

# Stringy Quintessence Models in the Swampland

Max Brinkmann

TFI, Venice

13.06.2022



# Coming soon to an arXiv near you!

Based on "**Stringy multifield quintessence and the swampland**"  
with Michele Cicoli, Giuseppe Dibitetto and Francisco G. Pedro (to appear)

- Motivation: swampland vs. observation
- Around the swampland? Multifield quintessence!
- Stringy models I: universal moduli
- Stringy models II: non-universal moduli
- A potential problem: Q-balls

# Motivation

## A cosmological constant problem

- The CC is not a free parameter in quantum gravity.
- In EFT, it is just the vev of the scalar potential.
- A flat potential can sometimes have the effect of a CC (slow-roll).

# Motivation

## A cosmological constant problem

- The CC is not a free parameter in quantum gravity.
- In EFT, it is just the vev of the scalar potential.
- A flat potential can sometimes have the effect of a CC (slow-roll).

## Observations

- CMB: explained by Inflation
- Today: accelerated expansion

⇒ Positive CC,  $\Lambda > 0$

## Theory

- No dS in parametric control
- Type IIA no-go theorem
- quantum break time
- ...

⇒ (no-)dS conjecture,  $\Lambda \leq 0$

## The (refined) dS conjecture

[Obied/Ooguri/Spodyneiko/Vafa '18; Garg/Krishnan '18; Ooguri/Palti/Shiu/Vafa '18]

The scalar potential  $V$  of an EFT coupled to quantum gravity in the UV must respect either

$$|\nabla V| \geq \frac{c}{M_p} \cdot V \quad \text{or} \quad \min(\nabla_i \nabla_j V) \leq -\frac{c'}{M_p^2} \cdot V$$

with  $c, c' > 0$  and  $\mathcal{O}(1)$ .

# dS swampland conjecture and accelerating cosmologies

## The (refined) dS conjecture

[Obied/Ooguri/Spodyneiko/Vafa '18; Garg/Krishnan '18; Ooguri/Palti/Shiu/Vafa '18]

The scalar potential  $V$  of an EFT coupled to quantum gravity in the UV must respect either

$$|\nabla V| \geq \frac{c}{M_p} \cdot V \quad \text{or} \quad \min(\nabla_i \nabla_j V) \leq -\frac{c'}{M_p^2} \cdot V$$

with  $c, c' > 0$  and  $\mathcal{O}(1)$ .

## Implications for cosmology

- Clearly forbids (meta-)stable dS vacua  $\nabla V = 0$ ,  $V > 0$ !
- Also flat slow-roll models  $\epsilon_V \equiv \frac{1}{2} \left(\frac{\nabla V}{V}\right)^2 \ll 1$  are ruled out.

## Alternatives to dS or slow-roll

Accelerated expansion can be achieved with a steep potential, e.g. by **rotating in a curved field space**. [Brown '17; Achúcarro/Palma '18; Cicoli/Dibitetto/Pedro '20]

- Kinetic couplings: can't canonically normalize all fields at once
- Energy dissipates into rotation, slowing down the rolling field

# Multifield cosmology

## Alternatives to dS or slow-roll

Accelerated expansion can be achieved with a steep potential, e.g. by **rotating in a curved field space**. [Brown '17; Achúcarro/Palma '18; Cicoli/Dibitetto/Pedro '20]

- Kinetic couplings: can't canonically normalize all fields at once
- Energy dissipates into rotation, slowing down the rolling field

## Multifield quintessence – from string theory?

- Hard to get many e-folds [Aragam/Chiovloni/Paban/Rosati/Zavala '21]
- Focus on late-time cosmology instead
- Less e-folds needed, but observational constraints
- 2 field model has structure akin to string moduli

Can ST satisfy the dS conjecture **and** get late-time cosmology right?

[upcoming work with Cicoli, Dibitetto, Pedro]



# Multifield quintessence

## Accelerated Expansion

$$\text{Slow-roll: } \epsilon_H = -\frac{\dot{H}}{H^2}, \quad 0 < \epsilon_H < 1$$

## Single field case

$$\epsilon_V \equiv \frac{1}{2} \left( \frac{\nabla V}{V} \right)^2 = \epsilon_H, \quad \epsilon_V \gtrsim 1 \quad (\text{dS conj.})$$

The dS conjecture forbids flat potentials needed for slow roll.

