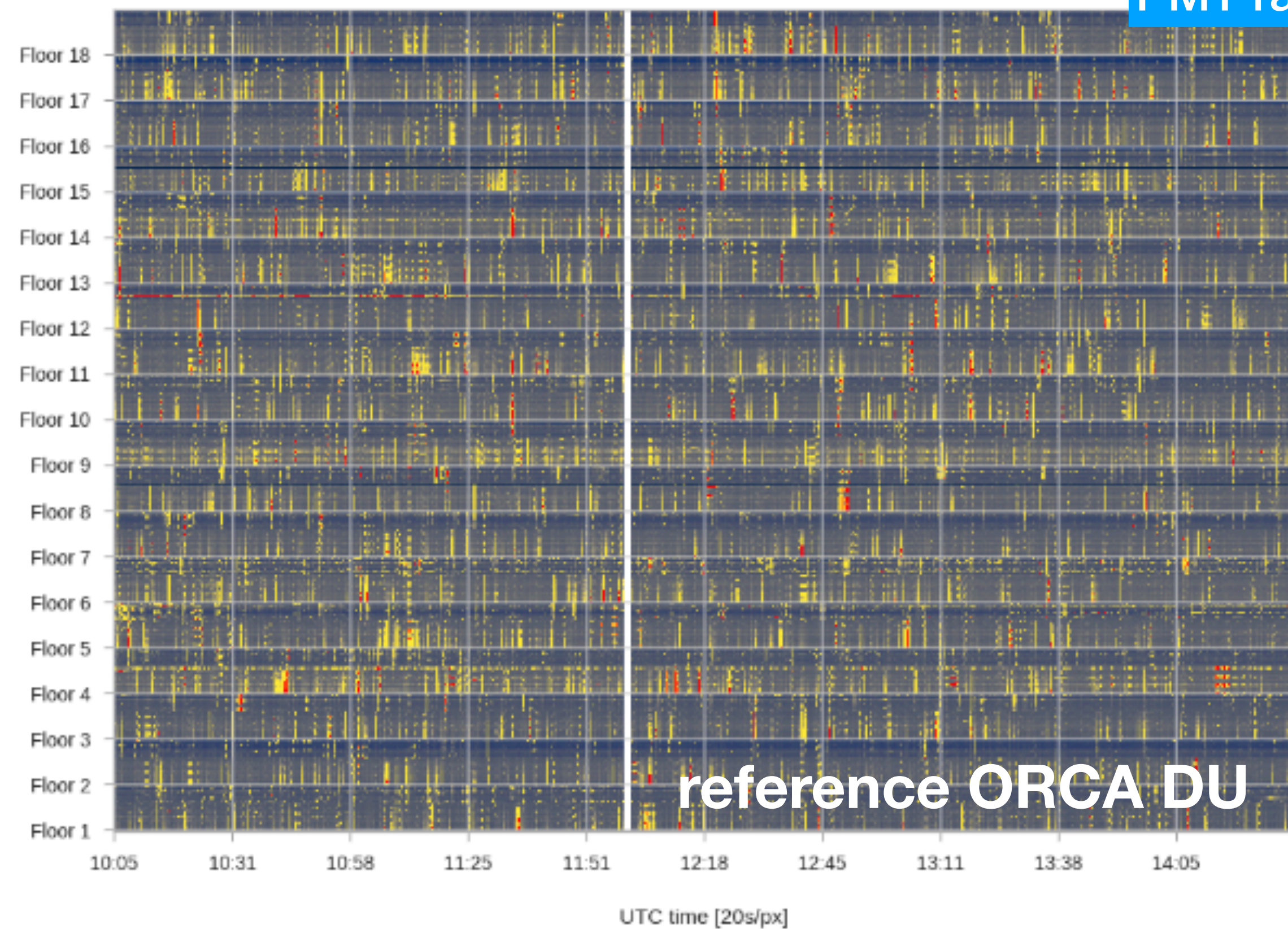
ARCA

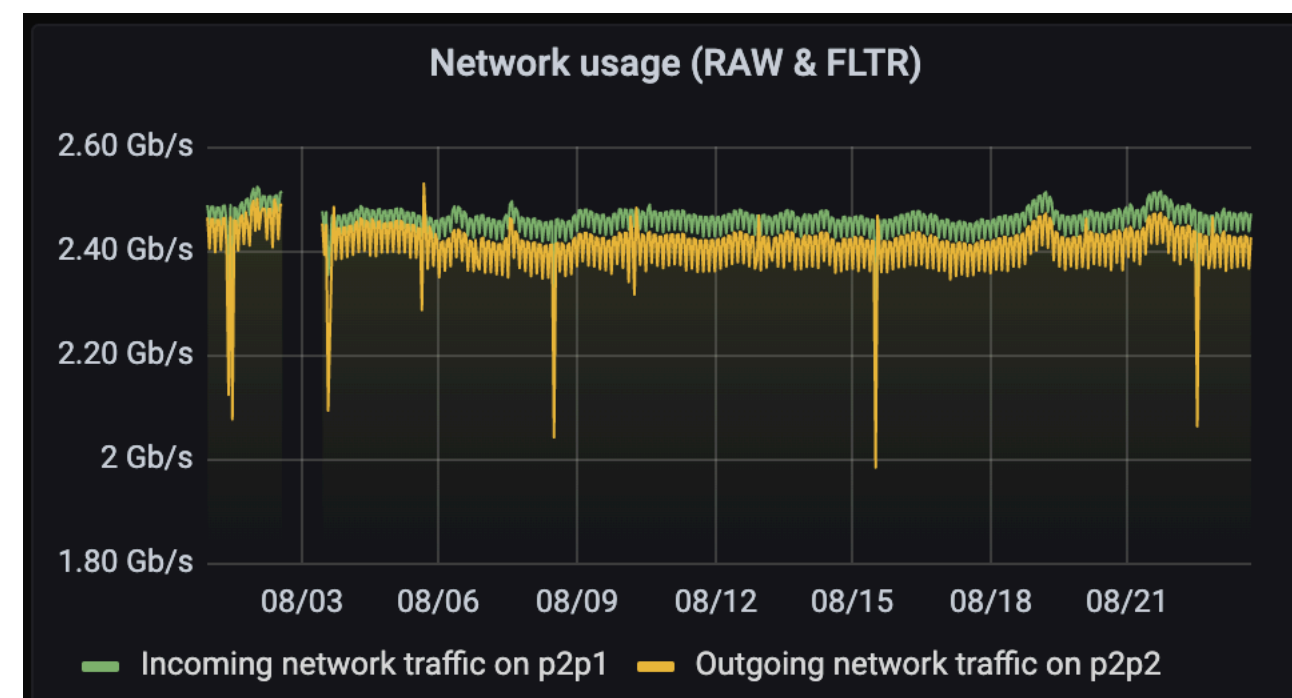
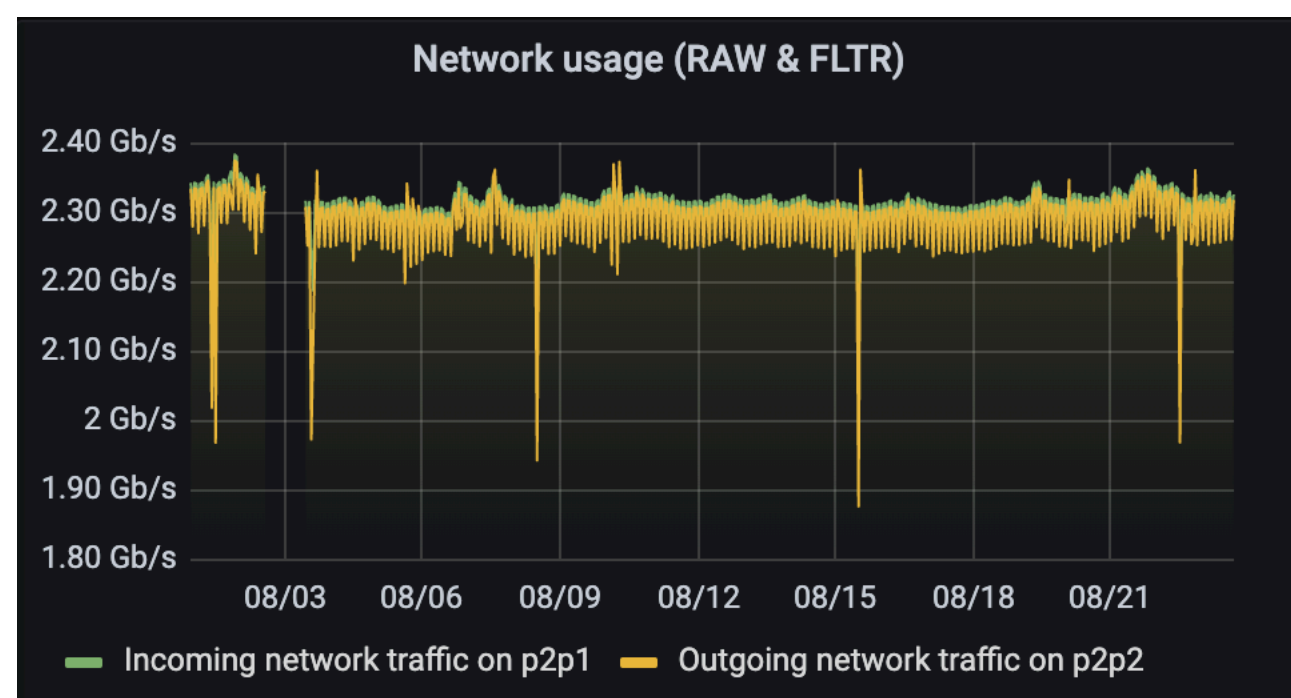
Trigger rate (Hz)



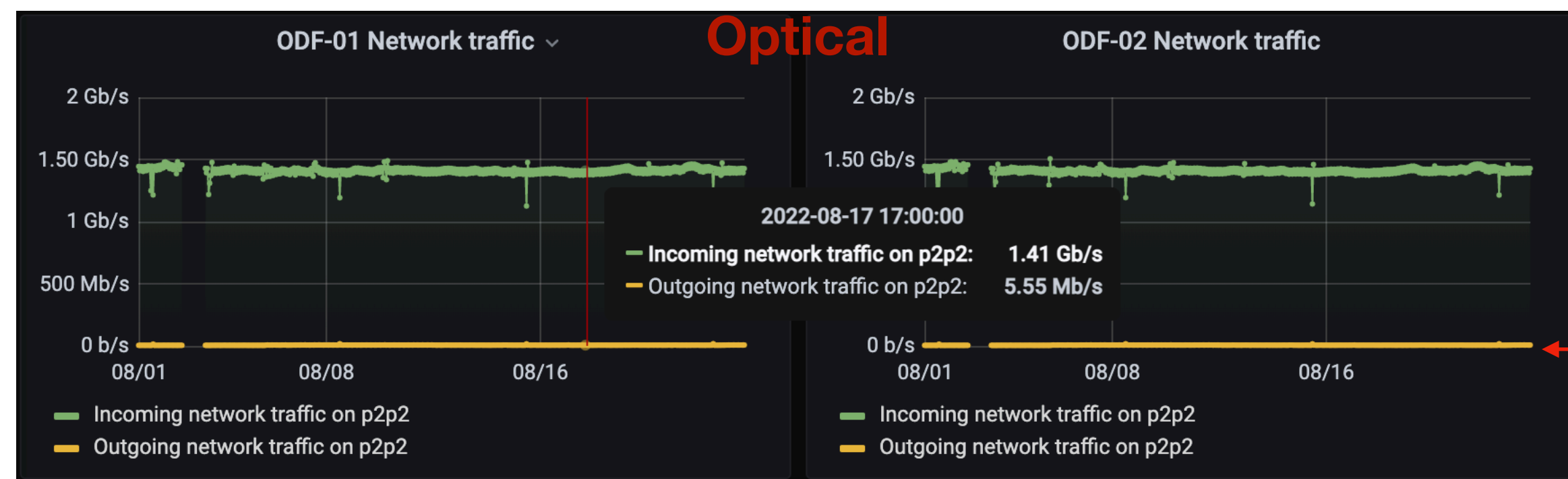
Mean PMT Rates for DetID-123 DU-10 - colours from 1.0kHz to 20.0kHz (HRV ratio threshold 0.5)
PMTs ordered from top to bottom - 2022-08-24 14:31:51.088379

