

Strongly coupled chiral theories...

...from the point of view of symmetries and anomalies

Andrea Luzio

SNS (Pisa), INFN

Venice, 13/06/2022

S. Bolognesi, K. Konishi and A.L. [arXiv:2206.00538 [hep-th]]

S. B., K. K. and A.L, Phys. Rev. D **103** (2021) no.9 [arXiv:2101.02601 [hep-th]]

S. B., K. K. and A.L., JHEP **08** (2021), 028 [arXiv:2105.03921 [hep-th]]

Why strongly coupled chiral gauge theories are interesting?

A very practical reason:

- Nature likes chiral theories (SM is an example).
- Also strongly coupled chiral theories might be out there.
- But, until we understand them, we can not use them in model building.

Why are they so difficult?

- No solved examples.
- No general theorems.
- No lattice experiments.

We shall look aside!

Our toolset...

- Good old 't Hooft anomaly matching + new generalizations...
 - gauging discrete groups,
 - gauging generalized symmetries.
- IR EFT consistency with the
 - spontaneously broken symmetries
 - anomalously broken symmetries
- Large-N limit (we will not use it).

...is limited.

We will study some examples of asymptotically free $SU(N)$ gauge theories by applying mostly the first two tools.

't Hooft anomaly matching

- We have a theory with a **global symmetry group** G .
 - We can **externally** gauge G (choose a **background** gauge field for G)
 - The 't Hooft anomalies that arise are **RG-flow invariant**

$$\text{UV theory} \left\{ \begin{array}{c} \text{Diagram showing two gluons } G \text{ exchange between two vertices} \\ \text{Diagram showing two gluons } G \text{ exchange between two vertices} \end{array} \right. = \text{Diagram showing two gluons } G \text{ exchange between two vertices} \quad \left. \right\} \text{IR theory}$$

- If

$$G \xrightarrow{\text{SSB}} H$$

you have to check only the anomalies of H .

Our examples

Two $SU(N)$ chiral gauge theories:

- The $\psi\eta$ (Bars-Yankielowicz) model

$$\begin{array}{c} \psi \\ \overbrace{\square \quad \square} \\ + \quad (N+4) \end{array} \quad \begin{array}{c} \eta \\ \overbrace{\square} \end{array}$$

- The $\psi\chi\eta$ model

$$\begin{array}{c} \psi \\ \overbrace{\square \quad \square} \\ + \quad \begin{array}{c} - \\ \overbrace{\square \quad \square} \\ \chi \end{array} \quad + \quad 8 \end{array} \quad \begin{array}{c} - \\ \overbrace{\square} \\ \eta \end{array}$$

We will study some of their possible IR descriptions, by using

't Hooft anomaly matching

IR effective theory consistency

$\psi\eta$ -model

$$SU(N)YM + \underbrace{\begin{array}{|c|c|}\hline & \\ \hline & \\ \hline \end{array}}_{\psi^{ij}} + \underbrace{(N+4)}_{\eta_i^A} \in \bar{\square}$$

$$\beta = -\frac{g^3}{16\pi^2} \frac{9N-6}{3} \ll 0 \implies \text{strongly coupled in IR}$$

The classical global symmetries

$$SU(N+4)_\eta \times \underbrace{U(1)_{\psi\eta}}_{\begin{cases} \psi \rightarrow e^{i\frac{N+4}{N^*}\alpha} \psi \\ \eta \rightarrow e^{-i\frac{N+2}{N^*}\alpha} \eta \end{cases}} \times \underbrace{U(1)_{\text{an}}}_{\begin{cases} \psi \rightarrow e^{i\delta} \psi \\ \eta \rightarrow \eta \end{cases}}$$

$$N^* = \gcd(N, 2) \quad \alpha, \delta \in (0, 2\pi)$$

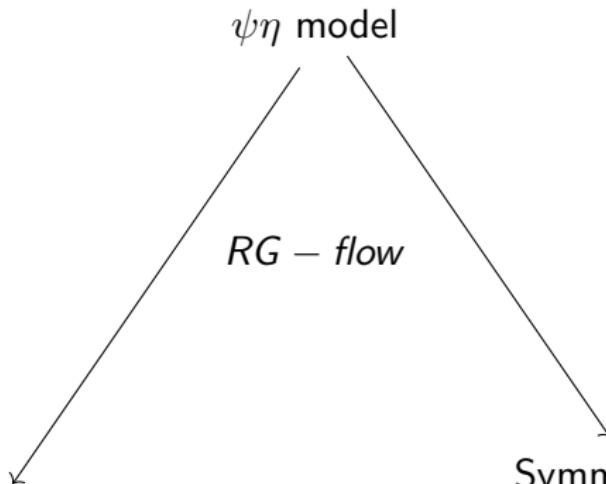
$\psi\eta$ -model

$$SU(N)YM + \underbrace{\square \square}_{\psi^{ij}} + \underbrace{(N+4)}_{\eta_i^A} \in \bar{\square}$$

are reduced by the strong anomaly $U(1)_{an}$ $SU(N)_c$ to $SU(N)_c$

$$SU(N+4)_\eta \times \underbrace{U(1)_{\psi\eta}}_{\begin{cases} \psi \rightarrow e^{i\frac{N+4}{N^*}\alpha} \psi \\ \eta \rightarrow e^{-i\frac{N+2}{N^*}\alpha} \eta \end{cases}} \times \underbrace{U(1)_{an}}_{\text{Red X}} \quad N^* = gcd(N, 2) \quad \alpha \in (0, 2\pi)$$