# Multifield quintessence

## Accelerated Expansion

$$\text{Slow-roll: } \epsilon_H = -\frac{\dot{H}}{H^2}, \quad 0 < \epsilon_H < 1$$

## Single field case

The dS conjecture forbids flat potentials needed for slow roll.

## Multifield case

Rotation in moduli space slows down the rolling field.

$$\Rightarrow \epsilon_V \equiv \frac{1}{2} \left( \frac{\nabla V}{V} \right)^2 \neq \epsilon_H !$$

Possible to have steeper potentials while accelerating.

# The model

## Action

Requirements: Gravity, 2 fields, kinetic coupling, scalar potential.

$$S = \int d^4x \sqrt{-g} \left( \frac{M_p^2}{2} R - \frac{1}{2} (\partial\phi_1)^2 - \frac{1}{2} f(\phi_1)^2 (\partial\phi_2)^2 - V(\phi_1) \right)$$

# The model

## Action

Requirements: Gravity, 2 fields, kinetic coupling, scalar potential.

$$S = \int d^4x \sqrt{-g} \left( \frac{M_p^2}{2} R - \frac{1}{2} (\partial\phi_1)^2 - \frac{1}{2} f(\phi_1)^2 (\partial\phi_2)^2 - V(\phi_1) \right)$$

## Natural situation in String theory

e.g. Kähler moduli in IIB flux vacua:  $T = \tau + i\vartheta$ ,  $K = -3 \log(T + \bar{T})$

$$\mathcal{L}_{\text{kin}} \sim K_{T\bar{T}} \partial T \partial \bar{T} = \frac{3}{4\tau} ((\partial\tau)^2 + (\partial\vartheta)^2)$$

$$\Rightarrow \phi_1 = \sqrt{3/2} \log(\tau), \quad \phi_2 = \vartheta$$

$$f(\phi_1) = \sqrt{3/2} e^{\sqrt{3/2} \phi_1}$$

$$V(\phi_1) \sim \frac{1}{\tau} = e^{-\sqrt{3/2} \phi_1}$$

# The model

## Friedmann equations

$$H^2 = \frac{1}{6M_p^2} \left( \dot{\phi}_1^2 + f^2 \dot{\phi}_2^2 + 2V + \rho_{\text{matter}} \right)$$

**Defining new variables:**

$$x_1 = \dot{\phi}_1 (\sqrt{6} H M_p)^{-1}$$

$$x_2 = f \dot{\phi}_2 (\sqrt{6} H M_p)^{-1}$$

$$y_1 = \sqrt{V} (\sqrt{3} H M_p)^{-1}$$

**Cosmological Observables:**

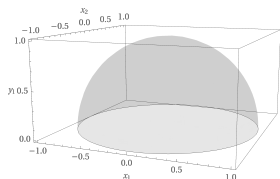
$$\omega_\phi = \frac{x_1^2 + x_2^2 - y_1^2}{x_1^2 + x_2^2 + y_1^2}$$

$$\Omega_\phi = x_1^2 + x_2^2 + y_1^2$$

# The model

## Friedmann equations

$$H^2 = \frac{1}{6M_p^2} \left( \dot{\phi}_1^2 + f^2 \dot{\phi}_2^2 + 2V + \rho_{\text{matter}} \right)$$



Cosmological Observables:

$$\omega_\phi = \frac{x_1^2 + x_2^2 - y_1^2}{x_1^2 + x_2^2 + y_1^2}$$

$$\Omega_\phi = x_1^2 + x_2^2 + y_1^2$$

## Parameter space

- physical range:  $x_1 \in [-1, 1]$ ,  $x_2 \in [-1, 1]$ ,  $y_1 \in [0, 1]$ .
- In a flat Universe:  $\Omega_{\text{matter}} = 1 - (x_1^2 + x_2^2 + y_1^2) > 0$ .

⇒ Physical parameter space is upper half of a 3-ball.

## Kinetic coupling

$$k_1 = -M_p \frac{\partial_{\phi_1} f}{f}, \quad k_2 = -M_p \frac{\partial_{\phi_1} V}{V}.$$

In general,  $k_i = k_i(\phi_i)$ .