PMT rates

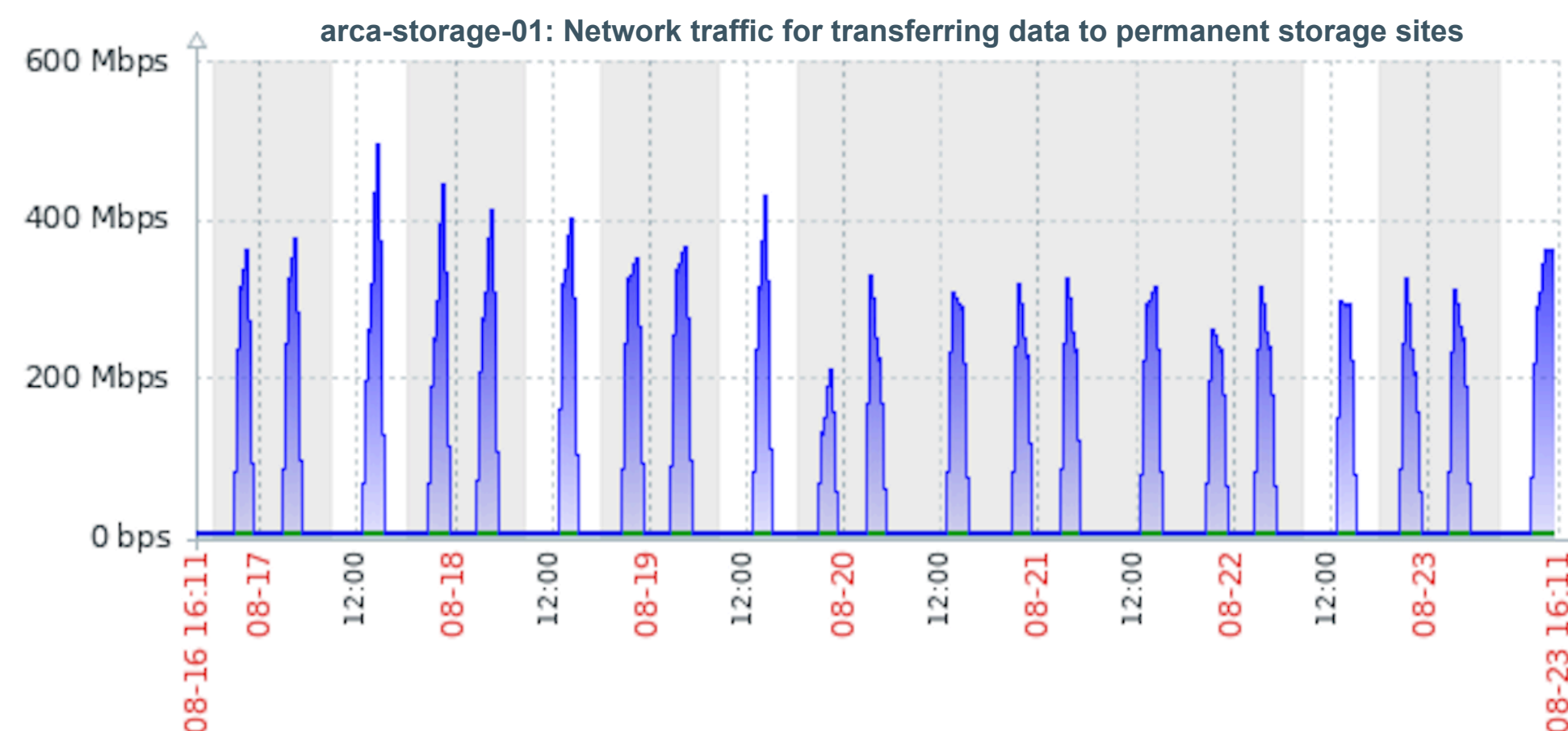
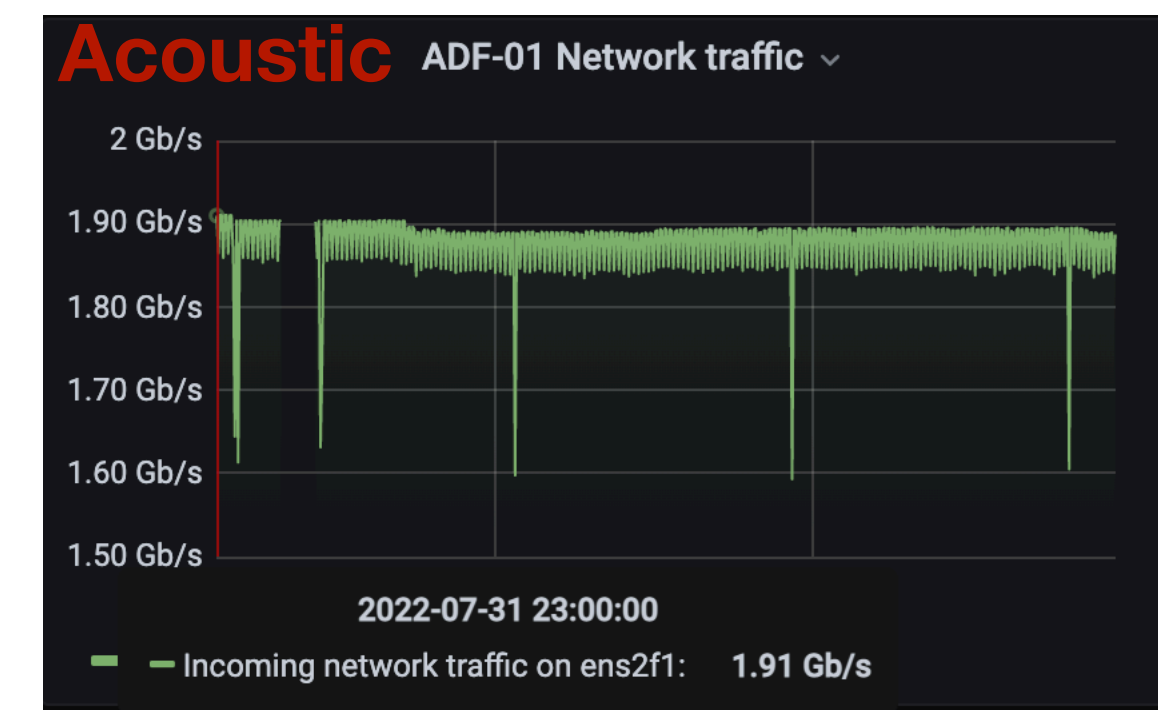




DataQueue level:
- receive and route to Data Filters (O+A)



**data to Data Writer
(i.e. data filtered by
~3 order of magnitued)**



**Periodic data transfer to
permanent storage
@CC-Lyon and @CNAF**



AIACE is an ANSIBLE-based collection of *playbook* for installing and configuring the computing resources and network devices.

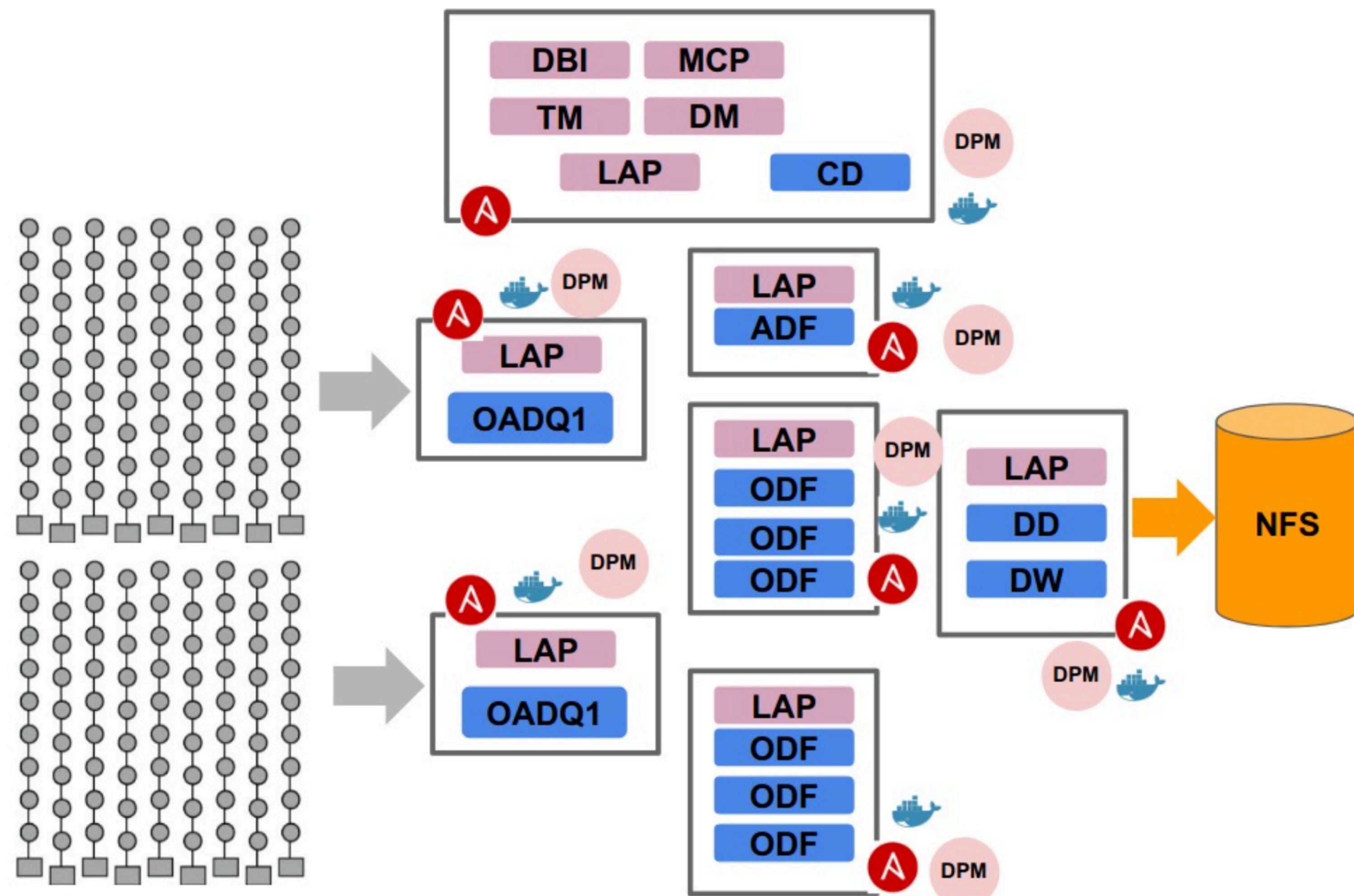


DOCKER images -> independent container for each DAQ process, their deployment is handled via AIACE

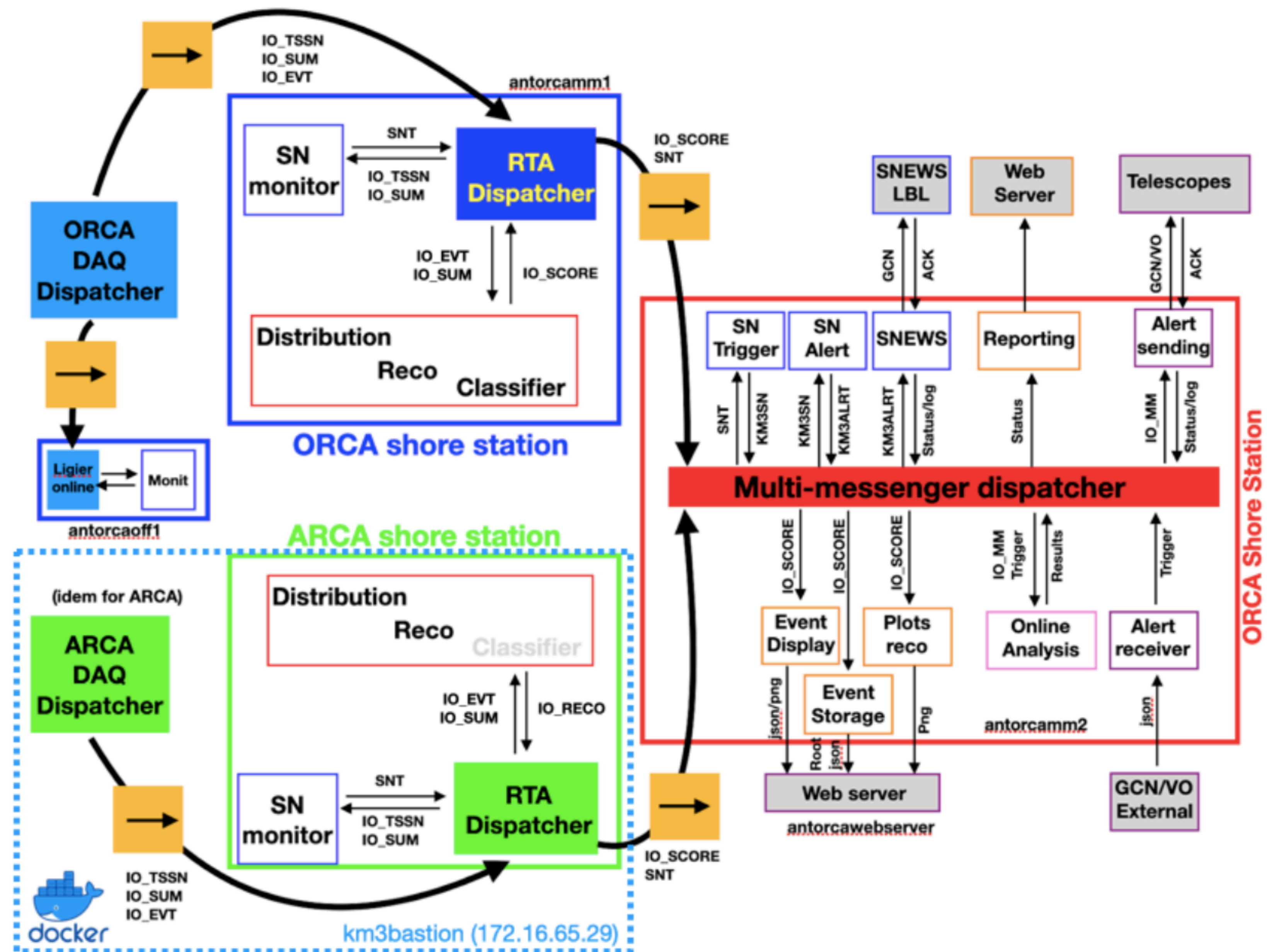
Dynamic Provisioning Manager (DPM) system for “keeping-alive” the DAQ processes and role manager

At present, both for **ARCA19** and **ORCA11**:

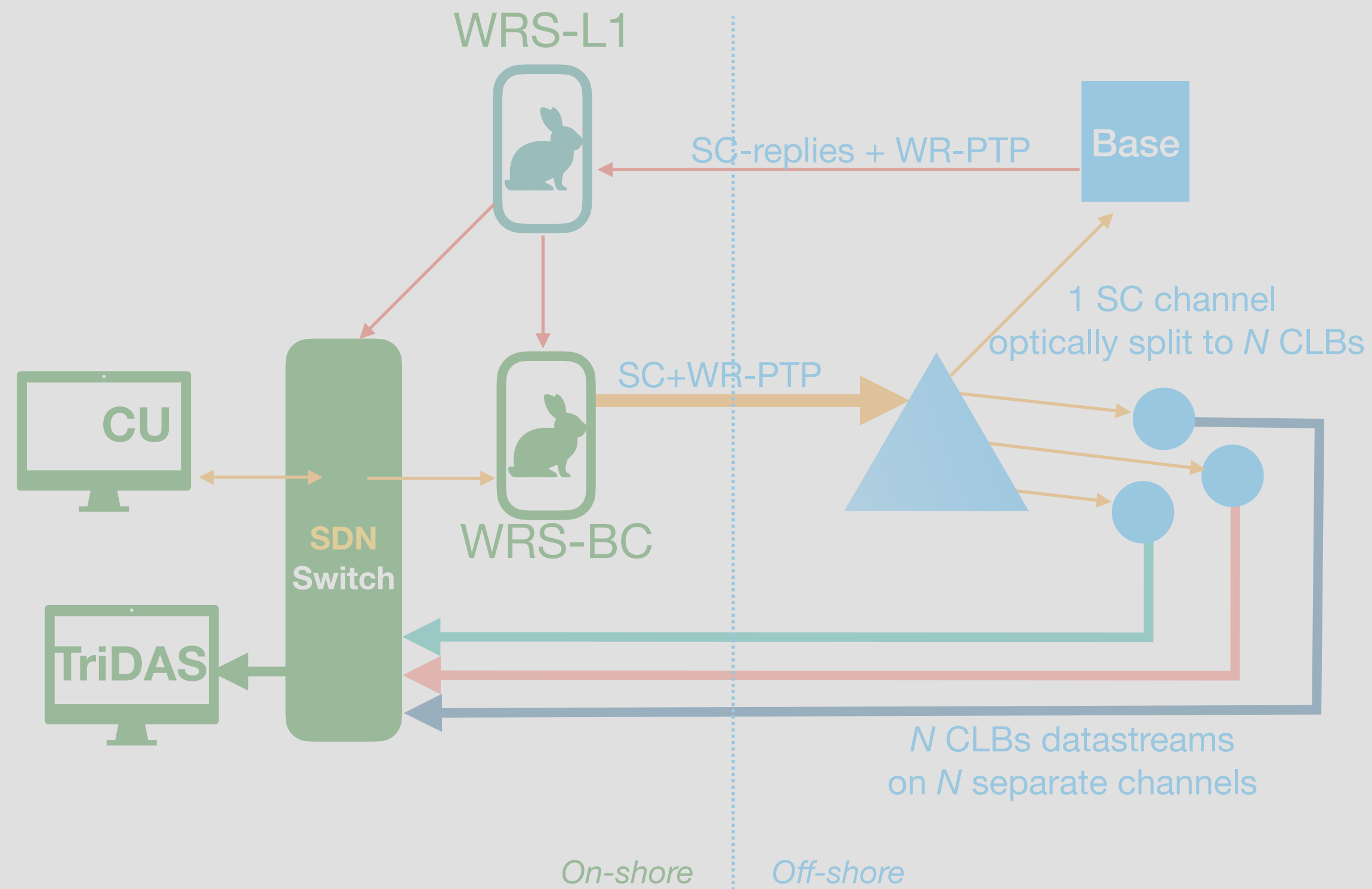
- 2x DataQueue processes (on 2 independent server)
- 30x Optical Data Filter processes (on 2 independent server)
- 1x Acoustic Data Filter (on 1 independent server)
- 1x DataWriter together with 1 DataDispatcher (on 1 independent server)