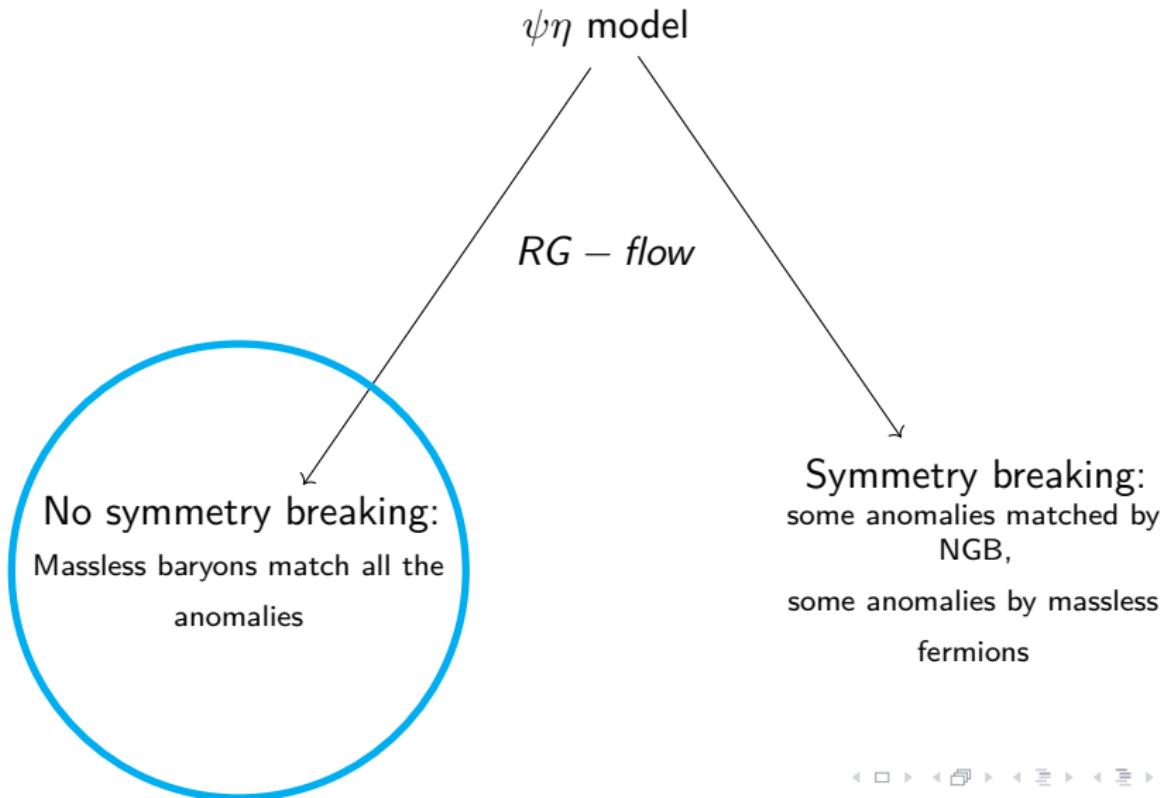
RG-flow: two possibilities



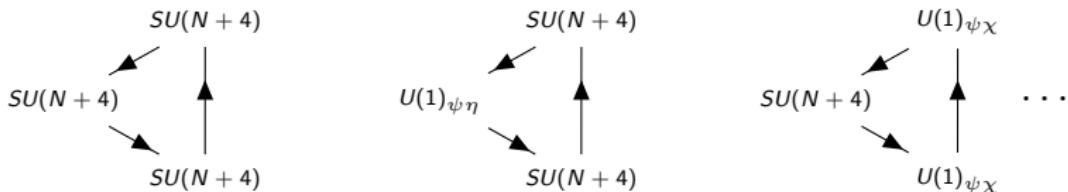
No symmetry breaking:
Massless baryons match all the
anomalies

Symmetry breaking:
some anomalies matched by
NGB,
some anomalies by massless
fermions

RG-flow: two possibilities



Many (standard) 't Hooft anomalies



but mass-less baryons...

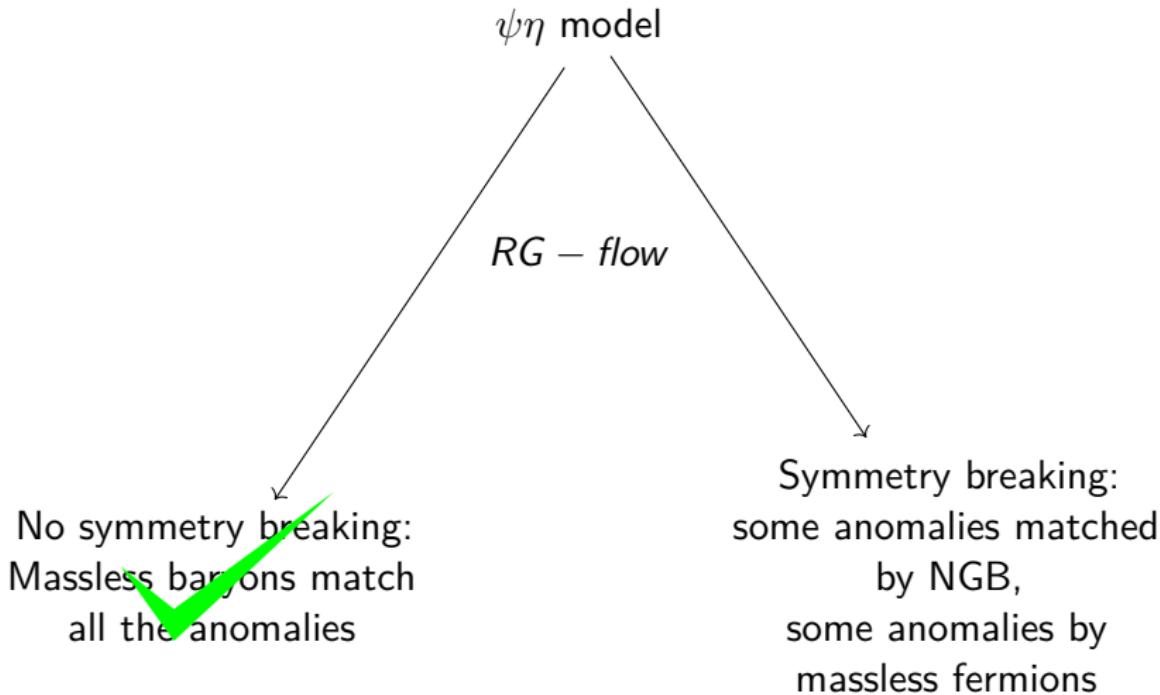
$$B^{[AB]} \sim \psi^{ij} \eta_i^{[A} \eta_j^{B]}$$

...match all of them....

$$\underbrace{\begin{array}{c} SU(N+4) \\ U(1)_{\psi \eta} \\ \downarrow \\ SU(N+4) \end{array}}_{N_c \cdot \left(-\frac{N+2}{N^*}\right) \cdot d(\square)} = \underbrace{\begin{array}{c} SU(N+4) \\ U(1)_{\psi \eta} \\ \downarrow \\ SU(N+4) \end{array}}_{\left(-\frac{N+4}{N^*}\right) \cdot d\left(\begin{array}{|c|c|} \hline & \\ \hline \end{array}\right)}$$

...in a very nontrivial way!

