

Hadronization of heavy quark from pp to AA at TeV scale

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UNIVERSITÀ
degli STUDI
di CATANIA



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Theoretical Nuclear
Physics in Italy

Outline

Hadronization:

- Fragmentation
- Coalescence model
- SHM

Heavy hadrons in AA collisions:

- Λ_c , D spectra and ratio: RHIC and LHC

Heavy hadrons in small systems (pp @ 5.02 TeV):

- Λ_c/D^0
- $\Xi_c/D^0, \Omega_c/D^0$

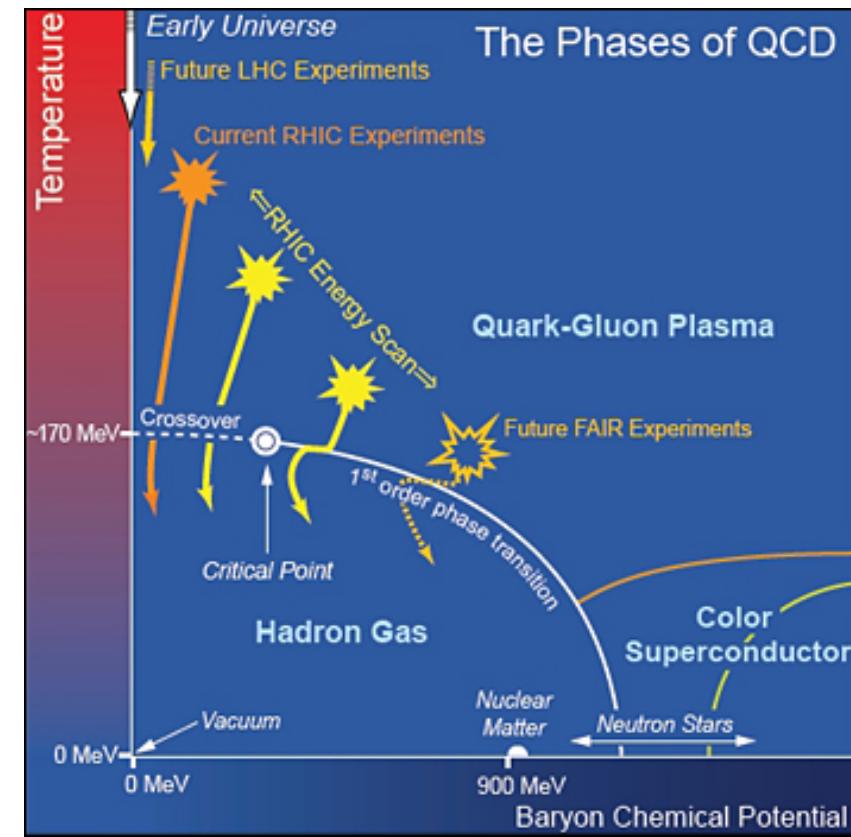
Quark Gluon Plasma

- Nuclear matter: Critical Energy and Temperature in the transition between confined and deconfined phase

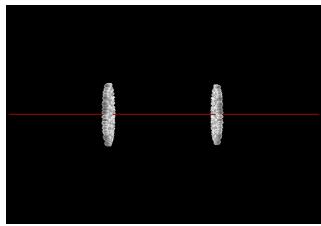
$$\epsilon_c \approx 0.7 \text{ GeV/fm}^3$$

$$T_c \approx 165 \text{ MeV} \approx 10^{12} \text{ K}$$

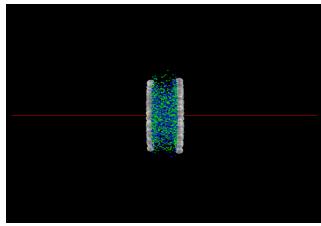
- If $T > T_c$ colour charges are deconfined in a Quark Gluon Plasma (QGP)
- Different value of T and ρ for deconfinement
→ Phase Diagram



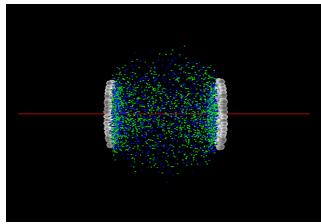
Ultra-Relativistic Heavy-Ion Collisions



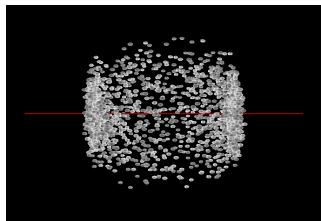
Initial Stage



Pre-equilibrium stage

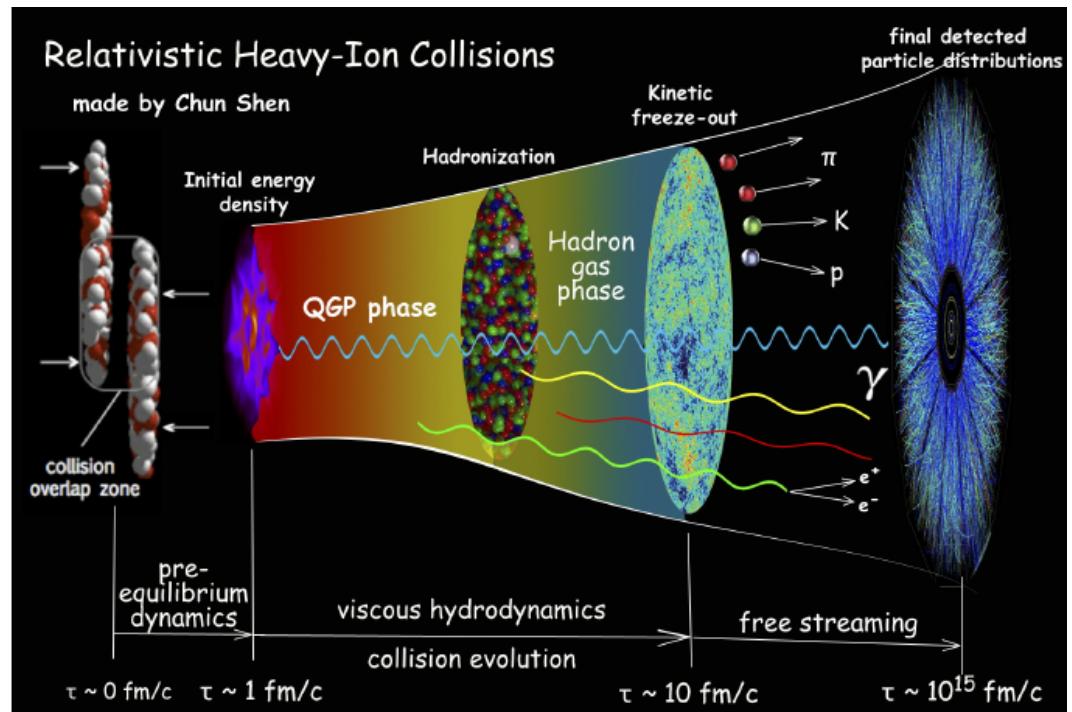
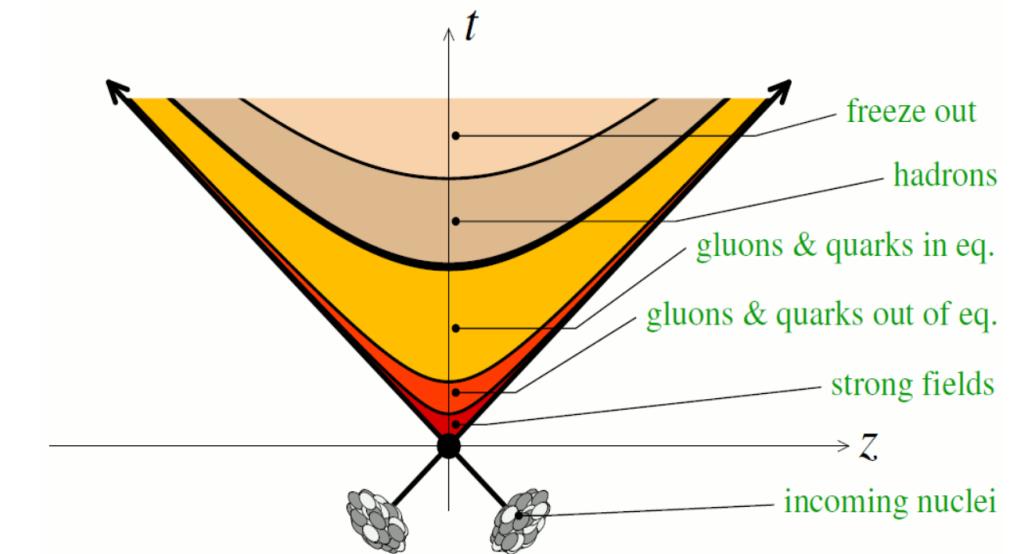