- Event processing done separately for ARCA and ORCA at each shore station
- Same **processing structure** but different software organisation (in ARCA the docker approach is adopted).
- The output of the reconstructed events by ARCA and ORCA at the end of each run (.json files) is stored in a common dispatcher (MM dispatcher)

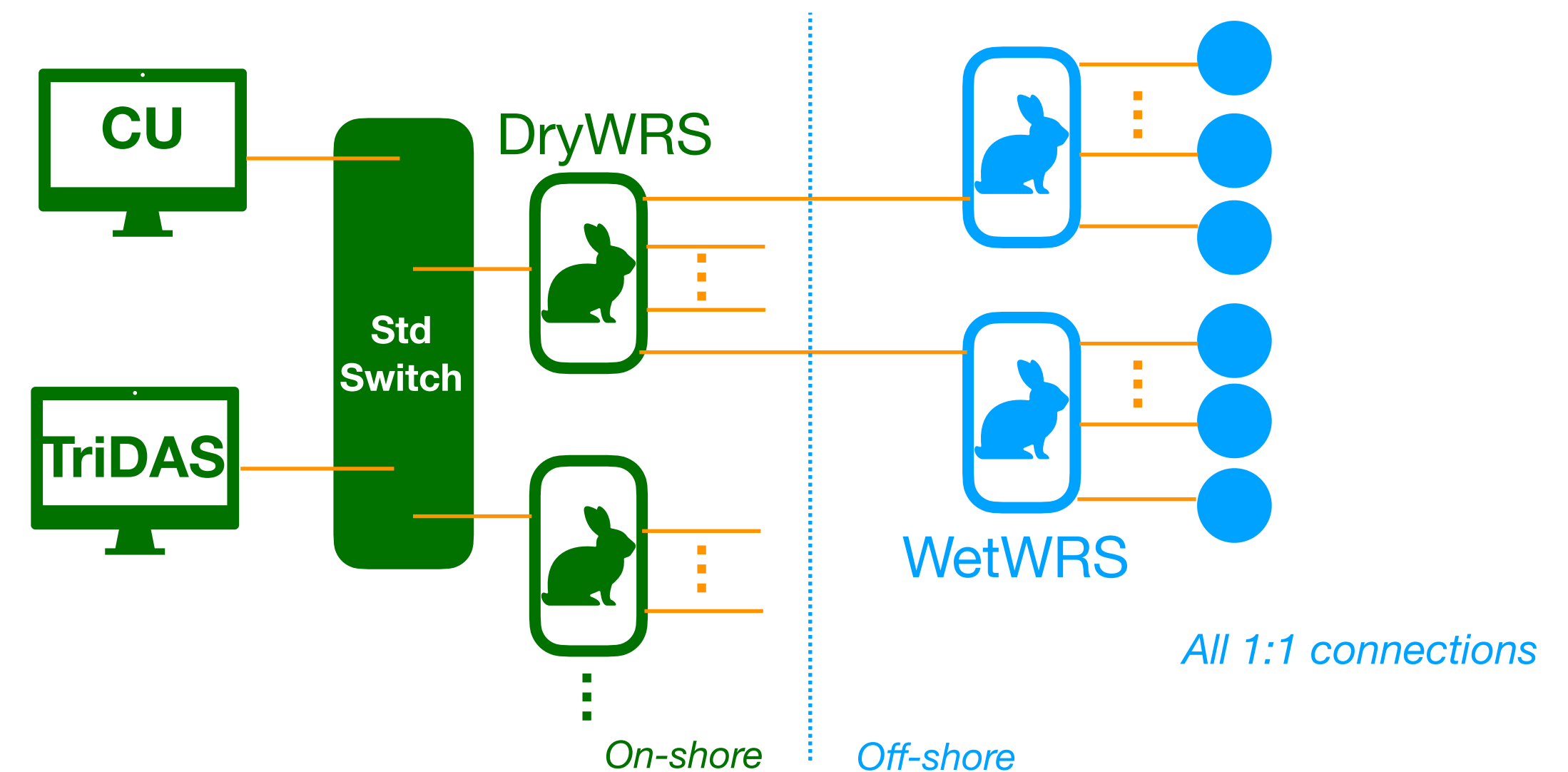


Broadcast (ARCA 32 strings; ORCA 48 strings *at least*)



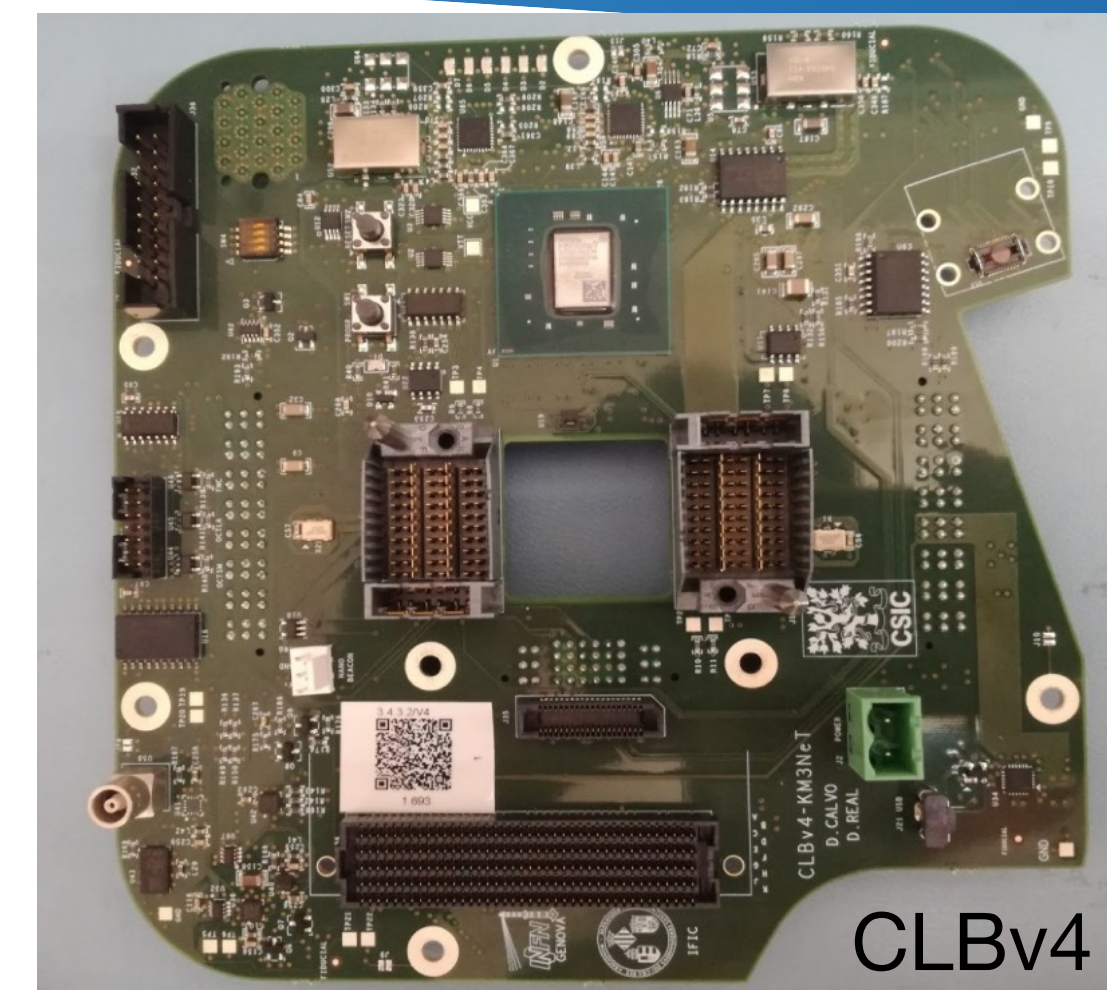
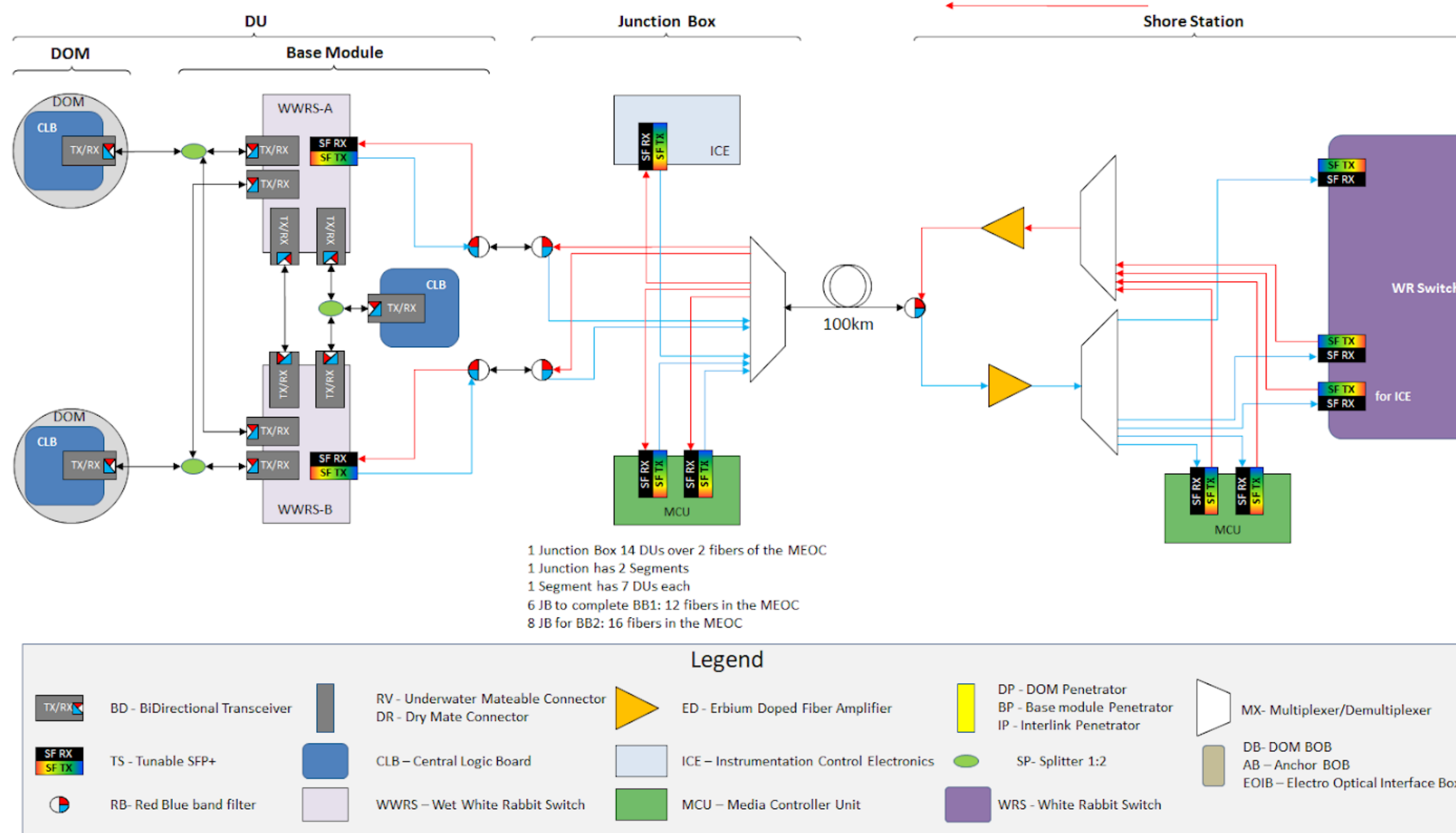
Current implementation in both ORCA/ARCA
(as well as other test-installations)

Full White Rabbit (necessary for ARCA 2 BB)