RG-flow: two possibilities



Generalized 't Hooft anomaly matching

More detail (for even N)

$$\begin{pmatrix} \psi \\ \eta \end{pmatrix} \xrightarrow{e^{i\frac{2\pi}{N}} \in SU(N)_c} \begin{pmatrix} e^{i\frac{4\pi}{N}} \psi \\ e^{-i\frac{2\pi}{N}} \eta \end{pmatrix} \xrightarrow{\mathbb{Z}_2^F \times U(1)_{\psi\eta}} \begin{pmatrix} \psi \\ \eta \end{pmatrix}$$

in other words

$$SU(N) \cap (U(1)_{\psi\eta} \times \mathbb{Z}_2^F) = \mathbb{Z}_N$$

similarly

$$SU(8) \cap (U(1)_{\psi\eta} \times \mathbb{Z}_2^F) = \mathbb{Z}_{N+4}$$

to perform the 't Hooft anomaly matching we shall gauge

$$\frac{SU(N+4) \times U(1)_{\psi\eta} \times \mathbb{Z}_2^F}{\mathbb{Z}_N \times \mathbb{Z}_{N+4}} \quad \text{not} \quad SU(N+4) \times U(1)_{\psi\eta}$$

Is that useful?

There are many $SU(N)_c \times \frac{SU(N+4) \times U(1)_{\psi\eta} \times \mathbb{Z}_2^F}{\mathbb{Z}_N \times \mathbb{Z}_{N+4}}$ -bundles...

...that are not $SU(N)_c \times SU(N+4) \times U(1)_{\psi\chi}$ -bundles.

(This can be understood as the gauging of a $\mathbb{Z}_N^{(1)}$ and a $\mathbb{Z}_{N+4}^{(1)}$ 1-form symmetries)

$SU(N)_c \times SU(N+4) \times U(1)_{\psi\chi}$	$SU(N)_c \times \frac{SU(N+4) \times U(1)_{\psi\eta} \times \mathbb{Z}_2^F}{\mathbb{Z}_N \times \mathbb{Z}_{N+4}}$
$\frac{1}{8\pi^2} \int tr(f_c \wedge f_c) = \mathcal{I}_c$	$\frac{1}{8\pi^2} \int tr(f_c \wedge f_c) = \mathcal{I}_c - \frac{\mathcal{B}_N}{N}$
$\frac{1}{8\pi^2} \int tr(F_{N+4} \wedge F_{N+4}) = \mathcal{I}_{N+4}$	$\frac{1}{8\pi^2} \int tr(F_{N+4} \wedge F_{N+4}) = \mathcal{I}_{N+4} - \frac{\mathcal{B}_{N+4}}{N+4}$
$\frac{1}{8\pi^2} \int dA_{\psi\eta} dA_{\psi\eta} = \mathcal{I}_{\psi\eta}$	$\frac{1}{8\pi^2} \int dA_{\psi\eta} dA_{\psi\eta} = \mathcal{I}_{\psi\eta} + \frac{\mathcal{B}_N}{N^2} + \frac{\mathcal{B}_{N+4}}{(N+4)^2}$

Topological number fractionalizes!

$SU(N)_c \times SU(N+4) \times U(1)_{\psi\chi}$	$SU(N)_c \times \frac{SU(N+4) \times U(1)_{\psi\eta} \times \mathbb{Z}_2^F}{\mathbb{Z}_N \times \mathbb{Z}_{N+4}}$
$\frac{1}{8\pi^2} \int tr(f_c \wedge f_c) = \mathcal{I}_c$	$\frac{1}{8\pi^2} \int tr(f_c \wedge f_c) = \mathcal{I}_c - \frac{\mathcal{B}_N}{N}$
$\frac{1}{8\pi^2} \int tr(F_{N+4} \wedge F_{N+4}) = \mathcal{I}_{N+4}$	$\frac{1}{8\pi^2} \int tr(F_{N+4} \wedge F_{N+4}) = \mathcal{I}_{N+4} - \frac{\mathcal{B}_{N+4}}{N+4}$
$\frac{1}{8\pi^2} \int dA_{\psi\eta} dA_{\psi\eta} = \mathcal{I}_{\psi\eta}$	$\frac{1}{8\pi^2} \int dA_{\psi\eta} dA_{\psi\eta} = \mathcal{I}_{\psi\eta} + \frac{\mathcal{B}_N}{N^2} + \frac{\mathcal{B}_{N+4}}{(N+4)^2}$

By acting with (\mathbb{Z}_2^F)

$$\begin{aligned}\mathcal{Z}_{UV} &\rightarrow \mathcal{Z}_{UV} \\ \mathcal{Z}_{\text{baryons}} &\rightarrow \mathcal{Z}_{\text{baryons}}\end{aligned}$$

No new anomaly

$$SU(N)_c \times SU(N+4) \times U(1)_{\psi\chi}$$

$$\frac{1}{8\pi^2} \int tr(f_c \wedge f_c) = \mathcal{I}_c$$

$$\frac{1}{8\pi^2} \int tr(F_{N+4} \wedge F_{N+4}) = \mathcal{I}_{N+4}$$

$$\frac{1}{8\pi^2} \int dA_{\psi\eta} dA_{\psi\eta} = \mathcal{I}_{\psi\eta}$$

$$SU(N)_c \times \frac{SU(N+4) \times U(1)_{\psi\eta} \times \mathbb{Z}_2^F}{\mathbb{Z}_N \times \mathbb{Z}_{N+4}}$$

$$\frac{1}{8\pi^2} \int tr(f_c \wedge f_c) = \mathcal{I}_c - \frac{\mathcal{B}_N}{N}$$

$$\frac{1}{8\pi^2} \int tr(F_{N+4} \wedge F_{N+4}) = \mathcal{I}_{N+4} - \frac{\mathcal{B}_{N+4}}{N+4}$$

$$\frac{1}{8\pi^2} \int dA_{\psi\eta} dA_{\psi\eta} = \mathcal{I}_{\psi\eta} + \frac{\mathcal{B}_N}{N^2} + \frac{\mathcal{B}_{N+4}}{(N+4)^2}$$

By acting with (\mathbb{Z}_2^F)

$$\mathcal{Z}_{UV} \rightarrow \overbrace{(-1)}^{e^{i\pi(\mathcal{B}_N + \mathcal{B}_{N+4})}} \mathcal{Z}_{UV}$$
$$\mathcal{Z}_{\text{baryons}} \rightarrow \mathcal{Z}_{\text{baryons}}$$

Anomaly mismatch!