## Evolution as autonomous system:

The dynamics is given in terms of e-folds ( $' \equiv d/d(\ln a)$ ) by

$$x_1' = h_1(x_1, x_2, y_1), \quad x_2' = h_2(x_1, x_2, y_1), \quad y_1' = h_3(x_1, x_2, y_1).$$

Dynamics are completely determined by initial conditions and  $k_i$ .

If  $k_i = k_i(\phi_i)$ , additionally require  $\phi_1' = 6x_1$ .

# Fixed Points

Fixed points  $x_1' = x_2' = y_1' = 0$  for constant  $k_i$

	$x_1$	$x_2$	$y_1$	$\Omega_\phi$	$\omega_\phi$	stability
$\mathcal{K}_\pm$	$\pm 1$	0	0	1	1	unstable
$\mathcal{F}$	0	0	0	0	-	unstable
$\mathcal{S}$	$\frac{\sqrt{3/2}}{k_2}$	0	$\frac{\sqrt{3/2}}{k_2}$	$\frac{3}{k_2^2}$	0	$k_2^2 \geq 3$
$\mathcal{G}$	$\frac{k_2}{\sqrt{6}}$	0	$\sqrt{1 - \frac{k_2^2}{6}}$	1	$-1 + \frac{k_2^2}{3}$	$k_2 < \sqrt{6}$
$\mathcal{NG}$	$\frac{\sqrt{6}}{(2k_1+k_2)}$	$\frac{\pm\sqrt{k_2^2+2k_2k_1-6}}{2k_1+k_2}$	$\sqrt{\frac{2k_1}{2k_1+k_2}}$	1	$\frac{k_2-2k_1}{k_2+2k_1}$	$k_2 \geq \sqrt{6+k_1^2} - k_1$



# Fixed Points

Fixed points  $x_1' = x_2' = y_1' = 0$  for constant  $k_i$

	$x_1$	$x_2$	$y_1$	$\Omega_\phi$	$\omega_\phi$	stability
$\mathcal{K}_\pm$	$\pm 1$	0	0	1	1	unstable
$\mathcal{F}$	0	0	0	0	-	unstable
$\mathcal{S}$	$\frac{\sqrt{3/2}}{k_2}$	0	$\frac{\sqrt{3/2}}{k_2}$	$\frac{3}{k_2^2}$	0	$k_2^2 \geq 3$
$\mathcal{G}$	$\frac{k_2}{\sqrt{6}}$	0	$\sqrt{1 - \frac{k_2^2}{6}}$	1	$-1 + \frac{k_2^2}{3}$	$k_2 < \sqrt{6}$
$\mathcal{NG}$	$\frac{\sqrt{6}}{(2k_1+k_2)}$	$\pm \frac{\sqrt{k_2^2 + 2k_2 k_1 - 6}}{2k_1 + k_2}$	$\sqrt{\frac{2k_1}{2k_1+k_2}}$	1	$\frac{k_2 - 2k_1}{k_2 + 2k_1}$	$k_2 \geq \sqrt{6 + k_1^2} - k_1$

- The  $\mathcal{NG}$  fixed points with  $x_2 \neq 0$  exist only for multifield models
- Non-geodesic field trajectories,  $\phi_2$  dragged along by  $\dot{\phi}_1$

# Fixed Points

Fixed points  $x_1' = x_2' = y_1' = 0$  for constant  $k_i$

	$x_1$	$x_2$	$y_1$	$\Omega_\phi$	$\omega_\phi$	stability
$\mathcal{K}_\pm$	$\pm 1$	0	0	1	1	unstable
$\mathcal{F}$	0	0	0	0	-	unstable
$\mathcal{S}$	$\frac{\sqrt{3/2}}{k_2}$	0	$\frac{\sqrt{3/2}}{k_2}$	$\frac{3}{k_2^2}$	0	$k_2^2 \geq 3$
$\mathcal{G}$	$\frac{k_2}{\sqrt{6}}$	0	$\sqrt{1 - \frac{k_2^2}{6}}$	1	$-1 + \frac{k_2^2}{3}$	$k_2 < \sqrt{6}$
$\mathcal{NG}$	$\frac{\sqrt{6}}{(2k_1+k_2)}$	$\pm \frac{\sqrt{k_2^2 + 2k_2k_1 - 6}}{2k_1+k_2}$	$\sqrt{\frac{2k_1}{2k_1+k_2}}$	1	$\frac{k_2 - 2k_1}{k_2 + 2k_1}$	$k_2 \geq \sqrt{6 + k_1^2} - k_1$