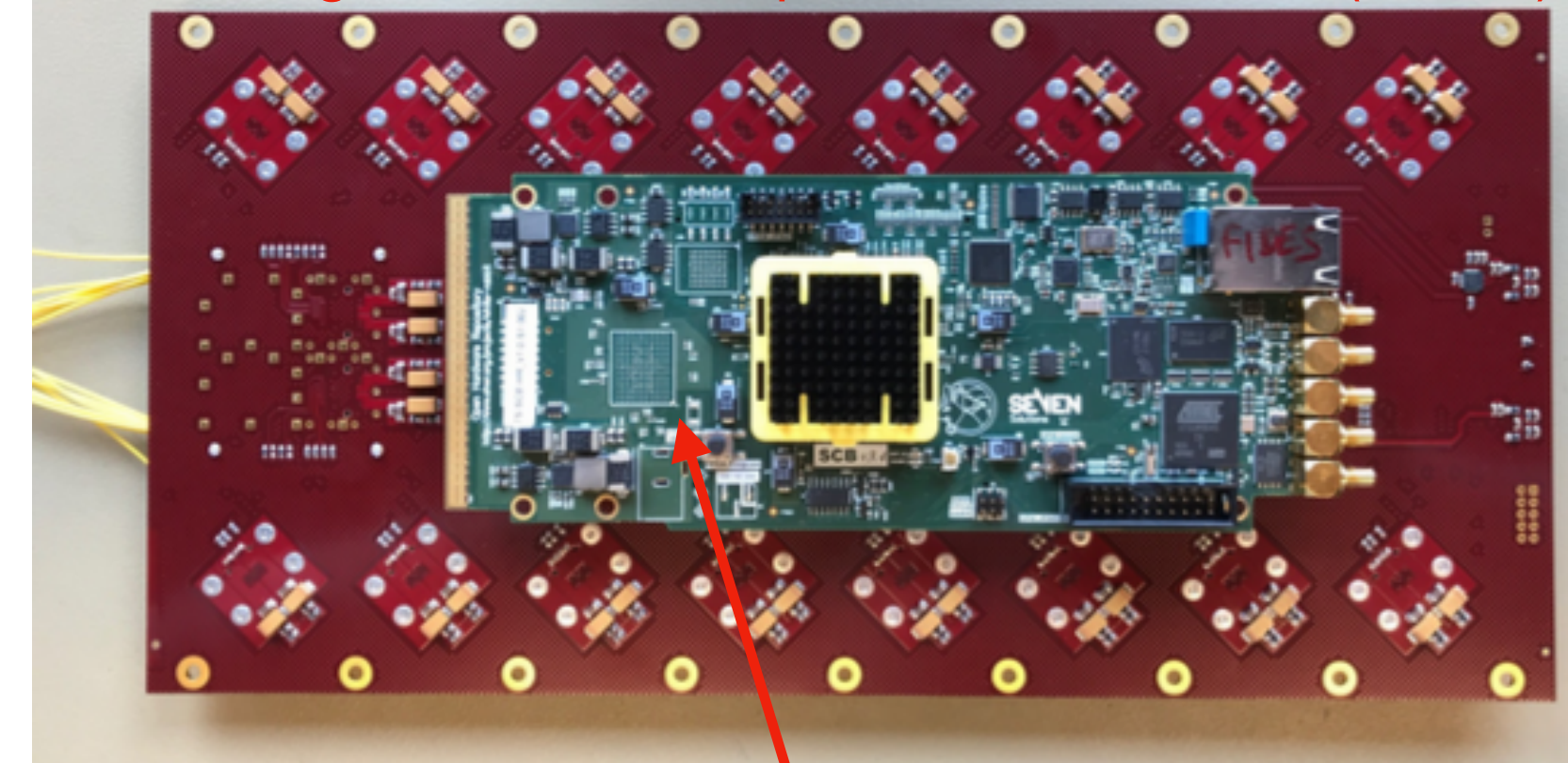
Future evolutions

KM3NeT Phase 2.0 - ARCA Optical System - Overview

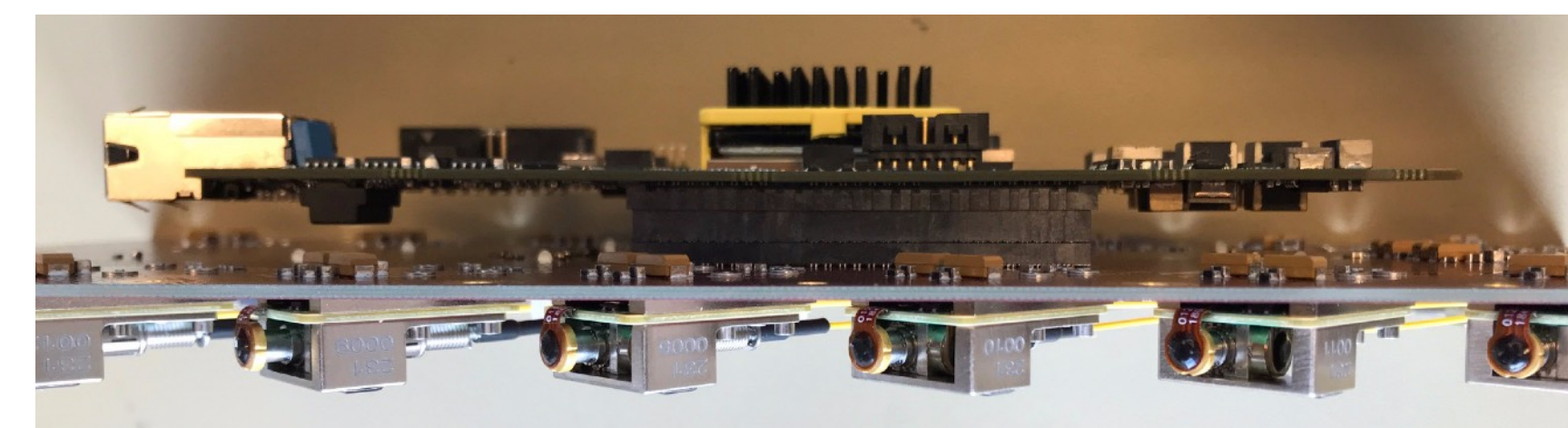


CLBv4 KM3NeT dev (IFIC)

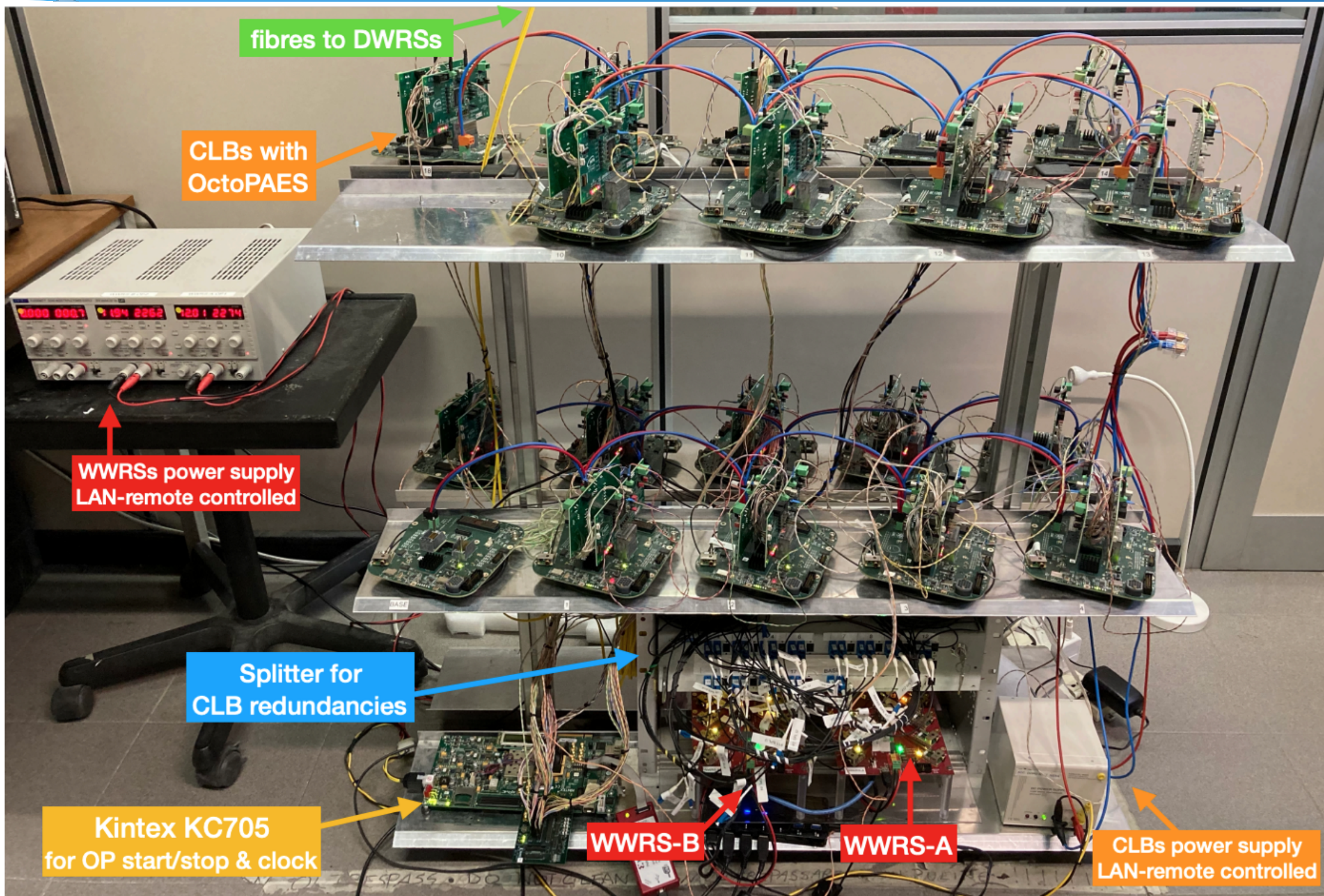
Switching "Glenair" backplane KM3NeT dev. (Nikhef)



SCB by SevenSolutions



- 2 tunable SFP+DWDM long range transceivers for connecting with the on-shore station
- 2 WetWRS per DU: 9 DOMs each
- 1 BM CLB connected to both of the two WRSs (cold redundancy applied)
- 23 bidirectional short range transceivers (**high reliability**) for DOM connections (9x2), CLB connection (3), inter-WRS connection



One full DU with CLBv4 boards and GA transceivers/connections

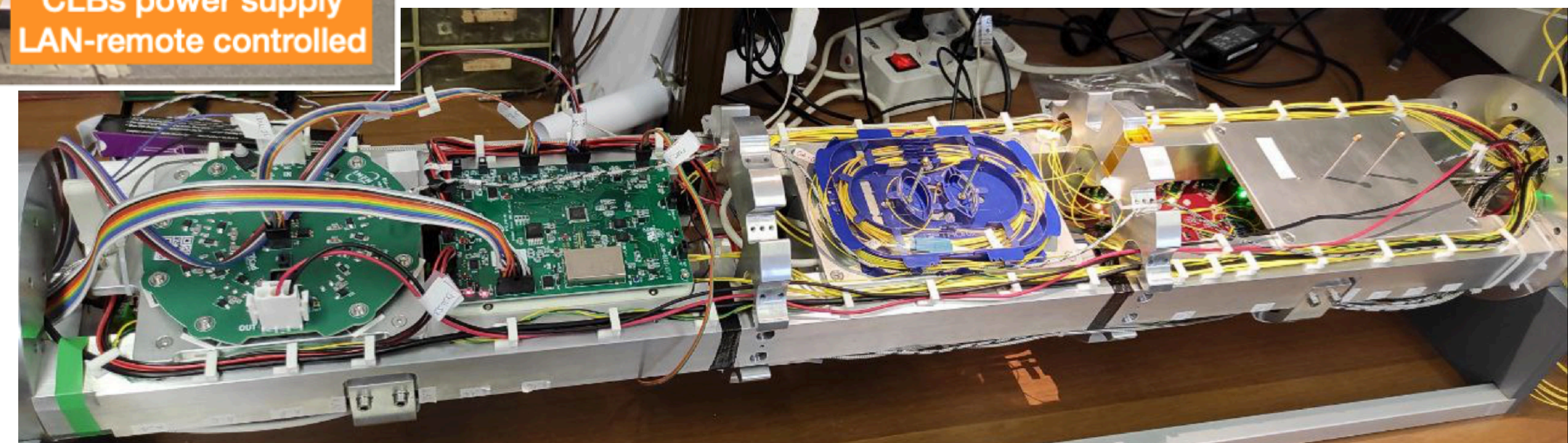
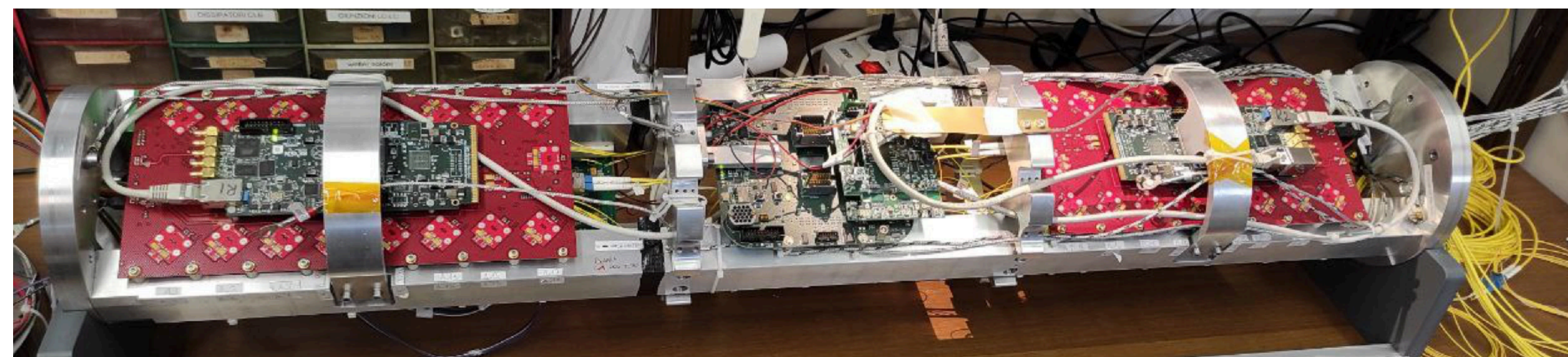
One integrated DU-BM with the WetWRS

Custom electronic boards by INFN-Bo, the *OctoPAES* (emulation PMT and piezo/hydro), => tested runtime conditions of the DU:

- throughputs of various channels (PMT/ACU/MON)
- effectiveness of NG-Firmware for CLBv4
- control of DU and BM CLBv4 boards
- temperatures and power consumptions

Main boards (WWRSS, power boards) subjected to HALT test

The full design is going under *Product Readiness Review* (end of 2022).

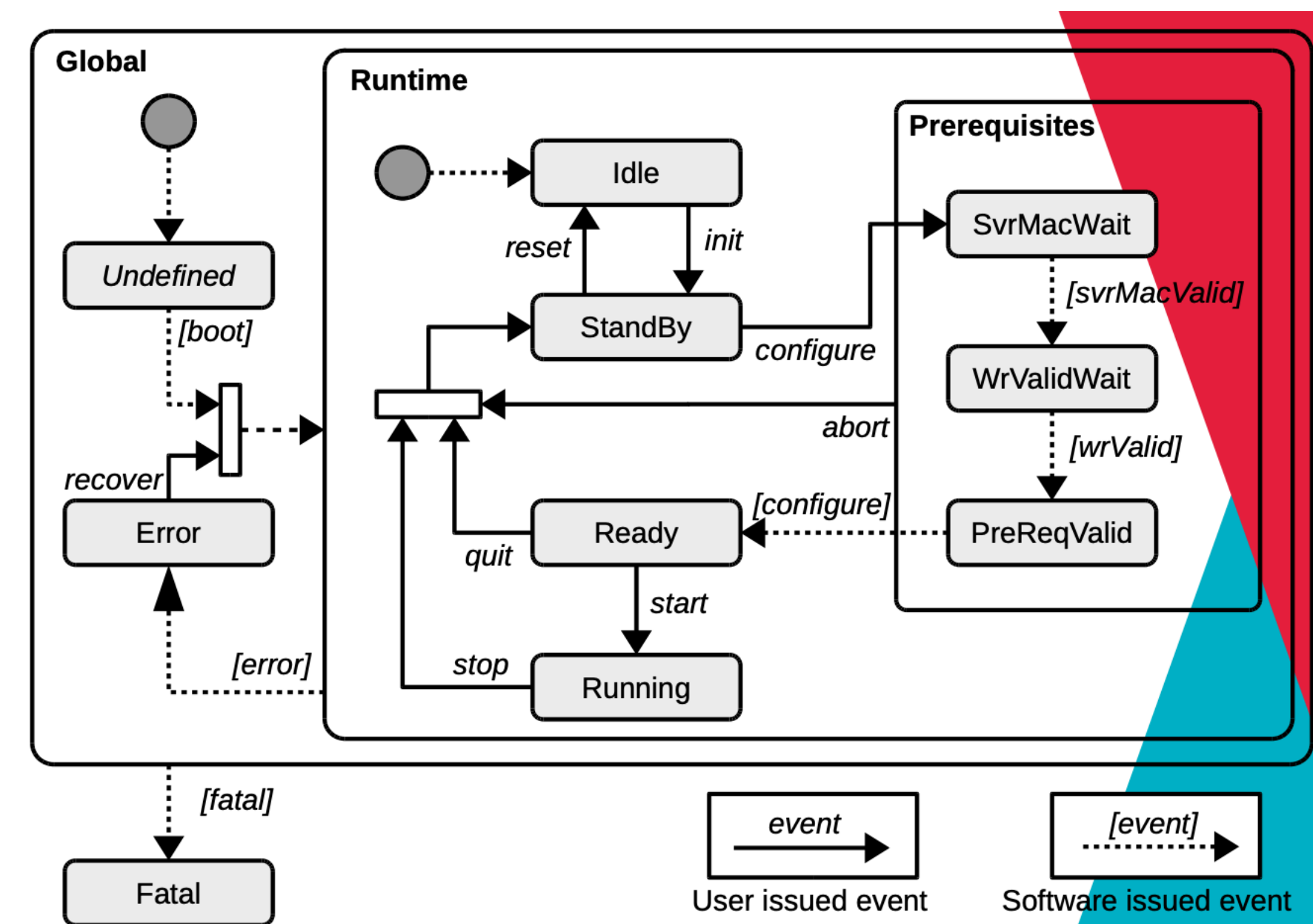
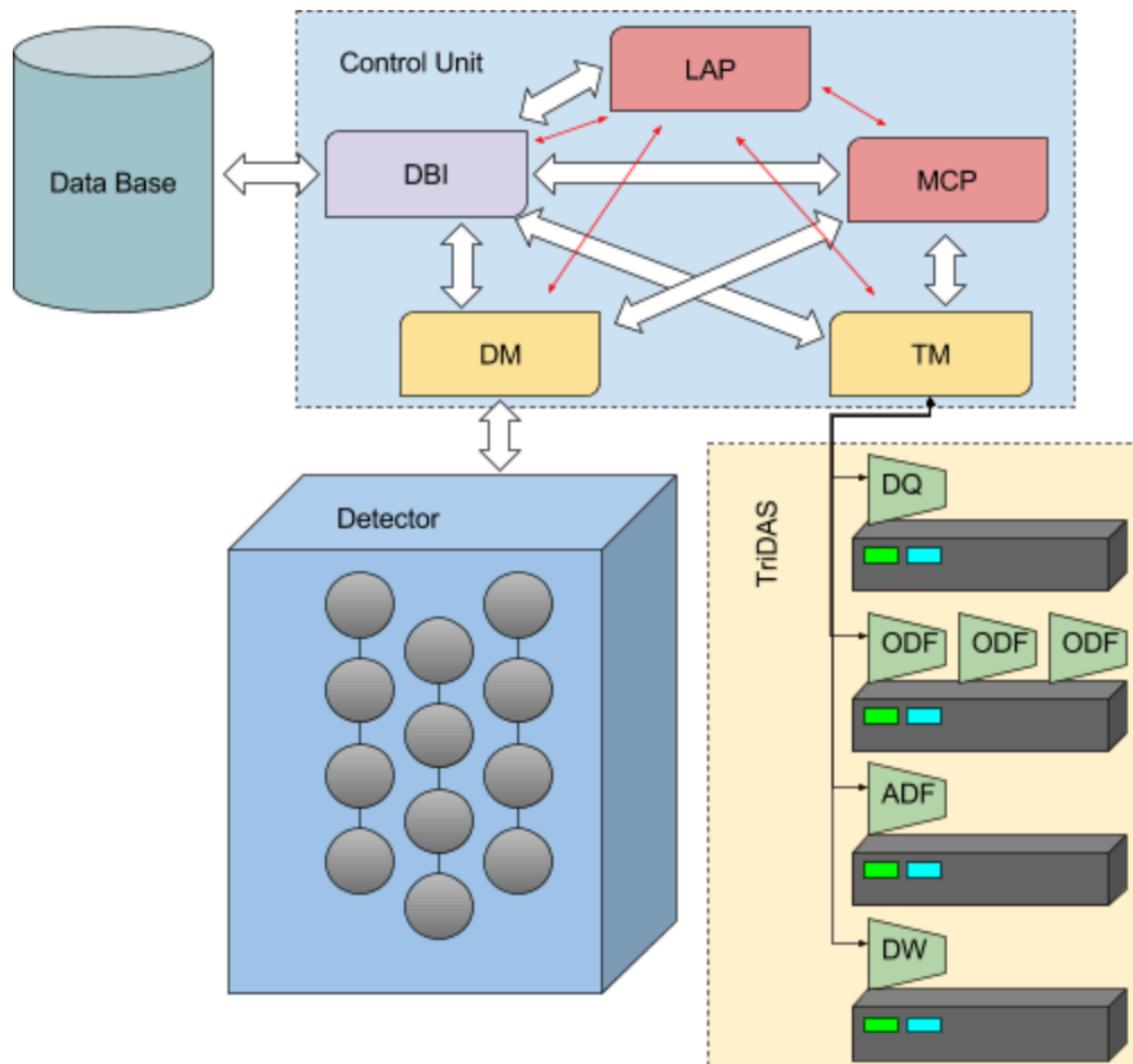