On a **smooth manifold** there is a problem:

$$\mathcal{B}_N + \mathcal{B}_{N+4} \text{ is always even.}$$

(P. B. Smith, A. Karasik, N. Lohitsiri and D. Tong, JHEP 01 (2022), 112.)

This erases the new anomaly:

$$\mathcal{Z}_{UV} \rightarrow \underbrace{-\mathcal{Z}_{UV}}_{-1 \sim e^{i\pi(\mathcal{B}_N + \mathcal{B}_{N+4})}} \xrightarrow{\text{simplifies}} \mathcal{Z}_{UV} \rightarrow \mathcal{Z}_{UV}$$

The new anomaly disappears!

By including \mathbb{Z}_2^F defects the obstruction is lifted...

...but the defect can carry its own anomaly, **still work in progress.**

In the meantime, let us turn to some more heuristic arguments...

RG-flow: two possibilities

$\psi\eta$ model

RG – flow

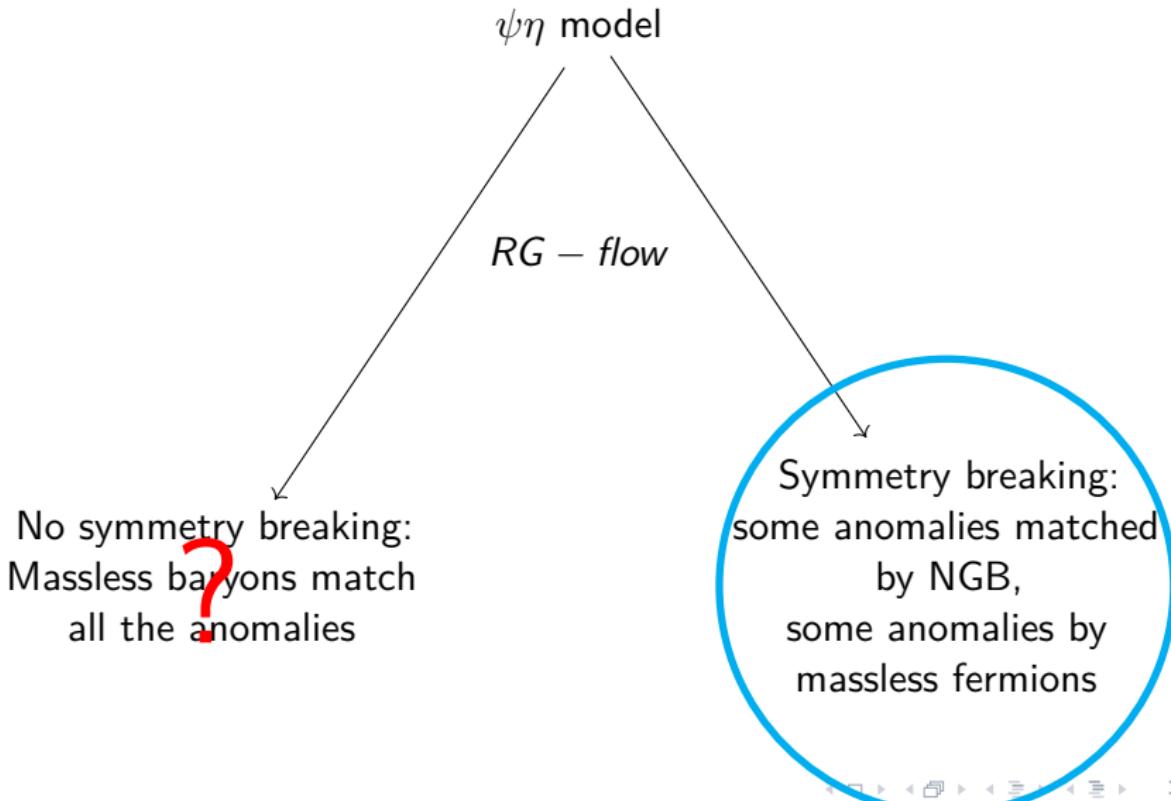


No symmetry breaking:
Massless baryons match
all the anomalies



Symmetry breaking:
some anomalies matched
by NGB,
some anomalies by
massless fermions

RG-flow: two possibilities



Color-Flavor-Locking (CFL)

$$\langle \psi^{\{ij\}} \eta_i^B \rangle \sim \Lambda^3 \underbrace{\left(\begin{array}{cccc|cccc} 1 & 0 & \dots & 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & \dots & 0 & 0 & 0 & 0 & 0 \\ \vdots & & \ddots & & 0 & 0 & 0 & 0 \\ 0 & 0 & \dots & 1 & 0 & 0 & 0 & 0 \end{array} \right)}_{N+4 \text{ flavor}} \Bigg\} N \text{ color}$$

$$SU(N)_c \times SU(N+4)_\eta \times U(1)_{\psi\eta} \rightarrow SU(N)_{cf} \times SU(4) \times U(1)'$$

- The entire $SU(N)_c$ is broken, all the gluons are gapped.
-

$$U(1)_{\psi\eta} \times \underbrace{U(1)_D}_{\in SU(N+4)} \rightarrow U(1)' .$$

- $8N + 1$ broken generators $\implies 8N + 1$ NGBs.

- The fermionic spectrum can be read from weak-coupling, by gapping the vector-like components:

	fields	$SU(N)_{\text{cf}}$	$SU(4)_f$	$U'(1)$
UV	ψ		$\frac{N(N+1)}{2} \cdot (\cdot)$	1
	η^{A_1}		$N^2 \cdot (\cdot)$	-1
	η^{A_2}	$4 \cdot \bar{\square}$	$N \cdot \square$	$-\frac{1}{2}$

One can imagine...

$$(\psi^{ij} \eta_{jA})^\dagger (\psi^{ij} \eta_{jA}) \xrightarrow{<(\psi^{ij} \eta_{jA})^\dagger> \sim \delta^{iA}} M (\psi^{ij} \eta_{ji})$$

All the 't Hooft anomalies matches trivially.