Expansion



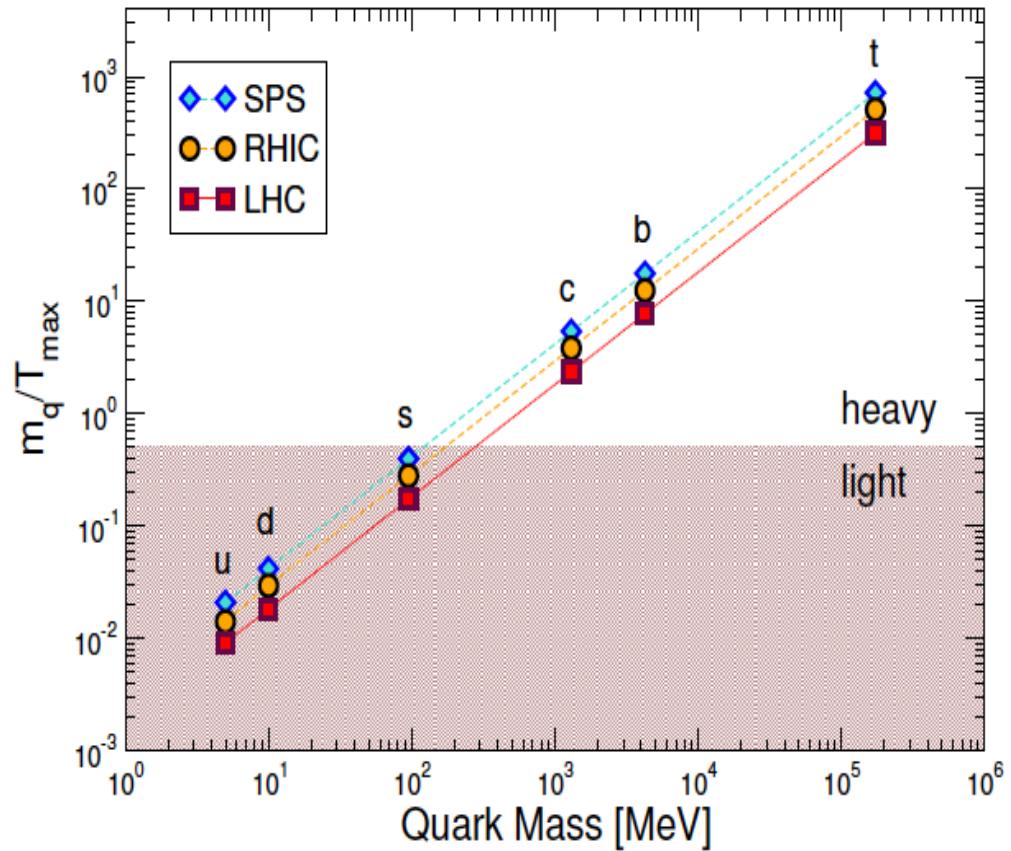
Hadronization

Chemical and kinetic freeze-out



Specific of Heavy Quarks

- $m_{c,b} \gg \Lambda_{\text{QCD}}$
produced by pQCD process (out of equilibrium)
 - $m_{c,b} \gg T_0$
negligible thermal production
 - $\tau_0 \ll \tau_{\text{QGP}}$
- HQs experience the full QGP evolution
- Carry informations about initial stages,
more than light quarks



Heavy flavour Hadronization

Microscopic

Fragmentation:

production from hard-scattering processes (PDF+pQCD).

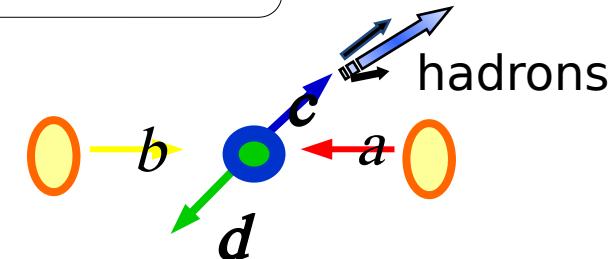
Fragmentation functions: data parametrization, assumed “universal”

$$\sigma_{pp \rightarrow h} = PDF(x_a, Q^2) PDF(x_b, Q^2) \otimes \sigma_{ab \rightarrow q\bar{q}} \otimes D_{q \rightarrow h}(z, Q^2)$$

Parton shower: String fragmentation(Lund model – PYTHIA)

+colour reconnection(interaction from different scattering)

Cluster decay (HERWIG)



Coalescence: recombination of partons in QGP close in phase space

$$\frac{dN_{Hadron}}{d^2 p_T} = g_H \int \prod_{i=1}^n p_i \cdot d\sigma_i \frac{d^3 p_i}{(2\pi)^3} f_q(x_i, p_i) f_w(x_1, \dots, x_n; p_1, \dots, p_n) \delta(p_T - \sum_i p_{iT})$$

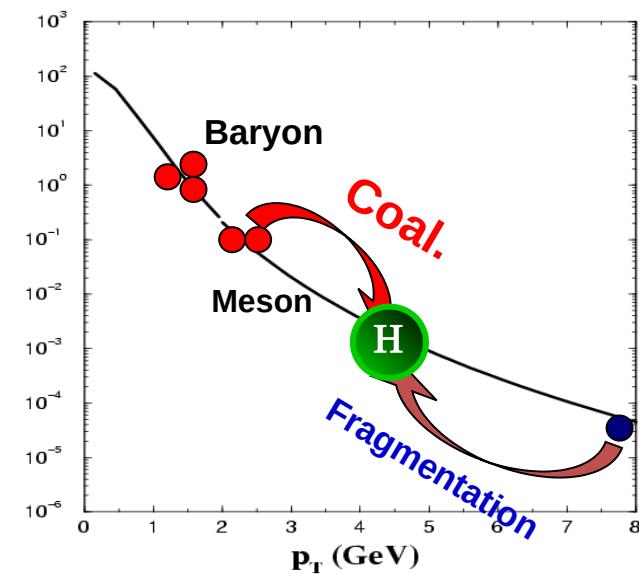
Have described first AA observations in light sector for the enhanced baryon/meson ratio and elliptic flow splitting

Statistical hadronization: Macroscopic

Equilibrium + hadron-resonance gas + freeze-out temperature.

Production depends on hadron masses and degeneracy, and on system properties.

pQCD Charm production + total yield from charm cross section (not Temp.) charm hadrons according to thermal weights



Catania Model: Coalescence + Fragmentation

$$\frac{dN_{Hadron}}{d^2 p_T} = g_H \int \prod_{i=1}^n p_i \cdot d\sigma_i \frac{d^3 p_i}{(2\pi)^3} f_q(x_i, p_i) f_W(x_1, \dots, x_n; p_1, \dots, p_n) \delta(p_T - \sum_i p_{iT})$$

LIGHT

Thermal+flow for u,d,s ($p_T < 3$ GeV)

$$\frac{dN_{q,\bar{q}}}{d^2 p_T} \sim \exp\left(-\frac{\gamma_T - p_T \cdot \beta_T \mp \mu_q}{T}\right)$$

$$\beta(r) = \frac{r}{R} \beta_{max}$$

$$V = \pi R^2 \tau \cosh(y_z), R(\tau_f) = R_0(1 + \beta_{max} \tau_f)$$

$$\text{PbPb@5ATeV(0-10%)}: \tau_f = 8.4 \frac{fm}{c} \rightarrow V_{|y|<0.5} = 4500 fm^3$$

+quenched minijets for u,d,s ($p_T > 3$ GeV)

Parton Distribution function

Hadron Wigner function

CHARM

In AA collisions charm distribution from the studies of R_{AA} and v_2 of D-meson to determine the Space Diffusion coefficient:

parton simulations solving relativistic Boltzmann transport equation

In pp collisions the charm distribution are the FONLL distribution

Coalescence simulation in a fireball with radial flow for light quarks \rightarrow dimension set by experimental constraints

Catania Model: Coalescence + Fragmentation

*Statistical factor
colour-spin-isospin*

*Parton Distribution
function*

*Hadron Wigner
function*

$$\frac{dN_{Hadron}}{d^2 p_T} = g_H \int \prod_{i=1}^n p_i \cdot d\sigma_i \frac{d^3 p_i}{(2\pi)^3} f_q(x_i, p_i) f_W(x_1, \dots, x_n; p_1, \dots, p_n) \delta(p_T - \sum_i p_{iT})$$

Wigner function – Wave function

Wigner function width fixed by root-mean-square charge radius from quark model

C.-W. Hwang, EPJ C23, 585 (2002)

C. Albertus et al., NPA 740, 333 (2004)

$$\Phi_M^W(\mathbf{r}, \mathbf{q}) = \int d^3 r' e^{-i\mathbf{q}\cdot\mathbf{r}'} \phi_M\left(\mathbf{r} + \frac{\mathbf{r}'}{2}\right) \phi_M^*\left(\mathbf{r} - \frac{\mathbf{r}'}{2}\right)$$

$\phi_M(\mathbf{r})$ meson wave function

$$\langle r^2 \rangle_{ch} = \frac{3}{2} \frac{m_2^2 Q_1 + m_1^2 Q_2}{(m_1 + m_2)^2} \sigma_{r1}^2 + \frac{3}{2} \frac{m_3^2 (Q_1 + Q_2) + (m_1 + m_2)^2 Q_3}{(m_1 + m_2 + m_3)^2} \sigma_{r2}^2$$

$$\sigma_r = 1/\sqrt(\mu_i \omega) \quad \mu_1 = \frac{m_1 m_2}{m_1 + m_2} \quad \mu_2 = \frac{(m_1 + m_2) m_3}{m_1 + m_2 + m_3}$$

Assuming gaussian wave function

$$f_H(\dots) = \prod_{i=1}^{N_q-1} A_w \exp\left(-\frac{x_{ri}^2}{\sigma_{ri}^2} - p_{ri}^2 \sigma_{ri}^2\right)$$

only one width coming from $\phi_M(\mathbf{r})$,
constraint $\sigma_r \sigma_p = 1$

Meson	$\langle r^2 \rangle_{ch}$	σ_{p1}	σ_{p2}
$D^+ = [c\bar{d}]$	0.184	0.282	—
$D_s^+ = [\bar{s}c]$	0.083	0.404	—
Baryon	$\langle r^2 \rangle_{ch}$	σ_{p1}	σ_{p2}
$\Lambda_c^+ = [udc]$	0.15	0.251	0.424
$\Xi_c^+ = [usc]$	0.2	0.242	0.406
$\Omega_c^0 = [ssc]$	-0.12	0.337	0.53

Catania Model: Coalescence + Fragmentation

*Statistical factor
colour-spin-isospin*

$$\frac{dN_{Hadron}}{d^2 p_T} = g_H \int \prod_{i=1}^n p_i \cdot d\sigma_i \frac{d^3 p_i}{(2\pi)^3} f_q(x_i, p_i) f_W(x_1, \dots, x_n; p_1, \dots, p_n) \delta(p_T - \sum_i p_{iT})$$

Wigner function – Wave function

*Parton Distribution
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only one width coming from $\phi_M(\mathbf{r})$,
constraint $\sigma_r \sigma_p = 1$

- Normalization of $f_H(\dots)$ requiring that $P_{coal} = 1$ at $p=0$
- The charm that does not coalesce undergo fragmentation