- **Scalable and modular** DAQ model
- **Dimensioned for large scale undersea neutrino detectors**, at least 2 building blocks with $O(5000)$ endpoints
- **High throughput network** extending from on-shore to off-shore for $O(100)$ km in a wide $O(km^3)$ volume
- Frontier technologies such as
 - **White Rabbit** for sub nanosecond precision distribution over ethernet
 - **Software Defined Networks**, for dealing with a highly asymmetric network technologies
- **Most reliable and modern technologies** used for handling the data taking control, the processing of the streaming readout and the monitoring
- Served software deployment and configuration within a **docker containerised computing infrastructure**.
- Big innovations with WRS infrastructure:
 1. Detection Units “(r)evolution” from the “**Broadcast**” to the “**Full-WR**” scenario (integration of the 2 scenarios)
 2. **Next Generation firmware** for the Central Logic Boards.
- NG-fw deployment foreseen **in these weeks**.
- Currently active with ARCA 19 and ORCA 11... **soon more DUs**

The background of the slide features abstract, flowing shapes in two shades of blue. A lighter blue shape curves from the top left towards the center, while a darker blue shape curves from the top right towards the center. These shapes meet at a white, wavy horizontal band that serves as the background for the text.

Thanks for your attention !

Backup Slides



The CU is a collection of (web) services which, via a state machine, drive

- the Detector
- the computing processes
- the interactions with DB for
 - runsetups, calibrations
 - Instruments data logging

The Control Unit components and their relationships. White and black arrows represent flows of information and/or control signals. Red arrows show the flow of authentication information. The flow of data from the TriDAS to the final storage is not shown.

Details in
Cristiano Bozza's talk

CLB Optical Format Structure

Size (bit)	Description
448	DAQ Common Header
8	TDC channel
32	Time Stamp
8	Pulse Width
8	TDC channel
32	Time Stamp
8	Pulse Width
...	...
8	TDC channel
32	Time Stamp
8	Pulse Width

One hit (6B)

- TDC (PMT) channel: 0 to 31
- Timing: counter of ns $\in [0, 1e8]$
- Pulse width: Time over Threshold in ns $\in [1, 256]$

Timing

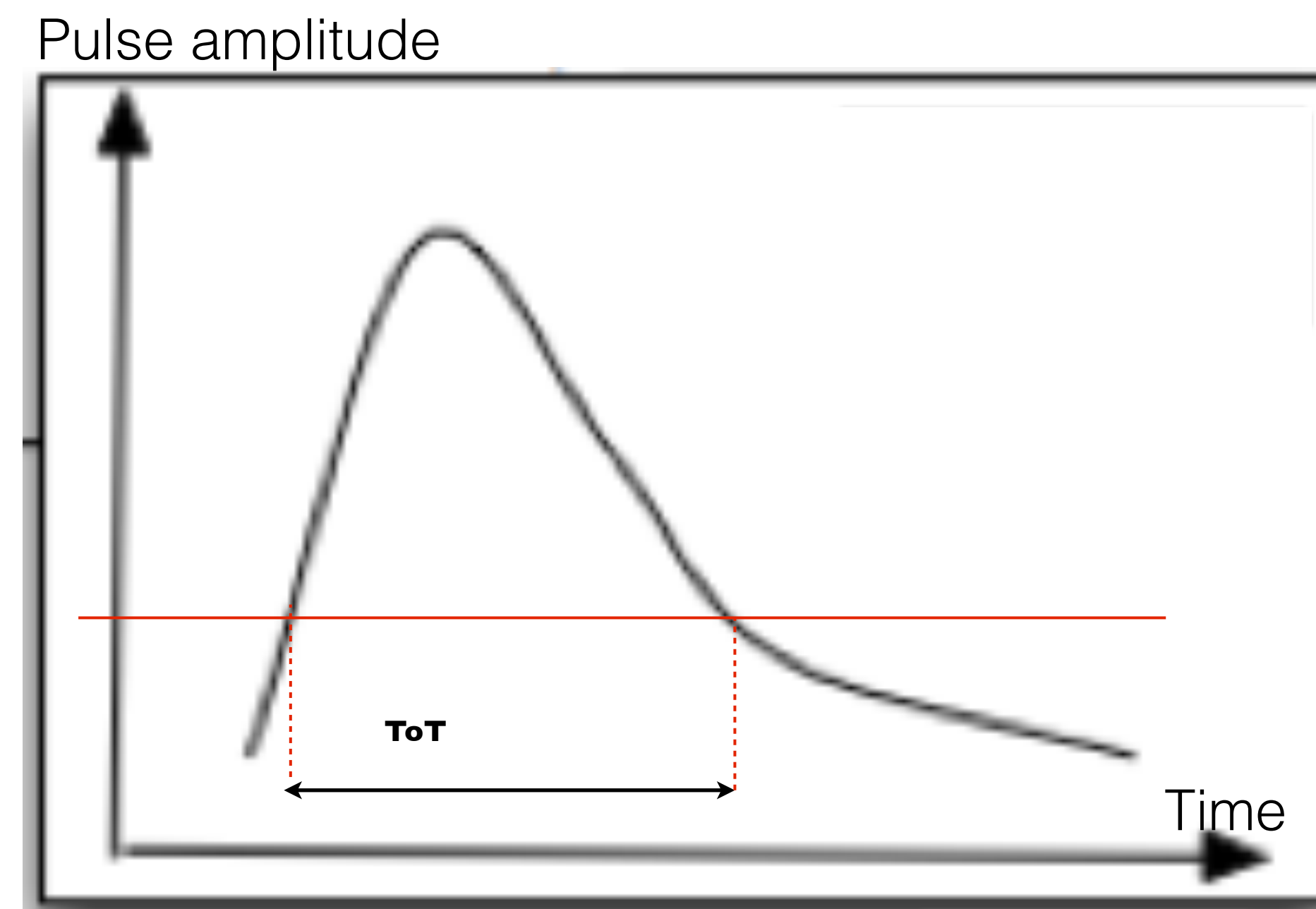
Absolute time of a hit, with the precision of **1 ns**.

Time over Threshold

ToT \Leftrightarrow pulse amplitude.

Optimal gain ($\sim 10^6$) \Rightarrow **ToT of 26,4 ns for single photo-electron**

Possibility to activate the **Multi-Hit feature** for longer pulses



CLB Optical Format Structure

Size (bit)	Description
448	DAQ Common Header
8	TDC channel
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One hit (6B)

- TDC (PMT) channel: 0 to 31
- Timing: counter of ns $\in [0, 1e8]$
- Pulse width: Time over Threshold in ns $\in [1, 256]$

Timing

The **DOM** is providing the **absolute time of a hit**, with the precision of **1 ns**.

Hit's timestamp is then to be composed of:

- **Coarse timing** [s] + **Quasi fine timing** [16ns], from the CLB Common Header, gives the *absolute time of the Timeslice*.
- **Fine timing** [ns] from the TDC counts the *ns since the beginning of the Timeslice*