Gauge invariant formulation

$$U^{iB} = \langle \psi^{\{ij\}} \eta_i^B \rangle = C \Lambda^3 \delta^{iB} \implies \det U = \epsilon_{j_1 \dots j_N} \psi^{\{ij_1\}} \eta_i^{B_1} \dots \psi^{\{ij_N\}} \eta_i^{B_N}$$

	fields	$SU(N)_{\text{cf}}$	$SU(4)_f$	$U'(1)$
UV	ψ		$\frac{N(N+1)}{2} \cdot (\cdot)$	1
	η^{A_1}		$N^2 \cdot (\cdot)$	-1
	η^{A_2}	$4 \cdot \bar{\square}$	$N \cdot \square$	$-\frac{1}{2}$
IR	$B^{[A_1 B_1]}$		$\frac{N(N-1)}{2} \cdot (\cdot)$	-1
	$B^{[A_1 B_2]}$	$4 \cdot \bar{\square}$	$N \cdot \square$	$-\frac{1}{2}$

$$B^{A_1 B_1} \sim \psi^{ij} \eta_i^{A_1} \eta_j^{B_1}$$

$$B^{A_1 B_2} \sim \psi^{ij} \eta_i^{A_1} \eta_j^{B_2}$$

We have to understand what happens to

$$U(1)_{an} : \begin{cases} \psi \rightarrow e^{i\alpha} \psi \\ \eta \rightarrow \eta \end{cases}$$

broken by the instanton

broken by the condensate

Exact global symmetries \leftrightarrow 't Hooft anomalies

Anomalously broken symmetries \leftrightarrow ?

Strong anomaly matching?

Let us see what happens in QCD (the η' problem!)

In QCD:

- $U(1)_A$ is broken by the instantons and broken by $U^i_j = \langle \psi^i \bar{\psi}_j \rangle$.
- The effect of this anomaly is reproduced in the IR lagrangian

$$\mathcal{L}_{IR} \subset \frac{i}{2} q(x) \log \frac{\det U}{\det U^\dagger} + \frac{N}{a_0 F_\pi^2} q^2(x) - \theta q(x) \quad q(x) = \frac{1}{4\pi^2} F \tilde{F}$$

- Under $\psi \rightarrow e^{i\gamma^5 \alpha} \psi$

$$\mathcal{L}_{IR} \rightarrow \mathcal{L}_{IR} + 2N_f \alpha q(x) \quad \text{the anomaly is reproduced}$$

- Integrating $q(x)$ away... $\mathcal{L}_{eff} \supset -\frac{F_\pi^2 a_0}{4N} \left(\theta - \frac{i}{2} \log \frac{\det U}{\det U^\dagger} \right)^2$

This make sense only if $\langle U \rangle \neq 0!$

Can we do something similar in the $\psi\eta$ model?

$$\mathcal{L}_{IR} \subset \frac{i}{2} q(x) \log \frac{\det U}{\det U^\dagger} \quad \begin{cases} \checkmark & \text{gauge invariant} \\ \checkmark & U(1)_{an} - [SU(N)_c]^2 \text{ anomaly} \\ \times & \text{not invariant under } G_F! \end{cases}$$

Let us make it invariant under the full $G_F = SU(N) \times SU(N+4) \times U(1)_{\psi\eta}$

$$\mathcal{L} \subset \frac{i}{2} q(x) \left(\log (\epsilon BB \det U) - \log (\epsilon BB \det U)^\dagger \right)$$

$$\epsilon BB \det U = \epsilon_{i_1, \dots, i_{N+4}} \epsilon_{j_1, \dots, j_{N_c}} B^{N+1, N+2} B^{N+3, N+3} U^{i_1, j_1} \dots U^{i_N, j_N}$$

✓ gauge invariant

✓ strong anomaly

✓ invariant under G_F

- To define $\frac{i}{2}q(x) \left(\log(\epsilon BB \det U) - \log(\epsilon BB \det U)^\dagger \right)$ we need

$$B^{A_2 B_2} \quad A_2, B_2 = N+1, \dots, N+4$$

	fields	$SU(N)_{\text{cf}}$	$SU(4)_{\text{f}}$	$U'(1)$
IR	$B^{[A_1 B_1]}$		$\frac{N(N-1)}{2} \cdot (\cdot)$	-1
	$B^{[A_1 B_2]}$	$4 \cdot \bar{\square}$	$N \cdot \square$	$-\frac{1}{2}$
	$B^{[A_2 B_2]}$	$6 \cdot (\cdot)$		0

- Both $\langle \det U \rangle \neq 0$ and $\langle \epsilon BB \rangle \neq 0$.
- By expanding $\log \epsilon BB \det U$ one gets a mass for

$$\tilde{\pi} \propto \frac{\pi_1}{F_\pi^{(1)}} + \frac{\pi_2}{F_\pi^{(2)}} \quad \langle \det U \rangle \sim e^{i \frac{\pi_1(x)}{F_\pi^{(1)}}} \quad \langle \epsilon BB \rangle \sim e^{i \frac{\pi_2(x)}{F_\pi^{(2)}}}$$

(the η' for the $\psi\eta$ model).

$\psi\chi\eta$ -model

$$SU(N)YM + \underbrace{\begin{array}{|c|c|}\hline & \\ \hline & \\ \hline \end{array}}_{\psi^{ij}} + \underbrace{\begin{array}{|c|}\hline & \\ \hline & \\ \hline & \\ \hline \end{array}}_{\chi_{ij}} + 8 \in \underbrace{\begin{array}{|c|}\hline & \\ \hline & \\ \hline & \\ \hline \end{array}}_{\eta_i^A}$$

Still a strongly coupled system

$$\beta = -\frac{g^3}{16\pi^2} \frac{9N-8}{3} \ll 0 \implies \text{strongly coupled in IR.}$$

Again the classical symmetries

$$SU(8)_\eta \times \underbrace{\tilde{U}(1)}_{\begin{cases} \psi \rightarrow e^{2i\alpha} \psi \\ \chi \rightarrow e^{-2i\alpha} \chi \\ \eta \rightarrow e^{-i\alpha} \eta \end{cases}} \times \underbrace{U(1)_{\psi\chi}}_{\begin{cases} \psi \rightarrow e^{i\frac{N-2}{N^*}\beta} \psi \\ \chi \rightarrow e^{-i\frac{N+2}{N^*}\beta} \chi \\ \eta \rightarrow \eta \end{cases}} \times \underbrace{U(1)_{\text{an}}}_{\begin{cases} \psi \rightarrow e^{i\delta} \psi \\ \chi \rightarrow e^{-i\delta} \chi \end{cases}}$$