Catania Model: Coalescence + Fragmentation

$$\frac{dN_h}{d^2 p_h} = \sum_f \int dz \frac{dN_f}{d^2 p_f} D_{f \rightarrow h}(z)$$

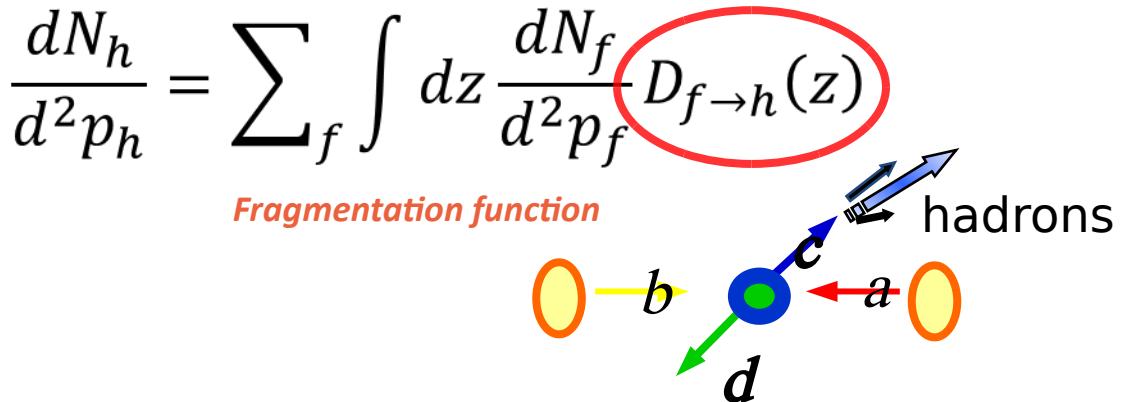
Parton Distribution Function

The distribution function is evaluated at the Fixed-Order plus Next-to-Leading-Log (FONLL)

M. Cacciari, P. Nason, R. Vogt, PRL 95 (2005) 122001

In AA: bulk+charm evolution with Relativistic Transport Boltzmann Equation

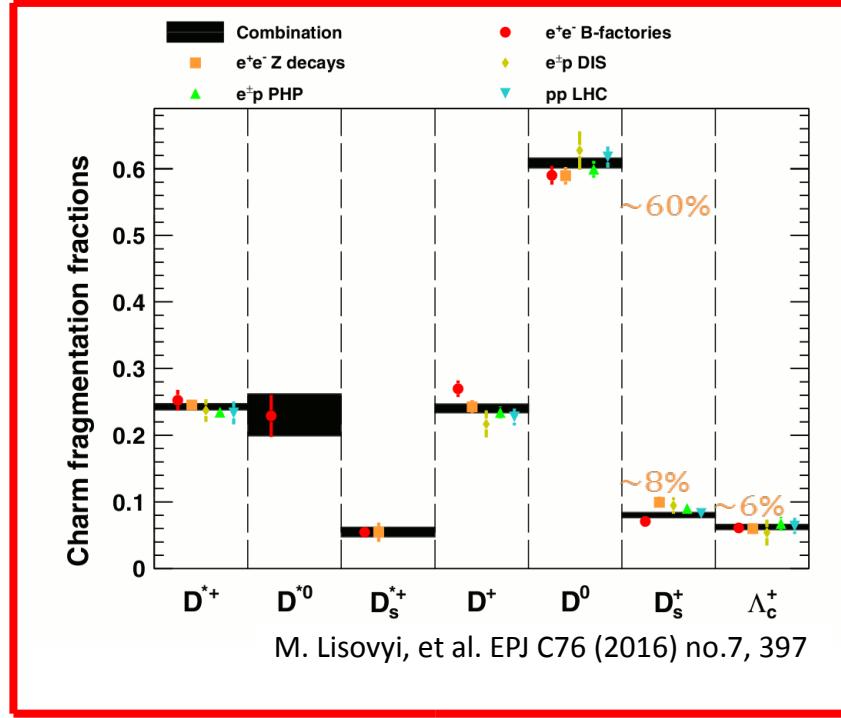
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M. Cacciari, P. Nason, R. Vogt, PRL 95 (2005) 122001

In AA: bulk+charm evolution with Relativistic Transport Boltzmann Equation



We use the Peterson fragmentation function

C. Peterson, D. Schalatter, I. Schmitt, P.M. Zerwas PRD 27 (1983) 105

$$D_{f \rightarrow h}(z) \propto \frac{1}{z \left[1 - \frac{1}{z} - \frac{\epsilon}{1-z} \right]^2}$$

Slightly modified to reproduce tail of the Λ_c/D^0

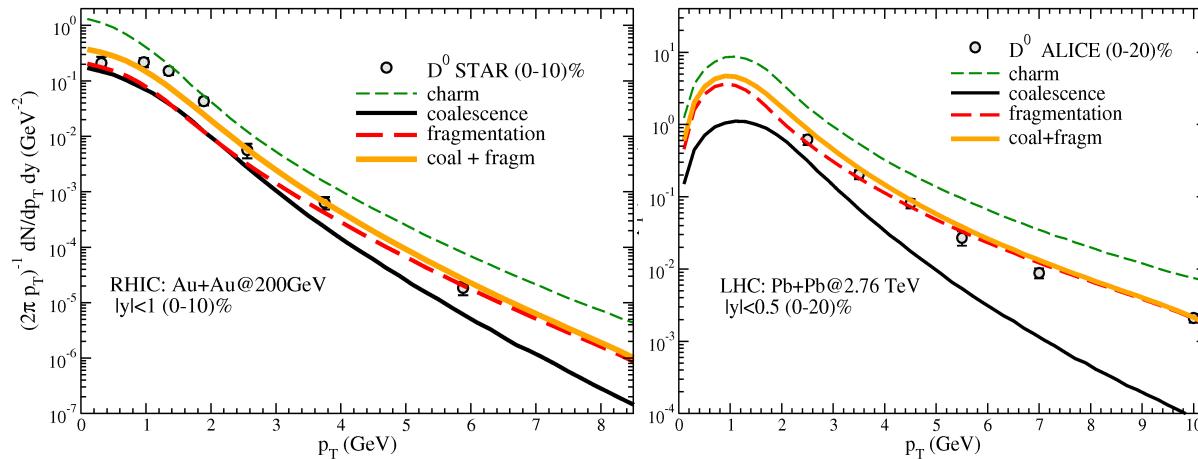
Charm Fragmentation Fraction ($c \rightarrow h$)
Measurement in $e^\pm p$, $e^+ e^-$ and old pp data

$$\left(\frac{\Lambda_c^+}{D^0} \right)_{pp} \underset{e^+ e^-}{\simeq} 0.1 \quad \left(\frac{D_s^+}{D^0} \right)_{pp} \underset{e^+ e^-}{\simeq} 0.13$$

AA @ RHIC & LHC

wave function widths σ_p of baryon and mesons are the same at RHIC and LHC!

Data from ALICE Coll. JHEP 1209 (2012) 112



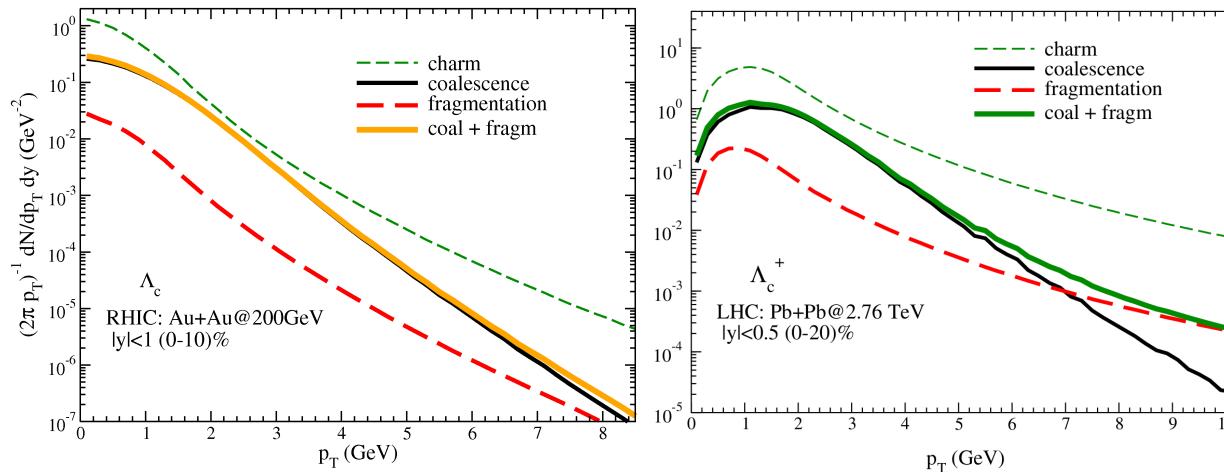
Coalescence lower at LHC than at RHIC → main contribution from Fragmentation