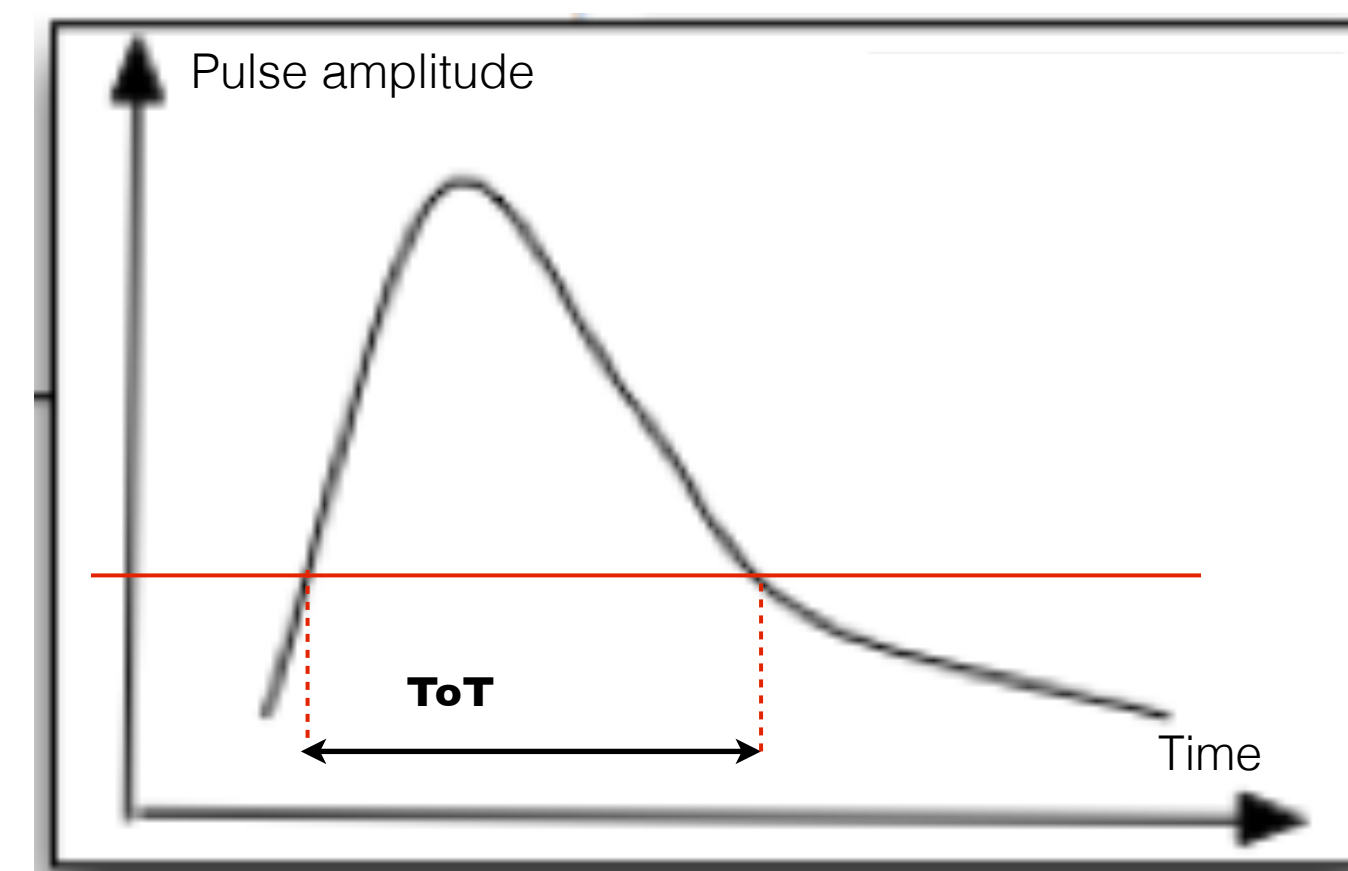
Time over Threshold

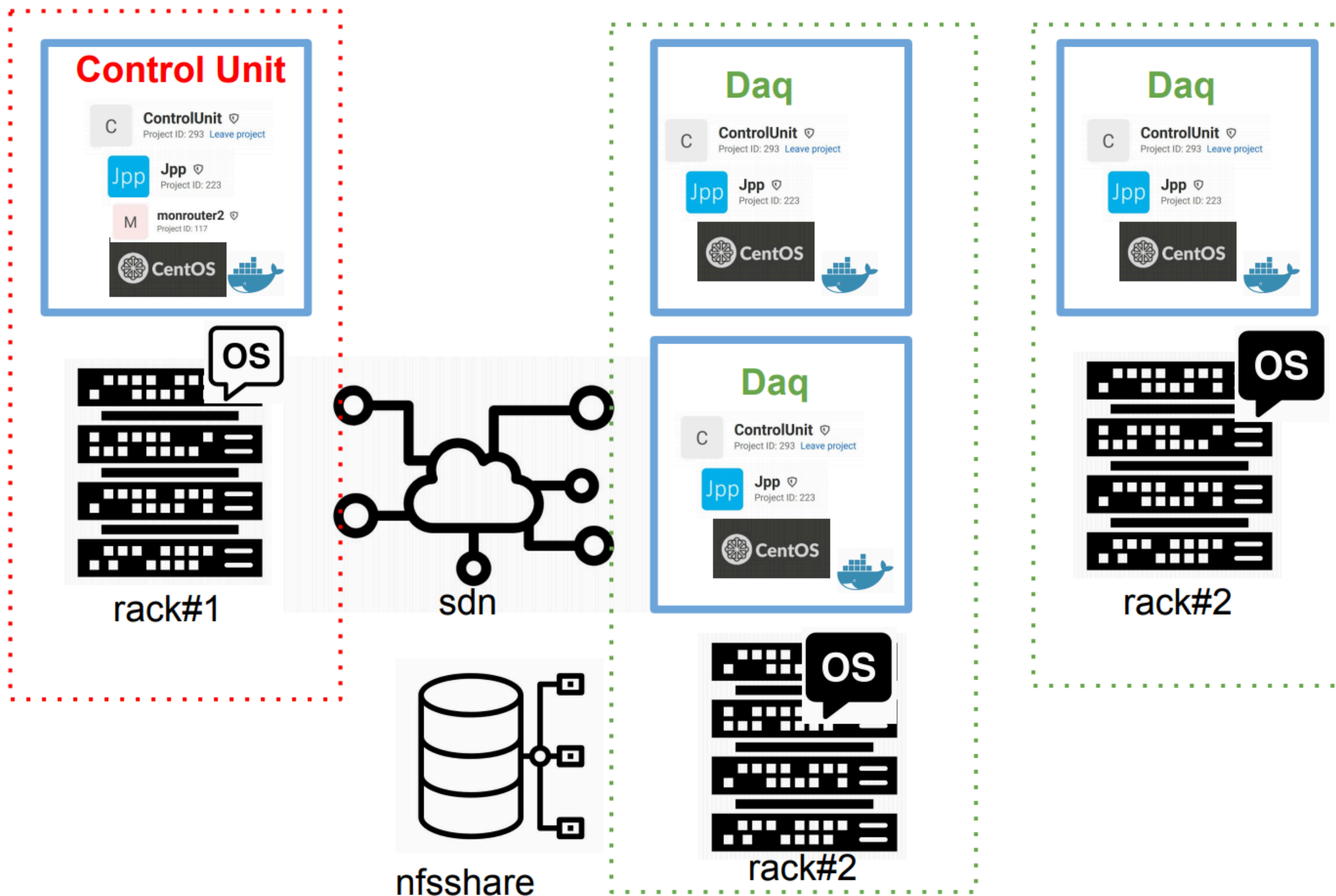
The **ToT** is **directly related to the pulse amplitude**. So it is a proxy for the number of photoelectrons.

The working point of the PMTs, with the optimal gain ($\sim 10^6$), implies a **ToT of 26,4 ns for single photo-electron**

When long pulses exceed 256ns, if the **Multi-Hit feature** is active, the original hit is fragmented in subsequent pieces.

In TDC channel: apparently more hits than the actually occurred!
In MON channel: the correct rate is reported.





Optical data for Physics

Case	n_{DU}	n_{DOMs}	$n_{pmt/DOM}$	v_{single}/PMT (kHz)	hit size (bit)	$v_{trigger}$ (Hz)	Event window (μs)
KM3NeT-Ph1, <i>It</i>	24	18	31	15	50	40	6
KM3NeT-Ph1, <i>Fr</i>	7	18	31	15	50	13	6
KM3NeT-1 Block (Ph2, <i>Fr</i>)	115	18	31	15	50	220	6
KM3NeT-2 Blocks (Ph2, <i>It</i>)	230	18	31	15	50	440	6

Case	DOM thp (Mb/s)	DU thp (Gb/s)	Det thp (Gb/s)	Sel thp (MB/s)	Sel thp (TB/day)	Stored (TB/y)	event size(kB)
KM3NeT-Ph1, <i>It</i>	23.0	0.4	10.0	1.6	0.13	49.0	7.5
KM3NeT-Ph1, <i>Fr</i>	23.0	0.4	2.9	0.4	0.03	12.0	2.2
KM3NeT-1 Block (Ph2, <i>Fr</i>)	23.0	0.4	48.0	14.0	1.20	440.0	36.0
KM3NeT-2 Blocks (Ph2, <i>It</i>)	23.0	0.4	96.0	44.0	3.80	1400.0	72.0

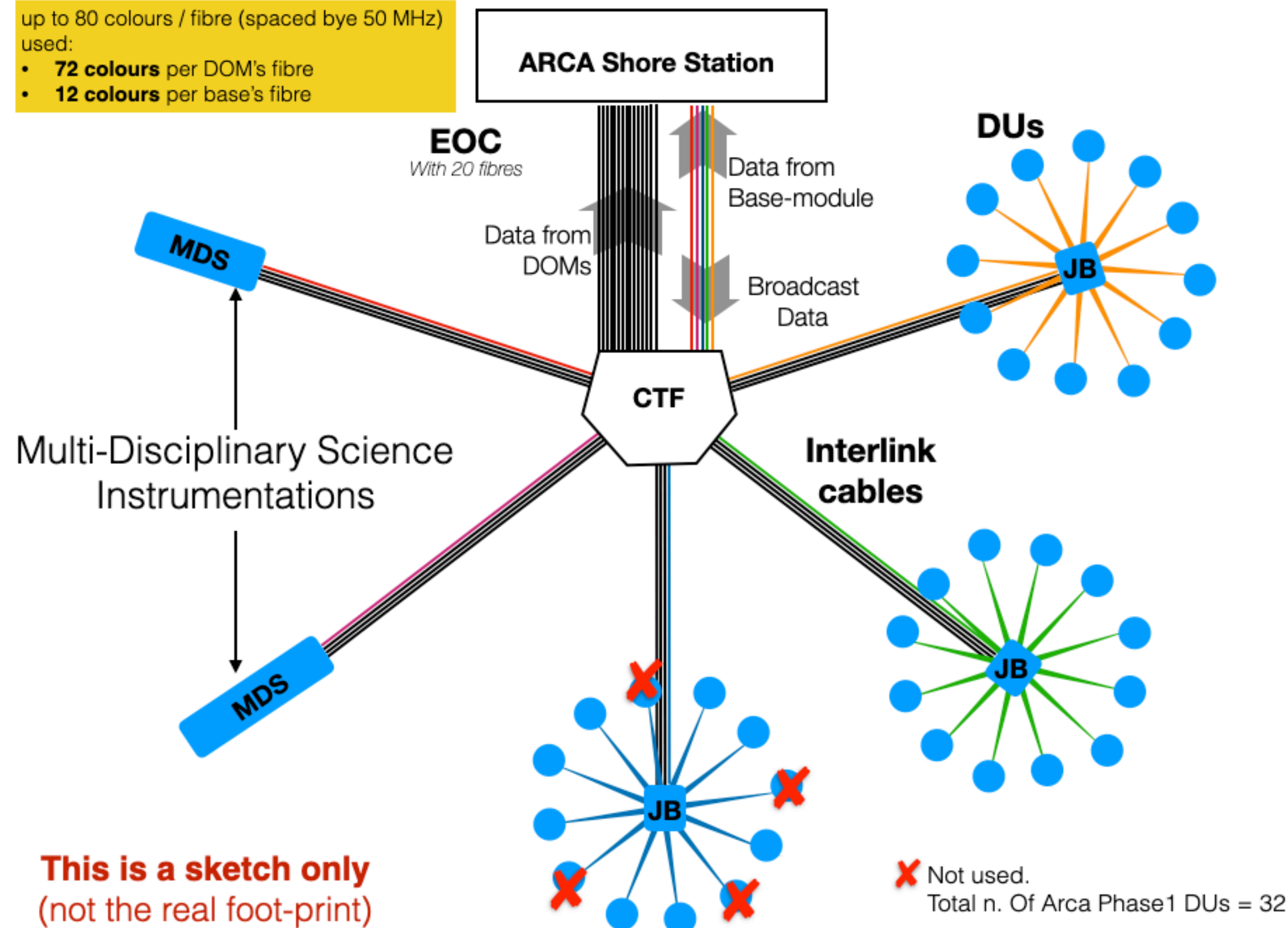
Acoustic data for positioning

Case	Raw Thp/Sensor (Mb/s)	Raw Thp/DU (Mb/s)	Raw Thp/Detector (Gb/s)	TOA (Mb/s)	Positions (Mb/s)	Storage (TB/y)
Phase 1- <i>It</i>	13.0	240.0	5.7	0.20	0.08	1.10
Phase 1- <i>Fr</i>	13.0	240.0	1.7	0.06	0.02	0.32
1 Block, Ph2 <i>Fr</i>	13.0	240.0	27.0	0.94	0.38	5.20
2 Blocks, Ph2 <i>It</i>	13.0	240.0	55.0	1.90	0.75	10.00

$SamplingRateHz = 195.3 \times 10^3$;
 $ResolutionBit = 24$;
 $NChannels = 2$;

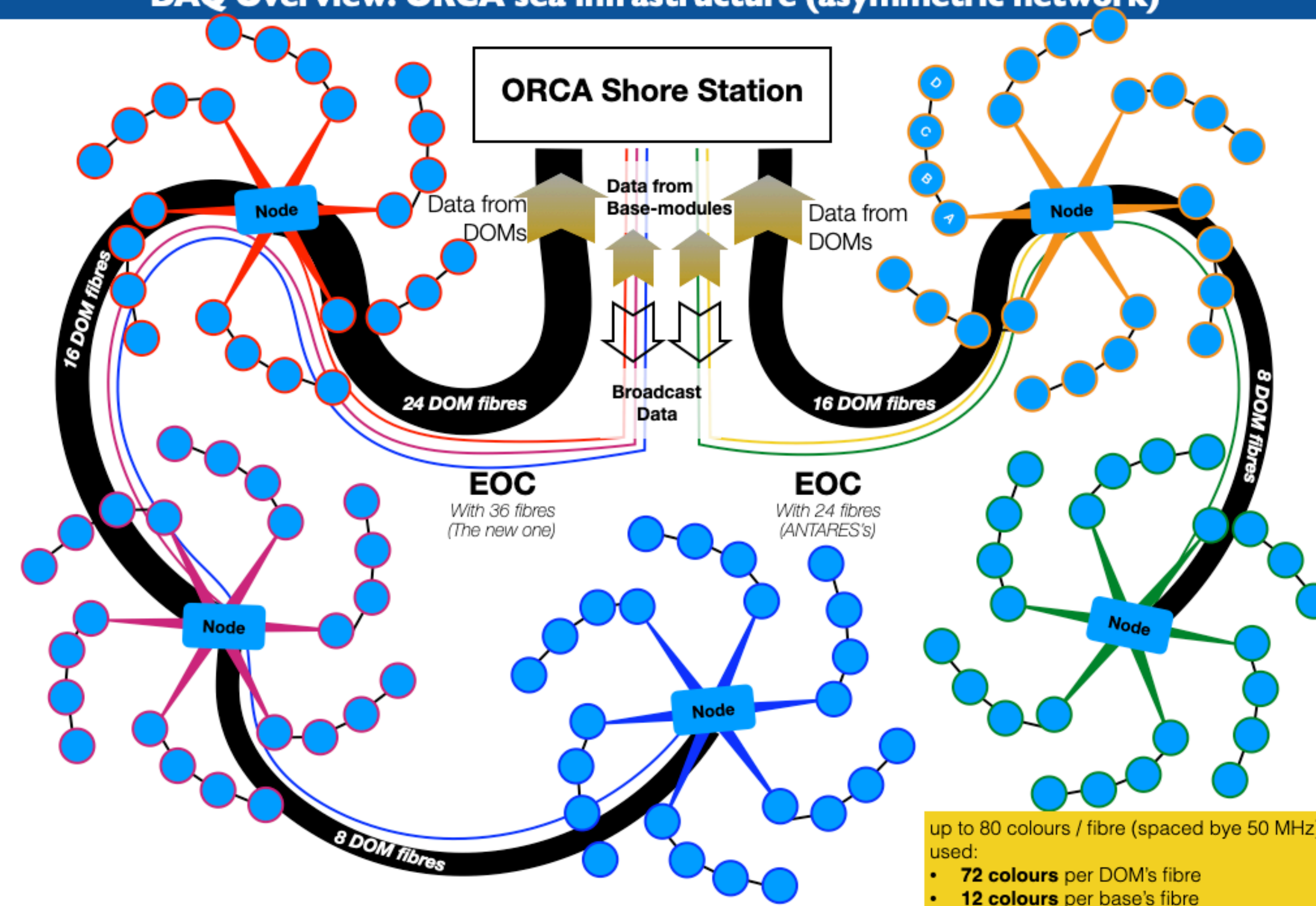
up to 80 colours / fibre (spaced by 50 MHz) used:

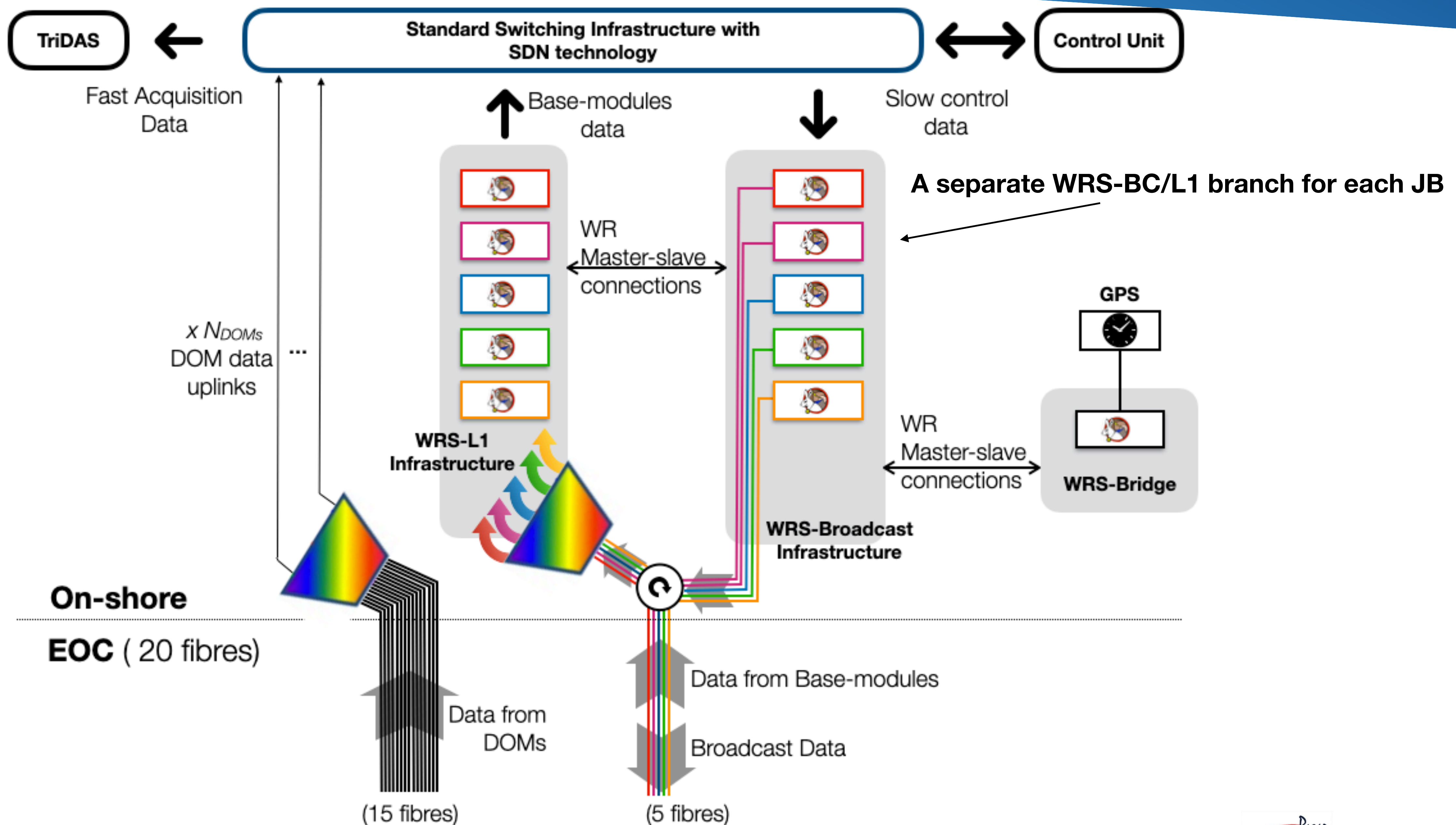
- 72 colours per DOM's fibre
- 12 colours per base's fibre