- The  $\mathcal{NG}$  fixed points with  $x_2 \neq 0$  exist only for multifield models
- Non-geodesic field trajectories,  $\phi_2$  dragged along by  $\dot{\phi}_1$
- Only  $\mathcal{G}$ ,  $\mathcal{NG}$  can be accelerating  $\omega_\phi < -1/3$
- But fixed points cannot fit  $\Omega_\phi \sim 0.7$ . Look for transients!

# Approaches to finding transients

## Cosmological initial conditions

- Start in the past at phase of matter domination.
- $\Omega_\phi = 0$  at fluid domination fixed point  $\mathcal{F}$ :  $x_1 = x_2 = y_1 = 0$ .
- Search for evolution into dark energy domination today.

# Approaches to finding transients

## Cosmological initial conditions

- Start in the past at phase of matter domination.
- $\Omega_\phi = 0$  at fluid domination fixed point  $\mathcal{F}$ :  $x_1 = x_2 = y_1 = 0$ .
- Search for evolution into dark energy domination today.

## Observable initial conditions

- Start “today” with observable parameters  $\omega_\phi \sim -1$ ,  $\Omega_\phi \sim 0.7$ .
- Seems underdetermined, but fixes i.c. to  $x_1 = x_2 = 0$ ,  $y_1 = \sqrt{0.7}$ .
- Integrate backwards to determine past evolution.
- Trajectory is always viable today, but past has to be explained.

# Stringy models I: universal moduli

$$S = \int d^4x \sqrt{-g} \left( \frac{M_p^2}{2} R - \frac{1}{2} (\partial\phi_1)^2 - \frac{1}{2} f(\phi_1)^2 (\partial\phi_2)^2 - V(\phi_1) \right)$$

Kähler moduli  $T$  in IIB flux vacua:

With  $T = \tau + i\vartheta$ ,  $K = -3 \log(T + \bar{T})$ :

$$\begin{aligned} \phi_1 &= \sqrt{3/2} \log(\tau), & \phi_2 &= \vartheta; \\ f(\phi_1) &= \sqrt{3/2} e^{\sqrt{3/2} \phi_1}, & V(\phi_1) &\sim \frac{1}{\tau} = e^{-\sqrt{3/2} \phi_1} \\ \Rightarrow \quad k_1 &= \sqrt{\frac{2}{3}}, & k_2 &= \sqrt{6} \end{aligned}$$

# Stringy models I: universal moduli

Kähler moduli  $T$  in IIB flux vacua:

With  $T = \tau + i\vartheta$ ,  $K = -3 \log(T + \bar{T})$ :

$$k_1 = \sqrt{\frac{2}{3}}, \quad k_2 = \sqrt{6}$$

Kähler potential for chiral superfield  $X$ :  $K = -p \log(X + \bar{X})$

$$k_1 = \sqrt{\frac{2}{p}}, \quad k_2 = \sqrt{2p}$$

# Stringy models I: universal moduli

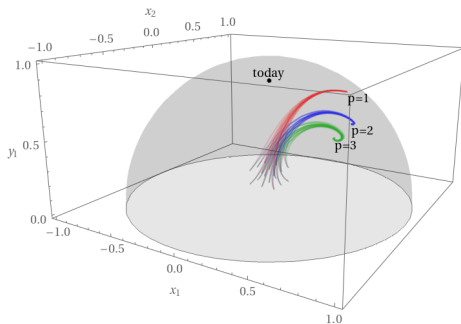
Kähler potential for chiral superfield  $X$ :  $K = -p \log(X + \bar{X})$