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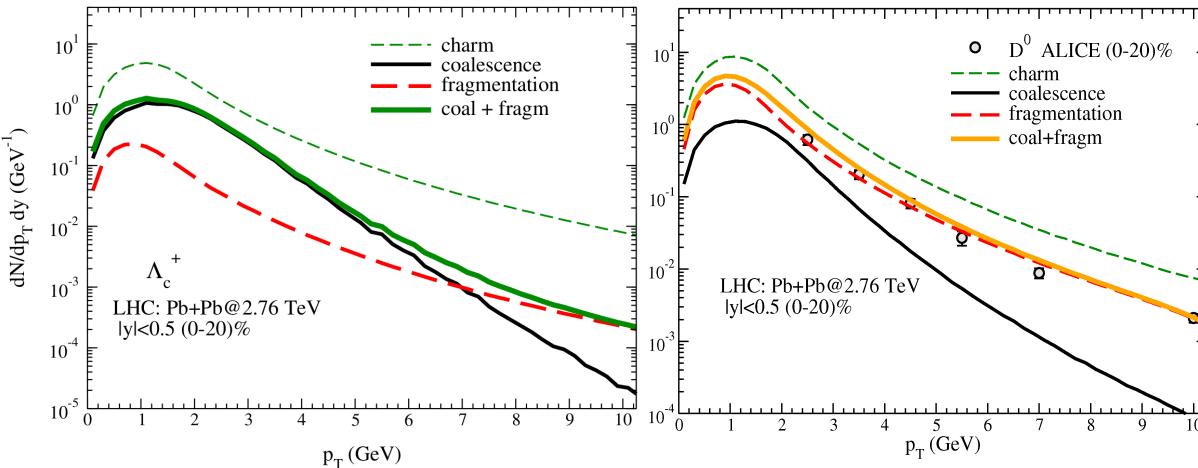
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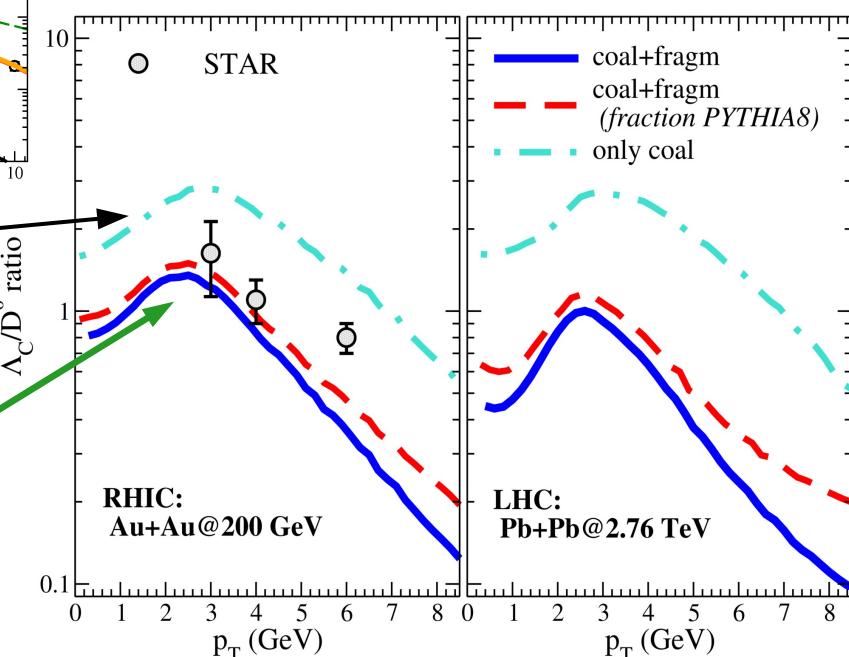
Only Coalescence ratio is similar at both energies.

Fragmentation ~ 0.1 at both energies.

the **combined ratio is different** because the coalescence over fragmentation ratio at LHC is smaller than at RHIC

Therefore at LHC the larger contribution in particle production from fragmentation leads to a final ratio that is smaller than at RHIC.

Coalescence lower at LHC than at RHIC

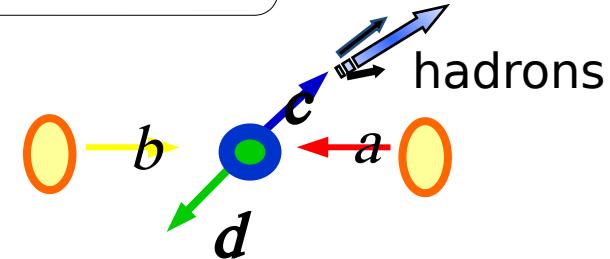


Heavy flavour Hadronization

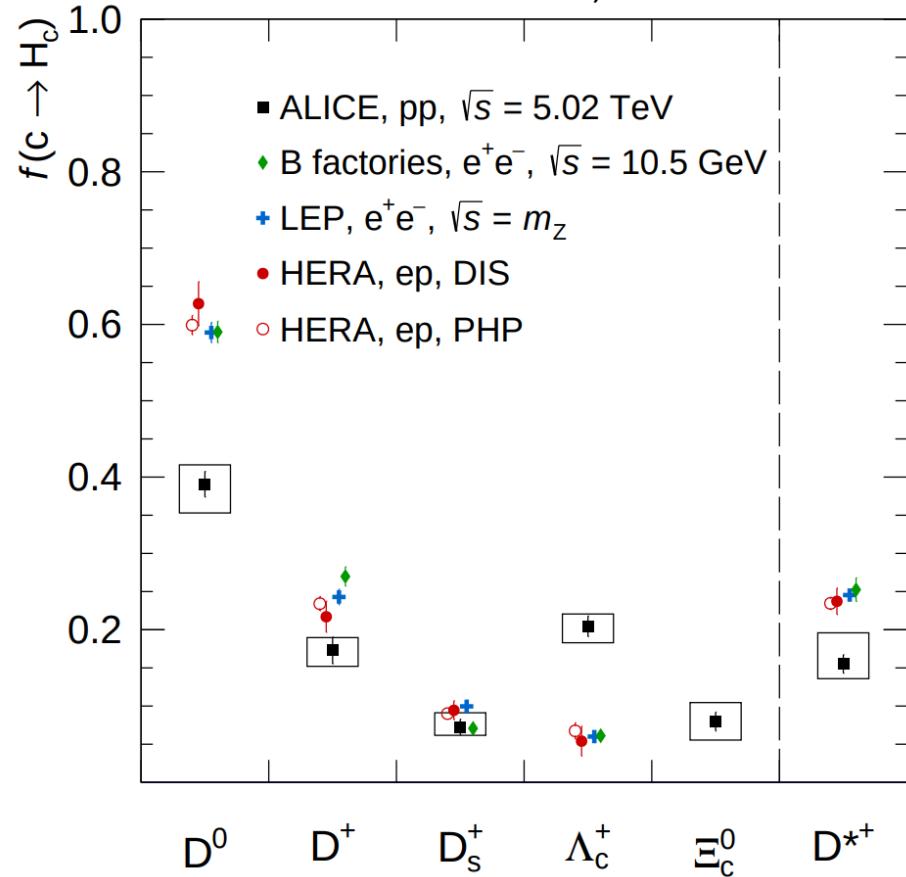
Fragmentation: production from hard-scattering processes (PDF+pQCD).

Fragmentation functions: data parametrization, assumed “universal”

$$\sigma_{pp \rightarrow h} = PDF(x_a, Q^2) PDF(x_b, Q^2) \otimes \sigma_{aa \rightarrow q\bar{q}} \otimes D_{q \rightarrow h}(z, Q^2)$$



ALICE, arXiv:2105.06335



Things get more complicated after experimental evidence in pp@5TeV:

Fragmentation fractions ($c \rightarrow h$) depends on collision system...and QGP presence?

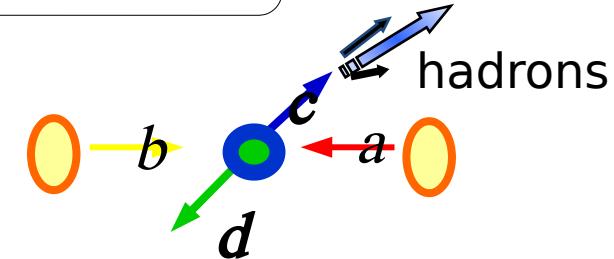
No more Universality?

Heavy flavour Hadronization

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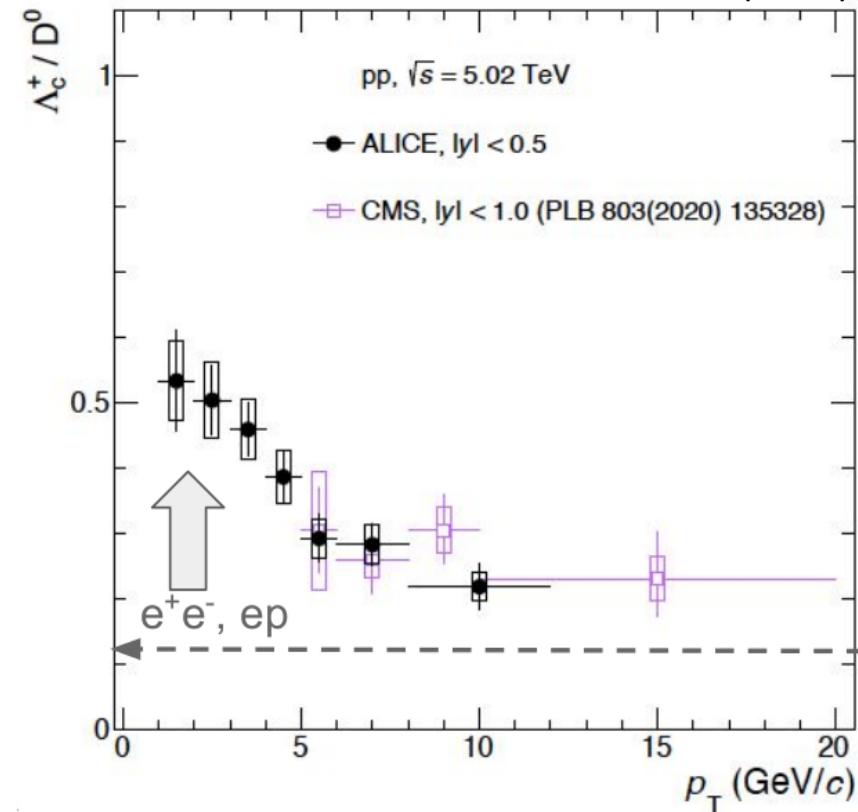
ALICE, PRL 127 202301 (2021)
ALICE, PRC 104 054905 (2021)

Things get more complicated after experimental evidence in pp@5TeV:

Fragmentation fractions ($c \rightarrow h$) depends on collision system...and QGP presence?

No more Universality?

Baryon/meson ratio is underestimated, and no p_T dependence



Small systems: Coalescence in pp?