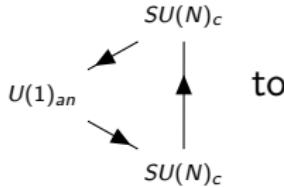
$\psi\chi\eta$ -model

$$SU(N)YM + \underbrace{\begin{array}{|c|c|}\hline & \\ \hline & \\ \hline \end{array}}_{\psi^{ij}} + \underbrace{\begin{array}{|c|}\hline & \\ \hline & \\ \hline \end{array}}_{\chi_{ij}} + 8 \in \underbrace{\begin{array}{|c|}\hline & \\ \hline & \\ \hline \end{array}}_{\eta_i^A}$$

Still a strongly coupled system

$$\beta = -\frac{g^3}{16\pi^2} \frac{9N-8}{3} \ll 0 \implies \text{strongly coupled in IR.}$$

are broken by the strong anomaly



$$SU(8)_\eta \times \underbrace{\tilde{U}(1)}_{\begin{cases} \psi \rightarrow e^{2i\alpha} \psi \\ \chi \rightarrow e^{-2i\alpha} \chi \\ \eta \rightarrow e^{-i\alpha} \eta \end{cases}} \times \underbrace{U(1)_{\psi\chi}}_{\begin{cases} \psi \rightarrow e^{i\frac{N-2}{N^*}\beta} \psi \\ \chi \rightarrow e^{-i\frac{N+2}{N^*}\beta} \chi \\ \eta \rightarrow \eta \end{cases}} \times \underbrace{U(1)_a}_{\begin{cases} \psi \rightarrow e^{-i\alpha} \psi \\ \chi \rightarrow e^{-i\alpha} \chi \\ \eta \rightarrow e^{-i\alpha} \eta \end{cases}}$$

There is no simple way to match the (standard) anomalies:

$$\begin{array}{c} SU(8) \\ \swarrow \quad \uparrow \quad \searrow \\ SU(8) \end{array} \propto N \implies \mathcal{O}(N) \text{ IR fermions!}$$

Dynamical Abelianization

$$\langle \psi^{ij} \chi_{jk} \rangle \sim \begin{pmatrix} c_1 & 0 & \dots & 0 \\ 0 & c_2 & \dots & 0 \\ & & \ddots & \\ & & & c_N \end{pmatrix} \quad c_i \neq c_j$$

where

$$SU(N)_c \times \frac{SU(8) \times U(1)_{\psi\chi} \times \tilde{U}(1)}{\mathbb{Z}_N \times \mathbb{Z}_{8/N^*}} \rightarrow \prod_{\ell=1}^{N-1} U(1)_\ell \times \frac{SU(8) \times \tilde{U}(1)}{\mathbb{Z}_N \times \mathbb{Z}_2}$$

This produces naturally $\mathcal{O}(N)$, $SU(8)$ charged massless fermions.

The IR-spectrum

- $SU(N)_c \xrightarrow{<\psi\chi>} U(1)^{N-1}$: there are $N - 1$ "photons".

- $U(1)_{\psi\chi} \xrightarrow{<\psi\chi>\neq 0} \mathbb{Z}_{4/N^*} \implies \pi(x)$ NGB

$$<\psi\chi> = (\text{const}) \cdot e^{i \frac{\pi(x)}{F_\pi}} .$$

- ψ^{ij} and χ_{ij} , $i \neq j$, pair up and gap together:

$$\left(\psi^{i\ell}\chi_{\ell j}\right)^* \psi^{im}\chi_{mj} \xrightarrow{<\psi\chi>\neq 0} (c_i - c_j)\psi^{ij}\chi_{ij} .$$

- ψ^{ii} and η_i^A are chiral, and remain mass-less.
- All the standard 't Hooft anomalies are matched trivially.

Let's explore the

Consistency of the IR EFT

With the massless d.o.f. we can construct an IR theory...

$$\mathcal{L}^{(\text{eff})} = \mathcal{L}(\psi, \eta, A_\mu^{(i)}) + \mathcal{L}(\pi) - \mathcal{V}(\pi, \psi, \eta) + \dots$$

$$\mathcal{L}(\psi, \eta, A_\mu^{(i)}) = \bar{\psi} i\bar{\sigma} D_A \psi + \bar{\chi} i\bar{\sigma} D_A \chi + \frac{1}{2e_\ell} F_\ell^{\mu\nu} F_\ell^{\mu\nu} \quad \mathcal{L}(\pi) = \partial_\mu \pi \partial^\mu \pi + \dots$$

Now we shall use all the symmetries...

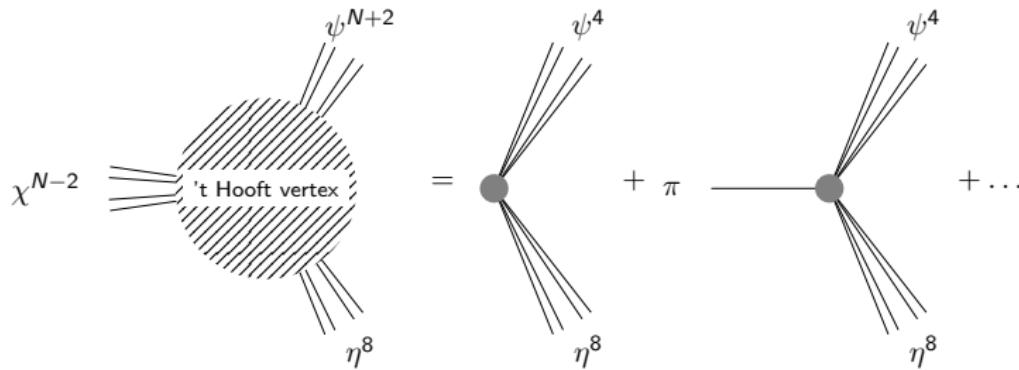
	unbroken by $\langle \psi \chi \rangle$	unbroken by the instanton
$SU(8) \times \tilde{U}(1)$	✓	✓
$U(1)_{\text{an}}$	✓	✗
$U(1)_{\psi\chi}$	✗	✓

...to constraint \mathcal{V} .

$$U(1)_{\text{an}} : \begin{cases} \psi \rightarrow e^{i\delta}\psi \\ \chi \rightarrow e^{-i\delta}\chi \end{cases}$$