$$k_1 = \sqrt{\frac{2}{p}}, \quad k_2 = \sqrt{2p}$$

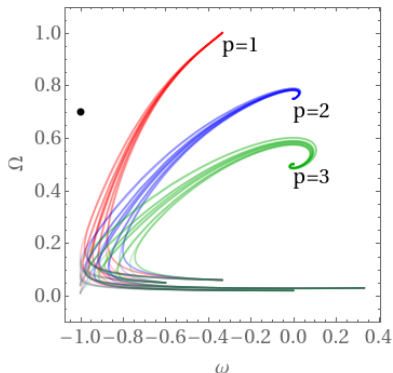
$p$	$X$	Theory	Sources	$\mathcal{M}_{\text{internal}}$
1	$S = e^{-\varphi} + i a$	Heterotic	—	SU(3) str.
2	$T_2 = \text{Vol}(\Sigma_4^{(2)}) + i \int_{\Sigma_4^{(2)}} C_{(4)}$	Type IIB	D3/D7, O3/O7	K3-fibered CY <sub>3</sub>
3	$T = \text{Vol}(\Sigma_4) + i \int_{\Sigma_4} C_{(4)}$	Type IIB	D3/O3	CY <sub>3</sub>
...				
7	$Z = \text{Vol}(\Sigma_3) + i \int_{\Sigma_3} A_{(3)}$	M-theory	KK6/KKO6	G <sub>2</sub> str.

# Stringy models I: universal moduli

## Approach 1: Starting from matter domination



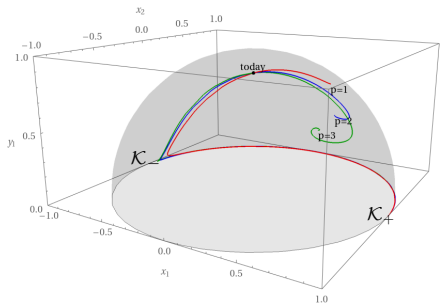
No viable trajectories starting from the matter dominated fixed point  $\mathcal{F}$ .



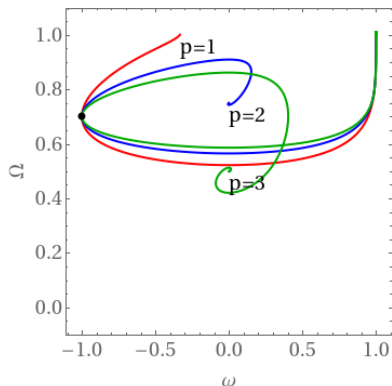


# Stringy models I: universal moduli

## Approach 2: Starting from observed parameters

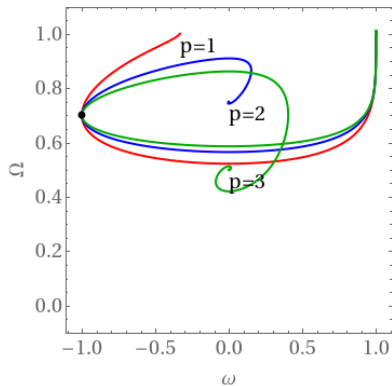
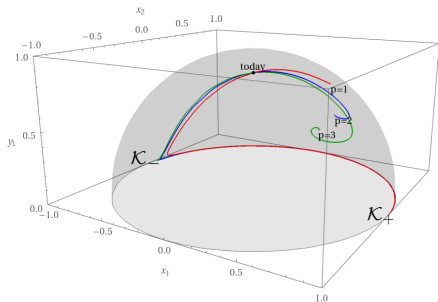


Trajectories passing through the observed point.



# Stringy models I: universal moduli

## Approach 2: Starting from observed parameters



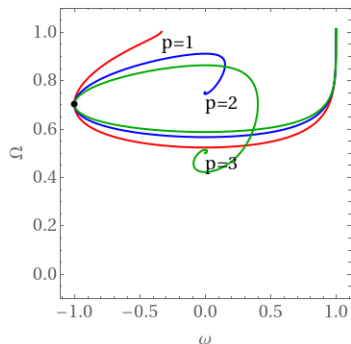
Trajectories passing through the observed point.

- Universal "spine" asymptoting to  $\mathcal{K}_{\pm}$  fixed points (in the past).
- No trajectories come close to matter domination  $\Omega_{\phi} = 0$ .