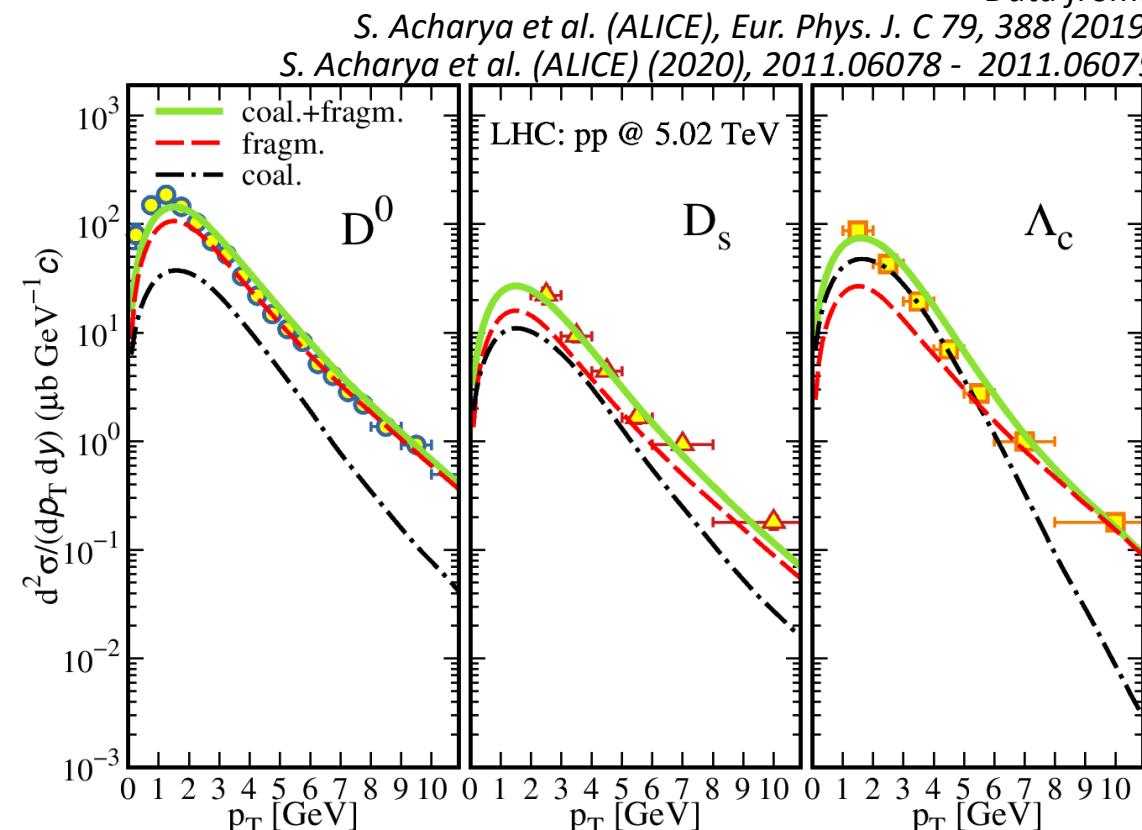
Common consensus of possible presence of QGP in smaller system.

If we assume in p+pp @ 5 TeV a medium similar to the one simulated in hydro:

What if:

- Assuming QGP formation also in pp?
- What coalescence+fragmentation predicts in this case?

S. Acharya et al. (ALICE), Eur. Phys. J. C 79, 388 (2019)
S. Acharya et al. (ALICE) (2020), 2011.06078 - 2011.06079



p+p @ 5 TeV

- $\tau_{pp} = 2 \text{ fm}/c$
- $\beta_0 = 0.4$
- $R = 2.5 \text{ fm}$
- $V \sim 30 \text{ fm}^3$

■ Thermal Distribution ($p_T < 2 \text{ GeV}$)

$$\frac{dN_q}{d^2 r_T d^2 p_T} = \frac{g_g \tau m_T}{(2\pi)^3} \exp\left(-\frac{\gamma_T(m_T - p_T \cdot \beta_T)}{T}\right)$$

■ Minijet Distribution ($p_T > 2 \text{ GeV}$) NO QUENCHING

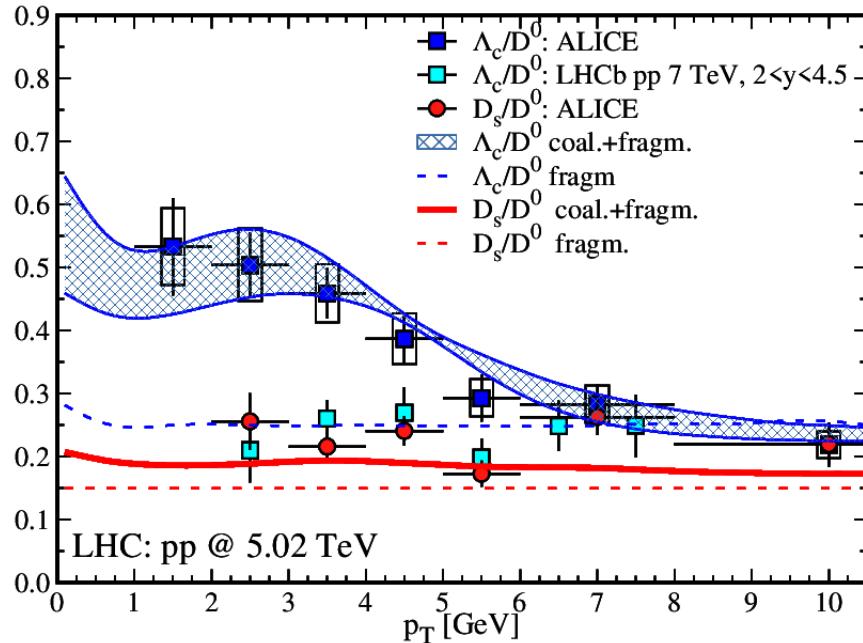
CHARM

FONLL Distribution

LIGHT

wave function widths σ_p of baryon and mesons kept the same from AA to pp

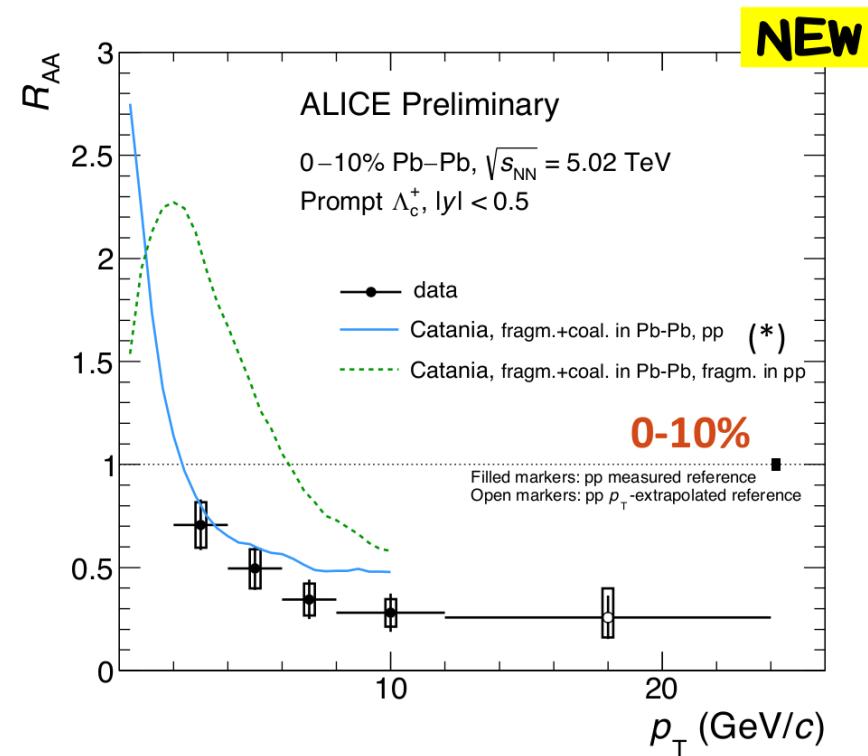
Small systems: Coalescence in pp?



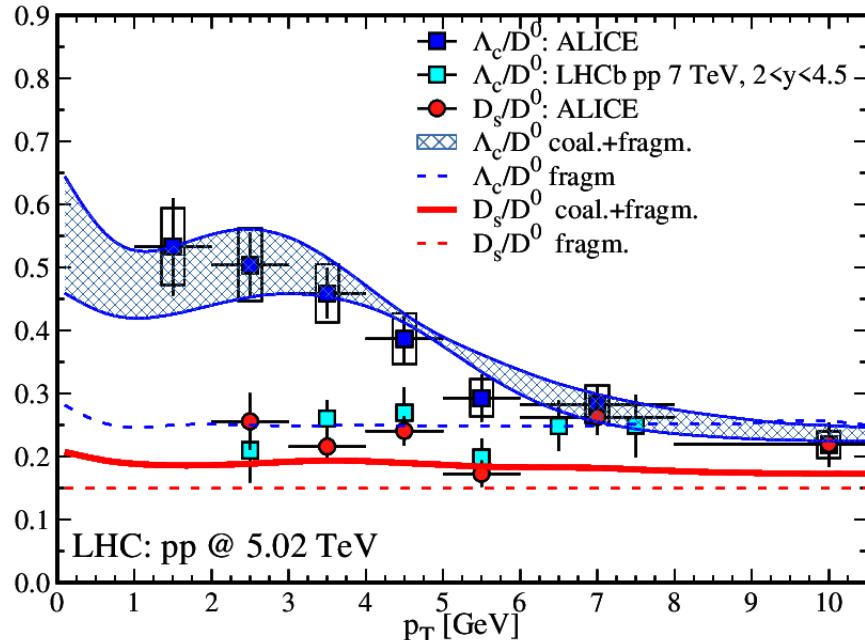
V. Minissale, S. Plumari, V. Greco, Physics Letters B 821 (2021) 136622

The increase of Λ_c production in pp has big effect on R_{AA} of $\Lambda_c \rightarrow$ coal.+fragm. has different behaviour especially at low momenta.

- Reduction of rise-and-fall behaviour in Λ_c / D^0 ratio:
- Confronting with AA: Coal. contribution smaller w.r.t. Fragm.
- FONLL distribution flatter w/o evolution trough QGP
- Volume size effect



Small systems: Coalescence in pp?