$\langle \psi \chi \rangle$	instanton
✓	✗

- "Small instantons" \Rightarrow 't Hooft vertices



- "Large instantons" \Rightarrow leftover IR anomalous breaking

$$\partial_\mu J_{\text{an}}^\mu \quad \Rightarrow \quad \partial_\mu J_{\text{an}}^\mu = \frac{1}{16\pi^2} \sum_{\ell=1}^{N-1} \ell(\ell+1) F_{\mu\nu}^\ell \tilde{F}_{\mu\nu}^\ell$$

Diagram illustrating the source term for large instantons. A vertical wavy line labeled $A_\mu^{(\ell)}$ is shown with two arrows pointing downwards. It is connected to a horizontal wavy line labeled $A_\nu^{(\ell)}$ at one end and to a vertex labeled ψ^{ii} at the other end. Arrows point from the labels $\partial_\mu J_{\text{an}}^\mu$ and $A_\mu^{(\ell)}$ towards the vertex ψ^{ii} .

$$U(1)_{\psi\chi} : \begin{cases} \psi \rightarrow e^{i\frac{N-2}{N^*}\beta}\psi \\ \chi \rightarrow e^{-i\frac{N+2}{N^*}\beta}\chi \end{cases} \quad \begin{array}{c} <\psi\chi> \\ \textcolor{red}{X} \end{array} \quad \begin{array}{c} \text{instanton} \\ \textcolor{green}{\checkmark} \end{array}$$

$$U(1)_{\psi\chi} : \psi \rightarrow e^{i\frac{N-2}{N^*}\beta}\psi \quad \eta \rightarrow \eta \quad \pi \rightarrow \pi - \frac{4F_\pi}{N^*}\beta$$

$$\partial_\mu J^\mu_{\psi\chi} \quad \begin{array}{l} \nearrow A_\mu^{(\ell)} \\ \downarrow \psi^{ii} \\ \searrow A_\nu^{(\ell)} \end{array} \quad \Rightarrow \quad \partial_\mu J^\mu_{\psi\chi} = \frac{1}{16\pi^2} \frac{N-2}{N^*} \sum_{\ell=1}^{N-1} \ell(\ell+1) F_{\mu\nu}^\ell \tilde{F}_{\mu\nu}^\ell$$

...à la Fujikawa...

$$\int D\psi D\bar{\psi} \rightarrow \int D\psi D\bar{\psi} e^{i\beta \frac{1}{16\pi^2} \frac{N-2}{N^*} \sum_{\ell=1}^{N-1} \ell(\ell+1) F_{\mu\nu}^\ell \tilde{F}_{\mu\nu}^\ell}.$$

To restore $U(1)_{\psi\chi}$ we must add

$$\mathcal{V} \subset \frac{\pi}{16\pi^2} \frac{N-2}{4} \sum_{\ell=1}^{N-1} \ell(\ell+1) F_{\mu\nu}^\ell \tilde{F}_{\mu\nu}^\ell \quad \text{axion like coupling!}$$

Generalized 't Hooft anomaly matching

Again, the fact that the symmetry group is

$$G = SU(8) \times U(1)_{\psi\chi} \times \tilde{U}(1)$$

is a lie...it is

$$G = \frac{SU(8) \times U(1)_{\psi\chi} \times \tilde{U}(1)}{\mathbb{Z}_N \times \mathbb{Z}_8}$$

as

$$SU(N)_c \cap \tilde{U}(1) = \mathbb{Z}_N \quad SU(8) \cap \left(U(1)_{\psi\chi} \times \tilde{U}(1) \right) = \mathbb{Z}_8 .$$

To get the strongest constraint, we should gauge the correct symmetry group.

Again, flux fractionalization

$$\frac{1}{8\pi^2} \int \text{tr}(f_c \wedge f_c) = \mathcal{I}_1 \quad \frac{1}{8\pi^2} \int d\tilde{A} \wedge d\tilde{A} = \mathcal{I}_2$$



$$\frac{1}{8\pi^2} \int \text{tr}(f_c \wedge f_c) = \mathcal{I}_1 - \frac{1}{N} \mathcal{B}_N \quad \frac{1}{8\pi^2} \int d\tilde{A} \wedge d\tilde{A} = \mathcal{I}_2 + \frac{1}{N^2} \mathcal{B}_N$$

The relevant anomaly is from $\tilde{U}(1)$

$$\begin{array}{ccc}
 \begin{array}{c}
 \tilde{U}(1) \\
 \nearrow \quad \uparrow \quad \searrow \\
 U(1)_{\psi\chi} \quad \quad \quad \tilde{U}(1)
 \end{array}
 & = -\frac{4N^2}{N^*} &
 \begin{array}{c}
 \tilde{U}(1) \\
 \nearrow \quad \uparrow \quad \searrow \\
 U(1)_{\psi\chi} \quad \quad \quad \tilde{U}(1)
 \end{array}
 = -\frac{4}{N^*}
 \end{array}$$

flux fractionalization

$$\begin{array}{ll}
 \mathcal{Z} \rightarrow e^{-i\frac{4N^2}{N^*}\alpha} \mathcal{Z} & \mathcal{Z} \rightarrow e^{-i\frac{4}{N^*}\alpha} \mathcal{Z} \\
 U(1)_{\psi\chi} \rightarrow \mathbb{Z}_{4N^2/N^*} & U(1)_{\psi\chi} \rightarrow \mathbb{Z}_{4/N^*}
 \end{array}$$

Exactly reproduced by $\langle \psi\chi \rangle \neq 0 \implies U(1)_{\psi\chi} \rightarrow \mathbb{Z}_{4/N^*}$.

Few lessons and few perspectives:

- Generalized 't Hooft anomalies theoretically solid ✓
top. obs. can ruin the result ✗
- *Can the inclusion of defects lift some of these topological obstructions, without canceling the anomaly?*
- The "matching" of strong anomaly is an heuristic argument.
→ *Is it possible to render it more rigorous?*
- Some interesting features can be extracted from the symmetries alone, with some ad hoc arguments.
→ *Is it possible to re-organize them systematically?*

Thanks for the attention!

Backup slides



generalizing...