# Stringy models I: universal moduli

## What is matter domination really?

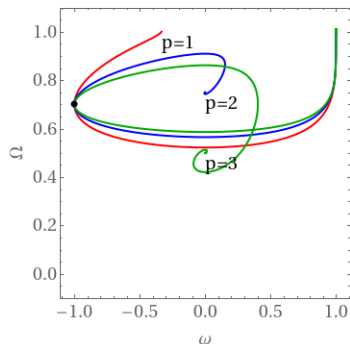
- Def. matter:  $\omega_m = 0$
- Is  $\Omega_\phi \neq 0$  matter dominated, as long as  $\omega_\phi = 0$ ?
- Trajectories have  $\omega_\phi = 0$  in past.



# Stringy models I: universal moduli

## What is matter domination really?

- Def. matter:  $\omega_m = 0$
- Is  $\Omega_\phi \neq 0$  matter dominated, as long as  $\omega_\phi = 0$ ?
- Trajectories have  $\omega_\phi = 0$  in past.



Initial conditions are harder to justify, but maybe not impossible!

# Stringy models II: blow-up modes

## Non-universal blow-up modes

- Govern the size of a blow-up (singularity resolution)
- Weak swiss cheese type:  $\mathcal{V} = \alpha \tau_b^{3/2} - \lambda \tau_s^{3/2}$
- For  $\tau_b \gg \tau_s \gg 1$ : Kähler potential is power law, not logarithm!

$$K = -3 \ln \tau_b + 2 \left( \frac{\tau_s}{\tau_b} \right)^{\frac{3}{2}}$$

# Stringy models II: blow-up modes

## Non-universal blow-up modes

- Govern the size of a blow-up (singularity resolution)
- Weak swiss cheese type:  $\mathcal{V} = \alpha \tau_b^{3/2} - \lambda \tau_s^{3/2}$
- For  $\tau_b \gg \tau_s \gg 1$ : Kähler potential is power law, not logarithm!

## Kinetic terms for canonically normalized blow-up mode

$$\mathcal{L}_{\text{kin}} = -\frac{1}{2} \sqrt{-g} \left( (\partial\phi_1)^2 + \left( \frac{M_p}{\phi_1} \right)^{2/3} (\partial\phi_2)^2 \right)$$

with  $\phi_1 \sim \left( \frac{\tau_s}{\tau_b} \right)^{3/4}$  and  $\phi_2 \sim \left( \frac{\vartheta_s}{i\tau_b} \right)^{3/4}$  the corresponding axion.

$$f(\phi_1) = \left( \frac{M_p}{\phi_1} \right)^{1/3} \Rightarrow k_1 = \frac{1}{3} \frac{M_p}{\phi_1} .$$

# Stringy models II: blow-up modes

## Kinetic terms

$$f(\phi_1) = \left( \frac{M_p}{\phi_1} \right)^{1/3} \Rightarrow k_1 = \frac{1}{3} \frac{M_p}{\phi_1} .$$

## Potential terms

- Perturbative corrections (string loops, higher derivative effects).
- Depends on the explicit setup, but is always power-law:

$$V(\phi_1) = V_0 \left( \frac{M_p}{\phi_1} \right)^{\pm 2/3} \quad \text{or} \quad V(\phi_1) = \frac{V_0}{C - (\phi_1/M_p)^{2/3}} .$$
$$\Rightarrow k_2 \sim \frac{2}{3} \frac{M_p}{\phi_1} .$$

# Stringy models II: blow-up modes

## General power-law models [Cicoli/Dibitetto/Pedro '20]

Power-law Kähler and scalar potentials fall into class of models

$$f(\phi_1) = \left(\frac{M_p}{\phi_1}\right)^{p_1} \quad \text{and} \quad V(\phi_1) = V_0 \left(\frac{M_p}{\phi_1}\right)^{p_2}$$

$$\text{with} \quad k_1 = p_1 \frac{M_p}{\phi_1}, \quad k_2 = p_2 \frac{M_p}{\phi_1}.$$

## Hierarchy unnatural?

- Viable trajectories need at least  $\mathcal{O}(10)$  hierarchy between  $p_1, p_2$ .
- In blow-up example,  $p_1/p_2 = 1/2 \ll \mathcal{O}(10)$
- Other string examples also fail to produce hierarchy.
- But hard to rule out conclusively.