V. Minissale, S. Plumari, V. Greco, Physics Letters B 821 (2021) 136622

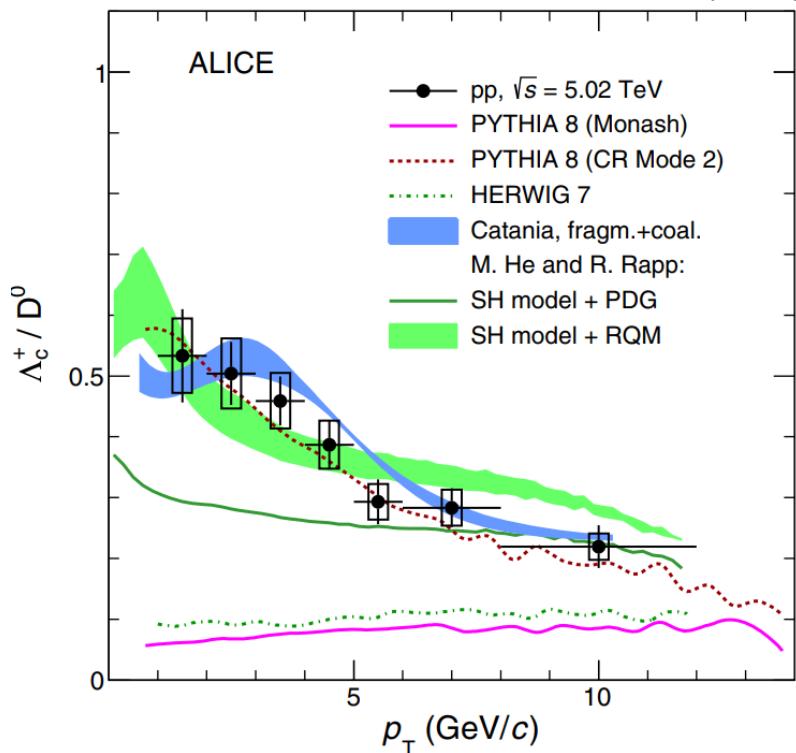
Other models

PYTHIA8+Colour Reconnection *JHEP* 1508 (2015) 003:
including “interactions” among partons from different partonic
scatterings

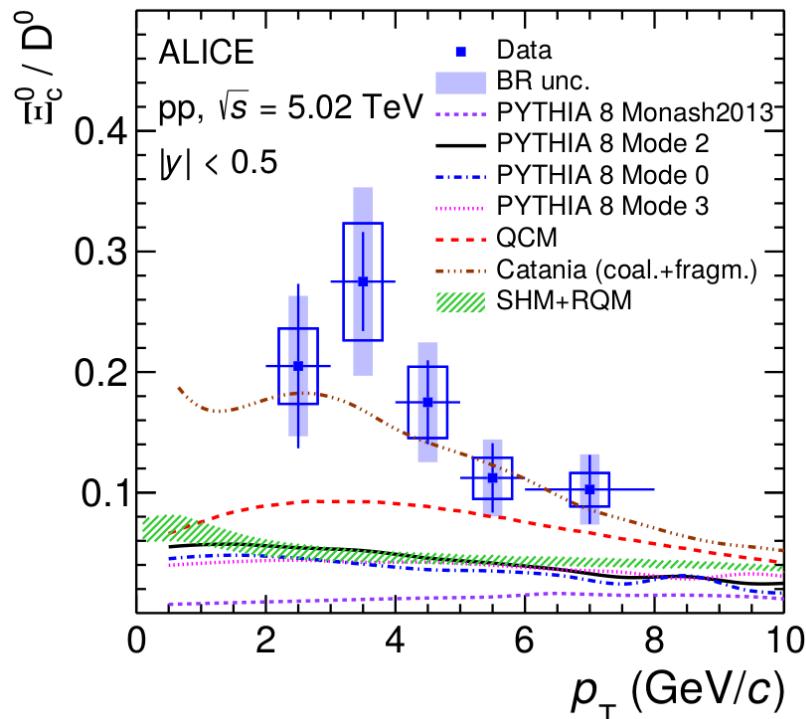
Statistical Hadronization Model with augmented set of baryonic states respect PDG [He,Rapp, PLB 795 117-121 (2019)]

- Reduction of rise-and-fall behaviour in Λ_c / D^0 ratio:
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ALICE, PRL 127 202301 (2021)
ALICE, PRC 104 054905 (2021)



Small systems: Coalescence in pp?



Assuming additional PDG resonances with $J=3/2$ and decay to Ω_c^0 additional to $\Omega_c^0(2770)$

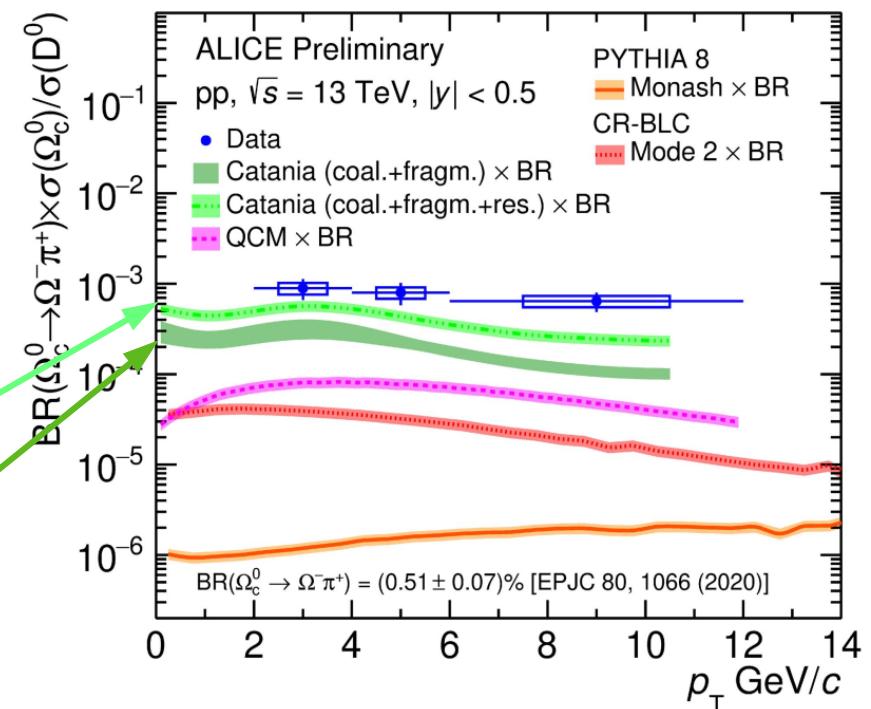
$\Omega_c^0(3000), \Omega_c^0(3005), \Omega_c^0(3065), \Omega_c^0(3090), \Omega_c^0(3120)$

supply an idea of how these states may affect the ratio

Error band correspond to $\langle r^2 \rangle$ uncertainty in quark model

New measurements of heavy hadrons at ALICE:

- Ξ_c^0 / D^0 ratio, same order of Λ_c^+ / D^0 : coalescence gives enhancement
- very large Ω_c^0 / D^0 ratio, our model does not get the big enhancement



Conclusions

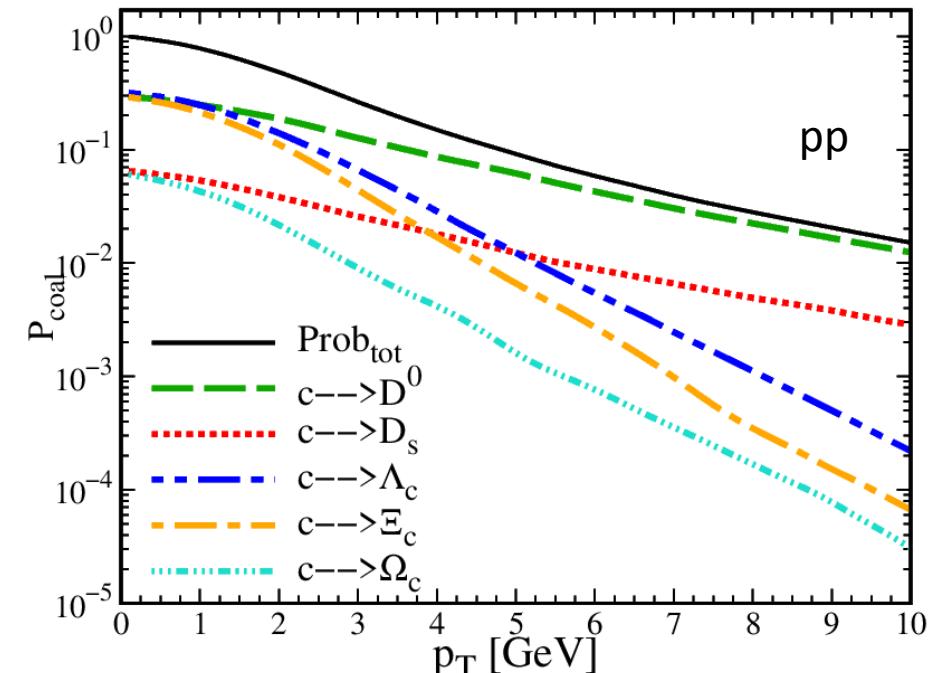
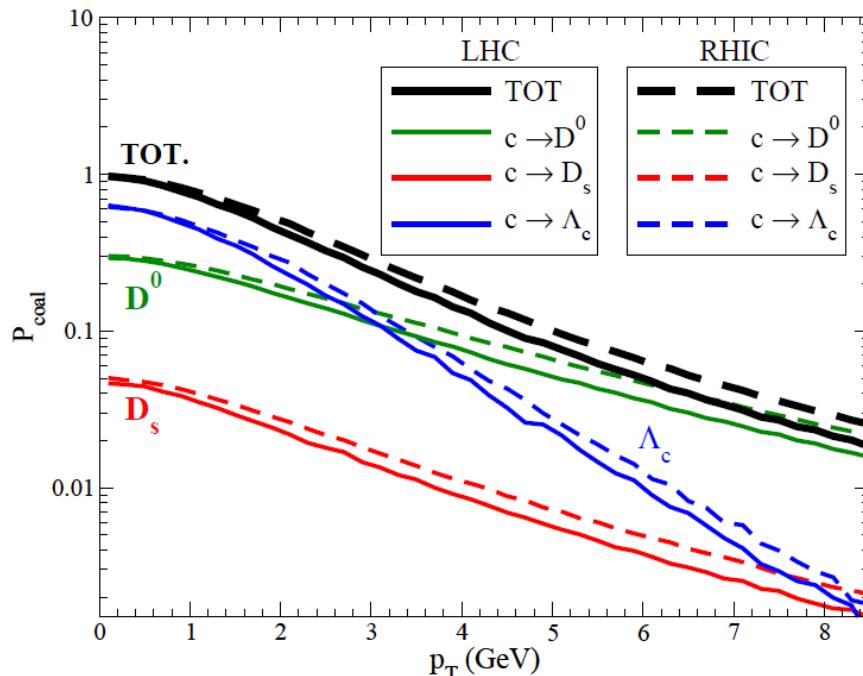
- *Good agreement with experimental data of hadrons spectra in AA collisions from RHIC to LHC*
- *Extension to pp: description of D mesons and Λ_c spectra*
- *Coalescence plus fragmentation gives peculiar enhancement in baryon/ meson ratio for all heavy hadrons $\Lambda_c, \Xi_c, \Omega_c$*
- *Outlook: multicharm hadrons production*