Bars-Yankielowicz models

$$SU(N) \text{ YM} + \boxed{} \boxed{} + (N+4) \bar{\boxed{}} (+ p \boxed{} + p \bar{\boxed{}})$$

$$\beta = -\frac{g^3}{16\pi^2} \frac{9N - 6 - 2p}{3} \implies \text{AF until } p \sim \frac{9/2}{N} - 3$$

Symmetries:

$$SU(N)_c \times U(N+4+p)_\eta \times U(p)_\zeta \times U(1)_\psi$$

↓ strong anomaly

$$SU(N)_c \times SU(N+4+p)_\eta \times SU(p)_{\bar{\eta}} \times U(1)_{\psi\eta} \times U(1)_{\psi\zeta}$$

$$U(1)_{\psi\eta} : \begin{cases} \psi \rightarrow e^{i(N+4+p)\alpha} \psi \\ \eta \rightarrow e^{-i(N+2)\alpha} \eta \end{cases} \quad U(1)_{\psi\zeta} : \begin{cases} \psi \rightarrow e^{i(p)\beta} \psi \\ \zeta \rightarrow e^{-i(N+2)\alpha} \zeta \end{cases}$$

Massless baryons in BY

Even more surprisingly in the $p \neq 0$ case...

$$SU(N)_c \times SU(N+4+p)_\eta \times SU(p)_{\bar{\eta}} \times U(1)_{\psi\eta} \times U(1)_{\psi\xi}$$

the baryons

$$(B_1)^{[AB]} = \psi^{ij} \eta_i^A \eta_j^B , \quad (B_2)_A^a = \bar{\psi}_{ij} \bar{\eta}_A^i \xi^{j,a} , \quad (B_3)_{\{ab\}} = \psi^{ij} \bar{\xi}_{i,a} \bar{\xi}_{j,b} ,$$

where

	$SU(N)_c$	$SU(N+4+p)$	$SU(p)$	$U(1)_{\psi\eta}$	$U(1)_{\psi\xi}$
B_1	$\frac{(N+4+p)(N+3+p)}{2} \cdot (\cdot)$		$\frac{(N+4+p)(N+3+p)}{2} \cdot (\cdot)$	$-N + p$	p
B_2	$(N+4+p)p \cdot (\cdot)$	$p \cdot \underline{\square}$	$(N+4+p) \cdot \underline{\square}$	$-(p+2)$	$-(N+p+2)$
B_3	$\frac{p(p+1)}{2} \cdot (\cdot)$	$\frac{p(p+1)}{2} \cdot (\cdot)$		$N + 4 + p$	$2N + 4 + p$

match all the standard anomalies!

Generalized 1-form global symmetries

0-form global symmetries act on 0-dimensional objects

$$\psi \rightarrow e^{i\alpha}\psi \quad \alpha \in (0, 2\pi).$$

1-form symmetries act on 1-dimensional objects

$$W[L] \rightarrow e^{-2\pi\epsilon[L]/N} W[L] \quad \epsilon \in H^1(\mathcal{M}, U(1)).$$

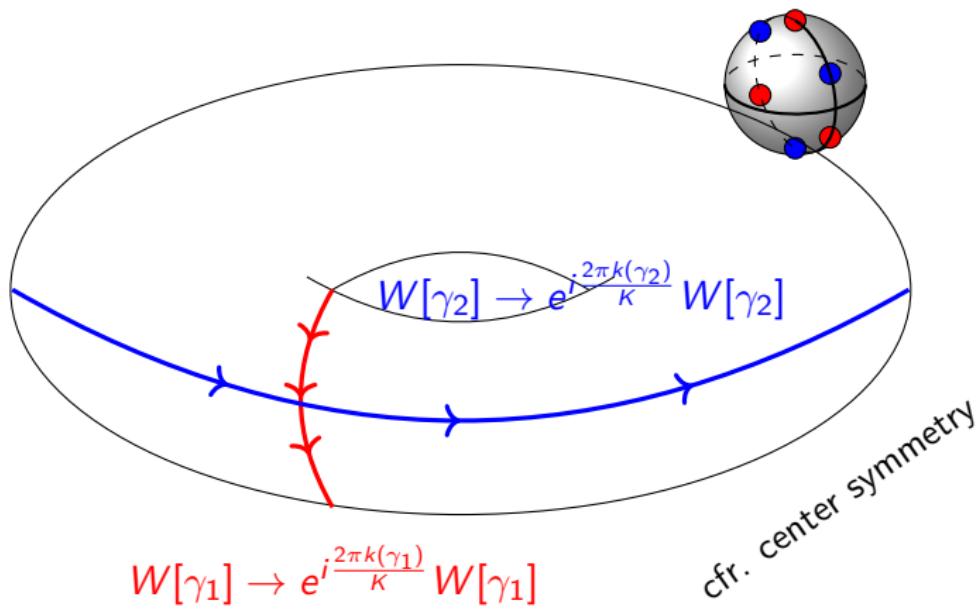
An example 1-form center symmetry

~ gauge symmetry with a wrong periodicity.

1-form symmetries can be gauged with a 2-form gauge field.

1-form center symmetry

What if I perform a "gauge transformation" with the wrong periodicity?



$$g(\theta) : S_1 \rightarrow R \quad g(\theta = 0) = \mathbb{1} \quad g(\theta = 2\pi) = e^{i\frac{2\pi}{\kappa} k} \mathbb{1}$$

1-form global CFL symmetry

In a $SU(N) \times \tilde{U}(1)$ bundle

$$\text{Cocycle condition} \quad g_{ij}g_{jk}g_{ki} = \mathbb{1} , \quad u_{ij}u_{jk}u_{ki} = 1 ,$$

so, if $z_{ij} \in \mathbb{Z}_N$ and

$$z_{ij}z_{jk}z_{ki} = 1$$

$$g_{ij} \rightarrow z_{ij}g_{ij} \quad u_{ij} \rightarrow z_{ij}^{-1}u_{ij}$$

is a 1-form \mathbb{Z}_N **global** symmetry.

Gauging the 1-form global CFL symmetry

Let's introduce the "2-form" gauge field...

Cocycle condition $g_{ij}g_{jk}g_{ki} = B_{ijk}$ $u_{ij}u_{jk}u_{ki} = B_{ijk}^{-1}$

so, if $z_{ij} \in \mathbb{Z}_N$ and

$$z_{ij}z_{jk}z_{ki} \neq 1$$

$$g_{ij} \rightarrow z_{ij}g_{ij} \quad u_{ij} \rightarrow z_{ij}^{-1}u_{ij}$$

is a 1-form \mathbb{Z}_N **gauge** symmetry.

This is nothing more than an $U(N)$ gauge field...

$$SU(N) \times U(1) \xrightarrow{\text{gauge } Z_N^{(1)}} U(N)$$

Tumbling $\psi\chi\eta$

Dynamical Abelianization in the $\psi\chi\eta$ model can be thought as the end point of a tumbling process...