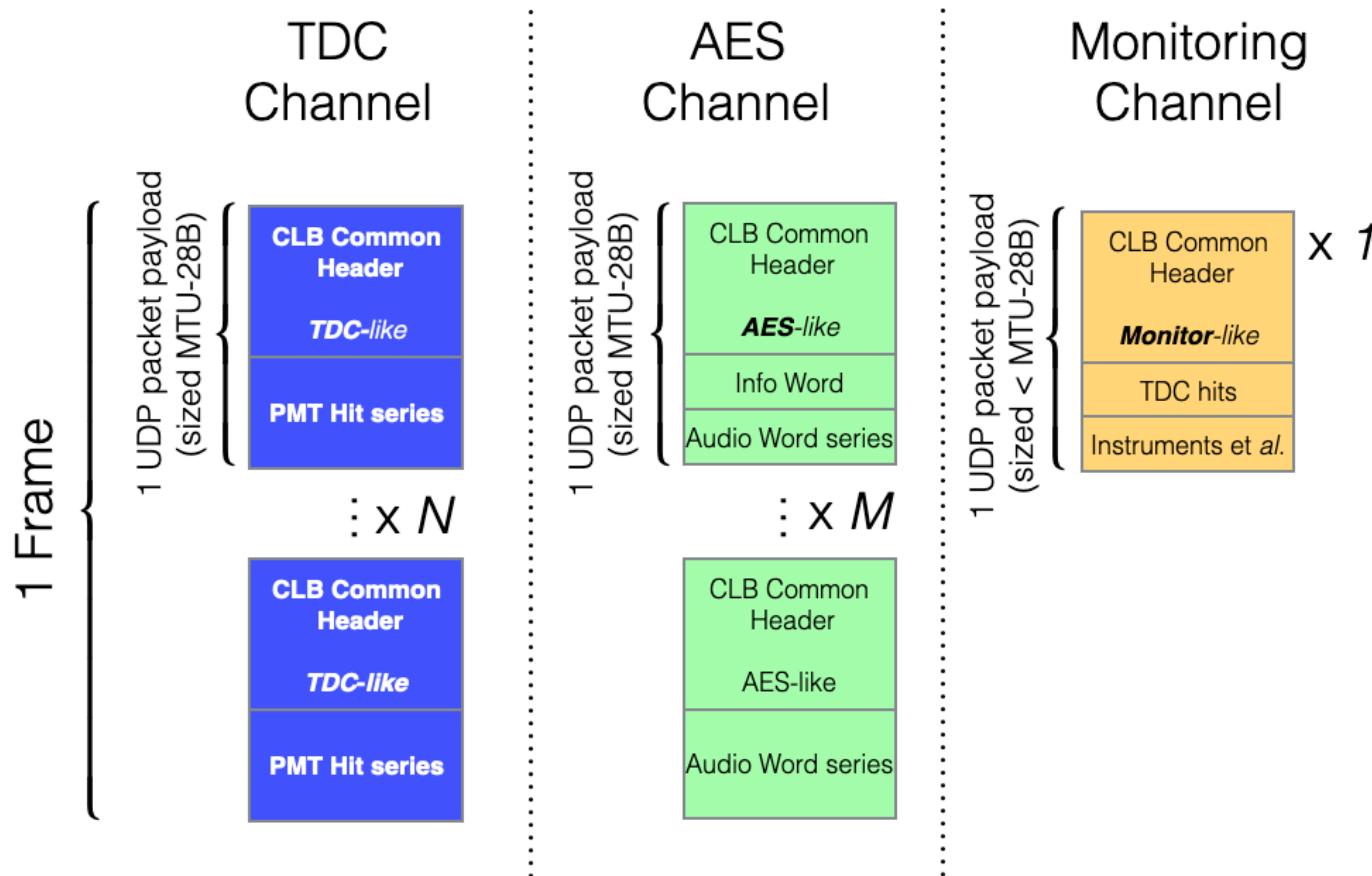


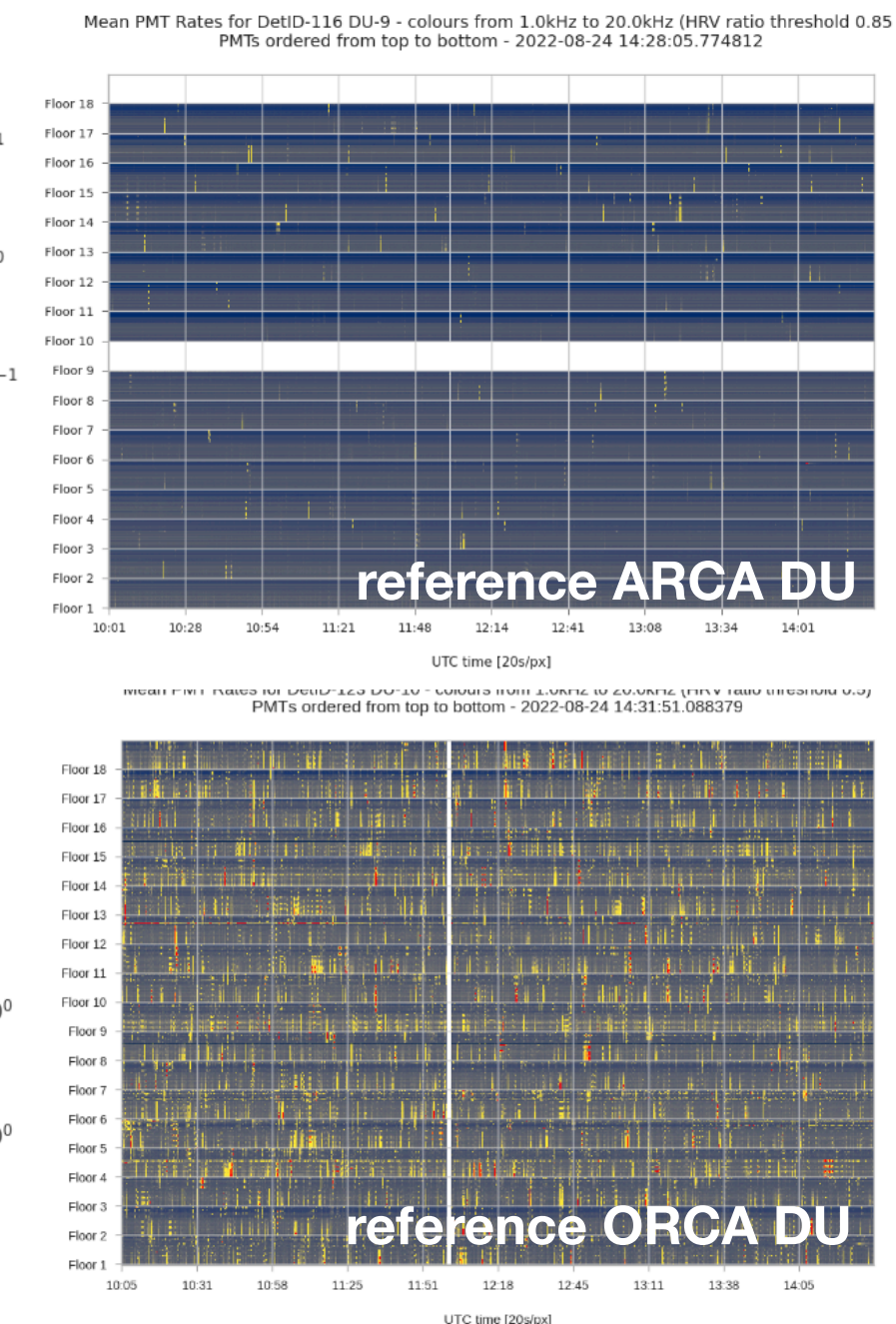
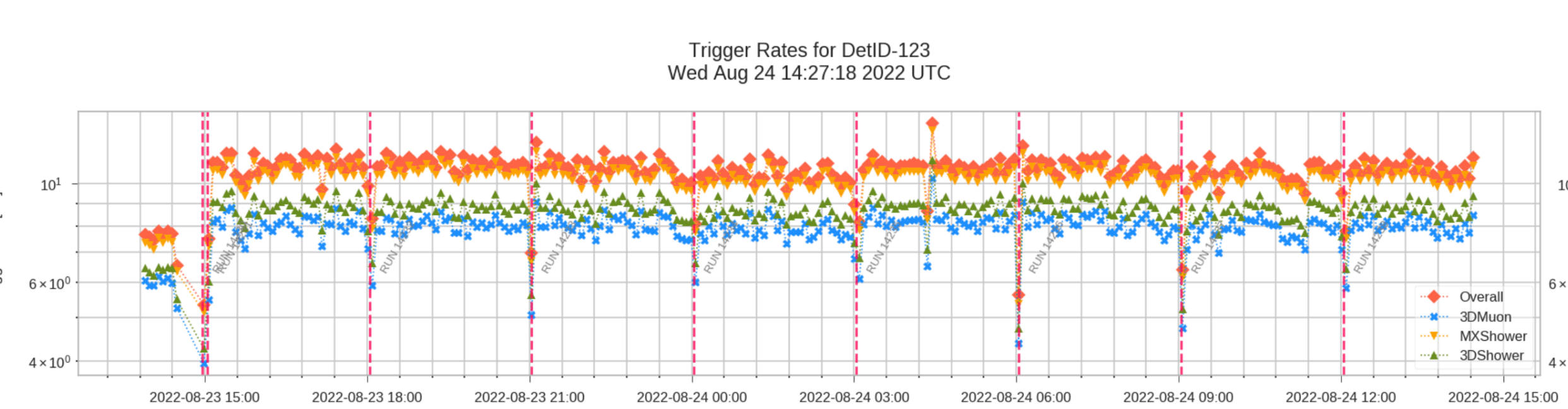
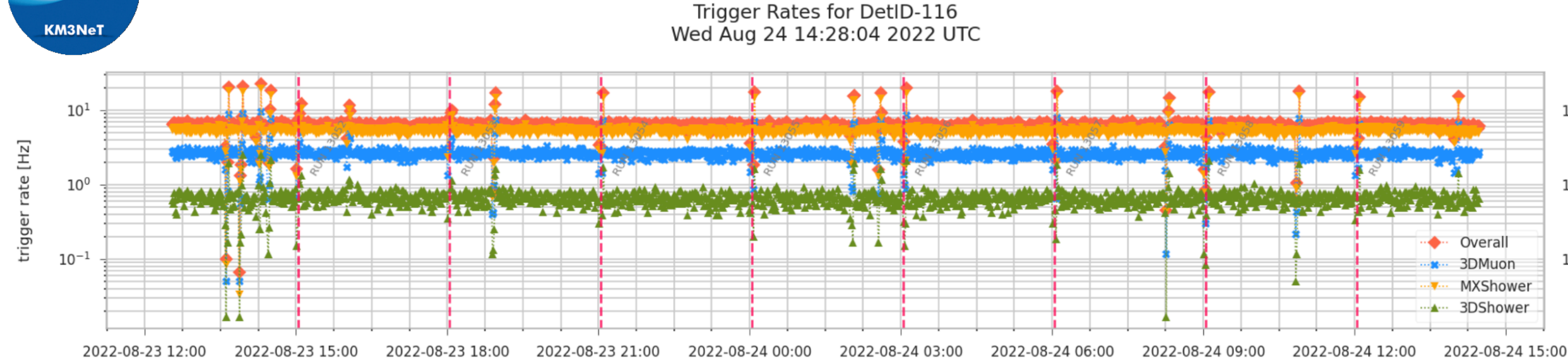
115 ORCA DUs

DAQ Overview: ORCA sea infrastructure (asymmetric network)