Backup Slides

Hadronization: Coalescence

<i>Statistical factor colour-spin-isospin</i> $\frac{dN_{Hadron}}{d^2 p_T} = g_H \int \prod_{i=1}^n p_i \cdot d\sigma_i \frac{d^3 p_i}{(2\pi)^3}$	<i>Parton Distribution function</i> $f_q(x_i, p_i)$	<i>Hadron Wigner function</i> $f_W(x_1, \dots, x_n; p_1, \dots, p_n) \delta(p_T - \sum_i p_{iT})$
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- Normalization in $f_W(\dots)$ requiring that $P_{coal}=1$ at $p=0$
- The charm that does not coalesce undergo fragmentation



Heavy flavour: Resonance decay

Meson	Mass(MeV)	I (J)	Decay modes	B.R.
$D^+ = \bar{d}c$	1869	$\frac{1}{2}(0)$		
$D^0 = \bar{u}c$	1865	$\frac{1}{2}(0)$		
$D_s^+ = \bar{s}c$	2011	0(0)		
Resonances				
D^{*+}	2010	$\frac{1}{2}(1)$	$D^0\pi^+; D^+X$	68%,32%
D^{*0}	2007	$\frac{1}{2}(1)$	$D^0\pi^0; D^0\gamma$	62%,38%
D_s^{*+}	2112	0(1)	D_s^+X	100%
Baryon				
$\Lambda_c^+ = udc$	2286	$0(\frac{1}{2})$		
$\Xi_c^+ = usc$	2467	$\frac{1}{2}(\frac{1}{2})$		
$\Xi_c^0 = dsc$	2470	$\frac{1}{2}(\frac{1}{2})$		
$\Omega_c^0 = ssc$	2695	$0(\frac{1}{2})$		
Resonances				
Λ_c^+	2595	$0(\frac{1}{2})$	$\Lambda_c^+\pi^+\pi^-$	100%
Λ_c^+	2625	$0(\frac{3}{2})$	$\Lambda_c^+\pi^+\pi^-$	100%
Σ_c^+	2455	$1(\frac{1}{2})$	$\Lambda_c^+\pi$	100%
Σ_c^+	2520	$1(\frac{3}{2})$	$\Lambda_c^+\pi$	100%
$\Xi_c^{'+,0}$	2578	$\frac{1}{2}(\frac{1}{2})$	$\Xi_c^{+,0}\gamma$	100%
Ξ_c^+	2645	$\frac{1}{2}(\frac{3}{2})$	$\Xi_c^+\pi^-$,	100%
Ξ_c^+	2790	$\frac{1}{2}(\frac{1}{2})$	$\Xi_c'\pi$,	100%
Ξ_c^+	2815	$\frac{1}{2}(\frac{3}{2})$	$\Xi_c'\pi$,	100%
Ω_c^0	2770	$0(\frac{3}{2})$	$\Omega_c^0\gamma$,	100%

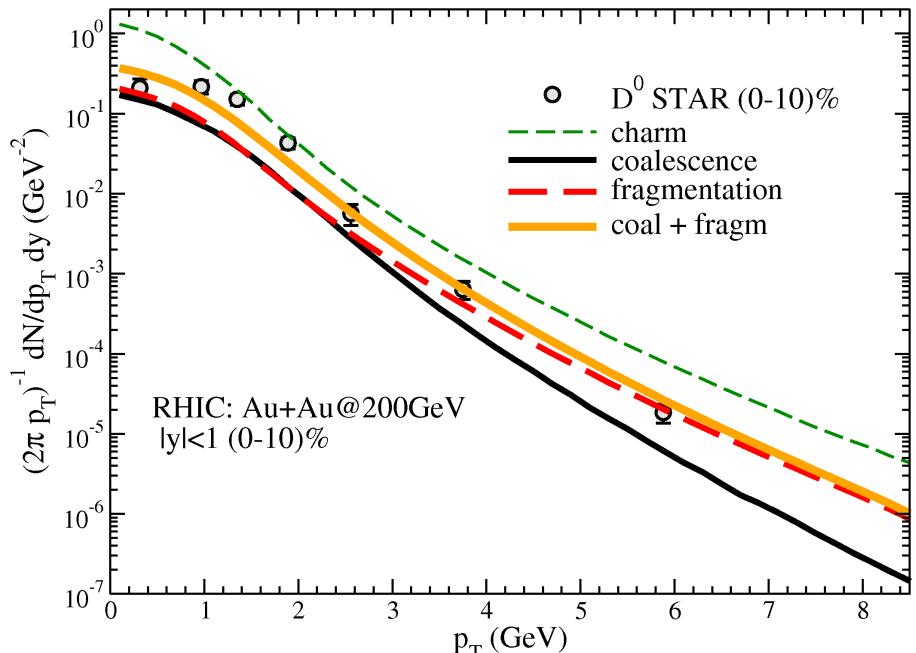
In our calculations we take into account hadronic channels including the ground states + first excited states

Statistical factor suppression for resonances

$$\frac{[(2J+1)(2I+1)]_{H^*}}{[(2J+1)(2I+1)]_H} \left(\frac{m_{H^*}}{m_H} \right)^{3/2} e^{-(m_{H^*} - m_H)/T}$$

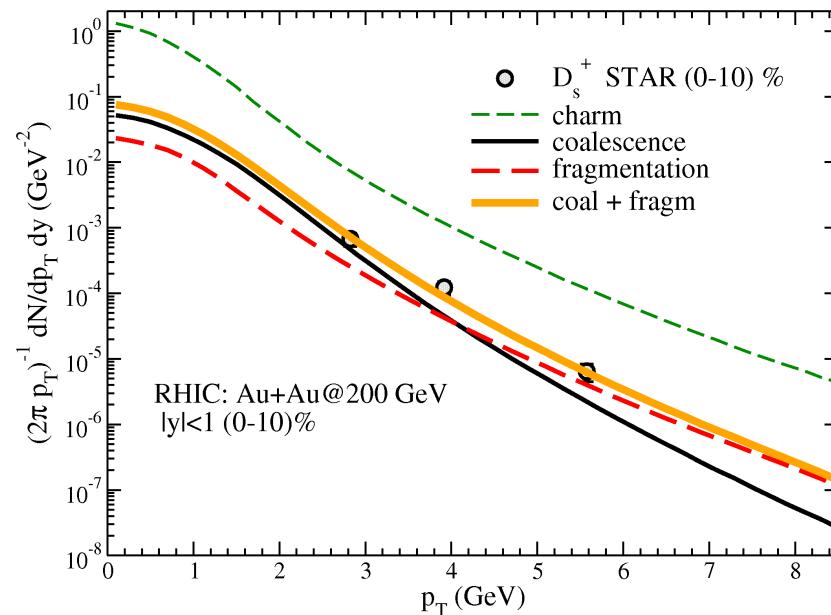
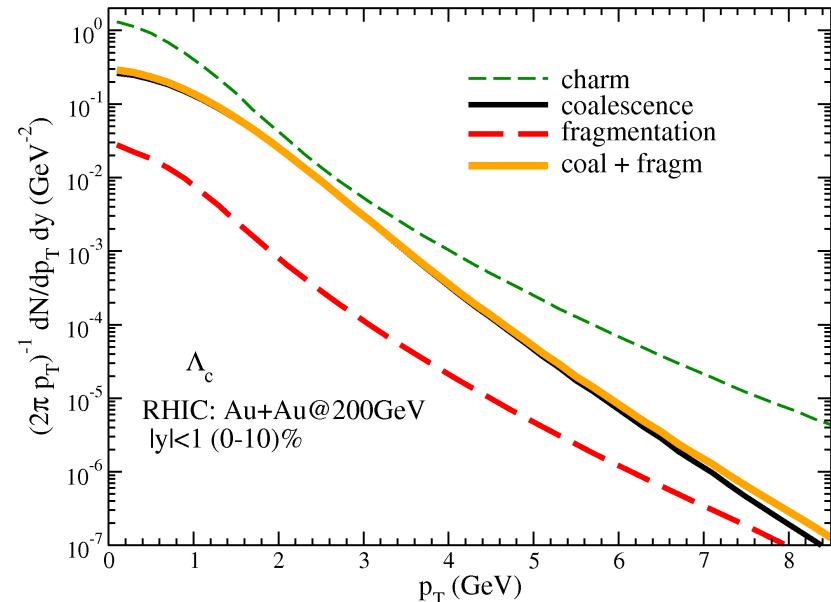
RHIC: results