# Conserved currents and Q-balls

## Dynamic Q-ball formation

- Our models have a conserved current  $J^\mu = \sqrt{-g}f^2\partial^\mu\phi_2$ .
- In such models Q-balls may appear. [Coleman '85; Krippendorf/Muia/Quevedo '18]
- Production of Q-balls screens the dark energy. [Kasuya '01; Li/Hao/Liu '01]
- Must ensure that our models avoid producing Q-balls!

# Conserved currents and Q-balls

## Dynamic Q-ball formation

- Our models have a conserved current  $J^\mu = \sqrt{-g} f^2 \partial^\mu \phi_2$ .
- In such models Q-balls may appear. [Coleman '85; Krippendorf/Muia/Quevedo '18]
- Production of Q-balls screens the dark energy. [Kasuya '01; Li/Hao/Liu '01]
- Must ensure that our models avoid producing Q-balls!

## Jeans length

Q-balls can only form if

$$0 < \frac{k^2}{a^2} < 3H^2 M_p^2 \left( (4k_1^2 - 2k_1') x_2^2 - (k_2^2 - k_2') y_1^2 \right),$$

leaving a safe wedge in the center of parameter space.

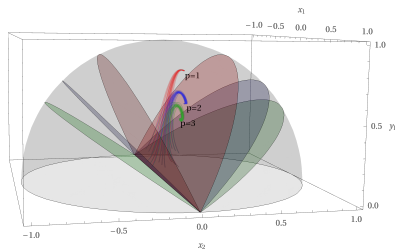
# Conserved currents and Q-balls

Jeans length for constant  $k_i$

Q-balls can only form if

$$0 < \frac{k^2}{a^2} < 3H^2 M_p^2 (4k_1^2 x_2^2 - k_2^2 y_1^2),$$

leaving a safe wedge in the center of parameter space.



## Implications

- No problem for constant  $k_i$  or power-law models.
- May become important if a model has  $k_1/k_2$  hierarchy.

# Conclusions

- Many accelerating models satisfy the dS conjecture.
- But can they model our late time cosmology?
- Multi-field approach natural in string theory.
- Kinetic coupling allows for a steep potential.
- Fixed points don't include our universe. Transients!

# Conclusions

- Many accelerating models satisfy the dS conjecture.
  - But can they model our late time cosmology?
  - Multi-field approach natural in string theory.
  - Kinetic coupling allows for a steep potential.
  - Fixed points don't include our universe. Transients!
- 
- Universal moduli have viable transients with questionable past.
  - Non-universal moduli can't generate necessary  $p_1/p_2$  hierarchy.

# Conclusions

- Many accelerating models satisfy the dS conjecture.
- But can they model our late time cosmology?
- Multi-field approach natural in string theory.
- Kinetic coupling allows for a steep potential.
- Fixed points don't include our universe. Transients!
  
- Universal moduli have viable transients with questionable past.
- Non-universal moduli can't generate necessary  $p_1/p_2$  hierarchy.
  
- Q-balls could ruin the solution. Not a problem in most cases.

Thank you for your attention!





# Autonomous system

The autonomous system:

$$x_1' = 3x_1(x_1^2 + x_2^2 - 1) + \sqrt{\frac{3}{2}}(-2k_1x_2^2 + k_2y_1^2) - \frac{3}{2}\gamma x_1(x_1^2 + x_2^2 + y_1^2 - 1),$$

$$x_2' = 3x_2(x_1^2 + x_2^2 - 1) + \sqrt{6}k_1x_1x_2 - \frac{3}{2}\gamma x_2(x_1^2 + x_2^2 + y_1^2 - 1),$$

$$y_1' = -\sqrt{\frac{3}{2}}k_2x_1y_1 - \frac{3}{2}\gamma y_1(x_1^2 + x_2^2 + y_1^2 - 1) + 3y_1(x_1^2 + x_2^2),$$

and the cosmological parameters:

$$\Omega_\phi' = -3(\Omega_\phi - 1)\Omega_\phi(\omega_b - \omega_\phi),$$

$$\omega_\phi' = (\omega_\phi - 1) \left( -k_2 \sqrt{3(\omega_\phi + 1)\Omega_\phi - 6x_2^2} + 3(1 + \omega_\phi) \right).$$