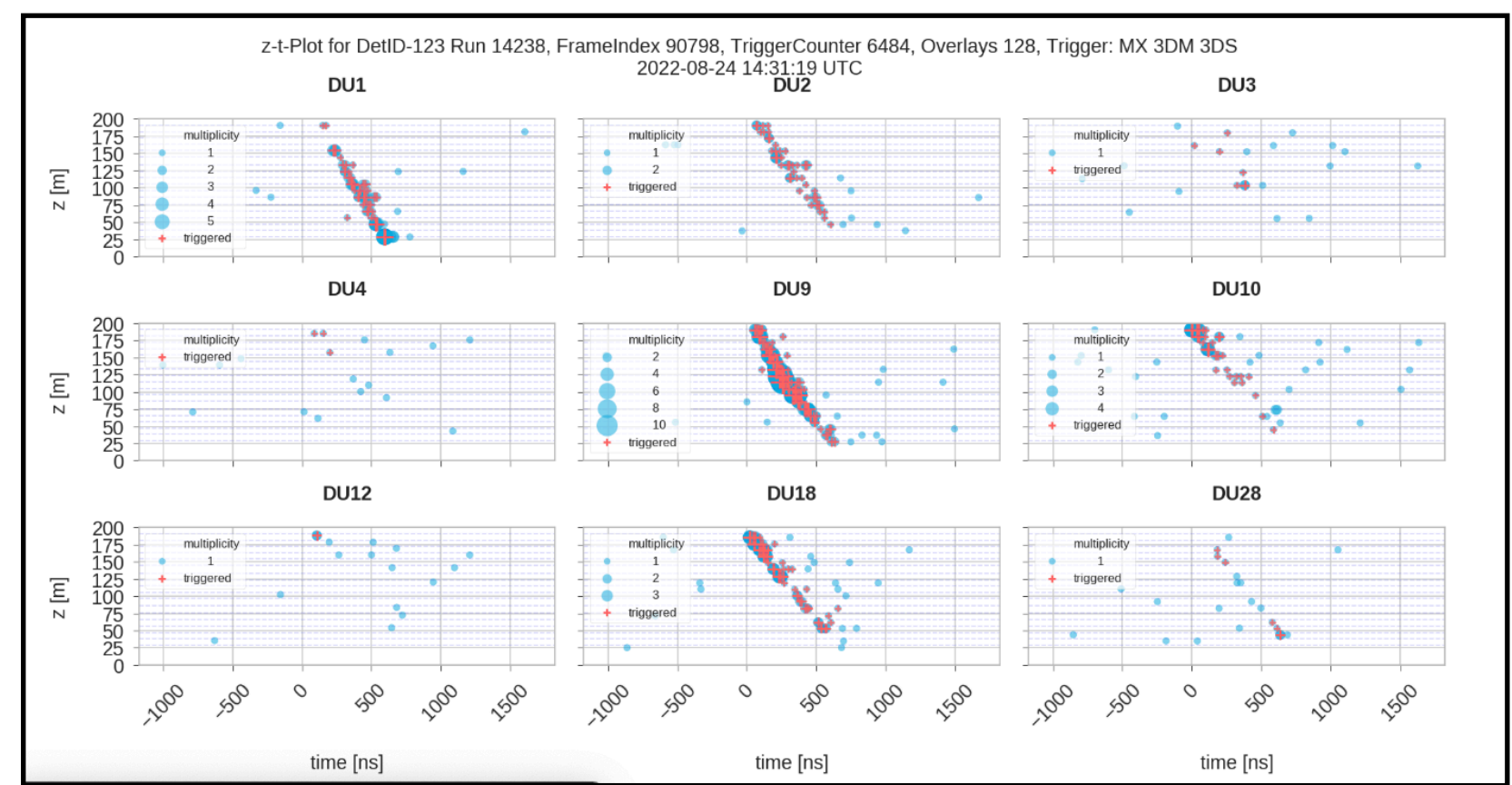
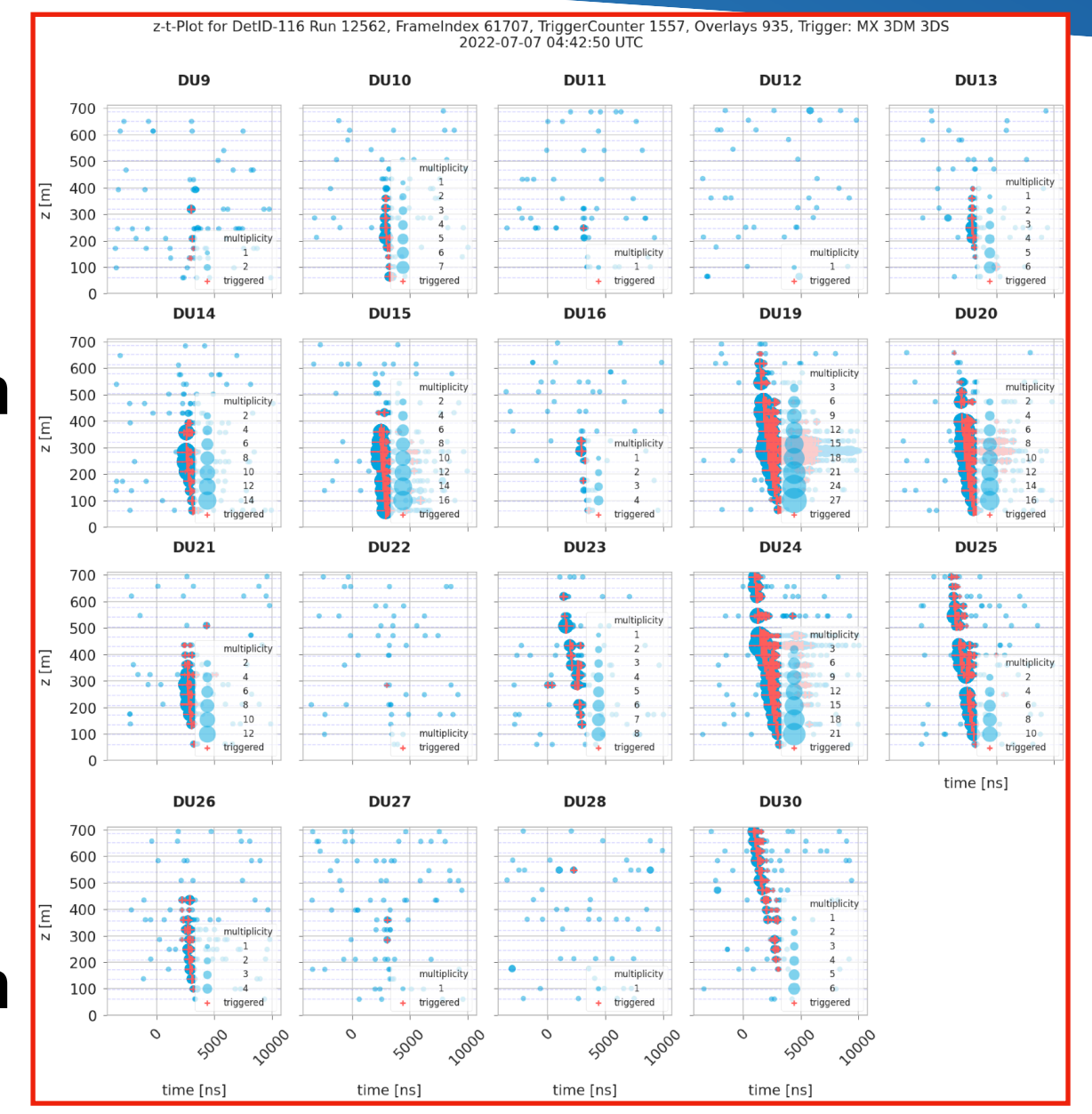






ARCA
@-3500m

ORCA
@-2500m



- L0**: all hits over threshold (i.e. all hits sent by the CLBs)
- L1**: pairs of hits of the same DOM within 25(10)ns.
- L2-trigger level**

<http://svn.km3net.de/Jpp/trunk/documentation/JTrigger/>

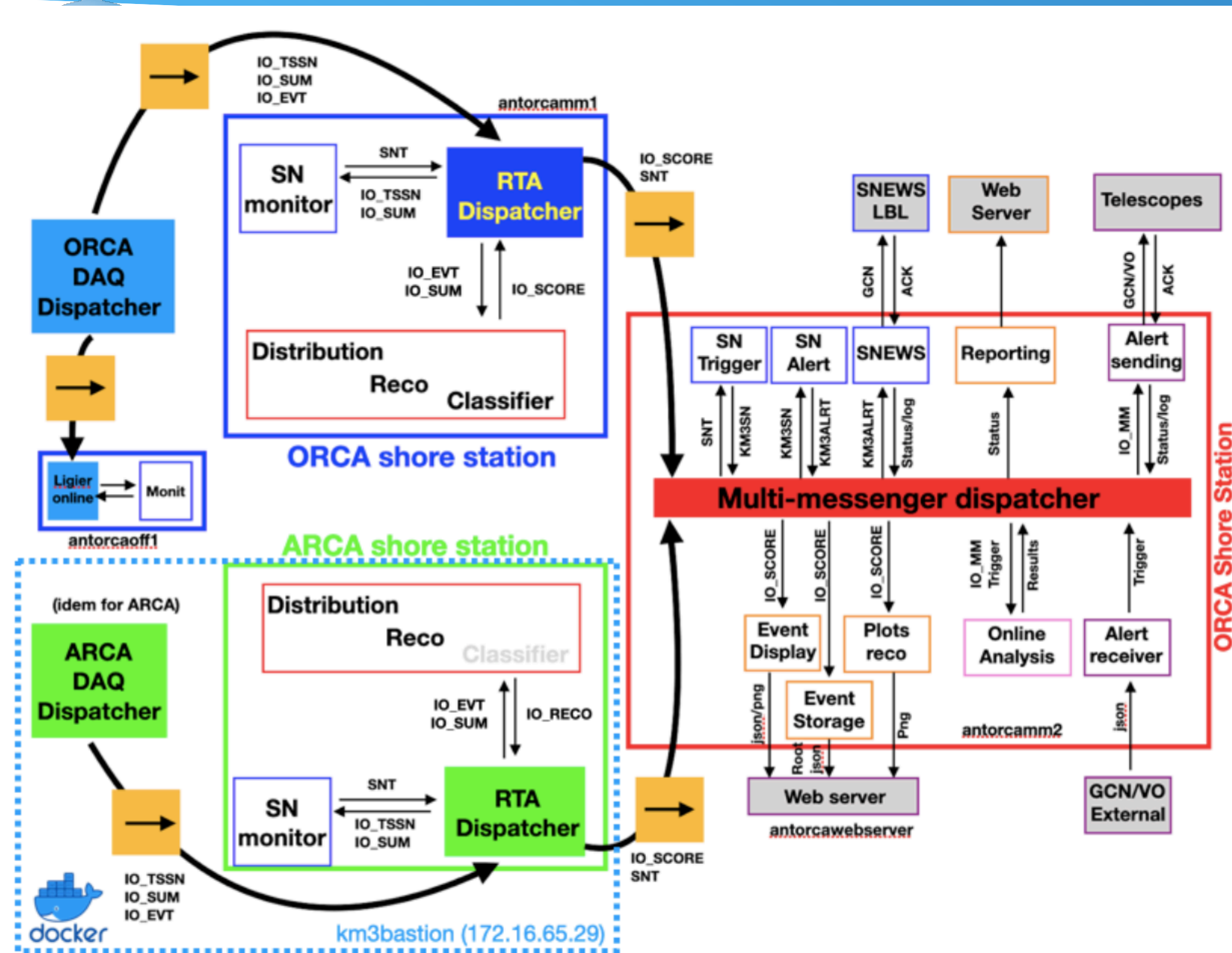
- 3D-Trigger** - general concept:
 - A minimum n. of **consecutive** L1s $\geq N_{th}$ within a ΔT (at least $n_{DOM} \geq 2$ or 5)
 - 3D-causality filter : $|t_i - t_j| \leq |\vec{x}_i - \vec{x}_j| \frac{n}{c} + T_{MaxExtra}$
 - The trigger is set if the n. of satisfying hits is $\geq N'_{th}$

- 3D-Muon/Shower**
Assumes an extended track-like / short pulse shape for the event topology
- MX-Shower**
Combines L0s and L1s within a limited space in the detector.

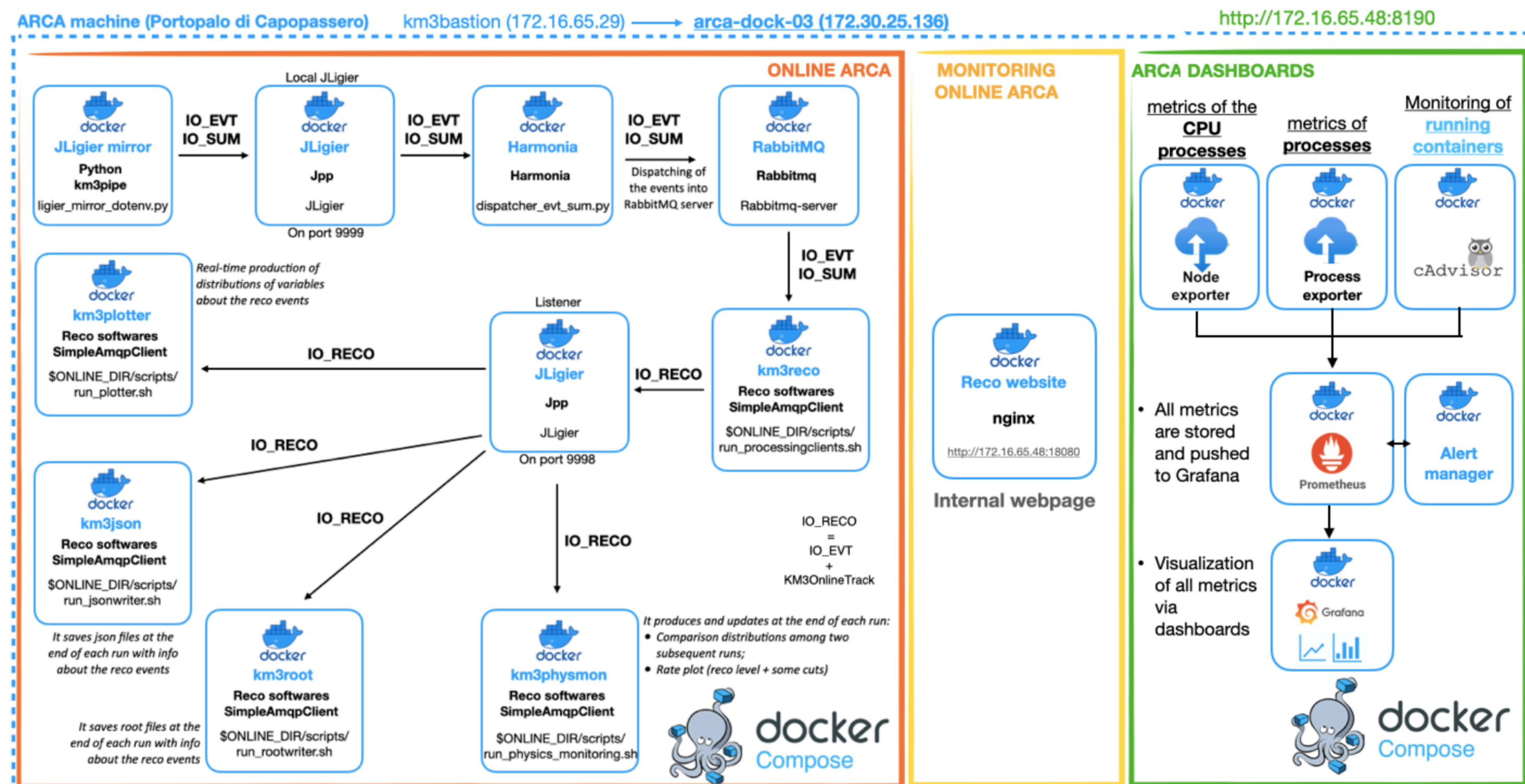
ctMin (minimal cosine of the angle between PMTs axis) used as alignment parameter

Trigger settings passed to the Data Filters via the run setups by the Control Unit

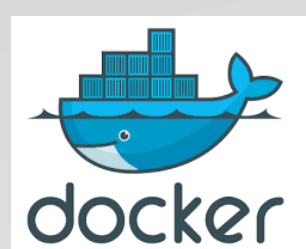
Trigger algorithms developed within a large C++ software framework, **Jpp**. The same codes are used for the on-line DAQ as well as off-line analysis.



- Event processing done separately for ARCA and ORCA at each shore station
- Same **processing structure** but different software organisation (in ARCA the docker approach is adopted).
- The output of the reconstructed events by ARCA and ORCA at the end of each run (.json files) is stored in a common dispatcher (MM dispatcher)



AIACE is a collection of *playbook* for the installation and configuration of the computing resources and network devices. It makes use of *ANSIBLE* for building and multiplexing the command to be executed on the various servers. This is used to coordinate both the OS and software deployment as well as their configuration.



DOCKER images, one for each DAQ process, are compound of the dedicated libraries and service software.

The deployment of DAQ processes is handled via AIACE, and consists of the creation of many independent Docker containers on the due needed servers.

Docker images includes also ControlUnit services, like the LAP to apply the **Dynamic Provisioning Manager** (DPM), which “keep-alive” the DAQ processes and organises the roles of the various servers

At present, both for **ARCA19** and **ORCA11**:

- 2x DataQueue processes (on 2 independent server)
- 30x Optical Data Filter processes (on 2 independent server)
- 1x Acoustic Data Filter (on 1 independent server)
- 1x DataWriter together with 1 DataDispatcher (on 1 independent server)