S. Plumari, V. Minissale et al., Eur. Phys. J. **C78** no. 4, (2018) 348



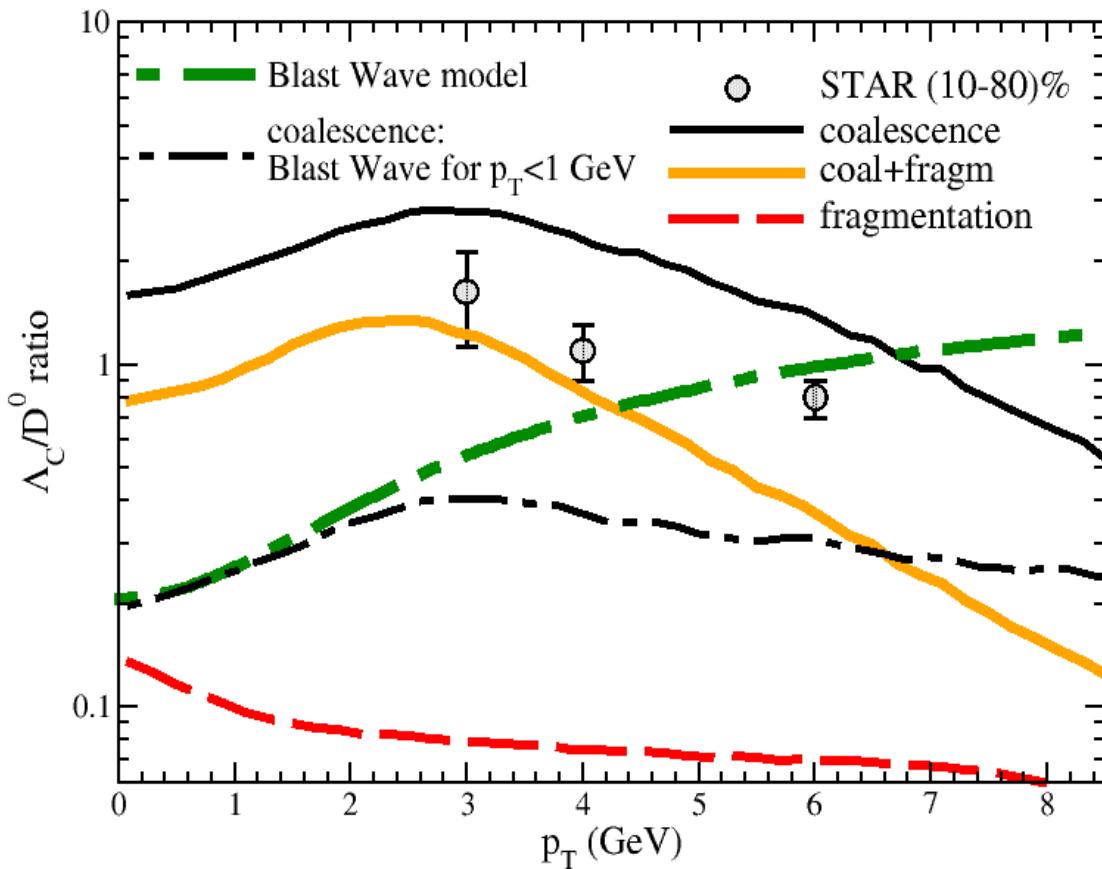
Data from STAR Coll. PRL **113** (2014) no.14, 142301

- For D^0 coalescence and fragmentation comparable at 2 GeV
- fragmentation fraction for D_s^+ are small and less than about 8% of produced total heavy hadrons
- Λ_c^+ fragmentation is even more smaller, coalescence gives the dominant contribution



RHIC: Baryon/meson

STAR, Phys.Rev.Lett. 124 (2020) 17, 172301



Compared to light baryon/meson ratio
the Λ_c/D^0 ratio has a larger width
(flatter)

More flatter \rightarrow should coalescence
extend to higher p_T ? Indication also in
light sector

V. Minissale, F. Scardina, V. Greco PRC 92, 054904 (2015)
Cho, Sun, Ko et al., PRC 101 (2020) 2, 024909

Needed data at low p_T

Elliptic Flow – Quark Number Scaling

Fourier expansion of the azimuthal distribution

$$f(\varphi, p_T) = 1 + 2 \sum_{n=1}^{\infty} v_n(p_T) \cos n\varphi$$

momentum anisotropy in the transverse plane

n=2 Elliptic flow

coalescence brings to

$$\begin{aligned} v_{2,M}(p_T) &\approx 2v_{2,q}(p_T/2) \\ v_{2,B}(p_T) &\approx 3v_{2,q}(p_T/3) \end{aligned}$$

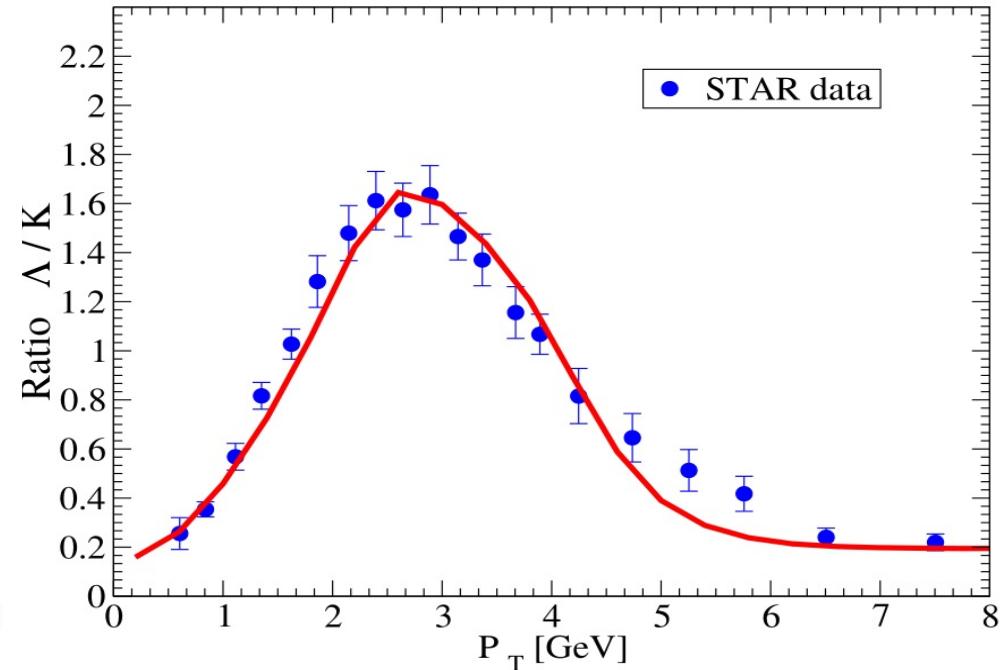
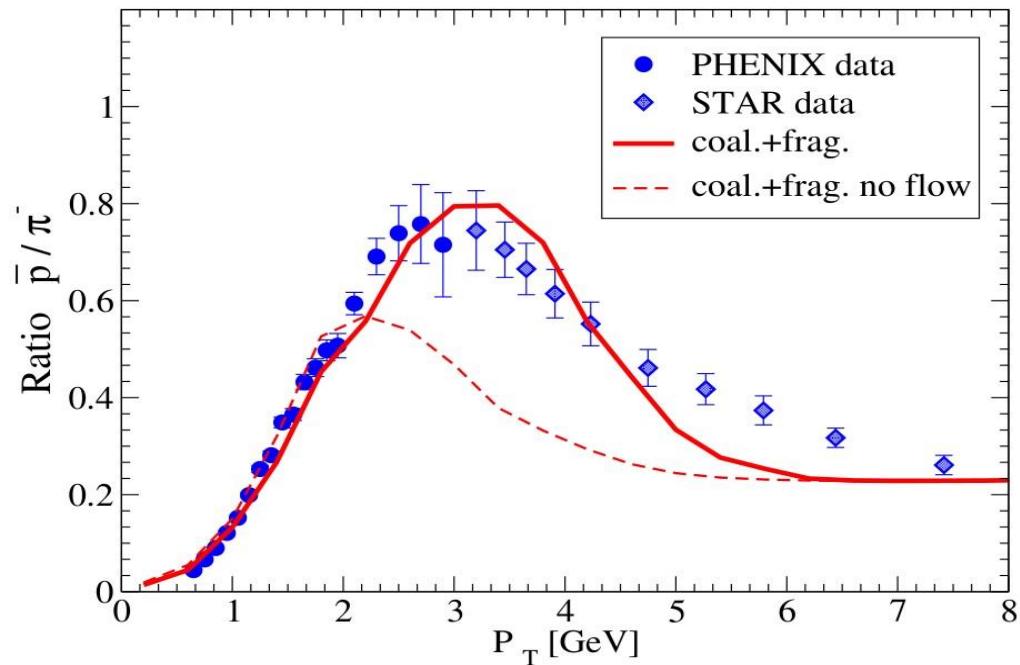
Partonic
elliptic flow

Hadronic
elliptic flow

Assumption

- one dimensional
- Dirac delta for Wigner function
- isotropic radial flow
- not including resonance effect

Baryon to meson ratio at RHIC



- coalescence naturally predict a baryon/meson enhancement in the region $p_T \simeq 2-4\text{GeV}$ with respect to pp collisions
- Lack of baryon yield in the region $p_T \simeq 5-7\text{GeV}$

Relativistic Boltzmann transport at finite η/s

Bulk evolution

$$p^\mu \partial_\mu f_{q,g}(x, p) + M(x) \partial_\mu^x M(x) \partial_p^\mu f_{q,g}(x, p) = C_{22}[f_{q,g}]$$

free-streaming
field interaction
 $\varepsilon-3p\neq 0$
collisions
 $\eta\neq 0$

Heavy quark evolution

$$p^\mu \partial_\mu f_Q(x, p) = C[f_q, f_g, f_Q]$$

- Describes the evolution of the one body distribution function $f(x,p)$
 - It is valid to study the evolution of both bulk and Heavy quarks
 - Possible to include $f(x,p)$ out of equilibrium

